

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

APPLE INC.,
Petitioner,

v.

QUALCOMM INCORPORATED,
Patent Owner.

Cases IPR2018-01250 and IPR2018-01251
Patent 8,447,132 B1

Record of Oral Hearing
Held: October 10, 2019

Before TREVOR M. JEFFERSON, DANIEL J. GALLIGAN, and
AARON W. MOORE, *Administrative Patent Judges*.

APPEARANCES:

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The above-entitled matter came on for hearing on Thursday, October 10, 2019, commencing at 12:30 p.m., at the U.S. Patent and Trademark Office, 600 Dulany Street, Alexandria, Virginia.

PROCEEDINGS

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USHER: All rise.

JUDGE JEFFERSON: Oh, you may be seated while I get the Judge.

JUDGE GALLIGAN: Good afternoon, this is Judge Galligan. Can you hear me?

JUDGE JEFFERSON: We can hear you. We -- about a second away from seeing you.

JUDGE GALLIGAN: Thank you.

JUDGE JEFFERSON: There we go.

JUDGE GALLIGAN: Good afternoon. I'm Administrative Patent Judge Galligan joining from the Texas Regional Office, and before you are Judges Jefferson and Moore, and this is a hearing for two IPRs, IPR2018-1250 and 2018-1251 involving U.S. Patent 8,447,132. Petitioner is Apple and Patent Owner is Qualcomm. May I have appearances for each side, please? And please step up to the podium and make sure the light is green.

MR. RENNER: Okay, yes, sir. Yes, Your Honor, this is Karl Renner from Fish & Richardson. I'm joined by colleagues, Tim Riffe and Kim Leung, and I guess I'll say it as in before, we'll reserve 30 minutes in terms of our direct for redirect. Thank you.

JUDGE JEFFERSON: So 30 minutes for both?

MR. RENNER: Yes.

JUDGE JEFFERSON: Thank you. Patent Owner?

1 MR. ROBINSON: Good afternoon, Your Honor, Eagle Robinson for
2 Patent Owner. With me are Darren Smith and Erik Janitens, and we'd like to
3 reserve 20 minutes for surrebuttal, please.

4 JUDGE GALLIGAN: Thank you. We issued an order in both of
5 these cases. We are having one hearing for both cases and each side will
6 have 1 1/2 hours of argument, total, so that's 3 hours total of argument time
7 for this hearing. Petitioner, you bear the burden of persuasion in showing
8 that the challenged claims are unpatentable. You will proceed first; Patent
9 Owner may respond. Petitioner, you may have rebuttal time, you reserved
10 30 minutes, and Patent Owner, you may have surrebuttal time. With that,
11 Petitioner, you may begin.

12 MR. RENNER: And, Your Honors, locally, can we approach with
13 demonstratives?

14 JUDGE JEFFERSON: Yes. Yes. Thank you.

15 JUDGE GALLIGAN: Oh, and because I'm remote, please, when
16 you're presenting, let me know what slide number you're on, and any other
17 paper, please reference explicitly. Thank you.

18 MS. LEUNG: Yes, Your Honor. May it please the Board, my name
19 is Kim Leung and I, along with my colleagues, Karl Renner and Tim Riffe,
20 are on behalf of Petitioner Apple, Inc. Two IPRs were instituted against the
21 132 Patent, IPR2018-1250 which we'll refer to the 1250 IPR, and IPR2018-
22 1251 which we'll refer to as the 1251 IPR. Slide 2, please. So rather than
23 walking step-wise through each ground and claim, we'll try to focus in our
24 limited time together on a subset of the issues that might benefit from a
25 discussion today. For purposes of this discussion, we'll focus on issues 1 to
26 4. If the Board would like us to address any particular issue first, or any of

1 the other issues, we can certainly do that; please let me know. Otherwise
2 we'll proceed in the order listed here in the Table of Contents.

3 Slide 5, please. So let's talk a little bit about the 132 patent. So we
4 see how the first line of this excerpt from the 132 patent, that the 132 patent
5 recognized that techniques for detecting faces and other arbitrary objects and
6 patterns and image are known in the art, and you'll also see as we've
7 highlighted in this particular slide that the 132 patent acknowledged that
8 techniques of dynamic range correction were known, and according to the
9 132 patent, though, these techniques of dynamic range correction do not take
10 into consideration or use of the content of the image, but the record
11 demonstrates that dynamic range correction which considers and uses the
12 content of the image was also well known at the time of the 132 patent.

13 Slide 7. Specifically the 1250 petition which is based on the
14 Needham reference establishes that dynamic range correction considers and
15 uses the content of the image was well known. Needham is about dynamic
16 range correction using the content of the image which are detected image
17 features.

18 Slide 8, please. The 1251 petition which includes grounds based on
19 Zhang and Konoplev shows the broad reach of the claims to another type of
20 correction, specifically a correction applying different amounts of blurring to
21 different portions of an image.

22 Slide 9. Now that we've provided a brief overview of the 132 patent
23 and the grounds and the references, let's go ahead and address the issues. So
24 the first issue we have here by patent owner is whether the prior art discloses
25 the determined correction is matched to the predetermined type of object
26 recited in Claim 1.

1 Slide 10. Now, on the left side is the slide. We've highlighted
2 portions of Claim 1 that Patent Owner disputes. Specifically Patent Owner
3 argues that the prior art doesn't show that the correction is matched because
4 the prior art is lacking one, a cause/effect relationship, or, two, a type of
5 correction that is predetermined or assigned to a particular image feature.
6 Now, even if Patent Owen is correct that the claim to match requires this
7 interpretation, Patent Owner is incorrect that the prior art lacks these
8 disclosures. So on the next few slides, we'll walk through how the prior art
9 discloses a claimed match correction by disclosing either a cause/effect
10 relationship or that the correction is predetermined or assigned to the object.

11 Slide 11. So with respect to the Needham reference, Patent Owner
12 acknowledges that Needham discloses matching, but doesn't agree that every
13 paragraph of Needham that Petitioner cited in the briefings describes
14 matching. So I'm going to go and take you through --

15 JUDGE GALLIGAN: Counsel, can you tell me where Patent Owner
16 said that it agrees that Needham discloses matching?

17 MS. LEUNG: Yes, so at the 1250 Patent Owner surreply page 3, and
18 the 1250 Patent Owner response pages 11, Patent Owner specifically says,
19 "When Needham matches a correction to an object in an image," so
20 acknowledging that Needham does disclose matching in some portion.
21 Needham does not also apply a different amount of the same correction to a
22 second portion of the image.

23 JUDGE GALLIGAN: Thank you.

24 MS. LEUNG: You're welcome. So I'm going to take you through a
25 few portions of Needham that disclose matching. So first here, we have
26 portions of Needham that disclose a cause/effect relationship. So as I've

1 highlighted in the top right of the slide here in an excerpt of Needham,
2 Needham describes a feature description includes a feature type. Now, the
3 feature type indicates a type of object, and Needham gives several examples
4 such as a human face, a person, a car, a building, the sky, those are just some
5 of the examples that Needham's given. And then in the next excerpt,
6 Paragraph 24, Needham describes a correction parameter which defines a
7 correction operation and operational parameters used during the correction
8 operation. Now, Needham gives examples of correction operations in
9 Paragraph 31, which is the next excerpt on the right-hand side, and some of
10 those examples of correction operations include increasing the brightness in
11 an image, and enhancing the visual contrast in an image, and those are types
12 of corrections.

13 So based on these paragraphs, 30, Paragraph 24, and Paragraph 31 of
14 Needham, Needham describes a type of object and a type of correction.
15 Needham further says in Paragraph 26 which is the bottom excerpt on the
16 right, that based on the feature description the correct unit performs one or
17 more specified correction operations. So, in other words, a correction
18 operation which is the type of correction is performed based on the feature
19 description which includes the feature type. So Needham shows this
20 cause/effect relationship between the feature type and the correction
21 operation. The feature type, when it's detected, causes a specified correction
22 operation to be performed. So there is a cause and effect relationship.

23 Slide 12. In this slide, we'll show that Needham also meets Patent
24 Owner's interpretation that match requires a particular type of correction be
25 predetermined or assigned to a particular image feature. Now, Needham
26 describes in several paragraphs a correction operation being predetermined

1 for a feature type, so in Paragraph 19, which we've mistakenly cited as
2 Paragraph 20, but the top paragraph on the right there, that's Paragraph 19,
3 describes a correction operation such as maximizing dynamic range being
4 defined on an image feature such as a detected human face. So there's a
5 correction type that's defined on a feature type.

6 Paragraph 20, the next excerpt on the right-hand side, discloses a
7 correction operation is defined for each image feature, of multiple image
8 features in a single image, so this paragraph is describing when there's one
9 image and there is multiple different image features in there, and each image
10 feature can have a correction operation defined for it. So, for example, there
11 is maximizing dynamic range here described in this paragraph, and that is
12 defined for a human face, and then there is also increasing the brightness
13 which is defined for the sky.

14 The next excerpt from Needham, Paragraph 35, indicates that there is
15 a corresponding correction operation for each detected image feature, and
16 the correction operation is stored in a way that is linked or assigned to the
17 correction operation so that it can -- so the correction can be retrieved for the
18 image feature.

19 JUDGE MOORE: So is that corresponding the matching? Where
20 exactly is the matching?

21 MS. LEUNG: Correct. So the corresponding is -- the corresponding
22 and the retrieved show that it's matched, because it has to be stored some
23 way that there is some link between the image feature and the correction
24 operation that the unit knows to retrieve that particular corresponding
25 operation for the image feature.

1 JUDGE MOORE: Well, that looks to me like it's matching features
2 with correction parameters.

3 MS. LEUNG: Well, when the feature is matched to the correction
4 parameter, then the correction parameter would be matched to the feature.

5 JUDGE MOORE: So the correction parameter --

6 MS. LEUNG: The correction parameter includes a -- correction
7 parameters includes an operation type, so within the correction parameter
8 there -- the correction parameter defines an operation type and also
9 operational parameters so when it retrieves a correction parameter, it also
10 retrieves a corresponding correction type.

11 JUDGE MOORE: So the correction types are separate and then the
12 matching is between the feature type and the correction parameter which is
13 an amount of the correction that is applied?

14 MR. LEUNG: No, the correction parameter is not separate from the
15 correction operation. The correction parameter is -- defines the correction
16 operation and also the operational parameters, so it's kind of an umbrella and
17 underneath is the type of correction and also the operational parameters
18 which indicates the amount of correction, so the correction parameters
19 encompasses both the correction type and amount.

20 JUDGE MOORE: But the feature description and the feature type
21 don't, themselves, include the correction?

22 MS. LEUNG: They don't, themselves, include a correction.

23 JUDGE MOORE: Okay.

24 MS. LEUNG: So from these disclosures in Needham, Needham
25 shows that the determined correction is predetermined or assigned to a
26 feature type.

Slide 13. Now, with respect to Nonoka and Konoplev, Patent Owner disputes that they disclose a claimed matching, but the record has established that Nonoka and Konoplev disclose a cause/effect relationship between the correction and a type of physical object. So on the left of the slide is Figure 8 of Nonoka which is one Nonoka's processing techniques, and on the right is a portion of Figure 1 of Konoplev which shows a flow diagram of Konoplev's processing technique, or a portion of it, and so I've shown in each of Nonoka and Konoplev applies a correction in response to detecting the face. So in other words, a correction is triggered based on the face being detected. Now, this is shown in Nonoka's Figure 8 where Nonoka determines whether a face is detected in step S11, and if the answer there is yes, a face is detected, that triggers the optimization processing of step S17.

So there, there is a cause/effect relationship where the detection of the face causes the optimization processing step S17 to be performed. Now, similarly, in Konoplev's Figure 1, when Konoplev finds a face at Step 150, it takes the yes branch, and it goes to Step 160 where it processes the facial areas to reduce wrinkles. So in other words, a detected face in Konoplev triggers wrinkle reduction to be performed, so there's a cause/effect relationship there where when the face is detected, it causes the wrinkle reduction to be performed.

So in both Nonoka and Konoplev, it doesn't matter that there is a correction that was performed before the face was detected, what matters is that when the face is detected there is a trigger -- there is a correction that it triggers and that correction is applied to the face. Now, this can be the same correction that was done previously. It doesn't matter. As long as the face is

1 detected and it triggers a correction whether it's the same correction as
2 before or a different correction, then there is a cause/effect relationship
3 there. The face causes a correction to be applied. And Claim 1 doesn't
4 preclude that there could be some processing that happens before the
5 correction is applied to the face.

6 Slide 14. So the next question here is whether the references disclose
7 applying different amounts of the match correction to different portions of
8 the image.

9 Slide 15. So we've highlighted the language here in Claim 1 that
10 Patent Owner disputes, and there is no dispute here as to what the
11 highlighted claim language means. There is a first amount of the correction
12 that is applied to the first portion of the image, and there is a second amount
13 of the correction that is applied to the second portion of the image, and the
14 amounts applied to the first portion and the second portion are different.
15 Nobody disputes that. Patent Owner also doesn't dispute that Nonoka and
16 the Zhang/Konaplev combination discloses applying different amounts of
17 the correction to different portions of the image.

18 So with respect to this particular limitation, Patent Owner only
19 challenges Needham's disclosure and the combination of Needham and
20 Nonoka, so we'll address Patent Owner's challenge to Needham's disclosure
21 next, and then the combination of Needham with Nonoka will be addressed
22 later when we discuss the motivations to combine.

23 JUDGE GALLIGAN: And, Counsel, because we're talking about
24 both of the cases at the same time, it looks like that's how you're presenting,
25 I did have a question on the 1251 case. In our decision on institution on
26 pages 11 to 13, we discussed what we considered the two different

1 contentions for Patent Owner -- sorry -- for Petitioner as to how the
2 determining steps were done in Claim 1, and the first -- we talked about the
3 first way the Petitioner contended was the Zhang feathering process teaches
4 determining and applying a correction for the first portion, and we went
5 through that and we determined -- we had questions on the sufficiency of
6 that showing, and I was looking at the reply. Is Petitioner just focusing that
7 on the second of the two on ways that contended which was Konoplev's
8 disclosure in combination with Zhang?

9 MS. LEUNG: Correct. So we are now focusing on that disclosure.

10 JUDGE GALLIGAN: So for purposes of writing a final, we can
11 disregard the first one?

12 MS. LEUNG: Correct.

13 JUDGE GALLIGAN: Thank you.

14 MS. LEUNG: Slide 16. So with respect to Needham's disclosure,
15 Patent Owner argues that Needham describes distinct approaches. So what
16 Patent Owner argues is that when Needham matches a correction to an
17 object, that's implicitly implying that they agree that Needham matches a
18 correction to an object. Needham does not also apply a different amount of
19 that same correction to a second portion of the image. And so we're going to
20 show how Needham does when it matches a correction to an object also
21 apply a different amount of that match correction to the first portion and the
22 second portion of the image.

23 So I'm going to take you step-by-step through Figure 8 here of the
24 flow chart of Needham, and we're going to be going through this several
25 times, so bear with me. So at the top of the corner is Paragraph 35 which
26 describes a portion of the flow chart as shown in Figure 8 here, and Figure 8

1 shows the steps performed by the correction unit to correct detected features
2 in an image. So Paragraph 35 actually relates to the branch where you
3 would take to go down the flow chart for correcting individual image
4 features. And as we discussed earlier, Paragraph 35 discloses a correction
5 being matched to the detected image feature because there
6 is -- when an image feature is detected, it retrieves the corresponding
7 correction parameter which includes the correction type.

