UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

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UNIFIED PATENTS, LLC)

Petitioner) IPR2022-01086

v.

VL COLLECTIVE IP LLC)

Patent Owner)

----)

U.S. Patent 8,605,794
PETITION FOR INTER PARTES REVIEW OF

U.S. PATENT 8,605,794

Oral sworn testimony of DR. IMMANUEL FREEDMAN, taken stenographically before LISA FORLANO, Certified Court Reporter, Certified Realtime Reporter, Registered Merit Reporter and Notary Public, at the Courtyard by Marriott Philadelphia Lansdale, 1737 Sunneytown Pike, Board Room, Lansdale, Pennsylvania, on Wednesday, March 22, 2023, commencing at 8:10 a.m.

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3	WITNESS	PAGE
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10	of U.S. Patent 8,605,794	
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1	DR. IMMANUEL FREEDMAN, having been	
2	duly sworn, was examined and testified as	
3	follows:	
4	BY MR. CARDENAS-NAVIA:	
5	Q Could you please state your name	
6	for the record?	
7	A Immanuel Freedman.	
8	Q And where do you currently work?	
9	A I'm employed by Takeda	
10	Pharmaceuticals, and I have a small consulting	
11	company named Freedman Patents.	
12	Q And have you had your deposition	
13	taken before?	
14	A Yes.	
15	Q How many times?	
16	A About ten, I think.	
17	Q And you've probably heard this	
18	before, but I'll quickly go over some of the	
19	ground rules.	
20	So that the court reporter can	
21	make sure to take down all of your testimony,	
22	can you please be sure to answer verbally	

	Page 5
1	instead of by nodding your head or shaking your
2	head?
3	A Yes.
4	Q Thank you.
5	And again, so that it's easier for
6	the court reporter, could you please make sure
7	that we do not talk over one another?
8	A I'll ensure to do so.
9	Q Okay. And I'll do my best to do
10	the same.
11	If I'm going to be, of course,
12	asking you a series of questions today. If at
13	any point you don't understand a question,
14	please just ask me for a clarification, and
15	I'll do my best to clarify. Okay?
16	A Okay.
17	Q It's your attorney may object
18	to some of my questions. If he objects, you
19	still must answer my question, unless he
20	instructs you not to.
21	Do you understand?
22	A Yes.

Page 6 And if at any time today you want 1 0 to take a break, just let me know. As long as 2 we're not in the middle of a question, we'll go 3 ahead and take a break. 4 5 Α Okay. Do you understand that you are 6 under a duty to provide testimony that is 7 8 truthful and complete? 9 Α Yes. 10 Is there any reason why you cannot give testimony that's truthful and complete 11 12 today? 13 Α No. 14 Q Great. 15 How many IPR proceedings have you 16 been involved with? 17 Α You're asking me to recall from 18 memory, so I think it is probably now of the 19 order of somewhere between 12 and 15, but that's only an approximate recall. 20 21 Okay. And that's -- there I said 0 the word "IPR." You understand that I'm 2.2

Page 7 referring to an inter partes review? 1 2 Yes, I understand that. Α How many IPR proceedings have you 3 0 been involved with on behalf of Unified 4 5 Patents? The majority of those total of 15 6 Α IPRs have been with Unified Patent; although I 7 8 can't give you an exact number from memory. Okay. And which other parties 9 Q 10 have you served as their expert for an IPR proceeding? 11 12 More recently there's a law firm called PB Law. 13 14 And you're speaking about IPR specifically? 15 16 0 Yes. 17 Α I seem -- I think I have been retained by Finnegan on a case for which I've 18 been retained but not yet provided a 19 20 declaration. 21 Okay. And just to make sure, you 0 2.2 know, I don't want to get into anyone's

- 1 confidential information. So I guess I'm only
- 2 asking about things that are sort of publicly
- 3 known where you've made an appearance of some
- 4 sort.
- 5 A Okay. And how far back are you
- 6 asking this question? Are you asking within
- 7 the scope of Rule 26? Is that what you're
- 8 asking about?
- 9 STENOGRAPHER: Of what 26?
- 10 THE WITNESS: Rule 26.
- Is that what you're asking about?
- 12
- 13 BY MR. CARDENAS-NAVIA:
- 14 Q I don't know what you mean,
- 15 "Rule 26." IPR proceedings have been around
- 16 for about ten years or so --
- 17 A Oh.
- 19 remember.
- 20 A What I mean is the obligation to
- 21 disclose cases in which there has been expert
- 22 testimony, oral or by declaration, in the

- 1 previous five years. That's what I meant,
- 2 federal or --
- 3 Q I see. I'm asking for broader
- 4 than that. As far back as you can remember.
- 5 A Oh, goodness me. There have been
- 6 a lot of cases, but not -- not all IPRs. Most
- 7 of the IPR stuff started with Unified.
- 8 There was a previous case
- 9 involving a bank, and Mitek Systems versus I
- 10 think it was USAA also had an IPR in it.
- 11 Before that, there were other cases, but they
- 12 were not IPRs.
- 13 Q Okay. Have you ever testified at
- 14 trial?
- 15 A I've testified in public hearings,
- 16 but not formally at trial.
- 17 Q What public hearings have you
- 18 testified at?
- 19 A Okay. So the first case in which
- 20 I was retained, I was retained by the IRS in a
- 21 case involving the research tax credit. The
- 22 credit for increasing research --

	Page 10	
1	STENOGRAPHER: Wait a minute.	
2	Involving the research task?	
3	THE WITNESS: Research research	
4	tax credit.	
5	STENOGRAPHER: Tax credit.	
6	THE WITNESS: Yes, the credit for	
7	increasing research.	
8	And I participated in hearings	
9	with the administrative judge, IRS	
10	administrative judge, and the opposing	
11	experts, and that's as close as I have	
12	come to actually testifying in a trial.	
13	BY MR. CARDENAS-NAVIA:	
14	Q When did you first begin providing	
15	expert consultant services for patent cases?	
16	A For patent cases? It's in the	
17	order of the 2001-2002 time frame. The actual	
18	first patent case was ACTV and HyperTV versus	
19	Disney, ABC, and ESPN. ACTV and HyperTV were	
20	the plaintiffs and Disney, et al., you know,	
21	were the counterclaim plaintiffs.	
22	Q And which party were you providing	

	Page 11
1	testimony on behalf of?
2	A Disney.
3	Q Have you ever been retained to
4	provide testimony or to provide expert
5	consulting services on behalf of a patent
6	owner?
7	A It's probably been about equal,
8	patent owners and you know, equal plaintiffs
9	and defendants. Sometimes, and very often, the
10	defendants are counterclaim plaintiffs, so you
11	tend to get both sides in the case.
12	Q Are you the named inventor on any
13	patents?
14	A Yes.
15	Q Approximately how many?
16	A So if we speak about patent
17	families rather than patents, I am the named
18	inventor on a granted patent for a dosing
19	regimen for a pharmaceutical compound, and I
20	applied for a patent in my own name on a
21	technical system for rapidly predicting the
22	outcome of clinical trials, which relates to

- 1 mathematical modeling and high-speed computers.
- 2 Apart from that, I'm not a named inventor on
- 3 any patent.
- 4 Q And you said you applied for a
- 5 patent in your own name. What do you mean by
- 6 that?
- 7 A Oh, what I -- what I mean is that
- 8 I applied pro se for a -- for a patent. I
- 9 filed the application, and based on the
- 10 examiner's response decided that I would
- 11 abandon the application. But I filed it in my
- 12 own name and -- but with the benefit of the
- 13 knowledge that I gained by studying for and
- 14 passing the patent bar exam.
- 15 Q Okay. Thank you.
- I'm going to hand you a document
- 17 that will be marked as Exhibit A.
- 18 (Petition for Inter Partes Review
- of U.S. Patent 8,605,794 was marked
- 20 Exhibit A for identification.)
- 21 BY MR. CARDENAS-NAVIA:
- Q Do you recognize Exhibit A?

	Page 13
1	A I think I may have seen Exhibit A
2	before.
3	Q What is Exhibit A?
4	A Exhibit A is the Petition for
5	Inter Partes Review.
6	Q Have you reviewed this document
7	before?
8	A I very probably have. We're
9	speaking about events that happened months ago,
10	but I think I have seen the petition, and I
11	think I reviewed it in conjunction with the
12	development of my declaration.
13	Q So you're not completely sure if
14	you've reviewed the Exhibit A before?
15	A I'm sure I reviewed it. I'm being
16	naturally cautious because you're asking me to
17	recall events from memory.
18	Q Okay. Well, you understand that
19	we're here to get your testimony about your
20	declaration in support of this petition for
21	inter partes review, correct?
22	A Correct.

	Page 14	
1	Q And so, did you review Exhibit A?	
2	A Let's look at what Exhibit A is.	
3	The answer is yes, I've reviewed Exhibit A.	
4	Q Okay. Thank you.	
5	And just to I think this is	
6	probably clear, but this is the Petition for	
7	Inter Partes Review of U.S. Patent 8,605,794;	
8	is that right?	
9	A That's right.	
10	Q And it's for IPR2022-01086?	
11	A Correct.	
12	Q When was the last time you	
13	reviewed Exhibit A?	
14	A Well, since the declaration was	
15	filed approximately nine months ago, I would	
16	not have looked at this petition more recently	
17	than that.	
18	Q Okay. You did not look at it in	
19	preparation for today's deposition?	
20	A No. In preparation for today's	
21	deposition I focused only on my declaration and	
22	the patents-in-suit.	

	Page 15	
1	STENOGRAPHER: And the patent?	
2	THE WITNESS: The patents in this	
3	suit, the patents at issue.	
4	BY MR. CARDENAS-NAVIA:	
5	Q And by "patents at issue," do you	
6	mean the U.S. Patent 8,605,794?	
7	A 8,605,794 and the reference the	
8	patents that form the reference grants.	
9	Q Okay. You can go ahead and put	
10	the petition to the side for one moment.	
11	I'm going to hand you a document	
12	that's marked as Exhibit 1005.	
13	Do you recognize this document?	
14	A Let's make sure what the document	
15	is.	
16	Q Of course.	
17	A The document appears to be my	
18	declaration in support of the petition for IPR	
19	for U.S. Patent 8,605,794.	
20	Q And when is the last time you	
21	reviewed this document?	
22	A Yesterday.	

