

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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NOVARTIS PHARMACEUTICALS CORPORATION,  
Petitioner,

v.

PLEXXIKON INC.,  
Patent Owner.

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Case IPR2018-01287  
U.S. Patent No. 9,469,640

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**PATENT OWNER'S MANDATORY NOTICES**  
**PURSUANT TO 37 C.F.R. § 42.8**

Patent Owner, Plexxikon Inc., hereby submits its mandatory notices pursuant to 37 C.F.R. § 42.8(a)(2).

**A. Real Party-In-Interest (37 C.F.R. § 42.8(b)(1))**

The real parties-in-interest is the following: Plexxikon Inc. (the assignee of U.S. Pat. No. 9,469,640 (“the ’640 Patent”)). No other parties will be funding or controlling this proceeding.

**B. Related Matters (37 C.F.R. § 42.8(b)(2))**

Patent Owner identifies the following judicial and/or administrative matters that may be deemed related under 37 C.F.R. § 42.8(b)(2):

The ’640 Patent is presently asserted in *Plexxikon Inc. v. Novartis Pharmaceuticals Corporation*, C.A. No. 4:17-cv-04405-HSG (EDL), in the United States District Court for the Northern District of California.

As of the filing date of these mandatory notices, post-grant review PGR2018-00069 has been filed against U.S. Patent No. 9,844,539.

The ’640 Patent is a continuation of U.S. Patent Application No. 13/926,959, which is a continuation of U.S. Patent Application No. 13/866,353, which is a continuation of U.S. Patent Application No. 12/699,450, which is a National Stage Entry of PCT/US2008/070124, with a Publication No. WO/2009/012283, which Claims Priority from Provisional Application 60/959,907.

The following patents or applications claim priority to U.S. Patent Application No. 15/048,851, which issued as the '640 Patent:

- U.S. Patent Application No. 15/260,042, now U.S. Patent No. 9,844,539; and
- U.S. Patent Application No. 15/656,900.

**C. Lead and Back-Up Counsel (37 C.F.R. § 42.8(b)(3)-(4))**

Patent Owner identifies the following lead and back-up counsel and service information:

<u>Lead Counsel</u> Elizabeth Stotland Weiswasser Weil, Gotshal & Manges LLP 767 Fifth Avenue New York, NY 10153 T: 212-310-8022 F: 212-310-8007 <a href="mailto:elizabeth.weiswasser@weil.com">elizabeth.weiswasser@weil.com</a> USPTO Reg. No. 55,721 Customer No. 506,499	<u>Back-Up Counsel</u> Derek C. Walter Weil, Gotshal & Manges LLP 201 Redwood Shores Parkway Redwood Shores, CA 94065 T: 650-802-3934 F: 650-802-3100 <a href="mailto:derek.walter@weil.com">derek.walter@weil.com</a> USPTO Reg. No. 74,656  Brian Chang Weil, Gotshal & Manges LLP 201 Redwood Shores Parkway Redwood Shores, CA 94065 T: 650-802-3936 F: 650-802-3100 <a href="mailto:brian.chang@weil.com">brian.chang@weil.com</a> USPTO Reg. No. 74,301
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Please address all correspondence to lead and backup counsel. Patent Owner consents to service by electronic mail at the following address:

[Plexxikon.IPR.Service@weil.com](mailto:Plexxikon.IPR.Service@weil.com).

A power of attorney from Patent Owner is being submitted concurrently herewith.

Date: June 29, 2018

Respectfully submitted,

/Elizabeth Stotland Weiswasser/  
Elizabeth Stotland Weiswasser  
Reg. No. 55,721  
Lead Counsel for Patent Owner

## **CERTIFICATE OF SERVICE**

The undersigned hereby certifies that on June 29, 2018, the foregoing  
**PATENT OWNER'S MANDATORY NOTICES PURSUANT TO 37 C.F.R.**  
**§42.8** was served via electronic mail, upon the following:

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