

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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DROPWORKS, INC.,  
Petitioner,

v.

THE UNIVERSITY OF CHICAGO,  
Patent Owner.

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Case IPR2021-00100  
Patent No. 8,304,193

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**PETITIONER'S MANDATORY CHANGE-OF-INFORMATION NOTICES**

Pursuant to 37 C.F.R. § 42.8, the undersigned on behalf of and acting in a representative capacity for Petitioner Dropworks, Inc., hereby submits the following mandatory change-of-information notices in connection with the petitions for *inter partes* review, Case No. IPR2021-00100.

**A. Real Party-In-Interest - Unchanged**

**B. Related Matters - Revised**

Bio-Rad asserted the '193 patent in *Bio-Rad Labs., Inc. et al. v. Dropworks, Inc.*, Case No. 1:20-cv-00506-UNA (D. Del.). The Complaint was filed on April 14, 2020, and served no earlier than May 6, 2020. Bio-Rad identified the asserted claims of the '310 patent on November 4, 2020. The case was dismissed on October 18, 2021. Dropworks filed IPR petitions challenging two other patents asserted against it in that same litigation. These petitions are found in IPR Nos. 2021-00212, and 2021-00218.

On February 15, 2015, Patent Owner asserted the '193 patent against 10X Genomics, Inc., together with U.S. Patent Nos. 8,273,573; 8,329,407; 8,822,148; 8,889,083; and 7,129,091. 10X Genomics, Inc., an unrelated party, filed an IPR petition challenging claims 1-9 and 11 of the '193 patent in IPR2015-01163. The Board denied institution on November 16, 2015. 10X Genomics also filed IPR petitions challenging claims of the '573, '407, and '148 patents in IPR Nos. 2015-01162, 2015-01158, and 2015-1156, each of which was denied institution. 10X

Genomics filed an IPR petition challenging claims of the '083 patent in IPR No. 2015-01157, which resulted in a final written decision not finding the challenged claims unpatentable.

On November 3, 2017, 10X Genomics requested *ex parte* reexamination of claims 1-14 of the '193 patent, Control No. 90/014,043. A reexamination certificate issued on October 31, 2018, confirming the patentability of the claims.

**C. Lead and Back-up Counsel - Unchanged**

**D. Electronic Service - Unchanged**

Respectfully submitted,

Date: November 10, 2021

/ Michael T. Rosato /  
Michael T. Rosato, Lead Counsel  
Reg. No. 52,182

## **CERTIFICATE OF SERVICE**

The undersigned certifies that the foregoing Petitioner's Mandatory Change-of-Information Notices was served on November 10, 2021, on Patent Owner at the following electronic service addresses:

Derek C. Walter  
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Date: November 10, 2021

/ Michael T. Rosato /  
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Michael T. Rosato, Lead Counsel  
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