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	UTILITY		Attorney Docket No.	ALI-001		
PAT	ENT APPLICATION	NC	First Inventor	Scott Fullam		
TRANSMITTAL			Title ,	COMPONENT PROTECTIVE		
(Only for new no	onprovisional applications under 37	CFR 1.53(b))	Express Mail Label No.	N/A		
	PPLICATION ELEMENT: or 600 concerning utility patent appli		ADDRESS TO:	Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450		
1. Fee Transm	nittal Form (e.g., PTO/SB/17)		ACCOMPAN	YING APPLICATION PARTS		
2. Applicant claims small entity status. See 37 CFR 1.27.			Assignment Papers (cover sheet & document(s))			
3. Specification [Total Pages 32 ] Both the claims and abstract must start on a new page (For information on the preferred arrangement, see MPEP 608,01(a)) 4. Drawing(s) (35 U.S.C. 113) [Total Sheets 10 ]			Name of Ass	ignee		
	xecuted (original or copy)	ets]	10. 37 CFR 3.73(b (when there i	Statement Power of Attorney		
(for cont	rom a prior application (37 CFF inuation/divisional with Box 18		11. English Translation Document (if applicable)			
i. DELETION OF INVENTOR(S)  Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).			12. Information Disclosure Statement (PTO/SB/08 or PTO-1449) Copies of citations attached			
6. Application Data Sheet, See 37 CFR 1.76			13. Preliminary Amendment			
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) Landscape Table on CD			14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)			
8. Nucleotide and/or Amino Acid Sequence Submission (If applicable, items a. – c. are required) a. Computer Readable Form (CRF)			15. Certified Copy of Priority Document(s) (if foreign priority is claimed)			
b. Specification Sequence Listing on:			16. Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or equivalent.			
i. CD-ROM or CD-R (2 copies); or ii. Paper			17. Other:			
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Name (Print/Type)	Scott S. Kokka			Registration No. (Attorney/Agent) 51,893		

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Papartment of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## **Application Data Sheet**

### **Application Information**

Filing Date:: June 10, 2011

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Subject Matter:: Utility

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OVERMOLDING

Attorney Docket Number:: ALI-001

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Request for Non-Publication?:: No

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Total Drawing Sheets:: 10

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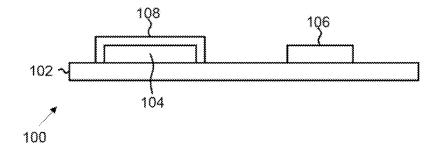


FIG. 1

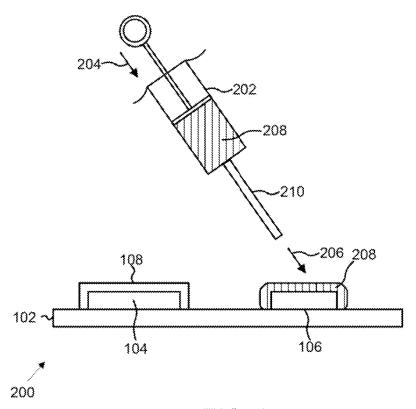


FIG. 2

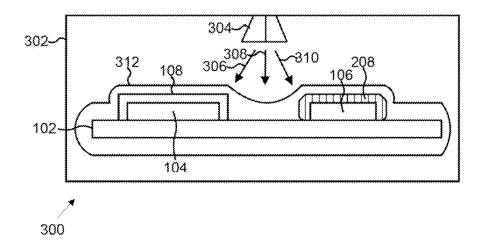


FIG. 3

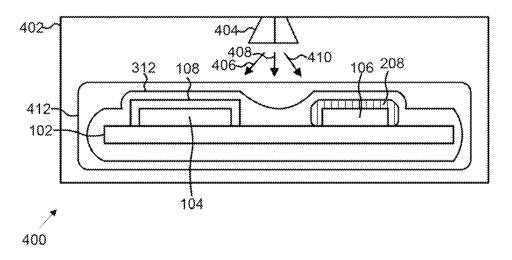


FIG. 4

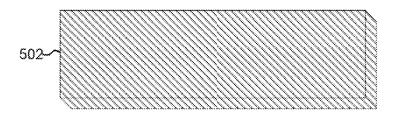


FIG. 5A

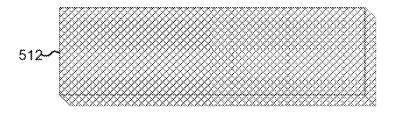


FIG. 5B

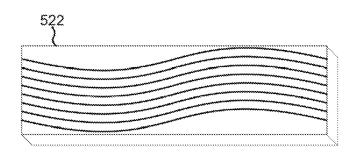


FIG. 5C

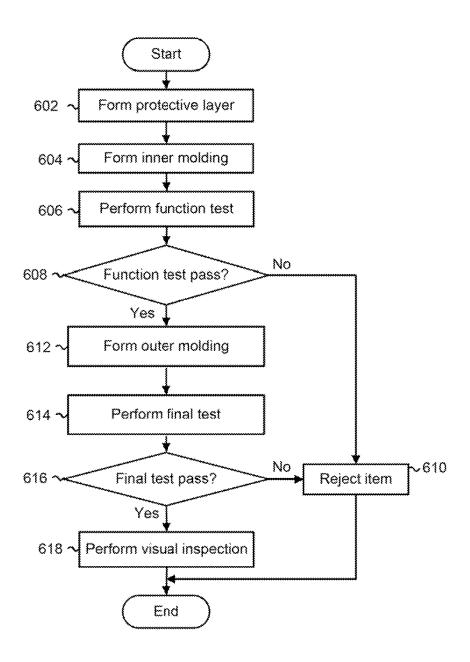


FIG. 6A

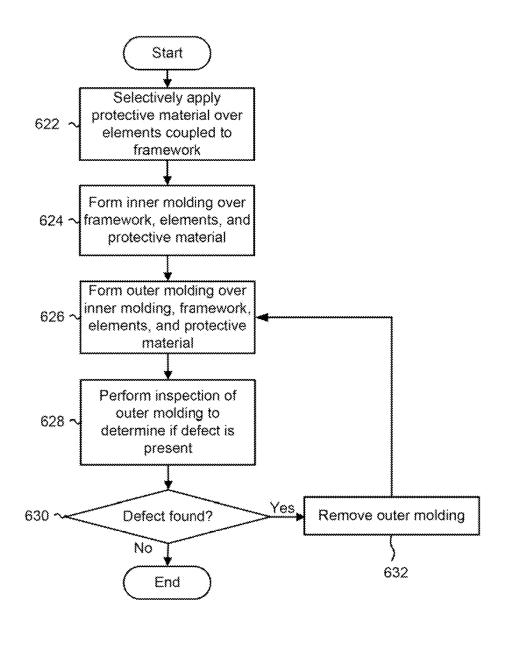


FIG. 6B

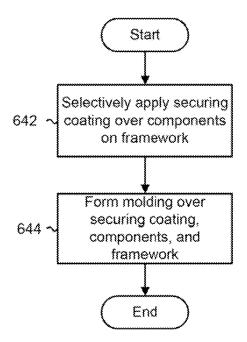




FIG. 6C

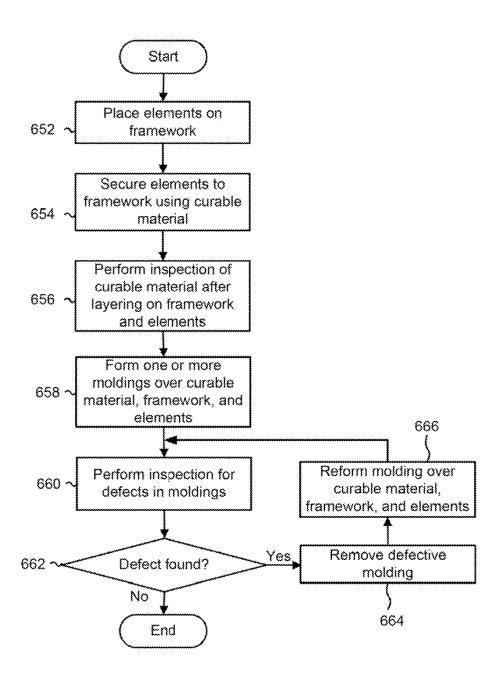
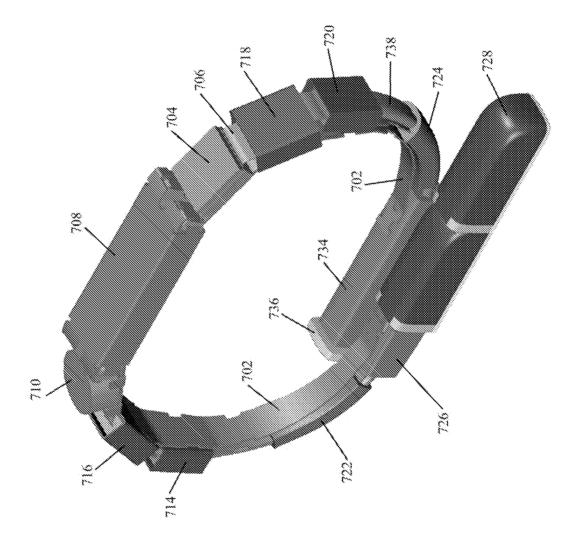
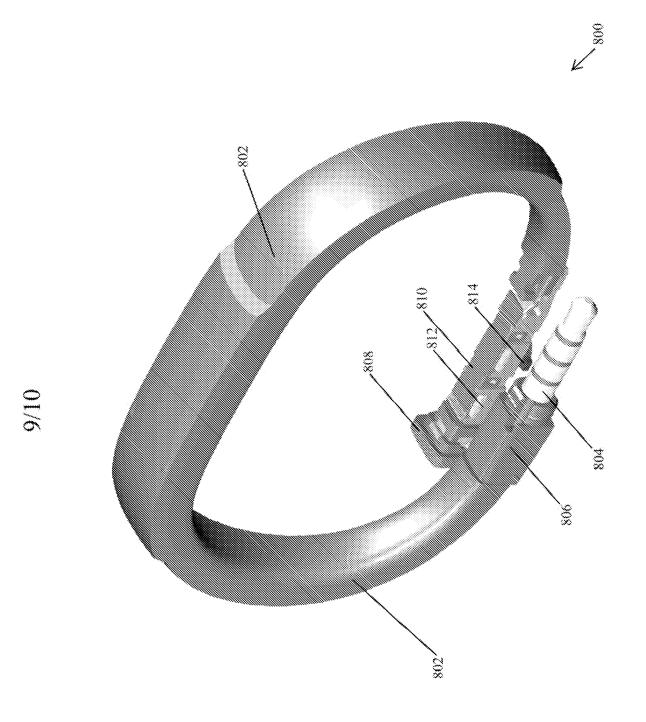


FIG. 6D











Electronic Acknowledgement Receipt		
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Customer Number:	15516	
Filer:	Scott Susumu Kokka	
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Attorney Docket Number:	ALI-001	
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1		ALI-001_Application_asfiled. pdf	10530251 b1bc4ef54f7798e76d87ba48353bb283889 55715	yes	46	

	Multipart Description/PDF files in .zip description		
	Document Description	Start	End
	Transmittal of New Application	1	1
	Application Data Sheet	2	4
	Specification	5	29
	Claims	30	35
	Abstract	36	36
	Drawings-only black and white line drawings	37	46
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#### **New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

# APPLICATION FOR UNITED STATES PATENT COMPONENT PROTECTIVE OVERMOLDING

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#### COMPONENT PROTECTIVE OVERMOLDING

#### **FIELD**

- [0001] The present invention relates generally to electrical and electronic hardware, computer software, wired and wireless network communications, and computing devices.
- 5 More specifically, techniques for component protective overmolding are described.

#### **BACKGROUND**

- [0002] With the advent of greater computing capabilities in smaller mobile form factors and an increasing number of applications (i.e., computer and Internet software or programs) for different uses, consumers (i.e., users) have access to large amounts of data, personal or otherwise. Information and data are often readily available, but poorly captured using conventional data capture devices. Conventional devices typically lack capabilities that can record, store, analyze, communicate, or use data in a contextually-meaningful, comprehensive, and efficient manner. Further, conventional solutions are often limited to specific individual purposes or uses, demanding that users invest in multiple devices in order to perform different activities (e.g., a sports watch for tracking time and distance, a GPS receiver for monitoring a hike or run, a cyclometer for gathering cycling data, and others). Although a wide range of data and information is available, conventional devices and applications generally fail to provide effective solutions that comprehensively capture data for a given user across numerous disparate activities.
  - [0003] Some conventional solutions combine a small number of discrete functions. Functionality for data capture, processing, storage, or communication in conventional

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devices such as a watch or timer with a heart rate monitor or global positioning system ("GPS") receiver are available, but are expensive to manufacture and typically require purchasing multiple, expensive devices. Other conventional solutions for combining data capture facilities often present numerous design and manufacturing problems such as size specifications, materials requirements, lowered tolerances for defects such as pits or holes in coverings for water-resistant or waterproof devices, unreliability, higher failure rates, increased manufacturing time, and expense. Subsequently, conventional devices such as fitness watches, heart rate monitors, GPS-enabled fitness monitors, health monitors (e.g., diabetic blood sugar testing units), digital voice recorders, pedometers, altimeters, and other conventional data capture devices are generally manufactured for conditions that occur in a single or small groupings of activities and, subsequently, are limited in terms of commercial appeal to consumers.

[0004] Generally, if the number of data inputs accessible by conventional data capture devices increases, there is a corresponding rise in design and manufacturing requirements and device size that results in significant consumer expense and/or decreased consumer appeal, which eventually becomes prohibitive to both investment and commercialization. Still further, conventional manufacturing techniques are often limited and ineffective at meeting increased requirements to protect sensitive hardware, circuitry, and other components that are susceptible to damage, but which are required to perform various data capture activities. As a conventional example, sensitive electronic components such as printed circuit board assemblies ("PCBA"), sensors, and computer memory (hereafter "memory") can be significantly damaged or destroyed during

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manufacturing processes where protective overmoldings or layers of material occurs using techniques such as injection molding, cold molding, and others. Damaged or destroyed items subsequently raises the cost of goods sold and can deter not only investment and commercialization, but also innovation in data capture and analysis technologies, which are highly compelling fields of opportunity.

[0005] Thus, what is needed is a solution for efficiently manufacturing devices without the limitations of conventional techniques.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

- [0006] Various embodiments or examples ("examples") are disclosed in the following detailed description and the accompanying drawings:
- [0007] FIG. 1 illustrates a cross-sectional view of an exemplary process for providing
- 5 protective material in component protective overmolding;
  - [0008] FIG. 2 illustrates another cross-sectional view of an exemplary process for providing protective material in component protective overmolding.
  - [0009] FIG. 3 illustrates a cross-sectional view of an exemplary process for forming an inner molding in component protective overmolding;
- 10 [0010] FIG. 4 illustrates another cross-sectional view of an exemplary process for forming an outer molding in component protective overmolding;
  - [0011] FIG. 5A illustrates an exemplary design applied during component protective overmolding;
  - [0012] FIG. 5B illustrates another exemplary design applied during component protective overmolding;
  - [0013] FIG. 5C illustrates a further exemplary design applied during component protective overmolding;
  - [0014] FIG. 6A illustrates an exemplary process for component protective overmolding;
- 20 [0015] FIG. 6B illustrates an alternative exemplary process for component protective overmolding;

- [0016] FIG. 6C illustrates another alternative exemplary process for component protective overmolding;
- [0017] FIG. 6D illustrates yet another alternative exemplary process for component protective overmolding;
- 5 [0018] FIG. 7 illustrates a view of an exemplary data-capable strapband configured to receive overmolding;
  - [0019] FIG. 8 illustrates a view of an exemplary data-capable strapband having a first molding; and
- [0020] FIG. 9 illustrates a view of an exemplary data-capable strapband having a second molding.

#### **DETAILED DESCRIPTION**

[0021] Various embodiments or examples may be implemented in numerous ways, including as a system, a process, an apparatus, a user interface, or a series of program instructions on a computer readable medium such as a computer readable storage medium or a computer network where the program instructions are sent over optical, electronic, or wireless communication links. In general, operations of disclosed processes may be performed in an arbitrary order, unless otherwise provided in the claims.

[0022] A detailed description of one or more examples is provided below along with accompanying figures. The detailed description is provided in connection with such examples, but is not limited to any particular example. The scope is limited only by the claims and numerous alternatives, modifications, and equivalents are encompassed. Numerous specific details are set forth in the following description in order to provide a thorough understanding. These details are provided for the purpose of example and the described techniques may be practiced according to the claims without some or all of these specific details. For clarity, technical material that is known in the technical fields related to the examples has not been described in detail to avoid unnecessarily obscuring the description.

[0023] FIG. 1 illustrates a cross-sectional view of an exemplary process for providing protective material in data-capable strapband overmolding. Here, device 100 includes framework 102, elements 104-106, and covering 108. In some examples, framework 102 may be referred to interchangeably as a substrate, wafer, board (printed, unprinted, or otherwise), or other surface upon which elements 104-106 may be mounted, placed, or

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otherwise fixed. The type and configuration of elements may be varied and are not limited to any given type of electrical, electronic, or mechanical component. For example, element 104 may be implemented as a microvibrator or motor configured to provide a vibratory signal for an alarm or other indicator. Element 104 may also be a printed circuit board assembly ("PCBA"), logic, processor, microprocessor, memory (e.g., solid state, RAM, ROM, DRAM, SDRAM, or others), or other computing element and is not limited to any specific type of component. Further, element 104 may be coupled electrically or electronically to element 106, which may also be an electrical, electronic, or mechanical component that can be placed on framework 102. When placed on framework 102, elements 104-106 may be fixed using various techniques, including adhesives, mechanical fixing structures (e.g., posts and holes), or others, without limitation.

[0024] As shown, covering 108 may be placed over element 104 in order to protect the latter from damage resulting from the application of subsequent layers, coverings, moldings, or other protective material, regardless of environmental conditions (e.g., temperature, pressure, thickness, and others). As shown, element 104 is covered by covering 108 and element 106 is uncovered. However, other protective materials may be used to cover element 106. In still other examples, protective materials such as covering 108 may not be used if elements 104 or 106 are manufactured to resist the formation, deposit, layering, or covering of other protective materials at various temperatures, pressures, or other atmospheric conditions. In other examples, device 100 and the above-described elements may be varied and are not limited to those shown and described.

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[0025] FIG. 2 illustrates another cross-sectional view of an exemplary process for providing protective material in data-capable strapband overmolding. Here, device 200 includes framework 102, elements 104-106, covering 108, syringe 202, arrows 204-206, and protective coating 208. In some examples, covering 108 and protective coating 208 may be referred to as "protective material" interchangeably and without limitation. As shown, like numbered elements shown in this drawing and others may refer to the same or a substantially similar element previously described.

[0026] In some examples, an applicator (e.g., syringe 202) may be used to selectively apply protective coating 208 to cover as a protective layer over element 106. As used herein, "selectively applying" may refer to the application, placement, positioning, formation, deposition, growth, or the like, of protective material to one, some, all, or none of any underlying elements (e.g., elements 104-106). In some examples, "protective material" may also be used interchangeably with "protective layer," "covering," "housing," or "structure" regardless of the composition of material or matter used, without limitation. In other words, covering 108 and protective coating 208 may each be referred to as "protective material" and used to protect underlying elements (e.g.,

[0027] When the plunger of syringe 202 is depressed in the direction of arrow 204, protective coating 208 is forced through applicator tip 210 and applied as a protective layer over element 106. As an example, protective coating 208 may be applied at substantially atmospheric pressure by applying 1-2 psi of pressure to the plunger of syringe 202. When applied, protective coating 208 may be, for example, an ultraviolet

elements 104-106 (FIG. 1)) as described herein.

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("UV") curable adhesive or other material. In other words, when protective coating 208 is applied (i.e., layered over element 106) and exposed to ultraviolet radiation (or other curing conditions) at levels similar to those found in natural sunlight or artificial light, it coalesces and hardens into a covering that prevents the underlying element (e.g., element 106) from being damaged when other protective materials or layers are applied such as those shown and described below. Exemplary types of protective coating 208 may include coatings, adhesives, gels, liquids, or any other type of material that hardens to protect, prevent, minimize, or otherwise aid in avoiding damage to a protected element. Examples of UV curable coatings include Loctite® coatings produced by Henkel & Co AG of Dusseldorf, Germany such as, for example, Loctite® 5083 curable coating. Other types of curable coatings, in addition to those that are UV curable, may be used to protect underlying elements without limitation or restriction to any given type. [0028] In some examples, protective material such as Loctite® or others may be applied selectively to one, some, or all electrical, electronic, mechanical, or other elements. Protective coating 208 may also be applied in different environmental conditions (e.g., atmospheric pressure, under vacuum, in a molding cavity or chamber,

but not element 104, the latter of which is being protected by covering 108. As an alternative, covering 108 may be used as protective material in the form of an enclosure or physical structure that is used to protect an underlying element. As described herein, protective coating 208 may be selectively applied by determining whether sensitive

within a deposition chamber, or the like) and is not limited to the examples shown and

described. As shown, protective coating 208 has been selectively applied to element 106,

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components, parts, or other elements ("elements") are susceptible to damage or destruction from subsequent processes, for example, to deposit additional protective layers, such as those described in greater detail below. In other examples, device 200 and the above-described elements may be varied in function, structure, configuration,

implementation, or other aspects and are not limited to those provided.

[0029] FIG. 3 illustrates a cross-sectional view of an exemplary process for forming an inner molding in data-capable strapband overmolding. Here, device 300 includes framework 102, elements 104-106, covering 108, syringe 202, arrows 204-206, protective coating 208, mold cavity 302, nozzle 304, arrows 306-310, and inner molding 312. In some examples, framework 102 and elements 104-106 having selectively applied protective coating 208 may be placed in mold cavity 302 where another protective layer or coating (e.g., inner molding 312) may be applied from nozzle 304 in the direction of arrows 306-310. Types of materials that may be used for inner molding 312 include plastics, thermoplastics, thermoplastic elastomers, polymers, elastomers, or any other organic or inorganic material that can molded in mold cavity 302. As shown, mold cavity 302 may be implemented using a variety of molding techniques. For example, an injection molding machine may be used to inject a thermoplastic polymer elastomer ("TPE") into mold cavity 302. When injected under temperature (e.g., 400 to 460 degrees Fahrenheit) and pressure (e.g., 200 to 600 psi, but which may be adjusted to higher or lower pressure, without limitation), inner molding 208 forms a protective layer around framework 102, elements 104-106, covering 108, protective coating 208, providing a layer of additional protective material (e.g., inner molding 312), which may

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completely or incompletely surround an object (e.g., framework 102). In some examples, inner molding 312 may be formed to provide a watertight or hermetic seal around framework 102 and elements 104-106. Types of materials that may be used as inner molding 312 include TPEs such as Versaflex 9545-1 as manufactured by PolyOne

- Corporation of McHenry, Illinois. Other types of materials such as epoxies, polymers, elastomers, thermoplastics, thermoplastic polymers, thermoplastic polymer elastomers, and others may be used to form inner molding 312, without limitation to a specific material. In other examples, device 300 and the above-described elements may be varied in function, structure, configuration, implementation, or other aspects and are not limited to those provided.
  - [0030] FIG. 4 illustrates another cross-sectional view of an exemplary process for forming an outer molding in data-capable strapband overmolding. Here, device 400 includes framework 102, elements 104-106, covering 108, syringe 202, arrows 204-206, protective coating 208, inner molding 312, mold cavity 402, nozzle 404, arrows 406-410, and outer molding 412. In some examples, mold cavity 402 may be the same or different from that described above in connection with FIG. 3. In other words, mold cavity 402 may be the same mold cavity as mold cavity 302, but which is used to injection mold outer molding 412. As shown, framework 102, elements 104-106, protective coating 208, and inner molding 312 are placed in mold cavity 402. Material (e.g., TPE) may be injected through nozzle 404 in the direction of arrows 406-410 into mold cavity 402 in order to form outer molding 412. Once formed, sprue or other extraneous material may be present in inner molding 312 or outer molding 412, which may be removed after

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device 400 is taken out of molding cavity 402. A visual inspection, in some examples, may be performed to determine if defects are present in either inner molding 312 or outer molding 412. If defects are found in outer molding 412, then removal may occur and a new outer molding may be formed using mold cavity 402. The inspection and, if defects are found, the removal of outer molding 412 allows for higher quality moldings to be developed at a lower cost without requiring the discarding of sensitive, expensive electronics. Outer molding 412, in some examples, may also be used to provide surface ornamentation to a given object. The use of thermoplastics or TPE material may be used to form outer molding 412 and to provide material in which a surface texture, design, or pattern may be imprinted, contoured, or otherwise formed. In so doing, various types of patterns, designs, or textures may be formed of various types. For example, miniature "hills" and "valleys" may be formed in the protective material of outer molding 412 in order to produce a "denim" feel or texture to a given object. Examples of different patterns for outer molding 412 may be found in FIGs. 5A-5C, as shown by patterns 502, 512, and 522, respectively. Patterns 502, 512, and 522 are provided for purposes of illustration and are neither limiting nor restrictive with regard to the types, patterns, designs, or textures of surface ornamentation that may be applied to outer molding 412, as described herein. Protective material (e.g., TPE) injected into mold cavity 402 may be used to form these patterns. Various types of injection molding processes and equipment may be used and are not limited to any specific type, make, manufacture, model, or other specification.

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[0031] Referring back to FIG. 4, the use of the described techniques allows for more precise tolerances in forming moldings that are form-fitting to various types of devices. Still further, the use of the above-described techniques also allows for relatively small devices having sensitive electronics to be subjected to harsh environmental conditions during molding processes in order to form protective layers (e.g., inner molding 312, outer molding 412) over various types of devices. As shown and described, the disclosed techniques may be used on a variety of devices, without limitation or restriction. In other examples, device 400 and the above-described elements may be varied in function, structure, configuration, implementation, or other aspects and are not limited to those provided.

[0032] FIG. 6A illustrates an exemplary process for component protective overmolding. Here, the start of process 600 includes forming a protective layer on, for example, framework 102 (FIG. 1) (602). In some examples, a protective layer may refer to protective material, layers, or covers such as protective material 108 (FIG. 2) or structures that are formed to protect underlying elements (e.g., covering 108 (FIG. 1). Examples of material that may be used to form a protective layer include UV curable materials such as those described above, including coatings, adhesives, liquids, gels, and others that cure when exposed to ultraviolet radiation in various concentrations and exposure levels without limitation. After forming a protective layer (e.g., protective coating 208), an inner molding (e.g., inner molding 312 (FIG. 3)) is formed (604). After forming an inner molding, a function test is performed to determine whether the inner molding and protective layer have damaged the underlying item (606). In some

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examples, a function test may be performed as part of an inspection and include applying an electrical current to an underlying electronic element to identify proper voltage or current flow or other parameters that indicate whether damage has occurred during the formation of a protective layer, an inner molding, or, in other examples, an outer 5 molding. Inspections may be performed at various stages of the manufacturing process in order to identify defects early and reduce costs incurred with re-applying protective layers or moldings. In other examples, a function test may be performed to determine whether the inner molding has sufficiently coated desired underlying items (e.g., electrical, electronic, mechanical, or any structure or elements thereof that are being 10 protected from damage using one or more moldings). In still further examples, the function test may be performed to determine whether the formation of an inner molding damaged underlying items that were previously protected by the formation of protective layer, the latter of which may be performed outside of a mold device or cavity (e.g., mold cavity 302 (FIG. 3) or mold cavity 402 (FIG. 4)) at room temperature and/or atmospheric 15 conditions, including atmospheric or ambient temperatures, pressures, and humidity levels, without limitation.

[0033] In some examples, a determination is made as to whether a function test is passed or failed (608). Here, if an item having a protective layer and an inner molding fails to pass, the item is rejected and the process ends (610). Alternatively, if an item (e.g., framework 102 and elements 106-108 (FIG. 1)) fails to pass a function test due to the presence of one or more defects, the inner molding may be removed and re-applied. In other examples, the underlying item may be rejected (i.e., destroyed, recycled, or

otherwise removed from a lot of items that have successfully passed a function test). If a determination is made that a function test has passed as part of an inspection, then an outer molding is formed over the inner molding and protective layer (612).

[0034] In some examples, the protective layer, inner molding, and outer molding may be selectively, partially, or completely applied to a given item. As described here, an outer molding may also be configured to completely enclose or encase an underlying item in order to protect the inner molding, the protective layer, and any elements from damage. Further, outer molding may be used to form patterns, designs, or other surface features or contours for usable, functional, or aesthetic purposes. As shown here, after an outer molding is formed, a final test is performed to determine whether defects are present or the formation of the outer molding met desired parameters (e.g., did the outer molding fully coat an item, were any underlying items damaged, and the like) (614). In some examples, a final test may also be a function test, as described above. In other examples, a final test may also evaluate an item coated with an outer molding for other purposes. If the final test is not passed, then the item may be rejected and, in some examples, the outer molding may be removed and re-applied (i.e., re-formed) (610). In other example, a failed final test may also result in the item being rejected and destroyed, recycled, or otherwise handled as unacceptable. Finally, after a final test is performed a visual inspection may be performed to determine whether an item has been covered by the formed outer molding as desired (618). In other examples, process 600 may be implemented differently in the order, function, configuration, or other aspects described and is not limited to the examples shown and described above.

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[0035] FIG. 6B illustrates an alternative exemplary process for component protective overmolding. Here, process 620 beings be selectively applying protective material (e.g., protective coating 208 (FIG. 2)) to one or more elements (e.g., electrical, electronic, mechanical, structural, or others) (622). In some examples, selectively applying 5 protective material may include manually using an applicator (e.g., syringe 202 (FIG. 2) or any other type of instrument, device, tool, or implement used to apply protective material) to deposit a layer, covering, coating, or the like over a desired element. In other examples, selectively applying may also include the application of protective material to one, some, all, or none of the elements present on a given item. In other words, 10 selectively applying protective material may be performed uniformly or non-uniformly without limitation. Types of protective materials may include curable or non-curable materials such as those described above, including UV-curable coatings that, when exposed to ultraviolet radiation, cure. In other examples, other types of coatings may be used that, when exposed to artificial or man-made conditions, cure. Still further, other . 15 types of coatings may be used to form a protective layer (i.e., protective material) over sensitive elements that may require the combination of two or more materials, chemicals, or compounds, such as epoxies, polymers, elastomers, and the like, without limitation. [0036] Here, after selectively applying protective material an inner molding is formed over a framework, associated elements (i.e., elements coupled to the framework), and the 20 previously, selectively-applied protective material (624). As an example of a framework, a "strapband" or, as used herein, "band" may refer to a wearable device that is configured for various data capture, analysis, communication, and other purposes. In some

examples, a band may refer to a wearable personal data capture device that, when worn, may be used to record and store various types of data associated with a given person's motion, behavior, and physical characteristics (e.g., body temperature, salinity, blood sugar, heart rate, respiration rate, movement, and many others, without limitation). In other examples, a band may be implemented using hardware, software, and firmware, where application-specific programs may be downloaded onto a memory that is included as an element and protected using the described overmolding processes. A band may be implemented as described below in connection with FIGs. 7-9.

