IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF DELAWARE

Videotaped deposition of DR. MAUREEN DONOVAN, taken in the above-captioned cause, at Winston & Strawn, 35 West Wacker Drive, Chicago, Illinois, before Rachel F. Gard, CSR, RPR, CLR, CRR, commencing at the hour of 9:06 a.m. on Friday, October 7, 2016.

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Page 2 1 **APPEARANCES:** 2 ON BEHALF OF THE PLAINTIFFS: 3 STERNE KESSLER GOLDSTEIN & FOX 4 BY: MS. UMA N. EVERETT 5 MR. RAMI BARDENSTEIN 1100 New York Avenue, NW Washington, DC 20005 б 202.371.2600 7 ueverett@skgf.com rbardenstein@skgf.com 8 ON BEHALF OF THE DEFENDANT: 9 10 WINSTON & STRAWN, LLP BY: MR. KEVIN E. WARNER 11 35 West Wacker Drive Chicago, Illinois 60601 12 312.558.5852 kwarner@winston.com 13 14 15 16 ALSO PRESENT: 17 Kimberly Ortiz (Videographer) 18 19 20 21 22

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1	THE VIDEOGRAPHER: This is videotape No. 1 in
2	the videotaped deposition of Dr. Maureen Donovan,
3	taken by plaintiffs' counsel in the matter of Meda
4	Pharmaceuticals, Inc., and Cipla, Limited, versus
5	Apotex, Inc., in the United States District Court
б	for the District of Delaware, Case No. 1:15-CV-785.
7	This deposition is being held at Winston &
8	Strawn, LLP, 35 West Wacker Drive, Chicago,
9	Illinois, on Friday, October 7, 2016. The time on
10	the video screen is 9:06 a.m.
11	My name is Kim Ortiz. I am the legal
12	videographer from Digital Evidence Group. The court
13	reporter is Rachel Gard, in association with Digital
14	Evidence Group.
15	Will counsel please introduce themselves
16	for the record.
17	MS. EVERETT: Uma Everett from Sterne, Kessler,
18	Goldstein & Fox on behalf of plaintiffs. With me
19	today is my colleague, Rami Bardenstein.
20	MR. WARNER: Kevin Warner of Winston & Strawn
21	on behalf of Apotex and the witness.
22	THE VIDEOGRAPHER: Will the court reporter

Page 6 please swear in the witness. 1 2 (Witness sworn.) 3 WHEREUPON: 4 DR. MAUREEN DONOVAN, 5 called as a witness herein, having been first duly 6 sworn, was examined and testified as follows: 7 EXAMINATION 8 BY MS. EVERETT: 9 Good morning, Dr. Donovan. 0. 10 A. Good morning. 11 Can you please state your name. Q. 12 A. Maureen Donovan. 13 Q. What is your work address? 14 115 South Grand Avenue, University of Iowa Α. 15 College of Pharmacy. Have you ever provided deposition 16 Ο. testimony before? 17 18 Yes, I have. Α. 19 When did you do that? 0. 20 Most recently about 6 months ago, and quite Α. 21 a few years ago previous to that. 22 What was the testimony you provided 6 Q.

Page 7 1 months ago? 2 Α. 6 months ago was in a pharmaceutical patent litigation case. 3 4 Ο. Who did you -- strike that. 5 Who retained you in that case? 6 I was retained by a number of firms, and Α. 7 Winston & Strawn happened to be one of the group. 8 What was the nature of your opinion in Q. 9 that case? 10 My nature -- My opinion was -- well, it was Α. 11 a solid oral dosage form. And my opinion was directed primarily at the formulation development 12 13 aspects of that. 14 You stated that you gave deposition 0. 15 testimony several years ago as well? 16 Α. Uh-huh. 17 0. And what was the case for which you gave 18 deposition testimony? 19 Α. I've had other -- well, several other 20 depositions. Most of them have been in the areas of 21 nasal sprays and some in ophthalmic delivery 22 systems.

	Page 8
1	Q. Where you provided deposition for nasal
2	sprays, what were the products at issue?
3	A. The one that's publicly available for
4	everybody to go view is Nasacort.
5	Q. And what was the nature of your opinion in
6	the Nasacort case?
7	A. In the Nasacort case, I was working with a
8	firm that was representing a generic company. And I
9	was again an expert witness regarding formulation
10	and nasal deposition.
11	Q. Who retained you in the strike that.
12	Have you provided opinion testimony in
13	other litigations related to nasal sprays?
14	A. Yes, I have.
15	Q. What products were at issue?
16	MR. WARNER: Actually, I'll let her answer.
17	But I guess if you've done deposition testimony or
18	trial testimony, then it's known. One of your
19	responses earlier made me think that there may be
20	some engagements that aren't public, ones you
21	haven't done testimony for them. We just need to be
22	careful about those. But things you testified under
1	

Page 9 1 oath, that's okay. 2 BY THE WITNESS: 3 We're kind of in the wayback machine on a Α. 4 number of things whether I was deposed in those 5 cases or not. And there was a -- this is a long-ago 6 case where I was deposed, and that was -- the case 7 settled. And it was an intranasal product. And I 8 believe it was an antihistamine, if I remember that 9 case correctly. 10 It was an intranasal antihistamine, you 0. 11 said? 12 Α. Yes. 13 Was it any Astelin? Q. 14 Α. It was related to Astelin, yeah. 15 Was it Astepro? Q. 16 I don't think I've ever been deposed about Α. 17 Astepro, no. 18 In addition to Nasacort and the Astelin 0. 19 cases, are there any other cases where you provided 20 opinion testimony related to a nasal spray? 21 I don't think any -- I've been involved in Α. 22 other cases. I don't think I've ever been deposed

Page 10 in any of them. Again, they've all been quite a few 1 2 years ago, and I don't remember necessarily which one corresponded to which. 3 Understood. In the Astelin case, who 4 0. 5 retained you? 6 I'm pretty sure at the time it was Husch Α. 7 Blackwell. But the lawyers involved have moved to a 8 different firm, so again it's way back there. 9 Do you remember which company retained 0. 10 you? 11 To be honest, I do not. Α. 12 Was it for a generic company? Q. 13 Yes, I was representing a generic. Α. 14 For the -- you -- strike that. Q. 15 You said you provided deposition testimony 16 for ophthalmic products? 17 Α. Yes. 18 Which ophthalmic products? Ο. 19 Α. Most of them have surrounded olopatadine as 20 one of the agents. 21 Which companies retained you to provide 0. deposition testimony? 22

	Page 11
1	A. Apotex has been one. I'm trying to
2	remember. There were probably several others
3	involved, but I and I think Sandoz was involved
4	with one of the ophthalmic cases with olopatadine.
5	Again, I don't remember exactly.
6	Q. It was generic manufacturers you
7	represented?
8	A. It was generic manufacturers that I
9	represented, yes.
10	Q. Have you ever represented a branded
11	pharmaceutical company in a patent litigation case?
12	Or strike that. Have you ever been retained
13	strike that again.
14	Have you provided testimony on behalf of a
15	branded pharmaceutical company in a patent
16	litigation?
17	A. I have not provided testimony regarding
18	for a branded company.
19	Q. Have you been retained to strike that.
20	Have you ever consulted with a branded
21	pharmaceutical company?
22	A. Yes, I have.

		Page 12
1	Q.	Okay. In what context?
2	Α.	In a variety of contexts. In early
3	formulatio	on development activities, in activities
4	regarding	patent litigation.
5	Q.	In You said in activities related to
6	patent lit	tigation?
7	Α.	Uh-huh.
8	Q.	In a consulting role?
9	Α.	Yes.
10	Q.	Your deposition testimony has been on
11	behalf of	generic companies so far?
12	Α.	I believe all of my deposition testimony
13	that's of	record is on behalf of generic companies.
14	Q.	Have you provided any trial testimony?
15	Α.	Yes, I have.
16	Q.	And what was the nature of strike that.
17		Who what was the nature of the case in
18	which you	
19	Α.	Again, that was a nasal spray case.
20	Q.	Which case was it?
21	Α.	That was the Nasacort case.
22	Q.	Are there any other cases in which you

2

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provided trial testimony?

A. No.

3 So I will just go over some -- obviously 0. 4 you know some of the basics on depositions. I think 5 we're falling into a little bit of this so it's 6 worth going over. Obviously we have a court 7 reporter here to take down my questions and your 8 answers. 9 I think we just have to be cognizant of 10 not speaking one over other. I'm sure there will be

¹¹ plenty of times you anticipate my question. I think ¹² you have already a few times this morning.

I will let you finish your answer. Please let me finish my question. And that way we'll have a clean record.

16 If there's any question you don't understand, please ask for clarification and I will 17 18 endeavor to make my questions clear. If you answer, 19 I will assume you've understood the question. 20 Is that fair? 21 Α. Sure. 22 Is there any reason you can't testify Q.

Page 14 truthfully today? 1 2 Α. No. If you need breaks at any time, please let 3 0. me know and we'll stop for a break. We intend to 4 5 take breaks about every hour or so anyway. 6 Α. Okay. 7 I just ask if you need a break, we just Q. 8 finish the question that's pending and we'll get you 9 a break. 10 Α. Sure. 11 Dr. Donovan, in your background, have you 0. formulated a nasal spray? 12 13 Α. Yes, I have. 14 Ο. When you formulate a nasal spray -- How 15 many nasal sprays have you formulated? 16 I don't keep track because I'm not -- I'm Α. 17 not formulating a product. I formulate nasal sprays 18 for research endeavors. So it could be in the 19 thousands if we count every single solution or 20 suspension or gel or film or whatever that I've 21 made, so ... 22 Are there considerations that are unique 0.

Page 15 to the nasal sprays as opposed to another dosage -or another delivery system? MR. WARNER: Objection to the form of the question. Vague. It's an incomplete hypothetical. Go ahead.

⁶ BY THE WITNESS:

7 Okay. There are a number of factors that a Α. 8 nasal spray needs to have as its characteristic and 9 its function. And a lot of any dosage form factor is dependent on, you know, what the drug is, what 10 the intended use is. And we formulate around that 11 for hopefully the most convenient use and best 12 13 product, if we're actually trying to formulate for a 14 commercial use. I formulate a number of things 15 where I have no intention of them being a commercial 16 They contain materials that I want to include use. as probe molecules to understand basic activities in 17 18 the nasal cavity.

Q. For a nasal spray that is going to be used by a person or as a method of treatment, are there unique characteristics to consider in a nasal spray different from other dosage forms?

	Page 16
1	MR. WARNER: Same objection. It's vague, and
2	it's kind of an incomplete hypothetical.
3	BY THE WITNESS:
4	A. Okay. And yeah. I think I'm going to ask
5	for a clarification. Are you talking about a nasal
6	formulation that is intended to be delivered via
7	a some sort of spray unit? Is that what you're
8	trying to have me answer?
9	Q. Yes. Is there a different a nasal
10	spray that can be delivered differently that you're
11	thinking of?
12	A. Well, there are characteristics about any
13	formulation that require them to be compatible if
14	you need to essentially couple that with a device
15	for delivery. You have to address whether that
16	formulation is compatible with the device you intend
17	to have it be delivered with.
18	But there are a lot of devices available,
19	so you've got similarly to you have formulation
20	latitude; you've got device latitude. There are
21	characteristics, but a lot of them are
22	characteristics of a specific device that you're

	Page 17
1	trying to couple your formulation to.
2	Q. Are there characteristics that are unique
3	to the formulation separate from the device that you
4	would consider?
5	MR. WARNER: Same objections.
6	BY THE WITNESS:
7	A. The characteristics for a nasal spray or
8	for a nasal that's going to be formulated in or
9	for a nasal formulation, or a nasal formulation
10	that's going to be formulated for a spray?
11	Q. For a nasal spray formulation.
12	A. Well, there are characteristics of nasal
13	spray formulations that are well accepted in the
14	art, and formulators are aware of those. They're
15	not rigid rules. They're not legally enforceable.
16	There's latitude for formulation components and
17	styles, forms depending on again the drug product
18	and the intended use or intended strategy for
19	delivery.
20	And so yeah. There are general guidances.
21	And I'm not speaking to the FDA guidances in any
22	strict fashion, but there are generally recognized

	Page 18
1	strategies that people use for nasal spray
2	formulations. They look quite similar to strategies
3	that formulators use for a number of other delivery
4	systems or dosage forms.
5	Q. Understood. But I'm trying to focus on
6	what is unique about nasal sprays. For example,
7	isotonicity. Is that a consideration in a nasal
8	spray?
9	A. Isotonicity is a consideration in a nasal
10	spray, but it's not unique to formulation of nasal
11	sprays.
12	Q. But it's not something that's considered,
13	say, in solid dosage form?
14	A. No, because the administration or
15	application site differs in those between those
16	two. So it's not the same, but it's relatively the
17	same as an ophthalmic, for example. And it's
18	relatively the same as an oral mucosal delivery
19	system.
20	Q. And a moment ago you referred to general
21	guidelines. You said not FDA guidelines but
22	guidelines. What were you referring to?

A. Well, I'm referring to the number of textbooks and book chapters and review articles and so forth where authors have put down various characteristics of nasal spray formulations that guide individuals in approaches for developing nasal formulations.

Q. In addition to isotonicity, are there
other characteristics that you consider for a nasal
spray when formulating a nasal spray?

10 Well, there's a lot of things that get Α. 11 considered. There's everything from the dose that's intended, the solubility, the volume you're going to 12 13 be able to administer, how you're going to be able 14 to administer that. And if you have -- if there's a 15 particular goal in mind regarding that formulation, 16 where you're actually formulating it potentially to be administered to patients or potentially being 17 18 turned into a commercial product. There are some 19 additional factors regarding shelf life and drug 20 stability and ease of manufacturing, and there can 21 be a whole number of things that get considered, 22 again depending on what the goal is for the

	Page 20
1	particular formulation activity.
2	Q. For a nasal spray that will be
3	administered as a method of treatment to a human,
4	what are the characteristics you would consider in a
5	nasal spray?
6	MR. WARNER: Objection to the form of the
7	question. Vague. Incomplete hypothetical.
8	BY THE WITNESS:
9	A. Essentially many of the things I just
10	finished listing. And questions about whether
11	whether the dose is as a formulator, you'd need
12	to have at least a range of doses that you were
13	targeting. You have an idea of how that formulation
14	might be administered.
15	You have You'd likely be keeping in
16	mind, you know, is this going to be something that's
17	made at the patient's bedside? Is it something
18	that's going to be made an hour before? Is it
19	something that's going to be made a year before and
20	provided? And all of those things have different
21	levels of consideration regarding what might be
22	included in the formulation and formulation

Page 21 1 approaches. 2 When you said that you would consider the Ο. doses that you -- that were targeted, what did you 3 4 mean by that? 5 Well, as a formulator, we need to know how Α. much drug it is that we're intended to deliver. 6 And 7 typically that's whatever pharmacologic dose is 8 going to give the response that's desired. Now, doses are a -- are often given as a 9 range that you don't -- that a specific number isn't 10 11 the only number that gives the desired response. And so there's oftentimes a range of appropriate 12 13 doses, and we oftentimes need to or we'd like to 14 know what that range is to, again, give us again the 15 latitude on balancing chemical characteristics with 16 pharmacologic need and other issues regarding how we're going to go about manufacturing or providing 17 18 that particular drug product or dosage form or 19 formulation kind of depending on where we are in the 20 process of evaluating its use. 21 So if you determine that you are targeting 0. 22 a particular dose or dose range, it's important that

	Page 22
1	the drug deliver that dose or dose range, correct?
2	A. It's important that the formulation deliver
3	that, the dose that you intend.
4	Q. Okay. Is that and that's an important
5	characteristic in all nasal spray products; is that
6	correct?
7	A. It's an important characteristic in any
8	drug product, including nasal sprays.
9	Q. Next, you said you would consider how the
10	formulation was administered. And I think we were
11	talking about nasal sprays. So what did you mean
12	specifically on how it's administered?
13	A. Well, if we're talking about nasal sprays
14	as a subcategory, the need to consider what spray
15	device it is that it's going to be administered in.
16	Is somebody going to empty out a Dristan bottle and
17	you're going to refill that Dristan bottle with your
18	formulation? Are you going to obtain other spray
19	devices from other vendors?
20	There's a number of them to pick from, so
21	you need to know which of the many that you might
22	use, whether you're going to use all of the above,

whether there's only a single one that you might 1 2 have access to, a number of factors that go into selecting the spray device. And then formulating so 3 4 that it delivers the proper dose is another activity 5 that would become part of a plan for formulation б development if that spray device was required for 7 dose administration. 8 And you also said it's important that when 0. a patient -- when the formulation would be made in 9 10 relation to when it was administered, for example, I think you gave whether it's made up at the patient's 11 bedside or a year before, there would be various 12 13 considerations on that as well? 14 Is there a question there? Α. 15 Is that a correct summary? Is that 0. 16 correct? 17 Well, I said those are certainly Α. considerations that the length of time over which 18 19 that formulation will rest before it's being administered is a consideration that a formulator 20 21 addresses. 22 Are there -- in addition to the three 0.

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1	we've just gone over, can you think of any other
2	considerations that you would think about when you
3	were formulating a nasal spray that will be
4	administered to humans as a method of treatment?
5	A. Well, one of the issues, again
6	eventually well, even if it's not a commercial
7	product, if it's going to be administered to humans,
8	it doesn't have to be sterile. But you your
9	strategy is best formulated bad word best
10	planned to attempt to either be able to sterilize
11	the product or have it as, quote/unquote, near
12	sterile as possible.
13	Q. Is that because of nasal sprays are
14	multiple use or just sterility is an important
15	consideration?
16	A. Sterility is an important consideration or
17	near sterility. There's a debate about whether it's
18	mandatory, necessary, or just important.
19	Q. What is your view on that?
20	A. My view is that a nasal formulation does
21	not need to be sterile to be administered. It does
22	need to be as low bioburden as possible.

	Page 25
1	Q. What is low bioburden?
2	A. Low bioburden is the population of bacteria
3	and pyrogens and potentially other fungi, other
4	contaminants that are what we're trying to avoid
5	when we actually sterilize products. And meeting
6	the requirements for sterile dosage form for a nasal
7	delivery system, again, I don't believe it's in all
8	cases to have it absolutely sterile. But it has to
9	be low bioburden. We shouldn't be inoculating
10	people with bacteria or fungi laden dosage forms.
11	Q. That sounds like a good approach.
12	A. Yes, usually is.
13	Q. Earlier you stated you may have formulated
14	in the thousands of nasal sprays. About how many of
15	those nasal sprays were for potential use for humans
16	as a method of treatment?
17	MR. WARNER: Objection to the form of the
18	question. Vague.
19	BY THE WITNESS:
20	A. Well, and I think I'll back up. I'm not
21	sure. I may have been presumptive in my answer.
22	I've formulated thousands of formulations, not all

	Page 26
1	of them nasal sprays. And so of the materials that
2	I've formulated as nasal sprays, some of them had a
3	goal of eventually being used in humans if
4	preclinical results looked worth looked like they
5	were worth going forward. But all of my formulation
6	activities are very early in the preclinical to
7	clinical assessment phases. So I have not
8	formulated directly a nasal spray product that was
9	absolutely moving forward into use in humans.
10	Q. Have you formulated a nasal spray with two
11	active ingredients?
12	A. I believe I have, yes.
13	Q. What were the two
14	A. Actually, it wasn't a nasal spray. It was
15	a nasal formulation with more than one active
16	ingredient.
17	Q. How was it delivered if it was not a
18	spray?
19	A. It was probably delivered by drops, the
20	level we were working at.
21	Q. What were the two actives?
22	A. I'm trying to remember. There was likely

1 an anti-inflammatory. There was likely an 2 antihistamine. I don't remember whether there were -- there was an antibacterial in it or not. 3 4 And any variety of a number of other sort of 5 combinations of drugs that you'd consider using 6 nasally, we may have combined at points in time 7 during our research. 8 In a lot of the research that I do, we 9 combine things that are the actual active and then 10 something else that could be considered an active 11 agent but we're using it as an inhibitor instead. So it's sort of an anti-active. 12 13 0. So you referenced an anti-inflammatory. 14 Which active was that? 15 I have no recollection on the detail of Α. which one specifically that was. 16 17 And then you said there was an 0. antihistamine. Do you recall which? 18 19 I don't remember. We've used a series of Α. 20 antihistamines in my lab. I've worked with private 21 sponsors on other antihistamines they were 22 interested in. I have no recollection of which ones

	Page 28
1	we've combined and what we've done with them.
2	Q. Is this
3	A. Specifically.
4	Q. Oh, I apologize.
5	A. No, no, it's just I don't specifically
6	remember which ones and in what combinations.
7	Q. So is this nasal drop product the only one
8	you can recall where you combined multiple active
9	ingredients for use as active ingredients?
10	A. And again, you know, I'm struggling over
11	the term "active ingredient" because I just have a
12	different view on what the FDA labels as active
13	ingredient and what's active, so but to try to
14	meet the question you've asked, if we're talking
15	about two what the FDA would require, the
16	identification as the active agent, I'm sure we've
17	combined plenty of active agents in various
18	situations in my research program. I just struggle
19	to come up with the exact examples of when we did
20	that or what was the motivation to do it at the
21	time.
22	Q. Do you recall whether these active agents

1	that were combined were all in solution?
2	A. They were likely in solution. But I can
3	think of cases where we've dealt with materials in
4	the formulation that weren't in solution that we
5	intentionally retained in those formulations.
б	Whether they were the actives or not, I mean, we've
7	administered plenty of actives that weren't in
8	solution as individual agents. Try not to do that
9	because, again, it doesn't address mechanistically
10	many of the things we're interested in. But we set
11	up a lot of in vitro experiments where the active is
12	not in well, it's in solution and in suspension.
13	We have excess solid drug present for particular
14	reasons.
15	Q. So you've mentioned that occasionally some
16	of the formulations that you've studied, the active
17	would not have been in solution because there was
18	excess drug. Are there situations you can recall
19	where it was a suspension product that you were
20	studying, two suspensions, for example?
21	MR. WARNER: Objection to the form of the
22	question as vague.

Page 30 1 BY THE WITNESS: 2 Α. So are you asking whether both actives were both in suspension? 3 4 Ο. Yes. 5 I don't recall having done two actives, Α. 6 both of which were, you know, in solution to their 7 saturated solubility and then excess drug being as 8 two solids. I don't recall that strategy ever. 9 Have you formulated a nasal spray where 0. 10 there were two actives, one in solution and one in 11 suspension? 12 MR. WARNER: Objection to the form. Vague. 13 BY THE WITNESS: 14 Α. You know, I'm sure at some point in time 15 we've done that, that the -- it goes back to what 16 the required mass load for a particular one of the actives was and the volume that we were planning on 17 18 using for the administration. And there easily 19 could have been one API that was in suspension so it 20 had excess solid present, and the other didn't have 21 excess solid present and both had some drug concentration in solution. 22

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1	Q. Can you recall a specific example of that?
2	A. I can't.
3	Q. Have you formulated any nasal spray
4	products using fluticasone as an active?
5	A. Fluticasone is not an active ingredient
6	I've chosen to use in my laboratory in our
7	investigations.
8	Q. Why is that?
9	A. I looking using steroid products is
10	not or selecting steroids as APIs is not a
11	simple they're not simple model compounds. Their
12	analytical methods are challenging. That's
13	primarily one of the reasons that I typically don't
14	choose to use them.
15	Detection in blood, even analysis of the
16	dosage form itself requires more challenging HPLC
17	assays than I like to run on a daily basis requires
18	often back in the day, I think a very, very long
19	time ago, I worked with some steroids. Fluticasone
20	was not one of them. And we were we needed
21	special solvent systems that weren't typically used
22	in a lab.

Page 32 1 We had to -- you know, there's waste 2 disposal issues. We had to have dedicated 3 equipment. It becomes inefficient in a small 4 academic research lab. So there wasn't ever any 5 reason from a focus of my research program to need to use a steroid as a model compound, so they're 6 7 just not typically the things I use to look at. 8 You said that they needed a special Q. 9 solvent system. What kind of solvent system did 10 they need? 11 Well, again, back in the day when I worked Α. on them, the standard methods to analyze steroids 12 13 was something using -- called normal phase 14 chromatography. And normal phase chromatography 15 uses solvents like toluene and dimethyl --16 dichloromethane, other things I try not to have to 17 use in my lab. And typically -- And I'm sure now, I don't 18 19 look at steroid analytical methods, but there are 20 other improved detection systems and better columns 21 than there were at the time. And so, you know, our 22 typical materials for reverse phase chromatography

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1	now are acetonitrile and methanol. And while they
2	also have disposal issues, they don't have as many
3	exposure issues and disposal issues as toluene,
4	dichloromethane, and their friends that get used in
5	normal phase chromatography.
6	Q. And you said at the time. What are the
7	time frames?
8	A. This is back in the 1980s and potentially
9	into the early 1990s.
10	Q. And when you say that there's these
11	special solvent systems, is that to for as
12	you're describing the chromatography, you know,
13	assessing a steroid; or does it have a different
14	purpose?
15	MR. WARNER: Objection to the form of the
16	question. Compound and vague.
17	BY THE WITNESS:
18	A. Well, the analytical methods I was using
19	were high-performance liquid chromatography. And
20	the basic principle of that is you flow a solvent
21	system through a packed column of material, and your
22	separation of your material of interest from a

number of other materials that might be associated with it is dependent on partitioning functions between the solid phase and the flowing solvent phase.

5 And so the solvents that I was referring to are those flowing solvent phases that go through the 6 7 column, and it's the partitioning functions of the 8 steroids that then allow them to be separated out 9 and leave the column and be detected using some 10 analytical instrumentation. UVs comes to mind, very 11 frequently used. Fluorescence doesn't get used in most steroid analyses unless we're doing some sort 12 13 of derivatization procedure, which is even more 14 arduous. So that's what I meant by those solvents 15 is the solvent systems that were flowing through the 16 analytical equipment that were responsible for the partitioning functions to separate the steroid and 17 18 allow it to be detected by some analytical method. 19 Have you studied or formulated azelastine? Ο. 20 No, I have not. Α. 21 Is there a reason for that? Ο. 22 It's not a model compound that I was Α.

Page 35 interested in using for the work in my laboratory. 1 2 It's ... 3 Earlier, I believe you said that most of Ο. 4 your work relates to early formulation stages, 5 preclinical stages? 6 Α. Yes. 7 Is there special considerations that you Ο. 8 look for when formulating a nasal spray product in 9 the preclinical stages? 10 MR. WARNER: Objection to the form of the question. Vague and incomplete hypothetical. 11 BY THE WITNESS: 12 13 Well, in preclinical stages, you're really Α. 14 very early on in the formulation development where 15 you're identifying materials that may be useful, 16 where you're identifying systems and combinations of materials that achieve a particular goal. 17 18 You may be working towards optimizing that 19 formulation based on particular, again, goals that 20 you usually set for the formulation to start with 21 before you even begin the plan or as you're 22 beginning the planning stages with the realization

	Page 36
1	that your final formulation is going to be a
2	compromise of the possibilities of the goals you
3	identified and goals you identified based on what
4	you find the compatibilities and the opportunities
5	in those formulations as you work with them turn out
б	to be.
7	Q. Earlier we talked about a few
8	considerations, such as dosing that you were
9	targeting. Do you recall that?
10	A. Yes.
11	Q. Is that a consideration in the preclinical
12	development stage?
13	A. In preclinical development stage, we need
14	to know we typically need to know the amount of
15	drug that's intended to be included in a dose or the
16	sequence of doses that's going to be in the product
17	or whether it's the product or whether it's in the
18	formulation that we're going to be studying.
19	So the amount that you intend on delivering
20	is one of the pieces of information that you start
21	with or at least a range of doses that you're
22	interested in looking at is something that's part of
	Page 37
----	--
1	the initial plan for what you're going to formulate.
2	Q. And how the drug is administered, is that
3	also important in the preclinical stage?
4	A. At preclinical stage, not necessarily. You
5	still have latitude based on what you learn about
6	the formulation and the behaviors of the particular
7	materials to potentially change the method in which
8	it would be administered based on what you learn.
9	Q. So would you change the method of
10	administration at that stage? Or what would do?
11	MR. WARNER: Objection to the form of the
12	question. Vague and incomplete hypothetical.
13	BY THE WITNESS:
14	A. Well, as I said, when we're starting
15	formulation development, we oftentimes have a goal
16	in mind for how the product might be administered.
17	We might learn along the way that that method of
18	administration is likely not going to be effective,
19	be possible, be a number of things. And we're aware
20	of potentially other methods for administration that
21	could accomplish the same goal. And you change the
22	formulation goal to then meet the needs of the

Page 38 alternative method. 1 2 So the early preclinical development is open to a lot of possibilities. Even if you are 3 4 staying in the same route of administration, you 5 have plenty of opportunities to select methods of б administration. 7 In preclinical development, is when the 0. 8 drug is formulated in comparison to when it is 9 administered important? 10 It's always important because when Α. 11 you're -- if you're going to administer is to an 12 animal system, to a cell system, to a human, you have to be sure that the drug and other things that 13 14 you're planning on measuring is still in the form 15 that you think it's in. 16 So you at least need to have a knowledge 17 that it's chemically stable enough to be the drug 18 that at the concentration that you had formulated or 19 had intended to be at when you administer it so that 20 you know what you're measuring against as you're 21 doing those tests to evaluate its performance. 22 (Donovan Exhibit Number 1 marked

Page 39 1 for identification.) 2 BY MS. EVERETT: 3 I'm going to hand to you your reports. 0. And the court reporter has marked as Donovan 4 5 Exhibit 1, the Expert Report of Maureen Donovan. 6 Just do them all at once. 7 (Donovan Exhibit Number 2 marked 8 for identification.) 9 BY MS. EVERETT: 10 Donovan Exhibit 2 is Expert Rebuttal 0. 11 Report of Maureen D. Donovan, Ph.D. 12 (Donovan Exhibit Number 3 marked 13 for identification.) 14 BY MS. EVERETT: 15 Exhibit Donovan 3 is Expert Reply Report 0. 16 of Maureen D. Donovan, Ph.D. 17 And, Dr. Donovan, please just take a 18 moment to look through Exhibits 1, 2, and 3, please. 19 What is Exhibit 1? Is this your opening 20 report? 21 Exhibit 1 is as labeled. It's the expert Α. 22 report I provided in this case.

