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1	UNITED STATES PATENT AND TRADEMARK OFFICE
2	BEFORE THE PATENT TRIAL AND APPEAL BOARD
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4	TWITTER, INC.,
5	PETITIONER,)
6) CASE IPR2017-00829) (PATENT 9,083,997 B2)
7	V.) CASE IPR2017-00830 (PARENTE 0.003.007.P3)
8	STI-ACQ, LLC, (PATENT 9,083,997 B2)
9	PATENT OWNER.)
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14	DEPOSITION OF EXPERT KEVIN C. ALMEROTH Ph.D.
15	FRIDAY, JUNE 15, 2018
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22	REPORTED BY MARK McCLURE, C.S.R. 12203
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25	Twitter, Inc. v. VidStream LLC IPR2017-00830
	VidStream LLC Ex. 2009

1	DEPOSITION OF KEVIN C. ALMEROTH Ph.D., TAKEN AT 8:58
2	A.M., FRIDAY, JUNE 15, 2018, AT 411 EAST CANON PERDIDO
3	STREET, SUITE 21, SANTA BARBARA, CALIFORNIA, BEFORE MARK
4	MCCLURE, C.S.R. #12203, CERTIFIED SHORTHAND REPORTER IN
5	AND FOR THE STATE OF CALIFORNIA.
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1	SANTA BARBARA, CALIFORNIA
2	FRIDAY, JUNE 15, 2018, 8:58 A.M.
3	
4	MR. MCDOLE: Should we do introductions so we
5	know who's here?
6	MR. ROBINSON: Sure.
7	MR. McDOLE: Jamie McDole, from Haynes and
8	Boone, representing Twitter and the witness.
9	MR. BAJAJ: Raghav Bajaj, Haynes and Boone,
10	representing Twitter.
11	MR. McCOMBS: David McCombs, with Haynes and
12	Boone, also with Twitter.
13	MR. ROBINSON: And Eagle Robinson with Norton
14	Rose Fulbright for patent owner Vidstream.
15	
16	KEVIN C. ALMEROTH Ph.D.,
17	having been sworn, was examined
18	and testified as follows:
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20	EXAMINATION
21	BY MR. ROBINSON:
22	Q. Good morning, Dr. Almeroth. Thank you for
23	being here today.
24	Have you been deposed before?
25	A. I have.

Approximately how many times? 1 Ο. 2. Fifty or so. Α. All right. I won't go into a lot of detail 3 Ο. 4 with instructions or anything. The one thing I would 5 ask is if I ask a question that you don't understand, please just ask me to repeat it or clarify. 6 7 I will do that. Α. 8 Ο. Thank you. What is a video file, digitally speaking? 10 Α. I'm not sure what you mean. In what context? So in the context of a video file that you 11 Ο. 12 might transmit on the internet and watch on the 13 computer. 14 Α. It's a fairly broad term. I'm not sure I can 15 give you a definition off the top of my head based on 16 that context. I can give you some examples, 17 potentially, of what video files might be. 18 Let's start with this. Are there particular Ο. 19 characteristics that digital video files share? 2.0 Α. It would depend. It would depend on the 21 There might be. I mean I haven't tried to context. 22 identify any particular -- I'd have to think about it, 23 if there would be.

file -- does that have multiple components?

Let's start with MPEG-4. Does an MPEG-4 video

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Ο.

- Kevin C. Almeroth, Ph.D. 1 Α. I'm not sure what you mean by "components." 2. Is there a standard for the MPEG-4 format? Ο. There is. 3 Α. 4 Ο. Are there different parts of an MPEG-4 file 5 that do different things, for example? Your question is a little bit technically 6 Α. 7 The MPEG file -- I don't usually imprecise. 8 characterize it as doing anything. Usually you might have a decoder that uses the file and then creates a 9 10 decoded version of video that can be displayed. 11 My recollection, sitting here now, of the 12 MPEG-4 standard is certainly the representation that it 13 uses within the file, I think, has different parts. 14 have to go back and check the standard to see if it 15 calls them components or what it says about what the 16 structure is. 17 You just called them parts, so let's call them Ο. 18 parts. Are there different parts that serve different
 - purposes?

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- Α. I call them parts because you called them parts, and you asked about parts in your question. Parts, or components -- I'd have to go back and check the details of the standard and what the structure is. I don't have them memorized.
 - So as we sit here today, is it accurate to say Q.

that you don't have a clear understanding of the MPEG 1 2. file format and what it involves? 3 No, I don't think that's accurate. Α. But 4 whether it has components or parts or what structure 5 you're asking about, I just don't have that memorized. The way that the encoding works, generally speaking, 6 7 across the MPEG family of standards I understand well, but the details of the structure of the format I just 8 don't have memorized. 9 10 So if I have an MPEG-4 file on my computer and 11 I want to play it, can you walk me through how it goes 12 from a file sitting in storage to actually being 13 displayed on the screen? There's lots of different 14 Α. It would depend. 15 scenarios, potentially. Maybe one scenario would be 16 that you have got some sort of player. Windows Media 17 Player should play an MPEG-4 file. You can either 18 double-click on the MPEG-4 file icon, you can load it 19 through the player through like an open menu. 20 I mean there's half a dozen, a dozen other 21 ways of getting a file to appear on the screen. 22 So at the code level what's happening when you 23 double-click that file to play it through Windows Media

It would depend on the operating system.

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Player?

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- one example, there is a file type for the file. The operating system associates that with a particular piece of software. Once that software is opened, it's passed information about the file as a result of clicking on it, and then, depending on the internals of the software, the file is opened and, I mean, those get into the details of how the software would work.
- Q. What types of information are passed to the software when you open the file?
- A. Again, it depends. Operating system, how it's configured, how it's set up, what software is associated with it. It might be as simple as the file name. There might be other attributes that are passed. I mean it depends.
- Q. What are some examples of the other attributes that are passed?
- A. It would depend. I'm not sure that you would need much else other than the file name in at least some instances, but what else could be configured with the file, again it depends on the software. It could be just about anything. There's not really any specific scenarios you're asking about, so any attributes of the file could potentially be passed.
- Q. Let's start with a video file that's stored locally on a computer running Windows, the latest

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- version of Windows, and the file type being associated with Windows Media Player. What gets passed from the video file to Windows Media Player when you first open that file?
- A. When you first open it, I don't have the details memorized. It certainly wasn't something I had to look at for working on the declaration in this case. I'd probably go and research it to see exactly what Windows is passing. I just don't have it memorized.
- Q. Are there any instances or examples of software and media -- operating system and media player software for which you could explain what is passed and how it works?
- A. I think I've already generally described it.

 As you get into more specific details about what exactly is passed, those are easy enough to look up, I just don't have them memorized.

It's a similar process for other operating systems, other file types, other source code -- or, sorry, other video players. They all follow a pretty similar process where information is passed via the operating system to the application. Specifically what that information is would just depend on what the operating system is, how it's configured, if there's expectations by the software. I mean, it depends.

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- Q. I think I understand what you just said to be that all of them follow a fairly similar process. Are there any other examples of attributes that are passed that you can give me, as we sit here today?

 A. Again, it depends. You're asking about a specific detail. You haven't given me a timeframe. It
- A. Again, it depends. You're asking about a specific detail. You haven't given me a timeframe. It really just depends. There's a lot of variability in what can be passed. As I testified to earlier, it really could be any kinds of attributes -- file sizes, meta information associated with the MPEG file. It ultimately boils down to programming, so whatever the code is that would say what would be passed would ultimately be how the software and operating system work together.
- Q. Would the length of the video be something that is passed?
- A. It depends. It could be. It might not be.

 Again, it's really just computer programming. You could write an operating system. It might be something that's passed. I don't know that it needs to be passed, necessarily is passed.
- Q. Are you familiar with the operating systems that had the greatest market share in 2011?
- A. I don't recall what those were. I don't recall doing any research to determine what those were,

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- but certainly if you look at variants of Windows, of Apple's operating system and the Unix-based variants, those would generally have dominated the marketplace at the time.
- Q. So the Apple OS, Windows and Unix based OSs were the dominant operating systems as of 2011?
- A. I suspect so. I would just have to confirm that that were the case.
- Q. As we sit here today, you're not certain that those were the most common operating systems at that time?
- A. As I sit here right now, it wasn't something I looked at for purposes of this declaration so I'm essentially doing it from memory. I would just double-check to confirm that what I have said is accurate.
- Q. Is it fair to say, then, that none of your testimony and your declaration depends on what the most common operating systems were at that time or how they precisely functioned?
- A. I don't know that that's accurate. Certainly the opinions I've offered in my declaration are based on my experience and knowledge. I don't know that I could divide it down into what specific knowledge and expertise I'm relying in over the last 30 years of

experience.

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Certainly what I have testified here to today is the awareness that I had at the time I was working on the declaration. To the extent I needed any more specific details, I could have gone off and looked at those. I don't recall needing any more specificity than what's in the declaration or what I know about.

Q. I believe you testified a moment ago that you did not look into the most -- what the most common OSs were at that time when you were preparing the declaration, is that accurate?

MR. McDOLE: Objection. Mischaracterization.

THE WITNESS: As I sit here today, I don't recall trying to confirm what those operating systems were. It was really based on my expertise. I've testified to what I recall them being. To the extent you wanted any further confirmation or certainty, then it's certainly something you could go look up.

BY MR. ROBINSON:

- Q. Your declaration has a list of materials that you reviewed and considered in preparing your analysis. Had you looked into specifics about operating systems or how they worked? Would that be listed?
- A. To the extent I needed any additional information beyond what I had as expertise that I was

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- using, to the extent that there was some additional document that I sought out and relied on and considered, it would have been listed in the declaration.
- Q. So if it's not listed in the declaration, you didn't review it and consider it preparing that declaration testimony?
- A. If it's not listed in the declaration, I forget the specific language in the declaration as to what it says about those materials. The best I can say is it's what I said in the declaration. I don't have it in front of me, I don't have it memorized, but I think what's in the declaration is an accurate reflection of what my methodology was.
- Q. Are you familiar with the most common media player software applications that were in use in the 2011 timeframe?
- A. I think it would be similar to operating systems. I have an awareness and understanding of many different software players over the years. If you -- well, I'll just stop there. You can ask another question.
- Q. Do you have enough of an awareness and understanding of how any particular combination of media player software and operating system worked as of 2011 to tell me how it would have opened a file, a digital

video file, with any specificity?

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- A. I actually think I've given you a fair amount of specificity. It's generally a pretty straightforward process. I don't recall there being a need for any particular details as it relates to the opinions that I have offered in the declaration.
- Q. Can you tell me the difference between a streaming video format and a streamable video format?
- A. I think it depends in large part in context and how those two terms are used. I mean there's some simple observations that I can make. If it says it's streamable, that would suggest that it can be streamed or used in a streaming application. Calling something a streaming format is potentially something that could be used for streaming or is used or has been used, but I think attempting to compare and/or contrast those terms would depend on the context in which they are used.
- Q. Is that true of a person of ordinary skill in the art as of May of 2012?
- A. Generally I think that's correct. It would really depend on what level of distinction you're trying to draw between those two terms, how you want to characterize them, identify similarities or contrast them.

Whether or not it creates a difference that's

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relevant in the context of my analysis for a particular
reference or not, I'd have to see what the reference is
or where the terms are used to really opine on what a
person of skill in the art would have thought about
those terms at the relevant time period.

- Q. In the 2011-2012 timeframe, did you personally work on any streaming video applications?
- A. Narrowing it to that timeframe, I'm sure I was. I've been using streaming video players since, probably, about 1995. In that timeframe, I've used dozens and dozens of different players. In the 2011-2012 timeframe it would have been some subset of those players.
- Q. Can you give me any specific examples of players you were using in that timeframe?
- A. Windows Media Player, VLC, quite possibly QuickTime. Those are the ones that come to mind off the top of my head. I think if I had a few more minutes to think about it I would probably come up with a few more.
- Q. With which operating systems were you using those videos players in that timeframe?
- A. I suspect the answer is something of a two-dimensional matrix. So, for example, I don't think Windows Media Player has a version that runs on Unix, but certainly I was using Windows-based operating

systems, Unix-based operating systems. 1 There certainly 2. could have been mobile operating system platforms, 3 players in the mobile context. 4 It's certainly possible I was also using Apple 5 operating systems, though as I sit here right now I don't recall specifically an instance where I was. 6 7 Would it be accurate to say, then, that you 8 used Windows Media Player with a Windows OS in that timeframe? 9 10 I suspect that was very likely the case, as 11 one example. 12 O. Did you ever use Windows Media Player with 13 Windows OS to watch a streaming video from the internet? 14 Α. As I sit here right now I don't recall one way 15 or another if I did nor not. It certainly might have 16 been possible. Many situations, streaming tools that I 17 have used have been tools other than Windows Media 18 Player, though I did do some Windows-based streaming at 19 some point, maybe before 2011. 20 So in that period before 2011 that you used Ο. 21 Windows Media Player to watch a streaming video on the 22 internet, can you walk me through how the file was 23 opened in that particular instance at that particular

So the instance that I remember specifically

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time?

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was there was a conference hosted at UCSB on security and I set up a Windows-based streaming media platform just because it was easy to do, and then I also had a Windows Media Player. I don't recall specifically how I started it. It could have been based on a URL, it could have been a link in an email. I just don't remember specifically what the steps were, as I sit here right now.

- Q. What was the video that you were opening and viewing?
- A. So it was the streaming video of the conference that was being hosted at UCSB.
 - Q. What kind of file format was that video in?
 - A. Oh, I don't remember. It's been a few years.
- Q. In the 2011-2012 timeframe, are there any instances that you can recall specifically of using a different media player to stream video from the internet?
- A. It's hard to look at it in that timeframe.

 Certainly I've used VLC for streaming media. There's also -- any time I've looked at Facebook and clicked on videos, many instances those have been streaming video.

 YouTube, I suspect I was. In that two-year timeframe, 2011 to 2012, I suspect I was using most of those kinds of applications as well.

1 So starting with the YouTube example, when you Ο. 2. watch a video on YouTube, what information is passed to 3 the media player when you start that? 4 It might depend. So, for example, there's 5 different ways to launch YouTube. You can have the 6 YouTube app which would have the embedded player, you 7 can have a URL that's entered into the browser that will 8 pull up the page, you can select links. In any of those 9 instances, what is specifically passed between the 10 browser to player I don't recall specifically, I just 11 don't have it memorized. It wasn't something I had to 12 go off and investigate for this declaration. 13 Do you recall whether the media player told Ο. 14 you how long the video was at the beginning so it could 15 show progress of how far it had been played? 16 In some instances, as I recall, it could. 17 it were video being streamed from a file, for example, 18 that had a length, it shows what's called the scrubber 19 bar on the bottom, and that will indicate a duration for 2.0 the video. In other cases, if it's a live video, it 21 doesn't have that information. 22 2011-2012 timeframe, do you remember any Ο. 23 instances of watching a live video on YouTube? I'd have to give it some thought. 24 Α. I don't

It's certainly possible.

