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UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

VOLKSWAGEN GROUP OF AMERICA, INC. Petitioner v. CARUCEL INVESTMENTS, L.P.

Patent Owner

Case No. IPR2019-01102 U.S. Patent No. 7,848,701 and Case No. IPR2019-01103 U.S. Patent No. 7,979,023

DEPOSITION OF SCOTT ANDREW DENNING Thursday, January 16, 2020

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1	UNITED STATES PATENT AND TRADEMARK OFFICE
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3	BEFORE THE PATENT TRIAL AND APPEAL BOARD
4	
5	VOLKSWAGEN GROUP OF AMERICA, INC.
6	Petitioner
7	V.
8	CARUCEL INVESTMENTS, L.P.
9	Patent Owner
10	
11	Case No. IPR2019-01102
12	U.S. Patent No. 7,848,701
13	and
14	Case No. IPR2019-01103
15	U.S. Patent No. 7,979,023
16	
17	
18	DEPOSITION OF SCOTT ANDREW DENNING
19	Washington, D.C.
20	Thursday, January 16, 2020
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22	
23	Job No. 134483
23	Pgs. 1 - 145
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23	Reported by: Cindy L. Sebo, RMR, CRR, RPR, CSR,

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1	January 16, 2020
2	9:16 a.m.
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5	
6	Deposition of SCOTT ANDREW DENNING held at:
7	
8	
9	
10	Sterne, Kessler, Goldstein & Fox P.L.L.C.
11	1100 New York Avenue, Northwest
12	Suite 600
13	Washington, D.C. 20005
14	
15	
16	
17	Pursuant to notice, reported
18	stenographically, before Cindy L. Sebo, Registered
19	Merit Court Reporter, Certified Real-Time Reporter,
20	Registered Professional Reporter, Certified
21	Shorthand Reporter, Certified Court Reporter,
22	Certified LiveNote Reporter, Real-Time Systems
23	Administrator, LiveDeposition Authorized Reporter
24	and Notary Public in and for the District of
25	Columbia.

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2	PROCEEDINGS
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4	
5	Washington, D.C.
6	January 16, 2020; 9:16 a.m.
7	
8	
9	SCOTT ANDREW DENNING,
10	after having been first duly sworn, was
11	examined and testified as follows:
12	
13	MR. SMITH: Before we get started,
14	we're here this morning for the deposition
15	of Mr. Denning in two separate IPR
16	proceedings. That's IPR2019-01102, which
17	is related to Patent 7,848,701, and IPR
18	proceeding IPR2019-01103, related
19	to Patent 7,979,023.
20	We've agreed that we'll have a single
21	transcript for both IPR proceedings, and it
22	will be usable in both of the two
23	proceedings.
24	I will be starting, and I will be
25	asking questions with respect to the '023

8 patent, which is 01103, and then Mr. Warren 1 2 will follow up on the '701 patent after I'm 3 done. 4 We'll do our best not to duplicate 5 any of the questions. And when we're 6 asking about a specific declaration or 7 claims related to one of the 8 specific patents, we'll try to identify 9 which specific one we're referring to so 10 that it's clear on the record. 11 All agree? 12 MR. RICHARDSON: That works for us. 13 MR. SMITH: All right. 14 MR. RICHARDSON: Before we go on, 15 we'll put our appearances on the record. 16 MR. SMITH: Absolutely. 17 MR. RICHARDSON: So for Petitioner's 18 side, Volkswagen Group of America, my name 19 is Ryan Richardson from the law firm of 20 Sterne, Kessler, Goldstein & Fox. 21 And here with me is Lauren Schleh, 22 also from Sterne, Kessler, Goldstein & Fox. 23 MR. SMITH: All right. And I am 24 Elvin Smith with Carucel. And with me is 25 Mr. Sanford Warren, who is also

9 1 representing Carucel. And then we have our 2 expert, Mark Lanning, L-A-N-N-I-N-G. 3 4 EXAMINATION BY COUNSEL FOR PATENT OWNER 5 6 BY MR. SMITH: 7 Q. Mr. Denning, you've had your deposition 8 taken before, correct? 9 Α. Yes. 10 Q. Ballpark, how many times? 11 Α. I think this is the eighth. 12 Okay. So you understand the testimony 0. 13 you're giving here today is under oath? 14 Α. Yes. 15 And you're doing a good job of this --Q. 16 sometimes I don't do as well -- but the court 17 reporter has to try to take down what we both are 18 So if you'll let me finish my question, saving. 19 I'll try to let you finish your answer so that we're 20 not talking over each other. 21 Is that fair? 22 Α. Yeah, that's fair. 23 All right. And if at some point in time Ο. 24 I ask a poor question and you don't understand the 25 question, can you ask me to rephrase it so that I

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10 1 can presume that if you've answered the question, 2 you understood what it was that I was asking? 3 Α. Sure. 4 When were you first contacted with Ο. 5 respect to IPR-1103 for the '023 patent? 6 I don't recall the exact date. Α. It seems 7 like it was March or April or sometime in that time 8 frame; it could have been somewhat earlier. 9 Q. Do you remember who contacted you? 10 I'm pretty sure that it's the Α. 11 salesperson at Thomson Reuters that I work with. 12 Okay. And what were you asked to do Ο. 13 with respect to IPR-1103? 14 Α. Do you mean by the person that contacted 15 me? 16 Q. Yes. 17 Α. I'm pretty sure that he sent over one of 18 the patents and asked me to take a look at it and 19 see if it was within my technical domain. 20 All right. And I presume, since you're Q. 21 here today, you told him that you believed that it 22 was? 23 Α. Yes, that's right. 24 Q. And then what happened next? 25 Α. I guess papers were signed and a call

11 1 was set up with Lauren. 2 Okay. What was the first thing Lauren Q. 3 asked you to do? MR. RICHARDSON: And I'll caution the 4 5 witness not to reveal any attorney-client 6 privileged or product information. 7 BY MR. SMITH: 8 0. Yes. And I'm not asking for that. 9 That's not the intent of any of my questions. So to 10 the extent that it may appear to call for that, I'm 11 not asking for it; don't give me that. 12 As I recall, there were several patents Α. 13 that were given to me. He asked me to read those 14 and just let him know what I thought about -- if 15 there was any prior art issues with them. 16 With respect to the prior art that is Ο. 17 referenced in your declaration, did you find all of 18 those references? Were some of those references 19 given to you? How did that process play out? 20 Α. These references were all given to me. 21 0. All right. And was that by Lauren or 22 people associated with Lauren? 23 Α. Yeah, it was people associated. 24 When you do declarations like this, is Ο. 25 this solely your work product, or are there other

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1	people at, basically, your shop that help you with
2	doing these reports these declarations?
3	A. In this case, because there's a lot of
4	work going into it, a lot of different materials
5	being brought in I typically work with this team
6	here that we have quite a few calls to discuss
7	all the art, all the nuances of the technology, and,
8	you know, really make sure that we all understand or
9	are on the same page.
10	I believe the actual preparation of the
11	declaration happens by a paralegal here who takes my
12	notes and and and copies and pastes it
13	together into what you see there.
14	Q. All right. So I just want to make sure
15	that I understand the full when you're talking
16	about "here" and you're talking about "team" and on
17	the call, is there anyone not working for at
18	Sterne Kessler who is part of that process? Or was
19	that just you on the outside?
20	A. Only me on the outside.
21	Q. Okay. So you don't have an assistant?
22	You don't have somebody who helps do drafts for you
23	or run research or those kind of things for you,
24	personally?
25	A. No.

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13 1 Q. Then you mentioned that you had several 2 calls here that -- you mentioned notes. 3 You prepared notes? 4 Yeah. There would be quite a few e-mail Α. 5 exchanges, regular -- usually, like, weekly calls to 6 go over the things that -- that I would send in. 7 And that pretty much is just a repetitive process 8 like that. 9 0. All right. And through that repetitive 10 process, it's your belief that a legal assistant 11 here took those things, combined them and turned it 12 into a declaration that then you reviewed and 13 approved prior to signing it? 14 Α. Yes. 15 Q. All right. 16 MR. SMITH: We'll start by Denning 1. 17 18 (Denning Deposition Exhibit 19 Number 1, Patent Owner's Notice of 20 Deposition of Scott A. Denning, 21 IPR2019-01103, marked for 22 identification, as of this date.) 23 24 BY MR. SMITH: 25 Q. I'm going to hand you what I've had

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1 marked as Denning 1 and ask you if you've seen this 2 before. 3 Yes. Α. And that's the notice by which you're 4 Q. 5 appearing here today to provide your testimony, 6 correct? 7 Α. Yes. 8 Q. And then there will also be a second 9 notice that would be applicable to the other IPR 10 proceeding that you're here for as well, correct? 11 Α. Yes. 12 I'm going to hand you what I'll have 0. 13 marked as Denning 2. 14 15 (Denning Deposition Exhibit 16 Number 2, United States Patent 17 7,979,023 B2, marked for 18 identification, as of this date.) 19 20 BY MR. SMITH: 21 And can you identify Denning 2 for us? 0. 22 Α. This is a copy of U.S. 23 7,979,023 B2 patent. 24 All right. And that is the '023 patent Ο. 25 for which you were asked to provide testimony in

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15
1
    this matter, correct?
2
          Α.
                Yes.
3
                 I'm going to hand you what I'll have
          0.
4
    marked as Denning 3 --
5
6
                  (Denning Deposition Exhibit
7
                   Number 3, Declaration of Scott A.
8
                   Denning, IPR2019-01103, marked for
9
                   identification, as of this date.)
10
11
    BY MR. SMITH:
12
              -- and ask us -- if you can tell us what
          0.
13
    Denning 3 is.
14
          Α.
               Declaration of Scott A. Denning.
15
                 And that's the declaration that you
          Q.
16
    prepared in IPR-1103 with respect to the '023
17
    patent, correct?
18
                 It appears to be.
          Α.
19
          Q.
                 Then I'm going to hand you what I've had
20
    marked as Denning Exhibit 4 --
21
22
                  (Denning Deposition Exhibit
23
                   Number 4, Scott Andrew Denning,
24
                   Curriculum Vitae, marked for
25
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	16
1	identification, as of this date.)
2	
3	BY MR. SMITH:
4	Q and ask you if you can identify
5	Deposition Exhibit 4.
6	A. This appears to be a version of my CV.
7	Q. All right. That you would have tendered
8	in association with your declaration for testimony
9	in this case, correct?
10	A. Yes.
11	Q. All right. Let's start by going through
12	your declaration. We'll refer to the other exhibits
13	from time to time, but we're going to start by
14	walking through your declaration.
15	In terms of the format that is laid out
16	in this, I think you've testified that the
17	references contained herein were all provided to you
18	by someone at Sterne Kessler, correct?
19	A. Yes.
20	Q. And with respect to the grounds, there
21	are five grounds listed for this petition.
22	Were those grounds that you came up
23	with, or were those grounds provided to you?
24	A. As I recall, the grounds kind of grew as
25	we worked through the material.

17 1 Q. Okay. So you discussed the material 2 amongst the team and developed the grounds over a 3 course of time as part of a collaborative effort 4 with the team? 5 Α. Yes. 6 But it's your -- your opinion and Q. 7 testimony that each of these grounds are sufficient 8 for having found the '023 obvious? 9 Α. Yes. 10 Ο. In Paragraph 4 of your declaration on 11 Page 1, you've mentioned that you've been asked to provide your technical review, analysis and insights 12 13 with respect to the '023 patent, correct? 14 Α. Yes. 15 All right. And then you mentioned in Q. 16 your qualifications 20 years of experience with 17 mobile communications, which is in Paragraph 6. 18 Have you had any experience with 19 designing mobile base stations? 20 Α. I guess I would have to ask exactly what 21 you mean by "mobile base station." I certainly have 22 experience designing and building the wireless hub 23 for all kinds of different devices. 24 In the robotic inspection trucks, which 25 I -- pipeline inspection trucks that I mention,

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18 1 those certainly had a wireless hub controller that 2 talked to other devices as well as connected back to 3 the cellular system. 4 Well, the '023 discusses mobile base Q. 5 stations with respect to cellular networks, correct? 6 Α. Yes. 7 Q. Have you participated in the design of 8 any base stations for use in a cellular network? 9 Α. No. 10 Have you designed any portable handsets Q. 11 for use in a cellular network? 12 Yes, I have. Α. 13 Q. All right. And tell me about that. 14 Α. One in particular design -- can I first 15 tell you about a related project which was actually 16 more of a modem, but it worked very similar? 17 0. Sure. Okay. 18 So in 1994, I designed a design -- or Α. 19 PacTel Cellular, which I believe was even starting 20 to be Verizon at that time -- that was a -- a modem 21 that would connect up to the AMPS and then D-AMPS 22 cellular system. It looked to the network as though 23 it was a base station, worked very much like a bag 24 phone at the time, difference being that instead of 25 a handset that you talk to, it had a lot of

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1 interface electronics that you could connect up 2 computers to. 3 The original one was used in RS-232 4 connection. And then it would make connection with 5 the cellular network and just acted as a -- a modem, 6 much the same as a telephone line modem did at that 7 time. 8 Q. What -- like, we hear today 4G, 5G. 9 What generation of technology would that 10 modem have utilized? 11 That's before they actually even used Α. 12 the term "G." This truly was analog cellular. Ι 13 would have to say that would have been 1G. 14 Q. Anything -- any experience with handset 15 devices and the design of handset devices after this 16 reference that you had for Active Cellular? 17 So there were several generations of Α. 18 that project that I worked on. Certainly, there was 19 a GPS phone system that I worked on that was also 20 handheld cellular. In that case, though, it used an 21 off-the-shelf electronics package. It's a CDPD 22 modem that was provided by Novatel. 23 And I wrote the firmware for that and 24 all of the application, but we didn't actually make 25 the -- the RF model that was used in that device.

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20 1 Q. All right. What -- you said that --2 that the continuation of the PacTel -- did that make 3 it into 2G or 3G, or was that all analog? 4 I don't know. Α. 5 Q. In what you participated with? 6 What I participated was all analog, so Α. 7 that would have been AMPS and D-AMPS; so the -- the 8 D-AMPS was not strictly just an analog signal, as it 9 used the control channel signaling for sending data. 10 All right. Continuing on with your Q. 11 declaration, we have the materials you considered. 12 And just for clarity's sake, you list 33 13 exhibits there, correct? 14 And I'm on Page 4, 5 and 6 of your 15 declaration. 16 Α. Yes. 17 And each of those 33 exhibits were 0. 18 provided to you by Sterne Kessler, correct? 19 Α. With the exception of my CV? 20 Q. With the exception of your CV; that's 21 correct. Right? 22 And the collaboration on your 23 declaration? 24 Α. Yes. 25 Q. All right. And then with respect to

	21
1	legal standards in your testimony that is at
2	Paragraph 15 through 28 those were all matters
3	that your understanding with respect to the legal
4	basis for that all came from your instructions and
5	conversations with counsel at Sterne Kessler,
6	correct?
7	A. As I mentioned, I've been doing this for
8	a while. I've had a few depositions. And so it's
9	accumulation of those cases as well.
10	Q. Did any of the I think you mentioned
11	you had been deposed seven or eight times?
12	A. I believe this is my eighth.
13	Q. All right. Of those other seven, did
14	any of those involve communications over a cellular
15	network?
16	A. Yes.
17	Q. Which one was that?
18	And just so we're clear on the record,
19	you're referring to Denning Exhibit 4, correct?
20	A. Yes.
21	(Whereupon, the witness reviews the
22	material provided.)
23	THE WITNESS: Core Wireless versus
24	LG; LBSI versus BP; and the ITC case, which
25	is at the bottom, Flashpoint versus HTC.

