## EXHIBIT 1040

1	UNITED STATES OF AMERICA
2	BEFORE THE
3	INTERNATIONAL TRADE COMMISSION
4	
5	x
6	IN THE MATTER OF: : Investigation Number
7	CERTAIN TELEVISION SETS, : 337-TA-910
8	TELEVISION RECEIVERS, :
9	TELEVISION TUNERS, :
10	AND COMPONENTS THEREOF :
11	x
12	
13	HEARING, VOLUME 5
14	
15	Friday, December 5, 2014
16	Courtroom C
17	U.S. International Trade
18	Commission
19	500 E Street SW
20	Washington, DC
21	
22	The hearing commenced, pursuant to notice of the Judge, at
23	9:30 a.m., before the Honorable Sandra (Dee) Lord,
24	Administrative Law Judge for the United States
25	International Trade Commission.

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- 1 statement true and correct?
- 2 A Yes, it is.
- 3 Q Does it contain the answers to the questions
- 4 that were asked of you by counsel?
- 5 A Yes, it does.
- 6 MR. PORTERA: Your Honor, at this time
- 7 Complainants would tender Dr. Caloyannides as an expert in
- 8 RF receiver design, and we would offer his witness
- 9 statement, CX-1981C, for admission into evidence.
- JUDGE LORD: Any objection?
- MR. ERICKSON: No objection, your Honor.
- 12 JUDGE LORD: All right. Then the testimony of
- 13 Dr. Caloyannides will be accepted as that of an expert in
- 14 RF receiver design, and his witness statement, Exhibit
- 15 1981C, will be admitted into evidence.
- 16 MR. PORTERA: I have no further questions at
- 17 this time.
- JUDGE LORD: Thank you.
- 19 MR. ERICKSON: Good morning, your Honor, Brian
- 20 Erickson representing Silicon Labs and Samsung Respondents.
- JUDGE LORD: Good morning.
- MR. ERICKSON: My cross-examination should be
- 23 entirely on the public record. Like many of the witness
- 24 statements in this case, the statements themselves contain
- 25 CBI, and so the entire document is marked CBI. We had the

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- 1 situation earlier in the hearing where a CBI document was
- 2 put on the screen but they were just discussing public
- 3 prior art. So we'll probably have that situation again
- 4 today.
- 5 But all of the questions will be directed to
- 6 information in the public record.
- 7 JUDGE LORD: All right. Any objection to
- 8 remaining on the public record at this time?
- 9 MR. PORTERA: Not at this time, your Honor.
- 10 JUDGE LORD: All right. Just let me know if you
- 11 have any concern at any point.
- MR. PORTERA: Thank you. I will.
- 13 CROSS-EXAMINATION
- 14 BY MR. ERICKSON:
- 15 Q Good morning, Dr. Caloyannides.
- 16 A Good morning, sir.
- 17 Q A binder is being brought to you or should be --
- 18 okay. A binder should be next to you that contains a copy
- 19 of your witness statement, your deposition transcript and
- 20 some exhibits that we'll be examining together. Can you
- 21 open that up, please, and turn to what's been tabbed RX-30.
- 22 A Yes, sir.
- 23 Q RX-30 is the prior art reference that has been
- 24 referred to as VDP in this investigation; correct?
- 25 A That is correct.

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- 1 Q And if you could turn to figure 5 of VDP.
- 2 A Yes.
- 3 Q And the embodiment shown in figure 5 of VDP, the
- 4 input signal is SRF, which is shown in the upper left-hand
- 5 corner; correct?
- 6 A That is correct.
- 7 Q And VDP discloses that SRF is a television
- 8 signal; correct?
- 9 A I believe so. I don't remember the exact place
- 10 where that's disclosed, but I believe so, yes.
- 11 Q And VDP discloses that SRF is a radio frequency
- 12 signal; correct?
- 13 A That is correct.
- 14 Q Now, in figure 5 of VDP, SRF is input to the
- 15 tuner marked TUN and is output as an intermediate frequency
- 16 signal Sif; correct?
- 17 A That is correct.
- 18 Q And Sif is input to antialiasing filter AAF;
- 19 correct?
- 20 A That's correct.
- 21 Q And then the filtered Sif signal is digitized by
- 22 the analog-to-digital converter ADC; correct?
- 23 A That is correct.
- 24 Q And the output of the ADC in figure 5 of VDP is
- 25 the digital representation of the intermediate frequency

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- 1 signal; correct?
- 2 A That is correct.
- 3 Q I'd like you to turn your attention to your --
- 4 we'll come back to VDP in a moment. But in your witness
- 5 statement, CX-1981C at page 202, question 877 -- and it
- 6 will come up on the screen as well if that's easier for
- 7 you.
- 8 A I would prefer to see it in context here. Where
- 9 would I look for it?
- 10 Q Sure. It's the tab 1981C. It's your rebuttal
- 11 witness statement. It's page 202, and it's question 877.
- 12 A I don't see a tab 1981C here.
- 13 Q My apologies. The tab for this particular
- 14 exhibit may be labeled "witness statement" at the very
- 15 front.
- 16 A Okay. And what question was that, I'm sorry?
- 17 Q That's fine. If you'll look down to the fourth
- 18 paragraph of your answer to question 877.
- 19 A Yes.
- 20 Q And starting in the second sentence, your answer
- 21 states, "McNair identifies FIL as the claimed signal
- 22 processor, but the filters in FIL do not process the
- 23 digital representation of the intermediate frequency signal
- 24 (Sif); FIL processes the signal produced by AFRC (Sin)."
- Then you cite figure 5, column 3, line 15, and

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- 1 the following sentence reads, "Thus, the filters in FIL are
- 2 not applied to the digital representation of the
- 3 intermediate frequency signal."
- 4 Is that your testimony?
- 5 A Yes. However, to put it in perspective, in
- 6 context, the primary consideration here is whether or not
- 7 the demodulator, which is the synchronous demodulator SDM
- 8 that is referenced on that same paragraph you just read, is
- 9 not preceded by format-specific block diagrams or
- 10 functions. That was the main concern.
- 11 Now, while addressing that concern, I tried to
- 12 find any other differences that I could identify,
- 13 regardless of their relative importance.
- 14 The one that you pointed out is one that I would
- 15 not consider anywhere near as important as the fact that
- 16 SDM, the synchronized demodulator, is not preceded by
- 17 format-specific processing as required in the asserted
- 18 patents.
- 19 Q Well, let's go back to figure 5 of VDP. Now,
- 20 you agree that because of the down conversion by AFRC, the
- 21 digital Sin output by AFRC is no longer the digital
- 22 representation of the IF signal; correct?
- 23 A Not entirely. I'm not a claim construction
- 24 person, neither do I offer any opinions that depend on
- 25 claim construction.

