1	UNITED STATES PATENT AND TRADEMARK OFFICE
2	BEFORE THE PATENT TRIAL AND APPEAL BOARD
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5	QSC AUDIO PRODUCTS, INC.,)
б	Petitioners,)
7	vs.) Case No. IPR2014-00131
8	CREST AUDIO, INC.,) U.S. Patent 5,652,544
9	Patent Owner.)
10)
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14	VIDEO DEPOSITION OF DANIEL P.W. ELLIS, Ph.D.
15	Seattle, Washington
16	Friday, June 27, 2014
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18	
19	
20	
21	Reported by:
22	Donald W. McKay, RMR, CRR, CCR 3237
23	
24	Job No. 54823
25	CREST 2002

Page 1

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15	Video deposition of DANIEL P.W. ELLIS, Ph.D.,
16	taken on behalf of Patent Owner, at 1201 Third Avenue,
17	Suite 4900, Seattle, Washington, beginning at 9:00 a.m.
18	and ending at 6:02 p.m., on Friday, June 27, 2014,
19	before Donald W. McKay, RMR, CRR, CCR No. 3237.
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<pre>22 Rodney Tullett. 23 /// 24 /// 25 ///</pre>	21	petitioner, QSC Audio Products LLC. Along with me,
 23 /// 24 /// 25 /// 	22	Rodney Tullett.
24 /// 25 ///	23	///
25 ///	24	///
	25	///

1	DANIEL P.W. ELLIS, Ph.D. called as a witness in the
2	above-entitled cause, being
3	first duly sworn, testified
4	as follows:
5	
б	EXAMINATION
7	BY MR. CRAIN:
8	Q. Good morning, Dr. Ellis.
9	A. Good morning.
10	Q. I just want to run through some of the same type
11	of housekeeping-type issues that we did yesterday. I'll
12	try to do it more quickly since this is day two of our
13	time together in deposition.
14	A. Okay.
15	Q. As you know, my name is Andrew Crain. Just for
16	this record, would you go ahead and introduce yourself
17	and give your name and address.
18	A. Daniel Ellis, 456 Riverside Drive, Apartment 8B,
19	New York, New York. Did you want my telephone number as
20	well?
21	Q. That's fine.
22	I think I asked you yesterday, had you ever been
23	deposed before, and you said no.
24	A. That's right.
25	Q. So now the answer to that would be yes.

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1	A. That's right.
2	Q. From yesterday, obviously.
3	As you'll know, just like yesterday, I will ask
4	you questions. Your answers will be recorded by the
5	court reporter to your left, and also recorded across
6	the way by the videographer. To that end, please
7	remember that we need to have verbal responses to
8	questions so that our court reporter can record yeses
9	and nos.
10	A. Yes.
11	Q. I think we did run into a couple of instances
12	yesterday where we need to speak clearly
13	A. Right.
14	Q so that we can get to that.
15	They will certainly remind us if we have any
16	troubles. Are you okay with that?
17	A. Yes.
18	Q. Okay. Very good.
19	I'm sure yesterday, I think I probably asked a
20	few questions that I probably didn't state very well.
21	Much like yesterday, if I do that today, I ask that you
22	please let me know that a question is unclear to you for
23	any reason
24	A. Yes.
25	Q and I will do my best to try to address the

1	point of unclarity for you.
2	A. Thank you.
3	Q. If you answer the question without doing that,
4	then I'll just understand that you understood the
5	question.
6	A. Right.
7	Q. Is that fair?
8	A. Yes.
9	Q. Just like yesterday, we'll plan to go for
10	periods of about an hour, an hour and 15 minutes or so.
11	If you need a break at any time, that's fine, please let
12	me know. We'll do our best to accommodate that as
13	quickly as possible. My only request to you, like
14	yesterday, is if there is a question pending, that you
15	go ahead and answer the question as fully and completely.
16	The only caveat to that would be if there is an issue of
17	privilege. It's not my desire to get into issues that
18	are truly protected by an applicable privilege, but to
19	the extent you can answer the question without doing
20	that, I would like to go ahead and try to do that and
21	we'll take the break. Is that okay?
22	A. That's great.
23	Q. Certainly, if you need to speak with one of the
24	attorneys that are present in the room, you're more than
25	welcome to do that. If there is a question outstanding,

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1	those same instructions. Let's try to answer the
2	question to the extent we can, without getting into the
3	substance of any privilege content that you may feel is
4	potentially invoked by the question. Is that okay?
5	A. Yes.
б	MR. DAS: Just to clarify, if you're not sure if
7	privilege applies and you need to speak with Mr. Tullett
8	or me, feel free to do that before responding to the
9	question.
10	THE WITNESS: Okay.
11	BY MR. CRAIN:
12	Q. Each situation will be fact specific or it will
13	be unique unto itself. So I'm sure we can work through
14	it. I don't think we had a problem with it yesterday.
15	A. No.
16	Q. I don't think it came up yesterday. But again,
17	I want to remind you, if you happen to recall
18	information that may be responsive to an earlier
19	question that you didn't share with me when the earlier
20	question was posed to you, what I would like for you to
21	do is stop the question or just stop us wherever we are,
22	and go back and address whatever the prior information
23	that's come to your mind may be. Once we feel like
24	once you feel like we've exhausted that information and
25	you've provided a full and complete response, based upon

1	your remembering new information, then we'll jump back
2	to wherever we were. Is that okay?
3	A. That's great.
4	Q. Much like yesterday, we'll probably be talking
5	about some documents. If you feel like there is a
6	document that may help you frame a response, please let
7	me know. I may have it. But I mean if you there
8	were a couple times yesterday where maybe we don't have
9	a document, but if you can at least identify it, that
10	can be helpful to the process as well, to the best you
11	can.
12	Dr. Ellis, do you happen to be taking any
13	medications or can you think of any other reasons that
14	might make it difficult for you to answer my questions
15	today?
16	A. No.
17	Q. Can you think of any reason, Dr. Ellis, why you
18	may not be able to answer my questions truthfully today?
19	A. No.
20	Q. Do you understand that you're under oath?
21	A. Yes.
22	(Exhibit 11 marked for identification.)
23	BY MR. CRAIN:
24	Q. I'm going to hand to you what we've marked as
25	Exhibit 11. Would you please take a moment to review it

1	and let me know when you have completed your review.
2	A. Okay.
3	Q. Are you able to identify what appears to be
4	Exhibit 11?
5	A. It appears to be the notice of this deposition.
б	Q. Do you recall if you've ever seen a document
7	like Exhibit 11 before?
8	A. I think I have. Yeah, I've seen a document like
9	it. I think I've seen this one before.
10	Q. Or a copy of it.
11	Do you have any understanding of whether or not
12	Exhibit 11 is why you're here today?
13	A. I understand that's why I'm here today.
14	Q. I know we talked about some of these issues
15	yesterday. I want to walk through some of them again.
16	To the extent there is any differences, then we can talk
17	about that. There may not be. That may help us move
18	things along.
19	Dr. Ellis, can you describe for me what you did
20	in preparation for today's deposition.
21	A. I reviewed some documents relating to my
22	declaration, and I had discussions with my attorneys.
23	So the attorneys.
24	Q. Do you recall what documents you reviewed in
25	relation to your declaration?

I'm going to object on privilege and 1 MR. DAS: 2 work product grounds and instruct the witness not to 3 respond. 4 MR. CRAIN: Let me see if I understand. You're not going to let him answer what documents he reviewed 5 6 in preparation for his deposition? 7 MR. DAS: Yes. 8 MR. CRAIN: Under what basis? 9 MR. DAS: On the basis that that can go to our 10 strategy and what documents we are showing him. Again, 11 what documents he relied on in preparing his opinion, 12 that's fair game, but what documents we have elected to 13 show him to prepare for his deposition is not fair game. MR. CRAIN: I didn't ask him what documents you 14 15 showed him. I asked him what documents he reviewed. 16 MR. DAS: And the --17 MR. CRAIN: So you're construing it to be that 18 he reviewed no documents other than what you showed him? 19 MR. DAS: If you can respond to that question 20 without getting into documents that were given to you by 21 QSC's attorneys, then you can answer that question. But 22 I would instruct you not to get into documents that were 23 given to you to review by QSC's attorneys. MR. CRAIN: All right. I disagree with that 24 25 instruction, but let's see if we can -- what we can

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1	parse out. Then we're going to come back to that issue.
2	MR. DAS: Sure.
3	MR. CRAIN: Because that objection was not
4	lodged yesterday. And we got into, I think, a number of
5	documents in the transcript. But we'll see.
6	BY MR. CRAIN:
7	Q. What documents did you review in preparation for
8	your deposition?
9	A. I reviewed my declaration and the references
10	that I the references that I make reference to in the
11	declaration and the patent at issue.
12	MR. DAS: Who just joined?
13	MR. CRAIN: Robert, are you still there?
14	MR. GRAVOIS: I'm still here. I don't know what
15	that was.
16	BY MR. CRAIN:
17	Q. When you reference that's a bad word when
18	you reviewed the references that you make reference
19	to if you can follow me there does that include
20	all references that are recited or listed in your
21	declaration?
22	MR. DAS: Objection, form.
23	THE WITNESS: I think so. If you want to show
24	me the declaration, I can check.
25	BY MR. CRAIN:

1	Q. Well, it's at least your belief that you
2	reviewed all of the documents that are referenced in
3	your declaration?
4	A. Yes. I mean, the ones that I'm using as the
5	basis for my for my points.
6	Q. When did you review the references that are
7	identified in your declaration?
8	A. I reviewed them earlier this week.
9	Q. Was that on Tuesday?
10	A. That includes Tuesday.
11	Q. Again, to the extent we can streamline this from
12	our discussion yesterday, I will try to do that, because
13	I think it could help us conclude earlier. I understand,
14	from your testimony yesterday, that you met with your
15	attorneys on Wednesday, and then you did some review on
16	Tuesday, if I understood you correctly.
17	A. Yes.
18	Q. So I'm just trying to understand, is this
19	contemporaneous with that or not?
20	A. Yes.
21	MR. DAS: Objection to form.
22	BY MR. CRAIN:
23	Q. Approximately how much time do you recall
24	spending on Tuesday with respect to your declaration or
25	any of the references contained or referenced in it?

1	MR. DAS: Objection, form.
2	THE WITNESS: So on Tuesday, I was traveling out
3	here for both yesterday and today's deposition. I was
4	reviewing documents relevant to both events. The total
5	time spent was eight to ten hours.
6	BY MR. CRAIN:
7	Q. Are you able to parse the amount of time that
8	you spent reviewing the declaration and references
9	related to the '544 patent in comparison to the '542
10	patent and its documents that you may have reviewed?
11	A. Not easily.
12	Q. Are you able to give me an approximation?
13	A. A first approximation would be that I spent
14	equal time on both.
15	Q. Okay. What other documents did you review in
16	preparation for your deposition?
17	MR. DAS: Again, if you can answer that question
18	without getting into privileged issues, feel free to do
19	SO.
20	THE WITNESS: I don't recall any other
21	documents.
22	BY MR. CRAIN:
23	Q. Are you testifying that you don't recall any
24	other documents with the exception of any documents that
25	may have been presented to you from your attorneys?

1	That's a yes or no question.
2	A. The only yes or no part is like I want to
3	know if there is a privilege risk or waive the
4	privilege
5	MR. DAS: No, you can say
6	THE WITNESS: But the answer is tell me the
7	question again. I would like to answer the question.
8	BY MR. CRAIN:
9	Q. Sure. Are you testifying that you do not recall
10	reviewing any documents other than what you've told me,
11	with the exception of any documents that may or may not
12	have been presented to you by your attorneys?
13	A. In the matter of the '544 patent
14	Q. Correct.
15	A I'm saying that there are no other documents.
16	Q. Including any documents that may or may not have
17	been presented by your attorneys.
18	A. Sorry. Which one?
19	Q. Let's just try it this way: Yes or no, did your
20	attorneys present documents for you to review in
21	preparation for today's deposition?
22	A. Am I allowed to answer that?
23	MR. DAS: Yes.
24	THE WITNESS: They gave me when I met with
25	them on Wednesday, they gave me a folder with the

1	documents that are referenced in my declaration. But I
2	had already reviewed them on Tuesday. Because like I
3	had my own copies.
4	BY MR. CRAIN:
5	Q. Were there any documents given to you by your
6	attorneys that were not documents that you had already
7	reviewed on Tuesday?
8	A. Not that I recall.
9	Q. Are you able to indicate how long you met with
10	attorneys of QSC in preparation for today's deposition
11	regarding the '544 patent?
12	A. So I met with the attorneys on Tuesday for
13	from about 8:30 until 4:30, something like that. That
14	was discussing both depositions. So some of it was
15	spent some of it was relevant to this deposition.
16	Q. Now, you said Tuesday. Do you mean Wednesday?
17	A. I mean Wednesday. Thank you.
18	I also met with them this morning before we came
19	in.
20	Q. Do you recall speaking to anyone else, other
21	than QSC's attorneys, with respect to today's deposition?
22	A. I've spoken you know, I've mentioned to my
23	family and friends incidentally that I'm coming out here
24	to do this, but that's all.
25	Q. Did you bring any documents with you today?

1	A. No.
2	Q. You seemed to pause with that. So you did not
3	bring any documents to today's deposition?
4	MR. DAS: Objection, asked and answered.
5	MR. CRAIN: Go ahead.
6	THE WITNESS: Yes. That's right. I didn't
7	bring any documents with me today.
8	MR. CRAIN: Okay.
9	(Exhibit 12 marked for identification.)
10	(Exhibit 13 marked for identification.)
11	BY MR. CRAIN:
12	Q. Dr. Ellis, I'm going to give you two documents
13	that we'll be referencing, I'm sure, throughout the day,
14	marked Exhibit 12 and Exhibit 13. Go ahead and just
15	take a few moments and let's look at both of them and
16	see if we can identify them. That way, we can maybe be
17	more efficient with our time.
18	A. Okay.
19	Q. Would you go ahead, please, if you're able, and
20	identify Exhibit 12.
21	A. Exhibit 12 is the Corrected Petition for Inter
22	Partes Review in the case of the patent, 5,652,544.
23	Q. Do you recall if you've ever seen Exhibit 12
24	before?
25	A. I'm not sure. I think I probably have seen it.

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1	Q. Do you recall when you may have first seen it?
2	A. If I've seen it, it would have been, you know,
3	in late 2013, probably or if I hadn't maybe I
4	didn't see it then and maybe maybe I saw it first
5	more recently.
6	Q. Do you recall if Exhibit 12 was one of the
7	documents you reviewed in preparation for your
8	deposition?
9	A. It was not.
10	Q. If you'll take a look at Exhibit 13.
11	A. Yep. This is my declaration.
12	Q. The answers may be similar to yesterday. But
13	when were you first engaged with respect to your
14	involvement in this matter on the '544 patent?
15	A. According to my recollection, it was sometime
16	during the summer of 2013, late summer of 2013, I think.
17	MR. CRAIN: Let's go ahead and do one more
18	document so that we're
19	(Exhibit 14 marked for identification.)
20	BY MR. CRAIN:
21	Q. I'll give you that one, too.
22	A. Thank you.
23	Q. Dr. Ellis, you have what has now been marked as
24	Exhibit 14.
25	A. Yes.

1	Q. When you're able, would you please identify it.
2	A. This appears to be the patent at issue,
3	No. 5,652,544.
4	Q. Who contacted you in regard to your potential
5	involvement in this matter?
6	A. As I recall, I was contacted by the I don't
7	remember whether the initial contact was by phone or by
8	e-mail, but by Attorney Tullett.
9	Q. Sometime in the summer or late summer of 2013?
10	A. That's my recollection.
11	Q. Did you enter into a written engagement
12	agreement with QSC Audio with respect to your efforts to
13	provide a declaration in this case?
14	A. I entered into a written agreement. I couldn't
15	tell you for sure exactly who it was with. Obviously,
16	my interaction was with the attorneys.
17	Q. When did you enter into this written agreement?
18	A. Shortly you know, within a few days or weeks
19	of the initial contact.
20	Q. Could you describe for me your efforts from
21	let me start again.
22	Would you describe for me your efforts that you
23	engaged in after your initial contact from QSC Audio
24	with respect to the '544 patent. Again, I'm not asking
25	you for any communications you may have had with them,

1	but I'd like a description of your efforts.
2	MR. DAS: Objection, form. And again, just to
3	clarify, don't get into privileged communications.
4	THE WITNESS: I reviewed some relevant documents
5	and I discussed with the attorneys.
б	BY MR. CRAIN:
7	Q. Do you recall what the relevant documents were?
8	A. I recall the documents that I've mentioned in my
9	declaration, that I've used in the declaration. There
10	may have been others, but I don't recall any.
11	Q. Of the documents that are recited in your
12	declaration that we have, do you recall which one or
13	ones you would have reviewed subsequent to your initial
14	contact? What I'm asking for is, do you remember
15	specifically which ones you may have reviewed then? If
16	it was all of them, it was all of them. If it was one
17	or more, which ones do you remember? Does that make
18	sense to you?
19	MR. DAS: Objection to form.
20	MR. CRAIN: Let me ask you the question again.
21	BY MR. CRAIN:
22	Q. Do you recall the specific documents from your
23	declaration that you may have reviewed shortly after
24	your initial contact from QSC's attorneys?
25	MR. DAS: Objection, form.

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1	THE WITNESS: Let me think. Obviously, I
2	certainly remember, you know, seeing the actual '544
3	patent. I don't remember the any particular details
4	of the sequence or timing of the other documents.
5	BY MR. CRAIN:
6	Q. I'm sorry? What were you saying?
7	A. I don't recall any details about the precise
8	sequence of the other documents.
9	Q. Well, let's walk through, as systematically as
10	we can, with a description of your activities that
11	commence with your involvement in the matter to your
12	declaration. Okay? So would you explain your
13	activities from the time from which you were engaged,
14	and walk me all the way through when you completed your
15	declaration.
16	MR. DAS: And I caution you not to get into
17	privileged communications in that explanation.
18	THE WITNESS: I had some discussions with the
19	attorneys. I reviewed some documents on my own, the
20	documents we've discussed. I had further discussions
21	and further review. And then I wrote my declaration.
22	BY MR. CRAIN:
23	Q. Are you being compensated for your efforts
24	A. I am.
25	Q with respect to your service in this case?

1	A. Yes.
2	Q. By whom are you being compensated?
3	A. My impression is I'm being paid by the
4	attorneys' law firm. Presumably, ultimately I'm being
5	paid by the petitioner.
6	MR. DAS: Dr. Ellis, I'm just going to caution
7	you to let Mr. Crain finish his question before you
8	start answering.
9	THE WITNESS: I'm sorry. Thank you.
10	BY MR. CRAIN:
11	Q. Have you ever spoken with anyone that is
12	employed by the petitioner?
13	MR. DAS: Again, I would caution you not to get
14	into privileged communications.
15	THE WITNESS: I don't know whether the attorneys
16	representing the petitioner count as being employed by
17	them.
18	BY MR. CRAIN:
19	Q. Let's exclude them.
20	A. No.
21	Q. How much are you being compensated with respect
22	to your efforts on the '544 patent?
23	A. I'm being paid \$250 an hour.
24	Q. Is there any adjustment in your rate depending
25	upon the type of activity?

1 Α. No. 2 How many hours do you believe that you've worked 0. 3 with respect to your efforts on the '544 patent to date? 4 My recollection is that the work that I Α. 5 performed preparing the declaration, both for the '544 6 patent and the '542 patent, was a total of 20 hours, 7 which was completed in 2013. This week, I've been 8 engaged in additional work, which is now three long days 9 worth, and then today. 10 Q. So, if I understand you correctly, approximately 11 20 hours of your time was spent in preparation of 12 declarations on the '542 patent and the '544 patent. 13 Α. Yes. 14 And you may have mentioned it yesterday, but 0. 15 let's ask it again with respect to the '544 patent. Are 16 you able to break out the 20 hours in any way to let us know how much of that time may have been devoted 17 18 strictly to the '544 patent and your declaration for it? 19 It's not easy for me to do that, but it's Α. 20 reasonable to say that it's evenly split between the 21 two. 22 Did you tell me that you spent approximately --0. 23 was it eight to ten hours on Tuesday, in preparation for this deposition? 24 25 Yes. Well, in preparation for both depositions. Α.

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Thank you for the correction. 0. Then, I think on Wednesday, it was pretty much an all-day effort with the QSC attorneys as well, in preparation for the '542 and the '544 depositions. Correct? That's right. Α. 0. So is that approximately 20 hours or so, according to your estimation? Α. Yes. So, of that 20 hours, again, split roughly 0. evenly between the '542 and '544 patents? Α. I think that's the most -- if we had to split them, that would be the way to do it. Like the '542 patent, have you invoiced your 0. time with respect to the '544 patent in preparation for the declaration? Α. Have I invoiced the time spent preparing the declaration? Is that what you're asking? Yes, that's a better question. 0. Α. I haven't invoiced them separately. I've made a single invoice for my work on both declarations. Right. And I think it was around \$5,000, if I 0. recall yesterday. So again, \$2,500 or so would have been invoiced with respect to the preparation of your declaration in the '544 patent?

1	A. As I said, I didn't as I recall, I don't
2	remember breaking it down. It's like there is a single
3	invoice for the total work. It doesn't specify a
4	breakdown.
5	Q. Have you received payment on the invoice
6	associated with your services preparing the declaration
7	on the '544 patent?
8	MR. DAS: Objection, relevance.
9	THE WITNESS: I've received payment for my
10	single invoice for the work I conducted in 2013 in
11	preparing the two declarations.
12	BY MR. CRAIN:
13	Q. With respect to the '544 patent and your
14	preparation of a declaration in the '544 patent, did
15	QSC's attorney identify any facts or data, which could
16	include documents, to you that you considered in forming
17	your opinions expressed in your declaration?
18	MR. DAS: Objection to form.
19	THE WITNESS: So what are you asking? Did they
20	specify any facts that
21	BY MR. CRAIN:
22	Q. Did QSC identify any documents to you associated
23	with the preparation of your declaration for you to
24	consider?
25	MR. DAS: Objection, privilege. I would

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1	instruct the witness not to respond to that question.
2	MR. CRAIN: That's clearly allowed by
3	Rule 26(b)(5)(ii). Facts and data provided by the
4	party's attorney that the expert considered.
5	MR. DAS: That's not the question you asked. So
6	you might want to reconsider asking your question.
7	MR. CRAIN: I believe it's the exact same thing.
8	MR. DAS: I instruct the witness not to answer
9	that question.
10	BY MR. CRAIN:
11	Q. Dr. Ellis, please identify any facts or data
12	and that would include documents that QSC's attorneys
13	provided and that you considered in forming the opinions
14	that are expressed in your declaration. And before you
15	answer, considered would include documents even if
16	they're not listed in your declaration.
17	MR. DAS: Objection, form.
18	THE WITNESS: So I'm confused, because it seems
19	like you're asking me about the documents that were
20	shown to me that were provided to me by the attorneys.
21	It seems like that's an issue of privilege. And I
22	understand there is some legal technical stuff going on
23	about exactly which question you can ask and which you
24	can't.
25	MR. DAS: Do you want to take a break and

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that QSC provided and that you considered in forming the	
opinions to be expressed in your declaration.	
A. So you're asking specifically facts and data.	
Is that right?	
Q. That's right. And as we said before, I believe	
that includes any documents that would be provided to	
you as well.	
A. Okay. So the only material the only thing	
that's coming to mind that I used you know that I	
considered in forming my declaration is the documents	
that I refer to in my declaration. I think that's what	
I've said before. Although, I've just remembered	
another one.	
Q. Okay. What's the other one?	
A. There was a document describing an early	
experimental digital audio signal processing device	
manufactured at BBC Research in the mid 1980s. I	
remember seeing reviewing a document describing that.	
Q. This document was provided to you by QSC's	
attorneys?	
A. Not as I recall.	
Q. We'll come back to that.	

23 Α. Yes.

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Again, seeking to focus on any documents that 24 0. 25 were provided to you that you considered. You should

1	understand, when I say considered, even if it's not
2	referenced in your declaration, that you may have
3	reviewed, then I take the position that that also
4	includes considered.
5	MR. DAS: Objection, asked and answered.
6	BY MR. CRAIN:
7	Q. So are there any other documents that
8	A. Not that I can recall. There are no other
9	documents that I considered which would yeah. That's
10	what I said.
11	Q. Dr. Ellis, would you identify for me any
12	assumptions that QSC counsel provided and that you
13	relied on in forming the opinions expressed in your
14	declaration.
15	A. I can't think of any assumptions that I relied
16	on in forming my declaration. But I, you know you
17	could possibly help me by explaining what that counts
18	what counts.
19	Q. Do you understand what an assumption would be?
20	A. I understand the common usage of the term,
21	assumption.
22	Q. Based on your understanding of the common usage,
23	applying it to my question with regard to any
24	assumptions that QSC's attorneys may have provided to
25	you, are you able to answer the question with that

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2MR. DAS: Objection. Again, clarifying, the3question is not assumptions that were provided to you;4the question, I believe, is assumptions that you relied5on. I just want to make sure that's the question.6Because assumptions that were provided to you is7privileged.8BY MR. CRAIN:9Q. I think the question just so your clear10identify, please, any assumptions that QSC's attorneys11provided and that you relied upon in forming the12opinions expressed in your declaration.13A. I can't think of anything that would fit that14description.15Q. I think yesterday, in talking about the '54216patent, you indicated that you were familiar with the17concept of invalidity contentions.18A. Yes.19Q. Do you recall, as part of your efforts in10forming your declaration, ever reviewing any invalidity21mR. DAS: Again, I instruct you not to answer22MR. CRAIN: So let's play this out. If he23reviewed QSC's invalidity contentions, and they were	1	understanding?
 question is not assumptions that were provided to you; the question, I believe, is assumptions that you relied on. I just want to make sure that's the question. Because assumptions that were provided to you is privileged. BY MR. CRAIN: Q. I think the question just so your clear identify, please, any assumptions that QSC's attorneys provided and that you relied upon in forming the opinions expressed in your declaration. A. I can't think of anything that would fit that description. Q. I think yesterday, in talking about the '542 patent, you indicated that you were familiar with the concept of invalidity contentions. A. Yes. Q. Do you recall, as part of your efforts in forming your declaration, ever reviewing any invalidity contentions with respect to the '544 patent? MR. CRAIN: So let's play this out. If he reviewed QSC's invalidity contentions, and they were 	2	MR. DAS: Objection. Again, clarifying, the
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privileged. BY MR. CRAIN: Q. I think the question just so your clear identify, please, any assumptions that QSC's attorneys provided and that you relied upon in forming the opinions expressed in your declaration. A. I can't think of anything that would fit that description. Q. I think yesterday, in talking about the '542 patent, you indicated that you were familiar with the concept of invalidity contentions. A. Yes. Q. Do you recall, as part of your efforts in forming your declaration, ever reviewing any invalidity contentions with respect to the '544 patent? MR. DAS: Again, I instruct you not to answer that on privilege grounds. MR. CRAIN: So let's play this out. If he reviewed QSC's invalidity contentions, and they were	6	Because assumptions that were provided to you is
 BY MR. CRAIN: Q. I think the question just so your clear identify, please, any assumptions that QSC's attorneys provided and that you relied upon in forming the opinions expressed in your declaration. A. I can't think of anything that would fit that description. Q. I think yesterday, in talking about the '542 patent, you indicated that you were familiar with the concept of invalidity contentions. A. Yes. Q. Do you recall, as part of your efforts in forming your declaration, ever reviewing any invalidity contentions with respect to the '544 patent? MR. DAS: Again, I instruct you not to answer that on privilege grounds. MR. CRAIN: So let's play this out. If he reviewed QSC's invalidity contentions, and they were 	7	privileged.
 9 Q. I think the question just so your clear identify, please, any assumptions that QSC's attorneys provided and that you relied upon in forming the opinions expressed in your declaration. 13 A. I can't think of anything that would fit that description. 15 Q. I think yesterday, in talking about the '542 patent, you indicated that you were familiar with the concept of invalidity contentions. 18 A. Yes. 19 Q. Do you recall, as part of your efforts in forming your declaration, ever reviewing any invalidity contentions with respect to the '544 patent? MR. DAS: Again, I instruct you not to answer that on privilege grounds. MR. CRAIN: So let's play this out. If he reviewed QSC's invalidity contentions, and they were 	8	BY MR. CRAIN:
 identify, please, any assumptions that QSC's attorneys provided and that you relied upon in forming the opinions expressed in your declaration. A. I can't think of anything that would fit that description. Q. I think yesterday, in talking about the '542 patent, you indicated that you were familiar with the concept of invalidity contentions. A. Yes. Q. Do you recall, as part of your efforts in forming your declaration, ever reviewing any invalidity contentions with respect to the '544 patent? MR. DAS: Again, I instruct you not to answer that on privilege grounds. MR. CRAIN: So let's play this out. If he reviewed QSC's invalidity contentions, and they were 	9	Q. I think the question just so your clear
11 provided and that you relied upon in forming the 12 opinions expressed in your declaration. 13 A. I can't think of anything that would fit that 14 description. 15 Q. I think yesterday, in talking about the '542 16 patent, you indicated that you were familiar with the 17 concept of invalidity contentions. 18 A. Yes. 19 Q. Do you recall, as part of your efforts in 20 forming your declaration, ever reviewing any invalidity 21 contentions with respect to the '544 patent? 22 MR. DAS: Again, I instruct you not to answer 23 that on privilege grounds. 24 MR. CRAIN: So let's play this out. If he 25 reviewed QSC's invalidity contentions, and they were	10	identify, please, any assumptions that QSC's attorneys
 opinions expressed in your declaration. A. I can't think of anything that would fit that description. Q. I think yesterday, in talking about the '542 patent, you indicated that you were familiar with the concept of invalidity contentions. A. Yes. Q. Do you recall, as part of your efforts in forming your declaration, ever reviewing any invalidity contentions with respect to the '544 patent? MR. DAS: Again, I instruct you not to answer that on privilege grounds. MR. CRAIN: So let's play this out. If he reviewed QSC's invalidity contentions, and they were 	11	provided and that you relied upon in forming the
 A. I can't think of anything that would fit that description. Q. I think yesterday, in talking about the '542 patent, you indicated that you were familiar with the concept of invalidity contentions. A. Yes. Q. Do you recall, as part of your efforts in forming your declaration, ever reviewing any invalidity contentions with respect to the '544 patent? MR. DAS: Again, I instruct you not to answer that on privilege grounds. MR. CRAIN: So let's play this out. If he reviewed QSC's invalidity contentions, and they were 	12	opinions expressed in your declaration.
14 description. 15 Q. I think yesterday, in talking about the '542 16 patent, you indicated that you were familiar with the 17 concept of invalidity contentions. 18 A. Yes. 19 Q. Do you recall, as part of your efforts in 20 forming your declaration, ever reviewing any invalidity 21 contentions with respect to the '544 patent? 22 MR. DAS: Again, I instruct you not to answer 23 that on privilege grounds. 24 MR. CRAIN: So let's play this out. If he 25 reviewed QSC's invalidity contentions, and they were	13	A. I can't think of anything that would fit that
 Q. I think yesterday, in talking about the '542 patent, you indicated that you were familiar with the concept of invalidity contentions. A. Yes. Q. Do you recall, as part of your efforts in forming your declaration, ever reviewing any invalidity contentions with respect to the '544 patent? MR. DAS: Again, I instruct you not to answer that on privilege grounds. MR. CRAIN: So let's play this out. If he reviewed QSC's invalidity contentions, and they were 	14	description.
16 patent, you indicated that you were familiar with the 17 concept of invalidity contentions. 18 A. Yes. 19 Q. Do you recall, as part of your efforts in 20 forming your declaration, ever reviewing any invalidity 21 contentions with respect to the '544 patent? 22 MR. DAS: Again, I instruct you not to answer 23 that on privilege grounds. 24 MR. CRAIN: So let's play this out. If he 25 reviewed QSC's invalidity contentions, and they were	15	Q. I think yesterday, in talking about the '542
 17 concept of invalidity contentions. 18 A. Yes. 19 Q. Do you recall, as part of your efforts in 20 forming your declaration, ever reviewing any invalidity 21 contentions with respect to the '544 patent? 22 MR. DAS: Again, I instruct you not to answer 23 that on privilege grounds. 24 MR. CRAIN: So let's play this out. If he 25 reviewed QSC's invalidity contentions, and they were 	16	patent, you indicated that you were familiar with the
 A. Yes. Q. Do you recall, as part of your efforts in forming your declaration, ever reviewing any invalidity contentions with respect to the '544 patent? MR. DAS: Again, I instruct you not to answer that on privilege grounds. MR. CRAIN: So let's play this out. If he reviewed QSC's invalidity contentions, and they were 	17	concept of invalidity contentions.
 Q. Do you recall, as part of your efforts in forming your declaration, ever reviewing any invalidity contentions with respect to the '544 patent? MR. DAS: Again, I instruct you not to answer that on privilege grounds. MR. CRAIN: So let's play this out. If he reviewed QSC's invalidity contentions, and they were 	18	A. Yes.
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21 contentions with respect to the '544 patent? 22 MR. DAS: Again, I instruct you not to answer 23 that on privilege grounds. 24 MR. CRAIN: So let's play this out. If he 25 reviewed QSC's invalidity contentions, and they were	20	forming your declaration, ever reviewing any invalidity
 MR. DAS: Again, I instruct you not to answer that on privilege grounds. MR. CRAIN: So let's play this out. If he reviewed QSC's invalidity contentions, and they were 	21	contentions with respect to the '544 patent?
23 that on privilege grounds. 24 MR. CRAIN: So let's play this out. If he 25 reviewed QSC's invalidity contentions, and they were	22	MR. DAS: Again, I instruct you not to answer
24 MR. CRAIN: So let's play this out. If he 25 reviewed QSC's invalidity contentions, and they were	23	that on privilege grounds.
25 reviewed QSC's invalidity contentions, and they were	24	MR. CRAIN: So let's play this out. If he
	25	reviewed QSC's invalidity contentions, and they were

