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Avicel[®] RC-591 Microcrystalline Cellulose and Carboxymethylcellulose Sodium, NF, BP

Pharmaceutical Emulsions and Suspensions

Stabilization Technology For Liquid And Semi-Solid Dosage Forms



Exhibit 1054 IPR2017-00807 ARGENTUM

Avicel[®] RC-591

Microcrystalline Cellulose, NF, BP



Avical RC-591 amulsion



Cellulose microcrystals and crystallite aggregates

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Advantages of formulating suspensions and emulsions with Avicel® RC-591

- Viscosity regulator and modifier
- Thixotropic characteristics
- Heat and freeze-thaw stable
- Long shelf-life stability

- Lengthy hydration times eliminated
- Stable at pH range 4-11
- Odorless, tasteless



Avicel Golloidal MCC



Undispersed Avicel RC-591 suspension



Spray dried Avicel RC-591

600X

Avicel[®] RC-591

- A water dispersible organic hydrocolloid used in the preparation of pharmaceutical suspensions and emulsions.
- A spray-dried blend of microcrystalline cellulose (MCC) and sodium carboxymethylcellulose (CMC).

The colloidal MCC provides a structured dispersion vehicle while the CMC facilitates dispersion and serves as a protective colloid.



Aqueous dispersion of Avicel RC-591

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Structure and Function

Microcrystals of Avicel® microcrystalline cellulose are leashed together with chains of soluble CMC to form a mesh-like powder particle (Fig. 1).



Figure 1.—Powder particle Avicel RC-691

Due to the small size of the microcrystals (approximately 60% of the crystallites in dispersion are <0.2 µM), there are a large number of microcrystals packed in each powder particle. (Fig. 2).

The large number of small, microcrystal particles help promote

- Slower more uniform settling rate
- Suspension stability
- Formulation elegance
- Absence of hard packing

The highly compact nature of the powder particle is evident in this scanning electromicrograph (Fig. 3),



RC 591 powder part



Figure 2 — Colloidal powder particle



Figure 3. -- Cut powder particle

Structure and Function (continued)

In the presence of water and mild shear, the Avicel® RC-591 powder particles swell and are then peptized, forming a dispersion of cellulose microcrystals. These microcrystals create a stable lattice structure for use in the formulation of suspensions and emulsions. (Fig. 4).

- Concentrations <1% solids form colloid dispersions.
- Concentrations >1% solids form thixotropic gels.



Figure 4.—Fully peptized (activated) Avicel colloidal microcrystalline cellulose

Rheological Properties

Thixotropy

Avicel RC-591 gels are highly thixotropic and have a finite yield value which begins approximately at a 1% solids concentration. (Fig. 5).



Figure 5.—Yield stress vs. concentration of RC-591, T-25°C

Process

The thixotropy and yield value of RC-591 gels are due to the lattice structure formed by the microcrystals. When a shearing force is applied to the gel, the particles separate and align with a corresponding reduction in resistance to flow (shear thinning). Then, as the dispersion is allowed to rest, a yield value is re-established and the solid particles reform the mesh-like network (Fig. 6).

Effects of Hydrocolloids

Figure 7 illustrates how the thixotropic nature of Avicel[®] RC-591 decreases with the addition of CMC. This same phenomenon occurs with mixtures of RC-591 and methyl cellulose. RC-591 has a synergistic effect on the viscosity of Keltrol[®], magnesium aluminum silicate, and various other gums.

Effect of Temperature

Changes in temperature have little effect on the viscosity of Avicel RC-591 dispersions. This is beneficial in maintaining long-term stability (Fig. 8).

Effect of pH

Viscosity is stable over a pH range from 4.0-11.0.





Figure 7.—Flow properties of an Avical RC dispersion and a 50:50 blend of Avical RC/CMC-7MF

25



RC-591 as a Suspending Agent

Avicel[®] RC-591 microcrystalline cellulose, either alone or in combination with other hydrocolloids, is an excellent suspending agent. Formulations containing 1.2% RC-591 are well structured suspension vehicles, thixotropic, and have a finite yield value.