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remember.

1 But there are no instances you can recall as Ο. 2. we sit here now? As I sit here now, from that two-year time 3 Α. 4 period, I can't recall a specific instance. I mean it's 5 like asking what I had for breakfast two years ago. mean it's -- I do streaming video as research, I do 6 7 streaming video on sites like Facebook, and so I can't 8 remember a specific instance. Do you remember any streaming video research Ο. 10 that you were doing in the 2011-2012 timeframe? 11 I would have to go back and look at some of Α. 12 the research papers I was working on in that timeframe. 13 It's hard to bookend it. Certainly, I suspect so. 14 Q. Is it accurate to say that a digital video file has content, a codec and a container? 15 16 It would depend on how those terms were being 17 used and in what context that characterization was being 18 made. 19 In the context of digital video files, can you Ο. 2.0 tell me what a codec is? 21 The term "codec" stands for coder-decoder. Α. So 22 a codec could be different things. It could be the 23 thing that did the encoding on one side in preparation 24 of a file or a stream. It could be a decoder on the

receiving side for decoding and display. That's one

example.

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- Q. Was it common to include a codec in a digital video file as of 2011-2012?
- A. If you're asking about the full encoder-decoder as part of the file, I doubt that it was common. Usually that would be something that would be a separate tool or executable or part of a separate tool or executable. There might be portions in a file that can be used for encoding and decoding.
- Q. Was it common for a digital video file in 2011-2012 to include something that POSITAs referred to as a codec at that time?
- A. It depends on context. It depends on what you're referring to as a codec that would be included in the video file what it would be used for. I mean if there's some document where that's described, you'd have to show it to me so I could understand what that context is.

Second, whether it's common or not is really hard to answer for two respects. One, I'm not sure really what you're asking about when you say "codec," and then, two, what sort of the standard is for common in that timeframe.

Q. Are you familiar with POSITAs as of 2011-2012 in the art having to do with the '997 patent?

I believe so. 1 Α. 2. Are you familiar with the -- or were you Ο. familiar with the art as of the 2011-2012 timeframe? 3 4 Α. Absolutely. 5 O. Would you consider yourself to be at least a POSITA in that art as of that time? 6 7 Α. Yes. 8 Ο. Do you remember ever using the term "codec" in that timeframe? 9 10 I'm sure I did. Α. 11 Do you remember ever using the term "codec" in Ο. 12 that timeframe to refer to a component of a digital 13 video file? 14 Α. I don't recall doing so. I think it can be 15 ambiguous and so I think to the extent I would have 16 wanted to talk about something that was part of the 17 file, I probably would have been more specific or more 18 clear. 19 Ο. Do you --2.0 Sorry. In part because the term "codec" can Α. 21 have different meanings and so I think it's important to 22 be clear. 23 Do you recall POSITAs at that time using the 24 term "codec" to refer to something that was included in

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a digital video file?

- I don't recall any specific instances. 1 Α. 2. might have been references that talked about including 3 portions of information that needed to be used by codec. 4 There might have been references that called it a codec. 5 There might have been some systems that actually sent the full codec as part of the file. I mean what was 6 7 possible or what people generally did in that timeframe, 8 I mean, it's a pretty wide range, so again to the extent there's some document where it's mentioned, it would be 9 10 useful to see if it's talking about the full codec, the 11 software itself, or something else. 12 Ο. Did MPEG-4 files include a codec as of that 13 timeframe? 14 Α. Again it depends on what you mean by codec.
 - It's hard to answer without some more specific context.
 - Was there an MPEG-4 codec at that time? Ο.

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- My recollection is that there was. There's --Α. I mean a codec can be software or hardware. There were lots of implementations for hardware and software -- or hardware and software implementations of a MPEG-4 codec, some of which could be included in software.
- Were there also MPEG-4 file containers as of Ο. that timeframe?
- My recollection is that that was a term that Α. was used consistent with the standards.

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- recollection is correct and it is a term that was used in the standards, then certainly those would have existed for files that were encoded and adhered to the standard.
- Q. So to the best of your recollection, there were MPEG-4 file containers at that time?
- A. So what I'm saying is I don't remember the use of that term specifically. I have a vague recollection that there were terms in the MPEG-4 standard that described the structure of an encoded object, and if that recollection is correct and there were containers described in the MPEG-4 specification, that certainly streams that were encoded according to that specification would include those structures.
- Q. Would a dot MPG video file be an MPEG container?
- A. It depends. It could be. In certain situations, it certainly could be.
- Q. As of 2012, was it possible for a dot MPG digital video file to not be in an MPEG container?
- A. Was it possible? Absolutely. I could take a text file with just my name in it and give it the extension dot MPG and it wouldn't really have a container.
 - Q. But that wouldn't be a digital video file,

would it?

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- A. That wasn't part of your question.
- Q. Let me re-ask.

As of 2012, was it possible for a digital video file with dot MPG to not have an MPEG container?

- A. Yeah, I mean the problem is when you ask about whether or not it was possible, there is a lot of possibilities. Whether there was an encoding standard at the time that didn't have containers, whether you could mislabel it and call it MPG -- I mean even assuming it was properly labeled, I don't have the standard memorized to the degree to know one way or the other whether it was always the case that every MPG file had a container or not. It wasn't something I tried to determine whether it was possible or not as part of a declaration. To the extent it's relevant I would have gone back and looked or might look at some point in the future.
- Q. You were certainly familiar with digital video files as of 2011-2012?
 - A. I was.
- Q. If you found a properly labeled digital video file with the dot MPG extension as of 2011-2012, would you expect it to be in an MPEG container?
 - A. I'd have to go back and check the standard or

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- description of what the details of those files would be, whether it would be proper to say that any properly formatted file didn't have a container or was in a container. I mean it's just a detail I don't have memorized. I'd have to go back and check.
- Q. My question wasn't to any file or any container, it was just whether based on your expertise and experience at the time you would have expected a digital video file with a dot MPG extension to be in an MPEG container.
- A. So it's essentially what I just answered, right. You're asking a detail about typical files and whether or not they would have characteristics of the standard. I don't have the standard memorized, what the structure of the files would be or would necessarily be or could possibly be, given extensions and options within the standard. I mean I'd have to go back and check.
- Q. Can you give me any examples of digital video files in 2011-2012 that did not have containers?
- A. I don't think I could give you the specific name of a file. I don't have files and their structures from six or seven years ago memorized.
- Q. Did you have them memorized at the time you wrote your declaration?

1	A. No. I mean it's not something I needed to
2	know one way or another, whether or not there existed
3	files in that timeframe that could be used as an
4	anecdote one way or another.
5	I mean I generally understand how MPEG
6	encoding works. If you're asking about specific
7	examples of files and how they were encoded and what
8	their resulting structure was in that timeframe, I mean
9	I could certainly go look.
LO	Q. In 2011-2012 timeframe, did all digital video
L1	files with dot MPG extension utilize an MPEG codec?
L2	A. Not necessarily. I mean an MPG extension is
L3	just an extension. You can give any file any extension
L4	you want.
L5	Q. What does that extension typically signify?
L6	A. A file type.
L7	Q. What is that file type?
L8	A. I don't understand the question.
L9	Q. What is the dot MPG file type?
20	A. An MPEG file, generally.
21	Q. I believe you just testified that that didn't
22	necessarily imply an MPEG codec, is that correct?
23	A. Yeah. I mean you could I gave you the
2.4	example before of a text file that had a different

You amended the question to say digital

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extension.

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video file. You could have -- depends on what your test is for a digital video file, but assuming you have a digital video file, whether it's MPEG or not, whether it's mislabeled or not, it could essentially be anything.

If you're asking me that it was the case that every single file in that timeframe had an extension that was accurate -- I mean, clearly it's too broad of a characterization to make. It's computers and programming; people can label things however they want. It just depends.

- Q. Let's assume a properly labeled digital video file as of 2011-2012. As of that timeframe, did you have the expertise and experience to determine whether a video was properly labeled, whether a digital video file was properly labeled?
- A. I'm not sure what your test is for properly labeled.
 - Q. I'm just using the words that you just used.
- A. Okay. Well, I can give you an example of where a file is not properly labeled; I can't give you the test for, in all instances, determining whether a digital video file was properly labeled or not. So, for example, if you give a text file a dot MPG extension, I would say that's not properly labeled. But applying

that same test to different video files, whether they're

MPEG or not, whether you can use the same decoder or not

is a different exercise.

Q. Let's just assume for these questions that

- we're not talking about a text file that's been labeled as a video file. I'm talking about genuine digital video files. If you have a video file labeled MPEG, dot MPG, in the 2011-2012 timeframe and it plays when you click it, would you assume that it was properly labeled?
- A. I don't know how to answer that question. The best I can say is if the conditions of your hypothetical are true, then the conditions of your hypothetical are true. I mean I'm not sure what test you want me to apply for whether it's properly labeled. If your test for properly labeled is that when you double-click on it then it plays, then it's tautological so I'm not sure what else your question is asking that isn't really circular about the assumptions of the hypothetical.
- Q. Dr. Almeroth, when you first used the phrase "properly labeled," what did you mean by it?
- A. What I meant is you could take a text file or you could take a non-MPG file and you could label it with an extension that was used exclusively for MPEG files and that that wouldn't be properly labeled.

As I testified to earlier, if you want to be

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- specific about other examples and what "properly labeled" means, you seem to reject in your last question that "properly labeled" meant you could double-click on it and play it, then that becomes the test for the hypothetical.
- Q. So in explaining that you said an MPEG file could have an MPEG extension. How do you define an MPEG file?
- A. Something that would adhere to the MPEG standard.
- Q. So let's assume, then, an MPEG file with a dot MPEG extension. Does it necessarily utilize an MPEG codec?
- A. I don't know that I can say that that would exclusively be true.
- Q. Is that because other codecs were used in the 2011-2012 timeframe or is that because you don't know?
- A. No, it just depends on your hypothetical.

 Even assuming into your hypothetical that the extension is correct, even assuming into your hypothetical that a decoder can be used to properly decode, the thing that I can't determine that's incomplete about your hypothetical is, is it possible that you can have a non-MPEG decoder decode an MPEG file. It depends on what criteria you want to use to establish whether

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something is an MPEG decoder. Y	fou can make it
tautological and say anything th	nat can decode an MPEG
file is necessarily an MPEG deco	oder and then it becomes
circular.	

I'm not certain whether in your hypothetical it's possible to have a non-MPEG decoder decode an MPEG file. I don't know. Maybe you could write a decoder that you wouldn't call an MPEG decoder that could still decode an MPEG file. Your hypothetical is kind of missing all of those assumptions.

Q. Were MPEG files universally -- by MPEG file, I mean as you said a moment ago, a file format that was consistent with the MPEG standard.

Did MPEG files in 2011-2012, were they universally configured to work with MPEG codecs?

A. So first, it's not quite what I described an MPEG file as.

Second, your question is technically imprecise. When you say an MPEG file is configured to -- I mean MPEG files, generally speaking, are just objects. If they adhere to the standard and you have a decoder that adheres to the standard, then presumably you can decode that file with an MPEG decoder.

You used the term in your question "universally true." I don't know if there might be some

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exceptions. Certainly it's not the case that every single MPEG file was without errors. There might be other extenuating circumstances. I just haven't tried to think about that hypothetical and whether or not there could be reasonable exceptions to that scenario.

Q. So let's unpack that. The first thing you said was that's not quite what you said an MPEG file was.

What exactly did you say an MPEG file is?

A. So what I said was about MPEG, and it was whether or not something adhered to the standard. One of your first questions in the deposition was what essentially was an MPEG file, and so it's a question of sort of what the requirements are for a file, what would you need to define a file.

Again, I think I testified to right out of the gate that I can think of examples of where you have files, but I'm not trying to offer a definition of what "file" is. So what I limited my characterization to was if it's labeled as MPEG and it adheres to the standard and then whether it's a file or an object or a stream, the MPEG is really just a modifier for that noun.

- Q. As you sit here today, can you determine whether something is a digital video file or not?
 - A. Certainly I can give you examples of what

digital video files might be.

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Q. Let me make sure I understand the first part of that first.

I believe you said that an MPEG file is one that adheres to the MPEG standard. Is that accurate?

- A. So what I said was that if it's labeled as MPEG and it adheres to the standard, whether you want to call it an object or a stream or a file then requires an additional discussion about what the requirements are for a file or an object or a stream.
- Q. What are the requirements to distinguish a file or an object or a stream?
- A. I don't know that those categories are distinctive. You can have objects that are files, objects that are streams, streams that become files.
- Q. Let's start with that example. How does a stream become a file?
- A. One example is you could store it, potentially, on a disk drive, for example. I can envision scenarios or even work that I did where I was receiving a video stream and stored it into a file.
- Q. What is the difference between an object and a file?
- A. An object isn't necessarily a file. In many cases, files can be objects. "Object" is sometimes a

broader term.

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- Q. Can you give me an example of an object that isn't a file?
- A. For example, you can have a web object that when displayed on your screen isn't a file. It could have originated as a file, retrieved from a file, but one distinction might be that if it's not stored, it's just in memory, you might not call it a file. It depends on the situation, it depends on the context in which those terms are used. You might even call a stream an object.
 - Q. But not necessarily a file?
 - A. I don't understand the question.
- Q. Well, you said you might call a stream an object but the stream wouldn't necessarily be a file?
- A. Yeah, I don't think a stream necessarily has to be a file. It could come from a file, it could go to a file, but certainly you can have live streams that aren't files on the server side and live streams that are consumed on the receiver without becoming a file.
- Q. So I want to go back to a codec.

 Specifically, I want to make sure that I understand how you're using that term. I think what you have explained is that a codec is something that's used to encode or decode a file but doesn't necessarily go into the file

1	itself. Is that accurate?
2	A. No.
3	Q. What is wrong about that statement?
4	A. It depends on the context in which you would
5	use that term but certainly you can have codecs that
6	take in unencoded stuff, whether it's audio or video or
7	images or text, and convert it into a format that's
8	encoded and it depends on the situation, what that
9	encoding might be. In some situations the encoding
10	might also include compression, but I mean a codec, an
11	encoder is a fairly general term.
12	Q. Let me back up a little bit and break that
13	down.
14	A codec is something that's used to encode or
15	decode content that goes into a file?
16	A. Not necessarily.
17	Q. What's wrong with that?
18	A. It doesn't have to necessarily go into a file.
19	Q. Okay.
20	A. As one example.
21	Q. All right. So if you've got a digital video
22	file, say an MPEG that adheres to the standard, is the
23	video content within that file typically encoded?
24	A. If it's an MPEG file, in most situations it's
25	gongidored to be engeded. I don't know if there might

- Kevin C. Almeroth, Ph.D. be some exceptions. That's generally the case. 1 2. Okay. And it's encoded, generally, using a Q. codec? 3 In most situations it would have become an 4 Α. 5 MPEG file using an encoder. So in the 2011-2012 timeframe, in most 6 Ο. 7 situations, an MPEG file adhering to the MPEG standard would include encoded video content? 8 9 Α. Certainly in typical scenarios, and assuming 10 you started off with some content -- presumably you 11 could have empty files, but if you started off with some 12 content, I could envision scenarios where you could use 13 an encoder and the end result would be an encoded file. 14 Ο. So at that time an MPEG file with video 15 content, the video content typically would have been 16 encoded with a codec of some sort? 17 Α. Yeah. I mean the result of using an encoder, 18 especially in the context of MPEG, and assuming the 19 process works correctly and you're adhering to the 20 standard, the result will have encoded content. 21 Okay. And so the format of that encoded Q.
 - content would have depended on the type of codec used to encode it, is that correct?

