	BOSTOII, MA	Page 1
1	UNITED STATES PATENT AND TRADEMARK OFFICE	
2	BEFORE THE PATENT TRIAL AND APPEAL BOARD	
3		
4	INTUITIVE SURGICAL, INC.,	
5	Petitioner,	
6	v.	
7	ETHICON, LLC,	
8	Patent Owner.	
9		
10		
11	Case IPR2018-01254 U.S. Patent No. 8,479,969	
12	0.5. Facenc No. 0,479,909	
13		
14		
15	DEPOSITION OF BRYAN KNODEL, PH.D.	
16	April 4, 2019	
17	9:31 a.m.	
18	Fish & Richardson	
19	One Marina Park Drive	
20	Boston, Massachusetts	
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24		
25	Reporter: Julie Thomson Riley, RDR, CRR -	

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2 MORNING SESSION 3 9:31 a.m. 4 5 6 BRYAN D. KNODEL, PH.D., having been satisfactorily identified and duly sworn 7 8 by the Notary Public was examined and testified as 9 follows: 10 DIRECT EXAMINATION BY MR. DESAI: 11 12 Could you state your name for the record. Q. 13 A. Bryan Knodel. 14 And you were deposed yesterday in the 1247 Q. IPR; is that right? 15 Α. 16 The Anderson case, yes. 17 Okay. And for today's deposition, you Ο. have a binder in front of you with the exhibits in 18 the 1254 IPR, which is the Giordano-based IPR; is 19 20 that right? 21 A. Yes, I do. Including your declaration? 22 Q. Yes, I do. 23 Α. 24 Okay. Why don't you -- before we do that, Q. 25 what did you do to prepare today for this deposition?

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1 A. I looked over my declaration and the cited

- 2 references in it.
- 3 Q. And when did you do that?
- 4 A. Last night.
- 5 Q. Okay. Did you meet with your attorney to
- 6 talk about the case?
- 7 A. I did. Yesterday afternoon.
- 8 Q. For how long?
- 9 A. Two hours.
- 10 Q. Okay. Do you have any corrections to make
- 11 to your declaration, which is Exhibit 1005?
- 12 A. Not that I'm aware of.
- 13 Q. Okay.
- MR. KATZ: Do you happen to have an extra
- 15 copy of that one?
- MR. DESAI: Yes I do. Sorry.
- 17 MR. KATZ: I think it would be helpful if
- 18 you have one.
- 19 Great.
- MR. DESAI: Okay. I'll hand out these.
- 21 This is the -- you already have the Giordano. I'll
- 22 give this to --
- MR. KATZ: Thank you.
- 24 THE WITNESS: Okay.
- 25 BY MR. DESAI:

1 Q. Okay. So for claim 24, that starts in

- 2 your declaration at page or let's say paragraph
- 3 I think it's 122; is that right?
- 4 A. That is correct.
- 5 Q. Okay. And as I understand your combination,
- 6 it's the Shelton stapler, adapted for use with
- 7 Giordano's articulation mechanism, and then
- 8 Wallace's surgical robot system; right?
- 9 A. Yes.
- 10 Q. Okay. I'd like you to take a look at
- 11 Figure 5 of Giordano, which actually is on the next
- 12 page in your declaration. Okay. And then at the
- 13 same time, if you could look at Figure 11 of
- 14 Shelton.
- 15 A. Mm-hmm.
- 16 Q. Okay.
- 17 A. Okay.
- 18 Q. So Shelton stapler, Figure 11, includes a
- 19 firing bar 14 that moves linearly to fire the
- 20 stapler; is that right?
- 21 A. That's correct.
- Q. Okay. And Giordano's stapler includes a
- 23 rotary drive assembly that is formed of at least the
- 24 main drive shaft 48 --
- A. Mm-hmm.

- 1 Q. -- bevel gear assembly 52 --
- 2 A. Mm-hmm.
- 3 Q. -- secondary drive shaft 50, drive gear
- 4 54, proximal drive gear 56 and helical screw shaft
- 5 36, and those are used to fire the stapler; right?
- 6 A. I didn't see the last few numbers that
- 7 you -- you went pretty fast. Can you -- I was
- 8 following you up to the very end, the secondary
- 9 shaft, 36, and then what was after that? Sorry.
- 10 Q. No, yeah, I'll do it again. I'll try to
- 11 do it slower.
- 12 A. Okay.
- Q. Okay. So Giordano stapler has a rotary
- 14 drive assembly for firing the stapler that includes
- 15 the main drive shaft 48, bevel gear assembly 52,
- 16 secondary drive shaft 50, drive gear 54 --
- 17 A. Yes.
- 18 Q. -- proximal drive gear 56, and then
- 19 helical screw shaft 36.
- 20 A. Right. I followed it. Okay. Thank you.
- 21 O. And those are used to fire the stapler?
- 22 A. That's correct.
- 23 Q. Okay. And as I understand your declaration,
- 24 you're saying that the articulation mechanism of
- 25 Giordano would be incorporated into the Shelton

