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| POWER OF ATTORNEY | Filing Date  | July 22, 2005                       |  |
|                   | First Named Inventor                                       | Ferguson, et al.                    |  |
|                   | Title  | A HUMAN MOVEMENT MEASUREMENT SYSTEM |  |
|                   | Art Unit   | Not yet known                       |  |
|                   | First Named Inventor<br>Title<br>Art Unit<br>Examiner Name | Not yet known                       |  |
|                   | Attorney Docket Number                                     | FER1947-002B                        |  |

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FIG-1A

FIG-2C





FIG-2A





FIG-2B











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# A HUMAN MOVEMENT MEASUREMENT SYSTEM

**[001]** This application is a continuation of US 12/371,711, filed 16 February 2009, currently pending, which is in turn a continuation of US 11/935,578 filed 6 November 2007, now US Pat. 7,492,268, issued 17 February 2009, which is in turn a continuation of US 11/187,373 filed 22 July 2005, now US Pat. 7,292,151, issued 6 November 2007, which claims the benefit of US 60/592,092, filed 29 July 2004. Each of these is hereby incorporated by reference as if fully recited herein.

## Background of the Art and Summary of the Invention

**[002]** This invention relates to a system and methods for setup and measuring the position and orientation (pose) of transponders. More specifically, for training the user to manipulate the pose of the transponders through a movement trajectory, while guided by interactive and sensory feedback means, for the purposes of functional movement assessment for exercise, and physical medicine and rehabilitation.

**[003]** Known are commercial tracking and display systems that employ either singularly, or a hybrid fusion thereof, mechanical, inertial, acoustical or electromagnetic radiation sensors to determine a mobile object's position and orientation, referred to collectively as pose.

**[004]** The various commercial tracking systems are broadly classified by their relative or absolute position tracking capability, in which system the pose of a mobile object is measured relative to a fixed coordinate system associated with either combination of receiver(s) or passive or active transmitter(s) housing mounted on the user. The tracking system's components may be tethered with obvious inherent movement restrictions, or use wireless communication means to remotely transmit and process the information and allow for greater mobility and range of movement.

**[005]** Typically these tracking systems are utilized for biomechanics and gait analysis, motion capture, or performance animation and require the sensors to be precisely mounted on the joints. Various means of presenting the tracking information in a visual display are employed, such as Heads-Up Display (HUD), that provide occluded or see-through visibility of the physical world, or Fixed-Surface Display (FSD), such as computer desktop monitors, depending upon the simulation

and immersive quality required for the application. The application may require various degrees of aural, visual, and tactile simulation fidelity and construct direct or composite camera views of the augmented or three dimensional (3D) virtual reality environment to elicit interactive user locomotion and/or object manipulation to enhance the user's performance and perception therein. The tracked object may be represented in the virtual environment in various forms, i.e., as a fully articulated anthropoid or depicted as a less complex graphical primitive. The rendering strategy employed depends upon the degree of photo realism required with consideration to its computational cost and the application's proprioception requirements.

Tracking technologies possess certain inherent strengths and limitations [006] dependent upon technology, human factors, and environment that need consideration when discussing their performance metrics. Regardless of differentiating resolution and accuracy performance benchmarks, many implementations suffer from varying degrees of static and dynamic errors, including spatial distortion, jitter, stability, latency, or overshoot from prediction algorithms. Some human factors include perceptual stability and task performance transparency, which are more subjective in nature. And environmental issues such as line-of-sight, sensor attachment, range, and multiple-object recognition, need to be considered when selecting the optimal technology for the most robust application development. Irrespective of the intrinsic strengths and weaknesses of the tracking technology employed, ultimately the user's satisfaction with the system's utilization and efficacy, including the production of reliable, easily understood, measurable outcomes, will dictate the overall success of the device.

**[007]** This invention's system and methods facilitates biomechanical tracking and analysis of functional movement. In the preferred embodiment, this invention is low cost, robust, easy to deploy, noninvasive, unobtrusive, and conveys intuitive and succinct information to the user to execute movement properly and provides performance indicators of said movement for feedback purposes. One feature of the present invention provides for an interactive tracking system because the sensor functionality, or referred to herein as active transponders or transponders, is integrated with local user input control, and real-time sensory interfaces on the same device. The transponder is a wireless communication and monitoring device that receives a specific signal and automatically responds with a specific reply. In one embodiment, the invention provides functional movement assessment based upon

the relative measures of limb pose with respect to two positions defined by the transponders. The transponders can operate independently or work in unison to process and share computational tasks and information between the local databases. This decentralized, distributed processing scheme allows the configuration and coordination of the training session, and processing and analysis of the measurements to occur without requiring expensive auxiliary computer and display systems to manage the same, and without relying on costly software development of complex synthetic environments for visualization purposes. Also, the user can manage the applications and performance databases off-line on a remote computer system with Internet connectivity to customize and configure the system parameters in advance of their session.

[008] The present invention is designed to provide such system and methods for high-fidelity tracking or registration of the poses of active transponders and engage the user to purposely manipulate the transponders' pose along a prescribed or choreographed movement trajectory in order to train and assess functional movement capability. In the preferred embodiment, the system is comprised of two subsystems: (1) a subsystem comprised of one or more active transponders, which, in its most sophisticated implementation, responds to periodic requests from another component of the system to radiate or transmit a signal for purposes of absolute position tracking; processes an embedded inertial sensor for relative orientation tracking and absolute tracking refinement; and provides an essentially real-time aural, visual, and tactile sensory interfaces to the user, and (2) a subsystem comprised of a centralized position processor system or unit and receiver constellation unit, collectively referred to as the processor unit, which is essentially a signal processor that synchronizes the transponders' periodicity of radiating signal and other operational states; collectively receives and processes the radiated signal; iteratively calculates the transponders instantaneous pose and convolution, thereof; and continually exchanges this information, and its analysis thereof, with the transponders and/or auxiliary host computer system in essentially real-time via a combined wireless and tethered communication means. This real-time bi-directional exchange of information allows for proper transponder identification, coordination, and the accurate measurement of pose, thereof, and timely actuation of the sensory interfaces for optimal user regulated closed-loop control.

3

**[009]** The transponder is broadly classified by its level of hardware and software configuration that define its scope of intelligence, sensory support, and configuration. The degree of intelligence is determined by its capability to locally access, process, and modify the database. Further, either transponder classification can be subclassified by its manipulative requirements. In one embodiment, where multiple transponders are used, a principle transponder is consciously and deliberately moved along the reference movement trajectory, while a subordinate transponder serves as an anchor or secondary reference point elsewhere on the locomotion system whose kinematics are not necessarily controlled by the user's volition.

**[010]** An interactive transponder, preferably, has significant intelligence; supports relative and absolute tracking capabilities; provides complete sensory stimuli support; provides for functional enhancement through attachment of modular, extension pieces; and provides a user display and input system to control the training session. In the preferred embodiment, the interactive transponder is primarily held in the hand to facilitate more complex user input and greater sensory intimacy. Conversely, in another embodiment, the fixed transponder has limited intelligence; supports only the absolute pose tracking capability; provides no sensory stimuli support; and is usually mounted to a fixed site on the limb or trunk.

**[011]** A combination of transponder deployment strategies may be required depending on the training session's objectives, such as two interactive transponders grasped by each hand; or alternatively, an interactive transponder, and a fixed transponder attached to the limb or trunk; or lastly, two fixed transponders attached to the limb (s) and/or trunk.

**[012]** In one embodiment, this invention proposes to elicit movement strategies based on the deployment of at least two transponders that define the endpoints of a movement vector whose relative translation and rotation is measured and evaluated for the assessment of functional movement capability, including but not limited to, limb range of motion and its control thereof, limb strength conditioning, and overall proprioception and hand-eye coordination skills, and overall body movement. This registration system measures a single movement vector whose endpoints are comprised of an anchor point, i.e. one that is located in a less dynamic frame of reference, e.g., such as the trunk or abdomen, and another more distal location fixed on or held by a limb or extremity, e.g., the hand, arm, or leg. As this movement vector is translated and rotated through space by the act of the user modifying the

pose of the principle transponder in concert with the reference movement trajectory, the vector's length will expand and contract relative to the proximity of principle transponder with respect to the subordinate transponder. The vector's length conveys unique and explicit information regarding the user's movement efficiency For example, by attaching a fixed subordinate and biomechanical leverage. transponder at the hips and a fixed principle transponder on the upper arm, the biomechanics of the act of lifting a box or similar object can be elegantly qualified. If the user assumes a poor lifting technique, i.e. legs locked with the trunk severely flexed with head down and the arms stretched out beyond the basis of support, the vector's length would consistently be measured longer than compared to a good lifting technique, i.e., legs bent at knees with the back straight, head gaze up, and arms close to body. Also, the measurement(s) of higher-order derivatives derived from numerical mathematical processes of a reference point described by the vector would provide additional indication of movement control or smoothness. In summary, one embodiment of the present invention is comprised of:

a means to create a single movement vector whose endpoints are defined by the locations of at least two transponders, wherein, the expansion and contraction of the vector's length is calculated, analyzed, and reported in essentially real-time;

a means to create a single movement vector whose endpoints are defined by the locations of two transponders, wherein, a representative point along the vector length is referenced and its higher-order derivatives are computed by mathematical numerical processes, wherein the result is calculated, analyzed, and reported in essentially real-time; and,

a means to correlate said vector's length and at least one other measure consisting of a higher-order derivative, to the reference movement trajectory, wherein the result is calculated, analyzed, and reported in essentially real-time.

**[013]** A registration system for practical functional movement applications should clearly convey information to the user regarding his movement quality while he performs the task, without compromising or distracting from said execution by unnecessary head movements or change in eye gaze and normal focus. Poor visualization strategies that distract the user are ineffectual for promoting heads-up, immersive interaction, and the alphanumerical information it imparts often can not be consciously processed fast enough to elicit corrective action. This system provides for both a local, standalone sensory interface as a primary feedback aid, or

alternatively, an interface to a remote fixed-surface display for greater visualization and simulation capabilities. The visual stimulus could be modulated to warn of range violations, or provide signals for purposes of movement cadence and directional cueing. A principle interactive transponder is typically hand-held, which is naturally in close proximity to the user's aural and visual sensory field during most upper extremity movements, or, conversely, the visual stimulus may be viewed through a mirrored or reflective means if not in optimal line-of-sight. A remote fixed-surface display might augment the immersive quality of the user's experience by providing control of a view camera of a simulated computer environment, and display of the transponders and/or interactive objects' static or dynamic poses within the computer display's skewed through-the-window perspective projection.

**[014]** In summary, one embodiment of the present invention is comprised of:

a means for modulating an embedded luminescent display organized and oriented into a directional-aiding pattern, by varying its degree of intensity and color, or other physical characteristics, to provide a visual display stimulus. This sensory interface is excited at a rate, repetition, or pattern proportional to the pose error of the transponders' movement trajectory compared to the reference movement trajectory;

a means to view said visual display stimulus with the aid of a mirror(s) or other reflective means;

a means for the real-time projection of sound or speech commands through an audio device to provide warning, alarm, instructional, and motivational aid, and/or additional cueing upon encroachment of static and dynamic limit/boundary conditions defined by the reference movement trajectory;

a means for real-time tactile feedback including, but not limited to, modulation of the rotational properties of a vibrator motor proportional to the pose error of the transponders' movement vector compared to the reference movement trajectory;

a means for combining the excitation of said stimuli proportional to the pose error of the transponders' movement vector compared to the reference movement trajectory; and,

a means to coordinate the real-time, periodic parametric update and modulation of the stimuli imparted by the sensory interfaces within the transponders from a processing unit by means of a wireless communication link.

6

**[015]** This invention addresses the need for an intuitive, interactive method to instruct, create, and deliver a movement trajectory command without necessarily relying on pre-programmed, regimented movement trajectories. The registration system can be configured via remote setup at the principle transponder to pre-record and choreograph a free-form movement trajectory of the principle transponder with the intent of the user mimicking the same said path. This impromptu learning modality can expedite the session down time between different users and movement scenarios, and accommodate users' high anthropometric variability in range of movement. In summary, one embodiment of the present invention is comprised of:

a means is to provide a movement trajectory learning modality that allows the user to calibrate and create the desired endpoints, midpoints, and/or total reference movement trajectory through user programmer entry of an input device resident on the transponder;

a means to process and save a movement trajectory using a computationally efficient Catmull-Rom spline algorithm or other similar path optimizing algorithms to create control points along key points of the movement trajectory that define the optimally smoothest path intersecting the control points;

a means to provide database management by a processing unit via a wireless communication link or, alternatively, through user data entry of an input device resident on the interactive transponder; and,

a means to access, edit, and store the program and/or databases to nonvolatile memory operably coupled to the principle transponders for the purpose of automating the creation, delivery, storage, and processing of movement trajectories. Customized user programs and databases would be downloaded from a central repository or relevant website in advance of the training session to the transponder from the user's home location via the Internet or other convenient locales having networked Internet access, and transported to the systems remote physical location, and uploaded into the system's memory, and executed as the application program.

**[016]** This a priori process of remote selection, download, and transfer of programmatic content and database would minimize the user's decision making and input during product utilization by offering only relevant and customized programming material of their choosing targeted for their specific exercise, fitness, or rehabilitation goals. Performance data could be saved indefinitely in the database's nonvolatile memory, until an upload process was performed through the said

network so the database could be transferred to another location for purposes of, but not limited to, registration, processing, archival, and normative performance evaluation, etc.

**[017]** An exemplary list of specific data structures contributing to or affecting the means for automating the creation, delivery, storage, and processing of movement trajectories described below may be stored within the non-volatile memory of the transponder or position processor which may use high-density serial FLASH, although other types of memory may be used such as SmartMedia, Compact Flash, etc. Additionally, the memory device interface should not be limited to internal, but may include external media devices, such as USB FLASH Key or other portable media means, that may have inter-operability with other computerized devices. The data structures may include:

**[018]** Modulation & Feedback Thresholds/Triggers Properties – the aural, visual, tactile interfaces require threshold settings which determine their excitation or stimulation characteristics. These settings can be derived from previous performance data or defaults determined from normative data, or modified in real-time, by algorithmic methods including moving averages, standard deviations, interpolation based upon goal-oriented objectives, etc.

**[019]** Normative Performance – performance data collected over a large population of users through controlled studies, that is distilled down into specific user categories based upon certain demographics that the user may compare and rank his/her results. This data may be initially embedded within the transponders or position processor non-volatile memory and may be augmented or modified automatically or by user volition when connected to the Internet.

**[020]** Competitive Ranking - applications which have a predominate point goaloriented purpose would allow access to a global ranking file archive accessed through the Internet or automatically via updated executive files. This ranking file would be created through an analysis of user participation and publishing of his/her results through Internet Web-based services.

**[021]** Downloadable Executive Programs & Configurations – new software programs, including new features, enhancements, bug fixes, adjustments, etc., could be downloaded to the transponder through an Internet connection. Graphics images would be stored in compressed or uncompressed binary forms, i.e., bitmap, gif, jpeg, etc. This new programs could be transferred to any suitable computerized position

processor unit located at a remote facility via the transponder's wireless link. Therefore, the user's transponder is the node that establishes the portable network capabilities of the system, not necessarily the computerized position processor.

**[022]** Custom Menu Interfaces – specialized activities may require more advanced (or simplified) interfaces dependent upon the users' cognitive abilities and interactive specificity. This menu may include interactive queries or solicit information regarding the user's daily goals, subjective opinions or overall impression of the activity and ones performance which could be incorporated in the Motivation Index described below.

**[023]** Report Generation Tools and Templates – XML, HTML or other authoring language used to create documents on the Web that would provide an interactive browser-based user interface to access additional performance data analysis and report generation tools and templates that may not be available or offered with the standard product.

Performance Algorithms [024] Custom \_ certain application-specific performance analysis may require dynamically linked algorithms that process and calculate non-standard or specialized information, values, units, physical measurements, statistical results, predictive behaviors, filtering, numerical analysis including differentiation and integration, convolution and correlation, linear algebraic matrices operations to compute data pose and scaling transformation, and proprietary types. One example of a proprietary type is Motivation Index, a composite numerical value derived from a weighted average of statistical performance indicators and subjective user input including relative scoring improvements, conformity to ROM pattern, lengthy activity access duration, high access rate, relative skill level improvement, daily goal achievement, etc., that could represent the overall level of enthusiasm and satisfaction, the user has for a particular activity.

**[025]** Range of Motion (ROM) Pattern Generator – the ROM pattern requires some key control points to be captured along the desired trajectory and stored in order that the algorithm can calculate an optimally smooth path, in real-time, during the comparative analysis phase.

**[026] ROM Pattern Capture & Replay** – the ROM pattern can be can saved to memory in real-time by discrete position samples versus time depending upon the

resolution desired and memory limitations and later played back on the transponder or remote display for analysis.

**[027]** Activity Specific Attributes - includes Reps/Sets, Duration, Pause, Heart Rate Limits, intra-activity delay, level, point scalars, energy expenditure, task-oriented triggers, etc., and other parametric data that controls intensity, execution rate and scoring criteria for the activity.

**[028]** Instructional Information – textual, graphical, or animation-based instruction, advice, coaching, activity description, diagramed transponder deployment and intra-device connectivity, etc. that facilitates the intuitiveness, understanding, and usage of the system. The form of instruction may include music files saved in various formats, including Wave, MP3 or other current or future audio data compression formats, and video files saved in MPEG or other current or future video data compression formats.

**[029]** Real-time Data Management – proprietary data management protocols that reside above the communication driver layer that manage the real-time, synchronous and asynchronous exchange of data between transponder(s) and position processor. This would provide an essential real-time sharing of activity data, analysis, and feedback stimulus thresholds, or coordination of multiple transponder configurations, or for a collaboration of same or different user requirements to complete a similar activity objective.

**[030]** This invention addresses the need for adaptability of the registration system to different movement measurement scenarios. In one embodiment, it utilizes a versatile, modular configuration and mounting of the transponders onto the user. The efficient deployment of the transducers between different users' and from task to task requires a universal mounting scheme to provide consistent localization and pose of the transponders at the desired measurement sites on user's body. Also, to compensate for the receivers' finite tracking volume when stationary, the receiver constellation unit may be mechanically modified to optimize its tracking properties by conveniently repositioning it in closer proximity to the expected transponders movement trajectories and line-of-sight, thereof. In summary, one embodiment of the present invention is comprised of:

a means to quickly and efficiently alter the location of the transponders using a fastening system designed to quickly attach and dispose various forms of transponder assemblies;

10

a means to augment the physical properties, i.e., weight and length, of the principle transponder with adjunct electro-mechanical components that provide variations in biomechanical leverage for isotonic and isometric utilization; and,

a means to allow the user to manually alter the geometry and pose of the receiver constellation unit to facilitate an optimal tracking location based upon collectively maximizing the ultrasonic source's energy received at the transducer interface.

**[031]** This invention addresses the practicality and robustness of the registration system when used in either indoor or outdoor environments, and especially when the tracking volume likely contains potentially occluding objects, i.e., an uninvolved limbs or clothing, that become potential sources of competing, reflected paths. The preferred embodiment of the registration system utilizes the time of flight (TOF) measurement of ultrasonic acoustic waves due to its immunity from interference from the visible and near-visible electromagnetic spectrum and its superior ability to overcome most multi-path reflections problems by simple gated timing of the initial wave front. Upon command from the processor unit, the transponders produce a few cycles burst of ultrasonic energy and the transducers of the receiver constellation unit are stimulated and mechanically resonate accordingly, upon the wave front arrival. The processor unit's analog signal processing circuits transform the mechanical energy into electrical signals that resemble tapered sinusoidal waveforms, which another electronic circuit triggers upon using an adaptive threshold technique which, in turn, the processor unit detects and calculates TOF timestamps indicating the wave front arrival. In the preferred embodiment, the system overcomes the ultrasonic technology's intrinsic challenge of precisely triggering on same the waveform location and provides consistent unambiguous trigger detection by complementing the adaptive threshold technique with a software timestamp correction algorithm, which includes in part, a digital over-sampling and averaging timestamp algorithm, a relative timestamp correction scheme utilizing a predictive algorithm of higher-order Taylor series based derivatives, and an absolute timestamp correction scheme that minimizes the range error based upon discrete biasing of timestamps.

**[032]** Further, in the preferred embodiment, the processor unit utilizes the absolute and relative trigger timestamps in a multi-modal trilateration algorithm for the measurement of three-dimensional (3D) translations and rotations of the

transponders. The primary trilateration calculation is derived by an application of Pythagoream theorem involving a point position solution based-upon range measurements from at least three (3) points, versus the well-known triangulation method which uses bearing angles of two cameras of known pose. Additionally, the system's main accuracy limitation is mostly affected by the temperature variability of outdoor environments and its influence on the speed of sound in air value. This algorithm mitigates this problem by mathematically computing the speed of sound every analysis period provided at least five (5) receivers and a transponder synchronizing means are utilized. If the integrity of the synchronizing signal is temporarily compromised, the system automatically employs a variation of the trilateration algorithm that uses the last known speed of sound value.

**[033]** In the preferred embodiment, the maximum update rate, and hence the major contributor to the latency of the position calculation, is determined by the typical acoustical reverberation, typically between 20 to 100 ms, encountered in an indoor environment. Since the transponders are held or fixed on the user's body and, therefore, are mobile, the TOF measurements will experience an additional latency effect. A Kalman filter is used as a prediction/estimation strategy to minimize and compensate for the latency effect. The prediction algorithm uses a higher-order Taylor series based derivatives and augmentative inertial sensor data. Its predictive refinement is dependent upon predefined models of expected movement conditions. Because functional movement is episodic, having periods of stillness interspersed with bursts of motion activity, a multi-modal filtering strategy is preferably employed to handle the unpredictable jerkiness at the start of motion and relatively predictable, smooth motion afterwards. In summary, the preferred embodiment of the present invention is comprised of:

a means to detect the same carrier wave cycle of ultrasonic energy using a software correction algorithm requiring multiple, consecutive TOF acquisitions as input for the digital over-sampling and averaging algorithm, the calculation of a higher-order numerical differentiation of the past and current TOF information as input for the predictive algorithm of higher-order Taylor series based derivatives used for the relative TOF correction, and a measurement of the intra-pulse time intervals of consecutive TOF acquisitions as input for the absolute TOF correction scheme that minimizes the range error based upon selective biasing of the TOFs;

12

a means to utilize a dual matrix formulation of the trilateration algorithm, and a calculation strategy thereof, which decision is dependent upon the integrity of the system's communication link, synchronization condition, and the desired measurement accuracy; and,

a means to coordinate the information transfer between transponders and the processor unit so that their contribution to the resultant movement vector calculation can be measured without intra-signal interference.

**[034]** These goals will be attained by such system and methods that are comprised of the user's interaction described by the following steps as set forth as the preferred embodiment:

- Authenticate user access and open user session from a local or remote database;
- b. Setup user training session, i.e., workload limitations, measurement criteria, and audio/visual/tactile stimuli;
- c. Select training program and configure its options;
- Deploy the transponders as instructed to predefined locations of users locomotion system to create at least one transponder movement vector;
- e. Calibrate the transponder movement vector to establish its reference pose;
- f. Create a movement trajectory using learn mode, if required;
- g. Initiate the start of session;
- Determine the instantaneous pose of transponder movement vector relative to its reference pose from a periodic temporal iteration of this step;
- Perform qualitative and quantitative statistical analysis of accumulated measured poses of the transponder movement vector relative to the pattern of instantaneous poses defined by the reference movement trajectory;
- j. Update the major transponders sensory interfaces to modulate said system parameters in a periodic temporal iteration of this step;
- k. End the session once program objectives have been obtained;
- I. Analyze the results by interacting with local and/or remote databases;

m. Provide numerical, graphical, and/or animated information indicating desired performance measurements.

# Brief Description of the Drawings

**[035]** The disclosed embodiments will be better understood when reference is made to the accompanying drawings, wherein identical parts are identified with identical reference numbers and wherein:

**Figure 1A** illustrates one example of a deployment apparatus of the present invention;

**Figure 1B** illustrates one example of hand-held form for the transponder of the present invention;

Figures 2A-2D illustrate example extension pieces for the present invention;

**Figures 3A-3D** illustrate one example of process flows for the present invention;

Figures 4A and 4B illustrate a sample application of the present invention;

**Figure 5** illustrates a block diagram of the remote processing system of the present invention;

**Figures 6A-6C** illustrate example receiver configurations of the present invention; and

**Figure 7** illustrates a block diagram of the components of one embodiment of the transponder of the present invention.

# **Detailed Description of the Embodiments**

**[036]** The present invention provides a practical, versatile measurement tool for the assessment of the user's manipulation strategy of the transponder 10 or transponders along a reference movement trajectory. Moreover, the system and methods measure and analyze the kinematics of the relative translations and rotations of the limbs or extremities with respect to each other or to a more inertial reference location on or off body as the transponders are manipulated. This information provides useful insight on biomechanical demands and anthropometric factors that influence human movement efficiency and control. Although measurement performance metrics are important design criteria, it's equally important to provide intuitive and motivating program instruction and administration,

and to provide comprehensive analysis and integration of the motion data in a form that is objective and easily interpreted. This system improves upon the practicality and user interactive aspects of setup, deployment, calibration, execution, feedback, and data interpretation of a tracking system designed for function human movement. Human movement is a response to external environmental forces which [037] requires the accurate coordination of the distal segment(s) to compensate for these forces. Skillful coordination of human movement is dependent upon the cohesive interaction of multiple sensory systems, including visual, vestibular, with the musculoskeletal system. More specifically, the challenges and goals of cognitive spatial mapping, (2) minimization of energy expenditure, (3) maintaining stability, (4) steering and accommodation strategies for various environments, (5) dynamic equilibrium, (6) active propulsion and weight support, and (7) core locomotion pattern should be relationally considered to properly assess human movement. Therefore, it is preferable to engage the interaction of these sensory systems during a training session to promote the desired functional movement outcome. Because many movements persist for 400-500 ms, enough time is allowed for the initiation of the movement and for user correction based upon visual and kinesthetic information acquired during the time of the movement. However, the implemented means of visual feedback should be not be distracting or interfering with the task at hand. In the preferred embodiment, this system engages the sensory systems with nondistracting, intuitive, embedded aural, visual, and tactile stimuli which provide realtime indication of the principle transponder pose error with respect to the reference movement trajectory.

**[038]** In order to conduct a time efficient training session, this registration system attempts to minimize the encumbering experimental setup and calibration procedures characteristic of more complex and higher cost motion analysis technology. These complementary systems serve important academic or clinical oriented research needs or for motion capture for computer animation purposes and strive for highly accurate measurement of joint motion data in terms of angular displacement. Therefore, the integrity and reliability of their motion data is dependent upon proper sensor setup and calibration.

**[039]** For instance, single axis goniometer-based systems usually require specially designed harnesses to hold the monitor and are firmly strapped or taped over the joint to avoid relative motion artifacts. Usually these devices are tethered and their

fit, weight, and constraining mechanical linkages can impose limitations on the joint motion and cause discomfort for the user. Most optical or video-based systems require the placement of numerous active or passive markers over landmarks, such as the joints' center of rotation. These systems should guarantee sufficient environmental illumination and contrast between markers and background to function optimally. Also, these systems are severely affected by occluded markers that may disappear for long periods of time due to rotations and line-of-sight limitations. Other video-based systems do not use markers but require the assignment of the body's joints manually or through computerized automation during data analysis, making real-time analysis arduous and real-time feedback virtually impossible.

**[040]** In the preferred embodiment, the system doesn't require complicated, time consuming sensor setup and calibration by virtue of it minimalist sensor requirements and uncomplicated sensor mounting. Instead, it requires only the deployment of a sensor on the body (in one embodiment a dual sensor group on a combination of limb(s) and or trunk) and doesn't enforce stringent movement protocol, but encourages free-form, unrestrictive movement of the transponders.

**[041]** The transponder's preferred deployment means, include either insertion into a universal strap and holster apparatus (**Figure 1A**) that secures on the user's limb, extremity, or trunk, including, but not limited to, the hip, ankle, knee, wrist, upper arm, neck, waist or an augmentative mechanical attachment to one or a combination of modular extension pieces shaped into a hand-held form (**Figure 1B**). A strap or torx-like clip and holster design provides a firm, yet light weight and comfortable mounting location away from areas that clothing and or uninvolved limbs may occlude.

**[042]** The modular extension piece is either an instrumented sensory type designed to support alternative tactile stimulus device or alternative configurations of aural, visual, and tactile feedback types, or non-instrumented, weighted extension pieces as shown in **Figures 2A-2D**. All modular extension pieces may be of various physical dimensions and intrinsic weight, with a captive handle design that preferably requires zero grip strength to grasp. Alternatively, the modular extension piece may be coupled to the transponder through a fixed or flexible, segmented, articulated coupling to accommodate attachment of additional transponders and/or other modular extension pieces. These components would quickly assemble to each other

using a spherical snap joint or twist snap latch, or similar mechanism, to provide quick alteration of form and function when used for different movement trajectory scenarios.

**[043]** In one embodiment, the weighted extension attachments (**Figure 2A**) are offered in fixed gradations of one (1) kilogram increments or other convenient unit of measure and either be indicated as such with a numerical label, quantitative mark, or color-code feature, or combination thereof. For upper extremity evaluation, the weighted extension piece integrated into a zero-grip handle would enhance the improvement of musculature strength of the limb, while not compromising the user's endurance with a potentially fatiguing hand grasp requirement.

In one embodiment (Figure 2B), the tactile type provides force feedback [044] functionality by controlling the rotational speed of an embedded vibrator motor in the shaft. Alternatively, the visual type (Figure 2C) may be comprised of a series of light emitting diodes that could be uniformly embedded along the length of the handle or transponder and their intensities variably controlled therein. It should be appreciated that a simple, economical mirrored or reflective surface placed in front of the user's visual field could provide sufficient real-time indication of the user's subjective conformity to the said movement trajectory while allowing non-distracting viewing of this visual stimulus. For example, a program that requires the user to reposition the principle interactive transponder through an arc-like movement trajectory in the midsagittal plane through out a range of motion beginning from the waist upwards until parallel to shoulder height. As the user performs the movement, the visual sensory interface could be proportionally excited if the user moves too quickly, or hesitates too long, or produces shaky or erratic episodic motions, or is beyond the prescribed bounds of the movement arc. The light stimulus is easily viewed in the mirror and would indicate corrective action in his or her movement strategy, while appropriate aural commands may be issued simultaneously to encourage the same correction. Regardless of the sensory interface type, its control and excitation properties will be determined by some statistical aspect of the user's conformity to and progression through the movement trajectory.

**[045]** The hand-held transponder may include a modular extension piece with an embedded graphic display device and associated input means to allow the user to setup, operate, provide visual feedback, and view performance results of the device usage without additional remote display means. More specifically, a software-

controlled user interface could provide certain visual prompts in a menu oriented presentation, to instruct the user on (1) device setup, i.e., aural, visual, and tactile feedback parameters, types of program start and termination cues, program intensity based on ratio of amount of repetitions, sets, and rest periods or categorical gradation of challenge, learn mode behavior, etc., (2) scrollable program selection with brief descriptions including objective, desired measurement, i.e., range of motion, energy, accuracy, speed, etc., and instructive information, and (3) alphanumeric and/or graphical display of measured performance data and other biophysical data and its analysis thereof, displayed in standard plotted forms including line, bar, and pie charts, etc. It is important to note that the user input process is intuitive and streamlined so as not to detract from the practicality and user friendliness of the system. Only relevant applications and its control thereof will be sequestered from the database and presented to the user.

**[046]** In one embodiment, two or more transponders and extension pieces, or combinations thereof, may be assembled at their endpoints with a universal spring coupling. The assembled device could be grasped in both hands and bent in various rotational angles about the spring coupling's axis. Isotonic strength conditioning programs can be developed due to the force resistance feedback supplied by the spring. A multi-transponder assembly in the form of a flexible rod or staff could provide an indication of balance of upper extremity strength and proprioceptive function dependent upon the angular closure rate and rotational imbalance and orientation deviation from initial starting position.

**[047]** Additionally, in the preferred embodiment, the modular extension pieces have provisions for other attachable apparatus (**Figure 2D**) that can augment the program's intensity or difficulty. For example, an eyelet is embedded in the end of the extension piece and is designed to attach an elastomeric band, such as the type manufactured by Theraband®. By securing the other open stirrup end of the band to the user's foot, isotonic strength conditioning programs can be developed due to the force resistance feedback supplied by the elastomeric band. Moving the transponder through a movement trajectory is now made more restrictive and challenging.

IPR2019-01134 Sony EX1002 Page 30

18

## Application Examples

**[048]** An example training session deploying a dual transponder group is now described that may be designed to improve the range of motion, strength, and coordination of shoulder abduction in a user. The training session would primarily serve as an exercise aid that provides essential feedback to the user so that he/she learns to progressively improve the manipulation of the transponder through the reference movement trajectory, while benefiting from increased shoulder range of motion and strength improvement.

In advance of the training session, a software application is operated from a [049] host computer that provides a utility for baseline configuration and management of the system's and transponder's local databases, and/or access to other remote databases, and for the real-time interface to the data flow between the system's components. The application's navigation and selections are presented to the user through a typical graphical user interface like Microsoft Windows<sup>®</sup> XP operating system. A generalized step-wise procedure requires the administrator or user to (1) select the desired program and features from a menu screen list, and (2) to initiate a communication process that causes the program parameters to be transferred to the processor unit through a standard computer communication protocol, i.e. serial, USB, ethernet, etc., whereupon, (3) the information is subsequently processed and transferred into the transponders local memory via a wireless communication link, and, finally, (4) the transponder's software program accesses this database to manage the device utilization and configuration of the local display means. Alternatively, a Compact FLASH-based memory card, embedded serial FLASH, or a similar nonvolatile memory device provides the user an additional specialized database supporting remote data collection capabilities. This database would be preprogrammed in advance and the resultant performance data retained, even if the device's power is lost, or for extended unsupervised exercise sessions conducted remotely from the host computer system or when the host computer system is unattached or unavailable. After the session is completed, the user would be queried if the results are to be saved for later analysis or would automatically be saved, dependent upon device setup. This data could be retrieved at a later time when the system is once again attached to a host computer system, and the software utility could be commanded to upload the database.

19

**[050]** Henceforth, the following procedural description refers to the activity dependencies diagrammed in **Figures 3A-3D** that the user would encounter while operating the system.

**[051]** During the Security Phase (**Figure 3A**), the user may be requested to provide a security authentication code for validation, which opens access to his/hers custom programs in the training session. Next, during the Setup Phase (**Figure 3A**), the user can configure global options or select the desired program. The global options may include, but are not limited to, workload intensity, measurement criteria, sensory interface properties, and reporting features. A program menu list would indicate name, ID, and a brief description, or alternatively, be represented by a detailed graphical icon. When the program is selected, other program-specific options can be setup.

**[052]** During the Deployment Phase (**Figure 3B**), and dependent upon the program's objectives, a suitable combination of transponder types will be mounted on the user's body as instructed by the program. This example requires the assembly of a hand-held interactive transponder with graphical display, and a weighted extension piece coupled therein to be grasped by the hand on the same side as the affected shoulder. Another subordinate transponder 12 is placed into a holster assembly strapped around the lower quadriceps on the same side. This setup is shown in **Figure 4**.

**[053]** During the Calibration Phase (**Figure 3C**), a simple calibration procedure may be requested to evaluate transponder function and specific user range of motion constraints. Typically, this information is determined beforehand and saved in the system's database. Also, practicality of this system is claimed for lack of extensive calibration requirements.

