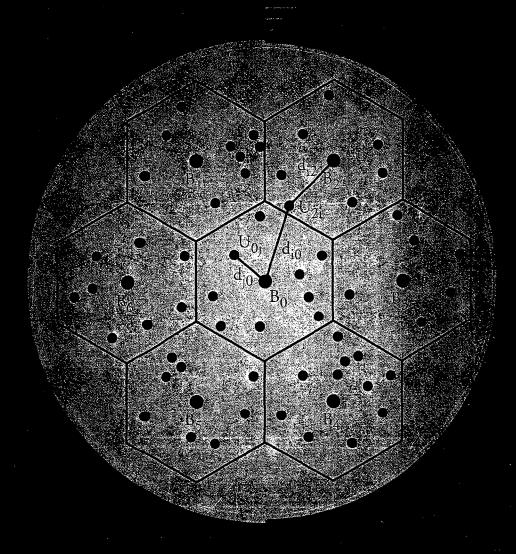
# WIRELESS

Communication of the second of

Principles (1) Practice



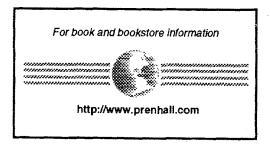
Theodore S. Rappaport

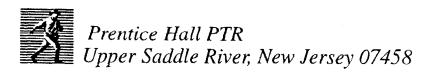
SPRINT 1119

# Wireless Communications

Principles and Practice

Theodore S. Rappaport





Editorial/production manager: Camille Trentacoste

Cover design director: Jerry Votta
Cover designer: Anthony Gemmellaro
Manufacturing manager: Alexis R. Heydt
Acquisitions editor: Karen Gettman
Editorial assistant: Barbara Alfieri



© 1996 by Prentice Hall PTR
Prentice-Hall, Inc.
A Simon & Schuster Company
Upper Saddle River, New Jersey 07458

The publisher offers discounts on this book when ordered in bulk quantities. For more information, contact Corporate Sales Department, Prentice Hall PTR, One Lake Street, Upper Saddle River, NJ 07458.

Phone: 800-382-3419; FAX: 201-236-7141.

E-mail: corpsales@prenhall.com

All rights reserved. No part of this book may be reproduced, in any form or by any means, without permission in writing from the publisher.

All product names mentioned herein are the trademarks of their respective owners.

Printed in the United States of America 10 9 8 7 6 5 4

ISBN 0-13-375536-3

Prentice-Hall International (UK) Limited, London Prentice-Hall of Australia Pty. Limited, Sydney Prentice-Hall Canada Inc., Toronto Prentice-Hall Hispanoamericana, S.A., Mexico Prentice-Hall of India Private Limited, New Delhi Prentice-Hall of Japan, Inc., Tokyo Simon & Schuster Asia Pte. Ltd., Singapore Editora Prentice-Hall do Brasil, Ltda., Rio de Janeiro

The LORD has blessed me with a wonderful family to whom this book is dedicated

To my wife Brenda Marie, and to our children Matthew, Natalie, and Jennifer

## **Contents**

	Preface	xi
1	<b>Introduction to Wireless Communication Systems</b>	1
	1.1 Evolution of Mobile Radio Communications	1
	1.2 Mobile Radiotelephone in the U.S.	4
	1.3 Mobile Radio Systems Around the World	6
	1.4 Examples of Mobile Radio Systems	9
	1.4.1 Paging Systems	11
	1.4.2 Cordless Telephone Systems	13
	1.4.3 Cellular Telephone Systems	14
	1.4.4 Comparison of Common Mobile Radio Systems	17
	1.5 Trends in Cellular Radio and Personal Communications	20
	1.6 Problems	22
2	The Cellular Concept — System Design Fundamentals	25
	2.1 Introduction	25
	2.2 Frequency Reuse	26
	2.3 Channel Assignment Strategies	30
	2.4 Handoff Strategies	31
	2.4.1 Prioritizing Handoffs	34
	2.4.2 Practical Handoff Considerations	34
	2.5 Interference and System Capacity	37
	2.5.1 Co-channel Interference and System Capacity	37
	2.5.2 Adjacent Channel Interference	41
	2.5.3 Power Control for Reducing Interference	43
	2.6 Trunking and Grade of Service	44

