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Style Definition

Technical Specification

**3rd Generation Partnership Project;
Technical Specification Group Services and System Aspects;
Overall ~~High Level Functionality~~high level functionality and
~~Architecture Impacts~~architecture impacts of ~~Flow Based~~
~~Charging~~flow based charging;
Stage 2
(Release 6)**



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Keywords

UMTS, ~~<keyword>~~, ~~<keyword>~~, charging, stage 2,
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Foreword

This Technical Specification has been produced by the 3rd Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

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- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
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1 Scope

The present document specifies the overall high level functionality and architecture impacts of Flow Based Charging.

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. —In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document in the same Release as the present document.

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[1]

3GPP TR 41.001: "GSM Release specifications".

[2]

3GPP TS 21.905: "Vocabulary for 3GPP Specifications".

[3]

3GPP TS 32.200: "Charging Principles".

[4]

3GPP TS 23.228: "IP Multimedia (IM) Subsystem - Stage 2".

[5]

3GPP TS 23.002: "Network architecture".

[6]

3GPP TS 23.060: "General Packet Radio Service (GPRS); Service description; Stage 2".

[7]

3GPP TS 32.225: "Telecommunication management; Charging management; Charging data description for the IP Multimedia Subsystem (IMS)".

[8]

3GPP TS 23.078: "Customised Applications for Mobile network Enhanced Logic (CAMEL); Stage 2".

[9]

DIAMETER Credit Control, draft-ietf-aaa-diameter-cc-03.txt, work in progress

Editor's note: The above document cannot be formally referenced until it is published as an RFC.

[10]

3GPP TS 23.234: "3GPP system to Wireless Local Area Network (WLAN) Interworking"

[11]

3GPP TR 33.919: "Generic Authentication Architecture (GAA)"

[12]

3GPP TS 23.207: "End-to-end Quality of Service (QoS) concept and architecture"

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3 Definitions, symbols and abbreviations

3.1 Definitions

For the purposes of the present document, the terms and definitions given in TS 21.905 [2] and in TS 32.225 [7] and the following apply:

Editor's note: terms shown in <angle brackets> are provisional.

Charging key: information used by the online and offline charging system for rating purposes.

Charging rule: a set of information including the service data flow filters, and the charging key, for a single service data flow (further details can be found in 5.2).

Dynamic charging rule: Charging rule where some of the data within the charging rule (e.g. service data flow filter information) is assigned via real-time analysis, which may use dynamic application derived criteria.

Packet flow: a specific user data flow carried through the Traffic Plane Function. A packet flow can be an IP flow.

Predefined charging rule: Static charging rule which is defined in the Traffic Plane Function. A predefined charging rule is either applicable for all users or dynamically activated per user.

Service data flow: aggregate set of packet flows.

In the case of GPRS, it shall be possible that a service data flow is more granular than a PDP context.

Service Data Flow Filter: a set of filter parameters used to identify one or more of the packet flows constituting a service data flow. At least the following means for the packet flow identification shall be supported: source and destination IP address+port, transport protocol, or application protocol.

~~**Charging rule:** a set of information comprising the service data flow filters, charging key, and the associated charging actions, for a single service data flow (further details can be found in 4.3).~~

~~**Charging key:** information used by the online and offline charging system for rating purposes.~~

~~**Dynamic charging rules:** Charging rules where some of the data within the charging rule (e.g. service data flow filter information) is assigned via real time analysis which may use dynamic application derived criteria.~~

Static charging rule: Charging rule where all of the data within the charging rule describing the service data flow is permanently configured throughout the duration of a user's data session. ~~Static~~ A static charging rule that is predefined may be activated dynamically.

~~**Predefined charging rules:** Static charging rules which are defined in the Traffic Plane Function.~~

TPF/CRF instance: A dialogue between TPF and CRF, with a unique instance identifier per user and IP network connection to identify each established dialogue.

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3.2 Symbols

For the purposes of the present document, the following symbols apply:

3.3 Abbreviations

For the purposes of the present document, the following abbreviations apply:

AF	Application Function
CCF	Charging Collection Function
CDR	Charging Data Records
CGF	Charging Gateway Function
CRF	Charging Rules Function
CSCF	Call Session Control Function
GCID	GPRS FBC Flow Based Charging ID
FTP	File Transfer Protocol
G-CDR	GGSN generated CDR
GGSN	Gateway GPRS Support Node
GPRS	General Packet Radio Service
gsmSCF	GSM Service Control Function
HPLMN	Home PLMN
HTTP	Hypertext Transfer Protocol

ICID	IMS Charging Identifier
I-CSCF	Interrogating CSCF
IM	IP Multimedia
IMS	IP Multimedia Core Network Subsystem
IMSI	International Mobile Subscriber Identity
OCS	Online Charging System
P-CSCF	Proxy-CSCF
PDGw PDG	Packet Data Gateway
PLMN	Public Land Mobile Network
QoS	Quality of Service
SAI	Service Area Identity
S-CDR	SGSN generated CDR
S-CSCF	Serving-CSCF
SBLP	Service Based Local Policy
SDF	Service Data Flow
SGSN	Serving GPRS Support Node
SIP	Session Initiation Protocol
TPF	Traffic Plane Function
UE	User Equipment
WAP	Wireless Application Protocol
WLAN	Wireless LAN

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4 General Requirements

4.1 General

The current level of traffic differentiation and traffic-type awareness of the GPRS architecture shall be extended beyond APN and PDP Context level. It shall be possible to apply differentiated charging for the traffic flows belonging to different services (a.k.a. different service data flows) even if they use the same PDP Context.—

In addition, it shall be possible to apply differentiated charging for the traffic flows belonging to different services carried by other IP Connectivity Access Networks (IP-CANs).

Charging and tariffing models described in this Technical Specification shall be possible to be applied to both prepaid and postpaid subscribers, i.e. to both online and offline charging.

Online and offline are not the same as prepaid and postpaid (see TS 32.225 [7]). For example it is worth highlighting that the operator can have postpaid subscribers on credit control by using on-line charging mechanisms.

The GPRS online charging solutions up to release 5 are built around CAMEL mechanisms that provide online access- and charging-control for GPRS - pertaining to PDP Contexts of an APN.—

The flow based charging architecture developed in this Technical Specification shall use generic native IP charging mechanisms to the extent possible in order to enable the reuse of the same charging solution and infrastructure for different type of IP-Connectivity Networks.—

Note:— Providing differentiated service data flow based charging is a different function from providing differentiated traffic treatment on the IP-flow level. The operation of service data flow based charging shall not mandate the operation of service based local policy.

In addition charging based on specific application services or protocols shall be supported.

4.2 Backwards compatibility

The capabilities of the enhanced architecture introduced with flow based charging shall be backwards compatible with the release 5 charging capabilities. These new functions shall be compatible and coherent with the authentication, authorization, PDP context management, roaming and other functions provided by the release 5 architecture.

It shall be possible to collect data volumes per PDP context for use in billing and operational management systems.—

~~Flow based charging is assumed to provide complete coverage of all traffic, but it shall be possible to derive collect statistics on data volumes, which are were not subject to service data flow based charging. The~~

~~In case of GPRS the data volumes may be charged according to the GPRS offline charging mechanisms.~~

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~~In case of GPRS, when service data flow based online charging is applied in the GGSN, other GPRS online charging procedures need not be applied to packets counted by FBC.~~

4.3 Charging models

4.3.1 General

When developing the charging solutions, the following charging models should be considered, even though the full solution to support the models may not be within the scope of this TS.

Shared revenue services shall be supported. In this case settlement for all parties shall be supported, including the third parties that may have been involved providing the services.

The charging solution shall allow various charging models such as:

- Volume based charging;
- Time based charging;

~~Editors: Volume and time based charging;~~

- No charging.

~~Editor's note:—Additional charging models that are event and service based require further investigation.~~

It shall be possible to apply different rates when a user is identified to be roaming from when the user is in the home network.

It shall be possible to restrict special rates to a specific service, e.g. allow the user to download a certain volume of data from one service for free, but this allowed volume is not transferable to other services. It shall be possible also to apply special rates based on the time of day.

It shall be possible to enforce per-service usage limits for a service data flow using online charging on a per user basis (may apply to pre-paid and postpaid users).

~~It shall be possible for online charging systems to check the amount of data used over some time period. The online charging systems can provide both volume credit and time indication.~~

~~In the case of online charging, and where information is available to enable service data flow packets to be associated with a specific PDP context, it shall be possible to perform rating and allocate credit depending on the characteristics of the bearer resources allocated initially (in the GPRS case, the QoS of the PDP context).~~

The flow based bearer level charging can support dynamic selection of charging to apply. A number of different inputs can be used in the decision to identify the specific charging to apply. For example, a service data flow may be charged with different rates depending on what QoS is applicable. The charging rate may thus be modified when a bearer is created or removed, to change the QoS provided for a service data flow.

The charging rate or charging model applicable to a service data flow may also be changed as a result of events in the service (e.g. insertion of a paid advertisement within a user requested media stream). The charging model applicable to a service data flow may also change as a result of events identified by the OCS (e.g. after having spent a certain amount, the user gets to use some services for free). The charging rate or charging model applicable to a service data flow may also be changed as a result of having used the service data flow for a certain amount of time and/or volume.

In the case of online charging, it shall be possible to apply an online charging action upon TPF events (e.g. re-authorization upon QoS change).

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It shall be possible to indicate to the TPF that interactions with the charging systems are not required for a charging rule, i.e. to perform neither accounting nor credit control for this service data flow.

4.3.2 Examples of Service Data Flow Charging

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There are many different services that may be used within a network, including both user-user and user-network services. Service data flows from these services may be identified and charged in many different ways. A number of examples of configuring charging rules for different service data flows are described below.

A network server provides an FTP service. The FTP server supports both the active (separate ports for control and data) and passive modes of operation. A charging rule is configured for the service data flows associated with the FTP server for the user. The charging rule uses a filter specification for the uplink that identifies packets sent to port 20 or 21 of the IP address of the server, and the origination information is wildcarded. In the downlink direction, the filter specification identifies packets sent from port 20 or 21 of the IP address of the server.—

A network server provides a “web” service. A charging rule is configured for the service data flows associated with the HTTP server for the user. The charging rule uses a filter specification for the uplink that identifies packets sent to port 80 of the IP address of the server, and the origination information is wildcarded. In the downlink direction, the filter specification identifies packets sent from port 80 of the IP address of the server.

The same server also provides a WAP service. The server has multiple IP addresses, and the IP address of the WAP server is different from the IP address of the web server. The charging rule uses the same filter specification as for the web server, except the IP address is different.

An operator offers a zero rating for network provided DNS service. A charging rule is established setting all DNS traffic to/from the operators DNS servers as offline charged. The data flow filter identifies the DNS port number, and the source/destination address within the subnet range allocated to the operators network nodes.

An operator has a specific charging rate for user-user VoIP traffic over the IMS. A charging rule is established for this service data flow. The filter information to identify the specific service data flow for the user-user traffic is provided by the P-CSCF.

An operator is implementing UICC based authentication mechanisms for HTTP based services utilizing the GAA Framework as defined in TR 33.919 [11] by e.g. using the Authentication Proxy. The Authentication Proxy may appear as an AF and provide information to the CRF for the purpose of selecting an appropriate Charging Rule.

5 Flow Based Charging Concepts

5.1 Overview

~~Editor's note: This clause is planned to contain the relevant descriptions of the overall function for the flow based charging.~~

The following functions are provided by the network for service data flow based charging. This applies to both online and offline charging unless otherwise specified:

- Identification of the service data flows that need to be charged individually (e.g. at different rates);
- Provision and control of charging rules on service data flow level;
- Reporting of service data flow level byte counts; (for volume based charging) and service data flow durations (for time based charging);
- Event indication according to on-line charging procedures (e.g. sending AAA Accounting Stop) and, optionally, following this particular event, taking appropriate actions on service data flow(s) according to the termination action.
- Event indication and event monitoring by the TPF and following this particular event, taking the appropriate on-line charging actions.

5.2 Charging rules

Charging rules contain information that allow for filtering of traffic to identify the packets belonging to a particular service data flow, and allow for defining how the service data flow is to be charged. The following apply to charging rules:

- The charging rules for bearer charging are defined by the operator.
- These charging rules are made available to the Traffic Plane function for both offline and online charging.
- Multiple charging rules are supported simultaneously per user.
- Filtering information within a charging rule is applied through filtering functionality at the Traffic Plane Function to identify the packets belonging to a particular service data flow.
- Charging rules with dynamically provisioned filtering information (i.e. made available to the Traffic Plane Function) are supported in order to cover IP service scenarios where the filtering information is dynamically negotiated (e.g. negotiated on the application level (e.g. IMS)).

- Pre-defined charging rules stored in the TPF are supported. The charging rule identifiers of the pre-defined charging rules shall be different from the charging rule identifiers allocated by the CRF.

- Elements of charging rules may be statically configured at the Traffic Plane Function, or dynamically provisioned.

Note-i:- The mechanism to support use of elements statically pre-defined in the TPF (e.g. filter information) is for stage 3 development.

Note-ii:- The stage 3 development may also evaluate providing an optimisation to support dynamic provisioning of an entire charging rule pre-defined in the TPF.

- Pre-defined filters that are part of the pre-defined charging rules may support extended capabilities, including enhanced capabilities to identify packets associated with application protocols.

- There may be overlap between the service data flow filter information of charging rules that are applicable. Overlap can occur between:

- multiple pre-defined charging rules in the TPF;

- multiple charging rules from the CRF;

- charging rules pre-defined in the TPF and rules from the Service Data Flow Based Charging Rules Function, which can overlay the pre-defined rules in the TPF.

The precedence identified with each charging rule shall resolve all overlap between the charging rules. When overlap occurs between a dynamically allocated charging rule and a pre-defined charging rule at the TPF, and they both share the same precedence, then the dynamically allocated charging rule shall be used.

Note: It's operators' responsibility to ensure that overlap between the pre-defined charging rules can be resolved based on precedence of each pre-defined charging rule in the TPF. It's CRF's responsibility to ensure that overlap between the dynamically allocated charging rules can be resolved based on precedence of each dynamically allocated charging rule.

