

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
BEFORE THE PATENT TRIAL AND APPEAL BOARD**

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PATENT TRIAL AND APPEAL BOARD

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FISERV, INC., JACK HENRY & ASSOCIATES, INC., Q2 SOFTWARE, INC.,  
AMERICAN NATIONAL BANK OF TEXAS and HANMI BANK  
Petitioner

v .

MIRROR IMAGING LLC  
Patent Owner.

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COVERED BUSINESS METHOD  
REVIEW OF U.S. PATENT NO. 6,963,866

“Method Of Obtaining An Electronically Stored Financial Document”

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**EXHIBIT LIST**

**Covered Business Method Review No. CBM2018-00014**

## Exhibit List

Covered Business Method Review of U.S. Patent No. 6,963,866

### LIST OF EXHIBITS

EXHIBIT NO.	DESCRIPTION
Ex. 1001	U.S. Patent No. 6,963,866, entitled “Method Of Obtaining An Electronically Stored Financial Document”
Ex. 1002	File History of U.S. Patent Application No. 14/857,854
Ex. 1003	File History of U.S. Patent Application No. 09/548,490
Ex. 1004	File History of U.S. Patent Application No. 13/272,936
Ex. 1005	File History of U.S. Patent Application No. 12/902,973
Ex. 1006	File History of U.S. Patent Application No. 10/104,541
Ex. 1007	File History of U.S. Patent Application No. 11/202,790
Ex. 1008	File History of U.S. Patent Application No. 12/489,087
Ex. 1009	File History of U.S. Patent Application No. 13/840,892
Ex. 1010	USPTO Subject Matter Eligibility Guidelines, July 2015 Update, <i>available at</i> <a href="https://www.uspto.gov/sites/default/files/documents/ieg-july-2015-update.pdf">https://www.uspto.gov/sites/default/files/documents/ieg-july-2015-update.pdf</a>
Ex. 1011	Joint Claim Construction Chart, <i>Mirror Imaging LLC v. Suntrust Banks, Inc.</i> , Case No. 2:08-cv-00295-DF, Dkt. No. 191-2, (E.D. Tex. Feb. 16, 2010)
Ex. 1012	Complaint, <i>Mirror Imaging LLC v. Austin Bancorp, Inc., et al.</i> Case No. 2:17-cv-00539-JRG, Dkt. No. 1, (E.D.Tex. July 16, 2017)
Ex. 1013	Claim Construction Order, <i>Mirror Imaging LLC v. Affiliated Computer Services, Inc., et al.</i> , Case No. 2:02-cv-73629-RHC, Dkt. No. 55, Claim Construction Order at pp. 18-19 (E.D. MI Feb. 26, 2004)
Ex. 1014	Patent Owner’s Claim Chart, <i>Mirror Imaging LLC v. Austin Bancorp, Inc., et al.</i> , Case No. 2:17-cv-00539-JRG, Dkt. No. 1, (E.D.Tex. July 16, 2017) <b><u>(Confidential-For Parties and Board Only)</u></b> .
Ex. 1015	Robert Hudson, <i>Finding Your Ancestors at the Troy Public Library</i> , Troy Public Library <a href="http://www.thetroylibrary.org/pdf/FindAncestors.pdf">http://www.thetroylibrary.org/pdf/FindAncestors.pdf</a> (February 1993)
Ex. 1016	Declaration, <i>Mirror Imaging LLC v. Affiliated Computer Services, Inc., et al.</i> , Case No. 2:02-cv-73629-RHC, Dkt. No. 48, (E.D. MI Oct. 21, 2003)

# Exhibit List

Covered Business Method Review of U.S. Patent No. 6,963,866

EXHIBIT NO.	DESCRIPTION
Ex. 1017	Declaration of Donald Kenneth Hobday, Jr.
Ex. 1018	Reserved
Ex. 1019	Reserved
Ex. 1020	License Agreement [Redacted]
Ex. 1021	William R. Michalson CV.
Ex. 1022	Declaration of William R. Michalson
Ex. 1023	Online Computer Library Center, <i>Together We Make Breakthroughs Possible</i> , available at <a href="http://www.oclc.org/en/about.html">http://www.oclc.org/en/about.html</a>
Ex. 1024	Society of American Archivists, microfiche, <i>available at</i> <a href="https://www2.archivists.org/glossary/terms/m/microfiche">https://www2.archivists.org/glossary/terms/m/microfiche</a>
Ex. 1025	Online Computer Library Center, <i>Dewey Decimal Classification summaries</i> , available at <a href="https://www.oclc.org/en/dewey/features/summaries.html">https://www.oclc.org/en/dewey/features/summaries.html</a>
Ex. 1026	Online Computer Library Center, <i>Furthering Access to The World's Information for 30 Years</i> , (1997).
Ex. 1027	U.S. Patent No. 6,349,373 to Sitka, <i>et al.</i>
Ex. 1028	U.S. Patent No. 6,192,165 to Irons.
Ex. 1029	U.S. Patent No. 5,784,610 to Copeland, <i>et al.</i>
Ex. 1030	Muller, <i>Computerized Document Imaging Systems</i> , Artech House, Inc. (1993)
Ex. 1031	D'Alleyrand, <i>Networks and Imaging Systems in a Windowed Environment</i> , Artech House, Inc. (1996)
Ex. 1032	Robek, <i>Information and Records Management</i> , Glencoe/McGraw-Hill, Inc. (4 <sup>th</sup> ed. 1995)
Ex. 1033	Green, <i>Introduction to Electronic Document Management Systems</i> , Academic Press, Inc. (1993)
Ex. 1034	<i>Systems Analysis of Medical Records in Georgia</i> , Georgia Institute of Technology and Westinghouse Electric Corporation, Volume 1, September 1971
Exs. 1035-1039	Reserved
Ex. 1040	Declaration of Craig Morgan.
Ex. 1041	Complaint, <i>Mirror Imaging LLC v American National Bank of Texas</i> , Case No. 2:17-cv-00653-JRG, Dkt. No. 1, (E.D.Tex. Sept. 20, 2017)
Ex. 1042	Complaint, <i>Mirror Imaging LLC v Independent Bank Group</i> , Case No. 2:17-cv-00540-JRG, Dkt. No. 1, (E.D.Tex. July 16,

