UBIQUITOUS CONNECTIVITY AND CONTROL SYSTEM
FOR REMOTE LOCATIONS

Related Application

This application is a divisional of United States Application No. 11/163,372, filed October 17, 2005, pending, which is a divisional of United States Application No. 11/160,006, filed June 6, 2005, now United States Patent No. 6,990,335, patented on January 24, 2006, which claims the benefit of United States Provisional Application No. 60/522,887, filed November 18, 2004, in the name of Charles Shamoon and entitled "Ubiquitous Connectivity and Control System for Remote Locations".

Field of the Invention

The present invention relates to a remote monitoring and control system for an environment. More specifically, the system relates to on demand bidirectional communication between a remote access unit and a multifunctional base control unit in a geographically remote location.

1

Resideo Technologies, Inc. v. Ubiquitous Connectivity, LP
IPR2019-01335
Patent # 8,064,935
Exhibit # 1009

Background of the Invention

In addition to a main residence, modern times provide people and families with vacation homes that are geographically remote from the regular places of residence. Also, owners of businesses generally have their place of business remote from their residence. These structures tend to be affected by changes in environmental characteristics, either natural (i.e., weather conditions), or nonnatural (i.e., vandalism, break-ins, etc.). Generally, a thermostat monitors the ambient temperature and regulates a heating/cooling appliance to keep the building within the predefined temperature range thereby accomplishing the typical environmental control. The typical thermostat only monitors the ambient temperatures. Where the building is in a volatile climatic region, it is not always feasible to maintain the full functions of the appliances year round. For example, a building located in a region known for very warm temperatures, if the owner resides several hundred miles away, there would be no need to activate a cooling system on an abnormally warm day during a virtually cool season. There is no system found that monitors the ambient environmental factors

within a predefined range of values, upon an exception to the predefined values, alerts the remotely located owner, and allows the owner to remotely redefine the acceptable environmental parameters. Thus a remote control system solving the aforementioned problem, as well as several others, is desired.

The home control industry is a rapidly growing, multi-billion dollar industry. This growth has been accompanied by the recent development of smart appliances and efforts to development a universal protocol for home control by major companies. The present invention provides the next major development in today's home control industry, ubiquitous connectivity and control of structure environment.

The home control industry has taken steps toward ubiquitous connectivity and control over the years, but these steps have fallen short until now. The home control industry has moved from ultrasonic remote control to infrared remote control to local RF remote control and on to land-line phone home-away-from-home remote control. The advent of improved cell phone technology provided more mobility and convenience to home-away-from-home control. High-speed and wireless Internet access has allowed

connectivity to the home through home-based and wireless laptop computers. While an advance in connectivity, mobile Internet connectivity, like its predecessors, is not without significant challenges, especially with mobility and convenience.

The invention of the microcontroller and microcomputer has spawned a robust industry in home automation. An endless number of systems have been created by hobbyist, commercial, and industrial developers. Processes and devices for which automation has been pioneered include audio systems, video systems, security and surveillance systems, lighting systems, watering and irrigation systems, systems for measurement of vital statistics for medical patients, and garage door openers, to name a few. The typical control architecture includes a transmitter and a receiver in which a command is issued by the transmitter and is executed in response by the receiver. Communications links have used radio frequency, infrared, and ultrasonic technologies.

Other technologies used for home automation include power line carrier and telephone line. Power line carrier and telephone line systems both have the benefit of being able to use existing facility wiring for communications. Each has its own benefits and

detriments. Power line systems operate on existing building electrical wiring while telephone line systems use existing telephone wiring. Electrical wiring is usually available in more locations within a building than is telephone wiring. Telephone line systems are generally more secure.

By far the most popular and ubiquitous power line carrier system is the X10. This system use transmitter, receiver, and controller modules connected to the building wiring, usually by plugging directly into an electrical outlet. Each module is individually addressed by a companion module, which is responsive to commands issued or received that are encoded with a preset digital address. The X10 system, like most power line carrier technologies, is characteristically confined to operation within a very limited area, such as within a single building. Newer interfaces have been developed to allow control of a broad range of external communications systems with X10 systems.

The need to expand the geographic range of control for a limited system, such as power line carrier, radio frequency, or infrared, led to the development of telephone interfaces, including voice, DTMF, and Caller ID. While such systems allow users to

exercise control of their facilities remotely, they are not as user friendly and often use tones or cryptic, hard-to-understand, digitized voice prompts. Often a long distance call from a pay telephone was required to access the controller, which had to be interfaced with the facility telephone network.

The availability of personal computers, the Internet, and broadband networks has fueled the explosion in the number of home automation methods and devices. Controlling software is available for Windows, Macintosh, Linux, and DOS based computers. Every imaginable type of input–output port has been used to communicate data into and out of a computer. Examples of available interfaces usable for home automation and control purposes include USB, parallel port, joystick, serial (RS–232, RS–422, RS–485 and the like), audio, ActiveX, and TCP/IP. Bidirectional communications have become more prevalent, allowing a remote operator to not only control but also to observe and monitor the tasks performed by the automation system. Internet–based utilities allow control and monitoring of systems from any computer anywhere in the world.

One of the most relevant entries into the home automation universe is the cellular telephone. In their most basic mode, cell phones can be used to control the same systems accessible by conventional telephones through the dial-up POTS network. A more advanced method involves the use of the cell phone network's short message service ("SMS") in which text messages are sent to and received from a controlled system. SMS messages travel on the same cellular network (on the same physical layer) as standard voice calls but on a different logical channel.

Summary of the Invention

The present invention uses an on-demand digital, private, and direct communications interface to overcome the shortcomings and limitations of current communications interfacing. A digital, private, and direct-connect remote controller provides instant wireless connectivity to the home from anywhere in the world without dialing a number or waiting for a number of tones to send a command or to know the status of conditions in the home; provides silent and constant direct two-way communication privately and globally, with the home for control and monitoring of key functions; eliminates

limitations, immobility, and inopportunities to connect, monitor and control the home, globally; can be configured to interface with many existing and future home automation systems and technologies; provides instant ubiquitous control for the end-user; and, if so desired, eliminates the need for the PC, modems and interconnected wiring.

The digital private direct connect controller allows the enduser to finally realize true global connectivity to and control of the home.

Brief Description of the Drawings

The above features and advantages of the present invention will become apparent upon reading the following description taken in conjunction with the accompanying drawings in which:

Figure 1 is a block diagram illustrating a connectivity and control system according to an embodiment of the present invention;

Figure 2 is a block diagram of the remote control unit of the system of Figure 1;

Figure 3 is a block diagram of the essential components of the remote control unit of the system of Figure 1; and

Figure 4 is a block diagram of the base control unit of the system of Figure 1.

Similar reference characters denote corresponding features consistently throughout the attached drawings.

Detailed Description of the Preferred Embodiments

Referring to the drawings, Figure 1 illustrates a ubiquitous connectivity and control system 10 wherein a master remote control unit 12 at a geographically remote location 14 on demand interfaces with a base control unit 16 in a structure 18 to monitor and control associated devices 21 thereat through a short message and/or the data bearer cellular telephone network 22 including control towers 24. The system 10 further includes associated control units 26 that are enabled by the base control unit 16 to undertake select monitoring and controlling activities in a proximate area 28.

Associated control units 26 and the master control unit 12 utilize the global position network 27 and/or the cellular telephone network 22 and towers 24 in order to determine their geographical

locations 14, 20 and report this information back to the base control unit 16 using the short message and /or data bearer services of the cellular telephone network 22 and control towers 24.

Referring to Figure 2, the master remote control unit 12 and associated remote control units 26 are Java/J2ME enable cellular telephones 30 having a keypad 32 with a plurality of keys 34 including a select button 36, and an LCD display 38 for displaying textual information 40 and graphical icons 42 responsive to commands received from the base control unit 16 or from other control units configured through base control unit 16 to work with system 10.

Referring to Figure 3, the cellular telephone 30 suitable as a remote control unit 12 or 26 includes a microcontroller 45 electrically interconnected with random access memory 44, read only memory 46, the keypad 32, and the LCD display 38. Power is supplied to the operational components by power control circuits 48 connected to rechargeable batteries 50. Wire 52 electrically interconnects charger 54 to system connector 49. Power from the system connector 49 is controlled through the system bus circuits 47 and microcontroller 45 to charge battery pack 50. The remote

control unit 12 communicates with external devices through the cellular RF interface circuits 56 connected to an antenna 58, a Bluetooth module 60, a universal serial bus (USB) module 62 or through system connector 49 and system bus circuits 47. Cellular voice and data communications are transmitted to and received from the cellular telephone network 22 by the wireless cellular rf circuits 56 in a conventional manner. The Bluetooth data is received and transmitted by the Bluetooth module 60. Global satellite positioning information is received by either an external GPS module 64 or by an integrated GPS receiver incorporated into the cellular RF circuits 56. Data from the external GPS receiver 64 is communicated over serial interface cable 67 connected to system connector 49 and is then transferred to microcontroller 45 through the system bus circuits 47. Audio communications are received on the microphone 66 and are transmitted on the audio speaker 68 through audio interface circuits 69.

The custom JAVA/J2ME application software is transferred into the ROM 46 for access by the microcontroller 45. The application software can be downloaded into the ROM 46 by transmission from the cellular network 22 to the antenna 58 to the microcontroller 45,

which stores the software therein. The application may also be downloaded by an external program device, such as a personal computer (not shown) by Bluetooth module 60, USB interface 62, or from the system connector 49. The RAM 44 provides service or scratchpad memory for computational use by microcontroller 45.

Referring to Figure 4, the base control unit 16 consists a wireless module 70 communicating with a microcontroller 106 for operating a number of separate subsystems. The microcontroller communicates with each of the subsystem components using either a serial or parallel communications bus depending upon the capabilities of the particular subsystem component.

The subsystems contained within the base control unit 16 consist of either off-the-shelf integrated circuits combined with discreet components or complete modules provided by other original equipment manufacturers (OEMs). As there are many different providers of components and modules, only one particular method of systems design and integration is described herein. Those skilled in the art will recognize that there are many ways to put together components and modules to achieve a design with similar features.

The base control unit 16 includes a plurality of communication interfaces 72, 74, 76, 78, 80, 82, 84 86 and 88 for providing the means for remote control and remote monitoring of the various subsystems within the residential environment. Remote monitoring and control can be achieved through either the wireless module 70 or the Ethernet interface 82. A multi-purpose expansion bus 89 is a proprietary bus providing a custom communications interface for accessories developed exclusively for use with the base control unit.

The base control unit 16 consists of an antenna 90 which is attached to the wireless interface module 70. The antenna connection may be made either directly through a connector incorporated as part of the antenna or through a coaxial cable so that the antenna 90 may be mounted at a location remote from the base control unit.

The wireless interface module 70 provides both voice and data communications capabilities to the base control unit 16 through audio interface 94, power interface 96 and I/O interface 98. A microphone 100 and a speaker 102 provide for voice communications to the user through the audio interface 94 of the wireless interface module 70. The power interface 96 provides

power to the module 70 via power supply 104 and also allows a system microcontroller 106 to control the on/off state of the module. The power supply 104 is connected to the structure power supply through connector 108. The I/O interface 98 provides for a data pathway for digital communications over bus 110 as well as providing the means for the system microcontroller 106 to control the various communications aspects of the wireless module 70. Data communicated over bus 110 is RS232 ASCII or text "AT" commands commonly used in telephone modem instruction sets.

The user interacts with the base station through keypad 120 and LCD display 112 to the system microcontroller 106.

Applications software embedded into the on-board ROM of the microcontroller 106 provides the functionality for interpreting the keypad 120 input and for displaying appropriate messages on the LCD display 112. Alternatively, the LCD display 112 may be of the well known touch pad design in which the keypad 120 functions are performed by pressing against predetermined screen areas that correspond to key designations. Further, the LCD Display 112 and keypad 120 may be eliminated completely with the base control unit 16 including web services that enable communication through one

of the communications interfaces, such as the Ethernet 82 interface or the USB interface 80, to a connected personal computer equipped with a web browser or other communications software. The base control unit 16 is TCP/IP enabled, permitting its direct connection to a local area network or directly to the Internet. With this configuration, programming and customization of the applications software in the base control unit can be performed from anywhere in the world with an Internet connection.

The interfaces of the base control unit 16 provide for the control and the monitoring of a variety of subsystems within the residential environment as well as providing a means of expanding the functionality of the base station through the use of industry standard interface technologies including universal serial bus (USB) 80; the Ethernet (10/100/1000 Base–T) bus 82 and the RS–232 serial bus 78.

The interface 76, environmental sensor network, is implemented as a 1-Wire® network allowing for a number of various types of temperature, humidity, light-level and other sensors to be monitored by the base control unit 16. The 1-Wire® network is expandable to a wide array of devices including general purpose

digital and analog I/O devices which would allow for the control of actuators and relays that may be useful for control of air dampers, water control valves, electronic windows, vents and other such devices utilized within a typical residential environment.

The interface 74, the air handling control systems interface, provides the means by which the base station serves to control the heating, air conditioning and other air handling equipment within the residence. This interface is designed to replace the standard thermostat that is typically used within residential heating and cooling systems. The interface 74 may provide multi–zone thermostat functions control as well as an interface to electronic air dampers. The thermostat portion of the base control unit is capable of controlling an unlimited number of zones.

The serial data bus interface 78 allows for data communications with the wide range of devices on the market that support this type of communications interface. Examples of such data communications include desk top computers, modems, and fax machines.

The USB interface 80 allows the base control unit 16 to communicate with other devices that support this type of interface.

This bus can be used to expand the capabilities of the base station and add support for: persistent data storage; peripheral expansion devices (digital cameras etc); and other wireless interfaces (Bluetooth, 802.11).

The Digital Analog and I/O interfaces 84 and 86 respectively allow the base control unit to connect to a wide array of devices that interface to the real world. This allows the base control unit to serve as a bridge between simple devices and the networks to which the base station is connected. Examples of such would include: alarm systems; electronic locks; gate controls; pressure transducers; contact closures; and relays.

The multi-purpose expansion bus 89 is a proprietary bus that allows for the addition of custom accessories developed exclusively for use with the base station.

The power line interface 72 allows the base control unit to communicate over the power line to devices that support a power line communications interface. Examples of these type of interfaces are X10 and HomePlug.

The remote control units 12, 26 take advantage of either GPS Assisted ("GPSA") technology, an external GPS unit 64 or location

based information provided by the cellular network 22. GPSA technology uses cellular-based location information provided by cellular infrastructure pursuant to the E911 protocol or mandate. With GPSA, cellular telephone towers locations have their own GPS receivers installed such that their coordinates are already known and can be readily communicated to the remote control units such that the normal three to five minute delay inherent in GPS position determination is avoided. For remote control units without GPSA technology, an external GPS can be used to communicate geographic location to the software within the remote control unit. The cellular carrier can further provide location based information to the cellular handset by using the cellular towers to determine its geographic location.

Each remote control unit 12, 26 communicates with the base control unit 16 to affect the operational aspects thereof and peripheral equipment operatively attached thereto. For example, a remote control unit can command the base control unit 16 to enter an energy conservation mode. The user moves the cursor of the LCD screen 38 until the desired operational icon 42 is highlighted. The function associated with the icon 42 by the applications software is

triggered by pressing the select button 36. The applications software communicates the command to the base control unit 16 through the cellular telephone network 22. The command string so transmitted is received and processed by the base control unit 16. Upon entering this mode the base control unit 16 adjusts air handling systems, open or close dampers, open or close window coverings, and adjusts operation of a hot water heater to conserve power consumption of the home. In the preferred embodiment, the remote control units are conventional cellular telephone handsets that are equipped with a programming kernel, such as Java or J2ME that enables the telephone to be customized to perform functions that are not typical of standard cellular telephone operation. For example, to use a cellular telephone that has a home automation terminal in its conventional mode, the user would have to enter a telephone number, dial into a home automation controller, and press the telephone keys to send DTMF audio signals to the controller. After the session is completed, the user has to manually disconnect from the cellular network. The data path between the remote control unit and the base control unit is SMS ("simple message service".) However, the implemented port addressing

scheme allows short messages to the remote control unit to bypass standard cellular telephone functions and to operate the features of the unit independently. The desired port to be addressed on the receiving unit is configured in the user data header of the SMS message that is transmitted to the receiving unit as is well known in the art. Further, the various remote control units can send SMS information to and from one another, exclusive of the base control unit in order to change the status information for a particular user. For example, if one remote control unit desires to be inactive, a command is sent to the other remote control unit to change the personal status thereof as displayed on the other units. Similarly, the remote control units can send SMS messages to the applications running in the other remote control units to affect operation parameters of those receiving remote control units.

By way of illustration, if the base control unit notifies the remote control unit of an activation of an alarm system associated with the base control unit, the remote control unit receives textual messages and graphical indicators to alert the user. The user acknowledges receipt of the alert by pressing a button or entering a code. The communication does not involve the voice mode for

communicating between the two units. Use of a conventional programmable cellular telephone readily meets the regulatory requirement that a device that participates in the cellular network be FCC or CTIA approved.

SMS port addressing allows Mobile Terminated SMS messages to be processed within the cellular telephone's application software without user intervention. Such uses include Voice Mail notifications, Wireless Access Protocol WAP, E-mail, and Phone Provisioning. The Internet Assigned Numbers Authority (http://www.iana.com) is responsible for defining the use of the various ports and specific ranges of numbers have been assigned for use by application software.

When the Java software application is operationally accessed by microcontroller 45 and started within the remote control unit 12, it attaches itself to a specific port for the reception of SMS messages. All Mobile Terminated (MT) SMS messages that contain a User Data Header (UDH) that indicate a port address, such as 50120, will be forwarded to the application software for processing.

The following AT command sequence is illustrative of the commands the base station unit 16 sends to the wireless module 70

over serial communications interface 110 to send a message to the remote control unit 12 to notify it that the temperature in zone 0 is currently 72 degrees:

 $ATE=0 \ Turn off echoing of characters sent to the module$

AT+CMGF=0 \rSet Module in PDU Message format

AT+CMGS=44 \r Total length of PDU is 44 octets

0041000AC10294268088000023060504C3C800003C7AB90D

07E9DFEE724F041381EC6176BDDC13DD64A2970F <ctrl-Z>

The base control unit 16 is configured to interact with and respond to multiple remote control units 12. If multiple remote control units 12, or associate units 26 are deployed, one of the remote control units could be considered a master and all others could be considered slaves. Specific operational characteristics of the base control unit 16 may be configured as to only respond to commands from the master remote control unit. Alternatively, the remote control units may be segregated into classes such that the base control unit responds to commands and communicates

selected information to a class containing one or more remote control units. An example would be a first class consisting of remote control units operated by parents and a second class consisting of remote control units operated by children. Information pertaining to the activities of the children may be selectively reported by the base control unit to the parents. By way of illustration, when a child operating a remote control unit in the second class disarms the alarm system prior to entering the residence controlled by the base control unit, parents in the parent class are notified accordingly that the child has arrived at home. The class assignments and configurations are easily customized to provide the notifications desired by the authoritative system operators, in this case, the parents.

The GPS receiver module 64 including antenna 65 is optionally incorporated into the remote control unit 12 for allowing the remote control unit to communicate position information to the base control unit 16. The GPS may also be incorporated into wireless module 56, as in newer CDMA 2000 compatible cellular modules. This feature allows the base control unit to change its operational characteristics based upon the location of the remote control unit(s).

For example, the base control unit may adjust the water temperature and thermostats when one of the remote control units comes within a specific distance from the house. The geographic information that is acquired by the GPS subsystem in the remote control unit (whether it is a separate GPS unit or part of the module) can be compared to settings stored in either the remote control unit itself or the base control unit to provide capabilities such as Geo–Fencing, Asset Tracking, and Bio–Location (knowing where a living entity is located).

In the preferred embodiment, the position data are derived from NEMA data received from the GPS component. NEMA data includes latitude, longitude, time, velocity, and heading information. Each information packet is streamed once per second. Data are captured by the remote control unit, they are processed by its application software, and the results are transmitted by portaddressed SMS to the base control unit for proximity detection. Specific remote control units can be configured in such a way that they send a status message to the base control unit if they exceed a programmed set of boundary conditions. If desired, the base control

unit can on its own initiative query the remote control unit to learn its geographic location.

Geographic location information is programmed into the base control unit through keypad 120. Additionally, the geographic location of the base control unit may be entered into a GPS-enabled remote control unit through the application software user interface. A user-determined distance is then programmed into the remote control unit. When the remote control unit travels a distance that exceeds the programmed distance from the base control unit, the remote control unit reports this information to the base control unit. The base control unit will then send this information to the appropriate user or to a predetermined class of users. This is an example of geo-fencing and would be typically used to notify parents that one of their children has traveled beyond a set distance from the home.

The base control unit communicates status information to the remote control unit either on a periodic or event-driven basis. It can initiate communications based on its aggregated inputs, or it can respond to individual requests and commands received from the remote control unit.

Examples of events that could cause the base control unit to initiate a communications session with the remote control unit include fire or burglar alarm activation, HVAC or freezer temperature thresholds exceeded, equipment failures, an air filter change necessary, a change in the home state vector, (occupied, unoccupied) or a geographical boundary condition exceeded by of one of the other remote control units.

In addition to communicating status information to the remote control unit, the base control unit will, as programmed, initiate corrective action for the indicated event. For example, upon communicating an alarm activation message to a remote control unit, the base control unit, upon detecting the presence of an authorized user arriving at the local location, could disable the alarm system. Upon the detection of a member of an authorized class member, the base control unit can start the operation of the whirlpool bath in anticipation of the user's arrival, turn on specified interior and exterior lighting, or increase or decrease the thermostatic set point for the heater or air conditioner.

Yet additional geographical dependent operation or control may be provided by the inherent aspects of Bluetooth wireless

technology presently available from a wide variety of professional and consumer electronic equipment manufacturers and vendors. Bluetooth radio-frequency protocol enabled devices allow totally wireless operation of devices such as computers, computer keyboards, printers, pointing devices, digital audio players, headphones, computer games, personal digital assistances, remote controls, and the like. Each Bluetooth device is characterized by one of three power classes: Class 1 is designed for long range (approximately 100m) devices, with a maximum output power of 20 dBm; Class 2 is for ordinary range devices (approximately 10m) devices, with a maximum output power of 4 dBm; and Class 3 is for short range devices (approximately 10cm) devices, with a maximum output power of 0 dBm. Class 2 devices are used in the preferred embodiment as they provide a beneficially sized geographic range or fence around the local location to allow the system to provide presence detection functionality.

Each chipset in a Bluetooth enabled device has a unique serial or identification number. To enable the base control unit to recognize and respond to the presence of a Bluetooth enabled device, the device must be physically held within operable range of

the control unit. The user instructs the control unit to request authorization from the Bluetooth device. An authorization code is manually entered into the base control unit and then into Bluetooth device. If the unique identification or serial number entered into each unit matches, it is stored and associated with the particular user or class of users, and the base control unit and the Bluetooth enable device are authenticated and are in communication with each other. At a periodic interval, the base control unit directs the Bluetooth master unit (located in the base control unit) to do a device discovery and report back the unique identification numbers it finds. If an identification number is not discovered, the user is assumed not within close proximity of the base control unit, which then reports the results to the designated class of users. If desired or necessary based on environmental circumstances, the Bluetooth receiver can be remotely located from the base control unit for controlled reception or to compensate for radio frequency coverage problems. The Bluetooth enabled device could be a cellular telephone, a watch, an MP3 player, a pendant, or any other Bluetooth equipped device compatible with current Bluetooth standards.

In the preferred embodiment, the Bluetooth enabled remote control unit may be programmed using Java J2ME to send a message to other remote control units when they detect a specific base station control units. This feature allows multiple base station control units in a large environment and the case that the remote control unit handles the notification.

While the preferred embodiment employs popular Bluetooth technology, it should be appreciated and understood that other presently available technologies, such as 802.11(x), or future technologies can be used in the same manner as Bluetooth. The base control and remote control units each contain application software that is readily modifiable to adapt to a new or different technology or protocol.

Application software within the systems microcontroller 106 provides for autonomous control that is either preprogrammed at the time of manufacture or customized by the end user of the base station for their specific needs. Examples of autonomous control include: shut down of the air handling system if a fire is detected, thereby the spread of smoke and the possibility of "fanning" the fire; adjusting of indoor temperature and humidity settings depending

upon conditions and information such as outdoor environmental conditions such as temperature, humidity, sun load and wind, short-term weather forecasts, family schedule and activities, structure occupancy, context, location of users; shutdown of sensitive electronic components and subsystems in cases of severe weather, or failures in other subsystems; closing and opening of windows and/or window coverings to conserve energy; control of sprinkler systems based upon current weather conditions and weather forecasts. The application software includes a macro language interpreter to enable efficient end-user customization and future expansion to the system. A macro is a miniature computer program activated by a symbol, name, or key that represents a list of commands, actions, or keystrokes. A macro allows a local or remote user to enter a single character or word to perform a series of predetermined actions.

Base control unit 16 further comprises the Ethernet interface 82 to respond to external web services generally accessible through an Internet connection. For example, at present, weather services on the Internet can be queried using the SOAP protocol. The services respond with timely weather information, typically encoded in XML.

The base control unit 16 understands and interprets that received information and responds according to instructions, including macros, programmed into its application software. Such capability allows the base control unit to take actions, like causing the sprinkler system to bypass its scheduled watering cycle should rain be forecast. Other information services allow other responses. A school system's notification that schools are being released early due to inclement weather conditions could cause the base control unit to notify the class containing the parental remote control users of the decision to afford the parent the opportunity to make transportation and custodial decisions with regard to their children in the schools. The application software is RSS-aware and is capable of receiving and responding accordingly to RSS feeds. RSS ("really simple syndication") is an efficient and popular web content syndication serial data format. Any information that can be broken down into discrete data items can be syndicated via RSS. Once information about each item is in RSS format, in the present invention, the base control unit examines the feed for changes and reacts to the changes in an appropriate way. The Ethernet bridge

function is easily updated and expanded by an upload of revised application software to the base control unit.

Messages from the remote control unit 12 to the base control unit 16 do not need to rely on port addressing for processing. The base control unit 16 uses a cellular telephone module that does not contain a user interface. The processing of SMS messages is handled by the base control unit applications software.

The base control unit 16 commands the cellular module to send newly received SMS messages directly to the base control unit 16 over the serial port using unsolicited result codes. Upon receipt of a new message the cellular module sends the following:

+CMT: <length> <CR> <LF> <pdu>

Where <length> is the length of the <pdu> element

<CR> is carriage return and <LF> is line feed.

<pdu> is the Short Message data in either PDU or text format.

The base control unit 16 processes the data according the content of the message data.

Although port addressing is not currently used when messages are sent from the remote control unit 12 to the base control unit 16 software (it still is used within the base control unit 16 cellular

module for provisioning and control from the carriers), the system can utilize the port addressing to direct messages to specific subsystems that are tied to the base control unit 16.

The present invention thus provides an on-demand bidirectional communications interface for monitoring, controlling, and securing various environment functions and characteristics attendant the interior and exterior of a building. The system may thus monitor and control temperature, and a myriad of other environmental functions of the building include, by way of example and not limitation, temperature, power status for the building and discrete applications therein, humidity/moisture, smoke/toxic gases, structural breaches, security status, visual conditions and a host of other natural and non-natural phenomena.

The base control unit is preferably mounted at an appropriate location on a wall within the building to provide a local access for regulation. The base control unit is locally operatively interfaced with a plurality of sensors and devices systematically distributed throughout the building and communicating according to varying protocols such as infrared, radio frequency, X–10, and hard wiring.

The sensors provide continuous measurement and status of target environmental characteristics. Examples of sensor applications include utilities status, moisture and humidity detection, door and window condition, and the like. Associated controlled devices providing operational functions refrigeration, water heating, security cameras, illumination devices, and the like.

The air handling unit receives signals from the associated sensors and communicates them to the base control unit 16 that, based on the processed measured characteristics thereof, activates or deactivates one or more of the devices of the unit in response to the measurement. For example, if the temperature sensor exceeds a preset value, the HVAC unit is activated, and when the measured characteristic is within a preset value range, the unit is deactivated. In addition, when a measured characteristic exceeds a predetermined value range, an alarm signal may be transmitted to a remote control unit 14 to provide an audible, tactile, and/or visual indication. Thus, if utility sensor S2 indicates a loss of electrical, water, or communications service, a signal is sent to the remote control unit 14 and the operator thereof has the ability to take corrective action in response thereto. Also, the operator may invoke

the a command from the remote control unit 14 to the base control unit 16 to activate a select device such as the HVAC unit, a water heater, a refrigeration appliance or other discrete device remotely in order to prepare the building for occupancy by the operator or designee.

The sensors for the system are distributed throughout the building to detect the environmental characteristics of the building. These characteristics include, but are not limited to, temperature, humidity/moisture, smoke/toxic gases, structural breaches, and a host of other natural and non-natural phenomena.

In a conventional thermostat, a temperature sensor and switch automatically control a heating or cooling appliance when the sensed temperature is beyond a preset value. The thermostatic interface of the invention would include a temperature sensor that constantly monitors the environmental temperature and control of a heating and/or cooling appliance to maintain the building at a substantially constant temperature.

The thermostatic interface also receives other environmental characteristics of the building and responds in a like manner. For example, a sensor would monitor the humidity (or moisture content

of the air) of the building. If the temperature monitored by temperature sensor is within the preset range value, a conventional thermostat would not adjust the heating and/or cooling appliance to regulate the moisture content, thus presenting favorable environment for the growth on molds and mildew. The thermostatic interface receives the humidity value measured by the humidity sensor, determines that the value exceeds a predefined limit, and generates an alarm signal that is transmitted as an alarm signal via the communication link to the remote control unit. The operator receives the information and transmits a control signal from the remote access unit to the base control unit via the communication link and activates the appropriate appliance in response thereto, thus reducing the humidity value to acceptable levels and preventing the progression of mold and mildew growth.

The sensors are distributed according to a predetermined plan that effectively makes the "most likely place" for a change in environmental characteristics to be sensed. For example, several smoke/toxic gas sensors would be distributed about the ceilings and floors so as to identify the presence of smoke (i.e. fire) or carbon monoxide (i.e. toxic gas), and relaying the measured

characteristic to the thermostat. Other types of sensors would be optimally placed in order to monitor the egression of water into the building, lighting striking the building, suspension or cessation of a provided utility (i.e., electric power, water and sewer provisions, natural gas), collapse of the building or a portion thereof due to weather (i.e., tornado, hurricane, blizzard), or breach of the building security (i.e., break-ins). The sensors may be hard wired through the structure of the building. Also, the sensors may have a wireless communication. The optimal presentation would be a dual connection, such a hard-wired and wireless communication, e.g., a transmitter/receiver. This provides maximum effective monitoring of the building because the hard wiring would alleviate the need for constant battery monitoring, likewise, the wireless communication provides monitoring should the absence of electrical power be a factor. For example, the combined hard wired and wireless connection of the sensors to the unit allows the monitoring of the building and generates the alarm when the power is lost due to an area wide electrical outage. The unit transmits that information (i.e., the loss of electrical power) to the remote control unit and the operator is aware that the electrical power is absent. If the loss of

electrical power were due to a nefarious figure seeking to breach the building, i.e., breaking a window to gain access, a security sensor would still be able to provide the base control unit with an indication that the building has a security breach. The base control unit, operating under an alternate electrical power supply such as a backup battery, would generate a subsequent alarm signal and transmit the subsequent alarm signal for routing through the direct connect wireless for immediate transmission to the remote control unit, thereby alerting the operator that in addition to the loss of electrical power, the security of the building has been breached. The operator can thereby contact the law enforcement facility of the local jurisdiction and have an immediate response. If the remote control units are organized in classes, such as "managers", the information would be almost simultaneously provided to each member of the class who would have a need to receive and react to it.

In addition to main residence use, the system is typically suited for a vacation/second dwelling, a business concern, or any building structure that is not constantly occupied. For example, if the thermostat control system is installed in a vacation home in one

locality, the operator may effectively be in any other portion of the globe so long as an effective communication link is provided with the remote control unit 14.

Multiple base station units could be controlled through a single cellular phone for those instances where a person desires to have systems installed in multiple residences. The application software uses the Source Address field of the message to distinguish between different base control units 16.

It is to be understood that the present invention is not limited to the embodiments described above, but encompasses any and all embodiments within the scope of the following claims.

WHAT IS CLAIMED:

- 1. A method for environmental control and information processing associated with a structure, the method comprising:
 - a. providing a user with a remote control unit, said remote control unit:

receiving through short message service (SMS) from a control unit in the structure a status message directly over a cellular network related to the status of an environmental unit at said structure,

notifying said user of receipt of said status message' and displaying said status message on said remote control unit;

- b. providing by said user at said remote control unit in response to said status message a control message containing control information to use for controlling said environmental control units;
- c. delivering said control message from said remote control unit to the cellular network as a SMS message and relaying said control message to said control unit;

- d. creating a control command for said environmental control unit based on the control information of the control message; and
- e. delivering the control commands to the plurality of environmental control units.
- 2. The method of claim 1 comprising sending as an SMS message to the remote control unit a response message indicating delivery of a control command to the environmental control unit.
- 3. The method of claim 2 wherein the response message includes status information for the environmental control unit.
- 4. The method of claim 1 comprising controlling environmental conditions within the structure using the plurality of environmental control units.
- 5. The method of claim 1 comprising detecting presence of an authorized person and sending said status message to the remote control unit indicating the presence of the authorized person.