1	provided by you, QSC, you're taking the position that he
2	cannot tell me that?
3	MR. DAS: Yes.
4	MR. CRAIN: Under what basis?
5	MR. DAS: Because it could be work product. You
б	can ask him what opinions and what assumptions he relied
7	on in forming his opinions. You can ask him about what
8	documents he relied on in forming his opinions. You
9	can't ask him about what documents we may otherwise have
10	provided him. Rule 26 doesn't allow you this broad
11	fishing into what documents or what facts were provided
12	to the expert unless the expert relied on it or
13	considered it in forming his opinion.
14	MR. CRAIN: And I don't see how you could
15	possibly contend that he would not have considered the
16	content in QSC's invalidity contentions in forming the
17	nature of his opinions.
18	MR. DAS: It doesn't matter what I contend.
19	MR. CRAIN: Is that your contention?
20	MR. DAS: No. My contention is the question
21	you've asked seeks privileged information.
22	You can reask the question and not have it be
23	privileged, but the question you've asked seeks
24	privileged information.
25	BY MR. CRAIN:

1	Q. Let's try it this way, just to make sure:
2	Did you consider QSC's invalidity contentions in
3	forming the opinions expressed in your declaration?
4	MR. DAS: Objection, lacks foundation, but you
5	can answer.
6	THE WITNESS: I don't I don't really know
7	what you're talking about as QSC's invalidity
8	contentions. I'm not aware of being you know, of
9	that specific concept.
10	BY MR. CRAIN:
11	Q. Have you ever seen a document identified as
12	QSC's invalidity contentions? Yes or no?
13	A. Not as I recall.
14	Q. To be clear, have you ever seen a document
15	pertaining to QSC's invalidity contentions related to
16	the '544 patent in any venue?
17	MR. DAS: Again, I would caution you, yes or no
18	response to that.
19	THE WITNESS: Not as I recall.
20	BY MR. CRAIN:
21	Q. Do you recall ever having the occasion to review
22	or consider QSC's invalidity contentions prior to today?
23	MR. DAS: Objection, form. Objection,
24	privilege.
25	BY MR. CRAIN:

1	Q. Yes or no.
2	A. No.
3	Q. Did you do any research into the '544 patent as
4	part of your efforts to prepare your declaration?
5	MR. DAS: Objection, form.
6	THE WITNESS: Yes.
7	BY MR. CRAIN:
8	Q. Describe the research that you did with respect
9	to the '544 patent in preparing your declaration.
10	A. I was interested to see what contemporary
11	digital audio signal processing devices there were and I
12	tried to find some documents describing them.
13	Q. Where did you go to try to find the documents?
14	A. I used a web search.
15	Q. Do you remember the search that you ran or
16	searches that you ran?
17	A. No.
18	Q. Was this a Google search?
19	A. Probably.
20	Q. What other searching mechanisms might you have
21	used if it wasn't a Google search?
22	A. There is a search interface to the AES. AES has
23	an interface for searching their publications.
24	Q. Did you use the AES search interface with regard
25	to

1	A. I think so.
2	MR. DAS: Again, Dr. Ellis, please let Mr. Crain
3	finish his questions.
4	THE WITNESS: Yes.
5	BY MR. CRAIN:
б	Q. What documents did you discover through the AES
7	interface search that you ran?
8	A. I don't recall. I don't recall any I don't
9	recall any important documents coming out of that.
10	Q. Do you recall any documents coming out of that?
11	A. Yes.
12	Q. Are you able to describe or identify them?
13	A. I cannot identify them, but I can tell you the
14	sort of things they were.
15	Q. Okay.
16	A. Which were the sort of things I was looking
17	for were papers that discuss or relate to digital audio
18	signal processing devices in the years leading up to
19	the to this patent.
20	Q. Do you recall how many documents you discovered
21	in your AES search, even though you deemed them to be
22	unimportant?
23	A. I have a recollection of going through several
24	screens of titles. So maybe maybe dozens of
25	documents. But only a small number of those would I
1	have actually taken the extra step to look at the
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2	document.
3	Q. Do I understand you correctly that you're not
4	able to identify any of the documents from the AES
5	search, even the small number that you had actually
6	taken the extra step to look at?
7	MR. DAS: Objection, form.
8	THE WITNESS: I recall looking at one document
9	from the AES site that was an overview paper of then
10	current developments in digital audio signal processing.
11	I don't recall any more detail, but if I had to find it
12	again, maybe I could.
13	BY MR. CRAIN:
14	Q. Sorry?
15	A. If I had to identify which one it was again.
16	Q. How would you go about trying to identify it
17	again?
18	A. I would attempt to go through the process of
19	trying to recall the kinds of search terms I would have
20	used and looking at the results and seeing if one
21	reminded me of that one.
22	Q. Do you remember any authors of any of these
23	documents?
24	A. No.

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1	sought to find documents related to the '544 patent?
2	MR. DAS: Objection, form.
3	THE WITNESS: I also I think I I think I
4	also used the Google search interface.
5	BY MR. CRAIN:
б	Q. I thought you did, but I wasn't sure. So I had
7	to get there.
8	Do you remember the search or searches you ran
9	on Google?
10	MR. DAS: Objection, asked and answered.
11	THE WITNESS: Not in any detail.
12	BY MR. CRAIN:
13	Q. Do you remember any documents that may have been
14	returned to you from your Google search or searches?
15	A. I remember one document which described an early
16	digital audio signal processor developed by the BBC.
17	Q. That was the one you referred to maybe ten or
18	fifteen minutes ago?
19	A. Correct.
20	Q. Do you remember the author of that BBC paper?
21	A. No. I think it may have been, you know,
22	corporate author, BBC Research.
23	0 Why did you not reference the BBC Becearch paper
25	Q. Why did you not reference the BBC Research paper
24	in your declaration?

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1	particularly useful over my other references.
2	Q. Are there any other efforts that you can
3	describe with regard to researching the '544 patent that
4	you've not already shared with me?
5	A. I thought about my own experience in that period
б	of working with digital audio signal processing devices.
7	Q. Did you speak with anyone not attorneys of
8	QSC with regard to research on the '544 patent?
9	A. Not as I recall.
10	Q. I understand that you have your department at
11	Columbia has some very talented and well-informed people
12	in some of these areas from your testimony yesterday.
13	Why didn't you speak with some of them with respect to
14	your efforts to research the '544 patent?
15	A. In this material, I would consider myself the
16	most relevant expert among my colleagues at Columbia.
17	Q. Dr. Ellis, why would you consider yourself the
18	most relevant expert among your colleagues at Columbia
19	with respect to the teachings of the '544 patent?
20	A. The patent is about digital audio signal
21	processing. That's I'm the person who does that at
22	Columbia.
23	Q. So not only did you not speak to anyone at
24	Columbia, but I take it then that you really didn't
25	communicate with anyone else with regard to your

1	research; again, excluding the attorneys?
2	A. I can't think of anyone.
3	Q. As a result of any of your efforts to research
4	the '544 patent, did you find anything that you would
5	consider to be prior art?
б	MR. DAS: Objection, form.
7	THE WITNESS: You know, forgive me that I'm
8	not I'm not as well versed in the strict definition
9	of a term like prior art as you would be. But I
10	mentioned this BBC digital audio signal processor. That
11	is a very similar device or a relevant device that
12	precedes this patent, as are the other you know, some
13	of the contents of the other references I've described.
14	BY MR. CRAIN:
15	Q. Thank you for bringing up the issue with regard
16	to prior art.
16 17	to prior art. A. Yeah.
16 17 18	to prior art. A. Yeah. Q. Would you share with me what your understanding
16 17 18 19	<pre>to prior art. A. Yeah. Q. Would you share with me what your understanding of prior art is.</pre>
16 17 18 19 20	<pre>to prior art. A. Yeah. Q. Would you share with me what your understanding of prior art is. A. My understanding is that it's something that has</pre>
16 17 18 19 20 21	<pre>to prior art. A. Yeah. Q. Would you share with me what your understanding of prior art is. A. My understanding is that it's something that has already been disclosed or that is known that is relevant</pre>
16 17 18 19 20 21 22	<pre>to prior art. A. Yeah. Q. Would you share with me what your understanding of prior art is. A. My understanding is that it's something that has already been disclosed or that is known that is relevant to the relevant to the content of the patent.</pre>
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16 17 18 19 20 21 22 23 24	<pre>to prior art. A. Yeah. Q. Would you share with me what your understanding of prior art is. A. My understanding is that it's something that has already been disclosed or that is known that is relevant to the relevant to the content of the patent. Q. Using your definition of prior art A. Yeah.</pre>

your opinion -- or your declaration, that is? 1 2 I identified all the documents, those Α. Yes. 3 being relevant in terms of prior art. 4 What I'm asking is, how did you come to discover 0. 5 the documents that are referenced in your declaration? 6 Does this get into issues of privilege? Α. 7 MR. DAS: No. THE WITNESS: 8 These documents were shared with 9 me by the attorneys. I think. Let me see. 10 BY MR. CRAIN: 11 It may be helpful for you, Dr. Ellis --0. 12 Α. Sorry. 13 No. Go ahead. We can probably get there a Q. 14 couple different ways. Go ahead. 15 I'm not sure about the TMS320 data sheet. Α. That 16 may have been -- I'm not sure about the TMS320 data 17 sheet which I referenced. That may have been something 18 that I discovered in the course of the research that 19 we've been discussing. 20 0. Before you move on, what makes you unsure about 21 that? 22 Α. Well, I -- I own a copy of it. 23 Of the TMS320 second generation digital signal 0. 24 processors --25 I mean, I'm not sure if it's that exact, Α. Yes.

1	but I own documentation relating to that device.
2	Q. What is the purpose for why you own that
3	documentation?
4	A. It's of interest to me.
5	Q. Have you ever had occasion to work with the
б	digital signal processor that may be known as TMS320?
7	MR. DAS: Objection, form.
8	THE WITNESS: I'm not sure. I've worked with
9	several different digital signal processors. I can't
10	recall the exact ones.
11	BY MR. CRAIN:
12	Q. I'm just trying to understand why it is that you
13	happen to have that data sheet.
14	MR. DAS: Objection, relevance.
15	You can answer.
16	THE WITNESS: It's actually something of, you
17	know it's an interest of mine. I like it's a nice
18	historical artifact.
19	BY MR. CRAIN:
20	Q. What makes it such a nice historical artifact?
21	A. Because it you know, it's symbolic of the
22	dawn of the DSP revolution.
23	Q. That's interesting. What is the DSP revolution?
24	A. The DSP revolution is the the increasing
25	you know, the transition to using digital signal

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 Q. When did the DSP revolution begin? A. I would say you know, it has its origins in the 1960s. Q. Walk me through that. Tell me about that. MR. DAS: Objection, form. THE WITNESS: So in to my knowledge, it was not until the 1960s that people started actually thinking about trying to process signals, including audio signals in a digital form on a computer. But the as those ideas developed over several decades and as the technology became faster and cheaper, the kinds of applications that were possible became broader and broader, and the applications for which it made good engineering sense to use a digital signal processor rather than say the preceding analog technology also became broader. BY MR. CRAIN: Q. What were some of the first applications for digital signal processors that you can recall or have knowledge about? 	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16	Q. When did the DSP revolution begin? A. I would say you know, it has its origins in the 1960s. Q. Walk me through that. Tell me about that. MR. DAS: Objection, form. THE WITNESS: So in to my knowledge, it was
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20 digital signal processors that you can recall or have 21 knowledge about? 22 MR DAS: Objection form	19	Q. What were some of the first applications for
21 knowledge about? 22 MR DAS: Objection form	20	digital signal processors that you can recall or have
22 MR DAS: Objection form	21	knowledge about?
	22	MR. DAS: Objection, form.
23 THE WITNESS: You're asking about digital signa	23	THE WITNESS: You're asking about digital signal
24 processors, which means hardware specialized for	24	processors, which means hardware specialized for
25 calculating digital gignal processing functions	25	coloulating digital signal processing functions

1	Correct?
2	BY MR. CRAIN:
3	Q. I don't know. Is that what digital signal
4	processors are?
5	MR. DAS: Objection, form.
б	THE WITNESS: That's one definition.
7	BY MR. CRAIN:
8	Q. What are the others?
9	A. Any computational device which is performing
10	digital signal processing function.
11	Q. So would you consider a central processing unit
12	also to be a digital signal processor?
13	MR. DAS: Objection, form.
14	THE WITNESS: If the central processing unit is
15	performing the arithmetic operations which are which
16	constitute signal processing, then it would be a very
17	it would be a reasonable way to describe it.
18	BY MR. CRAIN:
19	Q. So my question to you was: What were some of
20	the first applications for digital signal processors
21	that you can recall or that you have knowledge about?
22	And then you stated: You're asking about
23	digital signal processors, which means hardware
24	specialized for calculating digital signal processing
25	functions. Correct?

1	Yes, I am.
2	A. Okay. I think one of the earliest applications
3	was the TI Speak & Spell, which was a voice synthesis
4	device that came out in the mid 1970s.
5	Q. That was a kids' toy?
б	A. That's correct.
7	Q. I remember that.
8	What others can you remember, moving forward in
9	time?
10	MR. DAS: Objection, form.
11	THE WITNESS: There are earlier ones. I mention
12	that one because it was maybe the first really mass
13	market application that I can think of. Certainly even
14	before that, I can think of instances where DSPs were
15	used for things like specialized speeding up and slowing
16	down of speech recordings, something I happen to know
17	about.
18	BY MR. CRAIN:
19	Q. Could you give me a specific example of that and
20	a time.
21	A. I have a recollection that there is a paper
22	discussing a box built, I think, by BBN, Bolt Beranek $\&$
23	Newman, in maybe 1972 that does voice speed conversion.
24	Q. Do you have any understanding of what the
25	digital signal processors, the actual physical devices,

1	of their architecture in these devices that you're
2	discussing?
3	MR. DAS: Objection, form. Objection, compound.
4	THE WITNESS: I have some understanding of the
5	early implementations of digital signal processors,
6	special-purpose digital signal processors.
7	BY MR. CRAIN:
8	Q. Would you please try to describe these early
9	digital signal processors and perhaps their evolution
10	over time.
11	MR. DAS: Objection, form. Objection, compound.
12	THE WITNESS: The reason that digital signal
13	processor specialized hardware developed was because
14	digital signal processing specifies a number of
15	algorithms which can easily incorporate a large number
16	of multipliers and additions, even for just a relatively
17	simple function, in contrast to other applications that
18	computers may be used for which would not require so
19	many such a high density of these operations. So the
20	field of specifically developing digital signal
21	processors is concerned with the issues of building
22	specialized hardware to do just that limited task,
23	multiplication and addition of numbers, but to do it
24	rapidly and at a constant rate, typically.
25	The earliest as I understand, the earliest

1	implementations relied on so-called bit slice devices
2	which, as I understand, are digital logic devices, which
3	aren't quite a whole microprocessor, but kind of smaller
4	components that you can put together that will end up
5	giving you a more complete digital processing system.
6	These were very inflexible. So the development of
7	digital signal processor hardware is mainly about
8	well, it's about two things well, three things.
9	Making them more flexible and easier to program, making
10	them faster, making them cheaper.
11	BY MR. CRAIN:
12	Q. Do I understand you correctly to say that
13	earlier DSPs were inflexible?
14	A. Yes.
15	Q. Is that because they were not as fast, they were
16	more expensive, and they were difficult to program?
17	MR. DAS: Objection, compound.
18	THE WITNESS: It doesn't relate to their speed
19	and cost. It only relates to their their
20	flexibility, which includes how difficult they are to
21	program.
22	BY MR. CRAIN:
23	Q. I was just giving you those three reasons back
24	to see.
25	A. Yeah.

1	Q. So the programming ease or difficulty, do I
2	understand you correctly that that has been a I don't
3	know if it's been an impediment or an issue in the
4	development of DSPs. That may not be the correct way to
5	say it.
б	MR. DAS: Objection, form.
7	THE WITNESS: In my understanding, when you're
8	working with a bit slice processor, a bit slice system,
9	it's not even really recognizable as programming. It's
10	more in a gray area between building hardware and
11	programming. So that's why I mean they were not very
12	flexible. By the end of the 1970s, as I recall, we had
13	devices that were more were much more recognizable as
14	more or less conventional microprocessors with
15	additional specialized multiply and accumulate hardware.
16	BY MR. CRAIN:
17	Q. Has the progression of digital signal processors
18	allowed for the inclusion of more components in what we
19	might today call a digital signal processor chip?
20	MR. DAS: Objection, compound. Objection, form.
21	THE WITNESS: Normally, when we talk about
22	components in electronics, we're talking about
23	individual physical things. So the chip would just be
24	one component. It doesn't contain components.
25	BY MR. CRAIN:

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1	Q. When I was thinking of components, I was
2	thinking more like logical, functional components.
3	A. Certainly the development of all digital logic
4	is an incessant increase in the number of gates
5	included, transistors.
6	Q. Did earlier DSPs, according to your
7	understanding, say include on-board memory, on the
8	chips?
9	MR. DAS: Objection, form.
10	THE WITNESS: I can't speak to bit slice
11	processors. It seems I can't it seems likely
12	that I'm having difficulty imagining a DSP chip that
13	doesn't have any on-board memory. They probably did
14	exist, but that would be something you would want to
15	incorporate.
16	BY MR. CRAIN:
17	Q. Memory, that is?
18	A. Yes.
19	Q. Well, and I'm just trying to gain an
20	understanding from you what earlier digital signal
21	processors looked like. You mentioned some devices
22	maybe in the '70s. I don't know if you have an
23	understanding of their architecture or design or not.
24	Maybe you do. What they would have looked like. Maybe
25	they would have had on-board memory or maybe they

1	wouldn't have. I don't know.
2	So let me see if I can ask it this way: You
3	mentioned a Speak & Spell device, I believe.
4	A. Yes.
5	Q. Do you have any understanding, just from your
6	experience, about the form or design of the DSP used in
7	that device?
8	A. Unfortunately, not any level of detail.
9	Q. Do you have any understanding with respect to
10	DSPs that may have existed in the 1970s?
11	A. Yes.
12	Q. Which ones would you feel most comfortable
13	talking about?
14	A. I can't recall the names, the designations of
15	any of these chips, but I have an idea of when you
16	know, the chips that I've discussed, which are more
17	or less look like my contemporary microprocessors with
18	additional hardware to make them specialized for DSP
19	operations. I think they emerged before 1980.
20	MR. CRAIN: Let me see if this helps our
21	discussion at all.
22	(Exhibit 15 marked for identification.)
23	BY MR. CRAIN:
24	Q. Dr. Ellis, you have Exhibit 15 in front of you.
25	I see you've taken a moment or two to review it. Are

1	you able to identify it?
2	A. It's some technical documentation describing the
3	Texas Instruments TMS320, some chips within that series.
4	The TMS320 is more of a brand than a particular device.
5	Q. I think you mentioned or seemed to express an
6	affinity for the TMS320 earlier, that it had a special
7	significance to you.
8	A. I said that I own one.
9	Q. What you're referring to about what you own, is
10	that also the same as what we see in Exhibit 15?
11	MR. DAS: Objection, form.
12	THE WITNESS: The device that I'm thinking of is
13	one of these devices, yes.
14	BY MR. CRAIN:
15	Q. And you mentioned something about maybe this
16	TMS320 has either broad application or is a name used
17	for many devices. Did I understand you correctly?
18	A. That's right. Yes.
19	Q. Does the name TMS320 second generation digital
20	signal processor mean anything to you?
21	A. It could mean a couple of things. It could mean
22	that this is the second generation of the TMS320. It
23	could mean that this is a second generation digital
24	signal processor. People in industry are fond of
25	labeling generations.

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1	Q. Okay. Are you familiar with the various
2	generations that people consider with respect to digital
3	signal processors?
4	MR. DAS: Objection, form.
5	THE WITNESS: I'm not it's not that
6	interesting to me. That's more of a marketing
7	consideration.
8	BY MR. CRAIN:
9	Q. But I asked you if you had familiarity, even
10	though it may not be of interest to you.
11	A. Oh. I I I wouldn't be able to tell you
12	what the difference between a first generation and
13	second generation digital signal processor is.
14	Q. Why not?
15	A. As I say, you know, understanding the
16	generations is not that important to me.
17	Q. The TMS320 that you believe that you
18	indicated that you own, do you know if it's this TMS320
19	second generation digital signal processor?
20	A. Yes.
21	Q. And how do you happen to know that?
22	A. I believe it's a TMS320C25.
23	Q. In having the opportunity to review Exhibit 15,
24	does this refresh your memory on whether or not you may
25	have been the person to identify what we see in

1	Exhibit 15?
2	MR. DAS: Objection, form.
3	THE WITNESS: It doesn't no, it doesn't it
4	doesn't change my understanding, which is like I think I
5	may have been.
б	BY MR. CRAIN:
7	Q. So you remain uncertain?
8	A. Yeah.
9	Q. Can you give me any understanding, based on your
10	knowledge and experience with digital signal processors,
11	of how the DSP we see in Exhibit 15 may vary from the
12	digital signal processor in, for example, the Speak &
13	Spell device that you referred to earlier?
14	MR. DAS: Objection, form. Objection,
15	foundation.
16	THE WITNESS: I'm sure it's a faster device.
17	I'm sure this one represents a faster, able to perform
18	more computations in a fixed amount of time.
19	BY MR. CRAIN:
20	Q. So speed. What else?
21	A. I'm sure I expect it has both larger memory
22	on board and the ability to access larger external
23	memory. And it probably has I assume it has an
24	expanded instruction set. The Speak & Spell may not
25	have been it may not even make sense to talk about

1	its instruction set, but if so, then this is a much a
2	greatly expanded instruction set.
3	Q. Anything else that you can think of?
4	A. It's implemented using more advanced silicon
5	you know, more a finer line width in the silicon chip
б	and probably more advanced chemistry to etch the chip.
7	The packaging is different. I'm sure they didn't have
8	these kinds of 68-pin devices in the Speak & Spell.
9	Q. What is an instruction set?
10	A. An instruction set is the it's the core set
11	of commands that a programmer can employ to instruct
12	the a microprocessor to do a particular task. It's
13	like the vocabulary of the program.
14	Q. Is that a standardized thing among DSPs?
15	MR. DAS: Objection, form.
16	THE WITNESS: That's an interesting question.
17	So if you're building a DSP chip, if you're the
18	manufacturer like Texas Instruments, part of that is you
19	get to design the instruction set. You get to define
20	it. And when you're doing that, you it's a
21	compromise between what you can feasibly implement in
22	your hardware and what's going to make it easier for a
23	programmer to write in what they want to use.
24	In the nature of this technology where new
25	chips, better-performing chips may come out every year

1	or two, on the one hand, you want to give the programmer		
2	the opportunity to exploit the added capabilities of		
3	your new device. On the other hand, you want to try and		
4	retain whatever audience or constituency of developers		
5	are already using your devices. So that means that you		
6	want to maintain some consistency in the programming		
7	model, the instruction set, et cetera, that they're used		
8	to.		
9	In addition, there are some standard operations		
10	that any digital signal processing chip needs to		
11	perform, such as the multiply and addition operation		
12	that I mentioned before.		
13	So there is no there is no formal standard,		
14	but different manufacturers will try and both maintain		
15	consistency within their own successive devices, and, of		
16	course, they will also there will be some		
17	compatibility or analogy between the instruction sets of		
18	the families of devices from different manufacturers,		
19	both because if someone if a competitor comes up		
20	with a good idea, they're going to want to incorporate		
21	it. And, you know, if they want to try and draw market		
22	share away from their competitors, then they want to		
23	make sure that the people working with competing		
24	products don't feel like it would be too difficult to		
25	transfer.		

1	BY MR.	CRAIN:
2	Q.	That's kind of what I want to focus on now,
3	between	two different manufacturers of DSPs.
4		Can you think of another manufacturer of DSPs
5	that wo	uld have been contemporary to what we see in
6	Exhibit	15?
7	A.	Yes.
8	Q.	Can you give me an example of one.
9	A.	I'm thinking of Motorola.
10	Q.	Is there a specific chip design?
11	A.	I'm thinking of the Motorola 56000 series.
12	Q.	Is that a well-known DSP of that time?
13	A.	Yes. I mean, it's not it's, you know, like
14	the TMS	320. There were a good number of chips that were
15	in that	family. But it's very much the big market
16	competi	tor to the TMS320. Possibly the TMS320C20
17	generat	ion.
18	Q.	Now, based on your understanding well, let me
19	back up	
20		I think you have indicated that you really
21	conside	r yourself to be kind of the DSP guy at Columbia.
22	A.	That's correct.
23	Q.	Do you own the Motorola chip that you
24	referen	ced?
25	Α.	I don't. Although, I have worked with it.

1	Q. That's what I was going to ask. Some people,
2	like with automobiles, Porsche, Corvette, or something
3	like that. Right?
4	A. That's right.
5	Q. Is that kind of true with DSPs, that people have
б	maybe brand allegiance?
7	A. Not really like that. I think people have brand
8	allegiance, but not for reasons of romance or
9	sentimentality.
10	Q. DSPs aren't very romantic?
11	A. Well, no, not to many people. But the
12	manufacturer will have a whole ecosystem and people will
13	be familiar with that, which will incline them to stick
14	with that.
15	Q. Based on your understanding of DSPs and
16	specifically, we'll use as an example, the TMS320 that
17	maybe you own, in comparison to the Motorola 56000
18	A. Yes.
19	Q that you've also worked with and have
20	familiarity can you share with me any understanding
21	that you may have with respect to how hard it would be
22	to take one chip and replace it with the other for a
23	given application.
24	MR. DAS: Objection, form.
25	THE WITNESS: Replacing a chip would require

1	redesigning the circuit board.
2	BY MR. CRAIN:
3	Q. Why is that?
4	A. There is no standardization of the pin-outs on
5	these chips. It's not like, oh, we're going to make a
6	compatible chip you can just plug straight in instead.
7	Q. What is a pin-out?
8	A. The pin-out is the device. It has a bunch of
9	little metal conductors coming out of the side or coming
10	out of the bottom. Each of those has a specific
11	function. That's what we call a pin-out.
12	Q. Can you show me is the pin-out represented in
13	Exhibit 15 anywhere? Can you flip through it and see if
14	you can find it?
15	A. This picture we're looking at on page on the
16	face, you see the lower large square has
17	Q. I do.
18	A a number of it has in the inside of the
19	square, there are a set of numbers, which are the pin
20	numbers. On the outside of the square, alongside each
21	number is a short label which identifies the function of
22	that particular pin. That's the pin-out.
23	Q. Let's turn to the back and see if maybe we can
24	further amplify this. This is very interesting.
25	A. Isn't it?

1 Q. Sorry?

2 A. Isn't it?

3 Q. Page 55. I can tell we're in your wheelhouse.

4 A. Yes.

7

5 Q. Your smile gives it away that you really seem to 6 like these things.

Does 55 show us a pin-out? Page 55.

8 Α. Yeah. So 55 shows us where the pins are for a 9 different form of the device. It doesn't give us the 10 labels. There would be additional information 11 indicating which of these particular functions that are 12 shown on the FN and FZ package diagram on page one, how 13 these would appear on this GB package. I think the 14 only -- there is a picture of the GB package on page one. I think the only reason they didn't label the pin 15 functions is it's difficult to fit it in, into that 16 17 picture.

Q. Well, going back to page one, I think you were showing me the bottom image or pointing to the bottom image as the pin-out.

21 A. Yes.

22 Q. The lower image, that is.

23 What does the upper image show?

A. The upper image shows the physical form of a GBpackage.

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1	Q. So does for example, in the upper image, do I
2	understand that I can correlate well, let me lay the
3	foundation here.
4	What do the black circles inside the it looks
5	like a square almost a square appear to be to you?
б	A. They indicate where pins metal posts that are
7	connected to the chip inside where they stick out the
8	bottom of the package.
9	Q. Is there a way to correlate the pin to what's
10	shown in the image below, the lower image?
11	A. No. In all likelihood, there is an exact
12	one-to-one correspondence, that every one of those black
13	dots corresponds to one of those pins in the lower
14	image. But without having a table, we wouldn't know
15	which one was which.
16	Q. Would you expect there to be such a table in the
17	document?
18	A. I would.
19	Q. Let's see if we can find it. This is helpful.
20	A. All right.
21	It's not here. I don't find it.
22	Q. Okay. I don't think it's crucial to our
23	understanding.
24	A. Okay.
25	Q. It would have just been helpful.