8 So let's start with going down this flow chart for just generally what
9 Paragraph 35 says. So when Needham determines that there is a detected
10 feature to correct at Step 840. It's where it says, "More feature to correct."
11 It'll go down and retrieve the correction parameter at Step 860 and apply that
12 correction type that's in the correction parameter to the image feature, and
13 then Steps 860 and 870 are repeated again for each detected image feature in
14 the image. So in Paragraph 22 on the right, Needham describes an example
15 of how this method of correcting features in a single image would be applied
16 so that the same correction is applied to different image features but in
17 different amounts.

18 So in Paragraph 22, there are two types of detected image features.
19 There's a human face and there's a building. So let's walk through Figure 8
20 here with these two types of image features. So at Step 810, the correction
21 unit obtains the feature description and the weight for the detected human
22 face, and it obtains a feature description and the weight for the building. So
23 as Needham describes in Paragraph 22, the human face feature has a higher
24 weight than the building. So at Step 840, the correction unit determines that
25 there is a detected face to correct and it proceeds to Step 860 where it
26 retrieves the corresponding matched correction parameter, and that

1 correction parameter includes the correction type which in this case is
2 dynamic range correction, or maximizing dynamic range, and the correction
3 parameter also includes the operational parameter which is the intensity
4 dynamic range.

5 So the correction type that's retrieved here for the face is maximizing
6 the dynamic range, and that the parameter that it's using is the intensity
7 dynamic range, so how -- what spanned the dynamic range it's going to be
8 using.

9 JUDGE MOORE: So, does feature description include a correction
10 parameter for all available corrections?

11 MS. LEUNG: The feature description for the face?

12 JUDGE MOORE: I'm sorry?

13 MS. LEUNG: The feature description for the human face?

14 JUDGE MOORE: In the end, the feature description for a given
15 feature type; does that include a parameter -- sorry -- a correction parameter
16 for each available correction type?

17 MS. LEUNG: The feature description doesn't include any correction
18 parameters. The correction parameters are separate from the feature
19 descriptions.

20 JUDGE MOORE: Where are the correction parameters?

21 MS. LEUNG: The correction parameters are retrieved later, after it
22 obtains a feature descriptions.

23 JUDGE MOORE: And how are they connected to the feature type?
24 Maybe I missed something.

25 MS. LEUNG: The feature descriptions include the feature type.

1 JUDGE MOORE: Correct. And so where -- how does that connect it
2 to the parameters?

3 MS. LEUNG: Based on the feature descriptions, it retrieves the
4 corresponding parameters needed to correct that feature type.

5 JUDGE MOORE: What in the feature description connects it to the
6 parameters?

7 MS. LEUNG: Needham doesn't disclose that there is anything in the
8 feature descriptions that connect it to the parameters, but implicitly they
9 would have to be linked or assigned somehow so that Needham would know
10 that it needs to retrieve a certain operational parameter, correction parameter
11 for that feature type.

12 JUDGE MOORE: Okay.

13 MS. LEUNG: Okay, so at Step 810 -- I'm sorry -- I forgot where I
14 was, so I'll just start here again. At Step 810, the correction unit obtains a
15 feature description and a weight for the face which the feature description
16 indicates that the detected type is a face. And a feature description and a
17 weight for the building and that feature description indicates that the
18 detected type is a building. And in Paragraph 22, Needham indicates that
19 the human face has a higher weight than the building. So at Step 840, the
20 correction unit determines that there is a detected human face and image that
21 it needs to correct, so it'll retrieve the correction parameters for it at Step
22 860.

23 Now, the retrieved correction parameters includes the correction type
24 which is maximizing dynamic range and it includes the operational
25 parameter which is the dynamic range that it's going to be correcting for.
26 And at Step 870, it will correct the human face using the retrieved correction

1 type and the operational parameter, and it returns to Step 840 for the
2 building feature, and for the building feature it'll similarly performs steps
3 860 where it retrieves the corresponding correction parameters which is,
4 again, for this particular example, maximizing dynamic range the same one
5 as it did for the human face over a dynamic range parameter for the building.
6 But in this case, since the -- well, in this case, it'll do the correction
7 differently in different amounts because the weight for the face is higher
8 than the weight for the building.

9 So in this case, once Needham has corrected both the face and the
10 building features in the image, the face would have gotten a larger correction
11 than the building feature because the face had a higher weight than the
12 building feature. And so Needham applies different amounts of the same
13 correction which was matched to the face to both the face and the building
14 feature.

15 Slide 17. So Patent Owner doesn't agree that Paragraph 22 discloses
16 different amounts of correction. Patent Owner says that Paragraph 22 is
17 ambiguous and but we disagree. And Dr. Bovik here explains quite clearly
18 how Needham applies different amounts of correction to the detected face
19 and the detected building. Now, let's take an example here so that we can
20 visualize this a little bit more. So in the example of Paragraph 22, Needham
21 doesn't say that in this particular example that it's a backlit scene where the
22 face is darker than the building or that a flash is used so the face would be
23 brighter than the building, so let's assume that the image is evenly lit, and the
24 face and the building have the same amount of light on them, the same
25 brightness, the same darkness, so let's say they have the same initial dynamic
26 range.

1 So taking Dr. Bovik's example in this scenario, the larger dynamic
2 range used for the face would correspond to a higher increase in the brightest
3 value. So let's say the face and the building both start off with the same
4 dynamic range, and so since the face has a higher rate, it would get a larger
5 increase in the brightest value, so let's say it goes out to here. The building
6 having a smaller weight than the face, we're going back to the initial because
7 they both have the same initial dynamic range, would have a smaller
8 increase in the largest value and the brightest value. And so in this scenario,
9 the face would get a larger correction than the builder, and there would be
10 different amounts of correction applied to the face and the building.

11 Slide 18, please. So despite this explanation provided by Dr. Bovik,
12 Patent Owner argues that Needham doesn't give the starting dynamic ranges
13 of the human face and the building, so we don't know that they get different
14 amounts of correction because we don't know what the starting dynamic
15 ranges are. Well, Paragraph 22 of course doesn't give the starting dynamic
16 range, but I provided one example where if the starting dynamic ranges were
17 the same, there would be different amounts of correction, but Needham does
18 say that it does take the starting dynamic range into consideration. So in
19 specifically Paragraph 32 here, Needham says that the dynamic range may
20 be defined as a function of statistical properties of one or more image
21 features detected from the input image.

22 And then in Needham Paragraph 26, which Petitioner has cited in
23 other portions of their briefings, Needham states that the statistical properties
24 can include maximum and minimum intensity values, which is the starting
25 dynamic range. So all this evidence shows that Needham discloses
26 determining a correction that is matched to the predetermined type of

1 physical object and applying that match to correction to different portions of
2 the image in different amounts. So Needham anticipates Claim 1, and the
3 Needham/Nonoka combination renders obvious Claim 1.

4 Slide 19, please. My colleague, Mr. Riffe, will address issue 3 which
5 is whether Konoplev is prior art.

6 MR. RIFFE: Good afternoon, Your Honors. Again, my name is Tim
7 Riffe and I'm on behalf of Petitioner, Apple. As my colleague, Ms. Leung,
8 just discussed earlier, Konoplev discloses that the determined correction is
9 matched to the predetermined type of physical object. We've also
10 established in the record that the Zhang/Konoplev combination teaches
11 applying different amounts of the determined correction to different portions
12 of the image which Patent Owner did not dispute. However in another
13 attempt to defeat the combination of Zhang and Konoplev, Patent Owner
14 takes issue with whether Konoplev itself is prior art to the 132 patent.

15 Well, Konoplev's filing date is after the filing date of the 132 patent;
16 we acknowledge that, but the claim's benefit from priority to the earlier filed
17 provisional application, and we'll walk through the reasons why. Petitioner
18 in our petition in the claim chart in accordance with Dynamic Drinkware, we
19 showed where in the provisional application support was provided for
20 Konoplev's claims, and we'll touch base on what the challenges are with
21 respect to those claims in a few minutes.

22 Can we go to Slide 21, please. Now, Patent Owner takes issue
23 specifically with one specific element of Claims 1 and 13, and that's Element
24 E, which recites processing the converted image using wrinkle-reducing
25 parameters. Now, first Patent Owner alleges that Konoplev does not provide
26 a written description of parameters necessary for wrinkle reduction. Well,

1 let's review briefly what's required to satisfy the written description
2 requirement. As Your Honors know, to satisfy the requirement the patent
3 specification must describe the claimed invention in sufficient detail that one
4 of skill in the art will reasonably conclude that the inventor had possession
5 of the claimed invention.

6 There really should be no question here that the inventor of
7 Konoplev's provisional had possession of processing the converted image
8 using wrinkle- reducing parameters because as we can see, Konoplev's
9 provisional describes specifically processing the image through a smoothing
10 filter using such
11 wrinkle-reducing parameters. So what does Konoplev's provisional describe
12 as the wrinkle-reducing parameters, if we go to Slide 21? The parameters
13 include, first, an initial radius, second, a final radius, and they describe
14 what's referred to as an imperfection reduction mode which we've
15 highlighted on Slide 21, which defines the values for the initial radius and
16 final radius. Now, for wrinkle reduction, the parameters would be the
17 wrinkle reduction mode, and, again, the corresponding wrinkle-reducing
18 radii which they referred to as the initial and final radius.

19 Slide 22, please. Next Patent Owner argues that Konoplev's
20 provisional does not provide an enabling disclosure of using these wrinkle-
21 reducing parameters. Now, we've just discussed that Konoplev's provisional
22 describes a smoothing filter using filtering parameters including an initial
23 radius and a final radius for wrinkle reduction, and here in these paragraphs
24 with Konoplev's provisional, it describes how the initial and final radii are
25 actually used to a person of skill in the art. Konoplev's provisional says that
26 you start with an initial radius and you apply it to the image, and then you

1 increase the radius by a predetermined amount and apply that to the image,
2 and this is repeated until the radius increases to what it's termed as the final
3 radius.

4 Now, in addition, at Paragraph 44 of Konoplev's provisional which we
5 cited in our briefing, Konoplev describes that a level to which the pixel
6 needs to be colored over is determined by the reverse proportion of a
7 difference of a tone used for coloring over and the color of the pixel. This is
8 describing the characteristics of the process used for wrinkle reduction. It up
9 goes further to say that the analogous parameters are used for wrinkle
10 identification while a higher level of the pixel coloring over is used.

11 Now, this shows that the differences in pixel tones as described by
12 Konoplev's provisional are used for both the identification of the wrinkles
13 and for the process of wrinkle reduction, i.e., this is coloring over that
14 Konoplev's provisional describes. And the reason I note that is I believe
15 Patent Owner in some of their briefing noted that Konoplev's provisional
16 really just goes to the identification of the wrinkles. Well, that's not true.
17 By this coloring over process, one can imagine that if it finds a wrinkle in
18 the image, part of the wrinkle-reduction is doing this pixel coloring over
19 process described in Konoplev.

20 Now, what Patent Owner is complaining about at bottom is that
21 Konoplev's provisional does not provide specific values for the initial radius
22 and the final radius, but this is not a requirement of 112. Go to Slide 23.
23 Now, both Petitioner and Patent Owner provide an analysis of the Wands
24 factors in the briefings, and we'd like to emphasize that a person of skill in
25 the art would have known how to process an image using wrinkle-reducing

1 parameters and even the examiner recognizes this during the prosecution of
2 the 132 patent.

3 For example, as we've shown in some excerpts -- excuse me -- on
4 Slide 23, the examiner specifically cited to the Simon reference which
5 disclosed removing permanent imperfections such as wrinkles. Simon
6 specifically mentioned that there is no magic number that would work on all
7 images. Rather the radius of the filters, a function of face size and the size
8 of the face feature. In this case, wrinkles that one wishes to reduce. In
9 Paragraph 90 of Simon, it said the spatial size of the skin texture that the
10 skin texture enhancing filter smooths is a function of the size of the face, and
11 it goes on to state at Paragraph 93 that the radius of the blur filter is chosen
12 as a function of face size and the size of the skin features that one wishes to
13 detect.

14 Go to Slide 24. This is another example of commonly known
15 techniques for reducing wrinkle features in images and this was from the
16 Gonzales prior art reference which we cited to and discussed in our briefing.
17 Now, the Gonzales textbook describes how smoothing filters are applied to
18 an image. Gonzales also mentions that the reduction of what they called
19 irrelevant details, for example, wrinkles and blemishes, would involve a
20 radius referred to as the size of the filter mask, and that is larger than the
21 pixel regions that include the irrelevant details such as the wrinkle, as an
22 example. Therefore Gonzales also establishes that the radius is a function of
23 the size of the wrinkle and provides very specific equations that were well-
24 known at the time of Konoplev's provisional.

25 Now, Konoplev's provisional determines the coordinates of the face
26 and therefore a person of skill in the art understanding what was available to

1 him or her at the time, would have known how to calculate the radius of
2 these various filters described in Konoplev's provisional to reduce wrinkles
3 based on the size of the face by these commonly known techniques from
4 Gonzales and as the examiner recognized during prosecution such as in
5 Simon, so lots of prior art available to the person of skill in the art at the
6 time, again, harkening back to a premise that I used with, I think, Judge
7 Moore and Judge Jefferson earlier today, a patent applicant is not required to
8 describe every single underlying feature of its invention.

9 People with skill in the art come to the table with a certain amount of
10 knowledge, and that's why we're saying that we're in the position we are
11 today because Konoplev clearly shows how to do the wrinkle-reducing
12 parameters, talks about the use of these radii, and people of skill in the art
13 understood that these radii could be based on the size of the face. No undue
14 experimentation required there. It's application specific, but certainly within
15 the scope and skill of a person of skill in the art. Thank you, Your Honor.
16 Without any questions, I'll turn it back over to Ms. Leung.

17 MS. LEUNG: Thank you, Your Honors. So I'll be talking about the
18 motivations to combine. So Patent Owner challenges every combination of
19 references that Petitioner has set forth based on the motivations to combine
20 them. Slide 26, please. So Grounds 1A in the 1250 Petition and Ground 2A
21 in the 1251 Petition cover Independent Claim 1 while the remaining
22 grounds, 1B, 1C in the 1250 Petition and 2B and 2C in the 1251 Petition
23 cover the dependent claims. So for the sake of time here we'll be focusing
24 on Grounds 1A and 2A during this discussion.

25 Slide 27, please. So let's start with the Needham and Nonoka
26 combination asserted in Ground 1A. So we'll be addressing three issues here

1 raised by Patent Owner with respect to this combination. The first issue
2 being that Nonoka provides no benefit to Needham's already maximized
3 dynamic range correction and the second issue is that a POSITA would have
4 had no expectation of success combining the architectures of Needham and
5 Nonoka, and third, that Nonoka's gains are not usable in Needham's system.

6 Slide 28, please. So with respect to the first issue that Nonoka
7 provides no benefit to Needham's system, let's take a look again at Needham
8 Paragraph 22, and this is the example of correcting the face and the building
9 in a single image using the correction type of maximizing dynamic range
10 based on weights. So as discussed earlier, Needham doesn't mention here
11 whether this is a backlit scene, so it doesn't take into account whether the
12 person is in a backlit scene. So if Needham's processing was set for the
13 scenario that we described earlier where the image was evenly lit and it did
14 the correction of maximizing dynamic range based on just the weights, there
15 could be a possibility that the person who was in a backlit scene might not
16 become bright enough to be seen.

