

Transcript of **TELEPHONIC JUDGE'S CONFERENCE**

Date: April 16, 2014

Case: MICROSOFT CORPORATION v. SURFCAST, INC.

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1	Telephonic Judge's Conference with the	
2	Patent Trial and Appeal Board:	
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6	Phone: 866-917-0223	
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12	Pursuant to Agreement, before	
13	JAMES BEASLEY, RPR, CA CSR No. 12807.	
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1	PROCEEDINGS	
2	JUDGE CHANG: Hello, this is Judge Chang.	
3	Here with me is Judge Clements. Judge Tierney is on	
4	vacation this week.	
5	This is the Conference Call for	
6	IPR2014-00271 and also IPR2013-00292.	
7	Is anyone else on the line?	
8	MR. KUSHAN: Yes, Your Honor. You have	
9	Jeff Kushan and Joe Micallef and Stacy Quan for	
10	Petitioner.	
11	JUDGE CHANG: Okay.	
12	(Overlapping speakers.)	
13	MR. HEINTZ: For Patent Owners,	
14	James Heintz is here, and I think Mr. Bone is on,	
15	but perhaps not.	
16	MR. BONE: Yes, I'm on.	
17	JUDGE CHANG: Okay.	
18	MR. BONE: This is Richard Bone, lead	
19	counsel for Patent Owner, SurfCast.	
20	JUDGE CHANG: Okay. Welcome to the call.	
21	Do we have anyone else on the call?	
22	MR. KUSHAN: Yes, Your Honor, we have a	

		7
1	court reporter on the call.	
2	JUDGE CHANG: Okay. Thank you for letting	
3	me know. Now, is the court reporter from the Patent	
4	Owner's side or the Petitioner's side?	
5	MR. KUSHAN: The Petitioner ordered the	
6	court reporter.	
7	JUDGE CHANG: Okay. Thank you. I'd	
8	appreciate if after the call you upload the	
9	transcript when it's available as an exhibit; would	
10	you do that for us?	
11	MR. KUSHAN: Yes, Your Honor.	
12	JUDGE CHANG: That would be great. Thank	
13	you.	
14	I understand this conference call first	
15	was initiated by the Petitioner regarding the	
16	joinder issue, and then later on the Patent Owner	
17	counsel, Mr. Bone, submit also some issues that	
18	they'd like to discuss today, and then there was	
19	another e-mail from the Petitioner for another issue	
20	on the oral hearing date.	
21	The way I'm going to conduct this is,	
22	first we can take the Petitioner's issues first,	

8 both the joinder and the oral hearing dates. 1 2 then we -- after we finish those issues, then we can 3 move on to the Petitioner's -- I'm sorry, the Patent Owner's issue. 4 5 And for each issue I would like to hear 6 from both sides and both sides will get the 7 opportunity to comment before the Panel Conference 8 and rule on each issue, okay? Is that fine with everyone? 9 10 MR. KUSHAN: Yes, Your Honor. JUDGE CHANG: We're going to start with 11 12 the Petitioner first. 13 Can you give us a brief description of 14 your requested relief. 15 MR. KUSHAN: Your Honor, so the first 16 issue we raised was in connection with our Joinder 17 Motion. As you recall, we had filed an additional 18 petition in these proceedings after the first round 19 of petitions had been filed. And in the course of 20 the timing of that filing, that preceded the 21 decision of the Panel in a Rehearing Request from 22 the first round on the grounds issued that were

1 instituted in the proceeding.

In the opposition that has been filed, the preliminary opposition filed by the Patent Owner to the subsequent petition, they've raised a number of issues, including issues where they're requesting that the schedule of the proceedings we're in now be extended extensively by about five-and-a-half months.

And we have kind of looked at both the decision on the Rehearing that came out after we had filed a petition and the statements and efforts apparently from the Petitioner -- from the Patent Owner to defer and somewhat delay the proceedings.

And we've come to the view that it may be more efficient if we seek to simply withdraw our Joinder Motion that was accompanying that subsequent petition.

And that's where we'd like your guidance. We believe -- our desire right now is to make sure that we proceed expeditiously, that we get to a final outcome as we are currently scheduled to in

10 1 the existing trial. And we think that some of the issues that 2 3 have come up in connection with the briefing around 4 the subsequent petition we filed, this is concerning the Duhault 1 reference, has kind of created a side 5 6 show we'd like to avoid. 7 That's -- at a very high level we wanted 8 to frame that question and seek guidance from the Panel as to whether it would be appropriate for us 9 10 to simply withdraw our Joinder Motion that 11 accompanied that petition so that we can keep the 12 trial on track. 13 JUDGE CHANG: Okay. That's a very nice 14 summary, I appreciate that. Can I hear from the Patent Owners 15 16 regarding this issue; and specifically, I'd like to 17 hear whether the Patent Owner has any objections to 18 the Petitioner withdrawing the Motion to Joinder. MR. BONE: Thank you, Your Honor. Just to 19 20 sort of frame this issue a little bit --21 (Overlapping speakers.) 22 MR. BONE: I don't think either party has

actually moved particularly expeditiously on the matter of joinder in the sense that Petitioner didn't raise it with the Board particularly directly.

We did oppose it on procedural grounds, but at a time when we were not aware of the Board's decision on the Request For Rehearing. Our -- and our basis for such a verdict at that time was that we didn't feel it was appropriate at that time to enlarge the scope of the proceedings.

But having heard the Board's decision on the Request For Rehearing and seeing how they actually construed or interpreted our claim construction on certain terms, we felt it was actually appropriate to basically have those issues properly addressed because otherwise there's going to be some conflict out there in terms of what exactly the Board thinks about particular terms in our construction with respect to one reference.