	Page 40
1	Q. And Exhibit 2 is your rebuttal report?
2	A. Yes.
3	Q. And Exhibit 3 is your reply report?
4	A. Yes.
5	Q. Together do these form the basis for your
6	opinions in the case?
7	A. These describe my opinions in this case,
8	yes.
9	Q. Well, what is the distinction you just
10	made between describes your opinion versus I said
11	the basis of your opinion?
12	A. I guess I just repeated in my own layman's
13	terms what I would define these as.
14	Q. Got it. Now, there's nothing this
15	is I just want to make sure this is the sum of
16	your opinions what we have in 1, 2, and 3.
17	MR. WARNER: Objection to the form of the
18	question. It's vague.
19	BY THE WITNESS:
20	A. Okay. I'll tell you what I'm wrestling
21	with. I have thousands of opinions, but they are
22	not necessarily as defined in legal terms what

Page 41 opinions are. So that's what I'm struggling with, 1 2 what is it that I'm supposed to answer that I have no other thought regarding anything that might be 3 4 related to anything in this case? That's not true. 5 I have plenty of thoughts. Whether they're legal 6 opinion, that I'll leave to somebody else to decide. 7 So the bulk of information that I believe is 8 relevant to this case is expressed as the opinions 9 in these reports. 10 Are there any thoughts or information Ο. 11 related to this case that you have not expressed? Not intentionally. 12 Α. 13 Ο. Okay. If you have -- And you may want to 14 take a moment to look. You've attached Materials 15 Considered lists to each of your reports; is that 16 correct? 17 Α. That's correct. At least I saw them as I 18 flipped through. At least the first one, yeah, the 19 second one, and yes, they're all there. 20 So if you intend to rely on any 0. 21 documentary evidence to support your opinion, have 22 you included it either in your report by citation or

Page 42 on your Materials Considered list for each of your 1 2 reports? 3 Yes, unless it's just knowledge that I have Α. retained over my years of experience. 4 5 Understood. And that's why I made the Q. distinction on documentary evidence. 6 7 Α. Yes. 8 Is there any other documents -- strike Q. 9 that. 10 The documents you intend to rely on to support your opinions have been disclosed either 11 through citation in your report or in your Materials 12 Considered list; is that accurate? 13 14 Α. Yes. 15 Great. I'm going to have you turn to your 0. 16 opening report. We'll start at 22. My questions 17 will be a little bit later. They'll be on person of 18 ordinary skill. 19 MR. WARNER: Paragraph or page? 20 BY MS. EVERETT: 21 Oh, paragraph -- person of ordinary skill 0. 22 discussion starts at 22, although my questions

	Page 43
1	probably relate to later paragraphs.
2	A. Okay.
3	Q. In your definition of person of ordinary
4	skill, you said: A person of ordinary skill in the
5	art may have a master's degree in chemistry,
б	biology, chemical engineering, or pharmaceutical
7	sciences with 1 to 3 years of experience in
8	formulation development of nasal agents as well as
9	the ability to operate independently on formulation
10	activities.
11	A. Are you reading Paragraph 25?
12	Q. I am, yes.
13	A. Okay.
14	Q. Why in your definition of person of
15	ordinary skill did you include that that person
16	needed experience in development of nasal agents?
17	A. Well, because a person with a master's
18	degree in any of the areas that I have mentioned has
19	the intellectual background to understand the
20	strategies for formulation development and, in
21	particular, nasal formulation development. Yet if
22	they have not considered directly nasal formulation

	Page 44
1	development, then just because of their training,
2	their education, there's no assurance that they
3	that they would be able to operate immediately as a
4	person of ordinary skill in the art without having
5	had some specific activities involving nasal dosage
б	forms.
7	Q. Is that because there are again unique
8	formulation considerations in developing a nasal
9	dosage form as opposed to another dosage form?
10	A. Well, there are considerations with any
11	dosage form. And one needs an awareness of what
12	those are in order to formulate a development plan
13	and carry that out to and be able to evaluate
14	appropriate modifications to the plan.
15	And, again, people who have general
16	knowledge and experience in other other areas of
17	formulation development certainly have the
18	appropriate background and intellectual well, if
19	they meet any of these descriptions of POSA, they
20	have the intellectual background to understand and
21	to learn to be a nasal formulator.
22	But as a person of ordinary skill in the

	Page 45
1	art in my definition, that person actually has to be
2	skilled in nasal formulation or have really
3	considerable education and similar experience in
4	other formulation development activities.
5	Q. When you say as I understood it, you
б	say a person has to actually be skilled in nasal
7	formulation or have similar education and similar
8	experiences in formulation development. What are
9	those similar experiences?
10	A. They would need to have other formulation
11	development activity experiences. Typically, I
12	mean, my preference would be in the area of some
13	sort of sterile dosage form. Those are the best
14	translatable dosage forms to some of the
15	considerations necessary for nasal dosage forms.
16	But I have seen and been aware of
17	formulators who don't have any sterile dosage form
18	experience who have other experiences who very
19	rapidly learn what the considerations are in nasal
20	drug development and operate as POSAs very quickly.
21	Q. But still in your definition of a POSA, if
22	a person had a master's degree and 1 to 3 years of

Page 46 experience, they would need to have experience with 1 nasal agents? 2 3 They would need experience with developing Α. a nasal dosage form to be considered as a POSA, yes. 4 5 You go in your definition to state: Such 0. a person may also have a Ph.D., in chemistry, 6 7 chemical engineering, or pharmaceutical sciences 8 with at least one year of experience in formulation 9 development of sterile dosage forms. 10 Is that correct? 11 Α. Yes. And here you said they would require at 12 Ο. 13 least one year of formulation development in sterile 14 dosage forms? 15 Α. Correct. 16 You didn't limit it to just nasal dosage 0. 17 forms? 18 Α. Correct. 19 Why is that? 0. 20 Well, because a person who has a Ph.D. in Α. 21 any of those areas has the -- both the intellectual 22 capabilities and the awareness of the needs to

	Page 47
1	gather additional information prior to starting
2	whatever endeavor they're going to be starting
3	scientifically.
4	And so that person would have the ability
5	to quickly understand the literature and know how to
6	apply that literature, whether their experience had
7	been in more general sterile dosage form areas or
8	whether it had actually been specifically in nasal
9	formulation.
10	Q. And when you say "sterile dosage forms,"
11	can you define that further?
12	A. Well, there are dosage forms that are
13	available commercially or even any if they're
14	being used in humans, that they are free of
15	bacteria, fungi, other biological contaminants.
16	They're most typically the products that get
17	injected in people's bodies. Ophthalmic dosage
18	forms are sterile dosage forms. And pulmonary
19	nebulizer systems are sterile dosage forms, so there
20	are a number of different routes of administration
21	that a sterile dosage form would be used at or used
22	with.

	Page 48
1	Q. And I think we spoke a moment ago, you
2	said it was controversial whether nasal sprays fell
3	into the sterile dosage form category?
4	A. Well, it's not
5	MR. WARNER: Objection to the extent it
б	mischaracterizes the previous testimony.
7	BY THE WITNESS:
8	A. It's not that they don't fall into the
9	category. The controversy is whether as provided to
10	the user, whether they are whether they must be
11	sterile or whether they just must be low bioburden.
12	Q. Okay. Now I'm going to turn to
13	Paragraph 26, please. In here, it appears you've
14	reviewed the report of Robert P. Schleimer, who has
15	defined a person of ordinary skill as someone having
16	an M.D., Ph.D., or PharmD in the field of allergy,
17	immunology, and/or pharmacology or the equivalent
18	and at least 3 additional years of experience in the
19	treatment or research for treatments of allergic
20	rhinitis, including nasally administered steroids
21	and antihistamines.
22	Do you see that?

	Page 49
1	A. I see that, yes.
2	Q. Do you have any opinion whether the POSA
3	as defined by Dr. Schleimer must have research for
4	treatments of allergic rhinitis?
5	A. Can you state that again? I'm going to
6	reread what this says, and then I'll ask you to
7	restate the question.
8	Q. Sure. Do you have any opinion
9	A. No, wait, just a moment. Let me read.
10	Then you state it.
11	Q. Okay.
12	A. Okay. Please restate the question.
13	Q. Do you have any opinion whether a POSA as
14	defined by Dr. Schleimer must have the experience of
15	having researched treatments for allergic rhinitis?
16	A. I think both the statement and the
17	current as I explain that statement, they don't
18	have to have experience in research. That's one of
19	the possibilities of their experiences that would
20	qualify them as a POSA.
21	Q. Okay. In your report, you begin with the
22	proposition that a POSA would want to formulate a

	Page 50
1	nasal spray product using azelastine and fluticasone
2	as the active ingredients. Is that correct?
3	A. I guess that's a general starting point
4	that I'll accept, sure.
5	Q. And I'm referring to, if you want to look
6	at Paragraph 35 of your report where you state: I
7	began my analysis by considering the following: If
8	a POSA were asked immediately prior to the date of
9	the alleged invention to make a combination nasal
10	spray product using azelastine hydrochloride and
11	fluticasone propionate as active ingredients,
12	consistent with Dr Schleimer's report and the actual
13	prescribing practices at the time, what would be the
14	obvious way to proceed.
15	Do you see that?
16	A. I do see that.
17	Q. And is that where you started your
18	analysis?
19	A. Yes, that if I, as a formulator, had been
20	asked or was part of a team that was identifying a
21	product and we had decided that was going to be a
22	combination of azelastine and fluticasone, that's

Page 51 where I would start. 1 2 0. And you did not consider whether a person of ordinary skill would even have selected 3 4 azelastine or fluticasone to begin with; is that 5 right? 6 Well, there's always a part of even when a Α. 7 POSA is asked to perform something, there's usually 8 a thought process that goes along with that of, gee, 9 that's interesting, an interesting project or 10 whatever. Why didn't -- you know, it's usually not 11 why does that get suggested? It's does that make sense and what an interesting pursuit, oftentimes. 12 13 So the POSA here in this situation didn't, 14 you know, wake up one morning and decide to do that. 15 The situation was posed that we're going to -- we're 16 going to develop a combination product of azelastine 17 and fluticasone propionate, but there's usually some sort of POSA thought process that's, like, okay that 18 19 either makes sense or doesn't make sense or I 20 understand what the goals of this project are. 21 For the POSA thought process to select 0. 22 azelastine and fluticasone, did you rely exclusively

Page 52 on Dr. Schleimer's report and, as you describe it, 1 2 actual practices, prescribing practices at the time? 3 MR. WARNER: Sorry. One second. Can you 4 repeat that? 5 BY MS. EVERETT: 6 Sure. For the -- to determine the POSA's 0. 7 thought process on -- strike that. 8 To -- When you -- a moment ago you mentioned that there's a thought process a POSA has 9 10 when selecting ingredients or starting a project; is 11 that right? Well, I think the POSA is engaged somewhat 12 Α. 13 in the thought process. Whether they're formally 14 the individual making the decision on what the 15 project is or whether they're receiving that project 16 and then beginning to develop a formulation plan for 17 how to go about developing those early formulations, 18 there's some engagement in the -- or at least an 19 understanding of the goals of that project. 20 And for your starting point of selecting 0. 21 azelastine and fluticasone, you did not disclose the 22 engagement or thought process of a formulator; is

Page 53 1 that correct? 2 Α. Well, again I --3 MR. WARNER: Object to the form of the question 4 and mischaracterizes the testimony and the report. 5 You can answer. 6 BY THE WITNESS: 7 Okay. As stated here, I was -- both my Α. 8 opinion and -- well, let me go back and say that the 9 POSA may not be the originator selector in all 10 cases. They can be at times. But I'm perfectly willing to accept Dr. Schleimer's opinion that 11 combining these was something that a POSA could do 12 and there's a motivation to do that. As an 13 14 independent POSA that also makes sense to me that 15 that would be a combination to select and move 16 forward with. So I just want to make sure I understand. 17 0. For your opinion, you started with the proposition 18 19 that Dr. Schleimer's opinion that combining these 20 two was something a POSA would do? You started 21 with -- that was your starting point for your 22 analysis; is that correct?

	Page 54
1	A. For my analysis in this case, that was my
2	starting point. But it complemented my own
3	expertise and knowledge of nasal dosage forms. And
4	I share that same opinion, that combining these,
5	there would be a motivation to combine these two.
6	Q. Did you But you don't disclose your
7	opinion doesn't disclose why a POSA would select
8	azelastine and fluticasone, does it?
9	MR. WARNER: Objection to the form of the
10	question. Mischaracterizes the report.
11	BY THE WITNESS:
12	A. I believe that in the report, I rely on
13	Dr. Schleimer's opinions for the actual selection of
14	azelastine and fluticasone. But again, they are
15	consistent entirely with my knowledge and
16	understanding of nasal sprays and treatments.
17	Q. You stated that you share Dr. Schleimer's
18	opinion that there would have been a motivation to
19	combine these two actives. What is the basis for
20	your opinion?
21	A. The basis for that opinion is I am trained
22	as a pharmacist. I've worked in various settings

Page 55 1 dealing with patients. I've dispensed nasal dosage 2 forms, nasal sprays. 3 And I am -- as a formulator, I understand 4 some of the issues regarding combination products 5 and understand certainly some of the advantages to combination products for patient use. So all of 6 7 those experiences and understandings complement 8 completely Dr. Schleimer's opinion. 9 Did you take an independent review of the Ο. 10 literature to decide whether a POSA in June 2002 would have decided to select azelastine and 11 12 fluticasone to formulate into a nasal spray? 13 Again, I relied on Dr. Schleimer's opinion. Α. 14 But I was a POSA in 2002 and would have -- if 15 directed as a POSA to formulate that, would have 16 found those to be choices that I or many others would have made. 17 18 So to start this case, I didn't do an 19 independent review to confirm Dr. Schleimer's 20 opinion because it was completely in keeping with my 21 POSA experience in 2002. 22 And again, just to clarify, you said if Q.

Page 56 you were directed to formulate these choices, you 1 2 would have done so; is that --3 Well, I don't think that's what I said. Α. 4 But what I'm trying to say is, again, I rely on 5 Dr. Schleimer's opinion. But all of my opinion are certainly in keeping with his regarding the 6 selection of these two. And as the POSA formulator, 7 8 there's not the expectation that I would be the one 9 to necessarily select the agents but, at times, a 10 POSA formulator can be the individual to select the 11 particular agents. 12 I do that in my laboratory all of the time, 13 select the agents that are going to be included in a 14 formulation. So in this case, I am perfectly 15 willing to rely on Dr. Schleimer's opinion, but I 16 have a parallel opinion that's entirely consistent 17 with his. 18 MS. EVERETT: Okay. Now might be -- I think 19 we've been going for an hour. 20 MR. WARNER: Take a break? 21 MS. EVERETT: Is this a good time for a break? 22 THE VIDEOGRAPHER: This marks the end of DVD

Page 57 No. 1. We are off the record at 10:09 a.m. 1 2 (A short break was taken.) 3 THE VIDEOGRAPHER: Here begins DVD No. 2. We are on the record at 10:36 a.m. 4 5 BY MS. EVERETT: б Welcome back, Dr. Donovan. Q. 7 Thanks. Α. 8 Before the break, we were discussing Q. 9 Paragraph 35. And you said that you were relying on 10 Dr. Schleimer's opinion that a POSA would have selected azelastine and fluticasone propionate to 11 formulate a nasal spray. 12 13 Do you recall that discussion? 14 Α. Yes. 15 You also stated that you had a parallel Q. 16 opinion that was consistent with that, with Dr. Schleimer's opinion? 17 18 Α. Yes. 19 Okay. And what is your parallel opinion 0. 20 on that? 21 My opinion is that azelastine and Α. 22 fluticasone propionate were easily identifiable as

Page 58 two materials to combine into a nasal spray product 1 2 in 2002. 3 How did you arrive at the conclusion that Ο. 4 azelastine and fluticasone propionate were easily 5 identifiable as two materials to combine into a nasal spray in 2002? 6 7 Because they were both successful Α. commercial products as individual entities in 2002. 8 9 Did you have any other basis to arrive at 0. 10 your opinion? 11 I would say that that's the majority of the Α. reason outside of my general knowledge of, you know, 12 they're clearly safe and they're effective as their 13 14 individual agents, and I would have had no basis to 15 suspect that they couldn't be combined. 16 You qualified your answer that's the Ο. 17 majority of your reason. Is there any other reason? 18 I'm sure if I sat down and thought for a Α. 19 period of time, I might be able to come up with some 20 other reasons that would motivate me or would assure 21 me that in 2002, I would have thought to combine 22 these. But again, their commercial success is the

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1	very most top of the list.
2	Q. Are the reasons if you intend to testify
3	at trial about a reason a POSA would have combined
4	azelastine and fluticasone, are they disclosed in
5	your reports?
6	A. Well, again, I think in my report, I relied
7	on Dr. Schleimer's opinion as the reasoning for
8	combining those. And again, I said I have a
9	parallel opinion. I am perfectly comfortable
10	agreeing with Dr. Schleimer's opinion. I'm not sure
11	what in addition you'd like me to say.
12	Q. I just want to find out if you're going to
13	testify to any other bases, I would like to know
14	that today.
15	A. I don't anticipate adding in any other
16	bases to my opinion on this matter.
17	Q. Okay. Thank you.
18	Also in Paragraph 35 you state in
19	addition to we've talked about the selection of
20	azelastine and fluticasone being consistent with
21	Dr. Schleimer's report, you state: And the actual
22	prescribing practices at the time.

	Page 60
1	Do you see that?
2	A. Yes.
3	Q. What did you what were you referring to
4	when you said "actual prescribing practices at the
5	time"?
6	A. I was referring to the information provided
7	by Dr. Schleimer and others and just general
8	knowledge that there were individuals who were using
9	both of these products for treatment.
10	Q. How did you have general knowledge that
11	there were individuals who were using both of these
12	products for treatment?
13	A. Again, I am a pharmacist by original
14	degree. In 2002 I wasn't practicing, but I was
15	certainly aware of what people were using in nasal
16	therapeutics in particular. And there would be no
17	reason why a prescriber wouldn't combine both of
18	these in an attempt to modify patient symptoms, and
19	it was I had family members who have allergies
20	that were not using these in combination, but they
21	didn't need them, but that would have been a certain
22	next step if they did.

	Page 61
1	Q. So is it your testimony that you were
2	aware of people using these in combination, or is it
3	your testimony that there would be no reason
4	somebody would not use these in combination?
5	MR. WARNER: Objection to the form of the
6	question. Compound and mischaracterizes the
7	testimony.
8	BY THE WITNESS:
9	A. And it's actually both. I was aware that
10	they were used in combination in individuals, and
11	I'm aware that they could be used in combination in
12	individuals.
13	Q. So when you said you were aware that they
14	were used in combination in individuals, how did
15	that information come to you?
16	A. It comes to me from, again, general reading
17	and conversations with people that are, you know,
18	involved in patient therapy and information that
19	comes across my computer or my desk about
20	advertisements and other media and other casual
21	conversations with my colleagues.
22	There's a whole number of ways that

¹ information about how patients are using and ² prescribers are prescribing can enter into my ³ knowledge base.

Q. So you said general reading, started with general reading. What did you read that told you that patients were using azelastine and fluticasone in combination prior to June 2002?

8 Again, I don't recall ever reading or Α. intentionally going to look for such information. 9 10 It's perfectly consistent with my knowledge base 11 that patients would be using both of these together. Dr. Schleimer has stated an opinion or given 12 13 information that as a prescriber, he prescribed them 14 together. It's completely supported by general 15 knowledge base of these medications, so I didn't go 16 look for specific literature to support that. I had 17 no reason to.

Q. Did you -- Have you ever reviewed a physician's patient records to confirm whether he or she was prescribing azelastine and fluticasone propionate in combination to a patient prior to June 2002?

62

	Page 63
1	A. I do not generally review patient or
2	prescriber records. And I don't recall actively
3	reviewing prescriber records to confirm that
4	somebody actually had, when they said they
5	prescribed them together, they actually did.
6	Q. And do you recall anyone telling you that
7	they prescribed them together?
8	A. I'm certainly recently in this case,
9	I've seen reports of prescribers saying they used
10	them together. But in 2002 or prior to that, I
11	don't have any recollection of a specific prescriber
12	telling me that they prescribed these two together.
13	But I would have no reason to even ask that because,
14	again, it would have been an obvious combination
15	to for prescribers to combine.
16	Q. So you're saying in 2002, you have no
17	specific knowledge of whether this practice
18	occurred, that patients took azelastine
19	hydrochloride and fluticasone propionate in
20	combination?
21	A. No. What I said, in 2002 is I never talked
22	to individual prescribers about whether they were

	Page 64
1	prescribing these two in combination.
2	Q. In 2002 do you have any specific knowledge
3	of patients who took azelastine hydrochloride and
4	fluticasone propionate in combination?
5	A. As specific individuals, no. But I
б	certainly know that it was a practice, and there
7	were individuals who were receiving both of those.
8	Q. So I just want to make sure I understand
9	the basis for you to say that. You said you haven't
10	spoken to prescribers. You have no specific
11	knowledge of patients taking it, and you don't
12	recall it in any specific literature or publication.
13	So how do you know that this was occurring?
14	MR. WARNER: Objection. Asked and answered.
15	BY THE WITNESS:
16	A. Because, again, I have clinical training in
17	pharmacy. I have knowledge of commercial success of
18	products. I have knowledge of how patients and
19	prescribers combine medications to meet particular
20	needs, and so I didn't need to know a specific
21	prescriber. I didn't need to know a specific
22	patient. Conversations happen all the time about

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¹ general prescribing practices; and information about ² general prescribing practices is available in plenty ³ of tertiary literature, not even under the guise of ⁴ prescribing practices.

5 So in 2002, I was aware generally that this occurs. It's a possibility. But a specific 6 7 instance with a specific prescriber with a specific 8 patient is out of the realm of my daily activities. 9 When you -- And to be clear, you say Ο. 10 you're generally aware. But you don't have a specific recollection of how this information came 11 to you? 12

A. Again, I get lots of information all the
time about general use of products, preferences,
observations. So no, I don't have a specific
recollection of a single one point in time transfer
of knowledge of this specific fact.

Q. And I just wanted to clarify something you said earlier. You said that you had learned about some of the prescribing habits because Dr. Schleimer prescribed. I just want to make sure, did you mean Dr. Accetta was a prescriber?

	Page 66
1	A. I think if I would be pointed to the place
2	in my report where I refer to Dr. Accetta's report,
3	my recollection off the top of my head, but I would
4	take the opportunity to refer to that section about
5	prescribing practices.
6	Q. Sure. I was referring to your testimony a
7	moment ago. I think you referred to Dr. Schleimer
8	as a prescriber, which
9	A. I may have been mistaken.
10	Q. Okay. So if you refer to your opening
11	report, Paragraph 95
12	MR. WARNER: 95?
13	MS. EVERETT: Yes.
14	BY THE WITNESS:
15	A. Okay.
16	Q. When you reviewed Dr. Accetta's
17	transcripts, did you review the exhibits attached to
18	the deposition?
19	A. I'm sure I glanced quickly at them, but I
20	didn't review them in detail.
21	Q. Have you reviewed strike that.
22	Do you recall reviewing Dr. Accetta's

Page 67 1 patients records? 2 Α. I don't recall reviewing his patient 3 records. Okay. So did you take into consideration 4 Ο. 5 the amount of each active that Dr. Accetta б prescribed to a patient? 7 Not -- I didn't take that into specific Α. 8 account, no. 9 Did you take into account the reasons he 0. 10 may have prescribed azelastine and/or fluticasone to 11 a particular patient? Not to a particular patient, no. 12 Α. 13 0. Did you take into account the suggestions 14 he may have made to a patient on how to use 15 azelastine and fluticasone in -- to a particular 16 patient? 17 Α. I don't recall seeing any of those 18 suggestions in a particular patient, you know, as 19 notable. And a lot of that is because it's entirely 20 consistent with what all prescribers -- or not all 21 but many prescribers and many other people know how 22 to work with patients to increase their adherence to

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Page 68 a drug regimen. 1 2 Do you recall whether he told patients to 0. use the two actives consistently? Strike that. 3 Do you recall whether he told his patients 4 5 to use azelastine and fluticasone together б consistently? 7 I'm -- I mean, I don't recall his specific Α. directions to individual patients. But I'm not sure 8 9 what you mean by consistently. And again, if we 10 want to talk about this in generalities, we can continue to do that; but I don't recall his specific 11 instructions to specific individual patients. 12 13 Do you recall looking at any other patient 0. 14 records or any other prescribing habits to come up 15 with your view that physicians were prescribing 16 azelastine and fluticasone together for patients 17 before June 2002? 18 Again, I don't recall looking at the Α. 19 specific details of individual records, but it's 20 because that prescribing habit or prescribing 21 activity is entirely consistent with what I knew was 22 going on and what I would have anticipated would be

¹ prescriber behavior.

2	Q. Do you recall whether physicians were
3	encouraging patients to take azelastine and
4	fluticasone together consistently or just as needed?
5	MR. WARNER: Objection to the form of the
6	question. Asked and answered.
7	BY THE WITNESS:
8	A. Again, you need to be a lot more specific
9	about consistently. But there are a variety of
10	compromises physicians make with individual patients
11	to get them to use their medications as their
12	physicians would like them to.
13	Q. So do you know whether physicians in
14	June 2002 were telling patients to take azelastine
15	and fluticasone together on a daily basis, or were
16	they saying they could take them together as needed?
17	A. I think that's very dependent on the
18	particular individual. I think either case is
19	something that might be told to a patient. It's
20	very dependent on those patients' individual
21	symptoms and typical adherence patterns, ability to
22	afford, a number of other things. So there's all

Page 70 of -- I'm sure there are physicians who have told 1 2 their patients any of the above. 3 So do you have specific knowledge of 0. whether it was either or both or something else? 4 5 Again, I don't recall looking at a specific Α. physician note and the specifics of the instructions 6 7 for use of -- in a specific individual patient. But I know general prescribing practices, I know general 8 9 adherence techniques, and there are likely a variety 10 of different ways that prescribers might have 11 adapted what may have been the optimal therapeutic regimen to an individual patient's needs or use. 12 13 0. In your report, you consider a number of 14 the inactive ingredients in the patents-in-suit. Is 15 that correct? 16 MR. WARNER: Objection to the form of the question to the extent it mischaracterizes the 17 18 report, and it's vague. 19 BY THE WITNESS: 20 Okay. And since I'm on Page 29, the Α. 21 paragraph -- above Paragraph 96 is labeled Inactive 22 Ingredients, I will agree we call things inactive

	Page 71
1	ingredients as I described them in the report to be
2	consistent with the typical FDA classification of
3	active and inactive ingredients.
4	But I've already spoken to the fact that as
5	a formulator, an ingredient is an ingredient.
6	They're in formulations for purposes, some of which
7	are intended pharmacologic activities, some are
8	intended to do other activities. Some do many
9	activities.
10	Q. Well, since inactive ingredient is the
11	words you've selected for your report, would it be
12	all right if we continue to refer to them as
13	inactive ingredients?
14	A. With the recognition that inactive
15	ingredients does not mean that they have zero
16	activity and zero role.
17	Q. Fair enough. In your report, you identify
18	a number of these inactive ingredients, correct?
19	A. Uh-huh.
20	Q. And on I'm going to turn you to
21	Page 30, Paragraph 101, where you discuss
22	surfactants. What is a surfactant?

	Page 72
1	A. A surfactant is a surface active agent. So
2	it's a material that has the ability to interact at
3	interfaces and change the properties of materials at
4	those interfaces.
5	Q. Is a surfactant also known as a wetting
6	agent; is that
7	A. One of the roles surfactants can play is as
8	a wetting agent.
9	Q. And what does a wetting agent mean?
10	A. In pharmaceutical terms, usually a wetting
11	agent is a material that interacts at the surface of
12	a in typical cases of a hydrophobic material and
13	improves its interaction with polar materials,
14	typically water.
15	Q. And do all nasal sprays have surfactants?
16	A. You know, I can't speak to the components
17	of every single nasal spray in the world. But my
18	general knowledge tells me no, not all nasal sprays
19	contain surfactants.
20	Q. You state in 101, if it were not there,
21	and I think "it" refers to surfactant; is that
22	correct? Second sentence.
	Page 73
----	--
1	A. Yes, "it" refers to surfactant.
2	Q. If it were not there, one would expect the
3	inactive ingredient would form agglomerates and
4	separate out to such an extent that it would not be
5	evenly distributed and result in a variable amount
6	of drug delivered in each spray.
7	Do you see that?
8	A. I see that.
9	Q. Is that a consideration in all nasal
10	sprays?
11	A. Well, the ability to have a consistent dose
12	administered is a consideration in all nasal sprays.
13	The rest of the information in that sentence is
14	rather specific to the active ingredient in Flonase,
15	which is what is being discussed in that paragraph.
16	Q. So it is your opinion that the surfactant
17	is necessary because otherwise Flonase would form
18	strike that.
19	In your opinion, you're saying the
20	surfactant is necessary because otherwise
21	fluticasone propionate would form the agglomerates
22	and separate out; is that correct?

