Mitochondrial reactive oxygen species trigger hypoxiainduced transcription

N. S. Chandel*, E. Maltepe[†], E. Goldwasser[‡], C. E. Mathieu*, M. C. Simon[§], and P. T. Schumacker^{*¶}

Departments of *Medicine, †Pathology, ‡Biochemistry and Molecular Biology, §Molecular Genetics and Cell Biology and The Howard Hughes Medical Institute, The University of Chicago, Chicago, IL 60637

Edited by Stuart H. Orkin, Harvard Medical School, Boston, MA, and approved August 4, 1998 (received for review March 16, 1998)

ABSTRACT Transcriptional activation of erythropoietin, glycolytic enzymes, and vascular endothelial growth factor occurs during hypoxia or in response to cobalt chloride (CoCl₂) in Hep3B cells. However, neither the mechanism of cellular O₂ sensing nor that of cobalt is fully understood. We tested whether mitochondria act as O₂ sensors during hypoxia and whether hypoxia and cobalt activate transcription by increasing generation of reactive oxygen species (ROS). Results show (i) wild-type Hep3B cells increase ROS generation during hypoxia (1.5% O₂) or CoCl₂ incubation, (ii) Hep3B cells depleted of mitochondrial DNA (ρ^0 cells) fail to respire, fail to activate mRNA for erythropoietin, glycolytic enzymes, or vascular endothelial growth factor during hypoxia, and fail to increase ROS generation during hypoxia; (iii) ρ^0 cells increase ROS generation in response to CoCl2 and retain the ability to induce expression of these genes; and (iv) the antioxidants pyrrolidine dithiocarbamate and ebselen abolish transcriptional activation of these genes during hypoxia or CoCl₂ in wild-type cells, and abolish the response to CoCl₂ in ρ° cells. Thus, hypoxia activates transcription via a mitochondria-dependent signaling process involving increased ROS, whereas CoCl2 activates transcription by stimulating ROS generation via a mitochondria-independent mechanism.

Mammalian cells exhibit many adaptive responses to hypoxia, including the transcriptional activation of erythropoietin (EPO) (1), vascular endothelial growth factor (VEGF) (2), and glycolytic enzymes (3). Systemically, these responses enhance the delivery of O₂ to cells and facilitate the production of glycolytic ATP. Induction of these genes is mediated by hypoxia inducible factor 1 (HIF-1) (4, 5), a basic helix-loop-helix/PAS heterodimer transcription factor consisting of 120-kDa HIF-1 α and 91- to 94-kDa HIF-1 β subunits (6). The α subunit is unique to HIF-1 whereas HIF-1 β (ARNT) can dimerize with the arylhydrocarbon receptor (7). During hypoxia, HIF-1 binds to the hypoxia response element within the 3' flanking region of the EPO gene (5), the 5' flanking region of the VEGF gene (2), and the regulatory elements encoding glycolytic enzymes (3). Progress has been made in understanding the transcriptional mechanisms activated during hypoxia, but the underlying mechanism of O₂ sensing is not understood (8). Mechanisms including NADPH oxidase (9), cytochrome P_{450} (10), and an O_2 -binding heme protein (11) have been proposed. Mitochondria have been considered as possible O₂ sensors, but mitochondrial inhibitors failed to activate transcription (12). But recent work has implicated mitochondria in the O_2 sensing underlying functional responses to hypoxia (13). Mitochondria generate reactive oxygen species (ROS) at the ubisemiquinone site (14, 15), and a change in mitochondrial redox during hypoxia could alter the production of ROS, which are known to participate in other transcriptional responses (16).

The publication costs of this article were defrayed in part by page charge payment. This article must therefore be hereby marked "advertisement" in accordance with 18 U.S.C. §1734 solely to indicate this fact.

© 1998 by The National Academy of Sciences 0027-8424/98/9511715-6\$2.00/0 PNAS is available online at www.pnas.org.

Finally, CoCl₂ treatment activates EPO transcription during normoxia (17), and it has been suggested that cobaltous ions substitute for ferrous ions in heme, causing a conformational change in a heme protein O₂ sensor (11). Our study tested the hypothesis that mitochondria act as O₂ sensors by increasing the generation of ROS during hypoxia, and that CoCl₂ mimics this response by augmenting ROS generation during normoxia.

MATERIALS AND METHODS

Cell Culture. Hep3B cells were cultured to 70–90% confluence in α minimal essential medium supplemented with penicillin (100 units/ml), streptomycin (100 $\mu g/ml$), and 10% heat-inactivated fetal calf serum (GIBCO). Respiration-deficient Hep3B cells (ρ° cells) were generated by incubating wild-type cells in ethidium bromide (50 ng/ml) for 2–3 weeks in medium supplemented with pyruvate and uridine (18). The ρ° cells then were selected by exposing the cultures to mitochondrial inhibitors rotenone (1 $\mu g/ml$) and antimycin A (1 $\mu g/ml$), which are lethal to wild-type cells. Experimental hypoxia was achieved by using a mass flow controller to blend O_2 , CO_2 , and N_2 to achieve different compositions at 37°C. Gas was humidified and delivered to the head space of 75-cm² T flasks. Flasks had stoppers with inlet and outlet ports and were gently agitated to promote equilibration of the head space gas with the media.