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- 1 The extent to which the signal is or is not
- 2 changed by AFRC is something which is something for a claim
- 3 construction expert to opine on.
- 4 So in answer to your question, I do not agree
- 5 with what you're saying.
- 6 Q Okay. Well, you recall when I asked you that
- 7 question at your deposition; correct?
- 8 A If you can point me to where you asked the
- 9 question.
- 10 Q Can we play deposition RX-1701 at page 198,
- 11 lines 7 to 14, please.
- MR. PORTERA: Objection, your Honor. If this is
- 13 being offered for proposed impeachment, I believe we're
- 14 entitled to look at what he's going to play before he plays
- 15 it so that we can make an objection if we believe one is
- 16 appropriate.
- JUDGE LORD: What would be the objection?
- 18 MR. PORTERA: That it's not arguably
- 19 inconsistent with his testimony.
- 20 JUDGE LORD: No. The witness has said if you
- 21 can show me my testimony, I'll tell you whether I can
- 22 answer your question. So this is to refresh the witness's
- 23 recollection, not impeachment.
- MR. PORTERA: Very well, your Honor.
- 25 (From Video.)

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- 1 Q So what's the difference between Sin and the
- 2 digital representation of Sif as output by the ADC?
- 3 A The additional down conversion involved with the
- 4 AFRC. That changes things.
- 5 Q So because of that down conversion, it's no
- 6 longer the digital representation of the IF?
- 7 A That's correct.
- 8 (Video Stopped.)
- 9 BY MR. ERICKSON:
- 10 Q So, Dr. Caloyannides, you agree that because of
- 11 the down conversion by AFRC, the signal Sin output by AFRC
- 12 is no longer the digital representation of the IF signal;
- 13 correct?
- 14 A Well, again, that is a matter of claim
- 15 construction. It is not precisely the same signal. It is
- 16 a version of it.
- 17 So whether it's the exact same signal or not,
- 18 that's a matter of claim construction on which I have no
- 19 opinion.
- 20 Q The digital representation of the IF signal
- 21 output by the ADC is input to filter DF 1 and the output of
- 22 DF 1 is a variant of the digital representation of the IF;
- 23 correct?
- 24 A I'm sorry, you said the output of DF 1 is what?
- 25 Q Is a variant of the digital representation of

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- 1 the IF; correct?
- 2 A Depends how you define variant, but it is based
- 3 on the input.
- 4 Q Well, when I asked you this question at your
- 5 deposition, you described it as the variant of the digital
- 6 representation of the IF; correct?
- 7 A Well, that may be. But the term "variant" has a
- 8 lot of latitude. It is based on the input signal, and it
- 9 is not the same as the input signal. I'm not a
- 10 semanticist. It's a variant. It's related to.
- 11 Q It's related to, but not the same as input to DF
- 12 1; correct?
- 13 A Well, it's not identical and the same.
- 14 Otherwise, it would have been a wire instead of having a
- 15 box called DF 1.
- 16 Q It's not literally the same signal as applied --
- 17 strike that.
- 18 The output of DF 1 is not literally the same
- 19 signal as applied to the input of DF 1; correct?
- 20 A It is not an identical signal. It is based on
- 21 it.
- 22 Q So there's some difference between the signal
- 23 output from DF 1 and the signal input to DF 1; correct?
- 24 A There is a difference, which may be substantive
- 25 or may be cosmetic only.

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- 1 Q The output of the sample rate reducer SRD1 is
- 2 not literally the same as the input to the sample rate
- 3 reducer SRD1; correct?
- 4 A Well, again, the same comment as I made before.
- 5 It is not identical. Otherwise, it would have been a wire
- 6 rather than a functional box. But the output is obviously
- 7 related one to one to the input.
- 8 Q Now, the output of tuner Sif is not literally
- 9 the same as the input to the tuner SRF; correct?
- 10 A The output Sif is not literally the same as SRF.
- 11 SRF is the radio frequency input. Sif is an intermediate
- 12 frequency signal.
- 13 Q But there's a one-to-one correspondence between
- 14 those signals; correct?
- 15 A There is a correspondence between them. But as
- 16 I indicated in answer to your previous question, one is a
- 17 radio frequency and the other one is a downconverted
- 18 version, which is an intermediate frequency.
- 19 Q And the only thing -- the only difference
- 20 between those signals is the frequency version; correct?
- 21 A That was the intended main difference. There
- 22 may be other artifacts introduced by the tuner, but that is
- 23 the intended difference.
- 24 Q Now, VDP teaches that the filters labeled DF in
- 25 figure 5 could be implemented as finite impulse response

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- 1 filters; correct?
- 2 A I believe so. I have not memorized the VDP, but
- 3 I believe so.
- 4 O And VDP discloses a modified embodiment where
- 5 the ADC is moved behind AFRC; correct?
- 6 A I do not recall. If you point me to VDP and
- 7 I'll see it in context then be able to answer you.
- 8 Q Why don't you point to VDP at column 9, lines 13
- 9 to 16.
- 10 A Where would I find that?
- 11 Q I'm sorry. It's in your binder marked RX-30.
- 12 A Yes.
- 2 So VDP discloses a modified embodiment where the
- 14 ADC is moved behind AFRC?
- 15 A Can you point me to where VDP does this?
- 16 Q Column 9, line 13 to 16. It's also on the
- 17 screen if you want to reference it there.
- 18 A Yes, it does disclose that.
- 19 Q Now, in that modified embodiment where the ADC
- 20 is moved behind AFRC, the ADC is going to output a digital
- 21 representation of the signal Sin; correct?
- 22 A I'm sorry, could you repeat the question?
- 23 O In the modified embodiment where the ADC is
- 24 moved behind AFRC, the ADC is going to output a digital
- 25 representation of the Sin signal; correct?

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- 1 A Well, I wouldn't use exactly those words. If
- 2 the analog-to-digital converter is moved after the AFRC,
- 3 then the signal that comes out of the A-to-D converter will
- 4 be essentially a digitized version of the intermediate
- 5 frequency generated by the tuner.
- 6 Q Let's move on to the '585 patent. If I could
- 7 have JX-1, please, at claim 16.
- 8 A I'm sorry, where do I look for it here, JX-1?
- 9 Q Yes, it would be tab JX-1 in your binder. It's
- 10 the '585 patent.
- 11 A Yes.
- 12 Q If you could turn to claim 16, please.
- 13 A You said claim 16; right?
- 14 Q Yes.
- 15 A Yes.
- 16 Q Now, claim 16 of the '585 patent claims that the
- 17 input RF signals are from "one of terrestrial broadcast,
- 18 from satellite broadcast, and from cable transmission."
- 19 Correct?
- 20 A That is correct.
- 21 Q Now, if we could show figure 2 of the '585
- 22 patent, please.
- 23 The '585 patent in figure 2 does not illustrate
- 24 any different circuitry for receiving terrestrial as
- 25 compared to satellite input RF signals; correct?

