

23370

## United States Patent and Trademark Office

United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov UNITED STATES DEPARTMENT OF COMMERCE

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE 13/874,004 04/30/2013 Stephen Harrison 102555-1054581

KILPATRICK TOWNSEND & STOCKTON LLP Mailstop: IP Docketing - 22

1100 PEACHTREE STREET **SUITE 2800** ATLANTA, GA 30309

**CONFIRMATION NO. 8025** POA ACCEPTANCE LETTER



Date Mailed: 12/07/2017

### NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 12/04/2017.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

> Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/nbekele/	



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vinginia 22313-1450 www.uspto.gov

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE

13/874,004 04/30/2013 Stephen Harrison

10001108.0097

24392 FISH IP LAW, LLP 2603 Main Street Suite 1000 Irvine, CA 92614





Date Mailed: 12/07/2017

### NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 12/04/2017.

• The Power of Attorney to you in this application has been revoked by the applicant. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/nbekele/	
-----------	--



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 WWW.18910.gov

APPLICATION	FILING or	GRP ART				
NUMBER	371(c) DATE	UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
13/874 004	04/30/2013	1756	1260	102555-1054581	2.1	5

23370
KILPATRICK TOWNSEND & STOCKTON LLP
Mailstop: IP Docketing - 22
1100 PEACHTREE STREET
SUITE 2800
ATLANTA, GA 30309

CONFIRMATION NO. 8025 REPLACEMENT FILING RECEIPT



Date Mailed: 12/07/2017

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Inventor(s)

Stephen Harrison, Benicia, CA; Samaresh Mohanta, San Diego, CA;

Applicant(s)

Alger Algernative Energy, LLC, Indianapolis, IN

**Assignment For Published Patent Application** 

Simbol Inc., Pleasanton, CA

Power of Attorney: The patent practitioners associated with Customer Number 23370

Domestic Priority data as claimed by applicant

This application is a CON of 12/880,924 09/13/2010 PAT 8454816

which claims benefit of 61/241,479 09/11/2009 \*

(\*) Data provided by applicant is not consistent with PTO records.

**Foreign Applications** for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <a href="http://www.uspto.gov">http://www.uspto.gov</a> for more information.) - None. Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

Permission to Access Application via Priority Document Exchange: No

Permission to Access Search Results: No

Applicant may provide or rescind an authorization for access using Form PTO/SB/39 or Form PTO/SB/69 as appropriate.

## If Required, Foreign Filing License Granted: 05/29/2013

The country code and number of your priority application, to be used for filing abroad under the Paris Convention,

is US 13/874,004

Projected Publication Date: Not Applicable

Non-Publication Request: No Early Publication Request: No

\*\* SMALL ENTITY \*\*

**Title** 

Selective Recovery of Manganese and Zinc From Geothermal Brines

**Preliminary Class** 

205

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

### LICENSE FOR FOREIGN FILING UNDER

### Title 35, United States Code, Section 184

## Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

#### SelectUSA

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The U.S. offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to promote and facilitate business investment. SelectUSA provides information assistance to the international investor community; serves as an ombudsman for existing and potential investors; advocates on behalf of U.S. cities, states, and regions competing for global investment; and counsels U.S. economic development organizations on investment attraction best practices. To learn more about why the United States is the best country in the world to develop technology, manufacture products, deliver services, and grow your business, visit <a href="http://www.SelectUSA.gov">http://www.SelectUSA.gov</a> or call +1-202-482-6800.

Doc Code: PA..

Document Description: Power of Attorney

PTO/AIA/82A (07-13)

Approved for use through 11/30/2014. OMB 0651-0051 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL FOR POWER OF ATTORNEY TO ONE OR MORE REGISTERED PRACTITIONERS

NOTE: This form is to be submitted with the Power of Attorney by Applicant form (PTO/AIA/82B) to identify the application to which the Power of Attorney is directed, in accordance with 37 CFR 1.5, unless the application number and filing date are identified in the Power of Attorney by Applicant form. If neither form PTO/AIA/82A nor form PTO/AIA/82B identifies the application to which the Power of Attorney is directed, the Power of Attorney will not be recognized in the application. Application Number 13/874,004 04-30-2013 Filing Date Stephen Harrison First Named Inventor Title Selective Recovery of Manganese and Zinc From Geothermal Brines 1756 Art Unit PHASGE, ARUN S Examiner Name 102555-1054581 Attorney Docket Number SIGNATURE of Applicant or Patent Practitioner Signature /Chrystal A. Tomblyn/ Date (Optional) 12/1/17 Registration 76,327 Name Chrystal A. Tomblyn Number Title (if Applicant is a | Attorney for Applicant juristic entity) Alger Alternative Energy, LLC Applicant Name (if Applicant is a juristic entity) NOTE: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4(d) for signature requirements and certifications. If more than one applicant, use multiple forms. \*Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.131, 1.32, and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450,

Doc Code: PA.. Document Description: Power of Attorney

PTO/AIA/82B (07-13)

Approved for use through 01/31/2018. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

## POWER OF ATTORNEY BY APPLICANT

I hereby rev the boxes b		ous powers of attorney given	in the applicat	ion identified in <u>ei</u>	ther the attached	d transmittal letter or	
	Арр	olication Number		Filing Date			
(Note: The boxes above may be left blank if information is provided on form PTO/AIA/82A.)  I hereby appoint the Patent Practitioner(s) associated with the following Customer Number as my/our attorney(s) or agent(s), and to transact all business in the United States Patent and Trademark Office connected therewith for the application referenced in the attached transmittal letter (form PTO/AIA/82A) or identified above:  OR  I hereby appoint Practitioner(s) named in the attached list (form PTO/AIA/82C) as my/our attorney(s) or agent(s), and to transact all business in the United States Patent and Trademark Office connected therewith for the patent application referenced in the attached transmittal letter (form PTO/AIA/82A) or identified above. (Note: Complete form PTO/AIA/82C.)							
	ognize or cl	hange the correspondence ove to:	address for th	ne application ide	entified in the a	ittached transmittal	
		ciated with the above-mentioned	l Customer Num	ber			
ļ	address asso	ciated with Customer Number:					
Firm	n or vidual Name						
Address							
City			State		Zip		
Country							
Telephone			Ema	ail			
I am the App	licant (if the Ap	oplicant is a juristic entity, list the	Applicant name	in the box):			
Alger A	lternative E	nergy, LLC		1.000000000000000000000000000000000000			
Inve	entor or Joint I	nventor (title not required below)	;				
Leg.	al Representa	tive of a Deceased or Legally Inc	capacitated Inve	ntor (title not require	ed below)		
<b>✓</b> Assi	ignee or Perso	on to Whom the Inventor is Unde	r an Obligation t	o Assign (provide s	igner's title if appli	icant is a juristic entity)	
	Person Who Otherwise Shows Sufficient Proprietary Interest (e.g., a petition under 37 CFR 1.46(b)(2) was granted in the application or is concurrently being filed with this document) (provide signer's title if applicant is a juristic entity)						
	••••••••••••••••••••••••••••••••••••••	SIGNAT	URE of Applica	nt for Patent			
The under	rsigned (whose	title is supplied below) is authorize	ed to act on beha	If of the applicant (e.	g., where the applic	cant is a juristic entity).	
Signature		Stoplan borrison		Date (Optio	nal) July 13	3, 2016	
Name		tephen Harrison	•••••	***************************************	***************************************		
Title	C	Chief Technology Officer, Alge	r Alternative En	ergy, LLC	***************************************		
		form must be signed by the applic than one applicant, use multiple t		with 37 CFR 1.33. S	See 37 CFR 1.4 for	signature requirements	
Total of		forms are submitted.					

This collection of information is required by 37 CFR 1.131, 1.32, and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner** for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number		076165.0	000097	102555-105458	31			
		1.70	Application	n Num	ber	13/87	4,004			
Title of Invention Selective Recovery of Manganese and Zinc From Geothermal Brines										
bibliograp This doc	phic data arran ument may be	eet is part of the provision ged in a format specified b completed electronically ad and included in a paper	y the Un and sub	ited States Par mitted to the (	tent and	Trademark C	Office as outli	ned in 37	CFR 1.76.	
Secre	cy Orde	r 37 CFR 5.2								
		f the application assoc Paper filers only. Appl								suant to
Inven	tor Infor	mation:								••••
Invent					*************			F	Remove	
Legal N									~~~	
Prefix	Given Nar	me	M	iddle Name	<b>)</b>		Family	Name		Suffix
	Stephen			Harrison			***************************************			
Resid	ence Inforn	nation (Select One)	● US	Residency	$\overline{\bigcirc}$	Non US Re	sidency	O Activ	ve US Military Service	<u> </u>
City	Benida		State/	Province	CA	Count	ry of Resi	dence	US	***************************************
Mailing	Address of	f Inventor:		<del></del>						
Addre		134 Panoram	a Dr					*****************	***************************************	
Addre		70-17 4773/477								
City	Benie			State/Province			CA			
Postal	Code	94510		######################################	Coun		lus			***************************************
1		L	***************************************	l					Remove	
Invent Legal I		***************************************						Librari 		***************************************
Prefix	Given Nar	ne	М	liddle Name	<b></b>	,,,,,	Family Name			Suffix
	Samaresh				***************************************	·	Mohanta			
Resid	ence Inform	nation (Select One)	● US	Residency	0	Non US Re	l sidency	O Acti	ve US Military Service	1 8
City	San Diego		State	/Province	CA	Count	ry of Resi	dence	US	
		l			-k					
Mailing	Address o	f Inventor:								
Addre	ss 1	11462 Forting	Point		~~~~					***************************************
Addre			**********		***********					<del></del>

## Correspondence Information:

San Diego

92131

generated within this form by selecting the Add button.

Enter either Customer Number or complete the Correspondence Information section below. For further information see 37 CFR 1.33(a).

All Inventors Must Be Listed - Additional Inventor Information blocks may be

City

**Postal Code** 

Add

CA

State/Province

Country i

PTO/AIA/14 (03-13)

Approved for use through 01/31/2014. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number		076165.000007 102555-1054581			
		Application Number		13/874,0	004		
Title of Invention	Selectiv	e Recovery of Manga	nese and Zinc	From Geothermal	Brines		
An Address i	An Address is being provided for the correspondence Information of this application.						
Customer Numbe	r	<del>35979</del> 23370					
Email Address docketing@bgllp.com ipefiling@kilpatricktownsend Address Remove Email							Email
Application Information:							
Title of the Invent	ion	Selective Recovery	of Manganese	and Zinc From Ge	eothermal Brir	nes	
Attorney Docket I	Vumber	<del>076165.000007</del> 102	555-10545	Small Ent	ity Status C	laimed 🛛	
Application Type		Nonprovisional					
Subject Matter		Utility					1
Total Number of I	Orawing	Sheets (if any)		Suggeste	ed Figure fo	or Publication (if any)	
Publication l	nform	nation:					
Request Early	/ Publica	tion (Fee required a	t time of Req	uest 37 CFR 1.2	!19)		
subject of an	applicati					n has not and will not to al agreement, that requi	
Representati	ve inf	ormation:					
this information in the Either enter Custom	e Applicat er Numbe	tion Data Sheet does r	not constitute a presentative N	power of attorney ame section belo	in the applic	ney in the application. F ation (see 37 GFR 1,32), ctions are completed the c	
Please Select One	p: (	Customer Numbe	r 🔵 US	Patent Practitions	er 🔘 Lii	mited Recognition (37 CFF	₹ 11.9)
Customer Number		35979 23370		**************************************		######################################	
Domestic Benefit/National Stage Information:  This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78.							
Prior Application	n Status	Pending				Remove	
Application Nu	mber	Continuity	Туре	Prior Applicat	ion Number	Filing Date (YYYY-N	лм-DD)
		Continuation of		12880924		2010-09-13	
Prior Application	n Status	Expired	red Remove				

PTO/AIA/14 (03-13)
Approved for use through 01/31/2014, OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number		<del>076166.000007</del> 102555-1054581			
		Application	Application Number 13/874,0		004		
Title of Invention	Selecti	stive Recovery of Manganese and Zinc From Geothermal Brines					
Application Nur	nber	Continuity <sup>-</sup>	Туре	Prior Applicat	ion Number	Filing Date (YYYY-MM-DD)	
12880924		non provisional of	***************************************	61241479	***************************************	MANAGEMENT AND	
Additional Domestic Benefit/National Stage Data may be generated within this form by selecting the Add button.							
Foreign Prior	ity Inf	ormation:					
constitutes the claim for that is eligible for retrical automatically attempt responsibility for ensu	or priority eval unde retrieval pring that	as required by 35 U.S r the priority document oursuant to 37 CFR 1.5 a copy of the foreign ap	.C. 119(b) and exchange pro 55(h)(1) and (2 optication is re	d 37 CFR 1.55(d). ogram (PDX) <sup>i</sup> the 2). Under the PDX occived by the Offi	When priority information wi program, appose from the pa	ion in the application data sheet is claimed to a foreign application. If he used by the Office to blicant hears the ultimate articipating foreign intellectual ecified in 37 CFR 1.55(g)(1).	
						Remove	
Application Nu	mber	Country	Fi	ling Date (YYYY-	-MM-DD)	Access Code <sup>l</sup> (if applicable)	
Additional Foreign Add button.	Priority	Data may be gener	ated within	this form by sele	ecting the		
Statement un Applications	der 3	7 CFR 1.55 or	1.78 for	AIA (First I	nventor	to File) Transition	
This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March 16, 2013.							
		ermit Access:		on by the Particip	eating Offices	3	

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Annilonian Pad	- Chastoy Office 4 72	Attorney Docket Number	076165.000097 102555-1054581						
Application Date	a Sheet 37 CFR 1.76	Application Number	13/874,004						
Title of Invention	Title of Invention Selective Recovery of Manganese and Zinc From Geothermal Brines								
the Japan Patent Office and any other intellectuals filed access to the instance of the instant patent appoint in accordance with 37 Cto: 1) the instant patent claims priority under 35 37 CFR 1.55 has been to sought in the instant patent.	(JPO), the Korean Intellectual property offices in which a fotant patent application. See 37 JPO, KIPO, WIPO, or other in olication is filed to have access CFR 1.14(h)(3), access will be application-as-filed; 2) any fore U.S.C. 119(a)-(d) if a copy of filed in the instant patent application.	preign application claiming priority CFR 1.14(c) and (h). This box itellectual property office in which to the instant patent application provided to a copy of the instanging application to which the instangent application to application; and 3) any U.S. application;	orld Intellectual Property Office (WIPO), ity to the instant patent application should not be checked if the applicant ch a foreign application claiming priority n. t patent application with respect						

## **Applicant Information:**

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.							
Applicant 1							
If the applicant is the inventor (or the remaining joint inventor or inventors under 37 CFR 1.45), this section should not be completed. The information to be provided in this section is the name and address of the legal representative who is the applicant under 37 CFR 1.43; or the name and address of the assignee, person to whom the inventor is under an obligation to assign the invention, or person who otherwise shows sufficient proprietary interest in the matter who is the applicant under 37 CFR 1.46. If the applicant is an applicant under 37 CFR 1.46 (assignee, person to whom the inventor is obligated to assign, or person who otherwise shows sufficient proprietary interest) together with one or more joint inventors, then the joint inventor or inventors who are also the applicant should be identified in this section.							
Assignee		C Legal Representative un	der 35 U.S.C. 117	○ Joint Inventor			
O Person to whom the inver	tor is oblig	gated to assign.	Person who show	ws sufficient proprietary interest			
If applicant is the legal rep	resentati	ve, indicate the authority to f	ile the patent application	on, the inventor is:			
****							
Name of the Deceased or	Legally I	ncapacitated Inventor :					
If the Applicant is an Org	anization	check here.					
Organization Name	Simbol-Inc	Alger Algernati	ve Energy, LLC				
Mailing Address Inform	ation Fo	r Applicant:					
Address 1	6920	Kell-Center Parkway 5405	Jackson Street				
Address 2	Suite	<del>213</del>					
City	Pleas	anton Indianapolis	State/Province	GA <u>IN</u>			
Country US			Postal Code	94566 46241			
Phone Number Fax Number							

PTO/AtA/14 (03-13)

Approved for use through 01/31/2014. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Do	Attorney Docket Number		<del>076165.000007</del> 102555-1054581				
		Application	Number	13/87	74,004				
Title of Inventi	Title of Invention Selective Recovery of Manganese and Zinc From Geothermal Brines								
Email Address	<u> </u>								
additional Applicant Data may be generated within this form by selecting the Add button.									
Non-Appli	cant As	signee Inforn	nation:						
Providing assignr have an assignm		tion in this section doe by the Office.	es not subsitute fo	or compliance	with any rec	quirement of part 3	of Title 37 of CFR to		
Assignee 1									
accordance with	37 CFR 1.21 ted to assign		nthis section an a	pplicant unde	r 37 CFR 1.4	46 (assignee, pers			
If the Assigne	e is an Orga	anization check here	o. []	ara bina bina barah adan bina upung bi	******************	Bururur Promiser Barania and and and an and an and an an and an an an an an and an a			
Prefix		Given Name	Middle Na	me	Family N	lame :	Suffix		
Malling Addr	ess Inform	ation For Non-App	licant Assigne	e:					
Address 1							***************************************		
Address 2	कारत सक्षा आक्रम मा मा पा साथ या पा				***************************************		***************************************		
City				State/Pro	ovince				
Country i			***************************************	Postal Co	 ode		1777 - 1997 OM FM 1997 11 11 11 11 11 11 11 11 11 11 11 11 11		
Phone Number	l er			Fax Num	ber				
Email Address	3					<u> </u>	***************************************		
Additional Ass	ignee Data	may be generated v	vithin this form I	by selecting	the Add bu	tton.			
Signature:	***************************************								
,		e signed in accorda	nce with 37 CFI	R 1.33. See	37 CFR 1.	4 for signature r	equirements and		
Signature	/Chryst	tal A. Tomblyn/	The second secon		Date	(YYYY-MM-DD)	2017-12-01		
First Name	Chryst	al A. Last Nam	e Tombly	'n	Regis	tration Number	76,327		
Additional Signature may be generated within this form by selecting the Add button.									

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Anniiantian De	ita Sheet 37 CFR 1.76	Attorney Docket Number	076165.000097	102555-1054581		
Application De	na oneet of Grating	Application Number	13/874,004			
Title of Invention	Selective Recovery of Manga	Selective Recovery of Manganese and Zinc From Geothermal Brines				

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

Electronic Acknowledgement Receipt					
EFS ID:	31117395				
Application Number:	13874004				
International Application Number:					
Confirmation Number:	8025				
Title of Invention:	Selective Recovery of Manganese and Zinc From Geothermal Brines				
First Named Inventor/Applicant Name:	Stephen Harrison				
Customer Number:	24392				
Filer:	Chrystal A. Tomblyn/Myrla Hernandez				
Filer Authorized By:	Chrystal A. Tomblyn				
Attorney Docket Number:	10001108.0097				
Receipt Date:	04-DEC-2017				
Filing Date:	30-APR-2013				
Time Stamp:	14:23:40				
Application Type:	Utility under 35 USC 111(a)				

# **Payment information:**

Submitted with Payment	no
------------------------	----

## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Assignee showing of ownership per 37 CFR 3.73	13874004_373c_Statement.pdf	86534 b4ccf85726ea22d7ec43b3076effa0b7a3a4 d7f3	no	3
Warnings:		ESM /	<u>PETITIONER E</u>	X 1002	14

		187206		2
Power of Attorney	13874004_POA.pdf	937896ffc1da01ffca788302bf68a2a346edc cb2	no	
		744114		
3 Application Data Sheet	13874004_ADS.pdf	4f4961d7de50572ddb48c64a431859fbdb3 a220c	no	6
-				
SPTO supplied ADS fillable form				
	Total Files Size (in bytes)	10	17854	
	Application Data Sheet	Application Data Sheet 13874004_ADS.pdf  PTO supplied ADS fillable form	Power of Attorney  13874004_POA.pdf  937896ffc1da01ffca788302bf68a2a346edc cb2  744114  Application Data Sheet  13874004_ADS.pdf  44961d7de50572ddb48c64a431859fbdb3 a220c  PTO supplied ADS fillable form	Power of Attorney  13874004_POA.pdf  937896ffc1da01ffca788302bf68a2a346edc cb2  no  Application Data Sheet  13874004_ADS.pdf  744114  Application Data Sheet  13874004_ADS.pdf  ippro supplied ADS fillable form

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

STATEMENT UNDER 37 CFR 3.73(c)	
Applicant/Patent Owner: Alger Alternative Energy, LLC	-
Application No./Patent No.: 13/874,004 / 9,057,117 Filed/Issue Date: 04-30-2013 / 06-16-2015	
Titled: Selective Recovery of Manganese and Zinc From Geothermal Brines	
Alger Alternative Energy, LLC Limited Liability Company	
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)	
states that, for the patent application/patent identified above, it is (choose one of options 1, 2, 3 or 4 below):	
1. The assignee of the entire right, title, and interest.	
2. An assignee of less than the entire right, title, and interest (check applicable box):	
The extent (by percentage) of its ownership interest is%. Additional Statement(s) by the owners holding the balance of the interest <u>must be submitted</u> to account for 100% of the ownership interest.	
There are unspecified percentages of ownership. The other parties, including inventors, who together own the en right, title and interest are:	tire
Additional Statement(s) by the owner(s) holding the balance of the interest <u>must be submitted</u> to account for the er right, title, and interest.	ntire
3. The assignee of an undivided interest in the entirety (a complete assignment from one of the joint inventors was made. The other parties, including inventors, who together own the entire right, title, and interest are:	e).
Additional Statement(s) by the owner(s) holding the balance of the interest <u>must be submitted</u> to account for the en right, title, and interest.	tire
4. The recipient, via a court proceeding or the like (e.g., bankruptcy, probate), of an undivided interest in the entirety (a complete transfer of ownership interest was made). The certified document(s) showing the transfer is attached.	
The interest identified in option 1, 2 or 3 above (not option 4) is evidenced by either (choose one of options A or B below):	
A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.	1
B. 🗹 A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follow	s:
1. From: Harrison et al. To: Simbol Inc.	
The document was recorded in the United States Patent and Trademark Office at  Reel 031426 , Frame 0133 , or for which a copy thereof is attached.  2. From: Simbol Inc. To: Alger Alternative Energy, LLC	
The document was recorded in the United States Patent and Trademark Office at Reel 043117 , Frame 0923 , or for which a copy thereof is attached.	

[Page 1 of 2]
This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

		STATEME	NT UNDER 37 CFR 3.73(	<u>C)</u>
3. From:			To:	
	The docume	nt was recorded in the	United States Patent and Trader	mark Office at
	Reel	, Frame	, or for which a copy the	ereof is attached.
4. From:			To:	
	The docume	nt was recorded in the	United States Patent and Trader	mark Office at
	Reel	, Frame	, or for which a copy the	ereof is attached.
5. From:			To:	
	The docume	nt was recorded in the	United States Patent and Trader	mark Office at
	Reel	, Frame	, or for which a copy the	ereof is attached.
6. From:			To:	
	The docume	nt was recorded in the	United States Patent and Trader	mark Office at
	Reel	, Frame	, or for which a copy the	ereof is attached.
Ac	dditional document	s in the chain of title are	e listed on a supplemental sheet	(s).
			mentary evidence of the chain o tted for recordation pursuant to 3	f title from the original owner to the 37 CFR 3.11.
				t(s)) must be submitted to Assignment cords of the USPTO. See MPEP 302.08]
The undersi	gned (whose title is	supplied below) is aut	horized to act on behalf of the a	ssignee.
/Chrystal	A. Tomblyn/			12/1/17
Signature			<del></del>	Date
Chrysta	al A. Tombly	n		76,327
Printed or Ty	yped Name			Title or Registration Number

[Page 2 of 2]

## Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
13/874,004	06/16/2015	9057117	10001108.0097	8025

9057117

24392

05/27/2015

FISH & TSANG LLP ROBERT D. FISH 2603 Main Street **Suite 1000** Irvine, CA 92614-6232

### ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

## **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 182 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Stephen Harrison, Benicia, CA; Samaresh Mohanta, San Diego, CA; SIMBOL INC., Pleasanton, CA

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The USA offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to encourage and facilitate business investment. To learn more about why the USA is the best country in the world to develop technology, manufacture products, and grow your business, visit <u>SelectUSA.gov</u>.

#### PART B - FEE(S) TRANSMITTAL

#### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

04/15/2015

Commissioner for Patents

P.O. Box 1450 Alexandria, Virginia 22313-1450

(571)-273-2885 or <u>Fax</u>

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

24392 7590 FISH & TSANG LLP ROBERT D. FISH 2603 Main Street

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Marutzzella Castro	(Depositor's name
/Marutzzella Castro/	(Signature
May 10, 2015	(Date

Irvine, CA 9261	4-6232		<u> </u>	Marutzzella (	Castr	o/	(Signature)
, , , , , , , , , , , , , , , , , , , ,	-			May 10, 2015		·	(Date)
				,			
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR	<u> </u>	ATTO	RNEY DOCKET NO.	CONFIRMATION NO.
13/874,004	04/30/2013		Stephen Harrison		1	.0001108.0097	8025
TITLE OF INVENTION	I: Selective Recovery of I	Manganese and Zinc Fro	•				
APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSU	E FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	SMALL	\$480	\$0	\$0		\$480	07/15/2015
EXAM	IINER	ART UNIT	CLASS-SUBCLASS	1			
PHASGE	, ARUN S	1756	205-540000	1			
1. Change of correspond	ence address or indication	n of "Fee Address" (37	2. For printing on the p	patent front page, li	st	Tiple 0-7	Faces IID
CFR 1.363).  Change of correspondence of corresp	ondence address (or Cha	nge of Correspondence	(1) The names of up to or agents OR, alternati	o 3 registered pater velv.	nt attorn	eys 1 FISH &	Гsang, LLP
	oondence address (or Char B/122) attached.				a memb	er a 2	
"Fee Address" ind PTO/SB/47; Rev 03-0 Number is required.	lication (or "Fee Address' 02 or more recent) attache	' Indication form ed. Use of a Customer	(2) The name of a sing registered attorney or 2 registered patent atto listed, no name will be	agent) and the name orneys or agents. If printed.	nes of u	e is 3	
3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)							
PLEASE NOTE: Un recordation as set fort	less an assignee is identi th in 37 CFR 3.11. Comp	fied below, no assignee letion of this form is NO	e data will appear on the p OT a substitute for filing an	atent. If an assigr assignment.	nee is ic	lentified below, the do	cument has been filed for
(A) NAME OF ASSIGNEE			(B) RESIDENCE: (CITY				
Simbol, Inc. Pleasanton, CA							
Please check the appropriate assignee category or categories (will not be printed on the patent): 🗖 Individual <b>XX</b> orporation or other private group entity 🗖 Government							
4a. The following fee(s)	are submitted:	4	b. Payment of Fee(s): (Plea	ase first reapply a	ny prev	iously paid issue fee s	hown above)
XXssue Fee Publication Fee (N	No small entity discount p	ermitted)	A check is enclosed.  Payment by credit car	rd. Form PTO-2038	B is attac	ched.	
4.242	of Copies		XX he director is hereby	authorized to char	ge the r	equired fee(s), any defi	ciency, or credits any
			overpayment, to Depo	osii Accouni Numb	er 50 <u>0</u>	(enclose an	extra copy of this form).
_ ~ .	tus (from status indicated	*					
Applicant certifying	ng micro entity status. Se	e 37 CFR 1.29	NOTE: Absent a valid ce fee payment in the micro	ertification of Micro entity amount will	o Entity I not be	Status (see forms PTO accepted at the risk of a	/SB/15A and 15B), issue application abandonment.
☐ Applicant asserting small entity status. See 37 CFR 1.27		NOTE: If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status.					
Applicant changing	ng to regular undiscounted	I fee status.	NOTE: Checking this boentity status, as applicable		e a noti	fication of loss of entit	lement to small or micro
NOTE: This form must b	oe signed in accordance w	vith 37 CFR 1.31 and 1.3	33. See 37 CFR 1.4 for sign	ature requirements	and cer	tifications.	
Authorized Signature	/Martin Fessen	maier/		DateM	[ay 10	), 2015	
Typed or printed nam	ne <u>Martin Fess</u>	enmaier		Registration 1	No	46697	

Page 2 of 3

ESM / PETITIONER EX 1002 20

PTOL-85 Part B (10-13) Approved for use through 10/31/2013.

OMB 0651-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Electronic Patent Application Fee Transmittal					
Application Number:	138	374004			
Filing Date:	30-	30-Apr-2013			
Title of Invention:	Sel	ective Recovery of I	Manganese and	Zinc From Geothe	ermal Brines
First Named Inventor/Applicant Name:	Stephen Harrison				
Filer:	Martin Fessenmaier/Marutzzella Castro				
Attorney Docket Number:	100	001108.0097			
Filed as Large Entity					
Filing Fees for Utility under 35 USC 111(a)					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Utility Appl Issue Fee	1501	1	960	960
Publ. Fee- Early, Voluntary, or Normal	1504	1	0	0
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	<u>(\$)</u>	960

Electronic Acknowledgement Receipt				
EFS ID:	22301117			
Application Number:	13874004			
International Application Number:				
Confirmation Number:	8025			
Title of Invention:	Selective Recovery of Manganese and Zinc From Geothermal Brines			
First Named Inventor/Applicant Name:	Stephen Harrison			
Customer Number:	24392			
Filer:	Martin Fessenmaier/Marutzzella Castro			
Filer Authorized By:	Martin Fessenmaier			
Attorney Docket Number:	10001108.0097			
Receipt Date:	11-MAY-2015			
Filing Date:	30-APR-2013			
Time Stamp:	01:46:34			
Application Type:	Utility under 35 USC 111(a)			

# **Payment information:**

Submitted with Payment	no
------------------------	----

## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Issue Fee Payment (PTO-85B)	PTOL-85.pdf	96181	no	1
·		1102 33,63	553df421a83c26f5b6353d5676178377de0 52645		·

## Warnings:

Information:	FSM / PETITIONER EX 1002 23	

2	Fee Worksheet (SB06)	fee-info.pdf	32435	no	2
	ree worksneet (5500)	· ·	601bfd0f340a25869f82dfe46208f302b654 6a9f		_
Warnings:	Warnings:				
Information:					
Total Files Size (in bytes			1:	28616	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

#### PART B - FEE(S) TRANSMITTAL

#### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

04/15/2015

Commissioner for Patents

P.O. Box 1450 Alexandria, Virginia 22313-1450

(571)-273-2885 or <u>Fax</u>

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

24392 7590 FISH & TSANG LLP ROBERT D. FISH 2603 Main Street **Suite 1000** 

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Marutzzella Castro	(Depositor's name)
/Marutzzella Castro/	(Signature)
May 10, 2015	(Date)

Irvine, CA 92614-6232				/	<u>Marutzzella </u>	<u>Castr</u>	o/	(Signature)	
•					May 10, 2015			(Date)	
					,				
APPLICATION NO.	FILING DATE	<del>- 1</del>		FIRST NAMED INVENTOR	₹	ATTO	RNEY DOCKET NO.	CONFIRMATION NO.	
13/874,004	04/30/2013	<u> </u>		Stephen Harrison			.0001108.0097	8025	
TITLE OF INVENTION		Manganese and 2	Zinc Fron	•		1	.0001100.0077	0020	
					1			•	
APPLN. TYPE	ENTITY STATUS	ISSUE FEE I	DUE	PUBLICATION FEE DUE	PREV. PAID ISSU	E FEE	TOTAL FEE(S) DUE	DATE DUE	
nonprovisional SMALL \$480			\$0	\$0		\$480	07/15/2015		
EXAM	IINER	ART UNI	Т	CLASS-SUBCLASS					
PHASGE, ARUN S 1756				205-540000					
1. Change of corresponde CFR 1.363).	ence address or indication	n of "Fee Addres	ss" (37	2. For printing on the			ı Fish &	Tsang, LLP	
Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.			ndence	(1) The names of up to or agents OR, alternat	ively,		leys -	<i>S</i> <sup>2</sup>	
_				(2) The name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is 3					
"Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.			tomer	2 registered patent atte listed, no name will be	orneys or agents. If printed.	no nam	e is 3		
3. ASSIGNEE NAME A	ND RESIDENCE DAT.	A TO BE PRINT	ED ON	THE PATENT (print or ty	rpe)				
PLEASE NOTE: Unl	less an assignee is ident h in 37 CFR 3.11. Com	tified below, no a	assignee m is NO	data will appear on the p	patent. If an assign	nee is id	lentified below, the d	ocument has been filed fo	
(A) NAME OF ASSI				(B) RESIDENCE: (CIT	<del>-</del>				
Simbol, Inc.				Pleasantor	ı, CA				
Please check the appropr	iate assignee category or	r categories (will	not be pr	rinted on the patent):	Individual <b>XX</b>	orporati	on or other private gro	oup entity 🖵 Governmen	
4a. The following fee(s)				b. Payment of Fee(s): ( <b>Ple</b>					
XXssue Fee				A check is enclosed.					
	No small entity discount			Payment by credit card. Form PTO-2038 is attached.					
Advance Order - # of Copies				Xhe director is hereby overpayment, to Dep	y authorized to char osit Account Numb	ge the reer 500	equired fee(s), any dei 1341 — (enclose a	ficiency, or credits any n extra copy of this form).	
5. Change in Entity Sta	tus (from status indicate	d above)							
_ ~ .	ng micro entity status. Se			NOTE: Absent a valid co	ertification of Micr	o Entity	Status (see forms PTG	O/SB/15A and 15B), issue application abandonment.	
☐ Applicant asserting small entity status. See 37 CFR 1.27				NOTE: If the application	n was previously un	der mic	ro entity status, check	application abandonment.  ing this box will be taken	
☐ Applicant changing to regular undiscounted fee status.				to be a notification of loss of entitlement to micro entity status.  NOTE: Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable.					
NOTE: This form must b	e signed in accordance	with 37 CFR 1.31	and 1.3	3. See 37 CFR 1.4 for sign		and cer	tifications.		
Authorized Signature	/Martin Fesser	ımaier/			DateM	Iay 10	), 2015		
	Martin Fes				Pagistration 1	No.	46697		

Page 2 of 3

ESM / PETITIONER EX 1002 25

PTOL-85 Part B (10-13) Approved for use through 10/31/2013.