So, I mean, we don't know of any procedural rule that allows one to actually withdraw a motion and that's why we didn't actually

12 1 explicitly withdraw our opposition to joinder. So 2 we don't necessarily see that that's going to be 3 particularly helpful. 4 JUDGE CHANG: Okay. I quess, you know, my 5 take is that currently the IPR2013-00292 has been moving along quite nicely. So if the Petitioner 6 7 wants to withdraw the Motion to Joinder for the 8 IPR2014-00271, I can authorize that today. 9 So I just want to hear your side, 10 Patent Owner, if there's any reasons why I wouldn't 11 authorize that paper, for the Petitioner to file a 12 paper to withdraw the Motion For Joinder. 13 MR. BONE: Are you saying you would 14 actually rule on the issue of joinder today or you would --15 16 JUDGE CHANG: I could. I could authorize 17 the Petitioner to file a paper to withdraw its 18 Motion For Joinder. 19 I'm not -- I'm not deciding on the Motion 20 For Joinder, but right now it sounds like the 21 Petitioner wants to withdraw the Motion For Joinder. 22 I recognize that both parties have briefed us on

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1	that issue, but we haven't decided that issue.	
2	So we can let Petitioner withdraw his	
3	Motion For Joinder, and then we decide that	
4	Petitioner, on his own, in also taking into	
5	consideration of the Patent Owner Preliminary	
6	Response. So the two proceedings would be separated	
7	as normal, okay, because we haven't looked at the	
8	Motion For Joinder.	
9	That would be more efficient, it sounds	
10	like. So I'm just wondering your thoughts on it, if	
11	there's any reasons why we wouldn't authorize the	
12	Petitioner to file a paper to withdraw its Motion	
13	For Joinder.	
14	MR. BONE: Would you allow us to file a	
15	follow-up paper to oppose that?	
16	JUDGE CHANG: No, hu-huh.	
17	MR. BONE: Okay.	
18	JUDGE CHANG: Right now I just want to	
19	hear your thoughts.	
20	MR. BONE: Yeah. I mean, basically, just	
21	to reiterate what I said before, we are hoping to	
22	ultimately get to a point where the Board can	

14 1 actually understand and can agree with our construction of certain claims. 2 3 JUDGE CHANG: Well, you already had that opportunity in the IPR2013-00292. I mean, I 4 5 recognize that we have two different proceedings 6 here, and then also Patent Owner has opportunity for 7 briefing the Board in both proceedings. 8 But we don't have to join both proceedings together. So even if we don't authorize the 9 10 Petitioner to withdraw the Motion For Joinder, we don't have to grant him that. And it seems like the 11 12 Patent Owner has filed an opposition to that Motion 13 For Joinder anyway. 14 MR. BONE: I understand. There's a 15 question of timing here, Your Honor. 16 Our opposition was filed and also our Patent Owner Response in 00292 were both filed 17 before we had the benefit of the Board's 18 19 interpretation of our claim construction in their 20 Denial of Rehearing. 21 So we did not actually have an opportunity 22 to address that in any paper and we may not do so.

15 1 So that was the principal reason to have this issue 2 addressed to permitting joinder. And let me also ask if backup counsel has 3 4 anything to offer here in terms of any bearing on 5 the concurrent litigation. 6 JUDGE CHANG: Sure. 7 MR. HEINTZ: Yes, thank you, Your Honor. 8 This is Jim Heintz. 9 One question, it was our understanding, 10 based on the case law we cited in our Preliminary Response to the 271, that the 271 Petition could not 11 12 be granted in the absence of joinder. 13 I think the remarks you made before imply 14 that that's not correct. JUDGE CHANG: I did not imply anything. 15 16 Right now if the Petitioner wants to withdraw the 17 Motion For Joinder, they can. And then we can 18 decide the petition on the merits or if it's, as you 19 imply, that if it's untimely filed, we can -- we can 20 always take into consideration when we decide the 21 Petitioner. 22 So I did not imply that we will grant the

16 petition. I simply mentioned that we may 1 2 contemplate letting the Petitioner withdraw its 3 Motion For Joinder. 4 MR. HEINTZ: Okay. Thank you, Your Honor, 5 I understand. 6 So the one comment I will make is if you 7 were to permit the Petitioner to withdraw its Motion 8 For Joinder and then subsequently you were to deny 9 the petition on the basis that it were untimely, 10 then we would, as Patent Owners, effectively be precluded from addressing that construction further 11 12 because in the 292 that construction is not an 13 issue. 14 And we did not make that construction an 15 issue because we -- you know, we understood it to 16 mean one thing, as we've laid out in our Preliminary 17 Response. 18 If the Board disagrees with our 19 understanding of our construction and if the Board 20 has, in fact, interpreted that construction 21 differently, then we may have been able to rely on 22 that to save -- to make additional invalidity

17 1 arguments. And in the circumstances we just 2 discussed, we'd be effectively precluded from having 3 done so just based on the exigencies of where we are 4 today in the schedules of the two proceedings. 5 JUDGE CHANG: Okay. I quess, you know, 6 you're hinging a lot on a decision on Request For 7 Rehearing and so forth. I mean, for claim 8 constructions, both parties have opportunity to brief the Board of what is the Board's reasonable 9 10 interpretation of the claim terms. So the record is -- you already briefed us 11 12 on that. So, I mean, you guys are looking for 13 opportunities for another round of briefing and 14 that's not the issue here. MR. BONE: Sorry, Your Honor, to 15 16 interject, it's not exactly as clearcut as that. We would not be asking for a 17 18 reinterpretation of our own claim construction. JUDGE CHANG: Yeah, that's what it sounds 19 20 like. 21 MR. BONE: I just wanted to make sure 22 we're all clear about that.

JUDGE CHANG: Okay.

MR. BONE: Basically, our construction and our explanation of what it means, like I say, it's really a question of being able to educate and advise the Board and the parties that the way in which the Board applied it in Duhault 1 would tend to suggest the Board itself is not agreeing with our construction.

And it's that matter that we would want to have clarified.

MR. KUSHAN: Your Honor, this is Jeff Kushan.