Measurement of ROS. Intracellular ROS generation was assessed by using 2',7'-dichlorofluorescin (DCFH) diacetate. ROS in the cells oxidize DCFH, yielding 2',7'-dichlorofluorescein (DCF). Cells on coverslips were perfused under controlled O₂ conditions in a flow-through chamber (Penn Century, Philadelphia) at 37°C on an inverted microscope. Fluorescence images were acquired with a 12-bit digital camera (excitation 488 nm, emission 535 nm). Intensities are reported as percent of initial values or as arbitrary units, after subtracting background. Data were taken as the average fluorescence for groups of 20–30 cells.

In another study assessing ROS generation, Hep3B cells at 50% confluence were incubated with DCFH diacetate (10 μM) for 5 hr while the head space was gassed with different [O2]. The media then were removed, and the cells were lysed and centrifuged to remove debris, and the fluorescence was measured (excitation 500 nm, emission 530 nm). Data were normalized to the normoxic values.

Northern Analysis. Cells were washed with PBS and RNA was extracted with TRIzol reagent, and 10 μ g of poly(A)⁺ selected RNA was loaded per lane. Full-length human EPO cDNA and reverse transcription–PCR products generated

This paper was submitted directly (Track II) to the *Proceedings* office. Abbreviations: EPO, erythropoietin; VEGF, vascular endothelial growth factor; ROS, reactive oxygen species; PDTC, pyrrolidine dithiocarbamate; HIF-1, hypoxia inducible factor 1; DCFH, 2',7'-dichlorofluorescin; DCF, 2',7'-dichlorofluorescein; DPI, diphenylene iodonium; DHR-123, dihydrorhodamine 123; N-MPG, *N*-(2-mercaptopropionyl)-glycine.

To whom reprint requests should be addressed at: Department of Medicine MC6026, The University of Chicago, 5841 South Maryland Avenue, Chicago, IL 60637. e-mail: pschumac@medicine.bsd.uchicago.edu.

with 3'-untranslated region-specific primers for aldolase, phosphoglycerate kinase, and VEGF (19) were used as probes.

Electrophoretic Mobility Shift Assay. DNA-binding activity was assessed by using the double-stranded oligonucleotide probe containing the sequence 5'-GCCCTACGTGCT-GTCTCA-3', corresponding to the EPO 3'-enhancer, which contains the HIF-1 binding site. HIF-1, constitutive, and nonspecific DNA binding activities are indicated. Nuclear extracts were prepared from Hep3B cells (4). HIF-1 binding activity can be abolished with excess cold competitor but not with excess mutant cold competitor in which the HIF-1 binding site is altered (not shown).

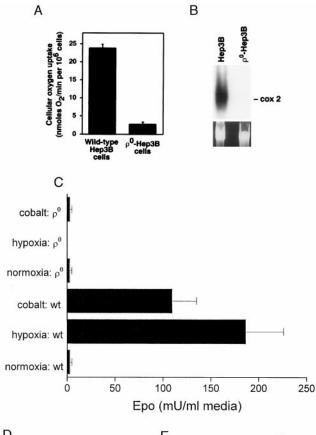
RESULTS AND DISCUSSION

To determine the role of mitochondria in the gene activation response to hypoxia, ρ^0 cells were generated, and their responses to hypoxia and CoCl₂ were evaluated. The ρ^0 cells lack mitochondrial DNA-derived proteins and are incapable of mitochondrial respiration because of the loss of key components of the electron transport chain. Accordingly, cellular O₂ uptake by ρ^0 cells was $\approx 10\%$ of that in wild-type cells (Fig. 1A). Southern analysis confirmed the expected absence of cytochrome oxidase subunit II DNA in ρ^0 compared with wild-type cells (Fig. 1B). The residual O₂ uptake by ρ^0 cells likely represents nonmitochondrial O₂ use.

EPO stimulates proliferation and differentiation of erythroid cells (20) and is secreted by Hep3B cells in response to hypoxia or CoCl₂ during normoxia (11). Hypoxia (1.5% O₂) and CoCl₂ (100 μ M) both stimulated EPO secretion (Fig. 1C) and mRNA expression (Fig. 1D) in wild-type cells, whereas ρ^0 cells failed to secrete EPO in response to either stimulus. Hypoxia also failed to induce expression of EPO mRNA in ρ^0 cells, yet they maintained their mRNA response to CoCl₂. This pattern of mRNA expression also was observed for VEGF, aldolase, and phosphoglycerate kinase (Fig. 1D). The inability of ρ^0 cells to secrete EPO in response to CoCl₂ could be explained by a low energy state, but that condition did not interfere with their ability to activate a transcriptional response to CoCl₂.

We also examined HIF-1 DNA binding activity. Nuclear extracts from wild-type cells exposed to hypoxia (1.5% O₂) or CoCl₂ (100 µM) for 4 hr contained a protein complex capable of binding a DNA probe containing the hypoxia response element located within the 3'-enhancer of the EPO gene (Fig. 1E). Cells treated with CoCl₂ or hypoxia coincubated with an anti-arylhydrocarbon nuclear translocator antibody failed to form this complex, confirming its identity as HIF-1 (Fig. 1E and data not shown). The ρ^0 cells failed to display HIF-1 DNA binding activity during hypoxia, but activity was detected after incubation with CoCl₂. These responses were consistent with the mRNA expression data obtained from wild-type and ρ^0 cells. The results indicate that functional mitochondria are required for the transcriptional response to hypoxia but not for the response to CoCl₂, suggesting that cobalt acts via a different mechanism.