[0037] Referring back to FIG. 6B, an outer molding is formed over the inner molding, the framework, its elements, and the protective material (626). After the outer molding is formed, an inspection of the outer molding is performed to determine whether a defect is present (628). As used herein, an inspection may refer to any type of process (e.g., automatic, semi-automatic, manual, robotic, visual, structural, radiological, electrical, or others) that is used to determine whether a defect is present. In some examples, an inspection may include one or more function (i.e., functional) tests to determine whether a coated (i.e., item receiving protective material and protective layers or coatings) has been damaged during the layering process. If a defect (e.g., a damaged item or defective molding) is found, then the outer molding is removed (632) and formed again over the inner molding, framework, elements, and protective material (626). If no defect is found, then the process ends. Examples of materials that may be used for moldings (e.g., inner molding, outer molding) in process 620 include plastics, thermoplastic elastomers, polymers, thermoplastic polymer elastomers,

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epoxics, alloys, metals, or any other type of organic or synthetic material, without limitation. In other examples, process 620 may be implemented differently in the order, function, configuration, or other aspects provided and is not limited to the examples shown and described above.

5 [0038] FIG. 6C illustrates another alternative exemplary process for component protective overmolding. Here, an alternative 2-stage process 640 for component protective overmolding may be performed. First, selective application of a securing coating over components placed on, for example, a framework, may be performed (642). As used herein, a securing coating may refer to any type of protective material, layer, 10 cover, structure, liquid, gel, solid, or the like that is placed substantially (i.e., partially or entirely) over an item in order to prevent damage from later stages of a manufacturing process (e.g., introduction into mold cavity 302 (FIG. 3) or mold cavity 402 (FIG. 4) in which rigorous temperatures, pressures, or other environmental conditions are created in order to apply other coated materials. Further, due to the size and relatively sensitive 15 operating, manufacturing, and performance characteristics of various electrical, electronic, mechanical, or structural features (e.g., microprocessors, solid state computer memories, control logic and circuitry, microvibrators, motors, motor controllers, batteries, battery modules, battery controllers, and the like), the addition of protective material can prevent inadvertent damage and increased costs occurring during the 20 manufacturing of finished products. As an example, consumer electronics devices receiving both aesthetic and functional protective overmoldings (i.e., moldings) can be expensive to manufacture because, for each damage underlying electronic component, an entire unit must be discarded. However, by using the described techniques to protect sensitive and expensive elements by replacing moldings as opposed to entire partially-finished items, manufacturing costs can be significantly reduced, thus increasing profit margins and incentives for individuals and enterprises to commercially invest in manufacturing devices that can advantageously capture, analyze, use, communicate (via wired or wireless data communication facilities (e.g., network interface cards (NICs), wireless radios using various types of wireless data communication protocols for short, medium, and long-range communication (e.g., Bluetooth<sup>TM</sup>, ZigBee, ANT<sup>TM</sup>, WiFi, WiMax, and others), and the like), or otherwise use valuable and abundant personal data.

As an example of these types of devices, a strapband or band may be a wearable device that is configured to capture data such as that described above. Sensitive elements of various sizes and shapes may be protected from damage occurring during later stages of protective overmolding (i.e., application of protective layers, covers, molds, or the like) using the described techniques.

[0039] Here, after applying a securing coating, another molding may be formed over the securing coating, band, and components (e.g., elements) (644). As described here and above, the application of one or more moldings may be performed to both secure and protect underlying items (e.g., components or elements) of a finished product for various conditions such as use, weather, shock, temperature, or other environmental conditions to which finished products (e.g., band) may be subjected. In other examples, process 620 may be implemented differently in the order, function, configuration, or other aspects provided and is not limited to the examples shown and described above.

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[0040] FIG. 6D illustrates yet another alternative exemplary process for component protective overmolding. Here, process 650 begins by placing one or more elements on a framework (652). In some examples, the one or more elements may be placed on a part of a framework (not shown) or other support structure configured to provide a substrate or base support. Once placed, the elements are coated using a curable material (654). As an example of a curable material, Loctite® 5083 UV curable coating may be layered (i.e., deposited, poured, injected, layered, or otherwise covered) over the elements and the framework. The curable material may be comprehensively, universally, uniformly, semiuniformly, irregularly, or selectively placed so that some elements are covered while others are left uncovered. Reasons for selectively applying the curable coating may include other elements being protected from damage during the molding process using physical structures (e.g., covering 108) and yet others being manufactured to withstand the environmental conditions (e.g., temperature ranges between 400 and 460 degrees Fahrenheit and injection nozzle pressures of 200 to 600 pounds per square inch (psi)) of molding cavity 302 (FIG. 3) or 402 (FIG. 4) without using protective material. [0041] After securing elements to a framework using curable material (e.g., UV curable coating, which may also be replaced with other types of curable coating, without limitation or restriction to any specific type), an inspection may be performed to determine whether there are any defects, gaps, openings, or other susceptibilities that can be anticipated before applying the first or inner molding (656). After performing an

inspection on the curable coating, one or more moldings may be formed over the curable

material (i.e., coating), framework, and elements (658) after which an inspection may be

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performed to determine whether there are defects in the molding(s) (660). During the inspection, a determination is made as to whether a defect has been found in one or more moldings (662). If a defect is found, the defective molding is removed (664) and another molding may be reformed over the curable material, framework, and elements (666). By 5 enabling a defective molding to be replaced without requiring the discard of a framework and its associated elements (e.g., electrical and electronic components such as microprocessors, processors, data storage and computer memory, sensors (e.g., accelerometers, motion/audio/light sensors, velocimeters, pedometers, altimeters, heart rate monitors, barometers, chemical/protein detectors, and others, without limitation), 10 mechanical and structural features or functionality), substantial costs can be saved thus enabling devices to be produced at lower costs to consumers and business alike. In other examples, process 650 may be implemented differently in the order, function, configuration, or other aspects provided and is not limited to the examples shown and described above.

15 [0042] FIG. 7 illustrates a side view of an exemplary data-capable strapband configured to receive overmolding. Here, band 700 includes framework 702, covering 704, flexible circuit 706, covering 708, motor 710, coverings 714-724, analog audio plug 726, accessory 728, control housing 734, control 736, and flexible circuit 738. In some examples, band 700 is shown with various elements (i.e., covering 704, flexible circuit 706, covering 708, motor 710, coverings 714-724, analog audio plug 726, accessory 728, control housing 734, control 736, and flexible circuit 738) coupled to framework 702. Coverings 708, 714-724 and control housing 734 may be configured to protect various

types of elements, which may be electrical, electronic, mechanical, structural, or of another type, without limitation. For example, covering 708 may be used to protect a battery and power management module from protective material formed around band 700 during an injection molding operation. As another example, housing 704 may be used to protect a printed circuit board assembly ("PCBA") from similar damage. Further, control 5 housing 734 may be used to protect various types of user interfaces (e.g., switches, buttons, lights, light-emitting diodes, or other control features and functionality) from damage. In other examples, the elements shown may be varied in quantity, type, manufacturer, specification, function, structure, or other aspects in order to provide data 10 capture, communication, analysis, usage, and other capabilities to band 700, which may be worn by a user around a wrist, arm, leg, ankle, neck or other protrusion or aperture, without restriction. Band 700, in some examples, illustrates an initial unlayered device that may be protected using the techniques for protective overmolding as described above.

- 15 [0043] FIG. 8 illustrates a view of an exemplary data-capable strapband having a first molding. Here, band 800 includes molding 802, analog audio plug (hereafter "plug") 804, plug housing 806, button 808, framework 810, control housing 812, and indicator light 814. In some examples, a first protective overmolding (i.e., molding 802) has been applied over band 700 (FIG. 7) and the above-described elements (e.g., covering 704, flexible circuit 706, covering 708, motor 710, coverings 714-724, analog audio plug 726,
  - accessory 728, control housing 734, control 736, and flexible circuit 738) leaving some elements partially exposed (e.g., plug 804, plug housing 806, button 808, framework 810,

control housing 812, and indicator light 814). However, internal PCBAs, flexible connectors, circuitry, and other sensitive elements have been protectively covered with a first or inner molding that can be configured to further protect band 800 from subsequent moldings formed over band 800 using the above-described techniques. In other 5 examples, the type, configuration, location, shape, design, layout, or other aspects of band 800 may be varied and are not limited to those shown and described. For example, plug 804 may be removed if a wireless communication facility is instead attached to framework 810, thus having a transceiver, logic, and antenna instead being protected by molding 802. As another example, button 808 may be removed and replaced by another 10 control mechanism (e.g., an accelerometer that provides motion data to a processor that, using firmware and/or an application, can identify and resolve different types of motion that band 800 is undergoing), thus enabling molding 802 to be extended more fully, if not completely, over band 800. In yet other examples, molding 802 may be shaped or formed differently and is not intended to be limited to the specific examples shown and 15 described for purposes of illustration.

[0044] FIG. 9 illustrates a view of an exemplary data-capable strapband having a second molding. Here, band 900 includes molding 902, plug 904, and button 906. As shown another overmolding or protective material has been formed by injection molding, for example, molding 902 over band 900. As another molding or covering layer, molding 902 may also be configured to receive surface designs, raised textures, or patterns, which may be used to add to the commercial appeal of band 900. In some examples, band 900 may be illustrative of a finished data capable strapband (i.e., band 700 (FIG. 7), 800

(FIG. 8) or 900) that may be configured to provide a wide range of electrical, electronic, mechanical, structural, photonic, or other capabilities.

[0045] Here, band 900 may be configured to perform data communication with one or more other data-capable devices (e.g., other bands, computers, networked computers, clients, servers, peers, and the like) using wired or wireless features. For example, a TRRS-type analog audio plug may be used (e.g., plug 904), in connection with firmware and software that allow for the transmission of audio tones to send or receive encoded data, which may be performed using a variety of encoded waveforms and protocols, without limitation. In other examples, plug 904 may be removed and instead replaced with a wireless communication facility that is protected by molding 902. If using a wireless communication facility and protocol, band 900 may communicate with other data-capable devices such as cell phones, smart phones, computers (e.g., desktop, laptop, notebook, tablet, and the like), computing networks and clouds, and other types of datacapable devices, without limitation. In still other examples, band 900 and the elements described above in connection with FIGs. 1-9, may be varied in type, configuration, function, structure, or other aspects, without limitation to any of the examples shown and described.

[0046] Although the foregoing examples have been described in some detail for purposes of clarity of understanding, the above-described inventive techniques are not limited to the details provided. There are many alternative ways of implementing the above-described invention techniques. The disclosed examples are illustrative and not restrictive.

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What is claimed:

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A method, comprising:

selectively applying protective material substantially over one or more elements coupled to a framework;

molding a first protective layer over the framework the one or more elements, and the protective material, after the protective material has been selectively applied, the first protective layer being configured to cover the framework and each of the one or more elements; and

- molding a second protective layer over the first protective layer, the first protective layer being configured to cover the framework and to provide a cosmetic surface configured to receive a pattern, the second protective layer being removable if a defect is yielded during an inspection.
- 15 2. The method of claim 1, further comprising performing an inspection after molding the first protective layer and before molding the second protective layer.
  - 3. The method of claim 1, further comprising performing an inspection after molding the second protective layer.

- 4. The method of claim 1, wherein the second protective layer is removed if an inspection after molding the second protective layer yields a defect in the second protective layer.
- 5 5. The method of claim 1, wherein the protective material comprises an ultra violet curable material.
  - 6. The method of claim 1, wherein the first protective layer comprises a thermoplastic.
- 10 7. The method of claim 1, wherein the first protective layer comprises a polymer.
  - 8. The method of claim 1, wherein the first protective layer comprises an elastomer.
- 9. The method of claim 1, wherein the first protective layer comprises a thermoplastic15 elastomer.

## 10. A method, comprising:

selectively applying protective material substantially over one or more elements coupled to a framework;

forming a first protective layer substantially over the framework, the one or

more elements, and the protective material, after the protective material has been
selectively applied; and

forming a second protective layer substantially over the first protective layer, the first protective layer being configured to protect the framework and to provide a cosmetic surface, the second protective layer being removable if a defect is yielded during an inspection.

- 11. The method of claim 10, wherein the protective material comprises a metal housing configured to cover at least one of the one or more elements.
- 15 12. The method of claim 10, wherein the protective material comprises an epoxy.
  - 13. The method of claim 10, wherein the protective material comprises an ultra violet curable material configured to prevent damage to the one or more elements when the first protective layer or the second protective layer are formed.

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14. The method of claim 10, wherein the one or more elements comprises a structure configured to use electrical power from a battery to generate a vibration.

- 15. The method of claim 10, wherein the one or more elements comprises a printed circuit board assembly.
- 5 16. The method of claim 10, wherein the one or more elements comprises a memory configured to store data.
  - 17. The method of claim 10, wherein the one or more elements comprises a processor.
- 10 18. A method, comprising:

selectively applying a protective material substantially over one or more elements coupled to a framework; and

forming a molding substantially over the framework, the one or more elements coupled to the framework, and the protective material, an outer surface of the molding being configured to receive a cosmetic design.

19. A method, comprising:

selectively applying a protective material substantially over one or more elements coupled to a framework;

forming an inner molding substantially over the framework, the one or more elements coupled to the framework, and the protective material, the inner molding being formed after the protective material has been selectively applied; and

forming an outer molding substantially over the inner molding, the outer molding being configured to protect the framework and to provide a surface configured to receive a pattern, the outer molding being removable if a defect is found during an inspection performed after the outer molding is formed.

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- 20. The method of claim 19, wherein the framework comprises a metal.
- 21. The method of claim 19, wherein the framework comprises an alloy.
- 10 22. The method of claim 19, wherein at least one of the one or more elements is an accelerometer.
  - 23. The method of claim 19, wherein at least one of the one or more elements is an analog audio plug.

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- 24. The method of claim 22, wherein the analog audio plug is a TRRS.
- 25. The method of claim 19, further comprising forming another outer molding if the defect is found in the outer molding, the another outer molding being formed after the outer molding is removed.

- 26. The method of claim 19, wherein the outer molding is configured to be impermeable.
- 27. The method of claim 19, wherein the second protective material is configured to
- 5 receive a pattern formed on an outer surface of the second protective material.

# **COMPONENT PROTECTIVE OVERMOLDING**

#### **ABSTRACT**

Techniques for component protective overmolding include selectively
applying a protective material substantially over one or more elements coupled to a

5 framework, forming an inner molding substantially over the framework, the one or more
electrical elements coupled to the framework, and the protective material. In some
examples, the inner molding is formed after the protective material has been selectively
applied, forming an outer molding substantially over the inner molding and the outer
molding is configured to protect the framework and to provide a surface configured to
10 receive a pattern. Further, the outer molding may be configured to be removable if a
defect is found during an inspection performed after the outer molding is formed.

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Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

Scott Fullam, Palo Alto, CA; Skip Orvis, Sunnyvale, CA;

**Assignment For Published Patent Application** 

AliphCom, Inc., Sunnyvale, CA

Power of Attorney: None

Domestic Priority data as claimed by applicant

**Foreign Applications** (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <a href="http://www.uspto.gov">http://www.uspto.gov</a> for more information.)

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Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

page 1 of 3

#### Title

COMPONENT PROTECTIVE OVERMOLDING

#### **Preliminary Class**

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as

page 2 of 3

set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450

Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER 13/158.372

FILING OR 371(C) DATE 06/10/2011

FIRST NAMED APPLICANT

Scott Fullam

ATTY. DOCKET NO./TITLE
ALI-001

CONFIRMATION NO. 9277

**FORMALITIES LETTER** 

\*OC00000048355723\*

Date Mailed: 06/23/2011

15516 Kokka & Backus, PC 703 Hight Street Palo Alto, CA 94301

#### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

#### FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

#### **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
- Applicant must submit \$330 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- Note: If a petition under 37 CFR 1.47 is being filed, an oath or declaration in compliance with 37 CFR 1.63 signed by all available joint inventors, or if no inventor is available by a party with sufficient proprietary interest, is required.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$584 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due
- A surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.16(f) of \$130 for a non-small entity, must be submitted.

#### **SUMMARY OF FEES DUE:**

Total fee(s) required within TWO MONTHS from the date of this Notice is \$1804 for a non-small entity

- \$330 Statutory basic filing fee.
- \$130 Surcharge.
- The application search fee has not been paid. Applicant must submit \$540 to complete the search fee.

page 1 of 2

- The application examination fee has not been paid. Applicant must submit \$220 to complete the examination fee for a non-small entity.
- Total additional claim fee(s) for this application is \$584
  - \$220 for 1 independent claims over 3.
  - \$364 for 7 total claims over 20.

Replies should be mailed to:

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. <a href="https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html">https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html</a>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

/ygizaw/	
Office of Data Management, Application Assistance Unit (571)	272-4000, or (571) 272-4200, or 1-888-786-0101

Serial No.: 13/158,372 Attorney Docket No.: ALI-001

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for:

Confirmation No.: 9277

Fullam et al.

Examiner: Unknown

Serial No.: 13/158,372

Group Art Unit: 1785

Filing Date: June 10, 2011

Docket No.: ALI-001

For: COMPONENT PROTECTIVE

Date: August 22, 2011

**OVERMOLDING** 

Certificate of Transmission

I hereby certify that this correspondence is being transmitted to the United States Patent and Trademark Office via EFS-Web filing on August 22, 2011.

V Culley in all

Kate M. Cleland

#### RESPONSE TO NOTICE TO FILE MISSING PARTS

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir or Madam:

In Response to the Notice to File Missing Parts, mailed June 23, 2011, Applicant hereby provides the missing Declaration for Scott Fullam and Skip Orvis, as well as the requisite surcharge of \$130 for submission of this paper. Applicant also submits the required application filing, search, examination, and claims fees of \$1,674. A copy of the Notice to File Missing Parts of a Non-Provisional Application is also submitted herewith.

Please contact the undersigned representative below if you should have any further questions or require any additional information.

Respectfully submitted,

Scott S. Kokka Reg. No. 51,893

KOKKA & BACKUS, PC 703 High Street Palo Alto, CA 94301

Telephone: (650) 566-9921 Facsimile: (650) 566-9922



15516

#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandra, Virginia 22313-1450 www.unjio.gov

APPLICATION NUMBER

Kokka & Backus, PC

703 Hight Street Palo Alto, CA 94301 FILING OR 371(C) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE

13/158,372

06/10/2011

Scott Fullam

ALI-001

CONFIRMATION NO. 9277

FORMALITIES LETTER

\*CC000000048355/23\*

Date Mailed: 06/23/2011

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page 1 of 2

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  - •\$220 for 1 independent claims over 3.
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If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

•	/ygizaw/			
Office of Data M	lanagement, Application Assistance Ur	nit (571) 272-4000, or (57	71) 272-4200, or	1-888-786-0101

# DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As below named inventor,

I hereby declare that my residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed) or an original and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **COMPONENT PROTECTIVE**OVERMOLDING, the specification of which

(Check One)		is attached hereto OR	
	$\boxtimes$	was filed on June 10, 2011 and assigned Application Serial	No.
		13/158,372.	

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims as amended by any amendment referred to above.

I acknowledge the duty to disclose information that is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign applications for patent or inventor's certificate, listed below and so identified, and I have also identified below any foreign application for patent or inventor's certificate on this invention filed by my or my legal representatives or assigns and having a filing date before that of the application on which priority is claimed.

	And the second s		Day/Month/Year	Priority Claimed -
1	Number	Country	Filed	Yes or No
	A STATE OF THE STA	The state of the s		

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States applications listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information that is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

Application Serial No.	Filing Date	Status

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) below and, insofar as the subject matter of each of the

claims of this application is not disclosed in the prior United States provisional application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information that is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which occurred between the filing date of the prior provisional application and the filing date of this application.

Provisional Application No.	Filing Date	Status

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint all attorneys associated with

#### **Customer Number 15516**

with full power of substitution and revocation, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith; and

request that all correspondence and telephone calls with respect to this application be directed to

Customer Number: 15516

. Scott S. Kokka Kokka & Backus, PC 703 High Street Palo Alto, CA 94301 Telephone No. (650) 566-9921 Facsimile No. (650) 566-9922

	FULL NAME OF INVENTOR	FIRST Name SCOTT	MIDDLE Initial	LAST Name FULLAM		
	RESIDENCE & CITIZENSHIP	City Palo Alto	State or Foreign Country CA	Country of Citizen USA	shíp	
	POST OFFICE ADDRESS	3982 Sutherland Dr.	City Palo Alto	State or Country CA	Zip Code 94303	
IN	INVENTOR'S SIGNATURE LATE S/21/10					

VIS
ntry of Citizenship A
or Country Zip Code 95123
_

# DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As below named inventor,

I hereby declare that my residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed) or an original and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **COMPONENT PROTECTIVE OVERMOLDING**, the specification of which

(Check One)	Ц	is attached hereto OR
	X	was filed on June 10, 2011 and assigned Application Serial No.
		13/158 372

I hereby state that I have reviewed and understand the contents of the aboveidentified specification, including the claims as amended by any amendment referred to above.

I acknowledge the duty to disclose information that is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign applications for patent or inventor's certificate, listed below and so identified, and I have also identified below any foreign application for patent or inventor's certificate on this invention filed by my or my legal representatives or assigns and having a filing date before that of the application on which priority is claimed.

		Day/Month/Year	Priority Claimed -
Number	Country	Filed	Yes or No

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States applications listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information that is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

Application Serial No.	Filing Date	Status

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) below and, insofar as the subject matter of each of the

claims of this application is not disclosed in the prior United States provisional application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information that is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which occurred between the filing date of the prior provisional application and the filing date of this application.

Provisional Application No.	Filing Date	Status

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint all attorneys associated with

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with full power of substitution and revocation, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith; and

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Customer Number: 15516

Scott S. Kokka Kokka & Backus, PC 703 High Street Palo Alto, CA 94301 Telephone No. (650) 566-9921 Facsimile No. (650) 566-9922

	FULL NAME OF INVENTOR	FIRST Name SCOTT	MIDDLE Initial	LAST Name FULLAM	
	RESIDENCE & CITIZENSHIP	City Palo Alto	State or Foreign Country CA	Country of Citizen USA	ship
	POST OFFICE ADDRESS	3982 Sutherland Dr.	City Palo Alto	State or Country CA	Zip Code 94303
IN	VENTOR'S SIGNA		DATE		

	FULL NAME	FIRST Name	MIDDLE Initial	LAST Name		
	OF INVENTOR	SKIP	THOMAS	ORVIS		
	RESIDENCE &	City	State or Foreign Country	Country of Citizer	ship	
	CITIZENSHIP	San Jose	CA	USA		
	POST OFFICE	(007 Cl 1.1 1- T)	City	State or Country	Zip Code	
	ADDRESS	6287 Shadelands Dr.	San Jose	CA	95123	
INVENTOR'S SIGNATURE DATE 8/13/11						

Electronic Patent	Арр	lication Fee	e Transmi	ttal	
Application Number:	131	58372			
Filing Date:	10-Jun-2011				
Title of Invention:	COP	MPONENT PROTEC	TIVE OVERMOLI	DING	
First Named Inventor/Applicant Name: Scott Fullam					
Filer: Scott Susumu Kokka/Kate Cleland					
Attorney Docket Number: ALI-001					
Filed as Large Entity					
Utility under 35 USC 111(a) Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Utility application filing		1011	1	330	330
Utility Search Fee		1111	1	540	540
Utility Examination Fee		1311	1	220	220
Pages:	·				
Claims:					
Claims in excess of 20		1202	7	52	364
Independent claims in excess of 3		1201	1	220	220
Miscellaneous-Filing:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Late filing fee for oath or declaration	1051	1	130	130
Petition:				
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	1804

Electronic Ack	knowledgement Receipt
EFS ID:	10789275
Application Number:	13158372
International Application Number:	
Confirmation Number:	9277
Title of Invention:	COMPONENT PROTECTIVE OVERMOLDING
First Named Inventor/Applicant Name:	Scott Fullam
Customer Number:	15516
Filer:	Scott Susumu Kokka
Filer Authorized By:	
Attorney Docket Number:	ALI-001
Receipt Date:	22-AUG-2011
Filing Date:	10-JUN-2011
Time Stamp:	23:44:04
Application Type:	Utility under 35 USC 111(a)

# Payment information:

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$1804
RAM confirmation Number	6892
Deposit Account	
Authorized User	

# File Listing:

Document	Document Description	File Name	File Size(Bytes)/	Multi	Pages	l
Number	Document Description	riie Name	Message Digest	Part /.zip	(if appl.)	l

		Total Files Size (in bytes)	116	3956	
Information:					
Warnings:					
	, ,	'	9b1bafb725a87f4902fac3a9a407b1250d6e d56e		
2	Fee Worksheet (SB06)	fee-info.pdf	39901	no	2
Information:					
Warnings:					
	Oath or Declara	Oath or Declaration filed			
	Non Patent Lit	2	3		
	Transmittal I	Letter	1		1
	Document Des	Start	E	nd	
	Multip	art Description/PDF files in	zip description		
·		asfiled.pdf	d35168e5b6dd58345f257ddd6ac279a25a3 4d2d1	yes	
1		ALI-001_Response_to_NOMP_	1124055	yes	9

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PO. Box 1450

Alexandria, Virginia 22313-1450 www.uspto.gov

 APPLICATION NUMBER
 FILING or 371(c) DATE
 GRP ART UNIT
 FIL FEE REC'D
 ATTY.DOCKET.NO
 TOT CLAIMS IND CLAIMS

 13/158,372
 06/10/2011
 1785
 1804
 ALI-001
 27
 4

15516 Kokka & Backus, PC 703 Hight Street Palo Alto, CA 94301 CONFIRMATION NO. 9277 UPDATED FILING RECEIPT



Date Mailed: 09/01/2011

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

Scott Fullam, Palo Alto, CA;

Skip Thomas Orvis, Sunnyvale, CA;

**Assignment For Published Patent Application** 

AliphCom, Inc., Sunnyvale, CA

Power of Attorney: The patent practitioners associated with Customer Number 15516

Domestic Priority data as claimed by applicant

**Foreign Applications** (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <a href="http://www.uspto.gov">http://www.uspto.gov</a> for more information.)

If Required, Foreign Filing License Granted: 06/22/2011

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 13/158,372** 

**Projected Publication Date: 12/13/2012** 

Non-Publication Request: No

Early Publication Request: No

page 1 of 3

#### Title

#### COMPONENT PROTECTIVE OVERMOLDING

#### **Preliminary Class**

428

#### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

	PATI	ENT APPLI		ON FEE DE titute for Form		TON RECOR	D		tion or Docket Num	ber
	APPI	LICATION A			umn 2)	SMALL	ENTITY	OR	OTHER SMALL	
	FOR	NUMBE	NUMBER FILED NUMBER EXTRA			RATE(\$)	FEE(\$)		RATE(\$)	FEE(\$)
BASIC FEE (37 CFR 1.16(a), (b), or (c)) N/A N/A		I/A	N/A		1	N/A	330			
SEA	RCH FEE FR 1.16(k), (i), or (m))	N	/A	N	I/A	N/A		1	N/A	540
ΞΧΑ	MINATION FEE FR 1.16(o), (p), or (q))	N	/A	N	J/A	N/A		1	N/A	220
ОТ	AL CLAIMS FR 1.16(i))	27	minus	20 = *	7			OR	x 52 =	364
NDE	PENDENT CLAIN FR 1.16(h))	/IS 4	minus	*	1			1	x 220 =	220
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<b>V</b> IUL	TIPLE DEPENDE	NT CLAIM PRE	SENT (3	7 CFR 1.16(j))				1		0.00
f If th	ne difference in co	lumn 1 is less th	an zero,	enter "0" in colur	mn 2.	TOTAL		1	TOTAL	1674
A IN	Takal	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE(\$)	ADDITIONAL FEE(\$)		RATE(\$)	ADDITIONAL FEE(\$)
AMENDMEN	Total (37 CFR 1.16(i))	*	Minus	**	=	x =		OR	x =	
<u> </u>	Independent (37 CFR 1.16(h))	*	Minus	***	=	x =		OR	x =	
2	Application Size Fe	e (37 CFR 1.16(s))								
	FIRST PRESENTA	TION OF MULTIPL	E DEPEN	DENT CLAIM (37 C	CFR 1.16(j))			OR		
					<u>.</u>	TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	
_		(Column 1) CLAIMS		(Column 2) HIGHEST	(Column 3)		<u> </u>	٦ .		
n Z		REMAINING AFTER AMENDMENT		NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE(\$)	ADDITIONAL FEE(\$)		RATE(\$)	ADDITIONA FEE(\$)
	Total (37 CFR 1.16(i))	*	Minus	**	=	x =		OR	x =	
	Independent (37 CFR 1.16(h))	*	Minus	***	=	x =		OR	x =	
2	Application Size Fe	e (37 CFR 1.16(s))						]		
	FIRST PRESENTA	TION OF MULTIPL	E DEPEN	DENT CLAIM (37 C	CFR 1.16(j))			OR		
						TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	
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		Application Number	13/158,372
INFO	PMATION DISCLOSURE	Filing Date	6-10-2011
		First Named Inventor	Scott Fullam
SIAI		Art Unit	1742
	PRMATION DISCLOSURE TEMENT BY APPLICANT (Use as many sheets as necessary)  of 9	Examiner Name	Unassigned
Sheet 1	of 9	Attorney Docket Number	ALI-001

				T DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
	-	Number-Kind Code <sup>2 (f knowl)</sup>	00.00.0040	26-2	
	ļ	<sup>US-</sup> 8049614	09-23-2010	Kahn et al.	
		<sup>US-</sup> 7987070	09-17-2009	Kahn et al.	
		<sup>US-</sup> 8040382	07-09-2009	Kahn et al.	
		<sup>US-</sup> 7647196	02-12-2009	Kahn et al.	
		US- 7917768	07-24-2008	Kahn et al.	
		US- 7705723	10-04-2007	Kahn et al.	
		<sup>US-</sup> 7839279	02-01-2007	Kahn et al.	
		<sup>US-</sup> 8064759		Kahn et al.	
		US- 7982770		Kahn et al.	
		US- 7970586		Kahn et al.	
		<sup>US-</sup> 7907901		Kahn et al.	
		<sup>US-</sup> 7881902		Kahn et al.	
		<sup>US-</sup> 7849184		Kahn et al.	
		<sup>US-</sup> 7841967		Kahn et al.	
		US- 7800044		Kahn et al.	
		⊍\$- 7788059		Kahn et al.	
		<sup>US-</sup> 7753861		Kahn et al.	
		US- 7747735		Kahn et al.	
	1	<sup>US-</sup> 7690556		Kahn et al.	