17 So Nonoka provides the functionality where it applies different
18 amounts of gain to the person in the landscape portion and it applies enough
19 gain that the person in a backlit scene can be seen. And in doing so,
20 Needham improves the visibility of the person in the backlit scene which
21 enables both the person in the landscape portion of the image to be more
22 easily distinguished and perceived.

23 Slide 29, please. So with respect to the second issue of combining the
24 architectures of the two systems, Patent Owner here argues that a POSITA
25 would not expect success from combining the corrections and architectures
26 of these two systems because Nonoka describes a two-path system, one from

1 monitoring the image -- one for the monitored image and one for the
2 photographed image. Now, here Petitioner didn't propose to combine the
3 two architectures of the systems, rather what Petitioner relies on are specific
4 teachings of Nonoka that are applicable to processing of digital image data
5 to correct dynamic range correction.

6 So here, Needham is not concerned with how do you process a
7 monitored image differently than a photographed image. What Needham is
8 concerned with here is applying a correction as in Paragraph -- the example
9 in Paragraph 22, applying a correction to do two different image features,
10 but in different amounts. And so Needham, a person of ordinary skill
11 looking to implement -- looking for more details, implement or improve
12 Needham's system would look to the features of Nonoka that relate to
13 applying different amounts of correction to different portions of an image in
14 the same image, not in two different images.

15 And a POSITA would have known how to combine those teachings of
16 Nonaka into Needham's system so that Needham's system would apply
17 different amounts of gain to different portions of the image to form the
18 dynamic range correction and incorporating those teachings of Nonaka into
19 Needham, would not require bodily incorporation of Nonaka's two-path
20 system into Needham. All that's needed is Nonoka's teachings that you can
21 have two different amounts of gain for two different portions of the image.
22 We don't need to include Nonoka's teachings of how to process a monitored
23 image differently than a photographed image. And those two processing
24 paths are not required to incorporate Nonoka's teachings of different
25 amounts of corrections into Needham's system. And, indeed, bodily

1 incorporation is not a requirement for obviousness as we have shown in the
2 briefings.

3 Slide 30, please. With respect to the third issue raised by Patent
4 Owner which is that Nonoka's gains are dependent on the scene already
5 brightened enough to identify the face, so the pre-brightening which doesn't
6 exist in Needham would make it so that Nonoka's wouldn't be useable in
7 Needham because Needham doesn't do pre-brightening, and the gain would
8 depend on the pre-brightening that Nonoka does. And that's not true.
9 Nonoka doesn't require the gains to be dependent on pre-brightening.
10 Nonoka doesn't require pre-brightening in every single scenario. If the scene
11 in the original image is already bright enough that a face can be detected,
12 then Nonoka doesn't need to perform pre-brightening.

13 So pre-brightening is only performed if Nonoka can't detect the face
14 on the first attempt, and this is shown in Nonoka's Figure 8 on the right-hand
15 side of the slide when it detects the face, it goes down the yes branch and
16 just goes straight to optimization processing where it applies the different
17 amounts of gain. And if
18 pre-brightening was required for every single image that Nonoka processes
19 there would be no yes branch here. It would go directly to the no branch
20 where the pre-brightening process is performed. Alternatively if pre-
21 brightening was required in every single processing, then that pre-
22 brightening processing would come before the face detection, and neither
23 case is sure here. There's no pre-brightening before the face detection in this
24 case and there is a yes branch which means that there are case scenarios
25 where it doesn't need to do the pre-brightening. So in sum, all of Patent

1 Owner's arguments regarding the motivations to combine Needham and
2 Nonoka fail.

3 Slide 31, please. So next we'll address the Zhang/Konoplev
4 combination asserted in Ground 2A in the 1251 Petition. So we'll be
5 addressing two issues raised by Patent Owner here. The first one is that
6 Zhang is directed to image capture and Konoplev is directed to post-
7 processing, and the second issue that we'll be addressing is that Konoplev
8 computationally complex after the fact digital enhancements would have
9 been impractical and unnecessary to implement in Zhang's image capture
10 process.

11 Slide 32. So as to the first issue, that Zhang is directed to image
12 capture and Konoplev is directed to post-processing, and that would make
13 them inappropriate to combine, Patent Owner ignores the explicit teachings
14 of Zhang and Konoplev. So I've shown here both Zhang and Konoplev
15 explicitly mention that their teachings are applicable to digital cameras
16 which at that time were used for image capture and both Zhang and
17 Konoplev explicitly mention that their techniques are applicable to mobile
18 cell phones which can be used for both image capture and post-processing,
19 and indeed Konoplev says that these devices have sufficient memory and
20 can perform calculations needed for implementing the image enhancement
21 method.

22 So contrary to Patent Owner's arguments both references are directed
23 to both image capture and post-processing and so it would be appropriate to
24 combine them.

25 Slide 33, please. So Patent Owner's second argument is that
26 Konoplev's operations are computationally complex and suited to only post-

1 processing. Now, these arguments ignore the similarity between Zhang and
2 Konoplev. Now, here we have the abstract of Zhang and Konoplev shown
3 on this slide. Now, the yellow highlighting shows that Zhang and Konoplev
4 have similar goals. They both aim to enhance a portrait in a digital image.
5 Now this is desirable both for images being captured and for images after
6 they are captured, so this is a desirable enhancement for both image capture
7 and post-processing. Zhang/Konoplev also have similar processing steps.
8 Shown in the pink highlighting, they both detect a head or a face in the
9 image, so they both do some kind of object detection.

10 Zhang says defining a head region; Konoplev says facial images are
11 detected. And then shown in the blue highlighting, they both perform
12 similar processing on the image once the object is detected. Zhang blurs a
13 background. They're highlighted in blue. It says Zhang says blurring the
14 background. Konoplev says the imperfections are automatically corrected,
15 and as we discussed earlier the way that is done is by applying smoothing to
16 the image, and blurring and smoothing are both different amounts of the
17 same correction which Patent Owner doesn't dispute.

18 And finally as shown in the orange highlighting, they both combine
19 image data to create the corrected image. Zhang says blending the
20 foreground, the transition region, and the background to form a new digital
21 image. Konoplev says the corrected image is combined with the original
22 image. So Zhang/Konoplev are really not as different as Patent Owner
23 makes them out to be.

24 So unless Your Honors have any questions on anything that we've
25 discussed here or on any of the remaining motivations to combine issues

1 raised by Patent Owner, we'll rest on our papers and reserve time to address
2 any issues that Patent Owner may raise.

3 JUDGE JEFFERSON: Nothing further.

4 MS. LEUNG: Thank you.

5 JUDGE GALLIGAN: Thanks. You have about 40 minutes of
6 rebuttal time.

7 MS. LEUNG: Thank you.

8 JUDGE GALLIGAN: You may proceed.

9 MR. ROBINSON: Thank you. Good afternoon, Your Honor, may it
10 please the board. It's worth taking a moment to review exactly what the 132
11 Patent claims and describes. I'll skip ahead to Slide 6, to begin with.

12 With the 132 Patent, Independent Claim 1, the only challenged
13 independent claim is shown. It's important to note what this claim recites,
14 and that's a method of sequentially and interrelated steps.

15 We know from the language of this claim, and the language of each of
16 these steps, that they necessarily proceed in a step-wise fashion from start to
17 finish.

18 First, determining whether a portion of an image represents a physical
19 object of the predetermined type. Let me simplify this a little bit; each one
20 of Petitioner's invalidity grounds depends on the object of the predetermined
21 type being faces. So, I'm just going to refer to that as "faces" going
22 forward.

23 So, the first step is determining whether a first portion of the image
24 represents a face. The second step is determining a correction to apply
25 based on the determination that the image includes a face.

1 That is the cause and effect. If there is a face, then you determine this
2 correction. In addition to that, the correction is matched to the type. So,
3 those are two separate requirements of this second step.

4 The fact that a correction corresponds to a face doesn't mean that
5 correction was determined because there was a face detected in an image.
6 And again, we know that this second step has to occur after the first, because
7 it refers to the determination in the first step, that the face is in the image.

8 The third step is applying that determined and matched correction to
9 the face. So, we know this has to occur after the second step because it's the
10 determined correction. It's already been determined based on the presence
11 of a face. We know that it's matched because that determined correction is
12 matched to the face.

13 And then finally, the fourth step is applying a second amount of the
14 correction. It's that same correction to a second portion of the image, that's
15 not the face. This has to occur sequentially. The plain language of the claim
16 requires it.

17 So, this is a method of Step 1, Step 2, Step 3, Step 4, in that order,
18 without exception. It cannot be anticipated merely because, as Petitioner
19 asserts, a system might perform each of these steps independently,
20 independent fashion.

21 Now, to be clear, that's not a concession that Needham, or the
22 Needham Nonaka, or the Zhang Konoplev systems would necessarily be
23 capable of performing all of these steps. But Petitioner absolutely has not
24 shown that any of those, Needham or Zhang, anticipates this claimed method
25 of these steps in this order.

1 And Petitioner has not shown that it would have been obvious to
2 modify or use any of those systems in the prior art to perform this claimed
3 method: these steps, in this order.

4 Now, I think it's probably easiest to go ahead and refer back to
5 Petitioner's demonstrative Slide Number 16. This slide shows Needham's
6 Paragraph 22. If you refer to the Petition, Needham's Paragraph 22 is the
7 only part of Needham that Petitioner mapped to the application of different
8 amounts of the same correction to the image. This is the only paragraph.

9 Now, why that's important is, despite there being correction to a face
10 and to a building, Dr. Bovik conceded in his deposition testimony that the
11 correction of adjusting intensity dynamics range is applied to this image, in
12 Paragraph 22, regardless of whether there's a building in it, regardless of
13 whether there's a face in it.

14 So, there is no way that modifying the intensity dynamic range could
15 have been selected or determined based on the existence of a face in that
16 image. It's simply impossible.

17 Judge Moore, to your question earlier, and Petitioner's counsel
18 conceded, Needham does not include anything about a correction in a
19 feature description. And so, merely finding a feature in an image cannot
20 trigger the determination of a correction.

21 In fact, what Needham describes at Paragraph 33, in reference to
22 Figure 8, is that the system first determines the correction, and then
23 determines what the mode of that correction is. The mode having two
24 alternatives in Needham; first, it can be applied to the entire image, or it can
25 be applied to a single feature.

1 So, if you start with a correction and then figure out what you're going
2 to apply it to, that's not the same as looking to an image, determining
3 whether there is a face, and then determining a correction based on the fact
4 that there is a face in the image.

5 The second thing to point out on Petitioner's Slide 16 is that
6 Paragraph 25 in the Petition was not mapped to this feature. Paragraph 35
7 was only referred to generically. It was never mapped to this feature.

8 Even if it's considered, Needham never ties it Paragraph 22.
9 Needham never says Paragraph 35 is referring back to Paragraph 22.

10 JUDGE GALLIGAN: Counsel, I wanted to ask you about something
11 Needham said, discloses. I want to look at Paragraph 26 of Needham, and
12 you know, I think the language of the last sentence of that paragraph is
13 telling. "Based on the feature description, the feature-based correction unit
14 270, performs one or more specified correction operations." So, why isn't it
15 that -- because the corrections are specified and they're determined based on
16 the particular feature, why isn't that a matching?

17 MR. ROBINSON: Well, Your Honor, it's important to consider this
18 in the context of the overall Claim 1 of the 132 Patent, and what it requires.
19 So, even if you consider this to match a correction to a feature type, it
20 doesn't determine a correction based on the identification of a face in an
21 image.

22 JUDGE GALLIGAN: But it says based on the feature description,
23 and the feature description on they characterize the visual properties of
24 corresponding detected image features.

1 So, I mean, we're talking about detecting faces and buildings, so how
2 is that not based on it. It says the language is based on; that's what it says in
3 the reference.

4 MR. ROBINSON: Sir, Your Honor, it does use the phrase "based
5 on," but we know from the rest of the disclosure in Needham that it doesn't
6 use that phrase in the same way that Claim 1 does.

7 Specifically, Needham's system, structurally, cannot perform it away
8 (phonetic). Petitioner's counsel conceded as much that a feature description
9 doesn't identify a correction.

10 And so, we know that this process that it's describing has to start with
11 the correction. And the first thing that you've got to ask -- the first thing that
12 Needham asked once it starts with that correction is, what's the correction
13 mode of that correction? Is it to the entire image, or is it to a particular
14 feature?

15 If it's to the entire image, then you could look to Paragraph 22 and say
16 it might be applied with different weights, but that's not the two-step process
17 that Claim 1 requires, which is identifying the face, and determining based
18 on the identification of the face.

19 So, absolutely Needham discloses that some corrections may be
20 applied in different ways, based on a feature description, but Needham goes
21 at it from the other direction.

22 It starts with a correction; it says what's the mode? Is it the entire
23 image? If so, maybe it's applied to different features with different weights.
24 But if it is a single feature, then it would be applied only to that feature.
25 Now, importantly --

1 JUDGE GALLIGAN: But what about the disclosure in Paragraph 19
2 of Needham that says, you can define -- corrections operations may also be
3 defined in individual image features. So, there seems to be a correlation
4 here, between features and the operation.

5 MR. ROBINSON: Your Honor, when you go to that second path --
6 and again, you have to start with the correction. Just structurally, the way
7 that Needham works, you can't start with a feature and then trigger a
8 correction. You have to start with a correction and say, is it on an individual
9 feature?

10 So, if you start with that, then you look to the fourth step of Claim 1.
11 And what Needham doesn't do is go the second step and say, once you've
12 picked a correction for a particular feature, or once you've applied a
13 correction to a particular feature, that you are then going to apply that same
14 correction in a different amount to a second feature.

15 JUDGE GALLIGAN: And let's talk about that for a minute because
16 Claim 1 -- and I'm looking at this right now, I don't think it says that you
17 have to apply a second amount of correction to a second feature. It says
18 apply a second amount of the correction to a second portion of the image.

19 So, for instance, you can -- well, I mean, this is important because I
20 think Needham says something like, in Paragraph 32 it's pointed at the last
21 two sentences. For example, the intensity dynamic range use for correcting
22 a particular human face may be determined according to the size, but the
23 larger the face, the larger the dynamic range.

24 So, it's talking about, okay, say if you define a correction for a face,
25 the larger the face gets a larger of that correction, a smaller face image gets a
26 smaller -- that's a different portion of the image.

1 So, why wouldn't that read on the claim? Because you're not
2 claiming different features, you're claiming different portions. As I read
3 claim 1.

4 MR. ROBINSON: So, right, yes, Your Honor, we are claiming
5 different portions. The first portion, though, corresponds to a feature type.

6 What Petitioner has asserted here is that the feature type is face, not
7 large face, not small face, nor does Needham say that large face or small
8 face is a type of feature. What has been consistent through the Petition is
9 that face is the object of the predetermined type.

10 And so, the face that you start with and apply a correction in different
11 amounts, potentially or in different ways I'll say, it's not necessarily
12 different amounts, which I'll explain in just a moment.

13 The fact that you apply a correction in different ways to a face,
14 doesn't mean that you've determined that correction based on the fact that
15 you identified a face in the image to start with. And it doesn't necessarily
16 mean that the -- well, in this instance, what you've got is one type. The type
17 is face.

18 And so, you're applying now, that, in different ways and the second
19 face is not a second portion of the image because the first portion of the
20 image from Claim 1 has to correspond to the objects of predetermined type.

21 JUDGE GALLIGAN: Yeah, so, let's walk through that. So, is there a
22 -- I'm trying to figure this out. It sounds like you're saying that Claim 1 says
23 that there is an object of a second predetermined type. Is that in Claim 1? I
24 don't see it.

