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REISSUE PATENT APPLICATION TRANSMITTAL						
Address to:	Attorney Docket No.		ACQI-006/09US 310578-2079			
Mail Stop Reissue	First Named Inventor		William W. Y. Chu			
Commissioner for Patents	Original Patent Numb	er	6,643,777			
P.O. Box 1450 Alexandria, VA 22313-1450	Original Patent Issue [(Month/Day/Year)	Date	November 4, 2003			
	Express Mail Label No					
APPLICATION FOR REISSUE OF:						
(Check applicable box)	Utility Patent	:	Design Patent Plant Patent			
APPLICATION ELEMENTS (37 CFR	1.173)		ACCOMPANYING APPLICATION PARTS			
1. Fee Transmittal Form (PTO/SB/56)		11.				
2 Applicant asserts small entity status. See	37 CFR 1.27.	12.	claims. See 37 CFR 1.173(c). Power of Attorney			
3. Applicant certifies micro entity status. See Applicant must attach form PTO/SB/15A or B			Information Disclosure Statement (IDS)			
4. Specification and Claims in double column c (amended, if appropriate)	opy of patent format	20.	PTO/SB/08 or PTO-1449 Copies of citations attached			
5. Drawing(s) (proposed amendments, if app	ropriate)	14.				
6. Reissue Oath/Declaration or Substitute St (37 C.F.R. 1.175) (PTO/AIA/05, 06 or 07)	tatement	15	(if applicable) Preliminary Amendment			
	laims under 37 CFR 1.78 UST be set forth in an	15. 16.				
8. Original U.S. Patent currently assigned? (If Yes, check applicable box(es))	Yes No	17.				
Written Consent of all Assignees (PTC 37 CFR 3.73(c) Statement (PTO/SB/9						
9. CD-ROM or CD-R in duplicate, Computer Prog	ram (Appendix) or large					
Landscape Table on CD						
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DATA SECURITY METHOD AND DEVICE FOR COMPUTER MODULES

BACKGROUND OF THE INVENTION

The present invention relates to computing devices. More particularly, the present invention provides a method and device for securing a personal computer or set-top box. Merely by way of example, the present invention is applied to a modular computing environment for desk top computers, but it will be recognized that the invention has a much wider range of applicability. It can be applied to other portable or modular computing applications.

Many desktop or personal computers, which are commonly termed PCs, have been around and used for over ten years. The PCs often come with state-of-art microprocessors such as the Intel Pentium™ microprocessor chips. They also include a hard or fixed disk drive including memory in the giga-byte range. Additionally, the PCs often include a random access memory integrated circuit device such as a dynamic random access memory device, which is commonly termed DRAM. The DRAM devices now provide up to millions of memory cells (i.e., mega-bit) on a single slice of silicon. PCs also include a high resolution display such as cathode ray tubes or CRTs. In most cases, the CRTs are at least 15 inches or 17 inches or 19 inches in diameter. High resolution flat panel displays are also used with PCs.

Many external or peripheral devices can be used with the PCs. Among others, these peripheral devices include mass storage devices such as a Zip™ Drive product sold by Iomega Corporation of Utah. Other storage devices include external hard drives, tape drives, and others. Additional devices include communication devices such as a modem, which can be used to link the PC to a wide area network of computers such as the Internet. Furthermore, the PC can include output devices such as a printer and other output means. Moreover, the PC can include special audio output devices such as speakers the like.

PCs also have easy to use keyboards, mouse input devices, and the like. The keyboard is generally configured similar to a typewriter format. The keyboard also has the length and width for easily inputting information by way of keys to the computer. The mouse also has a sufficient size and shape to easily move a cursor on the display from one location to another location. 45

Other types of computing devices include portable computing devices such as "laptop" computers and the like. Although somewhat successful, laptop computers have many limitations. These computing devices have expensive display technology. In fact, these devices often have a smaller flat panel display that has poor viewing characteristics. Additionally, these devices also have poor input devices such as smaller keyboards and the like. Furthermore, these devices have limited common platforms to transfer information to and from these devices and other devices such as PCs.

Up to now, there has been little common ground between these platforms including the PCs and laptops in terms of upgrading, ease-of-use, cost, performance, and the like. 60 Many differences between these platforms, probably somewhat intentional, has benefited computer manufacturers at the cost of consumers. A drawback to having two separate computers is that the user must often purchase both the desktop and laptop to have "total" computing power, where 65 the desktop serves as a "regular" computer and the laptop serves as a "portable" computer. Purchasing both computers

is often costly and runs "thousands" of dollars. The user also wastes a significant amount of time transferring software and data between the two types of computers. For example, the user must often couple the portable computer to a local area network (i.e., LAN), to a serial port with a modem and then manually transfer over files and data between the desktop and the portable computer. Alternatively, the user often must use floppy disks to "zip" up files and programs that exceed the storage capacity of conventional floppy disks, and transfer the floppy disk data manually.

Another drawback with the current model of separate portable and desktop computer is that the user has to spend money to buy components and peripherals the are duplicated in at least one of these computers. For example, both the desktop and portable computers typically include hard disk drives, floppy drives, CD-ROMs, computer memory, host processors, graphics accelerators, and the like. Because program software and supporting programs generally must be installed upon both hard drives in order for the user to operate programs on the road and in the office, hard disk space is often wasted.

One approach to reduce some of these drawbacks has been the use of a docking station with a portable computer. Here, the user has the portable computer for "on the road" use and a docking station that houses the portable computer for office use. The docking station typically includes a separate monitor, keyboard, mouse, and the like and is generally incompatible with other desktop PCs. The docking station is also generally not compatible with portable computers of other vendors. Another drawback to this approach is that the portable computer typically has lower performance and functionality than a conventional desktop PC. For example, the processor of the portable is typically much slower than processors in dedicated desktop computers, because of power consumption and heat dissipation concerns. As an example, it is noted that at the time of drafting of the present application, some top-of-the-line desktops include 400 MHz processors, whereas top-of-the-line notebook computers include 266 MHz processors.

Another drawback to the docking station approach is that the typical cost of portable computers with docking stations can approach the cost of having a separate portable computer and a separate desktop computer. Further, as noted above, because different vendors of portable computers have proprietary docking stations, computer users are held captive by their investments and must rely upon the particular computer vendor for future upgrades, support, and the like.

To date, most personal computers provide data file security through software only. A wide variety of removable storage media are available for a personal computer. These removable media do not provide any access security protection in hardware. Data encryption program often must be used for protection. Such program is cumbersome to handle for the user requiring extra cost and time. Data encryption is more commonly used for communication over an unprotected network or the Internet. Having a large number of frequently used files managed by encryption software is not practical. Without software security program, any file can be read and copied illegally from a hard disk drive on a PC or any removable media.

PC architecture generally allows freedom of data flow between memory and peripheral devices within the allowed memory and I/O address spaces. In conventional PC architecture, a peripheral bus, i.e. PCI bus, is used to control all data transactions among peripheral devices. PCI bus allows any device to be a bus master and perform data

transaction with another device. Also when a software program is in control, it can move data between any two devices. There is no hardware or protocol security mechanism on a standard peripheral bus such as PCI Bus to detect or block data transactions. Operating system may have 5 individual files read or write protected. These types of special security feature require significant additional user interaction to control. This is too cumbersome for a typical user to manage. There is no mechanism in current PCs to allow access to the primary hard disk drive and yet prevent 10 copying of its content. The conventional PC is a single machine that does not have a mechanism to perform security ID matching in hardware.

Thus, what is needed are computer systems that provide improved security features to prevent illegal or unauthorized 15 access to information.

SUMMARY OF THE INVENTION

According to the present invention, a technique including a method and device for securing a computer module in a computer system is provided. In an exemplary embodiment, the present invention provides a security system for an attached computer module ("ACM"). In an embodiment, the ACM inserts into a computer module bay (CMB) within a peripheral console to form a functional computer. A security program reads an identification number in a security memory device to determine a security level of the ACM according to one embodiment.

In a specific embodiment, the present invention provides a system for secured information transactions. The system has a console (e.g., computer housing) comprising a peripheral controller housed in the console; and a security memory device (e.g., flash memory device) coupled to the peripheral controller. The system also has an attached computer module (i.e., a removable module with memory and microprocessor) coupled to the console. The attached computer module has a host interface controller housed within the attached computer module to interface to the security memory device through the peripheral controller.

In an alternative embodiment, the present invention provides a security protection method for a computer module. The method includes steps or acts of inserting the computer module into a console. Once the module has been inserted, the method initiates a security program in the module to read 45 a security identification of the console and to read a security identification of the computer module. Based upon a relationship of the console identification and the computer module identification, a predetermined security status is determined from, for example, a look up table or the like. The method then selects the predetermined security status, which can be one of many. The method then operates the computer module based upon the security status.

In a further alternative embodiment, the present invention module. The method includes inserting a computer module into a console; and initiating a security program in memory of the computer module. The method prompts a plurality of input fields corresponding to respective input information on a user interface to be provided by a user of the computer 60 module. Next, the method inputs the input information into the user interface of the computer module. The input information includes a user (e.g., owner) name, a user (e.g., owner) password, a business name, a business password, and a location.

Still further, the present invention provides a system for secured information transactions, e.g., data security, elec-

tronic commerce, private communications. The system includes a console comprising a peripheral controller housed in the console. A user identification input device (e.g., keyboard, retinal reader, finger print reader, voice recognition unit) is coupled to the peripheral controller. The user identification input device is provided for user identification data of the user. The system has an attached computer module coupled to the console. The attached computer module has a security memory device (e.g., flash memory device) stored with the user identification data.

Numerous benefits are achieved using the present invention over previously existing techniques. The present invention provides mechanical and electrical security systems to prevent theft or unauthorized use of the computer system in a specific embodiment. Additionally, the present invention substantially prevents accidental removal of the ACM from the console. In some embodiments, the present invention prevents illegal or unauthorized use during transit. The present invention is also implemented using conventional technologies that can be provided in the present computer system in an easy and efficient manner. Depending upon the embodiment, one or more of these benefits can be available. These and other advantages or benefits are described throughout the present specification and are described more particularly below.

These and other embodiments of the present invention, as well as its advantages and features, are described in more detail in conjunction with the text below and attached FIGS.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a simplified diagram of a computer system according to an embodiment of the present invention;

FIG. 2 is a simplified diagram of a computer module according to an embodiment of the present invention;

FIG. 3 is a simplified top-view diagram of a computer module according to an embodiment of the present inven-

FIG. 4 is a simplified illustration of security systems according to embodiments of the present invention;

FIG. 5 is a simplified diagram of a computer module in a console according to an embodiment of the present inven-

FIG. 6 is a simplified diagram of a security method for a module according to an embodiment of the present invention; and

FIG. 7 is a simplified diagram of a method according to an embodiment of the present invention.

FIG. 8 is a simplified diagram of a system 800 according to an alternative embodiment of the present application.

DESCRIPTION OF THE SPECIFIC **EMBODIMENTS**

FIG. 1 is a simplified diagram of a computer system 1 provides a method for identifying a user for a computer 55 according to an embodiment of the present invention. This diagram is merely an illustration and should not limit the scope of the claims herein. One of ordinary skill in the art would recognize other variations, modifications, and alternatives. The computer system 1 includes an attached computer module (i.e., ACM) 10, a desktop console 20, among other elements. The computer system is modular and has a variety of components that are removable. Some of these components (or modules) can be used in different computers, workstations, computerized television sets, and portable or laptop units.

In the present embodiment, ACM 10 includes computer components, as will be described below, including a central

processing unit ("CPU"), IDE controller, hard disk drive, computer memory, and the like. The computer module bay (i.e., CMB) 40 is an opening or slot in the desktop console. The CMB houses the ACM and provides communication to and from the ACM. The CMB also provides mechanical protection and support to ACM 10. The CMB has a mechanical alignment mechanism for mating a portion of the ACM to the console. The CMB further has thermal heat dissipation sinks, electrical connection mechanisms, and the like. Some details of the ACM can be found in co-pending U.S. patent application Ser. Nos. 09/149,882 and 09/149,548 filed Sep. 8, 1998 commonly assigned, and hereby incorporated by reference for all purposes.

In a preferred embodiment, the present system has a security system, which includes a mechanical locking system, an electrical locking system, and others. The mechanical locking system includes at least a key 11. The key 11 mates with key hole 13 in a lock, which provides a mechanical latch 15 in a closed position. The mechanical latch, in the closed position, mates and interlocks the ACM to the computer module bay. The mechanical latch, which also has an open position, allows the ACM to be removed from the computer module bay. Further details of the mechanical locking system are shown in the FIG. below.

FIG. 2 is a simplified diagram of a computer module 10_{25} according to an embodiment of the present invention. This diagram is merely an illustration and should not limit the scope of the claims herein. One of ordinary skill in the art would recognize other variations, modifications, and alternatives. Some of the reference numerals are similar to the previous FIG. for easy reading. The computer module 10 includes key 11, which is insertable into keyhole 13 of the lock. The lock has at least two position, including a latched or closed position and an unlatched or open position. The latched position secures the ACM to the computer module 35 bay. The unlatched or open position allows the ACM to be inserted into or removed from the computer bay module. As shown, the ACM also has a slot or opening 14, which allows the latch to move into and out of the ACM. The ACM also has openings 17 in the backside for an electrical and/or 40 mechanical connection to the computer module bay, which is connected to the console.

FIG. 3 is a simplified top-view diagram 10 of a computer module for computer system according to an embodiment of the present invention. This diagram is merely an illustration 45 and should not limit the scope of the claims herein. One of ordinary skill in the art would recognize other variations, modifications, and alternatives. The layout diagram illustrates the top-view of the module 10, where the backside components (e.g., Host Interface Controller) are depicted in 50 dashed lines. The layout diagram has a first portion, which includes a central processing unit ("CPU") module 400, and a second portion, which includes a hard drive module 420. A common printed circuit board 437 houses these modules and the like. Among other features, the ACM includes the $\,$ 55 central processing unit module 400 with a cache memory 405, which is coupled to a north bridge unit 421, and a host interface controller 401. The host interface controller includes a lock control 403. As shown, the CPU module is disposed on a first portion of the attached computer module, 60 and couples to connectors 17. Here, the CPU module is spatially located near connector 17.

The CPU module can use a suitable microprocessing unit, microcontroller, digital signal processor, and the like. In a specific embodiment, the CPU module uses, for example, a 65 400 MHz Pentium II microprocessor module from Intel Corporation and like microprocessors from AMD

6

Corporation, Cyrix Corporation (now National Semiconductor Corporation), and others. In other aspects, the microprocessor can be one such as the Compaq Computer Corporation Alpha Chip, Apple Computer Corporation PowerPC G3 processor, and the like. Further, higher speed processors are contemplated in other embodiments as technology increases in the future.

In the CPU module, host interface controller 401 is coupled to BIOS/flash memory 405. Additionally, the host interface controller is coupled to a clock control logic, a configuration signal, and a peripheral bus. The present invention has a host interface controller that has lock control 403 to provide security features to the present ACM. Furthermore, the present invention uses a flash memory that includes codes to provide password protection or other electronic security methods.

The second portion of the attached computer module has the hard drive module 420. Among other elements, the hard drive module includes north bridge 421, graphics accelerator 423, graphics memory 425, a power controller 427, an IDE controller 429, and other components. Adjacent to and in parallel alignment with the hard drive module is a personal computer interface ("PCI") bus 431, 432. A power regulator 435 is disposed near the PCI bus.

In a specific embodiment, north bridge unit 421 often couples to a computer memory, to the graphics accelerator 423, to the IDE controller, and to the host interface controller via the PCI bus. Graphics accelerator 423 typically couples to a graphics memory 423, and other elements. IDE controller 429 generally supports and provides timing signals necessary for the IDE bus. In the present embodiment, the IDE controller is embodied as a 643U2 PCI-to IDE chip from CMD Technology, for example. Other types of buses than IDE are contemplated, for example EIDE, SCSI, USB, and the like in alternative embodiments of the present invention.

The hard drive module or mass storage unit 420 typically includes a computer operating system, application software program files, data files, and the like. In a specific embodiment, the computer operating system may be the Windows98 operating system from Microsoft Corporation of Redmond Washington. Other operating systems, such as WindowsNT, MacOS8, Unix, and the like are also contemplated in alternative embodiments of the present invention. Further, some typical application software programs can include Office98 by Microsoft Corporation, Corel Perfect Suite by Corel, and others. Hard disk module 420 includes a hard disk drive. The hard disk drive, however, can also be replaced by removable hard disk drives, read/write CD ROMs, flash memory, floppy disk drives, and the like. A small form factor, for example 2.5", is currently contemplated, however, other form factors, such as PC card, and the like are also contemplated. Mass storage unit 240 may also support other interfaces than IDE.

In a specific embodiment, the present invention provides a file and data protection security system and method for a removable computer module or ACM. ACM contains the primary hard disk drive (HDD) where the operating system, application programs, and data files reside. The security system is used to prevent illegal access and copying of any file residing on the HDD inside ACM. An ACM is a self-contained computing device that can be armed with security software and hardware to protect its owner's private files and data. ACM docks with a computer bay in a wide variety of peripheral consoles. The combined ACM and peripheral console function as a personal computer. A com-

puter module interface bus connects ACM and peripheral device. In some embodiments, all ACM data passes through computer module interface (CMI) bus to reach any device in the peripheral console, i.e. floppy drive, removable media, secondary hard disk drive, modem, and others. CMI bus data transfer is controlled by a pair of interface controllers on either side of the bus. This partitioning of a personal computer offer a way of protecting against illegal access of data residing within ACM by guarding data transaction through the computer module interface bus.

In a specific embodiment, a secured ACM has an enclosure that includes the following components:

- 1) ACPU,
- 2) Main memory,
- 3) A primary Hard Disk Drive (HDD),
- Operating System, application software, data files on primary HDD,
- 5) Interface circuitry and connectors to peripheral console,
- 6) Flash memory used for storing security code and ID,
- Data detection and control circuitry to manage data flow to peripheral console,
- 8) Circuit board connecting the above components, and others.

A peripheral console includes some of the following elements:

- 1) Input means, e.g. keyboard and mouse,
- Display means, e.g. CRT monitor, or integrated LCD display,
- Removable storage media subsystem, e.g. Floppy drive, CDROM drive,
- 4) Communication device, e.g. LAN or modem,
- 5) Computer Module Bay, interface device and connectors to ACM,
- 6) Flash memory with security ID,
- 7) Power supply or battery system, and other devices.

The Computer Module Bay (CMB) is an opening in a peripheral console that receives ACM. CMB provides mechanical protection and electrical connection to ACM. The Computer Module Interface bus is made up of 3 bus components: video bus, peripheral data bus, and power bus. Video Bus consists of video output of graphics devices, i.e. analog RGB and control signals for monitor, or digital video signals to drive flat panel displays. Power bus supplies the power for ACM. Peripheral data bus is a high speed, compressed, peripheral bridge bus managed by a Host Interface Controller in ACM and a peripheral Interface Controller in peripheral console. In some embodiments, all peripheral data transaction passes through the interface controllers.

The implementation of the secured ACM generally includes the following elements:

- A programmable Flash memory controlled by the Peripheral Interface Controller containing the security ID for the peripheral console,
- A programmable Flash memory controlled by the Host Interface Controller containing hardware specific security code and ID for the computer module,
- A data detection and control circuitry within Host Interface Controller to detect and manage data going out of ACM, and
- A low level hardware dependent security code to 65 perform security ID matching, hardware programming to manage data flow,

5) A high-level security program to manage user interface, program security ID, program security level, and other functions.

The hardware and software implementation allow more flexibility in the level of security protection offered to an ACM owner. Some examples of security levels are:

- No access—Security IDs do not match according to owner's requirement. The Host Interface Controller blocks all peripheral data traffic between ACM and peripheral console except for keyboard and mouse,
- Peripheral Read-only—No files can be written to any peripheral devices. All peripheral devices in peripheral console are managed as Read-only devices. The primary hard disk drive in ACM can be accessed freely,
- 3) Limited access—Certain peripheral devices are allowed read/write access, i.e. modem, and other devices are Read-only, i.e. removable media devices,
- 4) Full access—No restriction, and others.

Upon power up, the low level security code is executed to compare security ID between the respective flash memory between ACM and peripheral console. Typical security ID can include:

- 1) User ID
- 2) User password
- 3) User Access privilege
- 4) Business ID
- 5) Business password
- 6) Equipment ID

7) Equipment access privilege, and any other security IDs. The user through the security program can activate different levels of password protection, which can be stored in a look up table. The company through the security program can control different levels of access privilege of a user, a business group, or equipment. The security code then program the security level allowed by the access privilege determined by the security ID matching result. For example, if an unidentified peripheral console is detected upon power up by the low level security code, e.g. a home unit, the access privilege can set to Peripheral Read-only. With Readonly access privilege for all peripheral devices in peripheral console, the data detection and control circuitry is programmed to monitor all data traffic going to the peripheral console. Any memory block transfer to peripheral console will be detected and blocked. Under this mode, a user can use the computer with free access to the primary HDD in ACM. Any files can be read from other storage media in the peripheral console. But no files from the primary HDD can be copied to another media.

The data detection circuitry separately monitors peripheral bus operation type and memory address range being accessed. A specific address range for memory accesses and for I/O accesses can be programmed for the data detection circuitry to flag a match. A data blocking circuitry is triggered by the detection circuitry when a match occurs, and blank out the data that is being sent to the peripheral console. For the security system to be effective, a temper resistant enclosure must be used to prevent removal of the hard disk drive and the flash memory inside ACM. Further details are shown throughout the present specification and more particularly below.

FIG. 4 is a simplified illustration of security systems 300 according to embodiments of the present invention. This illustration is merely an example, which should not limit the scope of the claims herein. One of ordinary skill in the art would recognize other variations, modifications, and alter-

natives. The systems show various examples of ways to implement the present invention. Here, a user relies upon certain consoles to access information. A company's shared portable console 325 can access general company information 303. Selected security identification information 315 is entered into the shared console to access the information via a network. The information generally includes owner, owner password, business, business password, console type, location, and access privilege information, which is displayed on a user display. The owner is generally the user name. Owner password is the user password. The business is the business unit name and business password is the business unit password. The console type can be portable for laptops, notebooks, and the like. Alternatively, the console type can be a desktop. The location generally specifies the desktop location or address for a networked system. 15 Alternatively, the location can also be a home location. Access privilege can be categorized into many different levels. For example, the user can access general company information, but not information directed to other business units. The user can also be limited to access his/her private 20 information, which is company related. Many other types of information can be restricted or accessed depending upon the embodiment.

Other types of access can be granted depending upon the consoles. For example, various consoles include, among 25 others, a console at a user's home, e.g., "John Doe's," a console in the user's office 329, a console in a co-worker's office 331, which the user can access. The access from John Doe's home console uses security identification 317 and provides restricted access 305. The user's use of the module 30 307 can be from a variety of consoles and is accessed using security identification 319. Here, access privilege is private, which allows the user to access private personal information or private company information that the user has created. The user's access from his office relies upon security iden- 35 tification 321, which grants access to private information and general company information. The co-worker's console can also be used with security identification 323, which allows the user to access general company information but not private information of John Doe, for example. Depend- 40 ing upon the console used by the user, the security system can provide partial or full access to information on servers via network as well as an attached computer module. Information can also be limited to read only for certain information sources such as a server, a hard drive, a floppy drive, and 45 others.

In a specific embodiment, the present invention also provides a security feature for the ACM 307. Here, the user of the ACM can be granted access to information in the ACM if the correct security identification information 319 is 50 provided to the combination of ACM and console. Once the correct information is provided, the user can access the information on the hard drive of the ACM, which can be for private use. Other levels of access and security can also be provided depending upon the application.

FIG. 5 is a simplified diagram 500 of a computer module in a console according to an embodiment of the present invention. This diagram is merely an illustration which should not limit the scope of the claims herein. One of ordinary skill in the art would recognize other variations, 60 modifications, and alternatives. The block diagram 500 includes an attached computer module 501 and a peripheral console 503, as well as other elements as desired. These elements have a variety of features such as those noted above, as well as others. In the present diagram, different 65 reference numerals are used to show the operation of the present system.

The block diagram 500 illustrates attached computer module 501. The module 501 has a central processing unit 502, which communicates to a north bridge 541, by way of a CPU bus 527. The north bridge couples to main memory 523 via memory bus 529. The main memory can be any suitable high speed memory device or devices such as dynamic random access memory ("DRAM") integrated circuits and others. The DRAM includes at least 32 Meg. or 64 Meg. and greater of memory, but can also be less depending upon the application. Alternatively, the main memory can be coupled directly with the CPU in some embodiments. The north bridge also couples to a graphics subsystem 515 via bus 542. The graphics subsystem can include a graphics accelerator, graphics memory, and other devices. Graphics subsystem transmits a video signal to an interface connector, which couples to a display, for example.

The attached computer module also includes a primary hard disk drive 509 that serves as a main memory unit for programs and the like. The hard disk can be any suitable drive that has at least 2 GB and greater. As merely an example, the hard disk is a Marathon 2250 (2.25 GB, 2 ½ inch drive) product made by Seagate Corporation of Scotts Valley, but can be others. The hard disk communicates to the north bridge by way of a hard disk drive controller and bus lines 502 and 531. The hard disk drive controller couples to the north bridge by way of the host PCI bus 531, which connects bus 537 to the north bridge. The hard disk includes computer codes that implement a security program according to the present invention. Details of the security program are provided below.

The attached computer module also has a flash memory device 505 with a BIOS. The flash memory device 505 also has codes for a user password that can be stored in the device. The flash memory device generally permits the storage of such password without a substantial use of power, even when disconnected. As merely an example, the flash memory device has at least 512 kilobits or greater of memory, or 1 megabits or greater of memory. The flash memory device can store a security identification number or the like. The flash memory device is generally non-volatile and can preserve information even when the power is turned off, for example. The flash memory generally has at least 128 kilobits storage cells or more. The flash memory can be any product such as a W29C020 product made by a company called Winbond of Taiwan, but can also be others. The flash memory cell and user identification will be more fully described below in reference to the FIGS. A host interface controller 507 communications to the north bridge via bus 535 and host PCI bus. The host interface controller also has a data control 511. Host interface controller 507 communicates to the console using bus 513, which couples to connection 515.

Peripheral console **503** includes a variety of elements to interface to the module **501**, display **551**, and network **553**. The console forms around south bridge **571**, which couples to bus **563**, which couples to bus **561**. Bus **561** is in communication with network card **555**, which is a local area network for Ethernet, for example. South bridge also couples through control **569** to peripheral interface controller **567**, which also communicates to bus **561**. Peripheral interface controller also couples to host interface controller through connection **515** and bus **513**. The peripheral console has a primary removable drive **559** connected to south bridge through bus **575**. South bridge also couples to secondary hard disk through bus **577**.

In a specific embodiment, the peripheral console also has a serial EEPROM memory device **575**, which is coupled to

the peripheral interface controller. The memory device can store a security identification number or the like. The memory device is generally non-volatile and can preserve information even when the power is turned off, for example. The memory generally has at least 16 kilobits of storage 5 cells or more. Preferably, the memory device is a 16 kilobit device or 64 megabit device or greater, depending upon the application. The memory can be any product such as a X24320 product made by a company called Xicor, but can also be others. The memory cell and user identification will 10 be more fully described below in reference to the FIGS.

FIG. 6 is a simplified diagram of a security method 600 for a module according to an embodiment of the present invention. This diagram is merely an illustration which should not limit the scope of the claims herein. One of 15 ordinary skill in the art would recognize other variations, modifications, and alternatives. The present method shows an example of how the present security method can be implemented. The present method uses a combination of software 601 and hardware 603, which is in the computer 20 module. A plurality of external devices can be accessed depending upon the embodiment. These external devices include a secondary hard drive 618, a removable drive 619, a network (e.g., LAN, modem) device 621, and others. A keyboard 623 is also shown, which can act locally.

The software 601 includes an operating system 609, application programs 607, and a data security and initialization program 605. Other programs can also exist. Additionally, some of these programs may not exist. Preferably, the data security and initialization program exists. This data security and initialization program is initiated once the attached computer module is inserted into the console. The program interface and oversees a variety of hardware features, which will be used to control access to the external devices, for example. Of course, the particular 35 configuration of the software will depend upon the application.

Hardware features can be implemented using a primary hard disk 611 coupled to a CPU/cache combination, which includes a main memory. The main memory is often a 40 volatile memory such as dynamic random access memory. Data from any one of the external devices can enter the CPU/cache combination. For example, the secondary hard disk memory and I/O address range data is transferred 624 to the CPU/cache combination. The removable drive 45 memory and I/O address range data can also transfer 625 to the CPU/cache combination. The LAN memory and 1/0 address range data can also transfer 626 to the CPU/cache combination. Keyboard data can also transfer 627 to the CPU/cache combination. To write data from the module into 50 any one of these external elements, the data security program interfaces with the data detection and control circuit to determine of such data should be transferred to any one of the external elements. As noted, the external elements include, among others, secondary hard disk, and removable 55 drive. Here, the data security program checks the security identification number with other numbers to determine the security access level. There are many other ways that the present invention can be implemented. These methods are described more fully below.

FIG. 7 is a simplified diagram 700 of a method according to an embodiment of the present invention. This diagram is merely an illustration which should not limit the scope of the claims herein. One of ordinary skill in the art would recognize other variations, modifications, and alternatives. The 65 present method begins at power up, which is step 701. The present method reads a security code, which has been

entered by a user, for example, in step 703. The security code can be a string of characters, including numbers and letters. The security code is preferably a mixture of numbers and letters, which are at least about 6 characters in length, but is not limited.

The present method reads (step 703) the security code, which has been entered. Next, the security code is compared with a stored code, which is in flash memory or the like (step 705). If the compared code matches with the stored code, the method resumes to step 708. Alternatively, the method goes to step 707 via branch 706 where no access is granted. When no access is granted, all data are blocked out from the user that attempts to log onto the system. Alternatively, the method determines if a certain level of access is granted, step 708. Depending upon the embodiment, the present method can grant full access, step 710, via branch 716. The present method allows full access based upon information stored in the flash memory device. Alternatively, the method can allow the user to access a limited amount of information.

Here, the present method allows for at least one or more than two levels of access. In a specific embodiment, the present method allows for the user of the module to access peripheral storage (step 711). The access privilege is readonly. The user can read information on the peripheral storage including hard disks and the like. Once the user accesses the storage, the method data control, step 719, takes over, where the hardware prevents the user from accessing other information, step 721. In a specific embodiment, the method can allow information to be removed from the peripheral storage. If the method allows for data to be removed, step 723, the method goes through branch 731 to let data out, which can occur through the module. Alternatively, the method goes to block data (step 725) via branch 733. Depending upon the embodiment, the method returns to the decision block, step 723. Alternatively, the method traverses branch 714 to a peripheral read-only process, step 712. The read-only process programs data control, step 713. Next, the hardware takes over (step 715). The method blocks all data from being accessed by the user, step 717.

FIG. 8 is a simplified diagram of a system 800 according to an alternative embodiment of the present invention. This diagram is merely an example which should not limit the scope of the claims herein. One of ordinary skill in the art would recognize many other variations, modifications, and alternatives. The system 800 includes an attached computer module 801, which can be inserted into one of a plurality of console devices to create a "plug and play" operation. For example, the console device can be peripheral console 801 or peripheral console 805. Each peripheral console can have similar or different connection characteristics. Peripheral console 803 couples to a local area network using Ethernet 817. Peripheral console 805 couples to a DSL line 827 through a DSL modem 825. Other consoles can also be included to use other types of networks such as ADSL, Cable Modem, wireless, Token Ring, and the like.

As shown, the attached computer module has elements such as a memory region 807, which stores BIOS information, a security code, and a security identification number on a flash memory device or the like. The memory region couples to a central processing region 809, which can include CPU, chipset, cache memory, graphics, and a hard disk drive, as well as other features. The central processing region couples to a host interface controller, which interfaces the attached computer module to one of the peripheral consoles. Any of the above information can also be included in the attached computer module.

Each peripheral console also has a variety of elements. These elements include a region 813, 821, which has a flash

memory device with a security identification number, a password, access information, access privileges, internet service provider access information, as well as other features, which were previously noted. The peripheral console also has an interface controller 815, 823, which couples region 813, 821, respectively to a networking device 817, 825. The networking device can be an Ethernet card 817, which allows communication to the local area network 819. Alternatively, the networking device can be a DSL modem 825, which allows communication to a DSL (or ADSL) 10 phone line. Other types of networking device can also be used, depending upon the application.

Each console provides a selected connection based upon set of predefined factors. These factors include communication hardware information so that software in attached 15 computer module can read and allow a connection to a network. Here, access information can be provided to the user. Information about connection information will also be included. This connection information includes telephone numbers, account numbers, passwords (local), or a company 20 password. The console and module combination will take care of charges, etc. based upon time bases. Module will have credit card information, but will have security. In a specific embodiment, the module inserts into the console. The module then asks the console which hardware will be 25 used. If the hardware is an Ethernet connect, the module configures connection information to access the Ethernet connection. Alternatively, if the hardware requires a DSL connection, the module configures connection information to access the DSL connection. Other configuration information such as company server information, password, can also be provided.

Although the functionality above has been generally described in terms of a specific sequence of steps, other steps can also be used. Here, the steps can be implemented in a 35 combination of hardware, firmware, and software. Either of these can be further combined or even separated. Depending upon the embodiment, the functionality can be implemented in a number of different ways without departing from the spirit and scope of the claims herein. One of ordinary skill 40 in the art would recognize other variations, modifications, and alternatives.

While the above is a full description of the specific embodiments, various modifications, alternative constructions and equivalents may be used. Therefore, the above 45 description and illustrations should not be taken as limiting the scope of the present invention which is defined by the appended claims.

What is claimed is:

1. A security protection method for a computer module, 50 said method comprising:

inserting the computer module into a console;

initiating a security program in said module to read a security identification of said console and to read a security identification of said computer module;

determining of a predetermined security status based upon a relationship of said console identification and said computer module identification; selecting said predetermined security status; and operating said computer module based upon said security status.

- 2. The method of claim 1 wherein said predetermined security status disables a network access to the computer module.
- 3. The method of claim 1 wherein said predetermined security status disables a secondary storage of information from said computer module to substantially prevent information to be transferred from a memory of the computer module to said secondary storage.
- **4**. The method of claim **1** wherein said security program is provided in a system BIOS.
- 5. The method of claim 1 wherein said step of initiating reads said security identification of said computer module from a flash memory device.
- 6. The method of claim 1 wherein said step of initiating reads said security identification of said console from a flash memory device.
- 7. The method of claim 1 wherein said console is selected from a desktop home computing device, an office desktop computing device, a mobile computing device, a television sot-top computing device, and a co-worker's computing device.
- **8**. A system for secured information transactions, the system comprising:
 - a console comprising a peripheral controller housed in the console:
 - a user identification input device coupled to the peripheral controller, the user identification input device being provided for user identification data; and
 - an attached computer module coupled to the console, the attached computer module comprising a security memory device stored with the user identification data.
- 9. The system of claim 8 wherein the user identification input device is a finger print reader.
- 10. The system of claim 8 wherein the user identification input device is a voice processing device.
- 11. A method for operating a module computer into one of a plurality of network systems, the method comprising:
 - providing a computer module, the module comprising a connection program;
 - inserting the computer module into a computer console, the computer console having access to a network;
 - receiving connection information from the computer console:
 - configuring the connection program to adapt to the connection information; and
 - establish a connection between the computer module and a server coupled to the network.
- 12. The method of claim 11 wherein the connection information comprises a connection protocol for providing the connection.
- 13. The method of claim 12 wherein the connection protocol is selected from TCP/IP, or mobile IP.

* * * * *



US006643777B1

(12) United States Patent Chu

(10) Patent No.: US 6,643,777 B1

(45) Date of Patent:	Nov. 4, 2003
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(54) DATA SECURITY METHOD AND DEVICE FOR COMPUTER MODULES

- (75) Inventor: William W. Y. Chu, Los Altos, CA
- (73) Assignee: Acquis Technology, Inc., Mountain View, CA (US)
- (*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35

U.S.C. 154(b) by 0 days.

- (21) Appl. No.: 09/312,199
- (22) Filed: May 14, 1999
- (51) Int. Cl.⁷ G06F 9/00
- (52) U.S. Cl. 713/200; 713/201; 707/9

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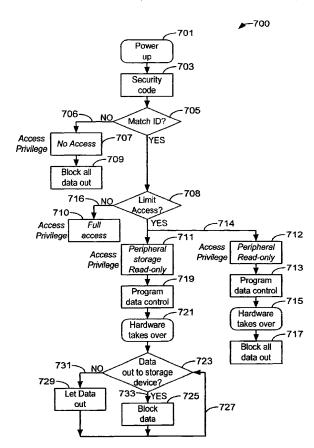
Primary Examiner—Ly V. Hua

(74) Attorney, Agent, or Firm—Townsend and Townsend and Crew LLP

(57) ABSTRACT

A security method for an attached computer module in a computer system. The security method reads a security identification number in an attached computer module and compares it to a security identification number in a console, which houses the attached computer module. Based upon a relationship between these numbers, a security status is selected. The security status determines the security level of operating the computer system.

13 Claims, 8 Drawing Sheets



ABSTRACT

A security method for an attached computer module in a computer system. The security method reads a security identification number in an attached computer module and compares it to a security identification number in a console, which houses the attached computer module. Based upon a relationship between these numbers, a security status is selected. The security status determines the security level of operating the computer system.

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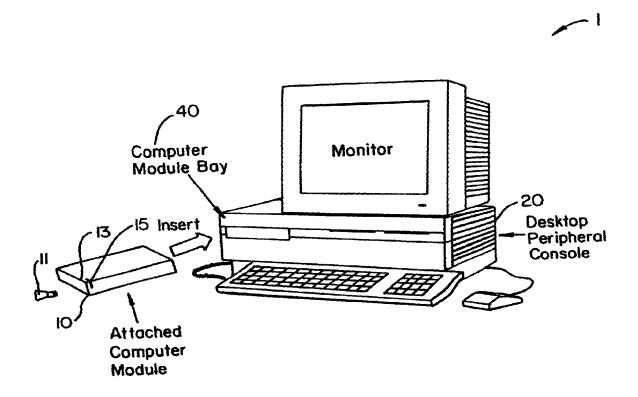


FIG. 1

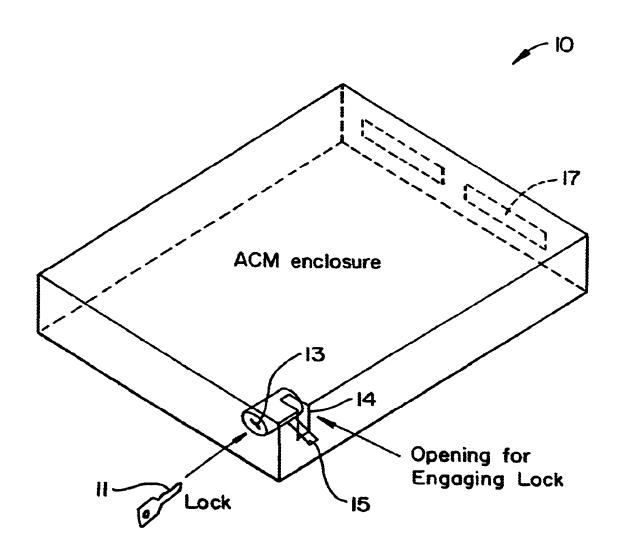


FIG. 2

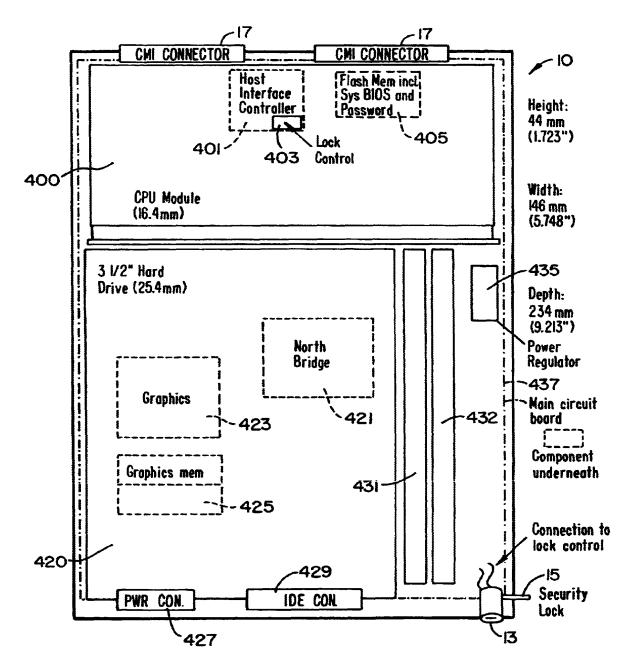
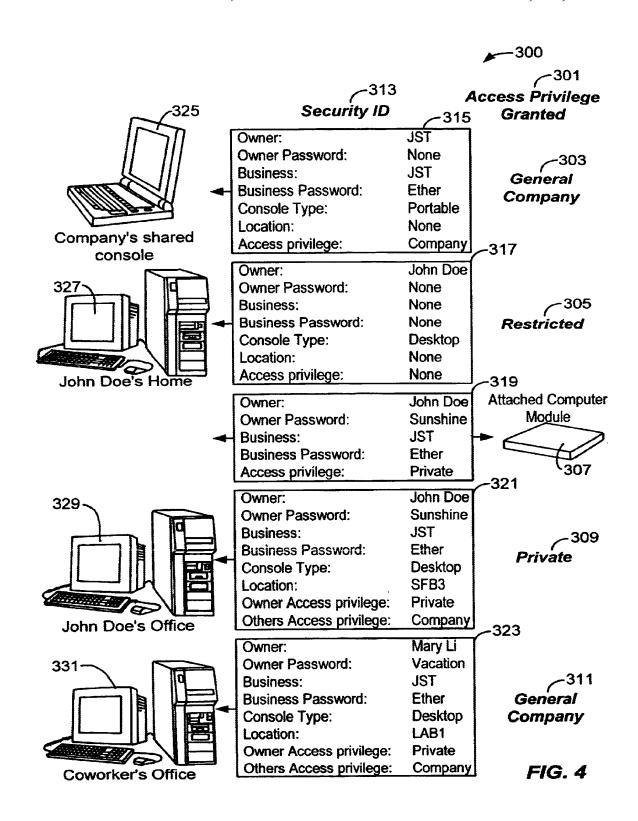
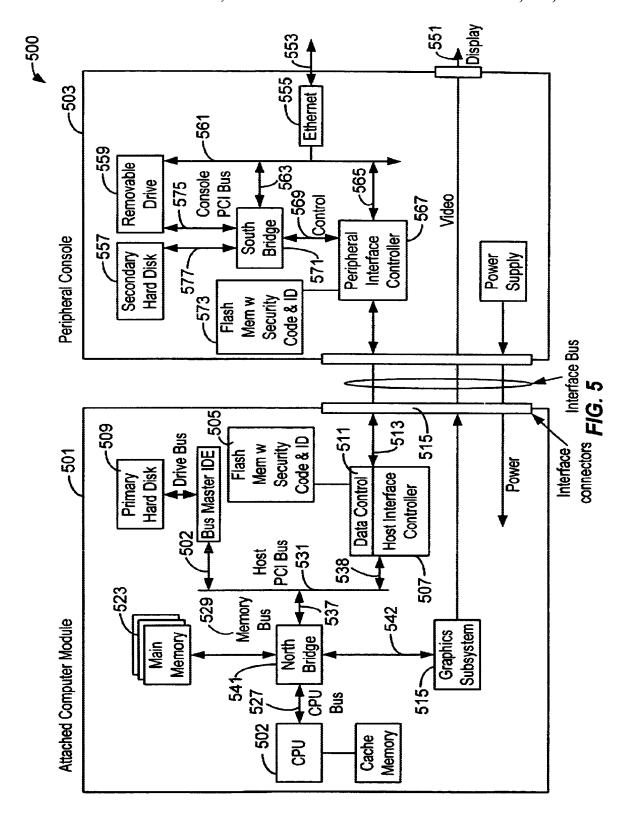
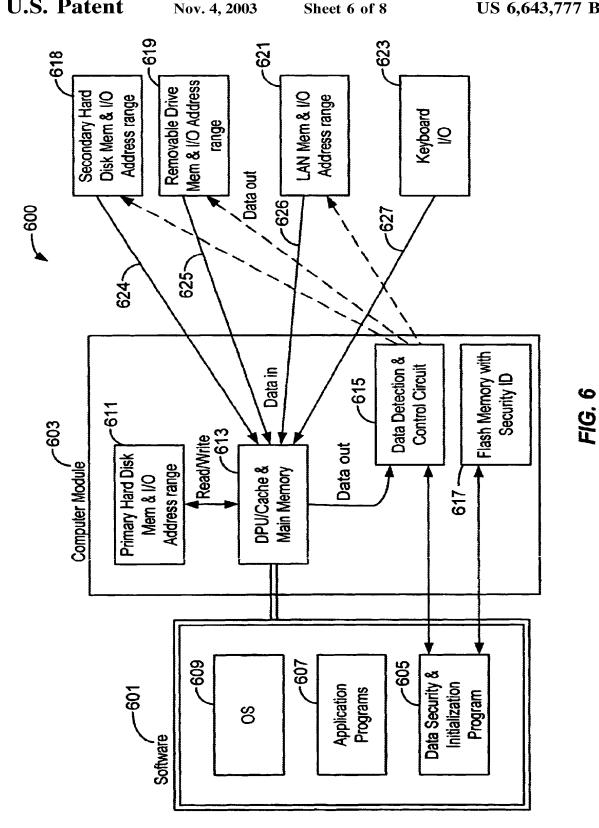


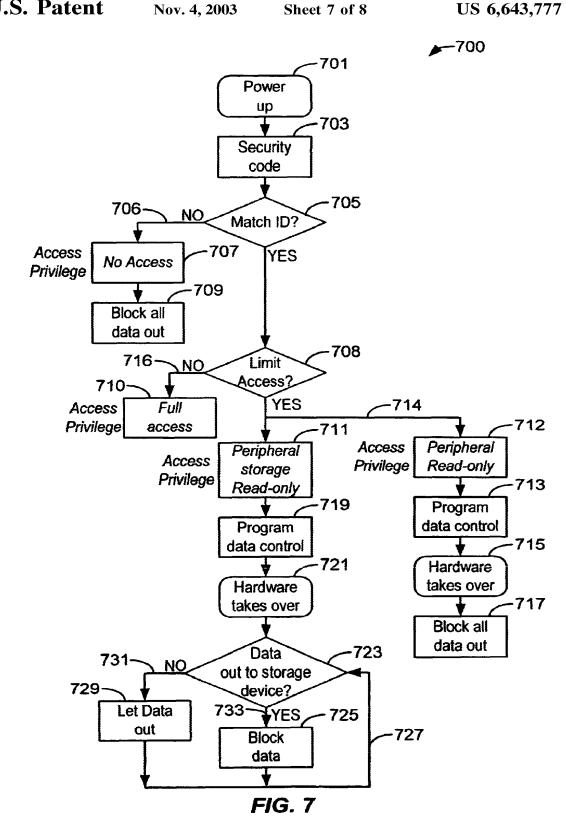
FIG. 3

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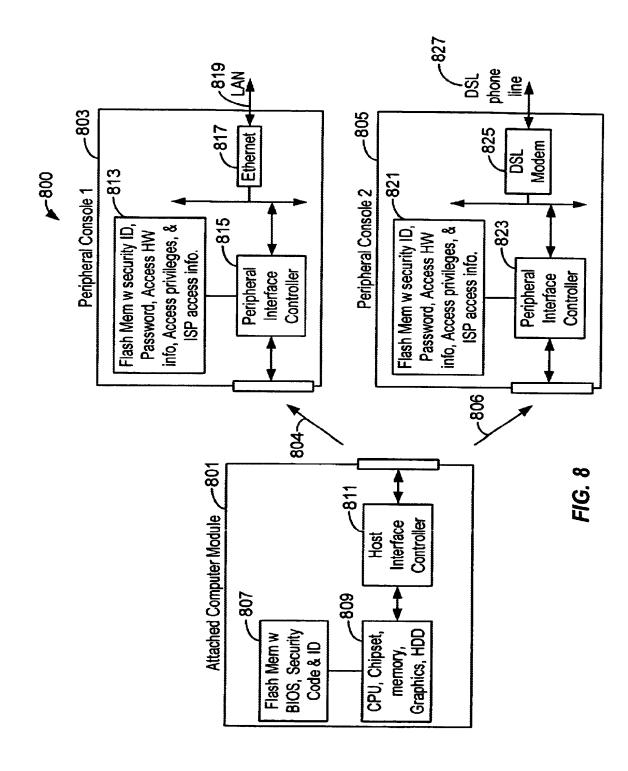








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is attached hereto.	
was filed on as reissue application number	
The above-identified application was made or authorized to be made by me.	
I hereby acknowledge that any willful false statement made in this declaratio or imprisonment of not more than five (5) years, or both.	n is punishable under 35 U.S.C. 1001 by fine
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At least one error upon which reissue is based is described below. If the reissue, a claim that the application seeks to broaden must be identified:	sue is a broadening
Claim 8 of U.S. Patent No. 6,643,777 recites a "user identification inp the scope of the claimed invention, as recited at least in new claim 14	
The present application is a reissue continuation of U.S. Applic. No. 1 U.S. Applic. No. 13/562,210 which is a reissue continuation of U.S. A continuation of U.S. Applic. No. 12/561,138, which is a reissue continuation of U.S. Patent No. 6,643,777. An intent for broadening was it within 2 years of the grant of the original patent.	pplic. No. 13/294,108, which is a reissue uation of U.S. Applic. No. 11/056,604, for the

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Legal name of sole or first inventor (<i>E.g.</i> , Given Name (first and middle (if any) and Family Name or Surname) William W. Y. Chu								
Inventor's Signature	J. lehn	Date (0	Optional)					
Residence: City Los Altos	State CA	Countr US	У					
Mailing Address 1320 Miravalle Avenue		•						
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Additional joint inv	Additional joint inventors are named on the supplemental sheet(s) PTO/AIA/10 attached hereto.							

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The residence and mailing addres	ss of the inventor or joint in	ventors are stat	ed below.			
I am authorized to act on behalf of the following assignee: Acqis LLC The entire title to the patent identified below is vested in said assignee.						
Inventor William W. Y. Chu						
Residence: City Los Altos		State CA		Country JS		
Mailing Address 1320 Miravalle Avenue						
City Los Altos	State CA	Zip 94024		Country US		
Additional Inventors are i	l named on separately num	bered sheets att	ached hereto.			
Patent Number 6,643,777 Date of Patent Issued November 4, 2003						
I believe said inventor(s) to be the original inventor or original joint inventors of the subject matter which is described and claimed in said patent, for which a reissue patent is sought on the invention titled: DATA SECURITY METHOD AND DEVICE FOR COMPUTER MODULES						
the specification of which						
is attached hereto.						
was filed on as reissue application number						
The above-identified application v	vas made or authorized to	be made by me				
I hereby acknowledge that any willful false statement made in this declaration is punishable under 35 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.						
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by reason of a defective sp by reason of the patentee of by reason of other errors.	S	he had the right	t to claim in the	patent.		

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Name of Patentee(s) Acqis LLC							
Patent Number Date Patent Issued November 4, 2003							
Title of Invention DATA SECURITY METHOD AND DEVICE FOR COMPUTER MODULES							
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Sheet	1	of	6	Attorney Docket Number	ACQI-006/09US 310578-2079		

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Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (if known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		us- 4799258	1989-01-17	Davies	
		us- 5086499	1992-02-04	Mutone	
		us- 5103446	1992-04-07	Fischer	
		∪s- 5191581	1993-03-02	Woodbury et al.	
		us- 5198806	1993-03-30	Lord	
		us- 5319771	1994-06-07	Takeda	
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		us- 5550861	1996-08-27	Chan et al.	
		us- 5572441	1996-11-05	Boie	
		us- 5590377	1996-12-31	Smith	
		us- 5608608	1997-03-04	Flint et al.	
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		VVO 94/009/0	1334-01-00	Inc.		
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		VVO 93/13040	1990-00-10	Inc.		

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		BOOSTEN, "Transmission Overhead and Optimal Packet Size", 03/11/1998, printed on: 1/28/11, 2 pgs.	

