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10	UNITED OT ATES DISTRICT COUDT		
11	UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA		
12	SAN FRANCISCO DIVISION		
13	DOLBY LABORATORIES, INC.,	Case No. 3:19-CV-03371-EMC	
14	Plaintiff / Counterclaim-Defendant,	INTERTRUST'S DISCLOSURE OF ASSERTED CLAIMS & INFRINGEMENT	
15	V.	CONTENTIONS PURSUANT TO PATENT LOCAL RULES 3-1 & 3-2	
16	INTERTRUST TECHNOLOGIES CORPORATION,	TATENT LOCAL ROLES 5-1 & 5-2	
17	Defendant / Counterclaim-Plaintiff		
18			
19	Pursuant to Patent Local Rules 3-1 and 3-2, Counterclaim-Plaintiff Intertrust Technologies		
20	Corporation ("Intertrust") hereby serves its Disclosure of Asserted Claims and Infringement		
21	Contentions and accompanying document production to Dolby Laboratories, Inc. ("Dolby") with		
22	respect to U.S. Patent No. 6,157,721 ("the '721 Patent"), U.S. Patent No. 6,640,304		
23	("the '304 Patent"), U.S. Patent No. 6,785,815 ("the '815 Patent"), U.S. Patent No. 7,340,602 ("the		
24	'602 Patent"), U.S. Patent No. 7,406,603 ("the '603 Patent"), U.S. Patent No. 7,694,342 ("the '342		
25	Patent"), U.S. Patent No. 8,191,157 ("the '157 Patent"), U.S. Patent No. 8,191,158 ("the '158		
26	Patent"), U.S. Patent No. 8,931,106 ("the '106 F	Patent"), and U.S. Patent No. 9,569,627 ("the '627	
27	Patent") (collectively, the "Asserted Patents").		
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	Case No. 3:19-CV-03371-EMC INTERTRUST'S PATENT LOCAL RULE 3-1 & 3-2 DISCLOSURES		

Dolby Exhibit 1030 Dolby Labs., Inc. v. InterTrust Techns. Corp. IPR2020-00660 Page 00001 Discovery in this matter has just begun and Intertrust's investigation is ongoing. These
 disclosures are therefore based on the information Intertrust has obtained to date, and Intertrust's
 present understanding of the meaning and scope of the claims in the Asserted Patents. Intertrust
 reserves the right to supplement and/or amend these disclosures based on additional information
 ascertained through discovery and/or further investigation, after the Court has construed the claims
 of the Asserted Patents, or as otherwise permitted under the Patent Local Rules.<sup>1</sup>

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## DISCLOSURE OF ASSERTED CLAIMS AND INFRINGEMENT CONTENTIONS

8 Patent Local Rule 3-1(a) — Asserted Claims **(a)** 9 Dolby is liable for infringement of at least the following claims (collectively, the "Asserted 10 Claims") of the Asserted Patents under 35 U.S.C. § 271: 11 Claims 5, 9, and 29 of the '721 Patent under 35 U.S.C. § 271(a); 12 Claim 24 of the '304 Patent under 35 U.S.C. § 271(a); 13 Claims 42 and 43 of the '815 Patent under 35 U.S.C. § 271(a)-(c); 14 Claims 25 and 26 of the '602 Patent under 35 U.S.C. § 271(a)-(c); 15 Claims 1 and 2 of the '603 Patent under 35 U.S.C. § 271(a)-(c); 16 Claims 1, 7, 8, and 12 of the '342 Patent under 35 U.S.C. § 271(a)-(c); 17 Claims 53, 54, 56, 57, 58, 59, 60, 64, 65, 66, 69, 70, 71, 72, 73, 74, 75, 80, 81, 84, 86, 87, 18 88, 89, 90, 95, 96, and 99 of the '157 Patent under 35 U.S.C. § 271(a); 19 Claim 4 of the '158 Patent under 35 U.S.C. § 271(a); 20 Claim 17 of the '106 Patent under 35 U.S.C. § 271(a)-(c); and 21 Claims 1, 4, 5, 6, 7, and 8 of the '627 Patent under 35 U.S.C. § 271(a)-(c). 22 This disclosure of Asserted Claims is based on the publicly available information currently 23 known to Intertrust. Intertrust reserves the right to supplement and/or amend its disclosures to 24

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Intertrust has gone beyond the disclosure requirements required by Patent Local Rules 3-1 and 3-2 by, among other things, providing citations to evidence in these disclosures. These additional disclosures are exemplary and made for the benefit of Dolby. Intertrust in no way confines its disclosures to any specific citations of evidence and explicitly reserves the right to rely on additional or different evidence as the case moves forward through fact discovery, expert discovery, and trial. Any omission of any other specific citation to evidence does not constitute a waiver of Intertrust's right to rely on such evidence at a later date.

identify additional claims infringed by Dolby that are revealed based on information ascertained
 through discovery and/or further investigation, after the Court has construed the claims of the
 Asserted Patents, or as otherwise permitted under the Patent Local Rules.

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### (b) Patent Local Rule 3-1(b) — Accused Instrumentalities

5 Based on the information it has obtained to date and its present understanding of the 6 meaning and scope of the claims in the Asserted Patents, Intertrust contends that the Accused Instrumentalities for each of the Asserted Claims include Dolby/Doremi products and other 7 8 equipment compliant with the Digital Cinema Initiatives, LLC ("DCI") Digital Cinema System Specification (the "DCI Specification"),<sup>2</sup> Dolby's manufacture, testing, and use of the 9 10 Dolby/Doremi DCI-compliant products and equipment, and Dolby's and others' construction and use of DCI-compliant Digital Cinema systems comprising the Dolby/Doremi DCI-compliant 11 products, as described for each of the Asserted Claims in the claim charts attached as Exhibits 1-12 10 (collectively, the "Accused Instrumentalities"). 13

Dolby's and others' DCI-compliant Digital Cinema systems comprising the Dolby/Doremi DCI-compliant products may also include other equipment, including but not limited to equipment certified as compliant with the DCI Specification. A list of DCI-compliant equipment is available at https://www.dcimovies.com/compliant\_equipment/. Discovery will reveal the specific configurations of equipment employed in Dolby's and others' DCI-compliant Digital Cinema systems comprising the Dolby/Doremi DCI-compliant products.