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A. Certainly if you have different encoders and not just different implementations of the same encoding

standard but different encoders implementing different encoders, the result would likely be a different format for the video.

- Q. Is H.264 another example of an encoded format?
- A. It is.

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- Q. Is that a format that could have been used in an MPEG file in the 2011-2012 timeframe?
- A. I don't recall if there was some capability within MPEG. Generally you could wrap the video file -- or, sorry, video content. There's a lot of similarities between the video portion of H.264 and the MPEG family of standards, but I suspect if you had an MPEG video file you wouldn't call it H.264.
- Q. What does that mean, "you wouldn't call it H.264"?
- A. You wouldn't look at the MPEG encoded file and say, well, that's H.264.
 - O. But it could have been H.264?
- A. Unless there's some portion of the standard that I'm forgetting where you could wrap a different encoding format, I don't recall there being a way to do it, but I mean I don't have all of the options and the nuances of MPEG or H.264 memorized. Each standard is a thousand pages long. There's lots of optional portions. I just don't have it all memorized.

Q. What do you mean by "wrap"?

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- A. In some cases you can -- from a networking perspective, we call it "encapsulation," but you can in some cases take one type of format and provide instructions around it that will allow a decoder to be able to decode it. There are all sorts of techniques in computer science, like translation, encapsulation.

 Certainly in the video context there are wrappers that provide metadata that assist in decoding.
- Q. Is a wrapper the same as a container in the context of a digital video file?
 - A. I don't think it's necessarily the same thing.
- Q. Can you give me an example of a wrapper that is not a container?
- A. I mean wrappers and containers, unless you're speaking specifically about a particular implementation or standard, are generic terms. They don't have to be the same thing. They can be different. It's just a generic term for a capability or functionality.
- Q. In the 2011-2012 timeframe can you give me an example of a wrapper that was not a container in the context of digital video files?
- A. I don't know how specific of an example you want. You could just take any implementation for a container and you could take a packet encapsulation

1	technique for digital video packets. I don't think that
2	that would necessarily be a container, at least if
3	you're referring to containers as they are defined
4	within the MPEG standard.
5	Q. How are containers defined within the MPEG
6	standard?
7	A. I don't have the standard memorized. If
8	you've got it and want to get it out, I can certainly
9	point you to a relevant section to the extent I can find
10	it.
11	Q. Can you describe any aspects of a container as
12	that term is used in the MPEG standard?
13	A. I don't have it memorized. You'd have to get
14	out the standard. It's a long standard. It's a
15	detail sitting here right now, I don't have it
16	memorized.
17	Q. As we sit here today, are there no
18	characteristics of a container as that term is used in
19	the MPEG standard that you can describe for me?
20	A. I don't have the standard memorized off the
21	top of my head. You could give me a reference. I could
22	check. I just don't have it memorized.
23	Q. That's not quite what I asked.

of a container as that term is used in the MPEG

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Are you able to describe any characteristics

standard?

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MR. McDOLE: Objection. Asked and answered.

THE WITNESS: As I testified to, I don't have the standard memorized. You haven't given it to me. I don't understand this to be a memory contest. I don't know, if I stopped and gave it some thought for a few minutes I might be able to, but just off the top of my head, I can't think of anything.

BY MR. ROBINSON:

Q. If one of your students came to you and said, "What is a container?" just colloquially, "What is a container for a digital video file?" what would you say?

I'd say, "In what context are you asking?"

- Without some more specific information, it's something that holds a video file. It's a container for it. Just the term can be used in different ways and different standards and different contexts, so I'd say, "What are you specifically asking about?"
- Q. What kind of specifics would you ask for to be able to give that student an answer?
- A. What they had in mind, anything more specific. If it was something we'd talked about, if there was a textbook or they said, "Here's the MPEG-4 standard that uses this term in this paragraph. Help me understand what it's saying." Generally, I don't have students who

come in and just ask me random words.

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- Q. Are there any specific examples of wrappers that you could give me from the 2011-2012 timeframe that were not containers?
- A. Yeah, I think you asked me that question. I mentioned specifically packet encapsulation, wrappers around packets, wrappers around files, just generally speaking. If you want something more specific or a specific type of application or -- then I'd have to give it some thought.
- Q. So you mentioned wrappers around files. Any of those wrappers have particular names that were used to reference them at that time?
- A. Again you're asking about -- I mean I'm speaking generally. If you're asking about specific applications, specific examples, I mean it's not something I really looked at for the declaration. I could potentially go off and look, but not off the top of my head.
- MR. ROBINSON: We have been going for about an hour. Do you want to take a quick break?
- 22 (Recess: 10:06 a.m. 10:14 a.m.)
- 23 BY MR. ROBINSON:
- Q. Dr. Almeroth, I'd like to hand you what has been marked Twitter Exhibit 1007 in the -- for claims 1

- through 19 of the '997 patent. It's the '829 IPR.

 Would you please review that briefly and confirm that
 you recognize that.
 - A. I do recognize it.
 - Q. Is that one of the declarations that you referenced earlier in terms of your testimony that you prepared for this matter?
 - A. It is.

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- Q. Would you please turn to page 5, specifically paragraph 3 that spans pages 5 and 6.
 - A. Okay.
- Q. Is that a complete list of the documents that you reviewed in preparing this declaration?
- A. I believe that it is. To the extent there's anything else in the declaration that I would have identified that's not on this list, then certainly that would be included.
- Q. You beat me to it, but paragraph 5 actually mentions any additional documents and references cited in your analysis.
 - A. Right.
- Q. From paragraphs 3 and 5, is it fair to conclude that if it's not listed in paragraph 3 or otherwise referenced in the declaration you did not review it or consider it?

MR. McDOLE: Objection to form. 1 2. THE WITNESS: Well, paragraph 5 includes 3 knowledge and experience, and subject to any oversight of documents that are cited, then it should be a 4 5 complete list. BY MR. ROBINSON: 6 7 Would you please turn to page 19, specifically Ο. 8 paragraph 34. 9 Α. Okay. 10 Paragraph 34 lists certain secondary considerations that would tend to rebut the obviousness 11 12 of a claim. One of those includes copying. 13 I see that. Α. 14 Q. Paragraph 35 says you're not aware of any 15 allegations by the named inventors of the '997 patent or 16 any assignee of the '997 patent, that any secondary 17 considerations tend to rebut the obviousness of any 18 challenged claim of the '997 patent. 19 Α. Yes. 20 Have you been informed of any allegations of O. 21 copying? 22 Specifically to copying, not that I'm aware Α. 23 of. 24 Has anybody provided you with a copy of the Ο. 25

complaint in the co-pending litigation between Twitter

and Youtoo?

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- A. I might have seen it. I don't recall, sitting here right now.
- Q. Are you aware that Twitter and Youtoo had a nondisclosure agreement?
 - A. As I sit here right now, I don't recall that.
- Q. Are you aware that Youtoo gave access to

 Twitter to Youtoo's system that embody the '997 patent?
- A. As I sit here now, I don't recall that, at least, allegation.
- Q. Are you aware that Youtoo has specifically alleged that Twitter copied Youtoo's systems?
 - A. Not that I recall.
- Q. Would those allegations have any impact on your analysis of obviousness in this declaration?
- A. I don't think they would impact my conclusions. Certainly, there would be several steps that Youtoo would have to take. For example, suggesting that that copying rises to the level of a secondary consideration, I'd have to see some evidence that there was a nexus there, some evidence of what the Youtoo system was that Twitter was given access to, allegedly, whether it embodied the claims. I mean just saying that -- or at least making those allegations without then saying that they rise to the level of secondary

considerations and would rebut the motivation to combine the references in the manners that I have set forth, I mean I'd have to see what that evidence is.

Given the strength of the motivation to combine the references -- for example, in many instances

combine the references -- for example, in many instances it's demonstrating the knowledge of a person of skill in the art -- and the strength of Nassiri in disclosing most, if not all, of the limitations for many claims, I mean it would have to be an exceptionally strong secondary considerations to overcome what the combinations are.

Q. What does it take for copying to rise to the level of a secondary consideration?

MR. McDOLE: Objection. Calls for a legal conclusion.

THE WITNESS: I'm not sure how to answer that.

I think it is you're asking essentially a legal question.

BY MR. ROBINSON:

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- Q. Dr. Almeroth, just a moment ago you told me that that copying would have to rise to the level of being a secondary consideration. What did you mean by that?
- A. Oh, yeah, what I meant by that is it's one thing to allege copying, for example, in a complaint.

It's another thing to establish that that copying rises to the level of a secondary consideration.

For example, in demonstrating a relationship between what was copied, knowledge of the patents, that there was a nexus between what was copied and the claims, I mean all of the additional sort of factors that you have to identify that are listed in paragraph 34.

- Q. Did you say knowledge of the claims?
- A. Knowledge of the system. If you're going to copy it, then you have to be aware of the system, and it's a system that would -- the copying aspect would have to relate to the claims. I mean that's the whole point of the nexus that's set forth in the last few sentences of paragraph 34.
 - Q. Are you familiar with Vine?
 - A. Generally, yes.

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- Q. Is Vine commercially successful?
- A. I haven't tried to analyze that question for purposes of this declaration. I'd have to give it some thought. I'd have to see some evidence, consider what factors you would want to use for commercial success.
 - O. Are you familiar with Periscope?
 - A. I don't recall it, sitting here right now.
 - Q. Are you familiar with Twitter Video and

1 Twitter Live?2 A. Ver

- A. Very generally speaking.
- Q. Are they commercially successful?
- A. As part of this declaration, I haven't really tried to answer that question. I'd have to give it some thought.
- Q. Are you aware that Twitter stands accused of infringing certain claims of the '997 patent?
 - A. I am aware of that.
- Q. Are you aware that that necessarily includes the allegation that the accused systems embody those claims of the '997 patent?
- A. I am aware that Twitter is accused of infringing the '997 patent. What features, what claims, I don't recall what those are, as I sit here right now.
- Q. And you did not assess whether the commercial success of those Twitter systems and methods might be a secondary consideration?
- A. Well, I think my opinions are based on exactly what these paragraphs say. I'm not aware of any allegations of secondary considerations. My understanding is that it's Youtoo's burden to show commercial success, to show a nexus, to show the secondary considerations. It has the burden of showing those things. It's not my responsibility to go out and

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- proactively determine whether those secondary considerations exist, and what paragraph 35 says, I'm not aware of even where allegations of secondary considerations have been made by Youtoo. To the extent that they are raised, for example, in Youtoo's response, then I will take the first opportunity to respond to those, to the extent that I have opinions to offer.
- Q. In paragraph 41, you say that as of the '997 patent's 2012 priority date, YouTube allowed users to upload their videos for other users to view, comment on and rate.

How did YouTube allow users to upload their videos?

- A. I'm not sure I understand the question.
- Q. YouTube allowed users to upload their videos.

 How did that happen?
- A. There are a variety of ways they could do it. There were web-based forms that a person could fill out. There might have been other mechanisms within YouTube. I didn't in this paragraph attempt to delineate all of the different techniques. To the extent I wanted to list them I'd have to go think about it, do some research.
- Q. So your testimony in this declaration didn't take into consideration any of the specific ways that

YouTube may have allowed users to upload their videos?

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- A. I don't know that to be the case. I mean I've given you at least one example. I think there was a variety of ways that YouTube could receive videos. I didn't attempt to delineate all of them in this declaration.
- Q. What attempts did you make to confirm the ways that YouTube used in 2012?
- A. It was based on my own specific knowledge.

 And again, I wasn't trying to list all of the different ways, but simply to make the statement that's there in the last sentence, "YouTube allows users to upload their videos for other users to view, comment on and rate."

 To the extent that it's relevant or becomes relevant to list all of the different ways that it's possible, then certainly I could try and do that list.
- Q. In paragraph 49 you list four factors relevant to determining the level of ordinary skill in the pertinent art. Did you address factors 3 or 4 in your declaration?
- A. I'm not sure what you mean by "address."

 Certainly I considered those factors. I don't know if I specifically spelled out instances of what those might be. I mean maybe there's something in these paragraphs that's relevant to factors 3 and 4. I'd have to check.

1 Ο. Would you review paragraphs 50 through 52 for 2. Let me know when you're done. 3 Α. Okay. Okay. 4 Is there anything in those paragraphs that addresses factors 3 or 4? 5 I'd have to give it some thought. I mean I 6 Α. 7 think the declaration speaks for itself. There might be 8 some portions of those paragraphs that bear on the 9 factors 3 and 4. I mean my experience in industry with 10 colleagues from academia, with graduate students, I mean 11 certainly I'm aware of what problems there were, what 12 other solutions to those problems existed. I mean I was 13 working on streaming video, capturing streaming video 14 back in the mid to late '90s, so I think that there are 15 aspects of those paragraphs that are relevant to points 16 3 and 4. 17 But as you sit here today, having just Ο. 18 reviewed paragraphs 50 through 52, are there any 19 examples of the types of problems encountered in the 20 field listed in those paragraphs? 21 Again, I think that the declaration speaks for Α. 22 I gave you examples of where there are 23 sentences in those paragraphs that relate to those 24 specific bullets.

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If you're asking is there the identification

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of a particular problem or what prior art solutions were
in those particular paragraphs, I mean I think the
declaration speaks for itself. I also think that if you
look at the prior art, the description of the prior art
in the following sections, those are all relevant
materials that would go into those factors. They list
specific types of problems. It's prior art, so it's
what those solutions to those problems were. There's
the background of the '997 patent that lists specific
relevant information, so I mean certainly I think those
factors were considered in arriving at the definition of
a person of skill in the art.

Q. Paragraph 53, you say that it's your understanding "that the claims are to be given their broadest reasonable interpretation in light of the specification."

What does that mean to you?

MR. McDOLE: Objection. Calls for a legal conclusion.

THE WITNESS: It's essentially the broadest reasonable interpretation as understood by one of ordinary skill in the art, how those terms are used in the context of the claims through the eyes of a person of skill in the art.

