

Hypoxia-inducible factor 1: master regulator of O₂ homeostasis

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Hypoxia-inducible factor 1 (HIF-1) is a transcription factor that mediates essential homeostatic responses to reduced O₂ availability in mammals. Recent studies have provided insights into the O₂-dependent regulation of HIF-1 expression, target genes regulated by HIF-1, and the effects of HIF-1 deficiency on cellular physiology and embryonic development.

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Abbreviations

ARNT aryl hydrocarbon nuclear translocator
bHLH basic helix-loop-helix
EPO erythropoietin
HRE hypoxia response element
TADs transcriptional activation domains
VEGF vascular endothelial growth factor

Introduction

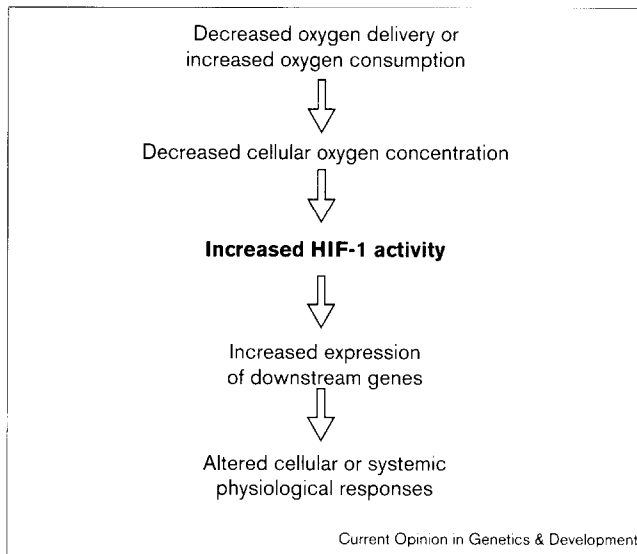
Humans and other mammals have a constant and absolute requirement for O₂. In mammals, more O₂ is consumed than any other inorganic or organic substance and there is no substance which when withheld causes death faster. Prior to birth, humans develop complex respiratory and circulatory systems to ensure delivery of O₂ sufficient to meet the metabolic requirements of each of the ~10¹⁴ cells of the adult organism. In many disease states, these systems fail and hypoxia is a major factor contributing to the pathophysiology of heart attack, stroke, pulmonary hypertension, and other significant causes of mortality. Conversely, cancer cells adapt to hypoxia — utilizing these same physiological systems during the processes of tumor growth, invasion, and metastasis.

In this review, I summarize recent investigations which provide evidence that a single factor, HIF-1, plays a major role in essential adaptive responses to hypoxia. This transcriptional activator is at the center of complex pathways that bridge molecular biology, embryology, and cellular/systemic physiology (Figure 1). Recent efforts to delineate several of these pathways are described. Finally, because HIF-1 plays a central role in O₂ homeostasis, it is critical to determine the mechanisms by which HIF-1 activity is regulated by O₂ concentration and recent data addressing this issue are presented.

Isolation of HIF-1 coding sequences

Studies of the erythropoietin gene (*EPO*) led to the identification of a *cis*-acting hypoxia-response element

Figure 1



The central role of HIF-1 in O₂ homeostasis.

(HRE) in the 3'-flanking region [1–3]. HIF-1 was identified as a hypoxia-inducible HRE-binding activity [4]. The HIF-1 binding site in the *EPO* HRE was used for purification of the HIF-1 α and HIF-1 β subunits by DNA affinity chromatography [5]. cDNA cloning revealed that both subunits were basic helix-loop-helix (bHLH)-PAS proteins: HIF-1 α was a novel protein, whereas HIF-1 β was identical to the aryl hydrocarbon nuclear translocator (ARNT) protein [6]. HIF-1 DNA-binding activity and HIF-1 α protein expression were rapidly induced by hypoxia [6,7] and the magnitude of the response was inversely related to the cellular O₂ concentration [8]. Dimerization with HIF-1 β induced a conformational change in HIF-1 α , possibly mediated by the chaperone HSP90, which was required for high-affinity DNA binding [9*].