This disclosure of Accused Instrumentalities is based on the publicly available information currently known to Intertrust. Intertrust reserves the right to supplement and/or amend its disclosures to identify additional Accused Instrumentalities that are revealed based on information

<sup>&</sup>lt;sup>2</sup> According to DCI (*see* https://www.dcimovies.com/compliant\_equipment/), and as alleged by Dolby (*see* Dkt. No. 61-3 at ¶26), the Dolby/Doremi DCI-compliant products include the Dolby Digital Cinema Server: DSS200; Dolby Cat. No. 745 Integrated Media Block; Dolby Digital Cinema Server: DSP100/DSS100; Dolby Integrated Media Block: IMS2000; Dolby Integrated Media Block: IMS3000 (CID1002); Doremi Cinema Integrated Media Block ShowVault; Doremi Digital Cinema Server DCP-2000; and Doremi: Integrated Media Block IMS1000. This list of Dolby and Doremi products is based on publicly available information and may not be exhaustive. Discovery may reveal additional Dolby and Doremi DCI-compliant products and/or other equipment that is used by Dolby in its infringement of the Asserted Patents.

ascertained through discovery and/or further investigation, after the Court has construed the claims
 of the Asserted Patents, or as otherwise permitted under the Patent Local Rules.

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(c)

# Patent Local Rule 3-1(c) — Claim Charts for the Accused Instrumentalities

Claim charts identifying specifically where and how each limitation of each of the
Asserted Claims is found within each Accused Instrumentality are attached as Exhibits 1-10.
Documents cited in the claim charts and others associated therewith have been produced under the
following Bates Numbers: INTRU-D00083805 – INTRU-D00087189.

8 These claim charts are based on the publicly available information currently known to 9 Intertrust and contain illustrative (not exhaustive) examples of presently-known infringement. 10 Intertrust reserves the right to supplement and/or amend the claim charts based on information 11 ascertained through discovery and/or further investigation, after the Court has construed the claims 12 of the Asserted Patents, or as otherwise permitted under the Patent Local Rules.

13

### (d) Patent Local Rule 3-1(d) — Indirect Infringement

14 Intertrust's claims of indirect infringement of the '815 Patent, the '602 Patent, the '603 Patent, the '342 Patent, the '106 Patent, and the '627 Patent are set forth in Intertrust's Answer and 15 Counterclaims in response to Dolby's Second Amended Complaint. See Dkt. No. 63. Based on 16 17 the information it has obtained to date and its present understanding of the meaning and scope of 18 the claims in the Asserted Patents, Intertrust contends that exhibitors such as AMC, Cinemark, and 19 Regal, other Dolby customers/partners, and employees thereof directly infringe each of the 20 Asserted Claims of these patents using Dolby/Doremi DCI-compliant products, as described in the claim charts attached as Exhibits 3-6 and 9-10; and that Dolby is liable for inducing such 21 infringement under 35 U.S.C. § 271(b) and contributing to such infringement under 35 U.S.C. 22 23 § 271(c), as set forth below with respect to each such patent. As further discussed in Intertrust's Patent Local Rule 3-1(i) disclosure below, Dolby has had actual knowledge of both Intertrust's 24 rights in the Asserted Patents and details of Dolby's infringement of the Asserted Patents since 25 26 well before Intertrust filed its infringement counterclaims on December 11, 2019, yet Dolby has 27 continued to engage in infringing acts of inducement and contribution.

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- 4 - Case No. 3:19-CV-03371-EMC INTERTRUST'S PATENT LOCAL RULE 3-1 & 3-2 DISCLOSURES This disclosure regarding indirect infringement is based on the publicly available information currently known to Intertrust. Intertrust reserves the right to supplement and/or amend its disclosures to identify additional direct infringement and to describe additional acts of inducement and/or contribution that are revealed based on information ascertained through discovery and/or further investigation, after the Court has construed the claims of the Asserted Patents, or as otherwise permitted under the Patent Local Rules.

7 <u>The '815 Patent:</u> Dolby's customers/partners and their employees directly infringe the 8 Asserted Claims of the '815 Patent through their use of DCI-compliant Digital Cinema systems 9 comprising Dolby/Doremi DCI-compliant products to show DCI-compliant content (and, as part 10 of that, perform actions such as validating Composition Playlists ("CPLs") and processing essence 11 integrity pack data) in the manner described in the claim chart attached as Exhibit 3.

12 Dolby actively and knowingly induces others' direct infringement under 35 U.S.C. § 271(b). For example, Dolby supplies its customers/partners with Dolby/Doremi DCI-compliant 13 14 products and security components thereof that are specifically intended to be used in DCI-15 compliant Digital Cinema systems to show DCI-compliant content (and, as part of that, perform actions such as validating CPLs and processing essence integrity pack data) in accordance with the 16 17 DCI Specification. Dolby also actively encourages and facilitates its customers/partners and their 18 employees through advertising/publications, technical support, and other means to install and use 19 the Dolby/Doremi DCI-compliant products to show DCI-compliant content (and, as part of that, 20 perform actions such as validating CPLs and processing essence integrity pack data) in accordance with the DCI Specification. See, e.g., Dkt. No. 61-3 at ¶32; INTRU-D00085202 - INTRU-21 D00087189. When Dolby's customers/partners and their employees use the Dolby/Doremi DCI-22 23 compliant products to show DCI-compliant content (and, as part of that, perform actions such as validating CPLs and processing essence integrity pack data) in accordance with the DCI 24 Specification, as Dolby specifically intends and induces them to do, Dolby knows that they 25 infringe the Asserted Claims of the '815 Patent in the manner described in the claim chart attached 26 27 as Exhibit 3.

