

**BEFORE THE PATENT TRIAL AND APPEAL BOARD IN THE UNITED
STATES PATENT AND TRADEMARK OFFICE**

Trial No.: IPR 2014-00979

In re: U.S. Patent No. 6,928,442

Patent Owners: PersonalWeb Technologies, LLC & Level 3 Communications

Petitioner: Google Inc. and YouTube, LLC

Inventors: David A. Farber and Ronald D. Lachman

**For: ENFORCEMENT AND POLICING OF LICENSED CONTENT USING
CONTENT-BASED IDENTIFIERS**

* * * * *

July 1, 2014

PATENT OWNER'S MANDATORY NOTICES

UNDER 37 C.F.R. § 42.8

Pursuant to 37 C.F.R. § 42.8(a)(2), Patent owner (PersonalWeb Technologies, LLC) hereby makes the following Mandatory Notices.

I. 37 C.F.R. § 42.8(b)(1) – REAL PARTY-IN-INTEREST

The real parties-in-interest from the side of patent owner are:

PersonalWeb Technologies, LLC

112 E Line Street

Suite 204

Tyler, Texas 75702

Level 3 Communications, LLC

1025 Eldorado Blvd.

Broomfield, CO 80021

II. 37 C.F.R. § 42.8(b)(2) – RELATED MATTERS

The following are related matters in federal court:

1. PersonalWeb Technologies, LLC and Level 3 Communications, LLC v. Google, Inc. and YouTube, LLC; In the United States District Court, Northern District of California, Case No. 5:13-cv-1317 (EJD).
2. PersonalWeb Technologies, LLC and Level 3 Communications, LLC v. Facebook, Inc.; In the United States District Court, Northern District of California, Case No. 5:13-cv-1356 (EJD).
3. PersonalWeb Technologies, LLC and Level 3 Communications, LLC v. Apple Inc.; In the United States District Court, Northern District of California, Case No. 4:14-cv-1683 (LED).
4. PersonalWeb Technologies, LLC and Level 3 Communications, LLC v. Yahoo! Inc.; In the United States District Court, Eastern District of Texas, Case No. 6:12-cv-658 (LED).
5. PersonalWeb Technologies, LLC and Level 3 Communications, LLC v. Microsoft Inc.; In the United States District Court, Eastern District of Texas, Case No. 6:12-cv-663 (LED).
6. PersonalWeb Technologies, LLC and Level 3 Communications, LLC v. NEC Corporation of America, Inc.; In the United States District Court, Eastern District of Texas, Case No. 6:11-cv-655 (LED).

7. PersonalWeb Technologies, LLC and Level 3 Communications, LLC v. NetApp, Inc.; In the United States District Court, Northern District of California, Case No. 5:13-cv-1359 (EJD).
8. PersonalWeb Technologies, LLC and Level 3 Communications, LLC v. Amazon.com Inc., Amazon Web Services LLC, and Dropbox, Inc.; In the United States District Court, Eastern District of Texas, Case No. 6:11-cv-658 (LED).
9. PersonalWeb Technologies, LLC and Level 3 Communications, LLC v. EMC Corporation and VMWare, Inc.; In the United States District Court, Northern District of California, Case No. 5:13-cv-1358 (EJD).
10. PersonalWeb Technologies, LLC and Level 3 Communications, LLC v. Autonomy, Inc., Hewlett-Packard Company, and HP Enterprise Services, LLC; In the United States District Court, Eastern District of Texas, Case No. 6:11-cv-683 (LED).
11. PersonalWeb Technologies, LLC and Level 3 Communications, LLC v. International Business Machines Corporation; In the United States District Court, Eastern District of Texas, Case No. 6:12-cv-661 (LED).
12. PersonalWeb Technologies, LLC and Level 3 Communications, LLC v. RackSpace US, Inc. and RackSpace Hosting, Inc.; In the United States District Court, Eastern District of Texas, Case No. 6:12-cv-659 (LED).

The following are related matters in the United States Patent and Trademark Office:

PENDING U.S. PATENT APPLICATIONS

U.S. Patent Application No.	Filing or 371(c) Date
13/352,169	01-17-2012
13/351,433	01-17-2012
13/109,208	05-17-2011
13/102,337	05-06-2011
13/091,380	04-21-2011

PENDING U.S. PATENT REEXAMINATION

U.S. Patent No.	U.S. Control No.
5,978,791	90/012,931

INTER PARTES REVIEWS

Case No.	U.S. Patent No.
IPR2013-00082	5,978,791
IPR2013-00083	6,415,280
IPR2013-00084	7,945,544
IPR2013-00085	7,945,539

Case No.	U.S. Patent No.
IPR2013-00086	7,949,662
IPR2013-00087	8,001,096
IPR2013-00319	5,978,791
IPR2013-00596	7,802,310
IPR2014-00057	5,978,791
IPR2014-00058	8,099,420
IPR2014-00059	6,415,280
IPR2014-00062	7,802,310
IPR2014-00066	6,928,442
IPR2014-00702	5,978,791
IPR2014-00977	6,415,280
IPR2014-00978	7,802,310
IPR2014-00980	5,978,791

III. 37 C.F.R. § 42.8(b)(3) – LEAD AND BACK-UP COUNSEL

The following are lead and back-up counsel for patent owner:

LEAD COUNSEL

Joseph A. Rhoa (Reg. No. 37,515)

NIXON & VANDERHYE P.C.

901 North Glebe Road, 11th Floor

Arlington, VA 22203-1808

BACK-UP COUNSEL

Updeep S. Gill (Reg. No. 37,334)

NIXON & VANDERHYE P.C.

901 North Glebe Road, 11th Floor

Arlington, VA 22203-1808

Email: jar@nixonvan.com

Email: usg@nixonvan.com

Direct Tel.: (703) 816-4043

Direct Tel.: (703) 816-4030

IV. 37 C.F.R. § 42.8(b)(4) – SERVICE INFORMATION

Patent owner provides the following service information:

EMAIL

Joseph A. Rhoa - jar@nixonvan.com

Updeep S. Gill - usg@nixonvan.com

POSTAL MAILING OR HAND-DELIVERY ADDRESS

Joseph A. Rhoa

NIXON & VANDERHYE P.C.

901 North Glebe Road, 11th Floor

Arlington, VA 22203

TELEPHONE NUMBER

(703) 816-4043

FAX NUMBER

(703) 816-4100

V. POWER OF ATTORNEY

A power of attorney from PersonalWeb Technologies, LLC for Nixon & Vanderhye P.C. (Customer number 23117) is being filed concurrently with these Mandatory Notices.

Respectfully submitted,

NIXON & VANDERHYE P.C.

By: /Joseph A. Rhoa/
Joseph A. Rhoa
Reg. No. 37,515
Updeep (Mickey) S. Gill
Reg. No. 37,334
Counsel for Patent Owner PersonalWeb

JAR:rkf
Nixon & Vanderhye, PC
901 North Glebe Road, 11th Floor
Arlington, VA 22203-1808
Telephone: (703) 816-4000
Facsimile: (703) 816-4100

CERTIFICATE OF SERVICE

I hereby certify service of the foregoing Patent Owner's Mandatory Notices and Power of Attorney to the following lead counsel for petitioner on July 1, 2014 via overnight delivery by Federal Express:

Jennifer Sklenar
ARNOLD & PORTER LLP
777 South Figueroa Street, 44th Floor
Los Angeles, CA 90017

By: /Joseph A. Rhoa/
Joseph A. Rhoa
Reg. No. 37,515