		Page 2
1	AKL - 6/2/16	
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4	June 2, 2016	
5	8:58 a.m.	
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9	Deposition of DR. ROBERT AKL, held at the	
10	offices of Haynes & Boone, 2323 Victory	
11	Avenue, Dallas, Texas, before Susan S. Klinger,	
12	a Registered Merit Reporter and Certified	
13	Realtime Reporter of the State of Texas.	
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Page 3
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                       AKL - 6/2/16
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24
     Also Present:
          Mr. Nathaniel Mollick, videographer
25
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1	A	KL - 6/2/16		
2		I N D E X		
3				
4	WITNESS		PAGE	
5				
6	DR. ROBERT AKL			
7	EXAMINATION BY MR.	KITCHURA	5	
8				
9	(Exhibits not	attached.)		
10				
11	E X	нівітѕ		
12	No.	Description	Page	
13	Exhibit ATT-2043	Akl declaration	9	
14	Exhibit 1001	U.S. Patent 7,907,714	23	
15	Exhibit 1005	Patent Number 6,031,904	ł 60	
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- 1 AKL 6/2/16
- VIDEOGRAPHER: This is the start of
- 3 tape labeled Number 1 of the videotaped
- deposition of Dr. Robert Akl. Today's date
- is June 2nd, 2016. This is the case in the
- 6 matter of Cox Communications, Incorporated
- versus AT&T Intellectual Property.
- 8 This deposition is being held at
- 9 2323 Victory Avenue, Suite 700, Dallas
- Texas, on June 2nd, 2016, at approximately
- 11 8:58 a.m.
- My name is Nathaniel Mollick. I'm a
- legal video specialist for TSG Reporting
- 14 Incorporated headquartered at 747 Third
- 15 Avenue New York, New York. The court
- reporter is Susan Klinger in association
- with TSG Reporting.
- Will counsel please introduce
- 19 yourselves.
- MR. EMERSON: Russ Emerson, Haynes
- and Boone, for AT&T.
- MR. BAJAJ: Raghav Bajaj, Haynes and
- Boone, for AT&T.
- MR. AURENTZ: Phillip Aurentz,
- ²⁵ McKool Smith.

- 1 AKL 6/2/16
- MR. KITCHURA: John Kitchura,
- Proskauer, representing petitioner, Cox
- Communications, and with me is Scott
- 5 Bertulli.
- DR. ROBERT AKL,
- 7 having been first duly sworn testified as
- 8 follows:
- 9 EXAMINATION
- 10 BY MR. KITCHURA:
- 0. Good morning, Dr. Akl.
- A. Good morning.
- Q. We've met a couple of times before,
- but -- I introduced myself before. I'm John
- 15 Kitchura, and I represent Cox Communications.
- 16 I think you know the role today.
- 17 Can you please state your full name
- 18 for the record?
- 19 A. Robert Akl.
- Q. And can you please state your last
- 21 name? Sorry. Can you please spell your last
- 22 name for the record?
- A. A-K-L.
- Q. And, Dr. Akl, you live in Denton,
- ²⁵ Texas; is that right?

- 1 AKL 6/2/16
- 2 A. Yes.
- Q. And can you please state for the
- 4 record your home address?
- 5 A. 707 North Shady Shores Drive, Lake
- Dallas, Texas, 75065.
- 7 Q. Thank you. And where are you
- 8 currently employed?
- ⁹ A. I am a professor at the University
- of North Texas in the department of computer
- science and engineering.
- 12 Q. And how long have you worked at the
- 13 University of North Texas?
- 14 A. 15 years.
- Q. Now, you just took an oath; correct?
- 16 A. Yes.
- Q. And you understand that it's
- important for you to answer my questions
- truthfully and accurately here today?
- ²⁰ A. Yes.
- Q. Is there any reason you might not be
- able to testify truthfully and accurately
- today?
- 24 A. No.
- Q. No illness?

- 1 AKL 6/2/16
- A. No.
- O. No medications?
- 4 A. No.
- ⁵ Q. Not under the influence of drugs or
- 6 alcohol?
- ⁷ A. No.
- Q. Okay.
- 9 A. Can you speak a little louder
- 10 please?
- 11 Q. Sure. When were you first contacted
- by AT&T regarding the -- the present IPR?
- 13 A. January of 2016. And I should say I
- was not contacted by AT&T. I was contacted by
- 15 counsel for AT&T.
- Q. Counsel at Haynes Boone or McKool
- 17 Smith?
- 18 A. Haynes Boone.
- 19 Q. And were you contacted in regard to
- a district court litigation or an IPR?
- 21 A. An IPR.
- Q. Have you ever provided expert
- opinions for AT&T in the past?
- A. I think so, yes.
- Q. In what context?

- 1 AKL 6/2/16
- 2 A. What -- what do you mean, "in what
- 3 context"? In -- with regard to litigation.
- Q. Do you recall the case caption of
- 5 the litigation you're referencing?
- A. It should be in my CV. If you
- ⁷ provide me a copy, I can go through it.
- 8 Q. So you're going to be handed the
- 9 Declaration of Dr. Robert Akl in Case
- 10 IPR2015-01227. It's already been labeled
- ¹¹ ATT-2043.
- Dr. Akl, what is the document you've
- just been handed marked as Exhibit ATT-2043?
- 14 A. It is the declaration that I wrote
- with regard to the '714 patent.
- Q. And when you say "'714 patent," you
- mean U.S. Patent Number 7,907,714?
- 18 A. Yes.
- 19 O. I believe at the end of the
- declaration marked as ATT-2043, there's
- 21 Appendix A, which is a copy of your CV; is that
- ²² right?
- 23 A. Yes.
- Q. And can you identify for me the
- ²⁵ prior litigations in which you provided expert

- 1 AKL 6/2/16
- opinions for AT&T?
- 3 A. Looking at page 2, there is an item
- 4 L5, which is A -- AT&T versus Cox in District
- 5 of Delaware. I wrote a declaration there.
- I -- I'm not sure if you want to
- 7 count L10 or not. It's Mobile
- 8 Telecommunications Technologies versus Leap
- 9 Wireless and Cricket Communications. I believe
- 10 AT&T owns Leap Wireless and Cricket, or at
- least they own Cricket Communications, if my
- 12 recollection is correct.
- L12, Solocron versus AT&T.
- 14 Item L17, Cellular Communications
- 15 Equipment versus AT&T, I wrote a declaration
- there. There were other defendants. I was
- retained by multiple defendants, but AT&T was
- 18 one of them.
- I think that's it.
- O. So I'd like to turn to L10, which is
- 21 the case Mobile Telecommunications
- Technologies, LLC v. Leap Wireless
- 23 International and Cricket Communications, Inc.
- 24 Are you there?
- 25 A. Yes.