Mouse and rat HIF-1 α amino acid sequences share 95% identity with the human sequence, providing evolutionary evidence for the functional importance of HIF-1 α [10*,11,12*,13]. Despite the conservation of amino acid sequence, only the mouse and rat *Hif1a* genes appear to contain an alternative first exon that encodes a HIF-1 α isoform lacking the first 12 amino acids following the initiator methionine [10*,13,14*–16*]. The shorter isoform contains just 4 amino acids prior to the bHLH domain, which could potentially affect the affinity and/or specificity of dimerization and/or DNA binding. HIF-1 α and HIF-1 β mRNAs are expressed ubiquitously in human and rodent tissues [13,15*,17].

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Table 1

Functional categorization of HIF-1 target genes.

Increased O ₂ delivery
Erythropoiesis Erythropoietin (EPO) [1-4,22] Transferrin [51*]
Increased vasodilation or vascularization Vascular endothelial growth factor (VEGF) [19**,36,52-54] Inducible nitric oxide synthase (NOS2) [55,56*] Heme oxygenase 1 (HO1) [57*]
Decreased O ₂ consumption
Glycolysis/energy metabolism Glucose transporters (GLUT1, GLUT3) [19**,33**,58] Glycolytic enzymes (Figure 2) [18,19**,33**,59-61] Adenylate kinase 3 [33**]

HIF-1 target genes identified to date

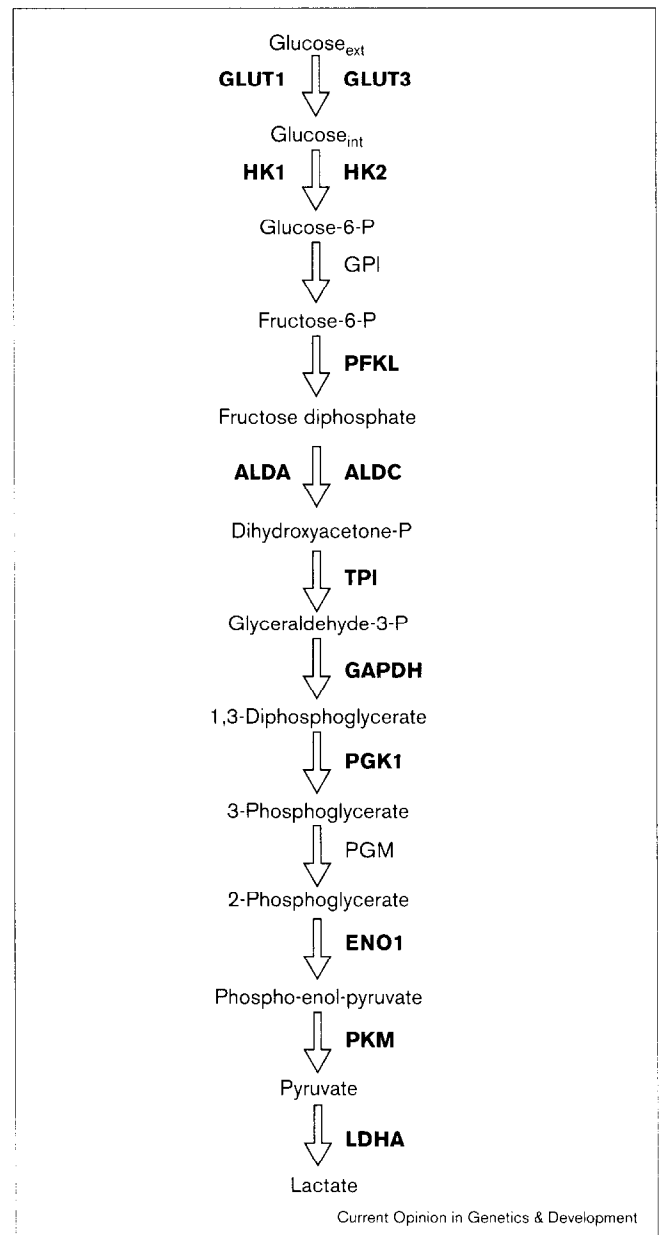
HREs containing functionally-essential HIF-1-binding sites were identified in genes encoding vascular endothelial growth factor (VEGF), glucose transporter 1 (GLUT1), and the glycolytic enzymes aldolase A, enolase 1 (ENO1), lactate dehydrogenase A, and phosphoglycerate kinase 1 (Table 1). HIF-1 has also been shown to activate transcription of genes encoding inducible nitric oxide synthase (i-NOS) and heme oxygenase 1 (HO1) — which are responsible for the synthesis of the vasoactive molecules NO and CO, respectively — and transferrin — which, like EPO, is essential for erythropoiesis. Each of these genes contains an HRE sequence of <100 bp that includes one or more HIF-1-binding sites containing the core sequence 5'-RCGTG-3' [18].