- Charging rules contain information on:

- How a particular service data flow is to be charged: online~~^~~offline or neither;
- In case of offline charging whether to record volume- or time-based charging information or both;
- Charging key;
- Service data flow filter(s);

~~—Precedence.~~

- Precedence (used at the TPF to determine the order in which charging rules shall be applied to a service data flow);
- Charging rule identifier (used between CRF and TPF for referencing charging rules);
- Application Function Record Information.
- Event triggers and/or CCF/OCS addresses are associated with all charging rules for a user and IP network connection.
- The charging rule identifiers allocated by the CRF shall be unique for a CRF/TPF instance.
- The Application Function Record information (e.g. ICID and flow ID(s)) is included in the charging rule, and in subsequently generated charging information generated as a result of the rule, if it is provided by an Application Function and the rule filters are based on the Application Function provided information. It should be noted that, in order to associate a single Application Function Record with specific counts/credits, it is necessary that new counts/credits be generated for the user by the TPF each time the AF generates new Application Function Record information.
- Once the charging rule is determined it is applied to the service data flow at the Traffic Plane Function and packets are counted and categorised per the rule set in the charging rule.
- Separate charging rules can be provided for downlink and uplink.
- Charging rules can be configured for both user initiated and network initiated flows.
- Charging rules can change and be overridden, e.g. for a previously established PDP context in the GPRS case, based on specific events (e.g. IM domain events or GPRS domain events, credit control events).
- Different charging rules can be applied for different users ~~or groups of users.~~
- The same charging rule can be applied for multiple users.
- Different charging rules can be applied based on the location of the user (e.g. based on identity of the roamed to network).
- Charging rule assignment can occur at bearer service establishment, modification and termination. For GPRS, charging rule assignment can occur at PDP context ~~establishment and activation,~~ modification ~~and deactivation.~~
- For GPRS, the charging rules can be dependent on the APN used.

5.3 Service data flow filters and counting

This section refers to the filtering that identifies the service data flows that need to be charged individually (e.g. at different rates). Basic example: look for packets of one service, e.g. to and from a server A.

- Separate filtering and counting can be applied for downlink and uplink. The service data flow filters are specified separately for the uplink and downlink direction.

Note: A charging rule may provide information for a service data flow for one direction, or for both directions.

- Different granularity for service data flow filters identifying the service data flow is possible e.g.
- Filters based on the IP 5 tuple (source IP address, destination IP address, source port number, destination port number, protocol ID of the protocol above IP). Port numbers and protocol ID may be wildcarded. IP addresses may be wildcarded or masked by a prefix mask.
- Special filters which look further into the packet, or require other complex operation (e.g. maintaining state) may be pre-defined in the TPF and invoked by the CRF using standardised means. Such filters may be used to support filtering with respect to a service data flow based on the transport and application protocols used above IP. This shall be possible for HTTP and WAP. This includes the ability to differentiate between TCP, Wireless-TCP according to WAP 2.0, WDP, etc, in addition to differentiation at the application level. Filtering for further application protocols and services may also be supported.

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- In the case of GPRS, the traffic plane function supports simultaneous independent filtering on service data flows associated with all, and each individual active PDP contexts; that is, primary and secondary PDP contexts, of one APN.
- In case of no applicable filters for a service data flow, an operator configurable default charging should be applied. The default charging may use accounting information provided by FBC, or may use accounting information provided by other charging mechanisms available for the IP Connectivity Access Network (e.g. existing GPRS charging mechanisms); the TPF shall discard the packets for this service data flow. To avoid the TPF automatically discarding packets due to no applicable charging rules, the operator may define generic charging rules (with wild-carded packet filters) to allow for default charging for the packets that don't match any other charging rule.
- The service data flow filters and counting are applied by the TPF (the GGSN in the case of GPRS).

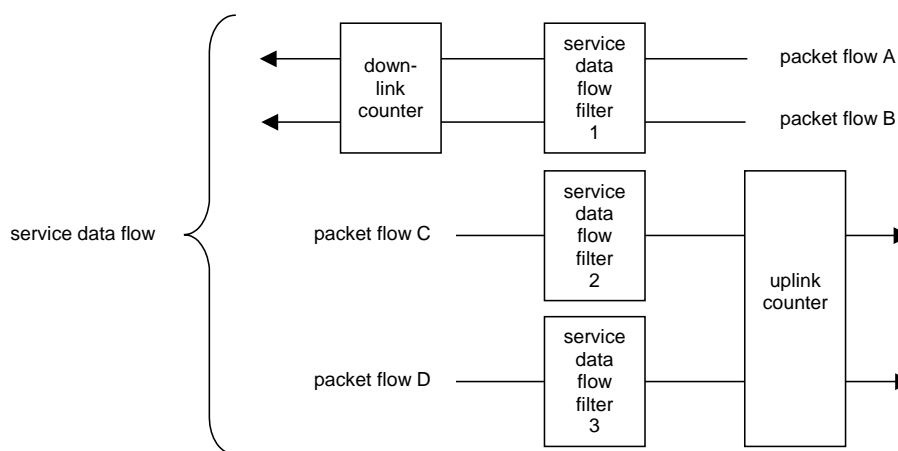


Figure 5.1 – Relationship of service data flow, packet flow and service data flow filter

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5.4 Reporting

This refers to the differentiated charging information being reported to the charging functions. Basic example: those 20 packets were in rating category A, include this in your global charging information.

- The Traffic Plane function shall report bearer charging information for online charging;
- The Traffic Plane function shall report bearer charging information for offline charging;
- Charging information is reported based on the application of the bearer charging rules in the TPF (service data flow related charging information), and in the case of GPRS, as specified in [3] (per PDP context);
- The Traffic Plane function shall report triggered Events of an existing charging rule for both offline and on-line charging;
- The Traffic Plane function shall report triggered re-authorisation of existing charging rules for on-line charging;
- It shall be possible to report charging information showing usage for each user for each charging rule, e.g. a report may contain multiple containers, each container associated with a charging key;
- It shall be possible to associate per PDP context charging information with the corresponding service data flow based charging information. It shall be possible to derive or account the data volumes per PDP context for traffic not accounted via any applicable charging rule.

For example, in the case of GPRS, output of FBC data per charging rule on a per PDP context basis would allow non-FBC charged data volumes to be determined, and existing ~~GPRS per PDP context~~ charging mechanisms in the GGSN to be applied.

~~Editor's Note: How online GPRS charging can be supported for packets not accounted by FBC is FFS.~~

5.5 Credit management

In case of online charging, it shall be possible for the OCS to apply re-authorisation of credit in case of particular events ~~e.g. credit authorisation lifetime expiry, idle timeout, GPRS events such as SGSN change, QoS changes as described in section 5.7.~~

In case of online charging, credit can be pooled for multiple (one or more) charging rules applied at the Traffic Plane Function. A pool of credit— applying to a single charging rule is equivalent to an individual credit limit for that charging rule. Multiple pools of credit shall be allowed per user.

Rating decisions shall be strictly controlled by the OCS for each service. The OCS shall also control the credit pooling decision for charging rules. The OCS shall either provide a new pool of credit, together with a new ~~credit limit~~ credit limit, or a reference to a pool of credit that already exists at the TPF.

The grouping of charging rules into pools in this way shall not restrict the ability of the OCS to do credit authorisation and provide termination action individually for each charging rule of the pool.

Note:— 'credit' as used here does not imply actual monetary credit, but an abstract measure of resources available to the user. The relationship between this abstract measure, actual money, and actual network resources or data transfer, is controlled by the OCS.

It shall be possible for the OCS to group service data flows charged at different rates or in different units (e.g. time/volume) ~~into the same pool.~~

~~Editors note: Any impact of this requirement in relation to operation of the Gy needs to be investigated.~~

5.6 Termination Action

The Termination Action applies only in case of online charging. The termination action indicates the action, which the Traffic Plane Function should perform when ~~the online charging system indicates~~ the credit for the service data flow has expired.

The defined termination actions include:

- ~~- Allowing the packets corresponding to a terminated service data flow to pass through;~~
- Dropping the packets corresponding to a terminated service data flow as they pass through the Traffic Plane Function;
- ~~- A termination action may indicate~~ Indicating to the TPF that the default termination behaviour shall be used;
- ~~- The re-directing of packets corresponding to a terminated service data flow to an application server (e.g., defined in the termination action).~~

Note:— such a re-direction may cause an application protocol specific asynchronous close event and application protocol specific procedures may be required in the UE and/or Application Function in order to recover, e.g., as specified in RFC 2616 for HTTP.

~~Default:~~ The default termination behaviour for all terminated service data flows without a specific Termination Action shall be pre-configured in the TPF according to operator's policy. For instance, ~~at the~~ default behaviour may consist of allowing packets of ~~the corresponding any terminated~~ service data flow to pass through the TPF.

~~The OCS may provide the termination action~~ Termination Action over the Gy interface. Any previously provided Termination Action may be overwritten by the OCS.

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Note: A Termination Action remains valid and shall be applied by the TPF until the corresponding charging rule is removed or the user and IP network connection is removed (for GPRS when the last PDP context is removed).

In case the OCS intends to provide Termination Action, it shall send it to the TPF before the credit for the service data flow is exhausted; otherwise pre-configured default termination behaviour will be performed.

The Termination Action may trigger other procedures, e.g. the deactivation of a PDP context or the termination of a WLAN session.

5.7 Re-authorisation and Event Triggers

Re-authorisation applies to online charging. For each charging rule, the TPF receives re-authorisation trigger information from the OCS which determines when the TPF should perform a re-authorisation. The re-authorisation trigger detection will cause the TPF to request re-authorisation of the credit in the OCS. It shall be possible for the OCS to apply re-authorisation of credit in case of particular events, e.g. credit authorisation lifetime expiry, idle timeout, charging rule is changed, GPRS events such as SGSN change, QoS changes, RAT type change.

Event triggers apply to both offline and online charging. The event triggers are provided by the CRF to the TPF using Provision Charging Rule procedure. Event triggers are associated with all charging rules for a user and an IP network connection. Event triggers determine when the TPF shall signal to the CRF that a bearer has been modified or a specific event has been detected.

Event triggers include GPRS events such as SGSN change, QoS change, RAT type change, TFT change.

Event triggers apply after initial bearer establishment.

Bearer modifications which do not match an event trigger shall cause no action at the TPF.

5.8 Policy functions provided by FBC architecture

5.8.1 General

Service Based Local Policy (SBLP) specified in 3GPP TS 23.207[12] provides policy control functions for PS traffic. Some of these policy control functions are also provided by the FBC architecture to a certain extent, as described in the following sub-clauses. Note that there is no intention to duplicate the same SBLP functionalities with FBC, instead an overall description is given how FBC may provide policy like functions.

5.8.2 Charging correlation

SBLP provides means to correlate bearer charging and application level charging by passing Charging Identifiers on the Go interface.

The FBC architecture passes the charging key applicable for the AF media flow to the OCS/CCF which is the input to the rating logic. Hence, AF media flows will be rated accordingly, but this provides no direct charging correlation between an AF session and the IP-CAN bearer its media flows use.

FBC provides the capability for charging correlation through the usage of Application Function Record information.

5.8.3 Gating

The Gating functionality of SBLP provides the ability to control blocking or allowing packets of a service flow to pass through. FBC achieves this functionality by discarding the packets for the service data flow in case of there is no other applicable filter available in the TPF for this service data flow.

For peer-to-peer traffic, special rates may apply. The gate could therefore be either closed for this traffic before the applicable filters are available, or the gate could be opened with a more generic charging rule which does not allow for this special rate to apply yet.

The AF controls the point of time where Rx input is given to the CRF which then sends this information down to the TPF, allowing for the filters for this peer-to-peer traffic to form a new charging rule. This allows the AF and CRF to control whether flows can pass through a particular bearer (PDP Context in case of GPRS):

- If the bearer has a charging rule installed that matches the flow, the flow is allowed to pass through on the bearer;
- If the bearer does not have a charging rule installed that matches the flow, the flow is not allowed to pass through on the bearer. If none of the bearers have a charging rule installed matching the flow, the flow is not allowed to pass through on any of the bearers.

5.8.4 QoS control

The QoS control functionality of SBLP provides control and authorization of the QoS parameters of the bearer that carries the service flow.

FBC provides means to control what bearer a service flow is allowed to be carried on. This implicitly allows the CRF to control what type of bearer (to the extent of QoS parameters) a service flow is allowed to use. A charging rule may apply to one or more particular bearer(s) (to a particular PDP Context in case of GPRS). Hence, the QoS the service flow is allowed to use is restricted to the QoS of a particular bearer(s).

5.8.5 Bearer events

SBLP provides means for the Policy Enforcement Function to indicate certain bearer events (e.g. sudden loss of bearer connection) to the Application Function via the Go and Gq interfaces.

In the FBC architecture charging rules are downloaded to the TPF upon bearer events, see clause 7.2 for details. A charging rule either only applies to that particular bearer, or may apply to two or more bearers of a UE IP address:

- In case a charging rule for an AF service flow applies to a particular bearer, it is possible for the CRF to inform the AF about events related to that bearer. Hence, it is possible for the AF to initiate AF session actions accordingly.
- In case a charging rule for an AF service flow applies to more than one bearer of a UE IP address, the CRF informs the AF when all these bearers of a UE IP address have been removed. Hence, when a Charging Rule for a particular service is allowed for multiple bearers, the AF is not aware of the removal of individual bearers.

5.8.6 Session events

SBLP provides means to enforce bearer release upon certain AF session events (e.g. session hold or release).

The FBC architecture provides means to disable the service flows of the AF session upon AF session events (e.g. session hold or release). This is achieved by the AF providing new Rx input to the CRF which then removes the charging rules of the service flows of the AF session from the TPF. Hence, traffic of the service flow will be blocked in case there is no other applicable filter available in the TPF for this service data flow i.e. the CRF has not allowed this traffic to pass through the network.

6 Architectural ~~Concepts~~concepts

6.1 Architecture

~~Editor's note: This clause is planned to contain the relevant part of the architecture impacted by IP flow level based charging.~~

6.1.1 Online service data flow based bearer charging architecture

Figure 6.1 below presents the overall architecture for service data flow based online bearer charging.