OMB 0651-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Electronic Patent Application Fee Transmittal					
Application Number:	13	374004			
Filing Date:	30	Apr-2013			
Title of Invention:	Selective Recovery of Manganese and Zinc From Geothermal Brines  Stephen Harrison				
First Named Inventor/Applicant Name:	Stephen Harrison				
Filer:	Martin Fessenmaier/Marutzzella Castro				
Attorney Docket Number:	10	001108.0097			
Filed as Small Entity					
Filing Fees for Utility under 35 USC 111(a)					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Utility Appl Issue Fee	2501	1	480	480
Publ. Fee- Early, Voluntary, or Normal	1504	1	0	0
Extension-of-Time:				
Miscellaneous:				
	Total in USD (\$) 480			480

Electronic Ack	knowledgement Receipt				
EFS ID:	22301119				
Application Number:	13874004				
International Application Number:					
Confirmation Number:	8025				
Title of Invention:	Selective Recovery of Manganese and Zinc From Geothermal Brines				
First Named Inventor/Applicant Name:	Stephen Harrison				
Customer Number:	24392				
Filer:	Martin Fessenmaier/Marutzzella Castro				
Filer Authorized By:	Martin Fessenmaier				
Attorney Docket Number:	10001108.0097				
Receipt Date:	11-MAY-2015				
Filing Date:	30-APR-2013				
Time Stamp:	01:49:40				
Application Type:	Utility under 35 USC 111(a)				

## **Payment information:**

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$480
RAM confirmation Number	7355
Deposit Account	500341
Authorized User	CASTRO, MARUTZZELLA

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees) 28

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Issue Fee Payment (PTO-85B)	PTOL-85.pdf	96181	no	1
'	issue i ee rayment (r 10-65b)	r 10L-63.pui	553df421a83c26f5b6353d5676178377de0 52645	110	'
Warnings:					
Information:					
2	Fee Worksheet (SB06)	fee-info.pdf	32274	no	2
	rec mondificat (5500)	ree mio.pai	29a4b832b6732f792d5ac118847b536898f 0d8dd		_
Warnings:			•		
Information:					
		Total Files Size (in bytes):	12	28455	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

## **Best Available Copy**

#### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

or Fax (571)-273-2885

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed while appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" in the correspondence address and the correspondence address are corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" in the correspondence address and the correspondence address are corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" in the correspondence address are corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" in the correspondence address are corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" in the correspondence address are corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" in the correspondence address are corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" in the correspondence address are corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" in the correspondence address are corrected below or directed otherwise and corrected address are corrected below or directed otherwise and corrected address are corrected and corrected address are corrected and corrected address are corrected and corr maintenance fee notifications

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address

24392 7590 FISH & TSANG LLP ROBERT D. FISH 2603 Main Street **Suite 1000** 

Irvine, CA 92614-6232

04/15/2015

ENTE TRADEN

Note: A certificate of mailing can only be used for domestic mailings of te Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

hereby certify that this Fee(s) Transmittal is being deposited with the Unit d States Postal Service with sufficient postage for first class mail in an envelo e addressed to the Mail Stop ISSUE FEE address above, or being facsim e transmitted to the USPTO (571) 273-2885, on the date indicated below.

Marutzzella Castro	(Depositor's nan
/Marutzzella Castro/	(Signatur
May 10, 2015	(Dai

			<u></u>	ICLY IV. ZVIJ			
	•			·			· .
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR		ATTORNEY DO	CKET NO.	CONFIRMATION NO.
13/874,004	04/30/2013		Stephen Harrison		10001108.0	0097	8025
TITLE OF INVENTION	: Selective Recovery of	Manganese and Zinc Fro	m Geothermal Brines				•
APPLN, TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE	FEE TOTAL	FEE(S) DUE	DATE DUE
nonprovisional	SMALL	\$480	\$0	\$0		480	07/15/2015
				05/11/2	015 INTEFSW	00007355	13874004
EXAMINER A		ART UNIT	CLASS-SUBCLASS	01 FC:2	591 4	80.00 DA	
PHASGE	ARUN S	1756	205-540000				
CFR 1.363).  Change of corresp Address form PTO/Si	ence address or indicatio ondence address (or Cha 3/122) attached. ication (or "Fee Address 12 or more recent) attach	nge of Correspondence	2. For printing on the position (1) The names of up to or agents OR, alternative (2) The name of a single registered attorney or a 2 registered patent attorney on a part of the patent attorney or a control of the patent attorney or a patent attorney or a control of the patent attorney or a patent attor	3 registered patent rely, e firm (having as a gent) and the name meys or agents. If n	attorneys 1_	Fish &	Гsang, LLP
PLEASE NOTE: Uni recordation as set fort (A) NAME OF ASSIG	ess an assignee is ident h in 37 CFR 3.11. Comp		THE PATENT (print or typ data will appear on the pa T a substitute for filing an a (B) RESIDENCE: (CITY	ttent. If an assigne assignment. and STATE OR CO		elow, the do	cument has been filed
Simbol, Inc. lease check the appropr	iate assignee category or	categories (will not be pr	Pleasanton,		poration or other	r private grou	up entity 🗖 Governme
a. The following fee(s):  X ssue Fee Publication Fee (N Advance Order - #	o small entity discount p		b. Payment of Fee(s): (Please A check is enclosed. Payment by credit care The director is hereby overpayment, to Depose	l. Form PTO-2038 i	is attached.	e(s), any defi	·
	tus (from status indicated ag micro entity status. Se		NOTE: Absent a valid cer fee payment in the micro	tification of Micro	Entity Status (see	e forms PTO	/SB/15A and 15B), issurpplication abandonmen
Applicant asserting small entity status. See 37 CFR 1.27			NOTE: If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status.				
Applicant changing	g to regular undiscounted	i fee status.	NOTE: Checking this box entity status, as applicable	will be taken to be	•		ement to small or micro
OTE: This form must b	e signed in accordance w	oith 37 CFR 1.31 and 1.33	3. See 37 CFR 1.4 for signa	ture requirements a	nd certifications.		
Authorized Signature	/Martin Fessen	maier/		Date Ma	y 10, 2015		.,

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

## NOTICE OF ALLOWANCE AND FEE(S) DUE

FISH & TSANG LLP ROBERT D. FISH 2603 Main Street Suite 1000 Irvine, CA 92614-6232 04/15/2015

EXAMINER

PHASGE, ARUN S

ART UNIT PAPER NUMBER

1756

DATE MAILED: 04/15/2015

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
13/874.004	04/30/2013	Stephen Harrison	10001108.0097	8025

TITLE OF INVENTION: Selective Recovery of Manganese and Zinc From Geothermal Brines

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	SMALL	\$480	\$0	\$0	\$480	07/15/2015

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED.</u> SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

## Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450

Alexandria, Virginia 22313-1450 or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. 24392 7590 04/15/2015 FISH & TSANG LLP ROBERT D. FISH 2603 Main Street (Depositor's name **Suite 1000** (Signature Irvine, CA 92614-6232 (Date APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 13/874.004 04/30/2013 Stephen Harrison 10001108.0097 8025 TITLE OF INVENTION: Selective Recovery of Manganese and Zinc From Geothermal Brines

APPLN. TYPE ENTITY STATUS ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE \$0 \$0 \$480 07/15/2015 **SMALL** \$480 nonprovisional **EXAMINER** ART UNIT CLASS-SUBCLASS PHASGE, ARUN S 1756 205-540000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) The names of up to 3 registered patent attorneys ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. or agents OR, alternatively, (2) The name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) Please check the appropriate assignee category or categories (will not be printed on the patent): 🔲 Individual 📮 Corporation or other private group entity 🖵 Government 4a. The following fee(s) are submitted: 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) ☐ Issue Fee A check is enclosed. ☐ Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. Advance Order - # of Copies \_ The director is hereby authorized to charge the required fee(s), any deficiency, or credits any overpayment, to Deposit Account Number 5. Change in Entity Status (from status indicated above) NOTE: Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment. Applicant certifying micro entity status. See 37 CFR 1.29 ☐ Applicant asserting small entity status. See 37 CFR 1.27  $\underline{NOTE}$ : If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status. Applicant changing to regular undiscounted fee status. NOTE: Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable. NOTE: This form must be signed in accordance with 37 CFR 1.31 and 1.33. See 37 CFR 1.4 for signature requirements and certifications. Date \_ Authorized Signature \_

Page 2 of 3

ESM / PETITIONER EX 1002 32

Registration No. \_

PTOL-85 Part B (10-13) Approved for use through 10/31/2013.

Typed or printed name \_

OMB 0651-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Roy 1450

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
13/874,004	04/30/2013	Stephen Harrison	10001108.0097	8025
24392 75	90 04/15/2015		EXAM	INER
FISH & TSANG LLP			PHASGE, ARUN S	
ROBERT D. FISH 2603 Main Street			ART UNIT	PAPER NUMBER
Suite 1000			1756	
Irvine, CA 92614-6232			DATE MAILED: 04/15/201	5

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

#### OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

#### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C.
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation. ESM/PETITIONER EX 1002\_34

	Application No.	Applicant(s)	A1
	13/874,004 <b>Examiner</b>	HARRISON E	=   AL.   AIA (First Inventor to
Notice of Allowability	ARUN S. PHASGE	1756	File) Status
			No
The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS (herewith (or previously mailed), a Notice of Allowance (PTOL-85) on NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RICE of the Office or upon petition by the applicant. See 37 CFR 1.313	OR REMAINS) CLOSED in this apport of the appropriate communication of GHTS. This application is subject to	lication. If not i will be mailed i	included n due course. <b>THIS</b>
1. X This communication is responsive to terminal disclaimers file	ed 3/27/15.		
A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was/			
<ol> <li>An election was made by the applicant in response to a restr requirement and election have been incorporated into this ac</li> </ol>		e interview on	; the restriction
<ol> <li>The allowed claim(s) is/are <u>21-41</u>. As a result of the allowed Highway program at a participating intellectual property office <a href="http://www.uspto.gov/patents/init_events/pph/index.jsp">http://www.uspto.gov/patents/init_events/pph/index.jsp</a> or ser</li> </ol>	e for the corresponding application.	For more inform	
4.  Acknowledgment is made of a claim for foreign priority under	35 U.S.C. § 119(a)-(d) or (f).		
Certified copies:			
<ul> <li>a) All b) Some *c) None of the:</li> <li>1. Certified copies of the priority documents have</li> <li>2. Certified copies of the priority documents have</li> <li>3. Copies of the certified copies of the priority documents have</li> <li>International Bureau (PCT Rule 17.2(a)).</li> <li>* Certified copies not received:</li> </ul>	been received in Application No		pplication from the
Applicant has THREE MONTHS FROM THE "MAILING DATE" of noted below. Failure to timely comply will result in ABANDONME THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.	ENT of this application.	omplying with t	the requirements
5. CORRECTED DRAWINGS ( as "replacement sheets") must	be submitted.		
including changes required by the attached Examiner's Paper No./Mail Date	Amendment / Comment or in the Of	fice action of	
Identifying indicia such as the application number (see 37 CFR 1.8 each sheet. Replacement sheet(s) should be labeled as such in the	84(c)) should be written on the drawing e header according to 37 CFR 1.121(d	gs in the front (ı ).	not the back) of
<ol> <li>DEPOSIT OF and/or INFORMATION about the deposit of BI attached Examiner's comment regarding REQUIREMENT FO</li> </ol>			ìe
Attachment(s)			
1. Notice of References Cited (PTO-892)	5. 🗌 Examiner's Amendn	nent/Comment	
<ol> <li>Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 8/15/13 (corrected)</li> </ol>	6. 🛛 Examiner's Stateme	nt of Reasons	for Allowance
<ol> <li>Examiner's Comment Regarding Requirement for Deposit of Biological Material</li> <li>Interview Summary (PTO-413),</li> </ol>	7.		
Paper No./Mail Date			

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-13)

**Notice of Allowability** 

Part of Paper No./Mail Date 20150406

Application/Control Number: 13/874,004

Art Unit: 1756

The present application is being examined under the pre-AIA first to invent

Page 2

provisions.

**REASONS FOR ALLOWANCE** 

The following is an examiner's statement of reasons for allowance: It is proper

when the only rejection outstanding is an obvious type double patenting, to allow the

first application while requiring the filing of the terminal disclaimer for the second

application. Accordingly, the claims are allowable.

Any comments considered necessary by applicant must be submitted no later

than the payment of the issue fee and, to avoid processing delays, should preferably

accompany the issue fee. Such submissions should be clearly labeled "Comments on

Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the

examiner should be directed to ARUN S. PHASGE whose telephone number is

(571)272-1345. The examiner can normally be reached on MONDAY-THURSDAY,

7:30-6:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Keith D. Hendricks can be reached on (571) 272-1401. The fax phone

Application/Control Number: 13/874,004

Art Unit: 1756

number for the organization where this application or proceeding is assigned is 571-

273-8300.

Information regarding the status of an application may be obtained from the

Patent Application Information Retrieval (PAIR) system. Status information for

published applications may be obtained from either Private PAIR or Public PAIR.

Status information for unpublished applications is available through Private PAIR only.

For more information about the PAIR system, see http://pair-direct.uspto.gov. Should

you have questions on access to the Private PAIR system, contact the Electronic

Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a

USPTO Customer Service Representative or access to the automated information

system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/ARUN S PHASGE/ Primary Examiner, Art Unit 1756

Page 3

asp

# Search Notes

Application/Control No.	Applicant(s)/Patent Under Reexamination
13874004	HARRISON ET AL.
Examiner	Art Unit
ARUN S PHASGE	1756

CPC COMBINATION SETS - SEARC	CHED	
Symbol	Date	Examiner

	US CLASSIFICATION SEARCHED						
Class	s Subclass Date Exam						
205	539, 540, 573, 602-608	12/21/14	asp				

SEARCH NOTES		
Search Notes	Date	Examiner
WEST his attached	12/22/14	asp
inventor name searched in eDAN	12/22/14	asp
WEST his attached	4/6/15	asp

	INTERFERENCE SEARCH		
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner
WEST his	attached	4/6/15	asp

Doc description: Information Disclosure Statement (IDS) Filed

Approved for use through 07/31/2012. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

#### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number		13874004		
Filing Date		2013-04-30		
First Named Inventor HARR		RISON, S.		
Art Unit		<b>1736</b> 1756		
Examiner Name N/A Attorney Docket Number				
		076165.000097		

	U.S.PATENTS						
Exar	miner II*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
/A.	P./	1	4016075		1977-04-05	Wilkins	
		2	4116856		1978-09-26	Lee et al.	
		3	4159311		1979-06-26	Lee et al.	
		4.	4221767		1980-09-09	Lee et al.	
		5	4291001		1981-09-22	Repsher et al.	
:		6	4347327		1982-08-31	Lee et al.	
		7	4348295		1982-09-07	Burba, III	
/A	.P./	8	4348296		1982-09-07	Bauman, et al.	

( Not for submission under 37 CFR 1.99)

Application Number		13874004
Filing Date		2013-04-30
First Named Inventor HARE Art Unit Examiner Name N/A Attorney Docket Number		RISON, S.
		1736
		076165.000097

/A.P./	9	4348297		1982-09-07	Bauman, et al.	
	10	4376100		1983-03-08	Lee et al.	
-	11	4430311	,	1984-02-07	Lee et al.	
000000000000000000000000000000000000000	12	4461714		1984-07-24	Burba, III	
***************************************	13	4472362		1984-09-18	Burba, III	
000000000000000000000000000000000000000	14	4540509		1985-09-10	Burba, III	
***************************************	15	4727167		1988-02-23	Burba, III, et al.	
000000000000000000000000000000000000000	16	5135652		1992-08-04	Boateng	
N 1000000000000000000000000000000000000	17	5254225		1993-10-19	Gallup	
000000000000000000000000000000000000000	18	5389349		1995-02-14	Bauman et al.	
/A.P./	19	5599516		1997-02-04	Bauman et al.	

( Not for submission under 37 CFR 1.99)

Application Number		13874004
Filing Date		2013-04-30
First Named Inventor HARR  Art Unit  Examiner Name N/A  Attorney Docket Number		RISON, S.
		1736
		076165.000097

/A.P./	20	6017500	2000-01-25	Mehta	
/A.P./	21	6280693	2001-08-28	Bauman et al.	
/A.P./	22	6458184	2002-10-01	Featherstone	
/A.P./	23	6555078	2003-04-29	Mehta	
/A.P./	24	6682644	2004-01-27	Feathersone et al.	
/A.P./	25	8454816	2013-06-04	Harrison et al.	

If you wish to add additional U.S. Patent citation information please click the Add button.

#### **U.S.PATENT APPLICATION PUBLICATIONS**

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
/A.P./	1	20010000597	A1	2001-05-03	Featherstone	
/ <b>A</b> .P./	2	20030226761	A1	2003-12-11	Featherstone et al.	

If you wish to add additional U.S. Published Application citation information please click the Add button.

#### **FOREIGN PATENT DOCUMENTS**

( Not for submission under 37 CFR 1.99)

Application Number		13874004	
Filing Date		2013-04-30	
First Named Inventor	HARF	RISON, S.	
Art Unit		1736	
Examiner Name	N/A		
Attorney Docket Numb	per	076165.000097	

Examiner Initial*	Cite No	Foreign Document Number³	Country Code <sup>2</sup> i	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T5			
500000000000000000000000000000000000000	1				***************************************						
If you wish	n to ac	 dd additional Foreign F	atent Docume	ent citation	information p	lease click the Add butto	on	<u> </u>			
			NON-PA	TENT LITE	RATURE DO	CUMENTS					
Examiner Initials*	Cite No		rnal, serial, sy	mposium,	catalog, etc),	the article (when appro date, pages(s), volume-		<b>T</b> 5			
/A.P./	1	COLE, et al., "Zinc Solv 2003, pp. 91-137	LE, et al., "Zinc Solvent Extraction in the Process Industries," 24(2) Mineral Proc. & Extractive Metallurgy Rev, 3, pp. 91-137								
/A.P./	2		DREISINGER et al., "New Developments in the Boleo Copper-Cobalt-Zinc-Manganese Project," available at http://bajamining.com/_resources/Reports/alta_paper_2006_boleo_final.pdf (2006)								
/ <b>A</b> .P./	3					ons, Simulation of Counteressing, 2004, pp. 113-120	Current Extraction				
/A.P./	4					ith 5,10,15,20-tetraphenyl- extr. Res. Dev. Japan 2000					
/A.P./	5	LEE, et al., "Solvent Exchem. Soc. 2009, pp. 1		from Strong	g Hydrochloric A	Acid Solution with Alamine	336," 30(7) Bull, Korean				
/A.P./	6	Co-pending application	USSN 13/539,	106, filed Ju	ine 29, 2012						
<b>_</b>	300900000000		99000000000000000000 <del>0000000</del>	99977000000000000000000000000000000000	000000000000000000000000000000000000000		200000000000000000000000000000000000000				

( Not for submission under 37 CFR 1.99)

Application Number		13874004
Filing Date		2013-04-30
First Named Inventor HARI		RISON, S.
Art Unit		1736
Examiner Name N/A		
Attorney Docket Number		076165.000097

If you wish to add add	itional non-patent literature document cit	tation information please click the Add	button
	EXAMINE	R SIGNATURE	
Examiner Signature	/Arun Phasge/	Date Considered	12/22/2014
	reference considered, whether or not cita mance and not considered. Include cop		

( Not for submission under 37 CFR 1.99)

Application Number		13874004	
Filing Date		2013-04-30	
First Named Inventor	HARF	RISON, S.	,
Art Unit		1736	
Examiner Name	N/A		
Attorney Docket Numb	er	076165.000097	

		CERTIFICAT	TON STATEMENT	
Plea	ase see 37 CFR 1	.97 and 1.98 to make the appropriate se	lection(s):	
	from a foreign p	of information contained in the informat patent office in a counterpart foreign ap osure statement. See 37 CFR 1.97(e)(1)	plication not more than three	
OR				
	foreign patent of after making rea any individual de	information contained in the information ffice in a counterpart foreign application sonable inquiry, no item of information designated in 37 CFR 1.56(c) more that 37 CFR 1.97(e)(2).	, and, to the knowledge of the contained in the information di	ne person signing the certification sclosure statement was known to
	See attached ce	rtification statement.		
	The fee set forth	in 37 CFR 1.17 (p) has been submitted	herewith.	
$\boxtimes$	A certification sta	atement is not submitted herewith.		
	ignature of the ap n of the signature.	plicant or representative is required in a	NATURE coordance with CFR 1.33, 10.1	18. Please see CFR 1.4(d) for the
Sigi	nature	Karthika	Date (YYYY-MM-DD)	2013-08-15
Nar	ne/Print	Karthika Perumal	Registration Number	69,296
This	s collection of info	rmation is required by 37 CFR 1.97 and	1.98. The information is requi	red to obtain or retain a benefit by the

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

# Index of Claims 13874004 Examiner Applicant(s)/Patent Under Reexamination HARRISON ET AL. Art Unit ARUN S PHASGE 1756

✓	Rejected	-	Cancelled	N	Non-Elected	Α	Appeal
=	Allowed	÷	Restricted	ı	Interference	0	Objected
	Claims renumbered in the	same o	rder as presented by ap	plicant	☐ CPA	] T.C	D R.1.47

CL	AIM	DATE									
Final	Original	12/22/2014	04/06/2015							Τ	
	1	-	-							<del>                                     </del>	
	2	-	-								
	3	-	-							<del>                                     </del>	
	4	-	-							<del>                                     </del>	
	5	-	-								
	6	-	-								
	7	-	-								
	8	-	-								
	9	-	-							<u> </u>	
	10	-	-								
	11	-	-							1	
	12	-	-							1	
	13	-	-								
	14	-	-								
	15	-	-								
	16	-	-								
	17	-	-								
	18	-	-								
	19	-	-								
	20	-	-								
	21	✓	=								
	22	✓	=								
	23	✓	=								
	24	✓	=								
	25	✓	=								
	26	✓	=								
	27	✓	=								
	28	✓	=								
	29	✓	=								
	30	✓	=								
	31	✓	=								
	32	✓	=								
	33	✓	=								
	34	✓	=								
-	35	✓	=								

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	13874004	HARRISON ET AL.
	Examiner	Art Unit
	ARUN S PHASGE	1756

N

**Non-Elected** 

Α

**Appeal** 

Cancelled

Rejected

= A	llowed	÷	Rest	tricted	I	Interference		0	Obje	cted
☐ Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.47										
CLA	IM		DATE							
Final	Original	12/22/2014	04/06/2015							
	37	✓	=							
	38	✓	=							
	39	✓	=							

## WEST Search History for Application 13874004

Delete Selected Add More Searches

Close eRed Folder

<b>~</b>	t	}			<u>,</u>	
Select All All Int. All P/A	Query	Database	Operator	Plurals	Thesaurus	Date
	Inter	ference				
	((manganese or mn) and (zinc or zn)).clm.	PGPB	OR	YES		04- 06- 2015
	(((manganese or mn) and (zinc or zn)).clm.) and silica.clm.	PGPB	OR	YES		04- 06- 2015
	(((manganese or mn) and (zinc or zn)).clm. and silica.clm.) and brine.clm.	PGPB	OR	YES		04- 06- 2015
	(((manganese or mn) and (zinc or zn)).clm. and silica.clm.) and iron.clm.	PGP8	OR	YES		04- 06- 2015
	(((manganese or mn) and (zinc or zn)).clm. and silica.clm. and iron.clm.) and geothermal.clm.	PGPB	OR	YES		04- 06- 2015
	Pri	or Art				
□	c22b47/00.cpc, or c22b19/00.cpc, or c25c1/10.cpc, or c25c1/16.cpc.	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD, FPRS	OR	YES		12- 23- 2014
	(c22b47/00.cpc, or c22b19/00.cpc, or c25c1/10.cpc, or c25c1/16.cpc, ) and (manganese and zinc)	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD, FPRS	OR	YES		12- 23- 2014
	(c22b47/00.cpc, or c22b19/00.cpc, or c25c1/10.cpc, or c25c1/16.cpc, ) and (manganese)	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TOBD, FPRS	OR	YES		12- 23- 2014
	(c22b47/00.cpc, or c22b19/00.cpc, or c25c1/10.cpc, or c25c1/16.cpc, and (manganese) ) and zinc	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD, FPRS	OR	YES		12- 23- 2014
	(c22b47/00.cpc. or c22b19/00.cpc. or c25c1/10.cpc. or c25c1/16.cpc. and (manganese) and zinc ) and silica	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD, FPRS	OR	YES		12- 23- 2014
	manganese or	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD, FPRS	OR	YES		12- 23- 2014
	manganese or mn	PGPB, USPT, USOC, EPAB, JPAB, OWPI, TOBD, FPRS	OR	YES		12- 23- 2014
	(manganese or mn ) and (zinc or zn)	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD, FPRS	OR	YES		12- 23- 2014
	x	FSM / PF		D D37 1/	0.00 47	

ESM / PETITIONER EX 1002\_47

(manganese or mn and (zinc or zn) ) and silica	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD, FPRS	OR	YES	12- 23- 2014
(manganese or mn and (zinc or zn) and silica ) and brine	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD, FPRS	OR	YES	12- 23- 2014
(manganese or mn and (zinc or zn) and	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD, FPRS	OR	YES	12- 23- 2014
(manganese or mn and (zinc or zn) and silica and brine and (manganese same zinc) ) and iron	PGPB, USPT, USOC,	OR	YES	12- 23- 2014

Close Help eRed Folder

## Issue Classification



Apr	olicati	on/Co	ntrol	No
-----	---------	-------	-------	----

13874004

HARRISON ET AL.

Applicant(s)/Patent Under Reexamination

Examiner

**ARUN S PHASGE** 

Art Unit

1756

СРС				
Symbol			Туре	Version
C22B	19	// OO	F	2013-01-01
C25C	1	/ 16	I	2013-01-01
C25C	1	/ 10	I	2013-01-01
C22B	47	// 00	I	2013-01-01

CPC Combination Sets							
Symbol	Туре	Set	Ranking	Version			

NONE		Total Clain	ns Allowed:
(Assistant Examiner)	(Date)	2	1
/ARUN S PHASGE/ Primary Examiner.Art Unit 1756	4/6/15	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	1

## Issue Classification

Application/Control No.	Applicant(s)/Patent Under Reexamination
13874004	HARRISON ET AL.
Examiner	Art Unit
ARUN S PHASGE	1756

US ORIGINAL CLASSIFICATION					INTERNATIONAL CLASSIFICATION						ATION		
CLASS SUBCLASS						CLAIMED					NON-CLAIMED		
		539			С	2	5	С	1 / 16 (2006.0)				
С	ROSS RE	FERENCE	E(S)		С	2	5	В	1 / 21 (2006.01.01)				
SU	IBCLASS (O	NE SUBCLA	SS PER BI	OCK)									
540	573	602	606	608									
					+								
					+								
					+								
					+								
					+								
	CLASS C	CROSS RE	CROSS REFERENCE SUBCLASS (ONE SUBCLA	CROSS REFERENCE(S)  SUBCLASS (ONE SUBCLASS PER BI	CLASS SUBCLASS  539  CROSS REFERENCE(S)  SUBCLASS (ONE SUBCLASS PER BLOCK)	CLASS SUBCLASS  539 C  CROSS REFERENCE(S)  SUBCLASS (ONE SUBCLASS PER BLOCK)	CLASS         SUBCLASS           539         C         2           CROSS REFERENCE(S)         C         2           SUBCLASS (ONE SUBCLASS PER BLOCK)         C         2	CLASS         SUBCLASS           539         C 2 5           CROSS REFERENCE(S)         C 2 5           SUBCLASS (ONE SUBCLASS PER BLOCK)         C 2 5	CLASS         SUBCLASS         C           539         C         2         5         C           CROSS REFERENCE(S)         C         2         5         B           SUBCLASS (ONE SUBCLASS PER BLOCK)         C         2         5         B	CLASS         SUBCLASS         CLAIMED           539         C 2 5 C 1/16 (2006.0)           CROSS REFERENCE(S)         C 2 5 B 1/21 (2006.01.01)           SUBCLASS (ONE SUBCLASS PER BLOCK)         C 2 5 B 1/21 (2006.01.01)	CLASS         SUBCLASS         CLAIMED           539         C 2 5 C 1/16 (2006.0)           CROSS REFERENCE(S)         C 2 5 B 1/21 (2006.01.01)           SUBCLASS (ONE SUBCLASS PER BLOCK)         C 2 5 B 1/21 (2006.01.01)	CLASS         SUBCLASS         CLAIMED           539         C 2 5 C 1/16 (2006.0)           CROSS REFERENCE(S)         C 2 5 B 1/21 (2006.01.01)           SUBCLASS (ONE SUBCLASS PER BLOCK)         C 2 5 B 1/21 (2006.01.01)	CLASS         SUBCLASS         CLAIMED         N           539         C         2         5         C         1/16 (2006.0)         C           CROSS REFERENCE(S)         C         2         5         B         1/21 (2006.01.01)         C           SUBCLASS (ONE SUBCLASS PER BLOCK)         C         D         C         C         D         C         D         C         D </td

NONE		Total Clain	ns Allowed:
(Assistant Examiner)	(Date)	2	1
/ARUN S PHASGE/ Primary Examiner.Art Unit 1756	4/6/15	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	1

## Issue Classification

Application/Control No.	Applicant(s)/Patent Under Reexamination
13874004	HARRISON ET AL.
Examiner	Art Unit
ARUN S PHASGE	1756

Claims renumbered in the same order as presented by applicant						☐ CPA ⊠ T.D. ☐ R.1.47					47				
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Origina
	1		17		33										
	2		18		34										
	3		19		35										
	4		20		36										
	5		21		37										
	6		22		38										
	7		23		39										
	8		24		40										
	9		25		41										
	10		26												
	11		27												
	12		28												
	13		29												
	14		30												
	15		31												1
	16		32												

NONE	Total Clain	ns Allowed:	
(Assistant Examiner)	(Date)	2	1
/ARUN S PHASGE/ Primary Examiner.Art Unit 1756	4/6/15	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	1



#### United States Patent and Trademark Office

United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
PO. Box 1450
Alexandria, Vignia 22313-1450
www.uspto.gov UNITED STATES DEPARTMENT OF COMMERCE

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE 13/874,004 04/30/2013 10001108.0097 Stephen Harrison

24392 FISH & TSANG LLP ROBERT D. FISH 2603 Main Street Suite 1000 Irvine, CA 92614-6232

**CONFIRMATION NO. 8025** POA ACCEPTANCE LETTER



Date Mailed: 04/03/2015

#### NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 03/27/2015.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

/nhassani/						
 				,,		

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMI United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov UNITED STATES DEPARTMENT OF COMMERCE

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE 04/30/2013 13/874,004

126930 **DENTONS US LLP** P.O. Box 061080 Chicago, IL 60606-7020 Stephen Harrison 10001108.0097 **CONFIRMATION NO. 8025** 

**POWER OF ATTORNEY NOTICE** 



Date Mailed: 04/03/2015

#### NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 03/27/2015.

• The Power of Attorney to you in this application has been revoked by the applicant. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

/1	nhassani/			

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

Application Number	Application/Co	Re	oplicant(s)/Patent under eexamination ARRISON ET AL.						
Document Code - DISQ		Internal Doo	cument – DO NOT MAIL						
	<u> </u>								
TERMINAL DISCLAIMER	⊠ APPROVI	ED	□ DISAPPROVED						
Date Filed : 27 MAR 2015	This patent is subject to a Terminal Disclaimer								
Approved/Disapproved by:									
ъВ									

U.S. Patent and Trademark Office

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

Appl No.: 13/874,004 Confirmation No. 8025

Applicant: Stephen Harrison

Filed: **04/30/2013** 

TC/A.U.: 1756

Examiner: PHASGE, ARUN S.