Flocculating/Electrolytes

Dispersions of Avicel RC-591 are readily flocculated by small amounts of electrolytes, cationic polymers, and surfactants. This flocculated colloidal dispersion settles but prevents hard packing of suspended materials.

Protective Colloids

Because Avicel RC-591 colloidal dispersions contain a high concentration of particles smaller than 0.2 μ M and have a finite yield value, a stable, elegant suspension may be formulated.

When RC-591 is used with protective colloids, the mixed system combines the stability of the polymer solution with the yield value and dispersion of the microcrystals to form a long lasting suspension.

The best protective colloids for use in RC-591 dispersions are:

- Xanthan gum
- CMC (carboxymethylcellulose)
- Methocel[®] 90 HG hydroxypropylmethylcellulose

Suspended materials are either

- Allowed to settle and can be redispersed, or
- Kept in suspension with very little phase separation.



igure 9. — Flocculated system



Figure 10.—Fully dispersed system



Figure 11.—Undispersed system

Dispersion Factors

When stirred in water, Avicel® RC-591 powder disperses to form either a colloidal sol or white opaque gel, depending upon the Avicel RC concentration.

Water Addition

Avicel RC-591 can be added to water very easily. Two important things should be noted:

- Sufficient water should be available for easy dispersion.
- RC-591 should be dispersed in water prior to the addition of other formulation incredients. The few exceptions are seen with preservatives such as methyl and/or propylparaben, and sodium benzoate. (Fig. 12).

Preparation

To prepare a product containing a 1.5% concentration of Avicel RC-591, the dispersion ratio shown in Fig. 13 is recommended.

This ratio results in a lump-free dispersion which can be easily handled in processing.

The functionality of Avicel RC-591 may be severely affected when other ingredients are added to water first. Neither a thixotropic system nor a structured vehicle may be obtained.

Equipment

Standard laboratory and production equipment can be used to prepare dispersions of Avicel RC-591 MCC in monovalent salt solutions. Fig. 14 illustrates that a high-speed propeller mixer will provide adequate shear for proper dispersion at the most common concentration levels of these salts.



-Fully peptized (activated) Avicel colloidal microcrystalline cellulose





Figure 14. — Equipment types required for various PPM monovalent salts

Dispersion Factors (continued)

Temperature

Avicel[®] RC-591 microcrystalline cellulose can be dispersed in either hot or cold water. A lower viscosity is obtained when hot water (>60°C) is used. Thixotropy is also reduced but remains a characteristic flow property.

Glycols/Polyols

Avicel RC-591 will not disperse in glycols or alcohols alone. However, once an aqueous dispersion is formed, water miscible liquids can be added (Fig. 15).

A formulation requiring a large quantity of glycols may have a limited amount of water available for an RC-591 dispersion. In this case, a portion of the water may be replaced with glycerin or sorbitol 70%. Figure 16 shows examples of "replacement" quantities and the resulting viscosities. However, substituting even a portion of the water used to disperse Avicel RC-591 is not recommended. (Fig. 16). If hot water must be used in dispersing RC-591 when a high viscosity is also required, then approximately 0.5% additional Avicel RC-591 will compensate for the temperature difference.

Compatibility of Avicel RC-591 with Miscible LiquidsParts of 1% Avicel RC-591 Dispersion/Parts ofWater Miscible Liquid:40/60 Ethanol40/60 Propylene Glycol40/60 Glycerine40/60 Sorbitol Solution (70%)

Figure 15.

Viscosity Comparisons of 3.75% Avicel RC-591 Dispersions With Glycols/Polyols				
Water (ml)	Glycerin (ml)	Sorbitol 70% (ml)	Alcohoi (mi)	Viscosity (cps)
300	100			16,000
300		100		28,000
300			100	2,000
400				22,000

Figure 16.