22

1 BY MR. SMITH: 2 Q. Okay. Just briefly, so I understand the 3 background on this, the Core Wireless case -- what was the technology at issue there? 4 5 Α. Sorry. I'm having a hard time 6 recalling. 7 I'm -- I'm quite sure that it was 8 software on the -- on the handset -- or the mobile 9 device. 10 Do you recall what that software did? Q. 11 That's what I was trying to remember. Α. 12 I do not. It's been long enough now 13 that I don't recall the exact technology. 14 Q. All right. With respect to the second 15 matter you mentioned, which was the LBSI versus 16 BP America, what technology was at issue there? 17 Α. There were a couple of them: The 18 primary one was getting location-based services and 19 dealing with location technologies, such as cellular 20 triangulation, strength indicators to list cell site 21 as well as determining the location of other users 22 which could be nearby through other wireless 23 technologies. 24 All right. Anything else? Q. 25 That's all I recall. Α.

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	23
1	Q. And then on the ITC or, actually, you
2	referenced it as the Flashpoint versus HTC.
3	What was the technology at issue there?
4	A. So I was actually working for Pantech on
5	that one. It also had to do with software on the
6	handset. But I'm sorry. It's long enough ago I
7	just do not recall which part of the software.
8	Q. On the handset that you were talking
9	about in the Core Wireless versus LG, was that a
10	handset that directly communicated with a cellular
11	network, or did it have to go through a base
12	station?
13	A. This one communicated directly with the
14	cellular network.
15	Q. All right. What about the one in
16	Flashpoint versus HTC?
17	A. The same.
18	Q. So it directly communicated with a
19	cellular network as well?
20	A. Yes, it did.
21	Q. On the '023 and we'll get into
22	specifics as we go through it. I just want to
23	understand at a high level what your understanding
24	of the '023 is.
25	What was the main object of the

	24
1	invention of the '023?
2	(Whereupon, the witness reviews the
3	material provided.)
4	THE WITNESS: As I state on the
5	bottom of Page 12 of my declaration, Like
6	the prior art techniques discussed above,
7	the '023 patent generally relates to a
8	mobile communication system that includes a
9	moving base station configured to
10	communicate with fixed radio ports. In
11	particular, the '023 patent describes the
12	moving base station being interposed
13	between a moving mobile telephone unit and
14	a fixed base station and facilitating
15	communication between the two systems.
16	BY MR. SMITH:
17	Q. So you're basically reading from
18	Paragraph 31 of Page 12?
19	A. Yes.
20	Q. In layman's terms, what part of that
21	component is the thrust of the '023 patent? The
22	handset? The fixed cores? The moving base station?
23	What's the main object of the invention?
24	MR. RICHARDSON: Objection: form.
25	THE WITNESS: So in order to

25 1 determine the main object of the invention, 2 I'd have to refer to the claim language. 3 So looking at the 7,979,023 patent, 4 Claim 1, which is An apparatus configured 5 to move relative to the Earth, the 6 apparatus comprising -- it has a plurality 7 of spatially separated antennas, a receiver 8 configured to receive mobile device signals 9 from a mobile device through a plurality of 10 spatially separated antennas, and a 11 transmitter configured to transmit radio frequency signals corresponding to the 12 13 mobile device signals to a base station. 14 Based on my knowledge of the way 15 that patent claims are written and what is 16 required in order to infringe them or to be 17 obvious, I'm not sure that any one of these 18 would not be required. And, therefore, all 19 of them would be the main object of the 20 invention. 21 BY MR. SMITH: 22 Q. Look at Paragraph 37 on Page 16. 23 And on the -- one, two -- third line 24 down, you have a sentence that reads, Instead, the 25 '023 patent merely purports to add a technique

26 1 whereby an intermediary (e.g. mobile base station) 2 moves relative to the base station and facilitates 3 the communication between the base station and the 4 mobile device to these known systems and methods for 5 facilitating communications between mobile 6 telephones and fixed base stations. 7 Do you see that? 8 Α. Yes. 9 Do you believe that the '023 patent does Ο. 10 more than that, or do you think that's what the '023 11 patent thrust is? 12 I don't think that there's more to it Α. 13 than that. 14 Q. All right. What -- and what did you do 15 in preparation for your deposition today? 16 I reread and reread and reread my Α. 17 declarations --18 (Interruption by cellular telephone.) 19 THE WITNESS: Could we silence the 20 phone? 21 BY MR. SMITH: 22 Q. Yeah. 23 Thank you. Α. 24 -- I looked at the prior art patents and 25 reread them as well. I went back over my notes that

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1	we made and the e-mails that were between myself and
2	Sterne Kessler and really became familiar once again
3	with all of the materials.
4	Q. All right.
5	With respect to the prior art reference
6	of Ito, we just mentioned that on the '023 that
7	it's really directed toward this mobile base station
8	concept of the cellular network.
9	What was the thrust of the Ito patent?
10	A. Can I please see a copy of it?
11	Q. Do you have a do you have a
12	recollection of it without I'm going to give you
13	a copy, but do you have a recollection without
14	referring to your declaration or to the Ito patent?
15	A. I believe Ito could be summarized as we
16	just discussed in Paragraph 37, that it is an
17	intermediary mobile base station, was relative to
18	the base station, facilitates communication between
19	the base station and the mobile device.
20	Q. Does the mobile device in Ito
21	communicate directly with a cellular network?
22	A. I really would prefer to look at
23	the patent, if you don't mind.
24	MR. SMITH: Let's mark Denning
25	Exhibit 5.

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1	
2	(Denning Deposition Exhibit
3	Number 5, United States Patent
4	5,276,686, marked for
5	identification, as of this date.)
6	
7	THE WITNESS: Would you please repeat
8	the question?
9	BY MR. SMITH:
10	Q. Yes.
11	In Ito, the portable handset can it
12	communicate directly with the cellular network?
13	A. In Ito, the the mobile base is there
14	to form a connection between a handset and a a
15	fixed base station, but I don't see anything in the
16	Summary here or through my recollection that
17	prohibits the mobile device from being able to also
18	establish a connection with with a fixed base
19	station.
20	Q. All right. So if the MSS I'll refer
21	you to Figure 1 of Ito.
22	Do you know if I turned off the MSS,
23	do you know, one way or the other, whether the PSS
24	there would be able to communicate with the cellular
25	network?

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29 1 Α. That's my point that I was just making. 2 I did not see anything that would prohibit that from 3 happening. 4 All right. Q. 5 In other words, you don't see anything 6 that prohibits in the patent, but you don't know one 7 way or the other, or you believe that it can? 8 MR. RICHARDSON: Objection: form. 9 THE WITNESS: All I know about Ito is 10 what the patent says. 11 BY MR. SMITH: 12 0. Right. 13 Can the -- if we look at Column 2, Line 10 on the Ito patent --14 15 Α. Okay. 16 -- it says that the -- the -- in the Ο. 17 Summary of the Invention section, it says, Channel 18 in order to eliminate the necessity of wiring the 19 mobile base device and portable device and enhance 20 the maneuverability of an automobile telephone 21 handset. 22 Do you see that? 23 Α. Yes. 24 Would you agree that Ito is really just 0. 25 designed to be a wireless connection to the mobile

30

1 base station eliminating the cord of a fixed 2 connection? 3 Α. The first sentence of the paragraph 4 says, It is therefore an object of the present 5 invention to provide a mobile radio communication 6 system -- I would have to say that it's one object 7 to provide -- in order to eliminate the necessity of 8 wiring the mobile base device and portable device. 9 (Whereupon, the witness reviews the 10 material provided.) 11 THE WITNESS: It goes on and gives --12 in the following paragraph, it says, 13 Another option. 14 So I think there are several objects 15 of the Ito patent. 16 BY MR. SMITH: 17 Does Ito ever specifically show where Ο. 18 the PSS communicates directly with a cellular 19 network? 20 Α. Are you asking me to look through the 21 whole patent and tell you --22 I'm asking you if it's disclosed in Ito. 0. 23 You would agree that the '023 is 24 referring to a handset that can communicate directly 25 with a cellular network, correct?

	31
1	A. So you've asked me two questions.
2	Do you want me to answer the '023 patent
3	question or the other one?
4	Q. Answer the '023 first, and then we'll go
5	back to the
6	A. Okay.
7	(Whereupon, the witness reviews the
8	material provided.)
9	THE WITNESS: Okay.
10	I find in the '023 patent in
11	Column 3, Line 27 it says, Due to the
12	characteristics of the Direct Sequence,
13	Spread Spectrum CDMA, the higher power
14	level signal will overpower the lower level
15	signal such that the mobile unit does not
16	receive communications from the fixed radio
17	port but only from the movable base
18	station.
19	I would have to say that the
20	radio the handset is capable of
21	communicating with the fixed station.
22	BY MR. SMITH:
23	Q. All right.
24	And so with respect to Ito, does it show
25	where the PSS communicates directly with a cellular

1	network, and if so, where?
2	(Whereupon, the witness reviews the
3	material provided.)
4	THE WITNESS: So my declaration on
5	Page 43 it's actually Paragraph 92
6	states that As shown in Ito's Figure 3, the
7	mobile base device MSS includes a first
8	transmitter-receiver unit, a second
9	transceiver unit, whereas the first
10	transmitter-receiver unit facilities
11	communication with the base station BSS,
12	second transmitter-receiver unit
13	facilitates communication with the portable
14	devices. Thus, in operation, the mobile
15	device mobile base device receives
16	signals from the base station via the first
17	transmitter-receiver unit, forwards those
18	signals to the portable device via the
19	second transmitter-receiver unit.
20	Similarly, the second transmitter-receiver
21	unit receives signals from a portable
22	device and forwards those to the base
23	station. In this manner, the mobile
24	device mobile base device provides a
25	moving source of communications

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1	connectivity for portable devices located
2	within a moving vehicle.
3	That is the description given in Ito
4	of how it works. The MSS provides moving
5	source of communication. Once again,
6	though, I don't see anything in here that
7	would preclude a handset talking to a fixed
8	station; it's just not talked about.
9	BY MR. SMITH:
10	Q. On Page 43 we're going to come back
11	to that in a second but your Figure 3 there, your
12	line your Paragraph 92, first sentence, reads,
13	As shown in Ito's Figure 3, the mobile base device
14	MSS includes a first transmitter-receiver unit 50
15	and a second transmitter-receiver unit 80.
16	Can you show me on Figure 3 where
17	Unit 80 is?
18	(Whereupon, the witness reviews the
19	material provided.)
20	THE WITNESS: So in the Ito patent,
21	'686, in Column 8, Line 27, it says, Like
22	the first transmitter-receiver unit 50, the
23	second transmitter-receiver unit 80 also
24	comprises a transmission system, reception
25	system and a control system.

34 1 Based on Column 8 that I just read 2 there, it is obviously referring to the 3 bottom block of Figure 3, but there is no 4 80 that is shown in Figure 3. 5 BY MR. SMITH: 6 All right. Q. 7 And you didn't note in your report that 8 80 wasn't shown on Figure 3, did you? 9 Are you representing that I didn't, or Α. 10 do you want me to search? I didn't see that you mentioned anywhere 11 Q. 12 in there that Unit 80 wasn't actually identified. 13 Do you recall -- strike that. 14 Do you recall having noticed that 15 Figure -- that Unit 80 was not identified in 16 Figure 3 prior to today? 17 Α. No. 18 Let's look at Figure 6 of Ito for a Ο. 19 second. 20 And at least as to what's illustrated in Figure 6, it doesn't represent PSS1 or PSS2 directly 21 22 communicating to BSS, does it? 23 (Whereupon, the witness reviews the 24 material provided.) 25 THE WITNESS: No, it doesn't

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1	represent that.
2	BY MR. SMITH:
3	Q. All right. Looking at Column 3, Line 50
4	through 56.
5	A. Okay.
6	Q. It mentions that core it actually
7	says "codeless."
8	Does Ito give any definition for what a
9	codeless telephone handset would be?
10	A. I'm going to assume that that is a typo,
11	that it should be cordless, as in the sentence right
12	above it.
13	Q. Right.
14	A. And if I look at Column 3, Line 41, it
15	says, In a cordless telephone system, stationary
16	telephone units are connected to a telephone
17	exchange by way of subscribers' wire lines and each
18	of the stationary units is connected to a handset by
19	way of a radio channel. With a system according to
20	the invention, additional stationary telephone units
21	can be wirelessly connected to a telephone exchange
22	without incurring any additional cost of installing
23	new subscribers' lines and/or removing existing
24	subscribers' lines.
25	You know, I can look for additional

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36 references to what they're referring to there as a 1 2 "cordless telephone." I think that makes it pretty 3 clear what they're talking about, though. 4 All right. And it -- it shows what 0. 5 they're talking about is not a unit that 6 communicates directly with a cellular network but 7 one that goes through the MSS, correct? 8 Α. Well, I think what's actually described 9 here is that the cordless phone has a radio channel 10 that connects it to a device that then goes into the 11 phone system. 12 Right. And if we turn that device that 0. 13 it was connected to off, that portable device would 14 not connect to the cellular network, correct? 15 Α. That's correct. 16 And then if we look at Column 9, Ο. 17 Lines 64 through 68, I think this kind of furthers 18 the point. 19 It says that -- the portable device PSS 20 that belongs to the mobile station MS2. 21 Do you see that? 22 Α. Yes. 23 That would also correspond to what you Ο. 24 were just saying with respect to the portable device 25 has its functionality with respect to connecting a
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cellular -- to a cellular network by virtue of the 1 2 MS2 connection, correct? It's not something --3 strike that. 4 It furthers the fact that the portable 5 device needs the mobile station MS2 in order to 6 connect to the cellular network, true? 7 Α. As I said before, Ito appears to be describing -- or Ito is describing a mobile base 8 9 unit that is between a handset and a fixed -- fixed 10 base station. And certainly everything that is 11 described in Ito is describing that relationship. 12 But to my point earlier, I don't see 13 anything in Ito that says that that handset cannot 14 connect up to a fixed base station. 15 0. But you would also agree that there's 16 nothing in Ito that discloses that it can or does, 17 right? 18 Ito is completely silent on that. Α. 19 MR. SMITH: Let's take a short break. 20 21 (Whereupon, a recess was taken from 22 10:12 a.m. to 10:27 a.m.) 23 24 BY MR. SMITH: 25 Q. Continuing on in your declaration for a

38 1 minute on Page 21 -- actually, it's 20 and 21 on 2 Paragraph 46. 3 The last sentence there says, POSITAs 4 knew of this problem and had developed several 5 well-known solutions for more efficiently performing 6 handoffs prior to the '023 patent. 7 And your reference to that was to 8 Exhibit 1007 of your declaration, which was 9 Gilhousen '390. And then it was Column 7, Lines 49 10 to 62. 11 So let me get you Gilhousen '390. 12 This will be 6. MR. SMITH: 13 14 (Denning Deposition Exhibit 15 Number 6, United States Patent 16 5,109,390, marked for 17 identification, as of this date.) 18 19 BY MR. SMITH: 20 Q. All right. Column 7, Line 49 to 62. 21 What solution is proposed by Gilhousen 22 at Column 7, 49 to 62? 23 (Whereupon, the witness reviews the 24 material provided.) 25 THE WITNESS: In Line 60, it says, In

39 the cell-site diversity mode, the call is 1 2 allowed to linger in the in-between state 3 as described above, the -- what is being 4 described is known as cell-site diversity. BY MR. SMITH: 5 6 0. All right. That's one solution. 7 Are there any other solutions proposed 8 in Gilhousen '390? 9 Do you want me to read through it and Α. 10 find them all? 11 This is -- this is your reference. So 0. 12 in the reference in support of the statement, There 13 are several well-known solutions, I see a reference 14 to cell-site diversity. 15 What other solution -- what other 16 well-known solutions were there? 17 (Whereupon, the witness reviews the 18 material provided.) 19 THE WITNESS: So in Column 3 of the 20 '390 patent, as well as 4, it describes a 21 conventional cellular telephone system and describes the handoff problem. 22 23 In particular, Line 60, it says, 24 Handoff from one cell to another is 25 initiated when the cell-site receiver

