Transcript of the Testimony of Mark N. Horenstein

Date: June 28, 2016

Case: Microsoft Corporation and Microsoft Mobile, Inc. v. Global Touch Solutions, LLC.



Global Touch Solutions, LLC Exhibit 2007 Microsoft Corporation and Microsoft Mobile, Inc. v. Global Touch Solutions, LLC IPR2015-01148

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Page 1 UNITED STATES PATENT AND TRADEMARK OFFICE _____ BEFORE THE PATENT TRIAL AND APPEAL BOARD _____ MICROSOFT CORPORATION AND MICROSOFT MOBILE, INC. Petitioners vs. GLOBAL TOUCH SOLUTIONS, LLC Patent Owner CASE IPRs 2015-01147, 01148, 01149, 01150, 01151 PATENT NUMBERS 7,994,726 7,498,749 B2 7,329,970 B2 7,781,980 8,288,952 7,994,726 DEPOSITION OF MARK N. HORENSTEIN, Ph.D. Tuesday, June 28, 2016 Commencing at 10:05 a.m. Baker & Hostetler, LLP Cira Centre, 12th floor 2929 Arch Street Philadelphia, Pennsylvania

| | Page 2 |
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Page 3 1 INDEX 2 _ _ _ _ 3 WITNESS: PAGE: 4 MARK N. HORNSTEIN, Ph.D. 5 By Mr. Kelber 4 6 7 _ _ 8 EXHIBITS 9 NUMBER PAGE: 10 Exhibit 2007 Four pages of second 13 11 declaration of Mark 12 Hornstein regarding 13 U.S. Patent No. 7,498,749 14 Exhibit 2008 Five pages of second 27 15 declaration of Mark 16 Hornstein regarding 17 U.S. Patent No. 7,329,970 18 19 20 21 22

Page 4 1 2 MARK N. HORENSTEIN, Ph.D., after 3 having been duly sworn, was examined and testified as 4 follows: 5 6 BY MR. KELBER: 7 Good morning, Dr. Hornstein. Ο 8 А Good morning. 9 Is it Hornstein? Do I have that right? 0 10 А Yes. 11 You may recall the last deposition in this series of 0 12 interparty reviews. But just to reflect the ground rules. 13 My job is to ask you questions that are sufficiently clear 14 and precise that you can formulate an answer to them, and 15 your job is to provide an oral response to those questions as complete and proper as you can. Is that satisfactory? 16 17 Yes. Α 18 If you need a break for any reason, at any time, as Q 19 long as there is not a question outstanding, just say let's 20 take a break. You don't need to be in more detail than that. It is not supposed to be an endurance contest. And 21 22 the caution I would offer because there are documents

| | Page 5 |
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| 1 | involved is if you need to read from a document, read it |
| 2 | aloud and read more slowly than you would otherwise be |
| 3 | inclined to so the court reporter can get your words down. |
| 4 | We tend to read more quickly than we would ordinarily speak. |
| 5 | Is that okay? |
| 6 | A Just to make sure I understand what you're saying, if |
| 7 | you ask me to read a document, you want me to read it out |
| 8 | loud, or if you are saying if I am reading out loud, read |
| 9 | more slowly. |
| 10 | Q The latter. Thank you, sir. |
| 11 | And the last item on rules of |
| 12 | procedures is any time I hand you a document, whether I |
| 13 | direct you to a particular part of the document or just ask |
| 14 | you to consider it generally, feel free to read all of the |
| 15 | document or any part of that document. Okay? |
| 16 | A Okay. |
| 17 | Q Good enough? |
| 18 | MR. MURPHY: Counsel, before you get |
| 19 | going, I would just like to place an objection on the |
| 20 | record. My understanding is that counsel, Mr. Kelber, is |
| 21 | counsel of record in IPRs number 1148 and 1149, but is not |
| 22 | counsel of record in IPRs 1147, 1150 or 51. So Microsoft |
| | |

| | Page 6 |
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| 1 | objects to the use of this transcript in IPRs 1147, 50 and |
| 2 | 51 because counsel in those cases is not present. |
| 3 | MR. KELBER: I'm simply going to |
| 4 | respond. We note your objection. The last time we did this |
| 5 | deposition we shipped two people to California. We will |
| 6 | rely on whatever Dr. Hornstein has to say, wherever he has |
| 7 | sworn his offered sworn testimony or otherwise. But |
| 8 | understood your objection. |
| 9 | BY MR. KELBER: |
| 10 | Q Dr. Hornstein, have you ever been an expert in an |
| 11 | interparties proceeding prior to having been retained in |
| 12 | connection with these five proceedings? |
| 13 | A Yes. |
| 14 | Q What was the nature of those earlier retentions? |
| 15 | A You mean what was the subject matter of the IPRs? |
| 16 | Q No. I'm sorry. Were they IPRs? |
| 17 | A I thought that's what your question was. |
| 18 | Q Well, any kind of interparty proceeding? Litigation? |
| 19 | IPRs? Re-examination? What types of practices were you |
| 20 | involved in? |
| 21 | A Are you talking about litigations in civil court as |
| 22 | well? |
| | |

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| 1 | Q Yes, sir. |
| 2 | A Most of them relate to intellectual property. A |
| 3 | couple of them relate to personal injury. |
| 4 | Q When you served as an expert witness, was it your |
| 5 | custom to prepare either an expert report or a declaration |
| 6 | reflecting your opinions? |
| 7 | A Yes. |
| 8 | Q In those prior procedures, did your report or |
| 9 | declaration conclude with what lawyers like to refer to as |
| 10 | jurat, a paragraph or two, reflecting your statement that |
| 11 | what you were telling was either the truth or what you |
| 12 | believed to be true? |
| 13 | A I don't remember one way or the other if there was |
| 14 | such a passage. It would generally have been appended to my |
| 15 | report or declaration by the attorneys. |
| 16 | Q If it was so appended by the attorneys, would you read |
| 17 | it? |
| 18 | A Of course. |
| 19 | Q Do you make an effort to understand it? |
| 20 | A Yes. |
| 21 | Q Did you ever testify in a proceeding, either civil |
| 22 | litigation or a hearing, before the board or something like |
| | |

| | | Page 8 |
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| 1 | that? | |
| 2 | A | I never testified at all civil litigation or before |
| 3 | the b | oard. |
| 4 | Q | Okay. |
| 5 | A | That's your question? |
| 6 | Q | Yes. |
| 7 | A | Yes, I have. |
| 8 | Q | You have. Okay. When you testified, were you sworn |
| 9 | in? | |
| 10 | A | Generally, yes. |
| 11 | Q | Do you understand why you were sworn in? |
| 12 | A | I assume it's part of court procedure. I never really |
| 13 | thoug | ht about it. I verify what I'm going to say is |
| 14 | truth | ful. I assume that's the purpose of it. |
| 15 | Q | And when you were sworn in by the reporter this |
| 16 | morni | ng, did you think about what you were saying? |
| 17 | A | Of course. |
| 18 | | MR. MURPHY: Objection. Lack of |
| 19 | found | ation. |
| 20 | BY MR | . KELBER: |
| 21 | Q | I apologize, Doctor. Let me just take a minute and |
| 22 | see i | f I can find the right exhibit. So I apologize for |

| | Page 9 |
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| 1 | that delay. I will show you a document that's been |
| 2 | previously marked in IPR 01147 as Exhibit 1018 and ask you |
| 3 | if you recall preparing that document? |
| 4 | MR. MURPHY: I object to the |
| 5 | introduction of this exhibit for the reasons I stated at the |
| 6 | beginning of the deposition. |
| 7 | MR. KELBER: While you review, I got |
| 8 | to ask you, what reasons do you have for objecting to the |
| 9 | exhibit, wherever it is used? |
| 10 | MR. MURPHY: This declaration |
| 11 | pertains to IPR number 726, so I object to the relevance to |
| 12 | this deposition. |
| 13 | MR. KELBER: Noted. |
| 14 | BY MR. KELBER: |
| 15 | Q Did you have an opportunity to review that document, |
| 16 | sir? |
| 17 | A I have. |
| 18 | Q I'm going to hand you yet another document. |
| 19 | Depositions are like that. This one is marked Exhibit 1019 |
| 20 | in IPR 2015-01148. What I would like to ask you to do is to |
| 21 | review the two documents that are before you. Have you had |
| 22 | a chance to review those two documents, Exhibit 1018 in |
| | |

| | | Page 10 |
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| 1 | proce | eding IPR 2015-001147 and Exhibit 1019 in IPR |
| 2 | 2015- | 01148? |
| 3 | A | Yes. |
| 4 | Q | Other than the caption, are they substantially |
| 5 | ident | ical, sir? |
| 6 | A | No. |
| 7 | Q | In what way are they different? |
| 8 | A | Exhibit 1018 has a paragraph that does not appear in |
| 9 | Exhib | oit 1019. |
| 10 | Q | And which paragraph is that, sir? |
| 11 | A | It would be paragraph number seven in Exhibit 1018. |
| 12 | Q | Great. Now, did you prepare both the documents that |
| 13 | are b | pefore you? |
| 14 | A | Yes. |
| 15 | Q | Did you sign both of them? |
| 16 | A | This is my signature on page three of both documents. |
| 17 | Q | Is that your signature or the affixation of an |
| 18 | elect | ronic text representation? |
| 19 | A | I'm not sure I understand your question. |
| 20 | Q | Did you physically sign it, or did you have appended |
| 21 | to th | le document an electronic signature, a text or graphic |
| 22 | refle | ecting a stored signature? |
| | | |

| | Page 11 |
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| 1 | A I'm not sure I understand your question. |
| 2 | Q Did you sign it with a pen? |
| 3 | A I signed this signature with a pen, and then in order |
| 4 | to facilitate transmitting it electronically to the |
| 5 | attorneys from Microsoft, I took a facsimile of that |
| 6 | signature and put it on the page, but I did the action of |
| 7 | imprinting this signature facsimile on page three of each of |
| 8 | these documents. |
| 9 | MR. KELBER: Can I hear that answer |
| 10 | back, please? |
| 11 | (The Court Reporter read back the |
| 12 | last answer.) |
| 13 | BY MR. KELBER: |
| 14 | Q How did you take the facsimile of your signature? |
| 15 | A With my cell phone. |
| 16 | Q So, if I understand you correctly, you took a photo of |
| 17 | your signature placed somewhere with your cell phone and |
| 18 | then applied that image to the bottom of the page that is |
| 19 | Exhibit 1019; is that accurate? |
| 20 | A That would be that would be a pretty good |
| 21 | representation of what happened. |
| 22 | Q Is there more? I know, it is not a story, but is |
| | |

| | Page 12 |
|----|---|
| 1 | there more to the way your signature became affixed to the |
| 2 | end of let's just take Exhibit 1019, beyond that? |
| 3 | MR. MURPHY: Object to form. |
| 4 | THE WITNESS: I have no idea what |
| 5 | you're talking about. In my view, I signed these documents |
| 6 | and then transmitted them. |
| 7 | BY MR. KELBER: |
| 8 | Q I understand your view, sir. |
| 9 | A That's my view. |
| 10 | Q But your view is not the same as the question. Your |
| 11 | view is not responsive to the question I asked. |
| 12 | A You asked me if there is some other story. There is |
| 13 | no other story. |
| 14 | Q What I asked you, and you said that was an accurate |
| 15 | representation, what I was asking you is there any |
| 16 | additional information I should have included in my |
| 17 | description of the events that went forward so that your |
| 18 | signature was affixed to the bottom of these pages? |
| 19 | MR. MURPHY: Object to form. |
| 20 | THE WITNESS: You are asking me if |
| 21 | there are any other questions, so I say to you, do you have |
| 22 | any other questions? |
| | |

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| 1 | BY MR | . KELBER: |
| 2 | Q | Well, I do have another question. |
| 3 | A | Okay. |
| 4 | Q | Your signature on Exhibit 1019 of IPR 201501148 and |
| 5 | IPR 20 | 0151147, Exhibit 1018 look remarkably similar. |
| 6 | A | Okay. |
| 7 | Q | Did you take a I will use your term your |
| 8 | words | did you prepare a different facsimile for each |
| 9 | decla | ration and affix your signature one by one, or did you |
| 10 | prepa | re one facsimile of your signature and apply that same |
| 11 | facsin | mile to each of your declarations in these five IPRs? |
| 12 | | MR. MURPHY: Objection. Foundation. |
| 13 | | THE WITNESS: I don't recall. It |
| 14 | could | have been a mixture. |
| 15 | BY MR | . KELBER: |
| 16 | Q | Let's see if we can refresh your testimony refresh |
| 17 | your : | recollection. Sorry. |
| 18 | | MR. KELBER: I'm going to ask the |
| 19 | report | ter to mark a new document in IPR 201501148 as Exhibit |
| 20 | 2007. | |
| 21 | | (Four pages of second declaration of |
| 22 | Mark 1 | Hornstein regarding U.S. Patent No. 7,498,749 marked |
| | | |

| | | Page 14 |
|----|-------|--|
| 1 | Exhib | it 2007, for identification.) |
| 2 | BY MR | . KELBER: |
| 3 | Q | Have you seen Exhibit 2007 before, Dr. Hornstein? |
| 4 | A | Yes. |
| 5 | Q | And that has your signature affixed to the last page |
| 6 | as we | ll, doesn't it? |
| 7 | А | That's correct. |
| 8 | Q | And it looks remarkably similar to the signature that |
| 9 | you a | pplied to the exhibit we had been discussing |
| 10 | previ | ously, Exhibit 1019; correct? |
| 11 | A | Yes, sir. |
| 12 | Q | It was, in fact, applied with the exact same facsimile |
| 13 | that | you prepared in connection with Exhibit 1019 in IPR |
| 14 | 2015- | 01148, wasn't it? |
| 15 | А | No. |
| 16 | Q | You made a fresh one? |
| 17 | А | No. |
| 18 | Q | How did it come to be signed, sir, if that's Exhibit |
| 19 | 2007? | |
| 20 | А | Exhibit 2007 was signed by a pen. |
| 21 | Q | Why sign one 2007 with a pen and the previous one with |
| 22 | a fac | simile signature? |
| | | |

| | Page 15 |
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| 1 | A Very simple. The one that was signed with a facsimile |
| 2 | of my signature I signed back in Boston and sent them to the |
| 3 | attorneys by e-mail attachment. Whereas, this document I |
| 4 | signed on the physical page here. |
| 5 | Q You signed them in Philadelphia? |
| 6 | A That's correct. |
| 7 | Q And handed them. When was the facsimile that you |
| 8 | affixed to Exhibit 1019 prepared? |
| 9 | A Well, whenever I signed it. The date that it |
| 10 | indicated. |
| 11 | Q Are you sure? |
| 12 | A That or thereabouts. I usually am I usually |
| 13 | sign I usually add the date to the signature, add the |
| 14 | date on the day I impress the facsimile signature. |
| 15 | Q What do you do when you are not doing it in your usual |
| 16 | fashion? |
| 17 | A I don't understand the question. |
| 18 | Q You said you you are not sure you said. You |
| 19 | usually do it in the fashion you recited. What do you do at |
| 20 | other times? |
| 21 | A If there is already a date on the document, then I |
| 22 | might not change it. |
| | |

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| 1 | Q Who affixed the date on the document that, for |
| 2 | instance, is before you as Exhibit 1019 in IPR 2015-01148? |
| 3 | A I don't recall. |
| 4 | Q You don't recall. And yet you prepared the rest of |
| 5 | that document, did you not? |
| 6 | A That's right. |
| 7 | Q In fact, the attorney that provided you this document |
| 8 | that is Exhibit 1019 in IPR 2015-01148 affixed or provided |
| 9 | or inserted or somehow typed that date, didn't he? |
| 10 | A I don't recall. It could had been me. It could had |
| 11 | already been on the most recent draft of the document that |
| 12 | we had been working with. I don't recall. |
| 13 | Q Is it possible that you affixed your facsimile of your |
| 14 | signature prior to the date that's reflected on that |
| 15 | document? |
| 16 | A I would highly doubt it. |
| 17 | Q Why? |
| 18 | A Because I'm always aware that a date has to accompany |
| 19 | the signature. |
| 20 | Q Why is that important? |
| 21 | A I don't know, but any time you sign any document that |
| 22 | has any legal bearing in life, it needs a date, so I assume |
| | |

| | Page 17 |
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| 1 | this document needs a date. I don't really know. |
| 2 | Q Why was the final paragraph in Exhibit 2017 |
| 3 | sorry 2007 added beyond the text of the corresponding |
| 4 | document which is Exhibit 1019 in IPR 2015-0001148? |
| 5 | A The way it was presented to me after the original |
| 6 | version without I assume you are talking about paragraph |
| 7 | eight, declaration of information I believe are true the |
| 8 | way it was presented to me was that that last paragraph |
| 9 | needed to be on the document for legal reasons and, |
| 10 | therefore, this particular declaration had to be redone with |
| 11 | that paragraph added. |
| 12 | Q Do you know what legal reasons there were? |
| 13 | MR. MURPHY: Objection. Calls for |
| 14 | legal conclusion. |
| 15 | BY MR. KELBER: |
| 16 | Q I'm not asking you for any conclusion, sir. You said |
| 17 | that you were told it was provided for legal reasons. To |
| 18 | the best of your knowledge, what are those legal reasons? |
| 19 | MR. MURPHY: Same objection. |
| 20 | THE WITNESS: Well, it would certify |
| 21 | that I declare that all statements made herein of my own |
| 22 | knowledge are true and that all statements made upon |
| | |

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| 1 | information and belief are true and further that the |
| 2 | statements were made with the knowledge that willful false |
| 3 | statements and the like so made are punishable by fine or |
| 4 | imprisonment or both under Section 1101 of Title 18 of the |
| 5 | United States Code. |
| 6 | BY MR. KELBER: |
| 7 | Q Was that not true of your earlier declaration? |
| 8 | A Of course, it was true. I wouldn't sign a document |
| 9 | that I didn't testify before or stand behind. |
| 10 | Q Let me ask you to read just you don't have to read |
| 11 | it aloud. You did a fine job of that. But read that first |
| 12 | clause. It's a single sentence that you read, but there is |
| 13 | a semicolon. Do you see that? |
| 14 | A No. |
| 15 | Q Paragraph eight after the word true. |
| 16 | A There is a semicolon after true. |
| 17 | Q So just read from the beginning of that paragraph to |
| 18 | the semicolon, please, and let me know when your done. |
| 19 | A Okay. |
| 20 | Q Does that clause make any sense to you? |
| 21 | MR. MURPHY: Object to form. |
| 22 | THE WITNESS: The clause says that I |
| | |

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| 1 | am declaring that all statements made herein of my own |
| 2 | knowledge are true and that all statements made on |
| 3 | information and belief are true. That's what it says. |
| 4 | BY MR. KELBER: |
| 5 | Q If you made those statements on information and |
| 6 | belief, information and belief, not your own knowledge, how |
| 7 | on earth can you testify that they are true? |
| 8 | MR. MURPHY: Object to foundation. |
| 9 | Calls for legal conclusion. Form. |
| 10 | BY MR. KELBER: |
| 11 | Q How on earth can you do that, sir? |
| 12 | MR. MURPHY: Objection. |
| 13 | THE WITNESS: I don't understand |
| 14 | your question. |
| 15 | BY MR. KELBER: |
| 16 | Q Well, understand that you swear that the statements |
| 17 | made of your own knowledge in this Exhibit 01148. Sorry. |
| 18 | My apologies. Exhibit 2007 in IPR 2015-01148. The |
| 19 | statements made of your own knowledge are true. But how on |
| 20 | earth can you warrant the statements that you're making on |
| 21 | information are true? |
| 22 | MR. MURPHY: Same objections. |
| | |

