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Application Number: 17012813 Document Date: 09/04/2020

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Drawing

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Form Revision Date: March 1, 2019

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TRANSMITTAL FOR POWER OF ATTORNEY TO ONE OR MORE REGISTERED PRACTITIONERS

NOTE: This form is to be submitted with the Power of Attorney by Applicant form (PTO/AIA/82B) to identify the application to which the Power of Attorney is directed, in accordance with 37 CFR 1.5, unless the application number and filing date are identified in the Power of Attorney by Applicant form. If neither form PTO/AIA/82A nor form PTO/AIA82B identifies the application to which the Power of Attorney is

directed, the Power of A	Attorney will	not be recognized in th	ne application.					
Application Numb	er	Not Yet K	nown					
Filing Date		Septembe	er 4, 2020					
First Named Inve	ntor	Li et al.						
Title		PILOT SUBCAF	METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS					
Art Unit		Not Yet	et Known					
Examiner Name		Not Yet Known						
Attorney Docket N	Number	NEO-PT008.8						
SIGNATU	JRE of A	oplicant or Pate	nt Practitioner					
Signature	/And	rew D. B	uschmeier/	Date (Optional)	September 4, 2020			
Name	Andrew	D. Buschmeier		Registration Number	73179			
Title (if Applicant is a juristic entity)								
Applicant Name (if Ap			Neo Wireless L	/ireless LLC				
NOTE: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4(d) for signature requirements and certifications. If more than one applicant, use multiple forms.								
*Total of 1 forms are submitted.								

This collection of information is required by 37 CFR 1.131, 1.32, and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Doc Code: PA..

Document Description: Power of Attorney

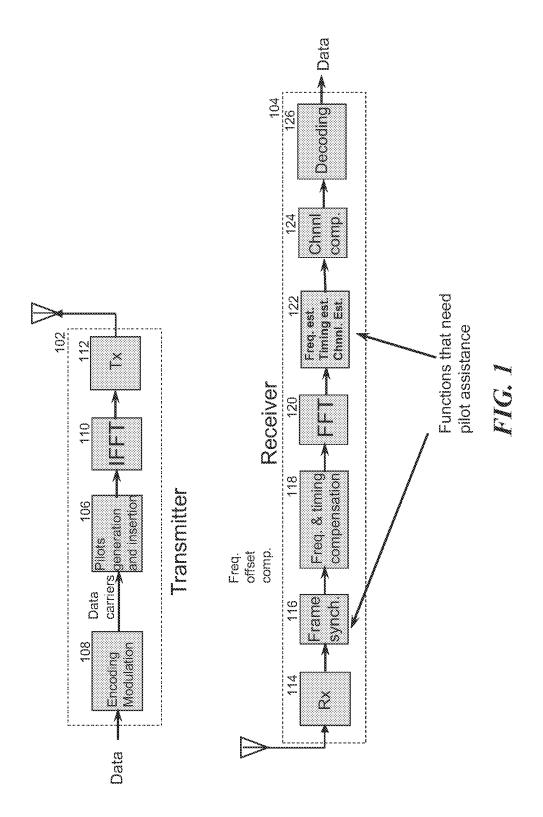
PTO/AIA/82B (07-13)
Approved for use through 03/31/2021. OMB 0651-0035
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

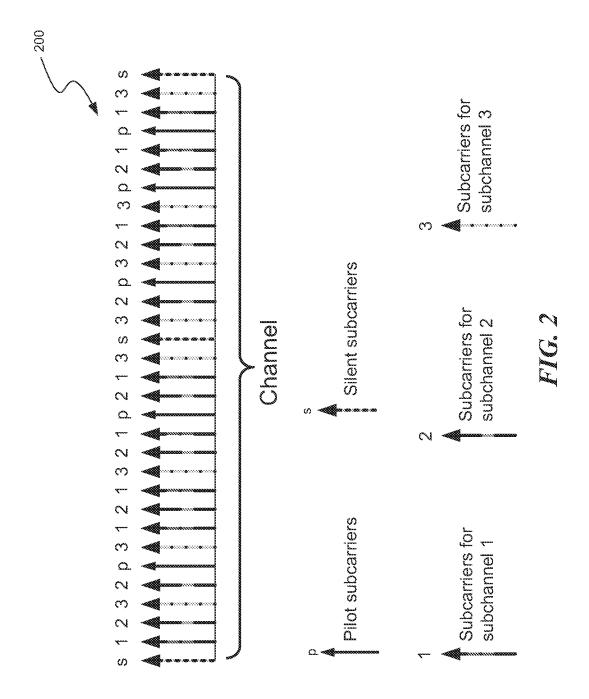
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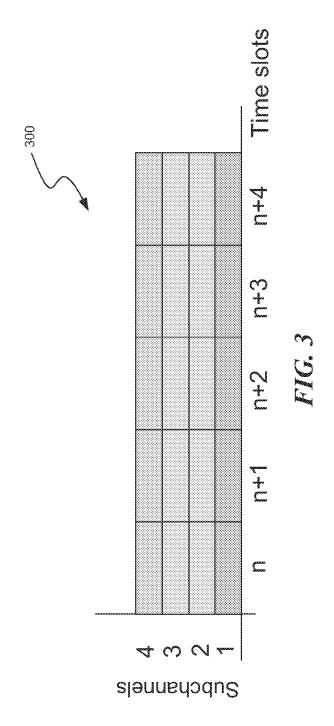
POWER OF ATTORNEY BY APPLICANT

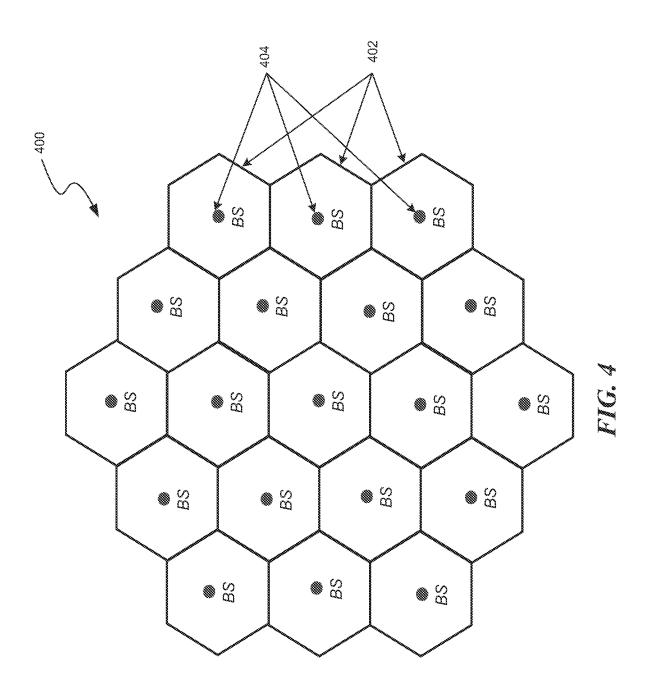
I hereb	y revoke all pre kes below.	evious powers of attorney given	in the applicati	on identified in either	the attached transmittal letter or
	Α	Application Number		Filing Date	
	I hereby appoin to transact all be the attached tra OR I hereby appoin all business in the	ousiness in the United States Paten ansmittal letter (form PTO/AIA/82A) nt Practitioner(s) named in the attac	ated with the follont and Trademark) or identified abooched list (form PT emark Office cont	owing Customer Number of Office connected therefore: 03624 O/AIA/82C) as my/our anected therewith for the	attorney(s) or agent(s), and with for the application referenced in attorney(s) or agent(s), and to transact patent application referenced in the
Please letter	e recognize or or the boxes a	change the correspondence above to:	address for th	e application identif	fied in the attached transmittal
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		nt Inventor (title not required below)			
片		ntative of a Deceased or Legally Ind	•		,
					's title if applicant is a juristic entity)
	Person Who Oth application or is	therwise Shows Sufficient Proprietals concurrently being filed with this d	ary Interest (e.g., document) (provic	a petition under 37 CFR le signer's title if applica	t 1.46(b)(2) was granted in the intine intine
			URE of Applican		
The ı	undersigned (who	se title is supplied below) is authorize	ed to act on behalf		nere the applicant is a juristic entity).
Signa) Inst Yoz		Date (Optional)	
Name	Э	David Loo			
Title		C.E.O., Neo Wireless LLC			
		nis form must be signed by the applica ore than one applicant, use multiple f		with 37 CFR 1.33. See 3	7 CFR 1.4 for signature requirements
Total	l of 1	forms are submitted.			

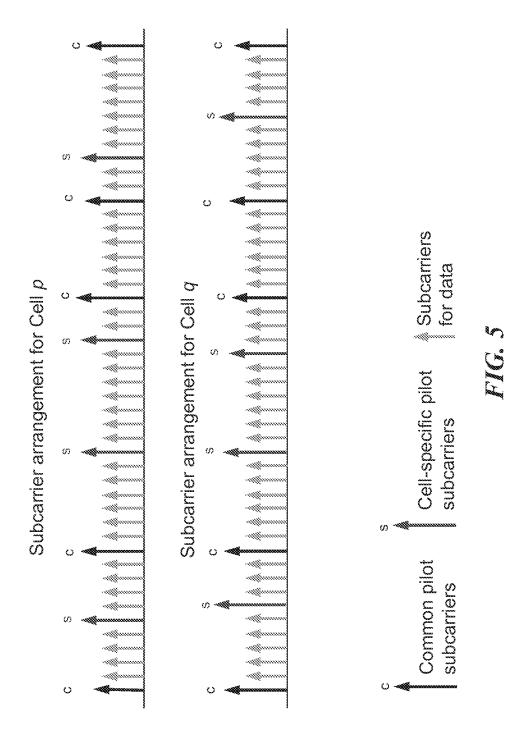
This collection of information is required by 37 CFR 1.131, 1.32, and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

