1	UNITED STATES PATENT AND TRADEMARK OFFICE
2	
3	BEFORE THE PATENT TRIAL AND APPEAL BOARD
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	INTEL CORPORATION,)
6)
	PETITIONER,) CASE NO. IPR2020-00539
7) PATENT NO. 9,552,047
	VS.
8)
	PACT XPP SCHWEIZ AG,) CASE NO. IPR2020-00541
9) PATENT NO. 9,075,605
	PATENT OWNER.)
10)
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17	VIDEOTAPED REMOTE ZOOM DEPOSITION
18	WILLIAM MANGIONE-SMITH, PH.D.
19	THURSDAY, FEBRUARY 18, 2021
20	
21	
22	
23	
24	JOB NO. 4438905
25	REPORTED BY: DAYNA HESTER, C.S.R. 9970
	Page 1

1	VIDEOTAPED REMOTE ZOOM DEPOSITION OF WILLIAM
2	MANGIONE-SMITH, PH.D., TAKEN ON BEHALF OF
3	PETITIONER, AT 9:04 A.M., THURSDAY,
4	FEBRUARY 18, 2021, WITH THE WITNESS, COURT REPORTER,
5	AND VIDEOGRAPHER APPEARING REMOTELY VIA ZOOM
6	VIDEOCONFERENCE, BEFORE DAYNA HESTER, C.S.R. NO.
7	9970.
8	
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3	MILLIAM	MANGION	E-SMITH,	, PH.	D.		
4			BY	MR.	BROWN		7
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1	ZOOM VIDEOCONFERENCE	
2	THURSDAY, FEBRUARY 18, 2021; 9:04 A.M.	
3		
4	THE VIDEOGRAPHER: Good morning.	
5	We're going on the record at 9:04 a.m. on	09:04
6	February 18th, 2021.	09:04
7	This is Media Unit 1 of the video-recorded	09:04
8	deposition of William Mangione-Smith taken by	09:04
9	counsel for defendants in the matter of Intel	09:04
10	Corporation versus PACT XPP Schweiz AG filed in the	09:04
11	United States Patent and Trademark Office. Case	09:04
12	number is IPR2020-00539 and related cases.	09:04
13	This is a virtual Zoom deposition.	09:04
14	My name is Keigo Painter from the firm	09:04
15	Veritext. I'm the videographer. The court reporter	09:04
16	is Dayna Hester from the firm Veritext.	09:04
17	I am not related to any party in this	09:04
18	action, nor am I financially interested in the	09:04
19	outcome.	09:04
20	Counsel and all present in the room and	09:04
21	everyone attending remotely will now state their	09:04
22	appearances and affiliations for the record.	09:04
23	If there are any objections to the	09:04
24	proceeding, please state them at the time of your	09:04
25	appearance beginning with the noticing attorney.	09:05
	Page	e 5

1	MR. BROWN: Good morning.	09:05
2	I'm Brandon Brown with Kirkland & Ellis	09:05
3	representing Petitioners Intel.	09:05
4	And with me also from Kirkland & Ellis is	09:05
5	Kyle Calhoun and Sarah Mikosz.	09:05
6	MR. TUNG: Mark Tung from Quinn Emanuel	09:05
7	for PACT XPP.	09:05
8	THE VIDEOGRAPHER: Okay. Will the court	09:05
9	reporter please swear in the witness.	09:05
10	THE REPORTER: Okay. Would you please	
11	raise your right hand.	
12	THE WITNESS: (Witness did as requested.)	
13	THE REPORTER: Do you affirm the testimony	
14	you are about to give in the cause now pending will	
15	be the truth, the whole truth, and nothing but the	
16	truth?	
17	THE WITNESS: Yes, I do.	
18	THE REPORTER: Thank you.	
19		
20	WILLIAM MANGIONE-SMITH, PH.D.	
21	having been first duly sworn, was	
22	examined and testified as follows,	
23		09:05
24	THE VIDEOGRAPHER: Thank you.	09:05
25	You may proceed.	09:05
	Page	e 6

1	MR. BROWN: Thank you.	09:05
2		09:05
3	EXAMINATION	09:05
4	BY MR. BROWN:	09:05
5	Q. Good morning, Doctor. It's good to see	09:05
6	you again.	09:05
7	A. Yes. How are you doing?	09:05
8	Q. I'm well. Thanks.	09:05
9	You have been deposed this is the	09:05
10	fourth time on matters between Intel and PACT in the	09:05
11	last couple of weeks; is that right?	09:06
12	A. Yeah. It's been a little busy.	09:06
13	Q. So I'll I'll skip unless you would	09:06
14	like me to do it, I'll skip the usual explanation of	09:06
15	how a deposition works because I think you are	09:06
16	pretty familiar with it at this point. Is that	09:06
17	fair?	09:06
18	A. That is fair. Thank you.	09:06
19	Q. Yeah. Let me ask some of the the basic	09:06
20	introductory questions, though.	09:06
21	Is there are you in electronic	09:06
22	communication or any kind type of communication with	09:06
23	counsel for PACT?	09:06
24	A. No. Not other than he's on the screen.	09:06
25	Q. Okay. And on your computer in front of	09:06
		- 7
	Page	= /

1	you, is anything open other than the Exhibit Share	09:06
2	and your Zoom window?	09:06
3	A. The computer off to the side, I have the	09:06
4	Exhibit Share opened up in the browser, and I've got	09:06
5	some other browser windows open that I intend to get	09:06
6	back to later.	09:06
7	Q. Okay.	09:06
8	A. But I'm not looking at them.	09:06
9	Q. I missed what you said but you are not	09:06
10	looking at you're not looking never mind. It	09:06
11	just showed up.	09:06
12	Okay. And is are there any notes	09:07
13	around you or anything you will be referring to as	09:07
14	we proceed through the deposition?	09:07
15	A. No.	09:07
16	Q. And is there any reason today why you	09:07
17	can't give true and complete testimony?	09:07
18	A. Not that I am aware of.	09:07
19	Q. You are not any on medication or under the	09:07
20	influence of anything that would impact your ability	09:07
21	to give truthful testimony today?	09:07
22	A. I don't believe so, no.	09:07
23	Q. Great. Okay. Well, so let's just jump	09:07
24	into it then. I've got marked as Exhibit 1 on the	09:07
25	Exhibit Share the Nicole reference.	09:07
	Page	e 8

1	MR. BROWN: For the record that is	09:07
2	U.S. Patent Number 6,141,762.	09:07
3	(Deposition Exhibit 1 was marked for	09:07
4	identification and is attached hereto.)	09:08
5	THE WITNESS: All right. I have that.	09:08
6	BY MR. BROWN:	09:08
7	Q. And you are familiar with the with	09:08
8	Exhibit 1 correct? Doctor?	09:08
9	A. Yes, I am.	09:08
10	Q. Okay. I want to take you, to begin with,	09:08
11	to Figure 3 of the Nicol reference, which is on	09:08
12	third page of the reference.	09:08
13	A. All right. I'm there.	09:08
14	Q. And can you tell me what Figure 3 is?	09:08
15	MR. TUNG: Objection. Vague.	09:08
16	THE WITNESS: Yeah. It's a figure that	09:08
17	they found useful for explaining what is going on in	09:08
18	Figure 2 system, but it's a little bit under	09:08
19	discussed, under explained.	09:08
20	BY MR. BROWN:	09:08
21	Q. So let's do some basics to make sure we're	09:08
22	on the same page.	09:09
23	The headings for the the headings on	09:09
24	the X axis, let's say, a "New Task Started," "Task	09:09
25	Ended," and "New Task Created."	09:09
	Page	e 9

1	You agree that those are incorrect; right?	09:09
2	MR. TUNG: Objection to form.	09:09
3	THE WITNESS: No. I I wouldn't say	09:09
4	that. I don't have a strong opinion one way or the	09:09
5	another. I am assuming they are correct because	09:09
6	that's the way they are written.	09:09
7	BY MR. BROWN:	09:09
8	Q. Okay. So let's look at Task Ended there	09:09
9	in the middle of Figure 3.	09:09
10	Do you believe that that point is when the	09:09
11	task ends in Nicol?	09:09
12	A. I believe that's when they indicate the	09:09
13	task ends.	09:09
14	Q. I see.	09:09
15	And so you think that a person of ordinary	09:09
16	skill in the art reading Nicol would believe that	09:09
17	that middle part of Figure 3 when the frequency and	09:09
18	voltage is at the very highest is when a task has	09:09
19	ended?	09:10
20	MR. TUNG: Objection.	09:10
21	THE WITNESS: I believe that a person of	09:10
22	ordinary skill in the art looking at this figure	09:10
23	would assume that it's labeled correctly. If that's	09:10
24	where the task ended, perhaps the consequence of its	09:10
25	ending and the adaptation of less in the system,	09:10
	Page	10

1	which will always have some delay as the significant	09:10
2	delay on the X axis.	09:10
3	BY MR. BROWN:	09:10
4	Q. Okay. So let me unpack that a little	09:10
5	bit.	09:10
6	Your understanding, then, is Figure 3, if	09:10
7	correctly labeled, indicates that when a task ends	09:10
8	there is a delay or a lag before the frequency is	09:10
9	reduced; is that correct?	09:10
10	A. Well, as an engineer I'll tell you that I	09:10
11	believe that there is a delay regardless of what the	09:10
12	figure shows. An event conditions change and	09:10
13	then the system responds.	09:10
14	I'm simply saying that perhaps that's the	09:11
15	delay they are trying to show, but it does seem	09:11
16	fairly large. The delay between when it's marked	09:11
17	"Task Ended" and when the system voltage and clock	09:11
18	speed gets reduced.	09:11
19	Q. Okay. Let's go to if you can go to	09:11
20	Column 4 at Line 54. Let's just review what Nicol	09:11
21	says about the figure.	09:11
22	A. All right. I am there.	09:11
23	Q. Okay. So figure at Line 54, Nicol	09:11
24	explains that [as read]:	09:11
25	"Figure 3 demonstrates the timing	09:11
	Page	11

1	associated with increasing" several	09:11
2	values there "when a new task is	09:12
3	created and the load on the	09:12
4	multiprocessor is thus increased"	09:12
5	Do you see that?	09:12
6	A. I do.	09:12
7	Q. Okay. And so when it says "is thus	09:12
8	increased," does that mean that there is there is	09:12
9	a possibility that there is already a load on that	09:12
10	micro that multiprocessor and that the addition	09:12
11	of a new task is a sub an additional task that	09:12
12	the multiprocessor is being given?	09:12
13	MR. TUNG: Objection. Assumes facts.	09:12
14	THE WITNESS: I do think that they	09:12
15	envision that there there could be a load, and	09:12
16	then the load increases. So there could be tasks	09:12
17	already leveled prior to that.	09:12
18	But if this helps, this discussion helps	09:12
19	point out some of the I suggested ambiguity.	09:12
20	It's not clear to me I mean, I know how tasks	09:12
21	work in general and in detail in a number of	09:12
22	operating systems. But when they say "Tasks	09:12
23	Created" and then "Tasks Started," it's not clear to	09:12
24	me exactly what they mean by those two terms.	09:13
25	BY MR. BROWN:	09:13
	Page	12

1	Q. Okay. Well, so so let's keep going on	09:13
2	that paragraph, and we'll come back to that in a	09:13
3	second. Maybe we can add some clarity.	09:13
4	It describes that Column 4 in Nicol	09:13
5	describes that a new task is created and the load on	09:13
6	the multiprocessor is thus increased. And then	09:13
7	explains in the next sentence at Line 58 [as read]:	09:13
8	"Specifically, it shows the	09:13
9	operating the system operating at	09:13
10	70 megahertz from a 1.8 voltage supply	09:13
11	when the load is increased in three	09:13
12	steps to 140 megahertz."	09:13
13	Do you see that?	09:13
14	A. Yes, I do.	09:13
15	Q. Okay. And so it that that's	09:13
16	describing a a step process by which the voltage	09:13
17	increases from 70 megahertz to 140 megahertz; right?	09:13
18	A. It is, but they say that's the load	09:13
19	increasing, which, again, is not very clear.	09:13
20	They they previously said the load increases when	09:14
21	they create task.	09:14
22	So when does the load increase. And I	09:14
23	would assume that the load associated with the task	09:14
24	is a fixed amount. It's not going up in a stage	09:14
25	mode.	09:14
	Page	13

1	Q. Okay. But it's what it is describing	09:14
2	here, if you would agree, is a process of increasing	09:14
3	the frequency from 70 megahertz to 140 megahertz	09:14
4	through a series of steps. Is that fair?	09:14
5	A. Yes. That is consistent with my	09:14
6	understanding of what they are doing here.	09:14
7	Q. And and those steps are are used to	09:14
8	reduce the noise associated with frequency of	09:14
9	voltage changes; right?	09:14
10	MR. TUNG: Objection.	09:14
11	THE WITNESS: That's consistent with my	09:14
12	recollection of their explanation. They probably	09:14
13	say it right there.	09:14
14	And, in fact, in Figure 3, if you go back,	09:14
15	you can see that there is a little bit of noise on	09:15
16	the on the voltage line at at those voltage	09:15
17	points.	09:15
18	BY MR. BROWN:	09:15
19	Q. And so what you are pointing out is that	09:15
20	on Figure 3 every time there is a voltage change,	09:15
21	there is some noise that that Nicol's device	09:15
22	experiences; right?	09:15
23	A. That's right.	09:15
24	Q. And that's because every time we change	09:15
25	the supply voltage, there is a risk of introducing	09:15
	Page	14
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1	noise; right?	09:15
2	A. That's correct. The figure is fairly	09:15
3	idealized. You are going to get ripples and and	09:15
4	a little bit of noise during regular operation as	09:15
5	well. But I believe that's what they are intending	09:15
6	to indicate there.	09:15
7	Q. And then the paragraph goes on to describe	09:15
8	that the final stage of Figure 3 is that some time	09:15
9	thereafter well, hold on. Let me pause there.	09:16
10	The sentence after the one we just read	09:16
11	says [as read]:	09:16
12	"When the 2.7 volt supply is stable,	09:16
13	as show by the supply voltage plot, the	09:16
14	new task is enabled for execution."	09:16
15	Do you see that?	09:16
16	A. Yes, I do.	09:16
17	Q. And what that means is that the task	09:16
18	once once the supply slots appear stable, the	09:16
19	task can then begin execution; right?	09:16
20	A. Yes.	09:16
21	Q. And then the final stage of Figure 3 it	09:16
22	explains is that, once that task completes, the	09:16
23	reduced load allows lowering the clock frequency to	09:16
24	a 100 megahertz; right?	09:16
25	A. [Witness reviews document].	09:16
	Page	15

1	Yes, I see that.	09:16
2	Q. And so let me ask let me ask this	09:16
3	initial question:	09:17
4	We started the we started Figure 3 at	09:17
5	70 megahertz. We increased to 140. And then when	09:17
6	we decreased, we only went to a 100.	09:17
7	What does that indicate about the number	09:17
8	of tasks that have been added to this multi this	09:17
9	processor's queue?	09:17
10	MR. TUNG: Objection. Assumes facts.	09:17
11	THE WITNESS: I I wouldn't know. I'm	09:17
12	not sure what it indicates.	09:17
13	BY MR. BROWN:	09:17
14	Q. So you don't know why we we started at	09:17
15	70, went to 140, but then dropped down to 100	09:17
16	instead of 70 at the end; right?	09:17
17	A. That's right. I would have expected it to	09:17
18	go back down. Perhaps if they continued Figure 3,	09:17
19	it would go back down. Perhaps other tasks were	09:17
20	introduced in the meantime, and the overall load is	09:17
21	higher. But I simply don't know.	09:18
22	Q. Okay. So it's it's possible one	09:18
23	example of what might happen there is that	09:18
24	additional tasks were added to the queue at some	09:18
25	point in the in the Figure 3 time plot; right?	09:18
	Page	16

1	MR. TUNG: Objection. Assumes facts.	09:18
2	THE WITNESS: It's possible. But it	09:18
3	seems actually now I think about it, it seems	09:18
4	very unlikely based on my understanding of Figure 3	09:18
5	and how the system in Figure 2 works.	09:18
6	BY MR. BROWN:	09:18
7	Q. And why why is it unlikely?	09:18
8	A. Well, Nicol is focused on real-time,	09:18
9	mission-critical applications with tasks that have	09:18
10	known time limits within which they need to	09:18
11	complete. And Nicol focuses on picking the critical	09:18
12	unit and setting the clock volt the frequency,	09:18
13	the clock frequency, to a rate such that it's just	09:19
14	above what is needed to complete.	09:19
15	So here, if a task is added, the frequency	09:19
16	goes up to a certain point and then never goes up	09:19
17	again. That leads me to believe that no other task	09:19
18	was added; otherwise, the conclusion would have	09:19
19	been, "Well, the amount of time needed for the new	09:19
20	task needs to also be taken into consideration."	09:19
21	Q. I see. Let me unpack that a little bit.	09:19
22	So so is it the case in Nicol that, for	09:19
23	every new task, no matter how large or small, you	09:19
24	believe there will be a corresponding frequency	09:19
25	change?	09:19
	Page	17

1	A. I don't believe that's consistent with	09:19
2	what I have said. No.	09:19
3	Q. Okay. Well, so that then I I'm	09:19
4	not trying to characterize what you said. I'm just	09:19
5	trying to ask the question. So	09:19
6	Is it correct that in Nicol, every new	09:20
7	task will always be accompanied by a frequency	09:20
8	change when it is added to a PE's queue?	09:20
9	A. Nicol does a very thin job explaining how	09:20
10	it actually does task allocation. There there is	09:20
11	a small number of parameters that are given.	09:20
12	So I would say that if if you have a PE	09:20
13	in the system in the system of Figure 2, if you	09:20
14	have a PE running a particular task and you add	09:20
15	another task to that PE, I would expect the clock	09:20
16	rate to go up.	09:20
17	That is typically what happens. You are	09:20
18	trying to set the system task to complete its task	09:20
19	at exactly the right time. Yeah. That's and	09:20
20	that's what I would expect.	09:20
21	But, like I said, there is not a whole lot	09:21
22	of explanation in Nicol for how the system works.	09:21
23	Q. And so so we know in Nicol that there	09:21
24	is there is a consideration of some some tasks	09:21
25	have completion required how do I say this.	09:21
	Page	18

1	Some tasks in Nicol have required	09:21
2	completion times. And we know that is not always	09:21
3	the case, though; right? Some tasks might not have	09:21
4	a required completion time.	09:21
5	A. Certainly, in general, there are systems	09:21
6	that have that are mission-critical, time	09:21
7	sensitive with known completion times and others	09:21
8	that don't have known completion times and are not	09:21
9	considered mission critical and/or are not	09:21
10	mission critical.	09:21
11	And my recollection is that Nicol was	09:21
12	focusing on the first I don't recall if they	09:21
13	specifically talked about addressing the second.	09:22
14	But maybe they did.	09:22
15	Certainly in the in the context of	09:22
16	Figure 2, I know they are focusing on, "Well, we	09:22
17	have got to set our tasks. We know how much time	09:22
18	they take. This is how we are going to allocate	09:22
19	them."	09:22
20	Q. Yeah. So let me just to clarify that	09:22
21	one, let me take you to Column 3, Line 49 to 50.	09:22
22	And there it says, in fact well,	09:22
23	actually, let's go we'll make the full point up	09:22
24	to 47. Nicol says [as read]:	09:22
25	"That is, the presumption is that	09:22
	Page	19

1	there is a certain time when the tasks	09:22
2	assigned to the chip must be finished."	09:22
3	And that's talking about the required	09:22
4	completion time that we were just discussing; right?	09:22
5	A. Right.	09:22
б	Q. And then the next sentence says [as read]:	09:22
7	"In fact, there might not be any	09:22
8	particular requirement for when the	09:22
9	tasks are to be finished."	09:22
10	Right?	09:22
11	A. Right.	09:22
12	Q. And so that's saying that there there	09:23
13	could also be circumstances, as you are saying, in	09:23
14	Nicol where there is no required completion time for	09:23
15	certain tasks. Is that fair?	09:23
16	A. They are certainly discussing that. I	09:23
17	don't know how that would work with the with the	09:23
18	schedule that they talked about.	09:23
19	But they do realized that there are in	09:23
20	computing systems there are tasks that don't have a	09:23
21	known completion time, and they envision being able	09:23
22	to address it. I just don't know how they do it	09:23
23	do address that.	09:23
24	Q. Right. And so so if we go back to	09:23
25	Figure 3 and we think about my original question,	09:23
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1	which is, is the frequency always going to increase	09:23
2	when we add new tasks to a PE, there are	09:23
3	circumstances where a task that is added to a PE's	09:23
4	queue might not require an increase in frequency;	09:23
5	right? It might be so small that no increase is	09:24
6	required. Is that fair?	09:24
7	MR. TUNG: Objection. Assumes facts.	09:24
8	THE WITNESS: I think in the context of	09:24
9	Nicol we need to discuss it a little more.	09:24
10	For example, if there's one task that	09:24
11	is that is identified as requiring a significant	09:24
12	amount of time, they'll set in the Figure 2	09:24
13	system, they'll set the clock rate for all the PEs	09:24
14	even if that's the only task running on any of them.	09:24
15	Naturally, if you get another task, which	09:24
16	is smaller than that, then you assign it to one	09:24
17	of to one of the other PEs, the clock I would	09:24
18	not expect the clock to be increased.	09:24
19	On the other hand, it was my understanding	09:24
20	of how Nicol works in Figure 2, if you were to	09:24
21	assign that to sort of the tent pole PE, the one	09:24
22	that has the most the one that is most heavily	09:24
23	loaded, I would expect the clock frequency to	09:24
24	increase or for the system to not meet its	09:24
25	performance requirements.	09:25
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1	BY MR. BROWN:	09:25
2	Q. And what about the circumstance where	09:25
3	and we'll we'll talk about the the heaviest	09:25
4	load PE as the tent pole PE to so that we are on	09:25
5	the same page.	09:25
6	What about the circumstances where a task	09:25
7	with no required completion time and and let's	09:25
8	also say is a relatively small in frequency	09:25
9	computing requirements is added to the most heavily	09:25
10	loaded PE, Would you	09:25
11	MR. TUNG: Objection.	09:25
12	BY MR. BROWN:	09:25
13	Q always expect	09:25
14	MR. BROWN: Sorry, Mark.	09:25
15	BY MR. BROWN:	09:25
16	Q. Would you always	09:25
17	MR. TUNG: Objection.	09:25
18	BY MR. BROWN:	09:25
19	Q expect the heaviest loaded PE's	09:25
20	frequency to increase in that circumstance?	09:25
21	MR. TUNG: Objection. Incomplete	09:25
22	hypothetical.	09:25
23	THE WITNESS: I appreciate your comment a	09:25
24	moment ago, and I'll strive to stick with the	09:25
25	with the tent pole analogy as opposed to introducing	09:25
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1	two or three more, like I did last time.	09:25
2	The answer is, I I don't know. Nicol	09:26
3	doesn't my recollection, does not discuss,	09:26
4	particularly in the context of Figure 2, what to do	09:26
5	with any how to schedule any of these tasks where	09:26
6	there is no known required completion time.	09:26
7	Furthermore, if in in most cases,	09:26
8	when you when you don't have a known completion	09:26
9	time because you don't know what the compute what	09:26
10	the actual compute computation requirements are. So	09:26
11	they may be high, they may be low, it may be data	09:26
12	dependent, the same tasks may require a lot of time	09:26
13	with, you know, one instance and not so much if	09:26
14	it's when it is running higher later.	09:26
15	So I honestly don't know how to mix that,	09:26
16	particularly with the scheduling in Figure 2.	09:26
17	That's why I, you know, I I acknowledge	09:26
18	their ambition of saying "Yes. Our system can	09:27
19	handle both kind of tasks."	09:27
20	From my experience, you just don't do	09:27
21	that. If you have got a real-time, mission-critical	09:27
22	system, you schedule the tasks. And you have tight	09:27
23	control over them, and you don't introduce some	09:27
24	piece of code that sort of, in the wild, it can	09:27
25	just, you know, go on for some unbounded period of	09:27
	Page	23

1	time.	09:27
2	BY MR. BROWN:	09:27
3	Q. Got it.	09:27
4	So one of the things that you mentioned	09:27
5	earlier was that the the lack of a required	09:27
6	completion time I think you just said could	09:27
7	indicate that you don't know how long somebody is	09:27
8	going to take; right?	09:27
9	A. Yes.	09:27
10	Q. And and I think you also mentioned	09:27
11	earlier a lack of required completion time could	09:27
12	also indicate that this isn't one of those	09:27
13	mission-critical tasks; right?	09:27
14	A. I don't know if I said that. I might	09:28
15	have. I think that that's true, that you know,	09:28
16	for most of the for most real-time systems in	09:28
17	general, a mission-critical task is going to be	09:28
18	have bounded computation time some performance in	09:28
19	it.	09:28
20	That limits what you can actually compute	09:28
21	in one of those tasks because there are many things	09:28
22	we want to functions that we want to compute, but	09:28
23	we don't know how long they are going to take.	09:28
24	Well, that's a little tough. We want to	09:28
25	be sure that we can get done with some key things;	09:28
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1	so we are going to give up that flexibility is the	09:28
2	approach that is typically taken.	09:28
3	Q. Right. Right. I think I think that	09:28
4	makes sense.	09:28
5	I think I am following that. But so	09:28
6	let me just make sure that I understand the answer	09:29
7	to my question.	09:29
8	The the lack of a required completion	09:29
9	time could also indicate that a task isn't a	09:29
10	mission-critical task; right?	09:29
11	A. I think, in general, the lack of a	09:29
12	non-completion time in a real-time system could	09:29
13	indicate that a task is not a mission-critical task,	09:29
14	at least within the bounds of being able to run on a	09:29
15	mission-critical, real-time environment.	09:29
16	I mean, there absolutely could be	09:29
17	typically what happens is you'll you'll have two	09:29
18	systems. One is the real-time, tightly controlled,	09:29
19	we'll do our best, put everything that is mission	09:29
20	critical on there.	09:29
21	And the second is a more general purpose	09:29
22	computer that can run more abstract control code	09:29
23	that is less tightly bounded.	09:29
24	There are absolutely all situations where	09:30
25	you might have to put some mission-critical code on	09:30
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	1 430	

1	the second processor. So it's a good it's a good	09:30
2	indication that, if it's not bounded, it's at least	09:30
3	not generally intended to run on the tightly bounded	09:30
4	real time in that environment.	09:30
5	But it certainly still could be mission	09:30
6	critical. If they are landing whatever it is	09:30
7	Percival [phonetic] today on Mars. And that system	09:30
8	is designed in essentially that same way.	09:30
9	But I would expect, from the point of view	09:30
10	of the engineers, really almost all of the software	09:30
11	running on the whole thing is mission critical.	09:30
12	They just try to build backup systems and	09:30
13	redundancy. Even the stuff that they know they	09:30
14	can't run it in a tightly constrained, real-time	09:30
15	environment.	09:30
16	Q. Got it.	09:30
17	So then when we are when we are looking	09:30
18	at something like Figure 3 and assuming that Nicol	09:30
19	is as it explains, at least envisioning, the	09:31
20	ability to have real time have these	09:31
21	mission-critical and less mission-critical tasks	09:31
22	running on its PEs, would you would you expect	09:31
23	well, let me ask it differently.	09:31
24	Would a person of ordinary skill looking	09:31
25	at Nicol think that he should always increase the	09:31
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	rage	

