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APPLICATION NO.	APPLICATION NO. I ISSUE DATE I PATENT NO. I		ATTORNEY DOCKET NO.	CONFIRMATION NO.
15/920.955	01/18/2022	11227181	16223-00341	2833

33772

7590

MCDONALD HOPKINS LLC

600 Superior Avenue, East

**Suite 2100** 

CLEVELAND, OH 44114-2653

#### **ISSUE NOTIFICATION**

The projected patent number and issue date are specified above.

12/28/2021

#### **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 0 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

INVENTOR(s) (Please see PAIR WEB site http://pair.uspto.gov for additional inventors):

Chester O.D. Thompson, Painesville, OH;

William R. Mutch, North Ridgeville, OH;

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

HY-KO PRODUCTS COMPANY, Northfield, OH

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IR103 (Rev. 10/09)

#### PART B - FEE(S) TRANSMITTAL

Complete and send	this form, together	with applicable fee(s	s), by mail or fax, or v	ia EFS-Web.				
By mail, send to:	Mail Stop ISSUE Commissioner for P.O. Box 1450 Alexandria, Virgin	Patents			By fax, send to	o: (571)-273-2885		
further correspondence	form should be used for to including the Patent, adva	ransmitting the ISSUE FE ance orders and notification	E and PUBLICATION FEI on of maintenance fees will dence address; and/or (b) i	be mailed to the current co	rrespondence address as	indicated unless corrected		
	DENCE ADDRESS (Note: Use B		Not Fee pap	e: A certificate of mailin (s) Transmittal. This certi	g can only be used for ficate cannot be used for such as an assignmen	domestic mailings of the r any other accompanying t or formal drawing, must		
MCDONALD 600 Superior A Suite 2100	HOPKINS LLC	3/2021	Sta add	reby certify that this Feed es Postal Service with suressed to the Mail Stop IS	fficient postage for first SSUE FEE address above	deposited with the United class mail in an envelope ve, or being transmitted to 3-2885, on the date below.		
CLEVELAND,	, ОН 44114-2653		_			(Typed or printed name)		
				EFS		(Signature)		
APPLICATION NO.	FILING DATE	:	FIRST NAMED INVENTOR	R ATTO	DRNEY DOCKET NO.	CONFIRMATION NO.		
15/920,955	03/14/2018	ı	Chester O.D. Thompson		16223-00341	2833		
		IFICATION SYSTEM W	/ITH GROOVE SCANNIN	IG				
APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE		
nonprovisional	SMALL	\$600	\$0.00	\$0.00	\$600	02/03/2022		
EXA	MINER	ART UNIT	CLASS-SUBCLASS	1				
HAUSMANN	, MICHELLE M	2661	382-216000	1				
1. Change of correspond CFR 1.363).	dence address or indication	on of "Fee Address" (37	2. For printing on the p (1) The names of up to	oatent front page, list o 3 registered patent attor	neys M.D.			
Change of corres Address form PTO/S	pondence address (or Cha SB/122) attached.	ange of Correspondence	(2) The name of a sing registered attorney or	agents OR, alternatively,  The name of a single firm (having as a member a istered attorney or agent) and the names of up to  2				
"Fee Address" in SB/47; Rev 03-09 or Number is required	dication (or "Fee Address more recent) attached. U	" Indication form PTO/ se of a Customer	2 registered patent atto listed, no name will be	orneys or agents. If no nan printed.	ane is 3			
		A TO BE PRINTED ON	THE PATENT (print or ty	pe)				
PLEASE NOTE: Un recorded, or filed for	lless an assignee is identif recordation, as set forth	ied below, no assignee da in 37 CFR 3.11 and 37 C	ta will appear on the patent FR 3.81(a). Completion of	. If an assignee is identified this form is NOT a substi	ed below, the document tute for filing an assign	must have been previously nent.		
(A) NAME OF ASS	IGNEE		(B) RESIDENCE: (CITY	and STATE OR COUN	ΓRY)			
HY-KO PRO	DUCTS COMPANY	LLC	PC	ORTAGE, MICHIGAN	I			
Please check the approp	oriate assignee category o	r categories (will not be p	rinted on the patent): 🗖 I	ndividual 🛛 Corporation	or other private group e	ntity 🗖 Government		
4a. Fees submitted:	XIssue Fee □Pub	olication Fee (if required)	Advance Order -	of Copies				
-	: (Please first reapply any							
Electronic Payme			Non-electronic payment by	,	,			
The Director is h	ereby authorized to charg	e the required fee(s), any	deficiency, or credit any o	verpayment to Deposit Ac	count No. 100200			
5 Change in Entity St	atus (from status indicat	ad abovo)						
	ing micro entity status. So			ertification of Micro Entity entity amount will not be				
Applicant asserti	ng small entity status. See	e 37 CFR 1.27	NOTE: If the application	was previously under mics of entitlement to micro	cro entity status, checkir			
Applicant changi	ng to regular undiscounte	d fee status.	NOTE: Checking this be entity status, as applicable	x will be taken to be a not	ification of loss of entit	ement to small or micro		
NOTE: This form must	be signed in accordance	with 37 CFR 1.31 and 1.3	33. See 37 CFR 1.4 for sign		rtifications.			
Authorized Signature	e /Mark J. Masters	on/		Date December	7, 2021			
Typed or printed nar	<sub>ne</sub> Mark J. Masters	on		Registration No	71886			
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Page 2 of 3 OMB 0651-0033

PTOL-85 Part B (08-18) Approved for use through 01/31/2020

Electronic Patent A	<b>\</b> pp	olication Fee	Transm	ittal			
Application Number:	15	920955					
Filing Date:	14-	-Mar-2018					
Title of Invention:	KEY BLANK IDENTIFICATION SYSTEM WITH GROOVE SCANNING  Chester O.D. Thompson						
First Named Inventor/Applicant Name:	Chester O.D. Thompson						
Filer:	Mark Jason Masterson/Danielle Mueller						
Attorney Docket Number:	16223-00341						
Filed as Small Entity							
Filing Fees for Utility under 35 USC 111(a)							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:							
Claims:							
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							
UTILITY APPL ISSUE FEE		2501	1	600	600		

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	600

Electronic Acknowledgement Receipt					
EFS ID:	44454089				
Application Number:	15920955				
International Application Number:					
Confirmation Number:	2833				
Title of Invention:	KEY BLANK IDENTIFICATION SYSTEM WITH GROOVE SCANNING				
First Named Inventor/Applicant Name:	Chester O.D. Thompson				
Customer Number:	33772				
Filer:	Mark Jason Masterson/Danielle Mueller				
Filer Authorized By:	Mark Jason Masterson				
Attorney Docket Number:	16223-00341				
Receipt Date:	07-DEC-2021				
Filing Date:	14-MAR-2018				
Time Stamp:	14:49:52				
Application Type:	Utility under 35 USC 111(a)				

#### **Payment information:**

Submitted with Payment	yes
Payment Type	CARD
Payment was successfully received in RAM	\$600
RAM confirmation Number	E2021B7E50064576
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File Listing:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
			114238		
1	Issue Fee Payment (PTO-85B)	10001284.pdf	6b69137563fe8f35c55ee6cebdabf165355d 61c2	no	1
Warnings:				l	
Information:					
			38223		
2	Fee Worksheet (SB06)	fee-info.pdf	1bd4482d7dd01db00f712637216064b6f5b 086dd	no	2
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		Total Files Size (in bytes)	15	52461	

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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
15/920,955	03/14/2018	Chester O.D. Thompson	16223-00341	2833
	7590 11/17/202 HOPKINS LLC	.1	EXAM	IINER
600 Superior A Suite 2100			HAUSMANN,	MICHELLE M
	, ОН 44114-2653		ART UNIT	PAPER NUMBER
			2661	
			NOTIFICATION DATE	DELIVERY MODE
			11/17/2021	ELECTRONIC

#### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

ipmailbox@mcdonaldhopkins.com

	Application No	Applicant(	e)
Corrected	15/920,955		
Notice of Allowability	Examiner MICHELLE M ENTEZARI HAUSMANN  Rears on the cover sheet with the correspondence as (OR REMAINS) CLOSED in this application. If not include or other appropriate communication will be mailed in dights. This application is subject to withdrawal from is and MPEP 1308.  Swere filed on  Striction requirement set forth during the interview on dinto this action.  If the allowed claim(s), you may be eligible to benefit from all property office for the corresponding application. For ph/index.jsp or send an inquiry to PPHfeedback@uster 35 U.S.C. § 119(a)-(d) or (f).  We been received.  We been received in Application No  To outments have been received in this national stage application.  State and the communication to file a reply complying with the MENT of this application.  State be submitted.  Shamendment / Comment or in the Office action of the submitted.  Shamendment / Comment or in the Office action of the submitted.  Shamendment / Comment or in the Office action of the submitted.  BIOLOGICAL MATERIAL must be submitted. Note the FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.	AIA (FITF) Status	
		2661	No
The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI	(OR REMAINS) CLOSED in this a or other appropriate communicati GHTS. This application is subject	application. If not on will be mailed	included in due course. <b>THIS</b>
1. ✓ This communication is responsive to 8 October, 2021.  ☐ A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was	s/were filed on		
2. An election was made by the applicant in response to a res restriction requirement and election have been incorporated		g the interview o	n; the
3. ✓ The allowed claim(s) is/are 40-53 and 55-56. As a result of <b>Prosecution Highway</b> program at a participating intellecture, please see <a href="http://www.uspto.gov/patents/init_events/p">http://www.uspto.gov/patents/init_events/p</a>	al property office for the correspor	nding application.	For more information
4. Acknowledgment is made of a claim for foreign priority unde	er 35 U.S.C. § 119(a)-(d) or (f).		
Certified copies:			
a) ☐All b) ☐ Some* c) ☐ None of the:			
<ol> <li>Certified copies of the priority documents hav</li> <li>Certified copies of the priority documents hav</li> </ol>		·	
<ol> <li>Copies of the certified copies of the priority do International Bureau (PCT Rule 17.2(a)).</li> </ol>	ocuments have been received in the	nis national stage	application from the
* Certified copies not received:			
Applicant has THREE MONTHS FROM THE "MAILING DATE noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		ply complying wit	th the requirements
5. CORRECTED DRAWINGS (as "replacement sheets") must	t be submitted.		
including changes required by the attached Examiner's Paper No./Mail Date	s Amendment / Comment or in the	Office action of	
Identifying indicia such as the application number (see 37 CFR 1 sheet. Replacement sheet(s) should be labeled as such in the he			(not the back) of each
6. DEPOSIT OF and/or INFORMATION about the deposit of E attached Examiner's comment regarding REQUIREMENT I			
Attachment(s) 1. Notice of References Cited (PTO-892)	5. ☐ Examiner's Ame	endment/Comme	nt
2. ✓ Information Disclosure Statements (PTO/SB/08),	6. Examiner's Stat		
Paper No./Mail Date  3. Examiner's Comment Regarding Requirement for Deposit	7. 🗌 Other		

U.S. Patent and Trademark Office
PTOL-37 (Rev. 08-13)

Notice of Allowability

Part of Paper No./Mail Date 20211113

of Biological Material .

4. Interview Summary (PTO-413), Paper No./Mail Date. \_\_\_\_\_.

/MICHELLE M ENTEZARI/
Primary Examiner, Art Unit 2661

Doc code: IDS Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (01-10)

Approved for use through 07/31/2012. OMB 0651-0031

mation Disclosure Statement (IDS) Filed

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT ( Not for submission under 37 CFR 1.99)	Application Number		
	Filing Date		2018-03-14
	First Named Inventor	Cheste	er O.D. Thompson
	Art Unit		
	Examiner Name	•	
	Attorney Docket Number		16223-00341

				U.S.	PATENTS	Remove
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	3644619		2014-02-04	Thompson et al.	
	2	3634655		2014-01-21	Thompson et al.	
	3	8585029		2013-11-19	Ryai, Sr.	
	4	8532809		2013-09-10	Freeman	
	5	8128322		2012-03-06	Bass et al.	
	6	7918629		2011-04-05	Belflower et al.	
	7	7891919		2011-02-22	Bass et al.	
	8	7643685		2010-01-05	Miller	

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /M.M.E/

( Not for submission under 37 CFR 1.99)

				263	 
Application Number			, ,		
Filing Date		2018-03-14			
First Named Inventor Chest		er O.D. Thompson			
Art Unit					
Examiner Name					
Attorney Docket Number		16223-00341			

9	7484446	2009-03-02	Gula	
10	7214011	2007-05-08	Ryai, Sr. et al.	
11	7163364	2007-01-16	Foscan et al.	
12	7114894	2006-10-03	Mueller et al.	
13	7114436	2006-10-03	Mueller	
14	7111894	2006-09-26	Kora et al.	
15	7010498	2006-03-07	Berstis	
16	6965911	2005-11-15	Coffman et al.	
17	6959862	2005-11-01	Neumark	
18	6895100	2005-05-17	Pacenzia et al.	
19	6839451	2005-01-04	Campbell et al.	

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /M.M.E/

( Not for submission under 37 CFR 1.99)

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Application Number			, ,			
Filing Date		2018-03-14				
First Named Inventor	Chest	er O.D. Thompson				
Art Unit						
Examiner Name						
Attorney Docket Number		16223-00341				

20	6839449	2005-01-04	Campbell et al.	
21	6836553	2004-12-28	Campbell et al.	
22	6817814	2004-11-16	Mueller	
23	6801829	2004-10-05	Kawai	
24	6711557	2004-03-23	Palaniappan	
25	6687565	2004-02-03	Wetterlin et al.	
26	6647308	2003-11-11	Prejean	
27	6641339	2003-11-04	Chies et al.	
28	6612142	2003-09-02	Capwell	
29	6602030	2003-08-05	Markbreit	
30	6588995	2003-07-08	Wills et al.	

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /M.M.E/

( Not for submission under 37 CFR 1.99)

				263	 
Application Number			, ,		
Filing Date		2018-03-14			
First Named Inventor	Chest	er O.D. Thompson			
Art Unit					
Examiner Name					
Attorney Docket Number		16223-00341			

31	6543972	2003-04-08	Cimino	
32	6478515	2002-11-12	Mueller	
33	6449381	2002-09-10	Yanovsky et al.	
34	6415931	2002-07-09	Mueller	
35	6406227	2002-06-18	Titus et al.	
36	D455104	2002-04-02	Hillman et al.	
37	6321430	2001-11-27	Goldman et al.	
38	6243960	2001-06-12	Andrews et al.	
39	6186711	2001-02-13	Mueller	
40	6185311	2001-02-06	Yanovsky et al.	
41	6179531	2001-01-30	Jaw	

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /M.M.E/

( Not for submission under 37 CFR 1.99)

				263	 
Application Number			, ,		
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First Named Inventor	Chest	er O.D. Thompson			
Art Unit					
Examiner Name					
Attorney Docket Number		16223-00341			

42	6175638	2001-01-16	Yanovsky	
43	6152662	2000-11-28	Titus et al.	
44	6094953	2000-08-01	Evans	
45	6082580	2000-07-04	Mueller et al.	
46	6065911	2000-05-23	Almblad et al.	
47	6064747	2000-05-16	Wills et al.	
48	6059495	2000-05-09	Mueller et al.	
49	6053677	2000-04-25	Juchinewicz	
50	5997795	1999-12-07	Danforth et al.	
51	5997224	1999-12-07	Beauregard et al.	
52	5984597	1999-11-16	Chen	

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /M.M.E/

( Not for submission under 37 CFR 1.99)

			1 1 1 1 2 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	 CZEAU .	<u> ~ 0 0 .</u>
Application Number			, ,		
Filing Date		2018-03-14			
First Named Inventor	Chest	er O.D. Thompson			
Art Unit					
Examiner Name					
Attorney Docket Number		16223-00341			

53	5982491	1999-11-09	Breyer et al.	
54	5966457	1999-10-12	Lemelson	
55	5964554	1999-10-12	Drori	
56	5940229	1999-08-17	Baumgarten	
57	5926388	1999-07-20	Kimbrough et al.	
58	5908273	1999-06-01	Titus et al.	
59	5906365	1999-05-25	Wu	
60	5886775	1999-03-23	Houser et al.	
61	5855836	1999-01-05	Leyden et al.	
62	5807042	1998-09-15	Almblad et al.	
63	5771176	1998-06-23	Froehlich et al.	

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /M.M.E/

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		<u> </u>		
Application Number		,		
Filing Date		2018-03-14		
First Named Inventor	Chester O.D. Thompson			
Art Unit				
Examiner Name				
Attorney Docket Number		16223-00341		

64	5764156	1998-06-09	Chaloux	
65	5739766	1998-04-14	Chaloux	
66	5711643	1998-01-27	Рап et al.	
67	5771176	1998-06-23	Froehlich et al.	
68	5677522	1997-10-14	Rice et al.	
69	5676504	1997-10-14	Mueller et al.	
70	5671523	1997-09-30	Juchinewicz	
71	RE35518	1997-05-27	Sussina	
72	5660509	1997-08-26	Cole et al.	
73	5630332	1997-05-20	Aldieri et al.	
74	5617323	1997-04-01	Stansberry et al.	

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /M.M.E/

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				 CZENU	
Application Number			• •		
Filing Date		2018-03-14			
First Named Inventor	Chest	ter O.D. Thompson			
Art Unit					
Examiner Name					
Attorney Docket Number		16223-00341			

75	5607267	1997-03-04	Heredia et al.	
76	5594652	1997-01-14	Penn et al.	
77	5592728	1997-01-14	Susnjara	
78	5583443	1996-12-10	McMurtry et al.	
79	5556240	1996-09-17	Almblad	
80	5555176	1996-09-10	Menhennett et al.	
81	5552992	1996-09-03	Hunter	
82	5545367	1996-08-13	Bae et al.	
83	5543103	1996-08-06	Hogan et al.	
84	5538374	1996-07-23	Cole et al.	
85	5515903	1996-05-14	Hronas et al.	

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /M.M.E/

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86	6	5503785	1996-04-02	Crump et al.	
87	7	5497888	1996-03-12	Michaels et al.	
88	8	5496138	1996-03-05	Drori	
89	9	5485399	1996-01-16	Saigo et al.	
90	0	5443339	1995-08-22	Heredia et al.	
91	1	5441369	1995-08-15	Foscan et al.	
92	2	5429202	1995-07-04	Millard et al.	
93	3	5416591	1995-05-16	Yoshimura et al.	
94	4	5393967	1995-02-28	Rice et al.	
95	5	5382784	1995-01-17	Eberhardt	
96	6	5365812	1994-11-22	Harnden	

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /M.M.E/

( Not for submission under 37 CFR 1.99)

			10/320.300	-	UZENU	_200.
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Filing Date		2018-03-14				
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Examiner Name						
Attorney Docket Number		16223-00341				

97	5363463	1994-11-08	Kleinerman	
98	5360299	1994-11-01	Oliana	
99	5351409	1994-10-04	Heredia	
100	5330168	1994-07-19	Enomoto et al.	
101	D348393	1994-07-05	Neitzke et al.	
102	5314274	1994-05-24	Heredia et al.	
103	5311758	1994-05-17	Neitzke et al.	
104	5311756	1994-05-17	Villani	
105	5311286	1994-05-10	Pike	
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121	5139246	1992-08-18	Yakou
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123	5128531	1992-07-07	Fadel
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125	5122018	1992-06-16	Zion
126	5119190	1992-06-02	Lemelson
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133	5056204	1991-10-15	Bartschi	
134	5043144	1991-08-27	Gordon et al.	
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136	4998349	1991-03-12	Killeen	
137	4993291	1991-02-19	Sopko	
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143	4909892	1990-03-20	Quinn et al.
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156	4803371	1989-02-07	Durland	
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158	4780032	1988-10-25	Uyeda et al.	
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169	4657448	1987-04-14	Alexander	
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175	4648585	1987-03-10	Yang	
176	4647028	1987-03-03	Yang	
177	4638567	1987-01-27	Leversee	
178	4625107	1986-11-25	Planke	
179	4614465	1986-09-30	Wu	
180	4601185	1986-07-22	Sheldon	
181	4592683	1986-06-03	Wu	
182	4562759	1986-01-07	Schmitt	
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189	4526498	1985-07-02	Fieldhouse	
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202	4354780	1982-10-19	Bougiouris	
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208	4283859	1981-08-18	Roland	
209	4281379	1981-07-28	Austin	
210	4256423	1981-03-17	Juskevic	
211	4251173	1981-02-17	Saucedo	
212	D257758	1981-01-06	Limacher	
213	4233746	1980-11-18	Troillet	
214	4223791	1980-09-23	Taggart	
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230	4023464	1977-05-17	Zion	
231	4019415	1977-04-26	Wich	
232	4012991	1977-03-22	Uyeda	
233	3981214	1976-09-21	Wich	
234	3978764	1976-09-07	Patriquin	
235	3956968	1976-05-18	Crasnianski	
236	3955179	1976-05-04	Planke	
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247	3810416	1974-05-14	Nelms, Jr.	
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256	3707999	1973-01-02	Coats	
257	3682041	1972-08-08	Essig	
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285	3172969	1965-03-09	Haggstrom	
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288	3053149	1962-09-11	Rossetti	
289	2940183	1960-06-14	Fromberg	
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	296	2605294		1952-07	7-29	Barnhart					
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15/920.955 - GAU: 2661

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Examiner nitials*  Cite No  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the ite (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.					
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Application Number			, ,			
Filing Date		2018-03-14				
First Named Inventor Chest		er O.D. Thompson				
Art Unit						
Examiner Name						
Attorney Docket Number		16223-00341				

21	International Preliminary Report on Patentability, PCT/US2007/002015, Hy-Ko Products Company, July 29, 2008.	
22	International Search Report and the Written Opinion of the International Searching Authority, PCT/US2007/02015, Hy-Ko Products Company, September 28, 2007.	
23	International Preliminary Report on Patentability, PCT/US2010/033421, Hy-Ko Products et al., November 1, 2011.	
24	International Search Report and the Written Opinion of the International Searching Authority, PCT/US2010/033421, Hy-Ko Products, September 17, 2010.	
25	Giuliani Productivity Through Flexibility, Multicode CCE-1, 2 pages.	
26	Giuliani Productivity Through Flexibility, Multicode CCE-2, 3 pages.	
27	FARZIN MOKHTARIAN and HIROSHI MURASE, Silhouette-Based Object Recognition through Curvature Scale Space, IEEE, Proceedings from Fourth International Conference on Computer Vision, 1993, pages 269-274.	
28	IHTISHAM KABIR, A Computer Vision System Using Fast, One Pass Algorithms, (Thesis), Graduate Division of the University of California, Davis, 1986 pages 1-164.	
29	ROGER D. QUINN et al., Design of an Agile Manufacturing Workcell for Light Mechanical Applications, April, 1996, pages 858 - 863, Proceedings from the 1996 IEEE International Conference on Robotics and Automation, Minneapolis, Minnesota.	
30	ANIL K. JAIN, Fundamentals of Digital Image Processing, Ch. 9, Image Analysis and Computer Vision, University of California, Davis, 1989, pages 342 - 430, Prentice Hall, Inc., Englewood Cliffs, NJ.	
31	AMIR NOVINI, Fundamentals of Machine Vision Lighting, IEEE, Proceedings of Northcon 93 Electrical and Electronics Convention, 1993, pages 38 - 46.	
27 28 29 30	FARZIN MOKHTARIAN and HIROSHI MURASE, Silhouette-Based Object Recognition through Curvature Scale Space, IEEE, Proceedings from Fourth International Conference on Computer Vision, 1993, pages 269-274.  IHTISHAM KABIR, A Computer Vision System Using Fast, One Pass Algorithms, (Thesis), Graduate Division of the University of California, Davis, 1986 pages 1-164.  ROGER D. QUINN et al., Design of an Agile Manufacturing Workcell for Light Mechanical Applications, April, 1996, pages 858 - 863, Proceedings from the 1996 IEEE International Conference on Robotics and Automation, Minneapolis, Minnesota.  ANIL K. JAIN, Fundamentals of Digital Image Processing, Ch. 9, Image Analysis and Computer Vision, University of California, Davis, 1989, pages 342 - 430, Prentice Hall, Inc., Englewood Cliffs, NJ.  AMIR NOVINI, Fundamentals of Machine Vision Lighting, IEEE, Proceedings of Northcon 93 Electrical and Electronics	

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /M.M.E/

EFS Web 2.1.17

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

			15/920	. ೪55	GEOR .	GAU:	266.
Application Number			•	•			
Filing Date		2018-03-14					
First Named Inventor Cheste		er O.D. Thompson					
Art Unit							
Examiner Name							
Attorney Docket Number		16223-00341					

	1 3 / 1	WESLEY E. SNYDER, Industrial Robots Computer Interfacing and Control, Ch., 13 Computer Vision, North Carolina State University, 1985, pages, 248 - 283, Prentice-Hall, Inc., Englewood, NJ.						
	33	European Search Report for European Patent Application No. 12 00 3030, dated August 27, 2012.						
	34	International Preliminary Report on Patentability for International Patent Application No. PCT/US2011/034564, dated July 25, 2013.						
If you wish to add additional non-patent literature document citation information please click the Add button Add								
EXAMINER SIGNATURE								

#### Date Considered /MICHELLE M ENTEZARI/ 12/21/2019

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**Examiner Signature** 

<sup>1</sup> See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. 2 Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

			10/320.300	and the	UZEAU	200.
Application Number			, ,			
Filing Date		2018-03-14				
First Named Inventor	Chest	er O.D. Thompson				
Art Unit						
Examiner Name						
Attorney Docket Numb	er	16223-00341				

#### **CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

#### OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

X A certification statement is not submitted herewith.

#### **SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Mark J. Masterson/	Date (YYYY-MM-DD)	2018-03-14
Name/Print	Mark J. Masterson	Registration Number	71886

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

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The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a
  request involving an individual, to whom the record pertains, when the individual has requested assistance from the
  Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records
  may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant
  to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law
  enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

#### United States Patent and Trademark Office



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P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

#### NOTICE OF ALLOWANCE AND FEE(S) DUE

33772 7590 11/03/2021 MCDONALD HOPKINS LLC 600 Superior Avenue, East Suite 2100 CLEVELAND, OH 44114-2653 EXAMINER

HAUSMANN, MICHELLE M

ART UNIT PAPER NUMBER

2661

DATE MAILED: 11/03/2021

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
15/920,955	03/14/2018	Chester O.D. Thompson	16223-00341	2833

TITLE OF INVENTION: KEY BLANK IDENTIFICATION SYSTEM WITH GROOVE SCANNING

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	SMALL	\$600	\$0.00	\$0.00	\$600	02/03/2022

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Maintenance fees are due in utility patents issuing on applications filed on or after Dec. 12, 1980. It is patentee's responsibility to ensure timely payment of maintenance fees when due. More information is available at www.uspto.gov/PatentMaintenanceFees.

Page 1 of 3

PTOL-85 (Rev. 02/11)

#### PART B - FEE(S) TRANSMITTAL

Complete and send	this form, together	with applicable fee(s	s), by mail or fax, or v	via EFS-Web.		
By mail, send to:	Mail Stop ISSUE Commissioner for P.O. Box 1450 Alexandria, Virgii	Patents			By fax, send to	o: (571)-273-2885
further correspondence	including the Patent, adva	nce orders and notificatio	on of maintenance fees will	be mailed to the curre	s 1 through 5 should be complent correspondence address as 'FEE ADDRESS" for mainte	s indicated unless corrected
CURRENT CORRESPONI	DENCE ADDRESS (Note: Use Bi 7590 11/03 D HOPKINS LLC		No Fee pap hav I h Sta adc	te: A certificate of m c(s) Transmittal. This pers. Each additional re its own certificate of Certi- ereby certify that this tes Postal Service will dressed to the Mail St	nailing can only be used for certificate cannot be used for paper, such as an assignmen of mailing or transmission.  Ificate of Mailing or Transm. Fee(s) Transmittal is being the sufficient postage for first top ISSUE FEE address above bor by facsimile to (571) 27.	domestic mailings of the rany other accompanying it or formal drawing, must mission deposited with the United class mail in an envelope ve, or being transmitted to
	OH 44114-2653					(Typed or printed name
						(Signature
						(Date
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTO	R	ATTORNEY DOCKET NO.	CONFIRMATION NO.
15/920,955	03/14/2018	-	Chester O.D. Thompson		16223-00341	2833
TITLE OF INVENTION	N: KEY BLANK IDENT	IFICATION SYSTEM W	ITH GROOVE SCANNII	NG		
APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE	FEE TOTAL FEE(S) DUE	DATE DUE
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nonprovisional	SMALL	\$600	\$0.00	\$0.00	\$600	02/03/2022
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EXA	MINER	ART UNIT	CLASS-SUBCLASS	]		
HAUSMANN	, MICHELLE M	2661	382-216000	-		
1. Change of correspond	lence address or indicatio	n of "Fee Address" (37	2. For printing on the	patent front page, list		
CFR 1.363).			(1) The names of up to or agents OR, alternat	o 3 registered patent		
Change of corres	pondence address (or Cha	nge of Correspondence	(2) The name of a sing	gle firm (having as a r		
	•	II I all and an farm DTO	registered attorney or 2 registered patent attorney	orneys or agents. If no		
SB/47; Rev 03-09 or	dication (or "Fee Address more recent) attached. U	se of a Customer	listed, no name will be	e printed.	3	
Number is required 3. ASSIGNEE NAME A		A TO BE PRINTED ON	THE PATENT (print or ty	rne)		
PLEASE NOTE: Un	less an assignee is identifi	ed below, no assignee da	ta will appear on the paten	t. If an assignee is ide	entified below, the document	must have been previously
recorded, or filed for	recordation, as set forth	n 37 CFR 3.11 and 37 CI	FR 3.81(a). Completion of	f this form is NOT a s	substitute for filing an assigm	nent.
(A) NAME OF ASS	IGNEE		(B) RESIDENCE: (CIT	Y and STATE OR CO	OUNTRY)	
Please check the approp	riate assignee category or	categories (will not be p	rinted on the patent) : 🗖 I	ndividual 🖵 Corpora	ation or other private group e	ntity 🗖 Government
4a. Fees submitted:		lication Fee (if required)		# of Copies		
4b. Method of Payment	: (Please first reapply any	previously paid fee show	vn above)	•		
☐ Electronic Payme	ent via EFS-Web	Enclosed check	Non-electronic payment by	y credit card (Attach t	form PTO-2038)	
The Director is he	ereby authorized to charg	e the required fee(s), any	deficiency, or credit any o	verpayment to Depos	sit Account No	
5. Change in Entity Sta	atus (from status indicate	ed above)	NOTE: Al		E di Con Con Pro	(CD (154 115D) :
Applicant certifyi	ing micro entity status. Se	ee 37 CFR 1.29	fee payment in the micro	entity amount will n	Entity Status (see forms PTO of be accepted at the risk of a	application abandonment.
Applicant asserting	ng small entity status. See	37 CFR 1.27	to be a notification of lo	ss of entitlement to m	er micro entity status, checkir icro entity status.	
Applicant changi	ng to regular undiscounte	d fee status.	NOTE: Checking this be entity status, as applicab	ox will be taken to be	a notification of loss of entitl	ement to small or micro
NOTE: This form must	be signed in accordance v	with 37 CFR 1.31 and 1.3	3. See 37 CFR 1.4 for sign		nd certifications.	
Authorized Signature	e			Date		
Typed or printed nan	ne			Registration No	)	

Page 2 of 3 OMB 0651-0033

PTOL-85 Part B (08-18) Approved for use through 01/31/2020

### United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
15/920,955	03/14/2018	Chester O.D. Thompson	16223-00341	2833
33772 75	90 11/03/2021		EXAM	IINER
MCDONALD H		HAUSMANN,	MICHELLE M	
600 Superior Aven Suite 2100	ue, East		ART UNIT	PAPER NUMBER
CLEVELAND, OF	H 44114-2653		2661	

DATE MAILED: 11/03/2021

#### Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

#### OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

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- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Application No. Applicant(s) 15/920,955 Thompson et al.				
Notice of Allowability	Examiner MICHELLE M ENTEZARI HAUSMANN	Art Unit 2661	AIA (FITF) Status No	
The MAILING DATE of this communication appe All claims being allowable, PROSECUTION ON THE MERITS IS (herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIC of the Office or upon petition by the applicant. See 37 CFR 1.313 a	OR REMAINS) CLOSED in this appli or other appropriate communication w GHTS. This application is subject to w	cation. If not ir vill be mailed in	ncluded n due course. <b>THIS</b>	
1. ✓ This communication is responsive to 8 October 2021.  ☐ A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was/	were filed on			
2. An election was made by the applicant in response to a rest restriction requirement and election have been incorporated		e interview on	; the	
3. ✓ The allowed claim(s) is/are 40-53 and 55-56. As a result of Prosecution Highway program at a participating intellectual , please see http://www.uspto.gov/patents/init_events/pp	al property office for the corresponding	g application. F	For more information	
4. Acknowledgment is made of a claim for foreign priority under Certified copies:  a) All b) Some* c) None of the:  1. Certified copies of the priority documents have 2. Certified copies of the priority documents have 3. Copies of the certified copies of the priority do International Bureau (PCT Rule 17.2(a)).  * Certified copies not received:  Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.  5. CORRECTED DRAWINGS (as "replacement sheets") must including changes required by the attached Examiner's Paper No./Mail Date  Identifying indicia such as the application number (see 37 CFR 1. sheet. Replacement sheet(s) should be labeled as such in the heat attached Examiner's comment regarding REQUIREMENT F	e been received. e been received in Application No cuments have been received in this n of this communication to file a reply of ENT of this application. be submitted. Amendment / Comment or in the Off .84(c)) should be written on the drawing ader according to 37 CFR 1.121(d). IOLOGICAL MATERIAL must be sub	complying with ice action of gs in the front (	the requirements	
Attachment(s)  1. Notice of References Cited (PTO-892)  2. Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date  3. Examiner's Comment Regarding Requirement for Deposit of Biological Material 4. Interview Summary (PTO-413), Paper No./Mail Date.  /MICHELLE M ENTEZARI/ Primary Examiner, Art Unit 2661	5. ☐ Examiner's Amendn 6. ☑ Examiner's Stateme 7. ☐ Other	nent/Comment		

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-13)

Notice of Allowability

Part of Paper No./Mail Date 20211025

Application/Control Number: 15/920,955 Page 2

Art Unit: 2661

#### **DETAILED ACTION**

#### Response to Amendment

1. Claims 40-53, 55, and 56 are pending. Claim 40 is amended.

#### Response to Arguments

- 2. Applicant's arguments, see page 5, filed 8 October, 2021, with respect to the double patenting rejection of claim 54 along with accompanying amendments have been fully considered and are persuasive. The double patenting rejection of claim 54 has been withdrawn.
- 3. Applicant's arguments, see pages 5-7, filed 8 October, 2021, with respect to the 35 USC 103 rejections of claims 40-53, 55, and 56 along with accompanying amendments have been fully considered and are persuasive. The 35 USC 103 rejections of claims 40-53, 55, and 56 have been withdrawn.

#### Allowable Subject Matter

- 4. **Claims 40-53, 55, and 56** are allowed.
- 5. The following is an examiner's statement of reasons for allowance: As noted above, arguments with respect to the prior art cited were persuasive. The following art is also cited as relevant, but not sufficient alone or in combination to disclose, teach or fairly suggest the subject matter of the independent claim, in particular "use a **single camera** to capture images of **multiple angles of the master key** to determine groove characteristics of each side of the blade of the master key **without removing the master key from the slot**" or does not predate the current application:

**WO 2008066857 A2**: A **fully automatic key duplicating machine**. A master key alignment module is movably disposed into and out of proximity with a master key clamping

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module, the master key being aligned by the alignment module within the master key clamping module. A master key identification module is disposed in fixed relation to the master key clamping module and identifies a type of key secured in the master key clamping module. A central positioning base is provided automatically movable into and out of engagement with the key cutting module and optionally the alignment module, adapted to move the master key alignment module into proximity with the master key clamping module and adapted to secure a key blank to be cut at the key cutting module in accordance with the tooth pattern of a clamped master key [predates via AIA rules but does not predate under pre-AIA, current application is pre-AIA, does not specify imaging multiple angles]

Machine Translation JP 2003-155853 A: The housing 22 preferably includes a door (not shown) or some opening through which the master key 32 can be installed in the key identification system 20. The door can be opened and closed automatically by the controller during the key identification process (as described in more detail below).

Alternatively, the door may be opened and closed manually by the user. As illustrated in FIGS.

1-3, the first light emitting surface 24 is preferably configured to receive the master key 32. It is envisioned that the master key 32 may be placed directly on the first light emitting surface 24, or a transparent support 142 (see FIG. 12) may be provided to receive the master key. The uniform light generated by the second light emitting surface 26 is generated by any groove or milling on the key blade, Once placed and the housing 22 door closed, the identification process then begins. The frame 38 ensures that the master key 32 is placed in the field of view of the receiver 28. Closing the door may initiate the identification process, or the user may press one of the buttons 36 to initiate the identification process [does not specify imaging multiple angles]

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**US 20210237175 A1:** For example, the kiosk presents user interface 718 to a user 709 and receives information from the user to identify keys and select matching key blanks for copying and for handling programming and pairing of keys with vehicles. The algorithms may include: the **automatic detection of key features** (e.g., tip, shoulder (if applicable), bottom, top, blade width, shoulder to tip distance); the **auto-registration of a key image including the auto-rotation of the key.** The automatic algorithms can, without manual manipulation, extract key cut depths and key bitting data with a high degree of accuracy [does not predate]

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used to verify the proper position and alignment of the master key 110 and key blank 100 are placed in the appropriate jaws 12. The gauge fork 26 is used to verify the proper position and alignment of the master key 110 and key blank 100 and then rotated to an out of the way position as shown in FIG. 7. Without input from the user, the motor draws the carriage 18 to the left and the key pattern 112 of the master key 110 is cut into the blade 106 of the key blank 100 [does not specify imaging multiple angles]

**US 9556649 B1**: As with other key clamp embodiments, the key clamp 106 may clamp the key 50 in response to **manual operation of a user**, such as repositioning a knob, handle, or the like, or alternatively in response to an electronic motor Embodiments comprising a second digital identifier 112 are also contemplated. The second digital identifier 112 may be positioned within the key identification system housing 102 at an **acute angle relative to a key blade** 52 maintained within the key receiving aperture 104. For example, the second digital identifier 112 may be **positioned at approximately 30, 45, or 60 degrees**, or alternatively anywhere between 0 and 90 degrees relative to a key blade 52 maintained within the key receiving aperture 104. Positioning of the first 110 and second 112 digital identifiers as described allows

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for more accurate image capturing of the key blade 52 [does not specify imaging multiple

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angles]

**US 7891919 B2:** Prior to clamping the key blank 24 in the clamping assembly 64, the key blank 24 may be aligned to insure a key pattern is properly cut into the blank 24. The position of the key blank 24, as **initially manually positioned by the user**, may be determined from the captured image of the blank 24. This image is analyzed by logic and compared to the ideal position for cutting the blank 24, and the positioning of the blank 24 is adjusted to conform to the ideal cutting position. In one embodiment, surface features of the blade 32 may be determined by positioning the optical imaging device 50 to an angle with respect to the surface of the blade 32. As best shown in FIGS. 9 and 10, a pair of key positioner fingers 72 and 74 engages the key blank 24 to align the blank 24. The left positioner finger 72 engages the left side of the blade 32, and the right positioner finger 74 engages the right side of the blade 24. This engagement squares the key blank 24 with respect to the X-axis and Y-axis to correct for a user having placed the blank 24 into the slot 20 at an angle. The engagement of the fingers 72 and 74 may also properly position the blade 32 along the X-axis. If the user did not insert the blank 24 far enough into the slot 20, the fingers 72 and 74 may move the blade 32 along the X-axis to the proper position. As previously described, the retention mechanism 19 secures a blank key 24; however, the force applied to a key 22 and 24 by the door clamp 14 and base 16 allows the key 22 and 24 to be adjusted relative to the machine 10 [same inventor, published Sep 2007 so predates application, but does not describe imaging at different angles]

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**US 9269136 B2**: In an embodiment of the present disclosure, the measurements captured from the reflected image 200 by cut measurement module 630 discussed in detail above may be **automated without any user input** so that the user is not required to click on the reflected image 200 via user interface 280. Rather, the measurements may be automatically captured by cut measurement module 630 after the reflected image 200 has been captured by the user and submitted for measurements Key replication computing device 605 may then detect where each cut is in the key and cut measurement module 630 may measure the depth of each cut in the key without the user selecting the maximum depth for each cut in the key. The automated measurement of the depths of each cut in the key by cut measurement module 630 may minimize the user error generated by the user not quite clicking on the maximum depth of each cut in the key via user interface 280 [does not specify imaging multiple angles]

**US 20060147289 A1**: Referring to FIG. 13, the relative cutting position of a held key blank 66 and a held and aligned key master 64 is shown with respect to cutter pin 132. The figure shows how apex 135 of guide section 134 of cutter pin 132 fits snugly into each bitting or notch of key master and in doing so, **automatically and correctly positions the held key blank** 66 [does not specify imaging multiple angles]

**US 5556240 A**: A key positioning fixture facilitates the accurate duplication of double-sided key on a key cutting machine and includes a first key alignment element having an upper clamping element with a first clamping surface and a lower clamping element with a second clamping surface. A position control element engages the upper and lower clamping elements to control the spacing between the clamping surfaces to align the centerline of the key with the centerline of the first key alignment element. A second key alignment element engages the

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first and second sides of the key blade to maintain the key in a fixed position. Referring now to FIGS. 64A, 64B and 64C, insertion of master key 958 into the master key alignment means 982 is illustrated. The **master key alignment** step for the particular embodiment of the invention illustrated in FIG. 64 should take place second in time relative to insertion of the key blank 936 into the key blank alignment means 976. If the master key 958 were to be inserted first without first manually laterally displacing the two cutter heads of the key cutting machine, the shoulder 972 of the master key would engage the interior edges of key followers 988 and improperly longitudinally index master key 958 with key shoulders 972 rather than with key tip 968 as is necessary with this tip indexed embodiment of the invention [does not specify imaging multiple angles]

**US 8292556 B2**: A key cutting machine has multiple modes of operation, including an **automatic mode of operation** wherein a clamp mechanism configured to hold a key blank is held without manual assistance in a position engaged with a key cutting element and a motor controls a movement of the clamp mechanism, a semi-automatic mode of operation wherein the clamp mechanism is held without manual assistance in a position engaged with the key cutting element and the motor does not control a movement of the clamp mechanism, and a manual mode of operation wherein the clamp mechanism is manually held in a position engaged with the key cutting element and the motor does not control a movement of the clamp mechanism.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the

Application/Control Number: 15/920,955

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issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for

Page 8

Allowance."

Conclusion

6. Any inquiry concerning this communication or earlier communications from the examiner

should be directed to MICHELLE M ENTEZARI HAUSMANN whose telephone number is

(571)270-5084. The examiner can normally be reached 10-7 M-F.

Examiner interviews are available via telephone, in-person, and video conferencing using

a USPTO supplied web-based collaboration tool. To schedule an interview, applicant is

encouraged to use the USPTO Automated Interview Request (AIR) at

http://www.uspto.gov/interviewpractice.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, VINCENT M RUDOLPH can be reached on (571)272-8243. The fax phone number

for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of published or unpublished applications may be

obtained from Patent Center. Unpublished application information in Patent Center is available

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Representative, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/MICHELLE M ENTEZARI/

Primary Examiner, Art Unit 2661

#### Application/Control No. Applicant(s)/Patent Under 15/920,955 Reexamination Thompson et al. Notice of References Cited Examiner Art Unit MICHELLE M ENTEZARI 2661 Page 1 of 1 **HAUSMANN** U.S. PATENT DOCUMENTS **Document Number** Date CPC Classification US Classification Name MM-YYYY Country Code-Number-Kind Code \* Α US-20210237175-A1 08-2021 Robertson; Douglas Lawrence G05B19/4093 1/1 \* 06-2005 В US-20050135891-A1 Ryai, Richard Wm. SR. B23Q11/0075 409/81 \* С US-9556649-B1 01-2017 Mueller; Michael A. G06K9/6267 1/1 \* 02-2011 D US-7891919-B2 Bass; Michael A. G06K9/48 409/82 \* 02-2016 Ε US-9269136-B2 Day; James W. G01B11/14 1/1 07-2006 Gimelfarb; Grigory F US-20060147289-A1 B21D53/42 409/277 \* G US-5556240-A 09-1996 Almblad; Robert E. B23C3/35 409/81 Н US-8292556-B2 10-2012 Ryai, Sr.; Richard Wm. B23Q11/0075 409/83 1 J Κ L М FOREIGN PATENT DOCUMENTS Date Document Number Country Name **CPC Classification** MM-YYYY Country Code-Number-Kind Code WO-2008066857-A2 Ν 06-2008 WO B23C3/35 FREEMAN ARI 0 JP-2003155853-A 05-2003 JΡ CAMPBELL, JOHN B23C3/35 Ρ Q R S Т **NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Machine Translation JP 2003-155853 A (Year: 2003)
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<sup>\*</sup>A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

Notice of References Cited

Part of Paper No. 20211025

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	15/920,955	Thompson et al.
	Examiner  MICHELLE M ENTEZARI HAUSMANN	<b>Art Unit</b> 2661

CPC	CPC						
Symbol			Туре	Version			
G06K	/ 9	/ 48	F	2013-01-01			
G06K	/ 9	/ 00208	1	2013-01-01			
G06K	/ 9	/ 209	1	2013-01-01			
G06T	/ 7	1 62	1	2017-01-01			
G06K	/ 9	/ 6202	1	2013-01-01			
B23C	/ 3	/ 35	I	2013-01-01			
G06K	/ 9	/ 2036	1	2013-01-01			
H04N	/ 5	1 247	1	2013-01-01			
Y10T	/ 409	/ 300952	A	2015-01-15			
Y10T	/ 409	/ 301064	A	2015-01-15			
Y10T	/ 409	/ 301008	A	2015-01-15			
B23C	/ 2235	<i>l</i> 41	A	2013-01-01			
B23C	/ 2235	/ 12	A	2013-01-01			
G06T	/ 2207	/ 10141	A	2013-01-01			

CPC Combination Sets					
Symbol	Туре	Set	Ranking	Version	

NONE		Total Claims Allowed:	
(Assistant Examiner)	(Date)	16	3
/MICHELLE M ENTEZARI/ Primary Examiner, Art Unit 2661	25 October 2021	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	14

U.S. Patent and Trademark Office

Part of Paper No.: 20211025

	Application/Control No.	Applicant(s)/Patent Under Reexamination		
Issue Classification	15/920,955	Thompson et al.		
	Examiner	Art Unit		
	MICHELLE M ENTEZARI HAUSMANN	2661		

INTERNATIONAL CLASS	SIFICATION	
CLAIMED		
G06K	/ 9	48
G06K	/ 9	/ 00
G06K	/ 9	/ 20
G06K	/ 9	62
G06T	/ 7	62
B23C	/ 3	<i>l</i> 35
H04N	<i>l</i> 5	247
NON-CLAIMED		

US ORIGINAL CLASSIFICATION				
CLASS SUBCLASS				

CROSS REFERENCES(S)							
CLASS SUBCLASS (ONE SUBCLASS PER BLOCK)							

NONE		Total Claims	s Allowed:
(Assistant Examiner)	(Date)	16	3
/MICHELLE M ENTEZARI/ Primary Examiner, Art Unit 2661	25 October 2021	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	14

U.S. Patent and Trademark Office

Part of Paper No.: 20211025

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	15/920,955	Thompson et al.
	Examiner	<b>Art Unit</b> 2661
	MICHELLE M ENTEZARI HAUSMANN	200

	☐ Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.47														
CLAIM	CLAIMS														
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original
1	40	10	49												
2	41	11	50												
6	42	12	51												
7	43	13	52												
3	44	14	53												
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5	46	15	55												
8	47	16	56												
9	48														

NONE		Total Claims	s Allowed:
(Assistant Examiner)	(Date)	16	3
/MICHELLE M ENTEZARI/ Primary Examiner, Art Unit 2661	25 October 2021	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	14

U.S. Patent and Trademark Office

Part of Paper No.: 20211025

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	15/920,955	Thompson et al.
	Examiner  MICHELLE M ENTEZARI HAUSMANN	<b>Art Unit</b> 2661

CPC - Searched*						
Symbol	Date	Examiner				
B23C2235/12, 41 (keyword limited)	12/17&12/19/2019	Entezari				
B23C3/35 (keyword limited)	12/17&12/19/2019	Entezari				
G06K9/00208, 2036, 209, 48, 6202 (keyword limited)	12/17&12/19/2019	Entezari				
G06T2207/10141 (keyword limited)	12/17&12/19/2019	Entezari				
G06T7/62 (keyword limited)	12/17&12/19/2019	Entezari				
H04N5/247 (keyword limited)	12/17&12/19/2019	Entezari				
Y10T409/300952, 301008, 301064 (keyword limited)	12/17&12/19/2019	Entezari				

CPC Combination Sets - Searched*						
Symbol Date Examiner						

US Classification - Searched*						
Class Subclass Date Examiner						

 $<sup>^{\</sup>star}$  See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

<u> </u>	

	Application/Control No.	Applicant(s)/Patent Under Reexamination	
Search Notes	15/920,955	Thompson et al.	
	Examiner	Art Unit	
	MICHELLE M ENTEZARI HAUSMANN	2661	

Search Notes					
Search Notes	Date	Examiner			
see EAST search, includes INV, IDS	12/17-12/21/2019	Entezari			
see google scholar search	12/21/2019	Entezari			
see ip.com search	12/21/2019	Entezari			
see updated EAST search	08/04/2020	Entezari			
see updated EAST search	4/2-4/4/2021	Entezari			
see updated EAST search	10/22-10/25/2021	Entezari			
see ip.com search	10/25/2021	Entezari			
see google scholar search	10/25/2021	Entezari			

Interference Search					
US Class/CPC Symbol	US Subclass/CPC Group	Date	Examiner		
B23C	2235/12, 41 (keyword limited)	10/25/2021	Entezari		
B23C	3/35 (keyword limited)	10/25/2021	Entezari		
G06K	9/00208, 2036, 209, 48, 6202 (keyword limited)	10/25/2021	Entezari		
G06T	2207/10141 (keyword limited)	10/25/2021	Entezari		
G06T	7/62 (keyword limited)	10/25/2021	Entezari		
H04N	5/247 (keyword limited)	10/25/2021	Entezari		
Y10T	409/300952, 301008, 301064 (keyword limited)	10/25/2021	Entezari		

## **Bibliographic Data**

15/920,955 Application No:  $\bigcirc$  No O Yes Foreign Priority claimed: Yes  $\square$ No ☐ Met After Allowance 35 USC 119 (a-d) conditions met: Verified and Acknowledged: /MICHELLE M ENTEZARI/ Examiner's Signature **Initials** KEY BLANK IDENTIFICATION SYSTEM WITH GROOVE Title: **SCANNING** 

FILING or 371(c) DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.
03/14/2018	382	2661	16223-00341
RULE			

#### **APPLICANTS**

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#### **INVENTORS**

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William R. Mutch, North Ridgeville, OH, UNITED STATES

#### **CONTINUING DATA**

This application is a CON of 15351634 11/15/2016 PAT 9934448

15351634 is a CON of 14162157 01/23/2014 PAT 9514385

14162157 is a CON of 12772709 05/03/2010 PAT 8644619

12772709 has PRO of 61275654 09/01/2009

12772709 has PRO of 61215152 05/01/2009

#### FOREIGN APPLICATIONS

#### IF REQUIRED, FOREIGN LICENSE GRANTED\*\*

04/10/2018

\*\* SMALL ENTITY \*\*

#### STATE OR COUNTRY

**UNITED STATES** 

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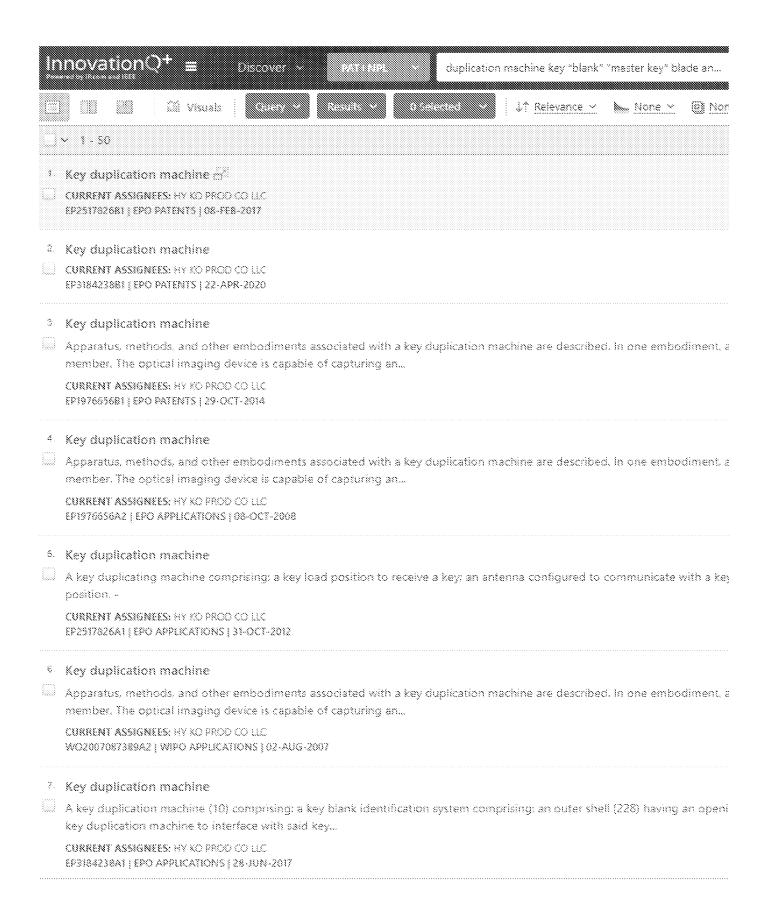
**UNITED STATES** 

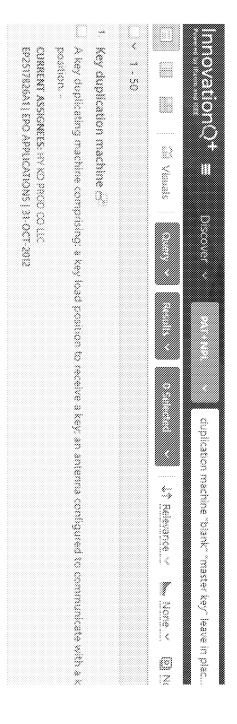
#### FILING FEE RECEIVED

# **Web Search History**

date, time	web site	search string
10/25/2021 10:10:55 AM	Google Scholar	key blank copying camera blade angles without removing from housing platform
10/25/2021 10:11:41 AM	Google Scholar	key blank "master key" copying camera blade angles without removing from housing
10/25/2021 10:42:27 AM	Google Scholar	duplication machine key "blank" "master key" blade angles without user
10/25/2021 10:43:51 AM	Google Scholar	duplication machine "blank" "master key" leave in place during copying
10/25/2021 10:45:08 AM	Google Scholar	duplication machining "blank" "master key" without user intervention
10/25/2021 10:46:15 AM	Google Scholar	key duplication "blank" "master key" door closed during operation groove angles

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	he method of manufacturing the copy key 22 applies the use of the scanning device 100 to capture the physical profile of the
	configuration can be assigned as the only code and
	TURBENT ASSIGNEES: PREJEAN RONALD MARTIN IR2003003Z03ZA ( KOREA APPLICATIONS ) 23-APR-Z003
2.	(ey manufacturing method
	he method of manufacturing the copy key 22 applies the use of the scanning device 100 to capture the physical profile of the tast configuration can be assigned as unique code and
	TURRENT ASSIGNEES: PREIFAN RONALD MARTIN
	(R10):75456381   KOREA PATENTS   05-SEP-2007
3.	Sey recognition system
	s method and system for identifying a key blank corresponding to a master key is provided. The system includes a housing, a
	ousing and is disposed opposite the first source of
	Burrent Assignees: Helman Group inc De60213933T2   Germany Patents   15-mar-2007
4.	iystems and methods for creating duplicate keys
	he present invention generally relates to the field of replicating or copying keys. More specifically, the present invention rela
	naster key and decoding the master key based on the
	IURRENT ASSIGNEES: IKEYLESS LLC IS20210237175   US APPLICATIONS   05-AUG-2021
S.	(ey identification system
	k system and method for identifying a key blank from a master key is provided. The system includes a housing, a first light so elative to the housing. And a second light source
	TURRENT ASSIGNEES: HILLMAN GROUP INC P2003155853A   JAPAN APPLICATIONS   30-MAY-2003
S.	(ey blank identification system with groove scanning
	key identification system is provided. The key identification system comprises an imaging system to capture an image of a
	naster key from an angle between perpendicular and parallel
	Turrent Assignees: Hy ko prod co llc vozo10127352A2   Wipo Applications   04-nov-2010





- Key duplication machine
- CURRENT ASSIGNESS HY KO PROD CO LLC EP751782681 | EPO PRIENTS | 08-7EB-2017
- 3. Key duplication machine
- key duplication machine to interface with said key... A key duplication machine (10) comprising a key blank identification system comprising an outer shell (228) having an ope

CURRENT ASSIGNEES: HY KO PROD CO LLC EP3184238A1 | EPO APPUCATIONS | 28-JUN-2017

- Key duplication machine
- member. The optical imaging device is capable of capturing an... Apparatus, methods, and other embodiments associated with a key duplication machine are described. In one embodiment

CURRENT ASSIGNATES BY KO PROD COLLC.
WCZGIZGS6665A1 J WIPO APPLICATIONS J 19-JUL-2012

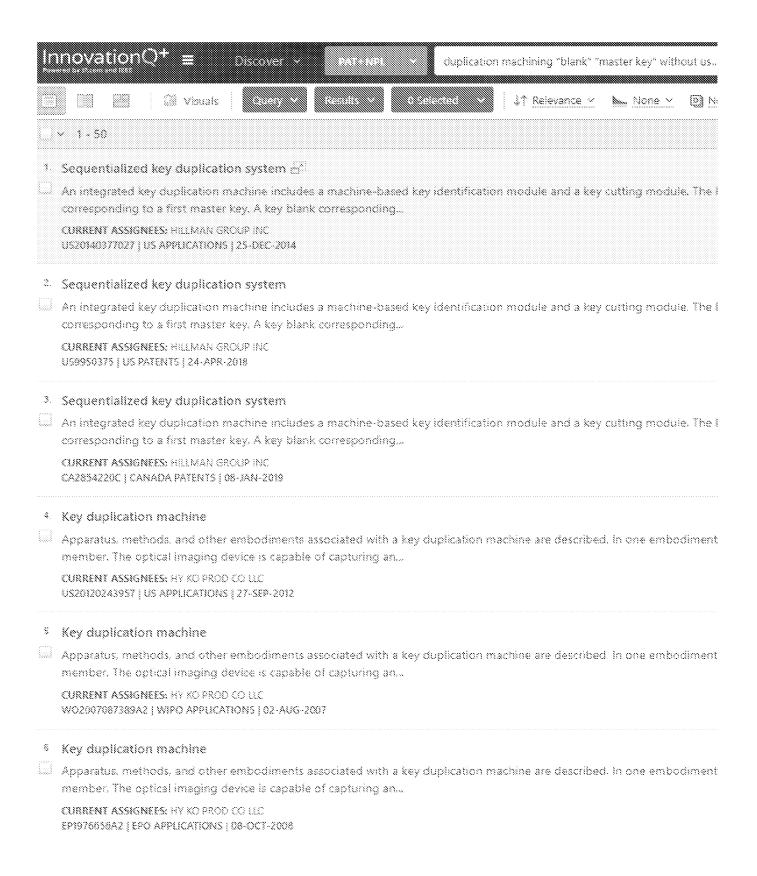
- 5. Key duplication machine
- CURRENT ASSIGNRES: HY XO PROD COLLO ES EP318423881 J. EPO PATENTS J. 22-APR-2020
- Key duplication machine
- Apparatus, methods, and other embodiments associated with a key duplication machine are described. In one embodiment member. The optical imaging device is capable of capturing an...