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- 1 A Well, figure 2 is a very top-level, generic
- 2 functional block diagram which does not go to the level of
- 3 detail that would have required it to make the distinctions
- 4 that you just articulated.
- 5 Q In fact, nothing in the text of the '585 patent
- 6 makes that distinction either, does it?
- 7 A Well, again, at the top level that figure 2 is
- 8 intended to present things, the functional elements -- and
- 9 I emphasize functional, as opposed to detailed schematics
- 10 or block functions -- are fundamentally the same.
- 11 But issues such as bandwidth and filtering and
- 12 ancillary-related functions are not expected to be at that
- 13 level. So to that extent, I'm not surprised that there is
- 14 no distinction made for different kinds of inputs at that
- 15 level.
- 16 Q And there's no distinction made in the text of
- 17 the '585 patent between receiving satellite signals or
- 18 terrestrial signals; correct?
- 19 A Well, as I indicated already, the text and the
- 20 figure 2 match or jive together. And at that level, there
- 21 is no distinction made or expected at that top level.
- Q Okay. Could I have RDX-0054, please.
- Now, Dr. Caloyannides, I'd like to start on the
- 24 right of this figure. And you see there's a TV with a
- 25 graphic there receiving an input from a hybrid cable

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- 1 system. Is that a fair description?
- 2 A Let me see. That may be the first time I'm
- 3 seeing this, this particular graphic here. Let me take a
- 4 minute to absorb what it says here.
- 5 Q Certainly.
- 6 A Well, there's a lot of information here which in
- 7 the absence of any verbiage to explain what the various
- 8 functional elements do or don't do, you know, one is -- I
- 9 would only be speculating as to what is or is not included
- 10 here.
- 11 Q Okay. Well, you're aware that when you receive
- 12 the -- I'm sorry, strike that.
- When a person receives an input RF signal over a
- 14 cable network, sometimes that cable network has previously
- done conversions to that signal; correct?
- 16 A Well, it all depends on the cable network. I
- 17 suppose there may well exist cable networks which have
- 18 received some of their signals through conversions from
- 19 whichever other original sources.
- 20 Q And some cable networks are in part fiber-optic
- 21 networks; correct?
- 22 A Some cable networks are indeed fiber-optic
- 23 networks.
- 24 Q So in the example shown in RDX-0054, the RF
- 25 signal received at the TV was -- before it was an RF

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- 1 signal, it was transmitted over the fiber-optic connection
- 2 between the fiber nodes; correct?
- 3 A I'm not quite sure I agree with you to say
- 4 before it was an RF signal. The only place I see an RF
- 5 signal here is between the antenna shown on the left and
- 6 whatever it's pointed to.
- 7 Q Okay. So the transmission over the -- between
- 8 the fiber nodes, that would be an RF signal; correct?
- 9 A That is not necessarily correct at all.
- 10 Q Okay. When you referred to the antenna, what
- 11 were you referring to?
- 12 A I'm referring to the presumably parabolic
- 13 Cassegrain dish shown on the top on the left which is
- 14 pointed towards the sky, and that is definitely receiving
- 15 an RF signal.
- 16 Let me interject a comment if I may here which
- 17 may clarify and eliminate a lot of the discussion on that
- 18 topic.
- 19 RF refers to radio frequency. It has multiple
- 20 meanings, like many words in the English language, has
- 21 multiple meanings depending on the context.
- 22 And if one takes context -- the meaning in one
- 23 context and puts it in a different context, then what
- 24 results is confusion and errors.
- Every frequency, electromagnetic signal, is RF.

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- 1 60 hertz, a mere 60 cycles per second, which is feeding the
- 2 light bulbs above our heads in the fluorescent lights, is
- 3 RF, in fact.
- 4 You say how could you call that signal RF?
- 5 Because, as an example, when you go to your medical doctor
- 6 to get electrocardiogram, they filter out 60 hertz from out
- 7 of the signal collected by the EKG machine precisely
- 8 because it receives 60 hertz RF.
- 9 Now, 60 hertz was never intended to be RF, but
- 10 from basic physics, it has no choice but to be RF.
- 11 So the frequency in and by itself does not
- 12 determine whether something is or is not RF. What
- 13 determines if it's RF or not is the channel through which
- 14 it's coming through.
- 15 And in this case, I call RF, not only me, but
- 16 every person that I know of who is knowledgeable in the
- 17 art, the communications path between the transmitting end,
- 18 by radio, and the receiving end, by radio receiver.
- 19 From that point on, once the radio receiver
- 20 picks up that signal, it ceases being RF and becomes
- 21 whatever else is internal to the receiver. Hopefully this
- 22 clarifies the issue so we don't play games with the words
- 23 here.
- 24 Q Okay. So in the example in RDX-0054, as you
- 25 said, the only input RF signal is the input RF signal

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- 1 received by the antenna on the left of the diagram;
- 2 correct?
- 3 A That is correct. Now, it depends now what is
- 4 the antenna doing? If the antenna is just a cable without
- 5 any conversion, without any preamplifier, without anything
- 6 else, then the signal continues being RF.
- 7 But in actual practice, for valid engineering
- 8 reasons, which I would be delighted to detail if you want
- 9 me to, one puts a down converter to change the frequency of
- 10 the signal coming at the antenna right at the antenna.
- 11 Once that is done, the signal coming out of the
- 12 antenna in your diagram is no longer RF. Now it is IF,
- 13 intermediate frequency.
- 14 Q So the television in this example, as you
- 15 clarified, is not receiving an input RF signal; correct?
- 16 A Let me see if I can find the TV in this diagram.
- 17 Oh, way on the right there a TV screen. Is that the one
- 18 you're alluding to?
- 19 O Correct.
- 20 A That is correct.
- 21 Q So if I use a Samsung TV with a Silicon Labs
- 22 tuner to watch Monday night football on my Time Warner
- 23 cable network, where the signal was originally captured by
- 24 a video camera at the football game, converted to IF, sent
- 25 up to a satellite, brought back down to a headend,

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- 1 converted to an IF at that point and eventually made it
- 2 through the cable network to my TV, my TV would not be
- 3 receiving an input RF signal as claimed in the asserted
- 4 claims; correct?
- 5 A For the particular connectivity that you
- 6 described, where the signal has been down-converted and
- 7 then eventually passed to the television receiver, you are
- 8 correct that the signal on that TV has been down-converted,
- 9 it would not be RF anymore.
- 10 Q Let's go to the Boie reference, which should be
- 11 in your binder marked RX-10.
- 12 A Yes, sir.
- 13 Q You recognize this as the reference -- the prior
- 14 art reference that is referred to as Boie in this
- 15 investigation; correct?
- 16 A That is correct.
- 17 Q And you're aware that the patent office has
- 18 instituted inter partes review on both of the patents in
- 19 suit; correct?
- 20 A I have heard something to that effect but I have
- 21 not focused on that at all.
- Q Well, are you aware that the patent office
- 23 refers to Boie as Thompson because it's assigned to
- 24 Thompson? Are you aware of that?
- 25 A No, I'm not.