ROS participate in the signaling pathways involved in the activation of multiple transcription factors (21). ROS generation in wild-type and ρ^0 -Hep3B cells was assessed by using DCFH diacetate (22). This probe is oxidized to DCF by H₂O₂, permitting its quantification by using fluorescence imaging. Fig. 24 shows DCF fluorescence in response to different O_2 levels in the media. At 8% O₂, no increase in intracellular fluorescence was detected. However, progressive increases in fluorescence were detected during 5%, 3%, and 1% O_2 , with the greater increases seen at the lower O2 levels. In cells, ROS initially are generated as superoxide, which subsequently is converted to H2O2 by superoxide dismutase (15). H_2O_2 then is degraded to H_2O by catalase, or by glutathione peroxidase in the reaction: $H_2O_2 + 2GSH \rightarrow GSSG$ + 2H₂O, where GSH represents reduced glutathione. We used the synthetic glutathione peroxidase mimetic ebselen (25 μ M) (23) and the thiol reductive agent pyrrolidine dithiocarbamate



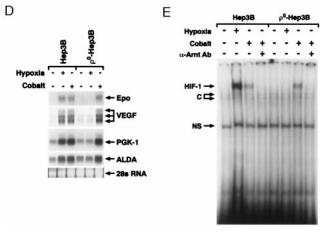


Fig. 1. (A) Cellular O₂ uptake rates in wild-type and ρ^0 -Hep3B cells. (B) Southern blot analysis of undigested total cellular DNA from wildtype and ρ^0 -Hep3B cells. Hybridization was performed with a cytochrome oxidase subunit II probe, spanning bps 7757-8195, generated by reverse transcription–PCR. (C) EPO secretion from wild-type (wt) and ρ^0 -Hep3B cells exposed to normoxia (21% $O_2/5\%$ $CO_2/74\%$ N_2), hypoxia (1.5% $O_2/5\%$ $CO_2/93.5\%$ N_2), or $CoCl_2$ (100 μ M) during normoxia for 24 hr $(n = 3, \text{mean} \pm \text{SD})$. EPO was measured by radioimmunoassay (46). (D) Northern blot analysis of RNA from wild-type and ρ^0 -Hep3B cells during normoxia (21% O₂/5% CO₂/74% N₂), hypoxia (1.5% O₂/5% CO₂/ 93.5% N₂), or CoCl₂ (100 μ M) during normoxia for 24 hr. ALDA, aldolase; PGK-1, phosphoglycerate kinase. (E) HIF-1 DNA binding in nuclear extracts from wild-type and ρ^0 -Hep3B cells. Both cell types were exposed to normoxia (21% $\dot{O}_2/5\%$ $\dot{C}O_2/74\%$ N_2), hypoxia (1.5% $O_2/5\%$ CO₂/93.5% N₂) or CoCl₂ (100 μ M) during normoxia for 4 hr. C, constitutive; NS, nonspecific.

(PDTC) (100 μ M) (16) to enhance the degradation of H₂O₂ during hypoxia. Ebselen attenuated the increase in DCF fluorescence caused by hypoxia (Fig. 2B). PDTC also attenuated DCF fluorescence during hypoxia (Fig. 2C). To determine whether mitochondria are required for the generation of ROS

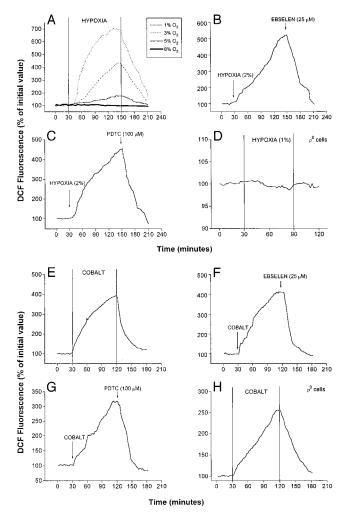


Fig. 2. Effect of hypoxia and CoCl₂ on ROS generation. Graphs are averages from three experiments. (A) DCF fluorescence in wild-type cells at different levels of O2. Graded hypoxia was produced in a flow-through chamber perfused with solutions equilibrated with different gas mixtures. Duration of hypoxia was 2 hr, beginning 30 min after baseline normoxic (21% $O_2/5\%$ $CO_2/74\%$ N_2) measurements. Recovery to normoxia was initiated at 150 min. (B) DCF fluorescence in wild-type cells during hypoxia (2% $O_2/5\%$ $CO_2/93\%$ N_2) and ebselen (25 μ M). (C) DCF fluorescence in wild-type cells during hypoxia (2% $O_2/5\%$ $CO_2/93\%$ N_2) and PDTC (100 μ M). (D) DCF fluorescence in ρ^0 -Hep3B cells during hypoxia (1% $O_2/5\%$ $CO_2/94\%$ N₂). (E) DCF fluorescence in wild-type cells during normoxic CoCl₂ (100 μ M). (F) DCF fluorescence in wild-type cells during normoxic $CoCl_2$ (100 μ M) and ebselen (25 μ M). (G) Effect of PDTC (100 μ M) on DCF fluorescence during CoCl₂ treatment in wild-type cells. (H) Effect of CoCl₂ on DCF fluorescence in ρ^0 cells.

during hypoxia, studies with DCFH were carried out in ρ^0 cells. During hypoxia (1% O_2), no increases in DCF fluorescence were detected over 60 min (Fig. 2D). Thus, graded increases in H_2O_2 generation occur during hypoxia, which appear to come from functional mitochondria.