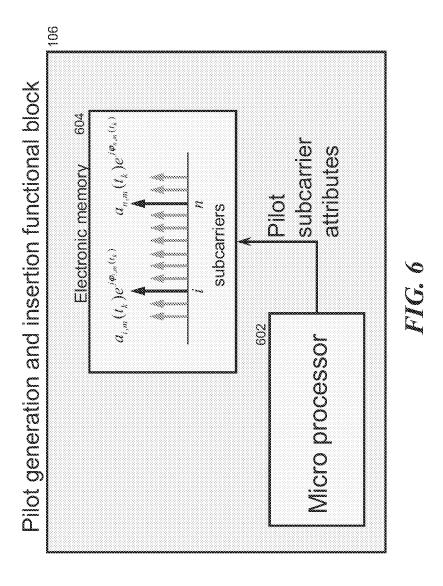


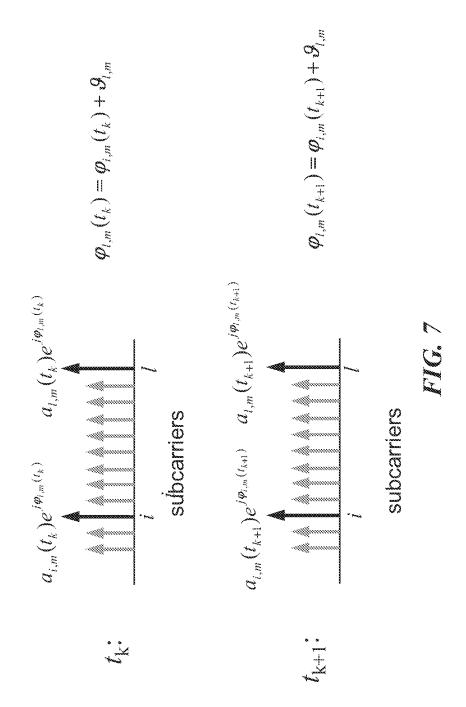




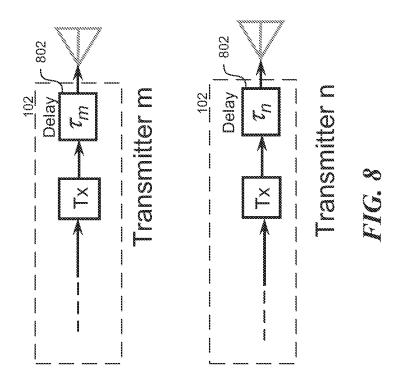


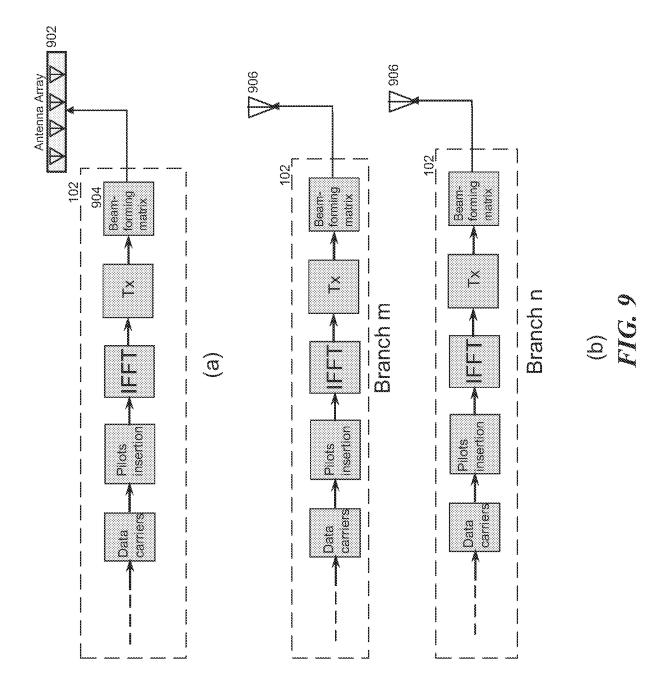


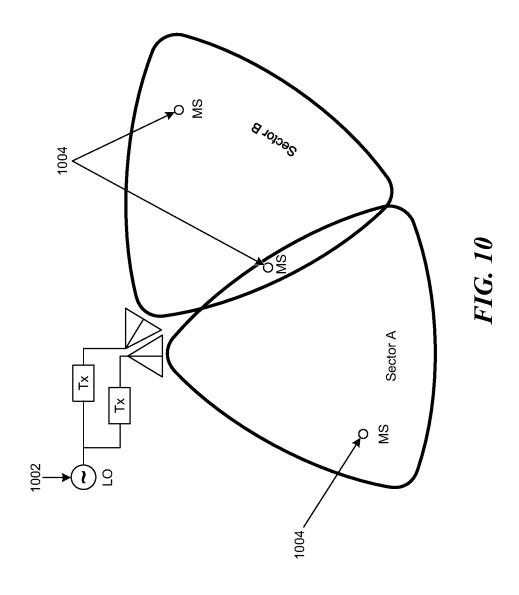


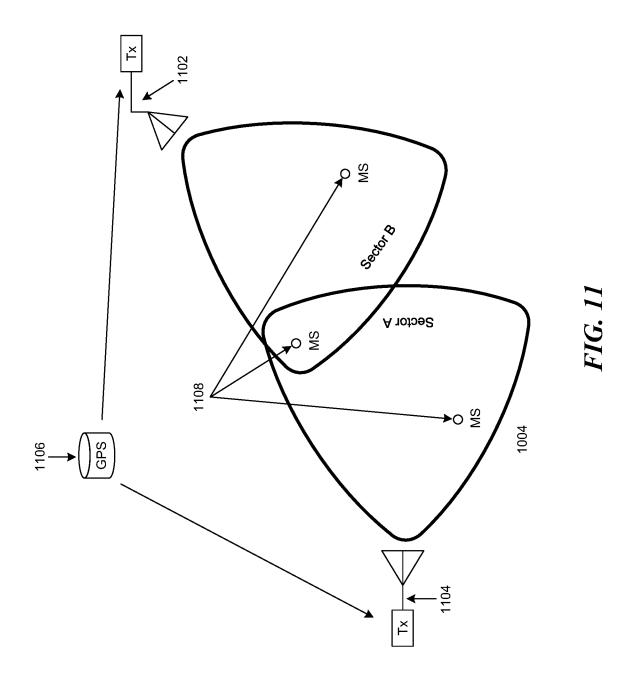


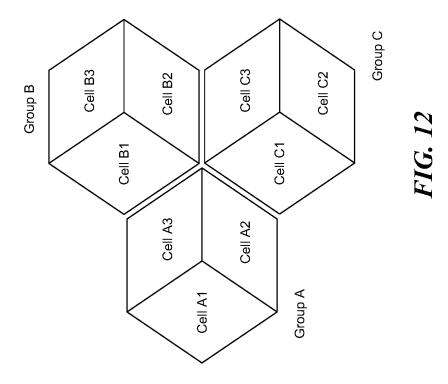
Title: METHODS AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS

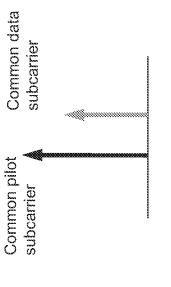




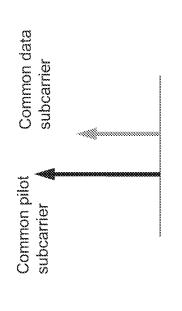








Common subcarrier arrangement for Cell p



Common subcarrier arrangement for Cell q

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)
Title of Invention METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS
As the below named inventor, I hereby declare that:
This declaration The attached application, or is directed to:
United States application or PCT international application number 13/874,278 filed on 04/30/2013
The above-identified application was made or authorized to be made by me.
I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.
I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.
I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment.
I acknowledge the duty to disclose information material to patentability as defined in 37 C.F.R. 1.56 including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.
WARNING:
Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.
LEGAL NAME OF INVENTOR
Inventor: Xiaodong Li Date: 6/5/20/3
Signature:
Note: An application data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form or must have been previously filed. Use an additional PTO/AIA/01 form for each additional inventor.

61240-8001.US03/LEGAL26565686.1

DE	CLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)
Title of Invention	METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS
As the below	named inventor, I hereby declare that:
This declaration	on The attached application, or
	X United States application or PCT international application number
The above-ide	entified application was made or authorized to be made by me.
I believe that I	am the original inventor or an original joint inventor of a claimed invention in the application.
	owledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 isonment of not more than five (5) years, or both.
	that I have reviewed and understand the contents of the above identified application, including the claims, by any amendment.
continuátion-ir	the duty to disclose information material to patentability as defined in 37 C.F.R. 1.56 including for n-part applications, material information which became available between the filing date of the prior d the national or PCT international filing date of the continuation-in-part application.
annesseenes	WARNING:
contribute to id (other than a ci to support a pe petitioners/app USPTO. Petitic application (un patent. Furthe referenced in a	cant is cautioned to avoid submitting personal information in documents filed in a patent application that may entity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers heck or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO dition or an application. If this type of personal information is included in documents submitted to the USPTO, licants should consider redacting such personal information from the documents before submitting them to the personal information is available to the public after publication of the less a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a remore, the record from an abandoned application may also be available to the public if the application is a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms mitted for payment purposes are not retained in the application file and therefore are not publicly available.
LEGAL NAM	ME OF INVENTOR
Inventor:	Titus Lo Date: 6/5/2013
Signature:	
	ation data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form or

DEC		R 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN PLICATION DATA SHEET (37 CFR 1.76)
Title of Invention		PARATUS USING CELL-SPECIFIC AND COMMON PILOT MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION
As the below r	named inventor, I hereb	y declare that:
This declaration	n The attached a	pplication, or
	X United States a filed on	application or PCT international application number13/874,27804/30/2013
The above-ide	ntified application was n	nade or authorized to be made by me.
I believe that I	am the original inventor	or an original joint inventor of a claimed invention in the application.
		alse statement made in this declaration is punishable under 18 U.S.C. 1001 an five (5) years, or both.
	that I have reviewed and y any amendment.	d understand the contents of the above identified application, including the claims,
continuation-in	-part applications, mate	ormation material to patentability as defined in 37 C.F.R. 1.56 including for rial information which became available between the filing date of the prior ernational filing date of the continuation-in-part application.
		WARNING:
contribute to ide (other than a ch to support a pet petitioners/appli USPTO. Petition application (unle patent. Further referenced in a	entity theft. Personal info- neck or credit card author- ition or an application. If icants should consider re- ner/applicant is advised the ess a non-publication requipment, the record from an published application or	submitting personal information in documents filed in a patent application that may rmation such as social security numbers, bank account numbers, or credit card numbers zation form PTO-2038 submitted for payment purposes) is never required by the USPTO this type of personal information is included in documents submitted to the USPTO, dacting such personal information from the documents before submitting them to the hat the record of a patent application is available to the public after publication of the uest in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a abandoned application may also be available to the public if the application is an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms see are not retained in the application file and therefore are not publicly available.
LEGAL NAM	IE OF INVENTOR	
Inventor:	Ker	nin Li Date: 06/05/2013
Signature: _	16	
		14 or equivalent), including naming the entire inventive entity, must accompany this form or additional PTO/AIA/01 form for each additional inventor.

DEC		R 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN PLICATION DATA SHEET (37 CFR 1.76)
Title of Invention		PARATUS USING CELL-SPECIFIC AND COMMON PILOT MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION
As the below i	named inventor, I heret	y declare that:
This declaration is directed to	L	application, or application or PCT international application number13/874,278
The above-ide		nade or authorized to be made by me.
		or an original joint inventor of a claimed invention in the application.
		alse statement made in this declaration is punishable under 18 U.S.C. 1001 an five (5) years, or both.
	that I have reviewed an y any amendment.	d understand the contents of the above identified application, including the claims,
continuation-in	-part applications, mate	ormation material to patentability as defined in 37 C.F.R. 1.56 including for rial information which became available between the filing date of the prior ternational filing date of the continuation-in-part application.
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contribute to ide (other than a ch to support a per petitioners/appl USPTO. Petition application (uni patent. Further referenced in a	entity theft. Personal infoneck or credit card author tition or an application. If licants should consider represent applicant is advised these a non-publication record from an published application or	submitting personal information in documents filed in a patent application that may rmation such as social security numbers, bank account numbers, or credit card numbers ization form PTO-2038 submitted for payment purposes) is never required by the USPTO this type of personal information is included in documents submitted to the USPTO, dacting such personal information from the documents before submitting them to the hat the record of a patent application is available to the public after publication of the uest in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a labandoned application may also be available to the public if the application is an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms see are not retained in the application file and therefore are not publicly available.
LEGAL NAN	ME OF INVENTOR	r / r 1 ~ 2
Inventor:	Haimir	g Huang Date: 6/5/2013
Signature:		ETD CONTRACTOR OF THE PARTY OF
		14 or equivalent), including naming the entire inventive entity, must accompany this form or additional PTO/AIA/01 form for each additional inventor.

Doc Code: TRACK1.REQ

Document Description: TrackOne Request

PTO/AIA/424 (04-14)

CERTIFICATION AND REQUEST FOR PRIORITIZED EXAMINATION UNDER 37 CFR 1.102(e) (Page 1 of 1)

UNDER 37 CFR 1.102(e) (Page 1 of 1)								
First Named Inventor:	Xiaodong Li	Nonprovisional Application Number (if known):						
Title of Invention:	METHOD AND APPARATUS USING CELL-SPECIFIC AND COM	IMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELI	L WIRELESS COMMUNICATION NETWORKS					

APPLICANT HEREBY CERTIFIES THE FOLLOWING AND REQUESTS PRIORITIZED EXAMINATION FOR THE ABOVE-IDENTIFIED APPLICATION.