The recent analysis of embryonic stem cells that lack expression of HIF-1 α [19**] or HIF-1 β [20**] has confirmed the importance of HIF-1 for the expression of many of these genes and, in the case of the glycolytic pathway, has revealed that HIF-1 coordinately regulates transcription of almost every gene in the pathway (Figure 2). The known target genes demonstrate that HIF-1 facilitates both increased O₂ delivery, by promoting erythropoiesis, angiogenesis, and vasodilation, and decreased O₂ utilization, by participating in the transition from oxidative phosphorylation to glycolysis as a means of generating ATP. The genes listed in Table 1 represent only a fraction of all genes that are regulated by HIF-1. New techniques for global analysis of gene expression will probably enlarge this list by several orders of magnitude.

Regulation of HIF-1 activity by O₂ concentration

Whereas HIF-1 β is present in excess and is a common subunit for multiple transcription factors (see below), HIF-1 α is unique to HIF-1 and the level of its expression is the primary determinant of HIF-1 DNA-binding and transcriptional activity [8,18,21]. Induction of HIF-1 α thus appears to be a critical step in the hypoxic response and occurs via increased

Figure 2

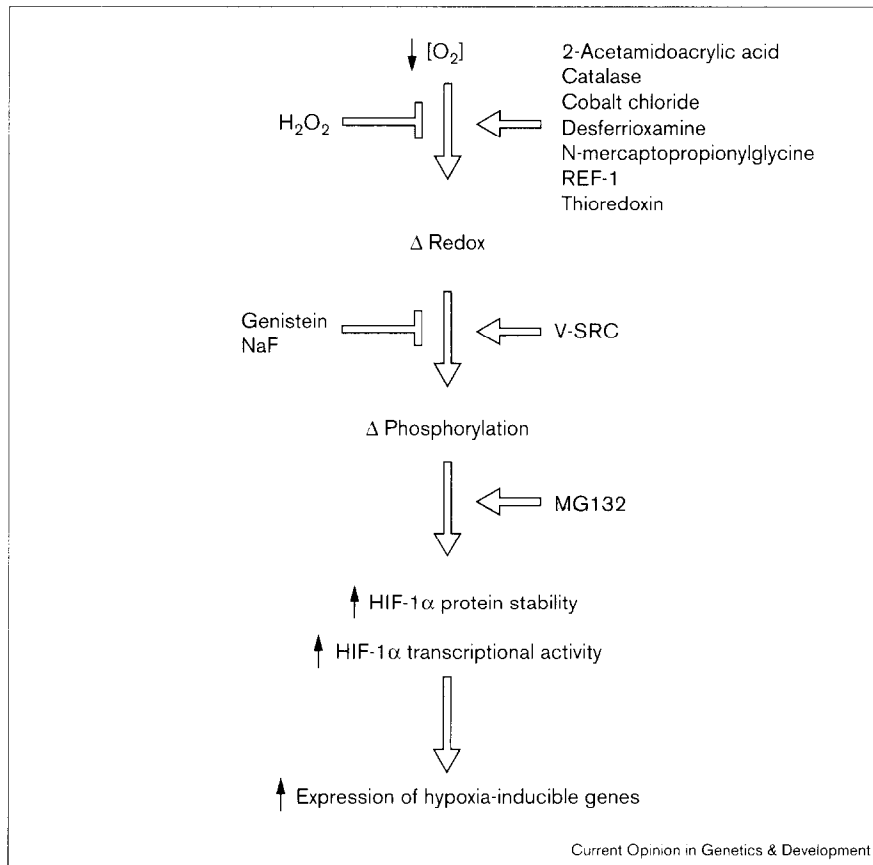


Coordinate regulation of genes encoding glucose transporters and glycolytic enzymes by HIF-1. Genes encoding enzymes shown in bold are regulated by HIF-1 as determined by analysis of mRNA expression in HIF-1 α -deficient cells [19**, 33**]. GLUT1 and GLUT3, glucose transporters 1 and 3; HK1 and HK2, hexokinase 1 and 2; GPI, glucosephosphate isomerase; PFKL, phosphofructokinase L; ALDA and ALDC, aldolase A and C; TPI, triosephosphate isomerase; GAPDH, glyceraldehydephosphate dehydrogenase; PGK1, phosphoglycerate kinase 1; PGM, phosphoglucomutase; ENO1, enolase 1; PKM, pyruvate kinase M; LDHA, lactate dehydrogenase A.

stability of the protein and increased activity of its transcriptional activation domains (TADs) (Figure 3).

