Attorney Docket No. 030048002US

PATENT

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: FRED B. HOLT ET AL.

APPLICATION NO.:

09/629,570

s Mail No. EV335515821US

FILED:

JULY 31, 2000

FOR: JOINING A BROADCAST CHANNEL

EXAMINER: BRADLEY E. EDELMAN

ART UNIT: 2153

CONF. No: 5411

## Amendment Under 37 C.F.R. § 1.111

RECEIVED

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Sir:

MAY 1 7 2004

Technology Center 2100

The present communication responds to the Office Action dated January 12, 2004 in the above-identified application. Please extend the period of time for response to the Office Action by one month to expire on May 12, 2004. Enclosed is a Petition for Extension of Time and the corresponding fee. Please amend the application as follows:

Amendments to the Specification begin on page 2.

Amendments to the Claims are reflected in the listing of claims beginning on page 4.

Remarks/Arguments begin on page 8.

Ex. 1103

# Amendments to the Specification:

In accordance with 37 CFR 1.72(b), an abstract of the disclosure has been included below. In addition, the status of the related cases listed on page 1 of the specification has been updated.

Therefore, please add the Abstract as shown below:

A technique for adding a participant to a network is provided. This technique allows for the simultaneous sharing of information among many participants in a network without the placement of a high overhead on the underlying communication network. To connect to the broadcast channel, a seeking computer first locates a computer that is fully connected to the broadcast channel. The seeking computer then establishes a connection with a number of the computers that are already connected to the broadcast channel. The technique for adding a participant to a network includes identifying a pair of participants that are connected to the network, disconnecting the participants of the identified pair from each other, and connecting each participant of the identified pair of participants to the added participant.

Please amend the "Cross-Reference to Related Applications" to read as follows:

This application is related to U.S. Patent Application No. 09/629,576, entitled "BROADCASTING NETWORK," filed on July 31, 2000 (Attorney Docket No. 030048001 US); U.S. Patent Application No. 09/629,570, entitled "JOINING A BROADCAST CHANNEL," filed on July 31, 2000 (Attorney Docket No. 030048002 US); U.S. Patent Application No. 09/629,577, "LEAVING A BROADCAST CHANNEL," filed on July 31, 2000 (Attorney Docket No. 030048003 US); U.S. Patent Application No. 09/629,575, entitled "BROADCASTING ON A BROADCAST CHANNEL," filed on July 31, 2000 (Attorney Docket No. 030048004 US); U.S. Patent Application No. 09/629,572, entitled "CONTACTING A BROADCAST CHANNEL," filed on July 31, 2000 (Attorney Docket No. 030048004 US); U.S. Patent Application No. 09/629,572, entitled "CONTACTING A BROADCAST CHANNEL," filed on July 31, 2000 (Attorney Docket No. 030048005 US);

U.S. Patent Application No. 09/629,023, entitled "DISTRIBUTED AUCTION SYSTEM," filed on July 31, 2000 (Attorney Docket No. 030048006 US); U.S. Patent Application No. 09/629,043, entitled "AN INFORMATION DELIVERY SERVICE," filed on July 31, 2000 (Attorney Docket No. 030048007 US); U.S. Patent Application No. 09/629,024, entitled "DISTRIBUTED CONFERENCING SYSTEM," filed on July 31, 2000 (Attorney Docket No. 030048008 US); and U.S. Patent Application No. 09/629,042, entitled "DISTRIBUTED GAME ENVIRONMENT," filed on July 31, 2000 (Attorney Docket No. 030048009 US), the disclosures of which are incorporated herein by reference.

#### Amendments to the Claims:

Following is a complete listing of the claims pending in the application, as amended:

1. (Currently amended) A computer-based, non-routing table based, non-switch based method for adding a participant to a network of participants, each participant being connected to three or more other participants, the method comprising:

participant contacts a fully connected portal computer, which in turn sends an edge connection request to a number of randomly selected neighboring participants to which the seeking participant is to connect;

disconnecting the participants of the identified pair from each other; and connecting each participant of the identified pair of participants to the added the seeking participant.

- (Original) The method of claim 1 wherein each participant is connected to 4 participants.
- 3. (Original) The method of claim 1 wherein the identifying of a pair includes randomly selecting a pair of participants that are connected.
- 4. (Original) The method of claim 3 wherein the randomly selecting of a pair includes sending a message through the network on a randomly selected path.
- 5. (Original) The method of claim 4 wherein when a participant receives the message, the participant sends the message to a randomly selected participant to which it is connected.
- 6. (Currently amended) The method of claim 4 wherein the randomly selected path is approximately proportional to the diameter of the network.

