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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
13/277,789	10/20/2011	Robert A. Ashley	512-53 DIV/CON II	4179
	7590 05/14/201 & BARON, LLP	2	EXAM	UNER
6900 JERICHO	TURNPIKE	^	TRAN, S	SUSAN T
SYOSSET, NY	11/91		ART UNIT	PAPER NUMBER
			1615	
			MAIL DATE	DELIVERY MODE
			05/14/2012	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Dr. Reddy's Laboratories, Ltd., et al.
v.
Galderma Laboratories, Inc.
IPR2015-\_\_\_\_
Exhibit 1069

	Application No.	Applicant(s)				
	13/277,789	ASHLEY, ROBERT A.				
Office Action Summary	Examiner	Art Unit				
	SUSAN TRAN	1615				
The MAILING DATE of this communication app Period for Reply	pears on the cover sheet with the c	orrespondence address				
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING D/FOR STATUTORY PERIOD FOR REPLY Extensions of time may be available under the provisions of 37 CFR 1.1: after SIX (6) MONTHS from the mailing date of this communication.  If NO period for reply is specified above, the maximum statutory period vorally Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tim vill apply and will expire SIX (6) MONTHS from , cause the application to become ABANDONEI	ely filed the mailing date of this communication.  (35 U.S.C. § 133).				
Status						
<ol> <li>Responsive to communication(s) filed on</li> <li>This action is FINAL. 2b) This action is non-final.</li> <li>An election was made by the applicant in response to a restriction requirement set forth during the interview on; the restriction requirement and election have been incorporated into this action.</li> <li>Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.</li> </ol>						
Disposition of Claims	•					
<ul> <li>5) Claim(s) 21-40 is/are pending in the application.</li> <li>5a) Of the above claim(s) is/are withdrawn from consideration.</li> <li>6) Claim(s) is/are allowed.</li> <li>7) Claim(s) 21-40 is/are rejected.</li> <li>8) Claim(s) is/are objected to.</li> <li>9) Claim(s) are subject to restriction and/or election requirement.</li> </ul>						
Application Papers						
10) The specification is objected to by the Examiner.  11) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  12) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.						
Priority under 35 U.S.C. § 119						
<ul> <li>13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>						
Attachment(s)  1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date 02/01/12.  4) Interview Summary (PTO-413) Paper No(s)/Mail Date  5) Notice of Informal Patent Application 6) Other:						

U.S. Patent and Trademark Office PTOL-326 (Rev. 03-11)

## **DETAILED ACTION**

## Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 21, 23, 25-28, 30, 32-35 and 38-40 are rejected under 35 U.S.C. 103(a) as being unpatentable over Perricone US 6,365,623, in view of Pflugfelder et al. US 6,455,583.

Perricone teaches a method for the treatment of pustule and papule. The method comprises orally administering an antibiotic compound. See column 5, lines 40-45; column 6, lines 20-24; and column 8, lines 24-29.

Perricone does not expressly teach the claimed amount of antibiotic compound, as well as the claimed antibiotic such as doxycycline.

Pflugfelder teaches a method for treating conditions associated with rosacea.

The method comprises orally administering a tetracycline compound in a subantimicrobial amount that ranges from about 20% to about 80% of the normal antibiotic
therapeutic dose of the particular tetracycline compound. See abstract; column 4, lines
1-10; and column 5, lines 1-12. Tetracycline compound includes doxycycline is found in
column 6, line 5; and claim 3.

Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to optimize the method of Perricone to administer antibiotic

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compound in a sub-antimicrobial amount in view of the teachings of Pflugfelder. This is because: 1) Pflugfelder teaches the use of an antibiotic compound for the treatment of rosacea related conditions is known in the art; 2) Pflugfelder teaches that long term administration of antibiotic compound in a sub-antimicrobial amount will minimized tetracycline advert side-effects such as nausea, vomiting, diarrhea, and epigastric pain (column 1, lines 48-63); and 3) Perricone teaches the desirability for administering antibiotic compound in a lower dosage amount to eliminate advert side-effect such as nausea, GI upset, yeast infection, and interactions with other medication (column 8, lines 30-40).

Claims 24, 25, 31, 32, 37 and 38 are rejected under 35 U.S.C. 103(a) as being unpatentable over Perricone US 6,365,623, in view of Pflugfelder et al. US 6,455,583 and Sheth et al. US 5,300,304.