Examiner Initials*	Cite No.1		Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> Number <sup>3</sup> Kind Code <sup>3</sup> (# known)	MM-DD-YYYY		Or Relevant Figures Appear	Ŧ
		PCT/US04/26187	02-24-2005	Teller et al.		
		PCT/US01/20014	01-03-2002	Teller et al.		
		PCT/US02/24552	02-20-2003	Teller et al.		
		PCT/US05/09476	10-06-2005	Teller et al.		
		PCT/US09/06234	06-10-2010	Telier et al.		
		PCT/US03/26261	03-04-2004	Teller et al.		

Examiner	Date	
Signature	Considered	
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				r documents	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 of Access</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 7662065		Kahn et al.	
		<sup>US-</sup> 7653508		Kahn et al.	
		<sup>US-</sup> 7647195		Kahn et al.	
		<sup>US-</sup> 7457719		Kahn et al.	
		<sup>US-</sup> 7343260		Kahn et al.	
		<sup>US-</sup> 6527711		Stivoric et al.	
		<sup>US-</sup> 6595929	12-05-2002	Stivoric et al.	
		<sup>US-</sup> 6605038		Teller et al.	
		<sup>US-</sup> 7020508	02-26-2004	Stivoric et al.	
		<sup>US-</sup> 7153262	04-03-2003	Stivoric et al.	
		<sup>US-</sup> 7261690	02-14-2002	Teller et al.	
		<sup>US-</sup> 7285090	08-05-2004	Stivoric et al.	
		<sup>US-</sup> 7502643	05-26-2005	Farringdon et al.	
		<sup>US-</sup> 7689437		Teller et al.	
		US- 7959567	11-06-2008	Stivoric et al.	
		⊍s- 8073707	02-09-2006	Teller et al.	
		<sup>US-</sup> D439981		Kasabach et al.	
		<sup>US-</sup> D451604		Kasabach et al.	
		<sup>US-</sup> D460971		Sica et al.	

Examiner Initials*	Cite No.1		Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> Number <sup>3</sup> Kind Code <sup>3</sup> (# known)	MM-DD-YYYY		Or Relevant Figures Appear	Tº
		PCT/US08/54312	08-21-2008	Stivoric et al.		
		PCT/US02/09972	10-10-2002	Stivoric et al.		
***************************************		PCT/US01/40958	12-20-2001	Teller et al.		
		CA-2413220		Teller et al.		
		EP-2001944698		Telier et al.		
		IL-153478		Teller et al.		

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•••••			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code 2 of Recents	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> D631552		Kasabach et al.	
		<sup>US-</sup> D632396		Kasabach et al.	
		<sup>US-</sup> 61516479		Fish et al.	
		<sup>US-</sup> 61404379		Fish et al.	
		<sup>US-</sup> 61516480		Fish et al.	
		<sup>US-</sup> 61404381		Ram David Adva Fish	
		<sup>US-</sup> D645968		Kasabach et al.	
		<sup>US-</sup> 12684881	07-14-2011	Kahn et al.	
***************************************		<sup>US-</sup> 12472361	12-02-2010	Kahn et al.	
		<sup>US-</sup> 12247950	04-08-2010	Kahn et al.	
		<sup>US-</sup> 12202206	03-04-2010	Kahn et al.	
		<sup>US-</sup> 12469633	11-26-2009	Kahn et al.	
		<sup>US-</sup> 12113110	11-05-2009	Kahn et al.	
		<sup>US-</sup> 11871151	04-16-2009	Kahn et al.	
		<sup>US-</sup> 12490304	12-24-2009	Kahn et al.	
		<sup>US-</sup> 11582896	05-03-2007	Stivoric et al.	
		<sup>US-</sup> 10638588	02-19-2004	Teller et al.	
		<sup>US-</sup> 10682293	07-08-2004	Teller et al.	
		<sup>US-</sup> 10940214	05-26-2005	Pacione et al.	

FOREIGN PATENT DOCUMENTS						
	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> Number <sup>8</sup> Kind Code <sup>5</sup> (# known)	MM-DD-YYYY		Or Relevant Figures Appear	T
		JP-200251105		Teller et al.		
		KR-1020027017160		Teller et al.		
		CA-2413148		Teller et al.		
		EP-2001948636		Teller et al.		
		IL-153516		Telier et al.		
		JP-2002504897		Teller et al.		

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			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code 2 of Accession	Publication Date MM-DO-YYYY	Name of Patentee or Applicant of Cited Occument	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 11088002	11-03-2005	Stivoric et al.	
		<sup>US-</sup> 11239748	11-23-2006	Stivoric et al.	
		<sup>US-</sup> 11322010	06-08-2006	Teller et al.	
		<sup>US-</sup> 11434949	10-05-2006	Teller et al.	
		<sup>US-</sup> 11481147	02-15-2007	Stivoric et al.	
		<sup>US-</sup> 11724373	07-26-2007	Teller et al.	
		<sup>US-</sup> 11925906	07-31-2008	Teller et al.	
		<sup>US-</sup> 11925965	07-17-2008	Stivoric et al.	
		<sup>US-</sup> 11928039	07-10-2008	Stivoric et al.	
		<sup>US-</sup> 11928302	07-31-2008	Farringdon et al.	
		<sup>US-</sup> 11930036	07-10-2008	Teller et al.	
		<sup>US-</sup> 11930053	07-10-2008	Teller et al.	
		<sup>US-</sup> 11930081	07-03-2008	Teller et al.	
		<sup>US-</sup> 11930091	07-03-2008	Teller et al.	
		<sup>US-</sup> 11930092	07-17-2008	Teller et al.	
		<sup>∪s.</sup> 11930094	07-17-2008	Teller et al.	
		<sup>US-</sup> 12033728	01-01-2009	Stivoric et al.	
		<sup>US-</sup> 12033722	01-01-2009	Stivoric et al.	
		<sup>US-</sup> 12033731	12-25-2008	Stivoric et al.	

	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> Number <sup>8</sup> Kind Code <sup>5</sup> (# known)	MM-DD-YYYY		Or Relevant Figures Appear	₹9
		KR-1020027017525		Teller et al.		
		CA-2454655		Teller et al.		
***************************************		EP-2002768402		Teller et al.		
		IL-160079		Teller et al.		
		JP-2003519861		Teller et al.		
		KR-102004001744		Teller et al.		

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	******		U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
	ļ	Number-Kind Code <sup>2 of known)</sup>			Figures Appear
		<sup>US-</sup> 12033737	12-25-2008	Stivoric et al.	
		<sup>US-</sup> 12033741	12-25-2008	Stivoric et al.	
		<sup>US-</sup> 12033746	12-25-2008	Stivoric et al.	
		<sup>US-</sup> 12033751	12-25-2008	Stivoric et al.	
		<sup>US.</sup> 12033753	12-25-2008	Stivoric et al.	
		<sup>US-</sup> 12033760	12-25-2008	Stivoric et al.	
		<sup>US-</sup> 12033766	12-25-2008	Stivoric et al.	
		<sup>US-</sup> 12217299	07-09-2009	Stivoric et al.	
		<sup>US-</sup> 12840109	11-11-2010	Farringdon et al.	
		<sup>US-</sup> 10682293	07-08-2004	Teller et al.	
		<sup>US-</sup> 60729663		Donald G. Stein	
		<sup>US-</sup> 60727357		Pacione et al.	
***************************************		<sup>US-</sup> 60555280		Pacione et al.	
		<sup>US-</sup> 60502746		Bucher et al.	
		US- 60417163		Andre et al.	
		<sup>∪s.</sup> 60729683		Stivoric et al.	
***************************************		<sup>US-</sup> 60958516		Andre et al.	
		<sup>US.</sup> 60510013		Farringdon et al.	
		<sup>US-</sup> 60502764		Slivoric et al.	

Examiner Initials*	Cite No.1	e Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> Number <sup>8</sup> Kind Code <sup>5</sup> (# known)	MM-DD-YYYY		Or Relevant Figures Appear	Ţº
		CA-2560323		Stivoric et al.		
		IL-178183		Stivoric et al.		
***************************************		JP-200750091		Stívoric et al.		
		EP-2005732135		Stivoric et al.		
		EP-2009830687		Ward et al.		
		CA-2496579		Stivoric et al.		

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Complete if Known Substitute for form 1449/PTO Application Number 13/158,372 Filing Date 6-10-2011 INFORMATION DISCLOSURE First Named Inventor Scott Fullam STATEMENT BY APPLICANT Art Unit 1742 (Use as many sheets as necessary) Examiner Name Unassigned Attorney Docket Number ALI-001 Sheet 6

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (f Second)</sup>	Publication Date MM-DO-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 60901952		Stivoric et al.	•
	<b>†</b>	<sup>US-</sup> 11925902	05-07-2009	Teller et al.	
	1	<sup>US-</sup> 11925903	07-31-2008	Teller et al.	
		<sup>US-</sup> 11925908	07-17-2008	Teller et al.	
		<sup>US-</sup> 11876601		Stivoric et al.	
		<sup>US-</sup> 11930405	07-24-2008	Teller et al.	
		<sup>US-</sup> 11928059	09-04-2008	Slivoric et al.	
		<sup>US-</sup> 11928027	07-03-2008	Stivoric et al.	
		<sup>US-</sup> 11928051	07-10-2008	Stivoric et al.	
		<sup>US-</sup> 11930100	07-17-2008	Teller et al.	
		<sup>US-</sup> 11930048	07-10-2008	Teller et al.	
		<sup>US-</sup> 11930101	07-10-2008	Teller et al.	
		<sup>US-</sup> 11927365	11-20-2008	Stivoric et al.	
		<sup>US-</sup> 11927276	11-20-2008	Stivoric et al.	
		US- 8047966	09-03-2009	Dorogusker et al.	
		∪s- 8083643	04-28-2011	Carl Ng et al.	
		<sup>US-</sup> 6356940		Brian Robert Short	
		US- 5974262		Fuller et al.	
		<sup>US-</sup> 13253000		Ram David Adva Fish	

Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> Number <sup>8</sup> Kind Code <sup>5</sup> (# known)	MM-DD-YYYY		Or Relevant Figures Appear	Ţº
		EP-2003793253		Stivoric et al.		
		IL-167045		Stivoric et al.		
***************************************		JP-2004529813		Stívoric et al.		
		KR-1020057003029		Stivoric et al.		
		MX-PA/a/2005/002024		Teller et al.		
		EP-2008730171		Stivoric et al.		

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***************************************			U.S. PATEN	T DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DO-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	<del> </del>	Number-Kind Code <sup>2 (Faces)</sup>			r igaics rippes)
***************************************		<sup>US-</sup> 13182352	01-05-2012	Nazarian et al.	
		<sup>US-</sup> 7993276	04-20-2006	Nazarian et al.	***
		<sup>US-</sup> 12560069	08-26-2010	Nadkami et al.	
		<sup>US-</sup> 12621099	09-30-2010	Nadkarni et al.	
		<sup>US-</sup> 12823280	01-06-2011	Bentley et al.	
		<sup>US-</sup> 12883304	03-17-2011	Jangle et al.	
		<sup>US-</sup> 12891108	03-17-2011	Jangle et at.	
		<sup>US-</sup> 13204658	11-24-2011	Jangle et at.	
***************************************		<sup>US-</sup> 61210821		Ram David Adva Fish	
		<sup>US-</sup> 61210862		Ram David Adva Fish	
***************************************		<sup>US-</sup> 12730194	09-23-2010	Ram David Adva Fish	
		<sup>US-</sup> 12730199	09-23-2010	Ram David Adva Fish	
***************************************		<sup>US-</sup> 61630064		Ram David Adva Fish	
***************************************		<sup>US-</sup> 61627891		Ram David Adva Fish	
***************************************		US- 61516478		Fish et al.	
		<sup>∪s</sup> - 61516477		Ram David Adva Fish	
		<sup>US-</sup> 6714859		Jones, Martin Kelly	
		<sup>US-</sup> 6952645		Jones, Marlin Kelly	
***************************************		<sup>US-</sup> 7030781		Jones, Martin Kelly	

Examiner Initials*	Cite No.1	Foreign Patient Document  Dountry Code* Number * Kind Code* (# known)	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
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		CA-2441962		Stivoric et al.		
		EP-2002733920		Stivoric et al.		
		IL-158067		Stívoric et al.		
		JP-2002576812		Stivoric et al.		
		KR-1020037012845		Stivoric et al.		

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			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 of Account</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 7400970		Jones, Martin Kelly	
		<sup>US-</sup> 6904359		Jones, Martin Kelly	
		<sup>US-</sup> 6486801		Jones, Martin Kelly	
		<sup>US-</sup> 13405241		Rahman et al.	
		<sup>US-</sup> 13158416		Drysdale et al.	
		<sup>US-</sup> 13135728		Drysdale et al.	
		<sup>US-</sup> 61495995		Drysdale et al.	
		<sup>US-</sup> 13180320		Rahman et al.	
		<sup>US-</sup> 61495994		Drysdale et al.	
		<sup>US-</sup> 13181512		Rahman et st.	
		<sup>US-</sup> 61495997		Drysdale et al.	
		<sup>US-</sup> 61495996		Drysdale et al.	
		<sup>US-</sup> 61511541		Jeffery Miao	
		<sup>US-</sup> 13247975		Sashittal et al.	
		US- 13180000		Rahman et al.	
		<sup>∪s</sup> - 61507091		Rahman et al.	
		<sup>US-</sup> 61572204		Rahman et al.	
		<sup>US-</sup> 61572206		Rahman et al.	
		<sup>US-</sup> 13181495		Rahman et al.	

	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
		Country Code <sup>3</sup> Number <sup>3</sup> Kind Code <sup>5</sup> (#known)	MM-DD-YYYY		Or Relevant Figures Appear	Ţ <sup>g</sup>	
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Attorney Docket Number

			U.S. PATEN	T DOCUMENTS	••••••
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 of Recoord</sup>	Publication Date MM-DO-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
*************		<sup>US-</sup> 13181511		Rahman et al.	
		<sup>US-</sup> 13181486		Rahman et al.	
		<sup>US-</sup> 13181500		Rahman et al.	
		<sup>US-</sup> 13181498		Rahman et al.	
		<sup>US-</sup> 13181513		Rahman et al.	
		<sup>US-</sup> 13361919		Rahman et al.	
		<sup>US-</sup> 13405240	02-25-2012	Drysdale et al.	
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	FOREIGN PATENT DOCUMENTS							
Examiner Cite Initials* No.3			Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages			
		ountry Code <sup>3</sup> Number <sup>3</sup> Kind Code <sup>3</sup> (# known) MM-DD-YYYY				Ţ <sup>g</sup>		
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Examiner	Date	
Signature	Considered	

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Sheet 9

Electronic Acl	knowledgement Receipt
EFS ID:	12184189
Application Number:	13158372
International Application Number:	
Confirmation Number:	9277
Title of Invention:	COMPONENT PROTECTIVE OVERMOLDING
First Named Inventor/Applicant Name:	Scott Fullam
Customer Number:	15516
Filer:	Scott Susumu Kokka
Filer Authorized By:	
Attorney Docket Number:	ALI-001
Receipt Date:	29-FEB-2012
Filing Date:	10-JUN-2011
Time Stamp:	16:33:50
Application Type:	Utility under 35 USC 111(a)

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## New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

# National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for: Confirmation No.: 9277

Fullam et al. Examiner: Unassigned

Application Serial No.: 13/158,372 Group Art Unit: 1742

Filing Date: June 10, 2011 Date: February 29, 2012

For: COMPONENT PROTECTIVE Atty. Docket No.: ALI-001

OVERMOLDING Any, Booke

### CERTIFICATE OF TRANSMISSION

I hereby certify that this correspondence is being deposited via EFS-Web to the United States Patent and Trademark Office on February 29, 2012.

Signed: Scott S. Rokka

## INFORMATION DISCLOSURE STATEMENT

Sir or Madam:

In accordance with 37 CFR §§ 1.97 and 1.98, the items identified in this Information Disclosure Statement (IDS) are being brought to the attention of the Office. The items are listed on the attached forms PTO/SB/08a. The Examiner is requested to make these documents of record.

The items identified in this IDS may or may not be "material" pursuant to 37 CFR § 1.56. The submission thereof by Applicants is not to be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" under 35 USC § 102 with respect to this invention unless specifically designated by Applicants as such.

## 1. Timing of the Information Disclosure Statement:

This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), that is (1) with the new patent application submitted herein (37 CFR § 1.97(a)); or (2) within three months of the filing date of the application, which is not a continued prosecution application filed under § 1.53(d) or

(3) with	in three	months of entry of the national stage as set forth in 37 CFR § 1.491; or (4) before the
mailing	of a firs	it Office action on the merits; or (5) before the mailing of a first Office action after filing a
request	for cont	inued examination under § 1.114. Thus, no fee is required.
		However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable.
		However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and a statement under 37 CFR § 1.97(e) is included below, thus no fee is required.
	This ID	S is being submitted under 37 CFR § 1.97(c), that is after mailing of a first Office Action
on the r	nerits, b	ut before a Final Action under 37 CFR § 1.113 or a Notice of Allowance under
37 CFR	k § 1.311	
		The fee due under 37 CFR § 1.17(p) is submitted herewith.
		A statement under 37 CFR § 1.97(e) is included below, thus no fee is required. In the event that this IDS is not mailed before a Final Action or a Notice of Allowance, then Applicant respectfully requests that the Office consider the filing of these papers to be submitted under 37 CFR § 1.97(d).
	This ID	S is being submitted under 37 CFR § 1.97(d), that is after a Final Action under
37 CFR	§ 1.113	or a Notice of Allowance under 37 CFR § 1.311, but before payment of the issue fee. A
stateme	nt under	37 CFR § 1.97(e) is included below. The fee due under 37 CFR § 1.17(p) is submitted
herewit	h.	
		STATEMENT UNDER 37 CFR § 1.97(e):
	Non-Pa	tent Literature Document Cite No. X contained in the IDS was first cited in a
		from a foreign patent office in a counterpart foreign application not more than three
		the filing of the IDS.
	•	
reasona	part fore ble inqu	is contained in this IDS was cited in a communication from a foreign patent office in a cign application, and, to the knowledge of the person signing this statement after making iry, no item of information contained in this IDS was known to any individual designated if (c) more than three months prior to the filing of this IDS.
2. Cop	ies of th	e Cited Items:
	Copies	of all items listed on the attached form PTO/SB/08a are enclosed.

Copies of all Foreign Patent Documents listed on form PTO/SB/08a are enclosed as required by 37 CFR § 1.98(a)(2).
Copies of the following documents listed in PTO/SB/08a (Item Nos. X) are not supplied as they were previously cited by the Office or submitted in Information Disclosure Statements in related applications (Application No. X, filed X) and relied upon in this application for an earlier filing date under 35 USC § 120. See 37 CFR § 1.98(d). The Examiner is requested to make these documents of record.
Copies of the following items listed on the attached forms PTO/SB/08a (Non-Patent Literature Documents Item Nos. 1) were cited in a foreign examination report in a related case. A copy of the search report is attached hereto.
3. Concise Explanation of Relevance:
A concise explanation of relevance of the items listed on forms PTO/SB/08a is not given.
A concise explanation of relevance of [some of] the items listed on forms PTO/SB/08a is in the form of an English language copy of a Search Report from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references (copy attached).
4. Related Applications:
Applicant(s) bring to the Office's attention the following related application(s): U.S. Patent;
Application No. 13/158,416 filed June 11, 2011, Attorney Docket No. ALI-001CIP1;
Application No. 13/135,728 filed July 12, 2011, Attorney Docket No. ALI-001CIP1CIP1;
Application No. 61/495,995 filed June 11, 2011, Attorney Docket No. ALI-002P;
Application No. 13/180,320 filed July 11, 2011, Attorney Docket No. ALI-002;
Application No. 13/405,240 file February 25, 2012, Attorney Docket No. ALI-002CON1;
Application No. 61/495,994 filed June 11, 2011, Attorney Docket No. ALI-003P;
Application No. 13/181,512 filed July 12, 2011, Attorney Docket No. ALI-003;
Application No. 61/495,997 filed June 11, 2011, Attorney Docket No. ALI-004P;
Application No. 61/495,996 filed June 11, 2011, Attorney Docket No. ALI-005P;
Application No. 61/511,541 filed July 25, 2011, Attorney Docket No. ALI-006P;
Application No. 13/247,975 filed September 28, 2011, Attorney Docket No. ALI-006;
Application No. 13/180,000 filed July 11, 2011, Attorney Docket No. ALI-007;

Application No. 61/507,091 filed July 12, 2011, Attorney Docket No. ALI-010P;

Application No. 61/572,204 filed July 12, 2011, Attorney Docket No. ALI-011P;

Application No. 61/572,206 filed July 12, 2011, Attorney Docket No. ALI-012P;

Application No. 13/181,495 filed July 12, 2011, Attorney Docket No. ALI-013;

Application No. 13/361,919 filed January 30, 2012, Attorney Docket No. ALI-013CON1;

Application No. 13/181,511 filed July 12, 2011, Attorney Docket No. ALI-014;

Application No. 13/181,486 filed July 12, 2011, Attorney Docket No. ALI-015;

Application No. 13/181,500 filed July 12, 2011, Attorney Docket No. ALI-016;

Application No. 13/181,498 filed July 12, 2011, Attorney Docket No. ALI-018;

Application No. 13/405,241 filed February 25, 2012, Attorney Docket No. ALI-018CON1;

Application No. 13/181,513 filed July 12, 2011, Attorney Docket No. ALI-019.

### 5. Conclusion:

Citation of the above documents shall not be construed as:

- an admission that the documents are necessarily prior art with respect to the instant invention;
- 2. a representation that a search has been made, other than as described above; and
- an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

It is respectfully requested that the Examiner indicate consideration of the cited references by returning a copy of the attached forms PTO/SB/08a with initials or other appropriate marks. In the unlikely event that the transmittal letter is separated from this document and the U.S. Patent Office determines that an extension and/or other relief is required, Applicants petition for any required relief including extensions of time. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

Dated: February 29, 2012 By:

Scott S. Kokka Registration No. 51,893



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE APPLICATION NUMBER 13/158,372 06/10/2011

Scott Fullam

15516 Kokka & Backus, PC 703 High Street Palo Alto, CA 94301

**CONFIRMATION NO. 9277 PUBLICATION NOTICE** 

ALI-001



Title:COMPONENT PROTECTIVE OVERMOLDING

Publication No.US-2012-0313272-A1

Publication Date:12/13/2012

# NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publically available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently http://www.uspto.gov/patft/.

The publication process established by the Office does not provide for mailing a copy of the publication to applicant. A copy of the publication may be obtained from the Office upon payment of the appropriate fee set forth in 37 CFR 1.19(a)(1). Orders for copies of patent application publications are handled by the USPTO's Office of Public Records. The Office of Public Records can be reached by telephone at (703) 308-9726 or (800) 972-6382, by facsimile at (703) 305-8759, by mail addressed to the United States Patent and Trademark Office, Office of Public Records, Alexandria, VA 22313-1450 or via the Internet.

In addition, information on the status of the application, including the mailing date of Office actions and the dates of receipt of correspondence filed in the Office, may also be accessed via the Internet through the Patent Electronic Business Center at www.uspto.gov using the public side of the Patent Application Information and Retrieval (PAIR) system. The direct link to access this status information is currently http://pair.uspto.gov/. Prior to publication, such status information is confidential and may only be obtained by applicant using the private side of PAIR.

Further assistance in electronically accessing the publication, or about PAIR, is available by calling the Patent Electronic Business Center at 1-866-217-9197.

Office of Data Managment, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
13/158,372	06/10/2011	Scott Fullam	ALI-001	9277	
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703 High Stree Palo Alto, CA	t		LEE, EDMUND H		
raio Alto, CA	74301		ART UNIT	PAPER NUMBER	
			1744		
			MAIL DATE	DELIVERY MODE	
			03/14/2013	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

		Application No.	Applicant(s)	
	Office Action Summary	13/158,372	FULLAM ET AL.	
	Office Action Summary	Examiner	Art Unit	
	T. MAN 100 DATE (1)	EDMUND LEE	1744	
Period fo	The MAILING DATE of this communication app or Reply	ears on the cover sheet with the c	orrespondence address	
WHI( - Exte after - If NO - Failu Any	ORTENED STATUTORY PERIOD FOR REPLY CHEVER IS LONGER, FROM THE MAILING DANS IN THE MAILING DANS IN THE MAILING DANS IN THE MAILING DANS IN THE MAILING THE MAILING DANS IN THE MAILING THE	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tin will apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	N. nely filed the mailing date of this communication. D (35 U.S.C. § 133).	
Status				
1) 🖂	Responsive to communication(s) filed on 10 Ju	<u>ıne 2011</u> .		
2a)	This action is <b>FINAL</b> . 2b) ☑ This	action is non-final.		
3)	An election was made by the applicant in response	onse to a restriction requirement	set forth during the interview on	
	; the restriction requirement and election	·		
4)	Since this application is in condition for allowar			
	closed in accordance with the practice under E	x parte Quayle, 1935 C.D. 11, 45	53 O.G. 213.	
Disposit	ion of Claims			
5)🛛	Claim(s) 1-27 is/are pending in the application.			
	5a) Of the above claim(s) is/are withdraw	vn from consideration.		
	Claim(s) is/are allowed.			
	Claim(s) is/are rejected.			
	Claim(s) is/are objected to.	de alla como de la como de		
	Claim(s) <u>1-27</u> are subject to restriction and/or e			
program	aims have been determined <u>allowable</u> , you may at a participating intellectual property office for t <u>w.uspto.gov/patents/init_events/pph/index.jsp</u> o	he corresponding application. Fo	r more information, please see	
Applicat	ion Papers			
10)	The specification is objected to by the Examine	r.		
11)	The drawing(s) filed on is/are: a) acce	epted or b) objected to by the I	Examiner.	
	Applicant may not request that any objection to the	drawing(s) be held in abeyance. See	∍ 37 CFR 1.85(a).	
	Replacement drawing sheet(s) including the correct	ion is required if the drawing(s) is ob	jected to. See 37 CFR 1.121(d).	
Priority (	under 35 U.S.C. § 119			
12)	Acknowledgment is made of a claim for foreign	priority under 35 U.S.C. § 119(a)	)-(d) or (f).	
a)	☐ All b)☐ Some * c)☐ None of:			
	1. Certified copies of the priority documents	s have been received.		
	2. Certified copies of the priority documents			
	3. Copies of the certified copies of the prior	- <del>-</del>	ed in this National Stage	
+ /	application from the International Bureau			
^ {	See the attached detailed Office action for a list	of the certified copies not receive	a.	
Attachmen	t(e)			
_	ce of References Cited (PTO-892)	3) Interview Summary	(PTO-413)	
		Paper No(s)/Mail Da		
2) Information Disclosure Statement(s) (PTO/SB/08)  Paper No(s)/Mail Date  4) Other:				

U.S. Patent and Trademark Office PTOL-326 (Rev. 09-12) Application/Control Number: 13/158,372 Page 2

Art Unit: 1744

## **DETAILED ACTION**

## Election/Restrictions

1. This application contains claims directed to the following patentably distinct species:

- a) those claims directed towards using a protective material comprising an epoxy or UV curable material (cls 5,12, and 13); and
- b) the claim directed towards using a protective material comprising a metal housing (cl 11).

The species are independent or distinct because they cannot combinable. In addition, these species are not obvious variants of each other based on the current record.

Applicant is required under 35 U.S.C. 121 to elect a single disclosed species, or a single grouping of patentably indistinct species, for prosecution on the merits to which the claims shall be restricted if no generic claim is finally held to be allowable. Currently, claims 1-4,6-9, 10, and 14-27 are generic.

There is a search and/or examination burden for the patentably distinct species as set forth above because at least the following reason(s) apply:

The search and examination strategies for the species are non-overlapping.

Applicant is advised that the reply to this requirement to be complete <u>must</u> include (i) an election of a species or a grouping of patentably indistinct species to be examined even though the requirement <u>may</u> be traversed (37 CFR 1.143) and

Application/Control Number: 13/158,372 Page 3

Art Unit: 1744

(ii) identification of the claims encompassing the elected species or grouping of patentably indistinct species, including any claims subsequently added. An argument that a claim is allowable or that all claims are generic is considered nonresponsive unless accompanied by an election.

The election may be made with or without traverse. To preserve a right to petition, the election must be made with traverse. If the reply does not distinctly and specifically point out supposed errors in the election of species requirement, the election shall be treated as an election without traverse. Traversal must be presented at the time of election in order to be considered timely. Failure to timely traverse the requirement will result in the loss of right to petition under 37 CFR 1.144. If claims are added after the election, applicant must indicate which of these claims are readable on the elected species or grouping of patentably indistinct species.

Should applicant traverse on the ground that the species, or groupings of patentably indistinct species from which election is required, are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing them to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the species unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C. 103(a) of the other species.

Upon the allowance of a generic claim, applicant will be entitled to consideration of claims to additional species which depend from or otherwise require all the limitations of an allowable generic claim as provided by 37 CFR 1.141.