1	frequency even for every new task that is added,	09:31
2	even if it's a, you know, light computational load,	09:31
3	non-mission critical task?	09:31
4	MR. TUNG: Objection.	09:31
5	THE WITNESS: I think that depends on	09:31
6	several factors that we in hypothetical that I	09:31
7	don't feel I can answer at the moment.	09:31
8	BY MR. BROWN:	09:32
9	Q. What are the factors that you think I	09:32
10	we need to fill in?	09:32
11	A. So, for example, what is the granularity	09:32
12	of when you could increase the clock frequency? It	09:32
13	may be the case that you put a task down and the	09:32
14	most heavily loaded PE just barely steps into that	09:32
15	band and it has, you know, a step band between	09:32
16	maybe you could go from a 140 to 180 and it needs	09:32
17	140 let me let me give it back that up.	09:32
18	Let's say a band is between 120 and 140,	09:32
19	and you put a task on it that puts it at 121. Well,	09:32
20	then you are going to program it at 140. And you	09:32
21	may decide, "I have some headroom."	09:32
22	So I get another task that comes in that I	09:32
23	know requires a very small amount of computation, I	09:32
24	may know that I can slip it in in that headroom and	09:32
25	not maybe increase over 140.	09:33
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1	You just don't know what sort of	09:33
2	granularity they provide. Now, in general, in my	09:33
3	experience, that obviously they they try to	09:33
4	provide as much granularity as possible. It gives	09:33
5	you more choices.	09:33
6	So with that understanding, I would expect	09:33
7	that well, I I know that they are attempting	09:33
8	to bring the clock frequency down as much as	09:33
9	possible so that it's it's essentially the task	09:33
10	that is running we call it "just in time." It's	09:33
11	going to complete before it needs to but as close to	09:33
12	when it needs to as possible.	09:33
13	So, you know, with that understanding, I	09:33
14	have no reason to think in a particular	09:33
15	configuration there would be significant headroom	09:33
16	in in whatever the step capability is. For the	09:33
17	clock frequencies you can slide another task in.	09:33
18	I would concede that hypothetically it's	09:33
19	possible. I just don't know.	09:33
20	Q. Okay. So let me let me there's a	09:34
21	new concept we haven't talked about. This this	09:34
22	idea of a band of frequency.	09:34
23	So so what you are saying is that	09:34
24	there a POSITA reading Nicol could think that	09:34
25	or could have a system that has a band bands of	09:34
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1	frequencies that only allow maybe I should I	09:34
2	shouldn't ask the question. I'm trying to summarize	09:34
3	your point, but I'm not sure I have it yet.	09:34
4	What what would a person of ordinary	09:34
5	skill reading Nicol know about this idea of the band	09:34
6	that you are explaining?	09:34
7	A. So a best possible situation would be: I	09:34
8	have got a task; I'm using Nicol Figure 2, and I	09:34
9	estimate that it needs a 140 megahertz. Let's go	09:34
10	back to my previous example, 120 megahertz.	09:35
11	Now, at that point, I could just dial up	09:35
12	the controls between voltage of frequency and say	09:35
13	"Okay. Go to 120 megahertz." I probably wouldn't	09:35
14	do that.	09:35
15	I would probably build in some safety	09:35
16	margins and and also need to account for the fact	09:35
17	that there is a little bit of time for the frequency	09:35
18	to ramp up. So I might say, hypothetically, "Oh,	09:35
19	it's not it's 120 megahertz, but let's put it at	09:35
20	123." And so we dial that up and let things run.	09:35
21	Now, the people who actually did the	09:35
22	engineering on designing the power system on the	09:35
23	clock system for Nicol might have told me "You know,	09:35
24	we can't give you that much tight control. We can	09:35
25	give you increments of 5 megahertz or 10 or 20 or	09:35
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1	40, whatever. I could see reasons for doing it one	09:35
2	way or the other. I just don't know. Nicol doesn't	09:35
3	get into any of those details other than saying "we	09:35
4	want to get the frequency as low as possible that	09:35
5	still allows the task to complete."	09:36
6	Q. Got it.	09:36
7	So so prac so that's the that's	09:36
8	the idealized situation in Nicol. The ideal	09:36
9	situation is that we have as tight of granularity as	09:36
10	we can over the control of the frequency?	09:36
11	A. In essence, I would say that. But, you	09:36
12	know, I assume that hopefully we're understanding	09:36
13	there always is some granularity. I am running at	09:36
14	120 megahertz. I would never expect the system to	09:36
15	say, okay, you can program me at 120 you know,	09:36
16	163 point there's some degree of control, even if	09:36
17	I get as finite a degree as I want.	09:36
18	But but yeah. Ideally, I would be	09:36
19	able to get almost arbitrarily close to whatever	09:36
20	frequency I thought was the perfect one.	09:36
21	Q. Right.	09:36
22	And so so the inverse to the idealized	09:36
23	situation is that, practically speaking, a system	09:37
24	that a POSITA would be looking at or would have	09:37
25	in mind when reading Nicol is that he would be	09:37
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1	targeting certain bands of frequency that amount to	09:37
2	the really to the amount of control that he can	09:37
3	over the frequency on a device; is that right?	09:37
4	A. I think, practically, a POSITA would look	09:37
5	at this and understand that that might be the case	09:37
6	or it might not. I don't think he would look	09:37
7	they would look at it and say necessarily "We have	09:37
8	to have such a system."	09:37
9	Q. I gotcha.	09:37
10	It's a it's a circumstance that a	09:37
11	POSITA would know is possible but might not feel	09:37
12	restricted to.	09:37
13	Is that what you are saying?	09:37
14	A. Yeah. There are there are many factors	09:37
15	in Nicol that are under-specified. I think there	09:37
16	are like most patents, it is doing broad strokes	09:37
17	of the major issues they are concerned with. And	09:37
18	they are not really concerned with I don't	09:37
19	believe fundamentally how do you design the	09:37
20	electronics to get very precise control over clock	09:38
21	frequency in relationship to voltage.	09:38
22	They are just sort of acknowledging this	09:38
23	is a trend. "We know we have some control. How can	09:38
24	we take advantage of that in a in a unique and	09:38
25	interesting way."	09:38
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1	Q. Got it.	09:38
2	And so so let's let's fill out the	09:38
3	hypothetical like the one you are explaining.	09:38
4	We have got the PE is running at	09:38
5	120 megahertz. And let's let's give it a band	09:38
6	of hold on. Let me actually reset this.	09:38
7	Let's create a PE that has a band of	09:38
8	10 megahertz. So it it can only control by the	09:38
9	10 megahertz on the frequency. Okay?	09:38
10	A. Yes.	09:38
11	Q. And let's say that it has a task that it	09:38
12	has calculated to run at 123 megahertz?	09:38
13	A. Okay.	09:38
14	Q. In that circumstance with the granularity	09:38
15	of only 10 megahertz of band, a POSITA would know	09:39
16	that that in order to achieve the objective of	09:39
17	Nicol, it would need to set the frequency of the PE	09:39
18	at 130 to make sure it's in the right band; is that	09:39
19	right?	09:39
20	A. I think under that hypothetical situation	09:39
21	that's what a POSIT would expect, yes.	09:39
22	Q. And then, let's say that a new task comes	09:39
23	along and is added to the existing workload. And	09:39
24	this new task has been calculated to only require	09:39
25	or to require 125 megahertz.	09:39
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1	A. Okay.	09:39
2	Q. In that circumstance, given the	09:39
3	limitations of the frequency band, a POSITA would	09:39
4	understand that Nicol would make no change could	09:39
5	make no change to the actual frequency of the PE	09:39
6	because you would already be within the right band.	09:39
7	MR. TUNG: Objection. Incomplete	09:39
8	hypothetical.	09:40
9	THE WITNESS: Yeah. I would I would	09:40
10	say that I need more details.	09:40
11	But as as a first comment, in some	09:40
12	sense you need to think of it as in principle, if	09:40
13	you are running at 100 if you have got one task	09:40
14	that requires 123 megahertz, you are going to	09:40
15	require that for a certain period of time to	09:40
16	complete.	09:40
17	If you got another task that needs	09:40
18	125 megahertz, it's going to require that for a	09:40
19	certain period of time. And if you stack them on	09:40
20	top of each other, that is almost like saying you	09:40
21	are going to need a 100 and I'm sorry 245.	09:40
22	BY MR. BROWN:	09:40
23	Q. Yeah.	09:40
24	A. But I I think in your hypothetical, you	09:40
25	might have suggested that you got a new task that	09:40
	Page	33

1	comes along and in some sense requires, like,	09:40
2	2 megahertz for a short period of time.	09:40
3	Q. Yeah. You I mean, I think you just	09:40
4	identified the mistake and that yeah. That was	09:41
5	what I was trying to insinuate.	09:41
6	So I can ask it again and see if it solves	09:41
7	the problem. But I will correct it for what you are	09:41
8	pointing out.	09:41
9	You have the one task that required 123,	09:41
10	and so you have set you set your PE at	09:41
11	130 megahertz to make sure you are covering the 123.	09:41
12	The new task only needs an additional	09:41
13	2 megahertz on that top of that for them to be run	09:41
14	together and still meet the required completion	09:41
15	time.	09:41
16	In that instance, a person of ordinary	09:41
17	skill would not would know that he wouldn't have	09:41
18	to increase the frequency in response to the new	09:41
19	task because he is already within the right band for	09:41
20	what he is supposed to be targeting; is that right?	09:41
21	MR. TUNG: Same objection.	09:41
22	THE WITNESS: I would expect that under	09:41
23	such a hypothetical it certainly is possible that a	09:41
24	person would look at such a condition on such a	09:41
25	system and say, "Yes. I can add another task in	09:41
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1	this sort of context and not necessarily see the	09:42
2	voltage increase."	09:42
3	BY MR. BROWN:	09:42
4	Q. And so so a person of ordinary skill in	09:42
5	the art looking at Nicol and knowing about a	09:42
6	knowing of a potential limitation with the frequency	09:42
7	bands that we have been discussing, would know that	09:42
8	there are some circumstances where the addition of a	09:42
9	new task wouldn't necessarily require increasing the	09:42
10	frequency on the PE; right?	09:42
11	MR. TUNG: Objection. Asked and answered.	09:42
12	THE WITNESS: I think it depends on a lot	09:42
13	of other factors that could vary from situation to	09:42
14	situation.	09:42
15	Is it possible? Yes. Many things are	09:42
16	possible, but I'm mostly trying to consider, you	09:43
17	know, what would have been obvious or generally	09:43
18	understood.	09:43
19	Nicol doesn't doesn't discuss these	09:43
20	bands. I brought it up because they don't say you	09:43
21	have got infinite control; they don't say there are	09:43
22	bands. They are silent on the matter. And that is	09:43
23	a concern that I would have in responding to the	09:43
24	question.	09:43
25	But, you know, I would have to look at the	09:43
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1		
1	details specific system in order to be able to	09:43
2	answer that question.	09:43
3	BY MR. BROWN:	09:43
4	Q. But, I mean, the in the circumstance	09:43
5	that we just have been discussing and the	09:43
6	hypothetical of a 10-degree granularity and a large	09:43
7	task followed by a very small additional task, that	09:43
8	is, at least, a circumstance where I think we agree	09:43
9	there might not be a need to change the frequency in	09:43
10	response to adding that new task. Is that fair?	09:44
11	MR. TUNG: Same objection.	09:44
12	THE WITNESS: In the hypothetical	09:44
13	situation where there is a band of frequency	09:44
14	available of some width and you are running,	09:44
15	perhaps, at the low end of the band and you know	09:44
16	where the high end of the band is and you know that	09:44
17	a task has come in that has low enough computation	09:44
18	requirements that it can fit in that space without	09:44
19	requiring a bump out of the band, then if if all	09:44
20	that information is available to you inside the	09:44
21	system, yeah, I would expect you probably would not	09:44
22	increase the frequency.	09:44
23	With the one caveat, as I said, you	09:44
24	usually want to add some sort of safety margin.	09:44
25	Assuming you are within the safety margin, I would	09:44
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1	expect you probably wouldn't increase the frequency.	09:44
2	BY MR. BROWN:	09:44
3	Q. And let's let's just unpack a little	09:44
4	bit this idea of of why you might not have, you	09:45
5	know or let me ask it:	09:45
6	There are there are a couple of reasons	09:45
7	why you would only have a certain amount of granular	09:45
8	control over the frequency. One of them could just	09:45
9	be limitations of the hardware that you have; right?	09:45
10	A. Yes.	09:45
11	Q. Another could be that you could recognize	09:45
12	that making a number of small changes to the voltage	09:45
13	could introduce unnecessary noise in the process;	09:45
14	right?	09:45
15	A. I guess I would say that, in general,	09:45
16	introducing introducing a change in the voltage	09:45
17	is going to introduce some noise. The smaller the	09:45
18	change is, the smaller the noise will result.	09:46
19	So, in general, if you have very fine	09:46
20	control, you can very slowly increase it, you will	09:46
21	get a better noise response.	09:46
22	Q. Okay. Are there other disruptions in the	09:46
23	system caused by increasing caused by voltage	09:46
24	changes in addition to the noise?	09:46
25	A. There there it could be. There	09:46
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1	could be. It depends on lots of other issues that	09:46
2	we just don't know about.	09:46
3	There could be fundamental limitations on	09:46
4	the power supply. There could be a factor that is	09:46
5	called ground bounce, which used to be much more	09:46
6	important. But, you know, we are talking about some	09:46
7	art that is a little bit older these days.	09:46
8	So I guess the answer is potentially but	09:46
9	none that I recall being addressed by Nicol.	09:46
10	Q. Right. Now, we know that Nicol implements	09:47
11	this this step function to get from one voltage	09:47
12	to the desired voltage and frequency; right?	09:47
13	A. Yes.	09:47
14	Q. And it doesn't actually start the new task	09:47
15	until it reaches and sort of is steady on that	09:47
16	target frequency and voltage; right?	09:47
17	A. That's right. Although with a caveat, as	09:47
18	I said, they talk about "Task Created" and "Task	09:47
19	Started." I mean, I could speculate on what the	09:47
20	differences are. Clearly they are different, but I	09:47
21	don't know what they are.	09:47
22	But yes. To some extent they don't	09:47
23	they don't put the load on the new tasks on the	09:47
24	processor until the processor, the PE, can meet the	09:47
25	frequency requirements that they have determined for	09:47
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1	that task.	09:47
2	Q. And so so there's actually a little bit	09:47
3	of a delay in execution on Nicol, then, every time	09:48
4	there is a voltage change; right?	09:48
5	A. There is presumably what what they	09:48
6	show is a little bit of delay in putting the load on	09:48
7	the PE when you increased it. In when they	09:48
8	when you said when they said I think you said	09:48
9	when there a change in the voltage when they when	09:48
10	we decrease, things go, you know operate	09:48
11	differently.	09:48
12	Yes. But they certainly disclose for	09:48
13	example, if you have got a task running on the PE	09:48
14	currently and you are going to add a new one and you	09:48
15	have determined that you need to increase the clock	09:48
16	frequency, then hypothetically the existing task	09:48
17	would continue to execute and, in fact, would simply	09:48
18	be running faster as the frequency speeds up.	09:49
19	But there they do show a delay in	09:49
20	putting the new load on the processor until the	09:49
21	frequency is stabilized at the target range.	09:49
22	Q. Okay. So so you are saying that let	09:49
23	me let me present the hypothetical that I have in	09:49
24	mind and see what you think.	09:49
25	I'm trying I'm envisioning a	09:49
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1	circumstance where, you know, we have let's say,	09:49
2	we have we have a pretty important task that we	09:49
3	are working on that one PE is working on. And	09:49
4	then there is sort of a rapid assignment of a bunch	09:49
5	of not-critical tasks that are added to the queue	09:49
6	for that PE to deal with later.	09:49
7	And these don't necessitate any sort of	09:49
8	meaningful increase in voltage; they are roughly the	09:50
9	same. But maybe maybe small increases that are	09:50
10	calculated are necessary, like, half volt here, half	09:50
11	volt there.	09:50
12	Is a person of ordinary skill in the art	09:50
13	going to assume that Nicol will just repeatedly	09:50
14	attempt to increase the voltage in response to each	09:50
15	one of those small, fairly minor tasks?	09:50
16	Or will it do something would a person	09:50
17	of ordinary skill be inclined to to do something	09:50
18	to minimize that sort of rapid change?	09:50
19	MR. TUNG: Objection.	09:50
20	THE WITNESS: Nicol doesn't address any of	09:50
21	the scheduling issues in in anywhere near enough	09:50
22	detail to to answer that question.	09:50
23	For example, it was why I'm not sure	09:50
24	why you would assign a task to the heavilyest	09:50
25	[verbatim] the most heavily loaded PE that is	09:50
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1	running a mission critical one even if you are just	09:51
2	delaying it; right?	09:51
3	You would you would be inclined to	09:51
4	assign it to another PE. And if they are too busy,	09:51
5	just sort of hold off and wait until one of them is	09:51
6	free and then assign it to that.	09:51
7	I guess.	09:51
8	But, you know, there is as we talked	09:51
9	about the last time, there is a huge range of	09:51
10	scheduling algorithms for real-time systems that	09:51
11	operate differently. And then when you throw in	09:51
12	non-mission critical or non-time bounded tasks, the	09:51
13	complexity increases dramatically.	09:51
14	So I'm sorry. I don't know how to answer	09:51
15	that in the context of Nicol and what they disclose	09:51
16	and what I would do there.	09:51
17	BY MR. BROWN:	09:51
18	Q. Gotcha. Gotcha. I'll try to ask it	09:51
19	better, then.	09:51
20	So the I think as we talked about last	09:51
21	time, there are a person of ordinary skill in the	09:51
22	art reading Nicol at the time had in his mind a	09:51
23	whole range of different implementations of	09:52
24	schedulers for distributing tasks; right?	09:52
25	A. It depends on their background. I think	09:52
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1	that the way we have defined a person of ordinary	09:52
2	skill in the art, they they may not be that	09:52
3	expertise in real-time system mission-critical	09:52
4	system. But certainly, such a person could come to	09:52
5	Nicol with knowledge of the whole of a range of	09:52
6	options and problems that need to be addressed.	09:52
7	Q. And and one of the options that a	09:52
8	person of ordinary skill in the art might have in	09:52
9	mind or well, let me ask:	09:52
10	One of the options that a person of	09:52
11	ordinary skill had available to them at the time,	09:52
12	was was a recognition of schedulers that would	09:52
13	well, with withdrawn.	09:53
14	Let me ask it differently.	09:53
15	The what I am trying to get at is is	09:53
16	that is this concept of let's just I	09:53
17	understand what you are saying about Nicol not	09:53
18	necessarily needing to rapidly add a bunch of sort	09:53
19	of lower important tasks to to a loaded PE.	09:53
20	Let's just assume that there is a circumstances	09:53
21	that that can happen in.	09:53
22	What I am getting at is, wouldn't a person	09:53
23	of ordinary skill in the art, at least having	09:53
24	some you know, with some knowledge of existing	09:54
25	schedulers, not want to repeatedly change the	09:54
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1	frequency of a PE in small, minor increments in	09:54
2	order to avoid any of the negative impacts that we	09:54
3	have been talking about?	09:54
4	MR. TUNG: Objection.	09:54
5	THE WITNESS: Under that hypothetical, I	09:54
6	guess I would say not necessarily. The cost of	09:54
7	noise injection for very small increases may be so	09:54
8	minimal that that it wouldn't be a concern.	09:54
9	But but I would say that I don't know.	09:54
10	I can't think of any particular scheduler that would	09:54
11	have been known to a person of ordinary skill in the	09:54
12	art at the time that would handle both fundamentally	09:54
13	time-bound tasks and mixed in unbounded or	09:54
14	uncritical tasks.	09:54
15	I'm not saying they didn't exist. It's	09:54
16	just such a complex problem. I don't know of any	09:54
17	system that myself even with hindsight. And so I	09:55
18	certainly don't know of any system that would have	09:55
19	been obvious to a person of ordinary skill in the	09:55
20	art at the time.	09:55
21	BY MR. BROWN:	09:55
22	Q. Okay. Let's switch gears a little bit and	09:56
23	talk about the a distribution of tasks in the	09:56
24	Figure 4 embodiment, which is the one where they	09:56
25	each have their own clocks.	09:56
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1	A. Yes.	09:56
2	Q. So the the distribution of tasks so	09:56
3	in Figure 4, each of the PEs is independently its	09:56
4	voltage and frequency is independently controlled;	09:56
5	right?	09:56
6	A. Yes. That's consistent with my	09:56
7	understanding.	09:56
8	Q. And so the the frequency of those PEs	09:56
9	increased when the voltage is increased; right?	09:57
10	A. So what I mean, what typically happens	09:57
11	is you increase the voltage because you intend to	09:57
12	subsequently increase the frequency.	09:57
13	Q. And the the frequency can't be	09:57
14	increased if the voltage hasn't been increased;	09:57
15	right?	09:57
16	A. In general, you they go they are	09:57
17	married together depending on undisclosed details	09:57
18	of, hypothetically, how Nicol could be built.	09:57
19	In my experience, a lot of times there is	09:57
20	just a sort of programmable dial that says "put the	09:57
21	frequency at this range." In which case, you could	09:57
22	set it at something that wouldn't be supported by	09:57
23	the voltage. But I certainly don't think that it is	09:57
24	anticipated that that is what anyone would want to	09:58
25	do.	09:58
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1	Q. Okay. Right.	09:58
2	So, generally speaking, the the	09:58
3	frequency and the voltage are married together.	09:58
4	That is what you are saying in that, when you	09:58
5	increase the voltage, you then increase the	09:58
6	frequency can then be increased; right?	09:58
7	A. I think it's envisioned that that	09:58
8	typically in in not just in Nicol but, you	09:58
9	know, details need to be flushed. But in most of	09:58
10	these systems, yes, when you you typically	09:58
11	increase the voltage because you wish to increase	09:58
12	the frequency, and when you decrease the frequency,	09:58
13	subsequently, you typically decrease the voltage.	09:58
14	With the caveat that there are other	09:58
15	exceptions. It may be a high power component in the	09:58
16	system that doesn't require, you know, a clock	09:58
17	frequency higher. May just require some higher	09:58
18	voltage, but we're not talking about that.	09:59
19	Q. And and so when we look at how work is	09:59
20	distributed among the PEs in Figure 4, if sorry.	09:59
21	I am distracted because my my cat is	09:59
22	attempting to jump up and ruin this entire	09:59
23	deposition. And so I am holding him there	09:59
24	sorry.	09:59
25	I apologize for that interruption.	09:59
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1	When a when a PE is assigned a small	09:59
2	number of of operations, that PE's frequency will	09:59
3	be set accordingly. And, as compared to a PE that	09:59
4	has been assigned a large number of operations, the	09:59
5	frequency will be set relatively high let me	10:00
6	withdraw and ask it different.	10:00
7	The PEs in Figure 4, their frequency is	10:00
8	set in accordance with the amount of work that they	10:00
9	have to complete; is that right?	10:00
10	A. Yes. That's my understanding. In	10:00
11	Figure 4, each of the PEs can operate at a different	10:00
12	frequency and a different voltage. And without	10:00
13	Nicol going into too much detail, that is done, I	10:00
14	would say, roughly in accordance to the load put on	10:00
15	the individual PE.	10:00
16	Q. And so if a PE has a small load, it would	10:00
17	be set its frequency will be set will be set	10:00
18	low. Is that fair?	10:00
19	A. I think that that's not an unfair	10:00
20	characterization. Previously, I talked about it as	10:00
21	a tent pole maybe hopefully not stretching the	10:00
22	analogy too far.	10:01
23	You know, the tent pole in the middle of	10:01
24	the tent might be the highest, but around the edges	10:01
25	you would have lower tent pole. So the most heavily	10:01
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1	loaded PE would be operating at the highest voltage,	10:01
2	and some other ones with the lower load would, I	10:01
3	expect be, configured to operate at a lower voltage.	10:01
4	Q. And those those PEs at the lowest at	10:01
5	with the smaller load will be set and, actually,	10:01
6	this is true for everything. And so let me ask it	10:01
7	differently.	10:01
8	The PEs with a small load will be set to	10:01
9	the lowest frequency possible to complete those	10:01
10	tasks at times; right?	10:01
11	A. In general, that comports with my	10:01
12	understanding with the usual caveat that there	10:01
13	usually is some safety margin or there maybe be some	10:01
14	band. But that is certainly my understanding of the	10:02
15	intent of Figure 4.	10:02
16	Q. And there could be circumstances where, if	10:02
17	we look at Figure 4 and the drawing has three PEs,	10:02
18	let's say, that there were only two tasks that could	10:02
19	be that we had to work on at that moment, and	10:02
20	they would be assigned to, say, the first two PEs.	10:02
21	The third PE would have no work to do in that	10:02
22	circumstance; right?	10:02
23	A. Yes.	10:02
24	Q. And so in that circumstance, the PE would	10:02
25	have its frequency set to as as low as possible	10:02
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1	to keep it running, but essentially clock it in;	10:02
2	right?	10:02
3	MR. TUNG: Objection. Assumes facts.	10:02
4	THE WITNESS: Not necessarily. There are	10:02
5	a number of techniques that are that are	10:03
6	possible. And Nicol really doesn't get into the	10:03
7	anything close to enough details to speculate on	10:03
8	that.	10:03
9	BY MR. BROWN:	10:03
10	Q. One of the things that would be possible	10:03
11	if a PE had had no work to do at the time, would	10:03
12	be to bring that supply voltage down to	10:03
13	essentially shutdown that PE so that we we met	10:03
14	we realize Nicol's goal is about power saving;	10:03
15	right?	10:03
16	MR. TUNG: Same objection.	10:03
17	THE WITNESS: Like I said, there are a	10:03
18	number of techniques that could be taken. Some of	10:03
19	which don't fundamentally require changing the	10:03
20	frequency to get the desired benefit as much as	10:03
21	others.	10:03
22	I can't speculate on, you know, what a	10:03
23	POSITA looking at Nicol at the time of invention	10:04
24	would have done. You know, if we could talk about	10:04
25	what they could have done, but that is there is a	10:04
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1	huge basket of tools that one could look at.	10:04
2	BY MR. BROWN:	10:04
3	Q. So if I could take you in Nicol to	10:04
4	Column 5, Line 40 42.	10:04
5	A. I'm there.	10:04
6	Q. And Nicol there says, [as read]:	10:04
7	"Of course, Vdd local can only be	10:04
8	reduced so far before the circuits	10:04
9	start to fail, at which point the	10:04
10	operating system employs gated clocking	10:04
11	techniques to 'shut down' PEs that are	10:04
12	not needed."	10:04
13	Do you see that?	10:05
14	A. I do.	10:05
15	Q. Yeah. And so what at least part of	10:05
16	what Nicol is saying there is that it can shutdown	10:05
17	PEs that are not needed; is that right?	10:05
18	A. Well, I mean, it says what it says, but it	10:05
19	doesn't say much in any great detail for me to	10:05
20	really figure out what it is they mean.	10:05
21	Clock gating is used quite widely,	10:05
22	particularly today. But it is a technique that is	10:05
23	supplied to circuits, not an operating system.	10:05
24	So the notion of an operating system doing	10:05
25	clock gating is largely foreign to me. I don't know	10:05
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1	exactly what they mean in this context.	10:05
2	And with that caveat, if I could follow	10:05
3	up. If we could no rush, but sort of schedule a	10:05
4	break in the next five to ten minutes, that would be	10:05
5	appreciated.	10:05
6	Q. Sure. Sure.	10:05
7	Let yeah. Let's just let's maybe	10:05
8	a couple of more questions about this, and then for	10:06
9	sure we'll take a break.	10:06
10	So the regardless of how the clock	10:06
11	gating is implemented, you agree that a person of	10:06
12	ordinary skill in the art would understand that PEs	10:06
13	that are not needed should be put in some sort of	10:06
14	low-voltage state so as to reduce power usage. Is	10:06
15	that fair?	10:06
16	MR. TUNG: Objection.	10:06
17	THE WITNESS: I don't know that I would	10:06
18	even say this. The best that they suggest is that,	10:06
19	as you said, you should do clock gating without any	10:06
20	discussion of how that gets gets done.	10:06
21	Let me finish reading this again for a	10:06
22	moment.	10:06
23	[Witness reviews document].	10:06
24	All right. I apologize.	10:07
25	Could you repeat the question.	10:07
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1	BY MR. BROWN:	10:07
2	Q. Yeah. Sure.	10:07
3	So regardless of how the person of	10:07
4	ordinary skill interprets how to implement the gated	10:07
5	clocking techniques that are described here, a	10:07
6	person of ordinary skill reading Nicol would	10:07
7	understand that, when a PE has no load on it, it can	10:07
8	be put into some type of low-voltage state so as to	10:08
9	not cause power drain. Is that fair?	10:08
10	MR. TUNG: Objection. Form.	10:08
11	THE WITNESS: I would I would say that	10:08
12	I think that I almost agree with that. My	10:08
13	understanding from Nicol is, for example, if you	10:08
14	have no task running on a processor, a PE in	10:08
15	Figure 4, you would you would naturally be	10:08
16	inclined to reduce the voltage as much as you deemed	10:08
17	reasonable.	10:08
18	And and there are reasons why you	10:08
19	may in certain situations, you may not want to go	10:08
20	as low as you could. You may anticipate some work	10:08
21	coming in and want to be able to start quickly.	10:08
22	My one caveat with what you said is,	10:08
23	whatever voltage wherever you put it at, there	10:08
24	still will be some I forget the term you use	10:08
25	some power consumption. If the voltage is on	10:08
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		2 ,	
1	1	even if the clocks are gated, there is still some	10:08
2	2	consumption. But, presumably, you have done your	10:09
3	3	best to lower it, reduce it as much as possible.	10:09
4	4	BY MR. BROWN:	10:09
5	5	Q. Got it. Yeah. Let me correct that. So I	10:09
6	6	think that seems fair.	10:09
7	7	A person of ordinary skill in the art	10:09
8	8	reading Nicol would understand that, when a PE	10:09
9	9	doesn't have a load on it, it should be put into	10:09
10	10	some sort of low-voltage state that will minimize	10:09
11	11	power consumption. Is that fair?	10:09
12	12	A. I think it's fair to say that in such a	10:09
13	13	situation where there is no task running on a PE, a	10:09
14	14	person would be inclined to put it in such a voltage	10:09
15	15	state as to reduce the power consumption that	10:09
16	16	would result in reducing the power consumption as	10:09
17	17	much as they knew how to. Yeah.	10:09
18	18	Q. Okay. Got it.	10:09
19	19	MR. BROWN: So let's take that break. And	10:09
20	20	why don't we come back at is 10:20 okay?	10:09
21	21	THE WITNESS: That works for me.	10:09
22	22	THE VIDEOGRAPHER: We're going off the	10:09
23		10:09 23 record. The time is	
	10:0	09	
24			
24	24	(Brief recess.)	10:23
25			
	25	THE VIDEOGRAPHER: We're back on the	10:23
		Page	52

1	10:2	10:23 1 record. The time is	
2	2	BY MR. BROWN:	10:23
3	3	Q. Welcome back, Doctor.	10:23
4	4	During the break, did you communicate with	10:23
5	5	counsel for PACT at all?	10:23
6	6	A. No, I did not. And if he tried to call	10:23
7	7	me, my I apologize. My phone was muted and	10:23
8	8	no, I did not communicate with them or anyone else,	10:24
9	9	any other attorneys.	10:24
10	10	Q. Okay. Thank you.	10:24
11	11	So we talked about before the break we	10:24
12	12	were talking about how when a PE has a has no	10:24
13	13	load, it is run its voltage is set to as low as	10:24
14	14	possible in order to minimize a power consumption;	10:24
15	15	right?	10:24
16	16	A. It's my understanding that under that	10:24
17	17	hypothetical scenario, the PEs in a Figure 4 Nicol	10:24
18	18	system, such as an unloaded PE would be set to some	10:24
19	19	very low number in an attempt very low voltage in	10:24
20	20	an attempt to reduce the power consumption.	10:24
21	21	Q. And as a result of that, its frequency	10:25
22	22	will also be set correspondingly low; right?	10:25
23	23	A. Yes. As we talked about before, for any	10:25
24	24	of these systems that use adaptive clock and clock	10:25
25	25	frequency and voltage, you tend to change one in	10:25
		Page	53