Page 20 1 THE WITNESS: I don't understand 2 your question. 3 BY MR. KELBER: 4 Well, you hear something on the internet. That's information. Can you warrant that it's true? 5 6 MR. MURPHY: Objection. Form and 7 lack of foundation. 8 THE WITNESS: But I didn't quote any 9 statement on the internet in this declaration. 10 BY MR. KELBER: 11 Did you make any statements in this declaration that 0 12 were made not of your only personal knowledge, but on the 13 basis of information? 14 MR. MURPHY: Object to form. 15 BY MR. KELBER: Do you not understand that question, sir, because your 16 0 17 attorney has trouble with it. So I'm going to ask it again. 18 You say in paragraph eight of your declaration that all 19 statements made in the declaration of your own knowledge are true and that all statements made on information and belief 20 are true. Are there any statements in Exhibit 1007 of 21 22 IPR -- I apologize -- Exhibit 2007 of IPR 2015-01148 that

| | Page 21 |
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| 1 | are made on information and belief? |
| 2 | MR. MURPHY: Same objections. |
| 3 | THE WITNESS: You have to tell me |
| 4 | what you mean by information and belief. |
| 5 | BY MR. KELBER: |
| 6 | Q Whatever you meant, sir, when you signed, according to |
| 7 | your testimony, Exhibit 2007 on June 9, 2016? |
| 8 | A Well, any statements in the declaration that are made |
| 9 | on information and belief I stand behind. That's what that |
| 10 | means. |
| 11 | Q What does it mean to stand behind? |
| 12 | A Means I didn't make any false statements. I didn't |
| 13 | lie, and I didn't commit perjury in declaring this document. |
| 14 | As far as I'm concerned, that's what that statement says. |
| 15 | Q Well, what's the difference between your declaration, |
| 16 | a statement made of your own knowledge and one made on |
| 17 | information and belief? |
| 18 | MR. MURPHY: Same objections. |
| 19 | THE WITNESS: Well, the best I can |
| 20 | answer your question is I made statements based on my own |
| 21 | knowledge and opinion, and I made statements based on |
| 22 | documents prepared by others. For example, patent owners' |
| | |

| | Page 22 | |
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| 1 | response, Dr. Morley's declaration and his deposition. So | |
| 2 | to the extent that information in those documents does not | |
| 3 | reflect my own opinion or I can't testify that those | |
| 4 | documents were prepared truthfully, I have to I have to | |
| 5 | assume that the information in those documents is true in | |
| 6 | making my own declaration. | |
| 7 | BY MR. KELBER: | |
| 8 | Q You refer to statements and opinions. Is there a | |
| 9 | difference between the two? | |
| 10 | MR. MURPHY: Object to form. | |
| 11 | Foundation. | |
| 12 | THE WITNESS: Don't know. | |
| 13 | BY MR. KELBER: | |
| 14 | Q You don't know. If you used the word, sir, who would | |
| 15 | know? | |
| 16 | MR. MURPHY: Same objection. | |
| 17 | THE WITNESS: You have to expand | |
| 18 | your question. I don't understand it. | |
| 19 | BY MR. KELBER: | |
| 20 | Q Let me try it again from the top because perhaps I | |
| 21 | misheard you. | |
| 22 | A Okay. | |
| | | |

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| 1 | Q Are there statements made in this Exhibit 2007 that | |
| 2 | were made of your own knowledge, not your opinion, just your | |
| 3 | own knowledge? | |
| 4 | A Some of the statements are opinions and some are | |
| 5 | knowledge, and I would have to go through each statement one | |
| 6 | by one to identify them. | |
| 7 | Q Could you identify one of each for me, please? | |
| 8 | A Let's start at the beginning. My name is Mark | |
| 9 | Hornstein. That's knowledge. I'm the same Mark Hornstein | |
| 10 | who signed and submitted a declaration on May 12, 2015. | |
| 11 | That's knowledge. I reviewed patent owners' response and | |
| 12 | related exhibits, deposition transcript. That's knowledge. | |
| 13 | And then an opinion is nothing expressed in any of these | |
| 14 | documents changes my opinion. | |
| 15 | Q Thank you. Appreciate that. Now, you said you signed | |
| 16 | and submitted a declaration on May 12, 2015, and that was | |
| 17 | knowledge. But, in fact, you did not sign that. You | |
| 18 | affixed a facsimile; is that correct? | |
| 19 | A That's signing. | |
| 20 | MR. MURPHY: Excuse me, Dr. | |
| 21 | Hornstein. Please let me assert my objections. Objection | |
| 22 | to form and foundation. And you can answer the question, if | |
| | | |

Page 24 1 you haven't. 2 MR. KELBER: He did answer. 3 THE WITNESS: That is signing it in 4 my -- in my view as a legal expert. I'm sorry. As a 5 technical expert that is signing it. BY MR. KELBER: 6 7 Could anybody equipped with the same cell phone that 0 you used have captured your signature on some document and 8 9 affixed it to Exhibit 2007? 10 MR. MURPHY: Objection. Form and 11 foundation. 12 THE WITNESS: No more so than a 13 person could forge my signature with a pen. Same situation. 14 BY MR. KELBER: 15 Same situation? 0 The fact is I signed these documents. 16 Α 17 Is that your legal opinion, sir? Q 18 No. Α 19 MR. MURPHY: Objection. 20 THE WITNESS: No. It's my opinion 21 as a technical expert. 22 BY MR. KELBER:

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| 1 | Q And what is the nature of your let's take it one at |
| 2 | a time. What's the nature of your education that leads you |
| 3 | to conclude that affixing an electronic text rather than |
| 4 | actually signing a document is for legal purposes signing |
| 5 | that document? |
| 6 | MR. MURPHY: Objection. Form. |
| 7 | Foundation. |
| 8 | THE WITNESS: You are asking for a |
| 9 | legal conclusion. |
| 10 | BY MR. KELBER: |
| 11 | Q No, sir. You said that's your opinion as a technical |
| 12 | expert. I'm asking you as a technical expert, what is the |
| 13 | background or education or other source of knowledge that |
| 14 | you're relying on for your technical opinion? |
| 15 | MR. MURPHY: Same objection. |
| 16 | THE WITNESS: Anyone can sign a PDF |
| 17 | document using an Adobe PDF signature and anyone can sign an |
| 18 | Adobe document with a facsimile signature. In my lengthy |
| 19 | experience in signing all sorts of things, that's a very |
| 20 | common practice. If it does not meet some very strict |
| 21 | narrow legal requirement, then I don't have an opinion about |
| 22 | that. My opinion is I sign these documents and attest to |

| | Page 26 | |
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| 1 | the fact that they are my true opinions. | |
| 2 | BY MR. KELBER: | |
| 3 | Q Ever sign a document before a notary? | |
| 4 | A Yes, sir. | |
| 5 | Q Do you know why there is a requirement for signing | |
| 6 | before a notary on some documents? | |
| 7 | A I would only be speculating. | |
| 8 | Q I don't ask you to speculate, sir, not outside of your | |
| 9 | technical expertise. But are you familiar with the | |
| 10 | notarization that says this person actually appeared before | |
| 11 | me? | |
| 12 | A Yes, sir. | |
| 13 | Q Now, you couldn't comply with that notarization and at | |
| 14 | the same time affix an electronic signature before or after | |
| 15 | the date on the document, could you? | |
| 16 | MR. MURPHY: Object to form, | |
| 17 | foundation. | |
| 18 | THE WITNESS: Ask that question | |
| 19 | again. | |
| 20 | BY MR. KELBER: | |
| 21 | Q Sure. If the notary, an independent certified notary, | |
| 22 | affixes the notary signature to a statement that you, Mark | |
| | | |

| | Page 27 | |
|----|--|--|
| 1 | Hornstein, physically appeared before the notary and signed | |
| 2 | it, that's a little bit different than affixing your | |
| 3 | signature via an electronic image, isn't it? | |
| 4 | MR. MURPHY: Same objections. | |
| 5 | THE WITNESS: That's true. | |
| 6 | BY MR. KELBER: | |
| 7 | Q Okay. Your attorney has some concerns about the form | |
| 8 | and foundation. I want to address those. Is that last | |
| 9 | question I asked you unclear? | |
| 10 | A You mean signing before a notary is different from | |
| 11 | affixing an electronic facsimile? | |
| 12 | Q Yes, sir. | |
| 13 | A That question is clear. | |
| 14 | Q And you had indicated that you are familiar with both | |
| 15 | the process of affixing an electronic signature in to a | |
| 16 | document and signing before a notary; correct? | |
| 17 | A That's correct. | |
| 18 | Q Because we have multiple documents in multiple | |
| 19 | proceedings, and there is an objection to relying on one for | |
| 20 | all, I'm going to ask the reporter to mark Exhibit 2008. | |
| 21 | (Five pages of second declaration of | |
| 22 | Mark Hornstein regarding U.S. Patent No. 7,329,970 marked | |

| | Page 28 | |
|----|--|--|
| 1 | Exhibit 2008, for identification.) | |
| 2 | BY MR. KELBER: | |
| 3 | Q I'm handing you Exhibit 2008. Now, I want to make it | |
| 4 | clear that 2008 bears the caption IPR 2015-01149 and | |
| 5 | references U.S. patent 7329970, which is not true of Exhibit | |
| 6 | 2007. So I don't want to make it appear that they are in | |
| 7 | somehow some kind of sequential order. But you've seen | |
| 8 | Exhibit 2008, as well as 2007; correct? | |
| 9 | A Yes. | |
| 10 | Q And you signed 2008 as well; correct? | |
| 11 | A Yes. | |
| 12 | Q And that signature was affixed in counsel's office; | |
| 13 | correct? | |
| 14 | A Actually I think it was not, and I'm not sure that the | |
| 15 | signature on 2007 was affixed in counsel's office either. | |
| 16 | I'm thinking back and I think they were both also affixed as | |
| 17 | facsimile signatures. | |
| 18 | Q Okay. So I just want to be clear. The signatures | |
| 19 | that appear at the end of 2007 and 2008 were prepared and | |
| 20 | affixed to the end of the documents in the same fashion that | |
| 21 | you explained earlier with respect to Exhibit 1019 in IPR | |
| 22 | 2015-01148; correct? | |
| | | |

| | | Page 29 |
|----|--|---|
| 1 | А | Well, that's correct. |
| 2 | Q | Did something jog your memory to suggest to you that |
| 3 | they | weren't signed by hand, but rather reflected an |
| 4 | affix | ation of an electronic text? |
| 5 | A | What do you mean by affectation? |
| 6 | Q | I'm sorry. I mean to say affixation? |
| 7 | A | Affixation. |
| 8 | Q | They were put on the document by electronic text? |
| 9 | A | That's correct. Something did. |
| 10 | Q | Can you tell me what that was? |
| 11 | A | Yes. I heard from the attorneys from Microsoft on or |
| 12 | befor | e June 9th that they realized that this last disclaimer |
| 13 | parag | graph beginning with "I declare" and ending in "United |
| 14 | State | es Code" should have been appended to these documents, |
| 15 | and w | yould I sign revised versions with that appendix, that |
| 16 | extra | paragraph appended, and so I did that also by e-mail. |
| 17 | Q Without telling me what it was, was there a discussion | |
| 18 | betwe | en you and the attorneys at Microsoft or for Microsoft |
| 19 | as to | the meaning or substance of that additional paragraph? |
| 20 | | MR. MURPHY: Objection on the |
| 21 | groun | ds of privilege, but I believe that's a yes-or-no |
| 22 | quest | ion which you may answer. |

Page 30 1 THE WITNESS: Could you read his 2 question back? 3 (The Court Reporter read back the 4 last question.) 5 THE WITNESS: Yes. 6 BY MR. KELBER: 7 Did that discussion help you to understand what is 0 meant by all statements made on information and belief are 8 9 true? 10 MR. MURPHY: Objection to privilege. 11 Yes-or-no question or a yes-or-no answer is acceptable. 12 THE WITNESS: No. 13 BY MR. KELBER: 14 In fact, you did not discuss that aspect of your 0 15 appended declaration paragraph at all with the Microsoft counsel, did you? 16 17 MR. MURPHY: Same objection. Asked 18 and answered. Yes or no is acceptable. 19 THE WITNESS: I'm sorry. What do 20 you mean at all? 21 BY MR. KELBER: 22 Ο You didn't talk about it with them?

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| 1 | A I'm sorry. What's it? |
| 2 | Q The meaning let me be more expansive. The meaning |
| 3 | of that first clause of paragraph ten of Exhibit 2008? |
| 4 | MR. MURPHY: Objection. Because |
| 5 | there is a privilege issue at stake, I just want to make |
| 6 | sure it is clear what the question is so I know how to make |
| 7 | my objection. If you would like the reporter to read it |
| 8 | back, that's fine, or rephrase it. |
| 9 | THE WITNESS: I am unclear on the |
| 10 | question. |
| 11 | BY MR. KELBER: |
| 12 | Q I'll rephrase. I'm going to read the statement that |
| 13 | I'm most concerned about, and then I will ask you a question |
| 14 | about it. Fair enough? |
| 15 | A Okay. |
| 16 | Q Paragraph ten, first clause reads, I declare that all |
| 17 | statements made herein of my own knowledge are true, and |
| 18 | that all statements made on information and belief are true. |
| 19 | Did you have a discussion with the Microsoft counsel as to |
| 20 | the meaning of that clause? |
| 21 | MR. MURPHY: Objection. Privilege. |
| 22 | Yes-or-no answer only, please. |
| | |

| | Page 32 |
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| 1 | THE WITNESS: I'm not sure. |
| 2 | BY MR. KELBER: |
| 3 | Q Are you not sure because you're uncertain whether you |
| 4 | can answer, or are you not sure because your memory is not |
| 5 | precise on that? |
| 6 | A None of the above. |
| 7 | Q Can you describe for me without revealing any |
| 8 | discussion with counsel at this point in time why you are |
| 9 | unsure? |
| 10 | MR. MURPHY: Privilege objection. |
| 11 | THE WITNESS: I've seen this clause |
| 12 | previous to these IPR proceedings and other expert reports. |
| 13 | So was my understanding that it was paragraph I had seen |
| 14 | before and as to whether the attorneys and I talked about it |
| 15 | in detail, I don't recall. |
| 16 | BY MR. KELBER: |
| 17 | Q And you don't recall sorry. Strike that. |
| 18 | You don't recall whether you had a |
| 19 | discussion with the attorneys with respect to that statement |
| 20 | that appears on a declaration dated June 9, 2016; is that |
| 21 | correct? |
| 22 | A Sorry. |
| | |

| | | Page 33 | |
|----|--|---|--|
| 1 | Q | You don't recall whether you had a discussion with | |
| 2 | Micro | soft's counsel regarding that first clause that I read | |
| 3 | aloud | in paragraph ten as it appears in your declaration | |
| 4 | dated | June 9, 2016? | |
| 5 | A | It is not the same question you asked before. | |
| 6 | Q | I'm asking that question now. | |
| 7 | A | So your question is: Did we have a discussion about | |
| 8 | that | clause? That's your question? | |
| 9 | Q | Let's go back and reconstruct because, yes, I asked | |
| 10 | that | question and I thought your response was you don't | |
| 11 | recal | 1? | |
| 12 | A | You asked a different question just now than you did | |
| 13 | befor | e. | |
| 14 | Q | I did, Doctor. Let me start over. | |
| 15 | A | Perhaps I misunderstood the first and second question. | |
| 16 | Q | Well, I don't want any misunderstandings. | |
| 17 | A | Okay. | |
| 18 | Q | That first clause that reads, I declare that all | |
| 19 | statements made herein of my own knowledge are true, and | | |
| 20 | that | all statements made on information and belief are true, | |
| 21 | that' | s the clause I'm talking about in this question. Okay? | |
| 22 | A | Okay. | |
| | | | |

Page 34 1 Did you have a discussion with counsel for Microsoft Ο 2 as to the meaning of that statement in connection with your 3 execution of documents 2007 and 2008? 4 MR. MURPHY: Objection. Privilege. 5 Yes-or-no answer, please. 6 THE WITNESS: I'll say yes. 7 BY MR. KELBER: 8 So your answer is yes. Do you recall who you spoke Q 9 with? 10 MR. MURPHY: Objection. Privilege. 11 Yes-or-no answer, please. 12 MR. KELBER: Whoa. Whoa. Whoa. 13 Let's back up for a second, counsel. The identity of the 14 counsel that he might have spoken with is privileged? 15 MR. MURPHY: Well, your question was if he remembered the identity, and I am just lodging the 16 17 objection and permitting him to answer. 18 MR. KELBER: Okay. 19 BY MR. KELBER: 20 Let me make my question clear. 0 21 Okay. А 22 Q Do you remember the name of the counsel that you

Page 35 discussed the meaning of that first sentence of paragraph 1 2 ten that appears on your declaration dated June 9, 2016, in 3 Exhibits 2007 and 2008? 4 MR. MURPHY: Same objection. 5 THE WITNESS: Yes. 6 BY MR. KELBER: 7 Who was this counsel? 8 MR. MURPHY: Same objection. Give 9 the name, if you remember. 10 THE WITNESS: Ms. Dukmen. 11 BY MR. KELBER: 12 Q What did you ask her? 13 MR. MURPHY: Objection. Privileged. 14 The substance of the conversation they had is definitely 15 protected by attorney-client privilege or work product protection. I'm not going to let you go in to the substance 16 17 of the conversation as far as what words were actually said. 18 MR. KELBER: Are you directing the 19 witness not to answer? 20 MR. MURPHY: Let me hear the question again before you answer that. Would you mind 21 22 reading the question back?
Page 36 1 (The Court Reporter read back the 2 last question.) 3 MR. MURPHY: Yes. I'm directing him 4 not to answer that. 5 BY MR. KELBER: 6 Are you going to follow counsel's direction? Q 7 Α Yes. 8 Q You have seen this so many times before. You said so 9 yourself, that clause. What could you possibly have to ask? 10 MR. MURPHY: Objection to form. 11 THE WITNESS: My understanding is 12 any conversations I had with counsel are privileged work 13 product. 14 BY MR. KELBER: 15 That's not what I asked you, sir. 0 That's what I'm answering. 16 А 17 Your understanding is incorrect, as a matter of law. Q 18 But you're not here as a legal expert, are you? 19 А Well, you are asking me a legal question, so 20 apparently you are asking me to be a legal expert. 21 You changed your declaration in IPR 2015-01148 and IPR Q 22 2015-01149; right?