To determine the effects of CoCl₂ on ROS generation, DCF fluorescence was assessed under normoxia (21% O₂) in the presence of CoCl₂ (100 μ M). Both wild-type and ρ^0 cells exhibited increases in DCF fluorescence during CoCl₂ (Fig. 2 E and EH). These responses were attenuated by ebselen (Fig. 2EF) or PDTC (Fig. 2EG) in wild-type cells and also in EP0 cells (data not shown). These results indicate that CoCl₂ can increase intracellular ROS generation in the absence of functional mitochondria.

To clarify the site of ROS generation in wild-type cells, mitochondrial inhibitors were administered during hypoxia. Complex III can generate ROS, especially in the presence of compounds that prolong the lifetime of ubisemiquinone (15, 24).

Therefore, mitochondrial inhibitors that suppress the formation of ubisemiquinone at complex III should abolish the increase in ROS seen during hypoxia (Fig. 3A). Accordingly, the complex I inhibitors rotenone or diphenylene iodonium (DPI), and the upstream complex III inhibitor myxothiazol, all separately abolished the increase in DCF fluorescence during hypoxia (2% O₂) (Fig. 3 B–D). In contrast, antimycin A augmented the increase in DCF fluorescence during hypoxia in wild-type cells (Fig. 3E), as expected. These results suggest that mitochondrial complex III acts as a principal site of ROS generation during hypoxia.

We next examined the role of ROS generation in the induction of mRNA expression, and in EPO secretion into the culture medium, during hypoxia or during CoCl₂ incubation. Incubation for 16 hr under hypoxia (1% O₂) or CoCl₂ (100 μ M) induced 340 \pm 15 and 200 \pm 4 milliunits/ml of EPO secretion, respectively. Ebselen and PDTC both abolished mRNA expression of EPO, VEGF, and glycolytic enzymes during hypoxia and during normoxic CoCl₂ exposure (Fig. 44). Ebselen and PDTC also abolished EPO secretion (data not shown). These results indicate that ROS, most likely H₂O₂, are required for the induction of gene expression by hypoxia or by CoCl₂.

To determine whether mitochondrial ROS are required for the hypoxic response but not for CoCl₂, mRNA expression and EPO secretion were assessed in the presence of rotenone, DPI (25), and myxothiazol. Each abolished EPO secretion both during hypoxia and during CoCl₂ exposure (data not shown). Interestingly, the mitochondrial inhibitors blocked mRNA expression during hypoxia but did not abolish the transcriptional response to CoCl₂. This finding is consistent with data from Gleadle *et al.* (26), who found that DPI abolished the

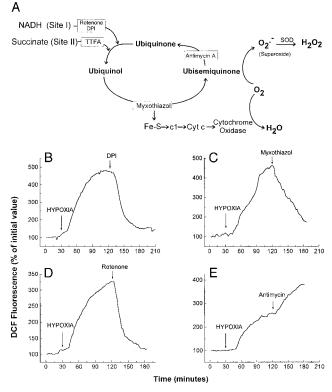


FIG. 3. (A) Mitochondrial electron transport and ROS generation. Antimycin A and myxothiazol alter ROS generation by changing the lifetime of ubisemiquinone. Sites of inhibition are indicated with boxes. (B) DCF fluorescence in wild-type Hep3B cells during hypoxia (2% $O_2/5\%$ $CO_2/93\%$ $N_2)$ and DPI (3 μ M). (C) DCF fluorescence in wild-type cells during hypoxia (2% $O_2/5\%$ $CO_2/93\%$ $N_2)$ and myxothiazol (100 ng/ml). (D) DCF fluorescence in wild-type cells during hypoxia (2% $O_2/5\%$ $CO_2/93\%$ N_2) and rotenone (3 μ g/ml). (E) DCF fluorescence in wild-type cells during hypoxia (2% $O_2/5\%$ $CO_2/93\%$ N_2) and antimycin A (3 μ g/ml). Graphs are representative examples.

IPR2016-01315 GSK Ex. 1022, pg 527

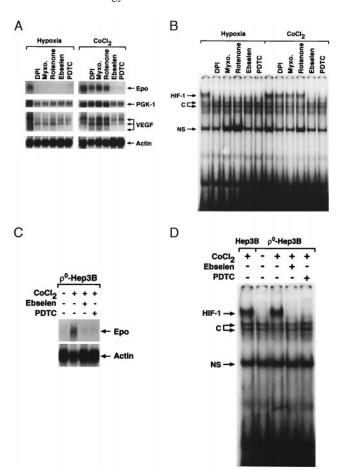


FIG. 4. (*A*) Northern blot analysis of RNA isolated from wild-type Hep3B cells during hypoxia (1.5% $O_2/5\%$ $CO_2/93.5\%$ N_2) or normoxic $CoCl_2$ in the presence of DPI (3 μ M), myxothiazol (100 ng/ml), rotenone (3 μ g/ml), ebselen (25 μ M), or PDTC (100 μ M) for 16 hr. (*B*) HIF-1 DNA binding in nuclear extracts from wild-type cells during hypoxia (1.5% $O_2/5\%$ $CO_2/93.5\%$ N_2) or normoxic $CoCl_2$ in the presence of DPI (3 μ M), myxothiazol (100 ng/ml), rotenone (3 μ g/ml), ebselen (25 μ M), or PDTC (100 μ M) for 4 hr. (*C*) Northern analysis of RNA from ρ^0 -Hep3B cells during $CoCl_2$ (100 μ M) in the presence of ebselen (25 μ M) or PDTC (100 μ M) for 16 hr. (*D*) HIF-1 DNA binding in nuclear extracts from wild-type or ρ^0 cells during $CoCl_2$ in the presence of ebselen (25 μ M) or PDTC (100 μ M) for 4 hr. C, constitutive; NS, nonspecific.