1	order to change the other.	10:25
2	Q. And on the now, let's switch to the	10:25
3	flip side.	10:25
4	There are circumstances in Figure 4 where	10:25
5	all of the PEs were running at their absolute	10:25
6	maximum frequency; is that right?	10:25
7	MR. TUNG: Objection.	10:25
8	THE WITNESS: I think each of the PEs in	10:25
9	Figure 4 has as they show its own their own	10:26
10	independent power supply and dynamic voltage.	10:26
11	It is hypothetically possible certainly	10:26
12	that a particular load situation may cause them all	10:26
13	to be running at their highest possible clock	10:26
14	frequency and voltage.	10:26
15	BY MR. BROWN:	10:26
16	Q. And one thing that let's break this	10:26
17	down a little bit.	10:26
18	One thing that could cause in Figure 4	10:26
19	let's let's number the PEs for our ease of	10:26
20	reference just in order. We'll make the first one	10:26
21	on the left PE 1; the middle one, PE 2; and the one	10:26
22	on the right, PE 3.	10:26
23	A. Understood.	10:26
24	Q. So if one of the circumstances that	10:26
25	could cause PE 1 to have to run at its maximum clock	10:26
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1	frequency is if PE 2 and PE 3 are already running at	10:27
2	their maximum clock frequency and a new task needs	10:27
3	to be added to one of the PEs, and PE 1 is the only	10:27
4	one with some feeling to do so; is that right?	10:27
5	MR. TUNG: Objection. Incomplete	10:27
6	hypothetical.	10:27
7	THE WITNESS: It's hard for me to say	10:27
8	because Nicol really doesn't disclose much of	10:27
9	anything about how the task scheduler works in	10:27
10	Figure 4 even less than in Figure 2, which was	10:27
11	pretty sufficient detail.	10:27
12	BY MR. BROWN:	10:27
13	Q. Okay. Well, let's let's create the	10:27
14	hypothetical, and then see if it fits into what	10:27
15	people in the skill might know at the time.	10:27
16	Let's say, PE 2 and PE 3 in Figure 4 are	10:27
17	already running at their maximum frequency. They	10:27
18	are capped out, and PE 1 is not currently at its	10:27
19	maximum and has a little bit of headroom.	10:28
20	Are you with me so far?	10:28
21	A. Yes, I am.	10:28
22	Q. Okay. And that hypothetical could	10:28
23	certainly happen in Nicol; right?	10:28
24	MR. TUNG: Same objection.	10:28
25	THE WITNESS: I imagine it could. I	10:28
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1	haven't really considered it, though.	10:28
2	BY MR. BROWN:	10:28
3	Q. In that circumstance, if a new task were	10:28
4	to the controller would have had a new task that	10:28
5	they had to assign, a person of ordinary skill in	10:28
6	the art with the knowledge of those schedulers that	10:28
7	were available to him could consider assigning that	10:28
8	new task to PE 1 because it had some available	10:28
9	headroom on frequency and voltage; right?	10:28
10	MR. TUNG: Same objection.	10:28
11	THE WITNESS: Under such a hypothetical	10:28
12	situation for this hypothetical scheduler, a person	10:28
13	of ordinary skill in the art might decide to do	10:28
14	that. It's possible. Yeah.	10:29
15	BY MR. BROWN:	10:29
16	Q. And that would achieve the what Nicol	10:29
17	talks about, about sort of trying to keep load as	10:29
18	evenly distributed as possible among the PEs; right?	10:29
19	MR. TUNG: Objection. Assumes facts.	10:29
20	THE WITNESS: My recollection is that that	10:29
21	was only discussed in the context of Figure 2. Not	10:29
22	Figure 4.	10:29
23	But I may simply not recall it. If you	10:29
24	want to point me to something, I'll be happy to	10:29
25	review it.	10:29
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1	BY MR. BROWN:	10:29
2	Q. You would agree with me that there are	10:29
3	benefits to evenly distributing the work in a	10:29
4	Figure 4 embodiment just as they are in Figure 2;	10:29
5	right?	10:29
6	MR. TUNG: Same objection.	10:29
7	THE WITNESS: I would say that there is	10:29
8	too many unspecified factors.	10:29
9	There are times when I could envision	10:29
10	situations where that would be beneficial. I can	10:29
11	envision situations where it would not be.	10:29
12	BY MR. BROWN:	10:29
13	Q. Okay. So a person of ordinary skill in	10:30
14	the art would know that there are some circumstances	10:30
15	where it would be beneficial to aim to evenly	10:30
16	distribute tasks among PEs in the Figure 4	10:30
17	embodiment; fair?	10:30
18	A. No. I guess it depends on factors for how	10:30
19	Figure 4 system is built that we just don't know	10:30
20	about. Some of which get into exactly how the clock	10:30
21	system and the power supply works, so this task	10:30
22	scheduler and all of which are unspecified.	10:30
23	Q. I'm sorry. Maybe I misunderstood with	10:30
24	your testimony, then. You just said you said	10:30
25	"There are times when I could envision situations	10:30
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1	where that would be beneficial."	10:30
2	What are those situations?	10:30
3	A. So it could be the case that there was	10:30
4	significant cause that was worth considering in	10:30
5	changing the voltage of the clock frequency.	10:31
6	It could be the case that the overall	10:31
7	system efficiency in terms of power consumption and	10:31
8	computation increases as the voltage goes up, in	10:31
9	which case and it certainly is possible as we've	10:31
10	discussed that in a hypothetical Nicol system, you	10:31
11	may want to run tasks that are not mission critical.	10:31
12	All of these factors may go into	10:31
13	deciding you know what, I'd rather keep the	10:31
14	unused or the lesser used PE set to the side for	10:31
15	a mission-critical task when it comes in and, you	10:31
16	know, run the even though I can't increase the	10:31
17	clock frequency on this one, overall I get a more	10:31
18	satisfactory result if I just put the new tasks on	10:31
19	that.	10:31
20	Q. Okay. And so that that those are	10:31
21	circumstances when you are saying somebody	10:31
22	implementing a Nicol system might not want to evenly	10:32
23	distribute tasks among the Figure 4 embodiment;	10:32
24	right?	10:32
25	A. Yes.	10:32
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1	Q. And so what are the circumstances when	10:32
2	somebody might view a benefit to evenly distributing	10:32
3	tasks in the Figure 4 embodiment?	10:32
4	A. That's difficult to say without knowing	10:32
5	details of the system. But I can I can certainly	10:32
6	envision that under a hypothetical implementation of	10:32
7	the scheduler and and analog electronics in the	10:32
8	Nicol system.	10:32
9	One may conclude that one desires to try	10:32
10	to balance the load on the PEs of Figure 4.	10:32
11	Q. Okay. So there are there are at least	10:32
12	circumstances depending on, you know, implementation	10:32
13	details in which a person of ordinary skill would	10:32
14	benefit from distributing task evenly among PEs in	10:32
15	Figure 4. Is that fair?	10:32
16	A. I think it's fair to say that there could	10:32
17	be, yes.	10:33
18	Q. So so let's let's go back to that	10:33
19	hypothetical I was talking about about PE 1	10:33
20	having some available headroom, PE 2, and PE 3 not	10:33
21	having being already at their maximum frequency.	10:33
22	The controller in that circumstance, if it	10:33
23	was programmed to evenly distribute work among the	10:33
24	PEs, would evaluate the current load on PE 2 and 3	10:33
25	and decide that, because of the load on PE 2 and 3,	10:33
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1	it should assign the task to PE 1; is that fair?	10:33
2	MR. TUNG: Objection. Incomplete	10:33
3	hypothetical.	10:33
4	THE WITNESS: That is an option. I think	10:33
5	it's fair to say that the hypothetical controller in	10:33
6	this particular implementation of Nicol Figure 4	10:33
7	could choose to do just about anything. I have no	10:33
8	idea what it is.	10:34
9	I certainly agree that there I'm sorry.	10:34
10	I suggested I was done answering.	10:34
11	I would agree that, you know, there are	10:34
12	times where it might be attractive and beneficial to	10:34
13	attempt to balance the load, but I don't know	10:34
14	anywhere near enough about how such a system would	10:34
15	be used and specified completely.	10:34
16	BY MR. BROWN:	10:34
17	Q. And so if the controller was trying to	10:34
18	balance the load, it would consider the load on	10:34
19	PE 2 and 3 and decide that because of the load on	10:34
20	PE 2 and 3, it would have to assign this task to	10:34
21	PE 1 right? in our hypothetical?	10:34
22	MR. TUNG: Same objection.	10:34
23	THE WITNESS: I can envision that a person	10:34
24	of ordinary skill in the art given that hypothetical	10:34
25	scenario may build a scheduler that would reach the	10:34
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		-

1	conclusion that it should put the new task on PE 1.	10:34
2	BY MR. BROWN:	10:35
3	Q. And and by putting the new task on	10:35
4	PE 1, let's assume that that task required was	10:35
5	one that was heavy enough that required bringing the	10:35
6	PE 1 to its maximum voltage.	10:35
7	There are circumstances where that would	10:35
8	occur; right?	10:35
9	MR. TUNG: Same objection.	10:35
10	THE WITNESS: I could envision that there	10:35
11	might be. I could envision situations where that	10:35
12	could never happen because the system might be	10:35
13	considered under defined for whatever the mission	10:35
14	was that it was intended to be used for.	10:35
15	BY MR. BROWN:	10:35
16	Q. Okay. And so in that circumstance, the	10:35
17	decision about assigning the task to PE 1 and	10:35
18	increasing PE 1's frequency would be influenced by	10:35
19	how loaded PE 2 and PE 3 are in our hypothetical;	10:36
20	right?	10:36
21	A. It's it's certainly possible with the	10:36
22	load on the other PEs might be taken into account in	10:36
23	allocating the tasks newly introduced tasks.	10:36
24	Q. Okay. Let me switch gears now and go to	10:36
25	the middle ground embodiments.	10:36
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1	Do you know what I am talking about?	10:36
2	A. I think I know as much as Nicol provides.	10:36
3	Yes. I recall the reference in Nicol.	10:37
4	Q. Okay. The middle ground embodiment is	10:37
5	where PEs are grouped together such that they share	10:37
6	a common voltage supply and frequency; right?	10:37
7	A. Yes.	10:37
8	Q. Okay.	10:37
9	A. That's that's about about as much as	10:37
10	he they talk about with the middle ground.	10:37
11	Q. Okay. And so for the ease of talking	10:37
12	about this, let's let's assume that we have one	10:37
13	group with two PEs in it, and we'll call those PEs	10:37
14	PE 1 and PE 2.	10:37
15	Can we agree on with that terminology?	10:37
16	A. Yes.	10:37
17	Q. Okay. So in that circumstance, if	10:37
18	let's say both PEs have a relatively light load.	10:37
19	The let's just say they actually have the	10:38
20	just as low a load as possible. One task each	10:38
21	and the frequency can be set to the lowest possible	10:38
22	frequency.	10:38
23	In that circumstance, the frequency on	10:38
24	either of those PEs is going to be set based on that	10:38
25	light load; right?	10:38
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1	MR. TUNG: Objection. Assumes facts.	10:38
2	THE WITNESS: Well, my understanding of	10:38
3	the middle ground is essentially saying we have	10:38
4	got some of them operate as in Figure 4 and some	10:38
5	of them operate as in Figure 2.	10:38
6	And if I understand correctly, you are	10:38
7	suggesting that PE 1 and PE 2 operate as if they	10:38
8	were in Configuration 2, in which case they would	10:38
9	share a clock voltage a clock frequency and	10:39
10	voltage setting that was determined in response to	10:39
11	whatever the most heavily loaded of the PEs was.	10:39
12	And so presumably, if they were exactly	10:39
13	evenly loaded, whatever that value is, that would	10:39
14	determine their clock frequency.	10:39
15	BY MR. BROWN:	10:39
16	Q. And and, likewise, if neither PE had	10:39
17	had any load at all, they would be they would	10:39
18	both be running at some very low voltage and clock	10:39
19	frequency; right?	10:39
20	A. Presumably. Under this hypothetical	10:39
21	operating situation, I would not be surprised if	10:39
22	there were situations where there were no tasks	10:39
23	running on either PE, and whatever corresponded to	10:39
24	the lowest possible configuration is what they would	10:40
25	be dialed into.	10:40
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1	Q. Right. And so now, let's say, that we	10:40
2	have the two PEs. They do have a load but a	10:40
3	relatively light load. They each have a relatively	10:40
4	light load, and then a large task comes along and is	10:40
5	assigned to PE let's say, PE 2. Okay?	10:40
6	A. All right.	10:40
7	Q. In a circumstance where PE 1 has a	10:40
8	relatively light load and PE 2 now has a very heavy	10:40
9	load, the frequency of both PE 1 and PE 2 is going	10:40
10	to be set in accordance with PE 2's load; right?	10:40
11	MR. TUNG: Objection. Assumes facts.	10:40
12	THE WITNESS: My understanding although	10:40
13	I have no idea how any such assignment task schedule	10:40
14	would be introduced, my understanding is that, if	10:41
15	PE 1 and PE 2 are operating as if they were under	10:41
16	the rules of Figure 2, then whichever was the most	10:41
17	heavily loaded one, the clock frequency and voltage	10:41
18	for that set would be assigned in accordance with	10:41
19	the most heavily the needs of the most heavily	10:41
20	loaded one.	10:41
21	BY MR. BROWN:	10:41
22	Q. And so so in that circumstance, one of	10:41
23	the PE's frequencies could be increased because some	10:41
24	other PEs load. Fair?	10:41
25	MR. TUNG: Same objection.	10:41
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1	THE WITNESS: Well, certainly if the PE is	10:41
2	not taking into account the other PE's load, but	10:41
3	there is a controller at some part in the system	10:42
4	that is simply considering where are the needs of	10:42
5	the most heavily loaded PE.	10:42
6	And based upon that sets of voltage and	10:42
7	the clock frequency for in this case both, but	10:42
8	there could be presumably could be multiple more	10:42
9	than two PEs operating with a ganged up Figure 2	10:42
10	type scenario.	10:42
11	BY MR. BROWN:	10:42
12	Q. So in the middle ground embodiment there	10:42
13	are circumstances where one PE's frequency will be	10:42
14	set by the controller to be will be set by the	10:42
15	controller based on the load of another PE; fair?	10:42
16	MR. TUNG: Same objection.	10:42
17	THE WITNESS: I do think that in this	10:42
18	hypothetical situation we were just talking about,	10:42
19	whether it's a Figure 2 system in isolation or sort	10:42
20	of this middle ground that combines elements of	10:43
21	Figure 2 with Figure 4, the Figure 2 components,	10:43
22	PE 1 and 2, will operate will be configured to	10:43
23	operate at a clock speed that satisfies the	10:43
24	requirements of whichever one is most heavily	10:43
25	loaded.	10:43
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1	BY MR. BROWN:	10:43
2	Q. Right. So there are circumstances where	10:43
3	PE 1 PE 1's frequency will be determined by its	10:43
4	own load if its own load is the larger of the two;	10:43
5	right?	10:43
6	A. Yes. In this in the situations we have	10:43
7	talked about, whichever one is the highest loaded	10:43
8	will determine the clock frequency, and that might	10:43
9	be PE 1 or PE 2. They certainly don't know what the	10:43
10	loads are of the other processors, but there is,	10:43
11	presumably, a controller that makes that	10:44
12	determination.	10:44
13	Although, really, as I as I suggested,	10:44
14	the problem gets much more complicated when you	10:44
15	when you configure such a heterogeneous system	10:44
16	combining Figure 2 and Figure 4.	10:44
17	And Nicol doesn't discuss anything about	10:44
18	how they imagine scheduling could be done in that	10:44
19	situation, let alone how they envisioned, actually,	10:44
20	it.	10:44
21	Q. Right. So I I let me try to work	10:44
22	that into the question.	10:44
23	In the middle ground embodiment, there are	10:44
24	circumstances where the controller would set PE 1's	10:44
25	frequency based on based on the load that's on	10:44
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1	PE 1 when PE 1 has the larger load of the two PEs;	10:44
2	correct?	10:44
3	MR. TUNG: Same objection.	10:45
4	THE WITNESS: I would say that it's my	10:45
5	understanding that whatever the load is on on the	10:45
б	PEs that are operating in a Figure 2 type mode, the	10:45
7	most heavily loaded one is what will set the clock	10:45
8	frequency for the for the gang and the voltage.	10:45
9	My my caveat is that there are many	10:45
10	ways you can calculate that. And it may be the case	10:45
11	that the software never really considers, well, you	10:45
12	know, let's load up the PE 1. Let's load up the	10:45
13	PE 2. Which one is greater. Put it that way.	10:45
14	So whichever whatever the most highly	10:45
15	loaded PE is the one that will determine the voltage	10:45
16	and the clock frequency for whatever other PEs are	10:45
17	in the same gang.	10:45
18	BY MR. BROWN:	10:45
19	Q. And you are using the word "gang" to	10:45
20	indicate PEs that are grouped together in sharing a	10:46
21	clock frequency; right?	10:46
22	A. I'm using the term "gang" and I	10:46
23	apologize for introducing a new term but to refer	10:46
24	to whichever PEs are operating in a mode like	10:46
25	disclosed in Figure 2. And it was my attempt to	10:46
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1	avoid using the word "group" because that is a claim	10:46
2	term, and that is a term that is under contention.	10:46
3	But, yes, it's plurality of PEs that are	10:46
4	operating in a mode like the constraints of	10:46
5	Figure 2.	10:46
6	Q. Got it.	10:46
7	Okay. So I think I think we're on the	10:46
8	same page, but let me try to let me try to ask	10:46
9	this.	10:46
10	In the middle ground embodiment, there are	10:46
11	circumstances where a PE's a PE's frequency will	10:46
12	be set in accordance with the number of operation	10:47
13	withdrawn.	10:47
14	In the middle ground embodiment, there are	10:47
15	circumstances where a PE's frequency will be set in	10:47
16	accordance with the load on that that it has on	10:47
17	itself, and there are also circumstances where that	10:47
18	frequency will be set based on the load of a	10:47
19	different PE; correct?	10:47
20	MR. TUNG: Objection. Asked and answered.	10:47
21	THE WITNESS: Yeah. I think I am inclined	10:47
22	to stick with the answer I gave before about in	10:47
23	an attempt to maybe summarize it and be consistent	10:47
24	with that.	10:47
25	Whatever the most highly loaded PE is, is	10:47
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1	the one that will determine the frequency and	10:47
2	voltage for what I am calling the "gang."	10:47
3	And that may involve specifically	10:47
4	considering each PE in order or it may not. There	10:47
5	are other approaches that can be used.	10:47
6	BY MR. BROWN:	10:48
7	Q. So if a PE is not the most heavily loaded	10:48
8	PE in the gang, then its frequency will be set based	10:48
9	on some other PE's load; right?	10:48
10	MR. TUNG: Objection. Mischaracterizes.	10:48
11	THE WITNESS: I think that that is largely	10:48
12	consistent with what I said.	10:48
13	In a sense that, you know, if there's a PE	10:48
14	that is not the most heavily loaded one, its	10:48
15	operating state will be set based on whatever the	10:48
16	load is of the most heavily loaded PE.	10:48
17	BY MR. BROWN:	10:49
18	Q. And just so the record is clear, the	10:49
19	situation you were just speaking about occurs in the	10:49
20	middle ground embodiment as well as in Figure 2; is	10:50
21	that right?	10:50
22	A. Yes. It's my understanding using the	10:50
23	scant information that is provided, that what I am	10:50
24	calling a gang in the middle ground would operate	10:50
25	the same as the the system in Figure 2.	10:50
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1	Q. Okay. Great. I am smiling when you say	10:50
2	"gang" because I keep hearing West Side Story in my	10:50
3	head.	10:50
4	(Laughter elicited.)	10:50
5	THE WITNESS: Yeah. After the last	10:50
6	deposition we did, I tried to be sensitive enough	10:50
7	and not introduce too much jargon. Hopefully this	10:50
8	one works and I address the meaningful issue.	10:50
9	BY MR. BROWN:	10:50
10	Q. Okay. Let's go back to something you	10:51
11	talked about earlier but we didn't spend a lot of	10:51
12	time on.	10:51
13	You mentioned you mentioned something	10:51
14	about well, we had a discussion about	10:51
15	mission-critical tasks, and I guess how those are	10:51
16	sort of endemic to a real-time operating system.	10:51
17	So let me start with this:	10:51
18	What is a mission-critical task, in your	10:51
19	mind?	10:51
20	A. In my mind, a mission-critical task is	10:51
21	whatever task must be guaranteed to reliably and	10:51
22	accurately complete within whatever performance	10:51
23	constraints are required of it.	10:51
24	Now, that being said, I think it if I	10:51
25	was building a wi-fi modem, certainly from my point	10:52
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1	of view as a designer, there would be elements that	10:52
2	I considered critical to the mission of the wi-fi.	10:52
3	But I think we would all agree that is	10:52
4	much less critical in the grand scheme of things	10:52
5	from designing a controller for anti-lock brake	10:52
6	system; so mission critical varies dramatically	10:52
7	based on different situations.	10:52
8	Q. Got it.	10:52
9	And it can the idea of something being	10:52
10	mission critical can also vary in a single device;	10:52
11	right? And by that I mean, there are there are	10:52
12	some tasks that are more mission critical than	10:52
13	others depending on the circumstances. Is that	10:52
14	fair?	10:52
15	A. I people don't really talk about them	10:52
16	that way. I mean mission critical kind of means	10:53
17	it's critical. If you miss it, somehow the the	10:53
18	system has failed.	10:53
19	That being said, for example, there I	10:53
20	mean, there are tasks that get run much more	10:53
21	frequently. And tasks much less frequently.	10:53
22	So you might think that the criticality of	10:53
23	a if the rate of failure in either was the same.	10:53
24	You might think that "Well, my mission would longer	10:53
25	survive if the if the more frequently run ones	10:53
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1	were less likely to fail than the less frequently	10:53
2	run ones."	10:53
3	But at the end of the day, those people	10:53
4	think about these things as "No. No. Everything	10:53
5	will stop and we have failed if we fail on a	10:53
6	mission-critical task."	10:53
7	Q. I gotcha.	10:53
8	So there are there are all	10:54
9	mission-critical tasks are important. But are	10:54
10	there there are circumstances where some	10:54
11	mission-critical tasks may be are constrained by	10:54
12	other things, like some like they need to be	10:54
13	completed faster or more immediately than other	10:54
14	mission-critical tasks that need to be completed	10:54
15	slower. Is that fair?	10:54
16	A. Yes. I would say so. For example, in	10:54
17	real-time systems, as I was talking about, the	10:54
18	the machine that is landing on Mars today, there are	10:54
19	mission-critical tasks that don't have fixed time	10:54
20	constraints. And you just as an engineer, you	10:54
21	try to take that into account when you work to make	10:54
22	a survivable system.	10:54
23	Q. So let's to put some examples on this.	10:54
24	If we think about, you know, like a a	10:55
25	communication system as our application, its ability	10:55
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1	to correctly and accurately receive voice data and,	10:55
2	say, text data, those are potentially both	10:55
3	mission-critical tasks in that they need to be done	10:55
4	correctly; right?	10:55
5	A. Yes. I would say so.	10:55
6	Q. But the voice tasks, likely need to be	10:55
7	performed with you know, on a tighter time	10:55
8	restriction than the text tasks task; is that	10:55
9	right?	10:55
10	A. I think it's fair to say that they'll have	10:55
11	much more stringent operating requirements. So it	10:55
12	may yes. Time task might be a way to think of	10:55
13	it, or it may be the case that they don't that	10:55
14	some implementation may not say "Oh, this has to be	10:56
15	done in a certain period of time, but if you get it	10:56
16	done faster, you will have higher quality," which,	10:56
17	you know, is is attractive.	10:56
18	Q. Well, not just higher quality. Like, if	10:56
19	we consider voice tasks, voice tasks that take more	10:56
20	than you probably know better than I do but	10:56
21	it's some period of milliseconds that take longer	10:56
22	than a certain period of milliseconds to process,	10:56
23	are really considered failing voice tasks because	10:56
24	you can't have a conversation with a too significant	10:56
25	delay; right?	10:56
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1	A. Yes. I would agree with that.	10:56
2	Q. And it's let's say the timing of, say,	10:56
3	text messages is important, but the time	10:56
4	restrictions are substantially different. You can't	10:56
5	really nobody is going to really notice if	10:56
6	there's a second or two delay in text communication.	10:56
7	A. I would not disagree with that	10:57
8	characterization. Yes.	10:57
9	Q. And so in that circumstance, it would be	10:57
10	important to prioritize the voice tasks over other	10:57
11	mission-critical tasks in order to ensure that those	10:57
12	required completion times are met. Fair?	10:57
13	A. In that hypothetical situation, if they	10:57
14	were both operating on the same processing elements,	10:57
15	one may prioritize one task over the other. Yes.	10:57
16	Q. And it can do that by setting required	10:57
17	completion times that are around the bandwidth that	10:57
18	we are talking about here; right? For instance, we	10:57
19	could say the voice tasks have to complete within	10:57
20	50 milliseconds but the text tasks can take two to	10:57
21	three full seconds. Fair?	10:57
22	A. I guess one could design such a system.	10:57
23	Q. Oh. I mean, not just "could." That would	10:57
24	be that would be a logical implementation of this	10:58
25	kind of communication system, wouldn't it?	10:58
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1	MR. TUNG: Objection. Assumes facts.	10:58
2	THE WITNESS: It depends. I mean, there's	10:58
3	a lot of complexity in voice coding.	10:58
4	For example, a lot of times what happens	10:58
5	is much of that work is offloaded to a specialized	10:58
6	processor and dealt with and broken up into known	10:58
7	fixed-time tasks.	10:58
8	So, you know, it wouldn't be a notion	10:58
9	"Well, this needs to be done in 50 milliseconds."	10:58
10	It would be "No. I am going to run this task, and I	10:58
11	know that it will be done within 20 milliseconds."	10:58
12	But there certainly I can I can	10:58
13	agree that both tasks could be considered mission	10:58
14	critical to a modern smartphone, and they have very	10:58
15	different parameters for what would satisfy success.	10:58
16	BY MR. BROWN:	10:58
17	Q. Okay. And so assigning, you know,	10:58
18	required completion times, the way that I described	10:59
19	earlier, could be a way to ensure that those	10:59
20	voice both of those mission-critical tasks are	10:59
21	completed as they in accordance with the	10:59
22	requirements of those tasks; right?	10:59
23	A. Who would be assigning these required	10:59
24	completion times?	10:59
25	Q. Yeah. That's a fair point.	10:59
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1	Let me well, I mean, there is a couple	10:59
2	of ways to look at that. Let me there are	10:59
3	certainly some inherent required completion times	10:59
4	with certain tasks; right?	10:59
5	Like voice tasks. Voice tasks have some	10:59
6	period of time that they can exceed before there's	10:59
7	failure; right?	10:59
8	A. Yes. I would agree with that. You know,	11:00
9	your example previously, if there's a two-second	11:00
10	delay, people would consider that in a voice	11:00
11	call, people would consider that to be failure.	11:00
12	Q. And so a designer would reasonably have a	11:00
13	sense or, withdrawn.	11:00
14	A POSITA that's implementing, say, Nicol,	11:00
15	would have a reasonable sense of these kind of	11:00
16	inherent requirements for different types of tasks	11:00
17	if, for instance, he was implementing a	11:00
18	communication system; right?	11:00
19	MR. TUNG: Objection. Assumes facts.	11:00
20	THE WITNESS: I frankly, as well as I	11:00
21	understand Nicol, I think that a POSITA wanting to	11:00
22	use it would be would only turn to it if they	11:00
23	were thinking about real-time tasks with known	11:00
24	completion times.	11:00
25	And I as we talked about, I'll	11:01
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1	acknowledge that they say "Well, we could imagine	11:01
2	running, you know, unbounded tasks with unknown	11:01
3	completion times." I just don't know how to mix	11:01
4	those two in any sort of meaningful way.	11:01
5	BY MR. BROWN:	11:01
6	Q. Hold on. The known your concept of	11:01
7	known completion times is is sort of saying "I	11:01
8	know that this voice processing task is going to	11:01
9	take me this number of milliseconds"; right? That's	11:01
10	what you are saying?	11:01
11	A. Yes. Or alternatively, as we sort of	11:01
12	discussed before, it needs to run at 130 megahertz.	11:01
13	It's got some bounded unit of computation that's	11:01
14	that needs to be met or will be deemed to have	11:01
15	failed.	11:02
16	Q. Right. But isn't that known completion	11:02
17	time going to scale with the frequency?	11:02
18	A. It depends. I guess I would say that the	11:02
19	actual completion time will generally scale with the	11:02
20	frequency, which is one complication that Nicol	11:02
21	really is silent on.	11:02
22	It's just sort of this notion that there	11:02
23	is there is a known amount of work and you need	11:02
24	to you need to do load balancing and mode to	11:02
25	to try to, you know, optimize the system.	11:02
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1	But I agree with you. Sometimes it's a	11:02
2	situation where or, rather, I agree with what I	11:02
3	think you are getting at.	11:02
4	Sometimes it's a situation where I know I	11:02
5	need 120 megahertz for this task, but maybe I need	11:02
6	it for 2 seconds. Maybe I need it for 10 minutes.	11:03
7	And if I need it for 10 minutes and instead I give	11:03
8	it 140 instead of 120, maybe it will only take	11:03
9	7 minutes and maybe that is a good thing.	11:03
10	I don't know.	11:03
11	Now, part of the issue is it makes a	11:03
12	little more sense in Nicol because in my	11:03
13	understanding of Nicol, when you look fundamentally	11:03
14	at real-time systems, in a real-time system, the	11:03
15	important thing is that you meet the deadline. You	11:03
16	get it done faster. Nobody cares. That's not	11:03
17	better; it's not bad. But nobody cares. You got	11:03
18	to you meet the deadline, and that is the only	11:03
19	thing that matters.	11:03
20	In which case, his notion of how to deal	11:03
21	with workload makes a little more sense. But I I	11:03
22	agree, there are certainly times where, if I	11:03
23	increase the frequency, it will get done sooner.	11:03
24	And that and in many situations, that might be a	11:04
25	good thing.	11:04
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	2 3.50	