	Page 74
1	A. That's my expectation as a formulator that
2	that is very likely to occur with fluticasone
3	propionate in water.
4	Q. In your report, you also discuss
5	thickening agents. Is that accurate? And
6	A. Are you on a specific paragraph? Yes, we
7	discuss
8	Q. And go ahead and look through your report.
9	I think there's a discussion on Page 33, but feel
10	free to look at wherever you need.
11	A. Okay. I just wanted to make sure that the
12	term was used, yes.
13	Q. What is a thickening agent?
14	A. A thickening agent is in general something
15	that increases the viscosity of a system.
16	Q. Why is viscosity of a system strike
17	that.
18	Why is viscosity important for a nasal
19	spray?
20	A. Well, there's a number of reasons why
21	viscosity can be important. It can be important
22	just in formulation itself, or it can be important

	Page 75
1	for nasal spray intended for a pattern of deposition
2	and retention in the nasal cavity. So there's
3	multiple purposes to thickening agents in nasal
4	spray formulations.
5	Q. When you said it can be important itself,
б	what did you mean?
7	A. I'm sorry? What can be important itself?
8	Q. There are a number of reasons I believe
9	you stated: There are a number of reasons viscosity
10	can be important. It can be important itself, or it
11	can be important for a nasal spray intended for a
12	pattern of deposition, retention. I just want to
13	focus on the first part.
14	A. Well, I mean
15	MR. WARNER: Sorry. What's the question?
16	BY MS. EVERETT:
17	Q. What did you mean when you said viscosity
18	can be important itself?
19	A. That was referring to the formulation
20	itself, so the viscosity of the formulation can be a
21	key characteristic and component or parameter of the
22	formulation. In a nasal spray, there can also be

	Page 76
1	reasons why we might want increased viscosity
2	materials once administered to perform functions in
3	the nose.
4	Q. So when you said it's a key component in
5	the formulation, you are you referring to just
6	viscosity of the actual dosage form itself or the
7	A. I'm referring to the viscosity of the
8	formulation itself, yes.
9	Q. Separate and apart from how it sprays, is
10	that are you drawing a distinction there?
11	A. Well, I'm drawing the distinction between
12	the formulation as it's formulated and is in the
13	container ready to be delivered and the formulation
14	once administered, its activities in the nasal
15	cavity.
16	Q. Why is viscosity important for the
17	formulation in the container?
18	A. For the formulation in the container, it's
19	a key especially if we're concerned with
20	suspension formulations, viscosity is a key
21	parameter to consider for suspension stability.
22	There may be other reasons why we'd want to modify

Page 77 the viscosity of a particular formulation that --1 2 and we might even want to do that with a solution 3 formulation. There are interactions between the 4 5 viscosity and the devices. And again, there's the 6 goal oftentimes of increasing the viscosity with 7 hopes that it has some effect once administered into 8 the nasal cavity. 9 So when you say it's a key component for Ο. 10 suspension stability, what are you referring to? 11 I'm referring to suspension physical Α. 12 stability. 13 It's important that a suspension -- strike 0. 14 that. 15 Is it important -- it's important, isn't 16 that an active ingredient that is suspended continues to stay suspended? 17 18 MR. WARNER: Objection to the form of the 19 question. Vague. You can answer. 20 BY THE WITNESS: 21 Okay. It's important in a suspension that Α. 22 the material either stay suspended or be readily

	Page 78
1	able to be resuspended with shearing.
2	Q. And it's viscosity that determines whether
3	the suspension stays suspended or is able to be
4	readily resuspended?
5	A. It's not only the viscosity that determines
6	that.
7	Q. It's one of the properties that determines
8	that, correct?
9	A. It's a property that can affect whether
10	that happens, how fast it happens, a number of other
11	aspects of the suspension settling or ability to
12	remain dispersed.
13	Q. What other strike that.
14	What other agents affect the ability of a
15	suspension to stay suspended or to be resuspended
16	upon settling?
17	MR. WARNER: Objection to the form of the
18	question. Incomplete hypothetical.
19	BY THE WITNESS:
20	A. There The standard considerations in
21	suspensions and suspension physical stability are
22	things like the particle size, sometimes the

	Page 79
1	particle shape, even the particle density. Other
2	materials that are added can potentially limit the
3	direct interaction between particles so we don't
4	start forming particle it's usually called an
5	agglomerate that is not easily broken apart.
6	Viscosity plays a role. Temperature we might leave
7	the formulation at might play a role. There's a
8	number of contributing factors to suspension
9	physical stability.
10	Q. Is there a target viscosity for a nasal
11	spray?
12	A. The There are I think I think people
13	have expressed targets for individual formulations.
14	But my experience is that if people claim there
15	should be a target, that that again is very
16	dependent on the formulation, the device, and the
17	intention for what the goal of that viscosity
18	change or parameter is. So there's not a one
19	viscosity for the universe of nasal dosage forms.
20	Q. Is there a range?
21	A. Again, it's very it's dependent on the
22	particular formulation parameter and use and need.

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Page 80 It's somewhat of a custom-determined characteristic. 1 2 We can make it even more complicated that it depends on what you're measuring and calling viscosity. 3 Τf 4 you're measuring viscoelastic behaviors and looking 5 at the viscous modulus and if you're measuring complex viscosity, are you measuring kinematic 6 7 viscosity or are you measuring intrinsic viscosity? 8 What viscosity is it you're actually describing? 9 And because there are a number of ways of measuring 10 and describing viscosity, giving an absolute range for viscosity for any particular group of dosage 11 forms that happens to get administered as nasal 12 13 sprays is not something that most people would even 14 anticipate would be -- it wouldn't be something that 15 a formulator would use to direct them in any 16 particular way. 17 Ο. Is there a target viscosity for a nasal

¹⁸ spray that will be used for allergic rhinitis?
¹⁹ A. Again, it's very dependent on what the
²⁰ materials are in the formulation, what the dose
²¹ might be, what the particle characteristics are, if
²² that material stays in suspension, what the other

Page 81 agents in the formulation are and how it's going to 1 2 be administered. 3 Where would a person of ordinary skill in Ο. 4 June 2002 look for guidance for determining what a 5 target viscosity should be? 6 Again, I think -- I don't think I agreed Α. 7 that there was a target viscosity or that a 8 formulator would necessarily look for information 9 about a target viscosity. They might look for 10 information about other successful products and what 11 viscosities they happened to be. 12 But the formulator would certainly have a 13 knowledge that depending on what materials they were 14 using, targeting that specific viscosity was not a 15 rational formulation approach that they -- a 16 formulator would look at information, both about the 17 suspending agents that they might use. They 18 might -- again, they need to have a knowledge of the 19 materials they're trying to suspend and other agents 20 they might be including and what they might want --21 how they might want that suspension to perform 22 during administration or following administration.

Page 82 1 Then there's certainly information in 2 products that were available at the time, other 3 primary literature, other patent literature, and so 4 forth that could help inform them on how to approach 5 those formulation activities. 6 You stated in addition to the formulation 0. 7 itself, you would look to how the formulation was 8 sprayed into the nose; is that a rough 9 approximation? 10 Yeah, can you clean that up a bit? Because Α. that's not exactly what I said or intended to 11 12 communicate. 13 So it's not just how the formulation 0. 14 itself -- it's how it comes out of the spray is 15 important? It's the characteristics, the viscosity 16 characteristics once it's sprayed into the nasal 17 mucosa? 18 Well, there's a number of steps that are Α. 19 important. The formation of the spray is dependent 20 on the spray device characteristics and the 21 compatibility of the formulation to function within 22 those particular performance parameters.

Page 83 1 And then once sprayed, the interactions 2 between the viscosity-enhancing agents and the secretions and the nasal mucosa give a particular 3 pattern of behavior that -- and at times formulators 4 5 try to optimize those interactions by including 6 those viscosity-enhancing agents to improve the 7 therapeutic outcome. 8 And is there a target that a person of Ο. 9 ordinary skill would have looked to when determining 10 viscosity for a -- for how viscosity of the formulation would behave when sprayed into the nose? 11 12 MR. WARNER: Objection to the form of the 13 question. Vague and incomplete hypothetical. 14 BY THE WITNESS: 15 And yeah. I don't understand the question. Α. Obviously if a formulator is making 16 Ο. something in June 2006, they don't want it to have 17 18 such low viscosity it runs out of your nose. Is 19 that fair? No, that's not fair. 20 Α. 21 So they would potentially formulate Ο. 22 something where the dosage would run out of your

Page 84 1 nose? 2 Well, that might not be the intent, but Α. that's certainly the outcome of a number of current 3 nasal formulations. 4 5 Is it the outcome of Astelin? 0. 6 That depends on how that product is being Α. 7 administered and to whom it's being administered. 8 But it's conceivable that in some cases, some 9 fraction of the material that was administered could 10 run out of the anterior region of the nose. 11 So but one -- it's conceivable it could 0. 12 happen to a particular patient or population. But that's not how it's designed; is that correct? 13 14 Well, there's no nasal formulation that's Α. 15 really designed to not stay in the nasal cavity. 16 But by far, almost all of the nasal formulations 17 that are currently administered, fractions are 18 quickly lost from the nasal cavity, whether it be 19 from the anterior or the posterior regions. 20 So it's just a result of current 21 technologies and compatibility with the nasal 22 cavity. Not everything that is sprayed into the

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	Page 85
1	nasal cavity we have a knowledge that not
2	everything that's sprayed in the nasal cavity is
3	retained in the nasal cavity for a very long period
4	of time shortly after spraying.
5	Q. Would a person of ordinary skill in 2002
б	formulate to minimize the amount of runoff?
7	A. Formulators attempted to do that. The
8	success of those attempts was not assured.
9	Q. And would a formulator look to viscosity
10	so strike that.
11	And would a formulator also consider
12	viscosity when considering how the formulation came
13	out? Was it a spray pattern, for example.
14	A. Well
15	MR. WARNER: Objection to the form of the
16	question. Vague. You can answer, if you
17	understood.
18	BY THE WITNESS:
19	A. The relationship between the material
20	that's being sprayed and the spray device determine
21	that what the output looks like. And it's just a
22	consequence of when you match a formulation and a

Page 86 device. 1 2 Would a person of ordinary skill target a Ο. particular output? 3 Well, you -- please ask the question, and 4 Α. 5 I'll answer the question asked. 6 So you said it's just a consequence of a Ο. 7 match between the formulation and the device. Is 8 there a particular output from the device that a 9 formulator would target? And again, I'm referring 10 to properties related to viscosity. 11 I'm still confused regarding the -- because Α. the viscosity is the viscosity of the formulation, 12 13 but you're asking about output. So I'm not sure how 14 you're connecting those two. 15 So if something is highly viscous, is it Ο. 16 likely -- will it affect -- so if you have a formulation that is highly viscous and a formulation 17 18 that is low viscous, will they spray out of the same 19 device in the same pattern? 20 Likely not. Α. 21 So if it is highly viscous, what will the Ο. 22 spray be like out of a device?

	Page 87
1	A. It depends on the device.
2	Q. Is it more likely to be a jet?
3	A. It's possible that it could be a jet.
4	Q. Is it more likely to be a spray?
5	A. It depends on the device.
6	Q. So you can't determine whether it would be
7	more likely to be a jet or a spray based on the
8	viscosity?
9	A. Again
10	MR. WARNER: Objection to the form of the
11	question. It's very vague, and it's a very
12	incomplete hypothetical.
13	BY THE WITNESS:
14	A. The form of the spray that is released from
15	the device depends entirely on the device design and
16	its operating parameters.
17	Q. It depends entirely on the device and the
18	operating parameters?
19	A. Well, in combination with whatever
20	formulation you put in and the formulation
21	characteristics.
22	Q. So formulation characteristics also affect

	Page 88
1	the form of the spray that's released; is that fair?
2	A. It's the combination of the material that's
3	being sprayed and the spray device design that
4	determines what the pattern of droplets or stream or
5	whatever that is released from the release orifice.
б	Q. And if someone were strike that.
7	Is there a standard device that's used in
8	a lot of nasal sprays, a certain pump?
9	A. There's a number of different spray
10	designs, spray device designs. I'm not sure whether
11	one particular type represents the majority of
12	devices used.
13	Q. Are you aware of the spray strike that.
14	Are you aware of the device for Astelin?
15	A. I don't think I specifically recall which
16	exact vendor and device and pump design that Astelin
17	uses.
18	Q. What about Flonase?
19	A. Same thing. I do not I don't recall the
20	specific device, vendor, and pump style that is used
21	in those products.
22	Q. Earlier I asked you whether a person of

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1	ordinary skill in 2002 would formulate to minimize
2	the amount of runoff, and you responded that
3	formulators attempted to do that. The success of
4	those attempts were not assured.
5	Why were they not assured?
6	A. Because retention in the nasal cavity isn't
7	only a function of the viscosity of the formulation
8	or viscosity of the material that entered the nasal
9	cavity.
10	Q. Are there other characteristics of the
11	formulation that would affect runoff?
12	A. Yes.
13	Q. What are they?
14	A. Well, I may have where I was thinking is
15	the volume that enters the nasal cavity is a
16	significant determinant of what might run off in
17	your version of description.
18	Q. Anything else?
19	A. There's a number of things. Are you asking
20	about formulation components?
21	Q. Yes.
22	A. Or formulation

	Page 90
1	Q. Yes, I'm referring to the formulation.
2	A. Formulation parameters? Yeah, the
3	elasticity of the material is actually quite
4	important.
5	Q. And what is the elasticity of the
6	material?
7	A. It's very similar to what you might expect
8	from the word elastic. It's how able that material
9	is to not move in a dimensional sense under force.
10	Q. Are there any other characteristics of the
11	formulation that would affect runoff?
12	A. Well, I can think of a lot of user-based
13	effects. But, you know, it's really a function of
14	where the spray enters the nasal cavity and what
15	potential interactions there might be between the
16	formulation and the nasal cavity that determine what
17	amount stays, where amounts go.
18	Q. I'm going to have you turn to Page
19	excuse me, Paragraph 85 of your report. And the
20	first sentence: A POSA would also know Loyd V.
21	Allen, The Art, Science, and Technology of
22	Pharmaceutical Compounding, 1998.
1	

	Page 91
1	Do you see that?
2	A. Yes, I do.
3	Q. What is compounding?
4	A. Compounding, certainly in Dr. Allen's use
5	of the term, is essentially preparation of
6	pharmaceutical materials intended for administration
7	to something, animal or human, and it's the what
8	equivalently people would call formulation.
9	Compounding is oftentimes done or performed for
10	single individuals with needs that aren't met by
11	other products available.
12	Q. Does this compounding differ from other
13	types of formulation work?
14	A. They have the same basis. In general, I
15	mean, we typically use very similar materials in
16	compounding and in formulation development. The
17	intended use of a formulation that's intended for a
18	commercial product is different than the intended
19	use of a formulation, for example, that I use as a
20	research tool is different than a formulation that a
21	pharmacist might compound for an individual patient
22	to use.

	Page 92
1	Q. You said you typically use very similar
2	materials in compounding and formulation
3	development. Are there other similarities?
4	A. Oh, there's similarities in the dosage
5	forms that are prepared. There's certainly
6	similarities in the actives that are incorporated
7	between compounded compounded medications
8	intended for an individual patient versus
9	medications that are available in other forms.
10	So yeah, there's certainly similarities in
11	materials, in agents, in delivery systems.
12	Q. In the paragraph you continue. You say:
13	Chapter feel free to read what you need to. But
14	you continue on in your paragraph and it says: It
15	discusses pH and buffering stating that generally pH
16	in the range of 4 to 8 is considered optimum.
17	A. Yep, that's what it says.
18	Q. Do you agree with Dr. Allen that pH in the
19	range of 4 to 8 is considered optimum?
20	A. I'd agree that typically we see nasal
21	formulations whose pHs are in the range of 4 to 8,
22	and that's a generally recognized range by both

Page 93 Dr. Allen and a number of other authors of an 1 2 acceptable range of pHs for nasal -- nasal formulations. 3 4 0. Would a nasal spray with a pH of 3 be an 5 acceptable pH? 6 It may be. Α. 7 In what conditions would it be acceptable? Q. 8 One would have to do the experiments. Α. It's certainly not a common pH. It doesn't mean that for 9 10 a particular product under particular circumstances 11 for that product performance that it would be unacceptable. 12 When you say one would have to do the 13 Ο. 14 experiments, what experiments are you referring to? 15 You'd need a biological compatibility type Α. 16 set of experiments to determine the safety, the efficacy, the acceptability of that formulation, 17 18 just like you do with every other formulation. 19 Do you know of a nasal spray that is 0. 20 administered to humans on a daily basis that has a 21 pH of 3? 22 Right now I'm not aware of one, no. Α.

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1	Q. The paragraph goes on to state it also
2	discusses tonicity explaining that a tonicity of 300
3	milliosmoles per liter is ideal, although a range of
4	200 to 600 milliosmoles per liter is acceptable.
5	A. Uh-huh.
б	Q. Do you agree with that statement?
7	A. I agree that it's a reasonable
8	generalization of what tonicity values could be.
9	But I'm certainly aware of systems that are outside
10	of the 200 to 600 milliosmolar per liter range.
11	Q. And when you say you're aware of systems
12	that have a tonicity outside of that range, what are
13	you referring to?
14	A. Well, I know of products that are actually
15	in the marketplace outside of that range. I have
16	conducted experimental investigations where I have
17	intentionally kept the tonicity outside of those
18	ranges.
19	Q. In your experimental investigations where
20	you purposely kept the tonicity outside the range,
21	what was the range?
22	A. It was below 100 usually.

Page 95 1 And how often in your -- strike that. Q. 2 In your experiments, how did you -- strike 3 that. In the systems where you kept the tonicity 4 5 under 100, what sorts of tests did you perform? 6 We performed both absorption tests -- at Α. 7 the time, our primary endpoint was absorption of a 8 model, looking at absorption changes in a model 9 compound in an animal model. 10 Did you look at whether it would be Ο. 11 unacceptably irritating to a patient? That wasn't one of the parameters that we 12 Α. 13 investigated at the time. 14 You -- is that, are those the only --0. 15 strike that. 16 You said that you had conducted some models and experiments outside the tonicity range 17 18 200 to 600. Do you have any other examples? 19 Α. Of the work that we did? 20 Q. Yes. 21 The work was intentionally investigating Α. 22 the effects of osmotic pressure on the ability of a

	Page 96
1	model compound to change its absorption profile, so
2	we looked at a broad range of tonicity values to
3	investigate that.
4	Q. And but you were studying absorption
5	profiles; you weren't studying whether it could be
б	tolerated by a patient? Is that correct?
7	A. That's correct. We were studying
8	absorption profiles.
9	Q. You mentioned that you knew of other
10	products on the market with osmolality outside the
11	200 to 600 range; is that right?
12	A. Yes.
13	Q. What were you referring to?
14	A. There's a current product in the
15	marketplace who's tonicity measurement is above 700,
16	I believe.
17	Q. And are you referring to Imitrex?
18	A. Yes.
19	Q. And have you, outside of this litigation,
20	examined, studied, or researched Imitrex?
21	A. Not specifically, no.
22	(Deposition Exhibit Number 4

Page 97 1 marked for identification.) 2 BY MS. EVERETT: 3 I'm handing to the court reporter to be Ο. marked as Donovan Exhibit 4, I think it's the 4 5 Imitrex -- it's the Imitrex label. The Bates are б cut off, so I believe it's APOTEX_AZFL0132818 7 through 842. 8 Dr. Donovan, take a few minutes to look 9 through Exhibit 4, please. 10 Okay. Α. 11 Do you recognize Donovan 4? 0. I recognize this as the Imitrex label, yes. 12 Α. 13 And is this the product you were just Ο. 14 referring to a few moments ago that had an 15 osmolality above 600? 16 As the 20-milligram formulation, yes. Α. 17 And are -- we might just go there. Are 0. 18 you on Section 11. Is that where you're looking? 19 I'm not, but I'll go there. Α. MR. WARNER: Is that what you're on? Section? 20 21 MS. EVERETT: Sure. We can just confirm. 22 BY MS. EVERETT:

	Page 98
1	Q. We were looking under the description.
2	The last sentence says: The osmolality of the
3	solution is 373 or 742 milliosmoles for the 5- and
4	20-milligram Imitrex nasal spray respectively.
5	A. That's what I was referring to.
6	Q. Yes. And how is Imitrex administered?
7	A. This particular dosage form is administered
8	as a nasal spray.
9	Q. Is it administered on an as-needed basis,
10	or is it something else?
11	MR. WARNER: Objection to the form of the
12	question. The document speaks for itself.
13	BY THE WITNESS:
14	A. The label indicates that it's for the
15	treatment of I actually looked, but I'm sure it
16	says it's for the treatment of migraine. And it's
17	used at the time that somebody would need to treat a
18	migraine.
19	Q. So it's used as needed?
20	MR. WARNER: Objection. Asked and answered.
21	BY MS. EVERETT:
22	Q. And please look at whatever you need to to

	Page 99
1	answer my question.
2	A. The label the label indicates that that
3	is the FDA-approved methodology for use.
4	Q. And if you look, I'm going to have you
5	turn to the Page 1 in the Indications and Usage
б	section at the top left
7	A. Okay.
8	Q of the page. It says: Limitations of
9	Use. Do you see that?
10	A. Okay.
11	Q. Use only if a clear diagnosis of migraine
12	headache has been established?
13	A. That's what it says.
14	Q. And not indicated for the prophylactic
15	therapy of migraine attacks. Do you see that?
16	A. I see that.
17	Q. And then next is the dosage and
18	administration?
19	A. Uh-huh.
20	Q. In there it says: Single dose of 5
21	milligrams, 10 milligrams, or 20 milligrams of nasal
22	spray?

		Page 100
1	Α.	That's what it says, yes.
2	Q.	A second dose should only be considered if
3	some respo	onse to the first dose was observed?
4	Α.	Uh-huh.
5	Q.	And it says: Separate by doses by at
6	least 2 h	ours?
7	Α.	Uh-huh.
8	Q.	And finally: Maximum dose in 24-hour
9	period is	40 milligrams?
10	Α.	Yes.
11	Q.	So this is As we discussed earlier, you
12	use it on	ly when you have a migraine?
13	Α.	That's what the FDA label directs.
14	Q.	Right. And it says not to use it more
15	than twice	e 40 milligrams a day, or twice a day if
16	you're us:	ing the 20-milligram strength?
17	Α.	That's what the label directs, yes.
18	Q.	How does strike that.
19		This dosage differs from the Dymista
20	product;	is that correct?
21	Α.	Yes, it's a different product.
22	Q.	So the Dymista dosage, a person would

	Page 101
1	spray two sprays per nostril once a day; is that
2	right?
3	A. They could spray two sprays per nostril
4	once per day.
5	Q. Do you know how it's indicated?
6	A. I'd like to refer to the label.
7	Q. Okay.
8	A. For what the FDA label says.
9	MR. WARNER: Uma, it's also been an hour. I
10	don't know if the witness wants a break or if you
11	do. But just to let you know, I want to know what
12	your thoughts are.
13	MS. EVERETT: Now seems like a good break, and
14	we can look for the documents.
15	THE WITNESS: Okay. Great.
16	THE VIDEOGRAPHER: This marks the end of DVD
17	No. 2. We are off the record at 11:36 a.m.
18	(A short break was taken.)
19	THE VIDEOGRAPHER: Here begins DVD No. 3. We
20	are on the record at 11:56 a.m.
21	BY MS. EVERETT:
22	Q. Welcome back, Dr. Donovan.

	Page 102
1	A. Thanks.
2	Q. Before the break, we were discussing
3	Imitrex and its osmolality.
4	A. Uh-huh.
5	Q. And a few minutes before that, we had
6	discussed how Loyd the Loyd reference said that a
7	preferred osmolality is 300, though it could be
8	between 200 and 600. Do you recall that?
9	A. I think it's between 200 and 600 is
10	acceptable is what the quote is.
11	Q. Are there certain uses of the product that
12	would affect osmolality? Could a patient tolerate a
13	higher osmolality if they were using it once a year?
14	MR. WARNER: Objection.
15	BY MS. EVERETT:
16	Q. Than every day?
17	MR. WARNER: Objection to the form of the
18	question.
19	BY THE WITNESS:
20	A. I think patient tolerance for any
21	administration is a function of patient tolerance,
22	so I don't know that it's that anybody has ever

	Page 103
1	tried to correlate well, actually, I'm sure they
2	have correlated tolerance to some slightly
3	unpleasant effect versus frequency of use. But I'm
4	not aware of any strong rationale for pursuing that
5	in a formulation development sense.
б	Q. When you say you're not aware of any
7	strong rationale for pursuing it in a formulation
8	development sense, do you mean considering the
9	osmolality and the frequency of administration?
10	A. No. The ability of patients to accept a
11	less than invisible dosage form is a matter of
12	patient judgment acceptance, risk aversion, and a
13	lot of those are very patient-dependent. We can
14	generalize in some cases. But risk/benefits, extent
15	of pain response, discomfort, or whatever is not a
16	generalizable quantitative measure.
17	Q. When you formulate, do you consider sort
18	of an average patient response?
19	MR. WARNER: Objection to the form of the
20	question. Incomplete hypothetical.
21	BY THE WITNESS:
22	A. When we formulate, we consider what is

Page 104

1	what will be safe, what will enable a formulation to
2	be used, and there are aspects of acceptability that
3	are certainly considered. But until actual patient
4	use, those aren't necessarily the driving forces of
5	formulation development process.

Q. At what point do you consider patient
 ⁷ tolerability?

A. When patients have the ability to tell us what their tolerance is or what their organoleptic perceptions are. Or if we have the opportunity to do some short-term first-in-human studies when we can get that information.

Q. So if you were developing a nasal spray, would you still target a 300 versus an 800 milliosmoles per liter.

A. Well, as I said a couple hours ago,
 there's -- developing a formulation is a series of
 compromises off the optimal or perfect
 characteristics for every component, including the
 pharmaceutically active material.
 And so we can start out by assigning any

²² numerical value or parameter characteristic for

Page 105 perfect performance at the start of a development 1 2 scheme, and we know that we're going to move off of those as a result of how materials interact with 3 4 each other, how formulation performs, a number of 5 other things. 6 And so stating in this case if we want to 7 talk about tonicity, stating a number for tonicity 8 and never moving from that number is not how a 9 formulator approaches making a dosage form where 10 tonicity is an important consideration. 11 Would a formulator start with the approach Ο. of targeting 300 versus 800? 12 13 MR. WARNER: Objection to the form of the 14 question. Vague and incomplete hypothetical. 15 BY THE WITNESS: Typically, especially in my experience as a 16 Α. 17 formulator, I do not initially target tonicity as a 18 driving force for the materials that are included in 19 my formulation. It's essentially a followup 20 consideration that certainly needs to be addressed, 21 but it's not the -- one of the primary factors that 22 drive other decisions.

	Page 106
1	Q. Would that also be true for a POSA in
2	June 2002?
3	A. I would anticipate that's, yes, that's true
4	for just about any POSA.
5	Q. When you say you did you would not
6	initially target tonicity as a driving force, at
7	what stage would you consider tonicity?
8	A. When I have enough of the formulation
9	developed that I'm relatively comfortable with a
10	reasonably small number of choices of excipients and
11	have determined that I have at least a shot at
12	chemical stability and the other performance
13	criteria for my formulation look like it's meeting
14	the performance criteria that were established, then
15	I will go back and evaluate tonicity and optimize a
16	number of the other characteristics, perhaps for the
17	seemingly best possible on-paper characteristics.
18	Q. Have you ever had a formulation where you
19	attempted to modify the tonicity and that affected
20	the stability or performance criteria of your
21	formulation?
22	A. Yes. But then we oftentimes choose a

	Page 107
1	different tonicity-adjusting agent and resolve that
2	issue.
3	Q. Have you ever had a strike that.
4	Have you ever formulated a product where
5	you were had to adjust more than the tonicity
6	agent due to effects on strike that.
7	As you were formulating, you said earlier
8	that you adjust tonicity after you have chemical
9	stability and determine the performance criterias of
10	your formulation. Is that accurate?
11	A. Well, that's a pretty brief summary of what
12	I said. I said tonicity is a consideration. I
13	don't start with tonicity as the No. 1 issue, but it
14	gets addressed oftentimes after I've got a feasible
15	formulation developed. And then, you know, how I
16	adjust tonicity is a matter of what I've got in the
17	formulation and what I think are the correct agents
18	to use to adjust tonicity with.
19	Q. And you said there have been instances
20	where you have adjusted tonicity and that affected
21	chemical stability or other performance
22	characteristics of your formulation.

	Page 108
1	A. Yes.
2	Q. Correct? And you chose another tonicity
3	agent?
4	A. Right.
5	Q. Have there been times where you've
6	selected a number of tonicity-adjusting agents and
7	each of them in some way have affected chemical
8	stability or performance of your formulation?
9	A. I think that's universally true. You add
10	any additional material into a formulation and it's
11	going to have some effect on some characteristic of
12	the formulation, and that's just accepted in
13	formulation development. And whether that's an
14	effect that you have to then modify or whether it's
15	an effect that's just fine is a matter of what that
16	formulation is and what its intended use is and what
17	the parameters, the design parameters are and what
18	the acceptable parameters for the final formulation
19	can be.
20	(Donovan Exhibit Number 5 marked
21	for identification.)
22	BY MS. EVERETT:
	Page 109
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1	Q. I'm handing to the court reporter to be
2	marked as Donovan 5, document bearing the Bates
3	APOTEX_AZFL0132304 through 325. And please go ahead
4	and look through Donovan 5. I'm just going to ask
5	you to identify it.
6	A. This looks like what I'm familiar with for
7	Allen's text.
8	Q. And this is what we've been referring to
9	in your report where you say
10	A. Uh-huh.
11	Q. And you cite Allen's text for the pH and
12	the tonicity that we just referenced, correct?
13	A. Yes.
14	Q. And you also cited Allen's text on
15	Page 233 and 235 for preparation of nasal products?
16	A. Yes. Or 234, do you mean?
17	Q. Oh, excuse me. 233 and 234, yes.
18	A. Okay.
19	Q. And do you if we look at the bottom of
20	233, do you agree that this is a way to prepare a
21	solution, steps 1 through 7 as he has outlined here?
22	A. What's described there is certainly one

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Page 110 method to prepare a solution. Most of this text is directed at compounding pharmacists, and so some of the steps that are included in here are there purposefully to remind pharmacists of things that they should do as they are preparing, in this case, a sterile or -- I don't know. I guess I'm not going to go to sterile product, and so it's one of -- it's certainly a good suggestion for a methodology to prepare a solution for a compounding pharmacist. Okay. And in this solutions example, it Ο. assumes that all the ingredients will be in solution? Is that accurate? Well, by definition if it's a solution, all Α. the materials that you've put in there or, you know, if everything is in solution, and it's a solution prep, those materials are dissolved in the vehicle. Ο. And do you agree with his suggestion that one should take a sample of the solution and determine pH, clarity, and other quality control factors? Well, under -- as a pharmacist compounding Α. a solution or in what ...

