Inventors Information:

Inventor 1:

**Applicant Authority Type:** 

Inventor

Citizenship:

US

Given Name:

Douglas

Middle Name:

Family Name:

Weiser

City of Residence:

Port Richey

State of Residence:

FL

Country of Residence:

USA

Address-1 of Mailing Address:

5009 Galleon Ct.

Address-2 of Mailing Address:

City of Mailing Address:

Port Richey

State of Mailing Address:

FL

Postal Code of Mailing Address: 34652

**Country of Mailing Address:** 

USA

Inventor 2:

**Applicant Authority Type:** 

Inventor

Citizenship:

GB

Given Name:

Mark Andrew

Middle Name: Family Name:

Wood

City of Residence:

Haslemere,

State of Residence:

Surry

**Country of Residence:** 

England

Address-1 of Mailing Address:

8 Lion Lane

Address-2 of Mailing Address:

City of Mailing Address:

Haslemere, Surrey

**State of Mailing Address:** 

England

Postal Code of Mailing Address: GU17 1JF

Country of Mailing Address:

England

Inventor 3:

**Applicant Authority Type:** 

Inventor

Attorney Information:	
Name	Registration Number
David L. Howard	41,502

#### **CLAIMS**

What is claimed is:

 A message broadcasting system providing a broadcast message to a broadcast target area, the system comprising:

a broadcast request interface configured for receiving a broadcast message record having a broadcast message, a defined broadcast target area, and a broadcast message originator identifier;

a broadcast admission control module configured for receiving the broadcast message record, validating the broadcast message record as a function one or more of the broadcast message originator identifier, the broadcast target area, and a broadcast message transmission network parameter associated with a broadcast transmission network adapted for broadcasting the message to at least a portion of the broadcast target area, said broadcast admission control module configured for generating a validated broadcast message record as a function of the validating; and

a broadcast message distributor module configured for receiving the validated broadcast message record and transmitting the broadcast message and the broadcast target area, or a part thereof, to an output interface configured for distributing the broadcast message to at least a portion of the broadcast target area.

- 2. The system of claim 1, further comprising a broadcast network interface module coupled to the broadcast message distributor module for receiving the broadcast message record and forwarding the broadcast message record to a broadcast network transmission module associated with at least one of the broadcast message transmission networks.
- 3. The system of claim 2, further comprising the broadcast network transmission module associated with at least one of the broadcast message transmission networks and coupled to one of more network transmission components within the at least one broadcast message transmission network configured for transmitting the broadcast message to broadcast message receiving devices within the broadcast target area.

- 4. The system of claim 3 wherein the broadcast network transmission module is configured for receiving the validated broadcast message record and determining at least one network transmission component address as a function of the broadcast target area within the validated broadcast message record and transmitting the broadcast message to the network transmission component having the component address for transmission to the broadcast message receiving devices.
- The system of claim 3 wherein the broadcast network transmission module is dedicated to one particular broadcast message transmission network.
- The system of claim 3 wherein the broadcast network transmission module is configured as a service bureau and coupled to a plurality of broadcast message transmission networks.
- 7. The system of claim 1 wherein the output interface is configured for transmitting the validated broadcast message to a second broadcast request interface associated with a second broadcast admission control module associated with a second message broadcasting system.
- 8. The system of claim 1 wherein the broadcast message interface is configured for hosting a web page and generating the broadcast message record in response to data entered by a broadcast message originator accessing the hosted web page.
- 9. The system of claim 1 wherein the broadcast message interface is configured for presenting a broadcast message originator with a geographic area and enabling the broadcast message originator to define the broadcast target area as a subset of the presented geographic area.
- 10. The system of claim 9 wherein the geographic area is presented as a graphical map and wherein the broadcast message interface is configured for enabling the broadcast message originator to graphically define the broadcast target area on the presented graphical map.

- 11. The system of claim 1 wherein the broadcast admission control module verifies an authority of the broadcast message originator as a function of a broadcast message originator authorization parameter, said transmitting the broadcast message being responsive to the authority verification.
- 12. The system of claim 1 wherein the broadcast admission control module is configured for validating including validating the authority of a broadcast message originator as a function of a broadcast message originator parameter.
- 13. The system of claim 12 wherein the broadcast message originator parameter includes one or more of an authorized broadcast message originator identification code, a message priority, an authorized password, an authorized message target broadcast geographic area, a geographic broadcast area authorization flag or parameter, a reusable geographic broadcast target area template definition authorization parameter, an authorized broadcast network distribution parameter, a broadcast message profile parameter, a message language text parameter, and a geographic broadcast target area message language priority parameter.
- 14. The system of claim 1 wherein the broadcast admission control module is configured for validating includes identifying a broadcast transmission network providing broadcast message communications to broadcast message receiving devices within the broadcast target area and validating the broadcast transmission network against a broadcast network parameter.
- 15. The system of claim 14 wherein the broadcast network parameter includes one or more of a reusable geographic broadcast target area template definition parameter, a broadcast message target area parameter, a message priority, a message language text format parameter, a network authorization parameter, and a message language priority indicator.
- 16. The system of claim 1 wherein the broadcast admission control module is configured for identifying one or more broadcast transmission networks providing broadcast message communications to broadcast message receiving devices located within the broadcast target area and validating at least one of the identified broadcast transmission networks against a broadcast transmission network authority parameter.

- 17. The system of claim 16 wherein the broadcast admission control module is configured for identifying a first broadcast transmission network providing broadcast message communications to broadcast message receiving devices within the broadcast target area is repeated to identify a second broad network providing broadcast message communications to other broadcast message receiving devices within the broadcast target area in response to forwarding the broadcast message to a broadcast transmission network, wherein the broadcast admission control module validates the broadcast transmission network includes validating a first and a second broadcast transmission network against first and second broadcast transmission network parameters, respectively, and forwards the broadcast message to the first broadcast transmission network and the second broadcast transmission network for transmission to broadcast message receiving devices within the broadcast target area.
- 18. The system of claim 1 wherein the broadcast admission control module is configured for validating the broadcast message record against a plurality of broadcast transmission network parameters, wherein the broadcast transmission network parameters are associated with a particular broadcast transmission network.
- 19. The system of claim 1 wherein the broadcast admission control module is configured for receiving a plurality of broadcast message records from a plurality of broadcast request interfaces and the validated broadcast message record is a first broadcast message record having a first broadcast message and a first broadcast target area and the broadcast network is a first broadcast transmission network, and wherein the broadcast admission control module is further configured for validating a second broadcast message record in response to completing the forwarding of the first broadcast message to the first broadcast transmission network for transmission to the broadcast message receiving devices within the first broadcast target area.
- 20. The system of claim 19 wherein the broadcast admission control module is configured for selecting the second broadcast message record from among a plurality of broadcast message records as a function of a message priority parameter.

- 21. The system of claim 1 wherein the broadcast request interface is configured for receiving a broadcast message request indicating a broadcast originator suspension notification and the broadcast admission control module is configured for validating a subsequent broadcast message record indicating that all broadcast transmission networks decline the transmission of the subsequent broadcast message.
- 22. The system of claim 21 wherein the broadcast admission control module is configured for initiating the reporting and sending of the subsequent broadcast message record to a message broadcast system administrative account.

23. A method of broadcast messaging to a broadcast target area, the method comprising:

hosting a broadcast message interface;

receiving a broadcast message record having a broadcast message, a defined broadcast target area, and a broadcast message originator identifier;

validating the broadcast message record as a function one or more of the broadcast message originator identifier, the broadcast target area, and a broadcast message transmission network parameter associated with a broadcast transmission network configured for broadcasting the message to at least a portion of the broadcast target area;

generating a validated broadcast message record as a function of the validating; and transmitting the validated broadcast message record to an output interface configured for distributing the broadcast message to at least a portion of the broadcast target area.

- 24. The method of claim 23 wherein the broadcast message interface is configured for presenting a broadcast message originator with a geographic area and enabling the broadcast message originator to define the broadcast target area as a subset of the presented geographic area.
- 25. The method of claim 23 wherein hosting includes hosting a webpage and presenting a map of an area having a plurality of definable broadcast target areas and includes an interactive user interface for receiving broadcast message originator input to define the broadcast target area with reference to the presented map.
- 26. The method of claim 25 wherein hosting includes providing the interactive user interface with a graphical mapping capability for enabling the broadcast message originator to define the broadcast target area having a user definable shape.
- 27. The method of claim 23 wherein hosting includes hosting a data network interface configured for receiving the broadcast message record transmitted from a coupled second broadcast message system.

- 28. The method of claim 23 wherein validating includes verifying an authority of a broadcast message originator as a function of a broadcast message originator authorization parameter and the broadcast target area.
- 29. The method of claim 23 wherein the broadcast message originator authorization parameter includes a geographic parameter defining an area in which the broadcast message originator is authorized to originate a broadcast message contained within the broadcast message record.
- 30. The method of claim 23 wherein transmitting the broadcast message to the output interface is responsive to the authority verification.
- 31. The method of claim 23 wherein transmitting includes transmitting the broadcast message and broadcast target area to one of a plurality of broadcast transmission networks serving, at least in part, the broadcast target area as a function of a message admission control parameter associated with the one broadcast transmission network.
- 32. The method of claim 23, further comprising transmitting at least one area identification parameter within the message target broadcast area to at least one network broadcast center.
- 33. The method of claim 23, further comprising transmitting a report containing the broadcast message, the broadcast target area and the at least one area identification parameter within the message broadcast target area.
- 34. The method of claim 23, further comprising identifying one or more broadcast transmission networks providing broadcast message communications to broadcast message receiving devices located within the broadcast target area, wherein validating includes validating one of the identified broadcast transmission networks against a broadcast transmission network authority parameter.
- The method of claim 23, further comprising identifying a first broadcast transmission network providing broadcast message transmission to first broadcast message

receiving devices served by the first broadcast transmission network and within the broadcast target area and identifying a second broadcast transmission network providing broadcast message transmission to second broadcast message receiving devices served by the second broadcast transmission network and within the broadcast target area, wherein validating includes validating the first and the second broadcast transmission networks against first and second broadcast transmission network parameters, respectively, and transmitting includes forwarding the broadcast message to the first broadcast transmission network and the second broadcast transmission network for transmission to the served broadcast message receiving devices located within the broadcast target area.

- 36. The method of claim 23 wherein validating includes comparing the broadcast message record against a plurality of broadcast transmission network parameters, wherein the broadcast transmission network parameters are associated with a particular broadcast transmission network.
- 37. The method of claim 23 wherein receiving includes a plurality of broadcast message records from a plurality of broadcast request interfaces and validating including validating a first broadcast message record having a first broadcast message and a first broadcast target area and a second broadcast message record having a second broadcast message and a second broadcast target area, and wherein transmitting includes transmitting the first validated broadcast message record to a first output interface associated with a first broadcast transmission network and transmitting the second validated broadcast message record to a second output interface associated with a second broadcast transmission network.
- 38. The method of claim 23, further comprising selecting the second broadcast message record from among the plurality of broadcast message records as a function of a message priority parameter.
- 39. The method of claim 23 wherein validating includes identifying a broadcast transmission network providing broadcast message communications to broadcast message receiving devices within the broadcast target area and validating the broadcast transmission network against a broadcast network parameter.

- 40. The method of claim 39 wherein the broadcast network parameter includes one or more of a reusable geographic broadcast target area template definition parameter, a broadcast message target area parameter, a message language text format parameter, a network authorization parameter, and a message language priority indicator.
- 41. The method of claim 23, further comprising receiving a broadcast message record indicating a broadcast originator suspension notification, validating a subsequent broadcast message record, declining the transmission of the validated broadcast message record, and initiating the reporting of the declined broadcast message record to a broadcast system administrative account.

101/180

42. A method of admission control for authorizing a submission of a broadcast message to a broadcast target area, comprising:

receiving a broadcast message record including the broadcast message and the broadcast target area for the broadcast message;

validating the authority of a broadcast message originator originating the broadcast message record as a function of a broadcast message originator parameter;

identifying a broadcast transmission network providing broadcast message transmission to broadcast message receiving devices located within the broadcast target area;

validating the broadcast transmission network against a broadcast transmission network parameter; and

forwarding the broadcast message record to an output interface associated with the broadcast transmission network for transmission to the broadcast message receiving devices within the broadcast target area.