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(11) EP 0 722 138 A1

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EUROPEAN PATENT APPLICATION

(43) Date of publication: 17.07.1996 Bulletin 1996/29

(22) Date of filing: 26.06.1995

(84) Designated Contracting States:
DE FR GB

(21) Application number: 95109932.4

(30) Priority: 04.01.1995 US 368192

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(54) A cartridge-based design for portable and fixed computers

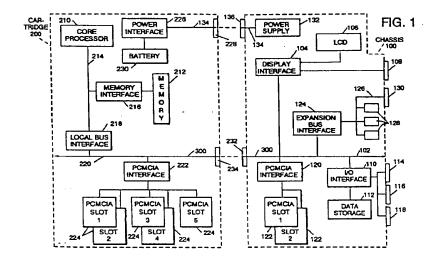
(57) A computer system includes a chassis and a cartridge having separate functional components that interface with one another over a common bus. The chassis includes a plurality of user interface modules, which are generally designed and packaged according to a particular application/work mode, each coupled to a first bus. The cartridge, on the other hand, includes components that can be shared among the various applications/work modes. Specifically, the cartridge has a core processor and memory coupled to a second bus, and at least one slot for housing a communication module that is coupled to the second bus.

In addition, the cartridge may be designed to be configured by the user to have a first form and a second form. The cartridge of the first form may be utilized for portable computer processing systems such as laptop, notebook and sub-notebook systems. The cartridge of the second form may be designed to be narrow enough to be utilized in smaller portable computer processing systems, such as hand-held systems.

In another aspect, the computer system includes a chassis having an internal connector with a first portion in electrical contact with a first external peripheral device, and second portion in electrical contact with a second external peripheral device. An adapter is coupled between an internal device and the internal connector. The internal device is associated with either the first or second external peripheral devices. The adapter has either a first portion that connects the internal device to the first portion of the internal connector or a second portion that connects the internal device to the second portion of the internal connector in order to couple the

internal device to its associated external peripheral device.

EP 0 722 138 A1



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Description

This application is related to U.S. Application No. (attorney docket No. YO994.288), filed concurrently herewith.

The invention relates to computer processing systems, and, more particularly, to the packaging of components of computer processing systems.

Computer processing systems on the market today can be segmented generally into two distinct categories: portable and fixed. Portable computer processing systems are designed to be portable between different work sites (i.e. office, home and travel) and may be characterized, for example, as luggable computer systems, laptop computer systems, notebook computer systems, subnotebook computer systems, tablet computer systems and hand held computer systems, sometimes called Personal Digital Assistants (PDAs). On the other hand, fixed computer processing systems are intended to remain stationary in a single work site and may be characterized, for example, as desktop computer processing systems and tower computer processing systems.

Portable computer processing systems include components functionally equivalent to those of the larger fixed computer processing systems; yet the components of the portable computer processing system are designed and packaged for restricted dimensional and weight specifications required for portability. Such components often include, for example, a microprocessor, associated memory, a lightweight and compact keyboard and display, and PCMCIA standard devices such as faxmodems, wired local area network adapters, wireless local area network interface modules, digital data exchange adapters and hard disk drives. Yet, because of the dimensional and weight restrictions associated with the components of the portable computer processing system, the associated costs of the portable computer processing system are much greater than the costs of comparable fixed computer processing systems, and these additional costs are reflected in the purchase price of portable computer processing systems.

Moreover, a user may require two or more computer systems in separate applications/work modes. For example, a user may require a fixed desktop computer system for work and a portable laptop computer system for travel and home-use. In this case, the user is required to expend a heavy investment in purchasing the separate computer systems, which may limit the market for both the fixed and portable computer processing systems.

Because of these limiting cost factors, there is a long standing need in the field of computer processing systems to provide efficient and flexible computer processing systems while achieving low costs.

In another aspect, the invention relates to connecting means for connecting various peripheral devices internal to the chassis of a computer processing system, such as a PCMCIA fax-modem, to an associated peripheral device external to the chassis of the computer processing system, such as telephone line linked to a

telephone network. Typically, various internal peripheral devices are uniquely connected to their associated external peripheral device. For example, an internal PCMCIA fax-modem may be designed to extend out through a slot in the chassis and include a unique connector at its exposed end to mate with a telephone line. However, such unique connecting means among the various peripheral devices may create problems in portable computer processing systems, wherein a user must first disconnect the peripheral devices to move the computer processing system from, for example, a home environment to a work environment, and then reconnect the peripherals upon return, thereby experiencing undue delay and frustration.

The above-stated problems and related problems of the prior art are solved with the principles of the present invention, a cartridge-based design for portable and fixed computers. The computer system of the present invention includes a first chassis having a plurality of user interface modules each coupled to a first bus, and a second chassis having a plurality of user interface modules each coupled to a second bus. The user interface modules provide an interface to devices such as a keyboard, a mouse, a display, a speaker, a microphone, a data storage device or various other input/output devices which are generally designed and packaged according to a particular application/work mode (e.g., desk-based, taking home in the evenings, traveling, hand held).

The cartridge, on the other hand, includes components that can be shared among the various applications/work modes. Specifically, the cartridge has a core processor and memory coupled to a third bus, and possibly at least one slot for housing a module that is coupled to the third bus. The module may be, for example, a PCM-CIA fax-modem, wired local-area network adapter card, or a data storage device such as PCMCIA hard disk drive. The third bus of the cartridge may be detachably connected to the first bus of the first chassis to form a first functional system, or the third bus of the cartridge may be detachably connected to the second bus of the second chassis to form a second functional system.

By detachably connecting the core processor, memory, and modules of the cartridge to the user interface modules of the separate chassis', the cartridge alone may be transferred from one environment to another. In each environment, the chassis' may be optimized for use in their respective applications/work mode. By sharing components between computer processing systems in separate environments, the cost of the computer processing system in each environment is substantially decreased. Furthermore, the use of multiple user interfaces optimized for different work modes or tasks is allowed.

Moreover, the cartridge may be shared across various platforms. For example, both a fixed computer system and a portable computer processing system could incorporate the cartridge of the present invention. By sharing components between the two platforms, the cost of the desktop computer processing system and a port-

able computer processing system is substantially decreased.

In addition, the cartridge may be designed to be configured by the user in a first form and a second form. For example, the cartridge may include a first portion connected to a second portion by a hinge. The cartridge of the first form may be utilized for portable computer processing systems such as laptop, notebook and subnotebook systems. The cartridge of the second form may be designed to be narrow enough to be utilized in smaller portable computer processing systems, such as handheld systems. By sharing components among the various portable computer processing systems, the costs of such systems is substantially decreased.

In another aspect, the computer system of the present invention includes a chassis having an internal connector with a first portion in electrical contact with a first external peripheral device. A second portion of the internal connector is in electrical contact with a second external peripheral device. An adapter is coupled between an internal device and the internal connector. The internal device is associated with either the first or second external peripheral devices. The adapter has either a first portion that connects the internal device to the first portion of the internal connector or a second portion that connects the internal device to the second portion of the internal connector in order to couple the internal device to its associated external peripheral device.

The benefits of using the adapter in conjunction with the internal connector of the chassis are two-fold. First, the user is not required to match the internal device to its associated external peripheral device because the connection between the two is automatic. And second, the internal device may be disconnected from the chassis without disturbing the associated external peripheral device, thus providing a user-friendly system.

FIG. 1 is a functional block diagram of a computer processing system in accordance with the present invention.

FIG. 2 is an isometric view of the cartridge of FIG. 1 configured in a first form.

FIG. 3 is an isometric view of the cartridge of FIG. 1 configured in a second form.

FIG. 4 is an isometric top view of the cartridge of FIG. 2 with PCMCIA cards inserted into slots 3, 4 and 5 plugged into a tablet style user interface chassis.

FIG. 5 is an isometric top view of the cartridge of FIG. 3 with PCMCIA cards inserted into slots-3 and 4 plugged into a hand held PDA style user interface chassis.

FIG. 6 is an exploded isometric view of the cartridge of FIG. 1 configured in a first form.

FIG. 7 is an exploded isometric view of the cartridge of FIG. 1 configured in a second form.

FIG. 8 illustrates adapters for connecting various PCMCIA cards to their respective peripheral devices in accordance with the present invention.

FIG. 9(a) is a functional block diagram of the adapters of FIG. 8 used in conjunction with a chassis to connect PCMCIA cards to their respective peripheral devices.

FIG. 9(b) is a cross-sectional side elevational view of FIG. 9(a).

FIG. 1 illustrates a computer processing system in accordance with the present invention. The computer processing system includes a chassis 100 detachably connected to a cartridge 200. The chassis 100 includes a plurality of user interface modules that provide an interface to devices such as a keyboard, a mouse, a display. a speaker, a microphone, a data storage device or various other input/output devices which are generally designed and packaged according to a particular application/work mode (e.g., desk-based, taking home in the evenings, traveling, hand held). The cartridge 200, on the other hand, includes components having computer functions (e.g. processing, memory, data storage) and communications functions that can be shared among the various applications/work modes. The cartridge 200 and the chassis 100 interface with one another over a common bus 300, for example, a PCI standard bus. The common bus 300 may include one or more parallel buses and/or one or more serial buses, such as an ACCESS bus, an INTEL serial bus, or an IEEE P.1394 serial bus. By detachably connecting the computer functions of the cartridge 200 to the user interface modules of the chassis 100, the cartridge 200 may be transferred from one environment to another. In each environment, the cartridge 200 may be connected to separate chassis' 100 which are optimized for use in their respective applications/work mode. By sharing components between computer processing systems in separate environments, the cost of the computer processing system in each environment is substantially decreased. Furthermore, the use of multiple user interfaces optimized for different work modes or tasks is allowed.

Specifically, the chassis 100 includes a plurality of user interface modules coupled to a first bus 102, which corresponds to the common bus 300. The user interface modules may include a display interface 104 for driving an LCD display 106 integrated into the chassis 100 or an external display connected to the chassis 100 via connector 108. The display interface typically includes a graphics controller and associated memory. The user interface modules may also include an I/O interface 110 that provides an interface to I/O devices such as a data storage device 112, an external keyboard or pointing device (i.e. mouse) via connector 114, and external peripheral devices via a serial port 116 and a parallel port 118. The data storage device 112 may be, for example, a magnetic hard disk drive, a tape back-up drive, a CD-ROM drive or an optical drive. The external peripheral devices connected to the serial port 116 and/or parallel port 118 may include a printer, a communication device such as a modem, a pointing device such as a mouse, and a data processing device that processes I/O data. The data processing device may be, for example,

a video interface adapter for processing video information or an analog to digital processing device for processing analog signals input to and/or output from the system, such as voice signals input to the system from a microphone and output from the system by a speaker.

The user interface modules may also include a PCMCIA interface 120 for driving at least one PCMCIA device inserted into PCMCIA slots 122. The chassis 100 of FIG. 1 includes two PCMCIA slots 122 for example only; thus, the chassis 100 may include one or a plurality of PCMCIA slots 122 depending upon the design. The PCMCIA device inserted into the PCMCIA slots 122 may be a wired local-area network adapter, a wireless local area network interface module or a digital data exchange adapter which are used primarily in the specific location of the chassis 100. The PCMCIA device may also perform any of the functions described above with respect to the I/O interface 110. The utilization of the PCMCIA standard bus structure and PCMCIA dimensional configurations with regard to interface 120, slots 122 and the respective devices inserted into the slots 122 is for convenience purposes only, and it is well understood by those of skill in the art that a similar standard may be substituted for the PCMCIA standard.

Furthermore, the chassis 100 may include an expansion bus interface 124 that bridges the first bus 102 to an expansion bus 126, which may be, for example, an AT standard bus. The expansion bus interface 124 converts data transmitted over the first bus 102 to the expansion bus 126, and converts data transmitted over the expansion bus 126 to the first bus 102. The chassis 100 may also include expansion slots 128 and an expansion bus connector 130 coupled to the expansion bus 126 to provide an interface to additional peripheral devices such as a network interface card, a CD-ROM, and other forms of communication and storage devices. The chassis 100 of FIG. 1 includes three expansion slots 128 for example only; thus, the chassis 100 may include one or a plurality of expansion slots 128 depending upon the design.

Moreover, the chassis 100 may include a power supply 132 that provides power to each of the user interface modules and to the cartridge 200 via power bus 134 and connector 136. The power supply 132 may include a voltage conversion circuit that converts AC line power to the appropriate DC voltage levels required by the modules. The power supply 132 may also include a battery that supplies the appropriate DC voltage levels to the modules when the voltage conversion circuit is inactive. The power bus 134 and common bus 300 may share a single connector pair, for example, connectors 232,234 and/or the power bus 134 may be part of the common bus 300.

The cartridge 200 of FIG. 1 includes components having computer functions that can be shared among the various applications/work modes. Specifically, the cartridge 200 includes a core processor 210 coupled to memory 212 via a high-speed processor bus 214 and memory interface 216. The memory 212 may be system dynamic memory, cache memory, and/or non-volatile memory. The memory interface 216 performs functions

such as address decoding and bus control such that the core processor 210 can read data from and write data to the memory 212. The memory interface 216 may also perform functions such as dynamic memory refresh. A processor bus interface 218 bridges the processor bus 214 to a second bus 220, which corresponds to the common bus 300. The processor bus interface 218 converts data transmitted over the processor bus 214 to the second bus 220 and converts data transmitted over the second bus 220 to the processor bus 214.

The cartridge 200 may also include components having functions that can be shared among the various applications/work modes. Specifically, the cartridge 200 may include a PCMCIA interface 222 coupled to the second bus 220 for driving at least one PCMCIA device inserted into PCMCIA slots 224. The cartridge 200 of FIG. 1 includes five PCMCIA slots 224 for example only; thus, the cartridge 200 may include one or a plurality of PCMCIA slots 224 depending upon the design. The PCMCIA device may be a communication device, such a wired local-area network adapter, a wireless local area network interface module, a digital data exchange adapter, a data storage device such a hard disk, or a data processing device that processes I/O data. The data processing device may be, for example, a video interface adapter for processing video information or an analog to digital processing device for processing analog signals input to and/or output from the system, such as voice signals input to the system from a microphone and output from the system by a speaker. The PCMCIA slots 224 may be of different configurations (i.e., Type II and Type III). To provide the user with flexibility in choosing the location of specific PCMCIA devices, preferably each of the PCMCIA slots 224 have Type II configurations. Again, the utilization of the PCMCIA standard bus structure and PCMCIA dimensional configurations with regard to interface 222, slots 224 and the respective devices inserted into the slots 224 is for convenience purposes only, and it is well understood by those of skill in the art that a similar standard may be substituted for the PCMCIA standard.

The cartridge 200 may also include a power interface 132 that provides the appropriate DC voltage levels to each of the components of the cartridge 200. The power interface 226 may be coupled to the power bus 134 of the chassis 100 by mating connector 228 to connector 136. The power interface 226 may also include a battery 230 that supplies the appropriate DC voltage levels to the components of the cartridge 200 when the cartridge 200 is separated from the chassis 100. It is contemplated that the battery 230 may be packaged in a PCMCIA device configuration and housed in a dedicated PCMCIA slot 224, thus expending one of the PCMCIA slots 224.

The components of the cartridge 200 interface with the modules of the chassis 100 over the common bus 300. The common bus 300 is constructed by mating connector 232 of the chassis 100 with connector 234 of the cartridge 200, which connects the first bus 102 of the

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chassis 100 to the second bus 220 of the cartridge 200. By detachably connecting the computer functions of the cartridge 200 to the user interface modules of the chassis 100, the cartridge 200 may be transferred from one environment to another. In each environment, the cartridge 200 may be connected to separate chassis' 100 which are optimized for use in their respective applications/work mode. Moreover, by sharing components between computer processing systems in separate environments, the cost of the computer processing system in each environment is substantially decreased.

The cartridge 200 of FIG. 1 may be designed such that the cartridge 200 can be configured by the user to have a first form and a second form. As shown in FIGS. 2 and 3, the cartridge 200 may include a first portion 400 connected to a second portion 500 by hinges 600 or other suitable connection devices. In this case, the electrical connections between the first portion and the second portion may be provided by a flexible cable 650. The two portions need not have identical dimensions as shown in FIG. 2.

FIG. 2 illustrates the first form of the cartridge 200 having, for example, a characteristic length, width and height of 7.5 inches, 6.0 inches and 0.5 inches, respectively, which is suitable for use in desktop, laptop, notebook and sub-notebook or other large pen or keyboard based computer processing systems. On the other hand, FIG. 3 illustrates the second form of the cartridge 200 having, for example, a characteristic length, width and height of 7.5 inches, 3.0 inches, 1.0 inches, respectively, which is suitable for a hand held device. As shown in FIG. 3, the second form has a thickness that is twice the thickness of the first form and a width that is half the width of the first form and narrow enough to be readily grasped with one hand. Because the cartridge 200 can take two forms: a first form that is wide yet thin and a second form that is narrower but thicker than the first from, the cartridge 200 can be more easily integrated both into larger computer processing systems which for ergonomic reasons have a constrained thickness and into hand-held systems which have a constrained width.

The cartridge 200 of FIG. 2 may also be configured to have a first form having, for example, a characteristic length, width and height of 7.5 inches, 6.0 inches and 0.5 inches, respectively, and a second form having, for example, a characteristic length, width and height of 3.75 inches, 6.0 inches, 1.0 inches, respectively. In this case, the second form has a thickness that is twice the thickness of the first form and a length that is half the length of the first form and narrow enough to be readily grasped with one hand.

FIG. 4 shows the cartridge 200 of the first form as depicted in FIG. 2 with PCMCIA cards inserted into slots 3, 4 and 5 plugged into a tablet style user interface chassis.

FIG. 5 shows the cartridge 200 of the second form as depicted in FIG. 3 with PCMCIA cards inserted into slots 3 and 4 plugged into a hand held PDA style user interface chassis.

FIGS. 6 and 7 illustrate the cartridge 200 that utilizes at least one pair of hinged connectors 660 (for example, two pairs are shown), instead of hinges and flexible cable, to join the first portion 400 to the second portion 500. FIG. 6 illustrates the first form of the cartridge 200, which is wide and slim and thus suitable for use in desktop, laptop, notebook, sub-note book or other large pen or keyboard based computer processing systems. FIG. 7 illustrates the second form of the cartridge 200, which is narrow and thick and thus suitable for a hand held device.

Moreover, the first portion 400 may include components having computer functions (i.e., core processor 210, memory 212, memory interface 216, and local bus interface 218), and the second portion 500 may include PCMCIA components (i.e., PCMCIA interface 222 and associated devices). Using the hinged connector pairs 660 allows the first portion 400 to be disconnected from the second portion 500. In this case, the first form of the cartridge 200 may include, for example, the first and second portions 400,500 connected as shown in FIG. 6. which is suitable for use in desktop, laptop, notebook, sub-note book or other large pen or keyboard based computer processing systems. On the other hand, the second form of the cartridge 200 may include only the first portion 400, which may be suitable for a hand held device.

In another aspect, the computer system of the present invention as shown in FIGS. 8, 9(a) and 9(b) may include a chassis 700 that provides a cableless connection between at least two internal devices housed within the chassis 700 and external connectors of the chassis 700 that connect to external peripheral devices associated with each of the internal devices. For example, as depicted in FIGS. 8, 9(a) and 9(b), the internal devices may be a PCMCIA modern card 710, a PCMCIA wireless communication card 720 and a PCMCIA wired local area network adapter card 725. The external peripheral devices associated with each of the internal devices may be a phone line adapter 730, an antenna 740 and a localarea network connector 745, respectively. Normally, the internal devices are connected directly or indirectly via cables to their associated external peripheral devices. These cables are often annoying because they must be disconnected whenever the internal device is removed from the chassis, they must be associated with the correct internal device and external peripheral device, and they often exit the front or side of the chassis 700 in an inconvenient and unattractive manner. The computer system of the present invention eliminates the need for such annoying cables.

Specifically, the chassis 700 includes an internal connector 750 that connects to at least two internal devices. As shown in FIGS. 8, 9(a) and 9(b), the internal devices may be a PCMCIA modem card 710, a wireless PCMCIA communication card 720 or a wired PCMCIA local-area adapter card 725. The internal devices are separately associated with external peripheral devices. For example, as shown in FIGS. 8, 9(a) and 9(b), the

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PCMCIA modem card 710 is associated with the phone line adapter 730, the wireless PCMCIA communication card 720 is associated with the antenna 740, and the wired PCMCIA local-area network adapter card is associated with the local-area network connector 745. A first 5 portion 760 of the internal connector 750 is in electrical contact with a first external peripheral device, for example, the phone line adapter 730. A second portion 770 of the internal connector is in electrical contact with a second external peripheral device, for example, the antenna 740. A unique adapter 780 is coupled between each internal device and the internal connector 750. The adapter 780 has either a first portion 790 that connects the internal device to the first portion 760 of the internal connector 750 or a second portion 800 that connects the internal device to the second portion 770 of the internal connector 750 in order to couple the internal device to its associated external peripheral device.

As described above, the adapters 780 and the internal connector 750 provide a cableless connection between two internal devices and their associated external peripheral devices. However, the present invention is not limited in this respect and may be used connection three or more internal devices and their associated external peripheral devices. For example, as shown in FIGS. 8 and 9(a), the internal connector 750 may include a third portion 810 in electrical contact with a third external peripheral device, for example, the local area network adapter 745. The adapter 780 then has either a first portion 790 that connects the internal device to the first portion 760 of the internal connector 750 or a second portion 800 that connects the internal device to the second portion 770 of the internal connector 750 or a third portion 820 that connects the internal device to the third portion 810 of the internal connector 750 such that the internal device is coupled to its associated external peripheral device.

The benefits of using the adapters 780 in conjunction with the internal connector 750 of the chassis 700 are two-fold. First, as long as the appropriate adapter 780 is affixed to the respective internal device, the user is not required to match the internal device to its associated external peripheral device because the connection between the two is automatic. And second, the internal device may be disconnected from the chassis 700 without disturbing the associated external peripheral device, thus providing a user-friendly system. Typically, the adapters 780 remain affixed to their respective internal device ready for insertion into another chassis 700.

Although the invention has been shown and described with respect to the particular embodiments thereof, it should be understood by those skilled in the art that the foregoing and various other changes, omissions, and additions in the form and detail thereof may be made without departing from the spirit and scope of 55 the invention.

Claims

- 1. A computer system comprising: a first chassis having at least one user interface module coupled to a first bus: a second chassis, different than said first chassis,
 - having at least one user interface module coupled to a second bus; and
 - a cartridge having a core processor and memory coupled to a third bus;
 - wherein said third bus of said cartridge is detachably connected to said first bus of said first chassis to form a first functional system, and said third bus of said cartridge is detachably connected to said second bus of said second chassis to form a second functional system.
- The computer system of claim 1, wherein said at least one user interface module of said first chassis is optimized for use in a first mode, and said at least one user interface module of said second chassis is optimized for use in a second mode different than said first mode.
- The computer system of claim 1, wherein said first, 25 second and third buses each comprise a plurality of buses.
 - The computer system of claim 3, wherein said plurality of buses of said first bus connects to said plurality of buses of said third bus through a first common connector, and said plurality of buses of said second bus connects to said plurality of buses of said third bus through a second common connec-
 - The computer system of claim 3, wherein said plurality of buses of said first bus connects to said plurality of buses of said third bus through separate first connectors, and said plurality of buses of said second bus connects to said plurality of buses of said third bus through separate second connectors.
 - The computer system of claim 1, wherein said cartridge can be configured by a user of said computer system to have a first form and a second form.
 - 7. The computer system of claim 6, wherein said cartridge comprises a first portion connected to a second portion by at least one hinge and a cable.
 - 8. The computer system of claim 6, wherein said cartridge comprises a first portion connected to a second portion by at least one hinged connector.
 - 9. The computer system of claim 6, wherein said cartridge of said first form comprises a first portion connected to a second portion by a first connector, and said cartridge of said second form comprises said

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first portion connected to said second portion by a second connector different than said first connector.

- 10. The computer system of claim 6, wherein said first form has a predetermined width, length, and thickness, and said second form has a width approximately half the width of said first form and a thickness approximately twice the thickness of said first form.
- The computer system of claim 10, wherein said width of said second form is narrow enough to be readily grasped with one hand.
- 12. The computer system of claim 6, wherein said first form has a predetermined width, length, and thickness, and said second form has a length approximately half the length of said first form and a thickness approximately twice the thickness of said first form.
- 13. The computer system of claim 12, wherein said length of said second form is short enough to be readily grasped with one hand.
- 14. The computer system of claim 1, wherein said cartridge comprises at least one slot for housing a module that is coupled to said second bus.
- 15. The computer system of claim 14, wherein said module is an wireless communication module, a modem, a wired local area network adapter, a digital communication module, an analog communication module, a data storage device, or a data processing device.
- The computer system of claim 20, wherein said data storage device is a hard disk drive.
- The computer system of claim 14, wherein said module is a data processing device.
- The computer system of claim 22, wherein said data processing device is a video interface adapter.
- The computer system of claim 22, wherein said data processing device processes analog signals input to and/or output from the computer system.
- The computer system of claim 24, wherein said analog signals are voice signals.
- The computer system of claim 14, wherein said slot is a PCMCIA standard slot.
- 22. The computer system of claim 14, wherein one of said first chassis and said second chassis has an internal connector having a first portion in electrical contact with a first external periph-

eral device, a second portion in electrical contact with said second external peripheral device and a third portion in electrical contact with a third external peripheral device, said module being associated with one of said first, second and third external peripheral devices; and

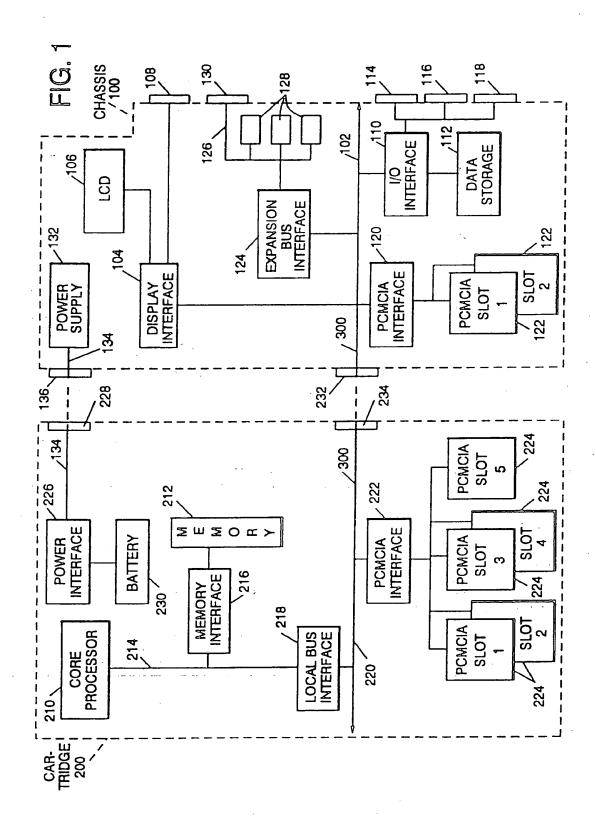
said computer system further comprises an adapter for coupling said module to said internal connector, said adapter having one of a first portion that connects to said first portion of said internal connector, a second portion that connects to said second portion of said internal connector, and a third portion that connects to said third portion of said internal connector.

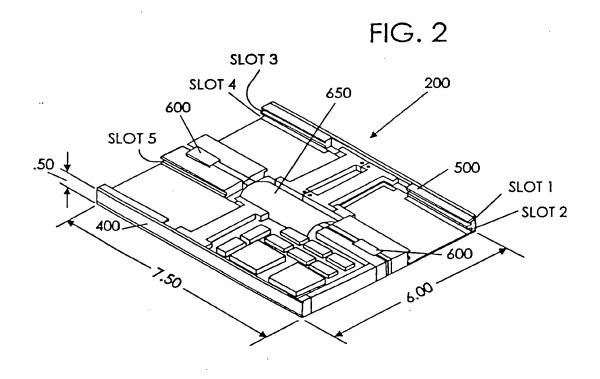
- 23. The computer system of claim 14, wherein one of said first chassis and said second chassis has an internal connector having a first portion in electrical contact with a first external peripheral device and a second portion in electrical contact with a second external peripheral device, said module being associated with one of said first external peripheral device and said second external peripheral
 - eral device; and said computer system further comprises an adapter for coupling said module to said internal connector, said adapter having one of a first portion that connects to said first portion of said internal connector and a second portion that connects to said second portion of said internal connector.
- The computer system of claim 28, wherein said module is a PCMCIA device.
- 55 25. The computer system of claim 28, wherein said module is a modem and said one of said first and second external peripheral devices is a telephone line.
- 26. The computer system of claim 28, wherein said module is a wired local area network adapter and said one of said first and second external peripheral devices is a wired local-area network communication link.
 - 27. The computer system of claim 28, wherein said module is a wireless communication module and said one of said first and second peripheral devices comprises an antenna.
 - 28. The computer system of claim 28, wherein said module is a data communication module and said one of said first and second peripheral devices is a data communication link.
 - 29. The computer system of claim 28, wherein said module is a video interface adapter and said one of said first and second peripheral devices is video link.

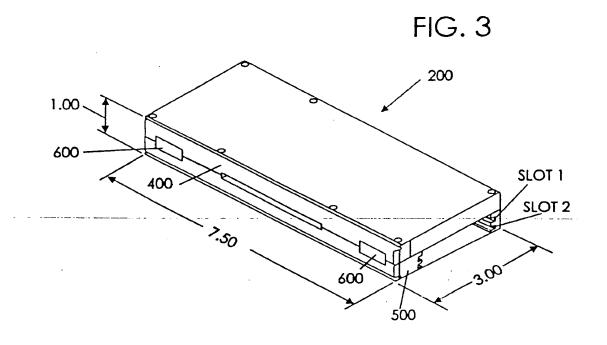
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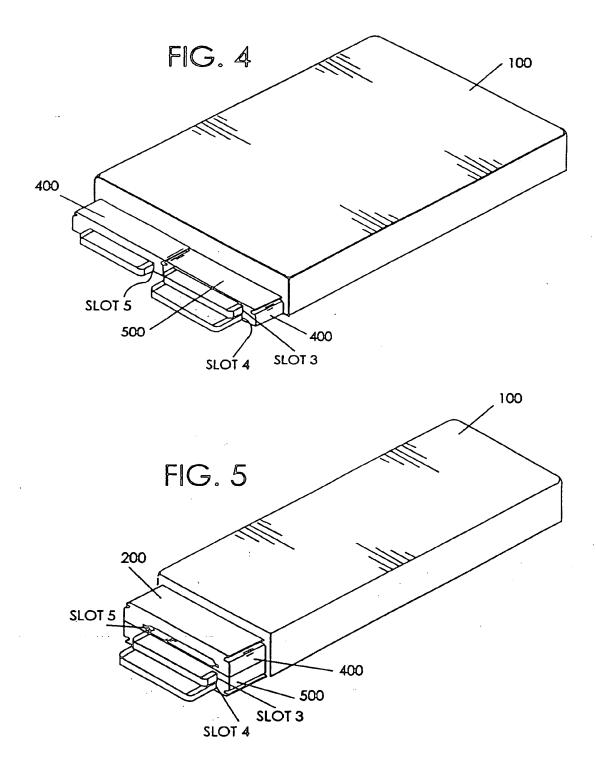
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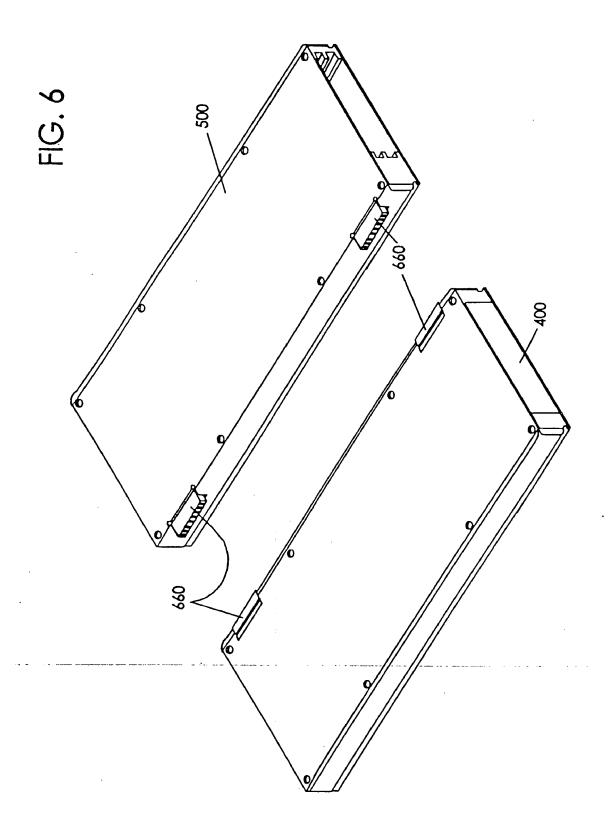
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(19) Japan Patent Office (JP)

(12) Japanese Unexamined Patent Application Publication (A)

(11) Japanese Unexamined Patent Application Publication Number

H6-289953

(43) Publication date: 18 October 1994

(51) Int. Cl. ⁵	Identification codes	JPO file numbers	ΡΙ	Technical indications
G06F 1/16 1/20 1/06		7165-5B 7165-5B	G06F 1/00	312 K 360 D
	Request	for examination: Not yet r	equested: Number of cla	tims: 1 On-line (Total of 1 pages) Continued on the last page
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(54) (Title of the invention) Detachable Information Processing Device

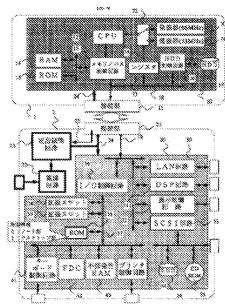
(57) Abstract

(OBJECT) To provide means for expanding the range of use of PPMs, appertaining to detachable processing devices structured from PPMs and DSs.

(CONSTITUTION) A ROM for storing information indicating a DS cooling capability is provided, and also a selecting circuit for selecting a plurality of oscillator circuits and CPU operating frequencies is provided in a PPM. Given this, information is read out from the ROM at the time of system startup, to identify the cooling capability of the DS, and a CPU operating frequency that produces a quantity of heat for which the operation of the PPM can be assured is set.

(EFFECTS) While it is not possible to use the maximum performance of the

PPM, this enables the use of a DS with a multifunctional cooling capability, increasing the combined utility.



- 13: Memory/Bus Control Circuit
- 70: Oscillator (66 MHz)
- 71: Oscillator (33 MHz)
- 73: Register

Patent attorney OGAWA, KATSUO

- 18: HDD Control Circuit
- 11: Connecting Portion
- 21: Connecting Portion
- 23: Power Supply Control Circuit 22: Power Supply Circuit
- 38: Expansion Slot
- 39: Expansion Slot
- ILEFT OF

ROM] Cooling Information:

- 0: Notebook-Type 1: Desktop-Type
- 41: Keyboard Control Circuit
- 36: I/O Control Circuit
- 43: Non-Volatile RAM
- 44: Printer Control Circuit
- 30: LAN Circuit
- 31: DSP Circuit
- 32: Display Control Circuit
- 33: SCSI Circuit

(SCOPE OF PATENT CLAIMS)

(CLAIM I) A detachable data processing device comprising: a personal processor module (hereinafter termed a "PPM"), structured from: a CPU; a memory; a local bus to which various types of devices are connected;

a memory/bus controlling circuit enabling the transmission and reception of data between the CPU, the memory, and the devices on the local bus; and a connecting portion capable of exchanging information with an external information device using the local bus; and

a docking station (hereinafter abbreviated "DS") comprising: a local bus connecting portion capable of connecting to the PPM; any given number of devices on the local bus; and a power supply circuit for supplying power; wherein

the CPU is able to access a device on the local bus of the DS when the PPM and the DS are in a connected state; comprising:

means for generating a plurality of clocks at different frequencies;

a selecting circuit for providing to the CPU a clock selected from the plurality of clocks;

a register, on the CPU local bus, for storing clock selection information; and

means for storing DS cooling capability information, accessible through the DS local bus; wherein

a program is provided in the memory so as to set the register with specific selection information, each time the power supply is turned ON, in accordance with the cooling capability that is stored within the DS, so as to control the amount of heat produced by the PPM in accordance with the DS cooling capability.

(Detailed Description of the Invention) (0001)

(AREA OF USE IN INDUSTRY) The present invention relates to a detachable information processing device wherein a specific component can be detached and is portable, in the field of information processing devices such as workstations and personal computers, and pertains to means for expanding the scope of applicability so as to enable the use of components in a large variety of combinations.

(0002)

(PRIOR ART) At present, there is a diversification of the forms of use of personal computers as personal computers are becoming ubiquitous. One of these is a trend wherein a single individual uses a plurality of personal computers. As a specific example, one may consider a businessman who uses three machines: a desktop personal computer used at the office, a notebook personal computer carried on business trips, and an inexpensive personal computer used at home.

(0003) A detachable personal computer has appeared on the market in response to such needs. The thought process is one of being able to detach for use, from the desktop computer used in the office, only those elements that must be carried away. The basic structure is that of a notebook personal computer and a docking station (hereinafter termed a "DS"), where, when the notebook personal computer is connected, it can be used as a desktop personal computer. There is the "Electronic Information Device and Docking Station," disclosed in Japanese Unexamined

Patent Application Publication H4-263304, as this type of prior art.

(0004)

(PROBLEM SOLVED BY THE PRESENT INVENTION) In the prior art set forth above, the target is for the notebook personal computer to be portable; however, in the present invention the object is to improve the portability, and a system is envisioned wherein a single component comprising the CPU, the memory, and the hard disk device from the desktop PC can be detached from the main unit. Here the component set forth above is defined as a personal processor module (hereinafter abbreviated "PPM") and the main unit other than the PPM is defined as the docking station (hereinafter abbreviated "DS"). The PPM and the DS can be envisioned as having the same relationship as a cassette tape and the video deck in a video system. While the PPM and the DS do not function at all individually, when the PPM and the DS are connected, they function as a usable personal computer.

(0005) In this type of detachable information processing device, the PPMs can be considered to be of a variety of different types of products with different processing capabilities, memory capacities, and the like, and the DSs can be considered to be a variety of different types of products depending on the state of use, such as a notebooktype, a desktop-type, or the like. In particular, the amount of heat that is generated by a PPM that can be used in a notebook-type DS, which is a packaging structure that has a small case and lacks a fan, and the like, and thus has little cooling capability, is limited. Because of this, even if a high-performance PPM with a large power consumption were physically attached to a DS, in practice it could not be used. In practice, while the power consumption of a notebook PC is about 10 W, a 100 MIPS-class CPU alone is more than 10 W. In this way, the control of heat production is an issue, and reduces the combined utility of the PPM and the DS. Consequently, the problem to be solved by the present invention is to increase the combined utility, taking into consideration the amount of heat produced and the cooling capability. (0006)

(MEANS FOR SOLVING THE PROBLEM) In order to solve the problem set forth above, a ROM for storing information indicating a DS cooling capability is provided, and also a selecting circuit for selecting a plurality of oscillator circuits and CPU operating frequencies is provided in a PPM.

(0007)

(OPERATION) At the time of system startup, information is read out from the ROM to identify the cooling capability of the DS. In response, a CPU operating frequency that produces an amount of heat for which the operation of the PPM is assured is selected. An oscillator corresponding to this frequency is selected by the selecting circuit. Given the above, while it is not possible to use the maximum performance of the PPM, this enables the use of a DS with a multifunctional cooling capability, increasing the combined utility.

(0008)

(EXAMPLES OF EMBODIMENT) An example of embodiment of the present invention will be described below. FIG. 1 and FIG. 2 will be used first to explain a product image of a

detachable information processing device that is structured from a PPM and a DS. FIG. 1 is an external view when the PPM has been removed, and FIG. 2 is an external view when the PPM is attached to the DS. In these figures, identical components are assigned identical codes. In the figures, 1 is a PPM, 2 is a DS, 3 is a PPM insertion slot in the DS 2, 4 is a display device, and 5 is a keyboard. When the detachable information processing device is used, the PPM 1 is inserted into the DS 2, as illustrated in FIG. 2. On the other hand, when the PPM is transported, the DS 2 is removed, as illustrated in FIG. 1. The user need only transport the detached PPM 1. For example, when used at both the workplace and at home, only a single PPM 1 and docking stations need be purchased. When compared to a notebook information processing device, the display device 4 and the keyboard 5 are not included in the PPM 1, and thus the device is small and lightweight, and superior in terms of portability. The internal structure of the PPM 1 and of the DS 2 will be explained next.

(0009) Fig. 3 is a block diagram of a detachable information processing device. In this figure, identical codes are assigned to the circuit blocks that have the same functions as in FIG. 1. The PPM 1 is structured from a system circuit 10 of the electronic circuit portion, and a connecting portion 11 that bridges to the DS 2. In the system circuit 10, 12 is a CPU, 13 is a memory/bus controlling circuit, 14 is a random access memory (hereinafter abbreviated "RAM"), 15 is a read only memory (hereinafter abbreviated "ROM"), 19 is a non-volatile RAM from which the data that has been written is not lost even if the power supply is turned off, 16 is a hard disk drive (hereinafter abbreviated "HDD") controlling circuit, 17 is an HDD, and 18 is a local bus. 24 is a power supply line to the system circuit 10. The DS 2 is structured from an electronic circuit portion system circuit 20, a connecting portion 21 that bridges to the PPM 1, and a power supply control circuit 23 that converts from AC (alternating current) to DC (direct current) and that controls the power supply to a power supply circuit 22, the system circuit 20, and the like. The power supply control circuit 23 not only supplies power to the system circuit 10 through the connecting portions 21 and 11, but also detects whether or not the PPM 1 is connected, through a connection information signal line 25. Additionally, the system circuits 10 and 20 can send and receive various types of data through the local bus 18 that is connected by the connecting portions 11 and 21. In this way, the PPM 1 and the DS 2 are attachable/detachable with the connecting portions 11 and 21 as the contact points.

(0010) The detailed structure of the system circuit 20 within the DS 2 will be explained here. As devices that are connected through the local bus 18, 30 is a LAN circuit connecting to a network, 31 is a DSP circuit for performing digital processing of signals such as audio and video signals, 32 is a display control circuit 32 for displaying necessary information on a display device 4, 33 is a SCSI control circuit for controlling the movement of data to/from a file device, or the like, 34 and 35 are and HDD and a CD-ROM that are controlled by the SCSI circuit 33, and 36 is an I/O control circuit for bridging between the local bus 18 and an I/O bus 37. As devices that are connected to the I/O bus 37, 37 through 38 are expansion slots into which

various types of I/O cards may be installed, 41 is a keyboard control circuit for controlling the keyboard 5, 42 is an FDC for controlling a floppy disk, 43 is a non-volatile RAM for storing various types of system information, and 44 is a printer control circuit for controlling a printer. All of these devices within the system circuit 20 are accessed by the CPU 12 within the PPM 1 in the state wherein the connecting portions 11 and 22 are connected. The overall operation of the system in this case will be explained below.

(0011) When the power supply circuit 22 begins to supply power, the power supply control circuit 23 detects, through the connection information signal line 25, whether or not the PPM 1 is connected. If connected, then the supply of power through the power supply line 24 to the DS 2 system circuit 20 and to the PPM 1 system circuit 10 commences. The CPU 12 that receives this supply of power begins its operations. First a program that is stored within the ROM 15 is executed. This program not only sets up the information that is required for the initialization of the various devices within the system circuit 10 and the system circuit 20, but also confirms the operations thereof. If there are no problems in any of the devices, then the CPU 12 issues a read command to the HDD control circuit 16 to download, into the RAM 14, a system program that is stored on the HDD 17. Once the download has been completed, then the CPU 12 executes this system program. The system program is typically known as the "operating system" (hereinafter abbreviated as "OS"), and as specific products, there are MS-DOS and UNIX. While the fundamental OS operations are identical, there are differences in the operating environment depending on the types of I/O that are used. In order to absorb the differences in the operating environments, programs known as "device drivers" that are compatible with the various I/Os are downloaded from the HDD 17 into the RAM 14. Once the device drivers have been set up and the startup of the OS has been completed, then the user runs various types of application software as necessary. For example, when one considers a word processor as the application software, the operation thereof may be summarized as follows. If the program is stored in the HDD 17, then the OS issues, to the device driver of the HDD control circuit 16, an instruction to readout the applicable program. This causes the device driver to send a command to the HDD control circuit 16, to thereby transfer the program from the HDD 17 to the RAM 14. Once the transfer has been completed, the program is executed to launch the word processor. After the startup, an instruction is issued to the device driver of the SCSI circuit 33 in order to readout a document file that is stored on the HDD 34 or on the CD-ROM 35, to thereby transfer the document file data from the HDD 34 or the CD-ROM 35 to the RAM 14. In order to display this data, the device driver of a display control circuit 32 is used to transfer the necessary data from the RAM 14 to the display control circuit 32. The display control circuit 32 converts this data into visual data that can be displayed, and sends the data to the display device 4. In order to edit the document that is displayed, edit data that has been inputted through the keyboard 5 is received by the keyboard control circuit 41, and the device driver thereof is used to transfer this data into the RAM 14. The document file that is already stored

in the RAM 14 is edited in accordance with this edit data, and the data after editing is again sent to the display control circuit 32, to display, on the display device 4, the document after editing. The device drivers corresponding to the various types of I/O are used in this way to perform a variety of tasks. When transferring document files to other information devices that are connected via a network, the device driver of the LAN circuit 30 is used; the device driver of the DSP circuit 31 is used for audio output of the document data; the device driver of the SCSI circuit 33 is used for storing the document file to the HDD 34; the device driver of the print control circuit 44 is used for printing the document; and the device driver of the FDC 42 is used for storing the document to a floppy disk.

(0012) As described above, in the detachable information processing device according to the present invention, the PPM 1 can be attached to the DS 2 to structure a single complete system. This type of detachable information processing device that is structured from a PPM and a DS must be compatible with a broad range of applications, from a high-performance desktop-type to a small and lightweight notebook-type. In particular, when a PPM that uses a high-performance CPU is used in a notebook-type DS, the cooling means for the PPM, which produces a great deal of heat, becomes a problem. Here it is possible to give up the use of the PPM at full power, to use the PPM with performance matching the cooling capability of the notebook-type DS. A specific example of embodiment of this is described below.

(0013) FIG. 4 is a block diagram of a detachable information processing device wherein the performance of the PPM can be adjusted in accordance with the cooling capability of the DS. In this figure, identical codes are assigned to identical signal lines and to circuit blocks that have the same functions as in FIG. 3. In the figure, 70 is an oscillator for producing a 66 MHz clock signal, 71 is an oscillator for producing a 33 MHz clock signal, 72 is a selecting circuit for the aforementioned clock signals, 72 is a register wherein the CPU 12 can set one bit, 74 is a selection signal line wherein the signal level is determined in accordance with the data in the register 73, and 75 is a ROM for storing the cooling information for the DS 2. The cooling information that is stored in the ROM 75 indicates that the DS 2 is a notebook type when this information is 0, and indicates that the DS 2 is a desktop-type when this information is 1. The CPU 12 is able to read in this information to identify whether the connected DS 2 is a desktop-type or a notebook-type. Additionally, the CPU 12 is able to select an operating frequency of either 66 MHz or 33 MHz by writing information to the register 73. Specifically, the selecting circuit 72 selects the oscillator 70 when the signal level of the selection signal line 74 is "H", or selects the oscillator 71 when the signal is "L". Consequently, when the CPU 12 writes a "1", the selection signal line 74 outputs a "H" level on the selection signal line 74, causing the 66 MHz clock signal of the oscillator 70 to be the clock for the CPU 12. Conversely, when the CPU 12 writes a "0", the selection signal line 74 outputs a "L" level on the selection signal line 74, causing the 33 MHz clock signal of the oscillator 71 to be the clock for the CPU 12. The amount of heat produced by the PPM 1 wherein the CPU 12 is operating at 33 MHz can be kept to a level that is not a problem for the cooling capability of the notebook-type DS. On the other hand, when it comes to the amount of heat that is produced by the PPM 1 when operating at 66 MHz, the cooling capability of the notebook-type DS is inadequate, but this amount of heat is not a problem for a desktop-type DS. These functions are transparent to the user, but instead the operating speed is selected by a program that is stored in the ROM 15. A flow chart of this program is described below.

(0014) FIG. 5 is a flow chart for setting the operating frequency of the CPU 12. This will be explained below. After the power supply is turned on, the CPU 12 reads out the cooling information from the ROM 75. If the cooling information is "1", then a "1" is written to the register 73, to thereby select the 66 MHz clock. Conversely, if the cooling information is "0", then a "0" is written to the register 73, to thereby select the 33 MHz clock. After the operating frequency of the CPU 12 has been set, then the configuration is detected, and the system is started up. Thereafter, the application software is launched to perform the desired tasks.

(0015)

(EFFECTS OF THE INVENTION) As described above, a high-performance PPM purchased by the user can be used even in a notebook-type by reducing the operating speed thereof, expanding its scope of application. In particular, because, in the present example of embodiment, the system determines the operating speed automatically, the user need not be aware of the relationship between the operating speed and the cooling capability. This also prevents incidents that could be caused by user error in the settings. The combined utility of the PPM and the DS can be improved thereby.

(BRIEF DESCRIPTION OF THE DRAWINGS)

FIG. 1 is an external view of a detachable information processing device as set forth in an example of embodiment according to the present invention.

FIG. 2 is an external view of the same detachable information processing device.

FIG. 3 is a block diagram of the same detachable information processing device.

Fig. 4 is a block diagram illustrating an example of embodiment according to the present invention.

FIG. 5 is a flowchart for the same.

(Explanation of Codes)

1: PPM

2: DS

10: System Circuit

20: System Circuit

18: Local Bus

11: Connecting Portion

21: Connecting Portion

70: Oscillating Device

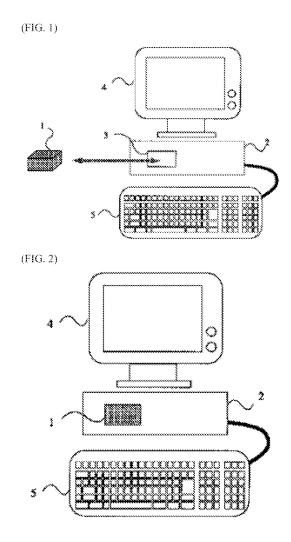
71: Oscillating Device

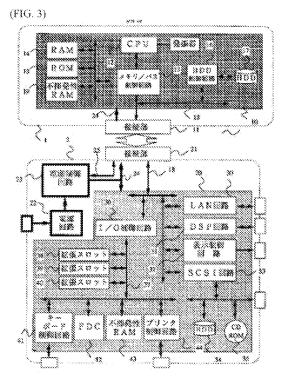
72: Selecting Circuit

73: Register

75: ROM

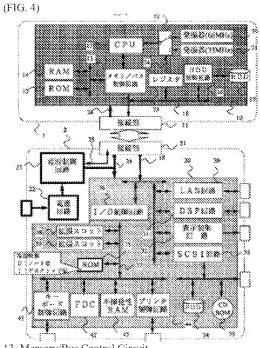
36: I/O Controlling Circuit





- 13: Memory/Bus Control Circuit
- [NEXT TO 16] Oscillator
- 16: HDD Control Circuit
- 11: Connecting Portion
- 21: Connecting Portion 23: Power Supply Control Circuit
- 22: Power Supply Circuit 38: Expansion Slot
- 39: Expansion Slot
- 40: Expansion Slot
- 41: Keyboard Control Circuit
- 36: I/O Control Circuit
- 43: Non-Volatile RAM
- 44: Printer Control Circuit
- 30: LAN Circuit
- 31: DSP Circuit
- 32: Display Control Circuit
- 33: SCSI Circuit

Japanese Unexamined Patent Application Publication H6-289953 (6)



- 13: Memory/Bus Control Circuit
- 70: Oscillator (66 MHz)
- 71: Oscillator (33 MHz)
- 73: Register
- 18: HDD Control Circuit
- 11: Connecting Portion
- 21: Connecting Portion
- 23: Power Supply Control Circuit
- 22: Power Supply Circuit
- 38: Expansion Slot
- 39: Expansion Slot
- [LEFT OF ROM] Cooling Information:
 - 0: Notebook-Type
 - 1: Desktop-Type
- 41: Keyboard Control Circuit
- 36: I/O Control Circuit
- 43: Non-Volatile RAM
- 44: Printer Control Circuit
- 30: LAN Circuit
- 31: DSP Circuit
- 32: Display Control Circuit
- 33: SCSI Circuit

(FIG. 5)

(FIG

- [11] Power Supply On
- [2] Read in the cooling information from the ROM 75.

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- [3] Is the cooling information "1"?
- [4] Select the 33 MHz clock.
- [5] Select the 66 MHz clock.
- [6] Configuration identification.
- [7] System startup.
- [8] Execute AP software.
- [9] Power Supply Off

Continued from FrontPage

(51) Int. CL 5 Identification codes JPO file numbers FI Technical indications 7165-5B G06F 1/04 310 A



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January 8, 2010

I, Dr. Warren Smith, Principal Translator at JETS: Japanese-English Technology Services of Durham, New Hampshire, hereby attest that I am familiar with the Japanese language, and have personally translated, from Japanese to English, and delivered via email to Emily Doan of Covington & Burling LLP this day the following Japanese Unexamined Patent Application Publication:

JP6-289953 Pub. Oct. 18, 1994 / Hitachi

I further attest that all reasonable care and effort has been used to ensure that the translation provided is true, complete, accurate, fair and impartial.