1	Q. Got it. Got it. Okay.	11:04
2	So maybe then, if I am understanding this	11:04
3	right, the known completion time is is really the	11:04
4	floor it's not an apt analogy.	11:04
5	The known withdrawn.	11:04
6	The known completion time is the maximum	11:04
7	amount of time that something can sorry. I don't	11:04
8	know why I am having trouble with this question.	11:04
9	Withdrawn. We're on the same page. I'll	11:04
10	get the question worded right.	11:04
11	The well, you're when you talk about	11:04
12	the known completion time, that is the maximum	11:04
13	amount of time that a task can take to complete. Is	11:04
14	that fair?	11:04
15	A. That's right. And in my experience,	11:04
16	that's the most common way to specify real-time	11:05
17	tasks. Whether it is a voice communication, in your	11:05
18	example, or any sort of a you know, real-time	11:05
19	controlance [verbatim]. And if that happens, I need	11:05
20	to analyze it in a certain time. I need to respond	11:05
21	to it in a certain time.	11:05
22	Q. Got it.	11:05
23	And so that known completion time is is	11:05
24	really the concept of the required completion time	11:05
25	in Nicol, in Nicol's real-time operating system;	11:05
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1	right?	11:05
2	A. I I would agree with that.	11:05
3	Now, turning that around to a notion that	11:05
4	Nicol talks about, which is sort of the load and	11:05
5	what the required frequency is, requires a step	11:05
6	that that he doesn't get into at all.	11:05
7	But if my known completion time is half a	11:05
8	second but I am making significant use of the	11:05
9	computation of the compute facilities that are	11:05
10	available, I will need a relatively high voltage and	11:06
11	high clock rate.	11:06
12	If it is half a second and it's dead, it's	11:06
13	a very simple task. I just need to get it done in	11:06
14	half a second. Then the effective load on the	11:06
15	processor will be much lower.	11:06
16	And Nicol I think it's not	11:06
17	unreasonable. They just sort of say, "Well, you	11:06
18	have a load, and we try to put what" however you	11:06
19	figure out what it is, you know "one of the	11:06
20	processors will be running at the highest clock rate	11:06
21	because of its load. And then we set the other ones	11:06
22	according to that."	11:06
23	And but it's missing this step of how	11:06
24	you get from the way people actually specify tasks	11:06
25	to what is the load.	11:06
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1	Q. And but this step of how to specify	11:06
2	tasks in a real-time operating system and how to	11:06
3	determine what the load is on a on a processor,	11:06
4	these were components of real time these were	11:06
5	known components of real-time operating system	11:07
6	schedulers at this time, weren't they?	11:07
7	A. I would say in general, yes. What people	11:07
8	did then and still largely do is they say "You know,	11:07
9	a set of tasks with a set of requirements is my	11:07
10	entire world. And I know that different tasks take	11:07
11	different amount of time, and they are interrelated	11:07
12	based on one task happens and then sometime later I	11:07
13	know another task happens."	11:07
14	And the people who build those systems sit	11:07
15	down and very precisely schedule out the time blocks	11:07
16	so they know exactly that they are going to meet	11:07
17	their performance requirements.	11:07
18	Q. Got it.	11:07
19	And so when we when we talk about this	11:07
20	known completion time that that is part of the	11:07
21	Nicol system this is what will help ensure that	11:08
22	mission-critical tasks that are more time	11:08
23	restrictive complete in time?	11:08
24	That's my question.	11:08
25	A. Yes. I I think that the time	11:08
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1	completion requirement is inherent in the notion	11:08
2	of "This is the load a task is that we are going to	11:08
3	tune in the system to operate such that I am going	11:08
4	to meet that load."	11:08
5	Q. And there can be the different	11:08
6	different tasks in these real-time operating systems	11:08
7	like we talked about can have varying priorities in	11:08
8	their completion times such that voice tasks might	11:08
9	need to be completed faster than, say, the text	11:09
10	tasks that we were talking about earlier.	11:09
11	MR. TUNG: Objection. Vague.	11:09
12	THE WITNESS: It depends. In for	11:09
13	example, in a in a in the phone scenario that	11:09
14	we talked about with voice and text, I could easily	11:09
15	agree and say, yes, both of those are considered	11:09
16	mission critical.	11:09
17	And maybe the voice is more mission	11:09
18	critical, but I sort of put it out there that for	11:09
19	the most part, if you can't send a text, nobody is	11:09
20	going to buy your phone. So at some level they are	11:09
21	both mission critical.	11:09
22	But they are not real-time tasks. They	11:09
23	are not tasks for the most part. They are not	11:09
24	tasks where I can guarantee to hit a certain	11:09
25	performance goal. That is almost certainly the case	11:09
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1	with almost all the elements of texts for many of	11:09
2	the reasons you discussed.	11:10
3	It's less likely to be the case with all	11:10
4	the elements of voice but for those elements that	11:10
5	really do have real-time components. From my	11:10
6	experience, people take those tasks and they put	11:10
7	them in sort of an isolated environment that runs as	11:10
8	a real-time environment.	11:10
9	And usually that means a separate	11:10
10	processor or on your cellphone if you buy a Qualcomm	11:10
11	modem, it will be the DSP that runs some of those	11:10
12	tasks. And it doesn't run any other tasks. It	11:10
13	knows all the tasks that it might have run; so it	11:10
14	runs those in a real-time environment.	11:10
15	BY MR. BROWN:	11:10
16	Q. Okay. Let me let me I think I am	11:10
17	following you. So let let's focus on just	11:10
18	real-time tasks, then. Voice some components of	11:10
19	a voice task are real time.	11:10
20	Can you give me another example of	11:10
21	something that is maybe a also real-time task but	11:10
22	it may be a lower priority, in terms of how long you	11:10
23	have to complete the task?	11:11
24	A. Not not off the top of my head. But I	11:11
25	certainly can imagine that maybe this will help.	11:11
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1	But I'm not sure if it addresses your question.	11:11
2	Some modern real-time systems do things	11:11
3	like object tracking. So you've got a video screen;	11:11
4	you've got a video feed. You know, you are	11:11
5	interested in tracking a football player running	11:11
6	across the screen. The performance requirement to	11:11
7	accurately track that might be much, much tighter	11:11
8	than the time requirements to conduct a	11:11
9	3D cellphone. They are both real time.	11:11
10	They both have or may be considered	11:11
11	mission critical for those applications. But but	11:11
12	the time deadline between two different real-time	11:11
13	systems or applications can vary widely and will	11:11
14	will, in fact, as we talked about before. The time	11:12
15	deadlines within a single system like a cell phone	11:12
16	can vary widely in terms of what's the required	11:12
17	completion time.	11:12
18	I'm not sure if that answered your	11:12
19	question, but, hopefully, it said something useful.	11:12
20	Q. It was yes, it did. It said a lot	11:12
21	useful. I I think I am following you.	11:12
22	The so in a single system maybe we	11:12
23	can do this abstractly then instead of using	11:12
24	specific examples.	11:12
25	In a single real-time operating system,	11:12
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1	there are some tasks that have higher priorities	11:12
2	that are indicated by, you know, tighter completion	11:12
3	times as compared to other real-time tasks that are	11:12
4	still mission critical but are lower priority and	11:12
5	have longer periods of time to be completed; is that	11:12
6	fair?	11:12
7	MR. TUNG: Objection to the extent it	11:12
8	calls for a legal conclusion.	11:13
9	THE WITNESS: So and I know this may	11:13
10	sound odd, but but, really, I disagree with that	11:13
11	for this reason.	11:13
12	When people are dealing with	11:13
13	real-time tasks, the engineers who do that	11:13
14	absolutely get fanatical; so if I've got two	11:13
15	real-time tasks and one takes 30 milliseconds and	11:13
16	another one takes 5 minutes, they have the same	11:13
17	priority. Because if they are real time and deemed	11:13
18	mission critical, you know, it doesn't if I blow	11:13
19	either one, I have failed.	11:13
20	And so just the concept of priority just	11:13
21	doesn't really get talked about in in real-time	11:13
22	context.	11:13
23	People have built hybrid system but Nicol	11:13
24	sort of, you know, vaguely suggests we got real-time	11:13
25	task, and we've got all this other stuff, we don't	11:13
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1	know what it is doing, but we are going to throw it				
2	into the system. We don't know what the time bounds				
3	are.				
4	But at the end of the day, those those	11:14			
5	aren't traditional real-time systems because you	11:14			
6	cannot guarantee you can't reason about how the	11:14			
7	computation will flow to guarantee that you won't	11:14			
8	fail, which is what real time is all about.	11:14			
9	BY MR. BROWN:	11:14			
10	Q. Got it.	11:14			
11	So let me unpack that. Nicol describes	11:14			
12	this the possibility of a hybrid system where you	11:14			
13	have some prioritized real-time tasks along with	11:14			
14	some tasks that don't have time requirements; right?	11:14			
15	A. Yes.	11:14			
16	Q. And but in a in a withdrawn.	11:14			
17	In a real-time system, where everything is	11:14			
18	real time, are you saying that there's there is	11:15			
19	no need to schedule tasks according to their	11:15			
20	their required completion time?	11:15			
21	A. Not really. I am saying that that job is	11:15			
22	done in advance. It's done when the system is	11:15			
23	designed.	11:15			
24	So, for example, you'll say, "Well, look,	11:15			
25	I know that I need to read data from the video	11:15			
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1	camera. And I know that the processor reading that	11:15
2	data takes a certain amount of time, and I know that	11:15
3	it happens every 50 milliseconds. It doesn't happen	11:15
4	in a random rate. It happens every 50 milliseconds.	11:15
5	So I'll schedule that out, and I know that	11:15
6	that is happening. And then, you know, I know	11:15
7	whatever other time scheduled events happen, and	11:15
8	then I just make sure that none of none of the	11:15
9	PEs are oversubscribed such that I miss one of those	11:16
10	schedules.	11:16
11	I mean, to some extent Nicol is and as	11:16
12	the as the main inventor on a number of patents,	11:16
13	I understand why they are doing this. But I think	11:16
14	they are sort of saying, "We got this system," and	11:16
15	then they are opening things up to try to cover as	11:16
16	much ground as possible.	11:16
17	In real hardcore real-time systems, you	11:16
18	don't have tasks just sort of randomly coming up and	11:16
19	saying, "Okay, well, you know, how do I schedule	11:16
20	this. Let's make a decision. What else is going on	11:16
21	in the processor." You know what to do. You are	11:16
22	expecting the task.	11:16
23	And this is kind of getting a little bit	11:16
24	to that notion in Figure 3 where it said, "Task	11:16
25	Created," "Task Started," I think, and then, "Task	11:16
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1	Ended."				
2	Well, what does "Task Created" mean if you				
3	are making it but you're not starting it. And the				
4	answer a potential answer is that, in a lot of	11:17			
5	real-time systems, you know, I am only ever going to	11:17			
6	have ten tasks. So you create them in advance	11:17			
7	you set the data structures all set up, and they are	11:17			
8	all set to go you do that once at the very	11:17			
9	beginning, and then as their time comes up, you	11:17			
10	start one running.	11:17			
11	Q. So I understand what you are saying, but I	11:17			
12	am having trouble synching it with I think some	11:17			
13	of the other things we've talked about maybe in the	11:17			
14	last deposition.	11:17			
15	There are there are let me use one	11:17			
16	example. Real-time operating systems have to deal	11:17			
17	with interrupts, don't they?	11:17			
18	A. Yes. For example, when I was saying that	11:17			
19	the video camera might need to run might need to	11:17			
20	be sampled every 50 milliseconds, what you typically	11:18			
21	do or or often do is set a timer to say,	11:18			
22	interrupt me in 50 milliseconds.	11:18			
23	Q. Uh-huh.	11:18			
24	A. Interrupt interrupts may be scheduled.	11:18			
25	Oftentimes they are, particularly in real-time	11:18			
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1	systems.	11:18			
2	In many systems they are not scheduled.				
3	They come in at unpredictable times.				
4	Q. Right. So it's the unpredictable ones,	11:18			
5	the one unscheduled ones that I am sort of driving	11:18			
6	at here.	11:18			
7	The if you got let's say you got	11:18			
8	your real-time system we'll use your video	11:18			
9	camera.	11:18			
10	You know that your PEs every 50 seconds	11:18			
11	[verbatim] have to go sample that feed, but you also	11:18			
12	know you get a real-time interrupt that you weren't	11:18			
13	expecting.	11:18			
14	There are going to be circumstances where	11:18			
15	you are going to say, "I am going to ignore that	11:18			
16	interrupt until I can finish this sampling because I	11:18			
17	have a tighter time restriction on the sampling than 11:				
18	I do to handle that interrupt" or vice versa; right?	11:18			
19	Really, what I am asking is and I'll	11:19			
20	ask it in an actual question rather than in this	11:19			
21	long narrative now.	11:19			
22	There are circumstances where the	11:19			
23	real-time operating system has to decide how to	11:19			
24	schedule conflicting tasks; right?	11:19			
25	A. Potentially it it depends an awful lot	11:19			
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1	on how the real-time schedule is done. In my					
2	experience you know, but really is it considered					
3	a real-time system.					
4	Like I said, the tasks are known in	11:19				
5	advance, and they're in a relationship that is known	11:19				
6	in advance.	11:19				
7	Now, I will certainly agree, for example,	11:19				
8	that it may be the case that a timer interrupt is	11:19				
9	set to flare close in time after a previous timer	11:19				
10	interrupt. And and hardware for many reasons is	11:19				
11	almost always designed to ignore one interrupt until	11:19				
12	enough progress has been made on an earlier arriving	11:20				
13	one.	11:20				
14	And I say that maybe under the control	11:20				
15	of software, but it's blocked in hardware.	11:20				
16	Conceivably a designer might say, "Yeah.	11:20				
17	I know that this interrupt is going to come in while	11:20				
18	I am still processing the other one." That is okay.	11:20				
19	Or they may say, "You know what, I'll	11:20				
20	just since I can't process that interrupt, I'll	11:20				
21	schedule it to happen later in time."	11:20				
22	Either approach could work.	11:20				
23	Q. Right. And part of the decision that is	11:20				
24	going to impact which of those approaches is used is	11:20				
25	some consideration of your required completion time	11:20				
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1	of those two tasks	11:20		
	of those two tasks.	11:20		
2	For instance, if we are processing data			
3	and we know we have to finish this in one second but	11:20		
4	we know that interrupt that just came in we have	11:20		
5	four seconds to deal with it, we're going to	11:20		
6	prioritize the task that requires us to finish it	11:20		
7	quickly before moving on to the longer period of	11:20		
8	time that we have; is that fair?	11:21		
9	A. I would say that we're going to do that	11:21		
10	task that is expiring sooner before before the	11:21		
11	other one.	11:21		
12	But I am just uncomfortable with the word	11:21		
13	"prioritize" because, as I said, it's in this	11:21		
14	environment it's a binary. Did you succeed, or did	11:21		
15	you fail? And everyone has equal priority.	11:21		
16	With that being said, there are, for	11:21		
17	example, operating systems out there that claim to	11:21		
18	be real-time or have real-time characteristics.	11:21		
19	And they will attempt to merge these two	11:21		
20	concepts to a greater or lesser degree. So it may	11:21		
21	be the case that we are going to do our best to make	11:21		
22	sure that the real-time stuff runs and gets all that	11:21		
23	it needs, but make use of the star [phonetic]	11:21		
24	computations cycles for other stuff going in the	11:21		
25	background.	11:21		
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1	It's just very dangerous because you may	11:21			
2	allocate a PE to some piece of software that then is				
3	hard to stop and cause you to miss a deadline				
4	somewhere else.	11:22			
5	So they're they're hybrid real-time	11:22			
6	operating systems that, you know, are not firm real	11:22			
7	time necessarily.	11:22			
8	Q. Okay. So I can pull the word "priority"	11:22			
9	out; so we don't focus on that.	11:22			
10	Let me I think what we are agreeing on	11:22			
11	is that real-time operating systems will, in in	11:22			
12	some cases, attempt to complete tasks that are	11:22			
13	expiring sooner before completing tasks that have	11:22			
14	longer expiration dates times; right?	11:22			
15	A. All things being equal in these	11:23			
16	hypothetical systems, yes. We will try to meet the	11:23			
17	more looming deadline and push off tasks that are	11:23			
18	farther in the future.	11:23			
19	Q. So in our voice and and text message	11:23			
20	example, putting aside whether or not text is a	11:23			
21	real-time or not. If we in that example, the	11:23			
22	voice tasks would expire sooner than the text tasks,	11:23			
23	and so we would make sure we are finishing those	11:23			
24	voice tasks before turning to the text tasks; is	11:23			
25	that fair?	11:23			
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	,					
1	MR. TUNG: Objection. Vague.	11:23				
2	THE WITNESS: Yes. Getting rid of the					
3	term "priority," as said, they arguably have equal					
4	priority if they are considered mission critical.	11:23				
5	You would schedule the voice tasks to	11:23				
6	happen sooner with a with a tighter time deadline	11:24				
7	than the text tasks.	11:24				
8	And some and this might help. I'm not	11:24				
9	sure.	11:24				
10	Some some tasks can be easily	11:24				
11	considered mission critical, but have close to no	11:24				
12	real-time component. Because if we agree that text	11:24				
13	is required, you know, the process may just mean	11:24				
14	"Oh, whenever my processor has free time, contact	11:24				
15	the server, see if I have got a text. If I got it,	11:24				
16	download."	11:24				
17	You know, that has got to happen in some	11:24				
18	timely enough manner to not annoy the customer. But	11:24				
19	I can't look at any component of it and say "Ah-ha,	11:24				
20	that needs to happen within a specific bound to	11:24				
21	multiply."	11:25				
22	BY MR. BROWN:	11:25				
23	Q. Got it. Okay. So let's see. I am about	11:25				
24	to move to a new topic. We have been going about an	11:25				
25	hour, do you want to go until noon or then try to	11:25				
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	-	1				
1	take lunch then? You want to take a break and eat	11:25				
2	lunch now? Where what is sort of your					
3	preference?	11:25				
4	A. Frankly, either one is fine with me. So	11:25				
5	I'll let the other participants voice their opinion,	11:25				
6	but thank you for asking.	11:25				
7	Q. Of course.	11:25				
8	MR. BROWN: Madam Court Reporter, do you	11:25				
9	have a preference?	11:25				
10	THE REPORTER: I don't.	11:25				
11	MR. BROWN: Okay. Well, then why don't	11:25				
12	we why don't we take a lunch now. We'll keep it	11:25				
13	relatively short. What do you guys think? Is half	11:25				
14	an hour enough for lunch, or do you guys need more	11:25				
15	time?	11:25				
16	THE WITNESS: Why don't just we just try	11:25				
17	to get in at noon. Call it 35 even.	11:25				
18	MR. BROWN: Perfect. Sounds good.	11:25				
19	THE WITNESS: All right.	11:25				
20	THE VIDEOGRAPHER: And we're going off the	11:25				
21	record. The time is 11:26.	11:25				
22	(At the hour of 11:26 a.m. a luncheon					
23	recess was taken. The deposition was					
24	resumed at 12:03 p.m., the same persons					
25	being present.)					
	Page	94				
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1	1		ZOOM REMOTE PROCEEDING	
2 3	2 3	Thu	rsday, February 18, 2021; 12:03 p.m.	12:03
4	4		THE VIDEOGRAPHER: We're back on the	
5	10.01		12:03 5 record. The time is	•
6	12:03	3		
6	6			12:03
7	7		EXAMINATION (CONTINUED)	12:03
8	,		EMMINATION (CONTINUED)	12:05
9	8	BY MR. BR	OMN:	12:03
10	9	Q.	All right. Welcome back, Doctor.	12:03
11	10		I'll ask the same question. Did you speal	12:03
	11	with PACT	's counsel during that break at all?	12:03
12	12	Α.	No.	12:03
13	13	Q.	Okay. So good.	12:03
14	14		So let me let's now talk about Nicol	12:03
15	15	still, bu	t on Column 4. I want to take you to	12:04
16	16	line s	tarting on Line 11. This is Exhibit 1	12:04
17	17	still.		12:04
18	18	Α.	All right.	12:04
19	19	Q.	So Nicol at Line 11 of Column 4, says	12:04
20	20	[as read]	:	12:04
	21		"As indicated above, the operating	12:04
22	22		system can reduce the supply voltage	12:04
	23		even further by tracking temperature	12:04
24	24		and process variations."	12:04
25	25		Do you see that?	12:04
			Pag	re 95

1	A. I do.	12:04					
2	Q. So one thing that Nicol mentions is that						
3	the voltage the supply voltage that it provides						
4	to the PEs can vary based on a temperature	12:04					
5	variation; is that right?	12:04					
6	A. It says "the operating system can	12:04					
7	reduce the supply voltage even further by tracking	12:04					
8	temperature and process variations." It also says	12:04					
9	"as indicated above."	12:04					
10	My recollection is there isn't much	12:05					
11	discussion of it at all, but it does say that.	12:05					
12	Yeah.	12:05					
13	Q. And at the time of Nicol, a person of	12:05					
14	ordinary skill in the art would know that you could	12:05					
15	use, for example, temperature sensors to track the	12:05					
16	temperature on the chip; right?	12:05					
17	MR. TUNG: Objection. Vague.	12:05					
18	THE WITNESS: The use of temperature	12:05					
19	sensors on chip, I believe, was known at the time of	12:05					
20	Nicol.	12:05					
21	BY MR. BROWN:	12:05					
22	Q. And so was the use of multiple temperature	12:05					
23	sensors; right?	12:05					
24	A. Possibly. I'm not sure about that.	12:05					
25	Q. We can come back to that in a in a few	12:05					
	Page	96					

1	minutes.	12:05
2	But when Nicol so Nicol contemplates	12:05
3	making changes to the voltage based on the	12:05
4	temperature on the chip. Would would a person of	12:06
5	ordinary skill in the art think that he should make	12:06
6	changes to the voltage based on every minute	12:06
7	temperature change? Like every .01 degrees? Or is	12:06
8	there a different level of granularity that people	12:06
9	of ordinary skill in the art would use to adjust	12:06
10	voltage with the temperature?	12:06
11	MR. TUNG: Objection. Incomplete	12:06
12	hypothetical.	12:06
13	THE WITNESS: Yeah. I'm not really	12:06
14	following the question.	12:06
15	As best as I can understand this, it's	12:06
16	it's saying that processes do is vary the	12:06
17	manufacturing process does result in chips with	12:06
18	varying performance and that one could I guess	12:06
19	I to be honest with you, I'm not sure what it's	12:06
20	really trying to disclose. In the context of other	12:06
21	parts of the system, it doesn't make a lot of sense	12:07
22	to me.	12:07
23	BY MR. BROWN:	12:07
24	Q. Uh-huh. Well, it's suggesting, at least,	12:07
25	that by tracking temperature changes on the chip,	12:07
	Page	97

1	you could make adjustments to your supply voltage;	12:07
2	right?	12:07
3	MR. TUNG: Objection. Assumes facts.	12:07
4	THE WITNESS: It does say you "can	12:07
5	reduce the supply voltage even further by tracking	12:07
6	temperature and process variations."	12:07
7	So I imagine that it's using a combination	12:07
8	of context that it can infer based on measurements	12:07
9	of its own performance in in contrast to other	12:07
10	instances of other of a chip. That would be the	12:07
11	process variation. And then based upon that and	12:07
12	temperature information, makes them a reasonable	12:07
13	conclusion.	12:07
14	BY MR. BROWN:	12:07
15	Q. And so right.	12:07
16	So so in some way, the temperature	12:08
17	measured on the chip could be used to as an	12:08
18	input to decisions about what the supply voltage	12:08
19	would be. Is that fair?	12:08
20	MR. TUNG: Objection. Assumes facts.	12:08
21	THE WITNESS: It I mean, it says what	12:08
22	it says. The operating system can reduce the supply	12:08
23	voltage even further by tracking the temperature and	12:08
24	process variation.	12:08
25	For example, when the chip is nominal in	12:08
	Page	98

1	its characteristics, then it can be operated along	12:08
2	Line 20 of Figure 1, which calls for only	12:08
3	1.5 voltage supply when operating at 70 megahertz.	12:08
4	How how to take that any further and understand	12:08
5	what they are suggesting, I don't know. It's	12:08
6	yeah.	12:08
7	They don't disclose nearly enough that a	12:08
8	person of ordinary skill, in my opinion, would look	12:08
9	at that and say, "Aha. It would be to obvious to	12:09
10	then do something."	12:09
11	Could you control voltage as a consequence	12:09
12	of temperature? Yes.	12:09
13	Would they? I I don't know. I don't	12:09
14	think so for a number of reasons.	12:09
15	BY MR. BROWN:	12:09
16	Q. Okay. Well, let's let's start with	12:09
17	we we agree that a person of ordinary skill in	12:09
18	the art would know that you could control the supply	12:09
19	voltage as a consequence of temperature; right?	12:09
20	MR. TUNG: Same objection.	12:09
21	THE WITNESS: I agree that the notion of	12:09
22	controlling supply voltage as a function of	12:09
23	temperature was known in certain situations.	12:09
24	Generally not real-time environments. I don't	12:09
25	remember if off the top of my head what the	12:09
	Page	99
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1	various POSITA definitions were on either side.	12:09
2	So if a person came from strictly a	12:10
3	real-time environment, they may not be that aware of	12:10
4	those techniques. But it was not unknown by any	12:10
5	means.	12:10
6	BY MR. BROWN:	12:10
7	Q. Okay. And regardless of whether you agree	12:10
8	with its application to real-time operating systems,	12:10
9	we can agree that Nicol is at least suggesting that	12:10
10	we control the supply voltage in part due to the	12:10
11	temperature changes; right?	12:10
12	MR. TUNG: Same same objection.	12:10
13	THE WITNESS: I think here Nicol is	12:10
14	suggesting that an operating system can be used to	12:10
15	reduce the supply voltage even further by tracking	12:10
16	temperature and process variation.	12:10
17	BY MR. BROWN:	12:10
18	Q. Is there something in my question that you	12:10
19	disagree with?	12:10
20	A. I just want to be careful to be precise	12:10
21	with what they are saying.	12:10
22	I think that, for example, they are not	12:10
23	well, there's two problems I have here. Two	12:10
24	concerns in how I am answering my question.	12:10
25	One is there's really scant disclosure of	12:11
	Page 1	00

1	so many elements that go into this. How, in detail,	12:11
2	any system does scheduling. How they do scheduling	12:11
3	in the context of real-time and and mixed	12:11
4	nonreal-time tasks.	12:11
5	This doesn't say real-time operating	12:11
6	system. Are they thinking in the context of	12:11
7	real-time operating system or just an operating	12:11
8	system in general?	12:11
9	That's why I I just feel more	12:11
10	comfortable going with, "Well, they say what they	12:11
11	say." I can't fill in the gaps to understand what	12:11
12	they were trying to mean by those words.	12:11
13	But but all of you know, many of the	12:11
14	elements, if not all of the elements that we talked	12:11
15	about, such as using a temperature sensor on a chip	12:11
16	or multiple sensors in a system and using that to	12:11
17	control clock frequency in certain situations was	12:11
18	certainly known.	12:11
19	Q. So okay. So let's talk about what was	12:12
20	known about that.	12:12
21	So when when a person of ordinary skill	12:12
22	in the art at the time would withdrawn.	12:12
23	When a person of ordinary skill in the art	12:12
24	at the time would use a temperature sensor to adjust	12:12
25	the clock frequency, how how does that work?	12:12
	Page 1	01

1	Does well, let me just ask.	12:12
2	Like, how would that work to in	12:12
3	that's the question.	12:12
4	MR. TUNG: Objection. Vague.	12:12
5	THE WITNESS: It depends on the context of	12:12
6	the computer system and and the objectives.	12:12
7	For example, you could have a system that	12:12
8	was trying to avoid what we call thermal runaway. A	12:12
9	fatal error in the silicon, in which case the	12:13
10	response might be remove power or drop the clock	12:13
11	rate to absolute lowest, "don't worry about anything	12:13
12	else."	12:13
13	Or it could be and that might be like	12:13
14	in a in a server computer, for example. Or it	12:13
15	could be in a battery-powered computer where one	12:13
16	says, "My I'm running on a battery. I am not	12:13
17	plugged in. The temperature is getting a little	12:13
18	hot. I guess I would like to slow things down."	12:13
19	There is too many un undetermined	12:13
20	variables in any possible situation to say	12:13
21	conclusively what what does happen.	12:13
22	BY MR. BROWN:	12:13
23	Q. So at the time of at the time of the	12:13
24	'605 and '047 patent there were there were ways	12:13
25	in which people of ordinary skill knew of ways in	12:13
	Page 1	02

1	which you could remove power or drop the clock when	12:14
2	we are worried about an overheating or	12:14
3	over-temperature condition; right?	12:14
4	A. There was knowledge of how to remove for	12:14
5	the clock in over-temperature situations in a number	12:14
6	of systems.	12:14
7	Q. Is there a relationship between the the	12:18
8	supply voltage that you need to achieve a certain	12:18
9	frequency and the temperature of the environment?	12:18
10	A. These days we certainly recognize that in	12:18
11	many situations there is. I to be I'm not	12:18
12	sure when that when that knowledge really entered	12:18
13	the community. It may pre-date the invention; it	12:18
14	may not. I simply don't know.	12:18
15	Q. And so in those circumstances there would	12:18
16	need to be a change there could be situations	12:18
17	where based on the temperature, you could use less	12:18
18	voltage to achieve a certain frequency than what you	12:18
19	would need to in a different temperature setting; is	12:18
20	that right?	12:18
21	MR. TUNG: Objection. Vague.	12:18
22	THE WITNESS: I guess perhaps. I I	12:18
23	can't think of a particular situation, as I sit	12:18
24	here. It would likely result in some sort of a	12:18
25	temperature dependence well, there is a	12:18
	Page 1	03

1	temperature dependence in the performance of the	12:18
2	silicon itself. And then there is a temperature	12:18
3	dependence well, that doesn't affect the clock.	12:18
4	It just affects whether it works with a given clock.	12:18
5	I guess largely it would be a temperature	12:18
6	dependence with the PLL or the crystal circuitry.	12:18
7	It's possible. Yeah.	12:18
8	BY MR. BROWN:	12:18
9	Q. And so there are there are	12:18
10	circumstances where we would want to be making to	12:18
11	the supply voltage well, withdrawn.	12:18
12	There are ways in which we could optimize	12:18
13	our supply voltage based on the temperature that is	12:18
14	being measured.	12:18
15	Am I understanding this right?	12:18
16	A. I can imagine that there are such	12:18
17	situations particularly identified today. There are	12:18
18	a number of issues related to thermal response of	12:18
19	semiconductors which simply weren't known at the	12:18
20	time, for example, that would enter into that	12:18
21	discussion.	12:18
22	Q. And so which way does that go? If the	12:18
23	temperature increases, we can reduce the supply	12:18
24	voltage; or is it the other way around?	12:18
25	A. My recollection is that it trends both	12:18
	Page 1	04

the curve. It goes up at certain spots, wiggles down, and then goes down again. Q. Got it. That makes sense. 12:14 Q. Okay. A. Actually, it's Q. Okay. A. It didn't make any sense to me at all. It But it was a startling new discovery to me 12:14 when I read about this six months ago. Q. So in circumstances where you are adjusting your voltage in light of the temperature changes, what is the level of granularity that you are going to want to be responsive to in those temperature changes? And by that I mean are we going to be changing at every hundredth of a Fahrenheit, degree to Fahrenheit, or is there some sort of banding that we are going to be using to make decisions about how to change the voltage? A. I would say in general, I have no idea and no way to state that. I mean, ultimately these are digital systems. So the controller is reading in some temperature measurements in some digital			
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A. It didn't make any sense to me at all. It 8 was a startling I'm sorry. I interrupted you. 9 But it was a startling new discovery to me 10 when I read about this six months ago. 11 Q. So in circumstances where you are 12:10 adjusting your voltage in light of the temperature 12:11 changes, what is the level of granularity that you 13 are going to want to be responsive to in those 14 temperature changes? 15 And by that I mean are we going to be 16 changing at every hundredth of a Fahrenheit, degree 17 of Fahrenheit, or is there some sort of banding that 18 we are going to be using to make decisions about how 19 to change the voltage? 10 A. I would say in general, I have no idea and 10 no way to state that. I mean, ultimately these are 11 digital systems. So the controller is reading in 12:16 some temperature measurements in some digital 12:16 representation, which will have a granularity. 12:18	5	A. Actually, it's	12:18
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are going to want to be responsive to in those 12:16 temperature changes? 12:16 And by that I mean are we going to be 12:16 17 changing at every hundredth of a Fahrenheit, degree 18 of Fahrenheit, or is there some sort of banding that 19 we are going to be using to make decisions about how 10:16 20 to change the voltage? 21 A. I would say in general, I have no idea and 22 no way to state that. I mean, ultimately these are 23 digital systems. So the controller is reading in 24 some temperature measurements in some digital 25 representation, which will have a granularity. 12:16 12:16 12:16 12:16 12:16 12:16 12:17 12:17 12:18 13:18 14:18 15:18 16 17:18 18:18	12	adjusting your voltage in light of the temperature	12:18
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And by that I mean are we going to be 12:18 17 changing at every hundredth of a Fahrenheit, degree 18 of Fahrenheit, or is there some sort of banding that 19 we are going to be using to make decisions about how 10:18 20 to change the voltage? 21 A. I would say in general, I have no idea and 22 no way to state that. I mean, ultimately these are 23 digital systems. So the controller is reading in 24 some temperature measurements in some digital 25 representation, which will have a granularity. 12:18	14	are going to want to be responsive to in those	12:18
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representation, which will have a granularity. 12:18	23	digital systems. So the controller is reading in	12:18
	24	some temperature measurements in some digital	12:18
Page 105	25	representation, which will have a granularity.	12:18
		Page 1	05