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1	handling the call notices that the received
2	signal strength from the mobile telephone
3	falls below a predetermined threshold.
4	Then in Column 4, Line 17, it says,
5	In the conventional system, a
6	break-before-make scheme is utilized such
7	that no diversity reception is possible in
8	overcoming fades.
9	So what it's describing there is that
10	the system is monitoring the signal
11	strength of the handset. And when it falls
12	below a particular level, an initiation to
13	an additional or to another cell site is
14	initiated or is handoff.
15	BY MR. SMITH:
16	Q. Right.
17	My question is your statement is,
18	There's several well-known solutions for more
19	efficiently performing the handoffs prior to the
20	'023 patent, but all you've cited as an example for
21	that in Paragraph 56 [sic] of your declaration is
22	cell-site diversity, correct?
23	MR. RICHARDSON: Objection: form.
24	BY MR. SMITH:
25	Q. Since there's an objection, let me ask

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1	it this way: You'll agree that the only citation
2	reference you provided for the statement that There
3	were several well-known solutions is a reference to
4	Exhibit 1007, which is Gilhousen '390, at Column 7,
5	Lines 49 to 62?
6	That's all that's cited in support of
7	that statement in Paragraph 46, correct?
8	(Whereupon, the witness reviews the
9	material provided.)
10	THE WITNESS: So the last sentence on
11	Page 20, Paragraph 46: This problem was
12	well known at least as early as 1991, as
13	disclosed by U.S. Patent Number 5,345,467
14	to Lomp.
15	I I don't have Lomp in front of
16	me, but, surely, something in Lomp
17	addressed the issue and was a solution.
18	It goes on, Similarly, issues with
19	maintaining a seamless call during a
20	handoff process were also well known as
21	early as 1993, as demonstrated by U.S.
22	6,393,281 to Capone.
23	I have to assume that Capone, though
24	I don't have that patent here, was
25	describing what this talked about,

42 1 maintaining a seamless call, and dealing 2 with that. 3 The last sentence where I give this 4 example here of Exhibit 1007 and then this 5 column, we are describing a -- still 6 another method for dealing with handoffs. 7 BY MR. SMITH: 8 Q. All right. 9 But in support of this, the statement 10 you made in your declaration that says that A POSITA knew of these problems and had developed several 11 12 well-known solutions for more efficiently performing 13 handoffs -- the only reference you provided for that 14 was Exhibit 7, Gilhousen '390, Column 7, Lines 49 to 15 62, correct? 16 This is the reference that is provided Α. 17 in Paragraph 46; however, I go on to describe the 18 problem further in Paragraphs 47, 48 and 49, even 19 50, 51 and 52, where I actually talk more about 20 those that I just mentioned previously in 46. 21 So if you want, we can talk about all of 22 those. I -- I definitely did not only give you an 23 example of dealing with the handoff problem in this 24 one exhibit. It is described in great detail in 25 these other sections as well.

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43 1 Q. But the only reference -- the only 2 solution proposed in Gilhousen was cell-site 3 diversity at this reference, correct? 4 (Whereupon, the witness reviews the 5 material provided.) 6 THE WITNESS: Gilhousen describes 7 cell -- cell-site diversity, yes. 8 BY MR. SMITH: 9 Okay. Turning now to Paragraph 64, Q. which is on Page 28. 10 11 (Denning Deposition Exhibit 12 13 Number 7, United States Patent 14 5,481,533, marked for 15 identification, as of this date.) 16 17 BY MR. SMITH: 18 I'll hand you what we've had marked as Ο. 19 Denning 7. 20 And in your report at Page 64 -- excuse 21 me -- Paragraph 64 on Page 28, you reference the 22 well-known combination of -- well-known combined 23 TDMA and CDMA configuration. 24 Do you see that? 25 Α. Yes.

44 1 Q. What cellular network standard uses this 2 configuration? 3 (Whereupon, the witness reviews the 4 material provided.) 5 THE WITNESS: The '533 patent that 6 you just handed me, in Column 1, Line 25, 7 gives an example of PCS. 8 BY MR. SMITH: 9 So you're saying that PCS is TDMA/CDMA Q. 10 configuration? 11 I believe I just read you that the Α. 12 '533 patent says that. 13 I'm not asking what the '533 patent Ο. 14 says; I'm asking you if you know if PCS is a 15 TDMA/CDMA configuration -- if you know that. 16 So while I'm very familiar with both Α. 17 techniques, TDMA and CDMA, the cellular technologies 18 that I actually built devices for was the analog 19 cellular TDMA. The more modern -- GSM, 2G, 3G, 20 LTE -- are CDMA systems. And I cannot say 21 firsthand, based on any equipment that I've 22 developed, they used both of those simultaneously. 23 I'm not asking for what you developed; Ο. 24 I'm asking if you, personally, know if the PCS 25 utilizes a TDMA/CDMA configuration.

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1	MR. RICHARDSON: Objection: asked and
2	answered.
3	THE WITNESS: I know what I read here
4	in the '533 patent.
5	BY MR. SMITH:
6	Q. But you don't have any knowledge beyond
7	what you know from reading the aforementioned
8	reference in the patent, Column 1, approximately
9	Line 25?
10	A. As I said, I don't have any experience
11	designing systems that used both of those.
12	Q. All right.
13	Looking at Column 1, Line 55, it says, A
14	feature of the methodology, in contrast to the
15	standard TDMA/frequency-division multiple access
16	(FDMA) technique in which inter-cell interference is
17	suppressed by making sure that users in adjacent
18	cells are transmitting on the different frequency
19	bands is that the hybrid TD is that the hybrid
20	TDMA/CDMA scheme combines the high intra-cell
21	capacity of TDMA with the inter-cell and multiple
22	path interference rejection capabilities of CDMA.
23	Do you know what standard that might be
24	referring to what cellular standard that would be
25	referring to?

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1	I'm specifically referring to Line 56,
2	where it has TDMA/FDMA.
3	A. I believe that it's saying that that is
4	a standard technique. I'm not sure that it's saying
5	that that is a a phone standard.
6	Q. If a phone standard applies to that,
7	you're not sure which one?
8	A. Well, I from the time frame of
9	the patent, I'm quite sure that there were European
10	standards that did that.
11	I also believe that the standard AMPS
12	system also assigned channels in a TDMA manner such
13	that neighboring cell sites did not use the same
14	frequency band in close proximity, as what's being
15	described there.
16	AMPS, D-AMPS, PCS as far as what I
17	read here, I believe all of those use that.
18	Q. On Paragraph 65 of your report, you say
19	that Mobile repeaters are substantially similar to
20	movable base stations.
21	In what way are mobile repeaters
22	different from movable base stations?
23	A. Are you asking me how a mobile repeater
24	is different from a cellular base station?
25	Q. Yes.

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1	Actually, a movable base station,
2	because I'm asking for you to explain what you meant
3	by that phrase.
4	A. Okay.
5	(Whereupon, the witness reviews the
6	material provided.)
7	BY MR. SMITH:
8	Q. So in Paragraph 65, you made the
9	statement that a mobile repeater is substantially
10	similar to a movable base station.
11	I'm asking you in which ways is a mobile
12	repeater different from a movable base station, as
13	you've utilized those terms in Paragraph 65.
14	A. Okay.
15	Paragraph 68, I describe the function of
16	a repeater. It says, At least one repeater station
17	is used to receive radio signals transmitted by the
18	base station and to retransmit radio signals to the
19	mobile stations over the same frequency band.
20	Now, that same frequency band is is
21	kind of important in that what a repeater is doing
22	is actually just that: it's repeating what it
23	received from some other unit, some base and and
24	the mobile. And and it is just amplifying or
25	retransmitting exactly what it received.

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1	Base station in the cellular network
2	works somewhat differently in that it has to deal
3	with the control process by a host somewhere which
4	is assigning different channels on that base station
5	and housekeeping functions like that.
6	Q. So a movable base station has additional
7	capabilities and responsibilities beyond what a
8	repeater would have?
9	A. Yes.
10	Q. In Paragraph 81 strike that.
11	When it says The fading of 40 decibels
12	means the signal strength becomes 10,000 times
13	smaller is that something you know or something
14	that you would have to rely on the literature cited
15	there for its knowledge?
16	A. So it's been a while since I've done
17	decibel calculations. I would have to refer back to
18	the formula for looking up what the signal strength
19	is and then computing that.
20	It it's it's not something I do
21	all the time, so I'm not intimately familiar with
22	it
23	Q. All right.
24	A this seems reasonable.
25	Q. All right. But your testimony is there

49 1 is a formula by which that can be done; that if you 2 referred to that formula, you could tell me what the 3 reduction was? 4 Α. Yes. 5 Q. Okay. Let's go to your --6 MR. SMITH: We are at 8. 7 8 (Denning Deposition Exhibit 9 Number 8, United States Patent 10 5,504,786, marked for 11 identification, as of this date.) 12 13 BY MR. SMITH: 14 Q. I'm handing you what I've had marked as 15 Denning 8 and ask if you can identify that for us, 16 please. 17 (Whereupon, the witness reviews the 18 material provided.) 19 THE WITNESS: This is United 20 States Patent Number 5,504,786, otherwise 21 known as the "Gardner '786." 22 BY MR. SMITH: 23 And that's one of the references that Ο. 24 you've utilized in preparation of your declaration, 25 correct?

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1	A. Yes.
2	Q. In layman's terms, what is it that
3	Gardner is disclosing to us?
4	A. I believe the Abstract provides a very
5	good layman's term definition: Open loop phase
6	estimation methods and apparatus for coherent
7	combining of signals on mobile channels using
8	spatially diverse antennas.
9	The idea of spatially diverse antennas
10	is that there are more than one antennas, and they
11	are somewhat apart.
12	Q. When it refers to "open loop phase
13	estimation," what does it mean by that?
14	A. In signal processing and control
15	systems, a closed loop system would have some kind
16	of feedback. It's it's there's a process.
17	There's there's some kind of inputs, and then
18	there's outputs, and and some kind of feedback is
19	being provided from the output back into the system
20	that it's using to modify its calculations.
21	In an open loop, that feedback loop is
22	broken; it is not there. So it is purely an input
23	process/output kind of system.
24	Q. Okay. And then when it refers to a
25	"coherent combining of signals," what does it mean

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1	by that?
2	A. I'll give you an example.
3	How's that?
4	Q. Okay.
5	A. If I have a sine wave as one of the
6	signals and it's just at a standard frequency and I
7	have another sine wave that is at the same
8	frequency, but they're offset in phase so their
9	peaks and troughs do not line up, if I add those
10	together and the sine waves are offset by where
11	the the trough is exactly in line with the peak,
12	there is no signal coming out, they will exactly
13	cancel each other.
14	That's the extreme of what happens when
15	you combine two signals.
16	If you shift those phases back so that
17	the troughs align and the peaks align, you can add
18	those signals and and gain strength by doing so.
19	That's coherently combining or
20	coherently adding those signals.
21	Q. Okay. And then continuing on, if I look
22	at the second sentence in the Abstract of Gardner,
23	it reads, In accordance with the method, the signals
24	from each of two antennas are demodulated using
25	inphase and quadrature components of a local carrier

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52 1 oscillator to provide RF demodulated inphase. 2 Do you see that? 3 Α. Yes. 4 So what does it mean when it says the 0. 5 demodulated using inphase and guadrature --6 quadrature components of a local carrier? 7 Α. If we look down at the diagram that's 8 shown on the front page of the patent and to the 9 left part of that diagram, you can see down below 10 there's a local carrier oscillator. It's Box 2. 11 Do you see that? 12 Ο. I do. 13 Α. Okay. So out of that local carrier 14 oscillator is a sine wave and a cosine wave. And 15 these are very much what I was describing with 16 the -- the sine wave coming out --17 Q. Right. 18 -- they're just offset in phase. Α. 19 So one of these would be called the 20 "inphase," and the other one would be "quadrature." 21 So, typically, it's sine would be your inphase; 22 cosine would be your quadrature. 23 And what it's doing is trying to 24 determine the direction of the signal as it's coming 25 in. So we're looking at where is it going up and

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1 where is it looking down. And it's using both of 2 those components to describe exactly the phase of 3 the incoming signal. 4 The demodulation phase is because --5 happens because the local carrier is coming in at a 6 very high frequency, so the signal could be in the 7 megahertz; but, actually, all that's being carried 8 across there would be audio, which that's in 9 kilohertz --10 Q. Right. 11 -- typically, what would be happening Α. 12 in, like, an FM system, or even AM, if you have a --13 a high carrier that's in megahertz, there's 14 something that's happening to that signal that is a 15 modulated signal, such as voice, being on top of 16 that. So in amplitude modulation, it's the 17 amplitude of that wave coming in at a very high 18 megahertz rate. 19 The amplitude is changing in relation to 20 the voice signal. And so to demodulate it, you 21 subtract off the carrier frequency, and you're left 22 with the modulated signal which would then be the 23 output. 24 That's handled very much the same for 25 data. It just has different values as to what's a 1

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54 1 and what's a 0. 2 Okay. If we look back at the claims in Q. 3 Gardner, we'll see -- it says in the preamble on 4 Claim 1, In a ground-based mobile radio channel. 5 And it has similar language in Claim 6 6 and in Claim 12 in the preamble. 7 Do see all three of those refer to in a 8 ground-based mobile communication? 9 Α. Yes. 10 Do you know why, in each of those three 0. claims, that they are specifying "ground based"? 11 12 (Whereupon, the witness reviews the 13 material provided.) 14 THE WITNESS: I can't say. 15 BY MR. SMITH: 16 Do you believe that this invention would Ο. 17 also work in an air-based system where the base 18 station is moving in a commercial jet at, let's say, 19 500 miles an hour? 20 Α. Yes, I do. And I outline that in 21 Paragraph 139. 22 0. Let me turn to there. 23 So it's your opinion that the Okay. 24 Gardner description would work on a commercial jet 25 flying at 500 miles an hour?

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1	A. Yes.
2	Q. All right. Are you familiar with the
3	term "MIMO"?
4	A. Yes.
5	Q. What is MIMO?
6	A. MIMO is a different kind of diversity
7	scheme whereby multiple antennas and multiple
8	receivers are used for sending two channels worth of
9	data or multiple channels of data basically across
10	the same communication medium. So it would be
11	multiple data channels being broadcast
12	simultaneously into the same frequency band and the
13	system being able to use what's called "spatial
14	diversity," which is a different kind of spatial
15	diversity that we're talking about in Gardner, to
16	receive those two or multiple separate channels of
17	data.
18	Q. All right. What is transmit diversity?
19	A. Is there somewhere in my declaration
20	that you're referring to?
21	Q. I'm trying to understand what your
22	expertise is with respect to the antenna and the
23	utilization of multiple antennas and how that's
24	applicable to this technology.
25	So I'm asking you if you're familiar

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1	with what transmit diversity is.
2	A. I just want to make sure that we're
3	talking the same thing.
4	Just as I mentioned here, spatial
5	diversity has a slightly different meaning in
6	Gardner than what MIMO spatial diversity would be.
7	I can go by the term "transmit" and "diversity" or
8	"transmitter," which, to me, says that there are
9	multiple transmitters.
10	Q. Okay. What's the diversity reference in
11	that? What is diverse between the two?
12	A. Diversity is multiple.
13	Q. Just multiple?
14	A. There's more than one to pick from.
15	Q. Okay. Is it communicating the same
16	information or different information?
17	A. You really would have to give me a
18	hypothetical situation or more information than just
19	that.
20	Q. Okay. What is spatial multiplexing?
21	A. Again, I have to ask you, Is there
22	somewhere in my declaration that you're referring
23	to? Because we seem to be getting kind of outside
24	of what I understand this deposition to be. And I'm
25	not really prepared to talk about all of these kinds

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1	of things with you today.
2	Q. Right. But you're being presented as
3	someone who has expertise in this field, correct?
4	A. Yes.
5	Q. And you believe you have sufficient
6	expertise in this field to provide these opinions,
7	correct?
8	A. I do.
9	Q. And I'm just asking you what your
10	understanding of spatial multiplexing is.
11	A. So I understand that that is what you're
12	asking; however, I'm only prepared to talk about
13	things related to the patent at issue right here.
14	Can you explain where in any of the
15	material here we're talking about that kind of
16	diversity?
17	Q. I'm asking for your background,
18	expertise and what your understanding is with
19	respect to these concepts in providing the weight to
20	be given to your testimony.
21	And spatial multiplexing is a type of
22	diversity, correct?
23	A. It is.
24	Q. What is your understanding of what
25	spatial multiplexing is?