- 43. The method of claim 42, further comprising identifying one or more broadcast transmission networks providing broadcast message transmissions to broadcast message receiving devices located within the broadcast target area and validating at least one of the identified broadcast transmission networks against a broadcast transmission network authority parameter.
- 44. The method of claim 42 wherein identifying includes identifying a first broadcast transmission network providing broadcast message transmission to first broadcast message receiving devices served by the first broadcast transmission network and within the broadcast target area and identifying a second broadcast transmission network providing broadcast message transmission to second broadcast message receiving devices served by the second broadcast transmission network and within the broadcast target area, wherein validating includes validating the first and the second broadcast transmission networks against first and second broadcast transmission network parameters, respectively, and transmitting includes forwarding the broadcast message to the first broadcast transmission network and the second broadcast transmission network for transmission to the served broadcast message receiving devices located within the broadcast target area.

- 45. The method of claim 42 wherein validating the broadcast transmission network includes validating the broadcast message record and broadcast transmission network against a plurality of broadcast transmission network parameters.
- 46. The method of claim 45, further comprising comparing the broadcast message record to a broadcast transmission network decline parameter in response to a failure of validating against one of the plurality of broadcast transmission network parameters and at least one of generating a warning message in response to a failure in the comparing to the broadcast transmission network decline parameter and generating a decline report in response to a success in the comparing to the broadcast transmission network decline parameter.
- 47. The method of claim 42, further comprising storing the submitted broadcast message record to a broadcast message log file.
- 48. The method of claim 42, further comprising generating a security report in response to a failure of the validating of the broadcast message record.
- 49. The method of claim 42 wherein validating includes retrieving a broadcast message originator parameter from a data base and comparing the broadcast message originator parameter against an authorization parameter.
- 50. The method of claim 49 wherein the broadcast message originator parameter includes one or more of an authorized broadcast message originator identification code, an authorized password, an authorized message target broadcast geographic area, a message priority, a geographic broadcast area authorization flag or parameter, a reusable geographic broadcast target area template definition authorization parameter, an authorized broadcast network distribution network parameter, a broadcast message profile parameter, a message language text parameter, and a geographic broadcast target area message language priority parameter.
- The method of claim 42 wherein the broadcast network parameter includes one or more of a reusable geographic broadcast target area template definition parameter, a

broadcast message target area parameter, a message priority, a message language text format parameter, and a message language priority indicator.

- 52. The method of claim 42, further comprising validating the broadcast message record against an authorized message parameter associated with the broadcast network, wherein forwarding the broadcast message record is in response to successfully validating the broadcast message for the broadcast network.
- 53. The method of claim 42, further comprising setting a message decline flag for the message transmission to the broadcast network in response to a failure of the validating the broadcast transmission network against a broadcast network parameter.
- 54. The method of claim 42, further comprising setting a message admission done flag and logging the completed message submission to the message log file in response to completion of the admission control procedure for all of a plurality of broadcast message transmission networks.
- 55. The method of claim 42, further comprising initiating the admission control method for a second broadcast message record in response to the successful forwarding of a first broadcast message record.
- 56. The method of claim 55, further comprising selecting the second broadcast message record from among a plurality of broadcast message records as a function of a message priority parameter.
- 57. The method of claim 42 wherein validating includes comparing a parameter associated with the broadcast message record to a stored authorization parameter.
- 58. The method of claim 42 wherein receiving includes receiving a plurality of broadcast message records from a plurality of broadcast request interfaces and wherein the validated message record is a first broadcast message record having a first broadcast message and a first broadcast target area and the broadcast network is a first broadcast transmission network, further comprising validating a second broadcast message record in response to

completing the forwarding of the first broadcast message to the first broadcast transmission network for transmission to the broadcast message receiving devices within the first broadcast target area.

59. The method of claim 42, further comprising generating a security report in response to a failure of validating the broadcast message record.

PTO/SB/06 (12-04)
Approved for use through 7/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

	PATE			FEE DETEI te for Form PT	RMINATION REC 0-875	ORD				on or Docket Nun /602,461	iber
	AP	PLICATION		ED – PART olumn 1)	(Column 2)		SMALL E	ENTITY	OR		R THAN ENTITY
	FOR		NIII	BER FILED	NUMBER EXTRA	D/	ATE (\$)	FEE (\$)		RATE (\$)	FEE (\$)
	C FEE					·	112 (0)	150	1	10112,10)	300
	FR 1.16(a), (b), or RCH FEE	(c))	$\vdash$			$\vdash$			1		
(37 (	FR 1.16(k), (i), or	(m))						250	1		500
50.00	MINATION FEE CFR 1.16(o), (p), or	(q))						100			200
TOT	AL CLAIMS CFR 1.16(i))		59	minus 20 =	39	,	(\$ 25	975	OR	X\$50	250
NDE	PENDENT CLAIM	S	3			×	(\$100		1 "	X\$200	
(37 CFR 1.16(h))  minus 3 =   If the specification and drawings exceed 100  APPLICATION SIZE sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See (37 CFR 1.16(s))  35 U.S.C. 41(a)(1)(G) and 37 CFR									10701177	anta il	
MU	TIPLE DEPEN	DENT CLAIM P	RESENT	(37 CFR 1.16	5(j))		180		]	360	*
· If t	ne difference in o	column 1 is less	s than ze	ero, enter "0" in	column 2.	Т	OTAL	1475		TOTAL	
	APPL	(Column 1)	AMEN	(Column 2)	(Column 3)		SMALL E	ENTITY	OR		R THAN ENTITY
AMENDMENT A		REMAINING AFTER AMENDMENT		NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	R/	ATE (\$)	ADDI- TIONAL FEE (\$)		RATE (\$)	ADDI- TIONAL FEE (\$)
ME	Total (37 CFR 1.16(i))	*	Minus	**	=	x	=		OR	x =	
Ë	Independent (37 CFR 1.16(h))	*	Minus	***	=	×	=		OR	x =	
Ā	Application Siz	e Fee (37 CFR	1.16(s))	1107			- 10 Care 1 10		1		
	FIRST PRESENT	ATION OF MULT	IPLE DEP	ENDENT CLAIM	(37 CFR 1.16(j))		180		OR	360	
	12				•	TOTAI ADD'T			OR	TOTAL ADD'T FEE	
		(Column 1)		(Column 2)	(Column 3)				OR		
AMENDMENT B		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	R	ATE (\$)	ADDI- TIONAL FEE (\$)		RATE (\$)	ADDI- TIONAL FEE (\$)
ME	Total	•	Minus	••	-	×	=		OR	.x =	
END	(37 CFR 1.16(i)) Independent	•	Minus	***	=	x	=		1	x =	
Ā	(37 CFR 1.16(h)) Application Siz			Market Table					OR	- 1945)	<u> </u>
				ENDENT CLAIM	I (37 CFR 1.16(j))		N/A		OR	N/A	
	(prince 5-86,60%)		117	a di di salah di sal	Commente and a control of	TOTAL	L		OR	TOTAL ADD'T FEE	

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virginia 22313-1450 www.uspfo.gov.

APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
11/602,461	11/20/2006	2612	0.00	7362-000034/US	15	59	3

**CONFIRMATION NO. 7371** 

28997 HARNESS, DICKEY, & PIERCE, P.L.C 7700 BONHOMME, STE 400 ST. LOUIS, MO63105 FILING RECEIPT

Date Mailed: 12/12/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

#### Applicant(s)

Douglas Weiser, Port Richey, FL; Mark Andrew Wood, Haslemere, UNITED KINGDOM; Kevin Russell Preston, Gwent, UNITED KINGDOM;

#### **Assignment For Published Patent Application**

EnvisionIT LLC

Power of Attorney: The patent practitioners associated with Customer Number 28997

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/739,819 11/23/2005

Foreign Applications

If Required, Foreign Filing License Granted: 12/12/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US11/602.461** 

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Message broadcasting admission control system and method

#### **Preliminary Class**

340

#### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under

37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



28997

#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandra, Virginia 22313-1450
www.unpto.gov

APPLICATION NUMBER FILING OR 371 (c) DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

11/602,461 11/20/2006 Douglas Weiser 7362-000034/US

ESS, DICKEY, & PIERCE, P.L.C

CONFIRMATION NO. 7371
FORMALITIES
LETTER

HARNESS, DICKEY, & PIERCE, P.L.C 7700 BONHOMME, STE 400 ST. LOUIS, MO 63105

Date Mailed: 12/12/2006

#### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

#### FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

#### Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The statutory basic filing fee is missing.
 Applicant must submit \$ 150 to complete the basic filing fee for a small entity.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$975 as a small entity, including any required multiple dependent claim fee, are
  required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.16(f) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1540 for a small entity

- \$150 Statutory basic filing fee.
- \$65 Surcharge.
- The application search fee has not been paid. Applicant must submit \$250 to complete the search fee.
- The application examination fee has not been paid. Applicant must submit \$100 to complete the examination fee for a small entity in compliance with 37 CFR 1.27.

- Total additional claim fee(s) for this application is \$975
  - \$975 for 39 total claims over 20.

Replies should be mailed to: Ma

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. <a href="https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html">https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html</a>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

Office of Initial Patent Examination (571) 272-4000, or 1-800-PTO-9199, or 1-800-972-6382 PART 3 - OFFICE COPY

Docket No.: ENIT 9835U1 Date: February 9, 2007

In re application of: Douglas Weiser, et al.

Serial No.: 11/602,461 Filed: November 20, 2006

For: MESSAGE BROADCASTING ADMISSION CONTROL SYSTEM AND METHOD

Mail Stop MISSING PARTS Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

#### Transmitted herewith is:

- A copy of the NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION dated December 12, 2006;
- Additional fee of \$1,540.00 is required and enclosed herewith, \$975.00 (39 total claims over 20), \$150.00 (Basic filing fee), \$250.00 (Search fee), \$100.00 (Examination fee) and \$65.00 (surcharge).
- The Commissioner is authorized to charge the amount of \$1,540.00 to Deposit Account 162201 to cover the missing fees identified pursuant to NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION.

The Commissioner is hereby authorized to charge any additional fees or credit overpayment under 37 CFR 1.16 and 1.1, which may be required by this paper to Deposit Account 162201.

Respectfully submitted,

phathan P. Soifer, Reg. No. 34, 932

I hereby certify that this correspondence is being filed with the United States Patent and Trademark Office via EFS-Web, on

February 9, 2007.

onathan P. Soifer, Reg. No. 34,932



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandris, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER FILING OR 371 (c) DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

11/602,461

11/20/2006

Douglas Weiser

7362-Q00034/US

48350 I CONFIRMATION NO. 7371 FORMALITIES LETTER

28997 HARNESS, DICKEY, & PIERCE, P.L.C 7700 BONHOMME, STE 400 ST. LOUIS, MO 63105

Date Mailed: 12/12/2006

#### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

#### **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The statutory basic filing fee is missing.
 Applicant must submit \$ 150 to complete the basic filing fee for a small entity.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$975 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.16(f) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

#### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$1540 for a small entity

- \$150 Statutory basic filing fee.
- \$65 Surcharge.
- The application search fee has not been paid. Applicant must submit \$250 to complete the search fee.
- The application examination fee has not been paid. Applicant must submit \$100 to complete the
  examination fee for a small\_entity\_in\_compliance with 37 CFR 127.