	Page 111
1	Q. In preparation for the opinion I
2	believe you've relied on this in your opinion.
3	A. Uh-huh.
4	Q. So these are the steps a person of
5	ordinary skill would know how to take?
6	A. Right. But a person of ordinary skill in
7	the art would also realize that this is an example
8	of how to make the solution for a particular purpose
9	given the target audience of the text and, as a
10	result, you know, this is a fine operating procedure
11	to suggest. And taking the pH and clarity and other
12	quality control factors is something that should be
13	done in the preparation of a nasal product.
14	But is it something that gets done at step
15	No. 4 in all occurrences of preparing a solution
16	nasal product? Not necessarily.
17	Q. Should it get done before you determine
18	that you have an acceptable nasal product?
19	A. Again, if we're taking what a formulator
20	would develop for a nasal formulation that was
21	intended for a broader audience than a single use
22	individual patient product that gets used in

	Page 112
1	compounding, that step is again, it's important.
2	It would happen at some point in time in that
3	formulation development procedure. But there's a
4	lot of other steps that are not specified here for a
5	compounding pharmacist and also for a formulator.
6	Q. And I just wanted you said formulator.
7	But I assume you mean a person of ordinary skill as
8	of June 2002?
9	A. A POSA formulator, yes.
10	Q. You said there were other steps
11	A. Sure.
12	Q that were not specified here. What
13	steps are you referring to?
14	A. Things like in this particular case, since
15	it's being filtered through a sterile filter into a
16	sterile nasal container, my assumption is that the
17	intent was for this to be a sterile product, yet
18	there's no direction for making sure that any of the
19	weighing or measuring ingredients or equipment is
20	sterile, that this is conducted in a sterile
21	environment, and so those are all things that either
22	the compounding pharmacist would have to decide if

	Page 113
1	they're making a sterile preparation that they would
2	need or a POSA formulator if they're making a
3	sterile product would know to include, but they're
4	not specified here. So there's a lot of steps that
5	go in between a lot of these other steps. But the
б	person to whom this is directed or a person using
7	this information, if they're a POSA formulator,
8	would understand there are other activities that
9	could take place.
10	Q. In addition to the steps related to
11	sterility, are there other steps you were a POSA
12	formulator would understand were included here?
13	A. Well, for example, the POSA this says
14	other quality control factors. That's left to then
15	the compounding pharmacist or the POSA formulator to
16	determine what those quality control factors are.
17	Q. Are there who would strike that.
18	Who would determine the quality control
19	factors?
20	A. It depends on what purpose those quality
21	control factors are being defined for. If they're
22	simply a preliminary formulation development quality

	Page 114
1	control, you know, you might have weighing
2	assurances. You might have visual inspections. You
3	might have color evaluation, a whole host of things
4	that could be a quality control factor.
5	In a full manufacturing sequence, you would
6	have things that were in-process tested and
7	characterized and measured and you would have final
8	product characterization and you would have many
9	steps of characterization in between.
10	So it all depends on what the purpose is
11	and what appropriate quality control factors are,
12	which is why they're not specified, because it's up
13	to the individual using this to take this
14	information and apply it to the situation they're
15	using it for.
16	Q. Are there a minimum number of quality
17	control factors? Strike that.
18	A. No.
19	Q. Are there basic or minimum quality control
20	factors that a person of ordinary skill would take
21	into consideration in every formulation?
22	A. From an absolute quantitative number? No.

	Page 115
1	Every formulation or every compounded prescription
2	has particular performance characteristics that are
3	important to control or assure, and that's what
4	those are. It essentially defines what the minimum
5	number of quality control procedures would be.
6	Q. Must a person of ordinary would a
7	person strike that.
8	Would a person of ordinary skill in 2002
9	determine the pH for every nasal spray?
10	A. If it was being used for humans, they
11	should have at least an understanding of what the pH
12	was likely to be or perhaps a reference source of
13	what the pH of similar concentration system is. I
14	am aware of compounding pharmacists who do not check
15	the pH of every compounded prescription that they
16	make.
17	Q. Is that because they already have an
18	opinion on what the pH of that formulation would be?
19	A. They have an expectation of what the
20	formulation or what the pH of that system would
21	be or that the pH is not a critical factor in the
22	performance or quality of the medication that

Page 116 they've provided. 1 2 0. Is pH a critical quality or factor in a 3 nasal spray? MR. WARNER: Objection to the form of the 4 5 question. Vague as to critical. 6 BY THE WITNESS: 7 It's a consideration regarding drug product Α. 8 stability. It's a consideration regarding how the 9 product performs or its shelf life. It's a 10 consideration regarding patient use. So yes. pH is 11 a consideration in every nasal product. When a person of ordinary skill does not 12 0. 13 have an expectation or know the pH of a formulation, 14 would you expect that he or she would determine the 15 pH? 16 MR. WARNER: Objection to the form of the 17 question. It's an incomplete hypothetical. 18 BY THE WITNESS: 19 I'm trying to develop in my mind a Α. situation where a person of ordinary skill in the 20 21 art wouldn't have any expectation for what the pH of 22 a simple system would be. But the easiest way to

	Page 117
1	determine what it is is to measure it. But
2	sometimes simply measuring the pH does not actually
3	give you an accurate measure of what the negative
4	log of the hydronium ion concentration is. And if
5	that's what you need to know, you have to measure it
6	appropriately using appropriate equipment.
7	Q. In step 4, the next strike that.
8	In step 4 after pH, it says clarity, so
9	person of ordinary skill would determine the
10	clarity. What is the clarity?
11	A. Well, in the context of this is if it's a
12	solution, everything should be a homogeneous
13	mixture. And as a result, you shouldn't see any
14	particulate matter. And the term clarity is
15	referring to if there's extraneous particulate
16	matter, you have a contaminant in your system.
17	Q. How would that determination be made?
18	A. Usually for a compounding pharmacy, it's
19	made visually. In other situations, it can be both
20	visually and there are other analytical methods to
21	use that can be used to determine the number and
22	size of particulate matter.

Page 118 1 Will visual inspection capture all Q. 2 extraneous particulates? 3 Α. No. 4 MR. WARNER: Objection to the form of the 5 question. Incomplete hypothetical. б BY THE WITNESS: 7 Visual inspection will only capture or only Α. 8 allow people to identify the size particles that 9 they're capable of actually discriminating in their 10 visual field. 11 So to have a complete understanding on Ο. whether there's any extraneous particles, a person 12 of ordinary skill would need to engage analytical 13 14 methods as well? 15 It depends on the performance criteria for Α. 16 your product or what your intention is. 17 Well, if you were going to administer a Ο. nasal spray and you wanted to make sure there were 18 19 no extraneous particles, would a person of ordinary 20 skill need to conduct analytical testing to be sure 21 of that? 22 Well, again, there it depends on what your Α.

Page 119 product characteristics are and what extraneous 1 2 particles might be there and what their particle size are and what their role is and whether that 3 4 affects the performance of that spray or solution or 5 whatever. 6 Would a person of ordinary skill in 2002 0. 7 administer a method of treatment to humans for nasal 8 spray without undertaking an analytical test to determine whether there was extraneous particulates? 9 10 MR. WARNER: Objection to the form of the 11 question. Incomplete hypothetical. 12 BY THE WITNESS: 13 Α. It entirely depends on what those 14 extraneous particulates might be, what their size 15 are, and whether it's deemed that that would be an 16 important factor in the performance or quality of 17 that product. 18 If it was determined that the person of 0. 19 ordinary skill wanted to administer a stable 20 formulation that's a method of treatment to humans, 21 would he or she perform analytical testing to 22 determine whether there were any extraneous

¹ particulates?

2	A. It depends on whether that's again an
3	
S	important criteria. What size those particles are
4	is a critical factor in whether they're considered
5	to be a problematic contaminant or whether they will
б	have limited effect following administration and are
7	not something that needs to be evaluated or that
8	somebody needs to be concerned with.
9	Q. How would a person of ordinary skill even
10	determine whether extraneous particles existed
11	strike that.
12	It's possible to visually determine
13	whether there's extraneous particles in the
14	formulation; is that correct?
15	A. It's possible to determine whether there
16	are extraneous particles in a formulation visually
17	if those particles are of a size that your eye can
18	discriminate.
19	Q. And the only way for a person of ordinary
20	skill to determine whether those particles in a
21	formulation are smaller than the particles of a size
22	your eye can discriminate, a person of ordinary

	Page 121
1	skill would have to conduct analytical testing; is
2	that correct?
3	A. Oh, the person would have to conduct some
4	sort of testing that is capable of measuring
5	particle sizes that are smaller than what your eye
6	is capable of discerning.
7	Q. I'm going to have you turn to the next
8	page, 234. And here, Allen discusses the
9	preparation of nasal spray products that are
10	suspensions?
11	A. Uh-huh.
12	Q. And you included this in your report; is
13	that correct?
14	A. Yeah, that's correct.
15	Q. And do you agree that methods 1 and 2 are
16	methods that a person of ordinary skill in June 2002
17	would have employed when creating a suspension?
18	A. Those methods or variations on them are
19	methods that could be used to prepare suspensions,
20	yes.
21	Q. And step 4 in both methods 1 and 2 also
22	say take a sample of the suspension and determine

	Page 122
1	the pH under quality control factors. Is that
2	accurate?
3	A. Yeah, step 4 in one and step 5 in the
4	other, yes.
5	Q. Yes, yes. Do you agree with those steps?
6	A. I agree with that those are factors that
7	you need to know about your formulation, and
8	they're good the pH is a good quality test or
9	check on the characteristics of a formulation. And
10	again, the other quality control factors are left up
11	to the formulator to determine what relevant quality
12	control factors need to be tested.
13	Q. What other quality control factors would a
14	person of ordinary skill test for a nasal spray
15	that's designed to be administered to humans?
16	A. Well, I mean, the most basic is always a
17	visual test. Does it look like the material or the
18	formulation that's either been prepared before, or
19	does it look like the material that we anticipated
20	it would be upon devising that formulation. So you
21	do a lot of visual inspection.
22	You can do some other simple measurements

	Fage 125
1	in the case of compounding to assure that you've
2	actually made that in a consistent manner to how
3	it's been made previously. And it very much depends
4	on what the final goal of whatever it was you
5	formulated and when during a process you formulated
6	it is. If it's release testing for a commercial
7	batch of a product, those are very different quality
8	control factors that need to be evaluated compared
9	to if it's a compounded prescription that's
10	administered to one patient versus if it's something
11	I make in my laboratory for a series of tests in a
12	group of animals.
13	Q. So for a pharmaceutical that's compounded
14	and will be administered to one patient, what
15	quality control factors would you look for in
16	addition to visual test?
17	A. In many cases, as long as there's you
18	know, if there's a reference for that compounded
19	preparation being made previously or other
20	experience, oftentimes a visual test is often the
21	quality control that's performed by compounding

²² pharmacists.

	Page 124
1	Q. If that reference or preparation has not
2	been made previously, is visual test sufficient for
3	a formulation that's administered to a human?
4	A. It depends on how that formulation is being
5	administered and what it's what it contains and
6	what the risks are to that individual based on the
7	materials and what potentially could be a
8	formulation that, you know, exceeds some standard
9	typical characteristic for a similar preparation.
10	Q. Would a person of ordinary skill
11	administer, when preparing strike that.
12	Would a person of ordinary skill in June
13	of 2002 when preparing a formulation for the first
14	time administer a nasal spray to a human without
15	conducting some sort of analytical testing?
16	MR. WARNER: I'm going to object to the line of
17	questioning as beyond the scope of the report. It's
18	also incomplete hypotheticals.
19	BY THE WITNESS:
20	A. For a compounding pharmacist, it is
21	possible. I can't speak to every compounding
22	pharmacist and their methods of preparing materials

and what they do check and what they do document. I
know that there are new requirements that mandate
that quality control factors are identified and
performed for those compounded prescriptions. Those
are relatively new, however. So in 2002, what was
going on, I can't speak directly to every
occurrence.

Q. So when you say you can't speak directly to every occurrence, do you have opinion one way or another on whether a person of ordinary skill would have performed some sort of analytical before administering a nasal spray formulation to a human for the first time?

MR. WARNER: Objection. Beyond the scope of the report.

¹⁶ BY THE WITNESS:

A. I think in my experience in speaking with compounding pharmacists, they oftentimes, again given their expertise, they may have a -- they may have a couple of performance, actual analytical tests complete. Full analytical testing is oftentimes not -- hasn't been performed and still is

	Page 126
1	typically not performed, which is why we have
2	regulations regarding limits on shelf life and so
3	forth on compounded prescriptions where there is no
4	analytical data to demonstrate what a true shelf
5	life is.
6	Q. So the analytical testing you were just
7	referring to, you're referring to on relating to
8	shelf life stability, is that
9	A. Oftentimes we use changes in those
10	analytical tests to tell us that there have been
11	changes in our product that we may or may not be
12	able to visually observe. You know, a lot of this
13	comes down to what analytical testing is it that
14	you're making. Anything that you can quantify, in
15	my opinion, could be deemed an analytical test. So
16	we could weigh the material, and that could be an
17	analytical test.
18	Is that going to be meaningful regarding
19	the product performance 30 days from now? I would
20	doubt that. But it's meaningful regarding whether
21	somebody prepared that in the way that was
22	instructed.

	Page 127
1	What analytical test is it that you want me
2	to discuss? And there's plenty of possibilities.
3	Who chooses what to do depends very much on what the
4	materials are, what the risks are, and what the
5	where the administration is, what the expectation
6	is, and the evaluation of the formulator.
7	Q. I want to understand whether a person of
8	ordinary skill in June 2002 would consider any other
9	tests besides visual inspection before administering
10	a pharmaceutical for the first time to a human?
11	MR. WARNER: Objection.
12	BY MS. EVERETT:
13	Q. And specifically a nasal spray.
14	A. They may consider a number of tests.
15	Whether they perform them or not is up to them to
16	have determined whether they're important enough
17	that they need that information before they
18	administer that to a patient.
19	Q. So you're saying a person person of
20	ordinary skill, as of June 2002, would administer a
21	nasal spray that they prepared for the first time to
22	a human without with simply visual analysis?

	Page 128
1	A. I'm In 2002 there were likely instances
2	of compounding pharmacists administering drugs with
3	well-known safety profiles as nasal sprays to humans
4	or providing those to humans for use.
5	Q. So you're saying they were providing them
6	to humans for use without conducting any tests
7	besides a visual inspection?
8	A. They may There may have been other
9	simple tests that were performed. I don't know.
10	But, you know, full-blown chemical analysis was
11	likely not the test that was performed.
12	Q. Are there any other quality control
13	factors a person of ordinary skill would have
14	considered for a suspension specifically in 2002?
15	MR. WARNER: Objection to the form of the
16	question. Incomplete hypothetical.
17	BY THE WITNESS:
18	A. Again, there's a number of quality control
19	factors that should be considered. Particle size is
20	a quality control factor that should be considered.
21	The rate of either creaming or flocculation or
22	settling could be considered. The dose uniformity

¹ could be considered.

2 There's certainly a number of things with suspensions that are, from a manufactured suspension 3 4 product are a mandatory criterion and from a 5 compounded formulation standpoint, are not 6 necessarily oftentimes measured but are assumed or 7 based in experience and risk assessment are believed 8 to be within safe, safe ranges for administration to 9 humans. 10 So for a compounded formulation, the POSA Ο. 11 would make assumptions about the particle size, the flocculation, and the dose uniformity; is that what 12 13 you're saying? 14 At some points they may. Compounding Α. 15 pharmacists oftentimes don't have the information 16 available to them regarding particle size or particle size distribution, and they use their 17 18 experience and professional judgment to determine 19 whether that -- whether an absolute knowledge of the 20 particle size or simply a knowledge and observation 21 of that particle size performance is adequate to 22 develop that formulation and provide it to that

Page 130 single individual. 1 2 Would a person of ordinary skill as of 0. June 2002 have that information available to them? 3 4 MR. WARNER: What information? 5 MS. EVERETT: Particle size, particle size 6 distribution, referring to Dr. Donovan's answer 7 she just gave. 8 BY THE WITNESS: 9 Again, as I said in my answer, oftentimes Α. 10 compounding pharmacists don't have that information 11 available to them. So they rely on their professional judgment. They rely on their skills 12 13 and observations to determine whether what they are 14 using is appropriate and safe for the individual 15 patient they're compounding that material for. 16 Okay. I asked about a POSA in June 2002, Ο. 17 and then you responded about a compounding 18 pharmacist. Are you referring -- when you're 19 referring to a compounding pharmacist, are you 20 referring to a POSA as of June 2002? 21 If -- By my definition of POSA, only if Α. 22 they had the experience I described in addition to

	Page 131
1	their education. And so if you want to know what a
2	POSA formulator developing a formulation would know,
3	they at a point in time during formulation
4	development would would likely know the particle
5	size and particle size distribution of materials
6	they intended on using in a suspension.
7	(Donovan Exhibit Number 6 marked
8	for identification.)
9	BY MS. EVERETT:
10	Q. I am handing to the court reporter to be
11	marked as Donovan 6, a document bearing the Bates
12	stamp APOTEX_AZFL0054360 through 365.
13	Do you recognize Donovan 6?
14	A. Yes, I do.
15	Q. What is it?
16	A. It's a patent describing azelastine
17	containing medicaments.
18	Q. And you opine that this patent is a
19	blocking patent; is that correct? I can help orient
20	you if you
21	A. No. I found the section where I discussed
22	blocking patents. And I was informed by counsel

Page 132 what that term means because that's not a 1 2 terminology that I typically use. And based on the information I was provided, that Patent '194, which 3 4 is Donovan 6, is a patent that was in existence at 5 the time, that certainly would have been considered by someone trying to develop a commercial product. 6 7 When you say "I was informed by counsel Ο. 8 what the term means, " what were you informed the 9 term means? 10 Well, that in -- my understanding is that Α. 11 it's a patent that's in existence that would -- that contains descriptions that are -- that may have 12 13 intellectual property rights that affect or that 14 are, you know, that there may be infringement if 15 someone would pursue a body of work because this 16 patent was in existence. It's a clunky way of 17 saying it, but it existed so somebody has the 18 intellectual property rights in the area. 19 And then you also say based on the Ο. information you were provided, Donovan 6 is a patent 20 21 that was in existence at the time, that certainly 22 would have been considered by someone trying to

Page 133 develop a commercial product. What information were 1 2 you provided that you're referencing that? 3 MR. WARNER: Where is that? 4 MS. EVERETT: In her answer. 5 MR. WARNER: Oh, I thought you were talking about in the reports. 6 7 BY THE WITNESS: 8 When I see patents and I see dates and then Α. I've been told that, well, that's really, you know, 9 10 not the date, there's a previous patent, there's a 11 bunch of other things. I have no way of knowing just given a single patent whether that patent was 12 13 in force at the time of interest or whether it had 14 been modified into a separate. I don't know. 15 I get confused very quickly, and I rely on 16 counsel to tell me which patents are in effect and 17 which ones are not based on dates or other changes 18 that have been made. So that's the information they 19 provided to me that this was in existence and 20 enforceable at the time, and it would have been a 21 consideration. 22 They told you it would have been a Ο.

¹ consideration?

2	A. No. They told me that it was that it
3	was in existence and still had a patent lifetime
4	left to it. And my opinion is that would have been
5	a consideration to somebody who would be trying to
6	develop a commercial product that would potentially
7	have this patent and that they would be infringing
8	or needing to use the intellectual property
9	described.
10	Q. And when you say it's a consideration to
11	somebody who was trying to develop a commercial
12	product, what do you mean by that?
13	A. Well, it's the knowledge that if you
14	develop a commercial product and bring it into the
15	marketplace and there's a patent that has
16	intellectual property in that area that you're
17	infringing on, that likely you will be informed of
18	that and there will have to be some arrangement made
19	to properly compensate the holder of the
20	intellectual property.
21	There are just because the intellectual
22	property exists doesn't mean you can't partner with

¹ whoever owns it or have other arrangements made to ² utilize that information and still bring your ³ product forward. But you have to recognize that ⁴ somebody has that intellectual property and that you ⁵ have to, in the U.S. at least, respect that and go ⁶ either forward in partnership or in agreement with ⁷ or you can't move into the commercial space.

⁸ Q. So is it your opinion that in June 2002, a ⁹ product, if it were launched, containing azelastine ¹⁰ and fluticasone would infringe the Hettche patent?

A. It depends on the concentrations of azelastine and the use of that product. But if the use was for uses described in this patent in the concentration ranges that are described in the patent, then my opinion would be yes, it would infringe on this.

Q. Did you review the prosecution history of
 the Hettche patent?

A. I'd have to refer to my list of documents
 because it doesn't come to mind. If it's on one of
 my lists, you're free to tell me that it is and we
 can move on. Otherwise, I will try to find it.

	Page 136
1	Okay. In my quick evaluation of the
2	materials considered, I don't see that. And I don't
3	recall seeing the prosecution history for that
4	patent.
5	Q. Is it fair to say that if you had reviewed
6	the prosecution history, you would have cited it or
7	put it on your Materials Considered list?
8	A. In this case, yes.
9	Q. Okay. So we can assume you did not review
10	the prosecution history for the patent?
11	A. I don't have a recollection of reviewing
12	the prosecution history.
13	Q. So I'm going to turn to Donovan 6 and to
14	column 8, and I'll have you look at the 12 claims.
15	A. Okay.
16	Q. They each appear to be methods, is that
17	correct, method of treatment? Strike that. Let me
18	ask that again.
19	Each of these appear to be a method for
20	treatment; is that correct?
21	A. Well, I mean, I have to let somebody else
22	make the determination of how the individual claims

Page 137 relate to each other. But they all describe 1 2 methods, given that they start with the phrase "a 3 method." But the dependency of claim 3, for example, 4 5 as a method set forth in claim 2 and the description of what that medicament contains, if that 6 7 correspondence to the method of treatment described 8 in claim 1, then it does. And if it doesn't because of how claims are described, then it doesn't. I'm 9 10 going to rely on counsel to tell me whether these are all methods for the treatment. 11 12 Do you know or understand whether there's 0. 13 a difference in a patent claim that's a method of 14 treatment versus a composition claim? 15 Well, in the terminology you've described, Α. 16 yeah, I could come up with my own version of definition of what I would think the difference is. 17 18 Again, I would rely on counsel to tell me whether my 19 definition is in keeping with legal standard 20 regarding claims. 21 Did you -- When you analyzed the Hettche Ο. 22 patent to determine whether it was a blocking

	Page 138
1	patent, did you consider whether it would have
2	prevented someone from developing a composition
3	claim?
4	MR. WARNER: Objection to the form of the
5	question. Beyond the scope of the report.
6	BY THE WITNESS:
7	A. I guess can you reask the question?
8	Q. Sure. All of the claims in the '194
9	directed to a method of treatment?
10	A. Uh-huh.
11	Q. We've talked about that. Did you consider
12	whether these claims, these method of treatment
13	claims, would have blocked a person of ordinary
14	skill from developing a composition claim?
15	MR. WARNER: Same objections. I just want an
16	objection to vagueness, developing a composition
17	claim. You can answer.
18	BY THE WITNESS:
19	A. I don't understand, that's I don't
20	understand the question. And I don't understand the
21	terms and the differentiation, so I can't answer.
22	Q. So you know there are three

Page 139 patents-in-suit in this case, correct? 1 2 Α. Yes. And some of those patents are directed to 3 0. 4 methods of treatment; is that correct? You know 5 that? 6 There are descriptions of methods of Α. 7 treatment in them is the way I've come to be 8 familiar with them. 9 And there are certain claims that are 0. 10 directed to compositions; do you understand that? 11 I understand there's claims regarding Α. 12 compositions, yes. Did you consider -- And when you looked at 13 0. 14 your -- the Hettche patent to determine your 15 blocking analysis, did you consider whether a person 16 would be blocked from developing a composition 17 claim? 18 MR. WARNER: Same objections. Vagueness as to 19 developing a composition claim. 20 BY THE WITNESS: 21 And what I would consider is I believe that Α. 22 a person would be limited in their ability to

	Page 140
1	commercialize for profit such a composition. But it
2	depends on what you mean by "development." People
3	worked with azelastine in concentrations that are
4	described in this patent. So does it mean they
5	didn't ever conceive of or didn't try to use
б	these concentrations in anything, but the key is if
7	the goal or the intent is to commercialize
8	something, then one has to recognize the
9	intellectual property concerns of the '194 patent.
10	Q. And I don't mean to be vague, but so
11	I'll ask it again. Did you consider whether these
12	method of treatment claims would have prevented a
13	person of ordinary skill from developing and
14	patenting a composition of azelastine and
15	azelastine and fluticasone?
16	MR. WARNER: Objection to the form of the
17	question. It's an incomplete hypothetical.
18	BY THE WITNESS:
19	A. Well, and again, I'll leave it to others to
20	decide what really could be patented or not. But it
21	would be my impression that if somebody was going to
22	develop a composition that was intended to be used

	Page 141
1	in a manner described in the '194 patent and then
2	used the materials and methods described in that,
3	that they couldn't pursue a patent to do that during
4	the time frame that this patent was in effect.
5	Q. Do you You qualified your answer that
6	if a person is going to develop a composition that
7	was intended to be used in a manner described in the
8	'194 patent. Are you opining on whether the an
9	additional product would be a method of treatment
10	patent?
11	A. I can't form an answer to that.
12	Q. So you can't form an opinion on whether a
13	person of ordinary skill would have been able to
14	develop a composition product, just the composition?
15	MR. WARNER: Objection to the form of the
16	question. Vague. It's an incomplete hypothetical.
17	BY MS. EVERETT:
18	Q. And I'll rephrase. We've been talking
19	about using Dymista, a method of treatment for using
20	an azelastine fluticasone product. And I want to
21	make a distinction. Now my questions are going to
22	refer to the composition itself.

Page 142 1 Okay. Α. 2 In your opinion, would the method of 0. treatment claims here prevent a person of ordinary 3 4 skill from developing an azelastine fluticasone 5 combination product? 6 Well, you need to define for me "product." Α. 7 Is that a product for the commercial marketplace, or 8 is that a system in a beaker in a laboratory? 9 From developing a composition on which 0. 10 they go and seek a patent. 11 Then I think they would be limited by the Α. existence of this patent, that it would limit the 12 13 commercial opportunity to have that work reach a 14 commercializable and hopefully profitable potential 15 and likely would use your resources in a different 16 manner that could bring you the opportunity to bring product into the commercial marketplace. 17 18 In your report, you state that -- I 0. 19 believe you looked through claim 1, in fact, and 20 opine on that azelastine is -- contains a member 21 selected from the group consisting of azelastine and 22 its physiologically accepted salts.

	Page 143
1	A. Is there a particular paragraph in one of
2	the reports you're reading that from?
3	Q. So, I believe, and please, please, feel
4	free to look at anything, your blocking opinion is
5	Paragraph 62 and 63.
6	A. Okay. I thought I was just on my blocking
7	opinion. You mean Page 62 and 63?
8	MR. WARNER: It's on Page 23 and 24. Are you
9	in the right report?
10	THE WITNESS: I may not be.
11	BY MS. EVERETT:
12	Q. It's the rebuttal report.
13	A. That's why. I'm not in the right report
14	any longer. I switched over. Okay. I'm sorry.
15	Can you go back and ask the question?
16	Q. Sure. You In this section of your
17	report, you were looking at claim 1 of Hettche; is
18	that correct?
19	A. Yes.
20	Q. And you opined that Dymista and other
21	drugs contain a medicament which contains a member
22	selected from the group consisting of azelastine and

	Page 144
1	its physiologically acceptable salts?
2	A. Okay. That's what it says, yes.
3	Q. And you agree with that? And you agree
4	with that today?
5	A. Yes.
6	Q. What is a What does it mean to say
7	contains a member selected from the group consisting
8	of azelastine and its physiologically acceptable
9	salts?
10	MR. WARNER: Objection to the form of the
11	question.
12	BY THE WITNESS:
13	A. Well, I believe in the other introductory
14	material, in the '194 patent, there are descriptions
15	of potential antihistamine compounds in addition to
16	azelastine that come from similar chemical families
17	or similar similar other antihistamine action.
18	But I may be confusing that, but this may be limited
19	to simply azelastine.
20	Okay. Well, when I look at this more
21	closely Well, I don't know. That's
22	preservatives. So the introduction, even though
Page 145

as far as I can tell as I quickly review this, that 1 2 it's focused on azelastine. But in how one writes a claim, I guess, perhaps they might concede that it 3 4 might include azelastine and prodrugs of azelastine, and this would be sufficient to cover it or 5 something and its potential salts in addition that 6 7 you might make. 8 So it might consist of analogs that people 9 would recognize as leading to the same parent and 10 simple salt forms that are certainly numerous and 11 probably too numerous to name as the possibilities. 12 If the phrase was construed to mean Ο. 13 azelastine or its salts can be used, would that 14 modify your opinion at all? 15 MR. WARNER: As opposed to what? BY MS. EVERETT: 16 17 Ο. I just want to be clear. If it's only azelastine and its salts. 18 19 It wouldn't change the context of my Α. 20 It would change perhaps how I used this opinion. 21 reference in support of that. 22 How would it modify how you used the Q.

22

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¹ reference?