25 MR. ROBINSON: No, Your Honor, and to be clear, that's not what
26 I'm trying to articulate.

1 JUDGE GALLIGAN: Oh, okay, sorry. And I wasn't trying to -- I
2 was just trying to figure out, because it does say second portion, correct?

3 MR. ROBINSON: Yes, Your Honor, absolutely.

4 JUDGE GALLIGAN: Okay, great.

5 MR. ROBINSON: And so, in this instance, if you've got an object of
6 the predetermined type, as Petitioner has contended it's a face, it's face. The
7 correlation here is between object and correction, face and the correction.

8 So, you find the face. If there's a face, then you select the correction.
9 You apply it to that correction and first you apply that correction to the face
10 in the first amount, and then you apply it to a second part of the image in a
11 second amount.

12 So --sorry, I believe it was Paragraph 32, that Your Honor had
13 referred to just a moment ago. The difficulty with jumping around in these
14 paragraphs within Needham is it's a bit like playing Whack-a-Mole.

15 So, Needham describes different approaches. There is, does it go to
16 the entire image, does it go to just a feature. If it goes to just a feature, then
17 you find another correction and it might go to a different feature.

18 Needham never ties these together and says you will perform them in
19 this order that's required by the method. And in fact, Needham suggests the
20 opposite.

21 Needham never says, for example in Paragraph 32, Needham is
22 talking about operational parameters for a correction operation. Recall that
23 the correction operation may be applied to the entire image, or to a single
24 feature. But when it's talking about it here in Paragraph 32, it's talking
25 about the specified dynamic range in this context.

1 The first question that you have to ask when you look at a correction
2 operation is what's the mode, and how is it applied. And, if you look, for
3 example, at Paragraph 27, the Petitioner's counsel mentioned statistically
4 properties. So, statistical properties might refer to the size of the face, for
5 example.

6 Paragraph 27 says when a correction operation is applied to the entire
7 input image. So, what Paragraph 32 doesn't say is, that it's choosing to
8 change the dynamic range because a face has been identified in that image.
9 And that's a critical part of Claim 1.

10 It's not enough that a correction may be applied in a different way to a
11 face. There's two parts; it has to be determined based on the identification of
12 the face in the image, and it has to be matched to that face.

13 And so, a lot of Petitioner's arguments that we heard today focused on
14 matched but overlooked the first part of that step which absolutely requires
15 that you determine the correction based on the identification of the face in
16 the image. As to whether --

17 JUDGE MOORE: Doesn't the operation mode determine a correction
18 to apply the extent of the determination to the first portion of the image
19 represent a particular type of a predetermined type?

20 MR. ROBINSON: So, Your Honor, if you look to the operation mode
21 of apply a correction to a particular feature, one, it doesn't say, apply to a
22 particular feature type. It just says, you have a set feature, you're going to
23 apply this correction to that.

24 The important point here is that you start with the correction. You
25 know when you get to that correction that you're going to apply it to the
26 image. Once you start with that correction you say, all right, is there a face

1 here? If so, then you apply the correction in a specified way to that feature.
2 Not necessarily to all faces, not necessarily to all features of that type.

3 What Needham does not say or suggest is that when you set a
4 correction according to a feature, that once you apply the correction to that
5 feature in the specified way, that you will also apply that correction to
6 another part of the image in a different amount.

7 JUDGE MOORE: Okay, so the issue is it's not a differential
8 application of that correction? You see that (inaudible) of a face on the
9 whole thing. Does (phonetic) it make a different issue of trying to secure
10 (phonetic) analysis that I can see there.

11 MR. ROBINSON: Absolutely, so that's the issue in that particular
12 branch of Needham's approach. The tricky part is there is an issue with each
13 of the branches that Needham takes. It's with each of the approaches that
14 Needham takes. And Needham never ties them together in the way that
15 Claim 1 requires.

16 And so, I'm not trying to be evasive, that is absolutely one of the
17 issues for that particular approach of Needham.

18 JUDGE MOORE: So, are you saying that you need to find the face
19 first and then determine the correction, and it's not sufficient that it's sort of
20 predetermined what corrections are going to apply to the face, or whether
21 they are going to apply to a face?

22 MR. ROBINSON: Your Honor, the association between a particular
23 correction and the face is predetermined. That's the matched piece. What
24 must happen as a step in the claimed method is that you identify the face
25 before you determine that you're going to apply the correction to the image.

1 JUDGE MOORE: But it does do that, right? It identifies a face and
2 then later in this process in that flowchart, I forget which figure it is, it
3 actually applies a correction. The face is already identified before it
4 determines whether that mode requires the correction to be applied.

5 MR. ROBINSON: I think I was a bit imprecise in what I just stated.
6 So, it's not just that the identification of the face happens before a
7 determination of the correction.

8 It's that, one, it has to happen before, but it's also based on the fact
9 that a face has been found. Meaning, if you don't find a face, you're not
10 necessarily going to go to that correction.

11 Now, Petitioner has criticized that argument by saying that the 132
12 Patent doesn't specifically say, if you don't find a face, you're not going to
13 go to that correction. Ultimately, the 132 Patent doesn't need to describe
14 that negative because it describes the affirmative.

15 The claims on this point, and the description on this point, have been
16 consistent and explicit since the 132 application was filed. And that's that
17 the determination of the correction is based on -- not just following -- but is
18 based on the fact that you've found a face in the image.

19 JUDGE GALLIGAN: And that's where I keep coming back to
20 Paragraph 26. So, you said, based on a matching or different requirements,
21 26 is based on -- now, it sounds like matching it is subsumed in determining
22 in the claim.

23 So, where's the support -- or not support -- where is matching
24 described in the Spec in the written description. I believe the term
25 "matching" isn't used. So where is the concept of matching described?

1 MR. ROBINSON: Your Honor, I'll actually answer that. If you'll
2 permit me, I'd like to back up.

3 JUDGE GALLIGAN: Sure, sure.

4 MR. ROBINSON: Just to step to the premise of the question, which
5 is whether matching is subsumed in determining, and the answer is, no.

6 So, Paragraph 26 does use the phrase "based on," but it's not saying
7 that it's selecting a correction based on the identification of a face in the
8 image. What it's saying is that, based on the feature description, a
9 correction is applied.

10 So, the feature description has things like statistical properties that can
11 impact how a correction is applied. But that sentence in Paragraph 26
12 absolutely is not saying that the correction is determined based on the
13 feature description.

14 JUDGE GALLIGAN: I guess it's also not saying the negative, which
15 is to say, we're talking about faces.

16 It's not saying that if no face is found, continue to do that correction.
17 It's saying based on something, do it. It's not saying but if no face is found,
18 still do it. And that's what you seem to be saying, is, it's going to do it
19 regardless. And then I'm wondering why it says, "based on," if that's the
20 case, in Paragraph 26.

21 MR. ROBINSON: Well, Your Honor, it may help to back up one
22 step, and then I'll absolutely address that as well.

23 JUDGE GALLIGAN: Sure.

24 MR. ROBINSON: Petitioner's Slide 16 is a good reference because
25 it's got Paragraph 22 there in full. Number 1 point here is the Petition only

1 relies on Paragraph 22 for the differential application of the determined and
2 matched correction.

3 Now, the corrections in Paragraph 22, Dr. Bovik concedes are going
4 to be applied regardless of whether there is a face in the image. And so, it
5 can't have determined it based on the identification of the face in the image.

6 JUDGE JEFFERSON: Forgive me for stopping you, but I want to
7 understand what you're saying. I read that as, being the entire image branch
8 in Figure 8, and that's one case. But that's not the sole application of 22.

9 22 also applies if you go down the individual features portion of
10 Figure 8 as well, doesn't it? Am I missing something from Needham?

11 Because that -- and I understand your point, that Dr. Bovik's
12 testimony says that it's going to always be applied. But that's in one
13 instance. There is another instance talked about -- for one who's skilled in
14 the art, reading Needham would understand that there are two paths, and
15 here's one that's feature based.

16 And it's iterative. If you actually go through the path in this chart,
17 and you find another feature you do it again. Am I missing something?

18 MR. ROBINSON: A few points Your Honor. First, Needham never
19 says that Paragraph 22 applies in the other path. It just doesn't. It's
20 nowhere in there; it's not mapped to the Petition.

21 JUDGE JEFFERSON: A person of ordinary skill in the art wouldn't
22 understand that to apply. Are you saying they would only understand it to
23 apply to the entire image? Because that seems to be your focus.

24 MR. ROBINSON: Yes, Your Honor. That's the long and the short of
25 it. It's that if you look at the Petitioner specifically --

1 JUDGE JEFFERSON: Not the Petitioner, I'm asking about the
2 reference -- I'm sorry to cut you off there.

3 MR. ROBINSON: Sure.

4 JUDGE JEFFERSON: But I mean, you're sitting -- a person of
5 ordinary skill in the art reading Paragraph 22 would know it only applies to
6 the entire image approach in Needham, where it's applied regardless of
7 whether there's a feature. That's the only way I seem to be able to follow
8 your point.

9 MR. ROBINSON: Yes, Your Honor, and that's consistent with other
10 disclosures in Needham as well.

11 So, Paragraph 27, for example, says that, when a correction operation
12 is applied to the entire input image, statistical properties of the detected
13 features may be used to determine how the overall correction may be
14 performed. And it goes on to talk about intensity of dynamic range.

15 Now, stepping back to the Petition for just a moment, Paragraph 22 is
16 all we have to work with. The fact that Dr. Bovik concedes that these
17 corrections in Paragraph 22 happen regardless of whether there's a face in
18 the image, should be fatal to Petitioner's anticipation theory. It cannot
19 anticipate unless Needham explicitly says. And, Petitioner has pointed out
20 in the Petition where these happen.

21 In Paragraph 22, these corrections happen in conjunction with, and in
22 the order required, by Independent Claim 1. Needham never does it. It
23 certainly doesn't do it in Paragraph 22, which is the only thing that
24 Petitioner relies on for this differential correction.

1 To the broader point, Your Honor, Needham never says that the
2 different importance between a face and a building are applied because a
3 face is found in the image.

4 As one example, Needham never says that the face is even identified
5 first. He never says that the face is corrected first. Nowhere in Needham
6 does it say you start with a face, and then you find a building, and you apply
7 at those differently.

8 Nowhere does it say that you start with a face, you correct it in one
9 amount of one particular correction, and then you apply something else with
10 a different amount of a different correction.

11 To the contrary, Needham has two distinct branches, all of which start
12 with the corrections; so that's a foundational point. But one of which says,
13 this correction applies to the entire image. The other one says, this
14 correction applies to a particular feature.

15 Nowhere does Needham say, correction applies to this feature and
16 something else. It's not just there when you go down that second path.

17 JUDGE MOORE: But if the weight were zero would it not apply?

18 MR. ROBINSON: I'm not sure I understand your question, Judge
19 Moore.

20 JUDGE MOORE: What I'm looking at is the weight determines -- at
21 least as I understand, how much do the corrections apply to the given
22 feature.

23 And so, I would assume then, that the weight is like 100 or something
24 where you know, a higher number is where the corrections apply, and a
25 lower number indicates that less of a correction applies.

1 So, if it was zero, then no correction would be applied, and if it was
2 100 or whatever, a full correction would be applied.

3 MR. ROBINSON: Your Honor, to be clear, there's nothing in the
4 briefs that suggest that hypothetical. I think the suggestion in Needham is
5 that if a correction is not going to be applied to an image, you just don't
6 associate it. The correction itself is not associated with a particular feature.

7 So, there is nothing to suggest that a weight might be zero. And the
8 more natural reading of Needham is that the initial threshold decision is
9 whether a correction is to be associated with a feature at all. And there
10 would be no need to associate it with a feature and then give it a weight of
11 zero.

12 There's certainly no suggestion in the briefing, or in Dr. Bovik's
13 explanation of that.

14 Sticking with Paragraph 22 for just a moment on the amounts, and
15 whether the amounts are different. Petitioner's counsel today, for the first
16 time, articulated a hypothetical in which the lighting is perfectly the same for
17 the face and the building, and if you apply different dynamic ranges, it
18 would end up being different amounts of correction.

19 A couple of things to unpack with that. First, Dr. Bovik never said
20 that the building and the face would ever have perfectly identical dynamic
21 ranges; never articulated a set of facts in which that would happen. So,
22 maybe it'd help to step back just a little bit on the dynamic range.

23 The dynamic range is the -- for a particular object -- in this case a face
24 or a building -- the difference in brightness value between the darkest pixel
25 and the lightest pixel.

1 Applying equal lighting to a building and to a face, one, wouldn't
2 necessarily result them in having an intensity of dynamic range that is equal.
3 So, there is nothing in the record to suggest that you would ever have an
4 equal starting point for the face and the building, in terms of dynamic range.

5 Without that, that's the only scenario that's been articulated by
6 Petitioner, and it was only here today by Petitioner's counsel. Without that,
7 there is no way, as Dr. Villasenor explained, to determine whether the
8 corrections would be applied in different amounts.

9 JUDGE GALLIGAN: Counsel, let me get back to your explanation
10 of the dynamic range, which is helpful. So, it does relate to brightening or
11 darkening portions of an image?

12 MR. ROBINSON: So, gain (phonetic), in terms of an adjustment to
13 dynamic range, does relate to brightening and darkening. Intensity dynamic
14 range more relates to -- think of it as the range of variation of intensity in
15 pixels within a particular image, or region of an image.

16 So, the difference in value, maybe it's -- the range may be 1-256,
17 you'd have an intensity dynamic range of 200 if the darkest pixel in a face at
18 a value of 1, and the lightest pixel in a face had an intensity of 200. Does
19 that help?

20 JUDGE GALLIGAN: Yes, thank you.

21 MR. ROBINSON: Okay.

22 JUDGE JEFFERSON: Before you move on, and forgive me for
23 laboring a point, but looking at Paragraph 22, in the portion that you have, I
24 guess, highlighted on Petitioner's Slide Number 16, the, for instance, if the
25 correction operation of maximizing intensity is applied to both human faces
26 and buildings, doesn't that portion indicate that a different amount of the

1 correction is applied to those two objects, at least in some instance, within
2 Needham?

3 MR. ROBINSON: No, Your Honor, and here's why. The intensity
4 dynamic range that's discussed in Paragraph 22 is the end point.

5 So, for example, if the intensity dynamic range that you want to use
6 for the face is 200, out of 256, and the intensity of dynamic range that you
7 want to use for the face is 56, out of 256, you can only know the amount of
8 the actual change, if you know the starting point.

9 And we've got nothing on the record to suggest what that starting
10 point would be under any circumstances whatsoever.

11 JUDGE JEFFERSON: And because we can't know the starting point,
12 we would argue we can't know if different amounts are applied, even though
13 they end up at different levels at the end result.

14 MR. ROBINSON: That's absolutely correct, Your Honor. Without
15 the starting point, you can never know the end point -- sorry -- without the
16 starting point, you can never know what it took to get to the end point.

17 And there is absolutely nothing in the record to support what the
18 starting points would be at any particular point in time under any
19 circumstances whatsoever. That was Petitioner's burden. Petitioner didn't
20 meet it.

21 Even if they had, and again not to belabor the point made, Needham
22 doesn't tie this into the sequence of interrelated steps that is absolutely
23 required by Claim 1.

24 JUDGE JEFFERSON: So, you would agree, though, that Needham
25 teaches in Figure 8 the process steps that would apply to achieving that end
26 result.