I think you stated it precisely,
accurately just prior to Mr. Bone's comments. The
thing we're concerned about, obviously, is that
there has been a different stance taken in the
preliminary opposition in the subsequent Petitioner
as compared to the stance they've taken in the
original proceeding.

And we saw that as a complication that is unwarranted and it, in our view, creates substantive estoppel questions that might be engaged at some

19 point in this proceeding. And we're also, again, 1 2 concerned about having this exercise turn into 3 something which will delay the original schedule of the trial. 4 5 I don't know -- it seems to me there seems 6 to be a lot of explanations that don't really have 7 an anchor on the simplicity of the request we're making and we would hopefully just wrap this part of 8 the discussion up and proceed as you've suggested. 9 10 JUDGE CHANG: Okay. MR. HEINTZ: Your Honor, I'm sorry, this 11 12 is Jim. I just have to address a comment. 13 JUDGE CHANG: Sure. 14 MR. HEINTZ: What Mr. Kushan said about us 15 changing our position is entirely incorrect. 16 If the Court looks closely at what we said in our original Patent Owner's Preliminary Response 17 18 in the 292 and what we have said here in the Preliminary Response for the 271, I think the Court 19 20 will quickly see our construction has always

In fact, that construction is hurtful to

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remained the same.

us in these proceedings in that it is broader than the one that Patent Owner has advanced. When the Board decided the petition in 292 and accepted our construction without any further comment, we were led to believe — not led to believe. I don't mean to imply there was any misleading.

But we understood that the Board adopted our construction because they agreed with our reasoning which was explained in great detail in our Preliminary Response in 292.

We specifically said that the claims are not so narrow as to require actual individual instances of updates to occur at the same time, only that the entire process overlap in some respect.

That is a broad construction and it's opened the door to prior art that comes in where maybe we could make an argument that the prior art does not read on the claim limitation, if it's interpreted in the way the Board apparently did in the Request For Rehearing.

So the point is that based on what we understood from the decision in the 292 petition, we

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1	have refrained from making arguments because those	
2	arguments would be inconsistent with the	
3	construction we adopted, but perhaps would be	
4	consistent with the Board's construction in the	
5	decision on Request For Rehearing.	
6	And if they're simply allowed to withdraw	
7	their current Request For Joinder, there would be no	
8	further opportunity for us in any proceeding to	
9	apply that construction in a way that could be	
10	helpful to us; by "that construction," I mean the	
11	Board's construction in the Request For Rehearing.	
12	But I adamantly deny that we have changed	
13	our position at any time on the meaning of that	
14	claim limitation.	
15	JUDGE CHANG: Your comment is noted.	
16	Thank you.	
17	MR. HEINTZ: Thank you, Your Honor.	
18	JUDGE CHANG: If there's no more comments	
19	on this issue, let's move on to the second issue,	
20	Petitioner.	
21	MR. KUSHAN: Yes, Your Honor. Hopefully	
22	this one will be far less complicated.	

22 JUDGE CHANG: Okay. 1 2 MR. KUSHAN: The original schedule for the trial had set the oral hearing for June 26 of this 3 4 year, and then we received an order last week 5 resetting the hearing date to July 9th. 6 JUDGE CHANG: Yeah. The reason why we did 7 that was because there was another panel that 8 contact us because of the counsel for the Petitioner 9 for the other party, the for the other proceeding 10 had a conflict with our oral hearing date. So we were kind enough to move it knowing 11 12 there was a conflict for the Petitioner's counsel. 13 MR. KUSHAN: I think you've just 14 foreshadowed my next sentence. 15 JUDGE CHANG: Okay. 16 MR. KUSHAN: On reliance of that original 17 schedule, I had scheduled to be out of the country 18 and I'm actually suppose to be flying back now into the counsel on July 9th, which is the new hearing 19 20 date. 21 JUDGE CHANG: Okay. 22 MR. KUSHAN: And I would ask we move the

		23
1	hearing date back a couple days or possibly shift it	
2	up before the 26th.	
3	JUDGE CHANG: We're okay with that, but	
4	the only thing is what I'd like to ask is why don't	
5	you confer with your opposing counsel and propose a	
6	couple of dates for us.	
7	Right now our resources are limited and	
8	the hearing rooms are getting all booked up very	
9	quickly. So if you don't mind, please confer with	
10	the counsel for the Patent Owner and propose a	
11	couple of dates for us and send us an e-mail to the	
12	Board12 box and we'll try to accommodate that for	
13	you.	
14	MR. KUSHAN: Okay. Is there a way to know	
15	what dates are open to pick from?	
16	JUDGE CHANG: How's this, just give us a	
17	couple of days and we'll try to make it happen,	
18	how's that?	
19	MR. KUSHAN: That's	
20	JUDGE CHANG: But try to stay close to	
21	July 9th date. I don't mind a week after that but	
22	if too much of a delay it would be hard for us. I	

		24
1	don't mind moving up if you'd like to do it in June.	
2	MR. KUSHAN: We're open to going earlier,	
3	and then of course we want to stay close to July 9th	
4	as well.	
5	JUDGE CHANG: How about you confer with	
6	the Patent Owner counsel and propose a couple of	
7	days, even just a week or whatever?	
8	MR. KUSHAN: Okay. We will do that.	
9	JUDGE CHANG: Great. Anything else from	
10	the Petitioner's side before we move on to the	
11	Patent Owner's item?	
12	MR. KUSHAN: No, Your Honor. Thank you.	
13	JUDGE CHANG: Okay. Patent Owner, do you	
14	want to start your issue?	
15	MR. BONE: Yes. So we have three issues.	
16	The first one is we were wishing to we	
17	need file a surreply to Petitioner's reply in the	
18	0292 proceeding.	
19	And the reason for that is that we are	
20	faced actually in their reply with quite a mountain	
21	of material to go through, a number of items of	
22	which we think actually may be improper at this	

stage; and that may, in fact, justify us to file a Motion to Strike some pieces, but which, in fact, may be more efficient for us to deal with in a surreply.