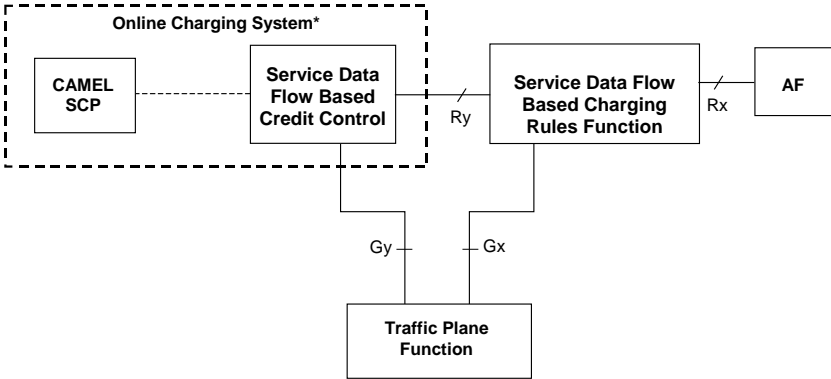


Figure 6.1—: Overall architecture for service data flow based online bearer charging

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Note(*):— The detailed functional entities of the Online Charging System are not shown in this figure. The details of the OCS are specified in [3].
The CAMEL-SCP depicted on the figure above performs the functions as defined in [8].—

6.1.2 Offline service data flow based bearer charging architecture

Figure 6.2 below presents the overall architecture for service data flow based offline bearer charging.

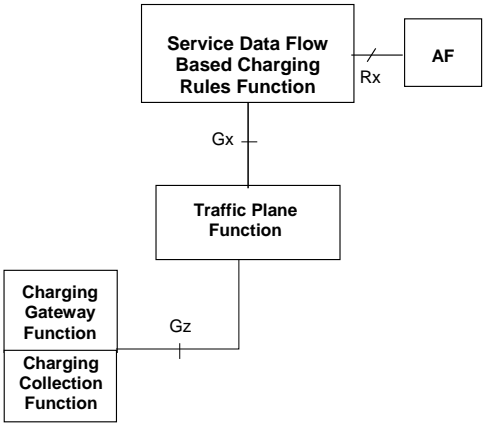


Figure 6.2—: Overall architecture for service data flow based offline bearer charging

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Note:— The CCF depicted on the figure above performs the functions as defined in [3].

6.2 Functional Entities

6.2.1 Service Data Flow Based Charging Rules Function

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The Service Data Flow Based Charging Rules Function provides service data flow level charging rules. This functionality is required for both offline and online charging. The Service Data Flow Based Charging Rules Function accesses information stored in the service data flow based charging rules data repository. An external interface to the charging rules data repository may be used for management of the charging rules within the data repository. Specification of interfaces to the data repository is out of scope of this TS.—

The service data flow based charging rules function supports both static and dynamic charging rules.—

The service data flow based charging rules function determines what charging rules (including precedence) to apply for a user. The applicable charging rules are determined based on information available to the CRF including that received from the Traffic Plane Function, i.e. information about the user, the bearer characteristics and whether it is an initial request or not. When a further request for charging rules from the Traffic Plane Function or information from an AF arrives the service data flow based charging rules function shall be able to identify whether new charging rules need to be transferred to the Traffic Plane Function and respond accordingly.

The service data flow based charging rules function will receive information from the application function that allows a service data flow to be identified, and this information may be used within the charging rule (i.e. protocol, IP addresses and port numbers). Other information that is received by the service data flow based charging rules function (i.e. application identifier, type of stream) may be used in order to select the charging rule to be applied.—

For a specific AF, the CRF shall apply the AF input to the charging rule completion and selection to all charging rules of the user.

A CRF node may serve multiple TPFs.

6.2.2 Service Data Flow Based Credit Control Function

The Service Data Flow Based Credit Control Function performs online credit control functions together with the Online Charging System. It provides a new function within the Online Charging System.

The Online Charging System is specified in 3GPP TS 32.200 [3]. The Service Data Flow Based Credit Control Function is considered as a new functional entity for release 6 within the Online Charging System.

The OCS can interact with the CRF, by using the Ry interface. This allows the OCS to provide input to the CRF for charging rules selection.

There may be several CCFs and/or OCSs in a PLMN. To allow for this case, CCF and/or OCS addresses (i.e. the primary address and secondary address) may be passed once per user and IP network connection from the CRF to the TPF. Alternatively this information may be locally pre-configured within the TPF. The addresses provided by the CRF have higher priority than the pre-configured ones.

6.2.3 Charging Collection Function

The Charging Collection Function is specified in 3GPP TS 32.200 [3].

6.2.4 Traffic Plane Function

The Traffic Plane Function shall be capable of differentiating user data traffic belonging to different service data flows for the purpose of collecting offline charging data and performing online credit control.—

The Traffic Plane Function shall support pre-defined charging rules, and pre-defined filters. See subclause 5.3 for further filtering and counting requirements.

In the case of online charging, the Traffic Plane Function shall not allow traffic unless network resource usage has been granted by the OCS.

For online charging, the Traffic Plane Function shall be capable of managing a pool of credit used for some or all of the service data flows of a user. The Traffic Plane Function shall also be capable of managing the credit of each individual service data flow of the user.

A TPF may be served by one or more CRF nodes. The appropriate CRF is contacted based on UE identity information.—

~~Editor's note:—~~ The specific identity information used to identify the appropriate CRF is FFS.

For GPRS, it shall be possible to provide flow based charging functions for different service data flows even if they are carried in the same PDP Context. For GPRS, the traffic Plane Function is a logical function allocated to the GGSN.—

Editor's Note:— The effects of this co-location to the interfaces still needs to be studied e.g. Gy, Gz, Gi. Gi radius extensions for charging purposes are not precluded.

For GPRS, the TPF/GGSN shall be able to do separate counts per PDP context for a single service data flow if it is transferred on more than one PDP context.—

~~Editor's note: How this can be achieved is FFS.—~~

For each PDP context, the TPF shall accept information during bearer establishment and modification relating to:

- The user and terminal (e.g. MSISDN, IMEISV)
- Bearer characteristics (e.g. QoS negotiated, APN, IM CN Subsystem signaling flag)
- Network related information (e.g. MCC and MNC)

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The TPF may use this information in the OCS request/reporting or request for charging rules.

For each PDP context, there shall be a separate OCS request/CCF reporting, so this allows the OCS and offline charging system to apply different rating depending on the PDP context.

The Traffic Plane Function shall identify packets that are charged according to service data flow based charging. The Traffic Plane Function shall report the data volume(s) charged according to service data flow based charging. In case of GPRS, the Traffic Plane Function shall report the service data flow based charging data for each charging rule on a per PDP context basis.

At initial bearer establishment the Traffic Plane Function shall request charging rules applicable for this bearer from the charging rules function. As part of the request, the Traffic Plane Function provides the relevant information to the charging rules function. The Traffic Plane Function shall use the charging rules received in the response from the charging rules function. In addition, the Traffic Plane Function shall use any applicable pre-defined static charging rules. Pre-defined charging rules may apply for all users or may be dynamically activated by the CRF for a specific bearer of a single user.

If the bearer is modified by changing the bearer characteristics ~~relevant for the selection of~~ TPF shall first use the event triggers to determine whether to request the charging rules, ~~the Traffic Plane Function shall request charging rules for the new bearer characteristics from the charging rules function. Afterwards, the TPF shall use the re-authorisation triggers in order to determine whether to require re-authorisation for the charging rules that were either unaffected or modified.~~

If the Traffic Plane Function receives an unsolicited update of the charging rules from the charging rules function, the new charging rules shall be used.

If another bearer is established by the same user (e.g. for GPRS a secondary PDP context), the same procedures shall be applied by the Traffic Plane Function as described for the initial bearer. For a bearer (e.g. in GPRS, a secondary PDP context), the TPF shall only apply the charging rules that are activated/associated with this bearer. Hence a charging rule is installed, modified and removed on a per PDP context basis. If multiple PDP contexts are active for a UE the CRF may decide that a charging rule is to be activated/associated with more than one PDP context.

The Traffic Plane Function shall evaluate received packets against the service data flow filters in the order according to the precedence for the charging rules. When a packet is matched against a SDF filter, the packet matching process for that packet is complete, and the charging rule for that SDF filter shall be applied. If there is no match against any SDF filter the packet shall be discarded.

6.2.5 Application Function

The Application Function provides information to the service data flow based charging rules function, which can then be used for selecting the appropriate charging rule, and also used for configuring some of the parameters for the charging rule. The operator configures the charging rules in the service data flow based charging rules function, and decides what data from the application function shall be used in the charging rule selection algorithm.

An AF may communicate with multiple CRFs. The AF contacts the appropriate CRF for a user at any time based on UE identity information.

~~Editor's note:—~~ The specific identity information used to identify the appropriate CRF is FFS.

The Application Function shall provide information to allow the service data flow to be identified. The Application Function shall also provide some other information that may be used in the charging rule selection process.

The information provided by the application function is as follows:

- ~~—~~Information to identify the service data flow: refer to subclause 5.3.—
The application function may use wildcards to identify an aggregate set of IP flows.
- ~~-~~ Optional Application Function Record information that would be included in charging data generated by the AF and by the TPF and could be used to associate the records for post processing.
- ~~-~~ Information to support charging rule selection:
 - ~~-~~ Application identifier;
 - ~~-~~ Application event identifier;
 - ~~-~~ Type of Stream (e.g. audio, video) (optional);
 - ~~-~~ Data rate of stream (optional);

~~Editor's Note: Additional—~~ User information is FFS (such as user identity).

The “Application Identifier” is an identifier associated with each service that an AF provides for an operator (e.g. a packet streaming service application function would have one application identifier for the service).

The “Application event identifier” is an identifier within an Application identifier. It is used to notify the Service Data Flow Based Charging Rules Function of such a change within a service session that affects the charging rules, e.g. triggers the generation of a new charging rule.

6.2.6— Relationship between functional entities

The AF and the CRF need not exist within the same operator’s network. ~~—~~The Rx interface may be intra- or inter-domain and shall support the relevant protection mechanisms for an inter-operator or third party interface.—

~~Editor's note:—~~ It is for further study how charging rules from a home network can be supported when the TPF is in the visited network (e.g. CRF in home network communicating via CRF in visited network, CRF in home network communicating to TPF in visited network).

6.3 Reference points

6.3.1 Gx reference point

The Gx reference point enables the use of service data flow based charging rules such as counting number of packets belonging to a rate category in the IP-Connectivity Network. This functionality is required for both offline and online charging.

Note:— The reuse of existing protocols over the Gi reference point for Gx shall be evaluated in stage 3.

The Gx reference point supports the following functions:

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1. Initialisation and maintenance of connection
2. Request for Charging Rules (from TPF to CRF)
3. Provision of Charging Rules (from CRF to TPF)
4. Indication of Bearer Service Termination (from TPF to CRF)

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6.3.1.1 Initialisation and Maintenance of Connection

A single connection shall be established between each interworking CRF and TPF pair. The connection can be direct, or established via a relay/proxy node. A connection may be redirected to an alternate ~~node-CRF~~.

At a failover, commands which have not been successfully received shall be queued to ~~the an~~ alternate ~~peer~~CRF.

Only CRFs responsible for the same UE identity information may be selected as alternate CRF.

The detail specification of the connection establishment and maintenance is for specification in stage 3.

6.3.1.2 Request for Charging Rules (from TPF to CRF)

The TPF requests the charging rules to be applied:

- At a bearer service establishment (PDP context establishment for GPRS) or,
- At bearer service modification (PDP context ~~establishment~~ modification for GPRS), the TPF requests the charging rules to be applied, if the Event trigger is met, or
- At bearer service termination (PDP context deactivation for GPRS).

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The request must identify whether it is an initial request (primary context establishment for GPRS), or a subsequent request (i.e. for GPRS, a secondary PDP context establishment, or a PDP context modification). For an initial request for GPRS, the request shall include APN, PDP address information, and at least one of IMSI or MSISDN. ~~Other relevant network and terminal information should also be included.~~

~~Editor's Note: Where the relevant network and terminal information is defined is FFS (either in this TS or 32.xyz).~~

An identifier is required to allow the specific instance in the TPF/CRF to be identified for subsequent data exchange. The identifier for the communication must be provided.

The request must provide further information used for the charging rule selection. The request shall include an identifier for the bearer, the QoS information, and flow identifier information allocated to the bearer. For GPRS, this information would include the traffic class, IM CN Subsystem Signalling Flag (if present in the downlink), and the TFT.

~~Where the charging rule selection data for a bearer is modified, the TPF sends the request to the CRF indicating it is for a bearer modification, and providing the modified data.~~

6.3.1.3 Provision of Charging Rules (from CRF to TPF)

The CRF identifies the charging rules that are applicable to the TPF. The CRF then sends the charging rule information to the TPF ~~to be installed.~~

Note: The stage 3 development shall support provisioning cases where:

—charging rule information represents the set of charging rules ~~are~~ to be installed in the TPF by the TPF, which can be one or a combination of the following:

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- —charging rules ~~are to be removed in the TPF;~~
- —charging rules are to be installed and removed in the TPF;
- —identifiers for pre-defined charging rules ~~are neither installed nor removed in the TPF (only relevant in the response to a request for,~~

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- ~~a single identifier for a set of pre-defined charging rules).~~

The provisioning may be a response to a Request for Charging Rules, or it may be unsolicited.

~~The Provision of Charging Rule shall support cases where charging rule provision includes rules are to be installed, removed or modified in the TPF as well as cases where charging rules are neither installed nor removed nor modified in the TPF (only relevant in the response to a request for charging rules).~~

~~The Provision of Charging Rules shall include information about the instance it relates to (i.e. identifier for the relevant TPF/CRF/TPF instance), charging mechanism (online/offline), volume or time based charging indication, in addition, the Provision of Charging Rules may include charging key, service data flow filter(s), rules and precedence, the associated action indications (install, modify, remove).~~

~~The service data flow filters are specified separately for the uplink and downlink direction.~~

~~Note: A charging rule may provide information for service data flows for one direction, or for both directions.~~

6.3.1.4 Indication of Bearer Termination (from TPF to CRF)

The TPF indicates to the CRF that a bearer is terminated. –

The bearer termination indication includes information to identify the instance it relates to (i.e. an identifier for the relevant ~~TPF/CRF/TPF~~ instance), and an indication of the bearer being removed (the PDP context in the case of GPRS). The termination also indicates if this is the last bearer for that TPF/CRF instance.