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- 1 Q Well, we'll refer to it as Boie in this
- 2 examination, okay?
- 3 A Thank you.
- 4 Q Now, Boie discloses a television satellite
- 5 receiver; correct?
- 6 A No. Boie discloses the back end of a satellite
- 7 receiver that starts at the IF as shown on the top left of
- 8 the figure which is not on the screen there.
- 9 But on Boie's figure 1, which you may want to
- 10 put on the screen, it shows explicitly that the signal
- 11 coming into the Boie receiver is an IF, which has been
- 12 presumably down-converted from something which is not
- 13 showing in the Boie receiver.
- 14 Q The input at IF SAT in figure 1, that is a
- 15 television input signal; correct?
- 16 A Well, the term "TV input signal," it is an input
- 17 signal at IF to the receiver shown in that figure, which
- 18 contains television content, that is correct.
- 19 Q And it's your testimony that because there was
- 20 at least one frequency conversion prior to its arrival at
- 21 the input IF SAT, it cannot be an input RF signal as
- 22 claimed in the claims; correct?
- 23 A That is correct.
- 24 Q And is it your understanding that the claims
- 25 should be applied in the same manner to the accused

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- 1 products for purposes of infringement as they are to the
- 2 prior art for purposes of validity?
- 3 MR. PORTERA: I would object to that question,
- 4 your Honor. He's not been proffered as an infringement
- 5 expert and has no opinions with respect to infringement.
- 6 And it's outside the scope of his direct.
- 7 JUDGE LORD: Counsel?
- 8 MR. ERICKSON: I just want to know whether the
- 9 witness is aware of whether the Court should apply the
- 10 claims in the same way to the prior art as it does to the
- 11 accused products.
- MR. PORTERA: Again, your Honor, I don't think
- 13 that's relevant to his opinions, which only pertain to
- 14 validity.
- JUDGE LORD: I'm going to permit the question.
- Go ahead.
- 17 THE WITNESS: I'm sorry, could you ask the
- 18 question again?
- 19 BY MR. ERICKSON:
- 20 Q Are you aware that this Court will apply the
- 21 claims in the same way to the prior art as it does to the
- 22 accused products?
- 23 A I have no legal background and no basis on which
- 24 to say that.
- 25 Q And you've -- you've been here for most of this

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- 1 hearing, correct, with the exception of yesterday?
- 2 A No, that's not correct. I've been here for, I
- 3 would say, less than a fourth of all the proceedings.
- 4 Q Okay. And did you hear Dr. Snelgrove testify on
- 5 Monday regarding infringement?
- 6 A I attended part of his testimony, but by no
- 7 means all of it.
- 8 Q We'll move on. The 140 megahertz input at IF
- 9 SAT is a radio frequency signal; correct?
- 10 A No. As I indicated before, radio frequency is
- 11 what's actually transmitted over the communications
- 12 channel, the air in this case, and that signal entering
- 13 that filter in figure 1 is definitely not coming through a
- 14 radio channel, but it's coming through a cable and denoted
- 15 as such as being IF. So that is not an RF signal.
- Can we play the deposition at page 164, lines 6
- 17 to 9, please.
- 18 MR. PORTERA: Your Honor, I don't think he was
- 19 asked to have his recollection refreshed, so I'd like to
- 20 look at the testimony before it's proffered for
- 21 impeachment.
- JUDGE LORD: With all due respect, you were at
- 23 the deposition, you've already had an opportunity to look
- 24 at the testimony.
- MR. PORTERA: That's true, your Honor. I don't

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- 1 have these particular pages and lines committed to memory.
- JUDGE LORD: You'll see them now. If they're
- 3 not inconsistent, then I'll strike them.
- 4 MR. PORTERA: Thank you, your Honor.
- 5 (From Video.)
- 6 Q And 140 megahertz is an RF signal; correct?
- 7 A It's in the frequency range which is usually
- 8 considered RF, yes.
- 9 (Video Stopped.)
- 10 BY MR. ERICKSON:
- 11 Q If we could go back to figure 1 of Boie, please.
- 12 Boie discloses that the AGC amplifier number 5 in figure 1
- 13 has an internal bandpass limitation; correct?
- 14 A That is correct. By the way, on the item that
- 15 you played back, I emphasized the fact that it says it's in
- 16 the frequency range, which is generally considered RF.
- 17 That is quite different from saying this is RF. And I
- 18 stand by my statement which I made about five minutes
- 19 earlier as to what is and what is not RF.
- Now, coming to the AGC, what is your question
- 21 again?
- 22 Q I'm saying Boie discloses that AGC that is
- 23 numbered 5 in figure 1 has an internal bandpass limitation;
- 24 correct?
- 25 A Yes, that is correct.

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- 1 Q And one of ordinary skill in the art would
- 2 understand that to mean there's a bandpass filter
- 3 immediately prior to the amplifier; correct?
- A No, not necessarily. All that is shown here is
- 5 that the amplifier prior to the A to D, whose obvious
- 6 function is given by the dotted line feeding into it, is to
- 7 control the level of the signal which is being fed into the
- 8 A to D, so it would be within the dynamic range of the
- 9 A-to-D converter, has some bandpassing function embedded in
- 10 it in addition. It doesn't say if it's before, after or
- 11 during, but there is a bandpassing function associated with
- 12 it, that's correct.
- 13 Q Okay. So there's a bandpass filter before,
- 14 after or simultaneously with the amplifier designated AGC 5
- 15 in figure 1; correct?
- 16 A It is alluded to. Again, only with the initials
- 17 BP inside the bandpass filter. There's not a whole lot
- 18 mentioned in the specification about that.
- 19 Q Well, I want the record clear on this. One of
- 20 the ordinary skill in the art would understand that what
- 21 Boie discloses as the AGC 5 with an internal bandpass
- 22 limitation means that there is a bandpass filter between
- 23 the mixer 2 and the ADC 7; correct?
- 24 A I would not use the word "disclose." I would
- 25 say "hints" or "alludes," but given the fact that there is

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- 1 no indication in the verbiage here in the specification of
- 2 the patent that that is what is intended or done, the only
- 3 indication of that are the initials "BP" inside that
- 4 filter.
- 5 So a person of skill in the art would kind of
- 6 get a hint that maybe there is a bandpassing limitation.
- 7 However, I hasten to add that every amplifier inherently
- 8 has a bandpassing characteristic. There is no amplifier in
- 9 the world that accepts anything from 0.001 hertz all the
- 10 way to terahertz. They all inherently have a bandpassing
- 11 limitation.
- Now, the extent of the particular bandpassing
- implied here by the initials BP is never spelled out in the
- 14 Boie patent.
- 15 Q So the extent the bandpass is not -- excuse me.
- 16 I understand your testimony to mean the extent of the
- 17 bandpass filter is not described, but one of ordinary skill
- 18 would understand that there is some kind of bandpass
- 19 filtering being performed; correct?
- 20 A Well, again, every amplifier, as I just
- 21 indicated, automatically performs a bandpassing function,
- 22 because there is no amplifier which amplifies all
- 23 frequencies that can be possibly entered into it.
- 24 Q The output of the analog-to-digital converter 7
- 25 is the digital representation of the IF signal; correct?

