UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

CISCO SYSTEMS, INC., Petitioner, - vs. -CENTRIPETAL NETWORKS, INC., Patent Owner

Case No.: IPR2018-01512

US Patent 9,565,213

PETITIONER'S DEMONSTRATIVE EXHIBITS

PTAB ORAL ARGUMENT

December 18, 2019

25 more networks. The functionality may manner, or may be located in a singl (e.g., a server, a client computer, stel). Aspects of the disclosure have been illustrative embodingnists thereof. Num print of the appended claims will coordinary skill in the art from a reviewe organize of a stellar that the stellastrative to steps illustrated in the illustrative formed in other than the recited order, steps illustrated may be optional. What is claimed its:

 A method comprising: receiving, by each of a plurality of paways associated with a security p

whys associated with a security policy server and from the security policy in a dynamic security policy that com rule specifying application-layer pamation and a packet transformation ing a packet diggest logging function 1 packets comprising the application-la information;

receiving, by a packet security gateway packet security gateways, packets network protected by the packet sec identifying, by the packet security gateway

the packet associated with the net the packet security gateway, and on a basis, one or more packets comprisi layer packet-header information; performing, by the packet security g packet-by-packet basis, the packet tration on each of the one or more pack

application-layer packet-header infi the performing the packet transfi comprises identifying a subset of information

packet digest logging function for more packets comprising the packet-header information;

generating, for each of the one or prising the application-layer pack tion, a record comprising the sul

specified by the packet digest lo reformatting, for each of the on comprising the application-la information, the subset of infor

the packet digest logging functi with a logging system standard; a routing, by the packet security gateway by-packet basis, to a monitoring devi

or more packets corresponding to the packet-header information in responing the packet transformation function 2. The method of claim 1, wherein the

2. The inclusion count of the second count of the indicates performing an accept packet to the on the packets comprising the applic header information, and wherein the per transformation function comprises forwas security gateway, the each of the on comprising the application-hour packets toward its respective destination.
3. The method of claim 1, wherein 1, wh

3. The method of claim 1, wherein the indicates performing a deny packet transition on the packets comprising the application-lave

on the packets comprising the application-layer packetheader information, and wherein the performing the packet

1. A method comprising:

receiving, by each of a plurality of packet security gateways associated with a security policy management server and from the security policy management server, a dynamic security policy that comprises at least one rule specifying application-layer packet-header information and a packet transformation function comprising a packet digest logging function to be performed on packets comprising the application-layer packet-header information;

receiving, by a packet security gateway of the plurality of packet security gateways, packets associated with a network protected by the packet security gateway;

identifying, by the packet security gateway, from amongst the packets associated with the network protected by the packet security gateway, and on a packet-by-packet basis, one or more packets comprising the applicationlayer packet-header information;

performing, by the packet security gateway and on a packet-by-packet basis, the packet transformation function on each of the one or more packets comprising the application-layer packet-header information, wherein the performing the packet transformation function comprises

identifying a subset of information specified by the packet digest logging function for each of the one or more packets comprising the application-layer packet-header information;

generating, for each of the one or more packets comprising the application-layer packet-header information, a record comprising the subset of information specified by the packet digest logging function; and reformatting, for each of the one or more packets comprising the application-layer packet-header information, the subset of information specified by the packet digest logging function in accordance with a logging system standard; and

routing, by the packet security gateway and on a packetby-packet basis, to a monitoring device each of the one or more packets corresponding to the application-layer packet-header information in response to the performing the packet transformation function.