As to what the legal definition for what that

1 phrase means, what's involved in that phrase, it's a 2. legal question. I don't have a legal opinion. 3 BY MR. ROBINSON: 4 Ο. Is that the broadest reasonable interpretation 5 to a POSITA today? MR. McDOLE: Objection. Calls for a legal 6 7 conclusion. 8 THE WITNESS: It's my recollection that it's at the time of the patent and not how you would 9 10 interpret how a person of skill in the art would 11 interpret those terms as of 2018. The terms might 12 actually not have changed, or they might have. At least for the purposes of this declaration I believe it's in 13 the context of the claim at the time. 14 BY MR. ROBINSON: 15 16 So you understand the two matters that we're 17 here on today are inter partes reviews, correct? 18 Α. Yes. 19 Have you also worked on District Court Q. 20 litigation matters? 21 Generally? Α. 22 Ο. Yes. 23 Α. Yes. 24 Are you familiar with the Phillips standard Q. 25 claim construction?

- 1 Α. I am. 2. Would any of your opinions on the Q. interpretation of these claim terms change under that 3 standard? 4 5 MR. McDOLE: Objection. Calls for a legal conclusion and outside the scope. 6 7 THE WITNESS: I'd have to give that some It's a different standard. I've been focused 8 thought. 9 on the IPR. Whether they would change or not I'd have 10 to give some thought to. BY MR. ROBINSON: 11 12 Ο. What's traditional television programming? 13 I'm not sure how to give it a more specific 14 definition than it's traditional television programming, 15 programming for TVs, for traditional programming, shows 16 you can watch on TVs. 17 Ο. Is it linear? 18 MR. McDOLE: Objection to form. 19 BY MR. ROBINSON: 2.0 Ο. Is traditional television programming linear? 21 I'm not sure how to answer that question. Α. I'd
 - A. I'm not sure how to answer that question. I'd have to give it some thought. It's more an example of -- well, what I was looking at was trying to understand what linear television programming -- or what a linear television program was. Whether or not the

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- opposite, whether for traditional television programming you would be correct to characterize that as linear, whether there could be examples where there weren't, I mean I'd have to give it some thought, although you can sort of flip the definition.
- Q. You also quote a sentence from the '304 patent that says "Traditional television programming for television network is linear."
 - A. I do.

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- Q. In your experience, from your CV, I think would suggest that you've worked on streaming traditional -- multicasting traditional television programming. What does that mean?
- A. Well, in the examples where I streamed traditional television programming that were shows like Bugs Bunny cartoons that appeared on TV, I think that would be a good example of traditional television programming. And as paragraph 60 goes on to describe, there's additional context from the '304 patent that talks about it and gives some additional examples.
 - Q. None of that says it's not linear, does it?
- A. I would agree that none of the citations in paragraph 60 describe television programming as not being linear.
 - Q. Do all set-top boxes decode signals for

traditional television programming?

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- A. It would be hard to say. It depends on what the bounds for a set-top box are. I mean certainly there are set-top boxes that can receive and decode signals so that they can be played on a television or monitor.
 - O. Are there other set-top boxes that don't?
- A. It depends on what your definition of a set-top box is. If an Atari console is a set-top box, it may not have the ability to receive and decode signals that can be played on a television or monitor. They can originate, but maybe not receive. So if you include those as a set-top box, then -- then no.
- Q. What about a set-top box for a security camera system, does that receive and decode traditional television programming signals?
- A. You'd have to be more specific. Does it? Can it? Are there that do? I mean your question is kind of vague and I'm not sure how, really, to answer it.
- Q. As we sit here today, can you tell me that all set-top boxes necessarily receive and decode traditional television programming?
- A. So you've added qualifiers to that question, that "all set-top boxes necessarily." As I testified to earlier, it depends on your definition of set-top boxes.

Certainly I think that the statement quoted in paragraph 60 is accurate, that the use of set-top boxes is an example of something that can receive traditional television programming and decode it and play it on a TV, but whether all set-top boxes can necessarily do it depends on the scope of what you define as a set-top box, at least is one determinant in answering that question.

- Q. How would you define a set-top box?
- A. It depends on the context. It could be something that sits on top of a TV. That's sort of where the term originates. But that term doesn't define a necessary set of functionality, given its breadth.
- Q. Paragraphs 66 through 69, you address user submissions and user-generated content.
 - A. Okay.

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- Q. What's the difference between user-generated content and a user-generated content file?
 - A. One is a file, one is not necessarily a file.
- Q. If I said that I'm going to generate a user-generated content file from some user-generated content, is it reasonable to infer that the user-generated content is not yet a file?
- A. I think it would depend on the context. If all you told me was what you said in the question, I

don't think it would necessarily be reasonable to assume that.

- Q. From the perspective of a POSITA in the 2011-2012 timeframe, as of May 2012, if I tell you that a web page has a link on it, what does that mean to you? I'm sorry, what does that mean to the POSITA as of May 2012?
- A. That there's a link on the web page. Again, depending on context, it could mean more than that. It could provide some context for what the link is, what type of link, how it's formatted.
- Q. Generally speaking, as of that timeframe, is it accurate that a link on a web page is something that a user can click to cause some action, whether it's going to a different web page or invoking something else?

MR. McDOLE: Objection to form.

THE WITNESS: Certainly a person could click on a link, selectively activate a link in some way and a variety of actions could then take place. It depends on what the link is or what it does.

BY MR. ROBINSON:

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Q. Is there a difference between a link on a web page and a link that's just written to the code of a web page, for example, as the source of an I-frame?

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- A. I don't understand the question. I'm not sure if you're asking about -- I'm not sure what you're asking. Maybe you can rephrase it.
- Q. Sure. So start by setting it up. So you could put a link with the source, or SRC, field of an I-frame that would automatically populate the I-frame, is that correct?
- A. You can automatically populate. You can have embedded objects in the web page. I'm not sure you would characterize those as links that can be selected by a user. Certainly you could -- they could be links, but they're different than, say, reference to links that a user could click on.
- Q. And then in another instance a link on a web page would be a link that a user could click on to initiate some action?
- A. I think I understand what you're asking.

 Certainly if you look at a visually displayed web page or a web page that's displayed in a browser, intuitively there are links that a user could click on and could go somewhere and that's different than, say, embedded tags that would automatically be retrieved as part of an object displayed within a web page. Those things can be different both in how they are referenced in the syntax of the web page and how they are presented to the user,

but they might also have similarities, for example, in that they both use URLs.

- Q. But for a link to be selectable by a user -trying to use the words that you were using so we're
 consistent -- but for a link to be selectable by a user,
 it has to be displayed on the web page?
- A. That seems an overly strong statement. For example, whether or not you can have instances within that description -- for example, if I click on a banner ad or an image, is that considered a link? So your statement seems a little bit strong.
- Q. Help me understand your concern. The banner ad would -- might include some object associated with the link, but if you click on that, doesn't there have to be a link that you're clicking on to initiate some action?
- A. Yeah, I think part of the disconnect here is when we started with the term "link." Ultimately, when you get into the syntax, if you're saying URL, that there's a URL that backstops an image and is associated with the image so if you click on the image you're activating the URL, that can be the case.

You can have text that's underlined because it's associated with hyperlink and there's a URL that's associated with that. Certainly that's functionality

that exists within the web and web pages.

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- Q. It's fair to say for a link to be selectable by a user, it has to be displayed to the user on the web page, right?
- A. I would say generally, in order for something to be selectable, the user has to see something that can be selected. I would be careful to consider whether or not there can be exceptions to that.

The opposite of that statement, to suggest that you can't have a link unless it's displayed seems to potentially exclude some corner cases, maybe. I mean certainly in most common web pages, for things that are hyperlinked, they are associated with things that a user can see and select and have some intuition about what's going to happen next -- a new page or something is going to happen, something is going to be displayed related to what they're seeing that they selected.

But then that's not always the case. You can click on a link and get a virus, so maybe it's unintended.

I'm sort of having a hard time generalizing a characteristic, given the kind of fuzziness of the scenario you're describing.

Q. Okay. So generally speaking, a link displayed on a web page is one that's selectable by a user?

- 1 I think I'm still having problems with that Α. 2. characterization. So, for example, to test whether I would agree with that statement, I would think whether 3 4 or not you could have links on a web page that were not 5 selectable. So let's say I put a URL on a web page. 6 You might call that a link, but then you don't program 7 the HTML so that a user can select that URL and go to 8 that URL. If it's just the string of characters for the 9 URL that the user can't select and go to that link, then 10 maybe you couldn't consider that a link that's 11 selectable by a user. 12 Ο. I'm trying not to be that clever. If you have 13
 - just got an <a> href reference with a URL in it and it's displayed on the web page, that's selectable by a user to invoke whatever the action is?
 - A. That's more technically precise. I think that's correct.
 - Q. Okay.

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- A. And so that it's clear, it's "<a> href." It's an HTML tag.
 - Q. From the perspective of a POSITA in 2011-2012, is there a difference between capturing video and recording video?
 - A. I think there certainly could be. Those terms are broad and vague enough that, depending on context,

1	they could have different meanings. There might be
2	instances where they have very similar meanings or are
3	used interchangeably, and in other instances they are
4	distinctive. It would depend.
5	Q. Okay. I'd like to hand you what has been
6	marked as Exhibit 1009.
7	Is that the Nassiri reference addressed in the
8	declaration?
9	A. It is.
10	Q. Just so we're clear, is that the same Nassiri
11	reference that's addressed in both of your declarations?
12	A. It is.
13	Q. Addressed in the '997 patent? Okay.
14	Would you please turn to page 7.
15	A. Okay.
16	Q. Right at the top where it says " <iframe< td=""></iframe<>
17	<pre>src=http://watch.videogenie.com," that I-frame is</pre>
18	already going to preload with the media player, is that
19	correct?
20	A. I would have to see where it talked about in
21	the description that that particular example would be
22	preloaded. I skimmed the paragraphs before and after.
23	I didn't see where it said that it would be preloaded.
24	Q. So about the last two sentences of paragraph

57 on page 6.

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- A. Okay. I mean both here and in other paragraphs it's describing different capabilities, but as the declaration describes, it, at least, describes the functionality where the user is clicking on that embedded link, but I don't see anything for this particular code snippet, whether it's describing it as something that is automatically loaded.
- Q. Well, at the very least, that SRC tag is going to automatically load that URL that follows it, correct?
- A. It depends. I mean this is a URL snippet, so it's part of what would go into a web page. Since it's incomplete, I think you have to look at the descriptions and the specification to understand what the system is doing. And as described in the declaration, it, at least, describes an instance where a user can select that I-frame and load the video recorder.

It also describes, I think, for example, at the end of paragraph 58 in some examples the video host computing system may automatically insert the code into code of the enterprise computing system, so some portions can be automatic. I don't know that it's describing that the video recorder itself would ever be automatically loaded or not. I mean if there's some portion of the specification that you think says that, you can point me to it and I'll confirm it.

1 Ο. Is this code here on page 7 related to the video recorder? 2. 3 Α. The tag at the top? 4 Ο. Yes. 5 So this is describing video playing. At least Α. 6 that's what the last sentence of paragraph 57 says: 7 one example of the executable instructions for video 8 playing may include." 9 Then it's referencing that code snippet at the Ο. 10 top of page 7, correct? 11 Α. It is. 12 Ο. Okay. And that code snippet, the I-frame is 13 going to automatically load the URL that follows the SRC 14 tag, is that correct? 15 It might. It doesn't say that that's what is Α. 16 supposed to happen, and given that it's only a portion 17 of the snippet, it isn't the case that it's necessarily 18 I mean it doesn't say. Without looking at more true. 19 of the code, what it's supposed to do, all that it's 20 really saying is offering that HTML snippet as an 21 example of the executable instructions for video 22 playing. 23 Doesn't your declaration explain that that Ο. I-frame would need a name tag to be able to target it 24

with some external link? Let's say I-frame generally.

- I'm not sure that you speak directly to this code 1 2. snippet, but isn't that how I-frames work? 3 Α. In what sense? Are you --4 Ο. Don't they require a name tag to be able to target them with an external link? 5 I don't think that's quite what I'm saying in 6 Α. 7 the declaration. There is a description about Bradford 8 on pages 48 and 49 and 50. We'll get to Bradford. Right now I just want Ο. 10 to understand what it is that Nassiri discloses. 11 understanding of your declaration is that Nassiri's 12 I-frames are set up to autoload that SRC URL and that 13 because that is the case, you then turn to Bradford for 14 the idea of an external link that targets an I-frame. 15 Α. I don't think that's exactly accurate. 16 From the code at the top of page 7 in Nassiri, 17 is there some external action that you think needs to be 18 taken to invoke or to load that SRC tagged URL in this 19 I-frame? 2.0 Α. So I think that what's disclosed in Nassiri as
 - A. So I think that what's disclosed in Nassiri as it relates to the limitation in the claims that I think is related to what you're asking about, Nassiri describes being able to use I-frames to embed the video player. I think a person of skill in the art would understand in the context of Nassiri that that means a

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user would be clicking on the link. It doesn't say those exact words. I think that's what the reference teaches.

What I'm relying on Bradford for is to demonstrate that the use of I-frames supports that functionality and a person of skill in the art would understand that. So in seeing a discussion of I-frames as it's described in Nassiri a person of skill in the art would understand that that's what it's describing.

Pointing specifically to the code snippet at the top of page 7, Nassiri isn't offering that to demonstrate the exclusive functionality of what Nassiri can do as it relates to whether or not a user is clicking on a link, so I think it's not an accurate understanding of what a person of skill in the art would disclose in Nassiri to look at that code snippet and argue that its functionality limits the disclosure in some way.

Q. So that was a lot. I want to start -- I'm starting simple.

What does this code at the top of page 7 do?

This I-frame automatically loads the URL that follows

the SRC tag, does it not?

A. Not necessarily. It depends on what the code around it does, what the other embedded portions of the

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web page are doing. All that this code at the top of page 7 is being described for is an executable instruction for video playing. It doesn't -- Nassiri doesn't describe it as something that's automatically loaded or not. It's really just describing what the code would look like.

And paragraph 58 goes on to, again, describe what the role of that code is. It's not -- you can't read in more to what's in that code and what its functionality is that might depend on other code in the web page to suggest that Nassiri is limited in some specific way.

- Q. I'm not suggesting anything, Dr. Almeroth, I'm just asking how that I-frame code works in and of itself. An I-frame with a source loads the source, does it not?
- A. It might load the source. As I testified to several times, it depends on what the other code around it does.
- Q. Can you give me an example of some other code around it that would prevent this I-frame code that's shown at the top of page 7 of Nassiri from automatically loading the URL that follows the source tag?
- A. Other JavaScript, other conditional tags, other I-frame structures that depend on user input.

There could be, potentially, lots of examples.

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- Q. If you put this code snippet at the top of page 7 of Nassiri into an HTML file and you open that HTML file with a browser, will it automatically load the URL that follows the SRC tag?
- A. It would depend. I think if all you had in the HTML file was this code, it might actually not load at all. You might need additional HTML structure in order to define what the frames are, or at least what this frame is in order for the code to execute correctly.

It's intended to be a code snippet, not a complete HTML file. It's used to convey one particular concept, and to read more in to that than what it says here, I think, would be an inaccurate understanding as to what a POSITA would think.

