

UNITED STATES INTERNATIONAL TRADE COMMISSION

In the Matter of:
CERTAIN MOBILE ELECTRONIC DEVICES
AND RADIO FREQUENCY AND PROCESSING
COMPONENTS THEREOF (II)

) Investigation No.:
) 337-TA-1093
)
)

OPEN SESSIONS

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1 UNITED STATES OF AMERICA
 2 BEFORE THE
 3 INTERNATIONAL TRADE COMMISSION

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5 IN THE MATTER OF: :
 6 CERTAIN MOBILE ELECTRONIC DEVICES : Investigation No.
 7 AND RADIO FREQUENCY AND PROCESSING : 337-TA-1093
 8 COMPONENTS THEREOF (II) :
 9 - - - - -x

10

11 HEARING

12

13

14 Tuesday, September 25, 2018

15 Courtroom C

16 U.S. International Trade

17 Commission

18 500 E Street SW

19 Washington, DC

20 The Hearing commenced, pursuant to notice of the Judge,
 21 at 9:31 a.m., before the Honorable MaryJoan McNamara,
 22 Administrative Law Judge for the United States
 23 International Trade Commission.

24

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202-347-3700

1 signals down the line, as is shown here. And they are
2 depicted as being separate signals in this figure.

3 Q Now, if we could go to CDX-3C.109. So even if
4 Lee had a single RF input signal, and you have just
5 explained to us why you don't think that's the case, would
6 the Lee reference satisfy the limitation that we're looking
7 at here, "the input RF signal employing carrier
8 aggregation"?

9 A No, it would not, because as I said a moment
10 ago, Lee is separate radios in a multiradio device, for
11 Bluetooth and Wi-Fi. These signals are received, but they
12 are unrelated, and there's nothing taught in Lee about
13 creating a wider pipeline, send a signal data stream and
14 aggregate it to increase the data rate.

15 Q Okay. So then why is receiving a Bluetooth
16 signal and a Wi-Fi signal at the same time not carrier
17 aggregation?

18 A Because nothing is combined together to increase
19 the data rate.

20 Q So does Lee refer to Bluetooth and Wi-Fi
21 anywhere as carrier aggregation?

22 A No, he does not.

23 Q And does it use the phrase "carrier aggregation"
24 at all?

25 A That phrase does not appear in Lee.

1 combining signals together to increase the aggregated data
2 rate. That is not in Hirose.

3 Q Okay. Now, what did the PTO include about -- or
4 conclude about the amendment to add carrier aggregation
5 over the Hirose reference rejection?

6 A In -- in the file history, when that language
7 was added, Hirose was overcome. That was the conclusion of
8 the patent examiner.

9 Q Okay. So now, we just talked about the
10 limitation that read in claim 1, "the input RF signal
11 employing carrier aggregation."

12 Okay?

13 A Okay.

14 Q So is that same limitation in claim 17?

15 A Yes, it is. It's claim 17 is the method claim
16 of claim 1.

17 Q Okay.

18 A The apparatus of claim 1.

19 Q Okay. I understand. So then the analysis that
20 you just walked through regarding the limitation the input
21 RF signal employing carrier aggregation, would that apply
22 to claim 17 as well?

23 A Yes, it would be the same analysis with the same
24 conclusion.

25 Q Okay. Now I'd like to move to the second basis

1 JUDGE MC NAMARA: Please be seated.

2 Any time you are ready, Ms. Tallon.

3 MS. TALLON: Thank you, your Honor.

4 And we should be able to be on the public record
5 for the duration, which is about 2-1/2 hours -- no, I'm
6 kidding.

7 Don't worry, Dr. Foty. Hope you're feeling
8 better, sir.

9 THE WITNESS: I said that's the wrong question.
10 I am feeling better, but it will be a long time before I
11 get better.

12 CROSS-EXAMINATION

13 BY MS. TALLON:

14 Q I hope you get there soon.

15 Dr. Foty, I'm going to start with your opinion
16 on the '356 patent and Dr. Fay's opinion that the claims
17 are anticipated. Do you have that in mind?

18 A That's a good starting point.

19 Q Now, you testified on your direct with
20 Mr. Nelson that the Lee reference was before the Patent
21 Office during prosecution of the '356 patent; right?