- 1 AKL 6/2/16
- Q. Okay. Now, you provided a
- non-infringement expert report on behalf of
- 4 Leap Wireless and Cricket Communications; is
- 5 that right?
- 6 A. Yes.
- ⁷ Q. And did you find that all of the
- 8 asserted claims by Mobile Telecommunications
- ⁹ Technologies, LLC were not infringed?
- 10 A. Probably. I mean, it's been a
- while. I don't have that expert report in
- 12 front of me, but I believe so.
- Q. Okay. Let's turn to L12, which is
- 14 Solocron Media, LLC v. AT&T, Inc.
- Are you there?
- 16 A. Yes.
- 17 Q. And you provided an invalidity
- expert report on behalf of AT&T in the Solocron
- 19 Media, LLC v. AT&T case; is that correct?
- ²⁰ A. Yes.
- Q. And did you find all of the asserted
- claims by Solocron Media, LLC invalid?
- A. Again, I don't have that expert
- report in front of me, but I believe so. I
- don't remember the grounds or the reasons, but,

- 1 AKL 6/2/16
- 2 yes.
- Q. In your prior work as a technology
- 4 expert with respect to patent litigation --
- 5 strike that.
- In cases in which you provide expert
- opinions on behalf of the defendant, have you
- 8 ever found claims valid?
- 9 A. I -- I don't recall.
- 0. Well --
- 11 A. I don't want to make a
- qeneralization, but...
- Q. Is it fair to say you've always
- 14 found asserted claims invalid?
- A. I think it's fair to say that I've
- found the majority invalid.
- 17 Q. And you've always found claims not
- infringed; is that right?
- MR. EMERSON: Object to the form.
- A. Again, it -- it depends on the case.
- Q. But you've always found asserted
- 22 claims against AT&T invalid; right?
- MR. EMERSON: Object to the form.
- 24 A. I think there's only one case where
- I wrote an expert report with regard to

- 1 AKL 6/2/16
- invalidity. So in that matter -- again, I
- 3 don't have that expert report in front of me,
- 4 but I believe at least the majority of the
- ⁵ claims were invalid.
- Q. Dr. Akl, your CV lists a number of
- ⁷ publications in which you authored; is that
- 8 right?
- ⁹ A. Yes.
- Q. And does that begin on page 12 of
- 11 your CV?
- 12 A. Yes.
- 13 Q. Is it fair to say the last technical
- 14 article that you published was in December of
- 15 2009?
- A. No. I disagree.
- Q. And why is that?
- 18 A. In 2009 was the journal paper. In
- 2013, on the next page, is a conference paper.
- 20 And actually, I just have another paper
- accepted. It hasn't appeared yet. So there
- 22 will be some in 2016.
- Q. How many?
- A. Right now, there is one that I know
- has been accepted.

- 1 AKL 6/2/16
- Q. And what's the reason for the gap in
- publications between 2013 and 2016?
- 4 A. I don't know if there's a specific
- ⁵ reason. When you look at my record, there were
- papers -- in 2013 there was one, one in 2011,
- 7 one in 2009.
- 8 So it depends on your research and
- 9 your pipeline and the students that you're
- working with. And so you go through periods
- when you have a good, very productive student,
- and you do very good research with the student,
- and periods where there is a little bit less
- 14 productivity in terms of publications, but that
- 15 is normal.
- Q. So you stated earlier you're a
- professor at the University of North Texas;
- 18 right?
- 19 A. Yes.
- Q. That's your primary source of
- 21 income?
- A. It is my primary job.
- Q. What is your primary source of
- 24 income?
- A. I have multiple sources of income.

- 1 AKL 6/2/16
- Q. Okay. But I asked you: What is
- your primary source of income?
- 4 A. I have multiple primary sources of
- 5 income.
- 6 Q. Okay. And what are those multiple
- 7 primary sources?
- MR. EMERSON: Objection to form.
- 9 Beyond the scope.
- 10 A. One income stream is being a
- 11 professor. Another is doing consulting. I
- 12 also have multiple rental properties and a
- 13 stock portfolio.
- Q. Okay. Let me ask you a better
- ¹⁵ question.
- 16 It seems to me that you have
- 17 roughly -- strike that.
- On one hand, you're a professor at
- the University of North Texas, and on the other
- hand, you provide litigation consulting
- 21 services. Is that fair?
- 22 A. I --
- MR. EMERSON: Objection, form.
- A. I do consulting to the extent it
- does not interfere with my work at UNT.

- 1 AKL 6/2/16
- Q. In 2016, did you provide -- strike
- 3 that.
- In 2016, did you spend more time
- 5 consulting or more time working as a professor
- 6 at the University of North Texas?
- 7 A. It's difficult to make a
- 8 generalization, because it varies. It varies
- on a weekly basis. It varies on a monthly
- 10 basis.
- 11 For example, this week, because I
- have two depositions, so there's a lot of time,
- like today and probably tomorrow, that's going
- to be dedicated to consulting.
- Last week, the week before that,
- there was absolutely no consulting work done.
- So when we have finals, when I have meetings
- with my students, meetings on campus, those
- days are entirely at UNT.
- So I try to balance my work at UNT,
- 21 and like I said, I do consulting to the extent
- it does not interfere.
- Q. Do you currently teach any classes?
- 24 A. Yes.
- Q. How many classes do you teach --

- 1 AKL 6/2/16
- 2 strike that.
- 3 How many classes did you teach last
- 4 semester?
- ⁵ A. Last semester I provided what's
- 6 called an -- unstructured classes, which means
- ⁷ I meet with students, like, on thesis and
- 8 dissertation, those sort of courses. In the
- 9 spring I did not teach an organized class.
- Q. Are you being compensated by AT&T
- 11 for your time spent in this matter?
- 12 A. Yes.
- Q. And how are you being compensated?
- MR. EMERSON: Object to the form.
- 15 A. I don't understand what you mean by
- 16 "how." They write me a check.
- Q. Okay. What is your hourly rate?
- ¹⁸ A. 650.
- 0. And about how much time have you
- spent consulting on the '714 IPR? Strike that.
- A. Did you strike that question?
- Q. Yes. That was not clear.
- So if you go to the front page of
- your declaration, there is a case number
- assigned to the present proceeding, 2015-01227.

- 1 AKL 6/2/16
- 2 So if I refer to the 227 matter, will you
- understand that to be Case Number
- 4 IPR2015-01227?
- 5 A. That's fine.
- Q. And about how much time have you
- 7 spent consulting on the 227 matter?
- A. Around 20 hours.
- 9 Q. Now, you've reviewed and analyzed
- the '714 patent in connection with your work on
- the 227 matter; is that right?
- 12 A. Yes.
- Q. And generally, in your own words,
- what is the invention of the '714 patent?
- A. Since we're going into the '714
- patent, can I get a copy of the patent, please?
- Q. Do you need a copy of the patent to
- answer my question?
- 19 A. Yes.
- Q. You do. You've spent 20 hours on
- this case, and you can't tell me what the
- invention of the '714 patent is?
- A. I would like a copy of the '714'
- patent if we're going to be talking about it.
- Q. Can you answer my question without a

- 1 AKL 6/2/16
- 2 copy of the '714 patent?
- MR. EMERSON: I think he would
- 4 prefer not to.
- 5 MR. KITCHURA: I'm confirming --
- MR. EMERSON: Are you refusing --
- 7 MR. KITCHURA: I'm confirming --
- MR. EMERSON: Are you refusing to
- give him a copy?
- Q. Can you answer my question, Dr. Akl?
- 11 A. I would like a copy of the '714
- patent if we are going to be discussing it.
- Q. I understand that. And I just want
- to know if you can answer my question without a
- copy of the '714 patent.
- MR. EMERSON: Object to the form.
- 17 Q. Do you want me to ask you the
- 18 question again?
- 19 A. Yes, please.
- Q. In your own words, what is the
- invention of the '714 patent?
- A. The claims define the invention, but
- 23 at a high level, the '714 describes a profile
- management system and a method for managing
- subscriber profile data that's associated with

- 1 AKL 6/2/16
- ² a communications service.
- Q. What's a profile management system?
- A. I would like a copy of the '714
- 5 patent if you're going to have any follow-up
- ⁶ questions.
- 7 Q. Okay. Can you tell me what a
- 8 profile management system is without referring
- ⁹ to the patent?
- 10 A. I would like the patent in front of
- me at this time.
- 12 O. So that is "no"?
- 13 A. My answer does not change.
- Q. Is the term "profile management
- 15 system" a term of art, or is that a term
- defined by the '714 patent?
- 17 A. In order for me to answer that
- question, I would like the '714 patent in front
- 19 of me.
- Q. And why do you need the '714 patent
- 21 to answer that question?
- A. Because you're asking me how does
- the '714 patent define profile management
- system, and then compare it to whether it's a
- term of art or not.

