Case 2:15-cv-04059-TJS Document 3 Filed 07/22/15 Page 1 of 1

AO 120 (Rev. 08/10)			REPORT ON THE
TO: Director of the U.S. P. Alexand	Mail Stop 8 Patent and Trademark Offi O. Box 1450 Iria, VA 22313-1450		FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
In Compliance	with 35 U.S.C. § 290 and/or 15 U		1116 you are hereby advised that a court action has been USDC-EDPA on the following s 35 U.S.C. § 292.):
	DATE FILED 7/22/2014	U.S. DI	STRICT COURT USDC-EDPA DEFENDANT
PLAINTIFF INNOVATIVE ENVIRON 6071 EASTON ROAD	MENTAL TECHNOLGIES,	INC.	ONMATERIALS INC. 1533 SIMPSON WAY ESCONDIDO, CA 92029
PIPERSVILLE, PA 1894	DATE OF PATENT		HOLDER OF PATENT OR TRADEMARK
TRADEMARK NO.	OR TRADEMARK		CHAEL SCALZI and WADE MEESE
1 7,531,709 B2	5/12/2009	MI	
2			
3			
4			
5			

In the above--entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY	adment  Answer  Cross Bill  Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1		
2		
3		
4		
5		

In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

		DATE
	(BY) DEPUTY CLERK	
CLERK		
		L
1		

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy





APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/423,749	05/12/2009	7531709	SCALZI-METHOD	6408
41599 7	590 04/22/2009			

GREGORY J. GORE 70 WEST OAKLAND AVENUE, SUITE 316 DOYLESTOWN, PA 18901

## **ISSUE NOTIFICATION**

The projected patent number and issue date are specified above.

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 241 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Michael Scalzi, Doylestown, PA; Wade Meese, Worthington, OH;

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with ap \_\_\_\_\_able fee(s), to: <u>Mail</u> Mail Stop ISSUE FE1 Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313

			or Fax	Alexandria, Virg (571)-273-2885			
INSTRUCTIONS: This appropriate. All further c indicated unless corrected maintenance fee notificati	form should be used correspondence includ d below or directed c ons.	for transmitting the IS ling the Patent, advance therwise in Block 1, by	SUE FEE and PUPIN	ATION FEE (18	uired). Bl will be m s; and/or (	ocks 1 through 5 sl ailed to the current	hould be completed where correspondence address as rate "FEE ADDRESS" for
CURRENT CORRESPONDED	NCE ADDRESS (Note: Use	Block 1 for any change of address	_			( ) and a sopu	r domestic mailings of the or any other accompanying at or formal drawing, must
GREGORY J. C 70 WEST OAKL DOYLESTOWN,	AND AVENUE.	SUITE 316		0-		<b>0 m m m</b>	nission deposited with the United t class mail in an envelope above, or being facsimile te indicated below.
				Gregory J. Gore			(Depositor's name)
				/Gregory J. Gor April 3, 2009	e/		(Signature)
. APPLICATION NO.	FILING DATE		FIRST NAMED INVENT				(Date)
11/423,749	06/13/2006		Michael Scalzi			EY DOCKET NO.	CONFIRMATION NO.
TITLE OF INVENTION: N		ELERATED DECHLOR	INATION OF MATTER	2	SCAL	ZI-METHOD	6408
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DU	E PREV. PAID ISSUE	FEE T	TOTAL FEE(S) DUE	DATE DUE
· · · · · · · · · · · · · · · · · · ·	YES	\$755	\$300	\$0		\$1055	04/06/2009
EXAMINI JOHNSON, ED		ART UNIT	CLASS-SUBCLASS				
1. Change of correspondenc CFR 1.363)		1793	588-316000				
Address form PTO/SB/1 "Fee Address" indicat PTO/SB/47: Rev 03-02.0	lence address (or Char 22) attached.	nge of Correspondence	<ul> <li>(1) the names of up or agents OR, alterna</li> <li>(2) the name of a sir registered attorney of a sir</li> </ul>	e patent front page, list to 3 registered patent tively, gle firm (having as a r r agent) and the name: torneys or agents. If no	attorneys member a	2	Gore
			instea, no name with	be printed.	o name is	3	
3. ASSIGNEE NAME AND PLEASE NOTE: Unless recordation as set forth in (A) NAME OF ASSIGNE Innovative Enviro	an assignee is identi 37 CFR 3.11. Compi 3E	fied below, no assignee detection of this form is NOT	data will appear on the	patent. If an assigned n assignment.	e is identi )UNTRY)	fied below, the docu	ment has been filed for
		-	Doylestown, PA				
Please check the appropriate	assignee category or c	ategories (will not be pri	nted on the patent) :	Individual 🗵 Corp	poration o	r other private group	entity 🔲 Government
<ul> <li>4a. The following fec(s) are s</li> <li>☑ Issue Fee</li> <li>☑ Publication Fee (No sn</li> <li>☑ Advance Order - # of a</li> </ul>	nall entity discount pe Copics	rmitted)	<ul> <li>Payment of Fcc(s): (Plance)</li> <li>A check is enclosed.</li> <li>Payment by credit can be called a series over payment, to Dependent of the called a series over payment.</li> </ul>	ease first reapply any	previous	ly paid issue fee sho	wn above)
5. Change in Entity Status ( a. Applicant claims SM	from status indicated	adove)					
NOTE: The Issue Fee and Pul interest as shown by the recor	blication Fee (if requi ds of the United State	red) will not be accepted s Patent and Trademark (	b. Applicant is no log from anyone other than Office.	nger claiming SMALL the applicant; a registe	ENTITY red attorn	status. See 37 CFR 1 ey or agent; or the as	.27(g)(2). signee or other party in
Authorized Signature	/Gregory J. Gor	e/		Datc April 3,	2009	₩	
Typed or printed nameG			· · ·	Pagistration No.	30.0		
This collection of information an application. Confidentiality submitting the completed app this form and/or suggestions f Box 1450, Alexandria, Virgini Alexandria, Virginia 22313-14 Under the Paperwork Reduction	is required by 37 CFI is governed by 35 U lication form to the U or reducing this burde a 22313-1450. DO N 50. on Act of 1995, no per	R 1.311. The information S.C. 122 and 37 CFR 1. SPTO. Time will vary d in, should be sent to the ( OT SEND FEES OR CC sons are required to respo	is required to obtain or 14. This collection is es epending upon the indi Chief Information Offic DMPLETED FORMS T ond to a collection of ini	retain a benefit by the p timated to take 12 min vidual case. Any comm or, U.S. Patent and Tra O THIS ADDRESS. S	public wh outes to co nents on t idemark C END TO:	ich is to file (and by mplete, including ga he amount of time y Office, U.S. Departm Commissioner for B	the USPTO to process) thering, preparing, and ou require to complete ent of Commerce, P.O. atents, P.O. Box 1450,

PXY-1008, Page 003 OMB 0651-0033 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Electronic Patent Application Fee Transmittal						
Application Number:	114	123749				
Filing Date:	13-	Jun-2006				
Title of Invention:	ME	THOD FOR ACCELE	RATED DECHL	ORINATION OF MAT	TER	
First Named Inventor/Applicant Name:	Mio	chael Scalzi				
Filer:	Gre	egory J. Gore/Megh	an Rogers			
Attorney Docket Number:	SCALZI-METHOD					
Filed as Small Entity						
Utility under 35 USC 111(a) Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Utility Appl issue fee		2501	1	755	755	
Publ. Fee- early, voluntary, or normal		1504	1	<sup>300</sup> PXY-1008, P	<sup>300</sup> age 004	

Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Tot	al in USD	) (\$)	1055
			Fee Code Quantity Amount Total in USD (\$)

Electronic A	Electronic Acknowledgement Receipt						
EFS ID:	5089065						
Application Number:	11423749						
International Application Number:							
Confirmation Number:	6408						
Title of Invention:	METHOD FOR ACCELERATED DECHLORINATION OF MATTER						
First Named Inventor/Applicant Name:	Michael Scalzi						
Customer Number:	41599						
Filer:	Gregory J. Gore/Meghan Rogers						
Filer Authorized By:	Gregory J. Gore						
Attorney Docket Number:	SCALZI-METHOD						
Receipt Date:	03-APR-2009						
Filing Date:	13-JUN-2006						
Time Stamp:	09:59:36						
Application Type:	Utility under 35 USC 111(a)						

# Payment information:

File Listing			File Size(Bytes)/ Multi Pages Message Diges(08, Part9;zip) (%if appl.)					
Deposit Accor Authorized Us								
RAM confirma	tion Number	5039						
Payment was	successfully received in RAM	\$1055	\$1055					
Payment Type	2	Electronic Funds Trans	sfer					
Submitted wi	th Payment	yes	yes					

		Total Files Size (in bytes)	: 1	99562	
Information	1				
Warnings:					
2	ree worksheet (rro oo)		29ef061c66fa7f53e60eed08aa6596ae5fed4 afc	no 2	
2	Fee Worksheet (PTO-06)	fee-info.pdf	31791	no	2
Information					
Warnings:					
		'	fo.pdf 29ef061cc66fa7f53e60eed08aac596ae5fed4 afc I I I I I I I I I I I I I I I I I I I		
1	lssue Fee Payment (PTO-85B)	lssueFee.pdf	167771	no	1

### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

	Application Number		1142374 <del>9</del>	
	Filing Date		2006-06-13	
NFORMATION DISCLOSURE	First Named Inventor Micha		chael Scalzi	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		1744	
	Examiner Name			
	Attorney Docket Numb	er	SCALZI-METHOD	

9-								
9 - /EMJ/	20	494,061 .0494061		1893-03-21	Day			
	21	1929659		1933-10-10	Trumble et al.			
	22	2362674		1944-11-14	Spangler et al.			<u> </u>
	23	2421765		1947-06-10	Taylor			
	24	2424440		1947-07-22	Duffy			
	25	2617765		1952-11-11	Swarr			
	26	2966921		1961-01-03	Whiteman			
$\checkmark$	27	3486297		1969-12-30	Eisinga et al.			
/EMJ/	28	3805819		1974-04-23	Etter			
lf you wi	sh to a	dd additional U.S. Pater			ease click the Add button.		Add Remove	
Examine Initial*	er Cite No	Publication Number	Kind Code <sup>1</sup>	Publication	Name of Patentee or Applicant of cited Document	Releva	Columns,	Lines where les or Releva
L		.1		l	L	I		



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

## NOTICE OF ALLOWANCE AND FEE(S) DUE

41599 7590

01/05/2009

GREGORY J. GORE 70 WEST OAKLAND AVENUE, SUITE 316 DOYLESTOWN, PA 18901 EXAMINER

JOHNSON, EDWARD M

ART UNIT PAPER NUMBER

1793 DATE MAILED: 01/05/2009

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.						
11/423,749	06/13/2006	SCALZI-METHOD	6408							
THE FOR INVENTION A										

TITLE OF INVENTION: METHOD FOR ACCELERATED DECHLORINATION OF MATTER

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$755	\$300	\$0	\$1055	04/06/2009

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED</u>. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:	If the SMALL ENTITY is shown as NO:
A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.	A. Pay TOTAL FEE(S) DUE shown above, or
B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or	B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

Page 1 of 3

#### PART B - FEE(S) TRANSMITTAL

#### Complete and send this form, together with applicable fee(s), to: <u>Mail</u> Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 41599 7590 01/05/2009 **Certificate of Mailing or Transmission** GREGORY J. GORE I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. 70 WEST OAKLAND AVENUE, SUITE 316 DOYLESTOWN, PA 18901 (Depositor's name (Signature (Date APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 11/423,749 06/13/2006 Michael Scalzi SCALZI-METHOD 6408 TITLE OF INVENTION: METHOD FOR ACCELERATED DECHLORINATION OF MATTER APPLN. TYPE SMALL ENTITY ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE nonprovisional YES \$755 \$300 \$0 \$1055 04/06/2009 EXAMINER ART UNIT CLASS-SUBCLASS JOHNSON, EDWARD M 1793 588-316000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. (2) the name of a single firm (having as a member a □ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) Please check the appropriate assignee category or categories (will not be printed on the patent) : 🔲 Individual 💭 Corporation or other private group entity 🛄 Government 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) 4a. The following fee(s) are submitted: LISSUE Fee A check is enclosed. Dublication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number \_\_\_\_\_\_\_\_\_\_ (enclose an extra copy of this fo Advance Order - # of Copies \_ (enclose an extra copy of this form). 5. Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. └ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. Authorized Signature Date Typed or printed name Registration No. This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