response to hypoxia but not to CoCl₂. The discrepancy between EPO secretion and mRNA expression during CoCl₂ may reflect a requirement for mitochondrial ATP production for the EPO secretion response. This finding fits with our earlier observation that ρ^0 cells demonstrated mRNA expression but failed to secrete EPO during CoCl₂ exposure. To determine whether ROS generated by CoCl₂ are required for EPO mRNA expression in ρ^0 cells, studies with ebselen and PDTC were carried out. Both compounds abolished the CoCl₂-induced mRNA expression of EPO in ρ^0 cells (Fig. 4C). These results demonstrate that mitochondrial ROS are required for the induction of gene expression during hypoxia, and that cobalt bypasses the need for functioning mitochondria by mediating ROS production via an independent mechanism.

The induction of EPO is mediated by HIF-1 via inhibition of HIF-1 α degradation, allowing its accumulation and formation of the heterodimer complex (27). Caro and Salceda (28) found that HIF-1 also can be stabilized under normoxia by using a proteasome inhibitor, lactacystin. However, lactacystin failed to activate EPO mRNA expression, indicating that other conditions are necessary. Wang *et al.* (29) showed that EPO responses and HIF-1 DNA binding are abolished by the tyrosine kinase inhibitor genistein, and Salceda *et al.* (30) found that other kinase inhib-

itors such as PD 098059 can attenuate the hypoxic response of a reporter gene without abolishing HIF-1-DNA binding. These observations indicate that both kinase activation and HIF-1 stabilization are required for mRNA expression during hypoxia. To determine whether antioxidants abolish mRNA expression without abolishing HIF-1 DNA binding, gel shift assays were used to test whether ROS generation is required for HIF-1 DNA binding during hypoxia or CoCl2 treatment. Both ebselen and PDTC abolished HIF-1-DNA binding during hypoxia and CoCl₂ treatment (Fig. 4B). The mitochondrial inhibitors DPI, rotenone, and myxothiazol abolished HIF-1 DNA binding during hypoxia but not during CoCl₂ exposure. In ρ^0 cells, both ebselen and PDTC abolished the CoCl₂-induced HIF-1 DNA binding (Fig. 4D). These results indicate that ROS generation is required for HIF-1 DNA binding. Interestingly, our ROS signal displayed a graded inverse response to the O_2 level during hypoxia (Fig. 2A), and hypoxic stabilization of the HIF-1 complex is related to the O₂ concentration (31). Our results show that ROS generation at complex III is required for the induction of HIF-1 DNA binding activity during hypoxia, whereas nonmitochondrial ROS generation is involved during CoCl2 treatment.

Our model proposes only that ROS are required for the hypoxic activation of HIF-1, but it is not yet clear whether ROS are sufficient for HIF-1 activation. ROS generators, including antimycin and menadione, failed to elicit the stabilization of HIF-1 during normoxia (data not shown). Further studies will be required to adequately test whether ROS are sufficient for HIF-1 activation.

Previous studies have suggested that ROS participate in the activation of gene expression by HIF-1 (32, 33), but our data contradict previous work by revealing that increases, rather than decreases in ROS, occur during hypoxia. Earlier studies measured ROS during CoCl₂ by using dihydrorhodamine 123 (DHR-123), a dye whose behavior is difficult to interpret. Both DCFH and DHR-123 become fluorescent upon oxidation by ROS. However, rhodamine 123 is taken up into mitochondria after being oxidized, and some of its fluorescence is quenched there. Another difference between the studies is that they measured fluorescence at 18–36 hr after cobalt (32), whereas our measurements were made acutely. In an attempt to reconcile these differences, we assessed responses to hypoxia and CoCl₂ by using DHR-123. In limited studies, 1% O₂ failed to increase DHR-123 fluorescence (data not shown). Interestingly, $CoCl_2$ (100 μ M) caused a small increase in DHR-123 fluorescence (suggestive of increased ROS generation), which was reversible after washout. These findings are consistent with our DCFH results, but suggest that DHR-123 is less sensitive to ROS than is DCFH.

Do decreases in ROS generation during hypoxia explain HIF-1 activation? Exogenous H₂O₂ inhibited EPO induction and HIF-1 activation during hypoxia (27, 34). Moreover, exogenous catalase enhanced activation of a HIF-1 reporter gene (28) and antagonized the inhibitory effect of exogenous H₂O₂ administration on EPO secretion (35). Finally, thioredoxin enhanced HIF-1-DNA binding of hypoxic cell extracts and overexpression of thioredoxin or Ref-1 potentiated hypoxia-induced induction of a HIF-1dependent reporter construct (36). One might conclude from these studies that increases in ROS generation during normoxia suppress HIF-1 activation, but two conceptual problems arise. First, antioxidants have not been shown unequivocally to activate HIF-1 during normoxia. Salceda and Caro (28) used a thiol reducing agent as an antioxidant, and found that N-(2mercaptopropionyl)-glycine (N-MPG, at 5 mM) activated HIF-1. Yet we have found N-MPG to be effective at concentrations of 200 μ M. To test the possibility that N-MPG at 5 mM could be scavenging O₂ (thus creating hypoxia), a polarographic respirometer was filled with medium equilibrated to $PO_2 = 148$ torr at 37°C (no cells). The PO₂ was recorded to detect entry or consumption of O2. Addition of N-MPG (5 mM) caused the media PO2 to decrease progressively, indicating that N-MPG at

IPR2016-01315 GSK Ex. 1022, pg 528 5 mM may directly reduce O_2 (data not shown). We suggest that high concentrations of N-MPG may scavenge O_2 , generating hypoxia and causing activation of HIF-1.