Docket No.: 102663.0002US4

Customer No.: 24392

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### **AMENDMENT**

Sir:

In response to the Office action of December 29, 2014, please amend the above-identified application as follows:

Amendments to the Specification - None -

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

Amendments to the Drawings - None -

**Remarks/Arguments** begin on page 6 of this paper.

#### **Amendments to the Claims:**

This listing of claims will replace all prior versions, and listings, of claims in the application:

#### **Listing of Claims:**

- 1-20. (Canceled)
- 21. (previously presented) A method for recovering zinc and manganese from a brine, the method comprising the steps of:

providing a brine, said brine comprising manganese and zinc;

- selectively removing silica and iron from the brine to produce a substantially silica free brine;
- adjusting the pH of the substantially silica free brine to a pH suitable to form precipitates of zinc and manganese, such that precipitates of zinc and manganese are selectively formed and other metal precipitates are not formed; separating the zinc and manganese precipitates from the brine.
- 22. (previously presented) The method of claim 21 wherein the precipitates of zinc and manganese are dissolved in an acid.
- 23. (previously presented) The method of claim 21 wherein the precipitates of zinc and manganese are dissolved in ammonium sulfate.
- 24. (previously presented) The method of claim 21 wherein the step of selectively removing silica and iron from the brine comprises providing iron (III) at a pH of between about 4.5 and 6 and precipitating the silica and iron from the brine.
- 25. (previously presented) The method of claim 21 wherein the step of precipitating the zinc and manganese comprises adding sufficient base to adjust the pH to between 6 and 8 and providing an air oxidant to the substantially silica free brine.
- 26. (previously presented) The method of claim 21 further comprising contacting the zinc with hydrochloric acid to produce zinc chloride.

27. (previously presented) A method for recovering zinc and manganese from a brine, the method comprising the steps of:

providing a brine, said brine comprising manganese and zinc;

selectively removing silica and iron from the brine to produce a substantially silica free brine:

adjusting the pH of the substantially silica free brine to a pH suitable to form precipitates of zinc and manganese, such that precipitates of zinc and manganese are selectively formed and other metal precipitates are not formed;

separating the zinc and manganese precipitates from the brine;

dissolving the precipitates of zinc and manganese to produce a zinc manganese solution; oxidizing the manganese to form a manganese precipitate and a zinc solution; separating the manganese precipitate from the zinc solution; recovering the zinc by electrochemical means.

- 28. (previously presented) The method of claim 27 wherein the step of selectively removing silica and iron from the brine comprises providing iron (III) at a pH of between about 4.5 and 6 and precipitating the silica and iron from the brine.
- 29. (previously presented) The method of claim 27 wherein the step of precipitating the zinc and manganese comprises adding sufficient base to adjust the pH to between 6 and 8 and providing an air oxidant to the substantially silica free brine.
- 30. (previously presented) The method of claim 27 wherein the step of dissolving the zinc and manganese comprises providing a mineral acid sufficient to dissolve the zinc and manganese precipitate.
- 31. (previously presented) The method of claim 27 wherein the step of recovering the zinc by electrochemical means comprises plating an electrode with zinc metal from the zinc solution.
- 32. (previously presented) A method for recovering zinc and manganese from a brine, the method comprising the steps of:

providing a brine, said brine comprising manganese and zinc;

selectively removing silica and iron from the brine to produce a substantially silica free brine that includes manganese and zinc;

removing the zinc from the substantially silica free brine;

extracting manganese from the substantially silica free brine;

oxidizing the manganese to produce a manganese dioxide precipitate; and

recovering the magnesium dioxide precipitate.

- 33. (previously presented) The method of claim 32 wherein the step of selectively removing silica and iron from the brine comprises providing iron (III) at a pH of between about 4.5 and 6 and precipitating the silica.
- 34. (previously presented) The method of claim 32 wherein the zinc is removed from the substantially silica free brine by ion exchange.
- 35. (previously presented) The method of claim 32 wherein the step of oxidizing the manganese to produce manganese dioxide comprises electrolytic deposition.
- 36. (previously presented) A method for recovering zinc and manganese from a brine, the method comprising the steps of:

providing a brine, said brine comprising manganese and zinc;

selectively removing silica and iron from the brine to produce a substantially silica free brine that comprises manganese and zinc;

extracting manganese and zinc from the substantially silica free brine to produce a manganese zinc solution;

electrochemically removing manganese from the manganese zinc solution to produce a residual solution that includes zinc; and

electrochemically removing zinc from the residual solution.

37. (previously presented) The method of claim 36 wherein the step of selectively removing silica and iron from the brine comprises providing iron (III) at a pH of between about 4.5 and 6 and precipitating the silica.

Appl. No. 13/874,004

Amdt. dated Mar. 27, 2015

Reply to Office action of Dec. 29, 2014

38. (previously presented) The method of claim 36 wherein the step extracting manganese and

zinc from the substantially silica free brine comprises extracting the manganese and zinc with

a solvent selected from the group consisting of phosphines, phosphoric acids, and phosphinic

acids.

39. (previously presented) The method of claim 36 wherein the step of recovering the manganese

by electrochemical means comprises plating an electrode with manganese metal from the

manganese zinc solution.

40. (previously presented) The method of claim 36 wherein the step of electrochemically

removing the zinc means comprises plating an electrode with zinc metal from the zinc

solution.

41. (previously presented) A method for recovering zinc and manganese from a brine, the

method comprising the steps of:

providing a brine, said geothermal brine comprising manganese and zinc;

selectively removing silica and iron from the brine to produce a substantially silica free

brine that includes manganese and zinc;

removing the zinc from the substantially silica free brine;

extracting manganese from the substantially silica free brine.

-5-

ESM / PETITIONER EX 1002 59

**Double Patenting** 

Claims 21-41 were rejected under the judicially created doctrine of obviousness-type

double patenting over claims 1-20 of U.S. Patent No. 8,518,232. Applicant submits a terminal

<u>disclaimer over the '232 patent herewith</u>. The rejection should therefore be overcome.

Claims 21-41 were rejected under the judicially created doctrine of obviousness-type

double patenting over claims 1-20 of U.S. Patent No. 8,454,816. Applicant submits a terminal

<u>disclaimer over the '816 patent herewith</u>. The rejection should therefore be overcome.

Claims 21-41 were provisionally rejected under the judicially created doctrine of

obviousness-type double patenting over claims 32-47 of U.S. Patent Application 13/943,395.

Applicant will submit a terminal disclaimer in the '395 matter as appropriate when the issue of

double patenting is the last remaining rejection in that case. The rejection should therefore be

overcome.

**Request For Allowance** 

Claims 21-41 are pending in this application. The applicant requests allowance of all

pending claims.

Respectfully submitted,

Fish & Tsang, LLP

Date: \_March 27, 2015\_\_\_\_

By\_/Martin Fessenmaier/\_

Martin Fessenmaier, Ph.D.

Reg. No. 46697

Fish & Tsang, LLP 2603 Main Street, Suite 1000

Irvine, CA 92614-4271

Telephone (949) 943-8300

Fax (949) 943-8358

-6-

ESM / PETITIONER EX 1002 60

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# TERMINAL DISCLAIMER TO OBVIATE A DOUBLE PATENTING REJECTION OVER A "PRIOR" PATENT

Docket Number (Optional) 102663.0002US4

REGESTION OVER A TRION TABLET	
In re Application of: Stephen Harrison, et al.,	
Application No.: 13/874004	
Filed: April 30, 2013	
For: Selective Recovery Of Manganese And Zinc From Geothermal Brines	
except as provided below, the terminal part of the statutory term of any patent granted on the instant	said <b>prior patent</b> is presently shortened ation shall be enforceable only for and
In making the above disclaimer, the owner does not disclaim the terminal part of the term of any pate would extend to the expiration date of the full statutory term of the <b>prior patent</b> , "as the term of said <b>p</b> terminal disclaimer," in the event that said <b>prior patent</b> later:  expires for failure to pay a maintenance fee; is held unenforceable; is found invalid by a court of competent jurisdiction; is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321; has all claims canceled by a reexamination certificate; is reissued; or is in any manner terminated prior to the expiration of its full statutory term as presently shore	rior patent is presently shortened by any
Check either box 1 or 2 below, if appropriate.	
1. For submissions on behalf of a business/organization (e.g., corporation, partnership, universite etc.), the undersigned is empowered to act on behalf of the business/organization.	ty, government agency,
I hereby declare that all statements made herein of my own knowledge are true and that all belief are believed to be true; and further that these statements were made with the knowledge that water made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United S statements may jeopardize the validity of the application or any patent issued thereon.	villful false statements and the like so
2. The undersigned is an attorney or agent of record. Reg. No. 46697	
/Martin Fessenmaier/	2015-03-27
Signature	Date
Martin Fessenmaier	
Typed or printed name	
	949-943-8300
	Telephone Number
Terminal disclaimer fee under 37 CFR 1.20(d) included.	
WARNING: Information on this form may become public. Credit card inform be included on this form. Provide credit card information and authorization	
*Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner) Form PTO/SB/96 may be used for making this certification. See MPEP § 324.	

This collection of information is required by 37 CFR 1.321. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this bu rden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

#### Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UND	ER 37 CFR 3.73(b)
Applicant/Patent Owner: Stephen Harrison / Simbol, Inc.	
Application No./Patent No.: 13/874004	Filed/Issue Date: April 30, 2013
Titled: Selective Recovery Of Manganese and Zinc From Ge	eothermal Brines
Simbol, Inc. , a corpor	ration
(Name of Assignee) (Type	of Assignee, e.g., corporation, partnership, university, government agency, etc.
states that it is:	
1. X the assignee of the entire right, title, and interest in;	
2. an assignee of less than the entire right, title, and interest (The extent (by percentage) of its ownership interest is _	
3. the assignee of an undivided interest in the entirety of (a	complete assignment from one of the joint inventors was made)
the patent application/patent identified above, by virtue of either:	
A. An assignment from the inventor(s) of the patent applicat the United States Patent and Trademark Office at Reel copy therefore is attached.	ion/patent identified above. The assignment was recorded in 031426 , Frame 0133 , or for which a
OR	
B. A chain of title from the inventor(s), of the patent application	ion/patent identified above, to the current assignee as follows:
1. From:	To:
The document was recorded in the United Stat	es Patent and Trademark Office at, or for which a copy thereof is attached.
2. From:	To:
The document was recorded in the United Stat	
Reel, Frame	, or for which a copy thereof is attached.
3. From:	To:
The document was recorded in the United Stat	es Patent and Trademark Office at
Reel, Frame	, or for which a copy thereof is attached.
Additional documents in the chain of title are listed on a	supplemental sheet(s).
As required by 37 CFR 3.73(b)(1)(i), the documentary evider or concurrently is being, submitted for recordation pursuant to	nce of the chain of title from the original owner to the assignee was, 37 CFR 3.11.
[NOTE: A separate copy (i.e., a true copy of the original assi accordance with 37 CFR Part 3, to record the assignment in the	gnment document(s)) must be submitted to Assignment Division in he records of the USPTO. <u>See</u> MPEP 302.08]
The undersigned (whose title is supplied below) is authorized to act of	on behalf of the assignee.
/Martin Fessenmaier/	2015-03-27
Signature	Date
Martin Fessenmaier	Agent for Applicant Simbol In
Printed or Typed Name	Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner** for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. ESM / PETITIONER EX 1002 63

#### Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Patent /	App	olication Fee	Transmit	tal		
Application Number:	13	374004				
Filing Date:	30	Apr-2013				
Title of Invention:	Sel	ective Recovery of I	Manganese and	Zinc From Geothe	ermal Brines	
First Named Inventor/Applicant Name:	Stephen Harrison					
Filer:	Martin Fessenmaier/Marutzzella Castro					
Attorney Docket Number:	10	001108.0097				
Filed as Large Entity						
Filing Fees for Utility under 35 USC 111(a)						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Extension-of-Time:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Statutory or Terminal Disclaimer	1814	2	160	320
	Total in USD (\$)			320

Electronic Acl	knowledgement Receipt
EFS ID:	21905931
Application Number:	13874004
International Application Number:	
Confirmation Number:	8025
Title of Invention:	Selective Recovery of Manganese and Zinc From Geothermal Brines
First Named Inventor/Applicant Name:	Stephen Harrison
Customer Number:	126930
Filer:	Martin Fessenmaier/Marutzzella Castro
Filer Authorized By:	Martin Fessenmaier
Attorney Docket Number:	10001108.0097
Receipt Date:	27-MAR-2015
Filing Date:	30-APR-2013
Time Stamp:	18:28:19
Application Type:	Utility under 35 USC 111(a)

## **Payment information:**

yes
Deposit Account
\$320
4952
500341

 $The \ Director\ of\ the\ USPTO\ is\ hereby\ authorized\ to\ charge\ indicated\ fees\ and\ credit\ any\ overpayment\ as\ follows:$ 

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees) 67

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)		
1		2015-03-25_Response-01_non-	33138	vos	6		
'		finalpdf	d5c5368a7b418fd6c6428b133223d625fd8 ddea5	yes	O		
	Multip	art Description/PDF files in .	zip description	'			
	Document Des	Document Description					
	Amendment/Req. Reconsiderati	1		1			
	Claims	2		5			
	Applicant Arguments/Remarks	Made in an Amendment	6	,	5		
Warnings:							
Information:				Т			
2	Power of Attorney	POA_signed.pdf	1383149	no	3		
	,	5	3173468dd4e29a21c92424e43807b1bfc56 9c547				
Warnings:							
Information:							
3	Terminal Disclaimer Filed	TD_2US1.pdf	373963	no	2		
		, 5 <u>_</u> _ 2 5 5 7 , p s.i.	66123f6ece995d02c646e92831b58b1569c e3d52		_		
Warnings:							
Information:							
4	Terminal Disclaimer Filed	TD_2US2.pdf	373973	no	7		
4	Terminal Disclaimer Filed	10_2032.pui	04a60595022daee163fa2f8ab1c36484ab8f ffa3	110	2		
Warnings:			,				
Information:							
5	Assignee showing of ownership per 37	StmtOwnership pdf	422832	no	2		
5	CFR 3.73.	FR 3.73.		no 29cccb42ebd59cf6			
Warnings:				<u>'</u>			
Information:							
6	Fee Worksheet (SB06)	30340		ne			
U	i ee Molkslieer (2000)	fee-info.pdf	21318d87d96d0a7463631ef2bb7225461ba	no	2		

Warnings:	
Information:	
Total Files Size (in bytes):	2617395

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

#### POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

I hereby revoke all previous powers of attorney given in the application identified in the attached statement under 37 CFR 3.73(c).								
Thereby appoint:								
	uš .	tioners associated with Custo	ssociated with Customer Number: 24					
ļ ,	_ OR							
<u> </u>	Practitioner(s) named below (if more than ten patent practitionars are to be named, then a customer number must be used):							
			Reg	istration umber	News	Registration Number		
		***************************************			Maria Ma	and the second s		
	Junior							
	haman		annan fannanna					
				manness to the same to the sam		hamman ann an		
As attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignments documents attached to this form in accordance with 37 OFR 3 73(c).								
Flease change the correspondence address for the application identified in the attached statement under 37 CFR 3.73(c) to:								
	~~			**************************************		3		
	The a	ddress associated with Custo	mer Number:	24392				
OR	207.000.000			<u> </u>	***************************************	3		
	Firm or Individual	Name						
	Address							
	City			State		Zip		
	Country							
	Telephoni	se Email						
Assignee Name and Address: Simbol, Inc. 6920 Koll Center Parkway, Suite 123, Pleasanton, CA 94566								
A copy of this form, together with a statement under 37 CFR 3.73(c) (Form PTO/AIA/96 or equivalent) is required to be Filed in each application in which this form is used. The statement under 37 CFR 3.73(c) may be completed by one of The practitioners appointed in this form, and must identify the application in which this Power of Attorney is to be filed.								
SIGNATURE of Assignee of Record  The individual whose signature and title is supplied below is authorized to act on behalf of the assignee								
Signature 4 Harris		<b>∕</b>		Date 3 - 3 - 2015				
Nerve Stephen Harrison		***************************************		Telephone 925-226-7418				
Tibia	Chief Technology Officer and Vice President							

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USFTO to process) an application. Confidentially is governed by 35 U.S.D. 122 and 37 CFR 1.11 and 1.14. This collection is astimated to lake 3 minuses to complete, including gathering, preparing, and submitting the completed application form to the USFTO. Time will very depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Prateril and Trademark Office, U.S. Department of Commente, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENO FIEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Germinosionar for Patents, P.O. Box 1556, Alexandria, VA 22013-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

#### Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting
  evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in
  the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an international Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

#### TERMINAL DISCLAIMER TO OBVIATE A DOUBLE PATENTING REJECTION OVER A "PRIOR" PATENT

Docket Number (Optional) 102663 0002US4

RESECTION OVER A PRIOR PATENT	
In re Application of: Stephen Harrison, et al.,	
Application No.: 13/874004	
Filed: April 30, 2013	
For: Selective Recovery Of Manganese And Zinc From Geothermal Brines	
except as provided below, the terminal part of the statutory term of any patent granted on the instant	said <b>prior patent</b> is presently shortened ation shall be enforceable only for and
In making the above disclaimer, the owner does not disclaim the terminal part of the term of any pater would extend to the expiration date of the full statutory term of the <b>prior patent</b> , "as the term of said <b>prior patent</b> later:         expires for failure to pay a maintenance fee;         is held unenforceable;         is found invalid by a court of competent jurisdiction;         is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321;         has all claims canceled by a reexamination certificate;         is reissued; or         is in any manner terminated prior to the expiration of its full statutory term as presently shorter.	rior patent is presently shortened by any
Check either box 1 or 2 below, if appropriate.	
1. For submissions on behalf of a business/organization (e.g., corporation, partnership, universit etc.), the undersigned is empowered to act on behalf of the business/organization.	y, government agency,
I hereby declare that all statements made herein of my own knowledge are true and that all statements are believed to be true; and further that these statements were made with the knowledge that we made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United Statements may jeopardize the validity of the application or any patent issued thereon.	rillful false statements and the like so
2. The undersigned is an attorney or agent of record. Reg. No. 46697	
/Martin Fessenmaier/	2015-03-27
Signature	Date
Martin Fessenmaier	
Typed or printed name	
	949-943-8300
	Telephone Number
Terminal disclaimer fee under 37 CFR 1.20(d) included.	
WARNING: Information on this form may become public. Credit card inform be included on this form. Provide credit card information and authorization	
*Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner). Form PTO/SB/96 may be used for making this certification. See MPEP § 324.	

This collection of information is required by 37 CFR 1.321. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this bu rden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

#### Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875						n or Docket Nu 5/874,004	mber	Filing Date 04/30/2013	To be Mailed	
ENTITY: LARGE SM						ARGE 🏻 SMA	LL MICRO			
				APPLICA	ATION AS FIL	ED – PAR	ΤI			
			(Column 1	)	(Column 2)					
	FOR		NUMBER FIL	.ED	NUMBER EXTRA		RATE	<u>(\$)</u>	F	FEE (\$)
	BASIC FEE (37 CFR 1.16(a), (b), c	or (c))	N/A		N/A		N/	A		
	SEARCH FEE (37 CFR 1.16(k), (i), c	or (m))	N/A		N/A		N/.	A		
	EXAMINATION FE (37 CFR 1.16(o), (p), o	E	N/A		N/A		N/	A		
	TAL CLAIMS CFR 1.16(i))		min	us 20 = *			X \$	=		
	DEPENDENT CLAIM CFR 1.16(h))	S	mi	nus 3 = *			X \$	=		
	If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).									
	MULTIPLE DEPEN	IDENT CLAIM	1 PRESENT (37	7 CFR 1.16(j))						
* If t	the difference in colu	ımn 1 is less t	than zero, enter	° "0" in column 2.			ТОТ	AL		
		(Column 1	1)	APPLICATI	ON AS AMEN		\RT II			
AMENDMENT	03/27/2015	CLAIMS REMAINING AFTER AMENDME		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE	≣ (\$)	ADDITIO	ONAL FEE (\$)
)ME	Total (37 CFR 1.16(i))	* 21	Minus	** 21	= 0		x \$40 =			0
EN	Independent (37 CFR 1.16(h))	* 5	Minus	***5	= 0		x \$210 =			0
AM	Application Si	ize Fee (37 CF	FR 1.16(s))				<u> </u>			
	FIRST PRESEN	NTATION OF ML	JLTIPLE DEPEN	DENT CLAIM (37 CFF	R 1.16(j))					
							TOTAL AD	DD'L FE		0
		(Column 1	1)	(Column 2)	(Column 3	)				
		CLAIMS REMAININ AFTER AMENDME	NG	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE	≣ (\$)	ADDITIO	ONAL FEE (\$)
ENT	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$	=		
ΙDΜ	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$	=		
AMENDM	Application Si	ize Fee (37 CF	FR 1.16(s))				<b></b>			
A	FIRST PRESEN	ITATION OF MU	JLTIPLE DEPEN	DENT CLAIM (37 CFF	R 1.16(j))					
							TOTAL AD	DD'L FE	≣	
** If *** I	the entry in column of the "Highest Numbe If the "Highest Numb e"Highest Number P	er Previously F per Previously	Paid For" IN TH Paid For" IN Th	IIS SPACE is less HIS SPACE is less	than 20, enter "20" s than 3, enter "3".		LIE /THUY 7		nn 1.	

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS

ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
13/874,004	04/30/2013	Stephen Harrison	10001108.0097	8025
126930 DENTONS US	7590 12/29/201 LLP	4	EXAM	INER
P.O. Box 06108 Chicago, IL 606	80		PHASGE,	ARUN S
			ART UNIT	PAPER NUMBER
			1756	
			NOTIFICATION DATE	DELIVERY MODE
			12/29/2014	ELECTRONIC

#### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patents.us@dentons.com

	Application No. 13/874,004  Applicant(s) HARRISON ET AL		ET AL.
Office Action Summary	Examiner ARUN S. PHASGE	Art Unit 1756	AIA (First Inventor to File) Status No
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondend	e address
A SHORTENED STATUTORY PERIOD FOR REPLY THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ill apply and will expire SIX (6) MONTHS from to cause the application to become ABANDONEI	ely filed the mailing date of 0 (35 U.S.C. § 133)	this communication.
Status			
1) Responsive to communication(s) filed on			
A declaration(s)/affidavit(s) under 37 CFR 1.1			
2a) This action is <b>FINAL</b> . 2b) ☐ This		ot forth durin	a the interview on
3) An election was made by the applicant in responsible.  ; the restriction requirement and election	•		g the interview on
4) Since this application is in condition for allowan	•		o the merits is
closed in accordance with the practice under E	·		
Disposition of Claims*			
5) Claim(s) <u>21-41</u> is/are pending in the application	1.		
5a) Of the above claim(s) is/are withdraw	vn from consideration.		
6) Claim(s) is/are allowed.			
7) Claim(s) <u>21-41</u> is/are rejected.			
8) Claim(s) is/are objected to.			
9) Claim(s) are subject to restriction and/or	·		
* If any claims have been determined <u>allowable</u> , you may be eli participating intellectual property office for the corresponding ap			way program at a
http://www.uspto.gov/patents/init_events/pph/index.jsp or send	•		
		<del></del>	
Application Papers 10) ☐ The specification is objected to by the Examiner	•		
11) The drawing(s) filed on is/are: a) acce		Examiner.	
Applicant may not request that any objection to the c			a).
Replacement drawing sheet(s) including the correcti	= : :		•
Priority under 35 U.S.C. § 119			
12) ☐ Acknowledgment is made of a claim for foreign	priority under 35 U.S.C. § 119(a)	-(d) or (f).	
Certified copies:	,		
a) ☐ All b) ☐ Some** c) ☐ None of the:			
<ol> <li>Certified copies of the priority document</li> </ol>	s have been received.		
2. Certified copies of the priority document	• •	-	<del>-</del>
3. Copies of the certified copies of the prio	-	ed in this Nati	onal Stage
application from the International Bureau	` ` ` ` ` ` ` ` ` ` ` ` ` ` ` ` ` ` ` `		
** See the attached detailed Office action for a list of the certifie	a copies not receivea.		
Attachment(s)			
1) Notice of References Cited (PTO-892)	3) Interview Summary		
2) Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/S Paper No(s)/Mail Date <u>8/15/13</u> .	B/08b) Paper No(s)/Mail Da	te	

Art Unit: 1756

The present application is being examined under the pre-AIA first to invent

Page 2

provisions.

**DETAILED ACTION** 

Double Patenting

The nonstatutory double patenting rejection is based on a judicially created

doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the

unjustified or improper timewise extension of the "right to exclude" granted by a patent

and to prevent possible harassment by multiple assignees. A nonstatutory double

patenting rejection is appropriate where the claims at issue are not identical, but at least

one examined application claim is not patentably distinct from the reference claim(s)

because the examined application claim is either anticipated by, or would have been

obvious over, the reference claim(s). See, e.g., In re Berg, 140 F.3d 1428, 46 USPQ2d

1226 (Fed. Cir. 1998); In re Goodman, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir.

1993); In re Longi, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); In re Van Ornum,

686 F.2d 937, 214 USPQ 761 (CCPA 1982); In re Vogel, 422 F.2d 438, 164 USPQ 619

(CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d)

may be used to overcome an actual or provisional rejection based on a nonstatutory

double patenting ground provided the reference application or patent either is shown to

Application/Control Number: 13/874,004 Page 3

Art Unit: 1756

be commonly owned with this application, or claims an invention made as a result of

activities undertaken within the scope of a joint research agreement. A terminal

disclaimer must be signed in compliance with 37 CFR 1.321(b).

The USPTO internet Web site contains terminal disclaimer forms which may be

used. Please visit http://www.uspto.gov/forms/. The filing date of the application will

determine what form should be used. A web-based eTerminal Disclaimer may be filled

out completely online using web-screens. An eTerminal Disclaimer that meets all

requirements is auto-processed and approved immediately upon submission. For more

information about eTerminal Disclaimers, refer to

http://www.uspto.gov/patents/process/file/efs/guidance/eTD-info-I.jsp.

Claims 21-41 are rejected on the ground of nonstatutory double patenting as

being unpatentable over claims 1-20 of U.S. Patent No. 8,518,232. Although the claims

at issue are not identical, they are not patentably distinct from each other because the

claims of the instant application when read in light of the specification is clearly

encompassed and rendered obvious by the claims of the prior patent.

Claims 21-41 are rejected on the ground of nonstatutory double patenting as

being unpatentable over claims 1-20 of U.S. Patent No. 8,454,816. Although the claims

at issue are not identical, they are not patentably distinct from each other because the

Art Unit: 1756

claims of the instant application when read in light of the specification is clearly

encompassed and rendered obvious by the claims of the prior patent.

Claims 21-41 are provisionally rejected on the ground of nonstatutory double

patenting as being unpatentable over claims 32-47 of copending Application No.

13/943,395. Although the claims at issue are not identical, they are not patentably

distinct from each other because the claims of the instant application when read in light

of the specification is clearly encompassed and rendered obvious by the claims of the

copending application.

This is a provisional nonstatutory double patenting rejection because the

patentably indistinct claims have not in fact been patented.

Claims 21-41 are provisionally rejected on the ground of nonstatutory double

patenting as being unpatentable over claims 32-47 of copending Application No.

13/943,395. Although the claims at issue are not identical, they are not patentably

distinct from each other because the claims of the instant application when read in light

of the specification is clearly encompassed and rendered obvious by the claims of the

copending application.

This is a provisional nonstatutory double patenting rejection because the

patentably indistinct claims have not in fact been patented.

Page 4

Art Unit: 1756

Conclusion

Any inquiry concerning this communication or earlier communications from the

examiner should be directed to ARUN S. PHASGE whose telephone number is

(571)272-1345. The examiner can normally be reached on MONDAY-THURSDAY,

7:30-6:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Keith D. Hendricks can be reached on (571) 272-1401. The fax phone

number for the organization where this application or proceeding is assigned is 571-

273-8300.

Information regarding the status of an application may be obtained from the

Patent Application Information Retrieval (PAIR) system. Status information for

published applications may be obtained from either Private PAIR or Public PAIR.

Status information for unpublished applications is available through Private PAIR only.