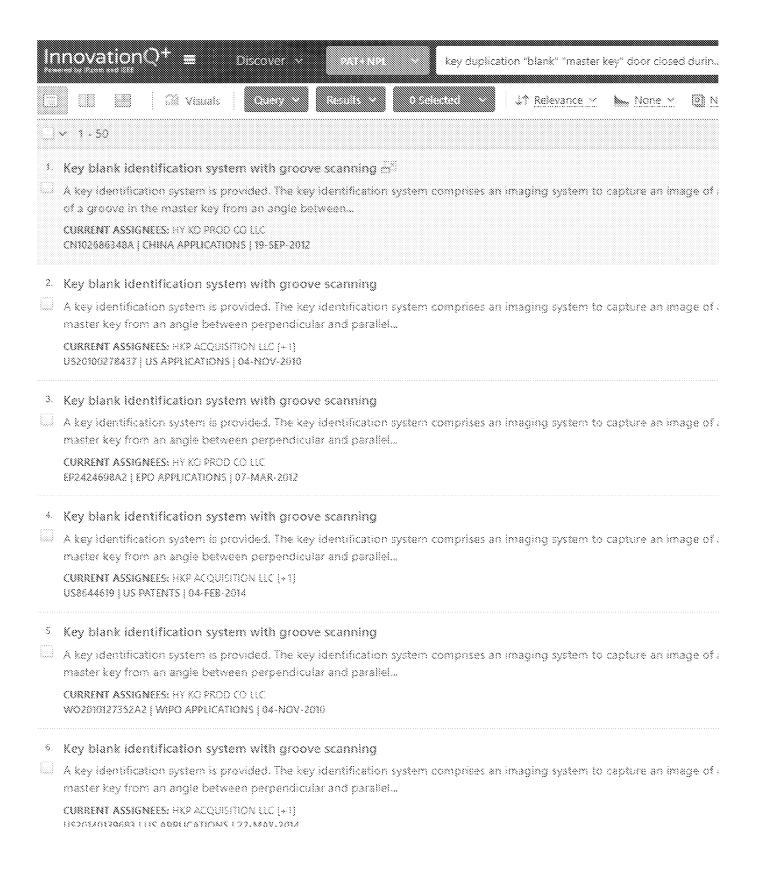
CURRENT ASSIGNAES: HY KO PROD CO LLC EP197665681 | EPO PATENTS | 28-OCT-2014

# 7. Key duplication machine

member. The optical imaging device is capable of capturing an.. and other embodiments associated with a key duplication machine are described. In one embodiment

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## **EAST Search History**

## **EAST Search History (Prior Art)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
S217	0	((ENTEZARI OR HAUSMANN).XP. OR (ENTEZARI OR HAUSMANN).XA.) AND REMOV\$3 NEAR3 KEY.CLM.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/10/22 21:49
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S222	4	maintain\$3 near2 position with master adj key	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/10/22 22:15
S223	153	(close or closed or shut) near2 door same master adj key	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/10/22 22:16

			,			
S224	66	S223 and @ad<"20090501"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	OFF	2021/10/22 22:17
S225	222	(copy or copied or copying or duplicating or duplicated) near3 master adj key and @ad<"20090501"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/10/22 22:19
S226	60	S225 and ((close or closed or shut) near2 door or leav\$3 or fixed adj2 place or (prevent or without) near2 (removing or removal))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/10/22 22:20
S227	2	"20080145163".pn. and (angle or angular or around or degrees or orientation or orient\$3 or rotat\$4 or spin)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/10/22 22:23
S229	2	S225 and (remain\$3 adj2 place)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/10/22 22:32
S228	0	S225 and (remain\$3 adj2 mounted)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/10/22 22:32
S230	6	S225 and without adj2 (manual or manually)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/10/22 22:33
S231	6	("20140139683" "20020191849" "20210237175").pn. and (without or fixed or stationary or place or remov\$3 oe rotat\$4)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2021/10/25 10:54

S232	4	("20140139683" "20020191849" "20210237175").pn. and (prevent\$3)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2021/10/25 10:55
S233	2,837	(copy or copied or copying or duplicating or duplicated) and master adj key and @ad<"20090501" and (manual or manually or without with user or prevent\$3)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/10/25 10:58
S234	833	(copy or copied or copying or duplicating or duplicated) and master adj key and @ad<"20090501" and (manual or manually or without or prevent\$3) near2 user	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/10/25 10:59
S236	9	S235 and S234	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/10/25 11:00
S235	77,753	( (B23C2235/12 OR B23C2235/41 OR B23C3/35 OR G06K9/00208 OR G06K9/2036 OR G06K9/209 OR G06K9/48 OR G06K9/6202 OR G06T2207/10141 OR G06T7/62 OR H04N5/247 OR Y10T409/300952 OR Y10T409/301008 OR Y10T409/301064).CPC. )	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	OFF	2021/10/25 11:00
S237	114	(copy or copied or copying or duplicating or duplicated or duplication) near2 key and master adj key and @ad<"20090501" and (automated or automatic or automatically) near3 (rotate or rotated or rotating or position or positioning or angle or orientation)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/10/25 11:50
S239	42	automatic with key.ti. and freeman near daniel.inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/10/25 11:57
S238	51	key.ti. and freeman near daniel.inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO;	NEAR	ON	2021/10/25 11:57

			DERWENT; IBM_TDB			
S243	2	"8292556".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	OFF	2021/10/25 14:52
L1	1	15/920955 and angle	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/10/25 20:37
L2	1	"7891919".pn. and angle	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/10/25 20:39

# **EAST Search History (Interference)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
S241	1,918	S240 and (manual or manually or without or prevent\$3) near2 user	USPAT	NEAR	ON	2021/10/25 11:01
S240	11,345	( (B23C2235/12 OR B23C2235/41 OR B23C3/35 OR G06K9/00208 OR G06K9/2036 OR G06K9/209 OR G06K9/48 OR G06K9/6202 OR G06T2207/10141 OR G06T7/62 OR H04N5/247 OR Y10T409/300952 OR Y10T409/301064).CPC. )	USPAT	NEAR	OFF	2021/10/25 11:01
S242	140	S241 and imag\$3 near2 key	USPAT	NEAR	ON	2021/10/25 11:05

 $10/25/2021~9:24:07~PM \\ C:\Users\mentezari\Documents\EAST\Workspaces\15\_920\_955.wsp$ 

# PATENT APPLICATION

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application: Chester O.D. Thompson Group Art Unit: 2661

Serial No.: 15/920,955 Examiner: Michelle M. Hausmann

Filing Date: March 14, 2018 Docket No.: 16223-00341

Confirmation No.: 2833

Title: KEY BLANK IDENTIFICATION SYSTEM WITH GROOVE SCANNING

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

# **RESPONSE TO OFFICE ACTION**

Applicant acknowledges receipt of the April 8, 2021 Non-Final Office Action, setting a shortened statutory period of reply of three months. As this Response is being filed electronically on October 8, 2021, along with a three month extension and appropriate fees, Applicant believes no additional fees are due. However, if any fees are required for filing this Response, please charge such fees to our Deposit Account No. 13-0265 identifying attorney docket number 16223-00341.

# **CLAIMS**

#### What is claimed is:

- 1. 1-39. (Canceled)
- 40. (Currently Amended) A key duplication system comprising:

a housing:

a key identification system comprising:

a slot opening in the housing configured to receive the blade of a master key;

an imaging system including one [ore]or more cameras configured to record

images of the master key and at least one light source, wherein the imaging system is

configured to use a single camera to capture images of multiple angles of the master

key to determine groove characteristics of each side of the blade of the master key while

the master key is maintained in the slotwithout removing the master key from the slot:

and

a key cutting system configured to receive a key blank that the identification

system has determined to be associated with the master key based on the groove

characteristics of the master key and cut a key pattern of the master key into the key

blank.

41. (Previously Presented) The key duplication system of claim 40 wherein the groove

characteristics include a groove contour.

42. (Previously Presented) The key duplication system of claim 40 further comprising a

user interface such as a monitor or a touch screen positioned on the housing.

43. (Previously Presented) The key duplication system of claim 42 wherein the user

interface is configured to provide an output to the user or operator to facilitate key duplication.

- 44. (Previously Presented) The key duplication system of claim 41 wherein the user interface warns the user that a master key contains a computer chip.
- 45. (Previously Presented) The key duplication system of claim 41 wherein the user interface instructs the user to place the master key in or near a device designed to sense the presence of a computer chip.
- 46. (Previously Presented) The key duplication system of claim 45, wherein the system is configured as a self-serve kiosk.
- 47. (Previously Presented) The key duplication system of claim 40, wherein the one or more light sources includes a backlight.
- 48. (Previously Presented) The key duplication system of claim 40 wherein an image captured by the one or more cameras includes at least one backlight image.
- 49. (Previously Presented) The key duplication system of claim 40, wherein said characteristics of said contour includes outline data wherein said outline data includes a plurality of data points.
- 50. (Previously Presented) The key duplication system of claim 49, wherein said plurality of data points is selectively adjustable along an interval to adjust accuracy of groove identification.
- 51. (Previously Presented) The key duplication system of claim 40 further comprising a key holder configured to hold said master key.
- 52. (Previously Presented) The key duplication system of claim 51, wherein said key holder includes a clamp.
- 53. (Previously Presented) The key duplication system of claim 40, wherein a first image of said master key includes a groove in the bottom of a blade of said master key and wherein a second image of said master key includes a groove in the top of said blade of said master key.
- 54. (Canceled)

- 55. (Previously Presented) The key duplication system of claim 40 wherein the system is configured to store data related to the master key and key duplicating event.
- 56. (Previously Presented) The key duplication machine of claim 55, wherein the data is configured to be remotely communicated or downloaded for remote analysis.

# **REMARKS**

The Non-Final Office Action identifies the following:

- Claim 54 is rejected on the ground of nonstatutory double patenting over claim 1 of US Patent No. 8,644,619 in view of Bass (US 2007/0224008);
- Claims 40, 42 45, 47, 48, 51, 52, 55 and 56 are rejected as being obvious over Bass;
- Claims 41, 44 and 45 are rejected as being obvious over Bass, further in view of Almblad (US 6065911):
- Claim 46 is rejected as being obvious over Bass and Almblad, further in view of Bass II (US 2004/0095380);
- Claim 49 is rejected as being obvious over Bass, further in view of Wills (US 2001/0033781)
- Claim 50 is rejected as being obvious over Bass, further in view of Miyashita (US 2004/0179190); and
- Claim 53 is rejected as being obvious over Bass, further in view of Campbell (US 6839451).

The following amendments and remarks address each of these issues and place the present application in condition for allowance. Notably, claims 1-39 and 54 are now canceled and claims 40-53 and 55-56 remain pending.

#### I. Double Patenting

Claim 54 is rejected on the ground of nonstatutory double patenting. Claim 54 has been canceled and this rejection is respectfully withdrawn.

# II. §103 Rejections

Claims 40-56 stand rejected as allegedly obvious over various prior art references including Bass in view of Almblad, Bass II, Wills, Miyashita, and Campbell. In the remarks, the Examiner indicated the following:

"Examiner notes that claim 53 is a likely avenue towards allowance, if the claim is amended to indicate there is no operator intervention required to flip the key in order to get the two views, as Campbell et al. require a user manually flip the key. While claim 40 specifies "while the master key is maintained in the slot", the claim language could mean the key is maintained in the slot while each image is taken, but flipped between images. Examiner recommends to indicate somehow the imaging of the top and bottom which include the grooves/milling pattern are imaged simultaneously without the need for an operator to physically flop the key between image captures."

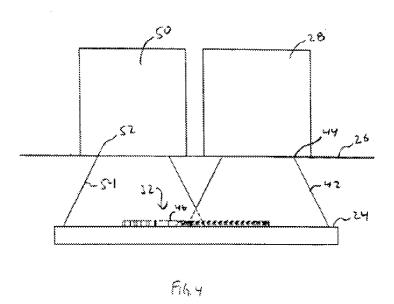
Applicant appreciates the comments provided. Claim 40 has been amended to recite features as suggested by the Examiner as well as portions of the features of claim 53. Claim 40 now recites in pertinent part:

"an imaging system including one [ore]or more cameras configured to record images of the master key and at least one light source, wherein the imaging system is configured to use a single camera to capture images of multiple angles of the master key to determine groove characteristics of each side of the blade of the master key while the master key is maintained in the slotwithout removing the master key from the slot."

It is respectfully submitted that these amendments are supported by the specification and figures of the application as originally filed and no new matter has been added. Further, Applicant submits that the cited prior art does not sufficiently teach the subject matter of amended claim 40. More particularly, the cited art fails to teach or otherwise disclose a key duplication system that includes a housing, a key identification system comprising a slot opening in the housing configured to receive the blade of a master key, an imaging system including one or more cameras configured to record images of the master key and at least one light source, wherein the imaging system is configured to use a single camera to capture images of multiple angles of the master key to determine groove characteristics of each side of the blade of the master key without removing the master key from the slot; and a key cutting system as recited by claim 40.

Further, one skilled in the art would be deterred from combining Bass with Campbell to arrive at the subject matter of claim 40. This is because Bass teaches a key duplication machine having a housing with a slot for receiving just a blade of a key while, in contrast, Campbell discloses a garage type enclosure for receiving an entire key therein to be placed on a surface. For this reason, one would not look to combine Bass (key in slot version) with Campbell (key on surface in garage version) as these two systems operate in entirely different manners based on different structures. More particularly, the imaging system of Campbell

requires placing a key directly on a luminous surface with first and second receivers (28, 50) configured for taking images of the key from a common angle. See Figure 4.



This configuration would deter one skilled in the art from capturing images from multiple angles of the master key to determine groove characteristics of each side of the blade of the master key without removing the key from the system as recited by claim 40.

Thus, for at least this reason, the combination of any of the cited prior art references fails to amount to a *prima facie* case of obviousness as the combined teachings of the cited art fail to teach each and every feature as recited by the independent claim 40. For at least this reason, all remaining claims 40-53, and 55-56 are patentable over the cited references and are in condition for allowance.

# **CONCLUSION**

In light of the foregoing, Applicants submits that the application is now in condition for acceptance. If the Examiner has any questions pertaining to the above, then the undersigned attorney would welcome a phone call to provide any further clarification or a formal interview.

Respectfully submitted,

Date: October 8, 2021 /Mark J. Masterson/

Mark J. Masterson – Reg. No. 71,886 McDonald Hopkins LLC 600 Superior Avenue, E., Suite 2100 Cleveland, OH 44114-2653 (216) 348-5427

Electronic Patent Application Fee Transmittal							
Application Number:	15920955						
Filing Date:	14-Mar-2018						
Title of Invention:	KEY BLANK IDENTIFICATION SYSTEM WITH GROOVE SCANNING						
First Named Inventor/Applicant Name:	t Named Inventor/Applicant Name: Chester O.D. Thompson						
Filer:	Mark Jason Masterson/Danielle Mueller						
Attorney Docket Number:	16223-00341						
Filed as Small Entity	Filed as Small Entity						
Filing Fees for Utility under 35 USC 111(a)							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:							
Claims:	Claims:						
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							
Extension-of-Time:							

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Extension - 3 months with \$0 paid	2253	1	740	740		
Miscellaneous:						
	740					

Electronic Acknowledgement Receipt					
EFS ID:	43980946				
Application Number:	15920955				
International Application Number:					
Confirmation Number:	2833				
Title of Invention:	KEY BLANK IDENTIFICATION SYSTEM WITH GROOVE SCANNING				
First Named Inventor/Applicant Name:	Chester O.D. Thompson				
Customer Number:	33772				
Filer:	Mark Jason Masterson/Danielle Mueller				
Filer Authorized By:	Mark Jason Masterson				
Attorney Docket Number:	16223-00341				
Receipt Date:	08-OCT-2021				
Filing Date:	14-MAR-2018				
Time Stamp:	09:23:04				
Application Type:	Utility under 35 USC 111(a)				

# **Payment information:**

Submitted with Payment	yes
Payment Type	CARD
Payment was successfully received in RAM	\$740
RAM confirmation Number	E202108023163251
Deposit Account	
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
		172167		
	9891830.pdf	41b814af9dd6d28499ffe621cabe75033952 71cd	yes	8
Multip	 part Description/PDF files in .	zip description		
Document Des	scription	Start	End	
Amendment/Req. Reconsiderati	on-After Non-Final Reject	1	1	
Claims		2	4	
Applicant Arguments/Remarks	Made in an Amendment	5	8	
		1		
		38472		
Fee Worksheet (SB06)	fee-info.pdf	d11a87dfb31cbbe04e5551762cd5859b3e1 b580e	no	2
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	Multip  Document Des  Amendment/Req. Reconsiderati  Claims  Applicant Arguments/Remarks	Multipart Description/PDF files in .  Document Description  Amendment/Req. Reconsideration-After Non-Final Reject  Claims  Applicant Arguments/Remarks Made in an Amendment	Message Digest  172167  9891830.pdf  Multipart Description/PDF files in .zip description  Document Description  Start  Amendment/Req. Reconsideration-After Non-Final Reject  1  Claims  2  Applicant Arguments/Remarks Made in an Amendment  5  Fee Worksheet (SB06)  fee-info.pdf  d11a87dfb31cbbe04e5551762cd5599b3et	Message Digest   Part /.zip   172167   9891830.pdf   172167   yes   115814alf0dd6028-099ffc621cabe75033952   yes   115814alf0d6028-099ffc621cabe75033952   yes   yes

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

# National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

# United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	APPLICATION NO. FILING DATE FIRST NAMED INVENTOR		ATTORNEY DOCKET NO.	CONFIRMATION NO.
15/920,955	03/14/2018	Chester O.D. Thompson	16223-00341	2833
	7590 04/08/202 HOPKINS LLC	1	EXAM	IINER
600 Superior A Suite 2100		HAUSMANN, MICHELLE M		
	OH 44114-2653		ART UNIT	PAPER NUMBER
			2661	
			NOTIFICATION DATE	DELIVERY MODE
			04/08/2021	ELECTRONIC

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

ipmailbox@mcdonaldhopkins.com

	Application No. 15/920,955	Applicant(s	-
Office Action Summary	Examiner MICHELLE M ENTEZARI HAUSMANN	Art Unit 2661	AIA (FITF) Status No
The MAILING DATE of this communication app	ears on the cover sheet with th	ne corresponde	nce address
Period for Reply			
A SHORTENED STATUTORY PERIOD FOR REPLY DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.13 date of this communication.  - If NO period for reply is specified above, the maximum statutory period was realized to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing adjustment. See 37 CFR 1.704(b).	G6(a). In no event, however, may a reply b will apply and will expire SIX (6) MONTHS cause the application to become ABAND	e timely filed after SD from the mailing date ONED (35 U.S.C. § 1	C (6) MONTHS from the mailing of this communication.
Status			
1) Responsive to communication(s) filed on 2/1	<u>0/2021</u> .		
A declaration(s)/affidavit(s) under 37 CFR 1		·	
, —	▼ This action is non-final.		
3) An election was made by the applicant in res on; the restriction requirement and elec-	ction have been incorporated	d into this actio	on.
<ol> <li>Since this application is in condition for allow closed in accordance with the practice under</li> </ol>			
Disposition of Claims*			
5) $\bigcirc$ Claim(s) $40-56$ is/are pending in the ap	plication.		
5a) Of the above claim(s) is/are withdr	awn from consideration.		
6) Claim(s) is/are allowed.			
7) Claim(s) 40-56 is/are rejected.			
8) Claim(s) is/are objected to.			
9) Claim(s) are subject to restriction at			
* If any claims have been determined allowable, you may be eli			hway program at a
participating intellectual property office for the corresponding ap	·		
http://www.uspto.gov/patents/init_events/pph/index.jsp or send	an inquiry to <u>PPHIEEGDack@us</u>	pto.gov.	
Application Papers			
10) ☐ The specification is objected to by the Exami		les de Francis	
11) The drawing(s) filed on is/are: a) a		-	
Applicant may not request that any objection to the di Replacement drawing sheet(s) including the correction	= ' '	-	·
	in to required if the drawing(s) to e		77 OTT 1.121(d).
Priority under 35 U.S.C. § 119 12) Acknowledgment is made of a claim for foreign Certified copies:	gn priority under 35 U.S.C. §	119(a)-(d) or	(f).
a) All b) Some** c) None of t	he <sup>.</sup>		
1.☐ Certified copies of the priority docum			
2. Certified copies of the priority document		Application N	0
3. Copies of the certified copies of the application from the International Bu	priority documents have bee		
** See the attached detailed Office action for a list of the certific	, , , , , , , , , , , , , , , , , , , ,		
Attachment(s)			
1) Notice of References Cited (PTO-892)	3) Interview Sumr	mary (PTO-413)	

Paper No(s)/Mail Date \_ U.S. Patent and Trademark Office PTOL-326 (Rev. 11-13)

Art Unit: 2661

#### **DETAILED ACTION**

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#### Continued Examination Under 37 CFR 1.114

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on February 10, 2021 has been entered.

# Response to Amendment

2. Claims 1-39 are canceled. Claims 40-56 are pending. Claims 40-56 are new.

# Response to Arguments

- 3. Applicant's arguments with respect to claim(s) 1-39 have been considered but are moot because the new ground of rejection does not rely on any reference applied in the prior rejection of record for any teaching or matter specifically challenged in the argument, and as claims 1-39 are canceled.
- 4. Claim 49 is rejected below under Wills et al., but it is noted the milling shape string of Cambell et al. could also teach this limitation. Examiner notes that claim 53 is a likely avenue towards allowance, if the claim is amended to indicate there is no operator intervention required to flip the key in order to get the two views, as Campbell et al. require a user manually flip the key. While claim 40 specifies "while the master key is maintained in the slot", the claim language could mean the key is maintained in the slot while each image is taken, but flipped between images. Examiner recommends to indicate somehow the imaging of the top and bottom which include the grooves/milling pattern are imaged simultaneously without the need for an operator to physically flip the key between image captures.

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# Double Patenting

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on nonstatutory double patenting provided the reference application or patent either is shown to be commonly owned with the examined application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement. See MPEP § 717.02 for applications subject to examination under the first inventor to file provisions of the AIA as explained in MPEP § 2159. See MPEP § 2146 *et seq.* for applications not subject to examination under the first inventor to file provisions of the AIA. A terminal disclaimer must be signed in compliance with 37 CFR 1.321(b).

The USPTO Internet website contains terminal disclaimer forms which may be used.

Please visit www.uspto.gov/patent/patents-forms. The filing date of the application in which the form is filed determines what form (e.g., PTO/SB/25, PTO/SB/26, PTO/AIA/25, or PTO/AIA/26) should be used. A web-based eTerminal Disclaimer may be filled out completely online using

Art Unit: 2661

web-screens. An eTerminal Disclaimer that meets all requirements is auto-processed and approved immediately upon submission. For more information about eTerminal Disclaimers, refer to www.uspto.gov/patents/process/file/efs/guidance/eTD-info-I.jsp.

6. **Claim 54** is rejected on the ground of nonstatutory double patenting as being unpatentable over claim 1 of U.S. Patent No. 8644619 in view of Bass et al. (US 20070224008 A1). As can be noted below, claim 54 has the additional features that are indicated below in the rejection of claim 40 under Bass et al. but are reiterated below this table.

40. A key duplication system comprising: **a** housing, a key identification system comprising: a slot opening in the housing configured to receive the blade of a master key; an imaging system including one or more cameras configured to record images of the master key and at least one light source, wherein the imaging system is configured to use a single camera to capture multiple angles of the master key to determine groove characteristics of each side of the blade of the master key while the master key is maintained in the slot; and a key cutting system configured to receive a key blank that the identification system has

1. A key identification system comprising: an imaging system configured to capture an image of the tip of a master key at an angle between perpendicular and parallel to the black of said master key and not including perpendicular or parallel to the blade of said master key, wherein said captured image of said tip includes a contour of a groove in said master key; and a logic configured to analyze said captured image to determine characteristics of said groove based on said contour and further to compare said characteristics of said groove with groove characteristics of known key blanks to determine the

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determined to be associated with the master key based on the groove characteristics of the master key and cut a key pattern of the master key into the key blank.

54. The key duplication system of claim 40, one of said views of said master key includes a view from an angle between perpendicular and parallel to the blade of said master key and not including perpendicular or parallel to the blade of said master key,

likelihood of a match between said master key and a known key blank.

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Bass et al. disclose a key duplication system comprising: a housing (machine 10 includes an outer shell 12, comprising a number of panels arranged to enclose and protect internal components, mechanisms, and systems of the key duplication machine, [0046]), a key identification system comprising: a slot opening in the housing configured to receive the blade of a master key (As illustrated in FIG. 5, the door clamp 14 and the base 16 form a slot 20 that may be utilized to retain or secure a master key 22 or key blank 24 such that the blade 32 of the key 22 and 24 is located within the machine 10, [0047]); an imaging system including one or more cameras configured to record images of the master key and at least one light source, wherein the imaging system is configured to use a single camera to capture multiple angles of the master key to determine groove characteristics of each side of the blade of the master key while the master key is maintained in the slot (positioning the optical imaging device 50 to an angle with respect to the surface of the blade 32, [0060], "Optionally, additional imaging of the

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master key 22 may be performed to determine or quantify surface features of the master key 22 such as, for example, the keyway groove 34. Such surface features may assist in narrowing or choosing the proper key blank 24. Such imaging may be performed by providing a ring of structured light about the key blade 32 and an optical imaging device to record a tip view or cross-sectional view of the key 24, performing a laser scan across the key blade 32 to identify the width and spacing of a keyway groove 34, or projecting a shadow across the surface of the blade 32 to measure the variations in shadow contour related to surface area contour", [0062]) [tip view and cross-sectional view interpreted as multiple angles] [width and spacing of a key way groove interpreted as groove characteristics]; and a key cutting system configured to receive a key blank that the identification system has determined to be associated with the master key based on the groove characteristics of the master key and cut a key pattern of the master key into the key blank (the captured images may be analyzed by logic to determine the proper key blank to be used for a duplicate key, validate the selection of the proper key blank, facilitate the accurate cutting of the key pattern of the master key into the key blank, [0040], determining the proper key blank for duplicating the master key, aligning the key blank; moving the key blank to a cutting zone of the machine; cutting the key pattern of the master key into the key blank, [0043]).

#### Claim Rejections - 35 USC § 103

7. In the event the determination of the status of the application as subject to AIA 35 U.S.C. 102 and 103 (or as subject to pre-AIA 35 U.S.C. 102 and 103) is incorrect, any correction of the statutory basis for the rejection will not be considered a new ground of rejection if the prior art relied upon, and the rationale supporting the rejection, would be the same under either status.

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8. The following is a quotation of pre-AIA 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

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- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 9. **Claims 40, 42-45, 47, 48, 51, 52, 55 and 56** is/are rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Bass et al. (US 20070224008 A1).

**Regarding claim 40,** Bass et al. disclose a key duplication system comprising: a housing (machine 10 includes an outer shell 12, comprising a number of panels arranged to enclose and protect internal components, mechanisms, and systems of the key duplication machine, [0046]), a key identification system comprising: a slot opening in the housing configured to receive the blade of a master key (As illustrated in FIG. 5, the door clamp 14 and the base 16 form a slot 20 that may be utilized to retain or secure a master key 22 or key blank 24 such that the blade 32 of the key 22 and 24 is located within the machine 10, [0047]); an imaging system including one or more cameras configured to record images of the master key and at least one light source, wherein the imaging system is configured to use a single camera to capture multiple angles of the master key to determine groove characteristics of each side of the blade of the master key while the master key is maintained in the slot (positioning the optical imaging device 50 to an angle with respect to the surface of the blade 32, [0060], "Optionally, additional imaging of the master key 22 may be performed to determine or quantify surface features of the master key 22 such as, for example, the keyway groove 34. Such surface features may assist in narrowing or choosing the proper key blank 24. Such imaging may be performed by providing a ring of structured light about the key blade 32 and an optical

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imaging device to record a tip view or cross-sectional view of the key 24, performing a laser scan across the key blade 32 to identify the width and spacing of a keyway groove 34, or projecting a shadow across the surface of the blade 32 to measure the variations in shadow contour related to surface area contour", [0062]) [tip view and cross-sectional view interpreted as multiple angles] [width and spacing of a keyway groove interpreted as groove characteristics]; and a key cutting system configured to receive a key blank that the identification system has determined to be associated with the master key based on the groove characteristics of the master key and cut a key pattern of the master key into the key blank (the captured images may be analyzed by logic to determine the proper key blank to be used for a duplicate key, validate the selection of the proper key blank, facilitate the accurate cutting of the key pattern of the master key into the key blank, [0040], determining the proper key blank for duplicating the master key, aligning the key blank; moving the key blank to a cutting zone of the machine; cutting the key pattern of the master key into the key blank, [0043]).

While Bass et al. do not use the language, "capture multiple angles of the master key", as Bass et al. indicate, "record a tip view or cross-sectional view of the key 24", it would have been obvious at the time of filing to one of ordinary skill in the art that these two views that are recorded indicate there are at least two (thereby multiple) angles of the key that are captured.

**Regarding claim 42,** Bass et al. disclose the key duplication system of claim 40. Bass et al. further indicate a user interface such as a monitor or a touch screen positioned on the housing (apparatus may include a user interface, such as monitor, touch screen, or other such device, through which a user may enter information and information may be displayed to the user, [0042], touch-screen monitor 40 is incorporated into the machine 10, [0051], "The hood

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module 114 may provide structural support for the mounting or holding of components such as, for example, the monitor 40", [0088]).

**Regarding claim 43,** Bass et al. disclose the key duplication system of claim 42. Bass et al. further indicate the user interface is configured to provide an output to the user or operator to facilitate key duplication (user interface, such as monitor, touch screen, or other such device, through which a user may enter information and information may be displayed to the user, [0042], A touch-screen monitor 40 is incorporated into the machine 10, through which a user may enter information and information may be displayed to the user, [0051], The results of such a validation may be displayed to the user on the touch-screen monitor 40 or otherwise output to the user, [0078], "Once displayed on a touch-screen monitor, the user may initiate the duplication process by manually selecting one of the offered options... The user may select the preferred key blank by touching a graphic representation of one of the key blanks 200 and 202", [0089]).

**Regarding claim 44,** Bass et al. disclose the key duplication system of claim 41. Bass et al. further indicate the user interface warns the user that a master key contains a computer chip ("Regardless of the method used to identify a key blank, the machine may be arranged to warn a user that a master key to be copied may contain a computer chip, transponder, or the like. Such computer chips and transponders are common in automobile keys. Duplicates for such keys may need to include a corresponding computer chip in order for the duplicate to be successful. Once it is determined that a master key may have a computer chip, the user may be instructed to place the master key in or near a device designed to sense the presence of a

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computer chip in a key. If the master key does include a computer chip, the user may be instructed on how to proceed to ensure a functional duplicate key is made", [0093]).

**Regarding claim 45,** Bass et al. disclose the key duplication system of claim 41. Bass et al. further indicate the user interface instructs the user to place the master key in or near a device designed to sense the presence of a computer chip (place the master key in or near a device designed to sense the presence of a computer chip in a key, [0093]).

**Regarding claim 47,** Bass et al. disclose the key duplication system of claim 40. Bass et al. further indicate the one or more light sources includes a backlight (system for providing backlighting to the key, [0057]-[0059]).

**Regarding claim 48,** Bass et al. disclose the key duplication system of claim 40. Bass et al. further indicate an image captured by the one or more cameras includes at least one backlight image (backlighting environment that enhances captured images of the key, [0057], Any particular configuration that provides well-dispersed and diffused light appropriate for backlighting is contemplated and incorporated herein. Alternatively, front lighting or providing light directly to the key 22 and 24 may also be used when capturing an image of the key 22 and 24, [0058], the backlighting may be adjusted, such as in direction or in intensity, to improve subsequent captured images, [0059]).

**Regarding claims 51 and 52,** Bass et al. disclose the key duplication system of claim 40. Bass et al. further indicate a key holder configured to hold said master key, wherein said key

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holder includes a clamp (The combination of the door clamp 14, base 16, handle 18, and biasing member forms a retention mechanism 19 for retaining or securing a master key 22 or key blank 24. As illustrated in FIG. 5, the door clamp 14 and the base 16 form a slot 20 that may be utilized to retain or secure a master key 22 or key blank 24 such that the blade 32 of the key 22 and 24 is located within the machine 10. The force placed on the key 22 and 24 in the slot 20 is sufficient to retain or hold the key 22 and 24 in place but also allows some movement of the key 22 and 24 to facilitate proper alignment of the key 22 and 24, [0047]).

**Regarding claim 55,** Bass et al. disclose the key duplication system of claim 40. Bass et al. further indicate the system is configured to store data related to the master key and key duplicating event ("The logic may use the quantified and stored information from the captured image of the key pattern 36 of the master key 22 to calculate precise movements of the cutting wheels 60 and 62, with respect to the key blank 24, to produce a duplicate key", [0075], "The logic may be arranged to collect and store data regarding key duplications performed by the key duplication machine 10. For example, data regarding the number of key duplications performed, the number of different key blanks used in key duplication, the number of an individual key blank used in key duplication, success rate of duplicating keys, and the like may be collected and stored. Such information and data may be analyzed by the logic associated with the machine 10 or be downloaded for remote analysis", [0095]).

**Regarding claim 56,** Bass et al. disclose the key duplication system of claim 55. Bass et al. further indicate the data is configured to be remotely communicated or downloaded for remote analysis (Such information and data may be analyzed by the logic associated with the machine 10 or be downloaded for remote analysis", [0095]).

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10. **Claim 41, 44 and 45** is/are rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Bass et al. (US 20070224008 A1) as applied to claim 45 above, further in view of Almblad et al. (US 6065911 A).

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**Regarding claim 41,** Bass et al. disclose the key duplication system of claim 40. Bass et al. further indicate the groove characteristics include a groove contour ("Optionally, additional imaging of the master key 22 may be performed to determine or quantify surface features of the master key 22 such as, for example, the keyway groove 34. Such surface features may assist in narrowing or choosing the proper key blank 24. Such imaging may be performed by providing a ring of structured light about the key blade 32 and an optical imaging device to record a tip view or cross-sectional view of the key 24, performing a laser scan across the key blade 32 to identify the width and spacing of a keyway groove 34, or projecting a shadow across the surface of the blade 32 to measure the variations in shadow contour related to surface area contour", [0062], master key 24 silhouette and key groove 34 features, [0063]). To further teach this point however, an additional reference is provided herein.

Almblad et al. teach a groove contour (extract three-dimensional grooves, col. 2, lines 30-35, identify curved grooves, col. 4, lines 35-40; extract laterally protected attributes such as groove characteristics using light stripe, col. 11, lines 5-25).

Bass et al. and Almblad et al. are in the same art of key identification systems (Bass et al., abstract; Almblad et al., abstract). The combination of Almblad et al. with Bass et al. allows for identification of groove contours. It would have been obvious at the time of the invention to

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one of ordinary skill in the art to combine the groove contours identification of Almblad et al., with the invention of Bass et al., as these identification techniques were well known at the time of the invention, incorporating the identification would have predictable results, and as one of a limited numbers of ways to identify a key, would have been obvious to try, and as Almblad et al. indicate this will result in an improved key making machine that automatically extracts characteristics of an object key without human intervention (abstract), thereby making the invention of Bass et al. as easy for customers to use as possible.

Regarding claim 44, Bass et al. and Amblad et al. disclose the key duplication system of claim 41. Bass et al. further indicate the user interface warns the user that a master key contains a computer chip ("Regardless of the method used to identify a key blank, the machine may be arranged to warn a user that a master key to be copied may contain a computer chip, transponder, or the like. Such computer chips and transponders are common in automobile keys. Duplicates for such keys may need to include a corresponding computer chip in order for the duplicate to be successful. Once it is determined that a master key may have a computer chip, the user may be instructed to place the master key in or near a device designed to sense the presence of a computer chip in a key. If the master key does include a computer chip, the user may be instructed on how to proceed to ensure a functional duplicate key is made", [0093]).

**Regarding claim 45,** Bass et al. and Almblad et al. disclose the key duplication system of claim 41. Bass et al. further indicate the user interface instructs the user to place the master key in or near a device designed to sense the presence of a computer chip (place the master key in or near a device designed to sense the presence of a computer chip in a key, [0093]).

11. **Claim 46** is/are rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Bass et al. (US 20070224008 A1) and Almblad et al. (US 6065911 A) as applied to claim 45 above, further in view of Bass et al. (US 20040095380 A1) [referred to as Bass II].

**Regarding claim 46,** Bass et al. and Almblad et al. disclose the key duplication system of claim 45. Bass et al. further imply the system is configured as a self-serve kiosk (A general goal of the industry is to develop key duplication machines and processes that are so user-friendly that a customer or general consumer may duplicate a key with minimal instructions and minimal knowledge of keys, [0008]), however another reference is provided to make this more explicit.

Bass II teach a system key cutting configured as a self-serve kiosk ("Moreover, the system is explicitly designed for user friendliness, so as to eliminate that need for extensive training for any prospective user of the system. The system should have particular applicability in key blank identification and key duplication processes", abstract, "For example, with respect to a key identification system, the most practical implementation scheme may be through the use of a common, over-the-counter personal computer, in conjunction with the elements described below, so as to allow for easy and widespread implementation as a user-friendly kiosk in hardware stores and other retail locations", [0032], "Moreover, these lighted display racks could be installed as kiosks in retail stores, incorporated in vehicles or even specially designed to be transported in a convenient carrying case. Ultimately, the primary goal of these displays is to simplify and enhance the use of the system by its intended operators-consumers or inexperienced employees who have not been trained in the nuances of the identification process in question", [0041]).

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The combination of Bass II et al. with Bass et al. and Almblad et al. allows for use of a kiosk. It would have been obvious at the time of the invention to one of ordinary skill in the art to combine the kiosk of Bass II et al., with the invention of Bass et al. and Almblad et al., as these identification techniques were well known at the time of the invention, incorporating the identification would have predictable results, and as Bass II et al. indicate the goal is, "so as to allow for easy and widespread implementation as a user-friendly kiosk in hardware stores and

other retail locations", ([0032]), indicating the commercial benefits to the combination.

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12. **Claim 49** is/are rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Bass et al. (US 20070224008 A1) as applied to claim 40 above, further in view of Wills et al. (US 20010033781 A1).

**Regarding claim 49,** Bass et al. disclose the key duplication system of claim 40. Bass et al. further indicate said characteristics of said contour includes outline data wherein said outline data includes a plurality of data points (captured image may be utilized to record reference points along the blade 32 such as the location of the key shoulder 30, the location of the tip of the blade 32, and the like, [0070], capture of an optical image of a profile or silhouette of the master key, including the key pattern of the master key, and the capture of a profile or silhouette of a key blank, [0040]). While the profile implies an outline composed of data points, another reference is provided to make this more clear.

Wills et al. teach said outline data includes a plurality of data points (electronic camera 28 receives the characteristics of the profile of the key, in particular, the length of the key and the

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outline (e.g., head shape and blade) of the key. That is, the camera 28 views the profile or silhouette of the master key, [0087], At step 4210, the function ExtractSurfaceInformation() 4300 gets the outline of the key from the image by calling a function such as Profile(). At step 4220 the best fit centerline approximation of the key is found, [0240], "Get outline of key such as that obtained by the Profile() function; [4220] Find centerline of key using best fit straight line; [4230] Determine which edge of key is straightest; [4240] Set threshold = 250; [4260] while (centroid positions not found for at least 96% of the slices) [4250] Call FindCentroids()", [0244]). [centroids and pixels of profile interpreted as data points]

Bass et al. and Wills et al. are in the same art of key identification systems (Bass et al., abstract; Wills et al., abstract). The combination of Wills et al. with Bass et al. allows for identification of data points. It would have been obvious at the time of the invention to one of ordinary skill in the art to combine the data point finding of Wills et al., with the invention of Bass et al., as these identification techniques were well known at the time of the invention, incorporating the identification would have predictable results, and as Wills et al. indicate "The present invention, accordingly, saves man-hours and improves accuracy", [0008], "The present invention, however, does not require the master key to be precisely aligned to operate efficiently" ([0084]), which are advantages in a machine that will be used by customers or relatively untrained employees.

13. **Claim 50** is/are rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Bass et al. (US 20070224008 A1) as applied to claim 40 above, further in view of Miyashita et al. (US 20040179190 A1).

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**Regarding claim 50,** Bass et al. disclose the key duplication system of claim 40. Bass et al. do not disclose said plurality of data points is selectively adjustable along an interval to adjust accuracy of groove identification.

Miyashita et al. teach a plurality of data points is selectively adjustable along an interval to adjust accuracy of groove identification (the number of evaluation points can be increased, and the spacing in between the evaluation points can be narrowed, and as a result, the measurement accuracy of the optical properties measurement can be improved, [0051], a rectangular outer frame made up of an outline of the outer periphery of the predetermined area can be detected based on imaging data corresponding to the predetermined area, [0057]).

Bass et al. and Miyashita et al. are in the same art of key/wafer imaging and analysis systems (Bass et al., abstract; Miyashita et al., abstract). The combination of Miyashita et al. with Bass et al. allows for adjustment of data points. It would have been obvious at the time of the invention to one of ordinary skill in the art to combine the adjustment of Miyashita et al., with the invention of Bass et al., as these ways to increase accuracy were well known at the time of the invention, incorporating the adjustment would have predictable results, and as Miyashita et al. indicate the position of other divided areas can be calculated with substantial precision, and the state of the pattern image can be detected in a short period of time, which will have applications in a machine that is used on the spot by customers and therefore require fast processing.

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14. **Claim 53** is/are rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Bass et al. (US 20070224008 A1) as applied to claim 40 above, further in view of Campbell et al. (US 6839451 B2).

**Regarding claim 53,** Bass et al. disclose the key duplication system of claim 40. Bass et al. partly indicate a first image of said master key includes a groove in the bottom of a blade of said master key and wherein a second image of said master key includes a groove in the top of said blade of said master key (The optical imaging device 50 is generally positioned above the key 22 and 24 and directed downward such that a silhouette of the key 22 and 24, including the blade 32 of the key 22 and 24, may be captured, [0055], groove shown in Fig. 4B), but do not disclose this.

Cambell et al. teach a first image of said master key includes a groove in the bottom of a blade of said master key and wherein a second image of said master key includes a groove in the top of said blade of said master key ("As illustrated in FIGS. 6b, 7b, and 8b, blade 72 of master key also includes a first side 46 and a second side 47. Each side 46 and 47 typically includes millings 74, or grooves, that further define the key blank", col. 4, lines 45-55, "As further shown in FIG. 12, receiver 28 may be positioned proximate second surface 143 of reflecting device 140. From this position, receiver 28 may view support 142 through transparent second surface 143 of reflecting device 140. Thus, receiver 28 may capture an image of master key 32 along line 144. The captured image may be a back lit image, an illuminated surface image, or a combination of a back lit image and an illuminated surface image", col. 8, lines 45-60, "When the information about the key blank is loaded, a determination is made as to whether the key blank has unique milling patterns on both sides. If so, the indicator in the database is marked

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accordingly. The control checks this indicator to determine if the key should be flipped to obtain additional information, col. 16, lines 5-15).

Bass et al. and Cambell et al. are in the same art of key identification systems (Bass et al., abstract; Cambell et al., abstract). The combination of Cambell et al. with Bass et al. allows for an image of the top and the bottom of a key. It would have been obvious at the time of the invention to one of ordinary skill in the art to combine the sides of Campbell et al., with the invention of Bass et al., as Campbell et al. indicate, "This allows the control to more easily identify shadows that are created by the milling grooves" (col. 15, lines 15-20) and "The present invention, therefore, provides a key identification system that is less complex and easier to use than conventional key identification systems. The system described herein uniformly illuminates the surface of the master key, without the interference of shadows or glares, and provides for an accurate identification of the key" (col. 16, lines 50-60) indicating the way the dual side imaging of Cambell et al. will lead to better key identification in conjunction with Bass et al.

#### Conclusion

7. Any inquiry concerning this communication or earlier communications from the examiner should be directed to MICHELLE M ENTEZARI HAUSMANN whose telephone number is (571)270-5084. The examiner can normally be reached on 10-7 M-F.

Examiner interviews are available via telephone, in-person, and video conferencing using a USPTO supplied web-based collaboration tool. To schedule an interview, applicant is encouraged to use the USPTO Automated Interview Request (AIR) at http://www.uspto.gov/interviewpractice.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, VINCENT M RUDOLPH can be reached on (571)272-8243. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see https://ppair-my.uspto.gov/pair/PrivatePair. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/MICHELLE M ENTEZARI/ Primary Examiner, Art Unit 2661

#### Application/Control No. Applicant(s)/Patent Under 15/920,955 Reexamination Thompson et al. Notice of References Cited Examiner Art Unit MICHELLE M ENTEZARI 2661 Page 1 of 1 **HAUSMANN** U.S. PATENT DOCUMENTS **Document Number** Date **CPC Classification US Classification** Name MM-YYYY Country Code-Number-Kind Code \* US-20070224008-A1 09-2007 G06K9/20 409/132 Α Bass; Michael A. 05-2000 В US-6065911-A Almblad; Robert G05B19/4207 409/83 \* С US-20040095380-A1 05-2004 Bass, Michael A. G06F3/04842 715/738 \* D US-20010033781-A1 10-2001 WILLS, PHILLIP R. B23Q17/20 409/81 Ε US-20040179190-A1 09-2004 Miyashita, Kazuyuki G03F7/706 356/124 Campbell; John 382/100 F US-6839451-B2 01-2005 B23C3/35 G Н 1 J Κ L М **FOREIGN PATENT DOCUMENTS** Document Number Date Country Name **CPC Classification** Country Code-Number-Kind Code MM-YYYY Ν O Р Q R s Т **NON-PATENT DOCUMENTS** Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) U ٧ W Х

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

**Notice of References Cited** 

Part of Paper No. 20210402a

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	15/920,955	Thompson et al.
	Examiner  MICHELLE M ENTEZARI HAUSMANN	<b>Art Unit</b> 2661

1	Rejected	•	Cancelled	N	Non-Elected	A	Appeal
=	Allowed	÷	Restricted	ı	Interference	0	Objected

	CLAIMS									
☐ Claims renumbered in the same order as presented by applicant ☐ CPA							☐ T.E	☐ T.D. ☐ R.1.47		
CL	AIM					DATE				
Final	Original	12/21/2019	08/04/2020	04/05/2021						
	21	<b>√</b>	<b>√</b>	-						
	22	✓	✓	-						
	23	✓	✓	-						
	24	1	1	-						
	25	1	1	-						
	26	✓	✓	-						
	27	✓	✓	-						
	28	✓	✓	-						
	29	1	✓	-						
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	31	1	1	-						
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	37	✓	✓	-						
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Search Notes	15/920,955	Thompson et al.
	Examiner	Art Unit
	MICHELLE M ENTEZARI HAUSMANN	2661

CPC - Searched*				
Symbol	Date	Examiner		
B23C2235/12, 41	12/17&12/19/2019	Entezari		
B23C3/35	12/17&12/19/2019	Entezari		
G06K9/00208, 2036, 209, 48, 6202	12/17&12/19/2019	Entezari		
G06T2207/10141	12/17&12/19/2019	Entezari		
G06T7/62	12/17&12/19/2019	Entezari		
H04N5/247	12/17&12/19/2019	Entezari		
Y10T409/300952, 301008, 301064	12/17&12/19/2019	Entezari		

CPC Combination Sets - Searched*				
Symbol Date Examiner				

US Classification - Searched*						
Class	Subclass	Date	Examiner			

<sup>\*</sup> See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

Search Notes				
Search Notes	Date	Examiner		
see EAST search, includes INV, IDS	12/17-12/21/2019	Entezari		
see google scholar search	12/21/2019	Entezari		
see ip.com search	12/21/2019	Entezari		
see updated EAST search	08/04/2020	Entezari		
see updated EAST search	4/2-4/4/2021	Entezari		

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Interference Search						
US Class/CPC Symbol	US Subclass/CPC Group Date Examin					

## **EAST Search History**

## **EAST Search History (Prior Art)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
S164	8	S163 and slot.clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/04/02 15:30
S163	159	Thompson near2 Chester.inv. or Mutch near2 William.inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/04/02 15:30
S165	0	S163 and slot.clm. and angles.clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	OFF	2021/04/02 15:32
S166	7	S163 and angles.clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	OFF	2021/04/02 15:33
S167	100	(angles! or viewpoints! or perspectives! or points! view or (second or additional) adj2 (angle or viewpoint or view point or perspective)) and (slot or holder) same key and key blank and @ad<"20090501"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/04/02 15:38
S168	11	(angles! or viewpoints! or perspectives! or points! view or (second or additional or different) adj2 (angle or viewpoint or view point or perspective)) with (camera or imag\$3 or captur\$3 or photograph) and key adj2 blank and @ad<"20090501"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/04/02 15:50

S169	6	("20070224008" or "6588995" or "20020191849").pn. and blank	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/04/02 21:28
S171	6	S170 and groove contour.clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/04/02 21:38
S170	159	Thompson near2 Chester.inv. or Mutch near2 William.inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/04/02 21:38
S175	30	S174 and (contour or boundary or boundaries or edge) with groove	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/04/02 21:47
S174	280	(S172 or S173) and @ad<"20090501"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	OFF	2021/04/02 21:47
S173	93	("5496138"   "5497888"   "5503785"   "5515903"   "5538374"   "5543103"   "5545367"   "5552992"   "5555176"   "5556240"   "5583443"   "5592728"   "5594652"   "5607267"   "5617323"   "5630332"   "5660509"   "5671523"   "5676504"   "5677522"   "5711643"   "5739766"   "5764156"   "5771176"   "5807042"   "5855836"   "5886775"   "5906365"   "5908273"   "5926388"   "5940229"   "5964554"   "596457"   "5982491"   "5984597"   "5997224"   "5997795"   "6053677"   "6059495"   "6064747"   "6065911"   "6082580"   "6094953"   "6152662"   "6175638"   "6179531"   "6185311"   "6186711"	US-PGPUB; USPAT	NEAR	OFF	2021/04/02 21:47

	"6243960"   "6321430"   "6406227"   "6415931"   "6449381"   "6478515"   "6543972"   "6588995"   "6602030"   "6612142"   "6641339"   "6647308"   "6687565"   "6711557"   "6801829"   "6817814"   "6836553"   "6839449"   "6839451"   "6895100"   "6959862"   "6965911"   "7010498"   "7111894"   "7114436"   "7114894"   "7163364"   "7214011"   "7484446"   "7643685"   "7890878"   "7891919"   "7918629"   "8128322"   "8532809"   "8585029"   "8634655"   "8644619"   "D251365"   "D257758"   "D348393"   "D455104"   "RE26848"   "RE27665"   "RE35518").PN.
S172 268	("0040480"   "0288300"   "0466044"   US-PGPUB; USPAT

y				,	,
"345783	1"   "3466747"	"3496636"			
"349936	6"   "3599147"	"3602092"			
	1"   "3633451"				
"365640					
"370799	9" İ "3722341"				
"377336	0" İ "3792639"	"3795174"			
"379613	0" İ "3807276"	i "3810416" i			
"382655		"3865011"			
"387089	•				
"390238	•				
"394529					
	4" i "3981214"				
	5"   "4023464"				
	1"   "4088060"	1			
	6"   "4105340"				
	2"   "4132151"				
	9"   "4171161"				
	3"   "4223791"				
	3"   "4256423"				
The state of the s	9"   "4294096"				
	0"   "4300836"				
"435478	•	"4373414"			6
	9"   "4432142"	•			
	0"   "4453432"				
1 1	6"   "4479673"				
	8"   "4526498"				
	9"   "4551046"				
	8"   "4558215"				
	3"   "4601185"				
	7" I "4638567"				6
"464858		"4652738"			
"465276	:	"4656590"			
"465744	•	"4671711"			
"467783					
"469730	•				
"474165	•	"4780032"			
"478382	•	"4805224"			
"480934	•	"4821393"			
	3"   "4845764"	,			
"485386					
	1"   "4909892"				
	3"   "4941335"				
	9"   "4993291"				
	9"   "5043144"				
	0"   "5083759"				
"500037 "500453	8"   "5096346"	"5111056"			
	0"   "5122018"				
	1"   "5130064"				
	1"   "5146689"				
	1"   "5171112"				
"520120	3"   "5229619"	"5244321"			
	9"   "5259708"				
	7"   "5307151"				
J29300	,   220/131	2200200	<u> </u>		

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S176	1	"20070224008".pn. and (gui or interface or monitor or touch screen)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/04/02 22:04
S177	4	S174 and outline with point	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/04/02 22:40
S179	51	S178 and @ad<"20090501"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	OFF	2021/04/02 22:41
S178	235	detect\$4 with key with outline	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/04/02 22:41
S181	27	S180 and key with outline and @ad<"20090501"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/04/02 22:43
S180	69,072	( (B23C2235/12 OR B23C2235/41 OR B23C3/35 OR G06K9/00208 OR G06K9/2036 OR G06K9/209 OR G06K9/48 OR G06K9/6202 OR G06T2207/10141 OR G06T7/62 OR H04N5/247 OR Y10T409/300952 OR Y10T409/301008 OR Y10T409/301064).CPC. )	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	OFF	2021/04/02 22:43

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S182	1	"20070224008".pn. and silhouette	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/04/02 22:46
S183	1	"20070224008".pn. and profile	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/04/02 22:48
S184	61	S180 and (self-serve or self service) with kiosk	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/04/02 23:00
S186	1	S185 and key with (cutting or duplication)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/04/02 23:01
S185	1,557	(self-serve or self service) with kiosk and @ad<"20090501"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/04/02 23:01
S187	26	(self-serve or self service or kiosk) and key near2 (cutting or duplication or cutter) and @ad<"20090501"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/04/02 23:08
S188	0	"20010033781".pn. and (adjustable or adjust\$3) with interval with accuracy	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/04/02 23:15