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Again, talking in very general terms 1 Α. 2 with nothing to do with any of the information we're 3 talking about here, spatial has to do with being 4 able to utilize space, and that would be part of the 5 MIMO technique or similar things, to switch the 6 various channels of data that you are sending across 7 the spatial domain. 8 Multiplexing has to do with switching, 9 so it would be switching from one channel to another 10 very quickly and doing it in such a manner that it 11 is in the spatial domain. 12 All right. You had mentioned -- I think Ο. 13 the term you used was "spatial diversity," correct? 14 Α. Yes. 15 And then you said that Gardner was in Q. 16 some manner different than what was generally 17 considered to be spatial diversity. 18 Did I understand --19 Α. So things have changed, right? I mean, MIMO and spatial diversity, as it's used in Wi-Fi 20 21 and LTE and other modern wireless standards -- those 22 were being thought about at the time of the patent. 23 Gardner is -- date of patent, April 2nd, 24 1996. 25 So the technologies that we just talked

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59 about of the Wi-Fiy, MIMO -- or Wi-Fi, MIMO and LTE 1 2 didn't exist, and Gardner presents spatially diverse 3 antennas as really being antennas located somewhere 4 near each other but not directly together. 5 What's your understanding of how much Q. 6 separation is necessary for there to be spatial 7 diversity? 8 Α. For Gardner? 9 I'm asking what your understanding at Q. 10 this time. 11 At the time of the '023 patent, how much 12 separation was necessary for there to be spatial 13 diversity? 14 Α. I'm going to assume, then, that you're 15 talking about Gardner spatial diversity, and I 16 believe that he tells us . . . (Whereupon, the witness reviews the 17 18 material provided.) 19 THE WITNESS: So I point that out in 20 my Paragraph 96, where I say, This involves 21 using two (or more) antennas spaced far 22 enough apart so that the characteristics of 23 the fading encountered by the desired 24 signal are independent for each of the two 25 receiver paths.

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60 1 BY MR. SMITH: 2 I'm sorry. Which report were you --Q. 3 Α. This is Paragraph 96 towards the middle, 4 where I say, This involves using two (or more) 5 antennas spaced far enough part. 6 Q. Yep. 7 Α. And that comes straight from the Gardner 8 '786 patent, Column 1, and it's Lines 15 to 24. 9 Do you have any understanding with Ο. 10 respect to how much distance separation is required 11 other than by reference to Gardner? 12 Α. So it is dependent on the wavelength of 13 the frequencies being received and transmitted, but 14 I do not have that table or equation in front of me 15 to tell you. 16 It is dependent on the wavelength as to 17 how many inches or millimeters or whatever that you 18 want to be apart with the antennas. 19 Let's look at Paragraph 83. It's on Q. 20 Page 38. 21 Thereafter -- are you there? 22 Α. Yes. 23 So on the -- one, two, three -- fourth Ο. 24 line down, there is a bracket around the 26th. 25 Do you see that? Just after the 800 to

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61 900 millihertz, there's a bracket 26? 1 2 Α. I do see that. 3 What is that referring to? Q. 4 (Whereupon, the witness reviews the 5 material provided.) 6 THE WITNESS: Do you have a copy of 7 my Exhibit 1010, George Calhoun? 8 BY MR. SMITH: 9 Exhibit 1010. Ο. 10 Is there something -- is there a particular page number you're wanting to look at 11 12 or -- the reason I ask is I have only the copy that 13 I brought that I marked up. So if there's 14 something --15 Well, I believe that that's what this is Α. 16 referring to. 17 Yeah. It's actually --0. 18 Okay. I see where you're -- I see what Α. 19 you're asking. 20 I think it's going to be a footnote in 21 the quote, so you don't have it. 22 0. It's an internal reference in the 23 article you're quoting, so I've --24 Α. I believe that that is right. 25 No; it is in the book, and it does have Q.

62 1 the reference. So we're good. 2 Α. Okay. 3 MR. SMITH: Let's mark Exhibit 9. 4 5 (Denning Deposition Exhibit 6 Number 9, United States Patent 4,001,692, marked for 7 8 identification, as of this date.) 9 10 BY MR. SMITH: 11 I've handed you what I've had marked as Q. 12 Exhibit 9. 13 Can you tell us what Exhibit 9 is? 14 Α. United States Patent 4,001,692, 15 otherwise known as "Fenwick." 16 And Fenwick is one of the references you 0. 17 relied upon for your opinions expressed in your 18 declaration, correct? 19 Α. Yes. Fenwick is -- was filed in 1975, 20 Q. 21 correct? 22 Α. Yes. 23 Can you tell me if any of the other Q. 24 references that you've cited referred to or cited 25 Fenwick in their applications -- in their patents?

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1	Excuse me.
2	(Whereupon, the witness reviews the
3	material provided.)
4	THE WITNESS: I don't see anything
5	listed on these others that we've talked
6	about as being or having Fenwick as a cited
7	reference.
8	BY MR. SMITH:
9	Q. If we look at Column 1, Line 10, the
10	sentence beginning on Line 10 says, The present
11	invention is particularly useful in high frequency
12	and troposcatter radio circuits.
13	What is troposcatter?
14	A. In layman's terms, that is the radio
15	waves that are bouncing off the troposphere of the
16	Earth.
17	Q. Is that applicable to cellular
18	communication networks?
19	A. I believe that it's applicable to any
20	radio circuits that would use or have enough
21	power to reach the troposphere.
22	Q. Would those include cellular
23	communication networks?
24	A. Certainly, if the transmission power was
25	great enough, yes.

~ .

	64
1	Q. And what's typically utilized in our
2	communication systems AT&T, Verizon, whoever
3	is there an issue with troposcatter with those
4	communications?
5	MR. RICHARDSON: Objection: form.
6	THE WITNESS: I have never done that
7	analysis. I don't know.
8	BY MR. SMITH:
9	Q. Fenwick is really directed towards the
10	use of microwaves, correct?
11	A. I don't agree. I think that the
12	Abstract gives a very good idea of what Fenwick is
13	dealing with. And I don't see microwaves even
14	mentioned.
15	Fenwick is described as An asynchronous,
16	time diversity transmission apparatus including a
17	data encoder at a transmitting location and an
18	error-correcting data decoder at a receiving
19	location for overcoming the effects of signal
20	fading, impulsive noise and interference.
21	Q. In microwave transmissions, how do those
22	typically occur?
23	A. I'm sorry, but I don't understand your
24	question.
25	Q. Are they how far apart are the

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1 transmission towers, generally, for microwave 2 communications? 3 Is -- is there a particular frequency Α. 4 band in microwave communication that you're talking 5 about? 6 What frequency bands are applicable to Q. microwave communications? 7 8 Α. Well, they extend up very high, and it's 9 certainly possible for devices in close range to use 10 microwaves. It's also possible for satellite communication and even communication to the moon to 11 12 occur over microwaves. 13 Right. Are they typically used in small Q. 14 ranges or in larger ranges? 15 I really couldn't say. I know examples Α. 16 in all of them. 17 You don't know which one is more Ο. 18 predominant versus the other? 19 Α. No. I've never done that analysis. 20 Q. All right. The context in which I'm 21 familiar with them is more dish-to-dish direct 22 communication. 23 Is that a fair summary, or is it 24 different than that? 25 MR. RICHARDSON: Objection: form.

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1	THE WITNESS: I'm familiar with that.
2	BY MR. SMITH:
3	Q. Is that the predominant way that
4	communication occurs via microwave?
5	A. As I said, I really couldn't say what is
6	predominant. I'm familiar with devices that do it
7	close as well as far.
8	Q. All right. Cellular communication tends
9	to be more diverse to a multitude of receivers,
10	correct?
11	A. Are you asking me if there is one base
12	station and many receivers that go with that?
13	Q. Is that typically let me ask you
14	this: How would you differentiate microwave
15	communication from cellular communication? What
16	would you say the key differences are?
17	A. Frequency band.
18	Q. Any others?
19	A. Microwave is going to be more line of
20	sight.
21	Q. As opposed to cellular?
22	A. Well, certainly, there are cellular
23	technologies that are also line of sight, but
24	I really can't think of anything else
25	offhand.

67 1 Q. All right. 2 MR. SMITH: I think -- let's -- I 3 think it's probably a good time to take a lunch break. It's about 11:32. 4 5 We should talk about --6 THE COURT REPORTER: Do you want to 7 go off the record? 8 MR. SMITH: That's fine. 9 10 (Whereupon, a recess was taken from 11 11:32 a.m. to 11:41 a.m.) 12 13 BY MR. SMITH: 14 Q. Let's go to Paragraph 112 in your 15 declaration. 16 And in -- I thought it was here. 17 Skip that a second. 18 Let's go to Exhibit 2 of the '023. It's 19 your Deposition Exhibit 2. You should have it in 20 front of you. 21 Oh. Α. 22 Okay. 23 It's the -- it's the '023 patent. Q. 24 Α. Oops. Sorry. 25 Too many exhibit numbers.

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		68
1	Q.	I understand.
2	Α.	Okay.
3	Q.	In interpreting Claim 11 of the '023
4	patent, which	ch kind of MIMO were you utilizing for
5	determining	the plurality of spatially separated
6	antennas?	
7	Α.	I'm confused by your question. I'm
8	sorry.	
9	Q.	Do you interpret Claim 11 as requiring
10	utilization	of MIMO?
11	Α.	No.
12	Q.	In you have referred to an exhibit
13	which was yo	our Exhibit 1018, which is Acampora.
14	Α.	Yes.
15	Q.	I'll get a copy for you real quick.
16		
17		(Denning Deposition Exhibit
18		Number 10, Article, marked for
19		identification, as of this date.)
20		
21	BY MR. SMITH	I:
22	Q.	And Acampora deals with multipath fading
23	in buildings	s, correct?
24	Α.	Yes, as it says in the first paragraph,
25	Problems ind	clude multipath and shadow fading, which

69 1 reduce the coverage region. 2 Was the '023 invention designed to be Q. utilized in buildings? 3 4 (Whereupon, the witness reviews the 5 material provided.) 6 It appears that it was THE WITNESS: 7 designed with moving base stations moving 8 in the direction of flow of traffic moving along a roadway, as it says in the 9 10 Abstract. 11 BY MR. SMITH: 12 All right. So you would agree that it's 0. 13 not really designed to be utilized inside of 14 buildings, correct? 15 It appears that way. Α. 16 You would agree that multipath fading 0. 17 inside of buildings is very different than outside 18 of buildings where mobile base stations may be 19 traveling with the speed of traffic, correct? 20 MR. RICHARDSON: Objection: form. 21 THE WITNESS: No, I don't agree with 22 that. 23 BY MR. SMITH: 24 So it's your opinion that the fading --Ο. 25 multipath fading issues associated with internal

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1 operations in buildings are substantially similar to 2 that encountered on the roadways? 3 I've encountered many indoor systems Α. 4 that experience fading, yeah, multipath. 5 Q. Right. 6 But my question is, Is the multipath 7 fading issues inside of buildings, which we were 8 just talking about -- is that substantially similar 9 to fading issues you see on the roadway moving at 10 the speed of traffic? 11 I would have to say that multipath is Α. 12 multipath, and it doesn't discriminate whether it's 13 inside or outside. If there's things for the signal 14 to bounce off of, it does. 15 Fading definitely -- it creates more 16 challenges outside, but they certainly exist inside, 17 too. 18 Well, multipath has to do with the 0. 19 different -- I think you just mentioned the 20 different things it could bounce off of. 21 Α. Yes. 22 So there's substantially more things to Ο. 23 bounce off of inside of a building than on the 24 roadway, correct? 25 I guess you would have to constrain that Α.

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71 some. I mean, downtown situations, there's 1 2 incredible multipath; inside of highrises, there's 3 incredible multipath. 4 So you don't recognize there's a Q. difference -- a substantial difference between the 5 6 two? 7 Α. I believe that one skilled in the art would recognize multipath as multipath, regardless 8 9 of where it showed up. And they would also 10 recognize fading as something causing the signal to 11 degrade as it moves around, wherever it was. 12 MR. SMITH: Let's go ahead and mark 13 what we -- what will be Deposition Exhibit 14 11. 15 16 (Denning Deposition Exhibit 17 Number 11, United States Patent 18 5,559,865, marked for 19 identification, as of this date.) 20 21 BY MR. SMITH: 22 Before we get -- can you identify what Ο. 23 Exhibit 11 is? 24 United States Patent Number 5,559,865, Α. 25 otherwise known as "Gilhousen."

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1	Q. And we'll come back to that in just a
2	second.
3	Is it what is the subject matter of
4	Gilhousen '865?
5	A. I believe the Abstract says it really
6	nicely. First sentence: The airborne communication
7	system enables one or more radiotelephones to
8	communicate with a ground-based cellular
9	radiotelephone system.
10	Q. All right. And is there much of an
11	issue of multipath fading with respect to
12	communications with airplanes excuse me
13	multipath?
14	A. Could you please restate your question?
15	Q. Yeah.
16	Is multipath a significant issue with
17	the communications with an airplane?
18	A. I've never done that analysis to say.
19	Q. Intuitively, do you have an opinion
20	about whether it would be an issue or not?
21	A. I can think of situations where it
22	certainly would be.
23	Q. All right. And what would those
24	situations be?
25	A. Anytime there's other devices,
73 obstacles, other aircraft, nearby buildings, 1 anything like that that the signal could bounce off 2 3 of. 4 Q. Well, presumably, a nearby aircraft 5 would not be in close proximity for any substantial 6 period of time, correct? 7 Α. As I said, I haven't done an analysis to 8 tell you. 9 Would it be an issue -- and I probably Ο. 10 should have clarified this -- I think you were 11 answering -- just so we're on the same page . 12 Do you believe a multipath would be an 13 issue with planes when they're actually in the air 14 flying? 15 Α. I'm sorry. Once again, I haven't done 16 the analysis, but I can see where multipath would 17 truly be a problem while they are in flight. 18 I come from Colorado. There's mountains 19 all around. If a plane was flying up in front 20 range, I believe there would be multipath issues 21 there as well. 22 Would that be dependent upon the Ο. 23 elevation the plane was flying on? 24 I can't answer that. Α. 25 Q. The portable device in Gilhousen '865 --

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74 1 is it capable of communicating with the fixed base 2 if the repeater is turned off? 3 (Whereupon, the witness reviews the 4 material provided.) 5 THE WITNESS: Okay. So I want to 6 make sure that I understand your question. 7 If we turn to Figure 2 of the 8 '865 patent, it shows what appears to be 9 handsets there as -- labeled as 205. 10 Is this what you're referring to? 11 BY MR. SMITH: 12 Ο. Yes. 13 Can 205 communicate if 210 is turned 14 off? 15 And just so I'm clear on my question, 16 I'll restate it just so the record's clear. 17 My question is, Can 205 directly 18 communicate with the base station if 210 is turned 19 off? 20 (Whereupon, the witness reviews the 21 material provided.) 22 THE WITNESS: I'm not seeing anything 23 in the '865 patent that says that these 24 units 205 are anything limited to and only 25 working with the aircraft system that's

described.

