

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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MICROSOFT CORPORATION  
Petitioner,

v.

SURFCAST, INC.  
Patent Owner

Patent No. 6,724,403

Issued: April 20, 2004

Filed: October 30, 2000

Inventors: Ovid Santoro *et al.*

Title: SYSTEM AND METHOD FOR SIMULTANEOUS DISPLAY OF  
INFORMATION SOURCES

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*Inter Partes* Review Nos. IPR2013-00292, IPR2013-00293,  
IPR2013-00294, and IPR2013-00295

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**TRANSMITTAL LETTER AND UPDATED EXHIBIT LIST**

IPR2013-00292, IPR2013-00293,  
IPR2013-00294, and IPR2013-00295

Petitioner Microsoft Corporation submits an updated list of exhibits to include the transcript of the Deposition of David R. Karger on April 24, 2014. The updated list appears below:

| Exhibit # | Description                                                                                                                                                                                                             |
|-----------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1001      | U.S. Patent 6,724,403                                                                                                                                                                                                   |
| 1002      | File History of U.S. Patent 6,724,403                                                                                                                                                                                   |
| 1003      | Declaration of David R. Karger                                                                                                                                                                                          |
| 1004      | Curriculum Vitae of David R. Karger                                                                                                                                                                                     |
| 1005      | U.S. Provisional Application 60/162,522                                                                                                                                                                                 |
| 1006      | Declaration by Utah State Library (5/1/2013)                                                                                                                                                                            |
| 1007      | Utah State University Library copy of Microsoft Internet Explorer Resource Kit (“MSIE Kit”) (Microsoft Press 1998)<br>(Exhibit 1 to Declaration by Associate Dean for Public Services of Utah State University Library) |
| 1008      | Excerpts of North Carolina State University copy of Microsoft Internet Explorer Resource Kit (Microsoft Press 1998)                                                                                                     |
| 1009      | Excerpts of Library of Congress copy of Microsoft Internet Explorer Resource Kit (Microsoft Press 1998)                                                                                                                 |
| 1010      | Excerpts of Harvard copy of Microsoft Internet Explorer Resource Kit (Microsoft Press 1998)                                                                                                                             |
| 1011      | U.S. Patent 6,832,355                                                                                                                                                                                                   |
| 1012      | File History of U.S. Patent 6,832,355                                                                                                                                                                                   |
| 1013      | U.S. Patent 6,118,493                                                                                                                                                                                                   |
| 1014      | U.S. Patent 6,456,334                                                                                                                                                                                                   |
| 1015      | U.S. Patent 5,432,932                                                                                                                                                                                                   |
| 1016      | U.S. Patent 5,819,284                                                                                                                                                                                                   |
| 1017      | U.S. Patent 6,807,558                                                                                                                                                                                                   |
| 1018      | U.S. Patent 5,959,621                                                                                                                                                                                                   |
| 1019      | U.S. Patent 5,479,602                                                                                                                                                                                                   |
| 1020      | U.S. Patent 5,321,750                                                                                                                                                                                                   |
| 1021      | U.S. Patent 5,712,995                                                                                                                                                                                                   |
| 1022      | U.S. Patent 5,473,745                                                                                                                                                                                                   |
| 1023      | U.S. Patent 5,140,678                                                                                                                                                                                                   |
| 1024      | U.S. Patent 6,104,700                                                                                                                                                                                                   |
| 1025      | U.S. Patent 5,721,951                                                                                                                                                                                                   |