6.3.2 Gy reference point

The Gy reference point allows credit control for service data flow based online charging. The functionalities required across the Gy reference point use existing functionalities and ~~mechanisms specified for the release 5 Ro-~~
~~interface mechanisms for example based on [9].~~

~~The Ro interface is specified for release 5 in TS 32.200 [3] and TS 32.225 [7].~~

6.3.3 Gz reference point

The Gz reference point enables transport of service data flow based offline charging information. –

For GPRS the relationship of the Gz reference point and the existing Ga interface is subject to investigation in SA5. –

The Ga interface is specified by TS ~~23.078~~ [832.200 [3].

6.3.4 Rx reference point

6.3.4.1 General

The Rx reference point enables transport of information (e.g. dynamic media stream information) from the application function to the charging rules function. An example of such information would be filter information to identify the ~~packet~~ service data flow.

6.3.4.2 Initialisation and Maintenance of Connection

A single connection shall be established between ~~a~~ each interworking CRF and AF pair. The connection can be direct, or established via a relay/proxy node. A connection may be redirected to an alternate ~~node~~ CRF.

At a failover, commands which have not been successfully received shall be queued to ~~the an~~ alternate ~~peer~~ CRF.

Only CRFs responsible for the same UE identity information may be selected as alternate CRF.

The detail specification of the connection establishment and maintenance is for specification in stage 3.

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6.3.5 Ry reference point

The Ry reference point enables transport of information (e.g. charging rules selection information) from the OCS to the charging rules function. The functionality supported over the Ry reference point should be the same as for the Rx reference point and a common interface specification is expected.

7 Message Flows

Editor's note: This clause is planned to contain the description of new and modified information flows.

7.1 AF input to provision of charging rules

The AF may provide the CRF with application/service data flow charging information. This information is used by the CRF to determine and complete the appropriate charging rules to send to the TPF. It is an AF decision when to send this information and the CRF takes the AF input into account from the point that it receives the AF information. The AF input may trigger an unsolicited provision of charging rules by the CRF as described in 7.3.

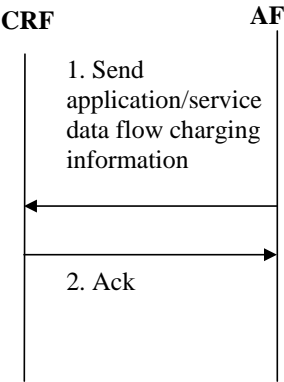


Figure 7.0a: AF input to provision of charging rules

- 1.-The AF sends application/service data flow charging information
- 2.-The CRF acknowledges the AF input.

7.1a OCS input to provision of charging rulesThe OCS may provide the CRF with OCS related charging information. It is an OCS decision when to send this information and the CRF takes the OCS input into account from the point that it receives the OCS information. The OCS input may trigger an unsolicited provision of charging rules by the CRF as described in 7.3.

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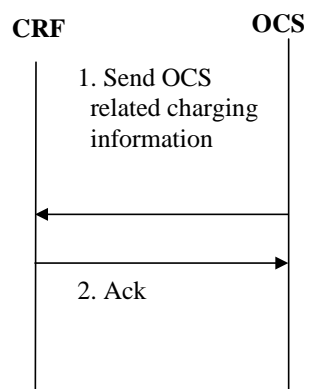


Figure 7.0b: OCS input to provision of charging rules

1. The OCS sends OCS related charging information
2. The CRF acknowledges the OCS input.

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7.2 Bearer events

7.2.1 Bearer Service Establishment

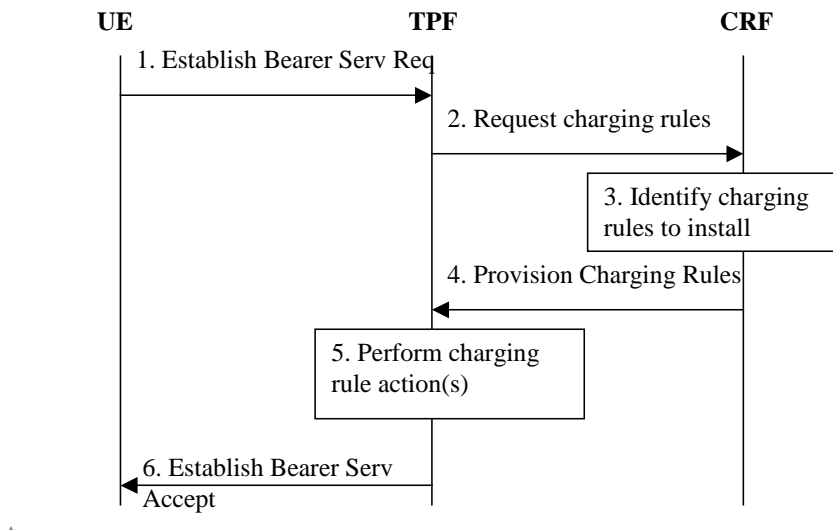
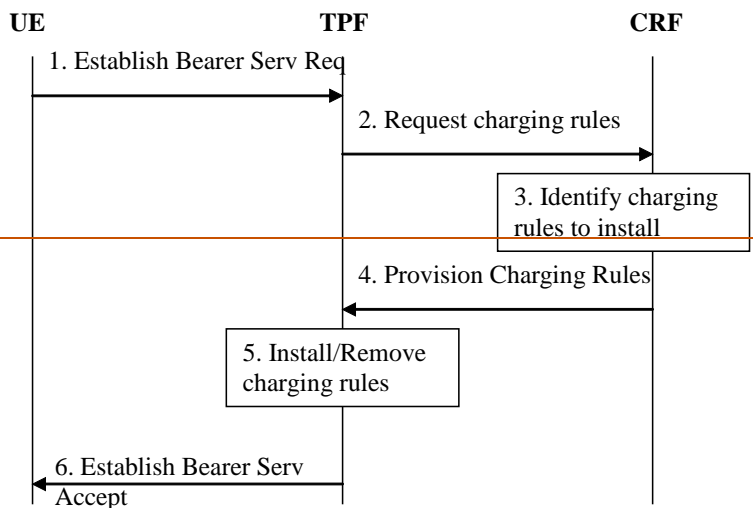


Figure 7.1: Bearer Service Establishment in case of offline charging

1. The TPF receives a request to establish a bearer service-. For GPRS, this is the GGSN that receives a Create PDP context request for a primary or secondary PDP context.

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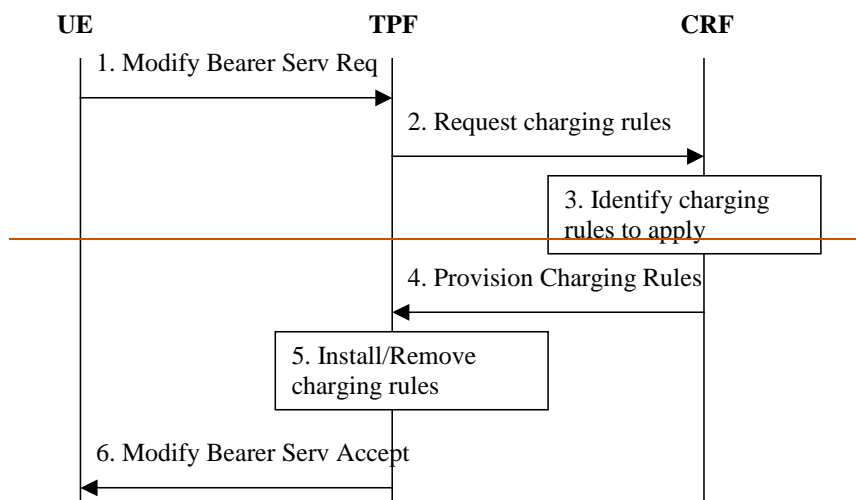
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2. The TPF requests the applicable charging rules, and provides relevant input information for the charging rule ~~decision~~selection.
3. The CRF determines the charging rules to be provisioned, based on information available to the CRF (e.g. information may be available from the AF as described in 7.1 and the new information received from the TPF). Charging rules may need to be ~~added, and/or removed~~installed. In addition, the CRF also determines which event triggers shall be monitored by the TPF.
4. The CRF provides the charging rules and associated event triggers (if available) to the TPF. This message is flagged as the response to the TPF request.
5. The TPF ~~installs/removes the~~performs charging ~~rules~~rule actions as indicated, i.e. installing charging rules. During establishment of the initial bearer service the TPF also installs any pre-defined charging rules.
6. The TPF continues with the bearer service establishment procedure.

~~Editor's Note: It is FFS whether the bearer service establishment procedure can proceed in parallel with The TPF shall wait for the charging rules request.~~

7.2.2 ~~—installation before accepting the~~ Bearer ~~Service Modification~~ establishment as shown in figure 7.1.

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In case of online charging, in order to allow for Bearer establishment control upon credit check, the TPF shall wait for the credit control information before accepting the Bearer establishment as shown in figure 7.2.

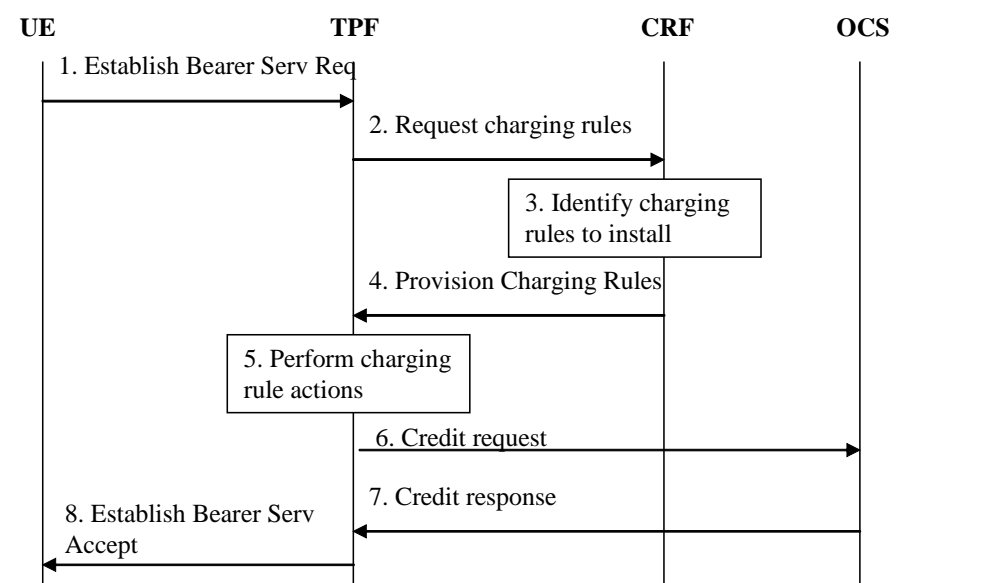


Figure 7.2: Bearer Service ~~Modification~~ Establishment in case of online charging

~~1. The TPF receives a request to modify a bearer service. For GPRS, the GGSN receives an Update PDP context request.~~

1. The TPF receives a request to establish a bearer service. For GPRS, this is the GGSN that receives a Create PDP context request for a primary or secondary PDP context.

2. The TPF requests the applicable charging rules, and provides relevant input information for the charging rule decision.

3. The CRF determines the charging rules to be provisioned, based on information available to the CRF (e.g. information may be available from the AF as described in 7.1 and the new information received from the TPF). Charging rules may need to be ~~added, and/or removed~~ installed. In addition, the CRF also determines which event triggers shall be monitored by the TPF.

4. The CRF provides the charging ~~rule information~~ rules and associated event triggers (if available) to the TPF. This message is flagged as the response to the TPF request.

5. The TPF performs charging rule actions as indicated, i.e. installing charging rules. During establishment of the initial bearer service the TPF also installs any pre-defined charging rules.

6. The TPF requests credit for any established charging rule (either already established or newly installed) from the OCS, and provides relevant input information for the OCS decision. The TPF returns the remaining credit of any removed charging rule.

7. The OCS provides the credit information to the TPF and may provide re-authorisation triggers for each of the credits.

8. If credit is available at least for one charging rule, the TPF accepts the bearer service establishment. If no credit is available, the TPF rejects the bearer service establishment.

Note: Further details of the credit control mechanism are expected to be specified by Stage 3.

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7.2.2 Bearer Service Modification

7.2.2.1 General

According to the Event triggers and Re-authorisation triggers, Bearer Service Modification may trigger the TPF to signal the CRF that a bearer has been modified and/or trigger the TPF to request re-authorisation (for online).

7.2.2.2 Bearer Service Modification in case of offline charging

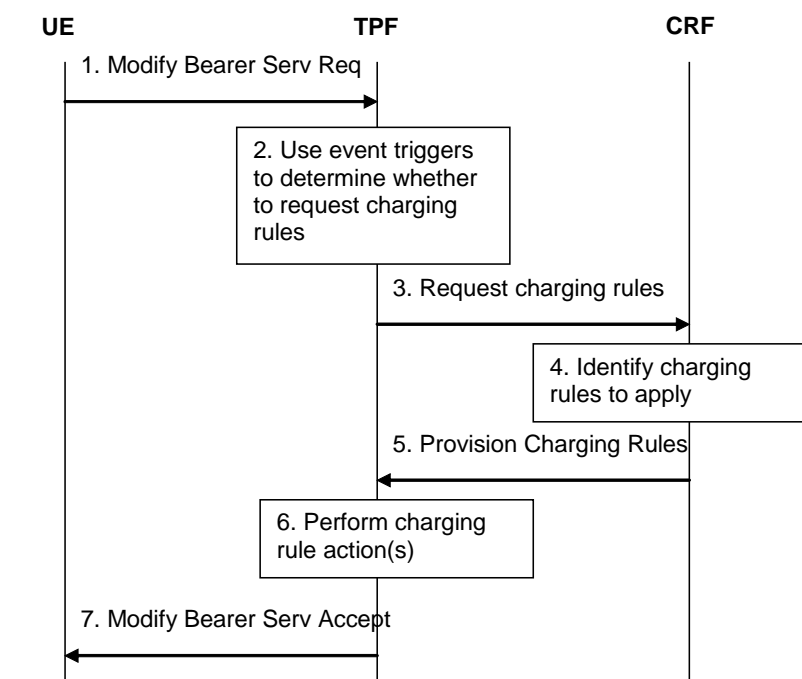


Figure 7.2a: Bearer Service Modification triggered Charging Rule Request

1. The TPF receives a request to modify a bearer service. For GPRS, the GGSN receives an Update PDP context request.
2. The TPF ~~installs/removes~~ uses the event triggers in order to determine whether a request for charging rules is required.
3. The TPF requests the applicable charging rules indicating a bearer modification, and provides relevant input information for the charging rule selection.
4. The CRF determines the charging rules to be provisioned, based on information available to the CRF (e.g. information may be available from the AF as described in 7.1 and the new information received from the TPF). Charging rules may need to be installed, and/or removed, and/or modified.
5. The CRF provides the charging rule information to the TPF. This message is flagged as the response to the TPF request.
6. The TPF performs charging rule actions as indicated, i.e. installing, modifying or removing charging rules.
7. The TPF continues with the bearer service modification procedure.