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- 1 A That is correct.
- 3 limitation of AGC 5 shown in figure 1 cleans up and removes
- 4 any spectral components that have no business getting
- 5 converted by analog-to-digital converter; correct?
- 6 A No.
- 7 MR. ERICKSON: Can we play the deposition at
- 8 172, page 172, lines 1 to 14, please.
- 9 (From Video.)
- 10 Q Now, the bandpass filter in 5 will reduce to
- 11 some degree any aliasing that may occur with the
- 12 analog-to-digital converter 7; correct?
- 13 A Well, not entirely. The bandpass filter 5 is an
- 14 input to the A-to-D converter 7 so it cannot do anything on
- 15 what the A-to-D converter does or does not do. It only
- 16 takes the output from the mixer 2 and cleans it up to
- 17 remove any spectral components which have no business
- 18 getting A to D converted.
- 19 Q So that will help reduce, somewhat, any
- 20 aliasing; correct?
- 21 A If it's properly designed, it should help reduce
- 22 aliasing, yes.
- 23 (Video Stopped.)
- 24 THE WITNESS: I emphasize that it says there if
- 25 properly designed, it should. There is no indication that

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- 1 it does.
- 2 BY MR. ERICKSON:
- 3 Q Let's look back at figure 1 of Boie, please.
- 4 Now, mixer 2 is a real mixer, correct, as opposed to a
- 5 complex mixer?
- 6 A That appears to be the case. Unless the symbol
- 7 as used here is for notational convenience shown to
- 8 incorporate -- to include the complex mixer. But it
- 9 appears to be a real mixer, yes.
- 10 Q And because mixer 2 is a real mixer, mixer 2
- 11 will output two images, a first image at the input
- 12 frequency minus the local oscillator frequency and a second
- 13 image at the input frequency plus the local oscillator
- 14 image; correct?
- 15 A That is correct.
- 16 Q Were you at the tutorial last week here?
- 17 A No, I was not.
- 18 Q Can I have Exhibit CDX-0016.0033, please.
- I can represent to you that this was a diagram
- 20 used by Dr. Snelgrove in his tutorial to the Court.
- 21 And this illustrates what we were just talking
- 22 about, correct, that a real mixer will create two images at
- 23 its output, one at the input frequency plus the local
- 24 oscillator frequency and a second at the input frequency
- 25 minus the local oscillator frequency; correct?

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- 1 A That's correct.
- 2 Q And no filter, no matter how perfect, prior to
- 3 the mixer can stop those two images from being corrected;
- 4 correct? I think I may have misspoke so let me ask the
- 5 question again.
- 6 No filter, no matter how perfect, prior to the
- 7 mixer can prevent both images from being created by the
- 8 mixer; correct?
- 9 A That is correct.
- 10 Q All right. Let's go back to figure 1 of Boie.
- 11 So the SAW filter number 1 in figure 1, no
- 12 matter how perfect, cannot prevent mixer 2 from generating
- 13 two images; correct?
- 14 A That is correct.
- 15 Q Let's go to figure 2 of Boie, please.
- 16 A All right.
- 17 Q Now, figure 2 at line (c), that shows the input
- 18 to mixer 2, and the input is a 27-megahertz wide input
- 19 signal centered at approximately 140 megahertz; correct?
- 20 A That is correct.
- 21 Q And figure 2 at line (d) shows that mixer 2 is
- 22 using a local oscillator at 65 megahertz; correct?
- 23 A That's correct also.
- 24 Q So mixer 2 is going to output a lower image at
- 25 75 megahertz, which is 140 megahertz minus 65 megahertz;

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- 1 correct?
- 2 A That's right.
- 3 Q And that's indicated here in figure 2 by the
- 4 dashed line starting at the input signal on line (c) and
- 5 going down to the left to the image shown on line (e) at
- 6 approximately 75 megahertz; correct?
- 7 A That is correct.
- 8 Q And that's labeled as the input signal of the
- 9 DAC; correct?
- 10 A That's correct.
- 11 Q And that's -- one of ordinary skill would
- 12 recognize that as a typo, correct, that it should be the
- 13 input of the ADC?
- 14 A That is correct.
- 15 Q Now, the real mixer 2 will also output a higher
- 16 image at approximately 205 megahertz, which is 140
- megahertz plus 65 megahertz; correct?
- 18 A That is correct.
- 19 Q And that's illustrated in figure 2 by the dashed
- 20 line starting at the 140 megahertz input signal and
- 21 extending off to the right and disappearing off to the
- 22 right before it reaches line (e); correct?
- 23 A That is correct.
- 24 Q Now, as shown on line (f) of figure 2, the
- 25 analog-to-digital converter is sampling at a rate of 100

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- 1 megahertz; correct?
- 2 A That is correct.
- 3 Q Now, I just want to talk about what will happen
- 4 with the lower image right now. Sampling the image that is
- 5 centered at 75 megahertz at a 100 megahertz sampling rate
- 6 is a type of subsampling known as bandpass sampling;
- 7 correct?
- 8 A Well, it's subsampling. The term "bandpass
- 9 sampling" is unfortunate. But it is not -- I would never
- 10 use it. But it's -- it is subsampling, yes.
- 11 Q How would you describe it? Is there a
- 12 particular type of subsampling you'd use to describe it?
- 13 A Well, it is sampled at a rate below the Nyquist
- 14 frequency, which is the frequency that it has to be sampled
- 15 at, which is twice the highest frequency component present.
- 16 It is a means of data reduction or essentially reducing the
- 17 amount of data that's going out of the A-to-D converter.
- 18 Q And it's my understanding there may be different
- 19 types of subsampling, and I just didn't know if there was a
- 20 terminology you would prefer to use to refer to this type
- 21 of subsampling.
- 22 A We can agree to call it subsampling.
- 23 Q Okay. Very good. So in the subsampling shown
- 24 in figure 2, there are various periodic spectra created
- 25 that are shown on line (f) of signal 2; correct?

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- 1 A That is correct. There are spectra created at
- 2 integer multiples and submultiples of 25 megahertz, 75 and
- 3 so on, yes.
- 4 Q And all of those spectra indicated on line (f)
- 5 correspond to the lower image that was input to the ADC at
- 6 75 megahertz; correct?
- 7 A That is correct.
- 8 Q Line (f) does not show any periodic spectra
- 9 corresponding to the higher frequency -- I'm sorry, the
- 10 higher image at approximately 205 megahertz; correct?
- 11 A No, line (f) does not show such things. It
- 12 doesn't mean they are not there. It just doesn't show
- 13 them.
- 14 Q Well, if the ADC generated periodic spectra
- 15 corresponding to the higher image, there would be aliasing
- 16 problems; correct?
- 17 A There would be aliasing problems, that's
- 18 correct.
- 19 Q So to avoid aliasing problems, something has to
- 20 get rid of the higher image at 205 megahertz; correct?
- 21 A That is correct.
- 22 Q Let's go back to figure 1, please. The only
- 23 thing shown in figure 1 between the output of mixer 2 and
- 24 the input of ADC 7 is the AGC 5 with the internal bandpass
- 25 limitation; correct?