- 1 AKL 6/2/16
- Q. No, that is not what I'm asking.
- I'm asking, in your opinion, your
- 4 expert opinion, if the term "profile management
- 5 system" is a term of art or if it is a term
- 6 defined in the '714 patent.
- A. Because part of your question is
- 8 asking if the profile management system is
- 9 defined in the '714 patent -- there were two
- parts to that question -- I would like the
- patent in front of me at this time.
- Q. You can't answer my questions
- without a copy of the '714 patent; is that
- 14 right?
- MR. EMERSON: Objection to form,
- asked and answered.
- 17 A. I don't have anything further to add
- to my previous answer.
- Q. Okay. Did you review the entirety
- of the '714 patent in preparing your
- declaration marked as Exhibit Number ATT-2043?
- ²² A. Yes.
- Q. Did you write your declaration
- marked as Exhibit Number ATT-2043?
- 25 A. Yes.

- 1 AKL 6/2/16
- Q. Is there any text throughout your
- declaration that you did not write?
- A. I'm not sure what you mean by
- 5 "text."
- 6 Q. So there is a -- there's a lot of
- 7 numbered paragraphs in your declaration; right?
- 8 A. Yes.
- 9 Q. 100 numbered paragraphs?
- 10 A. Yes.
- 11 Q. Did you write the text in all 100
- paragraphs of your declaration?
- 13 A. No, I did not type every word. It
- was a collaborative effort, but it is my
- declaration and my opinion and my analysis.
- Q. Who did you collaborate with in
- 17 preparing your declaration marked as Exhibit
- ¹⁸ ATT-2043?
- 19 A. Counsel.
- Q. And who are you referring to
- 21 specifically as "counsel"?
- A. Counsel at Haynes Boone.
- Q. Did you collaborate with anybody at
- McKool Smith in preparing your declaration
- marked as Exhibit ATT-2043?

- 1 AKL 6/2/16
- 2 A. No.
- Q. You're being handed Exhibit 1001.
- Dr. Akl, what is Exhibit 1001?
- ⁵ A. It is U.S. Patent 7,907,714.
- Q. And Exhibit 1001 is what we agreed
- ⁷ to earlier is the '714 patent; correct?
- 8 A. Yes.
- 9 Q. And Exhibit 1001 is the patent you
- reviewed and analyzed in preparing your
- declaration marked as Exhibit ATT-2043; right?
- 12 A. Yes.
- 13 Q. Is the term "profile management
- system" a term of art, or is it defined by the
- ¹⁵ '714 patent?
- A. I think one of ordinary skill in the
- art would understand that the term "profile
- management system" would have their plain and
- ordinary meaning.
- Q. And what is the plain and ordinary
- meaning as would be understood by a person of
- ordinary skill in the art of -- of the term
- "profile management system"?
- A. Each word would have basically
- the -- the plain and ordinary meaning. I'm not

- 1 AKL 6/2/16
- sure if you're asking me to provide claim
- construction on the fly, which is something I'm
- 4 not comfortable doing. But the terms will --
- will have their plain and ordinary meaning.
- 6 That's as best as I can answer that question.
- ⁷ Q. So in preparing your declaration in
- 8 the 227 matter, you reviewed and analyzed
- 9 Claim 1; right?
- 10 A. Yes.
- 0. Okay. Claim 1 includes the term
- "profile management system." Is that correct?
- 13 A. Yes.
- Q. And what definition -- what plain
- and ordinary meaning for the term "profile"
- management system" did you use in performing
- your analysis?
- A. A system for managing profiles.
- 19 It's the plain and ordinary meaning. I didn't
- necessarily have to formulate a construction in
- order for me to do the analysis, other than my
- understanding of what -- the plain and ordinary
- meaning as viewed by one of ordinary skill in
- 24 the art.
- Q. And that understanding is a system

- 1 AKL 6/2/16
- for managing profiles; is that right?
- A. I'm giving you an example right now
- 4 because you're pushing for a plain and ordinary
- 5 meaning.
- 6 Q. Dr. Akl, I just need to know what
- definition of profile management system you
- 8 used in performing your analysis. So I'll ask
- you the question again just so I can get a
- clear answer and understand what it is you
- 11 used.
- What plain and ordinary meaning for
- the term "profile management system" did you
- use in performing your analysis in the 227
- 15 matter?
- MR. EMERSON: Objection to form,
- asked and answered.
- 18 A. The terms have their plain and
- ordinary meaning, so that is the definition.
- If you're asking me to provide a construction,
- that is not something I'm comfortable doing on
- the fly, because claim construction, you don't
- want the terms to be too narrow or too broad.
- So the plain and ordinary meaning is how -- is
- what I used, as viewed by one of ordinary skill

- 1 AKL 6/2/16
- 2 in the art, when analyzing the claim.
- Q. And what is the plain and ordinary
- 4 meaning you used for the term "profile"
- 5 management system"?
- MR. EMERSON: Object to the form,
- ⁷ asked and answered.
- 8 A. I've already answered that question.
- ⁹ I don't necessarily need to articulate a
- definition, but I -- in order for me to analyze
- the claim, other than using the plain and
- ordinary meaning of those words as understood
- by one of ordinary skill in the art.
- Q. So let me make sure I get this
- 15 right. So in -- strike that.
- In performing your analysis in
- connection with the 227 matter, you applied the
- 18 plain and ordinary meaning to the term "profile
- management system." Is that correct?
- ²⁰ A. Yes.
- Q. And in your own words, what is the
- 22 plain and ordinary meaning of the term "profile"
- management system"?
- MR. EMERSON: Object to the form,
- asked and answered.

- 1 AKL 6/2/16
- A. We seem to be going in circles, so I
- 3 really don't have anything else to add to my
- ⁴ previous answer.
- Okay. You can't give me a -- strike
- 6 that.
- 7 You can't tell me what -- the plain
- 8 and ordinary meaning you used for the term
- ⁹ "profile management system," sitting here
- 10 today?
- MR. EMERSON: Is that -- I'm sorry.
- 12 Is that a question?
- MR. KITCHURA: Sure is.
- MR. EMERSON: I object to the form
- of the question, and I object that it has
- been asked repeatedly and answered
- repeatedly.
- 18 A. I don't have anything else to add to
- my previous answer.
- Q. Okay. Does a profile management
- 21 system include a user interface?
- 22 A. Are we talking in vacuum, or are we
- referring to the '714 patent? Could you
- 24 provide me context?
- Q. In the context of the '714 patent,

- 1 AKL 6/2/16
- does a profile management system include a user
- 3 interface?
- 4 MR. EMERSON: Object to the form,
- 5 beyond the scope. Want me to -- would you
- 6 like me to further articulate?
- 7 MR. KITCHURA: I would love to know
- 8 how it's beyond the scope.
- 9 MR. EMERSON: I don't know that he
- opined on that particular point. If you're
- talking about something in his declaration,
- 12 I'd appreciate you pointing that out to us.
- 13 A. Could you repeat the question,
- 14 please.
- 0. Sure. In the context of the '71 --
- 16 strike that.
- In the context of the '714 patent,
- does a profile management system include a user
- 19 interface?
- MR. EMERSON: Same objection.
- 21 A. Looking at Claim 1 and looking at
- the first limitation, there is a client which
- 23 hosts a user interface in Claim 1. That is
- 24 as -- so in the context of the '714, in Claim 1
- there is a limitation that requires a client

- 1 AKL 6/2/16
- which shows a user interface.
- Q. In the context of the '714 patent,
- does a profile management system include a
- ⁵ client and a server?
- MR. EMERSON: Same objection.
- A. My quick reading of the claims, I
- 8 don't see the word "server" explicitly there.
- 9 But, again, it's hard because I don't have a
- word search mechanism, looking at a paper copy.
- But it does require a subscriber profile data
- to be associated with a communication service.
- 13 And normally, when something is stored on a
- communication network, it's stored in a server.
- 15 But I don't see the word "server" explicitly in
- 16 Claim 1.
- 17 Q. Now, the term "profile management
- system" appears in Claim 1 of the '714 patent;
- 19 right?
- A. Yes. It appears in the preamble.
- Q. And you applied a plain and ordinary
- meaning to the term "profile management system"
- in performing your analysis in the 227 matter;
- 24 right?
- 25 A. Yes.