- 6. The method of claim 5 wherein detecting presence of the authorized person includes detecting the authorized person proximate to the structure.
- 7. The method of claim 6 wherein the remote control unit includes global positioning satellite (GPS) capabilities and detecting the authorized person proximate to the structure comprises receiving GPS information from the remote control unit.
- 8. The method of claim 6 wherein at least one of the plurality of environmental control units includes a heating system and comprising sending a control command to the heating system to adjust the temperature within the structure in response to detecting the authorized person proximate to the structure.
- 9. The method of claim 6 wherein at least one of the plurality of environmental control units includes a lighting system and comprising sending a control command to the lighting system to

turn on lighting associated with the structure in response to detecting the authorized person proximate to the structure.

- 10. The method of claim 1 comprising detecting presence of an unauthorized person and sending an SMS message to the remote control unit indicating the presence of the unauthorized person.
- 11. The method of claim 10 wherein at least one of the plurality of environmental control units includes a burglar alarm and detecting presence of the unauthorized person includes detecting a structural breach of the structure via the burglar alarm.
- 12. The method of claim 1 comprising accessing remote information, creating a control command based on the remote information, and delivering the control command to at least one of the plurality of environmental control units.
- 13. The method of claim 12 comprising sending an SMS message to the remote control unit based on the remote information and the

control command delivered to the at least one of the plurality of environmental control units.

- 14. The method of claim 13 wherein accessing the remote information includes accessing a weather forecasting service and the remote information relates to local weather conditions.
- 15. The method of claim 14 wherein the at least one of the plurality of environmental control units includes a sprinkler system and the control command includes an instruction to bypass a scheduled watering cycle for the sprinkler system when the local weather conditions include a forecast for rain.
- 16. The method of claim 14 wherein the at least one of the plurality of environmental control units includes a power control system and the control command includes an instruction to power down sensitive electronics when the local weather conditions include a forecast for severe weather.

- 17. The method of claim 14 wherein the at least one of the plurality of environmental control units includes a window control system and the control command includes an instruction to adjust at least one of windows and window coverings that are associated with the structure to conserve energy when the local weather conditions include a forecast for a change in weather conditions.
- 18. The method of claim 13 wherein accessing remote information includes accessing a school system's notification information service and the remote information includes school related information.
- 19. The method of claim 18 wherein the at least one of the plurality of environmental control units includes a heating system and the control command includes an instruction to adjust the heat when the school related information includes a notification that schools are being released early due to inclement weather.
- 20. A system for environmental control and information processing associated with a structure, the method comprising:

a controller adapted to interface with an environmental control unit associated with the structure; and a wireless module adapted to:

receive from a remote control unit a control
message delivered from a remote control unit from a
cellular network as a short message service (SMS)
message comprising control information to use for
controlling the environmental control unit;

create control commands for the environmental control unit based on the control information of the SMS messages;

deliver the control commands to the environmental control unit via the controller; and

deliver to said remote control unit for receipt as a SMS message a revised status message indicating execution of said control information; and

means at remote control device for notifying a user of said remote control device of said receipt and displaying information related to said revised status message.

- 21. The system of claim 20 wherein the environmental control unit is adapted to control environment conditions within the structure.
- 22. The system of claim 20 wherein the wireless module is adapted to detect presence of an authorized person and to send an SMS message to the remote control unit indicating the presence of the authorized person.
- 23. The system of claim 22 wherein, in being adapted to detect presence of the authorized person, the wireless module is adapted to detect the authorized person proximate to the structure.
- 24. The system of claim 23 wherein the remote control unit includes global positioning satellite (GPS) capabilities and wherein, in being adapted to detect the authorized person proximate to the structure, the wireless module is adapted to detect the authorized person by receiving GPS information from the remote control unit.

- 25. The system of claim 23 wherein the environmental control unit is a heating system and wherein the wireless module is adapted to send a control command to the heating system via the controller to adjust the temperature within the structure in response to detecting the authorized person proximate to the structure.
- 26. The system of claim 23 wherein the environmental control unit is a lighting system and wherein the wireless module is adapted to send a control command to the lighting system via the controller to turn on lighting associated with the structure in response to detecting the authorized person proximate to the structure.
- 27. The system of claim 20 wherein the environmental control unit IS a burglar alarm and wherein, in being adapted to detect the unauthorized person proximate to the structure, the wireless module is adapted to detect a structural breach of the structure via the burglar alarm.
- 28. The system of claim 20 wherein the wireless module is adapted to access remote information, to create a control command based

on the remote information, and to deliver the control command to at least one of the plurality of environmental control units via the controller.

- 29. The system of claim 28 wherein the wireless module is adapted to send an SMS message to the remote control unit based on the remote information and the control command delivered to the environmental control unit.
- 30. The system of claim 29 wherein the wireless module is adapted to access remote information by accessing a weather forecasting service and the remote information relates to local weather conditions.
- 31. The system of claim 30 wherein the environmental control unit is a sprinkler system and the wireless module is adapted to create the control command to instruct the sprinkler system to bypass a scheduled watering cycle when the local weather conditions include a forecast for rain.

- 32. The system of claim 30 wherein the environmental control unit is a power control system and the wireless module is adapted to create the control command to instruct the power control system to power down sensitive electronics when the local weather conditions include a forecast for severe weather.
- 33. The system of claim 30 wherein the environmental control unit is a window control system and the wireless module is adapted to create the control command to instruct the window control system to adjust at least one of windows and window coverings that are associated with the structure to conserve energy when the local weather conditions include a forecast for a change in weather conditions.
- 34. The system of claim 30 wherein the wireless module is adapted to access remote information by accessing a school system's notification information service and the remote information includes school related information.

35. The system of 34 wherein the at least one of the plurality of environmental control units includes a heating system and wherein the wireless module is adapted to create the control command to instruct the heating system to increase the heat when the school related information includes a notification that schools are being released early due to inclement weather.

ABSTRACT OF THE DISCLOSURE

A thermostat control system for monitoring and controlling environmental characteristics of a building includes a base station unit and a remote access unit continuously interfacing through instant wireless private direct connectivity. The system also includes a plurality of sensors that measure the environmental characteristics and provide the thermostat unit with the measurements.

Approved for use through 07/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Attorney Docket 7080-04-04 **DECLARATION FOR UTILITY OR** Number First Named Inventor DESIGN SHAMOON, Charles G. PATENT APPLICATION COMPLETE IF KNOWN (37 CFR 1.63) **Application Number** Filing Date Declaration Declaration Submitted OR Submitted after Initial Art Unit With Initial Filing (surcharge (37 CFR 1.16 (e)) Filing **Examiner Name** required) I hereby declare that: Each inventor's residence, mailing address, and citizenship are as stated below next to their name. I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled: UBIQUITOUS CONNECTIVITY AND CONTROL SYSTEM FOR REMOTE LOCATIONS (Title of the Invention) the specification of which ~ is attached hereto **OR** was filed on (MM/DD/YYYY) as United States Application Number or PCT International Application Number and was amended on (MM/DD/YYYY) (if applicable). I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application. I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed. **Prior Foreign Application** Foreign Filing Date **Priority Certified Copy Attached?** Country Not Claimed Number(s) (MM/DD/YYYY) YES US Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/01 (04-05)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION — Utility or Design Patent Application

correspondence to: ass	e address sociated with stomer Number	23983				OR		Correspondence address below		
Name					- 100					
Address				*****				****		
City					State ZIP					
Country		Telephone				Ema	il			
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.										
NAME OF SOLE OR FIRST IN			etition	has	been filed fo	or this	s unsig	ned inventor		
Given Name (first and middle (i	f any])				Family Na	me o	r Suma	ime		
Charles G.					SHAMOC	ON				
Inventor's Signature		mror						Date 5/24/05		
Residence: City	State		Cou	ntry			Citize	nship		
Little Elm	TX	·	US	US US						
Mailing Address 2436 Tisbury Way										
City	State			Zip				Country		
Little Elm	TX			75	068			US		
NAME OF SECOND INVENTO	-] A	petition has	bee	n filed t	for this unsigned inventor		
Given Name (first and middle [if	f any])				Family Nam	ne or	Surnar	ne		
Deborah H.					SHAMOO	N	ُز.			
Inventor's Signature H.		000						Date 5つ24-05		
Residence: City	State						Citize			
Little Elm							US			
Mailing Address 2436 Tisbury Way										
City State				Zip		Countr		гу		
Little Elm TX				75068 US						
Additional inventors or a legal rep	presentative are bein	g named on the ONS s	upplem	ental s	heet(s) PTO/SI	B/02A	or 02LR	attached hereto.		

PTO/SB/02A (09-04)
Approved for use through 07/31/2006. OMB 0661-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to resound it a collection of information unless it contains a valid OMB control number. ADDITIONAL INVENTOR(S) DECLARATION **Supplemental Sheet** Name of Additional Joint Inventor, if any: A petition has been filled for this unsigned inventor Given Name (first and middle (if any)) Family Name or Surname Franklin Eugene NEAL Date 5-25-05 Signature Ashland US US Residence: City Citizenship Country 9220 Oak Hill Drive Mailing Address Ashland KY 41102 US City State Country Name of Additional Joint Inventor, if any: A petition has been filed for this unsigned inventor Given Name (first and middle (if any)) Family Namo or Surnamo Michael **FEHNEL** Inventors Signature Date Fuquay Varina NC US US Residence: City State Country Citizenship 3021 Bentwillow Drive Mailing Address Fuquay Varina NC 27526 US City State Zip Country Name of Additional Joint Inventor, if any: A petition has been filed for this unsigned inventor Given Name (first and middle (if any)) Family Name or Surname 6-2-2005 inventor's Signature Date USA USA Residence: City Country Citizenship 3021 BENTHILLOW DRIVE

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gethering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Petent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

27526

Mailing Address

City

tuquay - Varina

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

USA

PTC//SB/81 (04-05)

Approved for use through 11/30/2005, OMB 0651-0035

U.S. Patent and Trademork Office U.S. PSPANSARAM

Under the Paperwork Reduction Act of 1995, no persons are re	Quired to respond to a collection of info	rademark Utilice; U.S. DEPARTMENT OF COMMERCE
	Application Number	Allege & displayed water Child Control (10(1)D81
POWER OF ATTORNEY	Filing Date	
and	First Named Inventor	SHAMOON, Charles G. et al.
CORRESPONDENCE ADDRESS	Title	UBIQUITOUS CONNECTIVITY AND
INDICATION FORM	Art Unit	
"TOTOX TOT FORM	Examiner Name	
	Attorney Docket Number	8002-08-07

I hereby revoke	all previous powers of attorney g	iven in the above-identified and	lication
I hereby appoin	t:	to a serial designed app	nicatori.
Practitioners OR	associated with the Customer Number:	23983	
Prectitioner(s	s) named below:		
	Name	Regis	tration Number
as my/our attorney(s Trademark Office co	s) or agent(s) to prosecute the application nnected therewith.	Identified above, and to transact all bu	stress in the United States Patent and
	change the correspondence address for the associated with the above-mentioned C		
UR	ss associated with Customer Number:	23983	
Firm or Individua	al Name		
City		State	
Country		Ciate	Zip
Telephone I <u>am</u> the:		Email	
Applicant/Inv Assignee of Statement u	ventor. record of the entire interest. See 37 CFR nder 37 CFR 3.73(b) is enclosed. (Form F	3.71, PTO/SB/96)	
Signature		pplication or Assignee of Record	
Name	navel 12 J	Jane -	Date 1/26/57
Title and Company	Charles G. SHAMOON Assignee of Record		Telephone
	he inventors or assignees of record of the entire below.	Interest or their representative(s) are require	ad Submit multiple forms #
*Total of two (2)			ossum more than one

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, cell 1-800-PTO-9199 and select option 2.

PTO/SB/81 (04-05)
Approved for use through 11/30/2005. OMB 0661-0035
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to n

POWER OF ATTORNEY and **CORRESPONDENCE ADDRESS** INDICATION FORM

ilien in Jeabhlio in a c òillection ol iuli	ormation Unitess it displays a valid OMB control number.
Application Number	
Filing Date	
First Named Inventor	SHAMOON, Charles G. et al.
Title	UBIQUITOUS CONNECTIVITY AND
Art Unit	
Examiner Name	
Attorney Docket Number	8002-06-07

I hereby revoke all previous powers of attorney g	given in the above-identified application.
I hereby appoint:	
Practitioners associated with the Customer Number:	23983
OR	
Practitioner(s) named below:	
Neme	Registration Number
as my/our attorney(s) or agent(s) to prosecute the application Trademark Office connected therewith.	identified above, and to transact all business in the United States Patent and
Please recognize or change the correspondence address for	the show Martifed confloation to
 	
The address associated with the above-mentioned C	Customer Number:
The address associated with Customer Number:	23983
OR	
Firm or Individual Name	
Address	
City Country	State Zip
Telephone	Email
am the:	\$ C. 11041
Applicant/Inventor.	
Assignee of record of the entire interest. See 37 CFR Statement under 37 CFR 3.73(b) is enclosed. (Form to the entire interest.)	R 3.71. PTO/SB/96)
SIGNATURE of	Applicant or Assignee of Record
Signature Volume H. Sham	1977 Date 1-29-07
Name Deborah H. SHAMOON Title and Company Assignee of Record	Telephone
NOTE: Signatures of all the inventors or assignees of record of the entire signature is required, see below.	ire Interest or their representative(s) are required. Submit multiple forms if more than one
*Totel of two (2) forms are submitted.	

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Petent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Δnnli	Application Data Sheet 37 CFR 1				76 Attorney Docket Number				8002-06-07			
- Appii	Cauvii Da	.a oneel 3 <i>1</i>	OF IX I	., 0	Applica	ıtion Nuı	mbe	r				
Title of	Invention	UBIQUITOUS	CONNEC	TIVIT	Y AND C	ONTROL	. SYS	STEM FC	R REI	MOTE LOCA	TIONS	
bibliogra This doo	phic data arrang cument may be	ged in a format s	pecified by the conically and	ne Uni d subr	ted States nitted to th	Patent an	d Tra	demark Of	ffice as	outlined in 37 (ollowing form contains CFR 1.76. nic Filing System (EFS	
Secre	cy Orde	r 37 CFR	5.2									
											Secrecy Order purs electronically.)	suant to
Appli	cant Info	rmation:										
Applic	ant 1										Remove	
		ty • Inventor	CLega	l Rep	resentativ	e under	35 L	J.S.C. 117	7	OParty of In	terest under 35 U.S.	.C. 118
Prefix	Given Nan			Mi	ddle Naı	me			Fam	ily Name		Suffix
	Charles			G.					SHA	MOON		
Resid	ence Inform	ation (Select	One)) US	Residenc	у 🔘	No	n US Res	sidency	/ Activ	e US Military Service	<u> </u>
City	Little Elm		s	tate/	Province	TX		Country	y of R	esidence i	US	
Citizer	nship under	37 CFR 1.41	(b) i U	s							l	
Mailin	g Address o	f Applicant:										
Addre	ss 1	2436 Tis	sbury Way									
Addre	ss 2											
City	Little Eli	m					State	e/Provin	ice	TX		
Postal	Code	75068				Count	ryi	US				
Applic	ant 2										Remove	
		ty Inventor	◯Lega	l Rep	resentativ	e under	35 L	J.S.C. 117	7	OParty of In	terest under 35 U.S.	.C. 118
Prefix	Given Nan			Mi	ddle Naı	me			Fam	Suffix		
	Deborah			Н.					SHA	MOON		
Resid	ence Inform	ation (Select	One) •) US	Residenc	у 🔘	No	n US Res	sidency	/ Activ	e US Military Service	<u> </u>
City	Little Elm		S	tate/	Province	TX		Country	y of R	esidence i	US	
Citizer	nship under	37 CFR 1.41	(b) i U	s								
Mailin	g Address o	f Applicant:										
Addre	ss 1	2436 Tis	sbury Way									
Addre	ss 2											
City	Little Eli	m					State	e/Provin	ice	TX		
Postal	Code	75068				Count	ryi	US				
Applic	ant 3				l						Remove	
		ty • Inventor	CLega	l Rep	resentativ	e under	35 L	J.S.C. 117	7	OParty of In	terest under 35 U.S.	.C. 118
Prefix			1	Mi	Middle Name				Fam	ily Name		Suffix
	Frankin			Eu	gene				NEA	L		
Resid	ence Inform	ation (Select	One) 🧿	us	Residenc	у 🔘	No	n US Res	sidency	/ Activ	e US Military Service	<u>;</u>
$\overline{}$	Achland				Drovince	, kv				esidence i	110	

Δnnli	Application Data Sheet			et 37 CFR 1 76		Attorne	y Do	cket N	lumber	8002-06-07					
Appii		ita O		OI IX 1.7	_	Applica	tion I	Numb	er						
Title of	Invention	UBIG	SUOTIUG	CONNECT	IVIT	Y AND C	ONTR	ROL SY	STEM FO	OR REM	OTE LOCA	TIONS	i		
Citizer	nship under	· 37 C	FR 1.41(b) i US											
	g Address o			2, 33											
Addre				ık Hill Drive											
Addre	ss 2														
City	Ashlan	d						Sta	te/Provin	ıce	KY				
Postal	Code		41102				Cou	ıntry ⁱ	US						
Applic	ant A											Re	move		
	ant Author	itv 💿	Inventor		Rep	resentativ	e und	er 35	U.S.C. 11	7		 iterest	under	35 U.S	.C. 118
Prefix					Mi	iddle Naı	ne			Fami	ly Name				Suffix
	Michael				D.					FEHN	IEL				
Resid	ence Inforn	natior	ı (Select	One) 💿	US	Residenc	y (<u> </u>	on US Res	sidency	O Activ	e US I	Vilitary	Service	э
City	Fuquay-Var	ina		Sta	ate/	Province	۱ :	VC	Countr	y of R	esidence i	US			
Citizer	nship under	37 C	FR 1.41(b) i US			•					•			
Mailing	g Address o	of App	olicant:	•											
Addre	ss 1		3021 Be	nt Willow D	rive										
Addre	ss 2										_				
City	Fuquay	/-Varin	а					Sta	te/Provin	ice	NC				
Postal	Code		27526				Cou	ıntry ⁱ	US						
1	entors Mus						nform	nation	blocks	may b	e		Add		
Corre	sponde	nce	Inform	nation:											
	either Custorther inform					the Corr	espo	nden	ce Inforn	nation	section be	low.			
	n Address i	s bei	ng provi	ded for the	e co	orrespon	dend	e Info	ormation	of this	s applicati	on.			
Custo	mer Numbe	r	23983	3											
Email	Address		pto@	millspatentl	aw.c	com					Add E	mail] [Remove	Email
Email	Address		shans	sen@millspa	aten	tlaw.com					Add E	mail] [Remove	Email
Appli	cation Ir	ıforı	natior	1:							-				
Title o	f the Invent	ion	UBIC	QUITOUS C	NO	NECTIVIT	Y ANI	O CON	TROL SY	STEM	OR REMO	TE LO	CATIO	NS	
Attorney Docket Number 8002-06-07 Small Entity Status Claimed															
Applic	ation Type		Nonp	provisional											
Subjec	ct Matter		Utility	/											
Sugge	sted Class	(if an	y)					5	Sub Clas	s (if an	ıy)				
Sugge	sted Techn	ology	/ Center	(if any)							l				
	Number of I				+	4		5	Suggeste	d Figu	re for Pub	licatio	on (if a	any)	
			_	• • • • • • • • • • • • • • • • • • • •									` `	-,	

An	nlication Da	ta Sheet 37 CFR 1.76	Attorney Docket Number	8002-06-07					
Aþ	piication Da	ita Sileet 37 CFK 1.70	Application Number						
Title	of Invention	UBIQUITOUS CONNECTIVIT	Y AND CONTROL SYSTEM FO	DR REMOTE LOCATIONS					
Pub	olication Inform	nation:							
	Request Early	Publication (Fee required a	time of Request 37 CFR 1.2	219)					
	Request Not to Publish. I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not been and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.								

Representative Information:

this information in the Appli Enter either Customer	cation Data Sheet does not co Number or complete	onstitute a power of attorney in t	of attorney in the application. Providing the application (see 37 CFR 1.32). The section below. If both sections ing processing.
Please Select One:	Customer Number	US Patent Practitioner	US Representative (37 CFR 11.9)
Customer Number	23983		

Domestic Priority Information:

This section allows for the applicant to claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c). Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78(a)(2) or CFR 1.78(a)

Prior Applicat	ion Status	Pending		Remove			
Application N	Number	Cont	inuity Type	Prior Application Num	ber	Filing Da	te (YYYY-MM-DD)
		Division of		11163372		2005-10-17	
Prior Applicat	ion Status	Patented				Rer	nove
Application Number	Con	tinuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)	Pat	lssue Date (YYYY-MM-E	
11163372	Division o	of	11160006	2007-01-30	6990335		2006-01-24
Prior Applicat	ion Status	Expired		Remove			
Application N	Number	Continuity Type		Prior Application Number		Filing Date (YYYY-MM-DD)	
11160006		non provisiona	al of	60522887		2004-11-18	
Prior Applicat	ion Status					Rer	nove
Application N	Number	Cont	inuity Type	Prior Application Number		r Filing Date (YYYY-MM-DD)	
Additional Dome	estic Priori	ty Data may k	pe generated within	this form by selecting]	Α	dd

Foreign Priority Information:

This section allows for the applicant to claim benefit of foreign priority and to identify any prior foreign application for which priority is not claimed. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(a).

Application Da	Application Data Sheet 37 CFR 1.76				er 800:	8002-06-07				
Аррисацоп да	la Sile	EL 37 CFK 1.76	Application	Number						
Title of Invention	UBIQU	ITOUS CONNECTIVIT	Y AND CONT	ROL SYSTEI	M FOR RE	MOTE LOCATION	ONS			
							Ren	nove		
Application Nun	nber	Country	, i	Parent Filir	ng Date (YYYY-MM-DD)	_	Priority Claimed		
								Yes No		
Additional Foreign Add button.	Priority	Data may be genera	ated within th	is form by s	electing t	the	A	dd		
Assignee Info	rmati	on:								
Providing this information	tion in th	ne application data she ment recorded in the O		bstitute for co	mpliance	with any requirer	nent	of part 3 of Title 37		
Assignee 1							Ren	nove		
	n Orgar	nization check here.								
Prefix	Gi	ven Name	Middle Nan	ne	Family	Name	Su	ffix		
	Ch	arles	G.		SHAMO	ON				
Mailing Address I	Mailing Address Information:									
Address 1		2436 Tisbury Way								
Address 2										
City		Little Elm		State/Pr	ovince	TX				
Country US			Postal Code		ode	75068				
Phone Number			Fax Number		ber					
Email Address				·						
Assignee 2							Ren	nove		
	n Orgar	nization check here.								
Prefix	Gi	ven Name	Middle Nan	ne	Family	amily Name		Suffix		
	De	eborah	H.		SHAMO	ON				
Mailing Address I	nforma	tion:					•			
Address 1		2436 Tisbury Way								
Address 2										
City		Little Elm		State/Pr	ovince	TX				
Country US				Postal C	ode	75068				
Phone Number				Fax Num	ber					
Email Address				·		·				
Additional Assigned button.	e Data	may be generated w	ithin this for	n by selecti	ing the A	dd	A	dd		
Signature:										
A signature of the a		t or representative is the signature.	required in a	ccordance	with 37 C	FR 1.33 and 10	0.18.	Please see 37		
	D. SACI				Dat	e (YYYY-MM-	DD)	2006-03-16		

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Applicatio	n Da	sta Shoot 37	CED 1 76	Attorney Docket Number		
Application Data Sheet 37 CFR 1.76				Application Number		
Title of Invention UBIQUITOUS CONNECTIVIT				Y AND CONTROL SYSTEM FO	DR REMOTE LOCATIONS	
First Name Peter D.		er D.	Last Name	SACHTJEN	Registration Number	24619

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to
, , , , , , , , , , , , , , , , , , , ,
a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection
of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is
used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not
furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may
result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552)
 and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine
 whether the Freedom of Information Act requires disclosure of these records.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an
 individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of
 the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

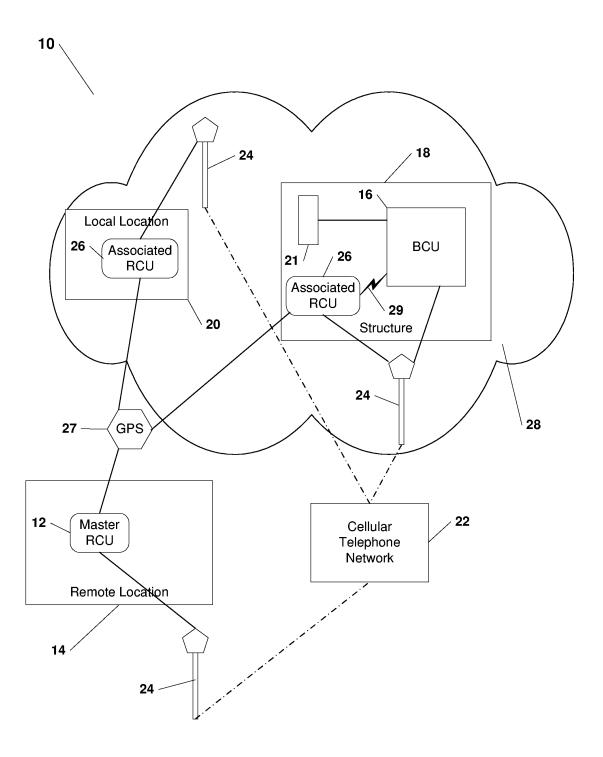


Fig. 1

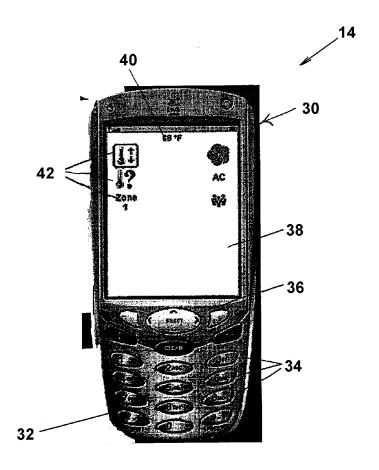
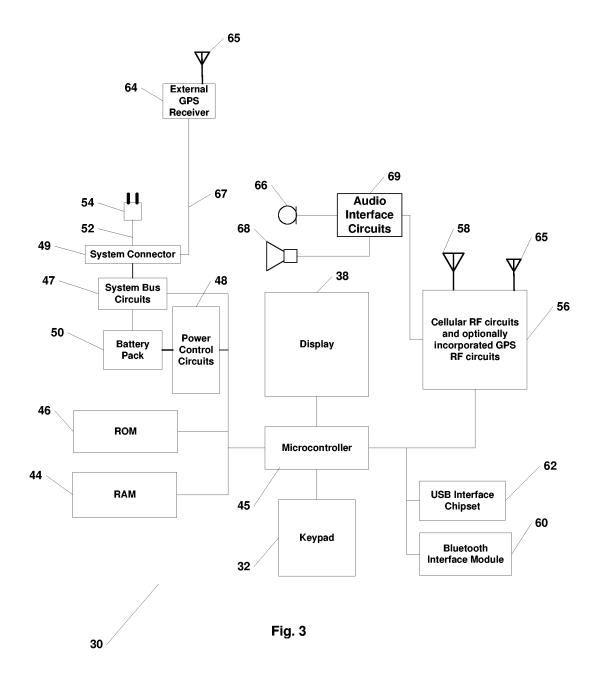


Fig. 2



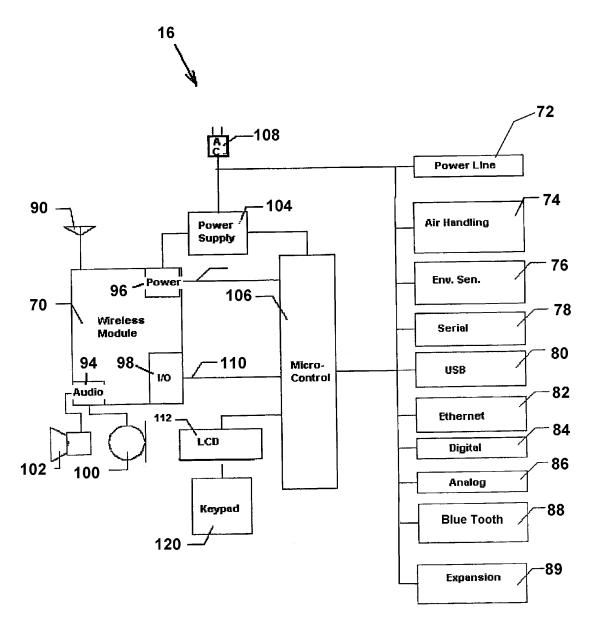


Fig. 4

Electronic Patent A	pplication Fe	e Transn	nittal	
Application Number:				
Filing Date:				
Title of Invention:	UBIQUITOUS CON REMOTE LOCATIO		ID CONTROL SY	STEM FOR
First Named Inventor/Applicant Name:	Charles G. SHAMO	ON		
Filer:	Peter D. Sachtjen/S	tefanie Hansen		
Attorney Docket Number:	80002-06-07			
Filed as Small Entity				
Utility Filing Fees				
Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:				
Utility filing Fee (Electronic filing)	4011	1	75	75
Utility Search Fee	2111	1	250	250
Utility Examination Fee	2311	1	100	100
Pages:				
Claims:				
Claims in excess of 20	2202	15	25	375
Miscellaneous-Filing:				
Petition:				

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Miscellaneous:				
	Tota	al in USE) (\$)	800

Electronic Acknowledgement Receipt					
EFS ID:	1597576				
Application Number:	11686984				
International Application Number:					
Confirmation Number:	7370				
Title of Invention:	UBIQUITOUS CONNECTIVITY AND CONTROL SYSTEM FOR REMOTE LOCATIONS				
First Named Inventor/Applicant Name:	Charles G. SHAMOON				
Customer Number:	23983				
Filer:	Peter D. Sachtjen/Stefanie Hansen				
Filer Authorized By:	Peter D. Sachtjen				
Attorney Docket Number:	80002-06-07				
Receipt Date:	16-MAR-2007				
Filing Date:					
Time Stamp:	09:07:05				
Application Type:	Utility				
Payment information:					

Payment information:

Submitted with Payment	yes
Payment was successfully received in RAM	\$800
RAM confirmation Number	1331
Deposit Account	500498

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part /.zip	Pages (if appl.)	
--------------------	-----------------------------	-----------	------------------	---------------------	---------------------	--

1	Specification	Specific.pdf	67130	no	39
Warnings:			1	1	1
Information:					
2	Claims	Claims.pdf	31250	no	12
Warnings:		<u> </u>	<u> </u>		
Information:					
3	Abstract	Abstract.pdf	20839	no	1
Warnings:					
Information:					
4	Oath or Declaration filed	DecPage1.pdf	65003	no	1
Warnings:				•	
Information:					
5	Oath or Declaration filed	DecPage2.pdf	47718	no	1
Warnings:			<u> </u>		
Information:					
6	Oath or Declaration filed	DecPage3.pdf	59683	no	1
Warnings:			I		L
Information:					
7	Oath or Declaration filed	POACGS.pdf	38078	no	1
Warnings:					
Information:					
8	Oath or Declaration filed	POADHS.pdf	37604	no	1
Warnings:		1	1	1	1
Information:					
9	Application Data Sheet	ADSfinal.pdf	1244015	no	6
Warnings:		ı	L	ı	I
Information:					

10	Drawings	drawfinal.pdf	82035	no	4
Warnings:					
Information	:				
11	Fee Worksheet (PTO-06)	fee-info.pdf	8528	no	2
Warnings:					
Information	:				
	Total Files Size (in bytes): 1701883				

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

		NT APPLICA	ATION		RMINATION REG 0-875				Applicatio	n or Docket Numb 686,984		
APPLICATION AS FILED - PART I (Column 1) (Column 2) SMALL ENTITY									OR		OTHER THAN SMALL ENTITY	
	FOR		NUN	BER FILED	NUMBER EXTRA	RA	TE (\$)	FEE (\$)		RATE (\$)	FEE (\$)	
	IC FEE				TOMOLIT EXTIT	- 10	.τ. (ψ)	75	1	10112 (0)	(0)	
	CFR 1.16(a), (b), or RCH FEE	(C))							ł			
	OFR 1.16(k), (i), or MINATION FEE	(m))						250	l'			
	MINATION FEE CFR 1.16(o), (p), o	r (q))				-		100				
	AL CLAIMS CFR 1.16(i))		35	minus 20 =	* 15	X	3 25=	375	OR	X\$50=		
INDI	PENDENT CLAIM	is	2		•	XS	100=			X\$200=		
APP FEE	CFR 1.16(h)) LICATION SIZE CFR 1.16(s))		sheets of \$250 (\$1 50 sheet	paper, the applic								
MU	LTIPLE DEPEN	DENT CLAIM PR	RESENT	(37 CFR 1.16(i))		N/A	_		N/A		
* If t	ne difference in o	column 1 is less	than zer	o, enter "0" in c	olumn 2.	т	DTAL	800		TOTAL		
	APPL	ICATION AS	AME	NDED PAF	RT II (Column 3)		SMALL ENTITY		OR	OTHER SMALL		
		CLAIMS		HIGHEST	222217			ADDI-	1		ADDI-	
NT A		REMAINING AFTER AMENDMENT		NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RA	TE (\$)	TIONAL FEE (\$)		RATE (\$)	TIONAL FEE (\$)	
AMENDMENT	Total (37 CFR 1.16(i))	*	Minus	**	=	×	=		OR	x =		
ä	Independent (37 CFR 1.16(h))	*	Minus	***	=	х	=		OR	x =		
Ψ		e Fee (37 CFR	1.16(s))						1 ~~			
	FIRST PRESENT	TATION OF MULT	IPLE DEF	ENDENT CLAIM	(37 CFR 1.16(j))		N/A		OR	N/A		
•						TOTAL ADD'T			OR	TOTAL ADD'T FEE		
		(Column 1)		(Column 2)	(Column 3)				OR			
NTB		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RA	ATE (\$)	ADDI- TIONAL FEE (\$)		RATE (\$)	ADDI- TIONAL FEE (\$)	
ENDMENT	Total (37 CFR 1.16(i))	*	Minus	**	=	х	=		OR	х =		
AMENC	Independent (37 CFR 1.16(h))		Minus	***	=	×	=		OR	x =		
∢		e Fee (37 CFR							1			
	FIRST PRESENT	TATION OF MULT	IPLE DEF	PENDENT CLAIM	(37 CFR 1.16(j))		N/A		OR	N/A		
						TOTAL ADD'T			OR	TOTAL ADD'T FEE		
**	If the "Highest If the "Highest	Number Previou Number Previou	ısly Paid ısly Paid	For" IN THIS S For" IN THIS S	2, write "0" in column PACE is less than 20 PACE is less than 3,), enter "20' enter "3".			_	,		

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gev

APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
11/686,984	03/16/2007	3744	800	8002-06-07	35	2

CONFIRMATION NO. 7370

23983 Ishman Law Firm P.C. P.O BOX 1245 Cary, NC27512-1245 **FILING RECEIPT**

Date Mailed: 03/28/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Charles G. SHAMOON, Little Elm, TX; Deborah H. SHAMOON, Little Elm, TX; Frankin Eugene NEAL, Ashland, KY; Michael D. FEHNEL, Fuquay-Varina, NC;

Assignment For Published Patent Application

Charles G. SHAMOON, Little Elm, TX Deborah H. SHAMOON, Little Elm, TX

Power of Attorney: The patent practitioners associated with Customer Number 23983

Domestic Priority data as claimed by applicant

This application is a DIV of 11/163,372 10/17/2005 which is a DIV of 11/160,006 06/06/2005 PAT 6,990,335 which claims benefit of 60/522,887 11/18/2004

Foreign Applications

If Required, Foreign Filing License Granted: 03/27/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US11/686,984**

Projected Publication Date: 07/05/2007

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

UBIQUITOUS CONNECTIVITY AND CONTROL SYSTEM FOR REMOTE LOCATIONS

Preliminary Class

236

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re: Application of)	
Charles G. SHAMOON, et al.)	
)	
Serial No. 11/686,984)	
)	
Filed: 03/16/2007)	
)	Examiner
)	
)	Art Unit
For: UBIQUITOUS CONNECTIVITY)	
AND CONTROL SYSTEM FOR)	
REMOTE LOCATIONS)	
)	
Attorney's Docket No. 8002-06-07)	
)	

PETITION TO MAKE SPECIAL UNDER 37 CFR § 1.102(c)

Assistant Commissioner for Patents

Washington, DC 20231

Sir:

Applicant, through its undersigned representative, hereby petitions to make the above-captioned patent application special pursuant to 37 CFR § 1.102(c). This petition is made on the basis of

applicant's age. Enclosed is a Verified Statement of Age indicating that Applicant is 71 years old.