		Page 16
1	Q	Let me go ahead and hand you two
2	additional e	xhibits.
3		I'm going to hand you what's been
4	previously m	arked as Exhibit 1001.
5		Do you recognize Exhibit 1001?
6	A	Yes. This is a copy of the patent
7	8,605,794.	
8	Q	And I'm going to refer to this
9	document as	the '794 patent. Is that okay?
10	A	That is okay.
11	Q	And when was the last time you
12	reviewed thi	s document?
13	A	Yesterday.
14	Q	You can put that to the side for
15	one second.	
16		I'm going to hand you a document
17	that's been	previously marked as 1003.
18		Do you recognize Exhibit 1003?
19	A	It appears to be a copy of a
20	patent to So	nohara, et al., at
21	Patent 5,627	,656.
22	Q	I'm going to refer to Exhibit 1003

		Page 17
1	as Sonohara.	Is that okay?
2	А	That is okay.
3	Q	When was the last time you
4	reviewed Son	ohara?
5	А	Yesterday.
6	Q	What did you do to prepare for
7	today's depo	sition?
8	А	Jon and I worked together
9	yesterday to	review my declaration and discuss
10	some positio	ns that we have.
11	Q	And just for the clarity, you said
12	"Jon." Who	is Jon?
13	А	Jon is Jonathan Bowser, retaining
14	counsel.	
15	Q	Did you meet with anyone else
16	yesterday to	prepare for today's deposition?
17	А	No.
18	Q	Did you have any other meetings to
19	prepare for	today's deposition?
20	А	No, we didn't.
21	Q	Besides
22	А	Oh, I should say you asked did

- 1 I meet with another person. Not in -- not in
- 2 person, but we did have a Zoom conference call
- 3 with Raghav Bajaj, who was the original
- 4 retaining counsel.
- 5 Q Okay. Did you speak with anyone
- 6 else in preparation for today's deposition?
- 7 A No.
- 8 Q Besides counsel, did you speak
- 9 with anyone from Unified Patents about your
- 10 work related to this IPR proceeding?
- 11 A No. I mean, I've spoken to
- 12 counsel, but I don't even think that any other
- 13 person from Unified was involved or that I had
- 14 ever discussed this one with them.
- 15 Q Are you familiar with the video
- 16 streaming company Netflix?
- 17 A Yes, I am.
- 18 Q Have you spoken with anyone
- 19 affiliated with Netflix in relation to this IPR
- 20 petition?
- 21 A No.
- 22 O Are you familiar with the video

Page 19 stream company -- video streaming company STARZ 1 2 Entertainment? 3 A Yes. Have you spoken with anyone 4 affiliated with STARZ in relation to this IPR 5 proceeding? 6 7 Α No. 8 0 Besides the exhibits we've already discussed, are there any other documents you 9 reviewed in preparation for today? 10 11 No. Α 12 Could you get your declaration, Exhibit 1005, back in front of you. 13 14 Α Yes. 15 Please turn to pages -- I think 16 you can open it so that you can see pages 2 17 and 3. 18 Α Yes. 19 And you can see from the headings 20 that certain claims of the '794 patent are identified and addressed in your declaration; 21 is that correct? 2.2

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Page 20 Yes. 1 Α And certain claims of the '794 2 0 patent are not addressed in your declaration, 3 is that also correct? 4 5 This appears to be correct. Α Why is it that certain claims were 6 0 addressed in your declaration but not others? 7 8 Α I would have to review those claims to be absolutely sure, but one of the 9 10 kinds of reasons that suggests themselves to me is that it is normal to review independent 11 12 claims before any dependent claims, but beyond that I will have to look at the patent and try 13 14 to recall why we addressed some claims and not 15 others. 16 All right. Let's go ahead and do 17 that. If you would look at Exhibit 1001, which is the '794 patent, and turn to the back where 18 the claims are. Let me know when you're there. 19 20 Α Okay. And, for example, do you see 21 claim 2 of the '794 patent? 2.2

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		Page 21
1	А	Yes.
2	Q	And do you see that it's not
3	addressed in	your declaration?
4	А	I see that.
5	Q	Do you know why that is?
6	А	Well, firstly, it's a dependent
7	claim, and i	t depends from claim 1, and if
8	claim 1 is r	not valid, claim 2 won't be valid
9	either.	
10	Q	Is that your understanding that if
11	an independe	ent claim is invalid, then the
12	dependent cl	aims are also invalid?
13	А	That's my general understanding.
14	Q	All right. Could you take a look
15	at claim 16?	
16	А	Yes.
17	Q	And do you see that claim 16 is a
18	claim that w	vas that is in the '794 patent
19	but was not	addressed by your declaration?
20	А	I see that.
21	Q	Do you know why that is?
22	А	Again, it is again, it is a

- 1 dependent claim, and if claim 1 is not valid,
- 2 well, claim 16 won't be valid, either.
- 3 Q Is there any other reason why you
- 4 didn't address claim 16 in your declaration?
- 5 A I don't recall. You're asking me
- 6 about events more than nine months ago.
- 7 Q Have you ever analyzed claim 16 of
- 8 the '794 patent?
- 9 A Not that I can recall.
- 10 O Again, looking at pages 2 and 3 of
- 11 your declaration, Exhibit 1005, you see that
- 12 you addressed the references Sonohara and
- 13 Abbott; is that right?
- 14 A That's right.
- 15 Q Did you assess any other
- 16 references in putting together your
- 17 declaration?
- 18 A No, I didn't.
- 19 Q If you can, turn to page 4 of your
- 20 declaration. Do you see in paragraph 1, the
- 21 second sentence, you say, "I am being
- 22 compensated at my usual and customary rate for

- 1 the time I spend in connection with this IPR."
- 2 A Yes.
- 3 Q What is your usual and customary
- 4 rate?
- 5 A Well, it actually has depended a
- 6 little bit on the case, but in this particular
- 7 case, this rate is \$250 an hour.
- 8 Q What has your rate been in other
- 9 cases?
- 10 A The highest rate in which I've
- 11 been retained is \$600 an hour. In some cases
- 12 I've been retained at \$400 an hour, and in
- 13 others 275 an hour.
- 14 Q And why is it that your rate for
- 15 this case is \$250 an hour?
- 16 A The primary reason is -- is to do
- 17 with the way that the Unified Patent
- 18 organization compensates experts. If I asked
- 19 for much more than that, or more than -- more
- 20 than the recent rate of 270, I may not have
- 21 been given the case.
- 22 O I see.

Page 24 So Unified Patent, to some degree, 1 set the -- set the rate? 2 They set a maximum rate, yes. 3 Α Could you turn to page 21 of your 4 declaration, and specifically to paragraph 46? 5 6 Α Yes. 7 And you say, "In order to construe 8 the claims, I've reviewed the entirety of the '794 Patent along the prosecution history of 9 10 the '794 Patent." 11 That's correct. Α 12 And I think it should say "along with the prosecution history of the '794 13 14 patent"? 15 Yes. So you asked about what I Α reviewed, and I had forgotten I had already 16 17 also reviewed the prosecution history of the '794 patent. It would be very unusual for me 18 to review the patent and its prosecution 19 20 history together. 21 STENOGRAPHER: Unusual or usual? 2.2 THE WITNESS: It would be usual

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- 1 for me to do so.
- 2 BY MR. CARDENAS-NAVIA:
- 3 Q And did you review the entire
- 4 prosecution history of the '794 patent?
- 5 A These prosecution histories are
- 6 usually lengthy, but I try to review at least
- 7 what I believe to be the pertinent parts of the
- 8 prosecution history. There's a lot of
- 9 administrative detail in such prosecution
- 10 history, but there can be important information
- 11 in that.
- 12 Q So which parts of the prosecution
- 13 history did you review?
- 14 A Well, I reviewed the -- I reviewed
- 15 the whole file, but you're trying -- you're
- 16 asking me to recall what precisely I reviewed.
- 17 I will tell you what I would customary review
- is examiner responses, applicant abandonments.
- 19 I would normally be concerned -- because I have
- 20 some background knowledge, I would normally be
- 21 concerned about definitions, constructions,
- 22 prosecution history, estoppel, the kinds of

- 1 things that might -- that might bear on the --
- 2 on the claim construction or understanding of
- 3 the claims.
- 4 Q When did you last review the
- 5 prosecution history of the '794 patent?
- 6 A Almost certainly more than -- more
- 7 than nine months ago. I did not review it
- 8 yesterday.
- 9 O I want to look at the next
- 10 sentence in paragraph 46. It says, "Consistent
- 11 with the '794 Patent disclosure, I have
- 12 interpreted the terms in the Challenged Claims
- 13 according to their plain and ordinary meaning
- 14 as understood by a POSITA, and considered any
- 15 explicit definitions provided by the
- 16 specification of the '794 Patent, where
- 17 applicable."
- Do you see that?
- 19 A Yes, I do.
- Q Were there any explicit
- 21 definitions in the specification of the
- 22 '794 patent that you considered?

- 1 A Well, you're asking me to
- 2 construct -- reconstruct my -- my thought
- 3 processes from memory. And really -- really we
- 4 would need to go through the patent and see --
- 5 or my declaration and see exactly what was --
- 6 what was construed and why it -- and why it was
- 7 construed. I do not recall the precise details
- 8 of -- of those things. Any information that's
- 9 relevant is in my declaration.
- 10 O Okay. It sounds like you would
- 11 need to review materials more, but I guess
- 12 right now, based on memory, you don't know if
- 13 there are any explicit definitions in the '794
- 14 patent?
- 15 A Just pulling it from memory alone,
- 16 I don't know.
- 17 Q Okay. And I'll note that where we
- 18 were reading from is Section VI of your
- 19 declaration titled "Claim Construction."
- Do you see that back on page 20?
- A Yes.
- 22 O And in this section there are no

- 1 express claim constructions that you applied
- 2 that are identified, correct?
- 3 A That's correct. Yes.
- 4 Q Do you recall if you applied any
- 5 express claim constructions as part of your
- 6 analysis?
- 7 A I don't think so. If I stated
- 8 their plain and ordinary meaning, I really do
- 9 mean plain and ordinary meaning from the
- 10 perspective of the POSITA.
- 11 Q Okay. Could you look at the '794
- 12 patent, Exhibit 1001, and turn to column 2?
- 13 A Okay.
- 14 Q And I'll direct you specifically
- 15 to lines 43 through 46 of column 2. Let me
- 16 know when you're there. It says, "In this
- 17 context the term 'output' is understood to mean
- 18 that first and second data segments are, for
- 19 example, transmitted, stored together or passed
- 20 on to a processing unit."
- 21 Do you see that?
- 22 A I see that.