1	They certainly I can't imagine	12:18
2	consider anything finer than that. But that might	12:19
3	very well be way more fine than they actually will	12:19
4	want to respond to.	12:19
5	Q. Yeah.	12:19
6	So why why do you say that, that it may	12:19
7	be what does that mean that it may be "way more	12:19
8	fine" than what they want to respond to?	12:19
9	A. You may be able to detect a temperature	12:19
10	variation that you do not believe is going to have	12:19
11	an interesting impact on the relationship between	12:19
12	the voltage and the clock.	12:19
13	Q. Uh-huh. So so you could have sort of a	12:19
14	band around how much of a temperature change you	12:19
15	need to make I'm sorry. Withdrawn.	12:19
16	You might have a band around how much of a	12:19
17	temperature change you need to see before you are	12:19
18	going to start making changes to your supply	12:19
19	voltage?	12:19
20	MR. TUNG: Objection.	12:19
21	THE WITNESS: I think that that is	12:19
22	certainly possible. One one could build a system	12:19
23	that way.	12:19
24	BY MR. BROWN:	12:20
25	Q. And part of that is because you you	12:20
	Page 1	06

1	don't want to be necessarily over-responsive to	12:20
2	changes in temperature and keep well, let me stop	12:20
3	there. I'll just ask the question again.	12:20
4	You don't want to be over-responsive to	12:20
5	changes in temperature; right?	12:20
6	A. That certainly could be a concern in a	12:20
7	system you are designing. You may not even	12:20
8	fundamentally be concerned about the variations.	12:20
9	Just certain, "have I crossed a particular	12:20
10	threshold?"	12:20
11	Q. And one of the reasons there's a couple	12:20
12	of reasons why you wouldn't want to be	12:20
13	over-responsive to changes in temperature.	12:20
14	One would be, as you are saying, it's just	12:20
15	too small of a change to require any action from	12:20
16	you; right?	12:20
17	MR. TUNG: Objection.	12:21
18	THE WITNESS: Yes. Presumably there could	12:21
19	be, you know, some level of temperature variation	12:21
20	below which, based on some additional outside	12:21
21	knowledge, the control system may conclude that is a	12:21
22	variation that won't result in any meaningful change	12:21
23	on the relationship between voltage and clock speed.	12:21
24	Presumably.	12:21
25	///	
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1	BY MR. BROWN:	12:21
2	Q. Yeah.	12:21
3	And not not being over-responsive to	12:21
4	the temperature change will also prevent you from	12:21
5	from sort of toggling back and forth between	12:21
6	different voltages if the temperature is changing in	12:21
7	small ways back and forth?	12:21
8	A. I guess I	12:21
9	MR. TUNG: Just object. Objection to	12:21
10	form.	12:21
11	Go ahead. Sorry.	12:21
12	THE WITNESS: Yeah, I guess I would say if	12:21
13	you through for whatever reason decide that you	12:21
14	are going not respond that quickly, then you'll	12:21
15	avoid making these toggles.	12:22
16	And I as I was suggesting, you know,	12:22
17	ultimately there has to be some granularity, some	12:22
18	finite difference in the temperature that can be	12:22
19	measured. And it could be very, very small. But it	12:22
20	also could be quite relatively large. And by that I	12:22
21	mean that granularity is limited by at least two	12:22
22	things.	12:22
23	One is the reliability in information that	12:22
24	the thermal sensor puts out, which may not be very	12:22
25	fine. It may be very coarse. And so it's certainly	12:22
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1	possible that, you know, that the variation that	12:22
2	whatever the smallest increment is could be enough	12:22
3	that you would want to respond to it. I imagine.	12:22
4	BY MR. BROWN:	12:22
5	Q. Uh-huh. It's it's sort of like a	12:22
6	thermostat is what we are talking about. It's the	12:22
7	same logic of why a standard household thermostat	12:23
8	doesn't doesn't respond, you know, to immediate	12:23
9	temperature variations, but kind of waits for there	12:23
10	to be enough of a change in one direction before it	12:23
11	kicks the furnace on or kicks the air-conditioning	12:23
12	on; right?	12:23
13	MR. TUNG: Objection. Assumes facts.	12:23
14	THE WITNESS: I think these days yeah,	12:23
15	you one could design such a system specifically	12:23
16	to avoid making frequent variations frequent	12:23
17	frequently changing the setting of the system.	12:23
18	But, again, it depends on way too many	12:23
19	factors, like when I change the voltage or power	12:23
20	supply by a small amount, how quickly does it	12:23
21	respond; how quickly does it does the temperature	12:23
22	sensor get updated; what is it's granularity.	12:24
23	I mean, we are talking about way too many	12:24
24	variables to say what would or wouldn't happen.	12:24
25	///	
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1	BY MR. BROWN:	12:24
2	Q. Right.	12:24
3	So so really what we are saying is	12:24
4	there the trick is to be responsive enough to the	12:24
5	temperature changes without unnecessarily toggling	12:24
6	your voltage supply or unnecessarily or on the	12:24
7	other hand unnecessarily ignoring temperature	12:24
8	changes that could be meaningful.	12:24
9	Does that seem fair?	12:24
10	A. I would agree with that in in general.	12:24
11	But at the risk of being pedantic with the with	12:24
12	the observations that, you know I mean	12:24
13	unreasonably means it wasn't reasonable; you didn't	12:24
14	need to do it.	12:24
15	So nobody is going to design a system that	12:24
16	they think is unreasonable. You know, we disagree	12:24
17	on what is unreasonable, perhaps. But just with	12:25
18	that observation, yeah, you could design such a	12:25
19	system in so many different ways to respond.	12:25
20	In some sense what we are talking about is	12:25
21	control theory. And we're talking about an	12:25
22	incredibly trivial sort of notion of a control	12:25
23	system which gets incredibly complex which can	12:25
24	and steps into an area where I am not an expert.	12:25
25	There's many things you could do.	12:25
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		-

1	Q. Right.	12:25
2	So maybe maybe a way to conceptualize	12:25
3	this is the at a at a very high level, if you	12:25
4	are going to use temperature as an input to	12:26
5	decisions about the supply voltage, there's	12:26
6	there's some level of granularity of temperature	12:26
7	change that is going to matter to you, and there is	12:26
8	some level of granularity that is not going to	12:26
9	matter to you.	12:26
10	And whether or not that granularity is	12:26
11	defined by hardware limitations or defined by what	12:26
12	you were doing in software, in terms of deciding	12:26
13	what what that threshold is, there is	12:26
14	ultimately kind of there is a band of a minimum	12:26
15	and maximum drop or increase around your existing	12:26
16	temperature that you are going to wait for before	12:26
17	you are going to make changes to your voltage in	12:26
18	response to that temperature.	12:26
19	Does that make sense?	12:26
20	A. I I think so. Although I would say	12:26
21	it's an accumulation of change, Right. There is a	12:26
22	small instantaneous change that, for the most part,	12:26
23	doesn't interest me.	12:27
24	But if you are getting at every sample	12:27
25	over a ten-minute period you may blow past the	12:27
	Page 1	.11

1	temperature goal. But I suspect you were talking	12:27
2	about the accumulation of temperature change.	12:27
3	Q. I was. That's a good clarification.	12:27
4	I mean, so so basically we're going to	12:27
5	make decisions about how to impact supply voltage	12:27
6	based on an accumulation or a trend of temperature	12:27
7	change in one direction or the other. And we're	12:27
8	going to wait for that trend to pass sort of a	12:27
9	minimum or maximum before making that supply voltage	12:27
10	change; right?	12:27
11	MR. TUNG: Objection to form.	12:27
12	THE WITNESS: For the most part, that	12:27
13	would not be an unreasonable way to start with	12:27
14	designing a control system for one of these	12:27
15	hypothetical systems.	12:27
16	BY MR. BROWN:	12:28
17	Q. Okay. Let me take you to a similar	12:28
18	concept in Nicol on Column 5.	12:28
19	A. All right. I am there.	12:28
20	Q. And I want to talk about the calibration	12:28
21	circuit that is discussed in that first full	12:28
22	paragraph on Column 5.	12:28
23	A. Yes.	12:28
24	Q. 5, 3 to 20 22.	12:28
25	So let me if you want to read it to	12:28
	Page 1	12

1	refresh on it, please do.	12:28
2	A. Okay.	12:28
3	Q. But I'll try to I'll try to ask a	12:28
4	question.	12:28
5	So this calibration block and calibration	12:28
6	circuitry in Nicol essentially operates with with	12:28
7	two copies of part of a PE circuit with one of those	12:29
8	copies running slightly slower than the other;	12:29
9	right?	12:29
10	A. [Witness reviews document].	12:29
11	I guess that's probably fair and	12:29
12	certainly actually, I don't know.	12:29
13	It it's not clear that they are running	12:29
14	at the same clock rate. It's not clear that they	12:29
15	are not. Let's put it that way. At least that's my	12:29
16	recollection.	12:29
17	Q. So I was oh, I see. Maybe this is what	12:29
18	you are pointing out.	12:29
19	One of them is slightly slower than the	12:29
20	other. You don't know if that is by hardware	12:29
21	designed or by the frequency it has been given; is	12:29
22	that right?	12:29
23	A. I'm not sure. Is there a particular part	12:29
24	in here that you were looking at with regards to the	12:29
25	speed	12:29
	Page 1	.13

1	Q. For sure.	12:29
2	A so I can	12:30
3	Q. Line 10.	12:30
4	A. [Witness reviews document].	12:30
5	Oh, okay. Yes. I I missed that	12:30
6	sure.	12:30
7	So they they replicate part of the PE	12:30
8	that they think is going to be the slowest portion,	12:30
9	and then have two versions, assuming that they'll	12:30
10	they'll use the one that is worst-case.	12:30
11	Okay. Yeah.	12:30
12	Q. Well, not so so I agree with you	12:30
13	that they make two copies of this critical path and	12:30
14	one of them is designed to be slower.	12:30
15	And then what and then what they do is	12:30
16	they they give it the same supply voltage. And	12:30
17	they try to adjust that supply voltage down so that	12:31
18	the slower one fails to operate properly, but the	12:31
19	normal one operates properly; right?	12:31
20	A. Uh-huh.	12:31
21	Q. And they are doing that to sort of	12:31
22	identify that that sweet spot of supply voltage	12:31
23	that will keep the PE just barely active without it	12:31
24	failing; is that right?	12:31
25	A. That's my understanding. I I question	12:31
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1	whether it would achieve that goal, but it's a	12:31
2	clever idea.	12:31
3	Q. Okay. And that's the same I don't know	12:31
4	if you have looked at it. That's the same technique	12:31
5	that is in that Koruda article that is cited on	12:31
6	at Line 5?	12:31
7	A. Now that you point it out and I have	12:31
8	reviewed it, I assume that is probably the same	12:31
9	technique. I didn't go off and review Koruda, but	12:31
10	it seems that that's what they are saying.	12:31
11	Q. Okay. And and so what so what this	12:32
12	paragraph ends with noting is that because these two	12:32
13	copies experience the same variation in	12:32
14	temperatures temperature as the regular PEs do,	12:32
15	it's able to adjust the supply voltage in response	12:32
16	to temperature variation; right?	12:32
17	A. I imagine. I don't understand why they	12:32
18	necessarily experience the same temperature	12:32
19	variation. Whether they are on the same die or not	12:32
20	the temperature is going to vary.	12:32
21	Yeah, and they are also trying to get	12:32
22	process variation issues, I think, with the notion	12:32
23	of having two different one which is specifically	12:32
24	delayed.	12:32
25	So the process variation might actually	12:32
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1	cause one of the PEs to, you know, use even more	12:33
2	time than the delayed one that they have got in	12:33
3	place. But but I think I understand what they	12:33
4	are trying to do. I'm just I'm not sure how well	12:33
5	it would work in practice.	12:33
6	Q. Yeah, so there I mean, what they are	12:33
7	saying is, essentially, the conditions are the same.	12:33
8	And so between these these critical paths	12:33
9	these sort of calibration circuit and the other PEs.	12:33
10	And so changes in temperature will be	12:33
11	experienced roughly the same between them; right?	12:33
12	That's that's basically what Nicol is trying to	12:33
13	say?	12:33
14	A. Just give me just a moment.	12:33
15	[Witness reviews document].	12:33
16	Yeah, so they say it. I don't know	12:33
17	that it's true. But they say that [as read]:	12:33
18	"Since the two critical paths within	12:33
19	the element 120 experience the same	12:33
20	variations in temperature as do the	12:34
21	PEs"	12:34
22	Yada, yada. And that is that's	12:34
23	certainly one element where I don't see why that is	12:34
24	true. But they do state that.	12:34
25	Q. Right.	12:34
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1	So I mean we don't need to bicker about	12:34
2	they are going to experience the exact same	12:34
3	temperature changes, but but what they are noting	12:34
4	here, at least we can agree is temperature is going	12:34
5	to have some affect on where this supply voltage	12:34
6	sweet spot is; right?	12:34
7	A. That's my let me see.	12:34
8	[Witness reviews document].	12:34
9	Yeah, it says the [as read]:	12:34
10	"the Vdd local supply voltage	12:34
11	appropriately tracks the temperature	12:34
12	variations as well as the different	12:34
13	operating frequency specifications."	12:34
14	Q. Yeah, so then my one of my questions	12:35
15	and this goes back to what we were just talking	12:35
16	about, at least some of the theories we were just	12:35
17	talking about is if temperature is going if	12:35
18	temperature changes are going to have an effect on	12:35
19	what that sweet spot is, that could mean that if	12:35
20	depending on how granular we are on where that sweet	12:35
21	spot is, on where that right voltage is, we could be	12:35
22	looking at a circumstance where that that	12:35
23	voltage, live voltage, has to change fairly	12:35
24	regularly based on how much the temperature is	12:35
25	changing; right?	12:35
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1	MR. TUNG: Objection. Vague.	12:35
2	THE WITNESS: I guess I mean, it's not	12:35
3	really there's not enough disclosure here for me	12:35
4	to understand how you know, do they do they	12:35
5	the controller that they have got, are they	12:36
6	considering temperature at one point after a change	12:36
7	in the system state is requested? Or are they	12:36
8	tracking it at a regular time sample?	12:36
9	I I don't know.	12:36
10	BY MR. BROWN:	12:36
11	Q. Yeah, I mean, it what I am really	12:36
12	getting at is, because because there is a risk	12:36
13	that	12:36
14	I mean, this sweet spot is, like, right on	12:36
15	the edge of a cliff. Right? That's that's	12:36
16	really what we are talking about.	12:36
17	We are talking about this, like if we go	12:36
18	just below this sweet spot, we are going to fail;	12:36
19	right? That's what is being described there.	12:36
20	A. Uh-huh. That's that's the notion that	12:36
21	they are aiming for, yes.	12:36
22	Q. And so you would need to have because	12:36
23	temperature is having an effect on this, you would	12:36
24	need to have some sort of buffer to keep yourself	12:36
25	from being right on that cliff; right? Because	12:36
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	<u> </u>	

1	otherwise both PEs would fail, and thus all your PEs	12:36
2	would fail if you are using that wrong supply	12:36
3	voltage.	12:36
4	A. Yeah. I think you are getting at	12:36
5	something that I was talking about in a couple of	12:36
6	different situations before.	12:37
7	You as an engineering design principle,	12:37
8	you almost always take advantage of an opportunity	12:37
9	to build yourself a little bit of headroom a	12:37
10	little a safety margin. And that that could	12:37
11	be, you know, an estimate of how long a task would	12:37
12	be, maybe overestimate it a little bit.	12:37
13	In this case, it could be, you know,	12:37
14	making sure that, "well, you think you have	12:37
15	calculated the exact lowest supply voltage, add a	12:37
16	little bit above that to give yourself some safety."	12:37
17	You know, they they seem to be talking	12:37
18	about something that is going to do their best to	12:37
19	zero-in on that exact line without any added safety	12:37
20	margins.	12:37
21	But maybe it's built into their notion of	12:37
22	making sure that one of the critical paths runs	12:37
23	slower than the other; "We'll make sure it runs slow	12:37
24	enough that that will give us our safety margin."	12:38
25	I I just don't know from the	12:38
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1	disclosure.	12:38
2	Q. Got it.	12:38
3	And so so I think an engineering design	12:38
4	principle here in implementing this could be to put	12:38
5	a bit of a safety margin in here so that you are	12:38
6	not you are not seeing the PEs toggling back and	12:38
7	forth between a failing state and a passing state	12:38
8	based on minor fluctuations of temperature; right?	12:38
9	MR. TUNG: Objection. Assumes facts.	12:38
10	THE WITNESS: I guess I would go a little	12:38
11	bit farther than that and say, Well, an engineering	12:38
12	design principle, in general and in particular in	12:38
13	this system, would be to make sure that the system	12:38
14	never failed.	12:38
15	Yeah, and failure would be the the	12:38
16	voltage dropping below whatever the critical limit	12:38
17	was that was required to meet the real-time	12:38
18	requirement.	12:38
19	BY MR. BROWN:	12:38
20	Q. Yeah, so they they build some sort of	12:39
21	buffer in or some sort of deadband in to have that	12:39
22	safety margin to make sure we're not falling below	12:39
23	that voltage and failing?	12:39
24	A. I think that in general, that's a common	12:39
25	design principle.	12:39
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1	Much of what Nicol is doing sort of in	12:39
2	disclosing, is teaching against that, which is	12:39
3	figuring out, you know, exactly the right spot to	12:39
4	be. But that's not to say in the back of, you know,	12:39
5	their mind they were thinking "Yeah, we want to know	12:39
6	the exact right spot."	12:39
7	Maybe they thought, "We know we have to	12:39
8	build in some margins. But we are better off	12:39
9	knowing exactly where that line is, and we'll take	12:39
10	care of that later on."	12:39
11	I just don't	12:39
12	Q. And	12:39
13	A know that either.	12:39
14	Q. I'm sorry.	12:39
15	So at least a person of ordinary skill in	12:39
16	the art at the time reading this, you know, could	12:39
17	look at it and go, "Okay, I get that you found the	12:39
18	right spot, but it's good design principle, is for	12:40
19	me to add a safety buffer to make sure I don't	12:40
20	fail."	12:40
21	Is that fair?	12:40
22	MR. TUNG: Object. Object to the form.	12:40
23	THE WITNESS: Yeah. Although as I said	12:40
24	before, I think I would go broader and say that a	12:40
25	person of ordinary skill in the art would say, "I am	12:40
	Page 1	21

don't fail. And if one aspect of that response is, 12:40 you know, to look at various points of the system, 12:40 whether it's whether it's the voltage or response 12:40 to temperature or or time, I'm going to try to 12:40 give myself a little bit of slack." 12:40 BY MR. BROWN: 12:40 Q. Okay. Got it. 12:40 going to put an exhibit in. I'll let you know when 12:41 it's ready. 12:41 (Deposition Exhibit 2 was marked for 12:41 identification and is attached hereto.) 12:42 BY MR. BROWN: 12:42 Q. Okay. It should be up now. 12:42 Q. Exhibit 2. Yes. 12:42 Q. Exhibit 2. Yes. 12:42 A. All right. 12:42 Q. So Exhibit 2, for the record, is an excerpt of the Wiley Electrical Electronics 12:42 going to take you down to the word the definition of "hysteresis." 12:43 Do you see that? 12:43			
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24 Do you see that? 12:43 25 A. Yes. 12:43	22	going to take you down to the word the	12:43
25 A. Yes. 12:43	23	definition of "hysteresis."	12:43
	24	Do you see that?	12:43
Page 122	25	A. Yes.	12:43
		Page 1	22

1	Q.	So you see the third definition of	12:43
2	"Hysteres	is," it says hysteresis is [as read]:	12:43
3		"Any phenomenon in which there is	12:43
4		lag between the cause and the induced	12:43
5		or observed effect. For instance, the	12:43
6		output of an instrument depending on	12:43
7		whether the input resulted from an	12:43
8		increase or decrease from the previous	12:43
9		value.	12:43
10		"Another example is the manner in	12:43
11		which a thermostat utilizes the right	12:43
12		amount of hysteresis to maintain the	12:43
13		desired temperature. Too little	12:43
14		hysteresis would result in constant	12:43
15		switching between cycles, and too much	12:43
16		would allow too large a temperature	12:43
17		interval between cycles."	12:43
18		Do you see that?	12:43
19	Α.	I do.	12:43
20	Q.	Okay. Does that def do you agree with	12:43
21	that defi	nition in Exhibit 2 of "Hysteresis"?	12:43
22		MR. TUNG: Object.	12:43
23		THE WITNESS: I don't.	12:43
24		MR. TUNG: I'm sorry. Object. Objection.	12:43
25		Go ahead.	12:44
		Page 1	23

1	THE WITNESS: Yeah, I don't find it all	12:44
2	that useful, in in particular. I think there are	12:44
3	aspects of it that are just wrong.	12:44
4	BY MR. BROWN:	12:44
5	Q. Which is aspect of the definition that I	12:44
6	read do you think is wrong?	12:44
7	A. Well, so so the definition is just the	12:44
8	first sentence, and the others are examples. So	12:44
9	it's [as read]:	12:44
10	"Any phenomenon in which there was a	12:44
11	lag between the cause and the induced	12:44
12	or observed effect."	12:44
13	That's the definition of delay or lag.	12:44
14	That that is absolutely not hysteresis.	12:44
15	Q. So just so I understand it, your your	12:44
16	testimony is you don't believe hysteresis is the lag	12:44
17	or delay in a in a response to some changing	12:44
18	condition?	12:44
19	A. I do not believe that hysteresis is any	12:44
20	phenomenon in which there is a lag between the cause	12:45
21	and the induced or observed fact effect.	12:45
22	I guess I haven't thought about it. It's	12:45
23	possible that that is an element of a suitable	12:45
24	definition of hysteresis, but it fails far short of	12:45
25	being a useful definition in and of itself.	12:45
	Page 1	24
	1430 1	=

1	Q. Okay. What about the example it provides	12:45
2	of a thermostat utilizing the right amount of	12:45
3	hysteresis to maintain a desired temperature?	12:45
4	Do you agree that a thermostat that	12:45
5	that introduces that delay in a decision to take	12:45
6	action on a temperature measurement is using	12:45
7	hysteresis?	12:45
8	MR. TUNG: Objection. Vague.	12:45
9	THE WITNESS: I think that one could	12:45
10	design a thermostat such that it utilized	12:45
11	hysteresis. One could also build a thermostat, even	12:46
12	a smart one that didn't use hysteresis, at least as	12:46
13	defined here.	12:46
14	BY MR. BROWN:	12:46
15	Q. Uh-huh. Well, let me let me unpack	12:46
16	that a little bit.	12:46
17	So if a thermostat waits to make an	12:46
18	adjustment to let me ask it different way.	12:46
19	The thermostat waits to turn off the	12:46
20	furnace or turn on the air conditioning; it waits	12:46
21	for enough of a trend in one direction of	12:46
22	temperature change before it makes that decision.	12:46
23	Is that hysteresis?	12:46
24	MR. TUNG: Objection. Vague.	12:46
25	THE WITNESS: It may be. I haven't I	12:46
	Page 1	25

1	guess I haven't thought of it.	12:46
2	But in this case, their example of	12:46
3	hysteresis for a thermostat literally does not meet	12:46
4	their own offered definition of "hysteresis" at all.	12:47
5	BY MR. BROWN:	12:47
6	Q. Okay. And we can I understand that you	12:47
7	don't like the definition, so I am actually asking a	12:47
8	separate question so just to understand where	12:47
9	your perspective is.	12:47
10	If if a thermostat waits for a certain	12:47
11	trend in one direction of temperature changes,	12:47
12	before it takes action on that temperature change,	12:47
13	is that using hysteresis?	12:47
14	A. Not necessarily. It depends on other	12:47
15	under-specified aspects of the of the control	12:47
16	algorithm.	12:47
17	Q. What would you need to know to determine	12:47
18	whether or not that is hysteresis?	12:47
19	A. I have to consider all of what was used	12:47
20	for controlling the output.	12:47
21	I do think, for example and this might	12:47
22	help moving the discussion along. If a thermostat	12:47
23	is set for 70 degrees, for example, it may have a	12:47
24	very simple control algorithm that says, "Don't turn	12:48
25	the furnace on unless the temperature is more than	12:48
	Page 1	26

1	2 degrees below what I want it to be."	12:48
2	And in conjunction with that, "Don't turn	12:48
3	it off unless if it's on if it's on, don't	12:48
4	turn it off, unless the temperature gets more than	12:48
5	2 degrees above where I want it to be."	12:48
6	So sort of the this is getting back to	12:48
7	the conversation we had a few minutes ago.	12:48
8	The it's not the incremental changes.	12:48
9	It's the accumulated change.	12:48
10	And then and then how that accumulated	12:48
11	change is used to decide whether to affect an output	12:48
12	or not.	12:48
13	And that's I don't know if this is	12:48
14	useful or not. That is sort of the part of my	12:48
15	complaint with the with the definition offered	12:48
16	up.	12:48
17	It sort of suggests I mean, all it says	12:48
18	is, "I do something and the result of that is	12:48
19	delay." Well, I mean, hysteresis is what state am I	12:49
20	in anyways, we talked about that definition.	12:49
21	Q. Right.	12:49
22	And so so in your example that you are	12:49
23	talking about, that the thermostat doesn't turn the	12:49
24	heat on until it gets to 68 degrees, and and	12:49
25	leaves the heat on until it gets to 72 degrees, even	12:49
	Page 1	27

1	though the thermostat is set to 70, is that using	12:49
2	hysteresis?	12:49
3	A. I would say that for the most part that is	12:49
4	a fairly good definition of hysteresis. Yes.	12:49
5	Q. And part of that is essentially having	12:49
6	this this deadband between from 70, the thing	12:49
7	that it is actually set to, and some threshold for	12:49
8	change before it takes action; right?	12:49
9	A. In this scenario excuse me.	12:50
10	In this hypothetical scenario we are	12:50
11	talking about, I would say that that	12:50
12	characterization is not faulty. There is a deadband	12:50
13	within which for a number of reasons the	12:50
14	hypothetical thermostat would not respond to changes	12:50
15	in the temperature.	12:50
16	Q. And implementation of of that kind of	12:50
17	deadband in is using hysteresis; right?	12:50
18	MR. TUNG: Objection. Vague.	12:50
19	THE WITNESS: Yeah, I mean, I was just	12:50
20	we were talking about this the example with the	12:50
21	deadband in the context of something that I think	12:50
22	I had already said, it's a pretty good example of	12:50
23	hysteresis. Yes.	12:51
24	BY MR. BROWN:	12:51
25	Q. Okay. And part of the part of what is	12:51
	Page 1	28

1	making that hysteresis is that we're we're using	12:51
2	sort of a safety margin to make sure that we're not	12:51
3	toggling a lot between the kind of on- and	12:51
4	off-state; right?	12:51
5	MR. TUNG: Objection. Mischaracterizes.	12:51
6	THE WITNESS: I think for the most part,	12:51
7	the reason why somebody would build that sort of	12:51
8	capability into a thermostat is indeed to avoid	12:51
9	rapidly turning on the furnace and 5 seconds later	12:51
10	turning it off.	12:51
11	How in control systems in general, how	12:51
12	important such a decision is depends on a lot of	12:51
13	other factors.	12:51
14	Like, I may be able to turn the furnace on	12:51
15	and off very quickly with little cost that I care	12:51
16	about.	12:52
17	It may be the case that it irritates	12:52
18	people when suddenly it goes on and then it goes	12:52
19	off.	12:52
20	So there is a lot of things to consider.	12:52
21	BY MR. BROWN:	12:52
22	Q. And that that temperature example	12:52
23	okay. Or that the	12:52
24	Well, isn't that introducing, as this	12:52
25	definition explains, a lag between the cause and the	12:52
	Page 1	29

1	induced or observed effect? And I can ask it	12:52
2	differently.	12:52
3	Isn't that introducing a lag between when	12:52
4	we take action versus when we were you know, our	12:52
5	specific point of action was?	12:52
6	A. No. I don't think so. Because, for	12:52
7	example, if you are in this hypothetical band	12:52
8	between 69 and 71, temperatures going up and down a	12:52
9	little bit, you are never and it never exceeds	12:53
10	either threshold, you are never going to cause a	12:53
11	change. So there is no lag there.	12:53
12	And in theory, if you are in that and you	12:53
13	go above the threshold, you are going to do your	12:53
14	best to cause a change to happen right now. There's	12:53
15	no notion of, "Well, the furnace is on; I crossed my	12:53
16	threshold; I know I want it to be off, but I'm going	12:53
17	to wait." You try to turn it off as soon as you	12:53
18	can.	12:53
19	And similarly, you could be bouncing	12:53
20	around all over the place in that as you	12:53
21	characterize that deadband; that is a reasonable	12:53
22	term and drop just below the critical line and	12:53
23	decide, "I need the furnace turned on now. It's too	12:53
24	cold." There's you wouldn't want to build a	12:53
25	delay in there.	12:53
	Page 1	30
	_	

1	Q. Well, I am I am confused about where	12:53
2	you are triggering this "delay." So what you are	12:53
3	viewing is the "delay."	12:54
4	So if our if I go and I set my	12:54
5	thermostat to 70, and it doesn't turn on until the	12:54
6	temperature continues to decrease all the way down	12:54
7	to 68, that's a delay between the temperature I set	12:54
8	it to be at, 70, and the lower threshold of the	12:54
9	deadband; right?	12:54
10	A. Assuming you can't change temperature	12:54
11	instantaneously; yeah, that would be a delay.	12:54
12	But the definition here is getting to an	12:54
13	element of hysteresis, which is the cause and the	12:54
14	induced or observed effect.	12:54
15	The cause of a change in the thermostat is	12:54
16	not the temperature I want to be at. It's the	12:54
17	temperature I am at.	12:54
18	I mean, in a in the scenarios we have	12:55
19	been talking about, the temperature I want to be at,	12:55
20	the 70 degrees, we haven't talked about that	12:55
21	changing at all.	12:55
22	Q. Right.	12:55
23	But like but okay. But so so as the	12:55
24	temperature crosses	12:55
25	Let's say the furnace is turned off and as	12:55
	Page 1	31

