UNITED STATES PATENT AND TRADEMARK OFFICE PATENT TRIAL AND APPEAL BOARD Petitioner, ) Case IPR 2014-00485 vs. ) Patent 8,051,181 VIRNETX, INC., ) APPLE, INC, Patent Owner. ) Petitioner, ) Case IPR 2014-00486 vs. ) Patent 8,051,181 VIRNETX, INC., ) Patent Owner. ) Conference call in the above entitled matter held on Monday, May 5, 2014, commencing at 3:31 p.m., when were present on behalf of the parties: On behalf of the Patent Trial and Appeal Board: JUDGE MICHAEL TIERNEY JUDGE KARL EASTHOM JUDGE TONI SCHEINER

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202-220-4158

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                   PROCEEDINGS
               JUDGE TIERNEY: I believe we have a court
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    reporter today. Parties identify themselves for the
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    purpose of the call and the transcript.
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               MR. MODI: Good afternoon, Your Honor,
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    this is Naveen Modi, counsel for Virnetx. It will
    just be me today. Mr. Palys happens to be out of
8
    the office today.
               JUDGE TIERNEY: Petitioner.
10
               MR. KUSHAN: Good afternoon.
                                             This is
11
    Jeff Kushan for Apple. With me on the line is Scott
12
    Border, and I dont know -- Jen, are you on?
13
               MS. YOKOYANA: Yes.
                                    T am.
               MR. KUSHAN: Okay. That's great.
15
                               Welcome, everyone, to the
               JUDGE TIERNEY:
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    conference call. My understanding from email
17
    exchange last week was that Petitioner Apple had
18
    requested the call, so Mr. Kushan, if you could give
19
    us an overview for the purpose of the call today.
20
               MR. KUSHAN: Thank you, Your Honor.
21
    had asked for the call because we had observed that
22
    the Patent Owner had filed without authorization in
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opposition to our joinder motion in the key
    petitions relating to the '181 patent.
                                             Those are
    IPR-2014-00485 and 486, and the parties have a
    dispute about whether authorization in advance of
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    filing an opposition to a joinder motion that was
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    filed with the petition is something which requires
    preliminary authorization from the panel.
8
               Our understanding of the rules and the
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    procedure is that the Patent Owner had to first
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    secure authorization to file the opposition before
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    filing it and as they didn't, we would like to have
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    that, either have the opposition struck, or to be
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    given an opportunity to file a reply. We asked for
    the call because in our view, it's the practice
15
    under motion practice like this that you need
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    authorizations from the Board both as to the
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So where we sit now is we don't have

guidance. That's what we are seeking from the panel

substance of the opposition, but also as to the

timing, which raises a question. If we were given

in the alternative the opportunity to file a reply,

we would need to understand when that would be due.