Hence, grant of this petition, accordance of special status to this application, and examination out of turn are believed to be in order and are respectfully requested. Should there by any remaining questions, the attending to of which would expedite such actions, the petition's examiner is invited to contact the undersigned at the telephone number appearing below. No fee is believed to be due with this petition. However, the Director is authorized to charge any fees associated with this or any other communication, or credit any overpayment, to Deposit Account No. 500498.

Dated: April 20, 2007

Respectfully submitted,

ISHMAN LAW FIRM P.C.

Fer D Sachtjen

Peter D. Sachtjen, Reg. No. 24,619

ISHMAN LAW FIRM P.C. PO Box 1245 Cary, NC 27512-1245

Telephone: (919) 462-3036 Facsimile: (919) 462-3041

Attorney Direct Line: (252) 413-0475

THE UNITED STATES PATENT AND TRADEMARK OFFICE

)	
In Re: Application of)	
Charles G. SHAMOON, et al.)	
)	
Serial No. 11/686,984)	
)	
Filed: 03/16/2007)	
)	
)	Examiner
)	
)	Art Unit
For: UBIQUITOUS CONNECTIVITY)	
AND CONTROL SYSTEM FOR)	
REMOTE LOCATIONS)	
)	
Attorney's Docket No. 8002-06-07)	
)	

VERIFIED STATEMENT OF AGE

Assistant Commissioner for Patents

Washington, DC 20231

Sir:

Page 1 of 2

Apr. 20 2007 10:19AM P1

FROM:

The undersigned hereby states that he was born on August 16, 1935 and is now 71 years old.

The undersigned, Franklin Eugene NEAL, declares that all statements made herein of his own knowledge are true, that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of United States Code that such willful false statements may jeopardize the validity of the Application or any patent issuing therefrom.

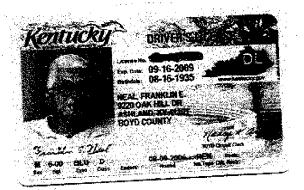
Respectfully submitted,

Franklin Eugene NEAL, Applicant

Franklin Eugene Deal

Date January 26,2007

Attachment: Photocopy of Drivers License



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: SHAMOON, Charles G.

Title: UBIQUITOUS CONNECTIVITY AND

CONTROL SYSTEM FOR REMOTE

LOCATIONS

Serial No.: 11/686,984

Filing Date: 03/16/2007

Art Unit: 3744

Examiner:

Docket No.: 8002-06-07

Last Action: 03/16/2007 (application filed)

Customer No. 23983

Commissioner for Patents Post Office Box 1450 Alexandria, VA 22313-1450

Dear Sir:

PRELIMINARY AMENDMENT

This amendment is being filed prior to the issuance of a first office action. The status of the pending claims is set forth in the Claims Status below.

CLAIM STATUS

- 1. (Original) A method for environmental control and information processing associated with a structure, the method comprising:
 - a. providing a user with a remote control unit, said remote control unit:

receiving through short message service (SMS) from a control unit in the structure a status message directly over a cellular network related to the status of an environmental unit at said structure,

notifying said user of receipt of said status message' and displaying said status message on said remote control unit;

- b. providing by said user at said remote control unit in response to said status message a control message containing control information to use for controlling said environmental control units;
- c. delivering said control message from said remote control unit to the cellular network as a SMS message and relaying said control message to said control unit;

- d. creating a control command for said environmental control unit based on the control information of the control message; and
- e. delivering the control commands to the plurality of environmental control units.
- 2. (Original) The method of claim 1 comprising sending as an SMS message to the remote control unit a response message indicating delivery of a control command to the environmental control unit.
- 3. (Original) The method of claim 2 wherein the response message includes status information for the environmental control unit.
- 4. (Original) The method of claim 1 comprising controlling environmental conditions within the structure using the plurality of environmental control units.
- 5. (Original) The method of claim 1 comprising detecting presence of an authorized person and sending said status message

to the remote control unit indicating the presence of the authorized person.

- 6. (Original) The method of claim 5 wherein detecting presence of the authorized person includes detecting the authorized person proximate to the structure.
- 7. (Original) The method of claim 6 wherein the remote control unit includes global positioning satellite (GPS) capabilities and detecting the authorized person proximate to the structure comprises receiving GPS information from the remote control unit.
- 8. (Original) The method of claim 6 wherein at least one of the plurality of environmental control units includes a heating system and comprising sending a control command to the heating system to adjust the temperature within the structure in response to detecting the authorized person proximate to the structure.
- 9. (Original) The method of claim 6 wherein at least one of the plurality of environmental control units includes a lighting system

and comprising sending a control command to the lighting system to turn on lighting associated with the structure in response to detecting the authorized person proximate to the structure.

- 10. (Original) The method of claim 1 comprising detecting presence of an unauthorized person and sending an SMS message to the remote control unit indicating the presence of the unauthorized person.
- 11. (Original) The method of claim 10 wherein at least one of the plurality of environmental control units includes a burglar alarm and detecting presence of the unauthorized person includes detecting a structural breach of the structure via the burglar alarm.
- 12. (Original) The method of claim 1 comprising accessing remote information, creating a control command based on the remote information, and delivering the control command to at least one of the plurality of environmental control units.

- 13. (Original) The method of claim 12 comprising sending an SMS message to the remote control unit based on the remote information and the control command delivered to the at least one of the plurality of environmental control units.
- 14. (Original) The method of claim 13 wherein accessing the remote information includes accessing a weather forecasting service and the remote information relates to local weather conditions.
- 15. (Original) The method of claim 14 wherein the at least one of the plurality of environmental control units includes a sprinkler system and the control command includes an instruction to bypass a scheduled watering cycle for the sprinkler system when the local weather conditions include a forecast for rain.
- 16. (Original) The method of claim 14 wherein the at least one of the plurality of environmental control units includes a power control system and the control command includes an instruction to power down sensitive electronics when the local weather conditions include a forecast for severe weather.

- 17. (Original) The method of claim 14 wherein the at least one of the plurality of environmental control units includes a window control system and the control command includes an instruction to adjust at least one of windows and window coverings that are associated with the structure to conserve energy when the local weather conditions include a forecast for a change in weather conditions.
- 18. (Original) The method of claim 13 wherein accessing remote information includes accessing a school system's notification information service and the remote information includes school related information.
- 19. (Original) The method of claim 18 wherein the at least one of the plurality of environmental control units includes a heating system and the control command includes an instruction to adjust the heat when the school related information includes a notification that schools are being released early due to inclement weather.

20. (Original) A system for environmental control and information processing associated with a structure, the method comprising:

a controller adapted to interface with an environmental control unit associated with the structure; and a wireless module adapted to:

receive from a remote control unit a control
message delivered from a remote control unit from a
cellular network as a short message service (SMS)
message comprising control information to use for
controlling the environmental control unit;

create control commands for the environmental control unit based on the control information of the SMS messages;

deliver the control commands to the environmental control unit via the controller; and

deliver to said remote control unit for receipt as a SMS message a revised status message indicating execution of said control information; and

means at remote control device for notifying a user of said remote control device of said receipt and

displaying information related to said revised status message.

- 21. (Original) The system of claim 20 wherein the environmental control unit is adapted to control environment conditions within the structure.
- 22. (Original) The system of claim 20 wherein the wireless module is adapted to detect presence of an authorized person and to send an SMS message to the remote control unit indicating the presence of the authorized person.
- 23. (Original) The system of claim 22 wherein, in being adapted to detect presence of the authorized person, the wireless module is adapted to detect the authorized person proximate to the structure.
- 24. (Original) The system of claim 23 wherein the remote control unit includes global positioning satellite (GPS) capabilities and wherein, in being adapted to detect the authorized person proximate to the structure, the wireless module is adapted to detect

the authorized person by receiving GPS information from the remote control unit.

- 25. (Original) The system of claim 23 wherein the environmental control unit is a heating system and wherein the wireless module is adapted to send a control command to the heating system via the controller to adjust the temperature within the structure in response to detecting the authorized person proximate to the structure.
- 26. (Original) The system of claim 23 wherein the environmental control unit is a lighting system and wherein the wireless module is adapted to send a control command to the lighting system via the controller to turn on lighting associated with the structure in response to detecting the authorized person proximate to the structure.
- 27. (Original) The system of claim 20 wherein the environmental control unit IS a burglar alarm and wherein, in being adapted to detect the unauthorized person proximate to the structure, the

wireless module is adapted to detect a structural breach of the structure via the burglar alarm.

- 28. (Original) The system of claim 20 wherein the wireless module is adapted to access remote information, to create a control command based on the remote information, and to deliver the control command to at least one of the plurality of environmental control units via the controller.
- 29. (Original) The system of claim 28 wherein the wireless module is adapted to send an SMS message to the remote control unit based on the remote information and the control command delivered to the environmental control unit.
- 30. (Original) The system of claim 29 wherein the wireless module is adapted to access remote information by accessing a weather forecasting service and the remote information relates to local weather conditions.

- 31. (Original) The system of claim 30 wherein the environmental control unit is a sprinkler system and the wireless module is adapted to create the control command to instruct the sprinkler system to bypass a scheduled watering cycle when the local weather conditions include a forecast for rain.
- 32. (Original) The system of claim 30 wherein the environmental control unit is a power control system and the wireless module is adapted to create the control command to instruct the power control system to power down sensitive electronics when the local weather conditions include a forecast for severe weather.
- 33. (Original) The system of claim 30 wherein the environmental control unit is a window control system and the wireless module is adapted to create the control command to instruct the window control system to adjust at least one of windows and window coverings that are associated with the structure to conserve energy when the local weather conditions include a forecast for a change in weather conditions.

- 34. (Original) The system of claim 30 wherein the wireless module is adapted to access remote information by accessing a school system's notification information service and the remote information includes school related information.
- 35. (Original) The system of 34 wherein the at least one of the plurality of environmental control units includes a heating system and wherein the wireless module is adapted to create the control command to instruct the heating system to increase the heat when the school related information includes a notification that schools are being released early due to inclement weather.
- 36. (New) A method for environmental control and information processing associated with a structure, the method comprising:
- a. providing a user with a remote control unit, said remote control unit:

receiving a status message from a control unit in the structure a status message directly over a cellular network using short message and/or data bearer services, said status

message related to the status of an environmental unit at said structure,

notifying said user of receipt of said status message' and displaying said status message on said remote control unit;

- b. providing by said user at said remote control unit in response to said status message a control message containing control information to use for controlling said environmental control units;
- c. delivering said control message from said remote control unit to the cellular network using short message and/or data bearer services and relaying said control message to said control unit;
- d. creating a control command for said environmental control unit based on the control information of the control message; and
- e. delivering the control commands to the plurality of environmental control units.
- 37. (New) A system for environmental control and information processing associated with a structure, the method comprising:

a controller adapted to interface with an environmental control unit associated with the structure; and

a wireless module adapted to:

receive from a remote control unit a control message delivered from a remote control unit from a cellular network using short message and/or data bearer services, said control message comprising control information to use for controlling the environmental control unit;

create control commands for the environmental control unit based on the control information;

deliver the control commands to the environmental control unit via the controller;

deliver to said remote control unit for receipt using said services of said cellular network a revised status message indicating execution of said control information; and

means at remote control device for notifying a user of said remote control device of said receipt and displaying information related to said revised status message.

REMARKS

By this amendment, Applicant is adding claims 36 and 37 and presenting for consideration claims 1 through 37.

It is submitted that claims 1 through 37 recite patentable novelty over prior art and allowance thereof is respectfully requested.

Dated: April 20, 2007

Respectfully submitted,

ISHMAN LAW FIRM P.C.

For D. Sachtjen

Peter D. Sachtjen

Reg. No. 24,619

Ishman Law Firm P.C. Post Office Box 1245 Cary, NC 27512

Patent Administration: (919) 462-3036

Facsimile: (919) 462-3041

Attorney Direct Line: (252) 413-0475

PTO/SB/08a (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number		11686984	
NICODIA TION DIOCI COLUDE	Filing Date		2007-03-16	
INFORMATION DISCLOSURE	First Named Inventor SHAN		MOON, et al. Charles G.	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit			
(Not for Submission under 67 of K 1.55)	Examiner Name			
	Attorney Docket Number		8002-06-07	

				U.S.	PATENTS	Remove
Examiner Initial*	r Cite No Patent Number		Kind Code ¹	Issue Date Name of Patentee or Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	4918439		1990-04-17	WOZNIAK, et al.	
	2	4965557		1990-10-23	SCHEPERS, et al.	
	3	5109222		1992-04-28	WELTY	
	4	5247580		1993-09-21	KIMURA, et al.	
	5	5544036		1996-08-06	BROWN, Jr., et al.	
	6	5545857		1996-08-13	LEE, et al.	
	7	5579496		1996-11-26	VAN STEENBRUGGE	
	8	5621662		1997-04-15	HUMPHRIES, et al.	

(Not for submission under 37 CFR 1.99)

Application Number		11686984		
Filing Date		2007-03-16		
First Named Inventor SHAN		MOON, et al. Charles G.		
Art Unit				
Examiner Name				
Attorney Docket Number		8002-06-07		

9	5778256	1998-07-07	DARBEE, et al.	
10	5805530	1998-09-08	YOUNGBERG	
11	5818428	1998-10-06	EISENBRANDT, et al.	
12	5917105	1999-06-29	JOAO	
13	5924486	1999-07-20	EHLERS, et al.	
14	6005490	1999-12-21	HIGASHIHARA	
15	6081750	2000-06-27	HOFFBERG, et al.	
16	6104334	2000-08-15	ALLPORT	
17	6192282	2001-02-20	SMITH, et al.	
18	6195589	2001-02-27	KETCHAM	
19	6216956	2001-04-17	EHLERS, et al.	

EFS Web 2.0

(Not for submission under 37 CFR 1.99)

	Application Number		11686984		
	Filing Date		2007-03-16		
	First Named Inventor SHAM		MOON, et al. Charles G.		
	Art Unit				
	Examiner Name				
Attorney Docket Number		er	8002-06-07		

20	6264559	2001-07-24	LAWRENCE, et al.	
21	6394359	2002-05-28	MORGAN	
22	6398727	2002-06-04	BUI, et al.	
23	6934862	2005-08-23	SHAROOD, et al.	
24	6014089	2000-01-11	TRACY, et al.	
25	6260765	2001-07-17	NATALE, et al.	
26	6301481	2001-10-09	ROGERS, et al.	
27	6393297	2002-05-21	SONG	
28	6650249	2003-11-18	MEYER, et al.	
29	6820049	2004-11-16	MONROE, et al.	
30	6847822	2005-01-25	DENNISON, et al.	

EFS Web 2.0

(Not for submission under 37 CFR 1.99)

Application Number			11686984		
	Filing Date		2007-03-16		
	First Named Inventor SHAI		MOON, et al. Charles G.		
	Art Unit				
	Examiner Name				
Attorney Docket Number		er	8002-06-07		

		T				
	31	6854660		2005-02-15	YOON, et al.	
	32	6873824		2005-03-29	FLICK	
	33	6957393		2005-10-18	FANO, et al.	
	34	7005979		2006-02-28	HAUGHAWOUT, et al.	
	35	6919790		2005-07-19	KANAZAWA, Ritsuko	
	36	6476714		2002-11-05	MIZUTA, Rika	
	37	6813474		2004-11-02	ROBINSON, et al.	
If you wis	h to ac	dd additional U.S. Paten	t citatio	n information pl	ease click the Add button.	Add
			U.S.P	ATENT APPLIC	CATION PUBLICATIONS	Remove
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	20030034897		2003-02-20	SHAMOON, et al.	
	2	20030034898		2003-02-20	SHAMOON, et al.	

(Not for submission under 37 CFR 1.99)

	Application Number		11686984		
Filing Date			2007-03-16		
	First Named Inventor	SHAN	MOON, et al. Charles G.		
	Art Unit				
Examiner Name					
	Attorney Docket Numb	er	8002-06-07		

	3	20020149705		2002-10-17		ALLEN, et al.					
	4	20040092282		2004-05-03		KIM, et al.					
	5	20040235446		2004-11-25		FLAHERTY, e	t al.				
	6	20050044225		2005-02-24		OTA, et al.					
	7	20040128033		2004-07-01		KU, et al.					
If you wis	h to a	dd additional U.S. Publi	shed Ap	plication	n citatio	n information բ	please click the Add	d butto]	
				FOREIG	GN PAT	ENT DOCUM	ENTS		Remove]	
Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ²		Kind Code ⁴	Publication Date	Name of Patented Applicant of cited Document		where Rel	or Relevant	T5
	1										
If you wis	h to a	dd additional Foreign P	atent Do	cument	citation	information pl	ease click the Add	buttor	Add		
			NON	N-PATE	NT LITE	RATURE DO	CUMENTS		Remove]	
Examiner Initials*	Cite No	Include name of the a (book, magazine, journ publisher, city and/or o	nal, seri	al, symp	osium,	catalog, etc), o					T 5
	Sony Frieddon Mobile Communications AD, CT47/CT49 Control Torminal "Intelligent and Versatile Control Torminal"										×

(Not for submission under 37 CFR 1.99)

Application Number		11686984		
Filing Date		2007-03-16		
First Named Inventor SHAN		MOON, et al. Charles G.		
Art Unit				
Examiner Name				
Attorney Docket Number		8002-06-07		

2		Sony Ericcson Mobile Communications International, GT47/GT48 Technical Desciption, 43 pages, LZT 123 7607 R1B, First Edition, June 2003							
3		lgard - Use your GSM cellphone as a remote control for almost anything, "Cellgard", http://www.cellgard.com/index. [, 2 pages (10/17/2005)							
4		Cellgard - Cellgard Technical Specifications, "Cellgard", http://www.cellgard.com/specs/index.html, 3 pages (10/17/2005)							
5	5 Cellgard - Frequently Asked Questions, "Cellgard", http://www.cellgard.com/faq/index.html, 4 pages (10/17/2005)								
If you wish to	add add	ditional non-patent literature document citation information please click the Add I	button Add						
		EXAMINER SIGNATURE							
Examiner Signature Date Considered									
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.									

¹ See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

(Not for submission under 37 CFR 1.99)

Application Number		11686984		
Filing Date		2007-03-16		
First Named Inventor SHAM		MOON, et al. Charles G.		
Art Unit				
Examiner Name				
Attorney Docket Number		8002-06-07		

CERTIFICATION STATEMENT										
Plea	Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):									
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).									
OR	OR									
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).									
	See attached ce	rtification statement.								
	Fee set forth in 3	37 CFR 1.17 (p) has been submitted herewith								
×	None									
	SIGNATURE A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.									
Sigr	nature	/Peter D. Sachtjen/	Date (YYYY-MM-DD)	2007-04-20						
Nan	ne/Print	Peter D. Sachtjen	Registration Number	24619						
pub	This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed									

application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria**,

VA 22313-1450.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
 - 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Patent Application Fee Transmittal								
Application Number:	11686984							
Filing Date:	16	-Mar-2007						
Title of Invention:		UBIQUITOUS CONNECTIVITY AND CONTROL SYSTEM FOR REMOTE LOCATIONS						
First Named Inventor/Applicant Name:		narles G. SHAMO	NC					
Filer:		eter D. Sachtjen/St	efanie Hanser	1				
Attorney Docket Number:		8002-06-07						
Filed as Small Entity								
Utility Filing Fees								
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)			
Basic Filing:								
Pages:								
Claims:								
Claims in excess of 20		2202	2	25	50			
Independent claims in excess of 3		2201	1	100	100			
Miscellaneous-Filing:								
Petition:								
Patent-Appeals-and-Interference:								
Post-Allowance-and-Post-Issuance:								

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)				
Extension-of-Time:								
Miscellaneous:								
Total in USD (\$)				150				

Electronic Acknowledgement Receipt						
EFS ID:	1700411					
Application Number:	11686984					
International Application Number:						
Confirmation Number:	7370					
Title of Invention:	UBIQUITOUS CONNECTIVITY AND CONTROL SYSTEM FOR REMOTE LOCATIONS					
First Named Inventor/Applicant Name:	Charles G. SHAMOON					
Customer Number:	23983					
Filer:	Peter D. Sachtjen/Stefanie Hansen					
Filer Authorized By:	Peter D. Sachtjen					
Attorney Docket Number:	8002-06-07					
Receipt Date:	20-APR-2007					
Filing Date:	16-MAR-2007					
Time Stamp:	10:27:15					
Application Type:	Utility					

Payment information:

Submitted with Payment	yes
Payment was successfully received in RAM	\$150
RAM confirmation Number	1541
Deposit Account	500498

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part /.zip	Pages (if appl.)	
--------------------	----------------------	-----------	------------------	---------------------	---------------------	--

1	Petition for review by the Technology Center SPRE.	Petition.pdf	18548	no	2
Warnings:	,		I	l	
Information	:				
2	Examination support document	StmtPg1.pdf	12600	no	1
Warnings:			<u> </u>		
Information	:				
3	Examination support document	StmtPg2.pdf	21400	no	1
Warnings:				<u> </u>	
Information	:				
4	Examination support document	KYDL.pdf	18868	no	1
Warnings:			ı	l	
Information	:				
5	Preliminary Amendment	PA042007.pdf	45315	no	16
Warnings:			<u> </u>		
Information	:				
6	Information Disclosure Statement (IDS) Filed	IDSfinal.pdf	1059465	no	8
Warnings:	,			•	
Information	:				
7	NPL Documents	NPL01.pdf	123751	no	2
Warnings:			1	1	1
Information	:				
8	NPL Documents	NPL02.pdf	1027291	no	43
Warnings:			1	1	1
Information	:				
9	NPL Documents	NPL03.pdf	64354	no	2
Warnings:			1	<u> </u>	<u> </u>
Information	<u> </u>				

Warnings: Information	<u>.</u>				
12	Fee Worksheet (PTO-06)	fee-info.pdf	8324	no	2
Information	:			1	
Warnings:					
11	NPL Documents	NPL05.pdf	152639	no	4
Information):				
Warnings:		,			
10	NPL Documents	NPL04.pdf	95495	no	3

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

PTO/SB/06 (12-04)
Approved for use through 7/31/2008. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

	PATE	ENT APPLICA	ATION	FEE DETE te for Form PT	RMINATION RE 0-875	CORD	2001 01		pplicatio	on or Docket Num 1686,984	
	AF	PLICATION		LED – PART Column 1)	(Column 2)	s	MALL E	ENTITY	OR		R THAN ENTITY
	FOR		NU	ABER FILED	NUMBER EXTRA	RATE	Æ)	FEE (\$)		D4777 (P)	
	IC FEE CFR 1.16(a), (b), o	- (4))			NOMINE TO THE	KATE	(9)	75		RATE (\$)	FEE (\$)
SEA	RCH FEE										
	CFR 1.16(k), (i), or MINATION FEE	(m))						250	ľ		
	CFR 1.16(0), (p), o AL CLAIMS	r (q))						100		:	
(37 (CFR 1.16(i))		35	minus 20 =	• 15	X\$ 2	5=	375	OR	X\$50=	
	PENDENT CLAIM CFR 1.16(h))	IS	2	minus 3 =	•	X\$10	0=			X\$200=	
FEE	LICATION SIZE CFR 1.16(s))		sheets or \$250 (\$1 50 sheet	ecification and dra f paper, the appli				.1			· .* <u>.</u>
MU	LTIPLE DEPEN	DENT CLAIM PR				N/A				N/A ·	
• If ti	ne difference in d	column 1 is less	than zen	o, enter "0" in c	olumn 2.	тоти	V .	800		TOTAL	
	APPL 1/20/0	ICATION AS 7 (Column 1)	AMEI	NDED - PAI	RT II (Column 3)	· .	Maile	ENTITY	OR	OTHER SMALL	
		CLAIMS REMAINING		HIGHEST			TO CLC C	ADDI-			ADD:
ENT A	Total	AFTER AMENDMENT		NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	(\$)	TIONAL FEE (\$)		RATE (\$)	TIONAL FEE (\$)
AMENDMENT	(37 CFR 1.16(I))	. 37	Minus	- 35	• 2	× 25	11	30.	OR	x =	
Ę.	Independent (37 CFR 1.16(h))	• 4	Minus	" 3	- /	× /60	1	100-	OR	x =	
¥		Fee (37 CFR	· · · //				-		OR		
	FIRST PRESENT	ATION OF MULT	PLE DEP	ENDENT CLAIM	(37 CFR 1.16(j))	N/A			OR	N/A	· · · · ·
						ADD'T FE	E	150	OR	TOTAL ADD'T FEE	
		(Column 1)		(Column 2)	(Column 3)				OR		
ENT B.		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	(\$)	ADDI- TIONAL FEE (\$)		RATE (\$)	ADDI- TIONAL FEE (\$)
DIME	Total (37 CFR 1.16(I))	a	Minus	••		×	=		OR	x =	
AMENDMENT	Independent (37 CFR 1.16(h))		Minus	***	•	х	=		OR	x =	
₹	Application Size	Fee (37 CFR 1							UK		
	FIRST PRESENT	ATION OF MULTI	PLE DEP	ENDENT CLAIM	(37 CFR 1.16(j))	N/A			OR	N/A	
						TOTAL ADD'T FEI	E		OR	TOTAL ADD'T FEE	
	If the "Highest ! if the "Highest!	Number Previous	siv Paid	For IN THIS S	2, write "0" in column PACE is less than 20 PACE is less than 3,	enter "20"					

The 'Highest Number Previously Pald For' (Total or independent) is the highest number found in the appropriate box in column 1.

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

11/686,984

IND	FΥ	OF	CL	ΑI	MS

•	Rejected	Ν	 Non-elected
	Allowed	Ł	 Interference
	(Through numeral) Canceled	Α	 Appeal
	Restricted	()	Objected

lm	Γ				Dat	10				.		Cla	ılm					Dat	0						Cla	ılm	 				Dat			
Onginal												Les II	Ortginal												Finai	Original			:					
<u>Ö</u>	_			-				-		-		ιī	0 61												1	101			7 :		- †*			:
Ç	_	-	-	-			-		-				52				_		-						· -	102			ų.		-4	-ļ		
3	_	_	-	÷		-	-	-	-	-			63		_											103		0			:	:		
5 6 7								_				_	-54										_		ļ	104 105		_	ļ					İ
5		_	_						ļ				55.	_	_			_							-	103	_	<u>.</u>	<u></u> _			<u></u>		
$\frac{6}{7}$				_		-		-					56 67	-						-		-				107		+	:	1	-	- -		
8	-		-	-	÷	<u> </u>		-		-		-	58	-		_	-		_				-			103		-	$\left \frac{\cdot}{\cdot} \right $			İ		
9				-				-	-				59						•							103		\vdash	1			7		
10													60					_				_				110		-		· <u>;</u>		工	1	
11				_	_	_			_				61 62	_		_	-		_			_	-			111 112	- 4	. ;						
12		_	_	-		-		-	-	_		-	63	\dashv	-			_		-	-					113					<u></u> -	: 		
14				-		-	-					-	64			-									1	114								
15													65												1	115	-	·			_			
16						_			_				66	_	_	_										116 117	-	_			-			F
17	_		-				_	<u> </u>	-	_			67 68	-		_		-							_	118	-	$\overline{\cdot}$	-	-	-	÷	: 	Ť
19			-	-			<u> </u>	-	-			-	69	-					_							119		7	•					
20	-						_	-	<u> </u>	_			70				_									120								
21													71					_							_	121	_				_			
22		_					_	_	-			_	72 73	-		_			<u> </u>			-	-			122 123			_	•			<u> </u>	T :
23 24		-	_	-		_	_		-			-	74	_			_	-					-			124		7.7				Ξ		- i
25													75													125			_	-	-		· ·	
26					_				Ŀ			· 	76		_				_			_	-		-	126 127			-		-	-		
27 28		·		-		<u>-</u> -	-	-				-	77 78		_		-	-	-			-			-	128	-	_						
29	-	 -	-	-	-	-	-	i –	-	\vdash	:	_	79			Ė				·						129	•							<u> </u>
30													80	_				_		-	_		_	}	_	130	_	_				_	<u> </u>	-
31			<u></u>	<u> -</u>		_	_	_	_	-		_	81 82		_		_	-	_			-				131 132	-				-		_	-
32	-	-	-	-			_	-	-	-		-	83	_	-	<u> </u>	-		-			-			-	133	_					-	<u>-</u>	<u>.</u> .
= 34	=	=	=		=	==:	=	=	=	=			84	\equiv	===	==		==	===		==	===				134						^		1≟.
35	Ŀ				_	\Box		ļ	<u> </u>		1	_	85	_		_	_	_	<u> </u>		_			1	-	135			-		-		_	-
(36 (37	-	-			-	-		-	 -	-	1		86 87	-				-	-			-	-		-	137			-	-	-			_
38	 - -	├─	├—	-	-		-	┝	╢	-	1	-	88	-	-	-	-		-		-	-	-		1	136	===	=			· ·	· .	·:	
39	-										j		89													139		_		_			 -	-
40		_	<u> </u>		_	_	_		_			_	80	_	<u> </u> _	_	ļ_	L	_			_		-	_	140		_	<u> </u>	-	_		<u> </u>	
41		ļ_	 	ļ_	<u> </u> _	_	ļ	ļ	_	ļ_		_	91	_		_	 	_	_	_			-	}	-	141	ا				-			-
42	├	 	├	-	-	 	-	╢	-		}	-	92 93	-	├		├-	┝	-	-	-	-	-			143			广					_
44	-		1	-	1-	\vdash	1-	1-	1-	-	1	-	94	-	-		i –	T	1		_]		144		=	Ŀ	Ŀ				
45											1		95					L							_	145		<u> </u> _	<u> </u>	-	-			-
46	<u> </u> _	<u> </u>	-	_	-	<u> </u> _	_	_	1	_	-	_	96	_	_	-		1	-		 —	-	-	1	-	146 147	1	 -	├-	-	-	7	_	-
47	-	1	-	+	-	 —	┼	-	╀	-	{	-	97 98	-	-	 −	-	╂	╁	-	╁╌	1	-	1	\vdash	148		1	\vdash	1	T	Ë		[
48		1	1	1-	\vdash	1-	╁	1	1-	1	1	\vdash	99	1	1	T		L					I	1		149							_	<u>-</u>
50						L		L		匚	1		100	1	1:			Γ	Г			1]	E	150	<u>-</u>	E	F	Ŀ	Ŀ	تـــا	ـــــــــــــــــــــــــــــــــــــــ	<u>l</u>



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.usplo.gov

ISHMAN LAW FIRM PC P.O BOX 1245 Cary, NC 27512-1245

COPY MAILED

MAY 2 2 2007

OFFICE OF PETITIONS

In re Application of

SHAMOON, et al.