vi		Contents
	2.7 Improving Capacity in Cellular Systems	54
	2.7.1 Cell Splitting	54
	2.7.2 Sectoring	57
	2.7.3 A Novel Microcell Zone Concept	61
	2.8 Summary	63
	2 olems	63
3	e Radio Propagation: Large-Scale Path Loss	<b>69</b> .
	3.1 muoduction to Radio Wave Propagation	69
	3.2 Free Space Propagation Model	70
	3.3 Relating Power to Electric Field	74
	3.4 The Three Basic Propagation Mechanisms	78
	3.5 Reflection	78
	3.5.1 Reflection from Dielectrics	79
	3.5.2 Brewster Angle	84
	3.5.3 Reflection from Perfect Conductors	85
	3.6 Ground Reflection (2-ray) Model	85
	3.7 Diffraction	90
	3.7.1 Fresnel Zone Geometry	91
	3.7.2 Knife-edge Diffraction Model	94
	3.7.3 Multiple Knife-edge Diffraction	99
	3.8 Scattering	100
	3.8.1 Radar Cross Section Model	101
	3.9 Practical Link Budget Design using Path Loss Models	102
	3.9.1 Log-distance Path Loss Model	102
	3.9.2 Log-normal Shadowing	104
	3.9.3 Determination of Percentage of Coverage Area	106
	3.10 Outdoor Propagation Models	110
	3.10.1 Longley-Rice Model	110
	3.10.2 Durkin's Model — A Case Study	111
	3.10.3 Okumura Model	116
	3.10.4 Hata Model	119
	3.10.5 PCS Extension to Hata Model	120
	3.10.6 Walfisch and Bertoni Model	120
	3.10.7 Wideband PCS Microcell Model	121
	3.11 Indoor Propagation Models	123
	3.11.1 Partition Losses (same floor)	123
	3.11.2 Partition Losses between Floors	126
	3.11.3 Log-distance Path Loss Model	126
	3.11.4 Ericsson Multiple Breakpoint Model	128
	3.11.5 Attenuation Factor Model	128
	3.12 Signal Penetration into Buildings	131
	3.13 Ray Tracing and Site Specific Modeling	132
	3.14 Problems	133

<u>.</u>	
Contents	VII
Contents	VII

4 Mobile Radio Propagation: Small-Scale Fading and Multip	ath 139
4.1 Small-Scale Multipath Propagation	139
4.1.1 Factors Influencing Small-Scale Fading	140
4.1.2 Doppler Shift	141
4.2 Impulse Response Model of a Multipath Channel	143
4.2.1 Relationship Between Bandwidth and Received Power	147
4.3 Small-Scale Multipath Measurements	153
4.3.1 Direct RF Pulse System	154
4.3.2 Spread Spectrum Sliding Correlator Channel Sounding	155
4.3.3 Frequency Domain Channel Sounding	158
4.4 Parameters of Mobile Multipath Channels	159
4.4.1 Time Dispersion Parameters	160
4.4.2 Coherence Bandwidth	163
4.4.3 Doppler Spread and Coherence Time	165
4.5 Types of Small-Scale Fading	167
4.5.1 Fading Effects Due to Multipath Time Delay Spread	168
4.5.2 Fading Effects Due to Doppler Spread	170
4.6 Rayleigh and Ricean Distributions	172
4.6.1 Rayleigh Fading Distribution	172
4.6.2 Ricean Fading Distribution	174
4.7 Statistical Models for Multipath Fading Channels	176
4.7.1 Clarke's Model for Flat Fading	177
4.7.2 Simulation of Clarke and Gans Fading Model	181
4.7.3 Level Crossing and Fading Statistics	185
4.7.4 Two-ray Rayleigh Fading Model	188
4.7.5 Saleh and Valenzuela Indoor Statistical Model	188
4.7.6 SIRCIM and SMRCIM Indoor and Outdoor Statistical Models	189
4.8 Problems	192
5 Modulation Techniques for Mobile Radio	197
5.1 Frequency Modulation vs. Amplitude Modulation	198
5.2 Amplitude Modulation	199
5.2.1 Single Sideband AM	202
5.2.2 Pilot Tone SSB	203
5.2.3 Demodulation of AM signals	206
5.3 Angle Modulation	206
5.3.1 Spectra and Bandwidth of FM Signals	208
5.3.2 FM Modulation Methods	209
5.3.3 FM Detection Techniques	211
5.3.4 Tradeoff Between SNR and Bandwidth in an FM Signal	219
-	220
5.4 Digital Modulation — an Overview	
5.4 Digital Modulation — an Overview 5.4.1 Factors That Influence the Choice of Digital Modulation	
5.4.1 Factors That Influence the Choice of Digital Modulation	221
<del>-</del>	