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What it actually says is that these are CDMA-type radiotelephones -- it says that in Column 2, Line 45 -- which would tell me that these are standard mobile handsets that somebody would be able to use wherever they had coverage.

8 So, therefore, if there is a base 9 station that is within range of the device 10 and the 210 system is turned off, 205s 11 would be able to establish communication 12 with a -- a base station outside of the 13 aircraft, which actually goes back to what 14 we were talking about in Ito.

There is nothing in Ito that would say that these devices cannot communicate with the base station. And, in fact, in both cases, the type of communication from the handset to the base station appears to be the same; there's just a repeater in the middle.

One skilled in the art would know, if this is a standard CDMA handset, it would be able to communicate with a base station in Gilhousen '865 as well as Ito.

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Carucel Investments—Exhibit 2108 IPR2019-01102: VW GoA, Inc. v. Carucel Investments L.P. Page 75

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1
    BY MR. SMITH:
                 There's nothing in Gilhousen '865 that
2
           Q.
3
    directly discusses communication between the handset
4
    and the base station if the repeater is turned off,
5
    correct?
6
                 Once again, it isn't discussed.
           Α.
                                                    But
7
    with the information we're given, one skilled in the
8
    art would definitely know that you can use the
9
    mobile handset outside of the aircraft.
10
                 If we turn back to Exhibit 6, which is
           Q.
11
    Gilhousen '390 --
12
           Α.
                 Okav.
13
                 -- and let's take a second to look at
           0.
14
    Exhibit 2 as well, which is the '023 patent.
15
                 And you'll see that Claim 21 of the '023
16
    provides that The movable base stations -- I'll wait
17
    till you get there.
18
                 Claim 21?
          Α.
19
           Q.
                 Yes.
20
          Α.
                 Okay.
21
                 -- that The movable base station's
           Q.
22
    receiver receives fixed port signals through the
23
    plurality of spatially separated antennas.
24
                 Do you see that?
25
           Α.
                 Yes.
```

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1	Q. And then if we turn to 140 of your
2	declaration not Page 140, Paragraph 140. It's on
3	Page 74
4	A. Okay.
5	Q you say that However, unlike
6	Gardner's spatial diversity configuration, Gilhousen
7	'390 describes a cell-site diversity configuration
8	in which the receiving device simultaneously
9	receives signals from multiple base stations. For
10	example, as shown in Gilhousen '390's Figure 1
11	above, a receiver 16 may simultaneously receive
12	signal transmissions from both cell sites 12 and 14.
13	My question is, How many antennas does
14	Gilhousen '390 disclose are used by the mobile
15	phone's receiver 16?
16	(Whereupon, the witness reviews the
17	material provided.)
18	THE WITNESS: So in the '390 patent,
19	Column 7, Line 63 and following, which
20	this is taken from my Paragraph 140 at 18
21	to 21 it says, In the exemplary
22	embodiment described herein with reference
23	to the mobile telephone of the present
24	invention, a total of three demodulator
25	processors or receivers are utilized. One

of the receivers is used for the scanning 1 2 function, while the two other receivers are 3 used for two-channel diversity receiver. 4 And it goes on to describe those two -- further in Column 8, Line 11 and 5 6 following, In the cell-site diversity mode, the strongest path from each cell site is 7 8 determined by the search receiver. The two 9 receivers are assigned to demodulate the 10 signals on the strongest two paths of the 11 paths available from the original cell site 12 and from the new cell site. 13 So what I see here is in the 14 exemplary embodiment, there are three 15 receivers, and one skilled in the art would 16 just assume that each receiver has its own 17 antenna. 18 BY MR. SMITH: 19 Q. You're assuming that as opposed to 20 saying it's disclosed here, correct? 21 I am saying that one skilled in the art Α. 22 would know that. 23 Let's look at Column 11, Line 64 to 0. 66 --24 25 Α. Okay.

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1	Q and I'm reading the first sentence of
2	the second I'll just read the first two
3	sentences: Figure 2 illustrates, in block diagram
4	form, the mobile unit. The mobile unit includes an
5	antenna 30 which is coupled through diplexer 32 to
6	analog receiver 34 and transmits power amplifier 36.
7	Do you see that?
8	A. I do.
9	Q. You would agree that "an antenna" is a
10	singular antenna, correct?
11	A. I would. However, I would have to say
12	that what's being described here is one of the
13	blocks of a receiver antenna combination. The
14	section that I just read said that in the exemplary
15	embodiment, there are three receivers.
16	Q. Where are those three receivers located?
17	(Whereupon, the witness reviews the
18	material provided.)
19	THE WITNESS: So in Column 7, the
20	bottom line, it says the other while the
21	other two the other I'm sorry
22	while the two other receivers are used as a
23	two-channel diversity receiver.
24	So the '390 patent is disclosing the
25	cell-site diversity system, which means

	80
1	that there are multiple cell sites in
2	communication with the receiver. What is
3	described there is the receivers being
4	connected up to each of the cell sites.
5	So what is described there is one of
6	these little mobile guys.
7	BY MR. SMITH:
8	Q. Right.
9	If you look at Figure 2, right, which is
10	the one we were just talking about from Column 11,
11	64 through 66, you would agree that Figure 2 only
12	includes a single antenna, correct?
13	(Whereupon, the witness reviews the
14	material provided.)
15	THE WITNESS: So I want to combine
16	Figure 2 with the description that's given
17	of Figure 2. And it says that The mobile
18	unit includes an antenna 30 which is
19	coupled through the diplexer.
20	And I realize that we talked about
21	this a few minutes ago; however, I would
22	have to say that "an" and "a," as I've been
23	informed by counsel, could be one or more.
24	I would have to say, then, that one
25	skilled in the art would realize that even

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1	though there is a single box shown in
2	Figure 2 he would know that that could
3	be one or more.
4	BY MR. SMITH:
5	Q. You're saying that counsel informed you
6	it could be that, so I'm taking it you know that
7	from counsel.
8	Is that what you're saying?
9	A. I'm saying that I know that through
10	doing many such analysis and multiple counsels
11	telling me that "a" and "an" means one or more.
12	Q. You can see that there's only one shown
13	in Figure 2, correct?
14	A. I see a single box that's described
15	there or drawn there.
16	MR. SMITH: Do you know if lunch is
17	here?
18	MR. RICHARDSON: Lunch is here.
19	MR. SMITH: Let's go ahead and take a
20	break now.
21	
22	(Whereupon, at 12:15 p.m., a
23	luncheon recess was taken.)
24	
25	

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1	AFTERNOON SESSION
2	(1:06 p.m.)
3	
4	SCOTT ANDREW DENNING,
5	called for continued examination and, having
6	been previously duly sworn, was examined
7	and testified further as follows:
8	
9	
10	EXAMINATION (CONTINUED) BY COUNSEL FOR PATENT OWNER
11	
12	BY MR. SMITH:
13	Q. All right. On your report, let's turn
14	to Page 84.
15	A. Okay.
16	Q. And for Ground 4 and 5, you're using
17	Gilhousen '865 as your primary reference, correct?
18	(Whereupon, the witness reviews the
19	material provided.)
20	BY MR. SMITH:
21	Q. If you're looking for Ground 5, it
22	starts at Page 104.
23	A. Okay. Thanks.
24	(Whereupon, the witness continues to
25	review the material provided.)

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1	THE WITNESS: Yes.
2	BY MR. SMITH:
3	Q. All right. And Gilhousen '865 we've
4	talked about previously having to deal with
5	communications from an airplane, correct?
6	A. Yes.
7	Q. All right. And and it's my
8	understanding that your declaration and testimony is
9	that it would have been obvious to combine Gilhousen
10	'390 with Gilhousen '865, correct?
11	A. Yes.
12	Q. Where in your declaration or in
13	those patents does it disclose how I should go about
14	constructing the spatially separated antennas on the
15	plane?
16	(Whereupon, the witness reviews the
17	material provided.)
18	THE WITNESS: Could you please repeat
19	the question?
20	BY MR. SMITH:
21	Q. Yes.
22	Your my understanding is that you're
23	saying that it would have been obvious to utilize
24	the spatially separated antennas of Gilhousen '390
25	on Gilhousen '865's plane.

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1	And I'm asking you where in the '865 or
2	'390 does it tell me how I would go about selecting
3	the antenna locations for the spatially separated
4	antennas.
5	A. So, in particular, in Paragraph 160 and
6	following, I describe
7	Q. Which one in your paragraph in
8	your declaration?
9	A. Paragraph 160 in the declaration, yes.
10	I'm describing that Gilhousen '390
11	describes cell-site diversity, which mobile terminal
12	receives signals from multiple base stations
13	simultaneously.
14	And when we look in '390 patent,
15	Column 14, we see a description of Figure 3, which
16	is talking about cell site 2 receiver system
17	utilized having a separate antenna, an analog
18	receiver for space diversity reception.
19	And, certainly, there's more description
20	of that in Column 14, which is talking about what
21	we're really looking to have is spatial diversity,
22	which is the distance between the antennas
23	Q. Right.
24	A so one would have to assume one
25	skilled in the art would assume that the problem

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1 that's described here -- the problem that I'm 2 describing there -- is it's the same. It's looking 3 for a cellular diversity or a cell-site diversity 4 system. 5 And then one skilled in the art knows 6 how to deal with that by looking at other 7 references, such as what I've already pointed to. 8 0. I'm wanting to now do what you've 9 testified would have been obvious to do. 10 What are the requirements for the 11 spatially separated antenna as located on an aircraft? 12 13 Α. Well, as we go back to the things that 14 we've already covered where we're describing what it 15 is that is accomplished by multiple antennas, we're 16 looking to eliminate the effects of fading, which 17 that is a well-known term of art. One skilled in 18 the art knows how to deal with that. We're looking 19 to deal with multipath, and, here, we're providing 20 solutions to that. 21 So what Gilhousen assumes in '390 is 22 that none of this makes sense to somebody outside of 23 the art. A person skilled in the art knows what the 24 problem is and is going to know how to position the 25 antenna so the system actually works and is

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1	successful.
2	Q. All right. Are there any regulations or
3	requirements from FAA about where you can locate
4	antennas on aircraft?
5	A. I don't know anything about what the FAA
6	requires for locations of antennas on aircraft.
7	Q. Do are there safety concerns with
8	respect to the transmission power of the antenna on
9	the aircraft with respect to interference with the
10	control systems of the plane?
11	A. Certainly, in my experience flying, it
12	used to be that they made you turn your cell phones
13	off. And sometimes the flight attendant would say,
14	As this can interfere with the controls. I've heard
15	that.
16	However, all that was rolled back
17	what was it? five years ago, something like that.
18	And now you're allowed to have your cell phones on
19	in the aircraft up until the door is closed, I
20	believe.
21	So I'm not saying that from a knowledge
22	of one skilled in the art of RF engineering and all
23	of that; I'm saying that I've flown on a plane and
24	they said it and I heard it.
25	Q. But they told you to turn it off before

1	takeoff, correct?
2	You use it on the plane prior to
3	takeoff. That's what you testified to.
4	A. Yes.
5	Q. So are there FAA concerns that you're
6	aware of with respect to signal interference caused
7	by antennas used for communication on aircraft?
8	A. I I cannot address what the concerns
9	would be.
10	Q. So with respect to what you can or
11	cannot do with antennas on an aircraft, you would
12	agree you are not one of ordinary skill in the art
13	for that application, correct?
14	MR. RICHARDSON: Objection: form.
15	THE WITNESS: I am not versed in FAA
16	guidelines as to where you can have an
17	antenna or what channels it can communicate
18	at what times.
19	From a person of skill in the art of
20	antennas and receivers and transmitters,
21	ignoring FAA requirements I can
22	certainly tell you what will and won't work
23	on an aircraft.
24	BY MR. SMITH:
25	Q. All right. How far apart should I put

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1 these antennas, and where should I place them to 2 work for communication? 3 (Whereupon, the witness reviews the 4 material provided.) 5 THE WITNESS: I pointed this out 6 earlier, so let me return to it in 7 Paragraph 112 of my declaration. 8 We're talking about Gardner. Gardner 9 further explains that spatial diversity is 10 achieved by using two (or more) antennas 11 spaced far enough apart so as to reduce the 12 effects of fading on a received signal. 13 Now, I realize that Gardner is 14 specifically talking about spatial 15 diversity. Gilhousen is talking about 16 cell-site diversity. One skilled in the 17 art, though, would be familiar with all of 18 these things and want to use as many of 19 them as possible to have the best possible 20 signal in dealing with fading and 21 multipath. 22 So Gardner provides a pretty good 23 standard that what we want is things to be 24 far enough apart so as to reduce the 25 effects of fading. So one skilled in the

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1 art would know that it's going to require 2 testing, and they have to put them in 3 certain locations and then look and see 4 does it reduce fading or not. 5 BY MR. SMITH: 6 So without further testing, you can't Q. tell me where to locate the antennas? 7 8 Α. You know, I suppose it would be possible 9 if you gave me a particular aircraft and I knew all 10 of the construction of the aircraft and knew where 11 all the communication systems and the things that 12 might interfere. We could do an analysis and say it 13 looks like it would work if you put this in this 14 particular spot. 15 However, unless you give me all of that, 16 I don't know that anybody would be able to pick a 17 particular spot and say it's going to work there. 18 Let me ask you this question: What --0. 19 what is the top speed -- strike that. 20 One of the other things you're doing with Gilhousen '390 is providing for soft handoffs, 21 22 correct? 23 Α. Yes. 24 All right. What is the top speed in 0. 25 which you can do a soft handoff on a 2G system?

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Carucel Investments—Exhibit 2108 IPR2019-01102: VW GoA, Inc. v. Carucel Investments L.P. Page 89

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1 Α. Is there a part in my declaration that 2 you're referring to? 3 Well, not particularly. But you're 0. 4 telling me that I should apply Gilhousen '390 to 5 Gilhousen '865 for purposes of providing 6 functionality of a soft handoff. 7 And so I'm wondering, with respect to a 8 2G system, what's the fastest speed I can be 9 traveling and still effectuate a soft handoff. 10 I don't believe I've ever done that Α. 11 analysis. 12 What's the maximum speed for a 3G? 0. 13 Α. I don't know that I've ever done an 14 analysis to see what the top speed is on any 15 system. 16 All right. Well, the soft handoff Ο. 17 solution of '390 wouldn't help me in Gilhousen '865 18 if the top speed is less than 100 miles an hour, 19 would it? 20 Α. So one of the things that I'm dealing 21 with when you just said that is when you're saying 22 "top speed," I'm thinking how many microseconds does 23 it take to turn -- to switch from one to the other. 24 To me, that use of speed is a measure of time. 25 What you just said is the plane is

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91 1 flying at 600 miles an hour. Well, that is speed in 2 distance versus time; it isn't just a measure of 3 time. 4 There's a complete paradigm difference 5 here, and I don't know how to answer your question. 6 Well -- but those are related. Q. I mean, 7 the time it takes is directly proportional to the 8 distance. 9 So are you asking me how far will the Α. 10 plane move while the switch takes place? 11 Q. No. I'm asking you, Can you effectuate 12 a soft handoff in a plane going in excess of 13 100 miles an hour? 14 Just so we have all --15 First of all, I can't figure out why I Α. 16 would even want to. So I'm having a hard time 17 understanding what -- what your hypothetical 18 situation is. 19 Well, you're telling me I can improve Q. 20 '865 by incorporating Gilhousen '390's soft handoff 21 solution. 22 That's your opinion on Paragraph 163, 23 bottom of the page, correct? Gilhousen '390 24 provides a soft handoff solution. 25 Α. I think I'm understanding what -- what

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1	you're looking for.
2	Hold on a minute.
3	(Whereupon, the witness reviews the
4	material provided.)
5	THE WITNESS: Okay. I think I have
6	my thoughts straight, but could you please
7	repeat your question?
8	MR. SMITH: Can you read back the
9	question, please?
10	
11	(Whereupon, the court reporter read
12	back the pertinent part of the
13	record.)
14	
15	BY MR. SMITH:
16	Q. So my question is, Will soft handoffs be
17	functional and possible at speeds in excess of
18	100 miles an hour for a plane at 20,000 feet?
19	A. Certainly, there would be an issue if
20	you were talking about the normal cell sites that we
21	deal with our hand phones and we drive around the
22	city with. That particular cell system would not be
23	functional from 20,000, 30,000 feet.
24	Q. All right. One hundred miles an hour at
25	5,000 feet?

93 1 Α. I haven't ever done an analysis to see what the -- what the maximum altitude and speed of a 2 3 vehicle moving using the normal Verizon Wireless 4 cell system would be. 5 It seems possible. I've approached 6 speeds of 100 miles an hour in my car. Now, I 7 wasn't up 5,000 feet, but I certainly had cell 8 service. 9 One hundred miles per hour at 100 feet? Ο. 10 I -- I -- I just have not done an Α. 11 analysis to correctly answer your question. 12 All right. You said that driving your Ο. 13 car at 100 miles an hour -- that you had cell phone 14 service. 15 Do you know whether or not your 16 connection was handed off -- whether or not you had 17 a soft handoff? 18 I would have to say that I -- I don't Α. 19 have any way of verifying what was actually going on 20 in my phone at the time and how many cell sites were 21 around for it to be handing off from one to the 22 other in a soft handoff mode. 23 Do you know -- as you sit here today --Ο. 24 will a soft handoff work at 100 miles per hour in a 25 car? Do you know one way or the other as we sit

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1	here today?
2	A. I cannot say. There's just too many
3	variables to the situation.
4	When we're looking at the range of a
5	particular base station in a cell site, some of them
6	have a great range; others have a small range.
7	And and, certainly, some cellular
8	topologies have greatly overlapping areas, too. And
9	these things would have to be taken into
10	consideration.
11	I think that one skilled in the art
12	would know to look at the distance between the cell
13	sites, the overlap that they have, look at the speed
14	that's there, look at the signal strength given
15	their altitude and be able to tell that. These are
16	all known things. There isn't anything new.
17	I have personally never been given the
18	opportunity to see it from an airplane, there's a
19	soft handoff taking place; however, the techniques
20	involved in determining that are the same whether
21	you're up in the air or whether you're on the
22	ground. There's there's no new magic technology
23	to doing that; it's the same old things.
24	Q. Let's look at Paragraph 81 of your
25	report on Page 36.

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95 1 Α. Okay. 2 And that reflects that When an Q. 3 automobile antenna moves at 60 miles per hour, the 4 antenna passes through hundreds of, bracket, fading 5 holes of varying depths every second, causing the 6 signal strength to fluctuate very rapidly. 7 Do you see that? 8 Α. I'm sorry. I'm in the wrong place. Ι 9 don't see it. 10 Which page? 11 0. Page 36, Paragraph 81. 12 Α. Oh, okay. 13 Yes, I see it. 14 Q. The last paragraph reads -- and I'll 15 just read the paragraph so we have it in the 16 record -- The fading effect is even more impactful 17 on moving devices, such as those within a moving 18 When an automobile antenna moves at vehicle. 19 60 miles per hour, the antenna passes through 20 hundreds of [fading] holes, varying depths --21 every -- holes of varying depths every second, 22 causing the signal strength to fluctuate very 23 rapidly between normal levels and fades ranging up 24 to 40 decibels or more. The fading of 40 decibels 25 means the signal strength becomes 10,000 times

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1 smaller, which makes the signal very hard, if not 2 impossible, to decode. 3 Do you see that? 4 Α. Yes. 5 Ο. So would that create a soft handoff 6 problem at speeds in excess of 60 miles an hour? 7 Α. So the idea with soft handoff is that 8 you have more than one base station that is 9 available to talk to. The idea is that as an 10 antenna passes through the hundreds of fading holes, 11 if there is something where it cannot communicate 12 easily anymore, it's already been monitoring the 13 strength to the accompanying or the adjacent cell 14 sites, and it would just hand off at the appropriate 15 times. 16 I don't know that this describes your 17 scenario that you presented, that what is here 18 causes a problem for soft handoffs. 19 The problem would be if the hole was so 20 large the mobile station lost contact with all of 21 its surrounding base stations. And in that case, 22 sure, there is a problem. 23 On the plane, can you tell me whether Ο. 24 the repeater is high or low power -- the repeater 25 that's referenced in Gilhousen '865, is that high or

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1	low power?
2	Excuse me. The transmitter. Sorry. I
3	misspoke.
4	(Whereupon, the witness reviews the
5	material provided.)
6	THE WITNESS: I think I think I
7	found an answer to your question.
8	If we look at Column 3 of the
9	'865 patent, Line 7.
10	So This base station has the same
11	functionality of its ground-based
12	counterpart but on a much smaller scale
13	since it does not have to handle the
14	thousands of radiotelephones on the
15	ground-based system.
16	There are a few other clues here that
17	tell us that this system also that's in the
18	plane doesn't have to be able to
19	communicate very far.
20	So we have two transceiver systems
21	that are connected to this repeater. One
22	of them is for the mobile devices that are
23	there on the phones. So there is a
24	receiver and a transmitter that is part of
25	this repeater that has a very limited

98 range; it's only trying to communicate 1 2 aboard the aircraft. 3 There isn't any need for that one to 4 be superpower, right? 5 The transceiver from that unit to the 6 ground, though, is going to have to be 7 significantly stronger. And -- and that 8 one, I would say, would have to be more of 9 a high-power system. 10 BY MR. SMITH: 11 Ο. And being a high-power system, that 12 would lead to additional interference with signals 13 within the plane, correct? 14 Α. If the same frequencies were used by 15 systems within the plane, it would interfere. 16 Exhibit 9, I think, is Gilhousen '865. Q. 17 Do you see Exhibit 9 there? 18 This is 11. Α. 19 Did you say Gilhousen '865? 20 Gilhousen '865 is 11? Q. 21 Α. Eleven. 22 Q. Thank you. 23 So if we look at Gilhousen '865, which 24 is Exhibit 11, on the cover page of that, I see that 25 the inventor is Klein Gilhousen, correct?

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1	A. Yes.
2	Q. And then on Exhibit 6, which is the
3	Gilhousen '390, the first named inventor there is
4	Klein Gilhousen, correct?
5	A. Yes.
6	Q. And I understand your testimony here,
7	it's that it would have been obvious to combine '390
8	with '865 to improve '865, correct?
9	A. Yes.
10	Q. Why didn't Klein Gilhousen do that?
11	(Whereupon, the witness reviews the
12	material provided.)
13	THE WITNESS: So I can't speak as to
14	the reasons why an inventor files a patent
15	in the way that he does; however, I do know
16	that each one of the inventions described
17	in the the invention described in the
18	'865 is specific, and he deals with a
19	particular a particular thing.
20	The same thing is true in the '390.
21	He describes his invention and how to make
22	it, but he doesn't go into all the details
23	of everything else that might be possible.
24	And one skilled in the art knows
25	that, looking at a patent, it is it has

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1	a particular topic and a particular
2	invention, and that invention is described.
3	But, certainly, one skilled in the art has
4	to be aware of all the things that are
5	involved in that particular art.
6	In this case, here, one would look to
7	Gilhousen '865 to understand the the
8	things that are talked about here. And the
9	same thing with '390: we would look to this
10	one to learn the invention of the
11	'390 patent.
12	You say why didn't Gilhousen do that.
13	I I I would have to say he did. It's
14	in these two documents.
15	BY MR. SMITH:
16	Q. Well, he didn't he didn't do a
17	continuation of the '865 to claim that you're not
18	aware of any patent where he has claimed that
19	subject matter, are you?
20	MR. RICHARDSON: Objection: form.
21	THE WITNESS: I would have to say
22	that one skilled in the art would know to
23	combine those. Whether this was claimed
24	that way or not, it would be obvious it
25	is obvious to one skilled in the art that

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1	you could combine these and and that it
2	would work.
3	There is nothing in either one of
4	these systems that precludes that. There's
5	nothing warning. They're just different
6	techniques and different systems that it
7	is it's very obvious to combine.
8	MR. SMITH: I think I'll pass the
9	witness.
10	Off the record.
11	
12	(Whereupon, a discussion was held
13	off the record.)
14	
15	
16	(Denning Deposition Exhibit
17	Number 12, United States Patent
18	7,848,701 B2, marked for
19	identification, as of this date.)
20	
21	
22	(Denning Deposition Exhibit
23	Number 13, Declaration of Scott A.
24	Denning, IPR2019-01102, marked for
25	

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1	identification, as of this date.)
2	
3	
4	EXAMINATION (CONTINUED) BY COUNSEL FOR PATENT OWNER
5	
6	BY MR. WARREN:
7	Q. So, Mr. Denning, I'm glad you're putting
8	up with us.
9	I'm Sanford Warren, and I'm going to be
10	doing this on the '701. And just to remind you that
11	you're still under oath, and I hope that you and I
12	can have the same agreement that you had with
13	Mr. Smith: If you don't know a question or I need
14	to repeat something, just let me know.
15	Is that fair?
16	A. That is fair.
17	Q. Cool.
18	So the way this deposition is going to
19	go this afternoon it can be really easy and
20	short, or it's going to be really long and
21	prolonged, depending on the answers to these few
22	questions here.
23	So let me hand you what's been marked
24	A. I won't make it unnecessarily long.
25	Q Deposition Exhibit 12.

103 1 MR. WARREN: Here you go, sir. 2 MR. RICHARDSON: Thanks. 3 BY MR. WARREN: 4 And I'm going to go ahead and give you Q. 5 Deposition Exhibit 13 while you're at it. 6 MR. WARREN: Here you go, sir. 7 Sir, here you go. MR. RICHARDSON: Thanks. 8 9 BY MR. WARREN: 10 And the first question is, Do you Q. 11 recognize Exhibits 12 and 13, sir? 12 Α. Yes. 13 And Exhibit 12, I believe, is a copy of Q. 14 the '701 patent? 15 Α. Yes. 16 And Exhibit 13 would be your declaration Ο. 17 for the '701? 18 Α. Yes. 19 So when you were doing your declaration Q. 20 for the '701, I know you were doing them based on 21 claims. 22 Could you grab the '023, which I believe 23 is Exhibit 2, for me and turn to Claim 11? 24 Α. Okay. 25 And then if you would take Exhibit 12 Q.

104 1 and turn to Claim 10. 2 Α. Okay. 3 And could I get your agreement that the 0. 4 only difference between Claim 10 of the '701 and 5 Claim 11 of the '023 is there's an extra element called "a controller configured to align and combine 6 7 the receive fixed port signals and"? 8 (Whereupon, the witness reviews the 9 material provided.) 10 THE WITNESS: Yes. 11 BY MR. WARREN: 12 Okay. So you testified earlier a lot Ο. 13 about the prior art that you cited in your 14 declaration against the '701? 15 Α. Yes. 16 Okay. And that was Ito, Gardner and the 0. 17 two Gilhousens, '390 and '865? 18 Α. And Fenwick. 19 Q. And Fenwick, yes. 20 Now, in this one, in the declaration, 21 Exhibit 13, in your grounds of obviousness that you 22 used against Claim 10, I believe, in Ground 1, you used Ito and Gardner. 23 24 Does that sound, correct, sir? 25 Feel free to check.