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5
    as to whether we can -- whether this filing of the
    opposition was appropriate or not, and if it was
    not, then we'd like to have it struck from the
             That's the purpose of my call today.
5
               JUDGE TIERNEY: I do have a couple of
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    questions. Assuming that they had to have
    authorization, and they did not have authorization,
    what would be the harm in just saying we authorize
    it today, and then just going forward?
10
               MR. KUSHAN: We would be okay with that
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    path, as long as we would have an opportunity to
12
    reply to that opposition. It seems to us that
13
    with -- you know, it's obviously in your discretion
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    however you want to handle the filing. If you were
    to authorize that opposition under the general
16
    ownership practice, then we would just request an
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    opportunity to file a short reply to that
18
    opposition.
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               JUDGE TIERNEY: Anything? Any questions
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    from the panel before I move over to the Patent
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    Owner?
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               JUDGE SCHEINER: I have none.
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               JUDGE EASTHOM: No. Not from me.
               JUDGE TIERNEY: Hearing no questions from
    the panel at this time, we'd like to hear from the
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    Patent Owner as to how they would like to proceed in
5
    this matter.
               MR. MODI: Thank you, Your Honor.
    is Naveen Modi, Your Honor. So, Your Honor, our
8
    understanding of the rules, as Mr. Kushan pointed
    out, was that we were authorized to file this
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    opposition to a motion to joinder especially in a
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    case like this one, Your Honor, where Apple's motion
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    contained material facts, which, as you know, are
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    admitted if they are not challenged. We found other
14
    cases where opposition to joinders were filed
15
    without seeking prior authorization.
16
               JUDGE TIERNEY: Mr. Modi, how about we
17
    just -- I'll make it simple. Your opposition will
18
    come in regardless whether it was authorized or not
19
    authorized. I believe the point today is that it
20
    will be entered as if it was filed. We can either
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    retroactively grant it, or just say under each
22
    circumstance whether it was authorized or not, it's
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in the file. We are moving forward.
               MR. MODI: I'm sorry, Your Honor.
                                                   Ι
3
    appreciate that, and my last point was actually
    speaking of policies from the Board to extend their
5
    opposition was not authorized. We were going to
6
    seek that. So I appreciate that, Your Honor.
               JUDGE TIERNEY: Not a problem.
8
    future, just so this matter won't arise, it's always
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    beneficial to have a conference call, and if the
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    Board wishes to speak about the matter and discuss
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    it, that's fine. We could also at that time just go
12
    ahead and put out an email authorizing it at that
13
    time just to clarify the matters. I understand the
14
    reason for the confusion.
15
               This is not a problem having it in the
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             I would like you to focus, though, if I
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    could, on whether or not there is any procedural
18
    opposition to having Petitioner file a reply at this
19
    time and the time for filing such.
20
               MR. MODI: Your Honor, I think our only
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    comment on the reply is I think it goes to the point
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    if -- and sort of authorization was needed for an
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- opposition, authorization would be required for
- reply. And I think here, Your Honor, the issue we
- are having when we discussed the reply issue with
- <sup>4</sup> Apple was we were trying to figure out what the
- <sup>5</sup> purpose was for the reply. And it seemed to us it
- 6 was they just wanted a further opportunity to brief
- the issues, but we understand obviously, Your Honor,
- you do have discretion, and would certainly accept
- <sup>9</sup> the decision.
- JUDGE TIERNEY: If there is any prejudice
- that's going to be occurring on the Patent Owner, I
- would like to alleviate it. Is there a time when
- you believe it would be appropriate to have the
- reply filed? We'd like to hear your thoughts on how
- to handle the filing for a reply.
- MR. MODI: Your Honor, in terms of the
- time period, I believe, you know, if we look at the
- rules, certainly the rules that we were operating
- under, the rules provided, I believe, one month for
- the opposition, which is what we were following,
- 42.25, Your Honor. And I believe the rules provide
- one month for the reply. Obviously, here Apple has

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- had our opposition for almost a month, so I think we
- can hear from Mr. Kushan as to what he believes he
- needs, but we wouldn't be opposed to a reasonable
- time period, Your Honor, in terms of a reply if Your
- <sup>5</sup> Honor is inclined to give them a reply.
- The only caveat I would make is we be
- <sup>7</sup> given the opportunity -- to the extent the reply
- goes beyond what's provided by the Board's rules,
- that we would be given the opportunity to rate that
- and we could do that by email, and then we could set
- up a call if necessary.
- 12 JUDGE TIERNEY: I would like to try and
- resolve the matter today if possible. As far as
- what the time for filing a reply would be. Let's
- hear from Petitioner as to when they could file such
- a reply, what they are requesting.
- MR. KUSHAN: Your Honor, if it would be
- okay with the Board, we could file it maybe sometime
- next week, maybe Wednesday of next week.
- JUDGE TIERNEY: I'd like to hear from
- Patent Owner if there is any objection to that.
- MR. MODI: No, Your Honor.

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10
               JUDGE TIERNEY: Let me confirm with the
            We are going to go off line and we'll be
    panel.
    back on line in just a moment. If you could hold
    just for, say, about three, four minutes.
5
    recessing right now. Thank you.
               (Recess.)
               JUDGE TIERNEY: The panel is coming back
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             The panel has conferred, and there are no
    objections to having a reply filed next Wednesday
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    which would be, according to my calendar, the 14th.
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    I do not -- I just wanted to confirm there were no
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    objections from the Patent Owner with regard to the
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    14th.
               MR. MODI:
                          No, Your Honor.
15
               JUDGE TIERNEY: There was one -- are
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    there any further issues from the Petitioner before
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    we turn to the Patent Owner?
               MR. KUSHAN: No, Your Honor.
    raised one issue earlier when we filed this related
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    to a form issue of the petition, and related issue,
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    but that issue had been indicated to us by email
22
    that you didn't want to discuss that per the rule.
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11 We don't have any other issues at this point. JUDGE TIERNEY: Let's go to the Patent 3 Are there any issues that you have for today? 5 MR. MODI: Nothing, Your Honor. Nothing 6 else. JUDGE TIERNEY: There was one issue I 8 would like to raise from the panel. This is Judge 9 Tierney. I was hoping to have a possible further 10 clarification on the -- how to put this -- there are 11 quite a few Virnetx cases before us, and I 12 appreciate the Patent Owner mandatory notices that 13 have been filed in the various cases, but I was 14 hoping, is there a way, do the parties have any 15 charts or any briefing that they would be able to 16 provide to ensure the panel is able to see what 17 we'll say is the big picture of all the cases that 18 are currently before us? 19 I want to make sure that we understand 20 the interrelationship between the different 21 interparty reviews that are currently pending, the 22 patents, and how they relate to each other, et