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1 Dolby also contributes to others' direct infringement under 35 U.S.C. § 271(c). Dolby knows that the Dolby/Doremi DCI-compliant products and security components thereof are used 2 in infringing DCI-compliant Digital Cinema systems and are especially made and/or adapted for 3 use in infringement of the Asserted Claims of the '815 Patent in the manner described in the claim 4 5 chart attached as Exhibit 3 when they are used to show DCI-compliant content (and, as part of 6 that, perform actions such as validating CPLs and processing essence integrity pack data) in accordance with the DCI Specification. The Dolby/Doremi products and security components 7 8 thereof are not staple articles or commodities of commerce suitable for substantial non-infringing 9 use-indeed, their only substantial use is to show DCI-compliant content (and, as part of that, perform actions such as validating CPLs and processing essence integrity pack data) in an 10 infringing manner-and they are a material part of the inventions of the Asserted Claims of the 11 '815 Patent because they implement aspects of the claimed security functionality. Moreover, the 12 Dolby/Doremi products contain infringing security components, such as hardware and/or software 13 14 corresponding to the Security Entities and Secure Processing Blocks described in Chapter 9 of the 15 DCI Specification involved in secure playback functionality (see, e.g., INTRU-D00084119 -INRU-D00084186), that are separable from the DCI-compliant products, material to practicing the 16 17 Asserted Claims of the '815 Patent by implementing aspects of the claimed security functionality, 18 and have no substantial non-infringing use.

19 <u>The '602 Patent:</u> Dolby's customers/partners and their employees directly infringe the 20 Asserted Claims of the '602 Patent through their use of DCI-compliant Digital Cinema systems 21 comprising Dolby/Doremi DCI-compliant products to show DCI-compliant content (and, as part 22 of that, perform actions such as generating log records and reports of associated activity) in the 23 manner described in the claim chart attached as Exhibit 4.

Dolby actively and knowingly induces others' direct infringement under 35 U.S.C. \$ 271(b). For example, Dolby supplies its customers/partners with Dolby/Doremi DCI-compliant products and security components thereof that are specifically intended to be used in DCIcompliant Digital Cinema systems to show DCI-compliant content (and, as part of that, perform actions such as generating log records and reports of associated activity) in accordance with the

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DCI Specification. Dolby also actively encourages and facilitates its customers/partners and their 1 employees through advertising/publications, technical support, and other means to install and use 2 the Dolby/Doremi DCI-compliant products to show DCI-compliant content (and, as part of that, 3 perform actions such as generating log records and reports of associated activity) in accordance 4 5 with the DCI Specification. See, e.g., Dkt. No. 61-3 at ¶32; INTRU-D00085202 - INTRU-6 D00087189. When Dolby's customers/partners and their employees use the Dolby/Doremi DCIcompliant products to show DCI-compliant content (and, as part of that, perform actions such as 7 8 generating log records and reports of associated activity) in accordance with the DCI 9 Specification, as Dolby specifically intends and induces them to do, Dolby knows that they 10 infringe the Asserted Claims of the '602 Patent in the manner described in the claim chart attached as Exhibit 4. 11

12 Dolby also contributes to others' direct infringement under 35 U.S.C. § 271(c). Dolby 13 knows that the Dolby/Doremi DCI-compliant products and security components thereof are used 14 in infringing DCI-compliant Digital Cinema systems and are especially made and/or adapted for 15 use in infringement of the Asserted Claims of the '602 Patent in the manner described in the claim chart attached as Exhibit 4 when they are used to show DCI-compliant content (and, as part of 16 17 that, perform actions such as generating log records and reports of associated activity) in 18 accordance with the DCI Specification. The Dolby/Doremi products and security components 19 thereof are not staple articles or commodities of commerce suitable for substantial non-infringing 20 use-indeed, their only substantial use is to show DCI-compliant content (and, as part of that, perform actions such as generating log records and reports of associated activity) in an infringing 21 manner-and they are a material part of the inventions of the Asserted Claims of the '602 Patent 22 23 because they implement aspects of the claimed security functionality. Moreover, the Dolby/Doremi products contain infringing security components, such as hardware and/or software 24 corresponding to the Security Entities and Secure Processing Blocks described in Chapter 9 of the 25 DCI Specification involved in logging functionality (see, e.g., INTRU-D00084119 - INRU-26 27 D00084186), that are separable from the DCI-compliant products, material to practicing the 28

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Asserted Claims of the '602 Patent by implementing aspects of the claimed security functionality,
 and have no substantial non-infringing use.

The '603 Patent: Dolby's customers/partners and their employees directly infringe the Asserted Claims of the '603 Patent through their use of DCI-compliant Digital Cinema systems comprising Dolby/Doremi DCI-compliant products to show DCI-compliant content (and, as part of that, perform actions such as monitoring to protect against access to the content by untrusted/compromised equipment) in the manner described in the claim chart attached as Exhibit 5.

9 Dolby actively and knowingly induces others' direct infringement under 35 U.S.C. § 271(b). For example, Dolby supplies its customers/partners with Dolby/Doremi DCI-compliant 10 products and security components thereof that are specifically intended to be used in DCI-11 compliant Digital Cinema systems to show DCI-compliant content (and, as part of that, perform 12 13 actions such as monitoring to protect against access to the content by untrusted/compromised 14 equipment) in accordance with the DCI Specification. Dolby also actively encourages and 15 facilitates its customers/partners and their employees through advertising/publications, technical support, and other means to install and use the Dolby/Doremi DCI-compliant products to show 16 17 DCI-compliant content (and, as part of that, perform actions such as monitoring to protect against 18 access to the content by untrusted/compromised equipment) in accordance with the DCI 19 Specification. See, e.g., Dkt. No. 61-3 at ¶32; INTRU-D00085202 – INTRU-D00087189. When 20 Dolby's customers/partners and their employees use the Dolby/Doremi DCI-compliant products to show DCI-compliant content (and, as part of that, perform actions such as monitoring to protect 21 22 against access to the content by untrusted/compromised equipment) in accordance with the DCI 23 Specification, as Dolby specifically intends and induces them to do, Dolby knows that they 24 infringe the Asserted Claims of the '603 Patent in the manner described in the claim chart attached 25 as Exhibit 5.

