In the Matter Of:

VF OUTDOOR, LLC

vs

COCONA, INC.

STEPHEN J. CALLAN

December 14, 2018



## STEPHEN J. CALLAN - 12/14/2018

1	UNITED STATES PATENT AND TRADEMARK OFFICE
2	
3	BEFORE THE PATENT TRIAL AND APPEAL BOARD
4	
5	
6	VF OUTDOOR, LLC Petitioner,
7	v.
8	COCONA, INC.,
9	Patent Owner.
10	
11	Case No.: IPR2018-00190 Patent No.: 8,945,287
12	
13	
14	DEPOSITION OF STEPHEN J. CALLAN
15	Taken on behalf of the Petitioner
16	December 14, 2018
17	
18	
19	Carla M. Klaustermeier, RPR, CCR, CSR, CRR
20	Illinois CSR License No. 084-004691 Missouri CCR License No. 1278
21	
22	
23	
24	
25	Job No. MP-201301

	STEPHEN J. CALL	TATA	- 12/14/2018 Pages 25
1	Page 2 INDEX OF EXAMINATION	1	Page $4$ United states patent and trademark office
2	WITNESS: STEPHEN J. CALLAN	2	BEFORE THE PATENT TRIAL AND APPEAL BOARD
4	Examination By Mr. Gergely5	4	
5	Examination By Mr. Jackson	5	VF OUTDOOR, LLC
6 7	Examination By Mr. Gergely186	6	Petitioner,
8		7	v
	INDEX OF EXHIBITS	8	COCONA, INC., Patent Owner.
9	Exhibit 18	9	
10	Declaration Submitted for this Case	10	
11	Exhibit 2	10	Case No.: IPR2018-00190
12	Haggquist Patent Application	11	Patent No.: 8,945,287
	Exhibit 356	12	DEPOSITION OF STEPHEN J. CALLAN, produced,
13	'287 Patent	13	sworn, and examined on behalf of the Petitioner,
14	Exhibit 4	14	December 14, 2018, between the hours of 9:00 in the forenoon and 3:26 in the afternoon of that day, at 10 South Broadway, Suite 1400, St. Louis, Missouri
15	Exhibit 5	15	63102, before Carla M. Klaustermeier, a Registered
16	Safety Data Sheet from Asbury	1,	Professional Reporter, Certified Court Reporter,
17	Carbons	16 17	Certified Shorthand Reporter, and Certified Realtime Reporter.
18	Exhibit 6	18	APPEARANCES
19	Exhibit 7	19	Mr. Doton & Gargelia of Marchael C. G. 33
20	Patent 4,194,041	20	Mr. Peter A. Gergely, of Merchant & Gould, PC, 1801 California Street, Suite 3300, Denver, CO 80202, appeared on behalf of the Petitioner,
0.1	Exhibit 8	21	VF Outdoor, LLC.
21	Wang Article Labeled VF 1028 Exhibit 9	22	Mr. Jason S. Jackson, of Kutak Rock LLP, 1650 Farnam Street, The Omaha Building, Omaha, NE
	Wang Document Entitled "Microporous	23	68102, appeared on behalf of the Patent Owner,
23	Layer with Composite Carbon Black"  Exhibit 10145		Cocona, Inc.
24	Halley Reference	24	Also Present via Realtime Feed: Ms. Kathleen
25		25	Ott
	Page 3		Page 5
1 2	(Exhibits Continued) Exhibit 11	1	IT IS STIPULATED AND AGREED by and between
	Document Entitled Introduction to	2	counsel for the Petitioner and counsel for the
3 4	Organic Laboratory Techniques Exhibit 12	3	Patent Owner that the deposition of STEPHEN J. CALLAN
1	Document Entitled Fluorescent-Based	4	may be taken in shorthand by Carla M. Klaustermeier, a
5	Genetic Analysis for a Microfluidics	5	Registered Professional Reporter, a Certified Court
6	Device	6	Reporter, a Certified Shorthand Reporter and Certified
-	Exhibit 13162	7	Realtime Reporter, and afterwards transcribed into
7	Larger Document Entitled	8	typewriting, and the signature of the witness is
8	Fluorescent-Based Genetic Analysis for a Microfluidics Device	9	reserved by agreement of counsel and the witness.
9	Exhibit 14164	10	* * * *
10	Cocona's Infringement Claim Chart	11	STEPHEN J. CALLAN,
1		l .	of lawful age, being produced, sworn, and examined on
1	Exhibit 15		or ramear ago, borning producta, bworn, and thanklita on
11	Document Entitled "Water Sorption in		
		13	the part of the Petitioner, and after responding
12	Document Entitled "Water Sorption in a Dextran Gel"  Exhibit 16	13 14	the part of the Petitioner, and after responding "I do" to the oath administered by the court reporter,
	Document Entitled "Water Sorption in a Dextran Gel"  Exhibit 16	13 14 15	the part of the Petitioner, and after responding "I do" to the oath administered by the court reporter, deposes and says:
12	Document Entitled "Water Sorption in a Dextran Gel"  Exhibit 16	13 14 15 16	the part of the Petitioner, and after responding "I do" to the oath administered by the court reporter, deposes and says:  * * * * *
12	Document Entitled "Water Sorption in a Dextran Gel"  Exhibit 16	13 14 15	the part of the Petitioner, and after responding "I do" to the oath administered by the court reporter, deposes and says:  * * * * *  [EXAMINATION]
12 13 14 15	Document Entitled "Water Sorption in a Dextran Gel"  Exhibit 16	13 14 15 16	the part of the Petitioner, and after responding "I do" to the oath administered by the court reporter, deposes and says:  * * * * *
12 13 14	Document Entitled "Water Sorption in a Dextran Gel"  Exhibit 16	13 14 15 16 17	the part of the Petitioner, and after responding "I do" to the oath administered by the court reporter, deposes and says:  * * * * *  [EXAMINATION]
12 13 14 15 16	Document Entitled "Water Sorption in a Dextran Gel"  Exhibit 16	13 14 15 16 17 18	the part of the Petitioner, and after responding "I do" to the oath administered by the court reporter, deposes and says:  * * * * *  [EXAMINATION]  BY MR. GERGELY:
12 13 14 15 16 17 18	Document Entitled "Water Sorption in a Dextran Gel"  Exhibit 16	13 14 15 16 17 18 19	the part of the Petitioner, and after responding "I do" to the oath administered by the court reporter, deposes and says:  * * * * *  [EXAMINATION]  BY MR. GERGELY:  Q Good morning, sir. Please state your name
12 13 14 15 16 17 18 19 20	Document Entitled "Water Sorption in a Dextran Gel"  Exhibit 16	13 14 15 16 17 18 19 20	the part of the Petitioner, and after responding "I do" to the oath administered by the court reporter, deposes and says:  * * * * *  [EXAMINATION]  BY MR. GERGELY:  Q Good morning, sir. Please state your name for the record.
12 13 14 15 16 17 18 19 20 21	Document Entitled "Water Sorption in a Dextran Gel"  Exhibit 16	13 14 15 16 17 18 19 20 21	the part of the Petitioner, and after responding "I do" to the oath administered by the court reporter, deposes and says:  *****  [EXAMINATION]  BY MR. GERGELY:  Q Good morning, sir. Please state your name for the record.  A Stephen Jeffrey Callan.  Q And what do you do for a living?
12 13 14 15 16 17 18 19 20	Document Entitled "Water Sorption in a Dextran Gel"  Exhibit 16	13 14 15 16 17 18 19 20 21 22 23	the part of the Petitioner, and after responding "I do" to the oath administered by the court reporter, deposes and says:  *****  [EXAMINATION]  BY MR. GERGELY:  Q Good morning, sir. Please state your name for the record.  A Stephen Jeffrey Callan.  Q And what do you do for a living?  A I'm a polymer chemist.
12 13 14 15 16 17 18 19 20 21 22	Document Entitled "Water Sorption in a Dextran Gel"  Exhibit 16	13 14 15 16 17 18 19 20 21 22	the part of the Petitioner, and after responding "I do" to the oath administered by the court reporter, deposes and says:  *****  [EXAMINATION]  BY MR. GERGELY:  Q Good morning, sir. Please state your name for the record.  A Stephen Jeffrey Callan.  Q And what do you do for a living?

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Page 6
                                                                                                               Page 8
 1
    St. Louis, Inc.
                                                             1 representing VF Outdoor, LLC.
 2
         Q And what's their line of business?
                                                                          MR. JACKSON: Jason Jackson representing
 3
         Α
              I specialize in military and industrial
                                                                 Cocona, Inc.
    failure analysis and reverse engineering of polymeric
 4
                                                             4
                                                                          THE WITNESS: Steve Callan, Polymer Testing
 5
    compounds.
                                                                 of St. Louis, Inc.
         Q So for failure analysis, what kind of work
                                                                          MR. GERGELY: And we have Kathy Ott and
    do you do typically?
                                                             7
                                                                Andrew Larsen from my firm may be watching a
 8
         A Military, I have conducted failure analyses
                                                             8
                                                                 transcript from time to time on a feed.
    on the Longbow missile, the Patriot missile, the Simon
 9
                                                                          Can you mark that as Callan 1?
    missile, nuclear missile transport vehicles, nuclear
                                                                               (Exhibit 1, Declaration Submitted for
10
                                                            10
    submarines, Hubble telescope, space shuttle.
11
                                                            11
                                                                               this Case, was marked for
         Q And are you testing polymeric materials?
12
                                                            12
                                                                               identification.)
13
         A I can't tell you too much because most of
                                                                          (By Mr. Gergely) Sir, I've handed you what's
                                                            13
    it's national defense.
                                                                been marked as Callan 1. Is this the declaration
14
                                                            14
15
         Q Gotcha.
                                                            15
                                                                 you've submitted in this case?
16
         Α
              But I specialize in polymeric materials.
                                                            16
                                                                     Α
                                                                          One moment. Yes.
                                                                          So how is your billing working in this case?
17
         Q Okay. And then what is safe for a
                                                            17
                                                                      Q
    non-defense client? What would a failure analysis
                                                                Are you getting paid by IMS, or are you getting paid
18
19
    consist of?
                                                                by Cocona or Kutak Rock?
                                                            19
20
        A General Motors, Ford, and Chrysler
                                                            20
                                                                     A TMS.
    automotive. Failures I brought in when an entire
                                                                          IMS. And so it -- I saw in here that your
22
    factory shuts down to figure out why and prescribe
                                                                rate was -- was it 650 an hour?
23
    corrective action to get it going again. For
                                                            23
                                                                     Α
                                                                          625.
    coatings, for cars, you know, air bags, body panels,
                                                            24
                                                                      0
                                                                          625. And then of that, how much is paid to
    headlamps, on engine shims, hoses, belts. That's for
                                                            25 you?
                                                  Page 7
                                                                                                               Page 9
1
    auto.
                                                             1
                                                                      Α
                                                                          425.
              But I mean, if you look around the room,
                                                                      0
                                                                          And do you see the bills that are submitted
    I've done this for 30 years. I've had my own after
                                                                by IMS to Kutak Rock or Cocona?
3
    30 years. Things I had reverse engineered and done
                                                                     Α
                                                                         I do not.
    failure analysis on are everywhere.
                                                                          Okay. Do you know how much you've billed on
              So in terms of failure analysis, are you
                                                                 this matter?
 6
                                                             6
    trying to figure out what went wrong with a product,
 7
                                                             7
                                                                     Α
                                                                          As my part of 425 an hour?
    or are you sometimes trying to test a product to show
                                                                          Yeah. I -- I -- because I assume you're not
    that it has, you know, a certain amount of stress or
                                                                 seeing -- you're not seeing the bills for 625.
                                                             9
    wear associated with it before it fails?
                                                                          I don't -- that's right. I send hours.
10
                                                            10
                                                                     Α
11
              Some of both. Most often, I'm brought in
                                                            11
                                                                          Yeah. So how much -- how much have you
                                                                     0
12
    while a failure event is in process.
                                                            12
                                                                 billed?
13
         Q And then in terms of reverse engineering,
                                                            13
                                                                          55,000 approximately.
                                                                     Α
    what does that entail?
14
                                                            14
                                                                          Okay.
15
         A I can reverse polymeric materials down to
                                                            15
                                                                          I don't know the exact number.
                                                                      Α
                                                                          55,000?
16
    propylene and tell you where they bought the wrong
                                                            16
                                                                      Q
17
    materials. I invented the methods starting while I
                                                            17
                                                                     Α
                                                                          (The witness nodded head up and down.)
18
    was in graduate school.
                                                            18
                                                                     Q
                                                                          And has that been -- has that been paid?
19
         Q
              And have you done any of that work in this
                                                            19
                                                                     Α
                                                                          It has.
20
    case?
                                                            20
                                                                      Q
                                                                          And that was -- the 55,000 was for -- for
21
         A I have not.
                                                                what? For reading documents and preparing the
22
         0
             Have you been asked to?
                                                            22
                                                                 declaration?
23
              I have not.
                                                            23
                                                                          That's correct.
24
              MR. JACKSON: Can we do a quick roll call?
                                                            24
                                                                      Q
                                                                          And then getting ready for today's
25
              MR. GERGELY: Sure. So Peter Gergely
                                                                deposition?
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		STEPHEN J. CALI	15-7TA	- 12/	14/2018 Pages 1013
		Page 10	1		Page 12
1	A	Well, that's separate.	1	A	Yes.
2	Q	Okay. And then you met with Mr. Jackson for	2	Q	Cinoxate?
3	that pur		3	A	No.
4	A	I did.	4	Q	What did do you know what cinoxate is?
5	Ω	Okay. And that was what was that,	5	A	From the chemical structure, I don't know it
6	yesterda -		6	by heart	
7	A	Yes.	7	Q	Okay. But do you know do you know it's
8	Q .	Okay. And I see towards the back of the	8		ive to sunscreen?
9		under your rate schedule, it said you had a	9	A	I do not.
10		engagement amount. Is that is that	10	Q	Okay. So are you familiar with cinoxate at
11		ent driven by IMS or by you?	11	all?	
12	A	Where are you looking?	12	A	I know that it is an adsorptive particle.
13	Q	It would be in after your signature in	13	Q	Okay. How do you know that?
14		ection towards the back. It's on the back	14	A	Studying cinoxate before this.
15	page of	what you're looking at.	15	Q	Okay. You mean before this case
16	A	Where are you looking?	16	A	No
17	Q	So keep going back. It's this page. There	17	Q	before this case?
18	you go.		18	A	During the development and the initial.
19		So there's a there's a line item that	19	Q	I'm sorry. So you became familiar
20	says "Mi	nimum Engagement Amount," and my question was,	20	A	I have studied sunscreen.
21	is that	requirement driven by you or by IMS?	21	Q	Okay. But did you studied cinoxate with
22	А	By IMS.	22	respect t	to this matter that we're working on?
23	Q	And under under your experience, it says	23	А	Yes.
24	you have	you specialize in formulation,	24	Q	Okay. And then what did you do?
25	deformul	ation, research, and development polymers. Is	25	A	What did I do regarding what?
		Page 11			Page 13
1	that a f	Page 11 air description of your expertise?	1	Q	Page 13 Well, you said you had studied it, so and
1 2	that a f		1 2		<u> </u>
	А	air description of your expertise?		you said	Well, you said you had studied it, so and
2	А	air description of your expertise?  I specialize in failure analysis, and it	2	you said	Well, you said you had studied it, so and you became familiar that it's an adsorptive . So I'm asking you, how did you come to that
2 3	A encompas <b>Q</b>	air description of your expertise?  I specialize in failure analysis, and it ses formulation and deformulation.	2	you said	Well, you said you had studied it, so and you became familiar that it's an adsorptive . So I'm asking you, how did you come to that
2 3 <b>4</b>	A encompas <b>Q</b>	air description of your expertise?  I specialize in failure analysis, and it ses formulation and deformulation.  Do you have any education or training or	2 3 4	you said particle understar	Well, you said you had studied it, so and you became familiar that it's an adsorptive . So I'm asking you, how did you come to that nding?
2 3 4 5	A encompas Q relevant	air description of your expertise?  I specialize in failure analysis, and it ses formulation and deformulation.  Do you have any education or training or experience with particles?	2 3 4 5	you said particle understar	Well, you said you had studied it, so and you became familiar that it's an adsorptive . So I'm asking you, how did you come to that nding?  I looked for the definition of cinoxate in
2 3 4 5 6	A encompas Q relevant	air description of your expertise?  I specialize in failure analysis, and it ses formulation and deformulation.  Do you have any education or training or experience with particles?  Yes.	2 3 4 5	you said particle understan A my text a	Well, you said you had studied it, so and you became familiar that it's an adsorptive . So I'm asking you, how did you come to that nding?  I looked for the definition of cinoxate in and what it is.
2 3 4 5 6 7	A encompas Q relevant A Q A	air description of your expertise?  I specialize in failure analysis, and it ses formulation and deformulation.  Do you have any education or training or experience with particles?  Yes.  And what is that?	2 3 4 5 6 7	you said particle understan  A my text a	Well, you said you had studied it, so and you became familiar that it's an adsorptive  So I'm asking you, how did you come to that nding?  I looked for the definition of cinoxate in and what it is.  Okay. So you looked it up in the textbooks?
2 3 4 5 6 7 8	A encompas Q relevant A Q A	air description of your expertise?  I specialize in failure analysis, and it ses formulation and deformulation.  Do you have any education or training or experience with particles?  Yes.  And what is that?  I have been analyzing particulate additives	2 3 4 5 6 7 8	you said particle understan A my text a Q A	Well, you said you had studied it, so and you became familiar that it's an adsorptive. So I'm asking you, how did you come to that nding?  I looked for the definition of cinoxate in and what it is.  Okay. So you looked it up in the textbooks?  Yes.
2 3 4 5 6 7 8	A encompas Q relevant A Q A to polym	air description of your expertise?  I specialize in failure analysis, and it ses formulation and deformulation.  Do you have any education or training or experience with particles?  Yes.  And what is that?  I have been analyzing particulate additives ers since 1979.	2 3 4 5 6 7 8 9	you said particle. understan A my text a Q A Q	Well, you said you had studied it, so and you became familiar that it's an adsorptive . So I'm asking you, how did you come to that nding?  I looked for the definition of cinoxate in and what it is.  Okay. So you looked it up in the textbooks? Yes.  And did you see that it was a liquid?
2 3 4 5 6 7 8 9	A encompas Q relevant A Q A to polym	air description of your expertise?  I specialize in failure analysis, and it ses formulation and deformulation.  Do you have any education or training or experience with particles?  Yes.  And what is that?  I have been analyzing particulate additives ers since 1979.  And which ones have you analyzed?	2 3 4 5 6 7 8 9	you said particle understan  A my text a  Q A Q A	Well, you said you had studied it, so and you became familiar that it's an adsorptive. So I'm asking you, how did you come to that nding?  I looked for the definition of cinoxate in and what it is.  Okay. So you looked it up in the textbooks?  Yes.  And did you see that it was a liquid?  I did not.
2 3 4 5 6 7 8 9 10	A encompas Q relevant A Q A to polym Q A	air description of your expertise?  I specialize in failure analysis, and it ses formulation and deformulation.  Do you have any education or training or experience with particles?  Yes.  And what is that?  I have been analyzing particulate additives ers since 1979.  And which ones have you analyzed?  Which particles?	2 3 4 5 6 7 8 9 10 11	you said particle understan A my text a Q A Q A Q A	Well, you said you had studied it, so and you became familiar that it's an adsorptive. So I'm asking you, how did you come to that nding?  I looked for the definition of cinoxate in and what it is.  Okay. So you looked it up in the textbooks? Yes.  And did you see that it was a liquid?  I did not.  What did you see with respect to cinoxate?
2 3 4 5 6 7 8 9 10 11 12	A encompas Q relevant A Q A to polym Q A	I specialize in failure analysis, and it ses formulation and deformulation.  Do you have any education or training or experience with particles?  Yes.  And what is that?  I have been analyzing particulate additives ers since 1979.  And which ones have you analyzed?  Which particles?  Correct.	2 3 4 5 6 7 8 9 10 11	you said particle understan A my text a Q A Q A Q A	Well, you said you had studied it, so and you became familiar that it's an adsorptive. So I'm asking you, how did you come to that nding?  I looked for the definition of cinoxate in and what it is.  Okay. So you looked it up in the textbooks? Yes.  And did you see that it was a liquid?  I did not.  What did you see with respect to cinoxate?  I studied it specifically regarding whether
2 3 4 5 6 7 8 9 10 11 12	A encompas Q relevant A Q A to polym Q A Q A	I specialize in failure analysis, and it ses formulation and deformulation.  Do you have any education or training or experience with particles?  Yes.  And what is that?  I have been analyzing particulate additives ers since 1979.  And which ones have you analyzed?  Which particles?  Correct.  You want me to list all the particles?	2 3 4 5 6 7 8 9 10 11 12 13	you said particle understan A my text a Q A Q A Q A it was al	Well, you said you had studied it, so and you became familiar that it's an adsorptive. So I'm asking you, how did you come to that nding?  I looked for the definition of cinoxate in and what it is.  Okay. So you looked it up in the textbooks? Yes.  And did you see that it was a liquid?  I did not.  What did you see with respect to cinoxate?  I studied it specifically regarding whether bsorptive or adsorptive.
2 3 4 5 6 7 8 9 10 11 12 13	A encompas Q relevant A Q A to polym Q A Q A	I specialize in failure analysis, and it ses formulation and deformulation.  Do you have any education or training or experience with particles?  Yes.  And what is that?  I have been analyzing particulate additives ers since 1979.  And which ones have you analyzed?  Which particles?  Correct.  You want me to list all the particles?  Is it a long list?	2 3 4 5 6 7 8 9 10 11 12 13 14	you said particle understan A my text a Q A Q A Q A it was al	Well, you said you had studied it, so and you became familiar that it's an adsorptive. So I'm asking you, how did you come to that nding?  I looked for the definition of cinoxate in and what it is.  Okay. So you looked it up in the textbooks? Yes.  And did you see that it was a liquid?  I did not.  What did you see with respect to cinoxate?  I studied it specifically regarding whether bsorptive or adsorptive.  Okay. And what was the name of that text
2 3 4 5 6 7 8 9 10 11 12 13 14	A encompass Q relevant A Q A to polym Q A Q A Q A	I specialize in failure analysis, and it ses formulation and deformulation.  Do you have any education or training or experience with particles?  Yes.  And what is that?  I have been analyzing particulate additives ers since 1979.  And which ones have you analyzed?  Which particles?  Correct.  You want me to list all the particles?  Is it a long list?  It is.	2 3 4 5 6 7 8 9 10 11 12 13 14 15	you said particle understan A my text a Q A Q A Q A it was al Q you were	Well, you said you had studied it, so and you became familiar that it's an adsorptive. So I'm asking you, how did you come to that inding?  I looked for the definition of cinoxate in and what it is.  Okay. So you looked it up in the textbooks? Yes.  And did you see that it was a liquid?  I did not.  What did you see with respect to cinoxate?  I studied it specifically regarding whether beorptive or adsorptive.  Okay. And what was the name of that text looking at or literature?
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2 3 4 5 6 7 8 9 10 11 12 13 14 15 16	A encompass Q relevant A Q A to polym Q A Q A Q A Q A Q A Q A Q activate	I specialize in failure analysis, and it sees formulation and deformulation.  Do you have any education or training or experience with particles?  Yes.  And what is that?  I have been analyzing particulate additives ers since 1979.  And which ones have you analyzed?  Which particles?  Correct.  You want me to list all the particles?  Is it a long list?  It is.  Well, maybe I'll give you some examples. Is d carbon one?	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16	you said particle understan A my text a Q A Q A it was al Q you were A one.	Well, you said you had studied it, so and you became familiar that it's an adsorptive  So I'm asking you, how did you come to that inding?  I looked for the definition of cinoxate in and what it is.  Okay. So you looked it up in the textbooks? Yes.  And did you see that it was a liquid?  I did not.  What did you see with respect to cinoxate?  I studied it specifically regarding whether bsorptive or adsorptive.  Okay. And what was the name of that text looking at or literature?  I have a library. I don't know the specific
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18	A encompas Q relevant A Q A to polym Q A Q A Q A Q A A	I specialize in failure analysis, and it ses formulation and deformulation.  Do you have any education or training or experience with particles?  Yes.  And what is that?  I have been analyzing particulate additives ers since 1979.  And which ones have you analyzed?  Which particles?  Correct.  You want me to list all the particles?  It is.  Well, maybe I'll give you some examples. Is d carbon one?  Yes.	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17	you said particle understan A my text a Q A Q A it was al Q you were A one.	Well, you said you had studied it, so and you became familiar that it's an adsorptive  So I'm asking you, how did you come to that inding?  I looked for the definition of cinoxate in and what it is.  Okay. So you looked it up in the textbooks? Yes.  And did you see that it was a liquid?  I did not.  What did you see with respect to cinoxate?  I studied it specifically regarding whether bsorptive or adsorptive.  Okay. And what was the name of that text looking at or literature?  I have a library. I don't know the specific
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2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20	A encompas Q relevant A Q A to polym Q A Q A Q A Q A Q A Q A Q A A Q A	I specialize in failure analysis, and it ses formulation and deformulation.  Do you have any education or training or experience with particles?  Yes.  And what is that?  I have been analyzing particulate additives ers since 1979.  And which ones have you analyzed?  Which particles?  Correct.  You want me to list all the particles?  It is.  Well, maybe I'll give you some examples. Is d carbon one?  Yes.  Silica gel?  Yes.	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20	you said particle. understar A my text a Q A Q A it was al Q you were A one. Q liquid? A Q	Well, you said you had studied it, so and you became familiar that it's an adsorptive  . So I'm asking you, how did you come to that inding?  I looked for the definition of cinoxate in and what it is.  Okay. So you looked it up in the textbooks? Yes.  And did you see that it was a liquid?  I did not.  What did you see with respect to cinoxate?  I studied it specifically regarding whether beorptive or adsorptive.  Okay. And what was the name of that text looking at or literature?  I have a library. I don't know the specific Would it surprise you if cinoxate was a  I don't know.
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21	A encompas Q relevant A Q A to polym Q A Q A Q A Q A Q A Q A Q A Q A Q A Q	I specialize in failure analysis, and it ses formulation and deformulation.  Do you have any education or training or experience with particles?  Yes.  And what is that?  I have been analyzing particulate additives ers since 1979.  And which ones have you analyzed?  Which particles?  Correct.  You want me to list all the particles?  Is it a long list?  It is.  Well, maybe I'll give you some examples. Is d carbon one?  Yes.  Silica gel?  Yes.  Zeolites?	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21	you said particle. understar A my text a Q A Q A it was al Q you were A one. Q liquid? A Q	Well, you said you had studied it, so and you became familiar that it's an adsorptive. So I'm asking you, how did you come to that inding?  I looked for the definition of cinoxate in and what it is.  Okay. So you looked it up in the textbooks? Yes.  And did you see that it was a liquid?  I did not.  What did you see with respect to cinoxate?  I studied it specifically regarding whether bisorptive or adsorptive.  Okay. And what was the name of that text looking at or literature?  I have a library. I don't know the specific  Would it surprise you if cinoxate was a  I don't know.  Do you know one way or the other whether
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	A encompas Q relevant A Q A to polym Q A Q A Q A Q A Q A Q A Q A Q A A Q A A Q A	air description of your expertise?  I specialize in failure analysis, and it ses formulation and deformulation.  Do you have any education or training or experience with particles?  Yes.  And what is that?  I have been analyzing particulate additives ers since 1979.  And which ones have you analyzed?  Which particles?  Correct.  You want me to list all the particles?  Is it a long list?  It is.  Well, maybe I'll give you some examples. Is d carbon one?  Yes.  Silica gel?  Yes.  Zeolites?	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	you said particle understan A my text a Q A Q A it was al Q you were A one. Q liquid? A Q it's a l:	Well, you said you had studied it, so and you became familiar that it's an adsorptive. So I'm asking you, how did you come to that inding?  I looked for the definition of cinoxate in and what it is.  Okay. So you looked it up in the textbooks? Yes.  And did you see that it was a liquid?  I did not.  What did you see with respect to cinoxate?  I studied it specifically regarding whether beorptive or adsorptive.  Okay. And what was the name of that text looking at or literature?  I have a library. I don't know the specific  Would it surprise you if cinoxate was a  I don't know.  Do you know one way or the other whether iquid or a solid?
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23	A encompas Q relevant A Q A to polym Q A Q A Q A Q A Q A Q A Q A Q A Q A Q	I specialize in failure analysis, and it ses formulation and deformulation.  Do you have any education or training or experience with particles?  Yes.  And what is that?  I have been analyzing particulate additives ers since 1979.  And which ones have you analyzed?  Which particles?  Correct.  You want me to list all the particles?  Is it a long list?  It is.  Well, maybe I'll give you some examples. Is d carbon one?  Yes.  Silica gel?  Yes.  Zeolites?  Yes.  Aluminum oxide?	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23	you said particle understan A my text a Q A Q A it was ai Q you were A one. Q liquid? A Q it's a l: A Q	Well, you said you had studied it, so and you became familiar that it's an adsorptive.  So I'm asking you, how did you come to that inding?  I looked for the definition of cinoxate in and what it is.  Okay. So you looked it up in the textbooks? Yes.  And did you see that it was a liquid?  I did not.  What did you see with respect to cinoxate?  I studied it specifically regarding whether beorptive or adsorptive.  Okay. And what was the name of that text looking at or literature?  I have a library. I don't know the specific  Would it surprise you if cinoxate was a  I don't know.  Do you know one way or the other whether iquid or a solid?  I do not.