| | Page 37 |
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| 1 | MR. MURPHY: Objection. Foundation. |
| 2 | THE WITNESS: Correct. |
| 3 | BY MR. KELBER: |
| 4 | Q And we know that that is true because we can compare |
| 5 | Exhibits 2007 and 2008 with Exhibit 1019 and Exhibit 1020; |
| 6 | right? |
| 7 | MR. MURPHY: Same objection. |
| 8 | THE WITNESS: That's correct. |
| 9 | BY MR. KELBER: |
| 10 | Q So why did you change it? The declarations? |
| 11 | A I was informed that this to me what appears to be a |
| 12 | standard boiler plate paragraph, that it had to be appended |
| 13 | because it had not been put on the original declaration. |
| 14 | Q I'm sorry, sir, the very last part of what you said? |
| 15 | THE WITNESS: Could you read back my |
| 16 | answer to him? |
| 17 | (The Court Reporter read back the |
| 18 | last answer.) |
| 19 | BY MR. KELBER: |
| 20 | Q You made the determination that the first clause of |
| 21 | the last paragraph of each of these two declarations dated |
| 22 | June 9, 2016, is boiler plate; is that correct? |
| | |

| | Page 38 |
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| 1 | MR. MURPHY: Objection. Foundation. |
| 2 | THE WITNESS: I said it appears to |
| 3 | me to be boiler plate. |
| 4 | BY MR. KELBER: |
| 5 | Q And you acted in accordance with your understanding; |
| 6 | correct? You just signed it? |
| 7 | MR. MURPHY: Object to form. |
| 8 | THE WITNESS: Sorry. My |
| 9 | understanding of what? |
| 10 | BY MR. KELBER: |
| 11 | Q That it appeared to be boiler plate? |
| 12 | A That's not what I said. |
| 13 | Q You said that it appeared to you to be boiler plate; |
| 14 | correct? |
| 15 | MR. MURPHY: Object to foundation. |
| 16 | THE WITNESS: That is what I said. |
| 17 | BY MR. KELBER: |
| 18 | Q Is that your opinion? |
| 19 | MR. MURPHY: Object to form. |
| 20 | THE WITNESS: My opinion is that I |
| 21 | have seen this disclaimer clause before, particular |
| 22 | reference to Section 1011 of Title 18, et cetera. |
| | |

| | Page 39 |
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| 1 | BY MR. KELBER: |
| 2 | Q You have seen this exact clause before? |
| 3 | A I don't recall if it was the exact clause. |
| 4 | Q In fact, Doctor, this clause that I have been asking |
| 5 | you about, that very first portion to this semicolon, you |
| 6 | have never seen before in your life, have you? |
| 7 | MR. MURPHY: Object to form. |
| 8 | THE WITNESS: Well, I would not be |
| 9 | able to answer that, Counselor. |
| 10 | BY MR. KELBER: |
| 11 | Q Okay. Why is that? |
| 12 | A Because I don't recall. If you want to produce all |
| 13 | the expert reports I have ever written, I could answer your |
| 14 | question. |
| 15 | Q You really want to do that, or did you pay attention |
| 16 | and look and know that? |
| 17 | MR. MURPHY: Objection. Form and |
| 18 | foundation. |
| 19 | BY MR. KELBER: |
| 20 | Q You have never seen a clause that concludes all |
| 21 | statements made on information and belief are true, have |
| 22 | you? |
| | |

Page 40 1 MR. MURPHY: Object to form. 2 BY MR. KELBER: 3 Never ever in your life? 0 4 MR. MURPHY: Object to form. There 5 are four questions on the record now. 6 BY MR. KELBER: 7 You can answer that one? 8 А Well, counsel informed me there is four questions. 9 Maybe we can take them one by one. 10 You have never ever seen ever in your life a statement 0 11 that concludes all statements made on information and belief 12 are true in the same fashion that the statements appear in Exhibits 2008 and 20007, have you? 13 14 I cannot say that I have not. А 15 Can you say that you have seen that formulation 0 before? 16 17 I simply don't recall one way or the other. I may А 18 have. I may have not. 19 When you see a statement in a declaration that you are 0 20 being asked to sign that you are uncertain as to whether you have seen before, do you ask -- is it your practice to ask 21 22 questions about such a statement?

| | | Page 41 |
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| 1 | A | Sometimes. |
| 2 | Q | Sometimes not; is that correct? |
| 3 | A | Right. |
| 4 | Q | I'm sorry? |
| 5 | A | Yes. |
| 6 | Q | And your execution of Exhibits 2007 and 2008 on |
| 7 | June 9 |), 2016, was a time when you did not ask about the |
| 8 | conter | t of that additional paragraph; correct? |
| 9 | | MR. MURPHY: Object to form. |
| 10 | | THE WITNESS: That's not true. |
| 11 | BY MR. | KELBER: |
| 12 | Q | What did you ask? |
| 13 | A | I was told not to reveal conversations with attorneys. |
| 14 | Q | What did you ask, sir? |
| 15 | | MR. MURPHY: Objection on the |
| 16 | ground | ds of privilege and work product. |
| 17 | | Dr. Hornstein, please don't relate |
| 18 | the su | ubstance of the conversations you had with counsel. |
| 19 | | MR. KELBER: Are you now asserting |
| 20 | that t | the question Dr. Hornstein asked his counsel is |
| 21 | privil | .eged? |
| 22 | | MR. MURPHY: It is either privileged |

Microsoft Corporation and Microsoft Mobile, Inc. v. Global Touch Solutions, LLC.

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| 1 | or it is work product, but I'm not going to let him testify |
| 2 | as to questions that he asked counsel about that paragraph. |
| 3 | MR. KELBER: Really? Okay. Note |
| 4 | our objection. |
| 5 | BY MR. KELBER: |
| 6 | Q What's the difference between if all statements |
| 7 | strike that. |
| 8 | If you are in a position to warrant |
| 9 | that all statements made in Exhibit 2007 and 2008 are true |
| 10 | that are made of your own knowledge, and all statements made |
| 11 | on information and belief are also similarly true, what's |
| 12 | the difference between those two statements? |
| 13 | MR. MURPHY: Object to form and |
| 14 | foundation. |
| 15 | BY MR. KELBER: |
| 16 | Q Let me try and clean that up. I apologize for my lack |
| 17 | of clarity. |
| 18 | If you are in a position to warrant |
| 19 | that all statements made of your own knowledge are true, and |
| 20 | that all statements made on information and belief are true, |
| 21 | how would someone reading your declaration distinguish |
| 22 | between the truth of one or the other? |
| | |

Page 43 1 MR. MURPHY: And the objection is to 2 form and foundation. 3 THE WITNESS: I think we have been 4 through this before. Some of the statements in these declarations are my opinions and others are statements based 5 6 on what others have said. BY MR. KELBER: 7 8 And you know that the statement that you assert based Q 9 on what others have said are true? 10 MR. MURPHY: Same objections. 11 THE WITNESS: What I am saying is to 12 the best of my knowledge what I have put in to the 13 declaration is true. That's what I'm saying. 14 BY MR. KELBER: 15 Doesn't say to the best of your knowledge, does it? 0 Ι mean, it's straight out there. This is true; isn't that 16 17 correct? 18 I'm not sure I understand your question. А 19 0 Well, I'm no legal expert, sir, not often anyway. But 20 you said what you're saying is, to the best of my knowledge these things are true. Isn't that what you just told me? 21 22 Α That's what an opinion is.

| | | Page 44 |
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| 1 | Q | Does your declaration reflect opinions? |
| 2 | A | Some of them. |
| 3 | Q | 2007 and 2008? |
| 4 | А | Some of them. Some of the statements made in these |
| 5 | decla | rations are opinions. |
| 6 | Q | And as to those, you're saying they're only true to |
| 7 | the b | est of your knowledge? |
| 8 | | MR. MURPHY: Objection. Form. |
| 9 | | THE WITNESS: I'm not sure I |
| 10 | under | stand your question. |
| 11 | BY MR | . KELBER: |
| 12 | Q | You prefaced your answer by saying, no, I'm saying to |
| 13 | the b | est of my knowledge. Isn't that what you were saying? |
| 14 | A | You know full well that's a parenthetical, and you are |
| 15 | tryin | g to narrow it in to a legal term. I'll retract that |
| 16 | paren | thetical. |
| 17 | Q | Spare me your anger, Doctor. It was you, Mark |
| 18 | Horns | tein, who made the decision to change your declaration |
| 19 | by ad | lding paragraph ten to Exhibit 2008 and adding paragraph |
| 20 | eight | to Exhibit 2007; isn't that correct? |
| 21 | A | No. |
| 22 | | MR. MURPHY: Objection. Foundation. |

| 1 | Page 45 BY MR. KELBER: |
|----|--|
| | |
| 2 | Q Who made that decision? |
| 3 | A The decision that the paragraphs needed to be added |
| 4 | was made by counsel. |
| 5 | Q And you did what counsel asked you to; is that |
| 6 | correct? |
| 7 | A Counsel asked me to add the last disclaimer paragraph, |
| 8 | that's correct. |
| 9 | Q And the choice was not yours to change your |
| 10 | declaration; is that correct? |
| 11 | MR. MURPHY: Object to form. |
| 12 | THE WITNESS: Of course, the choice |
| 13 | was mine. |
| 14 | BY MR. KELBER: |
| 15 | Q So you are the one, the individual, who made the final |
| 16 | decision to change your declaration on June 9, 2016; |
| 17 | correct? |
| 18 | MR. MURPHY: Object to form, |
| 19 | foundation. |
| 20 | THE WITNESS: That would be true. |
| 21 | BY MR. KELBER: |
| 22 | Q So I appreciate, but you're angry about being asked |

Page 46 1 for the reason? 2 Oh, I'm not angry. А 3 You seemed angry, sir. I'm glad you are not angry. 0 4 MR. MURPHY: Dr. Hornstein, allow counsel to ask questions and allow him to answer the 5 6 question. BY MR. KELBER: 7 8 Q I'm glad you are not angry. I didn't want you to be 9 angry, but I have never seen -- these are referred to as 10 jurats. I had never seen a jurat that said that all 11 statements made on information and belief are true, and I 12 don't think you have either and I'm asking, have you ever 13 seen such a jurat? 14 MR. MURPHY: Objection. Foundation. 15 Form. 16 THE WITNESS: I think I already 17 answered that question. I may have or I may have not. I 18 don't recall. 19 BY MR. KELBER: 20 Did you ever actually recall seeing words not to that 0 effect, but those words? 21 22 MR. MURPHY: Same objection.

| | Page 47 |
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| 1 | THE WITNESS: I just said, I don't |
| 2 | recall one way or the other. |
| 3 | BY MR. KELBER: |
| 4 | Q Well, if you don't recall my question to you is not |
| 5 | so complicated. My question is: Do you recall ever seeing |
| 6 | a document of any type with those exact words? |
| 7 | MR. MURPHY: Objection to form and |
| 8 | foundation. Asked and answered. |
| 9 | THE WITNESS: I could not name the |
| 10 | specific document, but I'm sure I have seen this clause. |
| 11 | BY MR. KELBER: |
| 12 | Q You are sure you have seen this exact clause; is that |
| 13 | right? |
| 14 | A If I had to answer yes or no, I would say I have seen |
| 15 | it somewhere, but I could not identify the document. |
| 16 | Q Would it had been in an IPR, this document that you |
| 17 | can't identify, but you do recall? |
| 18 | MR. MURPHY: Objection. Form. |
| 19 | THE WITNESS: Don't remember. |
| 20 | BY MR. KELBER: |
| 21 | Q But you know you've seen it? |
| 22 | MR. MURPHY: Objection to form. |
| | |

Page 48 1 THE WITNESS: I think what I said is 2 I recall having seen it. 3 BY MR. KELBER: Is there a difference between what I asked you and 4 5 your formulation? 6 Α Yes. 7 What is the difference, sir? 8 You're asking me if I remember, and what I'm saying is Α 9 it's more likely than not that I remember seeing it, but I 10 cannot identify the document. 11 Some people say depositions are supposed to be 0 12 precision conversations. Some people say otherwise. I'm 13 trying to be precise here, Doctor, because it makes a 14 difference. You made the final decision on June 9, 2016, or 15 thereabouts to change your declaration to adopt the language that you say you have seen before. My belief, Doctor -- but 16 17 I don't share your memories, my belief says you have never 18 seen a clause structured quite that way, and I think as a 19 technical expert, you can look at this and say, well, if all 20 statements made herein of my own knowledge are true, and all statements made herein on information and belief are true, 21 22 why distinguish between those two sets of statements?

Page 49 1 MR. MURPHY: Object to form and 2 foundation. 3 THE WITNESS: I'm not sure I 4 understand your question. 5 BY MR. KELBER: 6 Well, if it is all true, why not just say so? 0 7 MR. MURPHY: Object to form. 8 THE WITNESS: Not sure. 9 BY MR. KELBER: 10 You are not sure and yet you signed this because it Q 11 was important to make it a legal document? 12 MR. MURPHY: Object to form. 13 THE WITNESS: That's my 14 understanding. 15 BY MR. KELBER: So you accepted the representation from counsel that 16 0 17 you needed to append this final paragraph without 18 questioning that; is that accurate? 19 MR. MURPHY: Objection to form and 20 foundation. 21 THE WITNESS: No. 22 BY MR. KELBER:

Page 50 1 I'm going to ask again please wait for your counsel to Ο 2 make an objection. 3 What did you ask about it? 4 MR. MURPHY: Objection. I'm going 5 to exercise my right to take a recess to discuss that 6 privilege objection. 7 MR. KELBER: That's great. Going to 8 be about time for a recess anyway. 9 MR. MURPHY: Hold that question. 10 11 (Recess taken from 11:06 to 11:26 a.m.) 12 _ _ _ 13 MR. MURPHY: I think there is a 14 pending question, so if you may re-read it. 15 (The Court Reporter read back the last two questions.) 16 17 BY MR. KELBER: 18 Is it correct, Dr. Hornstein, that you accepted the Q 19 representation from Microsoft's counsel that you needed to 20 append the final paragraph to each of Exhibits 2007 and 2008 without discussing the significance of those paragraphs? 21 22 Yes or no?

| | Page 51 |
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| 1 | A No. |
| 2 | Q What did you ask Microsoft's counsel with respect to |
| 3 | those two final paragraphs? |
| 4 | MR. MURPHY: Objection on grounds of |
| 5 | privilege and work product. Instruct the witness not to |
| 6 | answer any questions that would reveal the substance of our |
| 7 | conversations. |
| 8 | However, having had the opportunity |
| 9 | during the break to discuss the privilege issue with the |
| 10 | witness, I would be okay allowing the witness to answer this |
| 11 | yes-or-no question if it is of interest. And that is: Does |
| 12 | the witness remember any of the questions, if there were |
| 13 | any, that he asked counsel for Microsoft? |
| 14 | MR. KELBER: That's not as |
| 15 | interesting as the other question. |
| 16 | BY MR. KELBER: |
| 17 | Q But please answer the question that counsel for |
| 18 | Microsoft has framed. |
| 19 | A I don't remember. |
| 20 | Q If there were any such conversations, Dr. Hornstein, |
| 21 | would they have likely occurred on or about June 9, 2016? |
| 22 | MR. MURPHY: Objection. Foundation. |
| | |

| | | Page 52 |
|----|--------|---|
| 1 | | THE WITNESS: Probably. Yes. |
| 2 | BY MR. | KELBER: |
| 3 | Q | Have you signed any legal documents between June 9, |
| 4 | 2016, | and today? |
| 5 | А | Yes. |
| 6 | Q | Can you tell me without disclosing the content the |
| 7 | nature | e of those documents? |
| 8 | A | They were declarations. |
| 9 | Q | But they were not prepared in connection with IPRs |
| 10 | 01147 | through 1151; is that correct? |
| 11 | А | I don't remember. |
| 12 | Q | Do you take any medication, Doctor, that might affect |
| 13 | your s | short-term memory? |
| 14 | A | No. |
| 15 | Q | At any time prior to June 9, 2016, have you ever been |
| 16 | asked | by counsel to re-sign a substantially-identical |
| 17 | declar | ration, but simply adding a paragraph similar to the |
| 18 | final | paragraph of Exhibits 2007 and 2008? |
| 19 | A | Yes. |
| 20 | Q | What happened in connection with that, generally? Why |
| 21 | did th | hat happen? |
| 22 | | MR. MURPHY: Object to form. |
| | | |

| | Page 53 |
|----|---|
| 1 | THE WITNESS: The paragraph had not |
| 2 | been appended to the original declaration. |
| 3 | BY MR. KELBER: |
| 4 | Q And that happened before the events that occurred in |
| 5 | connection with 2007 and 2008; is that correct? |
| 6 | A That's correct. |
| 7 | MR. MURPHY: Object to form. |
| 8 | THE WITNESS: That's right. |
| 9 | BY MR. KELBER: |
| 10 | Q Is it really your position, Doctor, that the plain and |
| 11 | ordinary meaning of the term luminous visible location |
| 12 | indicator is a visible indicator that helps the user locate |
| 13 | a particular part of the device in which that visible |
| 14 | location indicator is found? |
| 15 | MR. MURPHY: Object to foundation. |
| 16 | THE WITNESS: I have to look at the |
| 17 | exact wording in my declaration. |
| 18 | BY MR. KELBER: |
| 19 | Q That we can do. Take a look at paragraph three of |
| 20 | Exhibit 2008? |
| 21 | THE WITNESS: Can you read back his |
| 22 | question? |
| | |

| | Page 54 |
|----|--|
| 1 | MR. KELBER: I'll rephrase it. |
| 2 | BY MR. KELBER: |
| 3 | Q Is it true that your opinion as a technical expert, |
| 4 | Doctor, that the plain and ordinary meaning of the term |
| 5 | luminous visible location indicator is a visible indicator |
| 6 | that helps the user locate a particular part of the device |
| 7 | in which the location indicator is found? |
| 8 | MR. MURPHY: Objection. Foundation. |
| 9 | THE WITNESS: Yes. |
| 10 | BY MR. KELBER: |
| 11 | Q So it could that luminous indicator could identify |
| 12 | a piece of the device and satisfy that term visible luminous |
| 13 | or I'm sorry luminous visible location indicator; is |
| 14 | that correct? |
| 15 | MR. MURPHY: Object to form. |
| 16 | THE WITNESS: Yes. |
| 17 | BY MR. KELBER: |
| 18 | Q The cell phone that you used to capture your signature |
| 19 | as a graphic image and then affix it to the declarations |
| 20 | that we have been discussing, does that cell phone have more |
| 21 | than one luminous visible location indicator? |
| 22 | MR. MURPHY: Objection. Foundation. |

Page 55 1 Relevance. 2 THE WITNESS: Yes. BY MR. KELBER: 3 4 Is each icon on the display of your cell phone a 0 visible location indicator that is illuminated? 5 6 MR. MURPHY: Object to form and 7 relevance. 8 THE WITNESS: You mean each icon 9 separately? 10 BY MR. KELBER: 11 Yes, sir. Q 12 Well, that would depend. А 13 On what? 0 14 Well, an icon is just graphic. It is composed of А 15 luminous elements on the screen. So is an icon not -- since you used it -- your cell 16 0 17 has a camera, your cell phone has a camera of some type; 18 right? 19 А Yes. 20 And on the display of your cell phone there is an icon Q 21 that reflects the camera function; correct? 22 MR. MURPHY: Objection to form.

Page 56 1 Relevance. 2 MR. KELBER: I'll take your continuing objection on relevance. 3 4 MR. MURPHY: Thank you. 5 THE WITNESS: Okay. Yes. That's 6 true. BY MR. KELBER: 7 8 0 Is that icon a luminous visible location indicator, in 9 your opinion? 10 MR. MURPHY: Object to form. 11 THE WITNESS: The question really 12 makes no sense. 13 BY MR. KELBER: 14 Well, you said a luminous visible location indicator 0 15 is a visible indicator that helps the user locate a particular part of a device; correct? 16 17 That's correct. That's what I say in paragraph three. Α 18 And to locate that part of the camera that 0 19 activates -- I'm sorry -- that matter of the cell phone that 20 activates the camera, you have to know where that icon is; 21 correct? 22 MR. MURPHY: Objection. Foundation,

Page 57 1 form. 2 THE WITNESS: That's true. 3 BY MR. KELBER: And so is the icon that appears on your display in 4 0 that respect a visible location indicator that is luminous 5 6 when the screen is illuminated? 7 MR. MURPHY: Same objections. THE WITNESS: The icon itself? 8 9 BY MR. KELBER: 10 Yes. Let's start there? 0 11 Α The icon in and of itself is not a luminous indicator. 12 Why not, sir, if a visible location indicator which is Q 13 luminous can identify a particular part of the device, 14 according to your opinion, why isn't the camera icon such a 15 visible location indicator? The icon is a virtual image. It's not a real thing. 16 Α 17 In what way is it distinguishable from the image of a Q 18 caller's telephone number? 19 MR. MURPHY: Object to form. 20 THE WITNESS: They're both virtual images. So other than one is alpha numeric and the other is 21 22 graphic.