Avicel® RC-591 Microscopic Features



RC-591 oil and wa Avic



RC-591 nowrier particle



Avice vidal before drying with hydrophilic



Fully dispersed Avicel RC suspension

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Avicel[®] RC-591 as an Emulsion Stabilizer

Avicel RC-591 particles make excellent emulsifying agents or emulsion stabilizers for oil-in-water systems. This is due to the unique crystalline structure of cellulose from which RC-591 is derived. (Fig. 17).

Avicel RC-591 colloidal particles help create a stable oil-in-water emulsion by:

- · Congregating at the oil/water interface
- Reducing oil droplet movement and possible coalescence when sufficient RC-591 is used to produce a yield value in the aqueous phase



Figure 17.—Avicei RC-591 emulsion



Fig. 18 MCC stabilizes emulsions

Mechanism

Avicel particles are wetted by oil and water. The particles reside at the oil/water interface producing an interfacial film of considerable strength and stability. (Fig. 18).

The film formation is a result of the molecular character of cellulose as well as the Avicel RC-591 crystalline structure. It has been observed that hydroxyl groups available within the lattice of the cellulose molecules are aligned in one plane. The two hydroxyl dominant sides of the crystal have an affinity for water while the remaining two sides of the crystal are more readily wetted by oil. Therefore, the crystals line up at the oil/water interface to physically structure an emulsion.



Manufacturing

- Because Avicel[®] RC-591 microcrystalline cellulose does not lower the interfacial tension of an oil/water system, high shear must be used to produce small oil droplets.
- Avicel RC-591 must be dispersed in water prior to the addition of other water soluble excipients. (Do not add RC-591 powder directly to the emulsion after the oil and water phases have been combined.)
- Small amounts of surface active materials should be added to a formulation to produce an emulsion of very small particle size.



Undispersed Avicel RC-591 emuision system

Stability

Emulsions made with Avicel RC-591 are stable:

- Under sterilization conditions
- After prolonged storage
- Under freeze-thaw and stress testing conditions

Avicel® Colloidal Dispersion Procedures

Avicel colloidal (RC/CL grades) microcrystalline cellulose products are water-dispersible, waterinsoluble hydrocolloid materials used in the preparation of pharmaceutical suspensions and emulsions. These products are co-processed mixtures of microcrystalline cellulose (MCC) and sodium carboxymethylcellulose (CMC). The MCC provides a structured dispersion vehicle while the CMC serves as a protective colloid, as well as facilitating dispersion. This section describes techniques and critical factors in dispersing Avicel colloidal and demonstrates performance attributes due to proper and improper dispersion.

When fully dispersed in water, these products possess unique functionality as structured, thixotropic gel systems. *Proper dispersion of Avicel colloidal is critical to obtaining this functionality*. If the Avicel is flocculated or not completely dispersed, the performance of the formulation will not be at its optimum level; inconsistent viscosity and performance results will be obtained.

Key factors to consider when dispersing Avicel RC/CL products are:

Order of addition — Avicel colloidal should be dispersed in water before other ingredients are added. Avicel does not bind water like other hydrocolloids and, therefore, will not interfere with the subsequent addition of other ingredients. However, water availability is important in the dispersion step to aid distribution of shearing energy to insure full dispersion.

Type of shear — The Avicel colloidal product used depends upon the amount of shear available in your process. The most readily dispersible products, Avicel® RC-591 and CL-611, can be fully dispersed with high speed mixing (e.g. Silverson®, Cherry-Burrell®). Less easily dispersed is Avicel RC-581, which requires homogenization (Fig. 19, Page 14). Homogenization of RC-591 and CL-611 dispersions can affect ultimate gel strength (Fig. 20, Page 15).

How to verify dispersion

- Visible clumps of powder are clearly indicative of undispersed material. Beyond that, however, the verification of proper dispersion requires an analytical approach.
- The most certain measure is to match your dispersion characteristics to a proper dispersion curve profile. Chart 1 demonstrates the dispersion profile of a typical RC-591 product. Initially, viscosity increases dramatically. This is a common effect when dispersing/dissolving gums and is caused by the apparent viscosity of undispersed lumps of material. As shear continues, the viscosity begins to drop and eventually becomes less apparent; it is at this point that Avicel can be said to be properly dispersed.
- This approach should be used in both product development and scaleup to verify dispersion.
- An optical method is a less precise, but sometimes adequate, means of checking dispersion. The photographs under polarized light show fully dispersed and undispersed Avicel.