OMB 0651-0033 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

	ITED STATES PATE	NT AND TRADEMARK OFFICE	UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 22: www.uspto.gov	Trademark Office OR PATENTS
APPLICATION NO.	N NO. FILING DATE FIRST NAMED INVENTOR		ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/423,749	06/13/2006	Michael Scalzi	SCALZI-METHOD	6408
41599 75	i90 01/0 <b>5</b> /2009		EXAM	IINER
GREGORY J. G	ORE		JOHNSON, I	EDWARD M
	ND AVENUE, SUITE	316	ART UNIT	PAPER NUMBER
DOYLESTOWN, 1	PA 18901		1793 DATE MAILED: 01/05/200	9

## **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 241 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 241 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	Application No.	Applicant(s)							
	11/423,749	SCALZI ET AL.							
Notice of Allowability	Examiner	Art Unit							
	Edward M. Johnson	1793							
The MAILING DATE of this communication appe All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this app or other appropriate communication IGHTS. This application is subject to	olication. If not include will be mailed in due	ed course. <b>THIS</b>						
1. X This communication is responsive to <u>Applicant's terminal disclaimer filed on 9/3/08</u> .									
2. X The allowed claim(s) is/are <u>11-26, which have been renum</u>	bered 1-16.								
<ul> <li>3. ☐ Acknowledgment is made of a claim for foreign priority us</li> <li>a) ☐ All</li> <li>b) ☐ Some*</li> <li>c) ☐ None</li> <li>of the:</li> </ul>									
1. Certified copies of the priority documents have									
<ol> <li>Certified copies of the priority documents have</li> <li>Copies of the certified copies of the priority do</li> </ol>			tion from the						
International Bureau (PCT Rule 17.2(a)).	cuments have been received in this	national stage applica	uon nom me						
* Certified copies not received:									
Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.									
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give			IOTICE OF						
5. 🔲 CORRECTED DRAWINGS ( as "replacement sheets") mus	st be submitted.								
(a) 🔲 including changes required by the Notice of Draftspers	son's Patent Drawing Review(PTO-	948) attached							
1) 🔲 hereto or 2) 🔲 to Paper No./Mail Date									
(b) ☐ including changes required by the attached Examiner' Paper No./Mail Date	s Amendment / Comment or in the C	Office action of							
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t			e back) of						
6. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT			Note the						
Attachment(s)         1. □ Notice of References Cited (PTO-892)         2. □ Notice of Draftperson's Patent Drawing Review (PTO-948)         3. □ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date         4. □ Examiner's Comment Regarding Requirement for Deposit of Biological Material									
	9. 🗌 Other								

#### REASONS FOR ALLOWANCE

The following is an examiner's statement of reasons for allowance: Applicant's terminal disclaimer overcomes the rejection of record for obviousness-type double patenting over claims 1-10 of US Patent 7,129,388.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Edward M. Johnson whose telephone number is 571-272-1352. The examiner can normally be reached on M-F 9:30-6:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Stanley S. Silverman can be reached on 571-272-1358. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

## Application/Control Number: 11/423,749 Art Unit: 1793

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

> /Edward M. Johnson/ Primary Examiner Art Unit 1793

EMJ



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

## **BIB DATA SHEET**

### **CONFIRMATION NO. 6408**

SERIAL NUMBE	R FILING o	or_371(c)	CLASS	GROUP ART		ATTORNEY DOCKET NO.				
11/423,749	06/13/		435	1793		SCALZI-METHOD				
	RUL	.E								
	APPLICANTS Michael Scalzi, Doylestown, PA; Wade Meese, Worthington, OH;									
		10/610,558	07/02/2003 PAT 7,129	9,388						
** FOREIGN APP	LICATIONS *****	**********	*****							
** <b>IF REQUIRED,</b> 06/23/2006	FOREIGN FILIN	G LICENSE	GRANTED ** ** SMA	LL ENTITY **						
Foreign Priority claimed 35 USC 119(a-d) condition		Met afte Allowar	er COUNTRY	SHEETS DRAWINGS	TOTA CLAIN					
JOH	WARD M INSON/ miner's Signature	Initials	PA	1	10	1				
ADDRESS	-	1								
	AKLAND AVENU WN, PA 18901	JE, SUITE 3	316							
TITLE										
METHOD F	OR ACCELERAT	ED DECHL	ORINATION OF MAT	TER						
				🗅 All Fe	es					
				🖵 1.16 F	Fees (Filir	ng)				
	ES: Authority has		n in Paper dit DEPOSIT ACCOUI	NT 1.17 F	- ees (Pro	cessing Ext. of time)				
	) fc			🖵 1.18 F	ees (Issi	ne)				
D Other										
				🖵 Credit	t					

	Ind	lex of (	Claim	ıs		Application	/Cont	trol N	lo.	Reexa	Applicant(s)/Patent Under Reexamination SCALZI ET AL.					
						Examiner				Δrt I I	Art Unit					
					E E	Edward M Jo	ohnso	on		1793						
$\checkmark$	R	ejected		-	Ca	ncelled		N	Non-E	Elected		Α	Арр	peal		
=	A	llowed		÷	Re	stricted		I	Interf	erence		ο	Obje	cted		
	Claims r	enumbered	in the s	ame	order as	presented by a	applica	ant		🗌 СРА	٢	] т.с	D. 🗆	R.1.47		
	CLA	IM							DATE							
F	inal	Original	03/31/2	800	08/04/200	8 11/24/2008										
		1	✓			-										
		2	✓			-										
		3	✓			-										
		4	✓			-										
		5	✓			-										
		6	✓			-										
		7	✓			-										
		8	✓			-										
		9	✓			-										
		10	✓			-										
		11			~	=										
		12			~	=										
		13			~	=										
		14			~	=										
		15			~	=										
		16			~	=										
		17			$\checkmark$	=										
		18			$\checkmark$	=										
		19			$\checkmark$	=										
		20			~	=										
		21			~	=										
		22			~	=										
		23			~	=										
		24			$\checkmark$	=										
		25			~	=										
		26			✓	=										

	Application/Control No.	Applicant(s)/Patent Under Reexamination					
Issue Classification	11423749	SCALZI ET AL.					
	Examiner	Art Unit					
	Edward M Johnson	1793					

	ORIGINAL					INTERNATIONAL CLASSIFICATION								ON
	CLASS			SUBCLASS					С	LAIMED		١	ION-	CLAIMED
588			316			А	6	2	D	3 / 00 (2007.01.01)				
	CROSS REFERENCE(S)													
CLASS	SU	BCLASS (ON	E SUBCLAS	S PER BLO	CK)									
588	406	415												
												_		
												_		
												_		

$\boxtimes$	Claims renumbered in the same order as presented by applicant						CP	A [	] T.D.	[	] R.1.	47			
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original
															ļ

NONE		Total Clain	ns Allowed:
(Assistant Examiner)	(Date)	1	0
/Edward M Johnson/ Primary Examiner.Art Unit 1793	11/22/08	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	-

U.S. Patent and Trademark Office

Part of Paper No. 20081124

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	11423749	SCALZI ET AL.
	Examiner	Art Unit
	Edward M Johnson	1793

Class	Subclass	Date	Examiner
588	313,315,316,318,319,320,402,406,415,261	3/31/08	EJ
423	240R,240S		
updated		8/4/08	EJ
updated		11/22/08	EJ

SEARCH NOTES				
Search Notes	Date	Examiner		
Inventor name search	3/31/08	EJ		
EAST search				
updated	11/22/08	EJ		

	INTERFERENCE SEARCH		
Class	Subclass	Date	Examiner
588	316,406,415	11/22/08	EJ

Г

Application Number	Application/Control No.	Applicant(s)/Patent under Reexamination	
	11/423,749	SCALZI ET AL.	

Document Code - DISQ

Internal Document – DO NOT MAIL

TERMINAL DISCLAIMER		
Date Filed : 03 Sept. 2008	This patent is subject to a Terminal Disclaimer	

Approved/Disapproved by:						
Dorethea Lawrence 7,129,388					x	
					z	

U.S. Patent and Trademark Office

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Michael Scalzi

ART UNIT: 1793

SERIAL NO.: 11/423,749

FILED: 06/13/2006

CONF. NO.: 6408

## FOR: METHOD FOR ACCELERATED DECHLORINATION OF MATTER

Gregory J. Gore Attorney at Law 70 W. Oakland Avenue, Suite 316 Doylestown, PA 18901

EXAMINER: Johnson, Edward M.

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## **RESPONSE WITH TERMINAL DISCLAIMER**

Dear Sirs:

In response to the Office Action of August 7, 2008, please see the Remarks/Arguments

attached and the Terminal Disclaimer submitted herewith:

**Remarks/Arguments** begin on page 2 of this paper

Terminal Disclaimer, USPTO Form PTO/SB/26, is submitted herewith with payment of

\$65 small-entity terminal disclaimer fee under 37 CFR 1.20(d).

### **REMARKS/ARGUMENTS**

Claims 11-26 stand rejected on the ground of nonstatutory obviousness-type double patenting being unpatentable over claims 1-10 of U.S. Patent 7,129,388. The applicant has submitted herewith a terminal disclaimer to overcome this rejection. A Statement Under 37 C.F.R. 3.73(b) executed by the assignee is submitted herewith in support of the terminal disclaimer.

All of the grounds for objection and rejection have been traversed by the filing of the terminal disclaimer submitted herein. It is therefore believed that claims 11-26 presently pending in this application are now in condition for allowance and allowance at an early date is hereby solicited.

Respectfully submitted,

/Gregory J Gore/

GREGORY J. GORE Reg. No. 30,057 Attorney for Applicant Ph. (215) 348-1442 Fax (215) 348-7643

DATE: September 3, 2008

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information u	
TERMINAL DISCLAIMER TO OBVIATE A DOUBLE PATENTING	Docket Number (Optional) SCALZI-METHOD
REJECTION OVER A "PRIOR" PATENT	
In re Application of: Michael Scalzi et al.	
Application No.: 11/423,749	
Filed: 06/13/2006	
For: METHOD FOR ACCELERATED DECHLORINATION OF MATTER	
The owner*, <u>Innovative Environmental Technologies</u> , Inc, of00percent interest in the except as provided below, the terminal part of the statutory term of any patent granted on the instant at the expiration date of the full statutory term <b>prior patent</b> No. 7,129,388as the term of said and 173, and as the term of said <b>prior patent</b> is presently shortened by any terminal disclaimer. The or granted on the instant application shall be enforceable only for and during such period that it and the <b>p</b> agreement runs with any patent granted on the instant application and is binding upon the grantee, its set In making the above disclaimer, the owner does not disclaim the terminal part of the term of any patent would extend to the expiration date of the full statutory term as defined in 35 U.S.C. 154 and 173 of the <b>patent</b> is presently shortened by any terminal disclaimer," in the event that said <b>prior patent</b> later: expires for failure to pay a maintenance fee; is held unenforceable;	prior patent is defined in 35 U.S.C. 154 owner hereby agrees that any patent so <b>prior patent</b> are commonly owned. This successors or assigns. t granted on the instant application that
is found invalid by a court of competent jurisdiction; is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321; has all claims canceled by a reexamination certificate; is reissued; or	av any terminal disclaimer
is in any manner terminated prior to the expiration of its full statutory term as presently shortened b	by any terminal disclaimer.
Check either box 1 or 2 below, if appropriate.	
1. For submissions on behalf of a business/organization (e.g., corporation, partnership, university etc.), the undersigned is empowered to act on behalf of the business/organization.	r, government agency,
I hereby declare that all statements made herein of my own knowledge are true and that a belief are believed to be true; and further that these statements were made with the knowledge that made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United statements may jeopardize the validity of the application or any patent issued thereon.	willful false statements and the like so
2. The undersigned is an attorney or agent of record. Reg. No. 30057	
/Gregory J Gore/	Sept. 3, 2008
Signature	Date
Gregory J. Gore	
Typed or printed name	
	215-348-1442 Telephone Number
✓ Terminal disclaimer fee under 37 CFR 1.20(d) included.	
WARNING: Information on this form may become public. Credit card inform be included on this form. Provide credit card information and authorization	
*Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner). Form PTO/SB/96 may be used for making this certification. See MPEP § 324.	
This collection of information is required by 37 CFR 1.321. The information is required to obtain or retain a benefit by to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depend on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENE ADDRESS. <b>SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</b>	is estimated to take 12 minutes to complete, ing upon the individual case. Any comments to the Chief Information Officer, U.S. Patent