/iii		Contents
	5.5.2 Raised Cosine Rolloff Filter	229
	5.5.3 Gaussian Pulse-shaping Filter	233
	5.6 Geometric Representation of Modulation Signals	234
	5.7 Linear Modulation Techniques	238
	5.7.1 Binary Phase Shift Keying (BPSK)	238
	5.7.2 Differential Phase Shift Keying (DPSK)	242
	5.7.3 Quadrature Phase Shift Keying (QPSK)	243
	5.7.4 QPSK Transmission and Detection Techniques	246
	5.7.5 Offset QPSK	247
	$5.7.6 \pi/4 \mathrm{QPSK}$	249
	5.7.7 π/4 QPSK Transmission Techniques	249
	5.7.8 π/4 QPSK Detection Techniques	252
	5.8 Constant Envelope Modulation	254
	5.8.1 Binary Frequency Shift Keying	256
	5.8.2 Minimum Shift Keying (MSK)	259
	5.8.3 Gaussian Minimum Shift Keying (GMSK)	261
	5.9 Combined Linear and Constant Envelope Modulation Techniques	267
	5.9.1 M-ary Phase Shift Keying (MPSK)	267
	5.9.2 M-ary Quadrature Amplitude Modulation (QAM)	270
	5.9.3 M-ary Frequency Shift Keying (MFSK)	272
	5.10 Spread Spectrum Modulation Techniques	274
	5.10.1 Pseudo-noise (PN) Sequences	275
	5.10.2 Direct Sequence Spread Spectrum (DS-SS)	276
	5.10.3 Frequency Hopped Spread Spectrum (FH-SS)	278
	5.10.4 Performance of Direct Sequence Spread Spectrum	280
	5.10.5 Performance of Frequency Hopping Spread Spectrum	283
	5.11 Modulation Performance in Fading and Multipath Channels	284
	5.11.1 Performance of Digital Modulation in Slow, Flat Fading Channels	285
	5.11.2 Digital Modulation in Frequency Selective Mobile Channels	289
	5.11.3 Performance of $\pi/4$ DQPSK in Fading and Interference	290
	5.12 Problems	294
5	Equalization, Diversity, and Channel Coding	299
	6.1 Introduction	299
	6.2 Fundamentals of Equalization	300
	6.3 A Generic Adaptive Equalizer	303
	6.4 Equalizers in a Communications Receiver	307
	6.5 Survey of Equalization Techniques	308
	6.6 Linear Equalizers	310
	6.7 Nonlinear Equalization	312
	6.7.1 Decision Feedback Equalization (DFE)	313
	6.7.2 Maximum Likelihood Sequence Estimation (MLSE) Equalizer	315
	6.8 Algorithms for Adaptive Equalization	316
	6.8.1 Zero Forcing Algorithm	318
	6.8.2 Least Mean Square Algorithm	319
	6.8.3 Recursive Least Squares Algorithm	321
	6.8.4 Summary of Algorithms	323
	<b>→                                    </b>	

)(	ontents	
	6.9 Fractionally Spaced Equalizers	323
	6.10 Diversity Techniques	325
	6.10.1 Derivation of Selection Diversity Improvement	326
	6.10.2 Derivation of Maximal Ratio Combining Improvement	328
	6.10.3 Practical Space Diversity Considerations	330
	6.10.4 Polarization Diversity	332
	6.10.5 Frequency Diversity	335
	6.10.6 Time Diversity	335
	6.11 RAKE Receiver	336
	6.12 Interleaving	338
	6.13 Fundamentals of Channel Coding	339
	6.14 Block Codes	340
	6.14.1 Examples of Block Codes	344
	6.14.2 Case Study of Reed-Solomon Codes	346
	6.15 Convolutional Codes	352
	6.15.1 Decoding of Convolutional Codes	354
	6.16 Coding Gain	356
	6.17 Trellis Coded Modulation	356
	6.18 Problems	357
7	Speech Coding	361
	7.1 Introduction	361
	7.2 Characteristics of Speech Signals	363
	7.3 Quantization Techniques	365
	7.3.1 Uniform Quantization	365
	7.3.2 Nonuniform Quantization	365
	7.3.3 Adaptive Quantization	368
	7.3.4 Vector Quantization	368
	7.4 Adaptive Differential Pulse Code Modulation	369
	7.5 Frequency Domain Coding of Speech	371
	7.5.1 Sub-band Coding	372
	7.5.2 Adaptive Transform Coding	375
	7.6 Vocoders	376
	7.6.1 Channel Vocoders	376
	7.6.2 Formant Vocoders	377
	7.6.3 Cepstrum Vocoders	377
	7.6.4 Voice-Excited Vocoder	378
	7.7 Linear Predictive Coders	378
	7.7.1 LPC Vocoders	378
	7.7.2 Multi-pulse Excited LPC	381
	7.7.3 Code-Excited LPC	382
	7.7.4 Residual Excited LPC	383
	7.8 Choosing Speech Codecs for Mobile Communications	384
	7.9 The GSM Codec	387
	7.10 The USDC Codec	389
	7.11 Performance Evaluation of Speech Coders	389