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Covered Business Method Review of U.S. Patent No. 6,963,866

EXHIBIT NO.	DESCRIPTION
	2017)
Ex. 1043	Complaint, <i>Mirror Imaging LLC v LegacyTexas Group, Inc.</i> , Case No. 2:17-cv-00541-JRG, Dkt. No. 1, (E.D.Tex. July 16, 2017)
Ex. 1044	Complaint, <i>Mirror Imaging LLC v Southside Bank</i> , Case No. 2:17-cv-00543-JRG, Dkt. No. 1, (E.D.Tex. July 16, 2017)
Ex. 1045	Complaint, <i>Mirror Imaging LLC v Green Bancorp, Inc.</i> , Case No. 2:17-cv-00563-JRG, Dkt. No. 1, (E.D.Tex. Aug. 1, 2017)
Ex. 1046	Complaint, <i>Mirror Imaging LLC v Texas Bank and Trust Company</i> , Case No. 2:17-cv-00568-JRG, Dkt. No. 1, (E.D.Tex. Aug. 1, 2017)
Ex. 1047	Complaint, <i>Mirror Imaging LLC v IBERIABANK Corporation</i> , Case No. 2:17-cv-00581-JRG, Dkt. No. 1, (E.D.Tex. Aug. 9, 2017)
Ex. 1048	Complaint, <i>Mirror Imaging LLC v City Bank</i> , Case No. 2:17-cv-00596-JRG, Dkt. No. 1, (E.D.Tex. Aug. 22, 2017)
Exs. 1049-1051	Reserved
Ex. 1052	Product License and Services Agreement between Jack Henry & Associates and American National Bank of Texas [Redacted]
Exs. 1053-1062	Reserved
Ex. 1063	Indemnity Agreement between Jack Henry & Associates and American National Bank of Texas
Ex. 1064	Indemnity Agreement between Jack Henry & Associates and Independent Bank Group
Ex. 1065	Indemnity Agreement between Jack Henry & Associates and LegacyTexas Group, Inc.
Ex. 1066	Indemnity Agreement between Jack Henry & Associates and Southside Bank
Ex. 1067	Indemnity Agreement between Jack Henry & Associates and Green Bancorp, Inc.
Ex. 1068	Indemnity Agreement between Jack Henry & Associates and Texas Bank and Trust Company
Ex. 1069	Indemnity Agreement between Jack Henry & Associates and IBERIABANK Corporation
Ex. 1070	Indemnity Agreement between Jack Henry & Associates and City Bank
Exs. 1071-1073	Reserved

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Covered Business Method Review of U.S. Patent No. 6,963,866

EXHIBIT NO.	DESCRIPTION
Ex. 1074	Declaration of Barry Benton
Ex. 1075	Complaint, <i>Mirror Imaging LLC v Hanmi Bank</i> , Case No. 2:17-cv-00598-JRG, Dkt. No. 1, (E.D.Tex. Aug. 22, 2017)
Ex. 1076	Services Agreement between Q2 Software, Inc. and Hanmi Bank [Redacted] <b>(Confidential-For Parties and Board Only)</b> .
Ex. 1077	Agreement to Defend between Q2 Software, Inc. and Hanmi Bank <b>(Confidential-For Parties and Board Only)</b> .

Respectfully Submitted

Dated: February 9, 2018

By:



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Exhibit List

Covered Business Method Review of U.S. Patent No. 6,963,866

**CERTIFICATE OF SERVICE UNDER 37 CFR § 42.6(e)(4)**

The undersigned hereby certifies that a copy of the foregoing Exhibit List and Exhibit 1041 have been served via Priority Mail Express® to the Patent Owner on February 9, 2018, at the correspondence address of record for the subject patent:

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