For more information about the PAIR system, see http://pair-direct.uspto.gov. Should

you have questions on access to the Private PAIR system, contact the Electronic

Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a

USPTO Customer Service Representative or access to the automated information

system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/ARUN S PHASGE/ Primary Examiner, Art Unit 1756

Page 5

asp

Art Unit: 1756

Page 6

	Notice of References Cited			pplication/Control No.	Applicant(s Reexamina	s)/Patent Under	
			1	3/874,004	HARRISON		
	Notice of References Cited			E	xaminer	Art Unit	Daniel and
				A	RUN S. PHASGE	1756	Page 1 of 1
				U.S. PATE	ENT DOCUMENTS		
*		Document Number Country Code-Number-Kind Code	Date MM-YYYY		Name		Classification
*	Α	US-8,454,816	06-2013	Harrison e	t al.		205/540
*	В	US-8,518,232	08-2013	Harrison e	t al.		205/539
	С	US-					
	D	US-					
	Е	US-					
	F	US-					
	G	US-					
	Н	US-					
	1	US-					
	J	US-					
	К	US-					
	L	US-					
	М	US-					
				FOREIGN PA	ATENT DOCUMENTS		
*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Cou	ntry Na	ame	Classification
	N						
	0						
	Р						
	Q						
	R						
	S						
	Т						
-1-	1	<u> </u>			ENT DOCUMENTS		
*		Inclu	de as applicable	e: Author, Title	Date, Publisher, Edition or Volu	ıme, Pertinent Page	es)
	١.,						
	U						
	U						
	V						
	V						

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

#### WEST Search History for Application 13874004

Delete Selected Add More Searches Close eRed Folder Select All Plurals | Thesaurus | Query Database Operator | Date All Int. All P/A Prior Art PGPB, USPT, USOC, 12~ c22b47/00.cpc, or c22b19/00.cpc, or ...: EPAB, JPAB, DWPI, OR YES 23c25c1/10.cpc, or c25c1/16.cpc. TDBD, FPRS 2014 PGPB, USPT, USOC. (c22b47/00.cpc, or c22b19/00.cpc, or 12-.... EPAB, JPAB, DWPL OR YES c25c1/10.epc, or c25c1/16.cpc, ) and 23-TOBD, FPRS (manganese and zinc) 2014 (c22b47/00.cpc, or c22b19/00.cpc, or PGPB, USPT, USOC, 12-YES ...: c25c1/10.cpc, or c25c1/16.cpc.) and EPAB, JPAB, DWPI, OR 23~ TDBD. FPRS 2014 (manganese) (c22b47/00.cpc, or c22b19/00.cpc, or PGPB, USPT, USOC, 12-OR YES c25c1/10.cpc, or c25c1/16.cpc, and EPAB, JPAB, DWPI, 23-(manganese) ) and zinc TDBD, FPRS 2014 PGPB, USPT, USOC, 12-(c22b47/00.cpc, or c22b19/00.cpc, or .... c25c1/10.cpc, or c25c1/16.cpc, and EPAB, JPAB, DWPL OR YES 23-TDBD, FPRS 2014 (manganese) and zinc ) and silica PGPB, USPT, USOC. 12-...: EPAB, JPAB, DWPI, OR YES 23manganese or TOBO, FPRS 2014 PGPB, USPT, USOC, 12-EPAB, JPAB, DWPI, OB YES .... manganese or mn 23-TDBD, FPRS 2014 PGPB, USPT, USOC, 12~ .... EPAB, JPAB, DWPI. OR YES 23-(manganese or mn ) and (zinc or zn) TDBD, FPRS 2014 PGPB, USPT, USOC, 12-(manganese or mn and (zinc or zn) ) and ...: OR YES EPAB, JPAB, DWPL, 23~ silica TOBO, FPRS 2014 PGPB, USPT, USOC, 12-(manganese or mn and (zinc or zn) and .... EPAB, JPAB, DWPI, OB YES 23silica) and brine TDBD, FPRS 2014 (manganese or mn and (zinc or zn) and PGPB, USPT, USOC, 12~ ...: EPAB, JPAB, DWPL, OH. YES silica and brine ) and (manganese same 23-TD8D, FPRS 2014 (manganese or min and (zinc or zn) and PGPB, USPT, USOC, 12-...: silica and brine and (manganese same zinc) OR YES 23-EPAB, JPAB, DWPI, ) and iron TOBD, FPRS 2014

Close Help eRed Folder

## Search Notes 13874004 Examiner ARUN S PHASGE

Applicant(s)/Patent Under Reexamination
HARRISON ET AL.
Art Unit
1756

CPC- SEARCHED		
Symbol	Date	Examiner

CPC COMBINATION SETS - SEARCHED				
Symbol	Date	Examiner		

	US CLASSIFICATION SEARCHE	:D	
Class	Subclass	Date	Examiner
205	539, 540, 573, 602-608	12/21/14	asp

SEARCH NOTES		
Search Notes	Date	Examiner
WEST his attached	12/22/14	asp
inventor name searched in eDAN	12/22/14	asp

INTERFERENCE SEARCH					
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner		



#### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

#### **BIB DATA SHEET**

#### **CONFIRMATION NO. 8025**

SERIAL NUM		FILING OI DAT	r 371(c)   E		CLASS	GRO	OUP ART	UNIT	ATTO	PRNEY DOCKET NO.	
13/874,00	)4	04/30/2			205		1756		10	0001108.0097	
		RUL	E								
	APPLICANTS SIMBOL INC., Pleasanton, CA										
Stephen	INVENTORS Stephen Harrison, Benicia, CA; Samaresh Mohanta, San Diego, CA;										
** CONTINUING DATA **********************************											
** FOREIGN A	PPLICA	TIONS *****	*****	*****	*						
	** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** ** SMALL ENTITY ** 05/29/2013										
Foreign Priority claime		Yes No	│	tor	STATE OR		IEETS	тот		INDEPENDENT	
	ARUNS P	HASGE/	☐ Met af Allowa	ince	COUNTRY	DKA 	WINGS	CLAI		CLAIMS	
	Examiner's	Signature	Initials		CA		11	21		5	
ADDRESS											
DENTON P.O. Box											
Chicago,	IL 6060	6-7020									
UNITED	STATES	3									
TITLE	Daggin	ow, of Monoro		7: <b>-</b>	rana Caatharusal	Dulma	_				
Selective	Recove	ery or Mariga	nese and a	ZITIC FI	rom Geothermal	briries					
							☐ All Fe	es			
	FEES:	Authority has	s been give	n in P	aper		☐ 1.16 F	ees (Fil	ing)		
FILING FEE RECEIVED		•	•		EPOSIT ACCOU	NT	☐ 1.17 F	ees (Pr	ocessi	ing Ext. of time)	
	No	for	r following:	•			☐ 1.18 F	ees (Iss	sue)		
							Other				
							☐ Credit	t			

# Index of Claims 13874004 Examiner Application/Control No. Applicant(s)/Patent Under Reexamination HARRISON ET AL. Art Unit 1756

<b>✓</b>	Rejected	-	Cancelled	N	Non-Elected	A	Appeal
=	Allowed	÷	Restricted	I	Interference	0	Objected

☐ Claims	renumbered	in the same order	as presented by	applicant		□ СРА	□ т.п	D. 🗆	R.1.47
CLA	MIA				DATE				
Final	Original	12/22/2014							
	1	-							
	2	-							
	3	-							
	4	-							
	5	-							
	6	-							
	7	-							
	8	-							
	9	-							
	10	-							
	11	-							
	12	-							
	13	-							
	14	-							
	15	-							
	16	-							
	17	-							
	18	-							
	19	-							
	20	-							
	21	✓							
	22	✓							
	23	✓							
	24	<b>√</b>							
	25	<b>√</b>							
	26	<b>√</b>							
	27	<b>√</b>							
	28	<b>√</b>							
	29	<b>√</b>							
	30	<b>√</b>							
	31	<b>√</b>							
	32	<b>√</b>							
	33	<b>√</b>							
	34	<b>√</b>							
	35	✓							
	36	✓							

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	13874004	HARRISON ET AL.
	Examiner	Art Unit
	ARUN S PHASGE	1756

**Non-Elected** 

Α

**Appeal** 

Cancelled

Rejected

= /	Allowed	÷	Res	tricted	I	Interf	erence		0	Obje	ected
☐ Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.47											R.1.47
CL	AIM	DATE									
Final	Original	12/22/2014									
	37	✓									
	38	✓									
	39	<b>√</b>	·		·						
	40	./					l				1

Approved for use through 07/31/2012. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

#### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number		13874004		
Filing Date		2013-04-30		
First Named Inventor	HARF	RISON, S.		
Art Unit		1736		
Examiner Name N/A				
Attorney Docket Number		076165.000097		

				U.S.	PATENTS	
Examine Initial*	er Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
/A.P./	1	4016075		1977-04-05	Wilkins	
***************************************	2	4116856		1978-09-26	Lee et al.	
	3	4159311		1979-06-26	Lee et al.	
000000000000000000000000000000000000000	4.	4221767		1980-09-09	Lee et al.	
000000000000000000000000000000000000000	5	4291001		1981-09-22	Repsher et al.	
-	6	4347327		1982-08-31	Lee et al.	
000000000000000000000000000000000000000	7	4348295		1982-09-07	Burba, III	
/A.P./	8	4348296		1982-09-07	Bauman, et al.	

( Not for submission under 37 CFR 1.99)

Application Number		13874004		
Filing Date		2013-04-30		
First Named Inventor	HARF	RISON, S.		
Art Unit		1736		
Examiner Name	N/A			
Attorney Docket Number		076165.000097		

/A.P./	9	4348297	1982-09-07	Bauman, et al.	
	10	4376100	1983-03-08	Lee et al.	
000000000000000000000000000000000000000	11	4430311	1984-02-07	Lee et al.	
000000000000000000000000000000000000000	12	4461714	1984-07-24	Burba, III	·
000000000000000000000000000000000000000	13	4472362	1984-09-18	Burba, III	
-	14	4540509	1985-09-10	Burba, III	
	15	4727167	1988-02-23	Burba, III, et al.	
000000000000000000000000000000000000000	16	5135652	1992-08-04	Boateng	
000000000000000000000000000000000000000	17	5254225	1993-10-19	Gallup	
000000000000000000000000000000000000000	18	5389349	1995-02-14	Bauman et al.	
/A.P./	19	5599516	1997-02-04	Bauman et al.	

( Not for submission under 37 CFR 1.99)

Application Number		13874004		
Filing Date		2013-04-30		
First Named Inventor	HARF	RISON, S.		
Art Unit		1736		
Examiner Name N/A				
Attorney Docket Number		076165.000097		

/A.P./	20	6017500	2000-01-25	Mehta	
/A.P./	21	6280693	2001-08-28	Bauman et al.	
/A.P./	22	6458184	2002-10-01	Featherstone	
/A.P./	23	6555078	2003-04-29	Mehta	
/A.P./	24	6682644	2004-01-27	Feathersone et al.	
/A.P./	25	8454816	2013-06-04	Harrison et al.	

If you wish to add additional U.S. Patent citation information please click the Add button.

#### **U.S.PATENT APPLICATION PUBLICATIONS**

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
/A.P./	1	20010000597	A1	2001-05-03	Featherstone	
/A.P./	2	20030226761	A1	2003-12-11	Featherstone et al.	

If you wish to add additional U.S. Published Application citation information please click the Add button.

#### **FOREIGN PATENT DOCUMENTS**

( Not for submission under 37 CFR 1.99)

		T	., ,	
Application Number		13874004		
Filing Date 2013-0		2013-04-30		
First Named Inventor	HARF	RISON, S.		
Art Unit		1736		
Examiner Name N/A				
Attorney Docket Number		076165.000097		

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup> i	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T5		
120000000000000000000000000000000000000	***************************************	98884444888888888888888888888888888888	85-868000000000000 <del>00000000000000000000</del>	500000000000000000000000000000000000000	9559-95-95-95-95-95-95-95-95-95-95-95-95		4			
If you wish	n to ac	dd additional Foreign F	Patent Docume	ent citation	information p	lease click the Add butto	on <sub>,</sub>			
			NON-PA	TENT LITE	RATURE DO	CUMENTS				
Examiner nitials*  Cite No  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.										
/A.P./	1	COLE, et al., "Zinc Solv 2003, pp. 91-137	COLE, et al., "Zinc Solvent Extraction in the Process Industries," 24(2) Mineral Proc. & Extractive Metallurgy Rev, 2003, pp. 91-137							
/A.P./	2	DREISINGER et al., "New Developments in the Boleo Copper-Cobalt-Zinc-Manganese Project," available at http://bajamining.com/_resources/Reports/alta_paper_2006_boleo_final.pdf								
/A.P./	3	GOTFRYD, et al., "Recovery of Zinc(II) from Acidic Sulfate Solutions, Simulation of Counter-Current Extraction Stripping Process," 38 Physiochemical Problems of Mineral Processing, 2004, pp. 113-120								
/A.P./	4	KAWAI, et al., "Solvent extraction of zinc(II) and manganese(II) with 5,10,15,20-tetraphenyl-21H, 23H-porphine(TPP) through the metal exchange reaction of lead(II)-TPP," 7 Solvent Extr. Res. Dev. Japan 2000, pp. 36-43								
/A.P./	5	LEE, et al., "Solvent Extraction of Zinc from Strong Hydrochloric Acid Solution with Alamine 336," 30(7) Bull, Korean chem. Soc. 2009, pp. 1526-1530								
/A.P./	6	Co-pending application USSN 13/539,106, filed June 29, 2012								
200004000000	7	***************************************	99999999999999999999999999999999999999	000000000000000000000000000000000000000	000000090000000000000000000000000000000		©			

( Not for submission under 37 CFR 1.99)

Application Number		13874004	
Filing Date		2013-04-30	
First Named Inventor HARR		RISON, S.	
Art Unit		1736	
Examiner Name N/A			
Attorney Docket Number		076165.000097	

806000000000000000000000000000000000000	200000000000000000000000000000000000000		
If you wish to add ad	ditional non-patent literature document cita	ation information please click the Add butt	00
r you will to dud du		R SIGNATURE	
Examiner Signature	/Arun Phasge/	Date Considered	12/22/2014
	reference considered, whether or not cital ormance and not considered. Include copy		
Standard ST.3). 3 For Jap	TO Patent Documents at <a href="https://www.USPTO.GOV">www.USPTO.GOV</a> or MPER panese patent documents, the indication of the year of appropriate symbols as indicated on the document upon is attached.	of the reign of the Emperor must precede the serial n	number of the patent docume

( Not for submission under 37 CFR 1.99)

Application Number		13874004	
Filing Date		2013-04-30	
First Named Inventor	HARF	RISON, S.	,
Art Unit		1736	-
Examiner Name	N/A		
Attorney Docket Number		076165.000097	

	CERTIFICATION STATEMENT						
Plea	ase see 37 CFR 1	.97 and 1.98 to make the appropriate selecti	on(s):				
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).						
OR							
	foreign patent of after making rea any individual de	information contained in the information diffice in a counterpart foreign application, ar sonable inquiry, no item of information contains are signated in 37 CFR 1.56(c) more than the 7 CFR 1.97(e)(2).	nd, to the knowledge of th ained in the information di	e person signing the certification sclosure statement was known to			
	See attached ce	rtification statement.					
	The fee set forth	in 37 CFR 1.17 (p) has been submitted here	ewith.				
$\boxtimes$	A certification sta	atement is not submitted herewith.					
	SIGNATURE  A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.						
Sigi	nature	Karthika	Date (YYYY-MM-DD)	2013-08-15			
Nar	ne/Print	Karthika Perumal	Registration Number	69,296			
pub	lic which is to file	rmation is required by 37 CFR 1.97 and 1.98 (and by the USPTO to process) an application is estimated to take 1 hour to complete, inclu	on. Confidentiality is gover	rned by 35 U.S.C. 122 and 37 CFR			

application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria**,

VA 22313-1450.



#### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER PATENT NUMBER GROUP ART UNIT FILE WRAPPER LOCATION

13/874,004 1756



#### Correspondence Address/Fee Address Change

The following fields have been set to Customer Number 126930 on 11/18/2014
• Correspondence Address

The address of record for Customer Number 126930 is:

126930 DENTONS US LLP P.O. Box 061080 Chicago, IL 60606-7020



#### United States Patent and Trademark Office

United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
PO. Box 1450
Alexandria, Vignia 22313-1450
www.uspto.gov UNITED STATES DEPARTMENT OF COMMERCE

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE 13/874,004 04/30/2013 Stephen Harrison 076165.000097

26263 **DENTONS US LLP** P.O. BOX 061080 CHICAGO, IL 60606-1080

**CONFIRMATION NO. 8025** POA ACCEPTANCE LETTER



Date Mailed: 07/03/2014

#### NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 07/01/2014.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

/snguyen/				
		<u></u>		

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMI United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov UNITED STATES DEPARTMENT OF COMMERCE

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE 13/874,004 04/30/2013 Stephen Harrison

35979 Bracewell & Giuliani LLP P.O. Box 61389 Houston, TX 77208-1389

**CONFIRMATION NO. 8025 POWER OF ATTORNEY NOTICE** 



Date Mailed: 07/03/2014

076165.000097

#### NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 07/01/2014.

• The Power of Attorney to you in this application has been revoked by the applicant. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

/snguyen/			
		_	 

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

#### POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

		ke all previous powers R 3.73(c).	of attorney	given	in the applicat	ion identified in th	e attached statement	
	by appo							
	Practi	actitioners associated with Customer Number: 26263						
	OR	20203						
	Practi	tioner(s) named below (if mor	re than ten pate	nt practi	tioners are to be r	named, then a custom	er number must be used):	
		Name		tration mber		Name	Registration Number	
	-							
					-			
any and	l all pate		to the undersign				fice (USPTO) in connection with ds or assignments documents	
Please	change t	he correspondence address	for the application	on identi	ified in the attache	ed statement under 37	' CFR 3.73(c) to:	
	The a	ddress associated with Custo	mer Number:	26	263			
OR	irm or	************						
	ndividual	Name						
	ddress		_		Г			
{	ity		_		State		Zip	
	Country				- 1			
Ī	elephone	9			Email			
Assignee Name and Address: Simbol Inc. 6920 Koll Center Parkway, Suite 216 Pleasanton, CA 94566								
A copy of this form, together with a statement under 37 CFR 3.73(c) (Form PTO/AIA/96 or equivalent) is required to be Filed in each application in which this form is used. The statement under 37 CFR 3.73(c) may be completed by one of The practitioners appointed in this form, and must identify the application in which this Power of Attorney is to be filed.								
	The	individual whose signatur			ssignee of Red below is autho		alf of the assignee	
Signate	иге	Z. Now	roon			Date May 7	30th 2014	
Name		Stephen Harrison				Telephone 925.	226.7418	
Title		Chief Technology Officer & Vice President						

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

2	STATEMENT UNDER 37 CFR 3.73(c)
Applicant/Patent Owner: Simbol Inc.	
Application No./Patent No.: 13/874,004	Filed/Issue Date: April 30, 2013
	ese and Zinc From Geothermal Brines
Simbol Inc.	<sub>, a</sub> corporation
(Name of Assignee)	(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)
states that, for the patent application/pate	nt identified above, it is (choose one of options 1, 2, 3 or 4 below):
1. The assignee of the entire right, ti	tle, and interest.
2. An assignee of less than the entire	e right, title, and interest (check applicable box):
	ts ownership interest is
	ages of ownership. The other parties, including inventors, who together own the entire
right, title and interest are:	
Additional Statement(s) by the right, title, and interest.	owner(s) holding the balance of the interest <u>must be submitted</u> to account for the entire
	rest in the entirety (a complete assignment from one of the joint inventors was made). o together own the entire right, title, and interest are:
Additional Statement(s) by the cright, title, and interest.	owner(s) holding the balance of the interest <u>must be submitted</u> to account for the entire
	ng or the like ( $e.g.$ , bankruptcy, probate), of an undivided interest in the entirety (a as made). The certified document(s) showing the transfer is attached.
The interest identified in option 1, 2 or 3 a	bove (not option 4) is evidenced by either (choose one of options A or B below):
	s) of the patent application/patent identified above. The assignment was recorded in demark Office at Reel _031426, Frame _0133, or for which a copy
B. A chain of title from the inventor(s	), of the patent application/patent identified above, to the current assignee as follows:
1. From:	To:
	orded in the United States Patent and Trademark Office at
Reel, Fr	rame, or for which a copy thereof is attached.
	To:
	orded in the United States Patent and Trademark Office at
Reel, Fr	rame, or for which a copy thereof is attached.

[Page 1 of 2]
This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

		STATEME	NT UNDER 37 CFR 3.73	<u>3(c)</u>
3. From:			To:	
			United States Patent and Trad	
	Reel	, Frame	, or for which a copy t	hereof is attached.
4. From:			To:	
	The docume	nt was recorded in the	United States Patent and Trad	lemark Office at
	Reel	, Frame	, or for which a copy t	hereof is attached.
5. From:			To:	
	The docume	nt was recorded in the	United States Patent and Trad	lemark Office at
	Reel	, Frame	, or for which a copy t	hereof is attached.
6. From:			To:	
			United States Patent and Trad	
	Reel	, Frame	, or for which a copy t	hereof is attached.
☐ Ac	dditional documents	s in the chain of title are	e listed on a supplemental she	et(s).
			mentary evidence of the chain tted for recordation pursuant to	of title from the original owner to the o 37 CFR 3.11.
				ent(s)) must be submitted to Assignment records of the USPTO. See MPEP 302.08]
The undersig	gned (whose title is	s supplied below) is aut	horized to act on behalf of the	assignee.
/Heather	Khassian/			July 1, 2014
Signature				Date
Heathe	r Khassian			55194
Printed or Typed Name Title or Registration Number				

[Page 2 of 2]

#### Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Acknowledgement Receipt				
EFS ID:	19462125			
Application Number:	13874004			
International Application Number:				
Confirmation Number:	8025			
Title of Invention:	Selective Recovery of Manganese and Zinc From Geothermal Brines			
First Named Inventor/Applicant Name:	Stephen Harrison			
Customer Number:	35979			
Filer:	Heather Megan Khassian/Debra Odum			
Filer Authorized By:	Heather Megan Khassian			
Attorney Docket Number:	076165.000097			
Receipt Date:	01-JUL-2014			
Filing Date:	30-APR-2013			
Time Stamp:	08:26:39			
Application Type:	Utility under 35 USC 111(a)			

#### **Payment information:**

Submitted with Payment	no
------------------------	----

#### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Power of Attorney	20140701 Power of Attorney.pdf	31601 7b547bd5ba65234f50c03113a6d52444f18	no	1
			65ac1		

#### Warnings:

Information:	ESM / PETITIONER EX 1002 102

2	Assignee showing of ownership per 37 CFR 3.73.	20140701 Assignee Statement U nder 37 DFR 373.pdf	84368	no	3	
			df72c5ea9633778a0c5615177c6709d082f5 2962			
Warnings:						
Information:						
		Total Files Size (in bytes):	1	15969		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



35979

#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEPARTMENT OF COMMI United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

Bracewell & Giuliani LLP

Houston, TX 77208-1389

P.O. Box 61389

FILING OR 371(C) DATE

FIRST NAMED APPLICANT Stephen Harrison

ATTY. DOCKET NO./TITLE 076165.000097

13/874,004 04/30/2013

**CONFIRMATION NO. 8025** 

POA ACCEPTANCE LETTER



Date Mailed: 10/24/2013

#### NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 10/17/2013.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

/hsarwari/			

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

#### POWER OF ATTORNEY BY APPLICANT

This Power of Attorney by Applicant is directed to the application titled SELECTIVE
RECOVERY OF MANGANESE AND ZINC FROM GEOTHERMAL BRINES,
the application of which is attached hereto;
X the US Application No. 13/874,004 filed on April 30, 2013; or
the PCT international application number filed on
I hereby revoke all previous powers of attorney given in the above-identified application.
I hereby appoint the practitioners associated with Bracewell & Giuliani LLP's U.S. Patent
and Trademark Office Customer No. 35979 as my/our attorney(s) or agent(s), and to transact all
business in the U.S. Patent and Trademark Office connected therewith for the application
referenced above.
Please direct all correspondence to the address associated with:
U.S. Patent and Trademark Office Customer No. 35979
In the event of questions, the attorney in charge can be reached at michaels@bgllp.com
or (713) 221.1112.
I am the Applicant's Assignee or Person to Whom the Inventor is Under an Obligation to
Assign.
SIGNATUR DATE: September 25 <sup>th</sup> 2013
SIGNATUR DATE: September 25 <sup>th</sup> 2013
NAME: Stephen Harrison
TITLE: Chief Technology Officer & Vice-President

COMPANY: Simbol Inc.

#### **DECLARATION FOR PATENT APPLICATION**

As a below	v named inventor, I he	reby declare that:		
		the application titled <b>EOTHERMAL BRIN</b>		ECOVERY OF
	the application of wh	nich is attached hereto;		
_ <u>X</u>	the US Application 1	No. 13/874,004 filed on	April 30, 2013; d	or
	the PCT internationa	l application number _	filed on _	
My residen	nce and post office add	dress are as stated below	w my name.	
The above	-identified application	was made or authorize	d to be made by 1	me.
I believe invention in the ap	-	al inventor or an orig	inal joint invent	or of a claimed
I acknowl application, include	•	ew and understand the	e contents of the	above-identified
	•	ose information that is a Code of Federal Regul		
I hereby c States application		er Title 35, United Sta	tes Code, § 119(	e) of any United
NUMBER	DATE FI	LED		
12/880,924 61/241,47	-			
foreign applicatio below any foreign	n(s) for patent or inv	penefits under Title 35, rentor's certificate liste t or inventor's certificate aimed.	d below and have	e also identified
	PRIOR FO	REIGN APPLICATION	ON(S)	
NUMBER	COUNTRY	DATE FILED	PRIORITY (	CLAIMED
	ters and the constitute is the state and the state of the	· .	YES	NO
I hereby c	aim the benefit under	Title 35 United States	Code 8 120 of a	any United States

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application

is not disclosed in any prior United States application in the manner provided by the first paragraph of Title 37, Code of Federal Regulations, § 1.56(a), that occurred between the filing date of the prior application and the national or PCT international filing date of this application:

U.S. Parent Application No: 61/241,479 Filing Date: September 11, 2009 PCT Parent Application No: \_\_\_\_\_ Filing Date: \_\_\_\_\_

I hereby acknowledge that any willful false statement made in this Declaration is punishable under Title 18 of United States Code § 1001 by fine or imprisonment of not more than five (5) years, or both.

Please direct all correspondence to the address associated with:

U.S. Patent and Trademark Office Customer Number 35979

In the event of questions, the attorney in charge can be reached at MichaelS@bgllp.com or (713) 221.1112.

INVENTOR'S NAME: Stephen Harrison

SIGNATURE:

DATE: 25/

RESIDENCE: 134 Panorama Drive, Benicia, CA 94510

POST OFFICE ADDRESS: SAME

#### DOCKET NO. 076165.000097

INVENTOR'S NAME: Samaresh Mohanta

SIGNATURE:

DATE:  $\frac{9/25/2013}{}$ 

RESIDENCE: 2410 Brandini Dr., Dublin, CA 94568

POST OFFICE ADDRESS: SAME

Electronic Acknowledgement Receipt					
EFS ID:	17154969				
Application Number:	13874004				
International Application Number:					
Confirmation Number:	8025				
Title of Invention:	Selective Recovery of Manganese and Zinc From Geothermal Brines				
First Named Inventor/Applicant Name:	Stephen Harrison				
Customer Number:	35979				
Filer:	Karthika Perumal/Debra Odum				
Filer Authorized By:	Karthika Perumal				
Attorney Docket Number:	076165.000097				
Receipt Date:	17-OCT-2013				
Filing Date:	30-APR-2013				
Time Stamp:	14:56:34				
Application Type:	Utility under 35 USC 111(a)				

# **Payment information:**

# File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Power of Attorney	20131017PowerofAttorney.pdf		no	1
			dd447876d9eb4c74e3d5fc9e218d3351ac2 6f3c2		

## Warnings:

Information:	FSM / PETITIONER EX 1002 100
	PSWL/PELLIUMER EX 1007 109

2	Oath or Declaration filed	20131017 Declaration.pdf	44453	no	3			
2	outror becardion med	•	57a3a35fc6da4c44ab7151ff602114125987 7a79					
Warnings:	Warnings:							
Information:								
		Total Files Size (in bytes):		7869				

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE

13/874,004 04/30/2013 Stephen Harrison

076165.000097 CONFIRMATION NO. 8025

PUBLICATION NOTICE

35979 Bracewell & Giuliani LLP P.O. Box 61389 Houston, TX 77208-1389

**Title:**Selective Recovery of Manganese and Zinc From Geothermal Brines

Publication No.US-2013-0236378-A1 Publication Date:09/12/2013

#### NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publically available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently http://www.uspto.gov/patft/.

The publication process established by the Office does not provide for mailing a copy of the publication to applicant. A copy of the publication may be obtained from the Office upon payment of the appropriate fee set forth in 37 CFR 1.19(a)(1). Orders for copies of patent application publications are handled by the USPTO's Office of Public Records. The Office of Public Records can be reached by telephone at (703) 308-9726 or (800) 972-6382, by facsimile at (703) 305-8759, by mail addressed to the United States Patent and Trademark Office, Office of Public Records, Alexandria, VA 22313-1450 or via the Internet.

In addition, information on the status of the application, including the mailing date of Office actions and the dates of receipt of correspondence filed in the Office, may also be accessed via the Internet through the Patent Electronic Business Center at www.uspto.gov using the public side of the Patent Application Information and Retrieval (PAIR) system. The direct link to access this status information is currently http://pair.uspto.gov/. Prior to publication, such status information is confidential and may only be obtained by applicant using the private side of PAIR.

Further assistance in electronically accessing the publication, or about PAIR, is available by calling the Patent Electronic Business Center at 1-866-217-9197.

Office of Data Managment, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

Approved for use through 07/31/2012. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Ap	Application Number		13874004	
Fil	Filing Date		2013-04-30	
Fir	st Named Inventor	HARF	RISON, S.	
Ar	Art Unit		1736	
Ex	aminer Name	N/A		
At	Attorney Docket Number		076165.000097	

	U.S.PATENTS							
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear		
	1	4016075		1977-04-05	Wilkins			
	2	4116856		1978-09-26	Lee et al.			
	3	4159311		1979-06-26	Lee et al.			
	4.	4221767		1980-09-09	Lee et al.			
	5	4291001		1981-09-22	Repsher et al.			
	6	4347327		1982-08-31	Lee et al.			
	7	4348295		1982-09-07	Burba, III			
	8	4348296		1982-09-07	Bauman, et al.			

( Not for submission under 37 CFR 1.99)

Application Number		13874004		
Filing Date		2013-04-30		
First Named Inventor	HARF	RISON, S.		
Art Unit		1736		
Examiner Name	N/A			
Attorney Docket Number		076165.000097		

9	4348297	1982-09-07	Bauman, et al.	
10	4376100	1983-03-08	Lee et al.	
11	4430311	1984-02-07	Lee et al.	
12	4461714	1984-07-24	Burba, III	
13	4472362	1984-09-18	Burba, III	
14	4540509	1985-09-10	Burba, III	
15	4727167	1988-02-23	Burba, III, et al.	
16	5135652	1992-08-04	Boateng	
17	5254225	 1993-10-19	Gallup	
18	5389349	1995-02-14	Bauman et al.	
19	5599516	1997-02-04	Bauman et al.	

( Not for submission under 37 CFR 1.99)

Application Number		13874004		
Filing Date		2013-04-30		
First Named Inventor	HARRISON, S.			
Art Unit	1736			
Examiner Name	N/A			
Attorney Docket Numb	er	076165.000097		

20	6017500	2000-01-25	Mehta	
21	6280693	2001-08-28	Bauman et al.	
22	6458184	2002-10-01	Featherstone	
23	6555078	2003-04-29	Mehta	
24	6682644	2004-01-27	Feathersone et al.	
25	8454816	2013-06-04	Harrison et al.	

If you wish to add additional U.S. Patent citation information please click the Add button.

### **U.S.PATENT APPLICATION PUBLICATIONS**

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	20010000597	A1	2001-05-03	Featherstone	
	2	20030226761	A1	2003-12-11	Featherstone et al.	

If you wish to add additional U.S. Published Application citation information please click the Add button.