It has been shown previously that exogenous H_2O_2 blunts the EPO response to hypoxia. However, nutritive media with serum contains catalase, which can rapidly degrade a bolus of H_2O_2 . Our results reveal increases in H_2O_2 formation during hypoxia, and it is possible that exogenous H_2O_2 could cause cellular damage by overwhelming antioxidant defenses. However, this theory cannot explain why exogenous catalase stimulated HIF-1-dependent reporter gene expression (28). The signaling involved in the regulation of EPO expression may involve location-specific redox reactions, which may require a reducing environment in the nucleus and an oxidizing environment in the cytosol. Such a system could prevent inadvertent activation of transcription in response to nonspecific exogenous oxidants. Additional work is required to fully clarify these issues.

The strongest evidence that mitochondria are important in the hypoxic response is the finding that ρ° cells failed to activate the HIF-1 during hypoxia. We suggest that the failure of ρ° cells to activate HIF-1 during hypoxia relates to their failure to generate mitochondrial ROS. However, mitochondria also generate ATP, and hypoxic activation of HIF-1 may require an ATP-dependent kinase. A depletion of ATP in ρ° cells could conceivably limit such a kinase. To test this, we measured [ATP] in wild-type and ρ° cells at different O₂ levels. Compared with wild-type cells, the ATP levels in ρ° cells were low, but they were independent of the O2 tension and were unaffected by antimycin A (Fig. 5A). These data are not surprising, as ATP production in ρ° cells does not involve mitochondria and should not be affected by PO2. In contrast, in wild-type cells ATP decreased after antimycin A and also during anoxia (Fig. 5A). To test whether low ATP can prevent HIF-1 DNA binding, gel shift assays were carried out in wild-type cells under 1.5% $O_2 \pm$ antimycin A. Because HIF-1 was still able to bind DNA in the presence of antimycin A under hypoxia, when the ATP was lower than ever encountered in ρ° cells (Fig. 5B), we conclude that low ATP levels in ρ° cells cannot explain their failure to respond to hypoxia. We note that Jiang et al. (31) also found that HIF-1 DNA binding can occur at $PO_2 = 0$ torr, when ATP levels presumably would be low. These data show that low ATP levels do not limit HIF-1 DNA binding and suggest that low ATP in ρ° cells cannot explain their failure to activate HIF-1 under hypoxia.

Because the rate of O_2 uptake by wild-type cells was 10-fold greater than in ρ° cells, a lower intracellular PO_2 should exist in the wild-type cells for the same extracellular PO_2 , assuming that intracellular O_2 transport resistances are similar in the two cell types. A higher intracellular PO_2 could conceivably permit ρ° cells to respond to $CoCl_2$ while confounding their response to hypoxia. If true, then ρ° cells should respond to a more severe hypoxia. To test this possibility, HIF-1 DNA binding was assessed in ρ° cells under 1.5%, 0.5%, and 0.0% O_2 (Fig. 5C). Even at these lower O_2 levels there was no activation of HIF-1. To confirm that the cells were truly hypoxic, a T flask was fitted with a polarographic O_2 electrode, and the media PO_2 were recorded after the head space gas $[O_2]$ was reduced to zero. The O_2 level in the liquid fell to within 0.4 torr of the O_2 tension in the gas, confirming the ability of the system to render cells hypoxic (data not shown).

What factors trigger the increase in ROS during hypoxia? Interventions that increase mitochondrial reduction such as antimycin A tend to increase the generation of superoxide. The increases in ROS seen during hypoxia suggest that mitochondrial reduction may have increased as PO₂ was lowered. We previously found that the $V_{\rm max}$ of cytochrome oxidase decreases by $\approx 50\%$ during hypoxia in hepatocytes (37), liver mitochondria (38), submitochondrial particles (37), and isolated cytochrome oxidase (13). We suggest that the increase in ROS generation may be the result of changes in redox caused by the effect of hypoxia on cytochrome oxidase.

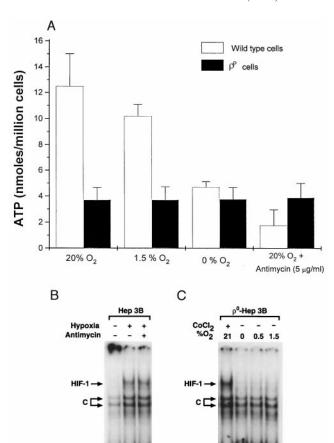


FIG. 5. (A) ATP levels (Boehringer Mannheim Bioluminescence Kit) in wild-type and $\rho^0\text{-Hep3B}$ cells during 21% O₂, 1.5% O₂, 0% O₂, and antimycin (5 $\mu\text{g/ml}$) at 21% O₂ for 1 hr. (B) HIF-1 DNA-binding activity in nuclear extracts from wild-type cells during hypoxia (1.5% O₂/5% CO₂/93.5% N₂) in the presence of antimycin (5 $\mu\text{g/ml}$). (C) HIF-1 DNA binding in nuclear extracts from ρ^0 cells during CoCl₂ (100 μM), 1.5% O₂, 0.5% O₂, and 0% O₂. C, constitutive; NS, nonspecific.