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1	Page 14 A If it's a particle, then it's a solid.	1	Page 16 A Zeolites can be either.
2	Q Yeah.	2	Q Now, a material doesn't have to be treated
3	A If it's a liquid, it would be in solution as	3	to be active; correct?
4	_		
	it's produced at marketplace. That's why I don't know	5	A Incorrect.
5 <b>6</b>	what it is.  Q Okay. All right. Now, in terms of other	6	Q Did you read Dr. Haggquist's patent
		7	application where he described active particles?
7	particles, are you familiar with titanium dioxide?	8	A Yes.
8	A Yes.		Q Okay. And did you see the passage where he
9	Q Okay. How about molecular filter-type	9	said that certain types of materials are active and
10	materials?	10	occur in nature?
11	A Yes.	11	A Yes.
12	Q Okay. And what's a molecular filter-type	12	Q Okay. So would that be an example of an
13	material?	13	untreated particle that's active?
14	A It's an adsorptive particle that	14	A Show me exactly where you're referring to,
15	Q Just I don't want you an answer	15	please.
16	A filters	16	Q Sure. Here we go.
17	Q Let me before you finish. Just for the	17	MR. GERGELY: Can you mark that as Callan 2.
18	record, in this case, there's a distinction between	18	(Exhibit 2, Haggquist Patent
19	absorptive and adsorptive. So just be clear in your	19	Application, was marked for
20	answer when you're saying absorptive with a B or a	20	identification.)
21	D	21	Q (By Mr. Gergely) I've handed you what's been
22	A Yes.	22	marked as Callan 2. It's been previously marked in
23	Q because it sounded like it came out a	23	the case as Haggquist 6 and Daniels 4. Are you familiar with this document?
24	little garbled, so I just wanted to	24	
25	A Okay. Ask the question again.	25	A Yes.
1	Page 15	1	Page 17
2	Q interrupt you before you answer.	1	Q Okay. This is the Haggquist patent
3	A Yes, sir.	2	application, and I'd like to draw your attention to
	Q So the question was, what is a molecular	3	paragraph 28. And do you see
<b>4</b> 5	filter-type material?	4	A One moment.
	A There are two types of molecular filter-type	5	Q Oh, sure.
6	materials. One is absorptive. One is adsorptive.	6	A Yes, sir.
7	Their functions are that they separate molecules by		O Olean de de man see aboue De Hermanist
	their sine	7	Q Okay. So do you see where Dr. Haggquist
8	their size.	8	writes in paragraph 28, "Active particles are
9	Q Okay. So give me an example of an absorp	8	writes in paragraph 28, "Active particles are particles that have pores or traps and have the
9 10	Q Okay. So give me an example of an absorp in your view, an absorptive molecular filter material.	8 9 10	writes in paragraph 28, "Active particles are particles that have pores or traps and have the capacity to adsorb substances in solid, liquid, and/or
9 10 11	Q Okay. So give me an example of an absorp in your view, an absorptive molecular filter material.  A I think many kinds can be absorptive or	8 9 10 11	writes in paragraph 28, "Active particles are particles that have pores or traps and have the capacity to adsorb substances in solid, liquid, and/or gas phases and combinations thereof."
9 10 11 12	Q Okay. So give me an example of an absorp in your view, an absorptive molecular filter material.  A I think many kinds can be absorptive or adsorptive depending on whether they are activated.	8 9 10 11 12	writes in paragraph 28, "Active particles are particles that have pores or traps and have the capacity to adsorb substances in solid, liquid, and/or gas phases and combinations thereof."  Do you see that?
9 10 11 12 13	Q Okay. So give me an example of an absorp in your view, an absorptive molecular filter material.  A I think many kinds can be absorptive or adsorptive depending on whether they are activated.  Q Okay. Can you give me an example of an	8 9 10 11 12 13	writes in paragraph 28, "Active particles are particles that have pores or traps and have the capacity to adsorb substances in solid, liquid, and/or gas phases and combinations thereof."  Do you see that?  A I do.
9 10 11 12 13 14	Q Okay. So give me an example of an absorp in your view, an absorptive molecular filter material.  A I think many kinds can be absorptive or adsorptive depending on whether they are activated.  Q Okay. Can you give me an example of an absorptive	8 9 10 11 12 13 14	writes in paragraph 28, "Active particles are particles that have pores or traps and have the capacity to adsorb substances in solid, liquid, and/or gas phases and combinations thereof."  Do you see that?  A I do.  Q And is that a fair definition of active
9 10 11 12 13 14 15	Q Okay. So give me an example of an absorp in your view, an absorptive molecular filter material.  A I think many kinds can be absorptive or adsorptive depending on whether they are activated.  Q Okay. Can you give me an example of an absorptive A Silica gel and zeolites can be absorptive or	8 9 10 11 12 13 14 15	writes in paragraph 28, "Active particles are particles that have pores or traps and have the capacity to adsorb substances in solid, liquid, and/or gas phases and combinations thereof."  Do you see that?  A I do.  Q And is that a fair definition of active particle?
9 10 11 12 13 14 15	Q Okay. So give me an example of an absorp in your view, an absorptive molecular filter material.  A I think many kinds can be absorptive or adsorptive depending on whether they are activated.  Q Okay. Can you give me an example of an absorptive  A Silica gel and zeolites can be absorptive or adsorptive depending on they are chemically treated	8 9 10 11 12 13 14 15	writes in paragraph 28, "Active particles are particles that have pores or traps and have the capacity to adsorb substances in solid, liquid, and/or gas phases and combinations thereof."  Do you see that?  A I do.  Q And is that a fair definition of active particle?  A Repeat it, please, and show me where you
9 10 11 12 13 14 15 16 17	Q Okay. So give me an example of an absorp in your view, an absorptive molecular filter material.  A I think many kinds can be absorptive or adsorptive depending on whether they are activated.  Q Okay. Can you give me an example of an absorptive  A Silica gel and zeolites can be absorptive or adsorptive depending on they are chemically treated and thermally treated.	8 9 10 11 12 13 14 15 16 17	writes in paragraph 28, "Active particles are particles that have pores or traps and have the capacity to adsorb substances in solid, liquid, and/or gas phases and combinations thereof."  Do you see that?  A I do.  Q And is that a fair definition of active particle?  A Repeat it, please, and show me where you are.
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9 10 11 12 13 14 15 16 17 18 19	Q Okay. So give me an example of an absorp in your view, an absorptive molecular filter material.  A I think many kinds can be absorptive or adsorptive depending on whether they are activated.  Q Okay. Can you give me an example of an absorptive  A Silica gel and zeolites can be absorptive or adsorptive depending on they are chemically treated and thermally treated.  Q And then can you give me a molecular filter-type material that is adsorptive with a D?	8 9 10 11 12 13 14 15 16 17 18	writes in paragraph 28, "Active particles are particles that have pores or traps and have the capacity to adsorb substances in solid, liquid, and/or gas phases and combinations thereof."  Do you see that?  A I do.  Q And is that a fair definition of active particle?  A Repeat it, please, and show me where you are.  Q The just the first sentence there I just read to you.
9 10 11 12 13 14 15 16 17 18 19 20	Q Okay. So give me an example of an absorp in your view, an absorptive molecular filter material.  A I think many kinds can be absorptive or adsorptive depending on whether they are activated.  Q Okay. Can you give me an example of an absorptive  A Silica gel and zeolites can be absorptive or adsorptive depending on they are chemically treated and thermally treated.  Q And then can you give me a molecular filter-type material that is adsorptive with a D?  A That's what I'm saying is they both can be.	8 9 10 11 12 13 14 15 16 17 18 19 20	writes in paragraph 28, "Active particles are particles that have pores or traps and have the capacity to adsorb substances in solid, liquid, and/or gas phases and combinations thereof."  Do you see that?  A I do.  Q And is that a fair definition of active particle?  A Repeat it, please, and show me where you are.  Q The just the first sentence there I just read to you.  A Okay.
9 10 11 12 13 14 15 16 17 18 19 20	Q Okay. So give me an example of an absorp in your view, an absorptive molecular filter material.  A I think many kinds can be absorptive or adsorptive depending on whether they are activated.  Q Okay. Can you give me an example of an absorptive  A Silica gel and zeolites can be absorptive or adsorptive depending on they are chemically treated and thermally treated.  Q And then can you give me a molecular filter-type material that is adsorptive with a D?  A That's what I'm saying is they both can be. Q Okay.	8 9 10 11 12 13 14 15 16 17 18 19 20 21	writes in paragraph 28, "Active particles are particles that have pores or traps and have the capacity to adsorb substances in solid, liquid, and/or gas phases and combinations thereof."  Do you see that?  A I do.  Q And is that a fair definition of active particle?  A Repeat it, please, and show me where you are.  Q The just the first sentence there I just read to you.  A Okay.  Q From paragraph 28. I was asking you, is
9 10 11 12 13 14 15 16 17 18 19 20 21	Q Okay. So give me an example of an absorp in your view, an absorptive molecular filter material.  A I think many kinds can be absorptive or adsorptive depending on whether they are activated.  Q Okay. Can you give me an example of an absorptive  A Silica gel and zeolites can be absorptive or adsorptive depending on they are chemically treated and thermally treated.  Q And then can you give me a molecular filter-type material that is adsorptive with a D?  A That's what I'm saying is they both can be. Q Okay.  A It depends on whether they're treated or	8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	writes in paragraph 28, "Active particles are particles that have pores or traps and have the capacity to adsorb substances in solid, liquid, and/or gas phases and combinations thereof."  Do you see that?  A I do.  Q And is that a fair definition of active particle?  A Repeat it, please, and show me where you are.  Q The just the first sentence there I just read to you.  A Okay.  Q From paragraph 28. I was asking you, is that a fair definition of active particles?
9 10 11 12 13 14 15 16 17 18 19 20 21 22 23	Q Okay. So give me an example of an absorp in your view, an absorptive molecular filter material.  A I think many kinds can be absorptive or adsorptive depending on whether they are activated.  Q Okay. Can you give me an example of an absorptive  A Silica gel and zeolites can be absorptive or adsorptive depending on they are chemically treated and thermally treated.  Q And then can you give me a molecular filter-type material that is adsorptive with a D?  A That's what I'm saying is they both can be. Q Okay.  A It depends on whether they're treated or not.	8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23	writes in paragraph 28, "Active particles are particles that have pores or traps and have the capacity to adsorb substances in solid, liquid, and/or gas phases and combinations thereof."  Do you see that?  A I do.  Q And is that a fair definition of active particle?  A Repeat it, please, and show me where you are.  Q The just the first sentence there I just read to you.  A Okay.  Q From paragraph 28. I was asking you, is that a fair definition of active particles?  A Not completely. Active particles are
9 10 11 12 13 14 15 16 17 18 19 20 21	Q Okay. So give me an example of an absorp in your view, an absorptive molecular filter material.  A I think many kinds can be absorptive or adsorptive depending on whether they are activated.  Q Okay. Can you give me an example of an absorptive  A Silica gel and zeolites can be absorptive or adsorptive depending on they are chemically treated and thermally treated.  Q And then can you give me a molecular filter-type material that is adsorptive with a D?  A That's what I'm saying is they both can be. Q Okay.  A It depends on whether they're treated or	8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	writes in paragraph 28, "Active particles are particles that have pores or traps and have the capacity to adsorb substances in solid, liquid, and/or gas phases and combinations thereof."  Do you see that?  A I do.  Q And is that a fair definition of active particle?  A Repeat it, please, and show me where you are.  Q The just the first sentence there I just read to you.  A Okay.  Q From paragraph 28. I was asking you, is that a fair definition of active particles?

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1	Page 18		Page 20
1	A I mean the exterior surface of the particle.	1	Q Before they were treated?
2	Q Okay. And in some of these particles, that	2 3	A Yes.
3	exterior surface can extend from the perimeter or edge		Q Okay. And then what does the treatment do?
4	of the particle down into the interior; correct?	5	A The treatment cleans out the pores.
5	MR. JACKSON: Object to form.  A Define "interior."	6	Q Okay. And  A And seals the inside. It's chemical and
7		7	
8	Q (By Mr. Gergely) Well, I mean, take a look	8	thermal.  O And when the organic material is taken out
9	at the cover of the patent publication.  A I am looking at it.	9	of those pores, what I'm asking you is, can you
10	Q Do you see do you see how 120 describes	10	ballpark how deep those pores are?
11	the pores?	11	A I can ballpark that they're a surface
12	A Yes.	12	phenomenon.
13	Q Okay. And do you see how they extend as	13	Q That's it? You can't ballpark how deep they
14	cracks or canyons into the interior of the particle?	14	are?
15	A Not into the interior. It's a surface.	15	
16		16	-
17	Q How in actuality, how deep do those	17	Q Well, sure. Like I mean, I'll give you an example. If I'm standing on the rim of the Grand
	cracks or pores extend down into the particle?		
18	A I think it's different for different particles.	18 19	Canyon, we know that it's a mile deep from the rim.  So I'm asking, if you're standing on the rim of an
20	Q How about for activated carbon?	20	activated carbon, how deep down do those pores or
21	A If you're asking for percent cross-section,	21	cracks go?
22	I don't have a number.	22	MR. JACKSON: Object to form. Calls for
23	Q Do they extend relatively deep down into the	23	speculation. Improper hypothetical.
24	particle?	24	A It would be different on different
25	A They do not.	25	particles.
1	Page 19 Q I mean, do they go halfway or 25 percent?	1	Page 21 Q (By Mr. Gergely) Right. And I'm asking you
2	Can you ballpark it?	2	to bring it bring it back to activated carbon.
3	MR. JACKSON: Object to form.	3	MR. JACKSON: Same objections.
4	A It's a surface phenomenon. It's not a core	4	A It would be different for individual
5	phenomenon.	5	particles of activated carbon.
6	Q (By Mr. Gergely) Right. I guess, if I were	6	Q (By Mr. Gergely) And when you say this is a
7	to ask you, for example, like a 10-micron activated	7	surface phenomenon, what do you mean?
8	carbon particle in diameter, how deep do some of those	8	A I mean that it doesn't penetrate into the
9	pores or cracks extend?	9	core of the particle.
10	MR. JACKSON: Object to form. Foundation.	10	Q Okay. And then you'll agree with me,
11	A I don't have a percent.	11	though, these pores form cracks in the surface of the
12	Q (By Mr. Gergely) Okay. Do you have a do	12	particle; correct?
13	you have any estimate in mind?	13	MR. JACKSON: Object to form.
14	A It's a surface phenomenon. It doesn't	14	A No. I don't know that it creates cracks.
15	penetrate to the core. It doesn't penetrate halfway	15	Q (By Mr. Gergely) Okay. And what
16	into the core. It's a surface phenomenon.	16	A They're more like cavities.
17	Q Right. But at some point before the	17	Q Okay. Cavities. These are cavities in the
18	particle was treated, it didn't have these pores;	18	surface?
19	correct?	19	A Yes.
20	MR. JACKSON: Object to form.	20	Q Okay. And do you know how deep those
21	A Are you talking about carbon?	21	cavities extend from the edge of the particle?
22	Q (By Mr. Gergely) Right.	22	MR. JACKSON: Object to form. Foundation.
23	A Before it was treated, it had the surface	23	A They extend a fraction of the
24	pores, but they were filled with low molecular weight	24	cross-sectional diameter.
25	organic residue. That's why it absorbs.	25	Q (By Mr. Gergely) Okay. And some amount we

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Page 22
                                                                                                             Page 24
                                                                         It's a weak -- a weak hydrogen bond.
    don't know; is that right?
                                                            1
 2
              Some amount is different for every single
                                                            2
                                                                     Q
                                                                          Okay. So is there a weak hydrogen bond
 3
    particle.
                                                                between what and what?
                                                                        Between the wall of the cavity as in Callan
 4
             Okay. Now, in term- --
                                                            4
         0
                                                                     Α
 5
             And different locations on a particle.
                                                                Exhibit 2, the front page, and the water molecules.
         Q Now, in terms -- in terms of, say, water or
                                                                     Q Okay. So there's hydrogen bonding going on
    water vapor binding to a cavity, is the water -- is
                                                                between --
                                                            7
 8
    the water or water vapor going to bind to the, say,
                                                            8
                                                                        The surface tension will draw it in, and
     the bottom of the cavity as well as the sides and
 9
                                                                hydrogen bonding may or may not be going on. I don't
    maybe the top?
                                                                know. You asked me what could be.
10
                                                           10
              MR. JACKSON: Objection. Form. Foundation.
11
                                                           11
                                                                    Q Okay.
12
         A That depends on what's at the bottom.
                                                           12
                                                                     A It depends on what the composition is there
13
              (By Mr. Gergely) Well, what do you mean?
                                                                and how clean it actually becomes. I am responsible
                                                           13
14
         Α
             Activated carbon is created by -- think of
                                                           14
                                                                for -- ZOLTEK Company is the leading carbon graphite
15
    it as a beehive. And you remove the honey from the
                                                                fiber supplier to the Department of Defense. They've
16
    beehive structure, and you seal the inside. That's
                                                           16
                                                                been my client since 1994. I invented their methods
                                                                to quantify and conduct quality control to find out
17
    what activated carbon is. So it depends on how much
                                                           17
    has been removed and what is in the core and where
                                                                how much of this material is extracted from these
19
    it's sealed.
                                                           19
                                                                pores.
20
         Q What do you mean by "sealed"? What is it
                                                           20
                                                                          Okay. And you believe that hydrogen bonding
21
    sealed with?
                                                           21
                                                                could be going on in those cavities?
22
         Α
             Thermally. It's brought to 900 degrees
                                                           22
                                                                         It depends on what's still in the cavity
                                                                     Α
    centigrade or above.
23
                                                           23
                                                                wall.
24
         Q And what does the sealing do?
                                                           24
                                                                     Q Okay. Would there be -- would the
25
         A It closes the cavities in the interior
                                                           25 hydrogen -- would there be some hydroxyl group causing
                                                 Page 23
                                                                                                             Page 25
    surface.
                                                                that hydrogen bonding to go on?
                                                                    A It depends on how clean it is. That's why I
         Q I guess I'm not following you. What do you
    mean by "closing"?
                                                                was brought in.
 3
                                                            3
         A It seals them. It brings the carbon
                                                                        Okay. Now, are you aware of some active
    together and closes the cavern.
                                                                particles where there is hydrogen bonding going on
 5
         O This is before activation or after
                                                                between, say, the solid particle and the water or the
 6
                                                            6
                                                                water vapor?
 7
    activation?
                                                            7
 8
        A As part of activation.
                                                                         Ask the question again, please.
                                                                    Α
         Q Okay. But the cavity still exists; correct?
                                                            9
                                                                     Q
                                                                          So you mentioned the concept of hydrogen
9
         A The cavity on the exterior surface exists,
                                                                bonding which may or may not be occurring in activated
10
                                                           10
11
    but not the cavities in the core. Well, they're
                                                                carbon. Are you aware of active particles where you
                                                           11
    sealed from the exterior.
                                                           12
                                                                know or it's been documented that hydrogen bonding is
13
         O Now, what exactly is going on in terms of,
                                                           13
                                                                occurring between water and the solid particle?
    say, water or water vapor with activated carbon and
                                                                     A I would have to look at my records.
14
                                                           14
15
    its -- is it binding to those pores or binding to
                                                           15
                                                                          Okay. Do you know if silica gel does that?
                                                                          MR. JACKSON: Object to form. Foundation.
16
    those cavities?
                                                           16
17
              MR. JACKSON: Objection. Form. Foundation.
                                                           17
                                                                         I know that non-activated silica gel does
18
         A It's an adsorptive mechanism. Is that what
                                                           18
                                                                it. I'm not sure if activated silica gel does it.
19
    vou're asking?
                                                           19
                                                                     Q
                                                                          (By Mr. Gergely) And do you know if zeolites
20
              (By Mr. Gergely) Yeah. And I guess I'm
                                                           20
                                                                do that?
21
    asking you, what is an adsorptive mechanism? Do you
                                                           21
                                                                     Α
                                                                         I believe the same scenario.
22
    know how that works?
                                                           22
                                                                     0
                                                                         And do you know if activated alumina does
23
         A Van der Waals forces and surface tension.
                                                           23
                                                                that?
24
         0
             Van der Waals. And what's a van der Waals
                                                           24
                                                                    Α
                                                                          I believe it does not.
25 force?
                                                           25
                                                                          Now, what about graphite? Is that an
```

```
Page 26
    activated particle?
                                                             1 treated with extreme temperature and an activated
 1
         A It's the same as activated carbon. It can
                                                                 agent such as oxygen to create the pores."
    be -- that's what I do for ZOLTEK is I determine if it
                                                                           Do you see that?
    is activated or not and what remnants there are,
                                                             4
                                                                          I do.
                                                                      Α
    organic molecules.
                                                             5
                                                                          Okay. So Dr. Haggquist is stating that
                                                                 there are active particles that naturally have pores;
              So the answer is yes. Graphite can be
    activated or not activated.
                                                             7
                                                                 correct?
 8
         Q Now, is -- if a substance is
                                                             8
                                                                           MR. JACKSON: Object to form.
    non-activating -- non-activated, would you
 9
                                                             9
                                                                      Α
                                                                          There are active particles that have been
    characterize it as an absorbent particle?
                                                                 exposed naturally to the extreme temperatures that
10
                                                            10
                                                                 cause activation.
11
         A Not necessarily.
                                                            11
12
         0
              If it's adsorbent, would you -- would you
                                                                      Q (By Mr. Gergely) Okay. So -- but there's
13
    characterize it as activated?
                                                                not a separate -- there's not a separate step where
                                                            13
14
         A If it's highly adsorbent --
                                                            14
                                                                 someone has activated it in a lab. They just
15
              Okay.
                                                            15
                                                                 naturally occur; correct?
16
         Α
              -- that's what activation is.
                                                            16
                                                                      A It's volcanic rock. Activation is initiated
              So you're -- you're -- you are saying that
                                                                 at 900 degrees centigrade. Volcanic rock was above
17
                                                            17
    an activated particle is one that is highly adsorbent;
                                                                 that temperature.
19
    is that right?
                                                            19
                                                                      0 Now, volcanic rock, is that zeolites?
20
                                                            20
                                                                      A No. I don't know that volcanic rock is
         Α
             Yes.
21
              Okay. And do you know if the '287 patent
                                                            21
                                                                 zeolites.
22
    actually claimed highly adsorbent particles?
                                                            22
                                                                          Okay. Is zeolites -- are zeolites derived
                                                                      Q
                                                            23
23
              MR. JACKSON: Object to form. Foundation.
                                                               from volcanic rock?
24
         A Yes. By defining the particles as
                                                            24
                                                                     A I would have to look at my records.
25
    activated.
                                                            25
                                                                           Okay. And in paragraph 29, do you see that
                                                                                                              Page 29
                                                 Page 27
              (By Mr. Gergely) So in your mind you
                                                                 Dr. Haggquist states, "Active particles are particles
1
    would -- okay. So did you -- did you see any
                                                                 that can adsorb a substance or have the potential to
    characterization in the specification as to the degree
                                                                 adsorb a substance."
 3
    of adsorbency?
                                                                           Do you see that?
              MR. JACKSON: Object to form. Foundation.
 5
                                                                     Α
                                                                          I do.
    Document's not an exhibit in this deposition.
 6
                                                             6
                                                                      Q
                                                                          And do you agree with the veracity of that
 7
         A Ask the question again, please.
                                                             7
                                                                 statement?
 8
              (By Mr. Gergely) So did you see anything in
                                                                      A Clarify your question.
    the specification of the '287 patent which
                                                             9
                                                                          Sure. Dr. Haggquist told the world in his
9
    characterized the degree of adsorbency?
                                                                 patent application which was incorporated into the
10
                                                            10
              Activated particles means highly active.
11
                                                                 '287 patent that active particles are particles that
12
              Now, I want to -- before we get to the '287,
                                                                 can adsorb a substance or have the potential to adsorb
13
    I want to draw your attention back to the Haggquist
                                                            13
                                                                 a substance. Do you see that?
    publication which we were talking about. We were
                                                            14
                                                                          Yes.
15
    talking about paragraph 28.
                                                            15
                                                                          Do you agree with that definition?
                                                                           MR. JACKSON: Object to form.
                                                            16
16
              Now, do you see in paragraph 28,
17
    Dr. Haggquist says, going onto the second line, "These
                                                            17
                                                                          With the understanding that they're talking
18
    pores can vary in size, shape, and quantity depending
                                                            18
                                                                 about active particles or particles that can adsorb a
19
    on the type of active particle."
                                                            19
                                                                 substance or have potential to adsorb a substance on
20
         A Yes.
                                                            20
                                                                 their surface.
21
         0
             Is that a true statement?
                                                            21
                                                                     Q (By Mr. Gergely) Okay. So -- and again,
22
                                                            22
                                                                 "surface" means what?
         Α
23
              And then he goes on to state, "For example,
                                                            23
                                                                          The cavities on the exterior surface.
    some particles naturally have pores such as volcanic
                                                            24
                                                                      Q
                                                                           Okay. And some of those cavities can
    rock, and other particles such as carbon may be
                                                                 extend -- because they're cavities, they extend into
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Page 30
                                                                                                              Page 32
    the particle; correct?
                                                             1
                                                                           Okay. And what is Sephadex for the record?
                                                                      0
             They do.
                                                             2
                                                                           That's polydextran.
                                                                      Α
 3
              Albeit not to the core. Is that your point?
                                                             3
                                                                      0
                                                                           Okay. And it's cross-linked?
 4
              They're a surface -- a surface cavity.
                                                             4
                                                                           Yes.
                                                                      Α
 5
             Now, I was asking you about molecular
                                                             5
                                                                      Q
                                                                           Okay. And what does that mean?
                                                                           Cross-linked is when you take polymer chains
    filter-type materials, and you gave the example of, I
                                                                 and you interlink the polymer chains. The degree of
    believe, silica gel, and you gave another one. Oh,
 8
    zeolites. Are you aware of any other molecular
                                                             8
                                                                 cross-linking affects the amount of swell.
     filter-type materials?
                                                                           Okay. And have you examined Sephadex under
 9
              I'd have to look at my records.
                                                                 a microscope?
10
         Α
                                                            10
             Okay. Is Sephadex a molecular filter?
11
         0
                                                            11
                                                                      Α
                                                                          I have not.
12
              It's an absorptive molecular filter.
                                                            12
                                                                      0
                                                                           Okay. Would you agree it's a porous
13
              But -- so -- but you'll agree with me that
                                                                 material?
                                                            13
14
    it's a molecular filter, but you characterize it as
                                                            14
                                                                           MR. JACKSON: Object to form.
                                                                          No. I would not agree to that.
15
     absorptive; is that right?
                                                            15
16
         Α
             Yes.
                                                            16
                                                                      0
                                                                           (By Mr. Gergely) Okay. Have you seen it
                                                                 described in the literature as porous?
17
         0
              Have you seen literature where it's been
                                                            17
                                                                           MR. JACKSON: Object to form.
18
    described as adsorptive?
                                                            18
19
              MR. JACKSON: Object to form. Foundation.
                                                            19
                                                                          I have seen it described as absorptive.
20
         A No.
                                                            20
                                                                           (By Mr. Gergely) Now, have you -- have you
21
              (By Mr. Gergely) Okay. You did read the
                                                                 ever seen it -- have you ever seen it described as
                                                            21
22
    exhibits that were submitted with Dr. Oelker's
                                                            22
                                                                 non-porous?
23
    declaration --
                                                            23
                                                                           MR. JACKSON: Object to form. Foundation.
24
        A Yes.
                                                            24
                                                                      A I think I would have to go to my
25
         Q
             -- correct?
                                                            25 declaration.
                                                 Page 31
                                                                                                              Page 33
                                                                           (By Mr. Gergely) I may be able to help you
 1
                   (The court reporter clarified.)
                                                             1
              MR. GERGELY: It's O-E-L-K-E-R.
                                                                 out. If you take a look at paragraph 65, I think you
 2
                                                                 discuss Sephadex there.
3
              (By Mr. Gergely) There was a reference by
    Sherma where Sherma described Sephadex as having the
                                                                      Α
                                                                          Yes, sir.
     capacity to adsorb water. Do you recall reading that
 5
                                                             5
                                                                           Okav.
                                                                           A person of ordinary skill in the art would
 6
    passage?
                                                             6
                                                                      Α
 7
                                                                 understand that Sephadex is an absorptive particle.
         A I recall reading that, but I don't agree
                                                             7
    with that.
                                                                 This falls dramatically and absorbs 24 grams per gram
 8
             Understand. And why don't you agree with
                                                                 of water as it swells. It is not an adsorptive
9
         0
                                                                 particle.
10
    that?
                                                            10
11
              Because the method that you use to identify
                                                            11
                                                                      0
                                                                           Okay. So that's your statement; correct?
    if something is adsorptive on particles that contain
                                                            12
                                                                      Α
                                                                           Yes.
    low molecular weight organics in their exterior
13
                                                            13
                                                                      0
                                                                           There's no citation to any literature of
    surface cleans out those cavities. It has the
                                                                 that point?
15
    potential to be active. It doesn't mean it's active.
                                                            15
                                                                          That's correct.
                                                                      Α
         Q All right. I'd like to follow up with you
16
                                                            16
                                                                      0
                                                                          But the 24 grams per gram, that's taken from
17
    on that. So do you mean that Sephadex has the
                                                            17
                                                                 somewhere, some piece of literature?
18
    potential to be active?
                                                            18
                                                                      Α
                                                                          Sephadex literature.
19
         A I don't know that Sephadex does. I know
                                                            19
                                                                      Q
                                                                           Okay. And have you ever seen Sephadex
20
    that in carbon black, it has the potential to be
                                                            20
                                                                 described as adsorptive with a D?
21
    active if you process it to activate it.
                                                                      A I would have to look at the Sephadex
                                                                 literature again to see if it was cited within that.
22
         Q All right. Let's -- let's stick -- stay
                                                            22
23
     away from carbon black for the moment. Now, you know
                                                            23
                                                                           Okay. But you do recall where Sherma stated
24
    what Sephadex is; right?
                                                                 it had the capacity to adsorb water, with a D, and you
25
         A T do.
                                                                 stated you reviewed that passage and you disagreed
```

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Page 34
                                                                                                            Page 36
 1 with it: correct?
                                                              if you know?
                                                            1
         A Show me that passage, please.
                                                                         MR. JACKSON: Object to form.
 3
              Yeah. You know, I don't have a paper copy
                                                            3
                                                                        Show me what you're referring to, please.
                                                                    Α
                                                                        (By Mr. Gergely) Have -- have you heard
    with me. I could pull it up on my computer and show
                                                            4
                                                                    0
    it to you if that'd be okay with Mr. Jackson.
                                                            5
                                                                those terms?
              MR. JACKSON: Sure.
              THE WITNESS: Do I have a copy of that?
                                                            7
                                                                    Q Okay. Well, what's your understanding
 8
              MR. JACKSON: What exhibit number was that,
                                                            8
                                                               without looking at a document?
 9
    Peter?
                                                                    A Show me --
10
              MR. GERGELY: It was 1026, but it was in the
                                                                        Well, I'm asking --
                                                           10
                                                                    0
11
    materials that he reviewed, but I don't know if you
                                                           11
                                                                    A My understanding is I need to see the
    have those with you or not. But I have them on my
                                                                document you're describing.
    computer. Oh, no, it's not 1026. Give me a minute.
                                                                    Q Well, I'm asking you generally. I don't
13
                                                           13
14
    1023.
                                                           14
                                                               have to show you a document. What is your
15
              So I'm about to show the witness a copy of
                                                           15
                                                                understanding of the adsorption-desorption isotherm?
16
    Exhibit 1023 that was submitted with the petition.
                                                           16
                                                                         MR. JACKSON: Object to form.
17
    Specifically, page 168 out of 1356, Table 7.
                                                           17
                                                                    A My understanding is I need to see the
             (By Mr. Gergely) Sir, I'll represent to you
                                                               document you're referring to understand what you're
18
19
    this is the Sherma article we've been discussing. And
                                                           19
                                                                asking.
20
    do you see where the authors list that Sephadex has a
                                                           20
                                                                          (By Mr. Gergely) Well, it's a concept. If I
                                                                    0
    capacity for adsorption of water?
                                                                ask you, "What is sodium?" you don't need a document
22
         A I see where he says that.
                                                                to describe that. So I'm asking you, without seeing a
23
         Q Okay. And I take it this refers to your
                                                           23 document -- and it's a fair question -- what is the
24
    earlier answer where you say you disagree with him?
                                                           24 adsorption-desorption isotherm?
25
         A I see the table, but I do not see where the
                                                                         MR. JACKSON: Object to form. Foundation.
                                                Page 35
                                                                                                            Page 37
    numbers came from --
                                                            1
                                                                    A I need to see the document you're referring
         O Okay.
3
             -- within the text.
                                                            3
                                                                        (By Mr. Gergely) Are you --
         Α
                                                                    0
         Q And do you see the statement where Sephadex
                                                            4
                                                                         MR. GERGELY: Are you going to instruct him
    is reported as having a capacity to adsorb water?
 5
                                                            5
                                                               not to answer?
         A Yes. But that doesn't mean it has the
 6
                                                            6
                                                                              (The court reporter clarified.)
 7
    ability to absorb as is without being treated.
                                                            7
                                                                         MR. JACKSON: I said, I have not instructed
         Q What do you mean by that?
                                                              the witness not to answer.
 8
                                                            9
         A I mean that when you have a molecule that's
                                                                        (By Mr. Gergely) I'm asking you as a general
    highly absorptive.
                                                           10 proposition. What is the adsorption-desorption
10
11
                                                           11
                                                                isotherm?
12
              With a B. Absorptive. The absorption event
                                                           12
                                                                         MR. JACKSON: Objection. Form. Foundation.
         Α
                                                                Also, speculation.
13
    overwhelms adsorption as it wicks water in through the
                                                           13
    cross-section.
                                                                         (By Mr. Gergely) And you don't need to see a
14
15
         Q And how do you -- how do you -- how do you
                                                               document. If you know what it is, you can say that.
   determine whether or not a material is adsorbing water
16
                                                           16
                                                               You don't need to see a document.
17
    versus absorbing water? What kind of test would you
                                                           17
                                                                    A I know that there's different ways to
18
                                                           18
                                                                interpret it.
                                                                        What are some of the ways?
19
              MR. JACKSON: Object to form.
                                                           19
                                                                    0
20
         A In carbon black, it's an iodine number.
                                                           20
                                                                         MR. JACKSON: Same objections.
21
    It's a titration.
                                                           21
                                                                    A Adsorption-desorption would be the rate of
22
         Q (By Mr. Gergely) And any other tests you
                                                           22
                                                               adsorption versus the rate of desorption at a given
23
    could run?
                                                           23
                                                                temperature. Isotherm is constant temperature. I
24
         A I would have to look at my notes.
                                                           24
                                                                don't know that that's what you're referring to.
25
         Q What's the adsorption-desorption isotherm,
                                                           25
                                                                        (By Mr. Gergely) Okay. Is it also over at a
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Page 38
                                                                                                              Page 40
    given pressure?
                                                                           Have you ever seen Sephadex described in the
                                                             1
 2
              MR. JACKSON: Objection to form.
                                                                 literature as non-porous?
 3
    Foundation. Scope.
                                                             3
                                                                      A I will read my declaration: "Dutta's use of
                                                             4
                                                                 Sephadex, a person of ordinary skill in the art will
 4
         A Could it be run at different pressures?
 5
    Yes.
                                                                 understand that Sephadex is an adsorptive particle
              (By Mr. Gergely) And what does -- what does
                                                                 that swells dramatically and absorbs 24 grams per gram
 6
    the adsorption-desorption isotherm reflect?
                                                                 of water as it swells. It is not an adsorptive
              MR. JACKSON: Objection. Form. Foundation.
 8
                                                             8
                                                                 particle.
                                                                           "Sephadex is known for its swelling which is
 9
    Scope.
                                                             9
                                                                 its effective quality as it pertains to the
10
              The rate of adsorption-desorption.
                                                            10
11
         0
              (By Mr. Gergely) And if -- if a particle is
                                                            11
                                                                 Dutta-claimed invention. VF's Exhibit 1027, for
    adsorptive, will it have an adsorption-desorption
                                                                 example, describes this in detail.
13
                                                                           "What is a Sephadex? Sephadex beads swell
    isotherm?
                                                            13
14
              MR. JACKSON: Same objections.
                                                            14
                                                                 considerably in water and electrolyte solutions. The
15
              You have to show me what you're describing.
                                                            15
                                                                 degree of swelling is an important characteristic of
16
    I don't know the context of what you're asking.
                                                                 the gel. The swollen gel is washed in a Buchner
17
              (By Mr. Gergely) Why --
                                                            17
                                                                 funnel with excess water to remove salt and
18
             Are you asking me about what particles?
                                                            18 contaminants.
19
         Q Well, I'm asking you just generally. Why --
                                                            19
                                                                           "To shrink the gel, alcohol is then added at
    why is the adsorption-desorption isotherm analyzed?
                                                            20
                                                                 intervals under general suction. When the shrunken
20
21
              MR. JACKSON: Objection. Form. Foundation.
                                                                 Sephadex has been sucked dry, it can be dried at 60 to
22
    Calls for speculation. Scope.
                                                            22
                                                                 80 degrees centigrade."
                                                            23
23
         A I don't know what you're asking.
                                                                      Q So I appreciate you reading your declaration
24
              (By Mr. Gergely) What -- what is the
                                                            24
                                                                into the record. But back to my question.
25
    relevance in your mind of examining a particle's
                                                            25
                                                                           Have you ever seen Sephadex described in the
                                                 Page 39
                                                                                                              Page 41
    adsorption-desorption isotherm?
                                                                 literature as non-porous?
 2
              MR. JACKSON: Same objections.
                                                                           MR. JACKSON: Objection. Form. Foundation.
              Evaluating the rate of adsorption/desorption
3
                                                                 Calls for speculation.
 4
    at a given temperature.
                                                             4
                                                                      A I would have to go through the literature to
              (By Mr. Gergely) And -- and why is that
                                                                 look for that exact term.
 5
                                                                          (By Mr. Gergely) Okay. But you have -- you
 6
    relevant to a scientist?
                                                             6
                                                                      0
 7
         A It would be rel- --
                                                                have seen it described in the literature as porous,
                                                             7
 8
              MR. JACKSON: Same objections.
                                                                 though; correct?
9
              It would be relevant to the activity level.
                                                             9
                                                                     Α
              (By Mr. Gergely) Does an absorptive particle
                                                                           And do you disagree with that?
10
                                                            10
                                                                      Q
    have an adsorption/desorption isotherm?
                                                                           MR. JACKSON: Objection. Form. Foundation.
11
                                                            11
12
              MR. JACKSON: Objection to form.
                                                            12
                                                                 Calls for speculation.
13
    Foundation. Speculation. Scope.
                                                            13
                                                                      A Porous with cavities filled with organic
14
             Not unless it's treated.
                                                                matter. Porous like a sponge, not like an activated
                                                            14
15
              (By Mr. Gergely) Okay.
                                                            15
                                                                particle.
                                                                          (By Mr. Gergely) And do you know the
16
         Α
             And the test itself is a treatment.
                                                            16
17
              Okay. And by "treated" -- by "treated," you
                                                            17
                                                                 mechanism of action for Sephadex and how it binds
18
    mean treated with heat or chemicals; correct?
                                                            18
                                                                 water?
19
         A Correct.
                                                            19
                                                                           MR. JACKSON: Object to form.
20
         Q
              All right. I'd asked you the question
                                                            20
                                                                      Α
                                                                          Hydrogen bonds water.
21
    earlier, have you ever seen Sephadex described as
                                                            21
                                                                           (By Mr. Gergely) So it's the same mechanism
22
    non-porous in any literature, and you said you'd have
                                                            22
                                                                 of action that may be an activated carbon or some of
     to look at your declaration. So you've had an
                                                                 these other active particles that you've listed? Say,
24
    opportunity to look at your declaration, and I'll ask
                                                            24
                                                                 silica gel or zeolites?
    it again.
                                                            25
                                                                      A No, it is not.
```