Application/Control Number: 13/158,372

Art Unit: 1744

2. Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by

a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

Page 4

3. Any inquiry concerning this communication or earlier communications from the examiner should be directed to EDMUND LEE whose telephone number is (571)272-1204. The examiner can normally be reached on MONDAY-THURSDAY FROM 9AM-4PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Yogendra Gupta can be reached on 571.272.1316. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Art Unit: 1744

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

EDMUND H. LEE Primary Examiner Art Unit 1744

EHL /EDMUND H. LEE/ Primary Examiner, Art Unit 1744

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Fullam et al.

Application Serial No.: 13/158,372

Filing Date: June 10, 2011

For: COMPONENT PROTECTIVE

**OVERMOLDING** 

Examiner: LEE, Edmund H.

Confirmation No.: 9277

Group Art Unit: 1744

Date: July 15, 2013

Atty. Docket No.: ALI-001

CERTIFICATE OF TRANSMISSION

I hereby certify that this correspondence is being transmitted via the U.S. Patent and Trademark Office electronic filing system \*EFS-Web) to the

USPTO on July 15, 2013.

Amiee H. Shin

# PROVISIONAL ELECTION UNDER 37 CFR § 1.142 WITHOUT TRAVERSE

Dear Sir:

The Patent Office required an election of claims under 35 U.S.C. § 121 in the Restriction Requirement dated March 14, 2013. In response, Applicant provisionally elects to prosecute, without traverse, species a, drawn to claims 5 and 12-13, along with generic claims 1-4, 6-10 and 14-27.

# **CLAIM LISTING**

Please cancel claim 11 as indicated below.

1. (Original) A method, comprising:

selectively applying protective material substantially over one or more elements coupled to a framework;

molding a first protective layer over the framework the one or more elements, and the protective material, after the protective material has been selectively applied, the first protective layer being configured to cover the framework and each of the one or more elements; and

molding a second protective layer over the first protective layer, the first protective layer being configured to cover the framework and to provide a cosmetic surface configured to receive a pattern, the second protective layer being removable if a defect is yielded during an inspection.

- 2. (Original) The method of claim 1, further comprising performing an inspection after molding the first protective layer and before molding the second protective layer.
- 3. (Original) The method of claim 1, further comprising performing an inspection after molding the second protective layer.
- 4. (Original) The method of claim 1, wherein the second protective layer is removed if an inspection after molding the second protective layer yields a defect in the second protective layer.

- 5. (Original) The method of claim 1, wherein the protective material comprises an ultra violet curable material.
- 6. (Original) The method of claim 1, wherein the first protective layer comprises a thermoplastic.
- 7. (Original) The method of claim 1, wherein the first protective layer comprises a polymer.
- 8. (Original) The method of claim 1, wherein the first protective layer comprises an elastomer.
- 9. (Original) The method of claim 1, wherein the first protective layer comprises a thermoplastic elastomer.

10. (Original) A method, comprising:

selectively applying protective material substantially over one or more elements coupled to a framework;

forming a first protective layer substantially over the framework, the one or more elements, and the protective material, after the protective material has been selectively applied; and

forming a second protective layer substantially over the first protective layer, the first protective layer being configured to protect the framework and to provide a cosmetic surface, the second protective layer being removable if a defect is yielded during an inspection.

- 11. (Canceled)
- 12. (Original) The method of claim 10, wherein the protective material comprises an epoxy.
- 13. (Original) The method of claim 10, wherein the protective material comprises an ultra violet curable material configured to prevent damage to the one or more elements when the first protective layer or the second protective layer are formed.
- 14. (Original) The method of claim 10, wherein the one or more elements comprises a structure configured to use electrical power from a battery to generate a vibration.
- 15. (Original) The method of claim 10, wherein the one or more elements comprises a printed circuit board assembly.

Page 4 of 7

- 16. (Original) The method of claim 10, wherein the one or more elements comprises a memory configured to store data.
- 17. (Original) The method of claim 10, wherein the one or more elements comprises a processor.

# 18. (Original) A method, comprising:

selectively applying a protective material substantially over one or more elements coupled to a framework; and

forming a molding substantially over the framework, the one or more elements coupled to the framework, and the protective material, an outer surface of the molding being configured to receive a cosmetic design.

# 19. (Original) A method, comprising:

selectively applying a protective material substantially over one or more elements coupled to a framework;

forming an inner molding substantially over the framework, the one or more elements coupled to the framework, and the protective material, the inner molding being formed after the protective material has been selectively applied; and

forming an outer molding substantially over the inner molding, the outer molding being configured to protect the framework and to provide a surface configured to receive a pattern, the outer molding being removable if a defect is found during an inspection performed after the outer molding is formed.

Page 5 of 7

- 20. (Original) The method of claim 19, wherein the framework comprises a metal.
- 21. (Original) The method of claim 19, wherein the framework comprises an alloy.
- 22. (Original) The method of claim 19, wherein at least one of the one or more elements is an accelerometer.
- 23. (Original) The method of claim 19, wherein at least one of the one or more elements is an analog audio plug.
- 24. (Original) The method of claim 22, wherein the analog audio plug is a TRRS.
- 25. (Original) The method of claim 19, further comprising forming another outer molding if the defect is found in the outer molding, the another outer molding being formed after the outer molding is removed.
- 26. (Original) The method of claim 19, wherein the outer molding is configured to be impermeable.
- 27. (Original) The method of claim 19, wherein the second protective material is configured to receive a pattern formed on an outer surface of the second protective material.

## REMARKS

The Restriction Requirement mailed March 14, 2013, sets forth two species: species a consisting of claims 5 and 12-13 and species b consisting of claim 11. Claims 1-4, 6-10 and 14-27 were deemed to be generic. Applicant provisionally elects to prosecute claims drawn to species a, which includes claims 5 and 12-13, along with generic claims 1-4, 6-10 and 14-27. In view of the provisional election, Applicant has canceled claim 11 from consideration in the present application.

Applicant reserves the right to pursue any non-elected subject matter and other subject matter supported by the specification by filing a divisional or continuation application drawn to the desired subject matter. Applicant wishes to note that the cited figures do not exhaustively define the scope of the restricted claimed subject matter, but rather illustrates a few of the many various implementations. As such, the scope of the claimed subject matter is broader than is depicted in the figures corresponding to Applicant's election. Upon allowance of a generic claim, Applicant reserves the right to add claims written in dependent form or that otherwise include all limitations of the allowed generic claim.

If the Examiner believes it would be appropriate to discuss the issues to further the prosecution in this case, he is invited to call the undersigned.

Respectfully submitted,

KOKKA & BACKUS, PC

Kokka & Backus, P.C. 703 High Street

Palo Alto, CA 94301

Tel: (650) 585-2359 Fax: (650) 566-9922 By:

Chien-Janalice Chuang

Reg. No. 65,914

Atty. Docket No.: ALI-001 Serial No.: 13/158,372

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Examiner: LEE, Edmund H.

Fullam et al.

Confirmation No.: 9277

Application Serial No.: 13/158,372

Group Art Unit: 1744

Filing Date: June 10, 2011

Date: July 15, 2013

For: COMPONENT PROTECTIVE

**OVERMOLDING** 

Atty. Docket No.: ALI-001

Certificate of Transmission

I hereby certify that this correspondence is being transmitted via the U.S. Patent and Trademark Office (USPTO) electronic filing system (EFS-Web) to the USPTO on

July 15, 2013.

Signed:

Amiee H. Shin

# WRITTEN ASSERTION FOR NOTIFICATION OF ENTITLEMENT TO SMALL ENTITY STATUS UNDER 37 CFR § 1.27(c)(1)

Dear Sir or Madam:

In accordance with 37 CFR § 1.27(e)(1), Applicant is submitting this written assertion for notification of entitlement to small entity status in the above-referenced patent application. This written assertion is intended to notify the Office of Applicant's entitlement to small entity status.

Please contact the undersigned representative below if you have any questions or require any additional information.

Respectfully submitted,

Chien-Ju Alice Chuan

Reg. No. 65,914

KOKKA & BACKUS, PC 703 High Street Palo Alto, CA 94301

Tel.: (650) 585-2359 Fax: (650) 566-9922

Electronic Patent Application Fee Transmittal						
Application Number:	13158372					
Filing Date:	10-Jun-2011					
Title of Invention:	COMPONENT PROTECTIVE OVERMOLDING					
First Named Inventor/Applicant Name:	Scott Fullam					
Filer:	Chien-Ju Alice Chuang					
Attorney Docket Number:	ALI-001					
Filed as Small Entity						
Utility under 35 USC 111(a) Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Extension-of-Time:						
Extension - 3 months with \$0 paid		2253	1	700	700	

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
	Total in USD (\$)		700	

Electronic Acknowledgement Receipt				
EFS ID:	16323369			
Application Number:	13158372			
International Application Number:				
Confirmation Number:	9277			
Title of Invention:	COMPONENT PROTECTIVE OVERMOLDING			
First Named Inventor/Applicant Name:	Scott Fullam			
Customer Number:	15516			
Filer:	Chien-Ju Alice Chuang			
Filer Authorized By:				
Attorney Docket Number:	ALI-001			
Receipt Date:	15-JUL-2013			
Filing Date:	10-JUN-2011			
Time Stamp:	22:47:21			
Application Type:	Utility under 35 USC 111(a)			

# **Payment information:**

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$700
RAM confirmation Number	8855
Deposit Account	
Authorized User	

# File Listing:

Document	Document Description	File Name	File Size(Bytes)/	Multi	Pages
Number	Document Description	riie Naiile	Message Digest	Part /.zip	(if appl.)

1		ALI-001_Response_to_Restricti on_Requirement_asfiled.pdf	54388	yes	8	
			4cc51b18e19df98243eafec36942c17dfaf9a 2f1			
	Multip	part Description/PDF files in .	zip description			
	Document Description		Start	End		
	Response to Election / Restriction Filed		1	7		
	Assertion of entitlement to small entity status		8	8		
Warnings:						
Information:						
2 Fee Worksh	Fee Worksheet (SB06)	fee-info.pdf	29972	no	2	
	,	ice molpai	32c2577c80353086510a2b4eb11a6def8e5 dfd56		_	
Warnings:						
Information:						
Total Files Size (in bytes): 84360						

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

## National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

P	ATENT APPL		E DETI	ERMINATION		Application	o a collection of information or Docket Number /158,372	Filing Date 06/10/2011	To be Mailed
							ENTITY: 🛛 L	ARGE SMA	LL MICRO
				APPLICA	ATION AS FILE	ED – PAR	ті		1
			(Column	1)	(Column 2)				
	FOR	١	NUMBER FIL	.ED	NUMBER EXTRA		RATE (\$)	F	FEE (\$)
	BASIC FEE (37 CFR 1.16(a), (b), (	or (c))	N/A		N/A		N/A		
	SEARCH FEE (37 CFR 1.16(k), (i), o	or (m))	N/A		N/A		N/A		
	EXAMINATION FE (37 CFR 1.16(o), (p),		N/A		N/A		N/A		
	AL CLAIMS CFR 1.16(i))		mir	nus 20 = *			X \$ =		
	EPENDENT CLAIM CFR 1.16(h))	S	m	inus 3 = *			X \$ =		
	APPLICATION SIZE 37 CFR 1.16(s))	FEE of page for s	aper, the a	application size fo y) for each additi	gs exceed 100 sh ee due is \$310 (\$ onal 50 sheets on . 41(a)(1)(G) and	S155			
	MULTIPLE DEPEN	IDENT CLAIM PI	RESENT (3	7 CFR 1.16(j))					
* If t	he difference in colu	umn 1 is less thar	r zero, ente	r "0" in column 2.			TOTAL		
		(Column 1)		APPLICATI	ION AS AMEN (Column 3)	DED – PA	ART II		
INT	07/15/2013	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXT	「RA	RATE (\$)	ADDITIO	ONAL FEE (\$)
AMENDMENT	Total (37 CFR 1.16(i))	* 26	Minus	** 27	= 0		× \$80 =		0
붊	Independent (37 CFR 1.16(h))	* 4	Minus	***4	= 0		× \$420 =		0
AM	Application Si	ze Fee (37 CFR	1.16(s))						
	FIRST PRESEN	ITATION OF MULT	PLE DEPEN	DENT CLAIM (37 CFF	R 1.16(j))				
							TOTAL ADD'L FE	E	0
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		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXT	ra -	RATE (\$)	ADDITIO	ONAL FEE (\$)
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띨	Application Si	ze Fee (37 CFR	1.16(s))						
AM	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))								
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** If *** I	he entry in column the "Highest Numbe f the "Highest Number D	er Previously Paid er Previously Pa	d For" IN THid For" IN T	HIS SPACE is less HIS SPACE is less	than 20, enter "20". than 3, enter "3".		LIE /BURNELL L.		

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
13/158,372	06/10/2011	Scott Fullam	ALI-001	9277		
15516 Kokka & Backt	7590 10/25/201 1S. PC	EXAM	IINER			
703 High Street	t <sup>*</sup>		LEE, EDMUND H			
Palo Alto, CA 9	94301		ART UNIT	PAPER NUMBER		
			1744			
			MAIL DATE	DELIVERY MODE		
			10/25/2013	PAPER		

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Application No. Applicant(s)						
	13/158,372	FULLAM ET	•			
Office Action Summary	Examiner EDMUND LEE	Art Unit 1744	AIA (First Inventor to File) Status No			
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the o	corresponder	nce address			
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tir fill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	N. nely filed the mailing date D (35 U.S.C. § 1	of this communication. 33).			
Status						
1) Responsive to communication(s) filed on <u>7/15/</u> A declaration(s)/affidavit(s) under <b>37 CFR 1.1</b>						
2a) ☐ This action is <b>FINAL</b> . 2b) ☑ This	action is non-final.					
3) An election was made by the applicant in response	·		ing the interview on			
<ul> <li>the restriction requirement and election</li> <li>Since this application is in condition for allowan closed in accordance with the practice under E</li> </ul>	nce except for formal matters, pro	secution as				
Disposition of Claims						
5) Claim(s) 1-10 and 12-27 is/are pending in the a 5a) Of the above claim(s) is/are withdraw 6) Claim(s) is/are allowed.  7) Claim(s) 1-10 and 12-27 is/are rejected.  8) Claim(s) is/are objected to.  9) Claim(s) are subject to restriction and/or  * If any claims have been determined allowable, you may be elimenticating intellectual property office for the corresponding are	vn from consideration.  relection requirement. gible to benefit from the Patent Pro	_	<b>hway</b> program at a			
participating intellectual property office for the corresponding ap http://www.uspto.gov/patents/init_events/pph/index.isp or send						
Application Papers  10) ☐ The specification is objected to by the Examiner  11) ☐ The drawing(s) filed on is/are: a) ☐ acce  Applicant may not request that any objection to the of Replacement drawing sheet(s) including the corrections.	r. epted or b)  objected to by the drawing(s) be held in abeyance. Se	Examiner. e 37 CFR 1.8				
Priority under 35 U.S.C. § 119  12) Acknowledgment is made of a claim for foreign  Certified copies:  a) All b) Some * c) None of the:  1. Certified copies of the priority document  2. Certified copies of the priority document  3. Copies of the certified copies of the priority document  application from the International Bureau  * See the attached detailed Office action for a list of	s have been received. s have been received in Applica rity documents have been receiv I (PCT Rule 17.2(a)).	tion No				
Attachment(s)  1) Motice of References Cited (PTO-892)	3) ☐ Interview Summary Paper No(s)/Mail D					
2) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date <u>2/29/12</u> .	4) Other:	·				

U.S. Patent and Trademark Office PTOL-326 (Rev. 08-13)

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#### **DETAILED ACTION**

1. The present application is being examined under the pre-AIA first to invent provisions.

- 2. Per applicant's amendment filed 7/15/13, claim 11 has been canceled. Claims 1-10 and 12-27 are pending.
- 3. Applicant's election without traverse of claims 1-10 and 12-27 in the reply filed on 7/15/13 is acknowledged.
- 4. The information disclosure statement filed 2/29/12 fails to comply with the provisions of 37 CFR 1.97, 1.98 and MPEP § 609 because some of the references are missing their publication dates. It has been placed in the application file, but the information referred to therein has not been considered as to the merits. Applicant is advised that the date of any re-submission of any item of information contained in this information disclosure statement or the submission of any missing element(s) will be the date of submission for purposes of determining compliance with the requirements based on the time of filing the statement, including all certification requirements for statements under 37 CFR 1.97(e). See MPEP § 609.05(a).
- 5. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent

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and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

6. Claims 1,10,18, and 19 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1 and 16 of copending Application No. 13/427839. Although the conflicting claims are not identical,

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they are not patentably distinct from each other because the claims of Application No. 13/427839 fully encompass instant claims 1,10,18, and 19.

This is a <u>provisional</u> obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

7. Claims 1-10 and 12-27 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1,12, and 21 of copending Application No. 13/135728. Although the conflicting claims are not identical, they are not patentably distinct from each other because the claims of Application No. 13/135728 fully encompass instant claims 1,12, and 21.

This is a <u>provisional</u> obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

- 8. Claims 1-10 and 12-27 are rejected on the ground of nonstatutory double patenting as being unpatentable over claims 28-54 of copending Application No. 13/158416. Although the claims at issue are not identical, they are not patentably distinct from each other because the claims of Application No. 13/158416 fully encompass instant claims 1-10 and 12-27.
- 9. Claims 1-10,12-17, and 19-27 are rejected under 35 U.S.C. 112(b) or 35 U.S.C. 112 (pre-AlA), second paragraph, as being indefinite for failing to particularly point out

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and distinctly claim the subject matter which the inventor or a joint inventor, or for pre-AlA the applicant regards as the invention.

The phrase "the second protective layer being removable if a defect is yielded during an inspection" (cl 1, lns 9-10) is indefinite because it is unclear whether or not an inspection is performed. If an inspection is performed, it should be positively and clearly recited as a step in the claim.

The phrase "the second protective layer being removable if a defect is yielded during an inspection" (cl 10, lns 8-9) is indefinite because it is unclear whether or not an inspection is performed. If an inspection is performed, it should be positively and clearly recited as a step in the claim.

The phrase "the outer molding being removable if a defect is yielded during an inspection" (cl 19, lns 9-10) is indefinite because it is unclear whether or not an inspection is performed. If an inspection is performed, it should be positively and clearly recited as a step in the claim.

Correction is required.

10. The following is a quotation of the appropriate paragraphs of pre-AIA 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

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11. Claims 1,7, 8, 10, 12, 15, 17, 18, 19, 20,26, and 27 are rejected under pre-AIA 35 U.S.C. 102b as being anticipated by Jensen et al (USPN 5998867). Jensen et al teach the claimed process as evidenced by col 2, ln 48-col 3, ln 65; and figures 2-2A.

Elements 44, 46, and 48 of Jensen et al constitute the claimed one or more elements and framework.

Buffer layer 52 constitutes the claimed protective material.

Loaded encapsulant 50 constitutes the claimed first protective layer.

Transfer molded plastic package 40 constitutes the claimed second protective layer.

In regard to the claimed second protective layer/outer molding being remov<u>able</u>, package 40 of Jensen et al is remov<u>able</u>. Applicant is reminded claim 1 does not positively and clearly recite a step of inspecting and removing.

Using epoxies for the buffer layer (col 2, lns 55-57).

Using a printed circuit board for the one or more elements (patented claim 9).

Using a multichip or single chip package for the one or more elements (patented claims 11-12)

12. The following is a quotation of pre-AIA 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

<sup>(</sup>a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

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- 13. Claims 2-6 and 9 are rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Jensen et al (USPN 599867). The above teachings of Jensen et al are incorporated hereinafter. In regard to claims 2-4, such quality assurance measures are well-known in the molding art in order to ensure high quality products. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to perform the claimed quality assurance measures in the process of Jensen et al in order to ensure the production of high quality products. In regard to claims 5-6 and 9, the use of a specific material is a mere obvious matter of choice dependent on the desired final product and of little patentable consequence to the claimed process since it is not a manipulative feature or step of the claimed process. Further, the claimed materials are well-known in the encapsulant art in order to produce diverse, high-quality encapsulants. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to use the claimed materials in the process of Jensen et al in order to produce diverse products having desired qualities.
- 14. Claims 13-14, and 16 are rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Jensen et al (USPN 599867). The above teachings of Jensen et al are incorporated hereinafter. In regard to claim 13, the use of a specific material is a mere obvious matter of choice dependent on the desired final product and of little patentable consequence to the claimed process since it is not a manipulative feature or step of the claimed process. Further, the claimed material is well-known in the encapsulant art in order to produce diverse, high-quality encapsulants. Thus, it would

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have been obvious to one of ordinary skill in the art at the time the invention was made to use the claimed materials in the process of Jensen et al in order to produce diverse products having desired qualities. In regard to claims 14 and 16, the use of a specific preform is a mere obvious matter of choice dependent on the desired final product and of little patentable consequence to the claimed process since it is not a manipulative feature or step of the claimed process. Further, the claimed preforms are well-known in the electronic art for its desired function. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to use the claimed preforms in the process of Jensen et al in order to produce diverse products having a desired function.

15. Claims 21-25 are rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Jensen et al (USPN 599867). The above teachings of Jensen et al are incorporated hereinafter. In regard to claim 21, the use of a specific material is a mere obvious matter of choice dependent on the desired final product and of little patentable consequence to the claimed process since it is not a manipulative feature or step of the claimed process. Further, the claimed material is well-known in the encapsulant art in order to produce diverse, high-quality encapsulants. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to use the claimed materials in the process of Jensen et al in order to produce diverse products having desired qualities. In regard to claims 22-24, the use of a specific preform is a mere obvious matter of choice dependent on the desired final product and of little patentable

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consequence to the claimed process since it is not a manipulative feature or step of the claimed process. Further, the claimed preforms are well-known in the electronic art for its desired function. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to use the claimed preforms in the process of Jensen et al in order to produce diverse products having a desired function. In regard to claim 25, such is well-known in the molding art to re-mold a defective layer in order to produce a high quality product. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to re-mold the outer molding of Jensen et al if the outer molding is found to be defective.

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- 16. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. The following USPNs teach the state of the art: 3902148,5057457,4788583, and 6368899.
- 17. Any inquiry concerning this communication or earlier communications from the examiner should be directed to EDMUND LEE whose telephone number is (571)272-1204. The examiner can normally be reached on MONDAY-THURSDAY FROM 9AM-4PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Yogendra Gupta can be reached on 571.272.1316. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

EDMUND H. LEE Primary Examiner Art Unit 1744

EHL /EDMUND H. LEE/ Primary Examiner, Art Unit 1744

#### Application/Control No. Applicant(s)/Patent Under Reexamination 13/158,372 FULLAM ET AL. Notice of References Cited Art Unit Examiner Page 1 of 1 **EDMUND LEE** 1744 **U.S. PATENT DOCUMENTS** Document Number Date Name Classification Country Code-Number-Kind Code MM-YYYY US-5,998,867 12-1999 Jensen et al. 257/729 US-3,902,148 08-1975 Drees et al. 257/669 В US-5,057,457 10-1991 Miyahara et al. 264/272.14 С D US-6,368,899 04-2002 Featherby et al. 438/127 US-4,788,583 11-1988 Kawahara et al. 257/670 Ε US-F US-G US-Н US-US-J US-Κ US-US-М FOREIGN PATENT DOCUMENTS Document Number Date Name Classification Country Country Code-Number-Kind Code MM-YYYY Ν 0 Ρ Q R s Т NON-PATENT DOCUMENTS Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) U

A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

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**Notice of References Cited** 

Part of Paper No. 20131023

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PTO/S6/08a (07-09)

Approved for use through 07/31/2012. OMB 0651-0031

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Complete if Known Substitute for form 1449/PTO Application Number 13/158,372 Filing Date 6-10-2011 INFORMATION DISCLOSURE First Named Inventor Scott Fullam STATEMENT BY APPLICANT Art Unit 1742 (Use as many sheets as necessary) Examiner Name Unassigned Attorney Docket Number ALI-001 of 9

Sheet **U. S. PATENT DOCUMENTS** Cite Columns, Lines, Where Examiner Document Number Publication Date Name of Patentee or Applicant of Cited Document Initiate? MM-DD-YYYY ant Passages or Relevant -Kind Code<sup>2 (f keese)</sup> Figures Appear Numê US-80498**\**4 09-23-2010 Kahn et al. <sup>US-</sup> 7987070 09-17-2009 Kahn et al. US- 8040382 07-09-2009 Kahn et al. <sup>US-</sup> 7647196 02-12-2009 Kahn et al. US- 7917768 07-24-2008 Kahn et al. US- 7705723 10-04-2007 Kahn et al. US- 7839279 02-01-2007 Kahn et al. US- 8064759 Kahn et al. US- 7982770 Kahn et al. US- 7970586 Kahn et al US- 7907901 Kahn et US- 7881902 Kabr al. US- 7849184 n et al. <sup>US-</sup> 7841967 lahn et al. US-7800044 shn et al. us- 7788059 Kaf et al. <sup>US-</sup> 7753861

		FOREI	GN PATENT DOCL	MENTS	
Examiner Initials*	Oite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Pages, Column Applicant & Cited Document Where Relevan	it Passages
		Country Code <sup>3</sup> Number <sup>3</sup> Kind Code <sup>3</sup> (# known)	MM-DD-YYYY	Or Relevant Fig	jures Appear T <sup>s</sup>
		PCT/US04/26187	02-24-2005	Teller et al.	
		PCT/US01/20014	01-03-2002	Teller et al.	
		PCT/US02/24562	02-20-2003	Teller et al.	
		PCT/US05/06476	10-06-2005	Teller et al.	
		PCT/US09/06234	06-10-2010	Telier et al.	
		PCT/U\$03/26261	03-04-2004	Teller et al.	

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Kahn et al.

Examiner Date Signature Considered

\*EXAMINER: Initial it reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant. \*Applicant's unique citation designation number (optional). \*See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gog">www.uspto.gog</a> or MPEP 901.04. \*Enter Office that issued the document, by the two-lister code (WIPO Standard ST.3). \*For Japanese patery documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. \*Applicant is to place a check mark here if Endish language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file 1 and by the USPTO for process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to dynolete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any configuration for the complete formation of the complete formation of fire you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND

O: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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<sup>US-</sup> 7690556

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PTO/S8/08a (07-09)

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Sheet **U. S. PATENT DOCUMENTS** Cite Columns, Lines, Where Examiner Document Number Publication Date Name of Patentee or Applicant of Cited Document Initiate? MM-DD-YYYY ant Passages or Relevant -Kind Code<sup>2 (f keese)</sup> Figures Appear Numê <sup>US-</sup> 76620€5 Kahn et al. <sup>US-</sup> 7653508 Kahn et al. US-7647195 Kahn et al. US- 7457719 Kahn et al. US- 7343260 Kahn et al. US- 6527711 Stivorio et al. <sup>US-</sup> 6595929 12-05-2002 Stivoric et al <sup>US-</sup> 6605038 Teller et al US- 7020508 **08-26-2004** Stivoric et al. US- 7153262 04-03-2003 Stiveric et a US-7261690 02-14 **2**002 Teller et US- 7285090 08-05-20 Stivos Set al. US- 7502643 05-26-200 fingdon et al. <sup>US-</sup> 7689437 eller et al. US-7959567 11-06-2008 ivorio et al. US-8073707 02-09-200 et al <sup>US-</sup> D439981 th et al US- D451604 Kasabadi t al

		/FOREI	GN PATENT DOCL	IMENTS \		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> Number <sup>3</sup> Kind Code <sup>3</sup> (# known)	MM-DD-YYYY		Or Relevant Figures Appear	
		PCT/US08/54312	08-21-2008	Stivoric et al.		
		PCT/US02/09973	10-10-2002	Stivoric et al.		
		PCT/US01/40968	12-20-2001	Teller et al.		
		CA-2413220		Teller et al.		
		EP-20019/4698		Teller et al.	<b>X</b>	
		IL-1534/8		Teller et al.		

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<sup>US-</sup> D460971

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Sheet **U. S. PATENT DOCUMENTS** Cite Columns, Lines, Where Examiner Document Number Publication Date Name of Patentee or Applicant of Cited Document Initiate? MM-DD-YYYY ant Passages or Relevant -Kind Code<sup>2 (f keese)</sup> Figures Appear Numê US- D631362 Kasabach et al. US- D632398 Kasabach et al US- 61516479 Fish et al. <sup>US-</sup> 61404379 Fish et al. US- 61516480 Fish et al. US- 61404381 Ram David Adva Fish US- D645968 Kasabach et al US- 12684881 07-14-2011 Kahn et al. US- 12472361 -02-2010 Kahn et al. 04-08-2010 US- 12247950 Kahn et al US- 12202206 03-04 2010 Kahn et US- 12469633 11-26-2009 Kahn al. US- 12113110 11-05-200 n et al. <sup>US-</sup> 11871151 04-16-2009 lahn et al. US-12490304 12-24-2009 shn et al. 05-03-200 us- 11582896 Stiv ric et al. <sup>US-</sup> 10638588 02-19-2004 Teller 🖥 US- 10682293 07-08 2004 Teller et a

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		KR-1020027017525		Teller et al.		
		CA-2454655		Teller et al.		
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Application Number 13/158,372

Filing Date 6-10-2011

First Named Inventor Scott Fullam

Art Unit 1742

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Sheet 5 of 9 Attorney Docket Number ALI-001

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		US- 12033746	12-25-2008	Stivoric et al.	
		<sup>US-</sup> 12033751	12-25-2008	Stivoric et al.	
		US- 12033753	12-25-2008	Stivoric et al.	
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		<sup>US-</sup> 10682293	07-08-2004	Teller et al.	
		<sup>US-</sup> 60729663		Donald 9. Stein	
		<sup>US-</sup> 60727357		Pacique et al.	
		<sup>US-</sup> 60555280		Pacione et al.	
		<sup>US-</sup> 60502746	•	eucher et al.	
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		<sup>∪s.</sup> 60729683		Stiveric et al.	
		<sup>US-</sup> 60958516		Andre of al.	
		<sup>US.</sup> 60510013		Farringdol et al.	
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		CA-2560323		Stivoric et al.		
		IL-178183		Stivoric et al.		
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		EP-2005732/35		Stivoric et al.		
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Application Number 13/158,372
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		<sup>∪S</sup> - 8083643	04-28-201	Car Ng et al.	
		<sup>US-</sup> 6356940		Brian Robert Short	
		<sup>US-</sup> 5974262		Fuller et d	
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		EP-2003793253		Stivoric et al.		
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		MX-PA/a/2005/002024		Teller et al.	N .	
		EP-2008730171		Stivoric et al.		