Page 58 1 BY MR. KELBER: 2 But you would say the telephone number display is, in 0 fact, a luminous visible location indicator, wouldn't you? 3 4 MR. MURPHY: Objection to foundation. 5 6 THE WITNESS: I don't think so. BY MR. KELBER: 7 8 Q I'm going to hand you a document that's been 9 previously identified as Microsoft Exhibit 1004. Take the 10 time you need to review this document. I think you have 11 seen it before. But take a look through it, and then I will 12 have some questions when you are done reviewing it. Is 13 there a luminous visible location indicator in Microsoft 14 Exhibit 1004? 15 Well, as I have indicated in my declaration, the А luminous visible indicator is located in the area identified 16 17 in figure one as display area 130. 18 And that's a telephone number; correct? Q 19 А No. 20 Take a look at figure two. Doesn't area 130 identify 0 a telephone number? Actually, Doctor, I know you are going 21 22 to read ahead to other things, but the question really is

| | Page 59 |
|----|--|
| 1 | take a look at figure two. Doesn't the reference character |
| 2 | you identified, reference character 130, identify a display |
| 3 | of a telephone number? |
| 4 | A No. |
| 5 | Q What does it identify? |
| 6 | A It's just called a display area. |
| 7 | Q I asked you to look at figure two, sir? |
| 8 | A I'm looking at figure two. |
| 9 | Q And you do not see a telephone number identified in |
| 10 | the display area that is figure 130? |
| 11 | A There is a telephone number that is depicted in the |
| 12 | display area. Display area 130, I'll point out that the |
| 13 | numeral 130 does not point to the telephone number. |
| 14 | Q Is the area provided with the telephone number a |
| 15 | luminous visible location indicator? |
| 16 | A I'm not sure I can answer the question as you've posed |
| 17 | it. |
| 18 | Q What additional information do you require? |
| 19 | A You said display area with the telephone number which |
| 20 | implies that the telephone number is the luminous indicator. |
| 21 | Q That's not what I asked you, sir, so I will ask the |
| 22 | question again. |
| | |

| | Page 60 |
|----|--|
| 1 | A Okay. |
| 2 | Q Is display area 130, as shown in figure two, of U.S. |
| 3 | patent 6125286 a luminous visible location indicator? |
| 4 | A Is the display area a luminous visible location |
| 5 | indicator. That's your question? |
| 6 | Q Try again. Is the display area, as shown in figure |
| 7 | two, of U.S. patent 6125286 a luminous visible location |
| 8 | indicator? |
| 9 | A I have to answer your question by noting that that |
| 10 | same area 130 is depicted in one figure one without the |
| 11 | telephone number. So I conclude the telephone number is |
| 12 | just for informational purposes and merely has no bearing on |
| 13 | the question. |
| 14 | Q Can you answer it anyway, sir? |
| 15 | A And then the second part is, is that display area, is |
| 16 | it a visible luminous indicator? The answer is that each of |
| 17 | the individual segments that comprise that display can serve |
| 18 | as a visible luminous indicator. |
| 19 | Q Let me back up to a conversation we had at the |
| 20 | beginning of this deposition. I appreciate that you have |
| 21 | many opinions and many important facts to import. Your |
| 22 | attorney will ask you for them at the appropriate time. |
| | |

Page 61 Your job now is to ask -- sorry -- answer questions that I 1 2 ask that you can understand. 3 Uh-hum. А Can you understand the question that I asked as 4 0 follows: Is reference -- sorry -- is display area 130, as 5 6 shown in figure two, a luminous visible location indicator, 7 as you use the term in your declaration? 8 Just the question as you just asked it? Α 9 Yes, sir. 0 10 THE WITNESS: Can you read back the 11 question? 12 (The Court Reporter read back the 13 last question.) 14 THE WITNESS: Same answer I just 15 gave. Individual segments that comprise the display are any one of them can be a luminous indicator. 16 17 BY MR. KELBER: 18 Is the answer to my question then no? Q 19 А I think I just said that the answer is yes. 20 Same question with regard to display area 130 as shown 0 in figure one. 21 22 MR. MURPHY: Objection to form.

| | | Page 62 |
|----|-------|--|
| 1 | | THE WITNESS: Same answer. |
| 2 | BY MR | . KELBER: |
| 3 | Q | That answer is yes, it is a luminous visible location |
| 4 | indic | ator, in your opinion; correct? |
| 5 | A | That's not what I said. I said that any one of the |
| 6 | segme | nts that comprise the display area can be a luminous |
| 7 | visib | le indicator. |
| 8 | Q | How many segments comprise the display area of display |
| 9 | 130 a | s shown in figure one? |
| 10 | A | At least 84. |
| 11 | Q | And what are any of those 84 elements illuminated |
| 12 | in fi | eld 130 as shown in figure one? |
| 13 | A | Hard to say. |
| 14 | Q | What else would you need to know? |
| 15 | A | Need more actually based on my count of 84, |
| 16 | count | ing every segment of each alpha numeric character in a |
| 17 | seven | -second display, then 130 I would conclude that no |
| 18 | eleme | nts are illuminated. |
| 19 | Q | So is it then a luminous visible location indicator? |
| 20 | А | I think I already answered your question. |
| 21 | Q | Answer it again, sir. |
| 22 | A | Any one of the segments in the display can serve as |
| | | |

| | Page 63 |
|----|--|
| 1 | Q But none of the segments are illuminated in figure |
| 2 | one; correct? |
| 3 | A But they are illuminated in figure two. |
| 4 | Q Dr. Hornstein, would it trouble you terribly to answer |
| 5 | my question rather than the question you would like to |
| 6 | formulate? |
| 7 | A I think I did answer your question, but |
| 8 | Q I don't think you did, sir. Are any let me try it |
| 9 | a different way. It's your testimony that there are |
| 10 | multiple luminous visible location indicators as reflected |
| 11 | in field 130 of figure two; right? |
| 12 | A What I said was any one of the segments could serve as |
| 13 | the visible indicator if a bunch of them are on, for |
| 14 | example, to form the telephone number shown in figure two, |
| 15 | then that collection of segments can serve as the visible |
| 16 | luminous indicator. |
| 17 | Q So the answer to my questions would be yes; correct? |
| 18 | A What was the question? |
| 19 | Q Is it, in fact, true that as shown in figure two, |
| 20 | field 130 comprises some luminous elements that according to |
| 21 | your opinion constitute luminous visible location indicators |
| 22 | as the term is used in your declaration? |
| | |

| | | Page 64 |
|----|-------|---|
| 1 | A | That's true. |
| 2 | Q | Are any shown in figure one? |
| 3 | A | No. |
| 4 | Q | So does figure one have the same sorry. Does the |
| 5 | phone | shown in figure one have the same number of luminous |
| 6 | visib | le location indicator as the phone shown in figure two? |
| 7 | A | Are you talking about ones that are on or capable of |
| 8 | being | on? |
| 9 | Q | Your declaration does not refer to them being on or |
| 10 | off, | Doctor, so I'm asking you. The field shown in figure |
| 11 | one, | 130, which is what you referenced me to, as shown, is |
| 12 | it a | luminous visible location indicator? |
| 13 | A | Well, I think I said that the segments that comprise |
| 14 | that | display can serve as the visible luminous indicator. |
| 15 | Q | Are there any that serve as a visible location |
| 16 | indic | ator in figure one? |
| 17 | A | Yes. |
| 18 | Q | Which one? What which ones? |
| 19 | A | They're not on. |
| 20 | Q | So they can't be visible sorry luminous visible |
| 21 | locat | ion indicators when they are not on; is that correct? |
| 22 | A | Sure they can. |
| | | |

| | _ | Page 65 |
|----|-------|---|
| 1 | Q | Okay. So which ones are on? |
| 2 | A | None of them. |
| 3 | Q | Which ones are luminous visible location indicators? |
| 4 | A | All of them. |
| 5 | Q | Okay. What location are they indicating when they are |
| 6 | not c | on? |
| 7 | A | It does not. |
| 8 | Q | Isn't that the function of a visible location |
| 9 | indic | ator, according to your testimony, to identify the |
| 10 | locat | ion of a particular part of the device? |
| 11 | A | That's right. |
| 12 | Q | So in figure one, what part of the device is being |
| 13 | locat | ed by field 130? |
| 14 | A | On figure one the segments are off. |
| 15 | Q | And so the answer to my question is what? Again, it |
| 16 | is ki | nd of important that we have a conversation about my |
| 17 | quest | cions. |
| 18 | A | Uh-hum. |
| 19 | Q | John will get a chance to ask you the questions you |
| 20 | want | to answer. |
| 21 | A | Okay. |
| 22 | Q | What part of the device that is the cell phone shown |
| | | |

| | | Page 66 |
|----|-------|---|
| 1 | in fi | gure one is the location being indicated by figure 130? |
| 2 | Sorry | . By reference character 130? |
| 3 | A | Again, the segments that comprise that display area. |
| 4 | Q | And they're not identifying the location of anything |
| 5 | as sh | own in figure one, are they? |
| 6 | A | That's right. |
| 7 | Q | Now, let's go back to the question that I asked you. |
| 8 | The i | con on the display of your cell phone is illuminated. |
| 9 | A | The icon is illuminated. |
| 10 | Q | It is illuminated? |
| 11 | A | Okay. |
| 12 | Q | Is it a, quote, luminous visible location indicator? |
| 13 | | MR. MURPHY: Objection to foundation |
| 14 | and r | elevance. |
| 15 | | THE WITNESS: The icon itself? |
| 16 | BY MR | . KELBER: |
| 17 | Q | Yes, sir. |
| 18 | A | No. |
| 19 | Q | Why not? |
| 20 | А | The icon is made up by illuminated segments. |
| 21 | Q | Are the segments that go together to form that icon |
| 22 | that | is illuminated, are those segments collectively a |
| | | |

Page 67 1 luminous visible location indicator? 2 А Sure. 3 MR. MURPHY: Same objection. 4 THE WITNESS: Sure. BY MR. KELBER: 5 6 I'm going to hand you a document. Again, I think you 0 7 have seen this before. It's been previously marked as 8 Microsoft Exhibit 1001. Again, take as much time as you 9 need to familiarize yourself with this document and when you 10 so advise me, then I will have some questions about it. 11 А Okay. 12 Where in the specifications of Exhibit 1001 does the Q 13 patent indicate that a luminous visible location indicator 14 is satisfied by illuminated elements that identify a 15 particular part of the device? 16 MR. MURPHY: Objection. Foundation 17 and form. 18 THE WITNESS: Well, one reference to 19 a part, column nine, paragraph starting with 48 where it 20 talks about an output provided to indicated condition, for example, a battery that is in good or bad condition. And it 21 22 may also be suitable to assist in locating a device not

| | Page 68 |
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| 1 | limited to a flashlight in the dark. This may be a separate |
| 2 | output pin, and there may be according to another embodiment |
| 3 | shared with the MMI switch. This output or indicator may be |
| 4 | an LED. |
| 5 | So, in that particular reference to |
| 6 | the visible indicator, the LED, it is located in the switch. |
| 7 | So that when it is illuminated, it tells the user where the |
| 8 | switch is that needs to be presumably pressed to turn on the |
| 9 | flashlight. |
| 10 | BY MR. KELBER: |
| 11 | Q But that's not what the specification you just read to |
| 12 | me, though, is it? It says, it may be it may also be |
| 13 | suitable to assist in locating a device. E.G., but not |
| 14 | limited to a flashlight. It does not saying anything about |
| 15 | a part of the device, does it? |
| 16 | A Yes, it does. It referring to the output is the |
| 17 | it. According to another embodiment, an output may be |
| 18 | provided to indicate a condition. It, meaning the output, |
| 19 | may also be suitable to assist in locating a device, but not |
| 20 | limited to a flashlight. |
| 21 | Q So where |
| 22 | A I'm still answering. It can be a separate output pin, |
| | |

| | Page 69 |
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| 1 | and this is the thing that allows you to locate the device |
| 2 | or shared with the MMI switch. So it could be one of two |
| 3 | switches, and the output may be an LED. |
| 4 | Q Right. And where does it say this may be suitable to |
| 5 | assist in locating a switch? |
| 6 | MR. MURPHY: Objection. Foundation. |
| 7 | THE WITNESS: It says it right |
| 8 | there. It says a separate output pin or according to |
| 9 | another embodiment shared with the MMI switch input. So the |
| 10 | visible location indicator is part of the MMI switch. |
| 11 | BY MR. KELBER: |
| 12 | Q It is. But is it useful, according to this patent, in |
| 13 | locating that switch as opposed to the device? |
| 14 | A Of course. |
| 15 | Q Where does it say that, sir? |
| 16 | A I just read the passage. |
| 17 | Q What you read to me is that the visible luminous |
| 18 | indicator can be a separate output pin or shared with the |
| 19 | MMI switch input; correct? That's the part you are |
| 20 | referring me to? |
| 21 | A That's right. |
| 22 | Q Where are the words that indicate that this may be |
| | |

| | Page 70 |
|----|---|
| 1 | useful, suitable or some other similar term in locating the |
| 2 | switch? |
| 3 | A Because it says it right there. |
| 4 | Q Read me the words, sir. |
| 5 | A This may be a separate output pin or may be, according |
| 6 | to another embodiment, shared with the MMI switch input. |
| 7 | The outputter indicator may be an LED. If you look at |
| 8 | figure 11 |
| 9 | Q Before we go to |
| 10 | A I'm still answering your question. Do you want me to |
| 11 | answer your question? |
| 12 | Q You are not answering my question. You, again, are |
| 13 | answering the questions you want to answer, but go right |
| 14 | ahead, sir. |
| 15 | A No. No. Go ahead. |
| 16 | Q I asked you in the text. By the way, before we go |
| 17 | there, do you know the difference between a specification |
| 18 | and claims of a patent? |
| 19 | A Yeah. |
| 20 | Q Why did you spend so much time reviewing the claims of |
| 21 | the patent prior to answering my question right now? |
| 22 | MR. MURPHY: Objection. Foundation. |
| | |

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| 1 | THE WITNESS: Because you told me if |
| 2 | you gave me a document, I should read through the whole |
| 3 | thing, and I did. |
| 4 | BY MR. KELBER: |
| 5 | Q And you did that before I asked you the question, did |
| 6 | you not? |
| 7 | MR. MURPHY: Same objection. |
| 8 | THE WITNESS: I'm sorry? |
| 9 | BY MR. KELBER: |
| 10 | Q Never mind, sir. Without referring to the figure, is |
| 11 | there any text in this Exhibit 1001 which is U.S. patent |
| 12 | 732970 that states that the visible location indicator is |
| 13 | useful in locating a part of the device as opposed to the |
| 14 | device itself? |
| 15 | MR. MURPHY: Object to form. |
| 16 | THE WITNESS: I think I read the |
| 17 | passage three times. |
| 18 | BY MR. KELBER: |
| 19 | Q That's it? |
| 20 | A I think your own expert said the same thing. |
| 21 | Q Well, I'm asking you, sir. And I asked you very |
| 22 | nicely twice. Is there anything else? And I think the |
| | |
Page 72 answer to that question is no, but could you answer it? 1 Is 2 there anything else, sir? 3 There is something else. А 4 MR. MURPHY: I'm sorry, Mark. 5 Object to form. 6 THE WITNESS: There is something 7 else. BY MR. KELBER: 8 9 Please direct me to the next aspect or portion of the 0 10 text of the 970 patent that you refer to as something else? 11 The text says, this outputter indicator may be an LED, Α 12 referring to figure 11 indicator/output device 1104 may, for 13 example, be an LED. Anything else, sir? 14 0 15 MR. MURPHY: Object to form. 16 THE WITNESS: For this specific 17 passage, no. 18 BY MR. KELBER: 19 0 Anything else that you would point me to in the 20 specifications of the 970 patent which is Exhibit 1001 21 before you that indicates that the visible location 22 indicator may be useful in locating a part of the device as

| | Page 73 |
|----|---|
| 1 | opposed to the device itself? |
| 2 | A If you look now, this is the next one, column 11, |
| 3 | paragraph starting with 55 down to 64. |
| 4 | Q Okay. |
| 5 | A Focuses on the last sentence. The microchip may be |
| 6 | adopted as in a specific flashlight embodiment to provide a |
| 7 | location emergency signal for lighting, flashing and LED. |
| 8 | And that is in the context of using the claimed invention |
| 9 | for a wall switch, so that lighting flashing LED would |
| 10 | indicate a part of the room where the switch was located. |
| 11 | That given that entire section talks about a room being |
| 12 | filled with the MMI device that is claimed and wiring. |
| 13 | Q And you think it's indicating a particular location in |
| 14 | the room in that embodiment? |
| 15 | A Yes. |
| 16 | Q What location? |
| 17 | A The location where the wall switch is placed. I think |
| 18 | there was one more. |
| 19 | Q Go ahead. |
| 20 | A Oh, my last question is the very fact that the visible |
| 21 | indicator is an LED, which is separate from the main energy |
| 22 | consuming bulb, the flashlight bulb will indicate the part |

| | | Page 74 |
|----|--|------------------|
| 1 | of the flashlight where the LED is located. | |
| 2 | Q But that's not taught in the 970 paten | t that is |
| 3 | Exhibit 1001 or is it, sir? | |
| 4 | A Sure. It is based on what any person | remotely skilled |
| 5 | in the art would understand about an LED. | |
| 6 | Q Have you exhausted the references to t | he specification |
| 7 | which you say teach this feature? | |
| 8 | A Those are the ones I can find right no | ω. |
| 9 | Q What did you do to prepare for this de | position, sir? |
| 10 | A Read documents, conferred with the two | attorneys on my |
| 11 | right. | |
| 12 | Q When did you do this? This conference | ? |
| 13 | A When did I talk with the two attorneys | ? |
| 14 | Q Yes, sir. | |
| 15 | A Yesterday. | |
| 16 | Q Did you discuss Exhibit 1001, which is | the 970 patent |
| 17 | at that time? | |
| 18 | MR. MURPHY: Objecti | on. Yes-or-no |
| 19 | answer, please, on the grounds of privilege. | |
| 20 | THE WITNESS: Yes. | |
| 21 | BY MR. KELBER: | |
| 22 | Q Did you review the 970 patent yesterda | У? |
| | | |

| | | Page 75 |
|----|-------|--|
| 1 | A | No. |
| 2 | Q | What are the provisions of Section 1101 of Title 18 of |
| 3 | the U | Inited States Code? |
| 4 | A | I would need to see a copy of it. |
| 5 | Q | Did you have a copy before you on June 9, 2016? |
| 6 | A | I did not. |
| 7 | Q | Did you ever ever see that you recall seeing the |
| 8 | provi | sions of Section 1101 of Title 18? |
| 9 | A | I believe I have. |
| 10 | Q | Do you recall ever being informed by counsel in any |
| 11 | proce | eding that you have been part of as to the meaning of |
| 12 | the p | provisions of Section 1101 of Title 18? |
| 13 | A | I believe that is true. |
| 14 | Q | That you have been informed by counsel of the meaning |
| 15 | of th | ne provisions of Title 1101 sorry Section 1101 of |
| 16 | Title | 2 18? |
| 17 | A | That's right. |
| 18 | Q | When did that happen? |
| 19 | A | I do not remember. |
| 20 | Q | But you remember being told about it? |
| 21 | A | I have a recollection of that happening. I cannot |
| 22 | tell | you when or where. |
| | | |

| Microsoft Corporation and Microsoft Mobile, Inc. v. Global Touch Solutions, LLC. | |
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| | Page 76 |
|----|---|
| 1 | Q Would it alter your opinion if I told you that there |
| 2 | are no provisions in Section 1101 of Title 18 that pertain |
| 3 | to punishment by fine or imprisonment? |
| 4 | MR. MURPHY: Objection. Foundation. |
| 5 | THE WITNESS: I would have no |
| 6 | opinion one way or the other. Everyone knows you can't make |
| 7 | false statements on a legal document. |
| 8 | BY MR. KELBER: |
| 9 | Q Everyone knows that? |
| 10 | A Uh-hum. |
| 11 | Q Take a look at paragraph seven of Exhibit 200 2008, |
| 12 | if you would, please? |
| 13 | MR. MURPHY: Dr. Hornstein, any time |
| 14 | your blood sugar runs low, let us know. |
| 15 | MR. KELBER: Did you want a break? |
| 16 | MR. MURPHY: I'm fine. The witness' |
| 17 | stomach |
| 18 | THE WITNESS: I'm good for now. |
| 19 | BY MR. KELBER: |
| 20 | Q Take a look at paragraph seven, if you would, sir. Is |
| 21 | that written precisely as you intended it to be? |
| 22 | A No. |
| | |