- 1. The processing fee set forth in 37 CFR 1.17(i)(1) and the prioritized examination fee set forth in 37 CFR 1.17(c) have been filed with the request. The publication fee requirement is met because that fee, set forth in 37 CFR 1.18(d), is currently \$0. The basic filing fee, search fee, and examination fee are filed with the request or have been already been paid. I understand that any required excess claims fees or application size fee must be paid for the application.
- 2. I understand that the application may not contain, or be amended to contain, more than four independent claims, more than thirty total claims, or any multiple dependent claims, and that any request for an extension of time will cause an outstanding Track I request to be dismissed.
- 3. The applicable box is checked below:
 - I. V Original Application (Track One) Prioritized Examination under § 1.102(e)(1)
- i. (a) The application is an original nonprovisional utility application filed under 35 U.S.C. 111(a).
 This certification and request is being filed with the utility application via EFS-Web.
 - (b) The application is an original nonprovisional plant application filed under 35 U.S.C. 111(a). This certification and request is being filed with the plant application in paper.
- ii. An executed inventor's oath or declaration under 37 CFR 1.63 or 37 CFR 1.64 for each inventor, <u>or</u> the application data sheet meeting the conditions specified in 37 CFR 1.53(f)(3)(i) is filed with the application.
 - II. Request for Continued Examination Prioritized Examination under § 1.102(e)(2)
- i. A request for continued examination has been filed with, or prior to, this form.
- ii. If the application is a utility application, this certification and request is being filed via EFS-Web.
- iii. The application is an original nonprovisional utility application filed under 35 U.S.C. 111(a), or is a national stage entry under 35 U.S.C. 371.
- iv. This certification and request is being filed prior to the mailing of a first Office action responsive to the request for continued examination.
- v. No prior request for continued examination has been granted prioritized examination status under 37 CFR 1.102(e)(2).

signature/Andrew D. Buschmeier/	Date September 4, 2020
Name (Print/Typed) Andrew D. Buschmeier	Practitioner Registration Number 73179
Note: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4(d) for Submit multiple forms if more than one signature is required.*	or signature requirements and certifications.
*Total of forms are submitted.	

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Under the F	Paperwork F	Reduction Act of 19	995, no pei	rsons are require	d to resp					ARTMENT OF C a valid OMB con	
Application Data Sheet 37 CFR 1.76 Attorney Docket Number NEO-PT008.8												
Application Data Sneet 37 CFR 1.76				Applicatio	n Nun	nber						
Title of Invention METHOD AND APPARATUS USING CELL-S CARRIER, MULTI-CELL WIRELESS COMMU									PILOT SU	JBCARF	RIERS IN MU	JLTI-
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Vailing	Address of	f Invento	or:									
Addre	ss 1		1750 112th A	ve. NE								
Addre	ss 2		Suite D159									
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Mailing Address of Inventor:											
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Addres	ss 2		Suite D159				_				
City		Bellevue					State/Prov	rince	WA		
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Invento					•				Remove		
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Application Data Shor	Attorney Docket Number			NEO-PT008.8				
Application Data She	Applicatio	Application Number						
Title of Invention METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS								
City Bellevue	Si	ate/l	Province	WA	Countr	y of Residence	US	
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Inventor 4						Re	emove	
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Address 1	1750 112th Ave.	NE						
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Application Information:								
Title of the Invention						TIC AND COMMON FUNICATION NETWO		RSIN
Attorney Docket Number	NEO-PT008.8			S	mall Ent	tity Status Claime	ed 🗌	
Application Type	Nonprovisional							•
Subject Matter	Utility							•
Total Number of Drawing	Sheets (if any)		13		Suggest	ed Figure for Pub	lication (if any)	5

Filing or 371(c) Date

(YYYY-MM-DD)

2019-06-13

Prior Application Number

16440754

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Application Data Sheet 37 CFR 1.7			Attorney Docket Nur	nber NE	NEO-PT008.8			
Application Da	ta Sileet 37 Ci	K 1.70	Application Number					
Title of Invention METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS								
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application papers inclu provided in the appropr For the purposes of a fili	ding a specification ar iate section(s) below (i ng date under 37 CFR	id any draw i.e., "Domes 1.53(b), the	rings are being filed. Any c tic Benefit/National Stage	lomestic ben Information" ings of the pr	CFR 1.57(a). Do not comple efit or foreign priority informand "Foreign Priority Informates application are replassed.	rmation must be 'mation").		
Application number of filed application	• • • • • • • • • • • • • • • • • • • •		te (YYYY-MM-DD)		Intellectual Property Au	i— uthority or Country		
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Request Early	Publication (Fee re	equired a	t time of Request 37 C	FR 1.219)				
35 U.S.C. 122 subject of an a	(b) and certify that	t the inver another co	ntion disclosed in the a	ttached ap	lication not be publishe plication has not and ernational agreement, t	will not be the		
Representativ	ve Informatio	n:						
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Please Select One:		er Numbe	US Patent Pra	ectitioner	Limited Recognitio	on (37 CFR 11.9)		
Customer Number	D3624							
Domestic Ben	efit/National	Stage	Information:					
This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, 365(c), or 386(c) or indicate National Stage entry from a PCT application. Providing benefit claim information in the Application Data Sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78. When referring to the current application, please leave the "Application Number" field blank.								
Prior Application	Status Pending		V		Remov	ve		

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Continuation of

Application Number

Continuity Type

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	NEO-PT008.8		
		Application Number			
Title of Invention	METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS				

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Prior Application	on Status	Patented			•				Remo	ove	
Application Number	Conti	nuity Type		Prior Applicat Number	ion	1	Filing Date (YYYY-MM-DD)	Pate	ent Number	Issue Date (YYYY-MM-D	
16440754	Continuati	on of	•	15688441			2017-08-28	10326	631	2019-06-18	
Prior Application	on Status	Patented			•				Remo	ove	
Application Number	Conti	nuity Type		Prior Applicat Number	ion	1	Filing Date (YYYY-MM-DD)	Pate	ent Number	Issue Date (YYYY-MM-D	
15688441	Continuati	on of	₹	14746676			2015-06-22	97491	68	2017-08-29	
Prior Application	on Status	Patented			•				Remo	ove	
Application Number	Conti	nuity Type		Prior Applicat Number	ion	1	Filing Date (YYYY-MM-DD)	Pate	ent Number	Issue Date (YYYY-MM-D	
14746676	Continuati	on of	•	14595132			2015-01-12	90656	14	2015-06-23	,
Prior Application	on Status	Patented			•				Remo	ove	
Application Number	Conti	nuity Type		Prior Applicat Number	ion	ì	Filing Date (YYYY-MM-DD)	Pate	ent Number	Issue Date (YYYY-MM-D	
14595132	Continuati	on of	•	13874278			2013-04-30	89344	73	2015-01-13	
Prior Application	on Status	Patented		•			Remove				
Application Number	Conti	nuity Type		Prior Application Number		ì	Filing Date (YYYY-MM-DD)	Patent Number		Issue Date (YYYY-MM-D	
13874278	Continuati	on of	•	13212116		2011-08-17	8432891		2013-04-30		
Prior Application	on Status	Patented			•				Remo	ove	
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13212116	Continuati	on of	•	10583530			2007-05-30	80096	60	2011-08-30	
Prior Application	on Status	Expired			•				Remo	ove	
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PCT/US05/01939		Claims bene	efit	of provisional	7	(60540032		2004-01-29		
Additional Domestic Benefit/National Stage Data may be generated within this form by selecting the Add button.											

Foreign Priority Information:

PTO/AIA/14 (02-18)

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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	NEO-PT008.8
		Application Number	
Title of Invention	METHOD AND APPARATUS CARRIER, MULTI-CELL WIR	COMMON PILOT SUBCARRIERS IN MULTI- TWORKS	

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Application Number	Country ⁱ	Filing Date (YYYY-MM-DD)	Access Code ⁱ (if applicable)
Additional Foreign Priority Add button.	Data may be generated wit	hin this form by selecting the	Add

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also
contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March
16, 2013.
NOTE: By providing this statement under 37 CFR 1.55 or 1.78, this application, with a filing date on or after March
16, 2013, will be examined under the first inventor to file provisions of the AIA.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	NEO-PT008.8	
		Application Number		
Title of Invention	METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS			

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NOTE: This section of the Application Data Sheet is **ONLY** reviewed and processed with the **INITIAL** filing of an application. After the initial filing of an application, an Application Data Sheet cannot be used to provide or rescind authorization for access by a foreign IP office(s). Instead, Form PTO/SB/39 or PTO/SB/69 must be used as appropriate.

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application.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	NEO-PT008.8	
		Application Number		
Title of Invention	METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS			

Applicant Information:

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Applicant 1			Remove				
If the applicant is the inventor (or the remaining joint inventor or inventors under 37 CFR 1.45), this section should not be completed. The information to be provided in this section is the name and address of the legal representative who is the applicant under 37 CFR 1.43; or the name and address of the assignee, person to whom the inventor is under an obligation to assign the invention, or person who otherwise shows sufficient proprietary interest in the matter who is the applicant under 37 CFR 1.46. If the applicant is an applicant under 37 CFR 1.46 (assignee, person to whom the inventor is obligated to assign, or person who otherwise shows sufficient proprietary interest) together with one or more joint inventors, then the joint inventor or inventors who are also the applicant should be identified in this section.							
Assignee	Legal Representative ur	der 35 U.S.C. 117	Joint Inventor				
Person to whom the inventor is obli	gated to assign.	Person who show	s sufficient proprietary interest				
If applicant is the legal representat	ive, indicate the authority to	ile the patent application	n, the inventor is:				
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Name of the Deceased or Legally	Incapacitated Inventor:						
If the Applicant is an Organization	n check here.						
Organization Name Neo Wire	ess LLC						
Mailing Address Information Fo	or Applicant:						
Address 1 123 V	Vest Wayne Avenue, Floor 1						
Address 2							
City	ie	State/Province	PA				
Country US		Postal Code	19087				
Phone Number		Fax Number					
Email Address							
Additional Applicant Data may be generated within this form by selecting the Add button.							

Assignee Information including Non-Applicant Assignee Information:

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Application Data Sheet 37 CFR 1.76			Attorney Docket Number N		NEO-PT008.8			
Application D	ala Sileel	37 CI K 1.70	Application Nu	Application Number				
Title of Invention		AND APPARATUS , MULTI-CELL WIRE				PILOT SUBCAR	RIERS IN	I MULTI-
Assignee 1	Assignee 1							
omplete this section if assignee information, including non-applicant assignee information, is desired to be included on the patent oplication publication. An assignee-applicant identified in the "Applicant Information" section will appear on the patent application ublication as an applicant. For an assignee-applicant, complete this section only if identification as an assignee is also desired on the atent application publication.								
						Rer	nove	
If the Assignee or	r Non-Applic	ant Assignee is an	organization cl	heck here.		Σ		
Organization Nan	ne Neo	Wireless LLC						
Mailing Address I	nformation	For Assignee inc	cluding Non-Ap	plicant Ass	ignee:			
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Address 2								
City	\ \	/ayne		State/Provin	ice	PA		
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Signature:						F	temove	
IOTE: This Application Data Sheet must be signed in accordance with 37 CFR 1.33(b). However, if this Application bata Sheet is submitted with the INITIAL filing of the application and either box A or B is not checked in subsection 2 of the "Authorization or Opt-Out of Authorization to Permit Access" section, then this form must liso be signed in accordance with 37 CFR 1.14(c). This Application Data Sheet must be signed by a patent practitioner if one or more of the applicants is a juristic ntity (e.g., corporation or association). If the applicant is two or more joint inventors, this form must be signed by a atent practitioner, all joint inventors who are the applicant, or one or more joint inventor-applicants who have been given ower of attorney (e.g., see USPTO Form PTO/AIA/81) on behalf of all joint inventor-applicants. See 37 CFR 1.4(d) for the manner of making signatures and certifications.								
Signature //Andrew D. Buschmeier/					Date (Y	YYY-MM-DD)	2020-0	9-04
First Name Andrew D. Last Name Buschmeier					Registra	ation Number	73179	
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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	NEO-PT008.8	
Application Da	ita Sileet S7 Cl K 1.70	Application Number		
Title of Invention METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS				

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ABSTRACT

A multi-carrier cellular wireless network (400) employs base stations (404) that transmit two different groups of pilot subcarriers: (1) cell-specific pilot subcarriers, which are used by a receiver to extract information unique to each individual cell (402), and (2) common pilots subcarriers, which are designed to possess a set of characteristics common to all the base stations (404) of the system. The design criteria and transmission formats of the cell-specific and common pilot subcarriers are specified to enable a receiver to perform different system functions. The methods and processes can be extended to other systems, such as those with multiple antennas in an individual sector and those where some subcarriers bear common network/system information.