- Q. What additional HTML code structure would be necessary to get this I-frame to load?
- A. You might need a starting HTML tag, an ending HTML tag. It depends on how good the browser is at interpreting incomplete HTML.
- Q. If you put this code snippet in an HTML file, precede it by a starting HTML tag and ending with a closing HTML tag and you open that HTML file in a browser in 2012, will the I-frame automatically load the

URL that loads the SRC tag?

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- A. Yeah, I mean, look, if you modify this tag and add tags so that it goes into a web page that only includes this one link, certainly you can build a web page around it to get the referenced link to automatically load, but that's not the purpose of why this HTML snippet is included in the specification.
- Q. I'm not asking about the purpose of why it's included, I'm just asking about how this would operate in a straightforward set of circumstances.
- A. Well, but you're changing what the reference describes. You're adding additional tags in order to get it to display in a web page. You're changing the functionality beyond what is referenced in the web page to import some understanding beyond what it specifically says and is referenced for.
- Q. I'm confused. I thought you said that this was included as an example and that it would necessarily include other things.
- A. No. What I'm saying is the sentence before it says "In one example the executable instructions for video playing may include." It's included as exemplary, it's included as a code segment, it's not intended to convey meaning beyond what it's used for, and to read more in to what this code snippet does, to try and build

a web page around it that's inconsistent with other 1 2. functionality that's described, I think, is inaccurate. 3 Ο. So with respect to this media player, code 4 snippet at the top of page 7, what other functionality 5 is described that's inconsistent with anything that I 6 just asked you? 7 What is inconsistent is it's not a complete 8 web page. It's not clear what a browser would do, if 9 you just entered this snippet into a page and loaded it. 10 Whether or not this page -- or this snippet 11 could be included in a page and made to automatically 12 load or not is not the relevant point that Nassiri is 13 pointing to it for. And Nassiri identifies the use of 14 I-frames, and a person of skill in the art would 15 understand what I-frames were capable of doing, as 16 further confirmed by Bradford. 17 I feel like you're telling me a lot of about Ο. 18 what Nassiri intended, and I'm really just asking if you 19 drop this code snippet into a basic HTML tag that -- or 2.0 HTML page that otherwise will load in a browser, can you 21 really not tell me how that I-frame is going to behave 22 in the way that it's written? 23 MR. McDOLE: Objection. Asked and answered. 24 THE WITNESS: So the first thing, I'm not

What I'm

trying to tell you what Nassiri intended.

telling you is Nassiri says in one example the executable instructions for video playing may include.

It's included in the paragraph where there are other examples, and it's described in the context of other functionality for Nassiri. What that specific code would do is an example of executable instructions for video playing.

Whether or not that code would do additional things or have additional characteristics, it's not being described that way. How that code would function in practice would depend on what the code around it does. You asked if there were examples of where this code would not result in an automatically loaded player and I gave you some. In other instances it might, depending on how that code was used in a web page.

The point would be that Nassiri is describing that code as executable instructions for video playing. In some scenarios it might lead to an automatically loaded player, in other instances it might not. It's not being offered for that proposition by Nassiri as an example of one way or the other. The functionality of whether or not code could be loaded and executed automatically is described elsewhere in the specification.

BY MR. ROBINSON:

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1	Q. Paragraph 57 says: "The video player may be
2	displayed on a stand-alone site or other
3	content-providing application, or may in some examples
4	be embedded in an enterprise's own site or other
5	content-providing application."
6	Does the code snippet at the top of paragraph
7	7 that follows or top of page 7 that follows
8	paragraph 57, is that an example of a way to embed that
9	in a web page?
LO	A. It's an example of executable instructions for
L1	video playing that may be included in a web page. It's
L2	one example, as Nassiri says.
L3	MR. ROBINSON: We have been going for about an
L4	hour. Let's take another quick bathroom break.
L5	(Recess: 11:17 a.m 11:28 a.m.)
L6	BY MR. ROBINSON:
L7	Q. Dr. Almeroth, taking a step back, I think that
L8	we established a little earlier that a digital video
L9	file that complies with the MPEG standard, as of May of
20	2012, would include an MPEG container and some video
21	content encoded in accordance with the MPEG standard.
22	Is that accurate?
23	A. With respect to the container, since we didn't
24	look at the standard to see whether or not the concept

of a container was something that was required in all

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files or not, I don't think I can say one way or another sitting here without the benefit of looking at that standard.

With respect to whether or not it has content, it was essentially circular in the sense that if you assumed in your hypothetical there was content to encode, and it was encoded, then that encoded content would be in the results. Whether it could be stored in a file is one possibility. It could be streamed is another option. I mean that's kind of the characteristic of MPEG or MPEG-4, as an example within the family.

- Q. So a dot MPG file that includes video content, the video content would typically be encoded in accordance with the MPEG standard, if the dot MPG file complies with that standard, is that correct?
- A. If my understanding of your question is accurate, you've essentially made it circular. I'm not sure what you're asking me other than to confirm that the assumptions in your question are true.
- Q. I guess I'm trying to figure out as of May 2012, given your experience, what was typical of dot MPG files at the time? Certainly there must have been exceptions, but what was typical of dot MPG files?

MR. McDOLE: Objection. Form.

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THE WITNESS: So the question of what is typical sort of depends on what you mean by "typical."

I mean, is it common or required or necessary? I mean there's a lot of variability in what an MPEG file could be. There's even the misdirection of whether or not MPG stands for an MPEG file or what it could represent. We sort of went through that in the first hour of the deposition.

If you're asking if you have an MPEG file what's in that file, I mean ultimately it depends. In some instances you can create files that can be used to stream, you can move that file around as an object by downloading it. I mean it's really the power of the programming and the flexibility of programming what you can do with this content.

It makes perfect sense to apply an MPEG encoder to a source of consent. The source could come from a file, it could come from a live stream, it could come from an external source like a DVD. You can take the result of that encoding, you can stream it, you can download it, you can progressively download it, you can stream it with buffering.

And at the receiver, you can take the result of that, store it in its file, you can consume it and display it, you can do both, you can transcode it, you

can encrypt it.

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I mean it's pretty straightforward functionality and capability and programming as to what you do with content as it's encoded, decoded, transformed, compressed, decompressed from origination to consumption.

One last point. I know that was long, but what's in an MPEG file depends on where it comes from, how it gets there, what's done with it when it gets there, and so when you try and boil it down to kind of fundamentally what has to be there, then we start talking about kind of very broad statements like, well, if an MPEG file and it's got the right extension and it has content, then it's something that can be decoded by an MPEG player, something that was encoded by an MPEG encoder and generally would meet the requirements of the standard such that what was produced could be consumed.

BY MR. ROBINSON:

- Q. In May of 2012, was there so much variability in MPEG files that it's impossible to say that they had any common components or characteristics?
- A. There is certainly variability within the standard. It creates a variety of options with respect to the structure of the file, the quality at which the encoding takes place, but regardless of the variability,

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in order to adhere to the standard, what's produced must be decodable by a decoder that meets the standard.

To try and identify common characteristics or structure or components, whatever terms you want to use for that and whatever criterion you want to use for establishing the threshold for what's common versus what's possible versus what's likely versus what a sampling of encoded files in that timeframe would have revealed, the best way to identify those, separating out just doing a sampling of files from the 2011-2012 timeframe, would be to look at the standard. Certainly there might be common structural components.

Most of my recollection is around the process of sampling, how temporal compression and spatial compression can take place, the creation of a discrete cosign transform, the use of Huffman encoding, run-length encoding, the use of I-, P- and B-frames to achieve greater degrees of compression, the algorithmic elements for how the encoding takes place. Those are really kind of the important distinctive aspects of MPEG.

How it's structured, how the bits are ordered within a file or a stream isn't something that I have memorized, and I think it's described in the standard.

It's easy enough to reference.

1	Q. What are the differences between I-, P- and
2	B-frames?
3	A. So an I-frame is an intra-encoded frame,
4	meaning it doesn't rely on other frames around it.
5	A P-frame is the progressive, the encoded
6	frame, which means it depends on I-frames. It achieves
7	better compression through temporal redundancy, meaning
8	if you're doing 30 frames a second, there are likely
9	aspects in the second frame one thirtieth of a second
10	later that's very similar, and by only encoding the
11	differences you can significantly reduce the size of the
12	video.
13	And then a B-frame is bidirectional, so it can
14	rely on both forward and backward similarities in
15	interpreting the differences between the frames is what
16	is called "error," and then encoding that error so that
17	a frame can be created or reconstructed by adding that
18	error back into the base frames.
19	Q. Are those unique to the MPEG standard?
20	A. No.
21	Q. Are they consistent with the MPEG standard?
22	A. I'm not sure what you mean, "consistent."
23	It's a concept that's used in the encoding
24	process within MPEG, in most versions of MPEG,
25	potentially all, but there's a number of different MPEG

standards so I just have to go back and double-check. 1 2. But the MPEG standard, generally speaking, Ο. 3 encompasses or doesn't exclude the use of I-, P- and 4 B-frames as you've just described them? 5 Α. MPEG, the encoding process generally uses I-, P- and B-frames. 6 7 A moment ago you mentioned that a -- I think 8 it was the P-frame, as an example could be one thirtieth of a second after the I-frame. Does that correspond to 9 10 a frame rate of 30 frames per second? 11 Α. If frames are separated by one thirtieth of a 12 second, that's 30 frames per second. 13 And that was, in fact, what you were 14 referencing when you said one thirtieth of a second? 15 Α. That was the example. 16 Are there other frame rates at which digital Ο. 17 video can be encoded within the MPEG standard? 18 Α. I'm almost certain that the answer is yes. 19 Are there other ways in the MPEG standard of Ο. 2.0 varying file size in the encoding? 21 Α. Yes. 22 Can you give me other examples of those? Ο. 23 Resolution is an example. The quantization Α. levels is another example. 24 25 MPEG tends to be what's called an asymmetric

encode-decode, meaning you can put more effort into the encode process. So, for example, you can look farther afield of where an object in a video occurs to determine if it's moved a significant difference so that you can still achieve spatial redundancy.

So an example would be if you're looking at a talking head newscaster, you don't have to look very far for differences and to find the differences from one frame to the next. If you're in a close-up shot of a football moving through the air, if you allow the encoder to look further afield for the object, then the error that you can encode still saves you resulting size of the stream.

So those are some of the considerations that you can adjust to affect the size of either a stream or the ultimate size of a file.

- Q. So looking farther afield, is that quantization?
 - A. No.

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- Q. What's quantization?
- A. Quantization is you are converting a fundamentally analog process into a digital process and it requires sampling. So if you think about -- this works for music as well. Music is a continuous waveform, but you have to associate with it a set of

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bits. If you're using 16 bits for sampling, then when you take a sample it has to be associated with one of the bit values.

The difference between the actual sample value and the digital value is called "quantization noise."

The further the values are spaced apart, the more noise that you have and the greater loss of accuracy in the compressed signal, but if you can use eight bits for an encoding instead of 16, then you can greatly increase the compression, so by varying the quantization levels, how many bits you do for each sample, you can adjust the quality.

There's also hierarchical video encoding where you have multiple layers of quality. It's become a fairly robust field in terms of how you can dynamically adjust the output rate of the encoder.

- Q. And all of these variations are included in the MPEG standard?
- A. Many of them are. Many of them are included as the MPEG standard has evolved. I think MPEG-1 was in 1992, MPEG-2 was shortly after that, MPEG-4, MPEG-7, MPEG-21, it's continued to evolve at intervals over the last almost 30 years.
- Q. Were the variations that you just described included in the MPEG-4 standard?

1 I would have to go back and check. I know at Α. 2. least some of them were. 3 O. Do you know which of them were? 4 Α. Not off the top of my head. 5 Paragraph 158 of your declaration, you explain O. that "a POSITA would have recognized that it would be 7 beneficial to transmit a series video as a stream as it 8 is being recorded in accordance with Bradford's teaching because it would obviate the need to save the video in 9 10 multiple files before transmitting, which again is undesirable because it needlessly utilizes computer 11 resources and time." 12 13 How would it have worked to save the video in 14 multiple files before transmitting? Is that something 15 that was done at that time? 16 MR. McDOLE: Objection to form. 17 THE WITNESS: One of the ways of getting 18 around the delay of doing all of the encoding and 19 compression in creating a file and delivering a file is 2.0 to stream it. 21 There's another option which is essentially 22 streaming it, but what's called "chunking," just -- it's 23 not really called "chunking" as a term of art, it's the

term that I've used where you can record pieces of the

video, you can store it as files and then transfer the

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files and then reconstruct the files at the receiver, or you can watch them as files. So for example, HTTP streaming today works similar to that, where you don't request the entire file, you just request a segment of the file.

So the point that's being made here is with streaming you obviate the need to do this kind of create a chunk, store it to a file and then transfer the file. Even that is an implementation of streaming where you're able to deliver and use the content before you've finished the encoding process, either for a live or pre-stored stream.

BY MR. ROBINSON:

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- Q. Meaning if you've got a chunk saved as a smaller file, you can just send that chunk and the end user can watch it without having to download the entire video, is that correct?
 - A. That's a similar example of what you can do.
- Q. I thought that was one of the examples that you just mentioned.
- A. See, again, how you do the encoding is really independent of how you do the receiving or playing or storing. I mean, really, the compressing side works to generate the video. It can either do so as a stream or into a single file or into subdivisions of files.

And then the next independent step is what do you do with that content? You can encapsulate it in UDT and stream it, you can encapsulate it in TCP and stream it, you can download the smaller versions of the files, which if you do that one after the other and then consume the files at the other end looks a lot like streaming. It would be characterized as streaming, I think, in most instances, and that's independent of what happens at the receiver.

The receiver can receive streamed packets, streamed chunks, downloaded chunks, the entire downloaded file, store it to a single file, store it to chunks, play it out to a user. The flexibility of the encoders, the transmission protocols are all such that you can have any of that capability at basically the generation, the transmission or the reception portions of the process.

- Q. And those options were available in May of 2012?
- A. Yes. I mean we were experimenting with these different techniques in the late '90s. I wrote papers on how to do this stuff in 1997.
- Q. Would you take a look at Nassiri, the pages with Figures 3 and 4 on it, please.
- A. Okay.

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- Which of these interfaces is included in the 1 Ο. 2. I-frame example, the I-frame discussed at page 2 at 3 paragraph 19? 4 So there's two places I can look to answer 5 that question. For the dependent claims that talk about user controls, I believe these are cited to as examples, 6 7 and so I can look in the declaration. I think it's 8 addressed there. The other thing that I can also do is find in 9 10 the specification where it's describing Figures 3 and 4, 11 and that looks to start in about paragraph 37. 12 In looking at those paragraphs, starting at 13 37 -- I'm sort of trying to read them quickly. Unless 14 you want to point me to something specific, I don't 15 recall where it says if there's a limitation with 16 respect to either Figure 3 or 4 as to what can be used 17 in the functionality associated with paragraph 19. 18 mean, if anything, it's describing what a user interface 19 might look like and what kinds of buttons and features 2.0 that might be used within an interface, and then I 21 basically go through that analysis in the declaration. 22 Ο. Does Nassiri say somewhere that the interface 23
 - of Figure 3 or Figure 4 could be included in the I-frame that's described on page 2, paragraph 19?
 - A. I don't recall. I mean I don't have Nassiri

memorized. I could look through it and see.

