Docket No.: 763208012US3 (PATENT) IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Lik Hon

Application No.: 13/740,011

Filed: January 11, 2013

Confirmation No.: 5634

Art Unit: 2833

For: ELECTRONIC CIGARETTE

Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Madam:

The references listed on the enclosed Form PTO-SB/08 may be material to the examination of this application; the Examiner is requested to make them of record in the application. Copies of all foreign and non-patent literature cited references are enclosed.

Those documents which are marked with a double asterisk (**) next to the Cite No. in the attached form PTO/SB/08 are not supplied because they were previously cited by or submitted to the Office in prior application numbers 13/079,937 filed April 5, 2011, 12/226,818 filed October 29, 2008 and relied upon in this application for an earlier filing date under 35 U.S.C. 120.

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76320-8012.US03/LEGAL25667903.1

The Information Disclosure Statement submission fee of \$180.00 is authorized to be charged to our Deposit Account No. 50-2586 and is being paid herewith.

Dated: January 25, 2013

Respectfully submitted,

By: <u>/KennethHOhriner/</u> Kenneth H. Ohriner Registration No.: 31,646 PERKINS COIE LLP P.O. Box 1208 Seattle, Washington 98111-1208 (310) 788-3250 (206) 359-9000 (Fax) Attorney for Applicant

Electronic Acl	knowledgement Receipt
EFS ID:	14800494
Application Number:	13740011
International Application Number:	
Confirmation Number:	5634
Title of Invention:	ELECTRONIC CIGARETTE
First Named Inventor/Applicant Name:	Lik Hon
Customer Number:	34055
Filer:	Kenneth H. Ohriner/Amy Candeloro
Filer Authorized By:	Kenneth H. Ohriner
Attorney Docket Number:	76320.8012.US03
Receipt Date:	26-JAN-2013
Filing Date:	
Time Stamp:	16:49:47
Application Type:	Utility under 35 USC 111(a)

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File Listing:						
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Transmittal Letter		13-01-25_IDS_Letter_763208 012US3.pdf	65979	no	2
			012035.pu1	c025b393897dc986da1ccefe30e451f840ce 0895		
Warnings:						

2	Information Disclosure Statement (IDS) Form (SB08)	2013-01-25_1449_763208012U	215749	no	13
-	Form (SB08)	S3.pdf	c83018e110c94445968afde64163f929668b 0d8a		
Warnings:					
Information	:				
This is not an l	JSPTO supplied IDS fillable form				
		Total Files Size (in bytes)	: 21	81728	
<u>New Applica</u> If a new app 1.53(b)-(d) a	s described in MPEP 503. <u>ations Under 35 U.S.C. 111</u> lication is being filed and the applica nd MPEP 506), a Filing Receipt (37 CF	R 1.54) will be issued in due	•	-	
<u>New Applica</u> If a new app 1.53(b)-(d) a Acknowled <u>c</u>	<u>ations Under 35 U.S.C. 111</u> lication is being filed and the applica nd MPEP 506), a Filing Receipt (37 CF Jement Receipt will establish the filin	R 1.54) will be issued in due g date of the application.	•	-	
New Applica If a new app 1.53(b)-(d) a Acknowled <u>c</u> National Sta If a timely su U.S.C. 371 a	<u>ations Under 35 U.S.C. 111</u> lication is being filed and the applica nd MPEP 506), a Filing Receipt (37 CF	R 1.54) will be issued in due og date of the application. <u>Inder 35 U.S.C. 371</u> of an international application orm PCT/DO/EO/903 indicational	course and the date s ion is compliant with ing acceptance of the	shown on th the condition application	nis ons of 35

Receipt date: 01/26/2013

PTO/SB/08a (07-09) Approved for use through 07/31/2012. OMB 0651-0031

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Sub	stitute for form 1449/PTO			Application Number	13/740,011-Conf. #5634
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IN	FORMATION	וח נ	SCI OSURE	First Named Inventor	Lik Hon
				Art Unit	2833
STATEMENT BY APPLICANT				Examiner Name	Not Yet Assigned
Sheet	1	of	13	Attomey Docket Number	763208012US3