A. Well, again, you know, if I can take the time to reread this and make sure that there's not any other attribution to potentially any other antihistamines, I can answer specifically with regard to azelastine.

7 And otherwise I have to allow myself the 8 opportunity that if what's really described by this 9 claim, not just my sitting-here interpretation of 10 this claim is broader than what I'm thinking at the 11 moment, I'd need somebody to explain to me what the claim has been -- what the claim is viewed to mean 12 13 outside of my own personal opinion of what the claim 14 is -- what I'm interpreting it to mean today sitting 15 here because I haven't reviewed the context of the 16 patent recently.

Q. If it was limited to azelastine or other
antihistamines, would that modify your opinion?
MR. WARNER: Objection to the form of the
question. Vague as to what "it" is.
BY THE WITNESS:

A. Yeah, can you go back and help me remember

	Page 147
1	what the topic of your question is?
2	Q. Sure. We're looking at a phrase:
3	Contains members selected from the group containing
4	azelastine and its physiologically acceptable salts.
5	A. Yes.
б	Q. And we had talked about what what you
7	understood that to mean. And I think we talked
8	about azelastine and its salts and potentially
9	azelastine and prodrugs.
10	A. Or some form of something that will
11	would be viewed as equivalent to azelastine, yes.
12	Q. Okay. So in your view, when it says it
13	contains a member selected from the group consisting
14	of azelastine and its physiologically acceptable
15	salts, it is azelastine or something that is
16	considered an equivalent or a salt of azelastine?
17	A. Are you asking me what my interpretation of
18	what this method claim is?
19	Q. Yes.
20	A. And I'm going to tell you that I need
21	counsel to tell me what the legal interpretation is
22	and such that I can align my opinion with that

	Page 148
1	because I respect that what I as a scientist read
2	and what is written may not I may not fully
3	capture what is intended in a claim, but I stand by
4	what I've written in my report as my opinion.
5	Q. So I just want to be clear because you've
6	given an opinion on this claim. And now you are
7	looking to counsel's assistance? I'm not sure I
8	understand why you need counsel's assistance.
9	A. Well
10	MR. WARNER: Objection. Mischaracterizes her
11	testimony.
12	BY THE WITNESS:
13	A. Counsel provided me information about this
14	claim and, while I was describing my opinion in my
15	reports, I no longer remember the specifics
16	regarding what the legal expansion of this claim
17	are. And until I know that, I can't begin to tell
18	you whether my opinion is different than what I have
19	written or not.
20	Q. You said counsel provided you some
21	information about this claim. What information did
22	counsel provide you about this claim?

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1	A. In discussions, I've been told about claims
2	constructions and what is typically meant by when we
3	write when a claim is written with these words,
4	this is what it encompasses. It doesn't mean that.
5	I don't remember any specific instance. I certainly
6	don't necessarily remember any specific instance
7	about claim 1. But, you know, I certainly know in
8	general that I oftentimes need counsel to tell me
9	what the legal interpretation of a claim is compared
10	to what my scientific interpretation of a claim is.
11	But I had that knowledge as I was preparing
12	my report, and I stand by the opinions that are
13	written in it.
14	MR. WARNER: Whenever you guys are ready for
15	lunch, is this maybe a good time?
16	MS. EVERETT: It's a good time, yeah.
17	THE VIDEOGRAPHER: This marks the end of DVD
18	No. 3. We are off the record at 1:02 p.m.
19	(A lunch break was taken.)
20	THE VIDEOGRAPHER: Here begins DVD No. 4. We
21	are on the record at 1:48 p.m.
22	BY MS. EVERETT:

		Page 150
1	Q.	Welcome back.
2	A.	Thanks.
3	Q.	I'm going to have you turn to your opening
4	report ag	gain, please, back to the section on
5	thickenin	ng agents.
6	Α.	Paragraph or page?
7	Q.	Starting at 111, but I'm going to point
8	you to Pa	aragraph 112.
9	Α.	Okay.
10	Q.	So just please refer to it, if you need
11	to. In p	paragraph 112 you state that Astelin uses
12	another t	hickening agent called hypromellose?
13	Α.	Right.
14	Q.	And the use of this other ingredient would
15	not prese	ent a reason for a POSA not to try MCC and
16	CMC in a	combination product.
17		And do you still agree with that sentence?
18	Α.	Yes.
19	Q.	It would be viewed as another available
20	option to	o try as a thickening event in the event
21	there wer	e any surprising incompatibilities with MCC
22	and CMC i	n the desired combination formulation. I

Page 151 1 am not aware of any. 2 And do you still agree with those 3 sentences? 4 Α. Yes. 5 So are there any -- strike that. Q. 6 Are you aware as of June 2002 whether 7 there were any incompatibilities between azelastine 8 or either MCC or CMC? 9 I'm not aware of any incompatibilities that Α. 10 were known at that time or would have been 11 anticipated. And by "incompatibilities," do you -- does 12 0. 13 that include any potential interactions between MCC 14 and CMC -- strike that. 15 And by "incompatibilities," does that 16 include any potential interactions between either MCC and azelastine or CMC and azelastine? 17 18 No, that's not what an incompatibility is. Α. 19 What is an incompatibility? 0. 20 Well, an incompatibility is the inability Α. of -- well, we'll leave it to two agents -- to 21 22 coexist without one influencing the performance

	Page 152
1	characteristics of another. So interactions can
2	happen all the time. And as long as they don't
3	change the performance characteristics, that
4	wouldn't be an incompatibility.
5	Q. As of June 2002, would a POSA have known
6	of any interactions between MCC and azelastine or
7	CMC and azelastine that would have affected the
8	performance characteristics of either?
9	A. I'm not familiar with any a POSA would have
10	known. There's always the possibility that
11	materials can interact. They may interact and not
12	be discernible. They may interact and may be
13	discernible. They may have no negative effect on
14	the product. But I'm not aware of anything in 2002
15	that indicated that any of those agents at any
16	concentrations had some sort of interaction that was
17	a would have a negative outcome.
18	Q. I'm going to have you turn to your
19	rebuttal report now, Paragraph 45. Just take a
20	minute to read through that.
21	A. Okay.
22	Q. In that, in that document, the first

	Page 153
1	sentence says first a document with the
2	handwritten title Solubility Trials For Azelastine
3	Hydrochloride, I'll skip the Bates: The
4	experimenter concluded that from these trials, that
5	probably azelastine hydrochloride remains in the
6	solution state in the final suspension but might be
7	making loose bonding with spending agent, Avicel
8	CL611, because the sodium salt of
9	carboxymethylcellulose is present in Avicel and
10	azelastine hydrochloride is itself a hydrochloride
11	salt.
12	Do you see that?
13	A. Yes.
14	Q. And then you have a footnote that states:
15	The sodium salt of carboxymethylcellulose can
16	disassociate and form a negatively charged polymer,
17	while the hydrochloride salt of azelastine can
18	disassociate to form positively charged azelastine.
19	The positive and negative charges may ionically
20	interact in the formulation?
21	A. That's what that says, yes.
22	Q. Do you agree with that?

	Page 154
1	A. I still agree with that, yes.
2	Q. What does it mean when the positive and
3	negative charges may ionically interact in
4	formulation?
5	A. That the positively charged azelastine may
6	interact with the negative charge regions of CMC.
7	Q. Would that have a negative affect on
8	performance characteristics?
9	A. It doesn't appear to. And we use those
10	kind of interactions to enhance formulations all the
11	time. So it wouldn't be anticipated even if we
12	thought that they would occur, that they would
13	necessarily be a negative and they might be a
14	positive.
15	Q. How far do you use them to enhance
16	formulations?
17	A. Well, oftentimes because we can get those
18	interactions to occur, they might act to chemically
19	stabilize things. They may act to separate
20	materials from interacting from other materials.
21	They may act to actually increase solubility.
22	That's a number of things that performing those

	Page 155
1	complexes could accomplish.
2	Q. What does it accomplish in this system
3	described here in Paragraph 45?
4	A. Well, again, the information I was provided
5	is simply a hypothetical from the report, and so I'm
6	not sure that that's actually going on. I just
7	agree that it could possibly happen and that there's
8	not necessarily a problem with that.
9	Q. Is the footnote your positioning or just
10	what you've gleaned from the documents you're
11	opining on?
12	A. Well, it's my explanation for what the
13	statement in the or what the scientific
14	explanation for the statement in the report was,
15	that the statement was pretty loose about it, you
16	know, it might be let me read what it's actually
17	saying.
18	Make loose bonding with the suspending
19	agent. That's really not a very scientifically
20	distinct way of describing what's going on. And so
21	I chose to clarify what I believe that that meant in
22	a more molecular-level mechanistic sense.

	Page 156
1	Q. Earlier, you said that the interaction,
2	positive and negative charges may ionically interact
3	and the formulation may have may enhance the
4	formulation?
5	A. Uh-huh.
6	Q. Have you seen instances where it
7	negatively affected performance of the formulation?
8	A. Well, you have to be more clear. Of this
9	formulation? Of any formulation?
10	Q. Any formulation.
11	A. Potential formulation? I have seen
12	instances where positive and negative charge
13	interactions leads to a negative effect on a
14	combination of materials in a formulation.
15	Q. In the instances where you have seen the
16	positive and negative interactions lead to a
17	negative effect, was that did you anticipate that
18	in advance?
19	A. It certainly was a possibility that was
20	identified could occur. And in the situations that
21	I'm most familiar with, it would have been very
22	difficult to predict based on data that were

7

	Page 15
1	available. Whether it would occur, at what
2	concentrations it would occur, or under what other
3	conditions it would occur, so the goal was design a
4	set of experiments to demonstrate whether it did or
5	didn't occur.
6	Q. I'm going to have you turn to your reply
7	report.
8	A. Okay.
9	Q. And I'm going to have you turn to the
10	section where you're talking about the amount of
11	azelastine to use. I think Paragraph 38 of your
12	reply report. Are you
13	A. I'm on Paragraph 38, yes.
14	Q. And here you note that Dr. Smyth has
15	opined it would not have been obvious that because
16	of the labeling in Astelin recommended eight
17	doses per day, two per nostril twice per day, and
18	the labeling in Flonase recommended four doses per
19	day.
20	A. I see that part.
21	Q. Okay. And Dr. Smyth is talking about the
22	amount of azelastine and fluticasone that were

	Page 158
1	administered and how often they were administered as
2	per the Flonase and Astelin labels. Do you see
3	that?
4	A. Uh-huh.
5	Q. Okay. And you go on to talk about the
6	concentrations of Astelin and Flonase; is that
7	correct?
8	A. Yes.
9	Q. There's a distinction between the dosage
10	and the concentrations; is that accurate?
11	A. There's a relationship between the two of
12	them in these formulation, but there are distinctly
13	two different aspects of them.
14	Q. And you opine on the concentration when
15	you look at the labels, you're opining on the
16	concentration in Paragraph 38; is that correct?
17	A. I'm
18	Q. It would be sort of in the same spot?
19	First, it would have been obvious to include the
20	concentrations described in the Astelin and Flonase
21	label because those concentrations were successfully
22	known to be formulated in Astelin and Flonase?

	Page 159
1	A. Right, that's in there.
2	Q. So that's a different consideration than
3	what Dr. Smyth said with dosage; is that accurate?
4	A. Well, again, one's the result of the other.
5	The particular concentration that your formulation
б	is developed at and the device that you use
7	determines the volume that's emitted. And the
8	volume that emitted at the concentration determines
9	the dose you delivered.
10	Q. Well, it isn't just the device that
11	determines the volume that's emitted; there's also a
12	recommended dosage from FDA here?
13	A. I think you need to clarify what you're
14	asking me.
15	Q. So it isn't concentration is in what
16	percent in the entire formulation well, strike
17	that.
18	Concentration is of the entire
19	formulation, what percent is azelastine?
20	A. Well, in terms of a percent, it's actually
21	in terms of a mass per volume usually. But you can
22	come up with the percentage, if you'd like.

	Page 160
1	Q. And that's distinct from how many
2	micrograms would you administer to a patient?
3	A. Well, in a nasal spray dosage form, the
4	concentration of the formulation is emitted from the
5	device in a particular volume. And the volume
6	that's emitted and the concentration that it's
7	formulated at results in the specific dose that gets
8	delivered from the product.
9	Q. But the amount of product delivered can
10	also vary just based on the number of times a person
11	uses it per day, not just so you're talking about
12	the formulation. I'm talking about usage.
13	A. So
14	MR. WARNER: Hold on one second. Make sure
15	there's a question out there.
16	BY MS. EVERETT:
17	Q. Do you understand the distinction? Do you
18	understand the distinction I'm making?
19	A. Well, I think I do, but I'd appreciate
20	if you're talking about mass of drug exposure, then
21	be specific about that and dose administered or dose
22	absorbed or dose whatever. But there's the

	Page 161
1	statements I'm making about concentration provide
2	information about what the amount of drug, which
3	would be equivalent to the dose administered is
4	provided from a nasal spray formulation device
5	system.
б	Q. So you were looking at the nasal spray
7	device formulation. Are you I understood to have
8	said that; is that correct?
9	A. Well, the formulation plus the device
10	determines the amount emitted per spray, which then
11	is utilized to effect whatever the desired dose is
12	to expose the patient to.
13	Q. Are you considering how many sprays a
14	person of ordinary skill would have administered to
15	a patient in June 2002?
16	A. In the same way that I as a formulator
17	would consider how many tablets a person might take,
18	or how many inches of a cream somebody might use,
19	the ability to multiply a dosage unit to meet a dose
20	requirement is commonplace.
21	Q. So And there's a difference between the
22	amount of active ingredients delivered per spray and

Page 162 an amount that a doctor may prescribe a patient to 1 2 take? 3 MR. WARNER: Objection. 4 MS. EVERETT: I can modify. 5 MR. WARNER: Go ahead. б BY MS. EVERETT: 7 So we're talking about the amount per 0. 8 spray, and I'm trying to make a distinction here. A 9 doctor may tell you to spray it three times or four 10 times, and that affects the amount of an active that 11 a patient ingests. Is that accurate? Are you supposing that the physician feels 12 Α. 13 that the number of sprays is the most important 14 factor and that whatever mass load of drug is 15 exposed as a result of that number of sprays is just 16 a random occurrence? Because that's what the 17 question sounds like, that it's the most important, 18 irrelevant of what the concentration of the product 19 is or the amount it delivers, that the key is to 20 just spray it three or four times. 21 No, of course not. I'm making a 0. 22 distinction between the amount of active ingredient

Page 163 a doctor would want a patient to consume versus the 1 2 amount that physically comes out of the spray. Does that distinction -- do you understand that 3 distinction? 4 5 Well, I understand the distinction, but I Α. don't understand the question. 6 7 I want to make sure you've understood the 0. 8 distinction between the amount of active a physician 9 would prescribe to a patient versus the amount of 10 active administered per spray? 11 But typically physicians don't prescribe Α. nasal sprays based on the amount of the drug they 12 13 want to be administered. They prescribe them based 14 on a knowledge of the concentration and the amount 15 delivered and how many of those individual dose 16 units they want the patient to receive to get to the total dose that the patient should be administered. 17 18 I think we might be talking past each 0. 19 other. You just said that physicians don't prescribe nasal sprays based on the amount of drug 20 21 they want the patient to be administered? That was 22 the statement you just made. I want to make sure.

	Page 164
1	A. They don't dose them based on the number of
2	sprays alone. They understand what the
3	concentration in the formulation is or in the
4	product and the amount of drug that gets released
5	per actuation of that particular dosage form, and
б	they prescribe based on how much drug they want the
7	patient exposed to and how that system delivers it.
8	So they're not unaware and not determining
9	their dose or their instructions to their patients
10	in the isolation of the knowledge of what the
11	concentration and the dose provided per spray is.
12	That's the key point. And they adjust their dosing
13	recommendations, one, two, three, four sprays
14	whatever based on the knowledge that three sprays
15	will get this amount of drug, two sprays will get
16	that amount of drug. And frequency based on
17	whatever their prescribing rationale is for that
18	individual patient.
19	Q. So ultimately a physician is prescribing
20	an amount of active per day to a patient?
21	A. Or.
22	Q. Is that the consideration?

	Page 165
1	A. Or per dose or per week or per month. It
2	very much depends on the particular drug product and
3	the dosing strategies that physicians use for those
4	products.
5	Q. So ultimately when a physician is
6	prescribing to a patient, he or she is considering
7	the amount of active ingredient his or her patient
8	will consume, not necessarily the concentration at
9	which it's delivered; is that accurate?
10	A. I think that depends on what type of
11	delivery system we're speaking of.
12	Q. Here, in this context, in Paragraph 38,
13	looking at Astelin and Flonase.
14	A. In the situation of nasal sprays, if you'd
15	rephrase or repeat your question regarding nasal
16	sprays specifically, I can answer that.
17	Q. Sure. In the context of nasal sprays, and
18	specifically Astelin and Flonase, a physician would
19	be most concerned with the amount of or micrograms
20	of azelastine or fluticasone delivered to his or her
21	patient rather than the concentration of them in a
22	bottle; is that correct?

	Page 166
1	A. Well, the physician understands that the
2	amount that they want administered is only the
3	result of the concentration in the bottle and what
4	the device can deliver. So I don't think physicians
5	think of them separately. They're a package deal.
6	Q. But ultimately a physician wants a patient
7	to have a therapeutically effective amount of any
8	dosage form; is that
9	A. That's true.
10	Q. And it was no different when a physician
11	prescribed Astelin and Flonase in June 2002; is that
12	correct?
13	A. I would assume that's correct, yeah.
14	Q. So you go on to state in Paragraph 38: I
15	understand from Dr. Schleimer that although the
16	Astelin label recommended use twice daily, all that
17	was needed for the antihistaminic and
18	anti-inflammatory effects was a single dose.
19	Do you see that?
20	A. I see that, yeah.
21	Q. Do you have any basis for that statement
22	besides reliance on Dr. Schleimer?

Page 167 1 MR. WARNER: Objection. The report speaks for 2 itself. 3 BY THE WITNESS: Well, I mean, my understanding regarding 4 Α. the antihistamine in that -- in Astelin is that for 5 6 the immediate response, which is what this is 7 describing, that that's a result of the previous 8 dose. So if somebody desired an immediate 9 antihistaminic response, they could administer a 10 spray of Astelin. 11 And whether they needed that spray because they felt they needed treatment twice a day, that 12 13 was certainly possible with Astelin. But they would 14 get a response and a detectable immediate response 15 following one dose or following two doses depending 16 on what their symptoms were and their needs for that 17 medication. 18 When you just said your understanding, 0. 19 what is the basis of your understanding? 20 My basis of my understanding is in my Α. 21 understanding of what local antihistamine delivery 22 does in the nasal cavity, the mechanisms of action.

	Page 168
1	Q. And have you studied the Astelin label
2	specifically for this?
3	A. I know that previous to reading the Astelin
4	label, I could read the Astelin label and probably
5	find areas in the clinical pharmacology section and
6	maybe a couple of other sections that would support
7	that.
8	Q. Have you done that, or you said you could?
9	A. I mean, I've read the label. I'm not sure
10	that I can tell you in fact, I'm sure I can tell
11	you now I don't remember the specific wording in the
12	label. And I'd be happy to go back and review that
13	label and show you where in the label I learned
14	that.
15	Again, I know from knowledge of
16	antihistamine use in the nasal cavity and the
17	cellular responses that one would anticipate a rapid
18	response to an antihistamine being present. So
19	you'd have a detectable therapeutic response
20	following each spray, whether it was once a day,
21	whether it was twice a day, however how many times
22	that therapy was prescribed.

Page 169 And you stated earlier that you had not 1 Q. 2 studied azelastine outside of this litigation; is that correct? 3 4 Α. I'm aware of azelastine. I've read things 5 about azelastine. I have not pursued azelastine as a research project. 6 7 And do you have -- you've referred to Dr. 0. 8 Schleimer's -- Schleimer, and now you've referred to 9 the Astelin label. Is there any other basis for 10 you -- for your opinion that all that was required for immediate antihistaminic and anti-inflammatory 11 effects was a single dose? 12 13 Well, I mean, my opinion is based in that Α. 14 there is an antihistaminic response following the 15 delivery of Astelin or of azelastine into the nasal 16 cavity. And the frequency with which that delivery occurs is -- the response is not dependent on the 17 18 frequency that that's delivered. 19 The magnitude of the response may have some 20 relationship to the frequency. But there still will 21 be a response after every single administration. Q. 22 And what is the basis for your saying that

	Page 170
1	one dose of Astelin will provide immediate
2	antihistaminic and anti-inflammatory effects?
3	MR. WARNER: Objection. The report speaks for
4	itself.
5	BY THE WITNESS:
6	A. And again, I understand both from
7	Dr. Schleimer and my own personal understanding of
8	antihistaminic responses, and I rely on Dr.
9	Schleimer for information about the
10	anti-inflammatory effects.
11	Q. And when you rely on your personal
12	understanding of the anti-inflammatory and
13	antihistaminic effects, were these effects known as
14	of June 2002?
15	A. The effects of antihistamines to effect
16	mast cells and histamine release and so forth at
17	on mucosal surfaces was known in 2002. Various
18	antihistamines had been available. They may use
19	different mechanisms of action.
20	But the general limiting of histamine
21	release, the general limiting of perhaps other
22	secretory materials release was known, was available

	Page 171
1	from standard pharmacology texts. There were plenty
2	of products in the marketplace from an oral
3	antihistamine standpoint to understand their
4	activities.
5	Q. Did any of these texts refer to the amount
6	of azelastine that had to be delivered to have this
7	antihistaminic/anti-inflammatory effects?
8	A. Well, the text might not have. But I can
9	look at Patent '194 and get some of that
10	information.
11	Q. Did you look at the Astelin label?
12	MR. WARNER: At all?
13	BY THE WITNESS:
14	A. What do you mean did I look at?
15	Q. For this, does the Astelin label tell you
16	at what dose you'll get antihistaminic and
17	anti-inflammatory effects?
18	A. The Astelin tells what the FDA-approved
19	dose and dosing regimen is for the product or the
20	azelastine-containing product. And that was
21	demonstrated by the developers, and the FDA accepted
22	that that dose provides a safe and effective dose of

Page 172 1 azelastine. 2 MR. WARNER: Rami signed off. BY MS. EVERETT: 3 Okay. I'm going to refer you to --4 0. 5 actually, it could be in any number. I don't want 6 to direct you. I'm happy to guide you, if you need 7 help. 8 You recall you've opined on the Cramer 9 reference? 10 Α. Yes. 11 And you're aware that Matthew Herpin 0. performed some tests on the Cramer reference? 12 13 Α. Using the Cramer reference as a basis for 14 some of the tests, yes. 15 And I think that you discuss that in your 0. 16 reply report, if you need to refer to that. 17 Α. It's the one that's open. Okay. 18 In your report, you state that, Paragraph 0. 19 42: By contrast, Dr. Herpin's tests were more 20 focused on characteristics that support a 21 formulation being developed into a commercial 22 product.

	Page 173
1	Do you see that?
2	A. That's what it states, yes.
3	Q. What tests did Dr. Herpin perform that you
4	thought focused on the product being developed into
5	a commercial product?
6	A. Well, I'm not going to give a complete
7	answer here because I'd like to refer back to
8	Dr. Herpin's report for all of the tests. But
9	certainly one of them that comes to mind are his
10	spray characterization tests.
11	(Donovan Exhibit Number 7 marked
12	for identification.)
13	BY MS. EVERETT:
14	Q. And I'm going to have the court reporter
15	mark as Donovan 7, Report of Matthew Herpin, Ph.D.
16	We have a number of exhibits too. I'm happy to pull
17	any of them. They're stapled separately. If you
18	just let me know you need them, I'm happy to get
19	them for you.
20	A. Okay.
21	Q. Dr. Donovan, first I have, if you can
22	identify Dr strike that.

	Page 174
1	Let me start over. The exhibit that's
2	been marked Donovan 7, do you recognize that as the
3	report of Matthew Herpin we've been discussing?
4	A. Yes, I do.
5	Q. Can you point me to the tests you were
6	just discussing?
7	A. Let me familiarize myself with what's in
8	the report first.
9	Okay. And I'm going to focus on
10	Paragraph 7, since he gives a list of the tests that
11	he performed. And the tests that are primarily
12	focused on a product development approach for this
13	formulation are the test of sprayability; the
14	measure of pH days after preparation, and in
15	particular at least 7 days after; and the bulk
16	uniformity testing 7 days after; and visual
17	examination 14 days after are primarily tests that
18	would be conducted in a product development approach
19	to an activity but are not tests that would be
20	required in formulation development.
21	Q. What is the distinction between the
22	product development approach and the formulation

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Page 175 development approach? I can formulate a composition and have that Α. administered immediately to an individual. Still likely accomplish my goal of treating that patient. If, on the other hand, I want to develop a commercializable product that can be -- can undergo transport, can remain on a shelf, can meet particular tests defined by the FDA, that's a product development approach and I need to conduct tests appropriate to those requirements. And is it your testimony that it only -- a 0. person of ordinary skill would have only considered whether a product could be formulated and

¹⁴ administered immediately?

15 It depends on the project goal. Α. So a 16 person of ordinary skill in the art can consider a lot of things about their activities. Some of them 17 may only reflect I'm making this so it can be used 18 19 in an hour. And in other situations, they're 20 formulating things that might have a desire to be 21 used over a 10-year period. It's dependent on the 22 particular drug, the desire for its future or its

	Page 176
1	use in the immediate sense to help further an
2	understanding of something.
3	Q. What would have a person of ordinary skill
4	as of June 2002 understood the project goal for
5	formulating Cramer Example III?
б	MR. WARNER: Objection. Lack of foundation.
7	Calls for speculation. The document speaks for
8	itself.
9	BY THE WITNESS:
10	A. Let's see. Let me find Cramer again.
11	MR. WARNER: I don't think you brought it out,
12	did you?
13	BY MS. EVERETT:
14	Q. I can get you Cramer if you need to see
15	Cramer.
16	MR. WARNER: Do you want to look at it?
17	THE WITNESS: Yeah, I would.
18	(Donovan Exhibit Number 8 marked
19	for identification.)
20	BY MS. EVERETT:
21	Q. I'm handing to the court reporter to be
22	marked as Donovan 8, APOTEX_AZFL0052951 through 960.

	Page 177
1	And, Dr. Donovan, do you recognize what's
2	marked as Donovan Exhibit 8?
3	A. Yes, I do.
4	Q. Is this the Cramer reference we've just
5	been speaking of?
6	A. Yes, it is.
7	Q. And my question was: What would a person
8	of ordinary skill as of June 2002 understand as the
9	project goal for formulating Cramer Example III?
10	MR. WARNER: Objection to the form of the
11	question. Lack of foundation. Calls for
12	speculation. The document speaks for itself.
13	BY THE WITNESS:
14	A. Again, you know, I don't know that there
15	was even a project associated with this discovery.
16	So at least as somebody might define a project with
17	a goal, this is a report that the inventors
18	discovered that you could combine these agents and
19	that they would, for the intent of providing
20	improved relief of symptoms generally associated
21	with either seasonal or perennial allergic
22	rhinoconjunctivitis. There's no mention of what

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	Page 1
1	project this was part of.
2	Q. You stated earlier that many of
3	Dr. Herpin's tests were related to a commercial
4	product and, therefore, were inappropriate for
5	consideration in Cramer III. Is that correct?
6	MR. WARNER: Objection to the form of the
7	question. I think it mischaracterizes the
8	testimony.
9	BY THE WITNESS:
10	A. Yeah, what I believe I stated was that
11	Dr. Herpin conducted a number of tests that are not
12	simply testing the formulations that are described
13	or the formulation in Cramer III in particular, but
14	took the made the decisions to conduct a number
15	of additional tests that do not speak directly to
16	the formulation but, in many cases, speak to the
17	formulation's performance when joined with a spray
18	device or over a longer period of time than is even
19	described in Cramer.
20	Q. In Cramer, how what period of time
21	is strike that.
22	Does Cramer specify what period of time

	Page 179
1	should be considered for these formulations?
2	A. I don't recall Cramer making any statement
3	on an interval of utility for any of these
4	formulations.
5	Q. Is it your testimony that these examples
б	were meant to be made and delivered instantaneously?
7	A. My interpretation of these examples is
8	simply they're examples that you can formulate these
9	materials together for the intended use as
10	described.
11	Q. And are they intended to be used over a
12	longer period of time?
13	MR. WARNER: Objection to the form of the
14	question. Lack of foundation as to are they
15	intended. By whom.
16	BY THE WITNESS:
17	A. Yeah, I have no way of knowing what the
18	intention is. I know what's written. I know they
19	describe formulations that could be described for
20	use for topical nasal application.
21	Q. Do they Does Example III in Cramer
22	describe a formulation that could be prepared and

Page 180 then used over a longer period of time, over an 1 2 instant? 3 Sorry. Over a longer period of MR. WARNER: 4 time or over an instant? 5 BY MS. EVERETT: 6 Over an instant. 0. 7 Cramer III simply provides an example of a Α. 8 composition that can be prepared for the intended 9 use for topical nasal application. Cramer III 10 doesn't describe anything about the time frame over which that composition would be used. 11 12 Do you have an opinion regarding the time 0. 13 frame over which the composition would be used? 14 MR. WARNER: Objection to the form of the 15 question. Lack of foundation and speculation to the extent you're talking about "would be used." 16 17 BY THE WITNESS: 18 Yeah, I have no opinion on what the Α. 19 inventors intended for the duration of time for this 20 composition to be used. 21 Does Example III in Cramer use any 0. 22 preservatives?
Page 181 1 There are agents included in Example III Α. 2 that are known to be used as preservatives. 3 Are you referring to the benzalkonium Ο. chloride? 4 5 I'm referring to both the benzalkonium Α. 6 chloride and the ethylenediaminetetraacetic acid in 7 particular. 8 Ο. And both of these are known to have 9 preservative qualities? 10 Yes, they are. Α. 11 And preservatives are known to increase 0. the shelf life of composition; is that true? 12 13 Α. I don't know that I entirely agree with 14 that. 15 Can you turn to Page 5 of the Cramer 0. 16 reference, Line 16? There's a sentence, you see a 17 sentence that says: A pharmaceutically acceptable 18 preservative is generally employed to increase the 19 shelf life of the compositions of the present 20 invention? 21 I'll agree that it's generally employed to Α. 22 do that. But I'm not sure in every single case that

Page 182 the inclusion of a preservative is done in order to 1 2 prolong or -- let me get the word right -- increase the shelf life, to increase the shelf life. 3 4 0. Are you speaking generally or specifically 5 to the Cramer response? 6 Well, you asked me to respond regarding a Α. 7 particular statement in Cramer, and I'm responding 8 that I agree with the statement that yes, they're used generally to do that, preservatives are 9 generally used to do that. But there are instances 10 where I think the shelf life prolongation may not be 11 accomplished by the inclusion of preservatives, yet 12 13 other factors are accomplished by those, by the 14 inclusion of those materials. 15 Do you have an opinion on whether Cramer Ο. 16 included benzalkonium chloride and ethyldimine --I'm just going to say EDTA. So let me say that 17 18 again. Whether Cramer included EDTA and 19 benzalkonium chloride in Example III to increase the 20 shelf life? 21 Again, nothing in Example III describes Α. 22 duration of use of the preparation. And Cramer, I

¹ believe, included materials in these compositions ² that would commonly be included in intranasal spray ³ dosage forms and is merely demonstrating this ⁴ composition is capable of being prepared and is a ⁵ unique composition.