S189 7	S174 and (adjustable or adjust\$3) with (interval or accuracy)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/04/02 23:16
S190 1	S174 and (changing or change or changed or altering or alter or altered or alteration or adjusted or adjust or adjusting or increased or increased or increasing or decrease or decreased or decreasing or attenuate or attenuated or attenuating or attenuation or modify or modification or tailor or tailored or tailoring or regulated or tune or tuning or tuned or tunable or revise or revised or revising or vary or varied or varying or transition or transitioned or transitioning or correct or corrected or correcting or correction or amendment or adapt or adapted or adapting or adaptation or adaptive or tweak or tweaked or tweaking or modulate or modulated or modulation or modulating or morphed or morph or morphing or deform or deformed or deforming or deformation or lower or lowered or lowering or raise or raised or raising or mirroring or enhance or enhanced or enhancing or exaggerate or exaggeration or exaggerated or exaggeration or exaggerating or emphasize or emphasized or alteration or modify or modified or modifying or modification or tailor or tailored or tailoring or shift or shifting or shifted or vary or varied or varying or transitioning or moved or moving or synthetic or synthesized or synthesizing or editing or accentuate or accentuated or accentuate or accentuated or accentuating or transformation or warp or warped or warping or stretch or stretching or amplified or amplify or stretching or amplified or amplify or	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/04/02

		amplifying or amplification or enlarge or enlarged or enlarging or exaggerate or exaggerated or exaggerating or exaggeration or emphasize or emphasized or emphasizing or magnify or magnified or magnifying or magnification) with (spaced or distance between or spacing or interval) same accuracy				
S191	0	S170 and accuracy with interval.clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/04/02 23:20
S192	59,411	(changing or change or changed or altering or alter or altered or alteration or adjusted or adjust or adjusting or increased or increase or increasing or decrease or decreased or decreasing or modify or modified or modifying or modification or tailor or tailored or tailoring or tune or tuning or tuned or tunable or revise or revised or revising or amend or amending or adapted or adapting or adaptation or adaptive or modulate or modulated or shift or shifting or shifted or vary or varied or varying) near3 (spaced or distance or spacing or interval or offset or displacement) same accuracy	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/04/02 23:23
S194	26	S192 and S180 and @ad<"20090501"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/04/02 23:24
S193	4	S192 and key near2 (cutting or duplication or cutter or blank) and @ad<"20090501"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/04/02 23:24
S195	0	key near2 (cutting or duplication or cutter or blank) and @ad<"20090501" and (outline or silhouette or profile) near3 (spaced or distance or spacing or	US-PGPUB; USPAT; USOCR; FPRS; EPO;	NEAR	ON	2021/04/02 23:26

		interval or offset or displacement) same accuracy	JPO; DERWENT; IBM_TDB			
S196	8	(changing or change or changed or altering or alter or altered or alteration or adjusted or adjust or adjusting or increased or increase or increasing or decrease or decreased or decreasing or modify or modified or modifying or modification or tailor or tailored or tailoring or tune or tuning or tuned or tunable or revise or revised or revising or amend or amending or amended or adapting or adaptation or adaptive or modulate or modulated or shift or shifting or shifted or vary or varied or varying) near3 (spaced or distance or spacing or interval or offset or displacement) with (profile or outline or data point or silhouette) and key near2 (cutting or duplication or cutter or blank) and @ad<"20090501"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/04/02 23:27
S197	3	S174 and (changing or change or changed or altering or alter or altered or alteration or adjusted or adjust or adjusting or increased or increase or increasing or decrease or decreased or decreasing or modify or modified or modifying or modification or tailor or tailored or tailoring or tune or tuning or tuned or tunable or revise or revised or revising or amend or amending or amended or amendment or adapt or adapted or adapting or adaptation or adaptive or modulate or modulated or shift or shifting or shifted or vary or varied or varying) near3 (spaced or distance or spacing or interval or offset or displacement) same (profile or outline or data point or silhouette)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/04/02 23:28
S198	5	S174 and (changing or change or changed or altering or alter or altered or alteration or adjusted or adjust or adjusting or increased or increase or increasing or decrease or decreased or decreasing or attenuate or attenuated or attenuating or attenuation or modify or modified or modifying or modification or tailor or tailored or tailoring or regulate or regulating or	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/04/02 23:29

S199	133	regulation or regulated or tune or tuning or tuned or tunable or revise or revised or revised or varying or transition or transitioned or transitioning or correct or corrected or correcting or correction or amend or amending or amended or amendment or adapt or adapted or adapting or adaptation or adaptive or tweak or tweaked or tweaking or modulate or modulated or modulation or modulating or morphed or morph or morphing or deform or deformed or deforming or deformation or lower or lowered or lowering or raise or raised or raising or mirroring or enhance or enhanced or enhancing or exaggerate or exaggeration or exaggeration or exaggeration or emphasized or emphasize or emphasized or emphasize or altering or alter or altered or alteration or modify or modified or modifying or modification or tailor or tailored or tailoring or shift or shifting or shifted or vary or varied or varying or transition or transitioned or transitioning or moved or moving or synthetic or synthesized or synthesizing or editing or accentuate or accentuated or accentuate or accentuated or arcentuated or amplifying or marped or warping or stretch or stretching or amplified or amplify or amplifying or amplification or enlarge or enlarged or enlarging or exaggerate or exaggerate or exaggerate or exaggerating or exaggeration or emphasize or emphasized or or magnify or magnification) with (point or pixel) same accuracy (changing or change or changed or	US-PGPUB;	NEAR	ON.	2021/04/02
2199	133	(changing or change or changed or altering or alter or altered or alteration or adjusted or adjust or adjusting or increased or increase or increasing or decrease or decreased or decreasing or attenuate or attenuated or attenuating or attenuation or modify or modified or modifying or modification or tailor or tailored or tailoring or regulate or	USPAT; USOCR; FPRS; EPO;	NEAK	ON	2021/04/02 23:37

			FPRS; EPO; JPO; DERWENT; IBM_TDB			
S201	50	S199 and @ad<"20090501"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/04/02 23:42
S205	20	S204 and groove and (top or above) with imag\$3 same key	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/04/04 22:09
S204	280	(S202 or S203) and @ad<"20090501"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	OFF	2021/04/04 22:09
S203	93	("5496138"   "5497888"   "5503785"   "5515903"   "5538374"   "5543103"   "5545367"   "5552992"   "5555176"   "5556240"   "5583443"   "5592728"   "5594652"   "5607267"   "5617323"   "5630332"   "5660509"   "5671523"   "5676504"   "5677522"   "5711643"   "5739766"   "5764156"   "5771176"   "5807042"   "5855836"   "5886775"   "5906365"   "5908273"   "5926388"   "5940229"   "5964554"   "5966457"   "5982491"   "5984597"   "5997224"   "5997795"   "6053677"   "6059495"   "6064747"   "6065911"   "6082580"   "6094953"   "6152662"   "6175638"   "6179531"   "6185311"   "6186711"   "6243960"   "6321430"   "66406227"   "6415931"   "6649381"   "6647308"   "66543972"   "6588995"   "6602030"   "6612142"   "6641339"   "6647308"   "6687565"   "6711557"   "6801829"   "6839451"   "6895100"   "6959862"   "6965911"   "7010498"   "7111894"   "7114436"   "7114894"   "7163364"   "7214011"   "7484446"   "7643685"	US-PGPUB; USPAT	NEAR	OFF	2021/04/04 22:09

	"7890878"   "7891 "8128322"   "8532 "8634655"   "8644 "D257758"   "D348 "RE26848"   "RE27 "RE35518").PN.	1619"   "D251365" 1393"   "D455104"	<u> </u> 			
S202 268	"0943806"   "1059 "1135676"   "1218 "1390958"   "1440 "1656295"   "1674 "1750218"   "1752 "1848046"   "1889 "1948260"   "1961 "1991151"   "2001 "20010056385"   " "20020168241"   " "20030145499"   " "20030205070"   " "20040036595"   " "20040253067"   " "20040253067"   " "20040253067"   " "20050216120"   " "20060147289"   " "20070234614"   " "20080252551"   " "20090180664"   " "20140260809"   " "20140260809"   " "2038949"   "2098 "2114597"   "2148 "2274012"   "2325 "2386816"   "2430 "2499124"   "2556 "2605294"   "2622 "2707335"   "2728 "2929177"   "2940 "3094039"   "3138 "3245149"   "3257	2459"   "1628637"   "291"   "1702488"   "1775921"   "4661"   "1923164"   "516"   "1978628"   "20020031251"   "20020031251"   "20020191849"   "20030154135"   "20040024486"   "20040095380"   "20050000052"   "20060003676"   "20060003676"   "20090074528"   "20090074528"   "20100059484"   "2010262240"   "2032820"   "232820"   "3728"   "2176106"   "2582012"   "2645978"   "2582012"   "2582012"   "2645978"   "2582012"   "2645978"   "2582012"   "3485"   "2329269"   "3172969"   "3172969"   "3259022"   "3485"   "36597"   "3496636"   "3442174"   "3602092"   "3442174"   "3602092"   "34851"   "3651573"   "3632041"   "3769865"   "3795174"   "3769865"   "3795174"   "276"   "3810416"   "2325"   "3865011"   "0047"   "3884121"   "0047"   0047"   0047"   0047"   0047"   0047"	USPAT	NEAR	OFF	2021/04/04

"4677835"   "4679331"   "4687389"   "4697300"   "4710808"   "4717294"   "4741652"   "4767110"   "4780032"   "4783829"   "4803371"   "4805224"   "4809341"   "4821200"   "4821393"   "4839913"   "4845764"   "4848116"   "4853866"   "4868559"   "4898504"   "4899391"   "4909892"   "4929129"   "4929843"   "4941335"   "4969782"   "4971489"   "4993291"   "4998349"   "5029459"   "5043144"   "5056204"   "5094538"   "5094546"   "5111056"   "5119190"   "5122018"   "5127532"   "5128531"   "5130064"   "5139246"   "5144561"   "5146689"   "5165315"   "5167171"   "5171112"   "5192469"   "5293687"   "529708"   "5271698"   "5293687"   "5337151"   "5308360"   "5311286"   "5311756"   "5311758"   "5314274"   "5330168"   "5351409"   "5382784"   "5393967"   "5443339"   "5485399").PN.  S206 10 S205 and groove and (top or above) US-PGPUB; NEAR ON	2021/04/04
"4648585"   "4651604"   "4652738"   "4652765"   "4653104"   "4656590"   "4657448"   "4666351"   "4671711"	
"3978764"   "3981214"   "4012991"   "4019415"   "4023464"   "4051748"   "4062261"   "4088060"   "4090303"   "4092806"   "4105340"   "4117763"   "4121292"   "4132151"   "4143582"   "4188163"   "4223791"   "4233746"   "4251173"   "4256423"   "4281379"   "4283859"   "4294096"   "4300042"   "4300170"   "4300836"   "4301373"   "4354780"   "4359299"   "4373414"   "4426179"   "4453432"   "4468994"   "4472056"   "4479673"   "4521142"   "4525908"   "4556498"   "4541760"   "4557488"   "4558215"   "4562759"   "4592683"   "4601185"   "4614465"   "4625107"   "4638567"   "4647028"	

			FPRS; EPO; JPO; DERWENT; IBM_TDB			
S208	14	S207 and perpendicular.clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	OFF	2021/04/04 22:33
S207	159	Thompson near2 Chester.inv. or Mutch near2 William.inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2021/04/04 22:33

## EAST Search History (Interference)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
S209	10,255	( (B23C2235/12 OR B23C2235/41 OR B23C3/35 OR G06K9/00208 OR G06K9/2036 OR G06K9/209 OR G06K9/48 OR G06K9/6202 OR G06T2207/10141 OR G06T7/62 OR H04N5/247 OR Y10T409/300952 OR Y10T409/301064).CPC. )	USPAT	NEAR	OFF	2021/04/04 22:16
S211	23	S210 and @ad<"20090501"	USPAT	NEAR	OFF	2021/04/04 22:17
S210	34	S209 and (top or first side or above) same (second side or below or bottom) same key same groove	USPAT	NEAR	ON	2021/04/04 22:17
S213	1	"6839451".pn. and second (side or surface)	USPAT	NEAR	ON	2021/04/04 22:19
S212	1	"6839451".pn. and second (side or surface)	USPAT	NEAR	OFF	2021/04/04 22:19
S214	1	"6839451".pn. and (other or both) (side or surface)	USPAT	NEAR	ON	2021/04/04 22:21
S215	7	S209 and (top or first side or above) same (second side or below or bottom) same key same milling	USPAT	NEAR	ON	2021/04/04 22:28
S216	7	S215 and @ad<"20090501"	USPAT	NEAR	OFF	2021/04/04 22:29

<b>Electronic Patent Application Fee Transmittal</b>							
Application Number:	159	920955					
Filing Date:	14-	Mar-2018					
Title of Invention:	KEY BLANK IDENTIFICATION SYSTEM WITH GROOVE SCANNING						
First Named Inventor/Applicant Name:	Chester O.D. Thompson						
Filer:	Mark Jason Masterson/Danielle Mueller						
Attorney Docket Number:	16223-00341						
Filed as Small Entity							
Filing Fees for Utility under 35 USC 111(a)							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:							
Claims:							
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:	Post-Allowance-and-Post-Issuance:						
Extension-of-Time:							

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Extension - 3 months with \$0 paid	2253	1	740	740		
Miscellaneous:						
RCE- 1ST REQUEST	2801	1	680	680		
Total in USD (\$) 1420						

Electronic Acknowledgement Receipt				
EFS ID:	41896949			
Application Number:	15920955			
International Application Number:				
Confirmation Number:	2833			
Title of Invention:	KEY BLANK IDENTIFICATION SYSTEM WITH GROOVE SCANNING			
First Named Inventor/Applicant Name:	Chester O.D. Thompson			
Customer Number:	33772			
Filer:	Mark Jason Masterson/Danielle Mueller			
Filer Authorized By:	Mark Jason Masterson			
Attorney Docket Number:	16223-00341			
Receipt Date:	11-FEB-2021			
Filing Date:	14-MAR-2018			
Time Stamp:	09:00:51			
Application Type:	Utility under 35 USC 111(a)			

## **Payment information:**

Submitted with Payment	yes
Payment Type	CARD
Payment was successfully received in RAM	\$1420
RAM confirmation Number	E20212A001043683
Deposit Account	
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

File Listing:  Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Fee Worksheet (SB06)	fee-info.pdf	32676 0c67ca16af7720f64e278846dd810a82cecd 7cb1	no	2
Warnings:		+	-	'	
Information:					
		Total Files Size (in bytes)	3	2676	

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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Doc code: RCEX Doc description: Request for Continued Examination (RCE)

X

Request for Continued Examination (RCE)

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

REQUEST FOR CONTINUED EXAMINATION(RCE)TRANSMITTAL							
			(Submitted	l Only via EFS	-Web)		
Application Number	15920955	Filing Date	2018-03-14	Docket Number (if applicable)	16223-00341	Art Unit	2661
First Named Inventor	Chester O. D. Th	ompson		Examiner Name	Michelle M. Hausmann		
This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.  Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. The Instruction Sheet for this form is located at WWW.USPTO.GOV							
		S	UBMISSION REQ	UIRED UNDER 37	CFR 1.114		
in which they	were filed unless a	applicant ins		pplicant does not wi	nents enclosed with the RCE wi sh to have any previously filed u		
	y submitted. If a fir on even if this box			any amendments file	d after the final Office action ma	ay be con	sidered as a
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Other							
				FEES			
x The Dire	ctor is hereby auth		s required by 37 CF harge any underpayr		RCE is filed. it any overpayments, to		
		SIGNATUR	RE OF APPLICANT	Γ, ATTORNEY, OF	R AGENT REQUIRED		
<b>≭</b> Patent	Practitioner Signa	ature					
Applic	ant Signature						

Doc code: RCEX

PTO/SB/30EFS (02-18)

Doc description: Request for Continued Examination (RCE)

Approved for use through 11/30/2020. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Signature of Registered U.S. Patent Practitioner						
Signature	/Mark J. Masterson/	Date (YYYY-MM-DD)	2021-02-10			
Name	Mark J. Masterson	Registration Number	71886			

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Patent Application Fee Transmittal								
Application Number:	15	920955						
Filing Date:	14	Mar-2018						
Title of Invention:	KEY BLANK IDENTIFICATION SYSTEM WITH GROOVE SCANNING							
First Named Inventor/Applicant Name:	Chester O.D. Thompson							
Filer:	Mark Jason Masterson							
Attorney Docket Number:	16	223-00341						
Filed as Small Entity								
Filing Fees for Utility under 35 USC 111(a)								
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)			
Basic Filing:								
Pages:								
Claims:								
Miscellaneous-Filing:								
Petition:	Petition:							
Patent-Appeals-and-Interference:								
Post-Allowance-and-Post-Issuance:	Post-Allowance-and-Post-Issuance:							
Extension-of-Time:								

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Extension - 3 months with \$0 paid	2253	1	740	740	
Miscellaneous:					
RCE- 1ST REQUEST	2801	1	680	680	
	Total in USD (\$)			1420	

Electronic Acl	knowledgement Receipt		
EFS ID:	41895128		
Application Number:	15920955		
International Application Number:			
Confirmation Number:	2833		
Title of Invention:	KEY BLANK IDENTIFICATION SYSTEM WITH GROOVE SCANNING		
First Named Inventor/Applicant Name:	Chester O.D. Thompson		
Customer Number:	33772		
Filer:	Mark Jason Masterson		
Filer Authorized By:			
Attorney Docket Number:	16223-00341		
Receipt Date:	10-FEB-2021		
Filing Date:	14-MAR-2018		
Time Stamp:	19:04:31		
Application Type:	Utility under 35 USC 111(a)		

# Payment information:

Submitted wi	th Payment	no				
File Listing:						
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Request for Continued Examination (RCE)		rce.pdf	133090 4a73b346c3aaa0df1abbd01d62ba199faf2c 8783	no	3
Warnings:	•					

This is not a US	SPTO supplied RCE SB30 form.				
Information	:				
2 Amendment Submitted/Entered with Filing of CPA/RCE			114772		
	response.pdf	dee79bb32137753754c898dbe71be9bf5f1 8376c	no	7	
Warnings:	-				·
Information	1				
3 Fee Worksheet (SB06)	32561 fee-info.pdf a71806486a466cd989ab8bc4c03c26251 efdf1	32561			
		no 3	2		
Warnings:					
Information	:				
	Total Files Size (in bytes): 280423				

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#### PATENT APPLICATION

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application: Chester O.D. Thompson Group Art Unit: 2661

Serial No.: 15/920,955 Examiner: Michelle M. Hausmann

Filing Date: March 14, 2018 Docket No.: 16223-00341

Confirmation No.: 2833

Title: KEY BLANK IDENTIFICATION SYSTEM WITH GROOVE SCANNING

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

#### **RESPONSE TO FINAL OFFICE ACTION**

Applicant acknowledges receipt of the August 10, 2020 Final office action, setting a shortened statutory period of reply of three months. As this Response is being filed electronically on February 10, 2021, along with a three month extension, Request for Continued Examination and appropriate fees, applicant believes no additional fees are due. However, if any fees are required for filing this Response, please charge such fees to our Deposit Account No. 13-0265 identifying attorney docket number 16223-00341.

#### **CLAIMS**

#### What is claimed is:

- 1. 1-39. (Canceled)
- 40. (New) A key duplication system comprising:

a housing;

a key identification system comprising:

a slot opening in the housing configured to receive the blade of a master key;

an imaging system including one ore more cameras configured to record images

of the master key and at least one light source, wherein the imaging system is configured

to use a single camera to capture multiple angles of the master key to determine groove

characteristics of each side of the blade of the master key while the master key is

maintained in the slot; and

a key cutting system configured to receive a key blank that the identification

system has determined to be associated with the master key based on the groove

characteristics of the master key and cut a key pattern of the master key into the key

blank.

41. (New) The key duplication system of claim 40 wherein the groove characteristics

include a groove contour.

42. (New) The key duplication system of claim 40 further comprising a user interface such

as a monitor or a touch screen positioned on the housing.

43. (New) The key duplication system of claim 42 wherein the user interface is configured

to provide an output to the user or operator to facilitate key duplication.

44. (New) The key duplication system of claim 41 wherein the user interface warns the user

that a master key contains a computer chip.

- 45. (New) The key duplication system of claim 41 wherein the user interface instructs the user to place the master key in or near a device designed to sense the presence of a computer chip.
- 46. (New) The key duplication system of claim 45, wherein the system is configured as a self-serve kiosk.
- 47. (New) The key duplication system of claim 40, wherein the one or more light sources includes a backlight.
- 48. (New) The key duplication system of claim 40 wherein an image captured by the one or more cameras includes at least one backlight image.
- 49. (New) The key duplication system of claim 40, wherein said characteristics of said contour includes outline data wherein said outline data includes a plurality of data points.
- 50. (New) The key duplication system of claim 49, wherein said plurality of data points is selectively adjustable along an interval to adjust accuracy of groove identification.
- 51. (New) The key duplication system of claim 40 further comprising a key holder configured to hold said master key.
- 52. (New) The key duplication system of claim 51, wherein said key holder includes a clamp.
- 53. (New) The key duplication system of claim 40, wherein a first image of said master key includes a groove in the bottom of a blade of said master key and wherein a second image of said master key includes a groove in the top of said blade of said master key.
- 54. (New) The key duplication system of claim 40, wherein one of said views of said master key includes a view from an angle between perpendicular and parallel to the blade of said master key and not including perpendicular or parallel to the blade of said master key,
- 55. (New) The key duplication system of claim 40 wherein the system is configured to store data related to the master key and key duplicating event.

<b>7</b> .6	
56.	(New) The key duplication machine of claim 55, wherein the data is configured to be
remot	ely communicated or downloaded for remote analysis.

#### **REMARKS**

Claims 21-39 are currently pending. The Non-Office Action identifies the following:

- Claims 21-39 have been subject to a claim interpretation under 35 USC 112(f)
- Claim 21 has been rejected under 35 USC 101 as being directed to an abstract idea.
- Claims 21, 22, 25-34, and 37 stand rejected under 35 U.S.C. 103 as being unpatentable over Campbell et al. (U.S. Pub. No. 2002/0031251) in view of Addleman (WO8701194).
- Claim 23 is rejected under 35 U.S.C. 103 as being unpatentable over Campbell in view of Addleman and Barkman (U.S. Pat. No. 5,255,199).
- Claim 24 is rejected under 35 U.S.C. 103 as being unpatentable over Campbell in view of Adleman and Hillman (U.S. Pub. No. 2004/0013291).
- Claim 34 is rejected under 35 U.S.C. 103 as being unpatentable over Campbell in view of Addleman in view of Bass (US2007/0224008).
- Claim 35 is rejected under 35 U.S.C. 103 as being unpatentable over Campbell in view of Addleman and Wills (U.S. Pat. No. 6,064,747).
- Claim 36 is rejected under 35 U.S.C. 103 as being unpatentable over Campbell in view of Addleman and Gimelfarb (U.S. Pub. No. 2006/147289).
- Claim 38 is rejected under 35 U.S.C. 103 as being unpatentable over Campbell in view of Addleman and Gallarda (U.S. Pat. No. 6539106).
- Claim 39 is rejected under 35 U.S.C. 103 as being unpatentable over Campbell in view of Addleman and Satoh (U.S. Pub. No. 2009/0022369).

The following amendments and remarks address each of these issues and place the present application in condition for allowance. Notably, claims 21-39 are now canceled and claims 40-56 are newly added.

#### I. §112(f)

Claims 21-39 were identified as to be interpreted under 35 U.S.C. § 112(f) or (pre-AIA, sixth paragraph). Applicant respectfully submits that claims 21-39 have been canceled and that 112(f) or 112, sixth paragraph does not apply to the claim terms in new claims 40-56. For at least these reasons, Applicant respectfully submits that this objection be withdrawn.

#### II. §101 Rejections

Claims 21-39 stand rejected under 35 U.S.C. § 101 as allegedly directed to non-statutory subject matter. Applicant respectfully submits that claims 21-39 have been canceled and that §101 analysis does not apply to the claim terms in new claims 40-56. For at least these reasons, Applicant respectfully submits that this objection be withdrawn.

#### III. §103 Rejections

Claims 21-39 stand rejected as allegedly obvious over Campbell in view of Addleman as well as myriad other references. Claims 21 – 39 have been canceled and replaced with new claims 40-56. Applicant submits that the claim rejection in view of Campbell in view of Addleman and others does not sufficiently teach the subject matter of new claims 40-60.

More particularly, Campbell in view of Addleman fails to teach or otherwise disclose a key duplication system that includes a housing, a key identification system comprising a slot opening in the housing configured to receive the blade of a master key, an imaging system including one ore more cameras configured to record images of the master key, at least one light wherein the imaging system is configured to use a single camera to capture multiple angles of the master key to determine groove characteristics of each side of the blade of the master key while the master key is maintained in the slot; and a key cutting system.

More particularly, Campbell and the remaining prior art of record does not disclose a housing with a slot to receive a blade for a master key. In contrast, Campbell includes a garage type enclosure for receiving an entire key therein to be placed on a surface. There is no teaching or suggestion by Campbell for disclosing a housing with a slot for receiving the blade of a master key and for imaging multiple angles of the master key while the master key is maintained in the slot.

Thus, for at least this reason, Campbell in combination with any of the cited prior art references fails to amount to a prima facie case of obviousness as the combined teachings of the cited art fail to teach each and every feature as recited by the independent claim 40. For at

least this reason, all remaining claims 40-56 are patentable over the cited references and are in condition for allowance.

## **CONCLUSION**

In light of the foregoing, Applicants submits that the application is now in condition for acceptance. If the Examiner has any questions pertaining to the above, then the undersigned attorney would welcome a phone call to provide any further clarification or a formal interview.

Respectfully submitted,

Date: February 10, 2021 /Mark J. Masterson/

Mark J. Masterson – Reg. No. 71,886 McDonald Hopkins LLC 600 Superior Avenue, E., Suite 2100 Cleveland, OH 44114-2653 (216) 348-5427

PTO/SB/06 (09-11)
Approved for use through 1/31/2014, OMB 0651-0032
J.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

	Approved for use through 1/31/2014. ONB 0651-0032
	U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Index the Paperwork Reduction Act of 1995, no persons are required to respon	to a collection of information unless it displays a valid OMR control number

PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875  Application						or Docket Number 5/920,955	Filing Date 03/14/2018	To be Mailed	
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	SEARCH FEE (37 GFR 1.16(k), (i), o		N/A		N/A		N/A		
	EXAMINATION FEE (37 CFR 1.16(o), (p), (		N/A		N/A		N/A		
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¥	Application S	Size Fee (37 CF	R 1.16(s	))					
A	☐ FIRST PRE	SENTATION O	F MULTIF	PLE DEPENDEN	IT CLAIM (37 CF	-R			
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This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
15/920,955	03/14/2018	Chester O.D. Thompson	16223-00341	2833
	7590 08/10/202 HOPKINS LLC	0	EXAM	IINER
600 Superior A			HAUSMANN,	MICHELLE M
Suite 2100 CLEVELAND	, ОН 44114-2653		ART UNIT	PAPER NUMBER
			2661	
			NOTIFICATION DATE	DELIVERY MODE
			08/10/2020	ELECTRONIC

## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

ipmailbox@mcdonaldhopkins.com

	,						
	<b>Application No.</b> 15/920,955	Applicant(s					
Office Action Summary	Examiner MICHELLE M ENTEZARI HAUSMANN	Art Unit 2661	AIA (FITF) Status No				
The MAILING DATE of this communication app Period for Reply	nears on the cover sheet with the	corresponder	nce address				
A SHORTENED STATUTORY PERIOD FOR REPL DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.1 date of this communication.  - If NO period for reply is specified above, the maximum statutory period v.  - Failure to reply within the set or extended period for reply will, by statute	A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTHS FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term						
Status							
1) Responsive to communication(s) filed on 6/3							
☐ A declaration(s)/affidavit(s) under <b>37 CFR</b>		·					
,	☐ This action is non-final.						
3) An election was made by the applicant in resonant; the restriction requirement and ele	ection have been incorporated	into this action	on.				
<ol> <li>Since this application is in condition for allow closed in accordance with the practice under</li> </ol>							
Disposition of Claims*							
5) 🗹 Claim(s) 21-39 is/are pending in the ap	pplication.						
5a) Of the above claim(s) is/are withdrawn	rawn from consideration.						
6) Claim(s) is/are allowed.							
7) Claim(s) 21-39 is/are rejected.							
8) Claim(s) is/are objected to.							
9) Claim(s) are subject to restriction a							
* If any claims have been determined <u>allowable</u> , you may be el participating intellectual property office for the corresponding a			nway program at a				
http://www.uspto.gov/patents/init_events/pph/index.jsp or send							
Application Papers		-					
10) The specification is objected to by the Exami	iner.						
11) The drawing(s) filed on is/are: a) a		by the Exami	ner.				
Applicant may not request that any objection to the d		-					
Replacement drawing sheet(s) including the correction	on is required if the drawing(s) is ob	jected to. See 3	37 CFR 1.121(d).				
Priority under 35 U.S.C. § 119		440( ) ( 1)	(5)				
12) Acknowledgment is made of a claim for forei Certified copies:	gn priority under 35 U.S.C. §	119(a)-(d) or	(1).				
a) ☐ All b) ☐ Some** c) ☐ None of	the:						
1. ☐ Certified copies of the priority docur							
2. Certified copies of the priority docur							
<ol> <li>Copies of the certified copies of the application from the International Br</li> </ol>		n received in	this National Stage				
** See the attached detailed Office action for a list of the certified copies not received.							
Attachment(s)							
	3) 🗍 Interview Summ	ary (PTO-413)					
1) Notice of References Cited (PTO-892)  3) Interview Summary (PTO-413) Paper No(s)/Mail Date							

U.S. Patent and Trademark Office

PTOL-326 (Rev. 11-13)

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### **DETAILED ACTION**

### Response to Amendment

1. Claims 21-39 are pending. Claims 21-39 are amended directly or by dependency on an amended claim.

## Response to Arguments

- 2. Applicant's arguments filed June 30, 2020 have been fully considered but they are not persuasive.
- 3. With respect to the arguments, see pages 5-6, with respect to the 35 USC 112 6<sup>th</sup>/f interpretations of claims 21-39, examiner maintains that "system comprising" and "logic configured to" convey functions without accompanying sufficient structure. Examiner recommends amending the language to "a system comprising a processor" or other similar language conveying a specific structure.
- 4. With respect to the arguments, see pages 7-8, with respect to the 35 USC 101 rejections of claims 21-39, along with accompanying amendments received on the same date incorporating further physical structures, are not considered sufficient to distinguish from a mental process. Examiner recommends amending the language to include using the match to take some action, such as selecting an appropriate key blank or some other concrete action.
- 5. Applicant's arguments on pages 8-9 with respect to the 35 USC 103 rejections of claims 21-39 along with accompanying amendments received on the same date have been considered but are most because the arguments do not apply to the current combination of references being used in the current rejection.
- 6. All other arguments are by similarity or dependency and are addressed by the above.

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Claim Interpretation

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7. The following is a quotation of 35 U.S.C. 112(f):

(f) Element in Claim for a Combination. — An element in a claim for a combination may be expressed as a means or step for performing a specified function without the recital of structure, material, or acts in support thereof, and such claim shall be construed to cover the corresponding structure, material, or acts described in the specification and equivalents

thereof.

8.

The following is a quotation of pre-AIA 35 U.S.C. 112, sixth paragraph:

An element in a claim for a combination may be expressed as a means or step for performing a specified function without the recital of structure, material, or acts in support thereof, and such claim shall be construed to cover the corresponding structure, material, or acts described in the specification and equivalents thereof.

"means," but are nonetheless being interpreted under 35 U.S.C. 112(f) or pre-AIA 35 U.S.C. 112, sixth paragraph, because the claim limitation(s) uses a generic placeholder that is coupled with functional language without reciting sufficient structure to perform the recited function and

This application includes one or more claim limitations that do not use the word

the generic placeholder is not preceded by a structural modifier. Such claim limitation(s) is/are:

"key identification system comprising" and "a logic configured to map data points" in claim 21

(and by dependency, claims 22-39).

Because this/these claim limitation(s) is/are being interpreted under 35 U.S.C. 112(f) or pre-AIA 35 U.S.C. 112, sixth paragraph, it/they is/are being interpreted to cover the corresponding structure described in the specification as performing the claimed function, and equivalents thereof.

If applicant does not intend to have this/these limitation(s) interpreted under 35 U.S.C. 112(f) or pre-AIA 35 U.S.C. 112, sixth paragraph, applicant may: (1) amend the claim limitation(s) to avoid it/them being interpreted under 35 U.S.C. 112(f) or pre-AIA 35 U.S.C. 112,

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sixth paragraph (e.g., by reciting sufficient structure to perform the claimed function); or (2) present a sufficient showing that the claim limitation(s) recite(s) sufficient structure to perform the claimed function so as to avoid it/them being interpreted under 35 U.S.C. 112(f) or pre-AIA 35 U.S.C. 112, sixth paragraph.

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**NOTE ON 101:** If the applicant amends to no longer be interpreted under 35 USC 112 f/6<sup>th</sup>, the logic of claim 21 likely becomes **pure software**, so any amendments should take into consideration the potential 35 USC 101 rejections for lacking a non-transitory CRM in future claim language as well.

#### Claim Rejections - 35 USC § 101

9. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

10. Claim 21 (and by dependency claims 22-39) are rejected under 35 U.S.C. 101 because the claimed invention is directed to an abstract idea without significantly more. The claim recites: a key identification system comprising... a logic configured to map data points from said first image to corresponding data, points from said second image to determine characteristics of a contour of said master key; and wherein said logic compares said characteristics of said contour with characteristics of known key blanks to determine the likelihood of a match between said master key and a known key blank. If a claim limitation, under its broadest reasonable interpretation, covers performance of the limitation in the mind but for the recitation of generic computer components, then it falls within the "Mental Processes" grouping of abstract ideas. Accordingly, these limitations fall under the mental processes category and are stated at a high level of generality, and recite an abstract idea. This judicial exception is not integrated into a practical application.

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Examiner recommends indicating what the key identification system does with the information regarding the likelihood of a match for instance, in order to integrate the concept into a practical application.

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## Claim Rejections - 35 USC § 103

- 11. In the event the determination of the status of the application as subject to AIA 35 U.S.C. 102 and 103 (or as subject to pre-AIA 35 U.S.C. 102 and 103) is incorrect, any correction of the statutory basis for the rejection will not be considered a new ground of rejection if the prior art relied upon, and the rationale supporting the rejection, would be the same under either status.
- 12. The following is a quotation of 35 U.S.C. 103 which forms the basis for all obviousness rejections set forth in this Office action:

A patent for a claimed invention may not be obtained, notwithstanding that the claimed invention is not identically disclosed as set forth in section 102, if the differences between the claimed invention and the prior art are such that the claimed invention as a whole would have been obvious before the effective filing date of the claimed invention to a person having ordinary skill in the art to which the claimed invention pertains. Patentability shall not be negated by the manner in which the invention was made.

13. Claims 21, 22, 25-34, and 37 is/are rejected under 35 U.S.C. 103 as being unpatentable over Campbell et al. (IDS: US 20020191849 A1) in view of Addleman et al. (WO8701194).

**Regarding claim 21,** Campbell et al. disclose a key identification system comprising: an imaging system including a first camera wherein said imaging system is configured to capture a first image of a master key and a second image of said master key, said first image different than said second image ("Preferably, second receiver 50 is positioned such that the viewing range 54 of the second receiver 50 overlaps viewing range 42 of receiver 28. Thus, receiver 28 will capture an image of a first part of master key 32 and second receiver 50 will

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capture and image of a second part of the master key", [0053]); a logic configured to map data, points from said first image to corresponding data, points from said second image to determine characteristics of a contour of said master key (images captured by receiver 28 and second receiver 50 can be combined to create a complete image of the master key, [0053], first luminous surface 24 includes reference marks 55 to aid in combining the images of the key captured by receiver 28 and second receiver 50, reference marks 55 are used when the two separate images are combined to form a single image of the master key and ensure that the image of the key is properly combined, [0054], edge map of the master key, edge map is an array of points that define the relative locations of different points along the edges of the master key, [0077]); and wherein said logic compares said characteristics of said contour with characteristics of known key blanks to determine the likelihood of a match between said master key and a known key blank (comparing information about the head, shoulder, and blade of the master key to similar information about known key blanks, the key blank corresponding to the master key may be identified, [0038], searches the known key blanks in the database to filter out key blanks that have different numbers of cut edges and have blade lengths that are outside a certain tolerance of the blade length of the master key, subset of potential matches, [0084], "If the shape comparison based on the shape description string fails to produce a score indicative of a match, the control may perform a comparison based on the contour description string for the master key and potential key blank matches. It is contemplated that the contour description matching described below may be performed prior to or instead of the shape description string matching. The contour description matching may be a "pass or fail" type matching process. If the contour description matching process yields a "passing" score, the corresponding key blank is added to the list of possible matches", [0089], contour matching

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score, aid in identifying the appropriate key blank, [0093]-[0095], [0097], score values over a specific threshold are considered to be matched shapes, [0100]).

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Campbell et al. do not use the exact language "likelihood of a match". It would have been obvious at the time of the invention to one of ordinary skill in the art that the score described by Campbell et al. can encompass a likelihood, as a score is one way to convey a likelihood.

Campbell et al. do not disclose at least one mirror wherein the at least one mirror allows said first camera at a remote position from said master key to capture images from multiple angles of said master key.

Addleman et al. teach at least one mirror wherein the at least one mirror allows said first camera at a remote position from said master key to capture images from multiple angles of said master key (non-contact surface sensing and digitizing, abstract, "The contour line generated by the intersection of the light plane and the subject surface is viewed from a small angle away from the light plane by mirrors 40 and 42. The path of light 41 from point 50 on the contour line is reflected from mirror 40, passes through the beamsplitter 44 to the sensor 48. A second light path 43 from the sane point 58 is reflected by mirror 42 and the surface of beamsplitter 44 to the sensor 48. The combined images follow light path 45", p6, Fig. 6, more than two viewing directions can be combined by iteration of either preferred embodiment, p6).

Cambell et al. and Addleman et al. are in the same art of light projection and object imaging and digitizing (Cambell et al., abstract, [0007], [0047]; Addleman et al., abstract). The addition of Gimelfarb would incorporate mirrors into the imaging process. It would have been obvious at

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the time of the invention to one of ordinary skill in the art to combine the mirrors of Addleman et al. with the invention of Campbell et al., as this was known at the time of the invention, the combination would have predictable results, and as Addleman et al. indicate, "The invention is significant because of the speed and relative simplicity of the method and a provision for alleviating shadowing from large surface irregularities and its accommodation of a wide deviance range of light reflection. The apparatus has no moving parts" (abstract) indicating the speed and apparatus efficiency of Campbell et al. can be increased by incorporation of Addleman et al.

**Regarding claim 22,** Campbell et al. and Addleman et al. disclose the key identification system of claim 21. Campbell et al. further disclose characteristics of said contour includes outline data wherein said outline data includes a plurality of data points ("The control then analyzes the silhouette image of the key to create an edge map of the master key (step 110). The edge map is an array of points that define the relative locations of different points along the edges of the master key", [0077]).

Regarding claim 25, Campbell et al. and Addleman et al. disclose the key identification system of claim 21. Campbell et al. further disclose said logic includes a database containing data related to characteristics of grooves of known key blanks (searches the known key blanks in the database to filter out key blanks that have different numbers of cut edges, [0084], control compares each mill shape descriptor of the master key to the mill shape descriptor of the known key blanks and scores the comparison, [0100], whether the key blank has unique milling patterns on both sides, the indicator in the database is marked accordingly, [0107]).

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Regarding claim 26, Campbell et al. and Addleman et al. disclose the key identification system of claim 21. Campbell et al. further disclose said logic is configured to generate tolerance and or correlation values to determine the likelihood that the comparison yields a match (comparing information about the head, shoulder, and blade of the master key to similar information about known key blanks, the key blank corresponding to the master key may be identified, [0038], tolerance of the blade length of the master key, comparison, [0084], [0087], the similarity of each comparison is assigned a score, [0088], score indicative of a match, the control may perform a comparison based on the contour description string for the master key and potential key blank matches, [0089], contour match sum is compared to an ideal match score, [0094], employ a ranking system to identify the key blanks that are most similar to one another, [0096], matching type section having a size that is between the first tolerance level and a second tolerance level is given a partial score, [0104]).

**Regarding claim 27,** Campbell et al. and Addleman et al. disclose the key identification system of claim 21. Campbell et al. further disclose said first image is a digital image (receiver is a high resolution digital camera, [0047], control analyzes the pixels of this image of the master key to identify the milling exit shape, [0098]).

**Regarding claim 28,** Campbell et al. and Addleman et al. disclose the key identification system of claim 21. Campbell et al. further disclose said second image comprises a silhouette of a blade of said master key (profile of the master key reveals the shape and size of the head and shoulder of the master key, as well as the length of the key blade, [0044]).

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**Regarding claim 29,** Campbell et al. and Addleman et al. disclose the key identification system of claim 21. Campbell et al. further disclose said second image comprises a silhouette of a tip of said master key (outline the profile of the master key, [0044], control then analyzes the silhouette image of the key to create an edge map of the master key, [0077], extreme points represent the tip 62 and the head 64 of the key, [0078], establishes the tip end of the spine by searching the edge map of the key, [0080]).

Regarding claim 30, Campbell et al. and Addleman et al. disclose the key identification system of claim 21. Campbell et al. further disclose said characteristics of said contour are determined based on image data from at least said two or more views of said master key (images captured by receiver 28 and second receiver 50 can be combined to create a complete image of the master key, [0053], first luminous surface 24 includes reference marks 55 to aid in combining the images of the key captured by receiver 28 and second receiver 50, reference marks 55 are used when the two separate images are combined to form a single image of the master key and ensure that the image of the key is properly combined, [0054], edge map of the master key, edge map is an array of points that define the relative locations of different points along the edges of the master key, [0077]).

**Regarding claim 31,** Campbell et al. and Addleman et al. disclose the key identification system of claim 30. Campbell et al. further disclose said logic calculates the geometry of the contour as though a tip of said master key were squarely cut based on data from at least said two or more views of said master key (size and shape of key head 64 and shoulder area 70 are features of the master key 32 that are useful in identifying the corresponding key blank, [0035], head shape, [0065], width descriptions are combined into a single shape description string,

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[0085], contour information is derived from the shape of the outer perimeter of head, [0086]

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shape description string matching, [0089], [0098], [0104]).

Regarding claim 32, Campbell et al. and Addleman et al. disclose the key identification

system of claim 21. Campbell et al. further disclose a key holder configured to hold said master

key (master key 32 may be placed directly on first luminous surface 24 or a transparent

support, [0044]).

**Regarding claim 33,** Campbell et al. and Addleman et al. disclose the key identification

system of claim 32. Campbell et al. further disclose in the prior art said key holder includes a

clamp (master key may be positioned in a clamp and a cutting mechanism, [0004]). It would

have been obvious at the time of filing to one of ordinary skill in the art to use a clamp as

described in the prior art embodiments, as this is one of a limited number of ways to secure a

key.

Regarding claim 37, Campbell et al. and Addleman et al. disclose the key identification

system of claim 21. Campbell et al. further disclose said logic includes a tolerance range for

each characteristic of said master key to determine whether a master key passes or fails to

match a known key blank ([0087], [0104]).

14. Claim 23 is/are rejected under 35 U.S.C. 103 as being unpatentable over Campbell et

al. (IDS: US 20020191849 A1) and Addleman et al. (WO8701194) as applied to claim 22 above,

further in view of Barkman et al. (IDS: US 5255199 A).

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**Regarding claim 23,** Campbell et al. and Addleman et al. disclose the key identification system of claim 22. Campbell et al. and Addleman et al. do not explicitly disclose said plurality of data points is selectively adjustable along an interval to adjust accuracy of groove identification.

Barkman et al. teach a plurality of data points is selectively adjustable along an interval to adjust accuracy of groove identification (removing inaccurate edge point data from the collected data points relating to the cutting tool edge, remove these inaccurate tangent edge points to increase the accuracy of measurement calculations subsequently performed, col. 6, lines 30-50).

Campbell et al. and Barkman et al. are in the same art of edge inspection (Campbell et al., [0051]; Barkman et al., abstract). The combination of Barkman et al. with Campbell et al. and Addleman et al. will enable the change in the number of data points used. It would have been obvious at the time of the invention to one of ordinary skill in the art to combine the point number adjustment of Barkman et al. with the invention of Campbell et al. and Addleman et al. as this was known at the time of filing, the combination would have predictable results, and as Barkman et al. indicate this will increase accuracy (col. 6, lines 30-50), and better identifying an edge will be an advantage when determining a number of bittings to determine a correct blank in the invention of Campbell et al.

15. **Claim 24** is/are rejected under 35 U.S.C. 103 as being unpatentable over Campbell et al. (IDS: US 20020191849 A1) and Addleman et al. (WO8701194) as applied to claim 21 above, further in view of Hillman et al. (US 20040013291 A1).

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**Regarding claim 24,** Campbell et al. and Addleman et al. disclose the key identification system of claim 21. Campbell et al. and Addleman et al. do not explicitly disclose said imaging system further comprises a crop box for limiting the image of the master key, wherein said crop box defines the outer periphery of at least said first and second images of said master key.

Hilman et al. teach a crop box for limiting the image of the object of interest, wherein said crop box defines the outer periphery of at least said first and second images of said object of interest (extracting the edges of the scan, pinpointing reference points on it, positioning, standardizing, and aligning it; autocropping, extracting a plurality of features and/or regions within the scan; correlating said regions or features with database images and parameters, abstract, pinpointing of reference points is done by use of a matching template images, [0030], matching template images of the brain to database images of brains, include specific 2-D slices, [0045], identifying and aligning the image brain scan using template matching then by use of further template matching, a point on the object is chosen as a reference point, [0064], "Parameters necessary for cropping the relevant digital feature images are optimized; the digital feature images are cropped based on the optimized cropping parameters for selecting profile and relevant feature for further analysis", [0065]).

Campbell et al. and Hillman et al. are in the same art of matching image features to a template (Campbell et al., [0097]; Hillman et al., [0045]). The combination of Hillman et al. with Campbell et al. and Addleman et al. will enable the use of a crop box. It would have been obvious at the time of the invention to one of ordinary skill in the art to combine the crop box of Hillman et al. with the invention of Campbell et al. as this was known at the time of filing, the

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combination would have predictable results, and as this will minimize analysis of extraneous information, which will improve efficiency in making comparisons to key blanks in a database as described in the invention described by Campbell et al.

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16. **Claim 34** is/are rejected under 35 U.S.C. 103 as being unpatentable over Campbell et al. (IDS: US 20020191849 A1) and Addleman et al. (WO8701194) as applied to claim 21 above, further in view of Bass et al. (IDS: US 20070224008 A1).

**Regarding claim 34,** Campbell et al. and Addleman et al. disclose the key identification system of claim 21. Campbell et al. partly disclose said first image of the master key includes a groove in the bottom of a blade of said master key and wherein said second image of the mater key includes a groove at the top of said master key (see receiver 28 in Fig. 1, Fig. 2), however, does not make this explicit.

Bass et al. teach a first image of the master key includes a groove in the bottom of a blade of said master key and wherein said second image of the mater key includes a groove at the top of said master key ("Such analysis may include quantifying and defining the depth, angle, and position of each tooth in the key pattern 36; determining whether there is a key pattern 36 on one side of the key 22 (a single-sided key) or on both sides of the key 22 (a double-sided key); determining precisely where along the blade 32 the key pattern 36 begins and ends; and the like. In addition, surface features of the blade 32, such as the presence, length, and width of a key groove 34, may be determined", [0060], "It should be clear that such a scan could read one or both sides of a key 22. Information on the groove 34 may likewise be analyzed and

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compared by the logic to groove information stored in the database related to known key

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blanks 24", [0063]).

Cambell et al. and Bass et al. are in the same art of copying keys (Cambell et al., abstract; Bass, abstract). The addition of Bass would determine if there is a double sided key. It would have been obvious at the time of the invention to one of ordinary skill in the art to combine the analysis of Bass with the invention of Cambell et al. and Addleman et al., as this was known at the time of the invention, would have predictable results, and as one of a limited number of key descriptors, would have been obvious to try, and as Bass et al. indicate this will, "produce accurate and reliable duplicates of master keys... the captured images may be analyzed by logic to determine the proper key blank to be used for a duplicate key, validate the selection of the proper key blank, facilitate the accurate cutting of the key pattern of the master key into the key blank, and validate the accuracy of the key pattern cut into the key blank" ([0040]), which will have advantages when incorporated into the key duplication strategy of Campbell et al.

17. **Claim 35** is/are rejected under 35 U.S.C. 103 as being unpatentable over Campbell et al. (IDS: US 20020191849 A1) and Addleman et al. (WO8701194) as applied to claim 21 above, further in view of Wills et al. (IDS: US 6064747 A).

**Regarding claim 35,** Campbell et al. and Addleman et al. disclose the key identification system of claim 21. Campbell et al. and Addleman et al. do not explicitly disclose one of said views of said master key includes a view from an angle between perpendicular and parallel to the blade of said master key and not including perpendicular or parallel to the blade of said master key.

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camera, col. 13, lines 20-50, Fig. 6).

Wills et al. teach one of said views of said master key includes a view from an angle between perpendicular and parallel to the blade of said master key and not including perpendicular or parallel to the blade of said master key (angle of the surface of the key relative to the light beam LB and camera 28 at the point of intersection also affects the intensity incident upon the

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Campbell et al. and Wills et al. are in the same art of key inspection (Campbell et al., abstract; Wills et al., abstract). The combination of Wills et al. with Campbell et al. and Addleman et al. will enable the use of a particular view angle. It would have been obvious at the time of the invention to one of ordinary skill in the art to combine the angle of Wills et al. with the invention of Campbell et al. and Addleman et al. as this was known at the time of filing, the combination would have predictable results, and as it has been held that where the general conditions of a claim are disclosed in the prior art, discovering the optimum or workable ranges (such as the best viewing angle) involves only routine skill in the art. *In re Aller*, 105 USPQ 233.

18. **Claim 36** is/are rejected under 35 U.S.C. 103 as being unpatentable over Campbell et al. (IDS: US 20020191849 A1) and Addleman et al. (WO8701194) as applied to claim 21 above, further in view of Gimelfarb et al. (US 20060147289 A1).

**Regarding claim 36,** Campbell et al. and Addleman et al. disclose the key identification system of claim 21. Campbell et al. and Addleman et al. do not explicitly disclose the logic is configured to shift data related to said characteristics of said contour to compare with said known key blank characteristics.

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Gimelfarb et al. teach the logic is configured to shift data related to said characteristics of said

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contour to compare with said known key blank characteristics (bittings aligned to master key,

slidable along axis, abstract, [0014], [0030], [0035]).

Cambell et al. and Gimelfarb are in the same art of copying keys (Cambell et al., abstract;

Gimelfarb, abstract). The addition of Gimelfarb would add a slidable alignment method. It would

have been obvious at the time of the invention to one of ordinary skill in the art to combine the

sliding alignment of Gimelfarb with the invention of Campbell et al., as this was known at the

time of the invention, the combination would have predictable results, and as this allows for

more easy key positioning and comparison.

19. Claim 38 is/are rejected under 35 U.S.C. 103 as being unpatentable over Campbell et

al. (IDS: US 20020191849 A1) and Addleman et al. (WO8701194) as applied to claim 21 above,

further in view of Gallarda et al. (US 6539106 B1).

**Regarding claim 38,** Campbell et al. and Addleman et al. disclose the key identification

system of claim 21. Campbell et al. and Addleman et al. do not explicitly disclose said logic is

configured to generate a series of comparisons to compensate for registration errors.

Gallarda et al. teach logic is configured to generate a series of comparisons to compensate for

registration errors ("The features are stored or represented as data structures, e.g. linked lists,

that do not necessarily list the features in the same order in the list for the test image as in the

list for the reference image. (Feature extraction order may vary depending on a variety of

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factors, including the relative stage position error.) Features of the test and reference images thus cannot be matched by overlaying the data structure. Matching is therefore based on the physical location (e.g. x, y coordinate location) of the feature, corrected as needed for image alignment offset (e.g., using the result of an image alignment algorithm). Matching takes into account features which are missing in an image, whether because they are outside the field of view (e.g., due to stage errors) or due to wafer processing errors (i.e., defects)", col. 16, lines 50-68).

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Campbell et al. and Gallarda et al. are in the same art of matching image features to a template (Campbell et al., [0097]; Gallarda et al., col. 3, lines 20-55). The combination of Gallarda et al. with Campbell et al. and Addleman et al. will enable the compensation of registration errors. It would have been obvious at the time of the invention to one of ordinary skill in the art to combine the correction of Gallarda et al. with the invention of Campbell et al. and Addleman et al. as this was known at the time of filing, the combination would have predictable results, and as Gallarda et al. indicate "Alignment of reference and test images or image regions does not require pixel accuracy. Image alignment need only be close enough so that corresponding features between images or image regions will be correctly matched" (col. 5, line 60 – col. 6, line 5), which is beneficial when matching between a master key and key blank templates when the image of Campbell et al. is likely to not be completely perfect and some leeway will be required to perform a registration.

20. **Claim 39** is/are rejected under 35 U.S.C. 103 as being unpatentable over Campbell et al. (IDS: US 20020191849 A1) and Addleman et al. (WO8701194) as applied to claim 21 above, further in view of Satoh et al. (US 20090022369 A1).

Art Unit: 2661

**Regarding claim 39,** Campbell et al. and Addleman et al. disclose the key identification system of claim 21. Campbell et al. and Addleman et al. do not explicitly disclose said logic includes at least one roll constant used to adjust the plurality of data, points to increase accuracy of a match with a known key blank.

Satoh et al. teach logic includes at least one roll constant used to adjust the plurality of data, points to increase accuracy of a match with a template ("the position/orientation calculation unit 1720 calculates a correction matrix  $\Delta R_{WC_1}$  used to apply correction to three parameters (pan, tilt, and roll angles) of the orientation measurement value of the image capture device 130, so as to cancel errors on the detected indices", [0241], [0244]; template matching, [0063], [0288]). [Campbell et al. teach matching known key blanks in a database]

Campbell et al. and Satoh et al. are in the same art of imaging a target object (Campbell et al., abstract; Satoh et al., [0023]). The combination of Satoh et al. with Campbell et al. and Addleman et al. will enable the compensation of roll. It would have been obvious at the time of the invention to one of ordinary skill in the art to combine the roll correction of Satoh et al. with the invention of Campbell et al. and Addleman et al. as this was known at the time of filing, the combination would have predictable results, and as Satoh et al. indicate "The present invention has been made in consideration of the problems of such prior arts, and has as its object to provide a position/orientation measurement method and apparatus, which can measure the position and orientation of a measurement target object while simultaneously achieving high stability and high precision" ([0023]), which will be beneficial as there will inevitably be some imaging error in the system of Campbell et al. that would benefit from correction.

Art Unit: 2661

#### Conclusion

21. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

22. Any inquiry concerning this communication or earlier communications from the examiner should be directed to MICHELLE M ENTEZARI HAUSMANN whose telephone number is (571)270-5084. The examiner can normally be reached on 10-7 M-F.

Examiner interviews are available via telephone, in-person, and video conferencing using a USPTO supplied web-based collaboration tool. To schedule an interview, applicant is encouraged to use the USPTO Automated Interview Request (AIR) at http://www.uspto.gov/interviewpractice.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, VINCENT M RUDOLPH can be reached on (571)272-8243. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 2661

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see https://ppair-my.uspto.gov/pair/PrivatePair. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/MICHELLE M ENTEZARI/ Primary Examiner, Art Unit 2661

Nation of Defendance Office				App 15/9	Application/Control No.  15/920,955  Applicant(s)/Pate Reexamination Thompson et al.			
Notice of References Cited			МІС	Examiner MICHELLE M ENTEZARI HAUSMANN		Art Unit 2661	Page 1 of 1	
	U.S. PATENT DOCUMENTS							
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

O-892 (Rev. 01-2001) Notice of References Cited

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	15/920,955	Thompson et al.
	Examiner  MICHELLE M ENTEZARI HAUSMANN	Art Unit 2661

CPC - Searched*				
Symbol	Date	Examiner		
B23C2235/12, 41	12/17&12/19/2019	Entezari		
B23C3/35	12/17&12/19/2019	Entezari		
G06K9/00208, 2036, 209, 48, 6202	12/17&12/19/2019	Entezari		
G06T2207/10141	12/17&12/19/2019	Entezari		
G06T7/62	12/17&12/19/2019	Entezari		
H04N5/247	12/17&12/19/2019	Entezari		
Y10T409/300952, 301008, 301064	12/17&12/19/2019	Entezari		

CPC Combination Sets - Searched*				
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US Classification - Searched*					
Class	Subclass	Date	Examiner		

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Search Notes					
Search Notes	Date	Examiner			
see EAST search, includes INV, IDS	12/17-12/21/2019	Entezari			
see google scholar search	12/21/2019	Entezari			
see ip.com search	12/21/2019	Entezari			
see updated EAST search	08/04/2020	Entezari			

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	15/920,955	Thompson et al.
	Examiner	Art Unit
	MICHELLE M ENTEZARI HAUSMANN	2661

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	Application/Control No.	Applicant(s)/Patent Under Reexamination
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Page 1 of 1

## **EAST Search History**

# **EAST Search History (Prior Art)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
S136	1	"20020191849".pn. and mirror	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2020/08/04 10:59
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S139	41	S138 and (mirror or reflective surface) same (views! or perspectives! or angles!)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2020/08/04 11:13
S138	461	S137 and key (shape or scan or scanned or scanner or scanning)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2020/08/04 11:13
S140	227	S137 and key near2 (groove or bitting)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2020/08/04 11:15
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S143	86	(mirror or reflective surface) same (views! or perspectives! or angles!) and key near2 (groove or bitting)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2020/08/04 11:24
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S146	6,248	(mirror or reflective surface) with (views! or perspectives! or angles!) and (inspected or inspect or inspecting or inspection)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2020/08/04 16:13
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L9	2	("5255199" or "20040013291" or "6064747" or "20060147289" or "6539106" or "20090022369").pn. and both sides	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2020/08/04 22:39
L10	6	7 and @ad<"20090501"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	OFF	2020/08/04 22:40
L12	35	11 and @ad<"20090501"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	OFF	2020/08/04 22:41
L11	39	(L5 or L6) and (both or each) adj side with key	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2020/08/04 22:41

L13	0	(L5 or L6) and (both or each) adj side with key with image	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2020/08/04 22:47
L14	2	"20070224008".pn. and (secure or security or improve or improved or improving or improvement or advantage or advantageous or benefit or beneficial or computational or efficient or efficiency or reliable or reliability or fast or desirable or customizable or best or accurate or accuracy or precision or precise or faster or (less or saving) (computation or computational or power or energy or resources!) or realistic or natural or safe or safer or safety or ease or easily or properly or efficiently or pleasant or pleasing or better or superior or helpful or satisfaction or satisfying or profit or profitable or optimal or personalized or customized or customization or revenue or convenient or convenience or adaptive or (customer or rider) experience or inconvenient or inconvenience or better or (avoid or prevent or avoiding or preventing or avoided or prevented) adj2 (accident or collision))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2020/08/04 22:57

# **EAST Search History (Interference)**

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# PATENT APPLICATION

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application: Chester O.D. Thompson Group Art Unit: 2665

Serial No.: 15/920,955 Examiner: Michelle M. Hausmann

Filing Date: March 14, 2018 Docket No.: 16223-00341

Confirmation No.: 2833

Title: KEY BLANK IDENTIFICATION SYSTEM WITH GROOVE SCANNING

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

# **RESPONSE TO OFFICE ACTION**

Applicant acknowledges receipt of the December 30, 2019 non-final office action, setting a shortened statutory period of reply of three months. As this Response is being filed electronically on June 30, 2020, along with a three month extension, applicant believes no additional fees are due. However, if any fees are required for filing this Response, please charge such fees to our Deposit Account No. 13-0265 identifying attorney docket number 16223-00341.