Dolby also contributes to others' direct infringement under 35 U.S.C. § 271(c). Dolby knows that the Dolby/Doremi DCI-compliant products and security components thereof are used in infringing DCI-compliant Digital Cinema systems and are especially made and/or adapted for

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use in infringement of the Asserted Claims of the '603 Patent in the manner described in the claim 1 chart attached as Exhibit 5 when they are used to show DCI-compliant content (and, as part of 2 that, perform actions such as monitoring to protect against access to the content by 3 untrusted/compromised equipment) in accordance with the DCI Specification. The Dolby/Doremi 4 5 products and security components thereof are not staple articles or commodities of commerce 6 suitable for substantial non-infringing use-indeed, their only substantial use is to show DCIcompliant content (and, as part of that, perform actions such as monitoring to protect against 7 access to the content by untrusted/compromised equipment) in an infringing manner-and they are 8 9 a material part of the inventions of the Asserted Claims of the '603 Patent because they implement aspects of the claimed security functionality. Moreover, the Dolby/Doremi products contain 10 11 infringing security components, such as hardware and/or software corresponding to the Security Entities and Secure Processing Blocks described in Chapter 9 of the DCI Specification involved in 12 secure playback functionality (see, e.g., INTRU-D00084119 - INRU-D00084186), that are 13 14 separable from the DCI-compliant products, material to practicing the Asserted Claims of the '603 15 Patent by implementing aspects of the claimed security functionality, and have no substantial noninfringing use. 16

17 <u>The '342 Patent:</u> Dolby's customers/partners and their employees directly infringe the 18 Asserted Claims of the '342 Patent through their use of DCI-compliant Digital Cinema systems 19 comprising Dolby/Doremi DCI-compliant products to show DCI-compliant content (and, as part 20 of that, perform actions such as examining Key Delivery Message ("KDM") data and determining 21 whether the content may be rendered by firmware/software with an associated Digital Cinema 22 Certificate) in the manner described in the claim chart attached as Exhibit 6.

Dolby actively and knowingly induces others' direct infringement under 35 U.S.C. \$ 271(b). For example, Dolby supplies its customers/partners with Dolby/Doremi DCI-compliant products and security components thereof that are specifically intended to be used in DCIcompliant Digital Cinema systems to show DCI-compliant content (and, as part of that, perform actions such as examining KDM data and determining whether the content may be rendered by firmware/software with an associated Digital Cinema Certificate) in accordance with the DCI

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Specification. Dolby also actively encourages and facilitates its customers/partners and their 1 employees through advertising/publications, technical support, and other means to install and use 2 the Dolby/Doremi DCI-compliant products to show DCI-compliant content (and, as part of that, 3 perform actions such as examining KDM data and determining whether the content may be 4 5 rendered by firmware/software with an associated Digital Cinema Certificate) in accordance with 6 the DCI Specification. See, e.g., Dkt. No. 61-3 at ¶32; INTRU-D00085202 – INTRU-D00087189. When Dolby's customers/partners and their employees use the Dolby/Doremi DCI-compliant 7 products to show DCI-compliant content (and, as part of that, perform actions such as examining 8 9 KDM data and determining whether the content may be rendered by firmware/software with an associated Digital Cinema Certificate) in accordance with the DCI Specification, as Dolby 10 11 specifically intends and induces them to do, Dolby knows that they infringe the Asserted Claims of the '342 Patent in the manner described in the claim chart attached as Exhibit 6. 12

Dolby also contributes to others' direct infringement under 35 U.S.C. § 271(c). Dolby 13 14 knows that the Dolby/Doremi DCI-compliant products and security components thereof are used 15 in infringing DCI-compliant Digital Cinema systems and are especially made and/or adapted for use in infringement of the Asserted Claims of the '342 Patent in the manner described in the claim 16 17 chart attached as Exhibit 6 when they are used to show DCI-compliant content (and, as part of 18 that, perform actions such as examining KDM data and determining whether the content may be 19 rendered by firmware/software with an associated Digital Cinema Certificate) in accordance with 20 the DCI Specification. The Dolby/Doremi products and security components thereof are not staple articles or commodities of commerce suitable for substantial non-infringing use-indeed, 21 22 their only substantial use is to show DCI-compliant content (and, as part of that, perform actions 23 such as examining KDM data and determining whether the content may be rendered by firmware/software with an associated Digital Cinema Certificate) in an infringing manner-and 24 they are a material part of the inventions of the Asserted Claims of the '342 Patent because they 25 implement aspects of the claimed security functionality. Moreover, the Dolby/Doremi products 26 27 contain infringing security components, such as hardware and/or software corresponding to the 28 Security Entities and Secure Processing Blocks described in Chapter 9 of the DCI Specification

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involved in secure playback functionality (see, e.g., INTRU-D00084119 – INRU-D00084186), 1 that are separable from the DCI-compliant products, material to practicing the Asserted Claims of 2 the '342 Patent by implementing aspects of the claimed security functionality, and have no 3 substantial non-infringing use. 4

5 The '106 Patent: Dolby's customers/partners and their employees directly infringe the 6 Asserted Claims of the '106 Patent through their use of DCI-compliant Digital Cinema systems comprising Dolby/Doremi DCI-compliant products to show DCI-compliant content (and, as part 7 of that, perform actions such as determining whether applicable KDM conditions for playback are 8 satisfied and executing content-rendering software/firmware associated with a Digital Cinema 9 10 Certificate) in the manner described in the claim chart attached as Exhibit 9.