**[054]** Dependent upon the program's options, a user-defined movement trajectory may be created prior to program start in lieu of executing the predefined version. The learn mode could be utilized to quickly choreograph free-form movement trajectories and save them into the transponder's non-volatile memory for later execution. The learn mode would be accessed through the user interface and instruct the management of the control point assignment by pressing the push button switch at the appropriate junctures of movement discontinuity or, preferably, allowing automated assignment by the software. In the preferred embodiment, a computationally efficient Catmull-Rom spline is used to define a three dimensional

(3D) curve that passes through all the control points along the movement trajectory path. If manually interceding, the user is instructed to press the push button once at each major juncture in the movement trajectory, but, preferably, for no more than a few locations, until the desired end of range of motion is reached as shown in **Figure 4B**. Similarly, the return path may be similarly defined or he/she may elect to use the same forward path in reverse. These control points are registered by the processor unit and transferred and saved to the transponders' memory to serve as the control points for the real-time calculation of a Catmull-Rom spline. The Catmull-Rom spline is calculated in real-time from the desired initial starting point to provide a continuous set of position points representing the "learned" reference movement trajectory.

**[055]** After the program is selected or the learn mode complete, the user may be instructed to alter the pose of the transponders to satisfy the initial starting conditions of the program. Either one or a combination of sensory interfaces could be excited by the principle transponder to cause the user to direct or steer it towards the desired start point. For instance, the visual sensory interface could sequentially extinguish or dim its peripheral light sources to converge to a central light source as the principle transponder is positioned closer to the desired start point. Alternatively, the aural sensory interface could be modulated to provide less force feedback as the start point is approached.

**[056]** During the Execution Phase (**Figure 3D**), the transponders are continually manipulated along the reference movement trajectory to the best of the user's skill and fidelity, within the bounds of the user's physical limitation, until an aural, visual, or tactile response is given that indicates the activity volume has been successfully completed or a sufficient number of conformity violations or failures have been registered. The processor unit calculates the instantaneous pose coordinates of the transponders every analysis interval and periodically communicates this information to the transponders via the wireless communication link. As the principle transponder is moved in mimicry to the reference movement trajectory is calculated periodically in real-time to determine the characteristics of feedback quality to be elicited by the sensory interfaces for the user's closed-loop control to correct his/her manipulation strategy. For example, the conformity error may be calculated from

statistical processes based upon the standard deviation of the least mean squared (LSM) principle transponder's position error compared to the reference movement trajectory, or based upon, or combination thereof, a threshold magnitude of some multi-order numerical differentiation of said movement to indicate a "smoothness" quality of translation and rotation along the movement trajectory path.

[057] Alternatively, a host computer system could provide an auxiliary processing and display means to allow another software program to access the transponder's calculated positional data through an application programmer's interface and use this data to alter the pose of a graphical primitive in proportion to the motions of the transponders within the context of computer generated virtual environment. The dynamic control of objects in the computer generated virtual environment could be used to augment the local sensory interfaces of the transponders through an interactive, goal-oriented video game modality. The video game challenges could be increased over time based upon some scoring criteria of successful manipulation of the principally controlled on-screen graphical object with respect to cueing derived from other secondary static or dynamically moving objects. It is important to note that only primitive forms of video game challenges would be considered, to take into account the user's cognitive awareness and physical limitations, and the economics of software development for photo realistic virtual environments and animation. Also, this auxiliary computer display means would offer an alternative visualization method of interactive and immersive video feedback aid to enhance the application presentation.

**[058]** Additional examples of how the present invention may be applied are described as follows:

#### Balance

**[059]** The body has the ability to maintain balance under both static and dynamic conditions. In static conditions, such as in standing, the body strives to efficiently maintain posture (often referred to as postural stability) with minimal movement. In dynamic conditions such as in walking or sports play, the body strives to maintain balance while performing in an ever changing environment. The ability to maintain balance is a complex process that depends on three major sensory components. The sensory systems include visual, vestibular and proprioception. For example, we rely on our visual system (eyes) to tell us if the environment around us is moving or

still; we rely on our vestibular system (inner ears) to tell us if we are upright or leaning, standing still or moving; and we rely on our proprioceptive system (feet and joints) to tell us if the surface we are standing on is uneven or moving. If balance problems develop, they can cause profound disruptions in your daily life. In addition to increased risk for falls, balance disorders can shorten attention span, disrupt normal sleep patterns, cause excessive fatigue, increase dependence on others and reduce quality of life. It is not uncommon for individuals with a history of balance problems to regain their balance control through accurate diagnosis followed by specific medical treatment and/or rehabilitation exercises.

**[060]** The present invention described can be used as a testing and training device for balance improvement under both static and dynamic conditions.

**[061]** One testing and training scenario for postural stability would be to measure frequency and amplitude of body sway in three dimension (3D) space while feet remain in a fixed position. This task can be performed in both a double or single leg stance to test for bilateral symmetry relating to balance. Another modification of the test would be to perform each test with eyes both open and closed to help determine the contribution of the visual component to overall balance ability. Tracking body sway while creating the illusion of motion through proper visual cueing on a display means would be another test to help determine the reliance on specific sensory components of balance. Delivering repetition of protocols with increasing difficult oscillation thresholds with biofeedback of successes and failures of such predetermined goals is one way to train to improve balance.

**[062]** The transponder can deliver aural, visual, and tactile stimuli to queue the individual to the degree of frequency and amplitude of body oscillations. The aural and tactile components provide the only means of feedback when the testing and training are performed with eyes closed or the visual field is compromised. Examples include, but are not limited to, (1) an audio signal increasing and decreasing in volume proportional to the amplitude of body sway, (2) a vibration action proportional to frequency of body oscillations, and (3) a light source illuminated when both frequency and amplitude goals are achieved. Multiple transponders can be used to evaluate and reinforce proper balance posture by communicating position information of certain body segments in relationship to others. An example would be the comparison of position of the head with respect to

the hips while generating a vibration action if an excessive forward lean of the head as compared to the hips is recognized.

**[063]** Another test for balance would be to test ones Limits of Stability (LOS). This test refers to ones ability to effectively operate within their sway envelope. The sway envelope or LOS is defined as the maximal angle a person's body can achieve from vertical without losing balance. An individual with healthy balance is capable of leaning (swaying) within a known sway envelope and recover back to a centered position without the need for a secondary maneuver such as a step, excessive bend at the torso or arm swinging. LOS for bilateral stance in normal adults is 8 degrees anterior, 4 degrees posterior and 8 degrees laterally in both directions.

**[064]** The present invention described can be used as a testing and training device for balance control during movement or perturbations within a desired sway envelope. Through proper visual queuing represented on the display means that defines a normal sway envelope, the amount of body displacement can be measured from vertical stance.

**[065]** The transponder can deliver aural, visual, and tactile stimuli to queue the individual as to when he or she has achieved the desired range of their sway envelope, then assess the individual's ability to return back to a vertical stance. Examples include, but are not limited to, (1) a vibration action when the user varies (meanders) from the desired movement path, (2) an array of lights change intensity and pattern as the individual successfully approaches the intended target, (3) an audio signal is generated when the individual has maintained a stable position with respect to proper visual queuing represented on the display means for a selected period of time. Multiple transponders can be used to evaluate and reinforce proper balance posture by communicating position information of certain body segments in relationship to others. An example would be the comparison of position of the head with respect to the hips while generating a vibration action if an excessive forward lean of the head as compared to the hips is recognized.

**[066]** Dynamic balance can be evaluated while having the individual perform coordinated movements which specifically challenge the various components of balance in a dynamic nature. Such movements include, but are not limited to jumping, hopping, and walking. These movements can be performed with eyes both open and closed, during interaction with static or dynamic visual queuing on the display means. The ability to perform these dynamic balance tasks with
comparisons to others of similar sex, age or disability can be assessed. Example measurements may include, but are not limited to, (1) amount of body sway in three dimension (3D) space, (2) time to complete specific task, and (3) effects of fatigue on balance ability.

**[067]** Balance training in both static and dynamic conditions can be easily achieved by providing specific visual queuing on the display means, which challenge the individual to perform repetitive and progressively more difficult balance drills. Performance reports can be generated to establish a baseline, isolate specific strengths and weaknesses within the specific sensory and motor control aspects of balance, and document progression and improvements.

**[068]** The transponder can deliver aural, visual, and tactile stimuli to queue the individual as to when he or she has achieved the desired balance task. By example, a vibration action is produced proportional to the frequency of a body segment oscillation after the user lands from a hop test and attempts to stabilize and maintain proper postural balance. When the individual finally stabilizes and achieves correct postural balance, an audio signal indicates the task has successfully completed. Multiple transponders can be used to evaluate and reinforce proper balance posture by communicating position information of certain body segments in relationship to others. An example would be the comparison of position of the head with respect to the hips while generating a vibration action if an excessive forward lean of the head as compared to the hips is recognized.

## Range of Motion (ROM)

**[069]** The present invention described can be used as a testing and training device to determine the range of motion within a joint. Range of Motion is the normal distance and direction through which a joint can move. Limited ROM is a relative term indicating that a specific joint or body part cannot move through its normal and full ROM. Motion may be limited by a mechanical problem within the joint that prevents it from moving beyond a certain point, by swelling of tissue around the joint, by spasticity of the muscles, or by pain. Diseases that prevent a joint from fully extending, over time may produce contracture deformities, causing permanent inability to extend the joint beyond a certain fixed position.

**[070]** The present invention described can be used to test the starting point and end point which an individual is capable of moving a body part, typically a limb and

associated joint(s). Comparisons to age and sex based normative data can be made. Proper visual queuing can be represented on the display means to instruct and motivate the individual through the proper testing procedure.

**[071]** The present invention described can be used as a testing and training device for individuals involved in physical rehabilitation or general fitness to improve ROM. Proper visual queuing can be represented on a display means to motivate individuals to extend their range of motion beyond their current capabilities.

**[072]** The transponder can deliver aural, visual, and tactile feedback that alerts the individual to successes or failures in proper execution of each repetition. An example of tactile feedback would be the transponders are vibrated if the individual's movement trajectory varied from the intended two dimensional (2D) reference movement trajectory by deviation from the planar path into the uninvolved spatial dimension. An array of light sources could increase illumination in intensity and repetition as the ROM goal was approached and an audio tone could signal the individual they have achieved the desired pause time at the proper ROM.

**[073]** Multiple transponders can be deployed to determine the contribution of each joint or anatomical structure where more then one joint is involved in the ROM movement (example; shoulder and scapular in overhead reaching). The vector sum of each transponder movement in a specific axis can be added together to determine the total ROM. The ROM of one joint in a two joint motion can be subtracted from the total ROM to determine the contribution of a single joint in a two joint movement.

## Human Performance Testing and Training

**[074]** There are many devices that test the strength and speed of isolated joint movements, for example, the leg extension and bicep curl. This information has value in testing both healthy individuals, athletes and individuals whose strength and speed capabilities may be compromised by injury, disease, poor conditioning or simply age. Recently in the field of human performance, it has been recognized that the analysis of the mobility of the isolated joint, although providing some value, does not offer enough information to determine how the body will perform during functional movements. Functional movements are defined as movements equal to those encountered on the athletic field, in the work environment or while performing activities of daily living. Functional movements involve the movement and

coordination of multiple joints and muscle groups acting together to perform a more complex task then a single, isolated joint movement.

**[075]** The present invention described can be used as a testing and training device for functional movement improvement. By tracking various registration points on the body with respect to each other or to an off-body registration point, performance measurements of functional movements can be assessed, such as jumping, cutting, turning, bounding, hopping, shuttling, etc.

**[076]** The present invention described can be used as a testing and training device for individuals involved in physical rehabilitation, general fitness or sports performance enhancement to improve their functional movement abilities. Proper visual queuing can be represented on the display means to instruct and motivate individuals to perform specific functional movements.

**[077]** The transponder can deliver aural, visual, and tactile feedback of proper movement execution. Examples include, but are not limited to, (1) an audio signal alerting the user that the desired performance stance is incorrect, (2) the light sources illuminate when the desired speed is achieved in a first step quickness drill, (3) a vibration action to indicate the limits of tracking range, (4) a vibration action proportional to the magnitude of a biophysical measurement during the interaction with visual queues represented on the display means, (5) a vibration action when the body or limb position does not correlate well to the desired body or limb position of the visual queuing represented on the display means, (6) an audio signal indicating start, stop and pause periods or other controlling events, (7) an audio signal indicating proper body alignment or posture has been compromised, and (8) an audio signal indicating the relationship of desired target heart rate to a desired threshold.

## Hardware Description

**[078]** In the preferred embodiment, the processor unit is comprised principally of a constellation of five (5) ultrasonic transducers and signal processing circuitry, thereof, and a signal processor that interfaces to this receiver group, performs the pose calculations, and interfaces to the transponders and host computer databases. The following interface descriptions for the processor unit are based upon the dependency flow represented by **Figure 5**.

**[079]** The sensors 14 preferably used for the receiver constellation unit are cylindrically-shaped ultrasonic transducers, for example, the model US40KR-01 40kHz PVDF ultrasonic receivers manufactured by Measurement Specialties Inc., which provide adequate acoustic pressure sensitivity and exhibit 360 degree omnidirectional broad beam response along the horizontal plane. The omnidirectional characteristic, albeit in one plane only, is very desirable to minimize line-of-sight occlusion. Because of its low resonance Q value, the rising and decay times are much faster than conventional ceramic transmitters. This reduces its power requirements since less burst drive duration is needed to achieve sufficient triggering thresholds at the receiver. This transducer type is also utilized similarly in the transponders to provide the potential for the most optimal acoustic coupling.

**[080]** The receiver constellation unit is preferably mounted on a fixed support base, and has a pivoting and/or swiveling mechanical linkage which provides an adjustable mechanism for configuration of the receiver constellation unit's inertial frame of reference relative to the tracking field. In the preferred embodiment, it is strategically positioned and oriented in proximity to the tracking field in order (1) to minimize line-of-sight degradation with respect to the expected transponder orientation, (2) to optimize registration resolution with respect to field volume size, and (3) to satisfy the mathematical restrictions of performing trilateration calculations based upon the solution of simultaneous linear equations. It should be noted that the trilateration matrices may be solved if the matrices have a rank of five, and are non-singular, i.e., the matrix determinant is non-zero. In the preferred embodiment, the geometric parameters and their coordinate location of the receiver constellation must insure linear independence of the columns of the matrices and to avoid the matrices from becoming singular.

**[081]** One example geometrical permutation of the receiver constellation unit that satisfies these rules is shown in **Figure 6A**. It occupies a volume of approximately 8 cu. ft. and essentially fixes the transducers in a way that defines two primary orthogonal, bisecting planes defined by three non-collinear points each. Another preferred implementation that occupies nearly the same volume is shown in **Figure 6B** and is characterized by its S-shaped curve and tilted with respect to the horizontal plane. Another preferred implementation that occupies nearly the same volume is shown in **Figure 6C** and is characterized by its helical or logarithmic spiral shape oriented perpendicular to the horizontal plane. Further, as indicated in the

preceding figures, the transducers vertical axes are oriented 90° with respect to the typical vertical axis orientation of the transponder's transmitter to improve acoustic coupling in the vertical plane, a consideration for overhead, upper extremity tracking. Although this causes some reduction in the lateral registration bounds, the compromise provides a more symmetric field about the middle or primary location of tracking interest.

[082] In the preferred embodiment, the overall size of the receiver constellation unit is predicated on a phenomenon referred to as Geometric Dilution of Precision The solution of a unique three-dimensional location based upon (GDOP). trilateration requires the precise resolution of the common intersection of multiple spheres circumscribed by the distance between each transmitter and receiver Each sphere has an inexact radius due to system noise and transducer. measurement resolution. Therefore, the intersection becomes a volume instead of a point and the size of the volume is dependent upon the radii of the intersecting spheres as well as the distance between the spheres' centers. As the radii get larger with respect to the distance between the centers, i.e., the transmitter is farther down range, the spheres begin to appear more and more tangential to one another and the intersection volume increases, although not necessarily symmetrical in all dimensions. Therefore, to minimize position uncertainty, the receiver transducers should be separated from each other as much as practical proportions allow with respect to the confines of the tracking field volume as the above said geometric examples provide.

**[083]** This receiver constellation unit can be repositioned with respect to the tracking field by a simple mechanical adjustment as shown in the preceding figures. The mechanical adjustment raises and lowers and changes the length and pivot axis of the cantilever arm which is fixed to a ground base support.

**[084]** Because the receiver constellation unit operates a distance from the processor unit, each receiver preferably has an associated pre-amplifier circuit to convert the high-input impedance piezoelectric signal into a low-level voltage proportional to the acoustic signal energy impinging the transducers sufficient in order to accurately transmit the signals to the processor unit. In one embodiment, a high-input impedance AC amplifier design with 30dB gain can be utilized. The preferred operational amplifier is the OPA373 manufactured by Texas Instruments. It was chosen for its low 1pA input bias current, high 6MHz GBW, and low-voltage

single supply operation. The amplifier is configured as a non-inverting type with the high-pass cutoff frequency set at 1 kHz. The overall circuitry is preferably enclosed in a metal shield to minimize electromagnetic noise coupling into the highly sensitive amplifier inputs. In addition, a local, regulated power supply is included to allow for a wide range of input voltage supply and provide sufficient power supply rejection to compensate for the noise susceptibility of remote power distribution. All the pre-amplifier circuits' power and signal connections preferably originate from the processor unit.

[085] The processor unit subsystem preferably consists of an analog signal processing interface that provides (1) additional voltage amplification and filtering of base band signal from the preamplifiers, (2) absolute value function, (3) peak detection function, and (4) analog-to-digital comparator function to provide support for an adaptive threshold means. The adaptive threshold technique provides robust triggering of the most proximal ultrasonic source at a precise temporal point along the traversing sinusoidal waveform of the electrical signal. Essentially, a new threshold signal is recalculated each analysis period based upon a small percentage reduction of the last peak waveform detected. Therefore, the tracking range is not necessarily restricted due to an arbitrarily high threshold setting and the noise immunity is improved as the threshold tracks the waveform envelope and not transient disturbances. An alternative automatic gain control strategy for the amplification function is unnecessary since the trigger threshold will adjust to the signal level instead. In the preferred embodiment, the threshold faithfully tracks the peak to minimize integer period phase errors, so the amplifier's gain is set to prevent signal saturation from occurring when the receiver constellation unit and transponders are in closest proximity during normal use.

**[086]** In one embodiment, an amplifier and BW (band width) filter circuit receives the output from the sensor and preamplifier circuit and provides additional amplification and low-pass filtering to condition it for reliable threshold triggering and input to other analog signal processing circuitry. A dual amplifier configuration may be used to provide an additional gain of 40dB, AC coupling to remove DC offsets of the preamplifier outputs and long cable losses, and low-pass filter to reject noise beyond the interest signal's bandwidth. The first stage amplifier may be configured as a non-inverting type with a gain of 20dB. The low-impedance DC input signal is effectively blocked by the coupling capacitor in series at its non-inverting input with a

high-pass frequency cutoff set at 20 kHz. This gain stage feeds a second amplifier configured as low-pass, 2<sup>nd</sup> order Butterworth MFB filter. This filter type provides smooth pass band response and reduced sensitivity to component tolerances. The second stage low-pass frequency cutoff is set at 80 kHz with a pass band gain of 20dB.

[087] An absolute value circuit receives the output of the amplifier and BW filter circuit and converts the bipolar signal into a unipolar form for magnitude detection. A dual amplifier configuration may be used to provide highly accurate full wave rectification of the millivolt-level signal. The first stage amplifier feedback switches to control the distribution of input current between the two signal paths dependent upon the input signal polarity. For a positive input voltage the input current will be positive which forward biases D1 and reverse biases D2. This configures the 1<sup>st</sup> stage as an inverter driving the inverting input resistor of the 2<sup>nd</sup> stage, which is also configured as an inverter because its non-inverting input is held at virtual ground due to the nonconducting path of D2. This effectively creates a combined circuit of two cascaded inverters for an overall gain of +1. For a negative input signal its input current is negative which forward biases D2 and reverse biases D1. This configures the 1<sup>st</sup> stage as an inverter driving the non-inverting input of the 2<sup>nd</sup> stage which changes the sign of the circuit gain. In this mode, the input current is shared between two paths to the input of the 2<sup>nd</sup> stage, where -2/3 of the input current flows around the 1<sup>st</sup> feedback stage and -1/3 flows in the opposite path around the 2<sup>nd</sup> stage feedback path for a net gain of -1.

**[088]** In the preferred embodiment, a peak detect and sample-hold circuit receives the output of the absolute value circuit and registers a peak value that is required to set a magnitude threshold precisely at some percentage of full-scale of the peak. A dual amplifier configuration may be used to provide the highest ratio of high output slew rate to low droop. The first stage is typically in negative saturation until the input voltage rises and exceeds the peak previously stored on the sample capacitor at the inverting input. Now the amplifier acts as a unity gain buffer and the input voltage charges the sample capacitor which faithfully tracks the rising voltage. Once the input voltage diminishes in magnitude, the first blocking diode reverse biases and the sample capacitor holds an accurate replica of the highest voltage attained with minimal droop because of the low input bias current of the amplifier and elimination of leakage altogether in the second blocking diode by bootstrapping its cathode at

the same potential provided by the low-impedance buffer of the second output stage. An electronic switch and bleed resistor allow the voltage across the sample capacitor to be reset by the processor during power up and after the triggering event is recorded so the adaptive threshold value can be refreshed each cycle. A 1<sup>st</sup> order Butterworth filter may be used at the input to smooth false in-band transients that could disrupt the peak accuracy detection.

[089] In the preferred embodiment, a comparator circuit receives the output from the peak detect and sample-hold circuit to convert the analog signal to digital form for high-speed triggering operation of the processor. The preferred device is the MAX941 which is manufactured by Maxim. A percentage of the peak threshold is used to set the inverting input. When the non-inverting voltage exceeds the inverting voltage, the comparator's output will trip and produce a high-true logic pulse that triggers the processor. A latch control input allows the processor to disable the comparator action to prevent unnecessary triggering during the reverberation phase and to prevent potentially disruptive noisy output chattering near threshold crossover beyond its hysteretic immunity. The percentage of threshold level is predetermined through the scaling resistors to be set low enough to trigger on the rising edge of the signal's first crest at the furthest range of transponder operation, but high enough above the intrinsic system noise level and external noise caused by reverberation and other ultrasonic sources. Once the first crest is registered, subsequent crests may be triggered at their zero-crossing representing the most precise timing registration by momentarily disabling the sample-hold circuit. Because of the longer duration trigger receptivity window, early multiple reflections are mitigated by transducer placement at least 3.5 cm away from adjacent planar surfaces, so the reflected acoustic energy doesn't produce a canceling effect of the direct acoustic energy of the later crests. Once a sufficient number of crests have been registered, then the triggering window is blanked for the remainder of the analysis period by latching the comparator's value.

**[090]** In the preferred embodiment, a digital signal processing interface is connected to the analog signal processing interface to transform the analog trigger processing into digital position information.

**[091]** The digital filter circuit receives output from the comparator circuit and preferably consists of a digital low-pass filter implemented in a complex programmable logic device (CPLD) that serves to precondition the comparator

circuit's digital outputs. The preferred device is an AT1504ASVL CPLD which is manufactured by Atmel. Base band system noise or other glitches potentially occurring in the analog signal processor interface, but prior to the actually arrival of the ultrasonic signal, could cause a threshold disruption that registers a "runt" pulse as a false trigger condition. The "runt" pulse would be misinterpreted as the actual TOF trigger and cause serious error in the position calculation. An AND/NOR one-hot state machine design may be used to ignore level transitions that are not stable for at least  $\frac{1}{2}$  system clock frequency x 8 states, so only transitions of 4  $\mu$ S or greater are passed through. The system clock delays introduced by the digital filter's synchronous state machine affect all channels the same and are, therefore, effectively eliminated by the inherent dependency on relative measurement.

**[092]** In the preferred embodiment, the processor and digital filter circuits receive the output from the analog processor and provide controlling signals therein. The preferred processor circuit is the MC9S08GB60 which is manufactured by Motorola Inc. It is a low-cost, high-performance 8-bit microcontroller device that provides all the aforementioned hardware circuits integrated into one convenient device. The calculation circuit is abstracted from embedded 60 KB FLASH for program memory with in-circuit programmable capability and 4 KB RAM for data memory. The time base circuit is preferably comprised of an external, high-noise immunity, 4.0 MHz system clock, which multiplies this by the internal frequency-locked loop for a bus clock of 40.0 MHz and single instruction execution time of 25  $\eta$ S. This clock also provides all the capture and control timing functionality for the other specified circuits. Multiple parallel I/O ports and dedicated asynchronous serial communication signals provide for the digital control of the analog signal processing and communication interfaces, respectively.

**[093]** The timing capture-control circuit receives the output from the digital filter circuit representing the arrival of the TOF triggers to determine the relative TOF propagation of the ultrasonic acoustic wave as it passes through the receiver constellation unit. More specifically, it is comprised of a five channel 16-bit timer input capture module with programmable interrupt control that provides edge detection and 50 ns timing precision to automatically register the TOF triggers timestamps asynchronously without using inefficient and less accurate software polling means.

**[094]** The phase-locked loop circuit receives the output from the timing capturecontrol circuit and is preferably comprised of a three channel, 16-bit timer compare module is implemented as an all-digital phase locked loop (ADPLL), which synchronizes the capture window and blanking functions with respect to the reference input channel. It is comprised primarily of a free-running 16-bit timer configured to periodically interrupt the processor dependent upon a precise convergence of its period and phase to the reference trigger source, by means of an over/under count matching and correction technique.

**[095]** The A/D conversion circuit receives the output from the amplifier and BW filter circuit and consists of an eight channel 10-bit analog-to-digital converter used to monitor channel offsets and magnitudes for range and polarity errors and correction. This information is utilized by the calculation circuit as input to the TOF software correction algorithm to determine the slope of the waveform crest.

[096] In the preferred embodiment, the serial communication circuit is comprised of two asynchronous serial communication interfaces that are connected between the calculation circuit and host link and radio link circuits of the communication interface. The host link provides a 115K bit per second (baud) bi-directional communication link to an auxiliary host computer system through a Serial-to-Universal Serial Bus bridge. The preferred device is the CP2101 which is manufactured by Silicon Laboratories. It supports the conversion of a fully asynchronous serial data bus protocol, with buffering and handshaking support, to an integrated Universal Serial Bus (USB) Function Controller and Transceiver and internal clock providing USB 2.0 full-speed compliancy. An integrated 512bit EEPROM stores the required USB device descriptors, including the Vendor ID, Product ID, Serial Number, Power Descriptor, Release number and Product Description strings. A host computer may enumerate and access this device utilizing the manufacturer's virtual COM port device drivers using a USB channel.

**[097]** In the preferred embodiment, the radio link circuit is comprised of a wireless bi-directional communication interface to preferably (1) broadcast a synchronization signal to control the transponders interoperability, (2) to receive other transponder sensor data, including, but not limited to, accelerometer, heart rate, battery, user I/O status, (3) to provide control messages for the transponders' sensory interfaces, and (4) to provide means to configure transponders' local databases. The preferred wireless communication link is based upon the AT86RF211, a highly integrated, low-

power FSK transceiver optimized for license-free ISM band operations from 400 MHz to 950 MHz. and manufactured by Atmel. It supports data rates up to 64 kbps with data clock recovery and no Manchester Encoding required. The device has a three wire microprocessor interface that allows access of read/write registers to setup the frequency selection, transmission mode, power output, etc. or get information about parameters such as battery, PLL lock state, etc. In normal mode, any data entering its input channel is immediately radiated or any desired signal collected by the aerial is demodulated and transferred to the microprocessor as reshaped register bit information. In wake-up mode, the device periodically scans for an expected message sequence and broadcasts an interrupt if a correct message is detected.

**[098]** In the preferred embodiment, at least three (3) consecutive TOF timestamps are registered for each receiver during the acquisition phase. Preferably, the transponder's transducer emits a multi-cycle ultrasonic acoustic burst of at least ten cycles in duration so that sufficient energization of the receiver transducer is realized and at least three crests of the waveform can be properly registered. At low signal levels when ultrasonic acoustic coupling is poor, this requirement may fail and an invalid tracking status is asserted. Preferably, the reference receiver transducer of the receiver constellation unit is positioned in closest proximity to the acoustic signal source so that it is the first transducer to be affected by the initial wave front. This reference receiver provides the overall system timing and state machine control for the phase-locked loop circuit, so that the processing, calculation, and communication tasks are executed in a deterministic and efficient fashion.

**[099]** It should be appreciated that a high-resolution ultrasonic acoustic tracking system that depends upon threshold detection means has an inherent uncertain trigger dilemma. This uncertainty arises because of the multi-cycle nature of the transmitted signal's waveform and the associated difficulty detecting the exact temporal location for consecutive analysis periods when the signal's magnitude may vary greatly depending upon the efficiency of the acoustic coupling, the distance between transmitter and receiver, and signal-to-noise ratio of the signal processing techniques. If a threshold is set near one of the minor crests of the waveform during the last analysis period, then it is conceivable a slight reduction of magnitude of the waveform during the next analysis period may fall slightly below the set threshold and actually not be triggered until the next larger excursion of the waveform occurs.

This would create a TOF error proportional to the period of the acoustic waveform or its intra-pulse interval and have a detrimental affect on the measurement accuracy. This analog processing described above establishes trigger thresholds that allow no more than a single intra-pulse interval of uncertainty, but that is still inadequate for high-resolution measurements. Although a technique is known that controls the largest peak profile of the transmitter acoustic signal and claims to provide an absolute trigger condition, this procedure is difficult to reliably tune and control among different transducer types.

**[0100]** In the preferred embodiment of the invention, no modulation of the acoustic signal is required. Rather, the adaptive threshold method is augmented with a TOF software correction algorithm that unambiguously determines the correct TOF based upon a means to detect the same carrier wave cycle of ultrasonic energy every period. The software correction algorithm requires multiple, consecutive TOF acquisitions as input for the digital over-sampling and averaging algorithm, the calculation of a higher-order numerical differentiation of the past and current TOF information as input for the predictive algorithm of higher-order Taylor series based derivatives used for the relative TOF correction, and a measurement of the intrapulse time intervals of consecutive TOF acquisitions as input for the predictive algorithm set input for the absolute TOF correction, and a measurement of the intrapulse time intervals of consecutive TOF acquisitions as input for the relative TOF acquisitions as input for the predictive algorithm of higher-order the absolute TOF correction scheme that minimizes the range error based upon selective biasing of the TOFs.

**[0101]** The calculation circuit preferably processes multiple, consecutive TOF acquisitions to effectively improve the timing resolution that proportionally affects position accuracy and precision. The digital filter discussed above introduces quantization errors because of its discrete operation. And minor fluctuations in the acoustical coupling produces timing jitter or uncertainty in the triggered zero-crossings of the acoustic sinusoidal. A Gaussian average or mean value of multiple TOF is a simple and effective filter strategy. Due to the possibility of poor acoustic coupling or misalignment, and distant transponder location from the processor unit, the number of detectable triggered zero-crossings may vary for a fixed duration of multi-cycle ultrasonic acoustic burst. The averaging algorithm automatically adjusts to this condition by only including TOFs whose delta changes fall within the expected range of the nominal intra-pulse interval defined by the transmission properties of the acoustic source. The nominal intra-pulse interval is determined and utilized by the following compensation schemes.

[0102] The calculation circuit preferably processes a relative TOF correction algorithm based upon a predictive tuned algorithm that requires higher-order numerical differentiation calculation of the past and current TOFs. This compensates the TOFs that may have registered one intra-pulse interval earlier or later than the nominally expected time due to the trigger dilemma described above. By formulating these derivatives into a truncated 2<sup>nd</sup> order Taylor series expansion and weighting the terms contribution, an estimate of expected TOF is calculated and compared to the actual TOF through an iterative error minimization calculation. A minimized error that results in a delta time change indicative of a discrete intra-pulse interval increase or decrease due to an early or late TOF, respectively, produces a characteristic value that directs the algorithm to compensate the actual TOF by the intra-pulse interval and restore it to its correct value. In the preferred embodiment, this relative compensation algorithm works most effectively when, (1) the maximally expected inter-period TOF change is less than the discrete intra-pulse interval, (2) the TOF inter-period processing is contiguous, (3) the TOF increase or decrease is no more than a single intra-pulse interval, and (3) the Taylor series terms are suitably weighted in the prediction algorithm.

[0103] The calculation circuit preferably processes an absolute TOF correction algorithm at least once initially, when the phase-locked loop is stable, but may be performed every analysis period depending on computational resources, that determines the initial set of TOF values for the relative correction algorithm. The initial condition that precedes the start of the relative compensation algorithm may be due to the resumption of a stable, locked tracking state after recovery from a fault condition and, therefore, requires computation of a set of reference TOFs producing minimum range error as a starting basis. The algorithm utilizes a wireless synchronization means to determine a reference TOF calculation between the transponder and reference sensor of the receiver constellation. By computing the reference range distance by the product of the reference TOF and speed of sound in air, this reference range may be compared to the range calculated from the matrices solutions described below. By iteratively and sequential increasing and decreasing the TOFs by a single intra-pulse time interval and applying the input to matrices formulations described below, all possible combinations of compensation are permutated and tested, which produces a unique set of TOFs that minimize the error between the calculated range distance with respect to the reference range distance.

This unique set of initial TOFs serves as the starting basis for the relative compensation algorithm. In the preferred, embodiment, this absolute compensation algorithm works most effectively when (1) the wireless synchronization means is tightly coupled to the excitation of the acoustic source, (2) the synchronizing signal's arrival is timed by the same mechanism that times the arrival of the reference transducer's acoustic signal, and (3) the coordinate locations of the sensors of the receiver constellation are established to a high degree of accuracy.