1 MR. ROBINSON: Just one moment, I want to give you a precise
2 answer. Figure 8 absolutely discloses an example of a process that might be
3 approached at a high level. Needham never ties Paragraph 22 to Figure 8.

4 Where Needham does talk about sort of following the path of a
5 correction that's applied to a particular feature. Needham discloses that the
6 correction is associated with the feature, never goes the extra step -- and it's
7 an important one -- of saying that the same determined correction that's
8 matched to that feature, is also applied to a different part of the image.

9 JUDGE JEFFERSON: But it does say at the end, we know the
10 features end up with different amounts of dynamic range intensity.

11 MR. ROBINSON: No, Your Honor, and here's where that --

12 JUDGE JEFFERSON: You don't know that the building and the
13 faces end up with different? You just said -- I thought we agreed that we
14 know the end result --

15 MR. ROBINSON: That's right --

16 JUDGE JEFFERSON: But we don't know the starting point.

17 MR. ROBINSON: This is where Petitioner's theories get a little
18 tricky. Paragraph 22 says they end up at different places.

19 JUDGE JEFFERSON: Yes.

20 MR. ROBINSON: Paragraph 22 is not tied to figure 8 within
21 Needham. At least the part of Figure 8 that relates to a correction that's
22 associated with a particular feature, as opposed to being associated with the
23 overall image. Needham never makes that connection.

24 And, instead, when Needham talks about a particular correction being
25 applied to a particular feature, it suggests that -- well, it never says, now that

1 you've determined that for one feature, you're also going to apply that
2 correction to something other than that feature.

3 JUDGE JEFFERSON: Accepting your point that it never says that,
4 we do know that the end result is that two features -- at least according to
5 Paragraph 22 -- end up with different values or attached to -- based on
6 features; one's a building, one's a face.

7 MR. ROBINSON: No, Your Honor, and here's the reason. The
8 question, if I understand it correctly, presupposes that we are going down the
9 branch of a correction that's assigned to a particular feature; as opposed to a
10 correction that's assigned to the overall image.

11 I will absolutely agree with you that if you go down the path of a
12 correction, intensity dynamic range adjustment that's applied to the overall
13 image, that Needham says that you might apply it in different amounts to
14 different features within that image.

15 And Paragraph 22 gets you to the place where you've got two
16 different things, at two different end points. But Needham never says that
17 we go down the path of, a correction is applied to one feature, and then
18 somehow gets up to Paragraph 22. Needham never connects those dots, and
19 neither does Petitioner.

20 In terms of Petitioner's obviousness theories, I'll start --

21 JUDGE GALLIGAN: I'm sorry to interrupt.

22 MR. ROBINSON: Sure.

23 JUDGE GALLIGAN: Before we go to the obviousness theory, I did
24 want to go back to the difference, if any, between "based on" and
25 "matching" in the claim. And, in particular, it sounds like there is a
26 difference -- the Patent Owner is suggesting there's a difference.

1 Where is matching described in the specs that we read in the written
2 description of the 132 Patent, so we know what “matching” means, apart
3 from “based on”; a correlation “based on,” for instance. Where is
4 “matching” described, so we know what it means?

5 MR. ROBINSON: Sure, so a foundational point, the salient point in
6 Claim 1 is not so much “based on” versus “matching.” It’s “determining”
7 versus “matching,” because determining is the action that’s actually
8 required, as opposed to applying a correction.

9 In terms of where that’s described, I would refer you to the Patent
10 Owner’s sur-reply in the 1250 proceeding, where that particular argument
11 from Petitioner was addressed; specifically, at Pages 5 through 7.

12 So, what the 132 Patent describes at a high level is identifying a face
13 in the image, and then determining what correction you're going to apply.

14 In terms of matched to that correction, the way that the 132 Patent
15 describes that happening is, you find a face, you go to a gain lookup table --
16 this is one example -- a gain look up table that has gain values for the face
17 pixels, and has gain values for the other pixels.

18 Now, in terms of determining, the 132 Patent never says, as Petitioner
19 implies, that the other pixel gain values are going to be applied regardless of
20 whether there's a face in the image.

21 So, the “determining/based on” is, find a face in the image, you go to
22 the gain table that has the gain values for the face pixels.

23 The “matched” part of that is, once you're in that table, the gain values
24 corresponding to the face pixels are associated specifically with the face
25 pixels and are used specifically for the face pixels; as opposed to the other
26 pixel gain values, which are not associated. They are used on other pixels.

1 JUDGE GALLIGAN: But that -- in your telling of the 132
2 description then, the gain is the predetermined operation. So that gets us
3 back to Needham, which is where you're accusing Needham of having, that
4 if for some mode Needham has a predetermined operation that looks, finds
5 faces, goes and finds the correction, the parameters applied according to the
6 predetermined operation, you just said that's what the 132 Patent does.

7 MR. ROBINSON: No, Your Honor.

8 JUDGE GALLIGAN: Sorry -- I understood you to say -- because you
9 said you go look at the gain parameters, so that's, the gain is the adjustment
10 then, right?

11 MR. ROBINSON: So, the gain is the value that is used for the
12 adjustment. The adjustment itself is applying that gain to the intensity of a
13 particular pixel.

14 JUDGE GALLIGAN: Okay, so where's the matching in the Spec?
15 Where's this predetermined data structure table -- look at Table "whatever"
16 that actually says, human face matches this operation, that's the matching.
17 Where's that in 132. It doesn't -- maybe it is in there, I don't --

18 MR. ROBINSON: So, at Page 5 of Patent Owner sur-reply, in the
19 1250 proceeding references, for example -- there are a number of examples
20 that are referenced.

21 Column 3, Line 12 - 27; Column 5, Lines 52 - 60; Column 6, Lines 22
22 - 25; and Figure 7.

23 There are a number of examples that are described. One of which has
24 one gain table that has both a face gain values and the non-face gain values.
25 And then there are multiple gain tables that have the different sets of gain
26 values.

1 So, what the 132 Patent claims and describes is, you start with the
2 input image, and you analyze that input image to determine -- and I'm going
3 to stick with the face because that's what Petitioner has mapped -- you start
4 with the image, you look for a face. If you find a face, then the adjustment
5 to intensity dynamic range is associated with the fact that there is a face
6 there. So, you go to the gain table, which as the gain values that you apply.

7 Now, the action of determining is, first you find a face, if there is a
8 face, then you adjust dynamic range. Then you go to the table and the
9 values, the gain values that are associated with the face, are particular
10 associated with the face, and the gain values that are associated with other
11 parts of the image are associated with other parts of the image.

12 And that's how the values associated with the face, are matched with
13 the face. The critical --

14 JUDGE GALLIGAN: Yeah, that's helpful. So, that sounds still like
15 there's one operation that's being performed in different amounts to different
16 parts of the image, the one operation being dynamic range correction, let's
17 say in 132. Needham shows one operation being performed in different
18 amounts.

19 So, is there something in the Spec that says, there's a predetermined
20 preset matching, or is it simply that you have an operation, therefore, it's
21 matched to the face, for instance?

22 MR. ROBINSON: Your Honor, I think it's matched to the face
23 because the correction is pre-associated with objects of the predetermined
24 type, which are faces. That doesn't speak to how you get to determining to
25 apply that correction.

26 JUDGE GALLIGAN: Okay, thank you.

1 MR. ROBINSON: So, the critical distinction between the 132 Patent
2 and Needham is that you pick the object, you identify the object of a
3 predetermined type; based on that identification, you determine the
4 correction to apply. That's the opposite of what Needham does.

5 Needham starts with a correction and then says, okay, we've got this
6 correction, we know we're going to apply it; how do we apply it?

7 Dr. Bovik's concession on Paragraph 22, and the fact that the
8 Petitioner only relies on Paragraph 22, should be determinative of the
9 novelty grounds.

10 When you look to the obviousness grounds, that then turns to Nonaka,
11 it's helpful to start again with the application of different corrections, and the
12 order in which the asserted different correction amounts is applied.

13 Specifically, in Nonaka, the Petition maps only Paragraphs 48 - 50 to
14 Elements 1B -- where Petitioner has identified as Elements 1C and 1D, of
15 the claims. The Petition maps only Paragraphs 48 - 50 of Nonaka.

16 What Paragraphs 48-50 of Nonaka disclose is applying a first gain
17 value, G1, to the landscape, and a second gain value, G2, to the person.

18 The reason that that order is important is that the gain value, G1 -- the
19 idea of changing that intensity dynamic range by adding the gain value G1 to
20 the background, G1 is applied to the background regardless of whether
21 there's a face.

22 When you apply it to the background, you know you haven't picked
23 that for the background -- that correction or the value, for the background --
24 because there's a face there.

1 And so, referring to Claim 1, when the first step is determining
2 whether there's a face, the second step is determining the correction to apply
3 based on the fact that you found a face; Nonaka doesn't fill that gap either.

4 JUDGE JEFFERSON: Is it because of the order? Nonaka is the
5 background first then the body second. If the order was reversed would it
6 then read on the claim?

7 MR. ROBINSON: Well, Your Honor, that's I guess -- it's a
8 hypothetical; it's not in the record. But, as to the hypothetical, if all of the
9 other elements were met, if the correction of applying the gain values was
10 determined based on the fact that you found a face --

11 JUDGE JEFFERSON: In my hypothetical, assume we are only
12 talking about this one limitation, which you seem to say is based on order; if
13 G2 was applied first, if it was applied -- you know, you've applied the gain
14 to the body and then the G1 to the background, would that meet the first
15 portion of Claim 1?

16 MR. ROBINSON: If you assume that all other elements are met, and
17 that G2, the determination of that gain is based on a presence of a face, and
18 G2 --

19 JUDGE JEFFERSON: Or body. I'm specifically asking about the
20 body.

21 MR. ROBINSON: Ah, well then no, it wouldn't. So, a couple of
22 things here. First --

23 JUDGE JEFFERSON: So, the claim would only apply if you're
24 determining if it's a face?

25 MR. ROBINSON: It absolutely does under the Petitioner's mapping.

1 JUDGE JEFFERSON: I'm asking of the interpretation of the claims
2 itself. I mean, I don't see anything that says that the predetermined type has
3 to be a face.

4 MR. ROBINSON: No, Your Honor, certainly Claim 1 does not. All I
5 can speak to is the way the Petitioner has chosen to map its prior art to these
6 claims, and that is that the object is a face, full-stop. For the reasons that
7 we've discussed, Needham doesn't do that. I

8 JUDGE JEFFERSON: I thought we were talking about Nonaka, I'm
9 sorry.

10 MR. ROBINSON: Yes, we are, Needham doesn't do that and so
11 Petitioner has turned to Nonaka. Nonaka doesn't do that either.

12 Starting with the Paragraphs 48 - 50 that have been mapped to it, they
13 don't do that. They do it in the opposite order. They never say that we're
14 applying this because there's a face in the image. In fact, it's doing exactly
15 the opposite.

16 JUDGE GALLIGAN: I don't think Nonaka is doing the opposite.
17 For instance, in Paragraph 50 of Nonaka it says, "to solve the problem, the
18 optimization processing section 5b performs the correction processing of the
19 person with a gain 2 as a correction value larger than that of gain 1."

20 I mean, it's -- I guess the first determining step is determining whether
21 a first portion represents a physical object. The Patent says nothing, really,
22 about that, the 132 Patent just says that's known.

23 Then it says, determining a correction to apply to the first portion -- it
24 actually doesn't say determining a second correction. It says, applying a
25 second amount of the correction.

1 And Nonaka does show applying a second amount of the correction
2 because there's Gain 1 and Gain 2. It's the same correction, different
3 amounts, and it's based on the fact that there's a face and a landscape. So, I
4 mean, that is there.

5 MR. ROBINSON: Your Honor, what is not there in Nonaka, is
6 selecting the application of gain as a correction because there's a face in the
7 image. What those Paragraphs say is, we're going to apply the gain to the
8 background, we're going to apply the gain to the landscape; is the first point.

9 And the second point is that, Nonaka never says, even with respect to
10 the application of Gain 2 to the person, never says that we're going to apply
11 the gain because there is a face on the person. For example, Paragraphs 48 -
12 50 would read the same way if the person was turned around.

13 So, there's really two issues baked into that. One, Nonaka is not
14 determining to apply any amount of gain to this image because there is a
15 face in the image. So, it can't fill in that gap from Needham.

16 The second part is that Needham doesn't match that correction to the
17 face because it simply applies it to the person. Again, the critical --

18 JUDGE GALLIGAN: Counsel -- I'm sorry, I didn't mean to
19 interrupt. Go ahead.

20 MR. ROBINSON: No, no, by all means, I was about to move on. So,
21 happy to answer your questions.

22 JUDGE GALLIGAN: Well, that's funny, I was actually going to say
23 if we could -- and you've used about 48 minutes, you have about 22 minutes
24 left in your opening time.

1 But I did want to get to the Konoplev as prior art issue, and I didn't
2 know when you were going to discuss that. And, I don't want to take you
3 out of turn, but I certainly want to discuss that with you.

4 MR. ROBINSON: Absolutely, Your Honor, and I will move on to
5 that in just a moment.

6 JUDGE GALLIGAN: Great.

7 MR. ROBINSON: The other point about Nonaka, and I will like to
8 briefly bring up Nonaka's Figure 8, which has been excerpted by Petitioner
9 in its reply.

10 Patent Owner obviously made a number of points about why a person
11 of ordinary skill in the art would not combine Nonaka with Needham, not
12 least of which because Nonaka is a complex system that requires all of its
13 various parts to function.

14 Now, Petitioner has responded by saying, no, no, we were only
15 talking about the optimization processing of Step 17, not the correction and
16 emphasis process of Step S15, which requires the pre-brightening. And
17 again, when that brightening happens before you know whether there's a
18 face, it cannot be responsive to identifying a face in the image.

19 Now, Petitioner's reply, essentially makes the point that Patent Owner
20 was trying to make in its Patent Owner response.

21 Petitioner's reply says, no, no, we weren't talking about Paragraph 42
22 in Nonaka, which says you have to brighten to find a face. We were talking
23 about Paragraph 58 in Nonaka.

24 Well, Patent Owner addressed Paragraph 58, and the reason that
25 Paragraph 58 is important is because it doesn't talk about Step 17 or Step 15.

1 The place that Petitioner skipped over in its reply that has optimization
2 processing is way down in Step 24.

3 Now, Step 24 is important because that's the image capture part. Step
4 15 and 17 are the monitored image part; the monitored image branch.

5 So, you think of in a digital image, or a digital camera, or in your
6 phone when you're taking a picture, you get an image that's shown on the
7 display. There are some real time adjustments so you can see what your
8 captured picture is likely to look like. But ultimately, the correction that
9 Petitioner is pointing to in its reply is the correction that happens way down
10 in Step 24 for the capturing part.

11 The reason we know that is in Nonaka's Paragraph 58, it's referring to
12 Figure 6, and it says that specifically, in such a scene that has a backlit
13 landscape without any person, the optimization processing section does not
14 perform the optimization processing.

15 You've got to read a little bit farther, though, because we know from
16 Nonaka that the optimization processing section 5B works in a number of
17 places.

18 The optimization processing section 5B performs the optimization
19 processing of the scene in which the person is detected at the backlit part, as
20 shown in Figure 3, and performs the exposure control.

21 Now, exposure control is important and when you look at the part of
22 that Paragraph 58 that continues on to Page 5, it references exposure control
23 again, and it references when photographing is not aided with extra light,
24 which would be the flash. So, furthermore, when the flash light is not
25 emitted, energy consumption can be reduced.