	Page 29
1	Q Is that an express definition in
2	the '794 patent of the claim term?
3	A It appears to be.
4	Q Did you apply this definition in
5	putting together the analysis in your
6	declaration?
7	A Implicitly, yes.
8	Q What do you mean by "implicitly"?
9	A Well, I mean, it's when we
10	discuss what is what is output, I believe
11	that the analysis in my declaration is
12	consistent with this express definition.
13	However, that is a plain and ordinary meaning
14	of the term "output" as I would see it in
15	context as a POSITA.
16	STENOGRAPHER: In context?
17	THE WITNESS: In context as a
18	POSITA?
19	STENOGRAPHER: POSITA? Is that
20	what you said?
21	THE WITNESS: P-O-S-I-T-A.
22	BY MR. CARDENAS-NAVIA:

22

Page 30 And just for clarity, the acronym 1 0 POSITA, as in paragraph 46 of your declaration, 2 what does POSITA stand for? 3 Person of ordinary skill in the 4 Α 5 art. 6 Q Thank you. Could you now turn to column 4 of 7 8 the '794 patent, Exhibit 1001? 9 Α Yes. 10 And specifically two lines, 14 through 22 of column 4. 11 12 Α Okay. 13 It says, "The term 'content-related' is understood to mean that 14 first and second data segments have a 15 16 syntactical meaning within the respective file 17 such as e.g. a plurality of succeeding second data segments represent the speech signal of a 18 specific speaker within a dialogue sequence. 19 Furthermore, sections of the data file can also 20 be understood thereby, such as e.g. individual 21

images of a video sequence. In the following

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	Page 31
1	description data segments always refer to
2	content-based data segments."
3	Do you see that?
4	A Yes, I do.
5	Q Is that a definition in the '794
6	patent?
7	A It appears to be.
8	Q Did you apply this definition in
9	the analysis in your declaration?
10	A I think this definition is
11	consistent with the analysis in my declaration.
12	Q Well, why did you not identify
13	either of these definitions in your
14	declaration?
15	A They are consistent with the plain
16	and ordinary meaning of that of those terms.
17	There didn't seem to be anything special that
18	was expressed by those definitions that was
19	not did not have a clear, plain, and
20	ordinary meaning to a POSITA. It's not adding
21	anything to the POSITA's knowledge.
22	STENOGRAPHER: Adding anything to

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Page 32
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ead
ular

- 1 the?
- THE WITNESS: POSITA's knowledge.
- 3 BY MR. CARDENAS-NAVIA:
- 4 Q And I want to direct you to again
- 5 lines 14 through 17 of column 4, and I'll read
- 6 it and then kind of focus you on one particular
- 7 part of that.
- 8 It says, "The term
- 9 'content-related' is understood to mean that
- 10 first and second data segments have a
- 11 syntactical meaning within the respective data
- 12 file."
- 13 And my question is what is a
- 14 "syntactical meaning"?
- 15 A In the context of video coding
- 16 standards, the organization and arrangement of
- 17 content, including audio and video segments and
- 18 their encodings, is defined by a syntax which
- 19 determines what is allowed and what isn't
- 20 allowed, what order these elements of the
- 21 syntax are allowed.
- In some standards, they are

Page 33 referred to as NAL units. In other standards, 1 they're simply referred to as elements. But 2 the syntax of the standard is what -- is what 3 determines what order they're allowed to be in 4 and what element of the information they 5 6 represent. STENOGRAPHER: Is it as null units 7 8 or --THE WITNESS: NAL, Network 9 10 Abstraction Layer. 11 MR. CARDENAS-NAVIA: And I want to 12 make sure that gets on the record, so I'll -- I'm not sure that it is; 13 14 otherwise, I'll ask the question. 15 STENOGRAPHER: I got it. 16 BY MR. CARDENAS-NAVIA: 17 0 You mentioned NAL. What is NAL? 18 Α NAL is an abstraction that is specifically used in H.264 and H.265 --19 20 STENOGRAPHER: In what? 21 THE WITNESS: In H.264 and H.265 2.2 and it's referred to as the Network

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1	Abstraction Layer. It's a kind of
2	theoretical layer of syntax that
3	describes the order of syntax elements,
4	such as segments in audio, video, and
5	their allowed sequences, and it and
6	it the elements are specified down to
7	a very fine level of detail, such as
8	I-frames, or P-frames, or various more
9	detailed syntaxes.
10	So it's it's an abstraction of
11	the layout and organization of the data.
12	BY MR. CARDENAS-NAVIA:
13	Q And this would be the organization
14	and layout of the video data or the audio data?
15	A Now it tends to refer primarily to
16	the video data, but it could also reasonably
17	refer to the audio data. There are there
18	are abstractions even above that layer called
19	the Packetized Elementary Stream, but we will
20	be getting into areas that are really not at
21	all relevant to the patent.
22	Q So then turning back to the '794

- 1 patent, it says, "First and second data
- 2 segments have a syntactical meaning within the
- 3 respective data file."
- 4 Could you give an example of that?
- 5 A So one may have some -- at one
- 6 level of abstraction one would have video
- 7 segments, such as groups of pictures, and one
- 8 may have segments of audio data or audio file.
- 9 It principally could be more finely subdivided
- 10 than that, but that's an example of information
- 11 that has a defined syntax according to the
- 12 various standards.
- Q Do you understand -- you've spoken
- 14 of some of examples of syntactical meaning. Do
- 15 you understand the term as used in the '794
- 16 patent to encompass anything else, any other
- 17 requirements?
- 18 MR. BOWSER: Objection to form.
- 19 THE WITNESS: I construe
- 20 "syntactical meaning" to mean a meaning
- 21 defined by syntax, which is a plain
- ordinary meaning.

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1	BY MR. CARDENAS-NAVIA:
2	Q Any syntax?
3	A Specifically and usually the
4	syntax of the standard. The major video coding
5	standards define video and, if they also
6	encompass audio, define audio in terms of a
7	syntax that is agreed by a large number of
8	organizations and accepted as an international
9	standard.
10	Q Are you aware of any other express
11	definitions in the '794 patent?
12	A I don't recall any.
13	Q Could you turn to claim 1 of the
14	'794 patent? I'm directing you here just in
15	case the claim will be helpful, but as a
16	general question about the '794 patent, as used
17	in the '794 patent, what is your understanding
18	of an assignment rule?
19	A Well, I think I address this in my
20	declaration, so give me a moment. I'd like to
21	look.
22	So in the context of this patent,

- 1 and according to the claim, it's an assignment
- 2 rule for assigning each one of the
- 3 content-related second data segments to one of
- 4 the content-related first data segments.
- 5 STENOGRAPHER: First data?
- 6 THE WITNESS: First data segments.
- 7 BY MR. CARDENAS-NAVIA:
- 8 Q Are you done with your answer?
- 9 A I think that's the clearest answer
- 10 I can give.
- 11 Q And to make sure I understood, it
- 12 seems that you read from the end of claim 1?
- 13 That was your answer?
- 14 A Yeah. It seemed to be quite clear
- 15 to me that this is what was intended at the end
- 16 of claim 1.
- 17 Q Could you give me an example of an
- 18 assignment rule?
- 19 A Well, an assignment rule might be
- 20 something like two audio segments for one --
- 21 for one video segment, or vice versa.
- 22 Q Just to provide a little more

- 1 clarity, you say two audio segments for one
- 2 video segment. What do you mean by that?
- 3 A So one example would be for one
- 4 group of pictures interpreted as a video
- 5 segment, there might perhaps be two, or five,
- 6 or ten audio segments that correspond in
- 7 duration to the duration of that group of
- 8 pictures.
- 9 Q And so, then what would the
- 10 assignment rule be in that scenario?
- 11 A The assignment rule may be --
- 12 let's say there were five audio segments to one
- 13 group of pictures. Each group of pictures
- 14 would be associated with five audio segments in
- 15 duration.
- 16 O And that would be the rule?
- 17 A That would be the rule, yes.
- 18 Q Audio data, does it typically have
- 19 time stamps associated with it?
- 20 A It depends on how the data are
- 21 organized. There's been an evolution of
- 22 techniques and technologies over the years from

- 1 MPEG-1 to the most currently advanced
- 2 standardized codecs.
- 3 Sometimes there are time stamps
- 4 associated with this, but very often the audio
- 5 data are simply -- have been historically
- 6 represented as the sequence of bytes in a file.
- 7 More sophisticated technologies can include
- 8 various kinds of time stamps, if needed, but
- 9 the most basic and most simple form, the time
- 10 stamp is not essential.
- 11 Q And I am asking you a little more
- 12 generally, and let me be clear about the time
- 13 frame. I want to ask about the time frame
- 14 around 2005-2006. Okay? And at that time
- 15 frame did most commercial deployments -- in
- 16 most commercial deployments would the audio
- 17 data have time stamps?
- 18 A I'm trying to recall. There was
- 19 certainly a lot of audio data available, for
- 20 instance WAV files, that did not have a time
- 21 stamp. They were simply representations of a
- 22 waveform stored as a sequence of bytes that

- 1 were played out as a constant duration per byte
- 2 and provided a good representation of the
- 3 audio.
- 4 There were beginning to be more
- 5 sophisticated encodings and representations for
- 6 audio data, but I don't recall in that time
- 7 frame whether the -- for example the WAV files
- 8 had completely disappeared.
- 9 Q And my question was in the
- 10 2005-2006 time frame did most commercial
- 11 deployments -- sorry. Let me ask that again.
- 12 In the 2005-2006 time frame would
- 13 the audio data have time stamps in most
- 14 commercial deployments?
- 15 A Commercial deployment is an
- 16 undefined term. Can you please define it more
- 17 clearly?
- 18 Q I quess I'm trying to get at --
- 19 well, let me just not use the word "commercial"
- 20 then.
- In the 2005-2006 time frame did
- 22 most audio data use time stamps?

22

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1	A Well, if you're speaking for the
2	perception, for example, of someone who had
3	who listened to content available on the
4	Internet, there was still a very large number
5	of WAV files circulating on the Internet at
6	that time.
7	More sophisticated encoding
8	techniques slowly came into focus as media
9	began to be distributed in MPEG-1, and later
10	MPEG-2, form. The rise and development of the
11	MP3 format. The rise and development of the
12	Dolby encodings.
13	STENOGRAPHER: Wait a second. The
14	rise and development of the MPEG-3
15	format?
16	THE WITNESS: Of MP3 format.
17	STENOGRAPHER: Go ahead.
18	THE WITNESS: It's an older
19	format. And other more sophisticated
20	audio encodings.
21	And I don't recall in that time

frame whether or not there were explicit

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1	time stamps. Time stamps were beginning
2	to be incorporated formally into the
3	MPEG-2 system, and there was a concept
4	called normal play time that came from
5	DMCC, digital storage media commander
6	control, experiments with the DAVIC-1
7	standard, D-A-V Digital Audio Video
8	Council standards, and they gradually
9	became incorporated into the into the
10	MPEG standards.
11	So there could certainly have been
12	time stamps, but my understanding also is
13	that the time stamps are not essential to
14	the operation of this patent.
15	BY MR. CARDENAS-NAVIA:
16	Q Okay. Well, we'll get to this
17	patent. Let me ask you the same question but
18	for video.
19	In the 2005-2006 time frame, did
20	most video data use time stamps?
21	A It was likely that there were time
22	stamps in streaming media video, yes.