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<sup>US-</sup> 7030781

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4				First Named Inventor	Scott Fullam			
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	(Use as many :	sheets as necessary)		Examiner Name	Unassigned			
Sheet	9	of 9		Attomey Docket Number	ALI-001			

		1		DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 of Access</sup>	Publication Date MM-DO-YYYY	Name of Patentee or Applicant of Cited Document	Pagya, Columns, Lines, Where Receast Passages or Relevant Figures Appear
		US- 1318 1611		Rahman et al.	
		<sup>US-</sup> 13181486		Rahman et al.	/
		US- 13181500		Rahman et al.	
		US- 13181498		Rahman et al.	
		<sup>US-</sup> 13181513		Rahman et al.	
		<sup>US-</sup> 13361919		Rahman et al.	
		<sup>US-</sup> 13405240	02-25-2012	Drysdale et al.	
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•••••		FORE	GN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	
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Examiner Date Considered

"EXAMINER: Initial it eference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not inconformance and not considered, include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Senter Office that issued the decument, by the two-latter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation & attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file 1 and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any configerits on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Parent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND OC Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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## **BIB DATA SHEET**

### **CONFIRMATION NO. 9277**

SERIAL NUMBER FILING or 371(c)			CLASS	GROUP ART	OUP ART UNIT		ATTORNEY DOCKET		
13/158,37	13/158,372 06/10/2011			264	1744		ALI-001		
	RULE								
Scott Full	APPLICANTS Scott Fullam, Palo Alto, CA; Skip Thomas Orvis, Sunnyvale, CA;								
** CONTINUIN	G DATA	<b>4</b> ************************************	**						
** FOREIGN A	PPLICA	TIONS **********	******	*					
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					☐ Credit				

BIB (Rev. 05/07).



Application/Control No.	Applicant(s)/Patent under Reexamination			
13/158,372	FULLAM ET AL			
Examiner	Art Unit			
EDMLIND LEE	1744			

SEARCHED							
Class	Subclass	Date	Examiner				

INTERFERENCE SEARCHED							
Class	Subclass	Date	Examiner				

SEARCH NOTES (INCLUDING SEARCH STRATEGY)					
	DATE	EXMR			
264/255, 272.14,272.15,272.17,	10/23/2013	EHL			
east					
related cases: 13158416,13427839,13135728					
inventor					

U.S. Patent and Trademark Office

Part of Paper No. 20131023

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Fullam et al.

Application Serial No.: 13/158,372

Filing Date: June 10, 2011

For: COMPONENT PROTECTIVE

**OVERMOLDING** 

Examiner: LEE, Edmund H.

Confirmation No.: 9277

Group Art Unit: 1744

Transmission Date: January 22, 2014

Atty. Docket No.: ALI-001

#### CERTIFICATE OF TRANSMISSION

I hereby certify that this correspondence is being transmitted via the U.S. Patent and Trademark Office electronic filing system (EFS-Web) to the

USPTO on January 22, 2014.

Signed: \_

#### **AMENDMENT A**

Dear Sir or Madam:

Please find enclosed a complete, updated claim listing and remarks in response to the Office Action mailed October 25, 2013.

### **CLAIM LISTING**

Please amend claims 1, 2, 4, 10, 18 and 19 and cancel claim 3 as indicated below.

1. (Currently amended) A method, comprising:

selectively applying protective material substantially over one or more elements coupled to a framework;

molding a first protective layer over the framework the one or more elements, and the protective material, after the protective material has been selectively applied, the first protective layer being configured to cover the framework and each of the one or more elements; [[and]]

molding a second protective layer over the first protective layer, the first protective layer being configured to cover the framework and to provide a cosmetic surface configured to receive a pattern, the second protective layer being removable if a defect is yielded during an inspection; and

performing an inspection after molding the second protective layer, the second protective layer being configured to be removed if a defect is detected during the inspection, and the first protective layer being configured to be retained if the defect is detected during the inspection.

- 2. (Currently amended) The method of claim 1, further comprising performing [[an]] another inspection after molding the first protective layer and before molding the second protective layer.
- 3. (Canceled)

4. (Currently amended) The method of claim 1, wherein the second protective layer is removed if [[an]] the inspection after molding the second protective layer yields [[a]] the defect in the second protective layer.

- 5. (Original) The method of claim 1, wherein the protective material comprises an ultra violet curable material.
- 6. (Original) The method of claim 1, wherein the first protective layer comprises a thermoplastic.
- 7. (Original) The method of claim 1, wherein the first protective layer comprises a polymer.
- 8. (Original) The method of claim 1, wherein the first protective layer comprises an elastomer.
- 9. (Original) The method of claim 1, wherein the first protective layer comprises a thermoplastic elastomer.

10. (Currently amended) A method, comprising:

selectively applying protective material substantially over one or more elements coupled to a framework;

forming a first protective layer substantially over the framework, the one or more elements, and the protective material, after the protective material has been selectively applied;

[[and]]

forming a second protective layer substantially over the first protective layer, the first protective layer being configured to protect the framework and to provide a cosmetic surface, the second protective layer being removable if a defect is yielded during an inspection; and

performing an inspection after forming the second protective layer, the second protective layer being configured to be removed if a defect is detected during the inspection, and the first protective layer being configured to be retained if the defect is detected during the inspection.

- 11. (Canceled)
- 12. (Original) The method of claim 10, wherein the protective material comprises an epoxy.
- 13. (Original) The method of claim 10, wherein the protective material comprises an ultra violet curable material configured to prevent damage to the one or more elements when the first protective layer or the second protective layer are formed.
- 14. (Original) The method of claim 10, wherein the one or more elements comprises a structure configured to use electrical power from a battery to generate a vibration.

15. (Original) The method of claim 10, wherein the one or more elements comprises a printed circuit board assembly.

- 16. (Original) The method of claim 10, wherein the one or more elements comprises a memory configured to store data.
- 17. (Original) The method of claim 10, wherein the one or more elements comprises a processor.
- 18. (Currently amended) A method, comprising:

selectively applying a protective material substantially over one or more elements coupled to a framework; [[and]]

forming a molding substantially over the framework, the one or more elements coupled to the framework, and the protective material, an outer surface of the molding being configured to receive a cosmetic design; and

performing an inspection after forming the molding, the molding being configured to be removed if a defect is detected during the inspection, and the protective material being configured to be retained if the defect is detected during the inspection.

19. (Currently amended) A method, comprising:

selectively applying a protective material substantially over one or more elements coupled to a framework;

forming an inner molding substantially over the framework, the one or more elements coupled to the framework, and the protective material, the inner molding being formed after the protective material has been selectively applied; [[and]]

forming an outer molding substantially over the inner molding, the outer molding being configured to protect the framework and to provide a surface configured to receive a pattern, the outer molding being removable if a defect is found during an inspection performed after the outer molding is formed; and

performing an inspection after forming the outer molding, the outer molding being configured to be removed if a defect is detected during the inspection, and the inner molding being configured to be retained if the defect is detected during the inspection.

- 20. (Original) The method of claim 19, wherein the framework comprises a metal.
- 21. (Original) The method of claim 19, wherein the framework comprises an alloy.
- 22. (Original) The method of claim 19, wherein at least one of the one or more elements is an accelerometer.
- 23. (Original) The method of claim 19, wherein at least one of the one or more elements is an analog audio plug.

24. (Original) The method of claim 22, wherein the analog audio plug is a TRRS.

25. (Original) The method of claim 19, further comprising forming another outer molding if the defect is found in the outer molding, the another outer molding being formed after the outer molding is removed.

26. (Original) The method of claim 19, wherein the outer molding is configured to be impermeable.

27. (Original) The method of claim 19, wherein the second protective material is configured to receive a pattern formed on an outer surface of the second protective material.

#### **REMARKS**

Claims 1, 2, 4, 10, 18 and 19 have been amended and claim 3 has been canceled. Claims 1-2, 4-10 and 12-27 remain pending.

The Examiner has provisionally rejected claims 1, 10, 18 and 19 on the ground of non-statutory obviousness-type double patenting as being unpatentable over claims 1 and 16 of copending Application No. 13/427,839. The Examiner has provisionally rejected claims 1-10 and 12-27 on the ground of non-statutory obviousness-type double patenting as being unpatentable over claims 1, 12 and 21 of co-pending Application No. 13/135,728. The Examiner has provisionally rejected claims 1-10 and 12-27 on the ground of non-statutory obviousness-type double patenting as being unpatentable over claims 28-54 of co-pending Application No. 13/158,416. The Examiner has provisionally rejected claims 1-10, 12-17 and 19-27 as being indefinite. The Examiner has rejected claims 1, 7, 8, 10, 12, 15, 17, 18, 19, 20, 26 and 27 under 35 U.S.C. § 102 as being unpatentable over U.S. Patent No. 5,998,867 to Jensen et al. ("Jensen"). The Examiner has rejected claims 2-6, 9, 13-14, 16 and 21-25 under 35 U.S.C. § 103 as being unpatentable over Jensen.

Regarding the double-patenting rejections, the instant application is the earlier filed application of Application No. 13/427,839 (filed March 22, 2012), Application No. 13/135,728 (filed July 12, 2011) and Application No. 13/158,416 (filed June 11, 2011). A terminal disclaimer was filed on May 30, 2013 in Application No. 13/427,839, now issued as U.S. Patent No. 8,529,811. Pursuant to MPEP § 804, "If a 'provisional' nonstatutory obviousness-type double patenting (ODP) rejection is the only rejection remaining in the earlier filed of the two pending applications, while the later-filed application is rejectable on other grounds, the

examiner should withdraw that rejection and permit the earlier-filed application to issue as a patent without a terminal disclaimer," and "If 'provisional' ODP rejections in two applications are the only rejections remaining in those applications, the examiner should withdraw the ODP rejection in the earlier filed application thereby permitting that application to issue without need of a terminal disclaimer." Therefore, Applicants believe that a terminal disclaimer is not required in the instant application.

The rejections are respectfully traversed. As amended, claim 1 recites "...performing an inspection after molding the second protective layer, the second protective layer being configured to be removed if a defect is detected during the inspection, and the first protective layer being configured to be retained if the defect is detected during the inspection...." Jensen does not describe, disclose, teach, suggest, or motivate "...performing an inspection after molding the second protective layer, the second protective layer being configured to be removed if a defect is detected during the inspection, and the first protective layer being configured to be retained if the defect is detected during the inspection...." Thus, Applicants submit that claim 1 is allowable for the reasons stated above.

Claims 10, 18 and 19 have been similarly amended and are believed to be allowable for the same reasons described above.

Claims 2 and 4-9 depend from claim 1, 12-17 depend from claim 10, and claims 20-27 depend from claim 19, and are believed to be allowable for the same reasons described above.

Reconsideration of the application and allowance of all claims are respectfully requested based on the preceding remarks. If at any time the Examiner believes that an interview would be

helpful, please contact the undersigned.

Respectfully submitted,

Daisy S. Yau

Daugh

Reg. No. 58,691

KOKKA & BACKUS, PC 703 High Street Palo Alto, CA 94301-2447

Tel: (650) 566-9916 Fax: (650) 566-9922

Electronic Acl	knowledgement Receipt
EFS ID:	17990505
Application Number:	13158372
International Application Number:	
Confirmation Number:	9277
Title of Invention:	COMPONENT PROTECTIVE OVERMOLDING
First Named Inventor/Applicant Name:	Scott Fullam
Customer Number:	15516
Filer:	Daisy S. Yau
Filer Authorized By:	
Attorney Docket Number:	ALI-001
Receipt Date:	22-JAN-2014
Filing Date:	10-JUN-2011
Time Stamp:	19:02:58
Application Type:	Utility under 35 USC 111(a)

# **Payment information:**

Submitted with Payment			no					
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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

P	I ALEMI ALLEGATION LE DETERMINATION RECORD :						or Docket Number 158,372	Filing Date 06/10/2011	To be Mailed
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ᄖ	SEARCH FEE (37 CFR 1.16(k), (i), (	or (m))	N/A		N/A		N/A		
	EXAMINATION FE (37 CFR 1.16(o), (p),		N/A		N/A		N/A		
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	EPENDENT CLAIM CFR 1.16(h))	S	m	nus 3 = *			X \$ =		
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
13/158,372	06/10/2011	Scott Fullam	ALI-001	9277
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703 High Street	t <sup>*</sup>		LEE, ED!	MUND H
Palo Alto, CA 9	94301		ART UNIT	PAPER NUMBER
			1744	
			MAIL DATE	DELIVERY MODE
			05/29/2014	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	<b>Application No.</b> 13/158,372	Applicant(s) FULLAM ET	
Office Action Summary	Examiner EDMUND LEE	Art Unit 1744	AIA (First Inventor to File) Status No
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orresponden	ce address
A SHORTENED STATUTORY PERIOD FOR REPLY THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	36(a). In no event, however, may a reply be tim rill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	nely filed the mailing date o D (35 U.S.C. § 130	of this communication. 3).
Status			
1) Responsive to communication(s) filed on 1/22/  A declaration(s)/affidavit(s) under 37 CFR 1.1.  2a) This action is FINAL. 2b) This  3) An election was made by the applicant in responsible.  ; the restriction requirement and election  4) Since this application is in condition for allowant closed in accordance with the practice under Expensive Section 1/22/  1.1.  2a) This action is FINAL.  2b) This action responsible to the applicant in the applicant i	30(b) was/were filed on action is non-final. onse to a restriction requirement shave been incorporated into this ace except for formal matters, pro-	s action. osecution as t	
Disposition of Claims*			
5) Claim(s) 1,2,4-10 and 12-27 is/are pending in t 5a) Of the above claim(s) is/are withdraw 6) Claim(s) is/are allowed. 7) Claim(s) 1,2,4-10 and 12-27 is/are rejected. 8) Claim(s) is/are objected to. 9) Claim(s) are subject to restriction and/or * If any claims have been determined allowable, you may be eli participating intellectual property office for the corresponding ap http://www.uspto.gov/patents/init_events/pph/index.isp or send  Application Papers 10) The specification is objected to by the Examinet 11) The drawing(s) filed on is/are: a) access Applicant may not request that any objection to the of Replacement drawing sheet(s) including the corrections.	vn from consideration.  r election requirement.  igible to benefit from the Patent Prosoplication. For more information, plea an inquiry to PPHfeedback@uspto.c  r.  epted or b) □ objected to by the Edrawing(s) be held in abeyance. See	ase see gov. Examiner. e 37 CFR 1.85	i(a).
Priority under 35 U.S.C. § 119  12) Acknowledgment is made of a claim for foreign  Certified copies:  a) All b) Some** c) None of the:  1. Certified copies of the priority document  2. Certified copies of the priority document  3. Copies of the certified copies of the priority document  application from the International Bureau  ** See the attached detailed Office action for a list of the certified	s have been received. s have been received in Applicat rity documents have been receiv u (PCT Rule 17.2(a)).	tion No	
Attachment(s)  1) Notice of References Cited (PTO-892)  2) Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/SPaper No(s)/Mail Date	3)  Interview Summary Paper No(s)/Mail Da 4)  Other:		

U.S. Patent and Trademark Office PTOL-326 (Rev. 11-13) Application/Control Number: 13/158,372 Page 2

Art Unit: 1744

1. The present application is being examined under the pre-AIA first to invent provisions.

#### **DETAILED ACTION**

2. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to

Application/Control Number: 13/158,372 Page 3

Art Unit: 1744

be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

3. Claims 1,10,18, and 19 remain provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1 and 16 of copending Application No. 13/427839. Although the conflicting claims are not identical, they are not patentably distinct from each other because the claims of Application No. 13/427839 fully encompass instant claims 1,10,18, and 19.

This is a <u>provisional</u> obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

4. Claims 1-2, 4-10, and 12-27 remain provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1,12, and 21 of copending Application No. 13/135728. Although the conflicting claims are not identical, they are not patentably distinct from each other because the claims of Application No. 13/135728 fully encompass instant claims 1-2, 4-10, and 12-27.

This is a <u>provisional</u> obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

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5. Claims 1-2, 4-10, and 12-27 remain rejected on the ground of nonstatutory double patenting as being unpatentable over claims 28-54 of copending Application No. 13/158416. Although the claims at issue are not identical, they are not patentably distinct from each other because the claims of Application No. 13/158416 fully encompass instant claims 1-2, 4-10, and 12-27.

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This is a <u>provisional</u> obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

- 6. The following is a quotation of pre-AIA 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 7. Claims 1-2 and 4-9 are rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Jensen et al (USPN 599867) in view of Wurzbacher et al (USPN 7326305). In regard to claim 1, Jensen et al teach the claimed process (col 2, In 48-col 3, In 65; and figures 2-2A) except performing the claimed inspection after molding the second protective layer. Wurzbacher et al teach a process for decapsulating semiconductor articles for purposes including visual inspection and/or failure analysis, wherein the amount of encapulant material removed is easily controlled without disturbing other adjacent or nearby areas (col 1, Ins 5-12; col 4, Ins 4-11; figs 1-2D). Since Jensen et al and Wurzbacher et al are analogous with respect to encapsulated

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semiconductors, it would have been obvious to one of ordinary skill in the art at the time the invention was made to incorporate the inspection step taught by Wurzbacher et al into the process of Jensen et al in order to ensure the production of functional products. It should be noted that the controlled decapsulation taught by Wurzbacher et al makes capable the removal of the second protective layer of Jensen et al while retaining the first protective layer. In regard to claims 2-4, such quality assurance measures are wellknown in the molding art in order to ensure high quality products. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to perform the claimed quality assurance measures in the process of Jensen et al in order to ensure the production of high quality products. In regard to claims 5-6 and 9, the use of a specific material is a mere obvious matter of choice dependent on the desired final product and of little patentable consequence to the claimed process since it is not a manipulative feature or step of the claimed process. Further, the claimed materials are well-known in the encapsulant art in order to produce diverse, high-quality encapsulants. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to use the claimed materials in the process of Jensen et al in order to produce diverse products having desired qualities. In regard to claims 7-8, such are taught by Jensen et al (col 2, ln 48-col 3, ln 65; and figures 2-2A).

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8. Claims 10 and 12-17 are rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Jensen et al (USPN 599867) in view of Wurzbacher et al (USPN 7326305). In regard to claim 10, Jensen et al teach the claimed process (col 2, ln 48-

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col 3, In 65; and figures 2-2A) except performing the claimed inspection after molding the second protective layer. Wurzbacher et al teach a process for decapsulating semiconductor articles for purposes including visual inspection and/or failure analysis, wherein the amount of encapulant material removed is easily controlled without disturbing other adjacent or nearby areas (col 1, lns 5-12; col 4, lns 4-11; figs 1-2D). Since Jensen et al and Wurzbacher et al are analogous with respect to encapsulated semiconductors, it would have been obvious to one of ordinary skill in the art at the time the invention was made to incorporate the inspection step taught by Wurzbacher et al into the process of Jensen et al in order to ensure the production of functional products. It should be noted that the controlled decapsulation taught by Wurzbacher et al makes capable the removal of the second protective layer of Jensen et al while retaining the first protective layer. In regard to claim 12, such are taught by Jensen et al (col 2, ln 48col 3, In 65; and figures 2-2A). In regard to claim 13, the use of a specific material is a mere obvious matter of choice dependent on the desired final product and of little patentable consequence to the claimed process since it is not a manipulative feature or step of the claimed process. Further, the claimed material is well-known in the encapsulant art in order to produce diverse, high-quality encapsulants. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to use the claimed materials in the process of Jensen et al in order to produce diverse products having desired qualities. In regard to claims 14 and 16, the use of a specific preform is a mere obvious matter of choice dependent on the desired final product and of little patentable consequence to the claimed process since it is not a manipulative

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feature or step of the claimed process. Further, the claimed preforms are well-known in the electronic art for its desired function. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to use the claimed preforms in the process of Jensen et al in order to produce diverse products having a desired function. In regard to claim 15 and 17, such are taught by Jensen et al (col 2, ln 48-col 3, ln 65; and figures 2-2A).

9. Claim 18 is rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Jensen et al (USPN 599867) in view of Wurzbacher et al (USPN 7326305). In regard to claim 18, Jensen et al teach the claimed process (col 2, In 48-col 3, In 65; and figures 2-2A) except performing the claimed inspection after molding the second protective layer. Wurzbacher et al teach a process for decapsulating semiconductor articles for purposes including visual inspection and/or failure analysis, wherein the amount of encapulant material removed is easily controlled without disturbing other adjacent or nearby areas (col 1, Ins 5-12; col 4, Ins 4-11; figs 1-2D). Since Jensen et al and Wurzbacher et al are analogous with respect to encapsulated semiconductors, it would have been obvious to one of ordinary skill in the art at the time the invention was made to incorporate the inspection step taught by Wurzbacher et al into the process of Jensen et al in order to ensure the production of functional products. It should be noted that the controlled decapsulation taught by Wurzbacher et al makes capable the removal of the second protective layer of Jensen et al while retaining the first protective layer.

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10. Claims 19-27 are rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Jensen et al (USPN 599867) in view of Wurzbacher et al (USPN 7326305). In regard to claim 19, Jensen et al teach the claimed process (col 2, In 48-col 3, In 65; and figures 2-2A) except performing the claimed inspection after molding the second protective layer. Wurzbacher et al teach a process for decapsulating semiconductor articles for purposes including visual inspection and/or failure analysis, wherein the amount of encapulant material removed is easily controlled without disturbing other adjacent or nearby areas (col 1, lns 5-12; col 4, lns 4-11; figs 1-2D). Since Jensen et al and Wurzbacher et al are analogous with respect to encapsulated semiconductors, it would have been obvious to one of ordinary skill in the art at the time the invention was made to incorporate the inspection step taught by Wurzbacher et al into the process of Jensen et al in order to ensure the production of functional products. It should be noted that the controlled decapsulation taught by Wurzbacher et al makes capable the removal of the second protective layer of Jensen et al while retaining the first protective layer. In regard to claim 20, 26, and 27, such are taught by Jensen et al (col 2, In 48-col 3, In 65; and figures 2-2A). In regard to claim 21, the use of a specific material is a mere obvious matter of choice dependent on the desired final product and of little patentable consequence to the claimed process since it is not a manipulative feature or step of the claimed process. Further, the claimed material is well-known in the encapsulant art in order to produce diverse, high-quality encapsulants. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to use the claimed materials in the process of Jensen et al in order to produce diverse

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products having desired qualities. In regard to claims 22-24, the use of a specific preform is a mere obvious matter of choice dependent on the desired final product and of little patentable consequence to the claimed process since it is not a manipulative feature or step of the claimed process. Further, the claimed preforms are well-known in the electronic art for its desired function. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to use the claimed preforms in the process of Jensen et al in order to produce diverse products having a desired function. In regard to claim 25, such is well-known in the molding art to re-mold a defective layer in order to produce a high quality product. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to re-mold the outer molding of Jensen et al if the outer molding is found to be defective.

- 11. Applicant's arguments with respect to claims 1-2,4-10, and 12-27 have been considered but are most because the arguments do not apply to any of the references being used in the current rejection.
- 12. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within

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TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

13. Any inquiry concerning this communication or earlier communications from the examiner should be directed to EDMUND LEE whose telephone number is (571)272-1204. The examiner can normally be reached on MONDAY-THURSDAY FROM 9AM-4PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Yogendra Gupta can be reached on 571.272.1316. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Application/Control Number: 13/158,372 Page 11

Art Unit: 1744

EDMUND H. LEE Primary Examiner Art Unit 1744

EHL
/EDMUND LEE/
Primary Examiner, Art Unit 1744

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					EDMUND L	ΞE	1744	Page 1 of 1
				U.S. P	ATENT DOCUM	ENTS	•	•
*		Document Number Country Code-Number-Kind Code	Date MM-YYYY			Name		Classification
*	Α	US-7,326,305	02-2008	Wurzb	acher et al.			134/19
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

**Notice of References Cited** 

Part of Paper No. 20140527



Application/Control No.	Applicant(s)/Patent under Reexamination		
13/158,372	FULLAM ET AL.		
Examiner	Art Unit		
EDMUND LEE	1744		

SEARCHED				
Class	Subclass	Date	Examiner	

INTERFERENCE SEARCHED						
Class	Subclass	Date	Examiner			

SEARCH NOTES (INCLUDING SEARCH STRATEGY)				
	DATE	EXMR		
updated	5/27/2014	EHL		
east				
related case 13158416				

U.S. Patent and Trademark Office

Part of Paper No. 20140527

Electronic Patent Application Fee Transmittal						
Application Number:	13	158372				
Filing Date:	10-	Jun-2011				
Title of Invention:		COMPONENT PROTECTIVE OVERMOLDING				
First Named Inventor/Applicant Name:	Sco	ott Fullam				
Filer: Daisy S. Yau/Scott Kokka						
Attorney Docket Number:	Attorney Docket Number: ALI-001					
Filed as Large Entity						
Utility under 35 USC 111(a) Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Extension-of-Time:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Request for Continued Examination	1801	1	1200	1200
Statutory or Terminal Disclaimer	1814	1	160	160
	Tot	al in USD	(\$)	1360

Electronic Acl	Electronic Acknowledgement Receipt				
EFS ID:	20012637				
Application Number:	13158372				
International Application Number:					
Confirmation Number:	9277				
Title of Invention:	COMPONENT PROTECTIVE OVERMOLDING				
First Named Inventor/Applicant Name:	Scott Fullam				
Customer Number:	15516				
Filer:	Daisy S. Yau/Scott Kokka				
Filer Authorized By:	Daisy S. Yau				
Attorney Docket Number:	ALI-001				
Receipt Date:	29-AUG-2014				
Filing Date:	10-JUN-2011				
Time Stamp:	23:44:56				
Application Type:	Utility under 35 USC 111(a)				

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Payment Type	Credit Card
Payment was successfully received in RAM	\$1360
RAM confirmation Number	6721
Deposit Account	
Authorized User	

# File Listing:

Document	Document Description	File Name	File Size(Bytes)/	Multi	Pages
Number	Document Description	riie Name	Message Digest	Part /.zip	(if appl.)

1	Fee Worksheet (SB06)	fee-info.pdf	31775 eb55fcdef03480add0b06469aa1765cf4fe6 60ab	no	2			
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Information:								
		Total Files Size (in bytes):	3	1775				

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Doc code: RCEX Doc description: Request for Continued Examination (RCE)

Request for Continued Examination (RCE)

Represent for Continued Examination (RCE)

Approved for use through 07/31/2012. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

REQUEST FOR CONTINUED EXAMINATION(RCE)TRANSMITTAL							
(Submitted Only via EFS-Web)							
Application Number	13/158,372	Filing Date	2011-06-10	Docket Number (if applicable)	ALI-001	Art Unit	1744
First Named Inventor	Scott Fullam			Examiner Name	LEE, Edmund H.		
This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. The Instruction Sheet for this form is located at WWW.USPTO.GOV							
		S	UBMISSION RE	EQUIRED UNDER 37	CFR 1.114		
in which they		applicant ins	structs otherwise.	If applicant does not wi	nents enclosed with the RCE w sh to have any previously filed		
	y submitted. If a fir on even if this box			ig, any amendments file	d after the final Office action m	ay be con	sidered as a
Co	nsider the argume	nts in the A	ppeal Brief or Re	ply Brief previously filed	on		
Oti	ner 						
⊠ Enclosed							
⊠ Ar	nendment/Reply						
Inf	ormation Disclosur	e Statemer	nt (IDS)				
Affidavit(s)/ Declaration(s)							
Other							
			M	IISCELLANEOUS			
Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of months (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(l) required)							
Other							
FEES							
The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.  The Director is hereby authorized to charge any underpayment of fees, or credit any overpayments, to Deposit Account No							
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED							
□ Patent	Practitioner Signa	iture					
Applic	ant Signature						

Doc code: RCEX
Doc description: Request for Continued Examination (RCE)

PTO/SB/30EFS (07-09)
Approved for use through 07/31/2012. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number,

Signature of Registered U.S. Patent Practitioner						
Signature	Daisida	Date (YYYY-MM-DD)	2014-08-29			
Name	Daisy S. Yau	Registration Number	58691			

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Fullam et al.

Application Serial No.: 13/158,372

Filing Date: June 10, 2011

For: COMPONENT PROTECTIVE

**OVERMOLDING** 

Examiner: LEE, Edmund H.

Confirmation No.: 9277

Group Art Unit: 1744

Transmission Date: August 29, 2014

Atty. Docket No.: ALI-001

#### CERTIFICATE OF TRANSMISSION

I hereby certify that this correspondence is being transmitted via the U.S. Patent and Trademark Office electronic filing system (EFS-Web) to the

USPTO on August 29, 2014.

Signed:

Heather N Erwin

### **AMENDMENT B**

Dear Sir or Madam:

Please find enclosed a complete, updated claim listing and remarks in response to the Office Action mailed May 29, 2014.

#### INTERVIEW SUMMARY UNDER 37 CFR §1.133 AND MPEP §713.04

A telephonic interview in the above-referenced case was conducted on August 15, 2014 between Examiner Lee and the Applicants' undersigned representative. The Office Action mailed on May 29, 2014 was discussed. Specifically, the rejections of claims 1-2 and 4-9 under 35 U.S.C. § 103 as being unpatentable over U.S. Patent No. 5,998,867 to Jensen et al. ("Jensen") in view of U.S. Patent No. 7,326,305 to Wurzbacher et al. ("Wurzbacher"), the rejections of claims 10 and 12-17 under 35 U.S.C. § 103 as being unpatentable over Jensen in view of Wurzbacher, the rejections of claim 18 under 35 U.S.C. § 103 as being unpatentable over Jensen in view of Wurzbacher, and the rejections of claims 19-27 under 35 U.S.C. § 103 as being unpatentable over Jensen in view of Wurzbacher, and the proposed amendments set forth herein were discussed with the intent to place the claims in better condition for allowance or appeal. The Applicants wish to thank the Examiner for his time and attention in this case.

#### **CLAIM LISTING**

Please amend claims 1, 5, 10, 12-13, and 18-19, cancel claims 16 and 27, and add claims 28-29 as indicated below.