22 A Lee appears on the face of the '356 patent, yes.

23 Q Correct. And I think I got this quote from you
24 right. I heard you say apparently the Patent Office
25 reached the conclusion that Lee and Winiecki taught away

1 from the '356 claims. Do I have that right?

2 A I had the quote right. I may have misspoken
3 something there. All I meant to say was they did have the
4 patent in front of them.

5 Q Lee and Winiecki were cited to the Patent Office
6 but never discussed by the examiner; right?

7 A As far as I know, that is correct.

8 Q Now, your dispute with Dr. Fay on the issue of
9 the '356 patent anticipation concerns a single limitation
10 of claims 1 and 17; correct?

11 A I believe that to be the case, yes.

12 Q And you do not otherwise dispute Dr. Fay's
13 conclusion that Lee satisfies the elements of claims 1 and
14 17; right?

15 A Other than what I've cited, I do not contest
16 that.

17 Q And specifically, you dispute that Lee discloses
18 the input signal employing carrier aggregation; right?

19 A I believe the full phrase is "the input RF
20 signal." Whatever the phrase I quoted in my testimony a
21 few moments ago was the one I am referring to, yes.

22 Q Right. I may have misspoke, so let me make sure
23 I get the record right. You dispute that Lee discloses the
24 input RF signal employing carrier aggregation; right?

25 A I dispute that, yes.

1 Q Now, in coming to that conclusion, you relied on
2 the agreed-upon construction of carrier aggregation;
3 correct?

4 A Are you referring to the time in my reports?

5 Q I am.

6 A At the time in my reports, the only construction
7 we had available was the proposed constructions. The
8 Markman order had not been issued yet.

9 Q And the agreed-upon construction that you relied
10 on in forming your opinions included the requirement
11 "increases bandwidth for a wireless device." Correct?

12 A That's my recollection of what the agreed
13 construction was prior to the issuance of the Markman
14 order, yes.

15 Q So when you formed the conclusion in your mind
16 that Lee does not disclose carrier aggregation, the
17 construction that you applied was "simultaneous operation
18 on multiple carriers that increases bandwidth for a
19 wireless device." Right?

20 A At the time, as I said at some point earlier,
21 the definition of bandwidth being higher data rate, with
22 that reservation I stated earlier, yes, that was the
23 construction. Only one any of us had at the time.

24 Q Right. Now, you testified last week that the
25 claims governed your analysis in this case; right?

1 A That's my understanding, yes.

2 Q And you would agree that her Honor's claim
3 constructions also govern the analysis here; correct?

4 A The Markman order governs that, yes.

5 Q Now, you didn't mention the claim construction
6 order in this case on your direct testimony with
7 Mr. Nelson; right?

8 A I don't recall reviewing it specifically, no.
9 But I did consider it in my opinions.

10 Q If we could turn, please, to -- this is going to
11 be at volume 2, tab 5 of your binder. And it's RDX-19.33C,
12 please. We'll pull it up on the screen as well.

13 And you may recall, this is a demonstrative from
14 Dr. Fay's testimony yesterday; right?

15 A I beg your pardon, which page are you referring
16 to in that tab?

17 Q Tab 5, and it's slide 33, 19.33.

18 A I have that. I'm at that page, thank you.

19 Q Okay. And this slide shows her Honor's
20 construction of the claim term "carrier aggregation";
21 correct?

22 A This is part of the Markman order, yes.

23 Q Right. And it shows the construction of the
24 claim term "carrier aggregation"; right?

25 A It shows the construction of the phrase, but not

1 the supporting discussion of it in the Markman order.

2 Q And the construction of the phrase "carrier
3 aggregation" is "simultaneous operation on multiple
4 carriers"; correct?

5 A That is the phrase we see, yes.

6 Q Now, the construction says nothing about
7 increasing bandwidth; right? We don't see that in the
8 language?

9 A The rest of the Markman order discusses that.

10 Q I'm asking you about the claim construction.
11 Can we focus on that, please. The claim construction --
12 and my microphone is cutting off, Carmen.

13 A That's me, I'm sorry.

14 JUDGE MC NAMARA: It's Mr. Nelson's as well --

15 THE WITNESS: Mr. Nelson is right, I should lean
16 back from the microphone and your microphone will work.
17 Don't ask me, I don't know.