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105 1 (Whereupon, the witness reviews the 2 material provided.) 3 THE WITNESS: Are we going to be 4 referring to my other declaration at all? BY MR. WARREN: 5 6 I hope not. Q. 7 Α. Okay. 8 Do you have a page number handy, by any 9 chance? 10 Actually, it's Page 70. 11 I think Ground 1 is on Page -- it starts Ο. 12 Paragraph 105, which is Page 51 of my copy. 13 Α. Yes. Ground 1, Obviousness based on Ito 14 in view of Gardner. 15 Q. Okay. 16 Did you use the references -- Ito and 17 Gardner -- any differently in your declaration for 18 the '701 for what they disclosed than you did for 19 the '023? 20 Let me ask you this, sir: Would it be 21 helpful to take a break and allow you to look at 22 your two declarations for a few minutes? 23 Unless you want to represent to me there Α. 24 isn't a significant difference here. 25 You're the expert and they're your Q.

106 1 declarations, so that has to come from you. 2 So I will take a break and let you all Α. 3 take a break, and I'll go through this. 4 Okay. That's fine. Q. 5 MR. SMITH: I think it will speed it 6 up. 7 8 (Whereupon, a recess was taken from 9 1:59 p.m. to 2:21 p.m.) 10 11 BY MR. WARREN: 12 So, Mr. Denning, did you have a chance 0. 13 to compare your declaration for the '023 to the one 14 for the '701?15 Yes, I did. Α. 16 Okay. And my question was, Did you 0. 17 apply the Ito and Gardner references any different 18 for Claim 10 of the '701 patent than you did for 19 Claim 11 of the '023 patent? 20 Α. With the exception of an addition of Element 10C, which is Paragraph 121 describing the 21 22 difference. 23 And that was for the controller element? Q. 24 That is. Α. 25 That's what I thought. Q.

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1	And I have the same question as to the
2	two Gilhousen references, '390 and '865: Did you
3	apply those any differently to Claim 10 of the '701
4	than you did for Claim 11 of the '023?
5	A. Not that I could find.
6	Q. Okay. So your testimony earlier today
7	as to the four prior art references Ito, Gardner
8	and the two Gilhousens would be the same in this
9	proceeding as it would have been in the '023
10	proceeding?
11	A. Yes, it would.
12	Q. And you mentioned well, just a couple
13	quick questions.
14	So when you looked at Exhibit 13, you
15	said that's your a true and correct copy of your
16	declaration, correct?
17	A. Yes.
18	Q. And just for the record, if you would
19	just turn to Paragraph 2 real quick. It's Page 1.
20	A. Okay.
21	Q. It says here, For purposes of this
22	declaration, I was not asked to provide any opinions
23	that are not expressed herein.
24	Is that a true and accurate statement as
25	we sit here today?

	108
1	A. Yes.
2	Q. So you have all of your let me
3	rephrase it.
4	All of the opinions you have toward the
5	'701 would be contained in your declaration,
6	Exhibit 13?
7	MR. RICHARDSON: Objection to form.
8	THE WITNESS: For all the materials
9	that I've seen so far, the analysis that
10	I've done so far, the answer to that is
11	yes.
12	BY MR. WARREN:
13	Q. Have you been asked to prepare any other
14	opinions for the proceeding related to the
15	'701 patent?
16	A. Not yet.
17	Q. And I think you testified as to the '023
18	that the legal standards were provided to you in
19	Section IV of Exhibit 13 let me rephrase that.
20	The legal standards for the '023
21	declaration have been provided to you by your
22	attorneys; is that correct?
23	A. Is this the section describing the legal
24	standard for obvious
25	Q. It's on Page 7, yes.
109 In '023? 1 Α. 2 Q. Let's look at the '701 for now. I'll 3 just ask it that way --4 Α. Okay. 5 Q. -- it's Page 7, IV. 6 Α. Okay. So you see IV where it says, Legal 7 Q. 8 Standards? 9 Α. Yes. 10 Were the legal standards provided in IV Q. 11 of Exhibit 13 provided to you by your attorneys? 12 Α. Yes. 13 Q. And you relied upon that; is that 14 correct? 15 Yes, I did. Α. 16 Okay. You're not an attorney, are you? Q. 17 Α. No, I am not an attorney. 18 And you've not had any legal training 0. 19 that I know of? 20 Α. No. 21 0. So when you used the term "obviousness" 22 in your report, are you referring to that from a 23 legal standpoint? 24 I'm referring to it as what is presented Α. 25 here in this section as my understanding of

110 1 obviousness. 2 Okay. Would you turn to Page 13, Q. 3 Paragraph 32, sir? 4 Α. Okay. 5 Q. And that is related to the overview of 6 the '701 patent that's on the previous page. 7 Do you see that, sir? 8 Α. Yes. 9 And there's a cite at the top where it 0. 10 says, According to the '701 patent, a problem with 11 existing mobile telephone systems is the 12 considerable time required at handoffs. 13 Do you see that? 14 Α. Yes. 15 And it quotes Column 1, Lines 58 and 59. Q. 16 Could you look at Column 1, Lines 58 and 17 59 of the '701 patent, sir? 18 Α. Okav. 19 In my copy of the '701 patent, that is Q. not what is at Columns 58 and 59. 20 21 Would you like to perhaps find where 22 that was so I can correct it on the record as to 23 where you found that statement? 24 Α. Sure. 25 MR. RICHARDSON: If it helps, for the

111 sake of time, I can direct the witness. 1 2 MR. WARREN: That's fine, if you know 3 where it is. 4 MR. RICHARDSON: Take a look at 54. 5 Line 54. 6 BY MR. WARREN: 7 Okay. So it really should be Lines 54 Q. 8 and 55 in Column 1? 9 Α. Yes. 10 And if you look further down, you have Q. 11 the same, I think, issue, where you said, It's 12 previously described the '701 patent alleges that 13 the amount of time required to perform a handoff is 14 lengthy and undesirable. 15 And you still -- you, again, quote 16 Column 1, 58 and 59. 17 Can you tell me where that quote 18 actually should be or where it comes from? 19 MR. WARREN: You don't happen to know where that one is, too? 20 21 MR. RICHARDSON: You're on the last 22 sentence of 32? 23 MR. WARREN: Yes, sir. 24 MR. RICHARDSON: I think that's a 25 paraphrase of the first sentence. I don't

112 know if there was a quotation in there. 1 2 Is there? 3 MR. WARREN: Well, yeah, there is. 4 That's why I'm asking. 5 MR. RICHARDSON: In the last sentence 6 of 32? MR. WARREN: Yes. It says, See 7 8 Column 1, 58 and 59. 9 MR. RICHARDSON: Sure; but I don't 10 see a quotation in the sentence, a direct 11 quote. 12 BY MR. WARREN: 13 So is that a paraphrasing of the first Q. 14 sentence, Mr. Denning? It's -- I believe it's a paraphrase of 15 Α. 16 Column 1, 54 to Column 2, Line 2. 17 Okay. Thank you. 0. 18 And then if you will look at the next 19 paragraph, Paragraph 33. 20 And this one is a quote where you say, 21 The moving base station performs the handoff 22 function and, advantageously, because movement of 23 the base station, brackets, is in the same direction 24 as the traveling mobile unit, the number of handoffs 25 is greatly reduced.

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1	Do you see that? It says in Column 5,
2	16 to 18.
3	A. Yes, I see it.
4	Q. And when you look at Column 5, 16 to 18,
5	it talks about antenna.
6	Do you know where that quote actually
7	came from?
8	A. Is it all right if I ask for my counsel
9	to search for the word "reduced" in the patent?
10	MR. WARREN: Absolutely.
11	MR. RICHARDSON: You might want to
12	look up a couple of lines. Try at Line 13.
13	THE WITNESS: Yes. So that one
14	is
15	BY MR. WARREN:
16	Q. Would that be Lines 13 through 16?
17	A. Yes.
18	Q. Okay. Thank you.
19	And as a general question, did you
20	prepare let me rephrase that.
21	Was the declaration, Exhibit 13 for the
22	'701 patent, prepared in the same manner as your
23	declaration was for the '023 patent?
24	A. Yes, it was.
25	Q. So it was a collaborative effort between

114 1 yourself and your attorneys? 2 Α. That's correct. 3 Do you believe a paralegal typed this 0. 4 one as well? 5 Α. Yes, I do believe that. 6 Okay. And would you please turn to Q. 7 Paragraph 67 of Exhibit 13? 8 Α. Okay. 9 And in Paragraph 67, you're discussing Q. 10 the ability by law enforcement to use a radio from 11 their cruiser, correct? 12 Α. Yes. 13 You're not contending that a radio is Q. 14 the same as a cell phone, though, right? 15 Α. There are many characteristics that are 16 common to both. 17 But you don't -- that's not my question. Ο. 18 My question was, Do you contend that a 19 radio is the same as a cell phone? 20 Α. If you were to set a radio on the table 21 and a cell phone on the table and ask me are these 22 devices the same, I would say no; however, that many 23 of the principles that they work with are the same. 24 But they're not the same device? Q. 25 Α. That's not the same device.

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115 1 Q. Okay. So I have another general 2 question for you, and that is -- when you look at 3 the '701 patent, it claims priority of the first 4 filing date of 1995 on the front page of Exhibit 12. 5 Do you see that, sir? 6 June 2nd, 1995? Α. 7 Q. Yes, sir. 8 Α. Yes. 9 And were you asked when you prepared Q. 10 your declaration to look at this from the standpoint of a person of ordinary skill in the art at the time 11 12 of the invention? 13 Α. Yes. 14 Q. That would have been around 1995, 15 correct, sir? 16 Α. Yes. 17 Okay. So I have a question for you. 0. 18 If 1995 is the time of the invention and 19 you were told that's what someone of ordinary skill 20 in the art would use, how could you possibly think 21 to use a reference such as Gardner '786, which 22 didn't actually exist until 1996, and compare it 23 with Ito, which came out in 1994 --24 MR. RICHARDSON: Objection: form. 25

116 1 BY MR. WARREN: 2 -- did you look into the future, sir? Q. 3 MR. RICHARDSON: Objection to form. 4 THE WITNESS: Would you please ask me 5 the question again? MR. WARREN: Would you read it back, 6 7 please? 8 9 (Whereupon, the court reporter read 10 back the pertinent part of the 11 record.) 12 13 THE WITNESS: So the filing date of 14 Gardner is October 5th, 1993, which states 15 that that is the priority date of 16 this patent as I understand that sort of 17 thing. 18 The date of the patent up here is not 19 what I would use for deciding what is prior 20 art and what is not. The same thing is 21 true with Ito, which the filing date on 22 that one is obvious to me that it's 23 October 17th, 1991, which obviously is 24 significantly different from the date of 25 the patent up here.

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1	The prior date is what I used in my
2	analysis.
3	BY MR. WARREN:
4	Q. Mr. Denning, are you aware that patents
5	are confidential at the Patent Office until they're
6	either published or issued?
7	MR. RICHARDSON: Objection: form.
8	THE WITNESS: No, I don't think I
9	know that.
10	BY MR. WARREN:
11	Q. Okay. Well, I will inform you that's
12	true.
13	And so this hypothetical, the
14	Gardner patent, which issued in 1996, would not have
15	been publicly available until it issued, which was
16	April 2nd, 1996.
17	Would that change your answer?
18	A. Are you telling me that based on the
19	filing dates for Gardner and Ito that these are not
20	prior art?
21	Q. No. I'm just telling you that the
22	Gardner reference, Exhibit 8, was not publicly
23	available until April 2nd, 1996, sir. So no one of
24	ordinary skill in the art would have had access to
25	it until April 2nd, 1996.

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1	If you didn't have access to it until
2	April 2nd, 1996, sir, how could it possibly motivate
3	you to combine it with a reference which wasn't
4	publicly available until 1994?
5	MR. RICHARDSON: Objection: form.
6	THE WITNESS: I'm trying to
7	understand your line of reasoning and line
8	of questioning.
9	So using the same the same thing
10	that you just described to me, then, you
11	would say that the date of the '701 patent,
12	then, is December 7th, 2010; is that
13	correct?
14	BY MR. WARREN:
15	Q. I wasn't using that for for the date
16	for prior art. I was using the '701 filing date of
17	1995 as the date of the invention, sir.
18	A. It's just that it kind of breaks down
19	because if I was using December 7th, 2010, as the
20	date that we were referencing, well, certainly, all
21	that existed before. If I'm using the date that's
22	on Gavrilovich '701 as June 2nd, 1995, then I would
23	want to use the same kind of dates in the other work
24	as well.
25	Certainly, if what you say is true,

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119 1 which I have no reason to doubt that patents are 2 published on the date that's up here (indicating), 3 then the whole situation breaks down because before 4 2010, nobody would have asked me to be a person of 5 ordinary skill looking at this particular subject 6 matter. 7 Q. Okay. That's a lot of verbiage. That's 8 not actually what I asked. 9 MR. WARREN: So move to strike it as 10 nonresponsive. 11 BY MR. WARREN: 12 I just asked a simple question, and that Ο. 13 was, If you did not have access to Gardner until 14 1996, how would it motivate you to be combined with 15 Ito? 16 Α. Well, if I didn't know that something 17 exists -- existed, I wouldn't be motivated to 18 combine it with anything --19 Q. Okay. Thank you. 20 Α. -- most likely, I would look for 21 alternate references. 22 Could you please turn to Paragraph 111 0. 23 on Page 55 of your report? 24 Α. Okay. 25 And it is my understanding from reading Q.

120 1 this that from Paragraph 111 to Paragraph 125 is 2 where you outline where you believed the prior art 3 shows some of the elements of Claim 10. 4 Is that accurate, sir? 5 Α. Yes. 6 And I believe -- if you'll look at Q. 7 Paragraph 124 for me, sir. 8 Α. Okay. 9 I think I'm off a paragraph. Just a Q. 10 second. 11 (Pause.) 12 BY MR. WARREN: 13 Q. Yeah. I'm sorry. 14 Paragraph 121. 15 Α. Okay. 16 Q. Do you see Paragraph 21 [sic]? 17 And you map that to Element 10C. 18 Do you see, sir? 19 Α. Yes. 20 Q. So this is the language in the '701 that 21 you were referring to that would be different 22 because that's the controller element, C? This is 23 what would be different than the '023? 24 Α. Yes. 25 And could you now turn to Q. Okay.

121 1 Paragraph 181 for me, sir? 2 That deals with Claim 18 of the 3 '701 patent. 4 Do you see that, sir? 5 Α. Yes. 6 I think it's actually Paragraph 182 I'd Ο. 7 like to direct your attention to. 8 Α. Okay. 9 So I want to make sure I understand Q. 10 this. 11 It is your opinion that an airplane is 12 now a car? 13 No. It is my opinion that an airplane Α. 14 is an automotive vehicle, as I say there in 15 parentheses. 16 So, basically, a car? 0. 17 MR. RICHARDSON: Objection: form. 18 THE WITNESS: As -- as I describe 19 there further in that same paragraph, the idea of a vehicle being any device or 20 21 contrivance for carrying or conveying 22 persons or an object, especially over land 23 or in space, and "automotive" as defined as 24 self-moving or moving by means of its own 25 power.

122 1 An airplane, like a car, is self-moving since it is pushed and moved by 2 3 its own engine. Thus, "automotive vehicle" 4 was clearly understood at the time of the 5 '701 patent to include airplanes. 6 BY MR. WARREN: 7 Q. All right. So your opinion is that the 8 word "automotive vehicle" can include also an 9 airplane? 10 Α. Yes. 11 Q. Okay. And could you turn to 12 Paragraph 201, Page 110 of Exhibit 13? 13 Α. Okay. 14 Q. Is that your signature, sir? 15 Α. Yes. 16 Okay. Turning back to Exhibit 12, which Ο. 17 is the '701 patent, and looking specifically at 18 Claim 10 for me, sir. 19 Α. Okay. 20 Q. Does Claim 10 of the '701 patent require 21 the use of MIMO? 22 Α. The word "MIMO" does not appear in 23 Claim 10. It -- the only thing that is specifically 24 referenced which is even similar is the idea of 25 spatially separated antennas.

	123
1	Q. Okay.
2	MR. WARREN: Let's take a break.
3	
4	(Whereupon, a recess was taken from
5	2:47 p.m. to 2:55 p.m.)
6	
7	MR. WARREN: Okay. Mr. Denning, I
8	have no further questions. Thank you for
9	your time. I appreciate it.
10	MR. RICHARDSON: I think we will take
11	a quick break before we go on record, if we
12	go on record.
13	MR. WARREN: Okay.
14	
15	(Whereupon, a recess was taken from
16	2:55 p.m. to 3:04 p.m.)
17	
18	
19	EXAMINATION BY COUNSEL FOR PETITIONER
20	
21	BY MR. RICHARDSON:
22	Q. Mr. Denning, welcome back.
23	A. Thank you.
24	Q. I have a couple of questions for you on
25	redirect about some of your earlier testimony today.

1 I don't anticipate it taking too long but just a 2 couple of points of clarification, if that's all 3 right with you. 4 Α. Yes. 5 Ο. Okay. So, Mr. Denning, do you recall 6 earlier in your testimony today where you were asked 7 a series of questions relating to whether a mobile 8 device could communicate directly with a cellular 9 network or whether it had to go through a movable 10 base station? 11 Yes, I recall that. Α. 12 And you were asked those questions in 0. 13 relation to some of the prior art that we -- that 14 you pointed to in your declarations as well as the 15 patent at issue, correct? 16 Α. Yes. 17 So I want to direct your attention to 0. 18 the '023 patent first and, particularly, Independent 19 Claim 11. 20 Α. Okay. 21 0. And Independent Claim 11 was the 22 independent claim from the '023 patent that you 23 opined on in your declaration, correct? 24 Α. Yes. 25 Is there anything in Q.

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	125
1	Independent Claim 11 that relates to a mobile device
2	having the capability to communicate directly with a
3	fixed port?
4	A. No.
5	Q. And the absence of such a requirement
6	from the claim what does that inform you about
7	whether such a capability is required to show that
8	that claim is obvious?
9	A. It has no bearing at all as to whether
10	it's obvious or not since it doesn't exist in the
11	claim.
12	Q. Okay. I have the same question for you
13	with regards to Independent Claim 10 of the
14	'701 patent.
15	A. Okay.
16	Q. So, again, is there anything in
17	Independent Claim 10 of the '701 patent that relates
18	to a mobile device having the capability to
19	communicate directly with a fixed port?
20	A. No.
21	Q. And then so the same follow-up
22	question would be, In your opinion, is that
23	capability a requirement to show that
24	Independent Claim 10 is obvious?
25	A. No, it is not. There's nothing in

	126
1	Claim 10 about it, so I wouldn't try to show whether
2	that was obvious or not.
3	Q. Okay. Shifting gears slightly, during
4	your questioning earlier today, you were also asked
5	a series of questions relating to a preferred or
6	required separation distance between spatially
7	separated antennas.
8	Do you recall that?
9	A. Yes.
10	Q. And I believe you were asked questions
11	as to whether certain of the references that you
12	relied on disclosed a preferred or a mandatory
13	separation distance of its antennas; is that
14	correct?
15	(Interruption in the proceedings.)
16	BY MR. RICHARDSON:
17	Q. I can reask the question again if you
18	need.
19	A. Please.
20	Q. So I believe you were asked a series of
21	questions as to whether certain of the prior art
22	references that you relied on whether those
23	references disclose preferred or required separation
24	distances for its antennas.
25	A. Yes.

127 1 Q. And I want to ask you --2 (Interruption in the proceedings.) 3 BY MR. RICHARDSON: 4 So I'll start that question again. Q. 5 So I want to ask you the same question 6 with regard to the '023 patent. 7 At any point in the '023 patent is it 8 explained -- does it explain a preferred or 9 mandatory separation distance between its spatially 10 separated antennas? 11 (Whereupon, the witness reviews the 12 material provided.) 13 THE WITNESS: Would you please ask 14 the question again? 15 BY MR. RICHARDSON: 16 Ο. Sure. 17 I'll just reread it. 18 So with regard to the '023 patent that 19 you just took a look at, does it explain anywhere in 20 there a preferred or mandatory separation distance 21 between its spatially separated antennas? 22 Α. So in Column 6, starting with Line 24, 23 it describes antenna diversity. It says, Antenna 24 diversity can be provided with two separately 25 separated -- spatially separated radio beams

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1	separated in time with a predefined time-delay
2	offset for easier separation that is at reception.
3	Various techniques for obtaining high antenna
4	diversity are well known in the art, and antennas
5	employing such techniques are commercially
6	available.
7	The answer to your question is that it's
8	specifying that they need to be separated in time
9	with a predefined time-delay offset.
10	So that the offset, whatever it is
11	determined that time-delay offset is is the
12	spacing that it's looking for.
13	And that's addressed in Claim 15, where
14	it says, The spatially separated radio frequency
15	beam is separated in time with a predefined time
16	delay.
17	So, once again, well known in the art
18	and obvious.
19	Q. Sure.
20	So maybe my question was slightly
21	different, so maybe we can take it in two parts.
22	You pointed to two places where it
23	mentioned a predefined time delay, correct?
24	A. Yes.
25	Q. But it doesn't mention anywhere in

129 1 the patent precisely what that predefined time delay 2 should be, correct? 3 That is correct. Α. 4 And it doesn't give any specific 0. 5 parameters on a measurable separation distance, 6 correct? 7 Α. That is correct. 8 One skilled in the art would know how to 9 determine those. 10 So that -- that would be my follow-up Q. 11 question. 12 The fact that the '023 patent does not 13 precisely explain what those separation distances 14 need to be, is it safe to assume that the inventor 15 of the '023 patent would have thought that a POSA 16 would have understood how to come up with the 17 appropriate separation distance? 18 Α. Yes. 19 That goes along with what it says in 20 Lines -- in Column 6, Line 27, where it says, 21 Various techniques for obtaining high antenna 22 diversity are well known in the art. 23 Because if a POSA wouldn't have had that 0. 24 understanding at the time of the invention, then 25 they wouldn't have been able to implement the '023

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1	system, cor	rect?
2	Α.	Yes, that is correct.
3	Q.	So you were also asked shifting gears
4	slightly, y	ou were also asked a series of questions
5	about the G	ilhousen '865 reference.
6		Do you recall that?
7	Α.	I believe so.
8	Q.	And you were asked a series of questions
9	relating to	the speed at which that aircraft in that
10	particular	patent could travel and whether that
11	speed at	that speed, whether soft handoffs could
12	be performe	d.
13		Do you recall that?
14	Α.	Yes, I do.
15	Q.	Okay. So I want to direct you to your
16	first decla	ration, the one relating to the '023
17	patent. An	d it's Exhibit 3.
18	Α.	Okay.
19	Q.	So in Paragraph 62 of that
20	declaration	
21		MR. RICHARDSON: Did I say "62" or
22	"162"	?
23		MR. SCHLEH: You said 62.
24	BY MR. RICH	ARDSON:
25	Q.	I meant 162. Sorry.

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	101
1	A. Okay.
2	Q. So I want to read the first sentence of
3	that particular paragraph. It says, Based on the
4	teachings of Gilhousen '390, a POSITA would have
5	been motivated to modify Gilhousen '865's system
6	with any one or more of the signal diversity
7	configurations of Gilhousen '390, at least for the
8	purpose of reducing the errors caused by well-known
9	deleterious effects effects on wirelessly
10	transmitted signals.
11	Do you see that?
12	A. Yes.
13	Q. And so is it your understanding well,
14	I guess, let me rephrase the question.
15	In the opinions that you provide in this
16	portion of your declaration, is it your opinion that
17	any one or more of these signal diversity
18	configurations of Gilhousen '390 could be
19	incorporated into the Gilhousen '865 patent?
20	A. Yes. And I believe that I stated in my
21	testimony earlier that these can be combined.
22	Q. So, in other words, you weren't just
23	advocating for incorporating soft handoff from
24	Gilhousen '390; it could be any one or more of the
25	signal diversity configurations disclosed in

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1	that patent, correct?
2	A. Yes.
3	Q. And we in your earlier testimony, I
4	believe when you were talking about the Gilhousen
5	'865 reference, you were asked to consider that
6	that particular disclosure in the context of when
7	the plane was flying in the air; is that correct?
8	A. Yes.
9	Q. Do you have an understanding of that
10	of whether planes only exist in the air?
11	A. Yes, I do.
12	Q. And what is that opinion?
13	A. That they exist on the ground rolling
14	along the ground as well as flying in the air.
15	Q. So if the exemplary airplane
16	configuration from Gilhousen '865 was considered
17	when it was on the ground, would there be any issues
18	with incorporating the one or more signal diversity
19	configurations from Gilhousen '390 into the
20	Gilhousen '865 system?
21	A. No.
22	Q. And then there was a this is more of
23	a clarification than anything.
24	When you were initially directed to your
25	declaration relating to the '701 patent, you were

133 1 asked to compare Independent Claim 10 of the 2 '701 patent to Independent Claim 11 of the '023 3 patent. 4 Do you recall that? 5 Α. Yes. 6 And you were asked something along the Q. 7 lines of whether the only difference in those claims was, I believe, Claim Element 10C from the '701 8 9 patent; is that correct? 10 Α. Yes. 11 Ο. So I'm going to direct your attention to 12 the preambles for those two particular independent 13 claims. 14 And the Preamble of Claim 10 of the '023 15 patent reads, A mobile base station in -- excuse 16 me -- a mobile base station configured to move 17 relative --18 MR. WARREN: Objection as to form. 19 It says "movable" base station, not a 20 mobile. 21 MR. RICHARDSON: Okay. 22 BY MR. RICHARDSON: 23 -- a movable base station configured to Ο. 24 move relative to the Earth. 25 Do you see that?

134 1 Α. I see it. 2 And if I direct your attention to the Q. 3 Preamble of Claim 11 of the '023 patent. That one 4 reads, An apparatus configured to move relative to 5 the Earth. 6 Do you see that? 7 Α. Yes, I do. 8 Q. So slightly different languages in those preambles, correct? 9 10 Yes, there is -- slightly different. Α. 11 Ο. Does that difference in those preambles 12 that we just read into the record impact your 13 opinions in any way? 14 Α. No. 15 Finally, you were asked a series of Q. 16 questions regarding your combination of the Ito 17 reference and the Gardner reference. 18 Do you recall that? 19 Α. Yes. 20 And you were particularly asked some Q. 21 questions about some of the relevant dates for the 22 Gardner reference. 23 Do you recall that? 24 Α. Yes. 25 Q. And counsel for Patent Owner represented

135 1 to you during the questioning that 2 particular patents are held in confidence by the 3 Patent Office for a period of time after they're 4 filed. 5 Do you recall that? 6 Α. Yes. 7 Q. And I believe there was a follow-up 8 question along the lines of how would a person of 9 ordinary skill in the art be aware of Gardner at the 10 time that it was filed if it was held in confidence, 11 correct? 12 Α. Yes. 13 Paraphrasing, but something along those Q. 14 lines? 15 Α. Yeah, that's right. 16 So the inventor of the Gardner patent --0. 17 his name is Steven H. Gardner, correct? 18 Α. Yes. 19 Q. He's the lone listed inventor on 20 that patent, correct? 21 Α. Yes. 22 Ο. Okay. And so the inventor of the 23 systems and methods and other disclosure in 24 this patent, in theory, we can assume, is 25 attributable to the knowledge of Mr. Gardner,

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1	correct?
2	A. Yes.
3	Q. So by the the disclosure that he put
4	into this document, it's safe to assume that he is a
5	skilled artisan in the area of wireless
6	communications, correct?
7	A. Yes.
8	Q. Okay. So it would be safe to assume
9	that he had an understanding of this disclosure when
10	he filed it not on October 5th, 1993, correct?
11	A. Yes.
12	Q. And you were also asked some questions
13	about the Ito reference, if you want to take a look
14	at that.
15	The Ito reference has a date of patent
16	of January 4th, 1994, correct?
17	A. Yes.
18	Q. And that's after the filing date of the
19	Gardner reference, correct?
20	A. Yes, it is.
21	Q. So at least when we consider
22	Mr. Steven H. Gardner, he would have been aware of
23	Ito and the disclosure in his application at least
24	by the January 4th, 1994, date of patent of the Ito
25	reference, correct?

	137
1	A. Yes.
2	Q. Now I want to turn your attention to
3	Paragraph 16 of your declaration relating to the
4	'701 patent.
5	A. Okay.
6	Q. So in Paragraph 16, you set forth your
7	understanding of a person of ordinary skill in the
8	art, correct?
9	A. Yes.
10	Q. And the lone sentence in that paragraph
11	reads, I understand that a person of ordinary skill
12	in the relevant art (herein a POSITA) is presumed to
13	be aware of all pertinent art, things along
14	conventional wisdom in the art and is a person of
15	ordinary creativity - not an automation.
16	Correct?
17	A. Yes.
18	Q. And so that particular sentence uses the
19	term "presumed to be aware," correct?
20	A. Yes.
21	Q. Not "is in fact aware," correct?
22	A. That is correct.
23	Q. So your understanding, is it accurate to
24	say that a person of ordinary skill in the art isn't
25	required to go and search and read every document

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1	that could potentially be relevant that existed at
2	the time of the invention?
3	A. That is correct.
4	Q. It's a presumption, correct?
5	A. It is presumed.
6	Q. So speaking in hypothetical terms, if
7	you were to write a paper or write a technical
8	document, not show it to anybody and you stuck it in
9	a drawer, does that mean that that technical
10	document that you drafted doesn't set forth your
11	understanding at the time that you wrote it?
12	A. No, it doesn't mean that.
13	MR. RICHARDSON: No further
14	questions.
15	MR. WARREN: I'm sure you'll
16	understand I have a couple
17	MR. RICHARDSON: Yes.
18	MR. WARREN: because I just want
19	to clarify something I found confusing.
20	
21	FURTHER EXAMINATION BY COUNSEL FOR PATENT OWNER
22	
23	BY MR. WARREN:
24	Q. I believe you said that Gardner you
25	just testified that Gardner would have been aware of