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Page 42
                                                                                                              Page 44
              MR. JACKSON: Object to form.
1
                                                                           MR. JACKSON: Object to form.
                                                             1
2
              (By Mr. Gergely) Well, isn't hydrogen
                                                             2
                                                                          Clarify your question.
3
    bonding hydrogen bonding?
                                                             3
                                                                      Q
                                                                           (By Mr. Gergely) Well, we know water goes
             That doesn't mean that they're the same
                                                                 into Sephadex; correct?
4
         Α
                                                             4
5
    because they both have hydrogen bonding. One is an
                                                             5
                                                                     Α
                                                                          It's absorbed into Sephadex.
    adsorptive mechanism. One is an absorptive mechanism.
                                                                          Okay. All right. So that's your term.
             Well, sir, you would agree with me that if
                                                             7
                                                                          No. That's the definition from the Sephadex
7
                                                                      Α
8
    water is binding to an active particle through
                                                             8
                                                                 manual.
    hydrogen bonding and it's also bonding through
                                                                          Sir, when -- when water is taken in by
9
                                                             9
                                                                     Q
    Sephadex through hydrogen bonding, that is a common
                                                                 Sephadex, and you say -- you say adsorb, is that water
10
                                                            10
                                                                 retained in Sephadex until it's dehydrated?
11
    feature between the two particles; correct?
12
              MR. JACKSON: Object to form.
                                                                          MR. JACKSON: Object to form. Foundation.
13
         A It is part of the event. It is not the
                                                            13
                                                                      Α
                                                                          Some of it, ves.
14
    mechanism.
                                                            14
                                                                      0
                                                                           (By Mr. Gergely) Okay. And is that also
15
             (By Mr. Gergely) Well, sir, that's about as
                                                            15
                                                                 true with respect to activated carbon? When activated
16
    mechanical as you can get by describing it at the
                                                            16
                                                                 carbon takes in, or in your word, adsorbs the water,
    molecular level or the atomic level what's happening.
                                                                 is it retaining that water until it's dehydrated?
17
                                                            17
    I'm not sure I follow you.
                                                                          MR. JACKSON: Object to form. Foundation.
                                                            18
19
              MR. JACKSON: Object to form.
                                                            19
                                                               Also, Peter, I wasn't sure if you said absorb or
20
             (By Mr. Gergely) You will agree with me that
                                                            20
                                                                adsorb. Sorry.
21
    they're both using hydrogen bonding; correct?
                                                            21
                                                                          MR. GERGELY: I meant A-D.
22
              MR. JACKSON: Object to form.
                                                            22
                                                                          You have to define until it's dehydrated.
                                                                     Α
23
         A It's similar to the rate of activity where
                                                            23
                                                                To dehydrate Sephadex, you need to put it in an oven.
24
    you have a great degree of hydrogen bonding in
                                                                     Q (By Mr. Gergely) No. I'm ask- -- right.
25
    Sephadex.
                                                               And I'm asking --
                                                 Page 43
                                                                                                              Page 45
1
              (By Mr. Gergely) Okay.
                                                             1
                                                                      Α
                                                                           You don't need to do that to activate a
              And you have surface tension drawing it in
                                                                 carbon.
    as it swells. This material is swelling, sucking that
                                                                          Okay. Well, all right. Let's -- let's use
3
    water into the matrix.
                                                                 silica gel as an example. When that takes in water,
                                                                 you have to put it into some sort of oven or microwave
         Q And is there also a surface tension --
5
              So there's a mechanical -- it's not just
                                                                 to get the water out; correct?
6
         Α
                                                             6
7
    hydrogen bonding.
                                                                     A Correct.
8
              Okay. So there's a mechanical aspect, as
                                                                          Okay. Now, in both cases, say Sephadex or
         0
    well?
                                                             9
                                                                 activated carbon or silica gel, are those particles
9
                                                                 retaining the water?
10
         Α
              Yes.
                                                            10
11
              And is that mechanical aspect also common to
                                                            11
                                                                          MR. JACKSON: Object to form.
12
    activated carbon and other active particles?
                                                            12
                                                                          You're talking about are they retaining the
                                                                 water for what duration of time and what environment?
13
         A It is not.
                                                            13
14
         Q Okay. So those don't use surface tension?
                                                                 Sephadex retains the water unless you put it in an
15
              MR. JACKSON: Object to form.
                                                                 oven at 80 degrees centigrade.
         A You're -- you're claiming apples and oranges
16
                                                            16
                                                                     Q (By Mr. Gergely) And how do you get the
17
    are both apples, and they're not.
                                                            17
                                                                 water out of activated carbon?
18
              (By Mr. Gergely) Well, does -- does
                                                            18
                                                                          MR. JACKSON: Object to form.
19
    activated carbon, when water is binding to it, use
                                                            19
                                                                      Α
                                                                         It will transport in ambient atmosphere.
20
    surface tension?
                                                            20
                                                                      Q
                                                                          (By Mr. Gergely) It'll just -- it'll
21
        Α
              Yes.
                                                                 evaporate?
22
              Okay. And so when water -- and I don't want
                                                            22
                                                                      Α
23
    to use any loaded terms with you. But when water is
                                                            23
                                                                          Okay. And then silica gel, we know you have
24
    taken in by Sephadex, is it being retained in
                                                            24
                                                                 to put into some type of oven or microwave; correct?
25
    Sephadex?
                                                            25
                                                                          An oven.
```

```
Page 48
                                                 Page 46
 1
         Q Okay. And then commonly, people sometimes
                                                                          (By Mr. Gergely) Sir, before we went off the
                                                             1
    accelerate the process by putting it in a microwave;
                                                                record, we were looking at your declaration, and I
 3
                                                                want to go back to your -- to the CV section of your
                                                                declaration towards the back. Yes. That's the page.
 4
              I've never heard that.
 5
             Okay. All right. But it's -- you'll agree
                                                             5 You were on it with your picture.
    that some people put silica gel into an oven in order
                                                                          So you're listing your relevant experience,
    to get rid of the water?
                                                                and one of the pieces of relevant experience was
 8
         A I've done it myself.
                                                                experience in the chemical analysis of the Surfynol
             Okay. Any other active particles you put
                                                                product line and offers moisture vapor transmission
9
    into an oven to get the water out?
                                                                rate analyses. Do you see that?
10
                                                            10
11
              MR. JACKSON: Object to form.
                                                            11
                                                                     Α
                                                                         On this page? Where are you?
12
            Not that I know of.
                                                            12
                                                                          No. On the page with your picture.
13
              (By Mr. Gergely) Okay. You know, we've been
                                                                          Where are you reading? Yes, I see it.
         0
                                                           13
                                                                     Α
    going about an hour. You want to take --
14
                                                            14
                                                                     0
                                                                          Yeah. And then how is that relevant to this
15
              But silica gel is not an active particle.
                                                            15
                                                                case?
16
         0
             Well, you said silica gel's not an active
                                                            16
                                                                     A Surfynol is one of the materials involved
    particle?
17
                                                            17
                                                                with encapsulating particles.
18
         A Unless it's activated.
                                                                         And have you done any analysis with respect
                                                                     Q
19
         Q Okay. But Haggquist describes it as an
                                                                to surfynol in this case?
                                                            19
20
    active particle; correct?
                                                            20
                                                                          Any testing?
                                                                     Α
21
              He describes it as a form of it is an active
                                                                          Yeah.
                                                            21
                                                                     Q
                                                                          No.
22
    particle.
                                                            22
                                                                     Α
                                                            23
23
         Q Well, he doesn't say "form."
                                                                     0
                                                                          Now, turning your attention to your
24
         A No, he does. He says everything that is in
                                                            24
                                                                declaration, page 5 -- actually, page -- on page 4,
                                                                you said one of the documents you reviewed was SMTL
    that list is an active particle.
                                                 Page 47
                                                                                                             Page 49
              Ah. But he doesn't -- he doesn't make any
                                                                dressings datacard. Do you see that?
1
   distinctions between different types of silica gel,
                                                                         And did you provide a copy of that with your
3
    does he?
              MR. JACKSON: Object to form.
                                                                declaration?
         A The fact that it's in the list of active
 5
                                                                          Okay. What exhibit is that?
 6
    particles is active silica gel.
                                                             6
                                                                     0
         Q (By Mr. Gergely) And so are you talking
                                                                          I don't know the number.
 7
                                                             7
                                                                     Α
     about degrees of activity?
                                                             8
                                                                          Okay. And how about the -- on the next
              MR. JACKSON: Object to form.
                                                                page, "Concise Encyclopedia of Polymer Science and
                                                             9
         A I'm talking about activated or not
10
                                                                engineering definitions of carbon black, activated
                                                            10
11
                                                                carbon, and graphite." Do you see that?
12
              (By Mr. Gergely) Well, silica gel has pores
                                                            12
                                                                     Α
                                                                         I do.
    in it; correct? Even before it's, quote-unquote,
                                                            13
                                                                     0
                                                                          And were those provided with your
13
    activated; right?
                                                                declaration?
                                                            14
15
              MR. JACKSON: Object to form.
                                                            15
                                                                     Α
         A I would have to look at that.
16
                                                            16
                                                                         Okay. And again, you don't know what the
17
              (By Mr. Gergely) Okay.
                                                            17
                                                               exhibit numbers are?
18
             The activation may open the pores up.
                                                            18
                                                                     Α
                                                                         I don't know that they have an exhibit
              MR. GERGELY: Okay. Let's -- let's take a
19
                                                            19
                                                                number at this point.
20
    few-minute break. We've been going about an hour.
                                                            20
                                                                     Q
                                                                         Okay. I'm asking because I haven't seen it.
21
              MR. JACKSON: That's fine.
                                                                Do you recall that you printed those pages out and
              MR. GERGELY: So maybe five minutes or
22
                                                            22
                                                                physically provided them to counsel?
23
    whatever you need.
                                                            23
                                                                     Α
                                                                          Yes.
24
              THE WITNESS: Sure.
                                                            24
                                                                     Q
                                                                          Okay.
25
                                                            25
                   (A brief recess was taken.)
                                                                          MR. GERGELY: Jason, do you know what
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	STEPHEN J. CALI	AN	7 - 12/14/2018 Pages 505
1	Page 50	1	Page 52
1	exhibits those are?	1	-
2	MR. JACKSON: I'll take a look, Peter.	2	outside of the ball.
3	MR. GERGELY: Okay.	3	Q Right. But there are there are the
4	MR. JACKSON: If we have those, we'll give	4	surface of a material that's made up of spaghetti-like
5	them to you, certainly. I just don't recall.	5	strands, the surface can both be on the edge of the
6	Q (By Mr. Gergely) Now, as I was asking you	6	material and on the inside; correct?
7	some questions about Sephadex, how would you	7	A The surface of this material is on the
8	characterize the surface of Sephadex? Where is that?	8	outside of the ball. Not on the interior.
10	MR. JACKSON: Object to form.  A First, you're missing a point about	9 10	Q And why do you say that?
11	A First, you're missing a point about Sephadex. May I explain?	11	A Because it's a sphere. It's a pellet.  Q And does that change when it's wetted?
12		12	A It swells. It's still a sphere.
13	Q (By Mr. Gergely) Please.  A Dutta defines Sephadex as an absorbent	13	Q It's a sphere made up of cross-link
14	particle, and Dutta chemistry demands that only	14	spaghetti-like strands; correct?
15	absorbent particles are used. You're focusing on	15	A Microscopically.
16	possible adsorptive properties of Sephadex. If	16	Q Well, sure. Everything we're talking about
17	Sephadex is used as an adsorptive particle by Dutta,	17	today is all microscopic; right?
18	the Dutta patent does not exist as prior art.	18	A I don't know about every single thing we're
19	Q I appreciate the preamble. But my question	19	talking about is microscopic, but go on.
20	is, what is the surface of Sephadex?	20	Q Well, we've been talking about, you know,
21	MR. JACKSON: Object to form.	21	particles of activated carbon. Those are microscopic;
22	A It's an amorphous surface. What is your	22	right?
23	question? It's a polymer bead.	23	A These are activated carbons. They are
24	Q (By Mr. Gergely) Okay. So where is the	24	macroscopic. They're not microscopic.
25	surface on Sephadex?	25	Q Okay. Now, but that's a what you just
1	Page 51  A The surface is like the surface of this	1	Page 53 showed me in a tin, those are those are
2	marble.	2	agglomerations of particles; correct?
3	Q Well, but it Sephadex is it's	3	A Those are particles and agglomerations of
4	cross-linked; correct?	4	particles.
5	A Partially.	5	Q Okay. But the actual individual particles
6	Q Okay. And do those cross-links extend into	6	are microscopic; correct?
7	the interior of the bead?	7	A Of that?
8	A They are throughout.	8	Q Yeah.
9	Q Okay. And is that part of the surface?	9	A No.
10	A Is the cross-link the part of the surface?	10	Q What do you define as microscopic?
11	Q Yeah. I mean, is	11	A For those particles?
12	A Microscopically? Possibly. There are	12	Q Correct.
13	cross-link chains that are at or near the surface.	13	A Those particles are a combination of
14	Yes. It's a polymer matrix. Think of it like	14	materials that are macroscopic and microscopic. Do
15	spaghetti or, I explain, if you take trees that have	15	they contain dust, fine little dust submicron
16	the leaves off them and you jam them together and then	16	particles? They do. This does. It also contains
17	you start linking those strands together, those are	17	macroscopic particles.
18	the cross-links.	18	Q Okay. But are the pores in the individual
19	Q Yeah. I think you're spaghetti analogy is	19	particles, are those microscopic?
20	probably a good one. So if you had a ball of	20	A Yes.
21	spaghetti, would the surface both both be on the	21	Q Okay. And that's true with just Sephadex,
22	outside of the ball and on the inside of the ball?	22	too? The individual strands of dextran are
23	MR. JACKSON: Object to form.	23	microscopic; correct?
24	A No.	24	A On a different scale. You can see the
25	Q (By Mr. Gergely) Why not?	25	individual pores in activated carbon at a few

```
Page 56
                                                 Page 54
 1 thousand X. At a few thousand X, you don't see
                                                            1 the table where it comes in contact with the air.
    individual strands of polymer.
                                                                Because there is an interior to the table doesn't mean
 3
         Q Okay. What level of magnification would you
                                                                it's surface is not in contact with the external
 4
    have to use to see that?
                                                            4
                                                                environment.
 5
         A Hundreds of thousands.
                                                            5
                                                                     Q So you're saying -- you're saying the
         Q Okay. And are those strands the surface of
 6
                                                               interior of a Sephadex bead is not in contact with the
                                                                exterior environment such as water? Is that what
 7
    Sephadex?
             MR. JACKSON: Object to form.
 8
                                                            8
                                                                you're saying?
 9
         Α
            I don't understand your question. Clarify
                                                            9
                                                                   A Unless water has absorbed into it through
                                                                the surface.
10
    your question, please.
                                                           10
11
             (By Mr. Gergely) Well, I understand that
                                                           11
                                                                    0
                                                                        Well, maybe we'll table this issue for later
    you're saying that activated carbon has cavities, and
                                                           12
                                                                in the deposition.
13
    everything in that cavity is considered surface;
                                                           13
                                                                         Now, going to your declaration, you state on
14
    correct?
                                                           14
                                                                page 11, paragraph 26, at the end, you say, "The Board
15
         Α
                                                           15
                                                                is correct that the terms "absorption" and "adsorbed"
16
             Because that is a -- it is a solid material;
                                                                are not in the '287 patent."
         0
                                                           16
17
    correct?
                                                           17
                                                                         Do you see that?
18
         Α
             Sometimes, yes.
                                                           18
                                                                         That is correct.
19
             Okay. And then Sephadex, likewise, is a
                                                           19
                                                                     Q Did you double-check that to ensure that
         0
    solid material; correct?
20
                                                           20
                                                                that was accurate?
21
                                                                     A They are typos.
22
         Q
             Okay. And I'm asking you, what is the solid
                                                           22
                                                                        Okay. So for example, on Column 2 -- well,
                                                                     Q
                                                           23
23
    surface of Sephadex?
                                                               let's make it an exhibit.
24
        A The exterior surface.
                                                           24
                                                                              (Exhibit 3, '287 Patent, was marked for
25
         Q And what is that?
                                                           25
                                                                              identification.)
                                                Page 55
                                                                                                            Page 57
                                                                          (By Mr. Gergely) I've handed you what's been
 1
         A It's a polymer matrix.
                                                            1
                                                            2 marked as Callan 3 which is the '287 patent previously
         Q So is anywhere on the polymer matrix
    considered the surface of Sephadex?
                                                               marked as Daniels 10 and Haggquist 5.
3
         A It is not. The exterior surface of the bead
                                                                          So I'd like to draw your attention to
    is the surface of Sephadex.
                                                                Column 2. So under "Summary of the Disclosure," do
 5
         Q And why are you drawing that distinction?
                                                                you see the reference to odor absorbing and infrared
 6
                                                            6
         A Because that is where the Sephadex particle
 7
                                                            7
                                                                absorption?
    comes into contact with the exterior environment.
                                                                        What line are you speaking about, please?
 8
                                                                    Α
         Q How about when it's in contact with water?
                                                            9
                                                                         19 through 21.
                                                                     0
    There is water penetrating into the interior of the
10
                                                           10
                                                                     Α
                                                                         Yes.
    bead; correct?
                                                                         Okay. So do you see the reference to odor
11
                                                           11
                                                                     0
12
         A Yes.
                                                           12
                                                                absorbing and infrared, IR, absorption?
13
             And there is, through hydrogen bonding,
                                                           13
                                                                    A I do.
    water binding to the dextran; correct?
                                                                         Okay. It is -- it's your contention that's
14
                                                           14
15
         A But it has to pass through the surface to
                                                           15
                                                                typos?
    get to the interior.
16
                                                           16
                                                                    Α
                                                                         Yes.
17
         Q Okay. And the interior is also part of the
                                                           17
                                                                         And what did you do to confirm that?
18
    surface; correct?
                                                           18
                                                                     Α
                                                                         There are 112 references to adsorption
19
         A It is not.
                                                           19
                                                                within a few pages of this patent. It's very clear
20
         Q And why are you drawing that distinction?
                                                           20
                                                                that the author is discussing adsorption, not
21
         A Because the surface is where the particle
                                                           21
                                                               absorption.
22
    comes into contact with the external environment.
                                                           22
                                                                    Q Okay. And then you further qualify that
23
         Q It's all solid whether it's on the
                                                                with degree of adsorption; correct?
24
     exterior --
                                                           24
                                                                         MR. JACKSON: Object to form.
25
                                                           25
                                                                     A Clarify your question.
         A The surface of this table is the surface of
```

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Page 58
                                                                                                             Page 60
              (By Mr. Gergely) Well, you said that this
 1
                                                                          (By Mr. Gergely) You've seen that term used?
                                                             1
                                                                     0
    patent is all about adsorption; correct?
                                                             2
                                                                     Α
 3
         Α
              Yes.
                                                             3
                                                                     0
                                                                          Okay. Do you know of any references off the
                                                                top of your head?
 4
              Okay. And so now I understand you to say
                                                             4
 5
    that what this is really about is highly adsorptive
                                                             5
                                                                          MR. JACKSON: Object to form.
    particles versus particles that merely adsorb;
                                                                          I know that I've done it myself with an
    correct?
                                                             7
                                                                infrared spectrometer.
 8
             Correct.
                                                             8
                                                                         (By Mr. Gergely) I'm asking you, are
                                                                there -- are there references that you're aware of
9
             And do you see anything in the patent or the
                                                             9
    Haggquist application which talks about the degree of
                                                                that use the term "infrared adsorption"?
10
                                                            10
11
    adsorptivity?
                                                            11
                                                                     A I will have to look through my manual of my
12
              MR. JACKSON: Object to form.
                                                            12
                                                                text.
13
                                                                          Now, does Sephadex trap water?
         A The definition as an active particle is a
                                                            13
    highly adsorbent particle. That's the definition of
                                                                          MR. JACKSON: Object to form.
14
                                                            14
15
                                                            15
                                                                          Define "trap."
16
              (By Mr. Gergely) Okay. And then you said
                                                            16
                                                                     0
                                                                          (By Mr. Gergely) Retain.
    that active particles can have different degrees of
17
                                                            17
                                                                     Α
                                                                          Yes.
    activity; correct?
                                                            18
                                                                     0
                                                                          And do you know whether or not it's
19
                                                            19
         A Yes.
                                                                adsorbing water?
20
                                                                         It is not.
         Q Now, what was your reference to 112? You
                                                            20
                                                                     Α
21
    said, "uses adsorption 112 times"?
                                                            21
                                                                          So you dispute that it adsorbs water?
22
         A It does.
                                                            22
                                                                          I do. Not only I do, if it's adsorbing
                                                                     Α
23
         0
             Okay. Did you mean active particles
                                                            23 water, the Dutta patent doesn't exist as prior art in
24
    112 times?
                                                                this case.
25
         A I would have to go to -- there were
                                                            25
                                                                     O Well, you've sort of let Haggquist off the
                                                 Page 59
                                                                                                             Page 61
    two different calculations. I would have to go to the
                                                                hook with saying that certain things are typos. Do
                                                                you know if Dutta, for example, was using "absorb" in
    exact --
3
         Q Okay. So on paragraph 29.
                                                                a generic sense rather than "adsorb" in a scientific
         Α
             Paragraph 29 of --
                                                                sense?
              Your declaration.
                                                                          MR. JACKSON: Object to form. Foundation.
 5
             Adsorptive particle -- the adsorptive
 6
         Α
                                                             6
                                                                Scope. Leading.
 7
    property of the activated particle is 41 times.
                                                             7
                                                                     A I know that the Dutta patent is full of the
 8
         Q Okay. And then 112 references to --
                                                                term "absorb," not adsorb.
             -- to active particles. Correct. I'm
                                                                         (By Mr. Gergely) And would you agree that
         Α
                                                            9
                                                                     0
                                                                scientists sometimes will use those terms
10
    corrected.
                                                            10
              So back to the '287 patent. You said that
                                                                interchangeably?
11
                                                            11
    the reference to odor absorbing and infrared
                                                            12
                                                                     Α
                                                                          No.
12
                                                            13
    absorption are wrong?
                                                                          MR. JACKSON: Form. Scope. Leading.
13
14
                                                            14
                                                                         (By Mr. Gergely) Well, we know Haggquist had
         A It's a typo.
15
         Q Okay. Is there such -- is there such a
                                                            15
                                                                some typos; right?
16
    thing as infrared adsorption?
                                                            16
                                                                     A That doesn't mean he used them
17
        A Yes.
                                                            17
                                                                interchangeably. That's a typo. Dutta had typos.
18
         Q
             And what is that?
                                                            18
                                                                Dutta had typos, too.
19
         Α
              Infrared light adsorbing at the surface of a
                                                           19
                                                                     0
                                                                          So --
20
    particle.
                                                            20
                                                                     Α
                                                                          If you don't allow typos, then again, the
21
         Q And is there literature describing that
                                                                Dutta patent doesn't exist.
                                                                          And why do you say that?
22
    phenomenon?
                                                            22
                                                                     0
23
              MR. JACKSON: Object to form. Foundation.
                                                            23
                                                                          Because in Dutta's presentations to the
24
                                                            24
                                                                patent board for application of this patent, he had
     Scope.
25
         A It wasn't presented within this, but yes.
                                                                typos and presented them as typos to the patent board.
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Page 62
         Q Can you show me that in your declaration? I
                                                                        Okay. And then -- so I don't -- I don't see
1
                                                           1
                                                                   0
                                                               your definition of "first thickness."
    wasn't sure -- I'm not sure what you're talking about.
3
              Yes. It's going to take a minute.
                                                                   A It's Claim 27.
                                                                        Right. But do you give any special
4
             Okav.
                                                           4
                                                                    0
5
         A It is referred specifically with the
                                                           5
                                                               definition of first thickness?
                                                                   A I agree with Claim 27.
    citation.
         Q Okay. Maybe we'll get to it at -- once we
                                                           7
                                                                    Q Okay. So you say, for example, on 38, you
    get into Dutta. But your best recollection is there
8
                                                           8
                                                               say, "A person of ordinary skill and art would clearly
                                                               understand that first thickness is the physical
    are some typos in Dutta?
         A That's my best recollection.
                                                               dimension of the cured base material and not of other
10
                                                          11 claim elements."
11
         Q Okay. All right. Maybe we'll get to it
   later. I don't want you to read the entire document.
                                                                 A As in this exemplar.
13
    But maybe as we --
                                                                   0
                                                                        Okay.
                                                          13
        A It's referred to and cited exactly where
14
                                                          14
                                                                   Α
                                                                        Would you like to mark it as an exhibit?
15
    that comes from.
                                                          15
                                                                        No. I think I understand it. But what
16
         Q Now, I want to ask you some questions about
                                                          16
                                                               you're showing to me is a cured polymer in a -- in a
    hydrogen bonding. Is that -- is that referred to as
                                                               jar, small jar?
17
                                                          17
    hydrophilic process?
                                                          18
                                                                   A It's a cured polyester.
19
              MR. JACKSON: Objection. Form. Foundation.
                                                          19
                                                                   Q Okay.
20
         A Yes.
                                                          20
                                                                   A In a scintillation vial.
21
              (By Mr. Gergely) Okay. So if there's some
                                                                        Okay. And when you say "cured," it means it
                                                          21
22
    hydrogen bonding going on in activated carbon at the
                                                          22
                                                              was once a solution, and now it's been solidified?
    surface, that would be considered hydrophilic on a
23
                                                          23
                                                                   A There is another sample that has the uncured
24
    certain level, i.e., water-loving?
                                                          24 polyester. The same polyester that's here. It's a
         A I don't know where you would draw the line
25
                                                          25 liquid.
                                                                                                          Page 65
                                                Page 63
                                                                        It's viscus and it's moving?
    with hydrophilic.
                                                           1
                                                                    Q
         O Okay. But --
                                                                   Α
                                                                        That's right.
3
         A I wouldn't consider it hydrophilic. No.
                                                                        All right. And then once it's cured, it's
                                                                   0
         Q Okay. If there's -- if there's -- but if
                                                           4
                                                               solid?
    there's hydrogen bonding, an OH group will attract
                                                                        That's correct.
5
                                                           5
                                                                   Α
                                                           6
                                                                        Correct. Okay.
6
    water: correct?
                                                                    0
7
                                                           7
                                                                        And then in 39, you say, "A person of
        A Yes.
8
             Okay. And is that a hydrophilic process?
                                                              ordinary skill in the art understands that the cured
              Hydrophilic is water-loving. Is hydrogen
                                                           9
                                                              base material of Claim 27 is the layer or membrane on
         Α
    bonding defined as hydrophilic? I don't know that
                                                               which the active particles are applied."
10
                                                          10
11
    that's the case. No.
                                                          11
                                                                   A Correct.
12
         Q Okay. I'd like to ask you some questions
                                                          12
                                                                        Do you see that?
                                                                   0
    about first and second thickness. Now, as I
                                                                        And you chose the word "on"; correct?
13
                                                          13
    understand your position on first thickness, what does
                                                          14
                                                                   Q Can it also be "in"?
15
    that mean?
                                                          15
                                                                   A It cannot. Because if it were -- if you
16
        A I present it in my claim.
                                                          16
17
         Q
            Okay.
                                                          17 were to apply it and it were uncured, it would be
18
         Α
             In my declaration.
                                                          18 immersed. It wouldn't be "on." "In" is immersed,
19
         Q Okay. And --
                                                          19
                                                              not -- not on. That's not what Claim 27 says.
20
         A Page 15.
                                                          20
                                                                   Q Oh, I thought Claim 27 --
21
         Q Page 15.
                                                          21
                                                                   Α
                                                                        May I discuss?
22
         A Would you like me to read it?
                                                          22
                                                                        Yeah. Sure. I mean, Claim 27 doesn't say
23
         Q No. I think I see it. So is this where it
                                                          23
                                                               "on." It just says "in contact with"; correct?
24
    starts on paragraph 37?
                                                          24
                                                                   A I can explain.
25
        A It is.
                                                          25
                                                                        Okay.
                                                                    0
```