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Note: ~~i:~~ In the case of GPRS, the modification of the bearer service may also be initiated by other nodes such as the SGSN.

~~Editor's Note: It is FFS whether the~~ ii: The TPF shall wait for the charging rules installation before accepting the Bearer modification, as shown in figure 7.1.

7.2.2.3 Void

7.2.2.4 Bearer Service Modification in case of online charging

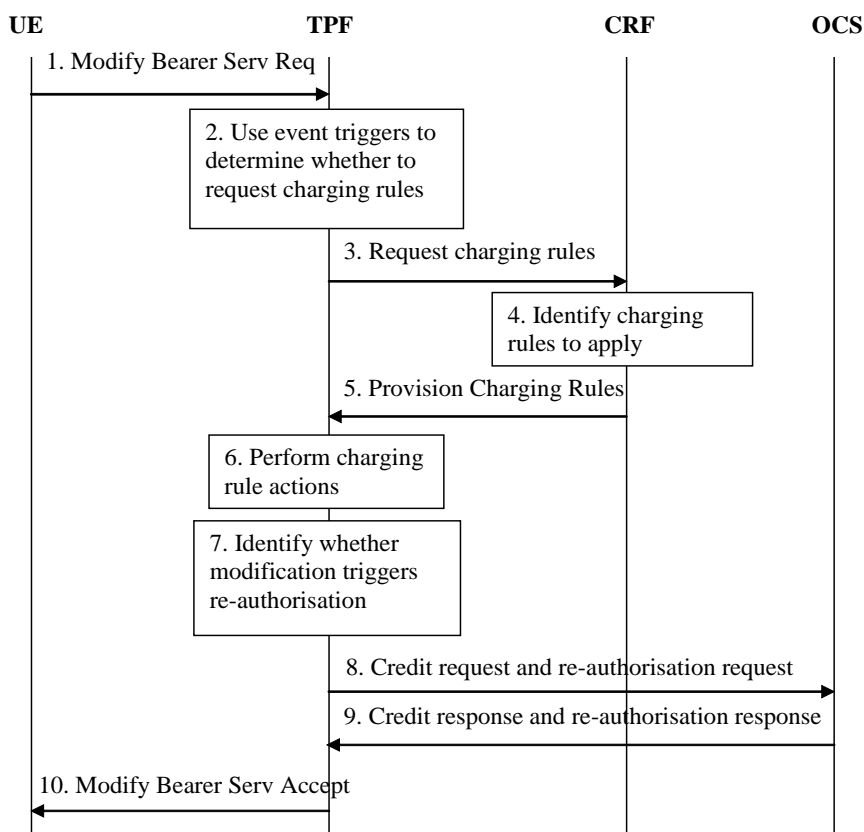


Figure 7.2c: Bearer Service Modification in case of online charging

1. The TPF receives a request to modify a bearer service ~~modification procedure can proceed in parallel. For GPRS, the GGSN receives an Update PDP context request.~~
2. The TPF uses the event triggers in order to determine whether a request for charging rules is required.
3. The TPF requests the applicable charging rules indicating a bearer modification, and provides relevant input information for the charging rule selection.
4. The CRF determines the charging rules to be provisioned, based on information available to the CRF (e.g. information may be available from the AF as described in 7.1 and the new information received from the TPF). Charging rules may need to be installed, and/or removed, and/or modified.

5. The CRF provides the charging rule information to the TPF. This message is flagged as the response to the TPF request.
6. The TPF performs charging rule actions as indicated, i.e. installing, modifying or removing charging rules.
7. The TPF identifies whether the bearer modification matches the re-authorisation trigger(s) of any charging rule that has neither been installed nor removed in step 6.
8. The TPF interacts with the ~~charging rules request~~OCS if the set of charging rules has changed or if the bearer modification matches re-authorisation trigger(s) of any charging rule in the step 7. The TPF requests credit for any newly installed charging rule, and provides relevant input information for the OCS decision. The TPF returns the remaining credit of any removed charging rule. The TPF returns the unused credit(s) for any charging rule(s) applicable for re-authorisation and requests re-authorisation of their credits.
9. The OCS answers to the TPF providing credits.
10. If credit is available at least for one charging rule, the TPF accepts the bearer modification.

Note: In the case of GPRS, the modification of the bearer service may also be initiated by other nodes such as the SGSN.

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7.2.3 Bearer Service Termination

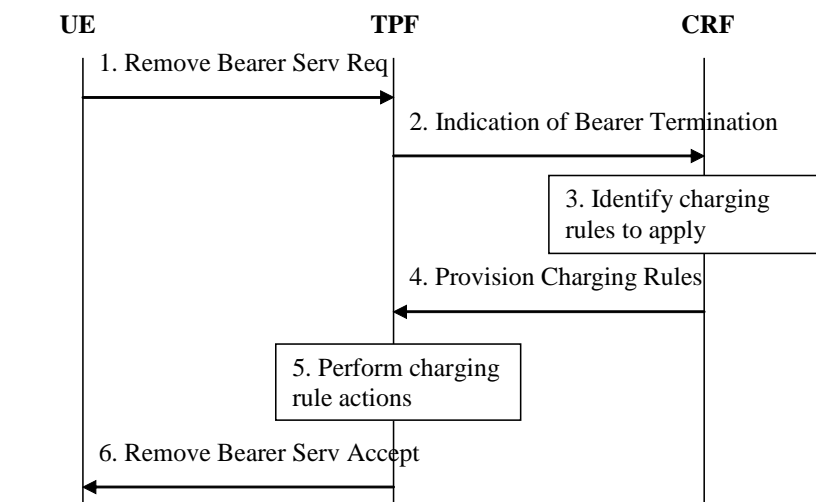
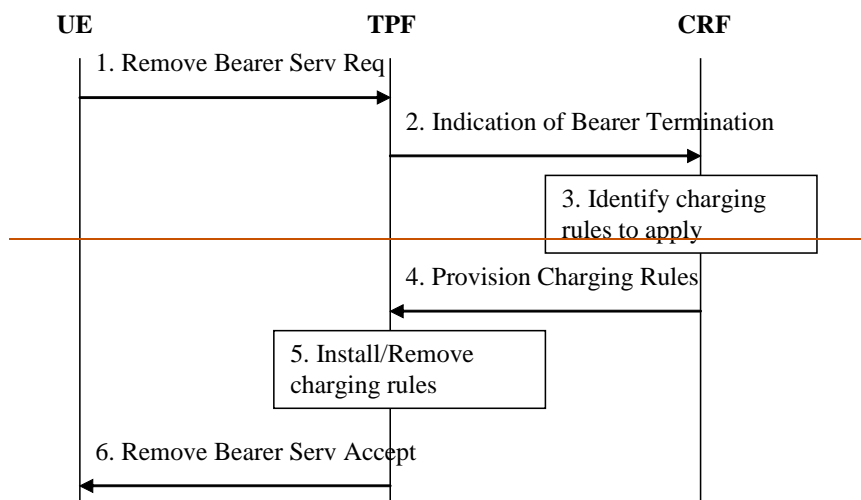


Figure 7.3: Bearer Service Termination in case of offline charging

1. The TPF receives a request to remove a bearer service. For GPRS, this is the GGSN that receives a delete PDP context request.

2. The TPF indicates that a bearer (for GPRS, a PDP context) is being removed and provides relevant input information for the charging rule selection.

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- 3 The CRF determines the charging rules to be provisioned, based on information available to the CRF (e.g. information may be available from the AF as described in 7.1 and the new information received from the TPF). Charging rules may need to be removed.
- 4 The CRF provides the charging rule information to the TPF. This message is flagged as the response to the TPF request.
- 5 The TPF performs charging rule actions as indicated, i.e. removing charging rules.
- 6 The TPF continues with the bearer service removal procedure.

Note: In the case of GPRS, the bearer service termination procedure may also be initiated by other nodes such as the SGSN.

The bearer service termination procedure can proceed in parallel with the indication of bearer termination.

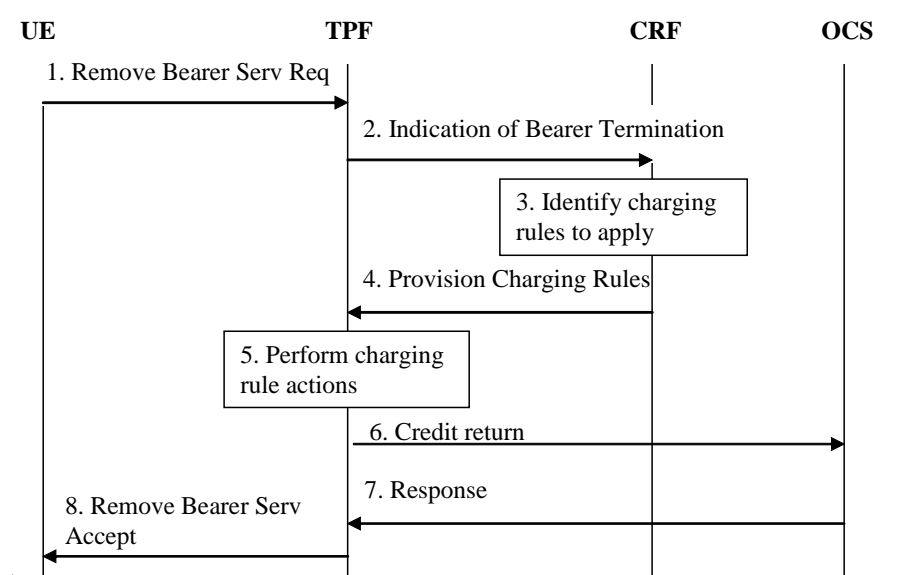


Figure 7.3a: Bearer Service Termination in case of online charging

1. The TPF receives a request to remove a bearer service. For GPRS, this is the GGSN that receives a delete PDP context request.
2. The TPF indicates that a bearer (for GPRS, a PDP context) is being removed and provides relevant input information for the charging rule decision.
3. The CRF determines the charging rules to be provisioned, based on information available to the CRF (e.g. information may be available from the AF as described in 7.1 and the new information received from the TPF). Charging rules may need to be added/installed, and/or removed, and/or modified.
4. The CRF provides the charging rule information to the TPF. This message is flagged as the response to the TPF request.
5. The TPF installs/removes the performs charging rule actions as indicated, i.e. removing charging rules as indicated.
6. The TPF returns the remaining credit to the OCS for each charging rule that is removed.

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7. The OCS acknowledges the report to the TPF.

~~6.~~ 8. The TPF continues with the bearer service removal procedure.

~~Note: In the case of GPRS, the bearer service termination procedure may also be initiated by other nodes such as the SGSN.~~

~~Editor's Note: It is FFS whether the~~The bearer service termination procedure can proceed in parallel with the ~~indication~~of bearer termination~~final usage reporting.~~

Note: Further details of the credit control mechanism are expected to be specified by Stage 3.

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7.3 Provision of Charging Rules triggered by other event to the CRF

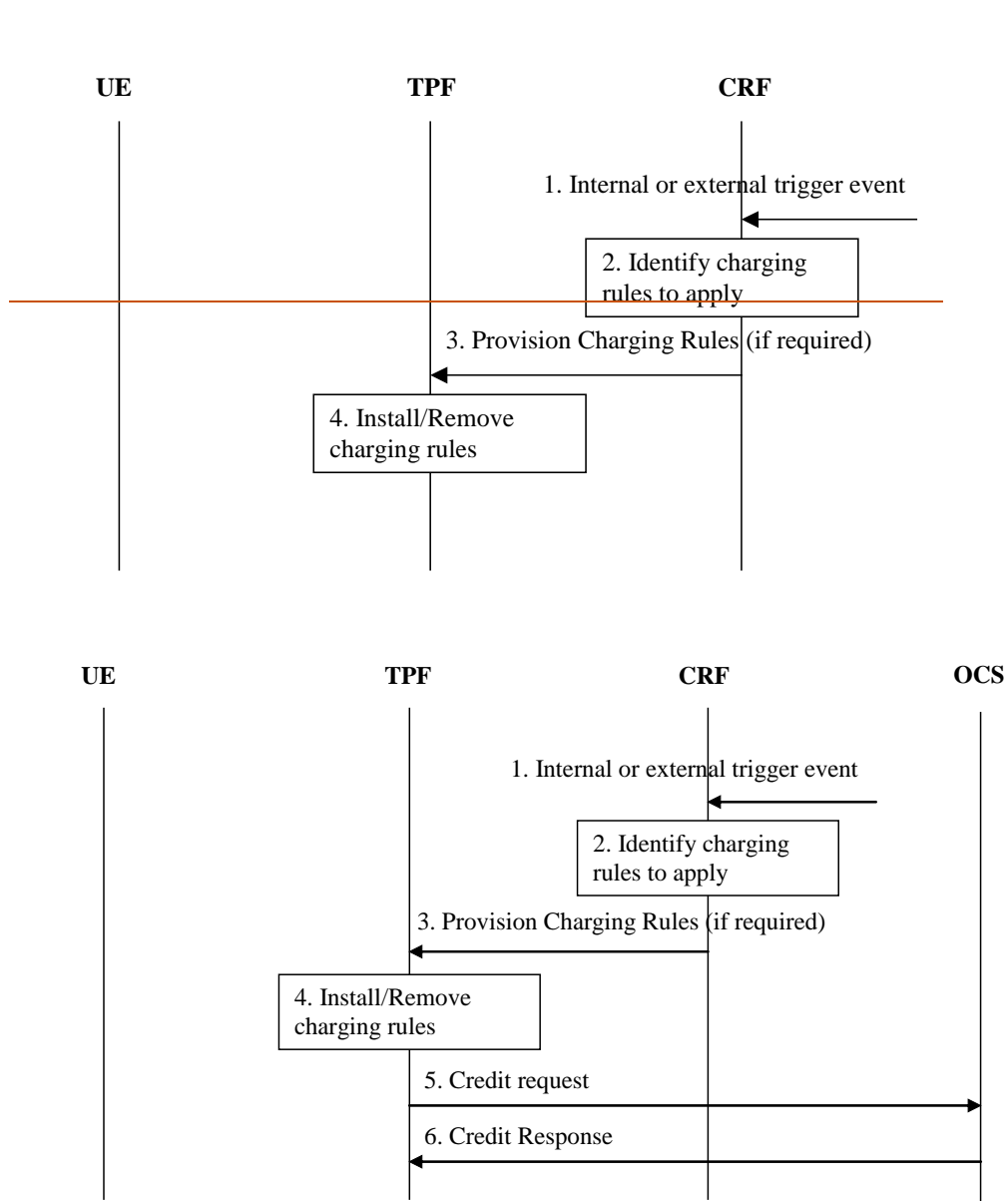


Figure 7.4: Provision of Charging Rules due to external or internal Trigger Event

1. The CRF receives a trigger event, with relevant information related to the event. One example event is an AF interaction as described in 7.1.