To be specific, I'll just make the observation that at this stage in the proceedings we're not just dealing with the arguments made in the Patent Owner's replies, -- which they, in fact, received a page limit extension -- but is also accompanying an expert declaration around 70 pages of which contains new materials that are additional to essentially what's in the reply brief itself.

So there's actually quite a volume of material there, much of which we haven't actually seen before; and therefore, you know, we feel it be appropriate at this juncture to be able to file a surreply.

JUDGE CHANG: Okay.

MR. BONE: I can be specific if you wanted

that --

JUDGE CHANG: No. High-level is good.

MR. BONE: Okay.

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1	JUDGE CHANG: Petitioner, do you want to	
2	comment on that before I comment on that request?	
3	MR. KUSHAN: Yeah. My colleague,	
4	Joe Micallef is going to comment.	
5	JUDGE CHANG: Okay.	
6	MR. MICALLEF: Thank you, Your Honor, this	
7	is Joseph Micallef.	
8	The specific paragraph the Patent Owner	
9	has pointed out to us that they believe are	
10	objectionable	
11	(Overlapping speakers.)	
12	JUDGE CHANG: We don't need to go into	
13	that much detail.	
14	MR. MICALLEF: No, I'm going high-level	
15	here.	
16	JUDGE CHANG: Okay.	
17	MR. MICALLEF: Almost all of them deal	
18	with the selectability requirement of the claims,	
19	although they deal with Patent Owner's	
20	interpretation along those lines.	
21	The opinions that our expert put in his	
22	reply declaration recount or deal with the same	

evidence as far as those selectability requirements that he had in his original declaration that we filed with the petition, exact same functionality in each of the four principal references.

And that functionality is the exact same functionality that the Board relied on in its institution decision to institute on those four principle references and the Patent Owner's expert and Patent Owner its responses have contested that analysis.

So Dr. Carter, our expert, in a reapply declaration has simply responded to their arguments on this selectability functionality that was in the original it shall in the original report and in the original -- in his original report.

Now, on top of that I want to point it to some extent all of this is a little moot because the Board rejected Patent Owner's selectability claim interpretation, there's a portion of the tile interpretation that deals with selection and adopted its own version of that.

The Patent Owner's expert, however, in his

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1	report never deals with the Board's claim	
2	interpretation, never once opines on it. So these	
3	paragraphs in our reply declaration that they're	
4	talking about, while they were certainly relevant to	
5	the Board's interpretation, they are rebutting	
6	opinions by Patent Owner's expert that don't have	
7	anything to do with the Board's claim	
8	interpretation.	
9	Now, I'm prepared to go pillar to post if	
10	you want, Your Honor, paragraph to paragraph and to	
11	show you where	
12	JUDGE CHANG: No, that's okay.	
13	MR. MICALLEF: I didn't think you would	
14	but I need to say that on the order.	
15	JUDGE CHANG: Thank you, I appreciate the	
16	offer but I do want to give both sides the	
17	opportunity to comment before I rule on this	
18	particular issue.	
19	Patent Owner, do you want to comment on	
20	anything before I rule on this issue.	
21	MR. BONE: Yes, I'll add a couple more	
22	things.	

		29
1	JUDGE CHANG: Okay.	
2	MR. BONE: From our analysis of the reply	
3	and the supporting expert declaration, there's	
4	material in there that could easily have been	
5	properly put in the original petitioner for	
6	interparty review because it relates to material	
7	that they had in hand from the concurrently	
8	litigation, that's one thing.	
9	Another thing is that there's also issues	
10	that have come up in the Petitioner reply that we	
11	feel is basically mischaracterization of testimony	
12	in the depositions that they took. And we would	
13	want to have an opportunity to essentially set the	
14	record straight on those items.	
15	That's the main contention. I think my	
16	backup counsel, Jim Heintz, may have additional	
17	points; can I give him that opportunity?	
18	JUDGE CHANG: Yes, please.	
19	MR. HEINTZ: Thank you, Your Honor. The	
20	only point I would make is that there are additional	
21	pages cited from the reference MSIE kit that were	
22	not cited in Dr. Carter's original report, so I	

		30
1	would take issue with the notion that the evidence	
2	is the same.	
3	There are new pages from that book cited	
4	and discussed.	
5	JUDGE CHANG: Okay.	
6	MR. MICALLEF: Your Honor, may I had one	
7	point?	
8	JUDGE CHANG: Sure.	
9	MR. MICALLEF: This is Joe Micallef,	
10	again.	
11	I just want to point out where we are in	
12	the schedule. The Patent Owner, of course, has put	
13	in its opposition and we have put in our reply brief	
14	in opposition to their Motion to Amend.	
15	We all have scheduled the deposition of	
16	our expert, Dr. Carter, for April 24th, and their	
17	reply on the Motion to Amend is due in early May.	
18	So my point being twofold, first of all, a	
19	lot of this information, for example, the MSIE kit	
20	stuff with these also relevant to the Motion to	
21	Amend so we couldn't put new information in with our	
22	opposition anyway, but more than that they're going	

31 to have an opportunity to cross-examination 1 2 Dr. Carter, put in a reply to their Motion to Amend 3 and potentially ask for the right to file some 4 observations or say: I have a motion to exclude or 5 whatever. 6 So I just want to point out that's where 7 we are in the schedule, and I think these things 8 that they're complaining about, they should ask Dr. Carter about at his deposition. 9 10 JUDGE CHANG: Okay. Both parties comments are noted, but before I move on I'd like to point to 11 12 the scheduling order. 13 I noticed that the Due Date 4 -- on the 14 Due Date 4, the scheduling order, the Petitioner's 15 Motion For Observation, I would like to change that 16 to just Motions For Observation, so that way the 17 Patent Owner will have an opportunity to file a 18 Motion For Observation regarding cross-examination 19 of reply witness. 20 Because in this case, the Patent Owner did 21 file a Motion to Amend. 22 And also for Due Date 5, on the Due Date 5

it says the Patent Owner's Response to Observation,

I want to change that to both Patent Owner's and

Petitioner's.