1	the temperature crosses from 71 to 70 to 69, it is	12:55
2	not kicking in after it goes below 70, despite that	12:55
3	being what I set it at; right?	12:55
4	A. I think that's right. But furthermore,	12:55
5	you have no guarantees that it will ever kick in.	12:55
6	The temperature, you know, may be the sun is coming	12:55
7	up and the temperature will go back to 70.	12:55
8	That's why I am saying it's not a delay in	12:55
9	response to the incremental change. It's a delay to	12:55
10	hitting those the low mark and the high mark.	12:55
11	Q. Well sorry.	12:55
12	A. It's responding to hitting those two, but	12:55
13	I don't see a delay built into hitting those two.	12:55
14	Q. Yeah, so I let me try to rephrase.	12:56
15	There are two issues you are having with	12:56
16	lag, if I am understanding it right.	12:56
17	The first is that lag could be permanent	12:56
18	because there is no indication that we are ever	12:56
19	going to get to that point that we might have been	12:56
20	trending to, or, you know, like you're saying, the	12:56
21	sun might come out, it might get warm. So the first	12:56
22	issue is sometimes it never happens.	12:56
23	And the second problem I think you are	12:56
24	having is well, actually, I don't think I	12:56
25	understand the second problem, which is why I am	12:56
	Page 1	32

1	asking my question.	12:56
2	So it's that you are not seeing you are	12:56
3	viewing the change between 69 and 67 as like an	12:56
4	instantaneous change, where you are saying there is	12:56
5	no lag; right?	12:56
6	A. I guess let me let me be cautious	12:56
7	here. I mean, there will be a lag. You know, there	12:56
8	is a thermostat. It's on one side of the room, the	12:56
9	furnace is, you know, in the basement.	12:56
10	But there is no designed lag. Lag is not	12:56
11	a characteristic that we want in the system. It's	12:57
12	just a fact that there's always cause and effect.	12:57
13	That that's not what hysteresis is is about.	12:57
14	And in I believe in the scenario	12:57
15	that that we sort of refined with a with a	12:57
16	thermostat, the desire is to have an instantaneous	12:57
17	change. There is no notion like I said, there is	12:57
18	no notion of, "Well, we want there to be to a	12:57
19	delay."	12:57
20	And there's not much concern about, "Well,	12:57
21	there is a delay." There is some delay. That's	12:57
22	just the way things are.	12:57
23	Q. But isn't I guess this is where I am	12:57
24	not I'm just not sure we are speaking the same	12:57
25	language here.	12:57
	Page 1	33
	rage 1	<i>J J</i>

1	Because isn't the isn't the lag the	12:57
2	thresholds that we built around isn't the lag the	12:57
3	deadband?	12:57
4	If I am I am a human being who set my	12:57
5	thermostat at 70 but it's not going to kick on until	12:57
6	68, that is a lag that I am perceiving between what	12:58
7	I set it at and what it kicks in at.	12:58
8	And so isn't from the design system,	12:58
9	it's saying, "Okay, the input setting was 70; I am	12:58
10	going to add a delay and not act until the	12:58
11	temperature goes 2 degrees below that"?	12:58
12	A. No. I don't think so. For example,	12:58
13	let's let's think about applying this to	12:58
14	something like a hysteresis in a in a very	12:58
15	high-speed digital circuit as opposed to a furnace	12:58
16	and and along there.	12:58
17	It could be the case that that that	12:58
18	change between where you want to be and where you	12:58
19	get concerned and you decide to make a change could	12:58
20	happen, in essence, instantaneously to the system.	12:58
21	So there may be so what is that lag? There as	12:58
22	far as I know, there is no lag or it could be a	12:58
23	long, slow trend.	12:58
24	And and as I said, I don't I don't	12:58
25	think those those small changes can be considered	12:59
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1	the input that causes a lag result because they	12:59
2	don't necessarily cause any result. They don't	12:59
3	they are not delayed. Right?	12:59
4	If I get all the way down to just over	12:59
5	68 degrees, but don't cross 68 degrees, there's no	12:59
6	delayed output. It there's I'm sorry.	12:59
7	There's no delayed change in that output. It never	12:59
8	happened.	12:59
9	Q. Right. I want to separate the two	12:59
10	concepts though because I think there were let's	12:59
11	put on pause the never-happening point.	12:59
12	A. Okay.	12:59
13	Q. But that's that's a different point.	12:59
14	But I hear you. I hear you. And we can go back to	12:59
15	it.	12:59
16	But it does seem like like the issue	12:59
17	that we are having is who is really about	12:59
18	perception of the lag.	12:59
19	Who it what you are saying is, "Look,	12:59
20	there could be times that the temperature just goes	12:59
21	from 71 to 68 like that," and in that case, there is	13:00
22	no lag at all; right?	13:00
23	A. Right.	13:00
24	Q. But there could also be times where the	13:00
25	temperature slowly decreases in a trend and passes	13:00
	Page 1	35

1	below 70, passes below 69, and then doesn't kick in	13:00
2	until we hit 68, and there is a delay between the	13:00
3	user setting and the low threshold being hit. Fair?	13:00
4	A. Yes. There is a delay between moving off	13:00
5	of the desired temperature and hitting the trigger	13:00
6	point.	13:00
7	Q. So there are at least some circumstances	13:00
8	in this sort of textbook definition of hysteresis in	13:00
9	our thermostat where where there is a lag element	13:00
10	between the set target and when the system acts on	13:00
11	that target as a result of this threshold, some	13:00
12	circumstances, not all, but there are some?	13:00
13	A. Yes. I mean, there are some circumstances	13:01
14	where there is some delay in the in the system.	13:01
15	I mean, as I suggested before, even once	13:01
16	you decide to make the change, there is there is	13:01
17	no instantaneous affect. Although, you try to keep	13:01
18	it as small as possible.	13:01
19	Q. Yeah.	13:01
20	And so the dispute that you are having	13:01
21	with the definition is not that is not that lag	13:01
22	can lag of the kind that we are discussing can be	13:01
23	an indicia that hysteresis is occurring, but you are	13:01
24	saying that it's not not always the case. There	13:01
25	are circumstances where there is no lag but there is	13:01
	Page 1	36

1	still hysteresis occurring; is that right?	13:01
2	A. Yes. For example yeah, the term "lag"	13:01
3	that and may be this is just me.	13:02
4	When I read that and I hear "lag," I think	13:02
5	time; there is a delay.	13:02
6	At the same time, we use hysteresis all	13:02
7	over the place, like in an electric circuit where	13:02
8	it's based on voltage or well, temperature	13:02
9	without an impact. But, you know, it's not a	13:02
10	question of how much time has passed. It's a	13:02
11	question of how much voltage change have you got.	13:02
12	Q. Yeah. Yeah. I think I am I think I am	13:02
13	understanding.	13:02
14	I mean, really, in your mind, this	13:02
15	definition is is maybe too narrow in that it	13:02
16	doesn't capture non-time impacted hysteresis, like	13:02
17	the voltage example that you are providing?	13:02
18	MR. TUNG: Objection. Mischaracterizes.	13:02
19	THE WITNESS: Yeah. Although, I	13:02
20	actually, I would flip it around the other way:	13:02
21	It's too broad.	13:02
22	It may be the case that that occurs in	13:02
23	in the thermostat example that we are talking about,	13:03
24	but it's not narrow enough to actually define what	13:03
25	is hysteresis in that in that context.	13:03
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1	BY MR. BROWN:	13:03
2	Q. Got it. Okay. But, so right.	13:03
3	So whatever it is that we're whatever	13:03
4	it is that we are talking about, that well,	13:03
5	withdrawn.	13:03
6	Well, we I think what we can agree on	13:03
7	as part of what hysteresis is, is as we have talked	13:03
8	about in the thermostat example.	13:03
9	Using a two-level threshold band such as	13:03
10	in a thermostat, that is an example of hysteresis?	13:03
11	A. I think that that's a good example of	13:04
12	hysteresis writ large, yes.	13:04
13	Q. And so we we can fight about what lag	13:04
14	means another day and just agree on that. Okay.	13:04
15	Good.	13:04
16	How are we doing on time? When did we	13:04
17	take our last break? Yeah, noon.	13:04
18	You want a break or do you want to keep	13:04
19	going? It's up to you.	13:04
20	A. Any sense of I assume we are not close?	13:04
21	I would love to push through and be done	13:04
22	but, you know, maybe we should take a break if it's	13:04
23	going to be another hour or two.	13:04
24	Q. Yeah. It's probably going to be at least	13:04
25	one more module. I mean, we're not going to go all	13:04
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	rage 1	

1	day, though. So but, yeah.	13:04
2	A. Why don't we	13:04
3	Q. Why don't we take a break. Yeah.	13:04
4	THE VIDEOGRAPHER: We're going off the	13:04
5	record. The time is 1:04.	13:04
6	(Brief break.)	13:19
7	THE VIDEOGRAPHER: We're back on the	13:19
8	record. The time is 1:19.	13:19
9	BY MR. BROWN:	13:19
10	Q. All right. I'm going to ask you, as I	13:19
11	always do, did you	13:19
12	Welcome back, Doctor.	13:19
13	And did you speak with counsel for PACT	13:19
14	during that break?	13:19
15	A. No.	13:19
16	Q. Okay. So I'm going to go in Nicol to	13:19
17	Column 6, starting at Line 34. It's the paragraph	13:19
18	that says [as read]:	13:19
19	"The principles disclosed above for	13:19
20	a multiprocessor is extendible to other	13:19
21	system arrangements."	13:19
22	Do you see that?	13:19
23	A. Yes.	13:19
24	Q. Okay. So Nicol discloses or,	13:19
25	withdrawn.	13:19
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1	Nicol states that its principles are	13:19
2	extendable to other system arrangements; right?	13:19
3	A. They make that statement. Yes, sir.	13:19
4	Q. And if we go down a little bit to Line	13:19
5	well, 39 to 41, there's a reference to the use of	13:20
6	personal computers.	13:20
7	Do you see that?	13:20
8	A. Yes, I do.	13:20
9	Q. And you don't disagree that the	13:20
10	implement that the Nicol device could be	13:20
11	implemented in a personal computer situation; right?	13:20
12	A. I have no idea what specifically they are	13:20
13	referring to when they say the principles above.	13:20
14	One of the principles they have disclosed	13:20
15	is how to do task scheduling when tasks are known.	13:20
16	I don't see how that could be applied to a window	13:20
17	system.	13:20
18	One of the principles they've disclosed is	13:20
19	varying the POP frequency and the voltage. I think	13:20
20	that could be applied, sure.	13:20
21	But and I don't know. I just cannot	13:20
22	tell what they are referring to when they say "the	13:21
23	principles" above.	13:21
24	Q. Well, let's I mean, let me just unpack	13:21
25	that point.	13:21
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1	It there are in a personal computer,	13:21
2	there could be there could be multiple processors	13:21
3	in a personal computer; right?	13:21
4	A. Today, typically, most personal computers	13:21
5	would have multiple processor cores. And I only say	13:21
6	that because Intel, for example, uses the term	13:21
7	"processor" oftentimes to mean one chip; whereas, I	13:21
8	think really they are talking here about the like	13:21
9	a PE. So there are multiple things that would be	13:21
10	comparable to a PE.	13:21
11	Q. Sure.	13:21
12	And back at the time of the invention for	13:22
13	the '047 and the '605, personal computers could have	13:22
14	multiple processors as well; right?	13:22
15	A. It wouldn't surprise me. I don't remember	13:22
16	exactly when the notion of multiple for example,	13:22
17	multiple Intel cores hit the market, but it wouldn't	13:22
18	surprise me if that date pre-dated the time of	13:22
19	invention. I just don't recall.	13:22
20	Q. Yeah. But there's also other ways that	13:22
21	you could have multiple processors in a personal	13:22
22	computer back then, for instance, a graphics card	13:22
23	that's inserted into the PCI slot of an older	13:22
24	personal computer would typically have its own	13:22
25	processor; right?	13:22
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1	A. So a graphic card back in those days would	13:22
2	typically have something that we would call a	13:22
3	processor. I don't think that it fits the	13:22
4	definition of a processor here in in any useful	13:23
5	way.	13:23
6	But, yes, they were well, there were	13:23
7	there probably were programmable processors on	13:23
8	graphics cards as of that date.	13:23
9	Q. Okay. And there were also other	13:23
10	processors that you could sort act well,	13:23
11	withdrawn.	13:23
12	There was also, at the time, other types	13:23
13	of processors, other than graphics processors, that	13:23
14	you could interface with a personal computer via a	13:23
15	PCI card or slot; right?	13:23
16	MR. TUNG: Objection. Vague.	13:23
17	THE WITNESS: I guess. For example, you	13:23
18	could plug in a network card in a PCI and run a	13:23
19	network cable over to some other computer, and	13:23
20	thus thus be interconnected in some meaningful	13:23
21	way.	13:23
22	BY MR. BROWN:	13:23
23	Q. Okay. And so so at least some of the	13:24
24	concepts of Nicol from could be in addition to	13:24
25	being applicable in the way you described earlier,	13:24
	Page 1	42

1	could also be applicable to a personal computer at	13:24
2	the time of invention as a in a coprocessor role	13:24
3	as the main processor; right?	13:24
4	MR. TUNG: Objection. Vague.	13:24
5	THE WITNESS: So when you say	13:24
6	"coprocessor," I assume, for example, you are	13:24
7	considering, like, the graphics processor that we	13:24
8	mentioned?	13:24
9	I don't I don't see how any of the	13:24
10	disclosures in Nicol could be applied, particularly	13:24
11	at that date, in any meaningful way to such a system	13:24
12	with a graphics processor, unless, as I suggested,	13:24
13	all they meant was, "Well, we can we can vary the	13:24
14	voltage and, thus, vary the clock speed."	13:24
15	Was that used at that time? I don't know.	13:25
16	But, you know, it wouldn't shock me if it was. But	13:25
17	that was not coordinated between the a CPU and a	13:25
18	graphic processor. That would just be done in	13:25
19	isolation on a graphics processor.	13:25
20	BY MR. BROWN:	13:25
21	Q. Ah, I see. I see the issue. You are	13:25
22	right.	13:25
23	So there are at least circumstances where	13:25
24	personal computers could have had sort of an	13:25
25	independent coprocessor or, let me say it	13:25
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1	differently.	13:25
2	Could have implemented Nicol in a	13:25
3	coprocessor in a way that maybe was independent of	13:25
4	the other processors in the system; is that right?	13:25
5	A. So I would say that aspects of what they	13:25
6	have disclosed could be used in such a system.	13:25
7	Q. Yeah.	13:25
8	A. But much of what I am thinking of are	13:25
9	elements that they discussed and made use of but	13:25
10	didn't necessarily invent themselves.	13:25
11	For example, dynamically changing the	13:26
12	voltage and clock in some relationship.	13:26
13	Q. Right.	13:26
14	And at the time of the invention of the	13:26
15	'047 and the '605 patent, one type of personal	13:26
16	computer that was around at the time was a laptop	13:26
17	computer; right?	13:26
18	A. Yes.	13:26
19	Q. Okay. And a laptop computer is a type of	13:26
20	mobile computer; right?	13:26
21	A. I would consider a laptop to be a kind of	13:26
22	mobile computer, generally. Granted well, I	13:26
23	think that's a pretty good example.	13:26
24	There were there were very old laptop	13:26
25	computers like the size of a phone machine, but	13:26
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1	we're not talking about those.	13:26
2	Q. Okay. And and you would agree that	13:26
3	the in Nicol, Nicol's suggestion that however it	13:26
4	might be implemented in a mobile computer, as a	13:27
5	coprocessor or something like that, the benefits of	13:27
6	minimizing power consumption are applicable to	13:27
7	mobile computers; right?	13:27
8	MR. TUNG: Objection. Form.	13:27
9	THE WITNESS: I I would go farther than	13:27
10	that and say that the benefits of minimizing power	13:27
11	consumption are valuable to a greater or lessor	13:27
12	degree in any sort of computing system.	13:27
13	BY MR. BROWN:	13:27
14	Q. Now, I I think you were saying earlier	13:27
15	that if you were to implement Nicol as a on a	13:27
16	coprocessor in a computer system, it that Nicol	13:28
17	would not then sort of extend to the primary	13:28
18	processor; right? It would be an independent	13:28
19	implementation on just that coprocessor.	13:28
20	Was I understanding that right?	13:28
21	A. No. I don't think that's exactly what I	13:28
22	was saying.	13:28
23	I was showing one example of a part of the	13:28
24	technology that Nicol discusses or discloses whether	13:28
25	it's whether they invented or it's in the prior	13:28
	Page 1	45

1	art, and that here's an example of something that I	13:28
2	think could be applied to the coprocessor. But I	13:28
3	don't think that it's Nicol, as I understand Nicol.	13:28
4	Q. I gotcha. Okay.	13:28
5	Would you agree that if let's assume	13:28
6	this coprocessor arrangement: Either a graphics	13:28
7	card or some other coprocessor, and then there is a	13:28
8	primary CPU in our in our computer as well.	13:28
9	Does that all make sense so far?	13:29
10	A. Yes.	13:29
11	Q. Yeah. So if would a person of ordinary	13:29
12	skill in the art well, actually, withdrawn.	13:29
13	Let me let me take this out of the	13:29
14	space of a personal computer. Let's just make this	13:29
15	a device; all right? Not not a personal	13:29
16	computer. Just a any device that is implementing	13:29
17	a coprocessor arrangement.	13:29
18	You agree that the there's a difference	13:29
19	in behavior in Nicol between its controller PE,	13:29
20	which is running the real-time operating system and	13:29
21	handling distribution of the tasks, and and the	13:29
22	other PEs that are doing those tasks; right?	13:29
23	A. Yes. I believe in general for example,	13:29
24	in 2, in Figure 2 embodiment, they sort of talk	13:29
25	about real-time operating system only runs on one,	13:30
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1	and its job is largely as disclosed to distribute	13:30
2	tasks. And those tasks are the computations of	13:30
3	interest. And those go to the other PE.	13:30
4	Q. And and in your mind that is well,	13:30
5	withdrawn.	13:30
6	The task that those PEs are working on,	13:30
7	the working PEs, the type of tasks envisioned by	13:30
8	Nicol are digital signal processing tasks in a set	13:30
9	top box; right?	13:30
10	A. That seems to be the sort of task that	13:30
11	they are focusing on. Although as we talked about,	13:30
12	you know, they throw in caveats that could be	13:30
13	anything. But they certainly are largely focused on	13:30
14	DSP applications.	13:30
15	Q. Yeah. And so those working PEs, those	13:30
16	could actually be DSPs, couldn't they?	13:30
17	MR. TUNG: Objection. Form.	13:31
18	THE WITNESS: I would imagine that they	13:31
19	could be DSPs and, in fact, the sort of main	13:31
20	difference processor where the R RTOS is running	13:31
21	could also be a DSP. It depends on the details of	13:31
22	the DSP.	13:31
23	BY MR. BROWN:	13:31
24	Q. For sure.	13:31
25	But it's it's possible, or it's	13:31
	Page 1	47

1	reasonable that a person of ordinary skill in the	13:31
2	art could look at this arrangement and say, "Okay,	13:31
3	the working PEs should be DSPs, but I'm going to use	13:31
4	a CPU for the controller PE because it's	13:31
5	functionality is different."	13:31
6	That's a possible interpretation of a	13:31
7	decision that a person of ordinary skill at the time	13:31
8	in the art could make; right?	13:31
9	MR. TUNG: Object to the form.	13:31
10	THE WITNESS: I think that a person of	13:31
11	ordinary skill in the art could, at the time of	13:31
12	invention, could look at those and say, "The	13:31
13	disclosed roles are are fundamentally different	13:32
14	in meaningful ways, and it might make sense to have	13:32
15	a customized DSP run the computations task and more	13:32
16	general purpose device run the controller task	13:32
17	real-time operating system aspect."	13:32
18	MR. BROWN: Okay. Let me introduce a new	13:32
19	exhibit.	13:32
20	(Deposition Exhibit 3 was marked for	13:32
21	identification and is attached hereto.)	13:33
22	BY MR. BROWN:	13:33
23	Q. All right. It should be up.	13:33
24	A. Let me make the observation that I would	13:33
25	not characterize that delay of hysteresis but	13:33
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1	(Laughter elicited.)	13:33
2	THE WITNESS: Yeah, I have Kling up on my	13:33
3	screen now.	13:33
4	BY MR. BROWN:	13:33
5	Q. Excellent.	13:33
6	So, for the record, what is now marked as	13:33
7	Exhibit 3 is U.S. Patent 6367023, which we'll refer	13:33
8	to as the "Kling reference" throughout the rest of	13:33
9	the deposition.	13:33
10	Have you reviewed the Kling reference?	13:33
11	A. Yes, I have.	13:33
12	Q. So what I want to I want to sort of	13:33
13	understand a little bit of how Kling works first.	13:33
14	So I'm going to take you to Figure 2A. And we can	13:33
15	go to the text too if you need a refresher. Just	13:34
16	let me know.	13:34
17	But Figure 2A shows that Kling implements	13:34
18	an upper limit and power limit of power consumption	13:34
19	as part of its disclosure; right?	13:34
20	A. Yes.	13:34
21	Q. Yeah. And essentially what it says is	13:34
22	"when we get close to the upper limit threshold, we	13:34
23	should scale back frequency so that we don't use	13:34
24	more power than we are we are allowed to use"; is	13:34
25	that right?	13:34
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1	A. I think that's yes, that is one aspect	13:34
2	of what they are showing here.	13:34
3	Q. And and that upper threshold that is	13:34
4	being set there is really sort of saying "this is	13:34
5	the maximum available power that I that's	13:35
6	available for you to use"; is that right?	13:35
7	A. Yes. That's how I interpret it.	13:35
8	Q. And then it makes decisions based on what	13:35
9	the clock frequency should be based on that	13:35
10	available power?	13:35
11	MR. TUNG: Objection to the form.	13:35
12	THE WITNESS: It makes decisions based in	13:35
13	part on yes, what that upper limit is.	13:35
14	BY MR. BROWN:	13:35
15	Q. The these upper and lower thresholds in	13:35
16	Kling can also be set by a user; is that right?	13:35
17	MR. TUNG: Objection.	13:36
18	THE WITNESS: It's my recollection that	13:36
19	they discussed at least the lower limit. I don't	13:36
20	recall if the upper limit was set. It could be	13:36
21	implemented in some hypothetical system in a buyer	13:36
22	setting that a user could have some control over.	13:36
23	BY MR. BROWN:	13:36
24	Q. So you are you agree, at least, that	13:36
25	the lower threshold can be set by a user in the	13:36
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1	Kling reference; right?	13:36
2	A. That's my recollection. I'm not saying it	13:36
3	wasn't the upper limit as well. I just don't	13:36
4	recall.	13:36
5	Q. Yeah. Yeah, no. I'll take you there in a	13:36
6	second.	13:36
7	And what that means is that well, why	13:36
8	don't we do upper limit too.	13:36
9	So if you go to Column 5, the first full	13:36
10	paragraph.	13:36
11	A. All right.	13:36
12	Q. Lines right in the middle of that there	13:36
13	is a sentence that starts "the upper threshold," and	13:36
14	it says at Line 16 of Column 5 [as read]:	13:36
15	"This threshold may be permanently	13:37
16	set within the power controller or may	13:37
17	be modifiable by the system designer or	13:37
18	system user via software or hardware	13:37
19	control."	13:37
20	Do you see that?	13:37
21	A. Yes, I do.	13:37
22	Q. Okay. So in the Kling reference, the	13:37
23	upper and lower threshold may be set by a user	13:37
24	setting. Is that fair?	13:37
25	MR. TUNG: Object to form.	13:37
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1	THE WITNESS: That's my recollection.	13:37
2	Although, you having pointed this out to	13:37
3	me, I see no reason why they would make the upper	13:37
4	programmable and not the lower. But I wonder if I	13:37
5	remembered them in reverse.	13:37
6	But yeah, I if you can do one, I'm sure	13:37
7	you could do the other.	13:37
8	BY MR. BROWN:	13:37
9	Q. Sure.	13:37
10	Well, let me I don't want you to feel	13:37
11	like I am misleading you. So let me take you to	13:37
12	Column 5, Line 56, or -7 where it says [as read]:	13:37
13	"Alternatively, the lower threshold	13:37
14	may be modifiable by a user"	13:37
15	Do you see that?	13:37
16	A. I do.	13:37
17	Q. There you go. Okay.	13:37
18	So so the in the Kling reference, the	13:37
19	upper and lower threshold may may both be set by	13:37
20	a user setting; right?	13:38
21	A. That's my understanding from what they	13:38
22	disclosed. Yes.	13:38
23	Q. And that those upper and lower	13:38
24	thresholds then impact, in part, what the frequency	13:38
25	of the processor will be; right?	13:38
	Page 1	52

	,	
1	MR. TUNG: Object to the form. Assumes	13:38
2	facts.	13:38
3	THE WITNESS: As I understand Kling, as we	13:38
4	just discussed, if the power consumption goes over	13:38
5	the upper threshold, the system will throttle it	13:38
6	when it subsequently goes below the lower threshold,	13:38
7	and the throttle will be turned off.	13:38
8	And by "throttle," I mean changing the	13:38
9	clock frequency in some meaningful way.	13:38
10	BY MR. BROWN:	13:38
11	Q. And then if I right. So so just to	13:38
12	be clear.	13:38
13	When you turn off the throttle, that	13:38
14	that changes the clock frequency because it allows	13:39
15	the clock frequency to stop going down and either	13:39
16	stabilize or continue going back up; right?	13:39
17	A. I I am pretty sure that's explicitly	13:39
18	disclosed. My recollection is they also have other	13:39
19	ways to throttle, which doesn't necessarily affect	13:39
20	the clock frequency. Like, inserting no-ops.	13:39
21	Q. Got it. Okay.	13:39
22	And then let me take you to Column 10.	13:39
23	It's going to be claim 12.	13:39
24	A. All right.	13:39
25	Q. And claim 12 says [as read]:	13:39
	Page 1	53
	rage 1	

1	"The method of claim 11"	13:39
2	And we can go and look at that if you	13:39
3	would like. But it says [as read]:	13:39
4	"further comprising determining	13:39
5	if the calculation reaches a threshold	13:39
6	that is predetermined to be a	13:39
7	user-defined value associated with the	13:39
8	power delivery specification of the	13:39
9	outlet into which the computer system	13:39
10	is plugged."	13:39
11	Do you see that?	13:39
12	A. I do.	13:40
13	Q. And so what is that well, which I'll	13:40
14	just ask.	13:40
15	The power delivery specification of the	13:40
16	outlet into which the computer system is plugged,	13:40
17	that refers to the available power that you can get	13:40
18	from that outlet; right?	13:40
19	MR. TUNG: Object to the form.	13:40
20	THE WITNESS: That's my understanding.	13:40
21	It's ambitious. I don't know how they would know	13:40
22	that a particular outlet in my home was plugged to a	13:40
23	30-amp circuit breaker. But that is what they are	13:40
24	disclosing in in principle.	13:40
25	///	
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1	BY MR. BROWN:	13:40
2	Q. Right.	13:40
3	And, in fact, it's a good point that you	13:40
4	are raising because they're what they are saying	13:40
5	is not that they know that, necessarily, but that	13:40
6	there's a user-defined value associated with the	13:40
7	power delivery specification.	13:40
8	And so isn't that saying that "we'll use a	13:40
9	user-defined value that tells us what the total	13:40
10	available power is from that outlet"?	13:40
11	MR. TUNG: Same objection.	13:40
12	THE WITNESS: That may be what they are	13:41
13	saying. I to be honest with you, I am not sure.	13:41
14	I haven't spent the time studying the claim. But	13:41
15	there is certainly a user-specified setting for the	13:41
16	upper limit, which in some way is intended to be	13:41
17	related to the power that they can extract from the	13:41
18	wall socket. I in some other disclosed usages.	13:41
19	I mean, I don't think it always has to be	13:41
20	that. It could be that they're it's simply	13:41
21	related to the maximum power that could be consumed	13:41
22	by the power supply inside the device, but they	13:41
23	certainly do talk about relating it in some	13:41
24	circumstances to a wall socket.	13:41
25	///	
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1	BY MR. BROWN:	13:41
2	Q. Uh-huh. And in particular to a user	13:41
3	defined value associated with that wall socket's	13:41
4	available power in claim 12?	13:41
5	MR. TUNG: Objection.	13:41
6	THE WITNESS: Well, they certainly do	13:41
7	disclose that at least in claim 12. I don't recall	13:42
8	if they do it elsewhere in the specification.	13:42
9	BY MR. BROWN:	13:42
10	Q. Yeah. Let me take you to Column 5,	13:42
11	Line 20 21 to 25. You know, here it talks about	13:42
12	the [as read]:	13:42
13	"constant value may be associated	13:42
14	with an approximately maximum power	13:42
15	that can be reliably consumed by the	13:42
16	computer system from a power outlet	13:42
17	into which the system is plugged before	13:42
18	the outlet's fuse or circuit breaker	13:42
19	trips."	13:42
20	Right?	13:42
21	A. Yes. Yeah.	13:42
22	Q. And so that is consistent with your	13:42
23	interpretation of the power delivery specification	13:42
24	of the outlet being kind of that available power	13:42
25	that the outlet can provide before the fuse breaks;	13:42
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1	right?	13:42
2	A. I think the maximum power is the is in	13:42
3	this situation a reasonably good synonym for	13:42
4	available power.	13:43
5	Q. Now, the Kling reference in general is	13:43
6	directed to minimizing power consumption by use of	13:43
7	these thresholds; right?	13:43
8	A. I don't believe that that's quite	13:43
9	accurate. It's directed to not exceeding an unsafe	13:43
10	power consumption. And within that context, not	13:43
11	being overly punishing when you temporarily get into	13:43
12	a bad context.	13:43
13	Q. Got it.	13:43
14	So maybe it would be better to say Kling	13:43
15	relates to power management; that's a little more	13:43
16	acceptable?	13:43
17	A. I think it definitely does relate to power	13:43
18	management, yes.	13:43
19	Q. And monitoring the power consumption in	13:43
20	the way that Kling does, that's that has	13:43
21	application to and use in multiprocessor systems;	13:44
22	right?	13:44
23	MR. TUNG: Objection. Vague.	13:44
24	THE WITNESS: It I guess it may.	13:44
25	Frankly, I don't know of anybody who implements	13:44
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1	Kling, but in theory, it may. Maybe people do. I	13:44
2	simply don't know.	13:44
3	BY MR. BROWN:	13:44
4	Q. Do you whether or not they are	13:44
5	implementing all of Kling, there's the idea of	13:44
6	having sort of power limit thresholds like Kling	13:44
7	describes, that wasn't a	13:44
8	Are you okay?	13:44
9	A. Oh. Yeah. Sorry. Just getting a little	13:44
10	tired.	13:45
11	Go ahead, please.	13:45
12	Q. Okay. The the idea of having power	13:45
13	limit thresholds like Kling, that's something that	13:45
14	was already known to people of ordinary skill in the	13:45
15	art at the time of the '605 and '047 patents; right?	13:45
16	MR. TUNG: Object to the form.	13:45
17	THE WITNESS: I would agree with that.	13:45
18	For example, you know, when I I plug my PC power	13:45
19	in my laptop into the wall, there is a fuse in	13:45
20	there, and that is there to make sure I don't exceed	13:45
21	some power limit.	13:45
22	I wouldn't be surprised if there's also a	13:45
23	similar fuse inside the computer itself.	13:45
24	BY MR. BROWN:	13:45
25	Q. Okay. Let me give you let me put in	13:45
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	1430 1	