- 7. (Original) The method of claim 1 wherein the participant to be added requests a portal computer to initiate the identifying of the pair of participants.
- 8. (Original) The method of claim 7 wherein the initiating of the identifying of the pair of participants includes the portal computer sending a message to a connected participant requesting an edge connection.
- 9. (Currently amended) The method of claim 8 wherein the portal computer indicates that the message is to travel a certain-distance proportional to the diameter of the network and wherein the participant that receives the message after the message has traveled that certain-distance is one of the participants of the identified pair of participants.
- 10. (Currently amended) The method of claim 9 wherein the certain distance is approximately twice the diameter of the network.
- (Original) The method of claim 1 wherein the participants are connected via the

  Internet.
- 12. (Original) The method of claim 1 wherein the participants are connected via TCP/IP connections.
- 13. (Original) The method of claim 1 wherein the participants are computer processes.
- 14. (Currently amended) A computer-based, non-switch based method for adding nodes to a graph that is m-regular and m-connected to maintain the graph as m-regular, where m is four or greater, the method comprising:
  - wherein a seeking node contacts a fully connected portal node, which in turn

    sends an edge connection request to a number of randomly selected neighboring

    nodes to which the seeking node is to connect;

disconnecting the nodes of each identified pair from each other; and connecting each node of the identified pairs of nodes to the added-the seeking node.

- 15. (Original) The method of claim 14 wherein identifying of the p pairs of nodes includes randomly selecting a pair of connected nodes.
- 16. (Original) The method of claim 14 wherein the nodes are computers and the connections are point-to-point communications connections.
  - 17. (Original) The method of claim 14 wherein m is even.
  - 18-31. (Previously cancelled)
- 32. (Currently amended) A computer-readable medium containing instructions for controlling a computer system to connect a participant to a network of participants, each participant being connected to three or more other participants, the network representing a broadcast channel wherein each participant forwards broadcast messages that it receives to all of its neighbor participants, wherein each participant connected to the broadcast channel receives all messages that are broadcast on the network, the network containing a method wherein messages are numbered sequentially so that messages received out of order are queued and rearranged to be in order, by a method comprising:

identifying a pair of participants of the network that are connected;

disconnecting the participants of the identified pair from each other; and

connecting each participant of the identified pair of participants to the added-a seeking participant.

- 33. (Original) The computer-readable medium of claim 32 wherein each participant is connected to 4 participants.
- 34. (Original) The computer-readable medium of claim 32 wherein the identifying of a pair includes randomly selecting a pair of participants that are connected.

35. (Original) The computer-readable medium of claim 34 wherein the randomly

selecting of a pair includes sending a message through the network on a randomly selected path.

36. (Original) The computer-readable medium of claim 35 wherein when a

participant receives the message, the participant sends the message to a randomly selected

participant to which it is connected.

37. (Currently amended) The computer-readable medium of claim 35 wherein the

randomly selected path is approximately twice a diameter of the network.

38. (Original) The computer-readable medium of claim 32 wherein the participant to

be added requests a portal computer to initiate the identifying of the pair of participants.

39. (Original) The computer-readable medium of claim 38 wherein the initiating of

the identifying of the pair of participants includes the portal computer sending a message to a

connected participant requesting an edge connection.

40. (Currently amended) The computer-readable medium of claim 38 wherein the

portal computer indicates that the message is to travel a certain-distance that is twice the diameter

of the network and wherein the participant that receives the message after the message has

traveled that certain-distance is one of the identified pair of participants.

41–49. (Previously cancelled)

-7-

PTO/SB/21 (05-03)

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*		Application Number	09/629,570		
TRANSMITTAL		Filing Date	July 31, 2000		
FORM		First Named Inventor	Fred B. Holt		
(to be used for all correspondence after initial filing)		Art Unit	2153		
		Examiner Name	Bradley E. Edelman		
Total Number of Pages in This Submission		Attorney Docket Number	030048002US		

ENCLOSURES (Check all that apply)							
Petition for I	rached /Reply nal its/declaration(s) Extension of Time andonment Request Disclosure Statement by of Priority ) Missing Parts/	Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a Provisional Application  Power of Attorney, Revocation Change of Correspondence Address  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)	After Al to Ground Appeal of Appeal (Appeal (Appeal Status I) Other Eldentify	Communication to Board lals and Interferences  Communication to Group I Notice, Brief, Reply Brief)  tary Information			
Respon	nse to Missing Parts 37 CFR 1.52 or 1.53						
	SIGNAT	URE OF APPLICANT, ATTORNEY,	OR AGENT				
Firm or Individual name	Chun Ng		· · · · · · · · · · · · · · · · · · ·				
Signature							
Date	May 10, 2004	8					
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with sufficient po	hat this correspondence	is being facsimile transmitted to the USPTO or old. EV335515821US in an envelope addressed	deposited with				
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Attorney Docket No.