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- 1 A That is all that is shown, that is correct.
- 2 Q So that's the only structure shown here that one
- 3 of ordinary skill in the art would understand could
- 4 possibly be responsible for getting rid of that upper image
- 5 at 205 megahertz; correct?
- 6 A Well, now, when you're saying "could possibly
- 7 be," you're asking me to give the benefit of the doubt to
- 8 the person who drew the figure or who designed the figure.
- 9 All I can go by is by what is shown here and
- 10 what is not shown. And there's nothing shown here or
- 11 detailed in the specification that says we are implementing
- 12 an antialiasing function with filter such-and-such.
- 13 Nothing like that stated.
- Q Could we have column 3, lines 9 to 11 of Boie,
- 15 please.
- 16 Column 3, lines 9 to 11 read, "the A-to-D
- 17 converter 7 is operated in the subsampling mode, but this
- 18 does not cause any aliasing problems because of the
- 19 bandlimited input signal."
- 20 Correct?
- 21 A That is what it says, yes.
- 22 Q And the only thing that the patent describes --
- 23 I'm sorry.
- 24 The only thing Boie describes is bandlimiting
- 25 the input signal between the mixer and the A-to-D converter

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- 1 is the internal bandpass limitation in the AGC marked 5 on
- 2 figure 1; correct?
- 3 A Well, again, you say "describes." He does not
- 4 describe it at all. He just casually puts the initials BP
- 5 on the amplifier 5, which is an amplifier again, not a
- 6 filter, and one is led to infer, without any specific
- 7 indication in the patent, that this amplifier, by the way,
- 8 performs some kind of unspecified bandpass function.
- 9 But you are correct that the only thing that is
- 10 shown here that could conceivably have performed that
- 11 function could be, not is, could be item 5.
- 12 Q And one of ordinary skill in the art would know
- 13 that; correct?
- A With all the "could bes" and "might bes," that
- 15 is correct.
- 16 Q They would know that that's the only possibility
- of what's described here; correct?
- 18 A Based on what is described, one would think that
- 19 that would be the only way to do it. Again -- but that
- 20 would give the benefit of the doubt to the patent and to
- 21 the diagram, neither of which state that.
- Q Well, and let's look at column 2, line -- bring
- 23 up the bottom of column 2 of Boie, please. And let's go
- 24 down to the last three lines.
- We see here at lines 57 to 59 it states, "the

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- 1 down-converted IF signal then goes into AGC amplifier 5
- 2 with an internal bandpass limitation before the IF signal
- 3 enters the input of A-to-D converter," and it continues
- 4 onto the next page.
- 5 This is -- and then in column 3 -- go ahead.
- 6 In column 3, you look down at line 9, and this
- 7 is the other passage we just looked at that said "the
- 8 A-to-D converter 7 is operated in the subsampling mode, but
- 9 this does not cause any aliasing problems because of the
- 10 bandlimited input signal."
- 11 Correct?
- 12 A That is what it states, yes.
- 13 Q So one of ordinary skill in the art would know
- 14 the only thing that might be bandlimiting the signal to
- 15 remove the upper image that would otherwise cause aliasing
- 16 problems is the internal bandpass limitation in the AGC 5;
- 17 correct?
- 18 A With the emphasis on "might be" as you pointed
- 19 out, yes. But there's no indication that is happening.
- 20 That is what could be happening, correct.
- 21 Q And it is the only structure disclosed that
- 22 could do that; correct?
- 23 A The only structure shown in this diagram that
- 24 could do that, and yet amazingly it is not stated to be
- 25 doing that in the patent or the diagram.

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- 1 Q Let's go back to figure 1, please.
- 2 The digital representation of the IF that's
- 3 output from ADC 7 is input to bandpass filter 8; correct?
- 4 A That is correct.
- 5 Q And you agree that one of ordinary skill would
- 6 understand the symbol used to illustrate bandpass filter 8
- 7 in figure 1 means a bandpass filter; correct?
- 8 A That's correct.
- 9 Q And one of ordinary skill would understand that
- 10 the diagonal arrow going across symbol 8 means the
- 11 parameters are adjustable; correct?
- 12 A That is correct.
- 13 Q And the bottom of the diagonal arrow across
- 14 symbol 8 has a line going down to the words "TV standard."
- 15 And that would teach a person of ordinary skill that the
- 16 variability of the specification of filter number 8 is TV
- 17 standard-dependent; correct?
- 18 A Well, that is a very broad statement you are
- 19 making. What that is teaching is that the -- that one of
- 20 the parameters of the filter, namely the bandwidth and
- 21 nothing but the bandwidth, is TV standard-dependent. And a
- 22 person of skill in the art would say well, why do we just
- 23 change the bandwidth and nothing else? That is never
- 24 answered.
- 25 If you do change the bandwidth of the filter,

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- 1 all you're doing is maximizing the signal-to-noise ratio
- 2 that goes out of that filter 8, in other words, minimize
- 3 external noise that would have come out of the A-to-D
- 4 converter, from contaminating any subsequent circuitry.
- 5 So it seems like a means of maximizing the
- 6 signal-to-noise ratio based on bandwidth considerations
- 7 alone, I'll agree to that.
- 8 Q Can we play the deposition at page 175, lines 15
- 9 to 19, please.
- 10 MR. PORTERA: Your Honor, I do have these lines
- 11 committed to memory, and I don't believe they're
- 12 inconsistent with anything he just stated. So I'd object
- 13 on that basis.
- 14 JUDGE LORD: Your objection will be noted for
- 15 the record.
- 16 (From Video.)
- 17 Q And then the bottom of the diagonal arrow is an
- 18 arrow going down to TV standard. What does that teach to
- 19 one of ordinary skill?
- 20 A That the variability in the specification of
- 21 filter number 8 is TV standard-dependent.
- (Video Stopped.)
- BY MR. ERICKSON:
- 24 Q It would have been obvious to a person of
- 25 ordinary skill in the art to use a FIR filter to implement

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- 1 the filter 8 in Boie; correct?
- JUDGE LORD: Let me interrupt you there,
- 3 Counsel. Let's go back to the deposition testimony. How
- 4 is that inconsistent with Dr. Caloyannides's previous
- 5 testimony?
- 6 MR. ERICKSON: If we could put the text of the
- 7 answer back up, and I can read my prior question. My prior
- 8 question was -- can we display the question and answer,
- 9 please? Display the complete question and answer, please.
- 10 (From Video.)
- 11 Q And then the bottom of the diagonal arrow has an
- 12 arrow going down to TV standard. What does that teach to
- 13 one of ordinary skill?
- 14 (Video Stopped.)
- 15 MR. ERICKSON: My question was the bottom of the
- 16 diagonal arrow across symbol 8 has a line going down to TV
- 17 standard. And that would teach a person of ordinary skill
- 18 that the variability of the specification of filter number
- 19 8 is TV standard-dependent; correct?
- 20 And he answered in the narrative, which I
- 21 understand is helpful to the Court, but it was not the
- 22 direct admission that we got in the deposition.
- JUDGE LORD: Right, I see. Your question
- 24 actually incorporates the witness's answer in his
- 25 deposition.