18 MS. TALLON: If I can't be heard, please let me
19 know, I'm happy to repeat.

20 BY MS. TALLON:

21 Q Now, I want to make sure we're focusing on the
22 claim construction, because that's what you had to apply in
23 your analysis; right?

24 A I had to apply the entire Markman order, yes.

25 Q And you had to apply the construction of carrier

1 aggregation that we see here on slide 33C?

2 A That was part of it.

3 Q Well, when you applied the claims, claim 1 and
4 claim 17 of the '356 patent, you had to substitute
5 simultaneous operation on multiple carriers for the phrase
6 "carrier aggregation"; true?

7 A Again, the entire Markman order must be
8 considered.

9 Q So are you saying that you did not apply
10 simultaneous operation on multiple carriers in and of
11 itself as the claim construction for carrier aggregation?

12 A I applied it as construed in the Markman order,
13 yes.

14 Q And that construction is simultaneous operation
15 on multiple carriers; correct?

16 A Simultaneous operation on multiple carriers.

17 Q Okay. And the construction, just focusing on
18 the claim construction that her Honor provided for carrier
19 aggregation, that does not have a reference to increased
20 bandwidth or data rate; correct?

21 A The rest of the Markman order does.

22 Q Sir, I really would like you to focus on my
23 question, okay? Simultaneous operation on multiple
24 carriers, that's the claim construction for carrier
25 aggregation; right?

1 A That's what's written in front, yes.

2 Q That is the claim construction; correct?

3 A That's from the Markman order.

4 Q And it's the claim construction? Yes?

5 A This is the phrase used, yes, we see here.

6 Q And it does not include any reference to

7 increased bandwidth or data rate. We can agree on that.

8 It's not there; right?

9 A It's not here. It's in the rest of the Markman

10 order.

11 Q Okay. Now, in your view, bandwidth tends to be

12 equivalent to data rate; right?

13 A One of the definitions of bandwidth, which is

14 probably the most common one used today, is increased

15 bandwidth refers to higher data rate, yes.

16 Q Now, you had offered the opinion that Lee does

17 not disclose carrier aggregation because the signals in Lee

18 each originate from a different source; right?

19 A That was part of my opinion, yes.

20 Q And the construction of carrier aggregation that

21 her Honor has provided does not include the words

22 "originating from a single source," does it?

23 A Not on its face.

24 Q Okay. Now I'd like to turn to your opinions on

25 carrier aggregation and dig into those a little bit more,

1 if we could.

2 Now, you testified last week about multicarrier
3 operations.

4 Do you recall that?

5 A Yes, I do.

6 Q Now, you'd agree that Bluetooth is one wireless
7 technology that transmits information; right?

8 A Bluetooth is such a technology on its own, yes.

9 Q And Wi-Fi is another wireless technology that
10 transmits information; correct?

11 A It's another technology, yes.

12 Q Okay. Do you need a moment to get a mint or --

13 A If I don't do that, I'll cough and we're done.

14 So please, let me load mints as best I can.

15 Q Oh, please, if you need a break.

16 A I don't need a break, I just need to load a
17 mint. Thank you.

18 Q Bluetooth is one radio frequency technology;
19 correct?

20 A Bluetooth is a radio technology, yes.

21 Q And Wi-Fi is another radio technology; right?

22 A That's a fair assessment, yes.

23 Q So we can agree that Bluetooth signals are sent
24 on carriers; correct?

25 A Bluetooth communicates on channels, which I

1 guess you could call carriers.

2 Q The same for Wi-Fi. Wi-Fi communicates on
3 carriers; correct?

4 A It communicates on carriers, yes.

5 Q Now, you have distinguished carrier aggregation
6 from multicarrier operations; right?

7 A I believe I have, yes.

8 Q And I want to explore that a little bit, if I
9 could. And specifically, you testified that multicarrier
10 operation does not increase the aggregate data rate of a
11 device.

12 Do you recall that?

13 A I'll take your word for it at this point.

14 Q This was in your testimony last week. Does that
15 sound right to you?

16 A It sounds right to me, yes.

17 Q And I'd like to pull up, if we could, one of
18 your demonstratives, and this is CDX-3C.6, and this would
19 be in volume 2, tab 7 of your binders. We also have it on
20 the screen.

21 Do you recognize this slide from your
22 presentation?

23 A Are you referring to figure 6 here?

24 Q Yes, this is page 6 of CX-3C, and this is from
25 your presentation.

1 Do you recall this?

2 A Yes, I do.

3 Q Okay. And you used this demonstrative to
4 compare the aggregate data rates of single carrier
5 operation and carrier aggregation; is that right?