Application No. 11/686,984

Filed: March 16, 2007

Attorney Docket No. 8002-06-07

DECISION ON PETITION

TO MAKE SPECIAL UNDER

37 CFR 1.102(c)(1)

This is a decision on the petition under 37 CFR 1.102(c)(1), filed April 20, 2007, to make the above-identified application special based on applicant's age as set forth in M.P.E.P. § 708.02, Section IV.

The petition is **GRANTED**.

A grantable petition to make an application special under 37 CFR 1.102(c)(1) and MPEP § 708.02, Section IV: Applicant's Age must be accompanied by evidence showing that at least one of the applicants is 65 years of age, or more, such as a birth certificate or a statement by applicant. No fee is required

The instant petition includes the declaration and driver's license of inventor Franklin E. Neal, attesting to his age. Accordingly, the above-identified application has been accorded "special" status.

Telephone inquiries concerning this decision should be directed to the undersigned at 571-272-7253.

All other inquiries concerning either the examination or status of the application should be directed to the Technology Center.

The application is being forwarded to the Technology Center Art Unit 3744 for action on the merits commensurate with this decision.

Petitions Examiner
Office of Petitions



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS FO. Box 1450 Alexandria, Viguria 22313-1450 www.upplo.gov

APPLICATION NUMBER	FILING OR 371(c) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
11/686.984	03/16/2007	Charles G. SHAMOON	8002-06-07

CONFIRMATION NO. 7370

23983 Ishman Law Firm P.C. P.O BOX 1245 Cary, NC27512-1245

Title: UBIQUITOUS CONNECTIVITY AND CONTROL SYSTEM FOR REMOTE LOCATIONS

Publication No. US-2007-0155379-A1

Publication Date: 07/05/2007

NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publically available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently http://www.uspto.gov/patft/.

The publication process established by the Office does not provide for mailing a copy of the publication to applicant. A copy of the publication may be obtained from the Office upon payment of the appropriate fee set forth in 37 CFR 1.19(a)(1). Orders for copies of patent application publications are handled by the USPTO's Office of Public Records. The Office of Public Records can be reached by telephone at (703) 308-9726 or (800) 972-6382, by facsimile at (703) 305-8759, by mail addressed to the United States Patent and Trademark Office, Office of Public Records, Alexandria, VA 22313-1450 or via the Internet.

In addition, information on the status of the application, including the mailing date of Office actions and the dates of receipt of correspondence filed in the Office, may also be accessed via the Internet through the Patent Electronic Business Center at www.uspto.gov using the public side of the Patent Application Information and Retrieval (PAIR) system. The direct link to access this status information is currently http://pair.uspto.gov/. Prior to publication, such status information is confidential and may only be obtained by applicant using the private side of PAIR.

Further assistance in electronically accessing the publication, or about PAIR, is available by calling the Patent Electronic Business Center at 703-305-3028.

Pre-Grant Publication Division, 703-605-4283	

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/686,984	03/16/2007	Charles G. SHAMOON	8002-06-07	7370
23983 Ishman Law Fi	7590 07/14/200 rm P.C.	8	EXAM	IINER
P.O BOX 1245 Cary, NC 2751	-		NGUYEN	N, HUY D
Cary, NC 2731.	2-1243		ART UNIT	PAPER NUMBER
			2617	
			MAIL DATE	DELIVERY MODE
			07/14/2008	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)
Office Action Commence	11/686,984	SHAMOON ET AL.
Office Action Summary	Examiner	Art Unit
T	HUY D. NGUYEN	2617
The MAILING DATE of this communication app Period for Reply	pears on the cover sheet with the c	correspondence address
A SHORTENED STATUTORY PERIOD FOR REPL' WHICHEVER IS LONGER, FROM THE MAILING D. - Extensions of time may be available under the provisions of 37 CFR 1.1 after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period v - Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tir will apply and will expire SIX (6) MONTHS from , cause the application to become ABANDONE	N. mely filed n the mailing date of this communication. ED (35 U.S.C. § 133).
Status		
1)⊠ Responsive to communication(s) filed on <u>20 A</u>	pril 2007.	
	action is non-final.	
3) Since this application is in condition for alloware closed in accordance with the practice under E	•	
Disposition of Claims		
4) ☐ Claim(s) <u>1-37</u> is/are pending in the application 4a) Of the above claim(s) is/are withdray 5) ☐ Claim(s) is/are allowed. 6) ☐ Claim(s) <u>1-14,18-30 and 34-37</u> is/are rejected.	wn from consideration.	
7)⊠ Claim(s) <u>15-17 and 31-33</u> is/are objected to. 8)□ Claim(s) are subject to restriction and/o	r election requirement.	
Application Papers		
9)☐ The specification is objected to by the Examine	r.	
10)☐ The drawing(s) filed on is/are: a)☐ acc	epted or b) objected to by the □	Examiner.
Applicant may not request that any objection to the	= ' '	, ,
Replacement drawing sheet(s) including the correct 11) The oath or declaration is objected to by the Ex	•	* '
Priority under 35 U.S.C. § 119		
12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of: 1. Certified copies of the priority document 2. Certified copies of the priority document 3. Copies of the certified copies of the priority document application from the International Bureau * See the attached detailed Office action for a list	s have been received. s have been received in Applicati rity documents have been receive u (PCT Rule 17.2(a)).	ion No ed in this National Stage
Attachment(s) 1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date 4/20/2007.	4) Interview Summary Paper No(s)/Mail Di 5) Notice of Informal F 6) Other:	ate

U.S. Patent and Trademark Office PTOL-326 (Rev. 08-06)

Office Action Summary

Part of Paper No./Mail Date 20080505

Art Unit: 2617

DETAILED ACTION

Claim Rejections - 35 USC § 112

- 1. The following is a quotation of the second paragraph of 35 U.S.C. 112:
 - The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 2. Claim 1 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.
- 3. Claim 1 recites the limitation "environmental control unit" in lines 14-15. There is insufficient antecedent basis for this limitation in the claim.

Claim Rejections - 35 USC § 102

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 5. Claims 1, 4-6, 10-13, 20-23, 27-29, 36 are rejected under 35 U.S.C. 102(b) as being anticipated by Sabie (WO 01/65875 A1).

Regarding claims 1, 20-21, 36, Sabie teaches a method for environmental control and information processing associated with a structure, the method comprising a. providing a user with a remote control unit, said remote control unit: receiving through short message service (SMS) from a control unit (e.g., control device 4, see page 3) in the structure (e.g., area of interest 1: any room, house, office, building,...see page 4) a status message directly over a

Art Unit: 2617

cellular network related to the status of an environmental unit at said structure (e.g., measuring devices 2 notice and measure parameter as temperature, pressure, humidity, any motion,..., see page 4), notifying said user of receipt of said status message and displaying said status message on said remote control unit (e.g., cell phone 5, see page 4, lines 1-5); b. providing by said user at said remote control unit in response to said status message a control message containing control information to use for controlling said environmental control units (see page 4, lines 5-11); c. delivering said control message from said remote control unit to the cellular network as a SMS message and relaying said control message to said control unit (see the abstract and page 4); d. creating a control command for said environmental control unit based on the control information of the control message (see the abstract and page 4); and e. delivering the control commands to the plurality of environmental control units (see the abstract and page 4).

Regarding claim 4, Sabie teaches the method of claim 1 comprising controlling environmental conditions within the structure using the plurality of environmental control units (e.g., see page 4).

Regarding claims 5-6, 10-11, 22-23, 27, Sabie teaches the method of claim 1 comprising detecting presence of an authorized person and sending said status message to the remote control unit indicating the presence of the authorized person (see page 6, the last paragraph).

Regarding claims 12-13, 28-29, Sabie teaches the method of claim 1 comprising accessing remote information (e.g., temperature, humidity, motion,..., see page 4), creating a control command based on the remote information, and delivering the control command to at least one of the plurality of environmental control units (see page 4).

Art Unit: 2617

Claim Rejections - 35 USC § 103

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 7. Claims 2-3, 37 are rejected under 35 U.S.C. 103(a) as being unpatentable over Sabie.

Regarding claims 2-3, 37, Sabie does not specifically teach sending as an SMS message to the remote control unit a response message indicating delivery of a control command to the environmental control unit. However, it would have been obvious for the control devices 4 to have responded to the mobile phone 5 after the control command had been delivered so that the user 6 can be sure that the control devices 4 had received the control command.

8. Claims 7, 24 are rejected under 35 U.S.C. 103(a) as being unpatentable over Sabie in view of Cannon et al. (U.S. Patent No. 7,058,358).

Regarding claims 7, 24, Sabie does not teach the method of claim 6 wherein the remote control unit includes global positioning satellite (GPS) capabilities and detecting the authorized person proximate to the structure comprises receiving GPS information from the remote control unit. However, the preceding limitation is taught in Cannon et al. (see col. 5, lines 36-41). It would have been obvious to have implemented the system of Sabie by the teaching of Cannon et al. to determine if the authorized person/device is within a predetermined secure area.

Art Unit: 2617

9. Claims 8-9, 25-26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Sabie in view

of Richton (U.S. Patent No. 6,400,956).

Regarding claims 8, 25, Sabie does not teach the method of claim 6 wherein at least one of the plurality of environmental control units includes a heating system and comprising sending a control command to the heating system to adjust the temperature within the structure in response to detecting the authorized person proximate to the structure. The preceding limitation is taught in Richton (see col. 3, lines 51-64). It would have been obvious to have implemented the system of Sabie by the teaching of Richton to provide convenience for the users by adjusting the home temperature to give a comfortable environment when the user enters his/her home.

Regarding claims 9, 26, Richton teaches the method of claim 6 wherein at least one of the plurality of environmental control units includes a lighting system and comprising sending a control command to the lighting system to turn on lighting associated with the structure in response to detecting the authorized person proximate to the structure (see col. 3, lines 51-64).

10. Claims 14, 18-19, 30, 34-35 are rejected under 35 U.S.C. 103(a) as being unpatentable over Sabie in view of Wang et al. (US 2006/0025164 A1).

Regarding claims 14, 18-19, 30, 34-35, Sabie does not teach he method of claim 13 wherein accessing the remote information includes accessing a weather forecasting service and the remote information relates to local weather conditions. The preceding limitation is taught in Wang et al. (see par. 27). It would have been obvious to have implemented the system of Sabie by the teaching of Wang et al. in order to provide convenience for the users by adjusting the

Art Unit: 2617

home temperature/humidity accordingly to give a comfortable environment when the user enters his/her home.

Allowable Subject Matter

11. Claims 15-17, 31-33 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

Contact Information

12. Any inquiry concerning this communication or earlier communications from the examiner should be directed to HUY D. NGUYEN whose telephone number is (571)272-7845. The examiner can normally be reached on M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Dwayne Bost can be reached on 571-272-7023. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 2617

Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

applications is available through Private PAIR only. For more information about the PAIR

system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR

system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would

like assistance from a USPTO Customer Service Representative or access to the automated

information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Huy D Nguyen/ Examiner, Art Unit 2617

> /DWAYNE D. BOST/ Supervisory Patent Examiner, Art Unit 2617

Applicant(s)/Patent Under Application/Control No. Reexamination 11/686,984 SHAMOON ET AL. Notice of References Cited Examiner Art Unit Page 1 of 1 2617 HUY D. NGUYEN **U.S. PATENT DOCUMENTS** Document Number Country Code-Number-Kind Code Date Classification Name MM-YYYY US-6,400,956 B1 06-2002 Richton, Robert Ellis 455/456.3 US-2002/0133545 A1 09-2002 709/203 Fano et al. US-2005/0085928 A1 04-2005 Shani, Haim 700/018 С US-2005/0131551 A1 06-2005 Ruutu et al. 700/001 D * US-2006/0046725 A1 03-2006 455/445 Sonnenrein et al. Ε F US-US-G US-Н US-US-US-Κ US-US-М FOREIGN PATENT DOCUMENTS Document Number Date Classification Country Name Country Code-Number-Kind Code MM-YYYY WO 0165875 A1 09-2001 World Intellect SABIE R Ν 0 Ρ Q R S Т **NON-PATENT DOCUMENTS** Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) U W Χ

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

Notice of References Cited

Part of Paper No. 20080505

(19) World Intellectual Property Organization International Bureau



(43) International Publication Date 7 September 2001 (07.09.2001)

PCT

(10) International Publication Number WO 01/65875 A1

(51) International Patent Classification⁷: H04Q 7/22

(21) International Application Number: PCT/RO00/00007

(22) International Filing Date: 7 March 2000 (07.03.2000)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data: 00-00235

29 February 2000 (29.02.2000) RO

(71) Applicant and

(72) Inventor: SABIE, Razvan [RO/RO]; Calea Victoriei 1-5, sc.B, et 5, ap.64, R-Bucuresti 3 (RO).

ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA, ZW.

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

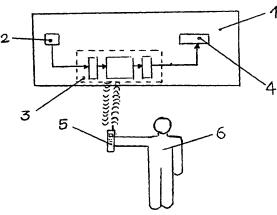
Published:

with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(81) Designated States (national): AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE,

(54) Title: METHOD AND DEVICE FOR REMOTE CONTROL AND REMOTE MONITORING VIA SHORT MESSAGE SER-



(57) Abstract: Information and Remote Control and Administration Method and Device. It uses a mobile phone network SMS (short messages service) service. Features: the user receives to a mobile phone data as SMS messages; data refer to certain parameters from a certain long distance area of interest, through sensors/measuring devices which transmit all measured data from the area of interest to a device for applying the method. The device for applying the method is characterized by a data reception interface, which transforms the received data in digital signals. These signals are locally transmitted to a two way pager (or any other device able to transmit - receive SMS messages). The pager sends SMS messages, through the mobile phone network, according to the loaded program, to the user's mobile phone. The user, using the same mobile phone, can send SMS messages, through the mobile phone network, to the device for applying the method. The above mentioned device controls/commands the devices/plants from the area of interest, through a control interface, according to the instructions contained by the control messages received from the user.

VO 01/65875 A

METHOD AND DEVICE FOR REMOTE CONTROL AND REMOTE MONITORING VIA SHORT MESSAGE SERVICE

This present invention refers to both the information process and the remote control & administration process, as method and device, based on any mobile phone network' Short Message Service (SMS).

The industrial information and the remote control & administration methods and devices are well known. All of these are generally used in factories, plants, power stations in such purposes as supervising and controlling the industrial processes. These information and remote control & administration methods and devices have the following disadvantages:

- the application area is exclusively an industrial one
- the devices are dedicated by application (especially built for each application)
- the devices are extremely complicated
- all these devices involve high costs

This present invention removes all the disadvantages mentioned above by having universal application, being versatile, being a simple device and easy to be used.

According to this present invention, specific to the information and remote control & administration method and device is the fact that the user receives data from certain parameters which belongs to a certain long distance area of interest, through sensors or measuring devices. These sensors/ measuring devices are transmitting the measured data from that specific area of interest to the information and remote control & administration device. Specific to this device is the fact that it is constituted of one reception interface, one control interface and a two-way pager (or any other device for SMS messages transmission reception) connected to the both above mentioned interfaces. The reception interface has the following functions: to take data from the sensors/ measuring devices, to translate all these information and to send them to the two-way pager. The control interface has the following functions: to take all data from the two-way pager and according to these data to make the command for those devices and plants from the area of interest. The two-way pager has the following functions: to receive data from the reception interface, to give the command to the control interface and to transmit and receive SMS messages to & from the user's mobile phone. In this way, the user has the possibility to be well informed about what is going on in his area of interest and to be able to take action as he likes to, without being actually in the

area of interest. The user can easily do these by transmitting, through his mobile phone, commands, as SMS commands, to the information and remote control & administration device, which controls all the devices & plants from the area of interest. In this way, all the parameters' values of the devices & plants from the area of interest get changed as the user wishes.

The method and the device can be "Information Method and Device" just in case the device used for applying the method is equipped just for transmitting data from the area of interest to the user. In this case, the device doesn't include the control interface and the pager is an one-way pager.

The method and the device can be "Information and Remote Control & Administration Method and Device" just in case the device used for applying the method can administrate the process. In this case the two-way pager is programmed to:

- operate devices & plants from the area of interest, through the control interface, at certain values of the measured parameters;
- transmit all data to the user, in certain conditions according to the program in it, so the user can control the devices & plants from the area of interest as he wishes.

By using devices and usual technologies, this present invention has the following advantages:

- the user is movable, being not tied down to any particularly location;
- all its applications are universal, the personal ones as well as the industrial ones;
- all areas of interest can be easily supervised by the user just using an ordinary mobile phone;
- the user can have as many area of interest as he wishes, by using any number of devices for applying the method he wants to. All these devices are receiving & sending messages from & to only one mobile phone;
- the distance between the areas of interest can be a large one. Distances are depending only on the mobile phone network coverage and its roaming, so the user can use the system from outside his country;
- all commands can be made also from any computer which is connected to the Internet, through any SMS messages site;
- these are very adaptable;
- these have an extensive application area and the user can easily combine the needed fields, as private, industrial, business a.s.o.;
- these are simple and costs are low;
- these are extremely fast in action.

A complete and detailed description of the invention's object can be found below, as the draws no. 1, 2, 3 and 4 picture.

Draw no. 1 pictures a general scheme for achieving the method. This one uses only one sensor or measuring device and control only one device or plant from a single area of interest. It has the following components:

- 1: area of interest
- 2: sensor or measuring device
- 3: device for applying the method
- 4: controlled device or plant
- 5: mobile phone
- 6: user

Draw no. 2 pictures the necessary scheme for building the method application device. It has the following components:

- 7: two-way pager or any other device capable to transmit receive an SMS message
- 8: reception interface
- 9: control interface

Draw no. 3 pictures the general scheme of that method which uses more than one sensors or measuring devices and more than one controlled devices and plants, placed in more than one area of interest.

According to this present invention, the information and remote control method and device are meant for the following purpose: a movable or not user, $\underline{6}$, being placed to any distance away from the area of interest $\underline{1}$, to be able to receive information referring to some certain parameters from that area and also to be able to control certain devices or plants $\underline{4}$, from that area of interest $\underline{1}$, in order to modify the values of those parameters as the user $\underline{6}$ wants.

All values of those parameters are measured by using sensors or measuring devices $\underline{2}$, which are transmitting the measured data to the device for applying the method $\underline{3}$.

The device for applying the method $\underline{3}$ is constituted of: the reception interface $\underline{8}$, a two-way pager $\underline{7}$ (or any other device capable to transmit receive an SMS message) and a control interface $\underline{9}$.

The reception interface $\underline{8}$ is receiving data from the sensors or measuring devices $\underline{2}$ and also is transforming all those data in digital signals which are locally sent to a two-way pager $\underline{7}$ (or any other device capable to transmit receive

an SMS message). The pager is receiving and transforming data, based on the contained program, as SMS messages.

Next the same pager is transmitting the messages through the mobile phone network to the user's $\underline{6}$ mobile phone $\underline{5}$. The mobile phone $\underline{5}$ is noticing and informing the user $\underline{6}$. When the messages are received by the user, he has the possibility to send control messages, as SMS messages, through the mobile phone network, to the device for applying the method $\underline{3}$. This device is receiving the messages through the two-way pager $\underline{7}$ and is transforming these in digital signals. The obtained digital signals are sent to the control interface $\underline{9}$ which is controlling the devices/ plants $\underline{4}$ from the area of interest $\underline{1}$, according to the received messages/ commands from the user $\underline{6}$.

If the user $\underline{6}$ is only informed about what are the changes in the area of interest $\underline{1}$, the method and the device are "Information Method and Device". In this particularly case, the device for applying the method $\underline{3}$ is having only the following components: the reception interface $\underline{8}$ and a one-way pager $\underline{7}$, and it is only transmitting data from the area of interest $\underline{1}$ to the user $\underline{6}$.

The method and the device are "Control Method and Device" if: the user $\underline{6}$ can control devices & plants $\underline{4}$, placed in his area of interest $\underline{1}$; the user is transmitting through his mobile phone $\underline{5}$ control messages as SMS messages, to a device for applying the method $\underline{3}$. The mentioned device for applying the method has the following components: one-way pager $\underline{7}$ (or any other device which can receive SMS messages), which is receiving SMS messages, and a control interface $\underline{9}$, through which it's controlling the devices & plants $\underline{4}$, from the user's $\underline{6}$ area of interest $\underline{1}$, as the user wishes.

The method and the device are "Information and Remote Control & Administration Method and Device" if: inside the device for applying the method 3, the two way pager 7 is programmed to control the devices & plants 4 from the area of interest 1, at certain values of the measured parameters and in certain conditions according to the loaded program, to transmit all data to the user 6, so the user can control as he wishes the devices & plants 4 from the area of interest 1. The area of interest 1 can be any location where from the user wants to receive data/ information. That supposes that the area of interest can be any location where technological process takes place, any machine, any room, any house, any office, any building, any plant, any car a.s.o. The sensors/ measuring devices 2 notice and measure parameters as: the electric current' intensity, the temperature, the pressure, the humidity, fluid flows, the luminosity, the smoke quantity, any motion, any touch, any data transfer a.s.o., generally speaking any parameter which can be measured. Data transmission from the sensors/ measuring devices 2 to the reception interface can be realized by wire as well as wireless (for instance by using infra-red technology, radio waves a.s.o.). The sensor can be a video

camera. In this case, the transmitted data are even images from the area of interest $\underline{1}$, which can be received on the user's mobile phone display 5, as the current technologies facilitate it.

The device for applying the information & remote control method $\underline{3}$ has the following components:

- reception interface $\underline{8}$ its duties are: receiving the signals from the sensors and measuring devices $\underline{2}$, transforming these signals in digital signals, sending these to the two way pager $\underline{7}$ through a port.
- the two way pager 7 its duties are: the first main duties category is to receive data from the reception interface 8, to process these data and to send them to the user 6 as SMS messages, according to the contained program. The second main duties category is to receive messages as SMS messages from the user 6 and to control the controlled devices/ plants 4 according to their own instructions. The third duties category (an optional one) is to confirm the change of parameters values' as user 6 wanted. If the sensors/ measuring devices 2 or the controlled devices & plants 4 are spread over to a larger area can be used a bigger number of two way pagers. The contained program by the two way pager works in an external computer and can be loaded in the pager's internal flash memory, based on the same procedure the program is loaded into the mobile phone. The two way pagers can be replaced with fixed cellular phones or any other device able to transmit SMS messages in order to avoid the data transmission problems, as SMS messages between pagers order to avoid the two way pagers and mobile phones, or in manufacturing with new technologies. A two way pager can achieve both the information duty and the control duty or it can achieve separately the information duty or the control one (the user 6 can be informed through the pager and control the parameters through another pager). These pagers can achieve also the administration duty, the administration program complexity and its administration capacity as many devices and plants being limited only by the internal memory capacity (in which the above mentioned program is loaded). If the memory isn't large enough, the administration duty can be achieve by a computer which is communicating with the mentioned pager and this one is sending the messages forward.
- the control interface 9 its duty is to control the controlled devices/ plants 4, placed in the area of interest 1, according to the user's messages 6 sent through the two way pager 7. This kind of interfaces (with digital command) is generally used in the industry. A private applications world

wide can generate these applications' standardization (for the labor saving devices remote control and for lower costs).

- the controlled devices/ plants <u>4</u> their duty is to modify the parameters values from the area of interest <u>1</u>. These devices can be controlled through the control interface <u>9</u>. These devices can be: technological/private devices/ plants for cooling/ heating, illuminating devices, alarm systems, audio video equipment, devices for doors' blocking up, video camera, or any other machine/ device/ plant to which can be adjusted a control interface.
- the mobile phone <u>5</u> it can be any digital mobile phone which can receive SMS messages. The communication network can be any one that allows the SMS messages transmission: GSM type, satellite transmission a.s.o.
- the user <u>6</u> is a person and just in case can be a group of persons which share the area of interest or segments of it. In this particularly case some of the used sensors/ measuring devices <u>2</u> or some of the used controlled devices/ plants <u>4</u> can simultaneous serve, in different combinations, more than one user <u>6</u> and being connected to more than one device for applying the method <u>3</u>. The user <u>6</u> will be informed in those ways, events and time stipulated in the program. The user <u>6</u> will be able to send control messages according to the specific program mode of operation.

There are few practical samples for the invention' application:

- If in the user's home the temperature lowered too much, the sensor transmits the parameter value to the two way pager; the pager transmits the above mentioned value to the user, as SMS message; the user has the choice to transmit the command to turn on the hitting system or to limit the temperature, as he wishes.
- If in a green house the humidity lowers too much, the parameter value is transmitted to the user which can control the irrigation plants as he wants to
- The traffic or anybody's presence in certain locations (houses, offices, fields a.s.o.) can be monitoring by simple intimation or by messages or even by images transmission on the user's mobile phone display; if the user notices that the intruders are unknown persons, he can easily activate the alarm/ the doors blocking up device, call the police, go to his area of interest a.s.o.
- Certain equipment/ other devices usage can be monitoring.

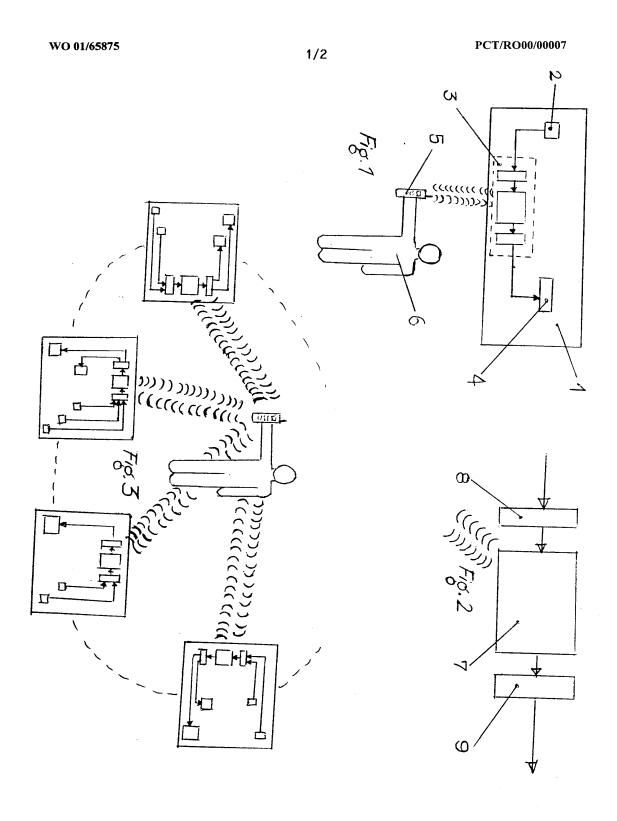
- If the water dangerously penetrates into certain spaces, if there is enough light in the area of interest a.s.o.

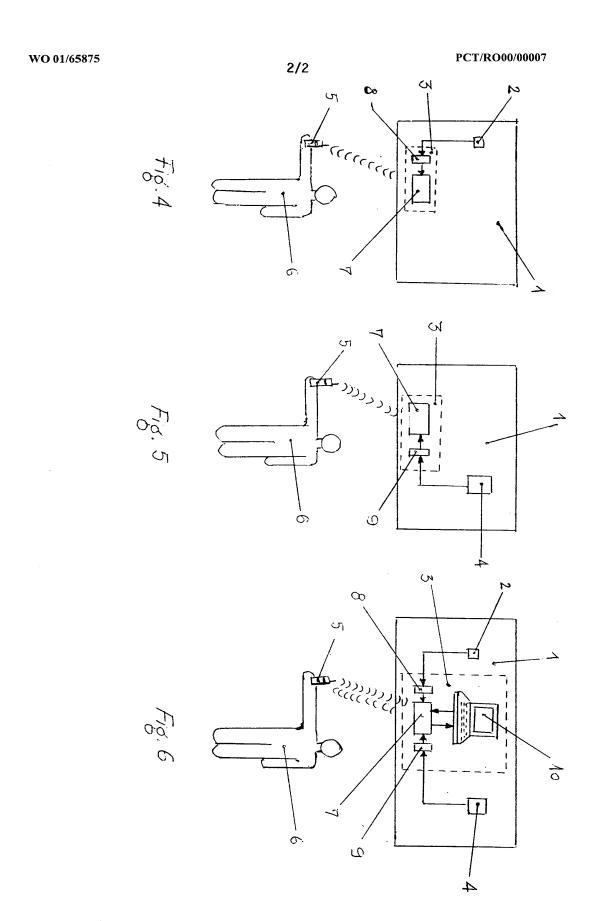
- Un-hospitalized sick persons and also children home alone can be monitored; for instance if the child home alone wakes up and cries, the noise/ movement sensor informs the user; the user can turn on the lights, turn on the CD player (nice relaxing music) from long distance; in the mean while the video camera, having its certain location, can be activated and able to transmit images to the user's mobile phone display.
- If the user is away (vacation for instance) no matter where in the world but he can receive SMS messages, he can easily find out if an intruder goes in his home, if it is a fire in his home, if it is cold/ hot in his home, if it is a flood in his home a.s.o. and he can take action consequently.
- If the user is away for a certain period of time he can discourage any burglar by remote control the illumination system, the CD player, the TV set a.s.o. every evening so any outsider gets the impression that the user is at home.

Practically, the user decides what are the purpose, the way and the configuration in which he uses the information and remote control & administration method and device. The location where from the above mentioned device and method can be used is practically world wide. For particularly isolated locations can be accessed the satellite mobile phone service.

CLAIMS

- 1. Information and Remote Control & Administration Method and Device. It uses a mobile phone network SMS (short messages service) service. Features: the user 6 receives to a mobile phone 5 data as SMS messages; data refer to certain parameters from a certain long distance area of interest 1, through sensors/ measuring devices 2 which transmit all measured data from the area of interest 1 to a device for applying the method 3. The device for applying the method is characterized by a data reception interface 8, which transforms the received data in digital signals. These signals are locally transmitted to a two way pager 7 (or any other device able to transmit receive SMS messages). The pager sends SMS messages, through the mobile phone network, according to the loaded program, to the user's 6 mobile phone 5. The user, using the same mobile phone 5, can send SMS messages, through the mobile phone network, to the device for applying the method 3. The above mentioned device controls/ commands the devices/ plants 4 from the area of interest 1, through a control interface 9, according to the instructions contained by the control messages received from the user 6.
- 2. Long Distance Information Method and Device. It uses a mobile phone network SMS (short messages service) service. Features: the user 6 receives long distance data. Data refer to certain parameters from a user's certain area of interest 1. Data are collected using sensors/ measuring devices 2, which transmit the measured data from the area of interest 1 to the device for applying the method 3. The device for applying the method is characterized by a data reception interface 8, which transforms the received data in digital signals. These signals are transmitted to a one way pager 7 (or any other device able to transmit SMS messages). The pager sends SMS messages, through the mobile phone network, according to the loaded program, to the user's 6 mobile phone 5, so the user gets informed about parameters' values from the area of interest.
- 3. Remote Control Method and Device. It uses a mobile phone network SMS (short messages service) service. Features: the user 6 can control/ command devices/ plants 4, placed in a certain area of interest 1 of his. To achieve the control, the user sends the command as SMS messages, through his mobile phone 5, to the device for applying the method 3. The device for applying the method is characterized by a one way pager 7 (or any other device able to receive SMS messages), which receives the SMS messages, and a control interface 9, trough which the devices/ plants 4 from the user 6 area of interest 1 are controlled/ commanded, as the user wishes.