- 1 stapler, so in your proposed combination, the firing
- of the stapler would be accomplished using Shelton's
- 3 firing rod; is that right?
- 4 A. It could be.
- 5 Q. Well, what's your opinion in your
- 6 combination?
- 7 A. Oh, I didn't -- in my opinion that I
- 8 formulated, I didn't feel like I needed to
- 9 distinguish, because either one -- I wanted to use
- 10 the -- I wanted to use the articulation of Giordano,
- 11 but whether I stuck with the firing bar of Shelton
- 12 or the rotary drive of Giordano, I felt was
- 13 not -- either one could have worked with that
- 14 articulation.
- 15 Q. So when you say the Shelton stapler --
- A. Mm-hmm.
- 17 Q. -- what do you mean?
- I mean because in your declaration, you
- 19 say the Shelton stapler would be adapted for use in
- 20 Giordano's articulation mechanism. What do you mean
- 21 by the Shelton stapler?
- 22 A. The mechanisms of the Shelton stapler, but
- 23 my recollection is that there's a lot of -- you
- 24 know, they -- the Shelton stapler had used a firing
- 25 bar. The Giordano used a rotary drive, and they

- 1 were -- they both accomplished the same purpose.
- 2 They advanced the wedge and knife.
- 3 O. Right. So but in your declaration, when
- 4 you tell the Board, I'm combining the Shelton
- 5 stapler, what do you mean?
- 6 A. Okay.
- 7 Q. What parts of the Shelton stapler?
- 8 A. The -- I believe that there's -- when I'm
- 9 combining -- first of all, I'm combining all three.
- 10 I'm combining Giordano and Shelton and Wallace, in
- 11 this -- that's what you started with.
- 12 You said -- I'll reread it: One
- 13 of -- "specifically one of ordinary skill in the art
- 14 would have understood that the Shelton stapler
- 15 adapted for use with Giordano's articulation
- 16 mechanism and Wallace's surgical robot disclose this
- 17 claim."
- 18 It's the combination of the three; right?
- 19 So I'm not -- I wasn't specifically trying to
- 20 identify this specific component versus this -- it's
- 21 the concepts of Giordano's articulation, Shelton's
- 22 rotary inputs and -- in the handle that lead to
- 23 driving, and those rotary inputs can rotary drive a
- 24 linear actuation or you can keep them rotary and
- 25 drive the helical screw, and Wallace has the adapter

1 to the robot. So I wasn't trying to identify -- this

- 2 exact part from Shelton is what I was considering.
- 3 That was not what I did.
- 4 Q. Okay. So when you said Shelton stapler,
- 5 you meant you're picking parts of the Shelton
- 6 stapler, parts of the Giordano stapler --
- 7 A. And parts of Wallace.
- 8 Q. -- and parts of Wallace?
- 9 A. Yes, that's what I mean.
- 10 Q. But what part of -- when you say the
- 11 Shelton stapler here, what part are you referring to
- 12 or parts?
- 13 A. I didn't specifically try to identify
- 14 those.
- Okay. You've explained, I think, that the
- 16 articulation mechanism of Giordano that you're
- incorporating includes the articulation control 16
- 18 and the articulation pivot 14; right?
- 19 A. Can you point to my --
- Q. Right. Yeah. So let's see, in
- 21 paragraph 122, you say Giordano's articulation
- 22 mechanism, and then you say see above claim 11;
- 23 right?
- 24 A. Okay.
- Q. You got that?

1 A. Yes, I see that.

- Q. And if we go to paragraph 117, that's
- 3 claim 11; right?
- 4 A. Yes.
- Q. Okay. And at the bottom there, you define
- 6 Giordano's articulation mechanism is including,
- 7 among other things, the articulation control 16 and
- 8 the articulation pivot 14; right?
- 9 A. I do identify that the Giordano's
- 10 articulation mechanism includes, among other things,
- 11 these controls 16, yes, and articulation pivot 14,
- 12 yes.
- Q. Okay. Looking at Shelton Figure 11.
- MR. KATZ: That's right here.
- 15 O. Yeah. Thank you.
- 16 How would the articulation pivot be
- 17 integrated into this device?
- 18 A. The outer shaft 32 of Shelton is replaced
- 19 with the assembly of outer tube comprising 44 and
- 20 42.
- 21 Q. And you would maintain or you could
- 22 maintain, in your view, the firing rod 14; right?
- 23 You wouldn't change that?
- 24 A. Fourteen. I am not -- I didn't make a
- 25 determination whether I would want to or needed to.

1 Maybe; maybe not.

- Q. The Shelton stapler, am I correct, that it
- 3 is intended to be actuated by a clinician first
- 4 drawing back a closure trigger to close the jaws and
- 5 then drawing back the firing trigger to fire the
- 6 stapler?
- 7 A. That is my understanding as well.
- 8 Q. Okay. For the Shelton stapler does the
- 9 clinician have to pump the trigger more than once
- 10 to fire or does pulling the trigger all the way
- 11 complete a full firing? Do you know?
- 12 A. I don't recall. Did I -- did I comment on
- 13 that?
- 14 Q. I don't think you did. I'm asking if you
- 15 know.
- 16 A. I don't recall.
- 17 Q. Okay. Are you able to determine that by
- 18 looking at the Shelton stapler in the patent?
- 19 A. Perhaps, if I read through it.
- 20 (Pause.)
- 21 A. I don't know that I -- the -- I am hesitant
- 22 to try to state this because this patent references
- other patents that may be included, and I don't
- 24 think that that's something that I have time to
- 25 delve into right now.

