

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

KYOCERA CORPORATION and
KYOCERA COMMUNICATIONS INC.,
Petitioner,

v.

ADAPTIX, INC,
Patent Owner.

Case IPR2015-00318

Patent 7,454,212

Issue Date: November 18, 2008

OFDMA WITH ADAPTIVE SUBCARRIER-CLUSTER
CONFIGURATION AND SELECTIVE LOADING

PATENT OWNER SUBMISSION OF MANDATORY NOTICES
UNDER 37 C.F.R. § 42.8(a)(2)

MANDATORY NOTICES UNDER 37 C.F.R. § 42.8(a)(2)

Patent Owner, Adaptix, Inc., hereby files mandatory notices pursuant to 37 C.F.R. § 42.8(a)(2).

A. Real Party-In-Interest (37 C.F.R. § 42.8(b)(1))

Adaptix, Inc., a corporation, having its principal place of business at 2400 Dallas Parkway, Suite 200, Plano, Texas 75093, is the owner of all right, title, and entire interest in U.S. Patent No. 7,454,212 (“the ‘212 patent”). Adaptix, Inc. is a subsidiary of Acacia Research Group LLC.

B. Related Matters (37 C.F.R. § 42.8(b)(2))

The following judicial and/or administrative proceedings could affect, or be affected by, a decision in this proceeding:

The ‘212 patent is the subject of the *Inter Partes* Reviews IPR2014-01408 and IPR2014-01525;

The ‘212 patent is a parent of U.S. Application Nos. 13/230,625; 14/294,106; and 14/294,117; which are pending;

The ‘212 patent is a continuation of U.S. Patent No. 6,947,748 (“the ‘748

patent”). The ‘748 patent is the subject of the *Inter Partes* Reviews IPR2014-01406, IPR2014-01524, and IPR2015-00319; and

The ‘212 and ‘748 patents are the subject of the following litigations:

Adaptix, Inc. v. AT&T, Inc. et al., Case No. 6:12-cv-00017 (E.D. Tex.);

Adaptix, Inc. v. Pantech Wireless, Inc. et al., Case No. 6:12-cv-00020 (E.D. Tex.);

Adaptix, Inc. v. Cellco Partnership et al., Case No. 6:12-cv-00120 (E.D. Tex.);

Adaptix, Inc. v. Apple, Inc. et al., Case No. 5:13-cv-01776 (N.D. Cal.);

Adaptix, Inc. v. Apple, Inc. et al., Case No. 5:13-cv-01777 (N.D. Cal.);

Adaptix, Inc. v. AT&T, Inc. et al., Case No. 5:13-cv-01778 (N.D. Cal.);

Adaptix, Inc. v. Cellco Partnership et al., Case No. 5:13-cv-01844 (N.D. Cal.);

Adaptix, Inc. v. Apple, Inc. et al., Case No. 5:13-cv-02023 (N.D. Cal.);

Adaptix, Inc. v. ZTE Corporation et al., Case No. 6:13-cv-00443 (E.D. Tex.);

Adaptix, Inc. v. ZTE Corporation et al., Case No. 6:13-cv-00444 (E.D. Tex.);

Adaptix, Inc. v. ZTE Corporation et al., Case No. 6:13-cv-00445 (E.D. Tex.);

Adaptix, Inc. v. ZTE Corporation et al., Case No. 6:13-cv-00446 (E.D. Tex.);

Adaptix, Inc. v. NEC CASIO Mobile Communications, Ltd. et al., Case No. 6:13-cv-00585 (E.D. Tex.);

Adaptix, Inc. v. NEC CASIO Mobile Communications, Ltd. et al., Case No. 6:13-cv-00922 (E.D. Tex.);

Adaptix, Inc. v. Pantech Wireless, Inc. et al., Case No. 6:13-cv-00778 (E.D. Tex.);

Adaptix, Inc. v. Dell, Inc. et al., Case No. 5:14-cv-01259 (N.D. Cal.);