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- ~~2.~~ 2. The CRF determines the charging rules to be ~~added/removed~~provisioned, based on information available to the CRF (e.g. information may be available from the AF as described in 7.1 and the new information received from the trigger). Charging rules may need to be ~~added~~installed, and/or removed and/or modified.
- ~~3.~~ 3. If required, the CRF provisions the charging rules to the TPF.
- ~~4.~~ 4. The TPF ~~installs/removes the~~performs charging rule actions as indicated, i.e. installing, modifying or removing charging rules ~~as indicated~~.
- ~~5.~~ 5. In case of online charging, the TPF requests credit for any newly installed charging rule from the OCS, and provides relevant input information for the OCS decision. The TPF returns the remaining credit of any removed charging rule.
- ~~4.~~ 6. In case of online charging, the OCS provides the credit information to the TPF and may provide re-authorisation triggers for each of the credits.

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Annex A (informative): Overall architectural impacts of IP flow based charging

A.1 GGSN in HPLMN

One of the underlying drivers for the IP flow charging work is to permit greater flexibility in PS domain charging, and to control this flexibility in the HPLMN. This is a fairly fundamental change from the concepts that lead to development of the CAMEL 3 standards (which provide the capability for pre-pay charging on the SGSN) and some aspects of the IMS architecture (e.g. P-CSCF and I-CSCF).

This movement towards charging in the “GGSN arena” rather than “charging at the SGSN” leads to a few questions:

- a) is all the information that the SGSN places on the S-CDR available at the GGSN? If not, what is missing, is it important, and, can GTP be upgraded to provide it to the GGSN?—
- ⇒ b) when this information is passed to the GGSN, can it then be made available as extra Radius parameters?
- ⇒ c) does this information need to be sent on the Gx and/or Gy and/or Gz interfaces?

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A.2 Comparison of S-CDR and G-CDR fields

A.2.1 S-CDR information missing from G-CDR

The following fields are present in the S-CDR but absent from the G-CDR

- Served IMEI;
- MS Network Capability;
- LAC/RAC/CI at “record opening”;
- Access Point Name Operator Identifier;
- System Type;
- CAMEL information;
- RNC unsent data volume:;
- Time zone of the user's location.

These parameters are analysed further in the following subsections.

A.2.2 Served IMEI

This information is useful for many operational/statistical purposes within the HPLMN. Examples might include checking whether the SIM-IMEI combination “is correct”; which brands of mobile generate what proportion of revenue streams and/or access particular types of services; etc.—

Hence it is recommended to provide the IMEISV to the GGSN for transparent transfer within the GGSN to the G-CDR and/or Radius attribute. This means the addition of an optional parameter to the Create PDP Context Request message.—

Note that the IMEISV should be provided rather than just the IMEI because the SV information has some value, and, IMEISV is as equally easy for the SGSN to obtain as the IMEI.

A.2.3 MS Network Capability

This is the “core network” part of the mobile’s classmark. Review of 24.008 shows that most of the really interesting information for the HPLMN is contained within the Radio Classmark information and not within the MS Network Capability. However, the Radio Classmark information is not included on the S-CDR.—

Hence statistical information gathering (such as, what proportion of UK data traffic is carried by mobiles that support the PCS 1900 spectrum) has to be gathered from analysis of IMEIs rather than analysis of the Classmark field.

Hence, provided IMEISV is sent to the GGSN, this field need not be sent to the GGSN.

A.2.4 LAC/RAC/CI at “record opening”

Various tariffs can be imagined that use cell ID information (e.g. a home cell tariff, whereby, if the context is opened in your home cell, a certain volume of data is charged at a lower rate). Statistical information gathering is also performed on a per cell basis.

Hence knowledge of the “full” cell ID at the GGSN would be useful.

Note that the “full” cell ID includes the MNC and MCC – but these fields have recently been added to R’97 and R’99 GTP. During the debate on this topic, it might have been argued that the 3G-SGSN did not know the Service Area Code where the mobile was activating the PDP context. However, this seems to be incorrect, because study of RANAP shows that the RNC is required to add the mobile’s current SAI to every Direct Transfer message sent to the SGSN,

There may be some concerns about sending cell-ID information between networks, however, it may well already be sent in the inter-operator TAP records! Also, as a “ball park figure”, 90% of subscribers are in their home network and 10% are roaming abroad, and the main usage of this field would be for the 90% of subscribers in their HPLMN.

So, it seems useful to add CGI/SAI information into the GTP signalling.

Further complexity arises however from the phrase “at record opening”. In both SGSN and GGSN, it is possible to raise partial CDRs. A “partial CDR” is potentially generated every 15 minutes and reduces the fraud risks associated with only generating a full CDR after many mega bytes have been sent on a PDP context that has been open for several days. From reading 32.215 it seems that the Cell ID needs to be inserted into the S-CDR every time a partial CDR is opened.

Full support of the Cell ID in Partial G-CDRs appears difficult, however, a useful compromise would seem to be to add CGI/SAI information to all GTP messages that can be sent by the SGSN as a result of receiving a RANAP Direct Transfer message. When the mobile is using the Gb interface, the SGSN should add the CGI to these messages.

Hence it is recommended to add CGI/SAI as an optional parameters in the following GTP messages:

- Create PDP Context Request;
- Update PDP Context Request.

Whether or not the CGI/SAI is included by the SGSN should be controlled by the SGSN operator according to the PLMN-ID of the GGSN.

A.2.5 Access Point Name Operator Identifier

Section 14.13 of 3GPP TS 23.060 states that this field is part of the APN and that the APN is used to identify the GGSN. As such, it is logical that this field is included on the S-CDR.—

However, there appears to be absolutely no need to transfer this field to the GGSN.

A.2.6 System Type

On the S-CDR, this indicates whether the SGSN serves 2G or 3G cells. There is no code point for a combined 2G/3G SGSN, and no indication as to whether or not the combined SGSN has separate 2G and 3G Routing Areas!

It is recommended to add an “SGSN type” information element to the following GTP messages:

- Create PDP Context Request;
- Update PDP Context Request.

The contents of the “SGSN type” information element should be able to encode the following information, and permit future backwards compatible extension:

- 2G only SGSN;
- 3G only SGSN;
- Combined 2G/3G SGSN with all 2G cells in separate Routeing Areas to 3G cells;
- Combined 2G/3G SGSN with some 2G and 3G cells in the same Routeing Area.

Future additions might be needed to add in UMTS FDD/TDD differentiation, or if new Radio Access Technologies are adopted in the future.

Note that this “SGSN type” is different to the current “System type” field on the S-CDR. Whether or not the “System type” field on the S-CDR should be updated is FFS.

A.2.7 CAMEL information

Some CAMEL functionality relates to SGSN based on-line charging. When using SGSN based on-line charging, GGSN based on-line charging is unlikely to also be used. However, other CAMEL functionality relates to APN ID manipulation; SGSN resource utilisation, and the provision for the gsmSCF to write a “free format field” to the main CDR. This information appears to be useful to transfer to the GGSN.

Overall it appears simplest to transfer all the S-CDR CAMEL Information as one parameter from the SGSN to the GGSN. The format and encoding of this information element should be constructed in an extensible manner, hopefully by just referencing the encoding already used within 3GPP TS 32.215.

This information element should be included in the Create PDP Context Request and Update PDP Context Request messages.

A.2.8 RNC unsent data volume

If this information is useful to an SGSN, then it should be passed to the GGSN. In doing so it needs to be supplemented by the “2G SGSN unsent data volume”. Probably the unsent data volume could be accumulated by the new SGSN and sent to the GGSN at PDP context release. Providing this information to the new SGSN at inter SGSN change may require new GTP messages. Obtaining the information from the RNC may require additional use of existing RANAP signalling procedures.

However, as the value of sending this information from the RNC to the SGSN is as yet unclear, so far it is not agreed to add this information into the GTP signalling.

A.2.9 Time zone of the user's location

Tariffs for different service flows may be time dependent, i.e. a tariff is different based on the time of the day, week or year (for example off-peak tariff for weekends, special holidays and/or night-times, on-peak tariff at day-time). Currently the time is reported at where the usage is measured. However the tariff may depend on the time at where the user is located. The time at the user's location may be different than the time at the GGSN due different time zones and daylight saving time settings. Each SGSN should know the area and the corresponding time zone the user is within, and indicate that to the GGSN. Otherwise tariffs based on the time at user's location may not be used.

Hence it is recommended to add “User Location Time Zone” as a parameter to the following GTP messages:

- Create PDP Context Request;
- Update PDP Context Request in case of a SRNC relocation.

This parameter should indicate the offset from the GMT and the Daylight Saving Time period at the user's location. The GGSN adds it to the charging information (either to CDRs or to online credit requests).

NOTE 1: It should be noted that a SGSN may cover an area expanding over several time-zones. This adds complexity when user moves from a time-zone to another during an open PDP context.

NOTE 2: A solution applying user's location based on SGSN address, MNC, MCC or LAC/RAC/CI may not be elegant since it requires to keep information of each location a home users may roam.

A.3 RADIUS attributes

With the provision of the above information to the GGSN, then if RADIUS accounting is applied in the operator's network then it is recommended that the following RADIUS attributes are added to the appropriate RADIUS messages:

- IMEISV;
- CGI/SAI;
- SGSN type;
- CAMEL information.

Annex B (informative):–

IMS and Flow based ~~Charging~~charging

Flow Based Charging ~~offers other ways that could be used to provide IMS service may be charged. Bearer Charging in addition to IMS Session Charging which is done at the S-CSCF or IMS Event Charging which is done at the AF. This requires the transfer of information about the IMS session.~~

Considering this, we need to study the usage of Flow Based Charging ~~for IMS Bearer Charging~~ in relation to IMS ~~Session Charging or IMS Event Charging~~.

The following needs to be studied:

1. Flow Based Charging needs to provide a solution to the issues solved by Rel5 IMS ~~charging~~ correlation, considering issues such as backwards compatibility.
2. It needs to be clarified whether having multiple filters provided to the GGSN (over Go and Gx) is an issue (and if it is, it needs to be resolved).
3. How charging rules can be applied to the SIP signalling used for IMS session control

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B.1 IMS SIP signalling

This section studies how flow based charging can be applied to the IMS signalling used for IMS session control.

It is to be noted though that the SIP signalling itself could carry different type of information that may be charged differently (e.g. SIP Session Invites, IMS messaging, etc.).

Possible ways to charge SIP ~~at the bearer level~~with Flow Based Charging could consist of:

- Applying pre-configured static rules in the TPF;
- ~~Requesting~~Obtaining charging rules from the CRF;
- Updating charging for the IMS signalling charging rules based ~~on~~ specific triggers (e.g. time of day, modification of the session parameters, etc.) for a given user.

Note:– the usage of the signalling indication needs to be further studied with respect to Flow Based Charging.

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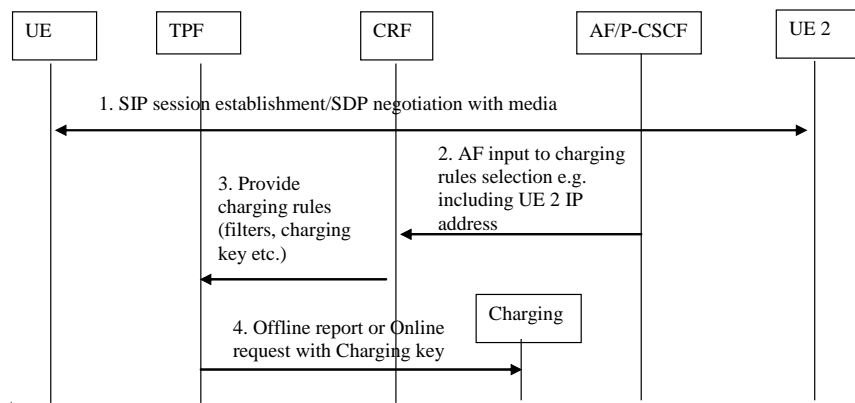
~~Since IMS SIP signalling may be compressed and encrypted, the TPF needs to be able to filter signalling based on other means than SIP application protocol identification. Therefore filters defined for IMS SIP signalling need to be based on IP 5-tuple. This also allows to charge SIP packets destined to the P-CSCF differently from other non IMS SIP packets.~~

B.2 IMS media

This section studies how flow based charging can be applied to the media associated with IMS sessions.

IMS media flows can be of two categories:

- A. Peer-to-peer IMS media flows. Filters for these flows may need to be dynamically defined as the session control occurs for that particular peer-to-peer session. The details of the filters (e.g. destination address) may need to be dynamically provided to the TPF via the CRF (Rx and Gx interfaces).