[0104] The calculation circuit preferably employs two software methods of trilateration calculation to estimate transponder position, wherein the particular method used depends upon the availability of a synchronizing signal and the accuracy desired. The first method is based on a relative TOF calculation and the speed of sound is treated as a constant estimated at ambient indoor room The second method requires calculation of an additional TOF temperature. timestamp between the transponder and reference receiver, but calculates the speed of sound as an unknown every analysis period, and thus improves measurement accuracy. The first method eliminates the global system timing variances and delays due to the multiplicity of signal conditioning circuitry and eliminates the need for a controlling signal means synchronized at the generation of the transmission of the ultrasonic acoustic wave. The second method also employs relative TOF calculation but requires an additional synchronization signal from the processor unit to determine the absolute TOF between transponder and reference receiver. Since the absolute TOF is based upon a single channel only, its timing latencies can be readily accounted for and easily corrected. This method computes the speed of sound every analysis period, provided the synchronization signal is detected, without need for additional hardware temperature processing or requiring more then five (5) receivers, and automatically accounts for the system's main accuracy limitation of speed of sound in air as defined by Eq.1.1, if uncorrected, yields a 1.6 mm/m ranging error for every 1°C temperature shift. If the synchronization signal is not detected and, therefore, the second method is not resolvable, the last calculated speed of sound can be utilized within the first method's calculation to minimize error.

$$c \approx 34.6m / s + 0.5813m / s \left(T_c - 25^{\,9}C\right)$$
 (1.1)

**[0105]** The TOF timestamps and speed of sound values are input into linear independent algebraic equations in a matrix formulation to solve for the unknown transponder(s) position, in a form as shown in Eq.2.1,

$$\mathbf{A} \cdot \mathbf{X} = \mathbf{B} \qquad \mathbf{A} = \begin{bmatrix} a_{11} & a_{12} & a_{13} & a_{14} \\ a_{21} & a_{22} & a_{23} & a_{24} \\ a_{31} & a_{32} & a_{33} & a_{34} \\ a_{41} & a_{42} & a_{43} & a_{44} \end{bmatrix} \qquad \mathbf{X} = \begin{bmatrix} \mathbf{x}_1 \\ \mathbf{x}_2 \\ \mathbf{x}_3 \\ \mathbf{x}_4 \end{bmatrix} \qquad \mathbf{B} = \begin{bmatrix} \mathbf{b}_1 \\ \mathbf{b}_2 \\ \mathbf{b}_3 \\ \mathbf{b}_4 \end{bmatrix}$$
(2.1)

**[0106]** To solve for the unknowns **X**, Eq.2.1 is rearranged as shown in Eq.3.1, whereas the inverse of **A** requires computation of the cofactor matrix  $A^c$  for the adjoint and determinant calculations for Eq.3.2 and Eq.3.3, respectively,

$$\mathbf{X} = \mathbf{A}^{-1} \cdot \mathbf{B} = \frac{\left(\mathbf{A}^{\circ}\right)^{\mathrm{T}}}{|\mathbf{A}|} \cdot \mathbf{B}$$
(3.1)

$$\left(\mathbf{A}^{\mathsf{c}}\right)^{\mathsf{T}} = \left[ \begin{array}{cccc} \mathbf{A}_{11} & \mathbf{A}_{21} & \mathbf{A}_{31} & \mathbf{A}_{41} \\ \mathbf{A}_{12} & \mathbf{A}_{22} & \mathbf{A}_{32} & \mathbf{A}_{42} \\ \mathbf{A}_{13} & \mathbf{A}_{23} & \mathbf{A}_{33} & \mathbf{A}_{43} \\ \mathbf{A}_{14} & \mathbf{A}_{24} & \mathbf{A}_{34} & \mathbf{A}_{44} \end{array} \right]$$
(3.2)

$$|\mathbf{A}| = a_{11} \cdot \mathbf{A}_{11} + a_{21} \cdot \mathbf{A}_{21} + a_{31} \cdot \mathbf{A}_{31} + a_{41} \cdot \mathbf{A}_{41}$$
(3.3)

**[0107]** To setup the coefficient matrix **A**, the utilization of five (5) receivers produces the following set of relative TOF equations defined by Eqs.4.1-4,

$$\Delta T_{12} = T_2 - T_1 \tag{4.1}$$

$$\Delta T_{13} \approx T_3 - T_1 \tag{4.2}$$

$$\Delta T_{14} = T_4 - T_1 \tag{4.3}$$

$$\Delta T_{15} \approx T_5 - T_1 \tag{4.4}$$

**[0108]** The receiver locations are fixed within the system's inertial reference frame, while the transponder(s) are mobile with respect to the same reference frame and are defined as follows,

 $S(x_i, y_i, z_i)$  for  $5 \ge i \ge 1 \implies$  fixed receiver locations

40

 $S(x_0, y_0, z_0) \equiv S(u, v, w) \implies$  unknown transponder location

**[0109]** Since each receiver is fixed at a distance  $D_i$  from the transponder as determined by the receiver constellation geometry and because the acoustic waves propagate spherically, by using Pythagorean's theorem the following set of range equations are defined in Eqs.5.1-5,

$$(x_1 - v)^2 + (y_1 - v)^2 + (z_1 - w)^2 = D_1^{-2}$$
(5.1)

$$(x_2 - u)^2 + (y_2 - v)^2 + (z_2 - w)^2 = D_2^2$$
 (5.2)

$$(x_3 - u)^2 + (y_3 - v)^2 + (z_3 - w)^2 = D_3^2$$
 (5.3)

$$(x_4 - u)^2 + (y_4 - v)^2 + (z_4 - w)^2 = D_4^2$$
(5.4)

$$(x_5 - u)^2 + (y_5 - v)^2 + (z_5 - w)^2 \approx D_5^{-2}$$
 (5.5)

**[0110]** Equivocally, the four (4) non-reference receivers are preferably located at an incremental distance relative to the reference receiver, so by substitution of the incremental distance defined by Eq.6.1, the following set of relativistic range equations are defined by Eqs.6.2-5,

$$\mathbf{D}_i = \mathbf{D}_1 + c\Delta \mathbf{T}_{1i}$$
 for  $5 \ge i \ge 2$  (6.1)

$$(x_2 - u)^2 + (y_2 - v)^2 + (z_2 - w)^2 = (D_1 + c\Delta T_{12})^2$$
 (6.2)

$$(x_3 - u)^2 + (y_3 - v)^2 + (z_3 - w)^2 = (D_1 + c\Delta T_{13})^2$$
(6.3)

$$(x_4 - \mathbf{u})^2 + (y_4 - \mathbf{v})^2 + (z_4 - \mathbf{w})^2 = (D_1 + c\Delta T_{14})^2$$
(6.4)

$$(x_5 - u)^2 + (y_5 - v)^2 + (z_5 - w)^2 = (D_1 + c\Delta T_{15})^2$$
(6.5)

**[0111]** By expanding and rearranging the terms of Eqs.6.2-5, a set of four linear algebraic equations and four unknowns for the first method algorithm, depicted in the matrix form of Eq.2.1, is defined by Eq.7.1,

$$2\begin{bmatrix} x_{1} - x_{2} & y_{1} - y_{2} & z_{1} - z_{2} & -c\Delta T_{12} \\ x_{1} - x_{3} & y_{1} - y_{3} & z_{1} - z_{3} & -c\Delta T_{13} \\ x_{1} - x_{4} & y_{1} - y_{4} & z_{1} - z_{4} & -c\Delta T_{14} \\ x_{1} - x_{5} & y_{1} - y_{5} & z_{1} - z_{5} & -c\Delta T_{15} \end{bmatrix} \begin{bmatrix} u \\ v \\ w \\ D_{1} \end{bmatrix} = \begin{bmatrix} (c\Delta T_{12})^{2} + R_{1}^{2} - R_{2}^{2} \\ (c\Delta T_{13})^{2} + R_{1}^{2} - R_{3}^{2} \\ (c\Delta T_{14})^{2} + R_{1}^{2} - R_{4}^{2} \\ (c\Delta T_{15})^{2} + R_{1}^{2} - R_{5}^{2} \end{bmatrix}$$
(7.1)

where 
$$R_i^2 = x_i^2 + y_i^2 + z_i^2$$
 for  $5 \ge i \ge 1$  (7.2)

**[0112]** Alternatively, if the second method algorithm is used, the unknown range of the reference receiver  $D_1$  can be substituted by Eq.8.1,

$$D_1 = cT_{01}, \Delta T_{01} \Rightarrow time of flight (TOF) from S(u,v,w) to S(x_1,y_1,z_1)$$
 (8.1)

[0113] And, by rearranging terms, it is depicted in the matrix form defined by Eq.9.1,

**[0114]** Although similar results may be obtained by application of more computational efficient processes such as pivotal condensation or Crout's decomposition, the application of Cramer's rule was used to evaluate the first-order determinant in Eq.3.3 using second-order determinants from Laplace expansion. The final transponder(s) position equations are defined by Eqs.10.1-8.

$$\mathbf{u} = \frac{|\mathbf{A}_2|}{|\mathbf{A}|} \tag{10.1}$$

$$\mathbf{v} \approx \frac{|\mathbf{A}_2|}{|\mathbf{A}|} \tag{10.2}$$

$$\mathbf{w} = \frac{|\mathbf{A}_3|}{|\mathbf{A}|} \tag{10.3}$$

$$D_1 = \frac{|A_4|}{|A|}$$
 (1st method) or  $c = \sqrt{\frac{|A_4|}{|A|}}$  (2nd method) (10.4)

$$|A_1| = b_1 \cdot A_{11} + b_2 \cdot A_{21} + b_3 \cdot A_{31} + b_4 \cdot A_{41}$$
(10.5)

$$|A_2| = b_1 \cdot A_{12} + b_2 \cdot A_{22} + b_3 \cdot A_{32} + b_4 \cdot A_{42}$$
(10.6)

$$|A_{3}| = b_{1} \cdot A_{13} + b_{2} \cdot A_{23} + b_{3} \cdot A_{33} + b_{4} \cdot A_{43}$$
(10.7)

$$|A_4| = b_1 \cdot A_{14} + b_2 \cdot A_{24} + b_3 \cdot A_{34} + b_4 \cdot A_{44}$$
(10.8)

**[0115]** If the first method is used, D, the range of the transponder to the reference receiver from Eq.10.4 may be calculated as a redundant confirmation of the Eqs.10.1-3 calculations, provided the frame of reference origin and location of the reference receiver are identical or their offsets accounted for. If the second method is used, C, the speed of sound in air, from Eq.10.4 must be computed every analysis period if its value is anticipated to be used in the first method in the absence of a synchronization signal.

**[0116]** The orientation of the transponders can be derived from a similar utilization of the above algorithms for a transponder configured with a triad of ultrasonic transmitters. The transducers are preferably arranged in a triangular plane at the transponder of sufficient area for the desired angular resolution. The sequential

excitation of each transducer and subsequent calculation of position by the aforementioned methods provides sufficient information to determine orientation by the inverse kinematic calculations of Eqs.11.1-4, where the analysis is simplified by assuming the origin of rotations occurs about  $T_1$  and  $T_{123}$  represents the initial relative position matrix from this origin and  $T'_{123}$  is the transformed or forward kinematic position matrix.

$$\mathbf{R}_{\chi}(\theta) \stackrel{=}{=} \mathbf{T}_{123} \implies \mathbf{R}_{\chi}(\theta) \mathbf{R}_{\chi}(\theta) \mathbf{T}_{123}$$
(11.1)

$$\mathbf{R}_{x}(\theta) \mathbf{R}_{y}(\theta) \mathbf{T}_{123} \equiv \begin{bmatrix} 0 & x_{2} \cos \theta_{y} + z_{2} \sin \theta_{y} & x_{3} \cos \theta_{y} \\ 0 & \sin \theta_{x} (x_{2} \sin \theta_{y} - z_{2} \cos \theta_{y}) & x_{3} \sin \theta_{x} \sin \theta_{y} \\ 0 & -\cos \theta_{x} (x_{2} \sin \theta_{y} - z_{2} \cos \theta_{y}) & -x_{3} \cos \theta_{x} \sin \theta_{y} \end{bmatrix}$$
(11.2)

$$\mathbf{R}_{s}(\theta)^{-1} \mathbf{T}_{123} \equiv \begin{bmatrix} x_{1} \cos\theta_{s} + y_{1} \sin\theta_{s} & x_{2} \cos\theta_{s} + y_{2} \sin\theta_{s} & x_{3} \cos\theta_{s} + y_{3} \sin\theta_{s} \\ - x_{1} \sin\theta_{s} + y_{1} \cos\theta_{s} & - x_{2} \sin\theta_{s} + y_{2} \cos\theta_{s} & - x_{3} \sin\theta_{s} + y_{3} \cos\theta_{s} \\ z_{1} & z_{2} & z_{3} \end{bmatrix}$$
(11.3)

$$x_1 = y_1 = z_1 = 0 \land y_2 = 0 \land y_3 = z_3 = 0$$
 for initial orientation (11.4)

**[0117]** By examination of the matrices element equivalency of Eqs.11.2-3 and manipulation of terms so that the angles may be found using the inverse tangent function, the following rotation equations Eqs.12.1-3 are derived,

$$\theta_x = \operatorname{atan}\left(\frac{x_2 \sin \theta_z - y_2 \cos \theta_z}{z_2}\right)$$
(12.1)

$$\theta_{y} \approx \operatorname{atan}\left(\frac{-z_{3}}{\cos\theta_{x}\left(x_{3}\cos\theta_{z}+y_{3}\sin\theta_{z}\right)}\right)$$
(12.2)

$$\theta_{s} = \operatorname{atan}\left(\frac{y_{3} - \frac{x_{3}\sin\theta_{s}\sin\theta_{s}}{\cos\theta_{s-1}}}{x_{3}}\right)$$
(12.3)

$$\cos\theta_{z-1} \equiv \cos\theta_z$$
 from previous iteration (12.4)

$$\theta_s = \operatorname{atan}\left(\frac{y_3}{x_3}\right)$$
 for 1st iteration (12.5)

**[0118]** These calculations are performed through iterative step processes which inherit angular approximations of the preceding steps until the final desired angular accuracy is achieved by assuming the conditions of Eqs.12.4-5. Therefore the rotation  $\theta_z$ , roll, is first approximated by Eq.12.5; then the rotation  $\theta_x$ , pitch, is approximated by Eq.12.1; and then the final rotation  $\theta_y$ , yaw or turn, is approximated by Eq.12.2. The next approximation of  $\theta_z$  utilizes the previous value of  $\theta_z$  in Eq.12.3 and the similar steps are preferably repeated until the desired accuracy is achieved. The transcendental functions may be evaluated through a conventional look-up table or by a power series expansion.

**[0119]** Preferably, the overall analysis period duration is effectively trebled until the three (3) transducers' positions are calculated, which reduces the system's frequency response and imposes an increased latency effect. Typically, robust absolute orientation processing requires more stringent line-of-sight operation and is reserved for more sensitive, less dynamic, and reduced ROM movement trajectories, e.g., balance and sway. Therefore, the latency effect is less noticeable upon the real-time performance of the sensory interfaces.

**[0120]** In the preferred embodiment, the interactive hand-held transponders support a dual axis inertial sensor, which is operably configured to provide tilt (pitch and roll) orientation in its horizontal mounting plane. The inertial sensor is mounted in the intended operational horizontal plane with respect to the systems inertial frame of reference. Once the sensors signals has been converted to an acceleration value that varies between +/- 1 g the tilt in degrees is calculated as shown in Eqs.13.1-2, for pitch and roll, respectively.

$$\phi = \operatorname{asin}(A_x/1g) \tag{13.1}$$

$$\varphi = \operatorname{asin}(A_v/Ig) \tag{13.2}$$

**[0121]** This outside-in ultrasonic tracking implementation, where the transponders are mounted on the mobile object, produces inherent temporal delays due to the finite TOF registration and calculation delays after the transponder has already moved into a different position before the measurement is complete. This overall latency period is compensated and minimized through use of a Kalman filter data processing algorithm to estimate the pose of the transponder by optimally and recursively combining past history, new measurements, and a priori models and information. Generally speaking, the Kalman filter is a digital filter with time-varying gains that are optimally determined through a stochastic dynamical model of the motion. The overall goal is to minimize filter lag while providing sufficient smoothing of the motion data.

**[0122]** An adaptive, multi dynamic model is developed based upon the kinematic quality of the expected movement trajectory. The predictive kinematic model for the Kalman filter is depicted in matrix form utilizing a truncated 2<sup>nd</sup> order Taylor series expansion as below in Eqs.14.1-2,

$$\begin{bmatrix} r \\ v \end{bmatrix}_{k+1} \approx \begin{bmatrix} 1 & \Delta t \\ 0 & 1 \end{bmatrix} \begin{bmatrix} r \\ v \end{bmatrix}_{k} \div \begin{bmatrix} 0 \\ w \end{bmatrix}$$
(14.1)

$$\begin{bmatrix} r \\ \nu \\ a \end{bmatrix}_{k+1} = \begin{bmatrix} 1 & \Delta t & 0.5 \Delta t^2 \\ 0 & 1 & \Delta t \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} r \\ \nu \\ a \end{bmatrix}_k + \begin{bmatrix} 0 \\ 0 \\ w \end{bmatrix}$$
(14.2)

**[0123]** The Kalman filter is now described for a single dimension, although it is utilized for prediction and smoothing for all position dimensions. The predictor stages consist of the calculation of the state and the error covariance projection equations. The state projector equation, Eq15.1, utilizes a discrete time-sampled difference equation of r calculated from Eq.15.2. In other words, the numerically derived velocity and acceleration components of motion are linearly combined with the previously a priori position to estimate the new position. The corrector stages consist of sequential computation of the gain, updated state estimate, and updated error covariance equations. The a posteriori state estimate, Eq.15.4, is based on a linear combination of the weighted measurement residual and the last state estimate.

$$\overline{x_{k}} = x_{k-1} + 0.5(x_{k-1} - x_{k-3}) + 0.5(x_{k-1} - 2x_{k-2} + x_{k-3})$$
(15.1)

$$P_k = P_{k-1} + Q_k \tag{15.2}$$

$$K_k = \frac{P_k^-}{(P_k^- + R_k)} \tag{15.3}$$

$$\widehat{x}_{k} = \widehat{x}_{k}^{-} + \mathcal{K}_{k}(z_{k} - \widehat{x}_{k}^{-})$$
(15.4)

$$P_k = (1 - \mathcal{K}_k) P_k^{-1} \tag{15.5}$$

**[0124]** The new error covariance projector, Eq.15.2, is it's previously computed value combined with the current process noise covariance,  $Q_k$ , which is tuned by an example model derived from the measured motion dynamics shown in Eq.16.1. The gain's measurement noise covariance,  $R_k$ , is defined as a small constant and based upon the actual static timing variance empirically measured. The smaller this value the more confidence there exists in the systems' measurement capability.

[0125] In the preferred embodiment, the product of the numerically-derived 1<sup>st</sup> and 2<sup>nd</sup> order derivatives of the measured position scaled by a frequency dependent gain provides a computationally practical adaptive dynamic process noise estimate model. The derivative product term increases Q<sub>k</sub> proportionally for higher velocity and acceleration components of motion, e.g., quick, abrupt directional changes, which effectively increases the gain and, therefore, means more confidence exists in the measurement rather than the estimate. This provides faithful, low-latency response to high-frequency motions. Conversely, the frequency scaling term decreases the predictive "overshoot" characteristic of lower power, repetitive motion, e.g. slower, cyclic, ROM trajectories, which effectively decreases the gain and, therefore, means more confidence exists in the estimate rather than the measurement. It should be appreciated this filter implementation provides superior tracking fidelity and comparable smoothing characteristics as compared to practical lengths of finite impulse response running-average filters and various low-orders infinite impulse response filters. It achieves enough predictive response to compensate for the inherent TOF and computation latencies, while providing and comparable smoothing properties of other filter types.

$$Q_k \equiv |K_q[(z_{k-1} - z_{k-3})(z_{k-1} - 2z_{k-2} + z_{j-3})\sin(z_{k-1} - z_{k-3})]|$$
(16.1)

$$R_k \equiv 0.005 \tag{16.2}$$

**[0126]** In the preferred embodiment, a three dimensional (3D) piecewise cubic curve interpolates a movement trajectory for smoothing and reduced sample storage for greater memory efficiency. Preferably, four (4) sequential discrete control points of the n-length set of control points, the sample resolution dependent upon the desired movement granularity, and corresponding timestamp are needed to calculate in real-time the interpolated position between any pair of control points. A Catmull-Rom spline algorithm is the preferred method in that the path intersects the control points and would best approximate a movement that may have acute directional changes. The Catmull-Rom spline algorithm is defined by Eqs.17.1-3, where the geometry matrix  $G_k$  represents the matrix of three dimensional (3D) control points.

$$\mathbf{C}_{k}(\mu) = \mathbf{G}_{k} \frac{1}{2} \begin{bmatrix} 0 & -1 & 2 & -1 \\ 2 & 0 & -5 & 3 \\ 0 & 1 & 4 & -3 \\ 0 & 0 & -1 & 1 \end{bmatrix} \begin{bmatrix} 1 \\ \mu \\ \mu^{2} \\ \mu^{3} \end{bmatrix}$$
(17.1)

$$\mathbf{C}_{k}(\mu) \approx \mathbf{G}_{k} \begin{bmatrix} -0.5\mu + \mu^{2} - 0.5\mu^{3} \\ 1 - 2.5\mu^{2} + 1.5\mu^{3} \\ 0.5\mu + 2\mu^{2} - 1.5\mu^{3} \\ -0.5\mu^{2} + 0.5\mu^{3} \end{bmatrix}$$
(17.2)

$$\mathbf{G}_{k} \equiv \begin{bmatrix} \mathbf{P}_{k-1} & \mathbf{P}_{k} & \mathbf{P}_{k+1} & \mathbf{P}_{k+2} \end{bmatrix}$$
(17.3)

**[0127]** The  $\mu$  value is normalized and represents the %value between the 2<sup>nd</sup> 3<sup>rd</sup> control points. To calculate the interpolated value between the 1<sup>st</sup> and 2<sup>nd</sup> or the n-1<sup>th</sup> and n<sup>th</sup> control points, the value of first control point of the pair and the value of the last control point pair are doubly entered into the geometry matrix, respectively. The appropriate  $d\mu/dt$  is determined by the desired rate of playback of movement trajectory. To playback at the same rate as the recorded session, and assuming fairly constant velocity, a timestamp should also be saved at each control point registration so that the  $\mu$  calculation is correctly scaled by the delta time interval. The n-length set of control points would be manually registered by the user pressing a switch or automatically post processed by a sorting method where a control point is registered at the tangents of the trajectory having sufficient magnitude and/or experience sign changes which indicates discontinuous or non-monotonic movement.

**[0128]** The major functional interfaces of the transponder unit preferably include the sensory interface, transducer interface, processor, and communication interface. The following descriptions of the transponder unit are based upon the dependence flow represented by **Figure 6**.

**[0129]** The sensor interface refers to the collective support for the ultrasonic transmitter, heart rate receiver, and accelerometer circuits. The ultrasonic transmitter circuit is preferably gated by a pulse-width modulated (PWM) digital signal at nominally 0.8% duty cycle of the 40 kHz resonant frequency, e.g., a single 250  $\mu$ s pulse every analysis period, by the processor circuit. The radiated ultrasonic signal strength is controlled by gating a MOSFET transistor switch at a duty cycle which optimally energizes the transducer's series resonant tank circuit for sufficient duration. The resonant circuit's reactive components include an impedance matching inductor, the transducer's intrinsic capacitance, and a small damping resistive load. At resonance, a electrical damped sinusoidal with a potential up to ~400 V<sub>pk-pk</sub> is developed across the transducer to sufficiently drive it at acoustical power levels practical for the system's intended range of operation. Enabling a lower

duty cycle control through means of a software algorithm monitoring the transponders range would effectively lower the transponders power consumption and radiate less ultrasonic acoustic energy for close range operation when signal saturation and clipping is undesirable. Conversely, a higher duty cycle control would radiate greater ultrasonic energy to compensate for less efficient, non-optimal acoustical coupling orientations of the transponder with respect to the receiver constellation. Optionally, two additional transducers may be driven in unison or sequentially from a different transponder assembly to support measurement of absolute rotation about a single or multiple axes, or provide calculated positional redundancy for certain difficult line-of-sight applications.

**[0130]** The heart rate receiver circuit wirelessly receives a 5 kHz heart rate signal from a POLAR<sup>®</sup> transmitter belt. The transmitter, worn around the chest, electrically detects the heart beat and starts transmitting a pulse corresponding to each heart beat. The receiver captures the signal and generates a corresponding digital pulse which is received by the timing capture-control circuit of the processor interface. A software algorithm processes the signal with known time-based averaging and an adaptive window filter techniques to remove any extraneous artifact or corruption caused by interfering sources.

**[0131]** The accelerometer circuit consists of a low cost +/-1.5 g dual axis accelerometer that can measure both dynamic, e.g. vibration, and static, e.g. gravity or tilt, acceleration. If the accelerometer is oriented so both its axes are parallel to the earth's surface it can be used as a two axis tilt sensor with a roll and pitch axis.

**[0132]** The stimuli interface circuit provides the primary visual sensory interface preferably comprised of a linear array of five (5) bright, white light emitting diodes (LED) and associated drivers. The preferred LED device is a CMD87 manufactured by Chicago Miniature Lamp. These LEDs' intensity is controlled by a white LED driver. The preferred white LED driver device is a MAX1570 manufactured by Maxim. The white LED driver provides a maximum 120mA constant current source to each LED for optimal uniform luminescence. The drive current can be proportionally regulated through external pulse width modulation (PWM) means from the processor circuit to modulate its brightness level. Additionally, an electronic switch is connected in series to each LED drive to individually control its active state. By simultaneously controlling the PWM duty cycle and active state of each LED, the

light strobe can appear to smoothly migrate along the linear array in spite of its discontinuous operation.

**[0133]** Preferably, the stimuli interface circuit provides the primary aural stimulus by means of a 4 kHz piezo buzzer. The preferred device is SMT-3303-G manufactured by Projects Unlimited. This electro-mechanical buzzer requires an external transistor drive circuit and digital control signal gated at a rate near its resonant frequency. The buzzer inputs are connected to and controlled by PWM means from the processor circuit to provide a gross volume adjustment which is dependent upon the amplitude of the drive signal.

**[0134]** Additionally, the stimuli interface circuit provides the primary tactile stimulus by means of a vibrator motor. The driver for the vibrator motor enables a 120mA DC current source to excite the motor armature. The preferred driver device is the MAX1748 manufactured by Maxim. The rotational speed of the motor's armature is controlled by PWM means from the processor circuit.

**[0135]** The processor circuit preferably receives input from the stimuli interface, sensor interface, and the communication interface and provides controlling signals therein. The preferred processor circuit is the MC9S08GB60 which is manufactured by Motorola Inc. It is a low-cost, high-performance 8-bit microcontroller device that integrates the specialized hardware circuits into one convenient device. The software calculation engine circuit operates from an embedded 60 KB FLASH for program memory with in-circuit programmable capability and 4 KB RAM for data memory. The time base circuit is preferably comprised of an external, high-noise immunity, 4.0 MHz system clock, which multiplies this value by the internal frequency-locked loop for a bus clock of 40.0 MHz and single instruction execution time of 25  $\eta$ S. This clock also provides all the capture and control timing requirements for the other specified circuits. Multiple parallel I/O ports and dedicated asynchronous serial communication signals provide digital control for the circuits of the parallel/serial I/O circuit.

**[0136]** In the preferred embodiment, the graphic LCD and touch screen circuit is the primary user input device for database management for an interactive transponder configuration. For example, it may be a 128x64 graphical liquid crystal display system (LCD) and associated 4-pin touch screen input device. A preferred LCD device is the 51553 manufactured by Optrex and the preferred touch screen device is the TSG-51 manufactured by Apollo Displays. LCD display information,

configuration commands, and bitmaps images can be loaded through the software calculation engine via a parallel memory interface to emulate a graphical user interface. A touch screen input device is connected to a controller circuit to decode soft key presses at areas over the graphical object. Preferably, the key presses are registered, filtered, decoded, and processed by the controller and then transferred to the software calculation engine via an interrupt driven asynchronous serial communication channel of the I/O interface. A preferred LCD controller is the UR7HCTS manufactured by Semtech.

**[0137]** The timing capture-control circuit provides controlling means for the stimuli interface and portions of the sensor interface. The stimuli interface is preferably comprised of a five channel 16-bit timer PWM module with programmable interrupt control which provides 250 ns timing resolution to automatically modulate the circuits' drivers through variable duty cycle control.

**[0138]** In the preferred embodiment, the A/D conversion circuit receives the output from the accelerometer circuit and consists of a two channel 10-bit analog-to-digital converter used determine the rotational angle of roll and pitch in the accelerometer deviates from its horizontal plane orientation. This information is communicated to the signal processor via the radio link.

**[0139]** In the preferred embodiment, the radio link circuit is comprised of a wireless bi-directional communication interface (with a receiver and transmitter shown generally at **20** and **30**) to (1) receive a synchronization signal for control of the transponders interoperability, (2) to transfer acquired local sensor data, including, but not limited to, accelerometer, heart rate, battery, user I/O status, to processor unit and (3) to provide means to configure its local database from command of processor unit. The preferred wireless communication link is based upon the AT86RF211, a highly integrated, low-power FSK transceiver optimized for license-free ISM band operations from 400 MHz to 950 MHz. and manufactured by Atmel. Its key features are described above.

**[0140]** In the preferred embodiment, the switch I/O circuit uses a SPST push button switch for user input to control the system's operational states, start and stop program execution, and function as feedback input to the program. A preferred device is the KSS231 SPST pushbutton switch manufactured by ITT Industries.

## <u>CLAIMS</u>

What is claimed is:

 A system for a user to play a video game, comprising: a first hand-held communication device comprising:

a transmitter for transmitting signals;

a receiver for receiving signals; and

an output device;

an array of receiving devices remote from the first hand-held communication device for detecting ultrasonic signals emitted by the first hand-held communication device;

a processing system, remote from the first hand-held communication device, and in electrical communication with the array of receiving devices, adapted to wirelessly receive the signals transmitted by the transmitter, to determine movement information for the first hand-held communication device, to determine position information for the first hand-held device, and to send data signals to the first handheld device to provide feedback data to the user;

wherein the movement information of the first hand-held communication device controls the movement of at least one object in a computer generated virtual environment; and

wherein the first hand-held communication device is adapted to receive and process the received data signals and generate sensory stimuli for the user based on the received data signals and delivered through the output device.

2. The video game system of claim 1, further comprising:

a second hand-held communication device adapted to electrically communicate with the first hand-held communication device, said second hand-held communication device, comprising a transmitter for transmitting signals;

wherein the processing system is adapted to determine movement information of the second hand-held communication device.

3. The video game system of claim 1, wherein the first hand-held communication device is further comprised of:

a tilt sensor for sensing orientation data for the first hand-held communication device, and wherein the first hand-held communication device transmits the orientation data to the processing system.

4. The video game system of claim 3, wherein the orientation data is roll and pitch data.

5. The video game system of claim 2, wherein the feedback data sent to the receiver is derived from the movement information of the first hand-held communication device and the second hand-held communication device.

6. The video game system of claim 5, wherein the output device provides audible stimuli to the user based on the feedback data.

7. The video game system of claim 1, wherein the first hand-held communication device is further comprised of:

a touch screen display for accepting input from the user.

8. The video game system of claim 7, wherein the first hand-held communication device is adapted to allow the user to provide configuration data to the system through the touch screen display.

IPR2019-01134 Sony EX1002 Page 68

9. The video game system of claim 1, wherein the system is adapted to provide feedback data proportional to an error signal determined by comparing the movement of the user to a reference movement trajectory.

10. The video game system of claim 1, wherein the system is interactive and responds to verbal command input from the user.

11. The video game system of claim 1, wherein the first hand-held communication device is adapted to attach to a modular extension piece.

12. The video game system of claim 11, wherein the modular extension piece is a rod.

13. The video game system of claim 11, wherein the modular extension piece provides force resistive feedback.

14. The video game system of claim 1, wherein the system is adapted to excite the output device based on the movement information for the first hand-held communication device.

15. The video game system of claim 1, wherein the system is adapted to excite the output device to indicate the quality of movement information for the first handheld communication device.

16. The video game system of claim 1, wherein the system is adapted to proportionally excite the output device based on the movement information for the first hand-held communication device.

17. The video game system of claim 1, wherein the system is adapted to provide feedback data to the output device when the user has completed an activity.

18. The video game system of claim 1, wherein the system is adapted to modulate activity challenges based on scoring criteria.

19. The video game system of claim 1, wherein the system is adapted to visually queue the user to move according to a desired movement trajectory to assess balance control.

20. The video game system of claim 1, wherein the system is adapted to determine range of motion of a user's joint or body segment.

21. The video game system of claim 1, wherein the system is adapted to queue the user to control range of motion within prescribed limits.

22. The video game system of claim 1, wherein the system is adapted to provide feedback data to the output device when the user deviates from a desired movement trajectory.

23. The video game system of claim 1, wherein the system is interactive and responds to verbal command input from the user to modulate an activity.

24. The video game system of claim 1, further comprising:

a second hand-held communication device in electrical communication with the first hand-held communication device, said second hand-held communication device, comprising a transmitter for transmitting signals,

wherein the processing system is adapted to determine movement information of the second hand-held communication device; and

wherein the movement information of the first hand-held communication device and the second hand-held communication device is used to derive performance measurements of functional movements.

25. The video game system of claim 24, wherein functional movements can be measured of gait, jumping, cutting, turning, or shuttling.

26. The video game system of claim 24, wherein the functional movement measured can be balance or stance.

27. The video game system of claim 2, wherein the processing system determines movement information for the first hand-held communication device and the second hand-held device and processes this information to provide feedback data to the user.

28. The video game system of claim 27, wherein the movement information for the first and second hand-held devices is processed to provide feedback data to the user based on the movement of the first hand-held communication device with respect to the second hand-held communication device.

29. The video game system of claim 2, wherein the processing system is adapted to determine position information for the first hand-held communication device and the second hand-held device and process this position information to provide feedback data to the user.

30. The video game system of claim 1, wherein the processing system is adapted to determine the three-dimensional position of the first hand-held communication device and wherein the first hand-held communication device controls a graphical object in a virtual three-dimensional environment.

31. The video game system of claim 2, wherein the processing system is adapted to determine movement and position information for the first and second hand-held communication devices and process this information to provide feedback data to the user.

32. A system for a user to play a video game, comprising:

a first hand-held communication device comprising:

a transmitter for transmitting signals;

a receiver for receiving signals; and

an output device; and

59

a processing system, remote from the first hand-held communication device for wirelessly receiving the signals transmitted by the transmitter, determining movement information for first hand-held communication device;

a second hand-held communication device, in wireless communication with the processing system said second hand-held communication device, comprising a transmitter for transmitting signals;

wherein the processing system is adapted to determine movement information of the second hand-held communication device and send feedback data to the user based on the movement information of the first and second hand-held communication devices;

wherein the first hand-held communication device is adapted to receive and process the feedback data and generates sensory stimuli for the user based on the received data and delivered through the output device; and

wherein the movement information of the first and second hand-held communication devices are used to control a graphical object on a display screen.

33. The video game system of claim 32, wherein the system is interactive and responds to verbal command input from the user to modulate an activity.

34. The video game system of claim 32, wherein the system is adapted to visually queue the user to move according to a desired movement trajectory to assess balance control.

35. The video game system of claim 32, wherein the system is adapted to determine range of motion of a user's joint or body segment.

36. The video game system of claim 32, wherein the first hand-held communication device is further comprised of:

a touch screen display for accepting input from the user.
37. The video game system of claim 36, wherein the system is adapted to allow the user to provide configuration data to the system through the touch screen display.

38. The video game system of claim 36, wherein the system is adapted to provide feedback data to the user through the touch screen display.

39. The video game system of claim 36, wherein the system is adapted to provide performance data to the user through the touch screen display.

40. The video game system of claim 36, wherein the system is adapted to allow system setup through the touch screen display.

41. The video game system of claim 36, wherein the system is adapted to provide a menu oriented interface to the user through the touch screen display.

42. The video game system of claim 36, wherein the system is adapted to allow program selection to the user through the touch screen display.

43. The video game system of claim 36, wherein the system is adapted to biophysical data to the user through the touch screen display.

44. A system according to claim 32, wherein:

the first hand-held communication device is adapted to attach to a modular extension piece.

45. A system according to claim 44, wherein the second hand-held communication device is adapted to attach to the modular extension piece.

46. A system according to claim 44, wherein the first hand-held communication

device is connected to the modular extension piece via a flexible coupling.

47. A system according to claim 44, wherein the first hand-held communication device is connected to the modular extension piece via a snap joint.

48. A system according to claim 44, wherein the first hand-held communication device is connected to the modular extension piece via a twist joint.

49. A system for a user to play a video game, comprising:

a first hand-held communication device comprising:

a transmitter for transmitting signals;

a receiver for receiving signals; and

an output device; and

a processing system, remote from the first hand-held communication device, adapted to wirelessly receive the signals transmitted by the transmitter, to determine movement information for the first hand-held communication device;

wherein the movement information of the first hand-held communication device controls the movement of at least one object in a computer generated virtual environment;

wherein the first hand-held communication device is adapted to receive and process the received data signals and generate sensory stimuli for the user, based on the received data signals and delivered through the output device; and

wherein the processing system is adapted to determine the three-dimensional position of the first hand-held communication device and wherein the object is controlled in a virtual three-dimensional environment.

50. A system for a user to play a video game, comprising:

a first hand-held communication device comprising:

a transmitter for transmitting signals;

a receiver for receiving signals; and

an output device;

IPR2019-01134 Sony EX1002 Page 74 a second hand-held communication device, the second hand-held communication device comprising a transmitter for transmitting signals; and

a processing system, remote from the first hand-held communication device, adapted to wirelessly receive the signals transmitted by the transmitters of the respective communication devices, to determine movement information for each of the respective communication devices, and to send data signals to the receiver to provide feedback data to the user;

wherein the first hand-held communication device is adapted to receive and process the received data signals and generate sensory stimuli for the user, based on the received data signals and delivered through the output device;

wherein the movement information of the first and second hand-held devices are used to control a graphical object on a display screen; and

wherein the system is interactive and responds to verbal command input from the user.