Q. Do you have any reason to doubt that
7 Cramer included EDTA and benzalkonium chloride to
8 increase shelf life?

A. Again, I really have no idea why Cramer
included the materials that he did outside of these
are commonly used materials in nasal dosage forms.

Q. Would a person of ordinary skill as of June 2002 reading Cramer Example III understand this to be a nasal preparation that can be used longer than the instant it is prepared?

A. I think a POSA, a formulator POSA would anticipate that given the materials described in Example III, that it could be used for a longer period of time than a simple instant.

And I'll add one more thing regarding Example III. I could take the time to read this entire section, but I don't think anywhere in

1	Example III does Cramer suggest that it's
2	administered by a nasal spray. It's topical nasal
3	application. There are other ways of administering
4	to the nasal cavity, so there's
5	Q. Does that affect your opinion on whether
6	Cramer disclosed an application that could be used
7	longer than the instant it was prepared?
8	A. Again, I stated that a formulator POSA
9	would look at this composition and anticipate it
10	might be able to be used for a longer period of
11	time. But I have no way of knowing what the intent
12	of Cramer was when he made this composition.
13	Q. And I'm going to return to just the tests
14	that Dr. Herpin performed in Paragraph 7. Why do
15	you I believe earlier you stated that the test
16	that tests the sprayability was not a test for
17	formulation development; it was a test for
18	commercial development. Why is that?
19	A. Well, it's primarily a test for larger
20	scale or a number of batches of material as a
21	quality test characteristic. But if somebody
22	desires to administer a nasal spray, you should be

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1	able to find a composition and container and spray
2	device that allow it to be sprayed. But the
3	extensive testing that Dr. Herpin did to
4	characterize those sprays is unnecessary to
5	demonstrate that the composition can be sprayed from
6	a spray pump device.
7	Q. When you say the extensive testing that
8	Dr. Herpin did to characterize those sprays, what
9	specifically are you referring to?
10	A. Well, do you have the actual appendix of
11	the visuals and the report that with the
12	numerical values that he reports?
13	(Donovan Exhibit Number 9 marked
14	for identification.)
15	BY MS. EVERETT:
16	Q. I'm handing to the court reporter,
17	Exhibit R, Pump Delivery Shot Weight Raw Data
18	Sprayability Check to be marked as Donovan 9.
19	Dr. Donovan, are these the tests you're
20	referring to?
21	A. They're some of the tests that I was
22	referring to. I seem to recall also seeing videos

Page 186 of spray formations that Dr. Herpin used in his 1 2 analysis. 3 And do you believe the video of the spray Ο. formation is a test that would be performed in 4 5 formulation development? 6 It would be performed in product Α. 7 development because it's a requirement for FDA 8 submission. But it would not necessarily be 9 performed during early formulation development 10 during composition development. 11 Is the characteristics -- strike that. 0. 12 And you understand that in the video, 13 Dr. Herpin videoed whether the spray came out as a 14 jet or a spray? 15 That's my general recollection, that you Α. 16 could make some qualitative -- he drew some qualitative descriptions of what the spray looked 17 18 like. 19 And in your opinion, is it acceptable for Ο. 20 a nasal spray for allergic rhinitis to come out in a 21 jet? 22 Absolutely. Α.

	Page 187
1	Q. And is it your opinion that a person of
2	ordinary skill would test whether a spray was a jet
3	before administering it to a human patient?
4	A. They If somebody were formulating for
5	immediate human use and they were using a spray
6	device, they would test to make sure that the
7	material came out of the spray device. They
8	probably observed what it looked like, and I would
9	assume that they would be they would make sure
10	that the volume delivered was the intended volume to
11	deliver the dose accurately.
12	Q. So when you said that the video spray
13	of strike that.
14	When you said that the video of the spray
15	was strike that again.
16	Earlier you said some of the tests related
17	to sprayability were excessive. Did you include the
18	video tests in that determination?
19	A. Well, I believe what I said was the testing
20	that Dr. Herpin conducted was far beyond what a POSA
21	formulator would conduct to both develop a
22	composition and potentially optimize the

Page 188 1 composition. 2 Ο. What tests would a POSA formulator in 3 June 2002 have conducted on Cramer -- Cramer Example III to determine whether it was suitable for 4 5 administration to a human? 6 Suitability for administration would be Α. 7 a -- the POSA formulator would want to know that the materials could be delivered accurately and that the 8 9 composition was safe for administration to the 10 human. 11 When you say "delivered accurately," what 0. do you mean? 12 13 Α. Well, however it's administered, that the 14 amount administered contains the dose or the mass 15 amount of drug or drugs that was intended to be 16 administered. 17 So it would be a test to determine the Ο. 18 dose that is administered to a human? It would be a methodology to evaluate that 19 Α. 20 the amounts that were contained in the unit that was 21 administered contained the specified target amounts 22 of materials intended.

	Page 189
1	Q. And what tests would a POSA as of June
2	2002 have conducted to determine safety?
3	A. Well, typical safety testing is not
4	something that a POSA formulator is usually engaged
5	in directly. They oftentimes are working in
6	conjunction with others that, in the pursuit of
7	human use of a formulation, take that formulation
8	and do both in vitro and in vivo tests on it to be
9	at least comfortable that it would likely be safe if
10	a human were exposed to it. But those tests aren't
11	something a formulator typically does themselves.
12	Q. Would a POSA formulator as of June 2002
13	conduct strike that.
14	As what tests would a POSA formulator
15	as of June 2002 conduct on Cramer Example III to
16	determine whether it was the formulation
17	disclosed was pharmaceutically acceptable?
18	A. I believe that they would evaluate the
19	composition such that it had an acceptable
20	appearance in that one would anticipate that this is
21	a that some of the material is not completely
22	soluble in the vehicles and so you would anticipate

	Page 190
1	seeing solid material and you would anticipate a
2	fluid-like vehicle and you let's see.
3	Looking at the materials, you know, there
4	might be hopefully somebody well, I don't know.
5	I won't say that, that there is contains
б	materials that in 2002 were recognized as safe as
7	individual components and there is little
8	expectation on the part of a POSA formulator that
9	combining those materials in any way would then
10	result in an unsafe material being present.
11	So they would potentially simply make this
12	composition and probably well, depending on how
13	they are planning to actually administer it,
14	calibrate their administration system so that they
15	were sure that the intended dose to be administered
16	was administered from that delivery system.
17	Q. So to be clear, a POSA formulator in
18	June 2002, to determine whether Cramer Example III
19	was pharmaceutically acceptable would have conducted
20	a visual analysis, some type of safety analysis, and
21	some analysis to ensure that the amount of drug
22	delivered was the intended dose; is that accurate?

1	A. Well, the safety analysis is really a
2	composition mental check that a POSA formulator
3	would certainly check or select materials or would
4	have a preference to check or select materials that
5	were recognized as safe and use them at
6	concentrations that were recognized as safe.
7	And yes, indeed, there might simply be a
8	visual check of the formulation to make sure that it
9	appeared as anticipated and that there would be a
10	method developed to assure that however it was being
11	administered, that it was that it administered
12	the dose that was intended to be delivered from the
13	composition.
14	Q. And how would a POSA formulator as of June
15	2002 ensure that the dose that was intended to be
16	delivered was the actual dose that was being
17	delivered?
18	A. There are a number of ways that if you
19	absolutely wanted to characterize the absolute
20	amount of material that was in an individual dose,
21	you'd go and do chemical analysis.
22	On the other hand, most of the time if the

Page 192 POSA formulator is satisfied that they've developed 1 2 a uniformly prepared composition such that any given portion of it represents the same combination of 3 4 concentrations in materials that any other portion 5 of it has, then you can simply look at a volumetric or a mass measurement of the unit to make sure 6 7 that -- and then project that it contains the 8 desired amounts of the materials. 9 Okay. So a POSA formulator as of June 0. 10 2002 wanted to determine that the amount of nasal 11 spray delivered in the intended dose could do a chemical analysis or volumetric or mass measurement? 12 13 Α. Uh-huh. 14 Are there any other tests a POSA could 0. 15 have undertaken to ensure that the dose being 16 delivered was what was intended? 17 Α. There probably are, but those -- the ones I stated are the ones that most likely come to mind. 18 19 So to -- Sorry. Excuse me. Strike that. 0. 20 So to determine whether a product was 21 pharmaceutically acceptable, we talked about the 22 visual analysis, the safety mental check and a check

Page 193 on intended dosage. Are there any other tests a 1 2 POSA would have performed in 2002? 3 Α. On Cramer III. 4 0. To test whether it was pharmaceutically 5 acceptable. 6 I mean, clearly the POSA formulator has a Α. 7 knowledge of what they define as pharmaceutically 8 acceptable. So whatever is part of their definition 9 certainly may add additional evaluations, but ... 10 Are you saying that each POSA formulator 0. would have a different definition of what's 11 pharmaceutically acceptable? 12 MR. WARNER: Objection. Mischaracterizes the 13 14 testimony. 15 BY THE WITNESS: 16 Yeah, because there's not a given Α. 17 definition of pharmaceutically acceptable. And so 18 pharmaceutically acceptable is, again, similar to 19 formulation. It's a combination of compromises from 20 perfect to acceptable. 21 And what you would compromise is you had to 22 undertake and how you weighed -- when you had

	Page 194
1	exceeded your limit on acceptability for a
2	particular compromise is a specific product
3	composition and formulator and intended use
4	question.
5	Q. Earlier you stated it would be acceptable
б	to have a nasal spray product that was administered
7	to humans come out as a jet. Do you recall that?
8	A. Yes, I did say that.
9	Q. Are you aware of any products that are
10	commercially available, nasal sprays, that come out
11	as a jet?
12	A. Yes.
13	Q. Which ones?
14	A. Zicam. And I believe Nascobal in its
15	original formulation. I don't know currently. But
16	it would be I think that would still be
17	considered close to, if you had a limited
18	vocabulary, a jet. And I'm not trying to be
19	offensive about anybody's vocabulary. But how you
20	define those spray shapes, we have spray and jet.
21	Q. Is there a different don't worry. I'm
22	not offended. I don't have clearly a pharmaceutical

	Page 195
1	formulator's vocabulary. But is there a different
2	term you would use to describe Zicam and Nas
3	A. Nascobal. And I'll be honest. It's been a
4	while since I've looked at Nascobal, so I don't have
5	a good description of what it appears as a nasal
б	administration device. But the last time I looked
7	at Zicam nasal spray, it was a jet.
8	Q. So jet it is, I guess.
9	A. I mean, it's a commonly used descriptor of
10	something that doesn't form a broad cone with
11	discernible droplets.
12	Q. Is pH something that a person of ordinary
13	skill would test to determine whether a product,
14	specifically Cramer III, is pharmaceutically
15	acceptable?
16	A. Certainly. And somebody could have checked
17	the pH of Cramer III, yet Cramer III has some
18	materials in it that would cause a pH measurement by
19	typical pH measurement means, which would either be
20	a standard pH electrode or a pH paper. It's got
21	materials in it that are going to cause that reading
22	to be inaccurate.

	Page 196
1	And so depending on what specifically the
2	formulator desired to know about what we commonly
3	define as pH, which is the concentration of
4	hydronium ion and what they were intending to
5	describe based on the measurement they took with
6	those conventional apparatus, is up to the
7	formulator to decide how meaningful that test would
8	have been.
9	Q. And when you say it's got materials in it
10	that's going to cause the reading to be inaccurate,
11	are you talking about Cramer Example III?
12	A. I'm talking about Cramer Example III, yes.
13	Q. And what material are you referring to
14	specifically?
15	A. Any of the non-aqueous liquid vehicles in
16	that composition will affect the measurement of pH
17	by a pH electrode. It may not effect it as much
18	from pH paper, but ionization is suppressed in the
19	presence of glycerin and other miscible, non-aqueous
20	solvent vehicles. And so then we have to seriously
21	consider what is it that our pH number is telling us
22	because it's not an accurate depiction of what the

	Page 197
1	hydronium ion concentration in that system is.
2	Q. By what magnitude would this affect the pH
3	measurement if you took it by electrode?
4	A. I wasn't asked to provide that opinion, and
5	I sitting here today can't tell you.
6	Q. Dr. Herpin performs a number of pH tests;
7	is that correct?
8	A. Uh-huh.
9	Q. And in your report, you don't dispute the
10	pH numbers, do you?
11	A. Not specifically, no.
12	Q. Okay. And is that still your opinion
13	today?
14	A. That my opinion is to not dispute those pH
15	numbers?
16	Q. Yes.
17	A. They are what Dr. Herpin reported them to
18	be, I assume.
19	MR. WARNER: Uma, is this a good time for a
20	break?
21	MS. EVERETT: Sure.
22	THE VIDEOGRAPHER: This marks the end of DVD

Page 198 No. 4. We are off the record at 2:52 p.m. 1 2 (A short break was taken.) THE VIDEOGRAPHER: Here begins DVD No. 5. 3 We 4 are on the record at 3:06 p.m. 5 BY MS. EVERETT: 6 Welcome back. 0. 7 Α. Thanks. 8 Dr. Donovan, do you know Ramprakash Q. 9 Govindarajan? 10 Yes, I do. Α. 11 How are you -- strike that. Q. 12 How do you know him? 13 He works for the same organization I do. Α. 14 He's an assistant professor and a staff member in an 15 area of our college called the University of Iowa 16 Pharmaceuticals. 17 Have you ever researched together? 0. 18 No. We've talked about potential funding Α. 19 strategies together, but we've never conducted the 20 same research project together. 21 Have you ever published anything together? 0. 22 Α. No.

Page 199 1 Q. Have you spoken to him about this 2 litigation? 3 I know he's involved in the litigation Α. 4 after I read his report, but I haven't spoken to him 5 about anything he did involving it. б I'm going to have you turn to Ο. 7 Paragraph 187 of your opening report. The 8 concluding sentence on 187, you say: To the 9 contrary, using knowledge well within the grasp of a 10 POSA in June 2002, Example III can be made with ease and results in a formulation that is in every 11 measured respect fully suitable as a pharmaceutical 12 13 for administration as an intranasal spray. 14 Α. Okay. Yes, I'm sorry. I was ahead or 15 above in the paragraph. I was having a hard time finding that. That's fine. Yes. 16 17 0. Okay. And actually, it might be better, we can start earlier in the paragraph. Earlier in 18 19 the paragraph, you say: I thus disagree with 20 Ms. Malhotra's position that Cramer III's example --21 I'm going to start over. Sorry. 22 At the beginning of Paragraph 187, you

	Page 200
1	say: I thus agree with Ms. Malhotra's position that
2	Cramer's Example III is inoperable and unacceptable
3	as a pharmaceutical formulation in a form suitable
4	for nasal administration.
5	And then you go on to state the sentence
6	we just looked at: To contrary, using knowledge
7	well within the grasp of a POSA in June 2002,
8	Example III can be made with ease and results in a
9	formulation that is in every measured respect fully
10	suitable as a pharmaceutical for administration as
11	an intranasal spray.
12	Do you see that?
13	A. Uh-huh.
14	MR. WARNER: Uma, you were racing.
15	MS. EVERETT: I'm sorry?
16	MR. WARNER: You were racing.
17	MS. EVERETT: Oh, I'm sorry. I was just so
18	happy to get the sentence out almost coherently.
19	Sorry about that.
20	BY MS. EVERETT:
21	Q. Do you agree with that sentence today?
22	A. Yes, I do.

1	Q. Okay. What did you mean that Cramer III
2	results in a formulation that in every measured
3	respect is fully suitable as pharmaceutical for
4	administration as an intranasal spray?

A. Well, I meant that based on the report of both Ms. Malhotra -- we're going to call him Dr. Ram because it's just so much easier on us, even though I'm about the only one in our unit that can say Govindarajan. That he -- that the -- I lost my frame of reference.

¹¹ That based on the measures that they took ¹² to characterize their attempts at Cramer III, that I ¹³ believe it represents a -- something that's fully ¹⁴ suitable for pharmaceutical administration by an ¹⁵ intranasal spray.

Q. And so you're referring just to the tests that were conducted?

A. I'm referring to the information that was
provided from their testing and based on that,
drawing that conclusion.

Q. And so you draw your conclusion based on just the tests that were performed by Ms. Malhotra

Page 202 1 and Dr. Ram? 2 Α. Well, previous to that, I mean, I will recognize we've already discussed that I find the 3 4 composition in Cramer III to be made of materials 5 that are recognized as safe and at doses and concentrations where they are recognized as safe, so 6 7 I have no reason to believe that that -- those 8 characteristics of the formulation are -- would not 9 be suitable. 10 And then the Paragraph 187 is really 11 describing in context the performance characteristics of that formulation once it's 12 13 matched into a spray bottle system that has a pump 14 capable of administering a nasal spray. And based 15 on the quality descriptions provided by the two 16 investigators, I think that it meets those performance characteristics, still tell me that it's 17 18 suitable as a pharmaceutical for administration. And neither -- strike that. 19 0. 20 And Dr. Govindarajan didn't test pH; is 21 that correct? Or strike that. Let me ask it 22 differently.

	Page 203
1	Dr. Govindarajan did not report a pH for
2	his formulations; is that correct?
3	A. You know, I don't recall all of the data
4	that he reported. But I'm I guess I'm willing to
5	believe, if you want me to, that he didn't; or you
б	can provide the data, and I'll see if I find it.
7	MS. EVERETT: I'm handing to the court
8	reporter, Expert Report of Ramprakash Govindarajan
9	Ph.D., to be marked as Donovan Exhibit 10.
10	(Donovan Exhibit Number 10 marked
11	for identification.)
12	BY THE WITNESS:
13	A. In my brief review of this, I don't see any
14	place where he reports pH.
15	Q. If the formulations created in Dr.
16	Govindarajan's report had a pH of 3, would that be a
17	formulation that is fully suitable as a
18	pharmaceutical for administration as an intranasal
19	spray?
20	A. In this composition in particular, you'd
21	have to tell me how that pH of 3 was measured, and
22	I'd have to evaluate whether that was a meaningful

Page 204 pH value that would tell me something about the 1 2 safety of that particular formulation. 3 Would a person of ordinary skill as of 0. 4 June 2002, would they believe that the formulations 5 disclosed in Govindarajan report if they had a pH of 3, would they view it as a pharmaceutical that was 6 7 fully suitable for administration as a nasal spray? 8 A POSA in 2002 should have sufficient Α. background regarding ionic equilibria and pH to have 9 10 a knowledge that in this composition that the number 11 read off of a pH meter may not accurately report the hydronium concentration. 12 13 If Dr. Govindarajan reports the pH of 3, Ο. 14 do you believe he has the skills necessary to report 15 an accurate pH? 16 Well, I think he has the skills certainly Α. 17 to tell you the reading that came from the 18 instrument he used to -- that it gave a value for 19 The interpretation of that value and its pH. 20 pharmaceutical appropriateness is something other 21 than what just reporting a value is in terms of what 22 a POSA does with that value.

	Page 205
1	Q. So it's your testimony that a POSA would
2	take pH and then need to consider more than just the
3	number?
4	A. Absolutely.
5	Q. So is that was that understood in
б	June 2002?
7	A. Yes.
8	Q. Can you point me to a reference where it
9	states that?
10	A. I, at this point, can't point you to a
11	reference that would directly state that.
12	Q. I'm going to have you turn back to Donovan
13	5. Anywhere in Donovan 5 which we referred to
14	earlier as one of your sources and one of your
15	sources on appropriate pH, does that reference
16	explain what more should be considered for pH
17	besides the number?
18	A. The reference itself doesn't directly
19	contain that information. But again, a POSA has the
20	academic knowledge regarding what the definition of
21	pH is and has the opportunity to understand how
22	measurements of pH come about and need to make

	Page 206
1	need to know or should be applying the measurement
2	of and the system that they're measuring
3	appropriately in order to evaluate the value that's
4	obtained correctly.
5	Q. So they, a POSA, would need to know how to
6	apply the measurement that is obtained? Is that
7	A. No. The references tell us the pH range.
8	And one interprets that reference as what a
9	hydronium ion concentration in water would be, what
10	the appropriate range or what a reasonable range or
11	accepted range or I don't remember what Dr. Allen
12	used to describe the range. But whatever adjective
13	he used, we'll go with that. And that's a range of
14	essentially translated hydronium ion concentration,
15	and that's what a POSA understands that value to
16	mean.
17	Now, how a POSA interprets a number
18	obtained from a system where they're aware that that
19	system doesn't accurately measure the hydronium ion
20	concentration is up to the POSA to interpret
21	correctly for their particular purpose of why
22	their why that value is important to them.

	Page 207
1	Q. Where in your reports have you disclosed
2	that opinion?
3	A. That's It's really not an opinion. It's
4	what pH is, and I didn't feel that I needed to
5	explain the basics of pH in my report.
6	Q. You do explain pH in your report, though,
7	do you?
8	A. Right. I refer to Dr. Allen's description
9	of what a formulator POSA would use as a range,
10	target range for pH. But it didn't require me to
11	then redefine "and here's what I mean by pH, and
12	this is what pH is."
13	Q. And you did not anywhere disclose that the
14	reading could not may not be accurate depending
15	on the type of pH reading; is that correct?
16	A. Well, the value is always accurate. It's
17	the interpretation of that value being equivalent to
18	the hydronium ion concentration or being something
19	else.
20	Q. And you did not disclose that in your
21	report?
22	A. I did not disclose that in my report

Page 208 because that's just a statement of fact. And you 1 2 have to know what equipment is being used to measure And in many places that's not described in 3 ρH. 4 patents or anyplace else, so I don't have any basis 5 for determining what that number means reflective of a useful pH range, except in my own POSA balance of 6 7 combinations of attributes of a composition that I 8 would find to be pharmaceutically acceptable. 9 And in your report, and I could refer you 0. 10 to some paragraphs, nowhere do you say -- strike 11 that. 12 So Dr. Herpin reports pHs in his formulations lower than 4; is that correct? 13 14 I believe so, yes. Α. 15 Some are in their 2s, and some are in Ο. 16 their 3s, correct? 17 Α. Does this one have the pHs? It's got spray 18 weight. 19 MR. WARNER: I don't know if she gave you the 20 one where those are reported. 21 BY MS. EVERETT: 22 I can give you the one with pHs, if you Q.

Maureen Donovan

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1	need it. I'll have these marked all at once.
2	MS. EVERETT: So I'm handing to the court
3	reporter Exhibit B, Malhotra Preparations. It's
4	part of Matthew Herpin's report to be marked as
5	Donovan 11.
6	(Donovan Exhibit Number 11 marked
7	for identification.)
8	MS. EVERETT: Handing to the court reporter
9	Exhibit C, Cramer Example I, which is an exhibit of
10	Matthew Herpin's report, to be marked as Donovan 12.
11	(Donovan Exhibit Number 12 marked
12	for identification.)
13	MS. EVERETT: Handing to the court reporter,
14	Exhibit D, Dr. Govindarajan Process, an exhibit in
15	Matthew Herpin's report, to be marked as Donovan 13.
16	(Donovan Exhibit Number 13 marked
17	for identification.)
18	BY MS. EVERETT:
19	Q. And, Dr. Donovan, these are the pH reports
20	for Dr. Herpin's tests, I believe. They include a
21	number of things including pH; is that right?
22	A. Yes, I see pH reported on a number of the

	Page 210
1	documents.
2	Q. And all of the pH in these documents are
3	between 2 and 3; is that correct?
4	A. Yes, that's correct.
5	Q. And nowhere in your reports have you said
б	that this is a pH for the Cramer formulation Example
7	III between 2 and 3 strike that. I'm going to
8	start the sentence over.
9	And nowhere in your reports have you said
10	that a pH of 2 to 3 for Cramer Example III is an
11	acceptable pH; is that correct?
12	MR. WARNER: Objection. The report speaks for
13	itself.
14	BY THE WITNESS:
15	A. I think I'll preface, I see pH reported
16	here, but I don't see any indication of what methods
17	were used to measure pH or what equipment was used,
18	so I accept the numbers. But I'm not entirely sure
19	how I am to interpret those pH values.
20	And to directly answer your question, there
21	is no direct statement in my expert report or
22	rebuttal report regarding those specific values.

	Page 211
1	Q. Nor did you say in your expert report you
2	were unable to interpret the pH numbers; is that
3	correct?
4	MR. WARNER: Same objection. The reports speak
5	for themselves.
б	BY THE WITNESS:
7	A. I did not highlight that in my expert
8	report.
9	Q. Are you saying today that you are unable
10	to interpret these pH numbers?
11	A. I am saying that I don't know how these pH
12	numbers were measured. And while they're labeled
13	pH, I know not to interpret them to tell me that
14	they represent exactly the hydronium ion
15	concentrations in the system being measured.
16	Q. Are you able to opine today whether a pH
17	as reported by Dr. Herpin of 2 to 3 is an acceptable
18	pH for Cramer Example III?
19	A. Well, it is whatever Dr. Herpin reported as
20	the pH for Cramer Example III, so I'm willing to
21	accept that that was the numerical value using
22	whatever equipment it is that he used to obtain that

	Page 212
1	pH. But what do you mean by it's an acceptable
2	value. It's what he measured.
3	Q. Is it a pharmaceutically acceptable value?
4	A. The value of the pH and what the pH the
5	necessity to control pH or sometimes limit
6	excursions of pH in a pharmaceutical formulation is
7	more complicated than just the number. So one
8	doesn't determine the acceptability or
9	non-acceptability of a pharmaceutical preparation
10	based only on a numerical value reported for the pH.
11	Q. What else would you need in addition to
12	the numerical value?
13	A. I would need information about what else is
14	in the composition, how often it's going to be used,
15	where it's being applied, whether there are any
16	well, where it's going to be applied is going to
17	tell me a number of things about how that
18	formulation will be modified by the body.
19	Q. For a nasal spray that is to be
20	administered twice a day for 2 months, is a pH of 2
21	to 3 acceptable?
22	A. I would say you have to do the testing to

	Page 213
1	find out. There's not there's not an abundance
2	of literature available or reports available in
3	to either explain that it is or that it is not.
4	Q. In this process, I've lost my notes.
5	And I'm going to go to your opening
б	report, Paragraph 185. You state and this is in
7	relation to Dr. Govindarajan's testing I can draw
8	several conclusions from his work. First, he made a
9	more accurate replication of Example III than
10	Ms. Malhotra did in as far as he used EDTA instead
11	of disodium EDTA.
12	A. Yes.
13	Q. Is that still your opinion today?
14	A. Yes. Based on what I read, yes.
15	Q. And is that because What do you mean
16	it's a more accurate replication of Example III?
17	A. Example III states as its component,
18	ethylenediaminetetraacetic acid, but I'm going to
19	check that to make sure. Why do I not find what I'm
20	looking for? Not finding papers is contagious.
21	Q. Let's spread it next to Kevin.
22	A. There we go. Okay. Cramer Example III

	Page 214
1	states the composition contains
2	ethylenediaminetetraacetic acid, which I recognize
3	to be abbreviated frequently as EDTA.
4	Q. And then is it your testimony the more
5	modifications one makes to Cramer, the less accurate
6	of a replication it is?
7	MR. WARNER: Objection to the form of the
8	question. It mischaracterizes the record.
9	BY THE WITNESS:
10	A. And I think it depends entirely on what
11	what modifications might be you might be
12	concerned with versus what's described in Cramer
13	Example III.
14	Q. Would you Do you view the modification
15	of disodium EDTA versus EDTA as a significant
16	modification?
17	A. Well, it's chemically significant. It will
18	have an influence on the on the composition
19	itself. Do I believe that it's significant
20	regarding EDTA's ability to be effective as a
21	preservative? Probably not.
22	Q. When you say it's chemically significant,

Page 215 is that because the change will affect the 1 2 characteristics of the composition? 3 Α. Yes. 4 0. Is any change that will affect the 5 characteristics of a composition significant? 6 It depends on what that change is and the Α. 7 magnitude of the change. 8 I'm going to have you turn back to the Q. 9 Govindarajan report. And if you can look to 10 Paragraph 9 of his report. 11 Okay. Α. Did you contribute in any way to the tests 12 0. 13 that were conducted in Dr. Govindarajan's report? 14 No. I was unaware he was even performing Α. 15 those tests. Did -- strike that. 16 Ο. 17 At any time did you suggest certain tests 18 should be conducted to determine whether Cramer III 19 is pharmaceutically acceptable? 20 Did I suggest to whom? Α. 21 To Apotex, to counsel. 0. 22 MR. WARNER: And I'll let you answer the

	Page 216
1	question, although only to the extent that it's
2	disclosed in any of the reports. Otherwise, that
3	would be an off the an undiscoverable
4	conversation.
5	BY MS. EVERETT:
6	Q. Yeah. I'm trying to understand what
7	you if you were performing these tests, what you
8	would have considered necessary. So just with that
9	background
10	MR. WARNER: Sorry. Can you say the question
11	again.
12	BY THE WITNESS:
13	A. It would be better for you to ask that
14	specifically, I guess.
15	Q. Well, I'm going to go back to my original
16	question.
17	At any point, did you suggest any tests
18	should be conducted of Cramer Example III?
19	A. I don't recall making any specific
20	suggestion of that.
21	Q. Earlier today you testified that a product
22	can be a jet and still be pharmaceutically
	Page 217
----	--
1	acceptable. Do you recall that?
2	A. Yes, I recall that.
3	Q. Do you know why Dr. Govindarajan would
4	then determine whether his formulations sprayed as a
5	jet or a spray if that didn't affect pharmaceutical
6	acceptability?
7	MR. WARNER: I caution you not to speculate.
8	BY THE WITNESS:
9	A. Yeah, I Dr. Govindarajan wrote down
10	observations in his data book. What he chose to
11	write was his choice.
12	Q. And But I'm referring to what
13	observations he was asked to make. Do you see in
14	Paragraph 9, if I was able to make the
15	pharmaceutical formulation as described in Example
16	III, I was asked to make the following observations?
17	A. Uh-huh.
18	Q. And do you have any insight into why if
19	that's not does not a jet or a spray does not
20	affect pharmaceutical acceptability, why he would
21	have tested that?
22	MR. WARNER: Objection to the form of the

Page 218 question. I caution you not to speculate about why 1 2 he did or didn't do some things. 3 BY THE WITNESS: 4 Α. Yeah, I wasn't part of these instructions, 5 I take his report and the information that he has 6 written into account when I form my opinions in this 7 matter. 8 When did you first see Dr. Ο. 9 Govindarajan's -- the results of Dr. Govindarajan's 10 Cramer testing? 11 At the time that I was preparing -- Let's Α. see. Okay. So at the time I was preparing my 12 13 expert rebuttal report is the time at which I was 14 provided Dr. Govindarajan's opening report. 15 I think you made reference in your opening 0. 16 report as well. So if you want to look at that report and see if it provides you any insight. 17 18 Okay. Then I saw it shortly before I Α. 19 completed my expert report. 20 Did you ask for any additional tests to be Ο. 21 performed on the formulations Dr. Govindarajan 22 created?