I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

Executed this 8th day of January 2010 in Durham, New Hampshire.

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603-674-2227

WO9218924

Publication Title:

A COMPUTER COMPRISING AT LEAST TWO EASILY INTERCONNECTABLE PARTS

Abstract:

Abstract of WO9218924

The invention refers to a computer incorporating components necessary for data processing, such as e.g. main board with CPU, primary and secondary memory and electronics for driving peripheral equipment such as monitor, keyboard, printer, etcetera, and which computer is composed by at least two easily interconnectable parts. The invention is realized in that the computer consists on one hand of a docking station intended mainly for stationary installation and on the other hand a detachable and easily moveable module, and that the docking station at least incorporates or is connected to a power supply, electronic components for driving the peripheral equipment such as monitor, keyboard, printer and that the module incorporates at least the CPU, a primary and secondary memory, and that the module is arranged to be moveable between and to be dockable in, or connectable to different docking stations, and that the module and the docking station, when joined together form an integral unit.

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WO 92/18924

#### INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 5: (11) International Publication Number: AI G06F 1/16 (43) International Publication Date: 29 October 1992 (29,10,92)

(21) International Application Number:

PCT/SE92/00253

(22) International Filing Date:

15 April 1992 (15,04,92)

(30) Priority data:

9101157-7

16 April 1991 (16.04.91)

SE

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(81) Designated States: AT (European patent), BE (European patent), CA, CH (European patent), DE (European patent), DK (European patent), ES (European patent), FI, FR (European patent), GB (European patent), GR (European patent), IT (European patent), JP, LU (European patent), MC (European patent), NL (European patent), NO, RU, SE (European patent), US.

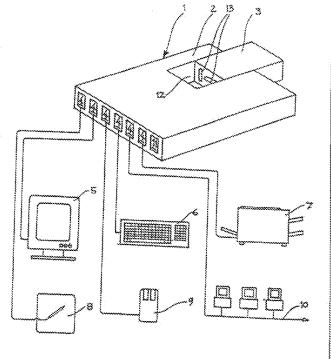
#### Published

With international search report, In English translation (filed in Swedish).

(54) Title: A COMPUTER COMPRISING AT LEAST TWO EASILY INTERCONNECTABLE PARTS

#### (57) Abstract

The invention refers to a computer incorporating components necessary for data processing, such as e.g. main board with CPU, primary and secondary memory and electronics for driving peripheral equipment such as monitor, keyboard, printer, etceters, and which computer is composed by at least two easily interconnectable parts. The invention is realized in that the computer consists on one hand of a docking station intended mainly for stationary installation and on the other hand a detachable and easily moveable module, and that the docking station at least incorporates or is connected to a power supply, electronic components for driving the peripheral equipment such as monitor, keyboard, printer and that the module incorporates at least the CPU, a primary and secondary memory, and that the module is arranged to be moveable between and to be dockable in, or connectable to different docking stations, and that the module and the docking station, when joined together form an integral unit.



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Sec.

# A COMPUTER COMPRISING AT LEAST TWO EASILY INTERCONNEC-TABLE PARTS

#### Introduction

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The invention refers to a computer incorporating components necessary for data processing, such as e.g. main board with CPU, primary and secondary memory and electronic components for driving peripheral equipment such as monitor unit, keyboard, printer, etcetera, and which computer consists of at least two easily interconnectable parts.

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#### Background of the invention

15 . The computers available on the market today are normally rather expensive and bulky. The complete units are furthermore rather heavy. Most computers are of stationary type i.e. they are not intended to be easily portable between different work sites, and they therefore are neither easy to move, to install or to start up. Installation of a computer requires a certain knowledge and also carefulness in 20 order to avoid damages to the sensitive parts of the system. Beside the physical placement and positioning of the computer box and the monitor installation normally involves that a number of connections must be made e.g. connection of the computer to a possible network, interconnection of keyboard and computer, connection of monitor, printer, mouse, connection of mains voltage, etcetera.

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It is often desirable to be able to carry along all programmes and all "private" data from one work site to another in a simple manner. This requirement can come up e.g. when visiting a client's office, during travel, in a car, a boat, etcetera. The user thereby has acess to, and can easily work, with the same software and data at several different work sites. It is then necessary to have a working tool which is easy to carry along and easy to start up in order to allow the user swiftly to start his work, without the need for making a timewasting installation of the computer at the new location. It is thus of critical importance that the starting up time can be reduced.

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#### Prior art

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For the purpose of facilitating the transfer of data from one computer to another it has earlier been developed movable secondary memories (hard discs). These systems require that a complete computer equipment is available at each work site, except for the hard disc itself, which is brought along. This means that the computer with its central processor unit (CPU), memory (RAM), mathematic processor unit (MPU) and other internal equipment such as drive electronics for the peripheral equipment, etcetera, must be available at every work station.

Unfortunately these components are the most expensive parts of a computer system. Cost development can be expected to go towards cheaper components, but ever bigger capacity is on the other hand required from the computers for being able to handle the ever more complex softwares. The system with moveable hard disc(s) therefore will become comparatively expensive as at least two complete computers and one moveable hard disc have to be used.

In more recent time small portable computers, so called notebook computers have been developed. These computers however suffer from a number of crucial drawbacks. The battery powered computers are often rather heavy and bulky and must normally be carried along as an extra briefcase. The operating time will furthermore be rather short as the mechanical components such as floppy disc drives, hard discs, etcetera, consume much energy.

The graphic display unit will not give the same resolution and not the color quality, a fixedly installed monitor unit normally can offer. Display units based on liquid crystal technique are often slow and have smaller display surface. The portable display units furthermore require that surrounding light falling thereon is reduced and that the diplay surface is viewed straight from the front as the viewing angle is limited. The keyboards are also small and inconvinient to work with. Portable computers when not powered by built-in batteries, furthermore have to be connected to mains voltage via a cable, which then must be carried along. Then the use is limited by the distance to a voltage tap.

Said drawbacks contribute to the fact that portable computers are manufactured and marketed in smaller series resulting in substantially higher prices as compared to corresponding computers intended for "fixed installation". This is consequently a

clear competetive drawback.

With known technique it is thus today possible to transfer and use programmes and data between two or more computer stations. The transfer may take place e.g. via data discettes, or, as mentioned via moveable hard discs. The firstmentioned is an inexpensive solution but requires a comprehensive work and it is in practice almost unused when it concerns data between different work stations. The technique furthermore requires expensive standard computers (with central processor units and RAM-storages) at the different work sites.

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The portable computes are neither a sound alternative, i.a. due to their high price, their limited diplay unit quality and due to the fact that series of connections must be made before any real work can start (connection to mains voltage, network, further peripheral equipment, such as printer, mouse, etcetera).

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# Purpose and most essential features of the invention

The purpose of the invention is to provide a computer which eliminates the 20 abovementioned drawbacks and combines the capacity of a powerful computer with a convenient flexible and cost effecient utilization and the use of which can be compared to having access both to a stationary and to a portable computer. The invention shall make possible simple installation at home, at the work site, at the customer's office, in the car, in the boat, in the weekend cottage, in the caravan, etcetera, and it also shall reduce the need of file transfer between different computer stations.

Another purpose of the invention is to make the most expensive and valuable parts of the computer unusable to others than the owner, or to personnel authorized by the owner, and the parts shall be easy to lock for reducing the risk for theft.

A further purpose of the invention is to make possible up-grading of older computer systems in a simple manner in order to achieve larger computer capacity at a lower cost and with additional user advantages gained with the invention. This is obtained by the features defined in the claims.

## Drawing list

The invention herinafter will be further described with reference to the accompanying drawings, wherein

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Fig 1, schematically shows a work station, with the parts included therein, in accordance with the invention,

Fig 2, shows more in detail, the parts included in the computer according to the invention and,

Fig 3, shows a module according to the invention, with the components forming part thereof and shown partly broken up.

#### Prefered embodiment

In figure 1 is shown a work station built in accordance with the invention. The work station mainly comprises a computer 1 and peripheral equipment 5-9. The computer 1 consists in turn of a docking station 2 and a module 3 inserted therein. A series of peripheral units such as a monitor 5, a keyboard 6, a laser printer 7, a digitizer 8 and a mouse 9 are connected to the computer 1 via connectors 4. The computer is further equipped with a connection intended for an external network 10. For driving the computer 1 is required mains current, wich is supplied via a connector for mains voltage 11.

The docking station 2 is mainly intended for stationary installation at a work site whereas the module 3 is detachable and intended to be easily moveable. For this purpose the docking station 2 is provided with a recess 12, the inner measures of which mainly corresponds to the outer measures of the module 3 and in which recess 12 the module 3 can be pushed in and be connected to the docking station 2 electrically and/or as to signals e.g. via electrical connectors 13, 22 or contact-free via optical members (not shown). The optical system may for instance comprise signal transfer units (not shown), having transmitting and recieving functions, and being positioned in the module 3 as well as in the docking station 2, and by means of which signal transmission is effected in a contact-free mode, e.g. via optical member such as laser optics, LED technique or the like. The signal transmisssion is effected at a speed about 100 MBaud.

The connecors 13, 22 are preferably of conventional type with a male part and a

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female part. For transmission of the data via the data bus, the connector 13, 22 incorporates a number of contact pins, e.g. 90. The connector 13, 22 incorporates either an integral or separate part for transmission of drive current. For this purpose is normally required 4 contact pins. The connector 13 in the module 3 is preferably recessed into the casing in order to reduce the risk for mechanical damages to the contact pins, whereas the male part of the connector 22 is provided in the docking station 2, where it is well protected for mechanical damages.

Preferably the module 3 is designed with the same installation dimensions as a normally 3.5° floppy discette drive but it may of course also be designed with larger or smaller installation dimensions. Packing of the necessary electronics within the smaller dimensions is made possible by new generation of moveable auxiliary storages (e.g. hard discs) that has been developed. As an example it can be mentioned that a hard disc known as PraireTek (registrered trademark) with a 2.5° disc has the external dimensions 100 x 70 x 15 mm and accomodates 20 Mb. A somewhat bigger but for this purpose quite useful hard disc accomodates 40 Mb and the development goes towards hard discs having higher capacity. It is of course possible also to use stationary electronic storages as secondary memory.

In Fig. 2 the parts incorporated in the computer 1 are further shown. In the module 3 there is e.g. provided a secondary memory 14, preferably a hard disc drive (HDD), also other expensive electronics, such as main board 15 with a central processor unit (CPU) 16, a control program unit for the computer (BIOS) 17, primary storages (RAM) 18, etcetera. It also shall be possible optionally to install a matematical coprocessor (MPU) 19 in existing base. The module 3 also contains an electronics unit, so called IDE interface 20, for driving/controlling the hard disc drive 14. An internal bus 21 interconnects the different internal units of the module 3 as to signals. The CPU 16 may be either a 80286 processor or a 80386SX processor having an operation frequency of e.g. 16 MHz. The size of the primary storage 18 is 1 Mb but it is easily expandable up to 8 Mb or more by addition of circuits in the different storage banks (RAM 1, RAM 2 etcetera).

The connector 13 engages the connector 22 when the module 3 is pushed into the docking station 2. The "internal bus" (multi conductor) 21 of the module 3 thereby is connected to the "external bus" 29 of the docking station 2, which is provided on the bus board of the docking station (not shown). The bus board thereby consequently replaces certain functions normally maintained by the conventional

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main board. The computer bus thus extends through the module 3 and also through the docking station 2. When the module 3 and the docking station 2 are separated from each other the bus thereby is divided into two physical parts and data processing may not be accomplished.

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To the external bus 29 is connected a grahic adapter 24, a keyboard contact 25, a parallel port 26, a serial port 27 and a network adapter 30. There are empty board positions 31 for expansion boards.

The connectors 13, 22 may consist of further parts, wherein beside the bus connection there is possibility directly to connect an additional hard disc drive, an additional floppy discette drive, etcetera.

The graphic adapter 24 controls, in a manner known per se the graphic of the monitor unit 5. The keyboard 6 is controlled by means of a function intended therefore and integral with the CPU 16. Via a parallel port unit 26 and/or via the serial port unit 27 it is possible to connect e.g. a laser printer 7, a mouse 9, a digitizer 8 etcetera, to the computer.

20 The network adapter 30 makes possible the communication with other computers (not shown). The docking station 2 includes, besides the docking space 12 itself, other electronic units necessary for the function of the computer 1. A power supply unit 23 is thus provided for supply of power to the docking station 2 and for controlling its peripheral equipment but also for the current requirement of the module 3. The current is transformed in the power supply unit 23 to voltage levels suited for the computer and its electronics, e.g. +/- 5V and +/- 12 V.

One or more floppy discette drives (FDD) may also be located in the docking station 2 as well as an additional hard disc drive 28 controlled from the module 3 via separate conduits (not shown).

The invention may also be applied for older computers, which easily can be rebuilt to suit the new technique. In one of the standard spaces, which has normally been reserved for an auxiliary discette drive or an auxiliary hard disc drive, it is then possible to mount a casette especially designed for the purpose, which thereby operates as a docking station. The conventional main board then is exhanged for a "bus board" without CPU. This means that older computers do not have to be

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discarded e.g., when the capacity of the computer system has to be expanded.

In figure 3 is shown, partly in broken up mode, an example of the appereance of a module 3 according to the invention in practice. Connectors 13 are provided in one gable of the module 3 for interconnection of the internal and the external bus, a possible external discette drive unit and/or an additional hard disc drive.

The computer according to the invention is provided with a code system, which inhibits the possibility for unauthorized persons to use the computer. The code system is implemented in the software in known manner; but it may also be accomplished as an electronic unit positioned in the module 3. This will reduce the attractiveness for thefts and the data security will increase.

By the structural design is achieved that several computer installations, as mentioned above, can be obtained at comparatively low cost in that expensive components must not be doubled, that software and data may be transported in a convenient and safe manner and that the need for set ups has been practically eliminated at transport between different work stations i.e. the set up time/start time is short.

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The invention is of course not limited to the embodiment described but may be varied within the scope of the appended claims. It thus is quite possible to build in a docking unit in the dashboard of a car, a boat or the like. It is also possible to mount a docking unit below the tabletop at the work site or just on or in the wall adjacent the work site in question. When there is to little space at the location where it is desirable to place the docking station 2, it is possible to position space requiring electronic components separetely in a cover of its own, which via cables is connected to the docking station 2.

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Claims

1. A computer comprising components necessary for data processing, such as e.g. main board with CPU, primary and secondary memory and electronics for driving peripheral equipment such as monitor, keyboard, printer etcetera, and which computer is composed by at least two easily interconnectable parts, c h a r a c t e r i z e d t h e r e i n,

that one of the parts is an easily movable module (3), and that this module (3) incorporates at least the CPU, primary and secondary memory of the computer and, that the module (3) is arranged to be connected to or docked in a docking station (2) intended for stationary installation and, that the module (3) and the docking station (2) thereby form an integral unit and an operating computer.

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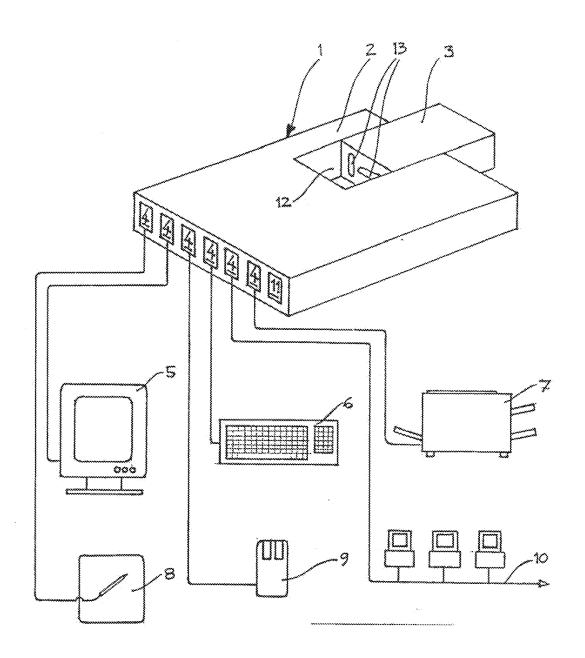
2. A computer as claimed in claim 1, characterized therein, that the docking station (2) incorporates, or is connected to, at least a power supply (23) and electronic unit (20-24) for driving the peripheral equipment such as e.g. monitor (5), keyboard (6) and printer (7).

3. A computer as claimed in claim 1 or 2, characterized therein, that the module (3) is arranged to be moveable between, dockable in, or connectable to different docking stations (2).

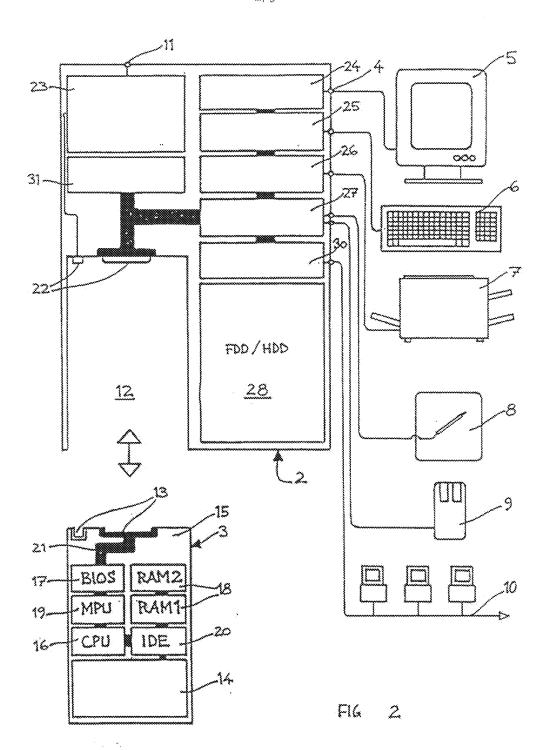
connectable to different docking stations (2).

4. A computer as claimed in anyone of the above claims,

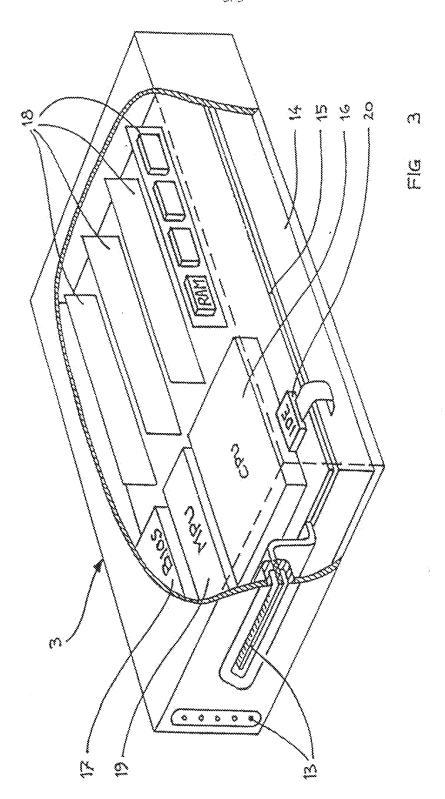
characterized therein,
that signal transmission units with transmitting and receiving functions are provided
in the module (3) as well in the docking station (2) and by means of which the
signal transmission between the module (3) and the docking station (2) is effected
contactfree e.g. via optical members such as laser optics, LED technique or the
like.



FIGUR 1



# SUBSTITUTE SHEET



# SUBSTITUTE SHEET

# INTERNATIONAL SEARCH REPORT

International Application No PCT/SE 92/00253

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| IPC5: G 06 F                                                                                                                                                                                                                                             | ational Patent Classification (IPC) or to both t<br>1/16                                                                                                               | istional Classification and IPC                                                                                          |                                                                                                                                        |  |  |
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| Classification System                                                                                                                                                                                                                                    |                                                                                                                                                                        | Classification Symbols                                                                                                   |                                                                                                                                        |  |  |
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|                                                                                                                                                                                                                                                          |                                                                                                                                                                        | r than Minimum Documentation<br>Is are included in Fields Searched <sup>8</sup>                                          |                                                                                                                                        |  |  |
| SE,DK,FI,NO                                                                                                                                                                                                                                              | lasses as above                                                                                                                                                        |                                                                                                                          |                                                                                                                                        |  |  |
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| Category * Citet                                                                                                                                                                                                                                         | ion of Document," with indication, where ap                                                                                                                            | propriate, of the relevant passages 32                                                                                   | Relevant to Claim No.13                                                                                                                |  |  |
|                                                                                                                                                                                                                                                          | ······                                                                                                                                                                 |                                                                                                                          | <b></b>                                                                                                                                |  |  |
| . SE                                                                                                                                                                                                                                                     | . 4769764 (ISAAC LEVANON):<br>e column 5, line 62 - co<br>ine 12; column 8, line 1<br>igures 2,7A,7B                                                                   | lumn 6.                                                                                                                  | 1-3                                                                                                                                    |  |  |
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| se                                                                                                                                                                                                                                                       | US, A, 4942534 (YOKOYAMA ET AL) 17 July 1990, see column 2, line 66 - column 3,                                                                                        |                                                                                                                          | 4                                                                                                                                      |  |  |
| A                                                                                                                                                                                                                                                        | line 18; figure 3                                                                                                                                                      |                                                                                                                          |                                                                                                                                        |  |  |
| SE                                                                                                                                                                                                                                                       | EP, A2, 142013 (MARTE, GERHARD) 22 May 1985,<br>see page 1, line 1 - line 10;<br>figure 1                                                                              |                                                                                                                          | <b>4</b>                                                                                                                               |  |  |
| A                                                                                                                                                                                                                                                        | an e                                                                                                                                                                   | 1-3                                                                                                                      |                                                                                                                                        |  |  |
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| liling date                                                                                                                                                                                                                                              | e, the claimed invention<br>annot be considered to                                                                                                                     |                                                                                                                          |                                                                                                                                        |  |  |
| *L* decument which may throw doubts an griority claim(s) or which is cited to establish the publication date of another citation or other special reason (as a specified)  *Co document referring to an aral disclosure, use, exhibition or other means. |                                                                                                                                                                        |                                                                                                                          | oppions to a because spilling<br>or work other ancy goon-<br>or work other and goon-<br>or we maked throughout<br>whe maked throughout |  |  |
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| IV. CERTIFICATION                                                                                                                                                                                                                                        |                                                                                                                                                                        |                                                                                                                          |                                                                                                                                        |  |  |
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| International Searchin                                                                                                                                                                                                                                   | Ulm                                                                                                                                                                    |                                                                                                                          |                                                                                                                                        |  |  |
|                                                                                                                                                                                                                                                          | ISH PATENT OFFICE                                                                                                                                                      | <u> Katarina Fredrikssofr</u>                                                                                            |                                                                                                                                        |  |  |

# ANNEX TO THE INTERNATIONAL SEARCH REPORT ON INTERNATIONAL PATENT APPLICATION NO.PCT/SE 92/00253

This annex firsts the patent (amily members relating to the patent documents cited in the above-mentioned international search report. The members are as contained in the Swedish Patent Offics EDP file on The Swedish Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

| ci     | Palani document<br>lad in search report | Fubilization<br>date | Paisn<br>mer | t femily<br>nber(s) | Publication<br>date |
|--------|-----------------------------------------|----------------------|--------------|---------------------|---------------------|
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| JS-A-  | 4942534                                 | 90-07-17             | JP-A-        | 1150879             | 89-06-13            |
| EP-A2- | 142013                                  | 85-05-22             | NONE         | •                   |                     |
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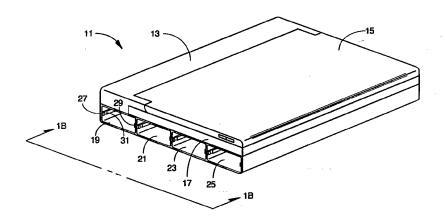
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# INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

| (51) International Patent Classification 5:                                                |                                                  | 11) International Publication Number   | WO 94/00970               |  |
|--------------------------------------------------------------------------------------------|--------------------------------------------------|----------------------------------------|---------------------------|--|
| H05K 7/10, G06F 1/16                                                                       | A1                                               | 43) International Publication Date:    | 6 January 1994 (06.01.94) |  |
| (21) International Application Number: PCT/US (22) International Filing Date: 29 June 1993 | DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). |                                        |                           |  |
| (30) Priority data:<br>07/905,480 29 June 1992 (29.06.92)                                  | 1                                                | Published With international search re | port.                     |  |
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| (72) Inventor: KIKINIS, Dan; 20264 Ljepava Drive, CA 95070 (US).                           | Sarato                                           | _                                      |                           |  |
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# (54) Title: MODULAR NOTEBOOK COMPUTER



#### (57) Abstract

A modular notebook computer has a framework (11) with module bays (19, 21, 23, 25) for receiving CPU modules, power modules, and peripheral function modules such as floppy and hard disk drives. The framework has a built-in compressed bus and a variety of function modules which can be plugged into any one of the module bays. Function modules include, but are not limited to, CPU, power, floppy disk, hard disk, RAM memory, LAN communication, modem, FAX communication, and data acquisition.

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WO 94/00970 PCT/US93/06146

#### Modular Notebook Computer

#### Field of the Invention

The present invention is in the area of portable computer systems, and is particularly relevant to portable computers known as notebook computers.

#### Background of the Invention

Notebook computers are popular among computer users who travel and need to take their work along. They are smaller and lighter than laptops, and are therefore more portable. The evolution to smaller and lighter portable computers, however, is not without problems. For one thing, smaller portable computers means less space for battery packs, which usually means shorter useful life between recharges. Another problem related to smaller size is a difficulty in providing versatility. Smaller size naturally means less space to provide a broad choice in peripheral devices and options.

Yet another problem is related to addresses and data byte size. State-of-the-art computers are capable of 32 bit addresses and 32 bit data words. A 32 bit computer, though, typically needs a bus structure with nearly 100 active signals, equating to high pin count and extensive device and component density. Such high density in a small computer creates many other problems, such as problems with heat dissipation. These are a few of the many problems in designing and developing notebook computers.

What is needed is a new design for a notebook computer based on a bus structure allowing 32-bit capability with a minimum pin count, utilizing, for example, multiplexing of address and data on a single 32 bit structure, and utilizing only state-of-the-art technology to minimize power (hence buffer) requirements, which also minimizes heat and equipment complexity and

WO 94/00970 PCT/US93/06146

- 2 -

density problems. Modularity needs to be raised to a new level by making virtually all components modular and "plug-in", including CPUs, power packs, and all of the various known sorts of peripheral devices.

#### Summary of the Invention

In an embodiment of the present invention a frame work for a modular computer is provided having a frame and enclosure for supporting and housing other elements and module bays for docking functional modules. The frame and enclosure supports a Notebus for address, power control, and data transmission between module bays, including a compressed bus architecture with data and address words sharing one set of parallel traces, and at least one power trace for transmitting electrical power. The framework also has an electrical power conversion unit for accepting electrical power from outside the framework, converting it to voltage and current levels needed by the module bays, and supplying the converted power to the power trace of the Notebus. In addition, there is a display screen and a keyboard for input and output, and each module bay has a receiving connector for receiving a mating connector on a function module and providing connection to the Notebus.

In one embodiment the framework has a central spine housing a portion of the Notebus, and a plurality of module bays arranged to either side of the notebus. In this embodiment each module bay has a receiving connector, guides for guiding a function module into position, a latching device for holding the function module in the module bay with the mating connector engaged in the receiving connector, and an expulsion mechanism for expelling a function module upon initiation by an operator.

A CPU module is provided according to an embodiment of the invention with a CPU mounted in a case configured ٠. ز

to guide into and dock in a module bay of the framework provided. A state translator resides in the CPU module, and is connected to translate between the states of the CPU and the states of the Notebus. In one embodiment the CPU module has on-board RAM for providing quick memory response without bus wait states.

A modular computer according to the present invention has a framework as described above, plus a CPU function module docked in a module bay and connected to the Notebus. There are power modules provided according to embodiments of the invention for providing power, and more than one such power module may be used to provide extended operating time. There are also function modules provided for such as floppy disks, hard disk drives, LAN communication, additional RAM, modem communication, serial communication, and FAX transmission over phone lines.

The modularity of the present invention is unique in providing modular CPU compling, which allows a user to upgrade the modular computer to a more powerful CPU, or to a CPU dedicated to, or especially designed for, a particular need. The modularity also allows a user to tailor the computer to provide longer (or shorter) time between recharges.

#### Brief Description of the Drawings

Fig. 1A is an isometric view of a modular notebook computer framework according to an embodiment of the present invention.

Fig. 1B is a view of the computer framework of Fig. 1A from one side from the vantage of line 1B-1B on Fig. 1A.

Fig. 2 is a sectioned plan view of the computer framework of Fig. 1A taken according to section line 2-2 of Fig. 1B.

Fig. 3 is an isometric illustration showing a

WO 94/00970 PCT/US93/06146

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function module according to the present invention associated with a docking bay of the framework of Fig. 1A.

Fig. 4 is another view of a function module according to the present invention.

Fig. 5 is a block diagram of a compressed bus and connection to docking bays in a computer framework according to an embodiment of the present invention.

Fig. 6 is a block diagram of a CPU function module

according to an embodiment of the present invention.

Fig. 7 is a block diagram of a power supply function module according to an embodiment of the present invention, with indication of connections to the internal bus structure and power conversion unit of the computer.

Fig. 8 is a block diagram of a floppy disk drive function module used in the invention.

Fig. 9 is a block diagram of a hard disk drive module used in an embodiment of the invention.

Fig. 10 is a block diagram of a "flash card" memory module according to an embodiment of the present invention.

Fig. 11 is a block diagram of a LAN module according to an embodiment of the present invention. Fig. 12 is a block diagram of a modem module according to an embodiment of the present invention. Fig. 13 is a block diagram of a FAX module according to an embodiment of the present invention. Fig. 14 is a block diagram of a data acquisition module according to an embodiment of the present invention.

## Description of the Preferred Embodiments

General Description

Fig. 1A is an isometric drawing of a notebook computer framework 11 according to the invention. Framework 11 comprises a back housing 13, a tilt-up flat panel display 15, shown closed, a keyboard 17, and a plurality of module bays for plugging in function modules. Back housing 13 includes a power unit for converting electrical input on a wide variety of standards to the form required by the computer. For example, there is a port (not shown) for connecting to a standard household outlet, rated at 120 V., 60 Hz, alternating current. The power unit will convert the input to outputs as needed by the computer bus and functional modules. There are also input ports for 6 V. DC, 12 V. DC, 9 V. DC, and others, and the power unit in one embodiment of the present invention is capable of recognizing the input characteristics by sampling, and switching to proper on-board circuitry to utilize the input.

In the embodiment shown by Fig. 1A four module bays 19, 21, 23, and 25 are shown along one side of the framework. There are four more module bays along the other side of the framework opposite the module bays shown. There could be more or fewer module bays, but eight is convenient and a good balance between the need to stay small and simple, and to also have adequate versatility.

Fig. 1B is an end view of the notebook computer framework of Fig. 1 in the direction of arrows 1B-1B of Fig. 1A. Each of the module bays has a set of guide and positioning rails such as rails 27 and 29 in bay 19. The rails are to position and guide a function module inserted into the module bay. Each rail in a set has a detent such as detent 31 for latching a module when the module is fully inserted in the bay. Each bay also has a connector such as connector 33 in bay 19. The connectors are for coupling to a mating connector on a function module inserted in a bay. It will be apparent to a

- 6 -

person with skill in the art that there are a number of equivalent ways guide rails, detents, and coupling may be accomplished.

Fig. 2 is a plan section view just above the module bays taken along section line 2-2 of Fig. 1B. Bays 19, 21, 23, and 25 are shown on one side of the section view, and bays 35, 37, 39, and 41 along the opposite side. A printed circuit board structure 57 is fastened in a substantially vertical position down the center of frame 59, and connectors 33, 43, 45, 47, 49, 51, 53, and 55 are connected to the printed circuit board structure and present their pin structure outward toward the respective bay areas. In the presently described embodiment the internal connectors are male connectors, but this is not a requirement of the invention.

As also shown in Fig. 1A, each module bay has a pair of opposed rails located vertically at about the midpoint of the height of the module bay. Rails 27 and 29 serve module bay 19, and similar rails are located in each of the other module bays.

Fig. 3 is an isometric view of a function module 61 according to the invention aligned with module bay 25 of framework 11. Module 61 includes guides 63 and 65 on opposite sides for engaging rails 67 and 69 when module 61 is inserted into bay 25. The module has two springloaded detent levers (lever 73 is shown) for engaging detents in guide rails 67 and 69 when the module is fully inserted. Detent 71 is shown in rail 67 in Fig. 3. Each module bay has a compression spring mechanism engaged by a function module as the module nears full insertion, so there is an outward force on the module when the detent levers engage the detents. Mechanism 75 (Fig. 2) is exemplary. To insert a module one aligns the guides in the module with the guide rails and pushes the module into the module bay until the detents engage. Button 79 on front face 77 of the module is for retracting the detent levers of the module, in which case . :

the spring mechanism ejects the module, much as is the case with some floppy disk drives.

Fig. 4 is an isometric view of function module 61, showing back face 81 opposite front face 77. The back face includes a recessed female connector receptacle 83 in the preferred embodiment, for mating with male connectors positioned in each pod bay, such as connector 33 in Fig. 1B and Fig. 2. A second detent lever 74 is opposite lever 73 of Fig. 3.

In the embodiment described above, and in many other embodiments, the notebook computer framework of the present invention comprises a frame with module bays and connectors as described above for "plugging in" function modules, power supply units, and other peripheral devices. The framework also comprises display 15, keyboard 17, and an internal bus structure hereinafter termed the Notebus, which is described in additional detail below, in the section titled "Notebus Bus Structure".

The function modules, as represented by module 61 in Fig. 3 and Fig. 4, are provided in a wide variety of different models capable of a wide variety of different functions. For example, framework 11 has no "on-board" CPU, battery power, or system memory. These functions and all other functions are provided by different models of function modules which may be inserted in any one or a combination of the available module bays. Other kinds of function modules that may be inserted include floppy-disk drives, hard-disk drives, "flashcard" memory modules, LAN and modem adapters, Fax modules, specialty modules such as data acquisition modules adapted to specific equipment, and more. The function modules are also described in more detail in the section below titled "Function Modules".

Electronic Architecture

Fig. 5 is a block diagram showing internal elements of Notebook computer framework 11, connected to show the electronic architecture of the notebook computer according to the invention. Power input and conversion unit 85 is housed in back housing 13 (Fig. 1) and has ports 87 for power inputs. Unit 85 senses the input conditions and selects appropriate circuitry to convert the input to the voltages needed to power the other elements of the system. Output from the conversion unit is to Notebus 89, which comprises paths for power as well as for digital information such as data and addresses. Because there are a wide variety of function modules, as indicated above and described in more detail below, there needs typically to be more than one power line in the Notebus. For example, the notebook computer of the invention comprises hard disk drive modules, and these modules are preferably provided without their own "on board" power source. The motor drive for the hard disk requires a different power (voltage and current) than does a CPU, for example, so there are parallel power lines of differing size and voltage level in the notebus. A typical Notebus will have, for example, a line for 24V DC, another for 12V DC, and yet another for 5V DC, as well as multiple ground lines.

Notebus 89 connects to a video display controller 91 including Video Random Access Memory (VRAM) which both powers and controls display 15, which in the preferred embodiment is a flat panel display driven by analog driver lines on analog bus 93. Notebus 89 also connects to a keyboard controller 95 which powers and controls keyboard 17 over link 97, accepting keystroke input and converting the input to digital data for transmission on Notebus 89. The keyboard controller may be physically mounted in the keyboard or in framework 11.

Notebus 89 also connects as illustrated in Fig. 5 to each of the module bays, such as bay 19, through connectors, such as connector 33. When a function

WO 94/00970 PCT/US93/06146

- 9 -

module, such as module 61, is inserted into a module bay, the mating connector in the back of the function module mates with the connector from the Notebus, and circuitry inside the function module is then connected to the Notebus.

#### Notebus Bus Structure

The Notebus comprises, as stated above, both power and data paths. The digital lines are capable of carrying 32 addresses and conveying data in 32 bit word length. To minimize pin count and routing complexity, addresses and data are multiplexed on a single set of 32 traces in the overall bus structure. One with skill in the art will recognize that this type of bus is what is known in the art as a low-pin-count or compressed bus. In this kind of bus different types of signals, such as address and data signals, share signal paths through multiplexing. For example, the same set of data lines are used to carry both 32-bit addresses and data words of 32-bit length.

In the Notebus of the present invention, some control signals, such as interrupt arbitration signals, may also share the data lines. Typical examples of buses that are exemplary as usable for the Notebus (with the exception of power supply analog lines in the Notebus) are the "S-Bus" implemented by Sun Microsystems, the "Turbochannel" Bus from Digital Equipment Corporation, and buses compatible with the IEEE-488 standard. The Notebus is a high-speed backplane bus for interconnecting processor, memory and peripheral device modules. The Notebus also supplies standard operating and standby power supply voltages and electrical ground to all module bays.

Function Modules

Fig. 3 and Fig. 4 show, as described above, two different views of a function module according to the present invention. Also as stated above, function modules may have many different functions. There are as many different functions, in fact, as there are possibilities for discrete peripheral devices, plus power and CPU modules. An individual function module is provided for each function, and in each case the function module has a physical size and form compatible with the bays, guide rails, and connectors for "plugging in" to framework 11.

The "face" of a function module, being the exposed face when the module is "plugged in" (see face 77 in Fig. 3) may have elements specific to the type of module. For example, a CPU module may have no indicators or other elements on the front face, while a floppy disc module will typically have an opening for inserting a floppy disk and a "key" or button for releasing and ejecting the floppy disk.

A unique feature of the present invention is that the CPU for the notebook computer is provided as a CPU function module. This provides an ability for a user to tailor the CPU power to the other modules and application for the notebook computer, and an easy upgrade to more powerful CPUs.

Fig. 6 is a diagram of a CPU module 99 plugged into a bay in a notebook computer according to the present invention. In this case (referring to Fig. 2) the module is plugged into bay 19 having connector 33. This is exemplary, as the module could just as well be plugged into any open bay of framework 11. By virtue of being plugged into connector 33 or another module connector, internal elements of the CPU module are connected to Notebus 89.

The internal elements for module 99 comprise CPU 103, a state translator 105, and RAM memory 113. CPU 103 may be any of a wide variety of CPUs (also called in some

cases MPUs) available in the art, for example Intel 80386

or 80486 models, MIPS, RISC implementations, and many others. CPU 103 communicates with State Translator 105 over paths 107, and State Translator 105 communicates with connector 33, hence Notebus 89, over bus 109 internal to the module, which is an extension of bus 89 when the module is plugged into bus 89. State translator 105 is a chip or chip set designed to translate commands and requests of the CPU to commands and requests compatible with the Notebus. It was mentioned above that CPU 103 may be one of a wide variety of CPUs, and that Notebus 89 may be any one of a wide variety of compressed buses. It will be apparent to one with skill in the art that there may be an even wider variety of state translators 105 for translating between the CPU and the Notebus. The state translessor is theoretically a different device for each possible combination of CPU and Notebus. RAM memory module 113 comprises conventional RAM chips mounted on a PCB as is known in the art, and connectable to state translator 105 by a plug or connector interface, such as an edge connector. The purpose of having a RAM module "on board" the CPU module is to provide for rapid memory access, which will be much slower if the RAM is made available in a separate module at one of the other module bays. Memory at another module bay is on the Notebus, and will be subject to bus contention and wait states. The plug-in nature of the RAM unit relative to the CPU module allows different amounts of memory to be provided with a CPU module in the notebook computer of the present invention. As described above, Notebus 89 comprises not only the shared data and address lines, but also power and ground connections for the modules plugged into the various bays. Paths 109 and 107 therefore comprise power and ground lines for CPU 103 and Translator 105. If, for example, CPU 103 is an INTEL 80486

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microprocessor, State Translator 105 will be a translator for accommodating the state machine of the 80486 to the state machine of the Notebus, which may be any one of the buses described above for bus 89, or another compressed bus. There are many equivalent ways a translator may be implemented for the specific case. Given the manufacturers available design information for the CPU and the equivalent information for bus 89, it is within the skill of workers in the art without undue experimentation to implement the translator and the required connections. This is conventional technology. The implementation of the translator on a module with a CPU to plug into a module bay in the notebook computer is unique to the present invention. In the invention, state translators may be implemented in a single chip set or circuitry set to be capable of translating between a number of CPUs and a number of different bus possibilities. One might, for example, design and implement a translator with the necessary circuitry and intelligence to translate between three different CPUs and three different compressed buses. The state translator could be made hardware or software programmable to select one CPU and one bus from the available selections at some convenient time in the manufacturing cycle, or even at the time of selection of modules to make up a notebook computer. As an example of a hardware programmable translator, a translator could be built to have certain traces cut as a near final step in manufacture as a way of selecting the CPU and bus pair. Translators could also be programmable by virtue of on-board EPROM or EEPROM devices. As an example of software programmability, translators could be implemented with microprocessor technology and software programmable. A CPU module could be plugged into a connector on a special

programming unit, for example, before installation in a notebook computer according to the present invention, and

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be sent certain commands to set up on board software to translate between the desired CPU and bus. It will be apparent to one with skill in the art that there are many possible variations in the implementation of the translators.

Fig. 7 shows a power module 111 plugged into a bay in the notebook computer according to an embodiment of the present invention. The purpose of a power module is to provide a source of power for the computer, which includes any modules plugged into the module bays. As is common in notebook computers in the art, there may be a battery, typically rechargeable, in framework 11, and the battery may also be replaceable and rechargeable through power input lines 87. In the case of an on-board battery pack, there is the option of using all module bays for other than power packs.

Preferably framework 11, sans function modules, has no power capability other than power plugged into one of input lines 87, which may be converted to the power characteristics needed by the computer and distributed on the power lines of the Notebus. For portability, power is typically supplied by one (or more) power modules 111 plugged into one or more of the module bays. Module 111 has a battery pack 101 which is connected via lines 117 to (in this case for example) connector 33, and hence to Notebus 89. Because there are several supply lines in the Notebus for supplying power to function modules at different voltage and with different current capability, the power lines in the Notebus for connecting a power module 111 are not the same as the lines for supplying power to a module. There is instead a separate sat of power lines to pins on the module bay connectors, such as connector 33, which connect as input to power input and conversion unit 85. much as do input ports 87.

In Fig. 7, lines 119 and 121 connect power module
11 to conversion unit 85, where the power input from the

power module is sensed and treated as a power source, much as is done for power input lines 87. This power is converted to the needed voltages and current capabilities, and put back out on the power supply output lines to the module bays. In Fig. 7 line 119 is ground, and arrow 123 represents all of the data/address, control, and power output lines to the module bays. Lines represented by arrow 123, plus lines 119 and 121 are Notebus 89. Although not shown in Fig. 7, there are connections for line 119 and for line 121 to each of the module bay connectors.

Power modules such as module 111 may be plugged into a connector on a charging module separate from the notebook computer, using the same connector used for plugging into the Notebus via a module bay of framework 11, and recharged for later use with a modular notebook computer according to the invention. This allows a user to keep spare power modules ready for use, and to recharge modules without connecting the computer itself to a charging unit. Moreover, the provision of power modules allows a user to provide more or less portable time to the notebook computer by using one or more than one power module.

Fig. 8 shows a floppy disk drive (FDD) module 125 plugged into a module bay in a notebook computer according to an embodiment of the present invention. Module 125 comprises a conventional FDD unit 129 for nominal 3.5 inch disks with conventional circuitry mounted in a case 130 to provide a module with guides, latches, and a connector matable with connector 35 to be able to plug into a module bay in the notebook computer of the present invention. The case comprises an opening 131 for inserting and withdrawing a floppy disk, and an eject button 133 for ejecting a floppy disk.

A controller 127 communicates with unit 129 over lines 126 and with connector 33 (hence Notebus 89) over lines 128. The unit also derives power from the

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appropriate pins on connector 33, but these pins and lines are not shown. Controller 127 is an ASIC chip or chip set for translating between the notebus and the FDD unit. Given the data storage standards of the FDD unit and the characteristics of bus 89, it is within the scope of workers with ordinary skill in the art to implement controller 127 without undo experimentation. Fig. 9 shows a hard disk drive (HDD) module 135 according to an embodiment of the present invention plugged into bus 89 in a module bay of framework 11. HDD module 135 comprises a conventional HDD unit 139 mounted in a case 137 to be compatible with plugging into a notebook computer according to the present invention. As in the case of the FDD module described above, a controller 141 is provided to translate between Notebus 89 and the HDD unit. Controller 141 communicates with HDD unit 139 over lines 143, and with connector 33 over lines 145. Connector 33 is exemplary of any one of the module connectors in the notebook computer. Given the characteristics of HDD unit 139 and of Notebus 89, it is within the skill of workers in the art to implement controller 141 without undue experimentation. Power line connections are not shown. In implementing controller 141 there are several protocols that may be used. One is the ST506 standard known in the art. Another is the IDE standard known in the art. Yet another is an enhanced IDE, called EIDE, known to the inventors, and the subject of a separate patent application to be filed. In the EIDE protocol. there may be multiple IDE devices daisy-chained and addressed as secondary IDE devices with an additional select number.

Fig. 10 shows a "flash card" memory module 147 plugged into connector 33 of the notebook computer of the invention. "Flash cards" are RAM memory cards known in the art pluggable into typically parallel ports o make connection with internal bus structures of a computer.

16

Module 147 comprises a conventional "flash card" 151 mounted in a case 149 compatible with the module bay of a notebook computer according to the present invention. As in cases described above, a controller 153 is needed to accomplish communication between the memory structure of the "flash card" and bus 89. Controller 153 communicates with "flash card" unit 151 over lines 155 and with connector 33 over lines 157. There may optionally be an opening 159 in case 149 and a connector (not shown) within unit 151 for inserting and withdrawing flash cards, so relatively large collections of data may be plugged in as desired. Alternatively the interface may be the modular interface afforded by the plug-in module 147. Again, given the known characteristics of the flash card and of bus 89, implementation of the controller is a matter within the skill of workers in the art.

Fig. 11 shows a LAN module 161 plugged into connector 33 of a notebook computer according to an embodiment of the present invention. In the embodiment shown in Fig. 11 a conventional LAN card, such as an Ethernet card, is mounted in a case 163 to be compatible with plugging into a module bay of a notebook computer according to an embodiment of the present invention. LAN card 167 communicates with a conventional connector 165 in the face of the case of module 161 that is exposed when the module is plugged into a bay. This is a conventional connector of the sort known in the art for connecting computers on a network. Within module 161, in a first alternative, conventional LAN card 167 interfaces to a controller 169 communicating over lines 171 and 173, and the controller translates between bus 89 and the conventional LAN card. In a second alternative, a LAN card is provided with the translation built-in, so no separate controller is needed. The first alternative is preferable. Fig. 12 shows a modem module 175 plugged into

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connector 33 in a bay of a notebook computer according to

an embodiment of the present invention. Modem module 175 comprises a conventional modem card 181 mounted in a case 177 to be compatible with plugging into a module bay. In this case, and other cases above, where the term "conventional" is used in conjunction with a card or unit, it is meant that the circuitry and function is conventional. The size may be adjusted to be compatible with a module case for plugging into a bay of a notebook computer according to the present invention. Modem card 181 connects over lines 183 to a telephone interface 179, which may comprise more than one "jack" so a hand set may also be connected. Card 181 communicates to Notebus 89 over lines 187 and 189 through controller 185, which translates between the conventional card and the compressed bus. Alternatively, the translation components may be implemented on a single card along with the modem circuitry. Fig. 13 shows a FAX module 191 plugged into connector 33 of a module bay in an embodiment of the present invention. Module 191 comprises a conventional FAX card 199 mounted in a case 193 to be compatible with plugging into a module bay in the present invention. Fax card 199 communicates over lines 197 with a telephone interface 195, which may, as in the case of the modem module described above, have more than a single telephone ".jack".

A controller 201 provides an interface for the conventional FAX card between the card and Notebus 89 over lines 203 and 205. Alternatively, the controller may be implemented on the same card as the FAX circuitry. In yet another alternative, the FAX capability and the modem capability described above may be implemented into a single module.

Fig. 14 shows a specialty data acquisition module 207 plugged into connector 33 in a module bay in a notebook computer according to an embodiment of the

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present invention. Module 207 comprises a circuit card 215 mounted in a case 209 to be compatible with plugging into a module bay. Card 215 communicates over lines 213 to an interface 211 which may comprise one or several acquisition leads for connecting to outside equipment. For example, a data module may be provided for following the output of the vertical and horizontal sweep of an oscilloscope, and would have at least two input leads; one for the vertical and one for the horizontal sweep. Card 215 communicates over lines 217 to connector 33, hence Notebus 89. The circuitry on card 215 is designed to digitize the input if the input is analog, and to be compatible with Notebus 89. Given the characteristics of signals to be measured and the characteristics of Notebus 89, implementation of such a card is within the ordinary skill in the art. There are, in addition to the modules described herein, other modules that may be designed and built to extend the utility of the modular notebook computer of the present invention.

It will be evident to one with skill in the art that there are many changes that might be made without departing from spirit and scope of the present invention. Many of these alternatives have been described above. For example, there may be more than the eight module bays described, or fewer. Similarly, there are many ways modules may be built to be pluggable into a framework such as framework 11. There are similarly many different kinds of connectors that might be utilized. There are many kinds of compressed buses that can be used, and many kinds of modules that may be provided, and many other changes that might be made within the spirit and scope of the invention.

What is claimed is:

1. A framework for a modular computer comprising: frame and enclosure means for supporting and housing elements of the framework, said frame and enclosure means having module bays for docking functional modules:

notebus means for address, power, control, and data transmission between module bays, said notebus means including a compressed bus architecture wherein data and address words share one set of parallel traces, and at least one power trace for transmitting electrical power; electrical power conversion means for accepting electrical power from outside said framework, converting said outside electrical power to voltage and current levels needed by said module bays, and supplying said electrical power to said at least one power trace in said notebus means:

display means connected to said notebus means for displaying text and graphical information; and keyboard means connected to said notebus means for a user to input text and commands to said notebus; each said module bay having a receiving connector for receiving a mating connector on a function module and providing connection to said notebus means.

- 2. A framework as in claim 1 wherein said frame and enclosure means has a central spine housing a portion of said notebus, and a plurality of module bays arranged to each side of said central spine, each of said module bays having guide means for accepting and guiding a module into said module bay, a latching means for holding said module when received with said mating connector engaged in said receiving connector, and expulsion means operable by a user for expelling a module from said module bay.
- 3. A CPU module for plugging into a module bay of a

framework for a modular computer, said module bay having a receiving connector with pins connected to individual traces of a bus means within said framework, said CPU module comprising:

a casing for supporting and housing elements of said CPU module;

guide means for engaging guides in said module bay and guiding said CPU module into and out of said module bay when engaging and disengaging;

mating connector means for engaging said receiving connector to connect traces within said module to said bus:

CPU means mounted within said casing of said CPU module;

state translator means connected to said module connector and to said CPU means for translating signals between said bus and said CPU means.

- 4. A CPU module as in claim 3 further comprising RAM memory means connected to said state translator means, said RAM memory means for providing RAM memory with minimum access time to said CPU means.
- 5. A modular computer comprising:

frame and enclosure means for supporting and housing elements of the modular computer, said frame and enclosure means having module bays for docking functional modules;

notebus means for address, power, control, and data transmission between module bays, said notebus means including a compressed bus architecture wherein data and address words share one set of parallel traces, at least one power trace for transmitting electrical power to module bays, and connecting to a receiving connector in each of said module bays;

electrical power conversion means for accepting electrical power from outside said framework, converting

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signals between said bus and said CPU means.

said outside electrical power to voltage and current levels needed by said module bays, and supplying said electrical power to said at least one power trace in said notebus means; display means connected to said notebus means for displaying text and graphical information; keyboard means connected to said notebus means for a user to input text and commands to said notebus; and a CPU module docked in one of said module bays, said CPU module having module connector means engaging said receiving connector, connecting traces within said module to said bus, CPU means mounted within said CPU module, and state translator means connected to said module connector and to said CPU means for translating

- 6. A power module for plugging into a module bay of a framework for a modular computer, said module bay having a receiving connector with pins connected to individual traces of a bus means within said framework, said power module comprising: a casing for supporting and housing elements of said power module: guide means for engaging guides in said module bay and guiding said power module into and out of said module bay when engaging and disengaging; module connector means for engaging said receiving connector to connect traces within said power module to said bus means; and electrical power storage means mounted within said power module for supplying electrical power to said modular computer.
- 7. An I/O function module for plugging into a module bay of a framework for a modular computer, said module bay having a receiving connector with pins connected to individual traces of a bus means within said framework,

WO 94/00970 PCT/US93/06146

- 22 -

said function module comprising:

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a casing for supporting and housing elements of said function module;

guide means for engaging guides in said module bay and guiding said function module into and out of said module bay;

module connector means for engaging said receiving connector to connect traces within said function module to said bus means; and

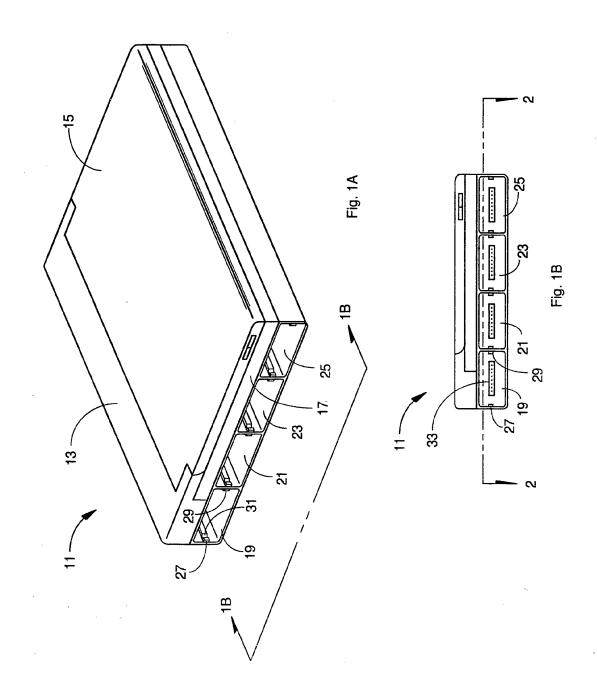
I/O function means mounted within said function module for performing an I/O function for said modular computer, said I/O function means connected to said bus through said module connector means.