When cells exposed to hypoxia were reoxygenated, HIF-1 α was rapidly degraded with a half-life of <5 min [6]. The carboxy-terminal sequence of HIF-1 α contains two

Figure 3



Hypoxia signal transduction. Reduction of cellular O_2 concentration is associated with redox changes that lead to altered phosphorylation of HIF-1 α , which increases its stability and transcriptional activity, resulting in the induction of downstream gene expression. Putative inducers (horizontal arrows) and inhibitors (blocked arrows) of different steps in the proposed pathway are indicated.

20 amino acid sequences (499–518 and 581–600) that each contain 15 PEST (proline, glutamate, serine, threonine) residues, which have been implicated in protein instability; the function of these sequences, however, remains unproven. Truncation of HIF-1 α at amino acid 390 resulted in a constitutively stable protein [22]. These results suggest that the HIF-1 α half-life is modulated by cellular O_2 concentration and that this regulation requires sequences carboxy-terminal to amino acid 390.

Exposure of cells to the proteasome inhibitors lactacystin or MG132 resulted in detectable levels of HIF-1 DNA-binding activity under non-hypoxic conditions and a decreased rate of HIF-1 degradation under post-hypoxic conditions [23^{••}]. Incubation of ts20 cells, containing a temperature-sensitive mutation in the E1 ubiquitin-activating enzyme, at the non-permissive temperature resulted in expression of HIF-1 activity under non-hypoxic conditions [23^{••}]. These results suggest that rates of ubiquitination and proteasomal degradation of HIF-1 may be modulated by cellular O_2 concentration.

In addition to mediating O_2 -dependent modulation of protein half-life, the carboxy-terminal half of HIF-1 α is also required for hypoxia-inducible transcriptional activity [22]. Analysis of chimeric proteins containing the GAL4

DNA-binding domain and HIF-1 α carboxy-terminal sequences revealed the presence of two powerful TADs (amino acids 531–575 and 786–826) separated by a domain (amino acids 576–785) that inhibited TAD function under non-hypoxic conditions [24^{••},25^{••}]. Transcriptional activity mediated by the TADs was hypoxia-inducible in the absence of changes in steady-state levels of the chimeric proteins. Both protein stability and TAD function were also induced by treatment of cells with cobalt chloride or desferrioxamine, which also induce the expression of HIF-1-regulated downstream genes.

How do cells sense O_2 concentration and transduce this signal to HIF-1 α ? Induction of HIF-1 α can be blocked by the tyrosine kinase inhibitors genistein and herbimycin A and by the serine-threonine phosphatase inhibitor sodium fluoride [26], implying the existence of a kinase/phosphatase cascade. Changes in cellular redox state have also been implicated in hypoxia signal transduction leading to HIF-1 expression [21,23^{••},27]. HIF-1 activity was induced by exposure of non-hypoxic cells to the thiol reducing agent N-(2-mercaptopropionyl)-glycine (NMPG) or the oxygen radical scavenger 2-acetamidoacrylic acid [23^{••}]. Both NMPG and catalase, which catalyzes the degradation of H_2O_2 to H_2O and O_2 , also induced expression of a reporter gene containing the *EPO* HRE [23^{••}].

Over-expression of either thioredoxin or REF-1 (redox factor-1) also increased transcription of an HRE-containing reporter gene [21]. It is not known whether the stimulatory effect of redox-modulating factors occurred upstream or downstream of HIF-1 α protein expression.