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| Exhibit # | Description                                                                                                                                   |
|-----------|-----------------------------------------------------------------------------------------------------------------------------------------------|
| 1026      | U.S. Patent 5,321,808                                                                                                                         |
| 1027      | U.S. Patent 5,550,968                                                                                                                         |
| 1028      | U.S. Patent 4,905,171                                                                                                                         |
| 1029      | U.S. Patent 5,901,228                                                                                                                         |
| 1030      | U.S. Patent 6,278,448                                                                                                                         |
| 1031      | U.S. Patent 5,848,356                                                                                                                         |
| 1032      | U.S. Patent 6,430,405                                                                                                                         |
| 1033      | U.S. Patent 6,047,197                                                                                                                         |
| 1034      | U.S. Patent 6,418,309                                                                                                                         |
| 1035      | U.S. Patent 5,635,980                                                                                                                         |
| 1036      | U.S. Patent 6,061,576                                                                                                                         |
| 1037      | U.S. Patent 5,371,847                                                                                                                         |
| 1038      | U.S. Patent 5,764,972                                                                                                                         |
| 1039      | U.S. Patent 6,363,445                                                                                                                         |
| 1040      | U.S. Patent 5,812,123                                                                                                                         |
| 1041      | U.S. Patent 6,411,275                                                                                                                         |
| 1042      | U.S. Patent 4,831,556                                                                                                                         |
| 1043      | U.S. Patent 5,757,371                                                                                                                         |
| 1044      | U.S. Patent 5,831,664                                                                                                                         |
| 1045      | U.S. Patent 5,838,326                                                                                                                         |
| 1046      | U.S. Patent 5,929,854                                                                                                                         |
| 1047      | U.S. Patent 6,160,553                                                                                                                         |
| 1048      | U.S. Patent 6,166,738                                                                                                                         |
| 1049      | U.S. Patent 6,188,405                                                                                                                         |
| 1050      | EP 0651544                                                                                                                                    |
| 1051      | PCT Application WO9926127                                                                                                                     |
| 1052      | SurfCast's Responses to Microsoft's First Set of Interrogatories (includes SurfCast's Infringement Contentions (Exhibits A and B) (2/14/2013) |
| 1053      | SurfCast Proposed Claim Construction (4/12/2013)                                                                                              |
| 1054      | SurfCast Rebuttal Proposed Claim Construction (4/26/2013)                                                                                     |
| 1055      | Microsoft's Initial Proposed Terms and Constructions (4/12/2013)                                                                              |
| 1056      | SurfCast's Amended Complaint from 2:12-CV-00333-DBH [19]                                                                                      |
| 1057      | Amended Scheduling Order, Maine District Court, Case No. 2:12-CV-333-DBH [41]                                                                 |
| 1058      | Excerpts of Dictionary of Computer and Internet Terms (Sixth Ed., 1998)                                                                       |

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| Exhibit # | Description                                                                                                                                                                                     |
|-----------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1059      | Excerpts of Microsoft Computer Dictionary (Fourth Ed., 1999)                                                                                                                                    |
| 1060      | Nokia 9110 User Guide (“Nokia 9110”)                                                                                                                                                            |
| 1061      | Motorola Envoy Wireless Communicator User’s Guide (“Motorola Envoy”)                                                                                                                            |
| 1062      | Simon User’s Manual (“Simon”)                                                                                                                                                                   |
| 1063      | Readings in Human-Computer Interaction: Toward the Year 2000 (1995 2d ed.)                                                                                                                      |
| 1064      | Schneiderman, B., Designing the User Interface (3d ed. 1998)                                                                                                                                    |
| 1065      | Cerani, Delivering Push, 1998                                                                                                                                                                   |
| 1066      | Myers, B.A., “The User Interface for Sapphire,” CG&A, Vol. 4, No. 12, Dec. 1984, pp.13-23                                                                                                       |
| 1067      | Myers, B.A., A Taxonomy of Window Manager User Interfaces, CG&A, Sep. 1988, pp. 65-84                                                                                                           |
| 1068      | <i>Teitelman</i> , A Tour Through Cedar (1984)                                                                                                                                                  |
| 1069      | OpenStep User Interface Guidelines (1996)                                                                                                                                                       |
| 1070      | Lane, J.C., <i>et al.</i> , User Interfaces for a Complex Robotic Task: A Comparison of Tiled vs. Overlapped Windows (January 1997)                                                             |
| 1071      | Bly, <i>et al.</i> , A Comparison of Tiled and Overlapping Windows Proc. SIGCHI, Human Factors in Computing Systems, ACM, New York, 1986, pp. 101-106                                           |
| 1072      | <i>Inside Dynamic HTML</i> (Microsoft Press 1997)                                                                                                                                               |
| 1073      | E. S. Cohen, E. T. Smith, and L. A. Iverson, “Constraint-Based Tiled Windows,” Proc. 1 <sup>st</sup> Int’l Conf. on Computer Workstations, CS Press, Los Alamitos, Calif., Nov. 1984, pp. 2-11. |
| 1074      | M. Gancarz, “Uwm: A User Interface for X Windows,” Conf. Proc. Summer Tech. Conf., Usenix, Denver, Jan. 1986, pp/429-440.                                                                       |
| 1075      | Matthews, M. S., <i>et al.</i> , FrontPage 2000: The Complete Reference (1999) (Excerpts of pages referenced in prosecution history)                                                            |
| 1076      | Ross, J., ABCs of Internet Explorer 4 (1997)<br>(Excerpts of pages referenced in prosecution history)                                                                                           |
| 1077      | McFedries, P., The Complete Idiot’s Guide to Windows 95 (Mar. 1997, 2d Ed.) (Excerpts of pages referenced in prosecution history)                                                               |
| 1078      | <a href="http://www.w3.org/submission/1997/5/">http://www.w3.org/submission/1997/5/</a> (4/11/97)                                                                                               |
| 1079      | <a href="http://www.w3.org/TR/NOTE-Submission-HDML">http://www.w3.org/TR/NOTE-Submission-HDML</a> (5/9/97)                                                                                      |
| 1080      | <a href="http://www.we.org/TR/NOTE-Submission-HDML-FAQ.html">http://www.we.org/TR/NOTE-Submission-HDML-FAQ.html</a> (4/11/97)                                                                   |
| 1081      | <a href="http://www.ncns.com/news/2/uwplanet.html">http://www.ncns.com/news/2/uwplanet.html</a> (5/7/97)                                                                                        |