# **CLAIMS**

## What is claimed is:

- 1. 1-20. (Canceled)
- 21. (Currently Amended) A key identification system comprising:

an imaging system including a first camera and at least one mirror wherein said imaging system is configured to capture a first image of a master key and a second camera configured to capture a second image of said master key, wherein the at least one mirror allows said first camera at a remote position from said master key to capture images from multiple angles of said master key, wherein said first image is different than said second image;

a logic configured to map data points from said first image to corresponding data points from said second image to determine characteristics of a contour of said master key; and

wherein said logic compares said characteristics of said contour with characteristics of known key blanks to determine the likelihood of a match between said master key and a known key blank.

- 22. (Previously Presented) The key identification system of claim 21, wherein said characteristics of said contour includes outline data wherein said outline data includes a plurality of data points.
- 23. (Previously Presented) The key identification system of claim 22, wherein said plurality of data points is selectively adjustable along an interval to adjust accuracy of groove identification.
- 24. (Previously Presented) The key identification system of claim 21, wherein said imaging system further comprises a crop box for limiting the image of the master key,

wherein said crop box defines the outer periphery of at least said first and second images of said master key.

- 25. (Previously Presented) The key identification system of claim 21, wherein said logic includes a database containing data related to characteristics of grooves of known key blanks.
- 26. (Previously Presented) The key identification system of claim 21 wherein said logic is configured to generate tolerance and/or correlation values to determine the likelihood that the comparison yields a match.
- 27. (Previously Presented) The key identification system of claim 21, wherein said first image is a digital image.
- 28. (Previously Presented) The key identification system of claim 21, wherein said second image comprises a silhouette of a blade of said master key.
- 29. (Previously Presented) The key identification system of claim 21 wherein said second image comprises a silhouette of a tip of said master key.
- 30. (Previously Presented) The key identification system of claim 21, wherein said characteristics of said contour are determined based on image data from at least said two or more views of said master key.
- 31. (Previously Presented) The key identification system of claim 30, wherein said logic calculates the geometry of the contour as though a tip of said master key were squarely cut based on data from at least said two or more views of said master key.
- 32. (Previously Presented) The key identification system of claim 21 further comprising a key holder configured to hold said master key.
- 33. (Previously Presented) The key identification system of claim 32, wherein said key holder includes a clamp.
- 34. (Currently Amended) The key identification system of claim 21, wherein one of said views said first image of said master key includes a view from above the master key blade of

a groove in the bottom of a blade of said master key said blade and wherein said second image of said master key includes a groove in the top of said blade of said master key.

- 35. (Previously Presented) The key identification system of claim 21, wherein one of said views of said master key includes a view from an angle between perpendicular and parallel to the blade of said master key and not including perpendicular or parallel to the blade of said master key.
- 36. (Previously Presented) The key identification system of claim 21, wherein the logic is configured to shift data related to said characteristics of said contour to compare with said known key blank characteristics.
- 37. (Previously Presented) The key identification system of claim 21, wherein said logic includes a tolerance range for each characteristic of said master key to determine whether a master key passes or fails to match a known key blank.
- 38. (Previously Presented) The key identification system of claim 21, wherein said logic is configured to generate a series of comparisons to compensate for registration errors.
- 39. (Currently Amended) The key identification system of claim 21, wherein said logic includes at least one roll constant used to adjust the plurality of data points to increase accuracy of a match with a known key blank.

## **REMARKS**

Claims 21-39 are currently pending. The Non-Office Action identifies the following:

- Claims 21-39 have been subject to a claim interpretation under 35 USC 112(f)
- Claim 21 has been rejected under 35 USC 101 as being directed to an abstract idea.
- Claims 21, 22, 25-34, and 37 stand rejected under 35 U.S.C. 103 as being unpatentable over Campbell et al. (U.S. Pub. No. 2002/0031251).
- Claim 23 is rejected under 35 U.S.C. 103 as being unpatentable over Campbell in view of Barkman (U.S. Pat. No. 5,255,199).
- Claim 24 is rejected under 35 U.S.C. 103 as being unpatentable over Campbell in view of Hillman (U.S. Pub. No. 2004/0013291).
- Claim 35 is rejected under 35 U.S.C. 103 as being unpatentable over Campbell in view of Wills (U.S. Pat. No. 6,064,747).
- Claim 36 is rejected under 35 U.S.C. 103 as being unpatentable over Campbell in view of Gimelfarb (U.S. Pub. No. 2006/-147289).

The following amendments and remarks address each of these issues and place the present application in condition for allowance.

# I. §112(f)

Claims 21-39 were identified as to be interpreted under 35 U.S.C. § 112(f) or (pre-AIA, sixth paragraph). Applicant respectfully submits that 112(f) or 112, sixth paragraph does not apply to the claim terms key identification system comprising" and "a logic configured to map data points" because they are not generic place holders and do describe sufficient structure.

The Federal Circuit has repeatedly held that if the claim term "actually uses the word 'means," it is presumed to be a means plus claim, if the claim term "does not use 'means," it is presumed not to be. *Lighting World, Inc. v. Birchwood Lighting, Inc.*, 382 F.3d 1354, 1358 (Fed. Cir. 2004) (quoting *CCS Fitness, Inc. v. Brunswick Corp*, 288 F.3d 1359, 1369 (Fed. Cir. 2002)). "[T]he presumption flowing from the absence of the term 'means' *is a strong one that is not readily overcome*." *Inventio AG v. ThyssenKrupp Elevator Americas Corp.*,

649 F.3d 1350, 1356 (Fed. Cir. 2011) (emphasis added); but see Williamson v. Citrix Online, LLC., No. 2013-1130 (Fed. Cir. June 16, 2015) (en banc) (presumption is a preponderance of the evidence).

If a claim does not recite "means," like the current claims, they may still be meansplus-function if "the claim term fails to recite sufficiently definite structure or else recites function without reciting sufficient structure for performing that function." *Flo Healthcare Solutions, LLC v. Kappos*, 697 F.3d 1367, 1373 (Fed. Cir. 2012). Lack of structure may only be shown when "the limitation essentially is devoid of anything that can be construed as structure," by someone of skill in the art. *Id.* at 1374.

Further, if a term does invoke § 112, the Office Action must identify it for the scope of the term. (See Supplementary Examination Guidelines for Determining Compliance with 35 U.S.C. § 112 and for Treatment of Related Issues in Patent Applications, 76 FR 7162 (Feb. 9, 2011), at slide 36, see also Office Action at page 2.

Here, the claim terms "key identification system comprising" and "a logic configured to map data points" clearly do not use the word "means." Moreover, neither the MPEP nor the Office Action identify any instance in which the terms "key identification system comprising" and "a logic configured to map data points" have ever been interpreted as "generic placeholders." Thus, the Office Action has set forth no basis for overcoming the presumption. Moreover, neither the MPEP or the Office Action identify any instance in which the terms "key identification system comprising" and "a logic configured to map data points" have ever been interpreted as "generic placeholders."

For at least these reasons, Applicant respectfully submits that the claim terms key identification system comprising" and "a logic configured to map data points" do not invoke § 112, (f) or ¶ 6. And Applicant requests indication of such.

# II. §101 Rejections

Claims 21-39 stand rejected under 35 U.S.C. § 101 as allegedly directed to non-statutory subject matter. More particularly, the Office Action alleges that the claims are directed towards the an abstract idea that covers performance of the limitation in the mind and falls under the "Mental Processes" grouping of abstract ideas. Applicant has amended independent claim 21 above to clarify the recited features and submits that these claims, and claims dependent therefrom, are patent eligible under § 101 at least because they are eligible for streamlined analysis.

Eligibility analysis under *Alice* uses a two prong test, determining: (1) whether the claim is directed to patent-ineligible concepts – (e.g., an abstract idea, law of nature, natural phenomenon, or law of mathematics.); and, if so, (2) whether the claims recite something more – "determine whether there is an 'inventive concept' that" amounts to something more. *Cal. Institute of Tech. v. Hughes Commcn's Inc.*, 2104 U.S. Dist. Lexis 156763, \*9 (C.D. Cal. Nov. 3, 2014) *citing Alice*, 134 S. Ct. at 2355). Recitation of "generic computer, network, and Internet components," may not amount to something more, unless "ordered combination of [the] limitations provided the requisite inventive concept." *Amdocs (Israel) Ltd. v. Openet Telecom, Inc.* 841 F.3d 1288, 120 U.S.P.Q.2d 1288 (Fed. Cir. 2016) *citing BASCOM Glob. Internet Servs., Inc. v. AT&T Mobility LLC*, 827 F.3d 1341, 1349 (Fed. Cir. 2016).

The 2014 interim Guidance on Patent Subject Matter Eligibility identifies eligibility for streamlined analysis when a claim is clearly not an attempt to tie up a patent-ineligible concept. ("Interim Guidance," available at <a href="https://www.gpo.gov/fdsys/pkg/FR-2014-12-16/pdf/2014-29414.pdf">https://www.gpo.gov/fdsys/pkg/FR-2014-12-16/pdf/2014-29414.pdf</a> (last visited 1/17/18)). The Inter Guidance describes that "a claim directed to a complex manufactured industrial product or process that recites meaningful limitations along with a judicial exception may sufficiently limit its practical application so that a full eligibility analysis is not needed." (Id. at I.B.3). See also McRO v. Bandai, case no.

2015-1080 (September 13, 2016) (the "concern underlying the exceptions to § 101 is not tangibility, but preemption"); *Alice* 134 S. Ct. 2347 (the "preliminary question in applying the exceptions to [§ 101] is whether the claim raises § 101 abstractness concerns at all. Does the claim pose any risk of preempting an abstract idea? In most cases, the answer plainly will be no.").

The amended claims recite a key identification system comprising (i) an imaging system including a first camera and (iii) at least one mirror. Items (i)-(iii) include subject matter that is clearly directed towards a complex industrial system and is not an attempt to tie up use of any Mental Processes or abstract ideas thereof. *Compare with* Claims 1-30 to *Thales Visionix Inc. v. U.S*, 850 F.3d 1343, 121 U.S.P.Q.2d 1898 (Fed Cir. 2017) (holding that claims reciting an arrangement of sensors to track an object's motion were patentable even when they included abstract ideas).

Furthermore, "[a] key identification system comprising: an imaging system including a first camera and at least one mirror wherein said imaging system is configured to capture a first image of a master key and a second image of said master key, wherein the at least one mirror allows said first camera at a remote position to capture multiple angles of said master key, and said first image different than said second image," as recited in claim 21 is clearly not a system that could be preformed merely by a human mental process.

It is further noted that even if streamlined examination under § 101 is not granted, the subject claims recite additional limitations that qualify as "significantly more" in accordance with *Alice*. As such, it is respectfully submitted that the 101 rejection has been sufficiently addressed and should be withdrawn.

# III. §103 Rejections

Claim 21 stand rejected as allegedly obvious over Campbell. Claim 21 has been amended to recite additional features that are not present in Campbell. Claim 21 now

additionally recites: "an imaging system including a first camera <u>and at least one mirror</u> wherein said imaging system is configured to capture a first image of a master key and a second image of said master key, wherein the at least one mirror allows said first camera at a remote position to capture multiple angles of said master key, and said first image different than said second image."

It is submitted that Campbell and the remaining cited references, alone or in combination, do not disclose, teach, or suggest the claimed subject matter of independent claim 21. Applicant respectively submits that Campbell is directed to a key identification system that includes a housing with a first receiver 28 and a second receiver 50 for capturing an image of a single side and a from a single angle of a master key. In the embodiment of Figures 4 and 5 of Campbell, either the first receiver or the second receiver are configured to take only one image of the master key. Campbell and the remaining prior art of record, are silent regarding an imaging system including a first camera and at least one mirror... hwerein the at least one mirror allows said first camera... to capture multiple angles of said master key.

Thus, for at least this reason, Campbell alone or in combination fails to amount to a *prima facie* case of obviousness as the combined teachings of the cited art fail to teach each and every feature as recited by the independent claim 21. For at least this reason, all remaining claims 21-39 are patentable over the cited references and are in condition for allowance.

# **CONCLUSION**

In light of the foregoing, Applicants submits that the application is now in condition for acceptance. If the Examiner has any questions pertaining to the above, then the undersigned attorney would welcome a phone call to provide any further clarification or a formal interview.

Respectfully submitted,

Date: June 30, 2020 /Mark J. Masterson/

Mark J. Masterson – Reg. No. 71,886 McDonald Hopkins LLC 600 Superior Avenue, E., Suite 2100 Cleveland, OH 44114-2653 (216) 348-5427

Electronic Patent Application Fee Transmittal							
Application Number:	159	15920955					
Filing Date:	14-	14-Mar-2018					
Title of Invention:	KEY BLANK IDENTIFICATION SYSTEM WITH GROOVE SCANNING						
First Named Inventor/Applicant Name:	Chester O.D. Thompson						
Filer:	Mark Jason Masterson/Danielle Mueller						
Attorney Docket Number:	16223-00341						
Filed as Small Entity							
Filing Fees for Utility under 35 USC 111(a)							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:							
Claims:							
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:	Post-Allowance-and-Post-Issuance:						
Extension-of-Time:							

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension - 3 months with \$0 paid	2253	1	700	700
Miscellaneous:				
	Tot	al in USD	(\$)	700

Electronic Acl	knowledgement Receipt
EFS ID:	39866494
Application Number:	15920955
International Application Number:	
Confirmation Number:	2833
Title of Invention:	KEY BLANK IDENTIFICATION SYSTEM WITH GROOVE SCANNING
First Named Inventor/Applicant Name:	Chester O.D. Thompson
Customer Number:	33772
Filer:	Mark Jason Masterson/Danielle Mueller
Filer Authorized By:	Mark Jason Masterson
Attorney Docket Number:	16223-00341
Receipt Date:	30-JUN-2020
Filing Date:	14-MAR-2018
Time Stamp:	11:14:05
Application Type:	Utility under 35 USC 111(a)

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	Multipart Description/PDF files in .zip description												
	Document Des	Start	E	nd									
	Amendment/Req. Reconsiderati	1		1									
	Claims	2	4										
	Applicant Arguments/Remarks	Made in an Amendment	5	10									
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If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

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PTO/SB/06 (09-11)

Approved for use through 1/31/2014. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875				n or Docket Number 5/920,955	Filing Date 03/14/2018	To be Mailed			
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	APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
	15/920,955	03/14/2018	Chester O.D. Thompson	16223-00341	2833
		7590 12/30/201 HOPKINS LLC	9	EXAM	INER
600 Superior Avenue, East				HAUSMANN,	MICHELLE M
	Suite 2100 CLEVELAND,	ОН 44114-2653		ART UNIT	PAPER NUMBER
				2661	
				NOTIFICATION DATE	DELIVERY MODE
				12/30/2019	ELECTRONIC

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The time period for reply, if any, is set in the attached communication.

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ipmailbox@mcdonaldhopkins.com

	<b>Application No.</b> 15/920,955	Applicant(s				
Office Action Summary	Examiner MICHELLE M ENTEZARI HAUSMANN	Art Unit 2661	AIA (FITF) Status No			
The MAILING DATE of this communication app	nears on the cover sheet with the	e corresponder	nce address			
Period for Reply						
A SHORTENED STATUTORY PERIOD FOR REPL DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.1 date of this communication.  - If NO period for reply is specified above, the maximum statutory period - Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailing adjustment. See 37 CFR 1.704(b).	36(a). In no event, however, may a reply be will apply and will expire SIX (6) MONTHS fig., cause the application to become ABANDC	timely filed after SIX om the mailing date NED (35 U.S.C. § 1:	(6) MONTHS from the mailing of this communication.			
Status						
1) Responsive to communication(s) filed on 3/1						
☐ A declaration(s)/affidavit(s) under <b>37 CFR</b>		·				
, —	☑ This action is non-final.					
3) An election was made by the applicant in resonant on; the restriction requirement and ele	ection have been incorporated	into this actio	n.			
<ol> <li>Since this application is in condition for allow closed in accordance with the practice under</li> </ol>						
Disposition of Claims*						
5) 🗹 Claim(s) 21-39 is/are pending in the ap	pplication.					
5a) Of the above claim(s) is/are withdo	rawn from consideration.					
6) Claim(s) is/are allowed.						
7) Claim(s) 21-39 is/are rejected.						
8) Claim(s) is/are objected to.						
9) Claim(s) are subject to restriction a	nd/or election requirement					
* If any claims have been determined <u>allowable</u> , you may be el			hway program at a			
participating intellectual property office for the corresponding a						
http://www.uspto.gov/patents/init_events/pph/index.jsp or send	i an inquiry to <u>PPHreedback@usp</u>	ito.gov.				
Application Papers						
10)☐ The specification is objected to by the Exam		– .				
11) The drawing(s) filed on is/are: a) a	-	-				
Applicant may not request that any objection to the c Replacement drawing sheet(s) including the correction	•	•	<i>'</i>			
	on is required if the drawing(s) is of	),colca to. occ o	7 OF 11 1.121(a).			
Priority under 35 U.S.C. § 119  12) Acknowledgment is made of a claim for foreign continuous.	gn priority under 35 U.S.C. §	119(a)-(d) or	(f).			
Certified copies: a) ☐ All b) ☐ Some** c) ☐ None of	tha					
1. Certified copies of the priority docu						
2. ☐ Certified copies of the priority documents of the priority docum		Application No	<u> </u>			
3. Copies of the certified copies of the	priority documents have bee		· · · · · · · · · · · · · · · · · · ·			
application from the International Bureau (PCT Rule 17.2(a)).  ** See the attached detailed Office action for a list of the certified copies not received.						
Attachment(s)	a) (T) (	(DTO 410)				
1) Notice of References Cited (PTO-892)	Interview Summ  Paner No(s)/Ma					
2) V Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/SB/08b)  Paper No(s)/Mail Date Paper No(s)/Mail Date  4) Other:						

Paper No(s)/Mail Date
U.S. Patent and Trademark Office
PTOL-326 (Rev. 11-13)

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## **DETAILED ACTION**

## Notice of Pre-AIA or AIA Status

1. The present application is being examined under the pre-AIA first to invent provisions.

# Claim Interpretation

2. The following is a quotation of 35 U.S.C. 112(f):

(f) Element in Claim for a Combination. — An element in a claim for a combination may be expressed as a means or step for performing a specified function without the recital of structure, material, or acts in support thereof, and such claim shall be construed to cover the corresponding structure, material, or acts described in the specification and equivalents thereof.

The following is a quotation of pre-AIA 35 U.S.C. 112, sixth paragraph:

An element in a claim for a combination may be expressed as a means or step for performing a specified function without the recital of structure, material, or acts in support thereof, and such claim shall be construed to cover the corresponding structure, material, or acts described in the specification and equivalents thereof.

This application includes one or more claim limitations that do not use the word "means," but are nonetheless being interpreted under 35 U.S.C. 112(f) or pre-AIA 35 U.S.C. 112, sixth paragraph, because the claim limitation(s) uses a generic placeholder that is coupled with functional language without reciting sufficient structure to perform the recited function and the generic placeholder is not preceded by a structural modifier. Such claim limitation(s) is/are: "key identification system comprising" and "a logic configured to map data points" in claim 21 (and by dependency, claims 22-39).

Because this/these claim limitation(s) is/are being interpreted under 35 U.S.C. 112(f) or pre-AIA 35 U.S.C. 112, sixth paragraph, it/they is/are being interpreted to cover the corresponding structure described in the specification as performing the claimed function, and equivalents thereof.

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If applicant does not intend to have this/these limitation(s) interpreted under 35 U.S.C. 112(f) or pre-AIA 35 U.S.C. 112, sixth paragraph, applicant may: (1) amend the claim limitation(s) to avoid it/them being interpreted under 35 U.S.C. 112(f) or pre-AIA 35 U.S.C. 112, sixth paragraph (e.g., by reciting sufficient structure to perform the claimed function); or (2) present a sufficient showing that the claim limitation(s) recite(s) sufficient structure to perform the claimed function so as to avoid it/them being interpreted under 35 U.S.C. 112(f) or pre-AIA 35 U.S.C. 112, sixth paragraph.

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**NOTE ON 101:** If the applicant amends to no longer be interpreted under 35 USC 112 f/6<sup>th</sup>, the logic of claim 21 likely becomes **pure software**, so any amendments should take into consideration the potential 35 USC 101 rejections for lacking a non-transitory CRM in future claim language as well.

# Claim Rejections - 35 USC § 101

4. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

5. Claim 21 (and by dependency claims 22-39) are rejected under 35 U.S.C. 101 because the claimed invention is directed to an abstract idea without significantly more. The claim recites: a key identification system comprising... a logic configured to map data points from said first image to corresponding data, points from said second image to determine characteristics of a contour of said master key; and wherein said logic compares said characteristics of said contour with characteristics of known key blanks to determine the likelihood of a match between said master key and a known key blank. If a claim limitation, under its broadest reasonable interpretation, covers performance of the limitation in the mind but for the recitation of generic computer components, then it falls within the "Mental"

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Processes" grouping of abstract ideas. Accordingly, these limitations fall under the mental processes category and are stated at a high level of generality, and recite an abstract idea. This judicial exception is not integrated into a practical application.

Examiner recommends indicating what the key identification system does with the information regarding the likelihood of a match for instance, in order to integrate the concept into a practical application.

#### Note

6. **Claim 21** is NOT rejected on the ground of nonstatutory double patenting over claim 24 or 26 of U.S. Patent No. 9514385. However, a note is made herein that while at the present the different views/mirror of U.S. Patent No. 9514385 and the images/mapping points of the instant application are still distinct, if prosecution brings the claims into closer correspondence, a terminal disclaimer could be required in the future.

# Claim Rejections - 35 USC § 103

- 7. In the event the determination of the status of the application as subject to AIA 35 U.S.C. 102 and 103 (or as subject to pre-AIA 35 U.S.C. 102 and 103) is incorrect, any correction of the statutory basis for the rejection will not be considered a new ground of rejection if the prior art relied upon, and the rationale supporting the rejection, would be the same under either status.
- 8. The following is a quotation of 35 U.S.C. 103 which forms the basis for all obviousness rejections set forth in this Office action:

A patent for a claimed invention may not be obtained, notwithstanding that the claimed invention is not identically disclosed as set forth in section 102, if the differences between the claimed invention and the prior art are such that the claimed invention as a whole would have been obvious before the effective filing date of the claimed invention to a person having ordinary skill in the art to which the claimed invention pertains. Patentability shall not be negated by the manner in which the invention was made.

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9. **Claims 21, 22, 25-34, and 37** is/are rejected under 35 U.S.C. 103 as being unpatentable over Campbell et al. (IDS: US 20020191849 A1).

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**Regarding claim 21,** Campbell et al. disclose a key identification system comprising: an imaging system including a first camera configured to capture a first image of a master key and a second camera, configured to capture a second image of said master key, said first image different than said second image ("Preferably, second receiver 50 is positioned such that the viewing range 54 of the second receiver 50 overlaps viewing range 42 of receiver 28. Thus, receiver 28 will capture an image of a first part of master key 32 and second receiver 50 will capture and image of a second part of the master key", [0053]); a logic configured to map data, points from said first image to corresponding data, points from said second image to determine characteristics of a contour of said master key (images captured by receiver 28 and second receiver 50 can be combined to create a complete image of the master key, [0053], first luminous surface 24 includes reference marks 55 to aid in combining the images of the key captured by receiver 28 and second receiver 50, reference marks 55 are used when the two separate images are combined to form a single image of the master key and ensure that the image of the key is properly combined, [0054], edge map of the master key, edge map is an array of points that define the relative locations of different points along the edges of the master key, [0077]); and wherein said logic compares said characteristics of said contour with characteristics of known key blanks to determine the likelihood of a match between said master key and a known key blank (comparing information about the head, shoulder, and blade of the master key to similar information about known key blanks, the key blank corresponding to the master key may be identified, [0038], searches the known key blanks in the database to filter out key blanks that have different numbers of cut edges and have blade lengths that are

specific threshold are considered to be matched shapes, [0100]).

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outside a certain tolerance of the blade length of the master key, subset of potential matches, [0084], "If the shape comparison based on the shape description string fails to produce a score indicative of a match, the control may perform a comparison based on the contour description string for the master key and potential key blank matches. It is contemplated that the contour description matching described below may be performed prior to or instead of the shape description string matching. The contour description matching may be a "pass or fail" type matching process. If the contour description matching process yields a "passing" score, the corresponding key blank is added to the list of possible matches", [0089], contour matching score, aid in identifying the appropriate key blank, [0093]-[0095], [0097], score values over a

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Campbell et al. do not use the exact language "likelihood of a match". It would have been obvious at the time of the invention to one of ordinary skill in the art that the score described by Campbell et al. can encompass a likelihood, as a score is one way to convey a likelihood.

**Regarding claim 22,** Campbell et al. disclose the key identification system of claim 21. Campbell et al. further disclose characteristics of said contour includes outline data wherein said outline data includes a plurality of data points ("The control then analyzes the silhouette image of the key to create an edge map of the master key (step 110). The edge map is an array of points that define the relative locations of different points along the edges of the master key ", [0077]).

**Regarding claim 25,** Campbell et al. disclose the key identification system of claim 21. Campbell et al. further disclose said logic includes a database containing data related to

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characteristics of grooves of known key blanks (searches the known key blanks in the database to filter out key blanks that have different numbers of cut edges, [0084], control compares each mill shape descriptor of the master key to the mill shape descriptor of the known key blanks and scores the comparison, [0100], whether the key blank has unique milling patterns on both sides, the indicator in the database is marked accordingly, [0107]).

Regarding claim 26, Campbell et al. disclose the key identification system of claim 21. Campbell et al. further disclose said logic is configured to generate tolerance and or correlation values to determine the likelihood that the comparison yields a match (comparing information about the head, shoulder, and blade of the master key to similar information about known key blanks, the key blank corresponding to the master key may be identified, [0038], tolerance of the blade length of the master key, comparison, [0084], [0087], the similarity of each comparison is assigned a score, [0088], score indicative of a match, the control may perform a comparison based on the contour description string for the master key and potential key blank matches, [0089], contour match sum is compared to an ideal match score, [0094], employ a ranking system to identify the key blanks that are most similar to one another, [0096], matching type section having a size that is between the first tolerance level and a second tolerance level is given a partial score, [0104]).

**Regarding claim 27,** Campbell et al. disclose the key identification system of claim 21. Campbell et al. further disclose said first image is a digital image (receiver is a high resolution digital camera, [0047], control analyzes the pixels of this image of the master key to identify the milling exit shape, [0098]).

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map of the key, [0080]).

**Regarding claim 28,** Campbell et al. disclose the key identification system of claim 21.

Campbell et al. further disclose said second image comprises a silhouette of a blade of said master key (profile of the master key reveals the shape and size of the head and shoulder of

the master key, as well as the length of the key blade, [0044]).

**Regarding claim 29,** Campbell et al. disclose the key identification system of claim 21. Campbell et al. further disclose said second image comprises a silhouette of a tip of said master key (outline the profile of the master key, [0044], control then analyzes the silhouette image of the key to create an edge map of the master key, [0077], extreme points represent the tip 62 and the head 64 of the key, [0078], establishes the tip end of the spine by searching the edge

Regarding claim 30, Campbell et al. disclose the key identification system of claim 21. Campbell et al. further disclose said characteristics of said contour are determined based on image data from at least said two or more views of said master key (images captured by receiver 28 and second receiver 50 can be combined to create a complete image of the master key, [0053], first luminous surface 24 includes reference marks 55 to aid in combining the images of the key captured by receiver 28 and second receiver 50, reference marks 55 are used when the two separate images are combined to form a single image of the master key and ensure that the image of the key is properly combined, [0054], edge map of the master key, edge map is an array of points that define the relative locations of different points along the edges of the master key, [0077]).

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**Regarding claim 31,** Campbell et al. disclose the key identification system of claim 30.

Campbell et al. further disclose said logic calculates the geometry of the contour as though a tip

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of said master key were squarely cut based on data from at least said two or more views of said

master key (size and shape of key head 64 and shoulder area 70 are features of the master key

32 that are useful in identifying the corresponding key blank, [0035], head shape, [0065], width

descriptions are combined into a single shape description string, [0085], contour information is

derived from the shape of the outer perimeter of head, [0086] shape description string

matching, [0089], [0098], [0104]).

**Regarding claim 32,** Campbell et al. disclose the key identification system of claim 21.

Campbell et al. further disclose a key holder configured to hold said master key (master key 32

may be placed directly on first luminous surface 24 or a transparent support, [0044]).

**Regarding claim 33,** Campbell et al. disclose the key identification system of claim 32.

Campbell et al. further disclose in the prior art said key holder includes a clamp (master key

may be positioned in a clamp and a cutting mechanism, [0004]). It would have been obvious at

the time of filing to one of ordinary skill in the art to use a clamp as described in the prior art

embodiments, as this is one of a limited number of ways to secure a key.

Regarding claim 34, Campbell et al. disclose the key identification system of claim 21.

Campbell et al. further disclose one of said views of said master key includes a view from above

the master key blade of a groove in the bottom of said blade (see receiver 28 in Fig. 1, Fig. 2).

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Regarding claim 37, Campbell et al. disclose the key identification system of claim 21.

Campbell et al. further disclose said logic includes a tolerance range for each characteristic of said master key to determine whether a master key passes or fails to match a known key blank ([0087], [0104]).

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10. **Claim 23** is/are rejected under 35 U.S.C. 103 as being unpatentable over Campbell et al. (IDS: US 20020191849 A1) as applied to claim 22 above, further in view of Barkman et al. (IDS: US 5255199 A).

**Regarding claim 23,** Campbell et al. disclose the key identification system of claim 22. Campbell et al. do not explicitly disclose said plurality of data points is selectively adjustable along an interval to adjust accuracy of groove identification.

Barkman et al. teach a plurality of data points is selectively adjustable along an interval to adjust accuracy of groove identification (removing inaccurate edge point data from the collected data points relating to the cutting tool edge, remove these inaccurate tangent edge points to increase the accuracy of measurement calculations subsequently performed, col. 6, lines 30-50).

Campbell et al. and Barkman et al. are in the same art of edge inspection (Campbell et al., [0051]; Barkman et al., abstract). The combination of Barkman et al. with Campbell et al. will enable the change in the number of data points used. It would have been obvious at the time of the invention to one of ordinary skill in the art to combine the point number adjustment of Barkman et al. with the invention of Campbell et al. as this was known at the time of filing, the

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combination would have predictable results, and as Barkman et al. indicate this will increase accuracy (col. 6, lines 30-50), and better identifying an edge will be an advantage when determining a number of bittings to determine a correct blank in the invention of Campbell et al.

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11. **Claim 24** is/are rejected under 35 U.S.C. 103 as being unpatentable over Campbell et al. (IDS: US 20020191849 A1) as applied to claim 21 above, further in view of Hillman et al. (US 20040013291 A1).

**Regarding claim 24,** Campbell et al. disclose the key identification system of claim 21. Campbell et al. do not explicitly disclose said imaging system further comprises a crop box for limiting the image of the master key, wherein said crop box defines the outer periphery of at least said first and second images of said master key.

Hilman et al. teach a crop box for limiting the image of the object of interest, wherein said crop box defines the outer periphery of at least said first and second images of said object of interest (extracting the edges of the scan, pinpointing reference points on it, positioning, standardizing, and aligning it; autocropping, extracting a plurality of features and/or regions within the scan; correlating said regions or features with database images and parameters, abstract, pinpointing of reference points is done by use of a matching template images, [0030], matching template images of the brain to database images of brains, include specific 2-D slices, [0045], identifying and aligning the image brain scan using template matching then by use of further template matching, a point on the object is chosen as a reference point, [0064], "Parameters necessary for cropping the relevant digital feature images are optimized; the digital feature images are

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cropped based on the optimized cropping parameters for selecting profile and relevant feature

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for further analysis", [0065]).

Campbell et al. and Hillman et al. are in the same art of matching image features to a template

(Campbell et al., [0097]; Hillman et al., [0045]). The combination of Hillman et al. with

Campbell et al. will enable the use of a crop box. It would have been obvious at the time of the

invention to one of ordinary skill in the art to combine the crop box of Hillman et al. with the

invention of Campbell et al. as this was known at the time of filing, the combination would have

predictable results, and as this will minimize analysis of extraneous information, which will

improve efficiency in making comparisons to key blanks in a database as described in the

invention described by Campbell et al.

12. Claim 35 is/are rejected under 35 U.S.C. 103 as being unpatentable over Campbell et

al. (IDS: US 20020191849 A1) as applied to claim 21 above, further in view of Wills et al. (IDS:

US 6064747 A).

**Regarding claim 35,** Campbell et al. disclose the key identification system of claim 21.

Campbell et al. do not explicitly disclose one of said views of said master key includes a view

from an angle between perpendicular and parallel to the blade of said master key and not

including perpendicular or parallel to the blade of said master key.

Wills et al. teach one of said views of said master key includes a view from an angle between

perpendicular and parallel to the blade of said master key and not including perpendicular or

parallel to the blade of said master key (angle of the surface of the key relative to the light

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beam LB and camera 28 at the point of intersection also affects the intensity incident upon the

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camera, col. 13, lines 20-50, Fig. 6).

Campbell et al. and Wills et al. are in the same art of key inspection (Campbell et al., abstract;

Wills et al., abstract). The combination of Wills et al. with Campbell et al. will enable the use of

a particular view angle. It would have been obvious at the time of the invention to one of

ordinary skill in the art to combine the angle of Wills et al. with the invention of Campbell et al.

as this was known at the time of filing, the combination would have predictable results, and as

it has been held that where the general conditions of a claim are disclosed in the prior art,

discovering the optimum or workable ranges (such as the best viewing angle) involves only

routine skill in the art. In re Aller, 105 USPQ 233.

13. Claim 36 is/are rejected under 35 U.S.C. 103 as being unpatentable over Campbell et

al. (IDS: US 20020191849 A1) as applied to claim 21 above, further in view of Gimelfarb et al.

(US 20060147289 A1).

**Regarding claim 36,** Campbell et al. disclose the key identification system of claim 21.

Campbell et al. do not explicitly disclose the logic is configured to shift data related to said

characteristics of said contour to compare with said known key blank characteristics.

Gimelfarb et al. teach the logic is configured to shift data related to said characteristics of said

contour to compare with said known key blank characteristics (bittings aligned to master key,

slidable along axis, abstract, [0014], [0030], [0035]).

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Cambell et al. and Gimelfarb are in the same art of copying keys (Cambell et al., abstract; Gimelfarb, abstract). The addition of Gimelfarb would a slidable alignment method. It would have been obvious at the time of the invention to one of ordinary skill in the art to combine the sliding alignment of Gimelfarb with the invention of Campbell et al., as this was known at the time of the invention, would have predictable results, and as this allows for more easy key positioning and comparison.

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14. **Claim 38** is/are rejected under 35 U.S.C. 103 as being unpatentable over Campbell et al. (IDS: US 20020191849 A1) as applied to claim 21 above, further in view of Gallarda et al. (US 6539106 B1).

**Regarding claim 38,** Campbell et al. disclose the key identification system of claim 21. Campbell et al. do not explicitly disclose said logic is configured to generate a series of comparisons to compensate for registration errors.

Gallarda et al. teach logic is configured to generate a series of comparisons to compensate for registration errors ("The features are stored or represented as data structures, e.g. linked lists, that do not necessarily list the features in the same order in the list for the test image as in the list for the reference image. (Feature extraction order may vary depending on a variety of factors, including the relative stage position error.) Features of the test and reference images thus cannot be matched by overlaying the data structure. Matching is therefore based on the physical location (e.g. x, y coordinate location) of the feature, corrected as needed for image alignment offset (e.g., using the result of an image alignment algorithm). Matching takes into account features which are missing in an image, whether because they are outside the field of

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view (e.g., due to stage errors) or due to wafer processing errors (i.e., defects)", col. 16, lines

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50-68).

Campbell et al. and Gallarda et al. are in the same art of matching image features to a template (Campbell et al., [0097]; Gallarda et al., col. 3, lines 20-55). The combination of Gallarda et al. with Campbell et al. will enable the compensation of registration errors. It would have been obvious at the time of the invention to one of ordinary skill in the art to combine the correction

of Gallarda et al. with the invention of Campbell et al. as this was known at the time of filing,

the combination would have predictable results, and as Gallarda et al. indicate "Alignment of

reference and test images or image regions does not require pixel accuracy. Image alignment

need only be close enough so that corresponding features between images or image regions

will be correctly matched" (col. 5, line 60 – col. 6, line 5), which is beneficial when matching

between a master key and key blank templates when the image of Campbell et al. is likely to

not be completely perfect and some leeway will be required to perform a registration.

15. **Claim 39** is/are rejected under 35 U.S.C. 103 as being unpatentable over Campbell et

al. (IDS: US 20020191849 A1) as applied to claim 21 above, further in view of Satoh et al. (US

20090022369 A1).

Regarding claim 39, Campbell et al. disclose the key identification system of claim 21.

Campbell et al. do not explicitly disclose said logic includes at least one roll constant used to

adjust the plurality of data, points to increase accuracy of a match with a known key blank.

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Satoh et al. teach logic includes at least one roll constant used to adjust the plurality of data, points to increase accuracy of a match with a template ("the position/orientation calculation unit 1720 calculates a correction matrix  $\Delta R_{WC_1}$  used to apply correction to three parameters (pan, tilt, and roll angles) of the orientation measurement value of the image capture device 130, so as to cancel errors on the detected indices", [0241], [0244]; template matching, [0063], [0288]). [Campbell et al. teach matching known key blanks in a database]

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Campbell et al. and Satoh et al. are in the same art of imaging a target object (Campbell et al., abstract; Satoh et al., [0023]). The combination of Satoh et al. with Campbell et al. will enable the compensation of roll. It would have been obvious at the time of the invention to one of ordinary skill in the art to combine the roll correction of Satoh et al. with the invention of Campbell et al. as this was known at the time of filing, the combination would have predictable results, and as Satoh et al. indicate "The present invention has been made in consideration of the problems of such prior arts, and has as its object to provide a position/orientation measurement method and apparatus, which can measure the position and orientation of a measurement target object while simultaneously achieving high stability and high precision" ([0023]), which will be beneficial as there will inevitably be some imaging error in the system of Campbell et al. that would benefit from correction.

## Conclusion

16. Any inquiry concerning this communication or earlier communications from the examiner should be directed to MICHELLE M ENTEZARI HAUSMANN whose telephone number is (571)270-5084. The examiner can normally be reached on 10-7 M-F.

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Examiner interviews are available via telephone, in-person, and video conferencing using a USPTO supplied web-based collaboration tool. To schedule an interview, applicant is encouraged to use the USPTO Automated Interview Request (AIR) at http://www.uspto.gov/interviewpractice.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, VINCENT M RUDOLPH can be reached on (571)272-8243. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see https://ppair-my.uspto.gov/pair/PrivatePair. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/MICHELLE M ENTEZARI/ Primary Examiner, Art Unit 2661

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		Notice of Reference	s Chea		Examiner MICHELLE HAUSMANI	M ENTEZARI N	Art Unit 2661	Page 1 of 1
				U.S. P	ATENT DOCUM	MENTS	•	
*	Country Code-Number-Kind Code MM-YYYY				Name	е	CPC Classification	US Classification
*	Α	US-20040013291-A1	01-2004	Hillman	, Yitzchak		G06F19/321	382/128
*	В	US-6539106-B1	03-2003	Gallarda	a; Harry S.		G01R31/307	382/149
*	С	US-20090022369-A1	01-2009	Satoh; I	Kiyohide		G01B21/04	382/106
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

Notice of References Cited

Part of Paper No. 20191218

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	15/920,955	Thompson et al.
	Examiner	Art Unit
	MICHELLE M ENTEZARI HAUSMANN	2661

1	Rejected	-	Cancelled	N	Non-Elected	Α	Appeal
=	Allowed	4	Restricted	ı	Interference	0	Objected

	CLAIMS									
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Final	Original	12/21/2019								
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Page 1 of 1

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	15/920,955	Thompson et al.
	Examiner  MICHELLE M ENTEZARI HAUSMANN	Art Unit 2661

CPC - Searched*					
Symbol	Date	Examiner			
B23C2235/12, 41	12/17&12/19/2019	Entezari			
B23C3/35	12/17&12/19/2019	Entezari			
G06K9/00208, 2036, 209, 48, 6202	12/17&12/19/2019	Entezari			
G06T2207/10141	12/17&12/19/2019	Entezari			
G06T7/62	12/17&12/19/2019	Entezari			
H04N5/247	12/17&12/19/2019	Entezari			
Y10T409/300952, 301008, 301064	12/17&12/19/2019	Entezari			

CPC Combination Sets - Searched*				
Symbol	Date	Examiner		

US Classification - Searched*						
Class	Subclass	Date	Examiner			

 $<sup>^{\</sup>star}$  See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

Search Notes					
Search Notes	Date	Examiner			
see EAST search, includes INV, IDS	12/17-12/21/2019	Entezari			
see google scholar search	12/21/2019	Entezari			
see ip.com search	12/21/2019	Entezari			

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	15/920,955	Thompson et al.
	Examiner  MICHELLE M ENTEZARI HAUSMANN	<b>Art Unit</b> 2661

Interference Search						
US Class/CPC Symbol	US Subclass/CPC Group	Date	Examiner			

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#### Articles

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#### Key blank identification system with groove scanning

COD Thompson, WR Mutch - US Patent 8,644,619, 2814 - Google Patents

... right cuts are only matched to data for single cut **blanks** and only right cut data for those **blanks** ... **key** reference sides (eg in the case of a single sided **key**, the flat of the **blank**) are near ... To compensate for this **roll**, each **key** ID system may have a **roll constant** that is used to adjust ... **\$** 99 Cited by 39 Related articles. All 4 versions.

#### Key blank identification system with groove scanning

COD Thompson, WR Mutch - US Patent App. 15/920,955, 2018 - Google Patents

... In other embodiments, larger numbers of **roll** values may be used to handle greater ... logic includes a database containing data related to characteristics of grooves of known **key blanks** ... to said characteristics of said contour to compare with said known **key blank** characteristics ...

함 99 All 2 versions 30

### Key blank identification system with groove scanning

COD Thompson, WR Mutch - US Patent 9,514,385, 2016 - Google Patents

... These hard-to-notice subileties significantly increase the level of difficulty for all operators of such key replication ... systems compare scanned data from a master key to be copied with data from known key blanks in order to determine if the master key matches the key blank ...

☆ 99 Cited by 1 Related articles All 4 versions 39

### Key blank identification system with groove scanning

COD Thompson, WR Mutch - US Patent 9,934,448, 2818 - Google Patents

... Key blanks that do not have characteristics consistent with those of the master key may be then ruled out as possible matches for the key blank ... The groove contour may be compared to contours of known key blanks stored in the database to determine a matching key blank ...

☆ 99 Related articles All 4 versions >>>>

### Differential neural responses during performance of **matching** and nonmatching to **sample** tasks at two delay intervals

R Elliott, RJ Dolan - Journal of Neuroscience, 1999 - Soc Neuroscience

#### The functions of the orbitofrontal cortex

ET Rolls - Brain and cognition, 2004 - Elsevier

... objects must be remembered for short periods, eg, delayed **matching** to **sample** and delayed **matching** to non ... dorsolateral part of the prefrontal cortex, which is concerned with spatial short-term memories, **consistent** with its inputs from the parietal cortex (see, eg, **Rolls &** Deco ...

ਨੂੰ 99 Cited by 1202 Related articles Ali 15 versions

### The effects of fornix and medial prefrontal lesions on delayed non-matching-tosample by rats

C Shaw, JP Appleton - Behavioural brain research, 1993 - Elsevier

... Key words: Recognition memory; Fornix; Prefrontal cortex; Recency; Delayed non-matching-to-sample ... between the familiar and the un-familiar box and replaced by a blank start box ... This result appears consistent with the finding that while damage to the closely related medial ...

් 99 Cited by 216 Related articles. All 10 versions වව

	ao /Glion Jour Branch template ÷ 0
	[]] []] Visuals   (Algunta   Calling
2.	Roll forming device of paired-roll blank &  This utility model claims a pair of the roller of the blank roller forming apparatus it comprises a fixed mould plate of moving mould with the fixed template and moving mould plate is formed  CURRENT ASSIGNEES: JINCALLU  CN2016848230   CHINA PATENTS   29-DEC-2010  Rolling and molding device of blank roller pair  This invention claims a pair of the roller of the blank roller forming apparatus it comprises a fixed mould plate of moving moulding the fixed template and moving mould plate is formed  CUBRENT ASSIGNEES: JINCALLU  CN101898223A   CHINA APPLICATIONS   01-DEC-2010  Apparatus and method for template cutting
	CURRENT ASSIGNEES: P & FIND INC US3453933   US PATENTS   08-JUL-1969
Ą,	Taper rolling device for leaf spring
	PURPOSE:To improve the rolling efficiency by using a temptate which is preliminarily formed to a prescribed shape to draw out a bitthe outlet and the speed of a drawing-out mechanism
	CURRENT ASSIGNEES: NIHON HATSUJYOU KK JPS60Z31S19A   JAPAN APPLICATIONS   18-NOV-1985
5.	Method of making high-accuracy manifold sections
	FIELD: manufacture of high-accuracy manifold sections. SUBSTAINCE: method comprises steps of preliminarily rolling initial blanks, a sharpening rollers in single pass; tilting paired blank by 90
	CURRENT ASSIGNEES: AKTSIONERNOE OBSHCHESTVO OTKRYTOGO TIPA KAMENSK URAL SKU ZAVOD PO OBRABOTKE TSVETNYKH METALLOV BUZO90273C1   RUSSIA PATENTS   20-SEP-1997
S.	Continuously poor siab turns round rolling moid
	The invention discloses a kind of continuously poor slab turns round rolling mold, including upper die plate, lower template and th-component is included the screw mandrel arranged along matched
	CURRENT ASSIGNEES: CHONGO®NG RACTONG UNIV CN10S0573448   CHINA PATENTS   15-MAR-2017
7.	Billet gripper and feed mechanism for cold pipe mill - has spring-loaded gripper blocks, moved using lever operated n The gripper and feed mechanism consists of spring loaded gripper blocks (14), a lever operated mechanism (6) for moving the grip in contact with the gripper blocks (14) and mounted

### **EAST Search History**

### **EAST Search History (Prior Art)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
<b>S2</b>	1	(contour or boundary or boundaries or edge or groove or bitting or pattern or outline) with key with (images! or second image!) and (images! or second image!) with master adj2 key and (probability or likelihood or confidence) near2 (matching or matched or match or correspond or corresponding or corresponded or compatible or fit or fitting or commensurate) with blank	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ОИ	2019/12/17 10:40
S3	155	Thompson near2 Chester.inv. or Mutch near2 William.inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2019/12/17 11:09
S5	13	(contour or boundary or boundaries or edge or groove or bitting or pattern or outline) same key same (images! or second image!) and (images! or second image!) with (master or original) adj2 key and (probability or likelihood or confidence) near2 (matching or matched or match or correspond or corresponding or corresponded or compatible or fit or fitting or commensurate) with blank	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2019/12/17 11:10
S4	O	(contour or boundary or boundaries or edge or groove or bitting or pattern or outline) with key with (images! or second image!) and (images! or second image!) with original adj2 key and (probability or likelihood or confidence) near2 (matching or matched or match or correspond or corresponding or corresponded or compatible or fit or fitting or commensurate) with blank	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2019/12/17 11:10
S9	280	(S7 or S8) and @ad<"20090501"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	OFF	2019/12/17 11:13
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S11	13	(contour or boundary or boundaries or edge or groove or bitting or pattern or outline) same key same (images! or second image! or angles!) and (images! or second image! or angles!) with (master or original or reference) adj2 key and (probability or likelihood or confidence) near2 (matching or matched or match or correspond or corresponding or corresponded or compatible or fit or fitting or commensurate or correlation or correlate or correlated or correlating) with blank	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2019/12/17 11:22
S12	25	(S7 or S8) and ((contour or boundary or boundaries or edge or groove or bitting or pattern or outline) same key same (images! or second image! or angles! or second angle!) or (probability or likelihood or confidence) near2 (matching or matched or match or correspond or corresponding or corresponded or compatible or fit or fitting or commensurate or correlation or correlate or correlated or correlating) with blank)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2019/12/17 11:43
S13	321	(contour or boundary or boundaries or edge or groove or bitting or pattern or outline) with (images! or second image!) with (map or mapped or mapping or combine or combined or combining or fuse or fused or fusing) and (images! or second image!) with (master or original or reference) and (probability or likelihood or confidence) near2 (matching or matched or match or correspond or corresponding or corresponded or compatible or fit or fitting or commensurate or correlation or correlate or correlated or correlating)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2019/12/17 17:27
S16	31	S13 and S15	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	OFF	2019/12/17 17:28
S15	52519	( (B23C2235/12 OR B23C2235/41 OR B23C3/35 OR G06K9/00208 OR G06K9/2036 OR G06K9/209 OR G06K9/48 OR G06K9/6202 OR G06T2207/10141 OR G06T7/62 OR H04N5/247 OR Y10T409/300952 OR Y10T409/301008 OR Y10T409/301064).CPC. )	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB		OFF	2019/12/17 17:28
S19	48	(S17 or S18) and video	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	OFF	2019/12/17 22:05

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S26	12	(contour or boundary or boundaries or edge or groove or bitting or pattern or outline) with (cameras! or second camera!) with (map or mapped or mapping or combine or combined or combining or fuse or fused or fusing) and (images! or second image!) with (master or original or reference) and (probability or likelihood or confidence) near2 (matching or matched or match or corresponded or compatible or fit or fitting or commensurate or correlation or correlate or correlated or correlating)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2019/12/17 22:16
S27	6	(contour or boundary or boundaries or edge or groove or bitting or pattern or outline) with (cameras! or second camera!) with (map or mapped or mapping or combine or combined or combining or fuse or fused or fusing) same (master or original or reference) and (probability or likelihood or confidence) near2 (matching or matched or match or correspond or corresponding or corresponded or compatible or fit or fitting or commensurate or correlation or correlate or correlated or correlating)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2019/12/17 22:17

S28	47	(contour or boundary or boundaries or edge or groove or bitting or pattern or outline) with (views! or second view! or video or frames!) with (map or mapped or mapping or combine or combined or combining or fuse or fused or fusing) same (master or original or reference) and (probability or likelihood or confidence) near2 (matching or matched or match or correspond or corresponding or corresponded or compatible or fit or fitting or commensurate or correlation or correlate or correlated or correlating)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2019/12/17 22:18
S31	14	(S23 or S26 or S27 or S28) and @ad< "20090901"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	OFF	2019/12/17 22:24
S32	146	(S17 or S18) and (video or second (frame or image or view or camera) or views! or captur\$3 with angles! or images!)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2019/12/17 22:27
S33	140	S32 and @ad<"20090901"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	OFF	2019/12/17 22:28
S35	7	S34 and @ad< "20090901"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	OFF	2019/12/17 22:41
S34	8	(S17 or S18) and (map or mapped or mapping) near2 points!	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2019/12/17 22:41
S38	155	Thompson near2 Chester.inv. or Mutch near2 William.inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2019/12/18 20:40
S48	5	S47 and (probability or likelihood or confidence) near2 (matching or matched or match or correspond or corresponding or corresponded or compatible or fit or fitting or commensurate or correlation or correlate or correlating)	USOCR; FPRS;	NEAR	ON	2019/12/18 21:06

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S47	140	S46 and @ad< "20090901"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB		OFF	2019/12/18 21:06
S46	146	(S44 or S45) and (video or second (frame or image or view or camera) or views! or captur\$3 with angles! or images!)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	INEAR	ON	2019/12/18 21:06
S45	93	("5496138"   "5497888"   "5503785"   "5515903"   "5538374"   "5543103"   "5545367"   "5552992"   "5555176"   "5556240"   "5583443"   "5592728"   "5594652"   "5607267"   "5617323"   "5630332"   "5660509"   "5671523"   "5676504"   "5677522"   "5711643"   "5739766"   "5764156"   "5771176"   "5807042"   "5855836"   "5886775"   "5906365"   "5908273"   "5926388"   "5940229"   "5964554"   "5966457"   "5997795"   "60536777"   "6059495"   "6064747"   "6065911"   "6082580"   "6094953"   "6152662"   "6175638"   "6179531"   "6185311"   "6186711"   "6243960"   "6321430"   "6406227"   "6415931"   "6649381"   "6478515"   "6687565"   "6711557"   "6801829"   "6817814"   "6836553"   "6839449"   "6839451"   "6895100"   "6959862"   "6965911"   "7010498"   "7111894"   "7114436"   "7114894"   "7163364"   "7214011"   "7484446"   "7643685"   "7890878"   "7891919"   "7918629"   "8128322"   "8532809"   "8585029"   "8634655"   "8644619"   "D251365"   "D257758"   "D348393"   "D455104"   "RE26848"   "RE27665"   "RE35518").PN.	US-PGPUB; USPAT	NEAR	OFF	2019/12/18 21:06
S44	268	("0040480"   "0288300"   "0466044"   "0943806"   "1059545"   "1081472"   "1135676"   "1218653"   "1367280"   "1390958"   "1440459"   "1628637"   "1656295"   "1674291"   "1702488"   "1750218"   "1752668"   "1775921"   "1848046"   "1889461"   "1923164"   "1948260"   "1961516"   "1978628"   "1991151"   "20010033781"   "20010056385"   "20020031251"   "20020168241"   "20020191849"   "20030145499"   "20030154135"   "20030205070"   "20040024486"   "20040036595"   "20040095380"   "20040253067"   "20050000052"   "20050216120"   "20060003676"   "20060147289"   "20070224008"	US-PGPUB; USPAT	NEAR	OFF	2019/12/18 21:06

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<b>!</b>	51"   "20090074528"			11111
"200901806				
33				
11	80"   "20110262240"			
	09"   "2032820"   "2038			
"2098728"	"2105099"   "211459"	7"		***************************************
"2148668"	"2176106"   "2274012"			****
"2325541"	"2329269"   "2386816"			
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"2556577"	"2582012"   "2605294"	' 31 31		
33	!	' 31		
"2622485"		' (		
"2728251"	"2860884"   "2929177"	' 1		
"2940183"	"3053149"   "3094039"			
"3138999"	"3172969"   "3245149"			
"3257908"	"3259022"   "3276328"			
"32856596"	"3286597"   "3305100	ו "יינ		****
"3323420"	"3388619"   "3418882"	8 2		****
33		. 31		***************************************
"3424056"	"3429207"   "3430535"	' 31		
"3440906"	"3442174"   "3457831"	' 31		***************************************
"3466747"	"3496636"   "3499366"	' 58 2		
"3599147"	"3602092"   "3625111"			
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"3675536"	"3682041"   "3707999"			
"3722341"	"3769865"   "3773360"	' 31		
"3792639"		' 28 3		
31				
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"3955179"	"3956968"   "3978764"	i		******
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31	· ·	' 31		
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"4105340"	"4117763"   "4121292"	·		
"4132151"	"4143582"   "4166949"			*****
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"4256423"	"4281379"   "4283859"			
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11		' 81		
"4432142"	"4433487"   "4437150"	' 31 3		
"4453432"	"4468994"   "4472056"	' \$ <b>1</b> \$1		
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"4558215"	"4562759"   "4592683"	' 31		
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31	· ·	' 81		
"4638567"	"4647028"   "4648585"			
"4651604"	"4652738"   "4652765"	. 58		
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"4666351"	"4671711"   "4677835"			
"4679331"	"4687389"   "4697300"			
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"4941335"	"4969782"   "4971489"			
"4993291"	"4998349"   "5029459"	' 31		
"5043144"	"5056204"   "5058940"	1 58 2		
11 0040 144		' 58		
"5083759"	"5088864"   "5094538"		1 (1	31

		"5096346"   "5111056"   "5119190"   "5122018"   "5127532"   "5128531"   "5130064"   "5139246"   "5144561"   "5146689"   "5165315"   "5167171"   "5171112"   "5192469"   "5201203"   "5229619"   "5244321"   "5255199"   "5259708"   "5271698"   "5293687"   "5307151"   "5308360"   "5311286"   "5311756"   "5311758"   "5314274"   "5330168"   "5351409"   "5360299"   "5363463"   "5365812"   "5382784"   "5393967"   "5416591"   "5429202"   "5441369"   "5443339"				
S54	6	(S44 or S45) and (changing or change or changed or altering or alter or altered or alteration or adjusted or adjust or adjusting or increased or increase or increasing or decrease or decreased or	USPAT; USOCR; FPRS; EPO; JPO;	NEAR	ON	2019/12/18 21:21
S555	5	S54 and @ad<"20090901"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	OFF	2019/12/18 21:22
S60	1	(S44 or S45) and (crop or cropped or cropping or bounding box or region interest) with (peripheral or periphery or limit or limited or limiting)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2019/12/18 21:39
S59	1	(S44 or S45) and (crop or cropped or cropping or bounding box or region interest) with (peripheral or periphery)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2019/12/18 21:39