1

- Total additional claim fee(s) for this application is \$975
  - \$975 for 39 total claims over 20.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. <a href="https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html">https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html</a>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

Office of Initial Patent Examination (571) 272-4000, or 1-800-PTO-9199, or 1-800-972-6382
PART 2 - COPY TO BE RETURNED WITH RESPONSE

Electronic Patent Application Fee Transmittal							
Application Number:	11	602461					
Filing Date:	20-Nov-2006						
Title of Invention:		Message broadcasting admission control system and method					
First Named Inventor/Applicant Name:	Douglas Weiser						
Filer:	Jonathan Paul Soifer/Vicki Kirk						
Attorney Docket Number:	7362-000034/US						
Filed as Small Entity							
Utility Filing Fees							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Utility filing Fee (Electronic filing)		4011	1	75	75		
Utility Search Fee		2111	1	250	250		
Utility Examination Fee		2311	18	100	100		
Pages:							
Claims:							
Claims in excess of 20		2202	39	25	975		
Miscellaneous-Filing:							
Late filling fee for oath or declaration		2051	1	65	65		

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Petition:	· · · · · · · · · · · · · · · · · · ·			
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Miscellaneous:				
	Tota	al in USD	(\$)	1465

Electronic Acknowledgement Receipt				
EFS ID:	1504012			
Application Number:	11602461			
International Application Number:				
Confirmation Number:	7371			
Title of Invention:	Message broadcasting admission control system and method			
First Named Inventor/Applicant Name:	Douglas Weiser			
Customer Number:	28997			
Filer:	Jonathan Paul Soifer			
Filer Authorized By:				
Attorney Docket Number:	7362-000034/US			
Receipt Date:	09-FEB-2007			
Filing Date:	20-NOV-2006			
Time Stamp:	14:12:40			
Application Type:	Utility			

## Payment information:

Submitted with Payment	yes
Payment was successfully received in RAM	\$1465
RAM confirmation Number	1762
Deposit Account	162201

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows: Charge any Additional Fees required under 37 C.F.R. Section 1.16 and 1.17

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part /.zip	Pages (if appl.)
1	Miscellaneous Incoming Letter	ENIT9835U1_Missing_Parts. pdf	94250	no	3
Warnings:		1			
Information:					
2	Fee Worksheet (PTO-06)	fee-info.pdf	8611	no	2
Warnings:					
Information:					
		Total Files Size (in bytes):	1	02861	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875 11/602,461 APPLICATION AS FILED - PART I 17 OTHER THAN SMALL ENTITY OR (Column 1) (Column 2) SMALL ENTITY NUMBER FILED FOR NUMBER EXTRA RATE (\$) FEE (\$) RATE (\$) FEE (\$) BASIC FEE 150 300 (37 CFR 1.16(a), (b), or (c)) SEARCH FEE 250 500 (37 CFR 1.16(k), (i), or (m)) **EXAMINATION FEE** 200 100 (37 CFR 1.16(o), (p), or (q)) TOTAL CLAIMS 59 X\$ 25 975 X\$50 39 OR (37 CFR 1.16(i)) INDEPENDENT CLAIMS 3 X\$100 X\$200 (37 CFR 1.16(h)) minus 3 = If the specification and drawings exceed 100 APPLICATION SIZE sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional FEE 50 sheets or fraction thereof. See (37 CFR 1.16(s)) 35 U.S.C. 41(a)(1)(G) and 37 CFR 180 360 MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(i)) TOTAL TOTAL If the difference in column 1 is less than zero, enter "0" in column 2. 1475 APPLICATION AS AMENDED - PART II OTHER THAN SMALL ENTITY OR SMALL ENTITY (Column 3) (Column 1) (Column 2) CLAIMS HIGHEST ADDI-ADDI-PRESENT REMAINING NUMBER RATE (\$) TIONAL RATE (\$) TIONAL AFTER PREVIOUSLY **EXTRA** FEE (\$) FEE (\$) **AMENDMENT** PAID FOR Total OR Minus X X (37 CFR 1.16(i)) Independent Minus = X X (37 CFR 1.16(h)) OR Application Size Fee (37 CFR 1.16(s)) FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) OR 360 TOTAL TOTAL OR ADD'T FEE ADD'T FEE (Column 2) (Column 3) OR (Column 1) CLAIMS HIGHEST ADDI-ADDI-REMAINING PRESENT NUMBER RATE (\$) RATE (\$) TIONAL TIONAL AFTER PREVIOUSLY **EXTRA** FEE (\$) FEE (S) AMENDMENT **AMENDMENT** PAID FOR Total OR Minus X X (37 CFR 1.16(i)) Independent \*\*\* Minus = = X X (37 CFR 1.16(h)) OR Application Size Fee (37 CFR 1.16(s)) FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) N/A N/A OR TOTAL TOTAL OR ADD'T FEE ADD'T FEE \* If the entry in column 1 is less than the entry in column 2, write "0" in column 3. If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".

If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3". The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



#### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Putent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1459 Alexandra, Vigida 22113-1450

APPLICATION NUMBER FILING OR 371 (c) DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

11/602,461

11/20/2006

Douglas Weiser

7362-000034/US 9835U I

CONFIRMATION NO. 7371
FORMALITIES
LETTER

28997 HARNESS, DICKEY, & PIERCE, P.L.C 7700 BONHOMME, STE 400 ST. LOUIS, MO 63105

Date Mailed: 12/12/2006

#### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Adjustment date: 02/16/2007 MTEKLEMI 02/09/2007 INTEFSW 00001762 162201 01 FC:4011 75.00 CR

11602461 UNDER 37 CFR 1.53(b)

Filing Date Granted

#### Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The statutory basic filing fee is missing.
 Applicant must submit \$ 150 to complete the basic filing fee for a small entity.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$975 as a small entity, including any required multiple dependent claim fee, are
  required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.16(f) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1540 for a small entity

- \$150 Statutory basic filing fee.
- \$65 Surcharge.
- . The application search fee has not been paid. Applicant must submit \$250 to complete the search fee.
- The application examination fee has not been paid. Applicant must submit \$100 to complete the
  examination fee for a small entity in compliance with 37 CFR 1127.

02/16/2007 NTEKLENI 00000034 162201 11602461

01 FC:2011

150.00 DA



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Vignina 22313-1450 www.uspfo.gov

APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
11/602,461	11/20/2006	2612	1540	ENIT 9835U1	15	59	3

**CONFIRMATION NO. 7371** 

28997 HARNESS, DICKEY, & PIERCE, P.L.C 7700 BONHOMME, STE 400 ST. LOUIS, MO63105 **UPDATED FILING RECEIPT** 

Date Mailed: 02/20/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

#### Applicant(s)

Douglas Weiser, Port Richey, FL; Mark Andrew Wood, Haslemere, UNITED KINGDOM; Kevin Russell Preston, Gwent, UNITED KINGDOM;

#### **Assignment For Published Patent Application**

EnvisionIT LLC

Power of Attorney: The patent practitioners associated with Customer Number 28997

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/739,819 11/23/2005

Foreign Applications

If Required, Foreign Filing License Granted: 12/12/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is

US11/602.461

Projected Publication Date: 05/31/2007

Non-Publication Request: No Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Message broadcasting admission control system and method

#### **Preliminary Class**

340

#### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under

37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Electronic Acl	Electronic Acknowledgement Receipt				
EFS ID:	1559652				
Application Number:	11602461				
International Application Number:					
Confirmation Number:	7371				
Title of Invention:	Message broadcasting admission control system and method				
First Named Inventor/Applicant Name:	Douglas Weiser				
Customer Number:	28997				
Filer:	David L. Howard				
Filer Authorized By:					
Attorney Docket Number:	ENIT 9835U1				
Receipt Date:	02-MAR-2007				
Filing Date:	20-NOV-2006				
Time Stamp:	14:47:46				
Application Type:	Utility				

## Payment information:

Submitted with Payment	no

## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part /.zip	Pages (if appl.)
Ĭ	Miscellaneous Incoming Letter	ENIT9835U1_Revocation.pd f	111922	no	2
Warnings:					

Information	:				
2	Miscellaneous Incoming Letter	ENIT9835U1_Statement.pdf	120836	no	2
Warnings:				1	
Information	:				
		Total Files Size (in bytes):	2	232758	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

PTO/SB/82 (01-06)
Approved for use through 12/31/2008. OMB 0651-0035
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

#### REVOCATION OF POWER OF ATTORNEY WITH NEW POWER OF ATTORNEY AND CHANGE OF CORRESPONDENCE ADDRESS

Application Number	11/602,461	1
Filing Date	November 20, 2006	
First Named Inventor	Douglas Weiser	
Art Unit	2612	
Examiner Name		
Attorney Docket Number	ENIT 9835U1	ノ

I hereby revoke all previous powers of attorney given in the above-identified application.							
A Power of Attorney is submitted herewith.							
OR  ✓ I hereby appoint the practitioners associated with the Customer Number:  001688							
Please change the correspondence address for the above-identified application to:  The address associated with Customer Number:  001688  OR							
Firm or Individual Name							
Address	94						
City		State	Zip				
Country			1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1				
Telephone		Email	100				
I am the:  Applicant/Inventor.  Assignee of record of the entire interest. See 37 CFR 3.71.  Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)							
SIGNATURE of Applicant or Assignee of Record							
Signature / C.							
Name Paul Klein							
Date February 19,	, 2007	Telephone (936)	582-5957				
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.							
*Total of 1 forms are submitted.							

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

#### Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

PTO/SB/96 (09-06)
Approved for use through 03/31/2007. OMB 0551-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3	3.73(b)
Applicant/Patent Owner: EnvisionIT, LLC	
Application No./Patent No.: 11/602,461 Filed/Issue Date: Nove	mber 20,2006
Entitled:	
EnvisionIT_LIC a limited liability compa (Name of Assignee) (Type of Assignee, e.g., co	any orporation, partnership, university, government agency, etc.)
states that it is:  1.  the assignee of the entire right, title, and interest; or	
an assignee of less than the entire right, title and interest     (The extent (by percentage) of its ownership interest is%)	
in the patent application/patent identified above by virtue of either:	
A. An assignment from the inventor(s) of the patent application/patent ident in the United States Patent and Trademark Office at Reel 018620 thereof is attached.  OR	
B. A chain of title from the inventor(s), of the patent application/patent ident	tified above, to the current assignee as follows:
1. From:To:	
From:	demark Office at a copy thereof is attached.
2. From:To:	
The document was recorded in the United States Patent and Tra- Reel, Frame, or for which	demark Office at a copy thereof is attached.
3. From:To:	
The document was recorded in the United States Patent and Tra Reel, Frame, or for which	demark Office at th a copy thereof is attached.
Additional documents in the chain of title are listed on a supplementa	al sheet.
As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the charassignee was, or concurrently is being, submitted for recordation pursuant to 3	
[NOTE: A separate copy (i.e., a true copy of the original assignment document document of the property of t	
The undersigned (whose title is supplied below) is authorized to act on behalf	
C'akleun Signature	February 19, 2007 Date
Signature Paul Klein	
Printed or Typed Name	(936) 582-5957 Telephone Number
Chief Operating Officer	
Chier Operating Officer Title	

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

#### Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



#### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS FO. Box 1450 Alexandria, Virginia 22313-1450 www.uspito.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE

11/602,461

11/20/2006

Douglas Weiser

ENIT 9835U1

**CONFIRMATION NO. 7371** 

1688

POLSTER, LIEDER, WOODRUFF & LUCCHESI 12412 POWERSCOURT DRIVE SUITE 200 ST. LOUIS, MO 63131-3615

\*OC000000022826868\*

Date Mailed: 03/09/2007

#### NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 03/02/2007.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

Office of Initial Patent Examination (571) 272-4000, or 1-800-PTO-9199 OFFICE COPY

> 130/180 **DOJ EX. 1006**



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE, United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Vriginia 22313-1450 www.uupto.gov

APPLICATION NUMBER FILING OR 371 (c) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE

11/602,461 11/20/2006 Douglas Weiser ENIT 9835U1

28997 HARNESS, DICKEY, & PIERCE, P.L.C 7700 BONHOMME, STE 400 ST. LOUIS, MO 63105 CONFIRMATION NO. 7371

\*OC000000022826861\*

Date Mailed: 03/09/2007

#### NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 03/02/2007.

 The Power of Attorney to you in this application has been revoked by the assignee who has intervened as provided by 37 CFR 3.71. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

Office of Initial Patent Examination (571) 272-4000, or 1-800-PTO-9199
OFFICE COPY

131/180

**DOJ EX. 1006** 



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

APPLICATION NUMBER	FILING OR 371(c) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO:/TITLE
11/602,461	11/20/2006	Douglas Weiser	ENIT 9835U1

**CONFIRMATION NO. 7371** 

1688 POLSTER, LIEDER, WOODRUFF & LUCCHESI 12412 POWERSCOURT DRIVE SUITE 200 ST. LOUIS, MO63131-3615

Title: Message broadcasting admission control system and method

Publication No. US-2007-0124368-A1

Publication Date: 05/31/2007

#### NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publically available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently http://www.uspto.gov/patft/.