Dolby actively and knowingly induces others' direct infringement under 35 U.S.C. 11 § 271(b). For example, Dolby supplies its customers/partners with Dolby/Doremi DCI-compliant 12 products and security components thereof that are specifically intended to be used in DCI-13 14 compliant Digital Cinema systems to show DCI-compliant content (and, as part of that, perform 15 actions such as determining whether applicable KDM conditions for playback are satisfied and executing content-rendering software/firmware associated with a Digital Cinema Certificate) in 16 17 accordance with the DCI Specification. Dolby also actively encourages and facilitates its 18 customers/partners and their employees through advertising/publications, technical support, and 19 other means to install and use the Dolby/Doremi DCI-compliant products to show DCI-compliant 20 content (and, as part of that, perform actions such as determining whether applicable KDM 21 conditions for playback are satisfied and executing content-rendering software/firmware associated with a Digital Cinema Certificate) in accordance with the DCI Specification. See, e.g., 22 23 Dkt. No. 61-3 at ¶32; INTRU-D00085202 – INTRU-D00087189. When Dolby's customers/partners and their employees use the Dolby/Doremi DCI-compliant products to show 24 DCI-compliant content (and, as part of that, perform actions such as determining whether 25 applicable KDM conditions for playback are satisfied and executing content-rendering 26 27 software/firmware associated with a Digital Cinema Certificate) in accordance with the DCI 28 Specification, as Dolby specifically intends and induces them to do, Dolby knows that they

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infringe the Asserted Claims of the '106 Patent in the manner described in the claim chart attached
 as Exhibit 9.

3 Dolby also contributes to others' direct infringement under 35 U.S.C. § 271(c). Dolby 4 knows that the Dolby/Doremi DCI-compliant products and security components thereof are used 5 in infringing DCI-compliant Digital Cinema systems and are especially made and/or adapted for 6 use in infringement of the Asserted Claims of the '106 Patent in the manner described in the claim chart attached as Exhibit 9 when they are used to show DCI-compliant content (and, as part of 7 that, perform actions such as determining whether applicable KDM conditions for playback are 8 9 satisfied and executing content-rendering software/firmware associated with a Digital Cinema Certificate) in accordance with the DCI Specification. The Dolby/Doremi products and security 10 components thereof are not staple articles or commodities of commerce suitable for substantial 11 non-infringing use-indeed, their only substantial use is to show DCI-compliant content (and, as 12 part of that, perform actions such as determining whether applicable KDM conditions for playback 13 14 are satisfied and executing content-rendering software/firmware associated with a Digital Cinema 15 Certificate) in an infringing manner—and they are a material part of the inventions of the Asserted Claims of the '106 Patent because they implement aspects of the claimed security functionality. 16 17 Moreover, the Dolby/Doremi products contain infringing security components, such as hardware 18 and/or software corresponding to the Security Entities and Secure Processing Blocks described in 19 Chapter 9 of the DCI Specification involved in secure playback functionality (see, e.g., INTRU-D00084119 – INRU-D00084186), that are separable from the DCI-compliant products, material to 20 practicing the Asserted Claims of the '106 Patent by implementing aspects of the claimed security 21 22 functionality, and have no substantial non-infringing use.

<u>The '627 Patent:</u> Dolby's customers/partners and their employees directly infringe the
Asserted Claims of the '627 Patent through their use of DCI-compliant Digital Cinema systems
comprising Dolby/Doremi DCI-compliant products to show DCI-compliant content (and, as part
of that, perform actions such as extracting a content key from a KDM for use in decrypting an
encrypted track file) in the manner described in the claim chart attached as Exhibit 10.

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- 12 - Case No. 3:19-CV-03371-EMC INTERTRUST'S PATENT LOCAL RULE 3-1 & 3-2 DISCLOSURES

1 Dolby actively and knowingly induces others' direct infringement under 35 U.S.C. § 271(b). For example, Dolby supplies its customers/partners with Dolby/Doremi DCI-compliant 2 products and security components thereof that are specifically intended to be used in DCI-3 compliant Digital Cinema systems to show DCI-compliant content (and, as part of that, perform 4 5 actions such as extracting a content key from a KDM for use in decrypting an encrypted track file) 6 in accordance with the DCI Specification. Dolby also actively encourages and facilitates its customers/partners and their employees through advertising/publications, technical support, and 7 other means to install and use the Dolby/Doremi DCI-compliant products to show DCI-compliant 8 content (and, as part of that, perform actions such as extracting a content key from a KDM for use 9 in decrypting an encrypted track file) in accordance with the DCI Specification. See, e.g., Dkt. 10 No. 61-3 at ¶32; INTRU-D00085202 – INTRU-D00087189. When Dolby's customers/partners 11 and their employees use the Dolby/Doremi DCI-compliant products to show DCI-compliant 12 13 content (and, as part of that, perform actions such as extracting a content key from a KDM for use 14 in decrypting an encrypted track file) in accordance with the DCI Specification, as Dolby 15 specifically intends and induces them to do, Dolby knows that they infringe the Asserted Claims of the '627 Patent in the manner described in the claim chart attached as Exhibit 10. 16

17 Dolby also contributes to others' direct infringement under 35 U.S.C. § 271(c). Dolby 18 knows that the Dolby/Doremi DCI-compliant products and security components thereof are used 19 in infringing DCI-compliant Digital Cinema systems and are especially made and/or adapted for 20 use in infringement of the Asserted Claims of the '627 Patent in the manner described in the claim chart attached as Exhibit 10 when they are used to show DCI-compliant content (and, as part of 21 22 that, perform actions such as extracting a content key from a KDM for use in decrypting an 23 encrypted track file) in accordance with the DCI Specification. The Dolby/Doremi products and security components thereof are not staple articles or commodities of commerce suitable for 24 substantial non-infringing use-indeed, their only substantial use is to show DCI-compliant 25 content (and, as part of that, perform actions such as extracting a content key from a KDM for use 26 27 in decrypting an encrypted track file) in an infringing manner—and they are a material part of the 28 inventions of the Asserted Claims of the '627 Patent because they implement aspects of the

> - <u>13</u> - <u>Case No. 3:19-CV-03371-EMC</u> INTERTRUST'S PATENT LOCAL RULE 3-1 & 3-2 DISCLOSURES

claimed security functionality. Moreover, the Dolby/Doremi products contain infringing security
 components, such as hardware and/or software corresponding to the Security Entities and Secure
 Processing Blocks described in Chapter 9 of the DCI Specification involved in secure playback
 functionality (*see, e.g.,* INTRU-D00084119 – INRU-D00084186), that are separable from the
 DCI-compliant products, material to practicing the Asserted Claims of the '627 Patent by
 implementing aspects of the claimed security functionality, and have no substantial non-infringing
 use.

