Filed: June 4, 2021

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UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

SOTERA WIRELESS,

Petitioner,

v.

MASIMO CORPORATION,

Patent Owner.

Case IPR2020-00954 U.S. Patent 9,788,735

PATENT OWNER'S UPDATED EXHIBIT LIST

EXHIBIT LIST

Exhibit No.	Description
2001	Masimo's Opposition to Sotera's Motion to Stay Proceedings, dated June 8, 2020, filed in <i>Masimo Corp. v. Sotera Wireless, Inc.</i> , Case No. 3:19-cv-01100-BAS-NLS (S.D. Cal.) ("District Court Action")
2002	Case Management Order Regulating Discovery and Other Pretrial Proceedings, dated December 9, 2019, filed in the District Court Action
2003	Order Granting Joint Motion to Modify Case Management Order, dated April 29, 2020, filed in the District Court Action
2004	Defendants' LPR 3.3 Invalidity Contentions, dated March 20, 2020, served in the District Court Action (without exhibits)
2005	Declaration of George E. Yanulis in Support of Petition for <i>Inter Partes</i> Review of U.S. Patent No. 9,795,300 (Exhibit 1003 in IPR2020-01515)
2006	Amended Memorandum of Decision Including Post-Trial Findings of Fact and Conclusions of Law [Redacted], filed July 18, 2017, in <i>In re: Sotera Wireless, Inc.</i> , BK No. 16-05968-LT11 (SD Cal. BK) (Exhibit 1019 in IPR2020-000967)
2007	Reserved
2008	Reserved
2009	Order Granting in Part Defendants' <i>Ex Parte</i> Motion to Modify Case Management Order, dated October 6, 2020, filed in the District Court Action
2010	Declaration of Alan L. Oslan
2011	Curriculum Vitae of Alan L. Oslan

Exhibit No.	Description
2012	Transcript of Deposition of George E. Yanulis, Ph.D., taken on January 26, 2021 in connection with IPR20200-00912, -00954, -01015, -01054
2013	Transcript of Deposition of George E. Yanulis, Ph.D., taken on January 27, 2021 in connection with IPR20200-00912, -00954, -01015, -01054
2014	Transcript of Deposition of George E. Yanulis, Ph.D., taken on February 1, 2021 in connection with IPR20200-00912, -00954, -01015, -01054
2015	Declaration of George E. Yanulis in Support of Defendants' Opening Markman Brief, filed September 22, 2020, in Masimo Corp. v. Sotera Wireless, Inc., Case No. 3:19-cv-01100-BAS- NLS (S.D. Cal.)
2016	Transcript of Deposition of George Yanulis, conducted on September 10, 2020, in Masimo Corp. v. Sotera Wireless, Inc., Case No. 3:19-cv-01100-BAS-NLS (S.D. Cal.)
2017	Excerpt of Stedman's Medical Dictionary (27th ed. 2000)
2018	Excerpt of Dorland's Illustrated Medical Dictionary (30th ed. 2003)
2019	Excerpt of Webster's New World College Dictionary (4th ed. 2001)
2020	Excerpt of Webster's II New College Dictionary (2001)
2021	Excerpt of Microsoft Computer Dictionary (5th ed. 2002)
2022	Amal Jubran, Pulse oximetry, 3 Crit Care R11 (1999)
2023	Joseph F. Kelleher, Pulse Oximetry, 5 J Clin Monit 37 (1989)

Exhibit No.	Description
2024	Allison Rhodes Westover et al., Human Factors Analysis of an ICU, 23 J Clin Eng 110 (1998)
2025	Gianfranco Parati et al., Self blood pressure monitoring at home by wrist devices: a reliable approach?, 20 J Hypertension 573 (2002)
2026	U.S. Patent No. 4,896,676 to Sasaki
2027	Japanese Publication JP4777640B2 to Junichi and English machine translation available from Espacenet
2028	Photographs of Omron Gold Wrist Blood Pressure Monitor (Model No. BP4350) taken by Alan Oslan
2029	Larry Hardesty, Clothed in Health, MIT Technology Review (July 1, 2001) (https://www.technologyreview.com/2001/07/01/235697/clothed-in-health) (last accessed February 17, 2021)
2030	Datex AS/3 TM Anesthesia Monitor Operator's Manual (1995)
2031	Claire C. Gordon et al., 2012 Anthropometric Survey of U.S. Army Personnel: Methods and Summary Statistics, U.S. Army Natick Soldier Research (2014);
2032	Printouts from apple.com and samsung.com websites showing common smartphone specifications
2033	Siegfried Kästle et al., A New Family of Sensors for Pulse Oximetry, Hewlett-Packard Journal (February 1997)
2034	Amazon listing for Portzon Set of 2 Neoprene Dumbbell Hand Weights, Anti-Slip, Anti-roll
2035	Design of Pulse Oximeters, JG Webster, ed., IOP Publishing, Bristol, UK (1997).

Exhibit No.	Description
2036	Japanese Publication JP4777640B2 to Junichi – Certified English translation (Served only on 3/12/2021)
2037	Transcript of June 3, 2021 Conference Call with the Board

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: June 4, 2021 /Sheila N. Swaroop/

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CERTIFICATE OF SERVICE

I hereby certify that, pursuant to 37 C.F.R. § 42.6(e) and with the agreement of counsel for Petitioner, a true and correct copy of **PATENT OWNER'S UPDATED EXHIBIT LIST AND EXHIBIT 2037** are being served electronically on June 4, 2021, to the e-mail addresses shown below:

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