Page 77 In what way would you have intended it to be read? 1 0 2 MR. MURPHY: Objection. Foundation. 3 THE WITNESS: The third --4 MR. KELBER: What foundation is 5 lacking, counsel? He just said no. 6 MR. MURPHY: The question includes 7 the implicit assumption that he intended it to read a 8 certain way. 9 MR. KELBER: I think I asked him 10 that question, and he said it did not read the way he 11 intended it. 12 BY MR. KELBER: 13 Didn't I ask you that question? I'll ask it again 0 because there seems to be some confusion. Take a look at 14 15 paragraph seven of Exhibit 2008? 16 А Yes, sir. 17 Does that paragraph read the way you intended it to Q 18 read? 19 MR. MURPHY: Object to form. 20 THE WITNESS: No. 21 BY MR. KELBER: 22 Q Is it possible for you to restate paragraph seven to

| | Page 78 |
|----|--|
| 1 | reflect what you intended it to read? |
| 2 | A Indeed, it is. |
| 3 | Q Would you do so, please? |
| 4 | A Do you want me to read the whole thing, or just tell |
| 5 | you what the change would be? |
| 6 | Q Let's go with what the change would be. That is |
| 7 | probably easier. |
| 8 | A The third line down should be disclosed the activation |
| 9 | of display element 516 at the same time that display element |
| 10 | 520 was not activated. |
| 11 | Q Now, Exhibit 2008 and Exhibit 2007 where the same |
| 12 | language appears, does not reflect the first time that you |
| 13 | signed a declaration that reflected that exact language; |
| 14 | correct? |
| 15 | MR. MURPHY: Object to form. |
| 16 | THE WITNESS: Sorry. Ask that |
| 17 | again. |
| 18 | BY MR. KELBER: |
| 19 | Q You presented the exact same statement word for word |
| 20 | identical in an earlier declaration submitted in this case; |
| 21 | correct? |
| 22 | A Well, you're saying word for word. We're talking |
| | |

| | Page 79 |
|----|--|
| 1 | about a typo of a number. |
| 2 | Q I'm saying word for word? |
| 3 | A I wouldn't call a number a word. |
| 4 | Q Word for word alpha numeric character, alpha numeric |
| 5 | character, the statements you presented in two different |
| 6 | declarations each on two separate occasions are identical; |
| 7 | correct? |
| 8 | MR. MURPHY: Object to form. |
| 9 | THE WITNESS: The statements are |
| 10 | identical, and they include the same typographical error for |
| 11 | that number. |
| 12 | BY MR. KELBER: |
| 13 | Q Well, that error changes the meaning of this |
| 14 | paragraph, doesn't it? |
| 15 | A Not really. |
| 16 | Q Well, as it reads it's a tautology, isn't it? |
| 17 | A What's a tautology? |
| 18 | Q You don't know the word tautology? |
| 19 | A Not off the top of my head. |
| 20 | Q Isn't it true, Doctor, that to activate display |
| 21 | element 520, display element 520 could not have been |
| 22 | activated? |
| | |

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|----|--|
| 1 | MR. MURPHY: Object to form. |
| 2 | THE WITNESS: Well, you know, if you |
| 3 | go back to the paragraph before it talks about display |
| 4 | element 516 changing from a blank screen to displaying |
| 5 | information, and I think it would be obvious to anyone |
| 6 | reading paragraph seven that the first instance of number |
| 7 | 520 is a typo and should be 516. |
| 8 | BY MR. KELBER: |
| 9 | Q Obvious the way you use the language |
| 10 | A It's a typo. |
| 11 | Q in your declaration that you made twice? |
| 12 | A Right. It's a typo. |
| 13 | Q In each case? |
| 14 | A It's a typo. |
| 15 | Q That you made twice in each case, even though the |
| 16 | second time for each case the only reason you were signing |
| 17 | that declaration was to convert it from hearsay to a legal |
| 18 | document; correct? |
| 19 | MR. MURPHY: Objection to foundation |
| 20 | and form. |
| 21 | BY MR. KELBER: |
| 22 | Q Isn't that what you told me earlier? |
| | |

| | Page 81 |
|----|---|
| 1 | MR. MURPHY: Same objection. |
| 2 | THE WITNESS: It was a typo. I |
| 3 | don't know how else to answer your question. |
| 4 | BY MR. KELBER: |
| 5 | Q Can you answer my question? |
| 6 | A No. |
| 7 | Q Did you read Exhibit 2007 before you signed it? |
| 8 | A Of course. |
| 9 | Q Did you read Exhibit 2008 before you signed it? |
| 10 | A Of course. |
| 11 | Q Did you read the corresponding declaration executed |
| 12 | June 1, 2016 that's June 1, 2016 in IPR 2015-01149 |
| 13 | before you signed it? |
| 14 | A Yes. |
| 15 | Q And you did not see the error either time? |
| 16 | A I did not catch the typographical error. |
| 17 | Q Isn't that because you really weren't paying close |
| 18 | attention? |
| 19 | MR. MURPHY: Objection. Form. |
| 20 | Foundation. Argumentative. |
| 21 | THE WITNESS: No. |
| 22 | BY MR. KELBER: |
| | |

Page 82 1 So you read these carefully, and you came up with the 0 2 same wrong formulation each time? 3 MR. MURPHY: Objection. Asked and 4 answered. THE WITNESS: Your question is not 5 6 correct. BY MR. KELBER: 7 8 Q You mean the answer is no? 9 I did not come up with the same wrong formulation each А 10 time. 11 So I think you have before you Exhibit 1020 in IPR 0 12 2015-01149, don't you? 13 A No. 14 MR. KELBER: Do you have it, 15 counsel, because I know I handed it out. 16 MR. MURPHY: Remind me what I'm 17 looking for. 18 MR. KELBER: 1020 in 1149. It is 19 his declaration dated June 1. 20 MR. MURPHY: This is the 1149 document you gave me. Is that what you are looking for? 21 22 MR. KELBER: No.

Page 83 1 MR. MURPHY: That's the only one I 2 have. 3 MR. KELBER: All right. And you are 4 not going to let me use documents in 1147 or 1150 or 1151 to 5 put before the witness without objection; correct? 6 MR. MURPHY: Right. I'll just 7 state --8 MR. KELBER: Let's break for lunch 9 right now, and I'll try to satisfy your requirement. 10 MR. MURPHY: Okay. Off the record. 11 MR. KELBER: Off the record. 12 _ _ _ 13 (Lunch recess taken from 12:26 to 1:07 p.m.) 14 _ _ _ 15 MR. KELBER: Go back on the record. 16 BY MR. KELBER: 17 Dr. Hornstein, you understand that you remain under Q 18 oath? 19 А Yes, sir. 20 What did you talk about at lunch? 0 21 Oh, let's see. The food upstairs is pretty good. А 22 Philadelphia is a nice city. Kayaking. Trials and

Page 84 tribulations of others cases, not this one. That's pretty 1 2 much it. I'm not sure there's much kayaking for Philadelphians, 3 0 but if there is, got to be good. 4 5 I hand you a document that's been 6 previously marked in IPR 2015-01151 as Microsoft Exhibit 7 1022. I'm also going to hand you a document -- just keep 8 these in a different pile -- Microsoft Exhibit 1021 in IPR 9 2015-01150. And more goodies. I'm going to hand you a 10 document Microsoft Exhibit 1020 filed in IPR 210-0114. 11 MR. MURPHY: For the record, I 12 object to the introduction of Exhibits 1021 and 1022. 13 MR. KELBER: There is lots of 1021s 14 and 1022s. Which ones? 15 MR. MURPHY: I object to the introduction of Exhibit 1022 in IPR number 1151, Exhibit 16 17 1021 in IPR number 1150. 18 MR. KELBER: Got you. 19 BY MR. KELBER: 20 And there is one more to do. I'm going to hand you a \cap document previously marked as Microsoft Exhibit 1018 and 21 22 Exhibit 2015-01147.

| | | Page 85 |
|----|-------|---|
| 1 | | MR. MURPHY: I object to the |
| 2 | intro | oduction of Exhibit 1018 in IPR 1147. |
| 3 | BY MR | R. KELBER: |
| 4 | Q | And I believe you also have in front of you Microsoft |
| 5 | Exhib | oit 1019 in IPR 2015-01148. I will ask you some |
| 6 | quest | ions about these individually, but you wrote all of |
| 7 | these | e; correct? |
| 8 | A | I wrote the final draft. |
| 9 | Q | Did you write the initial draft? |
| 10 | A | No. |
| 11 | Q | How many drafts were there, approximately? |
| 12 | A | I couldn't say. |
| 13 | Q | How many weeks before June 1, 2016, did you begin |
| 14 | recei | .ving did you begin receiving the initial drafts for |
| 15 | these | e five documents? |
| 16 | A | I don't know. I would have to check. |
| 17 | Q | Can you give me an approximate idea? |
| 18 | A | A week. |
| 19 | Q | A week. So is it correct that you received the drafts |
| 20 | from | counsel? |
| 21 | A | That's correct. |
| 22 | Q | What did you do when you received the drafts? |
| | | |

| | | Page 86 |
|----|-------|--|
| 1 | А | What did I do? |
| 2 | Q | Uh-hum. |
| 3 | A | I went over them word for word, made any changes that |
| 4 | I tho | ought needed to be made, made any additions that I |
| 5 | thoug | ht needed to be included, et cetera. |
| 6 | Q | In Exhibit 1019 in IPR 2015-01148, did you make any |
| 7 | chang | es to the initial draft that you received before |
| 8 | execu | ting the final draft? |
| 9 | A | I don't remember. |
| 10 | Q | You don't remember what you made any changes? |
| 11 | A | This specific document, I don't know. |
| 12 | Q | Did you read the paragraph in the initial draft that |
| 13 | corre | sponds to paragraph six in what appears to be the |
| 14 | execu | ted final draft? |
| 15 | A | Yes. |
| 16 | Q | And at the time you read it you saw nothing wrong with |
| 17 | it? | |
| 18 | A | I did not catch the typo when I |
| 19 | Q | That's not what I asked you, sir. |
| 20 | A | That's what I'm answering. |
| 21 | Q | You did not see anything wrong? |
| 22 | A | That's what I'm answering. I did not catch the typo. |
| | | |

| | | Page 87 |
|----------------------------------|---|---|
| 1 | Q | Can you answer my question? Was there something wrong |
| 2 | with | the declaration that you saw in paragraph six? |
| 3 | А | Was there something wrong? |
| 4 | Q | Yes. |
| 5 | А | Yes. |
| 6 | Q | Did you see that it was wrong at the time you read a |
| 7 | draft | prior to the final draft? |
| 8 | A | No. |
| 9 | Q | When did you first notice in paragraph six of |
| 10 | Micro | soft Exhibit 1019 in IPR 201501148 had an error? |
| 11 | A | It want to say somewhere between one and two weeks |
| 12 | ago. | |
| 13 | Q | Did you draw that error to counsel's attention? |
| | × | |
| 14 | д А | I don't remember if I made a note to bring it to their |
| 14 15 | A | I don't remember if I made a note to bring it to their tion later or if I sent a note identifying the error. |
| | A atten | |
| 15 | A atten | tion later or if I sent a note identifying the error. |
| 15 16 | A atten One o | tion later or if I sent a note identifying the error. f the two. I can't remember. |
| 15 16 17 | A atten One o Q | tion later or if I sent a note identifying the error. f the two. I can't remember. So you can't remember that two weeks ago? |
| 15 16 17 18 | A atten One o Q A | tion later or if I sent a note identifying the error. f the two. I can't remember. So you can't remember that two weeks ago? I mean, whether I just made a note of it or told them? |
| 15 16 17 18 19 | A atten One o Q A Q | tion later or if I sent a note identifying the error. f the two. I can't remember. So you can't remember that two weeks ago? I mean, whether I just made a note of it or told them? Correct. |
| 15 16 17 18 19 20 | A atten One o Q A Q A Q Q | tion later or if I sent a note identifying the error. f the two. I can't remember. So you can't remember that two weeks ago? I mean, whether I just made a note of it or told them? Correct. I don't remember. |

| | | Page 88 |
|----|-------|---|
| 1 | A | In the last two weeks? |
| 2 | Q | Yes, sir. |
| 3 | A | None before this one. |
| 4 | Q | Let me have you turn to Exhibit 1020 in IPR |
| 5 | 2015- | 01149. And I'm going to ask you with respect to |
| 6 | parag | raph seven of that exhibit when did you first notice |
| 7 | there | was an error in paragraph seven? |
| 8 | A | Same time frame. |
| 9 | Q | By "time frame," we have identified one to two weeks. |
| 10 | Is th | at the same time frame you are talking about? |
| 11 | A | I think that is what same time frame means, but yes. |
| 12 | Q | Well, I didn't know which time frame you were |
| 13 | refer | ring to, sir, but you noticed the error that's in |
| 14 | parag | raph seven of U.S. patent sorry IPR try again. |
| 15 | Strik | e that. |
| 16 | | You noticed the error in paragraph |
| 17 | seven | of Exhibit 1020 in IPR 2015-01149 at the same time |
| 18 | that | you noticed the error in paragraph seven of Exhibit |
| 19 | 1019 | in the 01148? |
| 20 | A | I can't answer the question the way you posed it. |
| 21 | Q | And what is preventing you from answering that |
| 22 | quest | ion? |
| | | |

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|----|---|
| 1 | A Well, as you can tell, the same paragraph appears in |
| 2 | the two declarations and elsewhere, and it's clearly a cut |
| 3 | and paste paragraph, so the typographical error propagated. |
| 4 | So if I noticed it in one of the declarations, the |
| 5 | implication was that that pasted paragraph that the |
| 6 | typographical error propagated. |
| 7 | Q So these declarations were not prepared declaration by |
| 8 | declaration, but as a group; is that accurate? |
| 9 | A No. |
| 10 | Q Well, you knew immediately in seeing an error in one |
| 11 | that all of them had the same error; right? |
| 12 | MR. MURPHY: Objection to |
| 13 | foundation. |
| 14 | THE WITNESS: Not true. |
| 15 | BY MR. KELBER: |
| 16 | Q So was there a time delay between recognizing the |
| 17 | error in document Exhibit 1020 in IPR 20150001149 and |
| 18 | Exhibit 1019 in IPR 201501148? |
| 19 | A No. I just don't remember. |
| 20 | Q Well, this is less than two weeks ago, isn't it? |
| 21 | A Yeah. |
| 22 | Q And you don't remember? |
| | |

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|----|--|
| 1 | A When I noticed the error and if I noticed it in all |
| 2 | the declarations? |
| 3 | Q Yeah. Within the last two weeks? |
| 4 | A Yeah. I don't remember. I told you when I noticed |
| 5 | it, but you asked me if I immediately told counsel or made a |
| 6 | note to mention it to counsel, and I don't remember. |
| 7 | Q Don't remember whether you noted it to counsel |
| 8 | directly or in a note to tell counsel. I remember that. I |
| 9 | remember your testimony on that part. The question is not |
| 10 | quite the same. My question is: Did you notice the error |
| 11 | in the paragraph that we have been discussing which is |
| 12 | paragraph six of Exhibit 1019 IPR 2015-01148 and Exhibit |
| 13 | 1020 in IPR 2015-01149 at the same time period? |
| 14 | A I don't remember. I noticed it in one of the |
| 15 | declarations. I couldn't tell you which one because that |
| 16 | paragraph appears in several of the declarations. |
| 17 | Q Well, that's my question to you, though, sir. You |
| 18 | recognize the error in one of these five declarations at |
| 19 | some point in time within the last two weeks; right? |
| 20 | A I think so. |
| 21 | Q Are you confident of that? |
| 22 | A Well, you're asking me as best I can remember, and I'm |
| | |

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|----|--|
| 1 | giving you my best recollection. |
| 2 | Q Are you confident of that recollection that it's |
| 3 | accurate? |
| 4 | A More confident than not. |
| 5 | Q When you saw the error was present in one declaration, |
| 6 | whichever one you found it in, you immediately recognized |
| 7 | that it would be in each of these five declaration, didn't |
| 8 | you? |
| 9 | A That particular aspect of it did not come to my mind |
| 10 | immediately. |
| 11 | Q So that's why I'm asking you and I say a question of |
| 12 | some importance to me and my client, even though it is of no |
| 13 | importance to you. What is the span of time between the |
| 14 | time you first recognized this error in one of the five |
| 15 | declarations and the time you last recognized the error in |
| 16 | the fifth of the declarations you recognized it in? |
| 17 | A I don't remember. |
| 18 | Q Would it had been as recent as one week ago? |
| 19 | A Like I said, I don't remember. |
| 20 | Q Well, you don't remember precisely. But do you not |
| 21 | have a fairly focused memory of what you did in connection |
| 22 | with these proceedings in the last two weeks? |
| | |

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|----|--|
| 1 | A Well, I can tell you what my focus memory is, if you |
| 2 | will let me answer the question. |
| 3 | Q Go ahead. |
| 4 | A Okay. In my mind, this is in context, it is an |
| 5 | obvious typographical error that anyone could identify upon |
| 6 | reading the document, and I've never been in a deposition |
| 7 | before today where the attorney questioning me did not start |
| 8 | by saying are there any changes you would like to make us |
| 9 | aware of. So I came in to this deposition prepared to point |
| 10 | out the typographical error for the record, but that did not |
| 11 | happen and now we are focusing on it ad infinitum, and I |
| 12 | don't know what else I can say about it, other than it was a |
| 13 | typo. |
| 14 | ${\tt Q}$ How does that impact the answer to the question that I |
| 15 | asked you? I mean, you made your speech that it's a typo. |
| 16 | I get your opinion. Does your technical expertise extend to |
| 17 | determining when it's a typographical error as opposed to a |
| 18 | failure to pay attention to the document? |
| 19 | A I can't answer that question. It doesn't make any |
| 20 | sense to me. |
| 21 | Q Well, errors can occur through a number of sources; |
| 22 | right? You can have a deliberate mistake. You can have an |
| | |