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### (e) Patent Local Rule 3-1(e) — Literal Infringement and Doctrine of Equivalents

9 Based on its present understanding of the meaning and scope of the claim language and the 10 publicly available information currently known to it, and without meaningful notice of any non-11 infringement position from Dolby, Intertrust asserts that each limitation of each of the Asserted 12 Claims is literally present in the Accused Instrumentalities. To the extent discovery, further 13 investigation, claim construction and/or other rulings reveal that a limitation is not literally 14 present, or as otherwise permitted under the Patent Local Rules, Intertrust reserves the right to 15 supplement and/or amend its infringement contentions to assert the doctrine of equivalents.

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#### (f) Patent Local Rule 3-1(f) — Priority Dates

Based on its present understanding of the meaning and scope of the claim language and the
information that is currently known to it, Intertrust identifies the following conception and filing
priority dates for the Asserted Claims:

- The Asserted Claims of the '721 Patent are entitled to a filing priority date of no later than August 12, 1996;
- The Asserted Claims of the '304 Patent have a conception date of no later than April 24, 1994, and are entitled to a filing priority date of no later than February 13, 1995;
- The Asserted Claims of the '815 Patent are entitled to a filing priority date of no later than
   June 8, 1999;
  - The Asserted Claims of the '602 Patent are entitled to a filing priority date of no later than December 14, 1999;

- 14 - Case No. 3:19-CV-03371-EMC INTERTRUST'S PATENT LOCAL RULE 3-1 & 3-2 DISCLOSURES

1 The Asserted Claims of the '603 Patent are entitled to a filing priority date of no later than 2 August 31, 1999; 3 The Asserted Claims of the '342 Patent are entitled to a filing priority date of no later than 4 June 9, 2000; 5 The Asserted Claims of the '157 Patent have a conception date of no later than April 24, 6 1994, and are entitled to a filing priority date of no later than February 13, 1995; 7 The Asserted Claims of the '158 Patent have a conception date of no later than April 24, 8 1994, and are entitled to a filing priority date of no later than February 13, 1995; 9 The Asserted Claims of the '106 Patent are entitled to a filing priority date of no later than 10 June 9, 2000; and 11 The Asserted Claims of the '627 Patent are entitled to a filing priority date of no later than 12 June 4, 2000. Intertrust reserves the right to assert earlier conception and/or filing priority dates than 13 14 those identified above based on information ascertained through discovery and/or further 15 investigation, after the Court has construed the claims of the Asserted Patents, or as otherwise permitted under the Patent Local Rules. 16 17 Patent Local Rule 3-1(g) — Intertrust Instrumentalities (g) 18 Intertrust is not presently relying on any assertion that its own or its licensees' apparatus, 19 product, device, process, method, act, or other instrumentality practices the claimed inventions. 20 Patent Local Rule 3-1(h) — First Infringement (h) 21 Intertrust does not currently possess the information necessary to identify the timing of the point of first infringement, the start of claimed damages, and the end of claimed damages. 22 23 Intertrust will seek that information through discovery from Dolby and others. On information and belief, and based on the information it has obtained to date, Intertrust provides the following 24 preliminary identification: Dolby's infringement of the Asserted Patents commenced at least by the 25 time Dolby or Doremi first began to make and test products or equipment compliant with the DCI 26 27 Specification, and Dolby's infringement has continued since, including to the present day for those 28 Case No. 3:19-CV-03371-EMC - 15 - Ca INTERTRUST'S PATENT LOCAL RULE 3-1 & 3-2 DISCLOSURES

patents that have not yet expired. To the extent permitted by law, Intertrust claims damages from
 the point of first infringement by Dolby or Doremi through at least the present day.

Intertrust reserves the right to supplement and/or amend its disclosures to specifically identify the timing of the point of first infringement, the start of claimed damages, and the end of claimed damages, once the information necessary for such identification is ascertained through discovery and/or further investigation, or as otherwise permitted under the Patent Local Rules.

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# (i) Patent Local Rule 3-1(i) — Willful Infringement

8 Intertrust's claims of willful infringement of the '815 Patent, the '602 Patent, the '603 9 Patent, the '342 Patent, the '106 Patent, and the '627 Patent are set forth in Intertrust's Answer and 10 Counterclaims in response to Dolby's Second Amended Complaint. *See* Dkt. No. 63. Dolby has 11 knowingly, intentionally, and deliberately made use of Intertrust's foundational innovations for 12 protecting and controlling the use of high-value digital content such as feature films when they are 13 distributed to exhibitors such as commercial movie theaters, despite knowing that Intertrust's 14 patents cover those innovations. Dolby's willful infringement continues to the present day.

15 Dolby has had actual knowledge of Intertrust's rights in the Asserted Patents and details of 16 Dolby's infringement of the Asserted Patents since well before Intertrust filed its infringement 17 counterclaims on December 11, 2019. Intertrust directly brought Dolby's infringement of the 18 Asserted Patents to Dolby's attention at least by October of 2018 and, on information and belief, 19 others including Dolby's customers had brought the Asserted Patents and details of Dolby's 20 infringement to Dolby's attention even before then. See, e.g., Dkt. No. 61-3 at ¶ 27. Intertrust provided Dolby with detailed mappings of certain claims of the Asserted Patents, and also 21 22 identified a number of Dolby's competitors and other relevant technology companies as licensees 23 to Intertrust's patents. On information and belief, Dolby was separately aware that Intertrust previously brought suits asserting Asserted Patents and related patents against Apple (see, e.g., 24 Dkt. No. 61-3 at ¶ 33) and against Microsoft, and that Microsoft agreed to license Intertrust's 25 26 patents for \$440 million. However, despite Dolby's knowledge of the Asserted Patents and details 27 of its infringement, and of the fact that a number of its competitors have licensed Intertrust's 28

> - 16 - Case No. 3:19-CV-03371-EMC INTERTRUST'S PATENT LOCAL RULE 3-1 & 3-2 DISCLOSURES

patents, Dolby chose to willfully infringe Intertrust's patents rather than obtain a license, and
 Dolby continues its willful infringement to this day.