- Q. Okay. What about Figure 6 of Shelton,
- 2 taking a look at that, and Figure 8? Limit it to
- 3 that. Do those figures instruct you as to how the
- 4 firing would work as to how many times the user
- 5 needs to pull the trigger?
- 6 A. From Figure 6, I would deduce that the
- 7 firing -- the closure trigger is pulled once, and
- 8 the firing trigger is pulled once.
- 9 Q. Okay. In paragraph 127 of your declaration,
- 10 that's where you address what you've labeled as
- 11 limitation 24.2.2.
- 12 A. Yes.
- 13 Q. Okay. And your primary position here is
- 14 that the Shelton stapler with the Giordano
- 15 articulation mechanism satisfies this limitation;
- 16 right?
- 17 A. Giordano, in view of Wallace, where the
- 18 Shelton stapler with Giordano's articulation
- 19 mechanism, yes.
- Q. Right.
- A. Mm-hmm.
- Q. But then you have a sentence at the very
- 23 end of the paragraph that says "Moreover, Wallace,
- 24 likewise, teaches a surgical instrument with an
- 25 articulation joint providing articulation about an

1 axis transverse to the longitudinal axis, and thus

- 2 Wallace likewise provides the necessary teaching to
- 3 add articulation to the Shelton stapler."
- 4 Do you see that?
- 5 A. I do see that.
- 6 Q. Okay. Are you proposing an alternative
- 7 combination here where the Shelton stapler utilizes
- 8 the platform wrist mechanism that's described in
- 9 Wallace as opposed to the Giordano articulation
- 10 pivot?
- 11 A. What I'm proposing is that Wallace, that
- 12 the Wallace articulation joint creates a geometric
- 13 relationship where the axis is transverse to the
- 14 longitudinal tool.
- Okay. You didn't answer my question.
- 16 A. Oh, I'm sorry.
- 17 Q. You answered a different question.
- 18 A. Okay.
- 19 Q. I'll try again.
- 20 Are you proposing a combination here where
- 21 the Shelton stapler utilizes the platform wrist
- 22 mechanism that's described in Wallace as opposed to
- 23 the Giordano articulation pivot?
- 24 A. I'm not sure I understand the question. I
- 25 know this is the third time you've asked it, but.

1 Q. Okay. In your previous paragraph you

- 2 explain how the Shelton stapler will utilize a
- 3 Giordano articulation mechanism which we've
- 4 discussed as the articulation control 16 at least
- 5 and the articulation pivot 14; right?
- 6 A. No. No, that's -- if you understood me to
- 7 say that, I wasn't saying that we would use that
- 8 exact articulation control 16. Sixteen is out on
- 9 the shaft, and it's -- it's -- I wasn't saying we're
- 10 using 16 specifically.
- 11 Q. So you're changing your declaration?
- 12 A. No.
- Can you show me where I said -- identified
- 14 specifically part No. 16.
- 15 Q. I think we just went through that.
- 16 A. Okay. Let's do it again.
- 17 Q. Paragraph 117.
- 18 A. Okay. I identify that that is the
- 19 mechanism of Giordano, but I'm not specifically
- 20 saying that I'm using all the -- I'm not identifying
- 21 the pieces of -- when I'm saying that that is -- in
- 22 Giordano, 16 is the articulation control; that is
- 23 true. What I'm not saying that it is in a
- 24 combination that 16 is a part that I have identified
- 25 that I'm using. That's the clarification I'm

1 making.

- Q. And so when you said or Giordano disclosed
- 3 an articulation mechanism, including among other
- 4 things, an articulation control 16 and articulation
- 5 pivot 14 --
- 6 A. Right.
- 7 Q. -- you said that right?
- 8 A. I did say that, yes.
- 9 Q. And then later on in your declaration, you
- 10 say the Shelton stapler with Giordano's articulation
- 11 mechanism. That's what you said, paragraph 127?
- 12 A. I understand that.
- Q. Right. And so now you're changing
- 14 this -- hold on. Let me just --
- MR. KATZ: And hold on because I'm going
- 16 to object. So hold your horses.
- 17 Q. You're changing or you're modifying
- 18 paragraph 127 now, so that what you're saying here
- is when you're saying Giordano's articulation
- 20 mechanism, you're not referring to the control 16?
- MR. KATZ: And objection to form.
- 22 Argumentative and mischaracterizes testimony.
- 23 A. I'm saying that -- what I'm saying in
- 24 paragraph 17 is relative to Figure No. 2. I'm
- 25 identifying the articulation control in the pivot,