Maureen Donovan

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1	A. I saw his report, similar to other reports.
2	I typically don't I wasn't part of asking him to
3	do the work to begin with. I didn't even consider
4	asking him to do anything else.
5	Q. Do you and strike that.
6	Looking through Dr. Govindarajan's report,
7	do you have any evidence of whether the formulation
8	disclosed in the report would provide a nasal spray
9	that had uniform dosing?
10	MR. WARNER: 10.
11	THE WITNESS: Thank you.
12	MR. WARNER: Can I have the question back too?
13	BY MS. EVERETT:
14	Q. Sure. Looking through Dr. Govindarajan's
15	report, do you have any evidence that the
16	formulation disclosed would be a nasal spray that
17	had uniform dosing?
18	A. Well, I've gotten as far as Page 7 in
19	Dr. Govindarajan's lab book, and I have found the
20	conclusion that I was looking for, at least
21	regarding his first preparation, that it's a uniform
22	suspension product and that I would, as a POSA,

	Page 220
1	anticipate that since it was a uniform suspension
2	product that, as dosed as a uniform suspension
3	product, that it would have and I'm trying to
4	remember the exact question terminology you used,
5	but I would anticipate it was it contained all of
6	the components throughout the mixture in equal
7	concentrations at each point and that it would it
8	would deliver a reproducible dose as that uniform
9	suspension.
10	Q. So Dr. Govindarajan's observation was made
11	on visual inspection; is that correct?
12	A. That's correct.
13	Q. And is visual inspection sufficient to
14	determine whether all of the components throughout a
15	mixture deliver concentrations in an equal
16	reproducible dose?
17	A. It's suggestive that for simple
18	experimentation, that that would probably be
19	acceptable. But it's not sufficient to prove that
20	it's a uniform and equal dose of material.
21	Q. So you can't actually conclude based on
22	Dr. Govindarajan's visual observation alone that the

	Page 221
1	dose, that the strike that.
2	So you can't conclude based on
3	Dr. Govindarajan's visual observation alone that
4	the formulations in his report actually provide a
5	uniform dose?
б	MR. WARNER: Objection. Mischaracterizes her
7	testimony.
8	BY THE WITNESS:
9	A. Well, I'm also going to look at a couple
10	other things in addition to respond to that
11	question. And there's I don't see definitive
12	proof in Dr. Govindarajan's reports that allows me
13	to say with absolute certainty that each spray would
14	contain the would contain equivalent amounts of
15	the materials prepared in the composition.
16	Q. Dr. Donovan, in your report you comment on
17	some testing performed by MedPointe Pharmaceuticals.
18	Do you recall that?
19	A. Could you say specifically what comments,
20	about what testing to help me remember?
21	Q. Sure. It's contained in your rebuttal
22	report, I believe. And it's MedPointe. But I think

Page 222 in places in your report, you might refer to it as 1 2 Meda, so that might be more familiar to you. 3 Yeah, and I knew who you were asking about. Α. 4 The content of the specific comments I wanted to 5 narrow down. 6 Do you recall that in your report? 0. 7 I'm looking at some of the report where I Α. 8 have some analysis, and I recall there's comments 9 about work that they conducted, yes. 10 Okay. Great. Ο. 11 MS. EVERETT: Handing to the court reporter to be marked as Donovan 14, a document bearing the 12 13 Bates stamp MEDA_APTX01645706 through 07. 14 MR. WARNER: What was the exhibit number again? 15 MS. EVERETT: 14. 16 (Donovan Exhibit Number 14 marked 17 for identification.) 18 BY THE WITNESS: 19 Α. Okay. 20 Do you recognize -- strike that. Q. 21 Dr. Donovan, do you recognize the exhibit 22 marked Donovan 14?

	Page 223
1	A. Yes, I do.
2	Q. What is it?
3	A. It is as titled, the feasibility assessment
4	plan for do you want me to say MedPointe or Meda?
5	Q. Either is fine.
6	A. For their Astelin/Flonase product, which I
7	understand to really mean combination of azelastine
8	and fluticasone propionate.
9	Q. And you opine on this document; is that
10	correct?
11	A. Yes, I do.
12	Q. You note that there are three plans, Plan
13	A, Plan B, and Plan C in this document?
14	A. Yes.
15	Q. And is it your understanding that
16	MedPointe attempted Plan A when it developed
17	attempted to develop an azelastine fluticasone
18	product?
19	MR. WARNER: You can go ahead and answer. I'll
20	just remind you, if you need to refresh yourself in
21	your report, you're free to do that.
22	BY THE WITNESS:

	Page 224
1	A. Okay. But my opinion is that MedPointe
2	attempted part of Plan A, and I don't recall that
3	there was anything in anything I reviewed that
4	indicated they attempted anything about Plan B or
5	Plan C.
б	Q. And it's your testimony, I believe, that
7	they should have gone on to Plan B and Plan C; is
8	that accurate?
9	A. No, that's not accurate. My testimony
10	Well, let's go to the section where I'm actually
11	I've written what I wanted to communicate. From
12	what I can recall and find in my report, I describe
13	how MedPointe addressed step 1 in their Plan A. But
14	they don't describe clearly all of the work they may
15	have done or should have done to complete step 2;
16	and there's certainly nothing describing nothing
17	in their reports describing what they did to get to
18	step 3.
19	Q. Just to be clear, I think you're on
20	Plan A?
21	A. Yes, I'm on Plan A.
22	Q. I think my question was a little bit

	Page 225
1	different. It was: Is it part of your opinion that
2	MedPointe should have also attempted Plan B and
3	Plan C?
4	A. Well, in my opinion, I think I describe
5	that they identified three plans, and they failed to
б	address completely any of them. But I didn't take a
7	directive approach in what they should have done. I
8	acknowledged what they did, which does not
9	completely address any of the plans they describe.
10	Q. In Plan B, the first step is identify a
11	water-soluble corticosteroid compatible with
12	azelastine hydrochloride. As of June 2002, what
13	would a POSA have identified as a water-soluble
14	corticosteroid compatible with azelastine
15	hydrochloride?
16	MR. WARNER: Objection. Beyond the scope of my
17	report.
18	BY THE WITNESS:
19	A. I wasn't asked to provide an opinion on
20	that, but there were water-soluble corticosteroids
21	available in 2002.
22	Q. I'm going to have you turn to Paragraph 20

Page 226 1 of your report. 2 MR. WARNER: The rebuttal? 3 MS. EVERETT: Yes. BY MS. EVERETT: 4 5 Here you say: MedPointe's Dr. Kale came 0. up with three different approaches for making the 6 7 combination. Do you see that? 8 Yes. And it's my recollection that Donovan Α. 9 14 is Dr. Kale's document. Right? 10 Yes. And are you on Paragraph 20? 0. 11 I'm on Paragraph 20. Α. You go on to state two of them, and I 12 0. 13 believe "them" is the approaches, Plan B and Plan C, 14 two of them were apparently never tried by 15 MedPointe, so we cannot know whether they would be 16 successful using them. 17 That's what it says, yes. Α. You say: My opinion, however, is that 18 0. 19 they would have been successful because making the 20 proposed combination formulation is not difficult 21 for a POSA as I explained in my opening report. 22 Α. Yes.

	Page 227
1	Q. But the very fact that Dr. Kale was aware
2	of three possibilities reflects the numerous
3	formulation options available.
4	Is that still your opinion?
5	A. That is still my opinion.
6	Q. So it is your opinion that am I to
7	understand that options 2 and 3 would have been
8	strike that.
9	Am I to understand your opinion is that
10	Plan B and Plan C would have been successful because
11	making the proposed combination is not difficult for
12	a POSA?
13	MR. WARNER: Objection to the form of the
14	question. Vague as to successful.
15	MS. EVERETT: I'm using Paragraph 20 of Dr.
16	Donovan's report.
17	BY THE WITNESS:
18	A. My opinion and my statement is that a POSA
19	would accept the ability to successfully formulate
20	azelastine with a corticosteroid using Plan A,
21	Plan B, and Plan C and the variety of materials
22	available for formulation.

Page 228 1 Q. So --2 Α. And it was demonstrated that some version 3 of a plan was successful. And there's no reason to 4 believe that Plan B or C which weren't attempted or 5 Plan A if it had been fully completed would not have б been equally successful. 7 So then back to my question on Plan B. Ο. 8 Can you identify a water-soluble corticosteroid 9 that's compatible with azelastine hydrochloride? 10 I can identify water-soluble Α. 11 corticosteroids that were -- that I'm vaguely aware of that I would anticipate would be compatible with 12 13 or I would have no reason to anticipate it would not 14 be compatible with azelastine hydrochloride. 15 And would a POSA have been able to 0. 16 identify these water-soluble corticosteroids that were compatible with azelastine hydrochloride as of 17 18 June 2002? 19 They were chemically available, they were Α. 20 well known in the repertoire of corticosteroids. Ι 21 would anticipate a POSA would be able to find those. 22 What are the water-soluble corticosteroids Q.

Page 229 that were compatible with azelastine hydrochloride? 1 2 Again, I wasn't really asked to provide an Α. opinion on which ones, but in 2002, there were 3 phosphate prodrugs of corticosteroids that are 4 5 injected into people and they're in solution 6 formulations. So they are water-soluble to some 7 extent, solubility being in the eye of the beholder, 8 how much material you need to be soluble. 9 So these are phosphate prodrugs; is that Ο. 10 what you --11 Of corticosteroids, yes. Α. Okay. Had they been used in allergic 12 0. 13 rhinitis? 14 I'm -- I have no way of knowing that. Α. 15 And these phosphate prodrugs, they are Ο. 16 separate from fluticasone propionate; is that 17 correct? 18 Fluticasone propionate is not recognized as Α. 19 a water-soluble prodrug or as a water-soluble 20 phosphate prodrug. 21 Are there any other water-soluble 0. 22 corticosteroids that were compatible with azelastine

Page 230 as of June 2002? 1 2 I'd have to consider the full body of Α. corticosteroids that were known in 2002 and evaluate 3 4 their water solubilities. Sitting here today, I'm 5 at a loss. I wasn't asked to provide an opinion about the availability of them, and I -- but I do 6 7 know that there were some available. 8 Well, your opinion in Paragraph 20 is that Q. Plan B would be successful? 9 10 Α. Yes. 11 So what drug would a POSA have selected? 0. 12 MR. WARNER: Objection. Asked and answered. 13 BY THE WITNESS: 14 A POSA, me as a POSA, I would initially Α. 15 select a phosphate prodrug corticosteroid. 16 Are there any others that a POSA would 0. 17 have selected? 18 That's -- that would be my starting point. Α. 19 And I would reflect on whether I needed to select 20 something else based on the results of my initial 21 formulation attempts. 22 I'm going to have you turn to Plan C. Q.

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Page 231 Okay. Α. 0. The first step says: Increase the solubility of fluticasone propionate by various solubility enhancement technologies. How would one go about enhancing the solubility of fluticasone propionate? Using various solubility enhancement Α. technologies, one would evaluate appropriate technologies and apply them and/or evaluate the increase in solubility of fluticasone propionate in the presence of those systems. And are you aware of any studies or 0. literature disclosing, as of June 2002, methods to increase the solubility of fluticasone propionate? The solubility of fluticasone propionate in Α.

¹⁶ what compared to what?

Q. Well, here it says increase the solubility
 of fluticasone propionate.

¹⁹ A. Okay.

Q. So I'm referring to that.

A. And are we increasing it in the Flonase
 formulation, or are we increasing it in the proposed

	Page 232
1	combination product? Or I'll tell you where my
2	frame of reference is. You can ask further
3	questions, if you'd like.
4	So I read that as to increase the aqueous
5	solubility of fluticasone propionate by using
б	various solubility-enhancement technologies. And
7	there's information in the fluticasone propionate
8	Flonase label that leads me directly to at least
9	one, and if not more than one, potential method.
10	And it tells me what materials fluticasone
11	propionate is freely soluble in, which is more
12	soluble than practically insoluable. And I may
13	choose to look at some of those materials or
14	technologies around those materials to increase the
15	solubility of fluticasone propionate.
16	Q. And in addition to looking at the Flonase
17	label, what other literature or steps would you
18	consult to increase the solubility?
19	A. A POSA would likely look at literature that
20	was available, whether it be other patent
21	literature, whether it be publications about
22	fluticasone propionate and its chemical properties

	Page 233
1	and its known solubilities or chemical behaviors and
2	match those to what the POSA was aware of for
3	various solubility enhancement technologies.
4	Q. How would increasing the solubility of
5	fluticasone propionate affect its effectiveness?
б	A. Well, the only thing that's effective about
7	fluticasone propionate is whatever fluticasone
8	propionate is in solution at the receptor where it's
9	acting, so I'm not sure I understand about
10	increasing solubility just means there's drug in
11	solution that can act at the receptor, and it means
12	that it will be effective.
13	Q. So you don't understand that it would
14	you don't understand strike that.
15	So you don't believe that increasing
16	solubility of fluticasone propionate would affect
17	its effectiveness?
18	A. What do you mean by "its effectiveness"?
19	Q. The effectiveness of fluticasone
20	propionate when administered to a person.
21	A. How much fluticasone propionate is being
22	administered to that person? And what is its

Page 234 solubility in the vehicle it's being administered 1 2 in? 3 Would solubility of making fluticasone 0. 4 propionate, make -- if a hundred milligrams of 5 fluticasone propionate was administered, would it be 6 less effective if it was -- if you would increase 7 the solubility? 8 Okay. You need to be quantitative. If Α. 9 there's a hundred milligrams administered and a 10 hundred milligrams is in solution in the vehicle, 11 then a hundred milligrams is available to be effective. If we increase the solubility and still 12 13 administer a hundred milligrams and a hundred 14 milligrams is in solution, then it's the same 15 effect. 16 Would increasing the solubility of Ο. fluticasone affect its stability? 17 18 MR. WARNER: Objection. Incomplete 19 hypothetical. 20 BY THE WITNESS: 21 Would increasing the solubility of Α. 22 fluticasone propionate increase its stability?

	Page 235
1	Q. Affect its stability.
2	A. Affect its stability. It depends on what
3	the instability mechanisms are for fluticasone
4	propionate and whether they're
5	concentration-dependent mechanisms.
6	Q. Would you consider increasing the
7	solubility of fluticasone propionate routine
8	optimization?
9	MR. WARNER: Objection to the form of the
10	question. Incomplete hypothetical.
11	BY THE WITNESS:
12	A. I'm struggling because routine optimization
13	is usually performed on a composition that meets
14	many of the performance criteria desired and then
15	we're trying to improve all of the possible
16	characteristics towards our perfect composition
17	characteristics. Determining the concentration of
18	drug in solution in that composition is a step
19	that's performed before optimization is
20	contemplated.
21	Q. So would you consider this development
22	work?

	Page 236
1	A. If I had If I were evaluating the
2	concentration of drug that was present in a number
3	of different potential vehicles, that would likely
4	be considered at, you know, an early formulation
5	development stage.
6	MS. EVERETT: I think now's a good time for a
7	break. We've been going for an hour.
8	THE VIDEOGRAPHER: This marks the end of DVD
9	No. 5. We are off the record at 4:07 p.m.
10	(A short break was taken.)
11	THE VIDEOGRAPHER: Here begins DVD No. 6. We
12	are on the record at 4:22 p.m.
13	BY MS. EVERETT:
14	Q. Dr. Donovan, now I'm going to have you
15	turn back to your rebuttal expert report. And
16	I'm strike that.
17	I'm at Paragraph 31. You were commenting
18	on tests and experiments run by Meda
19	Pharmaceuticals. Let me know when you're there.
20	A. Okay.
21	Q. In Paragraph 31, you state. The next
22	morning, April 27th, the experimenters note that a

	Page 237
1	major portion of azelastine had not gone into
2	solution. There was no indication of what portion
3	that is.
4	Is it pharmaceutically acceptable to have
5	a product where any portion of the azelastine does
6	not go into solution?
7	MR. WARNER: Objection to form. Incomplete
8	hypothetical.
9	BY THE WITNESS:
10	A. Depends entirely what the purpose of that
11	composition is, what its goals are. And the only
12	material that can be active in a pharmaceutical
13	composition is the part of the material that's in
14	solution at the point of the receptor interaction.
15	So it's certainly possible that there could
16	be solid any compound in a composition. It
17	doesn't make it pharmaceutically acceptable or
18	unacceptable. And having all of the composition in
19	liquid or in solution may be advantageous in some
20	situations.
21	Q. For a pharmaceutical product that's a
22	nasal spray that includes azelastine hydrochloride

	Page 238
1	and fluticasone propionate, is it acceptable if any
2	part of the azelastine is not in solution?
3	A. Under certain conditions, it certainly may
4	be. It depends. And again, the composition has to
5	be effective, which means that at the site of
6	action, sufficient azelastine has to be in solution
7	to be able to interact with the target receptors.
8	But outside of that, if there's additional
9	undissolved azelastine, there isn't any reason that
10	would necessarily be unacceptable.
11	Q. But there is a portion, a certain portion
12	of azelastine that must be in solution for the
13	pharmaceutical to be effective; is that accurate?
14	A. The active the pharmacologically active
15	agent to interact with its receptor or at the target
16	site of action has to be in solutions. Whether it's
17	azelastine or fluticasone or any other API, to
18	actually exert its activity, a molecule has to be in
19	solution to do that.
20	Q. In Paragraph 32, you note that no analysis
21	was done to determine how much of the solid had
22	precipitated out, parentheticals, they noted that

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	Page 239
1	only some had or what material in the sample had
2	precipitated.
3	Do you see that?
4	A. I see that, yes.
5	Q. Is there an acceptable level of
6	precipitation in a solution?
7	A. Again, by definition, a solution is a
8	homogeneous mixture of material that is molecularly
9	dispersed in the vehicle. So there wouldn't be
10	solid material, which is what likely individuals are
11	referring to as precipitate.
12	Q. So for a solution, there should be no
13	precipitation; is that accurate?
14	A. The solution has to be a homogeneous
15	molecularly dispersed system, which means everything
16	has to be molecularly dispersed within the vehicle.
17	Q. And if there is a formulation that is
18	intended to be a solution and there's some
19	precipitation, is that an unacceptable formulation?
20	A. Not necessarily. It wouldn't be classified
21	as a solution, but it doesn't mean that it's an
22	acceptable composition.

	Page 240
1	Q. And earlier I believe you stated the
2	solution appears clear. Is that
3	A. I may have sort of overgeneralized that.
4	But oftentimes we think of solutions as being clear.
5	But most solid solutions aren't. So a solution by
6	thermodynamic definition is a molecularly dispersed
7	homogeneous system.
8	Q. If something that strike that.
9	If there's a formulation that was not
10	clear, would you say that that either was
11	unacceptable or not actually a solution?
12	A. You know, I'm not able to agree that
13	just that clarity is a definition or mandatory
14	attribute of a solution.
15	Q. Okay. In Paragraph 34, you state in
16	relation to the formulation that Meda has made, it
17	is highly probable that the precipitate was not
18	azelastine hydrochloride, which is sparingly soluble
19	material but rather the MCC from the Avicel in the
20	Flonase; is that correct?
21	A. That's what that states, yes.
22	Q. Is that still your opinion?

	Page 241
1	A. It's my opinion that that's certainly a
2	possibility, yes.
3	Q. And what is your basis for saying that it
4	may not be azelastine hydrochloride?
5	A. Well, the basis is azelastine hydrochloride
6	is a sparingly soluble material, and
7	microcrystalline cellulose is an insoluable
8	material. The particulates in microcrystalline
9	cellulose in general are smaller than the filter
10	size used to filter out solid material in this
11	activity. So azelastine has higher solubility than
12	MCC, and there's no reason to believe that MCC was
13	removed from the system.
14	Q. Just a moment ago you stated that it was
15	one possibility that the precipitate was not
16	azelastine hydrochloride. In your report, you say
17	it's highly probable that the precipitate was not
18	Astelin hydrochloride.
19	What is your view on the probability that
20	the precipitate is Astelin hydrochloride that the
21	precipitate is azelastine hydrochloride?
22	A. Well, there was no evidence provided in the

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report regarding this set of experiments that there 1 was confirmatory evidence that it was azelastine 2 hydrochloride. So I leave myself open to it's 3 4 probable that it could be a number of things. I 5 can't give you the odds. I have no idea what the 6 odds are. I wasn't -- the information documented 7 about this experiment is limited and confusing, so I 8 can't clearly discern exactly what was done, exactly 9 what was observed. I can't give you odds under 10 those conditions. 11 The technician who noted it in the lab 0. notebook recorded it as azelastine hydrochloride; is 12 13 that correct? 14 Α. I guess I'd like to see the lab notebook 15 pages because that's my recollection that there are 16 probably some other things written around that. 17 MS. EVERETT: Handing to the court reporter to 18 be marked as MEDA_APTX03470948 through 970 as Donovan 15. 19 20 (Donovan Exhibit Number 15 marked 21 for identification.) 22 BY THE WITNESS:

	Page 243
1	A. Do you have a specific page and section
2	you'd like to refer me to?
3	Q. I'm going to refer you to lab notebook
4	Page 118.
5	A. Okay.
6	Q. I mean, there are a few entries here. But
7	specifically: The following morning, April 26,
8	2006, it is observed the fact that some of the solid
9	has, in fact, precipitated out of the 1044 sample.
10	MR. WARNER: So what's the question.
11	MS. EVERETT: She Dr. Donovan asked to see
12	the lab notebook. I asked her whether the
13	technician made the observation that azelastine had
14	precipitated out.
15	BY THE WITNESS:
16	A. And I
17	MR. WARNER: One second. I've got to object to
18	the form of the question. And are you asking her is
19	that sentence are you referring her to that
20	sentence?
21	MS. EVERETT: I asked her whether the
22	technician had recorded that azelastine had

	Page 244
1	precipitated out. She asked to see the lab notebook
2	and be referred to that sentence. I've now referred
3	her to that sentence.
4	BY MS. EVERETT:
5	Q. Isn't this what the technician recorded at
6	that time?
7	MR. WARNER: I object to the form of the
8	question. I don't recall her asking to be referred
9	to a particular sentence. Go ahead.
10	BY THE WITNESS:
11	A. Anyway, I'm to look in context at the
12	statements above that, which describes the system
13	looking white and opaque and that it was allowed to
14	settle and observed for precipitation, which if it's
15	opaque, I'm not sure how you observe additional
16	precipitation.
17	And the following statement is that
18	observed some of the solid had, in fact,
19	precipitated out. I am not directed to believe that
20	that is azelastine by any confirmatory method, nor
21	do I understand how something that was white and
22	opaque now somebody can differentiate a solid that

Page 245 they're calling a precipitate as a specific 1 2 component of that mixture. 3 I'm going to have you look at Page 117 as 0. 4 well, the bottom, where it says: The following 5 morning, the sample was observed for clarity and 6 solubility, and it was found that there was still a 7 major portion of the azelastine hydrochloride that 8 had not gone into solution. 9 I'm not sure where -- so it's 117. Let me Α. 10 see that again. 11 Yes. Do you see that? 0. Well, I'm going to read all of Page 117 for 12 Α. a moment, just to get myself oriented to this 13 14 section of reporting. 15 Okay. And the question? 16 The technician on April 26th noted that a Ο. 17 major portion of the azelastine hydrochloride had 18 not gone into solution. Is that correct? 19 That's what's written. I don't understand Α. 20 how the technician determined that what he or she 21 was observing was azelastine hydrochloride. 22 Why is that? Q.

Page 246 There's no confirmatory analysis that the 1 Α. 2 material of the solid material observed is azelastine hydrochloride. 3 Why isn't visual inspection enough? 4 Ο. Because it's a solid material. I can't 5 Α. identify chemically what any given solid material 6 7 is, and I don't anticipate the technician is capable 8 of doing that either. 9 Well, if there wasn't a solid material --Ο. 10 strike that. 11 In this experiment, you understand that the technician took the Flonase system and removed 12 13 the solid particles of fluticasone propionate? 14 MR. WARNER: Objection to the form of the 15 question. Assumes facts. 16 BY THE WITNESS: 17 Α. And based on the description, they removed the solids that were able to be removed by 18 19 centrifugation or by filtering through, at the smallest, a .45-micron filter. 20 21 And then the technician added azelastine 0. 22 to that supernatant?

	Page 247
1	A. Yes.
2	Q. And so it's your testimony that a
3	technician who removed the solids and then added
4	azelastine would not be able to determine whether
5	the remaining solid was azelastine?
6	MR. WARNER: Can you repeat that?
7	THE COURT REPORTER: Yeah, could you repeat it?
8	I need it too.
9	BY MS. EVERETT:
10	Q. So it's your opinion that the technician
11	who removed the solids from the Flonase system and
12	then added azelastine, he would not be able to
13	determine that any undissolved solid was azelastine?
14	MR. WARNER: Objection to the form of the
15	question. Mischaracterizes the document. Assumes
16	facts.
17	BY THE WITNESS:
18	A. Based on this description and what I
19	previously just said was what was removed from the
20	Flonase formulation was any solid particles that
21	could be centrifuged out and any other particulate
22	matter that was greater than .45 micron in diameter.