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|----|--|
| 1 | accidental mistake. You can have a typographical error. |
| 2 | Those are three different kinds of errors; right? |
| 3 | MR. MURPHY: Object to form. |
| 4 | THE WITNESS: Well, certainly I |
| 5 | divide them in to intentional and unintentional. |
| 6 | BY MR. KELBER: |
| 7 | Q Well, Exhibit 1021 in IPR 2015-01150, do you recall if |
| 8 | you received the initial draft of this declaration with |
| 9 | paragraph six reading as it does right now? |
| 10 | A I don't recall one way or the other. |
| 11 | Q Really, it didn't make a difference to you what it |
| 12 | read? You were going to sign it if it seemed okay |
| 13 | otherwise; correct? |
| 14 | MR. MURPHY: Objection to form, |
| 15 | foundation. Argumentative. |
| 16 | THE WITNESS: That's not true. |
| 17 | BY MR. KELBER: |
| 18 | Q That's not true? |
| 19 | A No. |
| 20 | Q What would you point to to disinterested bystander to |
| 21 | reassure that bystander that you paid close attention not to |
| 22 | just what you got from counsel, but to ensure your own |
| | |

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|----|---|
| 1 | opinion was reflected in these documents? |
| 2 | MR. MURPHY: Object to form. |
| 3 | THE WITNESS: What would I point to? |
| 4 | BY MR. KELBER: |
| 5 | Q Yeah. What would you direct to the person of ordinary |
| 6 | skill in reading declarations; that is, a disinterested |
| 7 | bystander who was able to deal with the technical level, |
| 8 | technical detail of these declarations? You don't have what |
| 9 | we have discussed as a jurat. You don't have a statement |
| 10 | that these are reliable. They are they are they do |
| 11 | reflect an error that changes the meaning, however trivial, |
| 12 | of a paragraph, so what would you point to to convince |
| 13 | somebody disinterested that, in fact, despite their virtual |
| 14 | identity in content, you actually reviewed each one |
| 15 | separately? |
| 16 | MR. MURPHY: Object to form and |
| 17 | foundation. |
| 18 | THE WITNESS: Your question has two |
| 19 | factual errors, so I cannot answer it. |
| 20 | BY MR. KELBER: |
| 21 | Q Does each of the five declarations that you originally |
| 22 | submitted, executed in these five IPRs have the exact same |
| | |

| | | Page 95 |
|----|-------|--|
| 1 | error | that you instructed me on just before lunch; that is, |
| 2 | displ | ay element 520 should read display element 516? |
| 3 | А | That's correct. |
| 4 | Q | You didn't catch it in either any one of the five; |
| 5 | is th | at correct? |
| 6 | А | I think I told you earlier that I did catch it. |
| 7 | Q | At the time you executed the declarations? |
| 8 | A | What does execute me? Sign? |
| 9 | Q | That was your word. You said you said I executed |
| 10 | the d | eclaration. |
| 11 | А | You just said it. |
| 12 | Q | When you affixed your electronic signature to the |
| 13 | docum | ent on or about June 1, 2016? |
| 14 | А | Sorry. Was there a question in there? |
| 15 | Q | You, sir. You asked what I meant by executed? |
| 16 | А | The one before I meant. Read back his previous |
| 17 | quest | ion that had the word executed. |
| 18 | Q | We are now two questions back. Let me try it again. |
| 19 | Were | you aware of the error that we have been discussing |
| 20 | where | the pertinent paragraph reads 520 and should read 516, |
| 21 | were | you aware of that error when you caused each of these |
| 22 | decla | rations to be executed? |

Page 96 1 MR. MURPHY: Objection to 2 foundation. 3 THE WITNESS: I was not. 4 BY MR. KELBER: 5 And I know that I -- I am confident that I am 0 6 repeating, but you detected that error that you have 7 characterized as a typographical error sometime in the last two weeks; is that correct? 8 9 A I said that was the best of my recollection. I don't 10 recall precisely. You asked me for an estimate, so I gave 11 you one. 12 Well, I didn't ask you for an estimate, but that is Q 13 the best you can give me; right? 14 А Sure. 15 Can you do better? 0 16 MR. MURPHY: Object to form. 17 THE WITNESS: No. BY MR. KELBER: 18 19 Did you know about it before June 9th? It being the 0 20 typographical error? 21 I don't think so. Α 22 Q So what were you doing in connection with these IPRs

| | | Page 97 |
|----|---|------------------|
| 1 | that brought that error to your attention aft | ter June 9? |
| 2 | A Most likely reviewing for or preparing | for this |
| 3 | deposition. | |
| 4 | Q When did you start preparing for this o | deposition, sir? |
| 5 | A A week ago. | |
| 6 | Q I'm sorry? | |
| 7 | A A week ago. | |
| 8 | Q So you wouldn't have detected the error | r as much as two |
| 9 | weeks ago. You would have detected the error | r about a week |
| 10 | ago; is that right? | |
| 11 | A I don't remember. | |
| 12 | Q Well, did you start preparing for this | deposition |
| 13 | before it was noticed? | |
| 14 | A I don't remember. Oh, certainly not be | efore it was |
| 15 | noticed. | |
| 16 | Q We are square on that? | |
| 17 | A Absolutely. | |
| 18 | Q All right. So you did know about the e | error by June 9; |
| 19 | correct? | |
| 20 | MR. MURPHY: Objectio | on. Foundation. |
| 21 | THE WITNESS: Apparen | ntly not because |
| 22 | I signed the documents on June 9th with the b | poiler plate |

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|----|--|--|
| 1 | clause added. | |
| 2 | BY MR. KELBER: | |
| 3 | Q Well, the deposition wasn't noticed until June 15, | |
| 4 | sir, so what were you doing reviewing it before the notice | |
| 5 | of the deposition? | |
| 6 | A Who knows? | |
| 7 | Q I would like to know. You are the only one who can | |
| 8 | answer. What were you doing that led you to detect the | |
| 9 | error before June 15? I'm sorry. Scratch that. | |
| 10 | What were you doing that led you to | |
| 11 | detect the error in each of these five declarations with | |
| 12 | respect to the numeral of the display element when you first | |
| 13 | detected that error in one of the declarations? What were | |
| 14 | you doing? | |
| 15 | MR. MURPHY: Object to form, | |
| 16 | foundation. | |
| 17 | THE WITNESS: Reading the document. | |
| 18 | BY MR. KELBER: | |
| 19 | Q And to what purpose? Why? | |
| 20 | A I don't recall. | |
| 21 | Q This happened less than a week ago? | |
| 22 | A I don't recall. | |
| | | |

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|----|--|--|
| 1 | Q | When did you read the document? |
| 2 | A Well, now, today is the 28th, and you say the | |
| 3 | depos | ition was served on the 15th. One of the two attorneys |
| 4 | to my | right let me know, so that's about two weeks away. |
| 5 | Q When did they let you know, sir? | |
| 6 | A I would have to check my e-mail trail. I don't | |
| 7 | recal | 1. |
| 8 | Q | Approximately, Dr. Hornstein? When did they let you |
| 9 | know? | |
| 10 | A | Well, it would be about two weeks or less. |
| 11 | Q | Or less? |
| 12 | A | Yes. |
| 13 | Q | So let's take June 15? |
| 14 | A | Okay. |
| 15 | Q | What were you doing after June 15th, but before you |
| 16 | began | preparing for the deposition? |
| 17 | A | Have that question back? |
| 18 | | (The Court Reporter read back the |
| 19 | last | question.) |
| 20 | | MR. MURPHY: Object to form. |
| 21 | BY MR | . KELBER: |
| 22 | Q | Did you not understand anything about that question? |
| | | |

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|----|--|
| 1 | A What was I doing if I read anything after the |
| 2 | notice of deposition that was to prepare for the deposition. |
| 3 | Q Okay. So you had no idea that the error in these |
| 4 | declarations substituting the numeral 520 for the numeral |
| 5 | 516 was there until you began preparing for the declaration; |
| 6 | is that correct? |
| 7 | A You mean the deposition? |
| 8 | Q Sorry. The deposition. I do mean that. |
| 9 | A That is more likely true than not. |
| 10 | Q I think you said, but I'm going to ask you to confirm |
| 11 | that you began preparing for this deposition about a week |
| 12 | ago; is that right? |
| 13 | A In earnest. |
| 14 | Q What did you do before you were preparing in earnest? |
| 15 | A I started reading some of the documents here and |
| 16 | there. |
| 17 | Q Which documents? |
| 18 | A I don't recall. |
| 19 | Q I got to ask this again, Doctor, if it is not |
| 20 | medication, is there anything else in your life, physical |
| 21 | issue, an emotional issue, too much work, that impairs your |
| 22 | short-term memory? |
| | |

Page 101 1 А No. 2 But you can't recall what you were doing that led you Q 3 to detect this change somewhere between June 15 and a week 4 ago? 5 MR. MURPHY: Objection. 6 BY MR. KELBER: 7 Is that accurate? 0 8 MR. MURPHY: Objection. Foundation. 9 THE WITNESS: Not accurate. 10 BY MR. KELBER: 11 What's not accurate about that? Ο 12 I told you what I was doing. А 13 Well, you were reviewing some documents here and Q 14 there; correct? 15 I was reviewing the document, at least one of the А documents with the error. 16 17 Which one? Q 18 I don't remember. А 19 Did you review them all when you detected the error? Q 20 I don't remember. Α 21 You just really didn't care very much, did you? Q 22 MR. MURPHY: Objection.

| | Page 102 |
|----|--|
| 1 | Argumentative. Foundation. |
| 2 | THE WITNESS: Not true at all. As I |
| 3 | said, I was expecting to be able to come to the deposition |
| 4 | and identify the typographical error, for the record. I |
| 5 | didn't think anything of it beyond that. Clearly a typo, |
| 6 | anyone reading the document and knowing anything about the |
| 7 | technology and what is being discussed in the context of the |
| 8 | Jahagirdar pattern would recognize that it's a simple typo. |
| 9 | BY MR. KELBER: |
| 10 | Q You got a three or four-page declaration? |
| 11 | A Yes. |
| 12 | Q Seven or eight paragraphs, two of which are |
| 13 | introductory, and you don't think the changes in the |
| 14 | document that you want to prepare are worthy of notifying |
| 15 | opposing counsel. Is that your testimony? |
| 16 | A No. |
| 17 | MR. MURPHY: Objection to form. |
| 18 | BY MR. KELBER: |
| 19 | Q Well, you didn't notify me or anybody else |
| 20 | representing Global Tech Solutions before today, did you, of |
| 21 | that error? |
| 22 | MR. MURPHY: Objection. |
| | |

Page 103 1 THE WITNESS: That wouldn't be my 2 job. 3 BY MR. KELBER: 4 Did you tell Microsoft's counsel before yesterday 0 5 about the error? 6 MR. MURPHY: Objection. Yes-or-no 7 answer to privilege concerns. 8 MR. KELBER: That is not privileged. 9 What you might have said to him and what he might have said 10 to you in response might be privileged, but that is not 11 privilege. 12 MR. MURPHY: The yes-or-no answer is 13 not privileged. I agree. 14 THE WITNESS: I answered this 15 question earlier, and I seem to recall having done so. BY MR. KELBER: 16 17 When would you have done so? Q 18 I don't remember. Α 19 But it would had been sometime after June 15? Q 20 Probably. Α 21 Would your e-mail -- would you have communicated this Q 22 issue to counsel the first time via e-mail?

| | Page 104 |
|----|---|
| 1 | A I don't remember. |
| 2 | Q Would you have called him by phone or her? |
| 3 | A Possibly. |
| 4 | MR. KELBER: We would like all of |
| 5 | the relevant e-mails pertaining to this issue, counsel. |
| 6 | BY MR. KELBER: |
| 7 | Q When you read the declarations that were prepared for |
| 8 | you by counsel that had the additional paragraph that you |
| 9 | have referred to several times as, quote, boiler plate, |
| 10 | closed quote, did you read them carefully? |
| 11 | A So, first of all, couple of errors in your question. |
| 12 | Counsel did not prepare a new declaration. Counsel appended |
| 13 | the boiler plate paragraph to my existing declaration. |
| 14 | Q Isn't the result a new declaration, sir? |
| 15 | A That's right. |
| 16 | Q And you had to execute that declaration all over |
| 17 | again; right? |
| 18 | A Well, I signed the new version of the declaration with |
| 19 | the boiler plate paragraph added. |
| 20 | Q That's not quite what you testified to before. You |
| 21 | testified before that you affixed your facsimile signature |
| 22 | to the new declarations; is that correct? |
| | |

| | Page 105 |
|----|---|
| 1 | A To me that's signing. |
| 2 | Q I understand that, but I've asked you a specific |
| 3 | question? |
| 4 | A My specific question is, I signed it. |
| 5 | Q No, sir. That's actually a specific answer. My |
| 6 | specific question is: Did you sign it with a pen and not |
| 7 | further modify the document that is Exhibit 2007 and the |
| 8 | document that is 2008 without the affixation of what you |
| 9 | have referred to as a facsimile signature? |
| 10 | MR. MURPHY: Object to form. |
| 11 | THE WITNESS: Where is 2007? So you |
| 12 | are asking me if I'm sorry. These questions are similar. |
| 13 | I've just lost track of where we are going. Can we read |
| 14 | back? |
| 15 | BY MR. KELBER: |
| 16 | Q Let's try and focus. Let's take one document since |
| 17 | there is so many pieces of paper floating around. Exhibit |
| 18 | 2007? |
| 19 | A Okay. |
| 20 | Q When you executed or caused this document to be |
| 21 | executed, were you aware of the error in paragraph six? |
| 22 | A When I signed the declaration with the boiler plate |
| | |

| | Page 106 |
|----|--|
| 1 | paragraph appended |
| 2 | Q I don't know what that means, so I am really asking |
| 3 | you to respond to my question. |
| 4 | A Would you like me to tell you what it means? |
| 5 | Q No, sir. I don't really want to know what it means. |
| 6 | A Then I have no question to answer if you won't let me |
| 7 | answer. |
| 8 | Q You can't answer my question? When you caused a |
| 9 | signature that you say corresponds to your execution of the |
| 10 | document on Exhibit 2007 for the first time, were you aware |
| 11 | of the error in paragraph six? |
| 12 | MR. MURPHY: Object to form. |
| 13 | THE WITNESS: What was the first |
| 14 | time? Something for the first time? What was the |
| 15 | BY MR. KELBER: |
| 16 | Q That you stuck your signature on the document that is |
| 17 | Exhibit 2007? |
| 18 | A So on June 9th I had not detected the error yet. |
| 19 | Q Good. And sometime after that you detected the error, |
| 20 | and you may or may not have told counsel for Microsoft about |
| 21 | it; is that right? |
| 22 | A That's best of my recollection. |

| | Page 107 |
|----|---|
| 1 | Q In the words of the 970 patent which you have before |
| 2 | you, I think, what does it mean to activate? |
| 3 | A Is there a specific passage that you would like me to |
| 4 | focus on? |
| 5 | Q Not really. |
| 6 | A Okay. |
| 7 | Q Is the word activate used consistently throughout the |
| 8 | 970 patent? |
| 9 | A No. |
| 10 | Q Okay. Then we will take them one piece at a time. In |
| 11 | claim 52, which appears in column 16 just above line 40. It |
| 12 | is probably line 39. The word activation is the last word |
| 13 | in the line. Do you see that? |
| 14 | A Yes, sir. |
| 15 | Q What does that mean? |
| 16 | A It's activating the indicator. |
| 17 | Q What does that mean? Is there any other way to |
| 18 | express that term? |
| 19 | MR. MURPHY: Object to form. |
| 20 | THE WITNESS: It is causing the |
| 21 | indicator to indicate. |
| 22 | BY MR. KELBER: |
| | |
| | Page 108 |
|----|--|
| 1 | Q Does it mean turning off the indicator? |
| 2 | A Well, actually the next part of the claim that informs |
| 3 | what it means to activate the indicator to show at least one |
| 4 | of the following. |
| 5 | Q Does that mean to turn the indicator off? |
| 6 | A Sorry. It means to show one of the following: |
| 7 | Condition, activation of the switch, power level of the |
| 8 | power source. So to activate the indicator means to cause |
| 9 | it to show information regarding one of those three |
| 10 | attributes. |
| 11 | Q Does that mean to turn it on? |
| 12 | A I think it means to cause the indicator to indicate |
| 13 | information. |
| 14 | Q You keep on using the same term to answer the |
| 15 | question. Is activation in the I'm sorry going to |
| 16 | hand you a document that's been previously marked as |
| 17 | Microsoft Exhibit 1001. Is the term activate used |
| 18 | differently in the patent that is labeled only in the |
| 19 | document that is U.S. patent 7498749, is it used differently |
| 20 | than the word activate in the 970 patent that we are looking |
| 21 | at? |
| 22 | A Is there a particular instance you would like to call |

| | Page 109 |
|----|---|
| 1 | my attention to? |
| 2 | Q Same one, sir. |
| 3 | A Well, you called my attention to a claim, and I |
| 4 | suspect the claim of the 749 are different. |
| 5 | Q Well, they are, but does the word I suppose I can |
| 6 | find an example. The second patent I handed to you, the 749 |
| 7 | patent, activation is a word that appears or activated. You |
| 8 | can take your pick. In claim one which you can find in |
| 9 | column 12 under Section C let's use the indication at |
| 10 | subparagraph I? |
| 11 | A So column 1 about line 32? |
| 12 | Q No, sir. Claim one. |
| 13 | A Which is column 12. |
| 14 | Q Column 12. Yeah. |
| 15 | A Says the indicator is activated to indicate conditions |
| 16 | of the product. |
| 17 | Q Does activated mean turn on? |
| 18 | A It means to indicate conditions of the product. |
| 19 | Q Is that equivalent to saying turning it on? |
| 20 | A Well, it's hard to say because many point in the |
| 21 | patent and in specification activate is used to refer to |
| 22 | what a user does to a switch. For example, claim 3, column |
| | |

| | Page 110 |
|----|--|
| 1 | 12, line 30. I'm sorry. Line 49. The user interface |
| 2 | output in response to user activation. |
| 3 | Q And it's your testimony that the term activation in |
| 4 | claim three is being used to mean something different than |
| 5 | the term activation in claim one? |
| 6 | A Well, I use that as an example I could find quickly, |
| 7 | but as I recall reading the specification, the reason the |
| 8 | meaning intended meaning of activation means something |
| 9 | more than turning on is because at least in one point in the |
| 10 | specification, activation is used to refer to what the user |
| 11 | does to a switch. |
| 12 | Q Can you go back and answer my question now? You |
| 13 | pointed me to claims 1 and 3 of the 749 patent. So I asked |
| 14 | you, is it viewed the same way, the word activation in those |
| 15 | two claims? I really didn't ask you as a pretext so you |
| 16 | could give a different answer to a different question. |
| 17 | That's my only question. |
| 18 | A I just expanded because |
| 19 | Q Great. |
| 20 | A what claim three talks about is the user activating |
| 21 | the interface. |
| 22 | Q Great. So can you answer my question now? |
| | |

| | Page 111 |
|----|---|
| 1 | A I think I just did. |
| 2 | Q So you are saying they are used in a different sense? |
| 3 | A Well, in claim one, Roman Sub sub-section Roman |
| 4 | numeral two the indicator is activated to indicate |
| 5 | conditions and in claim three, it's the user interface |
| 6 | that's activated by the user. |
| 7 | Q Independent of what is being activated, sir that's |
| 8 | not my question to you. Does the term activate or |
| 9 | activation, does it have the same meaning in both claims is |
| 10 | really the question I've asked you three times now, and you |
| 11 | can say yes, no or something else. |
| 12 | A If you are asking for claim an answer that pertains |
| 13 | to claim construction. |
| 14 | Q I'm not, sir. I'm not. You are not a legal expert; |
| 15 | correct? |
| 16 | A So this is not in respect to claim construction. |
| 17 | Q The term claim construction was not in my question. I |
| 18 | never will ask you that. I'm tired of the speeches, sir. I |
| 19 | would like an answer to my question, not the question you |
| 20 | want to answer. |
| 21 | MR. MURPHY: I'm sorry. What's the |
| 22 | question? |
| | |