			U.S. PA	TENT DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ² (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	US-20030108342-A1	06-12-2003	Sherwood et al.	
	A2	US-20040182403-A1	09-23-2004	Andersson et al.	
	A3	US-20040261802-A1	12-30-2004	Griffin et al.	
	A4	US-20050016550-A1	01-27-2005	Katase	
	A5	US-20050236006-A1	10-27-2005	Cowan	
	A6	US-20060196518	09-07-2006	Hon	
	A7	US-20080276947-A1	11-13-2008	Martzel	
	A8	US-20090095311-A1	04-16-2009	Han	
	A9	US-20090126745-A1	05-21-2009	Hon	
	A10	US-20090151717-A1	06-18-2009	Bowen et al.	
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	A12	US-20090230117-A1	09-17-2009	Fernando et al.	
	A13	US-20090260642-A1	10-22-2009	Monsees et al.	
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	A15	US-20100031968-A1	02-11-2010	Sheikh et al.	
	A16	US-20100126505-A1	05-27-2010	Rinker	
	A17	US-20100181387-A1	07-22-2010	Zaffaroni et al.	
	A18	US-20100200008-A1	08-12-2010	Taieb	
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	B1**	CN-101116542-A CN20071121524	02-06-2008	Chinese Aca Physics	d Tech Inst		
	B2**	CN-101176805-A	05-14-2008	Dafubao Inte	rnat Co Ltd		
	B3**	CN-1135860	11-20-1996	Du, Xu			
	B4**	CN-1252961-A	05-17-2000	Song, Guoqia	ang		
	B5**	CN-1575673-A CN200410048792.6 1284493C	02-09-2005	Seiko Epson	Corp		
	B6**	CN-200997909-Y CN20062135072U	01-02-2008	Wang, Yumir	ı		
Examine		/Dionne Walls Mayes/			Date Considered	01/24/2015	

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IN	FORMATION	וח ו	SCLOSURE	First Named Inventor	Lik Hon
				Art Unit	2833
J	STATEMENT BY APPLICANT			Examiner Name	Not Yet Assigned
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	A21	US-20110005535-A1	01-13-2011	Xiu	
	A22	US-20110036346-A1	02-17-2011	Cohen et al.	
	A23	US-20120111347-A1	05-10-2012	Hon	
	A24	US-1,775,947	09-16-1930	Robinson	
	A25	US-2,057,353	10-13-1936	Whittemore	
	A26	US-2,631,219	03-10-1953	Suchy	
	A27	US-3,200,819	08-17-1965	Gilbert	
	A28	US-4,171,000	10-16-1979	Uhle	
	A29	US-4,228,925	10-21-1980	Mendelovich	
	A30	US-4,641,053	02-03-1987	Takeda	
	A31	US-4,735,217	04-05-1988	Gerth et al.	
	A32	US-4,756,318	07-12-1988	Clearman et al.	
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	A34	US-4,819,665	04-11-1989	Roberts et al.	
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	A36	US-4,945,929	08-07-1990	Egilmex	
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Examiner	Cite	Foreign Patent Document	Publication	Name	of Patentee or	Pages, Columns, Lines,	
Initials*	No.1	Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Date MM-DD-YYYY		f Cited Document	Where Relevant Passages Or Relevant Figures Appear	T ⁶
	B7**	CN-2047485-U CN89207339.X	11-15-1989	Yuzhong, Zh	ao		
	B8**	CN-2293957-Y CN7216131	10-14-1998	Yuzhong, Zh	ao		
	B9**	CN-2777995-Y CN200520089947.0	05-10-2006	Li, Han			
	B10**	DE-10051792-A1	05-08-2002	Puellen, Rair	ner		
	B11**	EP-0057243-A1	08-11-1982	Niemann, Ho	orst		
	B12**	EP-0230420-A1	08-05-1987	Nilsson Sven Erik Lennart et al.			
Examine Signature		/Dionne Walls Mayes/			Date Considered	01/24/2015	