1 The reason that's important is that flash and emission exposure
2 control only happens at S23, down there on the image capture part. So,
3 when we scroll back up here to the monitored image loop, there's nothing
4 about flash, there's nothing about exposure control.

5 And so, this Paragraph 58 that Petitioner has explicitly relied on yet
6 again, doubled down on in its reply, absolutely requires both of those.

7 The critical piece here is that it is this big monitored image loop, in
8 the upper part of Nonaka's Figure 8, that determines whether there's a face
9 at all for the photographing, for the captured image. And once it determines,
10 we get down here to Step 24, where Paragraph 58 says, if there's not a
11 person, we don't have to do it.

12 Well, a couple of things to unpack here. One, the Petition maps both
13 of these loops. So, for Petitioner to backtrack and say, well, despite the fact
14 that we relied on parts of both of these loops, we really only meant to pluck
15 these individual pieces, doesn't hold water.

16 The second part is the explicit, the explicit motivation that Petitioner's
17 counsel referenced here today for adding Nonaka to Needham, is to be able
18 to process backlit scenes.

19 Well, what we know about backlit scenes from Nonaka is that it
20 makes the foreground very dark. And when the foreground is very dark, you
21 might not be able to see the face.

22 So, for Petitioner to now say, well, we're not going to bring over that
23 pre-brightening because we don't need it, doesn't match up with what
24 they've mapped as their purported obviousness theory, which is, we have to
25 be able to deal with these backlit scenes.

1 You're not going to bring over looking for a face, without that pre-
2 brightening, if you're looking to Nonaka's teaching, because that's not going
3 to do what Nonaka is purportedly brought over for, which is to be able to
4 find faces in dark foregrounds, dark because the background is backlit. And,
5 let me try to get my slides back up.

6 JUDGE JEFFERSON: Isn't there another interpretation of the
7 Petitioner's position is that there's another path where you don't do the pre-
8 brightening at all? The face is visible, and so you just -- whichever loop
9 you're in, you would just jump straight to the face detection and your
10 differential application of gain.

11 MR. ROBINSON: Your Honor, there is that reading, but it doesn't
12 make sense. It's not consistent with, nor supported by, the purported
13 motivation that Petitioner's counsel doubled down on today, which is to be
14 able to deal with backlit scenes.

15 Nonaka has an overall system for addressing backlit scenes, and it
16 says specifically, when you've got a backlit scene in the foreground, you
17 may not be able to find the face.

18 JUDGE JEFFERSON: You may; the operative word is "may."

19 MR. ROBINSON: But if the point is to deal with a backlit scene,
20 you're not going to take only the part that doesn't deal with sort of the
21 extremes of that backlit scene.

22 Nonaka has an overall approach to dealing with backlit scenes, which
23 is to pre-brighten because not only is it a mere possibility that you won't be
24 able to see that face, but it's enough of a possibility in a backlit scene with a
25 darkened foreground, that Nonaka says, okay, you've got to have this, this is

1 important enough that we're going to include it as a loop. To say without
2 this, you know, good luck, essentially.

3 Now, if pre-brightening weren't necessary, here's the other piece of
4 this; if pre-brightening weren't necessary, Needham already has the face
5 detection. It can already find a face.

6 So, to add just finding a face from Nonaka makes no sense. If finding
7 a face is the problem that you're trying to solve, Needham solved it.

8 So, the only way that you're going to look to Nonaka is to bring over
9 that processing loop that actually does what Nonaka's purpose is to do,
10 which is to find the person in a darkened foreground. There's nothing else to
11 add.

12 Unless there are any other questions on Needham or Nonaka on the
13 1250 proceeding, I'll go ahead and jump ahead to the 1251 proceeding. And
14 to do that I'm going to go ahead and bring up Petitioner's Slide 21.

15 Now, the interesting thing about Petitioner's Slide 21 specifically
16 Paragraph 49 of Konoplev --

17 JUDGE GALLIGAN: I'm going to interrupt you before we get to
18 Konoplev, I wanted to talk about the 132 Provisional.

19 In the Patent Owner responses, you -- Patent Owner -- provided some
20 citations to where there's support in the 132 Provisional for each of the
21 challenged claims in the 1251 proceeding. And I'm looking at the
22 Provisional here.

23 What is the Z-Light module in the Provisional? For instance, Exhibit
24 2003, Page 8, which is the 132 Provisional, and you cited at various places.
25 Actually, it's Page 5 of the Provisional, Page 8 of the Exhibit, so it's Page 5.

26 MR. ROBINSON: 5 of the Provisional, 8 of the Exhibit?

1 JUDGE GALLIGAN: Yes, sorry about that.

2 MR. ROBINSON: Okay.

3 JUDGE GALLIGAN: So, in your briefing you cited as Page 5, and
4 by the way you're doing a great job fielding the questions, I'm interrupting
5 you a lot. Thank you.

6 MR. ROBINSON: I appreciate that, thank you.

7 JUDGE GALLIGAN: It's very helpful.

8 MR. ROBINSON: So, I think the easiest way to articulate that is
9 when you are talking about a pixel, you can think of the X and Y axis in a
10 two dimensional image of being positional.

11 So, a rectangular digital image will have a certain number of pixels
12 along the X direction and a certain number of pixels in the Y direction. And
13 you think of the Z Light as being sort of the vertical representation along the
14 Z axis.

15 So, my read of the Provisional as it has been explained by Dr.
16 Villasenor, is that the Z-Light module is talking about the expression of
17 those pixels other than their two dimensional position, if that makes sense.

18 So, conceptionally, you think of brightness or intensity as being sort
19 of along that Z axis. You could think of a picture as being a histogram
20 where the Z direction is sort of higher for brighter pixels, and maybe lower
21 for darker pixels, or you could reverse that.

22 JUDGE GALLIGAN: Does Dr. Villasenor put this in his declaration?

23 MR. ROBINSON: I'm trying to relate this in a way that sort of makes
24 sense to my more physical brain.

25 JUDGE GALLIGAN: Okay, I'm kind of interested in the evidence of
26 record, so I'm looking at the value, and I'm looking at these arguments.

1 What is the Z-Light module? A lot of this depends on a Z-Light module and
2 I'm not seeing what that is in this Provisional and in the evidence.

3 MR. ROBINSON: The Z-Light module is just a module that performs
4 the functions that are described in the Provisional application, Your Honor.
5 Z-Light modules is not intended to --

6 JUDGE GALLIGAN: Yes Sir. The Provisional, I believe, has to
7 have First Paragraph 112 support for the claims if you want to back date
8 your claims to the Provisional. So, it sounds like it's a black box that does
9 something that's not explained. How is that showing a written description?

10 MR. ROBINSON: Your Honor, the difference is that the function in
11 the 132 Patent, when broken down into isolation -- and I'll find the specific
12 citations for Dr. Villasenor's declaration as I try to explain this -- so, Dr.
13 Villasenor, Paragraphs 43 - 54, spans a number of pages, explains sort of
14 where the support is.

15 JUDGE GALLIGAN: So, I'm where you are at. It really doesn't
16 explain it. It repeats as a claim chart, it repeats the exact same things that
17 are in the briefing. It has one paragraph of explanation in 44 about
18 saturation and why that shows maintaining local contrast.

19 So, and I'm looking back to Dynamic Drinkware, and it's Patent
20 Owner's burden, given presumptively prior art, which the Konoplev
21 reference is based on the nonprovisional filing date, the burden of
22 production shifts to Patent Owner to come forward with evidence and
23 persuasive argument, and there's simply no argument.

24 So, you point to things like the Z-Light module, how is that showing
25 written description support for any claim? And that's what I'm trying to get

1 at. How can we rely on this argument to backdate the 132 claims to the
2 Provisional date?

3 MR. ROBINSON: Well, Your Honor, I guess the starting point
4 would be that it is presumed to be enabled. And so, the party challenging
5 enablement would have the burden of production to challenge that, which
6 Petitioner hasn't done. The second thing is in terms of --

7 JUDGE GALLIGAN: Okay, so it's presumed -- I guess, what's
8 presumed to be enabled because the Federal Circuit tells us that you have to
9 come forward with evidence and argument that there is enablement. That's
10 the standard, so I don't know that there's a presumption. If there were a
11 presumption the Federal Circuit wouldn't tell us to do that.

12 MR. ROBINSON: I guess, Your Honor, I would point you to the
13 level of skill in the art that can absolutely have, and it's the level of skill in
14 the knowledge of a POSITA.

15 So, the art itself and the individual actions described in the 132 Patent,
16 sort of focusing on the Z-Light module misses the point of whether a person
17 of ordinary skill in the art would understand how to make a software module
18 that performs the tasks that are described.

19 And so, I'll refer you to Paragraph 44 where it quotes from Page 4 of
20 the 132 Provisional, another example is adjusting the dynamic range
21 compression (Z Light). So, as I was trying to describe in a little bit more
22 physical way, it's equating Z Light to adjusting dynamic range
23 compressions. So, the dynamic range is the Z Light, and you're adjusting it.

24 We know from the art that that, in and of itself, was known to a
25 POSITA and that a POSITA could do it. We know that finding a face in
26 isolation could be done, and that a POSITA could do it.

1 And so, there's nothing -- I think it's telling that there's not a single
2 individual step in anywhere in this Provisional that Petitioner has challenged
3 where it was described and enabled.

4 And so, absent that challenge, Dr. Villasenor's explanation of pointing
5 to specific points in the Provisional that disclose it, and his explanation that
6 it was, in fact, enabled, should be sufficient under these circumstances.

7 And I think you'll find that in other Dynamic Drinkware applications,
8 that there is not a requirement, certainly not one that I've seen, that a
9 proponent of a Provisional filing date do a complete Wands Factor analysis,
10 for example, when enablement hasn't been challenged by anybody.

11 JUDGE GALLIGAN: No, maybe there isn't but, it does state that you
12 are to come forward with evidence and argument. The burden of production
13 they say is includes evidence and argument, and there's really no argument.
14 So, we get back to things like your entire case and the 1250 depends on this
15 identifying a face and then you have to have an operation based on a face.

16 And all the Provisional says is do dynamic range compression. It
17 doesn't say, first do it and then figure out an operation, and such operations
18 can be either, examples, and then you pre-match those.

19 So, the Provisional starts as a starting point saying, do dynamic range
20 compression. So, it looks like you're trying to have it both ways.

21 And that's what Needham describes too, is doing dynamic range
22 compression and when you say finding faces is known in the art, and doing
23 dynamic range compression is known in the art, so what do we have here?

24 MR. ROBINSON: Your Honor, if you look at, for example, Page 16
25 of Exhibit 2005, Dr. Villasenor's declaration, the advanced state of the art

1 offered by this new concept is the use of image content to determine
2 methods in the amount of dynamic range correction.

3 So, that's explicitly saying what is the point that I made earlier, and
4 that you just referenced as saying, which is you find the face, and then based
5 on finding that face -- and obviously I'm being very specific here for the
6 reasons we discussed earlier -- but you find the object of predetermined
7 type; based on that, then you go figure out what kind of correction you're
8 going to apply.

9 JUDGE GALLIGAN: It sounds like you've already determined the
10 correction because you're saying use dynamic range correction, and that's
11 what Needham says. So, if we're backdating to the Provisional, because I
12 have to have support, what's the distinction over Needham?

13 MR. ROBINSON: Your Honor, the distinction is that you start with
14 finding the face. Once you find the face -- I'm sorry, I'm not sure if I should
15 look at the screen or the camera straight in front of me -- if you start with
16 finding the face, that's not what Needham discloses.

17 So, finding the face is the first part, based on finding the face, you
18 then go to determining the correction. So, the key -- I think maybe the way
19 to articulate this is, before you find the face in the 132 Patent claims, you
20 don't know that you're going to do anything with dynamic range correction.

21 JUDGE GALLIGAN: But in the Provisional you do, because that's
22 the entire point of the Provisional is, you're going to apply dynamic range
23 compression regardless, or you're going to do it because there are faces, but
24 you're going to do it. I mean, you start from the concept of dynamic range
25 compression.

1 JUDGE JEFFERSON: Or, if I can piggyback and state it differently.
2 Where in the Provisional does it say to find the face and then decide what
3 you're going to apply it to?

4 It would seem to me, the portion that you cited with respect to Z
5 Light, seems to say that you know that's what you're going to apply, and
6 then you go looking for something to apply it to.

7 MR. ROBINSON: So, I guess the, maybe another way to explain this
8 would be to reference on Page 16, some of the language that's quoted from
9 Page 5.

10 JUDGE GALLIGAN: This is 16 of?

11 MR. ROBINSON: Sorry, Page 16 of Dr. Villasenor's declaration,
12 Exhibit 2005.

13 JUDGE GALLIGAN: Okay.

14 MR. ROBINSON: In the 1251 proceeding. The Coach 11 Face
15 Detection Module will be used to identify faces in the image. The output of
16 the face detection module is a list of faces along with their corresponding
17 locations. They are used as an input to the Z-Light module.

18 So, Z-Light module is what determines the correction. If you don't
19 find faces, you never go to the Z-Light module. The output of the face
20 detection is the input to the Z-Light module. You're never going to look at
21 that correction if you don't have a face to put into the module.

22 The difference with Needham, for example, is that in Needham you
23 start with the faces, and then regardless of whether you find faces, you go to
24 the Z-Light module.

1 And you go to that Z-Light module and you say, okay, here's a
2 correction I'm going to apply, how am I going to apply it? Is it going to be
3 to the whole image, or is it going to be to the face?

4 The difference is that when you have a correction that you're going to
5 apply, regardless when you start with the correction, determining that
6 correction doesn't depend on whether there was a face in the image.

7 How you apply it might depend on whether there is a face in the
8 image, but it's not starting with the face detection, or the object detection,
9 and then determining what you're going to apply, in terms of a correction.

10 JUDGE GALLIGAN: Counsel, thanks. You're in your rebuttal time.
11 You're about half a minute into your rebuttal time. If you want to keep
12 going, you're welcome to. I'll keep track.

13 MR. ROBINSON: Thank you, Your Honor --

14 JUDGE GALLIGAN: Sur-rebuttal time, I should say.

15 MR. ROBINSON: I think I'll continue on for a few more minutes.

16 JUDGE JEFFERSON: and with Judge Galligan's indulgence, we
17 have started the clock here, so he does have some -- Judge Galligan is the
18 official timekeeper. Like a soccer game here, and I hate to work analogies, I
19 apologize.

20 MR. ROBINSON: (Laughter) I appreciate it. So, moving ahead now
21 to Petitioner's Slide 21, support in the Konoplev Provisional, specifically
22 Paragraph 49, which I don't believe was in the list of paragraphs cited for
23 support for this in the Petition, but nevertheless, Paragraph 49 makes the
24 point that the initial radius and the final radius are not the wrinkle reduction
25 parameters.

1 So, they're filtering parameters, and the filtering parameters are an
2 initial radius, a final radius, and separately listed, an imperfection reduction
3 mode.

4 Imperfection reduction mode, much like wrinkle reduction
5 parameters, is never explained. It never says what that mode is, how you
6 implement it. Although, the description in Konoplev's Provisional mentions
7 wrinkle reduction parameters, it never says what those parameters are. It
8 never expressly ties them to an initial radius or a final radius. It never says,
9 here's how they differ from blemish reduction parameters.