- 1 AKL 6/2/16
- Q. You agreed earlier that a profile
- management system can include a user interface;
- 4 correct?
- 5 A. I -- I think you're
- 6 mischaracterizing my testimony.
- Q. Okay. Let's -- can you turn to
- 8 column 4 of the '714 patent, and let's look at
- 9 lines 7 and 8. Okay.
- So at column 4, beginning on line 7,
- it reads: "A general object of the present
- invention is to provide a profile management
- 13 system having a user interface that provides
- the ability for a customer to freely access and
- maintain their service profile data."
- Did I read that correctly?
- A. Yes, I think so.
- 18 Q. Okay. Now, you would agree with me
- that a profile management system can include a
- user interface; correct?
- 21 A. Those words appear on the line that
- you asked to look at.
- Q. So is your answer "yes"?
- MR. EMERSON: Object to the form.
- Q. I didn't get an answer to my

- 1 AKL 6/2/16
- question, Dr. Akl, so that's why I'm asking the
- 3 follow-up.
- I will ask you the question again,
- 5 maybe you can just tell me yes or no.
- You agree with me that a profile
- management system can include a user interface;
- 8 correct?
- ⁹ A. Sure.
- Q. Okay. Now, I'd like to draw your
- attention to line 36 in column 4. The sentence
- begins: "The profile management system
- includes a client and a server."
- Do you see that sentence?
- 15 A. Yes, I see those words.
- Q. And you would agree with me that a
- profile management system can include a client
- 18 and a server; correct?
- 19 A Sure
- O. Now I would like to draw your
- 21 attention to the paragraph beginning on line 64
- in column 4. It begins: "According to another
- preferred embodiment, the profile management
- 24 system also includes an access control system."
- Do you see that?

- 1 AKL 6/2/16
- A. Yes, I see those words.
- Q. Okay. And you'd agree with me that
- 4 a profile management system can include an
- 5 access control system; correct?
- A. In another preferred embodiment,
- 7 that is correct.
- Now I'd like to turn to column 5.
- 9 Beginning on line 7, there is a sentence that
- begins: "The profile management system"
- includes a server, a client and a
- 12 telecommunications network."
- Do you see that?
- 14 A. Yes, I see those words.
- Q. Okay. And you'd agree with me that
- 16 a profile management system can include a
- server, a client, and a telecommunications
- 18 network?
- 19 A. Yes, they can in an embodiment.
- 20 That is correct.
- Q. Now I'd like to, in column 5, go
- down to line 37. The end of line 37 starts
- with: "The profile management system includes
- 24 a server, client and an AIN network."
- Do you see that, Dr. Akl?

- 1 AKL 6/2/16
- A. Yes, I see those words.
- Q. Okay. And you'd agree with me that
- 4 a profile management system can include a
- server, a client, and an AIN network; is that
- 6 right?
- A. An embodiment can, that is correct.
- Q. So if we can turn to column 7, the
- 9 last line, which is line 67, and it carries
- over the top of column 8, it reads: "The
- profile management system of the present
- invention may include a PID PC client 102 that
- serves as a PC interface for the PID user or
- 14 subscriber."
- Did I read that right?
- A. I think so.
- 17 Q. Okay. And you would agree with me
- that a profile management system can include a
- 19 PID PC client; is that correct?
- A. It may include as an example of an
- ²¹ embodiment.
- Q. All right. And if we can turn
- column 8, beginning at line 58, where, at the
- beginning of the paragraph, it reads: "As
- 25 further shown in the embodiment of Figure 2,

- 1 AKL 6/2/16
- the profile management system of the invention
- 3 may also include a PID status server and PID
- database 110." Do you see that?
- ⁵ A. Yes, I see those words.
- 6 Q. Okay. And you would agree with me
- 7 that a profile management system can include a
- PID status server 106 and a PID database 110;
- 9 correct?
- 10 A. Yes, it may as an example of an
- 11 embodiment.
- 12 Q. There is two more examples I'd like
- to draw your attention to, and I will have some
- 14 follow-up questions. So if we could turn to
- column 9, beginning at about line 24, it reads:
- "It is also possible that several of the main
- components of the profile management system,
- including the PID PC server, the PID status
- server and the PID database, are implemented on
- a single mainframe or computer system such as a
- UNIX-based mainframe." Do you see that?
- A. Yes, I see those words.
- Q. And you'd agree with me the profile
- management system can include a PID PC server,
- 25 a PID status server, and a PID database;

- 1 AKL 6/2/16
- ² correct?
- 3 A. Yes, in an embodiment or an example
- of an embodiment, it may.
- ⁵ Q. Now, if I could turn your attention
- to column 11, beginning around line 21, it
- begins: "A detailed description of exemplary
- 8 interactions between the various main
- 9 components of profile management system, i.e.,
- the PID PC client, the PID PC server, the PID
- status server and the PID database, is now
- provided." Do you see that?
- 13 A. Yes, I see those words.
- Q. Okay. And you'd agree with me that
- a profile management system can include a PID
- PC client, a PID PC server, a PID status
- server, and a PID database; correct?
- 18 A. In an example embodiment, it may.
- O. Now, I believe we just went through
- nine example embodiments of a profile
- 21 management system. Does that sound right?
- A. I was not counting, but I'll take
- your word for it.
- Q. Earlier today you told me that you
- used the plain and ordinary meaning of the term

- 1 AKL 6/2/16
- "profile management system" in preparing your
- opinions in the 227 matter; is that right?
- 4 A. Yes.
- 5 O. And I would like to know which
- 6 embodiment of the profile management system
- 7 defined in the '714 patent you used in
- 8 analyzing Claim 1.
- 9 MR. EMERSON: Objection to form,
- beyond the scope.
- 11 A. When I'm looking at a claim, it is
- my understanding -- and, again, I'm not a
- lawyer -- that a claim should read on at least
- one embodiment. It does not need to read on
- all embodiments. The specification provides
- different embodiments, and that is fairly
- common.
- When I'm analyzing the claim, I can
- look at the claim, read the claim, see how one
- of ordinary skill in the art would understand
- the words in the claim, specifically the term
- "profile management system," give them their
- plain and ordinary meaning, and the claim is
- read in light of the specification, without
- necessarily have to focus on one embodiment in

- 1 AKL 6/2/16
- 2 my mind as I do my analysis.
- O. So I still don't know which
- 4 embodiment or embodiments -- strike that.
- In preparing your opinions expressed
- in your declaration marked as ATT-2043, did you
- need to understand which embodiment or
- 8 embodiments are covered by the term "profile
- 9 management system" in independent Claim 1 of
- 10 the '714 patent?
- MR. EMERSON: Objection to form,
- beyond the scope.
- 13 A. Could you repeat the question again,
- 14 please?
- Q. Sure. I'll give you a little
- background first.
- So you performed an analysis in your
- declaration and -- you know, with respect to
- 19 Claim 1. And if you -- if you needed to
- understand what profile management system was
- 21 and what embodiments Claim 1 read on, in
- forming your analysis, I'd like to know which
- embodiments. And if you didn't, then we'll
- just -- I'll skip my -- the rest of my
- questions on the subject. So I'll ask you the

- 1 AKL 6/2/16
- 2 question again. Hopefully, it will make sense.
- In preparing your opinions expressed
- in your declaration marked as Exhibit ATT-2043,
- ⁵ did you need to understand which embodiment or
- 6 embodiments described in the '714 patent for
- ⁷ the term "profile management system" -- I
- 8 already messed up. Sorry. Strike that. I'll
- ⁹ try again.
- In preparing your opinions expressed
- in your declaration marked as Exhibit ATT-2043,
- did you need to understand which embodiment or
- embodiments are covered by the term "profile"
- management system" in independent Claim 1 of
- the '714 patent?
- MR. EMERSON: Same objections.
- 17 A. I'm sorry, one more time. Thank
- you. Just -- just the question.
- 19 Q. In preparing your opinions expressed
- in your declaration marked as Exhibit ATT-2043,
- 21 did you need to understand which embodiment or
- embodiments of the term "profile management
- 23 system" are covered by independent Claim 1 of
- the '714 patent?
- MR. EMERSON: Same objections.