S61	125	(crop or cropped or cropping or bounding box or region interest) with (peripheral or periphery or limit or limited or limiting) with key	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2019/12/18 21:52
S62	17	S61 and @ad<"20090901"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	OFF	2019/12/18 21:53
S64	4	(S44 or S45) and (window) with (peripheral or periphery or limit or limited or limiting)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2019/12/18 21:54
S63	16	(crop or cropped or cropping or bounding box or region interest) with (key or view or frame or video or image) and key adj2 blank	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2019/12/18 21:54
S65	14	(S44 or S45) and (crop or cropped or cropping or bounding box or window) with (image or view or perspective or frame or video)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2019/12/18 21:56
S68	12	(S65 or S66) and @ad< "20090901"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	OFF	2019/12/18 21:57
S67	5	S63 and @ad< "20090901"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	OFF	2019/12/18 21:57
S66	1	(S44 or S45) and (crop or cropped or cropping or bounding box or window) with (capture or captured)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2019/12/18 21:57
S71	1610	S70 and @ad<"20090901"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO;	NEAR	OFF	2019/12/18 22:01

			DERWENT; IBM_TDB			
S70	5015	(crop or cropped or cropping or box or bounding box) near2 (peripheral or periphery or central or relevant or irrelevant) with (frame or image or view or captured or capture or viewport or scan)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2019/12/18 22:01
S73	12	S72 and @ad<"20090901"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	OFF	2019/12/18 22:05
S72	12	S71 and (match or matched or matching or compare or compared or comparing) near3 (database or template or reference) near2 (frame or image or view or captured or capture or viewport or scan)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2019/12/18 22:05
S87	3	(S85 or S86) and (square or squarely or blunt or bluntly) cut	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2019/12/19 21:22
S86	93	("5496138"   "5497888"   "5503785"   "5515903"   "5538374"   "5543103"   "5545367"   "5552992"   "5555176"   "5556240"   "5583443"   "5592728"   "5594652"   "5607267"   "5617323"   "5630332"   "5660509"   "5671523"   "5676504"   "5677522"   "5711643"   "5739766"   "5764156"   "5771176"   "5807042"   "5855836"   "5886775"   "5906365"   "5908273"   "5926388"   "5940229"   "5964554"   "5966457"   "5982491"   "5984597"   "5997224"   "5997795"   "6053677"   "6059495"   "6064747"   "6065911"   "6082580"   "6094953"   "6152662"   "6175638"   "6179531"   "6185311"   "6186711"   "6243960"   "6321430"   "6406227"   "6415931"   "6449381"   "6478515"   "6687565"   "6711557"   "6801829"   "6817814"   "6836553"   "6839449"   "6839451"   "6895100"   "6959862"   "6965911"   "7010498"   "7111894"   "7114436"   "7114894"   "7163364"   "7214011"   "7484446"   "7643685"   "7890878"   "7891919"   "7918629"   "8128322"   "8532809"   "8585029"   "8634655"   "8644619"   "D251365"   "D257758"   "D348393"   "D455104"   "RE26848"   "RE27665"   "RE35518").PN.	US-PGPUB; USPAT	NEAR	OFF	2019/12/19 21:22

COF	260	
S85	268	("0040480"   "0288300"   "0466044"   US-PGPUB; NEAR OFF 2019/12/19 "0943806"   "1059545"   "1081472"   USPAT 21:22
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		"2940183"   "3053149"   "3094039"
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		"32856596"   "3286597"   "3305100"    "3323420"   "3388619"   "3418882"
		"3424056"   "3429207"   "3430535"
		"3440906"   "3442174"   "3457831"
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		"3599147"   "3602092"   "3625111"
		"3633451"   "3651573"   "3656402"
		"3675536"   "3682041"   "3707999"
		"3722341"   "3769865"   "3773360"
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		"3807276"   "3810416"   "3826555"
		"3848235"   "3865011"   "3870895"
		"3880047"   "3884121"   "3902382"
		"3919589"   "3919920"   "3945298"
		"3955179"   "3956968"   "3978764"
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		"4023464"   "4051748"   "4062261"
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		"4432142"   "4433487"   "4437150"
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		"4551046"   "4553844"   "4557488"
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		"4601185"   "4614465"   "4625107"   "4638567"   "4647028"   "4648585"   "4651604"   "4652738"   "4652765"   "4653104"   "4656590"   "4657448"   "4663351"   "4671711"   "4677835"   "4679331"   "4687389"   "4697300"   "4710808"   "4717294"   "4741652"   "4767110"   "4780032"   "4783829"   "4803371"   "4805224"   "4809341"   "4821200"   "4821393"   "4839913"   "4845764"   "4848116"   "4853866"   "4868559"   "4898504"   "4899391"   "4909892"   "4929129"   "4929843"   "493291"   "4998349"   "5029459"   "5043144"   "5056204"   "5058940"   "5083759"   "5088864"   "5094538"   "5096346"   "5111056"   "5119190"   "5122018"   "5127532"   "5128531"   "5130064"   "5139246"   "5144561"   "5171112"   "5192469"   "5201203"   "5229619"   "5244321"   "5255199"   "53307151"   "5308360"   "5311286"   "5330168"   "5351409"   "5360299"   "53303668"   "5365812"   "5382784"   "5393967"   "5416591"   "5429202"				
		"5441369"   "5443339"   "5485399").PN.				
S96	6269	(orientation or angle) with relative with key	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2019/12/19 22:26
S99	46	S98 and S97	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	OFF	2019/12/19 22:48
S98	52552	( (B23C2235/12 OR B23C2235/41 OR B23C3/35 OR G06K9/00208 OR G06K9/2036 OR G06K9/209 OR G06K9/48 OR G06K9/6202 OR G06T2207/10141 OR G06T7/62 OR H04N5/247 OR Y10T409/300952 OR Y10T409/301008 OR Y10T409/301064).CPC. )	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	OFF	2019/12/19 22:48
S97	926	(orientation or angle) with relative near2 key	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2019/12/19 22:48
S100	11	S99 and @ad< "20090901"	US-PGPUB; USPAT;	NEAR	OFF	2019/12/19 22:49

			USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB			
S103	93	(S85 or S86) and (shift\$3 or adjust\$3 or rotat\$4) with blank	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2019/12/19 22:59
S104	9	(S85 or S86) and (shift\$3 or adjust\$3 or rotat\$4) with blank with (compar\$4 or match\$3 or correlat\$4)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2019/12/19 23:00
S106	1	(S85 or S86) and registration error	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2019/12/19 23:09
S108	374	S98 and (registration or alignment) near2 (error or offset)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2019/12/19 23:11
S107	60563	(registration or alignment) near2 (error or offset)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2019/12/19 23:11
S110	16	S109 and @ad<"20090901"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	OFF	2019/12/19 23:12
S109	69	\$98 and (registration or alignment) near2 (error or offset) with (compar\$4 or key or correlat\$4 or match\$3)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2019/12/19 23:12
S111	2	(registration or alignment) near2 (error or offset) with series with key	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2019/12/19 23:14

S114	1	(registration or alignment) near2 (error or offset) with series adj2 (compar\$4 or correlat\$4 or match\$3)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2019/12/19 23:18
S118	3706	roll\$3 same (correction or correct\$3) adj2 (parameter or factor or coefficient or constant)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2019/12/20 10:20
S119	101	S118 and (image or frame or captured or view) near2 (compar\$4 or correlat\$4 or match\$3) and @ad<"20090901"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2019/12/20 10:21
S120	0	wafer near2 (inspect\$3 or inspection) and roll\$3 with (correction or correct\$3) with (parameter or factor or coefficient or constant)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2019/12/20 12:59
S122	44	S121 and @ad<"20090901"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	OFF	2019/12/20 13:00
S121	80	wafer near2 (inspect\$3 or inspection) and roll\$3 with (parameter or factor or coefficient or constant)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	NEAR	ON	2019/12/20 13:00
	93	("5496138"   "5497888"   "5503785"   "5515903"   "5538374"   "5543103"   "5545367"   "5552992"   "5555176"   "5556240"   "5583443"   "5592728"   "5594652"   "5607267"   "5617323"   "5630332"   "5660509"   "5671523"   "5676504"   "5677522"   "5711643"   "5739766"   "5764156"   "5771176"   "5807042"   "5855836"   "5886775"   "5906365"   "5908273"   "5926388"   "5940229"   "5964554"   "5966457"   "5997795"   "6053677"   "6059495"   "6094953"   "6053677"   "6082580"   "6094953"   "6152662"   "6175638"   "6179531"   "6185311"   "6186711"   "6243960"   "6321430"   "6406227"   "6415931"   "6449381"   "6478515"   "6543972"   "6588995"   "6602030"   "6612142"   "6641339"   "6647308"	US-PGPUB; USPAT	NEAR	OFF	2019/12/21 15:29

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### **EAST Search History (Interference)**

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 $\textbf{C:} \ \textbf{Users} \ \textbf{mentezari} \ \textbf{Documents} \ \textbf{EAST} \ \textbf{Workspaces} \ \textbf{15\_920\_955.wsp}$ 

Doc code: IDS Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (01-10)

Approved for use through 07/31/2012. OMB 0651-0031

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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	Application Number		
INFORMATION BIGGI COURT	Filing Date		2018-03-14
INFORMATION DISCLOSURE	First Named Inventor	Cheste	er O.D. Thompson
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		
(Not for Submission under or of K 1.55)	Examiner Name	·	
	Attorney Docket Number		16223-00341

				U.S.I	PATENTS	Remove
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	3644619		2014-02-04	Thompson et al.	
	2	3634655		2014-01-21	Thompson et al.	
	3	8585029		2013-11-19	Ryai, Sr.	
	4	8532809		2013-09-10	Freeman	
	5	8128322		2012-03-06	Bass et al.	
	6	7918629		2011-04-05	Belflower et al.	
	7	7891919		2011-02-22	Bass et al.	
	8	7643685		2010-01-05	Miller	

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9	7484446	2009-03-02	Gula	
10	7214011	2007-05-08	Ryai, Sr. et al.	
11	7163364	2007-01-16	Foscan et al.	
12	7114894	2006-10-03	Mueller et al.	
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14	7111894	2006-09-26	Kora et al.	
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16	6965911	2005-11-15	Coffman et al.	
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18	6895100	2005-05-17	Pacenzia et al.	
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22	6817814	2004-11-16	Mueller
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24	6711557	2004-03-23	Palaniappan
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29	6602030	2003-08-05	Markbreit
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32	6478515	2002-11-12	Mueller	
33	6449381	2002-09-10	Yanovsky et al.	
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35	6406227	2002-06-18	Titus et al.	
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42	6175638	2001-01-16	Yanovsky	
43	6152662	2000-11-28	Titus et al.	
44	6094953	2000-08-01	Evans	
45	6082580	2000-07-04	Mueller et al.	
46	6065911	2000-05-23	Almblad et al.	
47	6064747	2000-05-16	Wills et al.	
48	6059495	2000-05-09	Mueller et al.	
49	6053677	2000-04-25	Juchinewicz	
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54	5966457	1999-10-12	Lemelson	
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56	5940229	1999-08-17	Baumgarten	
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68	5677522	1997-10-14	Rice et al.	
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98	5360299	1994-11-01	Oliana	
99	5351409	1994-10-04	Heredia	
100	5330168	1994-07-19	Enomoto et al.	
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120	5144561	1992-09-01	Soper	
121	5139246	1992-08-18	Yakou	
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130	5088864	1992-02-18	Yanagida	
131	5083759	1992-01-28	Pollak et al.	
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133	5056204	1991-10-15	Bartschi	
134	5043144	1991-08-27	Gordon et al.	
135	5029459	1991-07-09	Almblad	
136	4998349	1991-03-12	Killeen	
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139	4969782	1990-11-13	Castain	
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179	4614465	1986-09-30	Wu	
180	4601185	1986-07-22	Sheldon	
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Application Number			• •			
Filing Date		2018-03-14				
First Named Inventor	Chester O.D. Thompson					
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Application Number			,			
Filing Date		2018-03-14				
First Named Inventor	Chester O.D. Thompson					
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First Named Inventor	Chester O.D. Thompson					
Art Unit						
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Filing Date		2018-03-14				
First Named Inventor	Chest	ter O.D. Thompson				
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First Named Inventor	Chest	er O.D. Thompson			
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First Named Inventor	Chest	ter O.D. Thompson		
Art Unit				
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Filing Date		2018-03-14					
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Filing Date		2018-03-14				
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Art Unit						
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Attorney Docket Numb	er	16223-00341				

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First Named Inventor	Chest	er O.D. Thompson					
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Application Number			, ,		
Filing Date		2018-03-14			
First Named Inventor	Chester O.D. Thompson				
Art Unit					
Examiner Name					
Attorney Docket Number		16223-00341			

#### **CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

#### OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

X A certification statement is not submitted herewith.

#### **SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Mark J. Masterson/	Date (YYYY-MM-DD)	2018-03-14
Name/Print	Mark J. Masterson	Registration Number	71886

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

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- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records
  may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant
  to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Doc code: IDS Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (01-10)

Approved for use through 07/31/2012. OMB 0651-0031

mation Disclosure Statement (IDS) Filed

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE	Application Number	
	Filing Date	2018-03-14
	First Named Inventor	chester O.D. Thompson
STATEMENT BY APPLICANT ( Not for submission under 37 CFR 1.99)	Art Unit	
(Not for Submission under 57 Of K 1.55)	Examiner Name	
	Attorney Docket Number	16223-00341

				U.S.I	PATENTS	Remove
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	2430924		1947-11-18	Fowle et al.	
	2	2386816		1945-10-16	Scholz	
	3	2329269		1943-09-14	Jacobi	
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	8	2114597		1938-04-19	Goddard	

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /M.M.E/

( Not for submission under 37 CFR 1.99)

			<u> 15/920,955</u>	GEN .	GAU:	_255	
Application Number			,				
Filing Date		2018-03-14					
First Named Inventor	Chest	Chester O.D. Thompson					
Art Unit							
Examiner Name							
Attorney Docket Number		16223-00341					

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10	2098728	1937-11-09	McPhee	
11	2038949	1936-04-28	Mintz	
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( Not for submission under 37 CFR 1.99)

			44/24/2010	- 120	~~	
Application Number			, ,			
Filing Date		2018-03-14				
First Named Inventor	Chest	Chester O.D. Thompson				
Art Unit						
Examiner Name						
Attorney Docket Number		16223-00341				

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /M.M.E/

( Not for submission under 37 CFR 1.99)

					CZENU		
Application Number			• •				
Filing Date		2018-03-14					
First Named Inventor	Chest	Chester O.D. Thompson					
Art Unit							
Examiner Name							
Attorney Docket Number		16223-00341					

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		U.S.P	ATENT APPLIC	CATION PUBLICATIONS		Remove
Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Releva	Columns,Lines where nt Passages or Relevant s Appear
1	20110262240		2011-10-27	Mutch et al.		
	32 1 33 1 34 0 35 0 36 0 37 0 38 7	1081472 33 1059545 34 0943806 35 0466044 36 0288300 37 0040480 38 7890878 to add additional U.S. Paten Cite No Publication Number	1081472 33 1059545 34 0943806 35 0466044 36 0288300 37 0040480 38 7890878 4to add additional U.S. Patent citation U.S.P. Cite No Publication Number Kind Code1	1081472 1913-12-16 1081472 1913-12-16 10943806 1909-12-21 10943806 1909-12-21 10943806 1891-12-29 10943800 1883-11-13	1081472 1913-12-16 Tucker  1913-04-22 Kunze  1909-12-21 Billings  1891-12-29 Jacobs  1883-11-13 Borowsky  1863-03-03 Hill  2011-02-15 Bass, et al.  1059545 1946044 1891-12-29 Jacobs  1883-11-13 Borowsky  1883-11-13 Borowsky  1883-11-13 Borowsky  1883-11-13 Borowsky  1883-11-13 Borowsky  1883-11-13 Borowsky  1883-11-13 Borowsky  1883-11-13 Borowsky  1883-11-13 Borowsky  1883-11-13 Borowsky  1883-11-13 Borowsky  1883-11-13 Borowsky  1883-11-13 Borowsky  1890878 Publication Name of Patentee or Applicant Order Date  1890878 Publication Name of Patentee or Applicant Order Date  1890878 Publication Name of Patentee or Applicant Order Date	1081472

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Application Number			, ,				
Filing Date		2018-03-14					
First Named Inventor	Chest	Chester O.D. Thompson					
Art Unit							
Examiner Name							
Attorney Docket Number		16223-00341					

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			10/320.300	-	UZENU	_200.
Application Number			, ,			
Filing Date		2018-03-14				
First Named Inventor	Chest	er O.D. Thompson				
Art Unit						
Examiner Name						
Attorney Docket Number		16223-00341				

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Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup> İ	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	Т5
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Examiner Signature /MICHELLE M ENTEZARI/ Date Considered 12/21/2019

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

15/920.955 - GAU: 2661

#### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number			, ,			
Filing Date		2018-03-14				
First Named Inventor	Chester O.D. Thompson					
Art Unit						
Examiner Name						
Attorney Docket Number		16223-00341				

¹ See Kind Codes of USPTO Patent Documents at <a href="https://www.USPTO.GOV">www.USPTO.GOV</a> or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

(Not for submission under 37 CFR 1.99)

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Application Number			, ,	
Filing Date		2018-03-14		
First Named Inventor	Chest	er O.D. Thompson		
Art Unit				
Examiner Name				
Attorney Docket Number		16223-00341		

#### **CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

#### OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

X A certification statement is not submitted herewith.

#### **SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Mark J. Masterson/	Date (YYYY-MM-DD)	2018-03-14
Name/Print	Mark J. Masterson	Registration Number	71886

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

#### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records
  may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant
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- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER 15/920,955

FILING OR 371(C) DATE 03/14/2018

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE 16223-00341

Chester O.D. Thompson

**CONFIRMATION NO. 2833** 

**PUBLICATION NOTICE** 

33772 MCDONALD HOPKINS LLC 600 Superior Avenue, East **Suite 2100** CLEVELAND, OH 44114-2653

Title: KEY BLANK IDENTIFICATION SYSTEM WITH GROOVE SCANNING

Publication No.US-2018-0204089-A1 Publication Date: 07/19/2018

#### NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publically available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently http://www.uspto.gov/patft/.

The publication process established by the Office does not provide for mailing a copy of the publication to applicant. A copy of the publication may be obtained from the Office upon payment of the appropriate fee set forth in 37 CFR 1.19(a)(1). Orders for copies of patent application publications are handled by the USPTO's Public Records Division. The Public Records Division can be reached by telephone at (571) 272-3150 or (800) 972-6382, by facsimile at (571) 273-3250, by mail addressed to the United States Patent and Trademark Office. Public Records Division, Alexandria, VA 22313-1450 or via the Internet.

In addition, information on the status of the application, including the mailing date of Office actions and the dates of receipt of correspondence filed in the Office, may also be accessed via the Internet through the Patent Electronic Business Center at www.uspto.gov using the public side of the Patent Application Information and Retrieval (PAIR) system. The direct link to access this status information is currently https://portal.uspto.gov/pair/PublicPair. Prior to publication, such status information is confidential and may only be obtained by applicant using the private side of PAIR.

Further assistance in electronically accessing the publication, or about PAIR, is available by calling the Patent Electronic Business Center at 1-866-217-9197.

Office of Data Managment, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

page 1 of 1

#### PATENT APPLICATION

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application: Chester O.D. Thompson Group Art Unit: 2665

Serial No.: 15/920,955 Examiner: Michelle M. Hausmann

Filing Date: March 14, 2018 Docket No.: 16223-00341

Confirmation No.: 2833

Title: KEY BLANK IDENTIFICATION SYSTEM WITH GROOVE SCANNING

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

#### **VOLUNTARY AMENDMENT**

This voluntary amendment is submitted before the issuance of an office action by the USPTO wherein no new matter is added. Applicant submits that the claims presented herein replace the existing claims and be entered for prosecution on the merits. Applicant believes no additional fees are due; however, if any additional fees are required for this correspondence, please charge such fees to our Deposit Account No. 13-0265.

Electronic Acl	Electronic Acknowledgement Receipt						
EFS ID:	32723372						
Application Number:	15920955						
International Application Number:							
Confirmation Number:	2833						
Title of Invention:	KEY BLANK IDENTIFICATION SYSTEM WITH GROOVE SCANNING						
First Named Inventor/Applicant Name:	Chester O.D. Thompson						
Customer Number:	33772						
Filer:	Mark Jason Masterson/danielle mueller						
Filer Authorized By:	Mark Jason Masterson						
Attorney Docket Number:	16223-00341						
Receipt Date:	25-MAY-2018						
Filing Date:	14-MAR-2018						
Time Stamp:	09:15:59						
Application Type:	Utility under 35 USC 111(a)						

### **Payment information:**

Submitted with Payment			no						
File Listing:									
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)			
				88274					
1			7423556.pdf	f5b8a513bde17f42f1a8c7cf420d96e05e32 0b37	yes	5			

	Multipart Description/PDF files in .zip description								
	Document Description	Start	End						
	Applicant Arguments/Remarks Made in an Amendment	5	5						
	Claims	2	4						
	Preliminary Amendment	1	1						
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

#### **CONCLUSION**

This voluntary amendment is being filed electronically on May 25, 2018 before the receipt of any office action from the USPTO. Applicant respectfully requests that claims 1-20 be canceled and that claims 21-39 be entered for prosecution.

Respectfully submitted,

Date: May 25, 2018 /Mark J. Mastetson/

Mark J. Masterson, Reg. No. 71,886 McDonald Hopkins LLC 600 Superior Avenue, E. Suite 2100 Cleveland, OH 44114-2653 (216) 348-5400

#### **CLAIMS**

#### What is claimed is:

- 1. 1-20. (Canceled)
- 21. (New) A key identification system comprising:

an imaging system including a first camera configured to capture a first image of a master key and a second camera configured to capture a second image of said master key, said first image different than said second image;

a logic configured to map data points from said first image to corresponding data points from said second image to determine characteristics of a contour of said master key; and

wherein said logic compares said characteristics of said contour with characteristics of known key blanks to determine the likelihood of a match between said master key and a known key blank.

- 22. (New) The key identification system of claim 21, wherein said characteristics of said contour includes outline data wherein said outline data includes a plurality of data points.
- 23. (New) The key identification system of claim 22, wherein said plurality of data points is selectively adjustable along an interval to adjust accuracy of groove identification.
- 24. (New) The key identification system of claim 21, wherein said imaging system further comprises a crop box for limiting the image of the master key, wherein said crop box defines the outer periphery of at least said first and second images of said master key.
- 25. (New) The key identification system of claim 21, wherein said logic includes a database containing data related to characteristics of grooves of known key blanks.
- 26. (New) The key identification system of claim 21 wherein said logic is configured to generate tolerance and/or correlation values to determine the likelihood that the comparison yields a match.

- 27. (New) The key identification system of claim 21, wherein said first image is a digital image.
- 28. (New) The key identification system of claim 21, wherein said second image comprises a silhouette of a blade of said master key.
- 29. (New) The key identification system of claim 21 wherein said second image comprises a silhouette of a tip of said master key.
- 30. (New) The key identification system of claim 21, wherein said characteristics of said contour are determined based on image data from at least said two or more views of said master key.
- 31. (New) The key identification system of claim 30, wherein said logic calculates the geometry of the contour as though a tip of said master key were squarely cut based on data from at least said two or more views of said master key.
- 32. (New) The key identification system of claim 21 further comprising a key holder configured to hold said master key.
- 33. (New) The key identification system of claim 32, wherein said key holder includes a clamp.
- 34. (New) The key identification system of claim 21, wherein one of said views of said master key includes a view from above the master key blade of a groove in the bottom of said blade.
- 35. (New) The key identification system of claim 21, wherein one of said views of said master key includes a view from an angle between perpendicular and parallel to the blade of said master key and not including perpendicular or parallel to the blade of said master key,
- 36. (New) The key identification system of claim 21, wherein the logic is configured to shift data related to said characteristics of said contour to compare with said known key blank characteristics.

- 37. (New) The key identification system of claim 21, wherein said logic includes a tolerance range for each characteristic of said master key to determine whether a master key passes or fails to match a known key blank.
- 38. (New) The key identification system of claim 21, wherein said logic is configured to generate a series of comparisons to compensate for registration errors.
- 39. (New) The key identification system of claim 21, wherein said logic includes at least one roll constant used to adjust the plurality of data points to increase accuracy of a match with a known key blank

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PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875								Filing Date 03/14/2018	To be Mailed
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				APPLICA	ATION AS FIL	ED – PAR	ті		
			(Column 1	)	(Column 2)				
	FOR		NUMBER FIL	.ED	NUMBER EXTRA		RATE (\$)	F	FEE (\$)
	BASIC FEE (37 CFR 1.16(a), (b),	or (c))	N/A		N/A		N/A		
	SEARCH FEE (37 CFR 1.16(k), (i), o	or (m))	N/A		N/A		N/A		
	EXAMINATION FE (37 CFR 1.16(o), (p),		N/A		N/A		N/A		
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	EPENDENT CLAIM CFR 1.16(h))	S	m	nus 3 = *			X \$ =		
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		(Column 1)		APPLICAT (Column 2)	ION AS AMEN		ART II		
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AM	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))								
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 APPLICATION NUMBER
 FILING or 371(c) DATE
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 TOT CLAIMS IND CLAIMS

 15/920,955
 03/14/2018
 3722
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 16223-00341
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33772 MCDONALD HOPKINS LLC 600 Superior Avenue, East Suite 2100 CLEVELAND, OH 44114-2653 CONFIRMATION NO. 2833 FILING RECEIPT



Date Mailed: 04/12/2018

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Inventor(s)

Chester O.D. Thompson, Painesville, OH; William R. Mutch, North Ridgeville, OH;

Applicant(s)

HY-KO PRODUCTS COMPANY, Northfield, OH

Power of Attorney: The patent practitioners associated with Customer Number 33772

Domestic Priority data as claimed by applicant

This application is a CON of 15/351,634 11/15/2016 PAT 9934448 which is a CON of 14/162,157 01/23/2014 PAT 9514385 which is a CON of 12/772,709 05/03/2010 PAT 8644619 which claims benefit of 61/275,654 09/01/2009 and claims benefit of 61/215.152 05/01/2009

**Foreign Applications** for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <a href="http://www.uspto.gov">http://www.uspto.gov</a> for more information.) - None. Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

Permission to Access Application via Priority Document Exchange: Yes

Permission to Access Search Results: Yes

Applicant may provide or rescind an authorization for access using Form PTO/SB/39 or Form PTO/SB/69 as appropriate.

page 1 of 3

If Required, Foreign Filing License Granted: 04/10/2018

The country code and number of your priority application, to be used for filing abroad under the Paris Convention,

is US 15/920,955

**Projected Publication Date:** 07/19/2018

Non-Publication Request: No Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

KEY BLANK IDENTIFICATION SYSTEM WITH GROOVE SCANNING

**Preliminary Class** 

409

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

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EXA	MINATION FEE FR 1.16(o), (p), or (q))	N	/A	N	I/A	N/A	380	1	N/A	
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PTO/AIA/82B (07-13)
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Inventor or Joint	Inventor (title not required below)				
Legal Represent	ative of a Deceased or Legally Inca	pacitated Inventor	(title not required be	low)	
✓ Assignee or Pers	son to Whom the Inventor is Under	an Obligation to As	ssign (provide signer	's title if applica	ant is a juristic entity)
Person Who Oth application or is	erwise Shows Sufficient Proprietary concurrently being filed with this do	cument) (provide s	igner's title if applica	1.46(b)(2) was nt is a juristic e	s granted in the entity)
		RE of Applicant fo			
I he undersigned (whos Signature	e title is supplied below) is authorized	to act on behalf of	the applicant (e.g., when Date (Optional)	<del>*************************************</del>	nt is a juristic entity).
	Michael A. Bass	<del></del>	Date (Optional)	4.19.1	
	President		<del></del>	· · · · · · · · · · · · · · · · · · ·	
NOTE: Signature - This	s form must be signed by the applican	nt in accordance with	n 37 CFR 1.33. See 3	7 CFR 1.4 for si	gnature requirements
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	Application Number		
INFORMATION DISCLOSURE	Filing Date		2018-03-14
	First Named Inventor	Cheste	er O.D. Thompson
(Not for submission under 37 CFR 1.99)	Art Unit		
(Not for Submission under or of N 1.55)	Examiner Name		
	Attorney Docket Number		16223-00341

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Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	8644619		2014-02-04	Thompson et al.	
	2	8634655		2014-01-21	Thompson et al.	
	3	8585029		2013-11-19	Ryai, Sr.	
	4	8532809		2013-09-10	Freeman	
	5	8128322		2012-03-06	Bass et al.	
	6	7918629		2011-04-05	Belflower et al.	
	7	7891919		2011-02-22	Bass et al.	
	8	7643685		2010-01-05	Miller	

( Not for submission under 37 CFR 1.99)

Application Number		
Filing Date		2018-03-14
First Named Inventor Ches		ter O.D. Thompson
Art Unit		
Examiner Name		
Attorney Docket Number		16223-00341

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6895100	2005-05-17	Pacenzia et al.	
6839451	2005-01-04	Campbell et al.	
	214011 2163364 2114894 2114436 2111894 2010498 2965911 2959862	214011 2007-05-08 2163364 2007-01-16 2114894 2006-10-03 2111894 2006-09-26 2010498 2006-03-07 2965911 2005-11-15 2959862 2005-11-01	2007-05-08 Ryai, Sr. et al.  2007-01-16 Foscan et al.  2006-10-03 Mueller et al.  2006-10-03 Mueller  2006-10-03 Mueller  2006-09-26 Kora et al.  2006-09-26 Cora et al.  2006-03-07 Berstis  2005-11-15 Coffman et al.  2005-11-01 Neumark  2005-05-17 Pacenzia et al.

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20	6839449	2005-01-04	Campbell et al.	
21	6836553	2004-12-28	Campbell et al.	
22	6817814	2004-11-16	Mueller	
23	6801829	2004-10-05	Kawai	
24	6711557	2004-03-23	Palaniappan	
25	6687565	2004-02-03	Wetterlin et al.	
26	6647308	2003-11-11	Prejean	
27	6641339	2003-11-04	Chies et al.	
28	6612142	2003-09-02	Capwell	
29	6602030	2003-08-05	Markbreit	
30	6588995	2003-07-08	Wills et al.	

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31	6543972	2003-04-08	Cimino	
32	6478515	2002-11-12	Mueller	
33	6449381	2002-0 <del>9</del> -10	Yanovsky et al.	
34	6415931	2002-07-09	Mueller	
35	6406227	2002-06-18	Titus et al.	
36	D455104	2002-04-02	Hillman et al.	
37	6321430	2001-11-27	Goldman et al.	
38	6243960	2001-06-12	Andrews et al.	
39	6186711	2001-02-13	Mueller	
40	6185311	2001-02-06	Yanovsky et al.	
41	6179531	2001-01-30	Jaw	

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42	6175638	2001-01-16	Yanovsky	
43	6152662	2000-11-28	Titus et al.	
44	6094953	2000-08-01	Evans	
45	5082580	2000-07-04	Mueller et al.	
46	6065911	2000-05-23	Almblad et al.	
47	6064747	2000-05-16	Wills et al.	
48	6059495	2000-05-09	Mueller et al.	
49	6053677	2000-04-25	Juchinewicz	
50	5997795	1999-12-07	Danforth et al.	
51	5997224	1999-12-07	Beauregard et al.	
52	5984597	1999-11-16	Chen	

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			i	
53	5982491	1999-11-09	Breyer et al.	
54	5966457	1 <del>999</del> -10-12	Lemelson	
55	5964554	1999-10-12	Drori	
56	5940229	1999-08-17	Baumgarten	
57	5926388	1999-07-20	Kimbrough et al.	
58	5908273	1999-06-01	Titus et al.	
59	5906365	1999-05-25	Wu	
60	5886775	1999-03-23	Houser et al.	
61	5855836	1999-01-05	Leyden et al.	
62	5807042	1998-09-15	Almblad et al.	
63	5771176	1998-06-23	Froehlich et al.	_

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64	5764156	1998-06-09	Chaloux	
65	5739766	1998-04-14	Chaloux	
66	5711643	1998-01-27	Parr et al.	
67	5771176	1998-06-23	Froehlich et al.	
68	5677522	1997-10-14	Rice et al.	
69	5676504	1997-10-14	Mueller et al.	
70	5671523	1997-09-30	Juchinewicz	
71	RE35518	1997-05-27	Sussina	
72	5660509	1997-08-26	Cole et al.	
73	5630332	1997-05-20	Aldieri et al.	
74	5617323	1997-04-01	Stansberry et al.	

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75	5607267	1997-03-04	Heredia et al.	
76	5594652	1997-01-14	Penn et al.	
77	5592728	1997-01-14	Susnjara	
78	5583443	1996-12-10	McMurtry et al.	
79	5556240	1996-09-17	Almblad	
80	5555176	1996-09-10	Menhennett et al.	
81	5552992	1996-09-03	Hunter	
82	5545367	1996-08-13	Bae et al.	
83	5543103	1996-08-06	Hogan et al.	
84	5538374	1996-07-23	Cole et al.	
85	5515903	1996-05-14	Hronas et al.	

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86	5503785	1996-04-02	Crump et al.	
87	5497888	1996-03-12	Michaels et al.	
88	5496138	1996-03-05	Drori	
89	5485399	1996-01-16	Saigo et al.	
90	5443339	1995-08-22	Heredia et al.	
91	5441369	1995-08-15	Foscan et al.	
92	5429202	1995-07-04	Millard et al.	
93	5416591	1995-05-16	Yoshimura et al.	
94	5393967	1995-02-28	Rice et al.	
95	5382784	1995-01-17	Eberhardt	
96	5365812	1994-11-22	Harnden	

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97	5363463	1994-11-08	Kleinerman	
98	5360299	1994-11-01	Oliana	
99	5351409	1994-10-04	Heredia	
100	5330168	1994-07-19	Enomoto et al.	
101	D348393	1994-07-05	Neitzke et al.	
102	5314274	1994-05-24	Heredia et al.	
103	5311758	1994-05-17	Neitzke et al.	
104	5311756	1994-05-17	Villani	
105	5311286	1994-05-10	Pike	
106	5308360	1994-05-03	Neitzke et al.	
107	5307151	1994-04-26	Hof et al.	

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108	5293687	1994-03-15	Willoughby, Jr. et al.
109	5271698	1993-12-21	Heredia et al.
110	5259708	1993-11-09	Brice
111	5255199	1993-10-19	Barkman et al.
112	5244321	1993-09-14	Sopko
113	5229619	1993-07-20	Van Amstel
114	5201203	1993-04-13	Almblad
115	5192469	1993-03-09	Smalley et al.
116	5171112	1992-12-15	Roland
117	5167171	1992-12-01	Heredia
118	5165315	1992-11-24	Terada

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119	5146689	1992-09-15	Roland	
120	5144561	1992-09-01	Soper	
121	5139246	1992-08-18	Yakou	
122	5130064	1992-07-14	Smalley et al.	
123	5128531	1992-07-07	Fadel	
124	5127532	1992-07-07	Cimino et al.	
125	5122018	1992-06-16	Zion	
126	5119190	1992-06-02	Lemelson	
127	5111056	1992-05-05	Yoshimura et al.	
128	5096346	1992-03-17	Ueda	
129	5094538	1992-03-10	Reedman et al.	

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130	5088864	1992-02-18	Yanagida	
131	5083759	1992-01-28	Pollak et al.	
132	5058940	1991-10-22	Hart	
133	5056204	1991-10-15	Bartschi	
134	5043144	1991-08-27	Gordon et al.	
135	5029459	1991-07-09	Almblad	
136	4998349	1991-03-12	Killeen	
137	4993291	1991-02-19	Sopko	
138	4971489	1990-11-20	Womack	
139	4969782	1990-11-13	Castain	
140	4941335	1990-07-17	Allen	

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141	4929843	1990-05-29	Chmielewski, Jr. et al.
142	4929129	1990-05-29	Dickson
143	4909892	1990-03-20	Quinn et al.
144	4899391	1990-02-06	Cimino et al.
145	4898504	1990-02-06	Agius et al.
146	4868559	1989-09-19	Pinnow
147	4853866	1989-08-01	Andrada Galan et al.
148	4848116	1989-07-18	Lizotte
149	4845764	1989-07-04	Ueda et al.
150	4839913	1989-06-13	Annis et al.
151	4845764	1989-07-04	Ueda et al.

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152	4821393	1989-04-18	Spigarelli	
153	4821200	1989-04-11	Oberg	
154	4809341	1989-02-28	Matsui et al.	
155	4805224	1989-02-14	Koezuka et al.	
156	4803371	1989-02-07	Durland	
157	4783829	1988-11-08	Miyakawa et al.	
158	4780032	1988-10-25	Uyeda et al.	
159	4767110	1988-08-30	Yang	
160	4741652	1988-05-03	Marchal	
161	4717294	1988-01-05	Grasser	
162	4710808	1987-12-01	Hoogenboom et al.	

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163	4697300	1987-10-06	Warlop	
164	4687389	1987-08-18	Santii et al.	
165	4679331	1987-07-14	Koontz	
166	4677835	1987-07-07	Almblad	
167	4671711	1987-06-09	Steinbach et al.	
168	4666351	1987-05-19	Marchal	
169	4657448	1987-04-14	Alexander	
170	4656590	1987-04-07	Ace	
171	4653104	1987-03-24	Tamura	
172	4652765	1987-03-24	Nishihara	
173	4652738	1987-03-24	Nishihara et al.	

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174	4651604	1987-03-24	Almblad et al.	
175	4648585	1987-03-10	Yang	
176	4647028	1987-03-03	Yang	
177	4638567	1987-01-27	Leversee	
178	4625107	1986-11-25	Planke	
179	4614465	1986-09-30	Wu	
180	4601185	1986-07-22	Sheldon	
181	<b>4</b> 592683	1986-06-03	Wu	
182	<b>4</b> 562759	1986-01-07	Schmitt	
183	4558215	1985-12-10	Kaneko et al.	
184	4557488	1985-12-10	Litherland	

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185	4553844	1985-11-19	Nakagawa et al.	
186	4551046	1985-11-05	Kinas	
187	<b>4</b> 545569	1985-10-08	Schroder et al.	
188	<b>4</b> 541760	1985-09-17	Zoueki	
189	4526498	1985-07-02	Fieldhouse	
190	4525908	1985-07-02	Bernstein	
191	4521142	1985-06-04	Juskevic	
192	4479673	1984-10-30	Inaba et al.	
193	4472056	1984-09-18	Nakagawa et al.	
194	4468994	1984-09-04	Lieptz	
195	4453432	1984-06-12	Widen	

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196	4437150	1984-03-13	Dahlgren, Jr. et al.	
197	4433487	1984-02-28	Roland	
198	4432142	1984-02-21	Korsak	
199	4426179	1984-01-17	Jefferson	
200	4373414	1983-02-15	Agius	
201	4359299	1982-11-16	Sagarian	
202	4354780	1982-10-19	Bougiouris	
203	4301373	1981-11-17	Sjodin	
204	4300836	1981-11-17	Holmes, Jones F. et al.	
205	4300170	1981-11-10	Sakamoto	
206	4300042	1981-11-10	Oldenkamp et al.	

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207	4294096	1981-10-13	Heimann	
208	4283859	1981-08-18	Roland	
209	4281379	1981-07-28	Austin	
210	4256423	1981-03-17	Juskevic	
211	4251173	1981-02-17	Saucedo	
212	D257758	1981-01-06	Limacher	
213	4233746	1980-11-18	Troillet	
214	4223791	1980-09-23	Taggart	
215	4188163	1980-02-12	Juskevic	
216	4172632	1979-10-30	Holmes, Jr.	
217	4171161	1979-10-16	Jung	

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218	4166949	1979-09-04	Pold et al.	
219	D251365	1979-03-20	Lipinski	
220	4143582	1979-03-13	Heimann	
221	4132151	1979-01-02	Weber	
222	4121292	1978-10-17	Galanis et al.	
223	4117763	1978-10-03	Uyeda	
224	<b>41</b> 05340	1978-08-08	Kempf	
225	4092806	1978-06-06	Wich	
226	4090303	1978-05-23	Jyeda	
227	4088060	1978-05-09	Johns	
228	4062261	1977-12-13	Stahl	

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229	4051748	1977-10-04	Sherman	
230	4023464	1977-05-17	Zion	
231	4019415	1977-04-26	Wich	
232	4012991	1977-03-22	Uyeda	
233	3981214	1976-09-21	Wich	
234	3978764	1976-09-07	Patriquin	
235	3956968	1976-05-18	Crasnianski	
236	3955179	1976-05-04	Planke	
237	3945298	1976-03-23	Cockroft	
238	3919920	1975-11-18	Schlage	
239	3919589	1975-11-11	Hanak	

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240	3902382	1975-09-02	Lieptz	
241	3884121	1975-05-20	Agius	
242	3880047	1975-04-29	Dosier	
243	3870895	1975-03-11	Lax et al.	
244	3865011	1975-02-11	Patriquin	
245	3848235	1974-11-12	Lewis et al.	
246	3826555	1974-07-30	Matsumoto	
247	3810416	1974-05-14	Nelms, Jr.	
248	3807276	1974-04-30	Oliver	
249	3796130	1974-03-12	Gartner	
250	3795174	1974-03-05	Oliver et al.	

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251	3792639	1974-02-19	Richens et al.	
252	3773360	1973-11-20	Timbers	
253	3769865	1973-11-06	Kleist	
254	Re27665	1973-06-12	Spain	
255	3722341	1973-03-27	Hungerford, Jr. et al.	
256	3707999	1973-01-02	Coats	
257	3682041	1972-08-08	Essig	
258	3675536	1972-07-11	Hungerford, Jr. et al.	
259	3656402	1972-04-18	French	
260	3633451	1972-01-11	Lieptz	
261	3651573	1972-03-28	Kaplan	

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262	3625111	1971-12-07	Carlo et al.	
263	3602092	1971-08-31	Richens	
264	3599147	1971-08-10	Rogers et al.	
265	Re26848	1970-04-07	Simon	
266	3499366	1970-03-10	Spain	
267	3496636	1970-02-24	Lieptz	
268	3466747	1969-09-16	Patriquin et al.	
269	3457831	1969-07-29	Adler et al.	
270	3442174	1969-05-06	Weiner et al.	
271	3440906	1969-04-29	Allen	
272	3430535	1969-03-04	Haggstrom	

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273	3429207	1969-02-25	John	
274	3424056	1969-01-28	Stolove et al.	
275	3418882	1968-12-31	Brand	
276	3388619	1968-06-18	Schreiber et al.	
277	3323420	1967-06-06	Roxburgh	
278	3305100	1967-02-21	Barbee	
279	3286597	1966-11-22	Schwartz	
280	32856596	1966-11-22	Lieptz	
281	3276328	1966-10-04	Schreiber et al.	
282	3259022	1966-07-05	Vietorisz	
283	3257908	1966-06-28	Haggstrom	
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284	3245149	1966-04-12	Haggstrom	
285	3172969	1965-03-09	Haggstrom	
286	3138999	1964-06-30	Haggstrom	
287	3094039	1963-06-18	Spain	
288	3053149	1962-09-11	Rossetti	
289	2940183	1960-06-14	Fromberg	
290	2929177	1960-03-22	Sheps	
291	2860884	1958-11-18	Sloan et al.	
292	2728251	1955-12-27	Turner	
293	2707335	1955-05-03	Falk	
294	2645978	1953-07-21	Sejarto et al.	

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	295	2622485		1952-12	!-23	Martellotti					
	296	2605294		1952-07	'-29	Barnhart					
	297	2582012		1952-01	-08	Currier					
	298	2556577		1951-06	i-12	Drake					
	299	2499124		1950-02	!-28	Zipp et al.					
	300	2445041		1948-07	'-13	Scholz					
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1		DE2951065	DE	1981-07-02	F.I.M.A.T. Fabbrica Italiana Macchnie Automatiche
2		EP1976656	EP	2014-10-29	Hy-Ko Products Company
3		EP0053730	EP	1981-10-31	Webb Service GmbH
4		EP0133091	EP	1985-02-13	Societe Anonyme H.K. France
5		EP0835720	EP	1998-04-15	Noran, S.L.
6	i	FR2499435	FR	1982-08-13	Firme August Borkey Nachfolger
7		GB2276106	GB	1994-09-21	Autokey (UK) Ltd.
8		H04-250911	JP	1992-09-07	Ishikawajima Harima Heavy Ind.
9		S63-31110 <b>8</b>	JP	1988-12-19	Kiki Kalihatsu Kogyo KK
10	0	S63-31110 <del>9</del>	JP	1988-12-19	Kiki Kalihatsu Kogyo KK
11	1	291113	мх	2007-01-23	Hy-Ko Products Company

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13	CH673612	СН	1990-03-30	Hans Zulliger
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First Named Inventor Chest		er O.D. Thompson
Art Unit		
Examiner Name		
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Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T5
	1	Chinese Office Action, Application No. 200780009675.0, Hy-Ko Products Company, December 4 2009.	
	2	Chinese Office Action, Application No. 201080024166.7, Hy-Ko Products Company, December 6, 2013.	
	3	Chinese Office Action, Application No. 201080024166.7, Hy-Ko Products Company, September 30, 2014.	
	4	Chinese Office Action, Application No. 201080024163.3, Hy-Ko Products Company, August 1, 2013.	
	5	Supplementary European Search Report, Application No. 07709849.9 - 1702/ 1976656, Hy-Ko Products Company, May 15, 2013.	
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	7	European Communication pursuant to Article 94(3) EPC, Application No. 10 770 489.2-1901, Hy-Ko Products, June 27, 2014.	
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17	International Preliminary Report on Patentability, PCT/US2011/034564, Mutch, William R. et al., July 16, 2013.
18	International Search Report and the Written Opinion of the International Searching Authority, PCT/US2011/034564, Hy-Ko Products Company, July 15, 2011.
19	International Preliminary Report on Patentability, PCT/US2010/033414, Hy-Ko Products et al., November 1, 2011.
20	International Search Report and the Written Opinion of the International Searching Authority, PCT/US2010/033414, Hy-Ko Products, August 18, 2010.

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Standard ST 4 Kind of doo	Γ.3). <sup>3</sup> F cument l	SPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial nurther appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is lation is attached.	umber of the patent docume						

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#### **CERTIFICATION STATEMENT**

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That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

X A certification statement is not submitted herewith.

#### **SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Mark J. Masterson/	Date (YYYY-MM-DD)	2018-03-14
Name/Print	Mark J. Masterson	Registration Number	71886

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

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The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

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- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records
  may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant
  to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application Number		
	Filing Date	20	2018-03-14
INFORMATION DISCLOSURE	First Named Inventor	nester	O.D. Thompson
(Not for submission under 37 CFR 1.99)	Art Unit		
(Not lot Submission ander of of K 1.55)	Examiner Name		
	Attorney Docket Number	10	6223-00341

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Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
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	2	2386816		1945-10-16	Scholz	
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	1	20110262240		2011-10-27	Mutch et al.		

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First Named Inventor	Chest	er O.D. Thompson
Art Unit		
Examiner Name		
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	24 20140260809 A1		2014-09-08 Miller et al.									
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	26	20100059484	A1	2010-03-11		Inoue et al	-					
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Signature	/Mark J. Masterson/	Date (YYYY-MM-DD)	2018-03-14
Name/Print	Mark J. Masterson	Registration Number	71886

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#### PATENT COOPERATION TREATY

### **PCT**

#### INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter I of the Patent Cooperation Treaty)

(PCT Rule 44bis)

Applicant's or agent's file reference 16223-00178P	FOR FURTHER ACTION	See item 4 below				
International application No. PCT/US2011/034564	International filing date (day/month/year) 29 April 2011 (29.04.2011)	Priority date (day/month/year)  14 January 2011 (14.01.2011)				
International Patent Classification (8th edition unless older edition indicated) See relevant information in Form PCT/ISA/237						
Applicant MUTCH, William R.						

1.	This international preliminary report on patentability (Chapter I) is issued by the International Bureau on behalf of the International Searching Authority under Rule 44 <i>bis</i> .1(a).						
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3.	This rep	ort contains indication	ns relating to the following items:				
	$\mathbf{X}$	Box No. I	Basis of the report				
		Box No. II	Priority				
		Box No. III	Non-establishment of opinion with regard to novelty, inventive step and industrial applicability				
		Box No. IV	Lack of unity of invention				
	$\boxtimes$	Box No. V	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement				
		Box No. VI	Certain documents cited				
		Box No. VII	Certain defects in the international application				
		Box No. VIII	Certain observations on the international application				
4.	4. The International Bureau will communicate this report to designated Offices in accordance with Rules 44bis.3(c) and 93bis.1 but not, except where the applicant makes an express request under Article 23(2), before the expiration of 30 months from the priority date (Rule 44bis .2).						

Date of issuance of this report 16 July 2013 (16.07.2013)

e-mail: pt09.pct@wipo.int

Simin Baharlou

Authorized officer

Facsimile No. +41 22 338 82 70 Form PCT/IB/373 (January 2004)

The International Bureau of WIPO 34, chemin des Colombettes

1211 Geneva 20, Switzerland

PCT Helpdesk: 571-272-4300 PCT OSP: 571-272-7774

#### PATENT COOPERATION TREATY

FAIENT COOPERATION TREATT						
From the INTERNATIONAL SEARCHING AUTHO						
To: DAVID CUPAR			PCT			
C/O MCDONALD HOPKINS L 600 SUPERIOR AVENUE, E. S CLEVELAND, OH 44114			RITTEN OPINION OF THE IONAL SEARCHING AUTHORITY			
			(PCT Rule 43bis.1)			
		Date of mailing	- 5 0011			
		(day/month/year)	<b>15 ՄԱ 2011</b>			
Applicant's or agent's file reference		FOR FURTHER A	CTION			
16223-00178P			See paragraph 2 below			
International application No.	International filing date	(day/month/year)	Priority date (day/month/year)			
PCT/US2011/034564	29 April 2011		14 January 2011			
International Patent Classification (IPC) of IPC(8) - B23C 3/35 (2011.01) USPC - 409/81		tion and IPC				
Applicant HY-KO PRODUCTS COM	MPANY					
1. This opinion contains indications rela	ating to the following iten	ns:				
Box No. I Basis of the op	inion					
Box No. II Priority						
Box No. III Non-establishn	nent of opinion with regar	rd to novelty, inventiv	e step and industrial applicability			
Box No. IV Lack of unity o	f invention					
	ment under Rule 43 <i>bis</i> .1(a xplanations supporting su		relty, inventive step or industrial applicability;			
Box No. VI Certain docume	ents cited					
Box No. VII Certain defects	in the international appli	cation				
Box No. VIII Certain observa	ations on the international	l application				
2. FURTHER ACTION						
International Preliminary Examining other than this one to be the IPEA an	If a demand for international preliminary examination is made, this opinion will be considered to be a written opinion of the International Preliminary Examining Authority ("IPEA") except that this does not apply where the applicant chooses an Authority other than this one to be the IPEA and the chosen IPEA has notified the International Bureau under Rule 66.1bis(b) that written opinions of this International Searching Authority will not be so considered.					
If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited to submit to the IPE a written reply together, where appropriate, with amendments, before the expiration of 3 months from the date of mailing of For PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later.						
For further options, see Form PCT/ISA/220.						
3. For further details, see notes to Form PCT/ISA/220.						
Name and mailing address of the ISA/US	Date of completion of the	his opinion	Authorized officer:			
Mail Stop PCT, Attn: ISA/US Commissioner for Patents	•	•	Blaine R. Copenheaver			
P.O. Box 1450, Alexandria, Virginia 22313-1450	08 July 2011		PCT Helpdesk: 571-272-4300			

Form PCT/ISA/237 (cover sheet) (July 2009)

Facsimile No. 571-273-3201

### PCT/US2011/034564 15.07.2011

### WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/US2011/034564

Box	No. I	Basis of this opinion
1.	With r	the international application in the language in which it was filed.  a translation of the international application into which is the language of a translation furnished for the purposes of international search (Rules 12.3(a) and 23.1(b)).
2.		This opinion has been established taking into account the rectification of an obvious mistake authorized by or notified to this Authority under Rule 91 (Rule 43bis.1(a))
3.	establi	egard to any nucleotide and/or amino acid sequence disclosed in the international application, this opinion has been shed on the basis of a sequence listing filed or furnished:
	a. (m	eans) on paper in electronic form
	b. (tir	in the international application as filed
		together with the international application in electronic form subsequently to this Authority for the purposes of search
4.		In addition, in the case that more than one version or copy of a sequence listing has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished.
5.	Additi	onal comments:
		_

Form PCT/ISA/237 (Box No. 1) (July 2009)

#### PCT/US2011/034564 15.07.2011

### WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No.

PCT/US2011/034564

Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement Statement Novelty (N) Claims 7, 9-10, 13, 21, 23-24 YES Claims 1-6, 8, 11-12, 14-20, 22, 25-28 Inventive step (IS) Claims None YES Claims 1-28 1-28 Industrial applicability (IA) Claims YES Claims None NO

#### 2. Citations and explanations:

Claims 1-6, 8, 11-12, 14-20, 22, 25-28 lack novelty under PCT Article 33(2) as being anticipated by Bass et al.

Referring to Claim 1, Bass et al. disclose a key duplicating machine comprising a key load position to receive a key (Para. [0048]), an antenna (Para. [0061] - regarding the logic may compare the quantified and defined features of the master key 22 with data in a database regarding known key blanks 24), configured to communicate with a key located at said key load position (Para. [0061]), and a cutting member (Fig. 8, Left and right cutting wheels 60, 62), configured to cut a key pattern into a key blank at said key load position (Para. [0066]).

Referring to Claim 2, Bass et al. disclose a key duplicating machine as in Claim 1, and further disclose wherein said antenna (Para. [0061] – regarding the logic may compare the quantified and defined features of the master key 22 with data in a database regarding known key blanks 24), is configured to transmit data to said key (Paras. [0061], [0065]).

Referring to Claim 3, Bass et al. disclose a key duplicating machine as in Claim 1, and further disclose wherein said antenna (Para. [0061] - regarding the logic may compare the quantified and defined features of the master key 22 with data in a database regarding known key blanks 24), is configured to receive data from said key (Para. [0061] - regarding the logic may make direct comparisons between physical dimensions of known key blanks 24 and the features of the master key 22).

Referring to Claim 4, Bass et al. disclose a key duplicating machine as in Claim 1, and further disclose wherein said key is a master key (Fig. 1, master key 22).

Referring to Claim 5, Bass et al. disclose a key duplicating machine as in Claim 1, and further disclose wherein said key is a key blank (Fig. 4b key blank 24).

Referring to Claim 6, Bass et al. disclose a key duplicating machine as in Claim 1, and further disclose wherein said key includes a microchip embedded therein (Para. [0093]).

Referring to Claim 8, Bass et al. disclose a key duplicating machine as in Claim 1, and further disclose further comprising an outer shell (Fig. 1, outer shell 12), having an opening positioned about said key load position (Fig. 1, and Para. [0046]).

Referring to Claim 11, Bass et al. disclose a key duplicating machine as in Claim 1, and further disclose wherein said key load position comprises a base (Fig. 2, base 16), and a door clamp (Fig. 2, door clamp 14).

Referring to Claim 12, Bass et al. disclose a key duplicating machine as in Claim 8, and further disclose wherein said door clamp (Fig. 2, door clamp 14), is movable with respect to said base (Fig. 2, base 16, and Para. [0046]).

Referring to Claim 14, Bass et al. disclose a key duplicating machine as in Claim 1, and further disclose wherein said cutting member (Fig. 8, Left and right cutting wheels 60, 62), is a cutting wheel (Para. [0066]).

Referring to Claim 15, Bass et al. disclose a key duplicating machine comprising a key load position to receive a key (Para. [0048]), an antenna (Para. [0061] - regarding the logic may compare the quantified and defined features of the master key 22 with data in a database regarding known key blanks 24), configured to communicate with a key located at said key load position (Para. [0061]), and an imaging system (Fig. 6, optical imaging device 50), configured to capture data related to said key at said key load position (Para. [0056]).

Referring to Claim 16, Bass et al. disclose a key duplicating machine as in Claim 15, and further disclose wherein said antenna (Para. [0061] – regarding the logic may compare the quantified and defined features of the master key 22 with data in a database regarding known key blanks 24), is configured to transmit data to said key (Paras. [0061], [0065]).

Referring to Claim 17, Bass et al. disclose a key duplicating machine as in Claim 15, and further disclose wherein said antenna (Para. [0061] - regarding the logic may compare the quantified and defined features of the master key 22 with data in a database regarding known key blanks 24), is configured to receive data from said key (Para. [0061] - regarding the logic may make direct comparisons between physical dimensions of known key blanks 24 and the features of the master key 22).

Form PCT/ISA/237 (Box No. V) (July 2009)

### WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No.

PCT/US2011/034564

#### Supplemental Box

In case the space in any of the preceding boxes is not sufficient.

Continuation of:

Referring to Claim 18, Bass et al. disclose a key duplicating machine as in Claim 15, and further disclose wherein said key is a master key (Fig. 1, master key 22).

Referring to Claim 19, Bass et al. disclose a key duplicating machine as in Claim 15, and further disclose wherein said key is a key blank (Fig. 4b key blank 24).