Basically the other thing I would do is just -- I mean I've set forth the opinions in the declaration for why those figures and that functionality would be disclosed or taught to a person of skill in the art. I can find that section of the declaration and walk you through the reasoning, if you want, but I think it's in there.

- Q. In paragraph 24 --
- A. Nassiri?

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Q. Sorry, paragraph 204 of your declaration, you say that "A POSITA would have understood and it would have been obvious that because a web page can only display a finite number of videos, the video files with greatest number of views will be selected for display on the second web page."

Why is it that a web page can only display a finite number of videos?

A. There's both practical limitations as well as design considerations.

From a design consideration, it's pretty much a well-understood concept, to order pages and to have multiple pages based on content. You know, if you think about Google, for example, it puts a finite number of search results on a first page and then identifies

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multiple pages. If you're looking through YouTube, if you're looking through a catalog, it's pretty much a design principle that you don't put every single video file onto a single page.

From a practical consideration, let's say you display for the user 10 videos. If you think about the challenges and the overhead of displaying a web page with 50 million videos and having links and tags and thumbnails and information describing each of those videos, the amount of time to download that page, to create that page on the server side, there's no expectation that a user would look at all 50 million of those, so the idea that you have an ordering to the web pages and then use web pages -- sorry, an ordering to the videos and then have multiple web pages to divide up those video files is exactly as this section talks about, supported in the context of what's disclosed and obvious for the reasons I've just described and included in the declaration.

Q. So I think what you just described is a limit to the number of videos that can be displayed simultaneously on a single web page. If you have one video player, you can play all of the videos through a single video player, can't you, it would just be back to back?

1 Α. Is it possible? I mean I think you could 2. certainly program something that way. It wouldn't be 3 desirable for the reasons that are set forth in these 4 paragraphs. 5 Ο. Is it your understanding that -- if you flip back to page 92, in between paragraph 205 and 206 of 6 7 your declaration, is it your understanding that the 8 first web page is limited to a single instance of the first web page, or could the first web page be displayed 9 10 to multiple users at once? 11 Α. I didn't understand the question. 12 Ο. Well, could the first web page be displayed in 13 multiple instances or is each time you display a web 14 page, is that the only web page? 15 Are you asking about what Nassiri discloses? Α. 16 I'm asking about what this claim requires. Ο. 17 Oh, okay. Α. 18 MR. McDOLE: Objection to form. 19 BY MR. ROBINSON: 20 What is your understanding of what this claim O. 21 requires? 22 MR. McDOLE: Same objection. 23 THE WITNESS: I don't see in the limitation 24 6.1 or 6.2 anything that talks about whether or not the

same web page can be provided to multiple users or not.

I don't really understand how your question would relate to the claim. I mean, it's a dependent claim. I don't know if you're referencing something from Claim 5 or something later.

I mean ultimately the best that I can say is
I've looked at Nassiri and identified what I think
discloses the limitation. If there's other
characteristics or permutations about the claim, I mean
I haven't tried to address those here.

BY MR. ROBINSON:

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- Q. On page 86, Claim Element 3.3.
- A. Okay.
- Q. It follows from 3.2. 3.2 says "providing, using media player software on the video management server system, the stored video file for display."

And then 3.3 says "within a plurality of displayed instances of one or more web pages hosted on one or more web server systems."

Does that suggest that a single web page can be displayed in multiple instances?

A. Again, I'm not sure with respect to the requirements of the claim sort of what the claim scope is, what could be within the scope of the claim versus not, whether if some hypothetical system where there's different web pages with different video instances would

meet the limitation or whether or not it has to be the same.

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What I pointed to again is what I think

Nassiri discloses and why Nassiri would disclose the

limitation. I don't see Nassiri describing its system

in a way that requires me to analyze the claim to

determine its scope with any more specificity than

what's described here.

- Q. If you have a web page that embeds a media player and that web page is displayed to 50 people in 50 instances, or even more people in more instances, there's no natural limit to the number of videos that can be displayed through that first web page, is there?
- A. I don't understand from your question if you're asking about within that single embedded video just playing one video after another after another after another. If that's what you're asking about, then certainly you could create a web page where, assuming the network is working, the server is operating, the client device is operating correctly that you couldn't program a web page with the right instructions so that after one video was done being played, the next one would be queued up and then you just repeat that process until something happens.
 - O. And in fact --

1	A. Sorry, let me finish.
2	Q. Sorry.
3	A. You could certainly create a program that
4	would do that.
5	Q. And in fact, with an embedded media player
6	there's no requirement that the media player play the
7	same video in each instance of the web page, is there?
8	MR. McDOLE: Objection to form.
9	THE WITNESS: Your question asked about a
10	requirement. I'm not sure I understand how that word is
11	used in this context.
12	Similar to banner ads, the HTML that executes
13	on my computer is identical to the HTML that would
14	execute on somebody else's computer, and yet I would see
15	a different ad because of the interplay in what gets
16	executed. I don't know that anything about that
17	description ties into a requirement, but again it sort
18	of boils down to how you do the programming, how the
19	server operates on the back end, how the system is
20	configured, et cetera.
21	BY MR. ROBINSON:
22	Q. So let me ask this a slightly different way
23	based I think on what I think you just said.
24	If you've got a media player embedded in a web

page, you can have two different instances of that web

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1
     page being displayed in which the media player is
2.
     playing different videos in each instance of the web
 3
     page?
4
           Α.
                I don't hear anything in your question that
5
     describes a scenario that couldn't be accomplished by
6
      some programming.
7
           Ο.
                Okay.
8
          Α.
                It sort of is a testament to the capability
     and diversity of programming languages.
9
10
                And that was true as of May of 2012, correct?
           Ο.
11
                That you could create a system that plays a
           Α.
12
     different video in different embedded players?
13
                MR. ROBINSON: It's about 12:10. Why don't we
14
     take a quick lunch break.
15
                          12:11 p.m. - 1:01 p.m.)
                (Recess:
16
     BY MR. ROBINSON:
17
                What's the difference between a browser
           Ο.
18
     plug-in and a browser extension?
19
                I'm trying to think of where any differences
20
     would be relevant in the context of the declaration and
21
     why I would think about that question. They are similar
22
      except for the way that they are implemented and the
23
      capabilities that are offered through the browser.
24
     Beyond that is a difference -- there isn't anything
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really relevant to the IPR, so --

What are the differences in how they're 1 Ο. 2. implemented? 3 They have different security access for what Α. 4 they can do within the browser context. I think if you 5 want to get into any sort of specifics, I'd have to go look and compare them. Like I said, it's not really an 6 7 issue that came up in the context of the declaration, so 8 it wasn't really something I focused on trying to address. 9 10 Ο. You mentioned differences in functionality. What are those differences? 11 12 Α. It depends. It completely depends. Ιt 13 depends on the browser environment, the capabilities of 14 the extensions. I don't think there's really a 15 definitive list on what their different capabilities would be. 16 17 Is it fair to say that either would be a Ο. 18 software package that is downloaded and operated within 19 the browser environment locally? 2.0 Α. I don't know that that's accurate for both. 21 I'd have to double-check. I think, as I testified to, 22 the permissions of what you can do within the context of 23 a browser are different, but again I'd have to go look 24 it up to see. It's just not something I investigated in

the context of this declaration.

1 So you said you don't know that it's accurate Ο. 2. for both. Do you know that it's accurate for one or the 3 other? 4 Α. I think you're describing them in a way that I believe there are differences. I'd have to go 5 Again, it's not something that came up in the 6 7 context of this declaration, so I'd have to give it some 8 thought and do some additional research to see. 9 I'm going to hand you a copy of Twitter's Ο. 10 Exhibit 1012. Is that the Lerman reference that's 11 addressed in your declarations? 12 Α. It is. 13 This Lerman reference describes both browser Ο. 14 plug-ins and browser extensions and is relied on in your 15 declaration as suggesting video editing functions. 16 Is there any difference between the browser 17 extension and browser plug-ins that Lerman describes? 18 First of all, there was a preamble to that Α. 19 I'm not sure that that's accurate. 20 primarily relied on the references as described in the 21 declaration for video editing functions. I don't recall

I don't

another. Certainly we can look at Lerman and see what

recall anything being relevant as a distinction, but I

the claims identifying one implementation versus

it says about plug-ins versus extensions.

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would defer to what's described in the declaration.

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- Q. Your declaration actually references both browser extensions and browser plug-ins. Is there a difference between those two things, as described in Lerman?
- A. You'd have to point me to where in the declaration it's referenced and where in Lerman it's described that would raise whether or not there's a distinction.
- Q. Well, Lerman is addressed in your declaration starting at paragraph 266, at least in the declaration for Claims 1 through 19 of the '997 patent.

In paragraph 267 it quotes some language about a browser extension, and in paragraph 269 it references a browser plug-in. Is there any difference between those two things that you considered for purposes of your analysis?

- A. What I can say is I considered the reference.

 I didn't recall there being any distinction in the claims for which Lerman was used as a reference where it mattered whether or not the video editing functions were provided in some specifically implemented way.
- Q. You repeatedly in these paragraphs quote the language from Lerman that says "self-contained embeddable software component." Lerman describes that

being "a self-contained embeddable software component that, whether in the form of a plug-in or an extension, is downloaded and operates locally in the browser environment."

Is that accurate?

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- A. If you're asking about what's described in -or quoting from Lerman, you'd have to point me to where
 it's saying that in Lerman. I see in paragraph 269 it
 talks about the self-contained embedded software
 component. I agree that that's cited there, and in 268
 as well.
- Q. So 268, it says: "Specifically, Lerman discloses 'plug-in software' installed to a user's browser enabling users to drag and drop video files for immediate extraction, or edit and post a video file to a website 122 or other location accessible through the network user interface."

That seems to state that Lerman's software is installed to the user's browser.

A. Yeah. I think what you're -- I'm not sure if you're misunderstanding about what I'm relying on Lerman for or reading more into the combination than I was describing.

Lerman describes video editing functionality in a variety of ways and used in a variety of different

	Kevin C. Almeroth, Ph.D.
1	instances. What I described in the declaration is that
2	this kind of video editing functionality is something
3	that would have been known to a person of skill in the
4	art, was used in these kinds of applications, and so
5	used specifically in editing videos that had been
6	received.
7	It doesn't have to rise to the level of
8	whether or not those video editing functions were as an
9	extension or as a plug-in or wasn't something that had
10	to specifically be combined into the system, it was the
11	functionality and the capability for that specific type
12	of system.
13	The reality is that a person of skill in the
14	art would have understood that you could implement it in
15	a variety of different ways, and by 2012 video editing
16	capability existed in a wide array of tools.
17	MR. ROBINSON: I'm going to object to that

entire answer as non-responsive.

I disagree. MR. McDOLE:

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MR. ROBINSON: I don't know how I could have misread or misunderstood anything. I literally just read your words back to you from this sentence in 268.

Does it say that the plug-in software is Ο. installed in a user's browser?

> Objection. Asked and answered. MR. McDOLE:

1	THE WITNESS: That's part of what it says in
2	paragraph 68. It's not the extent of what that sentence
3	that you have partially read from says.
4	BY MR. ROBINSON:
5	Q. Just to be clear, that's paragraph 268,
6	correct?
7	A. It is.
8	Q. Okay. So if that plug-in software is
9	installed to a user's browser, any editing that that
10	software would provide is locally, correct?
11	A. In the instance or the place and where that
12	functionality was installed, that's where that
13	capability would be provided.
14	Q. I'm going to hand you what's been marked as
15	Twitter's Exhibit 1017.
16	Is that the document that's referred to in
17	your declarations as Tosh.0?
18	A. I believe it is.
19	Q. Does Tosh.0 describe the automated selection
20	of videos for inclusion in a television program?
21	A. Would you repeat the question.
22	Q. Does Tosh.0 describe the automated selection
23	of videos for inclusion in a television program?
24	A. I don't recall that it specifically describes
25	that concept That's not really why I'm relying on it

Did you just review Tosh.0? 1 Ο. 2. I did. Α. Does it describe the automated selection of 3 Ο. videos for inclusion in a television program? 4 5 MR. McDOLE: Objection. Asked and answered. 6 THE WITNESS: It's hard to say. I'd have to 7 go through and look at it again. BY MR. ROBINSON: 8 Ο. Take your time. 10 It's not really why I relied on the reference. 11 Whether it would support such a concept -- I mean I can 12 certainly try and reread it and do the analysis on the 13 fly for you. Do you want me to do that? 14 Ο. Yes, please. 15 I see where it describes what the process is, 16 it describes what some of the criterion are that are 17 used for what videos are selected, but it doesn't go 18 into the details as to what the process is exactly. 19 Ο. Do you see on page 3, the third full paragraph 2.0 in the left column where it says "The scouring of 21 YouTube takes two full-time researchers who spend most 22 of their time on-line searching for the worst and the 23 weirdest and the funniest clips"? 24 I do see that. Α. 25 Q. Doesn't that suggest that two full-time

researchers manually review videos on YouTube?

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- A. That's part of it. But I mean there are lots of different videos on-line. Whether or not they use an automated process to capture videos -- I mean, there's a set of criteria on page 2 -- short, good quality, legal to put on TV. It just doesn't go into the details about what the process exactly is, whether there's an automated portion of it. I mean ultimately it says what it says about what the process is.
- Q. The paragraph on page 3, though, I mean, suggests at the very least that two full-time researchers manually review these videos to find the worst and the weirdest and the funniest.

MR. McDOLE: Objection. Form.
BY MR. ROBINSON:

- Q. That part is manual, isn't it?
- A. Well, it doesn't say, does it? I mean it says that you have two full-time researchers who scour the internet. They can use automated tools to help determine popular videos and then pull those in and then watch them and add them to a database, as described in the next paragraph.

It just doesn't get into the details about how they do the scouring. It doesn't say you have two researchers who go to YouTube and just click randomly

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- through videos and determine whether or not they're appropriate, whether they have automated tools to help them or look for keywords or tags or quality checks before they even review them. You haven't really defined whether in order to be automated or automatic every single part of the process has to be automated or not. The best I can say is it says what it says.
- Q. Are you aware of any software that was available in May of 2012 that would have identified the worst or the weirdest or the funniest clips, both intentionally and unintentionally funny?
- A. Yeah, that's -- I don't have an encyclopedic knowledge of all software that existed, and as I testified to earlier, those aren't the only criterion that are used. Focusing even there, that's not to suggest that some part of the process might not be automated. Again, it doesn't say what the details of the process are. It's providing a context, and I mean as to what's required by the claim, I think it's a sufficient disclosure.
- Q. In fact, it doesn't even say that users submit their videos to Tosh.0, does it?
- A. Again, I think the reference says what it says. It doesn't say that they don't. If you're looking at YouTube, the videos could be submitted by

- 1 If you have automated tools that then look 2. through those videos, there's a variety of techniques 3 that can be brought to bear, and even the disclosure that's here is sufficient to tie it into the claims and 4 5 the limitations for which I've relied on it. 6 Q. Have you ever watched Tosh.0? 7 I might have at some point. Α. 8 O. As you sit here today, do you have any
 - Q. As you sit here today, do you have any specific recollection of having ever watched Tosh.0?
 - A. Not something specific. I'm sure I've watched it at some point. Again, I couldn't give you a catalog of every show that I have watched or video clip, especially from five or six years ago.
 - Q. Can you tell me what network Tosh.0 was on?
 - A. Comedy Central.