Perricone is relied upon for the reasons stated above. The reference is silent with respect to the once-a-day sustained release dosage.

Sheth teaches a pulsatile once-a-day dosage form of a non-toxic acid tetracycline compound for the treatment of acne. See abstract; columns 5-6; and claims.

Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to optimize the composition of Perricone to include a once-a-day antibiotic composition because Sheth teaches an antibiotic once-a-day dosage form is known in the art.

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Claims 22, 29 and 36 are rejected under 35 U.S.C. 103(a) as being unpatentable over Perricone US 6,365,623, in view of Pflugfelder et al. US 6,455,583 and Heesch US 2002/0165220 A1.

Perricone is relied upon for the reasons stated above. Perricone does not teach the hyclate salt of doxycycline.

Heesch teaches a tetracycline compound useful for the treatment of acne includes doxycycline. Heesch further teaches that doxycycline hyclate exhibits better advantage over the doxyclycline compound. See abstract; paragraphs 0093-0094 and claims.

Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to optimize the composition of Perricone to include the hyclate salt of the antibiotic compound in view of the teachings of Heesch. This is because doxycycline monohydrate (hyclate) provides lover dosage requirement, and because Perricone teaches the desirability for lover antibiotic dosage.

## Correspondence

Any inquiry concerning this communication or earlier communications from the examiner should be directed to SUSAN TRAN whose telephone number is (571)272-0606. The examiner can normally be reached on M-F 8:30 am to 5:30 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Robert A. Wax can be reached on (571) 272-0623. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/S. TRAN/ Primary Examiner, Art Unit 1615

### Application/Control No. Applicant(s)/Patent Under Reexamination 13/277,789 ASHLEY, ROBERT A. Notice of References Cited Examiner Art Unit Page 1 of 1 SUSAN TRAN 1615 **U.S. PATENT DOCUMENTS** Document Number Date Classification Name Country Code-Number-Kind Code MM-YYYY US-5,300,304 04-1994 Sheth et al. 424/490 Α US-6,365,623 04-2002 Perricone, Nicholas V. 514/448 В US-6,455,583 09-2002 Pflugfelder et al. 514/528 С US-2002/0165220 11-2002 Heesch, Gary V. 514/210.09 D US-Ε US-F US-G US-Н US-1 US-J US-Κ US-US-Μ FOREIGN PATENT DOCUMENTS Document Number Date Country Name Classification MM-YYYY Country Code-Number-Kind Code Ν 0 Р Q R S Т **NON-PATENT DOCUMENTS** Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) U ٧ W

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

**Notice of References Cited** 

Part of Paper No. 20120507

## ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /S.T./

Doc code: IDS Doc description: Information Disclosure Statement (IDS) Filed PTO/SB/08a (01-10)
Approved for use through 07/31/2012. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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	Application Number		13277789	
	Filing Date		2011-10-20	
INFORMATION DISCLOSURE	First Named Inventor Robert Ashi		Ashley	
( Not for submission under 37 CFR 1.99)	Art Unit			
(Not for Submission under or or K 1.55)	Examiner Name			
	Attorney Docket Number		512-53 DIV/CON II	

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Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	5998390		1999-12-07	Ramamurthy et al.	
	2	5908838		1999-06-01	Gans	
	3	5827840		2008-10-27	Ramamurthy et al.	
	4	5122519		1992-06-16	Ritter	
	5	5674539		1997-10-07	Tomas et al.	
	6	6673843		2004-01-06	Arbiser	
	7	4704383		1987-11-03	McNamara, et al.	
	8	7014858		2006-03-21	Robert A. Ashley	

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INFORMATION BIOOL COURT	Filing Date		2011-10-20	
INFORMATION DISCLOSURE	First Named Inventor	Rober	t Ashley	
STATEMENT BY APPLICANT ( Not for submission under 37 CFR 1.99)	Art Unit			
( Not lot Submission under or or it not)	Examiner Name			
	Attorney Docket Number		512-53 DIV/CON II	