- 1 AKL 6/2/16
- A. In preparing and writing my
- declaration, I did not have to go through and
- 4 focus on a specific embodiment and look at the
- 5 different embodiments and see which embodiment
- or embodiments are covered by profile
- 7 management system as recited in Claim 1.
- 8 It's my understanding that the
- 9 specification can include multiple embodiments.
- 10 A claim should read on at least one, but it may
- read on more than one. You have dependent
- 12 claims that can narrow an independent claim and
- then focus on different embodiments. That's my
- understanding of how the specification and the
- claims relate to each other.
- The claim is read in light of the
- specification, but when I'm doing my analysis
- and I'm looking on Claim 1, I can apply the
- plain and ordinary meaning for the profile
- management system as understood by one of
- ordinary skill in the art and be able to carry
- 22 my analysis.
- Q. Okay. And -- and again, what was
- the plain and ordinary meaning of the term
- ²⁵ "profile management system" you used in your

- 1 AKL 6/2/16
- 2 analysis of Claim 1?
- MR. EMERSON: Object to form, asked
- 4 and answered.
- 5 A. I've already answered that question.
- Q. Can you tell me again, Dr. Akl?
- 7 MR. EMERSON: Same objection.
- A. We can try to have the court
- 9 reporter go back to my previous testimony, if
- that's possible.
- 11 Q. No. Just tell me what your answer
- 12 is.
- MR. EMERSON: Same objection.
- 14 A. It's the same answer that I gave
- before.
- Q. I don't know what that answer is,
- ¹⁷ Dr. Akl.
- 18 A. You will have a copy of the
- 19 transcript. You can look back at it.
- Q. You can't answer my question?
- MR. EMERSON: Object to the form.
- Q. I'll ask you one more time. You can
- try to answer it, or you don't have to.
- And again, what was the plain and
- ordinary meaning of the term "profile

- 1 AKL 6/2/16
- management system" that you used in your
- analysis of Claim 1 of the '714 patent?
- ⁴ A. I used the definition that would
- 5 have been used by one of ordinary skill in the
- 6 art at the time the '714 patent was written.
- ⁷ Q. And what is that definition in
- 8 words --
- 9 MR. EMERSON: Object to the form.
- Q. -- that you used?
- 11 A. I don't have an articulation that I
- can provide you on the fly right now of that
- definition. I'm not doing claim construction
- on the fly. Doing claim construction is --
- requires a lot of analysis. You want to make
- sure that the term is not too narrow or too
- broad. And as such, I am not comfortable
- providing a claim construction on the fly.
- I believe saying that the term has
- the plain and ordinary meaning as understood by
- one of ordinary skill is sufficient for me to
- 22 be able to know and be able to carry out the
- 23 analysis and understand how one of ordinary
- 24 skill would have used those words in the claim.
- Q. Okay. And sitting here today, can

- 1 AKL 6/2/16
- you articulate the definition of the plain and
- ordinary meaning of profile management system
- 4 that you use in your analysis?
- MR. EMERSON: Object to the form,
- 6 asked and answered, beyond the scope.
- A. Previously I gave you an example.
- 8 An example would be a system for managing
- ⁹ profiles. That is an example of what a profile
- management system is.
- 11 Q. And is that the example you used in
- performing your analysis in the 227 matter?
- 13 A. That's an example that I'm coming up
- with on the fly right now because you're asking
- me to articulate and define a term that --
- Q. So I'm not asking you to define it.
- 17 I'm asking you to articulate what meaning --
- what plain and ordinary meaning you gave to the
- term "profile management system" in performing
- your analysis in this matter. Do you
- ²¹ understand that?
- A. I understand you've asked me that
- question multiple times, and I've answered it,
- 24 and I have nothing else to add to my previous
- answer.

- 1 AKL 6/2/16
- O. Perfect.
- MR. EMERSON: Would this be a good
- 4 time for a break?
- MR. KITCHURA: One second. Take a
- break.
- VIDEOGRAPHER: We're off record at
- 8 10:03 a.m.
- 9 (Recess, 10:03 to 10:16 a.m.)
- VIDEOGRAPHER: We are back on record
- 11 at 10:16 a.m.
- Q. Welcome back, Dr. Akl.
- 13 A. Thank you.
- Q. So I would like to switch topics for
- a couple of minutes, and I would like to turn
- back to your declaration, Exhibit ATT-2043.
- And if we could turn to paragraph 32, it's on
- 18 page 12.
- Now, in paragraph 32 of your
- declaration, you provide a definition of what a
- 21 person of ordinary skill in the art would be
- for the '714 patent; right?
- 23 A. Yes.
- Q. Okay. In your opinion, what is a
- person of ordinary skill in the art for the

- 1 AKL 6/2/16
- ² '714 patent?
- A. A person of ordinary skill in the
- 4 art for the '714 patent would have a bachelor's
- 5 degree in electrical engineering or computer
- 6 science and two to three years of work
- 9 experience in the relevant field or the
- 8 equivalent.
- 9 Q. And what is the basis for that
- opinion?
- 11 A. As an expert, I can put myself back
- in 1997 and look at the '714 patent, look at
- what's disclosed in the specification and the
- 14 claims and what -- articulate what one of
- ordinary skill in the art would need to have as
- far as qualifications to be one of ordinary
- skill in the art with regard to this patent,
- based on the -- what was happening in '97, the
- type of problems that were being solved, how
- fast the problems were being solved.
- So there is a lot of parameters
- 22 that, as an expert, I take into account. I
- read the patent, and then I look at when the
- priority date of the patent is, and be able to
- ²⁵ articulate qualifications for one of ordinary

- 1 AKL 6/2/16
- 2 skill in the art.
- O. Did you meet your definition of a
- 4 person of ordinary skill in the art for the
- ⁵ '714 patent in April of 1997?
- A. Yes.
- 7 Q. You did. What were you doing in
- 8 April of 1997?
- 9 A. In April of 1997, I had two bachelor
- degrees, a master's degree, a bachelor's degree
- in computer science, a bachelor's degree in
- electrical engineering, a master's degree in
- electrical engineering, and I was working on my
- doctorate. I was also working in industry as I
- was going to school in '97.
- 16 Q. So tell me how you met the
- requirement of having two or three years of
- work experience in the relevant field in April
- ¹⁹ of 1997.
- 20 A. So the definition that I provided
- here was a bachelor's degree and two to three
- years of work experience. Like I just answered
- your question, in '97 I had two bachelor's
- degrees, one in computer science, and one in
- electrical engineering. I got those in '94.