INTERNATIONAL SEARCH REPORT

Intern nat Application No

			17 KU 007 00007
A. CLASSI IPC 7	FICATION OF SUBJECT MATTER H04Q7/22		
	,		
According to	o International Patent Classification (IPC) or to both national classif	ication and IPC	
	SEARCHED		
1	ocumentation searched (classification system followed by classification	ition symbols)	
IPC 7	H04Q		
Dogumentat	tion searched other than minimum documentation to the extent that	euch documents are included	in the fields searched
Documentar	gori searched offier than minimum documentation to the extent that	Such documents are included	an the helps searched
Electronia d	lata base consulted during the international search (name of data b	nase and where practical sear	ch terms (ised)
EPO-In		vase and, where practical, scal-	arreme does,
E1 0-111	ter man		
C DOCUM	ENTS CONSIDERED TO BE RELEVANT		
Category °	Citation of document, with indication, where appropriate, of the r	elevant passages	Relevant to claim No.
Х	EP 0 930 792 A (NOKIA MOBILE PHO	NES LTD)	1-3
	21 July 1999 (1999-07-21) column 11, line 16 -column 14, 1	ine 46	
		THE 40	
Χ	WO 99 49680 A (WARFEL KARL B ; SH	IAND ARTHUR	1
	M (US); WHITLEY KEVIN T (US); BE 30 September 1999 (1999-09-30)	LLSUUI)	
	page 7, line 26 -page 9, line 25		
	page 11, line 22 -page 13, line	9	
χ	EP 0 417 944 A (NOKIA MOBILE PHO	ONES LTD)	2
	20 March 1991 (1991-03-20) column 3, line 26 -column 4, lir	20.44	
		ie 44	
:			
Furti	her documents are listed in the continuation of box C.	X Patent family memb	pers are listed in annex.
° Special ca	itegories of cited documents :	"T" later document published	dafter the international filing date
"A" docume	ent defining the general state of the art which is not bered to be of particular relevance		in conflict with the application but principle or theory underlying the
	document but published on or after the international	"X" document of particular re	elevance; the claimed invention ovel or cannot be considered to
"L" docume	ent which may throw doubts on priority claim(s) or is cited to establish the publication date of another	involve an inventive ste	p when the document is taken alone elevance; the claimed invention
citation	n or other special reason (as specified) ent referring to an oral disclosure, use, exhibition or	cannot be considered to document is combined	o involve an inventive step when the with one or more other such docu-
other r	means ent published prior to the international filing date but	ments, such combination in the art.	on being obvious to a person skilled
later th	nan the priority date claimed	"&" document member of the	
Date of the	actual completion of the international search	Date of mailing of the in	ternational search report
2	7 October 2000	03/11/2000)
Name and n	mailing address of the ISA	Authorized officer	
	European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk		
	Tel. (+31-70) 340-2040, Tx. 31 651 epo ni, Fax: (+31-70) 340-3016	Pham, P	

Form PCT/ISA/210 (second sheet) (July 1992)

1

INTERNATIONAL SEARCH REPORT

....ormation on patent family members

Intern al Application No PCT/RO 00/00007

Patent document cited in search report		Publication date		atent family member(s)	Publication date
EP 0930792	Α	21-07-1999	FI	980115 A	21-07-1999
W0 9949680	Α	30-09-1999	AU	3201599 A	18-10-1999
EP 0417944	A	20-03-1991	FI AT DE DE JP	894370 A 121035 T 69018550 D 69018550 T 3112751 A	16-03-1991 15-04-1995 18-05-1995 14-12-1995 14-05-1991

Form PCT/ISA/210 (patent family annex) (July 1992)



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

BIB DATA SHEET

CONFIRMATION NO. 7370

SERIAL NUM	IBER	FILING or 371((c)	CLASS	GROUP A	ART UNIT	ATTO	RNEY DOCKET			
11/686,98	34	03/16/2007		236	26	817		8002-06-07			
		RULE									
Deborah Frankin E Michael [** CONTINUIN This appl	APPLICANTS Charles G. SHAMOON, Little Elm, TX; Deborah H. SHAMOON, Little Elm, TX; Frankin Eugene NEAL, Ashland, KY; Michael D. FEHNEL, Fuquay-Varina, NC; *** CONTINUING DATA **********************************										
		ns benefit of 60/52									
** FOREIGN A	PPLICA	ATIONS **********	********* ne	* HN							
** IF REQUIRE 03/27/200		EIGN FILING LICE	ENSE GRA	ANTED ** ** SMA	LL ENTITY	/ **					
Foreign Priority claime		Yes No	NA-1 - 61	STATE OR	SHEETS			INDEPENDENT			
35 USC 119(a-d) con-	ditions met /HUY D N G	Tes Parino Car	Met after Allowance	COUNTRY	DRAWING			CLAIMS			
	Examiner's		als	TX	4	35	5	2			
ADDRESS											
Ishman L P.O BOX Cary, NC UNITED	(1245 (27512-	1245									
TITLE											
UBIQUIT	OUS C	ONNECTIVITY AN	D CONTR	OL SYSTEM FOR	REMOTE	LOCATIO	VS.				
					☐ AI	l Fees					
		A cathera vita a legan la grana	airea ia D		□ 1.	16 Fees (Fi	ling)				
FILING FEE RECEIVED		Authority has been to charg			NT 1.	17 Fees (Pr	ocessi	ing Ext. of time)			
950		for follow				18 Fees (Is:	sue)				
					□ 0:	ther					
					☐ Ci	edit					

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	11686984	SHAMOON ET AL.
	Examiner	Art Unit
	HUY D NGUYEN	2617

✓	Rejected	-	Cancelled	N	Non-Elected	Α	Appeal
=	Allowed	÷	Restricted	I	Interference	0	Objected

CL	AIM		DATE								
inal	Original	05/06/2008									
	1	✓									
	2	√									
	3	✓									
	4	✓									
	5	✓									
	6	✓									
	7	✓									
	8	✓									
	9	✓									
	10	✓									
	11	✓									
	12	✓									
	13	✓									
	14	✓									
	15	0									
	16	0									
	17	0									
	18	✓									
	19	✓									
	20	✓									
	21	✓									
	22	✓									
	23	✓									
	24	✓									
	25	✓									
	26	✓									
	27	√									
	28	✓									
	29	✓									
	30	✓									
	31	0									
	32	0									
	33	0									
	34	√									
	35	✓									
	36	√									

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	11686984	SHAMOON ET AL.
	Examiner	Art Unit
	HUY D NGUYEN	2617

V	R	ejected		Can	celled		N	Non-E	lected	Α		App	peal	
=	A	llowed	÷	Res	tricted		I	Interf	erence	0	(Obje	cted	
c	☐ Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.47													
	CLAIM DATE													
Fit	nal	Original	05/06/2008											

Search Notes



Application/Control No.	Applicant(s)/Patent Under Reexamination
11686984	SHAMOON ET AL.
Examiner	Art Unit
HUY D NGUYEN	2617

	SEARCHED		
Class	Subclass	Date	Examiner
455	419, 420, 418, 422.1, 466, 456.1	5/5/2008	HN

SEARCH NOTES		
Search Notes	Date	Examiner
East; Text search (USPAT, US-PGPUB, JPO, EPO, DERWENT,	5/5/2008	HN
IBM_TDB). See search history.		

	INTERFERENCE SEARCH		
Class	Subclass	Date	Examiner

EAST Search History

Ref#	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L15	2	8 and 14	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 23:31
L14	3	((cell or cellular or mobile or portable or wireless or hand or handheld or hand and held or palm or palm adj held) adj2 (device or phone or telephone or station or terminal or unit) or pda) and remote\$2 near4 control\$3 near5 (heat \$3 or air adj condition\$3 or light\$3 or motion or alarm) with respon\$2 near4 receipt	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 23:30
L13	167	((cell or cellular or mobile or portable or wireless or hand or handheld or hand and held or palm or palm adj held) adj2 (device or phone or telephone or station or terminal or unit) or pda) and remote\$2 near4 control\$3 near5 (heat \$3 or air adj condition\$3 or light\$3 or motion or alarm) with respon\$2	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 23:29
L12	0	remote\$2 near2 control\$3 same (power\$3 adj2 down or turn\$3 adj3 off) near6 severe adj2 weather	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 22:59
L11	0	remote\$2 near2 control\$3 with bypass\$3 near3 scheduled near2 water\$3	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 22:58
L10	O	remote\$2 near2 control\$3 with bypass\$3 near2 scheduled adj2 water\$3	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 22:57
L9	8	7 and L8	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 22:31

L8	29313490	@ad<"20041118"	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 22:31
L7	10	((cell or cellular or mobile or portable or wireless or hand or handheld or hand and held or palm or palm adj held) adj2 (device or phone or telephone or station or terminal or unit) or pda) and weather near2 forecast\$3 with remote\$2 near2 control\$3	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 22:30
L6	35	weather near2 forecast\$3 with remote\$2 near2 control\$3	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 22:30
L5	2	weather near3 forcast\$3 and remote\$2 near3 control \$3	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 22:29
L4	0	weather near3 forcast\$3 same remote\$2 near3 control\$3	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 22:29
L3	0	weather near3 forcast\$3 with remote\$2 near3 control\$3	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 22:29
L2	0	weather near2 forcast\$3 with remote\$2 near2 control\$3	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 22:28
S2 0	7	S8 and S19	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 17:07
S 19	10	(sms or short adj message adj service) same remote \$2 near6 control\$3 with heat\$3	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 17:07
S 18	6	S5 and S17	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 17:03

S17	29007	short adj2 message	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 17:03
S16	0	S8 and S15	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 17:02
S15	7	S5 and S14	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 17:02
S14	436267	sms	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 17:02
S13	0	S8 and S12	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 17:02
S 12	1	S5 and S11	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 17:02
S 11	119786	"370"/\$.ccls.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 17:01
S 10	2	"6394359".pn.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 16:50
S 9	3	S7 and S8	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 16:44
S 8	29313490	@ad<"20041118"	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 16:44
S 7	8	S5 and S6	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 16:41
S6	121162	"455"/\$.ccls.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 16:41

S5	113	((cell or cellular or mobile or portable or wireless or hand or handheld or hand and held or palm or palm adj held) adj2 (device or phone or telephone or station or terminal or unit) or pda) and environmental near5 remote\$3 near3 control\$3	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 16:40
S4	2	heat\$3.ab. and (nguyen and huy).xa.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 16:28
S 3	8	environment\$2.ab. and (nguyen and huy).xa.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 16:24
S2	3	environment\$2.ti. and huy. xa.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 16:23
S 1	3	"7058358"	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 15:50

5/5/08 11:58:59 PM

C:\Documents and Settings\HNguyen25\My Documents\EAST\Workspaces\11686984.wsp

PTO/SB/08a (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE	Application Number		11686984
	Filing Date		2007-03-16
	First Named Inventor	SHAN	MOON, et al. Charles G.
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		
(Not for Submission ander of Office 1.33)	Examiner Name		
	Attorney Docket Number		8002-06-07

	Remove					
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	4918439		1990-04-17	WOZNIAK, et al.	
	2	4965557		1990-10-23	SCHEPERS, et al.	
	3	5109222		1992-04-28	WELTY	
	4	5247580		1993-09-21	KIMURA, et al.	
	5	5544036		1996-08-06	BROWN, Jr., et al.	
	6	5545857		1996-08-13	LEE, et al.	
	7	5579496		1996-11-26	VAN STEENBRUGGE	
	8	5621662		1997-04-15	HUMPHRIES, et al.	

(Not for submission under 37 CFR 1.99)

	Application Number		11686984		
Filing Date			2007-03-16		
	First Named Inventor	SHAN	SHAMOON, et al. Charles G.		
	Art Unit				
	Examiner Name				
Attorney Docket Number		er	8002-06-07		

9	5778256	1998-07-07	DARBEE, et al.	
10	5805530	1998-09-08	YOUNGBERG	
11	5818428	1998-10-06	EISENBRANDT, et al.	
12	5917105	1999-06-29	JOAO	
13	5924486	1999-07-20	EHLERS, et al.	
14	6005490	1999-12-21	HIGASHIHARA	
15	6081750	2000-06-27	HOFFBERG, et al.	
16	6104334	2000-08-15	ALLPORT	
17	6192282	2001-02-20	SMITH, et al.	
18	6195589	2001-02-27	KETCHAM	
19	6216956	2001-04-17	EHLERS, et al.	

(Not for submission under 37 CFR 1.99)

Application Number		11686984		
Filing Date		2007-03-16		
First Named Inventor	SHAN	IOON, et al. Charles G.		
Art Unit				
Examiner Name				
Attorney Docket Number		8002-06-07		

20	6264559	2001-07-24	LAWRENCE, et al.	
21	6394359	2002-05-28	MORGAN	
22	6398727	2002-06-04	BUI, et al.	
23	6934862	2005-08-23	SHAROOD, et al.	
24	6014089	2000-01-11	TRACY, et al.	
25	6260765	2001-07-17	NATALE, et al.	
26	6301481	2001-10-09	ROGERS, et al.	
27	6393297	2002-05-21	SONG	
28	6650249	2003-11-18	MEYER, et al.	
29	6820049	2004-11-16	MONROE, et al.	
30	6847822	2005-01-25	DENNISON, et al.	

(Not for submission under 37 CFR 1.99)

	Application Number		11686984		
	Filing Date		2007-03-16		
	First Named Inventor	SHAN	IOON, et al. Charles G.		
	Art Unit				
	Examiner Name				
Attorney Docket Number		er	8002-06-07		

	31	6854660		2005-02-15	YOON, et al.			
	32	6873824		2005-03-29	FLICK			
	33	6957393		2005-10-18	FANO, et al.			
	34	7005979		2006-02-28	HAUGHAWOUT, et al.			
	35	6919790		2005-07-19	KANAZAWA, Ritsuko			
	36	6476714		2002-11-05	MIZUTA, Rika			
	37	6813474		2004-11-02	ROBINSON, et al.			
If you wisl	h to ac	dd additional U.S. Paten	t citatio	n information pl	ease click the Add button.		Add	
			U.S.P	ATENT APPLIC	CATION PUBLICATIONS		Remove	
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines who Relevant Passages or Rel Figures Appear		
	1	20030034897		2003-02-20	SHAMOON, et al.			
	2	20030034898		2003-02-20	SHAMOON, et al.			

(Not for submission under 37 CFR 1.99)

Application Number		11686984		
Filing Date		2007-03-16		
First Named Inventor	SHAN	IOON, et al. Charles G.		
Art Unit				
Examiner Name				
Attorney Docket Number		8002-06-07		

	3	20020149705		2002-10	ı -1 7	ALLEN, et al.					
	4	20040092282		2004-05	i-03	KIM, et al.					
	5	20040235446		2004-11-25		FLAHERTY, ef	al.				
	6	20050044225		2005-02-24		OTA, et al.					
	7	20040128033		2004-07-01		KU, et al.					
If you wisl	h to ac	dd additional U.S. Publi	shed Ap	plication	citation	n information p	lease click the Add	d butto	n. Add		
				FOREIC	SN PAT	ENT DOCUM	ENTS		Remove		
Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ²		Kind Code ⁴	Publication Date	Name of Patentee Applicant of cited Document		where Rel	or Relevant	T5
***************************************		000000000000000000000000000000000000000	000000000000000000000000000000000000000	000000000000000000000000000000000000000	000000000000000000000000000000000000000	000000000000000000000000000000000000000	000000000000000000000000000000000000000	***************************************	000000000000000000000000000000000000000	000000000000000000000000000000000000000	
										1	
If you wisl	h to ac	dd additional Foreign Pa				· ·		buttor	_		
			NON	I-PATEN	NT LITE	RATURE DO	CUMENTS		Remove]	
Examiner Initials*	Cite No	Include name of the au (book, magazine, journ publisher, city and/or o	nal, seria	al, symp	osium,	catalog, etc), c					T5
	1	Sony Ericcson Mobile Co 2 pages, LZT 123 7602 F			3, GT47/	GT48 Control To	erminal "Intelligent aı	nd Vers	satile Contro	l Terminal",	X

(Not for submission under 37 CFR 1.99)

Application Number		11686984		
Filing Date		2007-03-16		
First Named Inventor	SHAM	SHAMOON, et al. Charles G.		
Art Unit				
Examiner Name				
Attorney Docket Number		8002-06-07		

	2	Sony Ericcson Mobile Communications International, GT47/G First Edition, June 2003	T48 Technical Desciption, 43 pa	ges, LZT 123 7607 R1B,	X			
	3	Cellgard - Use your GSM cellphone as a remote control for all html, 2 pages (10/17/2005)	Cellgard - Use your GSM cellphone as a remote control for almost anything, "Cellgard", http://www.cellgard.com/index. html, 2 pages (10/17/2005)					
	4	Cellgard - Cellgard Technical Specifications, "Cellgard", http://www.cellgard.com/specs/index.html, 3 pages 10/17/2005)						
	5	Cellgard - Frequently Asked Questions, "Cellgard", http://www.cellgard.com/faq/index.html, 4 pages (10/17/2005)						
If you wis	h to ac	dd additional non-patent literature document citation infor	mation please click the Add b	outton Add				
		EXAMINER SIGNA	TURE					
Examiner	Signa	ature /Huy Nguyen/	Date Considered	05/05/2008				
		nitial if reference considered, whether or not citation is in conformance and not considered. Include copy of this fo						
Standard ST ⁴ Kind of doo	F.3). ³ F cum ent	of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. ² For Japanese patent documents, the indication of the year of the reign of the appropriate symbols as indicated on the document under WIPO ranslation is attached.	of the Emperor must precede the seri	ial number of the patent doc	ument			

(Not for submission under 37 CFR 1.99)

Application Number		11686984	
Filing Date		2007-03-16	
First Named Inventor	SHAMOON, et al. Charles G.		
Art Unit			
Examiner Name			
Attorney Docket Number		8002-06-07	

CERTIFICATION STATEMENT							
Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):							
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).						
OR							
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).						
	See attached certification statement.						
	Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.						
×	X None						
SIGNATURE A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.							
Sigr	nature	/Peter D. Sachtjen/	Date (YYYY-MM-DD)	2007-04-20			
Nan	ne/Print	Peter D. Sachtjen	Registration Number	24619			
		rmation is required by 37 CFR 1.97 and 1.98	•	_			

public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
 - A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law
 enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: SHAMOON, Charles G., et al.

Title: UBIQUITOUS CONNECTIVITY AND

CONTROL SYSTEM FOR REMOTE

LOCATIONS

Serial No.: 11/686,984

Filing Date: 03/16/2007

Group Art Unit: 2617

Examiner: NGUYEN, Huy D.

Docket No.: 8002-06-07

Last Action: 07/14/08

Customer No.: 23983

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

AMENDMENT

This amendment is in response to the Office Action mailed July 14, 2008.

In the Claims:

Amend claims as set forth in the attached Claims Status.

Claims Status

- 1. (Currently Amended) A method for environmental control and information processing associated with a structure, the method comprising:
 - a. providing a user with a remote control unit, said remote control unit:

receiving through short message service (SMS) from a control unit in the structure a status message directly over a cellular network related to the status of an environmental <u>control</u> unit at said structure,

notifying said user of receipt of said status message and displaying said status message on said remote control unit;

b. providing by said user at said remote control unit in response to said status message a control message containing control information to use for controlling said environmental control units;

- c. delivering said control message from said remote control unit to the cellular network as a SMS message and relaying said control message to said control unit;
- d. creating a control command for said environmental control unit based on the control information of the control message; and
- e. delivering the control commands to the plurality of environmental control unit units.
- 2. (Original) The method of claim 1 comprising sending as an SMS message to the remote control unit a response message indicating delivery of a control command to the environmental control unit.
- 3. (Original) The method of claim 2 wherein the response message includes status information for the environmental control unit.
- 4. (Currently Amended) The method of claim 1 comprising controlling environmental conditions within the structure using the plurality of environmental control unit units.

- 5. (Original) The method of claim 1 comprising detecting presence of an authorized person and sending said status message to the remote control unit indicating the presence of the authorized person.
- 6. (Original) The method of claim 5 wherein detecting presence of the authorized person includes detecting the authorized person proximate to the structure.
- 7. (Original) The method of claim 6 wherein the remote control unit includes global positioning satellite (GPS) capabilities and detecting the authorized person proximate to the structure comprises receiving GPS information from the remote control unit.
- 8. (Currently Amended) The method of claim 6 wherein at least one of the plurality of the environmental control unit units includes a heating system and comprising sending a control command to the heating system to adjust the temperature within the structure in response to detecting the authorized person proximate to the structure.

- 9. (Currently Amended) The method of claim 6 wherein at least one of the plurality of the environmental control unit units includes a lighting system and comprising sending a control command to the lighting system to turn on lighting associated with the structure in response to detecting the authorized person proximate to the structure.
- 10. (Original) The method of claim 1 comprising detecting presence of an unauthorized person and sending an SMS message to the remote control unit indicating the presence of the unauthorized person.
- 11. (Currently Amended) The method of claim 10 wherein at least one of the plurality of the environmental control unit units includes a burglar alarm and detecting presence of the unauthorized person includes detecting a structural breach of the structure via the burglar alarm.

- 12. (Original) The method of claim 1 comprising accessing remote information, creating a control command based on the remote information, and delivering the control command to at least one of the plurality of environmental control units.
- 13. (Currently Amended) The method of claim 12 comprising sending an SMS message to the remote control unit based on the remote information and the control command delivered to the at least one of the plurality of environmental control unit units.
- 14. (Original) The method of claim 13 wherein accessing the remote information includes accessing a weather forecasting service and the remote information relates to local weather conditions.
- 15. (Currently Amended) The method of claim 14 wherein the at least one of the plurality of environmental control unit units includes a sprinkler system and the control command includes an instruction to bypass a scheduled watering cycle for the sprinkler system when the local weather conditions include a forecast for rain.

- 16. (Currently Amended) The method of claim 14 wherein the at least one of the plurality of environmental control unit units includes a power control system and the control command includes an instruction to power down sensitive electronics when the local weather conditions include a forecast for severe weather.
- 17. (Currently Amended) The method of claim 14 wherein the at least one of the plurality of environmental control unit units includes a window control system and the control command includes an instruction to adjust at least one of windows and window coverings that are associated with the structure to conserve energy when the local weather conditions include a forecast for a change in weather conditions.
- 18. (Original) The method of claim 13 wherein accessing remote information includes accessing a school system's notification information service and the remote information includes school related information.

- 19. (Currently Amended) The method of claim 18 wherein the at least one of the plurality of environmental control unit units includes a heating system and the control command includes an instruction to adjust the heat when the school related information includes a notification that schools are being released early due to inclement weather.
- 20. (Original) A system for environmental control and information processing associated with a structure, the method comprising:

a controller adapted to interface with an environmental control unit associated with the structure; and a wireless module adapted to:

receive from a remote control unit a control
message delivered from a remote control unit from a
cellular network as a short message service (SMS)
message comprising control information to use for
controlling the environmental control unit;

create control commands for the environmental control unit based on the control information of the SMS messages;

deliver the control commands to the environmental control unit via the controller; and

deliver to said remote control unit for receipt as a SMS message a revised status message indicating execution of said control information; and

means at remote control device for notifying a user of said remote control device of said receipt and displaying information related to said revised status message.

- 21. (Original) The system of claim 20 wherein the environmental control unit is adapted to control environment conditions within the structure.
- 22. (Original) The system of claim 20 wherein the wireless module is adapted to detect presence of an authorized person and to send an SMS message to the remote control unit indicating the presence of the authorized person.

- 23. (Original) The system of claim 22 wherein, in being adapted to detect presence of the authorized person, the wireless module is adapted to detect the authorized person proximate to the structure.
- 24. (Original) The system of claim 23 wherein the remote control unit includes global positioning satellite (GPS) capabilities and wherein, in being adapted to detect the authorized person proximate to the structure, the wireless module is adapted to detect the authorized person by receiving GPS information from the remote control unit.
- 25. (Original) The system of claim 23 wherein the environmental control unit is a heating system and wherein the wireless module is adapted to send a control command to the heating system via the controller to adjust the temperature within the structure in response to detecting the authorized person proximate to the structure.
- 26. The system of claim 23 wherein the environmental control unit is a lighting system and wherein the wireless module is adapted to send a control command to the lighting system via the controller to

turn on lighting associated with the structure in response to detecting the authorized person proximate to the structure.

27. (Currently Amended) The system of claim 20 wherein the environmental control unit is is a burglar alarm and wherein, in being adapted to detect the unauthorized person proximate to the structure, the wireless module is adapted to detect a structural breach of the structure via the burglar alarm.

Claims 28-31 are Cancelled.

- 32. (Currently Amended) The system of claim <u>42</u> 30 wherein the environmental control unit is a power control system and the wireless module is adapted to create the control command to instruct the power control system to power down sensitive electronics when the local weather conditions include a forecast for severe weather.
- 33. (Currently Amended) The system of claim 20 30 wherein the environmental control unit is a window control system and the

wireless module is adapted to create the control command to instruct the window control system to adjust at least one of windows and window coverings that are associated with the structure to conserve energy when the local weather conditions include a forecast for a change in weather conditions.

- 34. (Currently Amended) The system of claim <u>20</u> 30 wherein the wireless module is adapted to access remote information by accessing a school system's notification information service and the remote information includes school related information.
- 35. (Currently Amended) The system of 34 wherein the at least one of the plurality of environmental control unit units includes a heating system and wherein the wireless module is adapted to create the control command to instruct the heating system to increase the heat when the school related information includes a notification that schools are being released early due to inclement weather.

- 36. (Previously Presented) A method for environmental control and information processing associated with a structure, the method comprising:
- a. providing a user with a remote control unit, said remote control unit:

receiving a status message from a control unit in the structure a status message directly over a cellular network using short message and/or data bearer services, said status message related to the status of an environmental unit at said structure,

notifying said user of receipt of said status message' and displaying said status message on said remote control unit;

- b. providing by said user at said remote control unit in response to said status message a control message containing control information to use for controlling said environmental control units:
- c. delivering said control message from said remote control unit to the cellular network using short message and/or data bearer services and relaying said control message to said control unit;

- d. creating a control command for said environmental control unit based on the control information of the control message; and
- e. delivering the control commands to the plurality of environmental control units.
- 37. (Previously Presented) A system for environmental control and information processing associated with a structure, the method comprising:

a controller adapted to interface with an environmental control unit associated with the structure; and

a wireless module adapted to:

receive from a remote control unit a control message delivered from a remote control unit from a cellular network using short message and/or data bearer services, said control message comprising control information to use for controlling the environmental control unit;

create control commands for the environmental control unit based on the control information;

deliver the control commands to the environmental control unit via the controller; and

deliver to said remote control unit for receipt using said services of said cellular network a revised status message indicating execution of said control information; and

means at remote control device for notifying a user of said remote control device of said receipt and displaying information related to said revised status message.

- 38. (New) A method for environmental control and information processing associated with a structure, the method comprising:
 - a. providing a user with a remote control unit, said remote control unit:

receiving through short message service (SMS) from a control unit in the structure a status message directly over a cellular network related to the status of an environmental unit at said structure,

notifying said user of receipt of said status message' and displaying said status message on said remote control unit;

b. providing by said user at said remote control unit in response to said status message a control message containing

control information to use for controlling said environmental control units;

- c. delivering said control message from said remote control unit to the cellular network as a SMS message and relaying said control message to said control unit;
- d. creating a control command for said environmental control unit based on the control information of the control message;
- e. delivering the control commands to the plurality of environmental control units.
- f. accessing remote information, creating a control command based on the remote information, and delivering the control command to at least one of the plurality of environmental control units wherein accessing the remote information includes accessing a weather forecasting service and the remote information relates to local weather conditions; and
- g. sending an SMS message to the remote control unit based on the remote information and the control command delivered to the at least one of the plurality of environmental

control units wherein the at least one of the plurality of environmental control units is selected from the group including (i) a sprinkler system and the control command includes an instruction to bypass a scheduled watering cycle for the sprinkler system when the local weather conditions include a forecast for rain wherein the wireless module is adapted to access remote information, to create a control command based on the remote information, and to deliver the control command to at least one of the plurality of environmental control units via the controller, (ii) a power control system and the wireless module is adapted to create the control command to instruct the power control system to power down sensitive electronics when the local weather conditions include a forecast for severe weather, (iii) a heating system and wherein the wireless module is adapted to create the control command to instruct the heating system to increase the heat when the school related information includes a notification that schools are being released early due to inclement weather.

- 39. (New) The system of claim 38 wherein the wireless module is adapted to access remote information by accessing a school system's notification information service and the remote information includes school related information.
- 40. (New) The method of claim 39 wherein the at least one of the plurality of environmental control units includes a power control system and the control command includes an instruction to power down sensitive electronics when the local weather conditions include a forecast for severe weather.
- 41. (New) The method of claim 1 wherein the environmental control unit includes a window control system and the control command includes an instruction to adjust at least one of windows and window coverings that are associated with the structure to conserve energy when the local weather conditions include a forecast for a change in weather conditions.
- 42. (New) A system for environmental control and information processing associated with a structure, the method comprising:

a controller adapted to interface with an environmental control unit associated with the structure; and a wireless module adapted to:

receive from a remote control unit a control
message delivered from a remote control unit from a
cellular network as a short message service (SMS)
message comprising control information to use for
controlling the environmental control unit;

create control commands for the environmental control unit based on the control information of the SMS messages;

deliver the control commands to the environmental control unit via the controller; and

deliver to said remote control unit for receipt as a SMS message a revised status message indicating execution of said control information; and

means at remote control device for notifying a user of said remote control device of said receipt and displaying information related to said revised status message,

wherein the wireless module is adapted to access remote information, to create a control command based on the remote information, to deliver the control command to at least one of the plurality of environmental control units via the controller, adapted to send an SMS message to the remote control unit based on the remote information and the control command delivered to the environmental control unit. And the environmental control unit is a sprinkler system and the wireless module is adapted to create the control command to instruct the sprinkler system to bypass a scheduled watering cycle when the local weather conditions include a forecast for rain.

REMARKS

This reply is in response to the Office Action of July 14, 2008.

Drawings/Specification

No objections having been raised, it appears that the specification and drawings are accepted. Confirmation is requested.

Extension of Time

Applicant is requesting an extension of time from October 14, 2008 through January 14, 2009. The requisite petition and fee are included with this response.

Allowable Subject Matter

Claims 15–17 and 31–33 were deemed allowable if written in independent form including all of the limitations of the base claim and any intervening claims. New claims 38 through 41 represent the allowance conditions for claims 15–17. New claims 42 and amended claims 32 and 33 represent the allowance conditions for claims 31–33.

Claim Rejections - 35 USC 112

Claim 1 was rejected under Section 112 as indefinite in that "environmental control unit" did not have proper antecedence.

Claim 1 has been amended to correct this inadvertent omission.

Acceptance is requested.

Claim Rejections - 35 USC 102 and 103

The claims as filed have been rejected under the above sections citing Sabie (WO 01/65875 A1) as a primary reference. For the reasons set forth below, Applicant submits that the Sabie method and apparatus are incapable of operation in accordance with the disclosure. A Declaration Under Rule 132 by B. Wade Baker is attached in support. On the basis of the Resume and Work Experience attached to the Declaration, particularly the hardware and software expertise, it is submitted that Mr. Baker is an appropriate person skilled in the art.

For purposes of the Declaration, reference is with respect to the independent method claim 1 and system claim 20 of the application. The remaining claims are rejected in whole or in part on Sabie, and these claims rise or fall on the basis of the appropriateness of Sabie as a reference.

As to capability to operate in accordance with the Sabie disclosure, only that factor need be considered and failure is a fundamental flaw. Accordingly, reference to the other factors on enablement as set forth by controlling case law and embodied in MPEP Section 2164 are not germane.

The Sabie disclosure is illustrated in the figures of the drawings by schematic block diagrams without reference to internal components. Commensurate with application claims 1 and 20, the most comprehensive description is with reference to the "information & remote control method" set forth on page 3. It is Applicants' position that an operative two way pager/cellular phone cannot be made operational to perform the functions detailed in the Sabie subparagraph beginning on page 5 at line 9. It states that "the contained program ... can be loaded in ... internal flash memory". The Declaration makes clear at paragraph 10 that it would not be possible to access the flash memory of cellular phones or pagers to install the software to perform the duties set forth by Sabie in the noted subparagraph. This prohibition is it well known

and based on security, proprietary and copyright issues. Further, disregarding that it was impossible and/or illegal for one skilled in the art to install the required software, additional hardware would be required as enumerated in the Declaration, and it would not be possible to install such hardware in cellular phones or pagers at the priority date of Sabie. Additional required functions for Sabie would be an input, wired or wireless, from the reception interface to the pager/phone. The Declaration also makes clear that no such input was available or possible.

Sabie also makes clear that the foregoing are key and required for operation of his prescribed method and system. While mention is made that additional supplemental, not alternative, memory may be required for certain administration functions, the ability to load into flash memory is an invariable required part of his pager/phone, and this was not possible.

For the foregoing reasons, Applicants submit that the Sabie publication does not disclose an enabled device and is therefore not a proper reference against the claims of the application.

Inasmuch as Sabie is the primary reference against the pending claims at issue, and its removal as a reference would render such

claims patentably distinct over the cited references, Applicant respectfully requests allowance of claims 1 through 27 and 32 through 42.