#### **FOREIGN PATENT DOCUMENTS**

( Not for submission under 37 CFR 1.99)

Application Number		13874004			
Filing Date		2013-04-30			
First Named Inventor	HARF	RISON, S.			
Art Unit		1736			
Examiner Name	N/A				
Attorney Docket Number		076165.000097			

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup> i	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	<b>T</b> 5	
	1								
If you wish	n to ac	dd additional Foreign P	atent Documen	t citation	information p	lease click the Add butto	'n	1	
	,		NON-PATE	NT LITE	RATURE DO	CUMENTS			
Examiner Initials*	Examiner Initials*  Cite No  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.								
	1	COLE, et al., "Zinc Solv 2003, pp. 91-137	ent Extraction in t	he Proce	ss Industries," 2	24(2) Mineral Proc. & Extrac	ctive Metallurgy Rev,		
	2	DREISINGER et al., "No bajamining.com/_resou				balt-Zinc-Manganese Proje I.pdf	ct," available at http://		
	3					ons, Simulation of Counter-0 essing, 2004, pp. 113-120	Current Extraction		
	4	KAWAI, et al., "Solvent extraction of zinc(II) and manganese(II) with 5,10,15,20-tetraphenyl-21H, 23H-porphine(TPP) through the metal exchange reaction of lead(II)-TPP," 7 Solvent Extr. Res. Dev. Japan 2000, pp. 36-43							
	5	LEE, et al., "Solvent Extraction of Zinc from Strong Hydrochloric Acid Solution with Alamine 336," 30(7) Bull, Korean chem. Soc. 2009, pp. 1526-1530							
	6	Co-pending application	USSN 13/539,106	6, filed Ju	ne 29, 2012				
	7					·			

## Application Number 13874004 2013-04-30 Filing Date INFORMATION DISCLOSURE First Named Inventor HARRISON, S. STATEMENT BY APPLICANT Art Unit 1736 ( Not for submission under 37 CFR 1.99) **Examiner Name** N/A Attorney Docket Number 076165.000097 8 If you wish to add additional non-patent literature document citation information please click the Add button **EXAMINER SIGNATURE Examiner Signature Date Considered** \*EXAMINER; Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant,

<sup>&</sup>lt;sup>1</sup> See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

( Not for submission under 37 CFR 1.99)

Application Number		13874004			
Filing Date		2013-04-30			
First Named Inventor	HARF	RISON, S.			
Art Unit		1736			
Examiner Name	N/A				
Attorney Docket Number		076165.000097			

		CERT	FICATION STATEMENT				
Plea	ase see 37 CFR 1	.97 and 1.98 to make the appropri	ate selection(s):				
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).						
OR	<b>.</b>						
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).						
	See attached ce	rtification statement.					
	The fee set forth	in 37 CFR 1.17 (p) has been sub-	mitted herewith.				
$\boxtimes$	A certification sta	atement is not submitted herewith.					
	ignature of the ap of the signature.	plicant or representative is require	SIGNATURE ed in accordance with CFR 1.33, 10.1	18. Please see CFR 1.4(d) for the			
Sigr	nature	Karthika	Date (YYYY-MM-DD)	2013-08-15			
Nar	ne/Print	Karthika Perumal	Registration Number	69,296			
	D -11 - 6 - 6	07.050.4.0	7 and 4 00. The information is require				

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

Electronic Acknowledgement Receipt					
EFS ID:	16603089				
Application Number:	13874004				
International Application Number:					
Confirmation Number:	8025				
Title of Invention:	Selective Recovery of Manganese and Zinc From Geothermal Brines				
First Named Inventor/Applicant Name:	Stephen Harrison				
Customer Number:	35979				
Filer:	Karthika Perumal/Debra Odum				
Filer Authorized By:	Karthika Perumal				
Attorney Docket Number:	076165.000097				
Receipt Date:	15-AUG-2013				
Filing Date:	30-APR-2013				
Time Stamp:	16:28:26				
Application Type:	Utility under 35 USC 111(a)				

# **Payment information:**

Submitted with Payment	no
------------------------	----

# File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement (IDS)	2013 0815 Information Disclosur	95033	no	6
1	Form (SB08)	eStatement.pdf	9cadca293fc99f1533b1a32d6162c7a14fe7 64d0		

## **Warnings:**

Information: ESM / PETITIONER EX 1002\_118

This is not an USPT	O supplied IDS fillable form				
2	Non Patent Literature	Cole.PDF	95639	no	2
2	Non ratem Enclarate	Colc.i Di	90d04a8ff75ca8e6f5a7b0a8ff4fa0f4d25fc0 70	110	_
Warnings:					
Information:					
3	Non Patent Literature	Dreisinger.PDF	788901	no	14
			ec2d63398d93b498c2f47a3bc17cb714f750 49b0		
Warnings:					
Information:					
4	Non Patent Literature	Gotfryd.PDF	355585	no	8
·	1 North atent Enterature	John J.	edd83617d190f2ee75f848a202c4f24e0eda ae9a		
Warnings:					
Information:					
5	Non Patent Literature	Kawai.PDF	347015	no	8
			20861b24f1c61bf35e69302ccfb9fa7ae2565 a72		
Warnings:					
Information:					
6	Non Patent Literature	Lee.PDF	350404	no	5
	Non Fatent Enclature	Ecci Di	2720c89edd9cafb37e600638b5be9ee5e28 2afa5		5
Warnings:					
Information:					
		Total Files Size (in bytes)	203	32577	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Application Data Sheet 37 CFR 1.7				176	Attorney Docket Number			076165.000097				
Applicat	מש ווטוו	a 3116	et 31 CFK	1.70	Application	n Nun	nber	13/87	4,004			
Title of Inv	ention											
bibliographic This docume	data arrar nt may be	nged in a f e complet	t of the provisional format specified be ded electronically cluded in a paper	y the Uni and subr	ited States Par mitted to the 0	tent and	Trademark C	Office as outlin	ned in 37 (	CFR 1.76.		
Secrecy	Orde	r 37 (	CFR 5.2									
			olication associ ers only. Appli									suant to
Invento				Cations	that an un	401 00	orcey Orde	or may not	be med	CICOHOIN	zany.)	
		matic	)11. 	.,					Re	emove		
Inventor Legal Nan	1 1e								[11.00.00000]			
Prefix G	ven Na	me		Mi	iddle Name	)		Family I	Name			Suffix
										<u>-</u>		
	e Inforr	nation (	Select One)		Residency	$\overline{}$	Non US Re		<del></del>	e US Milita	ary Service	<b>3</b>
City				State	Province	l	Count	ry of Resid	dence			<del></del>
Mailing Ad	dress o	f Invent	or;									
Address '											· · · · · · · · · · · · · · · · · · ·	
Address	2							···				
City							State/Pro	vince				
Postal Co	de					Cour	ntry i					
			isted - Additi by selecting t			ormatio	on blocks	may be		Add	935 335	
Corresp	onde	nce Ir	nformatio	n:								
			umber or cor see 37 CFR 1.		the Corres	ponde	ence Infor	mation sec	ction be	low.		
An A	ddress	is being	provided fo	r the co	orresponde	ence li	nformation	n of this a	plication	on.		
Custome	Numbe	er	35979									
Email Add	iress		docketing@b	gllp.con	n				- Add E	mail	Remove	Email
Applica	tion I	nforn	nation:									
Title of th	e Invent	tion						, ,				
Attorney	Docket	Numbe	r 076165.0000	097			Small En	tity Status	Claime	ed 🛚		
Application	n Type					· · · · · ·						
Subject M	atter								WAR OTHER DESIGNATION OF THE PERSON OF THE P			
Total Nun	ber of	Drawing	g Sheets (if a	ny)			Suggest	ted Figure	for Pub	lication	(if any)	

Application Data	Shoot 37 CED 1 76	Attorney Docket Number	076165.000	097					
Application Data Sheet 37 CFR 1		Application Number	13/874,	004					
Title of Invention	Title of Invention								
Publication Info	ormation:								
Request Early Pul	blication (Fee required a	t time of Request 37 CFR 1.2	219)						
35 U.S.C. 122(b) a subject of an appli	and certify that the inver	eby request that the attached ntion disclosed in the attache buntry, or under a multilatera	d application	has not and will not be the					
Representative	Information:								
this information in the App Either enter Customer No	plication Data Sheet does r	ot constitute a power of attorne presentative Name section belo	y in the applica	ney in the application. Providing ation (see 37 CFR 1.32). tions are completed the customer					
Please Select One:	Customer Number	US Patent Practition	er O Lir	mited Recognition (37 CFR 11.9)					
Customer Number	35979			1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 -					
National Stage entry from	the applicant to either cloom a PCT application.	Information:  aim benefit under 35 U.S.C.  Providing this information in the or 120, and 37 CFR 1.78.							
Prior Application Sta	atus			Remove					
Application Numbe	r Continuity	Type Prior Applicat	ion Number	Filing Date (YYYY-MM-DD)					
Prior Application Sta	itus			Remove					
Application Numbe	r Continuity	Type Prior Applicat	ion Number	Filing Date (YYYY-MM-DD)					
12880924	non provisional of	61241479		2009-09-11					
Additional Domestic Be by selecting the <b>Add</b> b		ta may be generated within t	his form						

## **Foreign Priority Information:**

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(d). When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX)<sup>i</sup> the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(h)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

Application Data Sheet 37 CFR 1.76		Attorney Docket Number		076165.000097		
Application Da	ia Sile	et 37 OFK 1.70	Applic	ation Number	13/874,	004
Title of Invention						
						Remove
Application Nur	mber	Country <sup>i</sup>		Filing Date (YYYY-	-MM-DD)	Access Code <sup>i</sup> (if applicable
Additional Foreign <b>Add</b> button.	Priority	Data may be gener	ated wit	hin this form by sele	ecting the	
	der 37	7 CFR 1.55 or	1.78 1	for AIA (First I	nventor t	to File) Transition
Applications						
						n 16, 2013 and (2) also e filing date on or after March
			<del></del>			
Δuthorization	to Pe	ermit Access:				
			nt Appli	cation by the Particip	ating Offices	
<del></del>					<del>-</del>	00 (EDO)
he Japan Patent Offic and any other intellect s filed access to the in does not wish the EPC	e (JPO), ual prope stant pat ), JPO, K	the Korean Intellectual rty offices in which a fo ent application. See 37 IPO, WIPO, or other in	Property preign ap CFR 1. Itellectua	plication claiming priori 14(c) and (h). This box	orld Intellectual ity to the instan should not be th a foreign app	Property Office (WIPO),
	ıt applicat	ion-as-filed; 2) any for	eign appl the foreig	to a copy of the instantication to which the instantion application that satis	tant patent app fies the certifie	olication
claims priority under 3	n filed in t	ne instant patent appli	cation; ar	nd 3) any U.S. applicati	on-as-filed fron	
claims priority under 3 37 CFR 1.55 has beer sought in the instant p	n filed in ti atent app	ne instant patent application.				n which benefit is
claims priority under 3 37 CFR 1.55 has beer sought in the instant p	n filed in ti atent app	ne instant patent application.		nd 3) any U.S. applicati		n which benefit is

# **Applicant Information:**

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

PTO/AIA/14 (03-13)
Approved for use through 01/31/2014. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number		076165.000097		
Application Data C	Sheet 37 CFK 1.70	Application Number 13/874,004				
Title of Invention						
			:			
Applicant 1						
If the applicant is the inventage of the information to be proving 1.43; or the name and addressed who otherwise shows sufficapplicant under 37 CFR 1.4 proprietary interest) together identified in this section.	ded in this section is the na ress of the assignee, persor cient proprietary interest in t 46 (assignee, person to who	me and address n to whom the in he matter who is om the inventor is	of the legal reventor is und the applicant to the applicant soligated to	epresentative who is the er an obligation to assign t under 37 CFR 1.46. If the assign, or person who o	applicant under 37 CFR the invention, or person ne applicant is an therwise shows sufficient	
Assignee	◯ Legal Re	epresentative un	der 35 U.S.C	2. 117 Join	at Inventor	
Person to whom the inv	entor is obligated to assign.		Perso	on who shows sufficient p	roprietary interest	
If applicant is the legal re	epresentative, indicate th	e authority to f	l ile the pater	t application, the inver	itor is:	
Name of the Deceased	or Legally Incapacitated	Inventor :				
	rganization check here.					
Prefix	Given Name	Middle Name	<b>9</b>	Family Name	Suffix	
Mailing Address Infor	rmation For Applicant:					
Address 1	mation 1 of Applicant.					
Address 2						
City			State/Prov	/ince		
Country			Postal Cod	le		
Phone Number			Fax Numb	er		
Email Address						
Additional Applicant Dat	a may be generated with	in this form by	selecting th	e Add button.		
Non-Applicant A	Assignee Informa	ition:				
Providing assignment infor have an assignment record		not subsitute for	compliance v	vith any requirement of p	art 3 of Title 37 of CFR to	
Assignee 1						
accordance with 37 CFR 1	if non-applicant assignee in .215(b). Do not include in thigh, or person who otherwis plicant(s).	nis section an ap	plicant under	37 CFR 1.46 (assignee,	person to whom the	

Application Data Sheet 37 CFR 1.76		Attorney Docket Number		076165	076165.000097		
Application	Data Silet	1.70 CFK 1.70	Application Number		13/8	13/874,004	
Title of Invention	Title of Invention						
If the Assignee i	is an Organi	zation check here.					
Prefix	Giv	/en Name	Middle Nam	ne	Family N	ame	Suffix
							A A A A A A A A A A A A A A A A A A A
Mailing Addres	s Informati	on For Non-Applic	ant Assignee	k T		· · · · · · · · · · · · · · · · · · ·	<u> </u>
Address 1				***************************************			
Address 2	-						
City				State/Prov	vince		
Country				Postal Cod	de		
Phone Number				Fax Number			
Email Address							
Additional Assign	Additional Assignee Data may be generated within this form by selecting the Add button.						
Signature:	Signature:						
NOTE: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4 for signature requirements and certifications.							
Signature	Ka	ethika	,		Date	(YYYY-MM-D	D) 2013-06-11
First Name K	(arthika	Last Name	Perumal		Regist	ration Numbe	r 69296
Additional Signature may be generated within this form by selecting the Add button.							

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

Electronic Acl	Electronic Acknowledgement Receipt				
EFS ID:	16005704				
Application Number:	13874004				
International Application Number:					
Confirmation Number:	8025				
Title of Invention:	Selective Recovery of Manganese and Zinc From Geothermal Brines				
First Named Inventor/Applicant Name:	Stephen Harrison				
Customer Number:	35979				
Filer:	Karthika Perumal/Debra Odum				
Filer Authorized By:	Karthika Perumal				
Attorney Docket Number:	076165.000097				
Receipt Date:	11-JUN-2013				
Filing Date:	30-APR-2013				
Time Stamp:	14:30:22				
Application Type:	Utility under 35 USC 111(a)				

# **Payment information:**

Submitted with Payment	no
------------------------	----

# File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Application Data Sheet	20130611 Supplemental Applica tion Data Sheet.pdf	123988 721a25aa2f89357808cc61925b0ebb51fa31 6a19	no	5

## Warnings:

Information: ESM / PETITIONER EX 1002\_125

This is not an USPTO supplied ADS fillable form		
	Total Files Size (in bytes):	123988

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875								Application or Docket Number 13/874,004			
	APPLIC	CATION AS			olumn 2)	SI	MALL I	ENTITY	OR	OTHEF SMALL	R THAN ENTITY
	FOR	NUMBE	R FILE	O NUMBI	ER EXTRA	RATE	(\$)	FEE(\$)		RATE(\$)	FEE(\$)
	IC FEE FR 1.16(a), (b), or (c))	N	/A		N/A	N/A	,	70	1	N/A	
	RCH FEE FR 1.16(k), (i), or (m))	N	/A		N/A	N/A	,	300	1	N/A	
	MINATION FEE FR 1.16(o), (p), or (q))	N	/A		N/A	N/A	١	360		N/A	
	AL CLAIMS FR 1.16(i))	21	minus	20= *	1	× 40	=	40	OR		
	PENDENT CLAIMS FR 1.16(h))	5	minus	3 = *	2	× 210	) =	420			
APPLICATION SIZE FEE (37 CFR 1.16(s))  If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).							0.00				
MUI	TIPLE DEPENDENT	CLAIM PRE	SENT (3	7 CFR 1.16(j))				0.00			
' If t	ne difference in colun	mn 1 is less th	an zero,	enter "0" in colu	ımn 2.	ТОТА	'L	1190	1	TOTAL	
		(Column 1)		(Column 2)	(Column 3)	SI	MALL	ENTITY	OR	OTHEF SMALL	R THAN ENTITY
AENT A	A Total	(Column 1) CLAIMS REMAINING AFTER MENDMENT	Minus	(Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Column 3)  PRESENT EXTRA	SI RATE(		ENTITY  ADDITIONAL  FEE(\$)	OR		
NUMENI A	Total (37 CFR 1.16(i)) Independent *	CLAIMS REMAINING AFTER	Minus Minus	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE(	(\$)	ADDITIONAL		SMALL RATE(\$)	ENTITY ADDITIONA
AMENDMEN A	Total *	CLAIMS REMAINING AFTER MENDMENT	Minus	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE(	(\$)	ADDITIONAL	OR	SMALL  RATE(\$)  x =	ENTITY ADDITIONA
AMENDMENI A	Total (37 CFR 1.16(h))  Independent (37 CFR 1.16(h))	CLAIMS REMAINING AFTER AMENDMENT	Minus	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE(	(\$)	ADDITIONAL	OR	SMALL  RATE(\$)  x =	ENTITY ADDITIONA
AMENDMENT A	Total (37 CFR 1.16(i))  Independent (37 CFR 1.16(h))  Application Size Fee (3	CLAIMS REMAINING AFTER AMENDMENT	Minus	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE(	= =	ADDITIONAL	OR OR	SMALL  RATE(\$)  x =	ENTITY ADDITIONA
AMENDMENT A	Total (37 CFR 1.16(i))  Independent (37 CFR 1.16(h))  Application Size Fee (3	CLAIMS REMAINING AFTER MENDMENT  37 CFR 1.16(s))  ON OF MULTIPL  (Column 1)	Minus	HIGHEST NUMBER PREVIOUSLY PAID FOR  **  DENT CLAIM (37	PRESENT EXTRA	RATE( x x	= =	ADDITIONAL	OR OR OR	SMALL  RATE(\$)  x =  x =	ENTITY ADDITIONA
B AMENDMENT	Total (37 CFR 1.16(i))  Independent (37 CFR 1.16(h))  Application Size Fee (3	CLAIMS REMAINING AFTER AMENDMENT  37 CFR 1.16(s))  ON OF MULTIPL	Minus E DEPEN	HIGHEST NUMBER PREVIOUSLY PAID FOR  ***  COlumn 2)  HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA  =  CFR 1.16(j))  (Column 3)  PRESENT EXTRA	RATE( x x	= = = NL FEE	ADDITIONAL	OR OR OR	SMALL  RATE(\$)  x =  x =	ENTITY ADDITIONA
B AMENDMENT	Total (37 CFR 1.16(i))  Independent (37 CFR 1.16(h))  Application Size Fee (3	CLAIMS REMAINING AFTER AMENDMENT  37 CFR 1.16(s))  DN OF MULTIPL  (Column 1)  CLAIMS REMAINING AFTER	Minus	HIGHEST NUMBER PREVIOUSLY PAID FOR  **  COolumn 2)  HIGHEST NUMBER PREVIOUSLY PAID FOR  **  **  ***  ***  ***  ***  ***  **	PRESENT EXTRA  =  =  CFR 1.16(j))  (Column 3)  PRESENT	RATE(  X  X  TOTA ADD'L F	= = = NL FEE	ADDITIONAL FEE(\$)	OR OR OR	SMALL  RATE(\$)  x =  x =  TOTAL ADD'L FEE	ENTITY  ADDITIONA FEE(\$)
B AMENDMENI	Total (37 CFR 1.16(i)) Independent (37 CFR 1.16(h)) Application Size Fee (37 CFR 1.16(h))  Application Size Fee (47 CFR 1.16(h))  A Total	CLAIMS REMAINING AFTER AMENDMENT  37 CFR 1.16(s))  DN OF MULTIPL  (Column 1)  CLAIMS REMAINING AFTER	Minus E DEPEN	HIGHEST NUMBER PREVIOUSLY PAID FOR  ***  COlumn 2)  HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA  =  CFR 1.16(j))  (Column 3)  PRESENT EXTRA	RATE(  X  X  TOTA ADD'L F	= = = 	ADDITIONAL FEE(\$)	OR OR OR	SMALL  RATE(\$)  x =  x =  TOTAL ADD'L FEE  RATE(\$)	ENTITY  ADDITIONA FEE(\$)
B AMENDMENI	Total (37 CFR 1.16(i))  Independent (37 OFR 1.16(h))  Application Size Fee (37 CFR 1.16(i))  Application Size Fee (37 CFR 1.16(i))  Independent  Independent	CLAIMS REMAINING AFTER AMENDMENT  37 CFR 1.16(s))  DN OF MULTIPL  (Column 1) CLAIMS REMAINING AFTER AMENDMENT	Minus  E DEPEN  Minus  Minus	HIGHEST NUMBER PREVIOUSLY PAID FOR  **  COolumn 2)  HIGHEST NUMBER PREVIOUSLY PAID FOR  **  **  ***  ***  ***  ***  ***  **	PRESENT EXTRA  =  =  CFR 1.16(j))  (Column 3)  PRESENT EXTRA	RATE(  X  X  TOTA ADD'L F	= = = = = = = = = = = = = = = = = = =	ADDITIONAL FEE(\$)	OR OR OR OR	SMALL  RATE(\$)	ENTITY  ADDITIONA FEE(\$)
AMENDMENT	Total (37 CFR 1.16(i))  Independent (37 OFR 1.16(h))  Application Size Fee (3  FIRST PRESENTATIO  Total (37 CFR 1.16(i))  Independent (37 CFR 1.16(i))	CLAIMS REMAINING AFTER AMENDMENT  37 CFR 1.16(s))  ON OF MULTIPL  (Column 1) CLAIMS REMAINING AFTER AMENDMENT	Minus  E DEPEN  Minus  Minus	HIGHEST NUMBER PREVIOUSLY PAID FOR  ***  COlumn 2)  HIGHEST NUMBER PREVIOUSLY PAID FOR  **  ***	PRESENT EXTRA  =  =  CFR 1.16(j))  (Column 3)  PRESENT EXTRA  =  =	RATE(  X  X  TOTA ADD'L F	= = = = = = = = = = = = = = = = = = =	ADDITIONAL FEE(\$)	OR OR OR	SMALL  RATE(\$)	ENTITY  ADDITIONA FEE(\$)



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION	FILING or	GRP ART				
NUMBER	371(c) DATE	UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
13/874 004	04/30/2013	1736	1260	076165.000097	2.1	5

**CONFIRMATION NO. 8025** 

**FILING RECEIPT** 

\*OC00000061587798\*

Date Mailed: 06/07/2013

35979 Bracewell & Giuliani LLP P.O. Box 61389

Houston, TX 77208-1389

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Inventor(s)

Stephen Harrison, Benicia, CA;

Samaresh Mohanta, San Diego, CA;

Applicant(s)

Simbol Inc., Pleasanton, CA

**Assignment For Published Patent Application** 

Simbol Inc., Pleasanton, CA

Power of Attorney: None

Domestic Priority data as claimed by applicant

This application is a CON of 12/880,924 09/13/2010 PAT 8454816

which claims benefit of 61/241,479 09/11/2009 \*

(\*) Data provided by applicant is not consistent with PTO records.

**Foreign Applications** for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <a href="http://www.uspto.gov">http://www.uspto.gov</a> for more information.) - None. Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

If Required, Foreign Filing License Granted: 05/29/2013

The country code and number of your priority application, to be used for filing abroad under the Paris Convention,

is **US 13/874,004** 

**Projected Publication Date: 09/12/2013** 

Non-Publication Request: No Early Publication Request: No

page 1 of 3

\*\* SMALL ENTITY \*\*
Title

Selective Recovery of Manganese and Zinc From Geothermal Brines

**Preliminary Class** 

423

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

#### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

#### LICENSE FOR FOREIGN FILING UNDER

#### Title 35, United States Code, Section 184

### Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

#### SelectUSA

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The U.S. offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to promote and facilitate business investment. SelectUSA provides information assistance to the international investor community; serves as an ombudsman for existing and potential investors; advocates on behalf of U.S. cities, states, and regions competing for global investment; and counsels U.S. economic development organizations on investment attraction best practices. To learn more about why the United States is the best country in the world to develop technology, manufacture products, deliver services, and grow your business, visit <a href="http://www.SelectUSA.gov">http://www.SelectUSA.gov</a> or call +1-202-482-6800.



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PC. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE

13/874,004 04/30/2013 Stephen Harrison

076165.000097 CONFIRMATION NO. 8025

35979 Bracewell & Giuliani LLP P.O. Box 61389 Houston, TX 77208-1389

NOTICE

Date Mailed: 06/07/2013

#### INFORMATIONAL NOTICE TO APPLICANT

Applicant is notified that the above-identified application contains the deficiencies noted below. No period for reply is set forth in this notice for correction of these deficiencies. However, if a deficiency relates to the inventor's oath or declaration, the applicant must file an oath or declaration in compliance with 37 CFR 1.63, or a substitute statement in compliance with 37 CFR 1.64, executed by or with respect to each actual inventor no later than the expiration of the time period set in the "Notice of Allowability" to avoid abandonment. See 37 CFR 1.53(f).

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

• A properly executed inventor's oath or declaration has not been received for the following inventor(s):

Applicant may submit the inventor's oath or declaration at any time before the Notice of Allowance and Fee(s) Due, PTOL-85, is mailed.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

		oplication of:	)	T	<b>NT 4 A</b>	. 1
Stephen Harrison			)	Examiner:	Not A	ssigned
Serial N	No.:	Not Assigned	)	Art Unit:	Not A	ssigned
Filed:		April 30, 2013	)	Confirmation	No.:	Not Assigned
	MANC	CTIVE RECOVERY OF SANESE AND ZINC FROM HERMAL BRINES	) ) )	Attorney Doc	eket No.	076165.000097

## PRELIMINARY AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir,

By this Preliminary Amendment, please amend the above-identified application as set forth below.

Amendments to the specification begin on page 2 of this Preliminary Amendment.

Amendments to the claims begin on page 3 of this Preliminary Amendment.

Please replace paragraph 1 of the specification with replacement paragraph 1.

## Replacement paragraph 1:

This application claims priority to co-pending U.S. Patent Application Serial No. 12/880,924, filed on September 13, 2010, which claims priority to U.S. Provisional Patent Application Serial No. 61/241,479, filed on September 11, 2009, which is incorporated herein by reference in its entirety.

In the Claims:

Claims 1-20 have been cancelled. Claims 21-41 are new.

This listing of claims will replace all prior versions, and listing, of claims in the application:

#### 1-20. (Canceled)

21. (New) A method for recovering zinc and manganese from a brine, the method comprising the steps of:

providing a brine, said brine comprising manganese and zinc;

selectively removing silica and iron from the brine to produce a substantially silica free brine;

adjusting the pH of the substantially silica free brine to a pH suitable to form precipitates of zinc and manganese, such that precipitates of zinc and manganese are selectively formed and other metal precipitates are not formed;

separating the zinc and manganese precipitates from the brine.

- 22. (New) The method of claim 21 wherein the precipitates of zinc and manganese are dissolved in an acid.
- 23. (New) The method of claim 21 wherein the precipitates of zinc and manganese are dissolved in ammonium sulfate.
- 24. (New) The method of claim 21 wherein the step of selectively removing silica and iron from the brine comprises providing iron (III) at a pH of between about 4.5 and 6 and precipitating the silica and iron from the brine.
- 25. (New) The method of claim 21 wherein the step of precipitating the zinc and manganese comprises adding sufficient base to adjust the pH to between 6 and 8 and providing an air oxidant to the substantially silica free brine.
- 26. (New) The method of claim 21 further comprising contacting the zinc with hydrochloric acid to produce zinc chloride.

27. (New) A method for recovering zinc and manganese from a brine, the method comprising the steps of:

providing a brine, said brine comprising manganese and zinc;

selectively removing silica and iron from the brine to produce a substantially silica free brine;

adjusting the pH of the substantially silica free brine to a pH suitable to form precipitates of zinc and manganese, such that precipitates of zinc and manganese are selectively formed and other metal precipitates are not formed;

separating the zinc and manganese precipitates from the brine;

dissolving the precipitates of zinc and manganese to produce a zinc manganese solution;

oxidizing the manganese to form a manganese precipitate and a zinc solution;

separating the manganese precipitate from the zinc solution;

recovering the zinc by electrochemical means.

- 28. (New) The method of claim 27 wherein the step of selectively removing silica and iron from the brine comprises providing iron (III) at a pH of between about 4.5 and 6 and precipitating the silica and iron from the brine.
- 29. (New) The method of claim 27 wherein the step of precipitating the zinc and manganese comprises adding sufficient base to adjust the pH to between 6 and 8 and providing an air oxidant to the substantially silica free brine.
- 30. (New) The method of claim 27 wherein the step of dissolving the zinc and manganese comprises providing a mineral acid sufficient to dissolve the zinc and manganese precipitate.
- 31. (New) The method of claim 27 wherein the step of recovering the zinc by electrochemical means comprises plating an electrode with zinc metal from the zinc solution.
- 32. (New) A method for recovering zinc and manganese from a brine, the method comprising the steps of:

providing a brine, said brine comprising manganese and zinc;

selectively removing silica and iron from the brine to produce a substantially silica free brine that includes manganese and zinc;

removing the zinc from the substantially silica free brine;

extracting manganese from the substantially silica free brine;

oxidizing the manganese to produce a manganese dioxide precipitate; and

recovering the magnesium dioxide precipitate.

- 33. (New) The method of claim 32 wherein the step of selectively removing silica and iron from the brine comprises providing iron (III) at a pH of between about 4.5 and 6 and precipitating the silica.
- 34. (New) The method of claim 32 wherein the zinc is removed from the substantially silica free brine by ion exchange.
- 35. (New) The method of claim 32 wherein the step of oxidizing the manganese to produce manganese dioxide comprises electrolytic deposition.
- 36. (New) A method for recovering zinc and manganese from a brine, the method comprising the steps of:

providing a brine, said brine comprising manganese and zinc;

- selectively removing silica and iron from the brine to produce a substantially silica free brine that comprises manganese and zinc;
- extracting manganese and zinc from the substantially silica free brine to produce a manganese zinc solution;
- electrochemically removing manganese from the manganese zinc solution to produce a residual solution that includes zinc; and

electrochemically removing zinc from the residual solution.

37. (New) The method of claim 36 wherein the step of selectively removing silica and iron from the brine comprises providing iron (III) at a pH of between about 4.5 and 6 and precipitating the silica.

- 38. (New) The method of claim 36 wherein the step extracting manganese and zinc from the substantially silica free brine comprises extracting the manganese and zinc with a solvent selected from the group consisting of phosphines, phosphoric acids, and phosphinic acids.
- 39. (New) The method of claim 36 wherein the step of recovering the manganese by electrochemical means comprises plating an electrode with manganese metal from the manganese zinc solution.
- 40. (New) The method of claim 36 wherein the step of electrochemically removing the zinc means comprises plating an electrode with zinc metal from the zinc solution.
- 41. (New) A method for recovering zinc and manganese from a brine, the method comprising the steps of:

providing a brine, said geothermal brine comprising manganese and zinc;

selectively removing silica and iron from the brine to produce a substantially silica free brine that includes manganese and zinc;

removing the zinc from the substantially silica free brine;

extracting manganese from the substantially silica free brine.

### Remarks:

Applicant has amended the specification for purposes of properly claiming priority for the presently pending continuation application.

Applicant has amended claims for the purpose of filing a continuation application. No new matter has been added.

Prior to examination of this application, please cancel claims 1-20 and consider claims 21-41 as presented on pages 3-6.

Should the Commissioner deem any fees as being due, including fees for extensions of time, the Commissioner is authorized to debit said fees from, or credit overpayments to, Bracewell & Giuliani LLP, USPTO Deposit Account Number 50-0259, Reference No. 076165.000097.

The Examiner is requested to contact the undersigned via telephone if a telephone conference would expedite or aid the prosecution and examination of this application.