1	another exhibit. This will be Bhatia.	13:45
2	(Deposition Exhibit 4 was marked for	13:45
3	identification and is attached hereto.)	13:46
4	BY MR. BROWN:	13:46
5	Q. Should be up, and while you are grabbing	13:46
6	it, I'll note for the record, Bhatia is Exhibit 4.	13:46
7	It's US Patent 6,535,798, which we'll refer to as	13:46
8	the "Bhatia reference" which is B-H-A-T-I-A.	13:46
9	A. All right. I have it open.	13:46
10	Q. Great.	13:47
11	If we go to just a couple of things on	13:47
12	Bhatia. If we go to Column 4 at Line 21 to 24.	13:47
13	A. All right.	13:47
14	Q. Like Kling, Bhatia also discloses	13:47
15	throttling a processor in order to well, let me	13:47
16	not bring Kling into it. Well sorry. Let me ask	13:47
17	it this way.	13:47
18	Bhatia discloses at Column 4 the ability	13:47
19	to throttle a processor's frequency in order to put	13:47
20	it into a reduced power mode; is that right?	13:47
21	A. Yes. In this passage, Bhatia discloses	13:48
22	that they can throttle the processor by, in essence,	13:48
23	stopping it from doing anything.	13:48
24	Q. Uh-huh. And the idea of putting one or	13:48
25	more processors in a multiprocess system into low	13:48
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1	power mode, that was that was not a novel concept	13:48
2	to a person of ordinary skill in the art; right?	13:48
3	MR. TUNG: Object to the form.	13:48
4	THE WITNESS: The notion at the time of	13:48
5	the invention of putting a processor certainly an	13:48
6	individual processor into some sort of low power	13:48
7	state was well-known.	13:48
8	I suspect that there are examples of	13:48
9	putting multiple processors in a low power state was	13:48
10	also in use.	13:48
11	BY MR. BROWN:	13:48
12	Q. Okay. And then if we go to the bottom of	13:48
13	Column 3 of Bhatia, the column to the left, there's	13:48
14	a reference there to [as read]:	13:48
15	"In addition, thermal management in	13:49
16	the system 10 may be performed	13:49
17	independently for multiple thermal	13:49
18	zones."	13:49
19	Do you see that?	13:49
20	A. Yes, I do.	13:49
21	Q. What are "multiple thermal zones"?	13:49
22	A. What I believe they are referring to is,	13:49
23	for example, you could have the you know, system	13:49
24	memory be one zone, maybe a processor is another	13:49
25	one. If there is the ability to measure temperature	13:49
	Page 1	60
	5	

1	there, maybe the graphics processor would be another	13:49
2	zone. So these are these are separate physical	13:49
3	portions of the system.	13:49
4	Q. Uh-huh. So it's envisioning that you	13:49
5	could have multiple multiple temperature sensors	13:49
6	on the device that are measuring different zones?	13:49
7	MR. TUNG: Object to the form.	13:49
8	THE WITNESS: I would say that if the	13:49
9	device is an entire laptop, for example, they	13:49
10	certainly are disclosing that you could have	13:49
11	multiple temperature sensors in the laptop that	13:50
12	measure temperature at different physical parts of	13:50
13	the system at the same time.	13:50
14	BY MR. BROWN:	13:50
15	Q. Okay. Let me take you back to Exhibit 1,	13:50
16	which is Nicol. It's been a little while since we	13:50
17	talked about Nicol so	13:50
18	A. All right.	13:50
19	Q. And I want to take you to the discussion	13:50
20	of Figure 2 on sorry on Column 5, Line 23 it	13:51
21	says [as read]:	13:51
22	"The Figure 2 system uses the	13:51
23	operating system to react to variations	13:51
24	in the system load. As more tasks are	13:51
25	entered into the 'to-do' list, the	13:51
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1	operating system of PE 100 computes the	13:51
2	correct way to balance the additional	13:51
3	computational requirements and	13:51
4	allocates the tasks to the processors.	13:51
5	It then computes the required operating	13:51
6	frequency."	13:51
7	Do you see that?	13:51
8	A. Yes, I do.	13:51
9	Q. And so when it talks about computing the	13:51
10	required operating frequency, what when more	13:51
11	tasks enter the to-do list, what that is talking	13:52
12	about is how to handle the additional computational	13:52
13	requirements that have been added to that PE; right?	13:52
14	MR. TUNG: Objection.	13:52
15	THE WITNESS: Well, let me first say that,	13:52
16	as we talked about in the previous deposition, I	13:52
17	think if there is fundamental aspects, the phrasing	13:52
18	at least, that are incredibly difficult.	13:52
19	But certainly their desire is to come up	13:52
20	with the best possible schedule.	13:52
21	So yes, they are determining that perhaps	13:52
22	you had a PE that was loaded at had a 50 percent	13:52
23	load on it and now it's at a 100 percent, and they	13:52
24	might conclude, "Well, I should dial up the clock	13:52
25	frequency to a 100 percent of what it could do as	13:52
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1	well."	13:52
2	BY MR. BROWN:	13:52
3	Q. Okay. And then how would you well,	13:53
4	let's try	13:53
5	How do you measure that load that we are	13:53
6	talking about?	13:53
7	MR. TUNG: Objection. Vague.	13:53
8	THE WITNESS: It is vague. In the context	13:53
9	of Nicol as we excuse me as we talked about,	13:53
10	in the sense that well, first of all, when he	13:53
11	expands it beyond tasks that have known bounded	13:53
12	computation.	13:53
13	But even setting those aside, it's a	13:53
14	little hard to know we talked about this issue	13:53
15	before. Is it is it that a task needs to	13:53
16	complete by a certain period of time? Probably.	13:53
17	It's at least part of that.	13:53
18	Is it also related to how much computation	13:53
19	is likely needed to be done to accomplish that?	13:53
20	Right.	13:53
21	So I have got a long delay I got a	13:53
22	short day with very, very little computation, I	13:54
23	might say, "Well, the load is very light."	13:54
24	If I have got a long delay, but I got a	13:54
25	huge amount of computation, I might say, "Oh, the	13:54
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1	load is very heavy."	13:54
2	BY MR. BROWN:	13:54
3	Q. Uh-huh.	13:54
4	A. Nicol doesn't really, in my mind, deal	13:54
5	with what load really means, which at some level of	13:54
6	abstraction is not unreasonable. It the load is	13:54
7	what they can service, and if they understand what	13:54
8	that is, they try to optimize the system for it.	13:54
9	But in practice, it could mean a number of different	13:54
10	things.	13:54
11	BY MR. BROWN:	13:54
12	Q. Yeah. Okay. Well, let's let's just	13:54
13	use the term "load" then and and let me ask you	13:54
14	this:	13:54
15	If we if we add, say, 1 percent load to	13:54
16	one of the PEs, like 1 percent of	13:54
17	Are you with me on that? Is that okay?	13:54
18	A. Yeah.	13:54
19	Q. Is the measurement okay?	13:54
20	A. Yep. Something very small.	13:54
21	Q. Okay. Right.	13:55
22	So we add something very small to the PE,	13:55
23	are we going to always see an increase in the	13:55
24	frequency of that PE?	13:55
25	MR. TUNG: Objection to the form.	13:55
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1	THE WITNESS: Yes, so it depends. In the	13:55
2	system disclosed in Figure 2, as we talked about,	13:55
3	they they largely try to tune things to be right	13:55
4	on the razor's edge.	13:55
5	So if you add that 1 percent to the PE	13:55
6	that is the most utilized, I would expect that their	13:55
7	little controller will adapt and raise the clock	13:55
8	frequency and raise the voltage.	13:55
9	If you happen to assign that 1 percent to	13:55
10	one of the under-utilized PEs, you would not see	13:55
11	that.	13:55
12	In the case of a Figure 4, where you are	13:55
13	controlling the voltage and clock independently for	13:55
14	each one of the PEs, I would expect that if you add	13:56
15	some load, regardless of how small it is, they think	13:56
16	they are going to fine-tune the system to exactly	13:56
17	accommodate that in the load, so it's overbroad.	13:56
18	BY MR. BROWN:	13:56
19	Q. And so even so let's make it even	13:56
20	smaller then. Like we talked about a 1 percent	13:56
21	increase.	13:56
22	If this gets down to, like, 1/100th of an	13:56
23	increase, just some absolutely, very minimum	13:56
24	additional tasks that is being added on to the	13:56
25	heavily loaded PE, are we going to you are saying	13:56
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1	that Nicol will attempt to make a corresponding	13:56
2	frequency change for even those most minute	13:56
3	increases?	13:56
4	MR. TUNG: Object to the form.	13:56
5	THE WITNESS: I am saying that what I	13:56
6	understand Nicol to disclose, yes, it would make	13:56
7	minute changes. But as we talked about, in any sort	13:56
8	of a measurement system, there is you can never	13:56
9	get a precise measurement.	13:56
10	So at some point, the load that you will	13:57
11	have added will be could be so small that it may	13:57
12	not be measurable by the controller. I just don't	13:57
13	know.	13:57
14	BY MR. BROWN:	13:57
15	Q. Yeah. Well, and maybe another example	13:57
16	and I think this is consistent with what we talked	13:57
17	about early.	13:57
18	Another example could be that a person of	13:57
19	ordinary skill in the art implementing Nicol could	13:57
20	decide as a basis of those standard design	13:57
21	principles that we discussed, you know, "I am only	13:57
22	going to increase frequency for a certain size of	13:57
23	task, and if it's below that threshold, I am just	13:57
24	going to leave the frequency the way it is."	13:57
25	That's a possible implementation; right?	13:57
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1	MR. TUNG: Object. Incomplete	13:57
2	hypothetical.	13:57
3	THE WITNESS: I think that in principle	13:57
4	under such a hypothetical situation a person could	13:57
5	do almost anything. They certainly could do that.	13:57
6	You know, I think it teaches against	13:57
7	what I think Nicol teaches against that, but we	13:57
8	have talked about I think Nicol is in many ways	13:57
9	aspirational: "You should try to do this," but you	13:58
10	probably wouldn't carry it out exactly that way.	13:58
11	BY MR. BROWN:	13:58
12	Q. Right. Right. So a person of ordinary	13:58
13	skill in the art would reviewing Nicol could	13:58
14	think or probably likely would think that, "All	13:58
15	right. I'm not going to make these frequency	13:58
16	changes on every single new task. I'm going to wait	13:58
17	for only the important bigger changes before I start	13:58
18	changing frequency"; right?	13:58
19	MR. TUNG: Same objection.	13:58
20	THE WITNESS: I think the way Nicol	13:58
21	discloses that controller that we were just talking	13:58
22	in particular makes it harder to reach such a	13:58
23	conclusion, because that controller is taking into	13:58
24	account the load that it sees and doing fine-tuning	13:58
25	based on the critical paths.	13:58
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1	So it seems to me that that's sort of off	13:58
2	and running and doing its own thing, but but I	13:58
3	think if that if a different control algorithm	13:59
4	was implemented like running in software, a decision	13:59
5	could certainly be made to not immediately respond	13:59
6	to every instantaneous situational change.	13:59
7	BY MR. BROWN:	13:59
8	Q. Got it.	13:59
9	So at least a person of ordinary skill in	13:59
10	the art looking at Nicol had in his tool box a	13:59
11	control algorithm that would not immediately respond	13:59
12	to every instantaneous change to the processor load;	13:59
13	is that right?	13:59
14	MR. TUNG: Object to the form of the	13:59
15	question.	13:59
16	THE WITNESS: I think if it's the tool box	13:59
17	writ large absolutely. The notion of hysteresis in	13:59
18	some sense is closely related to that.	13:59
19	You know, it's a matter of how to	13:59
20	incorporate it into what Nicol is actually disclosed	13:59
21	and whatever is the essence of Nicol if we draw a	13:59
22	box around that.	14:00
23	BY MR. BROWN:	14:00
24	Q. Okay. And let me just a little bit	14:00
25	more on that.	14:00
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	, ,	
1	The when in Nicol we talk about	14:00
2	assigning tasks to PEs. Those tasks comprise a	14:00
3	series of instructions; right?	14:00
4	A. I think that in general it's understood	14:00
5	that those tasks would be compiled software for	14:00
6	programmable processor of some sort. In which case,	14:00
7	they are typically instructions that are executed in	14:00
8	the sequence.	14:01
9	So the reason why I am being a little bit	14:01
10	careful with that is the the issue of "Dehan" has	14:01
11	been brought in. And in my mind, Dehan, he may	14:01
12	refer to them as instructions some of what he does,	14:01
13	but I do think it is fundamentally different.	14:01
14	Q. I gotcha. And so excuse me.	14:01
15	So in Nicol's PEs I'm not I'm not	14:01
16	asking about Dehan, and I understand you are not	14:01
17	intending to comment on it.	14:01
18	In Nicol's PEs, the instructions it has	14:01
19	a series of instructions that are sitting in	14:01
20	likely in an instruction queue, and those	14:01
21	instructions are executed sequentially; right?	14:01
22	MR. TUNG: Objection.	14:01
23	(Simultaneously speaking.)	14:01
24	THE WITNESS: It may be. As we talked	14:01
25	about, I think it I think it's certainly	14:01
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	_	

1	envisioned, a person reading it, that the PEs could	14:01
2	be DSPs.	14:01
3	And when I say "DSPs," sometimes they	14:01
4	are they're fixed hardware that does one thing,	14:02
5	but but I really was referring to something	14:02
6	programmable with an instruction set that will take	14:02
7	in a program written, you know, large set of	14:02
8	instructions that have some order and go through	14:02
9	them.	14:02
10	The notion of an instruction buffer inside	14:02
11	a programmable processor was known, but I don't	14:02
12	think that that was necessarily fundamental to, you	14:02
13	know, having a processor, for example.	14:02
14	But there are plenty of processors that	14:02
15	don't have instruction buffers of any sort.	14:02
16	MR. TUNG: So I just want to confirm, you	14:02
17	got my objection in the last question? Is it we	14:02
18	got mixed up a little bit.	14:02
19	MR. BROWN: It's in the record.	14:02
20	MR. TUNG: Okay.	14:02
21	BY MR. BROWN:	14:02
22	Q. Okay. The so let me it's not	14:02
23	withdrawn.	14:02
24	It's not unreasonable that a person of	14:02
25	ordinary skill in the art looking to implement Nicol	14:02
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1	and its PEs would use an instruction buffer to store	14:03
2	that queue of instructions; right?	14:03
3	MR. TUNG: Objection. Form.	14:03
4	THE WITNESS: My let me rephrase that	14:03
5	and see if it gets to your point.	14:03
6	It's not unreasonable that a person of	14:03
7	ordinary skill in the art at the time of invention	14:03
8	looking at Nicol might consider using a processor	14:03
9	that has an instruction buffer.	14:03
10	For the most part, the details in the	14:03
11	instruction buffer are like just way outside of the	14:03
12	concerns that that sort of a designer would would	14:03
13	imagine. They buy a processor: It has one, it	14:03
14	doesn't.	14:03
15	BY MR. BROWN:	14:03
16	Q. Got it. Got it.	14:03
17	And and so when when a PE a PE's	14:03
18	load is in part reflected by the number of	14:03
19	instructions and and the content of the	14:03
20	instructions that are that are in that	14:03
21	instruction buffer; is that right?	14:04
22	MR. TUNG: Objection to the form.	14:04
23	THE WITNESS: No. I wouldn't say that for	14:04
24	a for a couple of reasons.	14:04
25	Oftentimes, one of the most heavily loaded	14:04
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1	processors is one that is simply jumping around all	14:04
2	the time, and it it's not logically following	14:04
3	much of any linear sequence. It is a sequence of	14:04
4	instructions, but not a linear sequence.	14:04
5	In which case, there will tend to be very	14:04
6	few, if any, instructions in the instruction buffer,	14:04
7	but it still might be heavily loaded.	14:04
8	Similarly at least in the context of	14:04
9	Nicol, there may be a task on there that really is	14:04
10	just one gigantic linear sequence of instructions	14:04
11	that Nicol from their point of view would say, "This	14:04
12	is a very low load task; it doesn't require much,"	14:04
13	and yet the instruction buffer might be maxed out	14:04
14	throughout its entire execution.	14:04
15	BY MR. BROWN:	14:05
16	Q. So it's not it's the number of	14:05
17	instructions in the in that instruction queue are	14:05
18	not always going to be a measure of the load of that	14:05
19	PE.	14:05
20	Is that what you are saying?	14:05
21	A. I think I am going broader, and saying	14:05
22	it's not a measure of the load at all.	14:05
23	Q. Well, so maybe I misunderstood it. It	14:05
24	sounds like there are there are circumstances	14:05
25	where it could be; right?	14:05
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1	Let's take let's take an edge case, and	14:05
2	then we can move up from it. But if we had let's	14:05
3	say we have a three PE system, and we had only one	14:05
4	task to assign, and so we assign that task to PE 1,	14:05
5	and that task included a number of instructions.	14:05
6	If you were to compare the instruction	14:05
7	queues of all three PEs, the most heavily loaded one	14:05
8	in that circumstance would be the one with the most	14:05
9	instructions in the instruction queue; right?	14:05
10	MR. TUNG: Object to the form.	14:06
11	THE WITNESS: I think it's true that you	14:06
12	can have the most heavily loaded one correspond to	14:06
13	the one that I'm sorry. The most heavily	14:06
14	It's true that that it can be the case	14:06
15	that the PE with the heaviest load also has the	14:06
16	largest occupancy in the instruction buffer.	14:06
17	But it's also true that the exact opposite	14:06
18	could be the case, and I I just don't think there	14:06
19	is any useful relationship between the two. It's	14:06
20	apples and oranges.	14:06
21	BY MR. BROWN:	14:06
22	Q. Okay. And so going through if we	14:06
23	are let's assume	14:06
24	Let's just start talking about one PE,	14:06
25	whose frequency is being set only by its load. It's	14:06
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1	the it's the heaviest loaded so it's it's	14:07
2	guiding the frequency of the device.	14:07
3	When that PE is in the process of	14:07
4	executing its task, meaning maybe it's about	14:07
5	50 percent through one task, do we does Nicol	14:07
6	reduce the frequency of that device in response to	14:07
7	the fact that it's gotten through part of its load	14:07
8	so it isn't technically as heavily loaded?	14:07
9	MR. TUNG: Objection. Incomplete	14:07
10	hypothetical.	14:07
11	THE WITNESS: I don't think Nicol	14:07
12	discloses changing any settings based on a	14:07
13	particular task being some fraction of the way	14:07
14	completed.	14:07
15	Now, it's possible that if that if	14:07
16	there are two tasks on that most heavily loaded PE,	14:07
17	naturally one of them may be halfway down, the other	14:07
18	one may finish, and as a result, Nicol could	14:08
19	respond.	14:08
20	But but I think you were simply	14:08
21	referring to a simpler situation where there is one	14:08
22	task on one PE; it gets halfway done; does Nicol	14:08
23	make a change. And I don't think there is any	14:08
24	disclosure. I don't think it does.	14:08
25	///	
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1	BY MR. BROWN:	14:08
2	Q. Right.	14:08
3	So when we are looking at something like	14:08
4	Figure 3, it as the task is being executed	14:08
5	through wherever it was that drove it up to	14:08
6	140 megahertz, so as the task is being executed, the	14:08
7	level of granularity at which Nicol teaches to	14:08
8	respond to load is really on a task-by-task basis,	14:08
9	not on something more granular.	14:08
10	Does that seem right?	14:08
11	MR. TUNG: Object to the form.	14:08
12	THE WITNESS: I think it's on the basis of	14:08
13	all of the tasks that are running at a particular	14:09
14	instance. So not just one of them, but whatever is	14:09
15	assigned to that PE. Could be multiple.	14:09
16	But yeah, it's not on the basis of how far	14:09
17	we are close to completing one of those tasks.	14:09
18	BY MR. BROWN:	14:09
19	Q. Right.	14:09
20	So as even though these the PE,	14:09
21	let's just talk just focusing on one PE and one	14:09
22	task.	14:09
23	Even though the PE is you know, gets	14:09
24	halfway through the task and is ostensibly	14:09
25	50 percent less loaded than it was before, Nicol	14:09
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	- 4.5 -	

1	isn't going to make frequency changes to that PE	14:09
2	until that entire task is complete; right?	14:09
3	MR. TUNG: Object to the form.	14:09
4	THE WITNESS: That's consistent with my	14:09
5	understanding of Nicol, with the caveat that I am	14:09
6	assuming in this question and the past series, we're	14:09
7	really just talking about what they talk about in	14:09
8	Figure 2 which is, "you have got all these tasks,	14:10
9	they have got a fixed load, and we're going to	14:10
10	manage that." As opposed to "we have got these	14:10
11	random, wild task that come in."	14:10
12	So yeah. So under under that notion	14:10
13	that I have got some model of load assigned to each	14:10
14	of the tasks, but each of the tasks that I'm	14:10
15	currently running, I don't see anything in Nicol	14:10
16	that says, "Well, I am halfway done. Change the	14:10
17	system." It's just waiting until you are completely	14:10
18	done.	14:10
19	So the they only discuss their yeah.	14:10
20	They only discuss thinking about the load on the	14:10
21	system until you are completely done.	14:10
22	BY MR. BROWN:	14:10
23	Q. Right. Right.	14:10
24	So it's it's Nicol's adjustment of	14:10
25	frequency is not always linearly responsive to the	14:10
	Page 1	76

1	amount of load left on a PE. It waits until it	14:10
2	finishes the task before it makes that	14:10
3	determination.	14:11
4	A. Frankly, I wouldn't phrase it that way at	14:11
5	all. Their notion is that the load is associated	14:11
6	with task. And if they are halfway done with the	14:11
7	task, the load is the same. As it was at the	14:11
8	beginning. As it was at the end.	14:11
9	The load of the task is something that	14:11
10	allows them to calculate what the desired clock rate	14:11
11	is so the task just finishes. Well, if they are	14:11
12	halfway and they say, "Well, half the load is gone,"	14:11
13	I would presume they would naturally be inclined to	14:11
14	reduce the clock frequency, at which point they	14:11
15	would miss their deadline. The load is the same.	14:11
16	It hasn't changed.	14:11
17	Q. Right. Right.	14:11
18	So the yeah, so it's really that the	14:11
19	level of granularity at which Nicol has is going	14:11
20	to measure load is on a task-by-task basis. Nothing	14:11
21	more granular than that.	14:11
22	A. Yes. That that's the only thing I see	14:11
23	disclosed.	14:11
24	Q. And then you had mentioned this that	14:12
25	you know, when we were talking about Figure 3	14:12
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	14:12
small tasks are added, and your position is I	14:12
think your position was, every new task that is	14:12
added will require changing the frequency	14:12
could well, let me rephrase that.	14:12
In a circumstance where we are working	14:12
we're on the tent pole PE, we're on the highest	14:12
loaded PE, every new task that is added will result	14:12
in a corresponding frequency change no matter how	14:12
large or small; right?	14:12
A. That's my understanding of what Nicol	14:12
discloses. With, again, the caveat that you can	14:12
only increase it to the maximum. If you are at the	14:12
max and you throw something else on, I expect it	14:12
won't change.	14:12
Q. Yeah. And and I think the I think	14:12
you testified that the if we are working on	14:13
Let's say we are working at one task at	14:13
120 megahertz, and then additional tasks are added	14:13
that require that PE to increase its frequency to,	14:13
say, 125, 130. That increase you are saying will	14:13
occur while we are working on that original task;	14:13
right?	14:13
A. Yeah. That's that's my understanding.	14:13
If you are for example, in Figure 3	14:13
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	added will require changing the frequency could well, let me rephrase that. In a circumstance where we are working we're on the tent pole PE, we're on the highest loaded PE, every new task that is added will result in a corresponding frequency change no matter how large or small; right? A. That's my understanding of what Nicol discloses. With, again, the caveat that you can only increase it to the maximum. If you are at the max and you throw something else on, I expect it won't change. Q. Yeah. And and I think the I think you testified that the if we are working on Let's say we are working at one task at 120 megahertz, and then additional tasks are added that require that PE to increase its frequency to, say, 125, 130. That increase you are saying will occur while we are working on that original task; right? A. Yeah. That's that's my understanding.