- 1 AKL 6/2/16
- 2 So counting my years of experience after one
- bachelor's would have been three, but I had two
- 4 bachelor's. And I had a master's that I got in
- ⁵ '96. So I satisfied -- and I would be one of
- 6 ordinary skill in the art as stated here.
- 7 Q. Now, I'm asking specifically about
- 8 the requirement of having two or three years of
- 9 work experience in the relevant field. Do you
- see that in your definition?
- 11 A. Yes.
- 0. Okay. And I would like to know how
- you met that requirement of having two or three
- years of work experience in the relevant field
- ¹⁵ in 1997.
- 16 A. I just answered that. My bachelor's
- was in '94, so if you can count, between '94
- and '97 is three years. So in '97 I had two
- degrees, two bachelor's, a master's. And from
- '97 there have been three years from '94, when
- I got both bachelor degrees.
- Q. So tell me what part of your work
- experience between 1994 and 1997 is relevant to
- your definition of a person of ordinary skill
- in the art for the '714 patent.

- 1 AKL 6/2/16
- A. Again, the definition says you need
- to have a bachelor's degree in electrical
- 4 engineering or computer science and two to
- 5 three years of work experience in the relevant
- field. With me having two degrees and a
- master's degree, that qualifies, because
- 8 working on a master's degree counts as a work
- 9 experience. You are working in the -- the
- relevant field. I was working on my master's,
- and I already had two bachelor's.
- 12 And after -- and also during that
- time, in addition of working on my doctorate,
- which would also qualify as work experience, I
- was also working in industry for a company
- 16 called MinMax.
- Q. So let me make sure I get this
- 18 right. Your relevant work experience, as it
- 19 relates to your definition of a person of
- ordinary skill in the art, between 1994 and
- 1997 includes your work on your master's, your
- work on your doctorate, and your industry
- experience at MinMax; is that right?
- A. And having two bachelor degrees. So
- having two degrees instead of one counts for

- 1 AKL 6/2/16
- something. So when I say here you need one
- degree and two to three years of experience,
- 4 having two degrees, in a sense, would be
- 5 equivalent to also having more work experience,
- because it takes longer to get two degrees
- versus one degree. So you have to -- you're
- 8 not bean-counting. You're looking at this
- 9 definition in a holistic manner.
- So the definition says a bachelor's
- degree in computer science or electrical
- engineering and two to three years' work
- experience. That provides you the proper
- background. So if you had your degree in
- 15 computer science versus electrical engineering,
- there are things one would learn the other
- wouldn't. But then you may pick it up based on
- work experience.
- So in my case, I had two degrees,
- one in computer science, one in electrical
- engineering. I also had my master's degree,
- 22 and I was working on my doctorate degree. So I
- would fit this definition.
- Q. Okay. So let's talk about your work
- experience as it relates to your master's

- 1 AKL 6/2/16
- ² degree.
- Can you explain to me how your work
- experience, as it relates to your master's
- 5 degree, fits into your definition of a person
- of ordinary skill in the art for the '714
- 7 patent?
- 8 A. So between '94 and '96, as I was
- 9 working towards my master's degree in
- 10 electrical engineering, I would be taking
- 11 courses and working on projects that would fit
- the relevant field for the '714 patent.
- Q. And what courses and projects are
- 14 relevant?
- 15 A. There are a lot of courses that one
- would take doing -- pursuing a master's in
- electrical engineering that would be in the
- 18 relevant field, whether those courses are on
- communication, networking, etcetera.
- Q. Any other courses relevant?
- A. Again, I'm providing a holistic
- answer to your question. So the degree, in
- itself, you are exposed to a lot of different
- courses. A lot of those courses would qualify
- as courses in the relevant field of the '714

- 1 AKL 6/2/16
- ² patent.
- Q. Did you take courses in
- 4 communications while obtaining your master's
- 5 degree?
- 6 A. Yes.
- ⁷ Q. Did you take courses in networking
- 8 while obtaining your master's degree?
- 9 A. Yes.
- Q. All right. So besides courses, you
- mentioned projects. What types of projects
- 12 relate to your work experience while obtaining
- your master's degree?
- 14 A. They would be projects that relate
- to communications and networking.
- Q. Can you give me any more details on
- those projects?
- 18 A. I don't recall at this time.
- Q. Can you explain to me how your work
- experience, as it relates to your doctorate
- degree, fits into your definition of a person
- 22 of ordinary skill in the art for the '714
- patent?
- A. Sure. In '96 I had again, two
- bachelor's, a master's, and I started working

- 1 AKL 6/2/16
- on my doctorate. And as part of what you do
- for a doctorate, you take also additional
- 4 courses, very advanced, high level, Ph.D. level
- 5 courses, and you do a lot of research. And the
- 6 courses that I took that would be relevant,
- ⁷ again, are courses in telecommunications.
- 8 There is courses in databases, courses on SS7.
- 9 So there are several advanced courses that I
- 10 recall taking.
- In addition, I was also working at
- the MinMax Corporation. We can get through
- 13 that.
- Q. Can you explain to me how your work
- 15 at MinMax Corporation relates -- strike that.
- 16 Can you explain to me how your work
- at MinMax Corporation fits into your definition
- 18 of a person of ordinary skill in the art for
- the '714 patent?
- A. Sure. I was working at MinMax from
- '96 to 2000, and I worked on different projects
- 22 at the time. Some related to wireless
- communication; some related to wired
- telecommunication, including ATM switching.
- Q. What specifically -- strike that.

- 1 AKL 6/2/16
- What projects did you work on
- 3 specifically during the timeframe of 1996 to
- 4 1997 while at MinMax?
- 5 A. I don't recall, but in general, the
- field that I was working with related to
- different aspects of telecommunications and
- 8 communication of different components. So at a
- 9 high level, that would be in the relevant field
- 10 of the '714.
- Q. Okay. Do you recall meeting
- somebody by the name of Dr. Bell Christian?
- A. I'm not sure.
- Q. Now, the claims of the '714 patent
- describe, in part -- or strike that.
- The claims of the '714 patent
- mention a user interface; right?
- 18 A. Yes.
- Q. What is a user interface?
- A. Again, those words would have the
- 21 plain and ordinary meaning as understood by one
- of ordinary skill in the art. I can provide
- you an example, would be an interface that a
- user uses to interact, usually with a computer.
- Q. Can a -- can a user interface be

- 1 AKL 6/2/16
- ² graphical?
- A. Yes.
- Q. What's an example of a non-graphical
- ⁵ user interface?
- A. A text-based user interface.
- 7 Q. Could you have an audio-based user
- 8 interface?
- ⁹ A. Maybe. I'd have to look at the --
- the context, but -- in order to be able to
- answer your question more accurately.
- 12 Q. I was referring to more along the
- lines of kind of like an automated system where
- 14 it gives you audio prompts, and you can
- interact through either speech or --
- 16 A. Yes. I mean, I know there are
- people that are visually impaired and have to
- 18 interact and have a user interface that is
- audio-based. So that would be an example of a
- user interface that's more geared towards a
- 21 subset of the population that would be solely
- ²² audio, as an example.
- Q. So a graphical user interface is a
- subset of a user interface; right?
- A. It would be a type of user

- 1 AKL 6/2/16
- ² interface.
- Q. What is another type of user
- interface, besides a graphical user interface?
- 5 A. A --
- MR. EMERSON: Objection, form, asked
- ⁷ and answered.
- 8 A. A text-based I think is what I said
- ⁹ previously.
- Q. I'd like to turn back to your
- declaration marked as ATT-2043, and I'd like to
- turn to paragraph 95. It's on page 45 of your
- declaration. And I'd like to focus on the
- 14 first sentence in paragraph 95, which reads: I
- also understand that the inventors actually
- reduced the invention of the '714 patent to
- practice prior to the filing date of the An
- provisional, as supported in part by the
- document entitled 'Requirements Document PC
- User Interface' dated July 17, 1996."
- Did I read that correctly?
- ²² A. Yes.
- Q. Now, you used the term "supported in
- part" in the first sentence of paragraph 95.
- Do you see that?