Respectfully submitted,

Date: April 30, 2013

Heather M. Khassian

Reg. No. 55,194

BRACEWELL & GIULIANI LLP

P.O. Box 61389

Houston, Texas 77208-1389

Telephone: (713) 221-1120 Facsimile: (800) 404-3970

Electronic Patent Application Fee Transmittal				
Application Number:				
Filing Date:				
Title of Invention:	Selective Recovery of	<sup>F</sup> Manganese and	Zinc From Geothe	ermal Brines
First Named Inventor/Applicant Name:	Stephen Harrison			
Filer:	Heather Megan Khas	sian/Debra Odum	1	
Attorney Docket Number:	076165.000097			
Filed as Small Entity				
Utility under 35 USC 111(a) Filing Fees				
Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:				
Utility filing Fee (Electronic filing)	4011	1	70	70
Utility Search Fee	2111	1	300	300
Utility Examination Fee	2311	1	360	360
Pages:				
Claims:				
Claims in excess of 20	2202	1	40	40
Independent Claims in Excess of 3	2201	2	210	420
Miscellaneous-Filing:				

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Late Filing Fee for Oath or Declaration	2051	1	70	70		
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:	Post-Allowance-and-Post-Issuance:					
Extension-of-Time:						
Miscellaneous:						
	Tot	al in USD	(\$)	1260		

Electronic Acknowledgement Receipt			
EFS ID:	15654886		
Application Number:	13874004		
International Application Number:			
Confirmation Number:	8025		
Title of Invention:	Selective Recovery of Manganese and Zinc From Geothermal Brines		
First Named Inventor/Applicant Name:	Stephen Harrison		
Customer Number:	35979		
Filer:	Heather Megan Khassian/Debra Odum		
Filer Authorized By:	Heather Megan Khassian		
Attorney Docket Number:	076165.000097		
Receipt Date:	30-APR-2013		
Filing Date:			
Time Stamp:	17:01:17		
Application Type:	Utility under 35 USC 111(a)		

# **Payment information:**

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$1260
RAM confirmation Number	4810
Deposit Account	500259
Authorized User	SAMARDZIJA, MICHAEL

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patentagelication and reekan in ation processing fees) 41

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)
Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)
Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

Le Listing:

Cocument
Document Description
File Name
File Size(B
Message D

File Listing:										
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)					
1	Application Data Sheet	20130430ApplicationDataShee	131344	no	6					
'	Application Data Sheet	t.pdf	8c2c676495f3b381ffc0687fbe2463c465514 8aa	110						
Warnings:			•							
Information:										
This is not an USPT	O supplied ADS fillable form									

2	20130430NonprovisionalConti nuationApplication.pdf	1268871 71c5f6243205bf3d4109c880f6071e339e32	yes	43

Multipart Description/PDF files in .zip description							
Document Description	Start	End					
Specification	1	27					
Claims	28	31					
Abstract	32	32					
Drawings-only black and white line drawings	33	43					

### Warnings:

The page size in the PDF is too large. The pages should be  $8.5 \times 11$  or A4. If this PDF is submitted, the pages will be resized upon entry into the Image File Wrapper and may affect subsequent processing

# Information:

3	20	20130430PreliminaryAmendm	48520	ves	7
		ent.pdf	91045e1ab68efd12ee81a4c87e8b402103f 9acd9	´	

### Multipart Description/PDF files in .zip description

Document Description	Start	End
Miscellaneous Incoming Letter	1	1
Specification	2	2
Claims	3	6
Applicant Arguments/Remarks Made in an Amendment	7	7

# Warnings: ESM / PETITIONER EX 1002\_142

Information:									
4	Fee Worksheet (SB06)	fee-info.pdf	39747	no	2				
	ree worksneet (3500)	· ·	6e0cd69d6eef9d1e7702b99c404bbe3a8f7f 956e		-				
Warnings:									
Information:									
Total Files Size (in bytes): 1488482									

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Application Data Sheet 37 CFR 1.7				176	Attorney Docket Number 076165.000097						
				1.76	Applicatio	n Num	ber				
Title o	Title of Invention Selective Recovery of Manganese and Zinc From Geothermal Brines										
bibliogra This do	aphic data arrar cument may be	iged in a complet	format specified b	y the Uni and subi	ited States Pat mitted to the C	ent and	Trademark C	Office as outl	ned in 37 (	ollowing form contain CFR 1.76. nic Filing System (E	
Secre	ecy Orde	r 37 (	CFR 5.2								
										Secrecy Order pu electronically.)	rsuant to
Inven	tor Infor	mati	on:								
Invent Legal									R	emove	
Prefix	Given Na	ne		Mi	iddle Name	)		Family Name			Suffix
	Stephen							Harrison			
Resid	lence Inforr	nation	(Select One)	<ul><li>US</li></ul>	Residency	0	Non US Re	sidency	O Activ	e US Military Servi	ce
City	Benida			State/	Province	CA	Count	Country of Residence US			
Mailing	Address o	finven	tor:								
Addre	ess 1		134 Panoram	a Dr.							
Addre	ess 2							<del></del>	т		
City	Beni	cia ————					State/Pro	vince	CA		
Posta	I Code		94510			Cour	itry i	US			
Inven								-	R	emove	
Legal	Name										
Prefix	Given Na	me		M	Middle Name		Family Name			Suffix	
	Samaresh					Mohanta					
	T	nation	(Select One)	<u> </u>	Residency	$\bigcirc$	Non US Re		<del></del>	e US Military Servi	ce
City	San Diego			State/	Province	ce CA Country of Residence US					
Mailing	Address o	f Inven	tor:					······································			
Addre	ess 1		11462 Forting	Point	ali — a xi di m				· · · · · · · · · · · · · · · · · · ·		
Addre	ess 2							· · · · · · · · · · · · · · · · · · ·			
City	San	Diego					State/Pro	vince	CA		
Posta	I Code		92131			Cour	ntry i	US			
			_isted - Addit n by selecting t			ormatio	on blocks	may be		Add	

# **Correspondence Information:**

Enter either Customer Number or complete the Correspondence Information section below. For further information see 37 CFR 1.33(a).

Application Data Sheet 37 CFR 1.76			Attorney D	ocket Number	076165.000	097		
			Application Number					
Title of Invention	le of Invention Selective Recovery of Manganese and Zinc				l Brines			
An Address i	An Address is being provided for the correspondence Information of this application.							
Customer Numbe	r	35979						
Email Address		docketing@bgllp.con	n			Add Email Remove Email		
Application I	nform	ation:						
Title of the Invent	ion	Selective Recovery	of Manganese	and Zinc From G	eothermal Brir	nes		
Attorney Docket I	Number	076165.000097		Small Ent	tity Status C	laimed 🖂		
Application Type		Nonprovisional						
Subject Matter		Utility						
Total Number of I	Orawing	Sheets (if any)		Suggest	ed Figure fo	r Publication (if any)		
Publication I	nform	nation:						
Request Early	/ Publica	tion (Fee required a	t time of Req	uest 37 CFR 1.2	219)			
publication at	eighteer	n months after filing.				al agreement, that requires		
this information in the Either enter Custom	e Applicat er Numbe	tion Data Sheet does r	not constitute a presentative N	a power of attorned	y in the applic	ation (see 37 CFR 1.32), etions are completed the customer		
Please Select One	): (	Customer Numbe	umber US Patent Practitioner Limited Recognition (37 CFR 11					
Customer Number		35979						
Domestic Benefit/National Stage Information:  This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78.								
Prior Application Status Pending			, , , , , , , , , , , , , , , , , , , ,		Remove			
Application Nu	mber	Continuity	Туре	Prior Applicat	ion Number	Filing Date (YYYY-MM-DD)		
		Continuation of		12880924		2010-09-13		
Prior Application	ion Status Expired				Remove			

Application Data Sheet 37 CFR 1.76			Attorney Docket Number		076165.000097		
			Applicatio	Application Number			
Title of Invention	tion Selective Recovery of Manganese and Zinc From Geothermal Brines						
Application Number Continuity			Type Prior Application Numbe		ion Number	Filing Date (YYYY-MM-DD)	
12880924 non provisional of			61241479		2009-09-13		
Additional Domest by selecting the Ad		it/National Stage Da า.	ta may be g	generated within t	this form		
Foreign Prior	ity Inf	ormation:					
hat is eligible for retric automatically attempt esponsibility for ensu	eval unde retrieval p ring that a	r the priority document oursuant to 37 CFR 1.5 a copy of the foreign ap	exchange p 55(h)(1) and opplication is r	rogram (PDX) <sup>i</sup> the (2). Under the PDX received by the Offi	information wi ( program, <del>a</del> ppose from the pa	y is claimed to a foreign application ill be used by the Office to plicant bears the ultimate articipating foreign intellectual ecified in 37 CFR 1.55(g)(1).	
						Remove	
Application Number		Country	F	Filing Date (YYYY-MM-DD)		Access Code <sup>l</sup> (if applicable)	
Add button.		Data may be gener				to File) Transition	
contains, or co	ntained	at any time, a claim t	o a claimed	• •		ch 16, 2013 and (2) also ve filing date on or after March	
		ermit Access:		on by the Particin	nating Offices	2	

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	076165.000097			
Application Da	ita Sheet 37 CFR 1.76	Application Number				
Title of Invention	ntion Selective Recovery of Manganese and Zinc From Geothermal Brines					
the Japan Patent Office and any other intellect is filed access to the intellect is filed access to the intellect in the instant patent along the instant patent along the instant pater is laims priority under 3 CFR 1.55 has been sought in the instant pater in t	tual property offices in which a for nstant patent application. See 37 D, JPO, KIPO, WIPO, or other in pplication is filed to have access CFR 1.14(h)(3), access will be nt application-as-filed; 2) any fore 15 U.S.C. 119(a)-(d) if a copy of n filed in the instant patent application.	Property Office (KIPO), the Wooreign application claiming prior CFR 1.14(c) and (h). This box atellectual property office in whice to the instant patent application provided to a copy of the instant eign application to which the instant foreign application that satisfaction; and 3) any U.S. application	orld Intellectual Property Office (WIPO), ity to the instant patent application should not be checked if the applicant ch a foreign application claiming priority n. t patent application with respect			
	nt information in this section doe	s not substitute for compliance	with any requirement of part 3 of Title 37 of CFR			
to have an assignme Applicant 1	ent recorded by the Office.					
If the applicant is the i The information to be 1.43; or the name and who otherwise shows	provided in this section is the na d address of the assignee, perso sufficient proprietary interest in FR 1.46 (assignee, person to wh	ame and address of the legal re on to whom the inventor is under the matter who is the applicant om the inventor is obligated to	FR 1.45), this section should not be completed. presentative who is the applicant under 37 CFR r an obligation to assign the invention, or person under 37 CFR 1.46. If the applicant is an assign, or person who otherwise shows sufficien			

who otherwise shows sufficie applicant under 37 CFR 1.46	nt proprietary interest in the (assignee, person to whom	matter who is the the inventor is o	e applicant under 3 bligated to assign, c	7 CFR 1.46. If the applicant is an or person who otherwise shows sufficient ors who are also the applicant should be		
<ul><li>Assignee</li></ul>	C Legal Repr	esentative under	O Joint Inventor			
Person to whom the inventor is obligated to assign.  Person who shows sufficient proprietary inte						
If applicant is the legal rep	resentative, indicate the a	authority to file	the patent applica	ation, the inventor is:		
Name of the Deceased or	Legally Incapacitated Inv	ventor :				
If the Applicant is an Org	anization check here.	$\boxtimes$				
Organization Name	Simbol Inc.					
Mailing Address Inform	ation For Applicant:					
Address 1	6920 Koll Center Parkw	20 Koll Center Parkway				
Address 2 Suite 213						
City	Pleasanton	S	tate/Province	CA		
Country US		Р	ostal Code	94566		
Phone Number		F	ax Number			

Application Data Sheet 37 CFR 1.76		Attorney Docket Number 07		076165	076165.000097			
		Application No	Number					
Title of Invention Selective Recovery of Manganese and Zinc From Geothermal Brines								
Email Address	Email Address							
Additional Applicant	Additional Applicant Data may be generated within this form by selecting the Add button.							
Non-Applicant Assignee Information:								
Providing assignment have an assignment r			not subsitute for	compliance	with any req	uirement of part	3 of Title 37 of CFR to	
Assignee 1								
Complete this section only if non-applicant assignee information is desired to be included on the patent application publication in accordance with 37 CFR 1.215(b). Do not include in this section an applicant under 37 CFR 1.46 (assignee, person to whom the inventor is obligated to assign, or person who otherwise shows sufficient proprietary interest), as the patent application publication will include the name of the applicant(s).								
If the Assignee is	an Organiz	zation check here.						
Prefix Given Name Middle Name Family Name Suffix				Suffix				
Mailing Address Information For Non-Applicant Assignee:								
Address 1								
Address 2								
City				State/Pro	vince			
Country i	<u></u>			Postal Code				
Phone Number	Number Fax Numb		er					
Email Address								
Additional Assignee Data may be generated within this form by selecting the Add button.								
Signature:								
NOTE: This form certifications.	must be s	igned in accordance	e with 37 CFR	1.33. See	37 CFR 1.4	4 for signature	requirements and	
Signature France Vigna								
Signature   🕌	106Ue	Mamar	na renziment		Date	(YYYY-MM-DE	2013-04-30	
- N	WW ather	Last Name	Khassian			(YYYY-MM-DE tration Number	•	

PTO/AIA/14 (03-13)

Approved for use through 01/31/2014. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Da	ta Shoot 37 CED 1 76	Attorney Docket Number	076165.000097	
Application Data Sheet 37 CFR 1.76		Application Number		
Title of Invention	Selective Recovery of Manganese and Zinc From Geothermal Brines			

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

#### PATENT APPLICATION

Inventor:

Stephen Harrison

Samaresh Mohanta

Assignee:

Simbol Mining Corp.

Atty Docket No.: 076165.000015

# SELECTIVE RECOVERY OF MANGANESE AND ZINC FROM GEOTHERMAL BRINES

#### BACKGROUND OF THE INVENTION

#### Related Applications

[0001] This application claims priority to U.S. Provisional Patent Application Serial No. 61/241,479, filed on September 11, 2009, which is incorporated herein by reference in its entirety.

# Technical Field of the Invention

[0002] This invention generally relates to the field of selectively removing manganese and zinc from brines. More particularly, the invention relates to methods for the selective removal and recovery of manganese and zinc geothermal brines that include zinc and manganese, preferably without the simultaneous removal of other ions from the brines.

# Description of the Prior Art

[0003] Geothermal brines are of particular interest for a variety of reasons. First, geothermal brines provide a source of power due to the fact that hot geothermal pools are stored at high pressure underground, which when released to atmospheric pressure, can provide a flash-steam. The flash-stream can be used, for example, to run a power plant. Additionally, geothermal brines contain useful elements, which can be recovered and utilized for secondary processes. With some geothermal waters and brines, binary processes can be used to heat a

-1-

second fluid to provide steam for the generation of electricity without the flashing of the geothermal brine.

[0004] It is known that geothermal brines can include various metal ions, particularly alkali and alkaline earth metals, as well as silica, iron, lead, silver, zinc and manganese, in varying concentrations, depending upon the source of the brine. Recovery of these metals is potentially important to the chemical, pharmaceutical and electronics industries. Typically, the economic recovery of desired metals from natural brines, which may vary widely in composition, depends not only on the specific concentration of the desired metal, but also upon the concentrations of interfering ions, particularly silica, calcium and magnesium, because the presence of the interfering ions will increase recovery costs as additional steps must be taken to remove the interfering ions, before the desired metals are recovered.

[0005] One problem associated with geothermal brines when utilized for the production of electricity results from scaling and deposition of solids. Silica and other solids that are dissolved within the geothermal brine precipitate out during all stages of brine processing, particularly during the cooling of a geothermal brine, and may eventually result in fouling of the injection wells or processing equipment.

[0006] Although conventional processing of ores and brines currently employed can be used to recover a portion of the manganese and zinc present in geothermal brines, there still exists a need to develop economic methods that are selective for the removal and recovery of manganese and zinc from the brines at high yields and high purity.

# SUMMARY OF THE INVENTION

[0007] Methods for the selective removal and recovery of manganese and zinc metals and compounds from geothermal brines are provided.

[0008] In a first embodiment, a method for recovering zinc and manganese ions from a geothermal brine is provided. The method includes the steps of: providing a geothermal brine that includes manganese and zinc ions; selectively removing silica and iron from the geothermal brine to produce a substantially silica free brine; adjusting the pH of the substantially silica free brine to a pH suitable to form precipitates of zinc and manganese as hydroxides and oxides, such that precipitates of zinc and manganese are selectively formed and other metal precipitates are not formed; separating the zinc and manganese precipitates from the brine; dissolving the precipitates of zinc and manganese to produce a zinc

-2-

manganese solution; oxidizing the manganese to form a manganese precipitate and a zinc solution; separating the manganese precipitate from the zinc solution; and recovering the zinc by electrochemical means.

[0009] In a second embodiment, a method for recovering zinc and manganese from a geothermal brine is provided. The method includes the steps of: providing a geothermal brine that includes manganese and zinc ions; selectively removing silica and iron from the geothermal brine to produce a substantially silica free brine that includes manganese and zinc; removing the zinc from the substantially silica free brine by means of an ion exchange or other process; extracting manganese from the substantially silica free brine; oxidizing the manganese to produce a manganese dioxide precipitate; and recovering the magnesium dioxide precipitate. In certain embodiments, the process can include the recycling of various solutions to dissolve manganese and zinc precipitates.

[0010] In a third embodiment, a method for recovering zinc and manganese from a geothermal brine is provided. The method includes the steps of: providing a geothermal brine that includes manganese and zinc; selectively removing silica and iron from the geothermal brine to produce a substantially silica free brine that includes manganese and zinc; extracting manganese and zinc from the substantially silica free brine to produce a manganese zinc solution; electrochemically removing manganese as manganese metal or manganese dioxide from the manganese zinc solution to produce a residual solution that includes zinc; and electrochemically removing zinc from the residual solution. In certain embodiments, the manganese dioxide and zinc can be recovered in a single electrochemical cell.

[0011] In a fourth embodiment of the invention, a method for recovering zinc and manganese from a geothermal brine is provided. The method includes the steps of: providing a geothermal brine that includes manganese and zinc; selectively removing silica and iron from the geothermal brine to produce a substantially silica free brine that includes manganese and zinc; adjusting the pH of the substantially silica free brine to a pH suitable to form precipitates of zinc and manganese, such that precipitates of zinc and manganese are selectively formed and other metal precipitates are not formed; separating the zinc and manganese precipitates from the brine; dissolving the precipitates of zinc and manganese to produce a zinc manganese solution; extracting zinc by solvent extraction; recovering and oxidizing the manganese to form a manganese dioxide precipitate and a zinc solution;

-3-

separating the manganese precipitate from the zinc solution; and recovering the zinc by electrochemical means. In certain embodiments, the oxidation of the manganese is by chemical means. In alternate embodiments, the oxidation of manganese is by electrochemical means.

[0012] In a fifth embodiment of the invention, a method for recovering zinc and manganese from a geothermal brine is provided. The method includes the steps of: providing a geothermal brine that includes manganese and zinc; selectively removing silica and iron from the geothermal brine to produce a substantially silica free brine that includes manganese and zinc; adjusting the pH of the substantially silica free brine to a pH suitable to form precipitates of zinc and manganese, such that precipitates of zinc and manganese are selectively formed and other metal precipitates are not formed; separating the zinc and manganese precipitates from the brine; dissolving the precipitates of zinc and manganese to produce a zinc manganese solution; extracting manganese by solvent extraction and then recovering manganese; recovering and oxidizing the dissolved manganese to form a manganese dioxide precipitate and a zinc solution; and recovering the zinc by electrochemical means. In certain embodiments, the recovery of manganese is by oxidation of manganese is by electrochemical means. In further embodiments, manganese is recovered by electrochemical reduction.

[0013] In a sixth embodiment of the invention, a method for recovering zinc and manganese from a geothermal brine is provided. The method includes the steps of: providing a geothermal brine that includes manganese and zinc; selectively removing silica and iron from the geothermal brine to produce a substantially silica free brine that includes manganese and zinc; adjusting the pH of the substantially silica free brine to a pH suitable to form precipitates of zinc and manganese, such that precipitates of zinc and manganese are selectively formed and other metal precipitates are not formed; separating the zinc and manganese precipitates from the brine; dissolving the precipitates of zinc and manganese to produce a zinc manganese solution; extracting by way of a double solvent extraction both zinc and manganese in two separate streams; recovering and oxidizing the dissolved manganese to form a manganese dioxide precipitate and a zinc solution; and recovering the zinc by electrochemical means. In certain embodiments, the oxidation of the manganese is by electrochemical means.

-4-

[0014] In a seventh embodiment of the invention, a method for recovering zinc and manganese from a geothermal brine is provided. The method includes the steps of: providing a geothermal brine that includes manganese and zinc; selectively removing silica and iron from the geothermal brine to produce a substantially silica free brine that includes manganese and zinc; adjusting the pH of the substantially silica free brine to a pH suitable to form precipitates of zinc and manganese as hydroxides and oxides, such that precipitates of zinc and manganese are selectively formed and other metal precipitates are not formed; separating the zinc and manganese precipitates from the brine; dissolving the precipitates of zinc and manganese to produce a zinc manganese solution; extracting by way of a double solvent extraction both zinc and manganese in two separate streams; recovering and reducing the dissolved manganese to form a manganese metal electrolytically and a zinc solution; and recovering the zinc by electrochemical means.

[0015] In an eighth embodiment of the invention, a method for recovering zinc and manganese from a geothermal brine is provided. The method includes the steps of: providing a geothermal brine that includes manganese and zinc; selectively removing silica and iron from the geothermal brine to produce a substantially silica free brine that includes manganese and zinc; adjusting the pH of the substantially silica free brine to a pH suitable to form precipitates of zinc and manganese, such that precipitates of zinc and manganese are selectively formed and other metal precipitates are not formed; separating the zinc and manganese precipitates from the brine; dissolving the precipitates of zinc and manganese to produce a zinc manganese solution; extracting by way of a double solvent extraction both zinc and manganese in two separate streams; reacting the manganese stream to produce a manganese salt; and reacting the zinc stream to produce a zinc salt. In certain embodiments, the manganese salt is selected from manganese carbonate, manganese sulfate, and a manganese halide. In certain embodiments, the zinc salt is selected from zinc carbonate, zinc sulfate, or a zinc halide.

[0016] In a ninth embodiment of the invention, a method for recovering zinc and manganese from a geothermal brine is provided. The method includes the steps of: providing a geothermal brine that includes manganese and zinc; selectively removing silica and iron from the geothermal brine to produce a substantially silica free brine that includes manganese and zinc; recovering zinc by contacting the substantially silica free brine with an ion exchange resin, and recovering manganese from the solution by electrolytically depositing manganese dioxide from the substantially silica free brine. Optionally, following removal of the zinc and

manganese, the remaining brine solution can be recycled to the step for recovering zinc by contacting with the ion exchange resin. In an alternate embodiment, the ion exchange resin is a basic anionic exchange resin.

[0017] In a tenth embodiment, a method for recovering zinc and manganese from a geothermal brine is provided. The method includes the steps of: providing a geothermal brine that includes manganese and zinc; selectively removing silica and iron from the geothermal brine to produce a substantially silica free brine that includes manganese and zinc. The method includes adjusting the pH of the substantially silica free brine to a pH suitable to form precipitates of zinc and manganese, such that precipitates of zinc and manganese are selectively formed and other metal precipitates are not formed, and separating the manganese and zinc precipitates from the brine. The method further includes dissolving the precipitates of zinc and manganese in an acid to produce a zinc and manganese containing acid solution and extracting the zinc and manganese containing acid solution with an extraction solvent to produce a first liquid phase that includes the extraction solvent and zinc and a second liquid phase that includes manganese. The first and second liquid phases are separated and then zinc is electrochemically recovered from the first liquid phase. The second liquid phase is reduced to form Mn<sup>2+</sup> and the second liquid phase is supplied to an electrochemical cell and manganese is recovered by electrochemical means.

[0018] In an eleventh embodiment, a method for recovering zinc and manganese from a geothermal brine is provided. The method includes the steps of: providing a geothermal brine that includes manganese and zinc; selectively removing silica and iron from the geothermal brine to produce a substantially silica free brine that includes manganese and zinc. The method includes adjusting the pH of the substantially silica free brine to a pH suitable to form precipitates of zinc and manganese, such that precipitates of zinc and manganese are selectively formed and other metal precipitates are not formed, and separating the manganese and zinc precipitates from the brine. The method further includes dissolving the precipitates of zinc and manganese in ammonium sulfate to produce a zinc and manganese containing ammonium sulfate solution and extracting the zinc and manganese containing ammonium sulfate solution with an extraction solvent to produce a first liquid phase that includes the extraction solvent and zinc and a second liquid phase that includes manganese and ammonium sulfate. The first and second liquid phases are separated and then zinc is electrochemically recovered from the first liquid phase. The second liquid phase is reduced to form Mn<sup>2+</sup> and the second liquid phase is supplied to an electrochemical cell and manganese is recovered by electrochemical means.

HOUSTON\2299143.6 -6-

#### BRIEF DESCRIPTION OF THE DRAWINGS

- [0019] Figure 1 illustrates a process for the recovery of manganese and zinc from a geothermal brine according to one embodiment of the invention.
- [0020] Figure 2 illustrates another process for the recovery of manganese and zinc from a geothermal brine according to another embodiment of the invention.
- [0021] Figure 3 illustrates another process for the recovery of manganese and zinc from a geothermal brine according to another embodiment of the invention.
- [0022] Figure 4 illustrates another process for the recovery of manganese and zinc from a geothermal brine according to another embodiment of the invention.
- [0023] Figure 5 illustrates another process for the recovery of manganese and zinc from a geothermal brine according to another embodiment of the invention.
- [0024] Figure 6 illustrates another process for the recovery of manganese and zinc from a geothermal brine according to another embodiment of the invention.
- [0025] Figure 7 illustrates another process for the recovery of manganese and zinc from a geothermal brine according to another embodiment of the invention.
- [0026] Figure 8 illustrates another process for the recovery of manganese and zinc from a geothermal brine according to another embodiment of the invention.
- [0027] Figure 9 illustrates another process for the recovery of manganese and zinc from a geothermal brine according to another embodiment of the invention.
- [0028] Figure 10 illustrates another process for the recovery of manganese and zinc from a geothermal brine according to another embodiment of the invention.
- [0029] Figuare 11 illustrates another process for the recovery of manganese and zinc from a geothermal brine according to another embodiment of the invention.

#### DETAILED DESCRIPTION OF THE INVENTION

[0030] Broadly, described herein are methods for the selective removal of manganese and zinc from solution. As used herein, the selective removal of manganese and zinc generally refers to methods to facilitate the removal of manganese and zinc from solutions that include

manganese and zinc, such as geothermal brines, without the removal of other ions. Generally, in certain embodiments, the methods employ chemical means for the separation of manganese and zinc from brines. In certain embodiments, the methods may include physical means, as well as chemical means, for the separation of manganese and zinc from brines.

[0031] As used herein, "brine" or "brine solution" refers to any aqueous solution that contains a substantial amount of dissolved metals, such as alkali and/or alkaline earth metal salt(s) in water, wherein the concentration of salts can vary from trace amounts up to the point of saturation. As used herein, brine refers to both geothermal brines and waste or byproduct streams from industrial processes.

[0032] Generally, brines suitable for the methods described herein are aqueous solutions that may include alkali metal or alkaline earth chlorides, bromides, sulfates, hydroxides, nitrates, and the like, as well as natural brines. In certain brines, metals may be present. Exemplary elements present in the geothermal brines can include sodium, potassium, calcium, magnesium, lithium, strontium, barium, iron, boron, silica, manganese, chlorine, zinc, aluminum, antimony, chromium, cobalt, copper, lead, arsenic, mercury, molybdenum, nickel, silver, thallium, vanadium, and fluorine, although it is understood that other elements and compounds may also be present. Brines can be obtained from natural sources, such as, Chilean brines or Salton Sea brines, geothermal brines, sea water, mineral brines (e.g., lithium chloride or potassium chloride brines), alkali metal salt brines, and industrial brines, for example, industrial brines recovered from ore leaching, mineral dressing, and the like. The method is also equally applicable to artificially prepared brine or salt solutions.

[0033] As shown in Figure 1, process 100 of the present invention first removes silica and iron from the brine solution in an iron/silica removal step 110. In certain embodiments, the iron and silica removal step preferably removes only the iron and silica, while at the same time leaving all other metals and/or ions present in the brine undisturbed. The removal of silica is an important step as the presence of silica can interfere with subsequent processes for the recovery of various other metals. For example, silica frequently clogs pores in filtration media.

[0034] One preferred method for the selective removal of silica and iron includes contacting the solution with iron (III) hydroxide at a pH of between about 4.5 and 6, preferably between about 4.75 and 5.5, more preferably between about 4.9 and 5.3.

-8-

[0035] Typically, brine will have an iron (II) salt present naturally. In other embodiments, an iron (II) salt or iron (III) hydroxide can be added to the brine to achieve a certain concentration of iron (II) salt or iron (III) hydroxide relative to the silica or silicon containing compounds present in the brine. In certain embodiments, the molar ratio of the iron (II) salt or iron (III) hydroxide to silica is at least 1:1, preferably at least 4:1, more preferably at least 7:1 and even more preferably at least 10:1.

[0036] When the iron in the brine or silica containing solution is iron (II), for example iron (II) chloride, an oxidant is added to oxidize iron (II) salt to iron (III) hydroxide. The preferred oxidant is air. Thus, in one preferred embodiment, the iron (II) salt present in the brine can be oxidized to iron (III) by sparging the reaction vessel with air. While it is understood that many different oxidants can be used for the oxidation of iron (II) to iron (III), the use of oxygen or air as the oxidant in the pH range of between 4 and 7 is selective for the oxidation of the iron (II) salt to iron (III) hydroxide, and generally does not result in the precipitation or oxidation of other elements or compounds that are present in the brine. Control of the pH of the solution can be achieved with the addition of base (e.g., calcium hydroxide, calcium oxide or the like). As noted previously, it is preferred that the pH is maintained between 4.5 and 6.

[0037] Other exemplary oxidants can include hypohalite compounds, such as hypochlorite, hydrogen peroxide (in the presence of an acid), air, halogens, chlorine dioxide, chlorite, chlorate, perchlorate and other analogous halogen compounds, permanganate salts, chromium compounds, such as chromic and dichromic acids, chromium trioxide, pyridinium chlorochromate (PCC), chromate and dichromate compounds, sulfoxides, persulfuric acid, nitric acid, ozone, and the like. It will be recognized by those skilled in the art that iron (III) hydroxide may also have a significant affinity for arsenic (III) and (V) oxyanions, and these anions, if present in the brine, may be co-deposited with the silica on the iron (III) hydroxide.

[0038] In another embodiment, iron (III) hydroxide can be produced by adding a solution of iron (III) chloride to the brine, which on contact with the more neutral brine solution, will precipitate as iron (III) hydroxide. The brine may require neutralization, such as through the addition of base to initiate precipitation of the iron (III) hydroxide.

[0039] The iron (III) hydroxide contacts the silica present in the brine and forms a precipitate. Without being bound to any specific theory, it is believed that the silica or silicon containing compound attaches to the iron (III) hydroxide. In certain embodiments, the ratio

-9-

of iron (III) to silica is at least about 1:1, more preferably at least about 4:1. The reaction of the iron (III) hydroxide with silica is capable of removing at least about 80% of the silica present, preferably at least about 90%, and more preferably at least about 95%, and typically depends upon the amount of iron (III) hydroxide present in the solution.

[0040] In certain embodiments, the iron (II) salt containing solution can be sparged with air for a period of at least 15 min., preferably at least 30 min., followed by the addition of a base, such as calcium oxide, calcium hydroxide, sodium hydroxide, or the like, to achieve the desired pH for the solution.

[0041] After silica and iron removal step 110, in precipitation step 120, a base (e.g., calcium oxide, calcium hydroxide or the like) is added to the brine to adjust or maintain a pH of the brine at greater than at least about 6, preferably between about 6 and 8.5, more preferably between about 6.5 and 8. In alternate embodiments, the pH is maintained at about 7. In certain embodiments, the pH is maintained at less than about 9. The base may be in solution or slurry form. Furthermore, the solution is exposed to an oxygen source and manganese and zinc precipitates are formed. In certain embodiments, depending upon the pH of the solution, a lead precipitate may also be formed. To achieve oxidation of the manganese, air is preferably supplied to the solution by sparging or bubbling. Other oxidants suitable for the oxidation of the manganese can include hypohalites, hydrogen peroxide, and ozone.