3 Intertrust's groundbreaking technologies enshrined in the Asserted Patents are fundamental to Dolby's Digital Cinema business, and they have enabled Dolby and others, including the studios 4 5 and the exhibitors, to profit immensely through sales revenue and cost savings achieved through 6 the conversion of exhibitors' theaters to a system for secure distribution and exhibition of digital cinema. Dolby knows that Intertrust's patented technologies are central to the ability of Dolby's 7 DCI-compliant products to comply with the security requirements of the DCI Specification, and 8 9 that making, testing, and using those products as a part of DCI-compliant Digital Cinema systems infringes Intertrust's patents. Despite that knowledge, Dolby continues to willfully infringe 10 11 Intertrust's patents, as described in the claim charts attached as Exhibits 3-6 and 9-10, by designing and making DCI-compliant products with the specific intent that those products comply with the 12 13 security requirements of the DCI specification, testing those products to verify DCI compliance, 14 using those products as a part of DCI-compliant Digital Cinema systems, representing to its 15 customers/partners that those products are DCI-compliant, and actively encouraging and facilitating its customers/partners and their employees through advertising/publications, technical 16 17 support, and other means to install and use those products as a part of DCI-compliant Digital 18 Cinema systems, all with willful disregard for Intertrust's rights. See, e.g., Dkt. No. 61-3 at ¶32; 19 INTRU-D00085202 - INTRU-D00087189. Absent Dolby's use of Intertrust's patented technology, movie studios would not entrust exhibitors to show the studios' valuable films using 20 21 Dolby's DCI-compliant products, and exhibitors would not buy those products, dramatically affecting the profitability of Dolby's Digital Cinema business. Yet Dolby refuses to obtain a 22 23 license.

This disclosure regarding willful infringement is based on the publicly available information currently known to Intertrust. Intertrust reserves the right to supplement and/or amend its disclosures to set forth additional bases for willful infringement based on additional information ascertained through discovery and/or further investigation, or as otherwise permitted under the Patent Local Rules.

> - <u>17</u> - Case No. 3:19-CV-03371-EMC INTERTRUST'S PATENT LOCAL RULE 3-1 & 3-2 DISCLOSURES

#### 1 II. DOCUMENT PRODUCTION ACCOMPANYING DISCLOSURES

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### (a) Patent Local Rule 3-2(a) — Prior Disclosure

Documents corresponding to Patent Local Rule 3-2(a) have been produced under the
following Bates Numbers: INTRU-D00000001 – INTRU-D00035383. Pursuant to Patent Local
Rule 2-2, this production includes documents designated as "CONFIDENTIAL," "HIGHLY
CONFIDENTIAL – ATTORNEYS' EYES ONLY," or "HIGHLY CONFIDENTIAL – SOURCE
CODE" under the Northern District of California Patent Local Rule 2-2 Interim Model Protective
Order. Intertrust makes this production subject to, and without waiving the applicability of, any
relevant sections of any future Protective Order and/or ESI Order entered in this case.

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### (b) Patent Local Rule 3-2(b) — Conception and Reduction to Practice

Documents corresponding to Patent Local Rule 3-2(b) have been produced under the 11 following Bates Numbers: INTRU-D00000001 - INTRU-D00083759. Pursuant to Patent Local 12 Rule 2-2, this production includes documents designated as "CONFIDENTIAL," "HIGHLY 13 CONFIDENTIAL - ATTORNEYS' EYES ONLY," or "HIGHLY CONFIDENTIAL - SOURCE 14 15 CODE" under the Northern District of California Patent Local Rule 2-2 Interim Model Protective Order. Intertrust makes this production subject to, and without waiving the applicability of, any 16 17 relevant sections of any future Protective Order and/or ESI Order entered in this case. As 18 discovery has just begun and Intertrust's search for documents is ongoing, Intertrust reserves the 19 right to supplement this production as more information is ascertained during discovery and/or further investigation. 20

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### (c) Patent Local Rule 3-2(c) — File History

Documents corresponding to Patent Local Rule 3-2(c) have been produced under the
 following Bates Numbers: INTRU-D00069077 – INTRU-D00083759 and INTRU-D00035384 –
 INTRU-D00038392.

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#### (d) Patent Local Rule 3-2(d) — Ownership

Documents corresponding to Patent Local Rule 3-2(d) have been produced under the
following Bates Numbers: INTRU-D00083763 – INTRU-D00083804. As discovery has just

- <u>18</u> - Case No. 3:19-CV-03371-EMC INTERTRUST'S PATENT LOCAL RULE 3-1 & 3-2 DISCLOSURES begun and Intertrust's search for documents is ongoing, Intertrust reserves the right to supplement
 this production as more information is ascertained during discovery and/or further investigation.

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**(e)** 

### Patent Local Rule 3-2(e) — Intertrust Instrumentalities

Intertrust is not presently relying on any assertion that its own or its licensees' apparatus,
product, device, process, method, act, or other instrumentality practices the claimed inventions.