51. A system according to claim 50, wherein the first hand-held communication device is adapted to attach to a modular extension piece.

52. The system of claim 51, wherein the modular extension piece is a rod.

53. The system of claim 51, wherein the modular extension piece provides force resistive feedback.

54. The system of claim 51, wherein the modular extension piece is a weighted extension.

55. A system according to claim 50, further comprising:

a strap connected to the first hand-held communication device for securing the first hand-held communication device to a user's wrist.

56. A system according to claim 50, wherein:

the first hand-held communication device comprises a user input device adapted for communication with the processing system through the transmitter.

57. A system according to claim 56, wherein:

the user input device is adapted for calibrating the first communication device to establish a reference position.

58. A system according to claim 50, wherein:

the processing system is adapted to determine position information for each of the respective communication devices.

55. The video game system of claim 50, wherein the first hand-held communication device is further comprised of:

a touch screen display for accepting input from the user.

56. The video game system of claim 55, wherein the system is adapted to allow the user to provide configuration data to the system through the touch screen display.

57. A system according to claim 50, further comprising:

a coupling attachment to the first hand-held communication device adapted to accept attachment to the second hand-held communication device.

64

58. A system according to claim 50, further comprising:

a coupling attachment to the first hand-held communication device adapted to

accept attachment to a modular extension piece.

59. A system for a user to play a video game, comprising:

a first hand-held communication device comprising:

a transmitter for transmitting signals;

a receiver for receiving signals; and

an output device;

IPR2019-01134 Sony EX1002 Page 76 a second hand-held communication device adapted to electrically communicate with the first communication device, and adapted for being attached to, in contact with, or held by the user, the second hand-held communication device comprising a transmitter for transmitting signals;

an array of receiving devices remote from the first hand-held communication device for tracking the movement of the first hand-held communication device;

a processing system, remote from the first hand-held communication device, adapted to wirelessly receive the signals transmitted by the transmitter of the first hand-held communication device, to determine movement information for each of the respective communication devices, and to send data signals to the receiver to provide feedback data to the user;

wherein the first hand-held communication device is adapted to receive and process the received data signals and generate sensory stimuli for the user, based on the received data signals, the sensory stimuli delivered through the output device;

wherein the first hand-held communication device is further comprised of a user input device adapted for communication with the processing system through the transmitter; and

wherein the user input device is adapted for calibrating the first communication device to establish a reference position.

60. A system according to claim 59, wherein:

the processing system is adapted to determine position information for each of the respective communication devices.

61. A system according to claim 59, wherein:

wherein the output device is a speaker for providing audible stimuli to the user.

IPR2019-01134 Sony EX1002 Page 77

62. A system according to claim 59, wherein:

the first hand-held communication device is adapted to attach to a modular extension piece.

63. A system according to claim 62, wherein the second hand-held

communication device is adapted to attach to the modular extension piece.

64. A system according to claim 62, wherein the first hand-held communication device is connected to the modular extension piece via a flexible coupling.

65. A system according to claim 62, wherein the first hand-held communication device is connected to the modular extension piece via a snap joint.

66. The video game system of claim 59, wherein the first hand-held communication device is further comprised of:

a touch screen display for accepting input from the user.

67. The video game system of claim 66, wherein the system is adapted to allow the user to provide configuration data to the system through the touch screen display.

68. A system for a user to play a video game, comprising:

a first hand-held communication device comprising:

a transmitter for transmitting signals;

a receiver for receiving signals; and

an output device;

a second hand-held communication device adapted to electrically

communicate with the first communication device, and adapted for being attached to,

in contact with, or held by the user, the second hand-held communication device

comprising a transmitter for transmitting signals; and

a processing system, remote from the first hand-held communication device, adapted to wirelessly receive the signals transmitted by the transmitter of the first hand-held communication device, and to send data signals to the receiver to provide feedback data to the user;

wherein the first hand-held communication device receives and processes the received data signals and generates sensory stimuli for the user, based on the received data signals and delivered through the output device;

wherein the first hand-held communication device is further comprised of a user input device adapted for communication with the processing system through the transmitter; and

wherein the user input device is adapted for calibrating the first communication device.

69. A system according to claim 68, wherein:

the processing system is adapted to determine movement information for each of the respective communication devices.

70. The video game system of claim 68, wherein the first hand-held communication device is further comprised of:

a touch screen display for accepting input from the user.

71. The video game system of claim 70, wherein the system is adapted to allow the user to provide configuration data to the system through the touch screen display.

72. The video game system of claim 70, wherein the system is adapted to provide feedback data to the user through the touch screen display.

73. The video game system of claim 70, wherein the system is adapted to provide performance data to the user through the touch screen display.

74. The video game system of claim 70, wherein the system is adapted to allow system setup through the touch screen display.

75. The video game system of claim 70, wherein the system is adapted to provide a menu oriented interface to the user through the touch screen display.

76. The video game system of claim 70, wherein the system is adapted to allow program selection to the user through the touch screen display.

77. The video game system of claim 70, wherein the system is adapted to biophysical data to the user through the touch screen display.

78. A system according to claim 68, wherein:

the first hand-held communication device is adapted to attach to a modular extension piece.

79. A system according to claim 78, wherein the first hand-held communication device is connected to the modular extension piece via a flexible coupling.

80. A system according to claim 78, wherein the first hand-held communication device is connected to the modular extension piece via a snap joint.

### **ABSTRACT**

A system for use in playing a video game, the system acting to measure the position of transponders for testing and to train the user to manipulate the position of the transponders while being guided by interactive and sensory feedback. A bidirectional communication link to a processing system supporting the video game provides functional movement assessment.

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| Application Data Sheet 37 CFR 1.76  |                      | Attorney Docket Number | FER1947-002H |  |  |  |
|---|----------------------|------------------------|--------------|--|--|--|
|   |                      | Application Number     |              |  |  |  |
| Title of Invention  | HUMAN MOVEMENT MEASU | JREMENT SYSTEM         |              |  |  |  |
| The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. |                      |                        |              |  |  |  |

document may be printed and included in a paper filed application.

# Secrecy Order 37 CFR 5.2

Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

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|---------|--|--------------|-------------|---------|---------|----------------|--------|--------------------|-----------|-------------|--------------|-----------------------|---------|
| Applic  | Applicant Authority Inventor         Legal Representative under 35 U.S.C. 117         Party of Interest under 35 U.S.C. 118          |              |             |         |         |                |        |                    | .C. 118   |             |              |                       |         |
|         |  | ven Name     |             |         | м       | Middle Name Fa |        |                    | Fam       | ily Name    |              | Suffix                |         |
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| Applic  | Applicant 2  |              |             |         |         |                |        |                    |           |             |              |                       |         |
| Applic  | ant  | Authority 🖲  | Inventor    | CLeg    | gal Rep | oresentativ    | /e und | er 35 l            | J.S.C. 11 | 7           | OParty of In | terest under 35 U.S.  | .C. 118 |
| Prefix  |  | ven Name     | •           |         | М       | Middle Name Fa |        |                    | Fam       | Family Name |              |                       |         |
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| Application Data Sheet 37 CFR 1.76                   |         | Attorney Docket Number | FER1947-002H |  |
|--|---------|------------------------|--------------|--|
|  |         | Application Number     |              |  |
| Title of Invention HUMAN MOVEMENT MEASUREMENT SYSTEM |         |                        |              |  |
| Customer Numbe                                       | r 08698 |                        |              |  |

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### **Application Information:**

| Title of the Invention               | HUMAN MOVEMENT MEASUREMENT SYSTEM |    |   |  |  |  |  |
|--------------------------------------|-----------------------------------|----|---|--|--|--|--|
| Attorney Docket Number               | FER1947-002H                      |    | Small Entity Status Claimed 🔀             |  |  |  |  |
| Application Type                     | Nonprovisional                    |    |   |  |  |  |  |
| Subject Matter                       | Utility                           |    |   |  |  |  |  |
| Suggested Class (if any)             |                                   |    | Sub Class (if any)                        |  |  |  |  |
| Suggested Technology Center (if any) |                                   |    |   |  |  |  |  |
| Total Number of Drawing              | Sheets (if any)                   | 10 | Suggested Figure for Publication (if any) |  |  |  |  |
| Publication Information:             |                                   |    |   |  |  |  |  |

### Publication information:

Request Early Publication (Fee required at time of Request 37 CFR 1.219)

Request Not to Publish. I hereby request that the attached application not be published under 35 U.S.
 C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

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This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78(a)(2) or CFR 1.78(a)(4), and need not otherwise be made part of the specification. Pending **Prior Application Status** Remove Application Number Continuity Type Prior Application Number Filing Date (YYYY-MM-DD) 12371711 2009-02-16 Continuation of Patented Prior Application Status Remove

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|---|-----------|-----------------------------------|---------------------|---------------------------|-----------------------------|--------------|----------------------------|------------|----------------------------|
| Application Data Sheet 37 CFR 1.76  |           |                                   | Application         |                           |                             |              |                            |            |                            |
| Title of Invention  | HUMAI     | HUMAN MOVEMENT MEASUREMENT SYSTEM |                     |                           |                             |              |                            |            |                            |
| Application<br>Number   |           |                                   | Pr                  | ior Application<br>Number |                             |              |                            |            | Issue Date<br>(YYYY-MM-DD) |
| 12371711  | Continua  | tinuation of 119355               |                     | 35578                     | 2007-11-06                  |              | 7492268                    |            | 2009-02-17                 |
| Prior Application Status Pater  |           | Patented                          |                     |                           | •                           | Remove       |                            | nove       |                            |
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| 11935578  | Continua  | tion of                           | 1118                | 37373                     | 2005-07-22                  |              | 7292151                    |            | 2007-11-06                 |
| Prior Application   | on Status | Expired                           | •                   |                           | •                           |              |                            | Rer        | nove                       |
| Application Number  |           | Cont                              | inuity              | Туре                      | Prior Application Number    |              | ber Filing Date (YYYY-MM-D |            | te (YYYY-MM-DD)            |
| 11187373 non provisional of   |           |                                   | 60592092 2004-07-29 |                           |                             |              |                            |            |                            |
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This section allows for the applicant to claim benefit of foreign priority and to identify any prior foreign application for which priority is not claimed. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(a).

|   |                      | <u>L</u> F                      | Remove           |  |  |  |  |
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| Application Number  | Country <sup>i</sup> | Parent Filing Date (YYYY-MM-DD) | Priority Claimed |  |  |  |  |
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Sony EX1002 Page 84

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| Application  | Data Shoot 27 CED 1 76 | Attorney Docket Number | FER1947-002H |  |  |  |
|--|------------------------|------------------------|--------------|--|--|--|
| Application Data Sheet 37 CFR 1.76                                 |                        | Application Number     |              |  |  |  |
| Title of Inventio  | n HUMAN MOVEMENT MEAS  | UREMENT SYSTEM         |              |  |  |  |
| Signature     /James L. Kwak/     Date (YYYY-MM-DD)     2011-04-28 |                        |                        |              |  |  |  |

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IPR2019-01134 Sony EX1002 Page 86

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| Application Number:                           |                                     |                    |          |        |                         |  |  |
| Filing Date:                                  |                                     |                    |          |        |                         |  |  |
| Title of Invention:                           | A HUMAN MOVEMENT MEASUREMENT SYSTEM |                    |          |        |                         |  |  |
| First Named Inventor/Applicant Name:          | Kevin Ferguson                      |                    |          |        |                         |  |  |
| Filer:  | Jar                                 | nes Lee Kwak/Emily | / Shultz |        |                         |  |  |
| Attorney Docket Number:                       | FEI                                 | R1947-002H         |          |        |                         |  |  |
| Filed as Small Entity                         |                                     |                    |          |        |                         |  |  |
| Utility under 35 USC 111(a) Filing Fees       |                                     |                    |          |        |                         |  |  |
| Description                                   |                                     | Fee Code           | Quantity | Amount | Sub-Total in<br>USD(\$) |  |  |
| Basic Filing:                                 |                                     |                    |          |        |                         |  |  |
| Utility filing Fee (Electronic filing)        |                                     | 4011               | 1        | 82     | 82                      |  |  |
| Utility Search Fee                            |                                     | 2111               | 1        | 270    | 270                     |  |  |
| Utility Examination Fee                       |                                     | 2311               | 1        | 110    | 110                     |  |  |
| Pages:  |                                     |                    |          |        |                         |  |  |
| Claims:                                       |                                     |                    |          |        |                         |  |  |
| Claims in excess of 20                        |                                     | 2202               | 60       | 26     | 1560                    |  |  |
| Independent claims in excess of 3             |                                     | 2201               | 3        | 110    | 330                     |  |  |
| Miscellaneous-Filing:                         |                                     |                    |          |        |                         |  |  |

| Description                       | Fee Code          | Quantity | Amount | Sub-Total in<br>USD(\$) |
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| Petition:                         |                   |          |        |                         |
| Patent-Appeals-and-Interference:  |                   |          |        |                         |
| Post-Allowance-and-Post-Issuance: |                   |          |        |                         |
| Extension-of-Time:                |                   |          |        |                         |
| Miscellaneous:                    |                   |          |        |                         |
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| EFS ID:                              | 9979705                             |  |  |  |  |  |
| Application Number:                  | 13096587                            |  |  |  |  |  |
| International Application Number:    |                                     |  |  |  |  |  |
| Confirmation Number:                 | 4852                                |  |  |  |  |  |
| Title of Invention:                  | A HUMAN MOVEMENT MEASUREMENT SYSTEM |  |  |  |  |  |
| First Named Inventor/Applicant Name: | Kevin Ferguson                      |  |  |  |  |  |
| Customer Number:                     | 08698                               |  |  |  |  |  |
| Filer:                               | James Lee Kwak/Emily Shultz         |  |  |  |  |  |
| Filer Authorized By:                 | James Lee Kwak                      |  |  |  |  |  |
| Attorney Docket Number:              | FER1947-002H                        |  |  |  |  |  |
| Receipt Date:                        | 28-APR-2011                         |  |  |  |  |  |
| Filing Date:                         |                                     |  |  |  |  |  |
| Time Stamp:                          | 16:39:20                            |  |  |  |  |  |
| Application Type:                    | Utility under 35 USC 111(a)         |  |  |  |  |  |

# Payment information:

| Submitted with Payment   | yes             |  |  |  |  |
|--|-----------------|--|--|--|--|
| Payment Type   | Deposit Account |  |  |  |  |
| Payment was successfully received in RAM   | \$2352          |  |  |  |  |
| RAM confirmation Number  | 3474            |  |  |  |  |
| Deposit Account  | 194076          |  |  |  |  |
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| Document<br>Number | Document Description               | File Name                   | File Size(Bytes)/<br>Message Digest          | Multi<br>Part /.zip | Pages<br>(if appl. |  |
|--------------------|------------------------------------|-----------------------------|--|---------------------|--------------------|--|
| 1                  |                                    | POA_DEC.pdf                 | 208596                                       | yes                 | 4                  |  |
|                    |                                    |                             | de54850839c5123f0ec44d6aac81922b363<br>b28dd | ,                   |                    |  |
|                    | Multip                             | art Description/PDF files i | n .zip description                           |                     |                    |  |
|                    | Document Des                       | scription                   | Start  | Ei                  | nd                 |  |
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| Warnings:          |                                    |                             |  |                     |                    |  |
| Information:       |                                    |                             |  |                     |                    |  |
| 2                  | Drawings-only black and white line | formal_drawings.pdf         | 629114                                       | no                  | 10                 |  |
|                    | drawings                           |                             | cfc9588e88e3b7c966e6071b4027294ec5a<br>82f8b |                     |                    |  |
| Warnings:          |                                    |                             |  |                     |                    |  |
| Information:       |                                    |                             |  |                     |                    |  |
| 3                  |                                    | con3_patapp.pdf             | 978850                                       | yes                 | 69                 |  |
|                    |                                    |                             | 397a0de1c7091f3afe48d1e014236c9cc219<br>6d38 |                     |                    |  |
|                    | Multip                             | art Description/PDF files i | n .zip description                           |                     |                    |  |
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|                    | Specificati                        | ion                         | 1  | 5                   | 54                 |  |
|                    | Claims                             |                             | 55   | 6                   | 58                 |  |
|                    | Abstrac                            | t                           | 69   | 69                  |                    |  |
| Warnings:          |                                    |                             |  |                     |                    |  |
| Information:       | 1                                  |                             |  |                     |                    |  |
| 4                  | Application Data Sheet             | sb0014_fill.pdf             | 1031713                                      | no                  | 5                  |  |
|                    |                                    |                             | 0a57394cb7e1971b8a75ba93c54283c4b15<br>ce0ff |                     |                    |  |
| Warnings:          |                                    |                             |  |                     |                    |  |
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| 5                  | Fee Worksheet (PTO-875)            | fee-info.pdf                | 38037  | no                  |                    |  |
|                    |                                    |                             | 78b3c1cc81d5d0f5f6320e06f93d46d9d517<br>25c9 |                     |                    |  |
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| Information:       |                                    |                             |  |                     |                    |  |
|                    |                                    | Total Files Size (in byte   | s): IDD3P                                    | °¶9⁰0113            | 21                 |  |

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### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

#### PTO/SB/01 (09-04) A managed for use through ones in

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| DECLARATION FO   |   |  | Attorney D<br>Number                           | ocket  |                                  | 47-002B                                     |  |
| DESIC  |   |  | First Name                                     | d Inventor   | Ferguso                          | on, et al.                                  |  |
| PATENT APP   |   | ľ  |  | CON  | IPLETE IF                        |   |  |
| (37 CFR  | 1.63)   | •  | Application                                    | Number   | 1                                |   |  |
| Declaration  | Declaration   |  | Filing Date                                    |  | _                                |   |  |
| Submitted OR L<br>With Initial   | Submitted     Filing (sure     ing (sure              | charge   | Art Unit                                       | <u> </u>   | Not yet                          | known                                       |  |
| Filing   | (37 ČFR 1.<br>required)                               | 10 (e))  | Examiner                                       | Name   | Not yet                          | known                                       |  |
| I hereby declare that:<br>Each inventor's residence, mailin<br>I believe the inventor(s) named b<br>which a patent is sought on the in   | elow to be the  | original and first   |  |  |                                  |   | ned and for  |
| A HUI  | MAN MOVE  | MENT MEASU   | JREMENT  | SYSTEM   |                                  |   |  |
|  |   | (Title of the  | e Invention)                                   |  |                                  |   | · · · · · · · · · · · · · · · · · · ·                        |
| the specification of which   |   |  |  |  | 1                                |   |  |
| is attached hereto   |   |  |  |  |                                  |   |  |
| OR   |   |  |  |  |                                  |   |  |
| was filed on (MM/DD/YYY  | Y)  |  | as Unil  | ed States Ap   | plication N                      | lumber or P                                 | CT International   |
| Application Number   |   | and was amende   | ed on (MM/[                                    |  |                                  |   | (if applicable).   |
| I hereby state that I have review<br>amended by any amendment spe  |   |  | s of the abo                                   | ve identified s  | specificatio                     | on, including                               | the claims, as   |
| I acknowledge the duty to disc<br>continuation-in-part applications<br>and the national or PCT internati   | s, material info                                      | rmation which b  | ecame avai                                     | lable betweel  |                                  |   |  |
| I hereby claim foreign priority b<br>inventor's or plant breeder's righ<br>country other than the United St<br>application for patent, inventor's<br>before that of the application on | nts certificate(s<br>ates of Americ<br>or plant breed | <ul> <li>a), or 365(a) of a</li> <li>a), listed below a</li> <li>er's rights certifies claimed.</li> </ul> | any PCT inte<br>and have als<br>icate(s), or a | ernational application of the second se | plication w<br>elow, by c        | hich design<br>hecking the<br>oplication ha | ated at least one<br>box, any foreign<br>aving a filing date |
| Prior Foreign Application<br>Number(s)   | Country   | Foreign Filin<br>(MM/DDfY)   |  | Prior<br>Not Cla   |                                  | Certified C<br>YES                          | Copy Attached?<br>NO   |
|  |   |  |  |  |                                  |   |  |
| Additional foreign appli   | cation numbers  |  |  | al priority dat  | ta sheet P                       | TO/SB/02B                                   | attached hereto.   |
| This collection of information is required   | by 35 U.S.C. 115 ;                                    |  | e 1 of 2]<br>The information i                 | s required to obt  | ain or retain                    | a benefit by the                            | nublic which is to fil                                       |

Ins collection or information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. 60 NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance completing the form, call 1-800-PTO-9199 and select option 2.

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| unger the Paperwork Reduction   | Act of 1985, no genear                            | n of balluant marked                | spond             | U.S. Patant   | BRd Tradams              | ank Office II S                     | PTO/58/01 (09-04)<br>igh 07/31/2008. OM8 0851-0032<br>DEPARTMENT OF COMMERCE<br>ins a valid OMB control number. |
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| correspondence to:  | The address<br>associated with<br>Customer Number |                                     | 08                | 698           |                          |                                     | Correspondence<br>address below   |
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| I hereby declare that all stat<br>and belief are believed to<br>statements and the like so n<br>false statements may jeopar | be true: and furt<br>nade are punishab            | her that these<br>ble by fine or in | i state<br>noriso | nment. or     | ere made v<br>both, unde | with the kno<br>ar 18 U.S.C.        | wiedge that willful falso   |
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| Kevin<br>Inventors Signature  | lunan   |                                     | ;<br>;<br>;       |               | Ferguson                 |                                     | Date<br>7/21/2005   |
| Residence: City   | State   |                                     |                   | Country       |                          |                                     | anship  |
| Dublin<br>Mailing Address   | Ohio  |                                     |                   | USA           |                          | USA                                 |   |
| 8156 Campden Lakes Blvd.  |   |                                     | •                 |               |                          |                                     |   |
| City<br>Dublin  | State<br>Ohio                                     |                                     | )                 | Zip<br>430    |                          |                                     | USA   |
| NAME OF SECOND INVEN  | TOR:  |                                     |                   |               |                          |                                     | for this unsigned inventor  |
| Given Name (first and middle<br>Donald  | e (if any])                                       | -                                   | :                 | - i.          | Family Na<br>Gronachar   | ime or Surna                        | me  |
| Inventor's Signature  | ronad   | ~                                   |                   | P             | 110HACHAL                | <u> </u>                            | Date 7/20/05  |
| Residence: City   | State   |                                     | :                 | Country       |                          | Citizo                              | onchip  |
| Holtsville<br>Mailing Address   | New York  |                                     |                   | USA           |                          | USA.                                |   |
| 4 Spiral Road   |   |                                     |                   |               |                          |                                     |   |
| City  | State   |                                     |                   | Zip           |                          | Cour                                | ntry  |
| Holtsville  | New York  |                                     | :                 | 1174          | 12                       | USA                                 |   |
| Additional Inventors or a leg   | al representativa are be                          | ang named on the                    |                   | latnometyqu   | shaet(s) PTO             | 18B/02A of 02L                      | R elisched necelo.  |

[Page 2 of 2]

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|--|---|-----------------------|------------------------------|--|--|--|--|--|
| APPLICATION NUMBER   | FILING OR 371(C) DATE   | FIRST NAMED APPLICANT | ATTY. DOCKET NO./TITLE       |  |  |  |  |  |
| 13/096,587   | 04/28/2011  | Kevin Ferguson        | FER1947-002H                 |  |  |  |  |  |
|  |   |                       | <b>CONFIRMATION NO. 4852</b> |  |  |  |  |  |
| 8698   |   | FORMALI               | TIES LETTER                  |  |  |  |  |  |
| STANDLEY LAW GROUF<br>6300 Riverside Drive<br>Dublin, OH 43017 | PLLP  |                       | CC000000047579068*           |  |  |  |  |  |
|  |   |                       | Date Mailed: 05/11/2011      |  |  |  |  |  |

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

### FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

### Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing.

Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

• Additional claim fees of \$104 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

### SUMMARY OF FEES DUE:

Total fee(s) required within TWO MONTHS from the date of this Notice is \$104 for a small entity

- Total additional claim fee(s) for this application is \$104
  - \$104 for 64 total claims over 20.

Replies should be mailed to:

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

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page 2 of 2

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|--|--|-----------------------|---|--|--|--|--|
| APPLICATION NUMBER   | FILING OR 371(C) DATE  | FIRST NAMED APPLICANT | ATTY. DOCKET NO./TITLE                  |  |  |  |  |
| 13/096,587   | 04/28/2011   | Kevin Ferguson        | FER1947-002H                            |  |  |  |  |
| 8698<br>STANDLEY LAW GROUP<br>6300 Riverside Drive<br>Dublin, OH 43017 | LLP  |                       | CONFIRMATION NO. 4852<br>EPTANCE LETTER |  |  |  |  |
|  |  |                       | Date Mailed: 05/11/2011                 |  |  |  |  |

### NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 04/28/2011.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

/yhailu/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

| APPLICATION FLING or GRP ART           |  |  |   |   |  |  |  |  |
|--|--|--|---|---|--|--|--|--|
| FILING or<br>371(c) DATE               | GRP ART<br>UNIT  | FIL FEE REC'D  | ATTY.DOCKET.NO  | TOT CLAIMS  | IND CLAIMS   |  |  |  |
| 04/28/2011                             | 2629   | 2352   | FER1947-002H  | 84  | 6  |  |  |  |
|  |  |  | CONF  | IRMATION  | NO. 4852   |  |  |  |
|  |  |  | FILING RECEIF   | рт  |  |  |  |  |
| STANDLEY LAW GROUP LLP                 |  |  |   |   |  |  |  |  |
| 6300 Riverside Drive                   |  |  |   |   |  |  |  |  |
| 6300 Riverside Drive CC00000047579067* |  |  |   |   |  |  |  |  |
|  | FILING or<br>371(c) DATE<br>04/28/2011<br>AW GROUP LL<br>Drive | FILING or<br>371(c) DATE<br>04/28/2011<br>GRP ART<br>UNIT<br>04/28/2011<br>2629<br>AW GROUP LLP<br>Drive | FILING or<br>371(c) DATE<br>04/28/2011<br>GRP ART<br>UNIT<br>FIL FEE REC'D<br>2352<br>AW GROUP LLP<br>Drive | UNITED STATES DEP/<br>United States Patent a<br>Addess: COMMISSIONER I<br>PC. Box 1450<br>Akeandra, Virginia 22<br>www.uspto.gov<br>FILING or<br>371(c) DATE UNIT FIL FEE REC'D ATTY.DOCKET.NO<br>04/28/2011 2629 2352 FER1947-002H<br>CONF<br>FILING RECEIF<br>AW GROUP LLP<br>Drive | UNITED STATES DEPARTMENT OF CO<br>United States Patent and Trademark (<br>Address: COMMISSIONER FOR PATENTS<br>PO. Box 1450<br>Awandra, Virginia 22313-1450<br>www.uspto.gov<br>FILING or<br>371(c) DATE UNIT FIL FEE REC'D ATTY.DOCKET.NO TOT CLAIMS<br>04/28/2011 2629 2352 FER1947-002H 84<br>CONFIRMATION<br>FILING RECEIPT<br>AW GROUP LLP<br>Drive |  |  |  |

Date Mailed: 05/11/2011

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

### Applicant(s)

Kevin Ferguson, Dublin, OH; Donald Gronachan, Holtsville, NY;

### Assignment For Published Patent Application

MOTIVA LLC, Dublin, OH **Power of Attorney:** The patent practitioners associated with Customer Number <u>08698</u>

### Domestic Priority data as claimed by applicant

This application is a CON of 12/371,711 02/16/2009 PAT 7,952,483 which is a CON of 11/935,578 11/06/2007 PAT 7,492,268 which is a CON of 11/187,373 07/22/2005 PAT 7,292,151 which claims benefit of 60/592,092 07/29/2004

**Foreign Applications** (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <u>http://www.uspto.gov</u> for more information.)

### If Required, Foreign Filing License Granted: 05/09/2011

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 13/096,587** 

Projected Publication Date: 08/18/2011

Non-Publication Request: No

Early Publication Request: No \*\* SMALL ENTITY \*\*

### HUMAN MOVEMENT MEASUREMENT SYSTEM

### **Preliminary Class**

345

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Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

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For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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Title

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|---|---|---|---|--|--|
|   | FOR EXTENSION OF TIME UNDER<br>FY 2009  | JT UFR 1.130(a)   | FER1947-002H  |  |  |
| (Fees   | pursuant to the Consolidated Appropriations Act,  | 2005 (H.R. 4818).)  | FER1947-002H  |  |  |
| Application   | Number 13096587   |   | Filed 28-APR-2011   |  |  |
| For A HI  | JMAN MOVEMENT MEASUREMENT   | SYSTEM  | -   |  |  |
| Art Unit 3  | 714   |   | Examiner  |  |  |
| This is a rec<br>application.   | quest under the provisions of 37 CFR 1.13   | 6(a) to extend the perio  | od for filing a reply in the  | e above identified   |  |
| The request   | ed extension and fee are as follows (cheo   | k time period desired a   | and enter the appropriate   | e fee below):  |  |
|   |   | Fee   | Small Entity Fee  | CE.  |  |
| L   | One month (37 CFR 1.17(a)(1))   | \$130   | \$65  | <u>\$</u> 65   |  |
|   | Two months (37 CFR 1.17(a)(2))  | \$490   | \$245   | \$   |  |
|   | Three months (37 CFR 1.17(a)(3))  | \$1110  | \$555   | \$   |  |
|   | Four months (37 CFR 1.17(a)(4))   | \$1730  | \$865   | \$   |  |
|   | Five months (37 CFR 1.17(a)(5))   | \$2350  | \$1175  | \$   |  |
| Applica   | nt claims small entity status. See 37 CFR   | 1.27.   |   |  |  |
| A chec  | k in the amount of the fee is enclosed  | I.  |   |  |  |
| Payme   | ent by credit card. Form PTO-2038 is a  | attached.   |   |  |  |
| The Di  | rector has already been authorized to   | charge fees in this a   | application to a Depos  | it Account.  |  |
|   | rector is hereby authorized to charge it Account Number <u>19-4076</u>  | any fees which may  | be required, or credit  | any overpayment, to  |  |
|   | NG: Information on this form may become p<br>credit card information and authorization o  |   | nation should not be inclu  | uded on this form.   |  |
| I am the  | applicant/inventor.   |   |   |  |  |
|   | assignee of record of the entir<br>Statement under 37 CFR 3   |   |   |  |  |
|   | attorney or agent of record. R  |   | •   |  |  |
|   | attorney or agent under 37 CF<br>Registration number if acting und  | R 1.34.   |   |  |  |
| /James  | s L. Kwak/  |   | July 21, 2011   |  |  |
|   | Signature   |   | [   | Date   |  |
| James L. Kwak   |   |   | (614) 792-555   | 5  |  |
|   | Typed or printed name   |   | Telepho   | one Number   |  |
|   | res of all the inventors or assignees of record of the e<br>juired, see below.  | ntire interest or their represer  | tative(s) are required. Submit i  | multiple forms if more than one  |  |
| 🗹 Total   |   | re submitted.   |   |  |  |
| USPTO to proceed<br>complete, includi<br>comments on the<br>U.S. Patent and | information is required by 37 CFR 1.136(a). The infor<br>ss) an application. Confidentiality is governed by 35 L<br>ng gathering, preparing, and submitting the complete<br>a amount of time you require to complete this form and<br>Trademark Office, U.S. Department of Commerce, P.G<br>S ADDRESS. <b>SEND TO: Commissioner for Pat</b> | J.S.C. 122 and 37 CFR 1.11 a<br>d application form to the USP<br>l/or suggestions for reducing<br>D. Box 1450, Alexandria, VA | and 1.14. This collection is esti<br>FO. Time will vary depending of<br>this burden, should be sent to t<br>22313-1450. DO NOT SEND F | imated to take 6 minutes to<br>upon the individual case. Any<br>the Chief Information Officer, |  |

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IPR2019-01134 Sony EX1002 Page 101

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| Electronic Patent Application Fee Transmittal |                                   |          |          |           |                         |  |  |
|---|-----------------------------------|----------|----------|-----------|-------------------------|--|--|
| Application Number:                           | 130                               | 096587   |          |           |                         |  |  |
| Filing Date:                                  | 28-                               | Apr-2011 |          |           |                         |  |  |
| Title of Invention:                           | HUMAN MOVEMENT MEASUREMENT SYSTEM |          |          |           |                         |  |  |
| First Named Inventor/Applicant Name:          | Kevin Ferguson                    |          |          |           |                         |  |  |
| Filer:  | James Lee Kwak/Kimberly Hefner    |          |          |           |                         |  |  |
| Attorney Docket Number:                       | FER1947-002H                      |          |          |           |                         |  |  |
| Filed as Small Entity                         |                                   |          |          |           |                         |  |  |
| Utility under 35 USC 111(a) Filing Fees       |                                   |          |          |           |                         |  |  |
| Description                                   |                                   | Fee Code | Quantity | Amount    | Sub-Total in<br>USD(\$) |  |  |
| Basic Filing:                                 |                                   |          |          |           |                         |  |  |
| Pages:  |                                   |          |          |           |                         |  |  |
| Claims:                                       |                                   |          |          |           |                         |  |  |
| Claims in excess of 20                        |                                   | 2202     | 4        | 26        | 104                     |  |  |
| Miscellaneous-Filing:                         |                                   |          |          |           |                         |  |  |
| Petition:                                     |                                   |          |          |           |                         |  |  |
| Patent-Appeals-and-Interference:              |                                   |          |          |           |                         |  |  |
| Post-Allowance-and-Post-Issuance:             |                                   |          |          |           |                         |  |  |
| Extension-of-Time:                            |                                   |          |          | IPR2019   |                         |  |  |
|   |                                   |          | Sony     | EX1002 Pa | ge 103                  |  |  |

| Fee Code | Quantity | Amount | Sub-Total in<br>USD(\$) |
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| 2251     | 1        | 65     | 65                      |
|          |          |        |                         |
| Tot      | 169      |        |                         |
|          | 2251     | 2251 1 |                         |

| Electronic A                         | cknowledgement Receipt            |  |  |  |
|--------------------------------------|-----------------------------------|--|--|--|
| EFS ID:                              | 10571445                          |  |  |  |
| Application Number:                  | 13096587                          |  |  |  |
| International Application Number:    |                                   |  |  |  |
| Confirmation Number:                 | 4852                              |  |  |  |
| Title of Invention:                  | HUMAN MOVEMENT MEASUREMENT SYSTEM |  |  |  |
| First Named Inventor/Applicant Name: | Kevin Ferguson                    |  |  |  |
| Customer Number:                     | 08698                             |  |  |  |
| Filer:                               | James Lee Kwak/Kimberly Hefner    |  |  |  |
| Filer Authorized By:                 | James Lee Kwak                    |  |  |  |
| Attorney Docket Number:              | FER1947-002H                      |  |  |  |
| Receipt Date:                        | 21-JUL-2011                       |  |  |  |
| Filing Date:                         | 28-APR-2011                       |  |  |  |
| Time Stamp:                          | 16:27:20                          |  |  |  |
| Application Type:                    | Utility under 35 USC 111(a)       |  |  |  |

# Payment information:

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| 2           | Fee Worksheet (SB06) | fee-info.pdf                | 32351  | no | 2 |
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| ·           |                      |                             | a7381d05a51b30fdc3194482287e99b8ff94<br>3481 |    |   |
| 1           | Extension of Time    | 20110721 Extension.pdf      | 312288                                       | no | 2 |

### New Applications Under 35 U.S.C. 111

Post Card, as described in MPEP 503.

