

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

ACTIV FINANCIAL SYSTEMS, INC.,

Petitioner,

v.

EXEGY, INC.

Patent Owner.

Case No. IPR2020-01010
U.S. Patent No. 10,062,115

PATENT OWNER'S AMENDED MANDATORY NOTICES

As required by 37 C.F.R. § 42.8

Patent Owner's Amended Mandatory Notices

Pursuant to 37 C.F.R. § 42.8, the following Amended Mandatory Notices are in response to the Petition for *Inter Partes* Review of U.S. Patent No. 10,062,115 (hereinafter “the ‘115 patent”).

A. Real Party-In-Interest

In accordance with 37 C.F.R. § 42.8(b)(1), the real party-in-interest is Exegy, Inc. (“Patent Owner”). Former real party-in-interest, IP Reservoir, LLC, has assigned the entire right, title, and interest in the ‘115 patent to Exegy, Inc.

B. Notice of Related Matters

In accordance with 37 C.F.R. § 42.8(b)(2), Patent Owner filed a First Amended Complaint alleging infringement of the ‘115 patent against Petitioner on August 29, 2019, in the United States District Court for the Northern District of Illinois. *Exegy Incorporated et al. v. ACTIV Financial Systems, Inc.*, No. 1:19-CV-02858.

C. Designation of Lead and Backup Counsel

LEAD COUNSEL	BACKUP COUNSEL
Matthew L. Cutler HARNES DICKY & PIERCE, P.L.C. 7700 Bonhomme Ave., Suite 400 St. Louis, MO 63105 Phone: 314-726-7500 Fax: 314-726-7501 email: mcutler@hdp.com USPTO Reg. No. 43,574	James. B. Luchsinger HARNES DICKY & PIERCE PLC 5445 Corporate Dr., Suite 200 Troy, MI 48098 Phone: 248-641-1600 Fax: 248-641-0270 email: bluchsinger@hdp.com USPTO Reg. No. 73,705

Patent Owner's Amended Mandatory Notices

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D. Service of Information

Service of any documents via hand-delivery may be made at the postal mailing address of the respective lead and back-up counsel designated above.

Patent Owner consents to electronic service at the e-mail addresses listed above.

Dated: February 16, 2021

Respectfully submitted,

By: /Matthew L. Cutler/
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Attorneys for Exegy, Inc.

CERTIFICATE OF SERVICE

Pursuant to 37 C.F.R. 42.6(e), I certify that on this 16th day of February, 2021, a true and correct copy of the foregoing **Patent Owner's Amended Mandatory Notices Under 37 C.F.R. § 42.8** was served by electronic mail on Petitioner's lead and backup counsel at the following addresses:

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