> packet transformation function comprising a packet digest logging function to be performed on packets CISCO EXHIBIT 1001

9565213 Patent

US 9 565 213 B2

25

ore networks. The functionality may be distributed in any

ways associated with a security poincy management server and from the security policy management server, a dynamic security policy that comprises at least one rule specifying application-layer packet-header infor-mation and a packet transformation function comprisin a market distant footning from the best performed in

transformation function on comprises dropping, by the nione networks. The functionality may be distributed in any summer, or may be located in a single computing device (sg.g. a server, a client computer, etc.). Aspects of the disclosure have been described in terms of illustrative embodiments thereof. Numerous other embodi-sumets, modifications, and variations within the scope and spirit of the appended claims. Will secure to persons of codiangr skill in the art from a review of this disclosure, for secket-header information discriming by the occurs of the appended claims. Will secure to persons of peaket-header information comprises determining by the

26

ordinary skill in the art from a review of this disclosure. For comple, case of ordinary skill in the art will appreciate that the steps illustrated in the lilustrative figures may be per-formed in other than the reciele order, and that one or more steps illustrated may be optional. What is elimited is: 1. A method comprising: receiving, by each of a plurily of packet security gates. Steps illustrated in the active of place to security gates. Security approximation are amongst the one or more packets ounders and IITTP GET method call, and wherein determining that the one or more packets comprises of the application-layer packet-backet information are amongst the one or or more packets comprises of the application-layer packet security gate adynamic security policy mangement server, a dynamic security policy mangement information are associated with the HTPT The method call in the HTP.

GET method call. 6. The method of claim 5, wherein the HTTPGET method

CISCO EXHIBIT 1001 9565213 Patent

1. A method comprising: receiving, by each of a plurality of packet security gateways associated with a security policy management server and from the security policy management server, a dynamic security policy that comprises at least one rule specifying application-layer packet-header information and a packet transformation function compris-

what is claimed is.



mation and a packet transformation function comprising a packet digest logging function to be performed on packets comprising the application-layer packet-header information;





the packets associated with the network protected by the packet security gateway, and on a packet-by-packet basis, one or more packets comprising the applicationlayer packet-header information;

on the packets comprising the application-layer packet packet dig

packet transformation function comprising a packet digest logging function to be performed on packets CISCO EXHIBIT 1001 9565213 Patent

20 To make the filted of the source of





tion, a record comprising the subset of information specified by the packet digest logging function; and



ociated with the HTTP PUT method call comprise determining that the one or more packets compris-

9. The method of claim 1, wherein the at least one rule 5 9. The method of chain 1, wherein the at least one role comprises a five-tupic specifying one or more transport-layer protocols, a range of source addresses, a range of source ports, a range of destination addresses, and a range of 50 destination ports, and wherein identifying the one or more with a togging system summare, and by-packet basis, to a monitoring device each of the one or more packets corresponding to the application-layer packet-header information corresponds to ging the packet transformation in response to the perform-ing the packet transformation in response to the perform-st at least one of the one or the renter transformation corresponds to st at least one of the one or the renter proceeds header statistication and the spin station of the one or the renter transformation corresponds to st at least one of the one or the renter transformation the spin proceeds header statistication and the spin station of the one or the renter transformation the spin proceeds header statistication and the spin statistication and the s

> receiving, by each of a plurality of packet security gate-ways associated with a security policy management server and from the security policy management server. a dynamic security policy that comprises at least on rule specifying packet-identification criteria and a packet transformation function comprising a packet digest logging function to be performed on packets

CISCO EXHIBIT 1001 9565213 Patent



US 9.56	5.213 B2	
25	26	
more networks. The functionality may be distributed in any construction of the disclosure have been described in terms of fillustrivie embeddiments thereof. Numerus other embeddiments, modifications, and variations within the scope and spirit of the appended claims will occur to presense of endinary skill in the art from a review of this disclosure. For example, one of ordinary skill in the art will appreciate that the steps and the review and the steps induced that will append the steps induced the step of the disclosure. For example, one of ordinary skill in the art will appreciate that the steps induced is in the illustrative fluxes may be specified in other than the review of the and that one or more steps illustrated in the illustrative fluxes may be reviewed. The science of a plurality of packet security gates a ways associated with a security policy management server, a dynamic security policy that comprises at least one once specifying applectation-layer packet-header informations.	transfer potocol (HTTP) packets, and wherein identifying the one or more packets comprising the application-layer packet-header information comprises determining by the packet security gapteation-layer packet-header information are amongs the one or more HTTP packets. 5. The method of claim 4, wherein the one or more HTTP packets comprise an HTTP GET method call, and wherein determining that the one or more packets comprising the	

routing, by the packet security gateway and on a packetby-packet basis, to a monitoring device each of the one or more packets corresponding to the application-layer packet-header information in response to the performing the packet transformation function.