	9 6455583			2007-09-24	Pflugfelder et al.			
	10	5260292		1993-11-09	Robinson et al.			
	11	1 5532227		1996-07-02	Golub et al.			
	12	12 5045538		1991-09-03	Schneider et al.			
	13 6294200			2001-09-25	Conte et al.			
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Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear		
	1	20030082120		2003-05-01	Milstein			
	2 20030148945			2003-08-07	McNicol et al.			
	3	20050239723		2005-10-27	Amin et al.			
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## ALL REFERENCES CONSIDERED EX CAPPILIZATION DISCLOSURE STATEMENT BY APPLICANT ( Not for submission under 37 CFR 1.99) ALL REFERENCES CONSIDERED EX CAPPILIZATION DISCLOSURE Filing Date 2011-10-20 First Named Inventor Robert Ashley Art Unit Examiner Name

512-53 DIV/CON II

Attorney Docket Number

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup> j	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T5
	1	EP 0 410 099	EP	A1	1991-01-30			
	2	WO 83/00628	WO		1983-03-03			
	3	WO 99/58131	WO		1999-11-18			
	4	WO 00/028983	WO		2000-05-25			
	5	WO 00/15235	wo		2000-03-23			
	6	WO 00/18230	wo		2011-04-06			
	7	JP-A-2001-72660	JP		2001-03-21		abstract	×
	8	JP-A-5-509288	JP		1993-12-22		abstract	×
	9	WO 00/07601	wo		2001-02-17			
	10	WO 98/08480	wo		1998-03-05			

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	Filing Date		2011-10-20
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	First Named Inventor	Rober	t Ashley
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(Notion Submission under or or it 1.00)	Examiner Name		
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			EXAMINER S	SIGNATURE			
Examiner Signat		ature /Susan Tran/		Date Considered	05/07/2012		
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number		13277789
Filing Date		2011-10-20
First Named Inventor	Rober	t Ashley
Art Unit		
Examiner Name		
Attorney Docket Numb	er	512-53 DIV/CON II

CERTIFICATION STATEMENT									
Plea	Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):								
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).								
OR	OR								
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).								
	See attached certification statement.								
The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.									
X A certification statement is not submitted herewith.									
SIGNATURE  A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.									
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Nan	ne/Print	Susan A. Sipos	Registration Number	43,128					

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

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- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



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## **BIB DATA SHEET**

## **CONFIRMATION NO. 4179**

13/277,789  10/20/2011  424  1615  512-53 DIV/CON  RULE  APPLICANTS Robert A. Ashley, Newtown, PA;  ** CONTINUING DATA ***********************  This application is a CON of 11/876,478 10/22/2007 PAT 8,052,983 which is a CON of 10/757,656 01/14/2004 ABN which is a DIV of 10/117,709 04/05/2002 PAT 7,211,267 which claims benefit of 60/325,489 09/26/2001 and claims benefit of 60/281,916 04/05/2001  ** FOREIGN APPLICATIONS ************************************	SERIAL NUM	SERIAL NUMBER FILING or 371(c)				CLASS	GROUP ART UNIT ATTO			RNEY DOCKET		
APPLICANTS Robert A. Ashley, Newtown, PA;  *** CONTINUING DATA **********************************	13/277,78	9				424		1615		512-53 DIV/CON II		
Robert A. Ashley, Newtown, PA;  ** CONTINUING DATA **********************************		RULE										
This application is a CON of 11/876,478 10/22/2007 PAT 8,052,983 which is a CON of 10/757,656 01/14/2004 ABN which is a DIV of 10/117,709 04/05/2002 PAT 7,211,267 which claims benefit of 60/325,489 09/26/2001 and claims benefit of 60/281,916 04/05/2001  **FOREIGN APPLICATIONS ************************************	Robert A. Ashley, Newtown, PA;											
TITLE  STATE OR COUNTRY  STATE OR COUNTRY  PA  SHEETS DRAWINGS  TOTAL CLAIMS  CLAIMS  CLAIMS  TOTAL CLAIMS  CLAIMS  TOTAL CLAIMS  CLAIMS  ADDRESS  HOFFMANN & BARON, LLP 6900 JERICHO TURNPIKE SYOSSET, NY 11791 UNITED STATES	This application is a CON of 11/876,478 10/22/2007 PAT 8,052,983 which is a CON of 10/757,656 01/14/2004 ABN which is a DIV of 10/117,709 04/05/2002 PAT 7,211,267 which claims benefit of 60/325,489 09/26/2001 and claims benefit of 60/281,916 04/05/2001											
35 USC 119(a-d) conditions met  Yes No Verified and Acknowledged Examiner's Signature			EIGN FILING	LICENS	E GRA	NTED **						
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1940 No for following:   1940 1.18 Fees (Issue)		·										
□ Other												
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