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| Exhibit # | Description                                                                                                             |
|-----------|-------------------------------------------------------------------------------------------------------------------------|
| 1082      | Microsoft's 2 <sup>nd</sup> Supplemental Responses to SurfCast's First Set of Interrogatories (Confidential) (4/8/2013) |
| 1083      | Petitioner's Initial Disclosures                                                                                        |
| 1084      | Deposition Transcript of Dr. David Karger (signed), dated January 14, 2014                                              |
| 1085      | Excerpts of Merriam Webster's Collegiate Dictionary, 10th Ed. (1995) for the term "access"                              |
| 1086      | Excerpts of Microsoft Computer Dictionary, 4th Ed. (1999) for the term "access"                                         |
| 1087      | Excerpts of Merriam Webster's Collegiate Dictionary, 10th Ed. (1995) for the term "periodic"                            |
| 1088      | Excerpts of Glenn E. Weadock, Intranet Publishing for Dummies (1997)                                                    |
| 1089      | Glenn E. Weadock, Windows 98 Registry for Dummies (1998)                                                                |
| 1090      | GDDM, Base Application Programming Guide, Version 3, Release 2 (September 1996).                                        |
| 1091      | U.S. Patent 5,974,567                                                                                                   |
| 1092      | Glenn E. Weadock, Intranet Publishing for Dummies (1997) – Full version of Ex. 1088                                     |
| 1093      | U.S. Patent 5,577,935                                                                                                   |
| 1094      | U.S. Patent 7,949,687                                                                                                   |
| 1095      | U.S. Patent 6,616,701                                                                                                   |
| 1096      | U.S. Patent 7,139,970                                                                                                   |
| 1097      | HTML 4.0 Specification (April 24, 1998)                                                                                 |
| 1098      | Scott Isaacs, Inside Dynamic HTML (1997)                                                                                |
| 1099      | Thomas E. LaStrange, "swm: An X Window Manager Shell" (1990)                                                            |
| 1100      | U.S. Patent 5,982,445                                                                                                   |

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IPR2013-00294, and IPR2013-00295

| Exhibit # | Description                                                                                     |
|-----------|-------------------------------------------------------------------------------------------------|
| 1101      | Excerpts of The American Heritage Dictionary, Second College Edition (1985) for the term “when” |
| 1102      | Deposition Transcript of Richard Bone, dated February 19, 2014                                  |
| 1103      | Deposition Transcript of Ovid Santoro, dated February 27, 2014 (Protective Order Material)      |
| 1104      | Deposition Transcript of Klaus Lagermann, dated March 6, 2014                                   |
| 1105      | Deposition Transcript of Tom Dechaene, dated March 11, 2014 (Protective Order Material)         |
| 1106      | Deposition Transcript of Glenn Weadock, dated March 12, 2014                                    |
| 1107      | EP 1,161,716B                                                                                   |
| 1108      | U.S. Patent 3,760,364                                                                           |
| 1109      | Redacted Deposition Transcript of Klaus Lagermann, dated June 27, 2013 (from Maine)             |
| 1110      | Reply Expert Declaration of David Karger                                                        |
| 1111      | Redline for Corrected Reply Brief                                                               |
| 1112      | Transcript of Conference Call with the Board on April 16, 2014                                  |
| 1113      | Deposition Transcript of David R. Karger, April 24, 2014                                        |

Dated: May 6, 2014

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**CERTIFICATE OF SERVICE**

I hereby certify that on this 6th day of May 2014, a copy of this Transmittal Letter and Updated Exhibit List, along with Exhibit 1113 have been served in its entirety by e-mail on the following counsel of record for Patent Owner, per 37 C.F.R. § 42.6(e)(4)(ii):

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