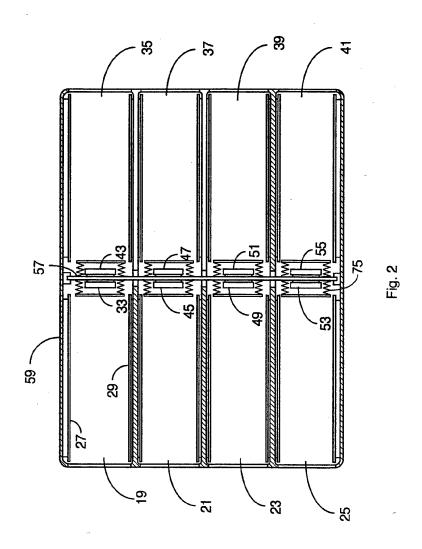
- 8. An I/O function module as in claim 7 wherein said module is a floppy disk module having a port for inserting and ejecting a floppy disk, said port accessible with said floppy disk module engaged in said module bay, reading and writing means, and a disk controller configured to operate said floppy disk module from said bus means
- 9. An I/O function module as in claim 7 wherein said module is a hard disk module, having at least one hard disk, reading and writing means, and a disk controller configured to operate said hard disk module from said bus means.
- 10. An I/O function module as in claim 7 wherein said module is a RAM memory module, having RAM memory connectable to and accessible by said bus means with said RAM memory module plugged into said module bay.
- 11. An I/O function module as in claim 7 wherein said module is a LAN module for providing communication between said modular computer and other computer systems on a local area network.

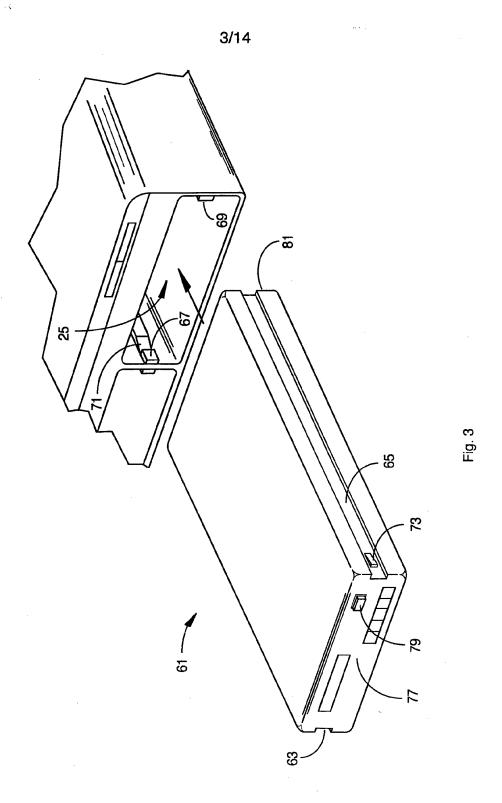
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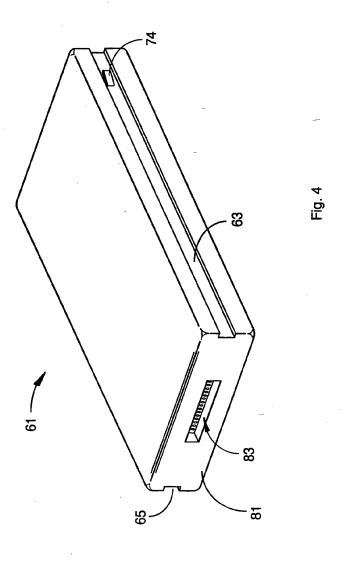
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- 12. An I/O function module as in claim 7 wherein said module is a modem module for providing communication between said modular computer and other computer systems over a telephone connection.
- 13. An I/O function module as in claim 7 wherein said module is a serial communication module for providing communication between said modular computer and other computer systems over a serial link.
- 14. An I/O function module as in claim 7 wherein said module is a facsimile module for providing FAX transmission and reception over telephone lines.
- 15. An I/O module as in claim 7 wherein said module is a specialty module for data acquisition from an instrument, said function module capable of transmitting commands to the instrument over a communication link, receiving data from the instrument, and translating data for transmission on said bus means.









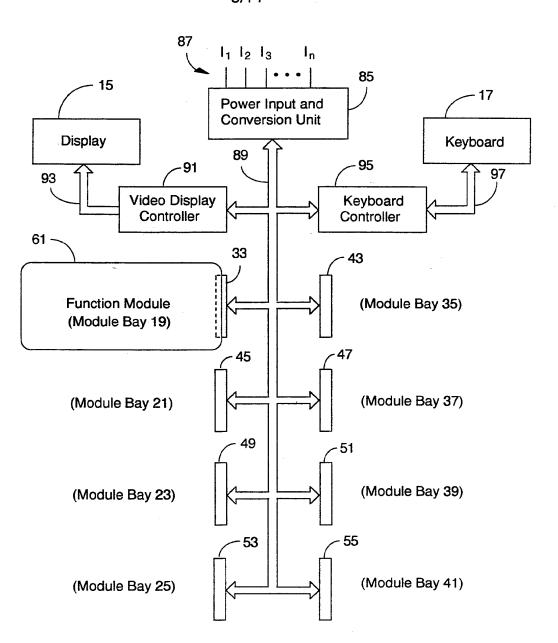


Fig. 5

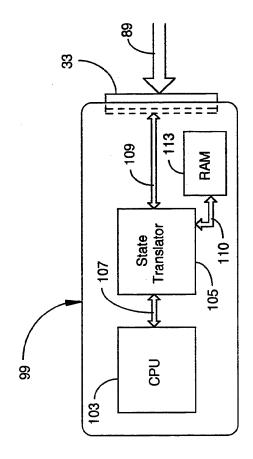
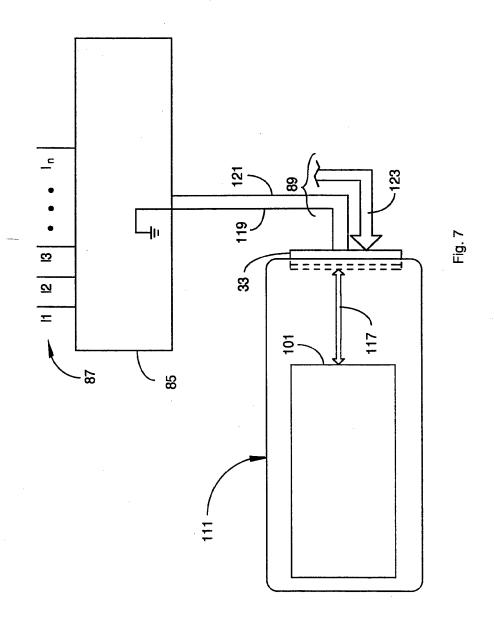
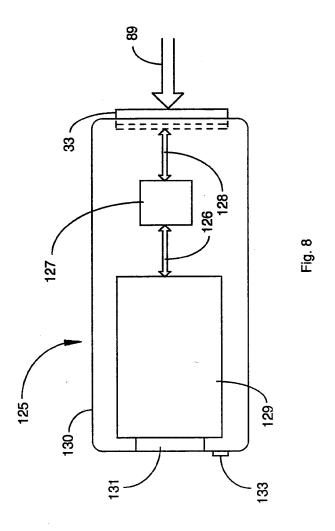
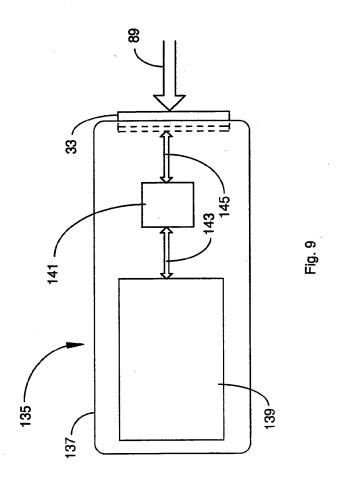


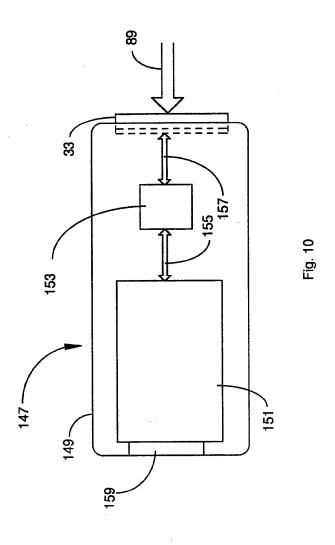
Fig. 6

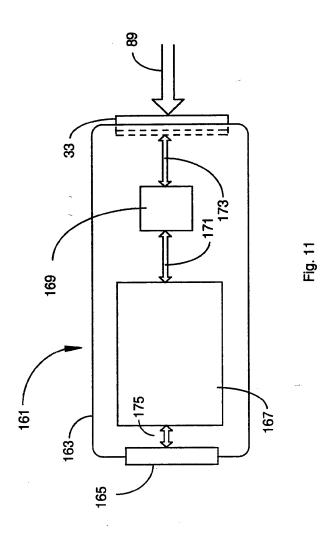


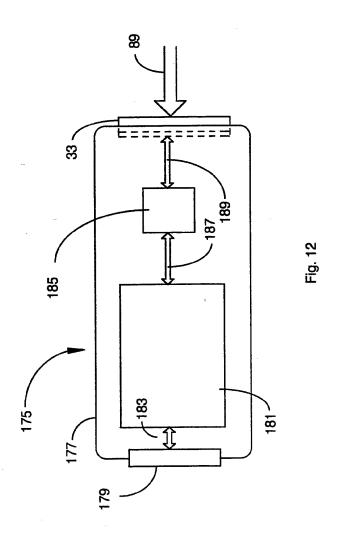
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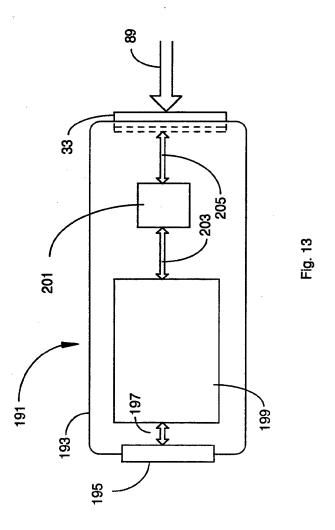


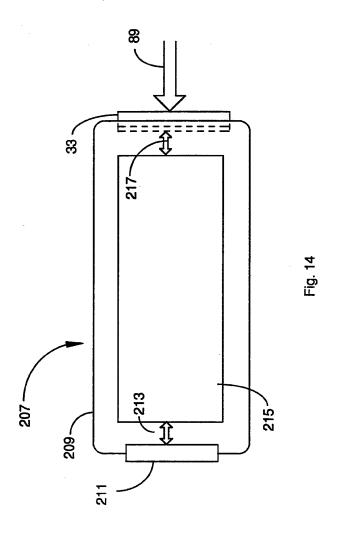






WO 94/00970 PCT/US93/06146





# INTERNATIONAL SEARCH REPORT

International application No.
PCT/US93/06146

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| means being obvious to a person skilled in the art  "P" document published prior to the international filling data but later than "A" document member of the more nature families.                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                             | us eart                           |  |
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| Box PCT Washington, D.C. 20231 LEO P. PICARD                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                             |                                   |  |
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# INTERNATIONAL SEARCH REPORT

International application No.
PCT/US93/06146

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# WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



# INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

| (51) International Patent Classification 6: |    | (11) Internatio |
|---------------------------------------------|----|-----------------|
| H02R 1/00 H05K 7/20                         | Δ1 |                 |

(11) International Publication Number:

WO 95/13640

(43) International Publication Date:

18 May 1995 (18.05.95)

(21) International Application Number:

PCT/US94/13211

(22) International Filing Date:

14 November 1994 (14.11.94)

(30) Priority Data:

08/152,431

12 November 1993 (12.11.93) US

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(81) Designated States: CN, JP, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).

#### Published

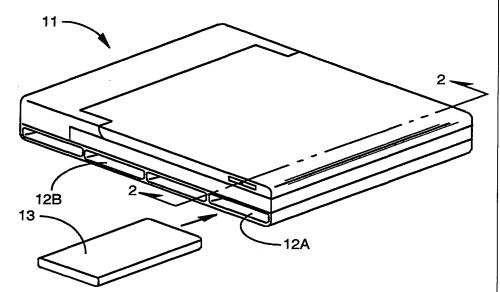
With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: COOLING A LARGE MICROPROCESSOR IN A SMALL MODULE

#### (57) Abstract

A modular computer (11) with docking bays (12A, 12B) for receiving functional modules (13) and connecting functional (13) to internal computer circuitry has translatable heat-sink structures 19) for contacting docked functional modules (13) to extract waste heat generated by operation of the functional modules (13). The structures (17, 19) are mechanically actuated to retract to provide clearance for insertion and withdrawal of functional modules (13), and in some instances, the translation of the heat-sink structures (17, 19) is by electrically operable actuators, which may be actuated by signals from a



CPU of the modular computer (11). In these instances, the computer (11) may be configured to require a security code or special input sequence to retract the heat-sink structures (17, 19) allowing a module (13) to be removed.

Ex. 1006, Page 106

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WO 95/13640 PCT/US94/13211

## Cooling a Large Microprocessor in a Small Module

## Field of the Invention

This invention is in the area of portable computers, and pertains more specifically to apparatus and methods for using large microprocessors in small portable computers.

### Background of the Invention

Portable computers such as notebooks and palmtops are popular among computer users who travel and need to take their work along. They are smaller and lighter than laptops, and are therefore more portable. It is currently possible to build very small computers, and to provide a great deal of modularity and therefore flexibility in the application of such machines.

It is known to the inventors, for example, to build a palmtop or notebook computer wherein various modules are inserted into bays and make connection therein to a bus structure. In such modular portable computers the modules provide divisible electronic functions. Taken to the extreme, nearly every independent function may be incorporated into a module as a peripheral. In a modular computer of this sort, the framework without the modules may comprise essentially a bus structure and a power conversion unit. Functional modules may include interchangeable CPUs, memory devices of various sorts, system control logic, peripheral communication devices, I/O devices, and more. Also, modules may assume a wide variety of shapes and sizes, such as the well-known Personal Computer Memory Card International Association (PCMCIA) form factor standards.

The modular concept gives the user a choice of functional configurations in one portable computer. For example, while traveling

a user may require a modem but not a floppy disk drive, and the modular concept lets the user select the functions he/she needs. The modular concept also allows a portable computer to be smaller and more portable than it would be if all the functions that might be needed have to be incorporated. Size is a very competitive criterion in commanding market share. The evolution to smaller and lighter modular computers, however, is not without problems.

One of the problems in such a modular computer is dissipating heat from the individual modules. Incorporation of a CPU module into portable computer architecture is very desirable, because it provides ability to tailor the CPU power to other modules and software applications. It also allows a computer owner to upgrade to more powerful CPUs as they become available. However, incorporating a large microprocessor in a module configured for a modular portable computer creates a significant concentration of heat to dissipate.

Heat generation by large microprocessors in functional modules is so great that a prudent approach to packaging is imperative. Also, the conventional approaches of cooling fans and the like are solutions that pose an additional burden in the design of portable computers, requiring more space and larger power supplies. In addition, high running temperature degrades computer performance and can lead to failure. Manufacturers that do not address thermal implications that directly affect CPU performance risk premature microprocessor failures. An overheated CPU can cause various problems, ranging from data corruption to the loss of file-allocation tables to the microprocessor shutting itself down.

It is likely that future trends in development will result in faster, hotter microprocessors, which will require ever more efficient ways to transfer heat to the surroundings. As one example, Intel's new Pentium<sup>™</sup>, scheduled to succeed the 486 family of microprocessors, has about 3 million transistors, producing heat at a high rate, which, if not disposed of properly, can limit chip performance. It is estimated the Pentium CPU will generate as much as 16 watts during operation.

Intel has established a certification procedure with microcomputer manufacturers to qualify general-purpose computers for safe Pentium use, and few manufacturers have enough cooling for full certification. And the contemplated use of these devices in functional modules and other electronic devices for use in portable computers will require extraordinary efforts to remove waste heat.

The present invention addresses the above-described problems particularly pertaining to use of high-performance microprocessors in modular, portable general-purpose computers. What is needed is a method of removing CPU-generated waste heat economically and effectively within the small confines of a modular, portable computer, guaranteeing optimal processing performance, longevity and reliability.

## Summary of the Invention

In an embodiment of the present invention, a computer having a docking bay for receiving a functional module has a module cooling system comprising a heat-sink structure within the docking bay for contacting and cooling a functional module in docked position, and a translation means for moving the heat-sink structure against a module in docked position to accomplish heat absorption from the module. In various embodiments, heat-sink structures have a flexible, heat-conductive interface for conforming intimately to modules, and special shapes to securely retain docked modules. In embodiments with

WO 95/13640 PCT/US94/13211

electrically operable actuators, the computer may be configured to require a special input sequence or other security code to release a module for removal from a docking bay.

Cooling apparatus and methods according to embodiments of the present invention provide for efficiently removing heat from functional modules configured to be added to a compatible computer structure. By providing efficient cooling, full operating potential of modules, particularly modules having high-performance microprocessors, may be ensured.

## Brief Description of the Drawings

Fig. 1 is an isometric view of a modular, portable generalpurpose computer, showing a function module in position for docking.

Fig. 2A is a cross-sectional view along line 2-2 of Fig.1 showing one module docking bay in a modular computer having disengaged cooling elements according to an embodiment of the invention.

Fig. 2B is a side elevation view of a portion of one cooling structure, guided on vertical guide pins with extension springs, and operated by a movable cam bar.

Fig. 3 is another cross-sectional view along line 2-2 on Fig.1 showing the cooling elements engaged.

Fig. 4 is a cross-sectional view similar to Fig.2 showing cooling elements with locking means incorporated into the elements.

Fig. 5 is a cross-sectional similar to Fig. 4 showing the cooling elements engaged.

Fig. 6 is a cross-sectional view similar to Fig. 5 showing opposing cooling elements with locking means, each having a layer of compressible heat-conducting material facing the module.

- 5 -

Fig. 7 is a cross-sectional view immediately inside two adjacent bays in a plane parallel to the openings of the bays in the embodiments described.

## Description of the Preferred Embodiments

Fig. 1 is an isometric view of a portable general-purpose computer framework 11 according to an embodiment of the present invention. There are, along two sides of framework 11, a series of docking bays such as bays 12A and 12B configured to receive function modules 13. The modules are configured to provide functional characteristics for the computer system as described above. More particularly, modules are expected to be used comprising microprocessors and the like that generate considerable waste heat. A representative functional module 13 is shown in position to be inserted in bay 12a.

Fig. 2A is a cross-sectional view of docking bay 12A in the direction of arrows 2-2 of Fig. 1, showing function module 13 inserted into docking bay 12A, engaging a connector 15 which provides electrical connection to an internal bus structure. Cooling structures 17 and 19 shown above and below module 13 are, in this embodiment, heat absorbent and conductive plates made of highly heat-conductive and heat absorbent material, such as copper. Cooling structures 17 and 19 are spaced apart from the inserted position of a module by a distance above and below the line of insertion and withdrawal, such that they do not interfere with inserting or withdrawing of a module.

The placement and size of cooling structures 17 and 19 may vary widely, depending on configuration of the system, including the dimensions of modules accommodated in the docking bays. Also,

WO 95/13640 PCT/US94/13211

- 6 -

although two opposing cooling structures 17 and 19 are shown in this embodiment, in other embodiments there may be only one, either above or below the docking position of a module in the docking bay.

To actively cool a module in a docking bay, the cooling structures must be brought into intimate contact with the module. There are a number of equivalent ways this may be done.

Fig. 2B is a side elevation view of a portion of one cooling structure 17 in an embodiment of the invention, wherein structure 17 is guided on three or more vertical guide pins. Two guide pins 16 and 18 are shown, in this case fastened through and to structure 17. Fastening may be by soldering, welding, screw threads, or by other conventional means.

Pins 16 and 18 in this embodiment pass through guide bores 20 and 22 in an upper wall structure 24 of the particular docking bay, and extend into an enclosure above wall 24. Extension springs 32 and 34 are placed in assembly to urge structure 17 away from wall 24 (toward the position of a functional module docked in the docking bay).

Each of pins 16 and 18, and others not shown, have a longitudinal slot, such as slots 26 and 28, for passage of a cam bar 30. Cam bar 30 is translatable at right angles to pins 16 and 18, and is shaped with risers and plateaus, as is known in the mechanical arts, to retract and extend pins 16 and 18, and therefore structure 17.

Cam bar 30 may be activated (translated) in a number of different ways. In the embodiment shown, cam bars in each module bay are connected to a slide lever (not shown) configured on an edge of the external case of the modular computer. Once functional modules are in place, a user may activate the external lever to engage the cooling structures. In the case of cooling structures both above and below the position of a functional module in a bay, there may be

WO 95/13640 PCT/US94/13211

- 7 -

additional cam bars and guide pin structures for the lower structures as well as for the upper structures.

It will be apparent to those with skill in the art that there are many other mechanical systems useful to move cooling structures in the manner required to engage docked function modules. Rotary cams may be used, for example, instead of the cam bars described, and there are many ways external levers, slides, and the like may be implemented to actuate the cam devices and engage the cooling structures. The mechanisms described herein are exemplary.

In another embodiment, activation of the cooling structures may be accomplished by a mechanism moved in the last portion of the translation of a module into a module bay. Such mechanisms are well known in the art. In this case a user-operable linkage is made available to disengage cooling structures 17 and 19 so modules may be removed.

Cooling structures 17 and 19 are activated in some embodiments by electrically operable actuators, such as solenoids, motors, and the like, to close automatically on insertion of a function module. This activation configuration is particularly useful for maximum security. In these embodiments control routines operable on the modular computer cause the actuators to operate. The control routines in some embodiments require a user to enter a code at the keyboard or other input to operate the actuators to engage and disengage. In other embodiments actuation is accomplished by the system BIOS as a part of startup procedures.

Control routines may also include password protection as a means of security. In yet another embodiment, actuators are signalled by control routines available via a CPU function module after insertion. In this case, the CPU function module is first inserted and powered-up through established bus protocols. Machine control

routines that control cooling structure engagement then initiate the modular computer's mechanized framework.

It will be apparent to those with skill in the art that there are a wide variety of ways that control routines may be implemented to provide actuation of mechanisms for moving cooling structures to engage function modules.

Fig. 3 is an elevation view similar to Fig. 2, showing cooling structures 17 and 19 engaged, urged against functional module 13. The support and engagement mechanisms are not shown in Fig. 3. In this intimate engagement, waste heat generated by module 13 may be readily absorbed by cooling structures 17 and 19.

It is preferred that the cooling structure or structures urged against a functional module be configured to aid in retaining the module in position in the docking bay. Besides providing a means of retaining modules against accidental displacement, structures configured for retention may also provide security for expensive modules.

Fig. 4 is a side view similar to Fig. 2 showing cooling structures 36 and 38 having extensions 40 and 42 respectively configured to retain a function module when the function modules are engaged. In the disengaged position shown in Fig. 4, cooling structures 36 and 38 are capable of being disengaged (retracted) a sufficient amount that extensions 40 and 42 do not interfere with docking procedures.

Fig. 5 is similar to Fig. 4, except cooling structures 36 and 38 are extended to engage function module 13, such that extensions 40 and 42 prevent the function module from being withdrawn while the cooling structures are engaged.

Extensions 40 and 42 may be configured to move a function module to a final position fully engaging connector 15.

In the embodiments described above the cooling structures are heat sinks fashioned as plates of heat absorbing and conducting material. In another embodiment the cooling structures are Peltier devices. They may take other forms as well. The size and design of heat sinks may differ in specific docking bays to accommodate particular modules, which will vary in waste heat production according to the function of the module. CPU modules, for example, are expected to be the worst offenders in generating waste heat. In many cases a modular computer will need no more than one cooled docking bay, that bay reserved for the CPU module.

Fig. 6 shows cooling structures 36 and 38 having a layer of compressible heat-conducting material 27 such as Chomerics (TM) aluminum oxide-filled rubber or flexible polymer. In this aspect of the invention a pad of such material is mounted by a heat-resisting adhesive to each cooling structure. In this aspect of the invention, the heat-conducting layered material compresses against the inserted function module. A more continuous thermal contact is made ensuring efficient heat conduction across the interface between the function module and the cooling structure. In an alternative embodiment, the compressive material may be affixed to the corresponding sides of the computer module.

Figure 7 is a cross-sectional view immediately inside two adjacent bays 12A and 12B in Fig. 1 in a plane parallel to the openings of the bays. Module bay opening 12A is an end bay while 12B is an interior bay. Module 13 is shown in some intermediate stage of insertion. Cooling structures 17 and 19 are shown in the full open or some intermediate stage of closing. In this aspect of the embodiment, cooling structures 17 and 19 close to secure function module 13 and to contact an interconnected bus system of additional thermal-conducting structures 33 and 31. The distances D1 and D2

WO 95/13640

- 10 -

PCT/US94/13211

are approximately equal, making a thermal loop between occupied docking bays and other internal conducting structures when opposing cooling structures close.

Within all regions of common contact between the moving and stationary heat sinks the mating surfaces are covered in this embodiment with a compressible heat-conducting material to ensure continuous thermal contact between adjacent surfaces. Conducting structure 31 is exposed to the outside air and may be a structural part of the portable computer's case. Conducting structure 31 may ring the entire outside perimeter of the case and may also interconnect to the interior heat-sink bus shown as conducting structure 33. The interior heat-sink bus in this embodiment is an integral part of the supporting framework of the portable computer.

Surfaces 53A, 53B, and 53C may be shaped to maximize heat transfer to the outside air via radiation and convection, such as by grooves or fins. In another embodiment, the heat-sink bus or any individual heat-conducting structure may be connected via a heat conduction interface to an outside heat transferring device, apparatus or structure. For example, at any point along surfaces 53A, 53B and 53C a larger heat sink may be attached in a way to further conduct heat away from the interior of the computer.

It will be apparent to one skilled in the art that there are a relatively large number of changes that can be made in the embodiments described without departing from the spirit and scope of the present invention. Some of these alternatives have already been described, such as the incorporation of an interconnecting heat-sink bus system, and the many ways that actuating mechanisms may be configured. There are similarly many dimensional changes and variations in materials that may be incorporated within the spirit ad scope of the invention.

What is claimed is:

1. In a computer having a docking bay for receiving a functional module, a module cooling system comprising:

a heat-sink structure within the docking bay for contacting and cooling a functional module in docked position; and

translation means for moving the hea- sink structure against a module in docked position to accomplish heat absorption from the module.

- 2. A module cooling system as in claim 1 wherein the docking bay is configured to dock a functional module fashioned according to a PCMCIA standard.
- 3. A module cooling system as in claim 1 wherein the heat-sink structure is a substantially flat metal cooling plate.
- 4. A module cooling system as in claim 1 further comprising a layer of flexible, heat-conducting material affixed to the heat-sink structure for providing a conformal interface between the heat sink structures and a docked functional module.
- 5. A module cooling system as in claim 1 wherein the translation means comprises mechanical means for translating the heat-sink structure, including a lever operable by a user to translate the heat-sink structure.
- 6. A module cooling system as in claim 1 wherein the translation means comprises mechanical means for translating the heat-sink

structure, and the mechanical means is operated by an electrically operable actuator.

- 7. A module cooling system as in claim 6 wherein the electrically operable actuator is responsive to electrical signals initiated by a CPU.
- 8. A computer system comprising:
  - a docking bay for docking a functional module;
- a heat-sink structure within the docking bay movable to a position to contact any functional module docked; and

translation means for moving the heat-sink structure against a module in docked position to accomplish heat absorption from the module.

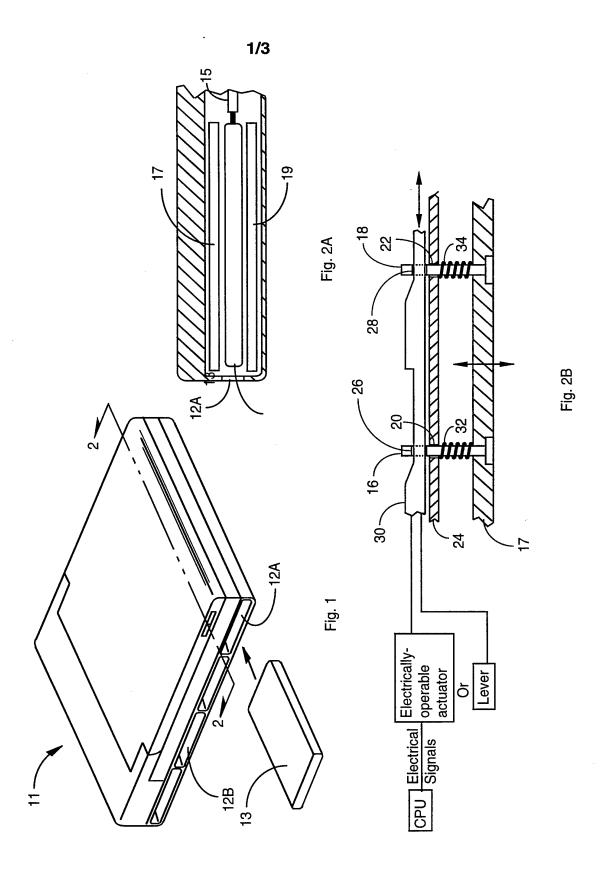
- 9. A computer system as in claim 8 wherein the docking bay is configured to dock a functional module fashioned according to a PCMCIA standard.
- 10. A computer system as in claim 8 wherein the heat-sink structure is a substantially flat metal cooling plate.
- 11. A computer system as in claim 8 further comprising a layer of flexible, heat-conducting material affixed to the heat-sink structure for providing a conformal interface between the heat-sink structure and a functional module.
- 12. A computer system as in claim 8 wherein the translation means comprises mechanical means for translating the heat-sink structure, including a lever operable by a user to translate the heat-sink structure.

WO 95/13640 PCT/US94/13211

- 13. A computer system as in claim 8 wherein the translation means comprises mechanical means for translating the heat sink structure, and the mechanical means is operated by an electrically operable actuator.
- 14. A computer system as in claim 13 wherein the electrically operable actuator is responsive to electrical signals initiated by a CPU.
- 15. A computer system as in claim 14 wherein the translation means is configured to require a pre programmed security code to enable translation of the heat-sink structure away from a docked module.
- 16. A computer system as in claim 8 wherein the heat-sink structure is configured to retain a docked module in docked position with the heat-sink structure in contact with the module.
- 17. A method for cooling a functional module in a docking bay of a modular computer comprising the steps of:

docking the functional module in the module bay;
advancing a heat-sink structure into contact with the functional module; and

extracting heat from the functional module into the heat-sink structure.



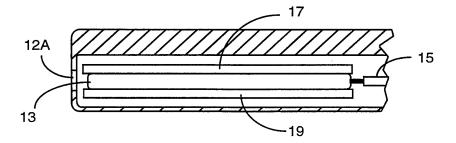


Fig. 3

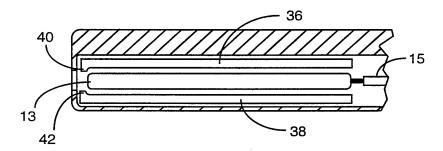


Fig. 4

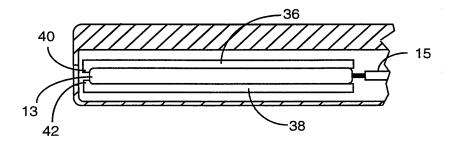


Fig. 5

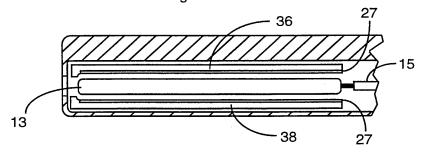
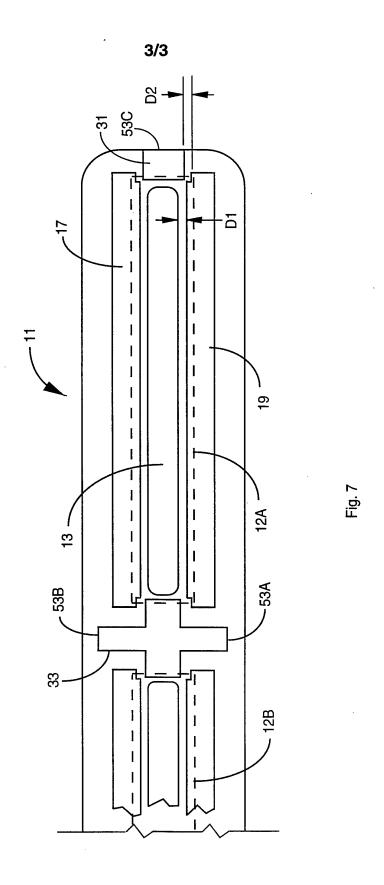


Fig. 6

WO 95/13640 PCT/US94/13211



# INTERNATIONAL SEARCH REPORT

International application No. PCT/US94/13211

| A. CLASSIFICATION OF SUBJECT MATTER                                                                                                                                                                                        |                                                                                     |                             |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|-----------------------------|
| IPC(6) :H02B 1/00; H05K 7/20                                                                                                                                                                                               |                                                                                     |                             |
| US CL:257/718; 361/687, 704, 710 According to International Patent Classification (IPC) or to both national classification and IPC                                                                                         |                                                                                     |                             |
| B. FIELDS SEARCHED                                                                                                                                                                                                         |                                                                                     |                             |
| Minimum documentation searched (classification system followed by classification symbols)                                                                                                                                  |                                                                                     |                             |
| · · · · · · · · · · · · · · · · · · ·                                                                                                                                                                                      |                                                                                     |                             |
| U.S. : 174/16.3; 165/80.3, 185; 257/718-719, 726-727; 361/687-690, 704, 707-711, 720                                                                                                                                       |                                                                                     |                             |
| Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched                                                                                              |                                                                                     |                             |
| Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)                                                                                               |                                                                                     |                             |
|                                                                                                                                                                                                                            |                                                                                     |                             |
| C. DOCUMENTS CONSIDERED TO BE RELEVANT                                                                                                                                                                                     |                                                                                     |                             |
| Category* Citation of document, with indication, where ap                                                                                                                                                                  | propriate, of the relevant passages                                                 | Relevant to claim No.       |
| US, A, 4,825,337 (KARPMAN) 25 April 1989, see entire document.                                                                                                                                                             |                                                                                     | 1-5,8-12,<br>16-17          |
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| Further documents are listed in the continuation of Box C. See patent family annex.                                                                                                                                        |                                                                                     |                             |
| <ul> <li>Special categories of cited documents:</li> <li>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the</li> </ul> |                                                                                     |                             |
| "A" document defining the general state of the art which is not considered to be of particular relevance                                                                                                                   | principle or theory underlying the inve                                             |                             |
| "E" earlier document published on or after the international filing date                                                                                                                                                   | "X" document of particular relevance; the considered novel or cannot be considered. |                             |
| "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other                                                                                  | when the document is taken alone                                                    |                             |
| special reason (as specified)                                                                                                                                                                                              | "Y" document of particular relevance; the considered to involve an inventive        |                             |
| "O" document referring to an oral disclosure, use, exhibition or other means                                                                                                                                               | combined with one or more other such<br>being obvious to a person skilled in th     | documents, such combination |
| *P* document published prior to the international filing date but later than the priority date claimed                                                                                                                     | ater than *&" document member of the same patent family                             |                             |
| Date of the actual completion of the international search  Date of mailing of the international search report  Office of MAR 1995                                                                                          |                                                                                     |                             |
| 21 FEBRUARY 1995                                                                                                                                                                                                           |                                                                                     |                             |
| Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Roy PCT                                                                                                                                      |                                                                                     |                             |
| Box PCT Washington, D.C. 20231 THOMPSON, GREG                                                                                                                                                                              |                                                                                     |                             |
| Facsimile No. (703) 305-3230                                                                                                                                                                                               | Telephone No. (703) 308-2249                                                        |                             |

**PATENT** 

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Reissue of: William W.Y. Chu

Patent No.: 6,643,777

Issued: November 4, 2003

For: DATA SECURITY METHOD AND DEVICE FOR COMPUTER MODULES

Mail Stop: Reissue Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## PRELIMINARY AMENDMENT

Prior to examination of the above-identified application, please amend the present application as follows:

Amendments to the Drawings begin on page 2 of this paper.

Amendments to the Specification begin on page 3 of this paper.

**Amendments to the Claims** are reflected in the listing of the claims which begins on page 16 of this paper.

Remarks/Arguments begin on page 21 of this paper.

## IN THE DRAWINGS

Attachment: 12 New Sheets FIG. 9 through FIG. 24.

The present application is amended to specifically include certain figures from U.S. Patent No. 6,216,185, U.S. Patent No. 6,345,330, and U.S. Provisional Application No. 60/083,886.

#### IN THE SPECIFICATION:

Please add the following new paragraphs beginning at col. 1, line 6:

No. 6,643,777. The reissue applications are U.S. Application Nos. 11/056,604 (a parent reissue application), 11/545,056 (which is a reissue continuation of the parent reissue application), 12/561,138 (which is a reissue continuation of the parent reissue application), 13/294,108 (which is a reissue continuation of U.S. Application No. 12/561,138), 13/562,210 (which is a reissue continuation of U.S. Application No. 13/294,108); 13/649,078 (which is a reissue continuation of U.S. Application 13/562,210) and \_\_/\_\_\_, \_\_\_(the present application, which is a reissue continuation of U.S. Application No.13/649,078).

This application is a reissue continuation of U.S. Application 13/649,078, which is a reissue continuation of U.S. Application No. 13/562,210, which is a reissue continuation of U.S. Application No. 13/294,108, which is a reissue continuation of U.S. Application No. 12/561,138, which is a reissue continuation of U.S. Application No. 11/056,604, which is a reissue of U.S. Patent No. 6,643,777, which are incorporated herein by reference.

Please add the following new paragraphs beginning at col. 4, line 51:

- FIG. 9 depicts a peripheral console configuration.
- FIG. 10 is a block diagram of one embodiment of a computer system employing the present invention.
  - FIG. 11 is a block diagram of an attached computing module (ACM).
  - FIG. 12 is a block diagram of a peripheral console (PCON).
- FIG. 13 is a block diagram of one embodiment of a computer system using the interface of the present invention.
- FIG. 14 is a detailed block diagram of one embodiment of the host interface controller of the present invention.
- FIG. 15 is a detailed block diagram of one embodiment of the PIC of the present invention.
  - FIG. 16 is a schematic diagram of the signal lines PCK, PD0 to PD3, and PCN.

- FIG. 17 is a partial block diagram of a computer system using the interface of the present invention as a bridge between the north and south bridges of the computer system.
- FIG. 18 is a partial block diagram of a computer system in which the north and south bridges are integrated with the host and peripheral interface controllers, respectively.
- FIG. 19 shows an attached computer module with Integrated CPU/NB/Graphics and Integrated HIC/SB.
- FIG. 20 shows an attached computer module with single chip fully integrated: CPU, Cache, Core Logic, Graphics controller and Interface controller.
- FIGS. 21 and 22 are tables including the pin number, symbol, signal, standard and description for the pins on the peripheral and video connectors, respectively.
- FIG. 23 is a table showing the symbols, signals, data rate and description of signals in a first embodiment of the XPBus.
- FIG. 24 is a table showing different types of first nibbles and their corresponding data packet types.

Please replace the paragraph beginning at col. 8, line 51 with the following amended paragraph:

The data detection circuitry separately monitors peripheral bus operation type and memory address range being accessed. A specific address range for memory accesses and for I/O accesses can be programmed for the data detection circuitry to flag a match. A data blocking circuitry is triggered by the detection circuitry when a match occurs, and blank out the data that is being sent to the peripheral console. For the security system to be effective, a [temper]tamper resistant enclosure must be used to prevent removal of the hard disk drive and the flash memory inside ACM. Further details are shown throughout the present specification and more particularly below.

Please add the following new paragraphs beginning at col. 13, line 33:

A personal computer system that comprises two physically separate units and the interconnection between them is disclosed. The first unit, an attached computing module (ACM), contains the core computing power and environment for a computer user. The second unit, a peripheral console (PCON), contains the power supply and primary input and output

devices for the computer system. An ACM and a PCON are coupled with one another to form a fully functional personal computer system.

FIG. 9 depicts a notebook computer PCON configuration. The opening of the computer bay 992 is visible at the side of the PCON unit 900. The PCON 900 provides an integrated LCD display panel 910 as the user's primary display device. The PCON 900 provides an integrated keyboard 922 as the user's primary input device.

FIG. 10 is a block diagram of the components in one computer system. The computer system comprises an attached computer module (ACM) 1000, a peripheral console (PCON) 1001, and the interconnection apparatus 1003 between them. The ACM 1000 includes the central processing unit (CPU) 1010, system memory 1020, high performance devices 1050, primary mass storage 1030, and related interface and support circuitry 1040. The PCON 1001 includes primary display 1011, primary input 1021, secondary mass storage 1051, other devices 1061, expansion slots 1071, the primary power supply 1031, and related interface and support circuitry 1041. The interconnection apparatus 1003 includes circuitry to convey power and operational signals between the ACM 1000 and PCON 1001.

Within the ACM 1000, the CPU 1010 executes instructions and manipulates data stored in the system memory 1020. The CPU 1010 and system memory 1020 represent the user's core computing power. The core computing power may also include high performance devices 1050 such as advanced graphics processor chips that greatly increase overall system performance and which, because of their speed, need to be located close to the CPU 1010. The primary mass storage 1030 contains persistent copies of the operating system software, application software, configuration data, and user data. The software and data stored in the primary mass storage device 1030 represent the user's computing environment. Interface and support circuitry 1040 primarily includes interface chips and signal busses that interconnect the CPU 1010, system memory 1020, high performance devices 1050, and primary mass storage 1030. The interface and support circuitry 1040 also connects ACM-resident components with the ACM-to-PCON interconnection apparatus 1003 as needed.

Within the PCON 1001, the primary display component 1011 may include an integrated display device or connection circuitry for an external display device. This primary display device 1011 may be, for example, an LCD, plasma, or CRT display screen used to display text and graphics to the user for interaction with the operating system and application software. The

primary display component 1011 is the primary output of the computer system, i.e., the paramount vehicle by which programs executing on the CPU 1010 can communicate toward the user.

The primary input component 1021 of the PCON 1001 may include an integrated input device or connection circuitry for attachment to an external input device. The primary input 1021 may be, for example, a keyboard, touch screen, keypad, mouse, trackball, digitizing pad, or some combination thereof to enable the user to interact with the operating system and application software. The primary input component 1021 is the paramount vehicle by which programs executing on the CPU 1010 receive signals from the user.

The PCON 1001 may contain secondary mass storage 1051 to provide additional high capacity storage for data and software. Secondary mass storage 1051 may have fixed or removable media and may include, for example, devices such as diskette drives, hard disks, CD-ROM drives, DVD drives, and tape drives.

The PCON 1001 may be enhanced with additional capability through the use of integrated "Other Devices" 1061 or add-on cards inserted into the PCON's expansion slots 1071. Examples of additional capability include sound generators, LAN connections, and modems. Interface and support circuitry 1041 primarily includes interface chips, driver chips, and signal busses that interconnect the other components within the PCON 1001. The interface and support circuitry 1041 also connects PCON-resident components with the ACM-to-PCON interconnection apparatus 1003 as needed.

Importantly, the PCON 1001 houses the primary power supply 1031. The primary power supply 1031 has sufficient capacity to power both the PCON 1001 and the ACM 1000 for normal operation. Note that the ACM 1000 may include a secondary "power supply" in the form, for example, of a small battery. Such a power supply would be included in the ACM 1000 to maintain, for example, a time-of-day clock, configuration settings when the ACM 1000 is not attached to a PCON, or machine state when moving an active ACM immediately from one PCON to another. The total energy stored in such a battery would, however, be insufficient to sustain operation of the CPU 1010 at its rated speed, along with the memory 1020 and primary mass storage 1030, for more than a fraction of an hour, if the battery were able to deliver the required level of electrical current at all.

FIG. 11 is a block diagram of an attached computing module (ACM) 1100. The physical ACM package 1100 contains the ACM functional components 1101 and the ACM side of the ACM-to-PCON Interconnection 1700. The ACM 1101 comprises a CPU component 1110, a system memory component 1120, a primary mass storage component 1130, a high performance devices components 1150, and an interface and support component 1140.

The ACM side of the ACM-to-PCON Interconnection 1700 comprises a Host Interface Controller (HIC) component 1720 and an ACM connector component 1730. The HIC 1720 and connector 1730 components couple the ACM functional components 1100 with the signals of an ACM-to-PCON interface bus 1710 used to operatively connect an ACM with a PCON. The ACM-to-PCON interface bus 1710 comprises conveyance for electrical power 1714 and signals for a peripheral bus 1712, video 1716, video port 1717, and console type 1718. The preferred ACM-to-PCON Interconnection 1700 is described in detail in a companion U.S. patent application, Ser. No. 09/149,882, entitled "A Communication Channel and Interface Devices for Bridging Computer Interface Buses," by the same inventor, filed on September 8, 1998, and hereby incorporated by reference. The preferred ACM-to-PCON interconnection 1700 includes circuitry to transmit and receive parallel bus information from multiple signal paths as a serial bit stream on a single signal path. This reduces the number of physical signal paths required to traverse the interconnection 1700. Further, employing low-voltage differential signaling (LVDS) on the bit stream data paths provides very reliable, high-speed transmission across cables. This represents a further advantage of the present invention.

Clocking circuitry 1144 generates clock signals for distribution to other components within the ACM 1100 that require a timing and synchronization clock source. The CPU 1110 is one such component. Often, the total power dissipated by a CPU is directly proportional to the frequency of its main clock signal. The presently described embodiment of the ACM 1100 includes circuitry that can vary the frequency of the main CPU clock signal conveyed to the CPU 1110 via signal path 1162, in response to a signal received from the host interface controller (HIC) 1720 via signal path 1161. The generation and variable frequency control of clocking signals is well understood in the art. By varying the frequency, the power consumption of the CPU 1110 (and thus the entire ACM 1100) can be varied.

The variable clock rate generation may be exploited to match the CPU power consumption to the available electrical power. Circuitry in the host interface controller (HIC)

1720 of the presently described embodiment adjusts the frequency control signal sent via signal path 1161 to the clocking circuitry 1144, based on the "console type" information signal 1718 conveyed from the peripheral console (PCON) by the CPU-to-PCON interconnection 1700.

FIG. 12 is a block diagram of a peripheral console (PCON). A peripheral console couples with an ACM to form an operating personal computer system. The peripheral console (PCON) supplies an ACM with primary input, display, and power supply; the ACM supplies the core computing power and environment of the user. In the presently described embodiment the physical PCON package 1200 contains the PCON functional components 1201 and the PCON side of the ACM-to-PCON Interconnection 1800. The PCON functional components 1201 comprise primary display 1210, a primary input 1220, a primary power supply 1230, interface and support 1240, secondary mass storage 1250, other devices 1260, and expansion slots 1270.

The PCON side of the ACM-to-PCON Interconnection 1800 comprises a Peripheral Interface Controller (PIC) component 1840, a PCON connector component 1850, console-type component 1842, and flash memory device 1848. The PIC 1840 and connector 1850 components couple the PCON functional components 1201 with the signals of an ACM-to-PCON interface bus 1810 used to operatively connect an ACM with a PCON. The ACM-to-PCON interface bus 1810 comprises conveyance for electrical power 1814 and signals for a peripheral bus 1812, video 1816, video port 1817, and console-type 1818. The preferred ACM-to-PCON Interconnection 1800 is described in detail in the U.S. patent application entitled "A Communication Channel and Interface Devices for Bridging Computer Interface Buses," already incorporated herein by reference.

Connector component 1850 may be selected to mate directly with the connector component 1730 of an ACM (shown in FIG. 11). Alternatively, connector component 1850 may be selected to mate with, for example, the connector on one end of a cable intervening between the PCON and an ACM in a particular embodiment. The ACM-to-PCON interconnection described in the aforementioned companion patent application has the advantage of providing reliable signal conveyance across low cost cables.

Flash memory device 1848 provides non-volatile storage. This storage may be accessible to devices in both the ACM and the PCON, including the host interface controller and the peripheral interface controller 1840 to which it is connected. As such, flash memory 1848 may

be used to store configuration and security data to facilitate an intelligent mating between an ACM and a PCON that needs no participation of the CPU.

The secondary mass storage component 1250 of the PCON functional circuitry 1201 of the presently described embodiment comprises diskette drive 1254, hard disk drive 1252, and CD-ROM drive 1256. Secondary mass storage 1250 generally provides low-cost, non-volatile storage for data files which may include software program files. Data files stored on secondary mass storage 1250 are not part of a computer user's core computing power and environment. Secondary mass storage 1250 may be used to store, for example, seldom used software programs, software programs that are used only with companion hardware devices installed in the same peripheral console 1200, or archival copies of data files that are maintained in primary mass storage 1130 of an ACM (shown in FIG. 11). Storage capacities for secondary mass storage 1250 devices may vary from the 1.44 megabytes of the 3.5-inch high density diskette drive 1254, to more than 10 gigabytes for a large format (5-inch) hard disk drive 1252. Hard disk drive 1252 employs fixed recording media, while diskette drive 1254 and CD-ROM drive 1256 employ removable media. Diskette drive 1254 and hard disk drive 1252 support both read and write operations (i.e., data stored on their recording media may be both recalled and modified) while CD-ROM drive 1256 supports only read operations.

Two PCI or PCI-like buses are interfaced using a non-PCI or non-PCI-like channel. PCI control signals are encoded into control bits, and the control bits, rather than the control signals that they represent, and are transmitted on the interface channel. At the receiving end, the control bits representing control signals are decoded back into PCI control signals prior to being transmitted to the intended PCI bus.

The fact that control bits rather than control signals are transmitted on the interface channel allows using a smaller number of signal channels and a correspondingly small number of conductive lines in the interface channel than would otherwise be possible. This is because the control bits can be more easily multiplexed at one end of the interface channel and recovered at the other end than control signals. This relatively small number of signal channels used in the interface channel allows using LVDS channels for the interface. As mentioned above, an LVDS channel is more cable friendly, faster, consumes less power, and generates less noise than a PCI bus channel. Therefore, an LVDS channel is advantageously used for the hereto unused purpose of interfacing PCI or PCI-like buses. The relatively smaller number of signal channels in the

interface also allows using connectors having smaller pins counts. As mentioned above an interface having a smaller number of signal channels and, therefore, a smaller number of conductive lines is less bulky and less expensive than one having a larger number of signal channels. Similarly, connectors having a smaller number of pins are also less expensive and less bulky than connectors having a larger number of pins.

In one embodiment, the present invention encompasses an apparatus for bridging a first computer interface bus and a second computer interface bus, in a microprocessor based computer system where each of the first and second computer interface buses have a number of parallel multiplexed address/data bus lines and operate at a clock speed in a predetermined clock speed range having a minimum clock speed and a maximum clock speed. The apparatus comprises an interface channel having a clock channel and a plurality of bit channels for transmitting bits; a first interface controller coupled to the first computer interface bus and to the interface channel to encode first control signals from the first computer interface bus into first control bits to be transmitted on the interface channel and to decode second control bits received from the interface channel into second control signals to be transmitted to the first computer interface bus; and a second interface controller coupled to the interface channel and the second computer interface bus to decode the first control bits from the interface channel into third control signals to be transmitted on the second computer interface bus and to encode fourth control signals from the second computer interface bus into the second control bits to be transmitted on the interface channel.