```
Page 66
                                                                                                              Page 68
1
              Would you like me to?
                                                             1
         Α
                                                                           Yes.
                                                                      Α
2
         Q
              Sure.
                                                             2
                                                                      Q
                                                                           Okay. Then he goes on to state, "The
3
         Α
              "A water-proof composition comprising a
                                                                 protected activated carbon was suspended in the
    liquid, impermeable, breathable, cured base material
                                                                 polyurethane solution, and the mixture was applied to
4
    comprising the first thickness."
                                                                 a nylon-woven fabric."
              I would like this as an exhibit, if that's
                                                                           Do you see that?
                                                                           Yes.
    possible.
                                                                      Α
              MR. JACKSON: We'll see what we can do. But
8
                                                             8
                                                                          Okay. And so I guess what I'm asking you
9
    just describe what's in your hand.
                                                                 is, is that what he's doing is creating a suspension
         A This is a -- this is a cured polyester
                                                                 of the particles in the solution?
10
                                                            10
                                                                          He's creating layer two, not layer one.
11
    resin. If it's cured and you put activated carbon in
                                                            11
                                                                     Α
    contact with it, it doesn't go in. It's on. If it's
    uncured and you put it in, it goes in. But that's not
13
                                                            13
                                                                      Α
                                                                           I am showing you, physically, particles
14
    what it's describing.
                                                            14
                                                                 mixed in a base resin, a base formula.
15
              (By Mr. Gergely) Okay. But what if -- what
                                                            15
                                                                          Oh, I see what you're -- you're showing
16
    if you put the activated carbon in the uncured
                                                            16
                                                                 me -- now, these are -- what you're showing me are
    solution and then cure it together? Don't you have
17
                                                            17
                                                                 very large particles; correct?
18
                                                            18
                                                                      Α
                                                                         They are.
19
         A If you were to do that, the activated carbon
                                                                           Okay. And this is -- is this this Aqua-Tech
                                                            19
20
    goes to the bottom, and you don't have a Haggquist
                                                            20
                                                                 stuff that you've put on the table?
    geometry of the cross-section. These particles are
                                                                      Α
                                                                         Correct.
    sitting on the bottom. They don't poke through the
                                                            22
                                                                      Q
                                                                          And these particles are much larger than
22
23
    surface.
                                                            23
                                                                10 microns; correct?
24
         0
             Uh-huh. Well, what --
                                                                     A They are.
25
              To have the Haggquist geometry, you have to
                                                            25
                                                                      0
                                                                           Okav.
                                                 Page 67
                                                                                                              Page 69
1 do exactly what he says. You have to cure it. You
                                                                      A But the intent is to make it a macroscopic
   have to place it on. Then you add -- and this is the
                                                                ratio to show you what is being created.
    base material. Then you add base material, and you
                                                                         Okay.
                                                                      0
    have a second thickness.
                                                                          The ratio is correct, 2.5 to 1, for the
              Sir -- now, Haggquist was using activated
                                                                 particle thickness. It's just so you can see
5
    particles with a 10-micron diameter; correct?
                                                                 macroscopically what I see on the microscope and what
6
                                                             6
 7
                                                             7 I have seen on the microscope for 30 years on the
         A Correct.
              Okay. And that's capable of being mixed
                                                                electromicroscope with particles.
8
    into polyurethane; correct? Into a suspension?
                                                             9
9
                                                                      O Now, in Example 1, he goes on to state that
              MR. JACKSON: Object to form.
                                                                 the membrane coated on a -- the membrane is coated on
10
                                                            10
11
              You mean, in a solution like the black
                                                                 a substrate, in this case, nylon-woven fabric.
                                                            11
12
    coloration here? What are you referring to?
                                                            12
                                                                      Α
                                                                           Yes.
13
              (By Mr. Gergely) Well, why don't we take a
                                                            13
                                                                          And he says the membrane having a thickness
         0
    look at the '287 patent.
                                                                 of 1 mil. And do you understand that the membrane is
14
15
         Α
             Yes.
                                                                 the mixture of the polyurethane and the activated
16
         0
              So do you have the '287?
                                                            16
                                                                 carbon?
17
         Α
              I'm looking at it.
                                                            17
                                                                      Α
                                                                           It would be in this, yes --
18
         0
              Yeah. So why don't you take a look at
                                                            18
                                                                      Q
                                                                          No. I'm asking you --
                                                                           -- in Claim 27.
19
    Column 8, Example 1.
                                                            19
                                                                      Α
20
         Α
             Column 8?
                                                            20
                                                                      Q
                                                                          I'm asking about Example 1.
21
         Q
              Yeah.
                                                            21
                                                                      Α
                                                                           Okay.
22
                                                            22
                                                                           What your understanding of Example 1 is
         Α
23
              And he states that polyurethane solutions
                                                            23
                                                                 the -- is the 1 mil a membrane that he's referring to
    were combined with protective activated carbon. Do
                                                            24
                                                                 the activated carbon in polyurethane mixed together?
    you see that?
                                                            25
                                                                      A No. The 1 mil is the nylon-woven fabric.
```

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STEPHEN J. CALLAN - 12/14/2018
                                                                                                  Pages 70..73
                                                                                                             Page 72
                                                 Page 70
         Q Well, he says, "Resulting in a membrane
 1
                                                                extrusion, that's not being made from solution;
                                                             1
    coated on a substrate, the membrane having a thickness
    of 1 mil."
                                                                     Α
                                                                          That is correct.
 4
                                                             4
                                                                     0
                                                                          All right. So in this Example 1 that we're
              Do you see that?
 5
              In this case, the substrate is the nylon
                                                                 referencing, the activated carbon in the polyurethane
    woven, and I'm asking you, is the membrane 1 mil
                                                                 is 1 mil thick. Do you agree with that?
    thick?
                                                                     Α
 7
                                                                         Yes.
 8
              Where are you reading, please?
                                                             8
                                                                     Q
                                                                          Okay. And do we know how thick the nylon
                                                                 woven is? It's just not stated.
              So he says here, "The mixture" -- I take
 9
    that to be a mixture of activated carbon and
                                                                         It's not stated that I see.
10
                                                            10
                                                                     Α
    polyurethane; correct?
11
                                                            11
                                                                     Q Now, it's your contention -- what is -- what
12
         A Yes.
                                                                 is -- I'm not sure what your contention is. Are you
13
         Q
              -- "is dried and cured in an oven, where at
                                                                 saying that in Haggquist he is actually creating a
                                                            13
14
    least a portion of the protective substance is removed
                                                            14
                                                                 liquid impermeable base material and then putting the
    resulting in a membrane coated on a substrate, the
                                                                 active particles on top of that? Is that what you're
16
    membrane having a thickness of 1 mil."
                                                            16
                                                                 saving?
                                                           17
17
              Do you see that?
                                                                          MR. JACKSON: Object to form.
18
                                                                         I'm saying he does exactly what he
             Yes.
                                                            18
                                                                     Α
19
         Q Okay. Is that membrane the activated carbon
                                                           19
                                                                describes.
20
    in the polyurethane?
                                                            20
                                                                          (By Mr. Gergely) And what do you mean by
21
                                                            21
                                                                 that?
22
         Q
              Okay. And then the substrate is the nylon
                                                            22
                                                                          In Claim 27, a waterproof composition
                                                                     Α
23
    woven; correct?
                                                            23
                                                                 comprising a liquid impermeable, breathable, cured
24
         A Yes. Basically, saying I created the second
                                                                base material comprising the first thickness. He
25
    thickness and applied it to a nylon-woven fabric.
                                                                 takes a polymer resin. He cures it and makes it into
                                                 Page 71
                                                                                                             Page 73
1
         Q
              Well, the nylon-woven is not the first
                                                                 a first thickness that's cured and solid.
    thickness; right?
                                                                          You can't put activated carbon into the
         A In this case, it is.
                                                                solid. It's solid. That's not part of the process.
 3
             Well, but the claim says that the first
                                                                This is the first thickness.
     thickness is a liquid, impermeable, cured base
                                                                     Q You understand Claim -- for example, you're
 5
     solution: correct?
                                                                reading Claim 27; right?
 6
                                                             6
         A In Claim 27, yes.
 7
                                                                     Α
                                                                          I am. Yes.
              Yeah. And the nylon-woven is not a liquid,
                                                                          So you understand that's not a method claim?
 8
                                                             8
                                                                     0
    impermeable, cured base solution. It's a woven.
                                                             9
                                                                 You understand that's an apparatus claim?
9
              Actually, it is.
                                                                          No. It's a method claim.
10
                                                            10
                                                                     Α
              Well, sir, they don't say it was created
                                                                          You said -- you said --
11
                                                            11
                                                                     0
12
     from solution.
                                                            12
                                                                          I'm saying --
                                                                     Α
13
         A Nylons can be created from solution.
                                                            13
                                                                          -- you say 27 is a method claim?
                                                                     0
              Right. But in this -- in this example, he's
                                                                          He is telling you what he's doing.
14
15
    not saying that -- he's saying that he's applying it
                                                            15
                                                                          On what basis do you say 27 is a method
16
    to a woven.
                                                            16
                                                                claim?
17
         A Which is a cured nylon. That's a solid.
                                                            17
                                                                          A waterproof composition comprising a
18
         0
              Well, I mean, nylons can be made in lots of
                                                            18
                                                                liquid, impermeable, breathable, cured base material.
19
    different ways; correct? They can be extruded?
                                                            19
                                                                 He's saying cured. That's a method.
20
         Α
                                                            20
                                                                     Q
                                                                          Do you have any patents of your own?
21
         Q And that's not a cured -- that's not a cured
                                                           21
                                                                     Α
22
    solution, is it?
                                                            22
                                                                     0
                                                                          Have you been an inventor on any patents?
23
         A It can be. Cured nylons are very
                                                            23
                                                                          Not cited.
24
     water-sensitive, so yes, it could be.
                                                            24
                                                                          Okay. Have you participated in a patent
25
         Q Sir, if a material is made through
                                                                prosecution?
```

```
Page 74
                                                                                                               Page 76
                                                              1 A liquid impermeable, breathable, cured -- a method,
 1
         Α
              Yes.
 2
         Q
              How?
                                                                 an action -- base material comprising a first
 3
         Α
              I was an expert in patent litigation.
                                                                 thickness. A plurality of active particles in contact
              Okay. Which one?
                                                                 with the liquid, impermeable, breathable base
 4
 5
         Α
              I'll have to look at my record. There's
                                                                 material, in contact with, is a second thickness.
                                                                           The only possible way you can have this
 6
    been three, I think, in my career.
              Yeah. Because I just saw one federal --
                                                                 second thickness is exactly as it's described as a
 7
                                                                 method if it's cured. It becomes a hard material.
 8
    federal case that you'd been listed on.
              That was a mulch --
                                                                 Otherwise, it sinks, and it doesn't comply with the
 9
         Α
              The CMH -- CMH case?
                                                                 description of the '287 patent.
10
         0
                                                            10
11
         Α
             Yeah. That was a mulch patent.
                                                            11
                                                                      Q Well, sir, what you showed me is a
                                                                 mixture -- to your left is a mixture of activated
12
              That was a patent case?
13
                                                                 carbon polyurethane.
         Α
              Yes.
                                                            13
14
         0
              Is that where you gained an understanding of
                                                            14
                                                                      A No. It's polyester.
15
    method claims versus apparatus claims?
                                                            15
                                                                           Polyester. Okay. So it's a mixture of
              MR. JACKSON: Object to form. Scope.
16
                                                            16
                                                                 activated carbon and polyester as the top layer;
              You're asking me if this is a method, and
17
                                                            17
                                                                 correct?
    I'm telling you, as a scientist, it's a method.
18
                                                            18
                                                                      Α
19
              (By Mr. Gergely) All right. So in your
                                                            19
                                                                           And it's possible to do that; correct?
                                                                      0
20
    view, tell me what this method claim means.
                                                                           It's the only way to comply with Claim 27
                                                            20
                                                                      Α
21
             This method is a waterproof composition
                                                            21
                                                                 the way it's written.
22
    comprising a liquid, impermeable, breathable, cured
                                                            22
                                                                      Q
                                                                           Why is that?
    base material comprising of first thickness. It is
23
                                                            23
                                                                      Α
                                                                           Because it's written as a waterproof
    saying that the inventor is taking a liquid, uncured
                                                                 composition comprising a liquid, impermeable,
                                                                 breathable, cured base material comprising a first
    resin, placing it in a container of some kind, around
                                                  Page 75
                                                                                                               Page 77
    the surface of some kind, and curing it until it
                                                                 thickness. It has to be cured. If it's cured and you
    becomes solid.
                                                                 put activated carbon on it, it doesn't fall into it.
3
              Sir, I'll represent to you -- and
                                                                 It's not in it. It's not immersed in it. It's on it.
         0
    Mr. Jackson will agree -- that Claim 27 is not a
                                                                           If you were to violate that and put it in
    method claim. It's not a series of steps. It's a
                                                                 contact before it were cured and it's not solid, but
 5
     composition claim. Does that change your answer?
                                                                 it says to be solid, it would sink to the bottom. If
 6
                                                              6
 7
              MR. JACKSON: I want to object to that.
                                                                 it sinks to the bottom, you don't have the description
 8
    Scope. Foundation. Form.
                                                                 of activated carbon particles that poke through the
 9
         A I'm telling you what this means to me as a
                                                                 surface that are a necessary part of the patent and
    scientist and a coating scientist.
                                                                 are part of the difference between Dutta science and
10
                                                            10
11
              (By Mr. Gergely) And sir, to understand what
                                                            11
                                                                 Haggquist science. Dutta science says, specifically,
12
    the claim means, would you then go to the
                                                            12
                                                                 you don't do that.
    specification?
                                                            13
13
                                                                          How about the example all the way over to
14
              Clarify your question.
                                                                 your left? Does that have some activated carbon
15
              Sir, is the specification relevant to your
                                                            15
                                                                 poking out on the surface?
    understanding of what this claim means?
                                                                      A Yes, it does.
16
                                                            16
17
              What specification are you describing?
                                                            17
                                                                           Okay. And you'll agree with me that that
18
              The words that are in the '287 patent from
                                                            18
                                                                 top layer is activated carbon and polyurethane mixed
    the first word to the last word before --
19
                                                            19
                                                                 together; correct?
20
             They do say this.
                                                            20
                                                                      Α
                                                                          It is activated carbon and polyester mixed
21
         Q
              Okay. And where does it say that?
                                                            21
                                                                 together.
22
             Can I finish --
                                                            22
                                                                           With polyester mixed together.
         Α
23
         Q
              Yeah. Go ahead.
                                                            23
                                                                           Would that same relationship exist with
24
              -- Claim 27?
                                                                 respect to activated carbon and polyurethane mixed
25
              The first thickness is exactly what it says.
                                                                 together?
```

```
Page 78
                                                                                                              Page 80
                                                                           That's actually important for a couple of
 1
                                                             1
         Α
              Yes.
 2
         Q
              You would have some activated carbon?
                                                             2
                                                                 reasons. The first reason is that they're all 1 mil,
 3
         Α
              This is activated carbon and polyurethane
                                                                 so you're comparing apples to apples.
                                                                          And what are you looking at right now? Oh,
 4
     (indicating).
                                                             4
                                                                      Q
 5
              MR. JACKSON: Can you describe what's in
                                                             5
                                                                 you're looking at the patent.
                                                                          It's the '287 patent.
 6
    your hand, Steve?
                                                                     Α
         A It's an aluminum pan with a mixture of
                                                             7
                                                                          Okay. So you're looking at --
                                                                      0
 8
    activated carbon and polyurethane. And you'll note
                                                             8
                                                                      Α
                                                                          Well, Figure 2, Figure 3, and Figure 4.
     that there's a difference between this sample, this
                                                                           Okay. So Figure 2 -- Figure 2, Figure 3,
    exemplar, and the sample of the first and second
                                                                 and Figure 4. So you feel that all of these -- all of
10
                                                            10
    thickness in the scintillation vial, in that the
11
                                                                 these samples here are all 1 mil thick?
    activated carbon in this case covers the surface of
                                                                     Α
                                                                           That's the way I understand it. Yes.
13
    the particles that are protruding out.
                                                            13
                                                                      Q
                                                                           Okav.
14
              That's what encapsulation is about.
                                                            14
                                                                      Α
                                                                           That's important for a couple of reasons.
15
    Encapsulation is about covering those surfaces so that
                                                            15
                                                                 One is it means you're comparing apples to apples with
16
    you can uncover them because if you were to just
                                                            16
                                                                 the water vapor transmission rates. To have
                                                                 comparable numbers, you have to have comparable
17
    immerse this in polyurethane, it would coat the
                                                            17
    surface. Dutta teaches not to do that. This is Dutta
                                                                 thicknesses.
18
19
    with Nalco 1181 equivalent, sodium polyacrylate, and
                                                            19
                                                                           But it's also important that the control is
                                                               1 mil thick, and you're using 10-micron particles.
20
    it does not penetrate through the surface.
                                                            2.0
21
              Did I answer your question?
                                                                 That complies with the description in Claim 27.
22
              (By Mr. Gergely) I'm not sure you did.
                                                            22
                                                                          Well, wait a second. I thought a control
         Q
                                                                     Q
23
    But -- now, turning your attention to paragraph 54.
                                                            23
                                                               does not have any --
24
         A In my declaration?
                                                                     A A control does not. But these other samples
25
         Q Yeah.
                                                               are the base formula with activated carbon in it.
                                                 Page 79
                                                                                                              Page 81
                                                                           You're losing me. I mean, I'm looking at --
 1
         Α
              Yes.
                                                             1
                                                                      Q
                                                                 I'm looking at Figure 2.
              You said that Example 1 is directed towards
    preparing multiple samples of material, some with
                                                                      A Figure 2?
 3
                                                             3
                                                                          Figure 2. It doesn't say -- it says,
    active particles and some without. Do you see that?
 4
                                                             4
                                                                 "Control, zero carbon."
 5
         A I do.
              And you say, "Each of the films was made
                                                                          That's right. It's a 1 mil control without
 6
                                                             6
                                                                     Α
 7
    1 mil thick because that is the standard draw down
                                                             7
                                                                 activated carbon, 1 mil thick.
    blade thickness for ASTM E-96."
                                                             8
                                                                           Okay.
                                                                      0
9
         A Yes.
                                                                      Α
                                                                           And then the other samples have activated
10
              And what does that mean?
                                                                 carbon that is 10-micron diameter. And that complies
         0
                                                            10
11
              That means for ASTM E-96, you'd compare
                                                                 with the definition in Claim 27 that the ratio of 2.5
         Α
                                                            11
    apples to apples. The samples that you're testing
                                                            12
                                                                 to 10 is the thickness of the base layer with the
    need to be the same thickness.
                                                                 particle size.
13
                                                            13
14
         Q Okay. So some of the --
                                                            14
                                                                         The base --
15
         A A 1 mil draw down blade is a standard
                                                            15
                                                                           The 2.5 is a threshold, not a definition of
    draw down blade for the coatings, the field of
16
                                                            16
                                                                 thickness.
17
    coatings technology.
                                                            17
                                                                      Q
                                                                          So the base layer -- what are you saying is
18
         Q
             Okay. So you're comfortable --
                                                            18
                                                                 the base layer?
19
         Α
              The testing.
                                                            19
                                                                     Α
                                                                          The control.
20
         0
              So you're comfortable in stating that the
                                                            20
                                                                      Q
                                                                          You're saying -- so the base layer has no
21
    control films and the experimental films were all
                                                            21
                                                                 active particles in it?
22
    1 mil thick?
                                                            22
                                                                      Α
                                                                           Correct.
23
         A Yes.
                                                            23
                                                                           And then -- so the -- in these tests, the
24
              MR. JACKSON: Object to form.
                                                            24
                                                                 control is simply the base layer by itself,
25
         Q (By Mr. Gergely) And what does that mean?
                                                                 polyurethane, 1 mil thick; correct?
```

```
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                                                                                                             Page 84
 1
                                                            1
                                                                          MR. JACKSON: Yeah. Let's keep that clean.
         Α
              Yes.
 2
         Q
              And then what is -- what, for example --
                                                            2
                                                                          MR. GERGELY: So can you just draw --
 3
     let's look at Figure 2.
                                                            3
                                                                          THE WITNESS: I need a ruler. Can you get
                                                                me a ruler, please? A straight edge of some kind.
 4
         Α
              Okav.
                                                            4
                                                            5
 5
              These experiments, 1 through 7.
                                                                          MR. JACKSON: Let's use this as a straight
         0
                                                                edge. Tell me if you need something else.
              Those -- the total thickness of the membrane
                                                            7
                                                                          Is that thick enough, Steve? Do you want a
 8
    is 1 mil thick as well?
                                                            8
                                                                thicker one?
                                                                          THE WITNESS: I'm fine.
 9
         Α
                                                            9
                                                                          (By Mr. Gergely) You don't have to draw
10
         0
              Okay. And what's -- what's the composition
                                                           10
                                                                     0
11
    of that?
                                                           11
                                                                every single one. You can just --
12
         A The composition in the control is 80 grams
                                                           12
                                                                     A All right.
    of polyurethane without any carbon or Surfynol --
                                                                          Why don't you just do -- why don't you do
13
                                                           13
                                                                     0
14
         0
             Okav.
                                                           14
                                                                Experiment 1.
15
         Α
              -- 485. Now, Sample 1 has 80 grams of
                                                           15
                                                                     Α
16
    carbon -- 80 grams of polyurethane with 20 grams of
                                                           16
                                                                          And if you want, you can use PU as shorthand
                                                                     0
17
    carbon and 10 grams of Surfynol 485.
                                                           17
                                                                for polyurethane.
         Q Okay.
18
                                                                     A All right. I'm going to draw the second
19
             But this carbon is 10-micron diameter, and
                                                                lower so I can --
                                                           19
20
                                                                     Q Yeah. Please. Just to set it off.
    it complies with the definition in Claim 27.
                                                           20
21
              And why do you say that? Explain that to
                                                                         Yeah. Okay. I'm not sure this is exactly
22
                                                           22
                                                                what you're after and what you want me to explain, but
   me.
23
         A Because Claim 27 is a definition of a
                                                           23
                                                                there is a control that is 80 grams of liquid -- of
24
    threshold, not a definition of thickness. The
                                                               liquid, impermeable, breathable, cured base material
   10-micron diameter particle is the minimum thickness,
                                                               comprising what would be the equivalent of a first
                                                 Page 83
                                                                                                             Page 85
 1 not the defined thickness of the second thickness. So
                                                                thickness. That is the base material without the
 2 you have a material that has control that is
                                                                activated carbon.
 3 25 microns, 2.5 times, with the other samples being
                                                                          Sample 1 below that is 80 grams of
    materials that have 10-micron diameter particles, 2.5.
                                                                polyurethane mixed with 20 grams of activated carbon
              So in terms of these -- I mean, can you draw
                                                                that is 10-micron diameter.
    this for me because I'm having a hard time following
 6
                                                            6
                                                                     0
                                                                         Okav.
    you, what you're saying these -- I understand what the
                                                                     A But it is made into a 25-micron thick layer
 7
                                                            7
    control sample looks like. That's straightforward.
                                                                with 10-micron diameter particles. So it is
    That's a 1 mil thick.
                                                                equivalent to the Thickness 2 visually as I have shown
         A The other samples are the second thickness
                                                                and made.
10
                                                           10
11
    as in this vial. But they are ratios of, for
                                                           11
                                                                     0
                                                                          Okay. So --
    Sample 1, 80 grams of polyurethane with 20 grams of
                                                           12
                                                                          With some of the particles poking through
                                                                     Α
13
    carbon, carbon being 10-micron diameter.
                                                           13
                                                                the surface as defined.
14
         Q Can you -- can you draw --
                                                           14
                                                                     Q So I'm -- I need to ask --
15
         A You're looking at it.
                                                           15
                                                                          The important point is the ratio is 2.5 to
                                                                     Α
         Q Well, it's -- that's -- why don't we do it
                                                                1. This is 25 microns, and the particles are
16
                                                           16
17
    on a piece of paper because that's hard to visualize.
                                                           17
                                                                10 microns, and that's what's described.
18
         A You're looking at it, but yes, I will --
                                                           18
                                                                     0
                                                                         Okay. So -- so I'm -- you're pointing to
19
         0
             No, no. I mean for the record.
                                                           19
                                                                your drawing, and up top, you have -- it looks like a
20
         Α
             Okay. I think you should make that an
                                                           20
                                                                bracket that says 25 microns.
21
    exhibit myself.
                                                                     Α
                                                                         T do.
22
             Yeah. I mean, maybe we will later, but I
                                                           22
                                                                     0
                                                                          Is that 1 mil?
23
    need to -- so can you just -- I'd ask you to draw it
                                                           23
                                                                          25.4 microns is 1 mil.
                                                                     Α
    on the exhibit, but we probably should keep that
                                                           24
                                                                          Okay. So give or take. So you have in the
    clean.
                                                               margin, control 1 mil equals 25 microns.
```

```
Page 86
                                                                                                              Page 88
1
                                                                          Yeah. So --
         Α
              Yes.
                                                             1
                                                                      0
2
         Q
              And there are two lines drawn next to the
                                                             2
                                                                          And some of them are bigger than 10-micron.
                                                                      Α
    word "control" and "1 mil." Does that reflect --
3
                                                                      Q
                                                                           So --
              A thickness.
 4
                                                             4
                                                                      Α
                                                                          So I drew little specks.
5
              -- a single layer?
                                                             5
                                                                          Yeah, yeah, yeah. No. I appreciate it.
         0
                                                                 So -- so just to be clear for the record, both the
             A thickness, ves.
                                                                 control and the experiment on your drawing, those are
             And then what are these two lines down here?
                                                                 single-layer films with -- that are 1 mil thick;
8
             That was where I began to draw the second
                                                             8
9
    except for there wasn't enough room to put the
                                                                 correct?
    description, so that means nothing. I brought it
10
                                                            10
                                                                      Α
                                                                          Yes. Because they were made for a water
11
                                                            11
                                                                vapor transmission experiment where you need to
12
         Q Oh, okay. Can you just put an X through
                                                            12
                                                                 compare apples to apples.
13
    that just indicating that that was a mistake?
                                                                          MR. GERGELY: Okay. Okay. All right. And
                                                            13
14
         A It wasn't a mistake. I was trying to make
                                                            14
                                                                 then -- why don't we mark this? What are we up to?
15
    it more clear for you.
                                                            15
                                                                                (Exhibit 4, Drawing, was marked for
16
         0
              Got it. And so I appreciate the clarity.
                                                            16
                                                                               identification.)
                                                                           (By Mr. Gergely) So we're just going to mark
17
    So going down to the figure below that, you say
                                                            17
                                                                      0
    Figure 2, and is that the word "sample"?
                                                                 that as Callan 4.
                                                            18
19
              Sample 1 as in --
                                                            19
                                                                          Now, you used the phrase -- they don't --
         Α
20
              Sample 1.
                                                            20
                                                                 you said they don't lay down like soldiers?
         0
21
              -- listed in the table. You said to do
         Α
                                                            21
                                                                      A Correct.
22
    Sample 1.
                                                            22
                                                                      Q
                                                                          And I think you used a similar phrase in
23
         O Oh, okay.
                                                            23
                                                                 your declaration. What do you mean by that?
24
         Α
            This is listed as Sample 1 --
                                                                      A They don't line up like marbles. Marbles
25
         Q
              Sample 1.
                                                                line up like metal atoms where you have them in a
                                                 Page 87
                                                                                                              Page 89
1
         Α
              -- in Figure 2.
                                                                 layer, and if you put a layer on, they fit in the
                                                                voids nice and neat. Activated carbon doesn't do
         0
             And you've drawn -- you've drawn a
    1-mil-thick film; correct?
                                                                 that. It's irregularly shaped particles.
3
 4
            Correct.
                                                                      Q
                                                                          Okay.
              And then you have particles of activated
                                                                          And you don't only have one layer.
5
                                                                      Α
    carbon suspended in that film; correct?
                                                                          Of activated carbon?
6
                                                             6
                                                                      0
 7
         A That's correct.
                                                                          That's right.
                                                             7
                                                                      Α
8
              And that film is comprised of what?
                                                             8
                                                                          I understand. So like -- so using your
              80 grams of polyurethane and 20 grams of
                                                             9
                                                                10-micron example with, you know, putting in, you
         Α
                                                                know -- which one did you choose? Did you choose
    activated carbon.
10
                                                            10
11
         Q Okay. And then some surfactant?
                                                            11
                                                                 Sample 1?
12
         Α
              The surfactant would not be particulate.
                                                            12
                                                                          You asked me to do Sample 1.
                                                                      Α
                                                                          Yeah. Okay. So that's 20 grams of carbon?
13
              Okay.
                                                            13
                                                                      0
         Ω
14
              But it's important to note, also, that you
                                                            14
                                                                      Α
15
    have not just the 10-micron particles. I drew little
                                                            15
                                                                          Okay. So when you're putting 20 grams of
    specks. That's not what's really in there is just
16
                                                            16
                                                                 carbon into a polyurethane solution, when you're
17
    10-micron particles. When you -- when they create the
                                                            17
                                                                 saying that they're not laying down like soldiers,
18
    10-micron particles of activated carbon, they pass
                                                            18
                                                                 what do you mean? It's not a single mono layer of
19
    them through a sieve, and they are not all 10-micron.
                                                            19
                                                                 particles? Is that what you're saying?
20
   It's not a bead like a marble like Sephadex. They are
                                                            20
                                                                         It is not.
21
    irregular shapes. They don't lay down like soldiers.
                                                            21
                                                                      Q
                                                                           Okay. So there's this -- there's this
22
    They fit in, like, jagged pieces. And you don't have
                                                            22
                                                                 claimed ratio in Claim 27, and rather than me trying
    just 10-micron. You have 10-micron, and because it's
                                                            23
                                                                 to paraphrase it, I'll read it to you. It says, "The
    a sieve, it's 10-micron, and anything below 10-micron
                                                                 first thickness comprises a thickness at least 2.5
   also comes through.
                                                                 times larger than the second thickness, but less than
```

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                                                                                                             Page 92
                                                                          What you're asking, if that were to be done
 1 an order of magnitude larger than the second
                                                             1
    thickness."
                                                                 in this experiment, you would be comparing apples to
 3
              Do you see that?
                                                                 oranges. That's why this experiment is done this way.
 4
                                                             4
                                                                          (By Mr. Gergely) So --
             T do.
         Α
                                                                     0
                                                             5
 5
             Okay. In -- in the example you just drew,
                                                                          They're both made with the same thickness.
    how would that -- how would that claim be met by the
                                                                 With that done, then you later can do calculations
    example you drew on Callan 4?
                                                                based on the water vapor transmission rate for the
              MR. JACKSON: Object to form.
                                                                total thickness for that formula.
 8
                                                             8
             Because this ratio is a threshold ratio
                                                                     Q Now, is there -- in the specification of the
 9
         Α
                                                            9
    based on the particle size of the activated carbon.
                                                                '287 patent, is there an example where the ratio is
10
                                                            10
11
    It doesn't define the thickness of the layer. It
                                                            11 met. the 2.5 to 10 ratio?
12
    defines the threshold of the thickness.
                                                            12
                                                                     A Yeah.
13
              (By Mr. Gergely) Okay. And so if we know
                                                            13
                                                                     Q
                                                                          Where is that?
         0
                                                                          Figure 2 and Figure 3. They both do the
14
    that if you have a 1 mil thick sample, that's
                                                            14
                                                                     Α
15
    25 microns, and you have activated carbon particles
                                                            15
                                                                 same thing. They have a control, and then they have
16
     that are 10 microns in diameter, you will meet that
                                                            16
                                                                 the control plus activated carbon. And they're both
17
    2.5 threshold; correct?
                                                            17 1 mil. So it's a 1 mil control being used with a
18
              MR. JACKSON: Object to form.
                                                            18 10-micron diameter activated carbon. And that's
19
         A Ask the question again, please.
                                                                 25-micron to 10-micron.
20
              (By Mr. Gergely) So in the example you just
                                                            20
                                                                     Q I'm not sure what you're saying. Are you
21
    drew, you drew a 1 mil thick sample comprised of
                                                            21
                                                                 saying that this -- are you saying that the -- you
22
    polyurethane plus activated carbon. And I asked you,
                                                            22
                                                                 would be creating a 2-mil thick sample?
23
    how is that -- how is Claim 27 met by the example you
                                                            23
                                                                     A No. I'm not saying that. I'm saying you
24
    drew? And --
                                                                had to compare 1 mil to 1 mil, or those experiments
25
         A The water vapor transmission experiment was
                                                                would be invalid.
                                                                                                             Page 93
                                                 Page 91
 1 designed with the control being the proper thickness
                                                             1
                                                                     Q
                                                                          Okay.
    for the particle size being used. That's what I'm
                                                                     Α
                                                                          So they had to be made that way.
3
    saying.
                                                                          Understand.
                                                                          Okay? And you're making them with 1 mil
             Okay. Is this -- is this example covered by
    Claim 27? And when I say "this example," the one you
                                                                 thick control and 10-micron diameter particles being
 5
    drew in Callan 4?
                                                                used in the carbon black. So the threshold ratio of
 6
 7
              MR. JACKSON: Object to form. Scope.
                                                                 the control to the particles is met as described in
 8
         A It complies with Claim 27 in that the
                                                               Claim 27.
    control layer compared to the particle size thickness
                                                            9
                                                                     Q Right. And I understand this -- what
9
    being used is correct. Do both of these meet the
                                                                you're --
10
                                                            10
11
    ratio to each other? No. Because you're running a
                                                                     A But you have the first layer and second
                                                            11
12
    water vapor transmission experiment, and if you did
                                                                 layer separated. They're not together. They're not
                                                            12
                                                                built to be the full cross-section because they have
    that, you wouldn't compare apples to apples. They
13
    have to both be the same thickness.
                                                                 to be made 1 mil thick each or the experiment is
14
15
         Q (By Mr. Gergely) Right. And I guess what
                                                            15
                                                                 invalid.
16
    I'm asking you is in the 1 mil thick sample with the
                                                            16
                                                                         Well, I guess what I'm asking is, you're
17
    activated carbon added into it that's on Callan 4, how
                                                           17
                                                                 talking about the MVTR experiments as being one --
18
    is that 2.5 ratio met?
                                                                 comparing 1 mil to 1 mil. I'm trying to understand
19
              MR. JACKSON: Object to form. Scope.
                                                            19
                                                                 where in the patent it's described that you have a
20
         A That is Thickness 2. It's not a 2.5 ratio
                                                            20
                                                                 first thickness -- you have a membrane comprised of
21
    by itself. That is a second thickness. That is the
                                                                 the first thickness that's pure polyurethane, and then
22
    second thickness, the top layer on the scintillation
                                                            22
                                                                you have a second thickness which includes the active
23
    vial with the base material and the carbon particles
                                                            23
                                                                particles.
    on top of it. It's only the second thickness. It's
                                                            24
                                                                     Α
                                                                          That's Claim 27.
25 not the entire cross-section.
                                                            25
                                                                          No. But I meant in the specification. I'm
```