wherein the packet-identification criteria comprises a Differentiated Service Code Point (DSCP) selector;

more packets corresponding to the packet-identifi-

cation criteria generating, for each of the one or more packets corre-

digest logging function. 33 11. The method of claim 10, wherein the subset of

comprising the application-layer packet-header information, the subset of information specified by

the packet digest logging function in accordance with a logging system standard; and sc routing, by the packet security gateway and on a packet-

indicates performing an accept packet transformation func-tion on the packets comprising the application-layer packet-header information, and wherein the performing the packet

transformation function comprises forwarding, by the packet so security gateway, the each of the one or more packets

comprising the application-layer packet-header information toward its respective destination.3. The method of claim 1, wherein the at least one rule

indicates performing a deny packet transformation function as on the packets comprising the application-layer packet-header information, and wherein the performing the packet

comprises reformatting the subset of information specified by the packet digest logging function in accordance with a

enerating, for each of the one or more packets corre-sponding to the packet-identification criteria, a record comprising the subset of information speci-field by the packet digest logging function, and 25 more transport-layer protocols, a range of source addresses. record comprising the subset or information specified on the subset of information specified on the subset of information specified on the subset of the sub corresponding to the packet-identification criteria, the abbet of information specified by the packet digeal logging function in accordance with a logging system standard; and system standard; and routing, by the necket security gateway and on a packet-by-packet basis, to a monitoring device each of the one or more packets corresponding to the packet-side the range of measure transport-based protocols, has a source or more packets corresponding to the packet-side the range of measure ports, a destination address with cation criteria in response to the performing the packet system transport of the range of measurement address with the range of measurement address with

within the range of destination ports. 16. The method of claim 19, wherein the packet-iden

rule specifying packet-identification criteria and a packet transformation function comprising a packet digest logging function to be performed on packets **CISCO EXHIBIT 1001** 9565213 Patent

destination ports, and wherein identifying the one or more packets comprising the application-layer packet-header Totung of the poace secontly gates of and the poace of the poace secontly gates of an optimized performance or more poace secontly gates of the application-layer poace bealer information in response to the performance ing the poace it tomost the second poace and the poace secontly gates of the poace of claim 1, where the second poace and the poace second of the poace it to application of the poace second poace second poace and the poace second poace and the poace second of the poace it to application of the poace second poace at least one of the one or more transport-layer protocols, has a least one of the one or more transport-layer poace address at least one of the one or more transport-layer poace address of the method of claim 1, where address of the the range of source address of the second of the poace address of the poace address of the the range of source address of the address address of the poace address of the poace address of the the range of source address of the address address of the poace address of the source port within the range of source ports, a destination address within the range of destination addresses, and a destination port within the range of destination ports. A method comprising: receiving, by each of a plurality of packet security gate-

ways associated with a security policy management server and from the security policy management server, a dynamic security policy that comprises at least one

dentifying a subset of information specified by the packet digest logging function for each of the one or more packet comprising the application-layer 4: packet-header information: proceedings momentum generating, for each of the one or more packets com-prising the application-layer packet-header information ton, a record comprising the subset of information or an specified by the packet digsel logging function; and 45 URI reformating, for each of the one or more packets 9. determining that the one or more packets comprising the application-layer packet-header information originated from or are destined for a network address corresponding to the 9. The method of claim 1, wherein the at least one rule 2. The manufactor build a rearrange of a more transport-layer protocols, a range of source addresses, a range of source ports, a range of destination addresses, and a range of



4. The method of claim 1, wherein the application-layer packet-header information identifies one or more hypertext transfer protocol (HTTP) packets, and wherein identifying the one or more packets comprising the application-layer packet-header information comprises determining by the packet security gateway that the one or more packets comprising the application-layer packet-header information layer packet-header information are amongst the one or more HTTP packets.