- 1 AKL 6/2/16
- 2 A. Yes.
- Q. Now, in performing your analysis in
- 4 connection with the 227 matter, did you rely on
- 5 any testimony or any other documents besides
- 6 the Requirements Document PC User Interface in
- 7 coming to your opinion that the inventors
- 8 actually reduced the invention of the '714
- 9 patent to practice prior to the filing date of
- the An provisional?
- 11 A. In Appendix B I have a list of
- materials that I considered. So what I have
- relied upon is in Appendix B. If it's not in
- 14 Appendix B, then I did not rely upon it.
- Q. What documents in Appendix B, your
- list of materials considered, is relevant to
- your analysis regarding the actual reduction to
- practice of the '714 patent?
- A. Exhibit 1001, Exhibit 2044, Exhibit
- 20 2039. I think that would be more the focus,
- ²¹ but --
- Q. Any other exhibits relevant to your
- 23 analysis regarding the reduction to practice of
- the '714 patent?
- A. I mean, I have a whole section on

- 1 AKL 6/2/16
- the reduction to practice, so whatever I cited
- within the declaration if I missed something
- 4 that would be relevant. I think my declaration
- 5 speaks for itself, so I've cited different
- things starting on -- between paragraphs 95
- through 99, but I think I've listed them, but
- if I missed something, it would be there.
- 9 Q. If you need time to look at it, you
- 10 can. I just want to know what exhibits are
- relevant to your analysis that the inventors
- actually reduced the '714 patent down to
- 13 practice.
- 14 A. I think I named them.
- Q. And that is Exhibit 1001, Exhibit
- 2039, and Exhibit 2044; is that right?
- 17 A. Yes, I think so.
- 18 Q. Now, turning back to paragraph 95,
- what do you mean by "actually reduced the
- invention of the '714 patent to practice"?
- A. I don't understand what you don't
- understand about those words.
- Q. Well, what do you mean by "actually
- reduced the invention to practice"?
- A. Implemented the invention.

- 1 AKL 6/2/16
- Q. What do you mean by "implemented the
- 3 invention"?
- ⁴ A. Reduced it practice.
- 5 O. That's a little circular, isn't it?
- A. You're asking me to define, and I
- gave you a definition. Then you're asking me
- 8 for another definition. I don't -- these words
- 9 are not confusing, or they shouldn't be.
- Q. By "implemented the invention," do
- 11 you mean built it?
- 12 A. Sure. Implemented it, I think, is
- 13 better, built --
- 14 Q. I'll ask you a different question.
- How does one implement an invention?
- 16 A. One example would be you would have
- a prototype. You have a working model.
- 18 Q. Can you think of any other ways one
- implements an invention?
- A. Are we speaking in vacuum? I mean,
- I think with regard to the '714, the
- requirements document showed an interface and
- showed the interaction. So it -- I was able to
- 24 cite parts of that document that shows
- reduction to practice. So in this context, it

- 1 AKL 6/2/16
- ² satisfied the reduction of the invention. You
- may have different scenarios and other
- 4 circumstances. I don't want to make
- ⁵ generalizations.
- 6 Q. Now, you just stated you reviewed
- 7 the requirements document; right?
- 8 A. Yes.
- 9 Q. Did you review or analyze the
- underlying system described by the requirements
- 11 document?
- 12 A. You mean a physical system? I don't
- understand the question.
- Q. Does the Requirements Document PC
- User Interface describe a system?
- A. Well, if we're going to be going
- into what that document describes, can I get a
- copy of it, please?
- O. Sure, in a little bit. I just want
- you to answer the basic question.
- Does the Requirements Document PC
- User Interface describe an underlying system?
- MR. EMERSON: Object to the form.
- 24 A. In order for me to answer the
- 25 question, I need a document.

- 1 AKL 6/2/16
- Q. At a high level, what does the
- Requirements Document PC User Interface
- 4 describe?
- 5 A. The invention in the '714 patent.
- 6 Q. Could you turn to paragraph 73 of
- your declaration. Now, you state that Ground
- 8 E, as instituted by the PTAB, alleges that
- 9 Claims 1 through 7, 11, and 13 through 17 are
- anticipated by An, right?
- 11 A. Yes.
- Q. And it's your opinion that An
- doesn't qualify as prior art; correct?
- 14 A. Yes.
- Q. Now, you don't provide an analysis
- of any claims in the '714 patent as being
- unpatentable under An; right?
- 18 A. It is unnecessary because it's not
- prior art. So we -- there is no need to do an
- analysis on a document that is not prior art.
- Q. Okay.
- MR. KITCHURA: All right. Let's
- take a quick break.
- VIDEOGRAPHER: We're off record at
- ²⁵ 10:47 a.m.

- 1 AKL 6/2/16
- (Recess, 10:47 to 11:03 a.m.)
- VIDEOGRAPHER: We are back on record
- at 11:03 a.m., with the start of Tape 2.
- Q. Welcome back.
- A. Thank you.
- Q. I think we have just maybe, like,
- 8 five, ten minutes left, but just a couple of
- ⁹ quick questions.
- Have you ever lied under oath?
- 11 A. No.
- 12 Q. Have you ever recanted any prior
- expert testimony?
- 14 A. No.
- Q. All right. Now, I would like to
- turn to paragraph 79 of your declaration, and
- you have some analysis regarding a particular
- term, "protocol conversion." Is that right?
- 19 A. Yes.
- Q. And in your own words, what is
- 21 protocol conversion?
- A. Can I get a copy of the An patent,
- ²³ please?
- Q. Sure. So, Dr. Akl, you have been
- handed Exhibit 1005, if you would take a minute

- 1 AKL 6/2/16
- 2 to look at that.
- What is Exhibit 1005?
- A. It is Patent Number 6,031,904, which
- 5 I will refer to as the An patent, A-N.
- Q. And Exhibit 1005 is the -- what you
- also referred to as the An patent in your
- 8 declaration; right?
- ⁹ A. Yes.
- Q. Okay. So let's hear the question
- again. In your own words, what is protocol
- conversion as used in the An patent?
- 13 A. So the words "protocol conversion"
- 14 adhere in the independent claims of An. And I
- think if I were to do claim construction on
- those words, they would have the plain and
- ordinary meaning as understood by one of
- ordinary skill in the art at the time for the
- An patent. And, again, the -- the plain
- and ordinary meaning would apply. We are --
- you are converting a protocol.
- Q. What do you mean by "you are
- converting a protocol"?
- A. I -- again, because the word
- ²⁵ "conversion" appears in the claim, I'm

- 1 AKL 6/2/16
- reluctant to give you a definition on the fly,
- because that's claim construction. But an
- 4 example would be you're -- you're changing it,
- 5 you're modifying it. You're converting it from
- one form to another. That's -- would be
- 7 consistent with the plain and ordinary meaning,
- 8 which is how one -- how one of ordinary skill
- in the art would understand the word
- 10 "conversion" to mean.
- Q. And when you say "you're changing
- it, you're modifying it," what is it that
- you're changing or modifying?
- 14 A. The protocol, because the -- the
- term is -- you're performing protocol
- conversion, so that's what's being modified.
- Q. So how was a protocol -- strike
- 18 that.
- Can you give me an example of how a
- 20 protocol is modified or changed?
- 21 A. Yes. In -- in -- outside the An
- ²² reference, I can.
- Q. Can you give it -- I need it in the
- context of the An patent, because you used the
- 25 term "protocol conversion." So I'm just trying