```
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    saying, where in the specification?
                                                                 just went through Figure 2. So those are all going to
         A That's what the tables are describing.
                                                                 be single-layer materials; correct?
 3
     That's what they are.
                                                                      Α
                                                                          They are.
              Well --
 4
                                                             4
                                                                      0
                                                                          Okay. It's not --
         0
                                                             5
 5
              They are -- they tell you in the table what
                                                                      Α
                                                                          The control is a single layer of the base
    they're doing. They say a control is 80 grams
                                                                 material and Samples 1 through 7 are single-layer
                                                                 versions of the second thickness that is presented
    polyurethane, 0 grams carbon. That's the control.
    That's the base material.
 8
                                                                 right here (indicating).
                                                                      Q Well, and that's -- and that's where the
 9
         O Right.
                                                                 disconnect is happening.
              Sample 1 that you had me draw was 80 grams
10
         Α
                                                            10
11
    polyurethane and 20 grams carbon.
                                                            11
                                                                      Α
                                                                         It's not a disconnect.
         Q Right. It's the base --
                                                                          Well, sir, where in the patent does it show
12
                                                            12
13
              But the carbon is 10 micron diameter, and
                                                                 that there's a first and second thickness that has
         Α
                                                            13
14
    the control is 25 microns.
                                                            14
                                                                 discrete layers?
15
         Q Right. I'm still not following you. But
                                                            15
                                                                           MR. JACKSON: Object to form.
16
     that's -- that's in the Experiment No. 1, that's a
                                                            16
                                                                         That's the definition of what is created in
                                                                      Α
    single layer material; correct?
                                                                 Claim 27.
17
                                                            17
18
                                                                          (By Mr. Gergely) No, no, no. I'm asking
         Α
             Yes.
                                                            18
19
                                                            19
                                                               you, in the specification, where is it shown that
             Okay.
20
                                                                there is a sample or experiment with two discrete
            That's the second thickness.
                                                            20
         Α
21
              That is --
                                                            21
22
         Α
              That is an example of the second thickness,
                                                            22
                                                                      Α
                                                                          They are making the two discrete layers
                                                                 individually to show you what they are and to compare
23
    and there are other examples of the second thickness.
                                                            23
24
    That's what's being done here.
                                                                 apples to apples.
                                                                         And where does it show that?
25
         0
              In Sample 1 -- excuse me -- Experiment 1
                                                            25
                                                                      0
                                                 Page 95
                                                                                                              Page 97
     that you drew, what's the first thickness?
                                                             1
                                                                      Α
                                                                          On this table.
         A The control.
                                                                          Yeah. But --
 2
3
             But --
                                                                          It tells you what they're mixing.
                                                                      Α
              That's exactly what is -- the control is
         Α
                                                                          Yeah. But that table shows the control
    80 grams polyurethane. Pure polyurethane. Pure base
                                                                 which is a single layer of polyurethane by itself?
 5
                                                             5
    material. This (indicating).
 6
                                                             6
                                                                      Α
                                                                         Correct.
 7
         Q You're -- you're losing me because --
                                                             7
                                                                          And then it shows 1 through 7 which is a
                                                                      0
 8
              How can I help you understand?
                                                                 single layer of polyurethane mixed with activated
         Q
              Experiment No. 1 is polyurethane and
                                                                 carbon; correct?
9
                                                             9
    activated carbon mixed together; correct?
                                                            10
                                                                          Yes.
10
                                                                     Α
11
              Yes. Sample 1.
                                                                          And so I guess I'm asking you, where is it
                                                            11
12
              By itself. There's no -- there's no -- in
                                                                 shown on that table that there is a two-layer membrane
    your view, there's no first thickness there; correct?
                                                                 comprised of polyurethane on the bottom and activated
13
                                                            13
14
                                                            14
                                                                 carbon and polyurethane on the top?
         A Correct.
15
                                                            15
                                                                      Α
                                                                          There is not. That's not what's being
                                                                created. They are individual layers of isolated
16
         A It is an example of a mixture of a second
                                                            16
17
    thickness.
                                                            17
                                                                 control and isolated versions of the second thickness.
18
         Q
             Okay. And that's -- that's your opinion;
                                                            18
                                                                          The control is the base material without the
    correct?
                                                                 activated carbon.
19
                                                            19
20
         Α
             It's not an opinion.
                                                            20
                                                                      Q
                                                                          Okay.
21
         Q
              Sir, can you explain to me -- well, like,
                                                            21
                                                                      Α
                                                                          So that you can run water vapor transmission
22
    give me -- just give me an example --
                                                            22
                                                                 experiments to compare the water vapor transmission
23
         Α
              This is a mix sheet from a coating
                                                                 rate between the control and the layer that contains
24
    scientist.
                                                                 activated carbon. Otherwise, you can't even run that
25
                                                            25 measurement.
         Q Right. I'm not following you. I mean, we
```

```
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         Q Well, sir, you say that this Figure 2 has a
                                                               at 80 grams and 20 grams. Yes, it does. This is a
1
    two-layer membrane, but I don't see it. Where is it?
                                                                 mix sheet that a coating chemist uses to make a
 3
         A It's not a two-layer membrane. They are
                                                                 coating.
    individual versions of the control and have different
                                                                         Sir, you agree with me it does not say on
4
                                                             4
                                                                      0
5
    formulations of the control to the base layer of the
                                                                 the face of it "second thickness formula"?
    first thickness as the control and different versions
                                                                      A It does to a coatings chemist. It says
    of a formulation of the second thickness that contains
                                                             7
                                                                 exactly that.
8
    the polyurethane and the carbon.
                                                             8
                                                                          And sir, it doesn't say that first thickness
                                                                 is the control or anything else on this sheet;
9
         Q Well, why -- why --
                                                             9
10
              So you can compare the water vapor
         Α
                                                            10
                                                                 correct?
11
    transmission rate apples to apples for 1 mil
                                                            11
                                                                           MR. JACKSON: Object to form.
12
    thickness.
                                                                      A Yes, it does. It says the first thickness
13
             But where is --
                                                                is pure polyurethane, and that's exactly what's
         0
                                                            13
14
         Α
              You can't --
                                                            14
                                                                 described in Claim 27.
15
              Sir, in --
                                                            15
                                                                      Q (By Mr. Gergely) Okay. Can you show me in
16
              You can't identify --
                                                                 Example 1, in the specification -- first of all,
         Α
                                                            16
                                                                 what -- what is the -- what is the base material?
17
         0
              Sir, in the test samples, Experiments 1
                                                            17
    through 7, where is the first thickness?
                                                                     A In Claim 27?
18
                                                            18
19
         A The control.
                                                            19
                                                                           Yeah.
                                                                          A liquid, impermeable, cured base material.
20
         O Sir, the claim talks about a membrane having
                                                            20
                                                                      Α
21
    a first and second thickness.
                                                                           And in the example, is that --
                                                            21
22
         Α
                                                            22
                                                                           Polyurethane or polyester.
                                                                      Α
             Where is that shown in the specification?
23
         0
                                                            23
                                                                      Q
                                                                           Okay. So in your view, it's a pure
24
         Α
             It is shown in Figure 2 and Figure 3. They
                                                            24
                                                                 polyurethane or something similar?
    are created. They compare apples to apples. They run
25
                                                                      Α
                                                                           It doesn't have to be pure. It has to not
                                                 Page 99
                                                                                                             Page 101
                                                                 include the active ingredient of the activated carbon.
    water vapor transmission. If you didn't run them this
    way, isolated from each other, you could not find out
                                                                      Q
    what thickness to water vapor transmission rate is
                                                                           Of the material being studied, whatever is
3
                                                                      Α
    versus the control without the activated carbon.
                                                             4
                                                                 being studied.
         Q Well, sir, where -- I think we may need to
5
                                                                          Now --
    take a break.
                                                                          Can I --
6
                                                             6
                                                                      Α
7
         Α
             I'm trying to explain.
                                                             7
                                                                      0
                                                                          Sure. Go ahead.
8
              No. I understand. Let's go off the record.
                                                             8
                                                                           Another example would be when you go into a
                                                                      Α
9
                   (A lunch recess was taken.)
                                                                 hardware store and Sherwin-Williams has their base
              (By Mr. Gergely) So, sir, drawing your
                                                                 formula, and then you bring it to the tinting agent to
10
                                                            10
    attention back to the '287 patent which is -- what did
                                                                 get your color. They use that same base formula for
11
12
    we mark it as?
                                                            12
                                                                 many different colors, and then you add the color.
13
         A Callan Exhibit 3.
                                                            13
                                                                 It's the same thing that's happening.
14
             Thank you.
                                                            14
                                                                          Sir, and as I understand it, in Figure 2,
15
              So, sir, I was trying to understand. You
                                                            15
                                                                 the inventors were trying to compare Experiments 1
                                                                 through 7 which included the activated particle and
16
    were referring to Figure 2 as showing a second
                                                            16
17
    thickness formula; is that correct?
                                                            17
                                                                 the polyurethane against the control which just
18
         A
              Yes.
                                                            18
                                                                 contained polyurethane only; correct?
19
         0
              Okay. And Figure 2 doesn't say second
                                                            19
                                                                      Α
                                                                          Yes.
20
    thickness formula, does it?
                                                            20
                                                                           MR. JACKSON: Object to form. It wasn't a
21
              MR. JACKSON: Object to form.
                                                            21
                                                                 question.
22
         A It is the second thickness formula.
                                                            22
                                                                           (By Mr. Gergely) And were they -- were they
23
         Q
              (By Mr. Gergely) Well, sir, it doesn't say
                                                            23
                                                                 using that to demonstrate that there was an improved
24
    it: correct?
                                                            24
25
         A It does say it by saying carbon polyurethane
                                                                           MR. JACKSON: Object to form.
```

```
Page 102
                                                                                                            Page 104
                                                             1 the first thickness. The second thickness is that
1
         A As a scientist, they were using it to
    document whatever that becomes, whatever the answer
                                                                 same polyester resin mixed with activated carbon.
 3
    is. Not to -- not to come out with one result.
                                                             3
                                                                     Q
                                                                         Okay. And what you -- what you've just held
              (By Mr. Gergely) Right. But were they --
4
                                                             4
5
    were they -- does this data show that there's an
                                                             5
                                                                     Α
                                                                         As a chemist, we interchange base material
    improved MVTR of a polyurethane and activated carbon
                                                                and base formula.
    mixture versus the control which is just a
                                                             7
                                                                     Q Now, sir, what you just held up, where is
8
    polyurethane layer?
                                                             8
                                                                 that -- where is that structure shown in the
9
              MR. JACKSON: Object to form.
                                                             9
                                                                 '287 patent?
         A Yes, it does.
                                                            10
                                                                          MR. JACKSON: Object to form.
10
                                                            11
11
              MR. JACKSON: Sorry.
                                                                     0
                                                                          (By Mr. Gergely) In the -- in the
12
             Yes, it does.
                                                                 specification. Let's set aside the claims. In the
13
              (By Mr. Gergely) Okay. So and as I
                                                                 specification, where is that structure shown?
         0
                                                            13
14
    understand it -- I just want it to be clear for the
                                                            14
                                                                     Α
                                                                          It's going to take a minute for me to --
15
    record -- your opinion is that Claim 27 show- -- is
                                                            15
                                                                     0
16
    limited to two discrete layers: One, the base being
                                                            16
                                                                     Α
                                                                          If I understand what your question is, it's
    pure polyurethane or some other cured base material;
17
                                                            17
                                                                 Figure 1.
18
    correct?
                                                            18
                                                                     Q
                                                                          Anywhere else besides Figure 1?
19
                                                            19
                                                                          Well, Figure 1 answers exactly what you're
             Yes.
         Α
                                                                     Α
20
              MR. JACKSON: Object to form. Scope.
                                                            20
                                                                 asking. Do you want me to go through that? No?
21
              (By Mr. Gergely) And the second layer being
                                                                          Sure. Tell me -- tell me your
                                                            21
22
    a mixture of activated particles with something else;
                                                            22
                                                                 interpretation of Figure 1.
23
    correct?
                                                            23
                                                                     A At 110 is, "Provide a base solution, (e.g.,
24
         A In Claim 27, is the base layer. Sorry. In
                                                            24
                                                                a polyurethane solution," as I have made, or "a
25
    Claim 27, it's the base layer.
                                                                polyacrylic solution, or a 1,3 propanediol
                                                Page 103
                                                                                                            Page 105
1
         0
              What's the base layer?
                                                                 terephthalate solutions" --
              Whatever's being used as the first thickness
                                                                               (The court reporter clarified.)
    is the base formula for the second thickness. That's
                                                                          THE WITNESS: And I'm sorry. I'll read
3
    what base formula means.
                                                             4
                                                               slow.
         Q Well, but where does base formula appear in
                                                                          This is on Figure 1, VF Exhibit 1001. And
5
    the claim -- in the -- in the patent? You just said
                                                                the item labeled 110 reads, "Provide a base solution,
6
                                                             6
    base formula. Is that a term that you made up?
                                                                e.g., a polyurethane solution, a polyacrylic solution,
7
         A Base material to a scientist, to a coatings
                                                                or a 1,3-propanediol tarathylate solutions." Are you
8
    chemist, is the same thing as a base formula to a
                                                            9
                                                                ready?
10
    polymer chemist.
                                                            10
                                                                     Q
                                                                          (By Mr. Gergely) Yes.
11
         Q Well, sir, in the claim, the only reference
                                                            11
                                                                          120, "Provide active particles -- e.g.,
                                                                     Α
12
    with respect to base material is the first thickness;
                                                            12
                                                                 protected active particles, unprotected particles.
                                                                Mix together active particles in base solution. Apply
13
    correct?
                                                            13
14
         A No. It's also in the second thickness. A
                                                                mixture to a substrate, which is the base material,
15
    plurality of active particles in contact with a liquid
                                                           15
                                                                and remove protective substances from protected active
    impermeable, breathable, cured base material,
16
                                                            16
                                                                particles."
17
    plurality of active particles comprising a second
                                                            17
                                                                     Q Sir --
18
    thickness.
                                                            18
                                                                     A
                                                                          The substrate right here is the base
19
         0
             So are you saying that there's two base
                                                            19
                                                                material. They say base material.
20
    materials?
                                                            20
                                                                     Q
                                                                         Well, wait a second, sir. Where does it say
21
         A I'm not saying that at all. No.
                                                            21
                                                                base material in Figure 1?
22
             What are you saying?
                                                            22
                                                                     A It says, "Mix together active particles in
23
              I'm saying as in this specimen that I
                                                            23
                                                                base solution." That is the base material, and it
    prepared as an exemplar, the base material of this is
                                                            24
                                                                 says, "Apply mixture to a substrate, which is the base
    polyester resin without the activated carbon. That's
                                                                material," or they wouldn't call it base material for
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                                                Page 106
                                                                                                             Page 108
                                                                were materials made in the thickness ratio. I know
1
    what this is mixed from.
2
         Q Sir, it says you're mixing together the
                                                                 that they're two-sided, so they have two different
3
    active particles in a base solution; correct?
                                                                 compositions. One composition on one side and one --
                                                             4
                                                                 it says Burlington rougher side, Burlington smoother
 4
         Α
              Yes.
5
              And it says apply it to a substrate. It
                                                             5
                                                                 side.
    doesn't say apply it to a base material.
                                                                           That means that there is a rougher side that
6
              By saying base solution, they tell you
                                                                 indicates and equates to the activated carbon side and
                                                                 the smoother side that relates to the control. I
8
    they're applying it to this substrate.
              Sir, the -- so I'm not following you. It
                                                                 don't know what those samples are, what their
9
    says apply mixture to a substrate. It doesn't say
                                                                 thicknesses are, what the ratios are because that's
10
                                                            10
    apply it to a base material.
11
                                                            11
                                                                not presented other than the definition of what's
12
         A But they say mix together active particles
                                                                 happening here.
13
    in base solution and apply it to a substrate. That
                                                            13
                                                                      O Okav. So is it fair to say the patent
14
    means the substrate is the base solution without the
                                                            14
                                                                 itself doesn't disclose the 2.5 to 10 ratio?
15
    active particle.
                                                            15
                                                                      A I don't know that it doesn't. I don't have
16
         0
              How do you deduce that?
                                                            16
                                                                 enough information to say that.
              Because that's how a coatings chemist makes
17
         Α
                                                            17
                                                                      Q
                                                                          Okay. But you've spent 10 or 15 minutes
                                                                 reviewing the patent cover to cover. Have you seen
18
    a coating.
19
             Well, the substrate could be anything;
                                                                 any disclosure in the patent of that 2.5 to 10 ratio
         0
                                                            19
20
    right?
                                                            20
                                                                 in the two-layer configuration?
21
              Not in this -- on this page.
                                                                          I may be --
                                                            21
22
              Well, it doesn't say what the substrate is.
                                                            22
                                                                          MR. JACKSON: Objection to form. Scope.
                                                                          -- seeing it right here, and I don't know.
23
         Α
              It does when it says, "Mix together active
                                                            23
                                                                      Α
24
    particles and base solution." The base solution is
                                                                         (By Mr. Gergely) Okay. So the answer is you
25
    what it's applied to, the substrate. As a coatings
                                                                don't know?
                                                Page 107
                                                                                                             Page 109
    chemist, that's how you make a coating. That's how
                                                             1
                                                                           MR. JACKSON: Same objections.
    you define a coating. That's how you create the mix
                                                                          The answer is, there's not enough
3
    sheet.
                                                                 information to know.
                                                             3
             Well, sir, the patent actually makes a
                                                             4
                                                                           (By Mr. Gergely) Okay. Now, in paragraph 50
    distinction between the base material and the
5
                                                                 of your declaration --
    substrate; right?
6
                                                             6
                                                                      Α
                                                                          Yes.
7
         A It does. But not in this case. I have been
                                                                           -- you state that, "Based on my 40 years of
                                                             7
                                                                      0
    in hundreds of paint factories. This is how they do
                                                                 experience, in my professional opinion, petitioner's
8
9
    it. This is describing what is done in the field in
                                                                 claim that the second layer thickness is the particle
                                                             9
10
                                                                 size of the active particles is, in a word,
    the factory.
                                                            10
11
         Q Okay. And then where in the patent does it
                                                                 ridiculous, and this phrase should not be used to
                                                            11
12
    show this 2.5 to 10 ratio in the example you just
                                                                 qualify or characterize the board's construction."
    provided?
                                                            13
13
                                                                          Do you see that?
14
             It doesn't give you the ratio in this.
                                                            14
                                                                          I do.
15
             Okay. Does it do any --
                                                            15
                                                                          And what do you mean by "ridiculous"?
16
                                                                          I mean, it's not reality. It's a fantasy of
         Α
              You asked me where there's two layers.
                                                            16
17
              Right. Now, is there anywhere in the patent
                                                            17
                                                                 Dr. Oelker that she sees this being -- dealing with
18
    that shows that ratio in a two-layer configuration?
                                                            18
                                                                 things that are pellets and marbles and lay down like
19
              MR. JACKSON: Object to form. Scope.
                                                            19
                                                                 soldiers. And these particles do not. They suspend
20
         A I'll need a moment. The answer is, I don't
                                                            20
                                                                 in the material.
21
    know, but I need to check something.
                                                                          And when you have the second thickness, the
22
         0
              (By Mr. Gergely) Okay.
                                                            22
                                                                 second layer, they don't all lay down in one
23
              Okay. The answer is, I don't know. And the
                                                            23
                                                                 orientation because if you look at the activated
    reason I don't know is Figure 5. Because I need the
                                                                 particles, they are irregularly shaped and irregularly
```

sized. They don't lay down like marbles.

notebooks and data behind that to know whether those

```
Pages 110..113
                                                Page 110
                                                                                                            Page 112
 1
              Do you understand that the inventor during
                                                                          The board didn't come up with this.
                                                             1
                                                                     Α
    prosecution history may have equated the second
                                                                 Dr. Oelker did.
 3
     thickness with the particle size of Asbury 5564 in
                                                             3
                                                                          Right. But you understand that the board
                                                                      Q
                                                                 came up with a preliminary claim construction that
 4
    order to obtain allowance of his claims?
                                                             4
 5
              MR. JACKSON: Objection. Form. Scope.
                                                                 agreed with that position?
 6
    Leading. Foundation.
                                                                          MR. JACKSON: Objection. Form. Scope.
         A I understand that he described that as a
                                                             7
                                                                          Based on misrepresentation by Dr. Oelker
 8
    threshold thickness, not as the thickness of the
                                                             8
                                                                 what this is about.
 9
                                                                         (By Mr. Gergely) Do you unders- --
                                                                          The board -- the board didn't understand
10
              (By Mr. Gergely) Well, nonetheless, you saw
                                                            10
                                                                      Α
11
    the passage I'm talking about where he said -- he was
                                                            11
                                                                 that this is the first thickness and it's cured.
    making reference to the diameter, and then in parens,
                                                                     Q Do you understand that the board is
    he put the second thickness; right?
                                                                 comprised of three patent law judges who have
13
                                                            13
14
         A The threshold of the second thickness.
                                                            14
                                                                 significant experience?
15
              Well, sir, in order to make this 2.5 to
                                                            15
                                                                          The board is wrong.
16
    10 ratio work, if we're dealing with a 1-mil-thick
                                                            16
                                                                          MR. JACKSON: Objection. Form. Scope.
17
    material and you have a 10-micron particle in it, it
                                                            17
                                                                      Α
                                                                          Wrong is wrong.
    would meet that 2.5 threshold; correct?
18
                                                            18
                                                                          (By Mr. Gergely) And how much experience do
19
              MR. JACKSON: Objection to form. Scope.
                                                                you have in interpreting patents?
                                                            19
20
                                                                          MR. JACKSON: Objection. Form. Scope.
                                                            20
    Foundation.
21
                                                            21
                                                                          (By Mr. Gergely) As compared to the board.
         A Ask the question again, please.
22
              (By Mr. Gergely) If you're dealing with a
                                                            22
                                                                          MR. JACKSON: Objection. Form. Scope.
23
    1-mil-thick material and you have the activated carbon
                                                            23
                                                                 Foundation. Calls for speculation.
24
    mixed into that, it would meet that threshold;
                                                            24
                                                                          THE WITNESS: Do I answer?
                                                                          (By Mr. Gergely) Yeah. You can answer.
25 correct?
                                                            25
                                                Page 111
                                                                                                            Page 113
                                                                           MR. JACKSON: You can answer to the best of
1
              MR. JACKSON: Objection.
                                                             1
         A Not that layer because it's only one layer.
                                                                your understanding.
    The threshold is a ratio of the first thickness to the
 3
                                                                     Α
                                                                          To the best of my understanding, the board
 4
    second thickness, not a ratio of only one layer.
                                                             4
                                                                has been misled.
              (By Mr. Gergely) Well, again, sir, you
                                                                          (By Mr. Gergely) So you're --
 5
    haven't been able to point me anywhere in the patent
                                                                          The board has been misled with
 6
                                                             6
                                                                      Α
    which shows a two-layer configuration with that
                                                             7 misrepresentations of the term "active particle, first
 7
    particular ratio; correct?
                                                                 thickness, second thickness," and they based their
 9
              MR. JACKSON: Objection. Form. Scope.
                                                                position based on being misled with those definitions.
                                                             9
10
         A I don't know that.
                                                            10
                                                                          So your contention is that Dr. Oelker misled
                                                                     Q
11
              (By Mr. Gergely) So if -- assuming that
                                                            11
12
    you're wrong and assuming that the board is correct,
                                                            12
                                                                          Dr. Oelker is wrong, and that led them to
                                                                     Α
                                                                 them being misled. Was it her intent? I don't know
    construction is correct, that the first thickness can
                                                            13
13
    include the activated particles and the second
                                                                 that. She's wrong.
14
15
    thickness can be defined by diameter --
                                                            15
                                                                     Q Now, in Dutta, Dutta's preferred embodiment
16
         A The board is wrong, and I am not wrong.
                                                            16
                                                                 uses Sephadex; correct?
17
              Okay.
                                                            17
                                                                     Α
                                                                         Yes. As one of the examples, yes.
18
         Α
              You're asking me to assume something that's
                                                            18
                                                                      0
                                                                          All right. And then there are some other --
19
    not real.
                                                            19
                                                                     Α
                                                                         Nalco 1181.
20
         Q All right. So -- so when you're saying that
                                                            20
                                                                      Q
                                                                          Okay. And other similar type materials are
21
    this construction is ridiculous, you're calling the
                                                                 suggested; correct?
22
    board's opinion ridiculous?
                                                            22
                                                                          Nalco 1181 is a super hydrophilic particle.
                                                                      Α
23
         A The -- I'm saying the board misunderstands,
                                                            23
                                                                          Now, you said in your declaration that in
24
    and Dr. Oelker is ridiculous.
                                                            24
                                                                 61, that the particles are capable of swelling by
25
                                                                 absorbing a large volume of an aqueous liquid and
         O So is it your contention that Dr. Oelker --
```

```
Page 114
                                                                                                            Page 116
    assuming the form of gel several times to several
                                                            1
                                                                     0
                                                                          Okay.
    thousand times its own weight. Do you see that?
                                                            2
                                                                     Α
                                                                          I'm talking about the Sephadex particle and
3
         Α
              Where are you? On 61?
                                                                the Nalco particle is expanding.
                                                                         Okay. But where are the pores being
4
              Yeah. Page 28.
                                                            4
         Ω
                                                                     Q
5
         Α
              Yes.
                                                            5
                                                                created? As being -- like, for example, Sepha- --
              Now, is that -- in your view, is that what's
                                                                excuse me -- Dutta uses polyurethane.
    actually happening, or is the inventor, Dutta, simply
                                                                         It's within the polyurethane matrix.
                                                                     Α
8
    stating that these particles are capable of doing
                                                            8
                                                                          So pores are being created?
                                                                     Α
                                                                          Yes. But the pores are not empty pores.
              MR. JACKSON: Object to form.
                                                                They're filled with Sephadex or Nalco.
10
                                                           10
                                                           11
11
         A In Dutta's example, it is actually
                                                                     0
                                                                         Okay. And so --
12
    happening. With Sephadex and with Nalco 1181.
                                                           12
                                                                     Α
                                                                          What I'm specifically saying is that when
13
             (By Mr. Gergely) You believe that they're
                                                                that happens, it allows some adjoining particles to
                                                           13
14
    swelling several times to several thousand times their
                                                           14
                                                                come in contact with each other in a path for the
15
    own weight?
                                                                absorbed water to flow through.
16
         A I believe they are capable, and whether they
                                                           16
                                                                     Q All right. So -- but these aren't -- these
    do or not depends on the matrix they're embedded in.
                                                                aren't holes -- are these like micropores, or what are
17
                                                           17
             Okay. And sir, you state here, "The
18
                                                           18
19
    particles absorb moisture, swell, stretch the
                                                           19
                                                                     A These are the particles. The physical
                                                                location of the Sephadex and Nalco particles within
20
    material, and by their stretching, create pores in the
                                                           20
    material that allow the moisture/water to escape."
                                                                the polyurethane or polyester matrix. What happens is
22
              Do you see that?
                                                                they're like a marble. They're a pellet. And they
                                                           23 absorb 24 times their weight. That's not 24 times
23
         Α
              Yes.
24
         0
              What do you mean by pores? Do you mean
                                                           24 their volume.
25
   holes?
                                                           25
                                                                          They are trying to expand against the
                                                Page 115
                                                                                                            Page 117
              It depends on whether you're talking about
                                                                polyurethane or polyester matrix. They may or may not
1
         Α
                                                            2 be touching the next particle. But as they expand,
    Halley or Dutta.
                                                            3 they may make contact within the matrix to allow one
3
         O Dutta.
         Α
             Read exactly where you're --
                                                            4 particle to come in contact with the next and,
              Bottom of page 28 over onto 29.
                                                                therefore, water vapor to be absorbed and start
5
         0
              If I understand what you're asking --
                                                            6 flowing through from the absorption mechanism. That's
6
         Α
                                                                what I'm saying.
7
         Ω
             Yeah.
8
              -- the Sephadex and Nalco particles swell.
                                                            8
                                                                     Q I see. So these pores are being created in
         Α
    And when they swell, if the matrix allows the
                                                            9
                                                                the polyurethane itself? Or is it -- is it creating
9
    swelling, they will get larger. The pore is the
                                                                sort of a passageway?
10
                                                           10
    increased diameter of the Sephadex or Nalco particle.
                                                           11
                                                                         It's creating a passageway.
    But it is consumed with materials. Not vacant like a
                                                           12
                                                                          Okay. All right. But it's -- but it's --
    cavity of unactivated particle.
13
                                                           13
                                                                just to be clear, it's not creating a hole in the
         Q So when you're referring to pores, you're
14
                                                                polyurethane?
15
   referring to pores in Sephadex; is that right?
                                                           15
                                                                     Α
                                                                          Correct.
         A No. I'm referring to Sephadex causing
                                                                          Because otherwise, the MVTR would go
16
                                                           16
                                                                     0
17
    expansion of the matrix it's applied to -- applied on.
                                                           17
                                                                extremely high?
18
         Q Okay. And so tell me, what do you mean by
                                                           18
                                                                     Α
                                                                          Correct. It is not doing that.
19
    pores? Do you mean actual holes? Like are you
                                                           19
                                                                          Okay. Okay. All right.
20
    referring to, like, cracks or --
                                                           20
                                                                          On 62, you mention that there -- Dutta also
21
        A I'm not talking holes like a vacant hole
                                                           21
                                                                discloses some inert diluent particles as fillers?
22
    like a cave.
                                                           22
                                                                     Α
23
                                                           23
                                                                     Q
                                                                          And that includes talc; right?
         Q
              Okay.
24
         Α
             Like the picture with -- no. That's not
                                                           24
                                                                     Α
   what I'm talking about.
                                                                          And silica. And what's silica? Is that a
```

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	Page 118		Page 120
1	generic description?	1	to the introduction of this document. It's not cited
2	A Silica is generally more silica is	2	in the witness' testimony or in the parties' papers.
3	purified sand.	3	It's not cited in the deposition notice. It's also
4	Q Okay. Is it sometimes referred to as silica	4	objectionable as lacking authentication. And hearsay
5	gel?	5	and foundation.
6	A It's not the same thing.		And Peter, instead of repeating those, can I
7	Q Is it sometimes referred to is silica gel	7	have a standing objection to questions relating to this
8	sometimes referred to as silica?	8	
10	A Not as far as I know.  Q Okay. And then calcium carbonate, carbon	10	MR. GERGELY: Yes.  MR. JACKSON: so we have a clear record?
11	black, titanium dioxide. Now, titanium dioxide is one	11	Thank you.
12	of the particles that's listed by Dr. Haggquist as an	12	
13	active particle; correct?	13	Q (By Mr. Gergely) Sir, I'm handing you what's been marked as Callan Exhibit 5. Sir, have you seen
14	A Correct.	14	this safety data sheet before from Asbury Carbons?
15	Q Okay.	15	A No.
16	A But it's an active titanium dioxide. It's	16	Q And who is Asbury Carbons?
17	not the same titanium dioxide being listed as the	17	A I don't know Asbury Carbons.
18	particle that's inert in Dutta. It's a different	18	Q You're unfamiliar with them?
19	animal.	19	A Correct.
20	Q Well, what's the significance to you of	20	Q Okay. And do you see that the product
21	"inert"? What does that mean?	21	identifier is coconut shell activated carbon?
22	A It's means it's used as a filler usually.	22	A Yes.
23	Titanium dioxide is used for many things. It's a	23	Q And do you see that the grade is 5564?
24	tinting agent in many different plastics and paints.	24	A Yes.
25	It's also used as a UV absorber, inappropriately in	25	Q Do you understand that's the same activated
1	Page 119 some paints. But if you you can activate titanium	1	Page 121 carbon that's disclosed in the '287 patent?
2	dioxide, and the technology is fairly new to create an	2	MR. JACKSON: Object to form.
3	activated form of titanium dioxide.	3	A Can you tell me where in the patent it lists
4	Q Now, could inert mean chemically inert?	4	that?
5	A That is what it means.	5	Q (By Mr. Gergely) Column 9, line 24.
6	Q Oh. Now, activated carbon is inert;	6	A Yes, sir.
7	correct?	7	Q And so do you understand that this is the
8	A No.	8	Asbury 5564 that's disclosed in the patent?
9	Q Where do you and why do you say that?	9	MR. JACKSON: Object to form. Scope.
10	A Activated carbon transports water and other	10	A I don't know that. I know it's being
11	chemicals.	11	claimed.
12	Q But isn't it chemically inert?	12	Q (By Mr. Gergely) Okay. Sir, drawing your
13	A When you describe the function of a filler	13	attention to paragraph 8.2.3 of the safety data sheet,
14	as inert in a polymer or a coating, it's not only	14	do you see where it says, "Virgin-activated carbon is
15	chemical. It's also mechanical. Does it add or	15	inert and insoluble"?
16	change the physical properties? Many industrial and	16	A I'm not seeing where you are. 8.2
17	military failures are caused by particles that are	17	Q3.
18	inert and added at different loadings or leaving the	18	A I do see it.
19	matrix at the desired loading and changing the	19	Q Okay. And have you seen other literature
20	physical properties. Inert does not only mean	20	describing activated carbon as inert?
21	chemical.	21	MR. JACKSON: Object to form. Scope.
22	(Exhibit 5, Safety Data Sheet from	22	Foundation.
23	Asbury Carbons, was marked for	23	A This isn't talk this is talking about
24	identification.)	24	environmental exposure controls. It's talking about
25	MR. JACKSON: Let me, for the record, object	25	biological activity. Not that it is inert in other
1		1	