1	Turn to page 56 of Exhibit 15.
2	A. Yes.
3	Q. Do we see the pins of the DSP of Exhibit 15 on
4	page 56?
5	A. Yes.
б	Q. Would you identify them, please.
7	A. So this is two views, a top view and a side
8	view. We can see them in both views. In the top view,
9	we can see the top of each of the pins of each of the
10	four rows of pins the individual pins in the four
11	rows. In the side view, we can see the edge it's the
12	side-on view of one row of pins, and we can see the
13	edge-on views of two other rows of pins; but because
14	we're looking at them edge on, we only see one pin.
15	Q. Hold on to that.
16	A. Yeah.
17	Q. Would you put a line out and just label what
18	you've circled. You're calling them pins. So if you
19	want to call them that, that's fine. If you'll just
20	identify them by what you'd like to call them.
21	A. (Complies).
22	Q. Would you the turn to page 55. Let's do it
23	there as well. Because I think we get a different
24	understanding of the pins.
25	Before you do, specifically point me to where

1	you see pins. Show me.
2	A. These are the pins seen from the side and these
3	are the pins
4	Q. Kind of the middle image?
5	A. Yes.
б	Q. The side view?
7	A. And these are the pins seen from underneath, end
8	on.
9	Q. Would you mark them for us.
10	A. Sure.
11	MR. DAS: Mr. Crain, we've gone an hour and 45
12	minutes.
13	MR. CRAIN: Have we really?
14	MR. DAS: Yes. We've been having way too much
15	fun with pins.
16	MR. CRAIN: This is awesome. Let's take a
17	break.
18	THE VIDEOGRAPHER: This is the end of Media
19	No. 1 in the deposition of Dr. Daniel Ellis. The time
20	is 10:45 a.m. We are now off the record.
21	(Recess taken from 10:45 to 11:09.)
22	THE VIDEOGRAPHER: This is the beginning of
23	Media No. 2 in the deposition of Dr. Daniel Ellis. The
24	time is 11:09 a.m. We are back on the record.
25	BY MR. CRAIN:

1	Q.	Dr. Ellis, during the last break, did you have
2	an oppo	rtunity to consult with QSC's counsel?
3	Α.	I had the opportunity.
4	Q.	Did you consult or confer with QSC's counsel
5	regardi	ng any of your prior testimony?
б	Α.	No.
7	Q.	Did you consult or confer with QSC regarding the
8	substan	ce of any testimony that may be anticipated to be
9	given?	
10	Α.	No.
11	Q.	And during the break, did QSC's counsel suggest
12	to you	the manner in which questions should be answered?
13	Α.	No.
14	Q.	If you'll return to Exhibit 15. We were talking
15	about p	ins.
16	Α.	Yes.
17	Q.	Remind me again, what is the purpose of the pins
18	in this	TMS320?
19	Α.	The pins are to connect the DSP chip to other
20	devices	in the whole circuit.
21	Q.	I think we kind of got into this because we were
22	talking	about how easy or difficult it might be to take
23	this TM	S320 and replace it with say a Motorola 56000 in
24	a given	board or application. Do you remember talking
25	about t	hat?

1	A. Yes.
2	Q. If I understand you correctly, I think you
3	indicated that it would be extremely difficult?
4	A. Yeah. My understanding is it would require a
5	redesign of the board.
6	Q. The board that the DSP connects to?
7	A. That's right.
8	Q. How does this DSP that we see in Exhibit 15
9	connect to the board?
10	MR. DAS: Objection, form.
11	THE WITNESS: So the document describes several
12	different packages which would have different ways of
13	connecting.
14	BY MR. CRAIN:
15	Q. Can you point me to where in the document it
16	discusses that issue.
17	A. Oh, the picture on the front shows these two
18	different packages, which would have different ways of
19	connecting to a board.
20	Q. Please describe them for me.
21	MR. DAS: Objection, form.
22	THE WITNESS: In these cases I think in both
23	of these cases, there would be a socket on the board
24	that the device fits into.
25	BY MR. CRAIN:

1	Q. Is the socket a standard-type socket for any
2	type of DSP?
3	MR. DAS: Objection, form.
4	THE WITNESS: The socket is specific to the
5	to the mechanical form of the chip. In this case, a
б	68-pin GB or a 68-pin FN or FZ.
7	BY MR. CRAIN:
8	Q. Are those standard packages?
9	MR. DAS: Objection, form.
10	THE WITNESS: Yes. These are not the only chips
11	that have this form.
12	BY MR. CRAIN:
13	Q. How is a chip secured in a socket?
14	MR. DAS: Objection, form.
15	THE WITNESS: Usually by friction.
16	BY MR. CRAIN:
17	Q. What do you mean?
18	A. There is mechanical the pins will
19	mechanically touch the socket, which is how the
20	electrical connection is made, because of two pieces of
21	metal pushing against each other. And then there will
22	also be friction associated with that pushing together,
23	which will hold the can hold the chip in.
24	Q. So is it your understanding that some type of
25	securing like soldering is required or not required?

1	A. In the case of these devices, they would not
2	require soldering. It's quite likely that these chips
3	were also released ultimately released in packages
4	that did require soldering, thereby avoiding the expense
5	of a socket.
6	Q. So does Exhibit 15 demonstrate or illustrate
7	configurations that do require sockets?
8	A. Yes.
9	Q. Would you turn to page 59, please.
10	A. Yes.
11	Q. Would you please take a moment to review page 59
12	of Exhibit 15.
13	A. Yes.
14	Q. Can you explain to me what page 59 appears to
15	depict to you.
16	MR. DAS: Objection, form.
17	THE WITNESS: Well, according to the caption,
18	it's showing how to connect the DSP chip a particular
19	version of the DSP chip to let me just read the
20	previous page to get the context.
21	It shows how to connect to the pins of the
22	TMS320E25 to make it appear as a different chip, an
23	EPROM chip, the TMS27C64. Based on my review, that's
24	what I believe is happening. The diagram shows so on
25	page 58, there is a picture of a device, and I think

1	this is the wiring of that device.
2	BY MR. CRAIN:
3	Q. You're referring to Figure 8 on page 58?
4	A. That's right.
5	Q. What do you understand Figure 8 to be?
6	A. It's the EPROM adapter socket. It's a socket
7	that you can plug the put the chip into, which then
8	provides extra pins on the bottom which allow you to
9	plug that whole assembly into an EPROM programmer.
10	Q. Do you have any understanding of why let me
11	ask it again a different way. Do you have any
12	understanding of the purpose of having an EPROM cell?
13	MR. DAS: Objection, form.
14	THE WITNESS: Yes.
15	BY MR. CRAIN:
16	Q. Why?
17	A. It allows you to change the program on the DSP
18	chip. It allows you to change the program stored in the
19	internal one of the internal memories of the DSP
20	chip.
21	Q. Is there on page six let's turn to that.
22	If that's not the one, maybe you can show me another
23	page. Does page six illustrate what is contained on the
24	TMS320 DSP chip depicted in Exhibit 15?
25	A. This is the functional block diagram which

shows, in schematic form, the main functional units that
are part of the chip.
Q. Do you see, on kind of the center, upper half of
the page, a block that has the words, "Address, program
ROM/EPROM"; in parens there, it says, "4096 X 16."
A. Yes.
Q. Do you have any understanding of what that
functional block relates to?
A. That's the internal read-only memory that I was
referring to.
Q. That you were referring to that is resident on
the chip, itself?
A. When we were discussing the pin-out on page 59,
I was saying it was to allow for programming of one of
the internal memories of the chip, and that's the one,
the one that you just described.
Q. Are there any other memories that you are able
to identify in the functional block diagram on page six?
A. Yes.
Q. Where are they?
A. Well, I I haven't gone through all the units,
but I see two two boxes containing the word RAM,
R-A-M, towards the bottom. One is the Data RAM,

24 Block B1 and Block B2; and the other is called the

25 DATA/PROG RAM, Block B0.

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1	Q. Do you feel like you have any understanding of
2	what those two blocks you just identified do in the DSP?
3	A. Yes.
4	Q. Please share that with me.
5	A. So RAM stands for random access memory, which
6	means memory that you which actually means memory
7	that can be both read and written on the time scale of
8	individual instruction cycles. The Data RAM is
9	specifically to hold data values, such as the sampled
10	values of signals. The DATA/PROG RAM is separate
11	because it can either be used to hold data that would
12	then be used as part of the algorithm, or it can be used
13	to hold the program the actual algorithm that's being
14	executed.
15	Q. Now, are either one of these devices that you've
16	identified as RAM or types of RAM non-volatile memory?
17	A. These would be normally considered volatile
18	memory. Of course, non-volatility is not necessarily an
19	intrinsic property of the memory. It's a property of
20	the entire implementation. So if you provide if you
21	have a memory chip that, under certain conditions, will
22	lose its memory, you can say it's the volatile memory;
23	but if you embed it in a system that prevents it from
24	losing its memory, then you've got a non-volatile
25	memory.

Page '	70
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 three we've identified so far? A. There are a bunch of buffers or registers, I guess. Like the ARO, these are registers. The stack, these are registers. They are similar to memory, because they hold binary numbers, but they are smaller, they have fewer bits. Do I see any others? There are other registers described. But that's it. Q. Do you have any understanding of whether these buffers and registers that you're speaking about are non-volatile memory? A. They are not. Q. Do you see yet any other types of memory in the functional block diagram of the TMS320 shown on page six of Exhibit 15 that we've not already identified? A. No. Q. I don't think let's go back and look at the first one that we were talking about, the Program ROM/EPROM. Do you have any understanding of what that component appears to be? A. Yes. Q. Please explain. A. It's a memory for storing the program to be executed, which is either a mask programmed ROM, where 	1	Q. Do you see any other memories other than the
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 Q. Do you have any understanding of whether these buffers and registers that you're speaking about are non-volatile memory? A. They are not. Q. Do you see yet any other types of memory in the functional block diagram of the TMS320 shown on page six of Exhibit 15 that we've not already identified? A. No. Q. I don't think let's go back and look at the first one that we were talking about, the Program ROM/EPROM. Do you have any understanding of what that component appears to be? A. Yes. Q. Please explain. A. It's a memory for storing the program to be executed, which is either a mask programmed ROM, where 	9	described. But that's it.
11 buffers and registers that you're speaking about are 12 non-volatile memory? 13 A. They are not. 14 Q. Do you see yet any other types of memory in the 15 functional block diagram of the TMS320 shown on page six 16 of Exhibit 15 that we've not already identified? 17 A. No. 18 Q. I don't think let's go back and look at the 19 first one that we were talking about, the Program 20 ROM/EPROM. Do you have any understanding of what that 21 component appears to be? 22 A. Yes. 23 Q. Please explain. 24 A. It's a memory for storing the program to be 25 executed, which is either a mask programmed ROM, where	10	Q. Do you have any understanding of whether these
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15 functional block diagram of the TMS320 shown on page six 16 of Exhibit 15 that we've not already identified? 17 A. No. 18 Q. I don't think let's go back and look at the 19 first one that we were talking about, the Program 20 ROM/EPROM. Do you have any understanding of what that 21 component appears to be? 22 A. Yes. 23 Q. Please explain. 24 A. It's a memory for storing the program to be 25 executed, which is either a mask programmed ROM, where	14	Q. Do you see yet any other types of memory in the
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20 ROM/EPROM. Do you have any understanding of what that 21 component appears to be? 22 A. Yes. 23 Q. Please explain. 24 A. It's a memory for storing the program to be 25 executed, which is either a mask programmed ROM, where	19	first one that we were talking about, the Program
<pre>21 component appears to be? 22 A. Yes. 23 Q. Please explain. 24 A. It's a memory for storing the program to be 25 executed, which is either a mask programmed ROM, where</pre>	20	ROM/EPROM. Do you have any understanding of what that
 A. Yes. Q. Please explain. A. It's a memory for storing the program to be executed, which is either a mask programmed ROM, where 	21	component appears to be?
 Q. Please explain. A. It's a memory for storing the program to be executed, which is either a mask programmed ROM, where 	22	A. Yes.
A. It's a memory for storing the program to be executed, which is either a mask programmed ROM, where	23	Q. Please explain.
25 executed, which is either a mask programmed ROM, where	24	A. It's a memory for storing the program to be
	25	executed, which is either a mask programmed ROM, where

the program is stored is defined at the time of
manufacture of the device; or it's an EPROM, a
programmable read-only memory, where the program can be
written into the device after it's been manufactured.
Q. Do I understand you to say that the functional
block diagram that you're focusing on as ROM/EPROM could
be either/or?
A. That's correct. And it's not that I don't know
which one it is, but they're using this to cover both of
those cases.
Q. What's your understanding, if you have one, on
why the memory might actually be a ROM and not an EPROM?
A. The reason would be if you developed a program
and you don't you want to mass produce it, then the
cheapest way will be to have it specially manufactured
if you're manufacturing very large volumes, to have it
as a mask programmed ROM.
Q. What's cheaper about that?
A. It's probably simpler. It's probably a simpler
circuit. And then, of course, you know, if you were
going to use the EPROM, you would then have to actually
program that ROM for each device that you manufactured,
as a subsequent stage to manufacture.
Q. I don't understand that last statement.
A. So we could take the chip with the EPROM, we

1	could it comes off the production line. We have the
2	program that we want to write into the read-only memory.
3	We take the chip and put it into the programmer or
4	somehow arrange it in circuitry that performs the
5	programming, and then we write the program in. If we
6	didn't have the EPROM, if it was part of the actual
7	manufacture process, then the chip that came out of the
8	manufacturing would already have the program in it.
9	Q. Is ROM non-volatile memory?
10	A. Yes.
11	Q. Is EPROM non-volatile memory?
12	A. Yes.
13	Q. Does according to your understanding
14	Exhibit 15 give us any additional information about the
15	ROM and the EPROM, about the type?
16	A. It probably does. It might not. As we noticed
17	with the pin-out, this is clearly marked, "Advance
18	Information." So there may be some details which are
19	simply not included.
20	Q. Well, based on your understanding of Exhibit 15,
21	what would you understand the ROM to constitute?
22	A. I would understand ROM to be the situation where
23	it's mask programmed.
24	Q. What is your understanding of what the EPROM
25	would constitute if it were EPROM and not ROM?
1	A. That would mean a different device where that
----	--
2	memory was in the form of a programmable read-only
3	memory.
4	Q. And we talked yesterday about a couple of
5	different types of EPROM or EPROM, and I think the
6	comparison between what's known as EPROM and EEPROM.
7	Are you able to tell us what may be included or excluded
8	by the EPROM shown in the functional block diagram on
9	page six?
10	A. Sorry. There is no mention of EEPROM in this
11	document. Okay?
12	Q. How do you know that?
13	A. Oh, sorry. I don't. I'm not aware of I
14	haven't noticed any mention of EEPROM in this document.
15	Q. Well, you said that with such seeming sureness,
16	I thought maybe you had checked.
17	A. I would have expected it to be something that
18	they put on the headlines on the front page.
19	Q. In that they apparently have not, then what does
20	that leave you, according to your understanding, as to
21	what an EPROM is, in this situation?
22	A. You know, all I can say is the E in EPROM is for
23	erasable. The P is for programmable. I don't know how
24	they're going to do the erasing.
25	Q. Do you know whether or not the EPROM referenced

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1	in the functional block diagram speaks to the type of
2	EPROM that requires an ultraviolet light to be erased?
3	A. I don't know. Wait.
4	I don't know, but I notice, on page 57, a round
5	window.
6	Q. What does this round window on page 57 tell you?
7	A. It suggests to me that that is the window to
8	provide the ultraviolet light to erase the ROM.
9	Q. So should I understand that the image that we
10	see on page 57 correlates to the functional block
11	diagram of an EPROM or ROM on page six? Is that what
12	you're saying?
13	MR. DAS: Objection, compound.
14	THE WITNESS: The image on page 57 is another
15	mechanical encapsulation of this chip.
16	BY MR. CRAIN:
17	Q. Oh, okay. So does the image of the chip show
18	hold on a second. Let me make sure I understand.
19	Are the images on page 57 one instance of the
20	TMS320 chip, according to your understanding?
21	A. Yes.
22	Q. State again, what does that circle in one of the
23	diagrams of the chip mean to you?
24	A. It looks like the window that would be provided
25	to allow erasing the PROM with UV.

1	Q.	Would this be the EPROM then that you're
2	referri	ng to that requires an ultraviolet light to be
3	erased?	
4	Α.	Yes.
5	Q.	Go to the next page. We were talking about this
6	a momen	t ago. Let's see if we can wrap this up.
7		Do you see the paragraph I guess at the
8	bottom o	of the page talking about the TMS320E25 EPROM
9	cell?	
10	A.	Yes.
11	Q.	I believe it does go on to talk about that
12	they're	ultraviolet light erasable, if you see that.
13	Α.	That's right. I see that.
14	Q.	Now, is that referencing the DSP, according to
15	your une	derstanding, or something else?
16	Α.	No. It's referencing the TMS27C64.
17	Q.	What do we understand the TMS27C64 to be?
18	A.	It's an EPROM chip.
19	Q.	But that chip is separate to the DSP; isn't it?
20	Α.	That's right.
21	Q.	So this is an entirely different EPROM than what
22	we see	on page 57. Is that correct?
23	Α.	Yes.
24	Q.	Thank you. But both of those EPROMs, on 57 and
25	58, are	the ultraviolet light erasable type?

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1	A. It doesn't I haven't seen it say that, but
2	the inclusion of a window makes me think that.
3	Q. Let's go back to page six. We were talking
4	about the ROM and the EPROM. What type of information
5	would you expect to be contained in either the ROM or
6	the EPROM, based on your understanding of what's
7	depicted in the functional block diagram of page six?
8	MR. DAS: Objection, asked and answered.
9	You can answer.
10	THE WITNESS: As I mentioned before, the labels
11	are one of these areas is labeled Program ROM/EPROM,
12	one is labeled Data RAM, one is labeled DATA/PROG RAM.
13	We notice that the EPROM is labeled Program ROM, which
14	means that it stores the program, the algorithm, and
15	also but the program could also be stored in the
16	DATA/PROG RAM.
17	BY MR. CRAIN:
18	Q. So then, naturally, that means that we would not
19	store data in the ROM or EPROM block?
20	A. Actually, I don't think that's true.
21	Q. What do you base that on?
22	A. My experience.
23	Q. Why do you believe that's not true?
24	A. It's when you're distinguishing between data
25	and program memories, generally you're talking about a

1	restricted capability for the data memory and a less
2	restricted capability for the program memory.
3	Q. So?
4	A. I'm sorry. I've forgotten what I'm trying to
5	explain.
б	Q. Well, I think you're trying to tell me
7	A. Are you
8	Q. Sorry?
9	A. You were asking whether the program memory could
10	be assumed to not contain data.
11	Q. Well, yeah. Because you were telling me that,
12	well, these things have labels.
13	A. Yes.
14	Q. So, therefore, it's pretty clear. But then, I
15	seem to hear you say that perhaps, well, I could put
16	data in the program.
17	A. Yes.
18	Q. Okay. I'm trying to understand how you know
19	that
20	A. Yes.
21	Q or what in Exhibit 15 suggests that to you.
22	A. Data is not a very specific term. Right? It's
23	not there are different kinds of data. But in
24	general, when you're writing a program, you can have
25	the some of the data directly within the program,

1	itself. So, in that case, you would be storing data in
2	the program memory.
3	Q. Define data for me, then.
4	A. That's a very broad term. Here, they're
5	distinguishing between program and data. So the program
б	is specifically the machine code instructions, and the
7	data is the parts of the values we're moving we're
8	dealing with which are not the machine code instructions.
9	Q. So the block having the words "Program
10	ROM/EPROM" in figure the functional block diagram on
11	page six, are you telling me that it is or is not
12	limited to machine code instructions?
13	A. It's not.
14	Q. Does Exhibit 15 teach that to you?
15	A. It's inherent. I'm if that's the right term.
16	It's something that I don't think in any kind of
17	processor of this kind, it would it's normal to have
18	data mixed in, in the program memory.
19	Q. Would this data be data that would be updated
20	from time to time?
21	A. This would be the data which is much no, this
22	would be the data that's intrinsic to the program, to
23	the algorithm.
24	Q. Can you give me an example of data that you
25	consider not to be intrinsic to the program, so I'll

1	know a clear distinction.
2	A. Anything that you would want to change through
3	the operation of that you would want to be able to
4	take on different values during the operation of the
5	program.
б	Q. Can I call that non-intrinsic data, for our
7	purposes, or is there a better title?
8	A. There is a better title. The data in the
9	program memory would be called constant data.
10	Q. Okay. And what would other type of data be
11	called?
12	A. Data.
13	Q. All right. That's fair enough.
14	A. Variable data. Okay. Variable data.
15	Q. I don't want to suggest a term. I want to use a
16	term that you tell me is the correct term.
17	A. I know.
18	Q. So we're going to use constant data and variable
19	data. Is that correct?
20	A. Yes.
21	Q. Where would you expect for me to find variable
22	data in the functional block diagram on page six?
23	A. In either of any of the RAM blocks.
24	Q. Would you expect me to find variable data in the
25	Program ROM/EPROM block?

1 A. No.

9

2 Q. Why not?

A. The definition I'm using for variable data is data that constitutes a variable in the program. That means that's something whose value changes during the operation of the program. You would not be able to change the value of something stored in the ROM during the operation of the program.

Q. What about if it was an EPROM?

10 A. In this case, the EPROM -- erasing and 11 reprogramming the EPROM is a lengthy process. It 12 requires several minutes say at least. So that's 13 totally outside the scope of the program.

14 Q. Because a person actually has to shine a UV 15 light on it?

16 A. We have to somehow cause UV light to be shown on17 it.

Q. Also looking at figure -- the functional block diagram, figure -- page six, I see two items that appear to be similar to me. One appears to be identified as a data bus; another appears to be identified as a program bus. Do you see those?

23 A. Yes.

Q. Can you describe each of those two items for me.
MR. DAS: Objection, compound.

1	THE WITNESS: The data bus would be a set of
2	essentially wires that carry values of data between
3	memories and registers and processing units. The
4	program bus would be a similar set of wires that carry
5	the elements of the of the program between the
6	different places they may need to go. As we've
7	discussed, elements of the program may include constant
8	data.
9	BY MR. CRAIN:
10	Q. Let me see if I can summarize to make sure I
11	understood you. The program bus carries the program and
12	constant data, comprised of a series of wires?
13	A. Electrical connections. Obviously, they're not
14	really wires.
15	Q. Electrical paths or connections?
16	A. Okay.
17	Q. Is it more than one?
18	A. Yes.
19	Q. How do you know?
20	A. The well, again, because it always is. But
21	in the figure, there are these when we see the
22	connections the marks that connect to these two wide
23	gray buses, they're single lines, which have a short
24	diagonal line with a number next to it. That indicates
25	the number of actual separate binary values being

1	carried. You can't always assume that that number is
2	the same as the total number in the bus, but I think you
3	can assume that it's at least the bus is at least as
4	big as those numbers.
5	Q. Is it the nature of a bus to allow for either
6	faster communication?
7	MR. DAS: Objection, form.
8	BY MR. CRAIN:
9	Q. I'm trying to understand what the nature of it
10	is. Is it a capacity or speed issue?
11	MR. DAS: Objection, form.
12	THE WITNESS: Is what?
13	BY MR. CRAIN:
14	Q. Is the data bus or the program bus let me
15	back up even further. Are they essentially the same in
16	construct, but perhaps different in purpose?
17	MR. DAS: Objection, form.
18	THE WITNESS: They are different buses. They're
19	given different names.
20	BY MR. CRAIN:
21	Q. Right. But I mean, are they would you expect
22	them to be constructed similarly?
23	MR. DAS: Objection, form.
24	THE WITNESS: You know, at the level of the
25	chip these are abstract concepts.

BY MR. CRAIN: 1 2 0. Right. 3 But I would expect some similarity between them, Α. 4 yes. Why, according to your understanding, would you 5 0. 6 expect to have any type of bus on a digital signal 7 processor? 8 Α. So as I said, the bus is an abstract concept. 9 It's a way of explaining to the human, a human potential user, and to some extent, allowing a designer to explain 10 to him or herself what's going on. You know, all -- let 11 12 me leave it at that. 13 Did I understand you correctly earlier to 0. 14 indicate that a bus would be understood as a multiple 15 number of communication paths or connections? 16 Α. In this instance, I'm confident that the data 17 and program bus have multiple connectors -- have 18 multiple bits. 19 Why is that? 0. 20 Α. Because of the notations on the block diagram 21 showing, in many cases, 16 lines coming in and out of 22 the bus -- both buses. 23 Okay. So for any of these connections coming 0. 24 off a bus that has the little diagonal line with a 16 --25 Α. Yeah.

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1	Q we're to understand that there is actually 16
2	lines?
3	A. That's right.
4	Q. And were you telling me earlier well, that
5	means that the bus then has to at least have 16 lines?
6	Is that what you meant to say?
7	A. That's what I was saying. So you notice, there
8	is one over here with only three. So sometimes you
9	don't take all the pins off a bus. But I don't see any
10	numbers larger than 16. So I think the buses are
11	probably 16 bit.
12	Q. Is this like a parallel-type connection, a bus?
13	MR. DAS: Objection, vague.
14	THE WITNESS: The buses they're talking about
15	here are, in my estimation, 16 parallel lines, yeah.
16	BY MR. CRAIN:
17	Q. Does that mean that one component can either
18	send or receive data on all 16 lines at one time?
19	MR. DAS: Objection, form.
20	THE WITNESS: That that's I think that's
21	what I think that's what I mean. So yes. The bus
22	would be a set of would allow connection between two
23	different components; and in this case, most likely 16
24	bits at a time.
25	BY MR. CRAIN:

1	Q. How is a parallel connection different from a
2	serial connection?
3	A. So we haven't discussed serial connections at
4	all. Right?
5	Q. No.
6	A. It's used in a bunch of different ways. There
7	is no I'm not sure there is any discussion of serial
8	connections in this document.
9	Q. Can you answer the question, though?
10	A. Well, it would be easier to answer it if you
11	were telling me about a particular domain in which those
12	terms might be used.
13	Q. Well, do you have an understanding of what a
14	serial connection is?
15	A. I have several.
16	Q. Lay them on me.
17	A. Okay. So the most common the first usage
18	that comes to mind is the serial port commonly found on
19	computers.
20	Q. Does it have a name?
21	A. There are many different kinds of serial ports.
22	Q. What do we call them? Just a serial port?
23	A. They're often called a serial port. Yes.
24	Again, what are you trying to what is the question,
25	names of serial ports?