Complete if Known E TRANSMITTAL Express Mail No. EV335515821US Application Number 09/629,570 for FY 2004 Filing Date July 31, 2000 First Named Inventor Fred B. Holt Effective 10/01/2003. Patent fees are subject to annual revision. **Examiner Name** Bradley E. Edelman Art Unit 2153 Applicant claims small entity status. See 37 CFR 1.27

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TOTAL AMOUNT OF PAYMENT

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)						
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None	3. Al	DITIO	NAL	FEES	5.791		
Order	Large	Entity	Small	Entity			
Deposit Account:	Fee	Fee	Fee	Fee	Fee Description Fee Paid		
Deposit Account Number 50-0665	Code	(\$)	Code	(\$)			
Deposit Account Name   Perkins Coie LLP	1051	130	2051	65	Surcharge – late filing fee or oath		
The Commissioner is authorized to: (check all that apply)	1052	50	2052	25	Surcharge – late provisional filing fee or		
☐ Charge fee(s) indicated below ☐ Credit any overpayments		400		400	cover sheet		
Charge any additional fee(s) during the pendency of this application	1053	130	1053	130	Non-English Specification		
Charge fee(s) indicated below, except for the filing fee	1812	2,520	1812	2,520	For filing a request for ex parte reexamination		
to the above-identified deposit account.	1804	920*	1804	920*	Requesting publication of SIR prior to		
FEE CALCULATION	1				Examiner action		
1. BASIC FILING FEE Large Entity Small Entity	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		
Fee   Fee   Fee   Fee Description   Fee Paid	1251	110	2251	55	Extension for reply within first month [110]		
Code (\$) Code (\$)	1252	420	2252	210	Extension for reply within second month		
1001 770 2001 385 Utility filing fee	1253	950	2253	475	Extension for reply within third month		
1002 340 2002 170 Design filing fee	1254	1,480	2254	740	Extension for reply within fourth month		
1003 530 2003 265 Plant filing fee	1255	2,010	2255	1,005	Extension for reply within fifth month		
1004 770 2004 385 Reissue filing fee	1401	330	2401	165 165	Notice of Appeal Filing a brief in support of an appeal		
1205 160 2005 80 Provisional filling fee	1402	330 290	2402	145	Request for oral hearing		
	1451	1,510	1451	1,510	Petition to institute a public use		
SUBTOTAL (1) (\$) 0		20025			proceeding		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1452	110	2452	55	Petition to revive - unavoidable		
Fee from	1453	1,330	2453	665	Petition to revive - unintentional		
Extra Claims below Fee Paid	1501	1,330	2501	665	Utility issue fee (or reissue)		
Total Claims 23 -49** = 0 X =	1502	480	2502	240	Design issue fee		
Independent Claims 3 - 7** = 0 X = = Multiple Dependent	1503 1460	640 130	2503 1460	320 130	Plant issue fee Petitions to the Commissioner		
Multiple Dependent	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)		
Large Entity Small Entity	1806	180	1806	180	Submission of Information Disclosure		
Fee Fee Fee Fee Fee Description	1000	100	1000	100	Stmt		
Code (\$) Code (\$)	8021	40	8021	40	Recording each patent assignment per		
1202 18 2202 9 Claims in excess of 20			1		property (times number of properties)		
1201 86 2201 43 Independent claims in excess of 3	1809	770	2809	385	Filing a submission after final rejection		
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	385	(37 CFR 1.129(a)) For each additional invention to be		
1204 86 2204 43 ** Reissue independent claims over original patent	1010	770	2010	303	examined (37 CFR 1.129(b))		
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1801	770	2801	385	Request for Continued Examination (RCE)		
SUBTOTAL (2) (\$) 0	1802	900	1802	900	Request for expedited examination of a design application		
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**or number previously paid, if greater; For Reissues, see above			control milit				

SUBMITTED BY					(Complete (if applicable)			
Name (Print/Type)	Chun Ng	/		Registration No. (Attorney/Agent)	36,878	Telephone	Telephone 206-359-6488	
Signature						Date	05/10/2004	

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

#### REMARKS.

Reconsideration and withdrawal of the rejections set forth in the Office Action dated January 12, 2004 are respectfully requested.