```
Page 122
                                                                                                            Page 124
 1
                                                                          Please.
    ways.
                                                             1
                                                                     0
 2
         Q
              (By Mr. Gergely) And is -- does inert mean
                                                             2
                                                                     Α
                                                                          In coatings science, inert is used as a
 3
    non-reactive?
                                                                 filler. It means you add it in there to cut costs.
                                                             4
                                                                Not to modify physical properties or chemical reactive
 4
              MR. JACKSON: Object to form. Foundation.
 5
              May I answer?
                                                                 reactivity. That's the function of inert in coatings
              MR. JACKSON: Scope.
 6
                                                                         Now, I understand you've taken the position
                                                            7
              Yes.
 8
         A Ask the question again, please.
                                                            8
                                                               that Dutta teaches keeping the membrane thin; correct?
              (By Mr. Gergely) Does inert mean
 9
                                                                          And you say that's a reason why Dutta would
10
    non-reactive?
                                                            10
                                                                     0
11
         Α
              In this case, inert is described under
                                                            11 not suggest to the reader to perhaps add active
12
    environmental exposure controls as "Virgin-activated
                                                                 particles; correct? Because if you add active
    carbon is inert and insoluble." Inert being inert to
                                                                particles, that would increase the thickness; is that
13
                                                           13
14
    biological activity, not inert to chemistry.
                                                            14
                                                                right?
15
         Q Okay. And then turning your attention to
                                                            15
                                                                          MR. JACKSON: Object to form.
16
    paragraph 10.1 of the document, do you see under
                                                            16
                                                                          I'm not sure I understand your question.
                                                                     Α
    "Reactivity," it says, "Virgin coconut shell-activated
                                                                          (By Mr. Gergely) Well, I guess, what was
17
                                                            17
                                                                     Q
    carbon is non-reactive under ambient conditions."
                                                                your point in your declaration of saying that Dutta
19
              Do you see that?
                                                                teaches a thin membrane?
                                                            19
20
         A I do.
                                                                          To point out a difference between Dutta and
                                                            20
                                                                     Α
21
              And what do you understand that means?
                                                            21
                                                                 the Haggquist patents.
22
              MR. JACKSON: Object to form.
                                                            22
                                                                          And what -- what's the significance of --
                                                                     Q
             I don't understand what that means. There's
23
         Α
                                                            23
                                                                why is the thinness of the membrane significant in
24
    not enough information.
                                                                your mind?
                                                                     A Because they teach different constructions
25
         0
              (By Mr. Gergely) Sir, is it possible that in
                                                Page 123
                                                                                                            Page 125
    Dutta, Dutta was referring to titanium dioxide and the
                                                                 of the material they're inventing.
    other particles as inert for the same reasons that
                                                                     Q And sir, in paragraph 64, you made a point
    activated carbon is inert?
                                                                about smoothness.
3
              MR. JACKSON: Object to form and scope.
                                                             4
                                                                     Α
    Foundation.
                                                                          And you said, "Dutta also teaches keeping
 5
                                                                the surface of the membrane smooth."
 6
         A Not as a coatings chemist. No.
                                                             6
         Q (By Mr. Gergely) Have you ever seen any
 7
                                                             7
                                                                     Α
                                                                         Yes.
    literature stating that activated carbon is not inert?
                                                            8
                                                                     Q And then Dutta says that the particles
 8
 9
              MR. JACKSON: Objection. Form and scope.
                                                            9
                                                                should be at least partially embedded. Do you see
         A It's adsorptive, so yes. I've seen
                                                                 that?
10
                                                            10
11
    literature saying it's adsorptive, and therefore, it
                                                            11
                                                                     A Well, that goes on to say, "...partially
12
    is not inert.
                                                            12
                                                                 embedded in the polymer resin such that at least one
                                                                 surface of the film is substantially smooth and being
13
             (By Mr. Gergely) So if something is
                                                            13
    adsorptive, it's not inert?
                                                                 free of the particles."
14
                                                            14
15
              MR. JACKSON: Objection to form.
                                                            15
                                                                     Q So if the Sephadex is partially embedded,
16
         A That's correct.
                                                                 that doesn't mean wholly embedded; correct?
                                                            16
17
              MR. JACKSON: Scope.
                                                            17
                                                                     A On one side.
18
         A You have to be careful defining what you are
                                                           18
                                                                     Q
                                                                          Correct.
19
    saying is inert and not inert and the purpose of the
                                                            19
                                                                     Α
                                                                          Yes.
20
    term inert in the context.
                                                            20
                                                                     Q
                                                                          Okay. They could be sticking out of the
21
             (By Mr. Gergely) Sure. I -- I was just
                                                                polyurethane?
22
    asking you within the context of Dutta because it just
                                                           22
                                                                     Α
                                                                          On one side.
23
    simply said "inert," and they didn't say the reason
                                                            23
                                                                          And then did you see that Dutta actually
24
    why. So...
                                                            24
                                                                 also suggested laying down the Sephadex in a separate
25
                                                            25 layer?
         A Because in -- may I answer?
```

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Pages 126..129
                                                 Page 126
                                                                                                             Page 128
              MR. JACKSON: Objection. Form. Scope.
                                                                           Because what he's describing is another way
 1
                                                              1
 2
    Foundation.
                                                                 to make a layer with his particles where he has the
 3
         Α
              Show me where you're talking about.
                                                                 pellets laying down first and then brings the resin in
                                                                 contact with it to make that one layer.
              (By Mr. Gergely) So this is --
                                                              4
 4
 5
              MR. GERGELY: Can you mark that?
                                                              5
                                                                           (By Mr. Gergely) Well, actually, sir, it
                   (Exhibit 6, Dutta Reference, was marked
                                                                 says, "In this case, a film of the polymer resin is
 6
                                                                 first formed and the absorbent particles can then be
                   for identification.)
 8
               (By Mr. Gergely) So I'm handing you what's
                                                                 uniformly distributed over the film."
 9
    been marked as Callan 6 which was previously Daniels 9
                                                             9
                                                                           Do you see that?
    which is the Dutta reference.
                                                            10
10
                                                                      Α
                                                                           Yes.
11
         Α
              Okav.
                                                            11
                                                                      Q
                                                                           And what you described is the exact opposite
12
              And my question to you is, in reading Dutta,
                                                                 of that text.
13
    did you see the portion where Dutta actually suggested
                                                                          Let me make sure. I'm not trying to do
                                                            13
                                                                      Α
14
    laying down the Sephadex in a second layer on top of
                                                            14
                                                                 that.
15
     the polyurethane?
                                                            15
                                                                      0
                                                                           Okay.
16
         A And I'm asking you to show me exactly where
                                                            16
                                                                           What he's describing is not actually a Dutta
                                                                      Α
                                                                 film according to the patent. What he's -- what he's
17
    you're talking about, please.
                                                            17
              Yeah. On page 12 of Dutta, lines 23 through
                                                                 describing is, "In this case, a film of the polymer
18
19
    30.
                                                                 resin is first formed, and the absorbent particles can
20
                                                                 then be uniformly distributed over the film while it
                                                            20
         Α
              Yes.
21
              Okay. So Dutta is actually suggesting not
                                                                 is in a form capable of adhering to the particles,
22
    just mixing the particles into the polyurethane, but
                                                                 meaning it's uncured. It's not cured. So that's why
                                                            22
23
    actually laying them on top in a separate layer;
                                                            23
                                                                 you can put the particles in contact with it and bond
24
                                                                 them to it to make a single layer.
              MR. JACKSON: Objection. Form.
                                                                          And at some point, it's cured together;
25
                                                            25
                                                                                                             Page 129
                                                Page 127
    Hypothetical.
                                                              1
                                                                 correct?
              What are you describing?
                                                                      Α
              (By Mr. Gergely) Well, what do you think
3
                                                                      0
                                                                           Okay. And sir, that's a -- that's a
 4
    he's describing?
                                                                 two-layer material.
              MR. JACKSON: Objection. Form. Scope.
                                                                           That's not what they're -- that's not what
 5
                                                                      Α
              I'm going to have to read the context before
                                                                 they're saying.
 6
                                                              6
 7
    and after to be sure, so I'm going to take time to do
                                                                           All right. So in your view, what's the
                                                              7
                                                                      Q
 8
    that.
                                                                 layer arrangement that he's disclosing? What are the
                                                             9
9
         0
              (By Mr. Gergely) Sir, are you ready to
                                                                 lavers?
                                                                           MR. JACKSON: Object to form.
10
    answer?
                                                            10
11
              I believe I'm ready to answer. Yes. Go
                                                            11
                                                                           What are the layers?
         Α
                                                                      Α
12
    ahead and ask again.
                                                            12
                                                                           (By Mr. Gergely) Yeah. He said there's a
                                                                      0
13
              So is Dutta describing here a two-layer
                                                                 layered arrangement of the polymer resin in the
    configuration with the polymer resin on the bottom and
                                                                 absorbent particles. So what's the layered
14
15
    the particles laid down on top?
                                                            15
                                                                 arrangement?
              MR. JACKSON: Object to form. Foundation.
                                                                           That's exactly what he says. "In this case,
16
                                                            16
                                                                      Α
17
                                                            17
                                                                 the film of the polymer is first formed, and the
18
         0
               (By Mr. Gergely) What is he describing?
                                                            18
                                                                 absorbent" -- so what they're saying is they first put
                                                                 polymer on the bottom of the glass. It is uncured.
19
         Α
              An alternate manufacturing method to make
                                                            19
20
    the one layer.
                                                                 And then they add particles on top of it, uncured. It
21
              Sir, he says, "For example, a layered
                                                                 makes the same composition as this (indicating). It's
22
    arrangement of the polymer resin and the absorbent
                                                            22
                                                                 just a different manufacturing technique.
23
    particles can be used to fabricate useful products."
                                                            23
                                                                           MR. JACKSON: I'm sorry, Steve. When you
24
              Why is that not a two-layer configuration?
                                                            24
                                                                 say "this," can you tell the court reporter what
25
              MR. JACKSON: Object to form.
                                                                 you're referring to?
```

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Page 130
                                                                                                              Page 132
         A It's the same composition as the pan that
                                                                in Column 3, line 54 to 56, does that say anything
 1
    has the Nalco 1181 from a Pamper's diaper embedded in
                                                                  about active particles or particulate solids at all?
 3
    polyurethane where you don't have the super absorbent
                                                              3
                                                                      A Give me a moment. Okay. What is the
    particle poking through the surface. If he's not
                                                              4
 4
                                                                  question?
 5
    doing that, he's violating his own patent.
                                                              5
                                                                           So you said that U.S. Patent 4,194,041
              (By Mr. Gergely) Sir, is polyurethane an
                                                                 expressly forbids active particles to be used as
 6
    absorbent material?
                                                                  Halley's particulate solids.
 7
             It dep- -- not super absorbent.
 8
         Α
                                                              8
                                                                      Α
                                                                           Yes.
              Is it -- is it hydrophilic?
                                                                           And then you cite a passage. And so my
 9
         0
                                                              9
                                                                      Q
10
              It does have hydrophilic properties. Yes.
                                                                  question to you is, I don't see anything in that
         Α
                                                             10
11
         0
              Okay. And sir, on to Halley, you're saying
                                                             11
                                                                  passage about particles and particles being forbidden.
                                                                      A These continuous hydrophilic films are
12
    in paragraph 69, "It is important to note this coating
                                                             12
13
    contains a hydrophilic film that is described as
                                                                  unique in transporting water solely by the
                                                             13
14
    unique in transporting water solely by the absorption
                                                             14
                                                                  absorption-evaporation mechanism. Activated carbon
15
     evaporation mechanism."
                                                             15
                                                                  particles do not transport water by the
16
              Do you see that?
                                                             16
                                                                  absorption-evaporation mechanism.
17
             I do.
                                                             17
                                                                           Well, nor are they films; correct?
            Now, the patent that you're citing doesn't
                                                             18
                                                                           MR. JACKSON: Object to form.
18
19
    have -- doesn't mention active particles at all, does
                                                             19
                                                                           (By Mr. Gergely) The absorption-evaporation
20
                                                             20
                                                                 mechanism here is in reference to a film; correct?
21
              MR. JACKSON: Object to form. Foundation.
                                                             21
22
              I'd have to read it carefully for that. Are
                                                             22
                                                                      Q
                                                                           There's no mention in here about particles;
         Α
23
    you talking about the '41 patent?
                                                             23
                                                                correct?
24
              (By Mr. Gergely) Yeah.
                                                             24
                                                                      A There is mention that you cannot use
25
                   (Exhibit 7, Patent 4,194,041, was
                                                                adsorption. You have to use absorption.
                                                 Page 131
                                                                                                              Page 133
                   marked for identification.)
                                                                           Well, sir, it says the films operate by a
 1
                                                              1
                                                                      Q
              And what is your question, please?
                                                                  certain mechanism.
               (By Mr. Gergely) Yeah. I mean, does this --
3
                                                                      Α
                                                                           Correct.
    does this Patent 4,194,041 concern particles, or is it
                                                                           Correct. And sir, there's nothing that says
     just dealing with membranes?
                                                                 you can't use an active particle in connection with
 5
              MR. JACKSON: Object to form.
                                                                  those films?
 6
                                                              6
 7
                                                              7
                                                                      A But there is something that says, "These
         Α
             Give me a moment.
 8
                   (The court reporter clarified.)
                                                                 continuous," which are not really continuous,
                                                                  "hydrophilic films are unique in transporting water
9
         0
               (By Mr. Gergely) Sir, are you ready to
                                                                  solely by the absorption of evaporation mechanism."
10
    answer?
                                                             10
11
                                                                            You can't make a film with adsorptive
         Α
                                                             11
12
              Well, let me -- let me try to cut to the
                                                             12
                                                                  particles instead of absorptive particles and have a
         0
    chase for you. Drawing your attention to your
                                                             13
                                                                  film that is continuous hydrophilic film unique in
13
     declaration in paragraph 89.
                                                                  transporting water solely by the absorption of
14
15
             Paragraph what? Are you asking me not to
                                                                  evaporation mechanism. You can't put those particles
16
    finish reading?
                                                             16
                                                                  in there and comply with this patent.
17
         Q
              Yeah.
                                                             17
                                                                           Well, sir, Halley used the films of the
18
         Α
              Okay. Paragraph 89. Yes.
                                                             18
                                                                  '041 patent; correct?
19
              Now, in paragraph 89, you say at the end,
                                                             19
                                                                      Α
                                                                           Yes.
20
     "Halley did not say that activated carbon particles
                                                             20
                                                                      Q
                                                                           And he used Black Pearls 2000; correct?
21
    and active particles are expressly forbidden to be
                                                             21
                                                                      Α
                                                                           I'd have to look. But I don't -- I'm not
22
    used as Halley's particulate solids by
                                                             22
                                                                 disagreeing.
23
    Patent 4,194,041," and then you cite that patent at
                                                             23
                                                                           Okay. I'll represent to you in one of his
24
    Column 3, lines 54 to 56.
                                                             24
                                                                  examples, he uses Black Pearls 2000.
25
              And so drawing your attention to that patent
                                                            25
                                                                      A I agree.
```

```
Page 134
              It's a form of carbon black; correct?
                                                             1 active particle. There's a world of difference. It's
 1
         0
 2
                                                                 the difference between this jar covering this table
         Α
 3
              Sir, are you aware that Black Pearls 2000
                                                                 and surface area instead of covering 25 acres. When
 4
    has been described in the literature as both porous
                                                             4
                                                                they have the iodine numbers of carbon blacks, they're
 5
    and having the ability to adsorb water?
                                                                very small numbers.
              MR. JACKSON: Object to form.
                                                                     0
                                                                          What do you mean iodine numbers? What's an
         A After treatment. Not as is. The mere fact
                                                                iodine number?
                                                             7
 8
    that you run iodine tests to measure that it can
                                                             8
                                                                    Α
                                                                          Iodine number represents the ability to
    adsorb means you clean out those pores. You change
                                                             9
                                                                become active.
    the chemistry to the same phenomenon that happens when
10
                                                           10
                                                                     0
                                                                          And how is that expressed?
11
    you create activated particles. That particle is not
                                                            11
                                                                     Α
                                                                          Iodine number. Grams iodine per gram carbon
    like that until the test is performed.
                                                                black.
13
         Q (By Mr. Gergely) Okay. And are you
                                                                     Q Have you -- have you -- did you review the
                                                            13
14
    referring to a reference right now?
                                                            14
                                                                Wang references in this case?
15
         A I'm referring to the method of identifying
                                                            15
                                                                     A I -- what -- what paper is it? I don't know
16
    that carbon black is adsorptive. The method itself,
                                                            16
                                                                 them by the names that you do.
    you boil it in hydrochloric acid before you -- you add
17
                                                           17
                                                                     Q
                                                                          Sure.
    iodine to it.
                                                                               (Exhibit 8, Wang Article Labeled
18
                                                            18
19
              So the fact that it claims it's adsorptive
                                                            19
                                                                               VF 1028, was marked for
20
   means it can be. It can be changed from what it is to 20
                                                                               identification.)
21
    something that could have some adsorptive property.
                                                                               (Exhibit 9, Wang Document Entitled
22
             It has the -- it has the capacity to adsorb?
                                                            22
                                                                               "Microporous Layer with Composite
                                                            23
23
         A No. Not the case. It has the capacity to
                                                                               Carbon Black", was marked for
24
    be changed to something that can adsorb.
                                                            24
                                                                               identification.)
              So you have seen references in the
25
                                                            25
                                                                           (By Mr. Gergely) So I've handed you what's
                                                Page 135
                                                                                                            Page 137
    literature to Black Pearls 2000 as having the capacity
                                                                been marked as Callan Exhibits 8 and 9 which have been
    to adsorb water?
                                                                previously marked in other depositions.
3
         A No. I have had -- I've seen references and
                                                                          MR. JACKSON: Are those pre-existing
    literature of carbon blacks that have the ability to
                                                                documents, Peter?
    be changed to something to adsorb, and they -- they
                                                                          MR. GERGELY: Yeah.
 6 measure that ability and quantify that ability with
                                                                          THE WITNESS: 28. I don't know what the
                                                             6
    something called iodine number.
                                                             7
 7
                                                                other one is.
 8
              But that test itself is a reaction with
                                                                          MR. JACKSON: Do you have a copy of
    hydrochloric acid boiling do change the carbon black.
                                                               Callan 9? Or I can just grab this to make objections.
    It's not that it has activity, that a carbon black has
                                                                That's fine.
10
                                                           10
11
    adsorbant activity. It has the ability to be changed.
                                                                          MR. GERGELY: Yeah, yeah. That's fine.
                                                            11
12
         Q And one of ordinary skill in the art would
                                                            12
                                                                          MR. JACKSON: Can I see that?
13
    know how to do that in 2005; correct?
                                                            13
                                                                          THE WITNESS: I don't know. Is this one of
14
              MR. JACKSON: Object to form. Foundation.
                                                                the exhibits that I already have, or is this something
15
            How to do what?
                                                            15
         Α
                                                                new?
                                                                          MR. GERGELY: Well, Callan 8 was of record
16
              (By Mr. Gergely) To do what you just said.
                                                            16
17
              MR. JACKSON: Objection. Form. Foundation.
                                                           17
                                                                 and Callan 9 was introduced in previous depositions.
18
    Speculation.
                                                            18
                                                                          THE WITNESS: I haven't seen it, though.
19
              (By Mr. Gergely) You're saying that running
                                                            19
                                                                          MR. JACKSON: Okay. Well, before you begin,
20
    the test itself activates the particle. Is that what
                                                            20
                                                                I'll make some objections to Callan 9 and talking
21
    you're saying?
                                                                about --
22
         A Yes.
                                                            22
                                                                               (The court reporter clarified.)
23
              Okay. And that was known as of the time of
                                                            23
                                                                          MR. JACKSON: I'm sorry. I'll make
24
     the filing of this '287 patent?
                                                            24
                                                                 objections to Callan 9 entitled "Microporous Layer
25
         A With minimal activity. Not to create an
                                                            25 with Composite Carbon Black."
```

```
Page 138
                                                                                                            Page 140
              THE WITNESS: I'm going to take a break and
                                                                Pearl 2000?
1
                                                             1
    use the bathroom while --
                                                                          In Section 2.1?
                                                                     Α
3
              MR. JACKSON: Let me finish my objections
                                                             3
                                                                     0
                                                                          Correct. About eight lines down.
4
    first.
                                                             4
                                                                     Α
                                                                          I do. Okay. Go ahead.
5
              THE WITNESS: Okay.
                                                             5
                                                                          And do you see, back to where we were
              MR. JACKSON: Let's see. I want to object
                                                                looking at under Figure 2, do you see where the author
    to this document as not cited in the deposition
                                                                 says that BP powder has a high surface area and
8
    notice, as not cited in the expert declaration or in
                                                             8
                                                                 capability to adsorb water?
    the party's papers, as lacking foundation, lacking
                                                                     Α
                                                                         I see that's what it says.
    authentication, and as hearsay under the federal
                                                                          Okay. And do you have any reason to doubt
10
                                                            10
                                                                     Q
11
    rules.
                                                            11
                                                                that?
12
              Thank you.
                                                            12
                                                                     Α
                                                                         I do.
13
              MR. GERGELY: Okay. Let's take a short
                                                            13
                                                                          Have you -- and what do you base that on?
                                                                      0
14
    break.
                                                            14
                                                                     Α
                                                                         I base that on carbon blacks have organic
15
                   (A brief recess was taken.)
                                                            15
                                                                material on their outer surface that makes them not
16
              MR. JACKSON: Peter, will you grant me a
                                                                have high adsorption.
                                                            16
17
    standing objection?
                                                            17
                                                                      Q And when you say "not have high adsorption,"
                                                                what do you mean by that?
18
              MR. GERGELY: Yes.
                                                            18
19
              MR. JACKSON: Thank you.
                                                            19
                                                                     A I mean that all of the cavities on the
20
              MR. GERGELY: Yeah.
                                                            20 surface that adsorb are packed with organic residues.
21
              (By Mr. Gergely) So sir, drawing your
                                                                 Sometimes inorganic, also. So they're not open to
22
    attention to, I believe it's Callan 8 which is a Wang
                                                                 adsorb until you run the test to measure adsorption.
                                                            22
23
    article that's labeled VF 1028. Do you see it?
                                                            23 It may have the possibility of becoming highly active,
24
         Α
             Yes.
                                                               but not as a carbon black.
25
         Q
              Okay. So this was -- this was one that was
                                                            25
                                                                     Q And so, in your view, does Black Pearls 2000
                                                Page 139
                                                                                                            Page 141
    submitted with Dr. Oelker's declaration. And did you
                                                                have a low pore volume because of this organic
    see in -- on page 284 how the author states that
                                                                material that's clogging the pores?
    BP powder which is the Black Pearls powder --
                                                                          MR. JACKSON: Object to form. Foundation.
3
                                                                          My position is that carbon blacks, the pores
             You're getting ahead of me.
                                                                are filled with organic residues.
5
         0
              Sure.
              284.
                                                             6
                                                                          (By Mr. Gergely) And have you ever seen
6
         Α
                                                                      0
7
                                                             7
                                                                Black Pearls 2000 being described as non-porous or not
         0
             Page 284.
8
              Oh, okay. Go ahead.
                                                                having the capability to adsorb water?
9
              Yeah. It's under Figure 2.
                                                                          MR. JACKSON: Object to form. Foundation.
              Do you see how the author says, "From the
                                                            10
                                                                     A Ask the question again. Are you asking if
10
11
    viewpoint of tailoring surface properties, BP powder
                                                            11
                                                                 I've seen literature out there?
12
     in possession of high surface area and capability" --
                                                            12
                                                                          (By Mr. Gergely) Yeah. Have you seen
                                                                      0
13
             Hold on. Where are you? Are you on
                                                            13
                                                               literature describing Black Pearls 2000 as not porous
    paragraph below Figure 2?
                                                                 or not having the capability to adsorb water?
14
15
         Q
             Yes.
                                                            15
                                                                      A I've seen literature stating that carbon
16
                                                            16 black in general is not porous because of the fact
         Α
              Where in the paragraph?
17
         Q
              That starts "From the viewpoint."
                                                            17
                                                                 that it has these residues, and I've seen micrographs
18
         Α
              Okay. Gotcha.
                                                            18
                                                                 and I've seen it myself.
19
         0
              Okay. And you understand the author is
                                                            19
                                                                     Q And have you seen --
20
    defining BP as Black Pearl 2000?
                                                            20
                                                                      A Otherwise, it would not be called carbon
21
         Α
             I don't know that.
                                                                black. It would be called something else.
22
         0
              Okav.
                                                            22
                                                                          Sir, did you tender to the board with your
23
                                                            23
                                                                 declaration a paper stating that carbon black did not
         Α
              But go on.
24
              Okay. Do you see in -- on the facing page
                                                            24
                                                                 have the capability to adsorb water, in other words,
   in paragraph 2.1 the author defines BP as Black
                                                                non-porous? And if so, what was that paper?
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Page 142
                                                                                                            Page 144
              MR. JACKSON: Object to form. Foundation.
 1
                                                            1
                                                                          Yes.
                                                                     Α
 2
              Have I cited a reference --
                                                            2
                                                                     Q
                                                                          And what does it mean?
 3
              (By Mr. Gergely) Correct.
                                                            3
                                                                     Α
                                                                          It means the volume of the pores within the
                                                                particulate.
 4
         Α
              -- specifically saying that? I don't know.
                                                            4
 5
    I'd have to look. I'm citing my experience.
                                                            5
                                                                     Q
                                                                         And in your experience with activated
                                                                particles, is 2.67 relatively high pore volume?
 6
         Q And sir, turning your attention to Callan 9
    which is the next Wang article. It was marked as
                                                                         I don't know.
 8
    Haggquist 9 and Daniels 16.
                                                            8
                                                                          MR. JACKSON: Object to form. Foundation.
                                                                          You're asking me things that I haven't had
 9
         A I have it in front of me.
                                                            9
                                                                     Α
                                                           10
10
              Take a look at paragraph 3.1. And do you
                                                                time to look at.
11
    see where the author reports that Black Pearls 2000
                                                           11
                                                                     0
                                                                          (By Mr. Gergely) Okay.
    has a surface area of 1501.8 meters square per gram?
                                                           12
                                                                     Α
                                                                         I don't even know this is real.
13
         A I see where it claims that.
                                                                          Now, sir, on to your declaration on page 41.
                                                           13
                                                                     0
14
         Q Okay. And is that a relatively high surface
                                                           14
                                                                     Α
                                                                          Yes.
15
     area as compared to activated carbon?
                                                           15
                                                                          Sir, do you see that Table 1 actually shows
              MR. JACKSON: Object to form. Scope.
16
                                                           16 an improved MVTR in the experiments versus the
                                                                control?
17
         A I don't know that it actually has that
                                                           17
    surface area or that if it is activated it has that
18
                                                           18
                                                                     Α
19
    surface area. I don't know enough about what this is
                                                                          Okay. And then as you pointed out, Table 2
                                                           19
                                                                     0
                                                           20
20
    to answer your question.
                                                                does not; correct?
21
              (By Mr. Gergely) Okay. And then do you see
                                                           21
                                                                     Α
22
    that the authors go on to state that, "This can be
                                                           22
                                                                     Q
                                                                         And is there a reason you didn't cite
23
    explained by the tremendous difference in pore volume
                                                           23 Table 3?
24
    and pore size distribution. The Black Pearls 2000
                                                           24
                                                                          MR. JACKSON: Objection. Foundation.
                                                                          Do we have that in the deposition?
25 reveals smaller particle size and larger pore volume
                                                           25
                                                Page 143
                                                                                                            Page 145
                                                                     A I thought that I did. I did on
    as compared to acetylene black from which we can
                                                            1
   deduce that the MPL made of Black Pearls 2000 involves
                                                                paragraph 91.
   more micropores than that of acetylene black."
                                                                          (By Mr. Gergely) Oh, okay. And that -- that
                                                                     0
              Do you see that?
                                                                table actually shows an improved MVTR as well;
 5
         A I see what it says.
                                                                correct?
 6
         Q Okay. And would you agree the authors are
                                                            6
                                                                     Α
                                                                         No. It shows the opposite.
 7
    reporting that Black Pearls 2000 is a porous material?
                                                            7
                                                                     0
                                                                          Where are you looking?
 8
              MR. JACKSON: Objection.
                                                            8
                                                                          Can we have the Halley document? Do I have
                                                                     Α
         A I don't know that. I haven't read the
                                                            9
                                                                it?
    article. I don't know the total context of what the
                                                                          Oh, were you -- I was asking about Table 3.
10
                                                           10
11
    article is about.
                                                                          That's what I'm saying, is I don't have
                                                           11
12
              (By Mr. Gergely) And do you see in Table 2,
                                                           12
                                                                Table 3 within the document here, but I did refer to
    the authors report that Black Pearls 2000 has a pore
                                                                it.
                                                           13
13
    volume of 2.67 cubic centimeters per gram?
                                                           14
                                                                          Okay.
15
         A I see that's what the table says.
                                                           15
                                                                               (Exhibit 10, Halley Reference, was
16
         Q Okay. Do you know what the pore volume is
                                                           16
                                                                               marked for identification.)
17
    for activated carbons?
                                                           17
                                                                         (By Mr. Gergely) All right. I'm handing you
18
              MR. JACKSON: Objection. Form. Foundation.
                                                           18
                                                                what's been marked as Callan 10 which is the Halley
19
         A I don't know that offhand. No.
                                                           19
                                                                reference.
20
             (By Mr. Gergely) Okay. Is --
                                                           20
                                                                     Α
21
         A And I don't know that this is a real number
                                                           21
                                                                     Q
                                                                          So I was asking you about Table 3, and the
                                                                question I was asking is, does Table 3 show an
22
    or this is a number from having removed the carbon
                                                           22
23
                                                           23
                                                                improved MVTR?
24
         Q Sir, are you familiar with the term "pore
                                                           24
                                                                     Α
                                                                          It does not. It shows a reduced MVTR.
   volume"?
                                                           25
                                                                          Table 3?
                                                                     0
```