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1	Q. Well, if they have them.
2	A. I can give you two instances.
3	Q. Okay.
4	A. The well, they have a lot of names. But
5	let's say the RS-232 port is a serial port.
6	Q. Okay.
7	A. And another one is the USB, the universal serial
8	bus. That's a serial port. So I've named two serial
9	ports.
10	Q. Okay. I'm trying to understand how a serial
11	communication
12	A. Yes.
13	Q link, line, whatever you want to call it, is
14	different than what we may understand to be a parallel
15	communication link, line, or whatever.
16	MR. DAS: Objection, form. Objection, compound.
17	BY MR. CRAIN:
18	Q. Let me give you another question. I don't mean
19	to frustrate you. You seem to be frustrated.
20	A. I just wanted to point out that it's you're
21	characterizing this program bus as a parallel
22	connection, which seems reasonable to me, but it's not
23	the way it's being described here.
24	Q. Well, you've forgotten more about buses than
25	I'll probably ever know. So I'm just going off of what

1	I understand you to share with me. If I misstate that,
2	please correct me.
3	A. So where did the term "parallel" come from?
4	Q. Well, I thought we were talking about
5	communication you said 16 communication lines. Even
б	though you may have forgotten what I already know, I may
7	have a little bit of understanding. So I'm wondering if
8	that's a parallel type of communication. Whether this
9	one is or not is immaterial. But I'm trying to
10	understand how that might be commonly implemented. And
11	of course, you've indicated that you're kind of a you
12	know, understand DSPs. So I want to understand from you
13	what you understand it to mean. Does that make sense?
14	A. Okay.
15	Q. Okay. We need to start at a high level and
16	maybe drill down as needed. At a high level, what do
17	you understand the differences to be between a serial
18	communication path or link and a parallel communication
19	path or link?
20	MR. DAS: Objection, compound.
21	THE WITNESS: So the in computers, we often
22	talk about serial and parallel buses actually
23	sorry serial and parallel interfaces. In fact, these
24	days, parallel interfaces are pretty rare. But in
25	early you know, in the IBM PC over many years, there

1	would be both a serial and a parallel interface on it.
2	And the distinction there is that the serial port has
3	fewer wires and it manages to convey comparable
4	information by sending successive data bits down the
5	same wire, whereas in the parallel port, those data bits
6	would be sent over separate wires all at the same time.
7	BY MR. CRAIN:
8	Q. You asked me where I got the notion of parallel.
9	A. Yes.
10	Q. Does that not seem to be more akin to what you
11	describe with respect to either one of the two buses
12	shown in the figure on page six?
13	A. Yes.
13 14	A. Yes. MR. DAS: Objection, form.
13 14 15	A. Yes. MR. DAS: Objection, form. BY MR. CRAIN:
13 14 15 16	A. Yes. MR. DAS: Objection, form. BY MR. CRAIN: Q. Okay. So I think we're talking about the same
13 14 15 16 17	A. Yes. MR. DAS: Objection, form. BY MR. CRAIN: Q. Okay. So I think we're talking about the same thing.
13 14 15 16 17 18	<pre>A. Yes. MR. DAS: Objection, form. BY MR. CRAIN: Q. Okay. So I think we're talking about the same thing. You mentioned USB as a type of serial interface.</pre>
13 14 15 16 17 18 19	<pre>A. Yes. MR. DAS: Objection, form. BY MR. CRAIN: Q. Okay. So I think we're talking about the same thing. You mentioned USB as a type of serial interface. Is that an accurate description?</pre>
13 14 15 16 17 18 19 20	<pre>A. Yes. MR. DAS: Objection, form. BY MR. CRAIN: Q. Okay. So I think we're talking about the same thing. You mentioned USB as a type of serial interface. Is that an accurate description? A. Yes.</pre>
13 14 15 16 17 18 19 20 21	 A. Yes. MR. DAS: Objection, form. BY MR. CRAIN: Q. Okay. So I think we're talking about the same thing. You mentioned USB as a type of serial interface. Is that an accurate description? A. Yes. Q. Do you recall when USB first was introduced?
13 14 15 16 17 18 19 20 21 22	 A. Yes. MR. DAS: Objection, form. BY MR. CRAIN: Q. Okay. So I think we're talking about the same thing. You mentioned USB as a type of serial interface. Is that an accurate description? A. Yes. Q. Do you recall when USB first was introduced? MR. DAS: Objection, form.
13 14 15 16 17 18 19 20 21 22 23	<pre>A. Yes. MR. DAS: Objection, form. BY MR. CRAIN: Q. Okay. So I think we're talking about the same thing. You mentioned USB as a type of serial interface. Is that an accurate description? A. Yes. Q. Do you recall when USB first was introduced? MR. DAS: Objection, form. THE WITNESS: USB was is the is very much</pre>
13 14 15 16 17 18 19 20 21 22 23 24	<pre>A. Yes. MR. DAS: Objection, form. BY MR. CRAIN: Q. Okay. So I think we're talking about the same thing. You mentioned USB as a type of serial interface. Is that an accurate description? A. Yes. Q. Do you recall when USB first was introduced? MR. DAS: Objection, form. THE WITNESS: USB was is the is very much the successor to the RS-232 port that was present on</pre>

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1	recollection, USB appeared sometime between 1995 and
2	2000. That is that's when it would be, you know,
3	something that you would actually have on a piece of
4	equipment you would buy.
5	BY MR. CRAIN:
6	Q. What about RS-232? Do you have an understanding
7	of when RS-232 was introduced?
8	A. RS-232 is, in technology terms, ancient. So I
9	don't know when it was introduced, but it could have
10	been the '50s or the '60s.
11	Q. I think you indicated that USB replaced RS-232?
12	A. Yes.
13	Q. Why?
14	A. There were various limitations to RS-232 which
15	were improved upon by USB.
16	Q. What were some of those limitations?
17	A. USB is faster.
18	Q. Any others?
19	A. Oh, yes. USB allows more sophisticated
20	configuration of the device so that when you plug it in,
21	the computer can find out what the device is, which
22	it was both USB is a much more complicated standard.
23	An RS-232 device was not well standardized. So there
24	was no way that there was no nice industry standard
25	way that people producing RS-232 peripherals could allow

1	the devices they were connected to, to automatically
2	identify what they were.
3	Q. Going back to the first reason you gave, faster,
4	or speed, faster in what regard?
5	A. Higher data rates. Able to transfer a large
6	amount of data in the same amount of time.
7	Q. On USB versus RS-232?
8	A. Yes. The RS-232 has a relatively low maximum
9	bandwidth.
10	Q. Do you have any understanding with respect to
11	data transfer rates or speeds in parallel interfaces?
12	A. Yes.
13	Q. Can you give me a frame of reference for a
14	parallel interface in comparison to what you've
15	described with USB or RS-232?
16	Let me help you further. I'm trying to
17	understand how it may fit, relate, if at all.
18	A. The difference between RS-232 and USB is the
19	reason the USB is faster is mainly due to improved
20	specification of the electrical characteristics, which
21	allow the voltages to change more rapidly without
22	becoming distorted. If it weren't for that, USB would
23	not be intrinsically faster than RS-232.
24	Using those kinds of electrical specifications,
25	you could implement a parallel interface. Typically,

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the parallel interface would be would have intrinsic
higher bandwidth, with a higher data rate for both
cases. So if we have a single electrical standard, we
build a serial port, we build a parallel port, the
parallel port is faster.
Q. Is that a general truth?
A. Yes. Okay. It's a rule of thumb. Parallel
port serial port, as I've said, covers a lot of
different things. Parallel port probably covers an even
wider range of things.
Q. An even wider range.
A. Um-hmm.
Q. How so?
A. Because you have to specify how you're going to
manage
(Interruption.)
THE WITNESS: You have a lot of choices of how
you're going to manage the parallel transfer of data.
BY MR. CRAIN:
Q. Is it common to interface a serial connection
with a parallel interface?
MR. DAS: Objection, form.
THE WITNESS: They're different interfaces.
BY MR. CRAIN:
Q. Okay. I apologize for my overly simplistic

1	but if I had an RS-232 wire. Right? And I had a	
2	parallel like a ribbon cable? Is that a parallel	
3	type of connection?	
4	A. A ribbon cable would be something you could use	
5	to carry the electrical signals from a parallel	
6	interface.	
7	Q. Can you interface the parallel cable to the	
8	serial cable in some fashion?	
9	A. You could build a device that converts between	
10	them.	
11	Q. What would this device be?	
12	A. It would be a piece of electronics with a	
13	parallel port on one side and a serial port on the other	
14	and some circuitry in between to transfer the data	
15	between them.	
16	Q. Are these off-the-shelf-type devices?	
17	A. Obviously, it depends on the particular choice	
18	of parallel and serial port. I know for a fact you can	
19	get off the shelf, a device that converts between a USB	
20	port and a so-called Centronics parallel printer port.	
21	Q. Is that a device that's available now or	
22	sometime	
23	A. It is.	
24	Q. Could you design such an interface yourself?	
25	A. Yes.	

1	Q. How hard would it be?
2	A. It's not something I would do every day. It's
3	not I mean well, that's interesting. That
4	depends. I'd have to think about how to do it. It
5	wouldn't be that hard. It wouldn't be you know, I
6	would have to have a good reason to do it, but I could
7	certainly do it.
8	Q. Okay. Tell me how you would do it.
9	A. Well, you have one part of the circuitry which
10	is, you know, doing whatever is required of the serial
11	interface. For instance, there is a you know, you
12	can buy chips that are specifically assembled to make
13	that easy, a so-called UART, U-A-R-T. You could have
14	one of those to deal with assembling and disassembling
15	the information on the serial side. You would then have
16	a bunch of pins that came out of that device. You would
17	then have some kind of buffer and some control logic to
18	handle the parallel port.
19	Q. When you say a buffer, you would need some type
20	of memory?
21	A. It depends. It would make it a lot more
22	complicated if you wanted to give it a memory buffer.
23	The easiest thing would be to have something where the
24	speed of communication was the same on both ports, the
25	serial and the parallel port.

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1	Q. Didn't you tell me generally that parallel ports
2	are faster?
3	A. What I meant to indicate by that was they're
4	potentially faster. Any data port, you can run at less
5	than its maximum rate. But usually in design, the
б	maximum rate is the most interesting is the thing you
7	care about.
8	Q. I was going to say, I wouldn't think you would
9	want to slow something down if you didn't have to.
10	Right?
11	MR. DAS: Objection, form.
12	THE WITNESS: I mean, all other things being
13	equal. But that's in many cases, that's not the
14	primary design limitation.
15	BY MR. CRAIN:
16	Q. Well, if I understood you correctly, I thought
17	you were saying that, well, you might ideally want them
18	to be at the same speed.
19	A. Yeah.
20	Q. Again, from a general perspective, a serial
21	connection may not be as fast as a parallel connection.
22	Is that fair?
23	A. The maximum throughput, as imposed by the
24	interface, using the same electrical standards, would
25	be the parallel port would have a higher maximum

throughput capacity than a serial port. 1 Would that have been true in 1994 as well? 2 0. 3 Α. Yes. So if there is a higher throughput on the 4 0. parallel connection, how do you make it the same speed 5 6 as the serial interface? 7 MR. DAS: Objection, form. THE WITNESS: Well, it's the -- it's the maximum 8 9 So, you know, you could build the interface such speed. 10 that it was only able to transmit data up to the speed 11 of the slower of the two interfaces. In fact, you know, 12 at some level, you would have to do that. 13 BY MR. CRAIN: 14 So if I was communicating the data across the 0. parallel interface into the serial interface, if I 15 16 understand what you're saying, I may have a larger 17 amount of data coming through the parallel interface. 18 How do I keep -- I want to say it's like a bottleneck or it seems like it would crash or something. 19 20 Α. Um-hmm. 21 How do I get it into what I need for the serial 0. 22 interface? 23 MR. DAS: Objection, form. THE WITNESS: 24 It's easy to imagine a 25 circumstance where you wouldn't be able to do that. But

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1	at the same time, I've described that such devices
2	exist. So there is this whole area of communications
3	interfaces we haven't spoken about called handshaking,
4	which is wires other than the ones we've discussed that
5	are used to modulate the transfer of data.
б	BY MR. CRAIN:
7	Q. What I'm trying to get back to was you had
8	mentioned a buffer. Would that be a way to do it, to
9	buffer what's coming on the parallel so that I can read
10	that out on the serial?
11	A. No. That's not what I'm talking about.
12	Q. I wasn't sure. That's the reason I'm asking.
13	What was the purpose of the buffer that you referenced
14	earlier?
15	A. The buffer is like these registers. Like we've
16	got this single word coming in on a serial port. We get
17	it coming out of the UART, we store it in the register,
18	and then that becomes that drives our parallel port.
19	Q. Look back at page six.
20	A. Yeah.
21	Q. Again, I understand that this is a functional
22	block diagram. I'd like your understanding, based on
23	your experience, as best you can ascertain it, for what
24	this document appears to disclose as of, I guess, 1990.
25	You pointed my attention to several smaller

1	lines with the diagonal lines through them. Do you
2	remember that?
3	A. Yes.
4	Q. And they have the numbers out beside them as
5	well, such as 16.
б	A. Yes.
7	Q. Would you expect each of the communication links
8	here that we see, these types of diagonal lines coming
9	off the bus, to be the same type of interface as the
10	bus? I don't know if I'm even asking that right.
11	MR. DAS: Objection, form.
12	THE WITNESS: It's not within the chip here,
13	it's not really I don't really I'm not sure what
14	you mean by "interface."
15	BY MR. CRAIN:
16	Q. An overly simplistic here issue would be if we
17	were to consider one of these buses as a parallel type
18	of interface, would I expect any of these traces to any
19	of the functional blocks to be a serial interface?
20	MR. DAS: Objection, form.
21	THE WITNESS: It's possible. I don't think it's
22	true, but it is possible there is some serial device in
23	here, but I don't see it. The lines coming off the
24	program bus would not be I mean would not be
25	serial.

1	BY MR. CRAIN:
2	Q. I'm sorry?
3	A. The lines coming off the program bus or the data
4	bus would not be serial.
5	Q. Hopefully, last question on this. You said you
6	didn't see any, but you seem to think that, well, I
7	guess that's possible.
8	A. Yes.
9	Q. What would it look like if that were the case?
10	MR. DAS: Objection, form.
11	THE WITNESS: I was looking for a box, one of
12	these functional boxes that had one of these lines going
13	out that looks like it was a serial interface line.
14	BY MR. CRAIN:
15	Q. How would it be represented, according to your
16	understanding, if it were a serial interface line?
17	A. There are conventions for labeling these lines.
18	These little letters we see on the edge of the diagram
19	and elsewhere, they if you're looking at this, there
20	is a bunch of conventions that you'd know what they
21	mean you'd have a good idea what they mean.
22	In a classic serial interface, there is an RX
23	and a TX line for receive and transmit.
24	Q. Right.
25	A. I was looking to see if there are any pins

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marked like that. I don't see them.
Q. Dr. Ellis, has there been an occasion where you
have been requested by someone to develop a DSP solution?
MR. DAS: Objection to form.
THE WITNESS: Yes.
BY MR. CRAIN:
Q. Are you able to share with us who it was that
approached you?
MR. DAS: I'm going to caution you not to get
into other people's confidential information.
BY MR. CRAIN:
Q. To the extent it's confidential, that's fine.
Are you able to share that?
A. I'm thinking of I mean, I'm thinking of
several consulting things, just because the way you
phrased it makes it sound like kind of a business thing.
That's the closest relevance. But, you know, for the
purpose of the question I don't know. What do you
want me to say? Most often, when I'm developing things,
it's because I'm asking me to do it.
BY MR. CRAIN:
Q. Okay. Without let's try it this way, if we
can:
Without identifying any company or person
outside person have you been approached and asked to

1	develop a DSP solution for someone else?
2	A. Yes.
3	Q. Without identifying the person, can you give me
4	at least a description of the person or entity that made
5	the request?
6	A. For instance, a small company trying to develop
7	a product that will be responsive to certain kinds of
8	sounds.
9	Q. Are you thinking of a specific instance when you
10	share that?
11	A. I'm thinking of two at the moment.
12	Q. When were those?
13	A. Oh.
14	MR. DAS: Objection, form.
15	THE WITNESS: They're the most recent ones.
16	
	Like within the past couple of years.
17	Like within the past couple of years. BY MR. CRAIN:
17 18	Like within the past couple of years. BY MR. CRAIN: Q. Can you speak to the type of problem that you
17 18 19	Like within the past couple of years. BY MR. CRAIN: Q. Can you speak to the type of problem that you were asked to solve with respect to the DSP solution
17 18 19 20	Like within the past couple of years. BY MR. CRAIN: Q. Can you speak to the type of problem that you were asked to solve with respect to the DSP solution that you developed?
17 18 19 20 21	Like within the past couple of years. BY MR. CRAIN: Q. Can you speak to the type of problem that you were asked to solve with respect to the DSP solution that you developed? MR. DAS: Objection, form. Also caution you not
17 18 19 20 21 22	Like within the past couple of years. BY MR. CRAIN: Q. Can you speak to the type of problem that you were asked to solve with respect to the DSP solution that you developed? MR. DAS: Objection, form. Also caution you not to get into confidential information.
17 18 19 20 21 22 23	Like within the past couple of years. BY MR. CRAIN: Q. Can you speak to the type of problem that you were asked to solve with respect to the DSP solution that you developed? MR. DAS: Objection, form. Also caution you not to get into confidential information. THE WITNESS: Thank you.
17 18 19 20 21 22 23 24	Like within the past couple of years. BY MR. CRAIN: Q. Can you speak to the type of problem that you were asked to solve with respect to the DSP solution that you developed? MR. DAS: Objection, form. Also caution you not to get into confidential information. THE WITNESS: Thank you. My current research is about sound processing.

1	processing sound.
2	BY MR. CRAIN:
3	Q. Is this through LabROSA?
4	A. Yes. I mean is it through LabROSA? No.
5	It's my independent consulting, the ones I'm thinking
6	about.
7	Q. Oh, okay.
8	Were you compensated in each of these two
9	instances you're thinking of?
10	A. One of them, I haven't completed, and I have not
11	received any compensation. The other one, I think I
12	was I think I have some equity.
13	Q. Again, what I'd like to understand the scope
14	of what you're trying to do. So can you explain that to
15	me, to the best of your ability.
16	A. What do you mean?
17	MR. DAS: Objection, asked and answered.
18	BY MR. CRAIN:
19	Q. The scope of what you are trying to develop or
20	solve.
21	MR. DAS: Objection, asked and answered. Again,
22	I would caution you not to get into confidential
23	information.
24	THE WITNESS: Okay. It would maybe it would
25	be easier to have these questions if I could think of

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1	one where there was no confidentiality involved.
2	BY MR. CRAIN:
3	Q. Is there one you can think of?
4	A. Sure.
5	Q. Okay. Which one?
6	A. I'm thinking about some work I did this time,
7	through LabROSA for the a government project where
8	we were trying to recognize a particular tone in a
9	signal, in an audio signal.
10	Q. Did you design a digital signal processor to do
11	that?
12	A. No.
13	Q. Have you ever designed a digital signal
14	processor?
15	A. Again, it depends what you call a digital signal
16	processor. I haven't ever designed anything like these
17	kinds of what I would call a DSP chip.
18	Q. Or could we say hardware even? Would that be an
19	accurate
20	MR. DAS: Objection, form.
21	THE WITNESS: No.
22	BY MR. CRAIN:
23	Q. Why not? What's wrong with hardware?
24	A. Well, because a system a digital signal
25	processing system always comprises hardware. Right? So

1	there is and there is the you know, it also
2	comprises software, but the software doesn't do anything
3	without the hardware that it runs on.
4	Q. Have you ever designed DSP hardware?
5	A. Let me think of a good example then.
6	Q. Before you do, let me ask you this: This may
7	help us. Have you ever designed DSP software?
8	A. Yes.
9	Q. Of the two, is there one that you've done more
10	of?
11	A. Yes.
12	Q. Which?
13	A. Software.
14	Q. Is DSP software more of your area of expertise?
15	A. Yes.
16	Q. I did interrupt you a minute ago. So let's
17	circle back to that. I think I was asking you, could
18	you think of any instances where you had developed DSP
19	hardware. Isn't that what I was asking and you were
20	thinking about?
21	A. Yes.
22	Q. So let me ask you the question. Can you think
23	of any instances when you developed DSP hardware?
24	A. Yes. I'm thinking of one. I mean, like I say,
25	any DSP solution involves hardware.

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1	Q. Okay. Is one the total that you can think of	
2	right now?	
3	A. Well, no. Any of them. Right? I mean but	
4	it just depends on what how involved is the design of	
5	the hardware.	
6	Q. Well, let's talk about it in the context of what	
7	we see in Figure 6 (sic).	
8	A. Um-hmm.	
9	Q. Now, these are functional block diagrams; but if	
10	I understand it correctly, it relates to a chip.	
11	A. Yeah.	
12	Q. Can we call it hardware?	
13	A. Yes.	
14	Q. Have you had instances where you designed	
15	hardware in a similar fashion to what we see on page six	
16	of Exhibit 15?	
17	MR. DAS: Objection, form.	
18	THE WITNESS: No.	
19	BY MR. CRAIN:	
20	Q. When you say "no," are you able to think of any	
21	instance when you engaged in the design or development	
22	of hardware for a digital signal processor chip in a	
23	aimilar fachion to what we are on page air of Exhibit 152	
23	Similar rashion to what we see on page six or Exhibit 15:	
24	MR. DAS: Objection, form.	

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1	mean work that would involve drawing a diagram like
2	this, but a new one, as part of the design. And in that
3	case, I have not.
4	BY MR. CRAIN:
5	Q. Have you ever created a design for a DSP chip?
б	A. No. That's a very specialized activity.
7	MR. CRAIN: Let's go off the record.
8	THE VIDEOGRAPHER: We're off the record at
9	12:23 p.m.
10	(Lunch recess taken from 12:23 to 1:18.)
11	THE VIDEOGRAPHER: We're back on the record.
12	The time is 1:18 p.m.
13	BY MR. CRAIN:
14	Q. Dr. Ellis.
15	A. Hi.
16	Q. During your lunch break, did you have an
17	opportunity to speak with QSC's counsel?
18	A. I did.
19	Q. Did you consult or confer with QSC's counsel
20	during the break regarding the substance of any
21	testimony you've given so far today?
22	A. No.
23	Q. Did you consult with QSC's counsel during the
24	break regarding the substance of any testimony that may
25	be anticipated to be given by you today?

1	A. No.
2	Q. Did QSC's counsel, during the break, suggest to
3	you the manner in which any questions should be
4	answered?
5	A. No.
б	Q. Earlier today, we were talking about the TMS320
7	DSP. Do you recall that?
8	A. Yes.
9	Q. I believe you own such TMS320, the C25?
10	A. Yes.
11	Q. Is the TMS320 DSP, like we see in Exhibit 15,
12	the state of the art, according to your understanding,
13	with respect to DSPs?
14	MR. DAS: Objection, form.
15	THE WITNESS: Certainly not.
16	BY MR. CRAIN:
17	Q. Why not?
18	A. Well, it's this is a device from the late
19	'80s.
20	Q. Okay. Well
21	A. So you said if there was something that I
22	remembered, I should tell you about it?
23	Q. Oh, yes. Okay. You were anticipating that I
24	was going to tell you something. I was going to ask you
25	that. I forgot. So thank you.

1	A. You know, just before the break, we were talking
2	about this block diagram on page six, I think it was.
3	You were asking me, "Have you ever designed one of these
4	yourself?" And I said, "No, it's a very specialized
5	thing." This is the domain of digital VLSI, which is
6	not my particular area. Because in order to design one
7	of these, you would need then a whole infrastructure to
8	go out and manufacture it, which means somebody etching
9	silicon chips somewhere. People in my department do it,
10	but I don't.
11	However, I then remembered that I've recently
12	had some experience which is actually very similar to
13	this. I teach signal processing, and I'm very
14	interested in the ways that people can understand it.
15	One of the things that I've found that is helpful in
16	having people understand signal processing is to have
17	something that responds immediately. This is the kind
18	of thing that DSP chips were initially developed to be
19	able to do. It turns out, these days, you don't
20	actually use a DSP chip at all. I've been able to do a
21	lot of work like this just running on my regular laptop,
22	the stuff like that on my website.
23	But I've also been interested in the educational
24	power of things that you can hold and build, because
25	that's there is a whole trend going on at the moment

1	which seems very powerful to me. So I've been looking
2	for something like this that I can use in that context
3	to give people give my students an opportunity to
4	work with basic DSP operations and have something that
5	works in real time that's a small little device they can
6	run by themselves.

7 So I've been experimenting with an FPGA chip. 8 These are relatively recent developments, so they've 9 only relatively recently become affordable. And instead 10 of having to do a design like this and then send it out to some fab, fabrication, to have it made in silicon, 11 12 they have a set of -- a very large collection of 13 individual gates with some mechanism for electrically 14 connecting the gates. And you can do basically the same 15 things you would do if you were designing a DSP chip, 16 but you don't need a fabrication. You can just download it onto this FPGA and you can try it out. And I've been 17 18 experimenting with some signal processing systems using 19 that.

Q. When did you start doing that?
A. I think -- I think within the past two years.
Q. This sounds at least somewhat similar to the kit
that you used to do -- and you mentioned yesterday -with a Class AB amplifier. Is it kind of like that?
Did you have a kit and you can make it -- configure it
1 the way you want it? 2 Α. It's not like that. 3 I'm sorry. I don't mean to insult you. 0. 4 No, it's much more like -- that's the amazing Α. 5 thing about it. It's much more like actually full-up 6 VLSI hardware design. 7 Now, in the late '80s, VLSI hardware design was a very difficult thing to do. Because I don't know --8 9 you know, these chips had tens of thousands of 10 individual gates. And that was the point at which, you know, it was like, well, a single design that can 11 12 actually go and individually assign tens of thousands of 13 individual gates, but, you know, it's really hard. 14 Since then, the field of VLSI has developed a 15 set of design tools where you have a hierarchical way of 16 specifying the sets of gates that are being used. That's how you would design the chip. In fact, there 17 18 are these standard languages, like Verilog and VHDL, which are used for specifying the layout of a chip in 19 terms of gates through a set of design files. And you 20 21 use the same design language for FPGAs. So that's what 22 I've been working with. 23 Q. Are you writing some type of software that -let me back up just a second. FPGA? 24 25 Α. Yes.

1 Stands for, again? Q. 2 Α. Field-programmable gate array. 3 And I know you said it, so I'm sorry to have to 0. 4 go back and ask more about it. But how do you actually program the gate array? Is it through some type of 5 6 software configuration? 7 Α. It uses -- it involves software. So you have 8 the board containing the FPGA. It has -- actually, this 9 one, the one I'm using, has a USB port on it. So you 10 connect it to the USB port of the host computer. The host computer is running these design tool software 11 12 applications, which is a standard way of designing 13 large-scale digital systems now and basically for the last 20 years at least. And you -- in that software, 14 you type in the files that describe your design. 15 16 Okay. 0. But the reason that I wanted to mention it is 17 Α. 18 because what happens then is it will -- there are various ways you specify it, but mostly just typing in 19 text into data files. It then draws pictures which look 20 21 like this. Because that's what -- that's what you're 22 specifying. 23 Q. Once you write these text files -- into your 24 laptop? 25 Α. Yes.

1	0 That's connected by a USB connection to the
	Q. That's connected by a obb connection to the
2	FGPA
3	A. FPGA
4	Q. Can we call it a chip?
5	A. Sure.
6	Q. How does the instructions that you write become
7	implemented in the actual chip?
8	A. The instructions that you're writing are
9	specifying how to connect together units. Basically, at
10	some level, you're just saying what each of the arcs
11	each of the lines are on a diagram like this. Now,
12	obviously, this is a high-level view; that each of the
13	boxes could then be blown up, and you would have a bunch
14	of lines inside there. Until you get down to the lowest
15	level, which would be the individual logic elements.
16	Q. Right.
17	A. That's exactly how these things work. You have
18	one file which will define a particular block. You
19	know, at some level, you're going to bottom out in these
20	files that place individual gates. There is some level
21	of abstraction there, because again, it's trying to make
22	design easy. But you've got that level of control.
23	Then you write files that reference those things
24	and specify how they're connected together. Then you
25	can write files that reference the already encapsulated

1	things. You can keep doing it. That's why it's
2	called VHDL is one of the languages. It's called
3	VLSI hierarchical description language.
4	Q. Now, again this is all very interesting. My
5	question to you about this is once you program the text
6	files
7	A. Yes.
8	Q. Can we call that software or is that not
9	software?
10	A. It's not it looks like software, because
11	you're typing in some text files to make a device do
12	something, but it's so different from programming.
13	Q. Right. Because sorry?
14	A. It's just different.
15	Q. So when these text files are communicating from
16	your laptop over the USB connection into the actual
17	chip, is there some type of processor or controller that
18	receives that and says, oh, okay, so I need to implement
19	whatever instructions is received?
20	A. No. The so the text okay. I said it was
21	different. You can think of the text files as
22	describing where the wires go. Right? There is a bunch
23	of gates on the chip, a large number of gates, like a
24	million. From the user's point of view, I can ask any
25	of these gates to be connected together. Of course,

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1	that would be very difficult. But the software is
2	clever enough to hide the limitations until you bump up
3	against them.
4	So there is design software which takes your
5	specification of what you want to connect together,
6	knows about, you know, what's in the chip, and figures
7	out a the set of data, which then gets basically
8	written into a set of registers on the chip, which then
9	basically switch these things so the gates are connected
10	together according to your specification.
11	Q. Is the chip that receives these instructions
12	making new physical connections on the chip?
13	A. They're electrical switches. But the point is
14	that it's it's analogous to the EPROM simulating the
15	ROM. This is like these electrical connections
16	simulating what would happen if you actually taped out,
17	as it's called, and got a chip manufactured.
18	Q. And you've never done this other than within the
19	last two years.
20	A. No, that's right. Well, these these devices
21	have come down a lot in cost. So I have never had
22	access to them before.
23	Q. Did I understand you to say that your design
24	the purpose did I understand you correctly to say
25	that the purpose that you have in using these devices is

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1	largely	educational?
2	Α.	That's my motivation.
3	Q.	Well and so you've not implemented one that
4	has resu	alted in the manufacture of a device, for example.
5		MR. DAS: Objection, form.
б		THE WITNESS: No, I haven't. That's, you
7	know	that would be a nice thing to be able to do in
8	academia	a, but it's not that common.
9	BY MR. C	CRAIN:
10	Q.	What's not that common?
11	Α.	You know, to have the work that you do as an
12	academic	turn into some kind of commercial device.
13	Q.	Does Columbia not have a tech transfer office?
14	Α.	Oh, yes. Of course.
15	Q.	Are inventions that people at Columbia come up
16	with not	commercialized through that text transfer
17	office?	
18	Α.	Some are.
19	Q.	So, in fact, it does happen.
20	Α.	I said it wasn't very common.
21	Q.	But it happens.
22	Α.	Of course. I didn't say it was impossible.
23	Q.	Have you ever had an invention go through the
24	tech tra	ansfer office?
25	Α.	Yeah.

1	Q. Has one ever been commercialized and been part
2	of a business that was spun out of the tech transfer
3	office?
4	MR. DAS: Objection, form.
5	THE WITNESS: I've had a couple of patents that
б	have been licensed, so I believe.
7	BY MR. CRAIN:
8	Q. What do you mean, so you believe?
9	A. That's what I've been told.
10	Q. Do you know if they're the patents that are
11	listed on your CV?
12	A. They probably are listed on my current CV. I
13	believe they're listed on my current CV.
14	Q. But not the CV that we have as an exhibit from
15	yesterday?
16	A. That's right. Well that's right.
17	Q. What made you remember this
18	A. Because it was you know, you were asking me
19	about these diagrams, and it's like, yeah, for sure,
20	I've never taped out a chip. But it was like, oh, wait,
21	but there is something relevant here. That's why I
22	didn't think of it immediately. Right? Because it's
23	not the exact same thing you were asking about. Have I
24	ever been a DSP chip designer? No, I've never worked in
25	a company like that.

1	Q. So the closest you've ever been to a DSP chip
2	designer is the experience of FPGA
3	A. Yeah.
4	Q design?
5	A. But, you know, I thought that was nice to
б	introduce, because actually, that's what a
7	contemporary I'm using the same software tools or
8	tools which look very similar no, I'm using the same
9	specification language that today's chip designers use.
10	Q. When you use this phrase, "today's chip
11	designers," who are you referring to?
12	A. People working in industry. You know, companies
13	like Intel that create new chips for people to use in
14	devices.
15	Q. Are you saying that the way Intel or a company
16	like Intel designs their chips is exactly what you're
17	doing with this FPGA
18	A. It's the same specification language. In the
19	same way what they're working at would be much
20	larger-scale projects, but it's the same basic process,
21	yes.
22	Q. I'm not sure I follow you with respect to what
23	you mean when you say "same specification language."
24	That sounds like software, but I don't think that's what
25	you mean. Or is it more analogous to we're speaking

English language? 1 2 Α. No, no, no. It's a machine readable 3 specification. 4 Oh, it is. So it sounds like it is more akin to 0. 5 software then. 6 That's the state of the art in -- so let's try Α. 7 and imagine what a -- someone designing a chip, what 8 they actually do. They could solder things together. 9 Well, obviously, you can't do that on a silicon chip. 10 It's too small. You could draw out the masks, but then 11 go into some photo lithography process to make the chip 12 through etching, et cetera. That's how it was done in 13 the 1970s and 1980s. You could have some software tools 14 that generate those masks that then -- I guess that 15 was -- maybe hand drawing would be a 1970s technique. 16 Software tools to generate those images would be a 1980s 17 technique. Or you could allow computers to take on some 18 of the burden of having to decide each of those 19 decisions of where the traces and doping goes, and you 20 just -- now you can specify it at a more abstract level, 21 to say okay, I want some gates and some latches, and I 22 want them connected together in this topology; but I'll 23 let you, my software tool, decide for me where to put This is called CAD, computer-aided design, for 24 them. 25 That's how it's been for 20 years or so. VLSI.

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1	Q. Is that the state of the art for chip design
2	now?
3	A. That's the what industry does, yeah.
4	Q. Would it be true that at least one difference
5	between what a company like Intel may be doing in their
б	chip design as opposed to someone using
7	A. FPGA?
8	Q. Thank you.
9	is that you're constrained by what may be
10	available on the chip or is that the
11	A. Yes.
12	MR. DAS: Objection, form.
13	THE WITNESS: Any designer is constrained by
14	some kind of resource limitation. That's engineering.
15	If I'm designing to an FPGA, then there is a finite
16	number of gates on the particular FPGA I'm using. If
17	I'm designing a chip, then there is a finite number of
18	gates that can fit on whatever size chip I decide to
19	use. I potentially have the option to make large or
20	small chips. There is not a limit on how large a chip
21	you can make.
22	BY MR. CRAIN:
23	Q. Is there anything else about this that you want
24	to share with us before we get back to
25	A. No.