| | | Page 112 |
|----|-------|---|
| 1 | BY MR | R. KELBER: |
| 2 | Q | Do you know what the question is, sir? |
| 3 | A | No. |
| 4 | Q | Is the term activate or activation used in the same |
| 5 | sense | e in claims one and three of the 749 patent? Those are |
| 6 | the c | claims you directed me to? |
| 7 | A | Well, in the sub in the Roman sub-section Roman |
| 8 | numer | cal two, the actual word is activated, past tense verb. |
| 9 | In th | ree, it is a gerund user activation used as a noun. So |
| 10 | I do | say they refer to different things, but it is not the |
| 11 | same | word. |
| 12 | Q | Can it be used in the same sense, or can you not |
| 13 | answe | er that question? |
| 14 | A | I can't answer the question the way you posed it. |
| 15 | Q | What is the problem with the question? |
| 16 | A | I don't know what it means. |
| 17 | Q | Do you know what the question means? |
| 18 | A | No. |
| 19 | Q | You don't have an understanding of sense, the same |
| 20 | sense | ? |
| 21 | A | Not the way you have posed the question. |
| 22 | Q | So, if I ask you is the term activation as used in |
| | | |

| | | Page 113 |
|----|-------|--|
| 1 | claim | one and claim three of Exhibit 1001, indicate the same |
| 2 | meani | ng, can you answer that? |
| 3 | A | You are talking about all of claim one or just section |
| 4 | Roman | numeral two? |
| 5 | Q | Activation. So is the word activation used to mean |
| 6 | diffe | rent things each time it appears in claim one? |
| 7 | А | The word activation appears one time in claim one. |
| 8 | Q | And it appears twice in claim one and three times in |
| 9 | claim | one. |
| 10 | А | I'm sorry. You are right. Two times, and I don't see |
| 11 | the t | hird time. |
| 12 | Q | Well, let's just go with the first two, sir. Is it |
| 13 | used | in the same sense in those two instances? |
| 14 | | MR. MURPHY: Object to form. |
| 15 | BY MR | . KELBER: |
| 16 | Q | Is there anything about that question that's unclear, |
| 17 | sir? | |
| 18 | A | I don't think so. Well, the word activation is used |
| 19 | in th | e common context, but that context is not turning on. |
| 20 | It is | performing something or doing something. |
| 21 | Q | What's it doing? |
| 22 | A | Well, the first time it is used is in paragraph B, the |
| | | |

| | Page 114 |
|----|--|
| 1 | my microchip provides an indicator activation function and |
| 2 | then let's see. In C sub Roman numeral one it talks |
| 3 | about the function that was activated with an activation |
| 4 | command. So activation |
| 5 | Q What does it mean? |
| 6 | A Activation responds to corresponds to a command, |
| 7 | and then I can't find the third one that you claim is there. |
| 8 | Activation in all of these context refers to causing |
| 9 | something to happen. |
| 10 | Q And it's causing something to turn on; correct? |
| 11 | A Not necessarily. |
| 12 | Q When did you change your mind about what the term |
| 13 | activation means? |
| 14 | MR. MURPHY: Object to form and |
| 15 | foundation. |
| 16 | THE WITNESS: Are you referring to |
| 17 | something specific where I |
| 18 | BY MR. KELBER: |
| 19 | Q Remember your deposition being taken before in this |
| 20 | case? |
| 21 | A Yes, sir. |
| 22 | Q And do you remember testifying about what activation |
| | |

| | | Page 115 |
|----|--------|--|
| 1 | meant | to you? |
| 2 | A | I think I would have to see that excerpt from the |
| 3 | depos | ition. |
| 4 | Q | But do you remember you testified about that? |
| 5 | A | I remember testifying in this room. If you are going |
| 6 | to as | k me about the deposition, I have to see a copy of it. |
| 7 | Q | I'm just asking you: Do you remember testifying about |
| 8 | the me | eaning of the term activation? |
| 9 | A | As I said, I would need to see a copy of the |
| 10 | depos | ition to answer your question. |
| 11 | Q | You can't answer that question without reviewing the |
| 12 | depos | ition transcript? |
| 13 | A | Not in the way you are asking it. |
| 14 | Q | What's special with the way I'm asking it? |
| 15 | A | Because it implies that I evoked an on-off meaning to |
| 16 | activa | ation. And taken out of context, I can't answer your |
| 17 | quest | ion. |
| 18 | Q | I think maybe the problem is you are not listening to |
| 19 | my que | estion as much as I'm listening to your answer. I |
| 20 | appre | ciate that this is a triviality to you, sir. I |
| 21 | appre | ciate that you could not care less about the questions |
| 22 | that : | I'm asking. But to me, it's relatively important. To |

Page 116 my client it is relatively important, so I'm going to ask 1 2 you again. 3 Do you recall being asked about the 4 meaning of the term activation as a claim term in your 5 deposition back on February -- back in February of this 6 year? 7 Well, A, the first part of your question, much factual falsehood that I think it is trivial, and I don't care about 8 9 it. I would like to make sure it is on the record that I 10 consider this procedure to be a very serious one, and I'm 11 answering the questions to the best of my ability. 12 Good. Q 13 And I extend my apologies to the attorney for Global 14 Touch if he thinks that I'm not taking it seriously. 15 But the second part of your question, I recall there being a reference to activation on 16 17 and off somewhere in the deposition. I don't recall it 18 pertaining specifically to claim construction. 19 0 There is that term again. I think I know what I mean 20 when I say claim construction. I don't know what you mean when you say claim construction. So maybe you could help me 21 22 out by explaining what that term means.

| | Page 117 |
|----|--|
| 1 | A You want me to explain what claim construction is? |
| 2 | Q To you. You said and I'll quote your earlier |
| 3 | testimony. I'm not a legal expert. To me claim |
| 4 | construction is an exercise reserved for the fact finder, |
| 5 | sometimes the court, sometimes the board. You have possibly |
| 6 | the same, possibly a different meaning, but you offered that |
| 7 | answer or offered that phrase in your answer several times |
| 8 | now. So it is important for me to understand what you mean |
| 9 | by claim construction. |
| 10 | MR. MURPHY: Objection. Foundation. |
| 11 | Form. |
| 12 | THE WITNESS: Beg your pardon, but |
| 13 | your last question included the word claim construction in |
| 14 | the question. You asked me if I remember testifying on |
| 15 | activation on off with respect to claim construction. |
| 16 | BY MR. KELBER: |
| 17 | Q You think so. |
| 18 | A You were the one who brought up claim construction as |
| 19 | I recall. |
| 20 | Q I know definitely what the problem is. You're not |
| 21 | hearing what I'm saying or I'm not saying what you seem to |
| 22 | be hearing. |
| | |

| | | Page 118 |
|----|-------|--|
| 1 | A | Could you read back his question, please? |
| 2 | Q | It is five questions back. |
| 3 | A | Could you read it back, please? |
| 4 | Q | Why don't we just go to the new question, which is |
| 5 | what | do you mean when you say claim construction? |
| 6 | A | Claim construction is the purview of either the court |
| 7 | or wh | atever entity oversees the proceeding that construes |
| 8 | claim | ns to give clarity to you to what they mean in the |
| 9 | conte | ext of the patent claims. |
| 10 | Q | Construes claiming is kind of like using the phrase to |
| 11 | answe | er what you mean by the phrase. You used the term |
| 12 | sever | al times. What do you mean when you say claim |
| 13 | const | ruction? |
| 14 | A | I think I just answered your question. |
| 15 | Q | Well, it is not something you do; is that correct? |
| 16 | A | From time to time I have been asked to offer technical |
| 17 | opini | ons that relate to the exercise of claim construction. |
| 18 | Q | When you are asked to offer technical opinions that |
| 19 | relat | e to the question of claim construction, what do you |
| 20 | do? | |
| 21 | A | I offer opinions that relate to claim construction. |
| 22 | Q | How do you do that, sir? |
| | | |

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|----|---|
| 1 | A How do I do that? |
| 2 | Q Yeah. What is it you consult, refer to, describe to |
| 3 | arrive at advice or opinions, if you will, on claim |
| 4 | construction? |
| 5 | MR. MURPHY: Object to form and |
| 6 | relevance. |
| 7 | THE WITNESS: There is a |
| 8 | procedure I'm not sure I can recite it off the top of my |
| 9 | head as to what one considers in rendering opinions |
| 10 | relative to claim construction. One looks to the claim. |
| 11 | One looks to the specification. You know, one considers |
| 12 | plain and ordinary meaning and things of that nature. I'm |
| 13 | not sure I can recite the exact procedure without referring |
| 14 | to a document in which I gave an opinion on claim |
| 15 | construction. |
| 16 | BY MR. KELBER: |
| 17 | Q I was really referring to what you do, sir, not a |
| 18 | person of particular skill and claim construction. What do |
| 19 | you do? |
| 20 | MR. MURPHY: Object to form. |
| 21 | THE WITNESS: I give my opinions. |
| 22 | BY MR. KELBER: |
| | |

Page 120 1 What do you consult, what resources do you consult to Ο arrive at what you're calling claim construction? 2 3 MR. MURPHY: Object to form and 4 relevance. 5 THE WITNESS: I think I have said I 6 understand there is a procedure that one follows, and I 7 follow that procedure. BY MR. KELBER: 8 9 What procedure do you follow, sir? 0 10 Like I said --А 11 MR. MURPHY: Object to form. 12 THE WITNESS: -- I would need to 13 refer to the prescription for looking at claim construction 14 issues and I can't recite it off the top of my head. I gave 15 you some flavor for what's involved, but I can't recite it off the top of my head. 16 17 BY MR. KELBER: 18 Q I'm not sure what the flavor was, other than you do 19 some things. What is that flavor? 20 MR. MURPHY: Objection. Foundation. Form. Relevance. 21 22 BY MR. KELBER:

| | Page 121 |
|----|--|
| 1 | Q Can't answer better than that? |
| 2 | A I think I have answered it three times. There is a |
| 3 | procedure that one follows, and I'm advised by the attorneys |
| 4 | with whom I am working what procedure to follow, and I gave |
| 5 | you some elements of the procedure, but I don't know if I've |
| 6 | got them all or in the order of priority. That's what I do. |
| 7 | I follow the procedure that I'm told to follow in terms of |
| 8 | what I look at and give priority to other things I look |
| 9 | like. They involve such things as the claim terms |
| 10 | themselves, the plain and ordinary meaning of the claims, |
| 11 | what's in the specification, extrinsic evidence, knowledge |
| 12 | of a person's skill in the art, et cetera. |
| 13 | Q Great. Have you ever seen in any document anywhere |
| 14 | that substituting touch sensor switches or push button |
| 15 | switches would decrease accidental activation of those |
| 16 | switches? |
| 17 | MR. MURPHY: Objection to |
| 18 | foundation. |
| 19 | THE WITNESS: You are asking me if I |
| 20 | have seen it in a document? |
| 21 | BY MR. KELBER: |
| 22 | Q Yes, sir. |
| | |

| | Page 122 |
|----|--|
| 1 | A Yes. |
| 2 | Q Do you know what document that is? |
| 3 | A It is discussed in the context of the Schultz |
| 4 | reference that I know I cited in my declarations. |
| 5 | Q Is this the document you have been referring to that's |
| 6 | been marked as Microsoft Exhibit 1005? I asked a question. |
| 7 | A I'm sorry. Can you read back the question? |
| 8 | (The Court Reporter read back the |
| 9 | last question.) |
| 10 | THE WITNESS: Yes. |
| 11 | BY MR. KELBER: |
| 12 | Q And is it your opinion that in this document this |
| 13 | is Exhibit 1005 the document indicates somehow that |
| 14 | replacing the push button switches, replacing sorry |
| 15 | push button switches with touch sensor switches would reduce |
| 16 | accidental activation? |
| 17 | MR. MURPHY: Object to form. |
| 18 | THE WITNESS: Yes. |
| 19 | BY MR. KELBER: |
| 20 | Q Please take me to that portion, if you can, sir. |
| 21 | A Well, if you just look at the background of invention, |
| 22 | section column one, starting with line seven talks about |
| | |

| | | Page 123 |
|----|-------|--|
| 1 | diffe | erent types of switch systems that include conventional |
| 2 | elect | cric contact devices. |
| 3 | Q | That's not descriptive of push button switches? |
| 4 | A | Of course it is. |
| 5 | Q | You think so? |
| 6 | A | Absolutely. |
| 7 | Q | So this reference is discussing in that background of |
| 8 | the i | nvention of push button switch, that's your testimony? |
| 9 | A | Conventional electric contact device, absolutely. |
| 10 | Q | Does a push button switch respond to the proximity of |
| 11 | an ar | nimal or the shunting of the animal's body resistance |
| 12 | acros | ss an electric current? |
| 13 | A | On push button? |
| 14 | Q | Yes. |
| 15 | A | It does not. |
| 16 | Q | Is a push button switch responsive to either |
| 17 | capac | citive coupling? I'm sorry. That's the question. |
| 18 | | MR. MURPHY: Object to form. |
| 19 | | THE WITNESS: If it's just a push |
| 20 | butto | on switch with no other circuitry to implement proximity |
| 21 | or to | ouch sensing of any kind, then does not. Does not |
| 22 | respo | ond to proximity. |
| | | |

| | Page 124 |
|----|---|
| 1 | BY MR. KELBER: |
| 2 | Q Do the push button switches of the reference that is |
| 3 | Microsoft Exhibit 1004, I think we refer to as Jahagirdar, |
| 4 | do those push button switches respond to electric capacity? |
| 5 | A Can we just define what you mean by push button switch |
| 6 | before we I answer that question? |
| 7 | Q Push button switch it would be let me make a |
| 8 | concrete reference. Push button switches would be keys 144 |
| 9 | of Jahagirdar? |
| 10 | MR. MURPHY: Object to foundation. |
| 11 | THE WITNESS: Jahagirdar only uses |
| 12 | the word key. They never identified what type of key it is. |
| 13 | BY MR. KELBER: |
| 14 | Q Does Schultz Exhibit 1005 that we are discussing, does |
| 15 | it describe an improvement that would be obtained by |
| 16 | replacing the keys of Jahagirdar with a touch sensor? |
| 17 | A It does. |
| 18 | Q And what portion of the reference describes those |
| 19 | keys? |
| 20 | MR. MURPHY: Objection. Foundation. |
| 21 | THE WITNESS: Well, the reasons to |
| 22 | substitute are outlined in the background, and the and |
| | |

| | Page 125 |
|----|---|
| 1 | the whole pattern that talks about a circuit that the |
| 2 | inventor describes that makes the switch sensitive at the |
| 3 | same time to resistive touching and passive touching at the |
| 4 | same time. |
| 5 | BY MR. KELBER: |
| 6 | Q I'm sorry. Resistive touching and what? |
| 7 | A Capacitive touching at the same time. |
| 8 | Q Describe any other type of touching over which an |
| 9 | advantage is secured by using the touch sensors of Schultz? |
| 10 | MR. MURPHY: Object to form. |
| 11 | THE WITNESS: Only touching by |
| 12 | animate objects is the wrong word. Animate entities like |
| 13 | a person's finger. |
| 14 | BY MR. KELBER: |
| 15 | Q Okay. Does Schultz discuss adjusting the sensitivity |
| 16 | of its touch sensors? Let me withdraw that question and ask |
| 17 | you something that might be more easily responded to. |
| 18 | Does Schultz set forth a method by |
| 19 | which the sensitivity of its touch sensor may be adjusted? |
| 20 | A So to answer your question, does Schultz disclose a |
| 21 | method for adjusting the sensitivity? |
| 22 | Q That's a rough rendering, yes, sir. |
| | |

| | Page 126 |
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| 1 | A It is not explicitly stated, but the operation of the |
| 2 | bleeder capacitor resistor circuit shown in the lower part |
| 3 | of figure one in the context of a skilled person one would |
| 4 | simply know to adjust resister 40 to adjust the sensitivity |
| 5 | of the sensor. |
| 6 | Q Let me ask you a question: Paragraph four of your |
| 7 | declaration in 1148, Exhibit 2007, let's go with that |
| 8 | because that's easier, you knew that you could that you |
| 9 | could make that adjustment when you filed your initial |
| 10 | declaration in this case in 2015; right? |
| 11 | A Okay. |
| 12 | Q Well, kind of; is that correct? |
| 13 | A I would have to see my declaration. |
| 14 | Q You don't know? |
| 15 | A If you are going to ask me questions about it, I need |
| 16 | to see it, but I did talk about Schultz in that declaration. |
| 17 | Q And nothing has changed since 2015 with regard to the |
| 18 | ability of one of skill in the art to adjust the sensitivity |
| 19 | of the touch sensor of Schultz; correct? |
| 20 | A Skilled person would still have that ability. |
| 21 | Q Why put it in now rather than back in 2015 when you |
| 22 | originally addressed this issue? |
| | |

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| | Page 127 |
|----|---|
| 1 | MR. MURPHY: Object to form. |
| 2 | THE WITNESS: You are telling me I |
| 3 | didn't put it in the original declaration, and I'm saying I |
| 4 | need to I need to see it. I need to see the declaration. |
| 5 | BY MR. KELBER: |
| 6 | Q If it was in your original declaration, sir, why put |
| 7 | it in Exhibit 2007 and 2008? |
| 8 | MR. MURPHY: Object to form. |
| 9 | THE WITNESS: I can't answer the |
| 10 | question without seeing the declaration. Sorry. |
| 11 | BY MR. KELBER: |
| 12 | Q Well, it was either in the declaration or it wasn't in |
| 13 | this declaration; is that fair? |
| 14 | A I can't answer a question without seeing the |
| 15 | declaration. |
| 16 | Q You can't answer that question, sir? |
| 17 | A I need to see the declaration. |
| 18 | Q You can't tell me that you agree that it is one of two |
| 19 | possibilities. It was in your declaration, or it was not in |
| 20 | your declaration? |
| 21 | A I feel you are bullying me in to an answer where I |
| 22 | asked you three times to see the declaration to answer your |
| | |