- 1 AKL 6/2/16
- to understand what is being converted or
- modified. Does that make sense?
- ⁴ A. So I think you asked me two
- ⁵ questions.
- But in the context of An, I cannot
- ⁷ give you an example, because I don't believe An
- 8 discloses protocol conversion. There is no
- 9 protocol conversion disclosed in An. This is
- why I can't give you an example from An.
- 11 The only thing that is close is
- encapsulation, which is described in column 7,
- lines 55 through 64. The word "conversion"
- 14 does not appear in the specification, and the
- only thing that appears here is the
- encapsulation, and encapsulation is not
- conversion. So I cannot give you an example
- 18 from An on protocol conversion.
- Q. Now, the term "encapsulate" or --
- 20 strike that.
- 21 The terms "encapsulate" or
- ²² "encapsulation" do not appear in the claims of
- the An patent; correct?
- A. Do you want me to go through the
- claims word by word to verify? I can take your

- 1 AKL 6/2/16
- word for it if you've done the word search.
- Q. No. You should verify if you need
- 4 to.
- 5 A. Do you want me to look only at
- 6 Claim 1 or all the claims?
- 7 Q. Whatever claims of the An patent you
- believe are relevant to your analysis in the
- 9 227 matter.
- 10 A. I don't see the words "encapsulate,"
- at least in Claims 1, 7, and 11, which are the
- independent claims.
- Q. And you didn't see the term
- "encapsulation," either; correct?
- A. Yes, that is correct.
- 16 Q. If you could turn back to your
- declaration, we're going to move to paragraph
- 80. And in the first sentence of paragraph 80
- of your declaration, you say: "Based on the
- language of the An claims, I interpret those
- claims as requiring support for protocol
- conversion performed by a server dependent on a
- type of service manager node."
- Did I read that correctly?
- 25 A. Yes.

- 1 AKL 6/2/16
- Q. Now, you previously stated you were
- unable to determine the meaning of protocol
- 4 conversion as used in the An patent; correct?
- MR. EMERSON: Object to the form.
- 6 A. I think you're mischaracterizing my
- ⁷ testimony.
- 8 Q. Were you able to determine a plain
- 9 and ordinary meaning for the term "protocol
- 10 conversion" as used in the claims of the An
- 11 patent?
- MR. EMERSON: Object to the form.
- 13 A. I -- I think you were asking me for
- an example, and that's what I didn't find.
- There is no mention of conversion, one way or
- the other in the specification.
- 17 Q. That's not what I'm asking you right
- 18 now, though. Okay?
- 19 A. Then if you can rephrase the
- question, because you were referring to my
- 21 prior testimony.
- Q. Okay. So I will not refer to your
- prior testimony. Instead, I will just ask you
- 24 a question.
- Were you able to determine the plain

- 1 AKL 6/2/16
- and ordinary meaning for the term "protocol"
- 3 conversion" as used in the claims of the An
- 4 patent?
- MR. EMERSON: Same objection.
- 6 A. I'm not sure I understand the
- question. Is there a way you can phrase it so
- 8 I can try to answer it?
- 9 Q. In your analysis of the An patent --
- 10 strike that.
- What is the plain and ordinary
- meaning of the term "protocol conversion" as
- used in the claims of the An patent?
- MR. EMERSON: Objection, form, asked
- and answered.
- 16 A. There is nothing I can point to in
- the An patent as an example of the plain and
- ordinary meaning for protocol conversion which
- appear in the claims.
- Q. So there is nothing you can point to
- in the patent, but in reading the patent as a
- whole and in reading the claims of the An
- patent, did you, yourself, determine what the
- plain and ordinary meaning of the term
- ²⁵ "protocol conversion" means?

- 1 AKL 6/2/16
- MR. EMERSON: Object to the form,
- 3 asked and answered.
- 4 A. There is no claim construction that
- 5 we have with regard to the An patent. And it
- is my understanding that normally, you give
- words their plain and ordinary meaning unless
- 8 there are circumstances where you would provide
- 9 a construction.
- In the absence of a construction,
- 11 I -- in doing my analysis, I gave those words
- their plain and ordinary meaning.
- Q. And what plain and ordinary meaning
- did you give to the term "protocol conversion"
- as used in the claims of the An patent?
- MR. EMERSON: Object to form.
- 17 A. Now you're asking me to articulate a
- definition. And for the same reasons, I am not
- comfortable articulating a definition on the
- 20 fly. I can provide you that I've used the
- 21 plain and ordinary meaning without giving you a
- definition on the fly because that's not
- 23 something that I wrote in my declaration.
- Q. So you admit that you applied the
- 25 plain and ordinary meaning to the term

- 1 AKL 6/2/16
- ² "protocol conversion" in your analysis of the
- 3 An patent; correct?
- 4 A. Yes.
- Dut sitting here today, you can't
- tell me what that definition of protocol
- 7 conversion is that you used in your analysis;
- 8 right?
- A. If I give you a definition, I would
- be doing claim construction, and I want to make
- sure that any definition that I do provide
- should not be too narrow or too broad. So what
- I can do is I can give you an example, and the
- example is sufficient for me to do the analysis
- 15 that I did.
- So an example that I gave you
- previously was you're modifying the protocol.
- You're changing it. You're doing conversion on
- the protocol. That understanding is sufficient
- for me to be able to carry on and do the
- 21 analysis without having to articulate an exact
- definition here on the fly and make sure that
- that definition would not be too narrow or too
- broad or do claim construction.
- Q. What do you mean by modifying the

- 1 AKL 6/2/16
- 2 protocol or changing the protocol in the
- example you just gave me?
- 4 MR. EMERSON: Objection to form,
- 5 asked and answered.
- A. Again, those words would have their
- 7 plain and ordinary meaning. You're --
- you're -- you're asking me for a definition of
- 9 a -- of a definition.
- Q. No. I'm just trying to understand
- what your understanding is. Are -- are you
- modifying data according to a protocol? Are
- you changing the protocol? What's happening?
- What do you mean by you are doing conversion on
- the protocol? You are changing it?
- MR. EMERSON: Objection, form, asked
- and answered.
- A. Well, that's what An says. I did
- not come up with the term "protocol
- conversion." The term "protocol conversion"
- 21 appears in the claim. There is no support for
- 22 protocol conversion in the specification.
- 23 You're having issues understanding it. I'm
- having issues explaining it because there is
- nothing I can point to as an example because

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1
                      AKL - 6/2/16
2
     there is no support for that term in the
     specification. That's exactly the problem
     we're having.
          Ο.
                Okay. One last question, Dr. Akl.
6
                Do you currently own any AT&T stock?
7
          Α.
                No.
                MR. KITCHURA: Okay. I have no
9
          further questions.
10
                MR. EMERSON: We'll take a short
11
          break and be back.
12
                VIDEOGRAPHER: We are off record at
13
          11:20 a.m.
14
                (Recess, 11:20 to 11:23 a.m.)
15
                VIDEOGRAPHER: We are back on record
16
          at 11:23 a.m.
17
                MR. EMERSON: We have no questions.
18
          All done. Thank you.
19
                MR. KITCHURA: Thanks, Dr. Akl.
20
                THE WITNESS:
                               Thank you.
21
                VIDEOGRAPHER: We are off record at
22
          11:23 a.m.
23
                (Deposition adjourned at 11:23 a.m.)
24
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1 AKL - 6/2/16CERTIFICATE I, SUSAN S. KLINGER, a certified shorthand reporter within and for the 6 States of Texas and California, do hereby certify: That DR. ROBERT AKL, the witness whose deposition is hereinbefore set forth, 10 was duly sworn by me and that such 11 deposition is a true record of the 12 testimony given by such witness. 13 I further certify that I am not 14 related to any of the parties to this 15 action by blood or marriage; and that I am 16 in no way interested in the outcome of this 17 matter. IN WITNESS WHEREOF, I have hereunto 18 19 set my hand this 6th of June, 2016. 20 21 22 Susan S. Klinger, 23 RMR-CRR, CSR 24 Texas CSR# 6531 25

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