6 A That is correct.

7 Q And if we look at CDX-3C.6, the green bars that
8 we see on the right, those represent the total data that is
9 transmitted; is that right?

10 A I believe the animation filled those up, so
11 it's --

12 Q Excuse me, go ahead.

13 A I believe the way the animation ran is it filled
14 those up and showed the carrier aggregation situation in
15 the lower part filling up faster than the single carrier in
16 the top.

17 Q And when they're filled, that represents the
18 total data that has been transmitted; right?

19 A It represents the -- in this animation,
20 represents the complete arrival of the data that's been
21 sent from the base station to the wireless device, yes.

22 Q Okay, fair enough. If we go to the next slide,
23 3C.7, this was a demonstrate that you used to compare the
24 aggregate data rates of multicarrier operation with carrier
25 aggregation; right?

1 A Again, that's my recollection and again, this
2 was an animation.

3 Q If we stay on this slide, again the bars we see
4 on the right, the vertical stacks of bars, those represent
5 the data that's transmitted once you fill it up in your
6 animation; correct?

7 A In the animation, as in the previous one, those
8 are filled up vertically to indicate the arrival of the
9 data and the complete arrival of a file or so forth that's
10 being sent.

11 Q Okay. And you used your animations on this
12 slide 3C.7 and the prior slide 3C.6 to show data being
13 transmitted over some given period of time; is that right?

14 A I believe that's correct, yes.

15 Q And on both of them, more data, both of them,
16 meaning both slides, to be clear, more data was transmitted
17 in the given amount of time using carrier aggregation as
18 opposed to the other method that you were showing; is that
19 right?

20 A Actually, what it showed is the -- in this
21 particular slide, it showed that the file being sent was
22 coming twice as fast because it was being split up. That's
23 what the animation showed.

24 Q Okay. Now, if we look at both of them together,
25 I'm not sure if we're able to put them up together, this is

1 CDX-3C.6 and 7 together. There we go. Are we able to run
2 them together too well? I'm asking too much. I might have
3 gone a bridge too far.

4 Well, your demonstrative showed that more data
5 was transmitted in a period of time using multicarrier
6 operation than using single carrier operation. Would you
7 agree with that?

8 A What's shown here is that one file is coming
9 over in a time T, and in the carrier aggregation situation
10 and in the multicarrier situation two files are being
11 transmitted and the two files are arriving in twice the
12 time as the video in the carrier aggregation situation.

13 Q And if you consider the multicarrier example
14 that you have here and the single carrier example that you
15 had on slide 6, the multicarrier example is transmitting
16 more data more quickly. Would you agree?

17 A I would not agree with that, no.

18 Q Would you agree it's transmitting more data in
19 the same period of time?

20 A I would agree that it's transmitting two
21 different files in two different pipes. So two different
22 files are being transmitted at the same rate as the single
23 carrier case. There happens to be two of them.

24 Q And in the single carrier case, you would only
25 have one piece of data or one file; correct?

1 A That's what the single carrier case does, yes.

2 Q I want to look at the patent now, if we could,
3 the '356 patent. This is JX-1, and you will find it at tab
4 8 in your binder, volume 2. And in particular, I'd like to
5 turn to column 2, lines 26 to 52.

6 A What lines are we referring to?

7 Q 26 to 52 in column 2. And we have blown this
8 portion up on the screen as well.

9 A Thank you. I think I blanked your microphone on
10 you there, I apologize.

11 Q Not a problem. Okay. So we're in column 2,
12 lines 26 to 52. And this portion of the patent discusses a
13 wireless device 110; right?

14 A That's what it states, yes. 110 is a wireless
15 device.

16 Q And wireless device 110 is a device that
17 practices the claim of the '356 patent. You'd agree with
18 that?

19 A I don't know if it specifically says that here,
20 but it refers to this background regarding a wireless
21 device.

22 Q And you know wireless device 110 is discussed
23 extensively in the specification of the '356 patent; right?

24 A I believe that it is, yes.

25 Q If we can keep this portion of column 2 on the

1 screen but expand it a little bit to include lines 53 to
2 55, please. We'll look at a little bit more of the
3 specification.