### I. Rejections under 35 U.S.C. § 112, first paragraph

Claims 1, 14, and 32 have been amended to include sufficient antecedent basis. In claim 1, the phrase "the added participant", which appears in the last line of the claim, has been changed to "the seeking participant". In addition, "a seeking participant" precedes "the seeking participant" in an earlier line of claim 1, providing sufficient antecedent basis. In claim 32, the phrase "the added participant", which appears in the last line of the claim, has been changed to "a seeking participant". In claim 14, the phrase "the added node", which appears in the last line of the claim, has been changed to "the seeking node". In addition, "a seeking node" precedes "the seeking node" in an earlier line of claim 14, providing sufficient antecedent basis.

## II. Rejections under 35 U.S.C. § 112, second paragraph

Claim 6 has been amended to render the claim definite. The term "approximately proportional" has been changed to "proportional". Claim 10 has also been amended to render the claim definite. The term "approximately twice the diameter" has been changed to "twice the diameter". Claim 37 has been amended to render the claim definite. The term "approximately twice a diameter of the network" has been changed to "twice a diameter of the network".

#### III. Rejections under 35 U.S.C. § 102

# A. The Applied Art

U.S. Patent No. 6,603,742 B1 to Steele, Jr. et al. (Steele, Jr. et al.) is directed to a technique for reconfiguring networks while it remains operational. Steele, Jr. et al. discloses a method for adding nodes to a network with minimal recabling. Column 3, lines 2-5. An interim routing table is used to route traffic around the part of the network affected by the adding of a

node. Column 11, lines 40-45. Each node in the network can connect to five other nodes.

Column 4, lines 36-39, Column 4, lines 43-44. To add a node to a network, two links between

two pairs of existing nodes are removed and five links are added to connect the new node to the

network. Column 11, lines 25-31. For example, when upgrading from 7 to 8 nodes, the network

administrator removes two links, 3-1 and 5-2, and adds five links, 7-1, 7-2, 7-3, 7-5, and 7-6.

Column 12, lines 45-48.

B. <u>Analysis</u>

Distinctions between claim 1 and Steele, Jr. et al. will first be discussed, followed by

distinctions between Steele, Jr. et al. and the remaining dependent claims.

As noted above, Steele, Jr. et al. discloses a technique for reconfiguring networks. Such

a technique includes steps for disconnecting the participants of a pair from each other and

connecting each participant to a seeking participant but does not include a step for identifying a

pair of participants of the network that are fully connected. Column 12, lines 45-49. Steele, Jr.

et al. fails to disclose a method for identifying a pair of participants of the network that are fully

connected.

In contrast, claim 1 as amended includes the limitation of identifying a pair of

participants of the network that are connected. For at least this reason, the applicant believes that

claim 1 is patentable over Steele, Jr. et al.

The invention discloses an identification method in which a seeking participant contacts a

fully connected portal computer. The portal computer directs the identification of a number of

(for example four), randomly selected neighboring participants to which the seeking participant

is to connect. Steele, Jr. et al. fails to disclose a portal computer that directs the identification of

viable neighboring participants to which the seeking participant is to connect. Claim 1 has been

amended to recite, among other limitations, the use of a portal computer for the identifying of "a

-9-

number of selected neighboring participants to which the seeking participant is to connect." Steele, Jr. et al. fails to disclose such a method for identifying neighboring participants for a seeking participant to connect to. For at least this reason, claim 1 is patentable over Steele, Jr. et al.

Further, the claimed does not make use of routing tables. *Steele, Jr. et al.* fails to disclose a non-table based routing method. Claim 1 has been amended to recite, among other limitations, "a computer-based, non-routing table based, non-switch based method for adding a participant to a network of participants". For at least this reason, claim 1 is patentable over *Steele, Jr. et al.* 

Claim 2 discloses a connection scheme where "each participant is connected to 4 participants". *Steele, Jr. et al.* fails to disclose a connection scheme in which each participant is connected to 4 participants. Instead, *Steele, Jr. et al.* discloses a connection scheme in which each participant is connected to 5 other participants. Column 7, lines 14-33. For at least this reason, claim 2 is patentable over *Steele, Jr. et al.* 

Anticipation a claim under 35 U.S.C. § 102 requires that the cited reference must teach every element of the claim.<sup>1</sup> *Steele, Jr. et al.* fails to disclose every limitation recited in claim 1. Since claim 1 is allowable, based on at least the above reasons, the claims that depend on claim 1 are likewise allowable.