CLAIMS

What is claimed is:

1. An orthogonal frequency division multiple access (OFDMA)-compatible base station that uses subcarriers in a frequency domain and time slots in a time domain, the OFDMA-compatible base station comprising:

a plurality of antennas; and

a transmitter operably coupled to the plurality of antennas;

the transmitter configured to:

insert cell-specific pilots onto a first plurality of subcarriers; and insert data and second pilots onto a second plurality of subcarriers; wherein at least some subcarriers of the first plurality of subcarriers or the second plurality of subcarriers are beam-formed; and

the plurality of antennas configured to transmit the first plurality of subcarriers and the second plurality of subcarriers in at least one of the time slots.

- 2. The OFDMA-compatible base station of claim 1 wherein all subcarriers of the first plurality of subcarriers are different than all subcarriers of the second plurality of subcarriers.
- 3. The OFDMA-compatible base station of claim 1 wherein the second plurality of subcarriers includes an nth subcarrier and an n+18 subcarrier spaced apart from the nth subcarrier by 17 subcarriers and the first plurality of subcarriers includes an mth subcarrier and an m+20 subcarrier spaced apart from the mth subcarrier by 19 subcarriers.
- 4. The OFDMA-compatible base station of claim 1 wherein each cell-specific pilot of the cell-specific pilots is unique to a respective cell.
- 5. The OFDMA-compatible base station of claim 1 wherein the first plurality of subcarriers are not aligned in frequency with subcarriers of at least another cell onto which respective cell-specific pilots are inserted.

- 6. The OFDMA-compatible base station of claim 1 wherein the second plurality of subcarriers are beam-formed.
- 7. The OFDMA-compatible base station of claim 1 wherein the cell-specific pilots are used to convey cell-specific information.
- 8. A method performed by an orthogonal frequency division multiple access (OFDMA)-compatible base station that uses subcarriers in a frequency domain and time slots in a time domain, the method comprising:

inserting, by the OFDMA-compatible base station, cell-specific pilots onto a first plurality of subcarriers;

inserting, by the OFDMA-compatible base station, data and second pilots onto a second plurality of subcarriers;

wherein at least some subcarriers of the first plurality of subcarriers or the second plurality of subcarriers are beam-formed; and

transmitting, by the OFDMA-compatible base station, the first plurality of subcarriers and the second plurality of subcarriers in at least one of the time slots using a plurality of antennas.

- 9. The method of claim 8 wherein all subcarriers of the first plurality of subcarriers are different than all subcarriers of the second plurality of subcarriers.
- 10. The method of claim 8 wherein the second plurality of subcarriers includes an nth subcarrier and an n+18 subcarrier spaced apart from the nth subcarrier by 17 subcarriers and the first plurality of subcarriers includes an mth subcarrier and an m+20 subcarrier spaced apart from the mth subcarrier by 19 subcarriers.
- 11. The method of claim 8 wherein each cell-specific pilot of the cell-specific pilots is unique to a respective cell.

NEO-PT008.8

- 12. The method of claim 8 wherein the first plurality of subcarriers are not aligned in frequency with subcarriers of at least another cell onto which respective cell-specific pilots are inserted.
- 13. The method of claim 8 wherein the second plurality of subcarriers are beam-formed.
- 14. The method of claim 8 wherein the cell-specific pilots are used to convey cell-specific information.
- 15. An orthogonal frequency division multiple access (OFDMA)-compatible mobile station that uses subcarriers in a frequency domain and time slots in a time domain, the OFDMA-compatible mobile station comprising:

at least one antenna; and

a receiver; and

the at least one antenna and the receiver are configured to:

receive cell-specific pilots on a first plurality of subcarriers; and receive second pilots and data on a second plurality of subcarriers, wherein the first plurality of subcarriers and the second plurality of subcarriers are received in at least one of the time slots:

wherein at least some subcarriers of the first plurality of subcarriers or the second plurality of subcarriers are beam-formed; and

the receiver is further configured to:

recover the data using channel estimates from at least the second pilots;

recover cell-specific information using the cell-specific pilots.

16. The OFDMA-compatible mobile station of claim 15 wherein all subcarriers of the first plurality of subcarriers are different than all subcarriers of the second plurality of subcarriers.

and

- 17. The OFDMA-compatible mobile station of claim 15 wherein the second plurality of subcarriers includes an nth subcarrier and an n+18 subcarrier spaced apart from the nth subcarrier by 17 subcarriers and the first plurality of subcarriers includes an mth subcarrier and an m+20 subcarrier spaced apart from the mth subcarrier by 19 subcarriers.
- 18. The OFDMA-compatible mobile station of claim 15 wherein each cell-specific pilot of the cell-specific pilots is unique to a respective cell.
- 19. The OFDMA-compatible mobile station of claim 15 wherein the first plurality of subcarriers are transmitted at a same time as the second plurality of subcarriers.
- 20. The OFDMA-compatible mobile station of claim 15 wherein the second plurality of subcarriers are beam-formed.
- 21. The OFDMA-compatible mobile station of claim 15 wherein the first plurality of subcarriers are not aligned in frequency with subcarriers of at least another cell onto which respective cell-specific pilots are inserted.
- 22. The OFDMA-compatible mobile station of claim 15 wherein the receiver is further configured to extract cell-specific information from the cell-specific pilots.
- 23. A method performed by an orthogonal frequency division multiple access (OFDMA)-compatible mobile station that uses subcarriers in a frequency domain and time slots in a time domain, the method comprising:

receiving cell-specific pilots on a first plurality of subcarriers;

receiving second pilots and data on a second plurality of subcarriers, wherein the first plurality of subcarriers and the second plurality of subcarriers are received in at least one of the time slots;

wherein at least some subcarriers of the first plurality of subcarriers or the second plurality of subcarriers are beam-formed;

recovering the data using channel estimates from at least the second pilots; and recovering cell-specific information using the cell-specific pilots.

- 24. The method of claim 23 wherein all subcarriers of the first plurality of subcarriers are different than all subcarriers of the second plurality of subcarriers.
- 25. The method of claim 23 wherein the second plurality of subcarriers includes an nth subcarrier and an n+18 subcarrier spaced apart from the nth subcarrier by 17 subcarriers and the first plurality of subcarriers includes an mth subcarrier and an m+20 subcarrier spaced apart from the mth subcarrier by 19 subcarriers.
- 26. The method of claim 23 wherein each cell-specific pilot of the cell-specific pilots is unique to a respective cell.
- 27. The method of claim 23 wherein the first plurality of subcarriers are transmitted at a same time as the second plurality of subcarriers.
- 28. The method of claim 23 wherein the second plurality of subcarriers are beam-formed.
- 29. The method of claim 23 wherein the first plurality of subcarriers are not aligned in frequency with subcarriers of at least another cell onto which respective cell-specific pilots are inserted.
- 30. The method of claim 23 further comprising extracting cell-specific information from the cell-specific pilots.

METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS

CROSS-REFERENCE TO RELATED APPLICATION(S)

[0001] This application is a continuation of U.S. Patent Application No. 16/440,754. filed June 13, 2019, which is a continuation of U.S. Patent Application No. 15/688,441, filed August 28, 2017, which issued as U.S. Patent No. 10,326,631 on June 18, 2019, which is a continuation of U.S. Patent Application No. 14/746,676, filed June 22, 2015, which issued as U.S. Patent No. 9,749,168 on August 29, 2017, which is a continuation of U.S. Patent Application No. 14/595,132, filed January 12, 2015, which issued as U.S. Patent No. 9,065,614 on June 23, 2015, which is a continuation of U.S. Patent Application No. 13/874,278, filed April 30, 2013, which issued as U.S. Patent No. 8,934,473 on January 13, 2015, which is a continuation of U.S. Patent Application No. 13/212,116, filed August 17, 2011, which issued as U.S. Patent No. 8,432,891 on April 30, 2013, which is a continuation of U.S. Patent Application No. 10/583,530, filed May 30, 2007, which issued as U.S. Patent No. 8,009,660 on August 30, 2011, which is a U.S. National Stage of PCT Application No. PCT/US05/01939, filed January 20, 2005, which claims the benefit of and priority to U.S. Provisional Patent Application No. 60/540,032, filed on January 29, 2004, the entire contents of all of which are hereby incorporated by reference herein.

BACKGROUND

[0002] In multi-carrier wireless communications, many important system functions such as frequency synchronization and channel estimation, depicted in Figure 1, are facilitated by using the network information provided by a portion of total subcarriers such as pilot subcarriers. The fidelity level of the received subcarriers dictates how well these functions can be achieved, which in turn affect the efficiency and capacity of the entire network.

[0003] In a wireless network, there are a number of base stations, each of which provides coverage to designated areas, normally called a cell. If a cell is divided into

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sectors, from a system engineering point of view each sector can be considered a cell. In this context, the terms "cell" and "sector" are interchangeable. The network information can be categorized into two types: the cell-specific information that is unique to a particular cell, and the common information that is common to the entire network or to a portion of the entire networks such as a group of cells.

[0004] In a multi-cell environment, for example, the base station transmitter of each cell transmits its own pilot subcarriers, in addition to data carriers, to be used by the receivers within the cell. In such an environment, carrying out the pilot-dependent functions becomes a challenging task in that, in addition to the degradation due to multipath propagation channels, signals originated from the base stations at different cells interfere with each other.

[0005] One approach to deal with the interference problem has been to have each cell transmit a particular pattern of pilot subcarriers based on a certain type of cell-dependent random process. This approach, to a certain degree, has mitigated the impact of the mutual interference between the pilot subcarriers from adjacent cells; however, it has not provided for a careful and systematic consideration of the unique requirements of the pilot subcarriers.

BRIEF DESCRIPTION OF THE DRAWINGS

[0006] Figure 1 depicts a basic multi-carrier wireless communication system consisting of a transmitter and a receiver.

[0007] Figure 2 shows basic structure of a multi-carrier signal in the frequency domain, which is made up of subcarriers.

[0008] Figure 3 shows a radio resource divided into small units in both the frequency and time domains: subchannels and time slots.

[0009] Figure 4 depicts a cellular wireless network comprised of multiple cells, in each of which coverage is provided by a base station (BS).

[0010] Figure 5 shows pilot subcarriers divided into two groups: cell-specific pilot subcarriers and common pilot subcarriers.

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[0011] Figure 6 is an embodiment of pilot-generation-and-insertion functional block shown in Figure 1, which employs a microprocessor to generate pilot subcarriers and insert them into a frequency sequence contained in the electronic memory.

[0012] Figure 7 shows that common pilot subcarriers are generated by a microprocessor of Figure 6 to realize phase diversity.

[0013] Figure 8 is an embodiment of delay diversity, which effectively creates phase diversity by adding a random delay time duration, either in baseband or RF, to the time-domain signals.

[0014] Figure 9 shows two examples for extension to multiple antenna applications.

[0015] Figure 10 is an embodiment of synchronization in frequency and time domains of two collocated base stations sharing a common frequency oscillator.

[0016] Figure 11 is an embodiment of synchronization in frequency and time domains with base stations at different locations sharing a common frequency reference signal generated from the GPS signals.

[0017] Figure 12 is an embodiment depicting a wireless network consisting of three groups of cells (or sectors) and base stations in each group that share their own set of common pilot subcarriers.

[0018] Figure 13 shows all base stations within a network transmit, along with a common pilot subcarrier, a data subcarrier carrying data information common to all cells in the network.