4 At lines 53 to 55, the patent tells us "wireless
5 device 110 may support carrier aggregation, which is
6 operation on multiple carriers."

7 Right?

8 A That's what is stated.

9 Q And the next sentence, the patent tells us that
10 carrier aggregation "may also be referred to as
11 multicarrier operation."

12 Correct?

13 A That is what is stated there.

14 Q And you understand that the '356 patent also
15 discloses that when performing carrier aggregation, the
16 wireless device can receive transmissions from more than
17 one cell or base station; right? It's not in this excerpt,
18 and I'm happy to show you a different excerpt. I'm just
19 asking the question, if you understand that.

20 A If you can show me the excerpt, that would be
21 helpful.

22 Q Certainly. Why don't we go to column 4,
23 beginning at line 46 to around line 52, please. Okay.

24 There we see at beginning around line 46, "the
25 wireless device 110 can receive transmissions from more

1 than one cell or base station."

2 Right? One or more is the language of the
3 patent.

4 A That's what is stated here, yes.

5 Q Okay. And if we go back to that excerpt, and I
6 apologize for going back and forth, but if we can go back
7 to that excerpt of column 2, please, I want to focus around
8 lines 38 to 52. And you agree the patent tells us that the
9 wireless device it describes can be a Bluetooth device;
10 right? We see that around line 44, 45?

11 A It lists that on this laundry list of possible
12 radio technologies, yes.

13 Q Right. The wireless device can be a lot of
14 different kinds of wireless devices. We can agree on that;
15 right?

16 A In theory, you could practice carrier
17 aggregation over a very wide range of technologies, yes.

18 Q One of them is a Bluetooth device; right?

19 A One could be a Bluetooth device, yes.

20 Q The patent tells us a Bluetooth device; right?

21 A Yes.

22 Q Okay. And another one could be a device that
23 employs 802.11, that standard; right?

24 A That's what it says, yes.

25 Q And 802.11 is another name for Wi-Fi. You'd

1 agree with that; right?

2 A It's something of a catchall with letters after
3 it, but that's become a catchall phrase with what we call
4 colloquially Wi-Fi.

5 Q By that you mean 802.11(a), (b), (c), (d);
6 correct?

7 A And every other letter and combination possible,
8 yes.

9 Q Understood. Now, Dr. Foty you agree that "EG"
10 means for example; right?

11 A I believe that's a contraction of a Latin phrase
12 which means for example, yes.

13 Q I would like to turn now to the Lee reference,
14 and this is tab 1 of your binder, RX-1129. And why don't
15 we pull up figure 2 of Lee, please. Now, you discussed at
16 least a portion of this with Mr. Nelson on direct.

17 Do you recall that?

18 A Yes, I do.

19 Q Now, in Lee, there is one amplifier stage and
20 it's labeled 202_1. There we go, that will output to a
21 Wi-Fi receiver; right?

22 A I believe that's what Lee says is the left side
23 is the Wi-Fi side.

24 Q Right. If we turn to the right side, the other
25 amplifier stage, and that's labeled 202_N, that will output

1 to a Bluetooth receiver; correct?

2 A I believe that's what Lee states, yes.

3 Q And Lee explains that Bluetooth and Wi-Fi are
4 just examples of the radio signals that its signal
5 amplification circuit can process; correct?

6 A Lee calls them examples and cites no other
7 examples, that is correct.

8 Q Okay. Now, it's your position that Lee does not
9 disclose an input signal employing simultaneous operation
10 on multiple carriers; right?

11 A That is correct.

12 Q But you agree that Lee discloses signals its
13 amplifier circuit are designed to receive simultaneously.
14 You'd agree with that; right?

15 A Lee does not require that both signals are
16 received at the same time, but Lee is capable of receiving
17 both a Wi-Fi signal and a Bluetooth signal at the same
18 time.

19 Q Now, according to you the Bluetooth and Wi-Fi
20 signals described in Lee are multiple signals rather than a
21 single RF signal; right?

22 A They are separate signals, yes.

23 Q I want to ground us in the claim. If we could
24 pull up claims 1 and 17, please. And if we could highlight
25 the claim language, "the input RF signal employing carrier

1 aggregation" in each claim. It's sort of in the middle of
2 the first limitation of each claim. There we go. You can
3 stop there after carrier aggregation, please. Thank you.
4 Perfect, thanks so much.