Referring to Claim 20, Bass et al. disclose a key duplicating machine as in Claim 15Claim 15, and further disclose wherein said key includes a microchip embedded therein (Para. [0093]).

Referring to Claim 22, Bass et al. disclose a key duplicating machine as in Claim 15, and further disclose further comprising an outer shell (Fig. 1, outer shell 12), having an opening positioned about said key load position (Fig. 1, and Para. [0046]).

Referring to Claim 25, Bass et al. disclose a key duplicating machine as in Claim 15, and further disclose wherein said key load position comprises a base (Fig. 2, base 16), and a door clamp (Fig. 2, door clamp 14).

Referring to Claim 26, Bass et al. disclose a key duplicating machine as in Claim 25, and further disclose wherein said door clamp (Fig. 2, door clamp 14), is movable with respect to said base (Fig. 2, base 16, and Para. [0046]).

Referring to Claim 27, Bass et al. disclose a key duplicating machine as in Claim 25, and further disclose further comprising at least one lever (Fig. 2, handle 18), configured to actuate movement of said door clamp (Para. [0046]).

Referring to Claim 28, Bass et al. disclose a key duplicating machine as in Claim 15, and further disclose wherein said imaging system (Fig. 6, optical imaging device 50), includes a camera (Para. [0056]).

Claims 7, 21 lack an inventive step under PCT Article 33(3) as being obvious over Bass et al. in view of Kubo et al.

Referring to Claim 7, Bass et al. disclose all the limitations of Claim 1, but do not disclose wherein said antenna comprises at least one pair of windings. However, Kubo et al. teach a coil antenna and portable electronic apparatus comprising wherein an antenna (Fig. 2, antenna 100), comprises at least one pair of windings (Fig. 2, windings 2A, 2B). Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to have an antenna comprise at least one pair of windings as taught by Kubo et al. with the invention of Bass et al., for the purpose of customizing the shape of the antenna.

Referring to Claim 21, Bass et al. disclose all the limitations of Claim 15, but do not disclose wherein said antenna comprises at least one pair of windings. However, Kubo et al. teach a coil antenna and portable electronic apparatus comprising wherein an antenna (Fig. 2, antenna 100), comprises at least one pair of windings (Fig. 2, windings 2A, 2B). Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to have an antenna comprise at least one pair of windings as taught by Kubo et al. with the invention of Bass et al., for the purpose of customizing the shape of the antenna.

Claims 9-10, 13, 23-24 lack an inventive step under PCT Article 33(3) as being obvious over Bass et al. in view of Swayze et al.

Referring to Claim 9, Bass et al. disclose all the limitations of Claim 5, but do not disclose wherein said opening is circular. However, Swayze et al. teach an antenna comprising wherein an opening is circular (Fig. 3, and Pg. 1, Col. 2, Lns. 19-27). Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to have an opening circular as taught by Swayze et al. with the invention of Bass et al., for the purpose of allowing the key into a slot.

Referring to Claim 10, Bass et al. disclose all the limitations of Claim 5, but do not disclose wherein said antenna is wound about said opening. However, Swayze et al. teach an antenna comprising wherein an antenna is wound about an opening (Fig. 3, and Pg. 1, Col. 2, Lns. 46-52). Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to have an antenna wound about said opening as taught by Swayze et al. with the invention of Bass et al., for the purpose of receiving clearer reception.

Referring to Claim 13, Bass et al. in view of Swayze et al. disclose all the limitations of Claim 9, and Bass et al. further disclose further comprising at least one lever (Fig. 2, handle 18), configured to actuate movement of said door clamp (Para. [0046]).

Referring to Claim 23, Bass et al. disclose all the limitations of Claim 22, but do not disclose wherein said opening is circular. However, Swayze et al. teach an antenna comprising wherein an opening is circular (Fig. 3, and Pg. 1, Col. 2, Lns. 19-27). Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to have an opening circular as taught by Swayze et al. with the invention of Bass et al., for the purpose of allowing the key into a slot.

Referring to Claim 24, Bass et al. disclose all the limitations of Claim 22, but do not disclose wherein said antenna is wound about said opening. However, Swayze et al. teach an antenna comprising wherein an antenna is wound about an opening (Fig. 3, and Pg. 1, Col. 2, Lns. 46-52). Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to have an antenna wound about said opening as taught by Swayze et al. with the invention of Bass et al., for the purpose of receiving clearer reception.

Claims 1-28 meet the criteria set out in PCT Article 33(4), and thus have industrial applicability because the subject matter claimed can be made or used in industry.

Form PCT/ISA/237 (Supplemental Box) (July 2009)

#### From the INTERNATIONAL SEARCHING AUTHORITY

To: DAVID CUPAR C/O MCDONALD HOPKINS LLC 600 SUPERIOR AVENUE, E. STE. 2100 CLEVELAND, OH 44114	PCT  NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT AND THE WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY, OR THE DECLARATION  (PCT Rule 44.1)
	Date of mailing (day/month/year) 15 JUL 2011
Applicant's or agent's file reference 16223-00178P 192	FOR FURTHER ACTION See paragraphs 1 and 4 below
International application No. PCT/US2011/034564	International filing date (day/month/year) 29 April 2011
Applicant HY-KO PRODUCTS COMPANY	

The applicant is hereby notified that the international search report and the written opinion of the International Searching Authority have been established and are transmitted herewith. Filing of amendments and statement under Article 19: The applicant is entitled, if he so wishes, to amend the claims of the international application (see Rule 46): When? The time limit for filing such amendments is normally two months from the date of transmittal of the international search report. Where? Directly to the International Bureau of WIPO, 34 chemin des Colombettes 1211 Geneva 20, Switzerland, Facsimile No.: +41 22 338 82 70 For more detailed instructions, see PCT Applicant's Guide, International Phase, paragraphs 9.004 - 9.011. The applicant is hereby notified that no international search report will be established and that the declaration under Article 17(2)(a) to that effect and the written opinion of the International Searching Authority are transmitted herewith. With regard to any protest against payment of (an) additional fee(s) under Rule 40.2, the applicant is notified that: the protest together with the decision thereon has been transmitted to the International Bureau together with any request to forward the texts of both the protest and the decision thereon to the designated Offices. no decision has been made yet on the protest; the applicant will be notified as soon as a decision is made. 4. Reminders The applicant may submit comments on an informal basis on the written opinion of the International Searching Authority to the International Bureau. The International Bureau will send a copy of such comments to all designated Offices unless an international preliminary examination report has been or is to be established. Following the expiration of 30 months from the priority date, these comments will also be made available to the public. Shortly after the expiration of 18 months from the priority date, the international application will be published by the International Bureau. If the applicant wishes to avoid or postpone publication, a notice of withdrawal of the international application, or of the priority claim, must reach the International Bureau before the completion of the technical preparations for international publication (Rules 90bis.1 and 90bis.3). Within 19 months from the priority date, but only in respect of some designated Offices, a demand for international preliminary examination must be filed if the applicant wishes to postpone the entry into the national phase until 30 months from the priority date (in some Offices even later); otherwise, the applicant must, within 20 months from the priority date, perform the prescribed acts for entry into the national phase before those designated Offices. In respect of other designated Offices, the time limit of 30 months (or later) will apply even if no demand is filed within 19 For details about the applicable time limits, Office by Office, see www.wipo.int/pct/en/texts/time\_limits.html and the PCT Applicant's Guide, National Chapters.

Authorized officer

Blaine R. Copenheaver

PCT Helpdesk: 571-272-4300

Telephone No. PCT OSP: 571-272-7774

Facsimile No. 571-273-3201
Form PCT/ISA/220 (July 2010)

Mail Stop PCT, Attn: ISA/US

Name and mailing address of the ISA/

P.O. Box 1450, Alexandria, Virginia 22313-1450

**PCT** 

#### INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference 16223-00178P	FOR FURTHER ACTION	see Form PCT/ISA/220 as well as, where applicable, item 5 below.
International application No.	International filing date (day/mo	onth/year) (Earliest) Priority Date (day/month/year)
PCT/US2011/034564	29 April 2011	14 January 2011
APRICAPRODUCTS COMPANY		
according to Article 18. A copy is being	g transmitted to the International	
1. Basis of the report		
a. With regard to the language, the	international search was carried	out on the basis of:
the international app	lication in the language in which	it was filed.
	nternational application into ed for the purposes of international	which is the language of al search (Rules 12.3(a) and 23.1(b)).
	eport has been established taking this Authority under Rule 91 (R	g into account the rectification of an obvious mistake ule $43.6bis(a)$ ).
c. With regard to any nucleot	ide and/or amino acid sequence	disclosed in the international application, see Box No. I.
2. Certain claims were foun	d unsearchable (see Box No. II).	
3. Unity of invention is lack	ing (see Box No. III).	
4. With regard to the title,		
the text is approved as sub		
the text has been established	d by this Authority to read as foll	lows:
5. With regard to the abstract,		
the text is approved as sub	nitted by the applicant.	
		Authority as it appears in Box No. IV. The applicant actional search report, submit comments to this Authority.
6. With regard to the drawings,	•	
a. the figure of the drawings to be	_	ure No1
as suggested by the a		
as selected by this A	thority, because the applicant fai	led to suggest a figure.
	thority, because this figure better	r characterizes the invention.
b none of the figures is to be	published with the abstract.	

Form PCT/ISA/210 (first sheet) (July 2009)

PCT/US2011/034564

IPC(8) - USPC -	SSIFICATION OF SUBJECT MATTER B23C 3/35 (2011.01) 409/81 o International Patent Classification (IPC) or to both r	national classification and IPC	
B. FIEL	DS SEARCHED	<del></del>	
IPC(8) - B23	ocumentation searched (classification system followed by IC 1/16, 3/35 (2011.01) 110; 83/413; 269/157; 409/81, 84, 132, 277	classification symbols)	
Documentati	ion searched other than minimum documentation to the ex	xtent that such documents are included in the	e fields searched
ł	ata base consulted during the international search (name of oogle Patents	of data base and, where practicable, search te	erms used)
C. DOCUI	MENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where a	ppropriate, of the relevant passages	Relevant to claim No.
х	US 2007/0224008 A1 (BASS et al) 27 September 200	7 (27.09.2007) entire document	1-6, 8, 11-12, 14-20, 22, 25-28
Y			7, 9-10, 13, 21, 23-24
Υ .	US 2008/0252551 A1 (KUBO et al) 16 October 2008 (	16.10.2008) entire document	7, 21
Y	US 2,274,012 A (SWAYZE et al) 24 February 1942 (24	4.02.1942) entire document	9-10, 13, 23-24
Α	US 5,676,504 A (MUELLER et al) 14 October 1997 (14	4.10.1997) entire document	1-28
Furthe	r documents are listed in the continuation of Box C.		l
	categories of cited documents:	"T" later document published after the inter	national filing date or priority
"A" docume to be of	nt defining the general state of the art which is not considered particular relevance		cation but cited to understand
"E" earlier application or patent but published on or after the international "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive			
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  step when the document is taken alone document is taken alone document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is			
means	nt referring to an oral disclosure, use, exhibition or other	combined with one or more other such or being obvious to a person skilled in the	documents, such combination
"P" docume the prior	nt published prior to the international filing date but later than rity date claimed	"&" document member of the same patent	family
Date of the a	ctual completion of the international search	Date of mailing of the international search	ch report
08 July 2011	<u> </u>	15 JUL 2011	
	ailing address of the ISA/US	Authorized officer: Blaine R. Copenhea	aver
P.O. Box 1450	), Alexandria, Virginia 22313-1450	PCT Helpdesk: 571-272-4300	

Form PCT/ISA/210 (second sheet) (July 2009)

### From the INTERNATIONAL SEARCHING AUTHORITY

 $^{\text{To:}}$  DAVID CUPAR C/O MCDONALD HOPKINS LLC 600 SUPERIOR AVENUE, E. STE. 2100 CLEVELAND. OH 44114

### **PCT**

### WRITTEN OPINION OF THE

CLEVELAND, OIT 44114	INTERNA	INTERNATIONAL SEARCHING AUTHORITY			
		(PCT Rule 43 <i>bis</i> .1)			
	<del> </del>				
	Date of mailing (day/month/year)	75 JUL 2011			
Applicant's or agent's file reference	FOR FURTHER	ACTION			
16223-00178P		See paragraph 2 below			
International application No. International in	filing date (day/month/year)	Priority date (day/month/year)			
PCT/US2011/034564 29 April 20	11	14 January 2011			
International Patent Classification (IPC) or both national IPC(8) - B23C 3/35 (2011.01) USPC - 409/81	classification and IPC				
Applicant HY-KO PRODUCTS COMPANY					
This opinion contains indications relating to the following to the fo	owing items				
Box No. I Basis of the opinion	· · · · · · · · · · · · · · · · · · ·				
Box No. II Priority					
· ·	with regard to novelty, inventi	ive step and industrial applicability			
Box No. IV Lack of unity of invention					
Box No. V Reasoned statement under Rul citations and explanations sup		ovelty, inventive step or industrial applicability;			
Box No. VI Certain documents cited					
Box No. VII Certain defects in the internati	onal application				
Box No. VIII Certain observations on the in	ternational application				
2. FURTHERACTION					
If a demand for international preliminary examinat International Preliminary Examining Authority ("IPI other than this one to be the IPEA and the chosen II opinions of this International Searching Authority w	EA") except that this does not a PEA has notified the Internation	apply where the applicant chooses an Authority			
If this opinion is, as provided above, considered to be a written reply together, where appropriate, with ame PCT/ISA/220 or before the expiration of 22 months	endments, before the expiration	of 3 months from the date of mailing of Form			
For further options, see Form PCT/ISA/220.	-				
3. For further details, see notes to Form PCT/ISA/220.	•				
Name and mailing address of the ISA/US Date of compl	etion of this opinion	Authorized officer:			
Mail Stop PCT, Attn: ISA/US	•	Blaine R. Copenheaver			
P.O. Box 1450, Alexandria, Virginia 22313-1450 Facsimile No. 571-273-3201	11	PCT Helpdesk: 571-272-4300			

Form PCT/ISA/237 (cover sheet) (July 2009)

### WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

пистиановая аррпсацов туо, PCT/US2011/034564

Вох	No. I	Basis of this opinion
1.	With re	the international application in the language in which it was filed.  a translation of the international application into which is the language of a translation furnished for the purposes of international search (Rules 12.3(a) and 23.1(b)).
2.		This opinion has been established taking into account the rectification of an obvious mistake authorized by or notified to this Authority under Rule 91 (Rule 43bis.1(a))
3.	establis	egard to any nucleotide and/or amino acid sequence disclosed in the international application, this opinion has been shed on the basis of a sequence listing filed or furnished:  cans)  on paper  in electronic form
	b. (tin	in the international application as filed together with the international application in electronic form subsequently to this Authority for the purposes of search
4.		In addition, in the case that more than one version or copy of a sequence listing has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished.
5.	Additio	onal comments:

Form PCT/ISA/237 (Box No. I) (July 2009)

### WRITTEN UPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

PCT/US2011/034564

Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applic citations and explanations supporting such statement		industrial applicability;		
1. Stateme	ent			
Nov	elty (N)	Claims	7, 9-10, 13, 21, 23-24	YES
		Claims	1-6, 8, 11-12, 14-20, 22, 25-28	NO NO
Inve	entive step (IS)	Claims	None	YES
		Claims	1-28	NO NO
Indu	strial applicability (IA)	Claims	1-28	YES
		Claims	None	NO NO

#### 2. Citations and explanations:

Claims 1-6, 8, 11-12, 14-20, 22, 25-28 lack novelty under PCT Article 33(2) as being anticipated by Bass et al.

Referring to Claim 1, Bass et al. disclose a key duplicating machine comprising a key load position to receive a key (Para. [0048]), an antenna (Para. [0061] - regarding the logic may compare the quantified and defined features of the master key 22 with data in a database regarding known key blanks 24), configured to communicate with a key located at said key load position (Para. [0061]), and a cutting member (Fig. 8, Left and right cutting wheels 60, 62), configured to cut a key pattern into a key blank at said key load position (Para. [0066]).

Referring to Claim 2, Bass et al. disclose a key duplicating machine as in Claim 1, and further disclose wherein said antenna (Para. [0061] – regarding the logic may compare the quantified and defined features of the master key 22 with data in a database regarding known key blanks 24), is configured to transmit data to said key (Paras. [0061], [0065]).

Referring to Claim 3, Bass et al. disclose a key duplicating machine as in Claim 1, and further disclose wherein said antenna (Para. [0061] - regarding the logic may compare the quantified and defined features of the master key 22 with data in a database regarding known key blanks 24), is configured to receive data from said key (Para. [0061] - regarding the logic may make direct comparisons between physical dimensions of known key blanks 24 and the features of the master key 22).

Referring to Claim 4, Bass et al. disclose a key duplicating machine as in Claim 1, and further disclose wherein said key is a master key (Fig. 1, master key 22).

Referring to Claim 5, Bass et al. disclose a key duplicating machine as in Claim 1, and further disclose wherein said key is a key blank (Fig. 4b key blank 24).

Referring to Claim 6, Bass et al. disclose a key duplicating machine as in Claim 1, and further disclose wherein said key includes a microchip embedded therein (Para. [0093]).

Referring to Claim 8, Bass et al. disclose a key duplicating machine as in Claim 1, and further disclose further comprising an outer shell (Fig. 1, outer shell 12), having an opening positioned about said key load position (Fig. 1, and Para. [0046]).

Referring to Claim 11, Bass et al. disclose a key duplicating machine as in Claim 1, and further disclose wherein said key load position comprises a base (Fig. 2, base 16), and a door clamp (Fig. 2, door clamp 14).

Referring to Claim 12, Bass et al. disclose a key duplicating machine as in Claim 8, and further disclose wherein said door clamp (Fig. 2, door clamp 14), is movable with respect to said base (Fig. 2, base 16, and Para. [0046]).

Referring to Claim 14, Bass et al. disclose a key duplicating machine as in Claim 1, and further disclose wherein said cutting member (Fig. 8, Left and right cutting wheels 60, 62), is a cutting wheel (Para. [0066]).

Referring to Claim 15, Bass et al. disclose a key duplicating machine comprising a key load position to receive a key (Para. [0048]), an antenna (Para. [0061] - regarding the logic may compare the quantified and defined features of the master key 22 with data in a database regarding known key blanks 24), configured to communicate with a key located at said key load position (Para. [0061]), and an imaging system (Fig. 6, optical imaging device 50), configured to capture data related to said key at said key load position (Para. [0056]).

Referring to Claim 16, Bass et al. disclose a key duplicating machine as in Claim 15, and further disclose wherein said antenna (Para. [0061] – regarding the logic may compare the quantified and defined features of the master key 22 with data in a database regarding known key blanks 24), is configured to transmit data to said key (Paras. [0061], [0065]).

Referring to Claim 17, Bass et al. disclose a key duplicating machine as in Claim 15, and further disclose wherein said antenna (Para. [0061] - regarding the logic may compare the quantified and defined features of the master key 22 with data in a database regarding known key blanks 24), is configured to receive data from said key (Para. [0061] - regarding the logic may make direct comparisons between physical dimensions of known key blanks 24 and the features of the master key 22).

Form PCT/ISA/237 (Box No. V) (July 2009)

#### Supplemental Box

In case the space in any of the preceding boxes is not sufficient.

Continuation of:

Referring to Claim 18, Bass et al. disclose a key duplicating machine as in Claim 15, and further disclose wherein said key is a master key (Fig. 1, master key 22).

Referring to Claim 19, Bass et al. disclose a key duplicating machine as in Claim 15, and further disclose wherein said key is a key blank (Fig. 4b key blank 24).

Referring to Claim 20, Bass et al. disclose a key duplicating machine as in Claim 15Claim 15, and further disclose wherein said key includes a microchip embedded therein (Para. [0093]).

Referring to Claim 22, Bass et al. disclose a key duplicating machine as in Claim 15, and further disclose further comprising an outer shell (Fig. 1, outer shell 12), having an opening positioned about said key load position (Fig. 1, and Para. [0046]).

Referring to Claim 25, Bass et al. disclose a key duplicating machine as in Claim 15, and further disclose wherein said key load position comprises a base (Fig. 2, base 16), and a door clamp (Fig. 2, door clamp 14).

Referring to Claim 26, Bass et al. disclose a key duplicating machine as in Claim 25, and further disclose wherein said door clamp (Fig. 2, door clamp 14), is movable with respect to said base (Fig. 2, base 16, and Para. [0046]).

Referring to Claim 27, Bass et al. disclose a key duplicating machine as in Claim 25, and further disclose further comprising at least one lever (Fig. 2, handle 18), configured to actuate movement of said door clamp (Para. [0046]).

Referring to Claim 28, Bass et al. disclose a key duplicating machine as in Claim 15, and further disclose wherein said imaging system (Fig. 6, optical imaging device 50), includes a camera (Para. [0056]).

Claims 7, 21 lack an inventive step under PCT Article 33(3) as being obvious over Bass et al. in view of Kubo et al.

Referring to Claim 7, Bass et al. disclose all the limitations of Claim 1, but do not disclose wherein said antenna comprises at least one pair of windings. However, Kubo et al. teach a coil antenna and portable electronic apparatus comprising wherein an antenna (Fig. 2, antenna 100), comprises at least one pair of windings (Fig. 2, windings 2A, 2B). Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to have an antenna comprise at least one pair of windings as taught by Kubo et al. with the invention of Bass et al., for the purpose of customizing the shape of the antenna.

Referring to Claim 21, Bass et al. disclose all the limitations of Claim 15, but do not disclose wherein said antenna comprises at least one pair of windings. However, Kubo et al. teach a coil antenna and portable electronic apparatus comprising wherein an antenna (Fig. 2, antenna 100), comprises at least one pair of windings (Fig. 2, windings 2A, 2B). Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to have an antenna comprise at least one pair of windings as taught by Kubo et al. with the invention of Bass et al., for the purpose of customizing the shape of the antenna.

Claims 9-10, 13, 23-24 lack an inventive step under PCT Article 33(3) as being obvious over Bass et al. in view of Swayze et al.

Referring to Claim 9, Bass et al. disclose all the limitations of Claim 5, but do not disclose wherein said opening is circular. However, Swayze et al. teach an antenna comprising wherein an opening is circular (Fig. 3, and Pg. 1, Col. 2, Lns. 19-27). Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to have an opening circular as taught by Swayze et al. with the invention of Bass et al., for the purpose of allowing the key into a slot.

Referring to Claim 10, Bass et al. disclose all the limitations of Claim 5, but do not disclose wherein said antenna is wound about said opening. However, Swayze et al. teach an antenna comprising wherein an antenna is wound about an opening (Fig. 3, and Pg. 1, Col. 2, Lns. 46-52). Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to have an antenna wound about said opening as taught by Swayze et al. with the invention of Bass et al., for the purpose of receiving clearer reception.

Referring to Claim 13, Bass et al. in view of Swayze et al. disclose all the limitations of Claim 9, and Bass et al. further disclose further comprising at least one lever (Fig. 2, handle 18), configured to actuate movement of said door clamp (Para. [0046]).

Referring to Claim 23, Bass et al. disclose all the limitations of Claim 22, but do not disclose wherein said opening is circular. However, Swayze et al. teach an antenna comprising wherein an opening is circular (Fig. 3, and Pg. 1, Col. 2, Lns. 19-27). Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to have an opening circular as taught by Swayze et al. with the invention of Bass et al., for the purpose of allowing the key into a slot.

Referring to Claim 24, Bass et al. disclose all the limitations of Claim 22, but do not disclose wherein said antenna is wound about said opening. However, Swayze et al. teach an antenna comprising wherein an antenna is wound about an opening (Fig. 3, and Pg. 1, Col. 2, Lns. 46-52). Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to have an antenna wound about said opening as taught by Swayze et al. with the invention of Bass et al., for the purpose of receiving clearer reception.

Claims 1-28 meet the criteria set out in PCT Article 33(4), and thus have industrial applicability because the subject matter claimed can be made or used in industry.

Form PCT/ISA/237 (Supplemental Box) (July 2009)

# **PCT**

# INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Chapter I of the Patent Cooperation Treaty)

(PCT Rule 44bis)

Applicant's or agent's file reference 16223-00153	FOR FURTHER ACTION	See item 4 below
International application No. PCT/US2010/033414	International filing date (day/month/year) 03 May 2010 (03.05.2010)	Priority date (day/month/year) 01 May 2009 (01.05.2009)
International Patent Classification (8th edition unless older edition indicated) See relevant information in Form PCT/ISA/237		
Applicant HY-KO PRODUCTS		

1.	<ol> <li>This international preliminary report on patentability (Chapter I) is issued by the International Bureau on behalf of the International Searching Authority under Rule 44 bis.1(a).</li> </ol>		
2.	This RE	PORT consists of a to	otal of 5 sheets, including this cover sheet.
			erence to the written opinion of the International Searching Authority should be read as a preliminary report on patentability (Chapter I) instead.
3.	This rep	oort contains indication	ns relating to the following items:
	$\boxtimes$	Box No. I	Basis of the report
		Box No. II	Priority
		Box No. III	Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
		Box No. IV	Lack of unity of invention
	X	Box No. V	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
		Box No. VI	Certain documents cited
		Box No. VII	Certain defects in the international application
		Box No. VIII	Certain observations on the international application
4.	but not,		communicate this report to designated Offices in accordance with Rules 44bis.3(c) and 93bis.1 licant makes an express request under Article 23(2), before the expiration of 30 months from 2).

	Date of issuance of this report 01 November 2011 (01.11.2011)
The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer Lingfei Bai
Facsimile No. +41 22 338 82 70	e-mail: pt02.pct@wipo.int

Form PCT/IB/373 (January 2004)

PCT Helpdesk: 571-272-4300 PCT OSP: 571-272-7774

### PATENT COOPERATION TREATY

•	ATENI COOLE	KATION TREA	
From the INTERNATIONAL SEARCHING AUTHO	ORITY .		
To: DAVID CUPAR MCDONALD HOPKINS LLC			PCT
600 SUPERIOR AVENUE, EA SUITE 2100 CLEVELAND, OH 44114	151		ITTEN OPINION OF THE ONAL SEARCHING AUTHORITY
			(PCT Rule 43bis.1)
		Date of mailing (day/month/year)	18 AUG 2010
Applicant's or agent's file reference		FOR FURTHER A	CTION
16223-00153			See paragraph 2 below
International application No.	International filing date	(day/month/year)	Priority date (day/month/year)
PCT/US2010/033414	03 May 2010		01 May 2009
International Patent Classification (IPC) of IPC(8) - B23C 1/16 (2010.01) USPC - 409/81  Applicant HY-KO PRODUCTS	or both national classificat	tion and IPC	
Box No. IV Lack of unity of Box No. V . Reasoned state	ment of opinion with regard of invention ement under Rule 43 <i>bis</i> . 1(a explanations supporting su ments cited s in the international appli	rd to novelty, inventive a)(i) with regard to nov ch statement cation	e step and industrial applicability elty, inventive step or industrial applicability;
2. FURTHER ACTION			•
International Preliminary Examining	Authority ("IPEA") excernd the chosen IPEA has n	pt that this does not ap otified the Internation	be considered to be a written opinion of the ply where the applicant chooses an Authority al Bureau under Rule 66.1 bis(b) that written
	priate, with amendments,	before the expiration of	the applicant is invited to submit to the IPEA of 3 months from the date of mailing of Form r expires later.
For further options, see Form PCT/IS	SA/220.	•	
3. For further details, see notes to Form	PCT/ISA/220.		
Name and mailing address of the ISA/US	Date of completion of t	his opinion	Authorized officer:
Mail Stop PCT, Attn: ISA/US Commissioner for Patents	•	•	Blaine R. Copenheaver
P.O. Box 1450, Alexandria, Virginia 22313-1450	05 August 2010		PCT Heledack 574 079 4999

Form PCT/ISA/237 (cover sheet) (July 2009)

Facsimile No. 571-273-3201

# PCT/US2010/033414 18.08.2010

# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/US2010/033414

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1

Form PCT/ISA/237 (Box No. I) (July 2009)

### PCT/US2010/033414 18.08.2010

# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/US2010/033414

Box No. V	Reasoned statement ur citations and explanati		bis.1(a)(i) with regard to novelty, inventing such statement	ive step or industrial applicability;
1. Statem	ent			
Nov	relty (N)	Claims	1-23	YES
		Claims	None	NO
Inve	entive step (IS)	Claims	23	YES
		Claims	1-22	NO NO
Indi	strial applicability (IA)	Claims	1-23	YES
		Claims	None	NO

#### Citations and explanations:

Claims 1-22 lack an inventive step under PCT Article 33(3) as being obvious over Bass et al in view of Wills et al.

Bass et al discloses in Fig. 1-10, a key identification system (Page 2, Par. (0040)) comprising: [Clm. 1] an imaging system (as stated on Page 5, Par. (0062), wherein the system includes an optical imaging device (digital camera) and a laser scanner) configured to capture an image of the tip (not shown, but stated on Page 5, Par. (0062), lines 7 and 8) of a master key (22), wherein said captured image of said tip includes a contour of a groove in said master key (as stated on Page 5, Par. (0062), lines 9-13); and a logic configured to analyze said captured image to determine characteristics of said groove based on said contour (as stated on Page 5, Par. (0061), lines 1-9) and further to compare said characteristics of said groove based on said contour (as stated on Page 5, Par. (0061), lines 1-9) and further to compare said characteristics of said groove based on said contour (as stated on Page 5, Par. (0061), lines 9-14); [Clm. 3] wherein said imaging system includes a light (comparing sequence is stated on Page 3, Par. 0043, lines 6-7 and 20-23); [Clm. 2] wherein said logic includes a database containing data related to characteristics of grooves of known key blanks (as stated on Page 5, Par. (0061), lines 9-14); [Clm. 3] wherein said imaging system includes a camera (50, as stated on Page 4, Par. (0056)); [Clm. 4] wherein said inging system includes a light (lighting panel (52)); [Clm. 6] wherein said (ablotking plate (56)); [Clm. 8] wherein said captured image comprises a silhouette of said tip of said master key (as stated on Page 4, Par. (0055), lines 12-16); [Clm. 9] wherein said captured image comprises a silhouette of said tip of said master key (as stated on Page 4, Par. (0055), lines 12-16); [Clm. 11, 12, 13] wherein said logic accounts for rolling, tilting and tipping of said master key (as stated on Page 4, Par. (0055), lines 12-16); [Clm. 15] an overhead camera (as stated on Page 5,

this case a laser (39) as shown in Fig. 6-9, which generates a beam of light to analyze the key's surface) captures an image at an angle (8, as shown in Fig. 6-9) between perpendicular and parallel (as shown in Fig. 6-9, and as stated in Col. 12, lines 4-16) to the blade of said master key; [Clm. 10] wherein said characteristics of said groove include the geometric shape of said groove (wherein the groove(s) are considered to be the milling (as stated in Col. 5, lines 55-65), in which the geometric shapes being analyzed are considered to be the "non-planar portions" of the side of the key as stated in Col. 11, lines 51-60); [Clm. 14] a mirror (29, as seen in Fig. 9) positioned to reflect an image of said master key to be captured by said camera (as stated in Col. 7, lines 45-50; [Clm. 18] wherein said logic calculates the geometry of the groove contour as though the tip were squarely cut based on data (wherein the bitting analysis (stated in Col. 16, lines 52-60) of the logic determines the groove contour in which the tip back to tip head are measured to determine cut geometry (as stated in Col. 19, lines 42-57) from both said imaging system and said overhead camera (as stated in Col. 19, lines 60-64)). Therefore, it would have been obvious to one having ordinary skill in the art at the time of the invention to modify Bass et al's key identification system with an imaging system: that an image at an angle between the perpendicular and parallel axis of the key blade; wherein the characteristics of the groove include the geometric shape of the groove; a mirror positioned to reflect the image of the master key to be captured by camera; and wherein the logic calculates the groove contour of the key through the tip of the key, all as taught Wills et al for the purpose of providing an exact image of the master key which is to be duplicated onto another key blank. In regards to Claim 7, wherein a shield is used to direct light away from the master key, a recitation of the intended use of the claimed

In regards to Claim 7, wherein a shield is used to direct light away from the master key, a recitation of the intended use of the claimed invention must result in a structural difference between the claimed invention and the prior art in order to patentably distinguish the claimed invention from the prior art. If the prior art is capable of performing the intended use then it meets the claim. Therefore, the shield of Bass et al is capable of being used to direct light away from the master key.

Form PCT/ISA/237 (Box No. V) (July 2009)

# PCT/US2010/033414 18.08.2010 -

# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No.

PCT/US2010/033414

Supplemental Box		
in case the space in any of the preceding boxes is not sufficient.  Continuation of:		
Claim 23 meets the criteria set out in PCT Article 33(2)-(3), because the prior art does not fairly teach or fairly suggest wherein a camera is positioned above the blade of the key and configured to capture an image of a groove in the bottom (opposite surface) of the blade. Wills et al is the closest reference to disclose the claim limitation, however, Wills et al does not disclose wherein the imaging system is positioned at an angle above the key blade, but is angled to capture the bottom groove by the tip is a laser beam, not a camera.		
claims 1-23 meet the criteria set out in PCT Article 33(4), and thus have industrial applicability because the subject matter claimed hade or used in industry.	can be	

Form PCT/ISA/237 (Supplemental Box) (July 2009)

# **PCT**

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference 16223-00153	FOR FURTHER ACTION	as well	see Form PCT/ISA/220 as, where applicable, item 5 below.
International application No.	International filing date (day/	month/year)	(Earliest) Priority Date (day/month/year)
PCT/US2010/033414	03 May 2010		01 May 2009
APPROPRODUCTS			
according to Article 18. A copy is being This international search report consists  It is also accompanied by a  1. Basis of the report  a. With regard to the language, the the international app  a translation of the in a translation furnishe  b. This international search is authorized by or notified to	of a total of sheets. copy of each prior art documents cinternational search was carried lication in the language in which ternational application into to for the purposes of international application into to the purposes of international application into to the purposes of international application into to the purposes of international application into to the purposes of international application into to the purposes of international application into to the purposes of international application into to the purposes of international application into to the purpose of international application into to the purpose of international application into to the purpose of international application into to the purpose of international application into to the purpose of international application into to the purpose of international application into to the purpose of international application into to the purpose of international application into to the purpose of international application into to the purpose of international application into to the purpose of international application into to the purpose of international application into to the purpose of international application into to the purpose of international application into to the purpose of international application into to the purpose of international application into	at cited in this  d out on the bath it was filed.  anal search (Ru  ing into accour  Rule 43.6bis(a	which is the language of les 12.3(a) and 23.1(b)).  In the rectification of an obvious mistake
2. Certain claims were foun	d unsearchable (see Box No. I	i).	·
3. Unity of invention is lack	ing (see Box No. III).		
4. With regard to the title,  the text is approved as sub.  the text has been established	mitted by the applicant.  ed by this Authority to read as f	ollows:	·
5. With regard to the abstract, the text is approved as submitted by the applicant. the text has been established, according to Rule 38.2, by this Authority as it appears in Box No. IV. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.			
6. With regard to the drawings,			
as selected by this A	•	failed to sugge	

Form PCT/ISA/210 (first sheet) (July 2009)

### PCT/US2010/033414 18.08.2010

# INTERNATIONAL SEARCH REPORT International application No. PCT/US2010/033414 CLASSIFICATION OF SUBJECT MATTER IPC(8) - B23C 1/16 (2010.01) USPC - 409/81 According to International Patent Classification (IPC) or to both national classification and IPC FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) IPC(8) - B23C 1/16, 3/35; B23Q 3/00 (2010.01) USPC - 76/110; 269/142, 226, 227, 329; 382/100, 181, 218, 219; 409/81, 82, 131, 132 Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) USPTO EAST System (US, USPG-PUB, EPO, DERWENT) C. DOCUMENTS CONSIDERED TO BE RELEVANT Category\* Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No. US 2007/0224008 A1 (BASS et al) 27 September 2007 (27.09.2007) entire document US 6,064,747 A (WILLS et al) 16 May 2000 (16.05.2000) entire document 1-22 US 6,406,227 B1 (TITUS et al) 18 June 2002 (18.06.2002) entire document 1-22 US 2002/0031251 A1 (CAMPBELL et al) 14 March 2002 (14.03.2002) entire document 1-22 US 6,449,381 B1 (YANOVSKY et al) 10 September 2002 (10.09.2002) entire document 1-22 Further documents are listed in the continuation of Box C. Special categories of cited documents: later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention document defining the general state of the art which is not considered to be of particular relevance earlier application or patent but published on or after the international "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art document referring to an oral disclosure, use, exhibition or other means document published prior to the international filing date but later than document member of the same patent family Date of the actual completion of the international search Date of mailing of the international search report 18 AUG 2010 05 August 2010

Authorized officer:

PCT Helpdesk: 571-272-4300 PCT OSP: 571-272-7774

Blaine R. Copenheaver

Form PCT/ISA/210 (second sheet) (July 2009)

Facsimile No. 571-273-3201

P.O. Box 1450, Alexandria, Virginia 22313-1450

Name and mailing address of the ISA/US

Mail Stop PCT, Attn: ISA/US, Commissioner for Patents

PCT Helpdesk: 571-272-4300 PCT OSP: 571-272-7774

### PATENT COOPERATION TREATY

•	ATENI COOLE	KATION TREA	
From the INTERNATIONAL SEARCHING AUTHO	ORITY .		
To: DAVID CUPAR MCDONALD HOPKINS LLC			PCT
600 SUPERIOR AVENUE, EA SUITE 2100 CLEVELAND, OH 44114	151		ITTEN OPINION OF THE ONAL SEARCHING AUTHORITY
			(PCT Rule 43bis.1)
		Date of mailing (day/month/year)	18 AUG 2010
Applicant's or agent's file reference		FOR FURTHER A	CTION
16223-00153			See paragraph 2 below
International application No.	International filing date	(day/month/year)	Priority date (day/month/year)
PCT/US2010/033414	03 May 2010		01 May 2009
International Patent Classification (IPC) of IPC(8) - B23C 1/16 (2010.01) USPC - 409/81  Applicant HY-KO PRODUCTS	or both national classificat	tion and IPC	
Box No. IV Lack of unity of Box No. V . Reasoned state	ment of opinion with regard of invention ement under Rule 43 <i>bis</i> . 1(a explanations supporting su ments cited s in the international appli	rd to novelty, inventive a)(i) with regard to nov ch statement cation	e step and industrial applicability elty, inventive step or industrial applicability;
2. FURTHER ACTION			•
International Preliminary Examining	Authority ("IPEA") excernd the chosen IPEA has n	pt that this does not ap otified the Internation	be considered to be a written opinion of the ply where the applicant chooses an Authority al Bureau under Rule 66.1 bis(b) that written
	priate, with amendments,	before the expiration of	the applicant is invited to submit to the IPEA of 3 months from the date of mailing of Form r expires later.
For further options, see Form PCT/IS	SA/220.	•	
3. For further details, see notes to Form	PCT/ISA/220.		
Name and mailing address of the ISA/US	Date of completion of t	his opinion	Authorized officer:
Mail Stop PCT, Attn: ISA/US Commissioner for Patents	•	•	Blaine R. Copenheaver
P.O. Box 1450, Alexandria, Virginia 22313-1450	05 August 2010		PCT Heledack 574 079 4999

Form PCT/ISA/237 (cover sheet) (July 2009)

Facsimile No. 571-273-3201

# PCT/US2010/033414 18.08.2010

# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/US2010/033414

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is opinion has been
nished, the required plication as filed or
1

Form PCT/ISA/237 (Box No. I) (July 2009)

International application No. PCT/US2010/033414

Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; Box No. V citations and explanations supporting such statement Statement Novelty (N) Claims 1-23 YES NO Claims None 23 Inventive step (IS) Claims YES Claims 1-22 NO Industrial applicability (IA) Claims 1-23 YES Claims NO None

#### 2. Citations and explanations:

Claims 1-22 lack an inventive step under PCT Article 33(3) as being obvious over Bass et al in view of Wills et al.

Bass et al discloses in Fig. 1-10, a key identification system (Page 2, Par. (0040)) comprising: [Clm. 1] an imaging system (as stated on Page 5, Par. (0062), wherein the system includes an optical imaging device (digital camera) and a laser scanner) configured to capture an image of the tip (not shown, but stated on Page 5, Par. (0062), lines 7 and 8) of a master key (22), wherein said captured image of said tip includes a contour of a groove in said master key (as stated on Page 5, Par. (0062), lines 9-13); and a logic configured to analyze said captured image to determine characteristics of said groove based on said contour (as stated on Page 5, Par. (0061), lines 1-9) and further to compare said characteristics of said groove based on said contour (as stated on Page 5, Par. (0061), lines 1-9) and further to compare said characteristics of said groove based on said contour (as stated on Page 5, Par. (0061), lines 9-14); [Clm. 3] wherein said imaging system includes a light (comparing sequence is stated on Page 3, Par. 0043, lines 6-7 and 20-23); [Clm. 2] wherein said logic includes a database containing data related to characteristics of grooves of known key blanks (as stated on Page 5, Par. (0061), lines 9-14); [Clm. 3] wherein said imaging system includes a camera (50, as stated on Page 4, Par. (0056)); [Clm. 4] wherein said inging system includes a light (lighting panel (52)); [Clm. 6] wherein said (ablotking plate (56)); [Clm. 8] wherein said captured image comprises a silhouette of said tip of said master key (as stated on Page 4, Par. (0055), lines 12-16); [Clm. 9] wherein said captured image comprises a silhouette of said tip of said master key (as stated on Page 4, Par. (0055), lines 12-16); [Clm. 11, 12, 13] wherein said logic accounts for rolling, tilting and tipping of said master key (as stated on Page 4, Par. (0055), lines 12-16); [Clm. 15] an overhead camera (as stated on Page 5,

this case a laser (39) as shown in Fig. 6-9, which generates a beam of light to analyze the key's surface) captures an image at an angle (9, as shown in Fig. 6-9) between perpendicular and parallel (as shown in Fig. 6-9, and as stated in Col. 12, lines 4-16) to the blade of said master key; [Clm. 10] wherein said characteristics of said groove include the geometric shape of said groove (wherein the groove(s) are considered to be the milling (as stated in Col. 5, lines 55-65), in which the geometric shapes being analyzed are considered to be the "non-planar portions" of the side of the key as stated in Col. 11, lines 51-60); [Clm. 14] a mirror (29, as seen in Fig. 9) positioned to reflect an image of said master key to be captured by said camera (as stated in Col. 7, lines 45-50; [Clm. 18] wherein said logic calculates the geometry of the groove contour as though the tip were squarely cut based on data (wherein the bitting analysis (stated in Col. 16, lines 52-60) of the logic determines the groove contour in which the tip back to tip head are measured to determine cut geometry (as stated in Col. 19, lines 42-57) from both said imaging system and said overhead camera (as stated in Col. 19, lines 60-64)).

Therefore, it would have been obvious to one having ordinary skill in the art at the time of the invention to modify Bass et al's key identification system with an imaging system: that an image at an angle between the perpendicular and parallel axis of the key blade; wherein the characteristics of the groove include the geometric shape of the groove; a mirror positioned to reflect the image of the master key to be captured by camera; and wherein the logic calculates the groove contour of the key through the tip of the key, all as taught Wills et al for the purpose of providing an exact image of the master key which is to be duplicated onto another key blank.

In regards to Claim 7, wherein a shield is used to direct light away from the master key, a recitation of the intended use of the claimed invention must result in a structural difference between the claimed invention and the prior art in order to patentably distinguish the claimed invention from the prior art. If the prior art is capable of performing the intended use then it meets the claim. Therefore, the shield of Bass et al is capable of being used to direct light away from the master key.

Form PCT/ISA/237 (Box No. V) (July 2009)

# PCT/US2010/033414 18.08.2010 -

# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No.

PCT/US2010/033414

Supplemental Box		
in case the space in any of the preceding boxes is not sufficient.  Continuation of:		
Claim 23 meets the criteria set out in PCT Article 33(2)-(3), because the prior art does not fairly teach or fairly suggest wherein a camera is positioned above the blade of the key and configured to capture an image of a groove in the bottom (opposite surface) of the blade. Wills et al is the closest reference to disclose the claim limitation, however, Wills et al does not disclose wherein the imaging system is positioned at an angle above the key blade, but is angled to capture the bottom groove by the tip is a laser beam, not a camera.		
claims 1-23 meet the criteria set out in PCT Article 33(4), and thus have industrial applicability because the subject matter claimed hade or used in industry.	can be	

Form PCT/ISA/237 (Supplemental Box) (July 2009)

# **PCT**

# INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Chapter I of the Patent Cooperation Treaty)

(PCT Rule 44bis)

Applicant's or agent's file reference 16223-00058PCT	FOR FURTHER ACTION	See item 4 below	
	International filing date (day/month/year) 23 January 2007 (23.01.2007)	Priority date (day/month/year) 23 January 2006 (23.01.2006)	
International Patent Classification (8th edition unless older edition indicated) See relevant information in Form PCT/ISA/237			
Applicant HY-KO PRODUCTS COMPANY			

1.	This international preliminary report on patentability (Chapter I) is issued by the International Bureau on behalf of the International Searching Authority under Rule 44 bis.1(a).			
2.	This REPORT consists of a total	of 6 sheets, including this cover sheet.		
		ence to the written opinion of the International Searching Authority should be read as a reference report on patentability (Chapter I) instead.		
3.	This report contains indications	relating to the following items:		
	Box No. I	Basis of the report		
	Box No. II	Priority		
	Box No. III	Non-establishment of opinion with regard to novelty, inventive step and industrial applicability		
	Box No. IV	Lack of unity of invention		
	Box No. V	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement		
	Box No. VI	Certain documents cited		
	Box No. VII	Certain defects in the international application		
	Box No. VIII	Certain observations on the international application		
4.		ommunicate this report to designated Offices in accordance with Rules 44bis.3(c) and 93bis.1 but makes an express request under Article 23(2), before the expiration of 30 months from the priority		

	Date of issuance of this report 29 July 2008 (29.07.2008)
The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer  Beate Giffo-Schmitt
Facsimile No. +41 22 338 82 70	e-mail: pt03.pct@wipo.int

Form PCT/IB/373 (January 2004)

From the INTERNATIONAL SEARCHING AUTHO	PRITY				
To: Risto Pribisich Mcdonald Hopkins LLC 600 Superior Avenue, E. Suite 2100 Cleveland, OH 44114		PCT  WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY			
			(PCT Rule 43bis.1)		
***************************************		Date of mailing (day/month/year)	28 SEP 2007		
Applicant's or agent's file reference		FOR FURTHER A	CTION		
16223-00058PCT		<u> </u>	See paragraph 2 below		
International application No. PCT/US 07/02015	International filing date 23 January 2007 (2)	• •	Priority date (day/month/year) 23 January 2006 (23.01.2006)		
	`	•	23 January 2000 (23.01.2000)		
International Patent Classification (IPC) of IPC(8) - B23C 1/16 (2007.01) USPC - 409/81	r both national classificat	non and IPC			
Applicant Hy-Ko Products Compar	ny				
This opinion contains indications rela	ting to the following iten	ns:			
Box No. I Basis of the opi	inion				
Box No. II Priority					
Box No. III Non-establishm	nent of opinion with regar	d to novelty, inventive	e step and industrial applicability		
Box No. IV Lack of unity of	Box No. IV Lack of unity of invention				
Box No. V Reasoned stater citations and ex	ment under Rule 43 <i>bis.</i> 1(a planations supporting suc	a)(i) with regard to nov ch statement	elty, inventive step or industrial applicability;		
Box No. VI Certain docume	ents cited				
Box No. VII Certain defects	in the international applic	cation			
Box No. VIII Certain observa	tions on the international	application			
2. FURTHER ACTION		1. al.t			
International Preliminary Examining A	Authority ("IPEA") except d the chosen IPEA has no	ot that this does not apport of the state of the internations of the internations of the state o	e considered to be a written opinion of the ply where the applicant chooses an Authority at Bureau under Rule 66.1 bis(b) that written		
If this opinion is, as provided above, c a written reply together, where approp	considered to be a written oriate, with amendments,	opinion of the IPEA, the before the expiration of	the applicant is invited to submit to the IPEA of 3 months from the date of mailing of Form		
PCT/ISA/220 or before the expiration For further options, see Form PCT/ISA	-	riority date, whichever	r expires later.		
3. For further details, see notes to Form	PCT/ISA/220.				
Name and mailing address of the ISA/US	Date of completion of th	nis opinion	Authorized officer:		
Mail Stop PCT, Attn: ISA/US Commissioner for Patents	-	•	Lee W. Young		
P.O. Box 1450, Alexandria, Virginia 22313-1450	11 August 2007 (1	1.08.2007)	PCT Helpdesk: 571-272-4300		
Facsimile No. 571-273-3201 PCT OSP: 571-272-7774					

Form PCT/ISA/237 (cover sheet) (April 2007)

International application No.

PCT/US 07/02015

Box	No. I	Basis of this opinion
1.	With r	egard to the language, this opinion has been established on the basis of:
	$\times$	the international application in the language in which it was filed.
		a translation of the international application into which is the language of a translation furnished for the purposes of international search (Rules 12.3(a) and 23.1(b)).
2.		This opinion has been established taking into account the <b>rectification of an obvious mistake</b> authorized by or notified to this Authority under Rule 91 (Rule 43 <i>bis</i> .1(a))
3.		egard to any nucleotide and/or amino acid sequence disclosed in the international application, this opinion has been shed on the basis of:
	a. typ	e of material
		a sequence listing
		table(s) related to the sequence listing
	b. for	mat of material
	L	on paper
	L	in electronic form
	c. tim	ne of filing/furnishing
	Ļ	contained in the international application as filed
	L	filed together with the international application in electronic form
	L	furnished subsequently to this Authority for the purposes of search
4.		In addition, in the case that more than one version or copy of a sequence listing and/or table(s) relating thereto has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished.
5.	Additi	onal comments:

Form PCT/ISA/237 (Box No. I) (April 2007)

International application No.

PCT/US 07/02015

Box No. V Reasoned statement u citations and explanat			vis.1(a)(i) with regard to novelty, inventive step ng such statement	or industrial applicability;	
1.	Statemen	t			
	Novel	ty (N)	Claims	7, 15 and 20	YES
			Claims	1-6, 8-14, 16-19, 21-26	NO
	Inven	tive step (IS)	Claims	none	YES
			Claims	1-26	NO NO
	Indust	trial applicability (IA)	Claims	1-26	YES
			Claims	none	NO

#### Citations and explanations:

Claims 1-6, 8-14, 16-19 and 21-23 lack novelty under PCT Article 33(3) as being anticipated by US 5,908,273 A to Titus et al. (herein after

With respect to claim 1, Titus discloses an assembly (key duplicating apparatus 200, Fig 2-5) for duplicating a master key (original key 202; col 3 In 58-61, Fig 2-5), the assembly comprising: an optical imaging device (video camera 804, video image processor 808, Fig 8; control system 900, Fig 9) for capturing an optical image (image of key; col 8 in 1-27, Fig 8) of at least a portion of the master key, a logic (video image processor 808; col 8 In 1-13) for determining a key pattern (key code 700; col 6 In 21-22, col 7 In 6-20, Fig 7a, 7b) of the master key from the optical image of master key, a clamping assembly (key holder 204; col 3 in 66 to col 4 in 4, Fig 2) for clamping a key blank (blank preform 246; col 3 in 57-65) and a first cutting member (key-code cutter 252; col 4 in 44-50, Fig 4) for cutting a key pattern (key-code; col 4 In 51-56, Fig 4) into the key blank.

With respect to claim 2, Titus discloses an assembly (key duplicating apparatus 200; Fig 2-5) where the key pattern (key-code; col 4 in 51-56) cut into the key blank (blank preform 246; col 4 ln 51-56) is a duplication of the key pattern of master key (original key 202; col 3 ln 58-61, Fig 2-5).

With respect to claim 3, Titus discloses an assembly (key duplicating apparatus 200; Fig 2-5) where the logic (control system 900; col 9 In 1-34, Fig 9) directs the first cutting member (key-code cutter 252 via cutting tool motor 260) during duplication of the key pattern (keycode; col 4 ln 51-56) of master key (original key 202; col 3 ln 58-61, Fig 2-5).

With respect to claim 4, Titus discloses an assembly (key duplicating apparatus 200; Fig 2-5) where the optical image (image of key; col 8 In 1-27, Fig 8) is a digital image (via video image processor 808 is controlled by embedded PC central control system 902 via digital I/O interface 930; col 9 In 1-25).

With respect to claim 5, Titus discloses an assembly (key duplicating apparatus 200; Fig 2-5) where the clamping assembly (key holder 204; col 3 ln 66 to col 4 ln 4, Fig 2) further comprises, a first clamping member (x-translation stage 214, y-translation stage 218, key holder 204; col 3 ln 66 to col 4 ln 14, Fig 2) having a first clamping surface (x-translation stage 214) defining a width (x-direction; col 3 ln 66 to col 4 In 14) and a second clamping member (y-translation stage 218; col 3 In 66 to col 4 In 14) having a second clamping surface (upper surface of y-translation stage 218) defining a width (y-direction; col 3 in 66 to col 4 in 14).

With respect to claim 6, Titus discloses an assembly (key duplicating apparatus 200; Fig 2-5) where the key blank (blank preform 245) further comprises a blade (key shaft 102; col 3 ln 36-44, Fig 1A) defining a width where the width of the first clamping member (key holder 204; coi 3 ln 66 to coi 4 ln 4, Fig 2) and the width of the second clamping member (coi 13 ln 53-62) are less than the width of the blade of the key blank.

With respect to claim 8, Titus discloses an assembly (key duplicating apparatus 200; Fig 2-5) where the first cutting member (key code cutter 252, edge cutters 250; col 5 In 1-29) is a cutting wheel (Fig 4, 5).

With respect to claim 9, Titus discloses an assembly (key duplicating apparatus 200; Fig 2-5) further comprising a retention mechanism (key holder 204, Fig 2) for retaining the master key (original key 202; col 3 In 58-61) or the key blank (blank preform 245).

With respect to claim 10, Titus discloses an assembly (key duplicating apparatus 200; Fig 2-5) where the retention mechanism further comprises a base (x-translation stage 214, y-translation stage 218, key holder 204; col 3 in 66 to col 4 in 14, Fig 2) a retention plate (tip holder 248; col 12 in 29-39) and a biasing member (key holder solenoid 928; col 9 in 23-25, Fig 9) biasing the retention plate towards the

With respect to claim 11, Titus discloses an assembly (key duplication apparatus 200; Fig 2-5) further comprising a first positioning member (x-translation stage 214, key holder 204; col 3 In 66 to col 4 In 14, Fig 2) and a second positioning member (y-translation stage 218), wherein the first and second positioning members engage the master key (original key 202) or the key blank (blank preform 245) to position the master key or the key blank relative to the assembly.

See continuation sheet.

Form PCT/ISA/237 (Box No. V) (April 2007)

International application No.

PCT/US 07/02015

#### Supplemental Box

In case the space in any of the preceding boxes is not sufficient.

Continuation of: Box V, item 2. Citations and explanations.

With respect to claim 12, Titus discloses an assembly (key duplication apparatus 200; Fig 2-5) further comprising a user interface (display 920; col 9 ln 1-10) for providing output to a user.

With respect to claim 13, Titus discloses an assembly (key duplication apparatus 200; Fig 2-5) where the logic determines the key blank (blank preform 245) from the optical image of the master key (original key 202). (Cutting tool motor 260 is controlled by the central control system 902: col 9 in 11-25).

With respect to claim 14, Titus discloses an assembly (key duplication apparatus 200; Fig 2-5) where identity of the determined key blank is output to the user by the user interface. (Titus discloses wherein if the measured key is determined to be lost or stolen, a signal may be directed through the communications interface 940; col 12 ln. 10-14,)

With respect to claim 16, Titus discloses an assembly (key duplication apparatus 200; Fig 2-5) where the key blank (blank preform 245) may be clamped in a first orientation or a second orientation. (Titus discloses inserting a double-sided key in either orientation; col 3 In 45-

With respect to claim 17, Titus discloses an method for duplicating a master key (original key 202) the method comprising capturing an optical image (optical image; col 8 In 1-13) of at least a portion of the master key, providing a logic (via central control system 902) to determine a key pattern (key code) of the master key from the optical image of the master key, securing a key blank (blank preform 245) and cutting a key pattern into the key blank. (See col 9 ln 1-25, col 10 ln 6-22.)

With respect to claim 18, Titus discloses a method where the key pattern (key code) cut into the key blank (blank preform 245) is a duplication of the key pattern of the master key (original key 202). (See col 3 In 57-65.)

With respect to claim 19, Titus discloses a method further comprising using logic to determine the key blank (blank preform 245). (See col 12 ln 20-39.)

With respect to claim 21, Titus discloses a method further comprising, capturing an optical image (optical image; col 8 In 1-13) of at least a portion of the key blank (blank preform 245) and using the logic to validate the key blank matches the master key (original key 202). (Titus discloses using edge cutters 250 stroke or pass pattern computed that approximates the keyway profile shape is within acceptable tolerances: col 13 ln. 3-12.)

With respect to claim 22, Titus discloses a method further comprising capturing an optical image of at least a portion of the key pattern cut into key blank (blank preform 245), using the logic to determine the key pattern cut into key blank, and using the logic to compare the key pattern cut into the key blank with the key pattern of the master key. (See col 11 in 36-47).

With respect to claim 23, Titus discloses a method comprising using the logic to validate that the key pattern cut into the key plank (blank preform 245) is within a predefined tolerance of the key pattern of the master key (original key 202). (See col 11 ln 36-47).

Claims 24-26 lack novelty under PCT Article 33(2) as being anticipated by 2004/0095380 A1 to Bass et al. (herein after Bass).