PTO/SB/96 (08-08) Approved for use through 08/31/2008. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)
Applicant/Patent Owner: <u>Michael Scalzi and Wade Meese</u>
Application No./Patent No.: _7,129,388 Filed/Issue Date: _10/31/2006
Entitled: METHOD OF ACCELERATED DECHLORINATION OF MATTER
<u>INNOVATIVE ENVIRONMENTAL TECHNOLOGIES_INC.</u> , a <u>Corporation</u> (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)
states that it is: 1. 🖌 the assignee of the entire right, title, and interest; or
<ul> <li>2. an assignee of less than the entire right, title and interest</li> <li>(The extent (by percentage) of its ownership interest is%)</li> </ul>
in the patent application/patent identified above by virtue of either:
<ul> <li>A. ✓ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel <u>014251</u>, Frame <u>0449</u>, or for which a copy thereof is attached.</li> <li>OR</li> <li>B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:</li> </ul>
1. From: To: To: The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
2. From: To: To: The document was recorded in the United States Patent and Trademark Office at
The document was recorded in the United States Patent and Trademark Office at Reel, Frame, Frame, or for which a copy thereof is attached.
3. From: To:
The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
Additional documents in the chain of title are listed on a supplemental sheet.
As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.
[NOTE: A separate copy ( <i>i.e.,</i> a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. <u>See</u> MPEP 302.08]
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee. y/2y/0y
Signature Date
Michael Scalzi 215-766-1603
Printed or Typed Name Telephone Number
President
Title This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by

USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Electronic Patent Application Fee Transmittal						
Application Number:	11423749					
Filing Date:	13-	13-Jun-2006				
Title of Invention:	METHOD FOR ACCELERATED DECHLORINATION OF MATTER				TER	
rst Named Inventor/Applicant Name: Michael Scalzi						
Filer:	Gregory J. Gore/Catherine Cavella					
Attorney Docket Number:	Attorney Docket Number: SCALZI-METHOD					
Filed as Small Entity						
Utility under 35 USC 111(a) Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Statutory disclaimer		2814	1	65	65	
Extension-of-Time:				PXY-1008, P	age 024	

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
	Tot	al in USD	) (\$)	65

Electronic A	Electronic Acknowledgement Receipt					
EFS ID:	3879473					
Application Number:	11423749					
International Application Number:						
Confirmation Number:	6408					
Title of Invention:	METHOD FOR ACCELERATED DECHLORINATION OF MATTER					
First Named Inventor/Applicant Name:	Michael Scalzi					
Customer Number:	41599					
Filer:	Gregory J. Gore/Catherine Cavella					
Filer Authorized By:	Gregory J. Gore					
Attorney Docket Number:	SCALZI-METHOD					
Receipt Date:	03-SEP-2008					
Filing Date:	13-JUN-2006					
Time Stamp:	13:31:43					
Application Type:	Utility under 35 USC 111(a)					

# Payment information:

Document Number	Document Description	File Name	File Size(Bytes)/ Multi Pages Message Digest <sup>08</sup> , Part99zip2%if appl.)				
File Listing:							
Authorized Us	ser						
Deposit Acco	unt						
RAM confirma	ition Number	7909	7909				
Payment was	successfully received in RAM	\$65	\$65				
Payment Type	2	Electronic Funds Trans	Electronic Funds Transfer				
Submitted wi	th Payment	yes	yes				

1		Dam 042 rdf	65863					
1		Resp-OA2.pdf	8148b2acbef02b73795061dbf5b469f2d41 7ea6a	yes	2			
	Multip							
	Document Des	scription	Start	E	ind			
	Amendment - After Noi	n-Final Rejection	1		1			
	Applicant Arguments/Remarks	Made in an Amendment	2		2			
Warnings:								
Information			-					
2	Terminal Disclaimer Filed	TermDiscl.pdf	<b>70298</b> b15deb2e307f34ca99924d42fbae3453d35 ed72b	no	1			
Warnings:		1	1		1			
Information	;							
3	Assignee showing of ownership per 37	Stmt373signed.pdf	65902	no	1			
	CFR 3.73(b).	Stints/Ssigned.pdf	1436e4ea296ec9779e78a72cab4d572e4c8 a49e9					
Warnings:								
Information		<b>I</b>	I		1			
4	Fee Worksheet (PTO-06)	fee-info.pdf	30081	no	2			
			b285217409cf8617588787368a05cc5fc3f9 347b					
Warnings:								
Information			-					
		Total Files Size (in bytes)	2	32144				
This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503. <u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.								
<u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.								
If a new inter an internatio and of the In	tional Application Filed with the USP rnational application is being filed ar onal filing date (see PCT Article 11 an iternational Filing Date (Form PCT/RC urity, and the date shown on this Ack ion.	nd the international applicat d MPEP 1810), a Notification D/105) will be issued in due c	of the International ourse, subject to pre	Application scriptions c	n Number oncerning			



### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

		Application No.	Applicant(s)					
		11/423,749	SCALZI ET AL.					
	Office Action Summary	Examiner	Art Unit					
		Edward M. Johnson	1793					
Period fo	The MAILING DATE of this communication ap or Reply	pears on the cover sheet with the	correspondence address					
WHIC - Exter after - If NO - Failur Any r	ORTENED STATUTORY PERIOD FOR REPL CHEVER IS LONGER, FROM THE MAILING D asions of time may be available under the provisions of 37 CFR 1.7 SIX (6) MONTHS from the mailing date of this communication. Period for reply is specified above, the maximum statutory period re to reply within the set or extended period for reply will, by statute eply received by the Office later than three months after the mailin ad patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATIO (36(a). In no event, however, may a reply be will apply and will expire SIX (6) MONTHS fro e, cause the application to become ABANDON	DN. timely filed m the mailing date of this communication. IED (35 U.S.C. § 133).					
Status								
1) 又	Responsive to communication(s) filed on <u>24 A</u>	pril 2008.						
· ·		action is non-final.						
3)	Since this application is in condition for allowa	nce except for formal matters, p	rosecution as to the merits is					
	closed in accordance with the practice under l	Ex parte Quayle, 1935 C.D. 11, 4	453 O.G. 213.					
Dispositi	on of Claims							
4)🖂	Claim(s) <u>11-26</u> is/are pending in the application	n.						
	4a) Of the above claim(s) is/are withdra							
	Claim(s) is/are allowed.							
	Claim(s) <u>11-26</u> is/are rejected.							
	Claim(s) is/are objected to.							
8)	Claim(s) are subject to restriction and/o	or election requirement.						
Applicati	on Papers							
	• The specification is objected to by the Examine	ar						
	The drawing(s) filed on is/are: a) $\Box$ acc		Fyaminer					
	Applicant may not request that any objection to the							
	Replacement drawing sheet(s) including the correct							
11)	The oath or declaration is objected to by the E							
	ınder 35 U.S.C. § 119							
	-							
· ·	Acknowledgment is made of a claim for foreigr ☐ All  b)  Some * c)  None of:	1 priority under 35 0.5.C. § 119(	a)-(a) or (t).					
a)[	/ /	to have been reasived						
	<ol> <li>Certified copies of the priority document</li> <li>Certified copies of the priority document</li> </ol>		tion No.					
	3. Copies of the certified copies of the priority documents have been received in this National Stage							
* 9	application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received.							
Attachmen	t(s)							
1) Notice of References Cited (PTO-892)       4) Interview Summary (PTO-413)         Base of Keiner Network       Descent Network								
· · =								
	nation Disclosure Statement(s) (PTO/SB/08) r No(s)/Mail Date	6) Other:						
U.S. Patent and Tr PTOL-326 (R		ction Summary F	Part of Paper No./Mail Date 20080804					

### DETAILED ACTION

### Double Patenting

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple A nonstatutory obviousness-type double patenting assignees. rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., In re Berg, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); In re Goodman, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); In re Longi, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); In re Van Ornum, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); In re Vogel, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and In re Thorington, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

Claims 11-26 are rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-10 of U.S. Patent No. 7,129,388. Although the conflicting claims are not identical, they are not patentably distinct from each other because it would have been obvious to one of ordinary skill in the art at the time the invention was made to claim a zero valent metal because the '388 patent claims a zero valent iron and to inject a hydrogen donor because the '388 patent claims a polyacetate mixture including vitamins B2 and B12.

### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Edward M. Johnson whose telephone number is 571-272-1352. The examiner can normally be reached on M-F 9:30-6:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Stanley S. Silverman can be reached on 571-272-1358. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

## Application/Control Number: 11/423,749 Art Unit: 1793

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

> /Edward M. Johnson/ Primary Examiner Art Unit 1793

EMJ

	_					Ар	plication	/Cont	trol N	lo.		Appli Reexa	cant(: amina	s)/Pa ation	tent Unde	er
	Ind	lex of C	Claim	S		11423749				SCALZI ET AL.						
				Ex	aminer					Art Uı	nit					
						Ed	ward M Jo	ohnso	on			1793				
<ul> <li>✓</li> </ul>	R	ejected		-	C	Cancelled N Non-Elec			cted		Α	Ар	peal			
=	Α	llowed		÷	R	est	tricted		Ι	Inter	fer	ence		0	Obje	ected
	Claims r	enumbered	in the s	ame o	order as	s pre	esented by a	applica	ant			СРА	C	] т.с	р. 🗆	R.1.47
	CLA	IM								DATE						
Fi	inal	Original	03/31/2	008	08/04/2	008										
		1														
		2														
L		3														
		4									-					ļ
		5									_					
		6 7									-					
		8									-					
		9									-					
		10									+					
		11			√											
		12			√											
		13			√											
		14			√											
		15			√											
		16			~											
		17			✓											
		18			✓ ✓						-					
		19			✓ ✓						-					
		20 21			 ✓						-					
		21			 ✓						+					
<u> </u>		22			· ~						+					
<u> </u>		24			√						+					
		25			~						+					
		26			~								1			
		1	~													
		2	~													
		3	~													
		4	✓								_					ļ
		5	✓								-					
		6	✓ ✓								-					
		7	✓ ✓								+					
		8 9	✓ ✓								-					
<u> </u>		9 10	v √								+					
1			· ·	1		I		1			1		1		1	1

U.S. Patent and Trademark Office

Part of Paper No.: 20080804

Index of Claims			Application/Control No. 11423749 Examiner			Applicant(s)/Patent Under Reexamination SCALZI ET AL. Art Unit				
				Edward M Johnson			1793			
✓	Rejected	-	C	Cancelled		N	Non-Ele	ected	Α	Appeal
=	Allowed	÷	F	Restricted		I	Interfer	ence	0	Objected

Part of Paper No.: 20080804

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	11423749	SCALZI ET AL.
	Examiner	Art Unit
	Edward M Johnson	1793

SEARCHED						
Class	Subclass	Date	Examiner			
588	313,315,316,318,319,320,402,406,415,261	3/31/08	EJ			
423	240R,240S					
updated		8/4/08	EJ			

SEARCH NOTES						
Date	Examiner					
3/31/08	EJ					

	INTERFERENCE SEA	ARCH	
Class	Subclass	Date	Examiner

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:	Michael Scalzi, et al.	ART UNIT: 1793
SERIAL NO.:	11/423,749	EXAMINER: Edward M. Johnson
FILED:	06/13/2006	CONF. NO.: 6408
FOR:	METHOD FOR ACCELERATED DE	CHLORINATION OF MATTER
		Gregory J. Gore

Gregory J. Gore Attorney at Law 70 W. Oakland Avenue, Suite 316 Doylestown, PA 18901

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## **RESPONSE**

Dear Sirs:

In response to the Office Action of April 8, 2008, please see the Remarks/Arguments attached.

Remarks/Arguments begin on page 2 of this paper.
#### **REMARKS/ARGUMENTS**

The outstanding Office Action appears to be in error. As informally discussed with the Examiner by telephone on April 21, 2008, the applicant is submitting this response to affirm that claims 1-10, indicated by the Examiner as pending in this application, have, to the contrary, been previously canceled by applicant's preliminary amendment filed October 3, 2006. Also at that time, new claims 11-26 were presented and are the claims correctly pending in this application for examination.

It is therefore requested that the applicant's October 3, 2006 preliminary amendment be reconsidered, that claims 11-26 pending in this application be examined, and that a non-final Office Action be issued thereon.

Respectfully submitted,

/Gregory J Gore/

GREGORY J. GORE Reg. No. 30,057 Attorney for Applicant Ph. (215) 348-1442 Fax (215) 348-7643

DATE: April 24, 2008

Electronic Acl	Electronic Acknowledgement Receipt					
EFS ID:	3200603					
Application Number:	11423749					
International Application Number:						
Confirmation Number:	6408					
Title of Invention:	METHOD FOR ACCELERATED DECHLORINATION OF MATTER					
First Named Inventor/Applicant Name:	Michael Scalzi					
Customer Number:	41599					
Filer:	Gregory J. Gore/Catherine Cavella					
Filer Authorized By:	Gregory J. Gore					
Attorney Docket Number:	SCALZI-METHOD					
Receipt Date:	24-APR-2008					
Filing Date:	13-JUN-2006					
Time Stamp:	10:02:21					
Application Type:	Utility under 35 USC 111(a)					

# Payment information:

Submitted with Payment no					
File Listir	ng:				
Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1		ScalziContResponseOA1.pdf	66087	yes	2
			42240d4007a5296b8de9efd47607e96e 5be4666c	,00	Ľ

Multipart Description/PDF files in .zip description							
	Document Description	Start	End				
	Amendment - After Non-Final Rejection	1	1				
	Applicant Arguments/Remarks Made in an Amendment	2	2				
Warnings:							
Information	1:						
	Total Files Size (in bytes):	6	6087				
New Applications Under 35 U.S.C. 111 If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application. National Stage of an International Application under 35 U.S.C. 371 If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.							
<u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.							



#### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)
	11/423,749	SCALZI ET AL.
Office Action Summary	Examiner	Art Unit
	Edward M. Johnson	1793
The MAILING DATE of this communication ap Period for Reply	ppears on the cover sheet with t	he correspondence address
<ul> <li>A SHORTENED STATUTORY PERIOD FOR REPI WHICHEVER IS LONGER, FROM THE MAILING I</li> <li>Extensions of time may be available under the provisions of 37 CFR 1 after SIX (6) MONTHS from the mailing date of this communication.</li> <li>If NO period for reply is specified above, the maximum statutory period</li> <li>Failure to reply within the set or extended period for reply will, by statu Any reply received by the Office later than three months after the maili earned patent term adjustment. See 37 CFR 1.704(b).</li> </ul>	DATE OF THIS COMMUNICAT .136(a). In no event, however, may a reply b d will apply and will expire SIX (6) MONTHS te, cause the application to become ABAND	TON. be timely filed from the mailing date of this communication. ONED (35 U.S.C. § 133).
Status		
1) Responsive to communication(s) filed on $\underline{03}$	<u>October 200</u> 6.	
	is action is non-final.	
3) Since this application is in condition for allows	ance except for formal matters,	prosecution as to the merits is
closed in accordance with the practice under	Ex parte Quayle, 1935 C.D. 11	, 453 O.G. 213.
Disposition of Claims		
4)⊠ Claim(s) <u>1-10</u> is/are pending in the applicatio	n.	
4a) Of the above claim(s) is/are withdra		
5) Claim(s) is/are allowed.		
6)⊠ Claim(s) <u>1-10</u> is/are rejected.		
7) Claim(s) is/are objected to.		
8) Claim(s) are subject to restriction and/	or election requirement.	
Application Papers		
9) The specification is objected to by the Examir	or	
10) The drawing(s) filed on is/are: a) ac		he Examiner
Applicant may not request that any objection to the	· · · ·	
Replacement drawing sheet(s) including the corre		
11) The oath or declaration is objected to by the E		
Priority under 35 U.S.C. § 119		
	n priority upday 25 U.S.C. S.11	O(a) (d) as (f)
12) Acknowledgment is made of a claim for foreig a) All b) Some * c) None of:	in phonty under 55 0.5.C. § 11	9(a)-(d) of (f).
1. Certified copies of the priority documer	ate have been received	
2. Certified copies of the priority documer		cation No
3. Copies of the certified copies of the priority document		
application from the International Burea	•	
* See the attached detailed Office action for a lis		eived
Attachment(s)		
1) X Notice of References Cited (PTO-892)		nary (PTO-413)
<ul> <li>2) Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> <li>3) Information Disclosure Statement(s) (PTO/SB/08)</li> </ul>		ail Date nal Patent Application
Paper No(s)/Mail Date <u>7/06</u> .	6) 🗌 Other:	
L U.S. Patent and Trademark Office PTOL-326 (Rev. 08-06) Office /	Action Summary	Part of Paper No./Mail Date 20080331

#### DETAILED ACTION

#### Double Patenting

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple A nonstatutory obviousness-type double patenting assignees. rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., In re Berg, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); In re Goodman, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); In re Longi, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); In re Van Ornum, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); In re Vogel, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and In re Thorington, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

Claims 1-10 are rejected on the ground of nonstatutory

obviousness-type double patenting as being unpatentable over

claims 1-10 of U.S. Patent No. 7,129,388. Although the

conflicting claims are not identical, they are not patentably

distinct from each other because it would have been obvious to

one of ordinary skill in the art at the time the invention was

made to claim a gas under pressure as the patented fracturizing

## Application/Control Number: 11/423,749 Art Unit: 1793

gas and to inject a hydrogen donor as the patented polylactate ester, wherein claim 6 claims the same species in both.

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Edward M. Johnson whose telephone number is 571-272-1352. The examiner can normally be reached on M-F 9:30-6:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Stanley S. Silverman can be reached on 571-272-1358. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

## Application/Control Number: 11/423,749 Art Unit: 1793

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

> /Edward M. Johnson/ Primary Examiner Art Unit 1793

EMJ

	Edward M. Johnson	1793	
Notice of Actenences Oned	Examiner	Art Unit	Page 1 of 1
Notice of References Cited	11/423,749	Reexamination SCALZI ET AL.	
	Application/Control No.	Applicant(s)/Pater	nt Under

#### **U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	А	US-7,129,388	10-2006	Scalzi et al.	588/316
	В	US-			
	С	US-			
	D	US-			
	Е	US-			
	F	US-			
	G	US-			
	Н	US-			
	Ι	US-			
	J	US-			
	к	US-			
	L	US-			
	М	US-			

#### FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	Ν					
	0					
	Р					
	Q					
	R					
	s					
	Т					

#### NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	υ	
	V	
	W	
	x	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

Part of Paper No. 20080331

	Index of Claims				Application/Control No.			Applicant(s)/Patent Under Reexamination SCALZI ET AL.			nder		
In					11423749								
					Examiner				Art Uni	Art Unit			
				Edward M Johnson			1793						
✓ F	Rejected		-	C	ancelled		N	Non-Ele	ected		Α	4	ppeal
=	Allowed		÷	R	Restricted		I Interfer		ence		ο	Objected	
Claims	renumbered	in the s	ame or	der as	presented by ap	plica	nt		СРА	C	] T.D	). [	R.1.47
CL	AIM							DATE					
Final	Original	03/31/2	2008										
	1	✓											
	2	✓											
	3	✓											
	4	<ul> <li>✓</li> </ul>											
	5	✓											
	6	✓											
	7	√											
	8	✓											
	9	✓											
	10	✓											

Part of Paper No.: 20080331

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	11423749	SCALZI ET AL.
	Examiner	Art Unit
	Edward M Johnson	1793

SEARCHED						
Class	Subclass	Date	Examiner			
588	313,315,316,318,319,320,402,406,415,261	3/31/08	EJ			
423	240R,240S					

SEARCH NOTES						
Search Notes	Date	Examiner				
Inventor name search	3/31/08	EJ				
EAST search						

	INTERFERENCE SEARC	СН	
Class	Subclass	Date	Examiner

PTO/SB/08a (08-03) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** (Not for submission under 37 CFR 1.99)

Application Number		11423749		
Filing Date		2006-06-13		
First Named Inventor	Micha	ael Scalzi		
Art Unit		1744		
Examiner Name				
Attorney Docket Number		SCALZI-METHOD		

	U.S.PATENTS Remove											
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear						
/EMJ/	1	4488850		1984-12-18	Wernimont							
	2	5264018		1993-11-23	Koenigsberg et al.							
	3	6238570		2001-05-29	Sivavec							
	4	6245235		2001-06-12	Perriello							
	5	6255551		2001-07-03	Shapiro et al.							
	6	6312605		2001-11-06	Kerfoot							
V	7	6464864		2002-10-15	Sivavec							
/EMJ/	8	5277815		1994-01-11	Beeman							

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** ))

(	Not	for	submission	under	37	CFR	1.99)
---	-----	-----	------------	-------	----	-----	-------

Application Number		11423749				
Filing Date		2006-06-13				
First Named Inventor	Micha	ael Scalzi				
Art Unit		1744				
Examiner Name						
Attorney Docket Number		SCALZI-METHOD				

/EMJ/	9	5602296	1997-02-11	Hughes et al.	
200000000000000000000000000000000000000	10	5975798	1999-11-02	Liskowitz et al.	
	11	5833855	1998-11-10	Saunders	
	12	5411664	1995-05-02	Seech et al.	
	13	6068777	2000-05-30	Kimura et al.	
	14	6420594	2002-07-16	Farone et al.	
	15	6472198	2002-10-29	Semprini et al.	
	16	6265205	2001-07-24	Hitchens et al.	
	17	6303367	2001-10-16	Kataoka et al.	
$\mathbf{V}$	18	6344355	2002-02-05	Hince et al.	
/EMJ/	19	6150157	2000-11-21	Keasling et al.	

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** I)

(Not for submission	under 37	CFR	1.99)
---------------------	----------	-----	-------

Application Number		11423749				
Filing Date		2006-06-13				
First Named Inventor Micha		ael Scalzi				
Art Unit		1744				
Examiner Name						
Attorney Docket Number		SCALZI-METHOD				

				-		1	
/EMJ/	20	0494061		1893-03-21	Day		
200000000000000000000000000000000000000	21	1929659		1933-10-10	Trumble et al.		
	22	2362674		1944-11-14	Spangler et al.		
	23	2421765		1947-06-10	Taylor		
	24	2424440		1947-07-22	Duffy		
000000000000000000000000000000000000000	25	2617765		1952-11-11	Swarr		
000000000000000000000000000000000000000	26	2966921		1961-01-03	Whiteman		
$\mathbf{V}$	27	3486297		1969-12-30	Eisinga et al.		
/EMJ/	28	3805819		1974-04-23	Etter		
If you wish to add additional U.S. Patent citation information please click the Add button.							
					CATION PUBLICATIONS		Remove
				Columns,Lines where Int Passages or Relevant s Appear			

	Application Number		11423749
	Filing Date		2006-06-13
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	First Named Inventor	Micha	ael Scalzi
(Not for submission under 37 CFR 1.99)	Art Unit		1744
	Examiner Name		
	Attorney Docket Numb	er	SCALZI-METHOD

	1											
If you wisl	h to ao	dd addit	tional U.S. Publi	shed Ap	plication	n citatio	n information	olease click the Ado	d butto	n. Add		
					FORE	GN PA1		IENTS		Remove		
Examiner Initial*	Cite No	Foreig Numb	n Document er <sup>3</sup>	Country Code <sup>2</sup>		Kind Code⁴	Publication Date	Name of Patentee Applicant of cited Document	e or	where Rele	or Relevant	T5
	1											
If you wish	h to ao	dd addii	tional Foreign P	atent Do	cument	citation	information p	lease click the Add	button	Add		1
				NON	I-PATE	NT LITE	RATURE DO	CUMENTS		Remove		
Examiner Initials*	Cite No	(book,		nal, seria	al, symp	oosium,	catalog, etc),	the article (when a date, pages(s), volu				T⁵
	1											
If you wish	h to ao	dd addii	tional non-pater	t literatu	ire docu	ment cit	ation informat	ion please click the	Add b	utton Ad	d	
					EX	AMINE	R SIGNATUR	E				
Examiner	Signa	ture	/Edward M. Jo	hnson/	(03/31/2	2008)		Date Conside	red			
								ormance with MPE with next communi				
Standard ST	1.3). <sup>-3</sup> F cum <mark>ent</mark>	For Japan by the ap	ese patent docume propriate symbols a	nts, the ine	dication of	f the year	of the reign of the	er office that issued the Emperor must precede idard ST.16 if possible.	the ser	al number of i	the patent docu	ument.

	Application Number		11423749	
	Filing Date		2006-06-13	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	First Named Inventor Micha		ael Scalzi	
(Not for submission under 37 CFR 1.99)	Art Unit		1744	
	Examiner Name			
	Attorney Docket Number		SCALZI-METHOD	

CERTIFICATION	STATEMENT
---------------	-----------

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

#### OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

🖌 None

#### SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Gregory J Gore/	Date (YYYY-MM-DD)	2006-07-20
Name/Print	Gregory J. Gore	Registration Number	30,057

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**.