Adaptix, Inc. v. Amazon.com, Inc. et al., Case No. 5:14-cv-01379 (N.D. Cal.);

Adaptix, Inc. v. Sony Mobile Communications, Inc. et al., Case No. 5:14-cv-01385 (N.D. Cal.);

Adaptix, Inc. v. HTC Corporation et al., Case No. 5:14-cv-02359 (N.D. Cal.);

Adaptix, Inc. v. HTC Corporation et al., Case No. 5:14-cv-02360 (N.D. Cal.);

Adaptix, Inc. v. Kyocera Corporation et al., Case No. 5:14-cv-02894 (N.D. Cal.);

Adaptix, Inc. v. Kyocera Corporation et al., Case No. 5:14-cv-02895 (N.D. Cal.); and

Adaptix, Inc. v. ASUSTek et al., Case No. 5:14-cv-03112 (N.D. Cal.).

The '748 and '212 patents were the subject of the following litigations, which are now dismissed:

Adaptix, Inc. v. Clearwire Corporation et al., Case No. 6:08-cv-00460 (E.D. Tex.);

Adaptix, Inc. v. Motorola Mobility LLC et al., Case No. 6:12-cv-00016 (E.D. Tex.);

Adaptix, Inc. v. Motorola Mobility LLC et al., Case No. 5:13-cv-01774 (N.D. Cal.);

Adaptix, Inc. v. Pantech Co. Ltd. et al., Case No. 6:13-cv-00424 (E.D. Tex.);

Adaptix, Inc. v. Huawei Techs. Co., Ltd. et al., Case No. 6:13-cv-00438 (E.D. Tex.);

Adaptix, Inc. v. Huawei Techs. Co., Ltd. et al., Case No. 6:13-cv-00439
(E.D. Tex.);

Adaptix, Inc. v. Huawei Techs. Co., Ltd. et al., Case No. 6:13-cv-00440
(E.D. Tex.);

Adaptix, Inc. v. Huawei Techs. Co., Ltd. et al., Case No. 6:13-cv-00441
(E.D. Tex.);

Adaptix, Inc. v. Apple Inc. et al., Case No. 3:13-cv-04468 (N.D. Cal.);

Adaptix, Inc. v. Apple Inc. et al., Case No. 3:13-cv-04469 (N.D. Cal.);

Adaptix, Inc. v. Blackberry Limited, Blackberry Corporation, Case No. 5:14-
cv-01380 (N.D. Cal.);

Adaptix, Inc. v. Blackberry Limited, Blackberry Corporation, Case No. 5:14-
cv-01386 (N.D. Cal.); and

Adaptix, Inc. v. Blackberry Limited, Blackberry Corporation, Case No. 5:14-
cv-01387 (N.D. Cal.).

C. Lead and Back-Up Counsel (37 C.F.R. § 42.8(b)(3))

Patent Owner hereby designates Amedeo F. Ferraro (Reg. No. 37,129) as its
lead counsel and Wesley C. Meinerding (Reg. No. 57,925) and Alfred Y. Chu

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Our Ref. 176.0003-01IR3

(Reg. No. 62,317) as its backup counsel.

A power of attorney from Patent Owner is being submitted concurrently herewith.

D. Service Information (37 C.F.R. § 42.8(b)(4))

Please direct all correspondence regarding this proceeding via electronic service using the following e-mail addresses:

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The Patent Trial and Appeal Board is hereby authorized to charge any fees associated with this proceeding to Deposit Account No. 50-1068 (Customer ID 22882).

Respectfully Submitted,

Dated: December 17, 2014

/Amedeo F. Ferraro/

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Our Ref. 176.0003-01IR3

CERTIFICATE OF SERVICE

The undersigned hereby certifies that a copy of the PATENT OWNER
SUBMISSION OF POWER OF ATTORNEY and PATENT OWNER
SUBMISSION OF MANDATORY NOTICES (UNDER 37 C.F.R. § 42.8(a)(2))
were served in their entirety via electronic mail, upon the following counsel of
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