## 1. (Currently Amended) A method, comprising:

selectively applying <u>a</u> protective <u>material</u> <u>coating comprising a curable material</u> substantially over one or more elements coupled to a framework, the <u>protective coating</u> protecting the one or more elements from an environmental condition;

more other elements coupled to the framework, the covering protecting the one or more other elements from the environmental condition;

molding a first protective layer <u>under the environmental condition</u> over the framework, the one or more elements, [[and]] the protective <u>material coating</u>, <u>the one or more other</u> <u>elements</u>, and the covering after the protective <u>material coating</u> has been selectively applied, the first protective layer being configured to cover the framework and <u>each of</u> the one or more elements and the one or more other elements;

molding a second protective layer over the first protective layer, the first second protective layer being configured to cover the framework and to provide a cosmetic surface configured to receive a pattern; and

performing an inspection after molding the second protective layer, the second protective

layer being configured to be removed if a defect is detected during the inspection, and the first

protective layer being configured to be retained if the defect is detected during the inspection.

2. (Previously Presented) The method of claim 1, further comprising performing another

inspection after molding the first protective layer and before molding the second protective layer.

3. (Canceled)

4. (Previously Presented) The method of claim 1, wherein the second protective layer

is removed if the inspection after molding the second protective layer yields the defect in the

second protective layer.

5. (Currently Amended) The method of claim 1, wherein the protective material

coating comprises an ultra violet curable material.

6. (Original) The method of claim 1, wherein the first protective layer comprises a

thermoplastic.

7. (Original) The method of claim 1, wherein the first protective layer comprises a

polymer.

8. (Original) The method of claim 1, wherein the first protective layer comprises an

elastomer.

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9. (Original) The method of claim 1, wherein the first protective layer comprises a thermoplastic elastomer.

10. (Currently Amended) A method, comprising:

selectively applying <u>a</u> protective <u>material</u> <u>coating comprising a curable material</u> substantially over one or more elements coupled to a framework, the <u>protective coating</u> protecting the one or more elements from an environmental condition;

selectively placing a covering comprising a physical structure substantially over one or more other elements coupled to the framework, the covering protecting the one or more other elements from the environmental condition;

forming a first protective layer <u>under the environmental condition</u> substantially over the framework, the one or more elements, and the protective <u>material coating</u>, the one or more other <u>elements</u>, and the covering, after the protective <u>material coating</u> has been selectively applied;

forming a second protective layer substantially over the first protective layer, the first second protective layer being configured to protect the framework and to provide a cosmetic surface; and

performing an inspection after forming the second protective layer, the second protective layer being configured to be removed if a defect is detected during the inspection, and the first protective layer being configured to be retained if the defect is detected during the inspection.

11. (Canceled)

12. (Currently Amended) The method of claim 10, wherein the protective material coating

comprises an epoxy.

13. (Currently Amended) The method of claim 10, wherein the protective material coating

comprises an ultra violet curable material configured to prevent damage to the one or more

elements when the first protective layer or the second protective layer are formed.

14. (Original) The method of claim 10, wherein the one or more elements comprises a

structure configured to use electrical power from a battery to generate a vibration.

15. (Original) The method of claim 10, wherein the one or more elements comprises a

printed circuit board assembly.

16. (Canceled)

17. (Original) The method of claim 10, wherein the one or more elements comprises a

processor.

#### 18. (Currently Amended) A method, comprising:

selectively applying a protective material coating comprising a curable material substantially over one or more elements coupled to a framework, the protective coating protecting the one or more elements from an environmental condition;

more other elements coupled to the framework, the covering protecting the one or more other elements from the environmental condition;

forming a molding <u>under the environmental condition</u> substantially over the framework, the one or more elements coupled to the framework, and the protective <u>material coating</u>, the one <u>or more other elements coupled to the framework</u>, and the covering, an outer surface of the molding being configured to receive a cosmetic design; and

performing an inspection after forming the molding, the molding being configured to be removed if a defect is detected during the inspection, and the protective material being configured to be retained if the defect is detected during the inspection.

#### 19. (Currently Amended) A method, comprising:

selectively applying a protective material coating comprising a curable material substantially over one or more elements coupled to a framework, the protective coating protecting the one or more elements from an environmental condition;

more other elements coupled to the framework, the covering protecting the one or more other elements from the environmental condition;

forming an inner molding <u>under the environmental condition</u> substantially over the framework, the one or more elements coupled to the framework, and the protective <del>material</del> coating, the one or more other elements coupled to the framework, and the covering, the inner molding being formed after the protective <del>material</del> coating has been selectively applied;

forming an outer molding substantially over the inner molding, the outer molding being configured to protect the framework and to provide a surface configured to receive a pattern; and

performing an inspection after forming the outer molding, the outer molding being configured to be removed if a defect is detected during the inspection, and the inner molding being configured to be retained if the defect is detected during the inspection.

- 20. (Original) The method of claim 19, wherein the framework comprises a metal.
- 21. (Original) The method of claim 19, wherein the framework comprises an alloy.
- 22. (Original) The method of claim 19, wherein at least one of the one or more elements is an accelerometer.

23. (Original) The method of claim 19, wherein at least one of the one or more elements is an analog audio plug.

- 24. (Original) The method of claim 22, wherein the analog audio plug is a TRRS.
- 25. (Original) The method of claim 19, further comprising forming another outer molding if the defect is found in the outer molding, the another outer molding being formed after the outer molding is removed.
- 26. (Original) The method of claim 19, wherein the outer molding is configured to be impermeable.
- 27. (Canceled)
- 28. (New) The method of claim 1, further comprising:

  removing the second protective layer if the defect is detected during the inspection; and molding a third protective layer over the first protective layer after removing the second protective layer.
- 29. (New) The method of claim 1, further comprising: forming the pattern on the second protective layer.

#### **REMARKS**

Claims 1, 5, 10, 12-13, and 18-19 have been amended to clarify the subject matter regarded as the invention. Claims 16 and 27 have been canceled. Claims 28-29 have been added. Claims 1, 2, 4-10, 12-15, 17-26, and 28-29 remain pending.

The Examiner has provisionally rejected claims 1, 10, 18, and 19 on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1 and 16 of copending U.S. Patent Application No. 13/427,839. The Examiner has provisionally rejected claims 1-2, 4-10, and 12-27 on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1, 12, and 21 of copending U.S. Patent Application No. 13/135,728. The Examiner has provisionally rejected claims 1-2, 4-10, and 12-27 on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 28-54 of copending U.S. Patent Application No. 13/158,416.

The Examiner has also rejected claims 1-2 and 4-9 under 35 U.S.C. § 103 as being unpatentable over U.S. Patent No. 5,998,867 to Jensen et al. ("Jensen") in view of U.S. Patent No. 7,326,305 to Wurzbacher et al. ("Wurzbacher"). The Examiner has rejected claims 10 and 12-17 under 35 U.S.C. § 103 as being unpatentable over Jensen in view of Wurzbacher. The Examiner has rejected claim 18 under 35 U.S.C. § 103 as being unpatentable over Jensen in view of Wurzbacher. The Examiner has rejected claims 19-27 under 35 U.S.C. § 103 as being unpatentable over Jensen in view of Wurzbacher.

Regarding the double-patenting rejections, Applicants have submitted a timely filed terminal disclaimer.

The rejections are respectfully traversed. As amended, claim 1 recites "... selectively applying a protective coating comprising a curable material substantially over one or more elements coupled to a framework, the protective coating protecting the one or more elements from an environmental condition; selectively placing a covering comprising a physical structure substantially over one or more other elements coupled to the framework, the covering protecting the one or more other elements from the environmental condition; molding a first protective layer under the environmental condition over the framework, the one or more elements, the protective coating, the one or more other elements, and the covering after the protective coating has been selectively applied, the first protective layer being configured to cover the framework and the one or more elements and the one or more other elements; molding a second protective layer over the first protective layer, the second protective layer being configured to cover the framework and to provide a cosmetic surface configured to receive a pattern ...." Neither Jensen nor Wurzbacher describe, disclose, teach, suggest, or motivate "... selectively applying a protective coating comprising a curable material substantially over one or more elements coupled to a framework, the protective coating protecting the one or more elements from an environmental condition; selectively placing a covering comprising a physical structure substantially over one or more other elements coupled to the framework, the covering protecting the one or more other elements from the environmental condition; molding a first protective layer under the environmental condition over the framework, the one or more elements, the protective coating, the one or more other elements, and the covering after the protective coating has been selectively applied, the first protective layer being configured to cover the framework and the one or more elements and the one or more other elements; molding a second protective layer over the first protective layer, the second protective layer being configured to cover the

framework and to provide a cosmetic surface configured to receive a pattern ...." Thus,

Applicants submit that claim 1 is allowable for the reasons stated above.

Claims 10, 18, and 19 have been similarly amended and are believed to be allowable for

the same reasons described above.

Claims 2, 4-9, and 28-29 depend from claim 1, claims 12-15 and 17 depend from claim

10, and claims 20-26 depend from claim 19, and are believed to be allowable for the same

reasons described above.

Reconsideration of the application and allowance of all claims are respectfully requested

based on the preceding remarks. If at any time the Examiner believes that an interview would be

helpful, please contact the undersigned.

Respectfully submitted,

Reg. No. 58,691

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703 High Street

Palo Alto, CA 94301-2447

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Fullam et al.

Application No.: 13/158,372

Filed: June 6, 2011

For: COMPONENT PROTECTIVE

**OVERMOLDING** 

Confirmation No.: 9277

Examiner: LEE, Edmund H.

Group Art Unit: 1744

Date: August 29, 2014

Atty. Docket No.: ALI-001

#### CERTIFICATE OF M AILING

I hereby certify that this correspondence is being transmitted via the U.S. Patent and Trademark Office electronic filing system (EFS-Web) to the USPTO on **August 29, 2014**.

Signed: Heave

# TERMINAL DISCLAIMER UNDER 37 C.F.R. § 1.321 TO OBVIATE A PROVISIONAL OBVIOUSNESS-TYPE DOUBLE PATENTING REJECTION

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Dear Sir or Madam:

The owner(s), AliphCom (Assignee), of the entire interest in the above-identified application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the above-identified application (hereafter "instant application"), which would extend beyond the expiration date of the full statutory term defined in 35 U.S.C. 154 and 173 of any patent granted on pending reference Application Number 13/427,839, filed on March 22, 2012, Application Number 13/135,728, filed on July 12, 2011, Application Number 13/158,416, filed on June 11, 2011 (hereafter "reference patent application"), as the term of any patent granted on said reference patent application may be shortened by any terminal disclaimer filed prior to the grant of any patent on the reference patent application. The owner(s) hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and any patent

granted on the reference patent application are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns.

In making the above disclaimer, the owner does not disclaim the terminal part of any patent granted on the above-identified application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. 154 and 173 of any patent(s) granted on said reference patent application, as the term of any patent(s) granted on said reference patent application may be shortened by any terminal disclaimer filed prior to the grant of any patent(s) on the pending reference application, in the event that it later: expires for failure to pay a maintenance fee, is held unenforceable, is found invalid by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321, has all claims canceled by a reexamination certificate, is reissued or is in any matter terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer.

We hereby submit the amount of \$160.00 to cover the statutory disclaimer fees for undiscounted entity. If any questions arise regarding this statutory disclaimer, please contact the undersigned attorney or agent of record.

Respectfully submitted,

Daisy S. Yau Reg. No. 58,691

Date: August 29, 2014

Kokka & Backus, PC 703 High Street Palo Alto, CA 94301

Tel: (650) 566-9916 Fax: (650) 566-9922

Electronic Patent Application Fee Transmittal						
Application Number:	13158372					
Filing Date:	10-Jun-2011					
Title of Invention:	COMPONENT PROTECTIVE OVERMOLDING					
First Named Inventor/Applicant Name:	Scott Fullam					
Filer:	Daisy S. Yau/Heather Erwin					
Attorney Docket Number:	ocket Number: ALI-001					
Filed as Large Entity						
Utility under 35 USC 111(a) Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Extension-of-Time:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Request for Continued Examination	1801	1	1200	1200
Statutory or Terminal Disclaimer	1814	1	160	160
Total in USD (\$)				1360

Electronic Ack	Electronic Acknowledgement Receipt				
EFS ID:	20011578				
Application Number:	13158372				
International Application Number:					
Confirmation Number:	9277				
Title of Invention:	COMPONENT PROTECTIVE OVERMOLDING				
First Named Inventor/Applicant Name:	Scott Fullam				
Customer Number:	15516				
Filer:	Daisy S. Yau				
Filer Authorized By:					
Attorney Docket Number:	ALI-001				
Receipt Date:	29-AUG-2014				
Filing Date:	10-JUN-2011				
Time Stamp:	23:51:40				
Application Type:	Utility under 35 USC 111(a)				

# **Payment information:**

Submitted with Payment no							
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Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)		
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	Multipart Description/PDF files in .zip description					
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	Request for Continued E	Request for Continued Examination (RCE)			2	
	Amendment Submitted/Entere	3		14		
	Terminal Disclai	Terminal Disclaimer Filed			16	
Warnings:						
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

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P	PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875					or Docket Number /158,372	Filing Date 06/10/2011	To be Mailed	
							ENTITY: L	ARGE 🏻 SMA	LL MICRO
				APPLICA	ATION AS FIL	ED – PAR	TI		
			(Column <sup>1</sup>	)	(Column 2)				
	FOR		NUMBER FIL	.ED	NUMBER EXTRA		RATE (\$)	F	EE (\$)
	BASIC FEE (37 CFR 1.16(a), (b),	or (c))	N/A		N/A		N/A		165
	SEARCH FEE (37 CFR 1.16(k), (i), o	or (m))	N/A		N/A		N/A		
⊠	EXAMINATION FE (37 CFR 1.16(o), (p),		N/A		N/A		N/A		110
	TAL CLAIMS CFR 1.16(i))		mir	nus 20 = *			X \$ =		
	EPENDENT CLAIM CFR 1.16(h))	S	m	inus 3 = *			X \$ =		
	If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).								
Ш	MULTIPLE DEPEN							+	075
* 11 1	he difference in colu	ımn 1 is less tha	n zero, ente	r "0" in column 2.			TOTAL		275
		(Column 1)		(Column 2)	ON AS AMEN		ART II		
LN∃	08/29/2014	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDITIO	DNAL FEE (\$)
AMENDMENT	Total (37 CFR 1.16(i))	* 25	Minus	** 27	= 0		× \$40 =		0
EN I	Independent (37 CFR 1.16(h))	* 4	Minus	***4	= 0		x \$210 =		0
AM	Application Si	ze Fee (37 CFR	1.16(s))			_			
	FIRST PRESEN	NTATION OF MULT	IPLE DEPEN	DENT CLAIM (37 CFF	R 1.16(j))				
							TOTAL ADD'L FEI		0
		(Column 1)		(Column 2)	(Column 3	)			
		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDITIO	DNAL FEE (\$)
ENT	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$ =		
ENDM	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =		
	Application Si	ze Fee (37 CFR	1.16(s))						
AM	FIRST PRESEN	NTATION OF MULT	IPLE DEPEN	DENT CLAIM (37 CFF	R 1.16(j))				
							TOTAL ADD'L FEI		
** If ***	the entry in column the "Highest Numbe f the "Highest Numb "Highest Number P	er Previously Pai per Previously Pa	d For" IN Th iid For" IN T	HIS SPACE is less HIS SPACE is less	than 20, enter "20" s than 3, enter "3".		LIE /ANGELA JON		

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS

ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Application Number	Re		pplicant(s)/Patent leexamination ULLAM ET AL.	under	
Document Code - DISQ	·	Internal Do	cument – DC	NOT MAIL	
TERMINAL DISCLAIMER	⊠ APPROVI	ED	☐ DISAPP	ROVED	
Date Filed : 08/29/14	This patent is subject to a Terminal Disclaimer				
Approved/Disapproved by:					
gie Walker					

U.S. Patent and Trademark Office



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
13/158,372 06/10/2011		Scott Fullam	ALI-001	9277
15516 Kokka & Back	7590 01/14/201	5	EXAM	INER
703 High Stree Palo Alto, CA	t		LEE, EDI	MUND H
,			ART UNIT	PAPER NUMBER
			1744	
			MAIL DATE	DELIVERY MODE
			01/14/2015	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No. 13/158,372	Applicant(s FULLAM ET					
Office Action Summary	Examiner EDMUND LEE	Art Unit 1744	AIA (First Inventor to File) Status No				
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orresponden	ce address				
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTHS FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filled after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).							
Status							
1) Responsive to communication(s) filed on 8/29/14.  A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was/were filed on							
2a) This action is <b>FINAL</b> . 2b) ☐ This	action is non-final.						
3) An election was made by the applicant in respo			ng the interview on				
the restriction requirement and election							
4) Since this application is in condition for allowan closed in accordance with the practice under E			to the merits is				
Disposition of Claims*	x parto quayro, 1000 0.5. 11, 10	0.0.210.					
5) Claim(s) <u>1,2,4-10,12-15,17-26,28 and 29</u> is/are	nending in the application						
5a) Of the above claim(s) is/are withdraw							
6) Claim(s) is/are allowed.							
7) Claim(s) <u>1,2,4-10,12-15,17-26,28 and 29</u> is/are	rejected.						
8) Claim(s) is/are objected to.							
9) Claim(s) are subject to restriction and/or	election requirement.						
* If any claims have been determined allowable, you may be eli		secution High	ıway program at a				
participating intellectual property office for the corresponding ap	oplication. For more information, plea	ise see					
http://www.uspto.gov/patents/init_events/pph/index.jsp or send	an inquiry to PPHfeedback@uspto.c	<u>10V</u> .					
Application Papers							
10) The specification is objected to by the Examiner	r.						
11) ☐ The drawing(s) filed on is/are: a) ☐ acce	epted or b) $\square$ objected to by the $\mathbb R$	Examiner.					
Applicant may not request that any objection to the o	drawing(s) be held in abeyance. See	37 CFR 1.85	(a).				
Replacement drawing sheet(s) including the correcti	on is required if the drawing(s) is obj	ected to. See	37 CFR 1.121(d).				
Priority under 35 U.S.C. § 119							
12) ☐ Acknowledgment is made of a claim for foreign	priority under 35 U.S.C. § 119(a)	-(d) or (f).					
Certified copies:							
a) ☐ All b) ☐ Some** c) ☐ None of the:							
<ol> <li>Certified copies of the priority document</li> </ol>							
3. Copies of the certified copies of the priority documents have been received in this National Stage							
application from the International Bureau							
** See the attached detailed Office action for a list of the certifie	a copies not receivea.						
Attachment(s)							
1) Notice of References Cited (PTO-892)	3) Interview Summary						
Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/S Paper No(s)/Mail Date	Paper No(s)/Mail Da 3B/08b) 4) Other:	ate					

U.S. Patent and Trademark Office PTOL-326 (Rev. 11-13)

Art Unit: 1744

1. The present application is being examined under the pre-AIA first to invent

provisions.

#### **DETAILED ACTION**

- 2. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 8/29/14 has been entered.
- 3. The terminal disclaimers filed 8/29/14 have been approved.
- 4. The following is a quotation of pre-AIA 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 5. Claims 1-2, 4-9, 28-29 are rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Jensen et al (USPN 599867) in view of Wurzbacher et al (USPN 7326305). In regard to claim 1, Jensen et al teach the claimed process (col 2, ln 48-col

Art Unit: 1744

3, In 65; and figures 2-2A) except performing the claimed inspection after molding the second protective layer.

It should be noted that the protective coating of Jensen et al is curable and it protects the elements from an environmental condition, which has been defined by the instant specification as including molding conditions (col 2, In 48-col 3, In 65; and figures 2-2A); the protective coating of Jensen et al can also constitute the claimed covering since the instant specification explicitly recites a covering can also be the protective coating (see para 0026); and the first protective layer is molded under environmental conditions/molding conditions (col 2, In 48-col 3, In 65; and figures 2-2A).

Wurzbacher et al teach a process for decapsulating semiconductor articles for purposes including visual inspection and/or failure analysis, wherein the amount of encapulant material removed is easily controlled without disturbing other adjacent or nearby areas (col 1, lns 5-12; col 4, lns 4-11; figs 1-2D). Since Jensen et al and Wurzbacher et al are analogous with respect to encapsulated semiconductors, it would have been obvious to one of ordinary skill in the art at the time the invention was made to incorporate the inspection step taught by Wurzbacher et al into the process of Jensen et al in order to ensure the production of functional products. It should be noted that the controlled decapsulation taught by Wurzbacher et al makes capable the removal of the second protective layer of Jensen et al while retaining the first protective layer. In regard to claims 2-4, such quality assurance measures are well-known in the molding art in order to ensure high quality products. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to perform the claimed quality

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assurance measures in the process of Jensen et al in order to ensure the production of high quality products. In regard to claims 5-6 and 9, the use of a specific material is a mere obvious matter of choice dependent on the desired final product and of little patentable consequence to the claimed process since it is not a manipulative feature or step of the claimed process. Further, the claimed materials are well-known in the encapsulant art in order to produce diverse, high-quality encapsulants. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to use the claimed materials in the process of Jensen et al in order to produce diverse products having desired qualities. In regard to claims 7-8, such are taught by Jensen et al (col 2, ln 48-col 3, ln 65; and figures 2-2A). In regard to claim 28, such is taught by the above combination of Jensen et al and Wurzbacher et al since Wurzbacher et al teach a process for decapsulating semiconductor articles for purposes including visual inspection and/or failure analysis, wherein the amount of encapulant material removed is easily controlled without disturbing other adjacent or nearby areas, and then remolding the removed material in order to provide an approved final product (col 1, lns 5-12; col 4, lns 4-11; figs 1-2D).

6. Claims 10, 12-15, and 17 are rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Jensen et al (USPN 599867) in view of Wurzbacher et al (USPN 7326305). In regard to claim 10, Jensen et al teach the claimed process (col 2, In 48-col 3, In 65; and figures 2-2A) except performing the claimed inspection after molding the second protective layer.

Art Unit: 1744

It should be noted that the protective coating of Jensen et al is curable and it protects the elements from an environmental condition, which has been defined by the instant specification as including molding conditions (col 2, ln 48-col 3, ln 65; and figures 2-2A); the protective coating of Jensen et al can also constitute the claimed covering since the instant specification explicitly recites a covering can also be the protective coating (see para 0026); and the first protective layer is molded under environmental conditions/molding conditions (col 2, ln 48-col 3, ln 65; and figures 2-2A).

Wurzbacher et al teach a process for decapsulating semiconductor articles for purposes including visual inspection and/or failure analysis, wherein the amount of encapulant material removed is easily controlled without disturbing other adjacent or nearby areas (col 1, lns 5-12; col 4, lns 4-11; figs 1-2D). Since Jensen et al and Wurzbacher et al are analogous with respect to encapsulated semiconductors, it would have been obvious to one of ordinary skill in the art at the time the invention was made to incorporate the inspection step taught by Wurzbacher et al into the process of Jensen et al in order to ensure the production of functional products. It should be noted that the controlled decapsulation taught by Wurzbacher et al makes capable the removal of the second protective layer of Jensen et al while retaining the first protective layer. In regard to claim 12, such are taught by Jensen et al (col 2, ln 48-col 3, ln 65; and figures 2-2A). In regard to claim 13, the use of a specific material is a mere obvious matter of choice dependent on the desired final product and of little patentable consequence to the claimed process since it is not a manipulative feature or step of the claimed process. Further, the claimed material is well-known in the encapsulant art in order to produce

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diverse, high-quality encapsulants. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to use the claimed materials in the process of Jensen et al in order to produce diverse products having desired qualities. In regard to claim 14, the use of a specific preform is a mere obvious matter of choice dependent on the desired final product and of little patentable consequence to the claimed process since it is not a manipulative feature or step of the claimed process. Further, the claimed preforms are well-known in the electronic art for its desired function. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to use the claimed preforms in the process of Jensen et al in order to produce diverse products having a desired function. In regard to claim 15 and 17, such are taught by Jensen et al (col 2, In 48-col 3, In 65; and figures 2-2A).

7. Claim 18 is rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Jensen et al (USPN 599867) in view of Wurzbacher et al (USPN 7326305). In regard to claim 18, Jensen et al teach the claimed process (col 2, ln 48-col 3, ln 65; and figures 2-2A) except performing the claimed inspection after molding the second protective layer.

It should be noted that the protective coating of Jensen et al is curable and it protects the elements from an environmental condition, which has been defined by the instant specification as including molding conditions (col 2, ln 48-col 3, ln 65; and figures 2-2A); the protective coating of Jensen et al can also constitute the claimed covering since the instant specification explicitly recites a covering can also be the protective

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coating (see para 0026); and the first protective layer is molded under environmental conditions/molding conditions (col 2, In 48-col 3, In 65; and figures 2-2A).

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Wurzbacher et al teach a process for decapsulating semiconductor articles for purposes including visual inspection and/or failure analysis, wherein the amount of encapulant material removed is easily controlled without disturbing other adjacent or nearby areas (col 1, lns 5-12; col 4, lns 4-11; figs 1-2D). Since Jensen et al and Wurzbacher et al are analogous with respect to encapsulated semiconductors, it would have been obvious to one of ordinary skill in the art at the time the invention was made to incorporate the inspection step taught by Wurzbacher et al into the process of Jensen et al in order to ensure the production of functional products. It should be noted that the controlled decapsulation taught by Wurzbacher et al makes capable the removal of the second protective layer of Jensen et al while retaining the first protective layer.

8. Claims 19-26 are rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Jensen et al (USPN 599867) in view of Wurzbacher et al (USPN 7326305). In regard to claim 19, Jensen et al teach the claimed process (col 2, ln 48-col 3, ln 65; and figures 2-2A) except performing the claimed inspection after molding the second protective layer.

It should be noted that the protective coating of Jensen et al is curable and it protects the elements from an environmental condition, which has been defined by the instant specification as including molding conditions (col 2, ln 48-col 3, ln 65; and figures 2-2A); the protective coating of Jensen et al can also constitute the claimed covering

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since the instant specification explicitly recites a covering can also be the protective coating (see para 0026); and the first protective layer is molded under environmental conditions/molding conditions (col 2, In 48-col 3, In 65; and figures 2-2A).

Wurzbacher et al teach a process for decapsulating semiconductor articles for purposes including visual inspection and/or failure analysis, wherein the amount of encapulant material removed is easily controlled without disturbing other adjacent or nearby areas (col 1, lns 5-12; col 4, lns 4-11; figs 1-2D). Since Jensen et al and Wurzbacher et al are analogous with respect to encapsulated semiconductors, it would have been obvious to one of ordinary skill in the art at the time the invention was made to incorporate the inspection step taught by Wurzbacher et al into the process of Jensen et al in order to ensure the production of functional products. It should be noted that the controlled decapsulation taught by Wurzbacher et al makes capable the removal of the second protective layer of Jensen et al while retaining the first protective layer. In regard to claim 20, and 26, such are taught by Jensen et al (col 2, ln 48-col 3, ln 65; and figures 2-2A). In regard to claim 21, the use of a specific material is a mere obvious matter of choice dependent on the desired final product and of little patentable consequence to the claimed process since it is not a manipulative feature or step of the claimed process. Further, the claimed material is well-known in the encapsulant art in order to produce diverse, high-quality encapsulants. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to use the claimed materials in the process of Jensen et al in order to produce diverse products having desired qualities. In regard to claims 22-24, the use of a specific preform is a mere

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obvious matter of choice dependent on the desired final product and of little patentable consequence to the claimed process since it is not a manipulative feature or step of the claimed process. Further, the claimed preforms are well-known in the electronic art for its desired function. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to use the claimed preforms in the process of Jensen et al in order to produce diverse products having a desired function. In regard to claim 25, such is well-known in the molding art to re-mold a defective layer in order to produce a high quality product. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to re-mold the outer molding of Jensen et al if the outer molding is found to be defective.

9. Applicant's arguments filed 8/29/14 have been fully considered but they are not persuasive. Without detailed reasoning, applicant has merely argued the prior art of record does not teach the claimed invention.

Applicant should note that the protective coating of Jensen et al is curable and it protects the elements from an environmental condition, which has been defined by the instant specification as including molding conditions (col 2, ln 48-col 3, ln 65; and figures 2-2A); the protective coating of Jensen et al can also constitute the claimed covering since the instant specification explicitly recites a covering can also be the protective coating (see para 0026); and the first protective layer is molded under environmental conditions/molding conditions (col 2, ln 48-col 3, ln 65; and figures 2-2A).

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10. Any inquiry concerning this communication or earlier communications from the

examiner should be directed to EDMUND LEE whose telephone number is (571)272-

1204. The examiner can normally be reached on MONDAY-THURSDAY FROM 9AM-

4PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Yogendra Gupta can be reached on 571.272.1316. The fax phone number

for the organization where this application or proceeding is assigned is 571-273-8300.

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For more information about the PAIR system, see http://pair-direct.uspto.gov. Should

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system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

EDMUND H. LEE Primary Examiner

Art Unit 1744

EHL

/EDMUND LEE/

Primary Examiner, Art Unit 1744

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## **EAST Search History**

## **EAST Search History (Prior Art)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	3	(("5999867") or ("5998867") or ("7326305")).PN.	USPAT	OR	OFF	2015/01/07 13:54
L2	2	(("20110061150") or ("20110213225")).PN.	US-PGPUB; USPAT	OR	OFF	2015/01/07 14:34
L3	2	(("5998867") or ("7326305")).PN.	USPAT	OR	OFF	2015/01/07 14:37
L4	3	(("8529811") or ("5998867") or ("7326305")).P <b>N</b> .	USPAT	OR	OFF	2015/01/07 14:40
L5	1	("20120313296").PN.	US-PGPUB; USPAT	OR	OFF	2015/01/07 14:57
L6	1	("20120313272").PN.	US-PGPUB; USPAT	OR	OFF	2015/01/07 15:02
L7	1	("8529811"). <b>PN</b> .	US-PGPUB; USPAT	OR	OFF	2015/01/07 15:13

1/7/2015 3:14:33 PM C:\ Users\ elee1\ Desktop\ default.wsp



Application/Control No.	Applicant(s)/Pate Reexamination	ent under	
13/158,372	FULLAM ET AL.		
Examiner	Art Unit		
EDMIND LEE	1744		

SEARCHED						
Class	Subclass	Date	Examiner			

INTERFERENCE SEARCHED					
Subclass	Date	Examiner			

SEARCH NOTES (INCLUDING SEARCH STRATEGY)		
	DATE	EXMR
updated	1/12/2015	EHL

U.S. Patent and Trademark Office

Part of Paper No. 20150112

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Examiner: L

Fullam et al.

