NEIL SHEEHAN

One Southgate Street Atherton, CA 94027 Tel: (650) 363-0913 Fax: (650) 363-0923 E-mail: neiljs@aol.com

Education:

Villanova University, Villanova, PA: BS Mechanical Engineering, 1968 Summa Cum Laude Newspaper Editor-in-Chief 1993 Alumni Professional Achievement Award

Harvard University, Cambridge, MA: Graduate School, 1968-1969 Engineering and Applied Physics National Science Foundation Fellow

University of California, Berkeley, CA: Pre-Med Coursework, 1974-1975 Organic Chemistry and Biology

Experience:

1993-2017 **Consulting Engineer and Expert Witness** (1995-2017) Independent consulting engineer specializing in medical products design and development with a focus on medium and high volume disposable devices and components. (Expert witness information is available upon request.) Engineering clients, in alphabetical order, have included:

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Edwards Lifesciences v. Boston Scientific Scimed U.S. Patent No. 6,915,560

EconoMEDics Inc. (Palo Alto, CA)

Eunoe, Inc. (Pleasanton, CA)

Fallbrook Engineering (Valley Center, CA)

FemmeSource Health (Pasadena, CA)

Fogarty Engineering (Portola Valley, CA)

Heartstream (Seattle, WA)

Hexcel (Pleasanton, CA)

HiLife Therapeutics (So. San Francisco, CA)

Inviro Medical Devices (Vancouver, BC)

IPT, Inc. (Palo Alto, CA)

Katecho, Inc. (Des Moines, IA)

Keimar, Inc. (Mountain View, CA)

KippGroup (Ontario, CA)

Lucent Medical (Bellevue, WA)

Mallinckrodt / Nellcor (Pleasanton, CA)

Mayfield Fund (Menlo Park, CA) Medical devices for emerging markets.

Implantable Alzheimer's Disease devices.

Various proprietary medical devices.

Various gynecological diagnostic devices.

Proprietary catheter devices.

Multi-function defibrillator electrodes.

Proprietary materials-based device.

Lens enhancements for patient communications systems.

Innovative safety syringes.

Umbilical cord blood sampler/clamp.

Multi-function defibrillator electrodes and other proprietary devices.

Proprietary in vivo measurement of blood parameters.

Proprietary IV-related device.

Endoscopic tool containment systems.

Pulse oximetry sensors.

Medical technology evaluations.

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McGaw, Inc. (Irvine, CA)	Cannula-based needle-less injection sites for IV administration.
Medasonics (Fremont, CA)	Transcranial ultrasonic (Doppler) probe mounting systems.
MedicalCue (Mountain View, CA)	Proprietary universal newborn screening technology.
Medisystems (Seattle, WA)	Hemodialysis bloodline components.
Medtronic CardioRhythm (San Jose, CA)	Cardiac ablation catheter systems.
Minimus Surgical (Menlo Park, CA)	Proprietary obesity surgery devices. (Founder)
Natus Medical (San Carlos, CA)	Advanced ear couplers and electrodes for newborn hearing screener.
Nexan (Cambridge, UK)	Proprietary multi-function electrode systems.
Pneumation (Evergreen, CO)	CPAP (Continuous Positive Airway Pressure) system for sleep apnea.
R&D Medical (Lake Forest, CA)	Various high-volume disposables including complex electrode systems.
Rhaphis Medical (Sunnyvale, CA)	Semi-automatic surgical suturing device for the third world.
Starion (Palo Alto, CA)	Proprietary electrosurgery device.
Target Therapeutics (Fremont, CA)	Packaging and delivery system for cranial catheter/coil occlusion device.
Vigilo Networks (Cupertino, CA)	Remote sensing of physiological parameters via disposable patch.
Woodside Biomedical (Carlsbad, CA)	Proprietary nerve stimulation electrode designs (disposable and reusable).
Woolsthorpe LLC	Proprietary pulse oximetry applications.