```
1
    Ito; is that correct?
2
                 Gardner would have been aware of Ito
          Α.
3
    before the '786 patent was published, or could have
4
    been aware.
5
                 Okay. I'm a little confused about that,
          Q.
    because -- let's look at that.
6
7
                 If you look at your Exhibit 5, which is
8
    Ito, it issued January 4th, 1994.
9
                 Do you see that, sir?
10
          Α.
                 I do.
11
          Q.
                 Yeah.
                        And if you look at your
    Exhibit 8, which is Gardner, he filed it
12
13
    October 5th, 1993.
14
                 Do you see that?
15
          Α.
                 I do.
16
                 And that would have been before Ito
          Ο.
17
    issued, correct?
18
          Α.
                 Sure.
19
                 And so, therefore, he would not have had
          Q.
20
    access to Ito to know what it disclosed.
21
                 So I believe that you're
          Α.
22
    mischaracterizing my testimony. What I said was
23
    that he would be aware of Ito before his patent, the
24
    '786, was published.
25
                 You mean before it was issued?
          Q.
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1	A. Before the date of patent, April 2nd,
2	1996.
3	Q. But he would not have been aware of Ito
4	before he actually filed his patent application?
5	A. I guess I don't know.
6	Q. Well, how would he have known on
7	October 15th, 1993, about something that wasn't
8	publicly available until January 4th, 1994?
9	MR. RICHARDSON: Objection: form;
10	hypothetical.
11	THE WITNESS: I don't know.
12	BY MR. WARREN:
13	Q. Did you ever personally talk to
14	Mr. Steven H. Gardner?
15	A. No.
16	Q. Have you ever talked to Mr. Ito?
17	A. No.
18	MR. WARREN: A quick break.
19	MR. SMITH: Yeah.
20	
21	(Whereupon, a recess was taken from
22	3:34 p.m. to 3:38 p.m.)
23	
24	MR. WARREN: I have no further
25	questions.