DETAILED DESCRIPTION

[0019] In the following description the invention is explained with respect to some of its various embodiments, providing specific details for a thorough understanding and enablement. However, one skilled in the art will understand that the invention may be practiced without such details. In other instances, well-known structures and functions have not been shown or described in detail to avoid obscuring the depiction of the embodiments.

[0020] Unless the context clearly requires otherwise, throughout the description and the claims, the words "comprise," "comprising," and the like are to be construed in an inclusive sense as opposed to an exclusive or exhaustive sense; that is to say, in the sense of "including, but not limited to." Words using the singular or plural number also include the plural or singular number respectively. Additionally, the words "herein," "above," "below" and words of similar import, when used in this application, shall refer to this application as a whole and not to any particular portions of this application. When the claims use the word "or" in reference to a list of two or more items, that word covers all of the following interpretations of the word: any of the items in the list, all of the items in the list and any combination of the items in the list.

[0021] Figure 1 depicts a basic multi-carrier wireless communication system consisting of a transmitter 102 and a receiver 104. A functional block 106 at the transmitter, called Pilot generation and insertion, generates pilot subcarriers and inserts them into predetermined frequency locations. These pilot subcarriers are used by the receiver to carry out certain functions. In aspects of this invention, pilot subcarriers are divided into two different groups according to their functionalities, and hence their distinct requirements. The transmission format of each group of pilot subcarriers will be designed so that it optimizes the performance of the system functions such as frequency synchronization and channel estimation.

[0022] The first group is called "cell-specific pilot subcarriers," and will be used by the receiver 104 to extract information unique to each individual cell. For example, these cell-specific pilot subcarriers can be used in channel estimation where it is necessary for a particular receiver to be able to differentiate the pilot subcarriers that are intended for its use from those of other cells. For these pilot subcarriers, counter-interference methods are necessary.

[0023] The second group is termed "common pilot sub-carriers," and are designed to possess a set of characteristics common to all base stations of the system. Thus, every receiver 104 within the system is able to exploit these common pilot subcarriers to perform necessary functions without interference problem. For instance, these common pilot subcarriers can be used in the frequency synchronization process, where it is not

necessary to discriminate pilot subcarriers of different cells, but it is desirable for the receiver to combine coherently the energy of common pilot subcarriers with the same carrier index from different cells, so as to achieve relatively accurate frequency estimation.

[0024] Aspects of this invention provide methods to define the transmission formats of the cell-specific and common pilot subcarriers that enable a receiver to perform different system functions. In particular, a set of design criteria are provided for pilot subcarriers. Other features of this invention further provide apparatus or means to implement the above design processes and methods. In particular, signal reception can be improved by manipulating phase values of the pilot subcarriers and by using power control.

[0025] The methods and processes can also be extended to other cases, such as where multiple antennas are used within an individual sector and where some subcarriers are used to carry common network/system information. Base stations can be synchronized in frequency and time by sharing a common frequency oscillator or a common frequency reference signal, such as the one generated from the signals provided by the Global Positioning System (GPS).

Multi-Carrier Communication System

[0026] In a multi-carrier communication system such as multi-carrier code division multiple access (MC-CDMA) and orthogonal frequency division multiple access (OFDMA), information data are multiplexed on subcarriers that are mutually orthogonal in the frequency domain. In effect, a frequency selective channel is broken into a number of parallel but small segments in frequency that can be treated as flat fading channels and hence can be easily dealt with using simple one-tap equalizers. The modulation/demodulation can be performed using the fast Fourier transform (FFT).

[0027] In a multi-carrier communication system the physical media resource (e.g., radio or cable) can be divided in both the frequency and the time domains. This canonical division provides a high flexibility and fine granularity for resource sharing. The basic structure of a multi-carrier signal in the frequency domain is made up of subcarriers, and

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within a particular spectral band or channel there are a fixed number of subcarriers. There are three types of subcarriers:

- [0028] 1. Data subcarriers, which carry information data;
- **[0029]** 2. Pilot subcarriers, whose phases and amplitudes are predetermined and made known to all receivers and which are used for assisting system functions such as estimation of system parameters; and
- [0030] 3. Silent subcarriers, which have no energy and are used for guard bands and DC carriers.

[0031] The data subcarriers can be arranged into groups called subchannels to support multiple access and scalability. The subcarriers forming one subchannel are not necessarily adjacent to each other. This concept is illustrated in Figure 2, showing a basic structure of a multi-carrier signal 200 in the frequency domain, which is made up of subcarriers. Data subcarriers can be grouped into subchannels in a particular way. The pilot subcarriers are also distributed over the entire channel in a particular way.

[0032] The basic structure of a multi-carrier signal in the time domain is made up of time slots to support multiple-access. The resource division in both the frequency and time domains is depicted in Figure 3 which shows a radio resource divided into small units in both the frequency and time domains: subchannels and time slots, 300. The basic structure of a multi-carrier signal in the time domain is made up of time slots.

[0033] As depicted in Figure 1, in a multi-carrier communication system, a generic transmitter may consist of the following functional blocks:

- 1. Encoding and modulation 108
- Pilot generation and insertion 106
- 3. Inverse fast Fourier transform (IFFT) 110
- 4. Transmission 112

And a generic receiver may consist of the following functional blocks:

1. Reception 114

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- 2. Frame synchronization 116
- 3. Frequency and timing compensation 118
- 4. Fast Fourier transform (FFT) 120
- 5. Frequency, timing, and channel estimation 122
- 6. Channel compensation 124
- 7. Decoding 126

Cellular Wireless Networks

[0034] In a cellular wireless network, the geographical region to be serviced by the network is normally divided into smaller areas called cells. In each cell the coverage is provided by a base station. Thus, this type of structure is normally referred to as the cellular structure depicted in Figure 4, which illustrates a cellular wireless network 400 comprised of multiple cells 402, in each of which coverage is provided by a base station (BS) 404. Mobile stations are distributed within each coverage area.

[0035] A base station 404 is connected to the backbone of the network via a dedicated link and also provides radio links to mobile stations within its coverage. A base station 404 also serves as a focal point to distribute information to and collect information from its mobile stations by radio signals. The mobile stations within each coverage area are used as the interface between the users and the network.

[0036] In an M-cell wireless network arrangement, with one-way or two-way communication and time division or frequency division duplexing, the transmitters at all the cells are synchronized via a particular means and are transmitting simultaneously. In a specific cell 402 of this arrangement, the pth cell, a receiver receives a signal at a specific subcarrier, the ith subcarrier, at the time $t_{\rm K}$, which can be described as:

$$S_{i}(t_{k}) = a_{i,p}(t_{k})e^{j\varphi_{i,p}(t_{k})} + \sum_{\substack{m=1\\m\neq p}}^{M} a_{i,m}(t_{k})e^{j\varphi_{i,m}(t_{k})}$$
(1)

where $a_{i,m}(t_k)$ and $\varphi_{i,m}(t_k)$ denote the signal amplitude and phase, respectively, associated with the ith subcarrier from the base station of the m_{th} cell.

Cell-Specific Pilot Subcarriers

[0037] If the ith subcarrier is used as a pilot subcarrier at the pth cell for the cell-specific purposes, the cell-specific information carried by $a_{i,p}(t_k)$ and $\varphi_{i,p}(t_k)$ will be of interest to the receiver at the pth cell and other signals described by the second term on the right hand side of equation (1) will be interference, which is an incoherent sum of signals from other cells. In this case, a sufficient level of the carrier-to-interference ratio (CIR) is required to obtain the estimates of $a_{i,p}(t_k)$ and $\varphi_{i,p}(t_k)$ with desirable accuracy.

[0038] There are many ways to boost the CIR. For examples, the amplitude of a pilot subcarrier can be set larger than that of a data subcarrier; power control can be applied to the pilot subcarriers; and cells adjacent to the pth cell may avoid using the ith subcarrier as pilot subcarrier. All these can be achieved with coordination between the cells based on certain processes, described below.

Common Pilot Subcarriers

[0039] The common pilot subcarriers for different cells are normally aligned in the frequency index at the time of transmission, as depicted in Figure 5, which shows pilot subcarriers divided into two groups: cell-specific pilot sub-carriers and common pilot subcarriers. The cell-specific pilot subcarriers for different cells are not necessarily aligned in frequency. They can be used by the receiver to extract cell-specific information. The common pilot subcarriers for different cells may be aligned in frequency, and possess a set of attributes common to all base stations within the network. Thus, every receiver within the system is able to exploit these common pilot subcarriers without interference problem. The power of the pilot subcarriers can be varied through a particular power control scheme and based on a specific application.

[0040] If the ith subcarrier is used as a pilot subcarrier at the pth cell for the common purposes, it is not necessary to consider the second term on the right hand side of equation (1) to be interference. Instead, this term can be turned into a coherent component of the desirable signal by designing the common pilot carriers to meet the criteria specified in the aspects of this invention, provided that base stations at all cells

are synchronized in frequency and time. In such a case the cell in which the receiver is located becomes irrelevant and, consequently, the received signal can be rewritten as:

$$S_i(t_k) = \sum_{m=1}^{M} a_{i,m}(t_k) e^{j\varphi_{i,m}(t_k)}$$
(2)

The common pilot subcarriers can be used for a number of functionalities, such as frequency offset estimation and timing estimation.

[0041] To estimate the frequency, normally signals at different times are utilized. In an example with two common pilot subcarriers of the same frequency index, the received signal at time t_{k+1} , with respect to the received signal at time t_k , is given by

$$S_{i}(t_{k+1}) = e^{j2\pi f_{i}\Delta t} \sum_{m=1}^{M} a_{i,m}(t_{k+1}) e^{j\varphi_{i,m}(t_{k+1})}$$
(3)

where $\Delta t = t_{k+1} - t_k$. If Δt is much less than the coherence period of the channel and

$$\alpha_{i,m}(t_k) = c_i \alpha_{i,m}(t_{k+1}) \tag{4}$$

and

$$\phi_{i,m}(t_k) = \phi_{i,m}(t_{k+1}) + \beta_i \tag{5}$$

then the frequency can be determined by

$$2\pi f_i \Delta t = arg\left\{s_i(k)s_i(k+1)\right\} - \beta_i \tag{6}$$

where $c_i>0$ and $-\pi \le \beta_i \le \pi$ or are predetermined constants for all values of m. And from all the frequency estimates $\{f_i\}$, a frequency offset can be derived based on a certain criterion.

[0042] For timing estimation, normally multiple common pilot carriers are required. In an example of two common pilot subcarriers, the received signal at f_n, is given by

$$S_n(t_k) = e^{j2\pi\Delta j T_s(t_k)} \sum_{m=1}^{M} a_{n,m}(t_k) e^{j\varphi_{n,m}(t_k)}$$
(7)

where $\Delta f = f_n - f_i$ and T_s denotes the sampling period. If Δf is much less than the coherence bandwidth of the channel and

$$\alpha_{i,m}(t_k) = c(t_k)\alpha_{n,m}(t_k) \tag{8}$$

and

$$\phi_{im}(t_k) = \phi_{nm}(t_k) + \gamma(t_k) \tag{9}$$

then T_s can be determined by

$$2\pi\Delta f T_s(t_k) = arg\left\{s_i * (t_k)s_n(t_k)\right\} - \gamma(t_k) \tag{10}$$

where $c(t_k)>0$ and $-\pi \le \gamma$ $(t_k)\le \pi$ are predetermined constants for all values of m.

[0043] Figure 6 is an embodiment of pilot-generation-and-insertion functional block 106 shown in Figure 1, which employs a microprocessor 602 to generate pilot subcarriers and insert them into a frequency sequence contained in electronic memory 604. In one embodiment of the invention illustrated in Figure 6, a microprocessor 602 embedded in the pilot-generation-and-insertion functional block 106 computes the attributes of the pilot subcarriers such as their frequency indices and complex values specified by their requirements, and insert them into the frequency sequence contained in the electronic memory 604, such as a RAM, ready for the application of IFFT.