Application Serial No.: 13/158,372

Filing Date: June 10, 2011

For: COMPONENT PROTECTIVE

**OVERMOLDING** 

Examiner: LEE, Edmund H

Confirmation No.: 9277

Group Art Unit: 1744

Transmission Date: July 14, 2015

Atty. Docket No.: ALI-001

#### CERTIFICATE OF EFS-WEB TRANSMISSION

I hereby certify that this correspondence is being transmitted via the U.S. Patent and Trademark Office electronic filing system (EFS-Web) to the USPTO on **July 14, 2015**.

Signed: \_\_\_\_\_\_Scott S. Kokka

## **RESPONSE TO OFFICE ACTION**

Dear Sir or Madam:

Please find enclosed a complete, updated claim listing and remarks in response to the Office Action mailed January 14, 2015.

#### **CLAIM LISTING**

Please amend claims 1, 10, 18, and 19 as indicated below.

#### 1. (Currently amended) A method, comprising:

selectively applying a protective coating comprising a curable material substantially over one or more elements coupled to a framework, the protective coating protecting the one or more elements from an environmental condition;

selectively placing a covering comprising a physical structure substantially over one or more other elements coupled to the framework, the covering protecting the one or more other elements from the environmental condition;

molding a first protective layer under the environmental condition over the framework, the one or more elements, the protective coating, the one or more other elements, and the covering after the protective coating has been selectively applied, the first protective layer being configured to cover the framework and the one or more elements and the one or more other elements;

performing an inspection, the inspection including at least a function test to determine whether the first protective layer has sufficiently coated one or more electronic items to protect the one or more electronic items from damage, after molding the first protective layer, the first protective layer being configured to be removed if a defect is detected during the inspection;

molding a second protective layer over the first protective layer, the second protective layer being configured to cover the framework and to provide a cosmetic surface configured to receive a pattern; and

performing another inspection, the another inspection including at least another function test to determine whether the second protective layer has sufficiently coated one or more electronic items to protect the one or more electronic items from damage, after molding the second protective layer, the second protective layer being configured to be removed if another defect is detected during the another inspection, and the first protective layer being configured to be retained if the another defect is detected during the another inspection.

2. (Previously Presented) The method of claim 1, further comprising performing another inspection after molding the first protective layer and before molding the second protective layer.

- 3. (Canceled)
- 4. (Previously Presented) The method of claim 1, wherein the second protective layer is removed if the inspection after molding the second protective layer yields the defect in the second protective layer.
- 5. (Previously Presented) The method of claim 1, wherein the protective coating comprises an ultra violet curable material.
- 6. (Original) The method of claim 1, wherein the first protective layer comprises a thermoplastic.
- 7. (Original) The method of claim 1, wherein the first protective layer comprises a polymer.
- 8. (Original) The method of claim 1, wherein the first protective layer comprises an elastomer.
- 9. (Original) The method of claim 1, wherein the first protective layer comprises a thermoplastic elastomer.

## 10. (Currently amended) A method, comprising:

selectively applying a protective coating comprising a curable material substantially over one or more elements coupled to a framework, the protective coating protecting the one or more elements from an environmental condition;

selectively placing a covering comprising a physical structure substantially over one or more other elements coupled to the framework, the covering protecting the one or more other elements from the environmental condition;

forming a first protective layer under the environmental condition substantially over the framework, the one or more elements, the protective coating, the one or more other elements, and the covering, after the protective coating has been selectively applied;

performing an inspection, the inspection including at least a function test to determine whether the first protective layer has sufficiently coated one or more electronic items to protect the one or more electronic items from damage, after molding the first protective layer, the first protective layer being configured to be removed if a defect is detected during the inspection;

forming a second protective layer substantially over the first protective layer, the second protective layer being configured to protect the framework and to provide a cosmetic surface; and

performing another inspection, the another inspection including at least another function test to determine whether the second protective layer has sufficiently coated one or more electronic items to protect the one or more electronic items from damage, after forming the second protective layer, the second protective layer being configured to be removed if another defect is detected during the another inspection, and the first protective layer being configured to be retained if the another defect is detected during the another inspection.

## 11. (Canceled)

12. (Previously Presented) The method of claim 10, wherein the protective coating comprises an epoxy.

13. (Previously Presented) The method of claim 10, wherein the protective coating comprises an ultra violet curable material configured to prevent damage to the one or more elements when the first protective layer or the second protective layer are formed.

- 14. (Original) The method of claim 10, wherein the one or more elements comprises a structure configured to use electrical power from a battery to generate a vibration.
- 15. (Original) The method of claim 10, wherein the one or more elements comprises a printed circuit board assembly.
- 16. (Canceled)
- 17. (Original) The method of claim 10, wherein the one or more elements comprises a processor.
- 18. (Currently amended) A method, comprising:

selectively applying a protective coating comprising a curable material substantially over one or more elements coupled to a framework, the protective coating protecting the one or more elements from an environmental condition;

selectively placing a covering comprising a physical structure substantially over one or more other elements coupled to the framework, the covering protecting the one or more other elements from the environmental condition;

forming a molding under the environmental condition substantially over the framework, the one or more elements coupled to the framework, the protective coating, the one or more other elements coupled to the framework, and the covering, an outer surface of the molding being configured to receive a cosmetic design; and

performing an inspection, the inspection including at least a function test to determine whether the molding has sufficiently coated one or more electronic items to protect the one or more electronic items from damage, after forming the molding, the molding being configured to be removed if a defect is detected during the inspection, and the protective material being configured to be retained if the defect is detected during the inspection.

## 19. (Currently amended) A method, comprising:

selectively applying a protective coating comprising a curable material substantially over one or more elements coupled to a framework, the protective coating protecting the one or more elements from an environmental condition;

selectively placing a covering comprising a physical structure substantially over one or more other elements coupled to the framework, the covering protecting the one or more other elements from the environmental condition;

forming an inner molding under the environmental condition substantially over the framework, the one or more elements coupled to the framework, the protective coating, the one or more other elements coupled to the framework, and the covering, the inner molding being formed after the protective coating has been selectively applied;

performing an inspection, the inspection including at least a function test to determine whether the inner molding has sufficiently coated one or more electronic items to protect the one or more electronic items from damage, after forming the inner molding, the inner molding being configured to be removed if a defect is detected during the inspection;

forming an outer molding substantially over the inner molding, the outer molding being configured to protect the framework and to provide a surface configured to receive a pattern; and

performing another inspection, the another inspection including at least another function test to determine whether the outer molding has sufficiently coated one or more electronic items to protect the one or more electronic items from damage, after forming the outer molding, the outer molding being configured to be removed if another defect is detected during the another inspection, and the inner molding being configured to be retained if the another defect is detected during the another inspection.

- 20. (Original) The method of claim 19, wherein the framework comprises a metal.
- 21. (Original) The method of claim 19, wherein the framework comprises an alloy.
- 22. (Original) The method of claim 19, wherein at least one of the one or more elements is an accelerometer.

23. (Original) The method of claim 19, wherein at least one of the one or more elements is an analog audio plug.

- 24. (Original) The method of claim 22, wherein the analog audio plug is a TRRS.
- 25. (Original) The method of claim 19, further comprising forming another outer molding if the defect is found in the outer molding, the another outer molding being formed after the outer molding is removed.
- 26. (Original) The method of claim 19, wherein the outer molding is configured to be impermeable.
- 27. (Canceled)
- 28. (Previously Presented) The method of claim 1, further comprising:
  removing the second protective layer if the defect is detected during the inspection; and
  molding a third protective layer over the first protective layer after removing the second
  protective layer.
- 29. (Previously Presented) The method of claim 1, further comprising: forming the pattern on the second protective layer.

#### REMARKS

Claims 1, 10, 18, and 19 have been amended to clarify the subject matter regarded as the invention. Claims 3, 11, 16, and 27 have been cancelled. Claims 1-2, 4-10, 12-15, 17-26, and 28-29 remain pending.

The Examiner has rejected claims 1-2, 4-10, 12-15, 17-26, and 28-29 under pre-AIA 35 U.S.C. §103(a) as being unpatentable over U.S. Patent No. 5,998,867 to Jensen et al. ("Jensen") in view of U.S. Patent No. 7,326,305 to Wurzbacher et al. ("Wurzbacher").

The rejections are respectfully traversed. As amended, claim 1 recites "...performing an inspection, the inspection including at least a function test to determine whether the first protective layer has sufficiently coated one or more electronic items to protect the one or more electronic items from damage, after molding the first protective layer, the first protective layer being configured to be removed if a defect is detected during the inspection; molding a second protective layer over the first protective layer, the second protective layer being configured to cover the framework and to provide a cosmetic surface configured to receive a pattern; and performing another inspection, the another inspection including at least another function test to determine whether the second protective layer has sufficiently coated one or more electronic items to protect the one or more electronic items from damage, after molding the second protective layer, the second protective layer being configured to be removed if another defect is detected during the another inspection, and the first protective layer being configured to be retained if the another defect is detected during the another inspection." Neither Jensen nor Wurzbacher disclose, teach, suggest, motivate, or otherwise describe the claimed invention as For example, Jensen and Wurzbacher are completely silent on the amended above. implementation of a function test, as described and supported by Applicant's specification, following the formation or molding of protective layers. Wurzbacher is, in fact, completely silent on electronic items altogether and Jensen describes the use of a physical structure (e.g., radiation shield) to protect electronic items, which teaches away from the use of a function test to determine if electronic items remain functional (i.e., produce voltages and currents that indicate operational status) after moldings are applied over them. Thus, Applicants submit that claim 1 is allowable for the reasons stated above.

Claims 2, 4-9, 28, and 29 depend from claim 1 and are believed to be allowable for the same reasons described above. Independent claims 10, 18, and 19 were amended similarly to claim 1 and are also believed to be allowable for the same reasons as those set forth above for claim 1. Further, claims 12-15 and 17 depend from claim 10 and claims 20-26 depend from claim 19 and are also believed to be allowable for similar reasons.

Reconsideration of the application and allowance of all claims are respectfully requested based on the preceding remarks. If at any time the Examiner believes that an interview would be helpful, please contact the undersigned.

Respectfully submitted,

Scott S. Kokka Reg. No. 51,893

KOKKA & BACKUS, PC 703 High Street Palo Alto, CA 94301-2447

Tel: (650) 566-9921 Fax: (650) 566-9922

Electronic Patent Application Fee Transmittal					
Application Number:	13	158372			
Filing Date:	10-	Jun-2011			
Title of Invention:	СО	MPONENT PROTEC	TIVE OVERMOLI	DING	
First Named Inventor/Applicant Name:	rst Named Inventor/Applicant Name: Scott Fullam				
Filer:	Sco	ott Susumu Kokka			
Attorney Docket Number:	ALI-001				
Filed as Large Entity					
Filing Fees for Utility under 35 USC 111(a)					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Extension-of-Time:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension - 3 months with \$0 paid	1253	1	1400	1400
Miscellaneous:				
	Tot	al in USD	(\$)	1400

Electronic Acl	Electronic Acknowledgement Receipt		
EFS ID:	22918984		
Application Number:	13158372		
International Application Number:			
Confirmation Number:	9277		
Title of Invention:	COMPONENT PROTECTIVE OVERMOLDING		
First Named Inventor/Applicant Name:	Scott Fullam		
Customer Number:	15516		
Filer:	Scott Susumu Kokka		
Filer Authorized By:			
Attorney Docket Number:	ALI-001		
Receipt Date:	14-JUL-2015		
Filing Date:	10-JUN-2011		
Time Stamp:	22:50:32		
Application Type:	Utility under 35 USC 111(a)		

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1	Amendment/Req. Reconsideration-After	ALI-001 Response.pdf	135946 no		9
'	Non-Final Reject	ALI-00 Mesponse.pui	aea996cbebb88fc9f0874dff9a1a4163a8cc4 d11	110	9
Warnings:					
Information:					
2	Fee Worksheet (SB06)	fee-info.pdf	30546	no	2
2	ree worksheet (3500)	ree imo.pui	e5a9e65e8d32916c30d09c630e46deb16da f65aa	110	2
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#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

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PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875						n or Docket Number 3/158,372	Filing Date 06/10/2011	To be Mailed	
ENTITY: LARGE 🛛					ARGE 🏻 SMA	LL MICRO			
				APPLICA	ATION AS FIL	ED – PAR	TI		
			(Column 1	)	(Column 2)				
	FOR		NUMBER FIL	.ED	NUMBER EXTRA		RATE (\$)	F	FEE (\$)
	BASIC FEE (37 CFR 1.16(a), (b),				N/A		N/A		
	SEARCH FEE (37 CFR 1.16(k), (i), or (m))		N/A		N/A		N/A		
	EXAMINATION FE (37 CFR 1.16(o), (p),		N/A		N/A		N/A		
	TAL CLAIMS CFR 1.16(i))		mir	nus 20 = *			X \$ =		
	EPENDENT CLAIM CFR 1.16(h))	S	m	inus 3 = *			X \$ =		
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		(Column 1)		APPLICAT	ON AS AMEN		ART II		
LN	07/14/2015	CLAIMS REMAINING AFTER AMENDMENT	-	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDITIO	ONAL FEE (\$)
AMENDMENT	Total (37 CFR 1.16(i))	* 25	Minus	** 27	= 0		x \$40 =		0
ii l	Independent (37 CFR 1.16(h))	* 4	Minus	***4	= 0		x \$210 =		0
AM	Application Si	ze Fee (37 CFR	1.16(s))						
	FIRST PRESEN	NTATION OF MUL	TIPLE DEPEN	DENT CLAIM (37 CFF	R 1.16(j))				
							TOTAL ADD'L FEI	E	0
		(Column 1)		(Column 2)	(Column 3	)			
		CLAIMS REMAINING AFTER AMENDMEN	-	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDITIO	ONAL FEE (\$)
ENT	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$ =		
ENDM	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =		
	Application Si	ze Fee (37 CFR	1.16(s))						
A	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))								
							TOTAL ADD'L FEI	E	
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# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3502102

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	SECURITY INTEREST

## **CONVEYING PARTY DATA**

Name	Execution Date
ALIPHCOM	08/26/2015
MACGYVER ACQUISITION LLC	08/26/2015
ALIPH, INC.	08/26/2015
BODYMEDIA, INC.	08/26/2015
PROJECT PARIS ACQUISITION LLC	08/26/2015

## **RECEIVING PARTY DATA**

Name:	BLACKROCK ADVISORS, LLC	
Street Address:	1 UNIVERSITY SQUARE DRIVE	
Internal Address:	C/O GLOBAL ALLOCATION GROUP	
City:	PRINCETON	
State/Country:	tate/Country: NEW JERSEY	
Postal Code:	tal Code: 08540	

## **PROPERTY NUMBERS Total: 604**

Property Type	Number
Application Number:	10159770
Application Number:	13069244
Application Number:	13069264
Application Number:	13069275
Application Number:	13753441
Application Number:	29333427
Application Number:	29333428
Application Number:	29333430
Application Number:	29333431
Application Number:	29333432
Application Number:	10400292
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Application Number:	29516016
Application Number:	29516017
Application Number:	29517054
Application Number:	29517056

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NAME OF SUBMITTER:	JOSHUA S. JACKSON
SIGNATURE:	/j jackson/
DATE SIGNED:	08/27/2015
	This document serves as an Oath/Declaration (37 CFR 1.63).

**Total Attachments: 32** 

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### AMENDED AND RESTATED PATENT SECURITY AGREEMENT

THIS AMENDED AND RESTATED PATENT SECURITY AGREEMENT (as it may be amended, restated or otherwise modified from time to time, the "Patent Security Agreement") is entered into as of August 26, 2015 by and among (i) AliphCom, a California corporation (the "Company"), MacGyver Acquisition LLC, a Delaware limited liability company, Aliph, Inc., a Delaware Corporation, BodyMedia, Inc., a Delaware corporation, and Project Paris Acquisition LLC, a Delaware limited liability company (each, a "Grantor", and collectively, the "Grantors"), and (ii) BlackRock Advisors, LLC, a Delaware limited liability company (the "Agent").

#### WITNESSETH:

WHEREAS, each of the Grantors, the Purchasers identified therein and the Agent are entering into the Note Purchase Agreement, dated as of April 28, 2015, as amended by the First Amendment to Note Purchase Agreement; Omnibus Amendment to Secured Convertible Promissory Notes and Limited Consent, dated as of July 22, 2015 (as amended, restated, supplemented, or otherwise modified from time to time, the "Existing Note Purchase Agreement"), pursuant to which the Company issued certain secured convertible promissory notes (the "Notes") and the other Grantors agreed to Guarantee the Obligations;

WHEREAS, each of the Grantors and the Agent entered into the original Security Agreement, dated as of April 28, 2015 (as amended, restated, supplemented, or otherwise modified from time to time, the "Original Security Agreement"), in order to induce the Purchasers to purchase the Notes and to secure the Secured Obligations; and

WHEREAS, pursuant to the Original Security Agreement, each of the Grantors is required to execute and deliver to Agent the original Patent Security Agreement, dated as of April 28, 2015 (the "Original Patent Security Agreement");

WHEREAS, each of the Grantors, the Purchasers identified therein and the Agent entered into the Note Purchase Agreement, dated as of July 21, 2015 (as amended, restated, supplemented, or otherwise modified from time to time, the "July 2015 Note Purchase Agreement"), pursuant to which the Company issued certain additional Notes and the other Grantors agreed to Guarantee the Secured Obligations;

WHEREAS, each of the Grantors and the Agent entered into the Amended and Restated Security Agreement, dated as of July 22, 2015 (as amended, restated, supplemented, or otherwise modified from time to time, the "Security Agreement"), in order to induce the Purchasers to purchase the additional Notes and to secure the Secured Obligations; and

WHEREAS, the parties to the Original Patent Security Agreement wish to amend and restate the Original Patent Security Agreement in its entirety;

NOW, THEREFORE, in consideration of the premises and mutual covenants herein contained and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each Grantor hereby agrees as follows:

1. <u>DEFINED TERMS</u>. All initially capitalized terms used but not otherwise defined herein have the meanings given to them in the Security Agreement, and this Patent Security Agreement shall be subject to the rules of construction set forth in the Security Agreement, which rules of construction are incorporated herein by this reference, *mutatis mutandis*.

- 2. GRANT OF SECURITY INTEREST IN PATENT COLLATERAL. Each Grantor does hereby unconditionally grant, assign, and pledge to Agent, and agrees to unconditionally grant, assign, and pledge to Agent, for its benefit and the benefit of each of the Noteholders, to secure the Secured Obligations, as applicable, a continuing security interest (referred to in this Patent Security Agreement as the "Security Interest") in all of such Grantor's entire right, title and interest in and to the following, whether now owned or hereafter acquired or arising (collectively, the "Patent Collateral"):
- (a) all of such Grantor's Patents and Patent Intellectual Property Licenses to which it is a party including those referred to on <u>Schedule I</u>;
- (b) all applications, improvements, divisionals, continuations, continuations-in-part, reissues, reexaminations, or extensions of the foregoing, foreign counterparts, and the inventions covered thereby;
- (c) all files and records relating to the prosecution, exploitation, and defense of any of the foregoing, and all rights of action pertaining to the Grantor's Patents; and
- (d) all products and proceeds of the foregoing, including any claim by such Grantor against third parties for past, present or future infringement of any Patent or any Patent exclusively licensed under any Intellectual Property License, including the right to receive damages, or right to receive license fees, royalties, and other compensation under any Patent Intellectual Property License.
- 3. <u>SECURITY FOR SECURED OBLIGATIONS</u>. This Patent Security Agreement and the Security Interest created hereby secures the payment and performance of the Secured Obligations, whether now existing or arising hereafter. Without limiting the generality of the foregoing, this Patent Security Agreement secures the payment of all amounts which constitute part of the Secured Obligations and would be owed by Grantors, or any of them, to Agent, or the Noteholders, whether or not they are unenforceable or not allowable due to the existence of an Insolvency Proceeding involving any Grantor.
- 4. <u>SECURITY AGREEMENT</u>. The Security Interest granted pursuant to this Patent Security Agreement is granted in conjunction with the security interests granted to Agent, for its benefit and the benefit of the Noteholders, pursuant to the Security Agreement. Each Grantor hereby acknowledges and affirms that the rights and remedies of Agent with respect to the Security Interest in the Patent Collateral made and granted hereby are more fully set forth in the Security Agreement, the terms and provisions of which are incorporated by reference herein as if fully set forth herein. To the extent there is any inconsistency between this Patent Security Agreement and the Security Agreement, the Security Agreement shall control.
- 5. <u>AUTHORIZATION TO SUPPLEMENT</u>. If any Grantor shall obtain rights to any new patent application or issued patent or become entitled to the benefit of any patent application or patent for any improvement, divisional, continuation, continuation-in-part, reissue, or reexamination of any existing patent or patent application, or any inventions covered thereby, the provisions of this Patent Security Agreement shall automatically apply thereto. Grantors hereby authorize Agent unilaterally to modify this Patent Security Agreement by amending <u>Schedule I</u> to include any such new patent rights of each Grantor. Notwithstanding the foregoing, no failure to so modify this Patent Security Agreement or amend <u>Schedule I</u> shall in any way affect, invalidate or detract from Agent's continuing security interest in all Collateral, whether or not listed on <u>Schedule I</u>.
- 6. <u>COUNTERPARTS</u>. This Patent Security Agreement is a Note Document. This Patent Security Agreement may be executed in any number of counterparts and by different parties on separate counterparts, each of which, when executed and delivered, shall be deemed to be an original, and all of which, when taken together, shall constitute but one and the same Patent Security Agreement. Delivery

of an executed counterpart of this Patent Security Agreement by telefacsimile or other electronic method of transmission shall be equally as effective as delivery of an original executed counterpart of this Patent Security Agreement. Any party delivering an executed counterpart of this Patent Security Agreement by telefacsimile or other electronic method of transmission also shall deliver an original executed counterpart of this Patent Security Agreement but the failure to deliver an original executed counterpart shall not affect the validity, enforceability, and binding effect of this Patent Security Agreement.

- 7. CHOICE OF LAW AND VENUE, JURY TRIAL WAIVER. THIS PATENT SECURITY AGREEMENT SHALL BE SUBJECT TO THE PROVISIONS REGARDING CHOICE OF LAW AND VENUE AND JURY TRIAL WAIVER SET FORTH IN SECTIONS <u>8.16</u>, <u>8.17 AND 8.18</u> OF THE SECURITY AGREEMENT, AND SUCH PROVISIONS ARE INCORPORATED HEREIN BY THIS REFERENCE, *MUTATIS MUTANDIS*.
- 8. ORIGINAL PATENT SECURITY AGREEMENT AMENDED AND RESTATED. This Patent Security Agreement is an amendment and restatement of the Original Patent Security Agreement. This Patent Security Agreement is in no way intended to constitute a novation of the Original Patent Security Agreement or the "Obligations" (as defined in the Existing Note Purchase Agreement and the July 2015 Note Purchase Agreement). Any security granted pursuant to or in connection with the Original Patent Security Agreement and the other documents executed in connection therewith shall continue to secure the Secured Obligations.

[signature page follows]

IN WITNESS WHEREOF, the parties hereto have caused this Patent Security Agreement to be executed and delivered as of the day and year first above written.

ALIPHCOM,
a California corporation
DocuSigned by:
Hosain Kalman
Dxr-1
Name: Hosain Rahman
Title: Chief Executive Officer
ALIPH, INC.,
a Delaware corporation
•
D
Name: Hosain Rahman
Title: Chief Executive Officer
MACGYVER ACQUISITION, LLC,
a Delaware limited liability company
by ALLIPHCOM, as sole member
Hosain Kaleman
By:
Name: Hosain Rahman
Title: Chief Executive Officer
BODYMEDIA, INC.,
a Delaware corporation
DocuSigned by:
Hosain Raliman
By:
Name: Hosain Rahman
Title: Chief Executive Officer
<b>PROJECT PARIS ACQUISITION LLC</b> , a
Delaware limited liability company
by ALIPHCOM member
thosain Kaliman
By: (
Name: Hosain Rahman
Title: Chief Executive Officer

[SIGNATURE PAGE TO AMENDED AND RESTATED PATENT SECURITY AGREEMENT]

**GRANTORS:** 

AGENT:

ACCEPTED AND ACKNOWLEDGED BY:

BLACKROCK ADVISORS, LLC

[SIGNATURE PAGE TO AMENDED AND RESTATED PATENT SECURITY AGREEMENT]

# SCHEDULE I to PATENT SECURITY AGREEMENT

# **Patents**

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AliphCom	U.S.	D0696276S	29405646	11/3/2011
AliphCom	U.S.	D0678864S	29413571	2/16/2012
AliphCom	U.S.	D0678865S	29413572	2/16/2012

AliphCom	U.S.	D0678244S	29413573	2/16/2012
AliphCom	U.S.	D0698757S	29445283	2/8/2013
/p//com	0.5.	500307373	23 1 13203	2, 3, 2013
AliphCom	U.S.	D0698758S	29445286	2/8/2013
Aliphcom	0.3.	D00387383	29443280	2/8/2013
AliphCom	U.S.	D0698759S	29445287	2/8/2013
AliphCom	U.S.	D0710325S	29459899	7/3/2013
AliphCom	U.S.	D0713389S	29459900	7/3/2013
AliphCom	U.S.		62019327	6/30/2014
AliphCom	U.S.		62067428	10/22/2014
AliphCom	U.S.		62107411	1/25/2015
AliphCom	U.S.		14/121,355	8/22/2014
AliphCom	U.S.		14/121,463	9/8/2014
AliphCom	U.S.		14/121,465	9/8/2014
AliphCom	U.S.		14/121,938	11/4/2014
AliphCom	U.S.		14/121,939	11/4/2014
AliphCom	U.S.		14/121,940	11/4/2014
AliphCom	U.S.		14/121,941	11/4/2014
AliphCom	U.S.		14/121,942	11/4/2014
AliphCom	U.S.		14/121,943	11/4/2014
AliphCom	U.S.		14/121,944	11/4/2014
AliphCom	U.S.		14/121,947	11/4/2014
AliphCom	U.S.		14/121,948	11/4/2014
AliphCom	U.S.		14/313901	6/24/2014
AliphCom	U.S.		14/480,048	9/8/2014
AliphCom	U.S.		14/480,070	9/8/2014
AliphCom	U.S.		14/480,427	9/8/2014
AliphCom	U.S.		14/480,446	9/8/2014
AliphCom	U.S.		14/480,452	9/8/2014
AliphCom	U.S.		14/480,462	9/8/2014
AliphCom	U.S.		14/480,628	9/8/2014
AliphCom	U.S.		14/486,978	9/15/2014
AliphCom	U.S.		14/486,997	9/15/2014

AliphCom	U.S.		14/488,042	9/16/2014
AliphCom	U.S.		14/493,298	9/22/2014
AliphCom	U.S.		14/519,116	10/20/2014
AliphCom	U.S.		14/526,503	10/28/2014
AliphCom	U.S.		14/532,789	11/4/2014
AliphCom	U.S.		14/541,064	11/13/2014
AliphCom	U.S.		14/541,134	11/13/2014
AliphCom	U.S.		14/541,135	11/13/2014
AliphCom	U.S.		14/578,297	12/19/2014
AliphCom	U.S.		14/596,127	1/13/2015
AliphCom	U.S.		14/606,995	1/27/2015
AliphCom	U.S.		14/637,387	3/3/2015
AliphCom	U.S.		14/640,013	3/5/2015
AliphCom	U.S.		14/656,683	3/12/2015
AliphCom	U.S.		14/659,521	3/16/2015
BodyMedia, Inc.	U.S.	6,527,711	9419600	10/18/1999
BodyMedia, Inc.	U.S.	7,689,437	9595660	6/16/2000
BodyMedia, Inc.	U.S.	6605038B1	9602537	6/23/2000
BodyMedia, Inc.	U.S.		9620579	7/20/2000
BodyMedia, Inc.	U.S.	6595929B2	9822890	3/30/2001
BodyMedia, Inc.	U.S.	7261690B2	9923181	8/6/2001
BodyMedia, Inc.	U.S.	7020508B2	10227575	8/22/2002
Bodymedia, Inc.	U.S.	7153262B2	10313255	12/6/2002
BodyMedia	U.S.		10612894	7/7/2003
BodyMedia, Inc.	U.S.	8157731B2	10682293	10/9/2003
BodyMedia, Inc.	U.S.	7285090B2	10682759	10/9/2003
BodyMedia, Inc.	U.S.	8398546B2	10940214	9/13/2004
BodyMedia, Inc.	U.S.	7502643B2	10940889	9/13/2004
BodyMedia, Inc.	U.S.	8663106B2	11088002	3/22/2005

Bodymedia, Inc.	U.S.		11239748	9/30/2005
Bodymedia, Inc.	U.S.	8073707	11247049	10/11/2005
Bodymedia, Inc.	U.S.	8961413	11434949	5/16/2006
Bodymedia, Inc.	U.S.	8403845	11481147	7/5/2006
BodyMedia, Inc.	U.S.		11582896	10/17/2006
Bodymedia, Inc.	U.S.	8961414B2	11724373	3/15/2007
Bodymedia, Inc.	U.S.	7,959,567	11876623	10/22/2007
Bodymedia, Inc.	U.S.		11925906	10/27/2007
BodyMedia, Inc.	U.S.	8708904B2	11925965	10/28/2007
Bodymedia, Inc.	U.S.		11928039	10/30/2007
Bodymedia, Inc.	U.S.		11930036	10/30/2007
Bodymedia, Inc.	U.S.		11930053	10/30/2007
Bodymedia, Inc.	U.S.		11930081	10/30/2007
Bodymedia, Inc.	U.S.	8641612B2	11930091	10/31/2007
Bodymedia, Inc.	U.S.	8,968,196	11930092	10/31/2007
Bodymedia, Inc.	U.S.	8852098B2	11930094	10/31/2007

BodyMedia, Inc.	U.S.		12033722	2/19/2008
BodyMedia, Inc.	U.S.		12033731	2/19/2008
BodyMedia, Inc.	U.S.		12033741	2/19/2008
BodyMedia, Inc.	U.S.		12033746	2/19/2008
BodyMedia, Inc.	U.S.		12033751	2/19/2008
BodyMedia, Inc.	U.S.	8382590B2	12033753	2/19/2008
BodyMedia, Inc.	U.S.	8275635B2	12033760	2/19/2008
BodyMedia, Inc.	U.S.		12033766	2/19/2008
BodyMedia	U.S.		12352911	1/13/2009
BodyMedia, Inc.	U.S.	8369936B2	12840109	7/20/2010
BodyMedia, Inc.  Virginia Commonwealth University	U.S.		13130282	6/18/2012
Bodymedia, Inc.	U.S.	8870766	13291879	11/8/2011
Bodymedia, Inc.	U.S.		13291982	11/8/2011
BodyMedia, Inc.	U.S.		13734433	1/4/2013
BodyMedia, Inc.	U.S.		13761409	2/7/2013
BODYMEDIA, INC.	U.S.		13761557	2/7/2013