Dated: January 14, 2009

Respectfully submitted,

ISHMAN LAW FIRM PC

For D. Sachtjen

Peter D. Sachtjen, Reg. No. 24,619

Ishman Law Firm PC Post Office Box 1245 Cary, NC 27512

Telephone: (919) 462-3036 Facsimile: (919) 462-3041

Attorney Direct Line: (252) 413-0475

Declaration Under Rule 132

- I, B. Wade Baker, declare that:
- 1. I am a resident of the State of Texas.
- 2. I have over 30 years experience in the electronics field. My Resume is attached hereto as Appendix A, and my Education and Work Experience is attached hereto as Appendix B.
- 3. I was requested by Charles Shamoon to conduct an evaluation of International Publication Number WO 01/65875 entitled "Method and Device for Remote Control and Remote Monitoring Via Short Message Service" by applicant and inventor Razvan Sabie ("Sabie") and having a priority date of February 29, 2000 (Priority Date); and to provide my opinion on whether it would be possible to build an operative device based on the disclosures therein.
- 4. In my opinion, it would not be possible to build an operative device based on the disclosures in Sabie as of the Priority Date, for the reasons set forth herein.
- 5. As part of my evaluation, I have read and understood the Sabie publication including the claims.

- 6. As part of my evaluation, I have read and understood United States Serial No.11/686,984, entitled "Ubiquitous Connectivity And Control System For Remote Locations", including the claims ("Shamoon Application").
- 7. As a result of my experience set forth in Appendix A and Appendix B, I am familiar with the cellular phones and pagers available as of the Priority Date.
- 8. For purposes of my evaluation, in view of the Shamoon Application, I considered the embodiment designated "information & remote control method 3" (p. 5) and using either a mobile phone or pager. In my opinion, this included all elements of the other embodiments and represents the embodiment most commensurate with the claims of the Shamoon Application.
- 9. According to the drawings of Sabie, the schematic block bearing numeral "7" (two-way pager) is disclosed; and no internal components are shown. The specification on page 5 discloses the duties of the pager at the subparagraph beginning "two way pager". The first duty is to receive data and process data from the reception interface 8. In my opinion, this would require an interface, wired or

wireless, to the memory and microprocessor, and was not possible inasmuch as cell phones and pagers as of the Priority Date did not have available access for supplemental components. The specification on page 5 discloses that the program is loaded into the flash memory of the pager or cellular phone. In my opinion, this would not be possible inasmuch as cell phones and pagers as of the Priority Date did not have available access to the flash memory. The specification on page 5 discloses three duties categories for the pager or cellular phone that would be operated by the program so loaded into flash memory. In my opinion, there was not memory space available on pagers or cellular phones as of the Priority Date sufficient to contain the extensive software required to perform the duties in Sabie, as such duties would require software containing all transmission protocol capabilities because calls to the original source code cannot be made due to precompiled code. To accomplish the functions and features set forth in Sabie, in my opinion additional hardware and software would be required including; wired and wireless ports, a separate antenna, an auxiliary power controller, additional cooling and a daughter board to hold

Serial No. 11/686,984 Declaration-Baker

additional circuitry, all of which would be impossible to incorporate in cellular phones or pagers as of the Priority Date.

All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment or both, under 18 USC 1001.

Dated: January 14, 2009

B. Wade Baker

B. Wade Baker

APPENDIX A

RESUME

B. Wade Baker

ADDRESS: 7233 Monterrey Drive

Fort Worth, Texas 76112

TELEPHONE:

Cell: (469) 450-2502 personal

Email: <u>bwadebaker@yahoo.com</u> personal

EDUCATION

Callaway High School. Jackson, Mississippi, 1973 Cleveland Institute of Electronics, Fort Worth, Texas

FCC First Class License, May 4, 1977

Tarrant County College, 1977

ASEE Degree

Graduated with High Honors

Member Phi Theta Kappa

Completed additional courses in BASIC and PASCAL Programming Languages

Completed VHDL class, University Of New Mexico 1998

Doctor of Divinity (D.D.) February 2008

Ordained Minister

SUMMARY OF EMPLOYMENT

I left full time work in the electronics industry in November 2006. I started a Property Management Company. I have a total of thirty years in the electronics industry. I spent fourteen years in the design of analog, rf, power, and PLD system in everything from Military systems to high volume consumer to oil and nuclear down-hole tools. I have designed complete systems including mechanical, electrical, electronic, and software, many of which were one-of-a-kind. For fifteen years I was involved in applications engineering and applications engineering management. I have represented single products within large companies such as Philips Semiconductors CoolRunner CPLDs and many companies with thousands of components from a distribution FAE standpoint with Marshall Industries and Future Electronics. For the last six years I have managed a team of FAEs regionally and nationally. I have excellent managerial skills, people skills, marketing skills, engineering, technical, and troubleshooting skills. My goal is to utilize those skills to the best of my ability wherever I can be appreciated for them.

Specialties:

Personnel management, Product Marketing, In-depth understanding of channel sales and marketing from an engineering perspective, analog, rf, power, digital, troubleshooting and FPGA/CPLD design and production.

EMPLOYMENT

Owner & CEO at Lone Star Happy Home LLC

November 2006 - May 2008

I was majority stock holder in Lone Star Happy Home LLC and. Lone Star was a property management company. We managed residential and commercial properties. I was in charge of the maintenance group within LSHH.

Future Electronics, Dallas, Texas

5/2003 to 10/2006 North America Altera Technology Director and North America PLD Director

I was Responsible for the technical implementation of the Altera franchise through Future's distribution network of branches across North America. I hired 25 Altera dedicated TSMs, set up North American training contracts with 3rd party vendors, wrote Standard Operating Procedures for implementing successful component and software sales for Altera. I was the corporate liaison between Future's and Altera's executive management and was responsible for all technical aspects of Future's Altera plan. I worked closely with corporate product marketing to implement programs and penetration plans to insure successful delivery of the Altera message to Future's field sales people and their customer base. I grew the Altera business from \$0 to \$30M in three years.

Future Electronics, Dallas, Texas

8/99 to 5/2003 Area Technical Sales Manager

Responsible for North Texas and Western Gulf Regions, Oklahoma, Arkansas, and Louisiana. QuickLogic Supplier Champion and North American RTU PLD Director. I and my twelve Technical Solutions Managers (TSMs) were responsible for the technical sales/support aspects for Future Electronics. Future Electronics is an electronics distribution company. TSMs assist sales personnel with the presentation, follow-through, and closing of complex electronic component deals. I was responsible for total production win opportunities, technical training, account calls, sales/TSM interaction, promotion of focus supplier programs and various other managerial duties along with the management of my TSMs.

Philips Semiconductors, Albuquerque, New Mexico

11/95 to 8/99 Senior CoolRunner CPLD Applications Specialist

- Customer support for all CPLD products and software
- Written articles published in major trade journals
- Co-designed and debugged of Grapefruit concept CoolRunner Demo Board and wrote introduction article describing the product
- Co-designed and debugged Demonstration Board featuring our 32 MC CPLD
- Co-designed and debugged ISP prototype Board featuring our 128 MC CPLD
- Co-wrote XPLA™ Designer user's manual
- Signature authority for application group
- First contact for all technical support calls

Marshall Industries, Houston, Texas

1/94 to 11/95 Field Application Engineer

Customer support for all lines represented by Marshall Industries including:

- Field Programmable Gate Arrays
- Programmable Array Logic
- Complex Programmable Logic Devices
- Microprocessors
- Memory
- Logic
- RF/Analog Components
- Technical direction of supported lines for the Houston Branch in conjunction with the Branch Manager.
- Technical issues support for Inside and Outside sales force.

Delta - X corporation, Houston, Texas

1/91 to 1/94 **CHIEF ENGINEER**

- In charge of Engineering Design group including engineering and production personnel. Reported directly to the president.
- Design of a Well Simulator used to test Remote Terminal Units and Pump Off Controllers.
- Hardware redesign of a Flowing Well Controller.
- Hardware redesign of a low cost Pump Off Controller.
- Hardware and software redesign of in-line, real-time, radio transmission data conditioner/buffer.
- Hardware design of a Radio Time-out Board.
- Hardware and software design of computer automated board test equipment.

Positron Corporation, Houston, Texas

6/88 to 1/91 PRODUCTION DESIGN ENGINEER

- World Class Manufacturing design of production area in as much as layout and material flow is concerned.
- Fabrication and redesign of system detector modules.
- Maintaining cross-functional environment for system reliability and upgrades.
- Design and development of automatic test equipment used in testing of system production circuit boards.
- Five (5) production personnel
- Module design for the University of Texas Health Science Center, Houston advanced time-of-flight PET system.

Tandy Electronics Design, Fort Worth, Texas

10/87 to 5/88 **TEST ENGINEER**

The testing, test fixture design, test plan design, competitive product analysis, failure mode analysis and product acceptance of the following:

- Cordless Phone ET-430
- Cordless Phone with speakerphone base
- Telephone Answering Devices
- Tandy Personal Pocket Pager
- Video Signal Amplifier/Enhancer
- Base Band Video/Audio Control Center 15-1263
- Universal Remote Controller
- Universal Remote Controller 15-1902

Gearhart Industries Inc., Fort Worth, Texas

6/82 to 5/86, 5/87 to 10/87 **ELECTRICAL ENGINEER**

- Design of world's first microprocessor controlled cathode deposition machine
- Design of world's first fully automated PMT tester
- Design of Pulse Neutron Tube ion generator electronics along with all monitoring equipment.

General Dynamics Corp. Fort Worth, Texas

3/78 to 6/82 ELECTRICAL ENGINEER

- Threat RADAR design, analog and digital
- IF stages design
- Conversion from analog to a digital moving target indicator
- PPI interface design
- Cross Field Amplifier interface design

References upon request

APPENDIX B

B. Wade Baker

Education Credentials

Graduated Tarrant County College 1977 with High Honors AAS in Digital and Analog Electronics, 3.78 out of 4.0 Inducted into Phi Theta Kappa Honor Society

1st Class FCC License granted May 1977

BASIC and Pascal programming classes at TCC post graduate ,4.0 out of 4.0, 1987

VHDL class from the University of New Mexico post graduate, 4.0 out of 4.0, 1998

Honorary Doctorate of Divinity awarded by the Church of the Living Water, 4.0 out of 4.0, 2008

Ordained Minister February 2008

Experience:

Thirty years experience in the design of electronic systems including:

- RF transmitters
- RF receivers
- Digital Moving target indication (RADAR)
- Designs:
 - o Instrumentation AMPs
 - Sensor buffering
 - o Operational amplifiers systems
 - Telemetry systems
 - o Power supplies
 - Fly back
 - Buck
 - SEPIC
 - CUK
 - Boost
 - Constant Current
 - Analog controlled
 - Digital controlled
 - Control loops analog
 - Analog input conversion systems
 - Digital input conversion systems
 - Analog output systems
 - Digital output systems

- Analog to Digital converters systems
- Digital to Analog converter systems
- Control loops Digital
- o Dry Hydrogen Oven systems
- o Computer automated Photomultiplier photocathode deposition system
 - Designed hardware
 - Designed software (Pascal)
- o Computer automated Photomultiplier tester
 - Designed hardware
 - Designed software
 - Built systems
 - Tested systems
- o Oil Well Pump-off systems
 - Hardware
 - Software
- Microprocessor systems
 - Input
 - Output
 - Clocking
 - Memory
 - Peripherals
 - Power supply
- o Environmental control systems
 - Input
 - Output
 - Microprocessor
 - Etc.
- Conveyor control systems
 - Motion
 - Speed
 - Acceleration
 - Deceleration
- o Photomultiplier detections system for Positron Emission Tomography
- o Photomultiplier detector module test systems
 - Hardware
 - Software
- Designed test systems and protocols for:
 - Cordless telephones
 - Hardware
 - Software
 - Universal remote controllers
 - Hardware
 - Software
 - MODEMS
 - Hardware
 - Software
 - Pagers

- Hardware
- Software
- And many others
- Written published papers in CPLD design and usage including:
 - o Metastability Characteristics for Philips CPLDs
 - Understanding the hidden costs of using high-power CPLDS
 - o Probing internal nodes using XPLA Designer's graphic simulator
 - o <u>ISP design considerations for the CoolRunner™ PZx128 CPLD</u>
 - And many others
- Designed hundred of digital systems utilizing:
 - o ABEL
 - o VHDL
 - Verilog language
 - o Altera CPLDs and FPGA
 - Philips Semiconductors CPLDs and FPGA
 - Xilinx Semiconductors CPLDs and FPGA
 - Many others
- Recipient of many awards for excellence in design and management
- Management of:
 - o Design Engineers
 - o Software Engineers
 - o Firmware Engineers
 - Drafting personnel
 - o Production personnel

Fifteen years experience in Applications engineering

- Management of Application engineers
- Management of Salespeople
- Corporate Management
- Corporate liaison responsibilities
- Regional Engineering management responsibility
- Continental Engineering management responsibility

Test Equipment Used:

- Oscilloscopes
- Spectrum Analyzers
- DVM
- HPIB based systems
- Circuit Analyzers
- Logic Analyzers
- Power meters
- Sound pressure level
- Function generators
- Attenuators
- Calibrators
- And many others

Computer Systems:

- Windows based
- Linux based
- Unix based
- Proprietary based

Submitted Patents:

- Sub-audible detection of bonding defects in laminated metal fabrics August 1980, General Dynamics Corporation, Fort Worth, TX
- Sub-audible detection of vertical mass displacement from point contact energy source, May 1986, Fourth Dimension Instruments, Spring, TX

Paid Consultant for "Coleman Research Group", New York, New York

- Helped large institutional investors choose the most promising High-tech companies to invest in.
- Source of background information for high profile tech magazines

Paid Consultant for "Nomad Communication" Little Elm, TX

- Patent consultation
- Office Action rebuttals

Under the paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless if displays a valid OMB control number.

PETITION	FOR EXTENSION OF TIME UNDER 3	Docket Number (Optional)							
(Fees	FY 2009 pursuant to the Consolidated Appropriations Act, 2	005 (H.R. 4818).)	8002-06-07						
	Number 11/686,984		Filed 03/16/2007						
For UBIC	For UBIQUITOUS CONNECTIVITY AND CONTROL SYSTEM FOR REMOTE LOCATIONS (By SHAMOON)								
Art Unit 26	17		Examiner NGUYEN, I	Huy D.					
This is a req application.	uest under the provisions of 37 CFR 1.136	(a) to extend the perio	d for filing a reply in the	above identified					
The request	ed extension and fee are as follows (check	time period desired a	nd enter the appropriate	fee below):					
		<u>Fee</u>	Small Entity Fee						
	One month (37 CFR 1.17(a)(1))	\$130	\$65	\$					
	Two months (37 CFR 1.17(a)(2))	\$490	\$245	\$					
✓	Three months (37 CFR 1.17(a)(3))	\$1110	\$555	§ <u>555.00</u>					
	Four months (37 CFR 1.17(a)(4))	\$1730	\$865	\$					
	Five months (37 CFR 1.17(a)(5))	\$2350	\$1175	\$					
✓ Applica	nt claims small entity status. See 37 CFR 1	.27.							
A chec	k in the amount of the fee is enclosed.								
 □ Payme	nt by credit card. XXXXXXXXXXXXX	XXXXX Fee paid	d via E-Filing Sys	stem					
l	rector has already been authorized to c								
	rector is hereby authorized to charge a t Account Number	ny fees which may l	pe required, or credit a	any overpayment, to					
WARNIN	IG: Information on this form may become put credit card information and authorization on		ation should not be includ	ded on this form.					
I am the	applicant/inventor.								
	assignee of record of the entire Statement under 37 CFR 3.7								
	attorney or agent of record. Rec		•						
	attorney or agent under 37 CFF			_					
	Registration number if acting under								
10	e D. Sultjan		01/14/2009						
	Signature		D	ate					
Peter D). Sachtjen		252-413-0475						
	Typed or printed name		Telephor	ne Number					
	es of all the inventors or assignees of record of the enti uired, see below.	re interest or their represent	ative(s) are required. Submit m	ultiple forms if more than one					
✓ Total	one (1) forms are	submitted.							

This collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Electronic Patent <i>I</i>	\ pp	olication Fee	Transm	ittal					
Application Number:	110	586984							
Filing Date:	16-	-Mar-2007							
Title of Invention:		IQUITOUS CONNEC CATIONS	TIVITY AND CC	ONTROL SYSTEM FO	R REMOTE				
First Named Inventor/Applicant Name:	Ch	arles G. SHAMOON							
Filer: Peter D. Sachtjen/Stefanie Hansen									
Attorney Docket Number: 8002-06-07									
Filed as Small Entity									
Utility under 35 USC 111(a) Filing Fees									
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)				
Basic Filing:									
Pages:									
Claims:									
Miscellaneous-Filing:									
Petition:									
Patent-Appeals-and-Interference:									
Post-Allowance-and-Post-Issuance:									
Extension-of-Time:									
Extension - 3 months with \$0 paid		2253	1	555	555				

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
	Tot	al in USD	(\$)	555

	Electronic	Acknowledgement F	Receipt									
	EFS ID:	4615902										
	Application Number:	11686984	11686984									
Inte	ernational Application Number:											
	Confirmation Number:	7370										
	Title of Invention:	UBIQUITOUS CONNECTIVI LOCATIONS	UBIQUITOUS CONNECTIVITY AND CONTROL SYSTEM FOR REMOTE LOCATIONS									
First N	Named Inventor/Applicant Name:	Charles G. SHAMOON	Charles G. SHAMOON									
	Customer Number:	23983	23983									
	Filer:	Peter D. Sachtjen/Stefanie	Peter D. Sachtjen/Stefanie Hansen									
	Filer Authorized By:	Peter D. Sachtjen	Peter D. Sachtjen									
	Attorney Docket Number:	8002-06-07	8002-06-07									
	Receipt Date:	14-JAN-2009	14-JAN-2009									
	Filing Date:	16-MAR-2007	16-MAR-2007									
	Time Stamp:	21:35:44	21:35:44									
	Application Type:	Utility under 35 USC 111(a	Utility under 35 USC 111(a)									
Payment	information:											
Submitted wit		yes										
Payment Type	·	Credit Card										
	successfully received in RAM	\$555										
RAM confirma												
Deposit Account												
Authorized Us												
File Listing	g:											
Document Number	Document Description	File Name File Size(Bytes)/ Multi Pag Message Digest Part /.zip (if ap										

		Total Files Size (in byte	s): 27	76661	
Information:					
Warnings:					
6	Fee Worksheet (PTO-06)	fee-info.pdf	14d023058f3a2f77abd73e321d06226b8cb bb8de	no	2
omudon.			30588		
Information:					
Warnings:		<u> </u>	веd		
5	Extension of Time	EOT011409.pdf	67201 88203155c1ca40ca7982f0361611bc2cf793 8ecf	no	1
Information:					
Warnings:					
4	Rule 130, 131 or 132 Affidavits	Appendix B.pdf	38400 4f0c8c5217c5babe697f61aa8a25afcdddfce 47d	no	4
Information:			20400		
Warnings:		1	1		ı
3	Rule 130, 131 or 132 Affidavits	Appendix A.pdf	e8b5464873d4dd6235a8cd6dde321f3b26 252a16	no	4
	Duly 120 121 or 122 Affidority		39594		
Information:					
Warnings:			5a96		
2	Rule 130, 131 or 132 Affidavits	Rule 132 Baker Ex.pdf	25815 	no	4
information:		1			
Warnings: Information:					
	Applicant Arguments/Remarks	: Made in an Amendment	21		25
			2		20
	Claims	2			
	Amendment/Req. Reconsiderat	ion-After Non-Final Reject	1		1
	Document De	Start	E	nd	
	Multip	ı Dart Description/PDF files i			<u> </u>
1		Amend011409.pdf	826c1e5738e6db3243ff9ca1d9f1f0aefd46d b69	yes	25
			75063		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/686,984	03/16/2007	Charles G. SHAMOON	8002-06-07	7370
23983 Ishman Law Fi	7590 03/04/200 rm P.C.	9	EXAM	IINER
P.O BOX 1297			NGUYEN	N, HUY D
riony springs,	INC 27340-1297		ART UNIT	PAPER NUMBER
			2617	
			MAIL DATE	DELIVERY MODE
			03/04/2009	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)
Office Action Community	11/686,984	SHAMOON ET AL.
Office Action Summary	Examiner	Art Unit
The MAIL INO DATE of this accounting	HUY D. NGUYEN	2617
The MAILING DATE of this communicate Period for Reply	on appears on the cover sheet with t	rne correspondence address
A SHORTENED STATUTORY PERIOD FOR WHICHEVER IS LONGER, FROM THE MAIL - Extensions of time may be available under the provisions of 3' after SIX (6) MONTHS from the mailing date of this communic - If NO period for reply is specified above, the maximum statuto - Failure to reply within the set or extended period for reply will, Any reply received by the Office later than three months after earned patent term adjustment. See 37 CFR 1.704(b).	LING DATE OF THIS COMMUNICATOR 1.136(a). In no event, however, may a reply sation. In y period will apply and will expire SIX (6) MONTHS by statute, cause the application to become ABANE	TION. be timely filed from the mailing date of this communication. DONED (35 U.S.C. § 133).
Status		
1) Responsive to communication(s) filed c	on <u>14 January 2009</u> .	
2a) This action is FINAL . 2b)	☐ This action is non-final.	
3)☐ Since this application is in condition for	allowance except for formal matters	, prosecution as to the merits is
closed in accordance with the practice	under <i>Ex parte Quayle</i> , 1935 C.D. 1	1, 453 O.G. 213.
Disposition of Claims		
4)⊠ Claim(s) <u>1-27 and 32-42</u> is/are pending	in the application.	
4a) Of the above claim(s) is/are v	withdrawn from consideration.	
5)⊠ Claim(s) <u>38-40</u> is/are allowed.		
6)⊠ Claim(s) <u>1-14, 18-27,32-37,41 and 42</u> is	s/are rejected.	
7) Claim(s) <u>15-17</u> is/are objected to.		
8)☐ Claim(s) are subject to restriction	and/or election requirement.	
Application Papers		
9)☐ The specification is objected to by the E	xaminer.	
10)☐ The drawing(s) filed on is/are: a)	ı□ accepted or b)□ objected to by t	the Examiner.
Applicant may not request that any objection	n to the drawing(s) be held in abeyance.	See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the	- · · · · · · · · · · · · · · · · · · ·	
11)☐ The oath or declaration is objected to by	the Examiner. Note the attached O	ffice Action or form PTO-152.
Priority under 35 U.S.C. § 119		
<u> </u>	cuments have been received. cuments have been received in Appl he priority documents have been rec Bureau (PCT Rule 17.2(a)).	lication No ceived in this National Stage
Attachment(s) 1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-		mary (PTO-413) lail Date
3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date	— 1	mal Patent Application

U.S. Patent and Trademark Office PTOL-326 (Rev. 08-06)

Office Action Summary

Part of Paper No./Mail Date 20090228

Art Unit: 2617

DETAILED ACTION

Response to Arguments

1. Applicant's arguments filed 1/14/09 have been fully considered but they are not persuasive.

The applicant submitted that it would not be possible to load a program into a flash memory of a pager or cell phone because cell phones and pagers did not have available access to flash memory as of the priority date (Sabie – WO 01/65875 A1).

The examiner responds that as of the priority date cell phone comprising flash memory have already been known in the art (for example, see Osborn - U.S. Patent No. 6,026,293; Gafken - U.S. Patent No. 6,026,016, fig. 9; Yamashita U.S. Patent No. 5,982,670 – col. 1, lines 11-14).

Claim Objections

- 2. Claim 33 is objected to because of the following informalities: "The system of claim 20" should be "The system of claim 42". Appropriate correction is required.
- 3. Claim 41 is objected to because of the following informalities: "The method of claim 1" should be "The method of claim 38". Appropriate correction is required.
- 4. Claim 42 is objected to because of the following informalities: "...control unit. And the environmental..." should be "...control unit; wherein the environmental...". Appropriate correction is required.

Art Unit: 2617

Claim Rejections - 35 USC § 102

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

6. Claims 1, 4-6, 10-13, 20-23, 27, 36 are rejected under 35 U.S.C. 102(b) as being anticipated by Sabie (WO 01/65875 A1).

Regarding claims 1, 20-21, 36, Sabie teaches a method for environmental control and information processing associated with a structure, the method comprising a. providing a user with a remote control unit, said remote control unit: receiving through short message service (SMS) from a control unit (e.g., control device 4, see page 3) in the structure (e.g., area of interest 1: any room, house, office, building,...see page 4) a status message directly over a cellular network related to the status of an environmental unit at said structure (e.g., measuring devices 2 notice and measure parameter as temperature, pressure, humidity, any motion,..., see page 4), notifying said user of receipt of said status message and displaying said status message on said remote control unit (e.g., cell phone 5, see page 4, lines 1-5); b. providing by said user at said remote control unit in response to said status message a control message containing control information to use for controlling said environmental control units (see page 4, lines 5-11); c. delivering said control message from said remote control unit to the cellular network as a SMS message and relaying said control message to said control unit (see the abstract and page 4); d. creating a control command for said environmental control unit based on the control information

Art Unit: 2617

of the control message (see the abstract and page 4); and e. delivering the control commands to the plurality of environmental control units (see the abstract and page 4).

Regarding claim 4, Sabie teaches the method of claim 1 comprising controlling environmental conditions within the structure using the plurality of environmental control units (e.g., see page 4).

Regarding claims 5-6, 10-11, 22-23, 27, Sabie teaches the method of claim 1 comprising detecting presence of an authorized person and sending said status message to the remote control unit indicating the presence of the authorized person (see page 6, the last paragraph).

Regarding claims 12-13, Sabie teaches the method of claim 1 comprising accessing remote information (e.g., temperature, humidity, motion,..., see page 4), creating a control command based on the remote information, and delivering the control command to at least one of the plurality of environmental control units (see page 4).

Claim Rejections - 35 USC § 103

- 7. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 8. Claims 2-3, 37 are rejected under 35 U.S.C. 103(a) as being unpatentable over Sabie.

Regarding claims 2-3, 37, Sabie does not specifically teach sending as an SMS message to the remote control unit a response message indicating delivery of a control command to the environmental control unit. However, it would have been obvious for the control devices 4 to

Art Unit: 2617

have responded to the mobile phone 5 after the control command had been delivered so that the user 6 can be sure that the control devices 4 had received the control command.

9. Claims 7, 24 are rejected under 35 U.S.C. 103(a) as being unpatentable over Sabie in view of Cannon et al. (U.S. Patent No. 7,058,358).

Regarding claims 7, 24, Sabie does not teach the method of claim 6 wherein the remote control unit includes global positioning satellite (GPS) capabilities and detecting the authorized person proximate to the structure comprises receiving GPS information from the remote control unit. However, the preceding limitation is taught in Cannon et al. (see col. 5, lines 36-41). It would have been obvious to have implemented the system of Sabie by the teaching of Cannon et al. to determine if the authorized person/device is within a predetermined secure area.

10. Claims 8-9, 25-26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Sabie in view of Richton (U.S. Patent No. 6,400,956).

Regarding claims 8, 25, Sabie does not teach the method of claim 6 wherein at least one of the plurality of environmental control units includes a heating system and comprising sending a control command to the heating system to adjust the temperature within the structure in response to detecting the authorized person proximate to the structure. The preceding limitation is taught in Richton (see col. 3, lines 51-64). It would have been obvious to have implemented the system of Sabie by the teaching of Richton to provide convenience for the users by adjusting the home temperature to give a comfortable environment when the user enters his/her home.

Art Unit: 2617

Regarding claims 9, 26, Richton teaches the method of claim 6 wherein at least one of the plurality of environmental control units includes a lighting system and comprising sending a control command to the lighting system to turn on lighting associated with the structure in response to detecting the authorized person proximate to the structure (see col. 3, lines 51-64).

11. Claims 14, 18-19, 34-35 are rejected under 35 U.S.C. 103(a) as being unpatentable over Sabie in view of Wang et al. (US 2006/0025164 A1).

Regarding claims 14, 18-19, 34-35, Sabie does not teach the method of claim 13 wherein accessing the remote information includes accessing a weather forecasting service and the remote information relates to local weather conditions. The preceding limitation is taught in Wang et al. (see par. 27). It would have been obvious to have implemented the system of Sabie by the teaching of Wang et al. in order to provide convenience for the users by adjusting the home temperature/humidity accordingly to give a comfortable environment when the user enters his/her home.

Allowable Subject Matter

- 12. Claims 15-17 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.
- 13. New claim 38 represents claim 1 incorporated with allowable claim 15 and intervening claims 12-14. Claims 39-40 depend on claim 38. Therefore, claims 38-40 are allowable.