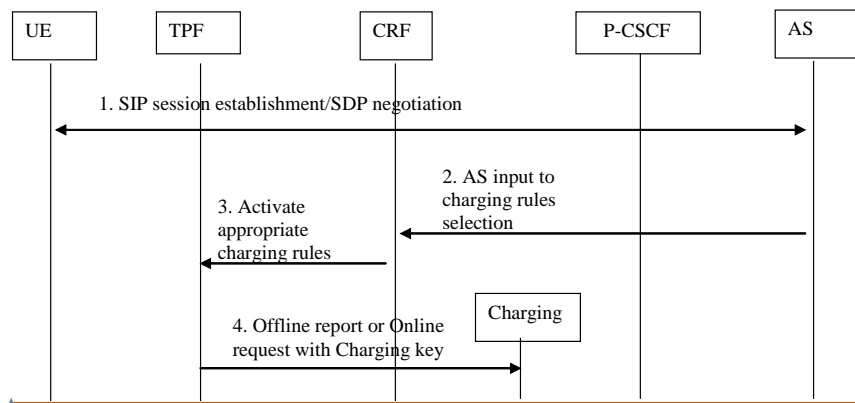


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1. IMS SIP session establishment occurs between UE1 and UE2, via a P-CSCF
2. The P-CSCF sends Rx input to the CRF for charging rules selection. This may include high level information such as identifying the IMS application. In the case of peer-to-peer, the information may include the IP address of the destination UE in order for the applicable filters to be applied by the CRF and TPF.
3. The CRF uses the input from the P-CSCF to complete the appropriate charging rules. The CRF provides the TPF with an appropriate charging key.
4. The charging key is used as an input to the rating logic in the offline or online charging system.

Note that session based messaging falls into this category, but immediate messaging doesn't (see IMS SIP signalling section).

B. Client/Server IMS media flows. Filters for these flows need to be identified dynamically when the session control is established to the server, but can reference well known IP 5-tuple for the client/server services used.



Field Code Changed

1. IMS SIP session establishment occurs between UE1 and AS, via a P-CSCF
2. The AS sends Rx input to the CRF for charging rules selection. This may include high level information such as identifying the IMS application.
3. The CRF uses the input from the AS to activate the appropriate charging rules. The CRF provides the TPF with an appropriate charging key.
4. The charging key is used as an input to the rating logic in the offline or online charging system.

The following issues are FFS:

- * AF input to the CRF in Step 2 above must include some identifier for the UE, so that the correct TPF can be identified.
- * The CRF must determine which of the user's PDP Context(s) the new charging rule needs to be applied to. This could be based on analysis of the Traffic Flow Templates against the IP flow definitions in the new charging rule. Then the OCS may enforce that the IMS charging keys are only used on bearers with the right QoS by only providing quotas at the 'IMS rates' if the QoS matches that which is authorised for that special IMS charging key.

B.3 FBC with IMS compared to rel5 IMS charging correlation

The principles followed in B.1 and B.2 is that the charging key input to the rating logic allows the Offline or Online Charging System to determine the appropriate rate for the IMS session.

In rel5 where a correlation mechanism is used, the Offline or Online Charging System determines the GPRS and IMS charging records that are related in order to apply special handling. One example of special handling is to zero rate the GPRS volume, and use time based charging for the IMS session. Logic for determination of IMS-specific charging policies is contained within the Offline or Online Charging System.

In rel6 with FBC, special handling of IMS traffic can be achieved by activating the appropriate charging rules. For example, filters for IMS media/GPRS data from UE1 to UE2 can be associated in the charging rule with a charging key that is zero rated. Alternatively, special charging keys can be defined for 'IMS voice media' etc. In this case, a large part of the logic which determines IMS-specific charging policies is required to determine the Charging Key to be selected. This logic needs to be executed within the Application Function or Charging Rules function.

Additionally, the Offline and Online Charging systems in rel6, which support the FBC architecture, need to be enhanced to support the concept of charging key and thus use a different charging logic from that user in rel5 with charging correlation.

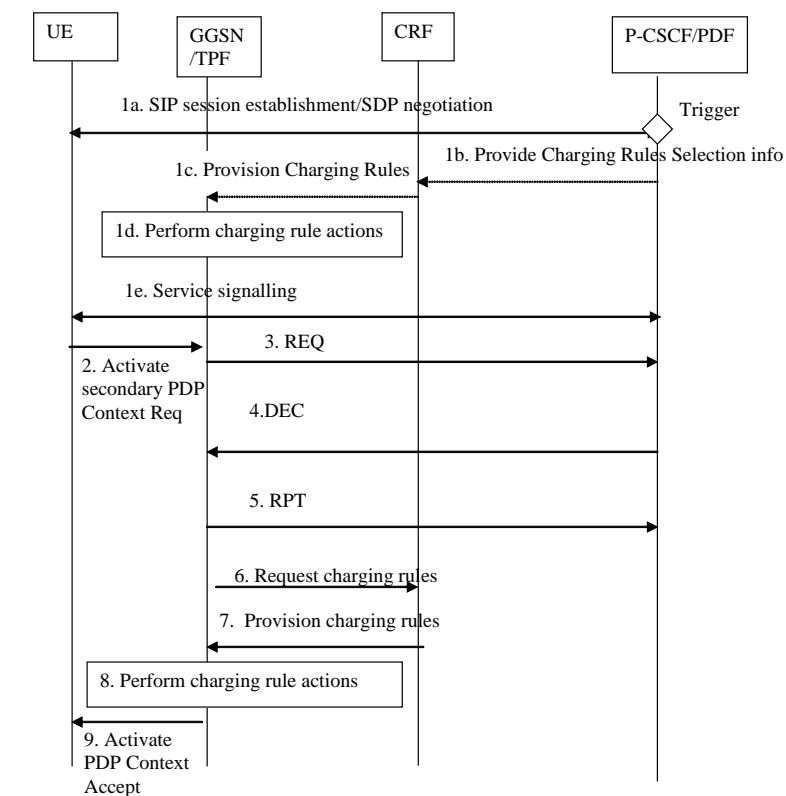
B.4 Rx/Gx functions and SBLP usage

Dynamic media stream filter information for QoS policy and charging correlation may be provided to the GGSN via the Gq and Go interfaces. This is described in TS 23.207 and TR 23.917.

Dynamic and static media stream filter information for charging (data for the charging rules) may be provided to the Traffic Plane Function (GGSN in the case of GPRS) via the Rx and Gx interfaces. This is described in this TS.

These two functions are independent and thus can be provided separately.

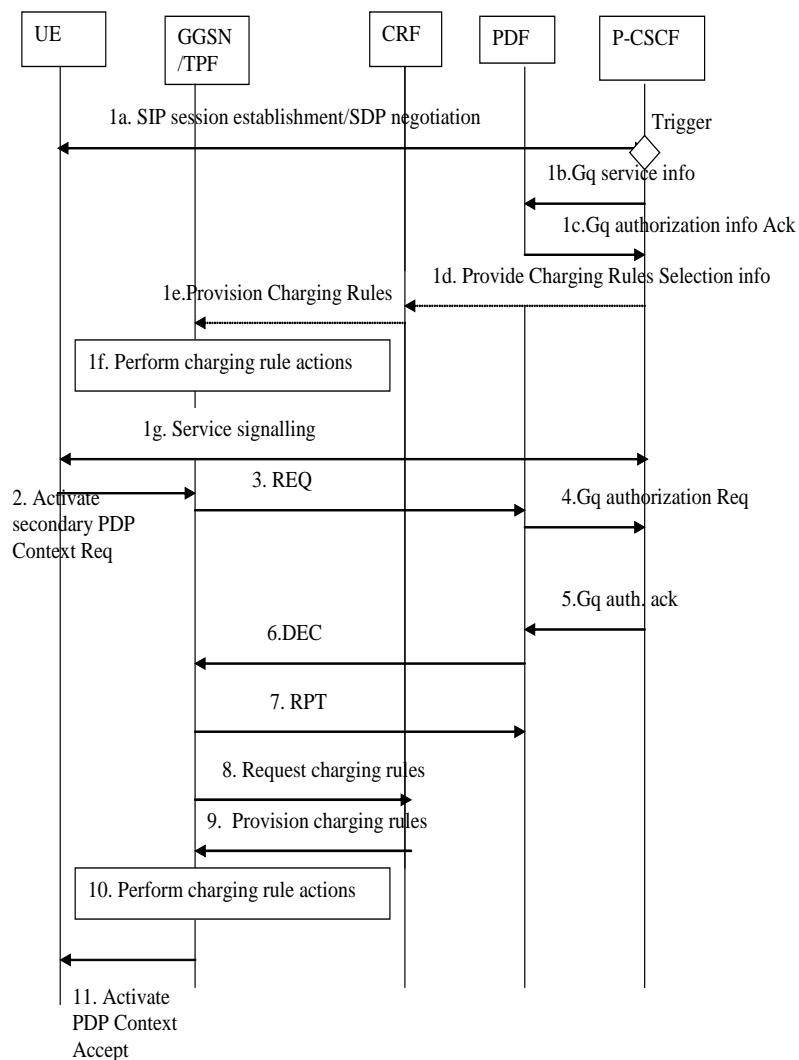
Mechanisms used for Rx/Gx functions and SBLP can be efficiently used for IMS bearer flow charging as the following description shows.



Scenario 1. PDF is co-located with P-CSCF

- 1a. The UE interacts with P-CSCF to establish a SIP session and negotiates the SDP parameters end to end.
- 1b. During SIP session establishment, P-CSCF may be triggered to provide charging rules selection info to CRF.
- 1c. If required, the CRF installs charging rules for the session's media components for the already active bearers (PDP Contexts) to the TPF.
- 1d. The TPF performs charging rule actions as indicated.
- 1e. The P-CSCF forwards the service signaling message containing the session description. The P-CSCF shall include the authorization token in this service signaling message.
2. UE sends activate secondary PDP Context request.
3. The GGSN sends a COPS REQ message with the Binding Information to the PDF in order to obtain relevant policy information.
4. The PDF sends a COPS DEC message back to the GGSN/TPF.
5. The GGSN sends a COPS RPT message back to the PDF.
6. GGSN/TPF requests charging rules from CRF.
7. CRF provides charging rules to GGSN/TPF.
8. The TPF performs charging rule actions as indicated.

9. GGSN sends activate PDP context accept to confirm that bearer service is ready.



Field Code Changed

Scenario 2. Gq interface is used

1a. The UE interacts with P-CSCF to establish a SIP session and negotiates the SDP parameters end to end.

1b~1c. During SIP session establishment, P-CSCF may be triggered to send a request for authorization token to the PDF with full service information, which includes session description information based on the session signaling.

1d. Based on SIP session information and local policy, P-CSCF may provide charging rules selection info to CRF immediately after Gq interface interaction.

- 1e. If required, the CRF installs charging rules for the session's media components for the already active bearers (PDP Contexts) to the TPF.
- 1f. The TPF performs charging rule actions as indicated.
- 1g. The P-CSCF forwards the service signaling message containing the session description. The P-CSCF shall include the authorization token in this service signaling message.
- 2. UE sends activate secondary PDP Context request.
- 3. The GGSN sends a COPS REQ message with the Binding Information to the PDF in order to obtain relevant policy information.
- 4. Further interaction between the P-CSCF and the PDF to provide the full service information is needed. PDF sends an authorisation request to P-CSCF.
- 5. P-CSCF responds to PDF's request.
- Note: step 4,5 only happen when further interaction between PDF and P-CSCF is needed.
- 6. PDF sends a COPS DEC message back to the GGSN/TPF.
- 7. GGSN sends a COPS RPT message back to the PDF.
- 8. GGSN/TPF requests charging rules from CRF.
- 9. CRF provides charging rules to GGSN/TPF.
- 10. The TPF performs charging rule actions as indicated.
- 11. GGSN sends activate PDP context accept to confirm that bearer service is ready.

Annex C (informative): WLAN and flow based charging

C.1 TPF usage for WLAN

For WLAN, the ~~current working assumption is that the~~ TPF is a logical function allocated to the PDG. ~~It is FFS how this will be impacted by~~

NOTE: In case the PDG is implemented using the ongoing WLAN/3GPPGn' reference point, then the TPF is allocated to the GGSN-part of the PDG. For further details of TS 23.234 [10].

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Annex D (informative):
Policy functions provided by FBC architecture work.

Annex DD.1 General

This Annex studies the possibilities and solutions for evolving FBC towards supporting policy control functions similar but not equivalent to what is provided by SBLP and Go. However, policy requirements in the context of FBC need to be clarified and could establish different needs than those of SBLP and Go. SBLP focuses on the control of bearer resources based on a binding mechanism that binds one or more service to a bearer. FBC evolution towards supporting policy control functions is mainly aiming for the policy control of the service itself.

Once the architecture and functionalities described in this Annex become stable, parts of the content are intended to be moved to the main body of this document. Some parts have been included in the body upon this release of the specifications, other parts may be included in the next release.

D.2 General architectural considerations

Considering the FBC development described in this specification, as well as the definition of new services e.g. IMS based services, which were not available in Release 5, it has been recognized that there is a need to introduce flexibility in the handling of the different services. It will be studied whether a CRF responsible for Charging Rules and Policy control may be considered. This could facilitate the possibility to minimize the number of nodes to maintain as well as for Stage 3 defined interfaces i.e. from a Stage 3 point of view interfaces may be re-used.

Media flows for an AF (e.g. IMS) can be divided into two categories:

- Peer-to-peer where the AF (e.g. P-CSCF) may provide information to the CRF for Charging Rule selection;
- Client/Server media flows where the AF (e.g. AS) sends input to the CRF for Charging Rule selection. The handling of the Charging Rule procedures as defined in Annex B is to be performed dynamically.

The handling of Charging Rules and the procedures related to selecting charging rules is specified in this technical specification. Below, the procedures for possible handling of policy control within the FBC framework are described.

It shall be possible to have multiple flows over the same PDP context.

It shall be possible to support generic IP flow policies.

The CRF shall take the responsibility for all applications, which means that conflicts between policies are alleviated facilitating easier and faster provisioning of services. The CRF shall be responsible for the precedences of the policies. An AS may provide information to the CRF whether the subscriber is allowed to access the service or not as an input to the decision function for filter definition.

The evolved FBC architecture including not only charging rules but also policy control shall implement policies for both IMS and non-IMS services, as SBLP has also been generalized in Rel6 to support both IMS and non-IMS services.

The CRF not only provides dynamic filters but also references to pre-configured filters.

The following subclauses provide a list and corresponding analysis of policy functions considered to be provided by the FBC architecture.

D.2.1 Charging correlation

The FBC architecture provides an alternative bearer charging mechanism. The charging key passed to the OCS/CCF is the only input to the rating logic (along with any AF/CSCF input about type of sessions, start/stop time of session etc. that may have come from Ro/Rf).