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
  - 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

## **BIB DATA SHEET**

#### **CONFIRMATION NO. 6408**

SERIAL NUM		FILING OI DAT	E		CLASS	GR		UNIT		ORNEY DOCKET NO.
11/423,74	9	06/13/2006			435	1754			SCALZI-METHOD	
		RUL	E							
APPLICANTS Michael Scalzi, Doylestown, PA; Wade Meese, Worthington, OH;										
** <b>CONTINUING DATA</b> ***********************************										
** FOREIGN AF	PPLICA	TIONS *****	********	******	*					
	** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** ** SMALL ENTITY ** 06/23/2006									
Foreign Priority claime		Yes No	D Mat of	tor	STATE OR	_	IEETS			INDEPENDENT
35 USC 119(a-d) conditions met  Yes  No Verified and /EDWARD M			Met after Allowance		COUNTRY	DRA	WINGS	CLAII		CLAIMS
	JOHNSON Examiner's		Initials		PA		1	10	1	1
ADDRESS										
70 WEST	GREGORY J. GORE 70 WEST OAKLAND AVENUE, SUITE 316 DOYLESTOWN, PA 18901 UNITED STATES									
TITLE										
METHOD	METHOD FOR ACCELERATED DECHLORINATION OF MATTER									
							🗅 All Fe	es		
		A					🖵 1.16 F	ees (Fili	ing)	
		Authority has to	-		aper EPOSIT ACCOUN	NT	🗆 1.17 F	ees (Pro	ocessi	ing Ext. of time)
		foi					🖵 1.18 F	ees (lss	sue)	
							Other			
							Credit			

PTO/SB/06 (08-03) Approved for use through 7/31/2006. OMB 0651-0032

	PA	TENT A		CATIC	no persons are r	equired to respo			Trademark O Information un	less it dis	plays a valid OM	18 control num
				VAIN	titute for Form	CRIMINAL		RECORD		Арді	cation or Docket	Number 74
		CLAII	MS AS	S FILEI	D – PART I							
(Column 1) (Column 2)								SMALI	ENTITY	OR		IER THAN LL ENTITY
BĀ	FOR SIC FEE		NUMB	ER FILE		BER EXTRA		RATE	FEE		RATE	FEE
(37 CFR 1.16(a)) TOTAL CLAIMS									↓;	OR		5
37	CFR 1.16(c))			minus	20 =		7	X \$=			X7	
37	EPENDENT CL	AIMS		minus	3 = /·			x s =	1 - 2	1	/	17
(U	TIPLE DEPEND	DENT CLAIM	PRESEN	NT	(37 C B 1.16(d))		-		$A \sim$	OR		46
lf 1	he difference Ir	column 1 is	less tha	an zero.	enter "0" in colum			<u>+</u> \$/	¥	OR	<u></u> =	
						al 2.		TOTAL	L	OR	TOTAL	
1)	$\langle I \rangle \langle L \rangle$	JLAIMS A P	S AM	ENDE	) – PART II							
2	10314	🤈 (Colum			(Column 2)	(Column 3)		SMALL	ENTITY	OR	OTHE	R THAN
4	-	CLAIN REMAIN	VING		HIGHEST NUMBER	PRESENT	7/1	RATE	]	1		LENTITY
S		AFTE AMENDA			PREVIOUSLY PAID FOR		11	IVALE	ADDI- TIONAL		RATE	ADDI- TIONAL
	Total (37 CFR 1.18(c))	· 76	2	Minus	" 20	= /	11	X \$ =	FEE	1		FEE
	Independent (37 CFR 1.16(b))	1.7		Minus	R	=	11		$\vdash \neq$	OR	<u>× \$</u> =	+ / -
	FIRST PRESEN	TATION OF M	ULTIPLE	DEPEND	ENT CLAIM (37 C	FR1.16(d))	11			OR	× s=	/
						(	ιL	+s= TOTAL	/	OR	total	í
		<i>(</i> <b>0</b> ).						ADD'L FEE	Ĺ	OR	ADD'L PEE	
T		(Column CLAIM	s T	·	(Column 2) HIGHEST	(Column 3)	1 r				<b></b>	
	7-41	REMAINI AFTER AMENDM	۲ ا		NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE	ADDI- TIONAL FEE		RATE	ADDI- TIONAL
	Total (37 CFR 1.16(c))	-		Minus	**	=	1 [	x \$ =				<u>FEE</u>
Ļ	Independent (37 CFR 1.16(b))	•		Minus	***	2	1 1	x s =		OR	× \$≠	
	FIRST PRESENT	ATION OF MU	ILTIPLE I	DEPENDE	ENT CLAIM (37 CI	R 1.16(d))				OR	<u>× \$</u> =	
			_					+ <u>s</u> = TOTAL		OR	<u>+ s</u> =	
		(0.1					. '	ADD'L FEE		OR	ADD'L FEE	L
r		CLAIMS	_		(Column 2) HIGHEST	(Column 3)	F	·	·	1		
		REMAININ	١G		NUMBER	PRESENT EXTRA		RATE	ADDI-		RATE	ADDI-
┝	Total	AMENDME		Minus	PAID FOR				TIONAL FEE			TIONAL
1	37 CFR 1.16(c))	•			P44	=	Ŀ	(s=		OR	× \$=	
(	37 CFR 1.16(b))		'	Minus		=	×	\$ <u> </u> =		OR	X \$	
Ľ	IRST PRESENT		TIPLE D	EPENDE	NT CLAIM (37 CF	R 1.16(d))	Г	· s=		1		
					··········		Ť	OTAL		OR	+ s= TOTAL	
	the estada -	lumn 1 is ion						DD'L FEE		OR	ADD'L FEE	
۱ ۱	the entry in co	umber Drest	s inan ii auala E	ne entry	In column 2, write N THIS SPACE i	e "0" in column 3					L	

4

USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:	Michael Scalzi Wade Meese	ART UNIT: 1	744
SERIAL NO.:	11/423,749	CONF. NO.: 6	5408
FILED:	06/13/2006		
FOR:	METHOD FOR ACCELERA	ATED DECHLORINAT	ION OF MATTER
			Gregory I. Go

Gregory J. Gore Attorney at Law 70 W. Oakland Avenue, Suite 316 Doylestown, PA 18901

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### **PRELIMINARY AMENDMENT**

Dear Sirs:

Prior to the first examination of the above-captioned patent application, please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Appl. No. 11/423,749 Amdt. Dated Oct. 3, 2006

#### Amendments to the Claims:

This listing of claims will replace all prior versions, and listings, of claims in the application:

Claim 1 (Cancelled) Claim 2 (Cancelled) Claim 3 (Cancelled) Claim 4 (Cancelled) Claim 5 (Cancelled) Claim 6 (Cancelled) Claim 7 (Cancelled) Claim 8 (Cancelled) Claim 9 (Cancelled)

Claim 11 (new)

A method for accelerated anaerobic dechlorination of subsoil matter, comprising the steps of:

supplying a mixture including a zero valent metal into soil pathways to

biologically react with the dissolved chlorinated solvents in the groundwater; and

supplying an organic hydrogen donor into the soil pathways to produce

dechlorinating conditions such that indigenous anaerobic bacteria biodegrade residual

concentrations of chlorinated solvents.

Claim 12 (new) The method of claim 11 further including the step of supplying a

Page 2 of 4

Appl. No. 11/423,749 Amdt. Dated Oct. 3, 2006

reducing agent into said soil pathways to remove oxygen from groundwater and soil moisture.

Claim 13 (new) The method of claim 11 wherein the steps of supplying said mixture and said organic hydrogen donor are carried out by placing an injection rod into the soil and then injecting them under pressure through an injection rod.

Claim 14 (new) The method of claim 13 further including the preliminary step of injecting a gas under pressure through said injection rod and into said soil to establish preferential delivery pathways therein.

Claim 15 (new) The method of claim 12 wherein said reducing agent is sodium sulfite.

Claim 16 (new) The method of claim 11 wherein said organic hydrogen donor further includes vitamins B2 and B12.

Claim 17 (new) The method of claim 11 wherein the mixture further includes nutrients.

Claim 18 (new) The method of claim 17 wherein said nutrients are organic ammonia and ortho-phosphate.

Claim 19 (new) The method of claim 11 wherein said organic hydrogen donor is from the group consisting of lactate, propionate, chitin, butyrate, acetate, sugars, glycerol tripolylactate, xylitol pentapolylactate, and sorbitol hexapolylactate.

Claim 20 (new) The method of claim 14 wherein said gas is from the group of nitrogen and carbon dioxide.

Claim 21 (new) The method of claim 11 wherein said mixture including a zero

Page 3 of 4

Appl. No. 11/423,749 Amdt. Dated Oct. 3, 2006

valent metal is a colloidal suspension in a sodium sulfite solution.

Claim 22 (new) The method of claim 13 further including, after the step of injecting the organic hydrogen donor, an additional step of injecting into the soil a sodium sulfite and nutrient solution to provide for further in-situ mixing and penetration of anaerobic stimulating products.

Claim 23 (new)	The method of claim 14 further including a final step of gas

injection to clear said injection rod and fluid conduit lines connected thereto.

Claim 24 (new)	The method of claim 11 wherein said metal is iron.
Claim 25 (new)	The method of claim 11 wherein said metal is in a colloidal
nsion	

suspension.

Claim 26 (new)	The method of claim 25 wherein the colloidal suspension includes

a reducing agent.

Respectfully submitted,

/Gregory J Gore/

GREGORY J. GORE Reg. No. 30,057 Attorney for Applicant Ph. (215) 348-1442 Fax (215) 348-7643

DATE: October 3, 2006

Electronic Acl	knowledgement Receipt
EFS ID:	1232059
Application Number:	11423749
Confirmation Number:	6408
Title of Invention:	METHOD FOR ACCELERATED DECHLORINATION OF MATTER
First Named Inventor:	Michael Scalzi
Customer Number:	41599
Filer:	Gregory J. Gore/Melanie Rush
Filer Authorized By:	Gregory J. Gore
Attorney Docket Number:	SCALZI-METHOD
Receipt Date:	03-OCT-2006
Filing Date:	13-JUN-2006
Time Stamp:	10:14:03
Application Type:	Utility
International Application Number:	

# Payment information:

Submitted with Payment	no
------------------------	----

## File Listing:

1 Prelin	ninary Amendment	PreliminaryAmendment.pdf	67845	no	4

#### Warnings:

Information:

Total Files Size (in bytes):

67845

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

PTO/SB/08a (08-03) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** (Not for submission under 37 CFR 1.99)

Application Number		11423749
Filing Date		2006-06-13
First Named Inventor Micha		ael Scalzi
Art Unit		1744
Examiner Name		
Attorney Docket Number		SCALZI-METHOD

				U.S.	PATENTS	Remove		
Examiner Initial*	Cite No Patent Number		Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear		
	1	4488850		1984-12-18	Wernimont			
	2	5264018		1993-11-23	Koenigsberg et al.			
	3	6238570		2001-05-29	Sivavec			
	4	6245235		2001-06-12	Perriello			
	5	6255551		2001-07-03	Shapiro et al.			
	6	6312605		2001-11-06	Kerfoot			
	7	6464864		2002-10-15	Sivavec			
	8	5277815		1994-01-11	Beeman			

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** I)

(Not for submission	under 37	CFR 1.99)
---------------------	----------	-----------

Application Number		11423749			
Filing Date		2006-06-13			
First Named Inventor Micha		ael Scalzi			
Art Unit		1744			
Examiner Name					
Attorney Docket Number		SCALZI-METHOD			

9	5602296	1997-02-11	Hughes et al.	
10	5975798	1999-11-02	Liskowitz et al.	
11	5833855	1998-11-10	Saunders	
12	5411664	1995-05-02	Seech et al.	
13	6068777	2000-05-30	Kimura et al.	
14	6420594	2002-07-16	Farone et al.	
15	6472198	2002-10-29	Semprini et al.	
16	6265205	2001-07-24	Hitchens et al.	
17	6303367	2001-10-16	Kataoka et al.	
18	6344355	2002-02-05	Hince et al.	
19	6150157	2000-11-21	Keasling et al.	

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** I)

Application Number		11423749			
Filing Date		2006-06-13			
First Named Inventor Micha		ael Scalzi			
Art Unit		1744			
Examiner Name					
Attorney Docket Number		SCALZI-METHOD			

Examiner Initial*	xaminer Cite No Publication Number Kind Code <sup>1</sup> Publication Date Name of Patentee or Applicant Relevant Passages o Figures Appear								
			U.S.P		CATION PUBLICATIONS		Remove		
If you wis	If you wish to add additional U.S. Patent citation information please click the Add button.								
	28	3805819		1974-04-23	Etter				
	27	3486297		1969-12-30	Eisinga et al.				
	26	2966921		1961-01-03	Whiteman				
	25	2617765		1952-11-11	Swarr				
	24	2424440		1947-07-22	Duffy				
	23	2421765		1947-06-10	Taylor				
	22	2362674		1944-11-14	Spangler et al.				
	21	1929659		1933-10-10	Trumble et al.				
	20	0494061		1893-03-21	Day				

	Application Number		11423749
	Filing Date		2006-06-13
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	First Named Inventor	iventor Michael Scalzi	
(Not for submission under 37 CFR 1.99)	Art Unit		1744
	Examiner Name		
	Attorney Docket Numb	er	SCALZI-METHOD

	1											
If you wisl	h to ac	dd add	litional U.S. Publi	∣ shed Ap	plicatior	n citatio	l n information p	blease click the Add	l butto	n. Add		
					FOREI	GN PA1		ENTS		Remove		
Examiner Initial*	Cite No	Fore Num	ign Document ber <sup>3</sup>	Country Code <sup>2</sup>	,	Kind Code⁴	Publication Date	Name of Patentee Applicant of cited Document		Pages,Columr where Relevar Passages or R Figures Appea	nt Relevant	<b>T</b> 5
	1											
If you wish	h to ao	d add	litional Foreign Pa	atent Do	cument	citation	information pl	ease click the Add	button	Add		L
				NON	I-PATEI	NT LITE	RATURE DO	CUMENTS		Remove		
Examiner Initials*	Cite No	(bool		nal, seria	al, symp	osium,	catalog, etc), o	the article (when a date, pages(s), volu				T⁵
	1											
If you wisl	h to ao	dd add	litional non-paten	t literatu	re docu	ment cit	ation informat	ion please click the	Add b	utton Add	['	
					EX	AMINE	R SIGNATUR	E				
Examiner Signature Date Considered												
								ormance with MPE with next communic			ough a	
Standard ST <sup>4</sup> Kind of doo	F.3). <sup>3</sup> F cument	<sup>:</sup> or Japa by the a	anese patent documer	nts, the inc	dication of	f the year	of the reign of the	r office that issued the o Emperor must precede dard ST.16 if possible.	the ser	al number of the p	atent docu	ument.