Previous studies have found that cyanide neither activates EPO secretion nor inhibits the response to hypoxia (39-41). How could mitochondria function as O₂ sensors if cyanide fails to elicit or inhibit transcriptional activation? In a previous study we compared ROS generation during hypoxia and graded increases in azide (42). At similar levels of mitochondrial redox, more ROS generation was seen with hypoxia than with azide. Thus, low $[O_2]$ may amplify the release of ROS from mitochondria for a given redox state. To test this possibility, Hep3B cells were incubated with DCFH diacetate for 5 hr at different O₂ levels, and antimycin A was added to inhibit electron transport at complex III. Both antimycin A and hypoxia increased the ROS signal, but the response to hypoxia was greater than that during antimycin A (Fig. 6). Thus, low [O₂] may amplify the ROS response to a given change in redox, suggesting that the failure of cyanide to activate the hypoxic response may be caused by the smaller ROS signal generated with those compounds during normoxia. In contrast,

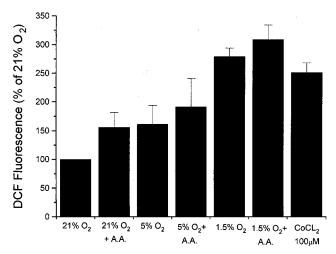


FIG. 6. Intracellular DCF fluorescence in Hep3B cells after incubation at 21%, 5%, or 1.5% O_2 for 5 hr. Antimycin A (A.A, 5 μ g/ml) was used to inhibit electron transport at site III. Cells treated with CoCl₂ were maintained under 21% O_2 . (n = three experiments per group; means \pm 1 SD.)

the complex I inhibitor rotenone blocked the hypoxic response by inhibiting electron flux into complex III where ROS are generated.

Could ROS originate at nonmitochondrial sites? ROS also can be generated by membrane NADPH oxidase or cytochrome P₄₅₀. However, those systems would be expected to decrease ROS generation at low O₂ concentrations. Although such oxidase systems do exist in Hep3B cells (43), a clear demonstration of their role in the EPO response has not been reported. DPI has been shown to interfere with the HIF-1-mediated response to hypoxia (44). Because DPI inhibits flavoprotein oxidases, it has been concluded that cytochrome P₄₅₀ or NADPH oxidase participate in the induction of HIF-1 regulated genes during hypoxia (8). However, DPI also inhibits mitochondria at site I, analogous to the effects of rotenone (25). Moreover, Wenger et al. (45) found that the VEGF and aldolase mRNA responses to hypoxia or CoCl₂ were preserved in B cell lines deficient in either p22^{phox} or gp91phox subunits of the NADPH oxidase. We suggest that DPI attenuates HIF-1-regulated gene expression by limiting mitochondrial electron transport at site I, which limits ROS generation

In summary, these results shed light on the mechanism of O_2 sensing during hypoxia. First, they demonstrate a requirement for respiring mitochondria in the transcriptional response to hypoxia. Second, they demonstrate that mitochondrial ROS are required for both HIF-1-DNA binding activity and mRNA expression of EPO, VEGF, and glycolytic enzymes. Third, they demonstrate that functional mitochondria are not required for the activation of HIF-1-regulated genes by $CoCl_2$, which appears to mimic physiological hypoxia by augmenting the formation of ROS in cells via a nonmitochondrial mechanism. The present study raises the possibility that mitochondria and ROS may play a wider role in the functional responses of eukaryotic cells to changes in O_2 concentration.

We thank Annette Gardner for her technical assistance and Lisa Gottschalk for preparing the illustrations. M.C.S. is an Investigator of the Howard Hughes Medical Institute. These studies were supported by National Heart, Lung, and Blood Institute Grants HL35440 and HL32646.

- Schuster, S. J., Badiavas, E. V., Costa-Giomi, P., Weinmann, R., Erslev, A. J. & Caro, J. (1989) *Blood* 73, 13–16.
- Levy, A. P., Levy, N. S., Wegner, S. & Goldberg, M. A. (1995)
 J. Biol. Chem. 270, 13333–13340.
- Semenza, G. L., Roth, P. H., Fang, H.-M. & Wang, G. L. (1994) J. Biol. Chem. 269, 23757–23763.