-10-

<sup>&</sup>lt;sup>1</sup> MPEP section 2131, p. 70 (Feb. 2003, Rev. 1). See also, Ex parte Levy, 17 U.S.P.Q.2d 1461, 1462 (Bd. Pat. App. & Interf. 1990) (to establish a prima facie case of anticipation, the Examiner must identify where "each and every facet of the claimed invention is disclosed in the applied reference."); Glaverbel Société Anonyme v. Northlake Mktg. & Supply, Inc., 45 F.3d 1550, 1554 (Fed. Cir. 1995) (anticipation requires that each claim element must be identical to a corresponding element in the applied reference); Atlas Powder Co. v. E.I. duPont De Nemours, 750 F.2d 1569, 1574 (1984) (the failure to mention "a claimed element (in) a prior art reference is enough to negate anticipation by that reference").

#### IV. Rejections under 35 U.S.C. § 103, first paragraph

#### A. The Applied Art

A Flood Routing Method for Data Networks by Cho (Cho) is directed to a routing algorithm based on a flooding technique. Cho discloses a method in which flooding is used to find an optimal route to forward messages through. Flooding refers to a data broadcast technique that sends the duplicate of a packet to all neighboring nodes in a network. In Cho, flooding is not used to send the message, but is used to locate the optimal route for the message to be sent through. The method entails flooding a very short packet to explore an optimal route for the transmission of the message and to establish the data path via the selected route. Each node connected to the broadcast channel does not receive all messages that are broadcast on the broadcast channel. When a node receives a message, it does not forward that message to all of its neighboring nodes using flooding. In addition, Cho fails to disclose a method for rearranging a sequence of messages that are received out of order.

## B. Analysis

As noted above, *Steele, Jr. et al.* discloses a method for adding nodes to a network with minimal recabling. *Steele, Jr. et al.* fails to disclose a method in which "each participant forwards broadcast messages that it receives to all of its neighbor participants". Claim 32 has been amended to clarify the language of previously pending claim 32. *Cho* discloses a method in which flooding is used to find an optimal route to forward messages through. *Cho* fails to disclose the use of flooding to forward messages. In *Cho*, flooding is used only to find an optimal route for data transmission and is not used to actually forward messages. *Cho* fails to disclose a system in which "each participant forwards broadcast messages that it receives to all of its neighbor participants". In *Cho*, each participant forwards messages only to a destination node once the optimal route has been selected. *Cho* fails to disclose a system in which "each

participant connected to the broadcast channel receives all messages that are broadcast on the network". In addition, Cho fails to disclose a method for addressing a sequence of messages that are received out of order in which "messages are numbered sequentially so that messages received out of order are queued and rearranged to be in order".

As explained below, there is no incentive or teaching to combine *Steele, Jr. et al.* and *Cho.* However, even if they were combined, neither *Steele, Jr. et al.* nor *Cho* teach or suggest the use of flooding to send messages to all nodes connected to a broadcast channel. In addition, neither *Steele, Jr. et al.* nor *Cho* teach or suggest the sequential numbering of messages to rearrange a sequence of messages that are received out of order. The invention of claim 32 includes forwarding messages to all neighboring nodes and numbering each message sequentially so that "messages received out of order are queued and rearranged to be in order", which are not disclosed in either *Steele, Jr. et al.* or *Cho.* For at least this reason, the applicant believes that claim 32 is patentable over the combination of *Steele, Jr. et al.* and *Cho.* 

The independent claims are allowable not only because they recite limitations not found in the references (even if combined), but for at least the following additional reasons. For example, there is no motivation to combine the various references as suggested in the Office Action. According to the Manual of Patent Examining Procedure ("MPEP") and controlling case law, the motivation to combine references cannot be based on mere common knowledge and common sense as to benefits that would result from such a combination, but instead must be based on specific teachings in the prior art, such as a specific suggestion in a prior art reference. For example, last year the Federal Circuit rejected an argument by the PTO's Board of Patent Appeals and Interferences that the ability to combine the teachings of two prior art references to produce beneficial results was sufficient motivation to combine them, and thus overturned the

Board's finding of obviousness because of the failure to provide a specific motivation in the prior art to combine the two references.<sup>2</sup> The MPEP provides similar instructions.<sup>3</sup>

Conversely, and in a manner similar to that rejected by the Federal Circuit, the present Office Action lacks any description of a motivation to combine the references. Thus, if the current rejection is maintained, the applicant's representative requests that the Examiner explain with the required specificity where a suggestion or motivation in the references for so combining the references may be found.<sup>4</sup>

Steele et al. deals with a method for adding nodes to a network while Cho deals with finding an optimal route to forward messages in a network. The addition of nodes to a network represents a completely separate process from the forwarding of messages in a network. Steele et al. contains no specific teachings that would suggest combining Steele et al. with Cho. In other words, Steele et al. contains no specific teachings that would suggest finding an optimal route to forward messages in a network.