5 And there's a similar limitation in 17. But
6 this is the limitation you dispute in Lee, right, "the
7 input RF signal employing carrier aggregation"; right,
8 Dr. Foty?

9 A That is correct.

10 Q Now, I want to put up figure 4A of the '356
11 patent and I want to see what the patent tells us about
12 what the input RF signal is. So if we could pull up figure
13 4A, please.

14 Okay. In figure 4A, the input RF signal is
15 labeled RFin; correct?

16 A I believe that to be correct, yes.

17 Q And RFin is the signal that is fed into the
18 first and second stage amplifiers, or the LNAs; correct?

19 A It's fed into what is called the carrier
20 aggregation CA LNA, correct.

21 Q That is labeled 440 in figure 4A; right?

22 A That is correct.

23 Q And RFin is output by the input matching circuit
24 432; right?

25 A 432 is identified as a matching circuit, yes.

1 Q And the RFin signal is different from the
2 receiver input signal that's labeled RXin; correct?

3 A Actually, if the matching circuit does its job
4 correctly, that won't be true, they will be the same.

5 Q Can we pull up the patent at column 4, lines 56
6 to 60, please. So in column 4, the patent talks about the
7 received RF signal; correct?

8 A Yes, it does.

9 Q And then the patent labels that -- or strike
10 that.

11 The patent describes that, and then it
12 separately describes the RF input signal, RFin; right? And
13 we can expand this a little bit more if you'd like, but --
14 if we go down to around line 65, it will be there.

15 Okay. So the patent tells us there is a
16 received RF signal and then it separately describes an
17 input RF signal; right?

18 A Are you referring to the bottom of the
19 highlighting here?

20 Q That's a reference to the input RF signal and
21 that's at around line 64 and 65, yes.

22 A Yes, it refers to an input RF signal, RFin to
23 carrier aggregation LNA 440, yes.

24 Q If we go back to figure 4A, the RF input signal
25 and the -- excuse me, and the received RF signal, those are

1 labeled differently in figure 4; correct?

2 A They are labeled differently, and I understand
3 why they are labeled differently.

4 Q Now, I want to look at Lee again, and this is
5 RX-1129, back to tab 1 of your binder. And we can pull up
6 figure 2 again, please. Okay.

7 Now, in Lee, VIN, in figure 2 on the lower left,
8 that's the input signal in Lee; correct?

9 A He calls it VIN.

10 Q And in the patent, in the text of Lee, it's
11 called input signal Vin; right?

12 A I believe that to be correct, yes.

13 Q And VIN is a single input signal; is that right?

14 A VIN could be one signal or it could be two
15 separate signals received from Bluetooth and Wi-Fi.

16 Q And Lee describes it as an input signal Vin;
17 right?

18 A Can you point me to the specification where he
19 says that?

20 Q Happy to. Why don't we pull up paragraph 17 of
21 Lee, RX-1129.

22 A What paragraph, I beg your pardon?

23 Q 17. Okay. We have paragraph 17 and it's
24 actually in, I believe, the second sentence.

25 Do you see the reference to "an input signal

1 VIN" in Lee?

2 A Yes, and I also see below he refers to "include
3 a plurality of radio frequency signals."

4 Q Yes. The VIN signal in Lee includes a plurality
5 of radio signals; right?

6 A That's what he states, yes.

7 Q Okay. And Lee tells us that the radio frequency
8 signals included in VIN can be both Bluetooth and Wi-Fi
9 signals; right?

10 A He refers to a plurality of radio frequency
11 signals, for example, a Bluetooth signal and a Wi-Fi
12 signal, that's what he states, yes. Received by a single
13 antenna.

14 Q Okay. All right. We can take Lee down, please.
15 Now, you discussed another reference with Mr. Nelson called
16 Winiecki.

17 Do you recall that?

18 A Yes, I do.

19 Q And you agree that Winiecki discloses carrier
20 aggregation; right?

21 A He discusses carrier aggregation as part and
22 parcel of his patent. I don't know if that -- I'm not an
23 attorney, I don't know if that qualifies as a disclosure.

24 Q I'll take it, thank you. Okay.

25 Now -- but you disagree that a person of

1 ordinary skill would have been motivated to combine Lee and
2 Winiecki; right?