The publication process established by the Office does not provide for mailing a copy of the publication to applicant. A copy of the publication may be obtained from the Office upon payment of the appropriate fee set forth in 37 CFR 1.19(a)(1). Orders for copies of patent application publications are handled by the USPTO's Office of Public Records. The Office of Public Records can be reached by telephone at (703) 308-9726 or (800) 972-6382, by facsimile at (703) 305-8759, by mail addressed to the United States Patent and Trademark Office, Office of Public Records, Alexandria, VA 22313-1450 or via the Internet.

In addition, information on the status of the application, including the mailing date of Office actions and the dates of receipt of correspondence filed in the Office, may also be accessed via the Internet through the Patent Electronic Business Center at www.uspto.gov using the public side of the Patent Application Information and Retrieval (PAIR) system. The direct link to access this status information is currently http://pair.uspto.gov/. Prior to publication, such status information is confidential and may only be obtained by applicant using the private side of PAIR.

Further assistance in electronically accessing the publication, or about PAIR, is available by calling the Patent Electronic Business Center at 703-305-3028.

Pre-Grant Publication Division, 703-605-4283	

S . - -1

AUG 2 1 2007

PATENT

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ICANT: Douglas Weiser, et al

GROUP: 2612

ERIAL NO.: 11/602,461

**EXAMINER: TBD** 

FILED: November 20, 2006

DOCKET NO.: ENIT 9835U1

FOR: MESSAGE BROADCASTING ADMISSION CONTROL SYSTEM AND

**METHOD** 

St. Louis, Missouri August 17, 2007

Mail Stop Amendment Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

#### THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT PURSUANT TO §1.97 AND § 1.704

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.704, Applicants hereby submit this Supplemental Information Disclosure Statement for consideration by the Examiner.

#### 1. LIST OF PATENTS, PUBLICATIONS AND OTHER INFORMATION (CERTIFICATION UNDER 37 C.F.R § 1.97(e))

The information, patents and/or literature references listed on the attached form PTO-1449 were first cited in a communication of the present Information Disclosure Statement before the mailing of a first Office Action on the mertis (37 C.F.R. §1.97(b) (3)). No fee or certification is required.

> Page 1 of 3 **Certificate of Mailing**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Mail Stop IDS, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on August 17, 2007.

David L. Howard, Reg. No. 41,502/

133/180

**DOJ EX. 1006** 

Copies of any non-US patents or publications is submitted herewith.

The Commissioner is hereby authorized to charge any additional fees or credit overpayment under 37 CFR 1.16 and 1.17 which may be required by this paper to Deposit Account 162201.

Respectfully submitted,

Date: August 17, 2007

David L. Howard, Reg. No. 41,502

Polster, Lieder, Woodruff & Lucchesi, L.C.

Customer Number: 001688 12412 Powerscourt Drive St. Louis, Missouri 63131-3615

Telephone: (314) 238-2400 Facsimile: (314) 238-2401



#### Sheet 1 of 1

FORM PTO-1449
U.S. DEPARTMENT
OF COMMERCE, PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.: ENIT 9835U1 SERIAL NO.: 11/602,461

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APPLICANT: Weiser, et al.

(Use several sheets if necessary)

Filing Date: 11/20/2006

Group: 2612

#### **U.S. PATENT DOCUMENTS**

Examiner Initial			ı	Оос	ume	ent	Nur	mbe	r				Kind Code (click here)	Name								
	US	US	US 6	US	US	US	IS 6	5 6	6	0	8	4	5	1	0						Jul. 4, 2000	Lemelson, et al.
	US	6	1	6	9	4	7	6						Jan. 2, 2001	Flanagan							
	US	6	2	1	9	6	9	6						Apr. 17, 2001	Wynblatt, et al.							
	US	6	2	4	0	3	6	0						May 29, 2001	Phelan							
	US	2	0	0	2	0	1	0	7	0	1	6		Aug. 8, 2002	Hanley							

#### **FOREIGN PATENT DOCUMENTS**

Examiner										Transla	tion
Initial	Document Number							Date	Country	Yes	No
7702	05	0	7	9	4	2	1	Feb. 14, 2005	WO	X	10-20-10
	96	0	5	6	7	8		Aug. 10, 1995	WO	X	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

BRITISH PARLIAMENT DEBATES CELLALERT SERVICE FOR THE UK, MAY 13, 2003, MAY BE SEEN AT www.ceasa.us/news.htm
Canadians want Emergency-Location Services, by Dave Ebner, April 11, 2003, may be seen at <a href="https://www.ceasa.us/news.htm">www.ceasa.us/news.htm</a>
Cellular Emergency Alert Services Association, February 21, 2003, CellAlert Services Corporate Structure, may be seen at <a href="https://www.ceasa.us/news.htm">www.ceasa.us/news.htm</a>
News From Senator John Edwards, North Carolina, April 3, 2003, Senate Okays Edwards Emergency Warning Bill, may be seen at <a href="https://www.ceasa.us/news.htm">www.ceasa.us/news.htm</a>
RCR, CELL-BROADCAST SERVICE GETS SECOND LOOK, JEFFREY SILVA, NOVEMBER 10, 2003, MAY BE SEEN AT www.ceasa.us/news.htm
Recommendation 12 (WTDC-02), The World Telecommunication development Conference (Istanbul 2002)
Text message warn of 'killer flu' hot spots, April 20, 2003, may be seen at www.ceasa.us/news.htm
The Cellular-Emergency Alert Services Association Policy Statement, August 5, 2002, may be seen at <a href="https://www.ceasa.us/news.htm">www.ceasa.us/news.htm</a>
THE WALL STREET JOURNAL ONLINE, LOCAL GOVERNMENTS LOOK TO CELLPHONE-BASED ALERTS, CARL BIALIK, JUNE 12, 2003, MAY BE SEEN AT www.ceasa.us/news.htm

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

, ,

(Form PTO-1449)

PATENT

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

11/602,461

Filing Date:

November 20, 2006

Applicant: Group Art Unit: Weiser, et al.

Conf. No.

2612 7371

Examiner:

Title:

Message Broadcasting Admission Control System and Method

Attorney Docket:

ENIT 9835U1

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

#### 4th SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby submits a Supplemental Information Disclosure Statement for consideration by the Examiner.

#### I. LIST OF PATENTS, PUBLICATIONS, AND OTHER INFORMATION

The patents, publications and other information requested to be considered by the Office (except unpublished U.S. patent applications) are listed on Form 1449 attached hereto.

#### II. COPIES

A. Submitted herewith is a legible copy of (i) each foreign patent; (ii) each publication or that portion which caused it to be listed, other than U.S. patents and U.S. patent application publications unless required by the Office; (iii) each unpublished U.S. application listed below in Section IV (i.e., including the specification, claims, and any drawing of the application, or that portion of the application which caused it to be listed, including any claims directed to that portion), except for such applications filed on or after June 30, 2003, pursuant to the Waiver of the Copy Requirement in 37 C.F.R. 1.98 (OG Notice dated October 19, 2004); and (iv) all other information or that portion which caused it to be listed.

Certificate of Transmission

I hereby certify that this correspondence is being electronically deposited via EFS-WEB with the United States Patent Office on November 16, 2009.

Dawn M. Carlton

) auch III

· Carlton

Serial No. 11/602,461

Page 1 of 6

	B. Any patents, publications or other infor 1449 or on the copies of PTO-892, but which previously cited by or submitted to the PTO in which has been relied upon for an earlier filing of	are not enclosed herewith, were one of the following applications
	U.S. Serial Number	U.S. Filing Date
III).	C. This is a PCT application in the entry of States. A copy of the International Search Repinformation. The documents listed on the International Search Repinformation. The documents listed on the International Search and International Search and International Search and International Search and International Search Repinformation Search and International Search Repinformation Search Search and International Search Repinformation Search	port is attached for the Examiner's ernational Search report are listed by the Examiner and for listing on the International Search report was copies of these references should the trilateral agreement and are application. (MPEP 1893.03(g).)
	A.  Except as may be indicated below in (B) other information are in the English language (c	
	B. A concise explanation of the relevance of information listed that is not in the English lang § 1.98(a)(3)):	of each patent, publication or other guage is as follows (see 37 C.F.R.
	<ol> <li>See the attached foreign pater counterpart foreign application:</li> </ol>	nt office communication from a
	2. English translations are provided:	
	3. Other:	
	C.  The following additional information consideration.	is provided for the Examiner's

Serial No. 11/602,461

16

IV.	CROS	S REFERENCE TO R	ELATED APPLICATION(	<u>(S)</u>
	contain	n(s) subject matter thing this(these) applicati	at may be related to the	co-pending application(s) the present application. By attention, Applicant(s) does C. § 122.
	3	Serial No.	Filing Date	Art Unit
V.	THIS I	DS IS BEING FILED L	INDER	
	A. 🛛 🕻	37 C.F.R. § 1.97(b): (c	heck only one box)	
		than a continued pro		a national application other der § 1.53(d) (37 C.F.R. §
			nternational application (	of the national stage as set 37 C.F.R. § 1.97(b)(2)). No
		1.97(b)(3)). No fee of Office Action on the under 37 C.F.R. § 1.1.97(e) below; or, if r	or certification is required merits has been issued .97(c) and see the certino certification has been	on the merits (37 C.F.R. § d. In the event that a first , please consider this IDS fication under 37 C.F.R. § made, charge our deposit uired by 37 C.F.R. § 1.17(p).
				after the filing of a request .114. No fee or certification
	В. 🗌 3	87 C.F.R. § 1.97(c): (cl	heck only one box)	
			owance under 37 C.F.R.	ce Action under 37 C.F.R. § . § 1.311, or an action that
		1. No certification; by 37 C.F.R. § 1.17(p)		nount of \$180.00 is required
		2. See the certificat	tion below. No fee is req	uired.

Serial No. 11/602,461

	C. 37 C.F.R. § 1.97(d):
	after the mailing date of either a Final Office Action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, yet on or before payment of the issue fee.
	1.  See the certification below. A fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).
Ί.	CERTIFICATION UNDER 37 C.F.R. § 1.97(e): (check only one box)
	The undersigned hereby certifies that:
	A.   each item of information contained in this IDS was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(1)).   See further statement under 37 C.F.R. 1.704(d) below in section VII, if applicable; or
	B.  no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(2)).
	C. some of the items of information were first cited in a communication from a foreign patent office. As to this information, the undersigned hereby certifies that each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS.
11.	STATEMENT UNDER 37 C.F.R. 1.704(d)
	The undersigned hereby states that:
	☐ each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than

Serial No. 11/602,461

thirty days prior to the filing of this IDS. A copy of said PCT Search Report is attached.

#### VIII. PAYMENT OF FEES (check only one box)

A. A check in the amount of \$180.00 is enclosed for the above identified fee.

B. Please charge Deposit Account No. 162201 in the amount of \$180.00 for the above-indicated fee. A duplicate copy of this paper is attached.

The above references are being cited only in the interest of candor and without any admission that they constitute statutory prior art, contain matter which anticipates the invention, or which would render the same obvious, either singly or in combination, to a person of ordinary skill in the art. Furthermore, this Information Disclosure Statement shall not be construed as a representation that a search has been made.

If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 162201.

Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. § 1.16 or § 1.17 to Deposit Account No. 162201.

Respectfully submitted,

Dated: November 16, 2009

By: /David L. Howard/

David L. Howard, Reg. No. 41,502

Customer No.: 01688

Polster Lieder Woodruff & Lucchesi L.C.