- 1 Q And going back to an assignment
- 2 rule, let's say we had a rule that said play
- 3 all audio data segments so that their time
- 4 stamps align with the time stamps in the
- 5 corresponding video data segments.
- In your view, would that be an
- 7 assignment rule?
- 8 A It could be a type of assignment
- 9 rule.
- 10 Q And you say "it could be." Would
- 11 it depend on something?
- 12 A Well, there's -- you can make up
- 13 whatever rule you please, and there's a
- 14 question of whether that rule can be
- 15 effectively encoded, effectively implemented,
- 16 and therein lies all the questions.
- 17 Q So you're saying that might not be
- 18 a rule that could be workable in practice?
- 19 A I'm saying that it might be very
- 20 challenging and difficult to implement that, as
- 21 such, in practice.
- Q And why would that be?

- 1 A Mostly to do with the complexity
- 2 of the firmware and software required to
- 3 implement such -- such correlations.
- 4 You mentioned the word "commercial
- 5 deployments." At that time, and I think it is
- 6 also still true, manufacturers, especially of
- 7 systems like set-top boxes, were under
- 8 tremendous constraints on cast, on memory, on
- 9 process of power. There were very powerful
- 10 commercial pressures to keep things as simple
- 11 as possible and to provide implementations that
- 12 could be -- while efficient, deliver the lowest
- 13 possible cost.
- 14 Q So you're saying that using time
- 15 stamps to align the audio and the video data
- 16 would be resource intensive?
- 17 A To align every time stamps in the
- 18 audio with every time stamps in the video would
- 19 be resource intensive.
- THE WITNESS: Can we take a break?
- 21 I'd like to use the bathroom for a few
- 22 minutes.

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1	MR. CARDENAS-NAVIA: Sure.
2	(Brief recess.)
3	BY MR. CARDENAS-NAVIA:
4	Q Welcome back.
5	I want to jump ahead a little. If
6	you could, turn to page 73 of your declaration,
7	Exhibit 1005.
8	A You said page 73?
9	Q Page 73, and specifically
10	paragraph 167.
11	And you say, [As read] In the
12	context of the '794 Patent, a timestamp or
13	'time code' would be understood to be an
14	absolute time or time range.
15	How did you come to that
16	understanding?
17	A I'd have to go back through the
18	logic of that, of the of the patent.
19	On the other hand, a time stamp is
20	normally an absolute time stamp in the sense
21	that it is relative to the start of the video
22	sequence.

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1	This is the most this is the
2	most common way of implementing time stamps and
3	time codes in video coding. There's the SMT
4	SMPTE time code concept, and these absolute
5	time stamps are implemented in so far as I
6	know the major video coding standards.
7	Q Are you done?
8	A I'm done.
9	Q Okay. Let's actually turn back to
10	page 53 of your declaration. Let me know when
11	you're there.
12	A Yes. I'm there.
13	Q And do you see the subheading B
14	that starts with "Ground 3 and Ground 4"?
15	A You said page 53? Am I on the
16	right page?
17	Q It should be about two-thirds of
18	the way down the page. It has the subheading
19	indented with the B, and then it starts with
20	"Ground 3 and Ground 4."
21	Do you see that?
22	A Oh, I see it. Yes.

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Page 47 Okay. And this is the section of 1 0 your declaration that addresses the Sonohara 2 reference; is that correct? 3 4 Α Yes. 5 0 And could you now turn to page 55 of your declaration, Exhibit 1005? 6 7 Α Yes. 8 0 And do you see the heading 2 and then sub a)? 9 10 Α Yes. 11 And this is your analysis of 0 claim 1 of the '794 patent with respect to 12 Sonohara; is that right? 13 14 Α Yes. 15 And below that a) heading is -- is 16 the preamble of claim 1 of the '794 patent; is 17 that right? 18 A Yes. 19 In your declaration did you take a position on whether the preamble of claim 1 is 20 21 limiting? 22 A It depends on what I -- what I

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1	said. I don't recall that we made that
2	assessment. I'm looking here to see if we made
3	any statements about it at all.
4	It will be usual for me to conduct
5	the analysis in a way that's agnostic as to
6	whether it is or is not limited.
7	STENOGRAPHER: As to whether it is
8	or is not?
9	THE WITNESS: It is or is not
10	limited.
11	I don't see
12	STENOGRAPHER: What's the last
13	word you said?
14	THE WITNESS: Limited.
15	STENOGRAPHER: Limited.
16	THE WITNESS: I do not see an
17	explicit statement about the preamble
18	being limited here in my declaration.
19	BY MR. CARDENAS-NAVIA:
20	Q Okay. And let me go ahead and
21	read the preamble. It says, "A method for
22	synchronizing content-related first data

- 1 segments of a first data file and
- 2 content-related second data segments of a
- 3 second data file."
- 4 Do you see that?
- 5 A Yes, I see that.
- 6 Q And what is your explanation --
- 7 well, I guess let me ask is it your contention
- 8 that Sonohara meets the limitations of the
- 9 preamble?
- 10 A Yes, it does, specifically with
- 11 respect to Figure 4 defined on page 58 of my
- 12 declaration, assuming that the preamble is
- 13 limiting.
- Of course if the preamble is not
- 15 limiting, it lists them anyway. But figure 4
- 16 is a quite explicit illustration of -- that
- 17 meets the preamble.
- 18 Q Could you explain that?
- 19 A Because this particular
- 20 environment in Sonohara explicitly has two data
- 21 sources, one an image file, presumably
- 22 corresponding to the video segments depicted by

- 1 numeral 11, and a sound file, presumably
- 2 containing audio segments depicted by numeral
- 3 12.
- 4 Q And so, then how are you mapping
- 5 those two elements to the limitations of the
- 6 preamble?
- 7 A Well, if we interpret the preamble
- 8 at the face value, a natural interpretation is
- 9 that the image file corresponds to the first
- 10 data file and the audio file corresponds to the
- 11 second data file. So taking the preamble at
- 12 face value, it could, of course, be vice versa.
- O Okay. And in Figure 4 you see
- 14 that there's also -- well, actually, it's not
- 15 so much in Figure 4.
- So in Sonohara you start with a
- 17 separate image file and a separate sound file
- 18 shown by elements 11 and 12 in Figure 4; is
- 19 that correct?
- 20 A In the embodiment described in
- 21 Figure 4.
- Q Okay. And then part of what's

- 1 described in Sonohara is taking the image data
- 2 and the sound data and putting it into a
- 3 combined file; is that correct?
- 4 A Let me look at the -- Sonohara,
- 5 Figure 7, shows how data may be ordered for
- 6 outputting according to the chronological
- 7 sequence. And whether or not this takes place
- 8 by means of combining it into a single file or
- 9 streaming from these files separately, Sonohara
- 10 meets the -- meets the preamble, whether
- 11 limiting or not, because the embodiment
- 12 expressed in Figure 4 explicitly shows a first
- 13 data file and a second data file.
- 14 Q You didn't answer my question, but
- 15 let me -- let me see if I can kind of walk you
- 16 through this a little bit differently.
- 17 So let's go ahead and look at
- 18 Exhibit 1003, which is Sonohara.
- 19 Do you have that handy?
- 20 A Yes, I have it handy.
- 21 Q And could you turn to column 1?
- 22 A Okay.

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Q And could you go ahead and read
column 1, lines 19 through 28, and let me know
when you're done?
A I'm done.
Q What's described in that part of
Sonohara?
A That part of Sonohara is
describing image data that has been digitized
and in an image file and simultaneously
sounds that are input are converted to to
recorded in an audio file.
So it is referring to two separate
files, an image file and an audio file.
STENOGRAPHER: A what file?
THE WITNESS: An image file and an
audio file.
BY MR. CARDENAS-NAVIA:
Q And then can you continue reading
column 1, lines 29 through 35, and let me know
when you're done?
A I'm done.
Q What's described there?

- 1 A It's -- what's described is the
- 2 reproduction of image and sound in which the
- 3 image data per unit time is read out from the
- 4 image file, and in synchronism the image
- 5 data -- the audio data per unit time is also
- 6 read out from the audio file.
- 7 Q And so that's describing
- 8 reproducing the audio data and image data from
- 9 two separate files synchronistically, correct?
- 10 A Yes.
- 11 Q And keep reading lines 36 through
- 12 38. Actually I'll read it. "However, upon the
- 13 reproduction of the data, the file control is
- 14 intricate because the audio data and the image
- 15 data are read out independently from the two
- 16 files."
- 17 What does it mean when it says
- 18 "the file control is intricate"?
- 19 A Okay. Synchronization is -- is
- 20 not easy, because if you just reproduce the
- 21 video data and the audio data according to the
- 22 storage sequence or chronological sequence,

- 1 small variations in clock rate, the time that
- 2 it takes to retrieve the information from
- 3 storage, to unpack and decompress the data,
- 4 results in different latencies for the audio
- 5 and video data. And without some type of
- 6 synchronization, they would rapidly get so far
- 7 apart that they would no longer appear to be
- 8 synchronous to a -- to a viewer.
- 9 Q And to -- this is my attempt at
- 10 summarizing what you just said, so let me know
- if I get it wrong, but I -- what I understood
- 12 you to be saying was that if you have an image
- data file and an audio data file, and you're
- 14 trying to play them both at the same time and
- 15 provide synchronous content, it -- certainly at
- 16 that time, if you don't have some kind of
- 17 technique to do it well, your audio and your
- images are going to end up out of sync?
- 19 A That's a good summary.
- 20 Q Thank you. Every now and then I
- 21 get it right.
- 22 So let's keep reading then,