Page 248 It doesn't mean that everything was removed from 1 2 that vehicle. 3 And as a result, there are other materials 4 still remaining in that supernatant and azelastine 5 was added. And I don't believe that a technician or 6 anyone else can without a doubt determine after a 7 period of time that what they observe as a solid 8 material in that is azelastine hydrochloride. 9 If the supernatant was passed through a 0. 10 .45-micron filter, would a human be able to observe particles that were smaller than .45 micron? 11 12 I believe that that's -- you might see a Α. 13 Tyndall effect at that size, I think, but you 14 wouldn't be able to discern individual particles. 15 So the microcrystalline cellulose that was Ο. 16 remaining was smaller than .45 micron? On one axis, it would have been smaller 17 Α. than .45 micron. 18 19 What do you mean by one axis? Ο. 20 Well, there's nothing that says Α. 21 microcrystalline cellulose is a sphere. So it has 22 dimensions that are both length and width. So one

Page 249 portion of that molecule has a radius less than 1 2 .45 microns because it could go through a .45-micron filter because it's typical particle size is 3 characterized as less than .45 microns. 4 5 So is it your opinion that a person, a 0. human, looking at the supernatant that had gone 6 7 through a .45-micron filter would see particles of 8 microcrystalline cellulose? 9 They wouldn't see individual particles. Α. 10 But if there's a multitude of them, there's going to 11 be an observable something about that supernatant. And I refer to Page 116 where under a nearly 12 13 identical methodology with slightly different filter 14 sizes, it's clearly stated that the supernatant is 15 not clear, whereas on Page 117, there's no 16 indication. There's nothing that says the 17 supernatant was clear. 18 I'm going to refer you to the bottom of 0. 19 Page 116. It says: Attempting to pass the sample 20 through the .45-micron filter was extremely 21 difficult. After approximately 3 milliliters of the 22 sample had passed through and was seemingly clear

Page 250 1 now -- do you see that? 2 Α. Okay. So the technician is reporting that the 3 0. 4 sample was clear after passing through the filter; 5 is that correct? 6 MR. WARNER: Objection. Form of the question. 7 It incompletely states the document. 8 BY THE WITNESS: 9 The technician is reporting some fraction Α. 10 of the sample that they recovered appeared to be clear before they spilled it. 11 If a person could see the microcrystalline 12 0. cellulose -- or strike that. 13 14 If a person could observe the 15 microcrystalline cellulose after the supernatant had 16 gone before the .45-micron filter, is that because 17 the microcrystalline cellulose particles were 18 clumped together? 19 It may be. Other -- It's merely a matter Α. 20 of can those particles scatter enough light so your 21 eye detects a difference in that vehicle. And why 22 they're doing that and what size they're doing that,

	Page 251
1	you need further investigation.
2	Q. If the particles were visible after
3	passing through the .45-micron filter, is it
4	strike that.
5	So I want to go back to your basis for
6	stating that the material observed may not be
7	azelastine. You noted the difference in solubility
8	between MCC and azelastine; is that correct?
9	A. Yes.
10	Q. What other basis do you have to suggest
11	that what is recorded as azelastine is not, in fact,
12	azelastine?
13	A. The basis is there's no convincing evidence
14	in the laboratory notebook that it is azelastine
15	hydrochloride. So I have to hold open the
16	possibility that it could be azelastine
17	hydrochloride or any of the other materials present
18	in the system.
19	And by adding azelastine hydrochloride to
20	the system, it changed materially the
21	characteristics of the system. I don't know what
22	that means regarding the other materials in the

 system based on the information provided in the laboratory notebook. There's inconsistent 	
² laboratory notebook. There's inconsistent	
3 documentation of what happened and on what basis t	he
⁴ technician assigned particular observations to	
⁵ specific entities without any regard for what the	
⁶ other entities in the system were.	
7 Q. We were just referred to in Paragraph	
⁸ Page 116 where the supernatant was clear.	
⁹ A. On 116? Okay.	
¹⁰ Q. Yes. Do you see that?	
¹¹ A. I see that, yeah.	
¹² Q. So assuming the supernatant was clear	
¹³ before the addition of azelastine, what would you	
14 conclude the visible solid the technician refers t	0?
¹⁵ A. I don't know based on this report. I ca	n't
¹⁶ conclude when there's no attempt at control	
¹⁷ experiments, no attempt at other experiments that	
¹⁸ would eliminate the possibility that there are oth	er
¹⁹ materials that could be forming that precipitate,	
²⁰ automatically assuming that it's the thing I thoug	ht
²¹ would precipitate or wanted to precipitate or	
²² whatever did, is unscientific.	
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1	Q. If the supernatant was clear before the
2	addition of azelastine and the visible solids were
3	MCC, wouldn't MCC have had a negative interaction
4	with the azelastine?
5	A. I don't know. What do you mean by negative
6	interaction?
7	Q. Well, before the addition of azelastine,
8	the supernatant was clear?
9	MR. WARNER: Objection to the form of the
10	statement.
11	BY MS. EVERETT:
12	Q. And
13	A. We're assuming that it was clear. But
14	anyway, if we go with that assumption, the
15	supernatant was clear and azelastine was added. And
16	do you want me to then project that there was a
17	negative interaction between MCC and azelastine?
18	Q. No. If the supernatant was clear and you
19	added azelastine and there was some solids in the
20	resulting formulation such that it was clumping or
21	some sort of agglomeration of MCC, is this a
22	negative interaction between azelastine and MCC?

	Page 254
1	A. It's a result of the system change induced
2	by azelastine under the conditions of merely the
3	supernatant being present. So, you know, if that
4	were really the case, there may be ways to resolve
5	that issue if it really was a negative interaction.
б	Q. If it was the azelastine that had not been
7	dissolved strike that.
8	I think earlier you testified that it
9	would not be pharmaceutically unacceptable to have a
10	product where all of the azelastine didn't go into
11	solution?
12	A. That's not what I said. So actually maybe
13	I misheard what you just restated. But do you mean
14	the entirety of the azelastine was not in solution,
15	or that some of the azelastine was not in solution
16	and some was?
17	Q. The latter.
18	A. Okay.
19	Q. So I believe you stated that it's not
20	pharmaceutically unacceptable where some of the
21	azelastine is in solution and some is not?
22	A. It's no more pharmaceutically unacceptable

Page 255 to have some azelastine in solid form and some in 1 2 solution form than it is to have fluticasone, some in solid form and some in solution form. It has 3 4 nothing to do with the pharmaceutical acceptability 5 of those systems. 6 Would it affect the uniform dosing of a Ο. 7 pharmaceutical composition if azelastine was partly 8 in solution and partly not in solution? 9 In the same way that we addressed dose Α. 10 uniformity with suspensions, the dose uniformity if azelastine was some in solid form, some in solution 11 form could be addressed using formulation techniques 12 13 and optimization. 14 So your answer is it would affect dosing? 0. 15 I think you're going on to state but you may do 16 other things about that? 17 MR. WARNER: Objection to that form of that question. Asked and answered. 18 19 BY THE WITNESS: 20 And I didn't state it would affect dosing. Α. 21 I would say in the same way we consider dose 22 uniformity, something to address with suspension

	Page 256
1	formulation, we would address it if azelastine was
2	as a suspension in the formulation.
3	Q. So would it affect I hear you that you
4	could consider it. Would it My question is: If
5	azelastine is in partly in solution and partly
6	not in solution, would it affect the uniformity of
7	the dosing?
8	MR. WARNER: Objection. Asked and answered.
9	BY THE WITNESS:
10	A. And I unless I know the type of
11	dispersion of the azelastine solid in that system, I
12	have no way of projecting whether it would or
13	wouldn't. If it acts as a typical suspension, it
14	would be something at least would be evaluated and
15	addressed whether it could lead to dose uniformity
16	under certain circumstances or dose uniformity
17	issues under certain circumstances. But there are
18	suspensions that stay, you know, stay suspended and
19	dispersed for long periods of time and there's some
20	that don't. Some lead to dose uniformity issues as
21	a result if they don't stay uniformly dispersed.
22	Q. Would a person of ordinary skill as of
22	Q. Would a person of ordinary skill as of

Page 257 June 2002 have knowledge of azelastine's behavior as 1 2 if it was a solid in the system? 3 Well, I think one of the things -- you Α. 4 know, in 2002, something available to a POSA is the 5 Hettche reference. And the Hettche reference gives 6 a broad range of concentrations of azelastine to be 7 used and that are effective. And knowing what a 8 POSA could do to determine the solubility, the POSA 9 might determine that some of those concentration 10 ranges represent suspensions. 11 Would a POSA understand that the Hettche 0. reference is disclosing using azelastine as a 12 13 suspension? 14 Well, the POSA would need to evaluate the Α. 15 concentration ranges disclosed in the Hettche 16 reference and either, by routine experimentation, determine the solubility of azelastine, which would 17 18 then allow them to draw the conclusion about whether 19 some of those ranges describe suspensions or not. 20 I'm going to have you turn to 0. 21 Paragraph 40. Here you discuss some techniques a 22 POSA would have taken to make azelastine more

Page 258 1 soluble. 2 Α. I'm describing -- it's pH-dependent solubility, yes. In Paragraph 40? 3 4 Q. Yes. 5 How would you -- how -- strike that. 6 How would a POSA have modified -- strike 7 that. 8 What pH would a POSA have adjusted azelastine to to increase its solubility? 9 10 Well, Paragraph 40 is describing the Α. experiment the POSA would conduct to determine just 11 that, that you would like to determine what pH -- a 12 13 pH where azelastine or, you know, what the pH 14 dependency of azelastine solubility is so that that 15 would inform the pH of the system based on what it 16 is that you want -- how you want to present 17 azelastine in the system. 18 So this doesn't specify a pH, and the POSA 19 would know well how to do a pH solubility 20 determination to inform them about pH effects on 21 azelastine solubility. 22 Would the POSA have conducted this Q.

	Page 259
1	experiment on azelastine hydrochloride by itself?
2	A. Well, in order to conduct these
3	experiments, you need to put them into controlled
4	vehicles where the pH is constant, azelastine in
5	addition to the materials in the vehicle that allow
б	you to hold the pH constant.
7	Q. So would this have been conducted putting
8	azelastine in the Flonase vehicle?
9	A. No, it would not. A pH solubility
10	experiment is conducted in vehicles that don't have
11	an abundance of additional formulation excipients
12	if you really want to understand the pH solubility
13	behavior of just the active substance.
14	Q. And is that because because of the
15	other components, it would and is that because
16	having other components in the system would affect
17	learning what the actual pH of azelastine is?
18	A. Azelastine doesn't have a pH, so I don't
19	understand the question.
20	Q. So you stated that you would want to
21	conduct these tests in the presence of a vehicle
22	without a lot of components?

	Page 260
1	A. That's what I stated, yes.
2	Q. Why is that?
3	A. Because if you're really investigating the
4	pH dependence of solubility of an API, you want the
5	pH to be the only variable in the system. You don't
6	want other components because they represent other
7	variables in the system that you are not
8	controlling.
9	Q. So once you determined the when you've
10	investigated the pH dependence of the API, how would
11	that affect how would you take that and then
12	translate that into putting azelastine into the
13	Flonase vehicle?
14	MR. WARNER: Objection to the form of the
15	question. Incomplete hypothetical.
16	BY THE WITNESS:
17	A. The pH dependence, the knowledge of the pH
18	dependence informs a POSA about the concentrations
19	of azelastine that are in solution at specific pHs.
20	What that means regarding the Flonase vehicle, if
21	you know the pH of the Flonase vehicle, you can
22	probably calculate how much azelastine would be in

Page 261 solution if it were only the pH that was affecting 1 2 the solubility of azelastine in that vehicle. But there are other materials in that 3 4 vehicle that can have an influence, both on pH, as 5 we define it as hydronium ion concentration, and on 6 chemical interactions that may alter the solubility 7 of azelastine. 8 And a POSA would need to consider the 0. effect of the variabilities of the other materials 9 10 in the Flonase vehicle as well; is that correct? 11 Only if the only methodology the POSA knew Α. was to take the Flonase vehicle and add azelastine 12 13 to it. Otherwise, all of the materials in the 14 Flonase vehicle are just other materials that could 15 be included or not included in a final formulation, 16 and there are plenty of other materials that could be substituted for those. 17 18 So, you know, what a POSA needs to do 19 regarding the Flonase vehicle is just a very strange 20 question or approach for formulation development. 21 A POSA would need to consider the 0. 22 variabilities of azelastine -- strike that.

	Page 262
1	A POSA would need to consider the
2	variables of any vehicle that would be used with the
3	azelastine; is that fair?
4	A. A POSA needs to consider the interactions
5	and material properties of all of the materials that
6	get included in a formulation that's being developed
7	or optimized.
8	Q. In 41, Paragraph 41, you suggest the use
9	of a co-solvent?
10	A. Yes.
11	Q. And here you state that it was known that
12	azelastine hydrochloride was more soluble in
13	glycerin than water?
14	A. Yes.
15	Q. Okay. And you refer to your opening
16	report, Paragraph 41.
17	A. Okay.
18	Q. Here in 41, I believe you're referring to
19	azelastine. You say: Sparingly solubility and
20	slightly soluble in glycerin?
21	A. Yes, I'm referring to the statements in the
22	label that's reproduced above.

	Page 263
1	Q. And you may want to look at Paragraph 42.
2	Is azelastine more soluble if azelastine
3	strike that.
4	If azelastine is sparingly soluble in
5	water and slightly soluble in glycerin, which
6	vehicle is it more soluble in?
7	A. Azelastine hydrochloride is more soluble in
8	the slightly soluble vehicle as compared to a
9	vehicle where it's only sparingly soluble.
10	Q. It's more soluble in slightly soluble than
11	sparingly soluble?
12	A. Yes. Though it's more soluble in glycerin
13	than it is in water as described by it being
14	sparingly soluble in water and slightly soluble in
15	glycerin.
16	Q. And looking at the arrangement of the
17	descriptive terms, slightly soluble appears below
18	sparingly soluble; is that correct?
19	A. Well, in the ranking there, yes.
20	Q. And it needs more parts of solvent needed
21	for one part of solute?
22	A. Yes, you're right. I'm sorry. I was

	Page 264
1	it's getting late.
2	Q. I hear you. I just want to make sure
3	we're on the same page.
4	So is that reversed, azelastine is
5	actually more soluble in water than in glycerin?
б	A. Yes, based on the information in this
7	table, that would be how you would interpret that
8	information.
9	Q. Okay. So when you said in Paragraph 41 of
10	your reply that azelastine was more soluble in
11	glycerin than water thus making glycerin an option
12	as a co-solvent in an aqueous nasal formulation, do
13	you still agree with that statement?
14	A. Based on the information in the table, I'm
15	going to I think I'm going to need the
16	opportunity to reconsider that because my
17	understanding of the descriptive terms and the
18	descriptive terms and the parts of solvent needed
19	are not are not aligning at this point. So if I
20	could refer to Remington's or another or USP,
21	which are the two places where these terms are
22	typically included, that might be helpful to me.

Page 265 1 MS. EVERETT: I'm handing to the court reporter 2 a document bearing the Bates APOTEX_AZFL0132974 3 through 992 to be marked as Donovan 16. 4 (Donovan Exhibit Number 16 marked 5 for identification.) 6 BY MS. EVERETT: 7 I'm handing to you what I believe is a Q. 8 chapter of Remington's, but please confirm and take 9 a look. 10 This does look like a chapter from Α. 11 Remington's. Okay. And it looks like the information in the table in Chapter 42 is a 12 13 reproduction of the information in this Remington 14 chapter. 15 So based on that, would you say that 0. 16 azelastine hydrochloride is actually more soluble in water than glycerin? 17 18 Yes, the azelastine hydrochloride is, based Α. 19 on those qualitative descriptions, somewhat more 20 soluble in water than in glycerin. 21 Is it your opinion still that glycerin 0. would be a useful co-solvent? 22

	Page 266
1	A. Yes, it is.
2	Q. How much glycerin would one need to add to
3	the azelastine to make it all in solution?
4	A. It depends on the pH of the system and
5	whether there's entire azelastine hydrochloride or
6	the hydrochloride salt will dissociate, so whether
7	there's the protonated form of azelastine in the
8	system or whether there's azelastine free base in
9	the system.
10	Q. You would need to add more glycerin to the
11	system to make azelastine hydrochloride dissolve
12	than you would need to add water; is that correct?
13	Let me ask that again.
14	A. I don't think that's what I said.
15	Q. You would need to add more glycerin than
16	water to the system to make azelastine hydrochloride
17	soluble; is that correct?
18	A. Again, it depends on what pH the system is
19	at because the pH of the system defines whether it's
20	azelastine hydrochloride or the protonated form of
21	azelastine that's present or whether it's azelastine
22	free base, and we're not provided information here

	Page 267
1	regarding the solubility of the free base.
2	Q. What is the form that's in Astelin?
3	A. What's the pH of Astelin?
4	Q. I think you list it in this report.
5	A. It's 6.8?
б	Q. 6.8, yes.
7	A. Okay. And the pKa of azelastine is, do we
8	have that information? It's the only way I can tell
9	you what form is in the system.
10	Q. Well, let me ask you it a different way.
11	If everything is equal in the system except for the
12	solvent, water versus glycerin, would you need more
13	glycerin than water?
14	A. It entirely depends on what the proportion
15	of azelastine hydrochloride versus azelastine is in
16	the system.
17	Q. And that would the amount you would
18	need of the solvent would strike that.
19	And depending on the amount of azelastine
20	hydrochloride versus azelastine in the system, that
21	would affect the amount of the solute you would use,
22	excuse me, the co-solvent you would use?

	Page 268
1	A. Well, let me correct one thing that you
2	said. It's whether the protonated form of
3	azelastine or azelastine free base, which
4	proportion which proportion they are present in
5	that particular system. And their proportions and
6	their solubilities in those solvent determine which
7	solvent you need to add more of to solubilize
8	whichever form has the lowest solubility.
9	Q. In your report, you did not distinguish
10	between analysis of azelastine in the protonated
11	form or azelastine free base in determining that
12	azelastine hydrochloride, whether it was more
13	soluble in glycerin than water, did you?
14	A. No, because I described how a POSA would
15	simply do the pH solubility study, which is the more
16	straightforward way of obtaining that information.
17	Q. So now are you able to comment on
18	whether sitting here today, are you able to
19	comment on azelastine hydrochloride as it is found
20	in Astelin, whether that would require more
21	whether strike that.
22	Whether azelastine hydrochloride is more

	Page 269
1	soluble in water than glycerin?
2	A. Well, by the label description and by the
3	definitions that azelastine hydrochloride, as the
4	salt form, is more soluble in water than in
5	glycerin.
6	Q. And you're not able to opine on how much
7	more glycerin would have been added, needed to be
8	added to a system versus water?
9	MR. WARNER: Objection to the form of the
10	question. Incomplete hypothetical.
11	BY THE WITNESS:
12	A. I don't understand the question.
13	Q. So if azelastine is slightly soluble in
14	glycerin, how strike that.
15	In Paragraph 42 of your report, you've
16	reproduced part of Remington's where it says
17	slightly soluble, and then in the right-hand column
18	it says: Parts of solvent needed for partly
19	solubility. Do you see that?
20	A. Yes.
21	Q. And it says 100 to 1,000 parts of solvent
22	needed for one part of solute.

	Page 270			
1	A. Correct.			
2	Q. And do you agree that that is the amount			
3	of glycerin that would be needed to dissolve			
4	azelastine hydrochloride?			
5	MR. WARNER: Objection to the form of the			
б	question. It's an incomplete hypothetical.			
7	BY THE WITNESS:			
8	A. I interpret the table let me see the			
9	right value here. That for azelastine			
10	hydrochloride, in azelastine hydrochloride glycerin			
11	system, that I would need somewhere between 100 and			
12	1,000 parts of glycerin to dissolve 1 part of			
13	azelastine hydrochloride.			
14	Q. And glycerin is also known as a			
15	tonicity-adjusting agent; is that right?			
16	A. It's used in tonicity adjustment in some			
17	formulations, yes.			
18	Q. And how would adding 100 to 1,000 parts of			
19	glycerin to dissolve 1 part of azelastine			
20	hydrochloride affect the tonicity of a formulation			
21	of azelastine hydrochloride and fluticasone			
22	propionate?			

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1	A. Can you reask that question?	
2	Q. Sure. How would adding glycerin at the	
3	quantity of 100 to 1,000 parts per 1 part of	
4	azelastine hydrochloride affected affect the	
5	osmolality of the nasal spray?	
6	MR. WARNER: Objection. Vague as to the nasal	
7	spray.	
8	BY THE WITNESS:	
9	A. Because, I mean, you're describing a system	
10	where you have one part of azelastine hydrochloride	
11	and 100 to 1,000 parts of glycerin. And do you have	
12	anything else?	
13	Q. Assuming you have everything the same	
14	you have a system and you replaced the water with	
15	the glycerin, how would that have affected the	
16	osmolality?	
17	A. I'd need the molecular weight of water and	
18	the molecular weight of glycerin to tell you that.	
19	Q. So it's not you couldn't say whether	
20	adding 100 to 1,000 parts to 1 part of azelastine	
21	hydrochloride would affect the osmolality of a	
22	formulation?	

	Page 272			
1	MR. WARNER: Objection. Mischaracterizes her			
2	testimony.			
3	BY THE WITNESS:			
4	A. Yeah, that's not what I said at all.			
5	Q. I asked you how would it affect the			
б	osmolality. How would it affect the osmolality?			
7	A. You asked me if I substituted glycerin for			
8	water in the, I'm assuming the Flonase formulation,			
9	how that would affect the osmolality. And I told			
10	you I could tell you that if I knew the molecular			
11	weights of the two, and I believe I'd need to know			
12	the either L iso or E value for glycerine to make			
13	that determination.			
14	Q. Is water a tonicity-adjusting agent?			
15	A. Water is typically the vehicle that that			
16	all of the other materials are within. And so the			
17	frame of reference for tonicity is the number of			
18	additional molecules in the aqueous vehicle. So			
19	water is the default vehicle. We don't really			
20	usually pay attention to the number of water			
21	molecules. It's all of the other molecules that			
22	contribute then to the tonicity.			

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1	Q. Would adding glycerin make the formulation		
2	more hypertonic?		
3	A. Well, if you have a system and you add		
4	something else to it which provides molecules in		
5	solution in the system, then there will be more		
6	molecules. And if you want to compare that to		
7	isotonic, then, well, there's just going to be an		
8	increase in the tonicity value.		
9	Q. So if you substituted glycerin for water		
10	as a co-solvent, then you would have a higher		
11	tonicity value?		
12	A. Because you've reduced the amount of water		
13	in the system.		
14	Q. In Dr. Smyth's report, he strike that.		
15	Do you recall in Dr. Smyth's report that		
16	he opines that Dymista is an embodiment of the		
17	asserted patents?		
18	A. I'm sorry. Can you say that again?		
19	Q. Yeah. Do you recall that he said		
20	Dr. Smyth opines that Dymista meets the claims of		
21	the asserted patents?		
22	A. I don't I don't know that I really		

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1	recall much of the phraseology in Dr. Smyth's		
2	report. So I'd like to see whatever phrase it is		
3	that you're referring to.		
4	Q. Do you do you recall Dr. Smyth opining		
5	that Duonase meets the limitations of the asserted		
6	patents?		
7	A. Again, sitting here right now, I don't		
8	recall the phrasing in Dr. Smyth's reports.		
9	Q. Do you recall Dr. Smyth stating that there		
10	are a number of azelastine fluticasone products that		
11	are available in India?		
12	A. I recall seeing that information. I don't		
13	recall whether I saw it in Dr. Smyth's report or in		
14	a different version of information I reviewed.		
15	Q. You're not challenging that the product		
16	fluticasone azelastine products available in India		
17	meet the asserted claims, are you?		
18	MR. WARNER: You can look at the reports if you		
19	need to. The reports speak for themselves.		
20	BY THE WITNESS:		
21	A. I don't believe I expressed an opinion		
22	whether any, some, or all of the Indian copycat		

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¹ products meet the specified claims. And I would ² have to go through all of the product details to ³ make that determination, and that's not an exercise ⁴ that I conducted.

Q. You don't intend to offer an opinion on
whether the Indian products meet the asserted
claims, do you?

8 My opinion states that there were a number Α. 9 of different formulations that contained azelastine 10 hydrochloride and fluticasone propionate with a 11 number of excipients, many of which are described in the '194 patent -- or not the '194 patent, I'm 12 13 sorry -- the patent at issue, patents at issue. 14 Exactly -- whether exactly all of them meet 15 all of the claims again is something I would have to 16 actually go back and look at the details and reflect 17 on. And I understand that Dr. Smyth feels that they don't all meet the claims of the patent-in-suit. 18 19 That's all I can say at the moment. I wasn't asked 20 to provide an opinion on whether each of those 21 formulations met or did not meet the given claims. 22 In Paragraphs 44 through 48 of your Q.

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1	report, you look through some Cipla/Meda documents		
2	to support your opinion that the invention was		
3	obvious. Is that correct?		
4	MR. WARNER: Could you restate that?		
5	MS. EVERETT: Sure.		
6	BY MS. EVERETT:		
7	Q. In Paragraphs 44 through 48, you cite some		
8	documents to support your general opinion that these		
9	patents are obvious?		
10	A. Well, I think the substance of 44 through		
11	48 is that in those development reports, it was		
12	clear that Meda didn't have any significant problems		
13	in formulating Dymista.		
14	Q. Are you using these to rely support		
15	your opinion?		
16	MR. WARNER: Which opinion?		
17	BY MS. EVERETT:		
18	Q. Your basic opinion is that these patents		
19	are obvious; is that correct?		
20	A. I drew I developed my opinion on the		
21	patents being obvious and expressed that opinion in		
22	my initial expert report. I was provided these		

Page 277 other documents at a time later than that and 1 2 reviewed them and found that in many cases, exactly what appeared in their development documents 3 4 supported my opinion that a POSA formulator could 5 take things that were known in the art, used in the 6 art, and easily come up with a formulation that 7 combined fluticasone propionate and azelastine 8 hydrochloride. 9 Did you look at the dates of these Ο. 10 documents? 11 I don't recall looking at the dates of the Α. documents specifically, no. 12 Did you ask what dates they were 13 0. 14 generated? 15 Not directly. And I can -- well, no, I Α. 16 didn't. 17 0. So do you know whether they were generated after the critical date? 18 19 I don't know that. Α. 20 Do you know whether they were generated 0. 21 after launch of a commercial product? 22 I have no way of knowing that if I didn't Α.

Page 278 look at the dates. 1 2 Are you aware that when you consider your 0. opinion, you can't -- on whether it -- strike that. 3 4 You're aware that you can't use hindsight to determine whether the invention is obvious; is 5 that correct? 6 7 I've had the term hindsight explained to me Α. 8 by counsel. And yes, I'm aware that you can't use 9 what's currently defined as hindsight in an 10 evaluation of obviousness. 11 And what do you understand hindsight to 0. 12 be? 13 Α. That you take the information provided 14 about the pertinent question and then simply reflect 15 on how each of those points is obvious in an absence 16 of how an individual at the time that that 17 intellectual property or the patent or whatever or 18 when the invention was initially identified. 19 So to make it less maybe circuitous is that 20 in order to evaluate obviousness, you have to use 21 the materials that were available to a POSA at the 22 time of the invention without direct regard for the

Page 279 information that's provided in the description of 1 2 the invention. 3 And I believe you stated that the 0. 4 documents you reference in Paragraphs 44 through 48 5 were provided to you by counsel? б Yes, that's the only -- yes. Α. 7 Did you ask for these documents to be Q. 8 provided to you? 9 Not directly, no. Α. 10 Did you ask counsel to search the database 0. 11 looking for development documents? 12 Α. I may have. I don't recall in 13 conversations. Those are always valuable for me to 14 refer to just to know that their development 15 processes met what -- my expectation of what a POSA 16 would perform or approach. 17 I'm going to have you look at your reply Ο. 18 report now. 19 MR. WARNER: You know, actually this has been 20 another hour. Can we take a break here? 21 MS. EVERETT: Sure. 22 THE VIDEOGRAPHER: This marks the end of DVD

Page 280 No. 6. We are off the record at 5:28 p.m. 1 2 (A short break was taken.) THE VIDEOGRAPHER: Here begins DVD No. 7. 3 We 4 are on the record at 5:32 p.m. 5 BY MS. EVERETT: 6 I actually do have one more question. Ο. 7 Dr. Donovan, we had a number of breaks today. 8 During those breaks, have you discussed the 9 substance of your testimony with your counsel? 10 No, I have not. Α. 11 MS. EVERETT: No further questions. 12 MR. WARNER: Okay. I have just a few, 13 Dr. Donovan. 14 EXAMINATION 15 BY MR. WARNER: 16 We were talking some today about the work Ο. that Dr. Ram or Dr. Govindarajan did. Do you recall 17 18 that? 19 A. Yes, I do. 20 Did the work and the data that he reported 0. 21 provide a person of ordinary skill in the art the 22 basis to form a reasonable expectation that the drug

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1	in the spray would be uniformly distributed as it			
2	was in the bottle?			
3	A. As a preliminary set of experiments, yes,			
4	there would be a reasonable expectation to assume			
5	that there would be dose uniformity and it would be			
6	uniform in suspension.			
7	Q. Okay. There were also some questions			
8	today about using solubility enhancement techniques			
9	to improve solubility of certain drugs. Do you			
10	recall that?			
11	A. Yes.			
12	Q. In the And then there was also, related			
13	to that, some discussion about a distinction between			
14	optimizing a product and, before that, developing a			
15	product. Do you recall that?			
16	A. Yes.			
17	Q. In the development stage, would using			
18	known solubility enhancement techniques to improve			
19	solubility, would that be a routine experimentation			
20	for a POSA during the development stage?			
21	A. Essentially yes. You know, they use a very			
22	common sequence of materials to investigate those			

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1	solubility changes. So I think you could probably
2	classify even at that early development stage that's
3	routine experimentation, similar to conducting a pH
4	solubility profile is routine experimentation. It
5	just gets done early in the development stage.
6	MR. WARNER: Very good. Nothing further.
7	MS. EVERETT: No further questions.
8	THE VIDEOGRAPHER: This marks the end of DVD
9	No. 7 and the deposition. We are off the record at
10	5:35 p.m.
11	(Witness excused.)
12	
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Page 283 1 STATE OF ILLINOIS) SS:) 2 COUNTY OF COOK 3 I, RACHEL F. GARD, RPR, CLR, CRR, CSR No. 084-3324, a Certified Shorthand Reporter in and for the State of Illinois, at large, do hereby certify 4 that DR. MAUREEN DONOVAN, the deponent herein, was 5 previously duly sworn to tell the truth, the whole truth, and nothing but the truth in the 6 aforementioned matter; That the foregoing deposition was taken on 7 behalf of the Plaintiffs at Winston & Strawn, 35 West Wacker Drive, Chicago, Illinois, on the 7th day of October, 2016, at 9:00 a.m., pursuant to the 8 applicable rules; 9 That said deposition was taken down in stenograph notes and afterwards reduced to 10 typewriting under my direction, and that the typewritten transcript is a true record of the 11 testimony given by the said deponent; and that signature was requested by the deponent and all 12 parties present; That the parties were represented by their 13 counsel as aforementioned. I do further certify that I am a disinterested 14 person in this cause of action, that I am not a relative or attorney of either party or otherwise 15 interested in the event of this action, and that I am not in the employ of the attorneys for any party. 16 IN WITNESS WHEREOF, I have hereunto set my 17 hand and affixed my seal on this day of , 2016. 18 19 20 21 Rachel J. Dard 22

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3			
4	Case: Meda Pharmaceuticals Inc., et al. v. Apotex Inc.		
	Date of deposition: October 7, 2016		
5	Deponent: Maureen D. Donovan		
6			
7	Please be advised that the transcript in the above		
8	referenced matter is now complete and ready for signature.		
9	The deponent may come to this office to sign the transcript,		
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11	or the deponent and/or counsel may waive the option of		
12	signing. Please advise us of the option selected.		
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8	Case: Meda Pharmaceuticals Inc., et al.	. v. Apotex Inc.
9	Witness Name: Maureen D. Donovan	
10	Deposition Date: October 7, 2016	
11	Page No. Line No. Change	
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22	Signature	Date