	Application Number		11423749	
	Filing Date		2006-06-13	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	First Named Inventor Micha		ael Scalzi	
(Not for submission under 37 CFR 1.99)	Art Unit		1744	
(	Examiner Name			
	Attorney Docket Numb	er	SCALZI-METHOD	

Please see 37	' CFR 1.97 a	nd 1.98 to make	the appropriate	selection(s):
---------------	--------------	-----------------	-----------------	---------------

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

#### OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

🖌 None

#### SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Gregory J Gore/	Date (YYYY-MM-DD)	2006-07-20
Name/Print	Gregory J. Gore	Registration Number	30,057

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**.

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
  - 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Acknowledgement Receipt		
EFS ID:	1121115	
Application Number:	11423749	
Confirmation Number:	6408	
Title of Invention:	METHOD FOR ACCELERATED DECHLORINATION OF MATTER	
First Named Inventor:	Michael Scalzi	
Customer Number:	41599	
Filer:	Gregory J. Gore/Melanie Rush	
Filer Authorized By:	Gregory J. Gore	
Attorney Docket Number:	SCALZI-METHOD	
Receipt Date:	20-JUL-2006	
Filing Date:	13-JUN-2006	
Time Stamp:	15:12:56	
Application Type:	Utility	
International Application Number:		

# Payment information:

Submitted with Payment	no
------------------------	----

## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part	Pages
1	Information Disclosure Statement (IDS) Filed	US_IDS_FormSB_08a.pdf		no	6
		•	DVV 100	8 Page 06	20

#### Warnings:

Information:

Total Files Size (in bytes):

3591144

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

1

#### METHOD FOR ACCELERATED DECHLORINATION OF MATTER

2

#### 3 <u>RELATED APPLICATION</u>

This patent application is a continuation of co-pending patent application serial number
10/610,558 filed July 2, 2003 for "Method for Accelerated Dechlorination of Matter" which is
related to provisional patent application serial number 60/437,983 entitled "Method for
Accelerated Dechlorination of Matter" filed on January 6, 2003, priority from which is hereby
claimed.
<u>FIELD OF THE INVENTION</u>
The present invention relates to the combined and synergistic utilization of chemicals in

11 conjunction with the bio-mineralization processes of subsurface soil and groundwater pollutants.
 12 More specifically, it relates to an accelerated dechlorination of subsurface matter by anaerobic
 13 microorganisms in conjunction with oxygen scavengers, vitamins, nutrients, and zero valent
 14 metals.

15

#### BACKGROUND OF THE INVENTION

Through the years, chlorinated solvents have had a large impact on several industries, including pharmaceuticals, chemical processing, food extraction, dry cleaning, and metal cleaning. With wide spread use and improper handling and storage, extensive soil and water damage has occurred. Due to their toxicity, carcinogenicity, and persistence in the environment, chlorinated solvents are listed by the United States Environmental Protection Agency as high priority pollutants. If left untreated, chlorinated solvents may remain unchanged for a period of fifty years or more. The most common chlorinated solvents used are methylene chloride,

1

1	tetrachloroethene, trichloroethene, carbon tetrachloride, chloroform, tetrachloroethane,
2	dichloroethene and vinyl chloride. Carbon tetrachloride is a systematic poison of the nervous
3	system, the intestinal tract, the liver, and the kidneys. Vinyl chloride and methylene chloride are
4	known carcinogens, and could also affect the nervous system, the respiratory system, the liver,
5	the blood, and the lymph system.
6	Chlorinated solvents are often found in separate phases mixtures commonly referred to as
7	dense nonaqueous-phase liquids ("DNAPLs"). DNAPLs are visible, denser-than-water, separate
8	oily phase materials in the subsurface whose migration is governed by gravity, buoyancy, and
9	capillary forces. Chlorinated solvents partition into the water phase to create a dissolved
10	contaminant plume when in contact with water, thus creating a long-term, continuing source of
11	contamination as the soluble constituents slowly dissolve into moving groundwater.
12	One common technique for treating contaminated matter is the "pump-and-treat" method
13	in which contaminated groundwater is pumped to the surface, cleaned chemically or by passing
14	the groundwater through a bioreactor, and then reinjected into the groundwater. This process is
15	carried out over a long period and various factors complicate the removal of these contaminants
16	from the environment. Also, they are very volatile, highly mobile, denser than water, and
17	generally found in the environment as mixtures of products with different degrees of
18	chlorination. The "pump-and-treat" method is therefore problematic.
19	The problems with the "pump-and-treat" method can be overcome with the use of
20	anaerobic microorganisms which have the capability to decompose a wide range of highly
21	chlorinated compounds. However, anaerobic microorganisms are at a disadvantage in that their

2

disadvantage due to the partitioning of the targeted substrates into the soil matrix. There is
therefore a need in the art to utilize the ability of anaerobic microorganisms to decompose
chlorinated compounds which can be achieved at a faster rate.

#### 4 <u>SUMMARY OF THE INVENTION</u>

21

5 The present invention achieves accelerated dechlorination of soil and water contaminated 6 with chlorinated solvents by stimulating anaerobic microorganisms and thus increasing the rate 7 of biological mineralization of the solvents. This is accomplished by a treatment process 8 consisting of a colloidal suspension of metal powder, organic hydrogen donor such as glucose, sucrose, alcohols, propionates, lactates, acetates, chitin, polylactate esters, glycerol 9 10 tripolylactate, xylitol pentapolylactate, and sorbitol hexapolylactate, chemical oxygen scavengers 11 in solution with essential nutrients, and vitamin stimulants such as B2 and B12 delivered via 12 interconnected pneumatic pumps and pressurized vessels driven by compressed gases N or CO2 13 so as not to oxygenate an environment targeted for anaerobic processes. The treatment 14 stimulates naturally occurring microorganisms while addressing dissolved phase target 15 compounds via the surface action of the metal particles. The overall effect results in the 16 breakdown of chlorinated solvents such as tetrachloroethene, trichloroethene, carbon 17 tetrachloride and their daughter products. The incorporation of the B12 acts as both an 18 enzymatic stimulus for the anaerobic action and a surface catalyst of the iron particle. Cobalt, 19 the core element of the B12, enhances the surface oxidation of the metal further. 20 A closed delivery system is used to deliver the process utilizing a combination of gas and

22 washings, filling, and discharge of materials via pressure vessels or mechanical pumping

3

liquid delivery systems. All of the vessels are interconnected and valved, allowing for mixings,
systems. The system utilized allows for a variety of dissimilar compounds to be delivered via a 1 2 single injection line. Further, the switching between feed systems is accomplished without any 3 loss of pressure to the delivery line eliminating the common problems experienced from the vacuum developed down-hole as pressure is released and reapplied. Lastly, the current system is 4 5 fully self-contained requiring no electrical supply. The only site utility requirement is an 6 available water source for slurry preparation. 7 One embodiment of the present invention is carried out in the following steps. 8 Step 1: Subsurface Pathway Development 9 Initially, a gas is delivered to the subsurface via the delivery system further described 10 herein. The gas is used so as not to introduce oxygen into an environment targeted for anaerobic 11 processes. Injection points are advanced via traditional direct push technology or may be 12 permanently installed injection wells. The gas is introduced at a maximum pressure of 13 approximately 175 psi such that delivery pathways and voids are established. Pathway 14 development is verified by observing a substantial pressure drop at the surface monitoring point. 15 Gas introduction is immediately halted once the pressure drop is observed. 16 Step 2: Sodium Sulfite, Nutrient and Micro Nutrient Injection 17 A solution of sodium sulfite and nutrients (nitrogen and ortho-phosphate) is immediately 18 injected into the subsurface pathways and voids that were developed during the gas injection 19 step. Sodium sulfite acts as an oxygen scavenger, iron reducer and sulfate source. As an oxygen 20 scavenger, the sodium sulfite prevents the oxidation of the later-injected ZVI by the dissolved 21 oxygen while promoting anaerobic conditions that are favorable for the biodegradation of the 22 CVOCs. Nutrients, injected as organic ammonia and ortho-phosphate, are required for the

1	maintenance of the microbial metabolic pathways, ATP/ADP synthesis and organelle
2	development. Further, the incorporation of the ortho phosphate inhibits acetogenesis, a
3	competing methanogenic reaction which consumes acetate and produces methane.
4	Step 3: Zero Valent Metal Injection
5	Immediately following the sodium sulfite/bioslurry solution injection, a colloidal
6	suspension of a metal powder is added to an additional quantity of the bioslurry solution and the
7	colloidal suspension is injected to reduce concentrations of dissolved-phase CVOCs while
8	providing for rapidly generated hydrogen, the evolution of hydroxides and as a result overall
9	microbial stimulation and biofilm formation.
10	Step 4: Anaerobic Hydrogen Source Injection
11	An anaerobic organic hydrogen source is injected immediately after the ZVI injection to
12	provide a slow release hydrogen source for the anaerobic dechlorination of the CVOCs. Vitamin
13	B12 and riboflavin B2 is mixed with the anaerobic stimulating hydrogen source to provide
14	essential micro enzymes at the anaerobic sites.
15	Step 5: Sodium Sulfite/Nutrient Injection
16	A second injection of the sulfite/nutrient mixture is then performed to clear the injection
17	lines and to provide for in-situ mixing and penetration of the anaerobic stimulating product.
18	Step 6: Post Liquid Injection – Gas Injection
19	Lastly, the injection lines are cleared of liquids by a second gas injection and all
20	injectants are forced into the created formation and upward into the vadose zone. Once the
21	injection cycle is complete, the injection point is temporarily capped to allow for the pressurized
22	subsurface to accept the injectants. Once back-pressure diminishes, the injection rods are

1 extracted. Injection boring locations are then sealed with bentonite or sand to prevent short-

2 circuiting from adjacent injection locations.

Other objects and advantages of the present invention will be readily apparent to those of
skill in the art from the following drawing and description of the preferred embodiment.

5

#### BRIEF DESCRIPTION OF THE DRAWINGS

6 The figure is an apparatus and flow diagram which describes an in-situ delivery system
7 of the present invention.

#### 8 DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

9 According to the preferred embodiment of the invention, the following process and 10 delivery system apparatus is employed. In order to keep an anaerobic environment, nitrogen or 11 carbon dioxide gas is used to propel all injectants into the subsurface. The gas is first injected 12 into the subsurface at a maximum pressure of approximately 175 pounds per square inch until a 13 significant pressure drop is observed at the injection pressure vessel. This process is referred to 14 as delivery pathway development with the intent of opening pathways into the subsurface for the 15 injections. These pathways are believed to be those more permeable pathways along which 16 chlorinated solvents are more likely to have migrated, both in the vadose and saturated zones. 17 Liquid and liquid-entrained injectants are then delivered with pressurized gas to the pathways 18 that are produced during the pathway development.