Page 128 1 question. 2 I know what you want to do, sir. I'm asking you why 0 3 did you elect to put this discussion of inadvertent 4 actuation, paragraph four of Exhibit 1021 in IPR 2015-01150, 5 and the parallel declaration, the other four IPRs, why did 6 you elect to put it in that one? 7 Well, as you know, these second declarations are filed 8 in response to patent owners' response and/or declarations 9 of my counterpart Dr. Morley. So at least in the second 10 declarations, I was answering a position about inadvertent 11 actuation that would allegedly occur when incorporating the 12 touch sensor of Schultz in to Jahagirdar. 13 Well, that's clearly what you discuss in paragraph 0 14 three of this Exhibit 1021? 15 You mean 1027? А Depends on which one we are talking about, but it's 16 0 17 the same substance. But that's not what you discuss in the 18 next paragraph, is it? 19 MR. MURPHY: Objection. Foundation. 20 THE WITNESS: What exhibit are we on 21 just to be clear? 22 BY MR. KELBER:

| | | Page 129 |
|----|-------|--|
| 1 | Q | What exhibit do you have before you, and I'll adjust? |
| 2 | A | 1027. I'm sorry. 2007. |
| 3 | Q | So you refer to Dr. Morley's discussion of touch |
| 4 | senor | s in paragraph four of this document; right? |
| 5 | A | Can you read back his question or ask it again? |
| 6 | Q | You refer to Dr. Morley's discussion of touch sensors |
| 7 | and d | decreased accidental actuation in paragraph four; right? |
| 8 | A | In the last sentences of paragraph four? |
| 9 | Q | Well, in fact, it's also in the first sentence of |
| 10 | parag | graph four, isn't it? |
| 11 | A | The first part of the sentence is much more generic |
| 12 | than | talking about inadvertent actuation. It simply talks |
| 13 | about | any design engineer designing a telephone or a cell |
| 14 | phone | e or any such product similar to a mobile phone would |
| 15 | consi | der many different types of switches that would be |
| 16 | incor | porated in to the product. An engineer would be |
| 17 | compe | elled to consider many different types of switches and |
| 18 | then | decided the advantages and disadvantages of each. |
| 19 | Q | That is two different paragraphs is part of the |
| 20 | probl | .em. Could I see your so let me redirect your |
| 21 | atter | tion to paragraph three of 2007? |
| 22 | A | Okay. |
| 1 | | |

| | Page 130 |
|----|--|
| 1 | Q And there you are discussing Dr. Morley's declaration |
| 2 | and deposition that addresses, among other things, decreased |
| 3 | accidental actuation; correct? |
| 4 | A That's right. |
| 5 | Q Now, paragraph four of that declaration that is 2007, |
| 6 | that does not talk about anything that Dr. Morley had to |
| 7 | say, does it? |
| 8 | A Well, that paragraph offers my opinions that relate to |
| 9 | assertions made by Dr. Morley. |
| 10 | Q In what way does it relate to assertions made by |
| 11 | Dr. Morley? |
| 12 | A Well, Dr. Morley said that sensors such as those |
| 13 | taught by Schultz would have decreased accidental actuation |
| 14 | by inanimate objects. And I say I agree with that. But |
| 15 | then I say that I disagree with his assessment that someone |
| 16 | designing a phone would be disinclined to use a touch sensor |
| 17 | because of accidental actuation by animate objects. And |
| 18 | then in the next paragraph I talk about how a designer would |
| 19 | consider both those types of switches and take in to account |
| 20 | the issue of inadvertent actuation in making a decision |
| 21 | about what switch to use. And then in the last sentence I |
| 22 | do talk about adjusting the sensitively one remedy for |

| | Page 131 |
|----|---|
| 1 | inadvertent actuation by animate objects would be to adjust |
| 2 | the sensitivity of the touch sensor. |
| 3 | Q And Dr. Morley did not talk at all about adjusting the |
| 4 | sensitivity, did he? |
| 5 | A Well, he talks about the fact that there could be |
| 6 | inadvertent actuation by inanimate objects. |
| 7 | Q He did not talk at all about adjusting the sensitivity |
| 8 | of a touch sensor, did he? |
| 9 | A He infers the fact that the sensitivity is too high. |
| 10 | Q Did he talk about adjusting the sensitivity of the |
| 11 | touch sensor in any fashion? |
| 12 | MR. MURPHY: Object to form. |
| 13 | THE WITNESS: No. In paragraph four |
| 14 | I offer the remedy of adjusting the sensitivity. |
| 15 | BY MR. KELBER: |
| 16 | Q This is your remedy for any accidental actuation that |
| 17 | might occur from using the touch sensor of Schultz in the |
| 18 | device of Jahagirdar; correct? |
| 19 | A It is one of the remedies. In the last sentence I |
| 20 | identify others, such as putting the touch sensor at the |
| 21 | bottom of a fingertip-size indent or putting it on a |
| 22 | different location of the phone where inadvertent actuation |
| | |

| | Page 132 |
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| 1 | would be less likely to occur. So the sensitivity is one of |
| 2 | three possible remedies that addresses the issue brought up |
| 3 | by Dr. Morley. |
| 4 | Q And you were aware of these three remedies when you |
| 5 | prepared your original declaration in 2015, were you not? |
| 6 | MR. MURPHY: Object to form. |
| 7 | THE WITNESS: Like I said, I would |
| 8 | have to see the report to answer your question. |
| 9 | BY MR. KELBER: |
| 10 | Q Whether it's there or not, sir, you were aware of |
| 11 | these three remedies? |
| 12 | MR. MURPHY: Same objection. |
| 13 | THE WITNESS: A, I would have to see |
| 14 | my report to remember what I put in it. And, B, I don't |
| 15 | remember when I conceived of these remedies. They are |
| 16 | pretty boiler plate for any skilled person in the art. They |
| 17 | don't require a lot of thought by a technical person, and |
| 18 | they are relatively simple remedies, so it was not like I |
| 19 | invented something new by coming up with those three |
| 20 | remedies. |
| 21 | BY MR. KELBER: |
| 22 | Q Then why did it matter to you what you conceived of |
| | |

Page 133 1 it? 2 MR. MURPHY: Objection. Foundation. 3 THE WITNESS: I was addressing 4 something asserted by Dr. Morley. 5 BY MR. KELBER: 6 And what was it you were addressing that you think was 0 7 asserted by Dr. Morley? 8 Α Inadvertent actuation by animate objects. 9 MR. KELBER: I'm going to object to 10 paragraph four of this declaration as belatedly presented. BY MR. KELBER: 11 Does either Schultz or Jahagirdar talk about providing 12 0 13 a finger tipped-sized indent for the touch sensor? You know 14 the answer to this. Do you really need to review it? 15 Schultz ends with a sentence that talks about the А exact configuration and utilization as left to the skill of 16 17 the inventor of the person working in the art. 18 Can you answer my question? Q 19 What was the question? А 20 Does either Schultz or Jahagirdar talk about, quote, 0 putting it -- and it being the touch sensor -- at the bottom 21 22 of a fingertip-sized indent, closed quote. Paragraph four

| | | Page 134 |
|----|-------|---|
| 1 | of yo | ur declaration. |
| 2 | A | Not in those specific words, no. |
| 3 | Q | Does Schultz discuss moving the touch sensor to a, |
| 4 | quote | , in your declaration, to a location on the phone where |
| 5 | inadv | ertent actuation would have been less likely, closed |
| 6 | quote | ? |
| 7 | A | Well, Jahagirdar talks about moving keys to different |
| 8 | place | s on the phone. |
| 9 | Q | Where inadvertent activation would have been less |
| 10 | likel | у? |
| 11 | A | Sure. |
| 12 | Q | And where is that discussion? |
| 13 | A | If you look at the embodiment of figure nine, how all |
| 14 | the k | eys are on the face of the phone. |
| 15 | Q | And does Jahagirdar indicate that that configuration |
| 16 | makes | it less likely to have accidental actuation? |
| 17 | A | Not explicitly, no. |
| 18 | Q | Does Schultz discuss that at all? |
| 19 | A | Schultz does not talk about phones. |
| 20 | Q | In fact, he does not talk about phones at all, does |
| 21 | he? | |
| 22 | A | No. He's talking about a sensor, touch sensor. |

| | Page 135 |
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| 1 | Q You spent some time this morning discussing with |
| 2 | counsel the question of privilege, and the net result was |
| 3 | you could not recall the discussion you had with counsel |
| 4 | that Microsoft was prepared to exercise privilege with |
| 5 | respect to. What did you talk about for the remaining 25 |
| 6 | minutes? |
| 7 | A I'm sorry. I don't know what you are talking about. |
| 8 | Q You took a break for 25 minutes this morning, sir, in |
| 9 | response to Mr. Murphy's request to exercise his privilege, |
| 10 | exercise his right to review a question of privilege. |
| 11 | A Okay. |
| 12 | Q The outcome of that discussion 25 minutes later was |
| 13 | Mr. Murphy said you don't recall the conversation. You |
| 14 | echoed that conclusion; is that accurate? |
| 15 | MR. MURPHY: Objection. Foundation. |
| 16 | THE WITNESS: Is which part |
| 17 | accurate? |
| 18 | BY MR. KELBER: |
| 19 | Q That you don't recall the conversation with sufficient |
| 20 | clarity to recall what was said? |
| 21 | A Which conversation are we talking about? |
| 22 | Q The one you had with counsel where you discussed the |
| | |

| | | Page 136 |
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| 1 | impac | t or import, whichever one you prefer, of the addition |
| 2 | of th | e final paragraph in Exhibits 2007 and 2008? |
| 3 | A | I'm not sure what you're talking about, but if I |
| 4 | answe | red it before, then my answer is still the same. |
| 5 | Q | Do you recall that conversation that you had with |
| 6 | couns | el? Don't tell me what it was. |
| 7 | A | During the break? |
| 8 | Q | No, sir. Whenever you had that discussion with |
| 9 | counsel? | |
| 10 | A | Not during the break. During the break? |
| 11 | Q | No, sir. When counsel originally asked you to execute |
| 12 | or somehow put in to effectuation Exhibit 2007 and 2008, you | |
| 13 | had a | discussion with counsel; correct? |
| 14 | A | You mean today or back then? |
| 15 | Q | When you executed or affixed the facsimile of your |
| 16 | signa | ture? |
| 17 | A | We had conversations. |
| 18 | Q | What was the substance of those discussions? |
| 19 | | MR. MURPHY: Objection. For reasons |
| 20 | of pr | ivilege and work product, don't relate the substance of |
| 21 | those | conversations, if you remember them. |
| 22 | BY MR | . KELBER: |
| | | |

Page 137 1 What was the subjects you talked about? 0 2 MR. MURPHY: Same objection. THE WITNESS: Certainly related to 3 boiler plate paragraph. I don't remember my --4 5 BY MR. KELBER: 6 What about it? 0 7 MR. MURPHY: Same objection. 8 MR. KELBER: I'm not asking him for 9 his discussion. I'm asking him for the subject that he 10 inquired about. 11 MR. MURPHY: It really depends what 12 you mean by subjects as to whether the response is going to 13 be privileged or not. We can take a quick break. 14 MR. KELBER: Go ahead. Take another 15 break, and we can discuss the same question. It seems to me you came back and informed this table that he did not recall 16 17 the conversation with sufficient clarity to be able to 18 respond. 19 MR. MURPHY: That was the response 20 to the question that was posed to him. 21 MR. KELBER: If you need to ask him 22 again, ask him again.

Page 138 1 MR. MURPHY: You had asked about the 2 subject of the discussion. Can you elaborate what you are 3 looking for as far as with regard to subjects? 4 MR. KELBER: What aspects of the 5 final paragraph of 2007 and 2008 did he talk about? 6 MR. MURPHY: Can you give me 7 specific examples because I need to know exactly kind of 8 what you want so I can tell him if it's appropriate to 9 answer or not. MR. KELBER: What did counsel tell 10 11 him was meant by all statements made and information and 12 belief are true. What did counsel tell him is provided for 13 in Section 1101 of Title 18 and why was the addition of the 14 jurat necessary? Those are the three subjects I would like 15 to inquire about with him. 16 MR. MURPHY: All right. We will be 17 right back. 18 MR. KELBER: Thank you. 19 20 (Recess taken from 2:26 p.m. until 2:33 p.m.) 21 22 MR. MURPHY: The instruction of the

| | Page 13 | 39 |
|----|--|----|
| 1 | witness is to not disclose the substance of any of the | |
| 2 | conversations that you had with counsel on this topic, | |
| 3 | whether that be questions that you asked or the answers that | t |
| 4 | you received, to the extent that you remember them. | |
| 5 | However, you are free to answer questions on the previous | |
| 6 | line of questioning about your understanding of the language | |
| 7 | in the declarations, and you're free to answer questions as | |
| 8 | to whether or not you remember the conversations between | |
| 9 | yourself and counsel. | |
| 10 | BY MR. KELBER: | |
| 11 | Q Do you remember the conversations between yourself and | d |
| 12 | counsel on the substance of paragraph eight of 2007? | |
| 13 | A You are talking about the ones before today? | |
| 14 | Q Whenever you had the ones before, sir? | |
| 15 | A So you are not asking about the conversations during | |
| 16 | the break? | |
| 17 | Q No, sir. | |
| 18 | A I don't remember the substance, other than they need | |
| 19 | to put the paragraph in for obvious reasons. | |
| 20 | Q What are the obvious reasons? | |
| 21 | A It's a boiler plate paragraph that appears in many | |
| 22 | documents. | |
| | | |

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| | | Page 140 |
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| 1 | Q | I realize this seems repetitive. It is in many |
| 2 | respe | cts. But you have seen reference to Section 1101 of |
| 3 | Title | 18 of the United States Code in some other document? |
| 4 | А | I have. |
| 5 | Q | Other than the ones involved in this proceeding? |
| 6 | А | Yes, sir. |
| 7 | Q | Are they publicly-available documents? |
| 8 | A | I'm not sure. |
| 9 | Q | Where would I look for such a document because I don't |
| 10 | think | Title 18 has a Section 1101 so I would be fascinated |
| 11 | to know? | |
| 12 | А | I don't know. I don't know. |
| 13 | Q | You don't know? |
| 14 | А | I don't know where I have seen it. |
| 15 | Q | You just know you have seen it? |
| 16 | А | Uh-hum. |
| 17 | Q | And that's based on what? |
| 18 | A | As I think back to documents I've seen in other |
| 19 | litigations and/or IPRs, I remember the paragraph. Perhaps | |
| 20 | the s | pecific section number might have been different, but |
| 21 | 1101, | Title 18 as I thought about it, that rings a bell, and |
| 22 | certa | inly the phrase about information and belief as I |
| | | |

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|----|---|--|
| 1 | thought about it, I have seen that before. | |
| 2 | Q With respect to information and belief, where have you | |
| 3 | seen information and belief as statements made on | |
| 4 | information and belief are true? Where have you seen that | |
| 5 | before outside of these five proceedings? | |
| 6 | A Well, like I said, I recall a paragraph of that nature | |
| 7 | showing up on other legally-signed documents. | |
| 8 | Q Maybe | |
| 9 | A Expert reports of others or maybe translations of | |
| 10 | foreign documents. If you are fixating on the word true | |
| 11 | Q Isn't that the import of your paragraph, sir, that | |
| 12 | these statements are true? | |
| 13 | A That is the import of my paragraph. But you are | |
| 14 | asking me where I have seen it before, and I am telling you | |
| 15 | I after thinking about it since the last time you asked, | |
| 16 | I have a better recollection of having seen that paragraph | |
| 17 | in a previous document. | |
| 18 | Q So you have been an expert witness in previous IPRs; | |
| 19 | correct? | |
| 20 | A That's right. | |
| 21 | Q Did you file was a declaration reflecting your | |
| 22 | signature filed in any of those? | |
| | | |

| | | Page 142 |
|----|-------|--|
| 1 | А | Yes. |
| 2 | Q | Stretching along, do you remember the number of any of |
| 3 | those | IPRs? |
| 4 | A | No, sir. |
| 5 | Q | Do you know when any of those earlier IPRs on behalf |
| 6 | of yo | ur declaration submitted on behalf of Microsoft? |
| 7 | А | I'm sorry? |
| 8 | Q | Was your declaration submitted in those earlier IPRs |
| 9 | where | this language are true was that submitted on behalf of |
| 10 | Micro | soft? |
| 11 | | MR. MURPHY: Object to form. |
| 12 | | THE WITNESS: Outside of the IPRs |
| 13 | invol | ved in the current the IPRs owned by Global Touch, |
| 14 | you a | re talking about other IPRs? |
| 15 | BY MR | . KELBER: |
| 16 | Q | Other IPRs, yes. |
| 17 | A | To the best I recall, I have not worked on an IPR on |
| 18 | behal | f of Microsoft before. |
| 19 | Q | Fair enough. You discuss in paragraph five of Exhibit |
| 20 | 2007 | the I think your word is embodiment. I'm sorry. |
| 21 | That' | s not your word, but you refer to an alternative |
| 22 | confi | guration where key 150 is pressed to display, quote, |

Page 143 new visual information, closed quote. Do you see that? 1 2 MR. MURPHY: Objection to 3 foundation. 4 THE WITNESS: I see that. BY MR. KELBER: 5 6 Well, when that happens, when what you described 0 7 happens; that is, new visual information is presented, does that show a condition of the product that is involved? 8 9 When Jahagirdar talks about what the new visual А 10 information may contain, if I refer to the patent, I can 11 answer your question. 12 Don't you know one way or the other? Does it indicate 0 13 the condition of the product? Does it indicate the condition of the phone? 14 15 MR. MURPHY: Object to form. 16 THE WITNESS: I believe your 17 question was, does the new information contained --18 BY MR. KELBER: 19 0 The presentation of -- this is your term of 20 declaration. New visual information provide information on the condition of the phone? 21 22 А Yes.
| | Page 144 |
|----|---|
| 1 | Q And what condition is it providing information with |
| 2 | respect to? |
| 3 | A It's discussed in column five starting with line 57. |
| 4 | New visual information includes information different from |
| 5 | or in addition to the status information may include date |
| 6 | and time information. That's not about the phone. Battery |
| 7 | status information. That's about the condition of the |
| 8 | phone. Low battery warning indication. That's about the |
| 9 | condition of the phone. Communication status emission, |
| 10 | status information such as in use and/or roam indication. |
| 11 | Combination of the above. And those indications that |
| 12 | comprise, in part, the new information describe conditions |
| 13 | of the phone, status about the phone. |
| 14 | Q And when those indications appreciate the answer |
| 15 | when those indications are provided, what's the load of the |
| 16 | Jahagirdar phone? |
| 17 | A I'm sorry. The energy-consuming load? |
| 18 | Q Yes, sir. |
| 19 | A I believe I discussed that the energy-consuming load |
| 20 | is displayed on the face of the phone which is element 520 |
| 21 | in figure five. |
| 22 | Q The display on the face of the phone that is element |
| | |

Page 145 520 in figure five, is that turned on when the new visual 1 2 information is displayed? 3 MR. MURPHY: Object to form. 4 THE WITNESS: According to the flow chart of figure 8A in Jahagirdar, the second display, as it 5 6 is called 520, is off. BY MR. KELBER: 7 8 Q Is off. When this information is displayed, this new 9 visual information is displayed? 10 That's right. А 11 MR. KELBER: Thank you. I have got 12 nothing further. 13 MR. MURPHY: Off the record for one 14 second. 15 _ _ _ 16 (Discussion off the record.) 17 _ _ _ 18 MR. MURPHY: No redirect. We would 19 like to reserve the right to read and sign, and we dispense 20 with the formalities, like we did before. 21 MR. KELBER: That's great. For that 22 dispense with the requirement for notary and the rest?

| | | | | | Page 146 |
|----|-------------|-----|-----------|-------------|----------|
| 1 | | MR. | MURPHY: | Correct. | |
| 2 | | MR. | KELBER: | Terrific. | |
| 3 | | (At | 2:45 p.m. | proceedings | were |
| 4 | concluded.) | | | | |
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| | Page 147 |
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| 1 | I HEREBY CERTIFY that I have read this transcript of |
| 2 | my deposition and that this transcript accurately |
| 3 | states the testimony given by me, with the changes |
| 4 | of corrections, if an, as noted. |
| 5 | |
| 6 | |
| 7 | X |
| 8 | |
| 9 | Subscribed and sworn to be before this day of |
| 10 | 2016 |
| 11 | |
| 12 | |
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|-------------------------------------|--------------------------|--------------------------|---------------------|--------------------------|
| | 50.17 | | 102.10 | 70 16 71 5 21 |
| A | 52:17 | alpha 57:21 62:16 | 102:19 | 70:16 71:5,21 |
| a.m 1:12 50:11 | addition 136:1 | 79:4,4 | anyway 43:19 50:8 | 77:9 82:3 86:19 |
| ability 116:11 | 138:13 144:5 | alter 76:1 | 60:14 | 90:5 92:15 95:15 |
| 126:18,20 | additional 12:16 | alternative 142:21 | apologies 19:18 | 96:10 105:2 |
| able 39:9 94:7 102:3 | 29:19 41:8 59:18 | and/or 128:8 140:19 | 116:13 | 110:13 111:10 |
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