ways associated with a security policy management server and from the security policy management server a dynamic security policy that comprises at least one

rule specifying packet-identification criteria and a packet transformation function comprising a packet digest logging function to be performed on packets

CISCO EXHIBIT 1001 9565213 Patent

comprising the application-layer packet-header information toward its respective destination

3. The method of claim 1, wherein the at least one rule

indicates performing a deny packet transformation function 65 on the packets comprising the application-layer packetheader information, and wherein the performing the packet



5. The method of claim **4**, wherein the one or more HTTP packets comprise an HTTP GET method call, and wherein determining that the one or more packets comprising the application-layer packet-header information are amongst the one or more HTTP packets comprises determining that the one or more packets comprising the application-layer packet-header information are associated with the HTTP GET method call.

9565213 Patent

12 24 7 The method of claim 4, where in the one or more HTTPP put method call, and where in the one or more packets comprising that the one or more packets comprising the the one or more packets comprising that the one or more packets comprising that the one or more packets comprising the the the one or more packets the one or more packe

determining that the one or more packets comprising the application-layer packet-header information are amongst the one or more HTTP packets comprises determining that the one or more packets comprising the application-layer packet-header information are associated with the HTTP PUT method call.

> tion on the pockets comprising the application-layer packetheader information, and wherein the performing the packet security gateway, the each of the one or more packets comprising the application-layer packet-laseet information ways associated with a security policy imanagement

comprising the application-layer packet-header information toward its respective destination. 3. The method of claim 1, wherein the at least one rule indicates performing a deny packet transformation function 49 on the packets comprising the application-layer packetheader information, and wherein the performing the packet stination port within the range of destination ports. 10. A method comprising: receiving, by each of a plurality of packet security gateways associated with a security policy management server and from the security policy management server, a dynamic security policy that comprises at least one rule specifying packet-identification criteria and a packet transformation function comprising a packet digst logging function to be performed on packets

CISCO EXHIBIT 1001 9565213 Patent

work traffic. In some embodiments, the list of known network addresses associated with malicious network traffic other embodiments may be practiced. It is to be understood that other embodiments may be putilized, and structural and

described herein. As used herein, a dynamic security policy includes any rule, message, instruction, file, data structure, or the like that specifies criteria corresponding to one or more packets and identifies a packet transformation function to be performed on packets corresponding to the specified criteria. Optionally, a dynamic security policy may further



security policy management server **120**'s memory **502**. For example, an administrator associated with security policy management server **120** may manually construct one or more dynamic security policies offline and then utilize security policy management server **120**'s management interface **510** to load such dynamic security policies into security policy management server **120**'s memory **502**.

US 9 565 213 B2



transformation functions to perform the accept packet transformation function on the packet and forward the packet to network A 102. In the aenceciated that attlizing multiple packet security it will be aenceciated that attlizing multiple packet security and an administrator of packet security pathogeneous and an administrator of

with malicious network traffic. Security policy management server 120 may be configured to automatically create or alter one or more of such rules as new network addresses associated with malicious network traffic are determined. For example, security policy management server 120 may receive updates (e.g. as part of a subscription) from malicious host tracker service 508. Malicious host tracker service **508** may aggregate information associated with malicious network traffic and updates received from malicious host tracker service 508 may include one or more network addresses that have been determined to be associated with malicious network traffic. Security policy management

> associated with these correlated updates from two or more services within one or more dynamic security policies. Security policy management security policy management security policy management security policy that includes a rule to reduce the size of and/or to de-correlate dynamic security to reduce the size of and/or to de-correlate dynamic security