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- Q. Did you have to read the article to answer that question?
- A. I certainly looked at the article to confirm it. I'm not sure that it really matters whether I recall it or not, but that's fine.
- Q. You mentioned earlier that varying the resolution is one way to affect the file size of a digital video file. Is there a minimum resolution that's required for traditional television programming?
 - A. First of all, I don't think I characterized

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resolution as being specifically for files. I was careful to talk about it being something you would apply to streamed video as well.

As for a minimum resolution size, it depends again on what the test is, right? There's an understanding that TV in the U.S. is 525 scanned lines at almost 30 frames a second, and you can encode that with MPEG-1. That was often cited as kind of the one of the goals for MPEG-1, to be able to encode NTSC video. I think there's a corresponding resolution for it.

I wouldn't interpret or identify that resolution as being the minimum resolution. It mean, you can down sample, downsize, have thumbnail videos. I mean, it depends. I don't know that there's an authority on what it would have to be.

- Q. Do you know what the resolution is that you just mentioned in the NTSC?
- A. For NTSC video, I don't remember what it is. I don't remember.
 - Q. Have you ever worked at a television network?
- A. Not as an employee. I have collaborated with television networks.
- Q. Do you know whether persons of ordinary skill in the television arts would understand there to be a minimum resolution for traditional television

broadcasting?

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A. I'm not sure what level of skill you're attributing to that person.

Independent of that, you talk about a -- I'm not sure exactly how you phrased it, some sort of minimum quality level. For what objective? I'm just not sure what you're asking.

- Q. Tosh.0 explains that they will only consider high-definition videos for inclusion in the Tosh.0 television program. Do you know if that's consistent across traditional television programming in general?
- A. Sorry, where does it say that? I see on the second page the discussion of good quality in that last column.
- Q. Just after that, "repeating his preference for high-definition video."
- A. Okay, so that's a preference for high-definition video from Mr. Tosh.
 - Q. It's his show, isn't it?
- A. Look, I'm just saying it says it's his preference, and so I'm not sure how you get from that to anything else but a description of what his preference is.
- Q. Is there a resolution below which you would expect television networks to reject videos?

I don't have --1 Α. 2. MR. McDOLE: Objection. Form. THE WITNESS: -- really an understanding of 3 4 what that question is asking. What show, who is making 5 the decisions? BY MR. ROBINSON: 6 7 You have experience in multicasting television 8 programming, correct? Δ. T do. 9 10 Do you recall the resolution of standard-definition video that was broadcast through the 11 12 multicast system? 13 When you say "standard definition," I'm not 14 sure what you're referring to. I mean these standards allow a variety of resolutions. We used one in 15 16 particular when we did the encoding. I don't remember 17 what that resolution was. It might be in one of the 18 papers that I wrote. 19 Is that the only resolution that you used when 2.0 encoding television programming? 21 Α. Likely not. 22 If Nassiri's videos were, say, Ο. 23 600-pixel-by-800-pixel resolution, do you think that 24 would be sufficient for inclusion in Tosh.0? 25 Α. It's hard to say. I don't think you can

definitively say that it wouldn't, but as a hypothetical, it doesn't really provide guidance on whether or not there would have been a motivation to combine those references.

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Maybe this goes to your question earlier. It isn't that Nassiri is limited in one way and Tosh.0 is limited in another way such that those don't overlap such that that would lead a person skilled in the art not to consider those references as being related to each other or combinable.

- Q. If Nassiri's video were 200 pixels by 250 pixels, would that be too small for inclusion in Tosh.0?
- A. I think you'd have to ask Mr. Tosh. I suspect it would probably depend on other factors. Again, it doesn't say -- you're asking about a hypothetical system. I don't see in Nassiri where it's limiting it one way or another.
- Q. Is there no lower limit to the resolution at which a video would become so pixelated or unclear that you wouldn't put it on TV?

MR. McDOLE: Objection to form.

THE WITNESS: I suppose that would be up to the producers or developers of the TV show. Again, where you draw that threshold isn't relevant to the motivation to combine these references in the context of

- Kevin C. Almeroth, Ph.D. what they are actually teaching. 1 2. BY MR. ROBINSON: Doesn't Mr. Tosh's preference for 3 Ο. 4 high-definition video suggest that at some point the 5 resolution gets low enough that you wouldn't put it on 6 TV? 7 MR. McDOLE: Objection to form. 8 THE WITNESS: Look, I think to a person of 9 skill in the art looking at these references, such a 10 person would see the preferences described in the article and would understand the context of these 11 12 references for what they actually teach. 13 In 2012, there's a lot of different variations 14 in quality. There's nothing specific in these 15 references that create a barrier to combining them based 16 on what resolution or quality levels might be. 17 fundamental ideas of what they offer and what the 18 resulting combination would be is fully consistent with 19 the variations and quality levels that people of skill 2.0 in the art knew about at the time.
- 21 BY MR. ROBINSON:

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- Q. I'd like you to refer back to your declaration, paragraph 51, specifically.
- 24 A. Okay.
 - Q. A person of ordinary skill in the art, network

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architecture, website design and internet application design. What did that person know about producing traditional television programming?

A. So, for example, if you're looking at internet application design and you're looking at websites that are able to provide video, I was a good example of what that person would have understood, and this goes back even into the mid-'90s. We understood what NTSC was, what PAL was, the different display formats for videoconferencing, the challenges of doing TV kinds of services over the internet.

From a user perspective, it's fairly straightforward to watch the TV and see what you're able to see. It's a fairly passive experience. So understanding what you could do and what the challenges were in the mid-'90s to be able to put that kind of video onto the internet was the challenges that needed to be overcome.

In the intervening years I think people of skill in the art really only gained expertise in the different formats that would need to be encoded. The fact that the MPEG-1 standard mentions NTSC, that the MPEG-2 standard mentions HDTV, that there were attempts to draw parallels between the quality that was on TV and what could be provided over a network and displayed to a

user, and then extended through the particular two-way capabilities of the internet.

- Q. You referenced the NTSC standard again. Would resolution in that be the resolution -- I mean, if that was the standard, would that be the resolution needed for inclusion in traditional television programming?
- A. So NTSC is an analog format, so it talks about scan lines and frequency, and part of what you need to be able to do to convert that into an MPEG-1 video stream, you have to deal with the fact that TV is 60 hertz, interlaced, so it will come up with 30 frames per second, or 29.97, considering the vertical blanking interval. I mean those all were considerations for how you could encode NTSC video in a format like MPEG.

Whether or not you down-sampled it, whether you had a smaller resolution, the fact that you were going from analog to digital, you were still introducing noise. It's the same kind of consideration people who listen to records versus CDs like to claim sometimes that there's a difference in quality or fidelity, and so these concepts and what you could do with NTSC video and how you could use it in applications were all things that people were experimenting with in the mid-'90s and continued to build services on up through and including today, even.

Does the NTSC standard include a resolution 1 Ο. 2. for the digital video that you just mentioned? It's my recollection that it doesn't. NTSC is 3 Α. 4 not -- or does not contemplate eventually converting that signal into MPEG. I don't know if there was an 5 addendum or something that mentions MPEG, but MPEG came 6 7 later and was an attempt to build a standard that could 8 support that level of quality. Are you familiar with the resolution of Ο. standard-definition television? 10 11 Α. What standard are you talking about? 12 Ο. 480p, for example. 13 Generally, I am. Α. 14 Q. What is that resolution? 15 I don't remember exactly what the numbers are. Α. 16 Does 640-by-480 sound right? Q. 17 It could be, yeah. Α. 18 Any television formats you're aware of that O. 19 have a lower resolution than that? 20 MR. McDOLE: Objection to form. 21 It's kind of apples and oranges THE WITNESS: 22 since that's more of a digital resolution as opposed 23 to -- I'm not sure how you would translate that into a number of scan lines for an analog format, so --24 25 BY MR. ROBINSON:

Was digital TV not available in 2012? 1 Ο. 2. Α. I'm trying to get the negative correct in your question. It was available. 3 4 Ο. It was available? 5 Α. Yeah. Sorry, but I believe there were standards for 6 7 I'm not sure if available means you could buy a TV 8 and tune in for that particular date. I'd have to check. 9 10 Do you know whether digital video was ever encoded for broadcast on traditional television 11 12 networks? 13 I'm not sure what you're asking, what you mean 14 by traditional video or traditional broadcast. 15 Certainly the NST or the TV broadcast networks 16 that used NTSC, you could use a different encoding. 17 could do a digital encoding and still send over the same 18 airwaves. 19 Whether you consider that traditional 2.0 television, because you then need a decoder for that 21 particular encoded video, I'm not sure if that then 22 takes it out of the realm of traditional broadcast 23 television or not, according to your definition. 24 So you referenced analog television broadcasts Ο.

with a certain number of lines?

1 Α. Right. 2. Do you know whether digital files were ever Ο. converted into analog format and broadcast by television 3 4 networks? 5 Α. I'm not sure I understand the question. think you're asking if you had a digital file, like an 6 7 MPEG file, that that was ever converted back into an 8 analog signal and then sent over a TV network. 9 If that's the question you're asking, then I 10 did that. Part of this interactive multimedia jukebox 11 project in 1997, we used multicast to distribute Bugs 12 Bunny cartoons from TBS. They were encoded, I think it was H.261 for the video, sent over the internet and then 13 14 decoded and injected on the public access channel at 15 Georgia Tech. 16 What was the resolution of those digital 17 videos that were sent? 18 I don't remember. Α. 19 When they were broadcast over the public Ο. 20 access network at Georgia Tech, how many lines of 21 resolution did the analog signal include? 22 MR. McDOLE: Objection to form. 23 THE WITNESS: What was sent over the Georgia 24 Tech network was NTSC video, to my recollection.

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BY MR. ROBINSON:

Earlier you mentioned something called 1 Ο. 2. progressive download. 3 Α. Yes. 4 Ο. Is that the same as the chunking that you 5 described? It has similarities, but I don't think it's 6 Α. 7 the same. Progressive downloading can use chunks of 8 In some cases they are increasingly larger in size, sometimes called pyramid broadcasting, so 9 10 sometimes it's referred to in different ways. 11 How does progressive downloading work? Ο. 12 Α. Essentially, you can divide up a file into 13 chunks, but under the assumption that the bandwidth 14 available for transmission is greater than the bandwidth 15 of the consumed video, then you can start off with a 16 small file of data and then increase the size of the 17 files over time so that the user builds up a greater 18 buffer of data. 19 So the size of the files that an overall file 20 might be divided into for download might depend on the 21 bandwidth available for download? 22 Or it may be statically configured ahead of 23 time so not dependent on -- the assumption for that kind 24 of system to work is that the bandwidth available for

transmission is greater than the consumption rate of the

video so you have the ability to get ahead.

- Q. Okay. But chunking is, maybe, a little more primitive in that it doesn't require that assumption?
- A. It's hard to say. They can overlap in different ways. The way that we use chunking was, from my recollection, they were static-sized units and we just delivered those on an as-needed basis, one after the other.
- Q. When streaming a video, can the receiving system determine whether there are errors or corrupted data in the streamed video stream?
 - A. Absolutely.
 - O. How?

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A. A couple of different ways. There are protocols like RTP, the real-time transport protocol, that includes sequence numbers, so even if you're streaming the video on UDP, the use of RTP gives you sequence numbers so you can determine missed packets.

In some cases, for example, like with Youtoo, it uses TCP and so it already has a built-in sequence number mechanism.

And let me sort of back up. When this work first started -- and by "this work" I mean generally the idea of streaming video in the '90s -- it was all based on UDP and so you would use a protocol like RTP to

provide sequence numbers, audio-video synchronization, that kind of thing. That started in about 1997.

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As the internet evolved, at some point, certainly by 2010, if not earlier, companies like

YouTube and even Realnetworks -- that was t-u-b-e -were using TCP, because even though TCP didn't deliver
data to the application unless it was delivered in
order, so if a packet was lost it would stop and wait
for a retransmission, the speed of the internet was such
that you could deliver enough content, start the
play-out without much delay and build up enough buffer
that that kind of behavior in TCP didn't cause an
interruption in play-out.

In addition to TCP, RTP, things like errors are detected by protocol checks on UDP, TCP, IP, and most data link layer protocols.

- Q. Is there ever an instance where you're streaming a video and some packets get lost and the video just keeps on playing?
 - A. Absolutely.
- Q. So in those instances, are those error-prevention aspects not present or are they just waived in certain instances?
- A. Well, if you're using a system that's RTP on top of UDP, generally the encoders and decoders are

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designed to be robust to those kind of errors and so we'll get what is called a screen artifact or in the audio you might hear the audio cut out briefly. In some cases it might cause pixilation or blockiness. It happens.

If it's based on top of TCP, then TCP will re-request the delivery of that data and won't deliver data to the application layer unless it's in order and the next data that's expected so you don't see those kinds of problems in systems -- in streaming systems that use TCP.

So for example if you watch YouTube, if you have errors in the network or low bandwidth availability the stream will be interrupted, it won't continue and it won't have artifacts. If you're doing a UDP-based streaming system, then the video might cut out or something like that.

So it depends on the application from streaming TV kinds of programs versus videoconferencing, for example. You'll use different protocols, different support protocols like RTP or RTSP. I mean, those kind of techniques are pretty well understood or well understood in 2012.

Q. I think you mentioned a moment ago that Youtoo now uses TCP. When did that start?

1	A. I think it was very soon after Youtoo grew
2	into a sizable platform. I'd have to go back and check
3	whether how much of the commercial service ever used
4	UDP. I think pretty early on they switched to TCP. I'd
5	have to check dates to be sure.
6	Q. Are there other services of which you're aware
7	streaming videos to users that don't use TCP?
8	A. Sorry, what timeframe?
9	Q. 2012 timeframe.
10	A. So over time I think that the internet has
11	involved to principally using TCP.
12	There's also the problem that UDP is sometimes
13	associated with denial-of-service attacks and blocked by
14	firewalls. I know that tools like RealAudio and
15	RealVideo, which became services like Rhapsody,
16	originally were UDP based. They made the switch to TCP
17	at some point.
18	There's really just dozens and dozens of
19	services and I don't have them all memorized, if they
20	used UDP, when they switched to TCP.
21	Now, even on top of TCP there's HTTP
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Now, even on top of TCP there's HTTP streaming. So generally from the time I started working on streaming video in 1995 until now and even sort of stopping in 2011 and 2012, there's just a huge amount of streaming being done on the internet.