With respect to claim 24, Bass discloses an assembly for identifying a key blank (identification system 10, Key Identification System KIDS) for the duplication of a master key (master key; Bass para [0048], the assembly comprising, an optical imaging device (machinerecognizable object verification system, bar code scanner; Bass para [0058]) for capturing an optical image of at least a portion of the master key, a logic for determining at least one feature (manufacturer; Bass para [0031]) of the master key from the optical image of aster key for comparison with at least one feature of a plurality of key blanks, and identifying which of the plurality of key blanks is the proper key blank (via indicative display rack; Bass para [0035], [0041]) and identifying which of the plurality of key blanks is the proper key blank for duplication of the master key.

With respect to claim 25, Bass discloses an assembly where identity of the proper key blank is output to a user by a user interface (display rack; Bass para [0035], [0041]).

With respect to claim 26, Bass discloses an assembly wherein the user interface is a display rack (display rack; Bass para [0035], [0041]) for the display of the plurality of key blanks.

See continuation sheet.

Form PCT/ISA/237 (Supplemental Box) (April 2007)

International application No. PCT/US 07/02015

#### Supplemental Box

In case the space in any of the preceding boxes is not sufficient.

Continuation of: Box V, item 2. Citations and explanations.

Claims 7 and 20 lack an inventive step under PCT Article 33(3) as being obvious over Titus.

With respect to claim 7, Titus discloses an assembly (key duplication apparatus 200; Fig 2-5) further comprising a second cutting member (edge cutters 250; col 5 In 4-29, Fig 18) for cutting the key pattern into key blank (blank preform 245), yet fails to disclose where the first and second cutting members are capable of simultaneously cutting the key pattern into the key blank. However, Titus discloses forming a keyway on a first side of a blank and subsequently forming a keyway on a second side of a blank for permitting maximum material removal per pass. (col 13 in 3-23, Claim 37). Hence, it would have been obvious to one of skill in the art to duplicate the first cutting member of Titus to construct an assembly comprising a second cutting member for cutting the key pattern into a key blank, where the first and second cutting members are capable of simultaneously cutting the key pattern into a key blank for maximizing material removal per pass as taught

With respect to claim 20, Titus discloses a method of duplicating a master key (original key 202) with a key blank (blank preform 245) whitn't respect to daim 20, Thus discloses a method of duplicating a master key (original key 202) with a key blank (blank preform 245) wherein the user is signaled through the communication interface (940) concerning the measured key, yet fails to disclose a method further comprising outputting the determined key blank to a user. Hence, it would have been obvious to one of skill in the art to combine the signaling through the communication interface with the identification of the key blank of Titus, to formulate a method further comprising outputting the determined key blank to a user as taught by Titus. (col 12 in 10-14.)

Claim 15 lacks an inventive step under PCT Article 33(3) as being obvious over Titus in view of Bass.

With respect to claim 15, Titus discloses an assembly (key duplication apparatus 200) comprising a user interface (display 920; Titus col 9 In 1-10), yet fails to disclose an interface further comprising a display rack. However, Bass discloses a object identification system for identification of key blanks comprising a display rack (display rack 100; Bass para [0070]) for indicating the appropriate key blank. Hence, it would have been obvious to one of skill in the art to combine the assembly (key duplication apparatus 200) comprising a user interface (display 920) of Titus with the display rack (display rack 100) of Bass to construct an assembly where the user interface is a display rack for the display of a plurality of key blanks as taught by Bass.

Claims 1-26 have industrial applicability as defined by PCT Article 33(4) because the subject matter can be made or used by industry.

Form PCT/ISA/237 (Supplemental Box) (April 2007)

# From the INTERNATIONAL SEARCHING AUTHORITY

To: Risto Pribisich Mcdonald Hopkins LLC 600 Superior Avenue, E. Suite 2100 Cleveland, OH 44114	PCT  NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT AND THE WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY, OR THE DECLARATION  (PCT Rule 44.1)  Date of mailing 9 9 CCD 2007
	Date of mailing (day/month/year) 28 SEP 2007
Applicant's or agent's file reference 16223-00058PCT	FOR FURTHER ACTION See paragraphs   and 4 below
International application No. PCT/US 07/02015	International filing date (day/month/year) 23 January 2007 (23.01.2007)
Applicant Hy-Ko Products Company	
Authority have been established and are transmitted he  Filing of amendments and statement under Article I The applicant is entitled, if he so wishes, to amend the When? The time limit for filing such amendme international search report.  Where? Directly to the International Bureau of WI 1211 Geneva 20, Switzerland, Facsimile N For more detailed instructions, see the notes on the  The applicant is hereby notified that no international Article 17(2)(a) to that effect and the written opinion o  With regard to the protest against payment of (an) ac the protest together with the decision thereon h applicant's request to forward the texts of both of no decision has been made yet on the protest; the  Reminders  Shortly after the expiration of 18 months from the prior International Bureau. If the applicant wishes to avoid or a application, or of the priority claim, must reach the Internatio before the completion of the technical preparations for interna- The applicant may submit comments on an informal basis on International Bureau. The International Bureau will send international preliminary examination report has been or is to the public but not before the expiration of 30 months from the Within 19 months from the priority date, but only in respect of examination must be filed if the applicant wishes to postpone date (in some Offices even later); otherwise, the applicant mu acts for entry into the national phase before those designated In respect of other designated Offices, the time limit of 30 months.	claims of the international application (see Rule 46): ents is normally two months from the date of transmittal of the PO, 34 chemin des Colombettes No.: +41 22 740 14 35 e accompanying sheet.  search report will be established and that the declaration under f the International Searching Authority are transmitted herewith.  diditional fee(s) under Rule 40.2, the applicant is notified that: has been transmitted to the International Bureau together with the either protest and the decision thereon to the designated Offices. The applicant will be notified as soon as a decision is made.  The applicant will be notified as soon as a decision is made.  The application is made and application will be published by the postpone publication, a notice of withdrawal of the international and Bureau as provided in Rules 90bis.1 and 90bis.3, respectively, ational publication.  The written opinion of the International Searching Authority to the a copy of such comments to all designated Offices unless an abe established. These comments would also be made available to be priority date:  To some designated Offices, a demand for international preliminary the entry into the national phase until 30 months from the priority sts, within 20 months from the priority date, perform the prescribed Offices.  months (or later) will apply even if no demand is filed within 19 examplicable time limits, Office by Office, see the PCT Applicant's
Name and mailing address of the ISA/US Mail Stop PCT, Attn: ISA/US	Authorized officer: Lee W. Young
Commissioner for Patents P.O. Box 1450, Alexandria, Virginia 22313-1450 Facsimile No. 571-273-3201	PCT Helpdesk: 571-272-4300 PCT OSP: 571-272-7774

Form PCT/ISA/220 (January 2004)

(See notes on accompanying sheet)

# **PCT**

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference 16223-00058PCT	FOR FURTHER ACTION	see Form PCT/ as well as, where applica	
International application No.	International filing date (day/n	nonth/year) (Earliest) Prior	ity Date (day/month/year)
PCT/US 07/02015	23 January 2007 (23.01.2007)	23 January 200	6 (23.01.2006)
Applicant Hy-Ko Products Company			
This international search report has be according to Article 18. A copy is bein			ransmitted to the applicant
This international search report consists  It is also accompanied by	s of a total of $2$ sheets. a copy of each prior art documen	t cited in this report.	
1. Basis of the report			
a. With regard to the language, th	e international search was carried	out on the basis of:	
the international app	olication in the language in which	it was filed.	
	nternational application intoed for the purposes of internation	nal search (Rules 12.3(a) and 2.	which is the language of 3.1(b)).
b. This international search authorized by or notified t	report has been established takir o this Authority under Rule 91 (F	ng into account the rectificati Rule 43.6bis(a)).	on of an obvious mistake
<del></del>	tide and/or amino acid sequenc		application, see Box No. I.
2. Certain claims were foun	d unsearchable (see Box No. II)		
3. Unity of invention is lack	ing (see Box No. III).		
4. With regard to the title,			
the text is approved as sub			Ì
the text has been established	ed by this Authority to read as fol	lows:	
5. With regard to the abstract,		•	·
the text is approved as sub-	mitted by the applicant.		
	ed, according to Rule 38.2(b), by m the date of mailing of this inter		
6. With regard to the drawings,			
a. the figure of the drawings to be	published with the abstract is Fig	gure No. 1	CENE
as suggested by the a	applicant.		TREU 2001
as selected by this A	uthority, because the applicant fa	iled to suggest a figure.	nct of land
as selected by this A	uthority, because this figure bette	r characterizes the invention.	RECEIVED
b none of the figures is to be	published with the abstract.		DOUN

Form PCT/ISA/210 (first sheet) (April 2007)

## INTERNATIONAL SEARCH REPORT

International application No.

		.   '	-01/03 07/	02015	
IPC(8) - USPC -	SSIFICATION OF SUBJECT MATTER B23C 1/16 (2007.01) 409/81 b International Patent Classification (IPC) or to both n	ational classification and IPC			
	DS SEARCHED				
Minimum do	cumentation searched (classification system followed by IC 1/16 (2007.01)	classification symbols)			
	on searched other than minimum documentation to the ex IC 1/16 (2007.01) 81, 93, 96	tent that such documents are incl	uded in the	fields searched	
PubWEST(U	ta base consulted during the international search (name o SPT,PGPB,EPAB,JPAB); Internet search via Google W is Used: key, duplicator, duplication, duplicate, optical,	eb and Google Patent search e		πs used)	
C. DOCU	MENTS CONSIDERED TO BE RELEVANT				
Category*	Citation of document, with indication, where a	ppropriate, of the relevant passa	iges	Relevant to claim No.	
X	US 5,908,273 A (TITUS et al.) 01 June 1999 (01.06.19 56, col 5 in 1-29, col 6 in 21-22, col 7 in 6-20, col 8 in 1	-27, col 9 ln 1-34, col 10 ln 6-22		1-14 and 16-23	
Y	36-47, col 12 in 10-39, col 13 in 3-23, 53-62, Fig 2-5, 7		0441	15	
X  Y	US 2004/0095380 A1 (BASS et al.) 20 May 2004 (20.0 [0048], [0058], [0070]	os.2004), para (0031), (0035), (0	U41J,	24-26  15	
A	US 6,406,227 B1 (TITUS et al.) 18 June 2002 (18.06.2	2002), Fig 6, 9		1-26	
Α	US 6,588,995 B2 (WILLS et al.) 08 July 2003 (08.07.2)	1-26			
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			ľ		
	La L'allanda de la Carte de la				
	r documents are listed in the continuation of Box C.				
"A" docume	categories of cited documents: nt defining the general state of the art which is not considered particular relevance		h the applica	ational filing date or priority tion but cited to understand evention	
filing d		considered novel or cannot	t be conside	laimed invention cannot be red to involve an inventive	
cited to	"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  "Y" document which may throw doubts on priority claim(s) or which is step when the document is taken alone document of particular relevance; the claimed invention cannot be accorded to invention are invention to the document of particular relevance; the claimed invention cannot be accorded to invention are invention as a second of the control of				
means	means being obvious to a person skilled in the art				
	"P" document published prior to the international filing date but later than "&" document member of the same patent family the priority date claimed				
	octual completion of the international search	Date of mailing of the internal <b>28</b> S	EP 20(		
1	ailing address of the ISA/US	Authorized officer:			
P.O. Box 145	T, Attn: ISA/US, Commissioner for Patents 0, Alexandria, Virginia 22313-1450	Lee 1 PCT Helpdesk: 571-272-4300	W. Young		
Facsimile N	0. 571-273-3201	PCT OSP: 571-272-7774			

Form PCT/ISA/210 (second sheet) (April 2007)

From the	
TATERDALATE CALLY	CE A DOLLD TO A TIME TO

To: Risto Pribisich Mcdonald Hopkins LLC 600 Superior Avenue, E. Suite 2100 Cleveland, OH 44114					PCT RITTEN OPINION OF THE TONAL SEARCHING AUTHORITY  (PCT Rule 43bis.1)
				Date of mailing (day/month/year)	2 8 SEP 2007
1	nt's or agent's file ref -00058PCT	ference		FOR FURTHER A	ACTION See paragraph 2 below
Internat	ional application No.		International filing date	(day/month/year)	Priority date (day/month/year)
PCT/U	S 07/02015		23 January 2007 (2	3.01.2007)	23 January 2006 (23.01.2006)
IPC(8)	- B23C 1/16 (20 - 409/81	007.01)	r both national classifica	tion and IPC	
L	<del></del>				
1. Thi	1. This opinion contains indications relating to the following items:    Box No. I   Basis of the opinion				
Into oth opi If the a w PC For	If a demand for international preliminary examination is made, this opinion will be considered to be a written opinion of the International Preliminary Examining Authority ("IPEA") except that this does not apply where the applicant chooses an Authority other than this one to be the IPEA and the chosen IPEA has notified the International Bureau under Rule 66.1 birs(t) that written opinions of this International Searching Authority will not be so considered.  If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited its bomit to the IPEA a written reply together, where appropriate, with amendments, before the expiration of 3 months from the track of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires tage?  For further options, see Form PCT/ISA/220.				
Name and mailing address of the ISA/US  Mail Stop PCT, Attn: ISA/US Commissioner for Patents P.O. Box 1450, Alexandria, Virginia 22313-1450 Facsimile No. 571-273-3201				•	Authorized officer:  Lee W. Young  PCT Helpdesk: 571-272-4300 PCT OSP: 571-272-7774

Form PCT/ISA/237 (cover sheet) (April 2007)

International application No.

PCT/US 07/02015

Box	No. I	Basis of this opinion
1.	With r	the international application in the language in which it was filed.  a translation of the international application into which is the language of a translation furnished for the purposes of international search (Rules 12.3(a) and 23.1(b)).
2.		This opinion has been established taking into account the rectification of an obvious mistake authorized by or notified to this Authority under Rule 91 (Rule 43bis.1(a))
3.		egard to any nucleotide and/or amino acid sequence disclosed in the international application, this opinion has been shed on the basis of:
	a. typ	e of material  a sequence listing  table(s) related to the sequence listing
	b. for	mat of material  on paper  in electronic form
	c. tim	contained in the international application as filed  filed together with the international application in electronic form  furnished subsequently to this Authority for the purposes of search
4.		In addition, in the case that more than one version or copy of a sequence listing and/or table(s) relating thereto has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished.
5.	Additi	onal comments:
		·

Form PCT/ISA/237 (Box No. I) (April 2007)

International application No.

PCT/US 07/02015

Box No. V	Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability;
	citations and explanations supporting such statement

. Statement			
Novelty (N)	Claims	7, 15 and 20	YES
(4)	Claims	1-6, 8-14, 16-19, 21-26	NO NO
Inventive step (IS)	Claims	none	YES
,	Claims	1-26	NO
Industrial applicability (IA)	Claims	1-26	YES
, , ,	Claims	none	NO

#### Citations and explanations:

Claims 1-6, 8-14, 16-19 and 21-23 lack novelty under PCT Article 33(3) as being anticipated by US 5,908,273 A to Titus et al. (herein after Titus).

With respect to claim 1, Titus discloses an assembly (key duplicating apparatus 200, Fig 2-5) for duplicating a master key (original key 202; cot 3 ln 58-61, Fig 2-5), the assembly comprising: an optical imaging device (video camera 804, video image processor 808, Fig 8; control system 900, Fig 9) for capturing an optical image (image of key; col 8 ln 1-27, Fig 8) of at least a portion of the master key, a logic (video image processor 808; col 8 ln 1-13) for determining a key pattern (key code 700; col 6 ln 21-22, col 7 ln 6-20, Fig 7a, 7b) of the master key from the optical image of master key, a clamping assembly (key holder 204; col 3 ln 66 to col 4 ln 4, Fig 2) for clamping a key blank (blank preform 246; col 3 ln 57-65) and a first cutting member (key-code cutter 252; col 4 ln 44-50, Fig 4) for cutting a key pattern (key-code; col 4 ln 51-56, Fig 4) into the key blank.

With respect to claim 2, Titus discloses an assembly (key duplicating apparatus 200; Fig 2-5) where the key pattern (key-code; col 4 ln 51-56) cut into the key blank (blank preform 246; col 4 ln 51-56) is a duplication of the key pattern of master key (original key 202; col 3 ln 58-61, Fig 2-5).

With respect to claim 3, Titus discloses an assembly (key duplicating apparatus 200; Fig 2-5) where the logic (control system 900; col 9 In 1-34, Fig 9) directs the first cutting member (key-code cutter 252 via cutting tool motor 260) during duplication of the key pattern (key-code; col 4 In 51-56) of master key (original key 202; col 3 In 58-61, Fig 2-5).

With respect to claim 4, Titus discloses an assembly (key duplicating apparatus 200; Fig 2-5) where the optical image (image of key; col 8 In 1-27, Fig 8) is a digital image (via video image processor 808 is controlled by embedded PC central control system 902 via digital I/O interface 930; col 9 In 1-25).

With respect to claim 5, Titus discloses an assembly (key duplicating apparatus 200; Fig 2-5) where the clamping assembly (key holder 204; col 3 in 66 to col 4 in 4, Fig 2) further comprises, a first clamping member (x-translation stage 214, y-translation stage 218, key holder 204; col 3 in 66 to col 4 in 14, Fig 2) having a first clamping surface (x-translation stage 214) defining a width (x-direction; col 3 in 66 to col 4 in 14) and a second clamping member (y-translation stage 218; col 3 in 66 to col 4 in 14) having a second clamping surface (upper surface of y-translation stage 218) defining a width (y-direction; col 3 in 66 to col 4 in 14).

With respect to claim 6, Titus discloses an assembly (key duplicating apparatus 200; Fig 2-5) where the key blank (blank preform 245) further comprises a blade (key shaft 102; col 3 ln 36-44, Fig 1A) defining a width where the width of the first clamping member (key holder 204; col 3 ln 66 to col 4 ln 4, Fig 2) and the width of the second clamping member (col 13 ln 53-62) are less than the width of the blade of the key blank.

With respect to claim 8, Titus discloses an assembly (key duplicating apparatus 200; Fig 2-5) where the first cutting member (key code cutter 252, edge cutters 250; col 5 In 1-29) is a cutting wheel (Fig 4, 5).

With respect to claim 9, Titus discloses an assembly (key duplicating apparatus 200; Fig 2-5) further comprising a retention mechanism (key holder 204, Fig 2) for retaining the master key (original key 202; col 3 ln 58-61) or the key blank (blank preform 245).

With respect to claim 10, Titus discloses an assembly (key duplicating apparatus 200; Fig 2-5) where the retention mechanism further comprises a base (x-translation stage 214, y-translation stage 218, key holder 204; col 3 In 66 to col 4 In 14, Fig 2) a retention plate (tip holder 248; col 12 In 29-39) and a biasing member (key holder solenoid 928; col 9 In 23-25, Fig 9) biasing the retention plate towards the base.

With respect to claim 11, Titus discloses an assembly (key duplication apparatus 200; Fig 2-5) further comprising a first positioning member (x-translation stage 214, key holder 204; col 3 in 66 to col 4 in 14, Fig 2) and a second positioning member (y-translation stage 218), wherein the first and second positioning members engage the master key (original key 202) or the key blank (blank preform 245) to position the master key or the key blank relative to the assembly.

See continuation sheet.

Form PCT/ISA/237 (Box No. V) (April 2007)

International application No.

PCT/US 07/02015

#### Supplemental Box

In case the space in any of the preceding boxes is not sufficient.

Continuation of: Box V, item 2. Citations and explanations.

With respect to claim 12, Titus discloses an assembly (key duplication apparatus 200; Fig 2-5) further comprising a user interface (display 920; col 9 ln 1-10) for providing output to a user.

With respect to claim 13, Titus discloses an assembly (key duplication apparatus 200; Fig 2-5) where the logic determines the key blank (blank preform 245) from the optical image of the master key (original key 202). (Cutting tool motor 260 is controlled by the central control system 902; col 9 In 11-25).

With respect to claim 14, Titus discloses an assembly (key duplication apparatus 200; Fig 2-5) where identity of the determined key blank is output to the user by the user interface. (Titus discloses wherein if the measured key is determined to be lost or stolen, a signal may be directed through the communications interface 940; col 12 ln. 10-14.)

With respect to claim 16, Titus discloses an assembly (key duplication apparatus 200; Fig 2-5) where the key blank (blank preform 245) may be clamped in a first orientation or a second orientation. (Titus discloses inserting a double-sided key in either orientation; col 3 In 45-

With respect to claim 17, Titus discloses an method for duplicating a master key (original key 202) the method comprising capturing an optical image (optical image; col 8 in 1-13) of at least a portion of the master key, providing a logic (via central control system 902) to determine a key pattern (key code) of the master key from the optical image of the master key, securing a key blank (blank preform 245) and cutting a key pattern into the key blank. (See col 9 in 1-25, col 10 in 6-22.)

With respect to claim 18, Titus discloses a method where the key pattern (key code) cut into the key blank (blank preform 245) is a duplication of the key pattern of the master key (original key 202). (See col 3 in 57-65.)

With respect to claim 19, Titus discloses a method further comprising using logic to determine the key blank (blank preform 245). (See col 12 In 20-39.)

With respect to claim 21, Titus discloses a method further comprising, capturing an optical image (optical image; col 8 in 1-13) of at least a portion of the key blank (blank preform 245) and using the logic to validate the key blank matches the master key (original key 202). (Titus discloses using edge cutters 250 stroke or pass pattern computed that approximates the keyway profile shape is within acceptable tolerances; col 13 In. 3-12.)

With respect to claim 22, Titus discloses a method further comprising capturing an optical image of at least a portion of the key pattern cut into key blank (blank preform 245), using the logic to determine the key pattern cut into key blank, and using the logic to compare the key pattern cut into the key blank with the key pattern of the master key. (See col 11 In 36-47).

With respect to claim 23, Titus discloses a method comprising using the logic to validate that the key pattern cut into the key plank (blank preform 245) is within a predefined tolerance of the key pattern of the master key (original key 202). (See col 11 In 36-47).

Claims 24-26 lack novelty under PCT Article 33(2) as being anticipated by 2004/0095380 A1 to Bass et al. (herein after Bass).

With respect to claim 24, Bass discloses an assembly for identifying a key blank (identification system 10, Key Identification System KIDS) for the duplication of a master key (master key; Bass para [0048], the assembly comprising, an optical imaging device (machine-recognizable object verification system, bar code scanner; Bass para [0058]) for capturing an optical image of at least a portion of the master key, a logic for determining at least one feature (manufacturer; Bass para [0031]) of the master key from the optical image of aster key for comparison with at least one feature of a plurality of key blanks, and identifying which of the plurality of key blanks is the proper key blank (via indicative display rack; Bass para [0035], [0041]) and identifying which of the plurality of key blanks is the proper key blank for duplication of the master key.

With respect to claim 25, Bass discloses an assembly where identity of the proper key blank is output to a user by a user interface (display rack: Bass para (0035), (0041)).

With respect to claim 26, Bass discloses an assembly wherein the user interface is a display rack (display rack; Bass para [0035], [0041]) for the display of the plurality of key blanks.

See continuation sheet.

Form PCT/ISA/237 (Supplemental Box) (April 2007)

International application No. PCT/US 07/02015

#### Supplemental Box

In case the space in any of the preceding boxes is not sufficient.

Continuation of: Box V, item 2. Citations and explanations.

Claims 7 and 20 lack an inventive step under PCT Article 33(3) as being obvious over Titus.

With respect to claim 7, Titus discloses an assembly (key duplication apparatus 200; Fig 2-5) further comprising a second cutting member (edge cutters 250; col 5 In 4-29, Fig 18) for cutting the key pattern into key blank (blank preform 245), yet fails to disclose where the first and second cutting members are capable of simultaneously cutting the key pattern into the key blank. However, Titus discloses forming a keyway on a first side of a blank and subsequently forming a keyway on a second side of a blank for permitting maximum material removal per pass. (col 13 in 3-23, Claim 37). Hence, it would have been obvious to one of skill in the art to duplicate the first cutting member of Titus to construct an assembly comprising a second cutting member for cutting the key pattern into a key blank, where the first and second cutting members are capable of simultaneously cutting the key pattern into a key blank for maximizing material removal per pass as taught by Titus.

With respect to claim 20, Titus discloses a method of duplicating a master key (original key 202) with a key blank (blank preform 245) wherein the user is signaled through the communication interface (940) concerning the measured key, yet fails to disclose a method further comprising outputting the determined key blank to a user. Hence, it would have been obvious to one of skill in the art to combine the signaling through the communication interface with the identification of the key blank of Titus, to formulate a method further comprising outputting the determined key blank to a user as taught by Titus. (col 12 In 10-14.)

Claim 15 lacks an inventive step under PCT Article 33(3) as being obvious over Titus in view of Bass.

With respect to claim 15, Titus discloses an assembly (key duplication apparatus 200) comprising a user interface (display 920; Titus col 9 In 1-10), yet fails to disclose an interface further comprising a display rack. However, Bass discloses a object identification system for identification of key blanks comprising a display rack 100; Bass para [0070]) for indicating the appropriate key blank. Hence, it would have been obvious to one of skill in the art to combine the assembly (key duplication apparatus 200) comprising a user interface (display 920) of Titus with the display rack (display rack 100) of Bass to construct an assembly where the user interface is a display rack for the display of a plurality of key blanks as taught by Bass.

Claims 1-26 have industrial applicability as defined by PCT Article 33(4) because the subject matter can be made or used by industry.

Form PCT/ISA/237 (Supplemental Box) (April 2007)

### NOTES TO FORM PCT/ISA/220

These Notes are intended to give the basic instructions concerning the filing of amendments under Article 19. The Notes are based on the requirements of the Patent Cooperation Treaty, the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and those requirements, the latter are applicable. For more detailed information, see also the PCT Applicant's Guide, a publication of WIPO.

In these Notes, "Article," "Rule" and "Section" refer to the provisions of the PCT, the PCT Regulations and the PCT Administrative Instructions, respectively.

#### INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19

The applicant has, after having received the international search report and the written opinion of the International Searching Authority, one opportunity to amend the claims of the international application. It should however be emphasized that, since all parts of the international application (claims, description and drawings) may be amended during the international preliminary examination procedure, there is usually no need to file amendments of the claims under Article 19 except where, e.g. the applicant wants the latter to be published for the purposes of provisional protection or has another reason for amending the claims before international publication. Furthermore, it should be emphasized that provisional protection is available in some States only (see PCT Applicant's Guide, Volume I/A, Annexes B1 and B2).

The attention of the applicant is drawn to the fact that amendments to the claims under Article 19 are not allowed where the International Searching Authority has declared, under Article 17(2), that no international search report would be established (see PCT Applicant's Guide, Volume I/A, paragraph 296).

#### What parts of the international application may be amended?

Under Article 19, only the claims may be amended.

During the international phase, the claims may also be amended (or further amended) under Article 34 before the International Preliminary Examining Authority. The description and drawings may only be amended under Article 34 before the International Preliminary Examining Authority.

Upon entry into the national phase, all parts of the international application may be amended under Article 28 or, where applicable, Article 41.

When? Within 2 months from the date of transmittal of the international search report or 16 months from the priority date, whichever time limit expires later. It should be noted, however, that the amendments will be considered as having been received on time if they are received by the International Bureau after the expiration of the applicable time limit but before the completion of the technical preparations for international publication (Rule 46.1).

#### Where not to file the amendments?

The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rule 46.2).

Where a demand for international preliminary examination has been/is filed, see below.

How? Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed.

A replacement sheet must be submitted for each sheet of the claims which, on account of an amendment or amendments, differs from the sheet originally filed.

All the claims appearing on a replacement sheet must be numbered in Arabic numerals. Where a claim is cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively (Section 205(b)).

The amendments must be made in the language in which the international application is to be published.

### What documents must/may accompany the amendments?

Letter (Section 205(b)):

The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be confused with the "Statement under Article 19(1)" (see below, under "Statement under Article 19(1)").

The letter must be in English or French, at the choice of the applicant. However, if the language of the international application is English, the letter must be in English; if the language of the international application is French, the letter must be in French.

Notes to Form PCT/ISA/220 (first sheet) (January 2004)

#### NOTES TO FORM PCT/ISA/220 (continued)

The letter must indicate the differences between the claims as filed and the claims as amended. It must, in particular, indicate, in connection with each claim appearing in the international application (it being understood that identical indications concerning several claims may be grouped), whether

- (i) the claim is unchanged;
- (ii) the claim is cancelled;
- (iii) the claim is new;
- (iv) the claim replaces one or more claims as filed;
- (v) the claim is the result of the division of a claim as filed.

# The following examples illustrate the manner in which amendments must be explained in the accompanying letter:

- 1. [Where originally there were 48 claims and after amendment of some claims there are 51]: "Claims 1 to 29, 31, 32, 34, 35, 37 to 48 replaced by amended claims bearing the same numbers, claims 30, 33 and 36 unchanged; new claims 49 to 51 added."
- [Where originally there were 15 claims and after amendment of all claims there are 11].
   "Claims 1 to 15 replaced by amended claims 1 to 11."
- [Where originally there were 14 claims and the amendments consist in cancelling some claims and in adding new claims]:
   "Claims 1 to 6 and 14 unchanged; claims 7 to 13 cancelled; new claims 15, 16 and 17 added." or "Claims 7 to 13 cancelled; new claims 15, 16 and 17 added; all other claims unchanged.".
- 4. [Where various kinds of amendments are made]:
  "Claims 1-10 unchanged; claims 11 to 13, 18 and 19 cancelled; claims 14, 15 and 16 replaced by amended

claim 14; claim 17 subdivided into amended claims 15, 16 and 17; new claims 20 and 21 added.

#### "Statement under Article 19(1)" (Rule 46.4)

The amendments may be accompanied by a statement explaining the amendments and indicating any impact that such amendments might have on the description and the drawings (which cannot be amended under Article 19(1)).

The statement will be published with the international application and the amended claims.

#### It must be in the language in which the international application is to be published.

It must be brief, not exceeding 500 words if in English or if translated into English.

It should not be confused with and does not replace the letter indicating the differences between the claims as filed and as amended. It must be filed on a separate sheet and must be identified as such by a heading, preferably by using the words "Statement under Article 19(1)."

It may not contain any disparaging comments on the international search report or the relevance of citations contained in that report. Reference to citations, relevant to a given claim, contained in the international search report may be made only in connection with an amendment of that claim.

### Consequence if a demand for international preliminary examination has already been filed

If, at the time of filing any amendments and any accompanying statement, under Article 19, a demand for international preliminary examination has already been submitted, the applicant must preferably, at the time of filing the amendments (and any statement) with the International Bureau, also file with the International Preliminary Examining Authority a copy of such amendments (and of any statement) and, where required, a translation of such amendments for the procedure before that Authority (see Rules 55.3(a) and 62.2, first sentence). For further information, see the Notes to the demand form (PCT/IPEA/401).

If a demand for international preliminary examination is made, the written opinion of the International Searching Authority will, except in certain cases where the International Preliminary Examining Authority did not act as International Searching Authority and where it has notified the International Bureau under Rule 66.1 bis(b), be considered to be a written opinion of the International Preliminary Examining Authority. If a demand is made, the applicant may submit to the International Preliminary Examining Authority a reply to the written opinion together, where appropriate, with amendments before the expiration of 3 months from the date of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later (Rule 43bis.1(c)).

#### Consequence with regard to translation of the international application or entry into the national phase

The applicant's attention is drawn to the fact that, upon entry into the national phase, a translation of the claims as amended under Article 19 may have to be furnished to the designated/elected Offices, instead of, or in addition to, the translation of the claims as filed.

For further details on the requirements of each designated/elected Office, see the PCT Applicant's Guide, Volume II.

inotes to Form PCT/ISA/220 (second sheet) (January 2004)

# **PCT**

# INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Chapter I of the Patent Cooperation Treaty)

(PCT Rule 44bis)

Applicant's or agent's file reference 16223-00154	FOR FURTHER ACTION	See item 4 below		
International application No. PCT/US2010/033421	International filing date (day/month/year) 03 May 2010 (03.05.2010)	Priority date (day/month/year) 01 May 2009 (01.05.2009)		
International Patent Classification (8th edition unless older edition indicated) See relevant information in Form PCT/ISA/237				
Applicant HY-KO PRODUCTS				

1.	This international preliminary report on patentability (Chapter I) is issued by the International Bureau on behalf of the International Searching Authority under Rule 44 <i>bis</i> .1(a).							
2.	This REPORT consists of a total of 5 sheets, including this cover sheet.							
			erence to the written opinion of the International Searching Authority should be read as a oreliminary report on patentability (Chapter I) instead.					
3.	This rep	ort contains indication	s relating to the following items:					
	$\boxtimes$	Box No. I	Basis of the report					
	Box No. II Priority							
		Box No. III	Non-establishment of opinion with regard to novelty, inventive step and industrial applicability					
		Box No. IV Lack of unity of invention						
	Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement							
	Box No. VI Certain documents cited							
	Box No. VII Certain defects in the international application							
		Box No. VIII	Certain observations on the international application					
4.	4. The International Bureau will communicate this report to designated Offices in accordance with Rules 44bis.3(c) and 93bis.1 but not, except where the applicant makes an express request under Article 23(2), before the expiration of 30 months from the priority date (Rule 44bis.2).							

	Date of issuance of this report 01 November 2011 (01.11.2011)
The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer  Nora Lindner
Facsimile No. +41 22 338 82 70	e-mail: pt03.pct@wipo.int

Form PCT/IB/373 (January 2004)

From		ΓΙΟΝAL SEARO	CHING AUTHO	RITY				
To: DAVID B. CUPAR MCDONALD HOPKINS LLC 600 SUPERIOR AVENUE, EAST				PCT				
	CLEVELAND, OH 44114				WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY			
						(PCT Rule 43bis.1)		
					Date of mailing (day/month/year)	17 SEP 2010		
1		's or agent's file	reference		FOR FURTHER A			
Inte	rnatio	nal application N	No.	International filing date	(day/month/year)	Priority date (day/month/year)		
1		10/33421		03 May 2010 (03.05		01 May 2009 (01.05.2009)		
IPC	(8) -	nal Patent Class B21K 13/00 76/110, 409	, B23C 1/16 (	r both national classificat (2010.01)	tion and IPC			
		HY-KO PRO						
$\vdash$								
1.	This	opinion contains	s indications rela	ating to the following item	ns:			
	$\boxtimes$	Box No. 1	Basis of the op	inion				
		Box No. II	Priority					
	一	Box No. III	Non-establishn	nent of opinion with rega	rd to novelty, inventive	e step and industrial applicability		
	一	Box No. IV		-				
1 <del> </del>				ment under Rule 43bis.1(a		relty, inventive step or industrial applicability;		
Box No. VI Certain documents cited								
		Box No. VII	Certain defects	in the international appli	cation			
		Box No. VIII	Certain observa	ations on the internationa	l application			
,	EHD	TUED ACTIO	N					
2.	2. FURTHER ACTION  If a demand for international preliminary examination is made, this opinion will be considered to be a written opinion of the International Preliminary Examining Authority ("IPEA") except that this does not apply where the applicant chooses an Authority other than this one to be the IPEA and the chosen IPEA has notified the International Bureau under Rule 66.1bis(b) that written opinions of this International Searching Authority will not be so considered.							
	a wri	tten reply togeth	er, where appro-	considered to be a writter priate, with amendments, n of 22 months from the p	before the expiration	the applicant is invited to submit to the IPEA of 3 months from the date of mailing of Form or expires later.		
	For f	urther options, s	ee Form PCT/IS	SA/220.				
3.	3. For further details, see notes to Form PCT/ISA/220.							
		mailing address	s of the ISA/US	Date of completion of t	his opinion	Aùthorized officer:		
Com P.O.	Mail Stop PCT, Attn: ISA/US			22 July 2010 (22.0	07.2010)	Lee W. Young PCT Helpdesk: 571-272-4300 PCT OSP: 571-272-7774		

Form PCT/ISA/237 (cover sheet) (July 2009)

International application No. PCT/US 10/33421

Box	No. I	Basis of this opinion	_
1.	With re	gard to the language, this opinion has been established on the basis of: the international application in the language in which it was filed.	
		a translation of the international application into which is the language of a translation furnished for the purposes of international search (Rules 12.3(a) and 23.1(b)).	3
2.		This opinion has been established taking into account the rectification of an obvious mistake authorized by or notified to this Authority under Rule 91 (Rule 43bis.1(a))	i
3.		egard to any nucleotide and/or amino acid sequence disclosed in the international application, this opinion has been the don the basis of a sequence listing filed or furnished:	1
	a. (m	ans) on paper in electronic form	
	b. (tir	ne)  in the international application as filed	
	Ē	together with the international application in electronic form	
		subsequently to this Authority for the purposes of search	
4.		In addition, in the case that more than one version or copy of a sequence listing has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed o does not go beyond the application as filed, as appropriate, were furnished.	
5.	Additi	onal comments:	
		·	

Form PCT/ISA/237 (Box No. 1) (July 2009)

# PCT/US2010/033421 17.09.2010

# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No.

PCT/US 10/33421

		Li-1(-)(2) misk	an-l!- ' '''
ox No. V Reasoned statement uncitations and explanate		bis.1(a)(i) with regard to novelty, inventive step or industrial ng such statement	applicability;
Statement			
Novelty (N)	Claims	None	YES
	Claims	1-20	NO
		N	
Inventive step (IS)	Claims	None 1-20	YES NO
	Claims		NO
Industrial applicability (IA)	Claims	1-20	YES
,	Claims	None	NO
agarding claim 1, Bass teaches a key in aster key (para [0043]); and a logic consister key and further to compare said delihood of a match between said master agarding claim 2, Bass teaches that said [0061]).  Agarding claim 3, Bass teaches that said agarding claim 4, Bass teaches that said agarding claim 5, Bass teaches that said agarding claim 6, Bass teaches that said agarding claim 7, Bass teaches that said agarding claim 8, Bass teaches that said agarding claim 9, Bass teaches that said agarding claim 10, Bass teaches that said agarding claim 11, Bass teaches that said agarding claim 12, Bass teaches that said agarding claim 12, Bass teaches that said agarding claim 13, Bass teaches that said agarding claim 13, Bass teaches that said agarding claim 13, Bass teaches that said agarding claim 13, Bass teaches that said agarding claim 14, Bass teaches that said agarding claim 14, Bass teaches that said agarding claim 14, Bass teaches that said agarding claim 14, Bass teaches that said agarding claim 14, Bass teaches that said given key blank (para [0060]).	dentification systingured to ana characteristics or key and a kill id logic included and included in a systingured to a kill in a systingured in a systingured in a systingured in a systingured in a systingured in a systingured in a system key blank a id data relate in a given key aid data relate in a given key aid data relate (10061). a id logic comparison in a system in a sy	es a database containing data related to bitting characteristics of stem comprises an imaging system (para [0060]).  In the stem is configured to capture an image of the bittings of said masses at camera (para [0056]).  In the stem includes a camera (para [0056]).  In the stem includes a light (para [0058]).  In the stem includes a light (para [0058]).  In the stem includes a light (para [0056]).  In the stem includes a light (para [0056]).  In the stem includes a support and an upper door (base 16 and door clamed to bitting characteristics of known key blanks includes a set of a control of the stem includes a set of the bitting characteristics of known key blanks includes a set of the bitting characteristics of known key blanks includes a set of the bitting characteristics of known key blanks includes a set of the bitting characteristics of known key blanks includes a set of the bitting characteristics of known key blanks includes a set of the bitting characteristics of known key blanks includes a set of the bitting characteristics of known key blanks includes a set of the bitting characteristics of known key blanks includes a set of the bitting characteristics of known key blanks includes a set of the bitting characteristics of known key blanks includes a set of the bitting characteristics of known key blanks includes a set of the bitting characteristics of known key blanks includes a set of the bitting characteristics of known key blanks includes a set of the bitting characteristics of known key blanks includes a set of the bitting characteristics of known key blanks includes a set of the bitting characteristics of known key blanks includes a set of the bitting characteristics of known key blanks includes a set of the bitting characteristics of known key blanks includes a set of the bitting characteristics of known key blanks includes a set of the bitting characteristics of known key blanks includes a set of the bitting characteristics of known key blanks includes a set of the bitting characteristics of know	information from bittings of said be determine the known key bland ter key (para 155]).  In 14; para [004 depths at given bitting flat width bitting centers said set of dept between the dep
		. Garanti, and American descriptions of the second	
ee continuation in supplemental box)			
		1	

Form PCT/ISA/237 (Box No. V) (July 2009)

### PCT/US2010/033421 17.09.2010

#### WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No.

PCT/US 10/33421

Supp	lemental	Box
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In case the space in any of the preceding boxes is not sufficient.

Continuation of: Box No. V(2) -- Citations and Explanations

Regarding claim 16, Bass teaches that said logic compares the bitting flat widths of the master key bitting at each spacing point to said set of bitting flat widths for said given key blank (inherent to a comparison logic; para [0060]).

Regarding claim 17, Bass teaches that said logic computes a score for said given key blank based on the comparison between the bitting flat widths of said master key at each spacing point and said set of bitting flat widths for said given key blank (inherent to a comparison logic; para [0060]).

Regarding claim 18, Bass teaches that said logic compares the bitting centers of the master key bitting to said set of bitting centers for said given key blank (inherent to a comparison logic; para [0060]).

Regarding claim 19, Bass teaches that said logic computes a score for said given key blank based on the comparison between the bitting centers of said master key and said set of bitting centers for said given key blank (inherent to a comparison logic; para [0060]).

at a blade spacing point shifted from said blade spacing point associated with a given key blank (determining where along the blade the

Regarding claim 20, Bass teaches that said logic analyzes one of a bitting depth, a bitting flat width, and a bitting center of said master key key pattern begins; para [0060]). Claims 1-20 have industrial applicability as defined by PCT Article 33(4) because the subject matter can be made or used in industry.

Form PCT/ISA/237 (Supplemental Box) (July 2009)

# **PCT**

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference	FOR FURTHER ACTION	see Form PCT/ISA/220 as well as, where applicable, item 5 below.					
International application No.	ernational application No. International filing date (day/month/year) (Earliest) Priority Date (day/month/year)						
PCT/US 10/33421	01 May 2009 (01.05.2009)						
PCT/US 10/33421 03 May 2010 (03.05.2010) 01 May 2009 (01.05.2009)  Applicant HY-KO PRODUCTS							
according to Article 18. A copy is bein	g transmitted to the International		Authority and is transmitted to the applicant				
This international search report consists							
It is also accompanied by a	a copy of each prior art documen	cited in this	report.				
1. Basis of the report							
a. With regard to the language, the			asis of:				
. = "	lication in the language in which	it was filed.					
a translation of the in	nternational application into ed for the purposes of internation	al search (Ru	which is the language of tiles 12.3(a) and 23.1(b)).				
	report has been established takin to this Authority under Rule 91 (I		ant the rectification of an obvious mistake a)).				
c. With regard to any nucleo	tide and/or amino acid sequenc	e disclosed in	n the international application, see Box No. I.				
2. Certain claims were foun	d unsearchable (see Box No. II)	<b>.</b> .					
3. Unity of invention is lack	ing (see Box No. III).						
4. With regard to the title,							
the text is approved as sub	mitted by the applicant.						
the text has been established by this Authority to read as follows:							
5. With regard to the abstract,	militare de la companya della companya della companya de la companya de la companya della compan						
the text is approved as sub	• ••	ta A sub-	to the same to Book No. 27. 27.				
			as it appears in Box No. IV. The applicant ch report, submit comments to this Authority.				
6. With regard to the drawings,							
a. the figure of the drawings to be		gure No. 1					
as suggested by the applicant.							
	uthority, because the applicant f		•				
	uthority, because this figure bett	er characteriz	es the invention.				
b none of the figures is to be	b none of the figures is to be published with the abstract.						

Form PCT/ISA/210 (first sheet) (July 2009)

### PCT/US2010/033421 17.09.2010

## INTERNATIONAL SEARCH REPORT International application No. PCT/US 10/33421 CLASSIFICATION OF SUBJECT MATTER IPC(8) - B21K 13/00, B23C 1/16 (2010.01) USPC - 76/110, 409/81 According to International Patent Classification (IPC) or to both national classification and IPC FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) IPC (8) - B21K 13/00, B23C 1/16 (2010.01) USPC - 76/110, 409/81 Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched USPC - 76/1, 101.1; 409/79, 84, 93; 70/266, 336, 393, 406 Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) PUBWEST (PGPB,USPT,USOC,EPAB,JPAB) Terms - image imaging camera blank select choose determine identify light door master key bitting Google - key blank identification digital camera master; key blank identification digital camera logic holder door C. DOCUMENTS CONSIDERED TO BE RELEVANT Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No. Category\* х US 2007/0224008 A1 (BASS, ET AL.) 27 September 2007 (27.09.2007), para [0043], [0047], 1-20 US 5,127,532 A (CIMINO, ET AL.) 07 July 1992 (07.07.1992), entire document 1-20 Α US 6,065,911 A (ALMBLAD, ET AL.) 23 May 2000 (23.05.2000), entire document 1-20 Further documents are listed in the continuation of Box C. Special categories of cited documents: later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention document defining the general state of the art which is not considered to be of particular relevance "A" "E" earlier application or patent but published on or after the international "X" filing date document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art document referring to an oral disclosure, use, exhibition or other document published prior to the international filing date but later than the priority date claimed "&" document member of the same patent family Date of mailing of the international search report Date of the actual completion of the international search 21 July 2010 (21.07.2010) 17-SEP 2010 Name and mailing address of the ISA/US Authorized officer: Mail Stop PCT, Attn: ISA/US, Commissioner for Patents P.O. Box 1450, Alexandria, Virginia 22313-1450 Lee W. Young PCT Helpdesk: 571-272-4300 PCT OSP: 571-272-7774 Facsimile No. 571-273-3201

Form PCT/ISA/210 (second sheet) (July 2009)

Electronic Patent <i>I</i>	<b>\p</b> p	lication Fee	Transmit	tal	
Application Number:					
Filing Date:					
Title of Invention:	KEY BLANK IDENTIFICATION SYSTEM WITH GROOVE SCANNING			NNING	
irst Named Inventor/Applicant Name: Chester O.D. Thompson					
Filer:	Ma	rk Jason Masterson	/danielle muelle	r	
Attorney Docket Number:	16223-00341				
Filed as Small Entity					
Filing Fees for Utility under 35 USC 111(a)					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
UTILITY FILING FEE (ELECTRONIC FILING)		4011	1	75	75
UTILITY SEARCH FEE		2111	1	330	330
UTILITY EXAMINATION FEE		2311	1	380	380
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	785

Electronic Acknowledgement Receipt				
EFS ID:	32051151			
Application Number:	15920955			
International Application Number:				
Confirmation Number:	2833			
Title of Invention:	KEY BLANK IDENTIFICATION SYSTEM WITH GROOVE SCANNING			
First Named Inventor/Applicant Name:	Chester O.D. Thompson			
Customer Number:	33772			
Filer:	Mark Jason Masterson/danielle mueller			
Filer Authorized By:	Mark Jason Masterson			
Attorney Docket Number:	16223-00341			
Receipt Date:	14-MAR-2018			
Filing Date:				
Time Stamp:	14:52:52			
Application Type:	Utility under 35 USC 111(a)			

## **Payment information:**

Submitted with Payment	yes
Payment Type	DA
Payment was successfully received in RAM	\$785
RAM confirmation Number	031518INTEFSW00001146130265
Deposit Account	
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

File Listin	g:				
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
			159101		
1		7285598.pdf	9e36816955443b26a5bd14811d5c4bc888 867f0e	yes	22
	Multip	 part Description/PDF files in	n.zip description		
	Document De	scription	Start	E	nd
	Specificat	ion	1		8
	Claims		19	-	21
	Abstrac	t	22	2	22
Warnings:					
Information:					
			173423		
2	Drawings-only black and white line drawings	7284972.pdf	b160d74d57d40Sebd0db1895631fd38bdd 2cb15c	no	12
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Information:					
			1822942		
3	Application Data Sheet	7285051.pdf	6be4954ce90f5f414b181ee615c310fd5fc8 56b1	no	9
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Information:					
			1219958		
4	Oath or Declaration filed	7285335.pdf	cfc24db7ff7de283a0493efa0a330d97501d 4049	no	5
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Information:					
5	Power of Attorney	7285337.pdf	3844467  0eca13de9bad3796c9cb06f251374a7ceb4 75ecd	no	2

Warnings:					
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			621016		
6	Information Disclosure Statement (IDS) Form (SB08)	7285329.pdf	7abSb68d4e2a5639daee878511d6e04ff35 55806	no	36
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Information:					
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7	Information Disclosure Statement (IDS) Form (SB08)	7285331.pdf	53a5b63429b942637287697beaf3139ca08 f9c08	no	10
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Information:					
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8	Foreign Reference	6156472.pdf	81106a18c8f4a6cfbe63fcbcf058178504f6f5 a0	no	37
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Information:					
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25	Foreign Reference	5323894.pdf	73a75f251719677f97c8abeed623106d3f7b 92fc	no	70
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Information:					
			592149		
29	Foreign Reference	6156464.pdf	dd878d8bff62c14125fa1e250ff97e04e55cff	no	10
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Information:					
			1743329		
30	Other Reference-Patent/App/Search documents	6156536.pdf		no	15
	documents		2c1a84371304c3ded397f170f1c478376a7d c0ee	4	
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	Other Reference-Patent/App/Search	6156808.pdf	95520		
34	documents		9c2211b4af6402e4abd9e197fc3e9dbf0eac 4caa	no	3
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Information:					
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38	Other Reference-Patent/App/Search documents	6156804.pdf	88a913fff16762397711df79547827b49399 00d3	no	7
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39	Other Reference-Patent/App/Search documents	6156816.pdf	56ed5ddf60f56d65ac4f5fe89c5e29113cac1 32c	no	4
Warnings:	4				l .
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Warnings:	4				

	Other Reference-Patent/App/Search		309162		
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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

## National Stage of an International Application under 35 U.S.C. 371

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New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Electronic Acknowledgement Receipt				
EFS ID:	32051151			
Application Number:	15920955			
International Application Number:				
Confirmation Number:	2833			
Title of Invention:	KEY BLANK IDENTIFICATION SYSTEM WITH GROOVE SCANNING			
First Named Inventor/Applicant Name:	Chester O.D. Thompson			
Customer Number:	33772			
Filer:	Mark Jason Masterson/danielle mueller			
Filer Authorized By:	Mark Jason Masterson			
Attorney Docket Number:	16223-00341			
Receipt Date:	14-MAR-2018			
Filing Date:				
Time Stamp:	14:52:52			
Application Type:	Utility under 35 USC 111(a)			

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PATENT APPLICATION

Inventor:

Chester O.D. Thompson

William R. Mutch

Docket No.: 16223-00341

KEY BLANK IDENTIFICATION SYSTEM WITH GROOVE SCANNING

CROSS-REFERENCE TO RELATED APPLICATIONS

[0001] This application is a continuation of U.S. Application No. 15/351,634 filed on

November 15, 2016 entitled "KEY BLANK IDENTIFICATION SYSTEM WITH GROOVE

SCANNING," which is a continuation of U.S. Application No. 14/162,157 filed on January

23, 2014 entitled "KEY BLANK IDENTIFICATION SYSTEM WITH GROOVE

SCANNING," which is a continuation of U.S. Application No. 12/772,709 filed on May 3,

2010 entitled "KEY BLANK IDENTIFICATION SYSTEM WITH GROOVE SCANNING,"

which claims the benefit of priority of U.S. Provisional Patent Application Ser. No.

61/215,152 filed on May 1, 2009, and U.S. Provisional Patent Application Ser. No.

61/275,654 filed on September 1, 2009, each of which are hereby incorporated by reference

in their entirety.

FIELD OF ART

[0002] This invention relates generally to the field of systems for identifying objects and,

more particularly to systems for utilizing electronic means for identifying key blanks that are

compatible with an unknown key.

**BACKGROUND OF THE INVENTION** 

[0003] The art of key replication is well known. Commonly, a key intended for duplication

(the master key) is copied on to an appropriately identified key blank utilizing any number of

different systems known in the art. The process of identifying an appropriate key blank to use

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when making copies of a key can be a difficult, tedious and time consuming affair. It is important that each master key be copied onto the proper key blank so as to prevent numerous adverse consequences caused by reproducing a master key onto an inappropriate key blank. However, choosing the correct key blank can be difficult even for experts in the field.

[0004] There are hundreds, if not thousands, of key blanks, and many blanks are not readily distinguished from others. Identifying the correct key blank for use in duplication involves selecting a blank from hundreds or even thousands of possibilities, where differences between key blanks may be very subtle. These hard-to-notice subtleties significantly increase the level of difficulty for all operators of such key replication systems, both inexperienced trainees and experts alike.

[0005] Once a key blank is chosen, it goes through a cutting process. The typical cutting process simply traces the profile of the master key onto the key blank, such that the key blank will exactly match (within the error limits and accuracy of the tracing machine) the original master key. Normally, a mechanically linked cutting wheel actually cuts into the key blank while it mimics the movement of the tracer as the tracer moves longitudinally along the profile of the master key. If the incorrect key blank is provided during this process, the key blank being formed into the duplicate key may not possess the correct longitudinal length, thereby causing a failure. When this type of failure occurs, the entire process of selecting a key blank for replication and then mechanically cutting the key must begin again. Worse still, if the blank has the proper length but does not possess the appropriate thickness, contour, groove or other traits, the failure may not be discovered until the key is actually inserted into the lock.

[0006] Businesses that offer key cutting services are often times not staffed by experienced locksmiths. Instead, employees are usually trained to "eyeball" what is thought to be the

correct blank and then cut a duplicate key. Such informal and imprecise key blank identification invariably increases the rate of failures for the duplication process. These failures often occur at the expense of the industry and to the extreme dismay of the key holder. Therefore, the industry would welcome an easy-to-use key blank identification system that increases the accuracy and efficiency of key replication.

**[0007]** Not surprisingly, numerous attempts have been made to improve identification systems and/or key replication systems. Many of these improvements include imaging systems designed to determine the proper key blank based on physical parameters of the key to be copied, such as length, shape, and groove characteristics.

**[0008]** While many of these systems provide useful improvements for determining a proper key blank, they still suffer from various deficiencies. Specifically, many of these systems compare scanned data from a master key to be copied with data from known key blanks in order to determine if the master key matches the key blank. However, in some instances the scanned key parameters and the key blank data are insufficient to determine a matching key blank. In such cases, additional information about the master key and the key blank would be useful in narrowing the field of prospective key blanks. Accordingly, an improved system and method for determining a key blank is needed.

#### **SUMMARY**

[0009] A key identification system is provided. The key identification system comprises an imaging system to capture an image of a master key, and a logic to analyze the image. The imaging system may be configured to capture an image of a groove in the key from an angle between perpendicular and parallel to the blade of said master key. The logic analyzes the captured image to compare characteristics of the groove with groove characteristics of known key blanks.

**[0010]** The key identification system may account for the orientation or positioning of the master key with respect to the imaging system or key holder to determine groove characteristics of the master key. For example, the logic may analyze a contour of the groove and determine groove characteristics of the master key based on the contour and the orientation and positioning of the master key.

## DESCRIPTION OF THE DRAWINGS

[0011] Objects and advantages together with the operation of the invention may be better understood by reference to the following detailed description taken in connection with the following illustrations, wherein:

- [0012] FIG. 1 illustrates a master key;
- [0013] FIG. 2a illustrates a first example configuration of a key ID system;
- [0014] FIG. 2b illustrates a second example configuration of a key ID system;
- [0015] FIG. 3 illustrates an example silhouette of a key tip;
- [0016] FIG. 4 illustrates an example configuration of a key ID system with reflectors;
- [0017] FIG. 5 illustrates an example scanned image of a master key blade silhouette;
- [0018] FIG. 6 illustrates an example projection of a tip outline onto the image of a tip;
- [0019] FIG. 7 illustrates an example calculated data set of tip groove contours;
- [0020] FIG. 8 illustrates an example matching comparison between master key tip contour data and stored key blank data; and
- [0021] FIG. 9 illustrates an example unmatched comparison between master key tip contour data and stored key blank data.
- [0022] FIG. 10 illustrates the coordinate references for the outline camera image.

[0023] FIG. 11 illustrates the coordinate references for the outline camera image including the outline vanishing point.

[0024] FIG. 12 illustrates the coordinate references for the groove camera image including the groove vanishing point relating to the y-axis projection.

[0025] FIG. 13 illustrates the coordinate references for the groove camera image including the groove vanishing point relating to the x-axis projection.

[0026] FIG. 14 illustrates an angular coordinate system for a master key.

### DETAILED DESCRIPTION OF THE INVENTION

[0027] Reference will now be made in detail to exemplary embodiments of the present invention, examples of which are illustrated in the accompanying drawings. It is to be understood that other embodiments may be utilized and structural and functional changes may be made without departing from the respective scope of the present invention.

[0028] A system and method for identifying a key blank are provided. The system ("key ID system") analyzes a master key to be duplicated, such as a house key, car key or other key, and determines the appropriate key blank to be used in duplicating the master key. The system and method described herein may be used independently to determine a proper key blank, or may be used in conjunction with other systems to narrow the field of prospective key blanks. Moreover, it will be understood that existing key identification systems may be modified or retrofitted to implement some or all features described herein.

[0029] The key ID system may scan a master key to determine traits and characteristics of the master key. Based on these characteristics, the key ID system may then determine the proper key blank to be used to duplicate the master key. The key ID system may include any means known in the art for determining the traits and characteristics of the master key geometry. For example, the key ID system may include an imaging system, such as a camera,

laser, or any other imaging system known in the art. The imaging system may scan the master key to determine characteristics of the master key, specifically characteristics that may be unique to a specific key blank. While the key ID system is described herein as including an imaging system, it will be appreciated that other known methods or devices, such as electrical or mechanical sensors, may be used in place of or in conjunction with the imaging system to determine the traits and characteristics of the master key geometry.