US 9,56	5,213 B2	
9	10	
Inst, and specifying that all packets should be blocked. For example, dynamic security policy 300 includes rules 1-4 302, 304, 306, and 308, each of which specifies a set of network addresses for which packets should be allword, and rule 5. 310 which specifies that all packets should be dropped. Thus, if rules 1-5 302, 304, 306, 308, and 310 are executed in order, dynamic security policy 100 will effec- tuate an allowint service. A dynamic security policy may also include one or more rules, the combination of which may effectuate a VolP firewall service within a network environment. As will be discussed in greater detail below, a security policy mange- ment service within a network environment. As will be	network in recovering from an attack, by allowing the network to isolate itself from the attack or recover in a controlled manner. A dynamic security policy may also include one or more fulls, the combination of which may effectuate an enqueue- ing service within a network environment. A dynamic secu- rity policy that effectuates an ecapateeing service may include case or more rules that specify sets of network addresses and packet transformation functions that queue packets in one or more success that policy may include secu- cample, a dynamic security policy may include two rules, each of which specify as do fuetwork addresses. A lint of the ardie mark sensific that another, commendations to its of the ardie mark sensific that a network addresses. A lint of	

resources are strained). In some embodiments, one or more rules contained within a dynamic security policy may include an arbitrary selector which may correspond to one or more parameters or fields associated with a packet. For example, a dynamic security policy rule may include a Differentiated Service Code Point (DSCP) selector that corresponds to a DSCP field in an IP header. Thus, two

> Inger set of network addresses for which packets should be forwarded (e.g., network addresses corresponding to all network devices that world be allowed under ordinary circumstances). The dynamic security policy may specify treatmontexy, the dynamic secting probability factors and saddress in solic sumexaments the present indu-tional saddress of the packets, which may then be routed shifted phases. That is, the dynamic security policy may operfy that the first rule or rule set should be excernited first, to the altered monified destination address. Additionally destination address of the packets, which may then be routed solicited address of the packets, which may then be routed solicited address of the packets, which may then be routed solicited address of the packets, which may then be routed solicited address of the packets, which may then be routed solicited address of the packets, which may then be routed solicited address of the packets, which may then be routed solicited address of the packets, which may then be routed solicited address of the packets, which may then be routed solicited address of the packets, which may then be routed solicited address of the packets, which may then be routed solicited address of the packets, which may then be routed solicited address of the packets, which may then be routed solicited address of the packets, which may then be routed solicited address of the packets, which may then be routed solicited address of the packets, which may then be routed solicited address of the packets, which may then be routed solicited address of the packets which may then be routed solicited address of the packets which may the solicited address of the packets which may then be routed solicited address of the packets which may the the packets whic and that the second rule or rule set should be executed at a or alternatively, the packet transformation function may be time after the time at which the first rule or rule set is more note one under die vanneer meer nade one op rome soel ne executed, and the find rule or nel soet should be executed at set VLAN (e.g., as described by IEEE 802.10). The packets a time after the time at which the second rule or rule set is executed. Such a dynamic security policy may assist a structure of the second rule or rule set is

configured to assign such packets to a particular Layer-2 CISCO EXHIBIT 1001

9565213 Patent





Both objectives are made possible because of the Content Engine's special position as an "in-line" device between end users and the Internet.







An Apache-style log file format example looks like this:

172.16.100.152 - - [Wed Jan 30 15:26:26 2002] "GET/http://www.cisco.com/images/homepage/support.gif HTTP/1.0" 200 632

> may not be preceded by an exclamation point (1). The exclamation point is used to negate all of the status codes that follow it, menting that the value associated with the format token is logged if none of the status codes listed after the 1 match the HTTP status code of the request. If any of the status code is listed after the 1 match the HTTP status code of the request, then a hyphen (-) is logged. For example, "#400.501 USer-Acent11" loss the User-Acent header value on 400 errors and 501 errors

> For example, "%400,501 [User-Agent]i" logs the User-Agent header value on 400 errors and 501 errors (Bad Request, Not Implemented) only, whereas "%200,304,302 [Referer]i" logs the Referer header value on all requests that did not return a normal status.



The following transaction log entry example in the Apache Combined Format is configured by using the preceding custom format string:

[11/Jan/2003:02:12:44 -0800] "GET http://www.cisco.com/swa/i/site_tour_link.gif HTTP/1.1" 200
3436 "http://www.cisco.com/" "Mozilla/4.0 (compatible; MSIE 5.5; Windows NT 5.0)"

%...I Remote log name. Not implemented on the Content Engine, so a hyphen (-) is logged.