3 A That is my contention, yes.

4 Q Okay. In your view, the concurrent utilization
5 of radio resources across multiple carriers is not unique
6 to the amplifier circuit of Lee. Do I have that right?

7 A Could you please repeat that? It was a bit
8 convoluted.

9 Q Sure. It's actually I'm reading from your
10 report.

11 A Then I know it's convoluted.

12 (Laughter.)

13 Q Now, concurrent utilization of radio resources
14 across multiple carriers is not unique to the amplifier
15 circuit of Lee. That's your view; right?

16 A If that's from my report, that's my view.

17 Q And therefore, according to you, implementing
18 such a feature does not provide any particular motivation
19 to combine these two references, Lee and Winiecki; right?

20 A There is no particular motivation to combine.
21 They teach away from each other and are attacking very
22 different problems.

23 Q And according to you, one of ordinary skill in
24 the art would not have been motivated to combine "these two
25 references specifically." Do I have that right?

1 A If you're quoting from something I wrote, then I
2 will agree with that.

3 Q So your understanding was that the motivation to
4 combine requires that one reference direct the reader with
5 particularity to another reference. Do I have that right?

6 A I don't know if I said it in that way.

7 Q Well, your analysis of motivation to combine
8 focused on whether the motivation was found in the two
9 references Lee and Winiecki.

10 Do I have that right?

11 A Yes, I believe there's no motivation to combine
12 them, as they are too disparate and teach away from each
13 other.

14 Q Now, you didn't identify, either in your expert
15 reports or in your testimony today, any modifications that
16 would need to be made to Lee in order to make it work with
17 carrier aggregation; right?

18 A I offered no such opinion. Dr. Fay offered an
19 opinion that it would work, but he provided no support for
20 that opinion.

21 Q Okay. But you haven't offered an opinion on
22 that, so I don't want to cover that with you, and we'll
23 move on.

24 Okay. You talked about the prosecution history
25 on your direct.

1 Do you recall that?

2 A Yes, I do.

3 Q And I believe you talked about the Hirose
4 reference that was considered during prosecution; right?

5 A I discussed that, yes.

6 Q And I quoted you, or I wrote down what you said,
7 because I found it interesting. You said that Hirose
8 described a use of multiple unrelated signals.

9 Do you recall saying that?

10 A I recall saying that, yes.

11 Q Okay. Now, you also know that the -- her
12 Honor's Markman order discussed Hirose in the prosecution
13 history; correct?

14 A Yes.

15 Q And instead of pulling up the whole prosecution
16 history, I'm going to pull up Order Number 38 and an
17 excerpt of that, if we could. And this would be Order 38
18 at page 25.

19 A Tab?

20 Q I'm looking for it, give me one moment. I have
21 a lot of help from the back. It's tab 6.

22 A Thank you.

23 Q Okay. And we have it on the screen as well,
24 sir. And I want to focus on the second paragraph, if we
25 could blow that up.

1 This is a discussion of what happened during
2 prosecution with the Hirose prior art reference; right?

3 A You'll have to give me a minute to catch up.
4 What page are you on?

5 Q 25.

6 A 25. But there's a weirdly numbered document.
7 Is it the part that says "page 25" -- okay. Thank you,
8 that helps.

9 Q Are you there, sir?

10 A Yes, thank you for your patience.

11 Q And toward the bottom of this second paragraph
12 we've highlighted, do you see the sentence "In contrast,
13 Hirose transmits the same signals over different paths
14 which results in redundant data at a common data rate"?

15 Correct?

16 A Yes, Hirose is transmitting redundant signals,
17 as it says here.

18 Q And this excerpt is from the prosecution
19 history. This is what Qualcomm represented to the Patent
20 Office during prosecution; correct?

21 A During the process, yes, that's what they did.

22 Q Now, you would agree that the Lee reference does
23 not disclose transmitting redundant data; correct?

24 A Lee discloses two separate radio streams. So
25 maybe those could be used for redundant data. Typically

1 they're not.

2 Q Okay. We are running short on time. I'd like
3 to turn briefly to your opinions regarding the '336 patent.

4 When Mr. Nelson was asking you questions earlier
5 this afternoon and put up some of your slides, I believe he
6 put up two different slides showing your reasons why you
7 disagree with Dr. Fay that the Rousu reference anticipates
8 claim 4; is that right?

