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## NOTICE OF ALLOWANCE AND FEE(S) DUE

34055	7590	11/14/2012
PERKINS	COIE LLP	
POST OFFI	CE BOX 1208	
SEATTLE,	WA 98111-1208	

## EXAMINER MAYES, DIONNE WALLS ART UNIT PAPER NUMBER 1747

DATE MAILED: 11/14/2012

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
13/079,937	04/05/2011	Li HAN	76320.8012.US01	1784	

TITLE OF INVENTION: AEROSOL ELECTRONIC CIGARETTE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$885	\$300	\$0	\$1185	02/14/2013

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED</u>. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:	If the SMALL ENTITY is shown as NO:
A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.	A. Pay TOTAL FEE(S) DUE shown above, or
B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or	B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

#### Complete and send this form, together with applicable fee(s), to: <u>Mail</u> Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This f appropriate. All further c indicated unless corrected maintenance fee notificati	form should be used f orrespondence includin d below or directed oth ons.	for transmitting the IS ng the Patent, advance nerwise in Block 1, by	SUE FEE and PUBLICA orders and notification or (a) specifying a new con	TION FEE (if requ f maintenance fees v respondence address;	ired). H vill be ; and/or	Blocks 1 through 5 sh mailed to the current (b) indicating a sepa	ould be completed where correspondence address as rate "FEE ADDRESS" for
CURRENT CORRESPONDED	NCE ADDRESS (Note: Use Bl		F	ee(s) Transmittal. Th	is certif	icate cannot be used for	domestic mailings of the or any other accompanying at or formal drawing, must
<sup>34055</sup> PERKINS COII POST OFFICE B SEATTLE, WA S	OX 1208	/2012		Cer	tificate	of Mailing or Transı	
			Г				(Depositor's name)
							(Signature)
							(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENT	DR	ATTO	RNEY DOCKET NO.	CONFIRMATION NO.
13/079,937	04/05/2011		Li HAN		76	5320.8012.US01	1784
TITLE OF INVENTION:	AEROSOL ELECTRO	NIC CIGARETTE	-1				
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DU	E PREV. PAID ISSU	E FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$885	\$300	\$0		\$1185	02/14/2013
EXAMI	NER	ART UNIT	CLASS-SUBCLASS				
MAYES, DION	INE WALLS	1747	131-202000	_			
☐ "Fee Address" indic PTO/SB/47; Rev 03-02 Number is required.	ndence address (or Cha /122) attached. cation (or "Fee Address" 2 or more recent) attache	" Indication form ed. U <b>se of a Customer</b>	e or agents OR, altern (2) the name of a sir registered attorney of 2 registered patent a listed, no name will	gle firm (having as a r agent) and the nam torneys or agents. If be printed.	n memb les of u	er a 2 p to	
PLEASE NOTE: Unle	ess an assignee is identi in 37 CFR 3.11. Comp	ified below, no assign	NOT a substitute for filing (B) RESIDENCE: (CI	patent. If an assign n assignment. IY and STATE OR (	COUNT	'RY)	cument has been filed for
Please check the appropria	ate assignee category or	categories (will not be	e printed on the patent):	Individual Co	orporati	on or other private gro	up entity 🖵 Government
4a. The following fee(s) are submitted:       44         □ Issue Fee       □ Publication Fee (No small entity discount permitted)         □ Advance Order - # of Copies			<ul> <li>4b. Payment of Fee(s): (P</li> <li>A check is enclosed</li> <li>Payment by credit</li> <li>The Director is here overpayment, to Do</li> </ul>	l. card. Form PTO-2038	s is atta	ched.	,
5. Change in Entity Stati				1.1.1. (3.64)			D 1 07( \/0)
	SMALL ENTITY statu Publication Fee (if requestored of the United State)	uired) will not be accep	b. Applicant is no l pted from anyone other tha ark Office.	0			e assignee or other party in
				Date			
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This collection of informa an application. Confidenti submitting the completed this form and/or suggestio Box 1450, Alexandria, Vi Alexandria, Virginia 2231 Under the Paperwork Red	5-1450.		ation is required to obtain "R 1.14. This collection is ary depending upon the in the Chief Information Off R COMPLETED FORMS respond to a collection of				

OMB 0651-0033 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

	ted States Pate	ENT AND TRADEMARK OFFICE	UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 223 www.uspto.gov	OR PATENTS	
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PERKINS COIE LLP POST OFFICE BOX 1208			MAYES, DIONNE WALLS		
SEATTLE, WA 98			ART UNIT	PAPER NUMBER	
			1747		
			DATE MAILED: 11/14/201	2	

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application No.	Applicant(s)		
	13/079,937	HAN, LI		
Notice of Allowability	Examiner	Art Unit		
		1747		
	DIONNE WALLS MAYES	1747		
The MAILING DATE of this communication apper All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this ap or other appropriate communication IGHTS. This application is subject to	plication. If not included n will be mailed in due course. <b>THIS</b>		
1. X This communication is responsive to the Amendment filed of	on August 3, 2012.			
<ol> <li>An election was made by the applicant in response to a response to a response to a requirement and election have been incorporated into this a</li> </ol>		the interview on; the restriction		
<ol> <li>The allowed claim(s) is/are <u>31-33</u>. As a result of the allowed Highway program at a participating intellectual property offi <u>http://www.uspto.gov/patents/init_events/pph/index.jsp</u> or set</li> </ol>	ce for the corresponding application	. For more information, please see		
<ul> <li>4. X Acknowledgment is made of a claim for foreign priority under a) X All</li> <li>b) Some<sup>*</sup></li> <li>c) None of the:</li> </ul>	er 35 U.S.C. § 119(a)-(d) or (f).			
a) All b) ☐ Some c) ☐ None of the. 1. ☐ Certified copies of the priority documents have	been received			
2. Certified copies of the priority documents have		2/226 818		
3. Copies of the certified copies of the priority do				
International Bureau (PCT Rule 17.2(a)).		halonal stage application nom the		
* Certified copies not received:				
Applicant has THREE MONTHS FROM THE "MAILING DATE"	of this communication to file a renly	complying with the requirements		
noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.				
5. 🔲 CORRECTED DRAWINGS ( as "replacement sheets") mus	t be submitted.			
including changes required by the attached Examiner' Paper No./Mail Date	s Amendment / Comment or in the C	Office action of		
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t				
6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.				
Attachment(s)		mont/Commont		
1. Notice of References Cited (PTO-892)	5. Examiner's Amendi	ment/Comment ent of Reasons for Allowance		
<ol> <li>Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date</li> </ol>	6. 🔲 Examiner's Stateme	ent of Reasons for Allowance		
3. Examiner's Comment Regarding Requirement for Deposit	7. 🔲 Other			
of Biological Material 4.				
Paper No./Mail Date				
/DIONNE WALLS MAYES/	/Richard Crispino/			
Examiner, Art Unit 1747	Supervisory Patent Ex	aminer, Art Unit 1747		
U.S. Patent and Trademark Office				
	otice of Allowability	Part of Paper No./Mail Date 20121103		