

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

ALARM.COM INCORPORATED
Petitioner

v.

VIVINT, INC.
Patent Owner

Case IPR2016-00155
Patent 6,147,601

**VIVINT, INC.’S MANDATORY NOTICES
PURSUANT TO 37 C.F.R. § 42.8(a)(2)**

Mail Stop “PATENT BOARD”
Patent Trial and Appeal Board
U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Pursuant to 37 C.F.R. § 42.8(a)(2), Patent Owner, Vivint, Inc., hereby files its Mandatory Notices.

I. 37 C.F.R. § 42.8(b)(1) – REAL PARTY-IN-INTEREST

The patent owner and real party-in-interest is Vivint, Inc.

II. 37 C.F.R. § 42.8(b)(2) – RELATED MATTERS

A. Proceedings Before the USPTO Involving the Patent-at-Issue

Patent Owner notifies the Board of the following proceedings that may affect or be affected by a decision in this proceeding.

This *Inter Partes* Review is one of thirteen petitions filed against six patents owned by Patent Owner: U.S. Patent Nos. 7,884,713 (“the ’713 patent”), 6,924,727 (“the ’727 patent”), 6,535,123 (“the ’123 patent”), 6,717,513 (“the ’513 patent”), 6,462,654 (“the ’654 patent”), and 6,147,601 (“the ’601 patent”).

| Patent No. | Case Caption | Filing Date |
|-------------------|---|--------------------|
| 6,147,601 | <i>Alarm.com, Inc. v. Vivint, Inc.</i> , Case IPR2015-02004 | September 30, 2015 |
| | <i>Alarm.com, Inc. v. Vivint, Inc.</i> , Case IPR2016-00116 | October 30, 2015 |
| 6,462,654 | <i>Alarm.com, Inc. v. Vivint, Inc.</i> , Case IPR2015-02003 | September 30, 2015 |
| | <i>Alarm.com, Inc. v. Vivint, Inc.</i> , Case IPR2016-00161 | November 6, 2015 |
| 6,535,123 | <i>Alarm.com, Inc. v. Vivint, Inc.</i> , Case IPR2015-01995 | September 28, 2015 |
| | <i>Alarm.com, Inc. v. Vivint, Inc.</i> , Case IPR2016-00173 | November 9, 2015 |

| Patent No. | Case Caption | Filing Date |
|-------------------|--|--------------------|
| 6,717,513 | <i>Alarm.com, Inc. v. Vivint, Inc.</i> , Case IPR2015-01997 | September 28, 2015 |
| | <i>Alarm.com, Inc. v. Vivint, Inc.</i> , Case IPR2016-00129 | November 2, 2015 |
| 6,924,727 | <i>Alarm.com, Inc. v. Vivint, Inc.</i> , Case IPR2015-01977 | September 25, 2015 |
| | <i>Alarm.com, Inc. v. Vivint, Inc.</i> , Case IPR2015-02008 | September 30, 2015 |
| 7,884,713 | <i>Alarm.com, Inc., v. Vivint, Inc.</i> , Case IPR2015-01965 | September 24, 2015 |
| | <i>Alarm.com, Inc. v. Vivint, Inc.</i> , Case IPR2015-01967 | September 24, 2015 |

B. Litigation Involving the Patent-at-Issue

Patent Owner notifies the Board of the following litigation proceeding that may affect or be affected by a decision in this proceeding:

- *Vivint, Inc. v. Alarm.com, Inc.*, Case No. 2:15-cv-00392 (D. Utah), filed June 2, 2015.

C. U.S. Patents and Applications Related to the Patent-at-Issue

Out of an abundance of caution and in accordance with the Office Patent Trial Practice Guide, 77 Fed. Reg. 48756, 48760 (Aug. 14, 2012), Patent Owner notifies the Board of related patents that may affect or be affected by a decision in this proceeding:

- U.S. Patent Application No. 09/401,460, filed September 22, 1999 (now U.S. Patent No. 6,160,477, issued December 12, 2000);
- U.S. Patent Application No. 09/433,767, filed November 3, 1999 (now U.S. Patent No. 6,211,782, issued April 3, 2001);
- U.S. Patent Application No. 09/578,137, filed May 24, 2000 (now U.S. Patent No. 6,437,691, issued August 20, 2002);
- U.S. Patent Application No. 09/932,552, filed August 17, 2001 (now U.S. Patent No. 6,462,654, issued October 8, 2002);
- U.S. Patent Application No. 10/093,589, filed March 8, 2002 (now U.S. Patent No. 6,535,123, issued March 18, 2003); and
- U.S. Patent Application No. 10/224,243, filed August 19, 2002 (now U.S. Patent No. 6,717,513, issued April 6, 2004).

III. 37 C.F.R. § 42.8(b)(3) – LEAD AND BACK-UP COUNSEL

Patent Owner hereby designates lead and back-up counsel.

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IV. 37 C.F.R. § 42.8(b)(4) – SERVICE INFORMATION

Please address all correspondence to the lead and back-up counsel as shown above. Patent Owner consents to electronic service by e-mail at PTAB@skgf.com and at all e-mail addresses provided above.

The Patent Trial and Appeal Board is hereby authorized to charge any fees associated with IPR2016-00116 to Deposit Account 19-0036.

Date: November 10, 2015

Respectfully submitted

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

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CERTIFICATE OF SERVICE (37 C.F.R. § 42.6(e))

The undersigned hereby certifies that the foregoing **VIVINT, INC.'S**
MANDATORY NOTICES PURSUANT TO 37 C.F.R. § 42.8(a)(2) and the
accompanying Power of Attorney were served in their entireties electronically via
e-mail on November 10, 2015 to the following counsel of record for Petitioner:

| | |
|--|--|
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