[0042] The solids in the brine and base, which solids can include at least manganese and zinc, are separated from the remainder of the mixture, which retains the majority of ions present in the brine. Separation of the solids can be done by conventional filtration means and can optionally include centrifugation or other known techniques for concentration the solids. In certain embodiments, the remaining brine solution from which the manganese and zinc have been removed can then be reinjected into the geothermal well from which the brine was originally removed.

[0043] The manganese and zinc solids that are separated from the remaining brine solution can then be dissolved in an acid solution in step 130. Preferred acids include strong mineral acids, such as hydrochloric acid, sulfuric acid, methanesulfonic acid, and the like. In certain embodiments, lead and/or calcium precipitates may be formed during the precipitation of the manganese and zinc. In these embodiments, the selected acid is preferably sulfuric acid, as sulfuric acid is selective for manganese and zinc precipitates, and does not dissolve the lead and/or calcium precipitates that may be present. The acid is preferably added to the solids in

HOUSTON 2299 143,6 -10-

greater than approximately a 1:1 molar ratio to the solids. In certain embodiments, it may be beneficial to minimize the amount of excess acid that is utilized for dissolving the manganese and zinc precipitates, for ease of performance of downstream processes, as well as for economic and environmental considerations. In certain embodiments, the solids and acid are mixed to ensure complete dissolution of the solids.

[0044] The acid and dissolved metal solution is then filtered to remove remaining solids, if any, and the solution may then be purified in optional purification step 140 to remove trace metals, which may be present in the acidified solution. It is believed that metals, such as copper, cadmium, nickel, antimony and/or cobalt, as well as other metals or ions, may be present in trace amounts in the acid and dissolved metal solution. These trace metals may interfere with the subsequent separation of manganese and zinc. Purification of the acid and dissolved metal solution can be achieved by known means, such as ion exchange or by treatment with zinc dust. Zinc dust operates by first displacing other more noble metals from solution and allowing them to precipitate on undissolved zinc dust. For example, copper ions present in the solution will precipitate as copper metal or will deposit on undissolved zinc dust.

[0045] Manganese and zinc can be extracted from the acid and dissolved metal solution using solvent extraction techniques. Suitable solvents for the extraction of manganese and zinc include phosphines, phosphoric acids, and phosphinic acids, such as the following: di(2-ethylhexyl)phosphoric acid (DEHPA) in kerosene or Cyanex® 272 (bis(2,4,4-trimethylpentyl)phosphinic acid); Ionquest 290 (available form Rhodia Inc.) in aliphatic kerosene or the highly branched carboxylic acid extractant (versatic 10)(10-decyl-4-pyridinecarboxylate). In certain embodiments, DEHPA is a suitable extraction solvent, particularly in embodiments where iron has been previously removed.

[0046] Other exemplary solvents that may be used for the extraction of zinc are discussed in U.S. Pat. No. 5,135,652, the disclosure of which is herein incorporated by reference in its entirety. These exemplary solvents include mono-2-ethylhexylphosphoric acid (M2EHPA), di-2-ethylhexylphosphoric acid (D2EHPA), and mixtures thereof (EHPA). Other exemplary solvents include bis-2,4,4-trimethylpentylmonothiophosphinic acid (Cyanex® 302) and bis-2,4,4-trimethylpentyldithiophosphinic acid (Cyanex® 301). In certain embodiments, the extractant includes both phosphoric acid and phosphinic acid. In certain embodiments, the ratio of phosphoric acid to phosphinic acid is greater than about 1:1, preferably between

-11-

about 1:1 and 1:6. In certain embodiments, the extractant can be diluted with a hydrocarbon solvent, preferably a dearomatized aliphatic hydrocarbon. Exemplary diluents include Exxsol<sup>TM</sup> D80.

[0047] The pH during the extraction is maintained at less than about 7, preferably between about 1 and 5, more preferably in the range of about 1.5 to 3.5.

[0048] Other solvents suitable for the extraction of zinc from brine solutions are described in "Recovery of Zinc(II) from Acidic Sulfate Solutions. Simulation of Counter-Current Extraction Stripping Process", Gotfryd, L. and Szymanowski, J.; Physicochemical Problems of Mineral Processing, vol. 38 (2004), pp. 113-120; "New Developments in the Boleo Copper-Cobalt-Zinc-Manganese Project", Dreisinger, et al.: available http://bajamining.com/ resources/Reports/alta paper 2006 boleo final.pdf; "Zinc Solvent Extraction in the Process Industries", Cole, P. and Sole, K.; Mineral Processing and Extractive Metallurgy Review, vol. 24, no. 2 (2003), , pp. 91-137; "Solvent extraction of zinc(II) and manganese(II) with 5,10,15,20-tetraphenyl-21H,23H-porphine(TPP) through the metal exchange reaction of lead(II)-TPP", Kawai, T., Fujiyoshi, R., and Sawamura, S.; Solvent Extr. Res. Dev. Japan, vol. 7 (2000), pp. 36-43, "Solvent Extraction of Zinc from Strong Hydrochloric Acid Solution with Alamine 336", Lee, M. and Nam, S.; Bull. Korean Chem. Soc., vol. 30, no. 7 (2009), pp. 1526-1530, the disclosures of which are incorporated herein by reference.

[0049] Manganese can be isolated by electrolysis or, in step 150, by oxidation to produce manganese dioxide, or by precipitation as a carbonate by reaction with sodium carbonate. In certain preferred embodiments, manganese can be selectively isolated from zinc as manganese dioxide by electrolysis in a sulfate solution, at an anode made of metals, such as titanium or carbon. Alternatively, selective oxidation of manganese to manganese dioxide can be achieved utilizing an oxidant, such as chlorine, hydrogen peroxide, or the like to provide solid manganese dioxide and zinc containing solution. In step 160, precipitated manganese dioxide is separated from the zinc containing solution by known means, such as filtration, centrifugation, or a like process.

[0050] In an alternate embodiments, manganese dioxide can be generated at the anode of a divided electrochemical cell by the oxidation of manganese (II) and manganese (III) to generate a manganese dioxide deposited on the surface of the electrode. After the solution is passed through anode compartment, it is then fed to the cathode compartment where zinc

metal is electrodeposited. The current density ranges from between about 50 to about 500 A/m<sup>2</sup>. The separator, such as an ion exchange membrane or a porous material that allows the passage of liquids, positioned between the anode and cathode assists in preventing deposition of manganese dioxide on the zinc metal. In certain embodiments, the separator can include a series of baffles. In certain embodiments, it may be advantageous to remove solid manganese dioxide from the electrolytic stream formed in the anode compartment that may be lost from the surface of the anode, such as by filtration, prior to supplying to the cathode compartment. Production of manganese dioxide by electrochemical means and the recovery of zinc metal by electrowinning preferably includes a conductive solution, such as sulfate, chloride, methanesulfonate, or the like, for improved efficiency. In certain embodiments, it is preferred that the electrochemical cell includes a small amount of free acid in the solution. In alternate embodiments, the electrochemical cell can be operated at a pH ranging from about 0 to 2. Following recovery of the manganese and zinc, the respective solutions can be recycled to the solvent extraction step. Alternatively, the respective solutions can be recycled to the acid solution.

[0051] The zinc containing solution can then be optionally purified in step 170 and then supplied to an electrochemical cell for electrochemical recovery in step 180 by electrowinning (also known as electroextraction). Electrowinning utilizes an electrochemical cell wherein a current is passed from an inert anode, such as lead dioxide, iridium dioxide coated titanium, or other stable substrate, through the zinc containing solution, leading to deposition of the zinc on the cathode. The base cathode can be aluminum, although other metals, such as steel, stainless steel, and titanium, can also be used. The cathode material is selected based upon chemical stability, electrical conductivity, and the ease of removal of zinc from substrate.

[0052] Alternatively, in the process illustrated by Figure 1, the steps for the isolation and recovery of manganese and zinc can be reversed, i.e., the zinc can be separated and isolated from a solution that includes zinc and manganese by electrowinning, followed by the isolation of manganese by either electrowinning or oxidation of the manganese to produce manganese dioxide.

[0053] Optionally, the process may include a step for the recovery of lithium from the geothermal brine. Methods for the recovery are known in the art, such as is described in U.S. Pat. Nos. 4,116,856; 4,116,858; 4,159,311; 4,221,767; 4,291,001; 4,347,327; 4,348,295;

-13-

4,348,296; 4,348,297; 4,376,100; 4,430,311; 4,461,714; 4,472,362; 4,540,509; 4,727,167; 5,389,349; 5,599,516; 6,017,500; 6,280,693; and 6,555,078, each of which is incorporated herein by reference in their entirety. Alternatively, methods can be employed utilizing a lithium aluminate intercalate/gibbsite composite material, a resin based lithium aluminate intercalate, and/or a granulated lithium aluminate intercalate. The gibbsite composite is a lithium aluminate intercalate that is grown onto an aluminum trihidrate core. The resin-based lithium aluminate intercalate is formed within the pores of a macroreticular ion exchange resin. The granulated lithium aluminate intercalate consists of fine-grained lithium aluminate intercalate produced by the incorporation of a small amount of inorganic polymer. The process of contacting the lithium aluminate intercalate material with the geothermal brine is typically carried out in a column that includes the extraction material. The geothermal brine is flowed into the column and lithium ions are captured on the extraction material, while the water and other ions pass through the column. After the column is saturated, the captured lithium is removed by flowing water having a small amount of lithium chloride present through the column. In preferred embodiments, multiple columns are employed for the capture of the lithium.

[0054] In another embodiment of the present invention, in process 200 provided in Figure 2, iron and silica are first removed from the geothermal brine in step 210. Methods for the removal of silica and iron include those methods previously described with respect to Figure 1, and preferably include oxidation of the iron from iron (II) to iron (III), and the control of the pH of the solution with the addition of a base. Preferably, the iron is oxidized with air, and the pH is controlled by the addition of a base, such as calcium oxide or calcium hydroxide, or like compound.

[0055] The brine solution, now having a reduced concentration of silica and iron relative to the initial brine feed, can be supplied to zinc removal process 220 that can include an ion exchange process, for example a basic anionic ion exchange resin like the chloride of a quaternary amine divinylbenzene/stryrene copolymer, or the chloride of trimethylamine functionalized chloromethylated copolymer of styrene and divinylbenzene, such as is described in U.S. Pat. Nos. 6,458,184, which is incorporated herein by reference in its entirety. Zinc separated by ion exchange, existing as zinc chloride or a zinc chloride anions, can then be converted into a saleable zinc product, such as zinc metal or zinc oxide. In certain embodiments, the remaining brine solution from which the manganese and zinc have

-14-

been removed can then be reinjected into the geothermal well from which the brine was originally removed.

[0056] The remaining solution, which includes manganese, can then optionally be supplied to purification step 230 and purified by ion exchange, solvent extraction, or like process, and the manganese containing phase can be provided to oxidation step 240, such as an electrochemical cell or chemical oxidation process, as described with respect to Figure 1, to facilitate the recovery of manganese dioxide. Purified manganese can be collected in step 250 by filtration. As shown with the dashed line, the liquid phase from step 250 can optionally be recycled to manganese extraction step 230. As previously discussed, following recovery of the manganese and zinc, the respective solutions can be recycled to the solvent extraction step. Alternatively, the respective solutions can be recycled to the acid solution.

[0057] As noted with respect to Figure 1, in process 200 the lithium can optionally be removed from the brine solution at any point during the process by the means discussed above.

[0058] In yet another embodiment, in process 300 shown in Figure 3, a method for the separation and isolation of manganese and zinc from a brine is provided. As noted with respect to Figures 1 and 2, the first step of the process includes the removal of iron and silica from the brine solution in step 310. Preferably, as discussed above, the iron is oxidized and base is added to the solution to control the pH. Preferably, iron is oxidized with air, and the base is calcium oxide, calcium hydroxide, or a like compound.

[0059] Following removal of a major portion of the silica and iron, the manganese and zinc can be removed by liquid-liquid extraction step 320. Exemplary liquids suitable for the extraction of manganese and zinc are described in U.S. Pat. No. 6,458,184 and U.S. Pub. Pat. App. No. 20030226761, the disclosures of which are incorporated herein by reference in their entirety. The solvents can include, for example, water-immiscible cationic organic solvents, such as di-(2-ethylhexyl) phosphoric acid (D2EHPA), and other similar solvents, as known in the art. In certain embodiments, the remaining brine solution from extraction step 320, from which the manganese and zinc have been removed, can then be reinjected into the geothermal well from which the brine was originally removed.

[0060] Following the liquid-liquid extraction step, the extraction solution that includes the manganese and zinc can be provided to one or more purification steps 330. Purification steps

-15-

330 preferably operable to remove calcium and other divalent cations, as well as some metals, such as copper, cadmium, cobalt, molybdenum, and nickel, although the purification steps are not limited to these metals.

[0061] Following purification step 330, the manganese and zinc can be isolated in steps 340 and 350, respectively. Specifically, as previously discussed, manganese dioxide and zinc can each separately be produced from solution by electrowinning. In one embodiment, zinc is recovered first, followed by manganese. In an alternate embodiment, manganese is recovered first, followed by zinc. In certain embodiments, the pH is maintained at less than about 3.5 during the electrowinning process. In alternate embodiments, the temperature is maintained at less than about 60°C during the electrowinning process. In certain embodiments, the pH of the solution supplied to manganese electrochemical recovery step 340 is about 5, and the pH of the solution exiting the electrochemical cell is about 1. The pH of the solution supplied to zinc electrochemical recovery step 350 is about 1.

[0062] In an alternate embodiment, the solution from purification step 330 can be supplied to a single electrochemical recovery step 360 wherein zinc and manganese can be deposited simultaneously as zinc oxide and manganese dioxide.

[0063] As previously discussed, following recovery of the manganese and zinc, the respective solutions can be recycled to either the solvent extraction step or to the acid solution. In certain embodiments, as shown by the dashed line, the solution from zinc electrochemical recovery step 350 can be recycled to purification step 330.

[0064] In another embodiment, as provided in Figure 4, process 400 for the recovery of manganese or zinc from a geothermal brine is provided. As previously discussed, with respect to Figure 1, first step 410 of process 400 includes the removal of iron and silica from the brine solution. In certain embodiments, the iron is oxidized and base is added to control the pH of the solution. In certain embodiments, iron is oxidized with air and the base is calcium oxide or calcium hydroxide, or like compound.

[0065] Following the removal of the iron and silica, in precipitation step 420, additional base, such as lime, slaked lime, limestone, sodium hydroxide, and the like, is added to achieve a pH of between about 6 and 9, preferably up to about 8 when sparged with air, or up to about 9 when it is not sparged with air, to facilitate the precipitation of manganese and zinc. The manganese and zinc precipitates are collected by known means and dissolved in an acid

-16-

solution in step 430, as previously discussed herein. In certain embodiments, the remaining brine solution from extraction step 420, from which the manganese and zinc have been removed, can then be reinjected into the geothermal well from which the brine was originally removed.

[0066] Optionally, the acid solution, which includes the manganese and zinc, can be purified in step 440, to remove trace metal impurities, such as heavy metals, for example, cobalt, copper, cadmium, nickel, and the like. The acid solution is then extracted in step 450 to recover zinc, as previously provided. Thus, following extraction, a first solution, which includes zinc and the extraction solvent, is produced and a second solution, which includes manganese, is produced.

[0067] The zinc can then be recovered by electrochemical means in step 460, such as by electrowinning or a like process, as previously discussed. Manganese can be recovered by first oxidizing the manganese in step 470 to produce manganese dioxide, as previously discussed, which can then be recovered electrochemically in step 480 by known means. As previously discussed, as shown by the dashed lines, following recovery of the manganese and zinc, the solutions from steps 460 and 480 can be recycled to solvent extraction step 450 or to the acid solution of dissolution step 430, respectively.

[0068] In another embodiment, as provided in Figure 5, process 500 for the recovery of manganese or zinc from a geothermal brine is provided. As previously discussed, first step 510 of the process includes the removal of iron and silica from the brine solution. In certain embodiments, the iron is oxidized and base is added to control the pH of the solution. In certain embodiments, iron is oxidized with air and the base is calcium oxide or calcium hydroxide.

[0069] Following the removal of the iron and silica, in precipitation step 520, additional base to adjust the pH to at least about 6 is added to facilitate the precipitation of manganese and zinc. The manganese and zinc precipitates are collected by known means, such as by filtration, centrifugation, or a like process, and dissolved in an acid solution in step 530, as previously discussed herein. Optionally, the acid solution, which includes the manganese and zinc, can be purified. In certain embodiments, the remaining brine solution from extraction step 520, from which the manganese and zinc have been removed, can then be reinjected into the geothermal well from which the brine was originally removed.

-17-

[0070] The acid solution from step 530 can then be extracted in extraction step 540 to recover manganese and zinc, as previously provided, to provide an extract solution that includes both manganese and zinc. The manganese in the extract solution can be oxidized in step 550 to produce manganese dioxide, which can then be separated by filtration or other known means in step 560. Zinc remaining in the extract solution can then be recovered in step 570 by electrochemical means, such as electrowinning or a like process. In certain embodiments, as shown by the dashed line, the solution from zinc electrochemical recovery step 570 can be recycled to the dissolution step 530.

[0071] In another embodiment, as provided in Figure 6, process 600 for the recovery of manganese or zinc from a geothermal brine is provided. As previously discussed, first step 610 of the process includes the removal of iron and silica from the brine solution. In certain embodiments, the iron is oxidized and base is added to control the pH of the solution, preferably to at least about 5 and up to about 6. In certain embodiments, iron is oxidized with air and the base is calcium oxide or calcium hydroxide.

[0072] Following the removal of the iron and silica, in precipitation step 620, additional base is added to achieve a pH of at least about 6 to cause the precipitation of manganese and zinc. The manganese and zinc precipitates are collected by known means in step 630 and dissolved in an acid solution in step 640, as previously discussed herein. Optionally, the acid solution, which includes the manganese and zinc, can be purified. In certain embodiments, the remaining brine solution from extraction step 630, from which the manganese and zinc have been removed, can then be reinjected into the geothermal well from which the brine was originally removed.

[0073] The acid solution from step 640 is then subjected to a double extraction step 650, wherein the acid solution is contacted with two separate extraction solvents to recover two separate streams, wherein recovery step 670 recovers a first stream that includes manganese and a second stream is recovered includes zinc. Appropriate extraction solvents for the extraction of manganese and zinc have been previously discussed. The manganese in the first stream can be oxidized in oxidation step 680 to produce manganese dioxide, which is then separated by filtration or other known means. The zinc in the second stream can be recovered by electrochemical means, such as electrowinning, in step 660. As previously discussed, as shown by the dashed lines, following recovery of the zinc and manganese in

steps 660 and 680, the respective solutions can be recycled to solvent extraction step 650 or to manganese stream recovery step 670, respectively.

[0074] In another embodiment, as provided in Figure 7, process 700 for the recovery of manganese or zinc from a geothermal brine is provided. As previously discussed, a first step of the process includes the removal of iron and silica from the brine solution. In certain embodiments, the iron is oxidized and base is added to control the pH of the solution to about 5 and 6. In certain embodiments, iron is oxidized with air and the base is calcium oxide or calcium hydroxide.

[0075] Following the removal of the iron and silica, in precipitation step 720, additional base is added to achieve a pH of between about 6 and 9, preferably up to about 8 when sparged with air, or up to about 9 when it is not sparged with air, to facilitate the precipitation of manganese and zinc. The manganese and zinc precipitates are separated from a liquid phase in step 730, collected by known means, such as filtration, centrifugation or a like process, and dissolved in an acid solution in step 740, as previously discussed herein. Optionally, the acid solution, which includes the manganese and zinc, can be purified. In certain embodiments, the remaining brine solution from extraction step 730, from which the manganese and zinc have been removed, can then be reinjected into the geothermal well from which the brine was originally removed.

[0076] The acid solution from step 740 is then subjected to double extraction step 750, wherein the acid solution is contacted with two separate extraction solvents to recover two separate streams, wherein the first stream recovered in step 770 includes manganese, and wherein the second stream includes zinc. Appropriate extraction solvents for the extraction of manganese and zinc have been previously discussed. The manganese in the first stream can be electrolytically reduced in step 780, as is known in the art, to produce manganese metal. The zinc in the second stream can be recovered by electrochemical means in step 760, such as by electrowinning or a like process. As previously discussed, as shown by the dashed line, following recovery of the zinc and manganese in steps 760 and 780, the respective solutions can be recycled to the solvent extraction step 750 or to manganese stream recovery step 770, respectively. In certain embodiments, as shown by the dashed line, at least a portion of the non-extraction solvent solution from extraction step 750 can be recycled to dissolution step 740.

-19-

[0077] In another embodiment, as provided in Figure 8, process 800 for the recovery of manganese or zinc from a geothermal brine is provided. As previously discussed, a first step of the process includes the removal of iron and silica from the brine solution. In certain embodiments, the iron is oxidized and base is added to control the pH of the solution to between about 4.5 and 6, preferably between about 4.75 and 5.5. In certain embodiments, iron is oxidized with air and the base is calcium oxide or calcium hydroxide.

[0078] Following the removal of the iron and silica, in precipitation step 820, additional base is added to achieve a pH of between about 6 and 9, preferably up to about 8 when sparged with air, or up to about 9 when it is not sparged with air, to facilitate the precipitation of manganese and zinc. The manganese and zinc precipitates are separated and collected by known means in step 830, such as by filtration, centrifugation or a like process, and dissolved in an acid solution, as previously discussed herein. Optionally, the acid solution that includes the manganese and zinc can be purified. In certain embodiments, the remaining brine solution from extraction step 830, from which the manganese and zinc have been removed, can then be reinjected into the geothermal well from which the brine was originally removed.

[0079] The acid solution is then subjected to a double extraction in step 840, wherein the acid solution is contacted with two separate extraction solvents to recover two separate streams, wherein the first stream includes manganese and the second stream includes zinc. Appropriate extraction solvents for the extraction of manganese and zinc have been previously discussed. The manganese in the first stream can be reacted in step 850 with an acid, such as sulfuric acid, hydrochloric acid, hydrobromic acid, or a like acid to produce a manganese salt, which can then be recovered by precipitation in step 860. The zinc in the second stream can be recovered by electrochemical means, such as electrowinning or like means, or may also be reacted in step 870 with an acid, such as sulfuric acid, hydrochloric acid, hydrobromic acid, or a like acid to produce a salt solution and recovered in step 880 by precipitation, evaporative crystallization, spray drying, or a like process. As previously discussed, as shown by the dashed line, following recovery of the manganese and zinc salts in steps 860 and 880, the respective solutions can be recycled to solvent extraction step 840.

[0080] In another embodiment, as shown in Figure 9, process 900 for the recovery of manganese or zinc from a geothermal brine is provided. As previously discussed, first step 910 of the process includes the removal of iron and silica from the brine solution. In certain embodiments, the iron is oxidized and base is added to control the pH of the solution to

-20-

between about 4.5 and 6, preferably between about 4.75 and 5.5 In certain embodiments, iron is oxidized with air and the base is calcium oxide or calcium hydroxide.

[0081] Following the removal of the iron and silica, in precipitation step 920 additional base is added to achieve a pH of between about 6 and 9, preferably up to about 8 when sparged with air, or up to about 9 when it is not sparged with air, to facilitate the precipitation of manganese and zinc. The manganese and zinc precipitates are separated in step 930, collected by known means and dissolved in an acid solution in step 940, as previously discussed herein. Optionally, the acid solution, which includes the manganese and zinc, can be purified, as previously discussed. In certain embodiments, the remaining brine solution from extraction step 930, from which the manganese and zinc have been removed, can then be reinjected into the geothermal well from which the brine was originally removed.

[0082] The acid solution is contacted with an ion exchange resin in step 950, preferably a basic anionic exchange resin, to remove zinc from the solution. In step 960, manganese can be recovered from the solution by electrolytically depositing manganese dioxide from the substantially silica free brine, such as by electrowinning or a like process. In step 970, zinc can then be recovered from the ion exchange resin by known means, and can be converted electrochemically in step 980 to zinc, and the zinc can then be converted to zinc oxide by known means. Optionally, as shown by the dashed line, following removal of the manganese in step 960, the remaining solution can be recycled to dissolution step 940. Similarly, as shown by the dashed line, following zinc recovery step 970, the remaining brine solution can be recycled to ion exchange resin contacting step 950.

[0083] In certain embodiments, an aqueous chloride solution is employed to wash zinc from the ion exchange resin, preferably having a chloride concentration of between about 0.5 and 5%. Optionally, multiple ion exchange resins can be employed. Optionally, at least a portion of a zinc solution produced by washing the ion exchange resin can be recycled to a prior stage of the process. In certain embodiments, the zinc solution produced by washing the ion exchange resin can be extracted with a solvent, wherein the solvent advantageously extracts zinc from the solution. Exemplary extraction solvents have been previously discussed, and can include D2EHPA or the like. Following removal of zinc from the ion exchange resin, a zinc-rich solution is obtained and zinc can then be recovered electrochemically from the zinc-rich solution.

[0084] Referring now to Figure 10, in another aspect, a tenth process for the recovery of manganese and/or zinc from a geothermal brine is provided. First step 1010 of the process includes the removal of iron and silica from the brine solution, as previously described herein. In certain embodiments, the iron is oxidized and base is added to control the pH of the solution to between about 4.5 and 6, preferably between about 4.75 and 5.5. In certain embodiments, iron is oxidized with air and the base is calcium oxide or calcium hydroxide.

[0085] Following the removal of the iron and silica, in precipitation step 1020, additional base is added to cause the precipitation of manganese and zinc. The manganese and zinc precipitates are collected by known means and, in step 1030, dissolved in an acid solution, as previously discussed herein. Optionally, the acid solution that includes the manganese and zinc can be purified. In certain embodiments, the remaining brine solution from extraction step 1020, from which the manganese and zinc have been removed, can then be reinjected into the geothermal well from which the brine was originally removed.

[0086] The acid solution is filtered in step 1040 to produce a manganese containing solution and zinc precipitates. Zinc precipitates are electrochemically converted to zinc metal in step 1050. The solution is passed to zinc solvent extraction step 1060 to recovery remaining zinc. The manganese containing solution from the filtration step is provided to a reduction step 1070 wherein the manganese containing solution is contacted with a reducing agent, such as SO<sub>2</sub>. In step 1080, the reduced manganese can be recovered from the solution by electrolytically depositing manganese dioxide, such as by electrowinning.

[0087] Optionally, as shown by the dashed line, following recovery of zinc in electrochemical recovery step 1060, a sulfuric acid-rich solution can be recycled to zinc extraction step 1050. Similarly, as shown by the dashed line, following the electrochemical recovery of manganese in step 1080, the remaining brine solution can be recycled to either precipitation step 1020 or dissolution step 1030.

[0088] Referring now to Figure 11, in another aspect, a tenth process for the recovery of manganese and/or zinc from a geothermal brine is provided. First step 1110 of the process includes the removal of iron and silica from the brine solution, as previously described herein. In certain embodiments, the iron is oxidized and base is added to control the pH of the solution to between about 4.5 and 6, preferably between about 4.75 and 5.5. In certain embodiments, iron is oxidized with air and the base is calcium oxide or calcium hydroxide.

[0089] Following the removal of the iron and silica, in precipitation step 1120, additional base is added to cause the precipitation of manganese and zinc. The manganese and zinc precipitates are collected by known means and, in step 1130, dissolved in an ammonium sulfate solution. Optionally, the ammonium sulfate solution that includes the manganese and zinc can be purified. In certain embodiments, the remaining brine solution from extraction step 1020, from which the manganese and zinc have been removed, can then be reinjected into the geothermal well from which the brine was originally removed.

[0090] The ammonium sulfate solution is filtered in step 1040 to produce a manganese containing solution and zinc precipitates. Zinc precipitates are electrochemically converted to zinc metal in step 1050. The solution is passed to zinc solvent extraction step 1060 to recovery remaining zinc. The manganese containing solution from the filtration step is provided to a reduction step 1070 wherein the manganese containing solution is contacted with a reducing agent, such as SO<sub>2</sub>. In step 1080, the reduced manganese can be recovered from the solution by electrolytically depositing manganese dioxide, such as by electrowinning.

[0091] Optionally, as shown by the dashed line, following recovery of zinc in electrochemical recovery step 1060, a sulfuric acid-rich solution can be recycled to zinc extraction step 1050. Similarly, as shown by the dashed line, following the electrochemical recovery of manganese in step 1080, the remaining brine solution can be recycled to either precipitation step 1020 or dissolution step 1030.

[0092] In certain embodiments of the present invention, as described herein, solid zinc oxide produced electrochemically or by ion exchange extraction can be dissolved in various acids for the production of zinc compounds. For example, in one embodiment, zinc oxide can be added to hydrochloric acid to form solid zinc chloride. The solid zinc chloride can then be separated by filtration. In certain embodiments, the zinc chloride can be isolated from solution by removing the liquid by evaporation, spray drying, or other known methods. In an alternate embodiment, zinc oxide can be added to hydrobromic acid to form zinc bromide. Alternatively, zinc oxide can be added to sulfuric acid to form zinc sulfate. Alternatively, zinc oxide can be added to methylsulfonic acid to form zinc methylsulfonate. In certain embodiments, to facilitate precipitation of the various zinc compounds, a portion of the solution can be evaporated, or the zinc compound can be separated by spray drying. In

-23-

certain embodiments, recovered solid zinc compounds can be washed with minimal water and dried.

# [0093] Examples

[0094] For testing purposes, a synthetic brine was employed for examples 1-3 having metal concentrations of approximately the following: 1600 mg/L Fe; 96 mg/L Si; 2500 mg/L Mn; 790 mg/L Zn; 290 mg/L Li; 41,000 mg/L Ca; 27,000 mg/L K; 85,500 mg/L Na; and 185 mg/L Sr.

[0095] Example 1. Approximately 1.22 L of the synthetic brine was placed in a 2L reactor and maintained at a temperature of between about 90-95°C and sparged with air at a rate of about 2.25 L/minute. The initial pH of the brine was about 4.89. To the reaction approximately 14 g of a 20% slurry of calcium hydroxide added. After addition of the slurry, a pH of about 2.85 was achieved, which gradually increased to approximately 3.56 after about 10 minutes. After 40 minutes, at which time the pH was about 2.9, approximately 5.33 g of a 20% slurry of calcium hydroxide was added, which raised the pH to about 4.07. The brine and the calcium hydroxide slurry were mixed for approximately 30 min, during which time the pH decreased to approximately 4.0, at which time approximately 21.22 g of the 20% slurry of calcium hydroxide was added. The addition of the calcium hydroxide slurry increased the pH to approximately 4.5. The mixture was stirred for about another 20 minutes, after which approximately 28.54 g of the calcium hydroxide slurry was again added, and the pH increased to approximately 5.18. The reaction was allowed to stir for an about additional 30 minutes, and the solid was collected and weighed. The solid includes approximately 99.6% of the iron present in the brine and approximately 99.9% of the silica. Additionally, approximately 49.2% of the manganese present in the brine was removed.

[0096] Example 2. Approximately 1.32 L of the synthetic brine was placed in a 2L reactor and maintained at a temperature of between about 90-95°C and sparged with air at a rate of about 2.25 L/minute. The reaction was stirred for approximately 60 minutes and the pH of the solution was monitored. After about 60 minutes, a pH of about 2.05 was achieved. To the brine solution was added approximately 9.73 g of a 20% slurry of calcium hydroxide, which raised the pH to about 5.4. The brine and the calcium hydroxide slurry were mixed for approximately 30 min, during which time the pH decreased to approximately 3.4, at which time approximately 2.56 g of the 20% slurry of calcium hydroxide was added. The addition of the slurry increased the pH to approximately 4.9. The mixture was stirred for about

-24-

another 20 minutes, after which approximately 1.21 g of the calcium hydroxide slurry was again added, and the pH increased to approximately 5.3. The reaction was allowed to stir for about an additional 70 minutes, and the solid was collected and weighed. The solid includes approximately 98% of the iron present in the brine and approximately 99% of the silica. Additionally, approximately 2% of the manganese present in the brine was removed.