FBC provides the capability for charging correlation through the usage of Application Function Record information. In case of IMS the Application Function Record information should include the ICID and the flow ID(s).

Since the charging systems may need to be upgraded in this release to support FBC, we could use the FBC model and logic based on the charging key, instead of adding any correlation identifier (ICID) to Gx/Gy.

This function is part of this release.

D.2.2 Gating

This refers to the ability to block or allow traffic to flow. This can be achieved by the TPF in the FBC architecture which discards the packets for the service data flow in case of no applicable filters for this service data flow. However, it is only possible to implicitly block a specific service data flow, i.e. in case there are no other charging rules matching the service data flow for any bearer.

For peer-to-peer traffic, special rates may apply. The gate could therefore be either closed for this traffic before the applicable filters are available, or the gate could be opened with a more generic charging rule which doesn't allow for this special rate to apply yet.

The AF (e.g. P-CSCF) could wait until answer to give Rx input to the CRF which then sends this information down to the TPF, allowing for the filters for this peer-to-peer traffic to form a new charging rule. This allows waiting until the final SDP and the actual answer to allow the special rate to apply (and possibly the traffic to flow if no other filters were applicable before). As soon as the rules are sent down to the TPF then they are active at the TPF.

Compared to Gq/Go gating functionality the FBC ability of blocking traffic provides for further flexibility in combining the charging and policy models, because Go/Gq do not provide for a model where different rates can be applied in combination with different gating rules. However, FBC is able to prevent the usage of a specific PDP context as Gq/Go gating functionality does as long as there is no other matching charging rule established for this PDP context.

The functionality for allowing and implicate blocking of service data flows is part of this release.

Editor's note: It is FFS if and how the explicite blocking (i.e. blocking of a specific service data flow that also matches a generic charging rule) can be provided by FBC.

D.2.3 QoS control

This refers to the ability to authorize different QoS for different applications (even peer-to-peer session) and to the ability to control the bandwidth usage once the traffic has been allowed to flow.

Requirements need to be identified for QoS control in the context of FBC, which could be different needs than those of SBLP and Go. FBC provides means to control what bearer a service flow is allowed to be carried on. This implicitly allows the CRF to control the QoS parameters of the bearer a service flow is allowed to use. A charging rule may only apply to one or more particular bearer(s) (to a particular PDP Context in case of GPRS). Hence, the QoS the service flow is allowed to use is restricted to the QoS of a particular bearer(s).

To evolve the FBC architecture towards complete QoS control for the service data flow as well as the bearer enhancements and extensions are probably required. The binding concept could be replaced by TFT interpretation to some extent but for the uplink similar information would be required. The control of the bitrate of a PDP context is only possible in case the charging rules apply only to a particular bearer. Otherwise one does not know on which bearer and at which time the service data flows occur.

The functionality for limiting the maximum QoS class of a service data flow is part of this release.

Editor's note: It is FFS how complete QoS control can be provided by FBC

D.2.4 Bearer events

Indication of bearer events could allow for communication between the GGSN and the AF (P-CSCF in IMS).

In case a charging rule for an AF service flow applies only to a particular bearer, it is possible for the CRF to inform the AF about events related to that bearer. However, this bearer event indication functionality of FBC only works if there is no matching charging rule installed for any other bearer.

In case a charging rule for an AF service flow applies to more than one bearer of a UE IP address or more than one matching charging rule is applied, it is only possible for the CRF to inform the AF in case of the removal of all these bearers of a UE IP address (i.e. the AF is not aware of the removal of individual bearers). Because due to the missing binding concept it is difficult to predict if a service data flow would use another PDP context instead once the previously used PDP context was deleted. Therefore, it may not be necessary or even wrong to inform the AF. Furthermore, the knowledge which service data flows are currently active may need to be extended to the CRF because an AF is only interested in such information if the corresponding service data flow is currently active.

The functionality for informing the CRF about the removal the last bearer for a specific IP address and APN is part of this release. Based on the applied charging rules, the CRF may also be able to inform the AF about events related to a particular bearer.

Editor's note: There is a need to confirm whether this functionality is required in the case that the service data flow used for the AF session can be found on multiple bearers.

D.2.5 Session events

This refers to the ability to react on AF session modification or AF session release, e.g. upon IMS session release. This can be provided by the Rx input which allows the AF to tell the CRF that e.g. no charging rule exists for a traffic flow any more, meaning the traffic will no longer be allowed at the TPF. The same applies if, over the Gy reference point, the OCS indicates to abort the session (Abort Session Request in Diameter Credit Control).

While the FBC architecture supports an update of charging rules in the TPF due to a session modification, it is only to some extent possible to enforce a bearer modification or removal. It is possible to disable the service data flow belonging to the AF session as long as there are no other matching charging rules. However the actual bearer release or modification cannot be enforced in general.

The functionality for updating the charging rules in the TPF due to a session modification is part of this release.

Editor's note: It is FFS if and when the TPF could release the entire bearer (e.g. GGSN PDP context deletion).

D.3 Summary and comparison

<u>Go/Gq procedure</u>	<u>Provides for</u>	<u>FBC equivalent in this release</u>	<u>FBC equivalent not in this release</u>
<u>Authorize QoS Resources, AF session establishment</u>	<u>QoS control, charging correlation</u>	<u>Transfer of charging correlation information</u> <u>Or relies on charging key for rating instead of charging correlation</u> <u>QoS control is limited to maximum QoS class for a service data flow (in case no other matching charging rule is in place)</u>	<u>Complete QoS control for service data flow and the bearer is FFS</u>
<u>Authorize QoS Resources, bearer establishment</u>	<u>QoS control, charging correlation</u>	<u>Transfer of charging correlation information</u> <u>Or relies on charging key for rating instead of charging correlation</u> <u>QoS control is limited to maximum QoS class for a service data flow (in case no other matching charging rule is in place)</u>	<u>Complete QoS control for service data flow and the bearer is FFS</u>
<u>Enable Media procedure</u>	<u>Gating (open)</u>	<u>Provide charging rules over Gx for the traffic flow</u> <u>Provide credit over Gy for the traffic flow</u> <u>Service data flow can be enabled and usage of bearer controlled (in case no other matching charging rule is in place)</u>	<u>Control of bearer usage in case of existing other matching charging rules is FFS</u>

<u>Disable Media procedure</u>	<u>Gating (close)</u>	<u>Provide no charging rule over Gx for the traffic flow</u> <u>Provide no credit over Gy for the traffic flow</u> <u>Service data flow can be disabled and usage of bearer controlled (in case no other matching charging rule is in place)</u>	<u>Control of bearer usage and explicit disabling in case of existing other matching charging rules is FFS</u>
<u>Revoke Authorization for GPRS and IP Resources</u>	<u>Session events</u>	<u>AF input to provision of charging rules over Rx followed by Provision of Charging Rules triggered by other event to the CRF.</u> <u>Or OCS Abort Session Request</u>	<u>Complete QoS control for service data flow and the bearer is FFS</u>
<u>Indication of PDP Context Release</u>	<u>Bearer events</u>	<u>Bearer service termination over Gx and Gy</u> <u>Rx in case a charging rule applies only to this bearer and no other matching charging rules are used on any other bearer</u>	<u>Rx in the general case is FFS</u>
<u>Authorization of PDP Context Modification</u>	<u>QoS control</u>	<u>Bearer service modification over Gx</u> <u>Rx in case a charging rule applies only to this bearer and no other matching charging rules are used on any other bearer</u>	<u>Complete QoS control for service data flow and the bearer is FFS</u> <u>Rx in the general case is FFS</u>
<u>Indication of PDP Context Modification</u>	<u>Bearer events</u>	<u>Bearer service modification over Gx</u> <u>Rx in case a charging rule applies only to this bearer and no other matching charging rules are used on any other bearer</u>	<u>Rx in the general case is FFS</u>
<u>Update Authorization procedure</u>	<u>QoS control</u>	<u>AF input to provision of charging rules over Rx followed by Provision of Charging Rules triggered by other event to the TPF.</u> <u>Or OCS initiated re-authorisation</u>	<u>Complete QoS control for service data flow and the bearer is FFS</u>

Annex E (informative): Change history

Change history						
Date	TSG #	TSG Doc.	CR	Rev	Subject/Comment	Old
2004-01					Initial base	0.0.1
2004-01					Moved text from TR 23.825 v 1.4.0, editorial changes	0.0.1
2004-02					Updated with approved contributions from SA2#38 (Atlanta): S2-040695, S2-040696, S2-040700, S2-040701, S2-040702, S2-040703, S2-040705, S2-040706, S2-040707, S2-040708, S2-040963, S2-040964, S2-040965, S2-041027	0.1.0
2004-02					Editorial changes in chapter 7.1 and annex D	0.2.0
2004-03	SA #23	SP-040050			Presentation to SA #23 plenary for approval	0.2.1

2004-03	SA #23	SP-040050			<u>Raised to v.6.0.0 after approval at SA#23 (same content as previous version)</u>	2.0.0	6.0.0
2004-06	SA #24	SP-040314	002	1	<u>Introduction of charging rule identifier</u>	6.0.0	6.1.0
2004-06	SA #24	SP-040314	004	1	<u>Add some use cases to the Flow Based Charging</u>	6.0.0	6.1.0
2004-06	SA #24	SP-040314	006	2	<u>Time based charging tariffs</u>	6.0.0	6.1.0
2004-06	SA #24	SP-040314	008	2	<u>FBC and IMS</u>	6.0.0	6.1.0
2004-06	SA #24	SP-040314	009		<u>RAT Type as possible re-authorisation trigger</u>	6.0.0	6.1.0
2004-06	SA #24	SP-040314	011	1	<u>Ro reference removal</u>	6.0.0	6.1.0
2004-06	SA #24	SP-040314	013	2	<u>Traffic flow at TPF</u>	6.0.0	6.1.0
2004-06	SA #24	SP-040314	016	1	<u>Time and volume allocation in online charging</u>	6.0.0	6.1.0
2004-06	SA #24	SP-040314	018		<u>General Corrections</u>	6.0.0	6.1.0
2004-06	SA #24	SP-040314	019		<u>Gx connection maintenance</u>	6.0.0	6.1.0
2004-06	SA #24	SP-040314	020		<u>Rx connection maintenance</u>	6.0.0	6.1.0
2004-06	SA #24	SP-040314	021	2	<u>FBC online charging</u>	6.0.0	6.1.0
2004-06	SA #24	SP-040314	024	1	<u>Message flow for input to provision of charging rules in Ry interface</u>	6.0.0	6.1.0
2004-06	SA #24	SP-040314	025	2	<u>Correction to the Termination Action</u>	6.0.0	6.1.0
2004-06	SA #24	SP-040314	027		<u>Clarification to the charging rule</u>	6.0.0	6.1.0
2004-06	SA #24	SP-040314	028	1	<u>Information to support charging rule selection</u>	6.0.0	6.1.0
2004-06	SA #24	SP-040314	033	1	<u>Re-authorization in case of charging rule change</u>	6.0.0	6.1.0
2004-06	SA #24	SP-040314	035	1	<u>Applying charging rules input from AF</u>	6.0.0	6.1.0
2004-06	SA #24	SP-040314	036	1	<u>Set of charging rules</u>	6.0.0	6.1.0
2004-06	SA #24	SP-040314	037	3	<u>Policy functions provided by FBC</u>	6.0.0	6.1.0
2004-06	SA #24	SP-040314	043	1	<u>TPF in the GGSN for WLAN access</u>	6.0.0	6.1.0
2004-06	SA #24	SP-040314	045	2	<u>FBC and GAA</u>	6.0.0	6.1.0
2004-06	SA #24	SP-040314	046	2	<u>Limitations of FBC for IMS</u>	6.0.0	6.1.0
2004-06	SA #24	SP-040458	047		<u>Combined CR for CR#3, CR#12, CR#14</u>	6.0.0	6.1.0
2004-09	SA #25	SP-040518	048	2	<u>Add CCF and/or OCS address to charging rule</u>	6.1.0	6.2.0
2004-09	SA #25	SP-040518	051	1	<u>Add a definition of TPF/CRF instance</u>	6.1.0	6.2.0
2004-09	SA #25	SP-040518	055		<u>Allowing specific charging rule selection for dedicated IMS signalling PDP contexts</u>	6.1.0	6.2.0
2004-09	SA #25	SP-040518	056	2	<u>Application Function Tag</u>	6.1.0	6.2.0
2004-09	SA #25	SP-040518	059	2	<u>Clarification on precedence of charging rules</u>	6.1.0	6.2.0
2004-09	SA #25	SP-040518	060	2	<u>Rx/Gx functions and SBLP usage in IMS Charging</u>	6.1.0	6.2.0
2004-09	SA #25	SP-040518	061	2	<u>Policy control functions provided by FBC</u>	6.1.0	6.2.0
2004-09	SA #25	SP-040518	063	2	<u>Clarification of pre-defined charging rules</u>	6.1.0	6.2.0
2004-09	SA #25	SP-040518	064		<u>Clarification on charging rules</u>	6.1.0	6.2.0
2004-09	SA #25	SP-040518	065	2	<u>Traffic plane function behavior</u>	6.1.0	6.2.0
2004-09	SA #25	SP-040518	066	2	<u>Termination action</u>	6.1.0	6.2.0
2004-09	SA #25	SP-040518	067		<u>Re-authorization triggers</u>	6.1.0	6.2.0
2004-09	SA #25	SP-040518	068	1	<u>Gx reference point functions</u>	6.1.0	6.2.0
2004-09	SA #25	SP-040518	069	2	<u>Clarifications in message flows</u>	6.1.0	6.2.0
2004-09	SA #25	SP-040518	070	2	<u>Bearer service modification in case of online charging</u>	6.1.0	6.2.0
2004-09	SA #25	SP-040518	071	2	<u>Policy functions of FBC – update of Annex D</u>	6.1.0	6.2.0
2004-09	SA #25	SP-040518	072	1	<u>Removal of superfluous FFS notes</u>	6.1.0	6.2.0
2004-09	SA #25	SP-040518	074	2	<u>FBC Control</u>	6.1.0	6.2.0

2004-09	SA #25	SP-040518	075	1	TPF performing no charging	6.1.0	6.2.0
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