So that way both parties will have the opportunity to file replies to observations if the opposing side files a Motion For Observation, okay?

I want to make that clear.

Okay. I think I heard both sides on this issue. Let's move on to another issue because what I like to do is hear all the issues with both sides and I will confer with my panel before we rule.

So next item, Patent Owner.

MR. BONE: Yes. And that's as to request to file a Motion to Make a Second Amendment to the claims. And I want to stress this would be on a very limited nature and it I would be directly to address specific points raised in the Petitioner's opposition to the Motion to Amend.

And lastly we would not be to basically raise new issues of claim construction or to raise essentially new claim terms that have not previously been essentially relieved by the parties, by the

33 1 Board. 2 JUDGE CHANG: I just want to at least 3 direct your attention to Rule 42.121(c), that 4 additional Motion to Amend may be authorized when 5 there is good cause showing or a joint request from 6 the Petitioner and Patent Owner to materially 7 advance a settlement. 8 So are you requesting that under the first scenario, good cause showing, or the second one? 9 MR. BONE: It would be the first scenario. 10 JUDGE CHANG: Okay. What's your good 11 12 cause showing? 13 MR. BONE: The good cause showing would be 14 that we believe that being able to do this would 15 actually narrow the issues that would be considered 16 at the oral hearing and ultimately in the decision that comes out of the trial. 17 18 JUDGE CHANG: Okay. MR. BONE: And I think it would be 19 20 basically to fairly address some small and limited 21 items that Petitioner has raised in their 22 opposition.

JUDGE CHANG: Okay. Petitioner, do you want to make comments on that?

MR. KUSHAN: Sure. Your Honor, look, I think our position on this is very difficult to state because we have no idea what these proposed changes are. We asked them to tell us what the changes were and they declined to do that.

I think what we understand for the standard set under Rule 42.121(c) is it's a very high bar. It's going to be a reason that is something that is outside of their control, essentially at the time they make their first Motion to Amend.

And it seems to us that the way these proceedings are structured, the onus is on them when they present their claim amendment to think through carefully what that claim amendment should be, present a clear explanation of what the claim construction is, and then, hopefully in their view, address the issues that have been raised about the patentability of the claims that have been found unpatentable.

So when you go into a second amendment, it's not like patent prosecution. This is a situation where you have to look it very stringently and ask why on earth did they not address this or present the claim amendment in their first amendment?

So we think that standard is very high and it's doubtful to us that the amendment they will be proposing will meet that standard or they have not identified the reasons to us why they could not have presented that amendment earlier.

I would hope that the paraphrasing of the standard is accurate as I have set it forth and that they look very carefully at any request they make for another claim amendment. It is very disruptive to the proceedings where briefings have already been completed, not only on the original petition, but also on their first claim amendment, and now we will be facing another round of briefings or another potential prejudice of having this come in after we already kind of stated our positions and completed the briefing.

1 We think, in our view, it's hard to 2 imagine circumstances that would justify that kind 3 of extraordinary practice. 4 JUDGE CHANG: Patent Owner, do you want to 5 have any additional comments before we move on to 6 the next issue? 7 MR. BONE: The only comment I'd offer is 8 whether -- whether the Board might think it would 9 help this issue if we had a meet and confer situation with Petitioner on the proposed further 10 amendments. 11 12 JUDGE CHANG: Well, you should have done 13 that earlier. 14 MR. BONE: You know, we still have two 15 weeks before our reply to their opposition is due. 16 Our thinking was that proposing a further amendment 17 would be timely with that reply, which give us a 18 couple of weeks. 19 JUDGE CHANG: How is this, the Board 20 always wants to encourage the parties to work 21 together and propose a resolution to their issues. 22 If you think it's helpful to confer with

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the Petitioner and then have another conference call with the Board and that way you can present a joint motion or joint request, sure. I think that would be very helpful. MR. BONE: Thank you, we will consider that, certainly. JUDGE CHANG: Okay. That sounds great. How about your next item? MR. BONE: So the next item was is kind of related to the first two that we were looking to potentially get some minor adjustments to the up coming due dates. The first thing that precipitated that was, in fact, the deposition that's up coming next week. It turned out that Petitioner was only able to make their expert available on one day for this deposition and it was actually quite a different day for me to believe able to attend although I made adjustments to other arrangements I made to do that.

Basically we were hoping that maybe the other -- push that deposition date back by a week or two, and then commensurate with that, consistent

with the fact that we were requesting this surreply and also a further amendment, whether basically the due dates of 3, 4, and 5 could be pushed back by a further two weeks.

And in light of the fact that the date for trial -- I'm sorry, the date for oral hearing has also been pushed back by the Board we would actually think that it could be possible to accommodate that shifting of the schedule without too much prejudice, frankly, all around.

And especially in light of the fact that due date two was already extended by one week, as have been due date three, at request of Petitioner.

JUDGE CHANG: Okay. I just want to note that due date one through three could be stipulated to a different date as long as both parties agree to it.

If you want to push back due date three, did you confer with the Petitioner's counsel?

MR. BONE: Actually, I apologize, due date three has already been postponed by one week to May 2nd. What we were hoping to accomplish was a

39 1 further extension of that and four, five, and six by two further weeks. 2 We had made that request of Petitioner's 3 counsel, but ultimately they considered it, they did 4 5 not want to do it, so we raised the discussion at 6 this point. 7 JUDGE CHANG: Okay. Let me understand the issue, then. So currently you have stipulated due 8 date three to May 1st, did you file a notice of 9 stipulation? I apologize, I haven't gone through 10 the whole entitled file before the conference call. 11 12 MR. BONE: I believe that actually came 13 via an e-mail. It came via an e-mail from the 14 Board, I'm not sure if it was actually put in a stipulation in the proceedings to actually reflect 15 16 that. 17 JUDGE CHANG: Okay. So there's no paper 18 filed by the party? 19 MR. BONE: I'm not aware of one, no. 20 JUDGE CHANG: Okay. Just double checking. 21 So due date three currently it's May 1st. 22 MR. BONE: May 2nd.