One may not use the application as a blueprint to pick and choose teachings from various prior art references to construct the claimed invention ("impermissible hindsight reconstruction").<sup>5</sup> Assuming, for argument's sake, that it would be obvious to combine the teachings of *Steele et al.* with *Cho*, then *Steele et al.* would have done so because it would have

<sup>&</sup>lt;sup>2</sup> In re Sang-Su Lee, 277 F.3d 1338, 1341-1343 (Fed. Cir. 2002).

<sup>&</sup>lt;sup>3</sup> Manual of Patent Examining Procedure, Section 2143 (noting that "the teaching or suggestion to make the claimed combination and the reasonable expectation of success must both be found in the prior art, not in applicant's disclosure," citing in re Vaeck, 947 F.2d 488 (Fed. Cir. 1991).

<sup>&</sup>lt;sup>4</sup> See, MPEP Section 2144.03.

<sup>&</sup>lt;sup>5</sup> See, e.g., In re Gorman, 933 F.2d 982,987 (Fed. Cir. 1991), ("One cannot use hindsight construction to pick and choose between isolated disclosures in the prior art to deprecate the claimed invention.").

provided at least some of the advantages of the presently claimed invention. Steele et al.'s failure to employ the teachings cited in Cho is persuasive proof that the combination recited in claim 32 is unobvious. For at least this reason, the applicant believes that claim 32 is patentable over the combination of Steele et al. and Cho.

Claim 33 discloses a connection scheme where "each participant is connected to 4 participants". *Steele, Jr. et al.* fails to disclose a connection scheme in which each participant is connected to 4 participants. Instead, *Steele, Jr. et al.* discloses a connection scheme in which each participant is connected to 5 other participants. Column 7, lines 14-33. For at least this reason, claim 33 is patentable over *Steele, Jr. et al.* 

Since claim 32 is allowable, based on at least the above reasons, the claims that depend on claim 32 are likewise allowable. Thus, for at least this reason, claim 33 is patentable over the combination of *Steele*, *Jr. et al.* and *Cho*.

# V. Rejections under 35 U.S.C. § 103, second paragraph

# A. The Applied Art

U.S. Patent No. 6,490,247 B1 to Gilbert et al. (*Gilbert et al.*) is directed to a ring-ordered, dynamically reconfigurable computer network utilizing an existing communications system. *Gilbert et al.* discloses a method for adding a node to a network using a switching mechanism in which the nodes are ordered in a ring-like configuration as opposed to a hypercube configuration. Column 3, lines 28-35. The first step in adding a seeking node to the network consists of the seeking contacting a portal node that is fully connected to the network. Column 6, lines 31-33. The portal node that is contacted provides information regarding a neighboring node that is adjacent to the seeking node; the selection of the neighboring node is not random. Column 6, lines 40-42. The seeking node then contacts the neighboring node to request a connection. Column 6, lines 57-59. The portal node provides the relevant information regarding

the node that is adjacent to the neighboring node that is adjacent to the seeking node but does not request a connection.

U.S. Patent No. 6,553,020 B1 to Hughes et al. (*Hughes et al.*) is directed to a network for interconnecting nodes for communication across the network. *Hughes et al.* fails to disclose a system where a portal computer randomly selects four nodes to serve as neighboring nodes to the seeking node. *Hughes et al.* also fails to disclose a system in which the portal computer sends an edge connection request to the neighboring nodes.

#### B. Analysis

As noted above, Gilbert et al. discloses a method for adding a node to a network using a switching mechanism. Gilbert et al. fails to disclose a method in which a portal computer seeks "a number of randomly selected neighboring participants to which the seeking participant is to connect". In Gilbert et al., the selection of the neighboring nodes is not random. Column 6, lines 40-49. Figure 6 of Gilbert et al. reveals that node 100 selects nodes 10 and 16; the selection of nodes 10 and 16 is not random since they are purposely adjacent to one another and since node 10 provides node 100 with information regarding the node adjacent to it, node 16. Column 6, lines 42-46. Gilbert et al. fails to disclose a method in which a portal computer "sends an edge connection request to a number of randomly selected neighboring participants to which the seeking participant is to connect". In Gilbert et al., the seeking node, not the portal node, contacts the neighboring participants to which the seeking participant is to connect. Column 6, lines 57-61. Gilbert et al. fails to disclose a "non-switch based method for adding a participant to a network of participants". Column 3, lines 8-11. Gilbert et al. fails to disclose a method in which an additional node contacts "a number of randomly selected neighboring participants". Column 6, lines 30-32. Hughes et al. discloses a method in which an additional node contacts four neighboring participants. Hughes et al. fails to disclose a method in which a portal computer seeks "four randomly selected neighboring participants to which the seeking participant is to connect". *Hughes et al.* also fails to disclose a method in which a portal computer "sends an edge connection request to four randomly selected neighboring participants to which the seeking participant is to connect".