12

- cetera. I was wondering if the parties had
- materials already prepared on that, or would be
- willing to provide such materials in the near
- future, and I'll start with Patent Owner, and then
- <sup>5</sup> I'll hear from Petitioner.
- MR. MODI: Your Honor, we don't have
- anything obviously that's readily available that we
- 8 can give to the Board, but we are certainly willing
- <sup>9</sup> to work with the Petitioner to try to come up with
- something in terms of what the Board wants.
- JUDGE TIERNEY: Do you have any objection
- to having such a paper to try and help out the Board
- better understand the interrelationship between the
- 14 cases?
- MR. MODI: No, Your Honor.
- JUDGE TIERNEY: Let's hear from
- 17 Petitioner on this.
- MR. KUSHAN: Sure. Your Honor, we had
- been asked in a series of petitions last summer from
- the panel to provide a document summarizing all the
- 21 proceedings, so we could easily date that or not
- date it with the new proceedings since that point in

13 We'd be happy to just send those over to time. 2 Patent Owner and make that a joint filing of all the different proceedings showing you which parties and patents, and at what level of granularity you'd like 5 as well. We could map out some of the issues, but 6 it would be relatively easy to do that based on our 7 prior reporting. 8 JUDGE TIERNEY: Why don't we begin with 9 what you provided in the prior reporting, that type 10 of level, and work with the Patent Owner and submit 11 it to us as a joint filing, and if there is further 12 information that we do find that would be 13 beneficial, we will contact the parties and let you 14 know in a follow-up communication. Any questions as 15 to that, Patent Owner? Petitioner? Any questions? 16 MR. KUSHAN: No, Your Honor. 17 MR. MODI: None from us either, Your 18 Honor. 19 JUDGE TIERNEY: Are there any further 20 questions from the panel before we adjourn today? 21 I'll begin with Judge Easthom.

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JUDGE EASTHOM:

Not from me.

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14
               JUDGE TIERNEY: Judge Scheiner.
               JUDGE SCHEINER: I have none.
               JUDGE TIERNEY: Thank you, everyone, for
    your time today. If any issues arise, let us know
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    or arrange for a conference call. Go ahead.
               MR. KUSHAN: I apologize, Judge Tierney,
    the page limits for the reply, I'd like to know what
8
    the page limits are because we don't have much
9
    precedents --
10
               JUDGE TIERNEY: If I remember the rules
11
    quickly, it's five pages. I would have to look up
12
    the exact rule.
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               MR. KUSHAN: If we are going under the
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    standard rules, we'll just follow those.
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               JUDGE TIERNEY: Is there any need to
16
    deviate from five pages?
17
               MR. KUSHAN: None, Your Honor.
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               JUDGE TIERNEY: Then we'll expect the
19
    five-page limit to stand, and we'll expect a filing
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    next Wednesday. I have it as May 14th.
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               MR. KUSHAN:
                            Thank you very much.
22
                               Hearing no further
               JUDGE TIERNEY:
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15
    comments at this time, we are adjourned.
                                                     Thank you
2
    everyone.
                                Thank you.
                 MR. KUSHAN:
                 MR. MODI:
                              Thank you.
                 (The conference call adjourned at 3:45
5
6
    p.m.)
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                 CERTIFICATE OF REPORTER
          I, SUSAN L. CIMINELLI, Certified
    Shorthand Reporter, the officer before whom the
    proceedings were taken, do hereby certify that
    the foregoing transcript is a true and accurate
    record of these proceedings; that said
    proceedings were taken in Stenotype note by me
    on the 5th day of May, 2014, commencing at
    3:31 p.m. and ending at 3:45 p.m.
          I further certify that present on behalf
    of Party Virnetx, Inc. was Naveen Modi, Esq. of
    Finnegan, Henderson, Farabow, Garrett & Dunner,
    LLP; on behalf of Party Apple, Inc. was Jeffrey
    P. Kushan, Esq., Jennifer Yokoyana, Esq and
10
    Scott Border, Esq. of Sidley Austin, LLP.
11
          I further certify that I am not related
    to, nor associated with any of the parties or
12
    their attorneys, nor do I have any
    disqualifying interest, personal or financial
13
    in the actions within.
14
15
          Dated this 16th day of May 2014,
16
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                             SUSAN L. CIMINELLI
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