- 1 starting at lines 40 through 43. It says,
- 2 "Namely, it is necessary to adjust timings for
- 3 synchronism between the video data and audio
- 4 data. Also, a load imposed on the
- 5 microprocessor is increased. In addition, the
- 6 formation of programs for controlling the two
- 7 files is intricate."
- What is Sonohara saying there?
- 9 A He's saying what I was also trying
- 10 to say some minutes ago, that if you're trying
- 11 to precisely synchronize the audio and the
- 12 video data, you're going to -- it's going to be
- 13 resource intensive, and you're going to have a
- 14 very complicated program that might be
- 15 difficult to implement in practice.
- 16 Q And then column 1 continues at
- 17 lines 44 through 48. "In view of the foregoing
- 18 defects inherent in the prior art, an object of
- 19 the present invention is to provide a motion
- 20 picture reproducing apparatus in synchronism
- 21 with the sound, which apparatus is capable of
- 22 reproducing the video data and audio data

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1	contained in the file in synchronism."
2	Do you see that?
3	A I see that.
4	Q And so, what is Sonohara proposing
5	there?
6	A It is proposing to provide an
7	apparatus that can produce the images or videos
8	in synchronism with the sound. However, I
9	think that he is only proposing a solution that
10	is good enough by standards of the perception
11	of viewers and engineering standards. He's not
12	proposing
13	STENOGRAPHER: And what standards?
14	THE WITNESS: By engineering
15	standards, rather than proposing an
16	absolutely perfect synchronism, which
17	he's already said is resource intensive
18	and requires intricate programming.
19	BY MR. CARDENAS-NAVIA:
20	Q And is part of what Sonohara's
21	proposal includes is that instead of trying to
22	reproduce from two separate data files, you

	Page 57
1	instead produce reproduce from a single data
2	file?
3	MR. BOWSER: Objection. Form.
4	THE WITNESS: Let me read Sonohara
5	for a few moments to make sure that our
6	understanding is the same.
7	Among other things, he proposes
8	one embodiment in which there's a file
9	compiling section I'm reading from
10	column 2, 57
11	STENOGRAPHER: From 22?
12	THE WITNESS: Column 2, lines 57
13	through 65.
14	Wherein the image and sound are
15	replaced by new image data and new sound
16	data and the file compiling section
17	commands the track identifying means and
18	the data identifying means. So the
19	tracking and data identifying numbers
20	which have been given to the old image or
21	sound data are imparted to the new image
22	data or the new sound data.

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1	So he does he does appear to
2	propose one possible embodiment in which
3	the image data and the and the sound
4	data, which were input from data image
5	files and sound files, can be combined in
6	a sequence. He's proposing one
7	embodiment in which they are compiled to
8	a combined file, but it's only one
9	embodiment of his invention.
10	BY MR. CARDENAS-NAVIA:
11	Q Are any embodiments disclosed in
12	Sonohara of his proposed invention and
13	improvement in which there's still two separate
14	data files that are that are synchronized?
15	A It's one it's one
16	implementation. Of course, from an engineering
17	standpoint there are tradeoffs; tradeoffs
18	between writing storage; tradeoffs between use
19	of memory; tradeoffs with the use of the
20	microprocessor to write things into a file that
21	read from them afterwards; to output them
22	sequentially or in chronological sequence on

- 1 the fly. That's an implementation decision.
- 2 It depends on the system you're
- 3 working with what his resources are.
- 4 Q You did not answer my question, so
- 5 let me ask it again.
- 6 A I thought I had.
- 7 Q Let me ask it again.
- 8 Are any embodiments in Sonohara
- 9 disclosed in which there are two separate data
- 10 files and the data is synchronized from those
- 11 two separate data files?
- 12 A Well, the source of -- the source
- of the data are those two separate data files.
- 14 As to what's -- how Sonohara wants to implement
- 15 the synchronism is a question of choice. So I
- 16 do not understand this relevance to the claims
- 17 of the '794 patent.
- 18 Q Can you please answer my question?
- 19 A Sonohara appears to propose, among
- 20 others, an embodiment in which the image and --
- 21 data from the image file and the sound file are
- 22 combined into one file as a result of

Page 60 processing. 1 2 You said "among others." Are 0 there any others in which they're not -- that 3 it's not just a single data file? 4 It says explicitly, "The apparatus 5 Α may further compromise a file compiling 6 section." 7 8 STENOGRAPHER: Wait a minute. I'm sorry. How do I say this? I have to get 9 10 every word, so when you read it, it's a little hard. 11 12 It says explicitly? 13 THE WITNESS: It says in -- in 14 column 2 -- through 65, "The apparatus may further comprise a file compiling 15 16 section for compiling and processing the 17 file." 18 It speaks about a memory means in 19 column 2, 53 through 56, with a main 20 memory and a buffer memory that can store therein image and sound data for at least 21 2.2 several seconds.

	Page 61
1	So there you have at least two
2	embodiments; one where the image and
3	sound data are written together to a file
4	after being sourced from a separate image
5	file and a sound file, and another in
6	which the image and sound data are stored
7	in a memory means that may comprise a
8	main memory, a buffer memory. So you can
9	store them in memory or you could write
10	them to a file, and that's two to me
11	that's two embodiments.
12	BY MR. CARDENAS-NAVIA:
13	Q I'm sorry. Where were you reading
14	from?
15	A I was reading from column 2, 53
16	through 56; and then column 2, 57 through 65.
17	I consider that to be two separate
18	embodiments; one where the information is
19	written together to a file, another where it is
20	stored in memory.
21	Q Let me just try asking this
22	question again, because I've asked it many

Page 62 1 times, and I have yet to get an answer. 2 But do you think that Sonohara discloses an embodiment of the patented -- its 3 patented invention in which the data that's 4 being synchronized, the audio data and the 5 image data, are part of two separate data 6 files? 7 8 MR. BOWSER: Objection. Asked and 9 answered. 10 THE WITNESS: I think -- I think 11 the truth is it has been asked and 12 answered. We know that Sonohara describes an 13 14 embodiment in which the information is 15 sourced from an image file, sourced from 16 a data file. It can then be placed in memory, synchronized, and read out from 17 the memory, or it can be written to a 18 19 file in a combined form and read from 20 that file. 21 But, nonetheless, the information in one of the embodiments can be sourced 2.2

2.2

Page 63 from a separate image file and a separate 1 audio file, and I believe that represents 2 a clear answer to your question. 3 BY MR. CARDENAS-NAVIA: 4 5 It is not. I'm talking not about 0 the sourcing of the image and audio data. I'm 6 talking about the data that is synchronized. 7 8 Does Sonohara ever disclose an embodiment in which the data -- the audio and 9 10 video data that is synchronized is stored in two separate data files before it is at the 11 12 moment of synchronization? In simple terms, I think the 13 14 answer is yes, because it is sourced from an 15 image file -- it can be sourced from an image 16 file, sourced from a sound file, and it's 17 stored in memory, main memory and buffer memory, where it can be synchronized in memory. 18 That's what I think is one of the embodiments 19 20 of Sonohara. And show me in your declaration 21 0

where you describe that.

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- 1 A I'm not sure that this was
- 2 explicitly discussed in the declaration where
- 3 the information was reproduced from a single
- 4 file or was reproduced from the information
- 5 stored in memory. I don't think we addressed
- 6 that.
- 7 Q Can you turn to column 3 of
- 8 Sonohara, lines 21 through 24? It says,
- 9 "Namely, since the image and sound data are
- 10 reproduced in synchronism on a single file 1.
- 11 the number of the file to be controlled may be
- 12 one to simplify the file control and facilitate
- 13 the program formation."
- Do you see that?
- 15 A Yes.
- 16 Q So Sonohara is describing the
- 17 benefits of having the audio and image data in
- 18 a single file instead of two separate data
- 19 files, correct?
- 20 A He's describing the advantage of
- one embodiment, but he hasn't excluded
- 22 reproducing from memory, because this is in the

- 1 context of column 2, 57 through 65, which
- 2 essentially describes the way in which the file
- 3 is produced as optional. It says "may further
- 4 comprise." It doesn't say "must comprise." It
- 5 doesn't say "does comprise." It speaks about
- 6 memory means in the previous paragraph.
- 7 So my interpretation of this is
- 8 while this may be a desire or preferred
- 9 embodiment, it is not the only embodiment.
- 10 O And you've pointed to column 2,
- 11 lines 52 through 56, of Sonohara; is that
- 12 correct?
- 13 A Yes.
- 14 Q Okay. Do you -- I don't think we
- 15 disagree here, but do you think there's any
- 16 other embodiments in Sonohara -- or are there
- 17 any other embodiments in Sonohara that do not
- 18 require reading -- that, in your view, do not
- 19 require synchronizing the audio and video that
- 20 are stored in a single data file?
- 21 A I think -- my interpretation of
- 22 column 2, 52 through 56, is that this

- 1 synchronization could take place from
- 2 information that is stored in a memory and may
- 3 not have been explicitly written to a file.
- 4 Q I see. So you're saying lines 52
- 5 through 56 is describing data that's stored in
- 6 memory, not necessarily two distinct files?
- 7 A The key here is what we mean by a
- 8 file, and data that is stored in blocks of
- 9 memory may or may not be considered to be
- 10 stored in a file. So you have two regions of
- 11 memory. It could be considered to be two files
- 12 that are written to memory, depending on how
- 13 the memory is accessed.
- 14 An embodiment typically in which
- 15 the information is written to the file
- 16 typically would suggest that the information is
- 17 written to some external media from which it is
- 18 read. The subtly here is in what or -- is not
- 19 a file and what is or is not a region of
- 20 memory.
- 21 Q In lines 52 through 56 it talks
- 22 about storing image and sound data for at least

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1	several seconds.
2	Do you see that?
3	A Yes, I do.
4	Q And it talks about a main memory
5	and a buffer memory that would store that data.
6	Do you see that?
7	A Yes, I do.
8	Q And so, what would be stored would
9	be a portion of the image data and the sound
10	data, correct?
11	A This says at least several
12	seconds, depending on how much memory and how
13	much buffer memory are available. It could be
14	more than several seconds, but it says at least
15	several seconds. That could comprise quite a
16	lot of groups of pages.
17	Q Does it describe it comprising the
18	entire image data file and the entire audio
19	data file?
20	A It doesn't appear to be limited
21	that way. If an enormous amount of memory were
22	available and over the course of time the

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- 1 cost of memory has dropped, of course. Clearly
- 2 a hypothetical set-top box with gigabytes of
- 3 memory could very easily store the whole image
- 4 and audio data of a typical movie, 120-minute
- 5 movie, in memory. But at that time it's highly
- 6 unlikely. A few seconds is probably what was
- 7 achievable.
- 8 Q Okay. I think you probably saw
- 9 where I was going, which is that Sonohara's
- 10 priority date goes back to 1993, correct?
- 11 A Yes.
- 12 Q And so, then you would not have
- 13 been storing a full audio and data file on a
- 14 buffer memory, right?
- 15 A In the practical context of a
- 16 manufacturer's implementation, the cost of
- 17 memory was a premium, and anything and
- 18 everything would have been done to reduce the
- 19 use of memory.
- 21 I'll ask it again in hopes that I'll get an
- answer.