These results suggest that O₂ concentration is sensed by conversion to reactive oxygen species (ROS) such as superoxide, hydrogen peroxide, and hydroxyl radical, possibly via the action of a flavoprotein NADPH oxidoreductase [28^{*},29^{*}]. Diphenylene iodonium (DPI), an inhibitor of flavoproteins, blocked the induction of HIF-1 and downstream genes in hypoxic cells [30], which is the opposite of what is predicted on the basis of the model of O₂-concentration-dependent production of ROS by NADPH oxidase. Furthermore, H₂O₂ production in menadione-treated cells increased exponentially between ~4% and ~11% O₂ [31], whereas induction of HIF-1 was exponential between 6% and 0.5% O₂ [8]. Alternative hypotheses have been proposed, including the hypothesis that the mitochondrial O₂ acceptor cytochrome oxidase functions as a cellular O₂ sensor [32^{*}]. No single model of O₂ sensing is consistent with all of the experimental data regarding inducers and inhibitors of HIF-1. It is possible that O₂ is sensed by mammalian cells via multiple mechanisms, some of which may even be cell-type-specific. A genetic approach to this problem is necessary and a system for selecting cells with mutations in hypoxia signal transduction has recently been described [33^{**}].

Involvement of HIF-1 in (patho)physiology

When near-term fetal sheep were subjected to chronic anemia by daily isovolemic hemorrhage, the hematocrit and arterial O₂ content decreased 3-fold within one week [34]. Concomitantly, the fetuses underwent remarkable physiological adaptation: cardiac output increased by 50% and the heart:body weight ratio increased by 30%. To maintain myocardial oxygenation under these circumstances, myocardial blood flow increased 5-fold [34]. Capillary morphometry revealed significantly increased capillary density and minimal capillary diameter and decreased intercapillary distance in the hearts of anemic fetuses [35^{**}]. In the same samples, VEGF protein and mRNA were increased 4.5- and 3.2-fold respectively, and HIF-1 α protein expression was increased 3.8-fold. There was thus a striking correlation between increased myocardial vascularization and expression of VEGF and HIF-1 α . The physiological pathway described above (increased O₂ consumption \rightarrow hypoxia \rightarrow HIF-1 \rightarrow VEGF \rightarrow vascularization) is clearly adaptive for the organism as, in its absence, cardiac output could not increase sufficiently to compensate for decreased blood O₂-carrying capacity and/or fatal myocardial ischemia would ensue.

Adaptation of cancer cells to hypoxia is also critical for tumor progression — a process that is decidedly not in the organism's best interests. ARNT (HIF-1 β)-deficient

mouse C4 hepatoma cells demonstrated markedly reduced induction of VEGF mRNA in response to hypoxic culture conditions [36–38]. When injected into nude mice, the mutant C4 cells formed tumors at a significantly reduced rate relative to wild-type Hepa1 cells, whereas partially revertant RB13 cells with intermediate levels of HIF-1 expression demonstrated an intermediate rate of tumor growth [39^{**},40^{**}]. Capillary density was increased in tumors derived from Hepa1 compared to C4 cells and necrotic areas of Hepa1 tumors were surrounded by high levels of VEGF and GLUT1 mRNA expression which was not detected in corresponding areas of C4 tumors [40^{**}].

HIF-1 α expression, HIF-1 DNA-binding activity, and transcriptional activation of HIF-1 regulated genes such as *VEGF* and *ENO1* were also induced in non-hypoxic cells transformed by V-SRC, the oncogene of Rous sarcoma virus, thus establishing another link between HIF-1 and tumor progression [39^{**}]. Constitutive expression of HIF-1 may contribute to the aerobic glycolysis that is one of the first signs of V-SRC-mediated cellular transformation. Cells transformed by overexpression of H-RAS also demonstrated increased *VEGF* promoter activity that was dependent upon an intact HIF-1 binding site [41^{*}].