12412 Powerscourt Drive, Suite 200

St. Louis, MO 63131-3615 Tel. No.: (314) 238-2460

Fax No.: (314) 238-2401

Serial No. 11/602,461

141/180

Electronic Acknowledgement Receipt					
EFS ID:	6462995				
Application Number:	11602461				
International Application Number:					
Confirmation Number:	7371				
Title of Invention:	Message broadcasting admission control system and method				
First Named Inventor/Applicant Name:	Douglas Weiser				
Customer Number:	01688				
Filer:	David L. Howard				
Filer Authorized By:					
Attorney Docket Number:	ENIT 9835U1				
Receipt Date:	16-NOV-2009				
Filing Date:	20-NOV-2006				
Time Stamp:	17:37:05				
Application Type:	Utility under 35 USC 111(a)				

### Payment information:

Submitted wit	th Payment	no	no							
File Listin	g:									
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)					
1	Information Disclosure Statement (IDS)	ENIT_9835U1_4th_suppl_IDS	228737	no	6					
	Filed (SB/08)	pdf	212b1eace0a2242072e1495f4c513e07000 498e3							
Warnings:			•							
Information:										

	1000 M	ENIT 0025111 ED1022140 NDI	330511		
2	NPL Documents	ENIT_9835U1_EP1032148_NPL _1.pdf	06d48ef6727f47d487e26c45175d856551e cef72	no	6
Warnings:		*	1		
nformation:		9	×	900	
3	NPL Documents	ENIT_9835U1_Chinese_search_	56909	no	1
	Nr E Documents	report_NPL_2.pdf	cfe396cc38e505d199890fe7c847b7733592 b3c1	110	3.
		:.			
Warnings:					
Warnings: Information:					

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

FORM PTO-1449 OF COMMERCE, PATENT AN		ATTY. DOCKET SERIAL NO.: NO.: ENIT 9835U1 11/602,461					
INFORMATION D STATEMENT BY		APPLICANT: Weiser, et al.					
(Use several sheet	s if necessary)	Filing Date: 11/20/2006	Group: 2612				

#### U.S. PATENT DOCUMENTS

Examiner Initial	Document Number								Kind Code (click here)	Patent Issue Date OR US App. Pub. Date OR US Non-Published Application Filing Date	Name
							+				

#### FOREIGN PATENT DOCUMENTS

Examiner														
Initial	Docui	ment	Nu	mbe	er			Date	Country	Yes	No			
	1	0	3	2	1	4	8	August 30, 2000	Europe	X				
	-	+	-	-	-	-	$\vdash$							
	+	+-	-	-	-	$\vdash$	$\vdash$			_				
	+	+	-	$\vdash$	-	$\vdash$	$\vdash$							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Chinese search report, date of receipt November 9, 2009

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449)

Serial No. 11/602,461

Issue Classification	Application/Control No. 11602461	Applicant(s)/Patent Under Reexamination WEISER ET AL.
	Examiner  Moustafa M Meky	Art Unit 2457

		ORIG	SINAL							INTERNATIONAL	CLA	SS	FIC	ATI	ON
	CLASS	í.		SUBCLASS					С	LAIMED			N	ON-	CLAIMED
709	709 203					G	0	6	F	15 / 16 (2006.0)					
CROSS REFERENCE(S)															
CLASS	SUBCLASS (ONE SUBCLASS PER BLOCK)			CK)	T										
709	217	227													
455	404.1														
										2					
	1														
											_				
						_			,	3					
				1											

$\boxtimes$	Claims re	numbere	ed in the sa	ame orde	er as prese	nted by	applicant		CP	A [	T.D.	☐ R.1.47				
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	
	1		17		33		49									
	2		18		34		50									
	3		19		35		51									
	4		20		36		52									
	5		21		37	-	53			f						
	6		22		38		54									
	7		23		39		55									
	8		24		40		56								Ĺ	
	9		25		41		57									
	10		26		42	15	58						1			
	11		27		43		59								2	
	12		28		44										1	
	13		29		45											
	14		30		46											
	15		31		47											
	16		32		48							ž.				

NONE	ĬE			
(Assistant Examiner)	(Date)	9		
/Moustafa M Meky/ Primary Examiner.Art Unit 2457	12/07/2009	O.G. Print Claim(s)	O.G. Print Figure	
(Primary Examiner)	(Date)	1	1	

U.S. Patent and Trademark Office
Part of Paper No. 20091207

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	11602461	WEISER ET AL.
	Examiner	Art Unit
	Moustafa M Meky	2457

<b>✓</b>	Rejected		Cancelled	N	Non-Elected	Α	Appeal
	Allowed	÷	Restricted	1	Interference	0	Objected

CL	MIA			DATE			
Final	Original	12/07/2009				1	1
i iiidi	1	=	<del>-  </del>			+	+
	2	=					_
	3	=				+	+
	4	=				+	+
	5	=				+	+
	6	=				+	+
	7	=				+	+
	8	=				+	+
	9	=				1	
	10					+	-
	11	=					
	12	=				+	-
	13					+	+
	14	=				+	+
	15			, ,		+	+-
	16					+	+
	17	=				+	+
	18	=				+	+
	19	=		-		+	+
	20	=				+	+
	21	=				+	+
	22	=		-		+	+
	23	=				+	+-
	24			22		+	+-
	25	=				+	+
	26					+	+-
	27	=		2 3		1	+
	28					+	+-
	28	=					+
	30	=				+	+
	31					-	-
	32					+	+-
	33	=					-
	12.27	<del>                                     </del>					-
	34	=				+	+-
	35 36	=					-

U.S. Patent and Trademark Office

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	11602461	WEISER ET AL.
	Examiner	Art Unit
	Moustafa M Meky	2457

			4		<u></u>		
	Rejected -		Cancelled	N	Non-Elected	A	Appeal
= Allowed ÷		Restricted	Restricted		0	Objected	
] Clair	ns renumbered	in the same or	der as presented by ap	plicant	□ СРА	□ T.D.	☐ R.1.47
С	LAIM				DATE		
Final	Original	12/07/2009		ĺ			
	37	=					
	38	=:					
	39	=					
	40	=					
	41	=:					
	42	=					
	43	=					
	44	=:					
	45	=					
	46						
	47	=:					
	48	=					

49 50

52

53

55

57

58

=

=

=

=

=

AUG 2 1 2007



PATENT

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ICANT: Douglas Weiser, et al

GROUP: 2642 2457

ÉRIAL NO.: 11/602,461

EXAMINER: TBD Meky

FILED: November 20, 2006

DOCKET NO.: ENIT 9835U1

FOR: MESSAGE BROADCASTING ADMISSION CONTROL SYSTEM AND

**METHOD** 

St. Louis, Missouri August 17, 2007

Mail Stop Amendment Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

#### THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT **PURSUANT TO §1.97 AND § 1.704**

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.704, Applicants hereby submit this Supplemental Information Disclosure Statement for consideration by the Examiner.

#### 1. LIST OF PATENTS, PUBLICATIONS AND OTHER INFORMATION (CERTIFICATION UNDER 37 C.F.R § 1.97(e))

The information, patents and/or literature references listed on the attached form PTO-1449 were first cited in a communication of the present Information Disclosure Statement before the mailing of a first Office Action on the mertis (37 C.F.R. §1.97(b) (3)). No fee or certification is required.

> Page 1 of 3 **Certificate of Mailing**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Mail Stop IDS, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on August 17, 2007.

David L. Howard, Reg. No. 41,502/

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /M.M./

148/180

**DOJ EX. 1006** 

Copies of any non-US patents or publications is submitted herewith.

The Commissioner is hereby authorized to charge any additional fees or credit overpayment under 37 CFR 1.16 and 1.17 which may be required by this paper to Deposit Account 162201.

Respectfully submitted,

Date: August 17, 2007

David L. Howard, Reg. No. 41,502

Polster, Lieder, Woodruff & Lucchesi, L.C.

Customer Number: 001688 12412 Powerscourt Drive St. Louis, Missouri 63131-3615

Telephone: (314) 238-2400 Facsimile: (314) 238-2401

Sheet 1 of 1

FORM PTO-1449
U.S. DEPARTMENT
OF COMMERCE, PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.: ENIT 9835U1 SERIAL NO.: 11/602,461

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APPLICANT: Weiser, et al.

STATEMENT BY AFFEICANT

Filing Date: 11/20/2006

Group: 2612 2457

(Use several sheets if necessary)

#### U.S. PATENT DOCUMENTS

Examiner Initial	Document Number						er				Kind Code (click here)	Patent Issue Date OR US App. Pub. Date OR US Non-Published Application Filing Date	Name		
	US	6	0	8	4	5	1	0						Jul. 4, 2000	Lemelson, et al.
	US	6	1	6	9	4	7	6			-			Jan. 2, 2001	Flanagan
	US	6	2	1	9	6	9	6						Apr. 17, 2001	Wynblatt, et al.
	US	6	2	4	0	3	6	0						May 29, 2001	Phelan
	US	2	0	0	2	0	1	0	7	0	1	6		Aug. 8, 2002	Hanley

#### **FOREIGN PATENT DOCUMENTS**

Examiner				Translation							
Initial	Document Number							Date	Country	Yes	No
	05	0	7	9	4	2	1	Feb. 14, 2005	WO	X	
	96	0	5	6	7	8		Aug. 10, 1995	WO	X	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

BRITISH PARLIAMENT DEBATES CELLALERT SERVICE FOR THE UK, MAY 13, 2003, MAY BE SEEN AT <a href="https://www.ceasa.us/news.htm">www.ceasa.us/news.htm</a>
Canadians want Emergency-Location Services, by Dave Ebner, April 11, 2003, may be seen at <a href="https://www.ceasa.us/news.htm">www.ceasa.us/news.htm</a>
Cellular Emergency Alert Services Association, February 21, 2003, CellAlert Services Corporate Structure, may be seen at <a href="https://www.ceasa.us/news.htm">www.ceasa.us/news.htm</a>
News From Senator John Edwards, North Carolina, April 3, 2003, Senate Okays Edwards Emergency Warning Bill, may be seen at <a href="https://www.ceasa.us/news.htm">www.ceasa.us/news.htm</a>
RCR, CELL-BROADCAST SERVICE GETS SECOND LOOK, JEFFREY SILVA, NOVEMBER 10, 2003, MAY BE SEEN AT <a href="https://www.ceasa.us/news.htm">www.ceasa.us/news.htm</a>
Recommendation 12 (WTDC-02), The World Telecommunication development Conference (Istanbul 2002)
Text message warn of 'killer flu' hot spots, April 20, 2003, may be seen at www.ceasa.us/news.htm
The Cellular-Emergency Alert Services Association Policy Statement, August 5, 2002, may be seen at <a href="https://www.ceasa.us/news.htm">www.ceasa.us/news.htm</a>
THE WALL STREET JOURNAL ONLINE, LOCAL GOVERNMENTS LOOK TO CELLPHONE-BASED ALERTS, CARL BIALIK, JUNE 12, 2003, MAY BE SEEN AT www.ceasa.us/news.htm

EXAMINER	/Moustafa Meky/	DATE CONSIDERED	12/03/2009

Page 3 of 4
ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /M.M./

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449)

#### Applicant(s)/Patent Under Application/Control No. Reexamination 11/602,461 WEISER ET AL. Notice of References Cited Art Unit Examiner Page 1 of 1 Moustafa M. Meky 2457 **U.S. PATENT DOCUMENTS** Document Number Date Name Classification Country Code-Number-Kind Code MM-YYYY US-7,039,386 05-2006 Kolsrud, Arild 455/404.1 US-6,278,375 08-2001 Hucker, Wade A. 340/601 В US-7,130,610 10-2006 Dolezal et al. 455/404.1 C D US-7,180,415 02-2007 Bankert et al. 340/539.17 US-2009/0295565 12-2009 Oh et al. 340/539.11 E \* Spector, Shelley J. US-2007/0232261 10-2007 455/404.2 F US-2006/0058005 03-2006 Dolezal et al. 455/404.1 G \* US-2005/0242944 11-2005 340/531 Bankert et al. Н US-2004/0203562 10-2004 Kolsrud, Arild 455/404.1 US-J K US-US-US-M FOREIGN PATENT DOCUMENTS Document Number Date Name Classification Country Country Code-Number-Kind Code MM-YYYY N 0 P Q R S T NON-PATENT DOCUMENTS Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) 11

"A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)

Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

Notice of References Cited

Part of Paper No. 20091207



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

#### NOTICE OF ALLOWANCE AND FEE(S) DUE

1688

7590

01/25/2010

POLSTER, LIEDER, WOODRUFF & LUCCHESI 12412 POWERSCOURT DRIVE SUITE 200 ST. LOUIS, MO 63131-3615 EXAMINER

MEKY, MOUSTAFA M

ART UNIT PAPER NUMBER

2457

DATE MAILED: 01/25/2010

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
11/602,461	11/20/2006	Douglas Weiser	ENIT 9835U1	7371	

TITLE OF INVENTION: MESSAGE BROADCASTING ADMISSION CONTROL SYSTEM AND METHOD

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$755	\$300	\$0	\$1055	04/26/2010

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

Page 1 of 3

PTOL-85 (Rev. 08/07) Approved for use through 08/31/2010.