1 among other things, so that the reader can say, oh,

- 2 here's the articulation joint and its control.
- 3 Later when I say I want to use Giordano's
- 4 articulation, I didn't identify all the elements of
- 5 the articulation. I identified them relative to
- 6 Figure 2 for identification, so I'm not specifically
- 7 saying that the combination requires the specific
- 8 component 16. That's what I'm trying to clarify.
- 9 Q. Okay.
- 10 A. Okay.
- 11 Q. All right. So let's now go back to the
- 12 other question I was asking --
- 13 A. Okay.
- 14 Q. -- which is where you say at the end of
- paragraph 127, are you proposing a combination here
- 16 where the Shelton stapler would utilize the platform
- 17 wrist mechanism that's described in Wallace?
- 18 A. I'm -- yes, so Wallace would provide a
- 19 longitudinal -- an axis -- Wallace articulation
- 20 would create an axis transverse to the longitudinal
- 21 tool if that were -- if that were included in a
- 22 combination with Shelton.
- Q. So if someone were to use the platform
- 24 wrist mechanism in Wallace with the Shelton stapler,
- 25 you're saying that would be -- allow you to

1 articulate transverse?

- 2 A. Yes.
- 4 A. That's what I'm saying.
- 5 Q. Is it your position that somebody would --
- 6 a person of ordinary skill in the art would use the
- 7 platform wrist mechanism in Wallace with the Shelton
- 8 stapler?
- 9 A. I didn't really -- I didn't consider the
- 10 question from that perspective. It wasn't a
- 11 question of would. It was a question of could.
- 12 Q. Have you explained here in your declaration
- 13 how the Shelton stapler with the platform wrist
- 14 mechanism of Wallace would have a proximal spine
- 15 portion pivotally coupled to a distal spine portion
- 16 at an articulation joint?
- 17 A. Once, again, it might be faster if you
- 18 point to where you're -- which claim are you referring
- 19 to?
- Q. Well, I'm talking about limitation 24.2.2 --
- 21 A. Okay.
- 22 Q. -- and your only paragraph about that is
- 23 paragraph 127.
- 24 A. Okay.
- Q. And so do you think you've explained here

- 1 how the Shelton stapler with the Wallace platform
- 2 wrist would have a proximal spine portion pivotally
- 3 coupled to a distal spine portion at an articulation
- 4 joint?
- 5 A. The Wallace articulation joint has
- 6 something beyond it that it's driving, and if we
- 7 look at Wallace --
- 8 Q. Well, before you do that, before you -- I'll
- 9 let you do that in a second.
- 10 A. Yeah.
- 11 Q. Okay. But first answer my question. Do
- 12 you think you've explained that here in paragraph 127?
- 13 A. I think it -- I think I explained it to
- 14 the -- I guess it seemed obvious to me.
- 15 O. You're not answering my question.
- 16 A. Okay.
- 17 Q. My question is do you think you've
- 18 explained it here in paragraph 127?
- 19 A. I felt that I -- 127 was clear in my
- 20 intention.
- 21 Q. You think it's clear in paragraph 127 that
- 22 you've explained how the Shelton stapler with the
- 23 Wallace platform wrist has a proximal spine portion
- 24 pivotally coupled to a distal spine portion at an
- 25 articulation joint?

1 A. What I wrote I felt was sufficient for

- 2 someone to look at then what does Wallace have?
- 3 What does Shelton have? And that the combination
- 4 met the limitations of that claim.
- 5 Q. Okay. Where in Wallace have you pointed
- 6 us to?
- 7 A. I didn't specifically point to Wallace. I
- 8 didn't try to identify a component by number that
- 9 said this is the distal spine portion.
- 10 Q. We talked about Wallace a bit yesterday.
- 11 I don't remember if we covered this or not. You'd
- 12 agree that the purpose of Wallace is to provide a
- 13 platform wrist mechanism that provides three degrees
- 14 of movement of an end effector?
- MR. KATZ: Objection to form.
- 16 A. I don't -- I don't recall that statement
- 17 being made, no. Three degrees of freedom.
- 18 Q. You don't recall that being said in
- 19 Wallace?
- 20 A. Three degrees of freedom, no, that's --
- 21 Q. I didn't say three degrees of freedom, did
- 22 I?
- 23 A. You just did, yes.
- Q. I said three degrees of movement.
- 25 A. Okay.

1 Q. Okay. Does that change -- does it matter?

- 2 A. Well, I think of a wrist as two degrees of
- 3 freedom.
- Q. Okay. I didn't use the word "freedom";
- 5 so, I'll try again.
- 6 You would agree that the purpose of
- 7 Wallace is to provide a platform wrist mechanism
- 8 that provides three degrees of movement of an end
- 9 effector?
- 10 MR. KATZ: Objection to form.
- 11 A. I don't really understand the question
- 12 or -- yeah, I don't understand what you're saying.
- Q. Okay. You read Wallace; right?
- 14 A. Yes, I did.
- 15 Q. All right. So why don't we take a look at
- 16 it. Column two.
- 17 A. Okay.
- 18 Q. The bottom of column two, and you see it
- 19 says "Manipulation and control of these end
- 20 effectors is a critical aspect of robotic surgical
- 21 systems."
- Do you see that?
- 23 A. I do see that. Mm-hmm.
- Q. Okay. And then it talks about providing a
- 25 surgical tool which includes mechanisms to provide

