

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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APPLE INC.,  
Petitioner,

v.

CONTENTGUARD HOLDINGS INC.,  
Patent Owner.

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Case IPR2015-00440  
Patent 6,963,859 B2

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Mailed: January 15, 2015

Before Lawrence J. Banks, *Trial Paralegal*.

NOTICE OF FILING DATE ACCORDED TO PETITION  
AND  
TIME FOR FILING PATENT OWNER PRELIMINARY RESPONSE

The petition for *inter partes* review in the above proceeding has been accorded the filing date of December 22, 2014.

A review of the petition identified the following defect(s):

***Exhibit list.*** “Each party must maintain [a correct] exhibit list with the exhibit number and a brief description of each exhibit.” *See* 37 C.F.R. § 42.63(e). Specifically, Exhibit 1041 is listed on the exhibit list and must be entered into the record.

Petitioner must correct the defect(s) within **FIVE BUSINESS DAYS** from this notice. Failure to correct the defect(s) may result in an order to show cause as to why the Board should institute the trial. No substantive changes (e.g., new grounds) may be made to the petition.

Patent Owner may file a preliminary response to the petition no later than three months from the date of this notice. The preliminary response is limited to setting forth the reasons why the requested review should not be instituted. Patent Owner may also file an election to waive the preliminary response to expedite the proceeding. For more information, please consult the Office Patent Trial Practice Guide, 77 Fed. Reg. 48756 (Aug. 14, 2012), which is available on the Board Web site at <http://www.uspto.gov/PTAB>.

Patent Owner is advised of the requirement to submit mandatory notice information under 37 C.F.R. § 42.8(a)(2) within 21 days of service of the petition.

The parties are encouraged to use the heading on the first page of this Notice for all future filings in the proceeding.

The parties are advised that under 37 C.F.R. § 42.10(c), recognition of counsel *pro hac vice* requires a showing of good cause. The parties are authorized to file motions for *pro hac vice* admission under 37 C.F.R. § 42.10(c). Such motions shall be filed in accordance with the “Order -- Authorizing Motion *for Pro Hac Vice* Admission” in Case IPR2013-00639,

Case IPR2015-00440  
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Paper 7, a copy of which is available on the Board Web site under  
“Representative Orders, Decisions, and Notices.”

The parties are reminded that unless otherwise permitted by 37 C.F.R.  
§ 42.6(b)(2), all filings in this proceeding must be made electronically in the  
Patent Review Processing System (PRPS), accessible from the Board Web  
site at <http://www.uspto.gov/PTAB>.

If there are any questions pertaining to this notice, please contact  
Lawrence J. Banks at 571-272-3450 or the Patent Trial and Appeal Board at  
571-272-7822.

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