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Q. Using UDP and TCP?

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A. Yes. So, for example, there are some cable companies today that offer services that are multicast based. Multicast is exclusively on top of UDP, so you have digital video systems in cable companies.

In some cases you also have universities that deploy IPTV-based video distribution where multicast is used. It's almost exclusively on top of UDP. It was never designed to work on top of TCP.

- Q. Part of that sounded like you may have been talking about present-day systems still run on UDP. Did I hear that correctly?
- A. They are present-day systems. So for example AT&T U-verse runs on top of IP multicast.

When the 2012 -- I'd have to go back and check the dates but it's very possible U-verse was an IP multicast-based system.

The other example that I have is we were looking at doing IPTV-based services using multicast in campuses as part of when I worked in the Internet2 multicast working group, and I know -- I want to say that the University of Chicago or Northwestern -- maybe it was Northwestern that was deploying an IPTV-based video distribution network for their campus.

O. And what is IPTV?

A. IPTV is TV over IP.

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- Q. Does that imply UDP or is that just a generic?
- A. It can be either. So for particular services like U-verse or what Northwestern was doing, it was based off of multicast. In some cases you can do video on demand, so one-to-one video distribution that's called IPTV. That's what is called unicast, so point to point, and in most situations -- many situations -- at least some situations -- it's TCP based.

But if you're doing one-to-many distribution over a cable infrastructure or something like that, it would mostly be UDP based.

Q. You're not backing up and asking for missed packets if you're sending it out to everybody at the same time?

MR. McDOLE: Objection. Objection to form.

THE WITNESS: Those systems are a little bit more complicated. There was a product by Microsoft.

Maybe it was Media Center. I don't remember what it was. But the issue in using IP multicast in those kind of environments are responsiveness during channel change and so what they use is they use a kind of pre-fetch buffer. So when you switch to a channel, it very quickly sends you a burst of data that allows you to start watching the channel quickly and then you merge

Kevin C. Almeroth, Ph.D. 1 into the multicast channel. That initial channel is 2. sent using TCP, so it's kind of a complex system using a 3 combination of techniques, including some stream repair 4 aspects. 5 BY MR. ROBINSON: So the initial burst is TCP and then after 6 Ο. 7 that it switches to UDP? 8 Α. I think that's right. Where does the stream repair come into that? Ο. 10 Is that the TCP piece or the UDP piece? 11 Α. I don't remember for that system. The use of 12 repair packets for multicast streams was -- that's 13 pretty widely known as well as a technique for either 14 reliable delivery of data or specifically in instances 15 where you want to create files from streamed media. 16 How do repair packets work in streaming TV 17

multicasts like that?

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A couple of different ways. So for example Α. you can do satellite-based distribution where you make requests for missed data via a terrestrial channel. Those are aggregated into each other or aggregated together and a schedule of missed packets is rebroadcast.

In some cases, if the system is not that large, you can create a separate feedback channel to 1 request on an individual basis specific missed packets.

Those kinds of concepts were developed in, probably, the early 2000s.

O. And those are used in live TV?

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- A. It depends. It depends on how much of a delay is used. So if there's enough time to request -- to identify data as missed, request retransmission and receive and use that retransmitted data, then they can be used in live TV. In some cases you have the ability to take advantage of the seven-second delay in the distribution of audio and video from broadcast TV.
- Q. So you need a delay to be able to take advantage of that in live TV?
- A. Logically it follows that if you're trying to do live TV with minimal delay and you don't have the time to receive missed data, or packets, then the only benefit of a repair stream would be if you are attempting to record the stream. So therefore, for example, that is an application that was contemplated in the context of things like TiVo where you want to store streamed data. You can do something similar.
- Q. In a TV multicast system, what file format is being streamed?
- A. It's a little bit of a non sequitur. In some instances, the encoding is done in realtime, meaning

there isn't really a separate stored file, so like if you have a football game, you generally use MPEG-2 for just about most video distribution these days. This goes back to the point I made earlier. You have the kind of stream generation, transmission and then reception.

On the creation side, it can come from a file, it can come from a live feed, you can do real-time video encoding.

And then there's the transmission aspect which streamed, download, buffered, progressive, chunked. I mean, there's a handful of techniques you can use.

And then the reception can be written to a file, displayed in realtime, displayed with a delay, one or more of those options.

- Q. So MPEG-2 is used even today with streaming live TV, did I get that right?
 - A. You can, yes.

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- Q. And it actually is used, usually, for streaming live TV, is that what you said?
- A. There you asked about usually. Anecdotally, it has been used. Whether they have transitioned to other encoders, it would depend on the system. There's lots of use of streaming video today. Other encoding formats can certainly be used.

1	Q. I thought you said usually. When was the last
2	time that you knew MPEG-2 was being used to stream live
3	TV?
4	A. I don't know I could put a specific date on it
5	or a timeframe.
6	Q. After 2012?
7	A. Certainly possible.
8	Q. Is there a reason to use MPEG-2 instead of
9	MPEG-4?
10	A. MPEG-2 was developed earlier; MPEG-4 has
11	different capabilities. In some cases, it's just the
12	legacy hardware, whoever is doing the distribution
13	hasn't upgraded or changed or switched for whatever
14	reason.
15	Q. Are there other names for streaming?
16	A. Maybe. You can call it whatever name you
17	want. You can call it "foo," but you'd need some
18	context to say that "foo" is essentially what streaming
19	is or to call it streaming.
20	Q. Have you ever called it "foo" before just now?
21	A. I don't know. Probably not.
22	Q. In 2012 were there other names that POSITAs
23	used for streaming?
24	A. I haven't thought to try to answer that

I'd have to jar my

question. Maybe there were.

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     recollection with some reference or see some mention of
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     it.
 3
           Ο.
                But none that you can recall as you sit here
4
      today?
5
                Just off the top of my head, no. It's not a
      fair characterization of my opinion because I'd have to
6
7
     give it some thought before I could say one way or
8
     another.
9
           0.
                I don't think I characterized your opinion.
                                                              Ι
10
      think I just asked your opinion as we were sitting here.
11
          Α.
                Yeah.
12
                MR. ROBINSON: We have been going a little
13
     over an hour. Let's take a quick bathroom break and
14
     then I think I'm fairly close to wrapping up.
15
                (Recess:
                          2:07 p.m. - 2:15 p.m.)
16
     BY MR. ROBINSON:
17
                Turn to page 28 of your declaration, please.
           O.
18
      I'm sorry, 128 of your declaration.
19
           Α.
                Okay.
20
                So claim element 13.5, where it says
           O.
21
      "generating a user submission content file using the
22
     received user submission."
23
          Α.
                Yes.
24
                What does that mean to you in the context of
           Ο.
25
      this patent?
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1 Α. I'm not sure what you're asking. I mean, it 2. says you are generating a user-submission content file 3 using the received submission, so generating that file from what's received, from the received user submission. 4 That's consistent with the user submission not 5 O. yet being a file, isn't it? 6 7 No, not necessarily. I don't think that the 8 way that that limitation is worded implies that what has to have been received was not a file. 9 10 Well, if it was a file wouldn't it just say 11 saving the user-submitted content file? 12 Α. There might be other things that could be 13 included in what is created and what's generated. You 14 could put metadata in there, time stamps and so you can 15 generate a user-submission content file from what's 16 received. 17 Whether that includes or has the possibility 18 of adding information or translating it could be 19 possible. All it's saying is that you have to end up 2.0 with a user submission content file and you do so based 21 on having received the user submission. There isn't, in 22 this limitation, requirements for how it's done or what 23 steps have to be taken. 24 Where does the '997 patent describe the Ο.

possibilities that you just listed?

1 MR. McDOLE: Objection to form.

THE WITNESS: I don't know if it does or not.

I don't have it memorized. It might or might not. I

was really just describing examples of what could happen
in the context of meeting the limitation.

BY MR. ROBINSON:

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- Q. So the broadest reasonable interpretation is in light of the specification, correct?
 - A. It is.
- Q. Can you point to anything in this specification that supports your explanation of how you interpret that claim feature?

MR. McDOLE: Objection to form.

THE WITNESS: I haven't tried to look at the specification to see if there's support. I mean, the ordinary meaning of the words "generating," you have a user submission content file and that that has to be generated using the received user submission -- I mean, it doesn't seem like there's a lot of confusion over what that could possibly mean.

If anything, your question earlier suggesting that the user submission content file is generated from something that's not a file seems to be reading a limitation or a requirement into this limitation that's not present, so I'm just really using the ordinary

meaning of these words. And if you're suggesting there's something else, then that would seem to have a need for support in the specification as to just what the ordinary meaning of the words are.

BY MR. ROBINSON:

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Q. So I think all that adds up to no, you can't point to anything in the specification to support any of the possibilities you just described.

MR. McDOLE: Objection. Form.

THE WITNESS: I don't understand the question, then, because you asked me what it could include. I'm describing what a person of skill in the art could understand would also be included, other functionality or possibilities. I'm not trying to define the scope or limit of the claim. It's not -- I don't understand how I would need to find support for what else could be included as meeting this limitation in the specification itself, so I don't really understand what your question is asking.

BY MR. ROBINSON:

- Q. I'm going to hand you what has been marked Twitter Exhibit 1007 in the IPR of claims 20 through 35 of the '997 patent.
 - A. Okay, I have it.
 - Q. Is that your declaration from the '830 IPR?

A. It is.

Q. If you

- Q. If you could reference paragraphs 2 through 4, or review paragraphs 2 through 4, please.
 - A. Okay.
- Q. It says "complete listing of the materials you reviewed in preparing this declaration."
- A. It looks to be the same as what we discussed for the '829 patent. I'd have the same answer with respect to this list of materials as I did earlier for the '829 IPR.
- Q. So if you considered it, it's either listed here or cited within the text?
- A. Or some oversight or something like that, but it should be here.
- Q. Since you signed this declaration, have you discovered any oversights or things that were omitted?
- A. Not that I'm aware of as I sit here today. Actually, so Exhibit 1010 for both of these is that "HTML5 Mastery Semantics." The exhibit includes a portion of that book. I've certainly looked at the whole book, so just make sure that's clear.
 - Q. Anything else?
 - A. I think that's it.
- Q. Do streamed video -- streamed digital video files include a container?

- A. It depends. They might.
 - Q. Depends on what?

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- A. It depends on the system, how it does the streaming, what standard it's using.
- Q. Are there any standards you can think of that stream the video file within a container, or stream the video content within a container?
- A. I mean as I testified to earlier, the concept of a container can be fairly generic, so whether or not you can look at a streaming system and identify a container, whether it's the same kind of container that might be defined within a particular standard, I mean it would depend.
- Q. So setting aside whether the MPEG standard requires the dot MPG container, are you familiar with dot MPG containers as a possibility?
 - A. Generally speaking.
- Q. If an MPEG digital video file with video content is streamed, does the dot MPG container get streamed with the content?
- A. I would have to double-check the details. I think you could or couldn't. It depends on how you want to implement the streaming, what portions you want to be able to send. My recollection is that some of these standards include optional features to support more

robust streaming delivery, so it depends on the 1 2. implementation. I mean I think it's possible either way, from my recollection. I'd have to double-check the 3 4 standard to see what its recommendation is for how to do 5 stream, whether it's optional or required and just really the details of the standard. 6 7 So as we sit here today, it sounds like you're 8 not sure either way. 9 MR. McDOLE: Objection. Form. 10 THE WITNESS: Not sure either way what? 11 BY MR. ROBINSON: 12 Ο. Whether the dot MPG file can be streamed with 13 its container? 14 Α. As I sit here now, I don't recall whether or 15 not there's a requirement in the standard one way or 16 another, so it boils down to the implementation and 17 whether it's possible that you could do it both ways. 18 So what I'm uncertain about is, and what I don't recall 19 is whether or not there's anything in the standard that 20 would prevent you or would require you to send a 21 container and define exactly what that means. That part 22 I don't have memorized. I'd have to double-check. 23 Ο. Can you say whether one way or the other is

Objection.

Form.

MR. McDOLE:

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more common?

1	THE WITNESS: Sitting here now, I don't
2	believe so. It's not a question I tried to answer in
3	the context of the declaration. I'm not even sure how
4	you what information, how you would gather the
5	information to do some kind of survey to say one way or
6	the other.
7	BY MR. ROBINSON:
8	Q. Can you say whether one way or the other was
9	more common in 2012?
10	MR. McDOLE: Objection. Form.
11	THE WITNESS: I think it's the same answer.
12	I'm not sure what the test for more common would be,
13	what systems are within the denominator to consider,
14	whether it's volume or prevalence. I mean, it just
15	depends. But I mean it's not something I tried to
16	undertake to identify in my declaration. I don't think
17	it has an impact on what my conclusions are.
18	MR. ROBINSON: Pass the witness.
19	MR. McDOLE: We have no questions. We'll
20	review and reserve signature.
21	COURT REPORTER: Where shall I send the
22	original? Would you like me to arrange for review and
23	signature?
24	MR. ROBINSON: Why don't you send it to us and
25	we'll work with him to get it done. And we all want a

1	rough.
2	COURT REPORTER: Yes, but everybody is getting
3	a rough, both sides.
4	MR. McDOLE: Yes, rough.
5	COURT REPORTER: And you want the final rather
6	quickly, by Thursday, I think?
7	MR. ROBINSON: Yes, and the rough tonight or
8	tomorrow.
9	COURT REPORTER: Yes, by tomorrow morning.
10	(The deposition concluded at 2:29 p.m.)
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1	DEPONENT'S DECLARATION
2	
3	I, KEVIN C. ALMEROTH Ph.D., hereby declare:
4	I have read the foregoing deposition
5	transcript and identify it as my own and approve same.
6	I declare under penalty of perjury under the
7	laws of the State of California that the foregoing
8	testimony is true and correct.
9	
10	Dated this day of,
11	2018, at, California.
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14	
15	KEVIN C. ALMEROTH Ph.D.
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1 STATE OF CALIFORNIA 2 COUNTY OF LOS ANGELES SS. 3 4 I, Mark McClure, C.S.R. No. 12203, in 5 and for the State of California, do hereby certify: 6 That prior to being examined, the witness named 7 in the foregoing deposition was by me duly sworn to 8 testify to the truth, the whole truth, and nothing but the 9 truth; 10 That said deposition was taken down by me in 11 shorthand at the time and place therein named and 12 thereafter reduced to typewriting under my direction, and 13 the same is a true, correct, and complete transcript of 14 said proceedings; 15 That if the foregoing pertains to the original 16 transcript of a deposition in a Federal Case, before 17 completion of the proceedings, review of the transcript 18 { } was { } was not required. 19 I further certify that I am not interested in the 20 event of the action. Witness my hand this ____ day of June 21 22 2018 . 23 Certified Shorthand Reporter 24 25 for the State of California