1 three degrees of rotational movement of an end

- 2 effector.
- 3 Do you see that?
- 4 A. I do see that.
- 5 O. You understand what that means?
- 6 A. I do in this context. It's much more
- 7 clear than what I thought I heard you say before.
- 8 Q. Oh, okay.
- 9 So now you agree that the purpose of this
- 10 patent is to provide a mechanism that provides three
- 11 degrees of rotational movement for an end effector
- 12 for a robotic surgical system; correct?
- MR. KATZ: Objection to form.
- You may answer.
- 15 A. The entire device has three degrees of
- 16 motion, yes. The articulation is not providing
- 17 three degrees of motion.
- 18 Q. The third degree is the shaft roll?
- 19 A. Exactly. That's what's confusing me.
- 20 Q. Okay. And the way that Wallace
- 21 accomplishes providing that three degrees of
- 22 movement is to have a shaft roll and a platform
- 23 wrist; right?
- 24 A. That is correct, I believe.
- Q. Wallace illustrates a tool base that

1 mounts to the robotic system and includes a drive

- 2 system for an end effector; right?
- 3 A. Yes, Wallace has a tool base for
- 4 connection to a robotic surgical system that drives
- 5 the instruments, yes.
- 6 Q. And it's illustrated in Figures 26 to 30;
- 7 right. That's the tool base?
- 8 A. You can see a portion of the tool base in
- 9 28 and 29. It is more clear in 27 and 30, yes.
- 10 Q. And 26 too; right? I think they're all
- 11 just different views of the same tool base; right?
- 12 A. Yes. Basically, yes, I think so. I think
- 13 so.
- Is 62 is that what he calls it, a tool
- 15 base?
- 16 Q. I think so.
- 17 A. I just don't want to use the wrong term.
- 18 Q. You can check. Yeah, column 7.
- 19 A. Column 7.
- Q. Right around line 37, it says tool base,
- 21 62.
- 22 A. Thirty-seven.
- Q. You can also see in the figures, the
- 24 description of the figures in column 7. There's say
- 25 Figure 26, they're all --

- 1 A. Okay.
- 2 Q. -- referring to the tool base; right?
- 3 A. Okay. Linkage 62. And then it's a little
- 4 bit weird. It talks about linkage 62.
- 5 Q. Right. If you just look at the description
- of Figures 26 to 30 on column 7, you'll see that
- 7 they're referring to a tool base. Column 7.
- 8 I think you're in the wrong -- you're in the wrong
- 9 place.
- 10 A. That's what was throwing me off.
- 11 Q. You've gone too far into your binder now.
- 12 A. Yeah, I'd gone into Tierney. I'm like,
- 13 boy, I'm just not seeing what you're saying.
- 14 Q. Sorry.
- 15 A. Boy, I'm really confused.
- 16 Q. That's okay.
- 17 A. Okay. Let me try again. Coupled to tool
- 18 base 62, yes, it is clear now. Thank you.
- 19 Q. No problem.
- 20 All right. So the tool base that's shown
- 21 in Figures 26 to 30 of Wallace is not designed to
- 22 drive an endocutter that clamps and fires; correct?
- 23 A. That is correct.
- Q. Okay. The motions that are provided in
- 25 this tool base are articulation of the platform

- wrist and a shaft roll; correct?
- 2 A. No. I think there's also an actuation to
- 3 actuate an end effector.
- 4 Q. Are you sure about that?
- 5 A. I'm not sure. That's why I say I think
- 6 there's an actuation as well.
- 7 I don't --
- 8 Q. Well, I don't think there is, so by my
- 9 read of Wallace, there are -- that tool base
- 10 performs, as it's shown and described, performs the
- 11 shaft roll and the articulation.
- 12 A. Okay.
- 13 Q. Do you want to check that for yourself?
- 14 A. No, that's fine.
- 15 Q. No, I actually need you to check for
- 16 yourself.
- 17 A. If that's what you believe.
- 18 Q. I want to know what you believe.
- 19 MR. KATZ: Objection. Asked and answered.
- 20 A. I just want to see -- because Wallace
- 21 shows, for example, in Figure 6B --
- 22 Q. Right. So I agree --
- A. -- Wallace shows --
- Q. Go ahead.
- 25 A. -- it shows a jaw to be actuated in

- 1 Figure 6B.
- 2 Do you agree that that's a jaw?
- Q. I agree that's a jaw. I don't agree that
- 4 Wallace discloses a tool base that actuates that.
- 5 So that's my question to you.
- 6 A. So the same as 2A; right?
- 7 Q. All right. So why don't we stick to one
- 8 question at a time.
- 9 A. Okay. Sorry.
- 10 O. Show me in Wallace where it describes the
- 11 tool base is actuating the jaws?
- 12 A. All right.
- 13 Q. Figure 30 -- show me what part of Figure 30
- 14 is actuating the jaw.
- 15 A. Okay.
- 16 Q. Okay. We can probably make this quicker
- 17 too, so --
- 18 A. Sure.
- 19 Q. -- there's in Figure 30, there's two
- 20 spools that are labeled 400. Do you see that?
- 21 A. I do.
- Q. Okay. And those are used for the platform
- 23 wrist; right?
- A. I believe that's correct, yes.
- Q. Okay. And then there's the spool or gear,