In the ACNS network, you can configure Content Engines, Content Routers, and Content Distribution Managers for Type of Service (ToS) or differentiated services code point (DSCP) either through the CLI of through the Content Distribution Manager GUI.

The differentiated services architecture is based on a simple model where traffic entering a network is classified and possibly conditioned at the boundaries of the network. The class of traffic is then identified with a differentiated services (DS) code point or bit marking in the IP header. Within the core of the network, packets are forwarded according to the per-hop behavior associated with the DS code point.

Step 8	box, and choose a method for marking the IP packets. To save the settings, click Submit .	
	Cisco ACNS Software Configuration Guide for Centrally Managed Deployments, Release 5.5 🔳	
I 0L-5136-01	10-9	
	CISCO EXHIBIT 1008 Cisco ACNS Guide Page 461	
	rage eo r	
	Chapter 10 Massesian Cachine Performance and Differentiated Services 1	

	Command hostname name http add-method method		Activation	Procedure Reference Modifying Content Engine Pr page 13-9 Adding or Modifying Additio Request Methods for the Cont	nal HTTP
			HTTP > HTTP Method	page 8-23	
	http age-multiplier text num binary i	11100	Devices > Device Groups >	Configuring HTTP Cache Fre	shness
p add-method method		Devid	ces > Device	Groups	Adding or Modifying Additional HTTP
p aud-method method				-	
		Appl	ications > V	Veh >	Request Methods for the Content Engine,
		HTT	P > HTTP N	1ethod	page 8-23
	multiple-ip-address http append proxy-auth-header {ipa hostname} http append www-auth-header {host ipaddress} http authenticate-strip-ntlm http authentication cache timeout m http authentication cache max-entri http authentication header [401 40 http authentication realm line http cache-authenticated ntlm http cache-authenticated all http cache-authenticated all	stname sinutes les entries	Applications > Web > HTTP > Authenticated HTTP Caching	Configuring Authenticated H Settings, page 8-13 Configuring HTTP Cache Set	
			Applications > Web > HTTP > HTTP Caching	8-6	
	http cache-on-abort enable	man threat	sh Applications > Web > HTTP > Advanced HTTP	Configuring Advanced HTTP C Settings, page 8-19	Cache
	http cache-on-abort max-threshold n http cache-on-abort min-threshold n				
	http cache-on-abort min-threshold n http cache-on-abort percent		Caching		
	http cache-string string		Devices > Device Groups > Applications > Web >	Configuring HTTP Cache Var Agent, page 8-25	y User

This general policy-based mirroring allows network administrators to set network mirror policies for various network monitors including, for example, application identification appliances, IDSs, network loggers, analyzers, etc. These policies may be set based on device, user, topology, time-of-day, network events (e.g., triggers) mirrored to device availability, location and load. Given the breath of dynamic mirroring capabilities, the capabilities exceed administrator manual set-up capabilities. Rapid dynamic mirrors can better optimize monitor and IDS devices and possibly other network devices and remove their physical location as a primary factor in their physical placement in the network topology. This provides the added structure of policy rules for

> CISCO EXHIBIT 1009 Kiendal



protocol for the network exchange of information. Frames mirrored from the network devices to the identification engine **186** may also be mirrored directly to the management engine **184** for transmission to the control manager **125** or **network logging** or to other functions and devices. The mirrored frames may also be mirrored to one or more other devices of the network infrastructure **101**.

TCP-UDP canneal UDP-53 -= DNS port video SMTP etc. apps for dynamically select port video CISCO EXHIBIT 1009 Kiendal



of packets may also be used as either or both of the first and second criteria. The control engine **410** is configured to



DEMONSTRATIVE EXHIBIT – NOT EVIDENCE





Page 30

MICHAEL GOODRICH

A. I - I - I don't want to try to

Page 31

MICHAEL GOODRICH

packet-header -- the application-layer packet-