BodyMedia, Inc.	U.S.		14058485	10/21/2013
BodyMedia, Inc.	U.S.		14058493	10/21/2013
BodyMedia, Inc.	U.S.	8979763	14058501	10/21/2013
BodyMedia, Inc.	U.S.		14058563	10/21/2013
BodyMedia, Inc.	U.S.		14059054	10/21/2013
BodyMedia, Inc.	U.S.		14059072	10/21/2013
BodyMedia, Inc.	U.S.		14059117	10/21/2013
BODYMEDIA, INC.	U.S.		14060004	10/22/2013
BodyMedia, Inc.	U.S.		14060010	10/22/2013
BodyMedia, Inc.	U.S.		14060029	10/22/2013
BodyMedia, Inc.	U.S.		14060047	10/22/2013
BodyMedia, Inc.	U.S.		14060072	10/22/2013
BodyMedia, Inc.	U.S.		14060082	10/22/2013
BodyMedia, Inc.	U.S.		14060092	10/22/2013
BODYMEDIA, INC.	U.S.		14060122	10/22/2013
BodyMedia, Inc.	U.S.		14081369	11/15/2013
BodyMedia, Inc.	U.S.		14081406	11/15/2013
BodyMedia, Inc.	U.S.		14081880	11/15/2013
BodyMedia, Inc.	U.S.		14081889	11/15/2013
BodyMedia, Inc.	U.S.		14081901	11/15/2013
BodyMedia, Inc.	U.S.		14081928	11/15/2013
BodyMedia, Inc.	U.S.		14081935	11/15/2013
BodyMedia, Inc.	U.S.		14081942	11/15/2013
BodyMedia, Inc.	U.S.		14081951	11/15/2013
BodyMedia, Inc.	U.S.		14081956	11/15/2013
BodyMedia, Inc.	U.S.		14081967	11/15/2013
BodyMedia, Inc.	U.S.		14081972	11/15/2013
BodyMedia, Inc.	U.S.		14081979	11/15/2013
BodyMedia, Inc.	U.S.		14082070	11/15/2013
BodyMedia, Inc.	U.S.		14082176	11/17/2013
BodyMedia, Inc.	U.S.		14082178	11/17/2013
BodyMedia, Inc.	U.S.		14082179	11/17/2013
BODYMEDIA, INC.	U.S.		14082180	11/17/2013
BodyMedia, Inc.	U.S.		14082183	11/17/2013
BODYMEDIA, INC.	U.S.		14082185	11/17/2013
BodyMedia, Inc.	U.S.		14082186	11/17/2013
BodyMedia, Inc.	U.S.		14082188	11/17/2013
BodyMedia, Inc.	U.S.		14082189	11/17/2013
BodyMedia, Inc.	U.S.		14082190	11/17/2013
BodyMedia, Inc.	U.S.		14082192	11/17/2013
BodyMedia, Inc.	U.S.		14083372	11/18/2013

BodyMedia, Inc.	U.S.	14083382	11/18/2013
BodyMedia, Inc.	U.S.	14083389	11/18/2013
BodyMedia, Inc.	U.S.	14083397	11/18/2013
BodyMedia, Inc.	U.S.	14083401	11/18/2013
BodyMedia, Inc.	U.S.	14083404	11/18/2013
BodyMedia, Inc.	U.S.	14083407	11/18/2013
BodyMedia, Inc.	U.S.	14133607	12/18/2013
BodyMedia, Inc.	U.S.	14133610	12/18/2013
BodyMedia, Inc.	U.S.	14133612	12/18/2013
BodyMedia, Inc.	U.S.	14133615	12/18/2013
BodyMedia, Inc.	U.S.	14133619	12/18/2013
BodyMedia, Inc.	U.S.	14133620	12/18/2013
BodyMedia, Inc.	U.S.	14133622	12/18/2013
BodyMedia, Inc.	U.S.	14133625	12/18/2013
BodyMedia, Inc.	U.S.	14133626	12/18/2013
BodyMedia, Inc.	U.S.	14133634	12/18/2013
BodyMedia, Inc.	U.S.	14133638	12/19/2013
BodyMedia, Inc.	U.S.	14133640	12/19/2013
BodyMedia, Inc.	U.S.	14133641	12/19/2013
BodyMedia, Inc.	U.S.	14136750	12/20/2013
BodyMedia, Inc.	U.S.	14137027	12/20/2013
BodyMedia, Inc.	U.S.	14137087	12/20/2013
BodyMedia, Inc.	U.S.	14137126	12/20/2013
BodyMedia, Inc.	U.S.	14137233	12/20/2013
BodyMedia, Inc.	U.S.	14138030	12/21/2013
BodyMedia, Inc.	U.S.	14138033	12/21/2013
BodyMedia, Inc.	U.S.	14138042	12/21/2013
BodyMedia, Inc.	U.S.	14138043	12/21/2013
BodyMedia, Inc.	U.S.	14138046	12/21/2013
BodyMedia, Inc.	U.S.	14139871	12/24/2013
BodyMedia, Inc.	U.S.	14139872	12/24/2013
BodyMedia, Inc.	U.S.	14139873	12/24/2013
Bodymedia, Inc.	U.S.	14139875	12/24/2013
BodyMedia, Inc.	U.S.	14221506	3/21/2014
BODYMEDIA, INC.	U.S.	14248576	4/9/2014
BodyMedia, Inc.	U.S.	14248776	4/9/2014
BodyMedia	U.S.	14248830	4/9/2014
BodyMedia	U.S.	14248853	4/9/2014
BodyMedia	U.S.	14248885	4/9/2014
BodyMedia, Inc.	U.S.	14249135	4/9/2014

BodyMedia, Inc.	U.S.		14249211	4/9/2014
BodyMedia	U.S.		14249235	4/9/2014
BodyMedia, Inc.	U.S.		14249262	4/9/2014
BodyMedia, Inc.	U.S.		14249290	4/9/2014
BodyMedia, Inc.	U.S.		14249314	4/9/2014
BodyMedia	U.S.		14249318	4/9/2014
BodyMedia	U.S.		14249328	4/9/2014
BodyMedia	U.S.		14252090	4/14/2014
BodyMedia	U.S.		14284947	5/22/2014
BodyMedia	U.S.		14285018	5/22/2014
BodyMedia	U.S.		14285036	5/22/2014
BodyMedia	U.S.		14285092	5/22/2014
BodyMedia, Inc.	U.S.		14285159	5/22/2014
BodyMedia, Inc.	U.S.		14285194	5/22/2014
BodyMedia	U.S.		14285232	5/22/2014
BodyMedia, Inc.	U.S.		14292105	5/30/2014
BodyMedia, Inc.	U.S.		14292368	5/30/2014
BodyMedia, Inc.	U.S.		14292735	5/30/2014
BodyMedia	U.S.		14292831	5/31/2014
BodyMedia	U.S.		14292833	5/31/2014
BodyMedia	U.S.		14336413	7/21/2014
BodyMedia	U.S.		14336448	7/21/2014
BodyMedia	U.S.		14336539	7/21/2014
BodyMedia	U.S.		14336571	7/21/2014
BodyMedia	U.S.		14336598	7/21/2014
BodyMedia	U.S.		14336625	7/21/2014
BodyMedia, Inc.	U.S.	D0439981S	29127587	8/9/2000
BodyMedia, Inc.	U.S.	D0451604S	29129931	9/25/2000
BodyMedia, Inc.	U.S.	D0460971S	29143975	6/21/2001
BodyMedia, Inc.	U.S.	D632396	29256362	3/20/2006
BodyMedia, Inc.	U.S.	D631552	29256753	3/24/2006

BodyMedia, Inc.         U.S.         D0698028S         29434003           BodyMedia         U.S.         14/509,034           BodyMedia         U.S.         14/526,499           BodyMedia         U.S.         14/630,618           BodyMedia         U.S.         14/630,618           BodyMedia         U.S.         14/636,157           BodyMedia, Inc.         U.S.         95/002,354           BodyMedia, Inc.         U.S.         95/002,360           BodyMedia, Inc.         U.S.         95/002,366           BodyMedia, Inc.         U.S.         95/002,367           BodyMedia, Inc.         U.S.         95/002,371           BodyMedia, Inc.         U.S.         95/002,376           BodyMedia, Inc.         U.S.         95/002,382           Project Paris Acquisition LLC         U.S.         14039258	10/9/2012 10/7/2014 10/28/2014 2/24/2015 2/24/2015 3/2/2015 3/2/2015 9/14/2012 9/14/2012
BodyMedia         U.S.         14/526,499           BodyMedia         U.S.         14/630,618           BodyMedia         U.S.         14/630,618           BodyMedia         U.S.         14/636,157           BodyMedia         U.S.         14/636,158           BodyMedia, Inc.         U.S.         95/002,354           BodyMedia, Inc.         U.S.         95/002,360           BodyMedia, Inc.         U.S.         95/002,366           BodyMedia, Inc.         U.S.         95/002,367           BodyMedia, Inc.         U.S.         95/002,371           BodyMedia, Inc.         U.S.         95/002,376           BodyMedia, Inc.         U.S.         95/002,382           Project Paris         U.S.         14039258	10/28/2014 2/24/2015 2/24/2015 3/2/2015 3/2/2015 9/14/2012
BodyMedia         U.S.         14/630,618           BodyMedia         U.S.         14/630,618           BodyMedia         U.S.         14/636,157           BodyMedia         U.S.         14/636,158           BodyMedia, Inc.         U.S.         95/002,354           BodyMedia, Inc.         U.S.         95/002,360           BodyMedia, Inc.         U.S.         95/002,366           BodyMedia, Inc.         U.S.         95/002,367           BodyMedia, Inc.         U.S.         95/002,371           BodyMedia, Inc.         U.S.         95/002,376           BodyMedia, Inc.         U.S.         95/002,382           Project Paris         U.S.         14039258	2/24/2015 2/24/2015 3/2/2015 3/2/2015 9/14/2012 9/14/2012
BodyMedia         U.S.         14/630,618           BodyMedia         U.S.         14/636,157           BodyMedia         U.S.         14/636,158           BodyMedia, Inc.         U.S.         95/002,354           BodyMedia, Inc.         U.S.         95/002,360           BodyMedia, Inc.         U.S.         95/002,366           BodyMedia, Inc.         U.S.         95/002,367           BodyMedia, Inc.         U.S.         95/002,371           Bodymedia, Inc.         U.S.         95/002,376           BodyMedia, Inc.         U.S.         95/002,376           Project Paris         U.S.         14039258	2/24/2015 3/2/2015 3/2/2015 9/14/2012 9/14/2012
BodyMedia         U.S.         14/636,157           BodyMedia         U.S.         14/636,158           BodyMedia, Inc.         U.S.         95/002,354           BodyMedia, Inc.         U.S.         95/002,360           BodyMedia, Inc.         U.S.         95/002,366           BodyMedia, Inc.         U.S.         95/002,367           BodyMedia, Inc.         U.S.         95/002,371           Bodymedia, Inc.         U.S.         95/002,376           BodyMedia, Inc.         U.S.         95/002,382           Project Paris         U.S.         14039258	3/2/2015 3/2/2015 9/14/2012 9/14/2012
BodyMedia         U.S.         14/636,158           BodyMedia, Inc.         U.S.         95/002,354           BodyMedia, Inc.         U.S.         95/002,360           BodyMedia, Inc.         U.S.         95/002,366           BodyMedia, Inc.         U.S.         95/002,367           BodyMedia, Inc.         U.S.         95/002,371           Bodymedia, Inc.         U.S.         95/002,376           BodyMedia, Inc.         U.S.         95/002,382           Project Paris         U.S.         14039258	3/2/2015 9/14/2012 9/14/2012
BodyMedia, Inc.       U.S.       95/002,354         BodyMedia, Inc.       U.S.       95/002,360         BodyMedia, Inc.       U.S.       95/002,366         BodyMedia, Inc.       U.S.       95/002,367         BodyMedia, Inc.       U.S.       95/002,371         Bodymedia, Inc.       U.S.       95/002,376         BodyMedia, Inc.       U.S.       95/002,376         Project Paris       U.S.       14039258	9/14/2012
BodyMedia, Inc.       U.S.       95/002,360         BodyMedia, Inc.       U.S.       95/002,366         BodyMedia, Inc.       U.S.       95/002,367         BodyMedia, Inc.       U.S.       95/002,371         Bodymedia, Inc.       U.S.       95/002,376         BodyMedia, Inc.       U.S.       95/002,382         Project Paris       U.S.       14039258	9/14/2012
BodyMedia, Inc.       U.S.       95/002,366         BodyMedia, Inc.       U.S.       95/002,367         BodyMedia, Inc.       U.S.       95/002,371         Bodymedia, Inc.       U.S.       95/002,376         BodyMedia, Inc.       U.S.       95/002,382         Project Paris       U.S.       14039258	
BodyMedia, Inc.       U.S.       95/002,367         BodyMedia, Inc.       U.S.       95/002,371         Bodymedia, Inc.       U.S.       95/002,376         BodyMedia, Inc.       U.S.       95/002,382         Project Paris       U.S.       14039258	9/14/2012
BodyMedia, Inc.       U.S.       95/002,371         Bodymedia, Inc.       U.S.       95/002,376         BodyMedia, Inc.       U.S.       95/002,382         Project Paris       U.S.       14039258	
Bodymedia, Inc.       U.S.       95/002,376         BodyMedia, Inc.       U.S.       95/002,382         Project Paris       U.S.       14039258	9/14/2012
BodyMedia, Inc.         U.S.         95/002,382           Project Paris         U.S.         14039258	9/14/2014
Project Paris U.S. 14039258	9/14/2012
I -	9/14/2012
	9/27/2013
University of U.S. 6,399,306 9622910  Maryland, College park Office of Technology Commercialization	9/5/2000
University of U.S. 6743587 9986989  Maryland, College park Office of Technology Commercialization	11/13/2001
U.S. 29445284	2/8/2013

U.S.	29466527	9/9/2013
U.S.	29466531	9/9/2013
U.S.	29466534	9/9/2013
U.S.	29466536	
U.S.	29466539	
U.S.	29470963	10/25/2013
U.S.	29470964	10/25/2013
U.S.	29470965	10/25/2013
U.S.	29470966	
U.S.	29470967	
U.S.	29470968	
U.S.	29477005	
U.S.	29477006	
U.S.	29/478,533	1/6/2014
U.S.	29/479,052	1/10/2014
U.S.	29/479,055	1/10/2014
U.S.	29/479,058	1/10/2014
U.S.	29/479,060	1/10/2014
U.S.	29/479,064	1/10/2014
U.S.	29/479,066	1/10/2014
U.S.	29/479,069	1/10/2014
U.S.	29/479,071	1/10/2014
U.S.	29/479,074	1/10/2014
U.S.	29/479,077	1/10/2014
U.S.	29/479,078	1/10/2014
U.S.	29/479,079	1/10/2014
U.S.	29/482,849	2/21/2014
U.S.	29/482,850	2/21/2014
U.S.	29/482,851	2/21/2014
U.S.	29/482,852	2/21/2014
U.S.	29/482,854	2/21/2014
U.S.	29/482,855	2/21/2014
U.S.	29/482,856	2/21/2014
U.S.	29/485,103	3/14/2014
U.S.	29/485,118	3/16/2014
U.S.	29/495,368	6/30/2014
U.S.	29/495,369	6/30/2014
U.S.	29/495,373	6/30/2014
U.S.	29/495,376	6/30/2014
U.S.	29/495,380	6/30/2014
U.S.	29/495,384	6/30/2014

U.S.	29/495,387	6/30/2014
U.S.	29/495,389	6/30/2014
U.S.	29/495,390	6/30/2014
U.S.	29/495,394	6/30/2014
U.S.	29/495,395	6/30/2014
U.S.	29/495,397	6/30/2014
U.S.	29/495,399	6/30/2014
U.S.	29/495,400	6/30/2014
U.S.	29/495,404	6/30/2014
U.S.	29/495,405	6/30/2014
U.S.	29/495,406	6/30/2014
U.S.	29/495,407	6/30/2014
U.S.	29/495,669	7/2/2014
U.S.	29/495,670	7/2/2014
U.S.	29/495,674	7/2/2014
U.S.	29/508,180	11/4/2014
U.S.	29/508,184	11/4/2014
U.S.	29/508,185	11/4/2014
U.S.	29/508,187	11/4/2014
U.S.	29/508,193	11/4/2014
U.S.	29/508,196	11/4/2014
U.S.	29/508,200	11/4/2014
U.S.	29/508,205	11/4/2014
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U.S.	29/516,012	1/28/2015
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U.S.	29/516,017	1/28/2015
U.S.	29/517,054	2/9/2015
U.S.	29/517,056	2/9/2015

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
13/158,372	06/10/2011	Scott Fullam	ALI-001	9277
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703 High Stree Palo Alto, CA	t		LEE, EDI	MUND H
,			ART UNIT	PAPER NUMBER
			1744	
			MAIL DATE	DELIVERY MODE
			10/13/2015	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No. 13/158,372	Applicant(s FULLAM ET			
Office Action Summary	Examiner EDMUND LEE	Art Unit 1744	AIA (First Inventor to File) Status No		
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orresponden	ce address		
A SHORTENED STATUTORY PERIOD FOR REPLY THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	36(a). In no event, however, may a reply be tim fill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	nely filed the mailing date o D (35 U.S.C. § 13	of this communication.		
Status					
1) Responsive to communication(s) filed on 7/14/  A declaration(s)/affidavit(s) under 37 CFR 1.1	<del></del>				
2a) ☐ This action is <b>FINAL</b> . 2b) ☐ This	action is non-final.				
3) An election was made by the applicant in respo	·		ng the interview on		
; the restriction requirement and election	•				
4) Since this application is in condition for allowan closed in accordance with the practice under E					
Disposition of Claims*	x parto Quayro, 1000 O.B. 11, 40	70 O.G. 210.			
5) Claim(s) <u>1,2,4-10,12-15,17-26,28 and 29</u> is/are	pending in the application				
5a) Of the above claim(s) is/are withdraw					
6) Claim(s) is/are allowed.					
7) Claim(s) 1,2,4-10,12-15,17-26,28 and 29 is/are	rejected.				
8) Claim(s) is/are objected to.					
9) Claim(s) are subject to restriction and/or	election requirement.				
$^{\star}$ If any claims have been determined $\underline{\text{allowable}},$ you may be eli	gible to benefit from the Patent Pros	ecution High	าway program at a		
participating intellectual property office for the corresponding ap	pplication. For more information, plea	ıse see			
http://www.uspto.gov/patents/init_events/pph/index.jsp or send	an inquiry to PPHfeedback@uspto.c	<u>lov</u> .			
Application Papers					
10)☐ The specification is objected to by the Examine					
11)☐ The drawing(s) filed on is/are: a)☐ acce					
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).					
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).					
Priority under 35 U.S.C. § 119					
12) Acknowledgment is made of a claim for foreign	priority under 35 U.S.C. § 119(a)	-(d) or (f).			
Certified copies:					
a) ☐ All b) ☐ Some** c) ☐ None of the:					
1. Certified copies of the priority document		ian Na			
<ul><li>2. Certified copies of the priority document</li><li>3. Copies of the certified copies of the priority</li></ul>					
application from the International Bureau	•	eu III IIIIS I <b>v</b> a	lional Stage		
** See the attached detailed Office action for a list of the certifie					
Attack was attack					
Attachment(s)  1) Notice of References Cited (PTO-892)	3) Interview Summary	/DTO 413\			
	Paper No(s)/Mail Da				
<ol> <li>Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/S Paper No(s)/Mail Date</li> </ol>	SB/08b) 4) Other:				

U.S. Patent and Trademark Office PTOL-326 (Rev. 11-13)

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1. The present application is being examined under the pre-AIA first to invent provisions.

## **DETAILED ACTION**

- 2. The following is a quotation of pre-AIA 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 3. Claims 1-2, 4-9, 28-29 are rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Jensen et al (USPN 599867) in view of Wurzbacher et al (USPN 7326305). In regard to claim 1, Jensen et al teach the claimed process (col 2, In 48-col 3, In 65; and figures 2-2A) except performing the claimed inspection after molding the second protective layer.

It should be noted that the protective coating of Jensen et al is curable and it protects the elements from an environmental condition, which has been defined by the instant specification as including molding conditions (col 2, ln 48-col 3, ln 65; and figures 2-2A); the protective coating of Jensen et al can also constitute the claimed covering since the instant specification explicitly recites a covering can also be the protective coating (see para 0026); and the first protective layer is molded under environmental conditions/molding conditions (col 2, ln 48-col 3, ln 65; and figures 2-2A).

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Wurzbacher et al teach a process for decapsulating semiconductor articles for purposes including visual inspection and/or failure analysis, wherein the amount of encapulant material removed is easily controlled without disturbing other adjacent or nearby areas (col 1, Ins 5-12; col 4, Ins 4-11; figs 1-2D). Since Jensen et al and Wurzbacher et al are analogous with respect to encapsulated semiconductors, it would have been obvious to one of ordinary skill in the art at the time the invention was made to incorporate the inspection step taught by Wurzbacher et al into the process of Jensen et al <u>after each molding step</u> in order to ensure the production of functional products. It should be noted that the controlled decapsulation taught by Wurzbacher et al makes capable the removal of the first and/or second protective layers of Jensen et al while retaining any underlying protective layer. In regard to claims 2-4, such quality assurance measures are well-known in the molding art in order to ensure high quality products. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to perform the claimed quality assurance measures in the process of Jensen et al in order to ensure the production of high quality products. In regard to claims 5-6 and 9, the use of a specific material is a mere obvious matter of choice dependent on the desired final product and of little patentable consequence to the claimed process since it is not a manipulative feature or step of the claimed process. Further, the claimed materials are well-known in the encapsulant art in order to produce diverse, high-quality encapsulants. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to use the claimed materials in the process of Jensen et al in order to produce diverse products having desired qualities. In

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regard to claims 7-8, such are taught by Jensen et al (col 2, ln 48-col 3, ln 65; and figures 2-2A). In regard to claim 28, such is taught by the above combination of Jensen et al and Wurzbacher et al since Wurzbacher et al teach a process for decapsulating semiconductor articles for purposes including visual inspection and/or failure analysis, wherein the amount of encapulant material removed is easily controlled without disturbing other adjacent or nearby areas, and then remolding the removed material in order to provide an approved final product (col 1, lns 5-12; col 4, lns 4-11; figs 1-2D).

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4. Claims 10, 12-15, and 17 are rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Jensen et al (USPN 599867) in view of Wurzbacher et al (USPN 7326305). In regard to claim 10, Jensen et al teach the claimed process (col 2, In 48-col 3, In 65; and figures 2-2A) except performing the claimed inspection after molding the second protective layer.

It should be noted that the protective coating of Jensen et al is curable and it protects the elements from an environmental condition, which has been defined by the instant specification as including molding conditions (col 2, In 48-col 3, In 65; and figures 2-2A); the protective coating of Jensen et al can also constitute the claimed covering since the instant specification explicitly recites a covering can also be the protective coating (see para 0026); and the first protective layer is molded under environmental conditions/molding conditions (col 2, In 48-col 3, In 65; and figures 2-2A).

Wurzbacher et al teach a process for decapsulating semiconductor articles for purposes including visual inspection and/or failure analysis, wherein the amount of

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encapulant material removed is easily controlled without disturbing other adjacent or nearby areas (col 1, lns 5-12; col 4, lns 4-11; figs 1-2D). Since Jensen et al and Wurzbacher et al are analogous with respect to encapsulated semiconductors, it would have been obvious to one of ordinary skill in the art at the time the invention was made to incorporate the inspection step taught by Wurzbacher et al into the process of Jensen et al after each molding step in order to ensure the production of functional products. It should be noted that the controlled decapsulation taught by Wurzbacher et al makes capable the removal of the first and/or second protective layers of Jensen et al while retaining any underlying protective layer. In regard to claim 12, such are taught by Jensen et al (col 2, ln 48-col 3, ln 65; and figures 2-2A). In regard to claim 13, the use of a specific material is a mere obvious matter of choice dependent on the desired final product and of little patentable consequence to the claimed process since it is not a manipulative feature or step of the claimed process. Further, the claimed material is well-known in the encapsulant art in order to produce diverse, high-quality encapsulants. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to use the claimed materials in the process of Jensen et al in order to produce diverse products having desired qualities. In regard to claim 14, the use of a specific preform is a mere obvious matter of choice dependent on the desired final product and of little patentable consequence to the claimed process since it is not a manipulative feature or step of the claimed process. Further, the claimed preforms are well-known in the electronic art for its desired function. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to use the

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claimed preforms in the process of Jensen et al in order to produce diverse products having a desired function. In regard to claim 15 and 17, such are taught by Jensen et al (col 2, ln 48-col 3, ln 65; and figures 2-2A).

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5. Claim 18 is rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Jensen et al (USPN 599867) in view of Wurzbacher et al (USPN 7326305). In regard to claim 18, Jensen et al teach the claimed process (col 2, ln 48-col 3, ln 65; and figures 2-2A) except performing the claimed inspection after molding the second protective layer.

It should be noted that the protective coating of Jensen et al is curable and it protects the elements from an environmental condition, which has been defined by the instant specification as including molding conditions (col 2, ln 48-col 3, ln 65; and figures 2-2A); the protective coating of Jensen et al can also constitute the claimed covering since the instant specification explicitly recites a covering can also be the protective coating (see para 0026); and the first protective layer is molded under environmental conditions/molding conditions (col 2, ln 48-col 3, ln 65; and figures 2-2A).

Wurzbacher et al teach a process for decapsulating semiconductor articles for purposes including visual inspection and/or failure analysis, wherein the amount of encapulant material removed is easily controlled without disturbing other adjacent or nearby areas (col 1, lns 5-12; col 4, lns 4-11; figs 1-2D). Since Jensen et al and Wurzbacher et al are analogous with respect to encapsulated semiconductors, it would have been obvious to one of ordinary skill in the art at the time the invention was made to incorporate the inspection step taught by Wurzbacher et al into the process of Jensen

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et al <u>after each molding step</u> in order to ensure the production of functional products. It should be noted that the controlled decapsulation taught by Wurzbacher et al makes capable the removal of the first and/or second protective layers of Jensen et al while retaining any underlying protective layer.

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6. Claims 19-26 are rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Jensen et al (USPN 599867) in view of Wurzbacher et al (USPN 7326305). In regard to claim 19, Jensen et al teach the claimed process (col 2, ln 48-col 3, ln 65; and figures 2-2A) except performing the claimed inspection after molding the second protective layer.

It should be noted that the protective coating of Jensen et al is curable and it protects the elements from an environmental condition, which has been defined by the instant specification as including molding conditions (col 2, ln 48-col 3, ln 65; and figures 2-2A); the protective coating of Jensen et al can also constitute the claimed covering since the instant specification explicitly recites a covering can also be the protective coating (see para 0026); and the first protective layer is molded under environmental conditions/molding conditions (col 2, ln 48-col 3, ln 65; and figures 2-2A).

Wurzbacher et al teach a process for decapsulating semiconductor articles for purposes including visual inspection and/or failure analysis, wherein the amount of encapulant material removed is easily controlled without disturbing other adjacent or nearby areas (col 1, lns 5-12; col 4, lns 4-11; figs 1-2D). Since Jensen et al and Wurzbacher et al are analogous with respect to encapsulated semiconductors, it would

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have been obvious to one of ordinary skill in the art at the time the invention was made to incorporate the inspection step taught by Wurzbacher et al into the process of Jensen et al <u>after each molding step</u> in order to ensure the production of functional products. It should be noted that the controlled decapsulation taught by Wurzbacher et al makes capable the removal of the first and/or second protective layers of Jensen et al while retaining any underlying protective layer. In regard to claim 20, and 26, such are taught by Jensen et al (col 2, In 48-col 3, In 65; and figures 2-2A). In regard to claim 21, the use of a specific material is a mere obvious matter of choice dependent on the desired final product and of little patentable consequence to the claimed process since it is not a manipulative feature or step of the claimed process. Further, the claimed material is well-known in the encapsulant art in order to produce diverse, high-quality encapsulants. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to use the claimed materials in the process of Jensen et al in order to produce diverse products having desired qualities. In regard to claims 22-24, the use of a specific preform is a mere obvious matter of choice dependent on the desired final product and of little patentable consequence to the claimed process since it is not a manipulative feature or step of the claimed process. Further, the claimed preforms are well-known in the electronic art for its desired function. Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to use the claimed preforms in the process of Jensen et al in order to produce diverse products having a desired function. In regard to claim 25, such is well-known in the molding art to re-mold a defective layer in order to produce a high quality product.

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Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to re-mold the outer molding of Jensen et al if the outer molding is found to be defective.

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- 7. Applicant's arguments filed 7/14/15 have been fully considered but they are not persuasive. Without detailed reasoning, applicant has merely argued the prior art of record does not teach the claimed invention. As apparent from the above rejections, the claimed inventions are obvious over the prior art of record. It should be noted that secondary reference Wurzbacher et al teach a process for decapsulating semiconductor articles for purposes including visual inspection and/or failure analysis, wherein the amount of encapulant material removed is easily controlled without disturbing other adjacent or nearby areas, and then remolding the removed material in order to provide an approved final product (col 1, lns 5-12; col 4, lns 4-11; figs 1-2D).
- 8. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the

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shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to EDMUND LEE whose telephone number is (571)272-1204. The examiner can normally be reached on MONDAY-THURSDAY FROM 9AM-4PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Yogendra Gupta can be reached on 571.272.1316. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

EDMUND H. LEE

Art Unit: 1744

Primary Examiner Art Unit 1744

EHL
/EDMUND LEE/
Primary Examiner, Art Unit 1744

## Applicant(s)/Patent Under Reexamination Application/Control No. Search Notes 13158372 FULLAM ET AL. Art Unit Examiner EDMUND LEE 1744

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