153/180 DOJ EX. 1006

#### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as

indicated unless correcte maintenance fee notificat		nerwise in Block 1, by (a	a) specifying a new corr	espondence address;	and/or (b) inc	dicating a sepa	rate "FEE ADDRESS" for		
CURRENT CORRESPONDE		ock 1 for any change of address)	Fe	e(s) Transmittal. Thi	is certificate ca	nnot be used for	r domestic mailings of the or any other accompanying nt or formal drawing, must		
	EDER, WOODRU COURT DRIVE S	JFF & LUCCHES UITE 200	I II St ad tra	Certificate of Mailing or Transmission  I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.					
			T				(Depositor's name)		
							(Signature)		
							(Date)		
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTO	R	ATTORNEY D	OCKET NO.	CONFIRMATION NO.		
11/602,461	11/602,461 11/20/2006				ENIT 9	835U1	7371		
TITLE OF INVENTION:	: MESSAGE BROADC.	ASTING ADMISSION C	ONTROL SYSTEM AN	D METHOD	274.0				
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUI	E FEE TOTA	AL FEE(S) DUE	DATE DUE		
nonprovisional	YES	\$755	\$300	\$0		\$1055	04/26/2010		
EXAM	INER	ART UNIT	CLASS-SUBCLASS	7					
MEKY, MOU	USTAFA M	2457	709-203000	_					
"Fee Address" indi PTO/SB/47; Rev 03-0 Number is required.	ondence address (or Cha 1/122) attached. cation (or "Fee Address 2 or more recent) attach	inge of Correspondence	2. For printing on the patent front page, list  (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.						
(A) NAME OF ASSIC	GNEE	ified below, no assignee pletion of this form is NO	(B) RESIDENCE: (CIT	Y and STATE OR C	COUNTRY)		ocument has been filed for our pentity    Government		
4a. The following fee(s) a  Issue Fee Publication Fee (N Advance Order - #	o small entity discount p		b. Payment of Fee(s): (Plot) A check is enclosed. Payment by credit compared in the Director is hereloverpayment, to Dep	ard. Form PTO-2038 by authorized to char	is attached.	l fee(s), any de			
<ol> <li>Change in Entity Stat   a. Applicant claims  </li> </ol>	us (from status indicated s SMALL ENTITY statu	245 CS 5/5/5/1 PTA	☐ b. Applicant is no lo	nger claiming SMAI	LL ENTITY sta	atus. See 37 CI	FR 1.27(g)(2).		
NOTE: The Issue Fee and interest as shown by the r	d Publication Fee (if requeecords of the United Sta	uired) will not be accepte tes Patent and Trademark	d from anyone other than Office.	the applicant; a regi	stered attorney	or agent; or th	e assignee or other party in		
Authorized Signature				Date					
Typed or printed name				Registration N	No				
Alexandria, Virginia 223	13-1450.	FR 1.311. The informatic U.S.C. 122 and 37 CFR USPTO. Time will vary den, should be sent to the ONOT SEND FEES OR of persons are required to re-					I by the USPTO to process) g gathering, preparing, and ne you require to complete artment of Commerce, P.O. for Patents, P.O. Box 1450, number.		

PTOL-85 (Rev. 08/07) Approved for use through 08/31/2010.

OMB 0651-0033

 $U.S.\ Patent\ and\ Trademark\ Office; U.S.\ DEPARTMENT\ OF\ COMMERCE$ 



#### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viginia 2231.3-1450

APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 11/20/2006 ENIT 9835U1 11/602,461 Douglas Weiser 7371 EXAMINER 1688 7590 01/25/2010 MEKY, MOUSTAFA M POLSTER, LIEDER, WOODRUFF & LUCCHESI 12412 POWERSCOURT DRIVE SUITE 200 ART UNIT PAPER NUMBER ST. LOUIS, MO 63131-3615 2457 DATE MAILED: 01/25/2010

#### Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 736 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 736 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	Government Process								
	Application No.	Applicant(s)							
Nation of Allamability	11/602,461	WEISER ET AL.							
Notice of Allowability	Examiner	Art Unit							
	Moustafa M. Meky	2457							
The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI	(OR REMAINS) CLOSED in this app or other appropriate communication GHTS. This application is subject to	olication. If not included will be mailed in due course. THIS							
1. This communication is responsive to <u>11/20/2006</u> .									
2. The allowed claim(s) is/are <u>1-59</u> .									
<ul> <li>3. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some* c) None of the:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No.</li> <li>3. Copies of the certified copies of the priority documents have been received in this national stage application from the</li> </ul>									
International Bureau (PCT Rule 17.2(a)).									
* Certified copies not received:									
Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.  THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.									
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give		5일 생물이 10명 전 10명 전 10명 전 10명 전 10명 전 10명 (All March 1 Charles) 등에 대한 40명 (All March 1							
<ul> <li>5.  CORRECTED DRAWINGS ( as "replacement sheets") must be submitted. <ul> <li>(a)  hereto or 2)  to Paper No./Mail Date</li> <li>(b)  hereto or 2)  to Paper No./Mail Date</li> <li>Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).</li> </ul> </li> <li>6.  DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.</li> </ul>									
Attachment(s)  1. ☑ Notice of References Cited (PTO-892)  2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)  3. ☑ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 11/20/06, 7/9/07, 7/30/07, 8/21/07, 11  4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material  /Moustafa M Meky/ Primary Examiner, Art Unit 2457		(PTO-413), e							

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-06)

Notice of Allowability

Part of Paper No./Mail Date 20091207

Application/Control Number: 11/602,461 Page 2

Art Unit: 2457

#### **REASONS FOR ALLOWANCE**

 The following is an examiner's statement of reasons for allowance: None of the prior art of record taken singularly or in combination teaches or suggests

- receiving a broadcast message record having a broadcast message, a defined broadcast target area, and a broadcast message originator identifier, validating the record as a function of one or more of the broadcast message originator identifier, the broadcast target area, and a broadcast message transmission network parameter associated with a broadcast transmission network configured for broadcasting the message to a least a portion of the broadcast target area, generating a validated broadcast message record as a function of the validating, and distributing the broadcast message to at least a portion of the target area (claims 1 & 23); and
- receiving a broadcast message record including a broadcast message and a
  broadcast target area for the message, validating the authority of a broadcast
  message originator originating the record as a function of a broadcast
  message originator parameter, validating a broadcast transmission network
  against the broadcast transmission parameter, and transmitting the broadcast
  message to receiving devices within the broadcast target area (claim 42).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Moustafa M. Meky whose telephone number is 571-272-4005. The examiner can normally be reached on flex.

157/180 DOJ EX. 1006

Application/Control Number: 11/602,461 Page 3

Art Unit: 2457

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ario Etienne can be reached on 571-272-4001. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Moustafa M Meky/ Primary Examiner, Art Unit 2457

12/7/2009

158/180 DOJ EX. 1006



### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viginia 22313-1450 www.uspto.gov

#### **BIB DATA SHEET**

#### **CONFIRMATION NO. 7371**

SERIAL NUM	IBER	FILING or			CLASS	GRO	OUP ART	UNIT	ATTO	RNEY DOCKET	
11/602,46	81	11/20/2	270		340		2457		E	NU. NIT 9835U1	
		RULI	E								
Mark And	Weiser, drew Wo	Port Richey, ood, Hasleme eston, Gwent	re, UNITE								
** <b>CONTINUING DATA</b> ******************************* This appln claims benefit of 60/739,819 11/23/2005											
** FOREIGN A	PPLICA	ATIONS *****	*******	*****	*						
** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** ** SMALL ENTITY ** 12/12/2006											
Foreign Priority claim		Yes No	☐ Met af	ter	STATE OR	Salara Cara	EETS	TOTA		INDEPENDENT	
Verified and	MEKY/			ter ince	FL	DRA	DRAWINGS 15		WIS	CLAIMS 3	
ADDRESS	LXdiffiller 5	oignature	Initials		Į.						
12412 PC	OWERS	ER, WOODR COURT DRI 63131-3615 S			ESI						
TITLE											
Message	broadc	asting admiss	sion contro	ol syste	em and method						
							☐ All Fe	es			
							□ 1.16 F	ees (Fil	ing)		
LILING FEE		Authority has			aper EPOSIT ACCOUI	<sub>NT</sub>	□ 1.17 F	ees (Pr	ocessi	ng Ext. of time)	
		to	_		_1 0011 7100001		☐ 1.18 F	ees (lss	sue)		
100 404400000000							Other				
							☐ Credit				

BIB (Rev. 05/07).

# FORM HDP-1449 (Based on Form PTO-1449) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 1 of 4

ATTORNEY DOCKET No.	SERIAL NO.
7362-000034/US	Ngn Filing . 461
APPLICANT	
Douglas Weiser et al.	
FILING DATE	GROUP

Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclas	(If appropriate) Filing Date
1.		4,415,771	11/15/1983	Martinez		
2.	581565	5,278,539	01/11/1994	Lauterbach et al.		
3.		5,592,172	01/07/1997	Bailey et al.		
4.		6,021,177	02/01/2000	Allport		
5.		6,112,075	08/29/2000	Weiser		-
6.	1 10 10 11 13	6,346,890	02/12/2002	Bellin		
7.	LIVE	6,463,273	10/08/2002	Day		
8.	3	6,480,578	11/12/2002	Allport		
9.	V-97	6,490,525	12/03/2002	Baron, Sr. et al.		
10		6,493,633	12/10/2002	Baron, Sr. et al.		
11		6,580,916	06/17/2003	Weisshaar et al.		
12		6,650,902	11/18/2003	Richton		
13		6, 683,526	01/27/2004	Bellin		
14		6,745,021	06/02/2004	Stevens	2.	
15		6,753,784	06/22/2004	Sznaidder et al.		171
16		6,785,551	08/31/2004	Richard		
17		6,867,688	03/15/2005	Lamb		
18		6,882,837	04/19/2005	Fernandez et al.		
19		6,947,754	09/20/2005	Ogasawara		
20		2004/0152493	08/05/2004	Phillips et al.		
21		2005/0030977	02/10/2005	Casey et al.		

Examiner:	/Moustafa Meky/	Date Considered:	12/03/2009	

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

## FORM HDP-1449 (Based on Form PTO-1449) Fourth PATENT AND TRADEMARK OFFICE

## PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 2 of 4

ATTORNEY DOCKET No.	SERIAL NO.
7362-000034/US	NEW 7869, 461
APPLICANT	
Douglas Weiser et al.	
FILING DATE	GROUP
November 20, 2006	TBA 2457

Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclas	(If appropriate) Filing Date
22		2005/0096065	05/05/2005	Fleischman	8	
23		2003/0145064	07/31/2003	Hsu et al.		
24	707 - 91	2004/0247086	12/09/2004	Menard et al.		
25		2002/0124252	09/05/2002	Schafer et al.		9
26	AND THE COLUMN TO THE COLUMN T	2003/0026240	02/06/2003	Eyuboglu		
27		2005/0162267	07/28/2005	Khandelwal et al.		W5.11 p=10-8815
28		2004/0150518	08/05/2004	Phillips et al.		
29		2003/0134622	07/17/2003	Hsu et al.		
30		2003/0134651	07/17/2003	Hsu		

FOREIGN PATENT DOCUMENTS							
Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translati Yes	on No
1.							