Diversity for Common Pilot Subcarriers

[0044] Considering equation (2), which is the sum of a number of complex signals, it is possible for these signals to be destructively superimposed on each other and cause the amplitude of the receiver signal at this particular subcarrier to be so small that the signal itself becomes unreliable. Phase diversity can help this adverse effect. In the example of frequency estimation, a random phase $\vartheta_{l,m}$ can be added to another pilot subcarrier, say the Ith subcarrier, which results in

$$\phi_{l,m}(t_k) = \phi_{l,m}(t_k) + \mathcal{G}_{l,m} \tag{11}$$

and

$$\phi_{l,m}(t_{k+1}) = \phi_{l,m}(t_{k+1}) + \vartheta_{l,m} \tag{12}$$

where $\vartheta_{l,m}$ should be set differently for each cell, and provided that the following condition is met,

$$\phi_{l,m}(t_k) = \phi_{l,m}(t_{k+1}) + \beta_l, \text{ for all values of m}$$
(13)

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[0045] With the phase diversity, it is expected that the probability of both $|s_i(t_k)|$ and $|s_i(t_k)|$ diminishing at the same time is relatively small. The embodiment of phase diversity is depicted in Figure 7, which shows common pilot subcarriers generated by a microprocessor of Figure 6 to realize phase diversity. It should be noted that time delay will achieve the equivalent diversity effect.

[0046] Another embodiment is illustrated in Figure 8, which effectively creates phase diversity by adding a random delay time duration 802, either in baseband or RF, to the time-domain signals.

Power Control for Pilot Subcarriers

[0047] In one embodiment of the invention, power control can be applied to the pilot subcarriers. The power of the pilot subcarriers can be adjusted individually or as a subgroup to

[0048] 1. meet the needs of their functionalities;

[0049] 2. adapt to the operation environments (e.g., propagation channels); and

[0050] 3. reduce interference between cells or groups of cells.

In another embodiment power control is implemented differently for cell-specific pilot subcarriers and common pilot subcarriers. For example, stronger power is applied to common pilot subcarriers than to the cell-specific subcarriers.

Application to Multiple Antennas

[0051] The methods and processes provided by this invention can also be implemented in applications where multiple antennas are used within an individual sector, provided that the criteria specified either by equations (4) and (5) for frequency estimation or by equations (8) and (9) for timing estimation are satisfied.

[0052] Figure 9 shows two examples for extension to multiple antenna applications. In case (a) where there is only one transmission branch that is connected to an array of antennas 902 through a transformer 904 (e.g., a beam-forming matrix), the implementation is exactly the same as in the case of single antenna. In case (b) of multiple transmission branches connected to different antennas 906 (e.g., in a transmission

diversity scheme or a multiple-input multiple-output scheme), the cell-specific pilot subcarriers for transmission branches are usually defined by a multiple-antenna scheme whereas the common pilot subcarriers for each transmission branch are generated to meet the requirements of (4) and (5) for frequency estimation or (8) and (9) for timing estimation.

Joint-Use of Cell-Specific and Common Pilot Subcarriers

[0053] In one embodiment the cell-specific and common pilot subcarriers can be used jointly in the same process based on certain information theoretic criteria, such as the optimization of the signal-to-noise ratio. For example, in the estimation of a system parameter (e.g. frequency), some or all cell-specific subcarriers, if they satisfy a certain criterion, such as to exceed a CIR threshold, may be selected to be used together with the common pilot subcarriers to improve estimation accuracy. Furthermore, the common pilot sub-carriers can be used along with the cell-specific subcarriers to determine the cell-specific information in some scenarios, one of which is the operation at the edge of the network.

Base Transmitters Synchronization

[0054] Base stations at all cells are required to be synchronized in frequency and time. In one embodiment of the invention the collocated base station transmitters are locked to a single frequency oscillator, as in the case where a cell is divided into sectors and the base stations of these sectors are physically placed at the same location.

[0055] Figure 10 is an embodiment of synchronization in frequency and time domains of two collocated base stations sharing a common frequency oscillator 1002. Mobile stations 1004 covered by these two base stations do not experience interference when receiving the common pilot subcarriers. The base station transmitters that are located at different areas are locked to a common reference frequency source, such as the GPS signal. Figure 11 depicts an embodiment of synchronization in frequency and time domains with base stations 1102 and 1104 at different locations sharing a common frequency reference signal generated from the GPS 1106 signals. Mobile stations 1108 covered by these two base stations 1102 and 1104 do not experience interference when receiving the common pilot subcarriers.

[0056] In some applications, the entire wireless network may consist of multiple groups of cells (or sectors) and each group may have its own set of common pilot subcarriers. In such scenarios, only those base stations within their group are required to synchronize to a common reference. While the common pilot subcarriers within each group are designed to meet the criteria defined by equations (4) and (5) or by (8) and (9) for the use by its base stations, a particular counter-interference process (e.g., randomization in frequency or power control) will be applied to different sets of common pilot subcarriers. This will cause the signals from the cells within the same group to add coherently while the signals from the cells in other groups are treated as randomized interference.

[0057] One embodiment of such implementation is illustrated in Figure 12, where a wireless network consists of three groups (A, B, and C) of cells (or sectors). The base stations within their own group share the same set of common pilot subcarriers. In this scenario, only those base stations within their group are required to synchronize to a common reference. While the common pilot subcarriers within each group are designed to meet the criteria defined in this invention, a particular counter-interference process (e.g., randomization in frequency) will be applied to different sets of common pilot subcarriers. For example, the base stations at Cells Al, A2, and A3 in Group A synchronize to their own common reference source and transmit the same set of common pilot subcarriers; and the base stations at Cells B1, B2, and B3 in Group B synchronize to their own reference source and transmit another set of common pilot subcarriers that are located at different places in the frequency domain.

Extension to Transmission of Data Information

[0058] All design processes, criteria, and methods described in the embodiments of this invention can be extended to applications where common network information is required to be distributed to all receivers within the network. In one example, all the base stations within the network transmit, along with some common pilot subcarriers, an identical set of data subcarriers in which the data information common to all the cells in the network is imbedded.

[0059] Figure 13 shows all base stations within a network transmit, along with a common pilot subcarrier, a data subcarrier carrying data information common to all cells in the network. A receiver within the network can determine the composite channel coefficient based on the common pilot subcarrier and apply it to the data subcarrier to compensate for the channel effect, thereby recovering the data information.

[0060] The above detailed descriptions of embodiments of the invention are not intended to be exhaustive or to limit the invention to the precise form disclosed above. While specific embodiments of, and examples for, the invention are described above for illustrative purposes, various equivalent modifications are possible within the scope of the invention, as those skilled in the relevant art will recognize. For example, while steps are presented in a given order, alternative embodiments may perform routines having steps in a different order. The teachings of the invention provided herein can be applied to other systems, not necessarily the system described herein. These and other changes can be made to the invention in light of the detailed description.

[0061] The elements and acts of the various embodiments described above can be combined to provide further embodiments.

[0062] These and other All of the above U.S. patents and applications and other references are incorporated herein by reference. Aspects of the invention can be modified, if necessary, to employ the systems, functions and concepts of the various references described above to provide yet further embodiments of the invention.

[0063] Changes can be made to the invention in light of the above detailed description. In general, the terms used in the following claims should not be construed to limit the invention to the specific embodiments disclosed in the specification, unless the above detailed description explicitly defines such terms. Accordingly, the actual scope of the invention encompasses the disclosed embodiments and all equivalent ways of practicing or implementing the invention under the claims.

[0064] While certain aspects of the invention are presented below in certain claim forms, the inventors contemplate the various aspects of the invention in any number of claim forms. For example, while only one aspect of the invention is recited as embodied in a computer-readable medium, other aspects may likewise be embodied in a computer-

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readable medium. Accordingly, the inventors reserve the right to add additional claims after filing the application to pursue such additional claim forms for other aspects of the invention.

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Neo Wireless LLC

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For: METHOD AND

APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-

CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS

Group: Not Yet Known

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INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment (via EFS) Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Further to Applicant's Duty of Disclosure pursuant to 37 C.F.R. §1.56, Applicant wishes to bring to the Examiner's attention the material cited on the enclosed Information Disclosure Statement Form.

Pursuant to 37 C.F.R. §1.98(d) copies of documents cited in the parent application are not enclosed, but copies will be provided upon request.

This application is a continuation of U.S. Patent Application No. 16/440,754, filed June 13, 2019, which is a continuation of U.S. Patent Application No. 15/688,441, filed August 28, 2017, which issued as U.S. Patent No. 10,326,631 on June 18, 2019, which is a continuation of U.S. Patent Application No. 14/746,676, filed June 22, 2015, which issued as U.S. Patent No. 9,749,168 on August 29, 2017, 6438592.1

Applicant: Neo Wireless LLC **Application No.:** Not Yet Known

which is a continuation of U.S. Patent Application No. 14/595,132, filed January 12,

2015, which issued as U.S. Patent No. 9,065,614 on June 23, 2015, which is a

continuation of U.S. Patent Application No. 13/874,278, filed April 30, 2013, which

issued as U.S. Patent No. 8,934,473 on January 13, 2015, which is a continuation of

U.S. Patent Application No. 13/212,116, filed August 17, 2011, which issued as U.S.

Patent No. 8,432,891 on April 30, 2013, which is a continuation of U.S. Patent

Application No. 10/583,530, filed May 30, 2007, which issued as U.S. Patent No.

8,009,660 on August 30, 2011, which is a U.S. National Stage of PCT Application

No. PCT/US05/01939, filed January 20, 2005, which claims the benefit of and

priority to U.S. Provisional Patent Application No. 60/540,032, filed on January 29,

2004.

- 2 -

Applicant: Neo Wireless LLC Application No.: Not Yet Known

It is respectfully requested that the Examiner consider these documents and

return an initialed copy of the Information Disclosure Statement Form indicating

consideration of the cited materials.

Respectfully submitted,

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Enclosure

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¹ Corresponds to KR 2003-0085040

² Corresponds to WO 02/073831

³ Corresponds to CN 1432245

(Not for submission under 37 CFR 1.99)

Application Number	Not Yet Known
Filing Date	2020-09-04
First Named Inventor	Li et al.
Art Unit	Not Yet Known
Examiner Name	Not Yet Known
Attorney Docket Number	NEO-PT008.8

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		7,342,974	03/2008	Chiou			
		7,386,055	06/2008	Morita et al.			
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		2004/0085946	05/2004	Morita et al.			

⁴ Corresponds to US 2005/0243774

⁵ Corresponds to US 2002/0159430

⁶ Corresponds to CN 1465150

(Not for submission under 37 CFR 1.99)

Application Number	Not Yet Known
Filing Date	2020-09-04
First Named Inventor	Li et al.
Art Unit	Not Yet Known
Examiner Name	Not Yet Known
Attorney Docket Number	NEO-PT008.8

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		2004/0171357	09/2004	Lobinger			
	*	2004/0264600	12/2004	Kao et al.			
		2005/0111397	05/2005	Attar et al.			
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EXAMINER							TRAN	SLATION
INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
	*	1407745	04/2003	CN				
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	*	2003/058881	07/2003	WO ⁷				

OTHER DOCUMENTS

⁷ Corresponds to WO 2003/058887

(Not for submission under 37 CFR 1.99)

Application Number	Not Yet Known
Filing Date	2020-09-04
First Named Inventor	Li et al.
Art Unit	Not Yet Known
Examiner Name	Not Yet Known
Attorney Docket Number	NEO-PT008.8

EXAMINER INITIAL		DESCRIPTION (Including Author, Title, Date, Pertinent Pages, Etc.)
	*	EUROPEAN TELECOMMUNICATIONS STANDARDS INSTITUTE, Digital Video Broadcasting (DVB); Framing structure, channel coding and modulation for digital terrestrial television, ETSI EN 300 744 V1.5.1 (June 2004).
		Examination Report, European Application No. 05711777.2, Mail Date October 29, 2012, 6 pages.
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		Tufvesson, F., et al. "OFDM Time and Frequency Synchronization by Spread Spectrum Pilot Technique," Communication Theory Mini-Conference, Vancouver, B.C., Canada, June 6-10, 1999, pages 115-119.