Chart 1 --- DISPERSION PROFILE. Shear vs Viscosity

Checking Your Dispersion

- If Avicel[®] microcrystalline cellulose did not achieve dispersion, clumps of powder will be visible.
- If Avicel is properly dispersed, you will see a very even crystalline pattern under *polarized* light.
- If your dispersion is flocculated, you will see gaps where no microcrystals are present.

Factors that can interfere with

Hard water/electrolytes — cations can cause

Improper order of addition — as noted above,

Avicel[®] should be dispersed before addition of

To prevent flocculation, protective colloids such

cellulose can be added, generally at 15-20%

as xanthan, methylcellulose, and carboxymethyl-

obtaining a good dispersion
Inadequate shear — refer to dispersion





 To prevent flocculation, we recommend that a protective colloid be added immediately after dispersing Avicel. Carboxymethylcellulose and xanthan gum are the best protective colloids and should be added at 15-20% of the weight of Avicel. These colloids can also beneficially affect suspension properties.



Undispersed

methods.

flocculation.

other ingredients.

wlw % of Avicel.

100x polarized light Fully Dispersed

 Low pH — if the pH of the system is less than 4, a protective colloid may be needed.

Examples

Of a polarized light

While each mixing/dispersion system may be different, the following examples illustrate the requirements and relative dispersion performance of various dispersion mechanisms with varying batch sizes. Your own system should be evaluated to insure the proper dispersion times for the type of equipment and batch size. The following Figures (19, 20, 21) describe: Dispersion of Avicel RC-591; Comparison of Avicel RC-591 to Other Avicel Colloidal Types; and Effect of Concentration of Avicel RC-591.

Dispersion Equipment	Speed (rpm)	Batch size (liter)	Dispersion time (min.)
Silverson [®] L 2 R	7500	1	30 seconds
Silverson L 2 R	7500	5	3 - 5
Pressindustria TE3 VR 10	4000	10	2
Pressindustria TE3 VR 50	1500	50	5
Silverson BX	2800	50	4
Silverson BX	2800	150	7 - 8
Silverson GX 10 or FX 10	2950	500	3 ~ 5
Pressindustria BEMSX type 55	1000	3000	20 - 30

Figure 19. - Dispersion of Avicel RC-591

NOTE: Examples apply to maximum 2% concentration.

Comparing three types of Avicel[®] microcrystalline cellulose and the influence of concentration and homogenization. Batch size was 1 liter. Avicel was dispersed in demineralized water by stirring with a propeller at 500 rpm during 30 minutes.

Reference	Туре	Concentration mg/ml	Homogenization time, minutes	Yield value Pa	Viscosity, mPas
A	RC-591	12	0	4.8	34
в	RC-591	12	1	8.7	27
С	CL-611	12	0	0.6	13
D	CL-611	12	1	0.25	12
E	CL-611	20	0	7.0	27
F	CL-611	20	1	5.6	25
G	CL-611	20	6	2.5	22
Н	CL-611	25	0	12.9	40
1	CL-611	25	1	11.9	34
J	RC-581	12	0	0	18
K	RC-581	12	1	4.3	22
L	RC-581	14	1	4.5	28
М	RC-581	14	6	5.0	24
N	RC-581	16	1	6.3	33
0	RC-581	16	6	7.9	28
Conclusion:	Avicel	RC-591 has a mu	e homogenized. ch higher yield valu ghest yield value w		
Comparable	results: RC-59	1 at 12 mg/ml ho	mogenized, RC-5	i81 at 16 mg/m	l T

homogenized, and CL-611 at 22 mg/ml not homogenized.