19 Chemical oxygen scavengers, reducing agents such as sodium sulfite, are then injected to 20 remove oxygen from groundwater and soil moisture immediately after pathway development in 21 the subsurface, facilitating the anaerobic conditions that are preferred for the reductive 22 dehalogenation of chlorinated solvents by indigenous bacteria. The resulting environment

1	contains a wide spectrum of inorganic, biochemical, and enzymatic redox systems. Along with
2	the reducing agents, nutrients such as organic ammonia and ortho-phosphate are added to the
3	injectants in order to support microbial activity.
4	In an anaerobic environment, zero valent metal is then injected as an additive to the
5	reducing agent bioslurry mixture. Zero valent metals have a moderately low toxicity and a good
6	reducing power so that it can rapidly reduce higher concentrations of dissolved phase chlorinated
7	solvents when injected via direct chemical reactions. Zero valent metals will continue to react
8	with dissolved chlorinated solvents in groundwater until it is completely oxidized by chlorinated
9	solvents, oxygen, or other oxidants that contact residual concentrations of zero valent iron.
10	Under normal environmental conditions, zero valent metals are capable of being oxidized and
11	reduced back and forth. The oxygen scavenger also promotes an anaerobic environment,
12	stimulating the microorganisms.
13	With the addition of an electron donor source to provide hydrogen, the biodegradation
14	· · · · · · · · · · · · · · · · · · ·
	process is initiated. An organic hydrogen donor such as a polylactate ester, glycerol
15	process is initiated. An organic hydrogen donor such as a polylactate ester, glycerol tripolylactate, xylitol pentapolylactate, or sorbitol hexapolylactate, lactates, acetate, propionates,
15 16	
	tripolylactate, xylitol pentapolylactate, or sorbitol hexapolylactate, lactates, acetate, propionates,
16	tripolylactate, xylitol pentapolylactate, or sorbitol hexapolylactate, lactates, acetate, propionates, sugars, glucose, etc. is now injected with the intent of being cometabolized by indigenous
16 17	tripolylactate, xylitol pentapolylactate, or sorbitol hexapolylactate, lactates, acetate, propionates, sugars, glucose, etc. is now injected with the intent of being cometabolized by indigenous anaerobic bacteria to produce dechlorinating conditions necessary for indigenous anaerobic
16 17 18	tripolylactate, xylitol pentapolylactate, or sorbitol hexapolylactate, lactates, acetate, propionates, sugars, glucose, etc. is now injected with the intent of being cometabolized by indigenous anaerobic bacteria to produce dechlorinating conditions necessary for indigenous anaerobic bacteria to biodegrade residual concentrations of chlorinated solvents. This slow release process
16 17 18 19	tripolylactate, xylitol pentapolylactate, or sorbitol hexapolylactate, lactates, acetate, propionates, sugars, glucose, etc. is now injected with the intent of being cometabolized by indigenous anaerobic bacteria to produce dechlorinating conditions necessary for indigenous anaerobic bacteria to biodegrade residual concentrations of chlorinated solvents. This slow release process is controlled over time, maintaining a slow delivery of hydrogen at low concentrations which

enhancing the attenuation of chlorinated solvents as they move through the aquifer over a period
 of months.

3	The above-described process is preferably carried out by an apparatus such as shown in
4	the diagram of the figure. The direction of flow is indicated by arrows where appropriate. Not
5	shown are conventional injection rods well-known in the art suitable for subsoil injections which
6	are attached to an injection line in fluid communication with the discharge port 25.
7	An embodiment of the inventive process begins by first filling the bioslurry tanks LT1
8	and LT2 and filling the feed tanks T1 and T2. A source of gas such as nitrogen or carbon
9	dioxide is connected to inlet 21 and a water supply is connected to liquid inlet 23. Valves V7
10	and <b>V8</b> are opened which engage an electric actuator to fill bioslurry tanks <b>LT1</b> and <b>LT2</b> . The
11	micro-nutrients/sodium sulfate is then manually added to the bioslurry tanks LT1 and LT2 and
12	allowed to mix. Valves $V7$ and $V8$ are closed along with disengaging the actuator when the
13	bioslurry tanks are filled.
13 14	bioslurry tanks are filled. Next, a pre-mixed heated lactate including vitamins B2 and B12 is manually poured into
14	Next, a pre-mixed heated lactate including vitamins B2 and B12 is manually poured into
14 15	Next, a pre-mixed heated lactate including vitamins B2 and B12 is manually poured into feed tank <b>T1</b> . Valves <b>V5</b> , <b>V6</b> , <b>V4</b> , and <b>V3</b> are then opened. Next, pump <b>P2</b> is activated and tank
14 15 16	Next, a pre-mixed heated lactate including vitamins B2 and B12 is manually poured into feed tank <b>T1</b> . Valves <b>V5</b> , <b>V6</b> , <b>V4</b> , and <b>V3</b> are then opened. Next, pump <b>P2</b> is activated and tank <b>T2</b> is filled with an appropriate volume of the bioslurry. All valves are closed when finished.
14 15 16 17	Next, a pre-mixed heated lactate including vitamins B2 and B12 is manually poured into feed tank <b>T1</b> . Valves <b>V5</b> , <b>V6</b> , <b>V4</b> , and <b>V3</b> are then opened. Next, pump <b>P2</b> is activated and tank <b>T2</b> is filled with an appropriate volume of the bioslurry. All valves are closed when finished. The tops are then secured on both feed tanks <b>T1</b> and <b>T2</b> and afterward valves <b>V10</b> and <b>V11</b> are
14 15 16 17 18	Next, a pre-mixed heated lactate including vitamins B2 and B12 is manually poured into feed tank <b>T1</b> . Valves <b>V5</b> , <b>V6</b> , <b>V4</b> , and <b>V3</b> are then opened. Next, pump <b>P2</b> is activated and tank <b>T2</b> is filled with an appropriate volume of the bioslurry. All valves are closed when finished. The tops are then secured on both feed tanks <b>T1</b> and <b>T2</b> and afterward valves <b>V10</b> and <b>V11</b> are opened to pressurize both feed tanks. After the injection rod has been properly placed at a
14 15 16 17 18 19	Next, a pre-mixed heated lactate including vitamins B2 and B12 is manually poured into feed tank <b>T1</b> . Valves <b>V5</b> , <b>V6</b> , <b>V4</b> , and <b>V3</b> are then opened. Next, pump <b>P2</b> is activated and tank <b>T2</b> is filled with an appropriate volume of the bioslurry. All valves are closed when finished. The tops are then secured on both feed tanks <b>T1</b> and <b>T2</b> and afterward valves <b>V10</b> and <b>V11</b> are opened to pressurize both feed tanks. After the injection rod has been properly placed at a selected location, the injection line is secured to discharge port <b>25</b> and valve <b>V9</b> is opened.

1	then closed and valve V3 opened to introduce the bioslurry mixture into the subsurface pathways
2	from feed tank <b>T2</b> . Valve <b>V3</b> is closed when feed tank <b>T2</b> is empty.
3	Next, pump <b>P2</b> is once again activated and tank <b>T2</b> is filled with more of the bioslurry.
4	Zero valent metal is then manually added and mixed with the bioslurry in tank T2. This mixture
5	is then injected into the subsoil from tank T2 in the same way as the previous injection of the
6	bioslurry mixture alone.
7	Now valve <b>V2</b> is opened to introduce the lactate mixture into the subsurface from tank
8	T1 and is closed after the tank is empty. Valves V4, V5, and V6 are then opened to directly
9	deliver bioslurry from tanks LT1 and LT2 into the subsurface. All valves are closed when the
10	desired amount of bioslurry has been injected. Finally, in order to cleanse the injection line of
11	the viscous polylactate ester or other organic hydrogen donors, more reducing agent slurry is
12	once again injected from tank T2 having been transferred there from tanks LT1 and LT2 as
13	previously described. With the injections complete, a post injection line purge is performed by
14	opening valves V9 and V1 and injecting gas to clear the lines of any remaining reducing agents.
15	With the lines cleaned, the process is complete and the next injection location is prepared.
16	In accordance with the invention, a test was carried out and the following results
17	observed. A site known to be contained with chlorinated solvents was geologically mapped.
18	After determination of the subsurface contaminate concentrations, characteristics and the
19	direction of flow of groundwater, a series of injection points were drilled. Contaminate
20	concentrations of cis-1,2-DCE prior to the biodegradation process ranged from 6.6 ppb to 69
21	ppb. The initial concentration of VC ranged from 0.97 to 2 ppb. The initial concentration of
22	TCE ranged from 0.23 to 12.0 ppb.

1	After determining the levels of the contaminants, biodegradation was initiated and
2	maintained by the addition of iron powder, lactate, reducing agents, vitamin stimulants, and
3	delivered by compressed gases and results were observed after four months. Iron powder was
4	chosen for two primary reactions with chlorinated solvents, the first being the anaerobic iron
5	corrosion reaction in which water is disassociated to form hydrogen gas, and the direct
6	absorption of a chlorinated hydrocarbon onto the surface of the iron, followed by reductive
7	dehalogenation. Four mechanisms are at work during the reductive process. First, the zero
8	valent metals act as a reductant by supplying electrons directly from the metal surface to an
9	absorbed halogenated compound. Next, hydrogen gas is generated by the anaerobic corrosion of
10	the metallic iron by water. Third, metallic iron may act as a catalyst for the reaction of hydrogen
11	with the halogenated hydrocarbon using the hydrogen produced on the surface of the iron metal
12	as the result of anaerobic corrosion with water. Fourth, solubilized ferrous iron can also act as a
13	reductant, albeit at a rate at least an order of magnitude slower.
14	At the end of the four month process, microbial processes are strongly active as
15	demonstrated by the disappearance of tetrachloroethane, 1, 1-TCA, and dichloromethane. The
16	alkaline conditions over the four months would suggest that the chloride production observed is
17	primarily due to the microbial dechlorination process. The 4,600 ppb increase in chlorides
18	observed over the period suggest significant microbial activity. There appears to be no toxicity
19	issues in any of the areas on site and there has not been any microbial accumulation of
20	intermediates of the degradation pathways. As a by-product of the program, benzene has also
21	been addressed in those areas where it has been found in the groundwater samples.

1	Therefore the foregoing description of the invention demonstrates that it provides a
2	method for accelerated dechlorination of matter contaminated with chlorinated solvents utilizing
3	mechanisms associated with zero valent metal oxidation. It shows that with the combination of
4	organic acids, hydrogen donors, oxygen scavengers, nutrients and zero valent metal, when added
5	to matter contaminated with chlorinated solvents, can provide a reducing environment. Thus, the
6	compounds disclosed have shown great utility in aiding the destruction or inactivation of
7	chlorinated solvents. The invention also confirms that zero valent metal, when added with other
8	compounds which provide a source of electron donors, nutrients, and reducing agents, will
9	stimulate naturally occurring microorganisms while oxidizing dissolved phase target compounds
10	via the surface action of the iron particles resulting in the breakdown of chlorinated solvents.
11	It should be understood that there may be other modifications and changes to the present
12	invention that will be obvious to those of skill in the art from the foregoing description, however,
13	the present invention should be limited only by the following claims and their legal equivalents.

1		<u>CLAIMS</u>
2	1.	A method for accelerated anaerobic dechlorination of subsoil matter, comprising:
3		placing an injection rod into a soil to be treated to carry injectants under pressure
4	to the soil;	
5		injecting a gas under pressure through said injection rod and into said soil to
6	establish pref	erential horizontal delivery pathways therein;
7		injecting a reducing agent under pressure through said rod and said soil pathways
8	to remove ox	ygen from groundwater and soil moisture;
9		injecting a mixture under pressure including zero valent metal through said rod
10	and into said	soil pathways to biologically react with the dissolved chlorinated solvents in the
11	groundwater;	and
12		injecting an organic hydrogen donor under pressure through said rod into the soil
13	pathway to p	roduce dechlorinating conditions such that indigenous anaerobic bacteria
14	biodegrade re	esidual concentrations of chlorinated solvents.
15	2.	The method of claim 1 wherein said reducing agent is sodium sulfite.
16	3.	The method of claim 2 wherein said organic hydrogen donor mixture further
17	includes vitar	nins B2 and B12.
18	4.	The method of claim 1 wherein the mixture further includes nutrients.
19	5.	The method of claim 4 wherein said nutrients are organic ammonia and
20	ortho-phosph	ate.
21	6.	The method of claim 5 wherein said organic hydrogen donor is from the group

consisting of lactate, propionate, chitin, butyrate, acetate, sugars, glycerol tripolylactate, xylitol
 pentapolylactate, and sorbitol hexapolylactate.

- 3 7. The method of claim 6 wherein said gas is from the group of nitrogen and carbon
  4 dioxide.
- 5 8. The method of claim 7 wherein said zero valent metal mixture is a colloidal 6 suspension in a sodium sulfite solution.
- 7 9. The method of claim 8 further including, after the step of injecting the organic

8 hydrogen donor, an additional step of injecting into the soil a sodium sulfite and nutrient solution

- 9 to provide for further in-situ mixing and penetration of anaerobic stimulating products.
- 10 10. The method of claim 9 further including a final step of gas injection to clear said
  11 injection rod and fluid conduit lines connected thereto.

#### **ABSTRACT**

Accelerated dechlorination of soil and water contaminated with chlorinated solvents is achieved by stimulating anaerobic microorganisms and thus increasing the rate of biological mineralization of the solvents. This is accomplished by a treatment process consisting of colloidal suspension of metal powder, an organic hydrogen donor, chemical oxygen scavengers in solution with essential nutrients, and vitamin stimulants such as B2 and B12 delivered via compressed gases N or CO2 so as not to oxygenate an environment targeted for anaerobic processes. The treatment stimulates naturally occurring microorganisms while oxidizing dissolved phase target compounds via the surface action of the iron particles resulting in the breakdown of chlorinated solvents such as tetrachloroethene, trichloroethene, carbon tetrachloride and their daughter products.



#### **DECLARATION FOR PATENT APPLICATION**

We, Michael Scalzi and Wade Meese, declare as follows:

Our residences, post office addresses and citizenships are as stated below next to our names.