ix

X		Contents
8	Multiple Access Techniques for Wireless Communications	395
	8.1 Introduction	395
	8.1.1 Introduction to Multiple Access	396
	8.2 Frequency Division Multiple Access (FDMA)	397
	8.3 Time Division Multiple Access (TDMA)	400
	8.4 Spread Spectrum Multiple Access	404
	8.4.1 Frequency Hopped Multiple Access (FHMA)	404
	8.4.2 Code Division Multiple Access (CDMA)	405
	8.4.3 Hybrid Spread Spectrum Techniques	407
	8.5 Space Division Multiple Access (SDMA)	409
	8.6 Packet Radio	410
	8.6.1 Packet Radio Protocols	411
	8.6.2 Carrier Sense Multiple Access (CSMA) Protocols	415
	8.6.3 Reservation Protocols	416
	8.6.4 Capture Effect in Packet Radio	416
	8.7 Capacity of Cellular Systems	417
	8.7.1 Capacity of Cellular CDMA	422
	8.7.2 Capacity of CDMA with Multiple Cells	425
	8.7.3 Capacity of Space Division Multiple Access	431
	8.8 Problems	437
9	Wireless Networking	439
	9.1 Introduction to Wireless Networks	439
	9.2 Differences Between Wireless and Fixed Telephone Networks	441
	9.2.1 The Public Switched Telephone Network (PSTN)	441
	9.2.2 Limitations in Wireless Networking	443
	9.2.3 Merging Wireless Networks and the PSTN	444
	9.3 Development of Wireless Networks	445
	9.3.1 First Generation Wireless Networks	445
	9.3.2 Second Generation Wireless Networks	448
	9.3.3 Third Generation Wireless Networks	449
	9.4 Fixed Network Transmission Hierarchy	449
	9.5 Traffic Routing in Wireless Networks	450
	9.5.1 Circuit Switching	452
	9.5.2 Packet Switching	452
	9.5.3 The X.25 Protocol	454
	9.6 Wireless Data Services	455
	9.6.1 Cellular Digital Packet Data (CDPD)	455
	9.6.2 Advanced Radio Data Information Systems (ARDIS)	457
	9.6.3 RAM Mobile Data (RMD)	457
	9.7 Common Channel Signaling (CCS)	458
	9.7.1 The Distributed Central Switching Office for CCS	459
	9.8 Integrated Services Digital Network (ISDN)	461
	9.8.1 Broadband ISDN and ATM	463
	9.9 Signaling System No. 7 (SS7)	463
	9.9.1 Network Services Part (NSP) of SS7	465