Filing Date:					
Title of Invention:	METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS				
First Named Inventor/Applicant Name:	Xiaodong Li				
Filer:	Andrew D. Buschmei	er/Justin Slater			
Attorney Docket Number:	NEO-PT008.8				
Filed as Large Entity					
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Filing Fees for Track I Prioritized Examination - Non	provisional Application	on under 35 US	SC 111(a)		
	provisional Application	On under 35 US	SC 111(a) Amount	Sub-Total in USD(\$)	
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iling Fees for Track I Prioritized Examination - Non Description					
iling Fees for Track Prioritized Examination - Non Description Basic Filing:	Fee Code	Quantity	Amount	USD(\$)	
Description Basic Filing: UTILITY APPLICATION FILING	Fee Code	Quantity	Amount 300	USD(\$) 300	
Description Description UTILITY APPLICATION FILING UTILITY SEARCH FEE	1011 1111	Quantity 1	Amount 300 660	300 660	
Description Description UTILITY APPLICATION FILING UTILITY SEARCH FEE UTILITY EXAMINATION FEE	1011 1111 1311	Quantity 1 1 1	300 660 760	300 660 760	
Description Description UTILITY APPLICATION FILING UTILITY SEARCH FEE UTILITY EXAMINATION FEE REQUEST FOR PRIORITIZED EXAMINATION	1011 1111 1311	Quantity 1 1 1	300 660 760	300 660 760	
Description Description UTILITY APPLICATION FILING UTILITY SEARCH FEE UTILITY EXAMINATION FEE REQUEST FOR PRIORITIZED EXAMINATION Pages:	1011 1111 1311	Quantity 1 1 1	300 660 760	300 660 760	

Electronic Patent Application Fee Transmittal

Application Number:

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Miscellaneous-Filing:						
PUBL. FEE- EARLY, VOLUNTARY, OR NORMAL	1504	1	0	0		
PROCESSING FEE, EXCEPT PROV. APPLS.	1830	1	140	140		
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Extension-of-Time:						
Miscellaneous:						
	Tot	al in USD	(\$)	7320		

Electronic Acl	Electronic Acknowledgement Receipt					
EFS ID:	40476235					
Application Number:	17012813					
International Application Number:						
Confirmation Number:	8746					
Title of Invention:	METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS					
First Named Inventor/Applicant Name:	Xiaodong Li					
Customer Number:	3624					
Filer:	Andrew D. Buschmeier/Justin Slater					
Filer Authorized By:	Andrew D. Buschmeier					
Attorney Docket Number:	NEO-PT008.8					
Receipt Date:	04-SEP-2020					
Filing Date:						
Time Stamp:	15:27:31					
Application Type:	Utility under 35 USC 111(a)					

Payment information:

Submitted with Payment	yes
Payment Type	CARD
Payment was successfully received in RAM	\$7320
RAM confirmation Number	E202094F27566747
Deposit Account	220493
Authorized User	Justin Slater

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

37 CFR 1.17 (Patent application and reexamination processing fees)

37 CFR 1.19 (Document supply fees)

File Listing	g:						
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)		
1	Power of Attorney	NEO-PT008_8- Executed_POA_ByApplicant_ai a0082_EFS-20200904.pdf	376001 cb7411d1dde804b805e75e5d929da100c5 09ad29	no	2		
Warnings:							
Information:							
			132779				
2	Drawings-only black and white line drawings	NEO-PT008_8- Drawings_EFS-20200904.pdf	1aaca775672332926551d840de7229cd168 544cf	no	13		
Warnings:			1				
Information:							
		NEO-PT008_8-	438489				
3	Oath or Declaration filed	Executed_Declarations_EFS-20 200904.pdf	05a97e41b3c2e5270cc817ae947c9b3227f b1465	no	4		
Warnings:				•			
Information:							
		NEO-PT008_8-	125642				
4	TrackOne Request	TrackOneRequest_aia0424_EFS -20200904.pdf	d6a09d61fa921e7fd306367f3d5ae929dd14 bbc5	no	2		
Warnings:		-		•			
Information:							
		NEO-PT008_8-	1332463				
5	Application Data Sheet	ADS_aia0014_EFS-20200904. pdf	f964c2ca27e357acd6696c1ce807c3ee10fef 5e2	no	10		
Warnings:							
Information:							
			314776				
6		NEO-PT008_8- Application_EFS-20200904.pdf	17ec41cc20dc2a2fe4d3fae3f9439ccad66ff 7d7	yes	21		
	Multip	eart Description/PDF files in .:	zip description				
	Document De	scription	Start End				

37 CFR 1.21 (Miscellaneous fees and charges)

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	Abstrac	21		21	
	Claims	16	20		
	Specificati	1	15		
Warnings:					
Information:					
		NEO-PT008_8-	86640		
7	Transmittal Letter	IDS_TransmittalLtr_EFS-202009	2ff56332c2f30ad25d3c29a2f615fe3cb7a9a a72	no	3
Warnings:	-	-			l
Information:					
		NEO Proce o	134647		4
8	Information Disclosure Statement (IDS) Form (SB08)	NEO-PT008_8- IDS_EFS-20200904.pdf	0e04bb7067efda144d9b12967b7aaa653b0 d5073	no	
Warnings:	<u> </u>		l		
Information:					
This is not an U	SPTO supplied IDS fillable form				
			43330		
9	Fee Worksheet (SB06)	fee-info.pdf	c8c0e8eccbbb501b97e0a4f5ff344ab2cc41 cc84	no	2
Warnings:		-			ı
Information:					
		Total Files Size (in bytes):	20	84767	

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

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	PATE	NT APPLI		N FEE DE titute for Form		TION RECO	ORD)		tion or Docket Num 2,813	ber	
APPLICATION AS FILED - PART I (Column 1) (Column 2) SMALL ENTITY								OR	OTHER THAN SMALL ENTITY			
	FOR	NUMBE	R FILEI	O NUMBE	R EXTRA	RATE(\$))	FEE(\$)		RATE(\$)	FEE(\$)	
	BASIC FEE (37 CFR 1.16(a), (b), or (c)) N/A N/A								1	N/A	300	
	RCH FEE FR 1.16(k), (i), or (m))	N	/A	١	V/A	N/A				N/A	660	
	MINATION FEE FR 1.16(o), (p), or (q))	N	/A	١	V/A	N/A				N/A	760	
	AL CLAIMS FR 1.16(i))	30	minus	20= *	10				OR	x 100 =	1000	
	PENDENT CLAIM FR 1.16(h))	S 4	minus	3 = *	1				1	x 460 =	460	
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MUL	TIPLE DEPENDEN	T CLAIM PRE	SENT (3	7 CFR 1.16(j))]		0.00	
* If th	ne difference in colu	ımn 1 is less th	an zero,	enter "0" in colu	mn 2.	TOTAL			1	TOTAL	3180	
APPLICATION AS AMENDED - PART II (Column 1) (Column 2) (Column 3) CLAIMS HIGHEST					(Column 3)	SMA	SMALL ENTITY			OTHER THAN SMALL ENTITY		
NT A		REMAINING AFTER AMENDMENT		NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE(\$)		ADDITIONAL FEE(\$)		RATE(\$)	ADDITIONAL FEE(\$)	
JME	Total (37 CFR 1.16(i))	•	Minus	**	=	х	=		OR	x =		
AMENDMENT	Independent (37 CFR 1.16(h))	•	Minus	***	=	х	=		OR	x =		
ΑN	Application Size Fee	(37 CFR 1.16(s))										
	FIRST PRESENTAT	ION OF MULTIPL	E DEPEN	DENT CLAIM (37 (CFR 1.16(j))				OR			
						TOTAL ADD'L FE	E		OR	TOTAL ADD'L FEE		
		(Column 1)		(Column 2)	(Column 3)							
NT B		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE(\$)		ADDITIONAL FEE(\$)		RATE(\$)	ADDITIONAL FEE(\$)	
ME	Total (37 CFR 1.16(i))	•	Minus	**	=	х	=		OR	x =		
AMENDMENT	Independent (37 CFR 1.16(h))	•	Minus	***	=	х	=		OR	x =		
AM	Application Size Fee	(37 CFR 1.16(s))										
	FIRST PRESENTAT	ION OF MULTIPL	E DEPEN	DENT CLAIM (37 (OFR 1.16(j))				OR			
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APPLICATION	FILING or	GRP ART				
NUMBER	371(c) DATE	UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
17/012.813	09/04/2020	2631	3180	NEO-PT008.8	30	4

3624 Volpe Koenig 30 SOUTH 17TH STREET, 18TH FLOOR PHILADELPHIA, PA 19103 CONFIRMATION NO. 8746 FILING RECEIPT



Date Mailed: 09/16/2020

Receipt is acknowledged of this non-provisional utility patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF FIRST INVENTOR, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection.

Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a corrected Filing Receipt, including a properly marked-up ADS showing the changes with strike-through for deletions and underlining for additions. If you received a "Notice to File Missing Parts" or other Notice requiring a response for this application, please submit any request for correction to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections provided that the request is grantable.

Inventor(s)

Xiaodong Li, Kirkland, WA; Titus Lo, Bellevue, WA; Kemin Li, Bellevue, WA; Haiming Huang, Bellevue, WA;

Applicant(s)

Neo Wireless LLC, Wayne, PA;

Assignment For Published Patent Application

Neo Wireless LLC, Wayne, PA

Power of Attorney: The patent practitioners associated with Customer Number 03624

Domestic Priority data as claimed by applicant

This application is a CON of 16/440,754 06/13/2019 which is a CON of 15/688,441 08/28/2017 PAT 10326631 which is a CON of 14/746,676 06/22/2015 PAT 9749168 which is a CON of 14/595,132 01/12/2015 PAT 9065614 which is a CON of 13/874,278 04/30/2013 PAT 8934473 which is a CON of 13/212,116 08/17/2011 PAT 8432891 which is a CON of 10/583,530 05/30/2007 PAT 8009660 which is a 371 of PCT/US05/01939 01/20/2005 which claims benefit of 60/540,032 01/29/2004

Foreign Applications for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see http://www.uspto.gov for more information.) - None. Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

Permission to Access Application via Priority Document Exchange: Yes

Permission to Access Search Results: Yes

Applicant may provide or rescind an authorization for access using Form PTO/SB/39 or Form PTO/SB/69 as appropriate.

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The country code and number of your priority application, to be used for filing abroad under the Paris Convention,

is **US 17/012,813**

Projected Publication Date: 12/24/2020

Non-Publication Request: No Early Publication Request: No

Title

METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER. MULTI-CELL WIRELESS COMMUNICATION NETWORKS

Preliminary Class

375

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

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Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

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PTO/SB/08a (02-18) Approved for use through 11/30/2020. OMB 0651-0031

Doc code: IDS Doc description: Information Disclosure Statement (IDS) Filed

mation Disclosure Statement (IDS) Filed

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Omoniyi Obayanju

NEO-PT008.8

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Not for submission under 37 CFR 1.99)

Application Number

Filing Date

2020-09-04

First Named Inventor

Li et al.