9 A I recall that, yes.

10 Q And one of those slides and one of your reasons
11 relates to the second stage amplifiers limitation of claim
12 4; correct?

13 A That is correct.

14 Q And in particular -- do you need a moment, sir?

15 A I'll leave.

16 Q Okay. Now, in particular, it's your opinion
17 that amplifiers 70 and 72 in Rousu are disabled in certain
18 situations; right?

19 A I did not offer -- discuss that today, but I
20 discussed that at some point in a report, yes.

21 Q That was the basis for your opinion that the
22 second stage amplifiers weren't disclosed; correct?

23 A No, it was not.

24 Q Okay. Well, let me ask this. You agree that
25 Rousu discloses in some situations -- let me strike that

1 and start over.

2 You agree that Rousu discloses some situations
3 in which amplifiers 70 and 72 will be enabled?

4 A Rousu has a somewhat convoluted explanation in
5 which he basically explains that there is a need to amplify
6 the signals, and he basically says it could be done with
7 the 200 amplifiers, the four of them, or the 7072 set.

8 And he says -- he uses the word "typically."
9 But of course, that's not exclusive to those not being
10 there.

11 Q If we could pull up, please, your slide
12 CDX-3C.123. I'm a little confused, sir, because a moment
13 ago you just told me you weren't relying on amplifiers 70
14 and 72, but I would like to blow up what you highlighted or
15 what you presented in your slide and actually I think was
16 highlighted by Dr. Fay.

17 Can we look at the yellow highlighting? Okay.
18 Dr. Fay relies on LNAs 70 and 72 for this limitation;
19 right?

20 A Yes, and I did not reference this in my validity
21 argument with respect to Rousu.

22 Q And you agree that Rousu does disclose
23 situations in which amplifiers 70 and 72 will be enabled;
24 right?

25 A He discloses -- he does not preclude it. He

1 indicates it may not be the best -- the best way to do it,
2 but he indicates that 70 and 72 could also be enabled at
3 the same time that what I call the 200 amplifier set on the
4 left would be enabled.

5 MS. TALLON: Thank you, sir.

6 Thank you, your Honor.

7 JUDGE MC NAMARA: Thank you very much.

8 All right.

9 Mr. Gennari?

10 MR. GENNARI: Yes.

11 CROSS-EXAMINATION

12 BY MR. GENNARI:

13 Q I just want to focus on the last discussion
14 about Rousu. If you could pull up RX-1134, which is Rousu,
15 at figure 2.

16 Dr. Foty, your opinion is that Rousu does not
17 disclose the claimed second stage amplifiers; correct?

18 A That is correct, yes.

19 Q And it's because -- and could you tell us why?

20 A Rousu discloses an amplifier that can only
21 output a single signal, not a carrier group.

22 Q Okay. You testified to that earlier. I just
23 don't under -- I just want you to explain it so that the
24 Court understands your position on that and why Rousu
25 operates the way you think it does.

1 C O N T E N T S

2

3 VOIR

4 WITNESSES: DIRECT CROSS REDIRECT RECROSS DIRE

5 CARLA S. MULHERN

6 by Mr. Lasher 1961 1978

7 by Mr. Mueller 1968

8 JEFFREY A. EISENACH

9 by Mr. Mueller 1981 2042

10 by Mr. Marriott 2008

11 JAMES THOMPSON

12 by Mr. Marriott 2051 2079

13 by Mr. Lee 2065

14 JUDITH CHEVALIER

15 by Mr. Ryan 2082

16 by Mr. Mueller 2098

17 MASSOUD PEDRAM

18 by Mr. Pak 2110

19 by Mr. Cordell 2135

20 DANIEL PATRICK FOTY

21 by Mr. Nelson 2162 2222

22 by Ms. Tallon 2189

23 by Mr. Gennari 2216

24

25 -- continued --

CERTIFICATE OF REPORTER

TITLE: In The Matter Of: Certain Mobile Electronic Devices
and Radio Frequency and Processing
Components Thereof (II)

INVESTIGATION NO: 337-TA-1093

HEARING DATE: 9-25-18

LOCATION: Washington, DC

NATURE OF HEARING: Hearing

I hereby certify that the foregoing/attached transcript is a true, correct and complete record of the above-referenced proceeding(s) of the U.S. International Trade Commission.

DATE: 9-25-18

SIGNED: Mark A. Jagan

Signature of the Contractor or the
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