Involvement of HIF-1 in embryogenesis

The essential role of HIF-1 in embryonic development has been demonstrated by the analysis of mice that lack expression of HIF-1 α [19^{**}] or ARNT/HIF-1 β [20^{**},42^{**}]. *Arnt*^{-/-} mice manifested developmental delay, neural tube defects, abnormal vascularization of the yolk sac, embryo, and placenta, and died by embryonic day (E) 10.5 [20^{**},42^{**}]. Given the essential role of HIF-1 in activating *VEGF* transcription in hypoxic cells [36], these data suggested that HIF-1 deficiency was responsible for the observed pathology. However, ARNT dimerizes with several other mammalian bHLH-PAS transcription factors in addition to HIF-1 α , including the aryl hydrocarbon receptor [43], single-minded-2 [44], and HIF-2 α (also known as EPAS1, HLF, HRF, and MOP2) [45^{*}–48^{*}]. Loss of activity of one or more of these factors could therefore contribute to the observed phenotype. Furthermore, the *Arnt2* gene encodes a protein with a high degree of sequence similarity to ARNT which is expressed primarily within the central nervous system [49]. If ARNT2 can dimerize with HIF-1 α , then ARNT-deficient embryos may have manifested only a partial deficiency of HIF-1.

In light of these issues, it was not surprising that HIF-1 α and ARNT (HIF-1 β) deficiency resulted in overlapping but distinct embryonic phenotypes. *Hif1 α* ^{-/-} embryos suffered developmental arrest at E8.5–8.75 and died at E10.5 [19^{**}]. Analysis of viable embryos at E9.75–E10.0 revealed failure of neural tube closure with massive death of cephalic mesenchymal cells and the appearance of markedly enlarged/dilated vascular structures. Vascularization and development at E8.5–8.75 appeared normal, whereas one

day later the entire vascular system of the branchial/cephalic region was disrupted. In contrast to the demise of the cephalic vasculature and mesenchyme, the presumptive myocardium of *Hif1a*^{-/-} embryos was strikingly hyperplastic, resulting in obliteration of the heart lumen. Neither the cephalic nor myocardial defects were observed in *Arnt*^{-/-} embryos. Yolk sac vascularization and placental development in the growth-arrested *Hif1a*^{-/-} embryos and stage-matched *Hif1a*^{+/-} embryos were not significantly different. The analysis of *Hif1a*^{-/-} embryos demonstrated that HIF-1 is required for the establishment of the embryonic cardiovascular system. Taken together with the fetal sheep studies described above, these results indicate that HIF-1 α is required both for the formation of key physiological systems during embryonic development and for their subsequent utilization and thus represents a master regulator of O₂ homeostasis.

Conclusion

HIF-1 plays essential roles in embryonic development, cellular and systemic physiology. Manipulation of HIF-1 activity — either pharmacologically or by gene therapy — may represent a novel approach to the treatment of cancer, cerebral and myocardial ischemia. In cancer cells, inhibition of HIF-1 activity (e.g. by expression of a virally-transduced dominant-negative form of HIF-1 α [22,36]) may prevent tumor adaptation to hypoxic conditions. Anti-HIF-1 α therapy might be particularly efficacious when administered in concert with anti-angiogenic therapy. Conversely, expression of wild-type HIF-1 α in ischemic tissue may stimulate angiogenesis, as has been demonstrated for gene therapy with VEGF and other angiogenic factors [50] but may also promote survival of ischemic cells during the period when vascularization is in progress. Research in this field thus holds out the prospect of understanding fundamental aspects of development and physiology while, at the same time, providing novel therapeutic approaches to the most common causes of mortality in the western world.

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attribute to increased glutathione peroxidase activity. In contrast, desferrioxamine exposure is not associated with these effects. Exposure of cell spheroids to cobalt was associated with a decreased central pO₂, suggesting increased mitochondrial O₂ consumption. The data suggest that hypoxia, CoCl₂, and desferrioxamine each act via a different mechanism.

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When the oxygen concentration of the culture medium was reduced to 20 mm Hg (~3% O₂), the activity of cytochrome oxidase was significantly reduced. The hepatocytes reduced their rate of O₂ uptake but do not increase lactate production, suggesting that ATP utilization was also decreased under these conditions. The authors suggest that reduced cytochrome oxidase activity could represent a means of hypoxia signal transduction. However, induction of HIF-1 activity was demonstrated within 30 min of hypoxic exposure [7], whereas no reduction in O₂ uptake was observed prior to 3 h.

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Chinese hamster ovary cells are engineered to express two different cell surface markers under the control of minimal promoters containing HREs. After chemical mutagenesis, cells are exposed to hypoxia and selected by antibody panning to identify non-expressing cells. Among 44 clones analyzed, 32 are normal, 11 have partial loss-of-function, and 1 has complete loss-of-function with respect to hypoxia-induced gene expression. The latter clone is shown to have a defect in the expression of HIF-1 α mRNA and protein. Analysis of additional mutant clones may lead to the identification of novel upstream components of the hypoxia signal-transduction pathway.