- 1 A More specifically, you would have
- 2 been lucky to store a few seconds of data with
- 3 what was available at that time.
- 4 Q The role of the memory that's
- 5 described in lines 52 through 56 is to store
- 6 just part of the data, correct? It's a
- 7 processing step. The complete audio and image
- 8 data would be stored originally in the source
- 9 files, and then eventually into the single
- 10 combined file, correct?
- 11 A That's kind of a compound
- 12 question. The image and sound data in one
- 13 embodiment would have been stored in separate
- 14 image and sound files. It could be read into
- 15 the memory with appropriate buffering for a few
- 16 seconds' worth to perform an information
- 17 processing step.
- 18 It mentions a CPU here. It does
- 19 not preclude the synchronization taking place
- 20 on the basis of the information stored in
- 21 memory, since a few seconds can comprise quite
- 22 a few groups of pictures for the -- and video

- 1 and audio segments.
- 2 So I see nothing that precludes
- 3 the synchronization taking place from the
- 4 information stored in memory and with no
- 5 absolute requirement that one must implement
- 6 the embodiment of first storing the combined
- 7 information to identify before performing the
- 8 synchronization.
- 9 Of course Sonohara discusses that
- 10 embodiment, but I do not see it as an absolute
- 11 requirement from the standpoint of the POSITA.
- 12 Q Can you turn to column 8 of
- 13 Sonohara, to lines 23 through 26? It says,
- 14 "Therefore, the number of the files to be
- 15 controlled may be one to simplify the file
- 16 control and in addition to facilitate the
- 17 program formation."
- 18 Do you see that?
- 19 A Yes. It's repeating information
- 20 that was disclosed earlier.
- 21 Q Okay. And do you see that the
- 22 start of the paragraph, line 19, says,

- 1 "According to the present invention, when the
- 2 image data and sound data are recorded in the
- 3 file, the track identifying number and the data
- 4 identifying number are imparted to the
- 5 respective data, and the image and sound data
- 6 recorded in a single file may be synchronously
- 7 reproduced"?
- 8 A Yes. It's described in the
- 9 embodiment of the invention, and of course the
- 10 question there is whether the invention is
- 11 limited to that embodiment, and I do not see
- 12 that it is.
- 13 O Okay. And that sentence I just
- 14 read began with "according to the present
- 15 invention"?
- 16 A Which is why I'm -- which is why
- 17 I'm stating that the invention to me appears to
- 18 comprise more than one embodiment, and I do not
- 19 believe it is limited to a single embodiment.
- 20 Okay. I think we've gone
- 21 through -- are there any other embodiments in
- 22 Sonohara of the invention that involve more

- 1 than -- well, let me ask that again.
- 2 Other than what we've already
- 3 discussed, are there any embodiments in
- 4 Sonohara that describe using two data files
- 5 instead of a single data file?
- 6 A From what I've read so far, I
- 7 don't immediately see any. However, that
- 8 doesn't preclude that a more detailed and
- 9 in-depth reading might reveal additional
- 10 embodiments.
- 11 Q Okay. And you didn't disclose any
- of those other embodiments in your declaration,
- 13 did you?
- 14 A No.
- 15 Q Again let's look at your
- 16 declaration and turn back to page 55. Let me
- 17 know when you're there.
- 18 A I'm there.
- 19 O And let me know if I'm
- 20 misunderstanding, but what is disclosed in your
- 21 declaration is that the first data file and
- 22 second data file claim limitations in the

- 1 preamble of claim 1 are met by the sourcing
- 2 image file and sound file that are, for
- 3 example, disclosed in Figure 4 of Sonohara; is
- 4 that right?
- 5 A Yes.
- 6 Q And so, in your view, the fact
- 7 that the audio and image data was previously
- 8 stored in separate data files is sufficient to
- 9 meet the first data file and second data file
- 10 limitations of claim 1?
- 11 A Well, that's kind of a trick
- 12 question, because you mentioned that there are
- 13 limitations. It certainly meets the statements
- 14 that are made in the preamble. The question of
- 15 whether the preamble is or is not limiting is a
- 16 separate question, but it certainly meets the
- 17 statement about first data file and a second
- 18 data file.
- 19 Okay. I wasn't trying to
- 20 implicitly force you to accept -- take a
- 21 position on whether the preamble was limiting
- 22 or not, but fair enough.

- 1 What do you contend is the
- 2 assignment rule in Sonohara?
- 3 A Let me see if I explicitly opined
- 4 as to exactly what the assignment rule was.
- 5 So in paragraph 148 of my
- 6 declaration, page 66, [As read] Sonohara
- 7 further discloses that this is done on the
- 8 basis of an assignment rule for assigning each
- 9 one of the content-related data segments to one
- 10 of the content-related first data segments.
- 11 Specifically, the system of Sonohara outputs
- 12 the data based on the control information in
- 13 the header (an assignment rule), where the
- 14 header "includ[es] control information for
- 15 controlling transfer of said image data and
- 16 said sound data . . . said control information
- indicating a relationship between said image
- 18 data and said sound data . . . " Sonohara,
- 19 claim 1.
- 20 And there's other information on
- 21 page 67 and page 68 through paragraphs 152 in
- 22 my declaration.

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1	Q So I'll ask the question again.
2	What do you contend is the
3	assignment rule in Sonohara?
4	A The assignment rule is expressed
5	by the control information in the header. In
6	other words, the header expresses information
7	required to indicate a relationship between the
8	image data and the sound data. A relationship
9	between image data and the sound data, however
10	it is expressed, however it is informative, is
11	a rule, and it is a rule of assigning a rule
12	of assignment to assign the relationship
13	between them, thus an assignment rule.
14	Q And is there a specific embodiment
15	in Sonohara that you can point me to?
16	A Paragraph 149 of my declaration
17	refers to Figure 6 of Sonohara.
18	STENOGRAPHER: Figure 6?
19	THE WITNESS: Figure 6.
20	So let's look at Figure 6, which
21	is a flow chart. And particularly we've
22	called out step 112, "Read sound data

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1	corresponding to a unit time, form sound
2	data block"
3	STENOGRAPHER: Wait a minute.
4	Wait a minute.
5	Read sound data corresponding to?
6	THE WITNESS: "a unit time,
7	form sound data block and couple the
8	sound data with the image data."
9	The coupling of the sound data
10	with the image data is an assignment, and
11	expressed in terms of the header this
12	represents an assignment rule. It either
13	discloses it or renders it obvious
14	because it's information that controls
15	the synchronization process. However, it
16	is expressed in whatever form it is
17	expressed.
18	BY MR. CARDENAS-NAVIA:
19	Q So anything that couples sound
20	data with image data, in your view, meets the
21	assignment rule limitation?
22	A Within the context of video and

Page 77 1 video and sound coding, yes. 2 THE WITNESS: I'm wondering if it would be appropriate to take a short 3 break. 4 5 MR. CARDENAS-NAVIA: Sure. Let's go off the record. 6 (Brief recess.) 7 8 BY MR. CARDENAS-NAVIA: Welcome back again. 9 Q 10 Could you turn to page 64 of your declaration, Exhibit 1005? I want to start 11 asking you some questions about that 12 subheading. It looks like it starts with 1.2. 13 14 Do you see that? 15 I see that. A 16 And this is a limitation of claim 1 of the '794 patent. It says, "In such 17 a way that each of the content-related second 18 data segments is output together with an 19 associated one of the content-related first 20 data segments on the basis of an assignment 21 rule for assigning each one of the 22

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1	content-related second data segments to one of	
2	the content-related first data segments."	
3	Do you see that?	
4	A Yes.	
5	Q And what is your theory for how	
6	Sonohara discloses that limitation?	
7	A So the explanation is somewhat	
8	involved and	
9	STENOGRAPHER: What's somewhat	
10	involved?	
11	THE WITNESS: I said the	
12	explanation is somewhat involved and	
13	spans pages 64 through 68 of my	
14	declaration. And according to the	
15	declaration, starting in paragraph 142,	
16	Sonohara starts in with the flow chart in	
17	Figure 9, and this flow chart refers	
18	specifically to the embodiment in which	
19	there is a single file of Figure 8.	
20	Though I think we need to bear in	
21	mind that this is not the only possible	
22	embodiment in Sonohara. This information	

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1	could be stored in memory and not in a
2	file. So, for the moment, considering
3	this particular embodiment, the
4	information is placed in a chronological
5	or sequential form, embodies presumably
6	in a in a contiguous format, so that
7	the audio and video may be adequately
8	synchronized.
9	In other words, the header
10	information for the image and header
11	information for the sound the sound
12	data and the image data have been read
13	out. Here it suggests from a file, but
14	could also, in my view, be from memory in
15	a way that their reproduction is
16	adequately synchronized, and the and
17	this reproduction is controlled by an
18	assignment rule expressed in terms of the
19	information stored in those headers.
20	STENOGRAPHER: The information
21	what?
22	THE WITNESS: Stored in those

- 1 headers.
- 2 BY MR. CARDENAS-NAVIA:
- 3 Q And so, how would that work in
- 4 progress? Figure 8 might be helpful. How
- 5 would the -- well, you see in Figure 8 that
- 6 there is an image header and a sound header at
- 7 the front of the file shown there?
- 8 A Yes.
- 9 Q And then you see that there's
- 10 various sound data and image data parts as
- 11 well?
- 12 A Yes. I see it.
- 13 O And so, how -- in practice, how
- 14 would this work out? How would the sequential
- 15 outputting occur?
- 16 A Well, the image information and
- 17 the sound information have identifying numbers
- 18 that have been assigned or matched up by the
- 19 implementation of the assignment rule. They
- 20 are then being assembled. The information
- 21 corresponds to those identifying numbers. The
- various image and data segments are then

- being -- I'm using the term loosely here --
- 2 assembled into a contiguous form for sequential
- 3 output so that the sequential outputting of
- 4 this information now stored in contiguous form
- 5 corresponds to an adequate level of
- 6 synchronization for the image and sound data.
- 7 Q I just want to see if I
- 8 understand.
- 9 So what's shown in Figure 8, it's
- 10 your view that this is -- that this is the
- 11 outputting? This is the result of the
- 12 sequential outputting?
- 13 A This is the -- and I'm using the
- 14 word "assembly" loosely here, because I'm not
- 15 implying that there is an assembly in the sense
- of the traditional computer science use of the
- 17 term assembly. Just that the information is
- 18 being organized -- maybe that's the better
- 19 term -- organized into a contiquous form
- 20 appropriate for sequential output of -- of the
- 21 image and video data in such a way as to
- 22 provide an adequate level of synchronization.