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Sub	ostitute for form 1449/PTO			Application Number	13/740,011-Conf. #5634	
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	STATEMENT BY APPLICANT			Examiner Name	Not Yet Assigned	
Sheet	3	of	13	Attomey Docket Number	763208012US3	

			U.S. PA	TENT DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ² (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A40	US-5,060,671	10-29-1991	Counts et al.	
	A41	US-5,080,114	01-14-1992	Rudolph et al.	
	A42	US-5,095,921	03-17-1992	Losee et al.	
	A43	US-5,144,962	09-08-1992	Counts et al.	
	A44	US-5,159,940	11-03-1992	Hayward et al.	
	A45	US-5,190,060	03-02-1993	Gerding et al.	
	A46	US-5,224,498	07-06-1993	Deevi et al.	
	A47	US-5,249,586	10-05-1993	Morgan et al.	
	A48	US-5,261,424	11-16-1993	Sprinkel, Jr.	
	A49	US-5,285,798	02-15-1994	Banerjee et al.	
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	A51	US-5,388,594	02-14-1995	Counts et al.	
	A52	US-5,438,978	08-08-1995	Hardester, III	
	A53	US-5,497,791	03-12-1996	Bowen et al.	
	A54	US-5,505,214	04-09-1996	Collins et al.	
	A55	US-5,591,368	01-07-1997	Fleischhauer et al.	
	A56	US-5,666,977	09-16-1997	Higgins et al.	
	A57	US-5,666,978	09-16-1997	Counts et al.	
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Fuerciner	Cito	Foreign Patent Document	Publication	Name of Patente	e or	Pages, Columns, Lines,					
Examiner Cite Initials* No. ¹	Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY	Applicant of Cited D		Where Relevant Passages Or Relevant Figures Appear	T ⁶					
	B13**	EP-0295122-A2	12-14-1988	Imp Tobacco Co Lto	d		\square				
	B14**	EP-0342538-A2	11-23-1989	Reynolds Tobacco	Co R		\square				
	B15**	EP-0358002-A2	03-14-1990	Reynolds Tobacco	Co R		\square				
	B16**	EP-0545186-A2	06-09-1993	Reynolds Tobacco	Co R		\Box				
	B17**	EP-0703735-A1	04-03-1996	Philip Morris Prod			\square				
	B18**	EP-0824927-A2	02-25-1998	Belli, Guido							
Examiner Signature		/Dionne Walls Mayes/		Date Consid	ered	01/24/2015					

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	A59	US-5,743,251	04-28-1998	Howell et al.	
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	A61	US-5,799,663	09-01-1998	Gross et al.	
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	A66	US-6,041,789	03-28-2000	Bankert et al.	
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	A75	US-6,715,494	04-06-2004	McCoy	
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	B19**	EP-0845220-A1	06-03-1998	Japan Tobacco Inc		
	B20**	EP-0893071-A1	01-27-1999	Japan Tobacco Inc		\square
	B21**	EP-0951219-A1	10-27-1999	Philip Morris Prod		
	B22**	EP-0295122-B1	01-22-1992	Imperial Tobacco Limited		
	B23**	GB-1528391-A	10-11-1978	Gildemeister V		
	B24**	JP-06114105-A	04-26-1994	Iguchi, Masanobu		\square
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Examiner Signature		/Dionne Walls Mayes/		Date Considered	01/24/2015	

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	A83	US-7,726,320	06-01-2010	Robinson et al.	
	A84	US-7,832,410	11-16-2010	Hon	
	A85	US-7,845,359	12-07-2010	Montaser	
	A86	US-7,997,280	08-16-2011	Rosenthal	
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	B25**	JP-07506999	08-31-1995	Medix Electronics		
	B26**	JP-09075058-A	03-25-1997	Nagai, Masaya		
	B27**	JP-64000498-U	01-05-1989	N/A		
	B28**	UA-47514	12-29-1997	Brown & Williamson Tobacco		
	B29**	WO-1994009842-A1	05-11-1994	Rosen, Charles A		
	B30**	WO-1994021317-A1	09-29-1994	Xetel Corp		
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				Filing Date	January 11, 2013		
IN	FORMATION	וח נ	SCLOSURE	First Named Inventor	Lik Hon		
	TATEMENT			Art Unit	2833		
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			U.S. PA	FENT DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