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)				
Ref. Desig.	Examiner's Initials			
1.		CSEC "Wireless Implementation & Maintenance, Service Notification & Testing Notification Policies and Procedures", 19 April 2002, <a href="http://www.911.state.tx.us/files/pdfs/resources/call_take.xls">http://www.911.state.tx.us/files/pdfs/resources/call_take.xls</a>		

Examiner:	/Moustafa Meky/	Date Considered:	12/03/2009	
		The second secon		_

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /M.M./

161/180 DOJ EX. 1006

## FORM HDP-1449 (Based on Form PTO-1449) Fourth

## PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 3 of 4

ATTORNEY DOCKET No.	SERIAL NO.	
7362-000034/US	N917802,461	
APPLICANT		
Douglas Weiser et al.		
FILING DATE	GROUP	
November 20, 2006	TBA 2457	

Ref. Desig.	Examiner's Initials	
2.		International Telecommunication Union, "Cell Broadcast Broker System" M. Wood, Cell Alert Services Corp., 18 Feb 2003, <a href="http://www.itu.int/itudoc/itu-t/workshop/ets/isd3-001.htm">http://www.itu.int/itudoc/itu-t/workshop/ets/isd3-001.htm</a>
3.		3 <sup>rd</sup> Generation Partnership Project TS 23.246, Release 6, August 2004
4.		TeliaSonera, "Mobile Broadcast/Multicast Service (MBMS)", MediaLab, August 2004. www.medialab.sonera.fi
5.		Messaging Network, Bercut Limited, "Broadcast for the Masses", Sergey Ermilov, 2 March 2005, <a href="http://www.bercut.biz">http://www.bercut.biz</a>
6.		Bharat Sanchar Nigam Limited, "Value Added Services in GSM", Engineering Instruction, 04 May 2005; http://www.bsnl.co.in/service/mobile_voice_based_service.htm
7.		CEASA International, "Public Warnings via Cell Broadcast", Wood and Weiser, 24 May 2005, http://www.ceasa-int.org
8.		Stanford University, EE179 "Introduction To Communications, Professor Andrea Goldsmith, Winter 2005, <a href="http://www.Stanford.Edu/Class/Ee179/">http://www.Stanford.Edu/Class/Ee179/</a>
9.		Engadget, "Dutch Testing Geo-Targeted SMS Emergency Broadcast System", Ricker, 08 October 2005, <a href="http://www.engadget.com/entry/1234000590062252">http://www.engadget.com/entry/1234000590062252</a>
10.		Global Amateur Radio Emergency communications conference, Tampere, Finland, 13-14 June 2005, "What is 'Cell Broadcasting' and Why do I care, as a HAM?", Wood, www.rientola.fi/oh3ag/garec/documents/Cellalert.ppt
11.		OCG-EMTEL Archives, "Cell Broadcast Public Demonstration in USA Successful", Wood, 19 September 2004, http://list.etsi.fr/scripts/wa.exe?A2=ind0409&L=ocg_emtel&T=0&F=&S=&P=693
12.		MobileIN.com Perspective, "History and Importance of Cell Broadcast", Wood, September 2005, http://www.mobilein.com/Perspectives/Authors/CB_HistoryImportance.htm
13.		MobileIN.com Perspective, "Cell Broadcasting Technical Primer", Wood, September 2005, http://www.mobilein.com/Perspectives/Authors/CB_Primer.htm
14.		CEASA, "Cell Alert System Via Call Broadcast, Wood, September 2005, www.eglobalconf.net/speeches/MarkWood.ppt
15.		CEASA International, "Welcome to CEASa USA", Ceasa Admin, 12 June 2004, http://ceasa-international.com/usa/index2.php?option=comcontent&task=view&id=1&lte
16.		CEASA, "Welcome to CEASa USA, Ceasa Admin, 12 June 2004, http://ceasa-international.com/usa/index2.php?option=comcontent&task=view&id=1&lte

	The second secon	- 1949-1950 COS	The same of the sa	
Examiner:	/Moustafa Meky/	Date Considered:	12/03/2009	

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /M.M./

162/180

**DOJ EX. 1006** 

# FORM HDP-1449 (Based on Form PTO-1449) Fourth

# PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 4 of 4

ATTORNEY DOCKET No.	SERIAL NO.
7362-000034/US	New Filiag 461
APPLICANT	
Douglas Weiser et al.	195
FILING DATE	GROUP
November 20, 2006	TBA 2457

Ref. Desig.	Examiner's Initials	÷.
17.		CEASA, "Text Message broadcasts could provide disaster Alerts" 28 July 2005, http://ceasa-international.com/usa/index2.php?option=comcontent&task=view&id=1&lte
18.		CEASA; News and Events, "News", 14 January 2005-June 2005, <a href="http://www.ceasa-international.org/news.html">http://www.ceasa-international.org/news.html</a>
19.	2 19 1	SMS News, "Text Message Broadcasts Could Provide Disaster Alerts", 07 January 2005, http://www.sendsmsnow.com/newspage.php?id=44
20,		Newscientist.Com, "Tex Message Broadcasts Could Provide Disaster Alerts", 06 January 2005, http://www.newscientist.com/article.ns?id=dn6852
21.		Deaf Today, "Disability Group Backs Cingular/AWS Merger, Silva, 04 June, 2004, http://www.deaftoday.com/newws/archives/004848.html
22.		Canadian Contract No. 5007441, 23 July 2003; http://72.14.203.104/search?q=cache:piSqEswBv74J:strategis.ic.gc.ca/epic/internet/inet-td

Examiner:	/Moustafa Meky/	Date Considered:	12/03/2009	

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /M.M./

### WEST Search History for Application 11602461

Creation Date: 2009120612:34

#### **Prior Art Searches**

Query	DB	Op.	Plur.	Thes.	Date
broadcast\$4 with (emergenc\$5 or danger or hazard or flood\$4 or disaster or disastrous)	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	ADJ	YES		12-03-2009
(broadcast\$4 with (emergenc\$5 or danger or hazard or flood\$4 or disaster or disastrous) ) same (area or geographic\$4 or territor\$4)	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	ADJ	YES		12-03-2009
(broadcast\$4 with (emergenc\$5 or danger or hazard or flood\$4 or disaster or disastrous) same (area or geographic\$4 or territor\$4) ) same message	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	ADJ	YES		12-03-2009
(broadcast\$4 with (emergenc\$5 or danger or hazard or flood\$4 or disaster or disastrous) same (area or geographic\$4 or territor\$4) same message ) same (warn\$4 or alert\$4)	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	ADJ	YES		12-03-2009
message near4 (broadcast\$4 or send\$4 or sent or receiv\$4 or distribut\$\$ or transmi\$5)	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	ADJ	YES		12-03-2009
message near4 (broadcast\$4 or send\$4 or sent or receiv\$4 or distribut\$\$ or transmi\$5)	PGPB, USPT, USOC,	ADJ	YES		12-03-2009

WEST Search History for Application 11602461

	EPAB, JPAB, DWPI, TDBD			
message near4 (broadcast\$4 or send\$4 or sent or receiv\$4 or distribut\$4 or transmi\$5)	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	ADJ	YES	12-03-2009
(broadcast\$4 with (emergenc\$5 or danger or hazard or flood\$4 or disaster or disastrous) same (area or geographic\$4 or territor\$4)) same (message near4 (broadcast\$4 or send\$4 or sent or receiv\$4 or distribut\$4 or transmi\$5))	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	ADJ	YES	12-03-2009
(broadcast\$4 with (emergenc\$5 or danger or hazard or flood\$4 or disaster or disastrous) same (area or geographic\$4 or territor\$4) same message near4 (broadcast\$4 or send\$4 or sent or receiv\$4 or distribut\$4 or transmi\$5) ) same (warn\$4 or alert\$4)	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	ADJ	YES	12-03-2009
(broadcast\$4 with (emergenc\$5 or danger or hazard or flood\$4 or disaster or disastrous) same (area or geographic\$4 or territor\$4) same message near4 (broadcast\$4 or send\$4 or sent or receiv\$4 or distribut\$4 or transmi\$5) same (warn\$4 or alert\$4)  ) same (public\$4 or valid\$5 or authority)	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	ADJ	YES	12-03-2009
broadcast\$4 adj1 message	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	ADJ	YES	12-06-2009
(broadcast\$4 adj1 message ) near5 (validat\$4 or authority)	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	ADJ	YES	12-06-2009

Prior Art Searches 2

165/180 DOJ EX. 1006

(broadcast\$4 adj1 message near5 (validat\$4 or authority) ) with (area or target or territory)	PGPB, ADJ USPT, USOC, EPAB, JPAB, DWPI, TDBD	YES	12-06-2009
---	--	-----	------------

Prior Art Searches 3

166/180 DOJ EX. 1006

PATENT

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Douglas Weiser, et al

GROUP: 2012 2457 EXAMINER: TOD Meky

SERIAL NO.: 11/602,461

FILED: November 20, 2006

DOCKET NO.: ENIT 9835U1

FOR: MESSAGE BROADCASTING ADMISSION CONTROL SYSTEM AND

**METHOD** 

St. Louis, Missouri July 5, 2007

Mail Stop Amendment Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

#### FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT **PURSUANT TO §1.97 AND § 1.98**

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1,97 and 1,98, Applicants hereby submit this Supplemental Information Disclosure Statement for consideration by the Examiner.

#### 1. LIST OF PATENTS, PUBLICATIONS AND OTHER INFORMATION

The information, patents and/or literature references listed on the attached form PTO-1449 were first cited in a communication from a foreign patent office in a

> Page 1 of 3 **Certificate of Mailing**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Mail Stop IDS, Commissioner for Patents, RO Box 1450, Alexandria/ VA 223/13-1450 on July 5, 2007.

David L. Howard, Reg. No. 41,502

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /M.M./

167/180

3

counterpart foreign application not more than three months prior to the filing of the

present Information Disclosure Statement. Therefore, no fee is due

Copies of any non-US patents or publications is submitted herewith.

2. CROSS REFERENCE TO RELATED APPLICATIONS

The examiner is advised that the following co-pending applications contain

subject matter that may be related to the present application. By bringing these

applications to the Examiner's attention, Applicants do not waive the confidentiality

provisions of 35 U.S.C. §122

Co-pending U.S. Patent Applications

1. Serial No. 11/602,458 filed November 20, 2006 (Attorney DN ENIT 9839U1);

2. Serial No. 11/605,207 filed November 28, 2006, (Attorney DN ENIT 9838U1);

Serial No. 11/602,462 filed November 20, 2006 (Attorney DN ENIT 9837U1); and

4. Serial No. 11/057,704 filed February 14, 2005 (Attorney DN ENIT 9834U1).

The Commissioner is hereby authorized to charge any additional fees or credit

overpayment under 37 CFR 1.16 and 1.17 which may be required by this paper to

Deposit Account 162201.

Date: July 5, 2007

Respectfully submitted.

David L. Howard, Reg. No. 41,502

Polster, Lieder, Woodruff & Lucchesi, L.C.

Customer Number: 001688

12412 Powerscourt Drive

St. Louis, Missouri 63131-3615 Telephone: (314) 238-2400

Facsimile: (314) 238-2401

Page 2 of 3

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /M.M./

168/180



#### Sheet 1 of 1

FORM PTO-1449
U.S. DEPARTMENT
OF COMMERCE, PATENT AND TRADEMARK OFFICE
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET
NO.: ENIT 9835U1

11/602,461

APPLICANT: Douglas Weiser

Filing Date: 11/20/2006

Group: 2642 2457

#### **U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number										Kind Code (click here)	Patent Issue Date OR US App. Pub. Date OR US Non-Published Application Filing Date	Name
	US	2	0	0	2	0	9	5	3	3	3	A1	18 July 2002	Jokinen, et al.
	US	2	0	0	5	2	6	1	0	1	2	A1	24 November 2005	Weiser
	US	6	7	5	1	4	5	5				B1	15 June 2004	Acampora

#### **FOREIGN PATENT DOCUMENTS**

Examiner Initial	T									Transla	tion
	Document Number							Date	Country	Yes	No
	1	5	1	5	5	1	2	Mar. 16, 2005	EP	X	
	0	1	4	5	0	6	1	Jun. 21, 2001	WO	X	
	0	1	5	7	7	2	4	Aug. 9, 2001	WO	X	
	0	1	8	9	1	5	0	Nov. 22, 2001	wo	X	
	0	3	7	1	3	9	2	Aug. 28, 2003	WO	X	Arris

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

ETSI TS 123 246, Universal Mobile Telecommunications Sys Broadcast/Multicast Service (MBMS); Architecture and funcat 23.246 version 6.6.0 Release 6) (2005-03)	

EXAMINER /Moustafa Meky/	DATE CONSIDERED	12/03/2009	
--------------------------	-----------------	------------	--

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449)

169/180



**PATENT** 

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Douglas Weiser, et al.