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1	JUDGE CHANG: May 2nd. So what's your	
2	ask?	
3	MR. BONE: It's to actually extend that by	
4	a further two weeks.	
5	JUDGE CHANG: Two weeks?	
6	MR. BONE: Yes.	
7	JUDGE CHANG: So you want a due date three	
8	to be May 16th.	
9	MR. BONE: And then a subsequent due dates	
10	moved back two weeks.	
11	JUDGE CHANG: Okay.	
12	MR. BONE: Given that the oral hearing is	
13	also two weeks beyond the week you scheduled, to my	
14	mind it does not appear to be particularly	
15	prejudicial to have the whole schedule moved back in	
16	a that fashion.	
17	JUDGE CHANG: What's your reasons, just	
18	because of deposition?	
19	MR. BONE: As I previously stated, it was	
20	the fact that we were requesting the surreply and	
21	also relief to make a further amendment. If either	
22	one or both of those are granted, then that would	

essentially merit additional time.

JUDGE CHANG: Okay. So is your contention on whether we grant the surreply and the Motion to Amend?

MR. BONES: I would not want to say purely conditional on that, but I think that that would be certainly one piece of justification for that.

JUDGE CHANG: Now, let me note that when a party requesting extension of time, they have also to give us reasons for extending the time because as you know these proceedings, the Board has to complete the proceeding, the institute of proceeding within a year.

And that's why we have our trial schedule right from the beginning to make sure that both parties agree to the schedule and also be mindful of the depositions and their availability so that those due dates are met.

So here -- what I'm hearing from you is that you're trying to move the date by three weeks, actually, because it was originally April 25th. So that's a lot of time that you want to move.

MR. BONE: Well, the original one week was actually predicated on Petitioner's request for extending the due date two by one week.

JUDGE CHANG: Okay.

MR. BONE: Which essentially caused an automatic change of due date three. And I also want to reiterate a point I made earlier, you mentioned in yourself about availability of the deposition, we about appreciate that Petitioner has made their expert available for deposition in the original time frame but it is only — he only had availability on one day.

JUDGE CHANG: Okay.

MR. BONE: That turned out to be a day that was quite difficult for us to fit in but we're obviously trying to work with him.

One day in a one-month period seems to be quite limited in terms of the number of options that we were presented.

JUDGE CHANG: I can understand that but that's why you should have raised this earlier. You definitely could have raised that earlier with the

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1	Petitioner and the Board instead of waiting until					
2	now.					
3	MR. BONE: Well, we raised it with the					
4	Petitioner, I think a week ago.					
5	MR. MICALLEF: May I be heard on that,					
6	Your Honor?					
7	JUDGE CHANG: Let the Patent Owner finish					
8	first and then you'll have an opportunity to comment					
9	on it.					
10	MR. MICALLEF: Thank you.					
11	MR. BONE: I'm practically finished.					
12	JUDGE CHANG: Okay.					
13	MR. BONE: I will say we made this request					
14	to Petitioner last week.					
15	The general agreement we understand we're					
16	under from previous calls to the Board is to attempt					
17	to meet and confer and have the dialogue with					
18	opposing counsel before bringing up issues to the					
19	Board; we did, in fact, do that.					
20	JUDGE CHANG: Great. Appreciate that.					
21	Petitioner, did you have something to say?					
22	MR. MICALLEF: Yes. I wanted to make sure					

the record is clear here we actually offered two days for Dr. Carter's deposition, the 23rd and the 24th. In fact, I offered the 23rd in response to Dr. Bones request and had Dr. Carter find somebody else to teach his courses at MIT that day so he could open up that day for deposition.

The Patent Owner decided to go with the 24th instead and we're going to do that. And that's fine, but we have been flexible, in fact I was the one that started the conversation a couple of weeks ago about scheduling it.

The deposition's on the schedule for April 24th. They only have a reply brief, five pages on the Motion to Amend that's due May 2nd. So I don't see why they need a lot more time, I think we'd be open if they want a couple of days, I don't really how that's a problem from our point of view, but once you start pushing it back an additional two weeks then it JAMS up all kinds of things because we have motions to exclude and observations on cross-examination, we have demonstratives to get together.

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1	Our position would be we're certainly	
2	happy to talk to them if they need another day or to	
3	two and we can maybe present something to the Board	
4	jointly but we believed object to a real delay to	
5	these dates.	
6	JUDGE CHANG: How about move due date two	
7	to May 9th, would you object to that? We kind of	
8	basically split the time frame.	
9	MR. KUSHAN: Due date three, Your Honor.	
10	JUDGE CHANG: Yes.	
11	MR. KUSHAN: I don't think we have a	
12	problem with May 9th.	
13	JUDGE CHANG: Patent Owner?	
14	MR. BONE: So then would you commensurate	
15	with that move the subsequent date.	
16	JUDGE CHANG: No.	
17	MR. BONE: The point that Petitioner's	
18	counsel is just made is actually well taken and we	
19	were not expecting to cause undue pressure on the	
20	percent subsequent date, it was actually a request	
21	to do a lock step move of the dates that ultimately	
22	fend upon one another this the calendar over the	

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1	next few weeks.					
2	JUDGE CHANG: I don't mind moving due date					
3	three, 4, 5, 6 until basically one week out but two					
4	weeks out is too long for me. Is that okay with you					
5	Patent Owner?					
6	MR. BONE: I believe so. Let me just					
7	allow my backup counsel to voice his comments. I					
8	know that he's more involved with the concurrent					
9	litigation and I want to make sure that's not going					
10	to put undue pressure on his team in the litigation.					
11	JUDGE CHANG: Okay.					
12	MR. HEINTZ: No problem for us, Your					
13	Honor.					
14	JUDGE CHANG: Okay. So due date three					
15	will be moved to May 9th, right, and then we'll move					
16	all the other dates by one week.					
17	MR. MICALLEF: Your Honor, may I be heard					
18	on that?					
19	JUDGE CHANG: Sure.					
20	MR. KUSHAN: I know I am also involved					
21	in the concurrent litigation.					
22	JUDGE CHANG: Okay.					