In one embodiment, the first and second interface controllers comprise a host interface controller (HIC) and a peripheral interface controller (PIC), respectively, the first and second computer interface buses comprise a primary PCI and a secondary PCI bus, respectively, and the interface channel comprises an LVDS channel.

In a preferred embodiment, the interface channel has a plurality of serial bit channels numbering fewer than the number of parallel bus lines in each of the PCI buses and operates at a clock speed higher than the clock speed at which any of the bus lines operates. More specifically, the interface channel includes two sets of unidirectional serial bit channels which transmit data in opposite directions such that one set of bit channels transmits serial bits from the HIC to the PIC while the other set transmits serial bits from the PIC to the HIC. For each cycle of the PCI clock, each bit channel of the interface channel transmits a packet of serial bits.

The HIC and PIC each include a bus controller to interface with the first and second computer interface buses, respectively, and to manage transactions that occur therewith. The HIC and PIC also include a translator coupled to the bus controller to encode control signals from the first and second computer interface buses, respectively, into control bits and to decode control bits from the interface channel into control signals. Additionally, the HIC and PIC each include a transmitter and a receiver coupled to the translator. The transmitter converts parallel bits into serial bits and transmits the serial bits to the interface channel. The receiver receives serial bits from the interface channel and converts them into parallel bits.

FIG. 13 is a block diagram of one embodiment of a computer system 1300 using the interface of the present invention. Computer system 1300 includes an attached computer module (ACM) 1305 and a peripheral console 1310, which are described in greater detail in the application of William W. Y. Chu, Ser. No. 09/149,548, for "Personal Computer Peripheral Console With Attached Computer Module" filed on Sep. 8, 1998 and incorporated herein by reference. The ACM 1305 and the peripheral console 1310 are interfaced through an exchange interface system (XIS) bus 1315. The XIS bus 1315 includes power bus 1316, video bus 1317 and peripheral bus (XPBus) 1318, which is also herein referred to as an interface channel. The power bus 1316 transmits power between ACM 1305 and peripheral console 1310. In a preferred embodiment power bus 1316 transmits power at voltage levels of 3.3 volts, 5 volts and 12 volts. Video bus 1317 transmits video signals between the ACM 1305 and the peripheral console 1310. In a preferred embodiment, the video bus 1317 transmits analog Red Green Blue (RGB) video signals for color monitors, digital video signals (such as Video Electronics Standards Association (VESA) Plug and Display's Transition Minimized Differential Signaling (TMDS) signals for flat panel displays), and television (TV) and/or super video (S-video) signals. The XPBus 1318 is coupled to host interface controller (HIC) 1319 and to peripheral interface controller (PIC) 1320, which is also sometimes referred to as a bay interface controller.

In the embodiment shown in FIG. 13, HIC 1319 is coupled to an integrated unit 1321 that includes a CPU, a cache and a north bridge. In another embodiment, such as that shown in FIG. 17, the CPU 1705 and north bridge 1710 are separate rather than integrated units. In yet another embodiment, such as that shown in FIG. 18, the HIC and PIC are integrated with the north and south bridges, respectively, such that integrated HIC and north bridge unit 1805 includes an HIC and a north bridge, while integrated PIC and south bridge unit 1810 includes a PIC and a south

bridge. FIG. 19 shows an attached computer module with integrated CPU/NB/Graphics 1915 and Integrated HIC/SB 1920. FIG. 20 shows an attached computer module with single chip 2025 fully integrated: CPU, Cache, Core Logic, Graphics controller and Interface controller.

FIG. 14 is a detailed block diagram of one embodiment of the HIC of the present invention. As shown in FIG. 14, HIC 1600 comprises bus controller 1610, translator 1620, transmitter 1630, receiver 1640, a PLL 1650, an address/data multiplexer (A/D MUX) 1660, a read/write controller (RD/WR Cntl) 1670, a video serial to parallel converter 1680 and a CPU control & general purpose input/output latch/driver (CPU CNTL & GPIO latch/driver) 1690.

HIC 1600 is coupled to an optional flash memory BIOS configuration unit 1601. Flash memory unit 1601 stores basic input output system (BIOS) and PCI configuration information and supplies the BIOS and PCI configuration information to A/D MUX 1660 and RD/WR Control 1670, which control the programming, read, and write of flash memory unit 1601.

Bus controller 1610 is coupled to the host PCI bus, which is also referred to herein as the primary PCI bus, and manages PCI bus transactions on the host PCI bus. Bus controller 1610 includes a slave (target) unit 1611 and a master unit 1616. Both slave unit 1611 and master unit 1616 each include two first in first out (FIFO) buffers, which are preferably asynchronous with respect to each other since the input and output of the two FIFOs in the master unit 1616 as well as the two FIFOs in the slave unit 1611 are clocked by different clocks, namely the PCI clock and the PCK. Additionally, slave unit 1611 includes encoder 1622 and decoder 1623, while master unit 1616 includes encoder 1627 and decoder 1628. The FIFOs 1612, 1613, 1617 and 1618 manage data transfers between the host PCI bus and the XPBus, which in the embodiment shown in FIG. 14 operate at 33 MHz and 66 MHz, respectively. PCI address/data (AD) from the host PCI bus is entered into FIFOs 1612 and 1617 before they are encoded by encoders 1622 and 1627. Encoders 1622 and 1627 format the PCI address/data bits to a form more suitable for parallel to serial conversion prior to transmittal on the XPBus. Similarly, address and data information from the receivers is decoded by decoders 1623 and 1628 to a form more suitable for transmission on the host PCI bus.

The multiplexed parallel A/D bits and some control bits input to transmitter 1630 are serialized by parallel to serial converters 1632 of transmitter 1630 into 10 bit packets. These bit packets are then output on data lines PD0 to PD3 of the XPBus. Other control bits are serialized

by parallel to serial converter 1633 into 10 bit packets and sent out on control line PCN of the XPBus.

FIG. 15 is a detailed block diagram of one embodiment of the PIC of the present invention. PIC 11100 is nearly identical to HIC 1600 in its function, except that HIC 1600 interfaces the host PCI bus to the XPBus while PIC 11100 interfaces the secondary PCI bus to the XPBus. Similarly, the components in PIC 11100 serve the same function as their corresponding components in HIC 1600. Reference numbers for components in PIC 11100 have been selected such that a component in PIC 11100 and its corresponding component in HIC 1600 have reference numbers having the same two least significant digits. Thus for example, the bus controller in PIC 11100 is referenced as bus controller 11110 while the bus controller in HIC 1600 is referenced as bus controller 1610. As many of the elements in PIC 11100 serve the same functions as those served by their corresponding elements in HIC 1600 and as the functions of the corresponding elements in HIC 1600 have been described in detail above, the function of elements of PIC 11100 having corresponding elements in HIC 1600 will not be further described herein. Reference may be made to the above description of FIG. 14 for an understanding of the functions of the elements of PIC 11100 having corresponding elements in HIC 1600.

FIG. 16 is a schematic diagram of lines PCK, PD0 to PD3, and PCN. These lines are unidirectional LVDS lines for transmitting clock signals and bits from the HIC to the PIC. The bits on the PD0 to PD3 and the PCN lines are sent synchronously within every clock cycle of the PCK. Another set of lines, namely PCKR, PDR0 to PDR3, and PCNR, are used to transmit clock signals and bits from the PIC to HIC. The lines used for transmitting information from the PIC to the HIC have the same structure as those shown in FIG. 16, except that they transmit data in a direction opposite to that in which the lines shown in FIG. 16 transmit data. In other words they transmit information from the PIC to the HIC. The bits on the PDR0 to PDR3 and the PCNR lines are sent synchronously within every clock cycle of the PCKR. Some of the examples of control information that may be sent in the reverse direction, i.e., on PCNR line, include a request to switch data bus direction because of a pending operation (such as read data available), a control signal change in the target requiring communication in the reverse direction, target busy, and transmission error detected.

The XPBus which includes lines PCK, PD0 to PD3, PCN, PCKR, PDR0 to PDR3, and PCNR, has two sets of unidirectional lines transmitting clock signals and bits in opposite

directions. The first set of unidirectional lines includes PCK, PD0 to PD3, and PCN. The second set of unidirectional lines includes PCKR, PDR0 to PDR3, and PCNR. Each of these unidirectional set of lines is a point-to-point bus with a fixed transmitter and receiver, or in other words a fixed master and slave bus. For the first set of unidirectional lines, the HIC is a fixed transmitter/master whereas the PIC is a fixed receiver/slave. For the second set of unidirectional lines, the PIC is a fixed transmitter/master whereas the HIC is a fixed receiver/slave. The LVDS lines of XPBus, a cable friendly and remote system I/O bus, transmit fixed length data packets within a clock cycle.

The XPBus lines, PD0 to PD3, PCN, PDR0 to PDR3 and PCNR, and the video data and clock lines, VPD and VPCK, are not limited to being LVDS lines, as they may be other forms of bit based lines. For example, in another embodiment, the XPBus lines may be IEEE 1394 lines.

It is to be noted that although each of the lines PCK, PD0 to PD3, PCN, PCKR, PDR0 to PDR3, PCNR, VPCK, and VPD is referred to as a line, in the singular rather than plural, each such line may contain more than one physical line. For example, in the embodiment shown in FIG. 16, each of lines PCK, PD0 to PD3 and PCN includes two physical lines between each driver and its corresponding receiver. The term line, when not directly preceded by the terms physical or conductive, is herein used interchangeably with a signal or bit channel of one or more physical lines for transmitting a signal. In the case of non-differential signal lines, generally only one physical line is used to transmit one signal. However, in the case of differential signal lines, a pair of physical lines is used to transmit one signal. For example, a pair of physical lines together transmit a signal in a bit line or bit channel in an LVDS or IEEE 1394 interface.

A bit based line (i.e., a bit line) is a line for transmitting serial bits. Bit based lines typically transmit bit packets and use a serial data packet protocol. Examples of bit lines include an LVDS line, an IEEE 1394 line, and a Universal Serial Bus (USB) line.

FIGS. 21 and 22 are tables including the pin number, symbol, signal, standard and description for the pins on the peripheral and video connectors, respectively. FIG. 23 is a table showing the symbols, signals, data rate and description of signals on the XPBus, where RTN indicates a ground (GND) reference. In the above tables, P&D stands for plug and display and is a trademark of the Video Electronics Standards Association (VESA) for the Plug and Display standard, DDC2:SCL and DDC2:SDA stand for the VESA display data channel (DDC) standard 2 clock and data signals, respectively, SV stands for super video, V33 is 3.3 volts, and V5 is 5.0

Preliminary Amendment of Application for Reissue of U.S. Patent No. 6,643,777

volts. TMDS stands for Transition Minimized Differential Signaling and is a trademark of Silicon Images and refers to their Panel Link technology, which is in turn a trademark for their LVDS technology. TMDS is used herein to refer to the Panel Link technology or technologies compatible therewith.

The reserved data packet types can be used to support non-PCI bus transactions, e.g., USB transactions. The bits sent in the first nibble of each data packet indicate the type of the data packet. FIG. 24 is a table showing different types of first nibbles and their corresponding data packet types.

#### IN THE CLAIMS

- 1-13. (Canceled)
- 14. (New) A method of improving performance of a computer, comprising:

obtaining an integrated Central Processing Unit (CPU) with a graphics controller in a single chip;

connecting a Differential Signal channel directly to the integrated CPU and graphics controller to output video data;

conveying Transition Minimized Differential Signaling (TDMS) signals through the Differential Signal channel;

connecting memory directly to the integrated CPU and graphics controller;

providing a connector for the computer for connection to a console; and

providing a first Low Voltage Differential Signal (LVDS) channel to couple to the connector, the first LVDS channel comprising two unidirectional, serial bit channels that transmit data in opposite directions.

- 15. (New) The method of claim 14 further comprises conveying Universal Serial Bus (USB) protocol information over the first LVDS channel.
- 16. (New) The method of claim 14 further comprises conveying encoded address and data bits of a Peripheral Component Interconnect (PCI) bus transaction in serial form over the first LVDS channel.
- 17. (New) The method of claim 14 further comprises of connecting a second LVDS channel directly to the integrated CPU and graphics controller, the second LVDS channel comprising two unidirectional, serial bit channels that transmit data in opposite directions.
- 18. (New) A method of improving performance of a computer, comprising:
  obtaining an integrated Central Processing Unit (CPU) with a graphics controller in a single chip;

connecting a Differential Signal channel directly to the integrated CPU and graphics controller to output video data;

providing a connector for the computer for connection to a console;

providing a first Low Voltage Differential Signal (LVDS) channel to couple to the connector, the first LVDS channel comprising two unidirectional, serial bit channels that transmit data in opposite directions; and

conveying Universal Serial Bus (USB) protocol information through the first LVDS channel.

- 19. (New) The method of claim 18 further comprising connecting a second LVDS channel directly to the integrated CPU and graphics controller, the second LVDS channel comprising two unidirectional, serial bit channels that transmit data in opposite directions.
- 20. (New) The method of claim 19 further comprising conveying Universal Serial Bus (USB) protocol information over the second LVDS channel.
- 21. (New) The method of claim 18 further comprising conveying Transition Minimized Differential Signaling (TDMS) signals through the Differential Signal channel.
- 22. (New) A method of improving performance of a computer, comprising:

obtaining an integrated Central Processing Unit (CPU) with a graphics controller in a single chip;

connecting a first Low Voltage Differential Signal (LVDS) channel directly to the integrated CPU and graphics controller, wherein the first LVDS channel comprises two unidirectional, serial bit channels that transmit data in opposite directions;

conveying Universal Serial Bus (USB) protocol information through the first LVDS channel;

providing a connector for the computer for connection to an external peripheral; and providing a second LVDS channel to couple to the connector, the second LVDS channel comprising two unidirectional, serial bit channels that transmit data in opposite directions.

- 23. (New) The method of claim 22 further comprising conveying Universal Serial Bus (USB) protocol information over the second LVDS channel.
- 24. (New) The method of claim 22 further comprising conveying encoded address and data bits of a Peripheral Component Interconnect (PCI) bus transaction in serial form over the second LVDS channel.
- 25. (New) The method of claim 22 further comprising receiving power from the connector upon connection to an external peripheral power supply.
- 26. (New) A method of improving performance of a computer, comprising:
  obtaining an integrated Central Processing Unit (CPU) with a graphics controller in a single chip;

connecting a Low Voltage Differential Signal (LVDS) channel directly to the integrated CPU and graphics controller, wherein the LVDS channel comprises two unidirectional, serial bit channels that transmit data in opposite directions;

conveying Universal Serial Bus (USB) protocol information through the LVDS channel;
connecting a Differential Signal channel directly to the integrated CPU and graphics
controller to output video data; and

connecting memory directly to the integrated CPU and graphics controller.

- 27. (New) The method of claim 26 further comprising conveying Transition Minimized Differential Signaling (TDMS) signals through the Differential Signal channel.
- 28. (New) The method of claim 26 further comprising providing a connector for the computer for connection to a console with a power supply.
- 29. (New) The method of claim 26 further comprising providing a connector for the computer for connection to a console, and coupling Universal Serial Bus (USB) protocol information from the LVDS channel to the console.

30. (New) A method of improving performance of a computer, comprising:

obtaining an integrated Central Processing Unit (CPU) with a graphics controller in a single chip;

connecting a Low Voltage Differential Signal (LVDS) channel directly to the integrated CPU and graphics controller, wherein the LVDS channel comprises two unidirectional, serial bit channels that transmit data in opposite directions;

conveying serially encoded address and data of a Peripheral Component Interconnect (PCI) bus transaction through the LVDS channel;

connecting a Differential Signal channel directly to the integrated CPU and graphics controller to output video data; and

connecting memory directly to the integrated CPU and graphics controller.

- 31. (New) The method of claim 30 further comprising
  - providing a connector for the computer for connection to a console, and

providing a second LVDS channel that couples to the connector, the second LVDS channel comprising two unidirectional, serial bit channels that transmit data in opposite directions.

- 32. (New) The method of claim 31 further comprising conveying serially encoded address and data of a Peripheral Component Interconnect (PCI) bus transaction to the console through the second LVDS channel and the connector.
- 33. (New) The method of claim 32 further comprising conveying video data to the console through the connector.
- 34. (New) The method of claim 31 further comprising conveying Universal Serial Bus (USB) protocol information to the console through the second LVDS channel and the connector.
- 35. (New) A method of improving performance of a computer, comprising:
  obtaining an integrated Central Processing Unit (CPU) and graphics controller in a single chip;

connecting a first Low Voltage Differential Signal (LVDS) channel directly to the integrated CPU and graphics controller, wherein the LVDS channel comprises two unidirectional, serial bit channels that transmit data in opposite directions;

connecting a Differential Signal channel directly to the integrated CPU and graphics controller to output video data;

providing a connector for the computer for connection to an external peripheral; and providing a second LVDS channel to couple to the connector, the second LVDS channel comprising two unidirectional, serial bit channels that transmit data in opposite directions.

- 36. (New) The method of claim 35 further comprising conveying Universal Serial Bus (USB) protocol information to the external peripheral through the second LVDS channel and the connector.
- 37. (New) The method of claim 35 further comprising conveying Universal Serial Bus (USB) protocol information through the first LVDS channel.
- 38. (New) The method of claim 35 further comprising conveying Transition Minimized Differential Signaling (TDMS) signals through the Differential Signal channel.

#### **REMARKS**

This is a preliminary amendment of the present continuation reissue of U.S. Application No. 13/649,078, which is continuation reissue of U.S. Application No. 13/562,210, which is a continuation reissue of U.S. Application No. 13/294,108, which is a continuation reissue of U.S. Application No. 12/561,138, which is a continuation reissue of U.S. Application No. 11/056,604, for the reissue of U.S. Patent No. 6,643,777 (the "777 patent"). Claims 1-13 are cancelled, and new claims 14-38 are added. Accordingly, claims 14-38 are now pending.

## **Drawings and Specification**

At col. 5, lines 9-13, the '777 patent incorporated by reference U.S. Application Nos. 09/149,548, now issued as U.S. Patent No. 6,216,185 (the "185 patent") and 09/149,882, now issued as U.S. Patent No. 6,345,330 (the "330 patent"), which, in turn, claimed the benefit of and incorporated by reference U.S. Provisional Application No. 60/083,886 (the "886 provisional application") (See checked incorporation by reference box in utility patent application transmittal of U.S. Application No. 09/149,882 filed on September 8, 1998). By this preliminary amendment, the present application is amended to specifically include certain figures and text from the '185 patent, the '330 patent, and the '886 provisional application. Specifically, the present application is amended to add new FIG. 9 through FIG. 24, as well as accompanying text at col. 4, line 51 and col. 13, line 33. This corresponds to: (a) FIG. 2b, FIG. 3, FIG. 4, and FIG. 7 of the '185 patent; (b) the text of the '185 patent at col. 2, lines 35-43; col. 3, lines 22-23, 25-28, and 36; col. 4, lines 10-15 and 33-67; col. 5, lines 1-67; col. 6, lines 1-13; col. 8, lines 34-55; col. 13, lines 1-47; and col. 15, lines 24-47; (c) FIG. 2, FIG. 3, FIG. 4, FIG. 6, FIG. 11, FIG. 18, FIG. 19, FIG. 20, and FIG. 35 of the '330 patent; (d) the text of the '330 patent at col. 2, lines 20-67; col. 3, lines 1-38, 45-53, and 57-58; col. 4, lines 3-4, 21-25, 35-36, and 66-67; col. 5, lines 66-67, col. 6, lines 1-35; col. 7, lines 28-66; col. 8, lines 61-67; col. 11, lines 52-67; col. 12, lines 1-6; col. 13, lines 46-62, col. 15, lines 11-67; col. 16, lines 1-5 and col. 19, lines 13-15 and 22-25; (e) Figure 8 and Figure 9 of the '886 provisional application; and (f) the text of the '886 provisional application as originally included in legends of Figure 8 and Figure 9.

# Status of Claims and Support for Claim Changes

As noted above, claims 1-13 are cancelled, and new claims 14-38 are added by this paper. The new claims include limitations of the type previously considered in parent applications. Entry and consideration of the foregoing amendments is respectfully requested.

The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 50-1283.

By:

Date: December 16, 2013

Customer No. 58249

Cooley LLP

ATTN: Patent Group 777 6<sup>th</sup> Street NW, Suite 1100

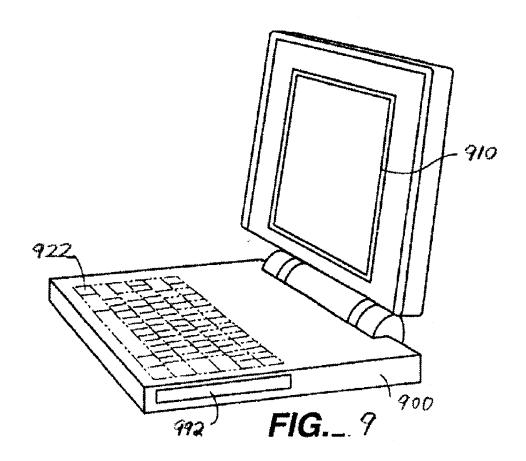
Washington, DC 20001 Tel: (650) 843-5852

Fax: (202) 842-7899

Respectfully submitted,

Cooley LLP\_

William S. Galliani Reg. No. 33,885



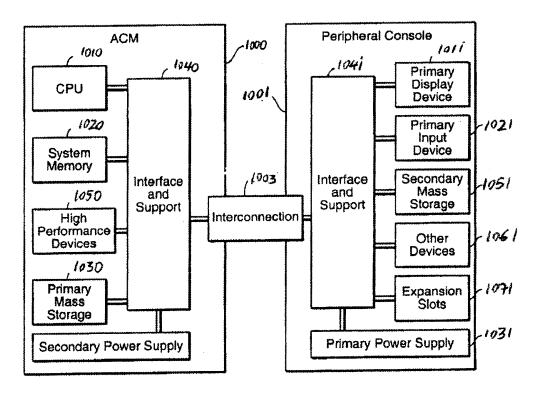
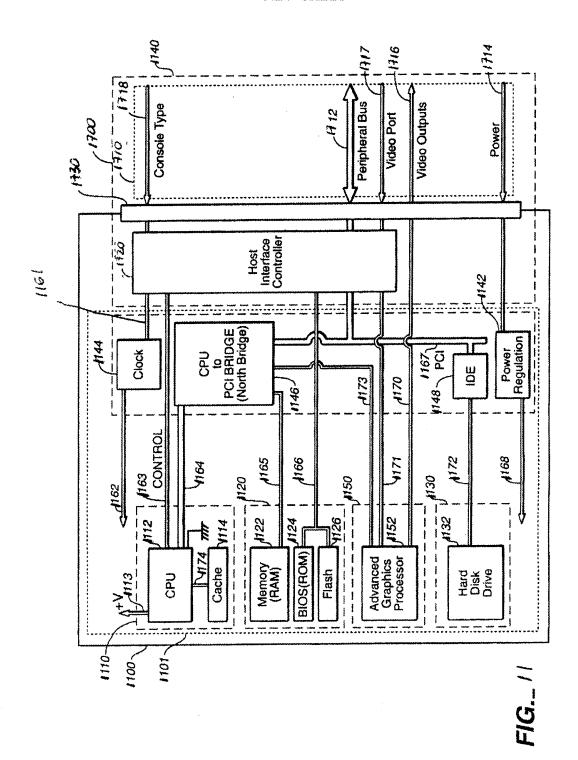
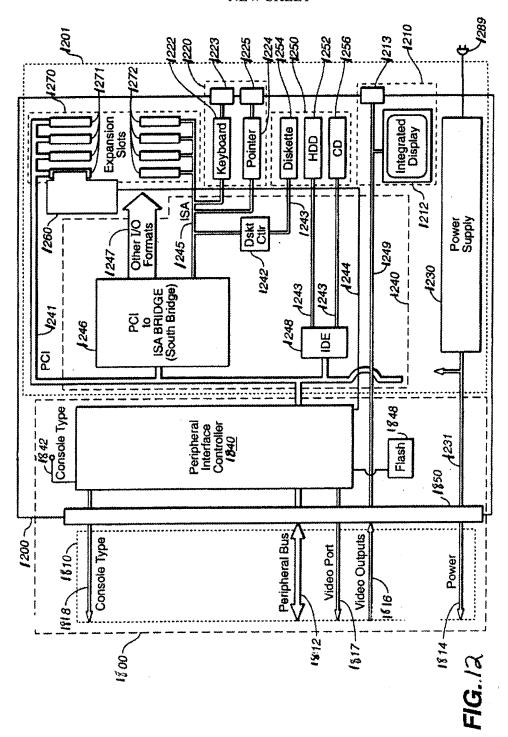


FIG.\_ 10





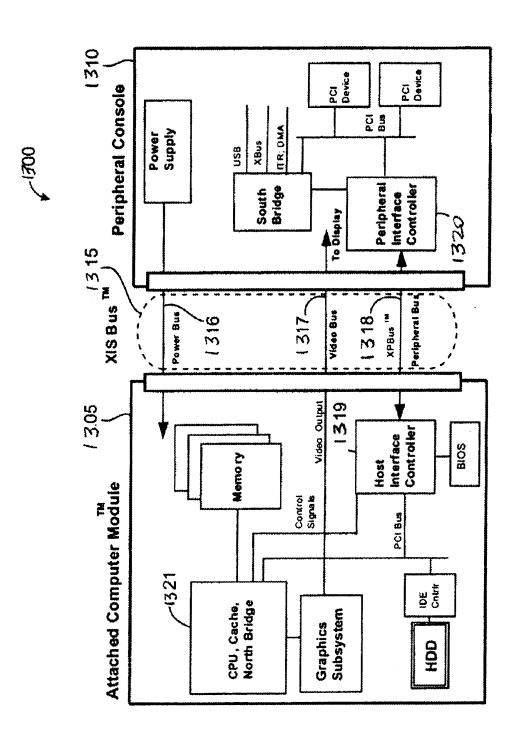


FIG. 13

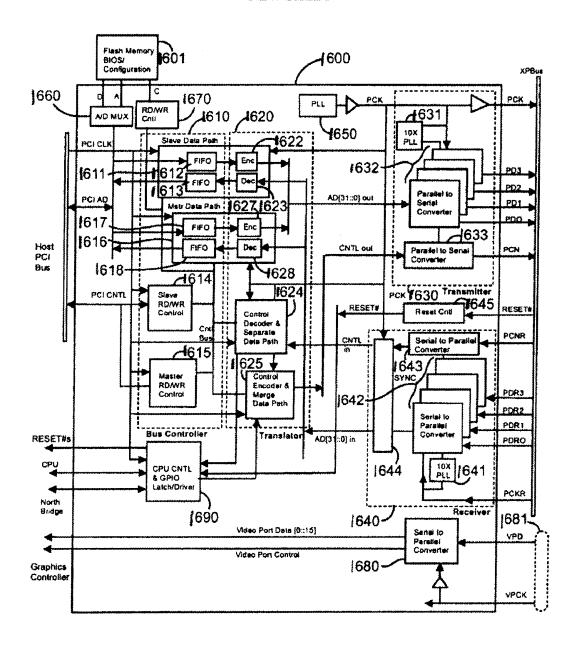


FIG. 14

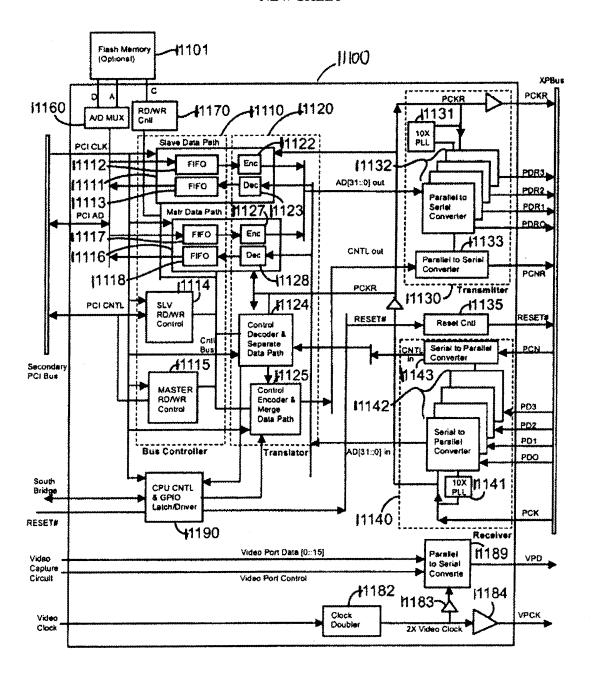


FIG. 15

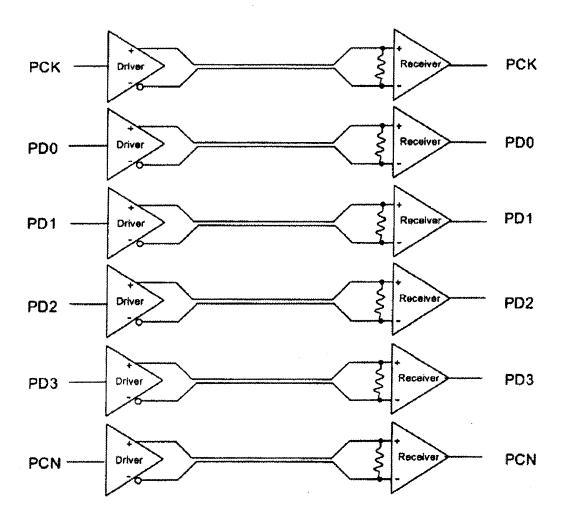


FIG. 16

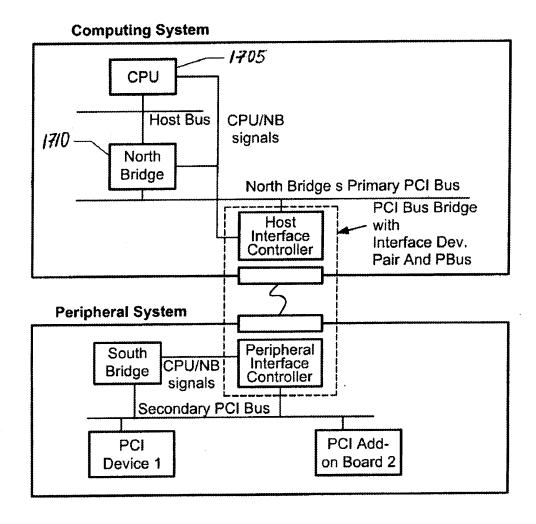


FIGURE 17

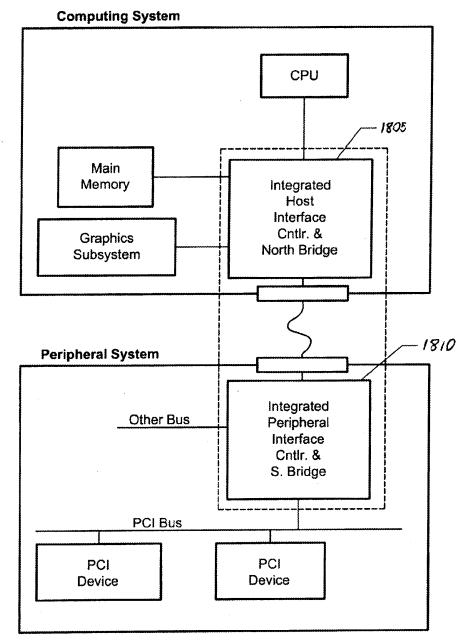
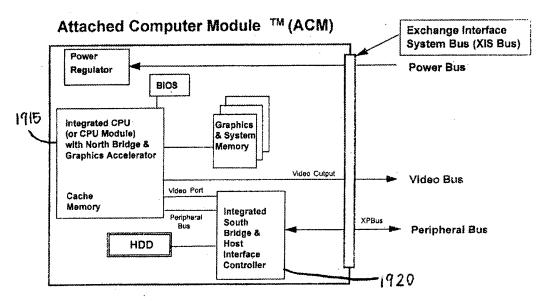
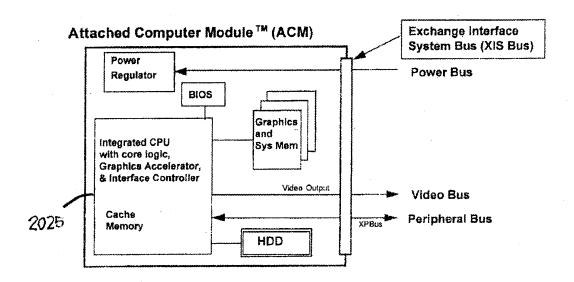


FIGURE 18



Attached Computer Module with Integrated CPU/NB/Graphics and Integrated HIC/SB

F16.19



Attached Computer Module with Single Chip fully integrated: CPU, Cache, Core logic, Graphics controller and Interface controller

FIG. 20

|                              |             |        |          | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |
|------------------------------|-------------|--------|----------|-----------------------------------------|
| Ground                       | GND         |        | GND      | P29,P32,P36,P39                         |
|                              |             |        |          | P14,P17,P23,P26,                        |
|                              |             |        |          | P1,P4,P7,P10,                           |
| Configuration bit 1          | 3.3v or GND | Static | Config 1 | P35                                     |
| Configuration bit 0          | 3.3v or GND | Static | Config 0 | P13                                     |
| Peripheral Control -         |             | LVDS   | PCN -    | P41                                     |
| Peripheral control +         |             | LVDS   | PCN+     | P40                                     |
| Peripheral data 3 -          |             | LVDS   | PD3 -    | P38                                     |
| Peripheral data 3 +          |             | LVDS   | PD3 +    | P37                                     |
| Peripheral clock -           |             | LVDS   | PCK -    | P34                                     |
| Peripheral clock +           |             | LVDS   | PCK +    | P33                                     |
| Peripheral data 2 -          |             | LVDS   | PD2 -    | P31                                     |
| Peripheral data 2 +          |             | LVDS   | PD2 +    | P30                                     |
| Peripheral data 1 -          |             | LVDS   | - 104    | P28                                     |
| Peripheral data 1 +          |             | LVDS   | + LQ4    | P27                                     |
| Peripheral data 0 -          |             | LVDS   | - 0Qd    | P25                                     |
| Peripheral data 0 +          |             | LVDS   | + 0Qd    | P24                                     |
| Peripheral control reverse - |             | FNDS   | PCNR -   | P19                                     |
| Peripheral control reverse + |             | TADS   | PCNR +   | P18                                     |
| Peripheral data reverse 3 -  |             | SGAT   | PDR3 -   | P16                                     |
| Peripheral data reverse 3 +  |             | LVDS   | PDR3 +   | P15                                     |
| Peripheral clock reverse -   |             | TNDS   | - PCKR   | P12                                     |
| Peripheral clock reverse +   |             | SGAT   | PCKR +   | P11                                     |
| Peripheral data reverse 2 -  |             | TADS   | - 2AQ4   | Pg                                      |
| Peripheral data reverse 2 +  |             | LVDS   | PDR2 +   | P8                                      |
| Peripheral data reverse 1 -  |             | LVDS   | PDR1 -   | P6                                      |
| Peripheral data reverse 1 +  |             | SGAT   | PDR1+    | P5                                      |
| Peripheral data reverse 0 -  |             | LVDS   | PDR0 -   | P3                                      |
| Peripheral data reverse 0 +  |             | LVDS   | PDR0+    | P2                                      |
| Description                  | Standard    | Signal | Symbol   | Pin No.                                 |

FIG. 21

| Pin No.        | Symbol       | Signal | Standard       | Description                 |
|----------------|--------------|--------|----------------|-----------------------------|
| V2             | Red Video    | Analog |                | Video                       |
| 74             | Green Video  | Analog |                | Video                       |
| 9/             | Blue Video   | Analog |                | Video                       |
| N8             | HSYNC        |        |                | Horizontal Sync             |
| 6/             | ASYNC        |        |                | Vertical Sync               |
| V11            | DDC5 SCF     |        | VESA DDC std 2 | DDC Clock                   |
| V12            | DDC2 SDA     |        | VESA DDC std 2 | DDC Data                    |
| V14            | TV-CV/CNTL 0 |        |                | TV Composite Video          |
| V15            | SV Y/CNTL 1  |        | Super Video    | SV Luminance or Control 1   |
| V16            | SV C/CNTL 2  |        | Super Video    | SV Chrominance or Control 2 |
| V24            | + 00         | TMDS   | VESAP&D        | Data 0 +                    |
| V25            | - 0a         | SOMT   | VESA P & D     | - Data 0                    |
| V27            | CLK +        | TMDS   | VESAP&D        | Clock +                     |
| V28            | - CLK        | TMDS   | VESA P & D     | Clock -                     |
| V30            | D1 +         | TMDS   | VESAP&D        | Data 1 +                    |
| V31            | . D1 -       | SOMT   | VESA P & D     | Data 1 -                    |
| V33            | D2 +         | TMDS   | VESA P & D     | Data 2 +                    |
| V34            | D2 -         | SOMT   | VESA P & D     | Data 2 -                    |
| V37            | VPCK +       | LVDS   |                | Video Port Pixel Clock +    |
| V38            | VPCK -       | FNDS   |                | Video Port Pixel Clock -    |
| V40            | + QdA        | LVDS   |                | Video Port Pixel Data +     |
| V41            | VPD -        | LVDS   |                | Video Port Pixel Data -     |
| V13            | Config 2     |        | 3.3v or GND    | Configuration bit 2         |
| V35            | Config 3     |        | 3.3v or GND    | Configuration bit 3         |
| V1,V3,V5,V7,   | GND          |        | GND            | Ground                      |
| V 10,V23,V20,  |              |        |                |                             |
| V29,V32,V30,   |              |        |                |                             |
| V33, V42, V43, |              |        |                |                             |
|                |              |        |                |                             |

FIG. 22

|    | Symbol   | Signal             | Data Rate       | Description                           |
|----|----------|--------------------|-----------------|---------------------------------------|
| 1  | PD0 RTN  |                    |                 | GND                                   |
| 2  | PD0 +    | Synch, To PCK      | 10 x clock rate | Computer to Peripheral LVDS Data 0 +  |
| 3  | PD0 -    |                    |                 | Computer to Peripheral LVDS Data 0 -  |
| 4  | PD1 RTN  |                    |                 | GND                                   |
| 5  | PD1 +    | Synch. To PCK      | 10 x clock rate | Computer to Peripheral LVDS Data 1 +  |
| 6  | PD1 -    |                    |                 | Computer to Peripheral LVDS Data 1 -  |
| 7  | PD2 RTN  |                    |                 | GND                                   |
| 8  | PD2 +    | Synch. To PCK      | 10 x clock rate | Computer to Peripheral LVDS Data 2 +  |
| 9  | PD2 -    |                    |                 | Computer to Peripheral LVDS Data 2 -  |
| 10 | PD3 RTN  |                    |                 | GND                                   |
| 11 | PD3 +    | Synch. To PCK      | 10 x clock rate | Computer to Peripheral LVDS Data 3+   |
| 12 | PD3 -    |                    |                 | Computer to Peripheral LVDS Data 3 -  |
| 13 | PCK RTN  |                    |                 | GND                                   |
| 14 | PCK +    | Clock              | Clock rate      | Computer to Peripheral LVDS Clock +   |
| 15 | PCK -    |                    |                 | Computer to Peripheral LVDS Clock -   |
| 16 | PCN RTN  |                    |                 | GND                                   |
| 17 | PCN +    | Synch. To PCK      | 10 x clock rate | Computer to Peripheral LVDS Control + |
| 18 | PCN -    |                    |                 | Computer to Peripheral LVDS Control - |
| 19 | PDR0 RTN |                    |                 | GND                                   |
| 20 | PDR0 +   | Synch. To PCKR     | 10 x clock rate | Peripheral to Computer LVDS Data 0 +  |
| 21 | PDR0 -   |                    |                 | Peripheral to Computer LVDS Data 0 -  |
| 22 | PDR1 RTN |                    |                 | GND                                   |
| 23 | PDR1+    | Synch, To PCKR     | 10 x clock rate | Peripheral to Computer LVDS Data 1 +  |
| 24 | PDR1 -   |                    |                 | Peripheral to Computer LVDS Data 1 -  |
| 25 | PDR2 RTN |                    |                 | GND                                   |
| 26 | PDR2 +   | Synch, To PCKR     | 10 x clock rate | Peripheral to Computer LVDS Data 2 +  |
| 27 | PDR2 -   |                    |                 | Peripheral to Computer LVDS Data 2 -  |
| 28 | PDR3 RTN |                    |                 | GND                                   |
| 29 | PDR3 +   | Synch. To PCKR     | 10 x clock rate | Peripheral to Computer LVDS Data 3 +  |
| 30 | PDR3 -   |                    |                 | Peripheral to Computer LVDS Data 3 -  |
| 31 | PCKR RTN |                    |                 | ĞND                                   |
| 32 | PCKR +   | Reverse Dir. Clock | Clock rate      | Peripheral to Computer LVDS Clock +   |
| 33 | PCKR -   |                    |                 | Peripheral to Computer LVDS Clock -   |
| 34 | PCNR RTN |                    |                 | GND                                   |
| 35 | PCNR +   | Synch, To PCKR     | 10 x Clock rate | Peripheral to Computer LVDS Control + |
| 36 | PCNR -   |                    |                 | Peripheral to Computer LVDS Control - |
| 37 | RESET#   |                    | Asynchronous    | Reset                                 |

| ID bits (P0 P1 P2 P3) | Data Packet Type                         |
|-----------------------|------------------------------------------|
| 00XX                  | PCI 1st address/data segment             |
| XX10                  | PCI 2nd address/data segment             |
| 0001                  | Control 1st segment with PCI response    |
| 1001                  | Control 1st segment without PCI response |
| 0101                  | Control 2nd segment with PCI response    |
| 1101                  | Control 2nd segment without PCI response |
| 0011                  | Reserved                                 |
| 1011                  | Reserved                                 |
| 0111                  | Initialization                           |
| 1111                  | NOOP                                     |

FIG. 24

| Application Data Sheet 37 CFR 1.76                         |                                   |                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Attorney            | Attorney Docket Number ACQI-006/09US 310578-2079 |         |          |         | 79           |               |            |             |          |
|------------------------------------------------------------|-----------------------------------|------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|--------------------------------------------------|---------|----------|---------|--------------|---------------|------------|-------------|----------|
| Appii                                                      |                                   | la Sile                | Set 37 Clik                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 1.70                | Application                                      | n Nu    | mber     |         |              |               |            |             |          |
| Title of                                                   | f Invention                       | Data S                 | Security Method                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | and De              | evice for Com                                    | puter   | Module   | es      |              |               |            |             |          |
| bibliogra<br>This do                                       | aphic data arran<br>cument may be | ged in a f<br>complete | t of the provisional<br>ormat specified by<br>ed electronically a<br>cluded in a paper                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | y the Un<br>and sub | ited States Pa<br>mitted to the                  | tent ar | nd Trade | mark Of | ffice as out | lined in 37 ( | CFR 1.76.  |             |          |
| Secre                                                      | cy Orde                           | r 37 (                 | CFR 5.2                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                     |                                                  |         |          |         |              |               |            |             |          |
|                                                            |                                   |                        | olication associa                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                     |                                                  |         |          |         |              |               |            |             | suant to |
|                                                            | tor Infor                         |                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Cations             | s triat rail uri                                 | uei c   | eciecy   | Olde    | i illay ilo  | n be med      | electioni  | cally.      |          |
| Invent                                                     | tor 1                             |                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                     |                                                  |         |          |         |              | Re            | emove      |             |          |
| Legal                                                      | Name                              |                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                     |                                                  |         |          |         |              |               |            |             |          |
| Prefix                                                     | Given Nan                         | ne                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | М                   | iddle Name                                       | •       |          |         | Family       | Name          |            |             | Suffix   |
|                                                            | William                           |                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | W                   | . Y.                                             |         |          |         | Chu          |               |            |             |          |
| Resid                                                      |                                   | nation (               | Select One)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                     | Residency                                        | 0       |          | JS Res  | sidency      | O Activ       | e US Milit | ary Service | е        |
| City Los Altos State/Province CA Country of Residence i US |                                   |                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                     |                                                  |         |          |         |              |               |            |             |          |
|                                                            |                                   |                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                     |                                                  |         |          |         |              |               |            |             |          |
| Mailing                                                    | Address of                        | Invent                 | or:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                     |                                                  |         |          |         |              |               |            |             |          |
| Addre                                                      | ss 1                              |                        | 1320 Miravalle                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | e Avenu             | ie                                               |         |          |         |              |               |            |             |          |
| Addre                                                      | ss 2                              |                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                     |                                                  |         |          |         |              |               |            |             |          |
| City                                                       | Los A                             | Itos                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                     |                                                  |         | State    | Prov    | ince         | CA            |            |             |          |
|                                                            | Code                              |                        | 94024                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                     |                                                  |         | ıntry    |         | US           |               |            |             |          |
|                                                            |                                   |                        | isted - Addition of the selecting the selecting the selecting the selecting the selecting the selection of t |                     |                                                  | ormat   | tion blo | ocks r  | may be       |               | Add        |             |          |
| Corre                                                      | sponder                           | nce Ir                 | nformatio                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | n:                  |                                                  |         |          |         |              |               |            |             |          |
|                                                            |                                   |                        | umber or con<br>see 37 CFR 1.3                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                     | the Corres                                       | pond    | lence l  | Inform  | ation se     | ection be     | low.       |             |          |
| ☐ Ar                                                       | Address is                        | being                  | provided for                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | the co              | rresponde                                        | nce l   | nforma   | ation   | of this a    | pplicatio     | n.         |             |          |
| Custo                                                      | mer Numbe                         | r                      | 58249                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                     |                                                  |         |          |         |              |               |            |             |          |
| Email                                                      | Address                           |                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                     |                                                  |         |          |         |              | Add E         | mail       | Remove      | Email    |
| Appl                                                       | ication lı                        | nform                  | nation:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                     |                                                  |         |          |         | ·            |               |            |             |          |
| Title o                                                    | f the Inventi                     | ion                    | Data Security                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | y Metho             | od and Device                                    | e for C | Compute  | er Mod  | ules         |               |            |             |          |
| Attorn                                                     | ey Docket N                       | lumber                 | ACQI-006/09                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | US 310              | 578-2079                                         |         | Sma      | ıll Ent | ity Statu    | ıs Claime     | ed 🔲       |             |          |
| Applic                                                     | ation Type                        |                        | Nonprovision                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | nal                 |                                                  |         | •        |         |              |               |            |             |          |
| Subje                                                      | ct Matter                         |                        | Utility                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                     |                                                  |         |          |         |              |               |            |             |          |
| Total I                                                    | Number of D                       | rawing                 | Sheets (if ar                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | ıy)                 | 24                                               |         | Sug      | ggeste  | ed Figur     | e for Pub     | lication   | (if any)    |          |
| -                                                          |                                   |                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                     |                                                  |         |          |         |              |               |            |             |          |

| Application Da                                                           | ata Sheet 37 CFR 1.76           | Attorney Docket Number         | ACQI-006/09US 310578-2079                                                                                                    |  |  |  |  |
|--------------------------------------------------------------------------|---------------------------------|--------------------------------|------------------------------------------------------------------------------------------------------------------------------|--|--|--|--|
| Application Da                                                           | ata Sileet 37 CFK 1.70          | Application Number             |                                                                                                                              |  |  |  |  |
| Title of Invention                                                       | Data Security Method and De     | vice for Computer Modules      |                                                                                                                              |  |  |  |  |
| Publication Information:                                                 |                                 |                                |                                                                                                                              |  |  |  |  |
| Request Early Publication (Fee required at time of Request 37 CFR 1.219) |                                 |                                |                                                                                                                              |  |  |  |  |
| 35 U.S.C. 122<br>subject of an                                           | 2(b) and certify that the inver | ntion disclosed in the attache | application not be published under<br>d application has not and will not be the<br>Il international agreement, that requires |  |  |  |  |

# **Representative Information:**

| Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Either enter Customer Number or complete the Representative Name section below. If both sections are completed the customer Number will be used for the Representative Information during processing. |                 |                        |                                   |  |  |  |  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|------------------------|-----------------------------------|--|--|--|--|
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                 |                        |                                   |  |  |  |  |
| Please Select One:                                                                                                                                                                                                                                                                                                                                                                                                                                              | Customer Number | US Patent Practitioner | Limited Recognition (37 CFR 11.9) |  |  |  |  |
| Customer Number                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 58249           |                        |                                   |  |  |  |  |

# **Domestic Benefit/National Stage Information:**

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78.

When referring to the current application, please leave the application number blank.

| Prior Application                 | on Status | Pending                     |                                        | Remove                      |                            |                            |  |
|-----------------------------------|-----------|-----------------------------|----------------------------------------|-----------------------------|----------------------------|----------------------------|--|
| Application N                     | umber     | Conti                       | inuity Type                            | Prior Application Num       | nber Filing Da             | nte (YYYY-MM-DD)           |  |
|                                   |           | Continuation of             | of                                     | 13649078                    | 2012-10-10                 |                            |  |
| Prior Application                 | on Status | Patented                    |                                        |                             | Re                         | move                       |  |
| Application<br>Number             | Cont      | tinuity Type                | Prior Application<br>Number            | Filing Date<br>(YYYY-MM-DD) | Patent Number              | Issue Date<br>(YYYY-MM-DD) |  |
| 13649078                          | Continua  | tion of                     | 13562210                               | 2012-07-30                  | 44468                      | 2013-08-27                 |  |
| Prior Application Status F        |           | Patented                    |                                        |                             | Re                         | move                       |  |
| Application Continuity Type       |           | Prior Application<br>Number | Filing Date<br>(YYYY-MM-DD)            | Patent Number               | Issue Date<br>(YYYY-MM-DD) |                            |  |
| 13562210                          | Continua  | tion of                     | 13294108                               | 2011-11-10                  | 43602                      | 2012-08-21                 |  |
| Prior Application Status Patented |           |                             | Remove                                 |                             |                            |                            |  |
| Application Continuity Type       |           | Prior Application<br>Number | Filing Date (YYYY-MM-DD) Patent Number |                             | Issue Date<br>(YYYY-MM-DD) |                            |  |
| 13294108                          | Continua  | tion of                     | 12561138                               | 2009-09-16 42984 2011-1     |                            | 2011-11-29                 |  |
| Prior Application                 | on Status | Patented                    |                                        |                             | Re                         | move                       |  |

| Application [                     | lata Sha  | ot 37 CED 1      | 1 76  | Attorney Doo              | ket Number   ACQI-006/09US 310578-2079 |          |               | 2079                       |
|-----------------------------------|-----------|------------------|-------|---------------------------|----------------------------------------|----------|---------------|----------------------------|
| Application                       | Jala Sile | CI OI CI IX      | 1.70  | Application N             | Number                                 |          |               |                            |
| Title of Invention                | Data S    | ecurity Method a | nd De | vice for Comput           | er Modules                             |          |               |                            |
| Application<br>Number             | Con       | tinuity Type     | Pr    | ior Application<br>Number | Filing Da<br>(YYYY-MM                  |          | Patent Number | Issue Date<br>(YYYY-MM-DD) |
| 12561138 Continuation of 110      |           | 1105             | 56604 | 2005-02-10                |                                        | 41092    | 2010-01-26    |                            |
| Prior Application Status Patented |           |                  |       | Remove                    |                                        |          |               |                            |
| Application<br>Number             | Con       | tinuity Type     | Pr    | ior Application<br>Number | Filing Da<br>(YYYY-MM                  |          | Patent Number | Issue Date<br>(YYYY-MM-DD) |
| 11056604                          | reissued  | of               | 0931  | 12199                     | 1999-05-14                             |          | 6643777       | 2003-11-04                 |
| Additional Dome by selecting the  |           |                  | ge Da | ta may be gen             | erated within t                        | his form | Α             | dd                         |

# **Foreign Priority Information:**

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(d). When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX) the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(h)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

|                                          |                           |                                | Remove                                   |
|------------------------------------------|---------------------------|--------------------------------|------------------------------------------|
| Application Number                       | Country <sup>i</sup>      | Filing Date (YYYY-MM-DD)       | Access Code <sup>i</sup> (if applicable) |
|                                          |                           |                                |                                          |
| Additional Foreign Priority  Add button. | Data may be generated wit | hin this form by selecting the | Add                                      |

# Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

| This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 16, 2013.                                                                                                                                                                                                                                |
| NOTE: By providing this statement under 37 CFR 1.55 or 1.78, this application, with a filing date on or after March                                                                                                                      |
| 16, 2013, will be examined under the first inventor to file provisions of the AIA.                                                                                                                                                       |

#### **Authorization to Permit Access:**

| Authorization to Permit Access to the Instant Application by the Participating Offices |
|----------------------------------------------------------------------------------------|

| Application Da                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | to Choot 27 CED 4 76        | Attorney Docket Number                          | ACQI-006/09US 310578-2079 |  |  |  |  |  |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------|-------------------------------------------------|---------------------------|--|--|--|--|--|
| Application Da                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | ta Sheet 37 CFR 1.76        | Application Number                              |                           |  |  |  |  |  |
| Title of Invention                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | Data Security Method and De | Security Method and Device for Computer Modules |                           |  |  |  |  |  |
| If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the instant patent application is filed access to the instant patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, KIPO, WIPO, or other intellectual property office in which a foreign application claiming priority to the instant patent application is filed to have access to the instant patent application. |                             |                                                 |                           |  |  |  |  |  |
| In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the instant patent application with respect to: 1) the instant patent application-as-filed; 2) any foreign application to which the instant patent application claims priority under 35 U.S.C. 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the instant patent application; and 3) any U.S. application-as-filed from which benefit is                                                                                                                                                                                                                                |                             |                                                 |                           |  |  |  |  |  |

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing this Authorization.