- 1 So this is -- this is the
- 2 organization information from which the result
- 3 or the sequential output comes.
- 4 Q I see. So what's shown in
- 5 Figure 8 would -- the image and sound data from
- 6 what's shown in Figure 8, it's now been
- 7 organized so that it can be sequentially
- 8 outputted?
- 9 A Yes.
- 10 Q Okay. And just walk me through
- 11 that process of that sequential outputting.
- 12 A So let me understand. You're
- 13 asking a question about how the sequential
- 14 outputting takes place after the information
- 15 has been organized?
- 16 Q Yes.
- 17 A Okay. So this information would,
- 18 therefore, be read from this intermediate data
- 19 structure, if I may use the term, and could be
- 20 sequentially output rendered by any one of the
- 21 means available to a decoder. That would
- 22 typically involve reading the information

- 1 sequentially according to some -- according to
- 2 some method or some software or firmware that
- 3 would do that reading byte by byte or block by
- 4 block and rendering it and outputting it in a
- 5 way that the reproduced output of the sound and
- 6 the video are adequately synchronized with each
- 7 other.
- 8 I'm trying to avoid specific --
- 9 specific embodiments. They are all special
- 10 terms, such as character sequential, file
- 11 drivers, and things like this, which are
- 12 implementation details inside set-top boxes,
- and so on. Just that there's a way of reading
- 14 out the information in a sequential form, byte
- 15 by byte or block by block.
- 16 Q And would the process you just
- 17 described involve the use of header
- 18 information?
- 19 A The header information helps
- 20 specify the organization, the data structure
- 21 from which it is read, the way that the sound
- 22 data and the image data are matched up with

- 1 each other in terms of their identifying
- 2 numbers.
- 3 Q And so, how would that process
- 4 occur, for example, in the embodiment shown in
- 5 Figure 8?
- 6 A So I think this is explained in
- 7 quite a lot of depth from paragraphs 144
- 8 through 154 of my declaration. I'm happy to
- 9 read it out, if you'd like.
- 10 0 I'll get -- I would like you to
- 11 explain how that process occurs, the sequential
- 12 outputting process occurs, in the embodiment
- 13 shown in Figure 8.
- 14 A Well, you're speaking of the
- 15 sequential output after the information has
- 16 been organized according to the information in
- 17 the headers.
- Okay. So paragraph 146, "Sonohara
- 19 discloses outputting . . . "
- 20 So each of the image -- each of
- 21 these segments are -- so the -- for example,
- 22 after the segments of the "01" identifier,

- 1 segments 2, so some -- 2 and image data
- 2 segment 2 are output together at the same time,
- 3 meaning that they are rendered at the same time
- 4 by -- by the system.
- 5 So it says here specifically that
- 6 the system of output -- Sonohara outputs the
- 7 data based on control information on the
- 8 header, where the header includes control
- 9 information for the transfer of image data and
- 10 sound data, indicating a relationship between
- 11 the said image data and said sound data.
- 12 In Figure 6 it -- in Figure 6 it
- 13 speaks about the formation process of the file,
- 14 but let's remember --
- 15 STENOGRAPHER: The formation and?
- 16 THE WITNESS: The formation
- 17 process of the file. But let's remember
- 18 that this also could refer to an
- organization of data structure stored in
- 20 memory where there's a flow chart which
- points out, at step 12, the sound data,
- image data are coupled with each other.

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1	So in paragraph 151 I point out
2	that control information in the header
3	assigns sound data, number 2-01, to image
4	data 1-01, so that they can be output
5	synchronously at time t1.
6	Likewise, the control information
7	in the header assigns sound data
8	dash 2 sorry sound data number 2-02
9	to image data number 1-02 so they output
10	synchronously at time t2.
11	Therefore, this information that
12	has been organized into what I would call
13	a data structure enables the sequential
14	reading of the information and the
15	rendering of the sound and image data in
16	such a way as to provide an adequate
17	level of synchronization with the sound
18	data segments and image data segments
19	identified by identifying numbers that
20	have been matched up according to the
21	headers and the assignment rule in the
22	headers.

22

Page 87 BY MR. CARDENAS-NAVIA: 1 2 So let me see if I understand what you said there. So, for example, using 3 Figure 8, is it the case that -- well, let me 4 back up a little bit. 5 In Sonohara it talks about having 6 an audio track and an image track; is that 7 8 right? 9 Α Yes. 10 And the image track is track number 1 and the soundtrack is track number 2; 11 12 is that right? 13 That's one embodiment that's Α 14 mentioned. 15 Okay. Let's go with that 0 16 embodiment then. 17 And then, in that embodiment each portion of image data and sound data are given 18 19 identifying numbers, correct? 20 Α Yes. 21 And then, is it the case that in

Sonohara it talks about synchronizing so that

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- 1 sound data with identifying number 1 is
- 2 synchronized with image data with identifying
- 3 number 1?
- 4 A Yes. However, we should be aware
- 5 that -- of what -- of what the synchronization
- 6 means. In a sense, that sound data with
- 7 identifying number 1 -- there's not only one
- 8 identifying number. There's identifying
- 9 number 1 and this, if you like -- and segment
- 10 identifying number or segment relative
- 11 information as well. So that, for example,
- 12 segment 1-02 would also correspond to image
- data 1-02 and sound data number 1-01 would
- 14 correspond to sound data 1-01 at whatever level
- of granularity of the segment has been chosen.
- 16 Q I think you might have misspoke.
- 17 You said -- I think you said something like
- 18 image data 1-02 would correspond to image data
- 19 1-02 and sound data 1-01 would correspond to
- 20 sound data 1-01.
- 21 A I mean that image data with the
- 22 same designation, such as 1-02, would

- 1 correspond to sound data 1-02.
- Q Okay. So let me know if this is a
- 3 fair summary of how Sonohara does its
- 4 synchronizing, is that your image data will be
- 5 synchronized with your sound data, if they have
- 6 the same identifying numbers?
- 7 A The segments will be synchronized
- 8 adequately if they have the same identifying
- 9 numbers.
- 10 O Does Sonohara disclose any
- 11 techniques other than synchronizing based on
- 12 data having the same identifying numbers?
- 13 A I do not recall that it does.
- 14 Q Okay. Did you identify any other
- 15 techniques in your declaration?
- 16 A Not with respect to Sonohara.
- 18 each -- what you contend is a data segment, for
- 19 each one of those, do they always need to be
- 20 the same length of time?
- 21 A Are you speaking about whether or
- 22 not that is a limitation expressed in Sonohara

- 1 or as a question of the general knowledge of a
- 2 POSITA?
- 3 Q Well, I'm always asking about what
- 4 Sonohara discloses, not what other people might
- 5 know or think. But let me actually ask -- let
- 6 me actually try to clarify my question. This
- 7 might be -- maybe this will be helpful for you.
- 8 So let me narrow it down to just the image
- 9 data.
- 10 A Okay.
- 11 Q For just the image data, do all
- 12 the segments of image data in Sonohara need to
- 13 be the same length of time?
- 14 A Let me read this closely to give
- 15 you a good answer.
- 16 Q And let me ask a better question.
- 17 Does Sonohara disclose that the
- image data segments are always the same length
- 19 of time?
- 20 A Well, it certainly describes an
- 21 embodiment in which the image data are
- 22 organized into segments, each of which have the

- 1 same duration.
- Q Does --
- 3 A So, for example, column 5, 57
- 4 through 65, states, [As read] On the other
- 5 hand, the image data of the file reading region
- 6 are read by the image/sound data formation
- 7 renewal section 13 for a unit time (one second)
- 8 to carry out the image data block formation
- 9 process.
- 10 So it's certainly -- it's
- 11 described an embodiment, and it refers
- 12 frequently to unit time such as track
- 13 identifying number 2 to the sound data for
- 14 every unit in time, in column 6, lines 34
- 15 through 40. So the terms "unit time" are used
- 16 frequently.
- 17 It will require a more fine
- 18 reading of Sonohara to see if there are any
- 19 other embodiments that are disclosed that do
- 20 not require a specific unit of time for each of
- 21 the audio segments in each of the video
- 22 segments.

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1	MR. BOWSER: May I just interject	
2	for one second?	
3	When you're reading I'm	
4	watching your face. Sometimes you read a	
5	little bit fast. So maybe slow down a	
6	little bit. Just for the transcript.	
7	Sorry, I apologize.	
8	THE WITNESS: Sure. I'm happy to	
9	do so.	
10	BY MR. CARDENAS-NAVIA:	
11	Q Okay. So you're not currently	
12	aware of any disclosures in Sonohara of	
13	embodiments where the identified audio segments	
14	are not the same length of time?	
15	A Correct.	
16	Q Are you aware of any embodiments	
17	disclosed in Sonohara where the identified	
18	image segments are not the same length of time?	
19	A Not right now.	
20	Q Could you turn to page 59 of your	
21	declaration, and let me know when you're there?	
22	A I'm there.	

Page 93 And I want to point to this 1 0 heading that starts with 1.1 "sequentially 2 outputting." 3 Do you see that? 4 5 Α I see that. And this is -- what's bolded and 6 0 7 italicized right there is a portion of claim 1 8 of the '794 patent, correct? 9 Α Correct. 10 And what is your understanding of what "sequentially outputting" means in this 11 12 claim? Well, this is stated in 13 14 paragraph 135 of my declaration on page 60. [As read] The output is sequential because, for 15 16 example, the image data portion number 1-02 and 17 the sound data portions number 2-02 are shown as being output together after the output of 18 image data portion number 1-01 and sound data 19 20 portion 2-01, Figure 8. Further, Sonohara explains that, in production of the file -- and 21 we're speaking here about the embodiment in 22

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- 1 which the information has been organized into a
- 2 file, not forgetting that an embodiment is
- 3 possible in which it's organized in memory --
- 4 data identifying section 3 gives data
- 5 identifying numbers 01, 02, 03 . . . for
- 6 representing the order of the data within the
- 7 image track 22 or the sound track 23 to the
- 8 image data and the sound data per unit time.
- 9 In the apparatus, the same data identifying
- 10 numbers are given to the image data and the
- 11 sound data to be synchronized with the image
- 12 data.
- So the data identifying numbers
- 14 are representative of an order of data with the
- image track and the sound track at sequential
- 16 output -- outputting.
- 17 In terms of the order of the
- 18 identifying numbers, that is according to their
- 19 chronological sequence.
- 20 O Thank you.
- 21 Could you turn to Sonohara,
- 22 Exhibit 1003, and specifically to column 5?