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

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|  | <u>United State</u>      | <u>es Patent</u> | and Tradem    | UNITED STATES DEP<br>United States Patent a<br>Address: COMMISSIONER<br>P.O. Box 1450<br>Alexandria, Vrignia 22<br>www.uspto.gov | and Trademark C<br>FOR PATENTS |            |  |  |
|--|--------------------------|------------------|---------------|--|--------------------------------|------------|--|--|
| APPLICATION<br>NUMBER  | FILING or<br>371(c) DATE | GRP ART<br>UNIT  | FIL FEE REC'D | ATTY.DOCKET.NO   | TOT CLAIMS                     | IND CLAIMS |  |  |
| 13/096,587   | 04/28/2011               | 3714             | 2456          | FER1947-002H   | 84                             | 6          |  |  |
|  | CONFIRMATION NO. 4852    |                  |               |  |                                |            |  |  |
| 8698   |                          |                  |               | UPDATED FILI   | NG RECEIF                      | ۲v         |  |  |
| STANDLEY LAW GROUP LLP<br>6300 Riverside Drive<br>Dublin, OH 43017 |                          |                  |               | 000049024060   |                                |            |  |  |

Date Mailed: 08/01/2011

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

### Applicant(s)

Kevin Ferguson, Dublin, OH; Donald Gronachan, Holtsville, NY;

### Assignment For Published Patent Application

MOTIVA LLC, Dublin, OH **Power of Attorney:** The patent practitioners associated with Customer Number <u>08698</u>

### Domestic Priority data as claimed by applicant

This application is a CON of 12/371,711 02/16/2009 PAT 7,952,483 which is a CON of 11/935,578 11/06/2007 PAT 7,492,268 which is a CON of 11/187,373 07/22/2005 PAT 7,292,151 which claims benefit of 60/592,092 07/29/2004

**Foreign Applications** (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <u>http://www.uspto.gov</u> for more information.)

### If Required, Foreign Filing License Granted: 05/09/2011

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 13/096,587** 

Projected Publication Date: 08/18/2011

Non-Publication Request: No

Early Publication Request: No \*\* SMALL ENTITY \*\*

IPR2019-01134 Sony EX1002 Page 108
#### HUMAN MOVEMENT MEASUREMENT SYSTEM

#### **Preliminary Class**

463

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IPR2019-01134 Sony EX1002 Page 109

Title

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| United St  | ates Patent and Tradema | UNITED STA'<br>United States<br>Address: COMMIS<br>P.O. Box I | a, Virginia 22313-1450       |
|--|-------------------------|---|------------------------------|
| APPLICATION NUMBER   | FILING OR 371(C) DATE   | FIRST NAMED APPLICANT   | ATTY. DOCKET NO./TITLE       |
| 13/096,587   | 04/28/2011              | Kevin Ferguson  | FER1947-002H                 |
|  |                         |   | <b>CONFIRMATION NO. 4852</b> |
| 8698   |                         | PUBLICAT  | FION NOTICE                  |
| STANDLEY LAW GROUF<br>6300 Riverside Drive<br>Dublin, OH 43017 | PLLP                    |   | CC000000049394681*           |

Title:HUMAN MOVEMENT MEASUREMENT SYSTEM

Publication No.US-2011-0201428-A1 Publication Date:08/18/2011

# NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publically available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently http://www.uspto.gov/patft/.

The publication process established by the Office does not provide for mailing a copy of the publication to applicant. A copy of the publication may be obtained from the Office upon payment of the appropriate fee set forth in 37 CFR 1.19(a)(1). Orders for copies of patent application publications are handled by the USPTO's Office of Public Records. The Office of Public Records can be reached by telephone at (703) 308-9726 or (800) 972-6382, by facsimile at (703) 305-8759, by mail addressed to the United States Patent and Trademark Office, Office of Public Records, Alexandria, VA 22313-1450 or via the Internet.

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Further assistance in electronically accessing the publication, or about PAIR, is available by calling the Patent Electronic Business Center at 1-866-217-9197.

Office of Data Managment, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

page 1 of 1

IPR2019-01134 Sony EX1002 Page 111 PLUS Search Results for S/N 13096587, Searched Thu Oct 06 09:12:27 EDT 2011 The Patent Linguistics Utility System (PLUS) is a USPTO automated search system for U.S. Patents from 1971 to the present PLUS is a query-by-example search system which produces a list of patents that are most closely related linguistically to the application searched. This search was prepared by the staff of the Scientific and Technical Information Center, SIRA.

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|                 | ED STATES PATENT A   | and Trademark Office | UNITED STATES DEPAR<br>United States Patent and<br>Address: COMMISSIONER F<br>P.O. Box 1450<br>Alexandria, Virginia 22:<br>www.uspto.gov | FOR PATENTS      |
|-----------------|--|----------------------|--|------------------|
| APPLICATION NO. | FILING DATE  | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.  | CONFIRMATION NO. |
| 13/096,587      | 04/28/2011   | Kevin Ferguson       | FER1947-002H   | 4852             |
| STANDLEY L      | 8698 7590 10/13/2011<br>STANDLEY LAW GROUP LLP<br>6300 Riverside Drive |                      | EXAM<br>Pham, to   |                  |
| Dublin, OH 430  | 017  |                      | ART UNIT   | PAPER NUMBER     |
|                 |  |                      | 2612   |                  |
|                 |  |                      |  |                  |
|                 |  |                      | MAIL DATE  | DELIVERY MODE    |
|                 |  |                      | 10/13/2011   | PAPER            |

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

|   | Application No.  | Applicant(s)  |
|---|--|---|
|   | 13/096,587   | FERGUSON ET AL.   |
| Office Action Summary   | Examiner   | Art Unit  |
|   | TOAN N. PHAM   | 2612  |
| The MAILING DATE of this communication app<br>Period for Reply  |  | correspondence address  |
| <ul> <li>A SHORTENED STATUTORY PERIOD FOR REPLY</li> <li>WHICHEVER IS LONGER, FROM THE MAILING D/</li> <li>Extensions of time may be available under the provisions of 37 CFR 1.13<br/>after SIX (6) MONTHS from the mailing date of this communication.</li> <li>If NO period for reply is specified above, the maximum statutory period v</li> <li>Failure to reply within the set or extended period for reply will, by statute,<br/>Any reply received by the Office later than three months after the mailing<br/>earned patent term adjustment. See 37 CFR 1.704(b).</li> </ul> | ATE OF THIS COMMUNICATION<br>36(a). In no event, however, may a reply be tir<br>vill apply and will expire SIX (6) MONTHS from<br>cause the application to become ABANDONE | N.<br>nely filed<br>the mailing date of this communication.<br>D (35 U.S.C. § 133). |
| Status  |  |   |
| 1) Responsive to communication(s) filed on  |  |   |
|   | –<br>action is non-final.  |   |
| 3) An election was made by the applicant in respo   | onse to a restriction requirement  | set forth during the interview on   |
| ; the restriction requirement and election  | have been incorporated into this   | s action.   |
| 4) Since this application is in condition for allowar   | nce except for formal matters, pro   | osecution as to the merits is   |
| closed in accordance with the practice under E  | Ex parte Quayle, 1935 C.D. 11, 4   | 53 O.G. 213.  |
| Disposition of Claims   |  |   |
| 5) Claim(s) <u>1-80</u> is/are pending in the application.  |  |   |
| 5a) Of the above claim(s) is/are withdraw   | vn from consideration.   |   |
| 6) Claim(s) is/are allowed.   |  |   |
| 7) Claim(s) <u>1-80</u> is/are rejected.  |  |   |
| 8) Claim(s) is/are objected to.   |  |   |
| 9) Claim(s) are subject to restriction and/or   | r election requirement.  |   |
| Application Papers  |  |   |
| 10) The specification is objected to by the Examine   | r.   |   |
| 11) The drawing(s) filed on is/are: a) acce   | epted or b) objected to by the   | Examiner.   |
| Applicant may not request that any objection to the   | drawing(s) be held in abeyance. Se   | e 37 CFR 1.85(a).   |
| Replacement drawing sheet(s) including the correct  | ion is required if the drawing(s) is ob  | jected to. See 37 CFR 1.121(d).   |
| 12) The oath or declaration is objected to by the Ex  | aminer. Note the attached Office   | Action or form PTO-152.   |
| Priority under 35 U.S.C. § 119  |  |   |
| 13) Acknowledgment is made of a claim for foreign   | priority under 35 U.S.C. § 119(a   | )-(d) or (f).   |
| a) All b) Some * c) None of:  |  |   |
| 1. Certified copies of the priority documents   | s have been received.  |   |
| 2. Certified copies of the priority documents   | s have been received in Applicati  | ion No  |
| 3. Copies of the certified copies of the prior  | •  | ed in this National Stage   |
| application from the International Bureau   |  |   |
| * See the attached detailed Office action for a list  | of the certified copies not receive  | ed.   |
|   |  |   |
| Attachment(s)   |  |   |
| <ul> <li>1) X Notice of References Cited (PTO-892)</li> <li>2) Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> </ul>  | 4) 🔟 Interview Summary<br>Paper No(s)/Mail D   |   |
| 3) Information Disclosure Statement(s) (PTO/SB/08)  | 5) 🔲 Notice of Informal F  |   |
| Paper No(s)/Mail Date U.S. Patent and Trademark Office  | 6) 🛄 Other:  |   |
|   | tion Summary Pa  | art of Paper No./Mail Date 20111007<br>IPR2019-01134                                |

Sony EX1002 Page 114

#### **DETAILED ACTION**

#### Claim Objections

Claims 55-58 are objected to because of the following informalities: There are two sets of claims 55-58 (pages 63-64), one set should be cancelled. Appropriate correction is required.

#### Double Patenting

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to Application/Control Number: 13/096,587 Art Unit: 2612

be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

Claims 1-80 are rejected on the ground of nonstatutory double patenting over claims 1-50 of U. S. Patent No. 7,952,483 since the claims, if allowed, would improperly extend the "right to exclude" already granted in the patent.

The subject matter claimed in the instant application is fully disclosed in the patent and is covered by the patent since the patent and the application are claiming common subject matter, as follows: a first and second hand-held communication device comprising a transmitter for transmitting signals; a receiver for receiving signals; and an output device; a processing system, remote from the first hand-held communication device, and in electrical communication with the array of receiving devices, adapted to wirelessly receive the signals transmitted by the transmitter, to determine movement information for the first hand-held device, and to send data signals to the first hand-held device to provide feedback data to the user; wherein the movement information of the first hand-held device controls the movement of at least one object in a computer generated virtual environment; and wherein the first hand-held communication devices the received data signals and

IPR2019-01134 Sony EX1002 Page 116 Application/Control Number: 13/096,587 Art Unit: 2612

generate sensory stimuli for the user based on the received data signals and delivered through the output device.

Furthermore, there is no apparent reason why applicant was prevented from presenting claims corresponding to those of the instant application during prosecution of the application which matured into a patent. See *In re Schneller*, 397 F.2d 350, 158 USPQ 210 (CCPA 1968). See also MPEP § 804.

### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to TOAN N. PHAM whose telephone number is (571)272-2967. The examiner can normally be reached on M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Benjamin C. Lee can be reached on (571) 272-2963. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 13/096,587 Art Unit: 2612

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/TOAN N PHAM/ Primary Examiner, Art Unit 2612 10/8/11

IPR2019-01134 Sony EX1002 Page 118

| Notice of References Cited | Application/Control No. 13/096,587 | Applicant(s)/Patent Under<br>Reexamination<br>FERGUSON ET AL. |               |
|----------------------------|------------------------------------|---|---------------|
| Notice of Helefences Cited | Examiner                           | Art Unit  | Devis di ef d |
|                            | TOAN N. PHAM                       | 2612  | Page 1 of 1   |
|                            |                                    |   |               |

#### **U.S. PATENT DOCUMENTS**

| * |   | Document Number               | Date    | Name            | Classification |
|---|---|-------------------------------|---------|-----------------|----------------|
|   |   | Country Code-Number-Kind Code | MM-YYYY | Name            | Classification |
| * | А | US-7,952,483                  | 05-2011 | Ferguson et al. | 340/573.1      |
|   | В | US-                           |         |                 |                |
|   | С | US-                           |         |                 |                |
|   | D | US-                           |         |                 |                |
|   | Е | US-                           |         |                 |                |
|   | F | US-                           |         |                 |                |
|   | G | US-                           |         |                 |                |
|   | н | US-                           |         |                 |                |
|   | Ι | US-                           |         |                 |                |
|   | J | US-                           |         |                 |                |
|   | к | US-                           |         |                 |                |
|   | L | US-                           |         |                 |                |
|   | М | US-                           |         |                 |                |

#### FOREIGN PATENT DOCUMENTS

| * |   | Document Number<br>Country Code-Number-Kind Code | Date<br>MM-YYYY | Country | Name | Classification |
|---|---|--|-----------------|---------|------|----------------|
|   | N |  |                 |         |      |                |
|   | 0 |  |                 |         |      |                |
|   | Р |  |                 |         |      |                |
|   | Q |  |                 |         |      |                |
|   | R |  |                 |         |      |                |
|   | s |  |                 |         |      |                |
|   | т |  |                 |         |      |                |

#### NON-PATENT DOCUMENTS

| * |   | Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) |
|---|---|---|
|   | U |   |
|   | v |   |
|   | w |   |
|   | x |   |

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

Part of Paper No. 20111007

# IPR2019-01134 Sony EX1002 Page 119

|              | Application/Control No. | Applicant(s)/Patent Under<br>Reexamination |
|--------------|-------------------------|--|
| Search Notes | 13096587                | FERGUSON ET AL.                            |
|              | Examiner                | Art Unit                                   |
|              | TOAN N PHAM             | 2612                                       |

| SEARCHED |  |          |          |
|----------|--|----------|----------|
| Class    | Subclass   | Date     | Examiner |
| 340      | 573.1, 573.4, 539.12, 539.13, 539.22, 407.1, 825.36, 6.1, 5.61, 825.72 | 10/5/11  | TNP      |
| 381      | 315  | 10/5/11  | TNP      |
| 434      | 112, 114   | 10/5/11  | TNP      |
| 463      | 36, 39, 7, 35, 42  | 10/5/11  | TNP      |
| 345      | 161  | 10/6/115 | TNP      |

| SEARCH NOTES |          |          |
|--------------|----------|----------|
| Search Notes | Date     | Examiner |
| EAST         | 10/5/11  | TNP      |
| PLUS SEARCH  | 10/6/115 | TNP      |

| INTERFERENCE SEARCH |              |          |          |          |
|---------------------|--------------|----------|----------|----------|
| Class               |              | Subclass | Date     | Examiner |
| 340                 | 573.1, 407.1 |          | 10/5/11  | TNP      |
| 434                 | 114          |          | 10/6/115 | TNP      |

Sony EX1002 Page 120



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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

# **BIB DATA SHEET**

## **CONFIRMATION NO. 4852**

| SERIAL NUMBE<br>13/096,587   | R FILING O<br>DAT                  | Έ Ì              |             | <b>CLASS</b><br>340 | GRO | <b>DUP ART</b><br>2612 | UNIT          | ATTORNEY DOCKET<br>NO.<br>FER1947-002H |                       |  |
|--|------------------------------------|------------------|-------------|---------------------|-----|------------------------|---------------|--|-----------------------|--|
|  | RUL                                |                  |             |                     |     |                        |               |  |                       |  |
| APPLICANTS<br>Kevin Ferguson, Dublin, OH;<br>Donald Gronachan, Holtsville, NY; |                                    |                  |             |                     |     |                        |               |  |                       |  |
| ** <b>CONTINUING DATA</b> ***********************************                  |                                    |                  |             |                     |     |                        |               |  |                       |  |
| ** FOREIGN APPLICATIONS ************************************                   |                                    |                  |             |                     |     |                        |               |  |                       |  |
| Foreign Priority claimed<br>35 USC 119(a-d) conditio                           | No Yes 🗹 No                        | Met af<br>Allowa | ter<br>ance | STATE OR<br>COUNTRY | -   | IEETS<br>WINGS         | TOT.<br>CLAII |  | INDEPENDENT<br>CLAIMS |  |
| Verified and /TO/<br>Acknowledged Exa  | AN NGOC PHAM/<br>miner's Signature | Initials         |             | ОН                  |     | 10                     | 84            |  | 6                     |  |
| ADDRESS<br>STANDLEY<br>6300 Rivers<br>Dublin, OH<br>UNITED ST                  | 43017                              | _P               |             |                     |     |                        |               |  |                       |  |
| TITLE  |                                    |                  |             |                     |     |                        |               |  |                       |  |
| HUMAN MC   | VEMENT MEAS                        | UREMENT          | r syst      | EM                  |     |                        |               |  |                       |  |
|  | ES: Authority has                  | •                |             |                     |     | All Fe                 | Fees (Fil     | 0,                                     | ing Ext. of time)     |  |
|  | o to<br>o fo                       | -                |             | FUSIT ACCOU         |     | 🖵 1.18 F               |               |  |                       |  |
|  |                                    |                  |             |                     |     | Other                  | _             |  |                       |  |
|  |                                    |                  |             |                     |     | Credit                 | t             |  |                       |  |

## EAST Search History

# EAST Search History (Prior Art)

| Ref<br># | Hits | Search Query   | DBs  | Default<br>Operator | Plurals | Time<br>Stamp       |
|----------|------|--|--|---------------------|---------|---------------------|
| L1       | 92   | ("5682135" "7292151" "7492268"<br>"7499985" "7952483" "20050282588"<br>"20060022833" "20060136997"<br>"20080018472" "20080061949"<br>"20090149257" "20110201428" "6847296"<br>"20030076239" "4004460" "4860016"<br>"4897661" "5206626" "5223818" "5273532"<br>"5288291" "5300079" "5305002" "5319354"<br>"5370611" "5406262" "5477225" "5510795"<br>"5584261" "5602538" "5623413" "5671786"<br>"5708423" "5739774" "5794172" "5853327"<br>"5857434" "5890520" "5924653" "5929760"<br>"5936574" "5947423" "6026868" "6049280"<br>"6049279" "6070156" "6073840" "6098879"<br>"6145225" "6154735" ).pn. | US-<br>PGPUB;<br>USPAT;<br>FPRS;<br>EPO; JPO;<br>DERWENT | OR                  | OFF     | 2011/10/06<br>13:48 |
| S1       | 4    | ("7492268" "7292151").pn.  | US-<br>PGPUB;<br>USPAT;<br>FPRS;<br>EPO; JPO;<br>DERWENT | OR                  | OFF     | 2011/10/05<br>13:23 |
| S2       | 2    | ("7952483").pn.  | US-<br>PGPUB;<br>USPAT;<br>FPRS;<br>EPO; JPO;<br>DERWENT | OR                  | OFF     | 2011/10/05<br>13:32 |
| 53       | 727  | video with game with wireless with control   | US-<br>PGPUB;<br>USPAT;<br>FPRS;<br>EPO; JPO;<br>DERWENT | OR                  | OFF     | 2011/10/05<br>14:23 |
| S4       | 94   | S3 and transmitter and receiver and movement   | US-<br>PGPUB;<br>USPAT;<br>FPRS;<br>EPO; JPO;<br>DERWENT | OR                  | OFF     | 2011/10/05<br>14:24 |
| S5       | 25   | S4 and (determine\$2 detect\$) and<br>process\$4 and position and (hand adj2<br>held)  | US-<br>PGPUB;<br>USPAT;<br>FPRS;<br>EPO; JPO;<br>DERWENT | OR                  | OFF     | 2011/10/05<br>14:25 |
| S6       | 18   | 340/573.1.ccls. and game and controller<br>and wireless and (motion movement) and<br>(hand adj2 held)  | US-<br>PGPUB;<br>USPAT;<br>FPRS;<br>EPO; JPO;<br>DERWENT | OR                  | OFF     | 2011/10/05<br>17:07 |

IPR2019-01134

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| S7  | 12 | 340/573.1.ccls. and game and controller   | US-  | OR | OFF | 2011/10/05          |
|-----|----|---|--|----|-----|---------------------|
|     |    | and wireless and (motion movement) and<br>(hand adj2 held) and transmitter and<br>receiver and feedback   | PGPUB;<br>USPAT;<br>FPRS;<br>EPO; JPO;<br>DERWENT        |    |     | 17:12               |
| S8  | 1  | 340/573.4.ccls. and game and controller<br>and wireless and (motion movement) and<br>(hand adj2 held) and transmitter and<br>receiver and feedback  | US-<br>PGPUB;<br>USPAT;<br>FPRS;<br>EPO; JPO;<br>DERWENT | OR | OFF | 2011/10/05<br>17:13 |
| S9  | 4  | 340/539.12.ccls. and game and controller<br>and wireless and (motion movement) and<br>(hand adj2 held) and transmitter and<br>receiver and feedback | US-<br>PGPUB;<br>USPAT;<br>FPRS;<br>EPO; JPO;<br>DERWENT | OR | OFF | 2011/10/05<br>17:13 |
| S10 | 5  | 340/539.13.ccls. and game and controller<br>and wireless and (motion movement) and<br>(hand adj2 held) and transmitter and<br>receiver and feedback | US-<br>PGPUB;<br>USPAT;<br>FPRS;<br>EPO; JPO;<br>DERWENT | OR | OFF | 2011/10/05<br>17:13 |
| S11 | 2  | 340/539.22.ccls. and game and controller<br>and wireless and (motion movement) and<br>(hand adj2 held) and transmitter and<br>receiver and feedback | US-<br>PGPUB;<br>USPAT;<br>FPRS;<br>EPO; JPO;<br>DERWENT | OR | OFF | 2011/10/05<br>17:14 |
| S12 | 0  | 340/407ccls. and game and controller and<br>wireless and (motion movement) and (hand<br>adj2 held) and transmitter and receiver and<br>feedback     | PGPUB;   | OR | OFF | 2011/10/05<br>17:15 |
| S13 | 4  | 340/407.1.ccls. and game and controller<br>and wireless and (motion movement) and<br>(hand adj2 held) and transmitter and<br>receiver and feedback  | US-<br>PGPUB;<br>USPAT;<br>FPRS;<br>EPO; JPO;<br>DERWENT | OR | OFF | 2011/10/05<br>17:15 |
| S14 | 0  | 340/6.1.ccls. and game and controller and<br>wireless and (motion movement) and (hand<br>adj2 held) and transmitter and receiver and<br>feedback    |  | OR | OFF | 2011/10/05<br>17:15 |
| S15 | 0  | 340/825.36.ccls. and game and controller<br>and wireless and (motion movement) and<br>(hand adj2 held) and transmitter and<br>receiver and feedback | US-<br>PGPUB;<br>USPAT;<br>FPRS;<br>EPO; JPO;<br>DERWENT | OR | OFF | 2011/10/05<br>17:15 |
| S16 | 1  | 340/5.61.ccls. and game and controller and<br>wireless and (motion movement) and (hand<br>adj2 held) and transmitter and receiver and<br>feedback   |  | OR | OFF | 2011/10/05<br>17:16 |

IPR2019-01134

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| S17  | 0   | 340/825.72.ccls. and game and controller<br>and wireless and (motion movement) and<br>(hand adj2 held) and transmitter and<br>receiver and feedback | US-<br>PGPUB;<br>USPAT;<br>FPRS;<br>EPO; JPO;<br>DERWENT | OR | OFF | 2011/10/05<br>17:16 |
|------|-----|---|--|----|-----|---------------------|
| S18  | 0   | 381/315.ccls. and game and controller and<br>wireless and (motion movement) and (hand<br>adj2 held) and transmitter and receiver and<br>feedback    | US-<br>PGPUB;<br>USPAT;<br>FPRS;<br>EPO; JPO;<br>DERWENT | OR | OFF | 2011/10/05<br>17:16 |
| S19  | 1   | 434/112.ccls. and game and controller and<br>wireless and (motion movement) and (hand<br>adj2 held) and transmitter and receiver and<br>feedback    | US-<br>PGPUB;<br>USPAT;<br>FPRS;<br>EPO; JPO;<br>DERWENT | OR | OFF | 2011/10/05<br>17:16 |
| S20  | 2   | 434/114.ccls. and game and controller and<br>wireless and (motion movement) and (hand<br>adj2 held) and transmitter and receiver and<br>feedback    |  | OR | OFF | 2011/10/05<br>17:16 |
| S21  | 14  | 463/36.ccls. and game and controller and<br>wireless and (motion movement) and (hand<br>adj2 held) and transmitter and receiver and<br>feedback     | US-<br>PGPUB;<br>USPAT;<br>FPRS;<br>EPO; JPO;<br>DERWENT | OR | OFF | 2011/10/05<br>17:17 |
| S22  | 0   |   | US-<br>PGPUB;<br>USPAT;<br>FPRS;<br>EPO; JPO;<br>DERWENT | OR | OFF | 2011/10/05<br>17:18 |
| \$23 | 822 | game and controller and wireless and<br>(motion movement) and (hand adj2 held)<br>and transmitter and receiver and feedback                         | US-<br>PGPUB;<br>USPAT;<br>FPRS;<br>EPO; JPO;<br>DERWENT | OR | OFF | 2011/10/05<br>19:43 |
| S24  | 423 | S23 and virtual and environment   | US-<br>PGPUB;<br>USPAT;<br>FPRS;<br>EPO; JPO;<br>DERWENT | OR | OFF | 2011/10/05<br>19:44 |
| \$25 | 369 | S24 and computer and generated and data<br>and signals  | US-<br>PGPUB;<br>USPAT;<br>FPRS;<br>EPO; JPO;<br>DERWENT | OR | OFF | 2011/10/05<br>19:44 |
| S26  | 164 | S25 and array and receivers   | US-<br>PGPUB;<br>USPAT;<br>FPRS;<br>EPO; JPO;<br>DERWENT | OR | OFF | 2011/10/05<br>19:45 |

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| S27             | 10 | S26 and (controls adj3 movement)  | US-<br>PGPUB;<br>USPAT;<br>FPRS;<br>EPO; JPO;<br>DERWENT | OR | OFF | 2011/10/05<br>19:45 |
|-----------------|----|---|--|----|-----|---------------------|
| S28             | 28 | video with game with interactive with<br>movement with control\$3   | US-<br>PGPUB;<br>USPAT;<br>FPRS;<br>EPO; JPO;<br>DERWENT | OR | ON  | 2011/10/05<br>19:48 |
| S29             | 10 | 463/39.ccls. and game and controller and<br>wireless and (motion movement) and (hand<br>adj2 held) and transmitter and receiver and<br>feedback |  | OR | OFF | 2011/10/05<br>19:51 |
| S30             | 1  | 463/7.ccls. and game and controller and<br>wireless and (motion movement) and (hand<br>adj2 held) and transmitter and receiver and<br>feedback  |  | OR | OFF | 2011/10/05<br>19:52 |
| <del>S</del> 31 | 2  | 463/35.ccls. and game and controller and<br>wireless and (motion movement) and (hand<br>adj2 held) and transmitter and receiver and<br>feedback |  | OR | OFF | 2011/10/05<br>19:52 |
| <del>S</del> 32 | 13 | 463/42.ccls. and game and controller and<br>wireless and (motion movement) and (hand<br>adj2 held) and transmitter and receiver and<br>feedback |  | OR | OFF | 2011/10/05<br>19:52 |
| 533             | 6  | virtual with interactive with wireless with controller  | US-<br>PGPUB;<br>USPAT;<br>FPRS;<br>EPO; JPO;<br>DERWENT | OR | OFF | 2011/10/05<br>20:02 |
| <u>S</u> 34     | 3  | interactive with wireless with controller with<br>movement  | US-<br>PGPUB;<br>USPAT;<br>FPRS;<br>EPO; JPO;<br>DERWENT | OR | OFF | 2011/10/05<br>20:22 |

## 10/6/2011 2:19:02 PM

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| Index of Claims |          |           |                       |                    |           | Application/Control No. |          |                |      | R      | Applicant(s)/Patent Under<br>Reexamination |   |          |    |        |
|-----------------|----------|-----------|-----------------------|--------------------|-----------|-------------------------|----------|----------------|------|--------|--|---|----------|----|--------|
|                 | Ina      | lex of C  | Jaim                  | 1S                 |           | 13096587                |          |                |      |        | FERGUSON ET AL.                            |   |          |    |        |
|                 |          |           |                       |                    | Examiner  |                         |          |                | A    | rt Uni | it   |   |          |    |        |
|                 |          |           |                       |                    |           | TOAN N PH               | IAM      |                |      | 26     | 612  |   |          |    |        |
| ✓ Rejected -    |          |           | С                     | Cancelled N Non-El |           |                         | ect      | ected A Appeal |      |        | peal                                       |   |          |    |        |
| = Allowed ÷     |          |           |                       | R                  | estricted |                         | Ι        | Interfe        | eren | ce     |  | 0 | Objected |    |        |
|                 | Claims r | enumbered | in the s              | ame o              | rder as   | presented by            | applic   | ant            |      |        | PA   |   | ] T.C    | ). | R.1.47 |
|                 | CLA      | IM        |                       |                    |           |                         |          |                | DATE |        |  |   |          |    |        |
| Fi              | nal      | Original  | 10/08/2               | 2011               |           |                         |          |                |      |        |  |   |          |    |        |
|                 |          | 1         | ~                     |                    |           |                         |          |                |      |        |  |   |          |    |        |
|                 |          | 2         | ✓                     |                    |           |                         |          |                |      |        |  |   |          |    |        |
| <u> </u>        |          | 3         | ✓                     |                    |           |                         | <u> </u> |                |      |        |  |   |          |    |        |
|                 |          | 4         | ✓<br>✓                |                    |           |                         |          |                |      |        |  |   |          |    |        |
|                 |          | 5         | ✓<br>✓                |                    |           |                         |          |                |      |        |  |   |          |    |        |
|                 |          | 6<br>7    | v<br>√                |                    |           |                         |          |                |      |        |  |   |          |    |        |
|                 |          | 8         | · ·                   |                    |           |                         |          |                |      |        |  |   |          |    |        |
|                 |          | 9         | ✓                     |                    |           |                         |          |                |      |        |  |   |          |    |        |
|                 |          | 10        | ✓                     |                    |           |                         |          |                |      |        |  |   |          |    |        |
|                 |          | 11        | √                     |                    |           |                         |          |                |      |        |  |   |          |    |        |
|                 |          | 12        | √                     |                    |           |                         |          |                |      |        |  |   |          |    |        |
|                 |          | 13        | ✓                     |                    |           |                         |          |                |      |        |  |   |          |    |        |
|                 |          | 14        | <ul> <li>✓</li> </ul> |                    |           |                         |          |                |      |        |  |   |          |    |        |
|                 |          | 15        | ✓                     |                    |           |                         |          |                |      |        |  |   |          |    |        |
|                 |          | 16        | ✓                     |                    |           |                         |          |                |      |        |  |   |          |    |        |
|                 |          | 17        | √<br>√                |                    |           |                         |          |                |      |        |  |   |          |    |        |
|                 |          | 18        | ✓<br>✓                |                    |           |                         |          |                |      |        |  |   |          |    | -      |
|                 |          | 19<br>20  | ✓<br>✓                |                    |           |                         |          |                |      |        |  |   |          |    |        |
|                 |          | 20        | v<br>√                |                    |           |                         |          |                |      |        |  |   |          |    |        |
|                 |          | 21        | · ·                   | -+                 |           |                         |          |                |      |        |  |   |          |    |        |
|                 |          | 23        | ✓                     |                    |           |                         |          |                |      |        |  |   |          |    |        |
| <u> </u>        |          | 24        | ✓                     |                    |           |                         |          |                |      |        |  |   |          |    |        |
|                 |          | 25        | ✓                     |                    |           |                         | 1        |                |      |        |  |   |          |    |        |
|                 |          | 26        | ✓                     |                    |           |                         |          |                |      |        |  |   |          |    |        |
|                 |          | 27        | √                     |                    |           |                         |          |                |      |        |  |   |          |    |        |
|                 |          | 28        | ✓                     |                    |           |                         |          |                |      |        |  |   |          |    |        |
|                 |          | 29        | ✓                     |                    |           |                         |          |                |      |        |  |   |          |    |        |
|                 |          | 30        | ✓                     |                    |           |                         |          |                |      |        |  |   |          |    |        |
|                 |          | 31        | <ul> <li>✓</li> </ul> |                    |           |                         |          |                |      |        |  |   |          |    |        |
|                 |          | 32        | √<br>√                |                    |           |                         |          |                |      |        |  |   |          |    |        |
|                 |          | 33        | ✓<br>✓                |                    |           |                         |          |                |      |        |  |   |          |    |        |
|                 |          | 34<br>35  | ✓<br>✓                |                    |           |                         |          |                |      |        |  |   |          |    |        |
|                 |          | 35        | v<br>√                |                    |           |                         |          |                |      |        |  |   |          |    |        |
| 1               |          |           | ı '                   |                    |           | 1                       | 1        |                |      |        |  |   |          |    | 1      |

U.S. Patent and Trademark Office

Part of Paper No. : 20111007

| Index of Claims |          |           |                       |                     |          | Application/Control No. |         |              |      | Ap<br>Re | Applicant(s)/Patent Under<br>Reexamination |     |       |      |        |
|-----------------|----------|-----------|-----------------------|---------------------|----------|-------------------------|---------|--------------|------|----------|--|-----|-------|------|--------|
|                 | Ind      | lex of C  | Claim                 | IS                  |          | 13096587                |         |              |      |          | FERGUSON ET AL.                            |     |       |      |        |
|                 |          |           |                       |                     | Examiner |                         |         |              | Ar   | t Unit   |  |     |       |      |        |
|                 |          |           |                       |                     |          | TO <b>AN N</b> PH       | AM      |              |      | 26       | 12   |     |       |      |        |
| ✓ Rejected -    |          |           | C                     | Cancelled N Non-Ele |          |                         | lecte   | ted A Appeal |      |          | peal                                       |     |       |      |        |
| = Allowed ÷     |          |           | Re                    | estricted           |          | I                       | Interfe | erenc        | e    |          | 0  | Obj | ected |      |        |
|                 | Claims r | enumbered | in the s              | ame o               | rder as  | presented by            | applic  | ant          |      | 🗆 СР     | A  |     | T.C   | ). 🗆 | R.1.47 |
|                 | CLA      | IM        |                       |                     |          |                         |         |              | DATE |          |  |     |       |      |        |
| Fi              | inal     | Original  | 10/08/2               | 011                 |          |                         |         |              |      |          |  |     |       |      |        |
|                 |          | 37        | ✓                     |                     |          |                         |         |              |      |          |  |     |       |      |        |
|                 |          | 38        | ✓                     |                     |          |                         |         |              |      |          |  |     |       |      |        |
|                 |          | 39        | √                     |                     |          |                         |         |              |      |          |  |     |       |      |        |
|                 |          | 40        | ✓                     |                     |          |                         |         |              |      |          |  |     |       |      |        |
|                 |          | 41        | ✓                     |                     |          |                         |         |              |      |          |  |     |       |      |        |
|                 |          | 42        | ✓                     |                     |          |                         |         |              |      |          |  |     |       |      |        |
|                 |          | 43        | ✓                     |                     |          |                         |         |              |      |          |  |     |       |      |        |
|                 |          | 44        | ✓                     |                     |          |                         |         |              |      |          |  |     |       |      |        |
|                 |          | 45        | ✓                     |                     |          |                         |         |              |      |          |  |     |       |      |        |
|                 |          | 46        | ✓                     |                     |          |                         |         |              |      |          |  |     |       |      |        |
|                 |          | 47        | ✓                     |                     |          |                         |         |              |      |          |  |     |       |      |        |
|                 |          | 48        | ✓                     |                     |          |                         |         |              |      |          |  |     |       |      |        |
|                 |          | 49        | ✓                     |                     |          |                         |         |              |      |          |  |     |       |      |        |
|                 |          | 50        | ✓                     |                     |          |                         |         |              |      |          |  |     |       |      |        |
|                 |          | 51        | <ul> <li>✓</li> </ul> |                     |          |                         |         |              |      |          |  |     |       |      |        |
|                 |          | 52        | ✓                     |                     |          |                         |         |              |      |          |  |     |       |      |        |
|                 |          | 53        | <ul> <li>✓</li> </ul> |                     |          |                         |         |              |      |          |  |     |       |      |        |
|                 |          | 54        | ✓<br>✓                |                     |          |                         |         |              |      |          |  |     |       |      |        |
|                 |          | 55        | ✓<br>✓                |                     |          |                         |         |              |      |          |  |     |       |      |        |
|                 |          | 56<br>57  | ✓<br>✓                |                     |          |                         |         |              |      |          |  |     |       |      | +      |
|                 |          | 57        | ▼<br>▼                |                     |          |                         |         |              |      |          |  |     |       |      | +      |
|                 |          | 58        | v<br>√                |                     |          |                         |         |              |      |          |  |     |       |      |        |
|                 |          | 60        | · ·                   |                     |          |                         |         |              |      |          |  |     |       |      |        |
|                 |          | 61        | · ·                   |                     |          |                         |         |              |      |          |  |     |       |      |        |
|                 |          | 62        | · ·                   |                     |          |                         |         |              |      |          |  |     |       |      | +      |
| <u> </u>        |          | 63        | ✓ ✓                   | -+                  |          |                         | 1       |              |      |          |  |     |       |      | 1      |
|                 |          | 64        | ✓                     |                     |          |                         |         |              |      |          |  |     |       |      |        |
|                 |          | 65        | ✓                     |                     |          |                         |         |              |      |          |  |     |       |      |        |
|                 |          | 66        | ✓                     | -+                  |          |                         | 1       |              |      |          |  |     |       |      |        |
|                 |          | 67        | ✓                     |                     |          |                         |         |              |      |          |  |     |       |      |        |
|                 |          | 68        | ✓                     |                     |          |                         |         |              |      |          |  |     |       |      |        |
|                 |          | 69        | ✓                     |                     |          |                         |         |              |      |          |  |     |       |      | 1      |
|                 |          | 70        | ✓                     |                     |          |                         | 1       |              |      |          |  |     |       |      | 1      |
|                 |          | 71        | ✓                     |                     |          |                         |         |              |      |          |  |     |       |      | 1      |
|                 |          | 72        | ✓                     |                     |          |                         |         |              |      |          |  |     |       |      | 1      |

U.S. Patent and Trademark Office

Part of Paper No. : 20111007

|              |              |               | Application/C           | ontrol No. | lo. Applicant(s)/Patent Under<br>Reexamination |                 |          |  |  |
|--------------|--------------|---------------|-------------------------|------------|--|-----------------|----------|--|--|
| l In         | dex of (     | Claims        | 13096587                |            | FERGU  | FERGUSON ET AL. |          |  |  |
|              |              |               | Examiner<br>TOAN N PHAN | M          | Art Unit<br>2612                               |                 |          |  |  |
| ✓ Rejected - |              |               | Cancelled               | N No       | on-Elected                                     | Α               | Appeal   |  |  |
| =            | Allowed      | ÷             | Restricted              | l In       | terference                                     | 0               | Objected |  |  |
| Claim        | s renumbered | in the same o | rder as presented by ap | plicant    | СРА  | □ T.D.          | □ R.1.47 |  |  |
| CL           | AIM          |               |                         | DA         | TE   |                 |          |  |  |
| Final        | Original     | 10/08/2011    |                         |            |  |                 |          |  |  |
|              | 73           | ✓             |                         |            |  |                 |          |  |  |
|              | 74           | ✓             |                         |            |  |                 |          |  |  |
|              | 75           | ✓             |                         |            |  |                 |          |  |  |
|              | 76           | ✓             |                         |            |  |                 |          |  |  |
|              | 77           | ✓             |                         |            |  |                 |          |  |  |
|              | 78           | ✓ ✓<br>✓ ✓    |                         |            |  |                 |          |  |  |
|              | 79           | ✓<br>✓        |                         |            |  |                 |          |  |  |
|              | 80           | v l           |                         |            |  |                 |          |  |  |

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Serial No.:  | 13/096,587      | : |               |
|--------------|-----------------|---|---------------|
| Inventors:   | Ferguson, et al |   |               |
| Filed:       | 28 April 2011   |   |               |
| Examiner:    | Pham, Toan Ngoc |   |               |
| GAU:         | 2612            |   | RESPONSE TO   |
| Atty Docket: | FER1947-002H    | : | OFFICE ACTION |

Title: A HUMAN MOVEMENT MEASUREMENT SYSTEM

## Filed electronically on 16 November 2011

This paper is responsive to the Office Action mailed on 13 October 2011, making a non-final rejection of the claims. **A LISTING OF CLAIMS** begins on page 2 and **REMARKS** begin on page 17.