1	Q. In clarifying this, I still believe I understand
2	that you've not had the occasion to design a hardware
3	DSP chip.
4	A. That's right. But I'm saying this is you
5	know, it's not like I have no conception or no
6	experience no relevant experience.
7	Q. Well, I understand. With the exception of the
8	recent advent of FPGA chips, you've not had the
9	opportunity to design a DSP chip hardware.
10	A. That's correct.
11	Q. But thank you. That's helpful.
12	I think where I had left off, or what I was
13	starting to ask you about, was about whether the TMS320
14	DSP that's described in Exhibit 15 is currently the
15	state of the art.
16	A. Oh, that's right. Yes.
17	Q. So let me ask you, then we'll get back to that.
18	Is the TMS320 digital signal processor, as described in
19	Exhibit 15, the current state of the art in digital
20	signal processors, according to your understanding?
21	A. I'm sure it isn't.
22	Q. Could you explain the basis of your conclusion.
23	A. Well, the reason I'm sure is because there has
24	been a significant advance in technology, particularly
25	this kind of chip technology, since the time of this

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1	document. I mean, that's one one reason.
2	Q. Are you aware of any others?
3	A. Well, other I mean, I know you know, I
4	know of some of the subsequent chips in the TMS320
5	series that replaced this one.
6	Q. And you mentioned some advances.
7	A. Yes.
8	Q. Are you able to speak to any of those advances
9	that you have familiarity with?
10	A. I mean, the events I'm talking about are just
11	basically primarily advances in chip manufacture that
12	increase the number of gates and the speed the
13	switching speed that you can achieve in large-scale
14	integrated chips.
15	Q. What are some of the advantages that these
16	advances provide for users of DSP chips?
17	A. They can the problems that the chip can solve
18	become faster. So the time of this chip and the time of
19	the work we're talking about, DSP chips were beginning
20	to were beginning to be used in audio. Because at
21	that time, it was pretty hard to process audio on a
22	conventional computer, nay impossible. But the
23	additional speed afforded by the special purpose
24	hardware in a DSP chip would mean that you would be able
25	to do something like simulate a particular concert hall

1	in real time using a DSP system.
2	Q. It says concert hole in the transcript. You
3	mean hall.
4	A. Hall.
5	Now, what's interesting that's why I was
б	interested in it at the time what's interesting since
7	then although it was it wasn't that pleasurable to
8	use, so a lot of the work I've done has been still
9	running in software on computers and sacrificing the
10	realtime aspect, because for me, my interests led to,
11	well, what can the algorithms do. I'll let someone else
12	worry about how to make it run fast enough to run in
13	real time.
14	As I mentioned, I've recently been increasingly
15	interested in the problems of teaching signal
16	processing. To my delight now, that kind of software is
17	actually because computers have gotten that much
18	faster the same software is now able to do very nice,
19	sophisticated digital signal processing operations
20	without the need for a special DSP chip.
21	DSP chips have continued to become faster and
22	more powerful. What that's meant is that they've moved
23	on from applications in audio to more demanding
24	applications in things like radios and video.
25	Q. What's the first instance that you are

1	personally aware of, of the use of a digital signal
2	processor in an audio application?
3	A. We mentioned the Speak & Spell. That's a nice
4	starting point. Like I say, I think there are earlier
5	ones. I have this memory of this speed control system
6	that was from the early '70s.
7	Q. Moving forward from the Speak & Spell, would you
8	walk through the ones that at least today, as you sit
9	here, that you can recall. And as you think of your
10	answer, Speak & Spell, I think you said earlier, was mid
11	'70s?
12	A. Yes. That's my belief.
13	Q. Okay.
14	A. You know, some of the in the late '80s, I was
15	interested I was particularly interested in music and
16	in you know, music production, music studio practice.
17	
	As someone with a technological bent, I was interested
18	As someone with a technological bent, I was interested in studio processing gear. That was the time at which
18 19	As someone with a technological bent, I was interested in studio processing gear. That was the time at which digital audio signal processing was moving into music
18 19 20	As someone with a technological bent, I was interested in studio processing gear. That was the time at which digital audio signal processing was moving into music recording studios.
18 19 20 21	As someone with a technological bent, I was interested in studio processing gear. That was the time at which digital audio signal processing was moving into music recording studios. Q. What I'm trying to understand let me ask my
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18 19 20 21 22 23 24	As someone with a technological bent, I was interested in studio processing gear. That was the time at which digital audio signal processing was moving into music recording studios. Q. What I'm trying to understand let me ask my question again to see if it changes what you want to share as a response I'm trying to understand from you any devices or specific applications you mentioned

1	A. Yeah.
2	Q. I'm trying to understand if you have any
3	familiarity with other devices that
4	A. So
5	Q. Let me get my question. I know you're ready to
б	go.
7	that use a DSP in audio application.
8	MR. DAS: Objection, form.
9	THE WITNESS: So I mentioned studio gear. So
10	the kinds of things you would have in a studio would be
11	things like delay lines and reverberators. So digital
12	versions of those.
13	BY MR. CRAIN:
14	Q. Do you recall any particular brands or models of
15	such devices?
16	A. I'm thinking about the Lexicon. Lexicon made a
17	digital reverberator. They made a series of them. I
18	don't remember when the first one was, but I think it
19	was in the mid '80s, that was very well renowned.
20	Q. So we've gone from '75 to the mid '80s.
21	A. Yeah. So now we're switching from things I know
22	about as a student of the history of signal processing
23	to things that I have direct personal memory of.
24	Q. Okay. So you understand, we've been talking
25	about kind of this DSP revolution.

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1	A. Yeah.
2	Q. I'm trying to understand this revolution from
3	what you had shared earlier, how it started and some of
4	the various things we've talked about. So really what
5	I'm trying to get to now is how did this revolution grow
б	and change to where we are now? That's why I asked you
7	about the whether or not this TMS320 is the state of
8	the art now. You've told me it's not, things have
9	changed. So now what I'm trying to get to is your
10	understanding, recollection, prior experience, with
11	respect to maybe devices along that revolution. Okay?
12	So let me ask you the question.
13	Again, what devices do you know about or perhaps
14	have had personal experience about that use a DSP in an
15	audio application during this digital revolution that
16	we've been talking about?
17	MR. DAS: Objection, asked and answered.
18	THE WITNESS: So I mean
19	BY MR. CRAIN:
20	Q. Well, let me speak to the objection. To the
21	extent that you've not shared one. If you've exhausted
22	your information, that's fine. I'm not asking you to go
23	back and re-cover any that you've already shared.
24	A. Oh, you know what? Lexicon was, you know, a
25	major player in oh, no. There is there is

1	there is a device in the studio called a harmonizer.
2	Eventide was a company that manufactured the harmonizer.
3	And the harmonizer will take audio input and produce a
4	simulation of someone singing in harmony or multiple
5	instruments playing in harmony. I want to say that
6	those are also things that were emerging in the mid
7	'80s.
8	Most of these devices had analog precedence. So
9	when I talk about the digital DSP revolution, it's
10	the replacement of analog implementations with DSP-based
11	implementations of these things.
12	MR. DAS: Dr. Ellis, you're tending to swallow
13	the last couple of words that you're saying.
14	THE WITNESS: Sorry.
15	BY MR. CRAIN:
16	Q. How quickly did this DSP revolution occur that
17	involved the replacement of analog implementations with
18	DSP-based implementations?
19	MR. DAS: Objection, form.
20	THE WITNESS: It's pretty difficult to draw
21	boundaries on it. Right? It's spreading through
22	different application areas, as I've discussed.
23	BY MR. CRAIN:
24	Q. Why is it difficult to explain?
25	A. Just it's difficult to draw a boundary. Like

1	well I guess, when there is no longer any analog
2	processing, that everything that could have been
3	replaced by the digital domain processing has been
4	replaced, then we can say it's over. And we may be
5	getting close to that now, but probably not.
б	Q. Why is it taking so long?
7	A. One obvious reason is because you require, you
8	know, the digital technology to be a preferable
9	solution, meaning in particular a cheaper solution. And
10	that depends on the technology. The technology becomes
11	cheaper.
12	Q. So there are times when a DSP solution is not
13	cheaper than an analog solution with respect to audio?
14	MR. DAS: Objection, form.
15	THE WITNESS: There certainly have been times.
16	BY MR. CRAIN:
17	Q. Help me understand one or more of those times.
18	A. So let's say in 1985, I could maybe buy a
19	Lexicon digital reverberator probably for several
20	thousand dollars, or I could buy an analog spring reverb
21	for maybe \$50.
22	Q. Well, I'm asking you more from the
23	manufacturer's viewpoint. You're sharing with me the
24	retailer. I was presuming that you were speaking to it
25	from the creator, the designer's perspective, but I

1 don't think you are. MR. DAS: Objection, form. 2 3 BY MR. CRAIN: 4 Am I mistaken? 0. I was explaining it. I was illustrating it from 5 Α. 6 the consumer's point of view. 7 0. Right. But I mean --But there is no difference between that --8 Α. 9 engineering is about can we make something useful, at a 10 price that people want it. 11 What I was trying to understand from you is the 0. 12 digital revolution that you're talking about maybe is 13 nearly complete where the replacement of an analog 14 solution to a DSP solution can be accomplished. Τt sounds like there is still analog applications that have 15 16 not been so replaced for one reason or another. Did I 17 understand that correctly? 18 MR. DAS: Objection, form. I mean, like I say, there probably 19 THE WITNESS: 20 are some. I'm not thinking of any specific ones. But, 21 you know, like ten years ago, full digital processing 22 for video systems would be very high end. Now it's more 23 commonplace. Now it's ubiquitous. BY MR. CRAIN: 24 25 What do you attribute that to, over the last ten 0.

1	years, for video?
2	A. I mean, okay, ten years isn't actually long
3	enough. Let's say 15 years.
4	Q. Well, whatever time it is.
5	A. Yeah. It's the increasing speed and capacity of
6	the digital devices. You know, I'm not sure that the
7	concept of a DSP chip is as important as it was at the
8	time that we're talking about here, because as I've
9	said, general purpose chips have become so fast and so
10	powerful that they can do we can do many of these
11	things without special purpose hardware. But certainly
12	on the leading edge of things like video processing, you
13	will still have special purpose hardware that's doing
14	digital signal processing functions.
15	Q. So, 15 years ago, or for example, back when the
16	TMS320
17	A. Which is 25 years ago.
18	Q. Twenty-five years ago.
19	I think you said one of the advances in DSP
20	since the TMS320 is speed.
21	A. Yes. The biggest advance was the switch to
22	floating point, actually.
23	Q. So DSPs today can do more than the TMS320 DSP?
24	A. Yes.
25	Q. Then, would we likewise be safe in understanding

1	that the TMS320 DSP could do more than the DSP of ten
2	years earlier than that?
3	A. The TMS320C25 was significantly more powerful
4	than the TMS320C10, which was the preceding generation.
5	Q. Really? Okay.
6	So you've given me a reference point here. I'm
7	just going to use C10 and C25. We're talking about the
8	TMS320. Okay?
9	When I only had the C10, could I do as much
10	digital signal processing with it as compared to what I
11	could be able to do if I had the C25?
12	MR. DAS: Objection, form.
13	THE WITNESS: You know, it's like we're
14	being we're being a little bit fuzzy about what
15	how we measure the quantity of digital signal
16	processing. The C25 would be able to process a larger
17	amount of data in the same amount of time.
18	BY MR. CRAIN:
19	Q. So would that allow me to accomplish more
20	functions?
21	A. Yes.
22	MR. DAS: Objection, form.
23	BY MR. CRAIN:
24	Q. Okay. While you've got that TMS320 document,
25	turn back to the functional diagram, please.

1	A. Yes.
2	Q. According to your understanding and if you
3	need to look anywhere else in the documents, please
4	do
5	A. Okay.
6	Q what type of interfaces does the TMS320 we
7	can talk about the C25 since that seems to be the one
8	that you have great familiarity with so my question
9	is, what type of interfaces does the TMS320C25 support,
10	according to your understanding?
11	MR. DAS: Objection, form.
12	THE WITNESS: What kind of interfaces? You
13	know, the way we're using interfaces is more a property
14	of the computer system. The processing chip is the
15	hardware computer system, but there are typically a
16	number of other parts around it. The interface is, in a
17	sense, like an RS-232 or a USB port, would, particularly
18	at this time, be provided by other chips that were part
19	of the computer system.
20	BY MR. CRAIN:
21	Q. What does that mean?
22	A. That if you wanted to build a TMS320C25 system,
23	you could put whatever interfaces on it you wanted.
24	Q. So according to your understanding, does it
25	support a serial interface?

1	A. It would be very easy to build one with a serial
2	interface.
3	Q. Tell me how you would do it.
4	A. You would add a serial interface chip to the
5	design.
б	Q. What is a serial interface chip?
7	A. It's a chip that allows supports drives,
8	you know, a serial interface standard.
9	Q. Can you identify any by name?
10	A. Yes. I recently had cause to use a MAX232.
11	This is a contemporary chip that wasn't available at the
12	time. But it's a chip for interfacing between logic
13	levels inside a computer, and the particular voltage
14	levels used in RS-232, which are higher voltages than
15	are used within a computer.
16	Q. For purposes of this specific discussion, let's
17	constrain our time to around the time of the TMS320C25.
18	A. Right.
19	Q. Late '80s, early '90s. I mean, I think the
20	document is dated November 1990.
21	A. Yeah.
22	Q. Is that an adequate time for you?
23	A. Sure.
24	Q. So if you were using the chip at that time, the
25	TMS320, would it have supported a serial interface?

1	A. It would be easy to build systems, based on this
2	chip, that had a serial interface.
3	Q. I want to talk about the systems that would be
4	easy to build.
5	A. Yeah.
6	Q. In the time frame of when the TMS320C25 was
7	available.
8	A. By the way, you asked me earlier about
9	applications. I did not finish listing all the
10	applications. One that we might want to include is, of
11	course, digital hearing aids digital signal
12	processing in hearing aids.
13	Q. Why do we want to include that?
14	A. Because one of the references I use in my
15	statement is describes such a system. Also, it's
16	been it's of huge impact it's been of huge impact
17	to the millions of people around the world who have
18	hearing loss.
19	Q. So applications for what exactly again?
20	A. For digital audio signal processing. For DSP
21	chips used in audio.
22	Q. Oh, okay. Was that with respect to the TMS320?
23	A. No. In hearing aids, they're typically custom
24	chips.
25	Q. Why is that?

1	A. Hearing aids are a very demanding application
2	where you need you need the greatest possible
3	efficiency. You can't really afford to use a chip
4	that's been designed that's been specified for
5	anything other than being used in a hearing aid.
6	Q. I still don't understand why give me just a
7	second. Why not? I still don't understand why.
8	MR. DAS: Objection, form.
9	THE WITNESS: Why what?
10	BY MR. CRAIN:
11	Q. Let me read it back. Where you need the
12	greatest possible efficiency. You really can't afford
13	to use a chip that's been designed specifically
14	designed for anything other than being used in a hearing
15	aid.
16	A. Yes.
17	Q. Why not?
18	A. So the hearing aid application has extreme
19	requirements in terms of size, in terms of power budget.
20	So those are the things that you have no flexibility
21	in your design to waste on making the chip larger than
22	you need it to be or making it use more power than you
23	need it to use.
24	Q. Why is power of grave concern?
25	A. Because the hearing aid is going to run off of

1	battery, and you want to make the battery last as long
2	as possible and/or be as small as possible.
3	Q. Size is obviously crucial because of the
4	application of where a hearing aid is installed.
5	A. Yes.
б	Q. Have you ever designed a DSP for a hearing aid?
7	A. No.
8	Q. Have you ever had occasion to work with a DSP
9	for a hearing aid?
10	A. I haven't worked at that level. Some of the
11	work that I've done, some of the research that I've
12	done, has been motivated by hearing aid type
13	applications. I've interacted with people in hearing
14	aid companies. But again, that's the actual dealing
15	with the chip, itself, is specialized and not something
16	I've been involved in.
17	MR. CRAIN: Let's go off the record.
18	THE WITNESS: Okay.
19	THE VIDEOGRAPHER: This is the end of Media
20	No. 2 in the deposition of Dr. Daniel Ellis. The time
21	is 2:03 p.m. We are now off the record.
22	(Recess taken from 2:03 to 2:18.)
23	THE VIDEOGRAPHER: This is the beginning of
24	Media No. 3 in the deposition of Dr. Daniel Ellis. The
25	time is 2:18 p.m. We are back on the record.

1	MR. CRAIN: Thank you.
2	BY MR. CRAIN:
3	Q. Dr. Ellis, did you have an opportunity to speak
4	with your attorneys during the break?
5	A. Yes.
6	Q. Did you speak with your attorneys about your
7	testimony?
8	A. No.
9	Q. Did you speak with your attorneys about any
10	testimony anticipated to be given?
11	A. No.
12	Q. If you recall, before our break, we were
13	speaking about the TMS320 and the type of interfaces
14	that it may or may not support.
15	A. Um-hmm.
16	Q. Do you recall what your response was to that?
17	A. I was saying that it would be easy to build a
18	system with a TMS320C25 and a serial port.
19	Q. And again, constraining our analysis and your
20	response to the time frame of around 1990 or so
21	A. Yes.
22	Q tell me, please, how you would go about doing
23	that.
24	MR. DAS: Objection, asked and answered.
25	MR. CRAIN: Actually, it wasn't.

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1	THE WITNESS: No. Because of the you so
2	the functionality you would need would be something to
3	construct the serial stream, and then some electrical
4	interface to generate the electrical signal specified by
5	the RS-232 standard. The formation of the stream would
б	be most likely done with one of a number of chips that
7	were available at that time to do that, which the
8	generic name for those chips was a UART, U-A-R-T,
9	universal asynchronous receiver transmitter. That's
10	what it was for. But it would still generate logic
11	levels which you would then have to switch up to the
12	full plus or minus 12 volts of RS-232, using properly
13	external transistors.
14	BY MR. CRAIN:
15	Q. Is that all?
16	A. That's all you would need.
17	Q. So you have a serial communication line coming
18	to the chip. Then what you've described here, I'm still
19	not clear on what it does so that it can communicate
20	with the TMS320.
21	
	MR. DAS: Objection, form.
22	MR. DAS: Objection, form. THE WITNESS: So with this kind of computer
22 23	MR. DAS: Objection, form. THE WITNESS: So with this kind of computer technology, you notice, in the pin-outs, there are a
22 23 24	MR. DAS: Objection, form. THE WITNESS: So with this kind of computer technology, you notice, in the pin-outs, there are a number of pins labeled D and A. I'm looking at the

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1	BY MR. CRAIN:
2	Q. Um-hmm. I'm looking at it.
3	A. So the way the D stands for data and the A
4	stands for address. The way this chip and any
5	microprocessor of the era would interface to many of the
б	external chips that it might connect with is through
7	this data and address bus. The microprocessor in
8	this case, the DSP sets up an address, a binary
9	number on the address pins; it sets up a data a value
10	on the data pins. Then there is like some other control
11	pins. There is a read-write pin probably somewhere,
12	which then says, okay, I want to either read data from
13	this address or write data to this address. So the UART
14	would similarly have a bunch of data pins. It would not
15	need so many address pins. But there would be some
16	logic to say, okay, when the address pins are in this
17	particular configuration, we'll enable the UART to know
18	if it's being written to, then basically everything else
19	will be taken care of.
20	Q. So the UART would be written to from the DSP?
21	A. Right. So a program inside the DSP would push
22	values, push bytes to the UART, and then the UART would
23	just write them out as a serial stream.
24	Q. And the serial stream that the UART receives,
25	how does that get into the DSP?

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1	A. In a similar kind of way. The UART has a
2	separate circuit that receives the inputs, the serial
3	stream, and turns them into a byte. The way it
4	typically works is there is a status bit that the
5	either that the DSP chip can deliberately go
6	out and that the DSP chip can go and poll, or they
7	might use one of the interrupt lines. I guess that
8	would be the more efficient way. So the UART would be
9	connected to one of the interrupt lines. These are the
10	pins marked INTO, INT1, INT2 on the chip. What happens
11	with these lines is so the UART would be sitting
12	there, getting the serial input. It would say, okay,
13	I've received a byte of serial data, it would pull low,
14	a data ready pin or something like that, which would be
15	connected to the interrupt pin. This would cause an
16	interrupt to happen in the DSP processor, which means
17	that the program counter is modified to go and execute
18	program statements at a particular point in memory
19	called an interrupt handler. Those statements would
20	know to go to the particular address of the UART data
21	register and do a read operation to read back the byte
22	that's just been received.
23	Q. What is the data register?
24	A. The UART has a has some latches internally
25	which it uses to temporarily record the data that's

1	coming over the serial line. That's the thing that is
2	connected to the data pins when this read operation
3	happens.
4	Q. The register or the latches that you talk about,
5	could I describe that also as just a type of memory?
6	A. That's interesting. It's the smallest element
7	of memory. It's like one word of memory. So memory,
8	you could view as a very large number of latches. That
9	would be kind of stretching the definition.
10	Q. But it is a type of memory, even if it's small
11	and short term.
12	A. It's not about it being short term. I think
13	people in this area would be a little bit uncomfortable
14	with calling a single latch a memory. But technically,
15	it stores it remembers a value, yes.
16	Q. Does the same thing happen in reverse from the
17	DSP through the UART to the serial connection?
18	MR. DAS: Objection, form.
19	THE WITNESS: That was actually the first thing
20	I described.
21	BY MR. CRAIN:
22	Q. Right. So the data would be written to the
23	UART, stored on a register.
24	A. Yes.
25	Q. Then

1	A. Sent out in a serial line.	
2	Q. Is the connection between the UART and the DSP a	
3	serial line?	
4	A. No.	
5	Q. What would you describe it as?	
б	A. I would describe it as a hard wire connection.	
7	But if you wanted to call it parallel, it's connecting	
8	the data point the data bits are connected in a set	
9	of parallel wires.	
10	Q. Have you ever heard of something called Harvard	
11	architecture?	
12	A. I've heard it.	
13	Q. What is that?	
14	A. I'm not exactly sure, but I believe it's I	
15	wish I knew what the yeah, Harvard architecture is	
16	when you have separate address spaces for program and	
17	data.	
18	Q. Does the TMS320 employ a Harvard architecture,	
19	according to what you know about it?	
20	A. Maybe. I'm looking at the block diagram. I see	
21	a separate program bus and data bus.	
22	Q. Let me catch up to you. Where are you looking	
23	to, sir?	
24	A. The functional block diagram. Let me just see.	
25	No, I don't think it does. Because there is	

1	only one it looks like there is only one address
2	space. I don't know. I don't know. You know, it's
3	it's a distinction of interest to computer science
4	theoreticians.
5	Q. Just to be clear, you don't know whether or not
б	the TMS320 does or does not employ a Harvard
7	architecture?
8	A. That's right.
9	(Exhibit 16 marked for identification.)
10	BY MR. CRAIN:
11	Q. Dr. Ellis, I've handed you what has been marked
12	as Exhibit 16. Would you please take a moment to review
13	it and identify it when you're able, if you can.
14	A. Well, this is Patent No. 5,822,775. It's the
15	reference I refer to as Sudo in my declaration.
16	Q. Have you had occasion to read the patent that
17	we're calling Sudo as Exhibit 16, though?
18	A. Yes.
19	Q. Do you recall approximately how many times
20	you've read Sudo?
21	MR. DAS: Objection, form.
22	THE WITNESS: I've read it a couple times. I've
23	read the whole thing a couple of times, and I've, you
24	know, dipped into it other times.
25	BY MR. CRAIN:

1	Q.	Do you recall if you reviewed Sudo this week?
2	Α.	I have.
3	Q.	Did you read it through completely?
4	Α.	Yes.
5	Q.	What do you understand Sudo to be related to?
б		MR. DAS: Objection, form.
7		THE WITNESS: It's describing a particular
8	approac	ch to transferring coefficients in a running DSP
9	system	with doing it efficiently and without
10	interru	pting the process.
11	BY MR.	CRAIN:
12	Q.	So Sudo pertains to a DSP. Correct?
13	Α.	Yes.
14	Q.	Do you see a DSP in any of the figures of Sudo?
15	Α.	Yes.
16	Q.	Where do you see a DSP in Sudo?
17	Α.	So Figure 1 is a functional block diagram. Item
18	two is	labeled DSP.
19	Q.	You said item two is labeled DSAP. Are you
20	referri	ng to the numeral two that has connection to a
21	dashed	box?
22	Α.	Yes.
23	Q.	What do you understand the dashed box to be?
24	Α.	The DSP.
25	Q.	Do you understand the DSP 2 in Sudo, Figure 1,

1 to be a DSP chip? 2 Α. A DSP system. What's the difference? 3 0. I don't know if it's a chip or if it's a -- it 4 Α. could be implemented as a collection of chips. 5 6 What does Sudo tell you? 0. 7 Α. I don't know. I'm not aware of him telling me 8 either. He just calls it a digital signal processor. 9 So you're unable to tell whether he's referring 0. 10 to a single DSP integrated circuit or not? MR. DAS: Objection, asked and answered. 11 12 That's right. THE WITNESS: 13 BY MR. CRAIN: What suggests to you that it may very well be a 14 0. 15 DSP system on multiple chips? 16 The material in this patent is about changing Α. the internal -- the architecture within this box. 17 Τf 18 you had to build a new DSP chip every time you wanted to 19 change it, you would have a very, very slow development 20 cycle. 21 When I asked you earlier what is Sudo related 0. 22 to, you said, it's describing a particular approach to transferring coefficients in a running DSP system 23 with -- doing it efficiently and without interrupting 24 25 the process. And just now, you said the material in

б

this patent is about changing the internal the
architecture within this box. If you had to build a new
DSP every time you wanted to change it, it would be
it would have a very, very slow development cycle.
A. Right.
Q. Those seem to be two different things.
A. They are.
Q. So is the patent about both of those things?
A. The patent is not about the development cycle.
But in order to do the work to do the experiments to
devise the invention, you need to be able to manipulate
the internals of the digital signal processor.
Q. Well, you've used the term manipulating the
internals and changing the internals. What do you mean
by that?
MR. DAS: Objection, form. Objection, compound.
THE WITNESS: I mean making changes to this
block diagram.
BY MR. CRAIN:
Q. Does it make changes to the physical
architecture?
MR. DAS: Objection, form.
THE WITNESS: What do you mean, the physical
architecture?
BY MR. CRAIN:

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1	Q. Well, that's what I'm trying to understand, what
2	you're saying. Does it physically change the chip?
3	MR. DAS: Objection, form.
4	THE WITNESS: If it was a chip, then these
5	the kinds of changes they're talking about would
б	involve the kinds of the kinds of modifications
7	the kinds of systems the techniques they're talking
8	about would involve special functionality within the
9	chip.
10	BY MR. CRAIN:
11	Q. I'm still not following you. What do you mean
12	by that?
13	MR. DAS: Objection, form.
14	THE WITNESS: So they're talking about, you
15	know, a field of invention. Those inventions rely on
16	particular hardware support within the DSP system.
17	BY MR. CRAIN:
18	Q. What hardware support are you referring to?
19	A. Well, many of these items are separately
20	specified because they relate to the invention.
21	Q. How is that relevant?
22	MR. DAS: Objection to form.
23	THE WITNESS: I'm saying the invention is about
24	the particular layout of these hardware items.
25	BY MR. CRAIN:

1	Q. Are you suggesting that the invention changes
2	those hardware items?
3	MR. DAS: Objection, form.
4	THE WITNESS: The invention doesn't change them,
5	but in order to create the invention would require
б	changing them.
7	BY MR. CRAIN:
8	Q. What does that mean?
9	A. So I'm imagining these guys in a research lab
10	doing the work that then gets reported in this patent.
11	They have to experiment with different internal
12	architectures of the DSP systems in order to arrive at
13	the solution.
14	Q. Okay. But once they arrive at the solution and
15	they want to change coefficients, how do you understand
16	that to operate?
17	A. That's what the patent is describing.
18	Q. Would you explain it to me, according to your
19	understanding.
20	A. There is a process by which the new coefficients
21	are transferred from the microcomputer and they're
22	written into the coefficient Data RAM at a particular
23	moment that minimizes the impact on the system.
24	Q. Can you give me an example, according to your
25	understanding, of impact on the system.

1	Α.	They mean that they want to be able to do it
2	without	interrupting the audio processing.
3	Q.	Why is that important?
4	Α.	So that you can make changes smoothly.
5	Q.	As opposed to?
6	A.	Making changes that result in a glitch in the
7	output.	
8	Q.	What is an example of a glitch in the output?
9	A.	A click in the audio stream.
10	Q.	Something that would be audible?
11	A.	Yes.
12	Q.	Do you understand the disclosure of Sudo to
13	avoid su	uch a scenario?
14	Α.	Yes. I mean yes.
15	Q.	What is the significance of the dashed box that
16	we have	labeled as numeral two?
17	A.	It's the digital signal processing system
18	digital	signal processor.
19	Q.	The thing I'm still struggling with is what your
20	understa	anding is of how that digital signal processor is
21	disclose	ed in Sudo.
22	Α.	How it's disclosed?
23	Q.	Yes. What is it, according to the Sudo
24	disclos	ure?
25	Α.	It's this. Here it's described in terms of its

1	functional block diagram.
2	Q. So what's inside the box is part of the DSP?
3	A. What's inside the box is the digital signal
4	processing system.
5	Q. Do you see it described as digital signal
6	processing system in the Sudo patent?
7	A. No. I see it described as digital signal
8	processor.
9	Q. You keep describing it as a digital signal
10	processing system.
11	A. Yes.
12	Q. Why?
13	A. That seems to me a clearer form of words.
14	Q. Than what the inventors used?
15	A. Have you read the patent?
16	Q. Why do you ask?
17	A. Because the language is very poor.
18	Q. What's poor about it?
19	A. It seems like it was not written by a native
20	speaker.
21	Q. Can you give me some examples of some of the
22	poor writing.
23	A. The title is, "Efficient Data Processing Method
24	for Coefficient Data in a Digital Dignal, Processor."
25	That's clearly a typo. They meant "signal" instead of

1	"dignal." And the comma is completely redundant. There
2	are quite a number of such instances.
3	Q. Okay. So there is no such thing as a digital
4	dignal processor?
5	A. Not to my knowledge.
6	Q. Can you point me to some more?
7	A. Yeah. Yes. So, in the abstract, it says,
8	"whereby a processing" starting at the end of the
9	third line "whereby a processing program and
10	coefficient data are transferred and supplied from a
11	microcomputer determination, whether an instruction is a
12	read instruction," et cetera. The comma should have
13	come before "determination." It should be, "transferred
14	and supplied from a microcomputer, determination whether
15	an instruction is a read instruction," et cetera.
16	Q. Okay. So, so far, we see two typos.
17	A. Yeah.
18	Q. And a couple of misplaced commas. What else?
19	A. Oh, my God. It's so bad that it took me forever
20	to figure out what they were saying what they were
21	saying about that particular sentence.
22	I'm sorry. I can't find them. I recall
23	noticing them when I read it, but I don't want to waste
24	any more time.
25	Q. No, please go ahead.