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- 1 MR. ERICKSON: Correct, your Honor.
- JUDGE LORD: Thank you. I'll overrule the
- 3 objection.
- 4 BY MR. ERICKSON:
- 5 Q It would have been obvious to a person of
- 6 ordinary skill in the art to use a FIR filter to implement
- 7 filter 8 in Boie; correct?
- 8 A It's a digital filter, and there are different
- 9 ways of implementing digital filters, one of which is a FIR
- 10 filter. Whether or not it would have been obvious, that is
- 11 something that one can debate at length.
- 12 But one of the possibilities would have been a
- 13 FIR filter, that is correct.
- 14 Q You would agree that a person of ordinary skill
- would, by default, use a FIR filter to implement filter 8
- in Boie because a FIR filter is simpler conceptually,
- 17 mathematically and analytically; correct?
- 18 A That is correct that it would be the simpler
- 19 filter to implement for the reasons you stated.
- 20 Q And because of those reasons, a person of
- 21 ordinary skill would by default use a FIR filter; correct?
- 22 A Well, a person of ordinary skill at the time of
- 23 the patent would be inclined to use a FIR filter. I think
- 24 that's a better term, more accurate term.
- JUDGE LORD: Counsel, let me interrupt you here

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- 1 for a second. I don't know whether this has been updated,
- 2 but as of yesterday, your cross-examination was anticipated
- 3 to take 40 minutes. It's gone about five or 10 minutes
- 4 longer. I wonder how much more you have or if you're
- 5 prepared to cut short any of your other examination.
- 6 MR. ERICKSON: I do have -- I don't know how
- 7 much time we have remaining, Aaron, but I do have probably
- 8 at least 20 more minutes.
- 9 JUDGE LORD: I don't know if you're prepared to
- 10 give up some recross so that you can complete your
- 11 examination now. It's up to you. But we need to adhere to
- 12 the timetable that we have in place.
- MR. FOWLER: Yes, your Honor, we're prepared to
- 14 cede any time that Mr. Erickson uses going forward from our
- 15 cross-examination of Mr. Button, who is coming up.
- JUDGE LORD: All right, very well. Then please
- 17 proceed.
- 18 BY MR. ERICKSON:
- 19 Q The output of filter 8 is a digital signal;
- 20 correct?
- 21 A That is correct, there is no indication to the
- 22 contrary. Yes.
- 23 Q Now, in figure 1, the output of filter 8 is
- 24 provided to block 9; correct?
- 25 A I'm sorry, I didn't hear your question.

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- 1 Q The output of filter 8 is provided to block 9;
- 2 correct?
- 3 A It is provided to block 9, and blocks 11, 12 and
- 4 13.
- 5 Q And block 9 is a demodulator; correct?
- 6 A Well, it's partial -- partial demodulator, yes.
- 7 Q Block 9 is part of an analog television
- 8 demodulator; correct?
- 9 A Again, filter 9 is a portion of an analog
- 10 demodulator. The reason I say portion is because the
- 11 output of a demodulator is expected to be, for example,
- 12 CVBS, and block 9 does not generate CVBS until it goes
- 13 through block 10 first.
- 14 Q So block 9 in combination with block 10 and the
- 15 sound selection filters below block 10 is an analog
- 16 television demodulator that generates video and audio
- 17 baseband signals; correct?
- 18 A Again, partial. I qualify this because there is
- 19 an input indicated going to block 10, the SRC block, coming
- 20 from some unspecified things as F sub-S out, going to that
- 21 filter.
- 22 So that input going to the SRC is part of the
- 23 demodulation process. So to that extent, it's a partial
- 24 demodulator, but I'll agree that it's -- has most of the
- 25 functional elements of an analog-only demodulator.

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- 1 Q And blocks 12 and 13 perform a digital TV
- 2 demodulation function; correct?
- 3 A No.
- 4 Q Play deposition at page 180, lines 9 to 13,
- 5 please.
- 6 (From Video.)
- 7 Q What are blocks 12 and 13?
- 8 A They are basically mixers, multipliers, to
- 9 generate the in-phasing quadrature components of the
- 10 signal, and essentially it's a digital TV demodulation
- 11 function.
- 12 (Video Stopped.)
- 13 THE WITNESS: Emphasize what intent was meant
- 14 there, it is part of a digital TV demodulation function.
- 15 Because a demodulator for digital does not generate I&Q.
- 16 So it's quite evident to any person of skill in the art
- 17 that an output that is I&Q is not an output of a
- 18 demodulator. Just as a background reference for your help.
- 19 BY MR. ERICKSON:
- 20 Q If we could display figure 1 again, please. The
- 21 mixers 12 and 13 has their input for the local oscillators
- 22 provided by the picture carrier regeneration circuitry;
- 23 correct?
- 24 A That is correct.
- 25 Q And so these mixers will remove the picture

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- 1 carrier modulation; correct?
- 2 A Well, when you say "remove," they are part of
- 3 the complex demodulation function. They don't remove
- 4 anything in and by themselves. They are part of a whole
- 5 digital demodulation function, which includes blocks 14,
- 6 15, plus the signal coming into the picture carrier
- 7 regeneration from the upper right.
- 8 Q Figure 1 teaches this is all part of a digital
- 9 QPSK demodulator; correct?
- 10 A Figure 1 shows -- I don't know what you mean by
- 11 "this," but blocks 11, 12, 13, 14 are part -- and I
- 12 emphasize "part" -- of a digital demodulation function for
- 13 digital television, that is correct.
- 14 Q Let's go to the '792 patent, claim 1. It's
- 15 marked JX-2 in your binder if you want to see it. But it
- 16 should come up here.
- 17 A Yes, please.
- 18 Q And I want to discuss the signal output circuit
- 19 limitation that's at the end of claim 1. Are you there?
- 20 A I'm looking at claim 1. Which element of that
- 21 is it?
- 22 Q The last element, and it reads, "a signal output
- 23 circuit for receiving the digital output signals from the
- 24 signal processor and for providing one or more output
- 25 signals corresponding to the digital output signals."

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- 1 Do you see that?
- 2 A I do.
- 3 Q Now, you understand that Professor McNair and
- 4 the Respondents contend that this limitation can be
- 5 satisfied by demodulators; correct?
- 6 A I understand that that's what they claim and I
- 7 emphatically disagree with it.
- 8 Q And let's turn to your witness statement to page
- 9 126, questions 532 to 538.
- 10 A If you want to give me a minute to read those
- 11 questions.
- 12 Q My question to you is, you don't expressly apply
- 13 the language proposed by the parties for the constructions
- 14 of this limitation to demodulators, do you?
- 15 A Well, for me to answer if I expressly apply the
- 16 language, I have to read the language. So if you give me a
- 17 few minutes to read it, then I'll be able to answer your
- 18 question.
- 19 Q Well, I can point you if you scroll down,
- 20 please, down to 535. I'm sorry, go all the way down to the
- 21 end, 538, please. I'm sorry, 537.
- You state in answer to question 537, "Does your
- 23 opinion differ depending on which parties' claim
- 24 construction is applied?" And you answer "No"; correct?
- 25 A That is correct. But again, this is almost a