# **Applicant Information:**

sought in the instant patent application.

| Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office. |                                                              |                                                                                                                                         |                                                                                                                 |                                                                                                                                                                                                                                           |  |  |  |  |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|--|--|
| Applicant 1                                                                                                                                                                         |                                                              |                                                                                                                                         |                                                                                                                 | Remove                                                                                                                                                                                                                                    |  |  |  |  |
| The information to be provid 1.43; or the name and addrewho otherwise shows sufficial applicant under 37 CFR 1.4                                                                    | led in this s<br>ess of the a<br>ient proprie<br>6 (assignee | ection is the name and address<br>ssignee, person to whom the in<br>tary interest in the matter who i<br>e, person to whom the inventor | s of the legal representa<br>nventor is under an oblig<br>s the applicant under 37<br>is obligated to assign, o | , this section should not be completed. tive who is the applicant under 37 CFR ation to assign the invention, or person CFR 1.46. If the applicant is an r person who otherwise shows sufficient ors who are also the applicant should be |  |  |  |  |
| <ul><li>Assignee</li></ul>                                                                                                                                                          |                                                              | C Legal Representative ur                                                                                                               | nder 35 U.S.C. 117                                                                                              | O Joint Inventor                                                                                                                                                                                                                          |  |  |  |  |
| Person to whom the inve                                                                                                                                                             | entor is oblig                                               | gated to assign.                                                                                                                        | Person who she                                                                                                  | ows sufficient proprietary interest                                                                                                                                                                                                       |  |  |  |  |
| If applicant is the legal re                                                                                                                                                        | presentati                                                   | ve, indicate the authority to                                                                                                           | file the patent applicat                                                                                        | tion, the inventor is:                                                                                                                                                                                                                    |  |  |  |  |
|                                                                                                                                                                                     |                                                              |                                                                                                                                         |                                                                                                                 |                                                                                                                                                                                                                                           |  |  |  |  |
| Name of the Deceased of                                                                                                                                                             | r Legally I                                                  | ncapacitated Inventor :                                                                                                                 |                                                                                                                 |                                                                                                                                                                                                                                           |  |  |  |  |
| If the Applicant is an Or                                                                                                                                                           | ganization                                                   | check here.                                                                                                                             |                                                                                                                 |                                                                                                                                                                                                                                           |  |  |  |  |
| Organization Name                                                                                                                                                                   | Acqis LLC                                                    |                                                                                                                                         |                                                                                                                 |                                                                                                                                                                                                                                           |  |  |  |  |
| Mailing Address Infor                                                                                                                                                               | nation:                                                      |                                                                                                                                         |                                                                                                                 |                                                                                                                                                                                                                                           |  |  |  |  |
| Address 1 411 Interchange Street                                                                                                                                                    |                                                              |                                                                                                                                         |                                                                                                                 |                                                                                                                                                                                                                                           |  |  |  |  |
| Address 2                                                                                                                                                                           |                                                              |                                                                                                                                         |                                                                                                                 |                                                                                                                                                                                                                                           |  |  |  |  |
| City                                                                                                                                                                                | McKin                                                        | nney                                                                                                                                    | State/Province                                                                                                  | TX                                                                                                                                                                                                                                        |  |  |  |  |
| Country   US                                                                                                                                                                        |                                                              |                                                                                                                                         | Postal Code                                                                                                     | 75069                                                                                                                                                                                                                                     |  |  |  |  |
| Phone Number                                                                                                                                                                        |                                                              |                                                                                                                                         | Fax Number                                                                                                      |                                                                                                                                                                                                                                           |  |  |  |  |

ACQI-006/09US 310578-2079

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Attorney Docket Number

| Applicatio                                                                                                        | II Dala                   | Sile                 | el 37 (         | SFK 1.70          | Application N     | lumber           |           |                                                                    |                       |
|-------------------------------------------------------------------------------------------------------------------|---------------------------|----------------------|-----------------|-------------------|-------------------|------------------|-----------|--------------------------------------------------------------------|-----------------------|
| Title of Inven                                                                                                    | tion D                    | ata Se               | curity M        | ethod and Dev     | vice for Comput   | er Modules       |           |                                                                    |                       |
| Email Addres                                                                                                      |                           |                      |                 |                   |                   |                  |           |                                                                    |                       |
| Additional App                                                                                                    | licant Dat                | ta may               | be gen          | erated within     | this form by se   | lecting the Add  | button.   | Į.                                                                 | Add                   |
| Assignee Information including Non-Applicant Assignee Information:                                                |                           |                      |                 |                   |                   |                  |           |                                                                    |                       |
| Providing assign<br>have an assignr                                                                               |                           |                      |                 |                   | not subsitute for | compliance with  | h any req | uirement of part 3                                                 | of Title 37 of CFR to |
| Assignee                                                                                                          | 1                         |                      |                 |                   |                   |                  |           |                                                                    |                       |
| application publ                                                                                                  | ication . A<br>n applican | n assig<br>nt. For a | gnee-ap         | plicant identifie | ed in the "Applic | ant Information" | section v | desired to be inclue<br>vill appear on the p<br>n as an assignee i |                       |
|                                                                                                                   |                           |                      |                 |                   |                   |                  |           | Rem                                                                | ove                   |
| If the Assigne                                                                                                    | ee or Nor                 | n-Appli              | licant A        | ssignee is an     | Organization      | check here.      |           | ×                                                                  |                       |
| Organization                                                                                                      | Name                      | Acc                  | qis LLC         |                   |                   |                  |           |                                                                    |                       |
| Mailing Addre                                                                                                     | ess Infor                 | matio                | n For A         | Assignee ind      | cluding Non-A     | Applicant Ass    | ignee:    |                                                                    |                       |
| Address 1                                                                                                         |                           |                      | 411             | Interchange S     | treet             |                  |           |                                                                    |                       |
| Address 2                                                                                                         |                           |                      |                 |                   |                   |                  |           |                                                                    |                       |
| City                                                                                                              |                           |                      | McKinney State/ |                   | State/Provir      | ıce              | TX        |                                                                    |                       |
| Country i                                                                                                         | US                        | •                    |                 |                   |                   | Postal Code      |           | 75069                                                              |                       |
| Phone Numb                                                                                                        | er                        |                      |                 |                   |                   | Fax Number       |           |                                                                    |                       |
| Email Addres                                                                                                      | ss                        |                      |                 |                   |                   |                  |           |                                                                    |                       |
| Additional Assignee or Non-Applicant Assignee Data may be generated within this form by selecting the Add button. |                           |                      |                 |                   |                   |                  |           |                                                                    |                       |
| Signature:                                                                                                        |                           |                      |                 |                   |                   |                  |           |                                                                    |                       |
| NOTE: This certifications                                                                                         | form mus                  | st be s              | signed i        | n accordance      | e with 37 CFR     | 1.33. See 37     | CFR 1.4   | for signature re                                                   | quirements and        |
| Signature                                                                                                         | /William S                | S. Gallia            | iani/           |                   |                   |                  | Date (    | YYYY-MM-DD)                                                        | 2013-12-17            |
| First Name                                                                                                        | William                   | S.                   |                 | Last Name         | Galliani          |                  | Regist    | ration Number                                                      | 33885                 |
| Additional Signature may be generated within this form by selecting the Add button.  Add  Add                     |                           |                      |                 |                   |                   |                  |           |                                                                    |                       |

|  | Application Data Sheet 37 CFR 1.76 |                             | Attorney Docket Number    | ACQI-006/09US 310578-2079 |
|--|------------------------------------|-----------------------------|---------------------------|---------------------------|
|  |                                    |                             | Application Number        |                           |
|  | Title of Invention                 | Data Security Method and De | vice for Computer Modules |                           |

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

# **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552)
  and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine
  whether the Freedom of Information Act requires disclosure of these records.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an
  individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of
  the record
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

| Electronic Patent <i>I</i>           | Electronic Patent Application Fee Transmittal        |                       |            |        |                         |  |
|--------------------------------------|------------------------------------------------------|-----------------------|------------|--------|-------------------------|--|
| Application Number:                  |                                                      |                       |            |        |                         |  |
| Filing Date:                         |                                                      |                       |            |        |                         |  |
| Title of Invention:                  | Data Security Method and Device for Computer Modules |                       |            |        |                         |  |
| First Named Inventor/Applicant Name: | Wi                                                   | lliam W.Y. Chu        |            |        |                         |  |
| Filer:                               | Wi                                                   | lliam S. Galliani/Bob | bie Jutras |        |                         |  |
| Attorney Docket Number:              | AC                                                   | QI-006/09US 31057     | 8-2079     |        |                         |  |
| Filed as Large Entity                |                                                      |                       |            |        |                         |  |
| Reissue (Utility) Filing Fees        |                                                      |                       |            |        |                         |  |
| Description                          |                                                      | Fee Code              | Quantity   | Amount | Sub-Total in<br>USD(\$) |  |
| Basic Filing:                        |                                                      |                       |            |        |                         |  |
| Utility Reissue Basic                |                                                      | 1014                  | 1          | 280    | 280                     |  |
| Design and Utility Reissue Basic     |                                                      | 1114                  | 1          | 600    | 600                     |  |
| Design and utility Reissue Basic     |                                                      | 1314                  | 1          | 2160   | 2160                    |  |
| Pages:                               |                                                      |                       |            |        |                         |  |
| Claims:                              |                                                      |                       |            |        |                         |  |
| Reissue claims in excess of 20       |                                                      | 1205                  | 5          | 80     | 400                     |  |
| Independent Claims Reissue           |                                                      | 1204                  | 3          | 420    | 1260                    |  |
| Miscellaneous-Filing:                |                                                      |                       |            |        |                         |  |

| Description                       | Fee Code | Quantity  | Amount | Sub-Total in<br>USD(\$) |
|-----------------------------------|----------|-----------|--------|-------------------------|
| Petition:                         |          |           |        |                         |
| Patent-Appeals-and-Interference:  |          |           |        |                         |
| Post-Allowance-and-Post-Issuance: |          |           |        |                         |
| Extension-of-Time:                |          |           |        |                         |
| Miscellaneous:                    |          |           |        |                         |
|                                   | Tot      | al in USD | (\$)   | 4700                    |
|                                   |          |           |        |                         |

| Electronic Acl                       | Electronic Acknowledgement Receipt                   |  |  |  |  |  |
|--------------------------------------|------------------------------------------------------|--|--|--|--|--|
| EFS ID:                              | 17688853                                             |  |  |  |  |  |
| Application Number:                  | 14109749                                             |  |  |  |  |  |
| International Application Number:    |                                                      |  |  |  |  |  |
| Confirmation Number:                 | 1237                                                 |  |  |  |  |  |
| Title of Invention:                  | Data Security Method and Device for Computer Modules |  |  |  |  |  |
| First Named Inventor/Applicant Name: | William W.Y. Chu                                     |  |  |  |  |  |
| Customer Number:                     | 58249                                                |  |  |  |  |  |
| Filer:                               | William S. Galliani/Bobbie Jutras                    |  |  |  |  |  |
| Filer Authorized By:                 | William S. Galliani                                  |  |  |  |  |  |
| Attorney Docket Number:              | ACQI-006/09US 310578-2079                            |  |  |  |  |  |
| Receipt Date:                        | 17-DEC-2013                                          |  |  |  |  |  |
| Filing Date:                         |                                                      |  |  |  |  |  |
| Time Stamp:                          | 19:17:10                                             |  |  |  |  |  |
| Application Type:                    | Reissue (Utility)                                    |  |  |  |  |  |

# **Payment information:**

| Submitted with Payment                   | yes             |
|------------------------------------------|-----------------|
| Payment Type                             | Deposit Account |
| Payment was successfully received in RAM | \$4700          |
| RAM confirmation Number                  | 7196            |
| Deposit Account                          | 501283          |
| Authorized User                          |                 |

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

| Document<br>Number | Document Description                             | File Name                                                    | File Size(Bytes)/<br>Message Digest           | Multi<br>Part /.zip | Pages<br>(if appl.) |
|--------------------|--------------------------------------------------|--------------------------------------------------------------|-----------------------------------------------|---------------------|---------------------|
| 1                  | Transmittal Reissue Application                  | ACQI-006-09US_2013-12-17_Re<br>issueTransmittal.pdf          | 58162                                         | no                  | 1                   |
|                    |                                                  | issue transmittai.pui                                        | 6b89fc578f033388474192454d966e452b5<br>81fbf  |                     |                     |
| Warnings:          |                                                  |                                                              |                                               |                     |                     |
| Information:       |                                                  |                                                              |                                               |                     |                     |
| 2                  |                                                  | ACQI-006-09US_2013-12-17_S<br>pecification-Claims.pdf        | 75986                                         | yes                 | 7                   |
|                    |                                                  |                                                              | 3fbcae47687f2cc2655e5d0fc9d410d073a0<br>8954  |                     |                     |
|                    | Multip                                           | art Description/PDF files in .:                              | zip description                               |                     |                     |
|                    | Document Des                                     | cription                                                     | Start                                         | Ei                  | nd                  |
|                    | Specificati                                      | on                                                           | 1                                             | •                   | 5                   |
|                    | Claims                                           |                                                              | 7                                             |                     | 7                   |
| Warnings:          |                                                  |                                                              |                                               |                     |                     |
| Information:       |                                                  |                                                              |                                               |                     |                     |
| 3                  | Abstract                                         | ACQI-006-09US_2013-12-17_A                                   | 52102                                         | no                  | 2                   |
|                    |                                                  | bstract.pdf                                                  | 6ad1d3f3c08714e984dfe392ea73f1380616<br>a15b  |                     |                     |
| Warnings:          |                                                  |                                                              |                                               |                     |                     |
| Information:       |                                                  |                                                              | T                                             |                     |                     |
| 4                  | Drawings-only black and white line               | ACQI-006-09US_2013-12-17_Fi                                  | 159227                                        | no                  | 8                   |
|                    | drawings                                         | gures.pdf                                                    | 322509e9108c3c1ed94aa22b1e6bccb5b53<br>db508  |                     |                     |
| Warnings:          |                                                  |                                                              |                                               |                     |                     |
| Information:       |                                                  |                                                              |                                               |                     |                     |
| 5                  | Reissue dec filed in accordance with             | ACQI-006-09US_2013-12-17_Re                                  | 155608                                        | no                  | 2                   |
|                    | MPEP 1414                                        | issue Declaration-Inventor.pdf                               | 3c13aa0d0c888880bfc3b586a19013d8ea8b<br>5ffa0 |                     |                     |
| Warnings:          |                                                  |                                                              |                                               |                     |                     |
| Information:       |                                                  |                                                              |                                               |                     |                     |
| 6                  | Reissue dec filed in accordance with             | ACQI-006-09US_2013-12-17_Re                                  | 164115                                        | no                  | 2                   |
|                    | MPEP 1414                                        | is sue Declaration-Assignee.pdf                              | e443cf39776387e458430e99adca30ac5ca9<br>e4ae  | 110                 |                     |
| Warnings:          |                                                  |                                                              |                                               |                     |                     |
| Information:       |                                                  |                                                              |                                               |                     |                     |
| 7                  | Consent of Assignee accompanying the declaration | ACQI-006-09US_2013-12-17_Re<br>issue Consent Of Assignee.pdf | 2c6a58587d176cee22f83e5dc779ede3caf3          | no                  | 1                   |
| <br>Warnings:      |                                                  |                                                              | 9fba                                          |                     |                     |

| 8                |                                        | ACQI-006-09US_2013-12-17_P<br>OA.pdf        | <b>264854</b> 5167cc7fcSec5018eb369df97ba96045775f 824c | yes | 3  |  |
|------------------|----------------------------------------|---------------------------------------------|---------------------------------------------------------|-----|----|--|
|                  | Multip                                 | oart Description/PDF files in .             | zip description                                         |     |    |  |
|                  | Document Des                           | scription                                   | Start                                                   | E   | nd |  |
|                  | Power of Att                           | orney                                       | 1                                                       |     | 1  |  |
|                  | Assignee showing of owner              | ship per 37 CFR 3.73.                       | 2                                                       |     | 3  |  |
| Warnings:        |                                        |                                             |                                                         |     |    |  |
| Information      | :                                      |                                             |                                                         |     |    |  |
| 9                | Transmittal Letter                     | ACQI-006-09US_2013-12-17_ID<br>S-Letter.pdf |                                                         | no  | 2  |  |
|                  |                                        | ,                                           | e11c3a8d45be9623b59f0e32e70ce7079a3<br>0f99d            |     |    |  |
| Warnings:        |                                        |                                             |                                                         |     |    |  |
| Information      | :                                      |                                             |                                                         |     |    |  |
| 10               | Information Disclosure Statement (IDS) | ACQI-006-09US_2013-12-17_ID                 | 192858                                                  | no  | 6  |  |
| .0               | Form (SB08)                            | S-List Of References.pdf                    | 5b1050d9d9bf5b5edbafc5cbe24f0d2646c<br>43ca6            |     |    |  |
| Warnings:        |                                        |                                             |                                                         |     |    |  |
| Information      | :                                      |                                             |                                                         |     |    |  |
| This is not an U | JSPTO supplied IDS fillable form       |                                             |                                                         |     |    |  |
| 11               | Foreign Reference                      | EP0722138.pdf                               | 775964                                                  | no  | 12 |  |
|                  |                                        | '                                           | 2c1544896891a9042d1cb3e393781698fe8<br>bdc2c            |     |    |  |
| Warnings:        |                                        |                                             |                                                         |     |    |  |
| Information      | •                                      |                                             |                                                         |     |    |  |
| 12               | Foreign Reference                      | JP6-289953.pdf                              | 201953                                                  | no  | 7  |  |
| 12               | Foreign Reference                      | 7F0-269933.pdi                              | no<br>5e9296fafbd6d32da720221b148b54c02<br>5ca8         |     | 7  |  |
| Warnings:        |                                        |                                             |                                                         |     |    |  |
| Information      | <u> </u>                               |                                             |                                                         |     |    |  |
| 13               | Foreign Reference                      | WO9218924.pdf                               | 2296842                                                 | no  | 16 |  |
|                  | _                                      | ·                                           | e1c8239c01c31f87456b9095fa9b2c17d534<br>23ca            |     |    |  |
| Warnings:        |                                        |                                             |                                                         |     |    |  |
| Information      | :                                      |                                             |                                                         |     |    |  |
| 14               | Foreign Reference                      | WO9400970.pdf                               | 1248487                                                 | no  | 41 |  |
| יי               | roreign neicrence                      | 11 0540057 0.pui                            | b0c0cec9c157ee61a9b49985f7996a7ab2a<br>bc11c            | 110 |    |  |
| Warnings:        |                                        |                                             |                                                         |     |    |  |
| Information      | :                                      |                                             |                                                         |     |    |  |

| Foreign Reference                                 | WO9513640.pdf                                                                                                    | 693128<br>102d1efe700db56997db0ff16a8c5a619ef6<br>ee57                                                                                                                                                                                                                                                                                                                                                                                                | no                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | 19                                                 |  |
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|                                                   | ı                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                    |  |
|                                                   |                                                                                                                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                    |  |
|                                                   |                                                                                                                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                    |  |
| Non Patent Literature                             | NPL-Boosten.pdf                                                                                                  | 126906                                                                                                                                                                                                                                                                                                                                                                                                                                                | no                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | 2                                                  |  |
|                                                   |                                                                                                                  | b68fc690ec94087a5d760dd0cc3e59866e8<br>62fdd                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | _                                                  |  |
|                                                   |                                                                                                                  | 1                                                                                                                                                                                                                                                                                                                                                                                                                                                     | '                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                    |  |
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|                                                   | ACQI-006-09US_2013-12-17_Pr                                                                                      | 198982                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 22                                                 |  |
|                                                   | eliminaryAmendment.pdf                                                                                           | c00c1070b30bc6baa3a88a1081c6b7a8697<br>2d985                                                                                                                                                                                                                                                                                                                                                                                                          | yes                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | 22                                                 |  |
| Multip                                            | part Description/PDF files in .                                                                                  | zip description                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                    |  |
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| vings-only black and white line                   | ACQI-006-09US_2013-12-17_N                                                                                       | 887844                                                                                                                                                                                                                                                                                                                                                                                                                                                | no                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | 16                                                 |  |
| drawings                                          | ew Figures 9-24.pdf                                                                                              | 8fe163609a7e61e7ac97fabb6ebb21cceaa2<br>5abf                                                                                                                                                                                                                                                                                                                                                                                                          | 110                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | 10                                                 |  |
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| Application Data Shoot                            | ACQI-006-09US_2013-12-17_A                                                                                       | 1265651                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 7                                                  |  |
| 19 Application Data Sheet pplicationDataSheet.pdf |                                                                                                                  | 020f62b98237696456a1a95e701fc1529906<br>a6e1                                                                                                                                                                                                                                                                                                                                                                                                          | 110                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | 7                                                  |  |
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| Fee Worksheet (SR06)                              | fee-info ndf                                                                                                     | 38060                                                                                                                                                                                                                                                                                                                                                                                                                                                 | no                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | 2                                                  |  |
| . ce wondheet (JD00)                              | ice ino.pui                                                                                                      | 63a128a08e88c2eec781a4cfaf2a2b899ac1<br>502b                                                                                                                                                                                                                                                                                                                                                                                                          | 110                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                    |  |
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|                                                   | Multip  Document Des  Preliminary Am  Drawings-only black and s  Specificat  Claims  Applicant Arguments/Remarks | ACQI-006-09US_2013-12-17_PreliminaryAmendment.pdf  Multipart Description/PDF files in .  Document Description  Preliminary Amendment  Drawings-only black and white line drawings  Specification  Claims  Applicant Arguments/Remarks Made in an Amendment  vings-only black and white line drawings  ACQI-006-09US_2013-12-17_NewFigures9-24.pdf  ACQI-006-09US_2013-12-17_Application Data Sheet  ACQI-006-09US_2013-12-17_ApplicationDataSheet.pdf | ACQI-006-09US_2013-12-17_Pr eliminaryAmendment.pdf  Multipart Description/PDF files in .zip description  Document Description  Preliminary Amendment  1  Drawings-only black and white line drawings  2  Specification  3  Claims  16  Applicant Arguments/Remarks Made in an Amendment  21  ACQI-006-09US_2013-12-17_N ewFigures9-24.pdf  ACQI-006-09US_2013-12-17_A pplication Data Sheet  ACQI-006-09US_2013-12-17_A pplicationDataSheet.pdf  ACQI-006-09US_2013-12-17_A pplicationDataSheet.pdf | ACQI-006-09US_2013-12-17_Pr eliminaryAmendment.pdf |  |

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

PTO/AIA/80 (97-12)
Approved for use through 11/39/2014, DMB 0651-0035
U.S. Patent and Trademark Office. U.S DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

# POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

|          | eby revoke all previous pow<br>ir 37 CFR 3.73(c).                                                                                                            | ers of attorney                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              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| A cor    | A copy of this form, together with a statement under 37 CFR 3.73(c) (Form PTO/AIA/96 or equivalent) is required to be                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              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This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file rand by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any contrients on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer. U.S. Patent and Trademark Office. U.S. Department of Commerce P.C. Box 1450, Alexandra, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

| STATEMENT UNDER 37 CFR 3.73(c)                                                                                                                                                                                                                        |              |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|
| Applicant/Patent Owner: William W. Y. Chu                                                                                                                                                                                                             |              |
| Application No./Patent No.: To be assigned Filed/Issue Date: December 17, 2013                                                                                                                                                                        |              |
| Titled: Data Security Method and Device for Computer                                                                                                                                                                                                  |              |
| Acqis LLC, acorporation                                                                                                                                                                                                                               |              |
| (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.                                                                                                                                             | )            |
| states that, for the patent application/patent identified above, it is (choose one of options 1, 2, 3 or 4 below):                                                                                                                                    |              |
| 1.  The assignee of the entire right, title, and interest.                                                                                                                                                                                            |              |
| 2. An assignee of less than the entire right, title, and interest (check applicable box):                                                                                                                                                             |              |
| ☐ The extent (by percentage) of its ownership interest is%. Additional Statement(s) by the owners holding the balance of the interest <u>must be submitted</u> to account for 100% of the ownership interest.                                         |              |
| There are unspecified percentages of ownership. The other parties, including inventors, who together own the right, title and interest are:                                                                                                           | entire       |
|                                                                                                                                                                                                                                                       |              |
| Additional Statement(s) by the owner(s) holding the balance of the interest <u>must be submitted</u> to account for th right, title, and interest.                                                                                                    | <br>e entire |
| 3. The assignee of an undivided interest in the entirety (a complete assignment from one of the joint inventors was not the other parties, including inventors, who together own the entire right, title, and interest are:                           | nade).       |
|                                                                                                                                                                                                                                                       |              |
| Additional Statement(s) by the owner(s) holding the balance of the interest <u>must be submitted</u> to account for the right, title, and interest.                                                                                                   | e entire     |
| 4. The recipient, via a court proceeding or the like (e.g., bankruptcy, probate), of an undivided interest in the entirety complete transfer of ownership interest was made). The certified document(s) showing the transfer is attached.             | (a           |
| The interest identified in option 1, 2 or 3 above (not option 4) is evidenced by either (choose one of options A or B below)                                                                                                                          | :            |
| A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded the United States Patent and Trademark Office at Reel <u>027154</u> , Frame <u>0398</u> , or for which a copy thereof is attack. |              |
| B. $\square$ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as fo                                                                                                                  | llows:       |
| 1. From:To:                                                                                                                                                                                                                                           |              |
| The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a COPY thereof is attached.                                                                                                                   |              |
| 2. From:To:                                                                                                                                                                                                                                           |              |
| The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.                                                                                                                   |              |

[Page 1 of 2]
This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PTO/AIA/96 (08-12)
Approved for use through 01/31/2013. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| STATEMENT UNDER 37 CFR 3.73(                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | <u>c)</u>                                                                  |
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| 5. From:To:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                            |
| The document was recorded in the United States Patent and Trader                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | mark Office at                                                             |
| Reel, Frame, or for which a COPY thereof is attached.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                            |
| 6. From: To:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                            |
| The document was recorded in the United States Patent and Trader                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | mark Office at                                                             |
| Reel, Frame, or for which a COPY thereof is attached.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                            |
| Additional documents in the chain of title are listed on a supplemental sheet                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | (s).                                                                       |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                            |
| As required by 37 CFR 3.73(c)(1)(i), the documentary evidence of the chain of assignee was, or concurrently is being, submitted for recordation pursuant to 3                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                            |
| [NOTE: A separate copy (i.e., a true copy of the original assignment document Division in accordance with 37 CFR Part 3, to record the assignment in the record the assignment in the record true assignment as a second true assignment document in the record true assignment in the record true assignment in the record true assignment document in the record true assignment in the record true | t(s)) must be submitted to Assignment cords of the USPTO. See MPEP 302.08] |
| The undersigned (whose title is supplied below) is authorized to act on behalf of the as                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | ssignee.                                                                   |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | December 16, 2013                                                          |
| Signature                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Date                                                                       |
| William S. Galliani                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | 33,885                                                                     |
| Printed or Typed Name                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Title or Registration Number                                               |

[Page 2 of 2]

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Application of:                                     | William W. Y. Chu | Confirmation No.: |  |  |
|-----------------------------------------------------------|-------------------|-------------------|--|--|
| Serial No.:                                               | To be assigned    | Group Art Unit:   |  |  |
| Filed:                                                    | December 17, 2013 | Examiner:         |  |  |
| For: DATA SECURITY METHOD AND DEVICE FOR COMPUTER MODULES |                   |                   |  |  |

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(b)

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56, Applicant(s) hereby submits the following information in conformance with 37 C.F.R. §§1.97 and 1.98.

| $\boxtimes$ | Pursuant to 37 C.F.R. §1.98, a copy of each non-US patent document cited in the attached Form PTO/SB/08 is enclosed.                                                                                                                                                                                                          |
|-------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|             | No copies of the publications listed on the attached Form PTO/SB/08 are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. 13/087,912 to which the above-identified application claims priority under 35 U.S.C. §120. |
|             | No copies of any U.S. patents or U.S. patent application publications listed on the attached Form PTO/SB/08 are being provided pursuant to 37 C.F.R. §1.98.                                                                                                                                                                   |
|             | Publication(s) listed on the attached Form PTO/SB/08 were cited in a foreign search or examination report corresponding to application serial no and mailed on                                                                                                                                                                |
|             | Enclosed is a copy of a non-English publication(s) Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).                                                                                                               |
|             | Enclosed is a copy of a non-English publication(s) English language publication (copy enclosed) claims priority from this non-English publication.                                                                                                                                                                            |
|             | Enclosed is an explanation of non-English publication(s) for which an English translation is not available.                                                                                                                                                                                                                   |

1201756 v1/HN

Attorney Docket No. ACQI-006/09US 310578-2079 Page 2 of 2

|                                                            | Enclosed is an English translation of non-English publication(s)cited in the attached Form PTO/SB/08. |                                                                                |  |  |  |  |  |
|------------------------------------------------------------|-------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------|--|--|--|--|--|
|                                                            | Enclosed is a copy of pending patent Application Serial No                                            |                                                                                |  |  |  |  |  |
| This Intime periods:                                       | nformation Disclosure Statement is                                                                    | filed within any one of the following                                          |  |  |  |  |  |
|                                                            | within three months from the other than a CPA under 37 (                                              | the filing date of this national application C.F.R. § 1.53(d);                 |  |  |  |  |  |
|                                                            |                                                                                                       | ne date of entry of the national stage as 1 in this international application; |  |  |  |  |  |
|                                                            | before the mailing date of a first office action on the merits; or                                    |                                                                                |  |  |  |  |  |
|                                                            | <del>-</del>                                                                                          | rst office action after the filing of a nation under 37 C.F.R. § 1.114.        |  |  |  |  |  |
| It is                                                      | respectfully requested that the                                                                       | Examiner consider the above-noted                                              |  |  |  |  |  |
| information a                                              | nd return an initialed copy of the                                                                    | ne attached Form PTO/SB/08 to the                                              |  |  |  |  |  |
| undersigned.                                               |                                                                                                       |                                                                                |  |  |  |  |  |
| Date: Decemb                                               | per 16, 2013                                                                                          | Respectfully submitted, COOLEY LLP                                             |  |  |  |  |  |
| Customer No. 58                                            | 3249                                                                                                  |                                                                                |  |  |  |  |  |
| COOLEY LLP                                                 |                                                                                                       |                                                                                |  |  |  |  |  |
| Washington, DC                                             | nia Ave. NW, Suite 700                                                                                | By:                                                                            |  |  |  |  |  |
|                                                            |                                                                                                       | William S. Galliani<br>Reg. No. 33,885                                         |  |  |  |  |  |
| Tel: (650) 843-5622 Reg. No. 33,885<br>Fax: (202) 842-7899 |                                                                                                       |                                                                                |  |  |  |  |  |

| I AILINI AI I LIOANION I LE DETERMINATION NECOND |                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                      |                                                                                             |                                         |                    | tion or Docket Num<br>19,749 | ber |                    |                       |
|--------------------------------------------------|-----------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------|---------------------------------------------------------------------------------------------|-----------------------------------------|--------------------|------------------------------|-----|--------------------|-----------------------|
|                                                  | APP                                                                                     | LICATION A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | S FILE                               |                                                                                             | umn 2)                                  | SMALL              | ENTITY                       | OR  | OTHER<br>SMALL     |                       |
|                                                  | FOR                                                                                     | NUMBE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | R FILE                               | O NUMBE                                                                                     | R EXTRA                                 | RATE(\$)           | FEE(\$)                      |     | RATE(\$)           | FEE(\$)               |
|                                                  | IC FEE<br>FR 1.16(a), (b), or (c))                                                      | N                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | I/A                                  | N                                                                                           | J/A                                     | N/A                |                              | 1   | N/A                | 280                   |
| SEA                                              | RCH FEE<br>FR 1.16(k), (i), or (m))                                                     | 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | I/A                                  | ١                                                                                           | I/A                                     | N/A                |                              | 1   | N/A                | 600                   |
|                                                  | MINATION FEE<br>FR 1.16(o), (p), or (q))                                                |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | I/A                                  | N                                                                                           | I/A                                     | N/A                |                              | 1   | N/A                | 2160                  |
| TOT                                              | AL CLAIMS<br>FR 1.16(i))                                                                | 25                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | minus                                | 20= *                                                                                       | 5                                       |                    |                              | OR  | x 80 =             | 400                   |
| INDE                                             | PENDENT CLAIN<br>FR 1.16(h))                                                            | MS 6                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | minus                                | 3 = *                                                                                       | 3                                       |                    |                              |     | x 420 =            | 1260                  |
| APF<br>FEE                                       | PLICATION SIZ                                                                           | E sheets of<br>\$310 (\$15<br>50 sheets                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | paper, th<br>5 for sm<br>or fraction | and drawings e<br>e application si<br>all entity) for ea<br>on thereof. See<br>CFR 1.16(s). | ze fee due is<br>ch additional          |                    |                              |     |                    | 0.00                  |
| MUL                                              | TIPLE DEPENDE                                                                           | NT CLAIM PRE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | SENT (3                              | 7 CFR 1.16(j))                                                                              |                                         |                    |                              |     |                    | 0.00                  |
| * If th                                          | ne difference in co                                                                     | olumn 1 is less t                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | nan zero,                            | enter "0" in colur                                                                          | mn 2.                                   | TOTAL              |                              | 1   | TOTAL              | 4700                  |
|                                                  | APPLIC                                                                                  | (Column 1)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | AMEND                                | ED - PART I                                                                                 | (Column 3)                              | SMALL              | ENTITY                       | OR  | OTHER<br>SMALL     |                       |
| AMENDMENT A                                      |                                                                                         | CLAIMS<br>REMAINING<br>AFTER<br>AMENDMENT                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                      | HIGHEST<br>NUMBER<br>PREVIOUSLY<br>PAID FOR                                                 | PRESENT<br>EXTRA                        | RATE(\$)           | ADDITIONAL<br>FEE(\$)        |     | RATE(\$)           | ADDITIONAL<br>FEE(\$) |
| ME                                               | Total<br>(37 CFR 1.16(i))                                                               | *                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Minus                                | **                                                                                          | =                                       | х =                |                              | OR  | x =                |                       |
| NE                                               | Independent<br>(37 CFR 1.16(h))                                                         | *                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Minus                                | ***                                                                                         | =                                       | х =                |                              | OR  | x =                |                       |
| AM                                               | Application Size Fe                                                                     | ee (37 CFR 1.16(s)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | )                                    |                                                                                             |                                         |                    |                              |     |                    |                       |
|                                                  | FIRST PRESENTA                                                                          | TION OF MULTIP                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | LE DEPEN                             | DENT CLAIM (37 C                                                                            | CFR 1.16(j))                            |                    |                              | OR  |                    |                       |
|                                                  |                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                      |                                                                                             |                                         | TOTAL<br>ADD'L FEE |                              | OR  | TOTAL<br>ADD'L FEE |                       |
|                                                  |                                                                                         | (Column 1)<br>CLAIMS                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                      | (Column 2)<br>HIGHEST                                                                       | (Column 3)                              |                    | 1                            | 7   |                    |                       |
| NT B                                             |                                                                                         | REMAINING<br>AFTER<br>AMENDMENT                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                      | NUMBER<br>PREVIOUSLY<br>PAID FOR                                                            | PRESENT<br>EXTRA                        | RATE(\$)           | ADDITIONAL<br>FEE(\$)        |     | RATE(\$)           | ADDITIONAL<br>FEE(\$) |
| NDMENT                                           | Total<br>(37 CFR 1.16(i))                                                               | *                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Minus                                | **                                                                                          | =                                       | х =                |                              | OR  | x =                |                       |
|                                                  | Independent<br>(37 CFR 1.16(h))                                                         | *                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Minus                                | ***                                                                                         | =                                       | х =                |                              | OR  | x =                |                       |
| AMEI                                             | Application Size Fe                                                                     | e (37 CFR 1.16(s)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | )                                    |                                                                                             |                                         |                    |                              | 1   |                    |                       |
|                                                  | FIRST PRESENTA                                                                          | TION OF MULTIP                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | LE DEPEN                             | DENT CLAIM (37 C                                                                            | OFR 1.16(j))                            |                    |                              | OR  |                    |                       |
|                                                  | *                                                                                       | Lorenza de la compansión de la compansió |                                      |                                                                                             | is- NOU.                                | TOTAL<br>ADD'L FEE |                              | OR  | TOTAL<br>ADD'L FEE |                       |
| **                                               | * If the entry in co<br>* If the "Highest N<br>* If the "Highest Nu<br>The "Highest Num | lumber Previous<br>ımber Previously                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | sly Paid F<br>Paid For"              | or" IN THIS SPA<br>IN THIS SPACE i:                                                         | CE is less than :<br>s less than 3, ent | 20, enter "20".    | in column 1.                 |     |                    |                       |



### United States Patent and Trademark Office

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**FILING RECEIPT** 

FILING or GRP ART FIL FEE REC'D NUMBER 371(c) DATE UNIT ATTY.DOCKET.NO FOT CLAIMS IND CLAIMS 12/17/2013 14/109,749 2131 4700 ACQI-006/09US 310578-2079 25

**CONFIRMATION NO. 1237** 

58249 **COOLEY LLP** ATTN: Patent Group 1299 Pennsylvania Avenue, NW Suite 700 Washington, DC 20004



Date Mailed: 01/16/2014

Receipt is acknowledged of this reissue patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Inventor(s)

William W. Y. Chu, Los Altos, CA;

Applicant(s)

Acqis LLC, McKinney, TX

**Assignment For Published Patent Application** 

ACQIS LLC, McKinney, TX

Power of Attorney: The patent practitioners associated with Customer Number 58249

Domestic Priority data as claimed by applicant

This application is a CON of 13/649,078 10/10/2012 PAT RE44654 which is a CON of 13/562,210 07/30/2012 PAT RE44468 which is a CON of 13/294.108 11/10/2011 PAT RE43602 which is a CON of 12/561.138 09/16/2009 PAT RE42984

which is a CON of 11/056,604 02/10/2005 PAT RE41092

which is a REI of 09/312,199 05/14/1999 PAT 6643777

Foreign Applications for which priority is claimed (You may be eligible to benefit from the Patent Prosecution Highway program at the USPTO. Please see <a href="http://www.uspto.gov">http://www.uspto.gov</a> for more information.) - None. Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to

foreign priority. See 37 CFR 1.55 and 1.76.

If Required, Foreign Filing License Granted: 01/15/2014

The country code and number of your priority application, to be used for filing abroad under the Paris Convention,

is US 14/109,749

Projected Publication Date: None, application is not eligible for pre-grant publication

page 1 of 3

Non-Publication Request: No

Early Publication Request: No

Title

DATA SECURITY METHOD AND DEVICE FOR COMPUTER MODULES

**Preliminary Class** 

713

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

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Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

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## Title 37, Code of Federal Regulations, 5.11 & 5.15

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### 1 of 1 DOCUMENT

### UNITED STATES PATENT AND TRADEMARK OFFICE GRANTED PATENT

#### 6643777

#### Link to Claims Section

#### November 4, 2003

### Data security method and device for computer modules

### REISSUE:

(O.G. May 3, 2005) February 10, 2005 -Reissue Application filed Ex. Gp.: 2131; Re. S.N. 11/056,604 February 10, 2005 -Reissue Application filed Ex. Gp.: 2131; Re. S.N. 11/056,604 (O.G. May 3, 2005) October 6, 2006 -Reissue Application filed, Ex. Gp.: 2131; Re. S.N. 11/545,056 (O.G. September 30, 2008) (O.G. October 20, September 16, 2009 -Reissue Application filed, Ex. Gp.: 2115; Re. S.N. 12/561,138 2009) This patent was reissued as Reissue Patent RE 41,092 (O.G. January 26, 2010) January 26, 2010 -November 29, 2011 -This patent was reissued as Reissue Patent RE 42,984 (O.G. November 29, 2011) This patent was reissued as Reissue Patent RE 43,171 (O.G. February 7, 2012) February 7, 2012 -Reissue Application filed, Ex. Gp.: 2115; Re. S.N. 13/562,210 , (O.G. September 11, 2012) June 30, 2012 -This patent was reissued as Reissue Patent RE 43,602 (O.G. August 21, 2012) , August 21, 2012 -(O.G. December 4, October 10, 2012 - Reissue Application filed, Ex. Gp.: 2111; Re. S.N. 13/649,078 , 2012) Reissue Application filed, Ex. Gp.: 2115; (O.G. July 2, 2013) May 21, 2013 -November 2, 2013 -Reissue Application filed, Ex. Gp.: 2131; (O.G. December 31, 2013)

INVENTOR: Chu, William W. Y. - Los Altos, California

APPL-NO: 312199 (09)

FILED-DATE: May 14, 1999

GRANTED-DATE: November 4, 2003

### ASSIGNEE-PRE-ISSUE:

May 14, 1999 - ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS)., ACQIS TECHNOLOGY, INC. 1621 W. EL CAMINO REALMOUNTAIN VIEW, CALIFORNIA, 94040, Reel and Frame Number: 009971/0653

## ASSIGNEE-AT-ISSUE:

Acquis Technology, Inc., Mountain View, California, United States company or corporation (02)

### ASSIGNEE-AFTER-ISSUE:

November 1, 2011 - ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS)., ACQIS LLC, 411 INTERCHANGE STREET, MCKINNEY, TEXAS, UNITED STATES OF AMERICA (US), 75069, Reel and Frame Number: 027154/0398

#### **LEGAL-STATUS:**

May 14, 1999 - ASSIGNMENT
May 3, 2005 - REISSUE APPLICATION FILED
May 4, 2007 - FEE PAYMENT
September 30, 2008 - REISSUE APPLICATION FILED
October 20, 2009 - REISSUE APPLICATION FILED
November 1, 2011 - ASSIGNMENT
December 6, 2011 - REISSUE APPLICATION FILED
September 11, 2012 - REISSUE APPLICATION FILED
December 4, 2012 - REISSUE APPLICATION FILED
July 2, 2013 - REISSUE APPLICATION FILED
May 4, 2007 - Payment of Maintenance Fee, 4th Yr, Small Entity.
October 18, 2012 - Pat Hldr no Longer Claims Small Ent Stat

#### **ENGLISH-ABST:**

A security method for an attached computer module in a computer system. The security method reads a security identification number in an attached computer module and compares it to a security identification number in a console, which houses the attached computer module. Based upon a relationship between these numbers, a security status is selected. The security status determines the security level of operating the computer system.

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Save this Search as an Alert | Search Using Natural Language

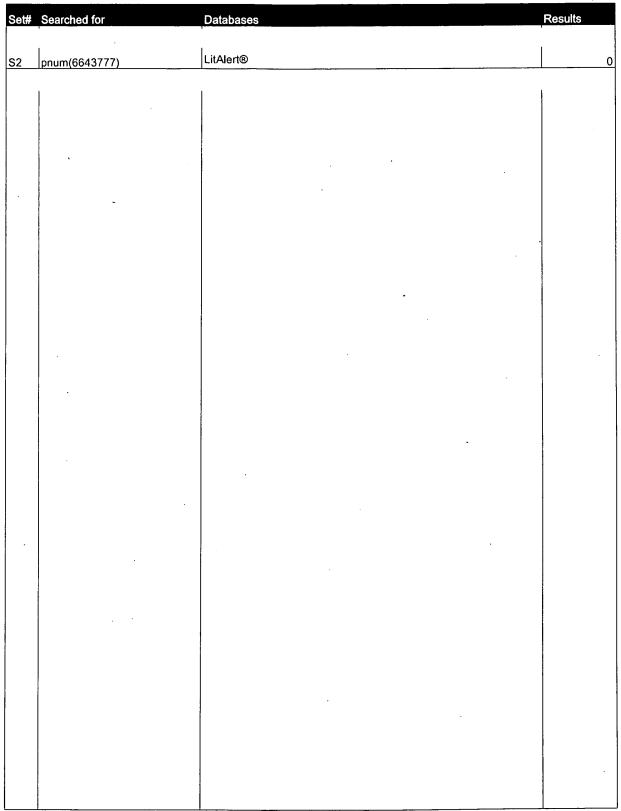
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(Charges for search still apply)

## Search Strategy



18 February 2014

Page 2 of 3

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18/02/14

## Number of documents: 1

US6643777

Data security method and device for computer modules ACQIS; ACQIS TECHNOLOGY; NEOEDGE NETWORKS

#### 2

# Data security method and device for computer modules US6643777

#### Patent Assignee

**ACQIS ACQIS TECHNOLOGY NEOEDGE NETWORKS** 

#### Inventor

CHU WILLIAM W Y

### International Patent Classification

G06F-001/00; G06F-001/26; G06F-007/00; G06F-007/04; G06F -009/00; G06F-011/00; G06F-011/30; G06F-012/00; G06F-017/00; G06F-017/30; G06F-021/00; H04L-009/32

### US Patent Classification

707999009; 713193000; 726002000; 726003000; 726004000; 726016000; 726017000; 726020000; 726027000; 726036000

### **Publication Information**

US6643777 B1 20031104 [US6643777]

#### **Priority Details**

1999US-0312199 19990514 2005US-0056604 20050210 2006US-0545056 20061006 2009US-0561138 20090916 2011US-13294108 20111110 2012US-13562210 20120730 2013US-13899484 20130521

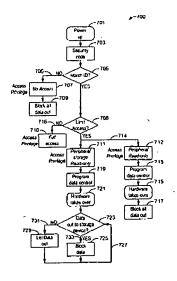
#### Fampat family

| US6643777 | B1 | 20031104 | [US6643777] |
|-----------|----|----------|-------------|
| USRE41092 | E1 | 20100126 | [USRE41092] |
| USRE42984 | E1 | 20111129 | [USRE42984] |
| USRE43171 | E1 | 20120207 | [USRE43171] |
| USRE43602 | Ë1 | 20120821 | [USRE43602] |
| USRE44468 | E1 | 20130827 | [USRE44468] |
| USRE44739 | E1 | 20140128 | [USRE44739] |
|           |    |          |             |

#### Abstract:

## (USRE44739)

A security method for an attached computer module in a computer system. The security method reads a security identification number in an attached computer module and compares it to a security identification number in a console, which houses the attached computer module. Based upon a relationship between these numbers, a security status is selected. The security status determines the security level of operating the computer system.



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#### **Action Taken**

(US6643777)

LEGAL DETAILS FOR US6643777

Actual or expected expiration date=2019-05-14 Legal state=ALIVE Status=GRANTED

Event publication date=1999-05-14 Event code=Application details Event indicator=Pos Event type=Examination events

Application details

Application country=US US09312199
Application date=1999-05-14
Standardized application number=1999US-09312199

Event publication date=1999-05-14 Event code=Assignment Event type=Change of name or address Event type=Reassignment

Assignment

OWNER:ACQIS TECHNOLOGY, INC., CALIFORNIA Effective date of the event=1999-05-06

ASSIGNMENT OF ASSIGNORS INTEREST ASSIGNOR: CHU, WILLIAM W.Y. REEL/FRAME: 009971/0653.

3

Event publication date=2003-11-04 Event code=From pub/pn Event indicator=Pos Event type=Event indicating In Force

Granted patent as first publication

Publication country=US
Publication number=US6643777
Publication stage Code=B1
Publication date=2003-11-04
Standardized publication number=US6643777

Event publication date=2005-05-03 Event code=Reissue application filed Event type=Opposition and reexamination events

Reissue application filed

Reissue appl. filed

Effective date of the event=2005-02-10

Event publication date=2008-09-30 Event code=Reissue application filed Event type=Opposition and reexamination events

Reissue application filed

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Reissue appl. filed

Effective date of the event=2006-10-06

Event publication date=2007-05-04
Event code=Fee payment
Event indicator=Pos
Event type=Event indicating In Force
Event type=Payment or non-payment notifications

Fee payment

Year of payment of annual fees=4

Event publication date=2009-10-20 Event code=Reissue application filed Event type=Opposition and reexamination events

Reissue application filed

Reissue appl. filed

Effective date of the event=2009-09-16

Event publication date=2011-11-01 Event code=Assignment Event type=Change of name or address Event type=Reassignment

Assignment

OWNER:ACQIS LLC, TEXAS
Effective date of the event=2011-11-01

ASSIGNMENT OF ASSIGNORS INTEREST ASSIGNOR: ACQIS TECHNOLOGY, INC. REEL/FRAME:027154/0398.

Event publication date=2011-12-06 Event code=Reissue application filed Event type=Opposition and reexamination events

Reissue application filed

Reissue appl. filed

Effective date of the event=2011-11-10

Event publication date=2012-09-11 Event code=Reissue application filed Event type=Opposition and reexamination events

Reissue application filed

Reissue appl. filed

Effective date of the event=2012-06-30

Event publication date=2012-12-04 Event code=Reissue application filed Event type=Opposition and reexamination events @ QUESTEL

Reissue application filed

Reissue appl. filed

Effective date of the event=2012-10-10

Event publication date=2013-07-02 Event code=Reissue application filed Event type=Opposition and reexamination events

Reissue application filed

Reissue appl. filed

Effective date of the event=2013-05-21

Event publication date=2013-12-31
Event code=Reissue application filed
Event type=Opposition and reexamination events

Reissue application filed

Reissue appl. filed

Effective date of the event=2013-11-02 Action Taken

(USRE41092)

LEGAL DETAILS FOR USRE41092

Actual or expected expiration date=2025-02-10 Legal state=ALIVE Status=GRANTED

Event publication date=2005-02-10 Event code=Application details Event indicator=Pos Event type=Examination events

Application details

Application country=US US11056604 Application date=2005-02-10 Standardized application number=2005US-11056604

Event publication date=2009-03-27 Event code=Assignment Event type=Change of name or address Event type=Reassignment

Assignment

OWNER:ACQIS TECHNOLOGY, INC., CALIFORNIA Effective date of the event=1999-05-06

ASSIGNMENT OF ASSIGNORS INTEREST ASSIGNOR: CHU, WILLIAM W.Y. REEL/FRAME:022463/0447.