Woolsthorpe LLC (Brentwood, TN)

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Yono Labs (Mountain View, CA) Devices for sensing and tracking earbased ovulation parameters.

- 1989-1992 **Natus Medical Inc.**, Foster City, CA Vice President, Engineering and Manufacturing Medical electronics and disposables for neonates. *Start-up. Created and managed engineering, manufacturing, documentation, quality assurance, materials, clinical testing, customer and technical service. Established comprehensive vendor network. Thirty-five employees.*
- 1987-1989 **Nellcor Incorporated**, Hayward, CA Manager, Disposables Engineering Pulse oximetry and capnography. Design, management of small staff, clinical research, marketing aids, national sales meeting presenter.
- 1986-1992 **Medisystems Corporation**, San Francisco, CA Consultant Hemodialysis blood tubing sets and related components. *Individual contributor.*
- 1986-1987 **Critikon, Inc.**, San Jose, CA Senior Mechanical Engineer Intravenous delivery systems and sets. *Coordination of San Jose, Tampa and Japan Medical Supply.*
- 1985-1986 **Raychem Corp. / Menlo Care Inc.**, Menlo Park, CA Consultant Intravenous catheters and systems. *Individual contributor.*
- 1984-1985 American Hospital Supply Corp., Emeryville, CA Senior Project Engineer Liquid handling systems, especially pipettors. Individual contributor, liaison during transfer to American Dade.
- 1982-1983 Liquid Bracelet Company, Berkeley, CA Sole Proprietor Liquid-filled (IV tubing) fashion jewelry (\$250,000 in sales). Design, manufacturing, marketing, sales and public relations.
- 1975-1982 **Cutter Laboratories**, Berkeley, CA Product Development Engineer Intravenous delivery systems and components. *Individual contributor, liaison to other plants.*

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1969-1973 **Magnetic Head Corporation**, Hauppauge, NY Design Engineering Supervisor Magnetic tape heads for computer peripherals. *Created department, documentation and MRB systems.*

1965-1967 **Tangent Machine and Tool Corp.**, Farmingdale, NY Summers Tool Designer/Drafter and Machine Operator

Product designs, inventions and patents (partial list):

Consultant

Innovative manufacturing methods and devices for athletes (<u>Rosecroft</u>) *Extrusion, materials, adhesives, design configurations* **Patent No. 9,009,869 (April 21, 2015)**

Innovative percutaneous surgical devices and methods (<u>Minimus</u>) Inflatable anchors, obesity applications, organ wall implantation Patent No. 8,070,673 (December 6, 2011)

Innovative percutaneous surgical devices and methods (<u>Minimus</u>) *Tissue modification, obesity applications, anchor systems* **Patent No. 7,931,580 (April 26, 2011)**

Innovative percutaneous surgical devices and methods (<u>Minimus</u>) Device implantation, obesity applications, fastener systems Patent No. 7,255,675 (August 14, 2007)

Innovative safety syringes and accessories (<u>Inviro</u>) Injection molding, assembly techniques, differential resistances. Patent No. 6,394,979 (May 28, 2002) Patent No. 6,616,632 (September 9, 2003)

Chest-mounted, multi-function electrode systems (<u>Nexan</u>) *Rotary die cutting, hydrogels, adhesives, assembly techniques.* **Patent No. D 425,203 (May 16, 2000) Patent No. 6,385,473 (May 7, 2002)**

Packaging and delivery system for catheter/coil occlusion device (<u>Target</u>) Injection molding, porous plastic, assembly techniques. Patent No. 5,669,931 (September 23, 1997) Canadian Patent No. 2173023C (August 28, 2001)

Multi-function defibrillation electrode systems (<u>Heartstream</u>) (*Proprietary Information*)

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Advanced ear coupler and electrode designs (<u>Natus</u>) Injection molding, die cutting, acoustic shaping, universal disposable. Patent No. 5,826,582 (October 27, 1998) Patent No. 5,913,309 (June 22, 1999) Patent No. 6,386,314 (May 14, 2002)