1	A. Okay.
2	Q. Where are you in your reading?
3	A. I'm jumping around. I remember particularly
4	there was like this weird misplaced article, as I
5	remember, but I can't find where it is.
б	Q. Did you find it?
7	A. No.
8	Q. Are you looking for one specific item?
9	A. Yes. Well so I have a recollection of
10	thinking, when I was reading this, that it was written
11	by a non-native speaker. Actually, you, having more
12	experience in patents, may know that that was almost
13	certainly not true, which is fine.
14	Q. I'm sorry. I didn't understand the last
15	thing you said.
16	A. You may know that it's almost certainly not
17	true, which is fine.
18	Q. I don't.
19	A. So yeah. What do you want to do? Do you want
20	me to read the whole thing?
21	Q. Well, you've identified two typos. You've
22	indicated that it's very poorly written. This is all
23	relevant to this thing of DSP to you, every time you see
24	it, means DSP system. So I'm trying to understand why,
25	and you've only shown me two typos. So if you're able

1	to show me more that lead you to that conclusion, please
2	do; but if you can't, that's fine, too.
3	A. So there is a second sentence of the misspelling
4	of signal as dignal. Right? I believe.
5	Q. Okay.
6	A. Just in the title. In column one. But I can't
7	find the other instances that I recall.
8	Q. How many other instances are you thinking about
9	that you may recall?
10	A. I'm recalling one particular thing where I seem
11	to remember there was a miss there was like a
12	redundant article, but in general, I found the language
13	quite difficult to follow.
14	Q. When you say "redundant article," are you
15	talking about a and the?
16	A. Yes.
17	Q. So that sounds like a typo, but I don't have it
18	here. I don't know exactly where it is, so I don't want
19	to presume. It sounds like maybe three or four typos.
20	What else?
21	A. I'm just saying, that my impression on reading
22	it was that it was not written it was not written in
23	a very fluid way.
24	Q. Well, based on what you've identified so far,
25	that led you to the conclusion that DSP means DSP

1 system? 2 MR. DAS: Objection, form. 3 Sorry. Was that a question? THE WITNESS: 4 BY MR. CRAIN: It was. Based on your reading of the Sudo 5 0. patent and the -- I'll give you five typos so far that 6 7 you've identified -- that led you to the conclusion that 8 every reference of DSP in the Sudo patent means DSP 9 system. Right? 10 Α. No. 11 MR. DAS: Objection, form. BY MR. CRAIN: 12 13 Then how did you get to the conclusion 0. Okay. 14 that DSP means DSP system and not what it says in the 15 Sudo patent? 16 Well, it says it means digital signal processor. Α. Can you find an instance in Sudo where it 17 0. 18 describes it as a digital signal processing system? I don't think so. It always refers to it as a 19 Α. 20 DSP. 21 But you're construing it, if I understand you 0. 22 correctly -- I'm just trying to make sure I understand 23 you clearly --24 A. Absolutely. 25 You're construing DSP not as a digital signal 0.

1	processor, but a digital signal processing system?
2	A. No. I'm saying a digital signal processor
3	doesn't exclude digital signal processing system.
4	Digital signal processor is a you could call that a
5	digital signal processing system.
б	Q. Does Sudo?
7	A. He calls it a digital signal processor.
8	Q. He doesn't call it a digital signal processing
9	system; does he?
10	A. No, he doesn't. That's not really a term of
11	art. I mean, it's not there is not like specific
12	meanings to these different forms of wordS. DSP chip,
13	that has a specific meaning. Digital signal processor,
14	digital signal processing system, DSP system, DSP,
15	they're not so narrowly defined.
16	Q. In the time of this patent that was filed around
17	1991, how was a DSP implemented during that time,
18	according to your understanding?
19	MR. DAS: Objection, form.
20	THE WITNESS: If you were developing digital
21	signal processing systems which you would be intending
22	to render into say DSP chips, you would most likely have
23	some kind of discrete logic version that you would use
24	to develop it.
25	BY MR. CRAIN:

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T	Q. I don't know what you mean.
2	A. So let's say I'm experimenting with different
3	architectures of this kind. Do I need this transfer
4	buffer 18? Can I maybe get by without it? How am I
5	going to test that idea? I need a system that has it
б	and a system that doesn't have it. How am I going to,
7	you know maybe I'm working on it and I want to
8	introduce this transfer buffer. What am I going to do?
9	The most natural way to be able to do it would be to
10	have a system composed of discrete components that I
11	could modify.
12	Q. Once you come up with the system, as you used
13	the term, as we see in Figure 1, is it your
14	understanding that this would have been created in some
15	tangible form?
16	MR. DAS: Objection, form.
17	THE WITNESS: Sorry? Objection, form.
18	Yes.
19	BY MR. CRAIN:
20	Q. And what form or forms might that have taken
21	during the time of the patent?
22	A. I'm imagining that the researchers in the lab
23	had some kind of prototype system.
24	Q. What lab?

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1	in.
2	Q. Why do you presume that they were working in a
3	lab?
4	A. That's my that's my assumption of a patent.
5	Patents are inventions. Inventions come from labs.
б	Q. Why do inventions come from labs?
7	A. It would be pretty hard to be developing
8	innovations in architectures of digital signal
9	processing systems without access to a lot of
10	specialized equipment such as might be found in a lab.
11	Q. So do you have any specific understanding of
12	whether or not the patent that we see here in Sudo was
13	or was not developed in a lab?
14	A. Beyond the fact that the assignee is a known
15	Japanese manufacturer of consumer electronics who almost
16	surely had a lab where they developed novel devices,
17	that's the basis.
18	Q. So it's a conclusion you're drawing based on
19	your understanding and what you see as the assignee?
20	A. Honestly, I can't imagine any other circumstance
21	in which where such an invention would come from.
22	Q. Do you believe that also to be true with the
23	'544 patent?
24	A. No.
25	Q. Why not?

1	A. The '544 patent doesn't hang on. Let me
2	just do I have it? I don't have it. Right? Do I
3	have it? I do have it. Sorry.
4	Q. Exhibit 14.
5	A. It doesn't involve changes to the, you know,
б	internal architecture of a digital signal processing
7	system.
8	Q. So only patents that involve changes in
9	architecture, you think, have to be invented in labs?
10	MR. DAS: Objection, form.
11	THE WITNESS: It's kind of it's not it's
12	difficult to boil it down to a simple test like that,
13	based on my assessment of the kind of work involved.
14	There are some things that I could imagine doing at
15	home, in my garage say; there are some things which I
16	could not imagine doing at home.
17	BY MR. CRAIN:
18	Q. Because you describe the '544 patent as not
19	getting into the architecture of the DSP, you believe
20	that it likely was not invented in a lab?
21	MR. DAS: Objection, form.
22	THE WITNESS: No.
23	BY MR. CRAIN:
24	Q. When you say "no," do you mean that's correct;
25	or no, you disagree?

1	Α.	I disagree with your statement.
2	Q.	So then you think that it may have been invented
3	in a lab	o?
4	Α.	Oh, yeah. You know sorry. Carry on.
5	Q.	I'm sorry. What were you going to say?
6	Α.	Nothing.
7	Q.	Drawing your attention back to Sudo
8	Α.	Yeah.
9	Q.	So the DSP 2 that we see, could that have taken
10	the tang	gible form of a DSP chip around the time of 1991,
11	1992, wł	nen it was filed as a patent for invention?
12	Α.	The system described in Figure 1 is the kind of
13	thing yo	ou could build as a chip, yes.
14	Q.	Well, the system in Figure 1 has more than
15	just	more items than just the DSP 2. Are you saying
16	all of i	it would be on one chip?
17	Α.	Sorry. No. The item labeled DSP.
18	Q.	All right. Well, let's ask the question again
19	so we ha	ave it clean.
20	Α.	Yeah.
21	Q.	The item identified as DSP 2 in Figure 1, do you
22	believe	that it could have been implemented as a DSP
23	chip at	the time of invention in the year 1992?
24		MR. DAS: Objection, form.
25		THE WITNESS: I believe that the item labeled

1	DSP in Figure 1 could have been implemented as a single
2	chip or equally as a system comprising several chips.
3	BY MR. CRAIN:
4	Q. Okay. So that's one part. A single chip. You
5	agree with that. But you also believe it could have
б	been implemented as multiple chips.
7	A. Yes.
8	Q. Do you see any suggestion in Sudo to do that?
9	MR. DAS: Objection, form.
10	THE WITNESS: The reason I mention that is
11	because I'm imagining the process of actually doing the
12	research involved in generating these results.
13	BY MR. CRAIN:
14	Q. But would you answer my question.
15	A. Do I see any direction to implement it as
16	multiple chips?
17	Q. In Sudo.
18	A. I don't see any direction either way.
19	Q. Either way what?
20	A. Either as it doesn't talk about the number of
21	chips he's going to use to implement it.
22	Q. So you don't believe the fact that we have a box
23	there labeled as DSP 2 at least indicates that it can be
24	one chip. I thought you already said it could.
25	MR. DAS: Objection, asked and answered.

1	THE WITNESS: I said it could be one chip. I
2	think that it's it would have been technically
3	possible, at the time, to put all of this on a single
4	chip, but he doesn't say anything about it.
5	BY MR. CRAIN:
6	Q. Tell me your experience with digital signal
7	processors in 1992 involving multiple chips.
8	MR. DAS: Objection, form.
9	THE WITNESS: I did not have any such
10	experience. As I'm saying, this idea of this system
11	based on multiple chips is based on my idea of how it
12	would be done in a lab that were developing signal
13	processing architectures at the time.
14	BY MR. CRAIN:
15	Q. Tell me all the DSP chips that you can think
16	of let me start again.
17	Tell me, as of 1992, all of the DSPs that would
18	have been on multiple chips that you know about.
19	A. So, as I'm saying, the only ones I'm imagining
20	are systems being used for development of DSP
21	architectures within labs, not commercial products.
22	Q. So you don't know of any commercial product DSPs
23	that would have been on multiple chips as of 1992; do
24	you?
25	A. You wouldn't call it a you wouldn't sell

1	multiple chips and say, "These things, use them together
2	to make a single DSP." If you were going to sell them
3	like that, you would put them on one chip.
4	Q. Then why in the world are you telling me they
5	could be on multiple chips based on what Sudo discloses?
6	That makes no sense.
7	MR. DAS: Objection, asked and answered.
8	THE WITNESS: I think I've explained this.
9	BY MR. CRAIN:
10	Q. You're telling me nobody would do that
11	commercially?
12	A. No one would make it as a product.
13	Q. Right.
14	A. But somebody has got to figure out how those
15	products are going to work. Someone has got to do
16	experiments and do research before we can build the
17	products.
18	Q. So they would build separate chips with these
19	components on some chips and on other chips?
20	A. Yes.
21	Q. And those chips would never see the light of
22	day?
23	A. Oh, no. I mean, the chips are just standard
24	digital logic.
25	Q. So you're speaking really in the confines of

theory testing. 1 2 MR. DAS: Objection, form. 3 THE WITNESS: I'm talking about practical 4 testing. 5 BY MR. CRAIN: 6 Well, if someone were going to practice what 0. 7 Sudo teaches, which you've told me you have difficulty reading, how would they do it, on one chip or multiple 8 9 chips? 10 MR. DAS: Objection, form. THE WITNESS: Yeah, you would -- I think -- the 11 12 goal would be to build a final product that was a single 13 chip, involving this idea. It's interesting how you 14 would get there. Right? So you could design -- yeah, I think -- well -- I don't know. But it's quite likely --15 16 it's quite possible -- seems quite possible to me that 17 you would want to build some prototypes before you 18 committed to the final chip. 19 BY MR. CRAIN: 20 0. Okay. What does that have to do with anything? 21 Well, the prototypes would not be a single chip; Α. 22 it would be more pieces. It would be some kind of 23 development board with different -- the opportunity to put different things together. 24 25 Right. And you've already told me that this 0.

thing was assigned to Pioneer. Do you have any 1 2 understanding or belief that Pioneer would have 3 ultimately reduced this to one chip or not? Do you 4 know? 5 Objection, form. Objection, compound. MR. DAS: 6 This is not -- you know, this only THE WITNESS: 7 deals with one aspect of the -- of the processing system, but -- I have no idea if they did, but I assume 8 9 from the fact -- one reason for this patent existing is 10 because this is something that they developed and used 11 and put into chips that they manufactured. 12 BY MR. CRAIN: 13 But I think you did say earlier that you 0. 14 wouldn't expect a commercial embodiment of the invention 15 in Sudo to be manufactured on multiple chips. 16 Α. That's right. 17 In Figure 1, do you see a box labeled 19 with 0. 18 the text "Interface"? 19 Α. Yes. 20 0. Feel free to refer to the patent text. I'd like 21 to understand your understanding of what do you understand Sudo to disclose interface 19 to be? 22 23 MR. DAS: Objection, form. THE WITNESS: An interface, you know, implies 24 25 circuitry whose only function is to connect together two

1	systems that cannot be directly connected together to
2	you know, two systems. This is the interface that's
3	handling the translation between the microcomputer and
4	Bus 17 Main Bus 17.
5	BY MR. CRAIN:
б	Q. Why can the two systems that you were referring
7	to in your prior response not be connected together?
8	A. You know, typically, when you're connecting an
9	external microcomputer into some other system, there
10	will have to be some, you know, fairly low-level
11	translation of voltages, possibly modifications of
12	timing, things like that.
13	Q. Do you believe that Sudo is disclosing
14	Interface 19 to be a connector?
15	A. I don't think he's talking about the connectors.
16	I don't think he this description would not be at the
17	level of connectors. It's not that's not really
18	that would be kind of irrelevant to the material.
19	Q. So it's not a connector, in your opinion?
20	A. He's not he didn't put that box there because
21	he wanted to remind us to use a connector.
22	Q. Not use a connector. That it is a connector.
23	MR. DAS: Objection, form.
24	THE WITNESS: I mean, it's teaching how to do
25	this. Right? So it's like, oh, in order to do this,

1	you'll have to put a connector here. That's not what
2	he's saying. Because you wouldn't it would be kind
3	of absurd to describe the use of the individual
4	connectors at this level of abstraction.
5	BY MR. CRAIN:
б	Q. You agree with me, then, that Interface 19 is
7	not disclosed by Sudo to be a connector, then. Correct?
8	A. That's right. That's my impression.
9	Q. What do you understand Main Bus 17 to be?
10	A. As we've discussed before, a bus is more of a
11	design abstraction. It's a set of nodes that are
12	connected together in a circuit, but they're ones where,
13	you know, you use them for multiple purposes, which
14	is so that's why you call it a bus.
15	Q. Let me see if I can help the discussion.
16	A. Yeah.
17	Q. Remember when we were talking about a data bus
18	and a program bus earlier with respect to the TMS320?
19	A. Yes.
20	Q. Would you expect Main Bus 17 to be materially
21	different from either the data bus or the program bus?
22	MR. DAS: Objection, form. Objection, compound.
23	THE WITNESS: It looks to me like a program bus.
24	He calls it the main bus, but it's similar to the
25	program bus.

1	BY MR. CRAIN:
2	Q. To be clear, what you're saying, Main Bus 17
3	appears to be similar to you to the program bus that we
4	saw in, I think it was Exhibit 15, the TMS320 document.
5	A. What I mean is if you were trying to make
б	analogies between the two designs, that would be a
7	reasonable analogy to make.
8	Q. Thank you.
9	What differences do you see between Interface 19
10	and I/O Interface 3, both within DSP numeral two?
11	MR. DAS: Objection, form.
12	THE WITNESS: Interface 19 is connecting the
13	external microcomputer to the bus. I/O Interface 3 is
14	connecting a different bus to the external A to D and D
15	to A converters which are supplying the input and the
16	output to the DSP system.
17	BY MR. CRAIN:
18	Q. Understanding that these two interfaces are
19	shown as, I guess, functional blocks, but do they
20	represent hard-wired components if you're going to build
21	this physically?
22	A. The individual interfaces?
23	Q. Right.
24	A. Those blocks represent hard-wired components.
25	They would be implemented with some components which

1	would be connected together. They would be implemented
2	with some components which would be connected together.
3	Q. Is connected together and hard wired different?
4	A. You know, hard wired, I guess you're I don't
5	know what that means. I mean, I do know what that
6	means, but we haven't defined it.
7	Q. Okay. What does it mean?
8	A. Hard wired means implies that it's done in an
9	inflexible way.
10	Q. What other type of connections are there that we
11	should also be concerned with? And let me define the
12	universe. Within what we're talking about with Sudo
13	here.
14	MR. DAS: Objection, form.
15	THE WITNESS: You know, I'm thinking about this
16	as a development environment. So I'm thinking about
17	systems where you might want to change the connections
18	between the chips. So you might have a wish to have
19	them implemented in some mechanism whereby you could
20	connect things together without having to go out and
21	solder things.
22	BY MR. CRAIN:
23	Q. And that would have been the case in 1992?
24	A. They were not you don't yeah, you don't
25	get out your soldering iron every time you want to

1	change a circuit.
2	Q. What suggests to you the desire to change the
3	circuit?
4	A. Because they're doing research on the structure
5	of this of this system.
б	Q. Where do you see that?
7	A. Well, because it's a patent about an idea
8	about the structure of the system.
9	Q. But what makes you think there is a desire in
10	the Sudo patent to change what's represented in the Sudo
11	patent?
12	A. Well, because that's what they're talking about.
13	They're talking about how they've come up with this new
14	idea to how to arrange one of these, which means like
15	well, they must have they must be starting from
16	something different.
17	Q. But where is the end?
18	MR. DAS: Objection, form.
19	THE WITNESS: Where is the end?
20	BY MR. CRAIN:
21	Q. Yes. So they're starting from something. Is
22	this not the end of what they reached?
23	A. This is the end, yes.
24	Q. So if this were being built as a commercial
25	chip, how would you expect to see the connection between

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1	Main Bus 17, Interface 19, Microcomputer 20, and
2	similarly, the Bus 4, Input/Output Interface 3, and each
3	of those A to Ds and D to As?
4	MR. DAS: Objection, compound. Objection, form.
5	THE WITNESS: If this were being built as a
б	chip, then all the signals coming in and out of the
7	system would be carried by pins on the chip. Those
8	pins, of course, would not be something that you could
9	directly connect to an external computer or an external
10	analog-to-digital, digital-to-analog converter. As with
11	any DSP chip, the actual product, you wouldn't there
12	would be a product which was a chip, then there would be
13	a product which was some kind of system including that
14	chip. That system would provide some kind of ability to
15	actually connect these things, including connectors.
16	BY MR. CRAIN:
17	Q. Where would the pins on the chip be?
18	MR. DAS: Objection, form.
19	THE WITNESS: On the chip.
20	BY MR. CRAIN:
21	Q. Where would we expect to find pins with respect
22	to what's shown in Figure 1?
23	MR. DAS: Objection, form.
24	THE WITNESS: Figure 1 does not provide any kind
25	of direct correspondence to individual pins. We've been

1	talking about the idea of reducing the digital signal
2	processor, as he styles it, into a single chip. But
3	those I think and earlier, I said that was a
4	sensible thing to do. But boxes three and nineteen, the
5	I/O interface and the interface, are probably the
6	least least likely candidates to be included within a
7	single chip. So there would certainly be pins on the
8	chip which would be involved in the process of
9	connecting the DSP chip to other other units, but
10	it's unlikely that there would be no other no other
11	circuit elements involved in those interfaces, external
12	to the chip.
13	So what I'm saying is the way I imagine this, if
14	this whole thing were reduced to a single chip, would be
15	most of the box two would be within that chip, but some
16	of boxes three and nineteen would be constituted by
17	external circuits on the same circuit board as the chip
18	to handle the details of interfacing to the converters
19	and the microcomputer.
20	BY MR. CRAIN:
21	Q. So you believe that Interface 19 would actually
22	be partially or completely outside of the box that we
23	see as DSP 2?
24	A. I think there would there would almost
25	certainly be some of it now we're talking about a

1	hypothetical rendering of the system into a chip I
2	think there would most likely be some interface
3	circuitry there would certainly be some interface
4	circuitry inside the chip, and there would most likely
5	be some that was outside the chip.
б	Q. Why do you say that? And qualify your answer
7	with the time of the invention that we see in Sudo.
8	A. Absolutely.
9	Q. Okay. Make sure.
10	A. When you're building a chip, you know, you want
11	to build a single chip that's going to be useful in a
12	variety of circumstances. You don't it's a very
13	delicate process in design to choose your boundaries at
14	the right point. But you don't want to include
15	particularly, you know, a chip is an expensive thing to
16	build. You don't want to include too much inside of it.
17	So things that you might want to vary in the different
18	uses you might make for this chip, such as the style of
19	the interface to other system components, it wouldn't
20	make sense to hard wire them within the chip, to bake
21	them into the chip.
22	So you would try and have some kind of very
23	generic portions of the interface, something that you're
24	pretty much going to need in any circumstance within the
25	chip; and then you would be able to build boards, the

1	DSP boards, including this chip; and then put whatever
2	additional circuitry you needed to specialize it for the
3	particular devices that you wanted to connect it to, you
4	would be able to put those on the board externally.
5	Q. Well, a few minutes ago, you were telling me
6	that everything inside a DSP 2 could be implemented on a
7	chip. Now you're telling me that's not the case.
8	A. Yes.
9	Q. Why are you changing?
10	A. When we were talking about it, I wasn't thinking
11	carefully about boxes three and nineteen. You drew my
12	attention to them subsequently.
13	Q. So now your testimony is that it could not be
14	implemented on one chip, because blocks three and
15	nineteen would have to be implemented, at least in part,
16	outside of DSP 2.
17	A. No. That's not what I said. I'm saying you
18	could implement on one chip, but most likely, good
19	engineering design procedure would lead you to divide
20	the implementation of those interfaces to be partially
21	on the chip and partially external.
22	Q. So you're telling me that it would be good
~ ~	an a
23	engineering design to not put too much on one chip. You
23 24	said that phrase, too. So now, Pioneer is going to sell

1	MR. DAS: Objection, form.
2	BY MR. CRAIN:
3	Q. Because you can't put the interface now on just
4	one chip, you've got to have two.
5	MR. DAS: Objection, form.
6	THE WITNESS: No. They're going to sell one
7	chip, and then the point is the other half of the
8	interface is a detail of the particular implementation.
9	It's not a specialized chip.
10	BY MR. CRAIN:
11	Q. Show me in Sudo that supports that.
12	A. I don't see anything in Sudo that speaks to
13	that. That's just how you do electronics design.
14	Q. Show me in Sudo that teaches pulling that box 19
15	out of DSP 2.
16	MR. DAS: Objection, form.
17	THE WITNESS: I don't know what I'm looking for
18	really.
19	BY MR. CRAIN:
20	Q. It doesn't teach it; does it?
21	A. It doesn't really so you're saying what would
22	that mean? If it was partially outside of the box, then
23	it would say the interface which is not entirely within
24	the digital signal processor or something like that.
25	Q. It makes no sense to me and you know more

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1	about this than I do but it makes absolutely no sense
2	to me that you would have a box with components inside
3	it and say, well, you know, these are actually going to
4	be outside. Well, why did you draw them inside? You're
5	telling me they could be outside. Okay. Then where is
6	that in Sudo? Because he seems to think something
7	different than what you're saying. Unless you can show
8	me where he says it.
9	A. Well, what's the I mean, you can understand
10	this as well, in a sense, this sort of speaks to my
11	original impression that the box doesn't particularly
12	describe a chip, but describes a system. The
13	interface all of the Interface 19 that we're
14	discussing, in my view, is within the system. Part of
15	the circuitry is on say on this chip, part of it is
16	external to the chip. That would be the conventional
17	way to do it. As I've explained, that would be good
18	engineering practice.
19	Q. And you're unable to find one jot or tittle in
20	Sudo that supports what you just said. Right?
21	MR. DAS: Objection, argumentative.
22	THE WITNESS: Because that's really not the
23	that's not what the patent that's not the level of
24	abstraction the patent is working at.
25	BY MR. CRAIN:

1	Q. What you're suggesting, though, doesn't that
2	change or effectively get rid of the box that is labeled
3	as DSP 2?
4	A. No. It actually puts it into my original
5	construction, which is like this is a system. Digital
б	signal processing, which likely consists of multiple
7	chips.
8	Q. But the word "system" for DSP is not in the
9	patent either.
10	A. Okay. This is a digital signal processor that
11	likely includes multiple chips.
12	Q. Okay. So you've completely backed away now from
13	what you said earlier about the DSP 2 being implemented
14	on one chip, at least in one instance?
15	MR. DAS: Objection, form. Objection,
16	argumentative.
17	THE WITNESS: No. I think remember when you
18	asked me what an interface was, and I tried to explain
19	that it was the name for some low-level process of
20	translating say electrical levels? It's not it's
21	something you would want to put in there, because hey,
22	let's not forget, you're going to have to do you may
23	need to do some translation of electrical levels in
24	here. But it's not like, oh, this is some important,
25	crucial function. It's sort of just it's a very

1	minor detail of the system.
2	BY MR. CRAIN:
3	Q. Can you tell me why a person of ordinary skill
4	in the art of the '544 patent not understand Interface 19
5	to be a buffer circuit to the interface pins of the DSP,
6	a chip, and then Main Bus 17?
7	A. Why they would not understand it to be that?
8	Q. Yes. No, no, no. Why they would understand it
9	to be well, let me flip it. Because I think the not
10	there is confusing.
11	Do you believe a person of ordinary skill in the
12	art would understand Interface 19 to be a buffer circuit
13	to interface the external pins of the DSP chip as
14	connected to Microcomputer 20 and then Main Bus 17?
15	MR. DAS: Objection, form. Objection, compound.
16	THE WITNESS: I don't understand you know,
17	there is more to it than that. Right? What is the
18	connection to the microcomputer? So I kind of I like
19	parts of what you said, that there would be part of
20	the Interface 19 would involve
21	(Interruption.)
22	THE WITNESS: That the Interface 19 would
23	involve some buffer circuit. That's what I was
24	that's the kind of thing I was talking about, about
25	translating voltage levels. But there is sort of a

1	second half to that, which is like, well, okay, so
2	that's taking something that comes off say pins on a DSP
3	chip and interfacing them to something that might be an
4	internal data bus. But then it's like, okay, what do we
5	do with these pins on the DSP chip to connect them to a
6	microcomputer?
7	BY MR. CRAIN:
8	Q. Does Main Bus 17 operate at high speed,
9	according to your understanding of Sudo?
10	A. It's you know, the speed of Main Bus 17 is
11	important. It's one of the it's part of the the
12	speed limit you know, the ultimate speed of the whole
13	system, yes. And high speed, you know, what does that
14	mean? But high speed meaning like high speed relative
15	to other systems in the relative to other components
16	in the system, yes.
17	Q. Just to be clear, do you believe that Main Bus
18	17 is a high-speed bus?
19	MR. DAS: Objection, asked and answered.
20	THE WITNESS: We haven't defined high speed. A
21	high-speed bus.
22	BY MR. CRAIN:
23	Q. I think you did suggest that speed is important
24	in Sudo. Correct?
25	A. That's right.

1	Q. What can you explain to me about the speed of
2	Main Bus 17?
3	MR. DAS: Objection, form.
4	THE WITNESS: A system like this, a
5	microprocessor system like this, will have a clock. It
б	has a clock that synchronizes all the different
7	operations. I think Main Bus 17 changes state with
8	every clock cycle, which is probably the most rapid
9	changes that occur in the system.
10	BY MR. CRAIN:
11	Q. Describe for me how Interface 19 is connected to
12	Microcomputer 20, according to your understanding of
13	Sudo.
14	A. It doesn't say.
15	Q. Do you have any understanding?
16	A. I understand that the there is a
17	microcomputer which is delivering data to the system
18	through that interface. So there must be some form of
19	interface. And as it turns out, the ability to I
20	think the microcomputer has the ability to has quite
21	a high level of control over the state of the internals
22	of the digital signal processor through this interface
23	and through its control of Main Bus 17.
24	Q. So based on that, what type of connection do you
25	

1	DSP 2?
2	A. I would expect it to have a high-speed
3	connection.
4	Q. You used the term "high speed" there. So can I
5	also apply that to Main Bus 17?
6	A. Yes.
7	Q. So we're going to use that as the benchmark.
8	Okay?
9	A. Okay.
10	Q. Tell me, as of 1992, what type of high-speed
11	connections would be in existence that you would have
12	expected to see between Interface 19 and Microcomputer
13	20.
14	A. You know, my best estimate would be something
15	like the PCI bus. This was the internal the
16	connection that was used to allow you to insert cards
17	into a microcomputer, like an IBM PC or something.
18	Q. You believe it could have been that?
19	A. That's the kind of thing I expect it to be.
20	Q. Do you believe it could have been anything else?
21	A. It could have been anything else.
22	Q. Like what? I want you to tell me.
23	A. You could certainly generate some special
24	purpose interface. You know, you can it's not clear.
25	It certainly doesn't have to be that.

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1	Q. What does it have to be?
2	A. It has to be some it has to be able to allow
3	the microcomputer to access the bus in order to perform
4	the functions that it specified.
5	Q. According to your understanding of what type of
б	high-speed connections were available during the time of
7	the filing of the Sudo patent, what would that menu be?
8	MR. DAS: Objection, form.
9	THE WITNESS: You could create
10	BY MR. CRAIN:
11	Q. Let me ask the question again. What would the
12	menu of available options of the interconnection between
13	Interface 19 and microcomputer be to achieve the high
14	speed that you talked about?
15	A. You could develop you could construct some
16	kind of custom parallel connection to do that.
17	Q. So I would have to create something from
18	scratch?
19	A. Sure.
20	Q. Okay. You mentioned a PCI bus.
21	A. Yes.
22	Q. Is that an option?
23	A. Um-hmm. PCI bus requires quite a lot of
24	overhead to manage. But that's the kind of role that
25	would have been used that's the you know so I'm

1	imagining them building a development you know, when
2	you build a card like this when you build a chip or a
3	system like this, you typically you don't send it out
4	to your customers as a chip. You give them a
5	development board which they can then use for their own
6	experiments to see what they can do with your chip.
7	Very often, those development boards would be PCI bus
8	boards.
9	Q. What else? That's two.
10	A. Well, so obviously a custom parallel so
11	custom means everything other than some existing
12	standard.
13	Q. So I've got to make up something.
14	A. Well, it's often if you know exactly what you
15	want, why would you want to use a standard? The purpose
16	of using a standard is to provide interoperability. If
17	this is the only thing you're going to do with it, then
18	you don't need that.
19	Q. Okay. So I still think you're on two. A PCI
20	bus and some type of custom solution.
21	A. Okay.
22	Q. Can you think of a third?
23	A. No. I can't think of one.
24	Q. So RS-232 wouldn't be
25	A. RS-232 would not be suitable.
-	
1	Q. Why?
----	---
2	A. Too slow.
3	Q. Too slow for what?
4	A. Too slow for the detail of the way that the data
5	transfers occur in this invention.
б	Q. So to be clear, according to your understanding
7	of Sudo, you do not believe that the connection between
8	Microcomputer 20 and Interface 19 could be an RS-232
9	connection.
10	A. Yes.
11	Q. And it couldn't be a USB connection, because
12	that hadn't been developed yet.
13	A. That's right. Yeah.
14	Q. Are there any other serial-type connections that
15	we haven't talked about, that this could be?
16	A. At this point in technology, serial connections
17	were not fast enough for this.
18	Q. When was PCI bus developed?
19	A. The PCI buses identified with the IBM PC AT
20	which came out in like 1984 or something.
21	MR. DAS: Mr. Crain, we've been going almost an
22	hour and a half now.
23	MR. CRAIN: Have we?
24	MR. DAS: If this is a good time for a break.
25	MR. CRAIN: A couple questions. I'm at a point

1	here
2	BY MR. CRAIN:
3	Q. Would PC bus PCI bus let me start again.
4	Would PCI bus have allowed for a removable
5	connection between Microcomputer 20 and Interface 19?
б	A. Yes.
7	Q. Would this undefined custom was it a parallel
8	connection?
9	A. Yes.
10	Q. Would this undefined parallel connection that
11	was a custom connection also allow for a removable
12	connection between the Microcomputer 20 and Interface 19?
13	A. Yes.
14	Q. How?
15	A. It's a custom. You can build it any way you
16	want.
17	Q. We don't know, though.
18	A. I'm trying to think how it would not. Right?
19	It depends on what Microcomputer 20 is. But if it isn't
20	removable, that means that somehow it has to be
21	permanently connected, which would mean that it was, you
22	know, an integral part of the entire system.
23	Q. What does the circle between Interface 19 and
24	Microcomputer 20 that's in the dashed line box represent
25	to you?