Event publication date=2010-01-26 Event code=Reissue Event indicator=Pos Event type=Event indicating In Force © QUESTEL

Reissue

Publication country=US
Publication number=USRE41092
Publication stage Code=E1
Publication date=2010-01-26
Standardized publication number=USRE41092
Action Taken

(USRE42984)

LEGAL DETAILS FOR USRE42984

Actual or expected expiration date=2025-02-10 Legal state=ALIVE Status=GRANTED

Event publication date=2009-09-16 Event code=Application details Event indicator=Pos Event type=Examination events

Application details

Application country=US US12561138

Application date=2009-09-16 Standardized application number=2005US-12561138

Event publication date=2011-11-29 Event code=Reissue Event indicator=Pos Event type=Event indicating In Force

Reissue

Publication country=US
Publication number=USRE42984
Publication stage Code=E1
Publication date=2011-11-29
Standardized publication number=USRE42984
Action Taken

(USRE43171)

LEGAL DETAILS FOR USRE43171

Actual or expected expiration date=2026-10-06 Legal state=ALIVE Status=GRANTED

Event publication date=2006-10-06 Event code=Application details Event indicator=Pos Event type=Examination events

Application details

Application country=US US11545056 Application date=2006-10-06 Standardized application number=2006US-11545056

Event publication date=2012-02-07 Event code=Reissue

#### @ QUESTEL

Event indicator=Pos

Event type=Event indicating In Force

#### Reissue

Publication country=US
Publication number=USRE43171
Publication stage Code=E1
Publication date=2012-02-07

Standardized publication number=USRE43171

#### Action Taken

(USRE43602)

### LEGAL DETAILS FOR USRE43602

Actual or expected expiration date=2025-02-10 Legal state=ALIVE Status=GRANTED

Event publication date=2011-11-10 Event code=Application details Event indicator=Pos Event type=Examination events

### Application details

Application country=US US13294108 Application date=2011-11-10 Standardized application number=2005US-13294108

Event publication date=2012-08-21 Event code=Reissue Event indicator=Pos Event type=Event indicating In Force

### Reissue

Publication country=US
Publication number=USRE43602
Publication stage Code=E1
Publication date=2012-08-21
Standardized publication number=USRE43602

## Action Taken

(USRE44468)

### LEGAL DETAILS FOR USRE44468

Actual or expected expiration date=2025-02-10 Legal state=ALIVE Status=GRANTED

Event publication date=2012-07-30 Event code=Application details Event indicator=Pos Event type=Examination events

### Application details

Application country=US US13562210 Application date=2012-07-30 Standardized application number=2005US-13562210 © QUESTEL

Event publication date=2013-08-27 Event code=Reissue Event indicator=Pos Event type=Event indicating In Force

#### Reissue

Publication country=US
Publication number=USRE44468
Publication stage Code=E1
Publication date=2013-08-27
Standardized publication number=USRE44468
Action Taken

8

(USRE44739)

### LEGAL DETAILS FOR USRE44739

Actual or expected expiration date=2025-02-10 Legal state=ALIVE Status=GRANTED

Event publication date=2013-05-21 Event code=Application details Event indicator=Pos Event type=Examination events

### Application details

Application country=US US13899484 Application date=2013-05-21 Standardized application number=2005US-13899484

Event publication date=2014-01-28 Event code=Reissue Event indicator=Pos Event type=Event indicating In Force

## Reissue

Publication country=US
Publication number=USRE44739
Publication stage Code=E1
Publication date=2014-01-28
Standardized publication number=USRE44739



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISS/IONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION NO.     | FILING DATE     | FIRST NAMED INVENTOR         | ATTORNEY DOCKET NO. | CONFIRMATION NO. |  |
|---------------------|-----------------|------------------------------|---------------------|------------------|--|
| 14/109,749          | 12/17/2013      | 12/17/2013 William W. Y. Chu |                     | 1237             |  |
| 58249<br>COOLEY LLP | 7590 05/15/201  | 4                            | EXAMINER            |                  |  |
| ATTN: Patent (      |                 |                              | SONG,               | HOSUK            |  |
| Suite 700           | nnia Āvenue, NW |                              | ART UNIT            | PAPER NUMBER     |  |
| Washington, D       | C 20004         |                              | 2435                |                  |  |
|                     |                 |                              |                     |                  |  |
|                     |                 |                              | NOTIFICATION DATE   | DELIVERY MODE    |  |
|                     |                 |                              | 05/15/2014          | FLECTRONIC       |  |

## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

zpatdcdocketing@cooley.com

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Applicant(s                                                       | AM W. Y.                                |                                              |  |  |  |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------|-----------------------------------------|----------------------------------------------|--|--|--|
| Office Action Summary                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Examiner<br>Hosuk Song                                            | Art Unit<br>2435                        | AIA (First Inventor to File)<br>Status<br>No |  |  |  |
| The MAILING DATE of this communication Period for Reply                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | appears on the cover sheet wit                                    | th the corresponder                     | nce address                                  |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTHS FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). |                                                                   |                                         |                                              |  |  |  |
| Status                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                   |                                         |                                              |  |  |  |
| 1) Responsive to communication(s) filed on <u>1.</u> A declaration(s)/affidavit(s) under <b>37 CFR</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                   | <u>.</u>                                |                                              |  |  |  |
| 2a) ☐ This action is <b>FINAL</b> . 2b) ☑ T  3) ☐ An election was made by the applicant in re    ; the restriction requirement and elec  4) ☐ Since this application is in condition for allo closed in accordance with the practice under                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | tion have been incorporated ir wance except for formal matte      | nto this action.<br>ers, prosecution as | to the merits is                             |  |  |  |
| Disposition of Claims*                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | •                                                                 |                                         |                                              |  |  |  |
| 5) Claim(s) 14-38 is/are pending in the application. 5a) Of the above claim(s) is/are withdrawn from consideration. 6) Claim(s) is/are allowed. 7) Claim(s) 14-38 is/are rejected. 8) Claim(s) is/are objected to. 9) Claim(s) are subject to restriction and/or election requirement. * If any claims have been determined allowable, you may be eligible to benefit from the Patent Prosecution Highway program at a participating intellectual property office for the corresponding application. For more information, please see <a href="http://www.uspto.gov/patents/init_events/pph/index.isp">http://www.uspto.gov/patents/init_events/pph/index.isp</a> or send an inquiry to PPHfeedback@uspto.gov.                                                                                                                      |                                                                   |                                         |                                              |  |  |  |
| Application Papers  10) ☐ The specification is objected to by the Exam  11) ☑ The drawing(s) filed on 12/17/13 is/are: a) ☑  Applicant may not request that any objection to replacement drawing sheet(s) including the cor                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | ☑ accepted or b)☐ objected t<br>the drawing(s) be held in abeyand | ce. See 37 CFR 1.85                     | ō(a).                                        |  |  |  |
| Priority under 35 U.S.C. § 119  12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  Certified copies:  a) All b) Some** c) None of the:  1. Certified copies of the priority documents have been received.  2. Certified copies of the priority documents have been received in Application No  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).                                                                                                                                                                                                                                                                                                                    |                                                                   |                                         |                                              |  |  |  |
| ** See the attached detailed Office action for a list of the ce                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | rtified copies not received.                                      |                                         |                                              |  |  |  |
| Attachment(s)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | _                                                                 |                                         |                                              |  |  |  |
| <ol> <li>Notice of References Cited (PTO-892)</li> <li>Information Disclosure Statement(s) (PTO/SB/08a and/or P Paper No(s)/Mail Date 12/17/13</li> </ol>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Paper No/s                                                        | ummary (PTO-413)<br>)/Mail Date<br>     |                                              |  |  |  |

U.S. Patent and Trademark Office PTOL-326 (Rev. 11-13) Application/Control Number: 14/109,749 Page 2

Art Unit: 2435

The present application is being examined under the pre-AIA first to invent provisions.

## Reissue Applications

For reissue applications filed before September 16, 2012, all references to 35 U.S.C. 251 and 37 CFR 1.172, 1.175, and 3.73 are to the law and rules in effect on September 15, 2012. Where specifically designated, these are "pre-AIA" provisions.

For reissue applications filed on or after September 16, 2012, all references to 35 U.S.C. 251 and 37 CFR 1.172, 1.175, and 3.73 are to the current provisions.

### **Double Patenting**

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Application/Control Number: 14/109,749 Page 3

Art Unit: 2435

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

Claims 14-38 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 14-36 of copending Application No. 13/649,078. Although the conflicting claims are not identical, they are not patentably distinct from each other because both applications are directed to method of increasing external data communication speed of a computer; and only differing in that the claims of the '078 application explicitly recite conveying encoded address and data bits of a Peripheral Component Interconnect(PCI) bus transaction in serial form over the first LVDS channel and the second LVDS channel to preserve the PCI bus transaction. Thus the claims of the '078 application are rendered as obvious variants of the instant claims.

This is a <u>provisional</u> obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

## Allowable Subject Matter

Claims 14-38 would be allowable if a Terminal Disclaimer is submitted to overcome the Double Patenting rejection, set forth in this office action.

## **USPTO** Contact Information

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Hosuk Song whose telephone number is (571)272-3857. The examiner can normally be reached on mon-fri.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Joseph Hirl can be reached on 5712723685. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 14/109,749 Page 4

Art Unit: 2435

Information regarding the status of an application may be obtained from the Patent Application

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/Hosuk Song/

Primary Examiner, Art Unit 2435

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Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document, "Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. "Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office. P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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|                               | INFORMATION DISCLOSURE            | Filing Date            | December 17, 2013         |  |
|                               |                                   | First Named Inventor   | William W. Y. Chu         |  |
|                               | STATEMENT BY APPLICANT            | Art Unit               |                           |  |
|                               | (Use as many sheets as necessary) | Examiner Name          |                           |  |
| .980                          | es 4 or 6                         | Attorney Docket Number | ACQI-006/09US 310578-2079 |  |

#### U. S. PATENT DOCUMENTS Cite Name of Patentee or Examiner Document Number **Publication Date** Pages, Columns, Lines, Where No. MM-DD-YVYY initials\* Applicant of Cited Document Relevant Passages or Palavant Number-Kind Code<sup>2 (8 totos)</sup> Figures Appear us-7328297 2008-02-05 Chu /H.S./ 82 2008-04-22 us- 7363415 Chu Chu us-7363416 2008-04-22 us- 7376779 2008-05-20 Chu us-7676624 82 2010-03-09 Chu 82 us 7818487 2010-10-19 Chu 82 2011-10-18 Chu us-8041873 82 us- 8234436 2012-07-31 Chu us-RE41076 2010-01-12 Chu us-RE41092 2010-01-26 Chu os: RE41294 2010-04-27 Chu us- RE41961 2010-11-23 Chu us- RE42814 2011-10-04 Chu us-RE42984 2011-11-29 Chu us- RE43119 2012-01-17 Chu us-RE43171 2012-02-07 Chu 08- RE43602 £ 2012-08-21 Chu us- RE44468 £ 2013-08-27 Chu us- 2001/0011312 2001-08-02 A1 Chu US- 2004/0177200 AT 2004-09-09 Chu 08-2005/0174729 2005-08-11 A1 Chu US- 2005/0182882 AT 2005-08-18 Chu us- 2005/0195575 2005-09-08 A1 Chu us- 2005/0204083 AT 2005-09-15 Chu us- 2005/0246469 A1 2005-11-03 Chu US- 2006/0265361 AT 2006-11-23 Chu us- 2008/0244149 2008-10-02 Chu A1 us 2009/0157939 2009-06-18 Chu

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Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document, "Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. "Applicant is to place a check mark here if English language Translation is attached.

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|                        | INFORMATION DISCLOSURE            | IDE Filing Date December 17, 20 |                           |  |  |
| STATEMENT BY APPLICANT |                                   | First Named Inventor            | William W. Y. Chu         |  |  |
|                        |                                   | Art Unit                        |                           |  |  |
|                        | (Use as many sheets as necessary) | Examiner Name                   |                           |  |  |
| \ Ste                  | 5 or 6                            | Attorney Docket Number          | ACQI-006/09US 310578-2079 |  |  |

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| Examiner bittels*                       | Cite<br>No. | Document Number  Number-Kind Code <sup>2 (\$10008)</sup> | Publication Date<br>MM-DD-YYYY          | Name of Patentee or<br>Applicant of Citist Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
| "7H.S./                                 |             | US- 2010/0174844 A1                                      | 2010-07-08                              | Chu                                                 |                                                                                 |
| /H.S./                                  |             | us- 2011/0208893 A1                                      | 2011-08-25                              | Chu                                                 |                                                                                 |
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#### FOREIGN PATENT DOCUMENTS Cite Examiner Publication Date Name of Patentee or Pages, Columns, Lines, **Foreign Patent Document** mitials\* MM+00-YYYY Applicant of Cited Document Where Relevant Passages Country Code<sup>3</sup> "Number<sup>4</sup> "Kind Code<sup>3</sup> (if known) or Referent Figures Appear International Business /H.S./ 1996-07-17 EP 0722138 A1 Machines Corporation /H.S./ JP 6-289953 1994-10-18 Hitachi, Ltd /H.S./ WO 92/18924 1992-10-29 Wallsten Oakleigh Systems, /H.S./ WO 94/00970 1994-01-06 Oakleigh Systems, /H.S./ 1995-05-18 WO 95/13640 Inc.

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|-----------------------------------------|--------------|--------------------|------------|
| Examiner<br>Signature                   | /Hosuk Song/ | Date<br>Considered | 05/02/2014 |

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|                               | INFORMATION DISCLOSURE            | Filing Date            | December 17, 2013         |  |
|                               |                                   | First Named Inventor   | William W. Y. Chu         |  |
|                               | STATEMENT BY APPLICANT            | Art Unit               |                           |  |
|                               | (Use as many sheets as necessary) | Examiner Name          |                           |  |
| .980                          | es 6 os 6                         | Attorney Docket Number | ACQI-006/09US 310578-2079 |  |

### NON PATENT LITERATURE DOCUMENTS

| Examiner<br>Initials* | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T |
|-----------------------|--------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|
| /H.S./                |              | BOOSTEN, "Transmission Overhead and Optimal Packet Size", 03/11/1998, printed on: 1/28/11, 2 pgs.                                                                                                                                                               |   |
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| Examiner Signature /Hosuk Song/ Date Considered 05/02/2014 |
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American Logalive), Inc.

# Search Notes

| Application/Control No. |            | Applicant(s)/Patent Under Reexamination |
|-------------------------|------------|-----------------------------------------|
|                         | 14109749   | CHU, WILLIAM W. Y.                      |
|                         | Examiner   | Art Unit                                |
|                         | HOSUK SONG | 2435                                    |

| CPC- SEARCHED |      |          |
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| Symbol        | Date | Examiner |
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| CPC COMBINATION SETS - SEARCHED |  |  |  |  |
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|       | US CLASSIFICATION SEARCHED   |        |    |  |  |  |  |  |
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| Class | Class Subclass Date Examiner |        |    |  |  |  |  |  |
| 726   | 2-9,16-21,34,36              | 5/2/14 | HS |  |  |  |  |  |
| 713   | 182-183,192-194              | 5/2/14 | HS |  |  |  |  |  |
| 710   | 1,7,8,15,20,22,100           | 5/2/14 | HS |  |  |  |  |  |
| 712   | 1,220                        | 5/2/14 | HS |  |  |  |  |  |

| SEARCH NOTES                                                          |        |          |
|-----------------------------------------------------------------------|--------|----------|
| Search Notes                                                          | Date   | Examiner |
| EAST, foreign patent database, IBM TDB, USPGPUB, inventor name search | 5/2/14 | HS       |
| NPL                                                                   | 5/2/14 | HS       |

|                         | INTERFERENCE SEARCH     |      |          |
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| US Class/<br>CPC Symbol | US Subclass / CPC Group | Date | Examiner |
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# **EAST Search History**

# **EAST Search History (Prior Art)**

| Ref<br># | Hits | Search Query                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | DBs             | Default<br>Operator | Plurals | Time<br>Stamp       |
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| S150     | 92   | (("4769764") or ("4799258") or ("5086499") or ("5103446") or ("5191581") or ("5198806") or ("5519843") or ("5519843") or ("5519843") or ("5559861") or ("5546463") or ("55590861") or ("5572441") or ("5623637") or ("5688608") or ("5623637") or ("56886221") or ("56440302") or ("5721842") or ("5751711") or ("57721842") or ("5751711") or ("57721842") or ("574924") or ("5774704") or ("5819053") or ("5888932") or ("58878211") or ("5884049") or ("5907566") or ("5909559") or ("5933609") or ("5935226") or ("5941965") or ("5974486") or ("5978919") or ("5999476") or ("5999952") or ("6006243") or ("6012145") or ("6025989") or ("6029183") or ("6038621") or ("6012145") or ("61157534") or ("61157534") or ("6115757") or ("6311268") or ("6226700") or ("6326689") or ("6321335") or ("6324605") or ("6324805") or ("6324805") or ("6378009") or ("6378099") or ("6378009") or ("6378099") or ("6378099") or ("6378009") or ("6378099") or ("64477593") or ("67766624") or ("67788415") or ("7388297") or ("77463415") or ("7388297") or ("77463415") or ("77676624") or ("77818487") or ("77884187") or ("7884187") or ("78841887") or ("78841887") or ("78841887") or ("7 | USPAT;<br>USOCR | OR                  | OFF     | 2014/05/02          |
| S151     | 1    | ("RE41076").PN.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | USPAT;<br>USOCR | OR                  | OFF     | 2014/05/02<br>10:54 |
| S152     | 10   | (("RE41076") or ("RE41092") or<br>("RE41294") or ("RE41961") or<br>("RE42814") or ("RE42984") or<br>("RE43119") or ("RE43171") or                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | USPAT;<br>USOCR | OR                  | OFF     | 2014/05/02<br>10:55 |

|      | ]    | ("RE43602") or ("RE44468")).PN.                                                                                                                                                                                                                                           |                                                                  |    |     |                     |
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| S153 | 0    | ("20010011312").PN.                                                                                                                                                                                                                                                       | USPAT;<br>USOCR                                                  | OR | OFF | 2014/05/02<br>10:56 |
| S154 | 1    | "20010011312"                                                                                                                                                                                                                                                             | US-PGPUB                                                         | OR | OFF | 2014/05/02<br>10:56 |
| S155 | 0    | "2004017720"                                                                                                                                                                                                                                                              | US-PGPUB                                                         | OR | OFF | 2014/05/02<br>10:56 |
| S156 | 1    | "20040017720"                                                                                                                                                                                                                                                             | US-PGPUB                                                         | OR | OFF | 2014/05/02<br>10:57 |
| S157 | 1    | "20050174729"                                                                                                                                                                                                                                                             | US-PGPUB                                                         | OR | OFF | 2014/05/02<br>10:58 |
| S158 | 1    | "20050182882"                                                                                                                                                                                                                                                             | US-PGPUB                                                         | OR | OFF | 2014/05/02<br>10:58 |
| S159 | 1    | "20050195575"                                                                                                                                                                                                                                                             | US-PGPUB                                                         | OR | OFF | 2014/05/02<br>10:58 |
| S160 | 1    | "20050204083"                                                                                                                                                                                                                                                             | US-PGPUB                                                         | OR | OFF | 2014/05/02<br>10:58 |
| S161 | 1    | "20050246489"                                                                                                                                                                                                                                                             | US-PGPUB                                                         | OR | OFF | 2014/05/02<br>10:59 |
| S162 | 1    | "20060265361"                                                                                                                                                                                                                                                             | US-PGPUB                                                         | OR | OFF | 2014/05/02<br>10:59 |
| S163 | 1    | "20080244149"                                                                                                                                                                                                                                                             | US-PGPUB                                                         | OR | OFF | 2014/05/02<br>10:59 |
| S164 | 1    | "20090157939"                                                                                                                                                                                                                                                             | US-PGPUB                                                         | OR | OFF | 2014/05/02<br>10:59 |
| S165 | 1    | "20100174844"                                                                                                                                                                                                                                                             | US-PGPUB                                                         | OR | OFF | 2014/05/02<br>11:00 |
| S166 | 1    | "20110208893"                                                                                                                                                                                                                                                             | US-PGPUB                                                         | OR | OFF | 2014/05/02<br>11:00 |
| S167 | 644  | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial adj3<br>bit                                                                                                                                                                                        | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB          | OR | ON  | 2014/05/02<br>11:01 |
| S168 | 1897 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial and<br>USB                                                                                                                                                                                         | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB          | OR | ON  | 2014/05/02<br>11:01 |
| S169 | 219  | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial adj3 bit<br>and USB and (PCI or peripheral adj3<br>component adj4 interconnect)                                                                                                                    | US-PGPUB;<br>USPAT;<br>FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON  | 2014/05/02<br>11:02 |
| S172 | 38   | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial adj3 bit<br>adj4 channel                                                                                                                                                                           | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB          | OR | ON  | 2014/05/02<br>11:04 |
| S173 | 113  | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and (channel or<br>path or link or line or bus) near7 (multiple<br>or many or plural\$4 or second or first)<br>and serial adj3 bit and (PCI or peripheral<br>adj3 component adj4 interconnect) near6<br>(bus) | US-PGPUB;<br>USPAT;<br>FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON  | 2014/05/02<br>11:05 |

| S174 | 24   | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) near5 (channel or<br>path or link or line or bus) near7 (multiple<br>or many or plural\$4 or second or first)<br>and serial adj3 bit and USB and (PCI or<br>peripheral adj3 component adj4<br>interconnect) | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>11:09 |
|------|------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|----|----|---------------------|
| S176 | 24   | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) near5 (channel or<br>path or link or line or bus) near7 (multiple<br>or many or plural\$4 or second or first)<br>and serial adj3 bit and (differential adj4<br>signal adj5 channel)                         | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>11:11 |
| S177 | 470  | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial and<br>(TDMS or transition adj4 minimized adj4<br>differential adj5 signaling)                                                                                                                   | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>11:12 |
| S178 | 751  | 712/1.ccls.                                                                                                                                                                                                                                                             | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>11:13 |
| S179 | 0    | 712/1.ccls. and S177                                                                                                                                                                                                                                                    | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>11:13 |
| S180 | 0    | 712/220.ccls. and S177                                                                                                                                                                                                                                                  | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>11:13 |
| S181 | 1924 | 712/220.cds.                                                                                                                                                                                                                                                            | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>11:14 |
| S182 | 2021 | 710/1.ccls.                                                                                                                                                                                                                                                             | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>11:14 |
| S183 | 465  | 710/7.ccls.                                                                                                                                                                                                                                                             | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>11:15 |
| S184 | 4136 | 710/8.ccls.                                                                                                                                                                                                                                                             | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>11:15 |
| S185 | 2585 | 710/15.cds.                                                                                                                                                                                                                                                             | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>11:16 |
| S186 | 956  | 710/20.ccls.                                                                                                                                                                                                                                                            | US-PGPUB;<br>USPAT;                                     | OR | ON | 2014/05/02<br>11:16 |

|      |      |               | EPO; JPO;<br>DERWENT;<br>IBM TDB                        |    |    |                     |
|------|------|---------------|---------------------------------------------------------|----|----|---------------------|
| S187 | 2880 | 710/22.ccls.  | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>11:16 |
| S188 | 2394 | 710/100.ccls. | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>11:16 |
| S189 | 4067 | 713/182.ccls. | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>11:19 |
| S190 | 1656 | 713/183.cds.  | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>11:19 |
| S191 | 578  | 713/192.cds.  | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>11:19 |
| S192 | 6255 | 713/193.ccls. | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>11:19 |
| S193 | 1781 | 713/194.cds.  | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>11:19 |
| S194 | 5705 | 726/2.ccls.   | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>11:20 |
| S195 | 6415 | 726/3.ccls.   | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>11:20 |
| S196 | 8468 | 726/4.ccls.   | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>11:22 |
| S197 | 5179 | 726/5.ccls.   | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>11:22 |
| S198 | 3486 | 726/6.ccls.   | US-PGPUB;<br>USP <b>A</b> T;                            | OR | ON | 2014/05/02<br>11:22 |

|      |      |                                                                            | EPO; JPO;<br>DERWENT;<br>IBM_TDB                        |    |    |                     |
|------|------|----------------------------------------------------------------------------|---------------------------------------------------------|----|----|---------------------|
| S199 | 3686 | 726/7.ccls.                                                                | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>11:22 |
| S200 | 1184 | 726/8.ccls.                                                                | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>11:23 |
| S201 | 2576 | 726/9.ccls.                                                                | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>11:24 |
| S202 | 1209 | 726/16.ccls.                                                               | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>11:24 |
| S203 | 2010 | 726/17.ccls.                                                               | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>11:25 |
| S204 | 1188 | 726/18.ccls.                                                               | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>11:26 |
| S205 | 1914 | 726/19.ccls.                                                               | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>11:30 |
| S206 | 1188 | 726/20.ccls.                                                               | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>14:44 |
| S207 | 2005 | 726/21.ccls.                                                               | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>14:51 |
| S208 | 1167 | 726/34.ccls.                                                               | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>14:52 |
| S209 | 245  | 726/36.cds.                                                                | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>14:53 |
| S210 |      | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial and | US-PGPUB;<br>USPAT;                                     | OR | ON | 2014/05/02<br>14:53 |

|      |   | (TDMS or transition adj4 minimized adj4 differential adj5 signaling) and 712/1.ccls.                                                                                       | EPO; JPO;<br>DERWENT;<br>IBM_TDB                        |    |    |                     |
|------|---|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|----|----|---------------------|
| S211 | 0 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial and<br>(TDMS or transition adj4 minimized adj4<br>differential adj5 signaling) and<br>712/220.ccls. | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>14:56 |
| S212 | 1 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial and<br>(TDMS or transition adj4 minimized adj4<br>differential adj5 signaling) and<br>710/1.ccls.   | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>14:57 |
| S213 | 1 | (LVDS or low adj3 voltage adj4 differential adj4 signal) and serial and (TDMS or transition adj4 minimized adj4 differential adj5 signaling) and 710/7.ccls.               | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>14:58 |
| S214 | 0 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial and<br>(TDMS or transition adj4 minimized adj4<br>differential adj5 signaling) and<br>710/8.ccls.   | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>14:58 |
| S215 | О | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial and<br>(TDMS or transition adj4 minimized adj4<br>differential adj5 signaling) and<br>710/15.ccls.  | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>14:59 |
| S216 | 2 | (LVDS or low adj3 voltage adj4 differential adj4 signal) and serial and (TDMS or transition adj4 minimized adj4 differential adj5 signaling) and 710/20.ccls.              | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>15:00 |
| S217 | 0 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial and<br>(TDMS or transition adj4 minimized adj4<br>differential adj5 signaling) and<br>710/22.ccls.  | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>15:00 |
| S218 | 4 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial and<br>(TDMS or transition adj4 minimized adj4<br>differential adj5 signaling) and<br>710/100.cds.  | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>15:00 |
| S219 | 0 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial and<br>(TDMS or transition adj4 minimized adj4<br>differential adj5 signaling) and<br>713/182.cds.  | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>15:00 |
| S220 | 2 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial and<br>(TDMS or transition adj4 minimized adj4<br>differential adj5 signaling) and<br>713/183.ccls. | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>15:01 |
| S221 | 0 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial and<br>(TDMS or transition adj4 minimized adj4<br>differential adj5 signaling) and<br>713/192.ccls. | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>15:02 |
| S223 | 0 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial and                                                                                                 | US-PGPUB;<br>USPAT;                                     | OR | ON | 2014/05/02<br>15:05 |

|      |   | (TDMS or transition adj4 minimized adj4 differential adj5 signaling) and 713/194.ccls.                                                                                    | EPO; JPO;<br>DERWENT;<br>IBM_TDB                        |    |    |                     |
|------|---|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|----|----|---------------------|
| S224 | 3 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial and<br>(TDMS or transition adj4 minimized adj4<br>differential adj5 signaling) and<br>726/2.ccls.  | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>15:06 |
| S225 | 1 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial and<br>(TDMS or transition adj4 minimized adj4<br>differential adj5 signaling) and<br>726/3.ccls.  | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>15:06 |
| S226 | 1 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial and<br>(TDMS or transition adj4 minimized adj4<br>differential adj5 signaling) and<br>726/4.ccls.  | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>15:06 |
| S227 | 0 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial and<br>(TDMS or transition adj4 minimized adj4<br>differential adj5 signaling) and<br>726/5.ccls.  | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>15:08 |
| S228 | 0 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial and<br>(TDMS or transition adj4 minimized adj4<br>differential adj5 signaling) and<br>726/6.ccls.  | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>15:09 |
| S229 | 1 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial and<br>(TDMS or transition adj4 minimized adj4<br>differential adj5 signaling) and<br>726/7.ccls.  | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>15:10 |
| S230 | 0 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial and<br>(TDMS or transition adj4 minimized adj4<br>differential adj5 signaling) and<br>726/8.ccls.  | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>15:10 |
| S231 | 2 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial and<br>(TDMS or transition adj4 minimized adj4<br>differential adj5 signaling) and<br>726/9.ccls.  | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>15:11 |
| S232 | 3 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial and<br>(TDMS or transition adj4 minimized adj4<br>differential adj5 signaling) and<br>726/16.ccls. | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>15:11 |
| S233 | 0 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial and<br>(TDMS or transition adj4 minimized adj4<br>differential adj5 signaling) and<br>726/17.ccls. | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>15:12 |
| S234 | 2 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial and<br>(TDMS or transition adj4 minimized adj4<br>differential adj5 signaling) and<br>726/18.ccls. | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>15:12 |
| S235 | 0 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial and                                                                                                | US-PGPUB;<br>USPAT;                                     | OR | ON | 2014/05/02<br>15:13 |

|      |     | (TDMS or transition adj4 minimized adj4<br>differential adj5 signaling) and<br>726/19.ccls.                                                                                                                                                                             | EPO; JPO;<br>DERWENT;<br>IBM_TDB                        |    |    |                     |
|------|-----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|----|----|---------------------|
| S236 | 3   | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial and<br>(TDMS or transition adj4 minimized adj4<br>differential adj5 signaling) and<br>726/20.ccls.                                                                                               | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>15:13 |
| S237 | 0   | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial and<br>(TDMS or transition adj4 minimized adj4<br>differential adj5 signaling) and<br>726/21.ccls.                                                                                               | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>15:13 |
| S238 | 2   | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial and<br>(TDMS or transition adj4 minimized adj4<br>differential adj5 signaling) and<br>726/34.ccls.                                                                                               | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>15:13 |
| S239 | 2   | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial and<br>(TDMS or transition adj4 minimized adj4<br>differential adj5 signaling) and<br>726/36.ccls.                                                                                               | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>15:13 |
| S240 | 38  | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial adj3 bit<br>adj4 channel                                                                                                                                                                         | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>15:14 |
| S241 | 38  | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial adj3 bit<br>adj4 channel                                                                                                                                                                         | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>15:23 |
| S242 | 24  | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) near5 (channel or<br>path or link or line or bus) near7 (multiple<br>or many or plural\$4 or second or first)<br>and serial adj3 bit and USB and (PCI or<br>peripheral adj3 component adj4<br>interconnect) | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>15:24 |
| S243 | 119 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) near5 (channel or<br>path or link or line) and serial adj3 bit<br>and USB and (PCI or peripheral adj3<br>component adj4 interconnect)                                                                       | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>15:26 |
| S244 | 145 | ("Chu, william").INV.                                                                                                                                                                                                                                                   | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>15:31 |
| S245 | 3   | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial and<br>(TDMS or transition adj4 minimized adj4<br>differential adj5 signaling) and<br>713/193.ccls.                                                                                              | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>18:00 |
| S246 | 5   | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial adj3 bit<br>and 713/193.ccls.                                                                                                                                                                    | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/05/02<br>18:33 |

| S247 496 | (LVDS or low adj3 voltage adj4           | US-PGPUB; OR | ON | 2014/05/02 |
|----------|------------------------------------------|--------------|----|------------|
|          | differential adj4 signal) and serial and | USPAT;       |    | 18:39      |
|          | (TDMS or transition adj4 minimized adj4  | EPO; JPO;    |    |            |
|          | differential adj5 signal\$4)             | DERWENT;     |    |            |
|          |                                          | IBM_TDB      |    |            |

5/3/2014 8:41:33 AM

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|                 | Application/Control No. | Applicant(s)/Patent Under Reexamination |
|-----------------|-------------------------|-----------------------------------------|
| Index of Claims | 14109749                | CHU, WILLIAM W. Y.                      |
|                 | Examiner                | Art Unit                                |
|                 | HOSUK SONG              | 2435                                    |

| <b>✓</b> | Rejected                                                                              | - | Cancelled  | N | Non-Elected    |  | A | Appeal   |  |  |  |
|----------|---------------------------------------------------------------------------------------|---|------------|---|----------------|--|---|----------|--|--|--|
| =        | Allowed                                                                               | ÷ | Restricted | I | I Interference |  | 0 | Objected |  |  |  |
|          | ☐ Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.47 |   |            |   |                |  |   |          |  |  |  |
|          | CLAIM                                                                                 |   |            |   |                |  |   |          |  |  |  |

| CL    | AIM      |            |   | DATE |  |  |
|-------|----------|------------|---|------|--|--|
| Final | Original | 05/02/2014 | T | 1    |  |  |
|       | 1        | -          |   |      |  |  |
|       | 2        | -          |   |      |  |  |
|       | 3        | _          |   |      |  |  |
|       | 4        | -          |   |      |  |  |
|       | 5        | -          |   |      |  |  |
|       | 6        | -          |   |      |  |  |
|       | 7        | -          |   |      |  |  |
|       | 8        | -          |   |      |  |  |
|       | 9        | -          |   |      |  |  |
|       | 10       | -          |   |      |  |  |
|       | 11       | -          |   |      |  |  |
|       | 12       | -          |   |      |  |  |
|       | 13       | -          |   |      |  |  |
|       | 14       | ✓          |   |      |  |  |
|       | 15       | ✓          |   |      |  |  |
|       | 16       | ✓          |   |      |  |  |
|       | 17       | ✓          |   |      |  |  |
|       | 18       | ✓          |   |      |  |  |
|       | 19       | ✓          |   |      |  |  |
|       | 20       | ✓          |   |      |  |  |
|       | 21       | ✓          |   |      |  |  |
|       | 22       | <b>√</b>   |   |      |  |  |
|       | 23       | <b>√</b>   |   |      |  |  |
|       | 24       | ✓          |   |      |  |  |
|       | 25       | <b>√</b>   |   |      |  |  |
|       | 26       | ✓          |   |      |  |  |
|       | 27       | ✓          |   |      |  |  |
|       | 28       | ✓          |   |      |  |  |
|       | 29       | ✓          |   |      |  |  |
|       | 30       | ✓          |   |      |  |  |
|       | 31       | ✓          |   |      |  |  |
|       | 32       | ✓          |   |      |  |  |
|       | 33       | ✓          |   |      |  |  |
|       | 34       | ✓          |   |      |  |  |
|       | 35       | ✓          |   |      |  |  |
|       | 36       | ✓          |   |      |  |  |

U.S. Patent and Trademark Office

Part of Paper No.: 20140502A

|    | Index of Claims                                                                       |          |         |     |            | Application/Control No. 14109749 |   |              |  | Reexa | Applicant(s)/Patent Under Reexamination CHU, WILLIAM W. Y. |     |       |                                       |  |  |  |
|----|---------------------------------------------------------------------------------------|----------|---------|-----|------------|----------------------------------|---|--------------|--|-------|------------------------------------------------------------|-----|-------|---------------------------------------|--|--|--|
|    | Examiner Art Unit HOSUK SONG 2435                                                     |          |         |     |            |                                  |   |              |  |       |                                                            |     |       |                                       |  |  |  |
| ✓  | ✓ Rejected - C                                                                        |          |         |     | Cancelled  |                                  | N | Non-Elected  |  |       | Α                                                          | Ар  | peal  |                                       |  |  |  |
| =  | = Allowed ÷ F                                                                         |          |         | F   | Restricted |                                  | ı | Interference |  |       | 0                                                          | Obj | ected |                                       |  |  |  |
|    | ☐ Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.47 |          |         |     |            |                                  |   |              |  |       |                                                            |     |       |                                       |  |  |  |
|    | CLAIM                                                                                 |          |         |     |            | DATE                             |   |              |  |       |                                                            |     |       | · · · · · · · · · · · · · · · · · · · |  |  |  |
| Fi | inal                                                                                  | Original | 05/02/2 | 014 |            |                                  |   |              |  |       |                                                            |     |       |                                       |  |  |  |
|    |                                                                                       | 37       | ✓       |     |            |                                  |   |              |  |       |                                                            |     |       |                                       |  |  |  |
|    |                                                                                       |          |         |     |            |                                  |   |              |  |       |                                                            |     |       |                                       |  |  |  |

U.S. Patent and Trademark Office Part of Paper No. : 20140502A



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# **BIB DATA SHEET**

### **CONFIRMATION NO. 1237**

| SERIAL NUM                                                                                                                                                                                                                                                                                                                                                                                                                                                                | BER                                     | FILING or              |                  |              | CLASS                                   | GR   | OUP ART                                 | UNIT                                    | ATTO         | RNEY DOCKET                |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|------------------------|------------------|--------------|-----------------------------------------|------|-----------------------------------------|-----------------------------------------|--------------|----------------------------|
| 14/109,74                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 9                                       | <b>DATI</b><br>12/17/2 |                  |              | 726                                     |      | 2435                                    |                                         | Α(           | <b>NO.</b><br>CQI-006/09US |
| ***************************************                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                         | RULI                   | on<br>50         |              |                                         |      |                                         |                                         | 3            | 310578-2079                |
| APPLICANT<br>Acgis LL0                                                                                                                                                                                                                                                                                                                                                                                                                                                    | -                                       | nney, TX, Ass          | signee (wi       | ith 37 C     | CFR 1.172 Intere                        | st); |                                         |                                         |              |                            |
| INVENTORS<br>William V                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                         | u, Los Altos,          | CA;              |              |                                         |      |                                         |                                         |              |                            |
| ** CONTINUING DATA ********************************  This application is a CON of 13/649,078 10/10/2012 PAT RE44654     which is a CON of 13/562,210 07/30/2012 PAT RE44468     which is a CON of 13/294,108 11/10/2011 PAT RE43602     which is a CON of 12/561,138 09/16/2009 PAT RE42984     which is a CON of 11/056,604 02/10/2005 PAT RE41092     which is a REI of 09/312,199 05/14/1999 PAT 6643777  ** FOREIGN APPLICATIONS ************************************ |                                         |                        |                  |              |                                         |      |                                         |                                         |              |                            |
| Foreign Priority claims<br>35 USC 119(a-d) con<br>Verified and                                                                                                                                                                                                                                                                                                                                                                                                            | ditions met                             |                        | Met at<br>Ailowa | îter<br>ince | STATE OR<br>COUNTRY                     | 3    | HEETS<br>WINGS                          | TOT.<br>CLAI                            | MS           | INDEPENDENT<br>CLAIMS      |
| Acknowledged                                                                                                                                                                                                                                                                                                                                                                                                                                                              | /Hosuk Sor<br>Examiner's                | Signature              | Initials         |              | CA                                      |      | 24                                      | 25                                      |              | 6                          |
| ADDRESS  COOLEY ATTN: Pa 1299 Per Suite 700 Washingl UNITED                                                                                                                                                                                                                                                                                                                                                                                                               | atent Gr<br>nnsylvar<br>)<br>ton, DC    | nia Avenue, N<br>20004 | IW               |              |                                         |      |                                         |                                         |              |                            |
| TITLE                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 000000000000000000000000000000000000000 |                        |                  |              | 000000000000000000000000000000000000000 |      | 000000000000000000000000000000000000000 | 000000000000000000000000000000000000000 |              |                            |
| DATA SE                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | CURIT                                   | Y METHOD A             | ND DEV           | ICE FC       | R COMPUTER                              | MOD  | ULES                                    |                                         |              |                            |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                         |                        |                  |              |                                         |      | ☐ All Fe                                |                                         |              |                            |
| FILING FEE                                                                                                                                                                                                                                                                                                                                                                                                                                                                | FEES:                                   | Authority has          | been give        | en in Pa     | aper                                    |      | ☐ 1.16 F                                |                                         | ************ |                            |
| RECEIVED                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | No                                      | to                     | charge/cr        | edit DE      | POSIT ACCOU                             | NT   |                                         |                                         |              | ing Ext. of time)          |
| 4700                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | No                                      | for                    | following        | :            |                                         |      | <u> </u>                                | Fees (Iss                               | sue)         |                            |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                         |                        |                  |              |                                         |      | ☐ Other                                 |                                         |              |                            |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                         |                        |                  |              |                                         |      | ☐ Credit                                | •                                       |              |                            |

BIB (Rev. 05/07).

**PATENT** 

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

William W. Y. Chu

Confirmation No.: 1237

Serial No.:

14/109,749

Examiner:

Song, Hosuk

Filed:

December 17, 2013

Art Unit:

2435

For: DATA SECURITY METHOD AND DEVICE FOR COMPUTER MODULES

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### **RESPONSE**

This communication is in response to the Office Action mailed May 15, 2014. Remarks begin on page 2.

The Commissioner is hereby authorized to charge any required fees and to credit any overpayments to Cooley LLP's deposit account No. 50-1283.

### **REMARKS**

The claims are provisionally rejected for obviousness-type double patenting. Applicant submits a terminal disclaimer to obviate the rejection. Accordingly, all pending claims are in a condition for allowance.

Date: May 29, 2014

Customer No. 58249 COOLEY LLP 1299 Pennsylvania Ave. NW, Suite 700 Washington, DC 20004

Tel: (650) 843-5622 Fax: (202) 842-7899 Respectfully submitted, COOLEY LLP

By:

William S. Galliani Reg. No. 33,885 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| TERMINAL DISCLAIMER TO OBVIATE A DOUBLE PATENTING<br>REJECTION OVER A "PRIOR" PATENT                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Docket Number (Optional)<br>ACQI-006/09US 310578-2079                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| In re Application of: William W. Y. Chu                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| Application No.: 14/109,749                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| Filed: December 17, 2013                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| For: DATA SECURITY METHOD AND DEVICE FOR COMPUTER MODULES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | •                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| The applicant, <u>Acqis LLC</u> , owner of <u>100</u> percent interest in the instant application hereby disclaims part of the statutory term of any patent granted on the instant application which would extend beyonterm of <u>prior patent No. RE44,654</u> as the term of said <u>prior patent</u> is presently shortened by hereby agrees that any patent so granted on the instant application shall be enforceable only for any <u>patent</u> are commonly owned. This agreement runs with any patent granted on the instant applicat successors or assigns.                                                                                                              | d the expiration date of the full statutory<br>any terminal disclaimer. The applican<br>during such period that it and the <b>prio</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| In making the above disclaimer, the applicant does not disclaim the terminal part of the term of any I that would extend to the expiration date of the full statutory term of the prior patent, "as the term of sa any terminal disclaimer," in the event that said prior patent later:  expires for failure to pay a maintenance fee; is held unenforceable; is found invalid by a court of competent jurisdiction; is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321; has all claims canceled by a reexamination certificate; is reissued; or is in any manner terminated prior to the expiration of its full statutory term as presently shorter. | d prior patent is presently shortened by                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| Check either box 1 or 2 below, if appropriate.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| 1. The undersigned is the applicant. If the applicant is an assignee, the undersigned is authorize                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | ed to act on behalf of the assignee.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| I hereby acknowledge that any willful false statements made are punishable under 18 U.S.C. 1001 by than five (5) years, or both.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | fine or imprisonment of not more                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| 2. The undersigned is an attorney or agent of record. Reg. No. 33,885                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | nucreated and representation of the contract o |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | May 29, 2014                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| Signature                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Date                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| William S. Galliani                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| Typed or printed name                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| Attorney of Record                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | (650) 843-5622                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| Title                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Telephone Number                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| Terminal disclaimer fee under 37 CFR 1.20(d) included.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| WARNING: Information on this form may become public. Credit card inform be included on this form. Provide credit card information and authorization                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |

This collection of information is required by 37 CFR 1.321. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



| Electronic Patent Application Fee Transmittal |                                                      |                   |          |        |                         |  |  |  |  |  |
|-----------------------------------------------|------------------------------------------------------|-------------------|----------|--------|-------------------------|--|--|--|--|--|
| Application Number:                           | 14                                                   | 09749             |          |        |                         |  |  |  |  |  |
| Filing Date:                                  | 17-                                                  | Dec-2013          |          |        |                         |  |  |  |  |  |
| Title of Invention:                           | DATA SECURITY METHOD AND DEVICE FOR COMPUTER MODULES |                   |          |        |                         |  |  |  |  |  |
| First Named Inventor/Applicant Name:          | Wi                                                   | liam W. Y. Chu    |          |        |                         |  |  |  |  |  |
| Filer:                                        | William S. Galliani/Bobbie Jutras                    |                   |          |        |                         |  |  |  |  |  |
| Attorney Docket Number:                       | AC                                                   | QI-006/09US 31057 | 8-2079   |        |                         |  |  |  |  |  |
| Filed as Large Entity                         |                                                      |                   |          |        |                         |  |  |  |  |  |
| Utility under 35 USC 111(a) Filing Fees       |                                                      |                   |          |        |                         |  |  |  |  |  |
| Description                                   |                                                      | Fee Code          | Quantity | Amount | Sub-Total in<br>USD(\$) |  |  |  |  |  |
| Basic Filing:                                 |                                                      |                   |          |        |                         |  |  |  |  |  |
| Pages:                                        |                                                      |                   |          |        |                         |  |  |  |  |  |
| Claims:                                       |                                                      |                   |          |        |                         |  |  |  |  |  |
| Miscellaneous-Filing:                         |                                                      |                   |          |        |                         |  |  |  |  |  |
| Petition:                                     |                                                      |                   |          |        |                         |  |  |  |  |  |
| Patent-Appeals-and-Interference:              |                                                      |                   |          |        |                         |  |  |  |  |  |
| Post-Allowance-and-Post-Issuance:             |                                                      |                   |          |        |                         |  |  |  |  |  |
| Extension-of-Time:                            |                                                      |                   |          |        |                         |  |  |  |  |  |

| Description                      | Fee Code Quantity |   | Amount | Sub-Total in<br>USD(\$) |
|----------------------------------|-------------------|---|--------|-------------------------|
| Miscellaneous:                   |                   |   |        |                         |
| Statutory or Terminal Disclaimer | 1814              | 1 | 160    | 160                     |
|                                  | Total in USD (\$) |   |        | 160                     |
|                                  |                   |   |        |                         |

| Electronic Acknowledgement Receipt   |                                                      |  |  |  |  |
|--------------------------------------|------------------------------------------------------|--|--|--|--|
| EFS ID:                              | 19171751                                             |  |  |  |  |
| Application Number:                  | 14109749                                             |  |  |  |  |
| International Application Number:    |                                                      |  |  |  |  |
| Confirmation Number:                 | 1237                                                 |  |  |  |  |
| Title of Invention:                  | DATA SECURITY METHOD AND DEVICE FOR COMPUTER MODULES |  |  |  |  |
| First Named Inventor/Applicant Name: | William W. Y. Chu                                    |  |  |  |  |
| Customer Number:                     | 58249                                                |  |  |  |  |
| Filer:                               | William S. Galliani/Bobbie Jutras                    |  |  |  |  |
| Filer Authorized By:                 | William S. Galliani                                  |  |  |  |  |
| Attorney Docket Number:              | ACQI-006/09US 310578-2079                            |  |  |  |  |
| Receipt Date:                        | 30-MAY-2014                                          |  |  |  |  |
| Filing Date:                         | 17-DEC-2013                                          |  |  |  |  |
| Time Stamp:                          | 14:53:18                                             |  |  |  |  |
| Application Type:                    | Utility under 35 USC 111(a)                          |  |  |  |  |

# **Payment information:**

| Submitted with Payment                   | yes             |
|------------------------------------------|-----------------|
| Payment Type                             | Deposit Account |
| Payment was successfully received in RAM | \$160           |
| RAM confirmation Number                  | 1325            |
| Deposit Account                          | 501283          |
| Authorized User                          |                 |

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

| Document<br>Number | Document Description       | File Name                        | File Size(Bytes)/<br>Message Digest          | Multi<br>Part /.zip | Pages<br>(if appl. |
|--------------------|----------------------------|----------------------------------|----------------------------------------------|---------------------|--------------------|
| 1                  |                            | ACQI-006-09US_2014-05-29_Re      | 101820                                       | vos                 | 3                  |
| '                  |                            | sp-TD.pdf                        | 42c63275bb22884cd1a15ac2c0b238a0618<br>7755e | yes                 | 3                  |
|                    | Mult                       | ipart Description/PDF files in . | zip description                              |                     |                    |
|                    | Document D                 | Start                            | E                                            | nd                  |                    |
|                    | Amendment/Req. Reconsidera | 1                                |                                              | 2                   |                    |
|                    | Terminal Discl             | aimer Filed                      | 3                                            | 3                   |                    |
| Warnings:          |                            |                                  |                                              |                     |                    |
| Information:       |                            |                                  |                                              |                     |                    |
| 2                  | Fee Worksheet (SB06)       | fee-info.pdf                     | 30662                                        | no                  | 2                  |
|                    |                            |                                  | 9d1293991e10bd1d25dcfcea7c4b615e9ec<br>dabb1 |                     |                    |
| Warnings:          |                            | · ·                              |                                              |                     |                    |
| Information:       |                            |                                  |                                              |                     |                    |
|                    |                            | Total Files Size (in bytes):     | 13                                           | 32482               |                    |

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

| Application Number       | Re                                                    |             | Applicant(s)/Patent under<br>Reexamination<br>CHU, WILLIAM W. Y. |  |  |  |
|--------------------------|-------------------------------------------------------|-------------|------------------------------------------------------------------|--|--|--|
| Document Code - DISQ     | ·                                                     | Internal Do | cument - DO NOT MAIL                                             |  |  |  |
|                          | r                                                     |             |                                                                  |  |  |  |
| TERMINAL<br>DISCLAIMER   | ⊠ APPROVI                                             | ED          | ☐ DISAPPROVED                                                    |  |  |  |
| Date Filed : 05-30-2014  | This patent is subject<br>to a Terminal<br>Disclaimer |             |                                                                  |  |  |  |
| Approved/Disapproved by: |                                                       |             |                                                                  |  |  |  |
| orethea Lawrence         |                                                       |             |                                                                  |  |  |  |

U.S. Patent and Trademark Office

| Application Number                                                                                                                                                                  | Application/Co                                      | 09749 | Applicant(s)/Patent under Reexamination  REIS REVFOR |          |  |  |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------|-------|------------------------------------------------------|----------|--|--|
| Document Code - SRNT                                                                                                                                                                | Internal Document – DO NOT                          |       |                                                      | IOT MAIL |  |  |
|                                                                                                                                                                                     |                                                     |       | ,                                                    |          |  |  |
| Original Patent Nun<br>of Patent to be reiss<br>6643777                                                                                                                             | Notice of Reissue Published in O.G. date: 2/25/2014 |       |                                                      |          |  |  |
| This reissue patent is subjected Terminal Disclaimer that                                                                                                                           |                                                     |       |                                                      |          |  |  |
| <ul> <li>         ⊠ was filed during the prosecution of the reissue application     </li> <li>         was of record prior to the filing of the reissue application     </li> </ul> |                                                     |       |                                                      |          |  |  |
| The maintenance fee sta                                                                                                                                                             | tus is:                                             |       |                                                      | ·        |  |  |
| ⊠ is up to date<br>□ was not requ                                                                                                                                                   | iired                                               |       |                                                      | ·        |  |  |
| Physical surrender of the                                                                                                                                                           | letter pate                                         | ent:  |                                                      |          |  |  |
| <ul> <li>was made</li> <li>was not made, but a statement of loss/inaccessibility</li> <li>was provided by applicant(s)</li> <li>is not required</li> </ul>                          |                                                     |       |                                                      |          |  |  |
| Final SPRE review trs 7/3/2014                                                                                                                                                      |                                                     |       |                                                      |          |  |  |

U.S. Patent and Trademark Office



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

# NOTICE OF ALLOWANCE AND FEE(S) DUE

58249 07/21/2014 COOLEY LLP ATTN: Patent Group 1299 Pennsylvania Avenue, NW Suite 700 Washington, DC 20004

**EXAMINER** SONG, HOSUK PAPER NUMBER ART UNIT 2435

DATE MAILED: 07/21/2014

|   | APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.          | CONFIRMATION NO. |
|---|-----------------|-------------|----------------------|------------------------------|------------------|
| • | 14/109,749      | 12/17/2013  | William W. Y. Chu    | ACQI-006/09US<br>310578-2079 | 1237             |

TITLE OF INVENTION: DATA SECURITY METHOD AND DEVICE FOR COMPUTER MODULES

| APPLN. TYPE    | ENTITY STATUS | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|---------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | UNDISCOUNTED  | \$960         | \$0                 | \$0                  | \$960            | 10/21/2014 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW

## HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee artifications.

| maintenance fee notifica                                                                                                                                                         | tions.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                  |                                                     |                                                                                       |                                            |                                                                                                                              |                                                                                                               |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------|-----------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------|------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------|
| CURRENT CORRESPOND                                                                                                                                                               | ENCE ADDRESS (Note: Use Bl                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | ock 1 for any change of address) | No<br>Fee<br>pap<br>hav                             | te: A certificate of e(s) Transmittal. The pers. Each additionate its own certificate | mailing<br>is certiful<br>l paper<br>of ma | g can only be used for<br>ficate cannot be used for<br>the such as an assignmental<br>filing or transmission.                | r domestic mailings of the<br>or any other accompanying<br>nt or formal drawing, must                         |
| 58249<br>COOLEY LLP<br>ATTN: Patent G<br>1299 Pennsylvar                                                                                                                         | froup                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | /2014                            | I h<br>Sta<br>ado<br>tras                           | ereby certify that th                                                                 | is Fee(                                    | e of Mailing or Transı<br>s) Transmittal is being<br>ficient postage for firs<br>ISSUE FEE address<br>1) 273-2885, on the da | mission  deposited with the United  t class mail in an envelope above, or being facsimile te indicated below. |
| Suite 700                                                                                                                                                                        | ma revenue, reve                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                  |                                                     |                                                                                       |                                            |                                                                                                                              | (Depositor's name)                                                                                            |
| Washington, DC                                                                                                                                                                   | 20004                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                  | <u> </u>                                            |                                                                                       |                                            |                                                                                                                              | (Signature)                                                                                                   |
|                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                  | L                                                   |                                                                                       |                                            |                                                                                                                              | (Date)                                                                                                        |
| APPLICATION NO.                                                                                                                                                                  | FILING DATE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                  | FIRST NAMED INVENTOR                                | ₹                                                                                     | ATTO                                       | RNEY DOCKET NO.                                                                                                              | CONFIRMATION NO.                                                                                              |
| 14/109,749                                                                                                                                                                       | 12/17/2013                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                  | William W. Y. Chu                                   |                                                                                       | Α                                          | ACQI-006/09US<br>310578-2079                                                                                                 | 1237                                                                                                          |
|                                                                                                                                                                                  | ENTITY STATUS                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | ETHOD AND DEVICE I               | FOR COMPUTER MODU                                   | JLES PREV. PAID ISSU                                                                  |                                            | TOTAL FEE(S) DUE                                                                                                             | DATE DUE                                                                                                      |
| APPLN. TYPE                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                  | \$0                                                 | \$0                                                                                   | E FEE                                      | \$960                                                                                                                        | l                                                                                                             |
| nonprovisional                                                                                                                                                                   | UNDISCOUNTED                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | \$960                            | •                                                   | <b>→</b> 0                                                                            |                                            | \$900                                                                                                                        | 10/21/2014                                                                                                    |
| EXAM                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | ART UNIT                         | CLASS-SUBCLASS                                      |                                                                                       |                                            |                                                                                                                              |                                                                                                               |
| SONG, I                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | 2435                             | 726-016000                                          |                                                                                       |                                            |                                                                                                                              |                                                                                                               |
| Change of corresp<br>Address form PTO/SI<br>"Fee Address" ind<br>PTO/SB/47; Rev 03-0<br>Number is required.<br>3. ASSIGNEE NAME A<br>PLEASE NOTE: Unl<br>recordation as set fort | Change of correspondence address or indication of "Fee Address" (37 FR 1.363).  Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.  ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)  PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.  (B) RESIDENCE: (CITY and STATE OR COUNTRY) |                                  |                                                     |                                                                                       |                                            |                                                                                                                              |                                                                                                               |
| Please check the appropr                                                                                                                                                         | iate assignee category or                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | categories (will not be pr       | rinted on the patent):                              | Individual 🗖 Co                                                                       | orporat                                    | ion or other private gro                                                                                                     | oup entity Government                                                                                         |
|                                                                                                                                                                                  | are submitted:<br>No small entity discount p                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | permitted)                       | b. Payment of Fee(s): (Ple                          | ard. Form PTO-2038<br>by authorized to char                                           | is atta                                    | ched.<br>required fee(s), any de                                                                                             | ,                                                                                                             |
|                                                                                                                                                                                  | <b>tus</b> (from status indicateding micro entity status. Se                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                  | NOTE: Absent a valid c fee payment in the micro     | ertification of Micro<br>o entity amount will                                         | Entity                                     | Status (see forms PTC accepted at the risk of                                                                                | D/SB/15A and 15B), issue application abandonment.                                                             |
| Applicant asserting                                                                                                                                                              | g small entity status. See                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | 37 CFR 1.27                      | NOTE: If the application to be a notification of lo |                                                                                       |                                            |                                                                                                                              | ing this box will be taken                                                                                    |
| Applicant changin                                                                                                                                                                | g to regular undiscounted                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | d fee status.                    |                                                     | ox will be taken to b                                                                 |                                            | •                                                                                                                            | tlement to small or micro                                                                                     |
| NOTE: This form must b                                                                                                                                                           | e signed in accordance v                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | vith 37 CFR 1.31 and 1.3         | 3. See 37 CFR 1.4 for sign                          | nature requirements                                                                   | and cei                                    | rtifications.                                                                                                                |                                                                                                               |
| Authorized Signature                                                                                                                                                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                  |                                                     | Date                                                                                  |                                            |                                                                                                                              |                                                                                                               |
| Typed or printed name                                                                                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                  |                                                     | Registration N                                                                        | lo                                         |                                                                                                                              |                                                                                                               |

Page 2 of 3



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandia, Virginia 22313-1450 www.uspto.gov

| APPLICATION NO.   | FILING DATE    | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.          | CONFIRMATION NO. |
|-------------------|----------------|----------------------|------------------------------|------------------|
| 14/109,749        | 12/17/2013     | William W. Y. Chu    | ACQI-006/09US<br>310578-2079 | 1237             |
| 58249 75          | i90 07/21/2014 |                      | EXAM                         | INER             |
| COOLEY LLP        |                |                      | SONG, I                      | HOSUK            |
| ATTN: Patent Gro  | up             |                      |                              |                  |
| 1299 Pennsylvania |                |                      | ART UNIT                     | PAPER NUMBER     |
| Suite 700         |                |                      | 2435                         |                  |
| Washington, DC 2  | 0004           |                      | DATE MAILED: 07/21/201       | 4                |

# Determination of Patent Term Extension or Adjustment under 35 U.S.C. 154 (b)

A reissue patent is for "the unexpired part of the term of the original patent." See 35 U.S.C. 251. Accordingly, the above-identified reissue application is not eligible for Patent Term Extension or Adjustment under 35 U.S.C. 154(b).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

#### OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

#### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

|                                                                                                                                                                                                                                                                                                    | Application No.<br>14/109,749                                                                                | Applicant(s)<br>CHU, WILLIA            | M W V                                |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|----------------------------------------|--------------------------------------|
| Notice of Allowability                                                                                                                                                                                                                                                                             | Examiner                                                                                                     | Art Unit                               | AIA (First Inventor to               |
| ,                                                                                                                                                                                                                                                                                                  | Hosuk Song                                                                                                   | 2435                                   | File) Status<br>No                   |
|                                                                                                                                                                                                                                                                                                    |                                                                                                              |                                        |                                      |
| The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS (nerewith (or previously mailed), a Notice of Allowance (PTOL-85) on NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RICE of the Office or upon petition by the applicant. See 37 CFR 1.313 | OR REMAINS) CLOSED in this app<br>or other appropriate communication<br>GHTS. This application is subject to | lication. If not i<br>will be mailed i | ncluded<br>n due course. <b>THIS</b> |
| 1. ☑ This communication is responsive to <u>5/30/14</u> .                                                                                                                                                                                                                                          |                                                                                                              |                                        |                                      |
| A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was/                                                                                                                                                                                                                                           | were filed on                                                                                                |                                        |                                      |
| <ol> <li>An election was made by the applicant in response to a restr<br/>requirement and election have been incorporated into this ac</li> </ol>                                                                                                                                                  |                                                                                                              | e interview on                         | ; the restriction                    |
| 3. As a result of the allowed Highway program at a participating intellectual property office <a href="http://www.uspto.gov/patents/init_events/pph/index.jsp">http://www.uspto.gov/patents/init_events/pph/index.jsp</a> or ser                                                                   | e for the corresponding application.                                                                         | For more inforn                        |                                      |
| 4. Acknowledgment is made of a claim for foreign priority under                                                                                                                                                                                                                                    | r 35 U.S.C. § 119(a)-(d) or (f).                                                                             |                                        |                                      |
| Certified copies:                                                                                                                                                                                                                                                                                  |                                                                                                              |                                        |                                      |
| a) All b) Some *c) None of the:                                                                                                                                                                                                                                                                    | h                                                                                                            |                                        |                                      |
| <ol> <li>Certified copies of the priority documents have</li> <li>Certified copies of the priority documents have</li> </ol>                                                                                                                                                                       |                                                                                                              |                                        |                                      |
| Copies of the certified copies of the priority doc                                                                                                                                                                                                                                                 | • • • • • • • • • • • • • • • • • • • •                                                                      |                                        | nnlication from the                  |
| International Bureau (PCT Rule 17.2(a)).                                                                                                                                                                                                                                                           | aments have been received in this h                                                                          | ational stage a                        | pplication nom the                   |
| * Certified copies not received:                                                                                                                                                                                                                                                                   |                                                                                                              |                                        |                                      |
| · · · · · · · · · · · · · · · · · · ·                                                                                                                                                                                                                                                              |                                                                                                              |                                        |                                      |
| Applicant has THREE MONTHS FROM THE "MAILING DATE" on noted below. Failure to timely comply will result in ABANDONMETHIS THREE-MONTH PERIOD IS NOT EXTENDABLE.                                                                                                                                     |                                                                                                              | complying with                         | the requirements                     |
| 5. CORRECTED DRAWINGS ( as "replacement sheets") must                                                                                                                                                                                                                                              | be submitted.                                                                                                |                                        |                                      |
| including changes required by the attached Examiner's Paper No./Mail Date                                                                                                                                                                                                                          | Amendment / Comment or in the Of                                                                             | ffice action of                        |                                      |
| Identifying indicia such as the application number (see 37 CFR 1.8 each sheet. Replacement sheet(s) should be labeled as such in th                                                                                                                                                                |                                                                                                              |                                        | not the back) of                     |
| <ol> <li>DEPOSIT OF and/or INFORMATION about the deposit of BI<br/>attached Examiner's comment regarding REQUIREMENT FO</li> </ol>                                                                                                                                                                 |                                                                                                              |                                        | ne                                   |
| Attachment(s)                                                                                                                                                                                                                                                                                      | 5 🗆 5                                                                                                        |                                        |                                      |
| 1. Notice of References Cited (PTO-892)                                                                                                                                                                                                                                                            | <ol> <li>5. ☐ Examiner's Amendn</li> <li>6. ☒ Examiner's Stateme</li> </ol>                                  |                                        | for Allowanaa                        |
| <ol> <li>Information Disclosure Statements (PTO/SB/08),</li> <li>Paper No./Mail Date</li> </ol>                                                                                                                                                                                                    | <u> </u>                                                                                                     | ent of Heasons                         | ior Allowance                        |
| <ol> <li>Examiner's Comment Regarding Requirement for Deposit<br/>of Biological Material</li> </ol>                                                                                                                                                                                                | 7. 🔲 Other                                                                                                   |                                        |                                      |
| 4. ☐ Interview Summary (PTO-413), Paper No./Mail Date                                                                                                                                                                                                                                              |                                                                                                              |                                        |                                      |
| /Hosuk Song/                                                                                                                                                                                                                                                                                       |                                                                                                              |                                        |                                      |
| Primary Examiner, Art Unit 2435                                                                                                                                                                                                                                                                    |                                                                                                              |                                        |                                      |
|                                                                                                                                                                                                                                                                                                    |                                                                                                              |                                        |                                      |
|                                                                                                                                                                                                                                                                                                    |                                                                                                              |                                        |                                      |
|                                                                                                                                                                                                                                                                                                    |                                                                                                              |                                        |                                      |

U.S. Patent and Trademark Office
PTOL-37 (Rev. 08-13)

Notice of Allowability

Part of Paper No./Mail Date 20140605

Application/Control Number: 14/109,749 Page 2

Art Unit: 2435

The present application is being examined under the pre-AIA first to invent provisions.

#### **Reasons for Allowance**

The following is an examiner's statement of reasons for allowance: The remarks submitted by the Applicant have been considered and are persuasive. Claims 14-38 are allowed.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

## **USPTO** Contact Information

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Hosuk Song whose telephone number is (571)272-3857. The examiner can normally be reached on mon-fri.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Joseph Hirl can be reached on 5712723685. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Application/Control Number: 14/109,749 Page 3

Art Unit: 2435

/Hosuk Song/ Primary Examiner, Art Unit 2435

# **EAST Search History**

# **EAST Search History (Prior Art)**

| Ref<br># | Hits | Search Query                                                                                    | DBs                                                     | Default<br>Operator | Plurals | Time<br>Stamp       |
|----------|------|-------------------------------------------------------------------------------------------------|---------------------------------------------------------|---------------------|---------|---------------------|
| S248     | 39   | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial adj3<br>bit adj4 channel | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR                  | ON      | 2014/06/05<br>10:34 |
| S249     | 646  | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial adj3<br>bit              | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR                  | ON      | 2014/06/05<br>10:37 |
| S250     | 2026 | 710/1.ccls.                                                                                     | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR                  | OFF     | 2014/06/05<br>10:40 |
| S251     | 469  | 710/7.ccls.                                                                                     | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR                  | OFF     | 2014/06/05<br>10:41 |
| S252     | 4189 | 710/8.ccls.                                                                                     | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR                  | OFF     | 2014/06/05<br>10:51 |
| S253     | 2633 | 710/15.ccls.                                                                                    | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR                  | OFF     | 2014/06/05<br>10:51 |
| S254     | 963  | 710/20.ccls.                                                                                    | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR                  | OFF     | 2014/06/05<br>10:51 |
| S255     | 2903 | 710/22.ccls.                                                                                    | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR                  | OFF     | 2014/06/05<br>10:52 |
| S256     | 2401 | 710/100.ccls.                                                                                   | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR                  | OFF     | 2014/06/05<br>10:54 |
| S257     | 752  | 712/1.ccls.                                                                                     | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR                  | OFF     | 2014/06/05<br>10:56 |

| ****************** |      | , , , , , , , , , , , , , , , , , , , |                                                         | · [ |     | (2                  |
|--------------------|------|---------------------------------------|---------------------------------------------------------|-----|-----|---------------------|
| S258               | 1943 | 712/220.ccls.                         | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR  | OFF | 2014/06/05<br>10:56 |
| S259               | 4134 | 713/182.ccls.                         | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR  | OFF | 2014/06/05<br>10:57 |
| S260               | 1677 | 713/183.ccls.                         | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR  | OFF | 2014/06/05<br>10:57 |
| S261               | 582  | 713/192.ccls.                         | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR  | OFF | 2014/06/05<br>10:58 |
| S262               | 6347 | 713/193.ccls.                         | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR  | OFF | 2014/06/05<br>10:59 |
| S263               | 1803 | 713/194.ccls.                         | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR  | OFF | 2014/06/05<br>10:59 |
| S264               | 5809 | 726/2.ccls.                           | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR  | OFF | 2014/06/05<br>11:04 |
| S265               | 6549 | 726/3.ccls.                           | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR  | OFF | 2014/06/05<br>11:05 |
| S266               | 8633 | 726/4.ccls.                           | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR  | OFF | 2014/06/05<br>11:08 |
| S267               | 5276 | 726/5.ccls.                           | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR  | OFF | 2014/06/05<br>11:08 |
| S268               | 3552 | 726/6.ccls.                           | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR  | OFF | 2014/06/05<br>11:09 |
| S269               | 3776 | 726/7.ccls.                           | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR  | OFF | 2014/06/05<br>11:09 |

| } <b>r</b> | ;    | · , p                                                                                      | -,,                                                     | ,  | · . |                     |
|------------|------|--------------------------------------------------------------------------------------------|---------------------------------------------------------|----|-----|---------------------|
|            |      | 726/8.ccls.                                                                                | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2014/06/05<br>11:09 |
| S271       | 2629 | 726/9.ccls.                                                                                | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2014/06/05<br>11:09 |
| S272       | 1239 | 726/16.ccls.                                                                               | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2014/06/05<br>11:09 |
| S273       | 2055 | 726/17.ccls.                                                                               | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2014/06/05<br>11:10 |
|            |      | 726/18.ccls.                                                                               | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2014/06/05<br>11:14 |
| S275       | 1959 | 726/19.ccls.                                                                               | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2014/06/05<br>11:16 |
| S276       | 1212 | 726/20.ccls.                                                                               | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2014/06/05<br>11:17 |
| S277       | 2051 | 726/21.ccls.                                                                               | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2014/06/05<br>11:19 |
| S278       | 1187 | 726/34.ccls.                                                                               | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2014/06/05<br>11:22 |
| S279       | 252  | 726/36.ccls.                                                                               | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2014/06/05<br>11:22 |
| S280       | 1934 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial and<br>USB          | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON  | 2014/06/05<br>11:23 |
| S281       | 237  | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial adj3<br>bit and USB | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON  | 2014/06/05<br>11:23 |

| S282 | 220 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial adj3<br>bit and USB and PCI                                                                                                                                                                        | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/06/05<br>11:24 |
|------|-----|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|----|----|---------------------|
| S283 | 120 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) near5 (channel or<br>path or link or line) and serial adj3 bit<br>and USB and (PCI or peripheral adj3<br>component adj4 interconnect)                                                                         | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/06/05<br>11:26 |
| S284 | 125 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) near5 (channel or<br>path or link or line or bus) and serial<br>adj3 bit and USB and (PCI or peripheral<br>adj3 component adj4 interconnect)                                                                  | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/06/05<br>11:32 |
| S285 | 25  | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) near5 (channel or<br>path or link or line or bus) near7<br>(multiple or many or plural\$4 or second<br>or first) and serial adj3 bit and USB and<br>(PCI or peripheral adj3 component adj4<br>interconnect)   | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/06/05<br>11:40 |
| S286 | 27  | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) near5 (channel or<br>path or link or line or bus) near7<br>(multiple or many or plural\$4 or second<br>or first) and serial adj3 bit and (PCI or<br>peripheral adj3 component adj4<br>interconnect)           | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/06/05<br>11:42 |
| S287 | 308 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and (channel or<br>path or link or line or bus) near7<br>(multiple or many or plural\$4 or second<br>or first) and serial adj3 bit and (PCI or<br>peripheral adj3 component adj4<br>interconnect)             | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/06/05<br>11:44 |
| S288 | 97  | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial adj3<br>bit and USB and (PCI or peripheral adj3<br>component adj4 interconnect)                                                                                                                    | USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB              | OR | ON | 2014/06/05<br>11:47 |
| S289 | 113 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and (channel or<br>path or link or line or bus) near7<br>(multiple or many or plural\$4 or second<br>or first) and serial adj3 bit and (PCI or<br>peripheral adj3 component adj4<br>interconnect) near6 (bus) | US-PGPUB;<br>USPAT                                      | OR | ON | 2014/06/05<br>11:49 |
| S290 | 1   | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial adj3<br>bit and 710/1.ccls.                                                                                                                                                                        | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/06/05<br>11:55 |
| S291 | 0   | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial adj3<br>bit and 710/7.ccls.                                                                                                                                                                        | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/06/05<br>11:56 |
| S292 | 0   | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial adj3<br>bit and 710/8.cds.                                                                                                                                                                         | US-PGPUB;<br>USPAT;<br>EPO; JPO;                        | OR | ON | 2014/06/05<br>11:56 |

|      |   |                                                                                                      | DERWENT;<br>IBM_TDB                                     |    |    |                     |
|------|---|------------------------------------------------------------------------------------------------------|---------------------------------------------------------|----|----|---------------------|
| S293 | 0 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial adj3<br>bit and 710/15.ccls.  | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/06/05<br>11:57 |
| S294 | 0 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial adj3<br>bit and 710/20.ccls.  | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/06/05<br>11:57 |
| S295 | 1 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial adj3<br>bit and 710/22.ccls.  | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/06/05<br>11:57 |
| S296 | 5 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial adj3<br>bit and 710/100.ccls. | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/06/05<br>11:58 |
| S297 | 1 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial adj3<br>bit and 712/1.ccls.   | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/06/05<br>11:58 |
| S298 | 0 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial adj3<br>bit and 712/220.ccls. | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/06/05<br>11:58 |
| S299 | 0 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial adj3<br>bit and 713/182.ccls. | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/06/05<br>18:23 |
| S300 | 2 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial adj3<br>bit and 713/183.ccls. | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/06/05<br>18:55 |
| S301 | 4 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial adj3<br>bit and 726/2.cds.    | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/06/05<br>19:19 |
| S302 | 1 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial adj3<br>bit and 726/3.cds.    | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/06/05<br>21:34 |
| S303 | 1 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial adj3<br>bit and 726/4.cds.    | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/06/05<br>21:35 |
| S304 | 0 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial adj3<br>bit and 726/5.cds.    | US-PGPUB;<br>USPAT;<br>EPO; JPO;                        | OR | ON | 2014/06/05<br>21:35 |

|      |   |                                                                                                     | DERWENT;<br>IBM_TDB                                     |    |    |                     |
|------|---|-----------------------------------------------------------------------------------------------------|---------------------------------------------------------|----|----|---------------------|
| S305 | 0 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial adj3<br>bit and 726/6.ccls.  | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/06/05<br>21:36 |
| S306 | 1 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial adj3<br>bit and 726/7.ccls.  | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/06/05<br>21:36 |
| S307 | 0 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial adj3<br>bit and 726/8.ccls.  | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/06/05<br>21:37 |
| S308 | 3 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial adj3<br>bit and 726/9.ccls.  | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/06/05<br>21:37 |
| S309 | 3 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial adj3<br>bit and 726/16.ccls. | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/06/05<br>21:37 |
| S310 | 0 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial adj3<br>bit and 726/17.ccls. | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/06/05<br>21:54 |
| S311 | 3 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial adj3<br>bit and 726/18.ccls. | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/06/05<br>21:55 |
| S312 | 1 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial adj3<br>bit and 726/19.ccls. | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/06/05<br>21:56 |
| S313 | 3 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial adj3<br>bit and 726/20.ccls. | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/06/05<br>21:57 |
| S314 | 0 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial adj3<br>bit and 726/21.ccls. | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/06/05<br>21:58 |
| S315 | 3 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial adj3<br>bit and 726/34.ccls. | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/06/05<br>21:59 |
| S316 | 2 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and serial adj3<br>bit and 726/36.ccls. | US-PGPUB;<br>USPAT;<br>EPO; JPO;                        | OR | ON | 2014/06/05<br>21:59 |

|      |     |                                                                                                                                                                                                                                                                         | DERWENT;<br>IBM_TDB                                     |    |    |                     |
|------|-----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|----|----|---------------------|
| S318 | 147 | ("chu, william").INV.                                                                                                                                                                                                                                                   | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/06/05<br>22:00 |
| S319 | 48  | ("chu, william").INV. and ("726"/\$.ccls.<br>or "713"/\$.ccls. or "710"/\$.ccls. or<br>"712"/\$.ccls.)                                                                                                                                                                  | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/06/05<br>22:01 |
| S320 | 14  | (low and voltage and differential and signal and graphic and controller and serial and bit and channels and unidirectional).CLM.                                                                                                                                        | US-PGPUB;<br>USPAT                                      | OR | ON | 2014/06/05<br>22:03 |
| S321 | 216 | (LVDS or low adj3 voltage adj4<br>differential adj4 signal) and (channel or<br>path or link or line or bus) near7<br>(multiple or many or plural\$4 or second<br>or first) and serial adj3 bit and (PCI or<br>peripheral adj3 component adj4<br>interconnect) and (USB) | US-PGPUB;<br>USPAT;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2014/06/05<br>22:03 |

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166 Results returned



# Solution space analysis of interconnects for low voltage differential signaling (LVOS) applications

Seungyoung Ahn; Lu, A.C.W.; Wei Fan; Lai, L.W.,

Joungho Kim

Electrical Performance of Electronic Packaging, 2001 Digital Object Identifier: 10.1109/EPEP.2001 987874

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# Total dose testing of 10-bit low voltage differential signal (LVOS) serializer and deserializer

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# A 1.5 ns OFF/ON Switching-Time Voltage-Mode LV05 Driver/Receiver Pair for Asynchronous AER Bit -Serial Chip Grid Links With Up to 40 Times Event-Rate Dependent Power Savings

Zamarreno-Flamos, G.; Kulkarni, R.; Silva-Martinez, J.;

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# & Slew Controlled LVSS Output Driver Circuit in 0.18 $\mu$ m CMOS Technology

Tajalli, A.; Leblebici, Y

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Biomedical Circuits and Systems, IEEE Transactions on Volume: 6, Issue: 5 Digital Object Identifier: 10.1109/TBCAS.2012.2186136 Publication Year: 2012., Page(s): 486 - 497 IRRE NOUNDALS & MAGAZINES

# Analysis and improvement of electromagnetic susceptibility on high speed LVOS I/O system

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### An Ultralow-Power 10-Gbits/s EVDS Output Driver

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#### An Instant-Startup Jitter-Tolerant Manchester-Encoding Serializer/Deserializer Scheme for Event-Driven Bit-Serial LVDS Interchip AER Links

Zamarrefio-Bamos, C.; Serrano-Gotarredona, T.; Linares-Barranco, B.
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#### Design of an All-Digital LVDS Driver

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& 140 Mb/s to 1.96 Gb/s Referenceless Transceiver With 7.2  $\mu$  s Frequency Acquisition Time

Inhwa Jung; Daejung Shin; Taejin Kim; Ghulwoo Kim Very Large Scale Integration (VLSI) Systems, IEEE

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Volume: 36 . issue: 4

Digital Object Identifier: 10 1109/4.913751

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Volume: 55 , Issue: 4

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Tajalli, A.; Leblebici, Y

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# Search Notes



| Application/Control No. | Applicant(s)/Patent Under Reexamination |
|-------------------------|-----------------------------------------|
| 14109749                | CHU, WILLIAM W. Y.                      |
| Examiner                | Art Unit                                |
| HOSUK SONG              | 2435                                    |

| CPC- SEARCHED |      |          |
|---------------|------|----------|
| Symbol        | Date | Examiner |
|               |      |          |

| CPC COMBINATION SETS - SEARCHED |  |  |  |
|---------------------------------|--|--|--|
| Symbol Date Examiner            |  |  |  |
|                                 |  |  |  |

|       | US CLASSIFICATION SEARCH | ED     |          |
|-------|--------------------------|--------|----------|
| Class | Subclass                 | Date   | Examiner |
| 726   | 2-9,16-21,34,36          | 5/2/14 | HS       |
| 713   | 182-183,192-194          | 5/2/14 | HS       |
| 710   | 1,7,8,15,20,22,100       | 5/2/14 | HS       |
| 712   | 1,220                    | 5/2/14 | HS       |
| 726   | 2-9,16-21,34,36          | 6/5/14 | HS       |
| 713   | 182-183,192-194          | 6/5/14 | HS       |
| 710   | 1,7,8,15,20,22,100       | 6/5/14 | HS       |
| 712   | 1,220                    | 6/5/14 | HS       |

| SEARCH NOTES                                                   |        |          |  |
|----------------------------------------------------------------|--------|----------|--|
| Search Notes                                                   | Date   | Examiner |  |
| EAST, foreign patent database, IBM TDB, USPGPUB, inventor name | 5/2/14 | HS       |  |
| search                                                         |        |          |  |
| NPL                                                            | 5/2/14 | HS       |  |
| EAST, foreign patent database, IBM TDB, USPGPUB, inventor name | 6/5/14 | HS       |  |
| search                                                         |        |          |  |
| NPL                                                            | 6/5/14 | HS       |  |

| INTERFERENCE SEARCH     |                         |      |          |  |
|-------------------------|-------------------------|------|----------|--|
| US Class/<br>CPC Symbol | US Subclass / CPC Group | Date | Examiner |  |
|                         |                         |      |          |  |

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|                         | INTERFERENCE SEARCH     |        |          |  |  |  |
|-------------------------|-------------------------|--------|----------|--|--|--|
| US Class/<br>CPC Symbol | US Subclass / CPC Group | Date   | Examiner |  |  |  |
| 726                     | 2-9,16-21,34,36         | 6/5/14 | HS       |  |  |  |
| 713                     | 182-183,192-194         | 6/5/14 | HS       |  |  |  |
| 710                     | 1,7,8,15,20,22,100      | 6/5/14 | HS       |  |  |  |
| 712                     | 1,220                   | 6/5/14 | HS       |  |  |  |

U.S. Patent and Trademark Office Part of Paper No.: 20140605

|                      | Application/Control No. | Applicant(s)/Patent Under Reexamination |
|----------------------|-------------------------|-----------------------------------------|
| Issue Classification | 14109749                | CHU, WILLIAM W. Y.                      |
|                      | Examiner                | Art Unit                                |
|                      | HOSUK SONG              | 2435                                    |

| CPC CPC |          |      |         |  |
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| ymbol   |          | Туре | Version |  |
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| CPC Combination Sets |      |     |         |         |
|----------------------|------|-----|---------|---------|
| Symbol               | Туре | Set | Ranking | Version |
|                      |      |     |         |         |
|                      |      |     |         |         |

| NONE                                           |        | Total Clain         | ns Allowed:       |
|------------------------------------------------|--------|---------------------|-------------------|
| (Assistant Examiner)                           | (Date) | 2                   | 5                 |
| /HOSUK SONG/<br>Primary Examiner.Art Unit 2435 | 6/5/14 | O.G. Print Claim(s) | O.G. Print Figure |
| (Primary Examiner)                             | (Date) | 14                  | 1                 |

U.S. Patent and Trademark Office Part of Paper No. 20140605

|                      | Application/Control No. | Applicant(s)/Patent Under Reexamination |
|----------------------|-------------------------|-----------------------------------------|
| Issue Classification | 14109749                | CHU, WILLIAM W. Y.                      |
|                      | Examiner                | Art Unit                                |
|                      | HOSUK SONG              | 2435                                    |

|                    | US ORIGINAL CLASSIFICATION              |  |  |  |   |   | INTERNATIONAL CLASSIFICATION |   |                      |  |             |  |  |  |  |
|--------------------|-----------------------------------------|--|--|--|---|---|------------------------------|---|----------------------|--|-------------|--|--|--|--|
|                    | CLASS SUBCLASS                          |  |  |  |   |   |                              | С | LAIMED               |  | NON-CLAIMED |  |  |  |  |
| 726                | 16                                      |  |  |  | G | 0 | 6                            | F | 12 / 00 (2006.01.01) |  |             |  |  |  |  |
| CROSS REFERENCE(S) |                                         |  |  |  |   |   |                              |   |                      |  |             |  |  |  |  |
| CLASS              | CLASS SUBCLASS (ONE SUBCLASS PER BLOCK) |  |  |  |   |   |                              |   |                      |  |             |  |  |  |  |
| 726                | 20                                      |  |  |  |   |   |                              |   |                      |  |             |  |  |  |  |
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| NONE                                           |        | Total Claims Allowed: |                   |  |  |  |
|------------------------------------------------|--------|-----------------------|-------------------|--|--|--|
| (Assistant Examiner)                           | (Date) | 2                     | 5                 |  |  |  |
| /HOSUK SONG/<br>Primary Examiner.Art Unit 2435 | 6/5/14 | O.G. Print Claim(s)   | O.G. Print Figure |  |  |  |
| (Primary Examiner)                             | (Date) | 14                    | 1                 |  |  |  |

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|                      | Application/Control No. | Applicant(s)/Patent Under Reexamination |
|----------------------|-------------------------|-----------------------------------------|
| Issue Classification | 14109749                | CHU, WILLIAM W. Y.                      |
|                      |                         | Art Unit                                |
|                      | Examiner                | Artonit                                 |

|       | Claims re | numbere | d in the s | ame orde | r as prese | ented by a | applicant | ☐ CPA ⊠ T.D. ☐ R.1.47 |          |       |          |       |          |       |          |
|-------|-----------|---------|------------|----------|------------|------------|-----------|-----------------------|----------|-------|----------|-------|----------|-------|----------|
| Final | Original  | Final   | Original   | Final    | Original   | Final      | Original  | Final                 | Original | Final | Original | Final | Original | Final | Original |
| 1     | 1         | 17      | 17         | 33       | 33         |            |           |                       |          |       |          |       |          |       |          |
| 2     | 2         | 18      | 18         | 34       | 34         |            |           |                       |          |       |          |       |          |       |          |
| 3     | 3         | 19      | 19         | 35       | 35         |            |           |                       |          |       |          |       |          |       |          |
| 4     | 4         | 20      | 20         | 36       | 36         |            |           |                       |          |       |          |       |          |       |          |
| 5     | 5         | 21      | 21         | 37       | 37         |            |           |                       |          |       |          |       |          |       |          |
| 6     | 6         | 22      | 22         | 38       | 38         |            |           |                       |          |       |          |       |          |       |          |
| 7     | 7         | 23      | 23         |          |            |            |           |                       |          |       |          |       |          |       |          |
| 8     | 8         | 24      | 24         |          |            |            |           |                       |          |       |          |       |          |       |          |
| 9     | 9         | 25      | 25         |          |            |            |           |                       |          |       |          |       |          |       |          |
| 10    | 10        | 26      | 26         |          |            |            |           |                       |          |       |          |       |          |       |          |
| 11    | 11        | 27      | 27         |          |            |            |           |                       |          |       |          |       |          |       |          |
| 12    | 12        | 28      | 28         |          |            |            |           |                       |          |       |          |       |          |       |          |
| 13    | 13        | 29      | 29         |          |            |            |           |                       |          |       |          |       |          |       |          |
| 14    | 14        | 30      | 30         |          |            |            |           |                       |          |       |          |       |          |       |          |
| 15    | 15        | 31      | 31         |          |            |            |           |                       |          |       |          |       |          |       |          |
| 16    | 16        | 32      | 32         |          |            |            |           |                       |          |       |          |       |          |       |          |

| NONE                                           | Total Claims Allowed: |                     |                   |  |  |  |
|------------------------------------------------|-----------------------|---------------------|-------------------|--|--|--|
| (Assistant Examiner)                           | (Date)                | 2                   | 5                 |  |  |  |
| /HOSUK SONG/<br>Primary Examiner.Art Unit 2435 | 6/5/14                | O.G. Print Claim(s) | O.G. Print Figure |  |  |  |
| (Primary Examiner)                             | (Date)                | 14                  | 1                 |  |  |  |

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|                 | Application/Control No. | Applicant(s)/Patent Under Reexamination |
|-----------------|-------------------------|-----------------------------------------|
| Index of Claims | 14109749                | CHU, WILLIAM W. Y.                      |
|                 |                         |                                         |
|                 | Examiner                | Art Unit                                |

| ✓ | Rejected | - | Cancelled  | N | Non-Elected  |   | Α | Appeal   |
|---|----------|---|------------|---|--------------|---|---|----------|
| = | Allowed  | ÷ | Restricted | ı | Interference |   | 0 | Objected |
|   |          |   | •          |   |              | - |   |          |

| ☐ Claims | renumbered | in the same | order as pre | esented by | applicant |  | ☐ CPA | ⊠ 7 | .D. |  | R.1.47 |  |
|----------|------------|-------------|--------------|------------|-----------|--|-------|-----|-----|--|--------|--|
| CLA      | MIA        |             | DATE         |            |           |  |       |     |     |  |        |  |
| Final    | Original   | 05/02/2014  | 06/05/2014   |            |           |  |       |     |     |  |        |  |
| 1        | 1          | -           | -            |            |           |  |       |     |     |  |        |  |
| 2        | 2          | -           | -            |            |           |  |       |     |     |  |        |  |
| 3        | 3          | -           | -            |            |           |  |       |     |     |  |        |  |
| 4        | 4          | -           | -            |            |           |  |       |     |     |  |        |  |
| 5        | 5          | -           | -            |            |           |  |       |     |     |  |        |  |
| 6        | 6          | -           | -            |            |           |  |       |     |     |  |        |  |
| 7        | 7          | -           | -            |            |           |  |       |     |     |  |        |  |
| 8        | 8          | -           | -            |            |           |  |       |     |     |  |        |  |
| 9        | 9          | -           | -            |            |           |  |       |     |     |  |        |  |
| 10       | 10         | -           | -            |            |           |  |       |     |     |  |        |  |
| 11       | 11         | -           | -            |            |           |  |       |     |     |  |        |  |
| 12       | 12         | -           | -            |            |           |  |       |     |     |  |        |  |
| 13       | 13         | -           | -            |            |           |  |       |     |     |  |        |  |
| 14       | 14         | ✓           | =            |            |           |  |       |     |     |  |        |  |
| 15       | 15         | ✓           | =            |            |           |  |       |     |     |  |        |  |
| 16       | 16         | ✓           | =            |            |           |  |       |     |     |  |        |  |
| 17       | 17         | ✓           | =            |            |           |  |       |     |     |  |        |  |
| 18       | 18         | ✓           | =            |            |           |  |       |     |     |  |        |  |
| 19       | 19         | ✓           | =            |            |           |  |       |     |     |  |        |  |
| 20       | 20         | ✓           | =            |            |           |  |       |     |     |  |        |  |
| 21       | 21         | ✓           | =            |            |           |  |       |     |     |  |        |  |
| 22       | 22         | ✓           | =            |            |           |  |       |     |     |  |        |  |
| 23       | 23         | ✓           | =            |            |           |  |       |     |     |  |        |  |
| 24       | 24         | ✓           | =            |            |           |  |       |     |     |  |        |  |
| 25       | 25         | ✓           | =            |            |           |  |       |     |     |  |        |  |
| 26       | 26         | ✓           | =            |            |           |  |       |     |     |  |        |  |
| 27       | 27         | ✓           | =            |            |           |  |       |     |     |  |        |  |
| 28       | 28         | ✓           | =            |            |           |  |       |     |     |  |        |  |
| 29       | 29         | ✓           | =            |            |           |  |       |     |     |  |        |  |
| 30       | 30         | ✓           | =            |            |           |  |       |     |     |  |        |  |
| 31       | 31         | ✓           | =            |            |           |  |       |     |     |  |        |  |
| 32       | 32         | ✓           | =            |            |           |  |       |     |     |  |        |  |
| 33       | 33         | ✓           | =            |            |           |  |       |     |     |  |        |  |
| 34       | 34         | ✓           | =            |            |           |  |       |     |     |  |        |  |
| 35       | 35         | ✓           | =            |            |           |  |       |     |     |  |        |  |
| 36       | 36         | <b>√</b>    | =            |            |           |  |       |     |     |  |        |  |

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|                 | Application/Control No. | Applicant(s)/Patent Under Reexamination |
|-----------------|-------------------------|-----------------------------------------|
| Index of Claims | 14109749                | CHU, WILLIAM W. Y.                      |
|                 | Examiner                | Art Unit                                |
|                 | HOSUK SONG              | 2435                                    |

N

Non-Elected

**Appeal** 

| =                                                                                     | Allowed  | ÷          | Res        | tricted |  | Interf | erence |  | 0 | 0 | bjec | ted |
|---------------------------------------------------------------------------------------|----------|------------|------------|---------|--|--------|--------|--|---|---|------|-----|
| ☐ Claims renumbered in the same order as presented by applicant ☐ CPA ☒ T.D. ☐ R.1.47 |          |            |            |         |  |        |        |  |   |   |      |     |
| С                                                                                     | LAIM     |            | DATE       |         |  |        |        |  |   |   |      |     |
| Final                                                                                 | Original | 05/02/2014 | 06/05/2014 |         |  |        |        |  |   |   |      |     |
| 37                                                                                    | 37       | ✓          | =          |         |  |        |        |  |   |   |      |     |
|                                                                                       |          | 7          |            |         |  |        |        |  |   |   |      |     |

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| 58249<br>COOLEY LLF<br>ATTN: Patent C                                                                                            |                                        | /2014                                                         | I he<br>Stat<br>add<br>tran                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Certificate of Mailing or Transmission  I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimila transmitted to the USPTO (571) 273-2885, on the date indicated below. |                                                           |                                                                                         |  |  |  |  |  |
| Suite 700                                                                                                                        | ma Avenue, n w                         |                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | (Depositor's name)                                                                                                                                                                                                                                                                                                                                      |                                                           |                                                                                         |  |  |  |  |  |
| Washington, DC                                                                                                                   | C 20004                                |                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                         |                                                           | (Signature)                                                                             |  |  |  |  |  |
|                                                                                                                                  |                                        |                                                               | L                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                                         |                                                           | (Date)                                                                                  |  |  |  |  |  |
| APPLICATION NO.                                                                                                                  | FILING DATE                            |                                                               | FIRST NAMED INVENTOR                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | . 1                                                                                                                                                                                                                                                                                                                                                     | ATTORNEY DOCKET NO.                                       | CONFIRMATION NO.                                                                        |  |  |  |  |  |
| 14/109,749                                                                                                                       | 12/17/2013                             | •                                                             | William W. Y. Chu                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | •                                                                                                                                                                                                                                                                                                                                                       | ACQI-006/09US                                             | 1237                                                                                    |  |  |  |  |  |
| APPLN. TYPE                                                                                                                      | ENTITY STATUS                          | ISSUE FEE DUE                                                 | FOR COMPUTER MODU  PUBLICATION FEE DUE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | PREV. PAID ISSUE                                                                                                                                                                                                                                                                                                                                        | FEE TOTAL FEE(S) DUE                                      | E DATE DUE                                                                              |  |  |  |  |  |
| nonprovisional                                                                                                                   | UNDISCOUNTED                           | \$960                                                         | \$0                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | \$0                                                                                                                                                                                                                                                                                                                                                     | \$960                                                     | 10/21/2014                                                                              |  |  |  |  |  |
|                                                                                                                                  |                                        | ,                                                             | •                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | ა∪<br><b>1</b>                                                                                                                                                                                                                                                                                                                                          | \$900                                                     | 10/21/2014                                                                              |  |  |  |  |  |
| EXAM                                                                                                                             |                                        | ART UNIT                                                      | CLASS-SUBCLASS                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | ]                                                                                                                                                                                                                                                                                                                                                       |                                                           |                                                                                         |  |  |  |  |  |
| SONG,                                                                                                                            | HOSUK                                  | 2435                                                          | 726-016000                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                                                                                                         |                                                           |                                                                                         |  |  |  |  |  |
| CFR 1.363).  Change of corresp Address form PTO/SI  "Fee Address" ind PTO/SB/47; Rev 03-(Number is required.  3. ASSIGNEE NAME A | ND RESIDENCE DATA                      | nge of Correspondence I Indication form Ed. Use of a Customer | 2. For printing on the p  (1) The names of up to or agents OR, alternati (2) The name of a sing registered attorney or a registered patent will be a registered patent will account to the register of the reg | o 3 registered patent<br>vely,<br>le firm (having as a<br>agent) and the name<br>rrneys or agents. If n<br>printed.                                                                                                                                                                                                                                     | attorneys 1 Cooley I  member a so of up to oo name is 3   | document has been filed for                                                             |  |  |  |  |  |
| recordation as set fort  (A) NAME OF ASSI-  ACQIS LLC                                                                            | th in 37 CFR 3.11. Comp                | eletion of this form is NO                                    | T a substitute for filing an  (B) RESIDENCE: (CITY  MCKINNEY, TE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | assignment.  Y and STATE OR CO                                                                                                                                                                                                                                                                                                                          |                                                           | ocument has been filed to                                                               |  |  |  |  |  |
|                                                                                                                                  | riate assignee category or             | categories (will not be pr                                    | ,                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                                         | rporation or other private gr                             | roup entity 🗖 Government                                                                |  |  |  |  |  |
| 4a. The following fee(s)  ✓ Issue Fee  ✓ Publication Fee (N  ✓ Advance Order - #                                                 | No small entity discount p             |                                                               | A check is enclosed.  Payment by credit car                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | rd. Form PTO-2038                                                                                                                                                                                                                                                                                                                                       | ge the required fee(s), any d                             |                                                                                         |  |  |  |  |  |
| Applicant certifying                                                                                                             | ng micro entity status. Se             | e 37 CFR 1.29                                                 | fee payment in the micro                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | entity amount will r                                                                                                                                                                                                                                                                                                                                    | Entity Status (see forms PT not be accepted at the risk o | f application abandonment.                                                              |  |  |  |  |  |
|                                                                                                                                  | g small entity status. See             |                                                               | NOTE: If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status.  NOTE: Checking this box will be taken to be a notification of loss of entitlement to small or micro                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                                         |                                                           |                                                                                         |  |  |  |  |  |
|                                                                                                                                  |                                        |                                                               | entity status, as applicables. See 37 CFR 1.4 for sign                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | e.                                                                                                                                                                                                                                                                                                                                                      |                                                           | recinent to small of fillero                                                            |  |  |  |  |  |
| NOTE: THIS FORM MUSE!                                                                                                            | e signed in accordance v               | /IIII 5 / CFK 1.31 and 1.3.                                   | 3. 3ee 3/ CFK 1.4 for sign                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | ature requirements a                                                                                                                                                                                                                                                                                                                                    | ши сегинсацопѕ.                                           |                                                                                         |  |  |  |  |  |
| 9                                                                                                                                | /William S. Gallia                     |                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Date July 29                                                                                                                                                                                                                                                                                                                                            | 9, 2014                                                   |                                                                                         |  |  |  |  |  |
| Typed or printed nam                                                                                                             | <sub>le</sub> <u>William S. Gallia</u> | ani                                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Registration No33,885                                                                                                                                                                                                                                                                                                                                   |                                                           |                                                                                         |  |  |  |  |  |

| Electronic Patent Application Fee Transmittal |                                                      |          |          |        |                         |  |  |
|-----------------------------------------------|------------------------------------------------------|----------|----------|--------|-------------------------|--|--|
| Application Number:                           | 14109749                                             |          |          |        |                         |  |  |
| Filing Date:                                  | 17-Dec-2013                                          |          |          |        |                         |  |  |
| Title of Invention:                           | DATA SECURITY METHOD AND DEVICE FOR COMPUTER MODULES |          |          |        |                         |  |  |
| First Named Inventor/Applicant Name:          | William W. Y. Chu                                    |          |          |        |                         |  |  |
| Filer:                                        | William S. Galliani/Bobbie Jutras                    |          |          |        |                         |  |  |
| Attorney Docket Number:                       | ACQI-006/09US 310578-2079                            |          |          |        |                         |  |  |
| Filed as Large Entity                         | Filed as Large Entity                                |          |          |        |                         |  |  |
| Utility under 35 USC 111(a) Filing Fees       |                                                      |          |          |        |                         |  |  |
| Description                                   |                                                      | Fee Code | Quantity | Amount | Sub-Total in<br>USD(\$) |  |  |
| Basic Filing:                                 |                                                      |          |          |        |                         |  |  |
| Pages:                                        |                                                      |          |          |        |                         |  |  |
| Claims:                                       |                                                      |          |          |        |                         |  |  |
| Miscellaneous-Filing:                         |                                                      |          |          |        |                         |  |  |
| Petition:                                     |                                                      |          |          |        |                         |  |  |
| Patent-Appeals-and-Interference:              |                                                      |          |          |        |                         |  |  |
| Post-Allowance-and-Post-Issuance:             |                                                      |          |          |        |                         |  |  |
| Utility Appl Issue Fee                        |                                                      | 1501     | 1        | 960    | 960                     |  |  |
| Extension-of-Time:                            |                                                      |          |          |        |                         |  |  |

| Description    | Fee Code          | Quantity | Amount | Sub-Total in<br>USD(\$) |
|----------------|-------------------|----------|--------|-------------------------|
| Miscellaneous: |                   |          |        |                         |
|                | Total in USD (\$) |          | 960    |                         |
|                |                   |          |        |                         |

| Electronic Acl                       | knowledgement Receipt                                |
|--------------------------------------|------------------------------------------------------|
| EFS ID:                              | 19717569                                             |
| Application Number:                  | 14109749                                             |
| International Application Number:    |                                                      |
| Confirmation Number:                 | 1237                                                 |
| Title of Invention:                  | DATA SECURITY METHOD AND DEVICE FOR COMPUTER MODULES |
| First Named Inventor/Applicant Name: | William W. Y. Chu                                    |
| Customer Number:                     | 58249                                                |
| Filer:                               | William S. Galliani/Bobbie Jutras                    |
| Filer Authorized By:                 | William S. Galliani                                  |
| Attorney Docket Number:              | ACQI-006/09US 310578-2079                            |
| Receipt Date:                        | 29-JUL-2014                                          |
| Filing Date:                         | 17-DEC-2013                                          |
| Time Stamp:                          | 16:34:11                                             |
| Application Type:                    | Utility under 35 USC 111(a)                          |

### **Payment information:**

| Submitted with Payment                   | yes             |
|------------------------------------------|-----------------|
| Payment Type                             | Deposit Account |
| Payment was successfully received in RAM | \$960           |
| RAM confirmation Number                  | 3041            |
| Deposit Account                          | 501283          |
| Authorized User                          |                 |

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

| File Listing:                 |                              |                                              |                                              |                     |                     |  |
|-------------------------------|------------------------------|----------------------------------------------|----------------------------------------------|---------------------|---------------------|--|
| Document<br>Number            | Document Description         | File Name                                    | File Size(Bytes)/<br>Message Digest          | Multi<br>Part /.zip | Pages<br>(if appl.) |  |
| 1 Issue Fee Payment (PTO-85B) | ACQI-006-09US_2014-07-29_lss | 702784                                       | no                                           | 1                   |                     |  |
|                               | issue ree rayment (r 10 055) | ueFeeTransmittal.PDF                         | 3bde74f771a0ec5ee060058e2027438eeea<br>e40b1 | 110                 | •                   |  |
| Warnings:                     |                              |                                              |                                              |                     |                     |  |
| Information:                  |                              |                                              |                                              |                     |                     |  |
| 2 Fee Worksheet (SB06)        | 6 . 6 . 16                   | 30890                                        |                                              |                     |                     |  |
|                               | fee-info.pdf                 | 3e7cfd098b0130ea3f0157a29066e75361a2<br>106b | no                                           | 2                   |                     |  |
| Warnings:                     |                              |                                              | •                                            |                     |                     |  |
| Information:                  |                              |                                              |                                              |                     |                     |  |
|                               |                              | Total Files Size (in bytes):                 | 73                                           | 33674               |                     |  |

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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

|                                                          | Substitute for form: 1449A/PTO | Complete if Known      |                           |  |  |
|----------------------------------------------------------|--------------------------------|------------------------|---------------------------|--|--|
|                                                          |                                | Application Number     |                           |  |  |
|                                                          | INFORMATION DISCLOSURE         | Filing Date            | December 17, 2013         |  |  |
| STATEMENT BY APPLICANT (Use as many sheets as necessary) |                                | First Named Inventor   | William W. Y. Chu         |  |  |
|                                                          | Art Unit                       |                        |                           |  |  |
|                                                          | Examiner Name                  |                        |                           |  |  |
| 08                                                       | 3 6                            | Attorney Docket Number | ACOI-006/09US 310578-2079 |  |  |

#### U. S. PATENT DOCUMENTS Cite No. Examiner Document Number **Publication Date** Name of Patentee or Pages, Columns, Lines, Where MM-DELYVYY Applicant of Cited Document initials' Relevant Passages or Palavant Number-Kind Code<sup>2 (8 totos)</sup> Figures Appear /H.S./ 2001-03-13 us- 6202115 Khosrowpour 81 us- 6202169 2001-03-13 Razzaghe-Ashrafi et al. 81 2001-04-10 us- 6216185 Chu 81 us- 6226700 2001-05-01 Wandler et al. us- 6256689 2001-07-03 Khosrowpour 2001-07-24 us- 6266539 Pardo 2001-10-09 Krull et al. us- 6301637 81 us- 6304895 2001-10-16 Schneider et al. 81 us-6311268 2001-10-30 Chu us-6314522 2001-11-06 Chu 2001-11-20 os: 6321277 81 Andresen et al us- 6321335 2001-11-20 Chu 08-6324605 2001-11-27 Rafferty et al. us- 6332180 81 2001-12-18 Kauffman et al. us- 6345330 2001-08-02 Chu 2002-02 Change(s) applied us-6366951 81 2002-04-02 Schmidt to documer 06-6378009 81 2002-04-23 Pinkston, II et al. /R.M.L./ us- 6401124 81 2002-06-04 Yang et al. 8/7/2014 us- 6452790 2002-09-17 Chu us- 6453344 81 2002-09-17 Ellsworth et al. 81 2002-10-01 us- 6460106 Stufflebeam, Ken us- 6477593 81 2002-11-05 Khosrowpour et al us- 6487614 82 2002-11-26 Nobutani et al. us- 6549966 81 2003-04-15 Dickens et al. us- 6643777 2003-11-04 Chu US- 6718415 81 2004-04-06 Chu us: 7099981 2006-08-29 Chu us-7146446 82 2006-12-05 Chu

| ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | *************************************** |                  | •;•••••••••••••••••••• | ;   |
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| 2 confinentiation 1                     | /mosuk conu/                            | E programme esca | 00/02/2017             | - 3 |
|                                         | $\omega$                                |                  | Å                      | 3   |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant, "Applicant's unique citation designation number (optional), "See Kinds Codes of USPTO Patent Documents at <a href="mailto:seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-seex-used-Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. "Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. "Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office. P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



### UNITED STATES PATENT AND TRADEMARK OFFICE

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 APPLICATION NO.
 ISSUE DATE
 PATENT NO.
 ATTORNEY DOCKET NO.
 CONFIRMATION NO.

 14/109,749
 09/16/2014
 RE45140
 ACQI-006/09US 310578-2079
 1237

58249 7590 08/27/2014

COOLEY LLP ATTN: Patent Group 1299 Pennsylvania Avenue, NW Suite 700 Washington, DC 20004

#### **ISSUE NOTIFICATION**

The projected patent number and issue date are specified above.

#### Determination of Patent Term Extension or Adjustment under 35 U.S.C. 154 (b)

A reissue patent is for "the unexpired part of the term of the original patent." See 35 U.S.C. 251. Accordingly, the above-identified reissue application is not eligible for Patent Term Extension or Adjustment under 35 U.S.C. 154(b).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Acqis LLC, McKinney, TX, Assignee (with 37 CFR 1.172 Interest); William W. Y. Chu, Los Altos, CA;

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The USA offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to encourage and facilitate business investment. To learn more about why the USA is the best country in the world to develop technology, manufacture products, and grow your business, visit <u>SelectUSA.gov</u>.