#### UNITED STATES PATENT AND TRADEMARK OFFICE

#### BEFORE THE PATENT TRIAL AND APPEAL BOARD

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JIAWEI TECHNOLOGY (HK) LTD., JIAWEI TECHNOLOGY (USA)
LTD., SHENZHEN JIAWEI PHOTOVOLTAIC LIGHTING CO., LTD.,
ATICO INTERNATIONAL (ASIA) LTD., ATICO INTERNATIONAL
USA, INC., CHIEN LUEN INDUSTRIES CO., LTD., INC.
(CHIEN LUEN FLORIDA), CHIEN LUEN INDUSTRIES CO., LTD., INC.
(CHIEN LUEN CHINA), COLEMAN CABLE, LLC, NATURE'S MARK,
RITE AID CORP., SMART SOLAR, INC., AND
TEST RITE PRODUCTS CORP.,
Petitioner,

v.

SIMON NICHOLAS RICHMOND, Patent Owner.

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Case IPR2015-00580

Patent 7,429,827

MANDATORY NOTICES BY PATENT OWNER UNDER 37 C.F.R. § 42.8

Pursuant to 37 C.F.R. § 42.8(a)(2), Patent Owner, Simon Nicholas Richmond, submits the following mandatory notices in connection with the above-captioned Petition for *Inter Partes* Review of U.S. Patent No. 7,429,827 (the '827 patent).

#### REAL PARTY-IN-INTEREST UNDER 37 C.F.R. § 42.8(b)(1)

The real party in interest in this proceeding is Simon Nicholas Richmond.

## RELATED MATTERS UNDER 37 C.F.R. § 42.8(b)(2)

The table below identifies parent, child or sibling patents of the '827 patent which may affect, or be affected by, a decision in this proceeding.

Patent Application No.	Status
Parent	<b>U.S. Patent No. 7,196,477</b> , issued on March 27, 2007
12/286,553	ABANDONED, filed on September 29, 2008
12/978,358	ISSUED, U.S. Patent No. 8,362,700 on January 29,
	2013
13/751,665	<b>ABANDONED,</b> filed on January 28, 2013
14/082,797	<b>PENDING,</b> filed on November 18, 2013

The table below identifies prior or concurrent *inter partes* reviews (and respective status thereof) involving the '827 patent or involving a parent, child, or sibling patent which may affect, or be affected by, a decision in this proceeding.

Inter Partes Reviews	Status
IPR2014-00936 for U.S. Patent No. 7,196,477	IPR Instituted as to all claims
IPR2014-00937 for U.S. Patent No. 8,362,700	IPR Institution denied
IPR2014-00938 for U.S. Patent No. 8,362,700	IPR Instituted as to Claims 24-
	30 and 35; but IPR institution
	denied as to Claims 31-34

There are no other prior or concurrent *inter partes* or *ex parte* reexaminations involving the '827 patent or involving a parent, child, or sibling patent which may affect, or be affected by, a decision in this proceeding.

The table below identifies prior or concurrent district court litigations (and respective status thereof) involving the '827 patent or involving a parent, child, or sibling patent which may affect, or be affected by, a decision in this proceeding.

<b>District Court Litigation</b>	Status
International Development Corporation	DISMISSED WITHOUT
v. Simon Nicholas Richmond and	<b>PREJUDICE</b> , but refiled in D.N.J. as
Adventive Ideas, LLC, Case No. 4:08-	Case No. 3:09-cv-02495, see below.
cv-111-RAS (E.D. Tex.)	
International Development Corporation	<b>SETTLED</b> , following a <i>Markman</i>
v. Simon Nicholas Richmond and	Order favorable to the Patent Owner.
Adventive Ideas, LLC, Case No. 3:09-	
cv-02495-GEB (D.N.J.)	
Simon Nicholas Richmond v. Jiawei	<b>PENDING</b> , the Court consolidated
Technology (HK) Ltd., et al., Case Nos.	these cases for case management and
3:13-cv-1944, -1949, -1950, -1951, -	pretrial discovery on August 20, 2013
1952, -1953, -1954, -1957, -1958, -	into Civ. Action No. 13-1944 (MLC),
1960, -2916; 14-4784, -4817, -4818, -	along with Richmond's patent
4820, -4822, -4823, -4824, -4825, -	infringement cases (Case No. 13-1959;
4827, -4828, -4829, -4930, -4834, -	14-4876, -4883, -4885, -4886, -4887, -
4835, -4837, -4838, -4939, -4841, -	4889, -4890) that are not related to this
4846, -4848, -4850, -4851, -4854, -	inter partes review by Petitioner.

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4856, -4858, -4859, -4860, -4862, -

4863, -4864, -4865, -4867, -4868, -

4869, -4870, -4871, -4872, -4873, -

4874, -4875, -4877, -4878, -4879, -

4881, -4882, -4884, -4888, -4891, -4983

(all MLC-DEA) (D.N.J.)
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There are no prior or concurrent Federal Circuit appeals involving the '827 patent or involving a parent, child, or sibling patent which may affect, or be affected by, a decision in this proceeding.

### LEAD AND BACK-UP COUNSEL UNDER 37 C.F.R. § 42.8(b)(3)

#### Lead Counsel:

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# Back-Up Counsel:

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### SERVICE INFORMATION UNDER 37 C.F.R. § 42.8(b)(4)

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Respectfully submitted,

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Dated: February 12, 2015 \_\_\_\_\_/Theodore F. Shiells/\_\_\_\_\_

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### **CERTIFICATE OF SERVICE**

Pursuant to 37 C.F.R. §§ 42.6(e)(4)(i) et seq. and 42.105(b), the undersigned certifies that on this date a copy of the MANDATORY NOTICES BY PATENT OWNER UNDER 37 C.F.R. § 42.8 was served on Lead and Backup Attorneys for Petitioner, via the consented to method of email to the following email addresses: mark.nelson@dentons.com, lissi.mojica@dentons.com, kevin.greenleaf@dentons.com, daniel.valenzuela@dentons.com, and iptdocketchi@dentons.com.

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Dated: February 12, 2015