1	A. It represents the port.
2	Q. What port?
3	A. It doesn't represent very much. It's just a
4	I just see it as it doesn't have any particularly
5	strong interpretation. It represents it normally
6	would indicate a transition between you know,
7	something like leaving a circuit board.
8	Q. Could it represent a pin?
9	A. It could represent a pin. Well, in this case,
10	it could not represent one pin.
11	MR. CRAIN: All right. We'll take a break.
12	THE WITNESS: Thanks.
13	THE VIDEOGRAPHER: This is the end of Media
14	No. 3 in the deposition of Dr. Daniel Ellis. The time
15	is 3:44 p.m. We're off the record.
16	(Recess taken from 3:44 to 3:55.)
17	THE VIDEOGRAPHER: This is the beginning of
18	Media No. 4 in the deposition of Dr. Daniel Ellis. The
19	time is 3:55 p.m. We are back on the record.
20	BY MR. CRAIN:
21	Q. Would you please turn to Exhibit 13.
22	A. Okay.
23	Q. I think you did this earlier. But would you
24	please again identify Exhibit 13.
25	A. This is my declaration in the matter at hand.

 A. Yes. Q. Did you type all of the content in your declaration? A. I didn't type it all. The history I'll let you ask the questions. Q. Well, go on. The history what? A. (No response). Q. What were you going to say? A. I don't know. Q. You don't know? Did you forget? A. I didn't finish composing a sentence. Q. What were you starting to say? A. I said the history. Q. And you don't remember what you were going to say after that? A. Not by now. Q. Okay. By the way, is there anything with respect to your testimony from earlier today that you would like to change or correct? A. No. Thank you. Q. And did you speak with your attorneys during the break? A. I spoke with them. Q. Did you speak about the substance of your 	1	Q.	Did you author your declaration?
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 9 Q. What were you going to say? 10 A. I don't know. 11 Q. You don't know? Did you forget? 12 A. I didn't finish composing a sentence. 13 Q. What were you starting to say? 14 A. I said the history. 15 Q. And you don't remember what you were going to 16 say after that? 17 A. Not by now. 18 Q. Okay. By the way, is there anything with 19 respect to your testimony from earlier today that you 20 would like to change or correct? 21 A. No. Thank you. 22 Q. And did you speak with your attorneys during the 23 break? 24 A. I spoke with them. 25 Q. Did you speak about the substance of your 	8	Α.	(No response).
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 20 would like to change or correct? 21 A. No. Thank you. 22 Q. And did you speak with your attorneys during the 23 break? 24 A. I spoke with them. 25 Q. Did you speak about the substance of your 	19	respect	to your testimony from earlier today that you
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 Q. And did you speak with your attorneys during the break? A. I spoke with them. Q. Did you speak about the substance of your 	21	Α.	No. Thank you.
 23 break? 24 A. I spoke with them. 25 Q. Did you speak about the substance of your 	22	Q.	And did you speak with your attorneys during the
A. I spoke with them.Q. Did you speak about the substance of your	23	break?	
25 Q. Did you speak about the substance of your	24	Α.	I spoke with them.
	25	Q.	Did you speak about the substance of your

1	testimo	ny to date?
2	Α.	No.
3	Q.	What parts of your declaration did you not type?
4	Α.	I don't know. I can't identify
5	Q.	What parts of your declaration do you remember
б	typing y	yourself?
7	Α.	Various parts throughout.
8	Q.	Turn to native page three, QSC page six of your
9	declara	tion.
10	Α.	Yeah.
11	Q.	Do you recall whether or not you composed
12	Section	IV(A) of your declaration?
13	Α.	Section IV(A)? Yes. I did.
14	Q.	You did compose
15	Α.	I believe so.
16	Q.	Does that include paragraphs ten through
17	fourtee	n?
18	Α.	Yes.
19	Q.	Did you insert a copy of Figure 1 from Sudo onto
20	native j	page four?
21	Α.	Did I insert it?
22	Q.	Yes.
23	Α.	I don't think so.
24	Q.	Did you direct it to be inserted?

1	Q. Why did you direct it to be inserted?
2	A. This is what I really liked about Sudo is this
3	picture that makes it very clear there is a separation
4	between the microcomputer and the digital signal
5	processor.
6	Q. Did you compose paragraph 13 of your
7	declaration?
8	A. Yes.
9	Q. Earlier, you seemed to have trouble or you
10	quibbled with me a little bit about the term "hard
11	wired."
12	A. Yes.
13	Q. I see the word "hard wired" in paragraph 13.
14	A. Yes.
15	Q. So I need for you to explain it to me, what you
16	intend that word to mean so that we can talk about it.
17	A. In this case, it's in contrast to a removable
18	connection. It's a non-removable connection.
19	Q. Hard wired means non-removable?
20	A. Yes.
21	Q. What does removable connection mean to you?
22	A. A connection that you can physically separate.
23	Q. Permanently?
24	A. A connection that you can physically separate
25	and reconnect multiple times.

1	Q.	What you just said is your understanding of the
2	term "r	emovable connection" in Sudo?
3	Α.	"Removable connection" in Sudo or in this
4	paragra	ph?
5	Q.	Is there a difference?
6	Α.	I don't know what "removable connection" in Sudo
7	means.	
8	Q.	Is there a difference in what the term
9	"remova	ble connection" in Sudo means and what it means
10	in para	graph 13?
11	Α.	I don't believe it appears in Sudo.
12	Q.	I'm sorry. Thank you.
13		In the '544 patent.
14	Α.	Oh, great. Is there a difference in what
15	"remova	ble connection" means? In that extent, I don't
16	believe	so, no.
17	Q.	Is the term "removable connection" in Sudo?
18	Α.	I think not. I think if it had been, I would
19	have me	ntioned that.
20	Q.	Does Sudo teach the concept of a removable
21	connect	ion?
22	Α.	The subject matter of Sudo is not about those
23	kinds o	f issues.
24	Q.	Is that a no?
25	Α.	Sudo doesn't teach a removable connection. Sudo

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1	is about ways of updating parameter RAM.
2	Q. In paragraph 13, where you use the term
3	"removable connection," what do you mean?
4	MR. DAS: Objection, asked and answered.
5	MR. CRAIN: Well, I didn't understand him the
б	first time, so I've got to ask again.
7	BY MR. CRAIN:
8	Q. Let me ask a question. What do you mean by the
9	term "removable connection" in paragraph 13?
10	A. I mean that the connection between the digital
11	signal processor and the microcomputer was most likely
12	something that could be disconnected and reconnected
13	multiple times with little effort.
14	Q. Based on what?
15	A. Based on my understanding of how of, you
16	know, the kind of system that was being described and
17	the activities that were involved in developing the
18	patent.
19	Q. Show me, if you would, in Sudo if you have
20	that patent handy
21	A. Yes.
22	Q. Just a moment. I think I've gotten myself
23	confused.
24	I think we were looking at Sudo. Let's look at
25	something there. I think, before the break, we were

1	talking about these interfaces. What do you understand
2	A to D numeral one to be?
3	A. A system for converting an analog input signal
4	to a digital output signal.
5	Q. What do you understand D to A 22 to be?
б	A. A system for converting a digital input signal
7	to an analog output signal.
8	Q. Would you describe for me how your understanding
9	of A to D numeral one is connected to I/O Interface No. 3.
10	A. We're not given any information. It's not
11	material. That's why we're not given any information.
12	You know, the all he's saying is, okay, this is a
13	thing that's connected to an analog input and output.
14	It's a digital processor. So we have to have
15	converters, because we're because we're needing to
16	access these things in an ongoing fashion, we'll need
17	some kind of interface to handle the details of the
18	transfer from whatever format it comes from the A to D
19	system into whatever format we need to use internally.
20	Q. Is A to D No. 1 removably connected from I/O $$
21	Interface 3? Let me ask it again. I said that wrong.
22	Is A to D No. 1 removably connectable to I/O
23	Interface 3, according to your understanding of Sudo?
24	MR. DAS: Objection, form.
25	THE WITNESS: Again, my understanding of this is

1	there is some kind of development system, but they're in
2	the business of developing these things. They're going
3	to have existing A-to-D and D-to-A modules, which
4	they're going to use across all their difficult many
5	different projects. In that case, yes, it would
б	certainly be removably connected.
7	BY MR. CRAIN:
8	Q. So you could unplug it and plug it back as many
9	times as you wanted to?
10	A. Absolutely.
11	Q. Identify for me, if you can, any devices that
12	you've had prior experience with where an A to D was
13	removably connectable to the DSP. I'm not talking about
14	in a lab. I'm talking about real devices.
15	A. Yeah. That's very common. Because the A to D
16	is an extremely delicate piece of equipment. They're
17	commonly manufactured as separate units. So they can
18	be you know, you can choose your own A to D, how much
19	you're going to pay, and buy the best one.
20	Q. So are you saying just because it's a separate
21	component, it's inherently removably connectable?
22	A. Because it's I mean, the idea of it being a
23	separate module, something in a case, that would make it
24	inherently removably connectable, yes.
25	Q. Is that what you understand Sudo to be telling

1	us about it?
2	A. That's not what he's telling us about it. He
3	doesn't talk about it at all. But that's my
4	understanding of what's being represented in this figure.
5	Q. Draw your focus, please, back to Microcomputer
б	20.
7	A. Okay.
8	Q. I understand, I think, the Sudo patent talks
9	about I forget what it calls it Hall 1 and Hall 2.
10	I think they may be like functions. Does that sound
11	familiar to you?
12	A. Sorry. Hall? Oh, Hall 1.
13	Q. Hall. H-A-L-L. I'm going to show you the
14	language. Look at the bottom of column three of the
15	Sudo patent. Do you see where it says, "Control keys of
16	sound fields, such as Hall 1 and Hall 2, are prepared on
17	the keyboard 21"? You're welcome to read that and
18	continuing on, but I'm asking for your understanding of
19	what Sudo is telling us with respect to how what
20	Hall 1 and Hall 2 appear to be to you.
21	A. I'd just like to point out that is the kind of
22	sentence that led me to feel that this may not have been
23	composed by a native speaker. But you don't want to
24	go there. Right?
25	Q. No. I do. Tell me. Explain to me.

1	A. "Control keys of sound fields such as Hall 1 and
2	Hall 2 are prepared on the keyboard 21." I don't
3	understand why it's saying that the keys are prepared on
4	a keyboard. What does that mean? I don't know what
5	that means.
6	Q. You have no understanding of that?
7	A. I mean, I understand that there may be keys
8	present on a keyboard, but I don't understand why it
9	means they're prepared.
10	Q. What do you understand "prepared" to mean?
11	A. Like something is being set up specially.
12	Q. So you don't understand that to mean that there
13	is a key on a keyboard set up especially for this
14	function of Hall 1?
15	A. That's not how I would have written it.
16	Q. But that's not what I asked you.
17	A. I didn't misunderstand the sentence. It just
18	struck me as strange wording.
19	Q. It wasn't written the way you would have written
20	it.
21	A. That's right.
22	Q. You're certainly able to understand things you
23	didn't write. Correct?
24	A. That's right.
25	Q. So getting back to what I was trying to ask

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1	I'm trying to understand how microprocessor 22
2	A. What?
3	Q. I'm sorry. Microprocessor microcomputer
4	let me get my terms right how does Microcomputer 20,
5	according to your understanding of Sudo, cause the
6	implementation of Hall 2 sound field?
7	MR. DAS: Objection, form.
8	THE WITNESS: Let me just read the following
9	sentences.
10	BY MR. CRAIN:
11	Q. Certainly.
12	A. So it's it describes how when the key is
13	pressed, the microcomputer transfers program and
14	coefficient data into the memory of the digital signal
15	processor through the Interface 19.
16	Q. What is a signal processing function?
17	A. It's a the it's a description of the
18	modification you wish to perform, to be performed upon
19	the input signal to generate the output signal.
20	Q. What are parameters?
21	A. They are a variety of values that may control
22	aspects of that function of that program algorithm
23	procedure.
24	Q. Is a signal processing function then different
25	from a signal processing function parameter?

1	A. Yes.
2	Q. When it's talking about Hall 1 or Hall 2 and
3	I think it describes them as sound fields do you
4	understand whether or not those correspond to what may
5	be a signal processing function or a signal processing
6	function parameter?
7	MR. DAS: Objection, compound.
8	THE WITNESS: He says that the microcomputer
9	specifically reads out the program corresponding to the
10	key and the coefficient data group. I would
11	understand the coefficient data group is the sort of
12	thing I would call a signal processing function
13	parameter, and the stuff that's not the coefficient data
14	group could be usefully described as a signal processing
15	function.
16	BY MR. CRAIN:
17	Q. Look back at Fig. 1. There is still something I
18	can't get out of my mind here. You were just telling
19	me, if I understood you correctly, that A to D No. 1 is
20	removably connectable, according to your understanding
21	of Sudo.
22	MR. DAS: Objection, form.
23	THE WITNESS: What I said was I'm imagining the
24	piece of equipment that's being you know, what's
25	being depicted the physical realization that's being

1	depicted here, I'm imagining it as a piece of equipment
2	in the laboratory, including an external A to D
3	converter which is in a box which is removably connected
4	to the remainder of the equipment.
5	BY MR. CRAIN:
6	Q. Is that the only way that it could be imagined?
7	A. No.
8	Q. What other ways could you imagine it?
9	A. You could imagine putting the A to D converter
10	as a chip, on a card, that has you know, that has
11	other has you know, the breadboard system that has
12	other components that are being built into the system.
13	Q. Is that still in a laboratory?
14	A. Yes.
15	Q. Can you imagine any implementations of Figure 1
16	outside of a laboratory?
17	A. Yes.
18	Q. What can you imagine?
19	A. I can imagine this as a you know, a
20	development card. I can imagine it as a development
21	card that you could plug into a computer. I can imagine
22	it as a complete system.
23	Q. In any of those implementations that you can
24	imagine, inside or outside of a laboratory, if you
25	disconnect A to D No. 1, what happens to the audio

1 signals? 2 Objection, form. MR. DAS: 3 It depends. But certainly, if you THE WITNESS: 4 remove the input, then the outputs in a short while are 5 going to cease to reflect what -- I mean, reflect what's 6 going on. So there are two things. It depends what 7 happens -- how the I/O interface would deal with the absence of that input. It could either read it as 8 9 zeros, or it could stop working altogether, read it as 10 silence. 11 BY MR. CRAIN: 12 But it would no longer be processing audio 0. 13 signals. Is that correct? 14 A. It would be processing whatever it's got in its 15 memory. 16 But it would no longer be processing the audio 0. 17 signals that were being communicated by A to D No. 1. 18 Correct? If it's not connected, then it won't be getting 19 Α. 20 any information from it. 21 When on the keyboard, the preset button for 0. 22 Hall 1 or Hall 2 is depressed, what do you understand 23 Microcomputer 20 to do? 24 MR. DAS: Objection, asked and answered. 25 THE WITNESS: I understand it to find --

1	retrieve a corresponding program, a set of instructions
2	and some data, a set of values, and to transfer them
3	into the memory of the digital signal processor into
4	the memories of the digital signal processor.
5	BY MR. CRAIN:
6	Q. Does that result in the modification of a signal
7	processing function?
8	A. It would unless it happened to be writing the
9	same program that was already there.
10	Q. It would unless it was writing the same program
11	that was already there?
12	A. That's right.
13	Q. So if Hall 1 was being executed by the DSP and
14	the button for Hall 2 was pressed, what signal
15	processing function would be modified, if any?
16	A. So, you know, you asked me before about the
17	difference between the signal processing function and
18	the signal processing function parameters.
19	Q. Yes, I did.
20	A. And I think I answered it by saying something
21	about signal processing function parameters, and then
22	sort of defining signal processing function relatively
23	as everything that wasn't included in the parameters.
24	It's not that easy to it's not like, oh, there is
25	there is a very clear distinction between these in all

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1	cases. It's sort of depending on what you you know,
2	what you're interested in, what perspective you were
3	taking on the class of problems, the different
4	algorithms. Different parts of that overall algorithm
5	might be considered to be in the parameters or not in
б	the parameters in the function.
7	Q. Describe for me, Dr. Ellis, your understanding
8	of how, in this example, Hall 1 would be modified as a
9	signal processing function by the depressing of the
10	button for Hall 2.
11	A. How Hall 1 would be modified as a signal
12	processing function how the signal processing
13	function associated with Hall 1 would be modified by
14	pressing the Hall 2 button.
15	Q. How would it modify the signal processing
16	function for Hall 1?
17	A. So can I so one what I've just said about
18	parameters what I'm saying is there is a boundary
19	we could imagine that here is all the stuff you need to
20	run Hall 1. We can now draw a boundary here and say
21	here are the parameters, here is everything else, which
22	we're going to call the signal processing function.
23	Q. I'm listening.
24	A. I'll still using my hands, which I guess is
25	probably you told me not to do. There are two parts.

1	One is the parameters, which is sort of we can we
2	have some flexibility in how we define it. And for the
3	purposes of this discussion, we're going to define
4	everything else as the function.
5	It might be that the difference between in
б	fact, it's quite likely it's quite possible that the
7	difference between Hall 1 and Hall 2 only exists in the
8	parameters, or it could be that the differences exist in
9	both the parameters and the function.
10	Q. Do you remember my question?
11	A. How does the signal processing function of
12	Hall 2 get modified Hall 1 get modified when you
13	press the button for Hall 2.
14	Q. Okay.
15	A. Do you remember my first answer?
16	Q. I don't. Because it was kind of so long
17	A. My first answer was, if it was the same, it
18	
	wouldn't get modified. It might be the same, in which
19	wouldn't get modified. It might be the same, in which case it wouldn't get modified.
19 20	wouldn't get modified. It might be the same, in which case it wouldn't get modified. Q. Does Sudo indicate to us that it would be the
19 20 21	<pre>wouldn't get modified. It might be the same, in which case it wouldn't get modified. Q. Does Sudo indicate to us that it would be the same?</pre>
19 20 21 22	<pre>wouldn't get modified. It might be the same, in which case it wouldn't get modified. Q. Does Sudo indicate to us that it would be the same? A. You know, it was that was what it suggested</pre>
19 20 21 22 23	<pre>wouldn't get modified. It might be the same, in which case it wouldn't get modified. Q. Does Sudo indicate to us that it would be the same? A. You know, it was that was what it suggested to me. Sudo is, again, not at all it's not really</pre>
19 20 21 22 23 24	<pre>wouldn't get modified. It might be the same, in which case it wouldn't get modified. Q. Does Sudo indicate to us that it would be the same? A. You know, it was that was what it suggested to me. Sudo is, again, not at all it's not really talking about these algorithms at all. It's just saying,</pre>

1	of things you would do.
2	Q. What do you mean, it's not talking about these
3	algorithms at all?
4	A. It's not talking about the algorithms. It's
5	talking about how to update the coefficient Data RAM.
6	Q. The last answer we have on the transcript is,
7	it's not talking about the algorithms. This is you.
8	A. Yeah.
9	Q. It's talking about how to update the
10	coefficient
11	A. The coefficient Data RAM.
12	Q. Data RAM. Yes. Would you explain that.
13	A. The substance of the invention is a mechanism
14	for updating values in the coefficient Data RAM without
15	interrupting the processing.
16	Q. So does it, in any way, teach the modification
17	of the algorithm?
18	A. It starts off by explaining a mechanism by
19	describing a scenario in which the algorithm may have
20	been modified.
21	Q. Where is that?
22	A. When it says that the
23	Q. Where are you looking?
24	A. Sorry. The bottom of column three. The place
25	we were looking just now. That the microcomputer reads

1	out the processing program corresponding to the operated
2	sound field control key and transfer I'm skipping the
3	comments and transfers this data to the DSP through
4	the interface.
5	Q. Where does that say that it modifies it?
6	A. It transfers it. There is a program the DSP
7	is only running one program at a time.
8	Q. Right.
9	A. So when it transfers that program into
10	presumably the program RAM, the understanding is that's
11	then the program that the DSP will be running.
12	Q. So if Hall 1 is the program that is running, how
13	is Hall 1 modified by the insertion of Hall 2?
14	A. Yes, there are two possibilities. One is Hall 1
15	and Hall 2 share the same program. So the program,
16	itself, remains unchanged even though, as it's described,
17	we understand that the program RAM is overwritten. If
18	it's overwritten with the same values, it remains
19	unchanged. In that case, the difference between Hall 1
20	and Hall 2 would be merely in the coefficients.
21	Q. What is the other?
22	A. The other is that Hall 1 and Hall 2 have
23	different programs. So that when it transfers the new
24	program into the program RAM, an entirely new a
25	different algorithm is begins to be implemented.

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1	Q. In the second scenario that you just described,
2	is the first program changed in any way, other than just
3	not being run anymore?
4	A. It's obliterated. It's replaced in memory with
5	the second program.
6	Q. But it's not modified then?
7	A. No.
8	Q. And if we want to go back to Hall 1, we'll press
9	the Hall 1 button. That program comes back out of
10	Microcomputer 20 into the RAM. Correct?
11	A. That's my understanding, yes.
12	Q. And it would be the same program that was
13	initially running in this hypothetical before we went to
14	Hall 2.
15	A. Yes.
16	Q. So it's never modified as an algorithm.
17	A. That's not yes, that's not part of this
18	disclosure.
19	Q. Now, the first instance is they just happen to
20	be the same.
21	A. Yes.
22	Q. Is that a common implementation, in your
23	experience?
24	A. Yeah.
25	Q. So Hall 1 and Hall 2 understandably, it might

1	be close, because maybe there are some tweaks but
2	what about other types of algorithms or functions maybe
3	for this big football stadium they have down here? Have
4	you heard about this thing? I mean, this thing gets
5	really loud. So if they want to play sound down in this
6	thing, maybe they have to do it in a way that might be
7	different from Hall 1. Would you expect the algorithms
8	to be the same?
9	MR. DAS: Objection, form.
10	THE WITNESS: It's interesting, but it turns out
11	that the algorithms that they're suggesting right
12	which is this the couple of times they specify some
13	of AiDi
14	BY MR. CRAIN:
15	Q. Where are you looking?
16	A. So column one, line 34, it's Greek letter sigma,
17	Greek letter alpha, subscript I, center dot, lower case
18	D, subscript I, all in parenthesis. That's they call
19	it they give it a name. I can give it a name. The
20	product sum arithmetic operation. So it turns out this
21	is a very well known a fundamental operation in
22	signal processing, because you can do a lot of you
23	can simulate a very wide range of, for instance, halls
24	with that.

1	A. No.
2	Q. So it could be stadiums?
3	A. Yes.
4	Q. So, in your first scenario, again, the signal
5	processing function is not modified, because it's the
6	same no matter what the
7	A. Right.
8	Q operation is?
9	A. Yes.
10	Q. Only the coefficients are different.
11	A. That's right.
12	(Exhibit 17 marked for identification.)
13	BY MR. CRAIN:
14	Q. Dr. Ellis, I'm going to hand you what has been
15	marked as Exhibit 17. Would you please take a moment to
16	review it.
17	A. Okay.
18	Q. Are you able to identify what has been marked as
19	Exhibit 17?
20	A. Yes. This is an international patent
21	application. It's a patent. It's not a U.S. patent.
22	It's some kind of patent description, which is the
23	reference I referred to as Krokstad in my declaration.
24	Q. Is it referred to as Krokstad because that
25	appears to be the first named inventor?

1	Α.	It appears to be the family name of the
2	invento	r.
3	Q.	Correct. Thank you.
4		Do you believe Exhibit 17 to be an international
5	applica	tion published under the Patent Cooperative
6	Treaty?	
7	Α.	Yes.
8		MR. DAS: Objection, form.
9	BY MR.	CRAIN:
10	Q.	Have you reviewed let's call it the Krokstad
11	referen	ce
12	Α.	Yes.
13	Q.	Have you reviewed the Krokstad reference?
14	Α.	Yes.
15	Q.	Do you recall how many times?
16	Α.	Three or four times.
17	Q.	Like the others, I'm sure you reviewed it as
18	well th	is week.
19	Α.	That's right.
20	Q.	Would you explain for me what you understand the
21	Kroksta	d reference to be directed to.
22	Α.	It's about a design of a hearing aid, a digital
23	hearing	aid, a hearing aid that processes sound using a
24	digital	signal processor, using digital signal
25	process	ing, and various details of other aspects of this

1	hearing aid to make it good.
2	Q. Why is it your belief that a person of ordinary
3	skill in the art in 1994 would look to hearing aid
4	technology like what's disclosed in Krokstad for
5	teaching let me start that question again.
б	Why is it your opinion that a person of ordinary
7	skill in the art of the '544 patent would look to the
8	Krokstad reference for teaching and insight?
9	MR. DAS: Objection, form.
10	THE WITNESS: Because the use of digital signal
11	processing in hearing aids was a very exciting and
12	important development at the time.
13	BY MR. CRAIN:
14	Q. How do you know that?
15	A. That's my recollection.
16	Q. Based on what?
17	A. Based on what I remember about the time. This
18	is this is early for a digital hearing aid. I guess
19	that's why they're filing a patent on it. Because
20	it's again, as I've described, there is a process by
21	which the digital technology has to become cheap enough
22	to be suitable in the right format. So this is the time
23	at which this these the hearing aids which had
24	previously been analog were switching to digital, making
25	possible a much greater range of functionalities and

б

flexibilities, which was which was an exciting
development.
Q. Do you have any understanding of whether or not
the Krokstad reference teaches a removable connection as
is claimed in the '544 patent?
A. I think it does.
Q. Would you show me where you believe that to be.
A. So, for instance, in Figure 5a, we actually
see well, we see firstly a box labeled "RS-232," also
labeled "IF," which clearly refers to the serial
programming interface described that can be used to
update the internal settings of the hearing aid.
We also see a broken line between the box marked
RAM 7 and the box marked RAM 2. It's an interrupted
arrow, which I believe corresponds to a removable
connection between the part of the hearing aid that's
inserted in the ear and a case that it is put in when
it's not in the ear.
Q. Do you have Exhibit 12 handy? If you want to
pull reference Exhibit 13, which is your declaration,
we can look at both of them. We'll be jumping back and
forth.
A. Okay.
Q. So you've got 13 and you've got 12, and then the
Krokstad reference.

1	Α.	Yeah.
2	Q.	So we'll look at all three of them.
3		In Exhibit 12, please turn to page 24 23, 24.
4	Α.	Yeah.
5	Q.	I was wrong. Make that 25, 26.
6	Α.	Yeah.
7	Q.	Let's just start with the last two elements that
8	we see	on page 26.
9	Α.	Yes.
10	Q.	I believe you also talk about them in your
11	declara	tion. So we can flip back and forth.
12		Now, do you see in the left column where it
13	says, "	An external programmer"?
14	Α.	Yes.
15	Q.	And the right column, it shows it actually
16	referen	ces Sudo and not Krokstad. Do you see that?
17	Α.	Yes.
18	Q.	And we've just been talking about
19	Microco	mputer 20.
20	Α.	Yes.
21	Q.	So I take it from this that let me go back
22	here.	
23		Let's look at your opinion as well.
24	Α.	Yes.
25	Q.	Do you see any reference in your opinion, on

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1	pages six, seven, or eight, with respect to Krokstad
2	disclosing or teaching an external programmer?
3	A. In paragraph 18, I say, "Krokstad also shows the
4	use of an RS-232 input port that is used to program the
5	DSP with functions that are selected for a particular
б	user."
7	Q. Do you see a reference to an external programmer
8	in that paragraph?
9	A. Yes.
10	Q. Is the RS-232 the external programmer?
11	A. It's the interface to the external programmer.
12	So it's used to program these obviously, the RS-232
13	input port is a port. It's not the port that can
14	program it; it's the thing you connect to the port.
15	Q. Yeah, you're getting ahead of me. That's right.
16	I think you're right. So where is the thing that
17	connects to the port? Is it in Krokstad is what I'm
18	trying to understand?
19	A. Oh, he mentions it. Yeah. He mentions the idea
20	of using some kind of audiometry system. That's what's
21	connected through the RS-232 port.
22	Q. Before you go to that, do you reference what
23	you're trying to find in your port?
24	A. That's what I'm talking about.
25	Q. Where are you talking about it, again?

1	A. I'm talking about there is an RS-232 port that
2	is used to program the DSP.
3	Q. And you're not suggesting that it is the RS-232
4	port that's the external programmer. Correct?
5	A. That wouldn't make any sense.
6	Q. According to your understanding of Krokstad,
7	what's connected to the RS-232 port?
8	A. It's a connection between two devices. It's a
9	connection between an external computer, which is used
10	for setting the hearing aid, and it's connecting to the
11	hearing aid circuitry.
12	Q. So are you then now contending that this
13	computer connected to the RS-232 port would be an
14	external programmer?
15	A. Yes.
16	Q. If you look at the next element, it starts out
17	with, "A programming signal input port." We've already
18	talked about Sudo, so I think I'm good with that. That
19	appears to correspond to the first two paragraphs of
20	Exhibit 12. Does that appear to be correct? I only say
21	because see how it references Exhibit 5 and 3?
22	A. Sorry. You lost me.
23	Q. Okay. Are you on page 26 of Exhibit 12?
24	A. Yes.
25	Q. In the right column, across from the element

1	that begins, "A programming signal interface port," do
2	you see the paragraph that begins, "Sudo discloses"?
3	A. Yes.
4	Q. Do you understand that paragraph to be
5	pertaining to Sudo?
б	A. Yes.
7	Q. To what does the second paragraph pertain?
8	A. Also Sudo.
9	Q. That's what I was trying to get at. Sorry to
10	confuse you.
11	A. Yes.
12	Q. I think that's right.
13	A. I agree.
14	Q. Do you understand Krokstad to disclose a
15	programming signal input port?
16	A. So there are various names given to I think
17	there are like three different levels that are
18	distinguished in the '544 patent. And I'm not it's
19	not totally clear to me what the distinctions are. But
20	in in natural usage, the I would say that would be
21	a good way to describe it. The way that a person of
22	ordinary skill would understand a programming signal
23	input port a programming signal input port, then that
24	would that would apply to the RS-232 port in
25	Krokstad.