Contents	xi
9.9.2 The SS7 User Part	466
9.9.3 Signaling Traffic in SS7	467
9.9.4 SS7 Services	468
9.9.5 Performance of SS7	469
9.10 An example of SS7 — Global Cellular Network Interoperability	469
9.11 Personal Communication Services/Networks (PCS/PCN)	472
9.11.1 Packet vs. Circuit switching for PCN	472
9.11.2 Cellular Packet-Switched Architecture	473
9.12 Protocols for Network Access	477
9.12.1 Packet Reservation Multiple Access (PRMA)	478
9.13 Network Databases	479
9.13.1 Distributed Database for Mobility Management	479
9.14 Universal Mobile Telecommunication System (UMTS)	480
9.15 Summary	481
10 Wireless Systems and Standards	483
10.1 AMPS and ETACS	483
10.1.1 AMPS and ETACS System Overview	484
10.1.2 Call Handling in AMPS and ETACS	485
10.1.3 AMPS and ETACS Air Interface	487
10.1.4 N-AMPS	491
10.2 United States Digital Cellular (IS-54)	491
10.2.1 USDC Radio Interface	493
10.2.2 United States Digital Cellular Derivatives (IS-94 and IS-136)	500
10.3 Global System for Mobile (GSM)	500
10.3.1 GSM Services and Features	501
10.3.2 GSM System Architecture	502
10.3.3 GSM Radio Subsystem	505
10.3.4 GSM Channel Types	507
10.3.5 Example of a GSM Call	512
10.3.6 Frame Structure for GSM	513
10.3.7 Signal Processing in GSM	515
10.4 CDMA Digital Cellular Standard (IS-95)	519
10.4.1 Frequency and Channel Specifications	520
10.4.2 Forward CDMA Channel	521
10.4.3 Reverse CDMA Channel	527
10.4.4 IS-95 with 14.4 kbps Speech Coder [ANS95]	533
10.5 CT2 Standard For Cordless Telephones	533
10.5.1 CT2 Services and Features	533
10.5.2 The CT2 Standard	534
10.6 Digital European Cordless Telephone (DECT)	535
10.6.1 Features and Characteristics	535
10.6.2 DECT Architecture	536
10.6.3 DECT Functional Concept	537

xii	Contents
10.7 PACS — Personal Access Communication Systems	539
10.7.1 PACS System Architecture	540
10.7.2 PACS Radio Interface	541
10.8 Pacific Digital Cellular (PDC)	543
10.9 Personal Handyphone System (PHS)	544
10.10 U.S. PCS and ISM Bands	544
10.11 U.S. Wireless Cable Television	547
10.12 Summary of Standards Throughout the World	548
10.13 Problems	551
APPENDICES	
A Trunking Theory	555
A.1 Erlang B	556
A.1.1 Derivation of Erlang B	556
A.2 Erlang C	561
A.2.1 Derivation of Erlang C	561
B Noise Figure Calculations for Link Budgets	565
C Gaussian Approximations for Spread Spectrum CDMA	569
C.1 The Gaussian Approximation	577
C.2 The Improved Gaussian Approximation (IGA)	582
C.3 A Simplified Expression for the Improved Gaussian Approximation (SE	EIGA) 585
D Q, erf & erfc Functions	593
D.1 The Q-Function	593
D.2 The erf and erfc functions	595
E Mathematical Tables	599
F Abbreviations and Acronyms	607
G References	617
Index	635

.

Diversity Techniques 335

The correlation coefficient is determined by three factors: polarization angle; offset angle from the main beam direction of the diversity antenna and the cross polarization discrimination. The correlation coefficient generally becomes higher as offset angle  $\beta$  becomes larger. Also,  $\rho$  generally becomes lower as polarization angle  $\alpha$  increases. This is because the horizontal polarization component becomes larger as  $\alpha$  increases.

Because antenna elements  $V_1$  and  $V_2$  are polarized at  $\pm \alpha$  to the vertical, the received signal level is lower than that received by a vertically polarized antenna. The average value of signal loss L, relative to that received using vertical polarization is given by

$$L = a^2/\Gamma + b^2 \tag{6.78}$$

The results of practical experiments carried out using polarization diversity [Koz85] show that polarization diversity is a viable diversity reception technique.

### 6.10.5 Frequency Diversity

Frequency diversity transmits information on more than one carrier frequency. The rationale behind this technique is that frequencies separated by more than the coherence bandwidth of the channel will not experience the same fades [Lem91]. Theoretically, if the channels are uncorrelated, the probability of simultaneous fading will be the product of the individual fading probabilities (see equation (6.58)).

Frequency diversity is often employed in microwave line-of-sight links which carry several channels in a frequency division multiplex mode (FDM). Due to tropospheric propagation and resulting refraction, deep fading sometimes occurs. In practice, 1:N protection switching is provided by a radio licensee, wherein one frequency is nominally idle but is available on a stand-by basis to provide frequency diversity switching for any one of the N other carriers (frequencies) being used on the same link, each carrying independent traffic. When diversity is needed, the appropriate traffic is simply switched to the backup frequency. This technique has the disadvantage that it not only requires spare bandwidth but also requires that there be as many receivers as there are channels used for the frequency diversity. However, for critical traffic, the expense may be justified.

### 6.10.6 Time Diversity

Time diversity repeatedly transmits information at time spacings that exceed the coherence time of the channel, so that multiple repetitions of the signal will be received with independent fading conditions, thereby providing for diversity. One modern implementation of time diversity involves the use of the