47 1 MR. KUSHAN: In fact, I'm heading up the 2 discovery efforts. 3 And just so Your Honor understands here, 4 that litigation has very recently come back to life 5 and that actually is the reason for Patent Owner's 6 newfound desire to push back dates. 7 JUDGE CHANG: Okay. 8 MR. KUSHAN: I think if you go back and read some of the prior filings they essentially 9 10 argued they had a constitutional right to a quick resolution of these things. 11 12 They have gone into the District Court and 13 successfully argued for a very fast expert discovery 14 period, opening reports are due May 2nd, reply reports are due June 2nd, and all expert depositions 15 16 must be done July 2nd, and then there's off to 17 Summary Judgment Motions. 18 So pushing these things back is going to 19 percent potentially jam me up quite a bit because as 20 the schedule stands now I think I can get all these 21 things done but if you're going to start moving all 22 these things, it might become very difficult and I

48 1 will say that I would expect that this is not the 2 last time that somebody from the Patent Owner's side 3 asks for a delay because it is in their interest to 4 delay the Board's issuance of a final written 5 decision in a 292 action as much as possible. 6 JUDGE CHANG: Okay. 7 MR. MICALLEF: I want to make that clear 8 on the record. 9 JUDGE CHANG: Thank you for your comment, noted. Like I said earlier, I asked both sides 10 whether testify they have any problems with moving 11 12 the due date three to May 9th and then the rest of 13 it by one week. 14 In view of that are you still okay with 15 that, one week? 16 MR. MICALLEF: I think, well -- for the 17 due date three, one week I think we would be fine 18 with that. JUDGE CHANG: How about due date four. 19 20 MR. MICALLEF: I would ask we leave the 21 rest of them as they are. 22 That only gives one week MR. BONE:

between due date three and four and I believe at the beginning of this call, Your Honor, you actually added some additional materials that you said could be due on due date four and five?

JUDGE CHANG: No, I didn't add any material, I merely just gave the Patent Owner the opportunity to file a Motion For Observation if they choose to. I didn't require them to, okay?

MR. BONE: I understand that distinction.

But still, I mean, the original calendar had three weeks between three and four. It seems to be a little bit tight to try to make that just one week.

JUDGE CHANG: Yeah, I agree with that.

The overall trial schedule will not be moved. So Petitioner, I'm leaning towards granting that moving all the dates by one week, other than due date 7. And due date 7, Petitioner is supposed to confer with the Patent Owner to get some proposed date for the Board.

But I will confer with my panel. Is there any other comment before I confer with my panel?

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50 1 MR. KUSHAN: Your Honor, this is Jeffrey 2 We had one last item that was on our e-mail 3 list. JUDGE CHANG: Sure. 4 5 MR. KUSHAN: And that was simply a 6 question about errata in our reply brief. We had 7 noticed there were essentially three typos in the 8 reply. 9 One was kind of compelled or as a 10 consequence of not having a final transcript of a deposition transcript. And I would hope that these 11 12 would not be opposed by the Patent Owner, but I'd 13 also need guidance from you on how we might propose 14 to correct these errors. JUDGE CHANG: Okay. Did you talk to the 15 16 Patent Owner? What type of error are you 17 correcting? 18 MR. KUSHAN: Before the call I sent over 19 the three specific changes and we sent them a 20 redline that would make those changes for them to 21 review; although, I don't know they had a chance to 22 review it because we recently sent it to them.

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1	JUDGE CHANG: Can I hear from the						
2	Patent Owner?						
3	MR. BONE: Yeah. We saw the e-mail come						
4	over before the call. I'm trying to review it now.						
5	JUDGE CHANG: Okay.						
6	MR. BONE: It looks like there's there						
7	are a couple of changes on page Arabic Numeral 1,						
8	which are not referenced in the e-mail, and also						
9	Arabic Numeral 2. I think we would have to review						
10	this after the call.						
11	JUDGE CHANG: Sure.						
12	MR. BONE: I don't think it's really going						
13	to take a long time and we should be able to respond						
14	today to this.						
15	JUDGE CHANG: Sure.						
16	MR. KUSHAN: If we were to do it, if there						
17	was no opposition to the edits, would that be						
18	something the Board could authorize us filing a						
19	corrected reply brief.						
20	JUDGE CHANG: Yes. And also file an						
21	exhibit of the redlined version, so that we know the						
22	changes from the corrected version to the original						

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1	version.	
2	MR. KUSHAN: Okay. Thank you, Your Honor.	
3	MR. BONE: Okay.	
4	JUDGE CHANG: You're welcome. Anything	
5	else before I confer with I many panel on those	
6	issues?	
7	MR. KUSHAN: Nothing from Petitioner.	
8	MR. BONE: Nothing from myself. I will,	
9	again, let Mr. Heintz offer up any further comments.	
10	JUDGE CHANG: Sure.	
11	MR. HEINTZ: Your Honor, the only thing I	
12	would mention is we talked about the surreply and	
13	Dr. Bones mentioned briefly that an alternative to	
14	the surreply was the Motion to Strike, and I want to	
15	make sure that is also something that's considered	
16	by the Board.	
17	JUDGE CHANG: Okay. I think we heard from	
18	Dr. Bones on that issue.	
19	MR. HEINTZ: Okay. That's it, Your Honor.	
20	JUDGE CHANG: Okay. What I'm going to do	
21	is I'm going to get off the line with you for 10	
22	minutes so that way I can confer with	