As explained below, Gilbert et al and Hughes et al. would not be combined. However, even if they were combined, neither Gilbert et al nor Hughes et al. teach or suggest the random selection of neighboring participants. Claim 1 has been amended to recite, among other limitations, a method in which a portal computer seeks "four randomly selected neighboring participants to which the seeking participant is to connect". In other words, the invention of claim 1 includes randomly selecting neighboring participants to which the seeking participant is to connect, which is not disclosed in either Gilbert et al or Hughes et al. Even if they were combined, neither Gilbert et al nor Hughes et al. teach or suggest the sending of an edge connection request by the portal computer to the randomly selected neighboring participants to which the seeking participant is to connect. Claim 1 has been amended to recite, among other limitations, a method in which a portal computer "sends an edge connection request to four randomly selected neighboring participants to which the seeking participant is to connect". In other words, the invention of claim 1 includes the portal computer sending an edge connection request to the randomly selected neighboring participants to which the seeking participant is to connect, which is not disclosed in either Gilbert et al or Hughes et al. For at least these reasons, the applicant believes that claim 1 is patentable over the combination of Gilbert et al and Hughes et al.

In a similar fashion, claim 14 has been amended to recite, among other limitations, a method in which a portal computer seeks "four randomly selected neighboring nodes to which the seeking node is to connect". In other words, the invention of claim 14 includes randomly

selecting neighboring nodes to which the seeking node is to connect, which is not disclosed in either Gilbert et al or Hughes et al. Even if they were combined, neither Gilbert et al nor Hughes et al. teach or suggest the random selection of neighboring nodes. In addition, even if they were combined, neither Gilbert et al nor Hughes et al. teach or suggest the sending of an edge connection request by the portal computer to the randomly selected neighboring nodes to which the seeking node is to connect. Claim 14 has been amended to recite, among other limitations, a method in which a portal computer "sends an edge connection request to four randomly selected neighboring nodes to which the seeking node is to connect". In other words, the invention of claim 14 includes the portal computer sending an edge connection request to the randomly selected neighboring nodes to which the seeking node is to connect, which is not

Since claim 1 is allowable, based on at least the above reasons, the claims that depend on claim 1 are likewise allowable. Thus, for at least this reason, claims 2-5, 7, 8, and 11-13 are patentable over the combination of *Gilbert et al* and *Hughes et al*. Since claim 14 is allowable, based on at least the above reasons, the claims that depend on claim 14 are likewise allowable. Thus, for at least this reason, claims 15-17 are patentable over the combination of *Gilbert et al* and *Hughes et al*.

disclosed in either Gilbert et al or Hughes et al. For at least these reasons, the applicant believes

that claim 14 is patentable over the combination of Gilbert et al and Hughes et al.

If the current rejection is maintained, the applicant's representative requests that the Examiner explain with the required specificity where a suggestion or motivation in the references for so combining the references may be found.<sup>6</sup>

<sup>6</sup> See, MPEP Section 2144.03.

Gilbert et al. deals with a method for adding nodes to a network while Hughes et al. deals with a network for interconnecting nodes for communication across the network. The addition of nodes to a network represents a completely separate process from the interconnection of nodes in a network. Hughes et al. contains no specific teachings that would suggest combining Hughes et al. with Gilbert et al. In other words, Hughes et al. contains no specific teachings that would suggest adding a node to a network.

As is known, one may not use the application as a blueprint to pick and choose teachings from various prior art references to construct the claimed invention ("impermissible hindsight reconstruction").<sup>7</sup> Assuming, for argument's sake, that it would be obvious to combine the teachings of *Hughes et al.* with *Gilbert et al.*, then *Hughes et al.* would have done so because it would have provided at least some of the advantages of the presently claimed invention. *Hughes et al.*'s failure to employ the teachings cited in *Gilbert et al.* is persuasive proof that the combination is unobvious. For at least this reason, the applicant believes that claims 1 and 14 are patentable over the combination of *Hughes et al.* and *Gilbert et al.* 

Since claim 1 is allowable, based on at least the above reasons, the claims that depend on claim 1 are likewise allowable. Thus, for at least this reason, claims 2-5, 7, 8, and 11-13 are patentable over the combination of *Gilbert et al* and *Hughes et al*. Since claim 14 is allowable, based on at least the above reasons, the claims that depend on claim 14 are likewise allowable. Thus, for at least this reason, claims 15-17 are patentable over the combination of *Gilbert et al* and *Hughes et al*.