10 And I'm going to jump around on the slides for just a moment, the
11 interesting thing about that discussion is that we know from Konoplev's
12 Claim 1 that blemish removal, with the filter, is different than wrinkle
13 reduction with a filter.

14 And we can see that on Patent Owner's Slide 39, which I've got up
15 here in the Hearing Room now, Judge Galligan. On Patent Owner's Slide 39
16 you've got Step E, which is processing the converted image using wrinkle
17 reducing parameters.

18 You've got the wherein clause which is, wherein an initial filtering
19 radius and final filtering radius are set. And repeating Step C through E,
20 while the initial filtering radius is increased until the initial filtering reaches
21 the final filtering radius.

22 The first point is that it's talking about Steps C through E; this
23 wherein clause is talking about Steps C through E. And, if you look at those
24 steps the only place where the filter shows up is the skin recognition filter of
25 Step C.

1 So, Konoplev has skin recognition but then it also has this extra step
2 of, purportedly, removing wrinkles. Nothing about that wherein clause says
3 anything about changing the filtering radius as to the wrinkle reducing
4 parameters.

5 It's a matter of just antecedent basis filtering parameters like the
6 initial filtering radius and the final filtering radius refer back to the
7 recognition filter in Step C.

8 The second point is that Step F separately recites reducing blemishes
9 in the converted image. And so, when Petitioner points to the file history, or
10 the prosecution history from Konoplev, and the Examiner says, well, look,
11 removing blemishes such as wrinkles was known, that's not the same thing
12 as saying wrinkle reduction parameters as a separate step from removing
13 blemishes.

14 Now, in Exhibit 1024 -- I believe it is in the 1251 proceeding, in the
15 Konoplev file history, at Page 73 -- what's really interesting is that the
16 Examiner specifically does include processes in the converted image using
17 wrinkle reducing parameters as part of the reasons for the Notice of
18 Allowance.

19 It says, this combination of features wasn't known. He doesn't say, as
20 Dr. Bovik opines, that it's just the automation step. He says this specifically,
21 and when the Examiner then comments on the prior art, he speaks about
22 blemish reduction including wrinkles generally. It doesn't say anything
23 about wrinkle reducing parameters.

24 Dr. Bovik's reliance, and Petitioner's reliance, on the prior art
25 reference as cited in that file history is interesting because none of those
26 references were actually submitted in evidence here.

1 And, in fact, when you look at those references, none of them say
2 anything about wrinkle reducing parameters. It talks about smoothing to get
3 rid of blemishes and wrinkles as sort of a general approach.

4 It never says -- it never uses the phrase “wrinkle reducing parameters”
5 to start, but then it never says what exactly are those; what are those
6 parameters? If, in fact, they are the initial and final filtering radius, how
7 does that differ from how those are applied to remove blemishes, and detect
8 skin?

9 None of that is articulated in the Konoplev Provisional. And that’s
10 exactly what Dr. Villasenor explains in his declaration, is there's just not
11 enough there to deal with, or for a POSITA to understand what is said.

12 As far as the one reference that Dr. Bovik relies on, the Gonzalez
13 Reference, that’s the subject of a Motion to Exclude. So, it should be
14 excluded for the reasons on the motion briefing, and I won't spend a ton of
15 time here going at that specifically, but if that’s excluded that takes care of
16 the only reference that he points to that’s actually in evidence.

17 Second, even if you look at that Gonzalez Reference, and specifically
18 the parts that Dr. Bovik points to as purportedly describing and enabling
19 wrinkle reducing parameters, nothing there says anything about wrinkle
20 reduction parameters as being any different from blemishes or anything else
21 being removed. It just says generally you can use a filter to remove this
22 stuff.

23 So, two things, one is wrinkle reducing parameters is a species that’s
24 not in any prior art of record. So, there's no evidence that a POSITA would
25 have understood that term or seen that term and known exactly what it
26 meant. Especially, when that term appears at as species within sort of this

1 disclosure that talks about removing other types of things, like blemishes.
2 It's just not there.

3 And without that written description of what wrinkle reducing
4 parameters means, what it is, what it encompasses, and how it's different
5 from the other terms that are used, like reducing blemishes and the skin
6 recognition filter in Claim 1, a POSITA would have had no idea how to
7 implement that.

8 Now, the other thing about wrinkle reducing parameters is that it's so
9 broad that the, as Dr. Villasenor explains, the amount of experimentation
10 that it would have taken to figure out how do you find an algorithm that
11 removes wrinkles in a way that we know is distinguished from the blemish
12 reduction in Konoplev, where do you even start. A POSITA wouldn't know
13 where to start when Konoplev presents that as something different than the
14 broad discussion.

15 For those reasons, Konoplev is not entitled to priority, and I'm
16 mindful of my time, so I would certainly ask you to review the papers on
17 that point as well.

18 Petitioner conceded that Zhang by itself doesn't anticipate Claim 1
19 and so it has to rely on Konoplev. For the reasons we have explained,
20 Konoplev is not prior art, it shouldn't be considered. Even if it is, Konoplev
21 doesn't fill the gap of Zhang.

22 The reason is that Konoplev, again, starts with skin. It doesn't pick
23 smoothing because there's a face in an image. It picks smoothing because
24 there's skin in an image.

25 Now, a couple of points here, one -- and I'll refer to Patent Owner's
26 Slide 51 -- Petitioner's mapping. Again, Petitioner has to set the bounds of

1 this proceeding under the Supreme Court's precedents. Petitioner's mapping
2 is to Konoplev's smoothing of skin.

3 So, everywhere that the Petition addresses this, and there's 1251
4 Petition at 25 - 26, there's an example on Patent Owner's Slide 51, Konoplev
5 describes the smoothing filter as being applied only to the detected face and
6 skin areas. That's consistent.

7 So, Slide 52, a couple of other examples from the 1251 Petition at
8 Page 28, Konoplev smoothing detected face and skin areas. So, it's the face
9 and the skin.

10 And the reason I point this out is the Institution Decision went a bit
11 farther and said, Konoplev at Colum 3 -- I think it's Lines 37 through 47 --
12 once you smooth the skin, we'll go the extra step and also apply a smoothing
13 filter to the face with different parameters. That's a second step.

14 And this is where we get back into Claim 1 of the 132 Patent requiring
15 four steps in a particular order. You have to determine the correction
16 because there is a face detected, and that correction has to be matched to the
17 face.

18 If we start with skin, we know that that's not matched to the face.
19 And we know that it has to be matched to the face, because that's what
20 Petitioner has alleged in its Petition; that's the only way that it's been
21 mapped.

22 So, Konoplev doesn't fill that gap. It doesn't say, we're going to
23 smooth a face because it's a face. It says, we're going to smooth because we
24 found skin, and then if there's a face, we're also going to smooth that with
25 some different parameters.

1 Now, the sort of decision to focus on Konoplev as skin and face,
2 wasn't an accident. They had to have that piece because Zhang doesn't have
3 it. Zhang doesn't have applying a correction because you found a face.
4 Specifically, it doesn't have applying a correction to the face because you
5 found a face.

6 And so, the first point is the Petition doesn't map what the Institution
7 Decision gave it credit for. The second point is, there is a distinction
8 between the smoothing the face and the skin, and smoothing the face.

9 Specifically, the Petition has said, we are relying on smoothing the
10 skin, including the face. So that's what we've got to look at.

11 And that doesn't match up to what the purported reason for adding --
12 sorry, the names are running together in my head a little bit -- that doesn't
13 match up to what the Petitioner has asserted the reasons are for adding
14 Konoplev to Zhang.

15 With that, unless Your Honors have any questions, I'll save the rest of
16 my time for sur-rebuttal.

17 JUDGE GALLIGAN: I don't have anything further. Judges
18 Jefferson and Moore?

19 JUDGE JEFFERSON: Nothing further here.

20 JUDGE MOORE: No.

21 JUDGE GALLIGAN: Okay, great, so you have about eight minutes
22 left for sur-rebuttal. We are thinking of maybe taking a five minute break,
23 and then we'll pick up with Petitioner's rebuttal. We'll say a little after 2:50
24 Eastern

1 JUDGE JEFFERSON: Lots of us sitting here in the room (inaudible,
2 overtalking). Since I notice that some of you will be going for a long day,
3 we appreciate your sticking with us and working with us.

4 JUDGE GALLIGAN: Or 10 minutes, whatever works for the court.

5 JUDGE JEFFERSON: Given the time that we have left, I say 10
6 minutes works. So, we will get back at 2:57, according to the clock.

7 JUDGE GALLIGAN: Sounds good.

8 JUDGE JEFFERSON: Okay.

9 JUDGE GALLIGAN: Thank you.

10 (Recess)

11 USHER: All rise.

12 JUDGE JEFFERSON: You may be seated. Judge Galligan, I'll turn
13 it over to you and we can get back on the record.

14 JUDGE GALLIGAN: You may proceed, Petitioner. You have 40
15 minutes.

16 MS. LEUNG: Thank you, Your Honor. So, Petitioner wants to
17 address a few points here. We're going to address matching, as far as the
18 132 Patent is concerned, and Needham and Konoplev, and then the 132
19 Patent Provisional, and Konoplev's Provisional.

20 JUDGE GALLIGAN: And if you can also address Patent Owner's
21 argument that your entire reason to combine Needham and Nonaka depends
22 on the backlighting, and that loop doesn't -- you wouldn't use backlighting
23 with that argument.

24 MS. LEUNG: Yes, Your Honor.

25 JUDGE GALLIGAN: That'd be great, thanks.

1 MS. LEUNG: So, starting with the 132 Patent, Your Honors earlier
2 asked Patent Owner a couple of times where in the 132 Patent it describes
3 matching. And, Patent Owner never actually answered Your Honors'
4 question. And, Petitioner also had a difficult time finding where in the 132
5 Patent it described matching.

6 So, as you see here in Figure 1 of Exhibit 1001, which is the 132
7 Patent, that the correction unit is a DRC unit, which is a dynamic range
8 correction unit. And that unit is already there.

9 It's hard wired. It's set in stone. It's predetermined for everything
10 image that's being corrected. There is no detecting an object, and then
11 selecting dynamic range correction.

12 What Patent Owner was describing earlier was that when the 132
13 Patent detects a face, it selects values from a gain table, which is selecting
14 the amount of correction, not the type of correction that's required by the
15 claims.

16 And even assuming that the 132 Patent did disclose some type of
17 correction, or some type of matching, some type of selection of the
18 correction that's going to be performed after it detects a face and based on
19 detecting a face, Needham also shows that there's a matching.

20 So, if you look at Exhibit 1022 -- this is the second declaration of Dr.
21 Bovik, on Page 15, which I've shown here up on the screen -- this is
22 Needham's Figure 2, and this shows a block diagram of Needham's
23 automated feature based image correction mechanism.

24 So, in this block diagram, the input image 110 is input into the
25 automatic feature detection unit 250. So, the first thing it does with this

1 image is detect the features. In the example that we provided in Paragraph
2 22, that would be a face and a building.

3 So, from there it goes into block 260, which is where it creates the
4 feature description. So, after it detects a face, it creates a feature description
5 for the face, and that would include the type, the object type, which is human
6 faces.

7 It would include the location; where in the image is this face. It
8 would include the statistically properties of the face. And it would do the
9 same thing for the building. It would create a feature description for it.

10 From there it goes to the feature based correction unit 270. And in the
11 feature based correction unit is where the correction operation, the type of
12 correction, is retrieved.

13 So, if we go back a little bit to the correction parameters, that Box
14 240, what's in the correction parameters is actually different types of
15 correction. And the operational parameters -- let me find the diagram for it,
16 so, I'm looking at Exhibit 1004, and this is a Needham reference -- and here
17 the correction parameter is 240. And this is what would be input into the
18 correction unit.

19 So, the correction parameters include an operation mode 705, and that
20 mode is whether it's going to correct just the features or the whole image.

21 And then there's an operation definition, which is the type of
22 operations: brightness, contrast, dynamic range.

23 And then there's operation parameters, which is intensity upper bound;
24 which is where the end point would be in the dynamic range, intensity
25 dynamic range with the whole range would be. And that would be input into
26 this feature based correction unit.

1 Now, what the feature based correction unit has is a list of different
2 types of corrections, because that's what the correction parameters include;
3 it's different types of corrections.

4 So, from this feature description 260 that the feature based correction
5 unit receives, it has to select one of those corrections to apply based on, as
6 we discussed earlier, on the feature description, which includes the feature
7 type.

8 So, this feature based correction unit is where the mapping occurs,
9 from the type of feature to the type of operation.

10 JUDGE MOORE: Do we know the relationship between a correction
11 applied due to the operation mode, and a correction applied due to the
12 weight?

13 MS. LEUNG: Well, the operation mode in Figure 8 here, is actually
14 whether you correct the entire image, or the individual image features. So,
15 that's not actually the operation type.

16 The operation type would be retrieved at Step 860, after it determines
17 that it has a feature to correct.

18 JUDGE MOORE: That's Figure 8?

19 MS. LEUNG: Right, sorry, Figure 8 of Exhibit 1004. So, as you see
20 in the operation mode, if the user selected to correct individual features in
21 the image, then it would go down the flowchart.

22 If the user selected to correct the entire image with the same
23 operation, without considering any of the detected features, then it would
24 take the right branch into perform correction on the entire image.

1 JUDGE MOORE: Does the operation mode -- I expect that this
2 describes applying different amounts of the same type of correction to
3 different portions of the image?

4 MS. LEUNG: If the operation mode that's selected is to correct
5 individual image features, Paragraph 22 describes applying different
6 amounts of --

7 JUDGE MOORE: So, you need the weights for the different portions
8 of the image part of it.

9 MS. LEUNG: Correct. Now earlier Patent Owner was saying that
10 you would need to know what the initial dynamic range is to know how
11 much the gain of the operation, what the amount of the operation, what the
12 amount of correction is applied to the different portions of the image.

13 Now, that may be true, but there are scenarios that Needham considers
14 where there are different amounts of correction being applied to the image,
15 and Paragraph 22 is one of them.

16 JUDGE MOORE: I'm just trying to figure out how it works. So, it
17 seems to me, say, the face was weighted at 75, pick a number, and the rest of
18 the image was weighted at 25, pick a number, is that then applied to the
19 operation mode modification? Or how does that --

20 MS. LEUNG: No, that would be applied at the correct current feature
21 operation at 870.

22 So, how that would work is, actually you would apply it to individual
23 image features. So, in that case it would be a face and a building. I don't
24 think Needham accounts for the situation where you have a face, and then
25 you correct the rest of the image differently.

1 So, their example is a face and a building. So, it would take the
2 branch going down in the flowchart. And the operation mode in that case
3 would be individual features.

4 And the weight is obtained in Step 810; so, it obtains a weight for the
5 face and the building in Step 810. And then in the operation mode it says,
6 well, okay, I'm going to correct individual features now.

7 So, I'm going to take the down branch, and at 840 the unit says,
8 there's a face I need to correct. It retrieves operation type, that's Step 860,
9 and then it corrects the current feature, the face, at 870, using the operation
10 type and also the weight. And then it would do the same thing for the
11 building.

12 JUDGE MOORE: But other than in Paragraph 22, is there a
13 description here of how the weights are actually used?

14 MS. LEUNG: I think Paragraph 22 is the paragraph that provides a
15 concrete example for two different image features.

16 There are other portions of Needham that describes that weights are
17 assigned to image features, but Paragraph 22 is the one that describes how
18 they are applied with different image features.

19 If Your Honor have no more questions on Needham, then I'll move on
20 to Nonaka. Let me pull up the claim language here so we can take a look at
21 it.