Concentration, mg/ml	Yield value	Viscosity at 201 s-1, mPas
2	0	3
4	0	6
5	0	8
6	0	11
7	0.2	14
8	0.5	16
9	1.1	19
10	2.4	22
11	4.3	25
12	6.5	27
13	8.8	30
14	10.9	33
16	15.5	42
18	20.0	51
20	26.3	78

Figure 21.---Effect of concentration of Avicel RC-591

Viscosity Measurement as Measure of Dispersion

One of the measures used to evaluate hydrocolloid dispersions is the measure of viscosity. Avicel® RC/CL products are thixotropic. A valuable property of thixotropic dispersions is that they establish a gel with a measurable yield point. Upon shear above the yield point the gels break to form a readily pourable liquid. The gel immediately begins to reform when shear is removed. Depending on the formulation, concentration of

Avicel, etc., the time to full gel reformation may vary. The viscosity measurement of gel-forming materials is very dependent on reproducibility in carrying out the analytical method. When comparing results to data obtained by other groups, it is very important to insure that methods, instruments, instrument settings and procedures are carried out exactly.

Methods of Dispersion

The steps necessary to properly disperse each grade of Avicel are described below.

Bulk-Dried Avicel Colloidal

This procedure pertains to Avicel RC-581 which requires homogenization for dispersion.

Procedure

- Add Avicel to water while agitating.
- Add protective colloids (if required).
- Agitate 10-15 minutes.
- Add other ingredients.
- Hold the salt and acids until last.
- Homogenize at 2000 psi.



Methods of Dispersion (continued)

Spray-Dried Avicel® Colloidal

This procedure pertains to Avicel microcrystalline cellulose types RC-591 and CL-611 which require rapid agitation in water for dispersion.

Procedure

Option I: High-speed mixer

- Add Avicel to the water while agitating.
- Follow with a protective colloid if the pH of your system is less than 4.
- Agitate with high velocity agitator for 10-15 minutes.
- Add the other ingredients.
- Hold the salt and acids until last.



Option II: Funnel with blender pump

- Using only the water portion of the batch, activate Avicel using an ingredient funnel with a pump.
- Continue pumping for 10-15 minutes following the addition of Avicel.



Option III: High-speed blending tank

- Using only the water portion of the batch, activate the Avicel product by utilizing a liquifier type dispersion tank.
- Mix for 3 minutes.



Summarizing...

Avicel[®] RC-591 microcrystalline cellulose is a versatile, colloidal dispersion vehicle with broad pharmaceutical applications:

- Suspending agent
- Emulsion stabilizer
- Oil/water emulsifier

Formulation Benefits of Avicel RC-591

- Modifies viscosity
- Possesses thixotropic properties
- Stable under extreme temperatures
- Stable over wide pH range
- Long shelf-life stability

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The products, processes and uses thereof described herein are covered by one or more patent applications or patents.

Warranty

Because of the numerous factors affecting results FMC ingredients are sold on the understanding that purchasers will make their own test to determine the suitability of these products for their particular purpose. The several uses suggested by FMC Corporation are presented only to assist our customers in exploring possible applications. All information and data presented are believed to be accurate and reliable, but are presented without the assumption of any liability by FMC Corporation.

Technical Service

The information contained in this butletin is intended to be general in nature. Techniques and data pertaining to specific uses for FMC ingredients and new developments will be published periodically in the form of supplemental application bulletins.

Our technical staff is ready to offer assistance in the use of Avicel* RC-591.

Regulatory Status

Avicel RC-591, a colloid forming attrited mixture of microcrystalline cellulose and carboxymethylcellulose sodium, meet the standards set forth in the United States Pharmacopoeia/National Formulary for "microcrystalline cellulose and carboxymethyl-cellulose sodium" and in the British Pharmacopoeia for dispersible cellulose.

The colloid forming attrited mixtures of microcrystalline cellulose and sodium carboxymethylcellulose are generally recognized as safe (GRAS) by qualified experts. FMC maintains a Type IV Drug Master File (Excipients) to support the use of Avicel RC-591 in drug products.

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RC-591 (5/99)