Art Unit: 2617

Conclusion

14. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

15. Any inquiry concerning this communication or earlier communications from the examiner should be directed to HUY D. NGUYEN whose telephone number is (571)272-7845. The examiner can normally be reached on M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Dwayne Bost can be reached on 571-272-7023. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 2617

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated

information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Huy D Nguyen/ Examiner, Art Unit 2617

/Sharad Rampuria/

Primary Examiner, Art Unit 2617

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	11686984	SHAMOON ET AL.
	Examiner	Art Unit
	HUY D NGUYEN	2617

✓	Rejected	-	Cancelled	N	Non-Elected	Α	Appeal
=	Allowed	÷	Restricted	I	Interference	0	Objected

CL	AIM			DATE		
inal	Original	05/06/2008	03/01/2009			
	1	✓	✓			
	2	✓	✓			
	3	✓	✓			
	4	✓	✓			
	5	✓	✓			
	6	✓	✓			
	7	✓	✓			
	8	✓	✓			
	9	✓	✓			
	10	✓	✓			
	11	✓	✓			
	12	✓	✓			
	13	✓	✓			
	14	✓	✓			
	15	0	0			
	16	0	0			
	17	0	0			
	18	✓	✓			
	19	✓	✓			
	20	✓	✓			
	21	✓	✓			
	22	✓	✓			
	23	✓	✓			
	24	✓	✓			
	25	✓	✓			
	26	✓	✓			
	27	✓	✓			
	28	✓	-			
	29	✓	-			
	30	✓	-			
	31	0	-			
	32	0	✓			
	33	0	✓			
	34	✓	✓			
	35	✓	✓			
	36	✓	✓			

RES935 - 1009, Page 205

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	11686984	SHAMOON ET AL.
	Examiner	Art Unit
	HUY D NGUYEN	2617

V	✓ Rejected		d - Cancelled N Non-Elected		lected	_ A _		Α	Appeal					
=	= Allowed		Res	tricted		I	Interference		Interference O Obje		Interference		ojec	ted
☐ Clá	☐ Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.47									1.47				
	CLAIM DATE													
Fina	Final Original 05/06/2008 03/		03/01/2009											
	37	✓	✓											

39 40

U.S. Patent and Trademark Office Part of Paper No.: 20090228

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	11686984	SHAMOON ET AL.
	Examiner	Art Unit
	HUY D NGUYEN	2617

SEARCHED					
Class	Subclass	Date	Examiner		
455	419, 420, 418, 422.1, 466, 456.1	5/5/2008	HN		

SEARCH NOTES		
Search Notes	Date	Examiner
East; Text search (USPAT, US-PGPUB, JPO, EPO, DERWENT, IBM_TDB). See search history.	5/5/2008	HN

INTERFERENCE SEARCH					
Class	Subclass	Date	Examiner		

·	1
<u>'</u>	i e e e e e e e e e e e e e e e e e e e
·	1
<u>'</u>	i e e e e e e e e e e e e e e e e e e e
·	1
·	1
<u>'</u>	i e e e e e e e e e e e e e e e e e e e
·	1
<u>'</u>	i e e e e e e e e e e e e e e e e e e e
·	1
<u>'</u>	i e e e e e e e e e e e e e e e e e e e

EAST Search History

Ref#	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
S45	56	S42 and S44	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2009/02/28 17:33
S44	5648	(cell or cellular or mobile or portable or wireless or hand or handheld or hand and held or palm or palm adj held) adj2 (phone or telephone) with flash adj2 memory	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2009/02/28 17:32
S43	107	S40 and S42	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2009/02/28 17:32
S42	23264996	@pd<"20000229"	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2009/02/28 17:32
S41	426	S38 and S40	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2009/02/28 17:32
S40	11010	(cell or cellular or mobile or portable or wireless or hand or handheld or hand and held or palm or palm adj held) adj2 (phone or telephone) same flash adj2 memory	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2009/02/28 17:31
S39	58756	(cell or cellular or mobile or portable or wireless or hand or handheld or hand and held or palm or palm adj held) adj2 (phone or telephone) and flash adj2 memory	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2009/02/28 17:31
S38	21364396	@ad<"20000229"	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2009/02/28 17:30

S37	3	sabie.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/07/10 11:25
S36	0	wo0165875	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/07/10 11:24
S35	0	wo0165875.did.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/07/10 11:24
S34	2	S27 and S33	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 23:31
S33	3	((cell or cellular or mobile or portable or wireless or hand or handheld or hand and held or palm or palm adj held) adj2 (device or phone or telephone or station or terminal or unit) or pda) and remote\$2 near4 control\$3 near5 (heat \$3 or air adj condition\$3 or light\$3 or motion or alarm) with respon\$2 near4 receipt	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 23:30
S 32	167	((cell or cellular or mobile or portable or wireless or hand or handheld or hand and held or palm or palm adj held) adj2 (device or phone or telephone or station or terminal or unit) or pda) and remote\$2 near4 control\$3 near5 (heat \$3 or air adj condition\$3 or light\$3 or motion or alarm) with respon\$2	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 23:29
S31	0	remote\$2 near2 control\$3 same (power\$3 adj2 down or turn\$3 adj3 off) near6 severe adj2 weather	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 22:59
S 30	0	remote\$2 near2 control\$3 with bypass\$3 near3 scheduled near2 water\$3	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 22:58

S2 9	0	remote\$2 near2 control\$3 with bypass\$3 near2 scheduled adj2 water\$3	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 22:57
S28	8	S26 and S27	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 22:31
S27	29313490	@ad<"20041118"	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 22:31
S26	10	((cell or cellular or mobile or portable or wireless or hand or handheld or hand and held or palm or palm adj held) adj2 (device or phone or telephone or station or terminal or unit) or pda) and weather near2 forecast\$3 with remote\$2 near2 control\$3	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 22:30
S25	35	weather near2 forecast\$3 with remote\$2 near2 control\$3	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 22:30
S24	2	weather near3 forcast\$3 and remote\$2 near3 control \$3	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 22:29
S23	0	weather near3 forcast\$3 same remote\$2 near3 control\$3	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 22:29
S22	0	weather near3 forcast\$3 with remote\$2 near3 control\$3	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 22:29
S21	0	weather near2 forcast\$3 with remote\$2 near2 control\$3	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 22:28
S2 0	7	S8 and S19	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 17:07

S 19	10	(sms or short adj message adj service) same remote\$2 near6 control\$3 with heat \$3	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 17:07
S18	6	S5 and S17	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 17:03
S17	29007	short adj2 message	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 17:03
S16	0	S8 and S15	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 17:02
S15	7	S5 and S14	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 17:02
S14	436267	sms	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 17:02
S13	0	S8 and S12	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 17:02
S12	1	S5 and S11	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 17:02
S11	119786	"370"/\$.ccls.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 17:01
S 10	2	"6394359".pn.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 16:50
S 9	3	S7 and S8	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 16:44
S 8	29313490	@ad<"20041118"	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 16:44

S7	8	S5 and S6	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 16:41
S6	121162	"455"/\$.ccls.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 16:41
S 5	113	((cell or cellular or mobile or portable or wireless or hand or handheld or hand and held or palm or palm adj held) adj2 (device or phone or telephone or station or terminal or unit) or pda) and environmental near5 remote\$3 near3 control\$3	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 16:40
S4	2	heat\$3.ab. and (nguyen and huy).xa.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 16:28
S 3	8	environment\$2.ab. and (nguyen and huy).xa.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 16:24
S2	3	environment\$2.ti. and huy. xa.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 16:23
S 1	3	"7058358"	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 15:50

3/1/09 1:20:34 PM C:\Documents and Settings\HNguyen25\My Documents\EAST\Workspaces\11686984.wsp

Doc code: RCEX Doc description: Request for Continued Examination (RCE)

PTO/SB/30EFS (11-08)

Request for Continued Examination (RCE)

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	REQUEST FOR CONTINUED EXAMINATION(RCE)TRANSMITTAL (Submitted Only via EFS-Web)							
Application Number	11686984	Filing Date	2007-03-16	Docket Number (if applicable)	8002-06-07	Art Unit	2617	
First Named Inventor	SHAMOON, Cha	rles G., et a	ll.	Examiner Name	NGUYEN, Huy D.			
Request for C	This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. The Instruction Sheet for this form is located at WWW.USPTO.GOV							
		s	UBMISSION RE	QUIRED UNDER 37	CFR 1.114			
in which they	were filed unless a	applicant ins		f applicant does not wi	nents enclosed with the RCE value is to have any previously filed			
1 1	y submitted. If a fir on even if this box			g, any amendments file	d after the final Office action r	nay be con	sidered as a	
☐ Co	nsider the argume	ents in the A	ppeal Brief or Rep	ly Brief previously filed	on			
☐ Otl	ner 							
⋉ Enclosed								
⋉ An	nendment/Reply							
☐ Info	ormation Disclosu	re Statemer	nt (IDS)					
Aff	idavit(s)/ Declarati	on(s)						
☐ Ot	her 							
			МІ	SCELLANEOUS				
				is requested under 37 (nder 37 CFR 1.17(i) red	CFR 1.103(c) for a period of rquired)	nonths _		
Other								
				FEES				
★ The Direct			•	CFR 1.114 when the Fayment of fees, or cred	RCE is filed. it any overpayments, to			
	5	SIGNATUF	RE OF APPLICA	NT, ATTORNEY, OF	R AGENT REQUIRED			
X Patent	Practitioner Signa	ature						
Applica	ant Signature							

Doc code: RCEX

PTO/SB/30EFS (11-08)
Doc description: Request for Continued Examination (RCE)

Approved for use through 12/31/2008. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Signature of Registered U.S. Patent Practitioner						
Signature	/Peter D. Sachtjen/	Date (YYYY-MM-DD)	2009-09-04			
Name	Peter D. Sachtjen	Registration Number	24619			

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Patent Application Fee Transmittal					
Application Number:	11686984				
Filing Date:	16-Mar-2007				
Title of Invention:	UBIQUITOUS CONNECTIVITY AND CONTROL SYSTEM FOR REMOTE LOCATIONS				
First Named Inventor/Applicant Name:	Charles G. SHAMOON				
Filer:	Peter D. Sachtjen/Stefanie Hansen				
Attorney Docket Number:	8002-06-07				
Filed as Small Entity					
Utility under 35 USC 111(a) Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Independent claims in excess of 3		2201	1	110	110
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Extension-of-Time:					

Description	Fee Code Quantity		Amount	Sub-Total in USD(\$)	
Extension - 3 months with \$0 paid	2253	2253 1		555	
Miscellaneous:					
Request for continued examination	1 405		405		
	Total in USD (\$)			1070	

	Electronic	Acknowledgement I	Receipt						
	Application Number: 11686984								
Inte	ernational Application Number:								
	Confirmation Number:	7370	7370						
	Title of Invention:	UBIQUITOUS CONNECTIVITY AND CONTROL SYSTEM FOR REMOTE LOCATIONS							
First I	Named Inventor/Applicant Name:	Charles G. SHAMOON	harles G. SHAMOON						
	Customer Number:	23983							
	Filer:	Peter D. Sachtjen/Stefanie	Peter D. Sachtjen/Stefanie Hansen						
	Filer Authorized By:	Peter D. Sachtjen							
	Attorney Docket Number:	8002-06-07							
	Receipt Date:	04-SEP-2009							
	Filing Date:	16-MAR-2007							
	Time Stamp:	15:42:12	15:42:12						
	Application Type:	Utility under 35 USC 111(a	Utility under 35 USC 111(a)						
Payment	information:								
Submitted wi		yes							
Payment Type	·	Credit Card							
	successfully received in RAM	\$1070							
RAM confirma	<u> </u>								
Deposit Accor	unt	11005							
Authorized Us									
File Listing	g:	I							
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)				

1		Amend090409.pdf	87435	yes	28			
·		7 mendoso ros,par	5762916bccbb598dad7b2ea05ca51ec245d ec1e6	yes	25			
Multipart Description/PDF files in .zip description								
	Document Des	Start	E	nd				
	Amendment Af	1		1				
	Claims	2	2 21					
	Applicant Arguments/Remarks	22		28				
Warnings:								
Information:								
2	Extension of Time	EOT090409.pdf	67220	no	1			
-	Extension of fille	201030403,pui	e3d3c4ab84369de6c130f4e0a27b94d59d6 0b3db	110	'			
Warnings:								
Information:								
3	Request for Continued Examination	RCE090409.pdf	697389	no	3			
-	(RCE)	η	b67e4fa5f6b0cd3b0c170a68675beaf4b954 dece					
Warnings:								
Information:								
4	Fee Worksheet (PTO-875)	fee-info.pdf	34077	no	2			
-T	ree-mio.pui		e1f7082d7bf98bc368916a0255e18a86128 266b1					
Warnings:								
Information:								
		Total Files Size (in bytes)	88	36121				
			1					

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: SHAMOON, Charles G., et al.

Title: UBIQUITOUS CONNECTIVITY AND

CONTROL SYSTEM FOR REMOTE

LOCATIONS

Serial No.: 11/686,984

Filing Date: 03/16/2007

Group Art Unit: 2617

Examiner: NGUYEN, Huy D.

Docket No.: 8002-06-07

Last Action: 03/04/09

Customer No.: 23983

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

AMENDMENT

This amendment is in response to the Office Action mailed March 4, 2009.

In the Claims:

Amend claims as set forth in the attached Claims Status.

Claims Status

- 1. (Currently Amended) A method for environmental control and information processing associated with a structure, the method comprising:
- a. providing a user with a remote control unit, said remote control unit:

receiving through short message service (SMS) from a control unit in the structure a status message directly over a cellular network related to \underline{a} the status of an environmental control unit at said structure,

notifying said user of receipt of said status message and displaying said status message on said remote control unit;

b. providing by said user at said remote control unit in response to said status message a control message containing control information to use for controlling said environmental control unit units;

- c. delivering said control message from said remote control unit to the cellular network as a SMS message and relaying said control message to said control unit;
- d. creating a control command for said environmental control unit based on the control information of the control message; and
- e. delivering the control commands to the environmental control unit.
- 2. (Currently Amended) The method of claim 1 comprising sending as [[an]] the SMS message to the remote control unit a response message indicating delivery of a control command to the environmental control unit.
- 3. (Original) The method of claim 2 wherein the response message includes status information for the environmental control unit.

- 4. (Previously Presented) The method of claim 1 comprising controlling environmental conditions within the structure using the environmental control unit.
- 5. (Original) The method of claim 1 comprising detecting presence of an authorized person and sending said status message to the remote control unit indicating the presence of the authorized person.
- 6. (Currently Amended) The method of claim 5 wherein detecting the presence of the authorized person includes detecting the authorized person proximate to the structure.
- 7. (Original) The method of claim 6 wherein the remote control unit includes global positioning satellite (GPS) capabilities and detecting the authorized person proximate to the structure comprises receiving GPS information from the remote control unit.
- 8. (Currently Amended) The method of claim 6 wherein the environmental control unit includes a heating system and

comprising sending a control command to the heating system to adjust the <u>a</u> temperature within the structure in response to detecting the authorized person proximate to the structure.

- 9. (Previously Presented) The method of claim 6 wherein the environmental control unit includes a lighting system and comprising sending a control command to the lighting system to turn on lighting associated with the structure in response to detecting the authorized person proximate to the structure.
- 10. (Original) The method of claim 1 comprising detecting presence of an unauthorized person and sending an SMS message to the remote control unit indicating the presence of the unauthorized person.
- 11. (Previously Presented) The method of claim 10 wherein the environmental control unit includes a burglar alarm and detecting presence of the unauthorized person includes detecting a structural breach of the structure via the burglar alarm.

Claims 12-14 are cancelled.

15. (Currently Amended) The method of claim 141 wherein the environmental control unit includes a sprinkler system and the control command includes an instruction to bypass a scheduled watering cycle for the sprinkler system when the local weather conditions include a forecast for rain.

16. (Currently Amended) The method of claim 14 1 wherein the environmental control unit includes a power control system and the control command includes an instruction to power down sensitive electronics when the local weather conditions include a forecast for severe weather.

Claim 17 is cancelled.

18. (Currently Amended) The method of claim 13 43 wherein accessing the remote information includes accessing a school system's notification information service and the remote information includes school related information.

- 19. (Currently Amended) The method of claim 18 wherein the environmental control unit includes a heating system and the control command includes an instruction to adjust [[the]] heat when the school related information includes a notification that schools are being released early due to inclement weather.
- 20. (Original) A system for environmental control and information processing associated with a structure, the method comprising:

a controller adapted to interface with an environmental control unit associated with the structure; and

a wireless module adapted to:

receive from a remote control unit a control message delivered from a remote control unit from a cellular network as a short message service (SMS) message comprising control information to use for controlling the environmental control unit;

create control commands for the environmental control unit based on the control information of the SMS messages;

deliver the control commands to the environmental control unit via the controller; and

deliver to said remote control unit for receipt as a SMS message a revised status message indicating execution of said control information; and means at remote control device for notifying a user of said remote control device of said receipt and displaying information related to said revised status message.

- 21. (Currently Amended) The system method of claim 20 wherein the environmental control unit is adapted to control environment conditions within the structure.
- 22. (Currently Amended) The system method of claim 20 wherein the <u>a</u> wireless module is adapted to detect presence of an authorized person and to send an SMS message to the remote control unit indicating the presence of the authorized person.
- 23. (Currently Amended) The system method of claim 22 wherein, in being adapted to detect the presence of the authorized person,

the wireless module is adapted to detect the authorized person proximate to the structure.

- 24. (Currently Amended) The system method of claim 23 wherein the remote control unit includes global positioning satellite (GPS) capabilities and wherein, in being adapted to detect the authorized person proximate to the structure, the wireless module is adapted to detect the authorized person by receiving GPS information from the remote control unit.
- 25. (Currently Amended) The system method of claim 23 wherein the environmental control unit is a heating system and wherein the wireless module is adapted to send a control command to the heating system via the controller remote control unit to adjust the a temperature within the structure in response to detecting the authorized person proximate to the structure.
- 26. (Currently Amended) The system method of claim 23 wherein the environmental control unit is a lighting system and wherein the wireless module is adapted to send a control command to the

lighting system via the controller remote control unit to turn on lighting associated with the structure in response to detecting the authorized person proximate to the structure.

27. (Currently Amended) The system method of claim 20 wherein the environmental control unit is a burglar alarm and wherein, in being adapted to detect the an unauthorized person proximate to the structure, the a wireless module is adapted to detect a structural breach of the structure via the burglar alarm.

Claims 28-31 are cancelled.

32. (Previously Presented) The system of claim 42 wherein the environmental control unit is a power control system and the wireless module is adapted to create the control command to instruct the power control system to power down sensitive electronics when the local weather conditions include a forecast for severe weather.

- 33. (Currently Amended) The system of claim 20 42 wherein the environmental control unit is a window control system and the wireless module is adapted to create the control command to instruct the window control system to adjust at least one of windows and window coverings that are associated with the structure to conserve energy when the local weather conditions include a forecast for a change in the local weather conditions.
- 34. (Currently Amended) The system of claim 20 42 wherein the wireless module is adapted to access the remote information by accessing a school system's notification information service and the remote information includes school related information.
- 35. (Currently Amended) The system of 34 wherein the environmental control unit includes a heating system and wherein the wireless module is adapted to create the control command to instruct the heating system to increase the heat when the school related information includes a notification that schools are being released early due to inclement weather.

- 36. (Previously Presented) A method for environmental control and information processing associated with a structure, the method comprising:
- a. providing a user with a remote control unit, said remote control unit:

receiving a status message from a control unit in the structure a status message directly over a cellular network using short message and/or data bearer services, said status message related to the status of an environmental unit at said structure.

notifying said user of receipt of said status message' and displaying said status message on said remote control unit;

- b. providing by said user at said remote control unit in response to said status message a control message containing control information to use for controlling said environmental control units;
- c. delivering said control message from said remote control unit to the cellular network using short message and/or data bearer services and relaying said control message to said control unit;
- d. creating a control command for said environmental control unit based on the control information of the control message; and

- e. delivering the control commands to the plurality of environmental control units.
- 37. (Previously Presented) A system for environmental control and information processing associated with a structure, the method comprising:

a controller adapted to interface with an environmental control unit associated with the structure; and

a wireless module adapted to:

receive from a remote control unit a control message delivered from a remote control unit from a cellular network using short message and/or data bearer services, said control message comprising control information to use for controlling the environmental control unit;

create control commands for the environmental control unit based on the control information;

deliver the control commands to the environmental control unit via the controller; and

deliver to said remote control unit for receipt using said services of said cellular network a revised status message indicating execution of said control information; and

means at remote control device for notifying a user of said remote control device of said receipt and displaying information related to said revised status message.

- 38. (Currently Amended) A method for environmental control and information processing associated with a structure, the method comprising:
- a. providing a user with a remote control unit, said remote control unit:

receiving through short message service (SMS) from a control unit in the structure a status message directly over a cellular network related to the <u>a</u> status of an environmental <u>control</u> unit at said structure[[,]] : and

notifying said user of receipt of said status message[[']] and displaying said status message on said remote control unit;

- b. providing by said user at said remote control unit in response to said status message a control message containing control information to use for controlling said environmental control units unit:
- c. delivering said control message from said remote control unit to the cellular network as a SMS message and relaying said control message to said environmental control unit;
- d. creating a control command for said environmental control unit based on the control information of the control message;
- e. delivering the control commands <u>command</u> to the plurality of environmental control units. <u>unit;</u>
- f. accessing remote information, creating a control command based on the remote information, and delivering the control command to at least one of the plurality of the environmental control units unit wherein accessing the remote information includes accessing a weather forecasting service and the remote information relates to local weather conditions; and
- g. sending an SMS message to the remote control unit based on the remote information and the control command delivered to

the at least one of the plurality of environmental control units unit wherein the at least one of the plurality of environmental control units unit is selected from [[the]] a group including (i) a sprinkler system and the control command includes an instruction to bypass a scheduled watering cycle for the sprinkler system when the local weather conditions include a forecast for rain wherein [[the]] a wireless module is adapted to access the remote information, to create [[a]] the control command based on the remote information, and to deliver the control command to at least one of the plurality of environmental control units unit via the controller remote control unit, (ii) a power control system and the wireless module is adapted to create the control command to instruct the power control system to power down sensitive electronics when the local weather conditions include a forecast for severe weather, (iii) a heating system and wherein the wireless module is adapted to create the control command to instruct the heating system to increase the heat when the school related information includes a notification that schools are being released early due to inclement weather.

- 39. (Currently Amended) The system method of claim 38 wherein the wireless module is adapted to access the remote information by accessing a school system's notification information service and the remote information includes the school related information.
- 40. (Currently Amended) The method of claim 39 wherein the at least one of the plurality of environmental control units unit includes a the power control system and the control command includes an instruction to power down the sensitive electronics when the local weather conditions include a forecast for severe weather.
- 41. (Currently Amended) The method of claim \pm 38 wherein the environmental control unit includes a window control system and the control command includes an instruction to adjust at least one of windows and window coverings that are associated with the structure to conserve energy when the local weather conditions include a forecast for a change in the local weather conditions.

42. (Currently Amended) A system for environmental control and information processing associated with a structure, the method system comprising:

a controller adapted to interface with an environmental control unit associated with the structure; and

a wireless module adapted to:

receive from a remote control unit a control message delivered from a remote control unit from a cellular network as a short message service (SMS) message comprising control information to use for controlling the environmental control unit;

create control commands for the environmental control unit based on the control information of the SMS messages;

deliver the control commands to the environmental control unit via the controller; and

deliver to said remote control unit for receipt as a SMS message a revised status message indicating execution of said control information: and

means at remote control device for notifying a user of said remote control device of said receipt and displaying information related to said revised status message,

wherein the wireless module is adapted to access remote information, to create a control command based on the remote information, to deliver the control command to at least one of the plurality of environmental control units unit via the controller, adapted to send an SMS message to the remote control unit based on the remote information and the control command delivered to the environmental control unit. And wherein the environmental control unit is a sprinkler system and the wireless module is adapted to create the control command to instruct the sprinkler system to bypass a scheduled watering cycle when [[the]] local weather conditions include a forecast for rain.

- 43. (New) A method for environmental control and information processing associated with a structure, the method comprising:
- a. providing a user with a remote control unit, said remote control unit:

receiving through short message service (SMS) from a control unit in the structure a status message directly over a cellular network related to [[the]] <u>a</u> status of an environmental control unit at said structure; and

notifying said user of receipt of said status message and displaying said status message on said remote control unit;

- b. providing by said user at said remote control unit in response to said status message a control message containing control information to use for controlling said environmental control unit;
- c. delivering said control message from said remote control unit to the cellular network as a SMS message and relaying said control message to said environmental control unit;
- d. creating a control command for said environmental control unit based on the control information of the control message;
- e. delivering the control command to the environmental control unit:
- f. accessing remote information, creating a control command based on the remote information, and delivering the

control command to the environmental control unit wherein accessing the remote information includes accessing a weather forecasting service and the remote information relates to local weather conditions; and

g. sending an SMS message to the remote control unit based on the remote information and the control command delivered to the environmental control unit wherein the environmental control unit includes a window control system and the control command includes an instruction to adjust at least one of windows and window coverings that are associated with the structure to conserve energy when the local weather conditions include a forecast for a change in the local weather conditions.

REMARKS

Applicants have carefully reviewed the Final Office Action mailed March 4, 2009 and offer the following remarks to accompany the above amendments.

Extension of Time

Applicant is requesting an extension of time from June 4, 2009 through September 4, 2009 (three months). The requisite petition and fee are included with this response.

Request for Continued Examination

A Request for Continuing Examination and the requisite small entity filing fee is submitted herewith.

Status of the Claims

Claims 1–27 and 32–42 were previously pending. Claims 28–31 were previously cancelled. Claims 12–14, and 17 are cancelled herein. Claim 43 has been added Claims 1, 2, 6, 8, 18, 19, 22–27, 32–35, and 38–42 are currently amended to correct typographical and/or antecedent basis errors. No new matter has been introduced

as a result of the amendments. Accordingly, claims 1–11, 15, 16, 18, 19, 21–27, 32–43 remain pending.

Allowable Subject Matter

Applicants would like to thank the Examiner for indicating that claims 38-40 are allowable. Claim 41 as currently amended depends on allowed claim 38. Therefore, Applicant respectfully submits that claim 41 as currently amended is also allowable. Moreover, on page 6 of the Office Action mailed July 14, 2008, the Examiner held that "[c]laims 15–17, 31–33 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims." In response to the Office Action mailed July 14, 2008, new claim 42 along with amended claims 32 and 33 were submitted to represent the allowance conditions for claims 32 and 33. In particular, claim 42 was added to include the allowable subject matter wherein the environmental control unit is a sprinkler system and the wireless module is adapted to create the control command to instruct the sprinkler system to bypass a scheduled watering cycle when the local weather conditions include a forecast for rain. Nevertheless, without explanation, the Examiner has listed claim 42 as rejected in the current Final Office Action. Applicants respectfully submit that the rejection of claim 42 is in error based on the lack of explanation for the rejection and also for the fact that claim 42 includes elements deemed allowable in the Office Action mailed July 14, 2008.

Applicants would also like to thank the Examiner for indicating that claims 15–17 would be allowable if rewritten in independent form. New Claim 43 is presented that includes the allowable elements of claim 17 and intervening claims 12, 13, and 14. As such, independent claim 43 along with the claims that depend thereon are now in condition for allowance.

Claim Objections

Claim 33 was objected to for reciting "The system of claim 20" instead of "The system of claim 42." Applicants have amended claim 33 to recite "The system of claim 42." As such, Applicants respectfully request the objection to claim 33 be withdrawn.

Claim 41 was objected to for reciting "The method of claim 1" instead of "The method of claim 38." Applicants have amended

claim 41 to recite "The method of claim 38." As such, Applicants respectfully request the objection to claim 41 be withdrawn.

Claim 42 was objected to for reciting "...control unit. And the environmental...." Applicants have amended claim 42 to recite "...control unit, wherein the environmental...." As such, Applicants respectfully request the objection to claim 42 be withdrawn.

Response to Arguments

Applicant's note that Examiner only superficially responded to the positions and Declaration submitted in the prior response of January 4, 2009. Examiner cited patents to show that flash memory was already known in the art. This was never a point of contention. Quite clearly Sabie, and the patents cited by Examiner, establish the presence of flash memory in cellular phones. Rather the issue was whether a person skilled in the art could access the flash memory of cell phones as claimed by Sabie to program the tasks outlined by Sabie. The Declaration in paragraph 10 declares that it would not be possible to access such memory for such a purpose. Sabie clearly suggests that the programming take place on then available phones, not by making custom phones. The Declaration statement

has not been negated by Examiner's assertion. Reconsideration is requested.

Another position in the Declaration was not addressed, namely that Sabie required an interface to the memory and microprocessor, which there was not available access for supplemental components on the Priority Date. This position was not addressed. Further, the Declaration stated there was not available memory space sufficient for the duties envisioned by Sabie. This has not been addressed. Further, the Declaration states that additional hardware and software would be required including; wired and wireless ports, a separate antenna, an auxiliary power controller, additional cooling and a daughter board to hold additional circuitry, and that such would be impossible to incorporate in cellular phones or pages as of the Priority Date. This also has not been addressed.

Applicant submits that Declaration statements of inability of the reference to accomplish the asserted duties in the asserted way has not been addressed or countered, and reconsideration is requested.

Enablement

Applicant also submits that Sabie is not an enabled reference under 35 USC 112. As is readily Apparent, the reference is totally prophetic and does not present sufficient description to enable one skilled in the art to make and use its embodiments and particularly commensurate with the interpretation of the reference against the pending claims in the present application. The method and device are depicted in the drawing only by block diagrams, devoid of even functional labels (Figures 1 through 6). The main blocks (i.e. reception interface 8, pager 7, control interface 9) are described only with reference to "duties" (page 5) and provide no indication as to whether the boxes represent "off the shelf" components or custom components specifically constructed or modified for the system of the reference. Where such factors exist, the clear holding have been that the reference in non-enabling (In re Gunn, 537 F. 2d. 1123 (1976)). Absent Examiner fulfilling the required burden of now demonstrating to the contrary, Applicants submit that Sabie is not an enabled reference, and according not a proper basis for claim rejection and claims 1-11, 15-16, 20-27, and 36-37 are additionally allowable.

Conclusion

For the foregoing reasons, Applicants submit that he present application is now in condition for allowance and such action is respectfully requested. The Examiner is encouraged to contact Applicants' representative regarding any remaining issues in an effort to expedite allowance and issuance of the present application.

Dated: September 4, 2009

Respectfully submitted,

ISHMAN LAW FIRM PC

Peter D. Sachtjen, Reg. No. 24,619

File D. Sachtjen

Ishman Law Firm PC Post Office Box 1297 Holly Springs, NC 27540 Telephone: (919) 468-3266

Facsimile: (919) 882–1466

Attorney Direct Line: (252) 413–0475

PTO/SB/22 (11-08)
Approved for use through 12/31/2008. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARMENT OF COMMERCE Under the paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless if displays a valid OMB control number.

PETITION	FOR EXTENSION OF TIME UNDER 3	Docket Number (Optiona	1)					
(Fees ;	FY 2009 pursuant to the Consolidated Appropriations Act, 2	8002-06-07						
Application N	lumber 11/686,984	Filed 03/16/2007						
For UBIQ	For UBIQUITOUS CONNECTIVITY AND CONTROL SYSTEM FOR REMOTE LOCATIONS							
Art Unit 261	Art Unit 2617 Examiner NGUYEN, Huy D.							
This is a requal	This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.							
The requeste	ed extension and fee are as follows (check	time period desired a	nd enter the appropriate	fee below):				
		<u>Fee</u>	Small Entity Fee					
	One month (37 CFR 1.17(a)(1))	\$130	\$65	\$				
	Two months (37 CFR 1.17(a)(2))	\$490	\$245	\$				
✓	Three months (37 CFR 1.17(a)(3))	\$1110	\$555	\$ <u>555.00</u>				
	Four months (37 CFR 1.17(a)(4))	\$1730	\$865	\$				
	Five months (37 CFR 1.17(a)(5))	\$2350	\$1175	\$				
✓ Applicar	nt claims small entity status. See 37 CFR 1	.27.						
A check	κ in the amount of the fee is enclosed.							
— 	nt by credit card. XXXXXXXXXXXXX	XXXXX Fee pai	d via E-Filing Svs	stem				
l	rector has already been authorized to o							
	rector is hereby authorized to charge a t Account Number	ny fees which may	be required, or credit a	any overpayment, to				
WARNIN	G: Information on this form may become pul		ation should not be inclu	ded on this form.				
I am the	applicant/inventor.							
	assignee of record of the entire	interest See 37 CF	FR 3 71					
	Statement under 37 CFR 3.	73(b) is enclosed (F	orm PTO/SB/96).					
	attorney or agent of record. Reg	gistration Number <u>2</u>	4619	_				
_	attorney or agent under 37 CFF Registration number if acting under							
For	n D Soultjen		09/04/2009					
	Signature		Date					
Peter D). Sachtjen		252-413-0475					
	Typed or printed name	Telephor	ne Number					
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.								
l 📥	Total of one (1) forms are submitted.							

This collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Approved for use through 1/31/2007. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE to a collection of information unless it displays a valid OMB control number.

PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875					_	application or	plication or Docket Number Filing Date 11/686,984 03/16/2007		To be Mailed		
APPLICATION AS FILED – PART I (Column 1) (Column 2)						SMALL	ENTITY 🛛	OR		HER THAN	
	FOR	N	JMBER FII		MBER EXTRA		RATE (\$)	FEE (\$)		RATE (\$)	FEE (\$)
BASIC FEE (37 CFR 1.16(a), (b), or (c))		or (c))	N/A		N/A		N/A	(1)	1	N/A	(-)
SEARCH FEE			N/A		N/A	1	N/A		1	N/A	
	(37 CFR 1.16(k), (i), GEXAMINATION FE		N/A		N/A		N/A		l	N/A	
	(37 CFR 1.16(o), (p),	or (q))		nus 20 = *	14/74	ł	x \$ =		OR	x \$ =	
IND	CFR 1.16(i)) EPENDENT CLAIM	IS		inus 3 = *		1	x \$ =			X \$ =	
APPLICATION SIZE FEE (37 CFR 1.16(s))			specificates of papers 50 (\$125) 50 ional 50 s	ation and drawing er, the applicatio for small entity) sheets or fraction a)(1)(G) and 37	n size fee due for each n thereof. See						
	MULTIPLE DEPEN	IDENT CLAIM PR	ESENT (3	7 CFR 1.16(j))					l		
* If	the difference in colu	umn 1 is less than	zero, ente	r "0" in column 2.			TOTAL			TOTAL	
	APP	(Column 1)	AMENE	DED — PART II (Column 2)	(Column 3)		SMAL	L ENTITY	OR		ER THAN ALL ENTITY
AMENDMENT	09/04/2009	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
ME	Total (37 CFR 1.16(i))	* 35	Minus	** 37	= 0		X \$26 =	0	OR	x \$ =	
H	Independent (37 CFR 1.16(h))	* 7	Minus	***4	= 3		X \$110 =	330	OR	x \$ =	
\ME	Application Size Fee (37 CFR 1.16(s))										
1	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))							OR			
							TOTAL ADD'L FEE	330	OR	TOTAL ADD'L FEE	
		(Column 1)		(Column 2)	(Column 3)						
		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
Ä.	Total (37 CFR 1.16(i))	*	Minus	**	=		x \$ =		OR	x \$ =	
DMENT	Independent (37 CFR 1.16(h))	*	Minus	***	=		x \$ =		OR	x \$ =	
EN	Application Si	ize Fee (37 CFR 1	.16(s))						1		
AMEN	FIRST PRESEN	NTATION OF MULTIF	LE DEPEN	DENT CLAIM (37 CFF	R 1.16(j))				OR		
							TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	
** If	the entry in column the "Highest Numbo f the "Highest Numb "Highest Number P	er Previously Paid oer Previously Paid	For" IN TH I For" IN T	HIS SPACE is less HIS SPACE is less	than 20, enter "20 s than 3, enter "3".		/KAREN	nstrument Ex N VESTAL/		er:	

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEFARTMENT OF COM. United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

23983 7590 09/17/2009

Ishman Law Firm P.C. P.O BOX 1297 Holly Springs, NC 27540-1297

EXAMINER NGUYEN, HUY D ART UNIT PAPER NUMBER 2627

DATE MAILED: 09/17/2009

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/686,984	03/16/2007	Charles G. SHAMOON	8002-06-07	7370

TITLE OF INVENTION: UBIQUITOUS CONNECTIVITY AND CONTROL SYSTEM FOR REMOTE LOCATIONS

	APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
Ī	nonprovisional	YES	\$755	\$300	\$0	\$1055	12/17/2009

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B -Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

Page 1 of 3

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 (571)-273-2885

or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for

maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) 23983 7590 09/17/2009 Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. Ishman Law Firm P.C. P.O BOX 1297 Holly Springs, NC 27540-1297 (Signature (Date APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 11/686.984 03/16/2007 Charles G. SHAMOON 8002-06-07 TITLE OF INVENTION: UBIQUITOUS CONNECTIVITY AND CONTROL SYSTEM FOR REMOTE LOCATIONS APPLN. TYPE SMALL ENTITY ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE nonprovisional YES \$755 \$300 \$1055 12/17/2009 EXAMINER ART UNIT CLASS-SUBCLASS NGUYEN, HUY D 2627 455-419000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (B) RESIDENCE: (CITY and STATE OR COUNTRY) (A) NAME OF ASSIGNEE Please check the appropriate assignee category or categories (will not be printed on the patent):

Individual Corporation or other private group entity Government 4a. The following fee(s) are submitted: 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) ☐ Issue Fee A check is enclosed. Publication Fee (No small entity discount permitted) ☐ Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number ______ (enclose an extra copy of this form). Advance Order - # of Copies 5. Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2) NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. Authorized Signature Typed or printed name Registration No. This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/686,984 03/16/2007		Charles G. SHAMOON	8002-06-07	7370
23983 7	7590 09/17/2009		EXAM	IINER
Ishman Law Fir	m P.C.		NGUYEN	N, HUY D
P.O BOX 1297			ART UNIT	PAPER NUMBER
Holly Springs, NC	27540-1297		2627	
			DATE MAILED: 09/17/200	9

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

	Application No.	Applicant(s)
Notice of Allowability	11/686,984 Examiner	SHAMOON ET AL. Art Unit
	HUV D. NCUVEN	2627
	HUY D. NGUYEN	2627
The MAILING DATE of this communication appearance All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this appropriate communication GHTS. This application is subject to	plication. If not included will be mailed in due course. THIS
1. \square This communication is responsive to $9/4/2009$.		
2. The allowed claim(s) is/are <u>1-11,15,16,18-27 and 32-43</u> .		
3. Acknowledgment is made of a claim for foreign priority unally all blooms and blooms and blooms and blooms and blooms and blooms are colored and blooms and blooms are claim for foreign priority unall states. 1. Certified copies of the priority documents have a claim for foreign priority documents have a claim for foreign and blooms are claim for foreign priority documents have a claim for foreign priority documents have a claim for foreign priority unall foreign priority documents have a claim foreign priority documents	been received. been received in Application No	
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		complying with the requirements
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give		
 5. ☐ CORRECTED DRAWINGS (as "replacement sheets") mus (a) ☐ including changes required by the Notice of Draftspers 1) ☐ hereto or 2) ☐ to Paper No./Mail Date (b) ☐ including changes required by the attached Examiner's Paper No./Mail Date Identifying indicia such as the application number (see 37 CFR 1. each sheet. Replacement sheet(s) should be labeled as such in the deposit of the d	on's Patent Drawing Review (PTO- s Amendment / Comment or in the C .84(c)) should be written on the drawing the header according to 37 CFR 1.121(c sit of BIOLOGICAL MATERIAL n	Office action of ngs in the front (not the back) of d). nust be submitted. Note the
Attachment(s) 1. ☐ Notice of References Cited (PTO-892) 2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948) 3. ☐ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material	5. ☐ Notice of Informal P 6. ☐ Interview Summary Paper No./Mail Dat 7. ☐ Examiner's Amendn 8. ☑ Examiner's Stateme 9. ☐ Other	(PTO-413), te

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-06)

Notice of Allowability

Part of Paper No./Mail Date 20090910

Application/Control Number: 11/686,984

Art Unit: 2627

DETAILED ACTION

Response to Arguments

1. Applicant's arguments, see the remarks, filed 9/4/2009, have been fully considered and are persuasive. The rejection of claims 1-14, 18-27, 32-37, 41-42 has been withdrawn.