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	B31**	WO-1997040876-A2	11-06-1997	Schuckmann Alfred	Von					
	B32**	WO-1997048293-A1	12-24-1997	Japan Tobacco Inc	et al.					
	B33**	WO-1998017130-A1	04-30-1998	Philip Morris Prod						
	B34**	WO-2000049901-A2	08-31-2000	Reemtsma H F & P	h et al.					
	B35**	WO-2001005459-A1	01-25-2001	Aradigm Corp						
	B36**	WO-2003022364	03-20-2003	Marioff Corporation						
Examine Signature		/Dionne Walls Mayes/		Date Consid	lered	01/24/2015				

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	B38**	WO-2003055486	07-10-2003	Pharmacia A	Β		П
	B39**	WO-2003101454	12-11-2003	Pharmacia A	Β		\square
	B40**	WO-2004001407	12-31-2003	Asthma Alert	t Ltd		П
	B41**	WO-2004023222	03-18-2004	Snyder			\square
	B42**	WO-2004080216	09-23-2004	Best Partners Worldwide			П
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Examiner	Cite	Foreign Patent Document	of Patentee or	Pages, Columns, Lines,			
Initials*	No. ¹	Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Date MM-DD-YYYY		of Cited Document	Where Relevant Passages Or Relevant Figures Appear	T ⁶
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	B44**	WO-2007078273	07-12-2007	Augite Incorp	poration		
	B45**	WO-2008077271	07-03-2008	Maas			\square
	B46**	WO-2008130813	10-30-2008 Sottera, Inc.				\square
	B47** WO-2009118085 10-01-2009 Philip Morris						
	B48**	WO-2009135729	11-12-2009	British Ameri	ican Tobacco		
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Examiner	Cite	Foreign Patent Document	Publication Date	Name	of Patentee or	Pages, Columns, Lines, Where Relevant Passages	
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	B49**	WO-2010052323	05-14-2010	Inhaleness E	3.V.		
	B50**	WO-2010145468	12-23-2010	Wenbo Li			\Box
	B51**	WO-2010145805	12-23-2010	Zetzig AB			
	B52**	WO-2011010334			\Box		
	B53**	WO-2011022431			\Box		
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	C1**	CN CREATIVE AND INTELLICIG USA, Ruyan v. Smoking Everywhere et al. CV11-6268 Invalidity Contentions, April 12, 2012.						
	C2**	CN03111582.9, English Machine Translation corresponding to priority document of Hon '955.						
	C3**	CN200420031182, English Machine Translation corresponding to priority document of Hon '494.						
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	C5**	EUROPEAN PATENT OFFICE, extended European Search Report for EP07721148, December 6, 2010.						
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C8** EUROPEAN PATENT OFFICE, Supplemental Partial Extended European Search Re EP04718242, May 22, 2007.								
C9** EUROPEAN PATENT OFFICE, Supplementary Extended European Search Report for EP05729107, July 31, 2007. C10** EUROPEAN PATENT OFFICE, Supplementary Partial Extended European Search Report for EP05729107, May 22, 2007.								

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	C12**	Introduction to selecting and using electronic components, ISBN7-111-13752-3.	
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	Signature		Considered	01/27/2015

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C25** SOTTERA, INC., Ruyan v. Smoking Everywhere et al. CV11-0367 Invalidity Content Exhibit 7 (Claim 20 Claim Chart), April 12, 2012.				ity Contentions		
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	C28**	STATE INTELLECTUAL PROPERTY OFFICE, P.R. CHIN Opinion for PCT/CN07/001576, August 3, 2007.	NA, English tran	slation of Written		
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