A terminal disclaimer based on US Pat 7,952,483 is filed herewith and the fee is being paid.

As this response is made within three months after the Office Action, no extension of time is required. No excess claim fees are believed to be due, as there has already been payment on July 11, 2011 for the additional four claims.

Please charge any fee deficiency to Deposit Account 19-4076.

# LISTING OF CLAIMS

# 1. (original) A system for a user to play a video game, comprising:

a first hand-held communication device comprising:

a transmitter for transmitting signals;

a receiver for receiving signals; and

an output device;

an array of receiving devices remote from the first hand-held communication device for detecting ultrasonic signals emitted by the first hand-held communication device;

a processing system, remote from the first hand-held communication device, and in electrical communication with the array of receiving devices, adapted to wirelessly receive the signals transmitted by the transmitter, to determine movement information for the first hand-held communication device, to determine position information for the first hand-held device, and to send data signals to the first hand-held device to provide feedback data to the user;

wherein the movement information of the first hand-held communication device controls the movement of at least one object in a computer generated virtual environment; and

wherein the first hand-held communication device is adapted to receive and process the received data signals and generate sensory stimuli for the user based on the received data signals and delivered through the output device.

2. (original) The video game system of claim 1, further comprising:

US Ser No 13/096,587 Response to Office Action of 13 October 2011

a second hand-held communication device adapted to electrically communicate with the first hand-held communication device, said second hand-held communication device, comprising a transmitter for transmitting signals;

wherein the processing system is adapted to determine movement information of the second hand-held communication device.

3. **(original)** The video game system of claim 1, wherein the first hand-held communication device is further comprised of:

a tilt sensor for sensing orientation data for the first hand-held communication device, and wherein the first hand-held communication device transmits the orientation data to the processing system.

4. **(original)** The video game system of claim 3, wherein the orientation data is roll and pitch data.

5. **(original)** The video game system of claim 2, wherein the feedback data sent to the receiver is derived from the movement information of the first hand-held communication device and the second hand-held communication device.

6. **(original)** The video game system of claim 5, wherein the output device provides audible stimuli to the user based on the feedback data.

7. **(original)** The video game system of claim 1, wherein the first hand-held communication device is further comprised of:

a touch screen display for accepting input from the user.

8. **(original)** The video game system of claim 7, wherein the first hand-held communication device is adapted to allow the user to provide configuration data to the system through the touch screen display.

9. **(original)** The video game system of claim 1, wherein the system is adapted to provide feedback data proportional to an error signal determined by comparing the movement of the user to a reference movement trajectory.

10. **(original)** The video game system of claim 1, wherein the system is interactive and responds to verbal command input from the user.

11. **(original)** The video game system of claim 1, wherein the first hand-held communication device is adapted to attach to a modular extension piece.

12. **(original)** The video game system of claim 11, wherein the modular extension piece is a rod.

13. **(original)** The video game system of claim 11, wherein the modular extension piece provides force resistive feedback.

14. **(original)** The video game system of claim 1, wherein the system is adapted to excite the output device based on the movement information for the first hand-held communication device.

15. **(original)** The video game system of claim 1, wherein the system is adapted to excite the output device to indicate the quality of movement information for the first hand-held communication device.

16. **(original)** The video game system of claim 1, wherein the system is adapted to proportionally excite the output device based on the movement information for the first hand-held communication device.

17. **(original)** The video game system of claim 1, wherein the system is adapted to provide feedback data to the output device when the user has completed an activity.

18. **(original)** The video game system of claim 1, wherein the system is adapted to modulate activity challenges based on scoring criteria.

19. **(original)** The video game system of claim 1, wherein the system is adapted to visually queue the user to move according to a desired movement trajectory to assess balance control.

20. **(original)** The video game system of claim 1, wherein the system is adapted to determine range of motion of a user's joint or body segment.

21. **(original)** The video game system of claim 1, wherein the system is adapted to queue the user to control range of motion within prescribed limits.

22. **(original)** The video game system of claim 1, wherein the system is adapted to provide feedback data to the output device when the user deviates from a desired movement trajectory.

23. **(original)** The video game system of claim 1, wherein the system is interactive and responds to verbal command input from the user to modulate an activity.

24. (original) The video game system of claim 1, further comprising:

a second hand-held communication device in electrical communication with the first hand-held communication device, said second hand-held communication device, comprising a transmitter for transmitting signals,

wherein the processing system is adapted to determine movement information of the second hand-held communication device; and

wherein the movement information of the first hand-held communication device and the second hand-held communication device is used to derive performance measurements of functional movements.

25. **(original)** The video game system of claim 24, wherein functional movements can be measured of gait, jumping, cutting, turning, or shuttling.

26. **(original)** The video game system of claim 24, wherein the functional movement measured can be balance or stance.

27. **(original)** The video game system of claim 2, wherein the processing system determines movement information for the first hand-held communication device and the second hand-held device and processes this information to provide feedback data to the user.

28. **(original)** The video game system of claim 27, wherein the movement information for the first and second hand-held devices is processed to provide feedback data to the user based on the movement of the first hand-held communication device with respect to the second hand-held communication device.

29. **(original)** The video game system of claim 2, wherein the processing system is adapted to determine position information for the first hand-held communication device and the second hand-held device and process this position information to provide feedback data to the user.

30. **(original)** The video game system of claim 1, wherein the processing system is adapted to determine the three-dimensional position of the first hand-held communication device and wherein the first hand-held communication device controls a graphical object in a virtual three-dimensional environment.

31. **(original)** The video game system of claim 2, wherein the processing system is adapted to determine movement and position information for the first and second hand-

held communication devices and process this information to provide feedback data to the user.

32. (original) A system for a user to play a video game, comprising:

a first hand-held communication device comprising:

a transmitter for transmitting signals;

a receiver for receiving signals; and

an output device; and

a processing system, remote from the first hand-held communication device for wirelessly receiving the signals transmitted by the transmitter, determining movement information for first hand-held communication device;

a second hand-held communication device, in wireless communication with the processing system said second hand-held communication device, comprising a transmitter for transmitting signals;

wherein the processing system is adapted to determine movement information of the second hand-held communication device and send feedback data to the user based on the movement information of the first and second hand-held communication devices;

wherein the first hand-held communication device is adapted to receive and process the feedback data and generates sensory stimuli for the user based on the received data and delivered through the output device; and

wherein the movement information of the first and second hand-held communication devices are used to control a graphical object on a display screen.

33. **(original)** The video game system of claim 32, wherein the system is interactive and responds to verbal command input from the user to modulate an activity.

34. **(original)** The video game system of claim 32, wherein the system is adapted to visually queue the user to move according to a desired movement trajectory to assess balance control.

35. **(original)** The video game system of claim 32, wherein the system is adapted to determine range of motion of a user's joint or body segment.

36. **(original)** The video game system of claim 32, wherein the first hand-held communication device is further comprised of:

a touch screen display for accepting input from the user.

37. **(original)** The video game system of claim 36, wherein the system is adapted to allow the user to provide configuration data to the system through the touch screen display.

38. **(original)** The video game system of claim 36, wherein the system is adapted to provide feedback data to the user through the touch screen display.

39. **(original)** The video game system of claim 36, wherein the system is adapted to provide performance data to the user through the touch screen display.

40. **(original)** The video game system of claim 36, wherein the system is adapted to allow system setup through the touch screen display.

41. **(original)** The video game system of claim 36, wherein the system is adapted to provide a menu oriented interface to the user through the touch screen display.

42. **(original)** The video game system of claim 36, wherein the system is adapted to allow program selection to the user through the touch screen display.

43. **(original)** The video game system of claim 36, wherein the system is adapted to biophysical data to the user through the touch screen display.

44. (original) A system according to claim 32, wherein:

the first hand-held communication device is adapted to attach to a modular extension piece.

45. **(original)** A system according to claim 44, wherein the second hand-held communication device is adapted to attach to the modular extension piece.

46. **(original)** A system according to claim 44, wherein the first hand-held communication device is connected to the modular extension piece via a flexible coupling.

47. **(original)** A system according to claim 44, wherein the first hand-held communication device is connected to the modular extension piece via a snap joint.

48. **(original)** A system according to claim 44, wherein the first hand-held communication device is connected to the modular extension piece via a twist joint.

49. (original) A system for a user to play a video game, comprising:

a first hand-held communication device comprising:

a transmitter for transmitting signals;

a receiver for receiving signals; and

an output device; and

a processing system, remote from the first hand-held communication device, adapted to wirelessly receive the signals transmitted by the transmitter, to determine movement information for the first hand-held communication device;

wherein the movement information of the first hand-held communication device controls the movement of at least one object in a computer generated virtual environment;

US Ser No 13/096,587 Response to Office Action of 13 October 2011

wherein the first hand-held communication device is adapted to receive and process the received data signals and generate sensory stimuli for the user, based on the received data signals and delivered through the output device; and

wherein the processing system is adapted to determine the three-dimensional position of the first hand-held communication device and wherein the object is controlled in a virtual three-dimensional environment.

50. (original) A system for a user to play a video game, comprising:

a first hand-held communication device comprising:

a transmitter for transmitting signals;

a receiver for receiving signals; and

an output device;

a second hand-held communication device, the second hand-held communication device comprising a transmitter for transmitting signals; and

a processing system, remote from the first hand-held communication device, adapted to wirelessly receive the signals transmitted by the transmitters of the respective communication devices, to determine movement information for each of the respective communication devices, and to send data signals to the receiver to provide feedback data to the user;

wherein the first hand-held communication device is adapted to receive and process the received data signals and generate sensory stimuli for the user, based on the received data signals and delivered through the output device;

wherein the movement information of the first and second hand-held devices are used to control a graphical object on a display screen; and

wherein the system is interactive and responds to verbal command input from the user.

51. (original) A system according to claim 50, wherein the first hand-held

communication device is adapted to attach to a modular extension piece.

52. (original) The system of claim 51, wherein the modular extension piece is a rod.

53. **(original)** The system of claim 51, wherein the modular extension piece provides force resistive feedback.

54. **(original)** The system of claim 51, wherein the modular extension piece is a weighted extension.

55. (original) A system according to claim 50, further comprising:

a strap connected to the first hand-held communication device for securing the

first hand-held communication device to a user's wrist.

56. (original) A system according to claim 50, wherein:

the first hand-held communication device comprises a user input device adapted for communication with the processing system through the transmitter.

57. (original) A system according to claim 56, wherein:

the user input device is adapted for calibrating the first communication device to establish a reference position.

58. (original) A system according to claim 50, wherein:

the processing system is adapted to determine position information for each of the respective communication devices.

55-58. (cancelled)

59. (original) A system for a user to play a video game, comprising:

a first hand-held communication device comprising:

a transmitter for transmitting signals;

a receiver for receiving signals; and

an output device;

a second hand-held communication device adapted to electrically communicate with the first communication device, and adapted for being attached to, in contact with, or held by the user, the second hand-held communication device comprising a transmitter for transmitting signals;

an array of receiving devices remote from the first hand-held communication device for tracking the movement of the first hand-held communication device;

a processing system, remote from the first hand-held communication device, adapted to wirelessly receive the signals transmitted by the transmitter of the first handheld communication device, to determine movement information for each of the respective communication devices, and to send data signals to the receiver to provide feedback data to the user;

wherein the first hand-held communication device is adapted to receive and process the received data signals and generate sensory stimuli for the user, based on the received data signals, the sensory stimuli delivered through the output device;

wherein the first hand-held communication device is further comprised of a user input device adapted for communication with the processing system through the transmitter; and

wherein the user input device is adapted for calibrating the first communication device to establish a reference position.

US Ser No 13/096,587 Response to Office Action of 13 October 2011

60. (original) A system according to claim 59, wherein:

the processing system is adapted to determine position information for each of

the respective communication devices.

61. (original) A system according to claim 59, wherein:

wherein the output device is a speaker for providing audible stimuli to the user.

62. (original) A system according to claim 59, wherein:

the first hand-held communication device is adapted to attach to a modular extension piece.

63. **(original)** A system according to claim 62, wherein the second hand-held communication device is adapted to attach to the modular extension piece.

64. **(original)** A system according to claim 62, wherein the first hand-held communication device is connected to the modular extension piece via a flexible coupling.

65. **(original)** A system according to claim 62, wherein the first hand-held communication device is connected to the modular extension piece via a snap joint.

66. **(original)** The video game system of claim 59, wherein the first hand-held communication device is further comprised of:

a touch screen display for accepting input from the user.

67. **(original)** The video game system of claim 66, wherein the system is adapted to allow the user to provide configuration data to the system through the touch screen display.

68. (original) A system for a user to play a video game, comprising:a first hand-held communication device comprising:

a transmitter for transmitting signals;

a receiver for receiving signals; and

an output device;

a second hand-held communication device adapted to electrically communicate with the first communication device, and adapted for being attached to, in contact with, or held by the user, the second hand-held communication device comprising a transmitter for transmitting signals; and

a processing system, remote from the first hand-held communication device, adapted to wirelessly receive the signals transmitted by the transmitter of the first handheld communication device, and to send data signals to the receiver to provide feedback data to the user;

wherein the first hand-held communication device receives and processes the received data signals and generates sensory stimuli for the user, based on the received data signals and delivered through the output device;

wherein the first hand-held communication device is further comprised of a user input device adapted for communication with the processing system through the transmitter; and

wherein the user input device is adapted for calibrating the first communication device.

69. (original) A system according to claim 68, wherein:

the processing system is adapted to determine movement information for each of the respective communication devices.

70. (original) The video game system of claim 68, wherein the first hand-held

communication device is further comprised of:

a touch screen display for accepting input from the user.

71. **(original)** The video game system of claim 70, wherein the system is adapted to allow the user to provide configuration data to the system through the touch screen display.

72. **(original)** The video game system of claim 70, wherein the system is adapted to provide feedback data to the user through the touch screen display.

73. **(original)** The video game system of claim 70, wherein the system is adapted to provide performance data to the user through the touch screen display.

74. **(original)** The video game system of claim 70, wherein the system is adapted to allow system setup through the touch screen display.

75. **(original)** The video game system of claim 70, wherein the system is adapted to provide a menu oriented interface to the user through the touch screen display.

76. **(original)** The video game system of claim 70, wherein the system is adapted to allow program selection to the user through the touch screen display.

77. **(original)** The video game system of claim 70, wherein the system is adapted to biophysical data to the user through the touch screen display.

78. (original) A system according to claim 68, wherein:

the first hand-held communication device is adapted to attach to a modular extension piece.

79. **(original)** A system according to claim 78, wherein the first hand-held communication device is connected to the modular extension piece via a flexible coupling.

80. **(original)** A system according to claim 78, wherein the first hand-held communication device is connected to the modular extension piece via a snap joint.

81. **(new)** The video game system of claim 50, wherein the first hand-held communication device is further comprised of:

a touch screen display for accepting input from the user.

82. **(new)** The video game system of claim 81, wherein the system is adapted to allow the user to provide configuration data to the system through the touch screen display.

83. (new) A system according to claim 50, further comprising:

a coupling attachment to the first hand-held communication device adapted to accept attachment to the second hand-held communication device.

84. (new) A system according to claim 50, further comprising:

a coupling attachment to the first hand-held communication device adapted to accept attachment to a modular extension piece.
#### **REMARKS**

Claims 1-80 were pending at the time of the non-final Office Action, all of which are in prosecution. Examiner objected to claims 55-58 because there were two sets and asked for one set to be cancelled. Applicant acknowledges the error in numbering the claims and the second set has been cancelled. The text of the claims has been resubmitted as new claims 81-84.

### Double patenting

The Examiner has required a terminal disclaimer to overcome a non-statutory double patenting rejection based on US Patent 7,952,483. The terminal disclaimer is provided.

### **Conclusion**

Applicant respectfully submits that the application is now in condition for examination and such action is earnestly requested. If a telephone interview is required to resolve any further issues, such a call from the Examiner is respectfully requested.

Respectfully submitted,

Dated: 16 November 2011

By: /James L. Kwak/ James L. Kwak Registration No. 41,133 Standley Law Group LLP 6300 Riverside Dr Dublin, Ohio 43017 Telephone: (614) 792-5555 Facsimile: (614) 792-5536

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|--|---|--|--|--|
| TERMINAL DISCLAIMER TO OBVIATE A DOUBLE PATENTING<br>REJECTION OVER A "PRIOR" PATENT   | Docket Number (Optional)<br>FER1947-002H  |  |  |  |
| In re Application of: Ferguson, et al  |   |  |  |  |
| Application No.: 13/096,587  |   |  |  |  |
| Filed: 28 April 2011   |   |  |  |  |
| For: A HUMAN MOVEMENT MEASUREMENT SYSTEM   |   |  |  |  |
| The owner*, <u>MOTIVA LLC</u> , of <u>100</u> percent interest in the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application which would extend beyond the expiration date of the full statutory term <b>prior patent</b> No. <u>7,952,483</u> as the term of said prior patent is defined in 35 U.S.C. 154 and 173, and as the term of said <b>prior patent</b> is presently shortened by any terminal disclaimer. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and the <b>prior patent</b> are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns. In making the above disclaimer, the owner does not disclaim the terminal part of the term of any patent granted on the instant application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. 154 and 173 of the <b>prior patent</b> , "as the term of said <b>prior patent</b> is presently shortened by any terminal disclaimer," in the event that said <b>prior patent</b> later: expires for failure to pay a maintenance fee; is held unenforceable; is found invalid by a court of competent jurisdiction; is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321; has all claims canceled by a reexamination certificate; is reissued; or is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer.   |   |  |  |  |
| Check either box 1 or 2 below, if appropriate.   |   |  |  |  |
| <ul> <li>For submissions on behalf of a business/organization (e.g., corporation, partnership, universit etc.), the undersigned is empowered to act on behalf of the business/organization.</li> <li>I hereby declare that all statements made herein of my own knowledge are true and that an another the business of the business of</li></ul> |   |  |  |  |
| belief are belie ved to be true; a nd further that th ese statements were made with the knowledge tha made are punis hable by fine or imprisonment, or both, under Se ction 1001 of Title 18 of the United S statements may jeopardize the validity of the application or any patent issued thereon.   | t willful false s tatements and the like so   |  |  |  |
| 2. <b>V</b> The undersigned is an attorney or agent of record. Reg. No. <u>41133</u>   |   |  |  |  |
| (Jerren I. Kueli)  | 11/16/2011  |  |  |  |
| /James L. Kwak/<br>Signature   | Date  |  |  |  |
|  |   |  |  |  |
| James L. Kwak<br>Typed or printed name   |   |  |  |  |
|  |   |  |  |  |
|  | 614-792-5555<br>Telephone Number  |  |  |  |
| ✓ Terminal disclaimer fee under 37 CFR 1.20(d) included.   |   |  |  |  |
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| *Statement_under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner).<br>Form PTO/SB/96 may be used for making this certification. See MPEP § 324.   |   |  |  |  |
| This collection of information is required by 37 CFR 1.321. The information is required to obtain or retain a benefit by to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depend on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be ser and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEN ADDRESS. <b>SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</b>  | n is estimated to take 12 minutes to complete,<br>ding upon the individual case. Any comments<br>nt to the Chief Information Officer, U.S. Patent |  |  |  |

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IPR2019-01134 Sony EX1002 Page 146

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| Electronic Patent Application Fee Transmittal |                                   |            |          |        |                         |
|---|-----------------------------------|------------|----------|--------|-------------------------|
| Application Number:                           | 13                                | 096587     |          |        |                         |
| Filing Date:                                  | 28-                               | Apr-2011   |          |        |                         |
| Title of Invention:                           | HUMAN MOVEMENT MEASUREMENT SYSTEM |            |          |        |                         |
| First Named Inventor/Applicant Name:          | Kevin Ferguson                    |            |          |        |                         |
| Filer:  | James Lee Kwak/Kimberly Hefner    |            |          |        |                         |
| Attorney Docket Number:                       | FEI                               | R1947-002H |          |        |                         |
| Filed as Small Entity                         |                                   |            |          |        |                         |
| Utility under 35 USC 111(a) Filing Fees       |                                   |            |          |        |                         |
| Description                                   |                                   | Fee Code   | Quantity | Amount | Sub-Total in<br>USD(\$) |
| Basic Filing:                                 |                                   |            |          |        |                         |
| Pages:  |                                   |            |          |        |                         |
| Claims:                                       |                                   |            |          |        |                         |
| Miscellaneous-Filing:                         |                                   |            |          |        |                         |
| Petition:                                     |                                   |            |          |        |                         |
| Patent-Appeals-and-Interference:              |                                   |            |          |        |                         |
| Post-Allowance-and-Post-Issuance:             |                                   |            |          |        |                         |
| Extension-of-Time:                            |                                   |            |          |        |                         |

| Description                      | Fee Code | Quantity  | Amount | Sub-Total in<br>USD(\$) |
|----------------------------------|----------|-----------|--------|-------------------------|
| Miscellaneous:                   |          |           |        |                         |
| Statutory or terminal disclaimer | 2814     | 1         | 80     | 80                      |
|                                  | Tot      | al in USD | (\$)   | 80                      |

| Electronic Ac                        | Electronic Acknowledgement Receipt |  |  |  |  |
|--------------------------------------|------------------------------------|--|--|--|--|
| EFS ID:                              | 11422228                           |  |  |  |  |
| Application Number:                  | 13096587                           |  |  |  |  |
| International Application Number:    |                                    |  |  |  |  |
| Confirmation Number:                 | 4852                               |  |  |  |  |
| Title of Invention:                  | HUMAN MOVEMENT MEASUREMENT SYSTEM  |  |  |  |  |
| First Named Inventor/Applicant Name: | Kevin Ferguson                     |  |  |  |  |
| Customer Number:                     | 8698                               |  |  |  |  |
| Filer:                               | James Lee Kwak/Kimberly Hefner     |  |  |  |  |
| Filer Authorized By:                 | James Lee Kwak                     |  |  |  |  |
| Attorney Docket Number:              | FER1947-002H                       |  |  |  |  |
| Receipt Date:                        | 16-NOV-2011                        |  |  |  |  |
| Filing Date:                         | 28-APR-2011                        |  |  |  |  |
| Time Stamp:                          | 16:05:09                           |  |  |  |  |
| Application Type:                    | Utility under 35 USC 111(a)        |  |  |  |  |

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|   | Document De                 | Start  | E  | nd    |          |
|   | Amendment/Req. Reconsiderat | ion-After Non-Final Reject                   | 1  |       | 1        |
|   | Claims                      |  | 2  |       | 16       |
|   | Applicant Arguments/Remarks | Made in an Amendment                         | 17   |       | 17       |
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| 2   | Terminal Disclaimer Filed   | 20111116_Term_Discl_FER194                   | 209676                                       | no    | 2        |
|   | 7-002H.pdf                  | 6234413438e24dc22d192fec5273ad67c59<br>72e87 |  |       |          |
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| Information   |                             |  |  |       |          |
| 3   | Fee Worksheet (SB06)        | fee-info.pdf                                 | 30330  | no    | 2        |
|   |                             |  | b7f733781bf80bf6731e5efef56e43d9f4b70<br>fdd | 110   | _        |
| Warnings:   | I                           | 1  |  |       | <u> </u> |
| Information   | :                           |  |  |       |          |
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| National Stage of an International Application under 35 U.S.C. 371<br>If a timely submission to enter the national stage of an international application is compliant with the conditions of 35<br>U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a<br>national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.<br>New International Application Filed with the USPTO as a Receiving Office<br>If a new international application is being filed and the international application includes the necessary components for<br>an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number<br>and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning<br>national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of<br>the application. |                             |  |  |       |          |

IPR2019-01134 Sony EX1002 Page 151

PTO/SB/06 (07-06)

Approved for use through 1/31/2007. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

| P   | Under the Paperwork Reduction Act of 1995, no persons are required to respond<br><b>PATENT APPLICATION FEE DETERMINATION RECORD</b><br>Substitute for Form PTO-875   |   |   |   |  | pplication or l | of information unle<br>Docket Number<br>6,587 | Fil                    | plays a valid<br>ing Date<br>28/2011 | OMB control number.   |                        |
|---|--|---|---|---|--|-----------------|---|------------------------|--------------------------------------|-----------------------|------------------------|
| APPLICATION AS FILED – PART I<br>(Column 1) (Column 2)  |  |   |   |   |  | SMALL           | entity 🛛                                      | OR                     |                                      | HER THAN              |                        |
|   | FOR  | Ν   | UMBER FIL                                       | .ED NU  | MBER EXTRA                                   |                 | RATE (\$)                                     | FEE (\$)               |                                      | RATE (\$)             | FEE (\$)               |
|   | BASIC FEE<br>(37 CFR 1.16(a), (b), o   | or (c))                                   | N/A   |   | N/A  |                 | N/A   |                        |                                      | N/A                   |                        |
|   | SEARCH FEE<br>(37 CFR 1.16(k), (i), c  | or (m))                                   | N/A   |   | N/A  |                 | N/A   |                        |                                      | N/A                   |                        |
|   | EXAMINATION FE<br>(37 CFR 1.16(o), (p), (  |   | N/A   |   | N/A  |                 | N/A   |                        |                                      | N/A                   |                        |
| (37 (   | AL CLAIMS<br>CFR 1.16(i))  |   | mir   | us 20 = *   |  |                 | X \$ =  |                        | OR                                   | X \$ =                |                        |
|   | EPENDENT CLAIM<br>CFR 1.16(h))   | S   | m   | nus 3 = *   |  |                 | X \$ =  |                        |                                      | X \$ =                |                        |
| (   | APPLICATION SIZE<br>37 CFR 1.16(s))  | FEE shee<br>is \$2<br>addi<br>35 L        | ts of pap<br>50 (\$125<br>ional 50<br>.S.C. 41( | ation and drawing<br>er, the applicatio<br>for small entity)<br>sheets or fraction<br>a)(1)(G) and 37 | n size fee due<br>for each<br>n thereof. See |                 |   |                        |                                      |                       |                        |
| _   | MULTIPLE DEPEN   |   | ,   | <b>G</b> /7   |  |                 | TOTAL   |                        |                                      | TOTAL                 |                        |
| ^ IT L  | he difference in colu  |   |   |   |  |                 | TOTAL   |                        |                                      | TOTAL                 |                        |
| APPLICATION AS AMENDED – PART II<br>(Column 1) (Column 2) (Column 3)  |  |   |   | SMAL  | L ENTITY                                     | OR              |   | ER THAN<br>ALL ENTITY  |                                      |                       |                        |
| AMENDMENT   | 11/16/2011   | CLAIMS<br>REMAINING<br>AFTER<br>AMENDMENT |   | HIGHEST<br>NUMBER<br>PREVIOUSLY<br>PAID FOR   | PRESENT<br>EXTRA                             |                 | RATE (\$)                                     | ADDITIONAL<br>FEE (\$) |                                      | RATE (\$)             | ADDITIONAL<br>FEE (\$) |
| ME  | Total (37 CFR<br>1.16(i))  | * 84                                      | Minus   | ** 84   | = 0  |                 | X \$30 =                                      | 0                      | OR                                   | X \$ =                |                        |
| Ľ<br>Z  | Independent<br>(37 CFR 1.16(h))  | * 6                                       | Minus   | ***6  | = 0  |                 | X \$125 =                                     | 0                      | OR                                   | X \$ =                |                        |
| AME   | Application Si   | ze Fee (37 CFR ·                          | .16(s))   |   |  |                 |   |                        |                                      |                       |                        |
| 1   |  | ITATION OF MULTI                          | PLE DEPEN                                       | DENT CLAIM (37 CFI  | R 1.16(j))                                   |                 |   |                        | OR                                   |                       |                        |
|   |  |   |   |   |  | •               | TOTAL<br>ADD'L<br>FEE                         | 0                      | OR                                   | TOTAL<br>ADD'L<br>FEE |                        |
|   |  | (Column 1)                                |   | (Column 2)  | (Column 3)                                   |                 |   |                        |                                      |                       |                        |
| T   |  | CLAIMS<br>REMAINING<br>AFTER<br>AMENDMENT |   | HIGHEST<br>NUMBER<br>PREVIOUSLY<br>PAID FOR   | PRESENT<br>EXTRA                             |                 | RATE (\$)                                     | ADDITIONAL<br>FEE (\$) |                                      | RATE (\$)             | ADDITIONAL<br>FEE (\$) |
| ENT   | Total (37 CFR<br>1.16(i))  | *   | Minus   | **  | =  |                 | X \$ =  |                        | OR                                   | X \$ =                |                        |
| DM  | Independent<br>(37 CFR 1.16(h))  | *   | Minus   | ***   | =  |                 | X \$ =  |                        | OR                                   | X \$ =                |                        |
| ENDM  | Application Si   | ze Fee (37 CFR <sup>.</sup>               | .16(s))   |   |  |                 |   |                        |                                      |                       |                        |
| AM  |  | ITATION OF MULTI                          | PLE DEPEN                                       | DENT CLAIM (37 CFI  | R 1.16(j))                                   |                 |   |                        | OR                                   |                       |                        |
| 4 IE 1  | TOTAL TOTAL TOTAL ADD'L OR ADD'L FEE FEE   |   |   |   |  |                 |   |                        |                                      |                       |                        |
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|   | "Highest Number P  | -   |   |   | -  |                 |   | -                      |                                      |                       |                        |
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| Application Number   | Application/Co | ntrol No.  | Applicant(s)/Patent<br>Reexamination | under    |
|----------------------|----------------|------------|--------------------------------------|----------|
|                      | 13/096,587     |            | FERGUSON ET AL                       |          |
| Document Code - DISQ |                | Internal D | ocument – DC                         | NOT MAIL |

| TERMINAL<br>DISCLAIMER |   |  |
|------------------------|---|--|
| Date Filed : 11/16/11  | This patent is subject<br>to a Terminal<br>Disclaimer |  |

Approved/Disapproved by:

jean proctor

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UNITED STATES PATENT AND TRADEMARK OFFICE



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# NOTICE OF ALLOWANCE AND FEE(S) DUE

8698759012/15/2011STANDLEY LAW GROUP LLP6300 Riverside DriveDublin, OH 43017

EXAMINER

PHAM, TOAN NGOC

ART UNIT PAPER NUMBER 2612

IPR2019-01134

Sony EX1002 Page 154

DATE MAILED: 12/15/2011

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 13/096,587      | 04/28/2011  | Kevin Ferguson       | FER1947-002H        | 4852             |

TITLE OF INVENTION: HUMAN MOVEMENT MEASUREMENT SYSTEM

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | YES          | \$870         | \$300               | \$O                  | \$1170           | 03/15/2012 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED</u>. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

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| B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or | B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above. |

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Page 1 of 3

#### PART B - FEE(S) TRANSMITTAL

#### Complete and send this form, together with applicable fee(s), to: <u>Mail</u> Mail Stop ISSUE FEE **Commissioner for Patents** P.O. Box 1450 Alexandria, Virginia 22313-1450