- 1 420. Do you see that?
- 2 A. Yes, I do.
- Q. Okay. And that is described as that's for
- 4 shaft roll; right?
- 5 A. Right.
- 6 Q. Okay. So then there's one spool left;
- 7 right?
- 8 A. That is correct.
- 9 Q. And that --
- 10 A. Not numbered.
- 11 Q. And that doesn't have any mechanisms
- 12 associated with it; right?
- 13 A. Not in this figure; that's correct.
- Q. Does it have any in Figures 26, 28 -- oh
- 15 sorry -- Figures 26, 27, 28 or 29?
- 16 A. I do not see the fourth spool being
- 17 connected to anything --
- 18 Q. Okay.
- 19 A. -- in those figures.
- 20 Q. All right. And so then you would agree
- 21 that the tool base that's shown in Figures 26 to 30
- 22 does not illustrate or show how you would drive the
- 23 actuation of jaws; correct?
- 24 A. That is correct.
- Q. Okay. And are you aware of any description

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- in Wallace of how the actuation of the jaws would be
- 2 accomplished?
- 3 A. I'm not aware of that. I know that
- 4 Wallace shows jaws. We agree with that, but I don't
- 5 recall -- I'd have to look to see through the
- 6 reference to see if he ever describes that.
- 7 Q. Why don't you take a moment to see if you
- 8 can figure that out.
- 9 (Pause.)
- 10 A. So Wallace identifies the grasping jaws
- 11 and a whole bunch of other actuatable or devices
- 12 that would require actuation in column 7, around
- 13 line 48.
- 14 So clearly Wallace is contemplating
- 15 actuating devices that require actuation, but, I
- 16 don't see -- he doesn't point to a figure where he
- 17 actually shows that connection.
- 18 Q. Okay. So in Figures 2A and, for example,
- 19 Figure 6B, and there's some description in the
- 20 patent about end effectors that can be attached to
- 21 the platform wrist; right?
- 22 A. They can be attached to the device, yes.
- Q. But there's no description in this patent
- 24 for how a pair of jaws attach to the platform wrist
- 25 would be actuated through that wrist; right?

1 A. It doesn't show that in a figure.

- 2 O. It doesn't describe it in the text either?
- 3 MR. KATZ: Objection to form.
- 4 A. It doesn't. I did not see it -- not that
- 5 I'm aware of right now. I didn't read the whole
- 6 thing again, but my impression from the patent was
- 7 that he had that intention. He had his jaws. He
- 8 had a -- you know, he has an extra control available
- 9 to actuate them, but he doesn't describe it or
- 10 illustrate it.
- 11 Q. Do you have a copy of the Cooper reference?
- 12 A. Yes, I do.
- 13 Q. It's Exhibit 1007.
- 14 A. No, I don't think I do.
- 15 Q. 1007.
- 16 A. I have Wallace, Tierney, Giordano, Shelton,
- 17 Kuehl, yeah. Okay. I do have Cooper back here.
- 18 Q. Yeah.
- 19 A. It's just in the other case.
- Q. Yeah.
- 21 MR. KATZ: Well, is Cooper in the Giordano
- 22 case, because we're in the Giordano deposition right
- 23 now.
- MR. DESAI: I can ask him a question about
- 25 Cooper even if he didn't cite it in his declaration.

1 MR. KATZ: Oh, well. That's fine.

- MR. DESAI: Are you going to --
- MR. KATZ: Well, I'll object as beyond the
- 4 scope, but it's beyond the scope, and we'll see if
- 5 we need to call the Board.
- 6 MR. DESAI: You can object every question
- 7 I have is beyond the scope if you like. I totally
- 8 disagree, but that's fine.
- 9 BY MR. DESAI:
- 10 Q. So you have Cooper in front of you; right?
- 11 A. I do.
- 12 Q. Okay. And you're familiar with this
- 13 reference, yes?
- 14 A. I am.
- 15 Q. Okay. And this discloses -- I actually
- 16 not even pointing to Cooper in my hand. Hold on.
- 17 This discloses a multi-disk wrist joint;
- 18 right?
- 19 MR. KATZ: And I'll say objection. Beyond
- 20 the scope.
- 21 A. Where did I -- in my declaration, did I
- 22 discuss this?
- Q. Do you not want to answer my question?
- 24 Are you following your counsel's cue or are you
- 25 going to answer my question?