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## (f) Patent Local Rule 3-2(f) — Agreements Transferring an Interest

7 Documents corresponding to Patent Local Rule 3-2(f) have been produced under the following Bates Numbers: INTRU-D00087190 - INTRU-D00087305, INTRU-D00087313 -8 INTRU-D00087349, INTRU-D00087364 - INTRU-D00087471, INTRU-D00087474 - INTRU-9 D00087496, INTRU-D00087507 - INTRU-D00087812, INTRU-D00088147 - INTRU-10 D00088174. Pursuant to Patent Local Rule 2-2, this production includes documents designated as 11 "CONFIDENTIAL," "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY," or 12 "HIGHLY CONFIDENTIAL – SOURCE CODE" under the Northern District of California Patent 13 14 Local Rule 2-2 Interim Model Protective Order. Intertrust makes this production subject to, and 15 without waiving the applicability of, any relevant sections of any future Protective Order and/or ESI Order entered in this case. Intertrust possesses additional documents corresponding to Patent 16 17 Local Rule 3-2(f) that Intertrust will produce after obtaining necessary consent from third parties 18 or pursuant to Section 11(b) of the Northern District of California Patent Local Rule 2-2 Interim 19



As discovery has just begun and Intertrust's search for documents is ongoing, Intertrust reserves the right to supplement this production as more information is ascertained during discovery and/or further investigation.

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### (g) Patent Local Rule 3-2(g) — Comparable Agreements

5 Documents corresponding to Patent Local Rule 3-2(g) have been produced under the 6 following Bates Numbers: INTRU-D00087190 - INTRU-D00087305, INTRU-D00087313 -7 INTRU-D00087349, INTRU-D00087364 - INTRU-D00087471, INTRU-D00087474 - INTRU-D00087496, INTRU-D00087507 – INTRU-D00087812, INTRU-D00088147 – INTRU-8 D00088174. Pursuant to Patent Local Rule 2-2, this production includes documents designated as 9 "CONFIDENTIAL," "HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY," or 10 "HIGHLY CONFIDENTIAL – SOURCE CODE" under the Northern District of California Patent 11 Local Rule 2-2 Interim Model Protective Order. Intertrust makes this production subject to, and 12 without waiving the applicability of, any relevant sections of any future Protective Order and/or 13 14 ESI Order entered in this case. Intertrust possesses additional documents corresponding to Patent 15 Local Rule 3-2(g) that Intertrust will produce after obtaining necessary consent from third parties or pursuant to Section 11(b) of the Northern District of California Patent Local Rule 2-2 Interim 16 17 Model Protective Order. Such documents include

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27	By producing and identifying
28	these documents, Intertrust does not take any position or make any contention or admission
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	INTERTRUST'S PATENT LOCAL RULE 3-1 & 3-2 DISCLOSURES

regarding the comparability or relevance of any other license to the hypothetical license construct
 for purposes of assessing damages for Dolby's infringement of the Asserted Patents. As discovery
 has just begun and Intertrust's search for documents is ongoing, Intertrust reserves the right to
 supplement this production as more information is ascertained during discovery and/or further
 investigation.

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#### (h) Patent Local Rule 3-2(h) — Agreements Supporting Damages Case

7 Documents corresponding to Patent Local Rule 3-2(h) have been produced under the 8 following Bates Numbers: INTRU-D00087190 - INTRU-D00087305, INTRU-D00087313 -INTRU-D00087349, INTRU-D00087364 - INTRU-D00087471, INTRU-D00087474 - INTRU-9 D00087496, INTRU-D00087507 - INTRU-D00087812, INTRU-D00088147 - INTRU-10 D00088174. Pursuant to Patent Local Rule 2-2, this production includes documents designated as 11 "CONFIDENTIAL," "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY," or 12 "HIGHLY CONFIDENTIAL – SOURCE CODE" under the Northern District of California Patent 13 14 Local Rule 2-2 Interim Model Protective Order. Intertrust makes this production subject to, and 15 without waiving the applicability of, any relevant sections of any future Protective Order and/or ESI Order entered in this case. Intertrust possesses additional documents corresponding to Patent 16 17 Local Rule 3-2(h) that Intertrust will produce after obtaining necessary consent from third parties 18 or pursuant to Section 11(b) of the Northern District of California Patent Local Rule 2-2 Interim 19 Model Protective Order. Such documents include



these documents, Intertrust does not take any position or make any contention or admission regarding the comparability or relevance of any other license to the hypothetical license construct for purposes of assessing damages for Dolby's infringement of the Asserted Patents. As discovery has just begun and Intertrust's search for documents is ongoing, Intertrust reserves the right to supplement this production as more information is ascertained during discovery and/or further investigation.

By producing and identifying

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## (i) Patent Local Rule 3-2(i) — Marking

9 Intertrust is not presently relying on any assertion that its own or its licensees' apparatus,
10 product, device, process, method, act, or other instrumentality practices the claimed inventions.

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### (j) Patent Local Rule 3-2(j) — F/RAND Agreements

Intertrust has not participated in DCI and has not made any FRAND or other IPR 12 commitment regarding the use of any Intertrust patents or technology in compliance with or 13 14 implementation of any of the DCI standards or specifications, and is therefore not in possession of 15 or aware of any discoverable documents responsive to PLR 3-2(j). While Intertrust has no responsive and discoverable documents relevant to any IPR commitments of any technology or 16 17 patents relevant to any of the standards or specifications potentially relevant to this case, Intertrust 18 is producing documents at INTRU-D00087821 - INTRU-D00088146, relevant to Intertrust's IPR 19 statements regarding certain standards or specifications of the following Standard Setting Organizations: HbbTV, Marlin Trust Management Organization (MTMO), Open Mobile Alliance 20 21 Ltd. (OMA), and UHD Alliance, Inc.

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1	DATED: February 27, 2020	Respectfully submitted,
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3		By: <u>/s/ Tigran Guledjian</u> Harold A. Barza
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1	CERTIFICATE OF SERVICE			
2	2 The undersigned hereby certifies that a true and co	The undersigned hereby certifies that a true and correct copy of the above and foregoing		
3	document has been served by email on February 27, 2020 on counsel of record for Dolby.			
4	4			
5	5 Dated: February 27, 2020 /s/ Richa	urd H. Doss		
6	6 Richard			
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