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|--|---|--|---|---|---|--|
| appropriate. All further c   | correspondence includin<br>d below or directed oth  | g the Patent, advance of                             | rders and notification of m   | aintenance fees y   | ired). Blocks 1 through 5 s<br>vill be mailed to the current<br>; and/or (b) indicating a sepa  | correspondence address as  |
| CURRENT CORRESPONDE  | NCE ADDRESS (Note: Use Blo  | ock 1 for any change of address)                     | paper   | rs. Each additiona  | mailing can only be used for<br>is certificate cannot be used f<br>il paper, such as an assignme<br>of mailing or transmission.                           | or domestic mailings of the<br>for any other accompanying<br>ant or formal drawing, must |
|  | 7590 12/15/   |  |   |   | 0   |  |
|  | AW GROUP LLF  | ,  | I here  | eby certify that th   | tificate of Mailing or Trans<br>is Fee(s) Transmittal is being  | a deposited with the United  |
| 6300 Riverside D<br>Dublin, OH 4301                                  |   |  | State<br>addre<br>trans   | s Postal Service v<br>essed to the Mai<br>mitted to the USP | vith sufficient postage for firs<br>1 Stop ISSUE FEE address<br>TO (571) 273-2885, on the da  | st class mail in an envelope<br>above, or being facsimile<br>ate indicated below.        |
|  |   |  |   |   |   | (Depositor's name)   |
|  |   |  |   |   |   | (Signature)  |
|  |   |  |   |   |   | (Date)   |
| APPLICATION NO.  | FILING DATE   |  | FIRST NAMED INVENTOR  |   | ATTORNEY DOCKET NO.   | CONFIRMATION NO.   |
| 13/096,587   | 04/28/2011  |  | Kevin Ferguson  |   | FER1947-002H  | 4852   |
| TITLE OF INVENTION:  | HUMAN MOVEMEN   | Γ MEASUREMENT SY:                                    | STEM  |   |   |  |
|  |   |  |   |   |   |  |
|  |   |  |   |   |   |  |
| APPLN. TYPE  | SMALL ENTITY  | ISSUE FEE DUE  | PUBLICATION FEE DUE   | PREV. PAID ISSU   | E FEE TOTAL FEE(S) DUE  | DATE DUE   |
| nonprovisional   | YES   | \$870  | \$300   | \$0   | \$1170  | 03/15/2012   |
| EXAMI  | NER   | ART UNIT   | CLASS-SUBCLASS  |   |   |  |
| PHAM, TOA  | AN NGOC   | 2612   | 340-573100  |   |   |  |
| 1. Change of corresponder  | nce address or indicatior   | of "Fee Address" (37                                 | 2. For printing on the pa   | tent front page, li   | st  |  |
| CFR 1.363).  | ondence address (or Chai  | nge of Correspondence                                | (1) the names of up to<br>or agents OR, alternative                                 |   | it attorneys 1  |  |
|  | ndence address (or Chai<br>/122) attached.  |  | (2) the name of a single  | firm (having as a   | a member a 2  |  |
| ☐ "Fee Address" indic<br>PTO/SB/47; Rev 03-02<br>Number is required. | cation (or "Fee Address"<br>2 or more recent) attache   | Indication form<br>d. Use of a Customer              | registered attorney or ag<br>2 registered patent attor<br>listed, no name will be p | neys or agents. If  | no name is <u>3</u>   |  |
| 3. ASSIGNEE NAME AN  | ND RESIDENCE DATA   | TO BE PRINTED ON                                     | THE PATENT (print or type   | e)  |   |  |
| PLEASE NOTE: Unle  | ess an assignee is identi   | fied below, no assignee<br>letion of this form is NO | data will appear on the pa<br>T a substitute for filing an a                        | tent. If an assign  | ee is identified below, the d   | ocument has been filed for   |
| (A) NAME OF ASSIG  | •   |  | (B) RESIDENCE: (CITY  | 0   | COUNTRY)  |  |
| ()   |   |  | (_)   |   | ,   |  |
|  |   |  |   |   |   |  |
| Please check the appropria   | ate assignee category or  | categories (will not be pr                           | rinted on the patent):  | Individual 🔲 C  | orporation or other private gro   | oup entity 🖵 Government  |
| 4a. The following fee(s) a   | re submitted:   | 41   | <b>—</b> <sup>2</sup>   | e first reapply a   | ny previously paid issue fee  | shown above)   |
| Issue Fee  |   |  | $\square$ A check is enclosed.  |   |   |  |
|  | o small entity discount p<br>of Copies  |  | Payment by credit card  |   | s is attached.<br>rge the required fee(s), any de   | ficiency or credit any   |
| Advance Order - #  |   |  | overpayment, to Depos   | it Account Numb   | er (enclose a   | n extra copy of this form).  |
| 5. Change in Entity State  | ·   | · · · · · · · · · · · · · · · · · · ·                |   |   |   |  |
|  | SMALL ENTITY statu  |  |   | -   | LL ENTITY status. See 37 C<br>istered attorney or agent; or th  |  |
| interest as shown by the re  | ecords of the United Stat   | es Patent and Trademark                              | c Office.   | e applicant; a reg  | istered attorney or agent; or u   | le assignée of other party in  |
| Authorized Signature   |   |  |   | Date  |   |  |
| -  |   |  |   |   | √o  |  |
|  |   |  |   | -   |   |  |
| an application. Confidenti submitting the completed                  | ality is governed by 35<br>application form to the<br>ons for reducing this bur<br>frginia 22313-1450. DO | U.S.C. 122 and 37 CFR<br>USPTO. Time will vary       | 1.14. This collection is esti<br>depending upon the indivi                          | mated to take 12<br>dual case. Any co                       | he public which is to file (and<br>minutes to complete, includir<br>mments on the amount of the<br>Trademark Office, U.S. Dep<br>S. SEND TO: Commissioner | ng gathering, preparing, and<br>me you require to complete                               |
| riteranuria, virginia 2251   | J 17JU.   |  |   |   |   |  |

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OMB 0651-0033

IPR2019-01134 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Sony EX1002 Page 155

| UNITED STATES PATENT AND TRADEMARK OFFICE<br>United States Patent and Trademark Office<br>Address: COMMISSIONER FOR PATENTS<br>P.O. Box 1450<br>Alexandria, Virginia 22313-1450<br>www.uspto.gov |               |                      |                        |                  |  |  |  |  |  |  |  |  |
|--|---------------|----------------------|------------------------|------------------|--|--|--|--|--|--|--|--|
| APPLICATION NO.  | FILING DATE   | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.    | CONFIRMATION NO. |  |  |  |  |  |  |  |  |
| 13/096,587   | 04/28/2011    | Kevin Ferguson       | FER1947-002H           | 4852             |  |  |  |  |  |  |  |  |
| 8698 75  | 90 12/15/2011 |                      | EXAM                   | IINER            |  |  |  |  |  |  |  |  |
| STANDLEY LA<br>6300 Riverside Dri  |               |                      | PHAM, TO               | DAN NGOC         |  |  |  |  |  |  |  |  |
| Dublin, OH 43017   | ve            |                      | ART UNIT               | PAPER NUMBER     |  |  |  |  |  |  |  |  |
|  |               |                      | 2612                   |                  |  |  |  |  |  |  |  |  |
|  |               |                      | DATE MAILED: 12/15/201 | 1                |  |  |  |  |  |  |  |  |

### Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
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- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

IPR2019-01134 Sony EX1002 Page 157

|   | Application No.  | Applicant(s)  |                           |  |  |  |  |  |
|---|--|---|---------------------------|--|--|--|--|--|
| Notice of Allowability  | 13/096,587   | FERGUSON ET AL.   |                           |  |  |  |  |  |
| Notice of Allowability  | Examiner   | Art Unit  |                           |  |  |  |  |  |
|   | TOAN N. PHAM   | 2612  |                           |  |  |  |  |  |
| The MAILING DATE of this communication app<br>All claims being allowable, PROSECUTION ON THE MERITS IS<br>herewith (or previously mailed), a Notice of Allowance (PTOL-85<br>NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R<br>of the Office or upon petition by the applicant. See 37 CFR 1.313   | (OR REMAINS) CLOSED in this ap<br>) or other appropriate communicatio<br>(IGHTS. This application is subject to<br>3 and MPEP 1308.  | plication. If not include<br>n will be mailed in due o  | ed<br>course. <b>THIS</b> |  |  |  |  |  |
| 1. This communication is responsive to <u>amendment and TD fi</u>   |  |   |                           |  |  |  |  |  |
| 2. An election was made by the applicant in response to a respective requirement and election have been incorporated into this action   |  | the interview on  | ; the restriction         |  |  |  |  |  |
| 3. ⊠ The allowed claim(s) is/are <u>1-84</u> .  |  |   |                           |  |  |  |  |  |
| <ul> <li>4. △ Acknowledgment is made of a claim for foreign priority und <ul> <li>a) △ All</li> <li>b) △ Some*</li> <li>c) △ None of the:</li> <li>1. △ Certified copies of the priority documents have</li> <li>2. △ Certified copies of the priority documents have</li> <li>3. △ Copies of the certified copies of the priority documents have</li> <li>3. △ Copies of the certified copies of the priority documents have</li> <li>3. △ Copies of the certified copies of the priority documents have</li> <li>3. △ Copies of the certified copies of the priority documents have</li> <li>3. △ Copies of the certified copies of the priority documents have</li> <li>3. △ Copies of the certified copies of the priority documents have</li> <li>3. △ Copies of the certified copies of the priority documents have</li> <li>4. △ Copies of the certified copies of the priority documents have</li> <li>3. △ Copies of the certified copies of the priority documents have</li> <li>3. △ Copies of the certified copies of the priority documents have</li> <li>3. △ Copies of the certified copies of the priority documents have</li> <li>3. △ Copies of the certified copies of the priority documents have</li> <li>3. △ Copies of the certified copies of the priority documents have</li> <li>3. △ Copies of the certified copies of the priority documents have</li> <li>4. △ Copies of the certified copies of the priority documents have</li> <li>3. △ Copies of the certified copies of the priority documents have</li> <li>4. △ SUBSTITUTE OATH OR DECLARATION must be submultified below. Failure to timely comply will result in ABANDONN</li> <li>THREE-MONTH PERIOD IS NOT EXTENDABLE.</li> <li>5. △ A SUBSTITUTE OATH OR DECLARATION (PTO-152) which giv</li> <li>6. ○ CORRECTED DRAWINGS ( as "replacement sheets") must</li> <li>(a) ○ including changes required by the Notice of Draftsper</li> <li>1) ○ hereto or 2) ○ to Paper No./Mail Date</li></ul></li></ul> | e been received.<br>e been received in Application No<br>bouments have been received in this<br>of this communication to file a reply<br>MENT of this application.<br>itted. Note the attached EXAMINER<br>es reason(s) why the oath or declars<br>at be submitted.<br>son's Patent Drawing Review (PTO<br>-<br>'s Amendment / Comment or in the G<br>1.84(c)) should be written on the drawit<br>the header according to 37 CFR 1.121<br>BIOLOGICAL MATERIAL must be si | national stage applicat<br>complying with the req<br>S AMENDMENT or NC<br>ation is deficient.<br>-948) attached<br>Office action of<br>ings in the front (not the<br>(d). | uirements<br>DTICE OF     |  |  |  |  |  |
| Attachment(s)         1. □ Notice of References Cited (PTO-892)         2. □ Notice of Draftperson's Patent Drawing Review (PTO-948)         3. □ Information Disclosure Statements (PTO/SB/08),<br>Paper No./Mail Date         4. □ Examiner's Comment Regarding Requirement for Deposit<br>of Biological Material   |  |   |                           |  |  |  |  |  |
| U.S. Patent and Trademark Office<br>PTOL-37 (Rev. 03-11) N  | otice of Allowability  | Part of Paper No./M   | lail Date 20111213        |  |  |  |  |  |

IPR2019-01134

Sony EX1002 Page 158

#### Allowable Subject Matter

Claims 1-84 are allowed.

The following is an examiner's statement of reasons for allowance: The present invention is directed to a system and methods for setup and measuring the position and orientation of transponders. Each independent claim identifies the uniquely distinct features: a processing system, remote from the first hand-held communication device, and in electrical communication with the array of receiving devices, adapted to wirelessly receive the signals transmitted by the transmitter, to determine movement information for the first hand-held device, and to send data signals to the first hand-held device to provide feedback data to the user; wherein the movement of at least one object in a computer generated virtual environment; and wherein the first hand-held communication device is adapted to receive and process the received data signals and generate sensory stimuli for the user based on the received data signals and delivered through the output device. The prior art fail to anticipate or render the above limitations obvious.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance." Application/Control Number: 13/096,587 Art Unit: 2612

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to TOAN N. PHAM whose telephone number is (571)272-2967. The examiner can normally be reached on M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Benjamin C. Lee can be reached on (571) 272-2963. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/TOAN N PHAM/ Primary Examiner, Art Unit 2612 12/13/11

IPR2019-01134 Sony EX1002 Page 160

|              | Application/Control No. | Applicant(s)/Patent Under<br>Reexamination |
|--------------|-------------------------|--|
| Search Notes | 13096587                | FERGUSON ET AL.                            |
|              | Examiner                | Art Unit                                   |
|              | TOAN N PHAM             | 2612                                       |

|       | SEARCHED   |          |          |  |  |  |  |  |  |  |
|-------|--|----------|----------|--|--|--|--|--|--|--|
| Class | Subclass   | Date     | Examiner |  |  |  |  |  |  |  |
| 340   | 573.1, 573.4, 539.12, 539.13, 539.22, 407.1, 825.36, 6.1, 5.61, 825.72 | 10/5/11  | TNP      |  |  |  |  |  |  |  |
| 381   | 315  | 10/5/11  | TNP      |  |  |  |  |  |  |  |
| 434   | 112, 114   | 10/5/11  | TNP      |  |  |  |  |  |  |  |
| 463   | 36, 39, 7, 35, 42  | 10/5/11  | TNP      |  |  |  |  |  |  |  |
| 345   | 161  | 10/6/115 | TNP      |  |  |  |  |  |  |  |

| SEARCH NOTES |          |          |  |  |  |  |  |  |  |
|--------------|----------|----------|--|--|--|--|--|--|--|
| Search Notes | Date     | Examiner |  |  |  |  |  |  |  |
| EAST         | 10/5/11  | TNP      |  |  |  |  |  |  |  |
| PLUS SEARCH  | 10/6/115 | TNP      |  |  |  |  |  |  |  |

| INTERFERENCE SEARCH |                           |         |          |  |  |  |  |  |  |  |
|---------------------|---------------------------|---------|----------|--|--|--|--|--|--|--|
| Class               | Subclass                  | Date    | Examiner |  |  |  |  |  |  |  |
| 340                 | 573.1, 407.1, 5.61, 12.22 | 10/5/11 | TNP      |  |  |  |  |  |  |  |
| 434                 | 114                       | 10/6/11 | TNP      |  |  |  |  |  |  |  |
| 345                 | 161                       | 10/6/11 | TNP      |  |  |  |  |  |  |  |
| 463                 | 36                        | 10/6/11 | TNP      |  |  |  |  |  |  |  |

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|                      | Application/Control No. | Applicant(s)/Patent Under Reexamination |
|----------------------|-------------------------|---|
| Issue Classification | 13096587                | FERGUSON ET AL.                         |
|                      | Examiner                | Art Unit                                |
|                      | TOAN N PHAM             | 2612                                    |

| ORIGINAL                                |       |          |         |          |  |                   |   |   |   | INTERNATIONAL    | CLA | SS | IFIC | ΑΤΙ | ON |
|---|-------|----------|---------|----------|--|-------------------|---|---|---|------------------|-----|----|------|-----|----|
|   | CLASS |          |         | SUBCLASS |  | CLAIMED NON-CLAIM |   |   |   | CLAIMED          |     |    |      |     |    |
| 340                                     |       |          | 573.1   |          |  | G                 | 0 | 8 | В | 23 / 00 (2006.0) |     |    |      |     |    |
|   | CR    | OSS REFI | ERENCE( | S)       |  |                   |   |   |   |                  |     |    |      |     |    |
| CLASS SUBCLASS (ONE SUBCLASS PER BLOCK) |       |          | CK)     |          |  |                   |   |   |   |                  |     |    |      |     |    |
| 340                                     | 5.61  | 12.22    |         |          |  |                   |   |   |   |                  |     |    |      |     |    |
| 345                                     | 161   |          |         |          |  |                   |   |   |   |                  |     |    |      |     |    |
| 463                                     | 36    |          |         |          |  |                   |   |   |   |                  |     |    |      |     |    |
| 434                                     | 114   |          |         |          |  |                   |   |   |   |                  |     |    |      |     |    |
|   |       |          |         |          |  |                   |   |   |   |                  |     |    |      |     |    |
|   |       |          |         |          |  |                   |   |   |   |                  |     |    |      |     |    |
|   |       |          |         |          |  |                   |   |   |   |                  |     |    |      |     |    |
|   |       |          |         |          |  |                   |   |   |   |                  |     |    |      |     |    |
|   |       |          |         |          |  |                   |   |   |   |                  |     |    |      |     |    |
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|   |       |          |         |          |  |                   |   |   |   |                  |     |    |      |     |    |
|   |       |          |         |          |  |                   |   |   |   |                  |     |    |      |     |    |

| Claims renumbered in the same order as presented by applicant |          |       |          |       |          |       |          |       | СР       |       | ] T.D.   | [     | <b></b> R.1.4 | 47    |          |
|---|----------|-------|----------|-------|----------|-------|----------|-------|----------|-------|----------|-------|---------------|-------|----------|
| Final   | Original | Final | Original | Final | Original | Final | Original | Final | Original | Final | Original | Final | Original      | Final | Original |
| 1   | 1        | 21    | 17       | 33    | 33       | 49    | 49       | 69    | 65       | 59    | 81       |       |               |       |          |
| 2   | 2        | 22    | 18       | 34    | 34       | 50    | 50       | 70    | 66       | 60    | 82       |       |               |       |          |
| 9   | 3        | 23    | 19       | 35    | 35       | 51    | 51       | 71    | 67       | 61    | 83       |       |               |       |          |
| 10  | 4        | 24    | 20       | 36    | 36       | 52    | 52       | 72    | 68       | 62    | 84       |       |               |       |          |
| 3   | 5        | 25    | 21       | 37    | 37       | 53    | 53       | 73    | 69       |       |          |       |               |       |          |
| 4   | 6        | 26    | 22       | 38    | 38       | 54    | 54       | 74    | 70       |       |          |       |               |       |          |
| 11  | 7        | 27    | 23       | 39    | 39       | 55    | 55       | 75    | 71       |       |          |       |               |       |          |
| 12  | 8        | 28    | 24       | 40    | 40       | 56    | 56       | 76    | 72       |       |          |       |               |       |          |
| 13  | 9        | 29    | 25       | 41    | 41       | 57    | 57       | 77    | 73       |       |          |       |               |       |          |
| 14  | 10       | 30    | 26       | 42    | 42       | 58    | 58       | 78    | 74       |       |          |       |               |       |          |
| 15  | 11       | 5     | 27       | 43    | 43       | 63    | 59       | 79    | 75       |       |          |       |               |       |          |
| 16  | 12       | 6     | 28       | 44    | 44       | 64    | 60       | 80    | 76       |       |          |       |               |       |          |
| 17  | 13       | 7     | 29       | 45    | 45       | 65    | 61       | 81    | 77       |       |          |       |               |       |          |
| 18  | 14       | 31    | 30       | 46    | 46       | 66    | 62       | 82    | 78       |       |          |       |               |       |          |
| 19  | 15       | 8     | 31       | 47    | 47       | 67    | 63       | 83    | 79       |       |          |       |               |       |          |
| 20  | 16       | 32    | 32       | 48    | 48       | 68    | 64       | 84    | 80       |       |          |       |               |       |          |

| NONE  |            | Total Claims Allowed:<br>84 |                   |  |  |  |
|---|------------|-----------------------------|-------------------|--|--|--|
| (Assistant Examiner)                            | (Date)     |                             |                   |  |  |  |
| /TOAN N PHAM/<br>Primary Examiner.Art Unit 2612 | 12/13/2011 | O.G. Print Claim(s)         | O.G. Print Figure |  |  |  |
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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** (Not for submission under 37 CFR 1.99)

|  | Application Number             |       | 13096587     |  |
|--|--------------------------------|-------|--------------|--|
|  | Filing Date                    |       | 2011-04-28   |  |
|  | First Named Inventor           | Fergu | son et al    |  |
|  | Art Unit<br>Examiner Name Pham |       | 2612         |  |
|  |                                |       | , Toan Ngoc  |  |
|  | Attorney Docket Number         |       | FER1947-002H |  |

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| Examiner<br>Initial* | Cite<br>No | Patent Number | Kind<br>Code <sup>1</sup> | Issue Date | Name of Patentee or Applicant<br>of cited Document | Pages,Columns,Lines where<br>Relevant Passages or Relevant<br>Figures Appear |  |  |
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|  | Filing Date                         |      | 2011-04-28   |  |
|  | First Named Inventor Ferguson et al |      | ison et al   |  |
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|  | Examiner Name                       | Pham | n, Toan Ngoc |  |
|  | Attorney Docket Numb                | er   | FER1947-002H |  |

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| INFORMATION DISCLOSURE                 | Application Number          |      | 13096587     |  |
|--|-----------------------------|------|--------------|--|
|  | Filing Date                 |      | 2011-04-28   |  |
|  | First Named Inventor Fergus |      | rguson et al |  |
| (Not for submission under 37 CFR 1.99) | Art Unit                    |      | 2612         |  |
|  | Examiner Name               | Pham | n, Toan Ngoc |  |
|  | Attorney Docket Numb        | er   | FER1947-002H |  |

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A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

| Signature  | /James L. Kwak/ | Date (YYYY-MM-DD)   | 2011-12-15 |
|------------|-----------------|---------------------|------------|
| Name/Print | James L. Kwak   | Registration Number | 41,133     |

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|  | Attorney Docket Numb | er               | FER1947-002H |  |

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| Art Unit                   |  | 2612         |  |
| Examiner Name Pham         |  | , Toan Ngoc  |  |
| Attorney Docket Number     |  | FER1947-002H |  |

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| Examiner<br>Initial*  | Cite<br>No                            | Foreign Document<br>Number <sup>3</sup> | Country<br>Code² i | Kind<br>Code⁴ | Publication<br>Date | Name of Patentee or<br>Applicant of cited<br>Document | Pages,Columns,Lines<br>where Relevant<br>Passages or Relevant<br>Figures Appear | T5 |
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### INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

| Application Number         |  | 13096587     |  |
|----------------------------|--|--------------|--|
| Filing Date                |  | 2011-04-28   |  |
| First Named Inventor Fergu |  | son et al    |  |
| Art Unit                   |  | 2612         |  |
| Examiner Name Pham         |  | , Toan Ngoc  |  |
| Attorney Docket Number     |  | FER1947-002H |  |

| EXAMINER SIGNATURE  |  |  |  |  |  |  |  |
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| Examiner Signature Date Considered  |  |  |  |  |  |  |  |
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 <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

| INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Application Number     |       | 13096587       |  |
|--|------------------------|-------|----------------|--|
|  | Filing Date            |       | 2011-04-28     |  |
|  | First Named Inventor   | Fergu | erguson et al  |  |
|  | Art Unit               |       | 2612           |  |
|  | Examiner Name          | Pham  | ham, Toan Ngoc |  |
|  | Attorney Docket Number |       | FER1947-002H   |  |

| CERTIFIC | ATION ST | ATEMENT |
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See attached certification statement.

**X** Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

None

#### SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

| Signature  | /James L. Kwak/ | Date (YYYY-MM-DD)   | 2011-12-15 |
|------------|-----------------|---------------------|------------|
| Name/Print | James L. Kwak   | Registration Number | 41,133     |

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- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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|                        | Application Number   |                 | 13096587     |  |
|------------------------|----------------------|-----------------|--------------|--|
|                        | Filing Date          |                 | 2011-04-28   |  |
|                        | First Named Inventor | Ferguson et al  |              |  |
|                        | Art Unit             |                 | 2612         |  |
|                        | Examiner Name        | Pham, Toan Ngoc |              |  |
| Attorney Docket Number |                      | er              | FER1947-002H |  |

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|----------------------|------------|---------------|---------------------------|------------|---|--|--|
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| Application Number     |       | 13096587     |
|------------------------|-------|--------------|
| Filing Date            |       | 2011-04-28   |
| First Named Inventor   | Fergu | son et al    |
| Art Unit               |       | 2612         |
| Examiner Name Pham     |       | , Toan Ngoc  |
| Attorney Docket Number |       | FER1947-002H |

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|  | Application Number   |       | 13096587     |  |
|--|----------------------|-------|--------------|--|
|  | Filing Date          |       | 2011-04-28   |  |
| INFORMATION DISCLOSURE   | First Named Inventor | Fergu | ison et al   |  |
| STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Art Unit             |       | 2612         |  |
|  | Examiner Name        | Pham  | ı, Toan Ngoc |  |
|  | Attorney Docket Numb | er    | FER1947-002H |  |

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|  | Filing Date            |       | 2011-04-28   |  |
| INFORMATION DISCLOSURE                 | First Named Inventor   | Fergu | ison et al   |  |
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|  | Examiner Name          | Pham  | n, Toan Ngoc |  |
|  | Attorney Docket Number |       | FER1947-002H |  |

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See attached certification statement.

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| Signature  | /James L. Kwak/ | Date (YYYY-MM-DD)   | 2011-12-15 |
|------------|-----------------|---------------------|------------|
| Name/Print | James L. Kwak   | Registration Number | 41,133     |

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- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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| First Named Inventor   | Fergu | son et al    |
| Art Unit               |       | 2612         |
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|                      | 7          | 5296871                 |                           | 1994-03-22       | Paley  |  |
|                      | 8          | 7236156                 |                           | 2007-06-26       | Liberty et al                                      |  |
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| Application Number     |       | 13096587     |  |  |  |
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| First Named Inventor   | Fergu | son et al    |  |  |  |
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| Examiner Name Pham     |       |              |  |  |  |
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|                       | 1          |      | 20050032582   |                              | 2005-02         | -10           | Mahajan             |  |   |   |    |
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|                       | 1          | 200  | 03053038  | JP                           |                 |               | 2003-02-25          | Miyamoto   |   |   |    |
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|                       | 5          | 099  | 93845   | EP                           |                 |               | 2005-12-21          | Siemens AG   |   |   |    |
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|  | Application Number     |       | 13096587     |  |
|--|------------------------|-------|--------------|--|
|  | Filing Date            |       | 2011-04-28   |  |
| INFORMATION DISCLOSURE   | First Named Inventor   | Fergu | son et al    |  |
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|  | Attorney Docket Number |       | FER1947-002H |  |

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| Signature  | /James L. Kwak/ | Date (YYYY-MM-DD)   | 2011-12-15 |
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| Name/Print | James L. Kwak   | Registration Number | 41,133     |

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| Examiner<br>Initial* | Cite<br>No | F        | Patent Number            | Kind<br>Code <sup>1</sup>    | Issue Date of cited Document |               |  |                             | Issue Date |   |            |  | of cited Document |  |  |  |  | Relev | s,Columns,Lines where<br>/ant Passages or Relev<br>es Appear |  |
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|  | Application Number   |       | 13096587     |
|--|----------------------|-------|--------------|
|  | Filing Date          |       | 2011-04-28   |
| INFORMATION DISCLOSURE   | First Named Inventor | Fergu | ison et al   |
| STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Y APPLICANT          | 2612  |              |
|  | Examiner Name        | Pham  |              |
|  | Attorney Docket Numb | er    | FER1947-002H |

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| Standard S <sup>-1</sup><br><sup>4</sup> Kind of do | Г.З). <sup>З</sup> F<br>cument | <sup>:</sup> or Japa<br>by the a | O Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter offic<br>anese patent documents, the indication of the year of the reign of the Emp<br>appropriate symbols as indicated on the document under WIPO Standard S<br>on is attached. | eror must precede the se | ial number of the patent doc | ument. |

| INFORMATION DISCLOSURE   | Application Number          |    | 13096587     |  |
|--|-----------------------------|----|--------------|--|
|  | Filing Date                 |    | 2011-04-28   |  |
|  | First Named Inventor Fergus |    | uson et al   |  |
| STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Art Unit                    |    | 2612         |  |
|  | Examiner Name Pham          |    | m            |  |
|  | Attorney Docket Numb        | er | FER1947-002H |  |

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That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

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See attached certification statement.

**X** The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

A certification statement is not submitted herewith.

#### SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

| Signature  | /James L. Kwak/ | Date (YYYY-MM-DD)   | 2011-12-15 |
|------------|-----------------|---------------------|------------|
| Name/Print | James L. Kwak   | Registration Number | 41133      |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**.

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| EFS ID:                              | 11630243                           |  |  |  |  |  |  |
| Application Number:                  | 13096587                           |  |  |  |  |  |  |
| International Application Number:    |                                    |  |  |  |  |  |  |
| Confirmation Number:                 | 4852                               |  |  |  |  |  |  |
| Title of Invention:                  | HUMAN MOVEMENT MEASUREMENT SYSTEM  |  |  |  |  |  |  |
| First Named Inventor/Applicant Name: | Kevin Ferguson                     |  |  |  |  |  |  |
| Customer Number:                     | 8698                               |  |  |  |  |  |  |
| Filer:                               | James Lee Kwak/Kimberly Hefner     |  |  |  |  |  |  |
| Filer Authorized By:                 | James Lee Kwak                     |  |  |  |  |  |  |
| Attorney Docket Number:              | FER1947-002H                       |  |  |  |  |  |  |
| Receipt Date:                        | 15-DEC-2011                        |  |  |  |  |  |  |
| Filing Date:                         | 28-APR-2011                        |  |  |  |  |  |  |
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| Application Type:                    | Utility under 35 USC 111(a)        |  |  |  |  |  |  |

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| 1                      | Transmittal Letter          |                         | 20111215_IDS_letter.pdf                      | 17297                               | no                  | 2                   |  |  |
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| 2  | Form (SB08)   | 2011updated_IDSa.pdf  | 1bf5593d35d0e0b10193eaf54645d8cb1aa<br>34d86           | no           |                      |  |  |  |
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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Application No.: | 13/096,587                          |
|------------------|-------------------------------------|
| Filing Date:     | April 28, 2011                      |
| Applicant:       | Kevin Ferguson                      |
| Group Art Unit:  | 2612                                |
| Examiner:        | Pham, Toan Ngoc                     |
| Entitled:        | A HUMAN MOVEMENT MEASUREMENT SYSTEM |
| Docket No.:      | FER1947-002H                        |

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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As authorized and encouraged under 37 CFR §§ 1.97-1.98 and the provisions of MPEP §§ 609 and 707.05(b), Applicant submits on the attached forms PTO/SB/08A certain patent references, publications and/or other information that the Patent and Trademark Office may wish to consider in examining the above-identified patent application. The patent references, publications and/or other information are already of record and fully discussed in the parent cases, U.S. Patent Application No. 12/371,711, filed February 16, 2009, now U.S. Patent No. 7,952,483, issued May 31, 2011, which is in turn a continuation of U.S. Patent Application No. 11/953,578, filed November 6, 2007, now U.S. Patent No. 7,492,268, issued February 17, 2009, which is in turn a continuation of U.S. Patent Application No. 11/187,373, filed July 22, 2005 now U.S. Patent No.

IPR2019-01134 Sony EX1002 Page 205 U.S. Patent Application No. 13/096,587 Information Disclosure Statement Page 2 of 2

7,292,151, issued November 6, 2007, therefore, copies are not attached. Applicant submits this statement in accordance with their duty of disclosure under 37 CFR § 1.56.

The identification of any document in this statement is not intended to be, and should not be understood as being, an admission that each such document, in fact, constitutes "prior art" within the meaning of applicable law.

Applicant respectfully requests that the documents cited in this statement be made of record in the normal manner and that such documents appear on the printed patent as being considered and made of record.