1 MR. KATZ: Objection to -- objection --

- Q. Are you familiar --
- 3 MR. KATZ: No. No. I am objecting, sir.
- 4 Now you stop it. You let me get my objection on the
- 5 record, and we'll call the Board. Okay.
- 6 MR. DESAI: What do you want to call the
- 7 Board about, Steven?
- 8 MR. KATZ: You are saying that I'm giving
- 9 cues to this witness. I want the record to reflect,
- 10 I just lodge objections. I've lodged an objection
- 11 it's beyond the scope. I've lodged an objection
- 12 that you're trying to cross into another deposition
- 13 after you represented you would not do that --
- MR. DESAI: Excuse me.
- 15 MR. KATZ: -- and I can make my
- 16 objection -- I'm not done with my objection.
- I can make my objection and for you to
- 18 tell this witness that he's taking cues from me is
- 19 an outrageous statement to put on the record when
- 20 all I've done is made a valid objection.
- 21 MR. DESAI: Okay.
- MR. KATZ: So let's not do any more
- 23 argument. This whole deposition you've been very
- 24 argumentative. You've actually been very rude to
- 25 this witness. Your whole approach today is so

1 different than it was yesterday. Your demeanor has

- 2 totally changed, and you've been extremely rude.
- 3 You've been talking fast. You've been trying to
- 4 talk over the witness, and this has to stop.
- 5 MR. DESAI: Steven, you know what, I think
- 6 everything you just said is a complete fabrication.
- 7 MR. KATZ: It --
- MR. DESAI: You've lost your control. I
- 9 can see it in your face.
- 10 MR. KATZ: None of this --
- MR. DESAI: Just stop.
- MR. KATZ: No. None of this is complete
- 13 fabrication.
- MR. DESAI: Steven, stop.
- MR. KATZ: The record can reflect what
- 16 you've said. It didn't reflect your demeanor, but
- 17 you have been -- your questions have been clearly
- 18 argumentative, clearly argumentative.
- 19 MR. DESAI: You know what. You want to
- 20 keep going?
- 21 MR. KATZ: You know what we're going to
- do, it's 10:16. Why don't we just take a break.
- MR. DESAI: No, I'm not done with my
- 24 questions. I'm sorry. I was in the middle of a
- 25 question.

1 MR. KATZ: Finish that question. We'll

- 2 take a break.
- 3 MR. DESAI: All right.
- 4 MR. KATZ: Are you refusing to take a
- 5 break after you finish the question?
- 6 MR. DESAI: No. You are trying to
- 7 interrupt this deposition.
- 8 MR. KATZ: That is the last thing I've
- 9 been trying to do. The record will reflect --
- MR. DESAI: You've been speaking now for
- 11 like 50 lines. Just stop.
- MR. KATZ: You just made an incorrect
- 13 statement on the record of my behavior at this
- 14 deposition --
- 15 BY MR. DESAI:
- 16 Q. Dr. Knodel --
- 17 MR. KATZ: -- and I have corrected it.
- 18 Q. -- are you familiar with the Cooper
- 19 reference? Yes or no?
- 20 A. I am.
- Q. Okay. You read it yesterday to prepare
- 22 for your depositions; right?
- MR. KATZ: Objection to form. Beyond the
- 24 scope.
- Q. Did you read it yesterday?

1 A. I did look over it again yesterday.

- Q. Okay. And you understand that Cooper
- 3 discloses a multi-disk wrist joint; correct?
- 4 MR. KATZ: Objection. Beyond the scope.
- 5 A. I do understand that.
- 6 Q. Okay. And Cooper also discloses how the
- 7 gripping of a jaws of an end effector would be
- 8 accomplished through the multi-disk wrist joint;
- 9 correct?
- 10 MR. KATZ: Objection. Beyond the scope of
- 11 the declaration.
- 12 A. I don't recall commenting about that in my
- 13 declaration. If you could point me to that, that
- 14 would be great.
- 15 Q. Okay. I'm going to point you to Cooper,
- 16 Figure 39. Are you there?
- 17 A. I am there.
- 18 Q. Okay. And what I would just like to draw
- 19 a comparison to is Cooper, unlike Wallace, for
- 20 example, discloses how the jaws would be actuated
- 21 through the articulation joint, and it does that by
- these cables 446 and 448; right?
- 23 MR. KATZ: Objection. Beyond the scope of
- 24 the declaration.
- 25 A. I haven't thought about it.

Q. Okay. Are you able to distinguish between

- 2 Wallace and Cooper and how one discloses how to
- 3 actuate the jaws through an articulation joint and
- 4 the other doesn't? Are you able to do that as an
- 5 expert?
- 6 MR. KATZ: Objection. Beyond the scope of
- 7 the declaration.
- 8 A. I haven't thought about it.
- 9 MR. DESAI: So you think the question
- 10 about Wallace was outside the scope of the
- 11 declaration?
- 12 MR. KATZ: I think -- can you read back
- 13 the last answer before I answer that absurd
- 14 question.
- MR. DESAI: I said --
- MR. KATZ: No. I want the court reporter
- 17 to read back the question you asked the witness.
- 18 MR. DESAI: -- are you able to distinguish
- 19 between how Wallace, as an example, has an
- 20 articulation joint and Cooper also has an
- 21 articulation joint, but one discloses how to
- 22 articulate -- sorry -- how to actuate jaws through
- 23 the joint while the other one doesn't?
- 24 Are you able to do that?
- MR. KATZ: First of all, that question was

1 directed to me, not you.