- Semenza, G. L. & Wang, G. L. (1992) Mol. Cell. Biol. 12, 5447–5454.
- Wang, G. L. & Semenza, G. L. (1993) J. Biol. Chem. 268, 21513–21518.
- Wang, G. L., Jiang, B.-H., Rue, E. A. & Semenza, G. L. (1995) Proc. Natl. Acad. Sci. USA 92, 5510–5514.
- Wood, S. M., Gleadle, J. M., Pugh, C. W., Hankinson, O. & Ratcliffe, P. J. (1996) J. Biol. Chem. 271, 15117–15123.
- 8. Bunn, H. F. & Poyton, R. O. (1996) Physiol. Rev. 76, 839–885.
- 9. Acker, H. (1994) Ann. N.Y. Acad. Sci. 718, 3-10.
- Fandrey, J., Seydel, F. P., Siegers, C.-P. & Jelkman, W. (1990) Life Sci. 47, 127–134.
- Goldberg, M. A., Dunning, S. P. & Bunn, H. F. (1988) Science (Washington, DC) 242, 1412–1415.
- 12. Tan, C. C. & Ratcliffe, P. J. (1991) Am. J. Physiol. 261, F982–F987.
- Chandel, N. S., Budinger, G. R. S. & Schumacker, P. T. (1996)
 J. Biol. Chem. 271, 18672–18677.
- Boveris, A., Oshino, N. & Chance, B. (1972) Biochem. J. 128, 617–630.
- Turrens, J. F., Alexandre, A. & Lehninger, A. L. (1985) Arch. Biochem. Biophys. 237, 408–414.
- 16. Schreck, R., Rieber, P. & Baeuerle, P. A. (1991) *EMBO J.* **10**,
- 2247–2258.
 17. Goldwasser, E., Jacobson, L. O., Fried, W. & Plzak, L. F. (1958)
 Studies Erythropoiesis 13, 55–60.
- 18. King, M. P. & Attardi, G. (1989) Science 246, 500-503.
- Maltepe, E., Schmidt, J. V., Baunoch, D., Bradfield, C. A. & Simon, M. C. (1997) *Nature (London)* 386, 403–407.
- 20. Jelkmann, W. (1992) Physiol. Rev. 72, 449–489.
- Suzuki, Y. J., Forman, H. J. & Sevanian, A. (1997) Free Radical Biol. Med. 22, 269–285.
- Bass, D. A., Parce, J. W., Dechatelet, L. R., Szejda, P., Seeds, M. C. & Thomas, M. (1983) J. Immunol. 130, 1910–1917.
- Muller, A., Cadenas, E., Graf, P. & Sies, H. (1984) Biochem. Pharmacol. 33, 3235–3239.
- 24. Garcia-Ruiz, C., Colell, A., Morales, A., Kaplowitz, N. & Fernandez-Checa, J. C. (1995) *Mol. Pharmacol.* 48, 825–834.
- Holland, P. C., Clark, M. G., Bloxham, D. P. & Lardy, H. A. (1973) J. Biol. Chem. 248, 6050-6056.
- Gleadle, J. M., Ebert, B. L. & Ratcliffe, P. J. (1995) Eur. J. Biochem. 234, 92–99.
- Huang, L. E., Arany, Z., Livingston, D. M. & Bunn, H. F. (1996)
 J. Biol. Chem. 271, 32253–32259.
- 28. Salceda, S. & Caro, J. (1997) *J. Biol. Chem.* **272**, 22642–22647.
- Wang, G. L., Jiang, B. H. & Semenza, G. L. (1995) Biochem. Biophys. Res. Commun. 216, 669–675.
- Salceda, S., Beck, I., Srinivas, V. & Caro, J. (1997) Kidney. Int. 51, 556–559.
- Jiang, B. H., Semenza, G. L., Bauer, C. & Marti, H. H. (1996)
 Am. J. Physiol. 271, C1172–C1180.
- Fandrey, J., Frede, S., Ehleben, W., Porwol, T., Acker, H. & Jelkmann, W. (1997) *Kidney Int.* 51, 492–496.
- Gorlach, A., Fandrey, J., Holtermann, G. & Acker, H. (1994) FEBS Lett. 348, 216–218.
- Wang, G. L., Jiang, B.-H. & Semenza, G. L. (1995) Biochem. Biophys. Res. Commun. 212, 550–556.
- 35. Fandrey, J., Frede, S. & Jelkman, W. (1994) *Biochem. J.* **303**, 507–510.
- Huang, L. E., Arany, Z., Livingston, D. M. & Bunn, H. F. (1996)
 J. Biol. Chem. 271, 32253–32259.
- Chandel, N. S., Budinger, G. R. S., Choe, S. H. & Schumacker, P. T. (1997) J. Biol. Chem. 272, 18808–18816.
- Chandel, N., Budinger, G. R. S., Kemp, R. A. & Schumacker, P. T. (1995) Am. J. Physiol. 268, L918–L925.
- 39. Necas, E. & Neuwirt, J. (1972) J. Lab. Clin. Med. 79, 388–396.
- Minchenko, A., Bauer, T., Salceda, S. & Caro, J. (1994) Lab. Invest. 71, 374–379.
- Pugh, C. W., Tan, C. C., Jones, R. W. & Ratcliffe, P. J. (1991) *Proc. Natl. Acad. Sci. USA* 88, 10553–10557.
- Duranteau, J., Chandel, N. S., Kulisz, A., Shao, Z. & Schumacker, P. T. (1998) *J. Biol. Chem.* 273, 11619–11624.
 Ebleben, W. Popusel, T. Fondrey, J. Kummer, W. & Acker, H.
- Ehleben, W., Porwol, T., Fandrey, J., Kummer, W. & Acker, H. (1997) Kidney Int. 51, 483–491.
- Gleadle, J. M., Ebert, B. L. & Ratcliffe, P. J. (1995) Eur. J. Biochem. 234, 92–99.
- Wenger, R. H., Marti, H. H., Schuerer-Maly, C. C., Kvietikova, I., Bauer, C., Gassmann, M. & Maly, F. E. (1996) *Blood* 87, 756–761.
- 46. Sherwood, J. B. & Goldwasser, E. (1979) Blood 54, 885-893.