Respectfully submitted,

Date: 15 December 2011

By: /James L. Kwak/ James L. Kwak Registration No. 41,133 Standley Law Group LLP 6300 Riverside Drive Dublin, Ohio 43017-5315 Telephone: (614) 792-5555 Facsimile: (614) 792-5536

> IPR2019-01134 Sony EX1002 Page 206

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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** (Not for submission under 37 CFR 1.99)

| Application Number         |  | 13096587     |
|----------------------------|--|--------------|
| Filing Date                |  | 2011-04-28   |
| First Named Inventor Fergu |  | son          |
| Art Unit                   |  | 2612         |
| Examiner Name Pham         |  | , Toan Ngoc  |
| Attorney Docket Number     |  | FER1947-002H |

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|----------------------|------------|---------------|---------------------------|------------|--|--|--|--|
| Examiner<br>Initial* | Cite<br>No | Patent Number | Kind<br>Code <sup>1</sup> | Issue Date | Name of Patentee or Applicant<br>of cited Document | Pages,Columns,Lines where<br>Relevant Passages or Relevant<br>Figures Appear |  |  |
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# **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT ))

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| Application Number         |  | 13096587     |  |
|----------------------------|--|--------------|--|
| Filing Date                |  | 2011-04-28   |  |
| First Named Inventor Fergu |  | son          |  |
| Art Unit                   |  | 2612         |  |
| Examiner Name Pham         |  | , Toan Ngoc  |  |
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| Application Number         |  | 13096587     |  |
|----------------------------|--|--------------|--|
| Filing Date                |  | 2011-04-28   |  |
| First Named Inventor Fergu |  | son          |  |
| Art Unit                   |  | 2612         |  |
| Examiner Name Pham         |  | , Toan Ngoc  |  |
| Attorney Docket Number     |  | FER1947-002H |  |

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| Application Number         |  | 13096587     |  |  |
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| First Named Inventor Fergu |  | son          |  |  |
| Art Unit                   |  | 2612         |  |  |
| Examiner Name Pham         |  | , Toan Ngoc  |  |  |
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|  | First Named Inventor   | Fergu | erguson      |  |
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|  | Examiner Name          | Pham  | ı, Toan Ngoc |  |
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| Signature  | /James L. Kwak/ | Date (YYYY-MM-DD)   | 2011-12-15 |
|------------|-----------------|---------------------|------------|
| Name/Print | James L. Kwak   | Registration Number | 41,133     |

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| First Named Inventor Fergu |  | son et al    |  |  |
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|  | Filing Date            |       | 2011-04-28   |  |
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| STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Art Unit               |       | 2612         |  |
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|  | Application Number    |       | 13096587     |  |
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|  | Filing Date           |       | 2011-04-28   |  |
| INFORMATION DISCLOSURE   | First Named Inventor  | Fergu | ison et al   |  |
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|  | Examiner Name         | Pham  |              |  |
|  | Attorney Docket Numbe |       | FER1947-002H |  |

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That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

**X** Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

None

#### SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

| Signature  | /James L. Kwak/ | Date (YYYY-MM-DD)   | 2011-12-15 |
|------------|-----------------|---------------------|------------|
| Name/Print | James L. Kwak   | Registration Number | 41,133     |

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|-----------------|--|----------------------|--|------------------|
| APPLICATION NO. | FILING DATE                              | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.  | CONFIRMATION NO. |
| 13/096,587      | 04/28/2011                               | Kevin Ferguson       | FER1947-002H   | 4852             |
|                 | 7590 12/20/2011<br>AW GROUP LLP<br>Drive |                      | EXAMINER<br>PHAM, TOAN NGOC  |                  |
| Dublin, OH 43   | 017                                      |                      | ART UNIT   | PAPER NUMBER     |
|                 |  |                      | 2612   |                  |
|                 |  |                      | MAIL DATE  | DELIVERY MODE    |
|                 |  |                      | 12/20/2011   | PAPER            |

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|   | 1  | T   |                           |  |
|---|--|---|---------------------------|--|
|   | Application No.  | Applicant(s)  | Applicant(s)              |  |
| Supplemental  | 13/096,587   | FERGUSON ET AL  |                           |  |
| Notice of Allowability  | Examiner   | Art Unit  |                           |  |
|   | TOAN N. PHAM   | 2612  |                           |  |
| The MAILING DATE of this communication apport<br>All claims being allowable, PROSECUTION ON THE MERITS IS<br>herewith (or previously mailed), a Notice of Allowance (PTOL-85)<br>NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R<br>of the Office or upon petition by the applicant. See 37 CFR 1.313   | (OR REMAINS) CLOSED in this app<br>or other appropriate communication<br>IGHTS. This application is subject to<br>and MPEP 1308.   | plication. If not include<br>will be mailed in due  | ed<br>course. <b>THIS</b> |  |
| 1. X This communication is responsive to <u>IDS filed on 12/15/201</u>  | <u>'1</u> .  |   |                           |  |
| 2. An election was made by the applicant in response to a res requirement and election have been incorporated into this action.   |  | he interview on   | ; the restriction         |  |
| 3. ⊠ The allowed claim(s) is/are <u>1-84</u> .  |  |   |                           |  |
| <ul> <li>4. △ Acknowledgment is made of a claim for foreign priority under a) △ All b) △ Some* c) △ None of the: <ol> <li>△ Certified copies of the priority documents have</li> <li>○ Certified copies of the priority documents have</li> <li>○ Certified copies of the priority documents have</li> <li>○ Copies of the certified copies of the priority do International Bureau (PCT Rule 17.2(a)).</li> <li>* Certified copies not received:</li> </ol> </li> <li>Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.</li> <li>○ △ A SUBSTITUTE OATH OR DECLARATION must be submi INFORMAL PATENT APPLICATION (PTO-152) which give</li> <li>CORRECTED DRAWINGS ( as "replacement sheets") must (a) △ including changes required by the Notice of Draftspers 1) △ hereto or 2) △ to Paper No./Mail Date</li> <li>Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in the attached Examiner's comment regarding REQUIREMENT FORMATION about the deposit of E attached Examiner's comment regarding REQUIREMENT FORMATION about the deposit of E attached Examiner's comment regarding REQUIREMENT FORMATION about the deposit of E attached Examiner's comment regarding REQUIREMENT FORMATION about the deposit of E attached Examiner's comment regarding REQUIREMENT FORMATION about the deposit of E attached Examiner's comment regarding REQUIREMENT FORMATION about the deposit of E attached Examiner's comment regarding REQUIREMENT FORMATION about the deposit of E attached Examiner's comment regarding REQUIREMENT FORMATION about the deposit of E attached Examiner's comment regarding REQUIREMENT FORMATION about the deposit of E attached Examiner's comment regarding REQUIREMENT FORMATION about the deposit of E attached Examiner's comment regarding REQUIREMENT FORMATION about the deposit of E attached Examiner's comment regarding REQUIREMENT FORMED at the comment regarding REQUIREMEN</li></ul> | e been received.<br>be been received in Application No<br>cuments have been received in this is<br>of this communication to file a reply<br>IENT of this application.<br>tted. Note the attached EXAMINER'S<br>es reason(s) why the oath or declara<br>t be submitted.<br>son's Patent Drawing Review (PTO-<br>s Amendment / Comment or in the C<br>.84(c)) should be written on the drawin<br>he header according to 37 CFR 1.121(c<br>BIOLOGICAL MATERIAL must be su | national stage applica<br>complying with the red<br>S AMENDMENT or NG<br>tion is deficient.<br>948) attached<br>Office action of<br><b>hgs in the front (not the</b><br><b>d).</b><br>bmitted. Note the | quirements<br>DTICE OF    |  |
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IPR2019-01134 Sony EX1002 Page 227

# 13096587 ~ GAL: 2612 Approved for use through 07/31/2012. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

| Application Number     |       | 13096587     |  |
|------------------------|-------|--------------|--|
| Filing Date            |       | 2011-04-28   |  |
| First Named Inventor   | Fergu | son et al    |  |
| Art Unit               |       | 2612         |  |
| Examiner Name          | Pham  | , Toan Ngoc  |  |
| Attorney Docket Number |       | FER1947-002H |  |

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| Examiner<br>Initial* | Cite<br>No | Patent Number | Kind<br>Code¹ | Issue Date | Name of Patentee or Applicant of cited Document | Pages,Columns,Lines where<br>Relevant Passages or Relevant<br>Figures Appear |
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| Art Unit             |       | 2612        |                      |
| Examiner Name        | Pham  | , Toan Ngoc |                      |
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| Examiner Name Pham         |  | , Toan Ngoc |                      |
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| Examiner Name          | Pham  | , Toan Ngoc |                      |
| Attorney Docket Number |       | FER1947-002 | Н                    |

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13096587 - GAU: 2612 Application Number 13096587 Filing Date 2011-04-28 **INFORMATION DISCLOSURE** First Named Inventor Ferguson et al STATEMENT BY APPLICANT 2612 Art Unit (Not for submission under 37 CFR 1.99) **Examiner Name** Pham, Toan Ngoc FER1947-002H Attorney Docket Number

| EXAMINER SIGNATURE  |   |  |                                   |  |  |  |  |
|---|---|--|-----------------------------------|--|--|--|--|
| Examiner Signature  | /Toan Pham/                                   | Date Considered  | 12/16/2011                        |  |  |  |  |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |   |  |                                   |  |  |  |  |
| Standard ST.3). <sup>3</sup> For Japa   | anese patent documents, the indication of the | <sup>•</sup> MPEP 901.04. <sup>2</sup> Enter office that issued the docume year of the reign of the Emperor must precede the ser ment under WIPO Standard ST.16 if possible. <sup>5</sup> Applic | rial number of the patent documen |  |  |  |  |

English language translation is attached.

|  | Application Number          |      | 13096587     | 13096587 - GAU: 2612 |
|--|-----------------------------|------|--------------|----------------------|
|  | Filing Date                 |      | 2011-04-28   |                      |
| INFORMATION DISCLOSURE   | First Named Inventor Fergus |      | rguson et al |                      |
| STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Art Unit                    |      | 2612         |                      |
|  | Examiner Name               | Pham | n, Toan Ngoc |                      |
|  | Attorney Docket Number      |      | FER1947-002H |                      |

#### **CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

#### OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

**X** Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

None

#### SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

| Signature  | /James L. Kwak/ | Date (YYYY-MM-DD)   | 2011-12-15 |
|------------|-----------------|---------------------|------------|
| Name/Print | James L. Kwak   | Registration Number | 41,133     |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

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- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

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# **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

| Application Number         |  | 13096587     |
|----------------------------|--|--------------|
| Filing Date                |  | 2011-04-28   |
| First Named Inventor Fergu |  | son et al    |
| Art Unit                   |  | 2612         |
| Examiner Name Pham         |  | , Toan Ngoc  |
| Attorney Docket Number     |  | FER1947-002H |

|                      |                            |         |               | U.S.       | PATENTS   | Remove   |
|----------------------|----------------------------|---------|---------------|------------|---|--|
| Examiner<br>Initial* | er Cite<br>No Patent Numbe |         | Kind<br>Code¹ | Issue Date | Name of Patentee or Applicant of cited Document | Pages,Columns,Lines where<br>Relevant Passages or Relevant<br>Figures Appear |
|                      | 1                          | 6119516 |               | 2000-09-19 | Hock  |  |
|                      | 2                          | 6315673 |               | 2001-11-13 | Kopera et al                                    |  |
|                      | 3                          | 6545661 |               | 2003-04-08 | Goschy et al                                    |  |
|                      | 4                          | 6834436 |               | 2004-12-24 | Townsend et al                                  |  |
|                      | 5                          | 6876496 |               | 2005-04-15 | French et al                                    |  |
|                      | 6                          | 7158118 |               | 2007-01-02 | Liberty   |  |
|                      | 7                          | 7236156 |               | 2007-06-26 | Liberty et al                                   |  |
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| ( | Not | for | subm | ission | under | 37 | CFR | 1.99 |
|---|-----|-----|------|--------|-------|----|-----|------|
|---|-----|-----|------|--------|-------|----|-----|------|

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| First Named Inventor | Fergu | son et al   |                      |
| Art Unit             | -     | 2612        |                      |
| Examiner Name Pham   |       | , Toan Ngoc |                      |
| Attorney Docket Numb | er    | FER1947-002 | 2H                   |

|  | 9          | 7262760                                 |                              | 2007-08         | 3-28          | Liberty  |                     |  |
|--|------------|---|------------------------------|-----------------|---------------|--|---------------------|--|
|  | 10         | 7414611                                 |                              | 2008-08         | 3-19          | Liberty  |                     |  |
|  | 11         | 5790124                                 |                              | 2008-08         | 3-04          | Fischer et al  |                     |  |
|  | 12         | 7359121                                 |                              | 2008-04         | I-15          | French et al   |                     |  |
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| Examiner<br>Initial*   | Cite N     | No Publication<br>Number                | Kind<br>Code <sup>1</sup>    | Publica<br>Date |               |  |                     | Pages,Columns,Lines where<br>Relevant Passages or Relevant<br>Figures Appear |
|  | 1          | 20060028446                             |                              | 2006-02         | 2-09          | Liberty et al  |                     |  |
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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /TP/ IPR2019-01134 Sony EX1002 Page 238

|  | Application Number               |      | 13096587      | 13096587 - GAU: 2612 |
|--|----------------------------------|------|---------------|----------------------|
|  | Filing Date                      |      | 2011-04-28    |                      |
| INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT | First Named Inventor Ferguson et |      | son et al     |                      |
| (Not for submission under 37 CFR 1.99)           | Art Unit                         |      | 2612          |                      |
|  | Examiner Name                    | Pham | am, Toan Ngoc |                      |
|  | Attorney Docket Number           |      | FER1947-002H  |                      |

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|  | EXAMINER SIGNATURE  |        |  |                     |                        |            |  |  |  |
| Examiner                                 | Signa   | iture  | /Toan Pham/                                |                     | Date Considered        | 12/16/2011 |  |  |  |
|  | *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.   |        |  |                     |                        |            |  |  |  |
| Standard ST.<br><sup>4</sup> Kind of doc | <sup>1</sup> See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached. |        |  |                     |                        |            |  |  |  |

|  | Application Number           |      | 13096587      | 13096587 - GAU: 2612 |
|--|------------------------------|------|---------------|----------------------|
|  | Filing Date                  |      | 2011-04-28    |                      |
| INFORMATION DISCLOSURE   | First Named Inventor Ferguso |      | uson et al    |                      |
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|  | Examiner Name                | Pham | am, Toan Ngoc |                      |
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X A certification statement is not submitted herewith.

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| Signature  | /James L. Kwak/ | Date (YYYY-MM-DD)   | 2011-12-15 |
|------------|-----------------|---------------------|------------|
| Name/Print | James L. Kwak   | Registration Number | 41,133     |

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- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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(Not for submission under 37 CFR 1.99)

| Application Number     |       | 13096587     |  |  |
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| Filing Date            |       | 2011-04-28   |  |  |
| First Named Inventor   | Fergu | son et al    |  |  |
| Art Unit               |       | 2612         |  |  |
| Examiner Name Pham     |       | n, Toan Ngoc |  |  |
| Attorney Docket Number |       | FER1947-002H |  |  |

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|----------------------|--|---------|---------------------------|------------|---|--|--|--|
| Examiner<br>Initial* | Examiner Cite<br>Initial* No Patent Number |         | Kind<br>Code <sup>1</sup> | Issue Date | Name of Patentee or Applicant of cited Document | Pages,Columns,Lines where<br>Relevant Passages or Relevant<br>Figures Appear |  |  |
|                      | 1  | 5715834 |                           | 1998-02-10 | Bergamasco et al                                |  |  |  |
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|                      | 3  | 5759044 |                           | 1998-06-02 | Redmond   |  |  |  |
|                      | 4  | 5785631 |                           | 1998-07-28 | Bobick  |  |  |  |
|                      | 5  | 5785631 |                           | 1998-07-28 | Heidecke  |  |  |  |
|                      | 6  | 5790076 |                           | 1998-08-04 | Sypniewski                                      |  |  |  |
|                      | 7  | 5792031 |                           | 1998-08-11 | Alton   |  |  |  |
|                      | 8  | 5812257 |                           | 1998-09-22 | Teitel et al                                    |  |  |  |

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| (Not for submission | under 37 | CFR | 1.99) |
|---------------------|----------|-----|-------|
|---------------------|----------|-----|-------|

| Application Number   |       | 13096587    | 13096587 - GAU: 2612 |
|----------------------|-------|-------------|----------------------|
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| First Named Inventor | Fergu | son et al   |                      |
| Art Unit             | -     | 2612        |                      |
| Examiner Name Pham   |       | , Toan Ngoc |                      |
| Attorney Docket Numb | er    | FER1947-002 | Н                    |

| 9  | 5838816 | 1998-11-17 | Holmberg     |  |
|----|---------|------------|--------------|--|
| 10 | 5846086 | 1998-12-08 | Bizzi et al  |  |
| 11 | 5850201 | 1998-12-15 | Lasko-Harvil |  |
| 12 | 5872438 | 1999-02-16 | Roston       |  |
| 13 | 5888172 | 1999-03-30 | Andras et al |  |
| 14 | 5890995 | 1999-04-06 | Bobick       |  |
| 15 | 5913727 | 1999-06-22 | Andoot       |  |
| 16 | 5929782 | 1999-07-27 | Stark et al  |  |
| 17 | 5963891 | 1999-10-05 | Walker et al |  |
| 18 | 5989157 | 1999-11-23 | Walton       |  |
| 19 | 6004243 | 1999-12-21 | Ewart        |  |

| (Not for submission | under 37 | CFR | 1.99) |
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| Art Unit             | -     | 2612        |                      |
| Examiner Name        | Pham  | , Toan Ngoc |                      |
| Attorney Docket Numb | er    | FER1947-002 | Н                    |

| 20 | 6028593 | 2000-02-22 | Rosenberg et al  |  |
|----|---------|------------|------------------|--|
| 21 | 6043873 | 2000-03-28 | Ramer et al      |  |
| 22 | 6050822 | 2000-04-18 | Faughnn          |  |
| 23 | 6050963 | 2000-04-18 | Johnson et al    |  |
| 24 | 6054951 | 2000-04-25 | Sypniewski       |  |
| 25 | 6066075 | 2000-05-23 | Poulton          |  |
| 26 | 6073489 | 2000-06-13 | French et al     |  |
| 27 | 6077201 | 2000-06-20 | Cheng            |  |
| 28 | 6088091 | 2000-07-11 | Ramer            |  |
| 29 | 6098458 | 2000-08-08 | French et al     |  |
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| Art Unit               | -     | 2612        |                      |
| Examiner Name          | Pham  | , Toan Ngoc |                      |
| Attorney Docket Number |       | FER1947-002 | Н                    |

| 31 | 6132337 | 2000-10-17 | Krupka et al |  |
|----|---------|------------|--------------|--|
| 32 | 6152856 | 2000-11-28 | Studor       |  |
| 33 | 6162191 | 2000-12-19 | Foxlin       |  |
| 34 | 6164973 | 2000-12-26 | Macri        |  |
| 35 | 6181343 | 2001-01-30 | Lyons        |  |
| 36 | 6183259 | 2001-02-06 | Macri        |  |
| 37 | 6198528 | 2001-03-06 | Maynard      |  |
| 38 | 6244987 | 2001-06-12 | Ohsuga       |  |
| 39 | 6308565 | 2001-10-30 | French et al |  |
| 40 | 6346045 | 2002-02-12 | Rider        |  |
| 41 | 6361507 | 2002-03-06 | Foxlin       |  |

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| Examiner Name        | Pham  | , Toan Ngoc |                      |  |  |
| Attorney Docket Numb | er    | FER1947-002 | Н                    |  |  |

|                      | 42       | 6366272               |                           | 2002-04-02          | Rosenberg et al                                    |  |
|----------------------|----------|-----------------------|---------------------------|---------------------|--|--|
|                      | 43       | 6400452               |                           | 2002-06-04          | Maynard  |  |
|                      | 44       | 6430997               |                           | 2002-08-13          | French et al                                       |  |
|                      | 45       | 6487906               |                           | 2002-12-03          | Hock   |  |
|                      | 46       | 6515593               |                           | 2003-02-04          | Stark et al  |  |
|                      | 47       | 6720876               |                           | 2004-04-13          | Burgess  |  |
|                      | 48       | 6749432               |                           | 2004-06-15          | French et al                                       |  |
|                      | 49       | 6765726               |                           | 2004-07-20          | French et al                                       |  |
|                      | 50       | 6774885               |                           | 2004-08-10          | Even-Zohar   |  |
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| INFORMATION DISCLOSURE                 | Application Number   |                           | 13096587        | 13096587 | - GAU: 2612 |
|--|----------------------|---------------------------|-----------------|----------|-------------|
|  | Filing Date          |                           | 2011-04-28      |          |             |
|  | First Named Inventor | I Inventor Ferguson et al |                 |          |             |
| (Not for submission under 37 CFR 1.99) | Art Unit             |                           | 2612            |          |             |
|  | Examiner Name        | Pham                      | Pham, Toan Ngoc |          |             |
|  | Attorney Docket Numb | er                        | FER1947-002H    |          |             |

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| INFORMATION DISCLOSURE   | Application Number   |       | 13096587       | 13096587 - | GAU: 2612 |
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|  | Filing Date          |       | 2011-04-28     |            |           |
|  | First Named Inventor | Fergu | Ferguson et al |            |           |
| STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Art Unit             | -     | 2612           |            |           |
|  | Examiner Name        | Pham  | , Toan Ngoc    |            |           |
|  | Attorney Docket Numb | er    | FER1947-002H   |            |           |

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| Signature  | /James L. Kwak/ | Date (YYYY-MM-DD)   | 2011-12-15 |
|------------|-----------------|---------------------|------------|
| Name/Print | James L. Kwak   | Registration Number | 41,133     |

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- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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| Application Number         |  | 13096587     |
|----------------------------|--|--------------|
| Filing Date                |  | 2011-04-28   |
| First Named Inventor Fergu |  | son et al    |
| Art Unit                   |  | 2612         |
| Examiner Name Pham         |  |              |
| Attorney Docket Number     |  | FER1947-002H |

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|  | Application Number     |                | 13096587     | 13096587 - GAU: 2612 |
|--|------------------------|----------------|--------------|----------------------|
| INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Filing Date            |                | 2011-04-28   |                      |
|  | First Named Inventor   | Ferguson et al |              |                      |
|  | Art Unit               |                | 2612         |                      |
|  | Examiner Name          | Pham           |              |                      |
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|--|------------------------|-------|----------------|----------------------|
|  | Filing Date            |       | 2011-04-28     |                      |
|  | First Named Inventor   | Fergu | Ferguson et al |                      |
| STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Art Unit               |       | 2612           |                      |
|  | Examiner Name          | Pham  |                |                      |
|  | Attorney Docket Number |       | FER1947-002H   |                      |

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| Signature  | /James L. Kwak/ | Date (YYYY-MM-DD)   | 2011-12-15 |
|------------|-----------------|---------------------|------------|
| Name/Print | James L. Kwak   | Registration Number | 41133      |

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|--|---|--|--------------|
|  | Filing Date   |  | 2011-04-28   |
|  | First Named InventorFergularArt UnitExaminer NamePhameAttorney Docket NumberPhame |  | son et al    |
|  |   |  | 2612         |
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#### 13096587 - GAU: 2612 Application Number 13096587 Filing Date 2011-04-28 **INFORMATION DISCLOSURE** First Named Inventor Ferguson et al **STATEMENT BY APPLICANT** 2612 Art Unit (Not for submission under 37 CFR 1.99) Examiner Name Pham Attorney Docket Number FER1947-002H

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| INFORMATION DISCLOSURE   | First Named Inventor   | I Inventor Ferguson et al |              |          |             |
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| INFORMATION DISCLOSURE   | First Named Inventor   | Fergu |              |                      |
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|  | Examiner Name          | Pham  |              |                      |
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| Filing Date          |       | 2011-04-28   |
| First Named Inventor | Fergu | son et al    |
| Art Unit             |       | 2612         |
| Examiner Name Pham   |       |              |
| Attorney Docket Numb | er    | FER1947-002H |

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IPR2019-01134 Sony EX1002 Page 263

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|  | Filing Date                |      | 2011-04-28    |          |             |
| INFORMATION DISCLOSURE   | First Named Inventor Fergu |      | erguson et al |          |             |
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| Examiner  | Signa  | ture   | /Toan Pham/   | Date Considered                             | 12/16/2011                   |        |  |
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|  | Examiner Name                       | Pham | Pham         |                      |  |
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See attached certification statement.

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None

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A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

| Signature  | /James L. Kwak/ | Date (YYYY-MM-DD)   | 2011-12-15 |
|------------|-----------------|---------------------|------------|
| Name/Print | James L. Kwak   | Registration Number | 41,133     |

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| Application Number         |  | 13096587     |  |
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| Filing Date                |  | 2011-04-28   |  |
| First Named Inventor Fergu |  | son          |  |
| Art Unit                   |  | 2612         |  |
| Examiner Name Pham         |  | , Toan Ngoc  |  |
| Attorney Docket Number     |  | FER1947-002H |  |

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| Examiner Name Pham         |  | , Toan Ngoc |                      |
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| Art Unit             |       | 2612        |                      |
| Examiner Name        | Pham  | , Toan Ngoc |                      |
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|  | Application Number   |                               | 13096587     | 13096587 | - GAU: 2612 |
|--|----------------------|-------------------------------|--------------|----------|-------------|
|  | Filing Date          |                               | 2011-04-28   |          |             |
| INFORMATION DISCLOSURE   | First Named Inventor | First Named Inventor Ferguson |              |          |             |
| STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Art Unit             |                               | 2612         |          |             |
|  | Examiner Name        | Pham, Toan Ngoc               |              |          |             |
|  | Attorney Docket Numb | er                            | FER1947-002H |          |             |

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|  | Application Number     |       | 13096587       | 13096587 - GAU: 2612 |  |
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|  | Filing Date            |       | 2011-04-28     |                      |  |
| INFORMATION DISCLOSURE                 | First Named Inventor   | Fergu | ison           |                      |  |
| (Not for submission under 37 CFR 1.99) | Art Unit               |       | 2612           |                      |  |
|  | Examiner Name          | Pham  | ham, Toan Ngoc |                      |  |
|  | Attorney Docket Number |       | FER1947-002H   |                      |  |

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| Examiner   | Examiner Signature /Toan Pham/  |                      |  |                          | 12/16/2011                    |        |  |  |  |  |  |
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|  | Application Number   |          | 13096587       | 13096587 - GAU: 2612 |
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| INFORMATION DISCLOSURE   | First Named Inventor | Ferguson |                |                      |
| STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Art Unit             |          | 2612           |                      |
|  | Examiner Name Pham   |          | nam, Toan Ngoc |                      |
|  | Attorney Docket Numb | er       | FER1947-002H   |                      |

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A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

| Signature  | /James L. Kwak/ | Date (YYYY-MM-DD)   | 2011-12-15 |
|------------|-----------------|---------------------|------------|
| Name/Print | James L. Kwak   | Registration Number | 41,133     |

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|----------------------------|----|--------------|
| Filing Date                |    | 2011-04-28   |
| First Named Inventor Fergu |    | son et al    |
| Art Unit                   |    | 2612         |
| Examiner Name Pham         |    |              |
| Attorney Docket Numb       | er | FER1947-002H |

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#### 13096587 - GAU: 2612 Application Number 13096587 Filing Date 2011-04-28 **INFORMATION DISCLOSURE** First Named Inventor Ferguson et al **STATEMENT BY APPLICANT** 2612 Art Unit (Not for submission under 37 CFR 1.99) **Examiner Name** Pham Attorney Docket Number FER1947-002H

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|  | Application Number         |      | 13096587     | 13096587 - GAU: 2612 |
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| INFORMATION DISCLOSURE   | First Named Inventor Fergu |      | guson et al  |                      |
| STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Art Unit                   |      | 2612         |                      |
|  | Examiner Name              | Pham | 'ham         |                      |
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See attached certification statement.

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None

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| Signature  | /James L. Kwak/ | Date (YYYY-MM-DD)   | 2011-12-15 |
|------------|-----------------|---------------------|------------|
| Name/Print | James L. Kwak   | Registration Number | 41,133     |

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| 13/096,587 04/28/20   | )11   | Kevin Ferguson   | <b>I</b>   | ER1947-002H  | 4852  |
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| Electronic Patent Application Fee Transmittal |  |            |          |        |                         |  |  |
|---|--|------------|----------|--------|-------------------------|--|--|
| Application Number:                           | 130  | 13096587   |          |        |                         |  |  |
| Filing Date:                                  | 28-  | Apr-2011   |          |        |                         |  |  |
| Title of Invention:                           | HUMAN MOVEMENT MEASUREMENT SYSTEM                                      |            |          |        |                         |  |  |
| First Named Inventor/Applicant Name:          | Kevin Ferguson   |            |          |        |                         |  |  |
| Filer:  | James Lee Kwak/Kimberly Hefner   |            |          |        |                         |  |  |
| Attorney Docket Number:                       | FEF  | R1947-002H |          |        |                         |  |  |
| Filed as Small Entity                         |  |            |          |        |                         |  |  |
| Utility under 35 USC 111(a) Filing Fees       |  |            |          |        |                         |  |  |
| Description                                   |  | Fee Code   | Quantity | Amount | Sub-Total in<br>USD(\$) |  |  |
| Basic Filing:                                 |  |            |          |        |                         |  |  |
| Pages:  |  |            |          |        |                         |  |  |
| Claims:                                       |  |            |          |        |                         |  |  |
| Miscellaneous-Filing:                         |  |            |          |        |                         |  |  |
| Petition:                                     |  |            |          |        |                         |  |  |
| Patent-Appeals-and-Interference:              |  |            |          |        |                         |  |  |
| Post-Allowance-and-Post-Issuance:             |  |            |          |        |                         |  |  |
| Utility Appl issue fee                        |  | 2501       | 1        | 870    | 870                     |  |  |
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|--------------------------------------|-----------------------------------|--|--|
| EFS ID:                              | 12287627                          |  |  |
| Application Number:                  | 13096587                          |  |  |
| International Application Number:    |                                   |  |  |
| Confirmation Number:                 | 4852                              |  |  |
| Title of Invention:                  | HUMAN MOVEMENT MEASUREMENT SYSTEM |  |  |
| First Named Inventor/Applicant Name: | Kevin Ferguson                    |  |  |
| Customer Number:                     | 8698                              |  |  |
| Filer:                               | James Lee Kwak/Kimberly Hefner    |  |  |
| Filer Authorized By:                 | James Lee Kwak                    |  |  |
| Attorney Docket Number:              | FER1947-002H                      |  |  |
| Receipt Date:                        | 13-MAR-2012                       |  |  |
| Filing Date:                         | 28-APR-2011                       |  |  |
| Time Stamp:                          | 10:05:09                          |  |  |
| Application Type:                    | Utility under 35 USC 111(a)       |  |  |

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#### New Applications Under 35 U.S.C. 111

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#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

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|  | Application Number<br>Filing Date                        |  | 13096587     |
|--|--|--|--------------|
|  |  |  | 2011-04-28   |
|  | First Named Inventor Fergu                               |  | son          |
|  | Art Unit<br>Examiner Name Pham<br>Attorney Docket Number |  | 2612         |
|  |  |  | , Toan Ngoc  |
|  |  |  | FER1947-002H |

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|---------------------------------------|---|---------------------------------|---------------------------|------------|---|--|--|--|
| 2/2 <i>9/</i><br>Examiner<br>Initial* |   | Patent Number                   | Kind<br>Code <sup>1</sup> | Issue Date | Name of Patentee or Applicant of cited Document | Pages,Columns,Lines where<br>Relevant Passages or Relevant<br>Figures Appear |  |  |
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|                                       | 2 | 4375674                         |                           | 1983-03-01 | Thorton   |  |  |  |
|                                       | 3 | 4627620                         |                           | 1986-12-09 | Yang  |  |  |  |
|                                       | 4 | 4631676                         |                           | 1986-12-23 | Pugh  |  |  |  |
|                                       | 5 | 4645458                         |                           | 1987-02-24 | Williams  |  |  |  |
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|                                       | 7 | 4702475                         |                           | 1987-10-27 | Elstein et al                                   |  |  |  |
|                                       | 8 | 4751642<br>- <del>4751652</del> |                           | 1988-06-14 | Silva et al                                     |  |  |  |

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# **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

| Application Number   |       | 13096587     |
|----------------------|-------|--------------|
| Filing Date          |       | 2011-04-28   |
| First Named Inventor | Fergu | son et al    |
| Art Unit             |       | 2612         |
| Examiner Name        | Pham  |              |
| Attorney Docket Numb | er    | FER1947-002H |

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|----|----------------------|------------|------------------------|---------------------------|---------------------------------|--|--|
| -  | Examiner<br>Initial* | Cite<br>No | Patent Number          | Kind<br>Code <sup>1</sup> | Issue Date                      | Name of Patentee or Applicant<br>of cited Document | Pages,Columns,Lines where<br>Relevant Passages or Relevant<br>Figures Appear |
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|    |                      | 2          | 5875257<br>5875157     |                           | 1999-02-23                      | Marrin et al                                       |  |
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|    |                      | 6          | 6749432                |                           | 2004-06-15                      | French E   |  |
|    |                      | 7          | 5296871                |                           | 1994-03-22                      | Paley  |  |
|    |                      | 8          | 7236156                |                           | 2007-06-26                      | Liberty et al                                      |  |
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2/29/2012

|            | Application Number         |    | 13096587     |  |
|------------|----------------------------|----|--------------|--|
| _          | Filing Date                |    | 2011-04-28   |  |
| E          | First Named Inventor Fergu |    | son et al    |  |
| 1<br>N     | Art Unit                   |    | 2612         |  |
| ' <b>)</b> | Examiner Name Pham         |    | n, Toan Ngoc |  |
|            | Attorney Docket Numb       | ər | FER1947-002H |  |

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|                      | 3          | 5759044                         |                           | 1998-06-02 | Redmond  |  |  |  |
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|                      | 6          | 5790076                         |                           | 1998-08-04 | Sypniewski   |  |  |  |
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

| Application Number     |       | 13096587     | 13096587 - GAU: 2612 |
|------------------------|-------|--------------|----------------------|
| Filing Date            |       | 2011-04-28   |                      |
| First Named Inventor   | Fergu | son et al    |                      |
| Art Unit               |       | 2612         |                      |
| Examiner Name Pham     |       | , Toan Ngoc  |                      |
| Attorney Docket Number |       | FER1947-002H |                      |

Change(s) applied

to document,

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| .012<br>Cite<br>No  | Foreign Document<br>Number <sup>3</sup>                            | Country<br>Code² j  | Kind<br>Code⁴   | Publication<br>Date  | Name of Patentee or<br>Applicant of cited<br>Document  | Pages,Columns,Lines<br>where Relevant<br>Passages or Relevant<br>Figures Appear  | T5  |
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| 5   | JP2000308756   | JPX<br><del>js</del>  |   | 2000-11-07   | Okamoto  |  |   |
| h to ac   | dd additional Foreign f  | Patent Documer  | nt citation   | information p  | lease click the Add butto  | n Add  | 1   |
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|   | OI2<br>Cite<br>No<br>1<br>2<br>3<br>4<br>5<br>5<br>Cite<br>No<br>1 | O12<br>Cite<br>NoForeign Document<br>Number31WO1997123372WO20000471083WO200187426420040390555JP20003087565JP2000308756Cite<br>NoInclude name of the at (book, magazine, jour publisher, city and/or publisher2HINCKLEY, KEN, "Syn | O12<br>Cite<br>NoForeign Document<br>Number3Country<br>Code2 i1WO199712337WO2WO2000047108WO3WO200187426WO42004039055WO5JP2000308756JFX5JP2000308756JFXInto add additional Foreign Patent Documer<br>MON-PATICite<br>No1Include name of the author (in CAPI<br>(book, magazine, journal, serial, sym<br>publisher, city and/or country where1KAPLAN, US S.I.R. H1383, 1994-12-062HINCKLEY, KEN, "Synchronous Gesture | O12<br>Cite<br>No       Foreign Document<br>Number <sup>3</sup> Country<br>Code <sup>2</sup> j       Kind<br>Code <sup>4</sup> 1       WO199712337       WO       Image: Comparison of the symposymmetry of the symmetry of th | O12<br>Cite<br>No       Foreign Document<br>Number <sup>3</sup> Country<br>Code <sup>2</sup> j       Kind<br>Code <sup>4</sup> Publication<br>Date         1       W0199712337       W0       1997-04-03         2       W02000047108       W0       2000-08-17         3       W0200187426       W0       2001-11-22         4       2004039055       W0       2004-05-06         5       JP2000308756       JFX<br>JS       2000-11-07         no add additional Foreign Patent Document citation information p<br>NON-PATENT LITERATURE DO       2000-11-07         Cite<br>No       Include name of the author (in CAPITAL LETTERS), title of<br>(book, magazine, journal, serial, symposium, catalog, etc),<br>publisher, city and/or country where published.       1         1       KAPLAN, US S.I.R. H1383, 1994-12-06       HINCKLEY, KEN, "Synchronous Gestures for Multiple Persons and participant of the setures for Multiple Persons and participant of the seture of the setures for Multiple Persons and participant of the seture of | O12<br>Cite<br>No       Foreign Document<br>Number <sup>3</sup> Country<br>Code <sup>2</sup> i       Kind<br>Code <sup>4</sup> Publication<br>Date       Name of Patentee or<br>Applicant of cited<br>Document         1       WO199712337       WO       1997-04-03       Rosenberg et al         2       WO2000047108       WO       2000-08-17       Gafni et al         3       WO200187426       WO       2001-11-22       Mynhardt         4       2004039055       WO       2004-05-06       Zimmerman         5       JP2000308756       JFX<br>JS       2000-11-07       Okamoto         Non-PATENT LITERATURE DOCUMENTS         Cite<br>No       Include name of the author (in CAPITAL LETTERS), title of the article (when approp<br>(book, magazine, journal, serial, symposium, catalog, etc.), date, pages(s), volume-is<br>publisher, city and/or country where published.         1       KAPLAN, US S.I.R. H1383, 1994-12-06 | O12<br>Cite<br>No       Foreign Document<br>Number <sup>3</sup> Country<br>Code <sup>2</sup> i       Kind<br>Code <sup>4</sup> Publication<br>Date       Name of Patentee or<br>Applicant of cited<br>Document       Pages, Columns, Lines<br>where Relevant<br>Passages or Relevant<br>Passages or Relevant         1       W0199712337       WO       1997-04-03       Rosenberg et al       Pages, Columns, Lines         2       W02000047108       WO       2000-08-17       Gafni et al       Image: Columns, Lines         3       W0200187426       WO       2001-11-22       Mynhardt       Image: Columns, Lines         4       2004039055       WO       2004-05-06       Zimmerman       Image: Columns, Lines         5       JP2000308756       JPX<br>TS       2000-11-07       Okamoto       Add         Cite<br>No       Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item<br>(book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s),<br>publisher, city and/or country where published.       Image: Columna, Serial, symposium, catalog, etc), date, pages(s), volume-issue number(s),<br>publisher, city and/or country where published.         1       KAPLAN, US S.I.R. H1383, 1994-12-06       Image: Columna, Serial symposium, catalog, etc), date, pages(s), volume-issue number(s),<br>publisher, city and/or country where published. |

#### 13096587 - GAU: 2612 **Application Number** 13096587 Filing Date 2011-04-28 **INFORMATION DISCLOSURE** First Named Inventor Ferguson et al **STATEMENT BY APPLICANT** 2612 Art Unit (Not for submission under 37 CFR 1.99) **Examiner** Name Pham, Toan Ngoc Attorney Docket Number FER1947-002H

|                     | 42                       |                                  |                           |                     |  |   |
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|-----------------|----------------|------------|---------------------|------------------|
| 13/096,587      | 04/17/2012     | 8159354    | FER1947-002H        | 4852             |
| 8698 7          | 590 03/28/2012 |            |                     |                  |

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# **ISSUE NOTIFICATION**

The projected patent number and issue date are specified above.

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 0 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Kevin Ferguson, Dublin, OH; Donald Gronachan, Holtsville, NY;