22 So, earlier Patent Owner argued that Nonaka doesn't remedy the
23 deficiencies of Needham with respect to the matching because Nonaka
24 performs a correction, even if a face is detected.

25 On Pages 14 to 15 of our Petition for the 1250 proceeding, we clearly
26 allege with respect to the Claim Element 1E -- which is determining a

1 correction to apply to the first portion of the digital image data, wherein the
2 determining correction is matched to the predetermined type -- that Nonaka
3 doesn't perform optimization processing when no face is detected. And we
4 did cite Paragraph 58 for this, and Figure 6.

5 We then advanced that that renders obvious detecting a face and using
6 that detection as a basis for determining the correction apply. Now, it's for
7 determining the correction to apply, not the amount of correction.

8 And Patent Owner argues that Nonaka doesn't match the correction to
9 the face, also because it applies a correction to the landscape first, and then
10 applies the correction to the person.

11 Now, if you look at Claim 1, there's really no requirement here that
12 the face be corrected first. It just says, applying the determined correction to
13 the first portion, and applying a second amount of correction to the second
14 portion.

15 There's nothing in the claim that says you have to apply the
16 determined correction to the first portion before you apply a second amount
17 of the correction to a second portion.

18 So, even if Nonaka applied the correction to the landscape first, and
19 then it applied the correction to the person portion, it would still satisfy the
20 claim language.

21 But in Nonaka, Nonaka has to find the face to know where the
22 background is. If you don't find the face first, how would you know what is
23 a background and what is a face?

24 So, in that way, the correction is based on finding a face. Whether it
25 applies it first to the landscape or to the face, you have to find the face to

1 know where the face is, where the landscape is, where to apply the different
2 amounts of correction.

3 Now, for the fact that Nonaka applies the correction to the entire
4 person, and not just the face, doesn't mean that the correction isn't matched
5 to the face. There is still cause and effect.

6 Nonaka detects a face, it doesn't detect a person, it detects the face,
7 then it knows where the person is. So, based on detecting the face, it applies
8 the correction to the person. The claims don't preclude that.

9 Just as long as it detects a predetermined type of object, a face, and
10 applies the correction to the first portion of an image, which is a face, it
11 doesn't say it can apply it to the body also. It still meets the claim language.

12 And then with respect to Patent Owner's argument regarding the
13 motivation to combine, where Nonaka needs to do pre-brightening because
14 of the backlit scene, I think it was Judge Moore earlier, or Judge Jefferson --
15 sorry, I can't remember -- but one of Your Honors mentioned that there is a
16 situation where the face is bright enough, even though it's in a backlit scene.

17 Now, it can be detected, and in that case, you don't have to do a pre-
18 brightening and Nonaka recognizes that; that there are situations where
19 there's a backlit scene, the face is dark, but not so dark that you can't detect it
20 the first time around. And so, you wouldn't have to do pre-brightening in
21 that situation.

22 And all we're looking at in Nonaka is that when no face is detected, it
23 doesn't do that optimization processing because it doesn't know the
24 difference between the background and the face.

25 But when a face is detected, it does the optimization processing with
26 the different gain values for the landscape and the person.

1 And I did want to go back a second, with regard to the Needham
2 Reference Paragraph 22 -- sorry, I'm jumping around. I just forgot about
3 this particular argument that Patent Owner pointed out about Dr. Bovik's
4 testimony that Needham's algorithm would be applied whether or not there's
5 a building or there's a face in the image. Well, that's really irrelevant here.

6 Needham's algorithm applies to detected image features. So, of
7 course, it would still apply if it doesn't detect a face, or it doesn't detect a
8 building. It would apply if it detects a different image feature, but that's
9 really irrelevant.

10 What's important here is that when a face is detected -- which as
11 Patent Owner pointed out, we've mapped to the predetermined object type --
12 when that face is detected, it performs a correction. And, based on that face
13 being detected, it retrieves a correction and applies that correction on the
14 face.

15 JUDGE GALLIGAN: But I think I understood Patent Owner's
16 argument to be that -- not necessarily contesting that, but then saying it's not
17 doing the same correction operation for different image features. It's either
18 doing one correction on the whole image, or there's different branch which
19 is, correction operations that are specific for each image feature.

20 And so, I understood its argument to be, even if it's specific to the
21 predetermined object, the face, where is the disclosure that the same -- in
22 that particular path -- the same correction is then applied to some other
23 portion of the image? Does that make sense?

24 MS. LEUNG: Right --

1 JUDGE GALLIGAN: Because the claim requires the same, but the
2 differential corrections; the same correction in different amounts, and not
3 just different corrections.

4 MS. LEUNG: Right, so it would have to have two different detected
5 image features in the image in order to apply two different corrections, or
6 two different amounts of the correction to the image. So, it would have to
7 take that path that we've shown earlier.

8 JUDGE GALLIGAN: And then I think Patent Owner would say,
9 where's the disclosure that the second image feature is mapped, or the same
10 correction operation is mapped to the second image feature?

11 MS. LEUNG: That would be in the example of Paragraph 22 of
12 Needham, with the face and the building.

13 JUDGE GALLIGAN: Okay, thanks, I understand your position,
14 thank you.

15 MS. LEUNG: Then, very quickly, before I hand it over to my
16 colleague, Mr. Riffe, Patent Owner also argued earlier that there's no
17 matching of the correction to the object type in Konoplev because the
18 smoothing is applied to the face and skin areas. Now, that really doesn't
19 matter either.

20 A correction can be matched to multiple object types, in this case the
21 face and the skin. And, as we discussed with Needham earlier, the building
22 and the sky.

23 In Needham the correction was matched to both the face, when a face
24 was detected, it retrieved the dynamic range correction; the building was
25 detected, it retrieved the dynamic range correction. It just did it in different
26 amounts.

1 Now, in Konoplev it's the same concept here. There can be a
2 correction that's matched to multiple object types. In this case, the
3 correction is matched to the face, and it's also matched to the skin.

4 But there's still a cause and effect relationship here, as we showed
5 earlier, that when Konoplev detects a face, it applies the correction to the
6 face, a wrinkle reduction correction.

7 Now, the fact that it also applies some smoothing correction to the
8 skin doesn't make the wrinkle reduction correction not matched to the face.
9 That is still matched to the face. And the 132 Patent claims don't preclude
10 the correction from being matched to multiple types of objects.

11 And, if Your Honors don't have any questions regarding these issues,
12 I'll hand it over to my colleague, Mr. Riffe. Thank you.

13 MR. RIFFE: Your Honors, I just want to take one minute to note, or
14 correct the record, in my opinion.

15 With respect to your question, Judge Galligan, about the Z-Light
16 module earlier, that you asked Patent Owner's counsel about, in one of its
17 answers to Your Honor, Patent Owner's counsel contended that Dr.
18 Villasenor at Page 16 of his declaration demonstrated that the 132
19 Provisional explains how a face is detected before consulting the Z-Light
20 module, and thus before determining to apply face corrections.

21 I looked at Dr. Villasenor's declaration at Page 16, and I would
22 contend there's no support there for that contention. So, I would direct Your
23 Honors to please review that as part of your diligence as well for the Final
24 Written Determination.

25 But going further, even if faces are first detected before going to the
26 Z-Light module, there's no disclosure of using detection of face to decide

1 what type of correction to apply in the Provisional. So, that's my final
2 statement. I believe we'll surrender the remainder of our time, Your Honors,
3 unless there are no further questions.

4 JUDGE JEFFERSON: Nothing further.

5 JUDGE GALLIGAN: Thank you, Counsel.

6 MR. RIFFE: Thank you.

7 JUDGE GALLIGAN: Thanks. Patent Owner, you have eight
8 minutes left and you can start when you prefer.

9 MR. ROBINSON: Thank you, Your Honors. With respect to
10 Paragraph 22 of Needham, Petitioner's counsel just conceded that it is true
11 that you need to know the starting points to know the amounts of correction.
12 That is the second point on which the anticipation theory absolutely fails
13 with respect to Needham.

14 In Petitioner's counsel explanation of Figure 8 of Needham, and
15 walking through that with Paragraph 22, Petitioner's counsel asserted that,
16 of course, the second time that you walk through it with the building feature,
17 that of course, the correction would be the same. That explanation
18 presupposes a connection between Paragraph 22, and Figure 8, that is not in
19 Needham.

20 Judge Galligan, to your question about where the disclosure is that ties
21 those two things together, it is not. It is not in the Petition; it is not in
22 Needham.

23 Petitioner's counsel also said that Page 14 of the Petition cited Figure
24 6 of Nonaka in support of the notion that the optimization processing section
25 5B does not perform its task if there's not a face detected. That statement is
26 absolutely false.

1 On Page 14 of the Petition, the only paragraph that Petitioner relied on
2 was Paragraph 58. And we know from our examination of Figure 8, that
3 Paragraph 58 necessarily refers to the image capture portion. It does not
4 relate to the image monitoring portion.

5 So, Petitioner's own mapping of Nonaka relies on both of those paths;
6 the captured image loop and the monitored image loop. It can't go without.

7 And yet, Petitioner is now contending that, well you would just pluck
8 this one decision out of Nonaka and put it into Needham to process backlit
9 images. Again, if all you're plucking from Nonaka is whether or not there's
10 a face, regardless of whether your face detection module can find it, you've
11 added absolutely nothing to Needham.

12 On rebuttal, Petitioner's counsel also asserted that in Nonaka the face
13 must be found to know where the landscape is; specifically, I think, with
14 reference to Paragraphs 48 - 50 of Nonaka that the Petition mapped to the
15 differential amounts of correction. That assertion is absolutely incorrect.

16 You'll recall in Nonaka that the landscape is the part outside the
17 window. That's the bright part. That's the part that's backlit. There is a
18 tremendous contrast shown in Nonaka's figures between that brightly backlit
19 landscape and the dark interior.

20 So, you absolutely don't need to know whether there's a face in the
21 dark foreground to know where the landscape is. It doesn't need to happen.
22 In fact, doesn't happen, because Nonaka actually talks about making
23 corrections to that brightly lit landscape regardless of whether there is a
24 person in the foreground. So, that's in incorrect premise.

1 It finds the landscape. It applies Gain 1 to the landscape. It then
2 looks to see whether there's a face. If it can't find one, it brightens the
3 foreground because the foreground is too dark to find the face.

4 Now, again, that's the very point of Nonaka. Setting aside the fact
5 that Petitioner mapped all of these parts of Nonaka to the application of
6 differential amounts of the determined correction, it's absolutely necessary
7 that if you want to take Nonaka's teachings and use them to improve
8 Needham, to improve the identification of a person in the foreground, you
9 have to take the entire processing approach of Needham.

10 Now, Petitioner's counsel also asserted that it doesn't matter the order
11 in which a correction is applied, that a correction can still be mapped to an
12 object. That still misses the point.

13 Claim 1 of the 132 Patent requires identifying the object of the
14 predetermined type, and then determining based on the identification of --
15 I'm going to say face again, because that's what's mapped -- based on the
16 identification of the face, then you determine a correction.

17 JUDGE MOORE: Have you already determined the operation that
18 you would apply to that type of feature before you identify it?

19 MR. ROBINSON: Your Honor, there is a preexisting correlation
20 between object of predetermined type, and the face, the object itself. That's
21 not the same as saying, I'm going to determine the correction based on the
22 presence of the face.

23 Now, it doesn't require picking that correction from a list of potential
24 corrections. Setting aside the fact that the 132 Patent said, look this is an
25 example of a framework; there are plenty of other corrections that can be
26 implemented with a similar approach, you don't have to pick a correction

1 from a set of available corrections. The 132 Patent is specifically talking
2 about, what's the correction that you're going to determine if there is a face
3 in the image?

4 And Page 16 of Dr. Villasenor's declaration that Mr. Riffe just
5 referenced, in fact, quotes a portion of the Provisional application, it says,
6 the output of that determination is the input to the Z-Light module that
7 makes the correction. Meaning, that if there's no output of face, there's no
8 input to the corrections. You're not going to perform that correction.

9 Ultimately, to focus on matched without also considering that
10 determination, misses the point. If you don't find the face before
11 determining the correction, and if there's only one correction determining
12 whether you're going to apply that correction, and if there's only one
13 correction determining whether you're going to apply that correction, then
14 you essentially would be reading out limitations of the claim.

15 And I'll give you an example; Claim 1 of the 132 Patent, if it said,
16 identifying a face, determining a correction, and then the rest, it wouldn't
17 require a sequence. But what it says is, you identify the face, and then you
18 determine the correction based on the face, and then that correction is also
19 matched to the face.

20 The 132 Patent and the 132 Provisional, I believe it was Page 5 of the
21 sur-reply, that cites particular parts of the 132 Patent, the action of
22 determining is, is there a face?

23 The action of determining the correction is, if there's a face, we're
24 going to put that into the Z-Light module and that is going to cause the
25 dynamic range correction to be applied to the face, the gain values for the
26 face are matched to the face, and they're going to be applied to the face, and

1 then the gain values that are not matched to the face, in a different amount,
2 are going to be applied to another portion of the image that's not the face.

3 JUDGE GALLIGAN: That seems slightly different from the
4 argument you were making earlier on which is, because the claim says,
5 determining a correction to apply, and it sounds like the correction to apply
6 has been determined; it's only a matter of whether it is applied. I think
7 there's a distinction there. It sounds like that maybe is where your position
8 on the claim is going.

9 MR. ROBINSON: Your Honor, I see that my time has expired. May
10 I briefly answer your question.

11 JUDGE GALLIGAN: Sure, absolutely. Thank you.

12 MR. ROBINSON: All right. So, to the extent that it seemed I was
13 trying to go towards a list of corrections, that wasn't what I was trying to
14 articulate earlier.

15 The point of finding the face first, and determining the correction
16 based on identifying the face is, it can manifest in two different ways, which
17 I think I just alluded to.

18 If there's a list, you can pick from that list. If there isn't a list -- if
19 there's only one that's preassociated with that particular identification of a
20 face -- then determining a correction is: if there's not a face, you don't
21 correct; if there is a face, you take that correction and you apply it to the
22 face.

23 And that's exactly what Page 5 of the 132 Provisional described,
24 where the output of the facial identification module is the input of the Z-
25 Light module that actually determines: all right, here's the correction to the
26 DRC.

1 You can think of it this way, taking that output and putting into the
2 DRC says, okay, I'm determining that we're going to apply that DRC based
3 on the identification of that face.

4 JUDGE GALLIGAN: Thank you, Counsel. I appreciate that.

5 MR. ROBINSON: With that, I think you've heard enough from me
6 today. Thank you all for your time and Patent Owner (inaudible) briefs.

7 JUDGE GALLIGAN: And thanks very much for excellent
8 presentations from all counsel. Petitioner, what is it?

9 MR. RIFFE: Your Honor, I know I surrendered our time, but I would
10 like 30 seconds to address one point made by Patent Owner's --

11 JUDGE GALLIGAN: Well, I'm not in favor of the sur-sur-rebuttal.
12 You had your rebuttal period.

13 MR. RIFFE: Fair enough, Your Honor, thank you very much.

14 JUDGE GALLIGAN: Thank you very much, Counsel. You both had
15 excellent presentations. You did a great job answering our questions. We
16 will adjourn for now. We will issue Final Written Decisions in the 1250 and
17 1251 cases in due course. Thank you very much.

18 (Whereupon, the proceedings at 3:31 p.m. were concluded.)

IPR2018-01250 and IPR2018-01251
Patent 8,447,132 B1

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