**[0030]** With reference to FIG. 1, a master key 10 is depicted. The master key 10 may include standard key features such as a head 16 connected to a blade 18 and a groove 11. The groove 11 may comprise a channel, opening, geometric shape, or other indentation formed in the blade 18. A master key 10 may include a single groove 11 on one side of the blade 18, a single groove 11 on each side of the blade 18, multiple grooves 11 on either side of the blade 18, or any other configuration of grooves 11 with respect to the blade 18. Further, it will be appreciated, that the master key 10 may be any key and is not limited to keys having a head 16, blade 18 or groove 11.

[0031] The key ID system may include a key holder to hold the master key. The key holder may be any device capable of holding or supporting a master key. For example, as shown in FIGS. 2a and 2b, the key holder 13 may comprise a lower support 15 and an upper door 12 to close onto the key. The key holder may alternatively comprise a clamp, gripper, platform, suspension, or any other device configured to hold the master key 10.

[0032] In an embodiment, the key ID system includes a camera system. The camera system includes one or more cameras configured to record images, such as digital images, of the master key. The camera system may further include other components to assist in recording images of the master key, such as lights and mirrors. The lights may be positioned to enhance the clarity and quality of the image recorded by the camera. The mirrors may be positioned to allow a camera in a first position to record an image of the key from a second position. The

mirrors may further allow a single camera to record multiple images of the master key from different angles, thereby increasing the amount of information related to the master key recorded by a single camera.

[0033] The key ID system may include a logic to analyze images captured by the imaging system. As used herein, the term "logic" includes but is not limited to a software, a firmware, an executable program, a hardware or hard-wired circuit, or combinations thereof. For example, based on a desired application or needs, a logic may include a software controlled microprocessor, discrete logic like an application specific integrated circuit (ASIC), an analog circuit, a digital circuit, a programmed logic device, a memory device containing instructions, or the like. Logic may include one or more gates, combinations of gates, or other circuit components. Logic may also be fully embodied as software. Where multiple logical logics are described, it may be possible to incorporate the multiple logical logics into one physical logic. Similarly, where a single logical logic is described, it may be possible to distribute that single logical logic between multiple physical logics.

[0034] The logic may be configured to determine a correlation or likelihood of a match between a known key blank and the master key. For example, the logic may include a database to store key related information ("key data"). The key data may include characteristics of known key blanks, such as length, shape, bitting information, size, shape and location of key grooves, and other geometric and physical characteristics of known key blanks. The database may be integral with the logic, in communication with the logic, or remotely accessible to the logic. The database may associate key data with specific key blanks or with types or groups of key blanks. For example, the database may associate key data with specific key manufacturers or different types of keys such as house keys or car keys. The key ID system may access the database to compare scanned characteristics of the master key with the stored key data in the database. Key blanks that do not have

characteristics consistent with those of the master key may be then ruled out as possible matches for the key blank. The key ID system may scan the master key 10 one or more angles and compare the scanned data with stored key data.

[0035] The key ID system may scan a master key 10 to determine information related to the groove 11. Keys and key blanks commonly include a groove 11 or grooves 11 in the blade 18 of the key. Characteristics of the grooves 11 may be unique to a given key blank or group of key blanks. For example, the size, location, angle, and other geometric parameters of a given groove may be unique to a key blank or blanks. Further, the location of a groove 11 on a first side of the key blade ("top groove") may be unique with respect to the location of a groove 11 on the second side of a key blade ("bottom groove").

[0036] The key ID system may analyze various aspects of the groove 11 of a master key 10. For example, the imaging system may capture an image of the groove 11 from a position perpendicular to the key blade 18, as illustrated by the overhead camera 20 in FIG. 2a. This camera angle provides a top view of the blade 18 and allows the overhead camera to scan the length of the groove 11. The imaging system may also capture an image of the groove 11 from the end of the tip 14 at an angle parallel to the blade 18. This angle provides a view of the groove 11 cross-section. However, neither overhead scanning of the groove 11 nor parallel scanning of the groove 11 provide sufficient contrast between the groove 11 and the rest of the key 10 to effectively determine certain parameters of the groove 11.

[0037] In many keys, a groove 11 extends from the shoulder 16 through the tip 14 of the key 10. Therefore, the shape and contours of the tip geometry reflect characteristics of the groove 11. Accordingly, unique characteristics of a master key may be determined by scanning the tip 14 from an angle designed to capture the top groove or bottom groove characteristics. This may be best accomplished by scanning the tip 14 at an angle other than perpendicular or parallel to the blade 18. For example, as shown in FIG. 2a, the key ID

system may include a camera 22 facing the tip 14, and positioned at an angle slightly above parallel to the blade. In an embodiment, the camera is positioned facing the tip of the key 10, between 5 and 15 degrees from parallel to the blade of the key 10. This positioning allows the camera 22 to capture the contours of the tip 14 formed by the bottom groove 11. Alternatively, it will be appreciated that the camera may be positioned similarly underneath the key to capture the contours of the tip 14 formed by the top groove 11. As illustrated in FIG. 3, the camera 22 may capture a silhouette of the bottom groove. This silhouette may be unique to a key blank or group of key blanks, thereby narrowing the field of prospective key blanks.

10038] It will be appreciated that configurations other than the camera configuration shown in FIG. 2a may be used to capture the tip-groove geometry. For example, the key ID system may include only a groove camera 22 without any overhead camera 20. Further, the key ID system may include a plurality of mirrors to allow a single camera at a remote position to capture multiple angles of the master key, including angles of the tip 14. In an embodiment, as shown in FIG. 4, a first mirror 30 may be positioned to provide a top view of the tip 14 from behind the key 10. A mirror may be similarly positioned below and behind the key 10 to capture an image of the bottom groove 11. This view allows the camera to capture the contours of the tip 14 formed by the top groove 11. A second mirror 32 may also be positioned to provide a top view of the tip 14 from in front of the key 10. This view allows the camera to capture the contours of the tip 14 formed by the bottom groove 11. It will be appreciated that the key ID system may include any number of cameras, mirrors, and other imaging devices to capture the desired views of the contours of the tip 14.

[0039] In an embodiment, the key ID system scans the master key 10 to capture the silhouette of the blade 18, as shown in FIG. 5. This silhouette is used to determine the outline shape of the tip 14 in order to isolate groove geometry from the tip geometry. The key ID

system may also scan the tip 14 from an angled position to capture the contours of the tip 14 formed by the groove 11.

**[0040]** As shown in FIG. 6, a trigonomic projection of the tip 14 may be used to identify the portion of the tip contour that is due to the groove shape. Specifically, contour data related to contours of the groove 11 may be determined by calculating a set of scalar variables based on image information and machine constants. As described herein, points in the image taken by the overhead camera 20 are mapped to their corresponding points from the image taken from the groove camera 22.

[0041] The contour image may be compiled from data obtained by the overhead or outline camera 20. Once the outline or contour data has been generated, the outline image is rescanned to generate a set of data points, as shown in FIGS. 7-9. For example, data points may be generated every .005 inches along the tip of the key. The data point interval of the rescanned or resampled image may be adjusted based on the required accuracy for groove identification and the optical characteristics of the outline and groove cameras. For example, the data point interval may be 0.002 in order to obtain a better defined sample.

[0042] The groove contour may be compared to contours of known key blanks stored in the database to determine a matching key blank. Tolerance and/or correlation values may be set to determine if the comparison yields a match. FIG. 8 illustrates a comparison between a scanned key tip contour and stored key data of a known key blank. The statistical correlation value between the data sets is approximately .985, indicating a match between the master key and compared key blank. FIG. 9 illustrates another comparison between a scanned key tip contour and stored key data of a known key blank. The statistical correlation value between the data sets here is approximately .879, indicating that the master key and compared key blank do not match.

[0043] Referring to FIG. 2a, the plane of the overhead camera 20 image intersects the groove camera 22 image at the lower edge of the door opening 12. The overhead camera 20 observes the profile of the key 10 while the groove camera 22 is focused on the tip of the key 10. With reference to FIGS. 10-13, the line at which these two images intersect, referred to as the door axis 52, is a primary axis for purposes of determining contour data. The primary reference or origin point of the door axis is located at the center of the door 12. The plane of the door also passes through this intersection and is by definition perpendicular to the overhead camera 20 image plane.

[0044] A silhouette image of the key 10 may be captured by the groove camera 22. To capture the silhouette image, the key ID system may utilize elements such as a light source 24 and a diffuse reflector element 26, as shown in FIGS. 2a and 2b. The light source 24 may be shielded with a light shield 28 to illuminate the reflector element 26 while avoiding the tip of the key 10. In an embodiment, the directional light from the light source 24 is angled to direct the light substantially on the diffuse reflector element 26, but not on the tip of the key 10.

[0045] The light source 24 may be any light source known in the art. For example, the light source 24 may be a series of discrete LED lights substantially oriented in a row. It will be appreciated, however, that the light source 24 may be any lights arranged in any configuration. The diffuse reflector element 26 may be affixed to the interior surface of the door, such that when the light from the light source 24 strikes the diffuse reflector element 26, the diffuse reflector element 26 provides a backlit area. In other words, this arrangement provides a backlit area behind the key 10 with respect to the groove camera 22 while minimizing the amount of light that is directed toward the key 10. Thus, the groove camera 22 may view the key 10 tip as a substantially dark or black feature, similar to that shown in Fig. 3. It will be appreciated, however, that the key ID system may include any additional lights, such as backlights, positioned throughout the system.

[0046] With reference to Fig. 2b, an alternative configuration for the key ID system is shown. The groove camera 22 may be mounted in a vertical camera box 23. The vertical camera box 23 mounts the groove camera 22 at the bottom directed upward toward a mirror 25 mounted at the other end of the vertical camera box 23. The mirror 25 is directed toward the key 10 tip, such that the angle of incidence is nominally about 15 degrees. However, it will be appreciated that the angle of incidence upon the key 10 of the image may be any angle, preferably between approximately 5 degrees and approximately 45 degrees.

[0047] The key 10 is not constrained to a particular orientation or position when held within the key holder 13. With reference to FIG. 14, the key may be tilted or yawed in a plane perpendicular to the orientation of the outline camera 20; tipped up or down relative to the plane defined perpendicular to the door opening 12; and rotated around the axis of the key blank it self (rolled) or combinations of any of the preceding as well as variations caused by the overall length of the key blade 10 that would position the tip of the key at various focus positions relative to the groove camera 22. Thus it is necessary to correct for the optical distortions and transform the images obtained from the two different cameras to obtain an effective image of the tip 14.

[0048] The concept of a vanishing point is used to handle the foreshortening of the key 10 when viewed by the groove camera 22. The effects of this foreshortening vary greatly as the end of the key 10 is tipped up or down slightly by the clamping action of the door 12. The distance from the door to the vanishing point may be substantially affected by this tipping action.

[0049] To compensate for the angle between the groove camera 22 and the key 10, the distance from the tip of the key to the door axis is readily determined for both the overhead camera 20 image and groove camera 22 image. The ratio of these distances (hereinafter "GrooveLR") is dependent both on the angle between the groove camera 22 angle and the

key tipping angle. The actual values of these angles may be ignored because only the ratio is required for the calculations to compensate for the key location.

**[0050]** In an embodiment, a groove image vanishing point may be determined by multiplying the distance to the outline image vanishing point by the ratio GrooveLR. The outline image vanishing point may first be empirically determined. For example, previous test indicate a sample outline image vanishing point to be 20 inches from the origin of the door axis. The outline image vanishing point depends on the configuration of the key ID system and the characteristics of the cameras. Vanishing points may be assumed to be on the door centerline axis. However, in other embodiments vanishing points may be offset from the door centerline axis with additional compensation.

[0051] FIGS. 10-13 illustrate a crop box 50 used for limiting the image of the key 10. An example of an outline crop box 50 is shown in FIG. 5, where the outline crop box 50 defines the outer periphery of the image. The outline crop box functions to limit the image that is actually processed by the system, even though the actual image captured by the camera is larger than the outline crop box 50. The outline vanishing point is far to the left of the outline crop box 50 along its X axis shown as point 52. The groove vanishing point is above the groove crop box 60, shown in FIG. 6, along it Y axis at point 62. The groove crop box 60, serves a similar function to the outline crop box 50, namely it reduces the overall image processed by the system to a subset of the actual image captured, in this case by the groove camera 22. This outline image vanishing point distance is combined with vOutX to calculate the x axis distance from the vanishing point to the starting point. While a specific method determining the vanishing points and associated distances is described, it will be appreciated that other methods may be used.

[0052] FIG. 10 shows the position of the starting location relative to the door axis and the Outline Camera image. vOutX and vOutY are the x and y coordinates of a point at the tip of a

key image that is to be mapped to the groove image. These points correspond to the set of data points that define the tip of the key. The units of the outline camera 20 are in pixels. Thus a series of vOutX and vOutY points are created to define the tip of the key.

[0053] FIG. 11 shows the starting point translation to distances from the outline view vanishing point. This distance is multiplied by GrooveLR to yield the outline VPy shown on Fig. 11 and referred to as GVPY (groove image vanishing point distance).

[0054] FIG. 12 shows the construction of the projected tip point y axis coordinate. This projection is made on the Groove Camera image plane. The y axis projection is simply the difference between GVPY and GrooveVP.

[0055] The x axis projection calculation uses the GVPY to GrooveVP ratio as shown in FIG. 13. The Groove Door X distance may be determined from the outline image. The Groove Door X distance may be scaled by the GVPY to GrooveVP ratio and added to the Groove Crop Box Center distance to find gsx which is the x axis coordinate. A trim value may be added to enable small physical offsets to be adjusted during machine calibration.

[0056] Once each point on the outline camera 20 image has been mapped to the groove camera 22 image, the groove contour data may be determined. FIG. 6 shows a typical groove image with two rows on points drawn at its tip. The row that is touching the tip may be calculated using the method described here. The lower row may be extrapolated from the same data points.

[0057] The groove contour is found by measuring the distance from a point that is eight pixels below the mapped point to the actual white to black edge in the groove image. Giving the edge detection software the extra pixels allows it to readily find a transition and allows for small errors if the mapped points are slightly above the actual transition. The set of these distances forms the groove contour.

[0058] Various tip shapes and bittings near the tip of the key may affect the image of the grooves as seen by the groove camera 22. Therefore, it may be helpful to manipulate the groove data in an attempt to compute how the grooves would appear if the key blade was cut off square. To accommodate this manipulation, a scan of the outline data (from the outline camera 20 image) along the tip of the key may be performed with respect to a series lines that are parallel with the axis of the key. This yields a series of measurements that determine the distance from the edges of the tip of the key to some arbitrary squarely cut edge. These measurements may then be translated to the groove camera 22 image using a process described below. These translated key tip points 62 in the groove image 60 represent the tip of the key as if there were no grooves in the key. Differences between these points and the observed edge of the tip of the key in the groove image 60 represent the actual shape of the grooves as if the key blade was cut off square.

[0059] The final task of blank identification is to match the measured contour with the stored characteristics. The type of key 10 may be used to help match the contour with the appropriate data set. For symmetrical double sided keys the direction of the key insertion into the key identification system is irrelevant because the grooves and other features are symmetrical so a single characteristic reference image is stored for those symmetric keys. For most single sided keys, both sides of the key (referred to as left and right) are distinctive. In those cases, the characteristic reference images for each key includes a left and right view. When performing comparisons, right cuts are only matched to data for single cut blanks and only right cut data for those blanks. The data is also aligned so the key reference sides (e.g. in the case of a single sided key, the flat of the blank) are near each other. Often cut key data has many fewer data points so it is assumed data points opposite the reference side is where the metal (and data) have been removed. Double cut keys are matched based on the centerlines.

[0060] A series of comparisons may performed between the characteristic reference image that is stored in the database and the actual captured image to compensate for small registration errors. The comparison may be performed via a 2-D correlation analysis described in greater detail below. Specifically, the data may be moved a few data points in each direction. These points may then be compared and analyzed with the best result being chosen as the correct data point.

[0061] Small errors in image registration and performing the transpositions between the images can cause the contour data to be sloped up or down. This is called "roll" and is similar to an airplane roll where the wings are not level. Some level of roll is presumed to be dependent on unique machine set up details. To compensate for this roll, each key ID system may have a roll constant that is used to adjust its measured values. This insures that biases are not a factor when compared to stored data sets that were generated on other machines.

**[0062]** There also may be roll based on individual key scans. A second roll test may be used to insure the best possible match after the machine roll has been accounted for, and the best horizontal sliding position has been determined. Each comparison is done for as many as 40 different roll values to determine the best match. These calculations assure the comparison is done as accurately as possible. In other embodiments, larger numbers of roll values may be used to handle greater variation in the image captured by the groove camera 22.

[0063] Mathematical correlation may also be as the basis of contour comparison. The correlation may be done based on a standard formula. However, if a data point in the newly collected data or stored data is potentially in error it may be excluded from the correlation calculation. The actual data may have values larger than one as we begin the scan for groove edge data 8 pixels below the first expected transition point. Alternatively, different pixel offsets may be used based on the likelihood of erroneous data. If this data is set to -1 that point is excluded from the calculation. Some stored data is manually set to -1 to compensate

for scan errors and to de-emphasize some features of the contours. Errors in edge detection can cause -1 values in the newly scanned data.

[0064] Other methods may be employed to compare the tip image to the reference contours. One method is to fit the contour inside an envelope based on the stored contours. Other techniques taking advantage of various basis functions are also suitable for performing the comparisons between the characteristic reference images and the captured image in order to obtain a match as well.

[0065] A secondary test may be added to the standard correlation calculation. Some key blanks have the same overall pattern of grooves, but the groove depths are exaggerated on some blanks more than others. Since correlation may be insensitive to scale, these blanks may be found to match even where there are large differences in actual groove depths. A second factor based on the standard deviation of the two contour data sets is used to aid in this differentiation. The factor is calculated by taking the difference between the two standard deviations and dividing it by the sum of the two values. This value is then subtracted from the correlation coefficient and a secondary test is performed. The resulting correlation results are used to provide an estimate as to which type of key is inserted into the key ID system. In an embodiment, the correlation results are used in conjunction with the key outline characterization to further narrow and reduce the type of key identified.

**[0066]** Both the standard correlation value and the adjusted correlation value are unitless and non-scaled numbers between 0 and 1. In order to provide more meaning to the values, each is rescaled to a scoring system of 0 to 100% where 0 is considered to be an impossible match and 100 is a perfect match. In an embodiment, standard correlations of 0.60 to 1.0 are rescaled to a score of 0 to 100% and adjusted correlations of 0.50 to 1.0 are rescaled to a score of 0 to 100%. Any correlations of less than the 0.60 and/or 0.50, respectively, are

considered to be impossible matches. The total groove scanning score or correlation score may be a weighted average of these two individual scores.

[0067] The key ID system as described may be used in conjunction with other known key identification systems. For example, the key ID system may be used in conjunction with user interface identification systems, such as the object identification system disclosed in U.S. Publication No. 2004/0095380 and the key duplication system disclosed in U.S. Publication No. 2007/0224008, each of which are hereby incorporated by reference in their entirety.

**[0068]** The invention has been described above and, obviously, modifications and alternations will occur to others upon a reading and understanding of this specification. The claims as follows are intended to include all modifications and alterations insofar as they come within the scope of the claims or the equivalent thereof.

### **CLAIMS**

#### What is claimed is:

A key identification system comprising:

an imaging system including a camera configured to capture an image of a master key, wherein said image includes a contour;

a reflecting device positioned in alignment with said camera for capturing said image;

a logic configured to analyze said captured image to determine characteristics of said contour and compare said characteristics with characteristics of known key blanks to determine the likelihood of a match between said master key and a known key blank; and

wherein said logic accounts for positional variations of said master key with respect to said imaging system to determine characteristics of said contour.

- 2. The key identification system of claim 1, wherein said logic includes a database containing data related to characteristics of grooves of known key blanks.
- The key identification system of claim 1, wherein said imaging system includes a light.
- 4. The key identification system of claim 3, wherein said light is directed toward said reflecting device.
- 5. The key identification system of claim 4, wherein said light includes a shield to direct light away from said master key.
- 6. The key identification system of claim 1, wherein said captured image is a digital image.
- 7. The key identification system of claim 1, wherein said captured image comprises a silhouette of said tip of said master key.

- 8. The key identification system of claim 1, wherein said positional variations include rolling of said master key with respect to said imaging system to determine characteristics of said contour.
- The key identification system of claim 1, wherein said positional variations include tilting of said master key with respect to said imaging system to determine characteristics of said contour.
- 10. The key identification system of claim 1, wherein said positional variations include tipping of said master key with respect to said imaging system to determine characteristics of said contour.
- 11. The key identification system of claim 1 wherein said captured image comprises a silhouette of the blade of said master key.
- 12. The key identification system of claim 1, wherein said characteristics of said contour are determined based on image data from at least said two or more views of said master key.
- 13. The key identification system of claim 12, wherein said logic calculates the geometry of the contour as seen from a view of the tip parallel to the blade based on data from at least said two or more views of said master key.
- 14. The key identification system of claim 12, wherein said logic calculates the geometry of the contour as though the tip were squarely cut based on data from at least said two or more views of said master key.
- 15. The key identification system of claim 1 further comprising a key holder configured to hold said master key.
- The key identification system of claim 15, wherein said key holder includes a clamp.
- 17. The key identification system of claim 1, wherein one of said views of said master key includes a view from above the master key blade of a groove in the bottom of said blade.

- 18. The key identification system of claim 1, wherein one of said views of said master key includes a view from an angle between perpendicular and parallel to the blade of said master key and not including perpendicular or parallel to the blade of said master key,
- 19. The key identification system of claim I, wherein the logic is configured to shift data related to said characteristics of said contour to compare with said known key blank characteristics.
- 20. The key identification system of claim 1, wherein said logic includes a tolerance range for each characteristic of said master key to determine whether a master key passes or fails to match a known key blank.

#### **ABSTRACT**

A key identification system is provided. The key identification system comprises an imaging system to capture an image of a master key, and a logic to analyze the captured image. The imaging system may be capture an image of a groove in the master key from an angle between perpendicular and parallel to the blade of said master key. The logic analyzes the captured image to compare characteristics of the groove with groove characteristics of known key blanks to determine the likelihood of a match between the master key and a known key blank. The key identification system may further compensate for displacement or orientation of the master key with respect to the imaging system when analyzing characteristics of the groove.

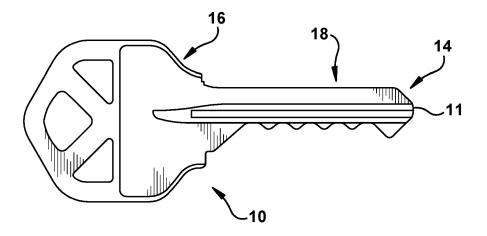
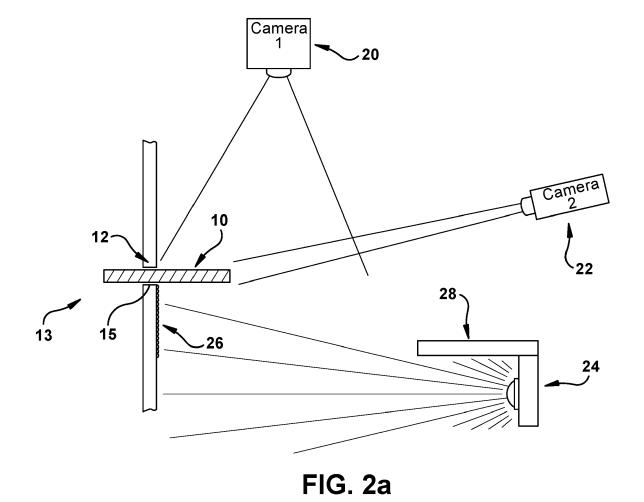
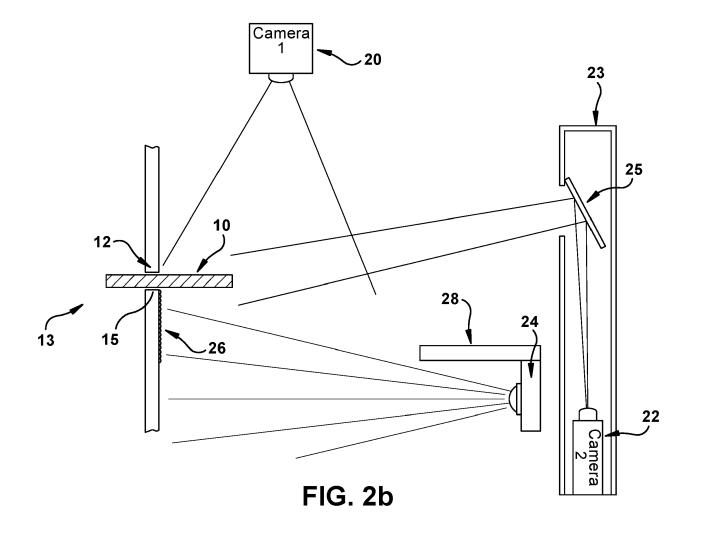


FIG. 1



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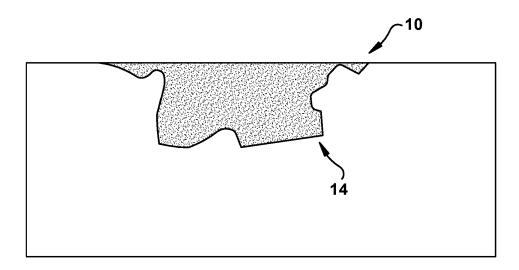
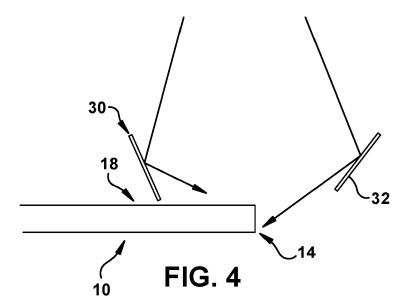


FIG. 3



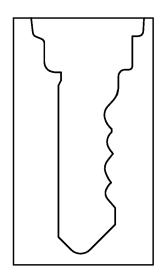


FIG. 5

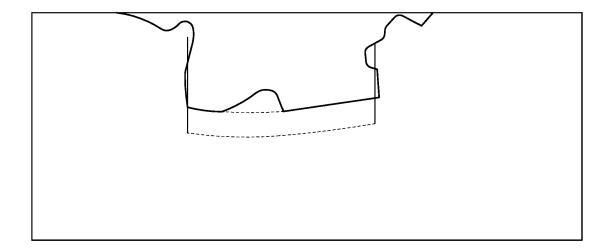


FIG. 6

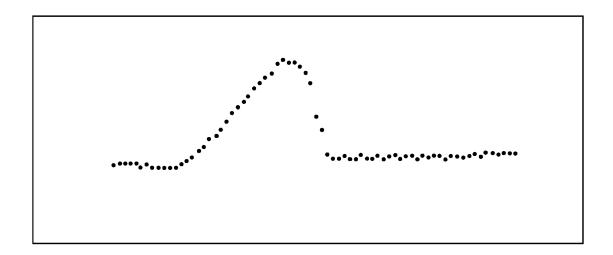


FIG. 7

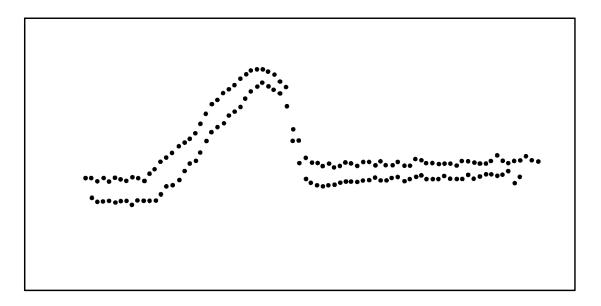


FIG. 8

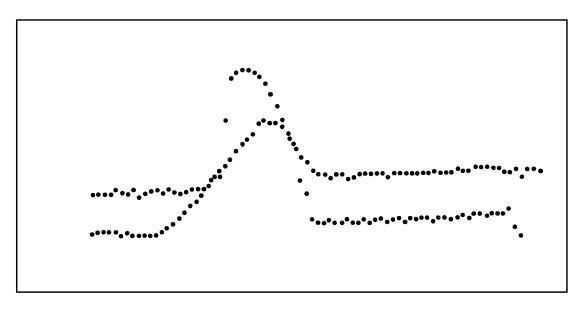
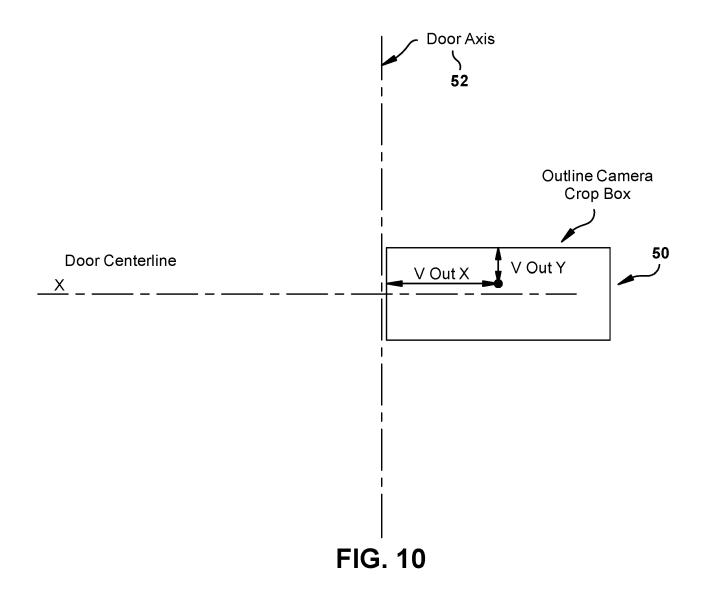
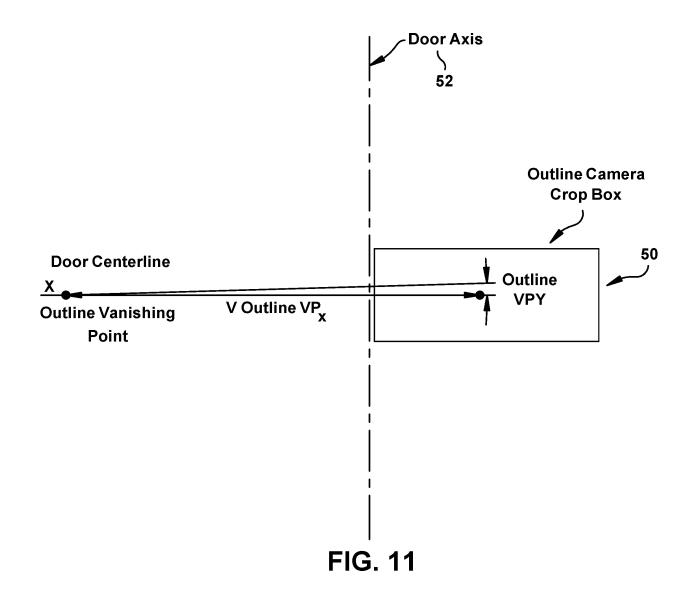


FIG. 9





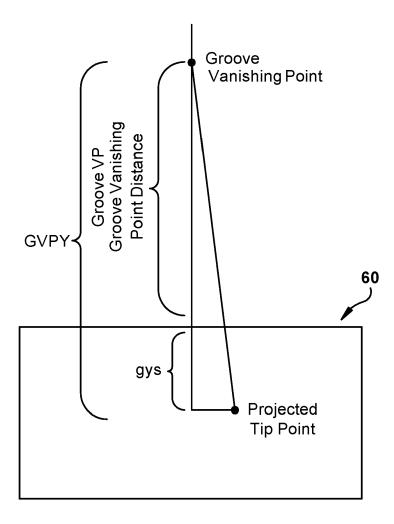


FIG. 12

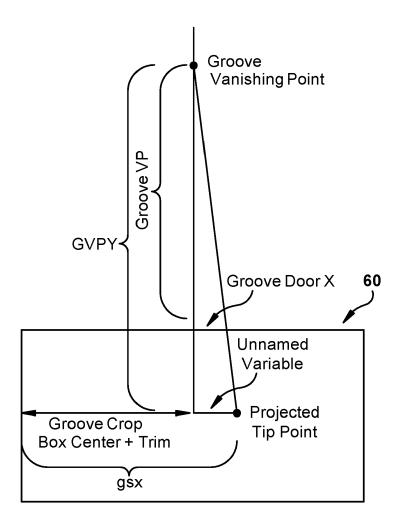


FIG. 13

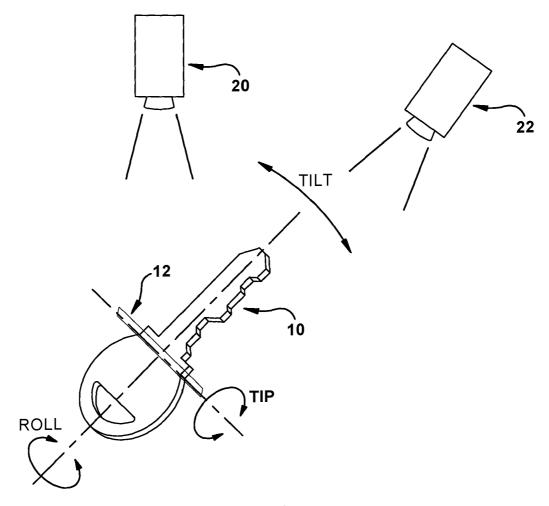


FIG. 14

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Application Da	ita Sheet 37 CF	D 1 76	Attorney	Docket N	lumber	16223-00	0341				
Application Da	ita Sileet 37 Ci	IX 1.70	Application	n Numb	er						
Title of Invention	KEY BLANK IDEN	TIFICATIO	N SYSTEM	WITH GR	OOVE SC	ANNING					
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44039

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All Inventors Must Be Listed - Additional Inventor Information blocks may be

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Application Data Sheet 37 CFR 1.76		Attorney Docket Number		16223-00341								
Application ba	la Sile	et 37 Ci	1.70	Application Number								
Title of Invention	KEY B	LANK IDEN	TIFICATIO	N SYSTEM WITH	GROOVE SO	CANNING	3					
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Filing By Refe	erenc	e:			<u>'</u>							
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Publication I	nforn	nation:	1									
Request Early	Publica	ation (Fee r	equired a	t time of Reques	t 37 CFR 1.2	219)						
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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	16223-00341				
	Application Data Sheet 37 CFR 1.76		Application Number				
	Title of Invention	KEY BLANK IDENTIFICATION	TIFICATION SYSTEM WITH GROOVE SCANNING				

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This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, 365(c), or 386(c) or indicate National Stage entry from a PCT application. Providing benefit claim information in the Application Data Sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78.

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Prior Applicati	on Status	Pending		•	Remove					
Application N	lumber	Conti	nuity Type		Prior Application Number Filing or 371(c) Date (YYYY-MM-DD)					
		Continuation of		▼	1	15/351634		2016-11-15		
Prior Applicati	on Status	Patented		•				Ren	nove	
Application Number	Cont	inuity Type	Prior Applicat Number	ion	i	Filing Date (YYYY-MM-DD)	Patent Number		C	Issue Date YYYY-MM-DD)
15/351634	Continuat	tion of	14/162157			2014-01-23	95143	385	20	016-12-06
Prior Applicati	on Status	Patented		•				Ren	nove	
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Prior Applicati	on Status	Expired		•				Ren	nove	
Application N	lumber	Conti	nuity Type			Prior Application N	umber			1(c) Date IM-DD)
12/772709		Claims benefit	of provisional	•	6	61/275654		2009-09-01		
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Application N	lumber	Conti	nuity Type	Prior Application Number Filing or 371(c) Date (YYYY-MM-DD)						
12/772709 Claims benefit of provisional ▼ 61/215152 2009-05-01										
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Application Data Sheet 37 CFR 1.76		Attorney Docket Number 16223-0034					
Application Data offeet of CFR 1.70			Applica	ation Number			
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# Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition **Applications**

This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March
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NOTE: By providing this statement under 37 CFR 1.55 or 1.78, this application, with a filing date on or after March
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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	16223-00341		
		Application Number			
Title of Invention	KEY BLANK IDENTIFICATION	ATION SYSTEM WITH GROOVE SCANNING			

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When this Application Data Sheet is properly signed and filed with the application, applicant has provided written authority to permit a participating foreign intellectual property (IP) office access to the instant application-as-filed (see paragraph A in subsection 1 below) and the European Patent Office (EPO) access to any search results from the instant application (see paragraph B in subsection 1 below).

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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	16223-00341		
		Application Number			
Title of Invention	KEY BLANK IDENTIFICATIO	LANK IDENTIFICATION SYSTEM WITH GROOVE SCANNING			

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Application Data Sheet 37 CFR 1.76		Attorney Docket Number		16223-00	16223-00341				
Applicatio	ni Data S	nieet 37	CI K 1.70	Application Number					
Title of Inven	ition KE	Y BLANK I	DENTIFICATION	N SYSTEM WIT	H GROOVE (	SCANNING			
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Application Da	ita Sheet 37 CFR 1.76	Attorney Docket Number	16223-00341			
Application Da	ita Sileet 37 Cl K 1.70	Application Number				
Title of Invention	KEY BLANK IDENTIFICATIO	DENTIFICATION SYSTEM WITH GROOVE SCANNING				

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- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform
  a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C.
  552a(m)
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent CooperationTreaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

## COMBINED ASSIGNMENT AND DECLARATION OF PATENT APPLICATION

## **Assignment of Patent Application**

Whereas, we, Chester O.D. Thompson, having an address at 7377 Amanda Place, Painesville, OH 44077, United States of America; William R. Mutch, having an address at 35284 Nikki Avenue, North Ridgeville, OH 44039, United States of America; hereinafter referred to as "Assignors," have invented, a new and useful invention, for which a U.S. Utility Patent Application was filed on January 23, 2014 having Attorney Docket No.: 16223-00274 and Application Serial No.: 14/162,157; U.S. Utility Patent Application was filed on May 3, 2010 having Attorney Docket No.: 16223-0005 and Application Serial No.: 12/772,709; Provisional Patent Application filed on September 1, 2009 having Attorney Docket No.: 16223-00129 and Application No.: 61/275,654; and Provisional Patent Application filed on May 1, 2009 having Attorney Docket No. 16223-00122 and Application Serial No. 61/215,152 and any subsequent applications filed in connection with the above invention (the "Patent").

Whereas, HY-KO PRODUCTS COMPANY, having an address at 60 Meadow Lane, Northfield, OH 44067, hereinafter referred to as "Assignee," is desirous of acquiring the entire right, title and interest in and to said Patent;

NOW, THEREFORE, in consideration of the sum of ten dollars (\$10.00), and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, we, the Assignors, by these presents do sell, assign and transfer unto Assignee, its successors and assigns, the full and exclusive right to the Patent and all letters patent of foreign countries which may be or have

been issued on the invention disclosed in the Patent, all divisions, reissues, and continuations thereof, and all inventions disclosed therein, together with all claims for damages by reason of past or future infringement, with the right to sue for and collect the same for the use and benefit of Assignee and its successors and assigns.

Assignors warrant that they are the exclusive owners of the entire legal and equitable interest in the Patent and the invention disclosed therein, free and clear of all liens and encumbrances; and that they have full power, authority, and capacity to make this Assignment to Assignee. Assignors covenant and agree, for themselves and for their successors and assigns that, at Assignee's request, Assignors will cause to be executed and delivered any applications, affidavits, assignments, and other instruments as may be deemed necessary or desirable by Assignee to secure for or vest in Assignee, its successors or assigns, all right, title, and interest in and to any application, patent, or other right or property covered by this assignment, including the right to apply for and obtain patents in foreign countries under the provisions of the International Convention; and Assignors hereby request and authorize the United States Commissioner of Patents and Trademarks to issue any and all United States patents granted on the Patent to Assignee as owner of the entire right, title, and interest in and to the same, and authorizes appropriately empowered officials of foreign countries to issue any letters patent granted on the Patent to Assignee as owner of the entire right, title, and interest in and to the same.

## Declaration (37 Cfr 1.63) For Utility Or Design Application Using An Application Data Sheet (37 Cfr 1.76)

Title of Invention: Key Blank Identification System with Groove Scanning

As the below named inventor, I hereby declare that this declaration is directed to the attached application.

{4703260:}

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR §1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation application.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

[Signature pages follow.]

{4703260;}

# Ref. #16223-00274 "KEY BLANK IDENTIFICATION SYSTEM WITH GROOVE SCANNING"

Legal Name of Inventor: Chester O.D. Thompson

Feling 22, 2014

By:

Chester O.D. Thompson

Date:

(4703260:)

## Ref. #16223-00274

## "KEY BLANK IDENTIFICATION SYSTEM WITH GROOVE SCANNING"

Legal Name of Inventor: William R. Mutch

By:

William R. Mutch

Date:

: 2/28/14

{4703260:}

#### PATENT COOPERATION TREATY

#### From the INTERNATIONAL BUREAU

# **PCT**

NOTIFICATION CONCERNING
TRANSMITTAL OF COPY OF INTERNATIONAL
PRELIMINARY REPORT ON PATENTABILITY
(CHAPTER I OF THE PATENT COOPERATION
TREATY)

(PCT Rule 44bis.1(c))

CUPAR, David B. 600 Superior Avenue, E. Ste. 2100 c/o McDonald Hopkins LLC Cleveland, Ohio 44114 ETATS-UNIS D'AMERIQUE

Date of mailing (day/month/year) 25 July 2013 (25.07.2013)

Applicant's or agent's file reference 16223-00178P (9)

IMPORTANT NOTICE

International application No.
PCT/US2011/034564
International filing date (day/month/year)
29 April 2011 (29.04.2011)

Priority date (day/month/year)

14 January 2011 (14.01.2011)

Applicant

MUTCH, William R. et al

The International Bureau transmits herewith a copy of the international preliminary report on patentability (Chapter I of the Patent Cooperation Treaty)

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Authorized officer

Simin Baharlou

Facsimile No. +41 22 338 82 70

e-mail: pt09.pct@wipo.int

Form PCT/IB/326 (January 2004)

## PATENT COOPERATION TREATY

# **PCT**

# INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Chapter I of the Patent Cooperation Treaty)

(PCT Rule 44bis)

Applicant's or agent's file reference 16223-00178P	FOR FURTHER ACTION	See item 4 below					
	International filing date (day/month/year) 29 April 2011 (29.04.2011)	Priority date (day/month/year) 14 January 2011 (14.01.2011)					
	nternational Patent Classification (8th edition unless older edition indicated) See relevant information in Form PCT/ISA/237						
Applicant MUTCH, William R.							

1.	This international preliminary report on patentability (Chapter I) is issued by the International Bureau on behalf of the International Searching Authority under Rule 44 $bis.1(a)$ .				
2.	This REPORT consists of a total of 5 sheets, including this cover sheet.				
	In the attached sheets, any reference to the written opinion of the International Searching Authority should be read as a reference to the international preliminary report on patentability (Chapter I) instead.				
3.	This report contains indications relating to the following items:				
	$\boxtimes$	Box No. I	Basis of the report		
		Box No. II	Priority		
		Box No. III	Non-establishment of opinion with regard to novelty, inventive step and industrial applicability		
		Box No. IV	Lack of unity of invention		
	X	Box No. V	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement		
		Box No. VI	Certain documents cited		
		Box No. VII	Certain defects in the international application		
		Box No. VIII	Certain observations on the international application		
4.	The International Bureau will communicate this report to designated Offices in accordance with Rules 44bis.3(c) and 93bis.1 but not, except where the applicant makes an express request under Article 23(2), before the expiration of 30 months from the priority date (Rule 44bis .2).				
			Date of issuance of this report 16 July 2013 (16.07.2013)		

Authorized officer

e-mail: pt09.pct@wipo.int

Simin Baharlou

Facsimile No. +41 22 338 82 70 Form PCT/IB/373 (January 2004)

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

## PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHOR	uтy				
To: DAVID CUPAR C/O MCDONALD HOPKINS LLC 600 SUPERIOR AVENUE, E. S' CLEVELAND, OH 44114		PCT  WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY			
022728418, 01144114					
			(PCT Rule 43bis.1)		
		Date of mailing (day/month/year)	ր5յսլ2011		
Applicant's or agent's file reference		FOR FURTHER ACTION			
16223-00178P		See paragraph 2 below			
International application No.	nternational filing date	(day/month/year)	Priority date (day/month/year)		
PCT/US2011/034564 2	29 April 2011		14 January 2011		
International Patent Classification (IPC) or IPC(8) - B23C 3/35 (2011.01) USPC - 409/81	both national classificat	ion and IPC			
Applicant HY-KO PRODUCTS COME	PANY				
1. This opinion contains indications relating to the following items:    Box No. I   Basis of the opinion					
2. FURTHER ACTION  If a demand for international preliminary examination is made, this opinion will be considered to be a written opinion of the International Preliminary Examining Authority ("IPEA") except that this does not apply where the applicant chooses an Authority other than this one to be the IPEA and the chosen IPEA has notified the International Bureau under Rule 66.1bis(b) that written opinions of this International Searching Authority will not be so considered.  If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited to submit to the IPEA a written reply together, where appropriate, with amendments, before the expiration of 3 months from the date of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later.  For further options, see Form PCT/ISA/220.					
3. For further details, see notes to Form PCT/ISA/220.					
Name and mailing address of the ISA/US I Mail Stop PCT, Attn: ISA/US Commissioner for Patents P.O. Box 1450, Alexandria, Virginia 22313-1450 Facsimile No. 571-273-3201	Date of completion of the O8 July 2011	nis opinion	Authorized officer: Blaine R. Copenheaver PCT Helpdesk: 571-272-4300 PCT OSP: 571-272-774		

Form PCT/ISA/237 (cover sheet) (July 2009)

## PCT/US2011/034564 15.07.2011

# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/US2011/034564

Box	No. I	Basis of this opinion
	With	and to the language this points has been established on the basis of
1.	With r	egard to the language, this opinion has been established on the basis of: the international application in the language in which it was filed.
		a translation of the international application into which is the language of a
		translation furnished for the purposes of international search (Rules 12.3(a) and 23.1(b)).
	_	
2.		This opinion has been established taking into account the rectification of an obvious mistake authorized by or notified to this Authority under Rule 91 (Rule 43bis.1(a))
3.		egard to any nucleotide and/or amino acid sequence disclosed in the international application, this opinion has been shed on the basis of a sequence listing filed or furnished:
	a. (m	cans)
	늗	on paper
	L	in electronic form
	b. (tir	ne)
	· [	in the international application as filed
		together with the international application in electronic form
		subsequently to this Authority for the purposes of search
4.		In addition, in the case that more than one version or copy of a sequence listing has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished.
_		
5.	Additi	onal comments:
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Form PCT/ISA/237 (Box No. 1) (July 2009)

#### PCT/US2011/034564 15.07.2011

# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No.

PCT/US2011/034564

Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement Statement Novelty (N) Claims 7, 9-10, 13, 21, 23-24 YES Claims 1-6, 8, 11-12, 14-20, 22, 25-28 NO None Inventive step (IS) Claims YES 1-28 Claims Industrial applicability (IA) Claims 1-28 YES Claims None NO

#### 2. Citations and explanations:

Claims 1-6, 8, 11-12, 14-20, 22, 25-28 lack novelty under PCT Article 33(2) as being anticipated by Bass et al.

Referring to Claim 1, Bass et al. disclose a key duplicating machine comprising a key load position to receive a key (Para. [0048]), an antenna (Para. [0061] - regarding the logic may compare the quantified and defined features of the master key 22 with data in a database regarding known key blanks 24), configured to communicate with a key located at said key load position (Para. [0061]), and a cutting member (Fig. 8, Left and right cutting wheels 60, 62), configured to cut a key pattern into a key blank at said key load position (Para. [0066]).

Referring to Claim 2, Bass et al. disclose a key duplicating machine as in Claim 1, and further disclose wherein said antenna (Para. [0061] — regarding the logic may compare the quantified and defined features of the master key 22 with data in a database regarding known key blanks 24), is configured to transmit data to said key (Paras. [0061], [0065]).

Referring to Claim 3, Bass et al. disclose a key duplicating machine as in Claim 1, and further disclose wherein said antenna (Para. [0061] - regarding the logic may compare the quantified and defined features of the master key 22 with data in a database regarding known key blanks 24), is configured to receive data from said key (Para. [0061] - regarding the logic may make direct comparisons between physical dimensions of known key blanks 24 and the features of the master key 22).

Referring to Claim 4, Bass et al. disclose a key duplicating machine as in Claim 1, and further disclose wherein said key is a master key (Fig. 1, master key 22).

Referring to Claim 5, Bass et al. disclose a key duplicating machine as In Claim 1, and further disclose wherein said key is a key blank (Fig. 4b key blank 24).

Referring to Claim 6, Bass et al. disclose a key duplicating machine as in Claim 1, and further disclose wherein said key includes a microchip embedded therein (Para. [0093]).

Referring to Claim 8, Bass et al. disclose a key duplicating machine as in Claim 1, and further disclose further comprising an outer shell (Fig. 1, outer shell 12), having an opening positioned about said key load position (Fig. 1, and Para. [0046]).

Referring to Claim 11, Bass et al. disclose a key duplicating machine as in Claim 1, and further disclose wherein said key load position comprises a base (Fig. 2, base 16), and a door clamp (Fig. 2, door clamp 14).

Referring to Claim 12, Bass et al. disclose a key duplicating machine as in Claim 8, and further disclose wherein said door clamp (Fig. 2, door clamp 14), is movable with respect to said base (Fig. 2, base 16, and Para. [0046]).

Referring to Claim 14, Bass et al. disclose a key duplicating machine as in Claim 1, and further disclose wherein said cutting member (Fig. 8, Left and right cutting wheels 60, 62), is a cutting wheel (Para. [0066]).

Referring to Claim 15, Bass et al. disclose a key duplicating machine comprising a key load position to receive a key (Para. [0048]), an antenna (Para. [0061] - regarding the logic may compare the quantified and defined features of the master key 22 with data in a database regarding known key blanks 24), configured to communicate with a key located at said key load position (Para. [0061]), and an imaging system (Fig. 6, optical imaging device 50), configured to capture data related to said key at said key load position (Para. [0056]).

Referring to Claim 16, Bass et al. disclose a key duplicating machine as in Claim 15, and further disclose wherein said antenna (Para. [0061] – regarding the logic may compare the quantified and defined features of the master key 22 with data in a database regarding known key blanks 24), is configured to transmit data to said key (Paras. [0061], [0065]).

Referring to Claim 17, Bass et al. disclose a key duplicating machine as in Claim 15, and further disclose wherein said antenna (Para. [0061] - regarding the logic may compare the quantified and defined features of the master key 22 with data in a database regarding known key blanks 24), is configured to receive data from said key (Para. [0061] - regarding the logic may make direct comparisons between physical dimensions of known key blanks 24 and the features of the master key 22).

Form PCT/ISA/237 (Box No. V) (July 2009)

#### PCT/US2011/034564 15.07.2011

# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No.

PCT/US2011/034564

#### Supplemental Box

In case the space in any of the preceding boxes is not sufficient. Continuation of:

Referring to Claim 18, Bass et al. disclose a key duplicating machine as in Claim 15, and further disclose wherein said key is a master key (Fig. 1 master key 22)

Referring to Claim 19, Bass et al. disclose a key duplicating machine as in Claim 15, and further disclose wherein said key is a key blank (Fig. 4b key blank 24).

Referring to Claim 20, Bass et al. disclose a key duplicating machine as in Claim 15Claim 15, and further disclose wherein said key includes a microchip embedded therein (Para. [0093]).

Referring to Claim 22, Bass et al. disclose a key duplicating machine as in Claim 15, and further disclose further comprising an outer shell (Fig. 1, outer shell 12), having an opening positioned about said key load position (Fig. 1, and Para. [0046]).

Referring to Claim 25, Bass et al. disclose a key duplicating machine as in Claim 15, and further disclose wherein said key load position comprises a base (Fig. 2, base 16), and a door clamp (Fig. 2, door clamp 14).

Referring to Claim 26, Bass et al. disclose a key duplicating machine as In Claim 25, and further disclose wherein said door clamp (Fig. 2, door clamp 14), is movable with respect to said base (Fig. 2, base 16, and Para. [0046]).

Referring to Claim 27, Bass et al. disclose a key duplicating machine as in Claim 25, and further disclose further comprising at least one lever (Fig. 2, handle 18), configured to actuate movement of said door clamp (Para. [0046]).

Referring to Claim 28, Bass et al. disclose a key duplicating machine as in Claim 15, and further disclose wherein said imaging system (Fig. 6, optical imaging device 50), includes a camera (Para. [0056]).

Claims 7, 21 lack an inventive step under PCT Article 33(3) as being obvious over Bass et al. in view of Kubo et al.

Referring to Claim 7, Bass et al. disclose all the limitations of Claim 1, but do not disclose wherein said antenna comprises at least one pair of windings. However, Kubo et al. teach a coil antenna and portable electronic apparatus comprising wherein an antenna (Fig. 2, antenna 100), comprises at least one pair of windings (Fig. 2, windings 2A, 2B). Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to have an antenna comprise at least one pair of windings as taught by Kubo et al. with the invention of Bass et al., for the purpose of customizing the shape of the antenna.

Referring to Claim 21, Bass et al. disclose all the limitations of Claim 15, but do not disclose wherein said antenna comprises at least one pair of windings. However, Kubo et al. teach a coil antenna and portable electronic apparatus comprising wherein an antenna (Fig. 2, antenna 100), comprises at least one pair of windings (Fig. 2, windings 2A, 2B). Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to have an antenna comprise at least one pair of windings as taught by Kubo et al. with the invention of Bass et al., for the purpose of customizing the shape of the antenna.

Claims 9-10, 13, 23-24 lack an inventive step under PCT Article 33(3) as being obvious over Bass et al. in view of Swayze et al.

Referring to Claim 9, Bass et al. disclose all the limitations of Claim 5, but do not disclose wherein said opening is circular. However, Swayze et al. teach an antenna comprising wherein an opening is circular (Fig. 3, and Pg. 1, Coi. 2, Lns. 19-27). Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to have an opening circular as taught by Swayze et al. with the invention of Bass et al., for the purpose of allowing the key into a slot.

Referring to Claim 10, Bass et al. disclose all the limitations of Claim 5, but do not disclose wherein said antenna is wound about said opening. However, Swayze et al. teach an antenna comprising wherein an antenna is wound about an opening (Fig. 3, and Pg. 1, Col. 2, Lns. 46-52). Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to have an antenna wound about said opening as taught by Swayze et al. with the invention of Bass et al., for the purpose of receiving clearer reception.

Referring to Claim 13, Bass et al. In view of Swayze et al. disclose all the limitations of Claim 9, and Bass et al. further disclose further comprising at least one lever (Fig. 2, handle 18), configured to actuate movement of said door clamp (Para. [0046]).

Referring to Claim 23, Bass et al. disclose all the limitations of Claim 22, but do not disclose wherein said opening is circular. However, Swayze et al. teach an antenna comprising wherein an opening is circular (Fig. 3, and Pg. 1, Col. 2, Lns. 19-27). Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to have an opening circular as taught by Swayze et al. with the invention of Bass et al., for the purpose of allowing the key into a slot.

Referring to Claim 24, Bass et al. disclose all the limitations of Claim 22, but do not disclose wherein said antenna is wound about said opening. However, Swayze et al. teach an antenna comprising wherein an antenna is wound about an opening (Fig. 3, and Pg. 1, Col. 2, Lns. 46-52). Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to have an antenna wound about said opening as taught by Swayze et al. with the invention of Bass et al., for the purpose of receiving clearer reception.

Claims 1-28 meet the criteria set out in PCT Article 33(4), and thus have industrial applicability because the subject matter claimed can be made or used in industry.

Form PCT/ISA/237 (Supplemental Box) (July 2009)

Electronic Ack	knowledgement Receipt		
EFS ID:	32051253		
Application Number:	15920955		
International Application Number:			
Confirmation Number:	2833		
Title of Invention:	KEY BLANK IDENTIFICATION SYSTEM WITH GROOVE SCANNING		
First Named Inventor/Applicant Name:	Chester O.D. Thompson		
Correspondence Address:	MCDONALD HOPKINS LLC  -  600 Superior Avenue, East  Suite 2100  CLEVELAND OH 44114-2653  US 216-348-5400  ipmailbox@mcdonaldhopkins.com		
Filer:	Mark Jason Masterson		
Filer Authorized By:			
Attorney Docket Number:	16223-00341		
Receipt Date:	14-MAR-2018		
Filing Date:			
Time Stamp:	14:56:19		
Application Type:	Utility under 35 USC 111(a)		

## **Payment information:**

Submitted with Payment	no
File Listing:	

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)			
			4639741					
1	Non Patent Literature	6157023.pdf	12ce2b630f87cb55d8ac49d478aabe65edd 41352	no	92			
Warnings:	Warnings:							
Information:								
			790244	no	9			
2	Non Patent Literature	6157022.pdf	45fc47c3261f0d11ce5dc910a8d366c6a315 fe3a					
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		6157021.pdf	1605514	no	37			
3	Non Patent Literature		2ae81ff74070730b6bf101db864e471e3421 cef5					
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			47645	no	3			
4	Other Reference-Patent/App/Search documents	6157065.pdf	e951a3e15d7982b1398317888e1813a862 ddc132					
Warnings:	-							
Information:								
		6157080.pdf	256471	no	6			
5	Other Reference-Patent/App/Search documents		5a6c7225e770f5f8d334db8cdf977ce210ea4 9196					
Warnings:								
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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.