Transcranial Doppler mounting systems (<u>Medasonics</u>) Silicone rubber, nitrile PVC molding, disposable and re-usable. Patent No. 5,390,675 (February 21, 1995)

Tubing clamps, needle guards and protectors, CAPD components, chambers, filters, tubing, injection sites, tamper-evident luer cap and injection site, connector systems, etc. for hemodialysis bloodlines (Medisystems)
Injection molding, blow molding, assembly techniques, stereo lithography.
Japanese Patent No. 9122230A2 (May 13, 1997)
Patent No. 5,772,624 (June 30, 1998)
Patent No. 6,165,149 (December 26, 2000)
Patent No. 6,620,119 (September 16, 2003)
Patent No. 6,666,839 (December 23, 2003)

Natus Medical

Neonatal breath sampling system

Multi-lumen profile extrusion, activated carbon filters, OEM modifications. Patent No. 5,357,971 (October 25, 1994) Patent No. D 354,808 (January 24, 1995) Patent No. 5,404,885 (April 11, 1995) European Patent No. 0 648 088 B1 (August 16, 2001) European Patent No. 1 062 909 B1 (October 29, 2003)

Super Jelly Button® electrode and other electrode designs Special purpose modifications to off-the-shelf products, hydrogels.

- MiniMuffs[™] neonatal noise attenuators for NICU babies Laminated foam, vacuum forming, acoustics, sequential vendor assembly. Patent No. 5,243,709 (September 14, 1993)
- ALGO-PAK[™] infant hearing screening supplies package User-flexible, non-kit disposables pack, less packaging, more options.
- DRI-PREP[™] prepping pads for electrode site preparation *Triple-laminated rotary die cutting, abrasives.*
- Ear Couplers® disposable ear phones for infant hearing screener PVC foam, steel rule die cutting, hydrogel attachment technique.

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Nellcor

Airway adapter family for multi-function (oximetry and capnography) monitor Injection molding, filters (incl. hollow fiber), assembly techniques. Patent No. 5,101,817 (April 7, 1992) with Correction

Dual-path sample tube assembly for multi-function monitor Silicone rubber molding, solvent shrink sleeves, assembly techniques.

Medisystems

Guarded winged needle assemblies

Multiple designs, injection molding, assembly techniques. Patent No. 5,112,311 (May 12, 1992) Patent No. 5,266,072 (November 30, 1993) Patent No. 5,433,703 (July 18, 1995) European Patent No. 0 436 646 B1 (August 31, 1994) European Patent No. 0 558 162 B1 (March 6, 1996)

Assorted injection sites, tubing clamps, chamber components and filters, etc. *Ultrasonics, injection molding.*

Critikon

Complete (gravity, controller and pump) line of IV sets Clean room assembly, packaging, sterilization, off-shore sourcing.

Menlo Care

Adjustable catheter assemblies

Extrusion, injection molding, materials. Patent No. 4,728,322 (March 1, 1988) Canadian Patent No. 1273540A1 (September 4, 1990) Patent No. 4,955,863 (September 11, 1990) European Patent No. 0 233 055 B1 (July 3, 1991)

American Hospital Supply

Multi-volume air displacement pipette

Seals, precision grinding, assembly techniques, injection molding. Patent No. 4,679,446 (July 14, 1987)

Sole Proprietorship

The Liquid Bracelet[™] fashion jewelry

Consumer item, assembly techniques, fragrance, million pieces sold.

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The Original Halley's Comet Ball™ novelty toy Consumer item, assembly techniques, packaging, millions sold.