			Page	95
1	Let me know when you	u're there.		
2	A I'm the	ere.		
3	Q And do	you see about maybe		
4	two-thirds of the wa	ay down there's a heading,		
5	"Formation Processing	ng Flow for File 1"?		
6	A I see	it.		
7	Q And wha	at's described under this		
8	heading is the proce	ess of creating the file		
9	that's labeled with a number 1; is that			
10	correct?			
11	A That's	correct, for that		
12	embodiment.			
13	Q And car	n we look at column 5,		
14	lines 60 through 65?			
15	A Yes.			
16	Q And it	says, "At this time, the		
17	track identifying section 2 imparts the track			
18	identifying number #1 to the image data for			
19	every unit time."			
20	Do you	see that?		
21	A Yes.			
22	Q What do	oes it mean to impart a		

- 1 track identifying number onto image data?
- 2 A In this context I mean -- I think
- 3 it means that the track identifier number 1 is
- 4 used to label the image -- all the image data
- 5 for every unit time for that track.
- 6 However, the data identifying
- 7 section 3 -- and we're reading now from
- 8 column 5, 62 through 65 -- gives 01 in order of
- 9 the data as the data identifying numbers.
- 10 That's the data primary file 18 as produced.
- 11 So the segments of information are
- identified by a track, identifying number, and
- 13 a data identifying number. Essentially for
- 14 each track there are separate identifying
- 15 numbers for each segment within that track.
- 16 Q And so, as part of this process is
- 17 the -- I guess in this case it would be the
- 18 image data, is it then modified to hold that
- 19 label of the track number and the identifying
- 20 number?
- 21 A So you're asking whether the data
- 22 themselves are modified? I don't see a

- 1 requirement for the data themselves to be
- 2 modified; rather, for the locations in storage
- 3 or in a file to be labeled with that label.
- 4 That doesn't preclude that the data couldn't be
- 5 modified, but it doesn't specifically -- I
- 6 don't think this specifically requires -- the
- 7 term in part is not a usual -- usual term of
- 8 art.
- 9 I see this more as a label that is
- 10 being attached. Of course the label could be
- included in the data structure for the image or
- 12 sound segment, but it really may not be, and
- 13 the information can be stored in the header
- 14 instead. For example, track 1-01 could
- 15 correspond to a certain region of memory or a
- 16 certain byte range in a file.
- 17 Q So I believe you're saying the
- 18 track number and the identifying number could
- 19 be, but could also not be, stored within the
- 20 image or audio data itself?
- 21 A Yes.
- 22 O And if that information was stored

- in the header, then the header would then need
- 2 to also have some kind of location information
- 3 stored along with it so that it could identify
- 4 the corresponding image data or video data?
- 5 A It depends how this is
- 6 implemented. If all the video segments have
- 7 equal duration, and all the audio segments have
- 8 equal duration, this information may be
- 9 implicit and not required to be explicitly
- 10 stored. In other words, these offsets, these
- 11 ranges, can be calculated rather than
- 12 explicitly stored.
- 13 O In that instance would it at least
- 14 need a starting point for where the data is
- 15 stored?
- 16 A I believe that the starting point
- 17 is intended to be -- well, there's two types of
- 18 starting point, the starting point relative to
- 19 the beginning of all video and audio data, the
- 20 start of the video coding --
- 21 STENOGRAPHER: Video?
- 22 THE WITNESS: -- coding, and there

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1	is the offset relative to the start of
2	the track.
3	But if all the tracks have equal
4	duration, whether audio or video, and if
5	all the segments have equal duration, the
6	track the offset is easily calculated
7	from the track and data identifying
8	number and would not require further
9	explicit storage.
10	
11	BY MR. CARDENAS-NAVIA:
12	Q I see. And in that instance,
13	then, would you also need to store the audio
14	and video segments in a specific ordering so
15	that you knew you were getting audio and not
16	video?
17	A This appears to be the purpose
18	of in that embodiment. They are stored for
19	the purpose of the file component the
20	purpose of the flow chart, which is in
21	explained in Figure 6, to produce a result
22	exemplified by Figure 7.

- 1 O And if I'm understanding you,
- 2 you're saying that in Figure 7 the sound data
- 3 and image data are shown as all stored
- 4 sequentially, one after the other, and in a
- 5 specific order?
- 6 A They're stored or they're read --
- 7 or they're read from memory. However they are
- 8 stored, this is an organization of information.
- 9 There may be more implementation details than
- 10 that, but that is a representation of how the
- 11 information is stored.
- 12 O Okay. But if the -- if the track
- 13 number and identifying numbers were not stored
- in the data itself, there would need to be some
- 15 mechanism, and it sounds like we've discussed a
- 16 couple, to then go and find the specific sound
- data or image data you're searching for during
- 18 the reproduction process?
- 19 A I think that's a reasonable
- 20 statement. The purpose of the flow chart in
- 21 Figure 6 is to organize the data in that form
- 22 exemplified by Figure 7.

Page 101 And do you view Figure 7 as 1 0 necessarily a -- like physical storage, like 2 the data would physically be stored in order, 3 or more as a logical storage where the data 4 could be stored in various locations, but their 5 logical relationships are all recorded? 6 MR. BOWSER: Objection. Form. 7 8 THE WITNESS: Both types of organization are possible. One may be 9 10 more efficient than the other. It 11 depends on the implementation details. 12 MR. CARDENAS-NAVIA: Can we go ahead and take a short break? 13 14 THE WITNESS: Sure. 15 (Brief recess.) 16 17 BY MR. CARDENAS-NAVIA: Dr. Freedman, is there any of your 18 testimony today that you would like to correct 19 20 in any way? 21 I would need to see the transcript Α 2.2 to make sure there are no errors, but otherwise

- 1 I see no need to make substantial corrections
- 2 of fact.
- 3 Q Okay. And I just wanted to give
- 4 you a chance in case there was anything on your
- 5 mind.
- 6 A Thank you for that.
- 7 MR. CARDENAS-NAVIA: I have no
- 8 further questions.
- 9 MR. BOWSER: Okay. I have just
- one set of questions here, and just for
- 11 clarification, something that you
- 12 testified on.
- THE WITNESS: Sure.
- 14 BY MR. BOWSER:
- 15 Q This is about Sonohara.
- 16 A Okay.
- 17 Q Okay. And can I have you please
- 18 turn to Sonohara at column 2? I'll give you a
- 19 quick cite.
- 20 Do you recall testifying earlier
- 21 about the portions in column 2 starting at
- line 52, where it says "the memory means"?

Page 103 1 A Yes. 2 0 And can you please turn to paragraph 134 of your declaration? Let me know 3 4 when you're there. I'm here. 5 Α In paragraph 134, what portions of 6 0 Sonohara do you reference? 7 8 Α I reference column 2, 40 through 9 51, and column 8 --10 STENOGRAPHER: Wait. Column 2 or 11 2 --THE WITNESS: Column 2, lines 40 12 13 through 51, and also column 8, lines 53 14 through 62. BY MR. BOWSER: 15 16 Okay. What is your understanding 17 of the portions of column 2, lines 40 to 51, in Sonohara, as you reference in paragraph 134? 18 19 It describes an embodiment in which a data synchronizing means --20 21 STENOGRAPHER: A data 22 synchronizing?

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1	THE WITNESS: [As read] Data
2	synchronizing means may comprise a
3	reading section for reading the image
4	data and the sound data from the file 1
5	and a memory means for storing the image
6	data, the sound data, which had been read
7	out by the reading section. The data
8	synchronizing means may synchronizing
9	synchronously reproduce the image data
10	and sound data by using a controller for
11	outputting the data by reading
12	synchronously the image and sound data to
13	which the same data identifying numbers
14	have been given with reference to the
15	track identifying number and the data
16	identifying number stored in the memory
17	means.
18	So the image and sound data have
19	been read and can be synchronously
20	reproduced with respect to track
21	identifying number and data identifying
22	numbers that are stored in the memory

Page 105 1 means. BY MR. BOWSER: 2 So that portion of the -- that you 3 0 just read references the memory means 42. 4 Do you see that? 5 Yes, I see that. 6 Α And then, can you please read 7 8 column 2, lines 52 to 56, which describe the memory means? 9 10 [As read] The memory means 42 may comprise, for example -- and it is only an 11 12 example -- a memory -- main memory and a buffer memory that can store therein image and sound 13 14 data for at least several seconds. The controller may comprise a central processing 15 16 unit and microprocessor. 17 Do you see the phrase "for at least several seconds"? 18 19 Α Yes. 20 Q Okay. Is that a lower limit, or 21 is that an upper limit? 22 A It's a lower limit.

	Page 106	
1	Q It's a lower limit?	
2	A Yes.	
3	3 Q So there's just a minimum of	
4	several seconds?	
5	A Yes.	
6	Q Does it place an upper limit in	
7	terms of how many seconds can be recorded in	
8	the memory?	
9	A Not according to the statements in	
10	that paragraph.	
11	MR. BOWSER: Okay. That's all I	
12	have.	
13	MR. CARDENAS-NAVIA: Just one or	
14	two questions.	
15	THE WITNESS: Sorry. I'll switch	
16	my telephone off. I did not mean it to	
17	be	
18	MR. CARDENAS-NAVIA: Give me just	
19	one minute here.	
20	Actually, I have no further	
21	questions.	
22	THE WITNESS: Thank you, Counsel.	

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                    (The deposition is concluded
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            11:14 a.m.)
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Page 108 1 CERTIFICATION 2 I, LISA FORLANO, a Certified Realtime Reporter, Certified Court 3 Reporter and Notary Public, do hereby certify that I reported the deposition in 4 the above-captioned matter, that the said 5 witness was duly sworn by me; that the foregoing is a true and correct transcript of the stenographic notes of 6 7 testimony taken by me in the above-captioned matter. 8 I further certify that I am not an 9 attorney or counsel for any of the 10 parties, not a relative or employee of 11 any attorney or counsel connected with 12 13 the action, nor financially interested in the action. 14 15 16 17 18 19 20 LISA FORLANO, CRR, CCR #XI01143 21 22 DATED: March 24, 2023

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