Allowable Subject Matter

2. The following is an examiner's statement of reasons for allowance:

Regarding claims 1, 20, 36-37, 42-43, the cited prior arts, either alone or in combination fail to teach receiving through short message service (SMS) from a control unit in the structure a status message directly over a cellular network related to a status of an environmental control unit at said structure, notifying said user of receipt of said status message and displaying said status message on said remote control unit; providing by said user at said remote control unit in response to said status message a control message containing control information to use for controlling said environmental control unit; delivering said control message from said remote control unit to the cellular network as a SMS message and relaying said control message to said control unit, in combination with all of other limitations in the claims.

Claims 38-40 were previously allowed.

Claims 2-11, 15-16 depend on claim 1. Thus, they are allowable.

Claims 21-27 depend on claim 20. Thus, they are allowable.

Claim 41 depends on claim 38. Thus, it is allowable.

Claims 32-35 depend on claim 42. Thus, they are allowable.

Claims 18-19 depend on claim 43. Thus, they are allowable.

Page 2

Application/Control Number: 11/686,984 Page 3

Art Unit: 2627

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

 Any inquiry concerning this communication or earlier communications from the examiner should be directed to HUY D. NGUYEN whose telephone number is (571)272-7845.
 The examiner can normally be reached on M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Joseph H. Feild can be reached on 571-272-4090. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Joseph H. Feild/ Supervisory Patent Examiner, Art Unit 2627

/Huy D Nguyen/

Application/Control Number: 11/686,984 Page 4

Art Unit: 2627

Examiner, Art Unit 2627

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	11686984	SHAMOON ET AL.
	Examiner	Art Unit
	HUY D NGUYEN	2627

ORIGINAL									INTERNATIONAL	CLA	SSI	FICA	ATION	
	CLASS	 S		SUBCLASS					С	LAIMED			NC	ON-CLAIMED
455			419			Н	0	4	М	3 / 00 (2006.0)				
	C	ROSS RE	FERENCE	E(S)										
CLASS	SI	JBCLASS (O	NE SUBCLA	SS PER BLO	CK)	l								
455	418	420	422.1	466										
	-													
	1													

	Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.47														
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original
1	1		17	30	33										
2	2	34	18	31	34										
3	3	35	19	32	35										
4	4	14	20	22	36										
5	5	15	21	23	37										
6	6	16	22	24	38										
7	7	17	23	25	39										
8	8	18	24	26	40										
9	9	19	25	27	41										
10	10	20	26	28	42										
11	11	21	27	33	43										
	12		28												
	13		29												
	14		30												
12	15		31												
13	16	29	32												

/HUY D NGUYEN/ Examiner.Art Unit 2627	9/10/2009	Total Claims Allowed:		
(Assistant Examiner)	(Date)	3	35	
/JOSEPH H FEILD/ Supervisory Patent Examiner.Art Unit 2627	09/11/2009	O.G. Print Claim(s)	O.G. Print Figure	
(Primary Examiner)	(Date)	1	1	

U.S. Patent and Trademark Office Part of Paper No. 20090910

Application/Control No. Search Notes 11686984 Examiner HUY D NGUYEN Applicant(s)/Patent Under Reexamination SHAMOON ET AL. Art Unit 2617

	SEARCHED								
Class	Subclass	Date	Examiner						
455	419, 420, 418, 422.1, 466, 456.1	5/5/2008	HN						
Updated search	same as above	9/10/2009	HN						

SEARCH NOTES		
Search Notes	Date	Examiner
East; Text search (USPAT, US-PGPUB, JPO, EPO, DERWENT,	5/5/2008	HN
IBM_TDB). See search history.		
East; Text search (USPAT, US-PGPUB, JPO, EPO, DERWENT,	9/10/2009	HN
IBM_TDB). See search history.		
See interference search.	9/10/2009	HN

	INTERFERENCE SEARCH		
Class	Subclass	Date	Examiner
455	419, 418, 420, 422.1, 466, 456.1	9/10/2009	HN

EAST Search History

EAST Search History (Prior Art)

Ref#	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L19	0	2 and 18	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2009/09/10 16:55
L18	1	16 and 17	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2009/09/10 16:55
L17	39	((sms or short adj message adj service) with remote\$2 near6 control\$3).clm.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2009/09/10 16:55
L16	51	(((cell or cellular or mobile or portable or wireless or hand or handheld or hand adj held or palm or palm adj held) adj2 (device or phone or telephone or station or terminal or unit) or pda) same (heat\$3 or air adj condition\$3 or light\$3 or motion or alarm) near5 remote\$3 near3 control\$3). clm.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2009/09/10 16:54
L15	0	2 and 14	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2009/09/10 16:54
L14	13	9 and 13	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2009/09/10 16:54
L13	1145	((cell or cellular or mobile or portable or wireless or hand or handheld or hand adj held or palm or palm adj held) adj2 (device or phone or telephone or station or terminal or unit) or pda) same (heat\$3 or air adj condition\$3 or light\$3 or motion or alarm) near5 remote\$3 near3 control\$3	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2009/09/10 16:54

L12	0	2 and 11	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2009/09/10 16:51
L11	6	9 and 10	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2009/09/10 16:51
L10	30	((cell or cellular or mobile or portable or wireless or hand or handheld or hand and held or palm or palm adj held) adj2 (device or phone or telephone or station or terminal or unit) or pda) same environmental near5 remote\$3 near3 control\$3	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2009/09/10 16:51
L9	434	(sms or short adj message adj service) with remote\$2 near6 control\$3	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2009/09/10 16:50
L8	1	(wo-0165875-a1).did.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2009/09/10 16:36
L3	1	1 and 2	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2009/09/10 16:32
L2	21630135	@ad<"20000229" or @rlad<"20000229"	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2009/09/10 16:31
L1	2	(((cell or cellular or mobile or portable or wireless or hand or handheld or hand and held or palm or palm adj held) adj2 (device or phone or telephone or station or terminal or unit) or pda) and remote\$2 near4 control\$3 near5 (heat\$3 or air adj condition\$3 or light\$3 or motion or alarm) with respon \$3 near4 receipt).clm.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2009/09/10 16:29
S48	1	S46 and S47	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2009/09/10 09:40

S 47	4	portable or wireless or hand	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2009/09/10 09:39
S 46	21630135	@ad<"20000229" or @rlad<"20000229"	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2009/09/10 09:39
S45	56	S42 and S44	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2009/02/28 17:33
S44	5648	(cell or cellular or mobile or portable or wireless or hand or handheld or hand and held or palm or palm adj held) adj2 (phone or telephone) with flash adj2 memory	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2009/02/28 17:32
S43	107	S40 and S42	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2009/02/28 17:32
S42	23264996	@pd<"20000229"	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2009/02/28 17:32
S41	426	S38 and S40	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2009/02/28 17:32
S40	11010	(cell or cellular or mobile or portable or wireless or hand or handheld or hand and held or palm or palm adj held) adj2 (phone or telephone) same flash adj2 memory	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2009/02/28 17:31
S 39	58756	(cell or cellular or mobile or portable or wireless or hand or handheld or hand and held or palm or palm adj held) adj2 (phone or telephone) and flash adj2 memory	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2009/02/28 17:31

S38	21364396	@ad<"20000229"	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2009/02/28 17:30
S37	3	sabie.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/07/10 11:25
S36	0	wo0165875	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/07/10 11:24
S35	0	wo0165875.did.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/07/10 11:24
S34	2	S27 and S33	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 23:31
S33	3	portable or wireless or hand	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 23:30
S32	167	portable or wireless or hand	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 23:29
S31	0	remote\$2 near2 control\$3 same (power\$3 adj2 down or	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 22:59

S30	0	remote\$2 near2 control\$3 with bypass\$3 near3 scheduled near2 water\$3	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 22:58
S 29	0	remote\$2 near2 control\$3 with bypass\$3 near2 scheduled adj2 water\$3	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 22:57
S28	8	S26 and S27	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 22:31
S27	29313490	@ad<"20041118"	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 22:31
S26	10	((cell or cellular or mobile or portable or wireless or hand or handheld or hand and held or palm or palm adj held) adj2 (device or phone or telephone or station or terminal or unit) or pda) and weather near2 forecast\$3 with remote\$2 near2 control \$3	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 22:30
S25	35	weather near2 forecast\$3 with remote\$2 near2 control \$3	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 22:30
S24	2	weather near3 forcast\$3 and remote\$2 near3 control\$3	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 22:29
S23	0	weather near3 forcast\$3 same remote\$2 near3 control \$3	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 22:29
S22	0	weather near3 forcast\$3 with remote\$2 near3 control\$3	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 22:29
S21	0	weather near2 forcast\$3 with remote\$2 near2 control\$3	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 22:28
S 20	7	S8 and S19	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 17:07

S19	10	(sms or short adj message adj service) same remote\$2	US-PGPUB; USPAT; EPO;	OR	ON	2008/05/05 17:07
		near6 control\$3 with heat\$3	JPO; DERWENT; IBM_TDB			17:07
S18	6	S5 and S17	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 17:03
S17	29007	short adj2 message	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 17:03
S16	0	S8 and S15	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 17:02
S15	7	S5 and S14	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 17:02
S14	436267	sms	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 17:02
S13	0	S8 and S12	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 17:02
S12	1	S5 and S11	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 17:02
S11	119786	"370"/\$.ccls.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 17:01
S10	2	"6394359".pn.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 16:50
S 9	3	S7 and S8	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 16:44
S8	29313490	@ad<"20041118"	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 16:44

S 7	8	S5 and S6	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 16:41
S6	121162	"455"/\$.ccls.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 16:41
S5	113	((cell or cellular or mobile or portable or wireless or hand or handheld or hand and held or palm or palm adj held) adj2 (device or phone or telephone or station or terminal or unit) or pda) and environmental near5 remote \$3 near3 control\$3	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 16:40
S4	2	heat\$3.ab. and (nguyen and huy).xa.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 16:28
S 3	8	environment\$2.ab. and (nguyen and huy).xa.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 16:24
S2	3	environment\$2.ti. and huy. xa.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 16:23
S1	3	"7058358"	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2008/05/05 15:50

9/10/09 4:56:00 PM

C:\Documents and Settings\HNguyen25\My Documents\EAST\Workspaces\11686984.wsp

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

maintenance fee notifica		terwise in Block 1, by (a		•			HE FEE ADDRESS TOP
CURRENT CORRESPOND	ENCE ADDRESS (Note: Use Bl	ock 1 for any change of address)	No Fe pa	ote: A certificate of e(s) Transmittal. Th pers. Each additions	mailing c is certifica il paper, si cof mailin	an only be used for ate cannot be used for uch as an assignment or transmission	domestic mailings of the any other accompanying or formal drawing, must
23983	7590 09/17	/2009	na				
Ishman Law Fi P.O BOX 1297 Holly Springs, N			I h St: ad tra	pereby certify that th	ic Fee(c)	f Mailing or Transm Transmittal is being dient postage for first SUE FEE address a 273-2885, on the dat	ission deposited with the United class mail in an envelope bove, or being facsimile e indicated below.
				Peter D. Sach	tjen		(Depositor's name)
				For D.	Soulty	هـــــــــــــــــــــــــــــــــــــ	(Signature)
				11/19/2009	,		(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTO	R	ATTORN	EY DOCKET NO.	CONFIRMATION NO.
11/686,984	03/16/2007		Charles G. SHAMOON			002-06-07	7370
	,	ECTIVITY AND CONTI		_		TOTAL FEE(a) DIE	DATE NA
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	E PREV. PAID ISSU	E FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$755	\$300	\$ 0		\$1055	12/17/2009
EXAM	IINER	ART UNIT	CLASS-SUBCLASS	_			
NGUYEN	I, HUY D	2627	455-419000				
CFR 1.363). Change of corresp Address form PTO/SI	ence address or indication ondence address (or Cha B/122) attached. ication (or "Fee Address' 12 or more recent) attach	nge of Correspondence	(1) the names of up or agents OR, alterna (2) the name of a single registered attorney or 2 registered patent att listed, no name will be	tively, gle firm (having as a agent) and the nam torneys or agents. If	member	a 2	w Firm P.C.
	less an assignee is identi h in 37 CFR 3.11. Comp	A TO BE PRINTED ON T ified below, no assignee iletion of this form is NO	*	patent. If an assign n assignment.			cument has been filed for
Please check the appropr	iate assignee category or	categories (will not be pr	inted on the patent):	Individual 🗖 C	orporation	or other private grou	p entity 🚨 Government
4a. The following fee(s) Issue Fee Publication Fee (N Advance Order - :	No small entity discount p		 Payment of Fee(s): (Plotal A check is enclosed Payment by credit companies The Director is hereloverpayment, to Deposit on the control of the co	Paid via EF	S-onlin	ne a d.	
a. Applicant claim	tus (from status indicated as SMALL ENTITY statu	is. See 37 CFR 1.27.	b. Applicant is no lo				
interest as shown by the	a Fublication Fee (if requeecords of the United Sta	nred) will not be accepted tes Patent and Trademark	office.	ие аррисапt; а reg	istered atto	orney or agent; or the	assignee or other party in
Authorized Signature	Fole DSac	ltjen		Date <u>11/1</u>	9/2009	9	
	e Peter D. Sach	J		Registration N	Vo. <u>246</u>	19	
This collection of informan application. Confiden	nation is required by 37 C tiality is governed by 35	FR 1.311. The information U.S.C. 122 and 37 CFR	on is required to obtain on 1.14. This collection is e	r retain a benefit by testimated to take 12	he public minutes to	which is to file (and locomplete, including	by the USPTO to process) gathering, preparing, and

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Electronic Patent Application Fee Transmittal								
Application Number:	116	11686984						
Filing Date:	16-	-Mar-2007						
Title of Invention:	UBIQUITOUS CONNECTIVITY AND CONTROL SYSTEM FOR REMOTE LOCATIONS							
First Named Inventor/Applicant Name:	Ch	arles G. SHAMOON						
Filer:	Pet	ter D. Sachtjen/Stefa	anie Hansen					
Attorney Docket Number:	800	02-06-07						
Filed as Small Entity								
Utility under 35 USC 111(a) Filing Fees								
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)			
Basic Filing:								
Pages:								
Claims:								
Miscellaneous-Filing:								
Petition:								
Patent-Appeals-and-Interference:								
Post-Allowance-and-Post-Issuance:								
Utility Appl issue fee		2501	1	755	755			
Publ. Fee- early, voluntary, or normal		1504	1	300	300			

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	1055

	Electronic	Acknowledgement I	Receipt				
	EFS ID:	6491789					
	Application Number:	11686984	11686984				
Inte	ernational Application Number:						
	Confirmation Number:	7370	7370				
	Title of Invention:	UBIQUITOUS CONNECTIVI LOCATIONS	UBIQUITOUS CONNECTIVITY AND CONTROL SYSTEM FOR REMOTE LOCATIONS				
First I	Named Inventor/Applicant Name:	Charles G. SHAMOON					
	Customer Number:	23983					
	Filer:	Peter D. Sachtjen/Stefanie	Hansen				
	Filer Authorized By:	Peter D. Sachtjen					
	Attorney Docket Number:	8002-06-07					
	Receipt Date:	19-NOV-2009					
	Filing Date:	16-MAR-2007					
	Time Stamp:	19:59:58					
	Application Type:	Utility under 35 USC 111(a)				
Payment	information:	1					
Submitted wi		yes					
Payment Type	·	Electronic Funds Transfer					
Payment was	successfully received in RAM	\$1055					
RAM confirma	ation Number	5699					
Deposit Acco	unt						
Authorized Us	ser						
File Listin	g:						
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)		

1	Issue Fee Payment (PTO-85B)	Part_B_8002_111909.pdf	96359	no	1
'		1 a1(_b_0002_111909.pdi	76212c324aff226dd64941ad55f47604207e 92c6		'
Warnings:					
Information:	.				
2	Fee Worksheet (PTO-875)	fee-info.pdf	32068 no		2
2		rec inio.pai	a1cc76727495c8fb91f2613b0eb9233742a8 397a		2
Warnings:					
Information:					
		Total Files Size (in bytes)	1.	28427	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO. ISSUE DATE PATENT NO. ATTORNEY DOCKET NO. CONFIRMATION NO. 11/686,984 01/05/2010 7643823 8002-06-07 7370

23983

12/16/2009

Ishman Law Firm P.C. P.O BOX 1297

Holly Springs, NC 27540-1297

ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 0 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Charles G. SHAMOON, Little Elm, TX; Deborah H. SHAMOON, Little Elm, TX; Frankin Eugene NEAL, Ashland, KY; Michael D. FEHNEL, Fuquay-Varina, NC; REVOCATION/NEW POA/CORRES. ADD. CHANGE

REVOCATION OF POWER OF ATTORNEY WITH A NEW POWER OF ATTORNEY AND CHANGE OF CORRESPONDENCE ADDRESS

AND CHANGE OF CORRESPONDENCE ADDRESS							
I hereby	revoke a applicatio	Ill previous power n(s) identified he	rs of attorney give rein.	n in the patent(s)			
OR [X] I 00004 Practit	hereby app 0401 fo tioner Und	r the patent(s)/appi ler Customer Nun	ers associated with th lication(s) identified nber: Abe Hershkov	/itz, Reg. No. 45,294			
[X] Pleas identified	e change i I below to:	the correspondence	address for the pato	ent(s)/application(s)			
		CORRESPON	DENCE ADDRESS				
[X] Custo			OR [] Correspond	ence address below			
Address	HERS	HKOVITZ & ASSOCIAT	res, LLC	,			
		1					
City	T	State		Zip Code			
Country	Emali		Telephone	Facsimile			
	patent(hershkovitz.net	703-370-4800				
_	<u>IDE</u>	NTIFICATION OF PA	ATENT(S)/APPLICAT	TION(S)			
	n Number	Filing Date	Patent Number	Issue Date			
11/68	36,993	03/16/2007					
			6,990,335	01/24/2006			
			7,257,397	08/14/2007			
-v			7,643,823	01/05/2010			
			7,668,532	02/23/2010			
-	-						
<u>.</u>			 				
	•						
							
			·····				
			 				

PAGE 4/5 * RCVD AT 6/24/2011 3:53:12 PM [Eastern Daylight Time] * SVR:W-PTOFAX-001/10 * DNIS:2738300 * CSID:7033704809 * DURATION (mm-ss):01-30

REVOCATION/NEW POA/CORRES. ADD. CHANGE Page 2

SIGNATURE OF INVENTOR(S) OR ASSIGNEE(S)
I/We am/are the:
[] Inventor(s)
[X] Assignee(s) of entire and exclusive interest in and to the patent(s) and application(s) identified herein. See 37 CFR §3.71.
[X] Statement under 37 CFR §3.73(b): The documentary evidence of a chain of title from the original owner(s) to the Assignee(s), as recorded/to be recorded in the Assignment records of the Office:
[] is attached hereto (copy of recorded Assignment or other conveyance, or copy of Assignment being submitted concurrently for recordation); OR
[X] was recorded onJune 6, 2005 at Reel _016096 Frame0409_, for the patent(s)/application(s) identified herein.
The individual(s) whose signature(s) is/are supplied below is/are the Assignees of entire and exclusive interest in the patent(s)/application(s) identified herein.
Assignees of Entire and Exclusive Interest
Signature of Assignee CHARLES SHAMOON Shamoon Date
Signature of Assignee DEBORAH H. Shamoon Date 6-24-2011

Any auditional patent(s)/application(s), or additional signature(s) of Inventor(s), or additional Assignee(s)/Signatory(s), are submitted on the attached page(s).

NUMBER OF PAGES ATTACHED:_____

	COVER		
	1 10 (F F)		
\	4 1371 15	1 1 1 1 1 1	

TO: ____

FROM:

HERSHKOVITZ & ASSOC.

FAX: 7033704809

TEL: 7033704800

· COMMENT:

PAGE 1/5 * RCVD AT 6/24/2011 3:53:12 PM [Eastern Daylight Time] * SVR:W-PTOFAX-001/10 * DNIS:2738300 * CSID:7033704809 * DURATION (mm-ss):01-30



HERSHKOVITZ & ASSOCIATES, LLC

PATENT AGENCY

2845 DUKE STREET, ALEXANDRIA, VA 22314 Tel. 703-370-4800 ~ FACSIMILE 703-370-4809 patent@hershkovitz.net ~ www.hershkovitz.net

ro: US	SPTO	From:	Abe Hershkovit	Z
Fax: 57	1-273-8300	Date:	June 24, 2011	
Phone;		Pages:	4	
				4. 4.
☑ Urgent	☑ For Review	□ Please Comment **CONFIDENTIAL	☐ Please Reply	☐ Please Recycle

PLEASE SEE ATTACHED

HERSHKOVITZ & ASSOCIATES, LLC

P2921568.F01; AH/DN/pc

PAGE 2/5 * RCVD AT 6/24/2011 3:53:12 PM [Eastern Daylight Time] * SVR:W-PTOFAX-001/10 * DNIS:2738300 * CSID:7033704809 * DURATION (mm-ss):01-30

HERSHKOVITZ & ASSOSUN 2 4 2011 PAGE 02/04



HERSHKOVITZ & ASSOCIATES, LLC

> PATENT AGENCY

2845 DUKE STREET, ALEXANDRIA, VA 22314 TEL. 703-370-4800 ~ FACSIMILE 703-370-4809 patent@hershkovitz.net - www.hershkovitz.net

Applicant

Charles G. SHAMOON et al.

Group Art Unit : 2627

Patent No.

7,643,823

Examiner

NGUYEN, HUY D

A. 13.

Issued

January 5, 2010

Confirmation No.:

7370

For

UBIQUITOUS CONNECTIVITY AND CONTROL SYSTEM FOR REMOTE

LOCATIONS

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Commissioner:

Transmitted herewith is a Revocation of Power of Attorney in the above-captioned application.

The fee has been calculated as shown below:

Claims After Amendment	No. of Claims Previously Paid	Present Extra	Small E	Small Entity		ntity
		f .	Rate	Fee	Rate	Fee
Total Claims:			x 26=	\$	x 52=	\$
Indep. Claims:			x 110=	\$	x220=	\$
				\$		\$
		Total:		\$	Total:	\$

Please charge my Deposit Account No.	50-2929 in the amount of \$
--------------------------------------	-----------------------------

The U.S. Patent and Trademark Office is hereby authorized to charge payment of the

following fees associated with this communication or credit any overpayment to Deposit Account No. 50-2929:

Any patent application processing fees under 37 C.F.R. 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1 .136)(a)(3).

Respectfully submitted,

Date: June 24, 2011_

Abraham Hershkovitz, Registration No. 45,294

Dinh Nguyen, Registration No. 54,923

P2921568.A01; AH/DN/pc



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.C. Box 1450 Alexandria, Virginia 22313-1450 www.uspio.gov

 APPLICATION NUMBER
 FILING OR 371(C) DATE
 FIRST NAMED APPLICANT
 ATTY. DOCKET NO./ITILE

 11/686,984
 03/16/2007
 Charles G. SHAMOON
 8002-06-07

40401 Hershkovitz & Associates, LLC 2845 Duke Street

Alexandria, VA 22314

CONFIRMATION NO. 7370 POA ACCEPTANCE LETTER



Date Mailed: 07/28/2011

NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 06/24/2011.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

/fstephanos/
Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

Office of Data Management, Application Assistance Offic (371) 272-4000, of (371) 272-4200, of 1-000-700-0101



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
PO. Box 1450
Alexandra, Virginia 22313-1450
www.uspib.gov

 APPLICATION NUMBER
 FILING OR 371(C) DATE
 FIRST NAMED APPLICANT
 ATTY. DOCKET NO./ITILE

 11/686,984
 03/16/2007
 Charles G. SHAMOON
 8002-06-07

23983 Ishman Law Firm P.C. 9660 Falls of Neuse Road Suite 138-350 Raleigh, NC 27615 CONFIRMATION NO. 7370 POWER OF ATTORNEY NOTICE



Date Mailed: 07/28/2011

NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 06/24/2011.

• The Power of Attorney to you in this application has been revoked by the assignee who has intervened as provided by 37 CFR 3.71. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

/fstephanos/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

Doc Code: PET.POA.WDRW

Document Description: Petition to withdraw attorney or agent (SB83)

PTO/58/83 (04-13) Approved for use through 11/30/2014, OMB 0651-0035

U.S. Patent and Trademark Office, U.S. DEPARIMENT OF COMMERCE
Under the Paragraph Reduction Act of 1995, no persons are decorded to respect to a collection of information unless it deplaces solid GMB position systems.

/	Application Number	11686984
REQUEST FOR WITHDRAWAL	Filing Date	2007-03-16
AS ATTORNEY OR AGENT AND	First Named Inventor	Charles G. Shamoom
CHANGE OF	Art Unit	2627
CORRESPONDENCE ADDRESS	Examiner Name	Huy D. Nguyen
	Practitioner Docket Number	P2921568

To: Commissioner for Patents					
P.O. Box 1450					
Alexandria, VA 22313-1450					
lease withdraw me as attorney or agent for the above-identified patent application, and					
all the practitioners of record;					
the practitioners (with registration numbers) of record listed on the attached paper(s); or					
the practitioners of record associated with Customer Number: 40401					
NOTE: The immediately preceding box should only be marked when the practitioners were appointed using the listed Customer Number.					
The reason(s) for this request are those described in 37 CFR:					
11.116(b)(1)					
11.116(b)(4)					
11. 116(b)(7) Please explain below:					
Company and the second second					
Certifications					
Check each box below that is factually correct. WARNING: If a box is left unchecked, the request will likely not be approved.					
1. \[\sqrt{\text{Y}} \] I/We have given reasonable notice to the client, prior to the expiration of the response period, that the practitioner(s) intend to withdraw from employment.					
I/We have delivered to the client or a duly authorized representative of the client all papers and property (including funds) to which the client is entitled.					
3. / I/We have notified the client of any responses that may be due and the time frame within which the client must respond.					
Please provide an explanation, if necessary:					

(Page 1 of 2)

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the Individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1459, Alexandria, VA 22318-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1459, Alexandria, VA 22333-1450.

if you need assistance in completing the form, call 1-800-PTO-9199 and select aption 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REQUEST FOR WITHDRAWAL AS ATTORNEY OR AGENT AND CHANGE OF CORRESPONDENCE ADDRESS

Complete the following section only was named inventor or an assignee that ha				vill only be accepted to the first		
Change the correspondence address and direct all future correspondence to:						
A. The address of the first named	inventor or assignee associated v	with Cust	omer Number:			
OR						
8. 🗸 First Named Inventor or Assignee Name	·····					
Address 2436 Tisbury Way	/					
^{c⊪} y Little Elm	State TX		zip 75068	Country US		
Telephone		Email	Email cshamoon@grandecom.net			
I am authorized to sign on behalf of my	yself and all withdrawing practitio	oners.				
Signature	- XIIIn	kaing marang sang sang sang sang sang sang sang s				
Name Abraham H	ershkovitz	,	Regis	tration No. 45,294		
Address 2845 Duke Street						
[∞] Alexandria	State VA		z+22314	Country US		
Date 2014-09-24 Telephone No. 2026386666						
NOTE: Withdrawai is effective when a	pproved rather than when recei	ved.				

[Page 2 of 2]

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND YO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Electronic Acknowledgement Receipt				
EFS ID:	20232273			
Application Number:	11686984			
International Application Number:				
Confirmation Number:	7370			
Title of Invention:	UBIQUITOUS CONNECTIVITY AND CONTROL SYSTEM FOR REMOTE LOCATIONS			
First Named Inventor/Applicant Name:	Charles G. SHAMOON			
Customer Number:	40401			
Filer:	Abraham Hershkovitz/Dinh Nguyen			
Filer Authorized By:	Abraham Hershkovitz			
Attorney Docket Number:	P2921568			
Receipt Date:	25-SEP-2014			
Filing Date:	16-MAR-2007			
Time Stamp:	07:28:37			
Application Type:	Utility under 35 USC 111(a)			

Payment information:

Submitted with Payment	no

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		p2921568_20140924081301.	188575	ves	ч
'		pdf	10078144d288974b0122e10892c79b4276 bbaa83	,	J

	Multipart Description/PDF files in .zip description			
	Document Description	Start	End	
	Transmittal Letter	1	1	
	Petition to withdraw attorney or agent (SB83)	2	3	
Warnings:				
Information:				
	Total Files Size (in bytes):	1	88575	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



HERSHKOVITZ & ASSOCIATES, PLLC

PATENT AGENCY

2845 DUKE STREET, ALEXANDRIA, VA 22314 TEL. 703-370-4800 ~ FACSIMILE 703-370-4809 patent@hershkovitz.net ~ www.hershkovitz.net

Applicant: Charles E. Shamoon et al.

Examiner: Huy D. Nguyen

Appl. No.: 11/686,984

Group Art Unit: 2627

Appl. Filed: March 16, 2007

Confirmation No.: 7370

For:

UBIQUITOUS CONNECTIVITY AND CONTROL SYSTEM FOR REMOTE

LOCATIONS

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Honorable Commissioner:

Transmitted herewith: REQUEST FOR WITHDRAWAL AS ATTORNEY OR AGENT AND CHANGE OF CORRESPONDENCE ADDRESS in connection with the above-captioned matter.

The fee has been calculated as shown below:

Claims After Amendment	No. of Claims Previously Paid	Present Extra	Small E	Small Entity		Entity
			Rate	Fee	Rate	Fee
*Total Claims:	0	0	x 40=	\$	x 80=	\$
**Indep. Claims:	0	0	x210=	\$	x420=	\$
		To	tal:	\$	Total:	\$

Fee	Payment	made	through	FFS

____Payment is made herewith by Credit Card (see attached Form PTO-2038).

The Director is hereby authorized to charge all fees, including those under 37 CFR §§1.16 and 1.17, which are required for entry of the papers submitted herewith, and any fees which may be required to maintain pendency of this application, to Deposit Account No. 50-2929.

The Director is hereby authorized to charge all fees under 37 CFR § 1.18 which may be required to complete issuance of this application to Deposit Account No. 50-2929.

Respectfully submitted,

Date: September 24, 2014

Abe Hershkovitz

Abraham Hershkovitz Registration No. 45,294

P2921568.A02; AH/DXN/dj