Cutter Laboratories

Injection site for fluids / UltraSite[™] #2 Ultrasonic welding, assembly techniques, automatic assembly. Patent No. 4,416,661 (November 22, 1983)

Swage-molded injection site / UltraSite #1 Ultrasonic swaging, injection molding, universally adopted design. Patent No. 4,294,249 (October 13,1981)

Injection device / injection bulb Compression molding, sealing techniques, swabbing techniques. Patent No. 4,252,117 (February 24, 1981)

Caged disc valve for volumetric chamber Compression molding, injection molding, ultrasonic assembly. Canadian Patent No. 1157722A1 (November 29, 1983)

Magnetic Head Corporation

Method of manufacturing magnetic heads Casting, precision machining, assembly techniques. Patent No. 3,678,576 (July 25, 1972)

Professional Affiliation:

Society of Plastics Engineers (Senior Member)

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EXHIBIT A

Neil Sheehan "EXHIBIT A"

I have testified as an expert at trial and/or hearing in the past four years in the following cases:

Date:	2016-17 Case: Project:	Williams Kherkher Zylon and Zamore v. Medtronic et al. Supreme Court of the State of New York, County of New York Index No. 650523/2008 Retained by plaintiff in trade secret matter. Technology included zero-fold
	110,000.	angioplasty balloons.
Date:	2016 Case:	Arnold & Porter / Lewis Roca Rothgerber Christie DaVita v. Baxter District Court, Denver County, State of Colorado
	Project:	Case No. 2015CV032714 Retained by plaintiff in supply contract matter. Technology included peritoneal dialysis sets.
Date:	2014-15 Case:	Agility IP Law / Arnold & Porter Angioscore v. TriReme et al. US District Court, Northern District of California, Oakland Division Case No. 4:12-cv-3393-YGR
	Project:	Retained by defendant in patent infringement matter. Technology included specialty balloon angioplasty devices.
Date:	2014-15 Case:	Akin Gump Strauss Hauer & Feld Vital Needs International v. Kinetic Concepts, Inc. AAA Arbitration, San Antonio, TX / Case No. 70 122 Y 00575 13
	Project:	Retained by defendant in patent infringement matter arbitration. Technology included negative pressure wound therapy devices.
Date:	2013-14 Case:	Ferguson Case Orr Paterson Surgical Solutions v. Thomas Weisel et al. AAA Arbitration, Los Angeles, CA / Case No. 72 166 00868 12 ANRO
	Project:	Retained by defendants in trade secrets matter arbitration. Technology included articulating surgical devices.
Date:	2013-14 Case:	Fish & Richardson ResMed v. BMC
	Project:	USITC, Washington, DC / Investigation No. 337-TA-890 Retained by plaintiff in United States International Trade Commission patent infringement matter. Technology included CPAP devices.
Date:	2013-14 Case:	Knobbe Martens Olson & Bear Applied Medical Resources v. Tyco/Covidien (Gaya) US District Court for the Central District of California, Western Division Case Nos. SACV 11-01406 JVS (ANx) Consolidated with SACV 12-00024 JVS (ANx) and SACV 14-00087 JVS (ANx)
	Project:	Retained by plaintiff in patent infringement matter. Technology included surgical trocar devices.

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Date:	2011-13 Case:	Locke Lord Bissell & Liddell RTI v. Becton Dickinson
		US District Court for the Eastern District of Texas, Marshall Division Case No. 2:08-CV-16
	Project:	Retained by plaintiff in anti-trust matter. Technology included safety syringes.

I have provided <u>declaration, report and/or deposition</u> testimony as an expert in the past <u>four</u> years in the following cases:

Date:	2016- Case: Project:	Arnold & Porter TriReme v. AngioScore US District Court for the Northern District of California, San Francisco Div. Case No. 3:14-cv-02946-LB Retained by plaintiff in patent inventorship matter. Technology includes specialty balloon angioplasty devices.
Date:	2016- Case: Project:	Williams Kherkher Zylon and Zamore v. Medtronic et al. Supreme Court of the State of New York, County of New York Index No. 650523/2008 Retained by plaintiff in trade secret matter. Technology included zero-fold
		angioplasty balloons.
Date:	2016- Case:	Knobbe Martens Olson & Bear Boston Scientific Scimed v. Edwards Lifesciences US Patent and Trademark Office, Patent Trial and Appeal Board
	Project:	Case No. TBD (<i>Inter Partes</i> Review) Retained by defendant in patent infringement matter. Technology includes angioplasty stent crimpers.
Date:	2016 Case:	Arnold & Porter / Lewis Roca Rothgerber Christie DaVita v. Baxter District Court, Denver County, State of Colorado Case No. 2015CV032714
	Project:	Retained by plaintiff in supply contract matter. Technology included peritoneal dialysis sets.
Date:	2014-16 Case:	Perkins Coie Fontem Holdings v. Numerous Defendants US District Court, Central District of California Case No. CV14-1645 GW (MRWx) and Related Consolidated Cases
	Project:	Retained by plaintiff in patent infringement matter. Technology included electronic cigarettes.
Date:	2014-16 Case:	McAndrews, Held & Malloy Orthophoenix v. Stryker et al. US Patent and Trademark Office, Patent Trial and Appeal Board Case Nos. IPR2014-01535 and IPR2014-01519
	Project:	Retained by defendant in patent infringement matter. Technology included kyphoplasty/balloon devices and methods.

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Date:	2014-15 Case: Project:	Akin Gump Strauss Hauer & Feld Vital Needs International v. Kinetic Concepts, Inc. AAA Arbitration, San Antonio, TX / Case No. 70 122 Y 00575 13 Retained by defendant in patent infringement matter arbitration. Technology included negative pressure wound therapy devices.
Date:	2014-15 Case:	Agility IP Law Angioscore v. TriReme et al. US District Court, Northern District of California, Oakland Division Case No. 4:12-cv-3393-YGR
	Project:	Retained by defendant in patent infringement matter. Technology included specialty balloon angioplasty devices.
Date:	2014-15 Case:	McAndrews, Held & Malloy Scholle v. Rapak (DS Smith) US District Court, Northern District of Illinois, Eastern Division Case No. 1:13-CV-03976
	Project:	Retained by defendant in patent infringement matter. Technology included self-sealing bag-in-box connection systems.
Date:	2014-15 Case:	Perkins Coie CB Distributors and DR Distributors v. Fontem Holdings US Patent and Trademark Office, Patent Trial and Appeal Board Case No. IPR2013-00387
	Project:	Retained by Patent Owner in Inter Partes Review (IPR). Technology included electronic cigarette devices.
Date:	2014-15 Case:	Sidley Austin Medtronic MiniMed v. Animas Corp. US District Court, Central District of California, Western Division Case No. 2:12-cv-04471-RSWL-RZ
	Project:	Retained by defendant in patent infringement matter. Technology included insulin pump delivery systems.
Date:	2013-14 Case:	McDonnell Boehnen Hulbert & Berghoff Ivera v. Hospira et al. US District Court, Southern District of California (San Diego) Case Nos.: 11-cv-1115-H-RBB, 11-cv-1246-H-RBB, 12-cv-954-H-RBB,
	Project:	12-cv-1581-H-RBB, 12-cv-1582-H-RBB and 12-cv-1587-H-RBB Retained by defendant in patent infringement matter. Technology included cleaning devices for medical implements.
Date:	2013-14 Case:	Fish & Richardson ResMed v. BMC
	Project:	USITC, Washington, DC / Investigation No. 337-TA-890 Retained by plaintiff in United States International Trade Commission patent infringement matter. Technology included CPAP devices.
Date:	2013-14 Case: Project:	Ferguson Case Orr Paterson Surgical Solutions v. Thomas Weisel et al. AAA Arbitration, Los Angeles, CA / Case No. 72 166 00868 12 ANRO Retained by defendants in trade secrets matter arbitration. Technology
		included articulating surgical devices.

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2013 Case:	Knobbe Martens Olson & Bear Applied Medical Resources v. Tyco/Covidien (Gaya)
	US District Court for the Central District of California, Western Division
	Case Nos. SACV 11-01406 JVS (ANx) Consolidated with
	SACV 12-00024 JVS (ANx) and SACV 14-00087 JVS (ANx)
Project:	Retained by plaintiff in patent infringement matter. Technology included surgical trocar devices.
	Case:

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