[0097] Example 3. Approximately 1.32 L of the synthetic brine was placed in a 2L reactor and maintained at a temperature of between about 90-95°C and sparged with air at a rate of about 2.25 L/minute. The reaction was stirred for approximately 60 minutes and the pH of the solution was monitored. After about 22 minutes, a pH of about 2.52 was achieved. To the brine solution was added approximately 9.7 g of a 20% slurry of calcium hydroxide, which raised the pH to about 5.56. The brine and the calcium hydroxide slurry were mixed for approximately 13 min, during which time the pH decreased to approximately 4.27, at which time approximately 1.9 g of the 20% slurry of calcium hydroxide was added. The addition of the calcium hydroxide slurry increased the pH to approximately 5.2. The mixture was stirred for about another 5 minutes, during which time the pH decreased to approximately 4.49. Approximately 2.25 g of the calcium hydroxide slurry was again added, and the pH increased to approximately 5.17. The reaction was allowed to stir for about an additional 110 minutes, during which time the pH was maintained at between about 5.13 and 5.17, and the solid was collected and weighed. The solid includes approximately 95.6% of the iron present in the brine and approximately 88.5% of the silica. Additionally, approximately 2% of the manganese present in the brine was removed.

[0098] Example 4. A synthetic brine having a composition that includes about 330 mg/L Li; 2400 mg/L Mn; 740 mg/L Zn; 40,000 mg/L Ca; 26,000 mg/L K; 91,000 mg/L Na; 180 mg/L Sr and 0.8 mg/L Fe was placed in a 2L reactor and maintained at a temperature of between about 90-95°C and sparged with air at a rate of about 2.25 L/minute. The initial pH was approximately 5.5. After sparging the reactor with air, a calcium hydroxide slurry was added sufficient to bring the pH to approximately 6.6. Additional calcium hydroxide slurry was added over about the next 180 minutes at various intervals. During the addition of the calcium hydroxide slurry, the pH increased from an initial value of about 6.6 to 8. A precipitate was collected which included zinc and manganese. The process recovered about 95.2% of the manganese present in the brine, about 94.6% of the zinc present in the brine, about 0.8% of the calcium present in the brine, and about 75% of the iron present in the brine. Due to the high recovery of iron by this process, the need for removal is confirmed.

-25-

[0099] Example 5. A synthetic brine having a composition that includes about 326 mg/L Li; 2640 mg/L Mn; 886 mg/L Zn; 41,000 mg/L Ca; 28,000 mg/L K; 84,000 mg/L Na; 180 mg/L Sr and 0.3 mg/L Fe was placed in a 2L reactor and maintained at a temperature of between about 90-95°C and sparged with air at a rate of about 2.25 L/minute. After sparging the reactor with air, a calcium hydroxide slurry was added in a single dosage sufficient that the pH of the brine solution was measured immediately after addition of the calcium hydroxide slurry and was about 7.6. During the stirring and sparging of the reaction, the pH increased from an initial value of about 7.6 to 7.9 after approximately 15 minutes, and then decreased gradually to about 7.5. A precipitate was collected which included zinc and manganese. The process recovered about 100% of the manganese present in the brine, about 99.9% of the zinc present in the brine, and about 8% of the lithium present in the brine.

[00100] As is understood in the art, not all equipment or apparatuses are shown in the figures. For example, one of skill in the art would recognize that various holding tanks and/or pumps may be employed in the present method.

[00101] The singular forms "a", "an" and "the" include plural referents, unless the context clearly dictates otherwise.

[00102] Optional or optionally means that the subsequently described event or circumstances may or may not occur. The description includes instances where the event or circumstance occurs and instances where it does not occur.

[00103] Ranges may be expressed herein as from about one particular value, and/or to about another particular value. When such a range is expressed, it is to be understood that another embodiment is from the one particular value and/or to the other particular value, along with all combinations within said range.

[00104] Throughout this application, where patents or publications are referenced, the disclosures of these references in their entireties are intended to be incorporated by reference into this application, in order to more fully describe the state of the art to which the invention pertains, except when these reference contradict the statements made herein.

[00105] As used herein, recitation of the term about and approximately with respect to a range of values should be interpreted to include both the upper and lower end of the recited range.

[00106] Although the present invention has been described in detail, it should be understood that various changes, substitutions, and alterations can be made hereupon without departing from the principle and scope of the invention. Accordingly, the scope of the present invention should be determined by the following claims and their appropriate legal equivalents.

#### We claim:

1. A method for recovering zinc and manganese from a geothermal brine, the method comprising the steps of:

providing a geothermal brine, said geothermal brine comprising manganese and zinc;

selectively removing silica and iron from the geothermal brine to produce a substantially silica free brine;

adjusting the pH of the substantially silica free brine to a pH suitable to form precipitates of zinc and manganese, such that precipitates of zinc and manganese are selectively formed and other metal precipitates are not formed;

separating the zinc and manganese precipitates from the brine;

dissolving the precipitates of zinc and manganese to produce a zinc and manganese containing solution;

extracting the zinc and manganese containing solution with an extraction solvent to produce a first liquid phase that includes the extraction solvent and zinc and a second liquid phase that includes manganese;

separating the first and second liquid phases;

electrochemically recovering zinc from the first liquid phase;

reducing the second liquid phase to form Mn<sup>2+</sup>;

supplying the Mn<sup>2+</sup> to an electrochemical cell and recovering the manganese by electrochemical means.

- 2. The method of claim 1 wherein the precipitates of zinc and manganese are dissolved in an acid.
- 3. The method of claim 1 wherein the precipitates of zinc and manganese are dissolved in ammonium sulfate.

- 4. The method of claim 1 wherein the step of selectively removing silica and iron from the geothermal brine comprises providing iron (III) at a pH of between about 4.5 and 6 and precipitating the silica and iron from the brine.
- 5. The method of claim 1 wherein the step of precipitating the zinc and manganese comprises adding sufficient base to adjust the pH to between 6 and 8 and providing an air oxidant to the substantially silica free brine.
- 6. The method of claim 1 further comprising contacting the zinc with hydrochloric acid to produce zinc chloride.
- 7. A method for recovering zinc and manganese from a geothermal brine, the method comprising the steps of:

providing a geothermal brine, said geothermal brine comprising manganese and zinc; selectively removing silica and iron from the geothermal brine to produce a substantially silica free brine;

adjusting the pH of the substantially silica free brine to a pH suitable to form precipitates of zinc and manganese, such that precipitates of zinc and manganese are selectively formed and other metal precipitates are not formed;

separating the zinc and manganese precipitates from the brine;

dissolving the precipitates of zinc and manganese to produce a zinc manganese solution;

oxidizing the manganese to form a manganese precipitate and a zinc solution; separating the manganese precipitate from the zinc solution; recovering the zinc by electrochemical means.

8. The method of claim 7 wherein the step of selectively removing silica and iron from the geothermal brine comprises providing iron (III) at a pH of between about 4.5 and 6 and precipitating the silica and iron from the brine.

- 9. The method of claim 7 wherein the step of precipitating the zinc and manganese comprises adding sufficient base to adjust the pH to between 6 and 8 and providing an air oxidant to the substantially silica free brine.
- 10. The method of claim 7 wherein the step of dissolving the zinc and manganese comprises providing a mineral acid sufficient to dissolve the zinc and manganese precipitate.
- 11. The method of claim 7 wherein the step of recovering the zinc by electrochemical means comprises plating an electrode with zinc metal from the zinc solution.
- 12. A method for recovering zinc and manganese from a geothermal brine, the method comprising the steps of:

providing a geothermal brine, said geothermal brine comprising manganese and zinc; selectively removing silica and iron from the geothermal brine to produce a substantially silica free brine that includes manganese and zinc;

removing the zinc from the substantially silica free brine;
extracting manganese from the substantially silica free brine;
oxidizing the manganese to produce a manganese dioxide precipitate; and
recovering the magnesium dioxide precipitate.

- 13. The method of claim 12 wherein the step of selectively removing silica and iron from the geothermal brine comprises providing iron (III) at a pH of between about 4.5 and 6 and precipitating the silica.
- 14. The method of claim 12 wherein the zinc is removed from the substantially silica free brine by ion exchange.
- 15. The method of claim 12 wherein the step of oxidizing the manganese to produce manganese dioxide comprises electrolytic deposition.
- 16. A method for recovering zinc and manganese from a geothermal brine, the method comprising the steps of:

providing a geothermal brine, said geothermal brine comprising manganese and zinc;

- selectively removing silica and iron from the geothermal brine to produce a substantially silica free brine that comprises manganese and zinc;
- extracting manganese and zinc from the substantially silica free brine to produce a manganese zinc solution;
- electrochemically removing manganese from the manganese zinc solution to produce a residual solution that includes zinc; and
- electrochemically removing zinc from the residual solution.
- 17. The method of claim 16 wherein the step of selectively removing silica and iron from the geothermal brine comprises providing iron (III) at a pH of between about 4.5 and 6 and precipitating the silica.
- 18. The method of claim 16 wherein the step extracting manganese and zinc from the substantially silica free brine comprises extracting the manganese and zinc with a solvent selected from the group consisting of phosphines, phosphoric acids, and phosphinic acids.
- 19. The method of claim 16 wherein the step of recovering the manganese by electrochemical means comprises plating an electrode with manganese metal from the manganese zinc solution.
- 20. The method of claim 16 wherein the step of electrochemically removing the zinc means comprises plating an electrode with zinc metal from the zinc solution.

SELECTIVE RECOVERY OF MANGANESE AND ZINC FROM GEOTHERMAL BRINES

## **ABSTRACT**

This invention relates to a method for the selective recovery of manganese and zinc from geothermal brines that includes the steps of removing silica and iron from the brine, oxidizing the manganese and zinc to form precipitates thereof, recovering the manganese and zinc precipitates, solubilizing the manganese and zinc precipitates, purifying the manganese and zinc, and forming a manganese precipitate, and recovering the zinc by electrochemical means.

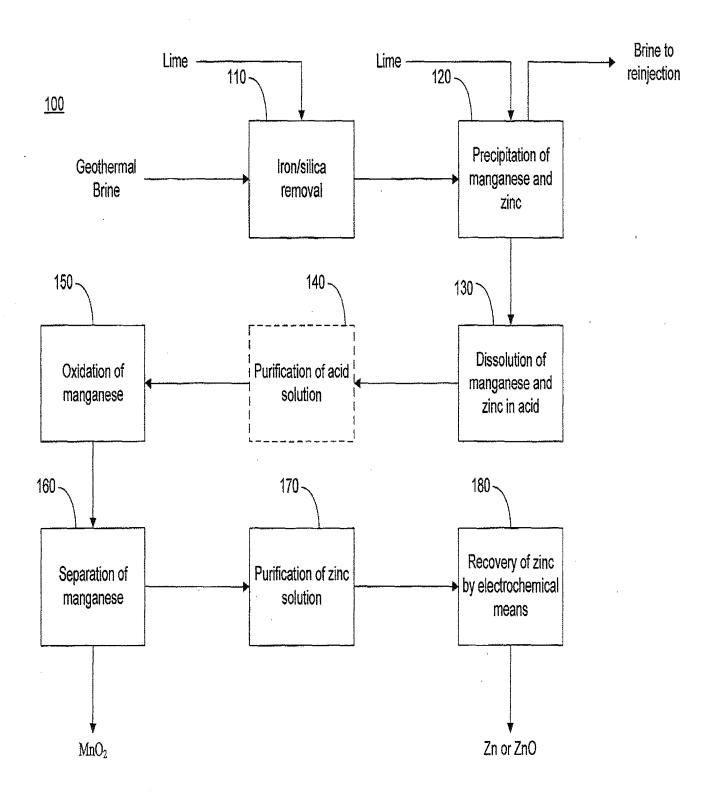


Figure 1

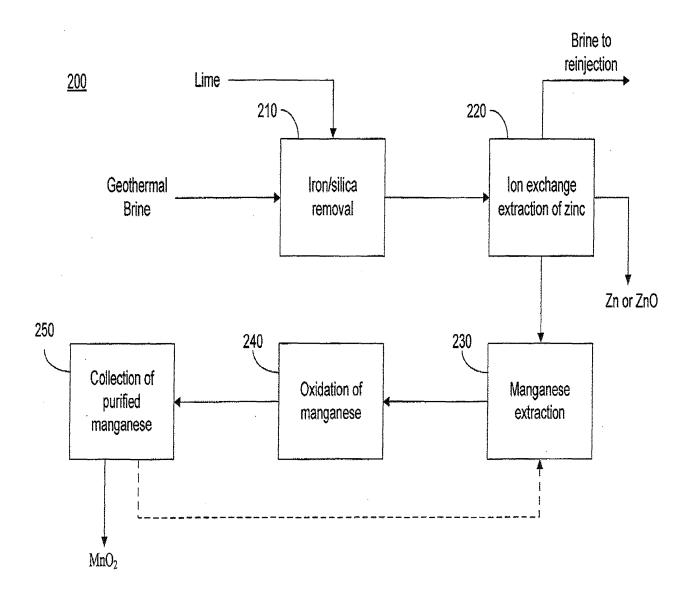


Figure 2

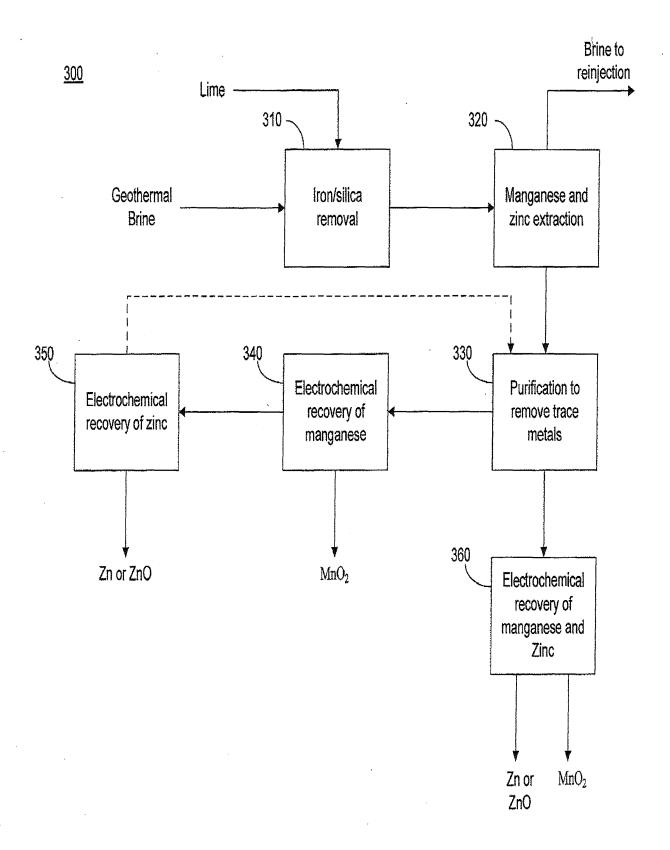


Figure 3

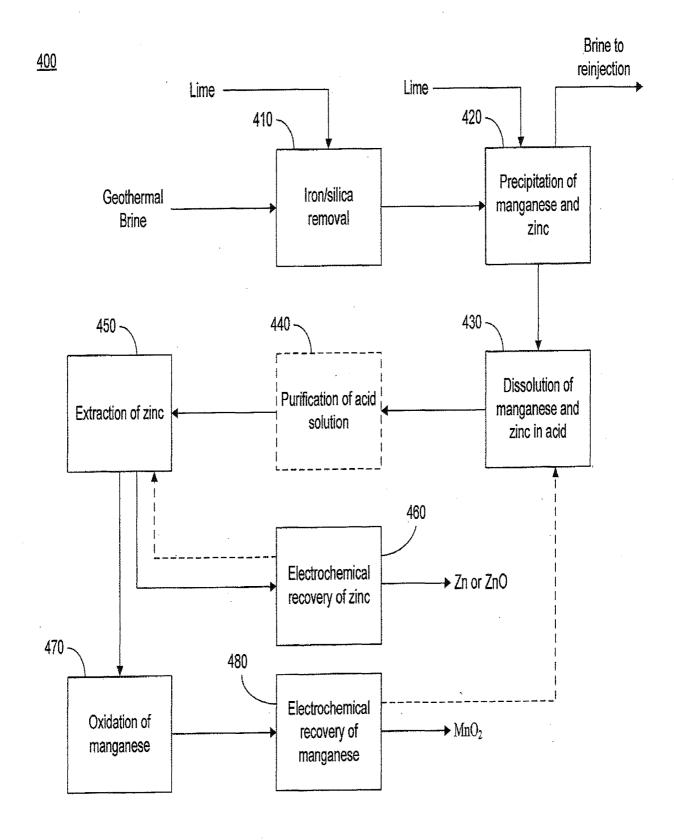


Figure 4

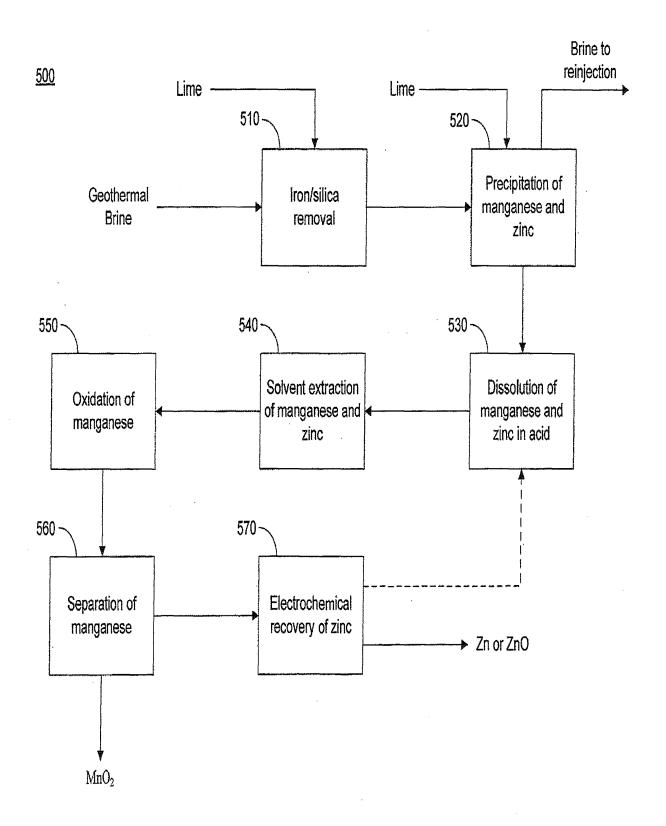


Figure 5

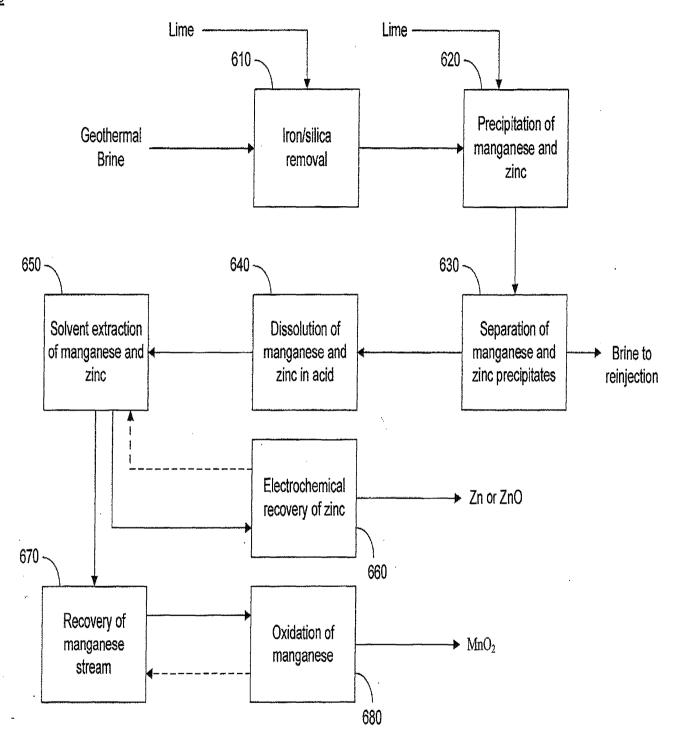


Figure 6

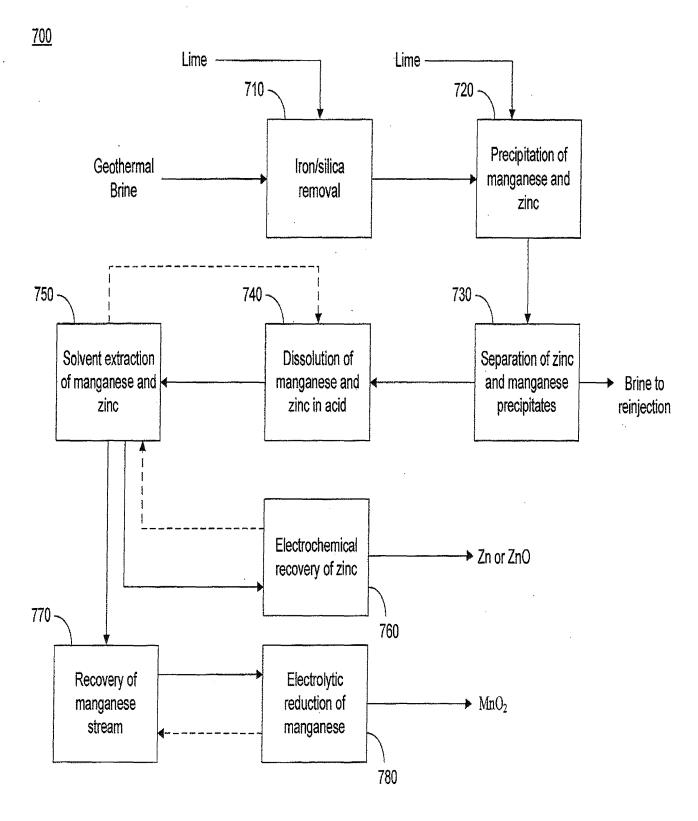


Figure 7

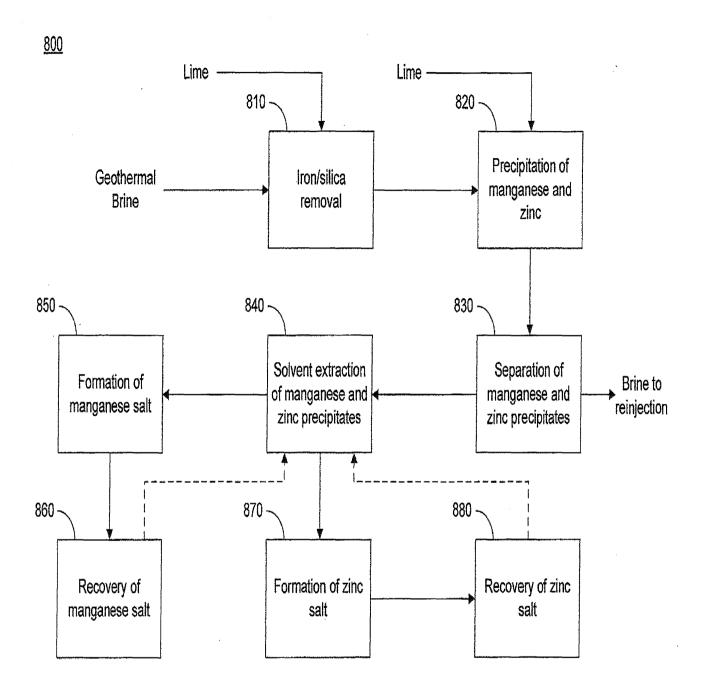


Figure 8

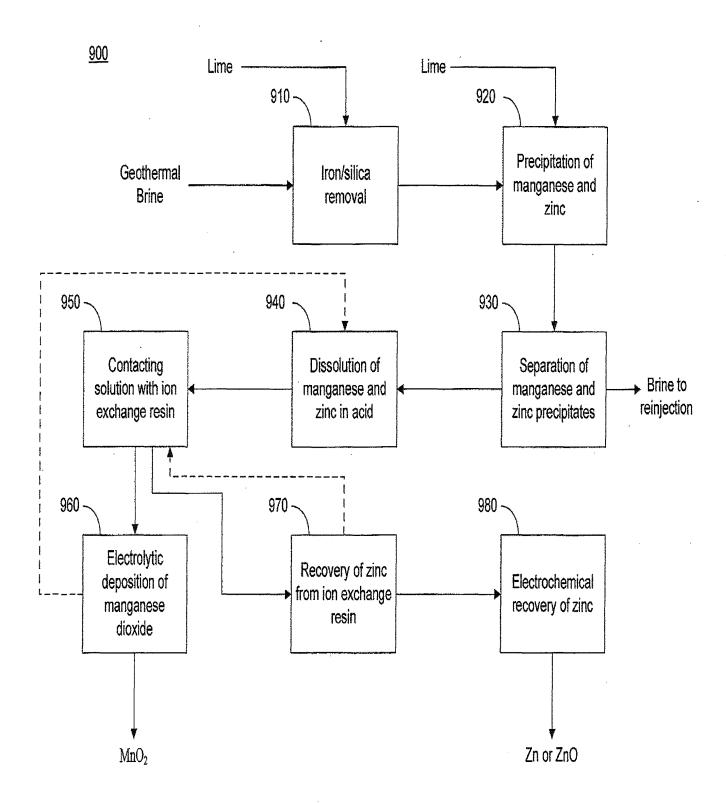


Figure 9

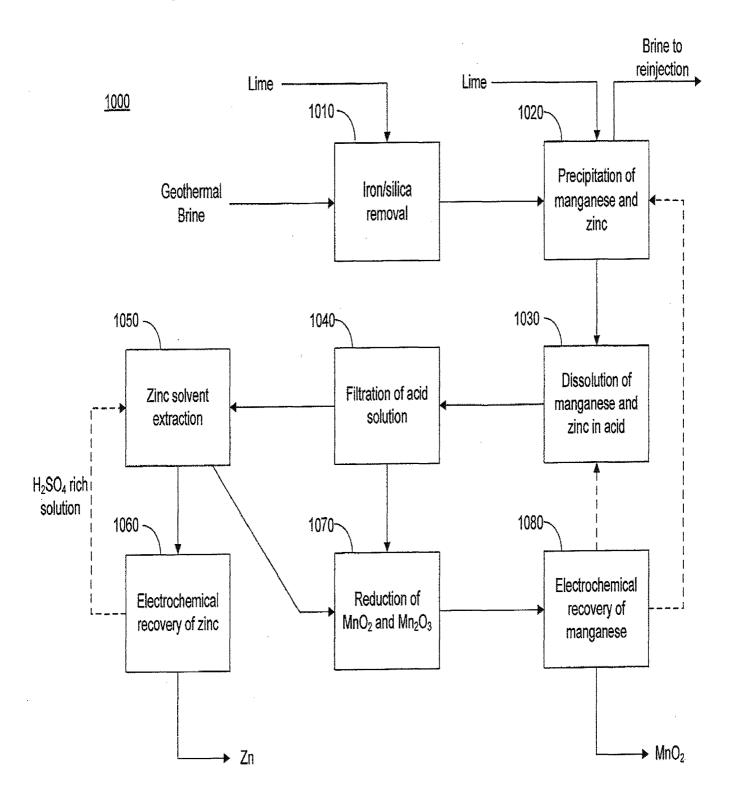


Figure 10

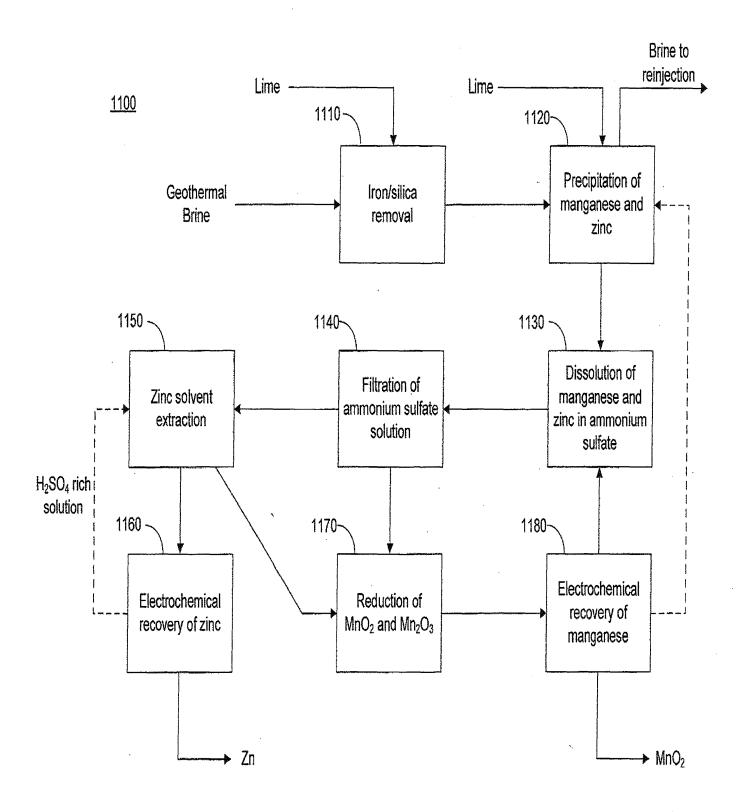


Figure 11

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875						Application or Docket Number 13/874,004		Filing Date 04/30/2013	To be Mailed	
ENTITY: ☐ LARGE ☐ SMALL ☐ MICRO										
APPLICATION AS FILED – PART I										
(Column 1) (Column 2)										
FOR			NUMBER FILED		NUMBER EXTRA		RATE (\$)		FEE (\$)	
	BASIC FEE (37 CFR 1.16(a), (b), or (c))		N/A		N/A		N/	A		
SEARCH FEE (37 CFR 1.16(k), (i), or (m))			N/A		N/A		N/A			
EXAMINATION FEE (37 CFR 1.16(o), (p), or (q))			N/A		N/A		N/A			
TOTAL CLAIMS (37 CFR 1.16(i))			minus 20 = *				X \$ =			
INDEPENDENT CLAIMS (37 CFR 1.16(h))			minus 3 = *				X \$ =			
	APPLICATION SIZE (37 CFR 1.16(s))	FEE for	If the specification and drawings exceed 100 shof paper, the application size fee due is \$310 (\$ for small entity) for each additional 50 sheets of fraction thereof. See 35 U.S.C. 41(a)(1)(G) and CFR 1.16(s).			\$155 or				_
	MULTIPLE DEPEN		,							
* If t	the difference in colu	ımn 1 is less	than zero, ente	r "0" in column 2.			ТОТ	AL		
APPLICATION AS AMENDED – PART II  (Column 1) (Column 2) (Column 3)										
AMENDMENT	04/30/2013	CLAIMS REMAININ AFTER AMENDME		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	A RATE (\$)		ADDITIONAL FEE (\$)	
	Total (37 CFR 1.16(i))	* 41	Minus	** 20	= 21		x \$40 =			840
	Independent (37 CFR 1.16(h))	* 35	Minus	***3	= 32		x \$210		(	6720
	Application Size Fee (37 CFR 1.16(s))						<u> </u>			
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))									
								D'L FEI		7560
		(Column	1)	(Column 2)	(Column 3	)				
AMENDMENT		CLAIMS REMAININ AFTER AMENDME	NG	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE	≣ (\$)	ADDITIO	ONAL FEE (\$)
	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$	=		
	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$	=		
	Application Size Fee (37 CFR 1.16(s))									
A	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))									
* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.										
* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.  ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".  *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".  The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.										

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS

ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.