GROUP: 2642 2457

SERIAL NO.: 11/602,461

EXAMINER: TOO Meky

FILED: November 20, 2006

DOCKET NO.: ENIT 9835U1

FOR: MESSAGE BROADCASTING ADMISSION CONTROL SYSTEM AND

METHOD

St. Louis, Missouri July 25, 2007

Mail Stop Amendment Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

#### SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT **PURSUANT TO §1.97 AND § 1.704**

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.704, Applicants hereby submit this Supplemental Information Disclosure Statement for consideration by the Examiner.

1. LIST OF PATENTS, PUBLICATIONS AND OTHER INFORMATION (CERTIFICATION UNDER 37 C.F.R § 1.97(e))

> Page 1 of 3 **Certificate of Mailing**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Mail Stop IDS, Commissioner for Patents, PQ Box 1450, Alexandria, VX 22313-1450 on July 25, 2007.

David L. Howard, Reg. No. 41,502

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /M.M./

170/180 **DOJ EX. 1006** 

The information, patents and/or literature references listed on the attached

form PTO-1449 were first cited in a communication from a foreign patent office in a

counterpart foreign application not more than three months prior to the filing of the

present Information Disclosure Statement. Therefore, no fee is due

Copies of any non-US patents or publications is submitted herewith.

STATEMENT UNDER 37 C.F.R. 1.704(d)

The undersigned states that each new item of information contained in the

information disclosure statement was first cited in a communication from a foreign

patent office in a counterpart application and that this communication was not

received by any individual designated in § 1.56(c) more than thirty days prior to the

filing of the information disclosure statement. A copy of the search report is

attached.

The Commissioner is hereby authorized to charge any additional fees or credit

overpayment under 37 CFR 1.16 and 1.17 which may be required by this paper to

Deposit Account 162201.

Respectfully submitted.

Date: July 25, 2007

David L. Howard, Reg. No. 41,502

Polster, Lieder, Woodruff & Lucchesi, L.C.

Customer Number: 001688 12412 Powerscourt Drive

St. Louis, Missouri 63131-3615

Telephone: (314) 238-2400 Facsimile: (314) 238-2401

Page 2 of 3

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /M.M./

171/180

#### Sheet 1 of 1

FORM PTO-1449	U.S. DEPARTMENT	ATTY. DOCKET	SERIAL NO.:	
OF COMMERCE, PATENT AN	D TRADEMARK OFFICE	NO.: ENIT 9835U1	11/602,461	
JUL 3 0 2007 STATEMENT BY	APPLICANT	APPLICANT: Weiser,	et al.	
(Use several sheets	if necessary)	Filing Date: 11/20/200	6 Group: 2 <del>612</del>	2457

#### U.S. PATENT DOCUMENTS

											• •	• • •		001111110		
Examiner Initial		Document Number											Kind Code (click here)	Patent Issue Date OR US App. Pub. Date OR US Non-Published Application Filing Date	Name	
	US	2	0	0	3	0	1	3	7	4	1	5	A1	July 24, 2003	Thomson	
	US	2	0	0	3	0	1	9	7	6	1	5	A1	Oct. 23, 2003	Roche et a	

#### FOREIGN PATENT DOCUMENTS

									Transla	tion
Document Number							Date	Country	Yes	No
9	8	4	9	6	6	1	Apr. 24, 1998	wo	X	1.0
0	3	7	7	0	6	3	Mar. 4, 2003	WO	X	
0	9	1	5	5	9	8	Sept. 23, 1998	EP	X	
1	0	7	1	2	9	6	Jul. 22, 1999	EP	X	
	9 0 0	9 8	9 8 4	9 8 4 9 0 3 7 7	9 8 4 9 6 0 3 7 7 0	9 8 4 9 6 6 0 3 7 7 0 6	9 8 4 9 6 6 1 0 3 7 7 0 6 3 0 9 1 5 5 9 8	9 8 4 9 6 6 1 Apr. 24, 1998 0 3 7 7 0 6 3 Mar. 4, 2003 0 9 1 5 5 9 8 Sept. 23, 1998	9 8 4 9 6 6 1 Apr. 24, 1998 WO 0 3 7 7 0 6 3 Mar. 4, 2003 WO 0 9 1 5 5 9 8 Sept. 23, 1998 EP	Document Number         Date         Country         Yes           9         8         4         9         6         6         1         Apr. 24, 1998         WO         X           0         3         7         7         0         6         3         Mar. 4, 2003         WO         X           0         9         1         5         5         9         8         Sept. 23, 1998         EP         X

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	/Moustafa Meky/	DATE CONSIDERED	12/02/2000	$\neg$

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449)

Page 3 of 3
ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /M.M./

FORM PTO-1449 OF COMMERCE, PATENT A		ATTY. DOCKET NO.: ENIT 9835U1	SERIAL NO.: 11/602,461	
INFORMATION I STATEMENT BY		APPLICANT: Weiser, et al.		
(Use several shee	ts if necessary)	Filing Date: 11/20/2006	Group: 2 <del>612</del> 2457	

#### **U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number									Kind Code (click here)	Patent Issue Date OR US App. Pub. Date OR US Non-Published Application Filing Date	Name
		-		F			+					

#### FOREIGN PATENT DOCUMENTS

Examiner	T	200								Transla	tion		
Initial	Docu	ment	Nu	mbe	er			Date	Country	Yes N			
/M.M./	1	0	3	2	1	4	8	August 30, 2000	Europe	X			
	-	+	-	-	_	<u> </u>							
	-		-	-	_	$\vdash$							
	-	+	-	-	-	$\vdash$	$\vdash$						
	1		1			1	1 1						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Chinese search report, date of receipt November 9, 2009
 Stimboo Scarott Toport, date of Topolot Troveribor 0, 2000

EXAMINER	/Moustafa Meky/	DATE CONSIDERED 12/03/2009	
----------	-----------------	----------------------------	--

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449)

Serial No. 11/602,461

# Search Notes 1160246 Examine Moustafa

Application/Control No.	Applicant(s)/Patent Under Reexamination
11602461	WEISER ET AL.
Examiner	Art Unit
Moustafa M Meky	2457

SEARCHED								
Class	Subclass	Date	Examiner					
709	200-203, 217-227	12/3/2009	MMM					
455	404.1, 414.1, 414.3	12/3/2009	MMM					

SEARCH NOT	ES	
Search Notes	Date	Examiner
WEST (ALL FILES)	12/3/2009	MMM
WEST (ALL FILES)	12/6/2009	MMM

	INTERFERENCE SE	EARCH	
Class	Subclass	Date	Examiner
709	203, 217, 227	12/7/2009	MMM
455	404.1	12/7/2009	MMM

 and the second s

Electronic Acknowledgement Receipt					
EFS ID:	6949530				
Application Number:	11602461				
International Application Number:					
Confirmation Number:	7371				
Title of Invention:	MESSAGE BROADCASTING ADMISSION CONTROL SYSTEM AND METHOD				
First Named Inventor/Applicant Name:	Douglas Weiser				
Customer Number:	01688				
Filer:	David L. Howard				
Filer Authorized By:					
Attorney Docket Number:	ENIT 9835U1				
Receipt Date:	04-FEB-2010				
Filing Date:	20-NOV-2006				
Time Stamp:	14:56:41				
Application Type:	Utility under 35 USC 111(a)				

## Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$1055
RAM confirmation Number	1126
Deposit Account	162201
Authorized User	

## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
NEW PROPERTY			MATTER STEEL STEEL		(

0	ENIT_9835U1_Issue_Fee_trans		92664		8
1	Issue Fee Payment (PTO-85B)	mittal.pdf	8f8ffac0332a46cb8298d1b77d15c322751f 3d86	no	1
Warnings:		·-		·	
Information:		W			
2	Fee Worksheet (PTO-875)	fee-info.pdf	32017	no	2
-	ree worksneet (r 10 075)	ree iiio.pui	df6524bc74ed467fcfed25637706f940f6e67 d36	no	2
Warnings:		•			
Information:					

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

<b>Electronic Patent Application Fee Transmittal</b>						
Application Number:	110	11602461				
Filing Date:	20-	20-Nov-2006				
Title of Invention:	МЕ	MESSAGE BROADCASTING ADMISSION CONTROL SYSTEM AND METHO				
First Named Inventor/Applicant Name:	Do	Douglas Weiser				
Filer:	Da	David L. Howard				
Attorney Docket Number:	ENIT 9835U1					
Filed as Small Entity	**					
Utility under 35 USC 111(a) Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Utility Appl issue fee		2501	1	755	755	
Publ. Fee- early, voluntary, or normal		1504	1	300	300	

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:	^	59		
Miscellaneous:				
	Tot	al in USD (	(\$)	1055

#### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with appurable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

(571)-273-2885 or Fax

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

1688

7590

01/25/2010

POLSTER, LIEDER, WOODRUFF & LUCCHESI 12412 POWERSCOURT DRIVE SUITE 200 ST. LOUIS, MO 63131-3615

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

David L.	Нот	waxd.	Req. N	lo. AD5	O'Zositor's name
	m	72	H-		(Signature
February	4,	2010			(Date

FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. APPLICATION NO. FILING DATE 11/20/2006 ENIT 9835U1 7371 11/602,461 Douglas Weiser TITLE OF INVENTION: MESSAGE BROADCASTING ADMISSION CONTROL SYSTEM AND METHOD

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$755	\$300	\$0	\$1055	04/26/2010
EXA	MINER	ART UNIT	CLASS-SUBCLASS			
MEKY, M	OUSTAFA M	2457	709-203000			98
CFR 1.363).  Change of corres Address form PTO/S	dence address or indication pondence address (or Chi BB/122) attached. dication (or "Fee Address 02 or more recent) attact	ange of Correspondence	or agents OR, alternative (2) the name of a single registered attorney or a	3 registered patent attorn	era <sup>2</sup> Woodruf	, Lieder, f & Lucches
(A) NAME OF ASS	IGNEE T LLC	pletion of this form is NO	17 Researc	assignment.  and STATE OR COUNT  ch Park Driv  Individual 🖾 Corporati	RY) e, Ste. 200	, St. Charl
la. The following fee(s  Issue Fee	) are submitted:	permitted)	b. Payment of Fee(s): (Plea A check is enclosed. Payment by credit car	se first reapply any prev d. Form PTO-2038 is atta	iously paid issue fee sho	
- Advance order	# of copies		overpayment, to Depo	sit Account Number 16	equired fee(s), any defici 2 2 0 1 (enclose an ex	ency, or credit any xtra copy of this form).
5. Change in Entity St	atus (from status indicate ms SMALL ENTITY stat	ed above) us. See 37 CFR 1.27.	overpayment, to Depo	sit Account Number 16	2201 (enclose an enclose an enclo	1.27(g)(2).

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTOL-85 (Rev. 08/07) Approved for use through 08/31/2010.

OMB 0651-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE



#### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/602 461	04/06/2010	7693938	ENIT 9835II1	7371

1688

7590

03/17/2010

Polster, Lieder, Woodruff & Lucchesi, L.C. 12412 Powerscourt Dr. Suite 200 St. Louis, MO 63131-3615

#### **ISSUE NOTIFICATION**

The projected patent number and issue date are specified above.

#### Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 807 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Douglas Weiser, Port Richey, FL; Mark Andrew Wood, Haslemere, UNITED KINGDOM; Kevin Russell Preston, Gwent, UNITED KINGDOM;

IR103 (Rev. 10/09)

**DOJ EX. 1006** 180/180