We believe we are the original, first and sole inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled

METHOD FOR ACCELERATED DECHLORINATION OF MATTER the specification of which is attached hereto.

We hereby state that we have reviewed and understand the contents of the aboveidentified specification, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Paragraph 1.56(a).

Also, we hereby appoint GREGORY J. GORE, Reg. No. 30,057, of 70 W. Oakland Ave., Suite 316, Doylestown, Pennsylvania 18901, our attorney with full power of substitution and revocation, to prosecute this application, to make alterations and amendments therein, to receive the Letters Patent and to transact all business in the Patent and Trademark Office connected therewith.

Address all telephone calls to Gregory J. Gore, at telephone number (215) 348-1442.

Address all correspondence to Gregory J. Gore, 70 W. Oakland Ave., Suite 316, Doylestown, Pennsylvania 18901.

We hereby claim the benefit under 35 U.S.C. 119E of United States Provisional Patent

Application Number 60/437,983 filed on January 6, 2003 titled "METHOD FOR ACCELERATED DECHLORINATION OF MATTER."

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of this application or any patent issued thereon.

FULL NAME OF JOINT INVENTOR:

Michael Scalzi

**INVENTOR'S SIGNATURE** 

**RESIDENCE**:

3510 Concord Road Doylestown, PA 18901

POST OFFICE ADDRESS: 3510 Concord Road Doylestown, PA 18901

CITIZENSHIP:

U.S.A.

FULL NAME OF JOINT INVENTOR: Wade Meese

DATE: 6-25-03 **INVENTOR'S SIGNATURE** 

**RESIDENCE:** 

7891 Kirkby Court Worthington, OH 43085

POST OFFICE ADDRESS: 7891 Kirkby Court Worthington, OH 43085

CITIZENSHIP:

U.S.A.

6-25-03

DATE:

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Da	ta Sheet 37 CFR 1.76	Attorney Docket Number	SCALZI-METHOD		
Application Da		Application Number			
Title of Invention	TTER				
The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76.					

This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.

# Secrecy Order 37 CFR 5.2

Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

# **Applicant Information:**

Applic	ant 1									Remove	
Applic	Applicant Authority Inventor Clegal Representative under 35 U.S.C. 117 Party of Interest under 35 U.S.C. 1						.C. 118				
Prefix	efix Given Name			Middle Name Fai			Fam	ily Name		Suffix	
Mr.	Michael							Scal	zi		
Resid	ence Informatio	n (Select One)	US	Residenc	у О	Non	IUS Res	sidency		e US Military Service	; ;
City	Doylestown		State/	Province	PA		Countr	y of R	lesidence i	US	
Citizer	nship under 37 C	FR 1.41(b) <sup>i</sup>	US		·						
Mailin	g Address of Ap	plicant:	I								
Addre	ss 1	3510 Concord	l Road								
Addre	ss 2										
City	Doylestown				S	tate	/Provin	ice	PA		
Postal	Code	18901			Countr	yi	US				
Applic	ant 2									Remove	
Applic	ant Authority 🖲	Inventor	egal Rep	resentativ	e under 3	35 U.	.S.C. 117	7	OParty of In	terest under 35 U.S.	.C. 118
Prefix	Given Name	ľ	Mi	ddle Na	me			Fam	ily Name		Suffix
Mr.	Wade							Mee	se		
Resid	ence Informatio	n (Select One)	US	Residenc	у ()	Non	US Res	sidency		e US Military Service	<u>}</u>
City	Worthington		State/	Province	e OH		Countr	y of R	lesidence i	US	
Citizer	nship under 37 C	FR 1.41(b) <sup>i</sup>	US								
Mailin	g Address of Ap	plicant:	ł								
Addre	ss 1	7891 Kirkby C	ourt								
Addre	ss 2										
City	Worthington				S	tate	/Provin	ice	ОН		
Postal	Code	43085			Countr	yi	US				
All Inventors Must Be Listed - Additional Inventor Information blocks may be generated within this form by selecting the Add button.											

## **Correspondence Information:**

Enter either Customer Number or complete the Correspondence Information section below. For further information see 37 CFR 1.33(a).

An Address is being provided for the correspondence Information of this application008, Page 087

PTO/SB/14 (08-05)

Approved for use through 07/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Da	ta Sheet 37 CFR 1.76	Attorney Docket Number	SCALZI-METHOD
		Application Number	
Title of Invention	METHOD FOR ACCELERA	FED DECHLORINATION OF MA	TTER
Customer Numbe	<b>r</b> 41599		

Email Address	ggore@goreiplaw.com	Add Email	Remove Email

### **Application Information:**

Title of the Invention	le of the Invention METHOD FOR ACCELERATED DECHLORINATION OF MATTER						
Attorney Docket Number	SCALZI-METHOD		Small Entity Status Claimed 🖌				
Application Type	Nonprovisional						
Subject Matter	Utility						
Suggested Class (if any)			Sub Class (if any)				
Suggested Technology C	enter (if any)						
Total Number of Drawing	Sheets (if any)	1	Suggested Figure for Publication (if any)				
Publication Information:							
Request Early Publica	tion (Fee required	at time of Request	37 CFR 1.219)				
<ul> <li>Request Early Publication (Fee required at time of Request 37 CFR 1.219)</li> <li>Request Not to Publish. I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not been and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.</li> </ul>							

### **Representative Information:**

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Enter either Customer Number or complete the Representative Name section below. If both sections are completed the Customer Number will be used for the Representative Information during processing.

Please Select One:	Customer Number	US Patent Practitioner	US Representative (37 CFR 11.9)
Customer Number	41599		

# **Domestic Priority Information:**

This section allows for the applicant to claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c). Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78(a)(2) or CFR 1.78(a) (4), and need not otherwise be made part of the specification.

Prior Application Status	Pending		Remove	
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)	
	Continuation of	10610558	2003-07-02	
Additional Domestic Priority Data may be generated within this form by selecting				

the Add button.

## Foreign Priority Information:

PXY-1008, Page 088

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	SCALZI-METHOD		
		Application Number			
Title of Invention	METHOD FOR ACCELERATED DECHLORINATION OF MATTER				
This section allows for the applicant to claim benefit of foreign priority and to identify any prior foreign application for which priority is not claimed. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(a).					

			niove			
Application Number	Country <sup>i</sup>	Parent Filing Date (YYYY-MM-DD)	Priority Claimed			
			● Yes ─ No			
Additional Foreign Priority Data may be generated within this form by selecting the Add						

### **Assignee Information:**

Providing this information in the application data sheet does not substitute for compliance with any requirement of part 3 of Title 37 of the CFR to have an assignment recorded in the Office.						
Assignee 1			Remove			
If the Assignee is an Or	rganization check here.	$\checkmark$				
Organization Name	Innovative Environmental Tec	hnologies, Inc.				
Mailing Address Infor	Mailing Address Information:					
Address 1	3510 Concord Road	3510 Concord Road				
Address 2						
City	Doylestown	State/Province	PA			
Country <sup>i</sup> US		Postal Code	18901			
Phone Number		Fax Number				
Email Address						
Additional Assignee Da button.	ata may be generated within	this form by selecting the Ad	d Add			

### Signature:

A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature.					
Signature	/Gregory J Gore/			Date (YYYY-MM-DD)	2006-06-13
First Name	Gregory	Last Name	Gore	Registration Number	30057

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**.

### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent. The information provided by you in this form will be subject to the following routine uses: The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) 1. and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or 2. administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations. 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in 4. order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m). A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, 5. as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty. 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)). 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant 8. to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the 9. USPTO becomes aware of a violation or potential violation of law or regulation.

PTO/SB/05 (04-05)

Approved for use through 07/31/2006. OMB 0651-0032

				ugii 0773 17200			
U.S. Patent and	Trademark	Office.	U.S.	DEPARTMEN	пο	F COMME	ERCE

Under the Paperwork Reduction Act of 1995, no persons are required to re-	U.S. Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE spond to a collection of information unless it displays a valid OMB control number.			
UTILITY	Attomey Docket No. SCALZI-METHOD			
PATENT APPLICATION	First Inventor Michael Scalzi			
TRANSMITTAL	Title METHOD FOR ACCELERATED DECHLOR			
(Only for new nonprovisional applications under 37 CFR 1.53(b))	Express Mail Label No.			
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	Commissioner for Patents ADDRESS TO: P.O. Box 1450 Alexandria VA 22313-1450			
1. Fee Transmittal Form (e.g., PTO/SB/17)	ACCOMPANYING APPLICATION PARTS			
(Submit an original and a duplicate for fee processing) 2. Applicant claims small entity status.	9. Assignment Papers (cover sheet & document(s))			
See 37 CFR 1.27. 3. Specification [Total Pages14] Both the claims and abstract must start on a new page	Name of Assignee			
(For information on the preferred emangement, see MEPE 608.01(a)) 4. Drawing(s) (35 U.S.C. 113) [Total Sheets]				
5. Oath or Declaration [Total Sheets]	10. 37 CFR 3.73(b) Statement Power of			
a. Newly executed (original or copy) b. A copy from a prior application (37 CFR 1.63(d))	(when there is an assignee) Attorney			
(for continuation/divisional with Box 18 completed) i. DELETION OF INVENTOR(S)	11. English Translation Document <i>(if applicable)</i>			
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	12. Information Disclosure Statement (PTO/SB/08 or PTO-1449)			
6. 🖌 Application Data Sheet. See 37 CFR 1.76	13.  Preliminary Amendment			
7. CD-ROM or CD-R in duplicate, large table or	14. [] Return Receipt Postcard (MPEP 503)			
Computer Program (Appendix)	(Should be specifically itemized)			
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, items e. – c. are required)	15. Certified Copy of Priority Document(s) (if foreign priority is claimed)			
a. Computer Readable Form (CRF) b. Specification Sequence Listing on:	16. Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i).			
i. CD-ROM or CD-R (2 copies); or	Applicant must attach form PTO/SB/35 or equivalent.			
ii. Deper				
c. Statements verifying identity of above copies 18. If a CONTINUING APPLICATION, <i>check appropriate box, and sup</i>				
specification following the title, or in an Application Data Sheet under 3				
	ation-in-part (CIP) of prior application No.:10/610,558			
Prior application information: Examiner Edward M. Johnson	DENCE ADDRESS			
The address associated with Customer Number:	099 OR Correspondence address below			
Name				
Address				
City State	Zip Code			
Country Telephone				
Name	Date C/13 10 6			
(Print/Type) Gregory'J. Gore	(Attorney/Agent) 30,057			

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Electronic Patent Application Fee Transmittal					
Application Number:					
Filing Date:					
Title of Invention:		METHOD FOR ACCELERATED DECHLORINATION OF MATTER			
First Named Inventor: Michael Scalzi					
Filer:	Gre	egory J. Gore/Mel	anie Rush		
Attorney Docket Number:		SCALZI-METHOD			
Filed as Small Entity					
Utility Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Utility filing Fee (Electronic filing)		4011	1	75	75
Utility Search Fee		2111	1	250	250
Utility Examination Fee		2311	1	100	100
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					2200 002

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Miscellaneous:				
	Tota	al in USE	) (\$)	425

Electronic Acl	Electronic Acknowledgement Receipt				
EFS ID:	1076535				
Application Number:	11423749				
Confirmation Number:	6408				
Title of Invention:	METHOD FOR ACCELERATED DECHLORINATION OF MATTER				
First Named Inventor:	Michael Scalzi				
Customer Number:	41599				
Filer:	Gregory J. Gore/Melanie Rush				
Filer Authorized By:	Gregory J. Gore				
Attorney Docket Number:	SCALZI-METHOD				
Receipt Date:	13-JUN-2006				
Filing Date:					
Time Stamp:	12:15:44				
Application Type:	Utility				
International Application Number:					

# Payment information:

Submitted with Payment	yes
Payment was successfully received in RAM	\$425
RAM confirmation Number	719
Deposit Account	

# File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi 8 Part 09	Pages
				o, i ago oc	

		1		1				
1		PatentAppl.pdf	80920	yes	13			
	Multipart Description							
	Doc De	Start	End					
	Specification		1	11				
	Claims		12	13				
Warnings:								
Information:								
2	Abstract	Abstract.pdf	34394	no	1			
Warnings:								
Information:								
3	Drawings	Drawing.pdf	172243	no	1			
Warnings:								
Information:								
4	Oath or Declaration filed	Declaration.pdf	544622	no	2			
Warnings:								
Information:								
5	Application Data Sheet	US_ADS_Form_SB_14.pdf	2615507	no	4			
Warnings:								
Information:								
6	Transmittal letter	Transmittal.pdf	537900	no	1			
Warnings:								
Information:								
7	Fee Worksheet (PTO-875)	fee-info.pdf	8363	no	2			
Warnings:		·		·				
Information:								
		Total Files Size (in bytes):	39	993949				

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.