1	Q. So you do believe that Krokstad discloses a
2	programming signal input port, and if I understand you
3	correctly, you represent it to be the RS-232 input port?
4	A. Yes. RS-232 port.
5	Q. Is that the only thing it would be?
6	A. There is this there is this second removable
7	connection in Krokstad between it's the one I
8	described as the broken line.
9	Q. What is that?
10	A. It's this connection between what appears to be
11	a cradle that the hearing aid is put into when not in
12	use and which the hearing aid doesn't have an RS-232
13	port on it. An RS-232 port has a physical size which
14	would be impractical to put on a hearing aid. So there
15	is some kind of box or case that the hearing aid can be
16	put into when it's not in use. And according to the
17	description, there is some electrical connection that's
18	made when it's put in the case.
19	Q. Is that the programming signal input port?
20	A. That connection could also be described as the
21	programming signal input port. A programming signal
22	input port.
23	Q. But is that port removably connectable to the
24	external programmer?
25	MR. DAS: Objection, form.

1	THE WITNESS: You know, it depends on what
2	you're defining where you're defining the boundary
3	between the external programmer and the digital signal
4	processor.
5	BY MR. CRAIN:
6	Q. I'm asking you.
7	A. Well, you could define either of them. I only
8	mention it because it's a possibility.
9	Q. What's a possibility, again?
10	A. That you could define you could define the
11	boundary you could define the removable connection
12	between the external programmer and the digital signal
13	processor as being the RS-232 port or the broken arrow
14	between those two RAMs in figure in the figure I was
15	talking about.
16	Q. I'm confused. Is it one or the other or is it
17	both?
18	A. Depending on your taste, you could define it
19	either way.
20	Q. How are you defining it, is what I'm asking?
21	A. I'm happy to define it either way.
22	Q. Okay. Does that mean both?
23	A. Not at the same time. It depends. You know. I
24	don't see the need to rule out one of them.
25	Q. What is the external programmer if you're going

1	to say that the removable connection is I take it to
2	be that broken area between RAM 7 and RAM 2.
3	A. In Figure 5a.
4	Q. Yes.
5	A. Yes. Then the external programmer would be
б	everything to the right of that broken line, which would
7	be RAM 7, the RS-232 interface, and the external computer
8	connected to that interface which is not shown.
9	Q. What does RAM 7 do?
10	A. I'm not really sure. It seems to be a backup
11	memory that can store parameters.
12	Q. Is that it?
13	A. Yeah.
14	Q. Do you agree with my understanding of Krokstad
15	that it appears to disclose a hearing aid component, a
16	case or I think you said a cradle, and then some type of
17	computing device? Is that a fair characterization?
18	A. The invention makes reference to the computing
19	device, yes.
20	Q. As well as the hearing aid, obviously?
21	A. Yes.
22	Q. And some type of case?
23	A. Yes.
24	Q. Describe for me your understanding of what
25	Krokstad teaches in order to program the hearing aid.

1	A. That's interesting. He talks about you know,
2	that the there is a computer connected to the
3	interface, and you can download data through that into
4	the various memories of the hearing aid system to change
5	its function.
б	Q. Could you get into a little bit more detail. I
7	think that's a very high-level explanation.
8	A. Yes.
9	Q. Would you walk me through the steps that you
10	understand. What is the first thing you would do?
11	A. To do what?
12	Q. Well, if I want to program the DSP that I
13	presume is in the hearing aid
14	A. Yes.
15	Q what is the first step to do that, that you
16	understand, in Krokstad?
17	MR. DAS: Objection to form.
18	THE WITNESS: Well, I don't know if the exact
19	sequence doesn't matter, we'd have to establish a
20	connection between the external programmer and the DSP.
21	So that would involve, for instance, plugging the
22	external computer into the RS-232 interface and
23	connecting the ear unit to the case.
24	BY MR. CRAIN:
25	Q. Are you suggesting they all have to be connected

1 at one time?

A. That would be one way. That would certainly beone way of doing it.

4 Q. Okay.

A. Now, I haven't -- I haven't read Krokstad closely enough. Looking at the picture, it seems like, well, maybe you could write into RAM 7, and then later -write into RAM 7 when it's not connected to the hearing aid, and then later connect the hearing aid to the case and the data in RAM 7 could be transferred. That's just something that is suggested to me by the figure.

Q. Tell me, if you would -- take a moment to review Krokstad and tell me if either one of those or both of those are suggested in Krokstad.

15 So yes -- it might be helpful for me to Α. Oh. 16 note at this point that in my previous review, I concluded that in that figure -- in Figure 5 -- 5a, I 17 18 think it is -- Figure 5a, I concluded that what's labeled "RAM 7" was actually meant to be labeled RAM 1, 19 that this was a -- you know, an error in preparing the 20 21 figure. This is based on comparison with Figure 4a 22 where you see RAM 2, the broken line, and the RS-232 23 interface.

Q. Are you telling me you put that in your report?25 Is that in your report?
1	A. No.
2	Q. All right. I thought you said that.
3	So you believe that there is a typo in
4	Figure 5a?
5	A. Yes.
б	Q. And I think I interrupted you and I apologize.
7	Explain to me why you believe that RAM 7 is an error.
8	A. Well, so, you know, one thing is a one and a
9	seven are somewhat similar. You can imagine if this was
10	being transcribed from a hand-drawn figure, that that
11	would be a mistake that could happen. But mainly by
12	comparison of the surrounding units between Figure 4a
13	and Figure 5a, the things on either side of that box are
14	the same, but for some reason, the number inside the box
15	is changed from RAM 1 to RAM 7.
16	The other thing is that RAM 7 appears in
17	Figure 5b in a completely different place, immediately
18	before RAM 8 within the DSP. There is no interpretation
19	that I could come up with why the other RAMs have
20	corresponding RAM 2, which appears in both figures,
21	is in the same place. There is no reason I could think
22	of why RAM 7 would suddenly be moved from downstream of
23	the separation connection in Figure 5a to the internal
24	of the DSP in Figure 5b.
25	Q. So the question I asked you before that I

1	appreciate that information was for you to review
2	Krokstad and tell me if one or both of the two methods
3	are disclosed.
4	MR. DAS: Objection, form. Objection, compound.
5	THE WITNESS: So I'm looking at the third
6	paragraph on native page 21. It starts, "The hearing
7	aid can easily be reprogrammed." This describes it
8	says, "Reprogramming is performed in that the random
9	access memory RAM 1 in the case or secondary section two
10	is connected via an interface IF of type RS-232 to a
11	computer, e.g. a personal computer which is connected to
12	an audiometer system."
1 2	BY MR CRAIN:
13	DI M. CIMIN.
14	Q. What do you understand that to be teaching?
14 15	Q. What do you understand that to be teaching? A. That the to reprogram it to reprogram the
14 15 16	Q. What do you understand that to be teaching?A. That the to reprogram it to reprogram the hearing aid, you connect an external computer to the
13 14 15 16 17	 Q. What do you understand that to be teaching? A. That the to reprogram it to reprogram the hearing aid, you connect an external computer to the RS-232 interface and transfer data.
14 15 16 17 18	 Q. What do you understand that to be teaching? A. That the to reprogram it to reprogram the hearing aid, you connect an external computer to the RS-232 interface and transfer data. Q. So is this the method where the computer, via an
14 15 16 17 18 19	 Q. What do you understand that to be teaching? A. That the to reprogram it to reprogram the hearing aid, you connect an external computer to the RS-232 interface and transfer data. Q. So is this the method where the computer, via an RS-232 connection in the case, transfers data into
14 15 16 17 18 19 20	Q. What do you understand that to be teaching? A. That the to reprogram it to reprogram the hearing aid, you connect an external computer to the RS-232 interface and transfer data. Q. So is this the method where the computer, via an RS-232 connection in the case, transfers data into RAM 1?
14 15 16 17 18 19 20 21	Q. What do you understand that to be teaching? A. That the to reprogram it to reprogram the hearing aid, you connect an external computer to the RS-232 interface and transfer data. Q. So is this the method where the computer, via an RS-232 connection in the case, transfers data into RAM 1? A. In both of the scenarios I mentioned, this is
14 15 16 17 18 19 20 21 22	Q. What do you understand that to be teaching? A. That the to reprogram it to reprogram the hearing aid, you connect an external computer to the RS-232 interface and transfer data. Q. So is this the method where the computer, via an RS-232 connection in the case, transfers data into RAM 1? A. In both of the scenarios I mentioned, this is part of it.
14 15 16 17 18 19 20 21 22 23	Q. What do you understand that to be teaching? A. That the to reprogram it to reprogram the hearing aid, you connect an external computer to the RS-232 interface and transfer data. Q. So is this the method where the computer, via an RS-232 connection in the case, transfers data into RAM 1? A. In both of the scenarios I mentioned, this is part of it. Q. Okay.
14 15 16 17 18 19 20 21 22 23 24	Q. What do you understand that to be teaching? A. That the to reprogram it to reprogram the hearing aid, you connect an external computer to the RS-232 interface and transfer data. Q. So is this the method where the computer, via an RS-232 connection in the case, transfers data into RAM 1? A. In both of the scenarios I mentioned, this is part of it. Q. Okay. A. The data has to be transferred from the computer

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Q. Right. I think you had said that one embodiment
might be where they're all connected at the same time,
the PC to the case to the hearing aid. And then I think
you said another one was, well, you could do it where PC
to the case, and then later in time, case to the hearing
aid. Did I understand that to be correct?
A. I did say that, yes.
Q. This text you're suggesting you're reading to
me here, pointing out to me, is that one or the other of
the two is that one or the other of the two
approaches?
A. I can't tell. He only talks about transferring
it to RAM 1 in this paragraph. He doesn't talk about
what happens to it after it's in RAM 1. It's inherent
that it doesn't stay in RAM 1, but has to get to the
actual hearing aid. He doesn't say whether it is
happening whether it could happen later. He doesn't
say in that paragraph. I don't know if it says it
elsewhere.
Q. In either situation, when a computer is
connected via the RS-232 interface to the case and
RAM 1, what does Krokstad tell us that is being
communicated from the computer to RAM 1?
A. He says it's being reprogrammed to suit altered

1	or the hearing of another user. So he's telling us that
2	what's being reprogrammed is the particular signal
3	processing functions that the hearing aid can perform.
4	Q. Would you look on native page 20.
5	A. Yes.
6	Q. Do you see the sentence midway through the
7	paragraph that starts on the top, that begins, "Each
8	individual set of filter coefficients"?
9	A. Yes.
10	Q. Take a moment, if you would, and read the rest
11	of that paragraph. As you do, it references RAM 7. My
12	question to you is going to be, which RAM 7 is that? Go
13	ahead and read the paragraph.
14	A. Yes.
15	Q. So is that RAM 7 referring to what we see in 5b,
16	according to your understanding, and not what we see in
17	Figure 5a?
18	A. Yes.
19	Q. Do you have any understanding of what he means
20	when he talks about a set of filter coefficients?
21	A. I think so.
22	Q. What do you understand that to mean?
23	A. Coefficients that control the behavior of a
24	digital filter.
25	Q. Would these be similar to parameters?

1	A. Yes.
2	Q. What do you understand a specific response
3	function for the hearing aid to be?
4	A. A complete specification of the response of the
5	hearing aid to different sound inputs.
6	Q. Do you understand Krokstad to be teaching us
7	no matter which of the two connection methods may be
8	implemented that coefficients may be communicated
9	from the computer to the hearing aid?
10	A. He certainly I think he explicitly says
11	coefficients.
12	Q. Do you see where he talks about modifying any
13	response functions?
14	A. That would be the point of of having a
15	programmable hearing aid is to allow you flexibility in
16	the response functions.
17	Q. Well, remember when we were talking about Sudo,
18	how we had like Hall 1 function and Hall 2.
19	A. Yes.
20	Q. And we talked about a couple of different
21	approaches to those. But I think in neither one of the
22	two approaches in Sudo, the function was being modified.
23	It was either the same function, with different
24	coefficients; or two different functions, where one was
25	replacing the other. Do you recall that discussion? In

1 Sudo. 2 And in the second case, the function is Α. Yes. 3 being modified. 4 Well, I think we talked about how --0. 5 Oh, the function -- okay. You were drawing a Α. 6 distinction between like a modification meaning a slight 7 change to the function. Right. 8 0. Do you see an instance that teaches a 9 modification of any of the response functions -- I 10 believe it uses that term -- in Krokstad? 11 The only sensible interpretation of this is that Α. modifying the filter coefficients would be a part of 12 13 modifying the response function. The response function 14 includes the -- if you change the filter coefficients, 15 you're going to change the overall response function. 16 That's one way to change the overall response function. 17 0. But does it change the algorithm? 18 Changing the filter coefficients does not change Α. 19 the algorithm. 20 Do you see any discussion in Krokstad where it 0. 21 changes the algorithm for the function? 22 I don't know, but my understanding would be Α. 23 there would be no reason to -- the most likely thing would be to give the interface the ability to change all 24 25 the software -- all the data stored in the processor.

1	It would be you know, just it would be a strange
2	engineering decision to prevent yourself from
3	changing to limit to make it so that it was
4	limited to only changing the filter coefficients and not
5	the program.
6	Q. Well, this application was published in 1991.
7	So show me in Krokstad where it teaches or suggests
8	exactly what you just said.
9	MR. DAS: Objection, form.
10	THE WITNESS: I don't know if it does, but I'm
11	saying that it would be obvious to a person of ordinary
12	skill, that that would be the most simple way to
13	implement it.
14	BY MR. CRAIN:
15	Q. Would you like to take a moment to review
16	Krokstad to see if you can find such a suggestion?
17	A. No.
18	Q. Why not?
19	A. Because I don't think you know, I don't think
20	he does there is not going to be I don't expect to
21	find anything in there which is going to be, well, here
22	he's clearly talking about modifying the program.
23	Q. But he does talk about modifying coefficients;
24	doesn't he?
25	A. He talks about modifying the response function,

1	using the external programmer to change the response
2	function.
3	Q. Well, that's and changing the coefficients?
4	A. One way to do that would be to change the
5	coefficients.
6	Q. What is the other?
7	A. The other way would be to change the
8	coefficients and the program.
9	Q. Show me where it talks about changing the
10	program.
11	A. Well, as I said, when it says you're changing
12	the response function, that can be understood to be
13	referring to changing the program.
14	Q. Where are you looking?
15	A. "The hearing aid can easily be reprogrammed to
16	suit altered user conditions and changes in the hearing
17	of the user or the hearing of another user."
18	So he's talking about the ability of the the
19	ability to change the overall behavior of the device.
20	It's interesting that he uses the word "reprogrammed."
21	Q. We are talking about a hearing aid. Correct?
22	A. That's right.
23	MR. CRAIN: Let's go off the record.
24	THE VIDEOGRAPHER: We're going off the record.
25	The time is 5:08 p.m.

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1	(Recess taken from 5:08 to 5:25.)
2	THE VIDEOGRAPHER: This is the beginning of
3	Media No. 5 in the deposition of Dr. Daniel Ellis. The
4	time is 5:25 p.m. We're back on the record.
5	BY MR. CRAIN:
б	Q. So, Dr. Ellis, is there any testimony that
7	you've given, either in the last session or earlier
8	today, that you would like to change or correct in any
9	way?
10	A. No.
11	Q. Did you have an opportunity to consult with
12	QSC's counsel during the break?
13	A. I spoke to them.
14	Q. Did you consult or confer with QSC's counsel
15	regarding the substance of the testimony that you have
16	given already in this deposition?
17	A. No.
18	Q. Did you consult or confer with QSC's counsel
19	regarding the substance of any testimony anticipated to
20	be given?
21	A. No.
22	Q. Including any redirect testimony?
23	A. No.
24	Q. During the break, did counsel for QSC suggest to
25	you the manner in which any questions should be answered?

1	A. No.
2	MR. CRAIN: At this time, we tender the witness.
3	MR. DAS: We'll take a short break.
4	MR. CRAIN: Okay.
5	THE VIDEOGRAPHER: We're going off the record.
б	The time is 5:26 p.m.
7	(Recess taken from 5:26 to 5:44.)
8	THE VIDEOGRAPHER: We're back on the record at
9	5:44 p.m.
10	
11	EXAMINATION
12	BY MR. DAS:
13	Q. Dr. Ellis, could you turn to Exhibit 14.
14	A. Okay.
15	Q. Could you remind us what Exhibit 14 is.
16	A. Exhibit 14 is the '544 patent.
17	Q. That's the issue of the petition on which you're
18	being deposed. Correct?
19	A. Yes.
20	Q. Now, turn to the second to last page of that and
21	look at claim one, and let me know when you've finished
22	reviewing it.
23	A. Okay.
24	Q. So claim one is in column eight. If you look at
25	the paragraph that's column eight, around line 35 do

1 you see that?

2 A. Yes.

3	Q. It says, "A programming signal input port for
4	receiving at least one programming signal from the
5	external programmer, the external programmer being
6	removably connectable to the programming input signal
7	port for modifying at least one of a signal processing
8	function and a signal processing function parameter
9	defined in said signal processing circuit." Did I read
10	that correctly?
11	MR. CRAIN: Object to form.
12	MR. DAS: You can answer.
13	THE WITNESS: No, you transposed two words.
14	BY MR. DAS:
15	Q. Which words?
16	A. You said I think you said "input signal port"
17	when you meant when it says "signal input port."
18	Q. I apologize. But you see that paragraph.
19	Correct?
20	A. Yes.
21	Q. How would a person of ordinary skill in the art
22	of the '544 patent understand the clause, "modifying at
23	least one of a signal processing function," as used in
24	this claim one?
25	MR. CRAIN: Object to form.

1	THE WITNESS: It means changing the function
2	that's being performed by the signal processing circuit.
3	BY MR. DAS:
4	Q. Which means?
5	A. Which means changing that is, substituting a
6	different function.
7	Q. So would that necessarily require actually
8	changing the existing algorithm off a function that
9	exists on the DSP or could it be just replacing it with
10	an entirely new function?
11	MR. CRAIN: Object to form.
12	THE WITNESS: My understanding is that it would
13	only mean replacing the entire code. It would be very
14	difficult in practice to I mean, it would be fiddly
15	in practice to have an algorithm in memory where you
16	could go in and change just a few parameters. You would
17	already have to have the ability to access the memory.
18	So it would be much simpler and more I guess, more
19	straightforward to just go ahead and rewrite the entire
20	piece of program code. I can't think of any reason why
21	you would want to modify only part of an existing
22	program code. You already you know, the programmer
23	already knows what the programming code is, so and
24	it's already writing to memory. So you just go in and
25	write the whole thing. That would be the only way I

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1	could imagine it being done.
2	BY MR. DAS:
3	Q. Now, during your cross-examination, you were
4	asked a number of questions about Exhibit 16, which is
5	Sudo.
6	A. Um-hmm.
7	Q. One of the questions again, I may not get the
8	exact wording correct was something along the lines
9	of why would a person of ordinary skill in the art of
10	the '544 patent not understand Interface 19 as being a
11	buffer circuit. Do you remember being asked a question
12	along those lines?
13	A. Yes.
14	Q. Now, what is your understanding of a person of
15	ordinary skill in the art of the '544 patent?
16	MR. CRAIN: Object to form.
17	THE WITNESS: This is covered in my declaration.
18	BY MR. DAS:
19	Q. And that's Exhibit 13?
20	A. Exhibit 13. In Section III, paragraph seven,
21	eight, and nine, I explain what I mean by a level of
22	ordinary skill in the art a person of ordinary skill
23	in the art.
24	Q. And in paragraph eight of your declaration, it
25	says, "In my opinion, a person of ordinary skill in the

1	art for this '544 patent would have had a master's
2	degree in electrical or electronics engineering and
3	master's-level course work, along with practical
4	experience designing and testing electronic amplifiers
5	and the associated signal processing functions." Do you
б	see that?
7	A. Yes.
8	MR. CRAIN: Object to form.
9	BY MR. DAS:
10	Q. And in your opinion, is that the only definition
11	of a person of ordinary skill in the art?
12	MR. CRAIN: Objection to form. Beyond the
13	scope.
14	THE WITNESS: No. My intention in making that
15	definition was to provide an example of the kind of
16	person I was thinking about when I was making statements
17	about obviousness. The deeper thing I was trying to get
18	at was the idea that someone who has training in
19	electrical engineering, like a bachelor's degree; and
20	who has gone on to do some further study, indicating
21	that they have, you know, correctly understood the
22	bachelor's engineering; and then they're working in the
23	area that's relevant to the patent, so that, for
24	instance, if they have this practical experience. But
25	there could be many other people who I would regard as a

1	person of ordinary skill in the art for this patent.
2	BY MR. DAS:
3	Q. So can you give us some other examples of people
4	who would be persons of ordinary skill in the art for
5	this '544 patent.
б	MR. CRAIN: Object to form, beyond the scope.
7	THE WITNESS: So this is about, you know, basic
8	electrical engineering topics, and then the use of
9	digital signal processors in a system. So anyone who
10	had that kind a good grasp of those topics. So
11	someone with a Ph.D. in electrical engineering and who
12	had who had covered signal processing topics would be
13	someone I would consider a person of ordinary skill.
14	Someone who had a master's degree and had worked with
15	digital signal processing would meet that would meet
16	my definition.
17	BY MR. DAS:
18	Q. Why did you not
19	A. Sorry. Would not meet my definition. Would
20	also be the kind of person that I'm thinking about as
21	corresponding to a person of ordinary skill.
22	Q. Why did you not include those other examples in
23	paragraph eight?
24	MR. CRAIN: Object to form. Beyond the scope.
25	THE WITNESS: I was I was just trying to give

1	a sufficient example of the kind of skill that I was
2	the kind of person I was talking about. I was not
3	trying to indicate the you know, the necessary the
4	conditions that could not be omitted.
5	BY MR. DAS:
6	Q. So one of the persons of ordinary skill, I
7	thought you said, was a person with a Ph.D. in
8	electrical engineering and who had covered signal
9	processing topics. Correct?
10	A. Yes.
11	MR. CRAIN: Object to form, leading. Object to
12	form, beyond the scope.
13	BY MR. DAS:
14	Q. Would you consider such a person to be a person
15	of ordinary skill in the art of the '542 patent?
16	A. Yes.
17	MR. CRAIN: Object to form. This is beyond the
18	scope. This entire line is beyond the scope.
19	THE WITNESS: Yes.
20	BY MR. DAS:
21	Q. Okay. You also identified a person of ordinary
22	skill in the art could be someone with a master's degree
23	in electrical engineering who had worked with digital
24	signal processing would be another person of ordinary
25	skill in the art of the '544 patent. Correct?

1 Α. Yes. 2 MR. CRAIN: Object to form, leading. Object to 3 form, beyond the scope. 4 You can't lead your own witness, KM. You know 5 that. 6 MR. DAS: I'm not leading my witness. 7 MR. CRAIN: Read the transcript. You did. 8 MR. DAS: No. 9 BY MR. DAS: 10 Would you consider that person to also be a 0. 11 person of ordinary skill in the art in the '542 patent? 12 Α. Yes. 13 MR. CRAIN: Object to form, beyond the scope. 14 MR. DAS: We have no further questions at this 15 time. MR. CRAIN: Go off the record. 16 17 THE VIDEOGRAPHER: We're going off the record. 18 The time is 5:53 p.m. 19 (Recess taken from 5:53 to 5:56.) 20 THE VIDEOGRAPHER: We're back on the record. 21 The time is 5:56 p.m. 22 23 EXAMINATION 24 BY MR. CRAIN: 25 O. Dr. Ellis, just a couple more questions. Thank

1	you.
2	After I finished my cross-examination, before
3	Mr. Das asked you any questions, you remember we took a
4	break.
5	A. Um-hmm.
б	Q. Did you have the opportunity to consult with
7	your counsel during that particular break?
8	A. Yes.
9	Q. Did you speak let me ask this again.
10	Did you consult or confer with QSC's counsel
11	regarding the substance of the testimony that you would
12	give during your redirect examination?
13	MR. DAS: Again, I would instruct you, if you
14	can answer that question without getting into privileged
15	communications, you can answer.
16	MR. CRAIN: What's the privilege?
17	MR. DAS: The privilege is that
18	MR. CRAIN: So it's established you did talk
19	with him about the redirect testimony?
20	MR. DAS: It's attorney-client privilege what we
21	discuss with him.
22	BY MR. CRAIN:
23	Q. So can you answer the question. Did you talk
24	with your attorneys let me start again.
25	Did you talk with QSC's attorneys before your

1	redirect examination about your redirect examination?
2	A. And what's the privilege?
3	MR. DAS: Well, again, do you need to consult on
4	this to answer the question?
5	BY MR. CRAIN:
б	Q. I want to know what you talked about with regard
7	to the redirect examination.
8	MR. DAS: I will instruct you not to talk about
9	what we talked about during that break. Okay?
10	BY MR. CRAIN:
11	Q. Did you talk about the substance of any
12	testimony that you would give on redirect examination?
13	MR. DAS: I object, privilege, and I instruct
14	you not to answer that question.
15	BY MR. CRAIN:
16	Q. Can you not answer that question because it
17	would cause you to reveal a communication between
18	yourself and QSC's counsel? That's a yes or no.
19	A. It's an interesting thing, because at some
20	point, you know, you're asking me it's doing the same
21	thing. It's still revealing privilege.
22	Q. I'm not asking you for the substance of it. I'm
23	not asking you for what you talked about. That would be
24	a whole other area. I'm asking, did you speak with
25	QSC's counsel before your redirect testimony about the

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1	substance of your redirect testimony?
2	MR. DAS: And I instruct you not to answer that
3	on the basis of privilege.
4	MR. CRAIN: So you won't even let him tell me
5	whether he did or didn't.
6	MR. DAS: You can ask him if he spoke to us.
7	MR. CRAIN: About the substance of the testimony
8	he would give. I'm not asking for what the substance
9	was. That's not privileged.
10	MR. DAS: It is privileged.
11	MR. CRAIN: What's the basis?
12	MR. DAS: The basis of the privilege is that it
13	goes into attorney-client communications, what the
14	substance of the communication is.
15	MR. CRAIN: I didn't ask for the substance.
16	MR. DAS: Yes. You're asking him about whether
17	he spoke about the substance of his redirect testimony.
18	That is going directly to the substance of the
19	communication.
20	MR. CRAIN: Absolutely not. I'll absolutely
21	take that to the board.
22	MR. DAS: Yes.
23	BY MR. CRAIN:
24	Q. So you're not going to answer the question that
25	is clearly in the Trial Practice Guide, Appendix D,

1	Section 6, about whether or not you did or did not
2	consult with QSC's counsel regarding the substance of
3	the testimony that you would give on redirect
4	examination. You're not going to answer that. Right?
5	MR. DAS: I'm going to instruct you not to
6	answer that question on the basis of privilege.
7	THE WITNESS: I'm following the advice of my
8	counsel.
9	BY MR. CRAIN:
10	Q. Did you consult with QSC's counsel or confer
11	with QSC's counsel, prior to your giving the redirect
12	examination, after the conclusion of my cross-
13	examination, regarding the substance of the testimony
14	that was anticipated to be given during the redirect
15	portion of your testimony?
16	MR. DAS: I will instruct you not to answer that
17	question on the basis of privilege.
18	THE WITNESS: I'm taking the advice of my
19	counsel.
20	BY MR. CRAIN:
21	Q. So you will not even tell me whether or not you
22	did or didn't, irrespective of whatever the substance
23	was. Is that correct?
24	MR. DAS: Again, I would instruct you not to
25	answer that question on the basis of privilege.

1	THE WITNESS: I'm taking my counsel's advice.
2	BY MR. CRAIN:
3	Q. During the break, after the conclusion of my
4	cross-examination, prior to the commencement of redirect
5	by Mr. Das, did QSC's counsel suggest to you the manner
6	in which you should answer any questions that would be
7	posed to you on redirect examination?
8	MR. DAS: Again, I would instruct you not to
9	answer that question on the basis of privilege.
10	MR. CRAIN: You've got to be kidding me. Golly,
11	KM.
12	BY MR. CRAIN:
13	Q. Can you answer?
14	MR. DAS: I would instruct you not to answer
15	that on the basis of privilege.
16	THE WITNESS: I'm taking the advice of my
17	counsel.
18	MR. CRAIN: Wow.
19	BY MR. CRAIN:
20	Q. I've asked you this question similar times, or
21	these questions, about consulting with QSC's attorneys,
22	after breaks, today and yesterday; haven't I?
23	A. Yes.
24	Q. This is the first time that you have refused to
25	answer those questions based on the advice of counsel;

1	is it not?
2	A. Yes.
3	MR. CRAIN: We are concluded.
4	THE VIDEOGRAPHER: This is the end of Media
5	No. 5 in the deposition of Dr. Daniel Ellis. The time
б	is 6:02 p.m. We are now off the record.
7	(Deposition adjourned at 6:02 p.m.)
8	(Signature reserved.)
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1	SIGNATURE
2	
3	I declare under penalty of perjury under the laws
4	of the State of Washington that I have read my within
5	deposition, and the same is true and accurate, save and
6	except for changes and/or corrections, if any, as
7	indicated by me on the CHANGE SHEET flyleaf page hereof.
8	Signed in, Washington,
9	this day of 20
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12	
13	DANIEL P.W. ELLIS, Ph.D.
14	Taken: Friday, June 27, 2014
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24	Re: QSC Audio vs. Crest Audio Cause No.: IPR2014-00131
25	Donald W. McKay, RMR, CRR, CCR

1 CERTIFICATE 2 STATE OF WASHINGTON) 3) SS COUNTY OF KING) 4 5 I, the undersigned Washington Certified Court Reporter, hereby certify: 6 That the foregoing deposition upon oral examination 7 of the witness named herein was taken stenographically before me and transcribed under my direction; 8 That the witness was duly sworn by me pursuant to 9 RCW 5.28.010 to testify truthfully; That the transcript of the deposition is a full, 10 true and correct transcript to the best of my ability; 11 That I am neither an attorney for, nor a relative or employee of any of the parties to the action or any 12 attorney or counsel employed by the parties hereto, nor 13 financially interested in its outcome. 14 I further certify that in accordance with CR 30(e), the witness was given the opportunity to examine, read, and sign the deposition, within 30 days upon its 15 completion and submission, unless waiver of signature was indicated in the record. 16 17 18 19 20 Donald W. McKay, RMR, CRR Washington Certified Court Reporter No. 3237 License effective until: 07/02/2014 21 22 23 24 25

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I, Daniel P.W. Ellis, Ph.D., have read the foregoing	
deposition and hereby affix my signature that same is	
true and correct, except as noted above.	
	-
Daniel P.W. Ellis, Ph.D.	
Sworn to and Subscribed before me	
, Notary Public.	
Thisday of, 20	
My Commission Expires:	

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