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This is the first demonstration that, in response to a physiologically relevant stimulus, HIF-1 α levels *in vivo* increase and are correlated with specific molecular and physiological responses.

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enolase 1: involvement of HIF-1 in tumor progression. *Cancer Res* 1997, **57**:5328-5335.

This study links an oncogene to the induction of HIF-1 expression, thus providing one mechanism for hypoxic adaptation of tumor cells. In addition, the ability of three hepatoma subclones to form tumors in nude mice is directly related to their HIF-1 expression levels.

40. Maxwell PH, Dachs GU, Gleadle JM, Nicholls LG, Harris AL, Stratford IJ, Hankinson O, Pugh CW, Ratcliffe PJ: **Hypoxia-inducible factor-1 modulates gene expression in solid tumors and influences both angiogenesis and tumor growth.** *Proc Natl Acad Sci USA* 1997, **94**:8104-8109.

This study demonstrates the role of HIF-1 in hypoxia-induced gene expression within tumors *in vivo* and its correlation with a physiological response (angiogenesis) that is essential for tumor growth. Taken together, [39**] and [40**] demonstrate that HIF-1 expression in tumor cells can be induced either by genetic changes or physiological stimuli.

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A signalling pathway involving H-RAS, PI3 kinase, and AKT kinase leading to increased VEGF expression under hypoxic conditions is demonstrated. Although mutations in the HIF-1-binding site eliminated H-RAS-induced VEGF promoter activity, the factor mediating transcriptional activation is not determined.

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The authors report an interesting correlation between mRNA expression of HIF-1 α -like factor (HLF a.k.a. HIF-2 α) and VEGF during mouse lung development.

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In situ hybridization analysis of mouse embryos reveals expression of mRNA for HIF-related factor (HRF a.k.a. HIF-2 α) in a variety of locations, most prominently blood vessels and the bronchial epithelium.

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The authors determine cDNA sequences for five members of the PAS family (MOPs), including HIF-1 α (MOP1) and HIF-2 α (MOP2). As in the case of HIF-1 α , HIF-2 α dimerizes with HIF-1 β (ARNT) and activates transcription of a reporter gene containing an HRE. HIF-1 α , but not HIF-2 α , interacts with the chaperone protein HSP90.

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EPAS1 (a.k.a. HIF-2 α) is shown to bind to and activate transcription from reporter genes containing the HIF-1-binding site from the erythropoietin gene. HIF-2 α also activates transcription from the endothelial-specific *Tie2* gene promoter, whereas HIF-1 α did not – indicating that these two related factors may regulate different target gene populations.

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tissue-specific expression of a novel basic helix-loop-helix/PAS factor (Arnt2) with close sequence similarity to the aryl hydrocarbon receptor nuclear translocator (Arnt). *Mol Cell Biol* 1996, **16**:1706-1713.

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The authors identify an HRE in the transferrin gene promoter containing two HIF-1-binding sites separated by 9 bp, similar to the structure of the *PGK1* HRE [15*].

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Exposure of mice to 10% O₂ for 3 weeks, which results in the development of pulmonary hypertension, is associated with increased expression of HIF-1 α mRNA in the lung. *In situ* hybridization reveals increased NOS2 mRNA expression in multiple pulmonary cell types including vascular endothelium. Expression of endogenous HIF-1 α mRNA and reporter genes containing the NOS2 promoter [55] is induced by exposure of cultured pulmonary artery endothelial cells to 1% O₂. Hypoxia-induced NOS2 promoter activity is dependent upon the presence of an intact HIF-1 binding site.

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An HRE was identified 9.5 kb 5' to the *HO1* gene promoter and contains two HIF-1 binding sites separated by 5 bp that are essential for HRE function in rat aortic smooth muscle cells. *HO1* expression is induced by hypoxia in wild-type but not in HIF-1 β -deficient mouse hepatoma cells. However, hypoxic induction of *HO1* mRNA expression was observed in mutant Chinese hamster ovary cells lacking HIF-1 α [33**], suggesting that, in those cells, a factor other than or in addition to HIF-1 mediated hypoxia-inducible *HO1* expression.

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