-18-

<sup>&</sup>lt;sup>7</sup> See, e.g., In re Gorman, 933 F.2d 982,987 (Fed. Cir. 1991), ("One cannot use hindsight construction to pick and choose between isolated disclosures in the prior art to deprecate the claimed invention.").

## VI. Rejections under 35 U.S.C. § 103, third paragraph

#### A. The Applied Art

A Flood Routing Method for Data Networks by Cho (Cho), U.S. Patent No. 6,490,247 B1 to Gilbert et al. (Gilbert et al.), and U.S. Patent No. 6,553,020 B1 to Hughes et al. (Hughes et al.) have already been disclosed in the above descriptions of the applied art.

## B. Analysis

As noted previously, Gilbert et al. discloses a method for adding nodes to a network while Hughest et al. discloses a network for interconnecting nodes for communication across the network. The combination of Gilbert et al. and Hughest et al. fails to disclose a method in which "each participant forwards broadcast messages that it receives to all of its neighbor participants". Cho discloses a method in which flooding is used to find an optimal route to forward messages through. Cho fails to disclose the use of flooding to forward messages. In Cho, flooding is used only to find an optimal route for data transmission and is not used to actually forward messages. Cho fails to disclose a system in which "each participant forwards broadcast messages that it receives to all of its neighbor participants". In Cho, each participant forwards messages only to a destination node once the optimal route has been selected. Cho fails to disclose a system in which "each participant connected to the broadcast channel receives all messages that are broadcast on the network". In addition, Cho fails to disclose a method for addressing a sequence of messages that are received out of order in which "messages are numbered sequentially so that messages received out of order are queued and rearranged to be in order". Claim 32 has been amended to clarify the inherent language of previously pending claim 32. As explained below, Gilbert et al, Hughes et al., and Cho would not be combined. However, even if they were combined, Gilbert et al, Hughes et al., and Cho fail to teach or suggest the use of flooding to send messages to all nodes connected to a broadcast channel. In addition, Gilbert et al, Hughes et al., and Cho fail to teach or suggest the sequential numbering of messages to rearrange a

sequence of messages that are received out of order. The invention of claim 32 includes

forwarding messages to all neighboring nodes and numbering each message sequentially so that

"messages received out of order are queued and rearranged to be in order", which are not

disclosed in Gilbert et al, Hughes et al., or Cho. For at least these reasons, the applicant believes

that claim 32 is patentable over the combination of Gilbert et al, Hughes et al., and Cho.

Since claim 32 is allowable, based on at least the above reasons, the claims that depend

on claim 32 are likewise allowable. Thus, for at least this reason, claims 33-36, 38, and 39 are

patentable over the combination of Gilbert et al, Hughes et al., and Cho.

Gilbert et al. deals with a method for adding nodes to a network, Hughes et al. deals with

a network for interconnecting nodes for communication, and Cho deals with finding an optimal

route to forward messages in a network. These three prior art references represent separate,

distinct processes. The combination of Gilbert et al. and Hughes et al. contains no specific

teachings that would suggest combining Gilbert et al. and Hughes et al. with Cho. In other

words, the combination of Gilbert et al. and Hughes et al. contains no specific teachings that

would suggest finding an optimal route to forward messages in a network.

Assuming, for argument's sake, that it would be obvious to combine the teachings of

Gilbert et al. and Hughes et al. with Cho, then Gilbert et al. and Hughes et al. would have done

so because it would have provided at least some of the advantages of the presently claimed

invention. The failure of Gilbert et al. and Hughes et al. to employ the teachings cited in Cho is

persuasive proof that the combination recited in claim 32 is unobvious. For at least this reason,

the applicant believes that claim 32 is patentable over the combination of Gilbert et al. and

Hughes et al. in view of Cho.

-20-

Since claim 32 is allowable, based on at least the above reasons, the claims that depend on claim 32 are likewise allowable. Thus, for at least this reason, claims 33-36, 38, and 39 are patentable over the combination of Gilbert et al, Hughes et al., and Cho.

#### VII. Conclusion

In view of the foregoing, the claims pending in the application comply with the requirements of 35 U.S.C. § 112 and patentably define over the applied art. A Notice of Allowance is, therefore, respectfully requested. If the Examiner has any questions or believes a telephone conference would expedite prosecution of this application, the Examiner is encouraged to call the undersigned at (206) 359-6488.

Respectfully submitted,

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