```
Page 146
                                                                                                            Page 148
 1
                                                                          Okay. Have you reviewed his deposition
         A Correct
                                                            1
                                                                     0
 2
              I'm not sure --
                                                                transcript?
         Q
 3
         Α
              We're looking at the same table.
                                                            3
                                                                     Α
                                                            4
 4
             I'm not sure we are.
                                                                     0
                                                                          Okay. Have you reviewed any exhibits from
 5
            Table 3.
                                                            5
                                                                his deposition?
            Oh. Yeah, we are. Okay.
                                                                     A Not knowingly. Unless it's the same
              So the control, the MVTR is 6344. Do you
                                                            7
                                                                exhibits we have here.
 8
    see that?
                                                            8
                                                                     Q Okay.
              What we see is that if you compare Tables 1,
                                                                               (Exhibit 11, Document Entitled
 9
    Tables 2, and Table 3, Table 1 is membrane; Table 2 is
                                                                               Introduction to Organic Laboratory
10
                                                           10
    membrane plus fabric; and Table 3 is fabric plus
11
                                                           11
                                                                               Techniques, was marked for
    membrane plus dots. When you add the dots, the
                                                           12
                                                                               identification.)
    moisture vapor transmission rate does down
                                                                          (By Mr. Gergely) I'm handing you what's been
13
                                                           13
14
    dramatically. It does not go up. It's the opposite
                                                           14
                                                                previously marked as Daniels 5 and now we've marked it
15
    of what Haggquist teaches.
                                                                as Callan 11 as well.
16
         Q Well, it looks like the control is 6344 in
                                                           16
                                                                     Α
                                                                         Have I seen it before?
17
    Experiment No. 1 is 7736. Isn't that an improvement?
                                                           17
                                                                          Yeah. Have you seen it before?
18
         A No. Because the control -- if the control
                                                                          What exhibit is it? It's labeled over. I
                                                           18
19
   for this system would be membrane, membrane, plus
                                                               can't tell if it's one that I've seen or it's
                                                           19
                                                               something new. That's what I'm asking. Is it a VF
20
    fabric, fabric plus membrane plus dots, the control
                                                           20
    would be no dots, and that's the same thing as we have
                                                                exhibit?
    in Table 2, fabric plus membrane, where you have
                                                           22
                                                                     Q
                                                                          Well --
    13,477. I don't know where the 6344 comes from, but
                                                           23
                                                                     Α
                                                                          Something that was --
    that is not what this is saying.
                                                           24
                                                                     Q
                                                                          -- it was introduced at Dr. Callan's [sic]
25
         Q Did you discuss this in your declaration?
                                                           25 deposition during his cross-examination.
                                                Page 147
                                                                                                           Page 149
1
         Α
            I did.
                                                            1
                                                                     Α
                                                                         I'm --
            Where did you discuss that?
                                                                     0
                                                                          Excuse me. Dr. --
 3
             Point 91, paragraph 91.
                                                                          -- Mr. Callan.
         Α
                                                                     Α
                                                                          Sorry. It was introduced at Dr. Daniels'
              Paragraph 91. You said, "Also in this
                                                            4
    regard, data from Halley does not support any argument
                                                                deposition during his cross-examination.
 5
    that the Halley technology improves moisture vapor
 6
                                                            6
                                                                     Α
                                                                        So I haven't seen it.
    transport capacity as shown by the decrease in MVTR in
                                                            7
                                                                        I'm asking you. Maybe Mr. Jackson showed it
 7
                                                                     Q
    Halley Table 1 and Halley Table 2."
                                                                to you. I don't know.
         A Correct. Versus Table 3.
                                                                          MR. JACKSON: Object to form.
10
         Q I don't see any mention of Table 3 in your
                                                                     A No. Unless it's the exhibits that are
                                                           10
11
    declaration.
                                                                listed, I haven't seen it.
                                                           11
12
         A The experiment was Table 1, Table 2, and
                                                           12
                                                                         (By Mr. Gergely) Okay.
                                                                     0
13
    Table 3.
                                                                          MR. JACKSON: And I'll object to the
                                                           13
14
             Okay. And is there a reason you didn't
                                                               document. It's not in Callan's deposition notice.
         Q
15
    mention Table 3 in your declaration?
                                                               It's not of record in this case. I'll also object on
         A I thought it was.
                                                           16 the basis that it's not authenticated, so it lacks
16
17
             All right. Now, do you know that Cocona has
                                                           17
                                                                foundation, and it's hearsay. And Peter, will you
18
    another expert in this case, Dr. Daniels?
                                                           18
                                                                give me a standing objection to the questions related
19
         A I don't know where his --
                                                           19
                                                                to this document?
20
              MR. JACKSON: Objection to form. Scope.
                                                           20
                                                                          MR. GERGELY: Yes.
21
         A I don't know anything about who that is
                                                           21
                                                                          MR. JACKSON: Thank you.
22
    or -- I know that I'm not the only expert.
                                                           22
                                                                     Q (By Mr. Gergely) So I take it you have not
23
             (By Mr. Gergely) Okay. So did you -- have
                                                           23
                                                                seen this reference before today; is that right?
24
    you talked to him?
                                                           24
                                                                     Α
25
         A No.
                                                           25
                                                                          Okay. I'd like to draw your attention to
                                                                     0
```

_	STEPHEN J. CALI	1 <del>1</del>	- 12/14/2018 Pages 150153
_	Page 150	_	Page 152
1	page 718. Well, actually, page 717.	1	MR. JACKSON: Objection. Form.
2	A Okay.	2	A I don't know whether that's correct or not
3	Q And are you familiar with gel	3	correct.
4	chromatography?	4	Q (By Mr. Gergely) And then they state, "Most
5	A Yes.	5	often, water or aqueous solutions of buffers are used
6	Q Okay. And the authors state that the	6	as the moving phase."
7	stationary phase in gel chromatography consists of a	7	Do you agree with that?
8	cross-linked polymeric material. Do you see that?	8	A Where are you?
9 <b>10</b>	A Yes.  O Is that a fair statement?	10	Q The next sentence. A Oh, "Most often, water" I'm aware that
11	MR. JACKSON: Object to scope. Foundation.	11	A Oh, "Most often, water" I'm aware that water or buffers are used. I don't know that it's
12	A They're not telling me what material they're	12	most often.
13	talking about, so I don't know the answer to that.	13	Q And do you see where the authors state,
14	Q (By Mr. Gergely) And then do you see where	14	"Chemically, Sephadex is a polymeric carbohydrate that
15	they go on to state that molecules are separated	15	has been cross-linked. The degree of cross-linking
16	according to their size, by their ability to penetrate	16	determines the sizes of the holes in the polymer
17	a sieve-like structure. Do you see that?	17	matrix."
18	A I do.	18	Do you see that?
19	Q Okay. And is that your understanding of how	19	A Yes.
20	gel chromatography works?	20	Q And do you see where the authors go on to
21	A It's one mechanism.	21	state, "In addition, the hydroxyl groups on the
22	Q Okay. And do you see where the authors	22	polymer can adsorb water which causes the material to
23	state, "Molecules permeate the porous stationary phase	23	swell."
24	as they move down the column." Do you see that?	24	Do you see that.
25	A I do.	25	A I see it says that.
	- 151		
	Page 151	1	Page 153
1	Q And what's your understanding of what the	1	Q Do you have any reason to doubt the veracity
1 2	Q And what's your understanding of what the stationary phase is?	1 2	Q Do you have any reason to doubt the veracity of that statement?
2	stationary phase is?	2	of that statement?
<b>2</b> 3	stationary phase is? MR. JACKSON: Objection. Form. Scope.	<b>2</b> 3	of that statement?  MR. JACKSON: Objection. Scope.
<b>2</b> 3 4	stationary phase is?  MR. JACKSON: Objection. Form. Scope. Foundation.	<b>2</b> 3 4	of that statement?  MR. JACKSON: Objection. Scope. Foundation.
2 3 4 5	stationary phase is?  MR. JACKSON: Objection. Form. Scope.  Foundation.  A This stationary and gel chromatography, you	2 3 4 5	of that statement?  MR. JACKSON: Objection. Scope.  Foundation.  A Yes.
2 3 4 5 6	stationary phase is?  MR. JACKSON: Objection. Form. Scope.  Foundation.  A This stationary and gel chromatography, you have a liquid phase and a stationary phase. The	2 3 4 5 6	of that statement?  MR. JACKSON: Objection. Scope.  Foundation.  A Yes.  Q (By Mr. Gergely) And what's the basis for
2 3 4 5 6 7	stationary phase is?  MR. JACKSON: Objection. Form. Scope.  Foundation.  A This stationary and gel chromatography, you have a liquid phase and a stationary phase. The stationary phase is packed in a column, and you pass	2 3 4 5 6 7	of that statement?  MR. JACKSON: Objection. Scope.  Foundation.  A Yes.  Q (By Mr. Gergely) And what's the basis for doubting the veracity of that statement?
2 3 4 5 6 7 8	stationary phase is?  MR. JACKSON: Objection. Form. Scope.  Foundation.  A This stationary and gel chromatography, you have a liquid phase and a stationary phase. The stationary phase is packed in a column, and you pass the liquid through it with whatever sample you're	2 3 4 5 6 7 8	of that statement?  MR. JACKSON: Objection. Scope.  Foundation.  A Yes.  Q (By Mr. Gergely) And what's the basis for doubting the veracity of that statement?  A Because Sephadex is a super hydrophilic
2 3 4 5 6 7 8	stationary phase is?  MR. JACKSON: Objection. Form. Scope.  Foundation.  A This stationary and gel chromatography, you have a liquid phase and a stationary phase. The stationary phase is packed in a column, and you pass the liquid through it with whatever sample you're trying to cause separations on.	2 3 4 5 6 7 8 9	of that statement?  MR. JACKSON: Objection. Scope.  Foundation.  A Yes.  Q (By Mr. Gergely) And what's the basis for doubting the veracity of that statement?  A Because Sephadex is a super hydrophilic material and that it absorbs water. And when it absorbs water, you overwhelm anything that adsorbs.  You don't have the absorption mechanism taking place
2 3 4 5 6 7 8 9	stationary phase is?  MR. JACKSON: Objection. Form. Scope.  Foundation.  A This stationary and gel chromatography, you have a liquid phase and a stationary phase. The stationary phase is packed in a column, and you pass the liquid through it with whatever sample you're trying to cause separations on.  Is that what you're asking?	2 3 4 5 6 7 8 9	of that statement?  MR. JACKSON: Objection. Scope.  Foundation.  A Yes.  Q (By Mr. Gergely) And what's the basis for doubting the veracity of that statement?  A Because Sephadex is a super hydrophilic material and that it absorbs water. And when it absorbs water, you overwhelm anything that adsorbs.
2 3 4 5 6 7 8 9 10 11	Stationary phase is?  MR. JACKSON: Objection. Form. Scope.  Foundation.  A This stationary and gel chromatography, you have a liquid phase and a stationary phase. The stationary phase is packed in a column, and you pass the liquid through it with whatever sample you're trying to cause separations on.  Is that what you're asking?  Q (By Mr. Gergely) So, for example, in	2 3 4 5 6 7 8 9 10	of that statement?  MR. JACKSON: Objection. Scope.  Foundation.  A Yes.  Q (By Mr. Gergely) And what's the basis for doubting the veracity of that statement?  A Because Sephadex is a super hydrophilic material and that it absorbs water. And when it absorbs water, you overwhelm anything that adsorbs.  You don't have the absorption mechanism taking place
2 3 4 5 6 7 8 9 10 11 12 13	MR. JACKSON: Objection. Form. Scope.  Foundation.  A This stationary and gel chromatography, you have a liquid phase and a stationary phase. The stationary phase is packed in a column, and you pass the liquid through it with whatever sample you're trying to cause separations on.  Is that what you're asking?  Q (By Mr. Gergely) So, for example, in Sephadex, Sephadex would be considered the stationary	2 3 4 5 6 7 8 9 10 11 12 13	of that statement?  MR. JACKSON: Objection. Scope.  Foundation.  A Yes.  Q (By Mr. Gergely) And what's the basis for doubting the veracity of that statement?  A Because Sephadex is a super hydrophilic material and that it absorbs water. And when it absorbs water, you overwhelm anything that adsorbs. You don't have the absorption mechanism taking place in a super absorbant material.
2 3 4 5 6 7 8 9 10 11 12 13	MR. JACKSON: Objection. Form. Scope.  Foundation.  A This stationary and gel chromatography, you have a liquid phase and a stationary phase. The stationary phase is packed in a column, and you pass the liquid through it with whatever sample you're trying to cause separations on.  Is that what you're asking?  Q (By Mr. Gergely) So, for example, in Sephadex, Sephadex would be considered the stationary phase; correct?  A Yes.  Q All right. And then on over to the facing	2 3 4 5 6 7 8 9 10 11 12 13 14	of that statement?  MR. JACKSON: Objection. Scope.  Foundation.  A Yes.  Q (By Mr. Gergely) And what's the basis for doubting the veracity of that statement?  A Because Sephadex is a super hydrophilic material and that it absorbs water. And when it absorbs water, you overwhelm anything that adsorbs. You don't have the absorption mechanism taking place in a super absorbant material.  Q And sir, what is the difference between absorption and adsorption?  A Absorption is drawing the liquid entirely
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16	MR. JACKSON: Objection. Form. Scope.  Foundation.  A This stationary and gel chromatography, you have a liquid phase and a stationary phase. The stationary phase is packed in a column, and you pass the liquid through it with whatever sample you're trying to cause separations on.  Is that what you're asking?  Q (By Mr. Gergely) So, for example, in Sephadex, Sephadex would be considered the stationary phase; correct?  A Yes.  Q All right. And then on over to the facing page, 718, where the authors bold "Sephadex." Do you	2 3 4 5 6 7 8 9 10 11 12 13 14 15	of that statement?  MR. JACKSON: Objection. Scope.  Foundation.  A Yes.  Q (By Mr. Gergely) And what's the basis for doubting the veracity of that statement?  A Because Sephadex is a super hydrophilic material and that it absorbs water. And when it absorbs water, you overwhelm anything that adsorbs. You don't have the absorption mechanism taking place in a super absorbant material.  Q And sir, what is the difference between absorption and adsorption?  A Absorption is drawing the liquid entirely into the particle. Adsorption is a surface
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2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	MR. JACKSON: Objection. Form. Scope.  Foundation.  A This stationary and gel chromatography, you have a liquid phase and a stationary phase. The stationary phase is packed in a column, and you pass the liquid through it with whatever sample you're trying to cause separations on.  Is that what you're asking?  Q (By Mr. Gergely) So, for example, in Sephadex, Sephadex would be considered the stationary phase; correct?  A Yes.  Q All right. And then on over to the facing page, 718, where the authors bold "Sephadex." Do you see that?  A Uh-huh.  Q You have to answer yes or no for the record.  A I'm sorry. Yes.  Q Okay. And then the author states, "One of the most popular materials for gel chromatography."	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	of that statement?  MR. JACKSON: Objection. Scope.  Foundation.  A Yes.  Q (By Mr. Gergely) And what's the basis for doubting the veracity of that statement?  A Because Sephadex is a super hydrophilic material and that it absorbs water. And when it absorbs water, you overwhelm anything that adsorbs. You don't have the absorption mechanism taking place in a super absorbant material.  Q And sir, what is the difference between absorption and adsorption?  A Absorption is drawing the liquid entirely into the particle. Adsorption is a surface phenomenon.  Q And sir, the you'll agree with me, the authors state here that Sephadex can adsorb water; correct?  A I agree that's what they say. They're wrong if you were absorbing water.

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Page 154
                                                                                                              Page 156
    exclusive?
                                                                 study before I could answer you. And again, you're
1
2
              MR. JACKSON: Object to form. Foundation.
                                                                 ignoring the fact that if Dutta had been considering
3
         Α
              I believe that if you were absorbing, the
                                                                 this an adsorptive particle, the Dutta patent wouldn't
    mechanism is so aggressive that you overwhelm the
                                                                 be there as prior art.
4
                                                              4
5
    adsorption sites, and you have water flow through them
                                                              5
                                                                      Q
                                                                           What's your point?
    without adsorbing. It's like a sponge. When you
                                                                           My point is you're trying to pursue that
    squeeze the sponge and you put it in water and you
                                                                 this is adsorptive. By doing that, you're trying to
8
    suck the water up, that water is flowing in. There's
                                                              8
                                                                 prove that Dutta is invalid prior art.
    no -- there's no adsorption happening even though
                                                              9
                                                                      Q
                                                                           I -- I'm trying to prove the validity of
    there may be sites that if you cleaned them out, could
                                                                 '287, not Dutta.
10
                                                            10
11
                                                                           That's not what you're doing from what I'm
    adsorb.
                                                            11
                                                                      Α
12
         0
              (By Mr. Gergely) Well, at a certain point,
                                                            12
                                                                 seeing.
13
                                                                           Well --
    the water is sticking to something at a certain point.
                                                            13
                                                                      0
14
    I mean, this -- this textbook says that the hydroxyl
                                                            14
                                                                      Α
                                                                           If you're trying to prove that Dutta uses
15
                                                            15
                                                                 adsorptive properties and Dutta specifically excluded
16
         Α
             It doesn't mean it's right because this
                                                            16
                                                                 the use of adsorptive properties to the patent board
17
    textbook says it. I haven't read the whole thing.
                                                            17
                                                                 to get his patent passed, then if he had accepted
             Well, I mean, you're familiar with hydroxyl
                                                                 adsorptive materials, he wouldn't have the patent. It
18
19
    groups; correct? They participate in hydrogen bonding
                                                                 wouldn't be there for this --
                                                            19
20
    with water?
                                                            20
                                                                           And why is that?
                                                                      0
21
                                                                           Because it wouldn't exist.
                                                            21
                                                                      Α
22
         Q
              Okay. And that's the same mechanism if
                                                            22
                                                                      Q
                                                                           Why?
    activated carbon is using hydroxyl groups; correct?
23
                                                            23
                                                                      Α
                                                                           It wouldn't -- because the patent board
24
         A In super hydrophilic materials, it's not
                                                                 passed it because he claimed that it was only
    hydroxyl groups sucking the water in. It's the
25
                                                                 absorptive.
                                                 Page 155
                                                                                                              Page 157
1
    physical mechanism.
                                                              1
                                                                      Q
                                                                           Over -- over prior art that was adsorptive?
2
             Well, sir, regardless, there is commonality
                                                                           Over all other materials.
    between Sephadex and activated carbon and silica gel
                                                                           MR. GERGELY: Will you mark this as 12 and
3
                                                              3
    and activated alumina and zeolites. They all rely on
                                                              4
                                                                 13.
    hydrogen bonding?
                                                                            (By Mr. Gergely) Well, sir, certainly,
5
                                                              5
                                                                 you'll agree with me that Sephadex traps water;
         A No. They don't all rely on hydrogen
6
                                                              6
    bonding, and if you would interpret that, they
                                                              7
7
                                                                 correct?
    certainly don't all rely on hydrogen bonding equally.
                                                                      Α
                                                                           Yes.
             Well, which ones rely on hydrogen bonding?
                                                              9
9
                                                                      0
                                                                           Okav.
              That would be a particle-by-particle
10
         Α
                                                            10
                                                                      Α
                                                                           May I speak?
11
    analysis.
                                                            11
                                                                           Please.
12
             Does silica gel rely on hydrogen bonding?
                                                            12
                                                                           The fact that it traps water is also part of
         0
                                                                      Α
13
              I mean, that would be a
                                                            13
                                                                 the reason why Sephadex can't be used because it sucks
         Α
    particle-by-particle, grade-by-grade.
                                                                 up biological contaminants and traps them, and it
14
15
         Q Does any grade of silica gel rely on
                                                                 could not be used as a multi-use item if the first
    hydrogen bonding to --
                                                                 time you use them, it sucks up bacteria as if it were
16
                                                            16
17
         A Does it have hydrogen bonding? Yes. Does
                                                            17
                                                                 a wound treatment.
18
    it rely on it when it's a super absorbent particle?
                                                            18
                                                                           You couldn't give it to the military and
19
    No. Does it affect the flow rate through? Possibly.
                                                            19
                                                                 say, Here, go out and be exposed to bacteria and keep
20
         Q Now, does activated alumina rely on hydrogen
                                                            20
                                                                 using it without putting it in a refrigerator or
21
    bonding to attract water?
                                                                 heating it to 60 to 80 degrees C --
22
         Α
              I would have to look at it.
                                                            22
                                                                                (The court reporter clarified.)
23
              Okay. And how about zeolites? Does that
                                                            23
                                                                          -- 60 to 80 degrees centigrade because it
24
    rely on hydrogen bonding to attract water?
                                                            24
                                                                 traps bacteria with that water.
25
             You're asking me questions I would have to
                                                            25
                                                                           (By Mr. Gergely) Now, Sephadex can also be
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Page 158
                                                                                                             Page 160
    dehydrated to its original size; correct?
                                                                 Fahrenheit to be removed.
1
 2
              At 60 to 80 degrees centigrade. You don't
                                                             2
                                                                          Well --
3
    have those temperatures except for an oven in a house.
                                                             3
                                                                      Α
                                                                           I have the reference. It's Exhibit 1032.
                                                             4
                                                                           Okay. And what does that say?
4
              How about in a dryer?
                                                                      0
                                                             5
5
              Dryer doesn't get that high.
                                                                      Α
                                                                           "Describe the use of Sephadex under the
                                                                brand name Debrisan for wound care stating when
              What's 60 to 80 C?
 6
                                                                introduced to an exuding would 1 gram of the beads
             176 Fahrenheit.
                                                                will absorb up to 4 grams of exudates. It has been
8
             How hot does a dryer get?
              Less than that.
                                                                 shown that bacteria and cellular debris present in the
 9
         Α
             How much less?
                                                                 wound are taken up by capillary action and become
10
         0
                                                            10
                                                            11
11
         Α
             Enough less that it wouldn't work.
                                                                 trapped in the spaces between the beads."
12
              And what do you base that on?
                                                                           So if you were using Sephadex and Sephadex
13
              I base that on the documents that are
                                                                 comes in contact with a wound or with bacteria which
         Α
                                                            13
14
    provided and the citations that I provided within my
                                                            14
                                                                 is everywhere, it sucks it up and traps it.
15
    declaration that Sephadex is used as a wound treatment
                                                            15
                                                                          And so --
16
    because it sucks up. It's in, actually, the Sephadex
                                                            16
                                                                      Α
                                                                           Which would make it not viable as a
                                                                 multi-use item.
17
    manual. It sucks up bacteria and traps it.
                                                            17
             And it traps water as well?
                                                                          Sir, I understand your point with respect to
18
                                                            18
                                                                      0
19
             With bacteria.
                                                                 if you stick Sephadex into a wound, it will act that
20
                                                                 way. But you said it just absorbs bacteria generally.
             Well, we know Sephadex traps water; correct?
                                                            20
21
                                                                 What do you base that on? Which reference?
         Α
                                                            21
22
              We know activated carbon traps water;
                                                            22
                                                                      A
                                                                          I base that on the fact that if you do this,
         Q
                                                                 there's bacteria on the table.
23
    correct?
                                                            23
24
         Α
             No. Why do we know that?
                                                            24
                                                                          And you just rubbed your arm?
25
         Q
              Based on the '287 patent; right? It traps
                                                            25
                                                                      Α
                                                                           If you go to the bathroom and touch the
                                                Page 159
                                                                                                             Page 161
1
    water?
                                                                 toilet, there's bacteria on the toilet.
                                                                      Q And sir --
2
         Α
              It entraps water. It doesn't say it traps
3
                                                                           If you're wearing this as a multi-use
    water.
                                                                      Α
                                                                 garment and you wear it and it wicks up by capillary
 4
              Well, it traps substances; correct?
                                                                 action bacteria in the environment, it can wick up
 5
              Show me where you're talking about.
              Oh, here it is. So -- see, your contention
                                                                 that bacteria and trap it. I mean, it's the same
6
         0
                                                             6
7
    is that activated carbon does not trap water?
                                                                 thing as saying, would you take that Debrisan particle
8
              MR. JACKSON: Object to form.
                                                                 after it's been in a wound and go put it on another
9
         A I would have to say it does not. We're
                                                                 patient? You would not.
    discussing Sephadex trapping water for long term where
                                                                          And sir, I'm asking you, what are you basing
10
                                                            10
                                                                      Q
11
    you have to heat it up to 176 Fahrenheit to get rid of
                                                                 your statement on that Sephadex generally absorbs
                                                            11
12
    the water. Activated carbon does not do that.
                                                                 bacteria in the environment? What are you -- what are
13
              (By Mr. Gergely) I guess I'm asking you
                                                            13
                                                                 you basing that on?
    because it says that, in Column 4 of the '287 patent,
                                                                      A Because it has been shown that bacteria and
14
15
    that these active particles are active because they
                                                                 cellular debris present in the wound are taken up by
                                                                 capillary action. Capillary action is you come in
16
    have the capacity to cause chemical reactions at the
                                                            16
17
    surface or physical reactions such as adsorb or trap
                                                            17
                                                                 contact, and it sucks it up like a straw.
18
    substances.
                                                            18
                                                                      Q
                                                                          And that is a -- that is a wound that's
19
         Α
             At the surface.
                                                            19
                                                                 being referenced in that article; correct?
20
         Q
              Okay. So you're saying that activated
                                                            20
                                                                      Α
                                                                          Versus a sweaty arm?
21
    carbon cannot trap water?
                                                            21
                                                                           I don't know, sir. I'll ask you again.
22
         A At the surface, it's a surface activity,
                                                            22
                                                                           What reference states that Sephadex
23
                                                            23
                                                                 generally sucks up bacteria in the manner that you
    yes.
24
         Q
              Okay.
                                                                 described?
25
                                                            25
                                                                      A Exhibit 1032.
              But that water doesn't need 176 degrees
```

```
Page 162
                                                                                                              Page 164
              Okay. Which is talking about an open wound;
                                                                 placed in a liquid."
1
         0
                                                              1
2
    correct?
                                                                            Do you see that?
3
         Α
              It's not only talking about that. Let's get
                                                              3
                                                                           I do.
                                                                      Α
4
    the Sephadex paper.
                                                              4
                                                                       0
                                                                           Okay. And so the authors are reporting that
5
              You've cited this wound reference; right?
                                                                 water is both trapped within the Sephadex bead and on
                                                                  the surface. Do you see that?
              There are three references. They are the
    wound reference, the Debrisan particle, and the SMTL
                                                                      Α
                                                                          I do.
8
    or whatever that is. Those three references together
                                                              8
                                                                           Okay. Do you have any reason to doubt that?
9
    all come to the same point.
                                                                           I have no idea. You handed me a, a what, a
                   (Exhibit 12, Document Entitled
                                                                 100-page book, 145-page book that I haven't seen
10
                                                             10
                                                                 hefore?
11
                   Fluorescent-Based Genetic Analysis for
                                                             11
12
                   a Microfluidics Device , was marked for
                                                            12
                                                                       0
                                                                          Are you aware of any references which would
13
                   identification.)
                                                             13
                                                                state that Sephadex does not trap water on the
14
                   (Exhibit 13, Larger Document Entitled
                                                             14
                                                                  surface?
15
                   Fluorescent-Based Genetic Analysis for
                                                             15
                                                                           I don't know at this time.
16
                   a Microfluidics Device , was marked for
                                                                           MR. GERGELY: Okay. All right. Why don't
                                                             16
17
                   identification.)
                                                             17
                                                                  we take another short break and go off the record.
              (By Mr. Gergely) Now, all right, sir. I'm
18
                                                             18
                                                                                (A brief recess was taken.)
19
    going to hand you Callan Exhibits 12 and 13. These
                                                             19
                                                                                (Exhibit 14, Cocona's Infringement
20
    were marked during Dr. Daniels' deposition. Have you
                                                             20
                                                                                Claim Chart, was marked for
    reviewed these papers before?
                                                             21
                                                                                identification.)
21
22
              MR. JACKSON: Let me -- let me --
                                                             22
                                                                           (By Mr. Gergely) Mr. Callan, I'm handing you
                                                                       Q
                                                             23
23
         A I have not seen them.
                                                                 what's been marked as Callan 14.
24
              MR. JACKSON: I need to make objections to
                                                             24
                                                                                (Brief comments were made off the
25
    these. Do you have copies of these, Peter? I wasn't
                                                             25
                                                                                record.)
                                                                                                              Page 165
                                                 Page 163
    at the Daniels' deposition.
                                                              1
                                                                            (By Mr. Gergely) So hand you what's been
                                                                 marked as Callan 14 which is Cocona's infringement
2
              MR. GERGELY: I do not have an extra copy.
3
              MR. JACKSON: Okay. Well, mind if I take
                                                                 claim chart which has been marked as VF 1026 and also
4
    them?
                                                                 Haggquist 14. I'd like to draw your attention towards
              THE WITNESS: No. I've never seen them.
                                                                  the back of the document. On page 71 of 93, there's
5
              MR. JACKSON: I'll give them back to you.
                                                                  an article titled, "KMI Zeolite."
6
                                                              6
7
              I'll object to Callan 12 and 13 on the basis
                                                              7
                                                                      Α
                                                                           Okav.
    that they were not identified in the deposition
                                                              8
                                                                           Have you seen this before in this case?
8
                                                                       0
    notice, are not of record in this case, are outside
                                                                           It is one of the exhibits that I reviewed,
9
                                                              9
                                                                       Α
    the scope of proper examination, and not been
                                                                 but I did not review it as thoroughly as others
10
                                                             10
11
    authenticated or hearsay, and lack foundation.
                                                                  because my understanding was this was an infringement
                                                             11
12
              Can I have a standing objection for
                                                             12
                                                                  claim chart, and that is the other case.
13
    questions for these exhibits, Peter?
                                                             13
                                                                           Okay. Nonetheless, you reviewed it at some
                                                                       Q
14
              MR. GERGELY: Yes.
                                                                 point during this case?
                                                             14
15
              MR. JACKSON: Thank you.
                                                             15
                                                                          I looked through it briefly.
                                                                       Α
16
              (By Mr. Gergely) Sir, I'd like to draw your
                                                             16
                                                                           Okay. And in this attachment to Cocona's
17
    attention to page 59 of the larger document which is
                                                             17
                                                                  infringement contentions, this KMI Zeolite Company
18
    Callan 13.
                                                             18
                                                                  states certain things. First of all, do you know who
                                                                 KMI Zeolite is?
19
              Do you see where the authors state that
                                                             19
20
    Sephadex G-50 beads are commonly used for desalting
                                                             20
                                                                      Α
21
    and gel exclusion chromatography?
                                                             21
                                                                       0
                                                                            The authors state that zeolite is a
22
              I see that it states that.
                                                             22
                                                                 crystalline hydrated aluminosilicate that possesses a
23
              And then they go on to state, "In solution,
                                                             23
                                                                  unique three-dimensional honeycomb framework. Do you
    these beads swell and trap water both within the bead
                                                             24
                                                                  see that?
    and on the surface of the bead in a microfilm when
                                                             25
                                                                       A T do.
```

```
Page 166
                                                                                                             Page 168
              Is that a fair description of zeolite?
                                                                           Okay. And then the small ones will just --
 1
                                                             1
         Α
              I don't know enough. I haven't looked at it
                                                             2
                                                                 will pass through that pore structure?
    closely enough or studied this material close enough
                                                                      Α
                                                                           Sometimes.
 4
    to answer the questions.
                                                             4
                                                                      0
                                                                           Okay. So is the structure of zeolite, is
 5
         Q And the authors state, "This structure
                                                                 that different than activated carbon? Because I
                                                                 understand activated carbon has a solid core; is that
    creates a series of interconnecting of channels and
    pores that account for over 50 percent of its total
                                                                 correct?
 8
    surface."
                                                             8
              Do you see that?
                                                             9
                                                                      0
                                                                          And the zeolite, is it a different
                                                                 structure? Is it more like -- are those pores shot
10
         Α
              I do.
                                                            10
11
         0
             And what do you understand by
                                                                 through the material?
12
    interconnecting channels and pores?
                                                                           MR. JACKSON: Object to form.
13
              MR. JACKSON: Objection. Form. Scope.
                                                                      A I would have to study this material to know
                                                            13
14
    Foundation.
                                                            14
                                                                 your -- to answer your question.
15
     A I've said that I haven't studied it careful
                                                            15
                                                                          (By Mr. Gergely) Okay. Regardless, it's
16
    enough.
                                                            16
                                                                 your understanding, though, that those pores will let
              (By Mr. Gergely) And then the authors state,
                                                                 the small particles pass through; correct?
17
                                                            17
    "as a result, zeolite acts as a molecular sieve that
                                                                      A Actually, what happens is particles smaller
18
    traps and binds particles of various sizes while
                                                                 than the pore diameter pass through at different
19
                                                            19
                                                                 rates. That's what a molecular sieve is about.
    allowing others to pass through."
                                                            20
20
21
              Do you see that?
                                                            21
                                                                           Okay.
22
              I do.
                                                            22
                                                                      Α
                                                                          Different size particles pass through at
         Α
23
             Is that how zeolites work?
                                                            23
                                                                different rates.
24
              MR. JACKSON: Objection. Form. Scope.
                                                            24
                                                                          Okay. And is -- is Sephadex work similarly?
25
   Foundation.
                                                            25
                                                                           MR. JACKSON: Object to form.
                                                Page 167
                                                                                                             Page 169
         A I would say that's how molecular sieves work
                                                                           (By Mr. Gergely) You've got this pore
1
    with the premise that they are activated and
                                                                 structure, and then the big stuff gets stuck in it and
 3
    non-activated.
                                                                 the small stuff passes through; correct?
                                                                          Actually, it does not work that way Sephadex
               (By Mr. Gergely) And what does that mean?
    Sieve. A molecular sieve. Does that mean that --
                                                                 has water media. So when you have a Sephadex column,
 5
              It's microscopic molecular size, but it's
                                                                 you have water in the column the entire time, and you
 6
         Α
 7
    like this.
                                                                 have -- you don't have a molecule passing through
 8
                                                                 empty pores. You have molecules passing through a
         O Okay.
                                                                 faucet drain that goes through the pores with liquid
         A Irregularly shaped, but it's also
    three-dimensional.
                                                                 water in it. It's not the same thing.
10
                                                            10
11
                                                            11
                                                                      Q And then -- but the big particles would get
12
         Α
              And I'm holding up ASDM 600-micron
                                                            12
                                                                 stuck in those pores; correct?
    laboratory test sieve. All I'm saying is, the answer
13
                                                            13
                                                                          If they're big enough, yes.
                                                                      Α
    is, it's like this test sieve but in three dimensions.
                                                                           Okay. And then the small stuff passes
                                                            14
15
              So with respect to zeolites, do particles
                                                            15
                                                                 through?
    that are large stick to the pores and smaller
16
                                                            16
                                                                      Α
                                                                           Sometimes. Sometimes, it does not.
                                                                           Okay.
17
    particles pass through the pores?
                                                            17
                                                                      Q
18
         Α
              It's mechanical. When you say stick to the
                                                            18
                                                                                (Exhibit 15, Document Entitled "Water
19
    pores, if they're too large, it's like this sieve.
                                                            19
                                                                                Sorption in a Dextran Gel", was marked
20
    They don't go through. They stay on one side.
                                                            20
                                                                                for identification.)
21
         Q
             They get blocked?
                                                            21
                                                                           (By Mr. Gergely) I'm handing you what's been
22
         Α
              They get blocked. Yeah.
                                                            22
                                                                 marked as Callan 15 which was marked during
23
              Okay.
                                                                 Dr. Daniels' deposition as Daniels 8.
24
         Α
              And sometimes, they block up and destroy
                                                            24
                                                                           MR. JACKSON: Is this a VF exhibit, Peter?
    whatever's being used.
                                                            25
                                                                           MR. GERGELY: Correct.
```

```
Page 170
                                                                                                             Page 172
 1
              MR JACKSON: It is?
                                                                 studied this paper.
 2
              MR. GERGELY: Oh, it was not submitted in
                                                             2
                                                                      Q In activated carbon, when the water is being
 3
    the petition. It was submitted with Dr. Daniel's
                                                                 bound to the pores, is some water also binding to
                                                                 other water molecules in those pores? For example, is
 4
    cross-examination.
 5
              MR. JACKSON: I'll object to this -- to this
                                                                 it binding to the solid surface and then binding to
    document. It was not identified in the deposition
                                                                 other water molecules as well rather than the surface?
                                                                      A If there's liquid. If there's gas, there
    notice for Mr. Callan, and it's not cited by the
 8
    parties or in the papers. It has not been
                                                                may not be. It depends on the spacing of the water
     authenticated. It is hearsay and lacks foundation and
                                                                 molecules from each other whether they're going to
                                                                 interact or not.
10
    it is out of scope.
                                                            10
11
                                                            11
              With those, Peter, if you'll grant me a
                                                                      Q Okay. But if they are interacting, that
                                                                 would be called a water-water interaction?
12
    standing objection, I won't repeat those.
13
              (By Mr. Gergely) Well, Mr. Callan, have you
                                                                           MR. JACKSON: Objection to form.
         0
                                                            13
14
    seen Callan 15 before today?
                                                            14
                                                                      A I'm sure there's more than one way to
15
         A No.
                                                            15
                                                                 describe water molecules interacting with each other.
16
              Sir, I'd like to draw your attention to page
                                                            16
                                                                           (By Mr. Gergely) Okay. But a way to
                                                                      0
                                                                 describe it would be a water-water interaction?
17
    192. And do you see where the authors refer to water
                                                            17
    vapor adsorption-desorption studies in Sephadex?
                                                                           MR. JACKSON: Objection. Form.
                                                            18
19
              Where are you talking about?
                                                            19
                                                                           (By Mr. Gergely) Correct?
         Α
                                                                      0
20
              In the --
                                                            20
                                                                           A water molecule-water molecule interaction.
         0
                                                                      Α
21
                                                            21
         Α
              Last paragraph?
22
               -- last paragraph that starts out with "We
                                                            22
                                                                           Only?
         0
                                                                      Α
    report."
23
                                                            23
                                                                      0
                                                                           Yeah. Is that right?
24
         Α
              What is your question?
                                                            24
                                                                      Α
                                                                           I don't know if that's right.
25
         Q
              Do you see where the authors report water
                                                            25
                                                                      Q
                                                                           But does activated carbon behave that way?
                                                 Page 171
                                                                                                             Page 173
    vapor adsorption-desorption studies on Sephadex?
                                                             1 At certain times, the water molecules entering the
                                                             2 pores will stick to the surface of those pores, but
 2
3
              Okay. And what does that mean to you?
                                                                 then other times, the water will also stick to another
         0
              It means nothing. I haven't studied this.
         Α
                                                                 water molecule; is that right?
    This was just put in front of me.
                                                                           MR. JACKSON: Objection. Scope.
 5
              Okay. And do you see where the authors then
                                                                 Foundation.
 6
                                                             6
    refer to Sephadex as a swelling molecular sieve?
 7
                                                             7
                                                                      Α
                                                                          I don't know that without studying it.
 8
             T do.
                                                             8
                                                                           (By Mr. Gergely) Okay. Do you think that's
                                                                      0
         0
              And we know that zeolites are molecular
                                                             9
                                                                 what's happening?
9
                                                                           I don't know that without studying it.
10
    sieves: correct?
                                                            10
                                                                      Α
11
                                                                           Okay. Do you know what the an- -- do you
         Α
                                                            11
                                                                      0
12
              And you'll agree with me that Sephadex is a
                                                                 know what an angular-perturbation frequency is?
         0
                                                            12
                                                                           MR. JACKSON: Objection. Form.
13
    molecular sieve; correct?
                                                            13
14
         A It can be used as that.
                                                                 Pronunciation.
                                                            14
15
         Q And sir, I'm drawing your attention to page
                                                            15
                                                                           THE WITNESS: Perturbation.
                                                                           MR. GERGELY: Perturbation.
16
    201, Table 2.
                                                            16
17
         A Page 201 or 202?
                                                            17
                                                                           THE WITNESS: What page are you on?
18
         0
              Actually, page 203.
                                                            18
                                                                           MR. GERGELY: 206.
19
         Α
              Okay.
                                                            19
                                                                           MR. JACKSON: Objection. Scope.
20
         Q
              Do you see the graph depicted which shows
                                                            20
                                                                 Foundation. Authentication. Hearsay.
21
    the adsorption-desorption isotherm --
                                                            21
                                                                      Α
                                                                           What are you asking?
22
         A T do.
                                                            22
                                                                      O
                                                                           (By Mr. Gergely) Do you know what the
23
         Q
              -- recorded at three different temperatures?
                                                            23
                                                                 angular-perturbation frequency is?
24
    What does -- what does that mean to you as a chemist?
                                                            24
                                                                      Α
                                                                           Where are you?
25
         A It means nothing right now. I haven't
                                                            25
                                                                           Page 206.
                                                                      0
```

```
Page 174
                                                                                                             Page 176
 1
              Yeah. I know. I'm on 206, but where on
                                                             1
                                                                           MR. GERGELY: Do you have an extra? I'll
         Α
                                                                 just follow along. Let me -- let me -- let me grab
 2
    206?
 3
              Towards the -- towards the bottom paragraph.
                                                                 it. I have it on the computer. So this is 2004.
 4
              I don't know enough about the context of
                                                                           MR. JACKSON: Yes.
         Α
                                                             4
 5
     this paper and what's being written about to have an
                                                             5
                                                                           MR. GERGELY: Okay. I'm with you.
 6
     interpretation of what you're asking.
                                                                           (By Mr. Jackson) Very quickly, Mr. Callan,
         Q Okay. But do you know what
                                                                 was this the document from the prosecution history you
 7
 8
    angular-perturbation frequency is?
                                                             8
                                                                 were referring to when you were discussing errors in
 9
             I don't know enough about what they're
                                                             9
                                                                 the Dutta patent?
    describing because I haven't seen the paper until just
10
                                                            10
                                                                      Α
                                                                           Yes.
11
                                                            11
                                                                      Q
                                                                          And can you identify for me where in this
12
         Q Okay. And do you see above on page -- on
                                                                 exhibit it references errors in the Dutta patent?
13
    the same page where the authors state, "The minus 107
                                                                      A On the first page under "Dear Sir," it says,
                                                            13
14
    centimeter vibrational shift is attributed simply to
                                                            14
                                                                 "A new page 4 submitted along with marked up original
15
     the skeletal field of the gel, and it is proposed that
                                                            15
                                                                 page 4 to correct an error."
16
     the adsorb water molecules participate in two or more
                                                            16
                                                                           If you go to page 4 of the same document, in
    hydrogen bonds involving gel hydroxyl groups and/or
17
                                                            17
                                                                 the second to the last paragraph, it reads, "The
    ether linkages."
                                                                 science behind the present invention is to alter the
18
19
                                                                 effective solubility water vapor in the non-porous
              Do you see that?
20
         A T do.
                                                            20
                                                                 polymer film by using highly absorbent particles
                                                                 uniformly dispersed within the polymer film."
21
             Okay. And what does that mean to you as a
22
    scientist?
                                                            22
                                                                          Okay. Now, I'd like to turn to your
                                                                      Q
23
         A That means this person is theorizing.
                                                            23
                                                                declaration.
24
         Q
             Okay. And they're theorizing that the
                                                            24
                                                                     Α
                                                                           There is more.
25
    mechanism's action for water --
                                                            25
                                                                           Oh, I'm sorry. Please continue.
                                                Page 175
                                                                                                             Page 177
             Centimeters minus 1 is a frequency for
1
         Α
                                                             1
                                                                           On page 5, bottom paragraph, "Those passages
    infrared, so they're saying there's the infrared shift
                                                                 are very general in nature and do not at all suggest
    based on something. I don't know enough about what
                                                                 the use of absorbent particles that applicant covers
 3
                                                                in his claimed invention."
    the paper is saying to know whether that's real or
     whether the shift is real or whether they have enough
                                                                           This is Dutta discussing correction and the
 5
    resolution on their instrument to actually know this.
 6
                                                             6
                                                                defense for the correction in his patent.
 7
              MR. GERGELY: All right. I don't have
                                                                           MR. GERGELY: Objection. Mischaracterizes
                                                             7
     anything further for Mr. Callan at this point, if you
                                                                 what he's doing.
9
    have any redirect.
                                                                     A Page 6, top paragraph, "Moreover, the
              MR. JACKSON: Let's go off the record.
                                                            10 definition of hydrophilic is not synonymous with
10
11
              MR. GERGELY: Sure.
                                                            11
                                                                 absorbent."
12
                   (A brief recess was taken.)
                                                            12
                                                                           Page 7, "Summary of Invention," he has
                        [EXAMINATION]
                                                                 scratched out "permeable" and written -- handwritten
13
                                                            13
14
         BY MR. JACKSON:
                                                                in "impermeable" which is the correction that was
15
         Q Hello, Dr. Callan. I'm going to ask you
                                                            15
                                                                 addressed by the patent board.
    questions very briefly for your redirect examination.
16
                                                            16
                                                                           And the correction that's shown on page 10,
17
              Earlier today, you testified about errors in
                                                            17
                                                                 if you compare page 10 to page 11, page 10, one reads,
18
    the Dutta patent; is that right?
                                                            18
                                                                 "One material of the invention is in the form of
19
         Α
             Yes.
                                                            19
                                                                 waterproof, air impermeable, water vapor permeable,
20
         0
              I would like to give you what is labeled
                                                            20
                                                                 non-porous polymer film."
21
    Exhibit 2004. I'm not sure what Callan exhibit label
                                                                           On page 11, it reads the same thing except
22
    this should have.
                                                            22
                                                               for "permeable" is scratched out and "impermeable" is
23
                   (Exhibit 16, Document Referencing
                                                            23
                                                                 handwritten in. That is all.
24
                   Errors in Dutta Patent, was marked for
                                                            24
                                                                      Q
                                                                          (By Mr. Jackson) Okay. Thank you. I'd like
25
                   identification.)
                                                            25
                                                                 to turn now to --
```

```
Page 178
                                                                                                              Page 180
                                                                 and there are -- there is residue underneath the amber
1
              Do you have a paper clip for that?
         Α
2
         Q
              -- your declaration starting at
                                                                  that does not poke through the surface that has sodium
 3
    paragraph 76.
                                                                  polyacrylate in it which is the same thing as
                                                                 Nalco 1181. The other pan has activated carbon with
                                                              4
 4
              It'll take a moment. There's a pile.
         Α
 5
    Paragraph 76?
                                                                  polyurethane.
                                                                            "The '287 patent, on the other hand, teaches
 6
         Q
 7
                                                                  exposing active particles on the surface of the
         Α
              Yes.
8
         0
              Today, you have been asked questions about
                                                                  membrane to increase water vapor transmission rate.
                                                                  See Exhibit 1004, Figures 2 through 5. Disclosing
9
     the Dutta reference; is that correct?
                                                                  removing encapsulant from active particles on the
10
         Α
                                                             10
11
         Ω
              You've also been asked a number of questions
                                                             11
                                                                  surface again."
    about absorption and adsorption; is that correct?
12
                                                             12
                                                                            And the comparison here of the exemplars is
13
                                                                  the aluminum pan has polyurethane coating the
         Α
                                                             13
14
              Do you see in the first sentence on
                                                             14
                                                                  particles, and if you go to the vial with Thickness 1
15
     paragraph 80, it states, "Other teachings in Dutta
                                                             15
                                                                  and Thickness 2 and you open it up and you look in,
16
     lead directly away from the '287 --
                                                             16
                                                                  you can see that the activated carbon particles are
17
                    (The court reporter clarified.)
                                                             17
                                                                  not coated over. They are exposed.
               (By Mr. Jackson) The first sentence in
                                                                           Thank you, Mr. Callan. One final question
18
                                                             18
                                                                       0
19
    paragraph 80, it says, "Other teachings in Dutta lead
                                                             19
                                                                  just for a more complete record. If you could run
    directly away from the '287 patent invention."
                                                                  through verbally and describe the demonstratives that
20
                                                             20
21
               Can you explain to me why a person of
                                                                  you have discussed today into the record, please.
22
    ordinary skill in the art starting with Dutta would be
                                                             22
                                                                           There are two aluminum pans. One aluminum
                                                                       Α
23
    led away from the invention in the '287 patent?
                                                                  pan has black particulate matter with an amber resin
24
             Related to thickness or in general?
                                                                  with it. The black particulate matter sticks above
                                                                  the plane of the amber resin but is also encased and
25
         Q
              Any of the reasons that you have discussed
                                                 Page 179
                                                                                                              Page 181
    in your declaration.
                                                                  covered with the amber resin, and that is
         A Well, let me discuss. Other teachings in
                                                                 polyurethane.
    Dutta lead away from the '287 patent invention. For
                                                                            And the purpose is to show why activation --
3
    example, Dutta teaches that the thickness of the
                                                                  or why encapsulation is needed. If you just put these
    non-porous polymer layer should be kept at its minimum
                                                                  particles in polyurethane, they become encased in
 5
                                                                  polyurethane. Even if they were to poke through the
    thickness to obtain maximum water vapor transmission
 6
                                                              6
    rate." And that is referring to Exhibit 1002 at
                                                                  surface, they are covered with polyurethane. And if
7
8
    Column 3, lines 15 to 22.
                                                                  you encapsulate them, you can't unencapsulate them.
               "Adding active adsorbant particles under
                                                                  So they poke through the surface as they do in the
    Haggquist to the Dutta membrane would increase the
                                                                  scintillation vial with the base material as
10
                                                             10
11
    thickness otherwise present in Dutta which Dutta
                                                             11
                                                                  Thickness 1 and the base material with activated
12
    discourages, so Dutta teaches away.
                                                             12
                                                                  carbon black as Thickness 2.
               "Similarly, Dutta teaches keeping the
                                                             13
                                                                            The amber material is an example of Dutta
13
14
    surface of the membrane smooth without particles on
                                                                  where you have a super hydrophilic particle in the
15
    the surface. See Exhibit 1002, Column 11, lines 1
                                                                  matrix of a polyurethane resin not poking through. So
    through 4. The '287 patent, on the other hand,
16
                                                             16
                                                                  the purpose is to show poking through versus not
17
    teaches exposing active particles on the surface of
                                                             17
                                                                  poking through. It's a macroscopic interpretation of
18
    the membrane to increase water vapor transmission
                                                             18
                                                                  what I have been seeing microscopically for 30 years
```