- 2 MR. DESAI: But this one is now directed
- 3 to you.
- 4 MR. KATZ: So, no, I'm sorry. No, you
- 5 asked me a question. I asked you to read it back,
- 6 and you did, and I'm going to provide the answer.
- 7 The answer is no. I was objecting that it
- 8 was beyond the scope of the deposition -- the
- 9 declaration, because you were asking about Cooper,
- 10 which he -- he asked you to point to where in his
- 11 declaration he discussed distinguishing Cooper, and
- 12 you could not point to it; and on that basis, I
- 13 objected it was beyond the scope of his declaration.
- 14 BY MR. DESAI:
- 15 Q. Okay. Let me ask my question, Dr. Knodel.
- 16 Okay. Are you able as an expert to determine -- I
- 17 have -- why don't you please have Cooper Figure 39
- 18 in front of you.
- 19 A. I do.
- Q. Okay. And have Wallace, let's say,
- 21 Figure 2A. You got that?
- MR. KATZ: Do you have a copy of Wallace
- 23 he can put separately then if you're going to do
- 24 that?
- MR. DESAI: Sure.

- 1 Q. Here you go. Got it.
- 2 A. I do.
- 3 Q. Okay. And can you agree that Cooper,
- 4 unlike Wallace, shows the mechanism that would be
- 5 used to actuate jaws through an articulation joint?
- 6 MR. KATZ: Objection. Beyond the scope of
- 7 the declaration.
- 8 A. The Figure 2A in Wallace doesn't show
- 9 enough information. It's not clear enough to say he
- 10 clearly has an actuated -- a jaw requiring actuation
- 11 that he's illustrating, connected to his articulation
- 12 mechanism, but that view is not a section. It's
- 13 not -- the view in the Cooper reference is an
- 14 isometric with hidden lines shown. So I can't -- I
- 15 can't -- to compare these and say one does and
- one -- you're looking at two completely different
- 17 technical drawing styles here.
- 18 Q. Okay. So sitting here today, as an expert
- 19 having read Wallace before and opined on it; right?
- 20 You have?
- 21 A. I have.
- Q. Okay. And you've read Cooper as well and
- opined on it in a different declaration; yes?
- 24 A. I have.
- Q. Okay. And are you able here to tell me

1 today as an expert whether or not Wallace discloses

- 2 a mechanism for actuating the jaws through its
- 3 platform wrist mechanism?
- 4 A. I'm telling you that Wallace shows in
- 5 Figure 2A his platform wrist mechanism attached to a
- 6 jaw that requires an actuation, but the figure is
- 7 not clear as to show the internals of that
- 8 mechanism.
- 9 Q. Does the text provide information about
- 10 the internals of the mechanism?
- 11 A. Not that I recall.
- 12 Q. Okay. So I just want to understand
- 13 something before we go off the record and go to the
- 14 next deposition.
- Okay. I think a little while ago you
- 16 responded -- let me find this question here.
- I had asked you about a question about
- 18 Cooper and whether these cable mechanisms that
- 19 actuate the jaw, and you said I haven't thought
- 20 about it. Now, are you going to go take a break now
- 21 and discuss this with your attorney?
- 22 A. No, we don't discuss the case during
- 23 breaks.
- Q. Okay. Oh, between these depositions.
- MR. KATZ: Actually, he's asking you a

1 forward-looking question, which you have no obligation to answer. It's totally inappropriate. 2 3 I object to the question, and, frankly, I object on 4 the grounds of attorney/client privilege. 5 So you're not to answer a forward-looking 6 question of what you may discuss with an attorney after this deposition concludes. 7 8 And also I'll object to that question 9 because it misrepresented his testimony in this case. That isn't my recollection of what he said; 10 but in any case, that question is so objectionable, 11 12 and I am going to instruct you not to answer on the 13 grounds of attorney/client privilege. 14 He's asking you whether you're going to have -- what discussions you're going to have with 15 me after this deposition ends. It's totally 16 inappropriate. Don't answer that question. 17 18 MR. DESAI: Okay. No more questions. 19 We'll start the next deposition when you're ready. 20 MR. KATZ: We're taking a break. 21 THE WITNESS: Okay. 22 23 (Deposition concluded at 10:25 a.m.) 24 25

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CERTIFICATE OF REPORTER

I, Julie Thomson Riley, RDR, CRR, do certify that the deposition of Bryan D. Knodel, Ph.D., in the matter of Intuitive Surgical, Inc. vs. Ethicon, LLC, on April 4, 2019, was stenographically recorded by me; that the witness provided satisfactory evidence of identification, as prescribed by Executive Order 455 (03-13), issued by the Governor of the Commonwealth of Massachusetts, before being sworn by me, a Notary Public in and for the Commonwealth of Massachusetts; that the transcript produced by me is a true and accurate record of the proceedings to the best of my ability; that I am neither counsel for, related to, nor employed by any of the parties to the above action; and further that I am not a relative or employee of any attorney or counsel employed by the parties thereto, nor financially or otherwise interested in the outcome of the action.

April 7, 2019

Julie Thomson Riley, RDR, CRR