		141
1	MR. RICHARDSON: Nothing further.	
2		
3		
4	(Whereupon, at 3:38 p.m., the	
5	deposition of SCOTT ANDREW DENNING was	
6	concluded.)	
7		
8		
9	(Read and Signing Requested.)	
10		
11	(Witness excused.)	
12		
13		
14		
15		
16		
17		
18		
19 20		
20		
21 22		
22		
23 24		
24		
20		

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1	CERTIFICATE OF NOTARY PUBLIC
2	I, Cindy L. Sebo, the officer before whom
3	the foregoing deposition was taken, do hereby
4	certify that the witness whose testimony appears
5	in the foregoing deposition was duly sworn by me;
6	that the testimony of said witness was taken by
7	me in shorthand and thereafter reduced to
8	computerized transcription under my direction;
9	that said deposition is a true record of the
10	testimony given by said witness; that I am neither
11	counsel for, related to, nor employed by any of
12	the parties to the action in which this deposition
13	was taken; and further, that I am not a relative
14	or employee of any attorney or counsel employed by
15	the parties hereto, nor financially or otherwise
16	interested in the outcome of the action.
17	
18	
19	Cindy L. Sebo
20	Notary Public in and for
21	the District of Columbia
22	
23	
24	
25	
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1	INSTRUCTIONS TO WITNESS
2	Please read your deposition over
3	carefully and make any necessary corrections. You
4	should state the reason in the appropriate space
5	on the errata sheet for any corrections that are
6	made.
7	After doing so, please sign the errata
8	sheet and date it.
9	You are signing same subject to the
10	changes you have noted on the errata sheet, which
11	will be attached to your deposition.
12	It is imperative that you return the
13	original errata sheet to the deposing attorney
14	within thirty (30) days of receipt of the deposition
15	transcript by you. If you fail to do so, the
16	deposition transcript may be deemed to be accurate
17	and may be used in court.
18	
19	
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25	

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				144
1 2 3 4 5			E R R A T A SCOTT ANDREW DENNING January 16, 2020 Volkswagen v. Carucel	
6	PAGE	LINE	REASON FOR CHANGE:	
7 8	PAGE	LINE	REASON FOR CHANGE:	
9 10	PAGE	LINE	REASON FOR CHANGE:	
11 12	PAGE	LINE	REASON FOR CHANGE:	
13 14	PAGE	LINE	REASON FOR CHANGE:	
15 16	PAGE	LINE	REASON FOR CHANGE:	
17 18	PAGE	LINE	REASON FOR CHANGE:	
19 20	PAGE	LINE	REASON FOR CHANGE:	
21 22	PAGE	LINE	REASON FOR CHANGE:	
23 24	PAGE	LINE	REASON FOR CHANGE:	
25				
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1	ACKNOWLEDGMENT OF DEPONENT	
2		
3	I,, do	
4	hereby certify that I have read the foregoing	
5	pages, 1 to 141, and that the same is a correct	
6	transcription of the answers given by me to the	
7	questions therein propounded, except for the	
8	corrections or changes in form or substance,	
9	if any, noted in the attached errata sheet.	
10		
11		
12 13		
13	DATE SIGNATURE	
14		
16		
17		
18	Subscribed and sworn to before me	
19	this day of , 20 .	
20	///	
21	My Commission expires:	
22		
23		
24		
25	Notary Public	

				146
A	106:20	89:9,10 98:2	2:18 3:6 5:2	anymore 96:12
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67:11,11	40:13 48:6	83:5 94:18	141:5 144:2	59:22 60:18
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aboard 98:2	Administrator	104:6	45:2	appear 11:10
absence 125:5	3:23	alleges 111:12	answering	122:22
Absolutely	advantageo	allow 105:21	73:11	appearances
8:16 113:10	112:22	allowed 39:2	answers 102:21	8:15
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