19

20

22

23

24

And that is related to the two aluminum pans

here where I'm describing Haggguist is teaching to

have the particles on the surface so that they are

exposed to the environment and can adsorb water, and

Dutta teaches to have a smooth surface; that Dutta is

described in the aluminum pan that is amber-colored,

19

20

21

22

23

rate."

under the electromicroscope with particles in carbon

them is a dark black color, and it is polyurethane

uncured. In the pans, the polyurethane is cured.

with activated carbon in it. And the purpose is it's

This is the same resin uncured with activated carbon.

There are four scintillation vials. One of

black and polymeric formulations.

```
Page 182
                                                                                                              Page 184
              So basically, it's the material that is in
1
                                                                 in there protruding through the surface. You would
    the Haggquist aluminum pan sample uncured. You can
                                                                 not comply with the definition.
 3
    see that uncured, the residue itself, the resin itself
                                                              3
                                                                            Therefore, cured is necessary. Cured base
    is dark, black-colored. The amber resin has picked up
                                                                 material meaning it is the material, the formula,
                                                              4
5
    the color of the carbon black from the fine particles
                                                                 without the activated carbon. So the next vial with
    that are in there.
                                                                 the only transparent is the cured-base material.
              The next three vials are uncured polyester
                                                                           When you have a cured-base material and you
8
    with activated carbon in it, and it's important
                                                                 put the activated carbon on top of it with -- mixed
    because the uncured polyester is liquid. I don't know
                                                                 with the base material, you get what is described in
9
    if it will still be liquid in February, but it is
                                                                 this patent in Claim 27. And it does not sink to the
10
                                                             10
11
    liquid today, and it has some viscosity to it. But
                                                             11
                                                                 bottom. You have a two-layer system. And the
    the activated carbon has sunk to the bottom, and it
                                                                 argument that it's not two-layered is just simply
13
    has a slight dark color to it.
                                                             13
                                                                 incorrect.
14
              The purpose of this example is, for one
                                                             14
                                                                            "A plurality of active particles in contact
15
    thing, to show that you have to have a two-layer
                                                             15
                                                                 with a liquid, impermeable, breathable, cured base
16
    system with what is described in Claim 27 because when
                                                             16
                                                                 material, plurality of particles comprising the second
                                                                 thickness." That is what they're about. There is
17
    you put the activated carbon in contact with the
                                                             17
    resin, it sinks to the bottom. If it sinks to the
                                                                also activated carbon. The intent of this is to show
19
    bottom, you don't have what is claimed. It's not
                                                                 on a macroscopic scale what I have been seeing for
20
    cured.
                                                                 30 years under the electromicroscope testing thousands
21
              Let's go to Claim 27. I don't even know if
                                                                 of polymeric samples that contain inorganic minerals,
22
    I have that in front of me anymore. Claim 27 reads,
                                                             22
                                                                 carbon black, and activated carbon and graphite.
23
    "A waterproof composition comprising a liquid,
                                                             23
                                                                           Is that what you asked?
24
    impermeable, breathable, cured base material
                                                             24
                                                                           Yes. Thank you.
25
    comprising a first thickness. If it is uncured,
                                                             25
                                                                           And then you have a container of activated
                                                Page 183
                                                                                                              Page 185
    whatever the activated carbon you put in contact with
                                                                 carbon; is that right?
    it sinks to the bottom."
                                                                      Α
3
                                                                           Can you tell me what is that activated
              So when they're saying cured, the polyester
                                                                 carbon and where is it from? What's -- what's on the
    resin is cured in the next scintillation vial, it has
    a clear, cured polymer, transparent. That is a
                                                                 label?
 5
    polyester, and it is to show the example of
                                                                          Everything here is from Walmart except the
6
                                                              6
                                                                      Α
7
    Thickness 1, the first thickness.
                                                              7
                                                                 scintillation vials and the aluminum pans.
8
              "A waterproof composition comprising a
                                                              8
                                                                           What kind of activated carbon is that?
    liquid, impermeable, breathable, cured base material
                                                              9
                                                                      Α
                                                                           This is Aqua-Tech Activated Carbon,
9
    comprising a first thickness." That is what's in this
                                                                 9 ounces, works in all aquarium filters.
10
                                                            10
11
    scintillation vial. "A plurality of active particles
                                                                                 (The court reporter clarified.)
                                                             11
12
    in contact with the liquid, impermeable, breathable,
                                                             12
                                                                           Works in all aquarium filters. It's
                                                                      Α
13
    cured base material, the plurality of active particles
                                                             13
                                                                 9 ounces.
    comprising a second thickness is what is in the next
                                                                           (By Mr. Jackson) Okay. And then to clarify,
14
15
    vial."
                                                             15
                                                                 none of your demonstratives are to scale. They're
16
              And this is very important because part of
                                                             16
                                                                 iust --
17
    this argument is about the definition of first
                                                             17
                                                                          No. They're intended to show you what I
18
    thickness and second thickness, and is there a first
                                                             18
                                                                 see -- show you on a macroscopic scale what I have
19
    thickness and second thickness?
                                                                 been seeing for 30 years analyzing polymeric materials
20
              And the answer is, it's written correctly.
                                                             20
                                                                 under the electromicroscope as it relates to this
21
    There has to be a first and second thickness according
                                                             21
                                                                 patent.
22
    to the way it is written because if you were to do
                                                             22
                                                                           MR. JACKSON: Okay. Thank you. I have no
23
    what they say without this being cured, without it
                                                             23
                                                                 further questions. Peter?
    being solid, the carbon black would settle to the
                                                             24
                                                                           MR. GERGELY: Yeah. I have some re-cross.
    bottom, and you would not have carbon black anywhere
                                                             25
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Page 186
                                                                                                              Page 188
                        [EXAMINATION]
                                                                 Thickness 2?
1
2
         BY MR. GERGELY:
                                                              2
                                                                       Q Correct. It wouldn't sink to the bottom.
3
              Sir, so you have these two vials, one where
                                                                  It would be -- it would be tantamount to a mixture or
    you've said that the activated carbon sinks to the
                                                                  a slurry; correct?
4
 5
    bottom. How much activated carbon was used in this
                                                              5
                                                                           If it were only a Thickness 2 composition
                                                                  which is similar to what's being created in the
    example? How many grams?
                                                                  patent? Is that what you're asking?
         Α
              It wasn't weighed.
8
              And how much polyurethane was used or
                                                              8
                                                                       Q
                                                                           Yeah.
                                                                           Like, in Table --
9
    polyester?
                                                                       Α
                                                                           No. I'm just asking you if you -- if you
10
         Α
              What you see.
                                                             10
                                                                       0
11
         0
              Okay. And then in this other sample which
                                                             11
                                                                 were to -- if you were to just take out this bottom
12
    shows two layers, how much polyurethane was used in
                                                                  layer of polyester in your two-layer example --
13
    the bottom -- or polyester?
                                                                          What you -- what you're looking at is
                                                             13
                                                                       Α
14
         A I intentionally poured these two layers as
                                                             14
                                                                  essentially two similar versions of what it would be.
15
    close as I could --
                                                             15
                                                                  This being 80 grams of polyurethane to 20 grams of
16
         0
             Okav.
                                                             16
                                                                  carbon and this one being different. They're
17
         Α
              -- with what I was doing at the time.
                                                             17
                                                                  different ratios.
                                                                           Okay. Well, sir, you could -- if you took
18
             Now, this top layer --
                                                             18
                                                                       0
19
              So they had the same thickness.
                                                             19
                                                                 out this bottom layer of polyester and you just had
         Α
              Now, this top layer, how much polyurethane
20
                                                                  this top layer of the polyester and activated carbon
                                                             20
21
    was used with the -- was less polyurethane used in the
                                                                  mixed together, the activated carbon particles would
22
    top than in the bottom?
                                                             22
                                                                  be exposed at the surface; correct?
23
         Α
              Yes.
                                                             23
                                                                      Α
                                                                          Correct.
24
         Q
              Okav.
                                                             24
                                                                       0
                                                                           Now --
25
         Α
              It's polyester.
                                                             25
                                                                           But my intent was to show Claim 27 and what
                                                                                                              Page 189
                                                 Page 187
              Polyester. Now, sir, if you -- did you use
                                                                  it would be. And it's -- Claim 27 starts with a cured
1
    about equal amounts of polyurethane with the activated
                                                                  base material.
                                                                           Sir, I'm moving on to a different question.
3
    carbon?
                                                              3
                                                                      0
             I didn't measure. The intent was to have an
                                                                       Α
                                                                           Okay.
    exemplar of what it looked like.
                                                                           So, now, as I understand based on
5
              Okay. Now, sir, if you simply put -- in the
                                                                 Mr. Jackson's questions, you said that Dutta teaches
6
         0
                                                              6
    two-layer example, if you got rid of the polyester
                                                                  away from adding active particles because that would
7
                                                              7
    layer on the bottom, if you simply put what appears to
                                                                  tend to make the membrane thicker; correct?
    be equal amounts of polyester and activated carbon
                                                                       Α
    into the vial, it wouldn't separate as you've shown in
                                                                           Now, the Sephadex particles in Dutta are
10
                                                            10
                                                                       Q
    this other vial because in this other vial, you've
                                                                  actually 20 to 50 microns; correct?
11
                                                             11
    shown much more polyurethane versus activated carbon;
                                                             12
                                                                      Α
                                                                           Yes.
13
    correct?
                                                             13
                                                                           And in the Haggquist '287 patent, the
                                                                       0
14
             I don't understand your question.
                                                                  activated carbon --
                                                             14
15
              Well, it's pretty obvious. This top layer
                                                             15
                                                                      Α
                                                                           You didn't --
16
    uses much less polyester than your example where
                                                             16
                                                                       0
                                                                           Let me finish, sir.
17
    you're showing the activated carbon sinking to the
                                                             17
                                                                       Α
                                                                           I'm sorry.
18
    bottom; correct?
                                                             18
                                                                       0
                                                                            -- the activated particles in Haggquist
19
         Α
              Yes.
                                                             19
                                                                  publication are on the order of 10 microns; correct?
20
         Q
              Okay. So is it apples and apples? If you
                                                             20
                                                                       Α
21
    were to use this amount, this, pointing to the
                                                             21
                                                                       Q
                                                                            So if you were to substitute the Haggquist
22
    two-layer example, if you were to use this amount of
                                                             22
                                                                  particles for the Sephadex particles, you would
23
    polyester and pour it into a vial and add the
                                                                  actually be making the membrane thinner, wouldn't you?
24
    equivalent amount of activated carbon --
                                                             24
                                                                            MR. JACKSON: Objection. Form.
25
                                                             25
                                                                           You could be.
         A To make only one layer of material,
```

	SIEPHEN U. CALL	ITATA	- 12/14/2010 Pages 190193
1	Page 190  Q (By Mr. Gergely) And in terms of	1	Page 192
2	smoothness		REPORTER CERTIFICATE
3	A May I speak? Not yet?	2	I, Carla M. Klaustermeier, Registered
4	Q Your counsel can redirect.		Professional Reporter, Certified Shorthand Reporter,
	_	4	Certified Court Reporter, and Certified Realtime Reporter, do hereby certify that there came before me
5	Sir, in terms of smoothness, you'll agree	5	at 10 South Broadway, Suite 1400, St. Louis, Missouri
6	that Dutta suggested that the Sephadex particles be at		63102,
7	least partially embedded; correct?	6	STEPHEN J. CALLAN,
8	A On one side. The other side being	8	
9	completely smooth.	9	who was by me first duly sworn; that the witness was carefully examined; that said examination was
10	Q Okay. And then he left one side one side	,	reported by myself, translated and proofread using
11	could be rough; correct?	10	computer-aided transcription; and the above transcript
12	A It said partially embedded. That's a vague	11	of proceedings is a true and accurate transcript of my notes as taken at the time of the examination of this
13	definition. But the point is the other side needs to		witness.
14	be smooth.	12	I further certify that I am neither attorney
15	Q Okay. Well, that's also true when you're	13	nor counsel for nor related nor employed by any of the
16	mixing together activated carbon and polyurethane.	1,4	parties to the action in which this examination is
17	One side's going to stick out, and the other side's	14	taken; further, that I am not a relative or employee of any attorney or counsel employed by the parties
18	going to be smooth; correct?	15	hereto or financially interested in this action.
19	A You are missing the point. The point of the	16 17	Dated December 16, 2018.
20	reason that Dutta says the side needs to be smooth is	18	
21	it needs to be the side that's exposed to the	19 20	Carla M. Klaustermeier
22	environment.	21	
23	Q Well, he doesn't say that.		Carla M. Klaustermeier, RPR, CSR, CCR, CRR
24	A Yes, he does.	22	
25	Q He does say that?	24	
		25	-
	Page 191		Page 193
1	A Yes.	1	COMES NOW THE WITNESS, STEPHEN J. CALLAN,
1 2	A Yes.  Q Okay. I will look at that reference and		COMES NOW THE WITNESS, STEPHEN J. CALLAN, and having read the foregoing transcript of the
1 2 3	A Yes.  Q Okay. I will look at that reference and double-check you.	1 2	COMES NOW THE WITNESS, STEPHEN J. CALLAN,
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### **ERRATA SHEET**

# **DEPONENT'S CHANGES OR CORECTIONS**

Deposition of: STEPHEN J. CALLAN

Case Title:

VF Outdoor, LLC v. Cocona, Inc.

Date of Deposition: December 14, 2018

# I, STEPHEN J. CALLAN, have the following changes or corrections to make to my deposition:

Page:Line	Changes or Corrections	Reasons for Changes or Corrections
5:21	Correction. Jeffrey should be changed to Jeffery	Incorrect spelling of name
6:9	Correction. Simon should be changed to Slammer	Deponent said "Slammer Missile," not "Simon Missile"
6:20-23	Correction.  Original: General Motors, Ford, and Chrysler. Failures I brought in when an entire factory shuts down to figure out why and prescribe corrective action to get it going again.  Corrected: General Motors, Ford and Chrysler failures. I was brought in when an entire factory shuts down to figure out why and prescribe corrective action to get it going again.	Corrected answer reflects what deponent said and intended answer
7:3-4	Correction.  Original: I've had my own after 30 years.  Corrected: I've had my own lab for 30 years.	Corrected answer reflects what deponent said and intended answer
7:15-17	Correction.	Corrected answer reflects what deponent said and intended answer

	Original: I can reverse polymeric materials down to propylene and tell you where they bought the wrong materials  Corrected: I can reverse engineer polymeric materials down to parts per million and tell you where they bought the raw materials	
10:25	Correction.  Original: development polymers  Corrected: development of polymers	Corrected answer reflects what deponent said and intended answer
33:6-10	Correction.  Original: A person of ordinary skill in the art would understand that Sephadex is an absorptive particle. This falls dramatically and absorbs 24 grams per gram of water as it swells.  Corrected: A person of ordinary skill in the art would understand that Sephadex is an absorptive particle that swells dramatically, and absorbs 24 grams per gram of water as it swells.	Corrected answer reflects what deponent said and intended answer
35:7	Correction. Absorb should be changed to adsorb	Deponent said adsorb, not absorb; corrected answer reflects what deponent said and intended answer
40:5	Correction. Adsorptive should be changed to absorptive	Deponent said absorptive, not adsorptive; corrected answer reflects what deponent said and intended answer
44:9-11	Clarification.  Mr. Gergely says he stated:  "Sir, when – when water is taken in by Sephadex, and you say – you say adsorb, is that water retained in Sephadex until it's dehydrated."	Clarification of deponent's answer; the clarification reflects what deponent intended answer to be based on question posed

	I responded "Some of it, yes" I heard "absorbed water" not "adsorbed water". That response was to absorbed water to Sephadex, not adsorbed water. I have not agreed that Sephadex adsorbs water and Mr. Gergely's question implies that I did. Mr. Gergely says on Line 21 of page 44 "I meant A-D". That is not what I heard.	
45:1-2	Correction.  Original: You don't need to do that to activate a carbon  Corrected: You don't need to do that to activated carbon	Corrected answer reflects what deponent said and intended answer
56:16	Correction. Adsorbed should be changed to absorbed	Deponent said absorbed, not adsorbed; corrected answer reflects what deponent said and intended answer
101:10	Correction.  Original: bring it to the tinting agent  Corrected: Bring it to add tinting agent	Corrected answer reflects what deponent said and intended answer
135:8-9	Correction.  Original: But that test itself is a reaction with hydrochloric acid boiling do change the carbon black  Corrected: But that test itself is a reaction with boiling hydrochloric acid to change the carbon black	Corrected answer reflects what deponent said and intended answer
141:4-5	Correction.  Original: My position is that carbon blacks, the pores are filled with organic residues  Corrected: My position is that in carbon blacks, the pores are filled with organic residues	Corrected answer reflects what deponent said and intended answer

146:12-14	Correction.  Original: When you add the dots, the moisture vapor transmission rate does down dramatically  Corrected: When you add the dots, the moisture vapor transmission rate goes down dramatically	Corrected answer reflects what deponent said and intended answer
153:11	Correction. Absorption should be changed to adsorption	Corrected answer reflects what deponent said and intended answer
181:19	Correction. Electromicroscope should be changed to Electron Microscope	Corrected answer reflects what deponent said and intended answer
184:20	Correction. Electromicroscope should be changed to Electron Microscope	Corrected answer reflects what deponent said and intended answer
185:20	Correction. Electromicroscope should be changed to Electron Microscope	Corrected answer reflects what deponent said and intended answer

DEPONENT'S SIGNATURE:

Stephen J. Callan
DATE: 1/8/19