1	where it starts out at 70 megahertz, I don't recall	14:13
2	them saying there were no tasks running them.	14:13
3	Perhaps there were.	14:13
4	And so but a new task came in and was	14:13
5	added to it. And the first one keeps going, and the	14:13
6	new task causes the frequency and the voltage to	14:13
7	increase.	14:13
8	Q. But isn't this going to increase isn't	14:13
9	this going to introduce because of the way that	14:13
10	Nicol is doing this step function and frequency,	14:14
11	isn't this going to introduce a delay every time we	14:14
12	need to increase the frequency?	14:14
13	A. Well, it depends. For example, there	14:14
14	there are a number of systems that are designed such	14:14
15	that and this is more common back in years, which	14:14
16	recognized, "Well, look, if I am changing the clock	14:14
17	frequency in the intermediate zone, it's not	14:14
18	reliable." So I think that might be what you are	14:14
19	getting at.	14:14
20	It might be the case that if a second task	14:14
21	comes in, and I need to increase a clock frequency,	14:14
22	that may cause a stall on the first one.	14:14
23	But it's not it's more and more common,	14:14
24	and it is certainly not unheard of that while the	14:14
25	clock frequency is changing, it still is reliable.	14:14
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1	So it's getting faster, but you can keep the first	14:14
2	task running. Nicol really is silent on issues like	14:14
3	that.	14:15
4	Q. Right.	14:15
5	So okay. So there are circumstances in	14:15
6	which changing the frequency while a task is	14:15
7	running or being executed, may be create	14:15
8	not-reliable or unreliable results; right?	14:15
9	A. I would assume given the goals and the	14:15
10	context of Nicol that they intend that not to be the	14:15
11	case.	14:15
12	And, for example, if I have a system	14:15
13	where, when I increase the frequency, I have to	14:15
14	stall anything that is running, I can try to take	14:15
15	that into account.	14:15
16	And it may be the case that I may put off	14:15
17	starting that second task because maybe I know the	14:15
18	first task is just about ready to finish, but that	14:15
19	stall will make it miss its deadline.	14:15
20	Or or maybe the first task just got	14:15
21	started and the stall will delay things, but the	14:16
22	higher clock rate means it will get done faster.	14:16
23	Q. Yeah.	14:16
24	A. I mean, it's a fairly simple scheduling	14:16
25	system; so you could play around with a number of	14:16
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1	possible scenarios for what kind of work comes in.	14:16
2	Q. Yeah. So I guess I guess what I am	14:16
3	hearing is, you know, a person of ordinary skill in	14:16
4	the art looking to implement this implement	14:16
5	Nicol, you know, might have a system in front of him	14:16
6	where the change in the frequency has no impact	14:16
7	whatsoever on running tasks or only a positive	14:16
8	influence on running tasks, but there are	14:16
9	circumstances or limitations where it could it	14:16
10	could be detrimental to increase the frequency while	14:16
11	a task is running; right?	14:16
12	A. Yes. I believe that to be the case. And	14:16
13	Nicol just doesn't get into any of the sort of	14:16
14	details that would allow us to analyze that.	14:17
15	Q. Right.	14:17
16	And so if a person of ordinary skill in	14:17
17	the art implementing Nicol were were running into	14:17
18	the situation where it was detrimental to	14:17
19	continually increase the frequency while a task is	14:17
20	running, is one thing that he could do is to	14:17
21	implement a threshold for when he will make those	14:17
22	changes and when he won't?	14:17
23	MR. TUNG: Object to the form.	14:17
24	THE WITNESS: Well, Nicol schedulers	14:17
25	under-specified enough that they could do just about	14:17
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1	anything. They you know, they it really	14:17
2	doesn't go into any of those details at all.	14:17
3	BY MR. BROWN:	14:17
4	Q. Uh-huh. But that using that kind of	14:17
5	For instance, if the person of ordinary	14:17
6	skill in the art were to say, "Okay, I know I need	14:17
7	to change the frequency if a lot of new tasks get	14:17
8	added, but I don't want to do it if it's just a	14:18
9	small task because I'll be okay, and I don't the	14:18
10	detriment is worse than the benefit," what he could	14:18
11	do is he could implement, as we talked about in	14:18
12	other circumstances, a deadband that would say, "I	14:18
13	am going to wait to change the frequency until I	14:18
14	know that I need to change it to a certain amount";	14:18
15	right?	14:18
16	MR. TUNG: Object to the form.	14:18
17	THE WITNESS: In general, I think that is	14:18
18	a hypothetical that's painted the system into a	14:18
19	domain where Nicol just doesn't work because what I	14:18
20	think are its targets for real-time and	14:18
21	mission-critical applications.	14:18
22	That being said, you know, we have talked	14:18
23	about a hypothetical system where you change the	14:18
24	clock frequency by 10 megahertz, in chunks of	14:18
25	10 megahertz, and you you may know that you are	14:18
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1	just over one threshold and somehow be able to know	14:18
2	that this new task won't push you to where you need	14:18
3	to be in that second change.	14:19
4	Well, a new task comes in. So it's and	14:19
5	you have decided it has to be allocated to a	14:19
6	particular processor. I mean, it's not really a	14:19
7	choice. There are there are two situations in	14:19
8	the way the hypothetical is set up.	14:19
9	Either I could put the new task on without	14:19
10	making a change and everything works fine. Or the	14:19
11	system fails because either I don't run the new	14:19
12	task, which is mission critical, or I make the first	14:19
13	task crash because I delayed it.	14:19
14	So it's not really a scheduling choice.	14:19
15	You I don't know what you do. But it's a damned	14:19
16	if you do, damned if you don't.	14:19
17	BY MR. BROWN:	14:19
18	Q. Okay. Okay. I gotcha.	14:19
19	So let's I think I am getting pretty	14:19
20	close. Can you can we take like a ten-minute	14:19
21	break for me to take a look at any notes and see	14:20
22	where we are, and then come back and see if we can	14:20
23	go off the record?	14:20
24	All right. Let's go off the record.	14:20
25	THE VIDEOGRAPHER: We're going off the	14:20
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1	record. The time is 2:20.	14:20
2	(Brief break.)	14:30
3	THE VIDEOGRAPHER: We're back on the	14:30
4	record. The time is 2:31.	14:31
5	MR. BROWN: All right. I have introduced	14:31
6	two new exhibits.	14:31
7	I have just a little bit more,	14:31
8	Dr. Mangione.	14:31
9	(Deposition Exhibit 5 was marked for	14:31
10	identification and is attached hereto.)	14:31
11	BY MR. BROWN:	14:31
12	Q. Exhibit 5 is your declaration in the '047	14:31
13	petition.	14:31
14	(Deposition Exhibit 6 was marked for	14:31
15	identification and is attached hereto.)	14:31
16	BY MR. BROWN:	14:31
17	Q. And Exhibit 6 is Intel's '047 Petition.	14:31
18	THE REPORTER: Counsel, could you move	
19	Counsel, could you put the mic a little closer to	
20	your mouth there?	
21	Yeah, I think that will help.	
22	MR. BROWN: Sure. Sorry about that.	
23	THE REPORTER: That's okay.	
24	THE WITNESS: All right.	14:32
25	///	
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1	BY MR. BROWN:	14:32
2	Q. Okay. So I want in your in	14:32
3	Exhibit 5, your declaration, I want to take you to	14:32
4	Paragraph 114 on Page 65, if that helps.	14:32
5	A. All right. I'm there.	14:32
6	Q. Okay. And on the basically the second	14:32
7	sentence there, you have got [as read]:	14:32
8	"However, in my opinion, Petitioner	14:32
9	makes a leap of logic and uses this	14:32
10	non-disclosure as evidence that 'Nicol	14:32
11	is agnostic to the internal structures	14:32
12	of the PE.'"	14:32
13	Do you see that?	14:32
14	A. I do.	14:32
15	Q. Okay. And then there is no, you know,	14:32
16	"[dot, dot, dot]" or any indication that you are	14:32
17	you are quoting part of a sentence from the	14:32
18	petition; right?	14:32
19	A. I believe I am quoting it but, perhaps, I	14:32
20	wasn't. It either was a quote or was not.	14:33
21	Q. Right.	14:33
22	A. I don't I don't I'm sorry. Go on.	14:33
23	Q. No. No. Well, finish your sentence.	14:33
24	Sorry.	14:33
25	A. I was just going to say, I I don't	14:33
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1	recall when I sit here. But seeing it as a quote, I	14:33
2	anticipate I looked somewhere, and it's actually a	14:33
3	quote.	14:33
4	Q. Right.	14:33
5	And so if you go to Exhibit 6, which is	14:33
6	the petition that you cite there. You cite the	14:33
7	petition at 57. So if you go to 6, Exhibit 6, at	14:33
8	Page 57.	14:33
9	A. All right. I'm there.	14:33
10	Q. Okay. You see that this and now we're	14:33
11	looking at Intel's petition that you are quoting.	14:33
12	It says [as read]:	14:33
13	"Moreover, a POSITA would have	14:33
14	understood that the power control	14:33
15	scheme discussed in Nicol is agnostic	14:33
16	to the internal structures of the PE."	14:33
17	Do you see that?	14:33
18	A. I do.	14:33
19	Q. So so Intel didn't say that all of	14:33
20	Nicol is agnostic to the internal structure of the	14:34
21	PE, but rather said the power control scheme	14:34
22	discussed in Nicol is agnostic to the internal	14:34
23	structures of the PE; right?	14:34
24	MR. TUNG: Object to the form.	14:34
25	THE WITNESS: You are right. In this	14:34
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1	passage it says which I which I pointed to, at	14:34
2	least this sentence, it says that the power control	14:34
3	scheme in Nicol is agnostic to the internal	14:34
4	structures of the PE.	14:34
5	BY MR. BROWN:	14:34
6	Q. Yeah.	14:34
7	So you didn't include that earlier phrase,	14:34
8	the "power control scheme discussed in Nicol," in	14:34
9	your quote; right?	14:34
10	A. Those words do not appear in my quote.	14:34
11	Yep.	14:34
12	Q. Okay. And that would have been a more	14:34
13	accurate quote from what the petition said; right?	14:34
14	MR. TUNG: Object to the form of the	14:34
15	question.	14:34
16	THE WITNESS: It would have been a more	14:34
17	fulsome, sure. Yeah.	14:35
18	I mean, assuming that I don't see any	14:35
19	other passage which says literally what I what I	14:35
20	typed, yeah, I would have preferred if I had used	14:35
21	the a broader quote. Yeah.	14:35
22	BY MR. BROWN:	14:35
23	Q. So you would have preferred if you had	14:35
24	quoted that the "power control scheme discussed in	14:35
25	Nicol is agnostic to the internal structures of PE";	14:35
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1	right?	14:35
2	MR. TUNG: Same objection.	14:35
3	THE WITNESS: That	14:35
4	MR. TUNG: Sorry.	14:35
5	THE WITNESS: That would have been more	14:35
6	precise. I agree. Yes.	14:35
7	BY MR. BROWN:	14:35
8	Q. Okay. And then let me take you to	14:35
9	Paragraph 91 of your declaration, and I'm going to	14:35
10	introduce a new exhibit in a moment.	14:35
11	A. All right. I'm there.	14:36
12	Q. So at Paragraph 91, you write [as read]:	14:36
13	"I understand Professor Sechen	14:36
14	acknowledges that Nicol is devoid to	14:36
15	any reference to hysteresis."	14:36
16	Do you see that?	14:36
17	A. I see that.	14:36
18	Q. And you reviewed Professor Sechen's	14:36
19	declaration; didn't you?	14:36
20	A. Yes, I did.	14:36
21	Q. And you know that he didn't say that	14:36
22	"Nicol is devoid of any reference to hysteresis,"	14:36
23	don't you?	14:36
24	A. I don't recall he did or he didn't	14:36
25	explicitly say that.	14:36
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1	I think given that the issue of hysteresis	14:36
2	was being discussed, not bringing it up in the	14:36
3	context of Nicol is acknowledgement that it doesn't	14:36
4	exist there if you are going off looking for	14:36
5	something. But it certainly is possible, if we look	14:36
6	at the passage.	14:36
7	He didn't say, "I acknowledge that	14:36
8	hysteresis is not discussed in Nicol."	14:37
9	Q. Okay. So I have marked Exhibit 7, and	14:37
10	we'll go look at his declaration.	14:37
11	(Deposition Exhibit 7 was marked for	14:37
12	identification and is attached hereto.)	14:37
13	BY MR. BROWN:	14:37
14	Q. While you are pulling that up, Exhibit 7	14:37
15	is Dr. Sechen's declaration in support of Intel's	14:37
16	decision on the '047 patent, which is dated well,	14:37
17	I don't have the date. It's Exhibit 10 1001 to	14:37
18	the to the Intel petition on the '047.	14:37
19	I want to take you cite there as to	14:37
20	as support for this statement that Dr that	14:37
21	Professor Sechen acknowledges that Nicol is devoid	14:37
22	of any reference to hysteresis. You cite to	14:37
23	Paragraph 200.	14:37
24	Do you see that?	14:37
25	A. Yes.	14:37
	Page 1	89
	1430 1	

	<u> </u>	
1	Q. Okay. So I'll give you a second to read	14:37
2	Paragraph 200 in Exhibit 7.	14:38
3	A. I see that.	14:38
4	Q. And you see that, in fact, what you said	14:38
5	in about Professor Sechen's acknowledgement was	14:38
6	completely inaccurate; right?	14:38
7	MR. TUNG: Object to the form.	14:38
8	THE WITNESS: No. I think it's actually	14:38
9	completely accurate.	14:38
10	He specifically did not say that it's in	14:38
11	accordance with hysteresis or even hysteresis	14:38
12	characteristics. He said he is looking for	14:38
13	hysteresis.	14:38
14	And he says that this vague statement, it	14:38
15	is [as read]: "in accordance with a hysteresis	14:38
16	characteristic."	14:38
17	Now, as we've discussed, hysteresis is	14:38
18	kind of a complicated thing to define. So a	14:39
19	hysteresis characteristic could be when I change an	14:39
20	input, an output changes.	14:39
21	BY MR. BROWN:	14:39
22	Q. Okay. Let me I'm sorry. You are still	14:39
23	going?	14:39
24	A. No. I suggested I was still going but I	14:39
25	am not. Sorry about that.	14:39
	Page 19	90

1	Q. Okay.	14:39
2	A. The delay with the video is a little	14:39
3	awkward.	14:39
4	Q. Okay. Let's read the last sentence of	14:39
5	Paragraph 200 of Professor Sechen's declaration. He	14:39
6	writes [as read]:	14:39
7	"It should be noted that the	14:39
8	'047 patent specification is devoid of	14:39
9	any description of a hysteresis	14:39
10	characteristics."	14:39
11	Do you see that?	14:39
12	A. Yes. I see that.	14:39
13	Q. He he is acknowledging that the	14:39
14	'047 patent is devoid of any description of	14:39
15	hysteresis; correct?	14:39
16	A. He is acknowledging that in his opinion	14:39
17	the '047 specification is devoid of any description	14:39
18	of a hysteresis characteristic.	14:39
19	Q. Okay. That sentence does not say that	14:39
20	Nicol is devoid of any description of a hysteresis	14:40
21	characteristic, does it?	14:40
22	A. I mean, it says what it says. But it	14:40
23	certainly doesn't literally that sentence does	14:40
24	not literally refer to Nicol.	14:40
25	Q. And he doesn't he never actually	14:40
	Page 1	91

1	acknowledges. Because you use the word	14:40
2	"acknowledges" here. You don't say "he impliedly	14:40
3	admits." You don't say "he doesn't contest." You	14:40
4	say he "acknowledges that Nicol is devoid of any	14:40
5	reference to hysteresis." That is not what this	14:40
6	sentence says.	14:40
7	Do you agree with me on that?	14:40
8	A. That	14:40
9	MR. TUNG: Object to the form of the	14:40
10	question.	14:40
11	THE WITNESS: Well, the final sentence	14:40
12	doesn't refer to Nicol at all.	14:40
13	But in my opinion, what I cited to is	14:40
14	Paragraph 200. And Paragraph 200, I think, arguably	14:40
15	inherently acknowledges that, given that he was	14:40
16	looking for it.	14:40
17	And I I will certainly agree that	14:40
18	"acknowledges" was maybe not the best word to	14:41
19	choose, but I think that a person of ordinary skill	14:41
20	in the art looking at this, saying, "He is looking	14:41
21	for hysteresis, he is looking at Nicol, he says it's	14:41
22	got characteristics of it" which, to me means	14:41
23	it's not hysteresis he is inherently saying it's	14:41
24	not there.	14:41
25	That's just my reading of it. It's for	14:41
	Page 1	92

1	well, that's just my reading of it.	14:41
2	BY MR. BROWN:	14:41
3	Q. Okay. Your read of a sentence where	14:41
4	Professor Sechen says [as read]:	14:41
5	"In my opinion, a POSITA would have	14:41
6	appreciated that Nicol discloses the	14:41
7	system of claim 6 adapted to	14:41
8	subsequently reduce the clock frequency	14:41
9	in accordance with a hysteresis	14:41
10	characteristic,"	14:41
11	Your interpretation of that sentence is	14:41
12	that he is acknowledging that Nicol doesn't disclose	14:41
13	hysteresis?	14:41
14	A. Yes.	14:42
15	MR. TUNG: Object to the form.	14:42
16	THE WITNESS: Otherwise he would have said	14:42
17	"Here's where it is."	14:42
18	Not only did he not say that there is	14:42
19	hysteresis there, he says that there is a	14:42
20	hysteresis "a hysteresis characteristic."	14:42
21	Actually, he doesn't he doesn't even	14:42
22	show where a hysteresis characteristic is disclosed.	14:42
23	He just says a POSITA would have understood that	14:42
24	there is a hysteresis characteristic.	14:42
25	Not as I said, I agree with you in	14:42
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1	retrospect. Maybe "acknowledges" was not the best	14:42
2	word to choose. But I think it's completely clear	14:42
3	that he knows that there is no disclosure, and he is	14:42
4	saying there is no disclosure.	14:42
5	BY MR. BROWN:	14:42
6	Q. Yeah, that's your read from reading his	14:42
7	declaration. You feel I just want to be super	14:42
8	clear about this.	14:42
9	You feel very comfortable with saying,	14:42
10	under oath, in this in this declaration that	14:42
11	Professor Sechen's declaration acknowledges that	14:42
12	there is no that "Nicol is devoid of any	14:43
13	reference to hysteresis"?	14:43
14	You believe that is a true statement under	14:43
15	oath; is that correct?	14:43
16	MR. TUNG: Counsel, you have asked this	14:43
17	question three or four times. It's been about	14:43
18	12 minutes. It's been asked and answered.	14:43
19	I'll let him answer the question. But can	14:43
20	we move on, please.	14:43
21	MR. BROWN: Mr. Tung, your speaking	14:43
22	objection is inappropriate particularly at this	14:43
23	moment.	14:43
24	MR. TUNG: Your your repeated	14:43
25	questioning on the same thing and badgering the	14:43
	Page 1	94

1	witness is also inappropriate. It's time that I	14:43
2	speak up because I have been letting this go with	14:43
3	very little objection along the way.	14:43
4	BY MR. BROWN:	14:43
5	Q. The question is pending, Doctor.	14:43
6	THE WITNESS: Could you read it back	14:43
7	please, Court Reporter.	14:43
8	THE REPORTER: Readback. One second.	
9	(The following record was read:	
10	"QUESTION: Yeah. That's your read	
11	from reading his declaration. You	
12	feel I just want to be super clear	
13	about this.	
14	"You feel very comfortable with	
15	saying, under oath, in this in this	
16	declaration that Professor Sechen's	
17	declaration acknowledges that there is	
18	no that 'Nicol is devoid of any	
19	reference to hysteresis'?	
20	"You believe that is a true	
21	statement under oath; is that	
22	correct?")	
23	THE WITNESS: No. I believe that largely	14:44
24	matches what I wrote in my declaration, which was	14:44
25	I think technically under oath as well. Certainly	14:44
	Page 1	.95

1	under charges of perjury. This is my understanding.	14:44
2	I think he is making it very clear that he	14:44
3	couldn't find any characteristic, any use of	14:44
4	hysteresis in Nicol because he wanted to, he was	14:44
5	charged presumably, he was charged with finding	14:44
6	evidence of that in the art, he talked about it; so	14:44
7	he just didn't ignore the issue. But he didn't	14:44
8	point to anything. He didn't	14:44
9	BY MR. BROWN:	14:44
10	Q. Okay.	14:44
11	A. He didn't even point to anything that	14:44
12	how did he phrase that? that shows hysteresis	14:45
13	characteristic. He just said a POSITA would find it	14:45
14	there.	14:45
15	Q. You know "hysteresis characteristic" is	14:45
16	claim language; right?	14:45
17	A. I don't recall. I haven't memorized all	14:45
18	the claims. I don't know if that's been construed.	14:45
19	I mean what seriously, what is the	14:45
20	hysteresis characteristic? I can describe what is	14:45
21	hysteresis.	14:45
22	Q. Uh-huh.	14:45
23	A. And does Nicol exhibit hysteresis? I	14:45
24	didn't find it. And I don't think he found it.	14:45
25	As I said, there are almost there has	14:45
	Page 1	96
	1 4 3 0 1	-

1	to be multiple elements of what defines hysteresis.	14:45
2	Some of those characteristics are characteristics of	14:45
3	almost any system.	14:45
4	We saw that one you put in front of me	14:45
5	that said delay. A delay is characteristic of a	14:45
6	huge number of systems. Is it also present in	14:45
7	hysteresis? It may be, but that's not really very	14:46
8	meaningful. That doesn't if I see a delay, I	14:46
9	can't say "Ah-ah, I have got hysteresis."	14:46
10	So, yeah, the fact that he is the fact	14:46
11	that he is referring to a characteristic of	14:46
12	hysteresis, but not showing hysteresis and not	14:46
13	pointing to anything in particular, makes it pretty	14:46
14	clear to me that he didn't find it.	14:46
15	Q. Uh-huh. Do you not know what a hysteresis	14:46
16	characteristic is?	14:46
17	MR. TUNG: Object to the form of the	14:46
18	question.	14:46
19	THE WITNESS: What do you mean a	14:46
20	hysteresis characteristic? What do what do you	14:46
21	mean by "hysteresis characteristic"?	14:46
22	BY MR. BROWN:	14:46
23	Q. Do you not know what it means to reduce	14:46
24	the clock frequency in accordance with a hysteresis	14:46
25	characteristic?	14:46
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1	MR. TUNG: I'm objecting to the form of	14 4-
_	Mr. 10Nd. I iii objecting to the form of	14:46
2 the	question.	14:46
3	THE WITNESS: I haven't really considered	14:46
4 it	all that much because that would be a matter that	14:46
5 lar	gely came up in the context of infringement, and	14:46
6 we'	re not talking about infringement.	14:46
7	What I am doing is I'm reading the	14:47
8 arg	uments put forth by him.	14:47
9	He says hysteresis effect. Okay, well,	14:47
10 wha	t is the definition of a hysteresis effect? Has	14:47
11 it	been construed? If it has, I apologize. I don't	14:47
12 rem	ember it being construed but I don't know	14:47
13 whe	ther if it has been construed.	14:47
14 BY	MR. BROWN:	14:47
15	Q. Yeah. Let me take you to Paragraph 204,	14:47
16 whi	ch is on the next page.	14:47
17	A. All right.	14:47
18	Q. Paragraph 204 of Mr. Sechen's	14:47
19 Dr.	Sechen's declaration states [as read]:	14:47
20	"Nicol's Figure 3 also clearly	14:47
21	demonstrates hysteresis in setting the	14:47
22	power supply voltage and clock	14:47
23	frequency."	14:47
24	Do you see that?	14:47
25	A. I do.	14:47
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1	Q. Did you consider that sentence when you	14:47
2	represented in your declaration that "Professor	14:47
3	Sechen acknowledges Nicol is devoid of any reference	14:47
4	to hysteresis"?	14:47
5	A. I did my best to consider the entire	14:47
6	report.	14:47
7	Q. Are you still given that sentence where	14:47
8	he says "Nicol's Figure 3 also clearly demonstrates	14:47
9	hysteresis," are you still confident in your	14:48
10	position that Professor Sechen acknowledges that	14:48
11	Nicol is actually devoid of any reference to	14:48
12	hysteresis?	14:48
13	A. Having this refreshed my memory, I would	14:48
14	agree. He seems to not know what hysteresis is, but	14:48
15	believes that he found it.	14:48
16	Q. Okay. So	14:48
17	A. He is he is pointing to something that	14:48
18	he thinks supports that conclusion.	14:48
19	Q. Okay. So now that we have gone through	14:48
20	this, you I think you are agreeing with me that	14:48
21	it is inaccurate to say that Professor Sechen	14:48
22	acknowledges that Nicol is devoid of any reference	14:48
23	to hysteresis; is that correct?	14:48
24	MR. TUNG: I'm objecting to the form of	14:48
25	that question.	14:48
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1	THE WITNESS: Give me just a moment to	14:48
2	[Witness reviews document].	14:48
3	Yeah, I think that is accurate. I mean, I	14:48
4	could have said, "I understand that Professor Sechen	14:49
5	acknowledges that Nicol is devoid of any references	14:49
6	to hysteresis in that context of Paragraph 200"	14:49
7	because I believe that is true.	14:49
8	But you are right, putting that other	14:49
9	paragraph in front of me, I I think he is not	14:49
10	saying that.	14:49
11	BY MR. BROWN:	14:49
12	Q. Okay. So you agree that Professor Sechen	14:49
13	does state that Nicol includes references to	14:49
14	hysteresis; right?	14:49
15	MR. TUNG: Object to the form.	14:49
16	THE WITNESS: My recollection is that he	14:49
17	presented characteristics of hysteresis. He said,	14:49
18	"Look, I find this hysteresis in Figure 3. I did an	14:49
19	analysis of it and said without saying that	14:49
20	definition is accurate or sufficient, Figure 3	14:49
21	doesn't meet that definition."	14:49
22	But your question seems to be focused on	14:49
23	did he say he found it in Figure 3. And he	14:50
24	certainly did say it in that second paragraph that	14:50
25	you pointed me to.	14:50
	Page 2	00

1	BY MR. BROWN:	14:50
2	Q. Okay. So would you agree that well,	14:50
3	let me let me ask this:	14:50
4	In order to ensure that you are making	14:50
5	accurate statements to the patent office, would you	14:50
6	remove that first sentence of Paragraph 91 of your	14:50
7	declaration in order to remain accurate, in light of	14:50
8	what you have learned just now or been refreshed of	14:50
9	just now?	14:50
10	MR. TUNG: I object to the form.	14:50
11	THE WITNESS: No, I would not. I don't	14:50
12	know how to do such a thing. My understanding is	14:50
13	that this is part of the existing record now.	14:50
14	If the I have done my best to clearly	14:50
15	state my opinions now that you have put the two in	14:50
16	front of me.	14:51
17	If somebody from the patent office asks me	14:51
18	about this or if the attorneys ask me to file a	14:51
19	corrected or supplemental report, I don't I don't	14:51
20	think I would have any trouble with that.	14:51
21	But I wouldn't take such an action on my	14:51
22	own. I'm not an attorney, and I don't know what the	14:51
23	process would be for that.	14:51
24	BY MR. BROWN:	14:51
25	Q. Sure.	14:51
	Page 2	01

1	Would you agree that this paragraph would	14:51
2	be more accurate if that first sentence wasn't	14:51
3	there?	14:51
4	A. I I have already answered that question	14:51
5	at least twice so I'm comfortable staying with that	14:51
6	answer.	14:51
7	Q. I don't think you have answered it.	14:51
8	Can you answer it for me?	14:51
9	A. I can, but I'm comfortable staying with my	14:51
10	previous answer, which I am it was what I stated	14:51
11	and is on the record previously.	14:51
12	We I mean, I could look over the	14:52
13	realtime and if I am remembering wrong, sure. But I	14:52
14	think we discussed whether it would have be more	14:52
15	accurate and more precise or whether he inherently	14:52
16	discloses this, and I think I answered it.	14:52
17	Q. Uh-huh.	14:52
18	A. But I don't have the realtime up so I	14:52
19	can't be sure.	14:52
20	Q. Yeah. I don't see you answering this	14:52
21	question previously. And I think it's a simple	14:52
22	matter of just answering it now because here	14:52
23	What I want to be able to I want to	14:52
24	know whether or not I have to tell the patent office	14:52
25	that this is a problematic sentence and that it's	14:52
	Page 2	02

1	inaccurate; or if you'll just agree "Yeah, this	14:53
2	sentence was a mistake," and I could just say	14:53
3	everybody agrees that this sentence was a mistake,	14:53
4	and there's no problem. So that's what I am asking.	14:53
5	Do you agree that this sentence, the first	14:53
6	sentence of Paragraph 91, is a mistake and we don't	14:53
7	even need it in here?	14:53
8	MR. TUNG: Object to the form.	14:53
9	THE WITNESS: Well, I you know, I have	14:53
10	no control over what you what you tell the patent	14:53
11	office.	14:53
12	I think I said it's not the most precise,	14:53
13	and in retrospect, I shouldn't have used that word	14:53
14	"acknowledges" or, you know, whether it should have	14:53
15	brought in with "this is constrained to	14:53
16	Paragraph 200."	14:53
17	And I said that I wouldn't have a problem	14:53
18	with filing some sort of a correction if the	14:53
19	attorneys asked me to and and we drafted one	14:53
20	together.	14:53
21	I don't see any reason why this particular	14:54
22	sentence is a key to any of the arguments here.	14:54
23	So I don't know I mean, it's in there. It says	14:54
24	what it says. I would rather it not have said	14:54
25	"acknowledges." I don't think it particularly	14:54
	Page 2	03

1	forwards advances the arguments because at the	14:54
2	end of the day, you know, the issue is whether a	14:54
3	fact-finder thinks it's disclosed there or not. But	14:54
4	that's the best characterization I can get.	14:54
5	But what I think I said before was that	14:54
6	it's not as precise and accurate as I wanted, or	14:54
7	something along those lines.	14:54
8	BY MR. BROWN:	14:54
9	Q. Okay. I think I have your position on	14:54
10	that now.	14:54
11	MR. BROWN: So I think I think that	14:55
12	should wrap it up for me, unless there's any	14:55
13	follow-up questions from PACT's counsel.	14:55
14	MR. TUNG: So I just wanted to follow-up	14:55
15	on one point.	14:55
16		14:55
17	EXAMINATION	14:55
18	BY MR. TUNG:	14:55
19	Q. If you could turn in your declaration, I	14:55
20	think this is Exhibit 5.	14:55
21	Do you remember you were asked about	14:55
22	Paragraph 114?	14:55
23	A. All right. I'm there.	14:55
24	Q. And to just remind you, you were asked	14:56
25	about a quote in this paragraph about [as read]:	14:56
	Page 2	04

1	"'Nicol is agnostic to the internal	14:56
2	structures of the PE'"?	14:56
3	A. Yes.	14:56
4	Q. And then counsel pointed you to the	14:56
5	original petition which had some additional text	14:56
6	before that that part of the quote.	14:56
7	Do you recall that?	14:56
8	A. Yes.	14:56
9	Q. And so you had read the petition and that	14:56
10	entire sentence before; right?	14:56
11	A. Yes.	14:56
12	Q. And so the fact you quoted part of the	14:56
13	sentence, does that affect the rest of your opinion	14:56
14	here?	14:56
15	A. No. I don't think it does.	14:56
16	Q. Okay.	14:56
17	MR. TUNG: Thank you. I have no further	14:56
18	questions.	14:56
19	MR. BROWN: Nothing oh, go ahead.	14:56
20	Sorry, Mark.	14:56
21	MR. TUNG: I just well, you have no	14:56
22	more questions, I was just going to reserve the	14:56
23	right to submit an errata.	14:56
24	MR. BROWN: Sure. Okay. No further	14:56
25	questions from us either.	14:56
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	<u> </u>	
1	Thank you, Doctor.	14:57
2	THE WITNESS: Thank you. Have a good	14:57
3	afternoon.	14:57
4	THE VIDEOGRAPHER: Ms. Hester, do you want	14:57
5	to take copy orders on the record?	14:57
6	THE REPORTER: No.	14:57
7	THE VIDEOGRAPHER: Okay.	14:57
8	We're off the record at 2:57 p.m. This	14:57
9	concludes today's testimony given by William	14:57
10	Mangione-Smith, total Number of Media used was five	14:57
11	and will be retained by Veritext.	14:57
12	(Whereupon, at 2:57 p.m., the deposition	
13	of WILLIAM MANGIONE-SMITH, PH.D. was	
14	adjourned.)	
15	000	
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17		
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	Page 2	06

1	
2	
3	
4	I, WILLIAM MANGIONE-SMITH, PH.D., hereby
5	certify under penalty of perjury under the laws of
6	the State of California that the foregoing is true
7	and correct.
8	Executed this day of
9	, 2021, at,
10	California.
11	
12	
13	
14	WILLIAM MANGIONE-SMITH, PH.D.
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1	STATE OF CALIFORNIA)
2	COUNTY OF LOS ANGELES) SS.
3	
4	I, Dayna Hester, C.S.R. No. 9970, in
5	and for the State of California, do hereby certify:
6	That, prior to being examined, the witness
7	named in the foregoing deposition was by me duly
8	sworn to testify to the truth, the whole truth, and
9	nothing but the truth;
10	That said deposition was taken down by me
11	in shorthand at the time and place therein named and
12	thereafter reduced to typewriting under my
13	direction, and the same is a true, correct, and
14	complete transcript of said proceedings;
15	That if the foregoing pertains to the
16	original transcript of a deposition in a Federal
17	Case, before completion of the proceedings, review
18	of the transcript { } was { } was not required;
19	I further certify that I am not interested
20	in the event of the action.
21	Witness my hand this 23rd day of February, 2021.
22	
23	
24	Sayor
25	Dayna Hester, C.S.R. No. 9970
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[& - 3]

&	160:16 182:24,25	159 4:17	20 29:25 52:20
& 2:11,17 3:3 6:2	189:17	16 52:16 53:16	53:20 75:11 95:20
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0001 4:12	1001 4:22 189:17	95:17	191:5 192:14,14
0002 4:13	1008.pdf 4:12	18 1:19 2:4 5:2	200:6 203:16
0003 4:15	1009.pdf 4:17	52:18 53:18 95:2	2020-02-07 4:20
0004 4:17	1010.pdf 4:16	95:18	2021 1:19 2:4 5:2
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Federal Rules of Civil Procedure Rule 30

- (e) Review By the Witness; Changes.
- (1) Review; Statement of Changes. On request by the deponent or a party before the deposition is completed, the deponent must be allowed 30 days after being notified by the officer that the transcript or recording is available in which:
- (A) to review the transcript or recording; and
- (B) if there are changes in form or substance, to sign a statement listing the changes and the reasons for making them.
- (2) Changes Indicated in the Officer's Certificate. The officer must note in the certificate prescribed by Rule 30(f)(1) whether a review was requested and, if so, must attach any changes the deponent makes during the 30-day period.

DISCLAIMER: THE FOREGOING FEDERAL PROCEDURE RULES

ARE PROVIDED FOR INFORMATIONAL PURPOSES ONLY.

THE ABOVE RULES ARE CURRENT AS OF APRIL 1,

2019. PLEASE REFER TO THE APPLICABLE FEDERAL RULES

OF CIVIL PROCEDURE FOR UP-TO-DATE INFORMATION.

VERITEXT LEGAL SOLUTIONS COMPANY CERTIFICATE AND DISCLOSURE STATEMENT

Veritext Legal Solutions represents that the foregoing transcript is a true, correct and complete transcript of the colloquies, questions and answers as submitted by the court reporter. Veritext Legal Solutions further represents that the attached exhibits, if any, are true, correct and complete documents as submitted by the court reporter and/or attorneys in relation to this deposition and that the documents were processed in accordance with our litigation support and production standards.

Veritext Legal Solutions is committed to maintaining the confidentiality of client and witness information, in accordance with the regulations promulgated under the Health Insurance Portability and Accountability Act (HIPAA), as amended with respect to protected health information and the Gramm-Leach-Bliley Act, as amended, with respect to Personally Identifiable Information (PII). Physical transcripts and exhibits are managed under strict facility and personnel access controls. Electronic files of documents are stored in encrypted form and are transmitted in an encrypted fashion to authenticated parties who are permitted to access the material. Our data is hosted in a Tier 4 SSAE 16 certified facility.

Veritext Legal Solutions complies with all federal and State regulations with respect to the provision of court reporting services, and maintains its neutrality and independence regardless of relationship or the financial outcome of any litigation. Veritext requires adherence to the foregoing professional and ethical standards from all of its subcontractors in their independent contractor agreements.

Inquiries about Veritext Legal Solutions' confidentiality and security policies and practices should be directed to Veritext's Client Services Associates indicated on the cover of this document or at www.veritext.com.