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1	Judge Clements, and then I will dial back in at					
2	3:00.					
3	(Discussion off the record.)					
4	(Recess taken at 11:51 a.m.)					
5	JUDGE CHANG: Hello, this is Judge Chang.					
6	Here with me also is Judge Matthew Clements.					
7	We're back.					
8	MR. KUSHAN: You have Jeffrey Kushan for					
9	Petitioner and I think my partner, Joseph Micallef,					
10	is on.					
11	MR. MICALLEF: Joseph Micallef and					
12	Stacy Quan are on.					
13	JUDGE CHANG: Okay. How about the					
14	Patent Owner.					
15	MR. BONES: Richard Bones is on.					
16	JUDGE CHANG: Okay. And your co-counsel?					
17	MR. HEINTZ: Yes, Your Honor, Jim Heintz					
18	is here as well.					
19	JUDGE CHANG: So we have everyone back.					
20	Great.					
21	After I conferred with my panel we decided					
22	the first issue, the Petitioner requested our					

guidance on the Motion For Joinder, we felt at this time since the briefing has been completed for that issue the Panel have all the information it needs to decide on that issue, so we're going to decide it on our own on the written record and as well as petition and taking into consideration of the Patent Owner Preliminary Response.

Currently we don't need any additional briefing and also plus there's no need to authorize Petitioner to file a paper to withdraw the motion since everything is completed already, okay.

On the second issue on the oral hearing date, I think that Petitioner already agreed to confer with the Patent Owner and will give us several dates so that we can work with our administrative staff for all hearing dates.

And then the Patent Owner's issue regarding surreply in the IPR2013-00292, we feel that the Board understands that, you know, a reply only responds to arguments raised in the opposition and we are in the best position to consider a reply, whether it's outside of the scope or not or any

evidence or new evidence that's improperly presented.

So far the Board always, when we decide the final written decision, if there's any new issue raised in a reply, we will not consider it. And so at this time we don't need an additional briefing and it would not be necessary or helpful because it would cause unnecessary delay in the proceedings.

Also, for the Motion to Strike also is not necessary because here the Board can decide on each issue, whether it's a new issue or whether it was not properly raised earlier. So and also beyond the scope of the reply or the evidence, the Board will decide on that when we decide the case and when we prepare for the final written decision, okay.

Now, on the second issue from the Patent Owner, the Motion For a Second Amendment, it sounds like the Patent Owner will confer with the Petitioner. And we can schedule another conference call once you confer with the Petitioner and still feel that you want the authorization to file a second Motion to Amend.

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1	And then, on the third issue about due						
2	dates 3 through 5, we will sent out an order to						
3	revise due date 3, changing it to May 9th. And then						
4	also due date 4 to the 23rd, and then Due Date 5,						
5	June 6th. And we'll leave due data 6 alone and also						
6	due date 7, which is the oral argument date, we'll						
7	forward to the joint request on that on proposed						
8	dates.						
9	Is there any other issues?						
10	MR. KUSHAN: Just a clarification, Your						
11	Honor.						
12	JUDGE CHANG: Sure.						
13	MR. KUSHAN: So on the first issue you						
14	indicated we would not have leave to file the Motion						
15	to Withdraw the Joinder						
16	JUDGE CHANG: Yes.						
17	MR. KUSHAN: I'll just confirm that the						
18	schedule for the current trial that has been						
19	instituted is not being altered, other than just						
20	JUDGE CHANG: Currently we are not moving						
21	the schedule for the IPR2013-00292, other than the						
22	due date three by one week and due dates 4 and five.						

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1	MR. KUSHAN: Okay. That's fine.	
2	MR. HEINTZ: This is Jim Heintz. I just	
3	wanted to make sure I heard that correctly.	
4	So Due Date 5 is now June 6th?	
5	JUDGE CHANG: Yes, uh-huh.	
6	MR. HEINTZ: And you are not moving Due	
7	Date 6?	
8	JUDGE CHANG: Oh, I'm sorry, hold on. I	
9	guess I was looking at your request for due date	
10	three to 5.	
11	I'll move the due date 8 to June 13th; how	
12	is that?	
13	MR. HEINTZ: Thank you, Your Honor.	
14	JUDGE CHANG: Thank you for that	
15	clarification.	
16	MR. HEINTZ: You're welcome.	
17	JUDGE CHANG: Anything else? If not, then	
18	this adjourns the conference call and we'll	
19	(inaudible) memorialize the conference call.	
20	Thank you for all your time.	
21	MR. KUSHAN: Thank you, Your Honor.	
22	MR. HEINTZ: Thank you.	

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1	MR. BONE: Thank you.	
2	JUDGE CHANG: Bye.	
3	(The Telephonic Judge's Conference of	
4	IPR2014-00271 & IPR2013-00292 was	
5	concluded at 12:03 p.m.)	
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59 1 CERTIFICATE OF SHORTHAND REPORTER 2 (SACRAMENTO, CALIFORNIA) I, JAMES BEASLEY, RPR, CA CSR No. 12807, 3 do hereby certify that I was authorized to and did 4 5 report said teleconference in stenotype; and that 6 the foregoing pages are a true and correct 7 transcription of my shorthand notes of said 8 teleconference. I further certify that said teleconference 9 10 was taken at the time and place hereinabove set forth and that the taking of said teleconference was 11 12 commenced and completed as hereinabove set out. 13 I further certify that I am not attorney 14 or counsel of any of the parties, nor am I a relative or employee of any attorney or counsel of 15 16 party connected with the action, nor am I 17 financially interested in the action. 18 IN WITNESS WHEREOF, I have hereunto set my 19 hand this 22nd day of April, 2014. 20 ames Beasley 21 JAMES BEASLEY, RPR, CA CSR No. 12807 22

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