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- 1 content-free sentence without the context that it is
- 2 referring to. So that is what the words say, but the
- 3 context, I really need the time to read it. Would you like
- 4 me to read the preceding questions?
- 5 Q Let's look at the parties instructions directly.
- 6 1863, scrolling down to item 19, which is the signal output
- 7 circuit limitation.
- 8 And do you recognize line 19 of CX-1863?
- 9 A I do.
- 10 Q Okay. And if you'll notice, Cresta's proposed
- 11 construction in the fifth column and Silicon Labs's
- 12 construction in the seventh column are identical; correct?
- 13 A Yes, that is correct.
- 14 Q All right. Now, if I could have figure 2 of the
- 15 '585 patent displayed underneath this, please.
- I want to walk through Cresta's proposed
- 17 construction of this claim with respect to demodulator 66a
- 18 to c where the disagreement lies, okay?
- 19 A All right.
- 20 Q So demodulator 66a as shown in figure 2 is
- 21 literally a circuit; correct?
- 22 A I'm sorry, say your question again.
- 23 Q You would agree that demodulator 66a is a
- 24 circuit; correct?
- 25 A Well, depends how you define a circuit. It

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- 1 could be implemented in software, could be implemented in
- 2 hardware. To the extent it's implemented in hardware,
- 3 hardware consists of circuits, that is correct.
- 4 Q One of ordinary skill would understand that the
- 5 disclosure of the demodulator 66a in figure 2 of the '585
- 6 patent would include a circuit; correct?
- 7 A The term "circuit" is very nebulous. All it
- 8 means is a wire which has a beginning and an end.
- 9 It will include some circuitry, and it also may
- 10 well include some digital -- digital functionality
- 11 performed by digital components.
- 12 Q And one of ordinary skill would understand that
- 13 demodulator 66a receives the digital output signals from
- 14 the signal processor, specifically DSP 64; correct?
- 15 A That is correct.
- 16 Q And one of ordinary skill in the art would
- 17 understand the demodulator 66a provides one or more output
- 18 signals corresponding to those digital output signals,
- 19 namely the video and audio baseband signals; correct?
- 20 A Where is this figure 2 from again?
- 21 Q Figure 2 is from the '585 patent.
- 22 A Okay. Yes, that is correct.
- 23 Q So -- okay. I'll move on. And in the interest
- 24 of time, your Honor, I'm going to jump ahead.
- Could I have JX-1, the '585 patent, at claim 13.

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- 1 Now, claim 13 is lengthy, but part of it is
- 2 directed to a standard selection circuit; correct?
- 3 A That is correct.
- 4 Q And there's a similar claim, claim 26, of the
- 5 '792 patent; correct?
- 6 A I don't have it in front of me, but I'll take
- 7 your word for it.
- 8 O You know there's a similar claim in the '792
- 9 patent; right?
- 10 A Yes. I don't know the number of the claim, but
- 11 I'll take your word for it.
- 12 Q Are you aware that claim 13 of the '585 and
- 13 claim 26 of the '792 patent are two asserted claims that
- 14 are not in the interparties review? Are you aware of that?
- 15 A No, I'm not.
- Okay. Now, you're aware that Respondents and
- 17 Dr. McNair -- I'm sorry, Professor McNair contend that
- 18 Boie, in view of Micronas, makes this claim obvious;
- 19 correct?
- 20 A I'm aware that Respondents have claimed that. I
- 21 disagree with that, yes.
- 22 Q Could I have RDX-2005C, 35.
- In fact, you were here for the opening
- 24 statements by Mr. Fowler. Do you recall seeing this slide?
- 25 A I was not here for the opening statements.

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- 1 Q Oh, you were not, I'm sorry. I thought you
- 2 were. Okay. I withdraw that.
- 3 Could I have RX-1677C, Professor McNair's
- 4 opening witness statement.
- 5 And you recognize this as Professor McNair's
- 6 opening witness statement?
- 7 A I recognize the title page, yes.
- 8 Q Okay. Let's go to question 310, please. Now,
- 9 you understand that one of the opinions Professor McNair
- 10 has testified to is that Boie in combination with Micronas
- 11 renders claim 13 of the '585 patent obvious; correct?
- 12 A That is his claim, yes.
- 13 Q And let's go to question 311. You understand
- 14 that Professor McNair has opined that Boie in combination
- with Balaban renders claim 13 obvious; correct?
- 16 A That is what he claims.
- 17 Q And going to question 313, one last one.
- 18 You understand that Professor McNair opines that
- 19 Boie in combination with Robbins renders claim 13 obvious;
- 20 correct?
- 21 A Again, that's what he claims.
- 22 Q So let's go to your witness statement, and I'd
- 23 like to show questions 271 and 273. Let me know when
- 24 you're there.
- 25 A Let me read 272 then if you give me a second.

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- 1 Q 271 to 273. Are you there?
- 2 A I'm there but I want to read it.
- 3 Q Now, nowhere in your answers to questions 271 to
- 4 273 do you discuss Micronas, do you?
- 5 A Again, not having read it -- I asked you to give
- 6 me some time to read it and you won't give me that time.
- 7 So without having read it, I cannot answer the question.
- 8 So if you give me the time to read it, I can answer it.
- 9 Q Go ahead.
- 10 (Witness reviewed document.)
- 11 THE WITNESS: Yes, I see it.
- 12 Q So nowhere in your answers to questions 271 to
- 13 273 do you discuss Micronas; correct?
- 14 A That is correct.
- 15 Q And nowhere in your answers to questions 271 to
- 16 273 do you discuss Balaban; correct?
- 17 A That is correct.
- 18 Q And nowhere in your answers to questions 271 to
- 19 273 do you discuss Robbins; correct?
- 20 A That is correct. But allow me to add here that
- 21 I saw my role as not doing research to see whether
- 22 Mr. McNair and Dr. Hashemi were correct or not, but to --
- 23 my role, I saw it as seeing whether they provided
- 24 sufficient substantiation for the claim that it would have
- 25 been obvious to a person of ordinary skill in the art to

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- 1 combine Boie with the references you articulated.
- 2 And I did not find that information, so as a
- 3 result of that, I did not feel that I was required to go
- 4 and do my independent research to prove or disprove them.
- 5 MR. ERICKSON: No further questions, your Honor.
- 6 JUDGE LORD: All right. Thank you. Why don't
- 7 we take our morning break here. We'll reconvene at 10
- 8 minutes till noon.
- 9 MR. SCHODDE: Your Honor, we do have about five
- 10 to 10 minutes directed to two claims that are only asserted
- 11 against MaxLinear. After that, I'll be done, and then
- 12 we'll be passing the witness.
- JUDGE LORD: Okay. Thank you.
- 14 (Recess.)
- JUDGE LORD: Please be seated.
- Mr. Schodde.
- 17 MR. SCHODDE: Thank you, your Honor. Greq
- 18 Schodde for MaxLinear. And we can remain on the public
- 19 record for this portion of our examination.
- 20 CROSS-EXAMINATION
- BY MR. SCHODDE:
- 22 Q Dr. Caloyannides, isn't it true that you can use
- 23 a complex filter to operate on a single real signal?
- 24 A Yes, it is true that you can do that. There
- 25 would be no good reason for doing that, but it's true you

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