Art Unit

2645

Examiner Name

Attorney Docket Number

				U.S.I	PATENTS		Remove	
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Releva		ines where es or Relevant
	1	5828650	A	1998-10-27	Malkamaki et al.			
	2	6175550	B1	2001-01-16	van Nee			
	3	7411897	B2	2008-08-12	Yoo et al.			
	4	7471667	B2	2008-12-30	Hirsch et al.			
	5	7873009	B2	2011-01-18	Larsson et al.			
	6	8102832	B2	2012-01-24	Agrawal et al.			
	7	8363691	B2	2013-01-29	Hasegawa et al.			
If you wis	h to add	additional U.S. Paten	t citatio	n information pl	ease click the Add button.		Add	
			U.S.P	ATENT APPLIC	CATION PUBLICATIONS		Remove	

(Not for submission under 37 CFR 1.99)

Application Number		17012813		
Filing Date		2020-09-04		
First Named Inventor Li et a		al.		
Art Unit		2645		
Examiner Name Omon		niyi Obayanju		
Attorney Docket Number		NEO-PT008.8		

Examiner Initial*	ner Cite No		Publication Number	Kind Code ¹	Publication Date		Name of Patentee or Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear			
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Examiner Initial*	, , ,		_	Country Code ² i	,	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear		T5
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Standard ST 4 Kind of doo	1 See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. 2 Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). 3 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 4 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 5 Applicant is to place a check mark here if English language translation is attached.											

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		17012813
Filing Date		2020-09-04
First Named Inventor	Li et a	ıl.
Art Unit		2645
Examiner Name Omor		niyi Obayanju
Attorney Docket Number		NEO-PT008.8

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

That no item of information contained in the information disclosure statement was cited in a communication from a
foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification
after making reasonable inquiry, no item of information contained in the information disclosure statement was known to
any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure
statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

X A certification statement is not submitted herewith.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Andrew D. Buschmeier/	Date (YYYY-MM-DD)	2020-10-01
Name/Print	Andrew D. Buschmeier	Registration Number	73,179

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Ack	knowledgement Receipt			
EFS ID:	40736053			
Application Number:	17012813			
International Application Number:				
Confirmation Number:	8746			
Title of Invention:	METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS			
First Named Inventor/Applicant Name:	Xiaodong Li			
Customer Number:	3624			
Filer:	Andrew D. Buschmeier/Justin Slater			
Filer Authorized By:	Andrew D. Buschmeier			
Attorney Docket Number:	NEO-PT008.8			
Receipt Date:	01-OCT-2020			
Filing Date:	04-SEP-2020			
Time Stamp:	15:31:47			
Application Type:	Utility under 35 USC 111(a)			

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
		NEO-PT008 8-	87900		
1	Transmittal Letter	IDS_TransmittalLtr_EFS-202010	92a4823636984dcc195f85dd5702c7c7a2ca 6147	no	3
Warnings:		+	,	•	

Information:					
			1064918	no	4
2	Information Disclosure Statement (IDS) Form (SB08)	NEO-PT008_8- SB08_EFS-20201001.pdf	4af22181bb0e01bade796081f7d5a2b7254 66264		
Warnings:					
Information:					
		Total Files Size (in bytes):	11	52818	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the **PATENT APPLICATION** of:

Li et al.

Application No.: 17/012,813

Confirmation No.: 8746

Filed: September 4, 2020

For: METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS

Group: 2645

Examiner: Omoniyi Obayanju

Our File: NEO-PT008.8

Date:

October 1, 2020

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment (via EFS) Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Further to Applicant's Duty of Disclosure pursuant to 37 C.F.R. §1.56, Applicant wishes to bring to the Examiner's attention the material cited on the enclosed PTO Form SB-08. Pursuant to 37 C.F.R. §1.98(a)(2)(ii), copies of the cited U.S. publications and/or patent documents have not been included.

This Application is a continuation of U.S. Patent Application No. 16/440,754, filed June 13, 2019, which is a continuation of U.S. Patent Application No. 15/688,441, filed August 28, 2017, which issued as U.S. Patent No. 10,326,631 on June 18, 2019, which is a continuation of U.S. Patent Application No. 14/746,676, filed June 22, 2015, which issued as U.S. Patent No. 9,749,168 on August 29, 2017,

6478732.1

Applicant: Neo Wireless LLC Application No.: 17/012,813

which is a continuation of U.S. Patent Application No. 14/595,132, filed January 12, 2015, which issued as U.S. Patent No. 9,065,614 on June 23, 2015, which is a continuation of U.S. Patent Application No. 13/874,278, filed April 30, 2013, which issued as U.S. Patent No. 8,934,473 on January 13, 2015, which is a continuation of U.S. Patent Application No. 13/212,116, filed August 17, 2011, which issued as U.S. Patent No. 8,432,891 on April 30, 2013, which is a continuation of U.S. Patent Application No. 10/583,530, filed May 30, 2007, which issued as U.S. Patent No. 8,009,660 on August 30, 2011, which is a U.S. National Stage of PCT Application No. PCT/US05/01939, filed January 20, 2005, which claims the benefit of and priority to U.S. Provisional Patent Application No. 60/540,032, filed on January 29, 2004.

Applicant would like to bring the following Applications to the Examiner's attention: U.S. Patent Application No. 16/908,067, filed June 22, 2020; U.S. Patent Application No. 16/902,740, filed June 16, 2020; U.S. Patent Application No. 15/953,950, filed April 16, 2018, which issued September 8, 2020 as U.S. Patent No. 10,771,302; U.S. Patent Application No. 14/321,615, filed July 1, 2014, which issued April 17, 2018 as U.S. Patent No. 9,948,488; U.S. Patent Application No. 13/861,942, filed April 12, 2013, which issued July 1, 2014 as U.S. Patent No. 8,767,522; U.S. Patent Application No. 13/347,644, filed January 10, 2012, which issued April 23, 2013 as U.S. Patent No. 8,428,009; U.S. Patent Application No. 12/975,226, filed December 21, 2010, which issued January 10, 2012 as U.S. Patent No. 8,094,611; U.S. Patent Application No. 10/583,229, filed August 27, 2008, which

Applicant: Neo Wireless LLC Application No.: 17/012,813

issued January 4, 2011 as U.S. Patent No. 7,864,725; U.S. Provisional Patent

Application No. 60/540,586, filed January 30, 2004; and PCT Application No.

PCT/US2005/003518, filed January 27, 2005.

It is respectfully requested that the Examiner consider these documents and return an initialed copy of the PTO Form SB-08 indicating consideration of the cited materials.

Respectfully submitted,

Neo Wireless LLC

By /Andrew D. Buschmeier/ Andrew D. Buschmeier Registration No. 73,179 (215) 568-6400

Volpe Koenig United Plaza, Suite 1800 30 South 17th Street Philadelphia, PA 19103

AB/PCK Enclosure

United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
17/012,813	09/04/2020	Xiaodong Li	NEO-PT008.8	8746
³⁶²⁴ Volpe Koenig	7590 10/14/202	0	EXAM	IINER
	TH STREET, 18TH FL IA. PA 19103	OOR	OBAYANJU	J, OMONIYI
	,		ART UNIT	PAPER NUMBER
			2645	
			NOTIFICATION DATE	DELIVERY MODE
			10/14/2020	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

eoffice@volpe-koenig.com

			Application No.	Applicant(s)	
			17/012,813	Li et al.	
		n Granting Request for			T
	Prioritiza	ed Examination (Track I)	Examiner CHERYL P GIBSON	Art Unit OPET	AIA (FITF) Status
			BAYLOR		
1.	THE REC	QUEST FILED 04 September 202	20 IS GRANTED .		
		re-identified application has met t		itized examination	
	A. B.	for an original nonprovision or an application undergoin		(BCF)	
		and approach and agen	.g commune examination	. ().	
2.		ve-identified application will un			
		special status throughout its ent	•		ŭ
	Α.	filing a petition for extension	of time to extend the tim	e period for filing a	a reply;
	B.	filing an amendment to amend independent claims, more that			
	C.	filing a request for continued	examination ;		
	D.	filing a notice of appeal;			
	E.	filing a request for suspension	of action;		
	F.	mailing of a notice of allowance	; ;		
	G.	mailing of a final Office action;			
	Н.	completion of examination as	defined in 37 CFR 41.102	2; or	
	I.	abandonment of the application	٦.		
	•	e inquiries with regard to this dec			
	(571)272	-3213. In his/her absence, calls n	nay be directed to Petitio	n Help Desk at (57	71) 272-3282.
	/CHERY	L GIBSON BAYLOR/			
	Paralega	l Specialist, OPET			

U.S. Patent and Trademark Office PTO-2298 (Rev. 02-2012)

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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office

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Alexandria Virginia 22213 1450

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NOTICE OF ALLOWANCE AND FEE(S) DUE

3624 7590 10/27/2020 Volpe Koenig 30 SOUTH 17TH STREET, 18TH FLOOR PHILADELPHIA, PA 19103 EXAMINER

OBAYANJU, OMONIYI

ART UNIT PAPER NUMBER

2645

DATE MAILED: 10/27/2020

	APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
Ī	17/012 813	09/04/2020	Xiaodong Li	NEO-PT008 8	8746

TITLE OF INVENTION: METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$1200	\$0.00	\$0.00	\$1200	01/27/2021

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Maintenance fees are due in utility patents issuing on applications filed on or after Dec. 12, 1980. It is patentee's responsibility to ensure timely payment of maintenance fees when due. More information is available at www.uspto.gov/PatentMaintenanceFees.

		PART 1	B - FEE(S) TRAN	SMITTAL			
Complete and send t	his form, together v	with applicable fee(s), by mail or fax, o	r via EFS-Web.			
By mail, send to:	Mail Stop ISSUE Commissioner for P.O. Box 1450 Alexandria, Virgin	Patents				By fax, send to	o: (571)-273-288
INSTRUCTIONS: This for further correspondence in below or directed otherw	cluding the Patent, adva	nce orders and notificatio	n of maintenance fees w	ill be mailed to the cu	rrent corre	espondence address as	indicated unless correc
3624 Volpe Koenig		ock 1 for any change of address) /2020 FLOOR	F F F S	Fee(s) Transmittal. The papers. Each additional ave its own certificate. Ce hereby certify that the tates Postal Service.	nis certific al paper, se e of maili ertificate (his Fee(s) with suffic	ate cannot be used fo such as an assignmen ng or transmission. of Mailing or Transn Transmittal is being cient postage for first	domestic mailings of to r any other accompanyit tor formal drawing, munission deposited with the Unit class mail in an envelow, or being transmitted
PHILADELPHIA		LOOK	ţ	he USPTO via EFS-V	Web or by	facsimile to (571) 273	3-2885, on the date belo
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			L				(Da
APPLICATION NO.	FILING DATE		FIRST NAMED INVENT	OR	ATTOR	NEY DOCKET NO.	CONFIRMATION NO.
17/012,813 09/04/2020		·	Xiaodong Li	odong Li NEO		EO-PT008.8	8746
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APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE D	JE PREV. PAID ISSU	JE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$1200	\$0.00	\$0.00		\$1200	01/27/2021
EXAM	INER	ART UNIT	CLASS-SUBCLASS				
OBAYANJU	, OMONIYI	2645	370-330000				
Address form PTO/SE "Fee Address" indi SB/47; Rev 03-09 or n	ondence address (or Cha	nge of Correspondence " Indication form PTO/	(1) The names of up or agents OR, altern (2) The name of a sregistered attorney	ingle firm (having as or agent) and the nan attorneys or agents. If	nt attorne a member nes of up	1 : a to 2	
Number is required. 3. ASSIGNEE NAME A	ND RESIDENCE DATA	A TO BE PRINTED ON	 THE PATENT (print or	type)			
PLEASE NOTE: Unle	ess an assignee is identifi ecordation, as set forth i	ed below, no assignee dat n 37 CFR 3.11 and 37 CF	ta will appear on the pate	ent. If an assignee is i of this form is NOT	a substitu	te for filing an assignr	nust have been previous nent.
Please check the appropri							ntity 🖵 Government
4a. Fees submitted: 4b. Method of Payment:		lication Fee (if required)		- # of Copies			
Electronic Payment			<i>M above)</i> Non-electronic payment	by gradit aard (Attac	oh form Di	ro 2038)	
_		e the required fee(s), any		-			
Ine Director is ner	eby authorized to charge	e the required fee(s), any	deficiency, or credit any	overpayment to Dep	osii Acco	ount No	
Applicant asserting	cus (from status indicate g micro entity status. Se g small entity status. See g to regular undiscounte	e 37 CFR 1.29 37 CFR 1.27	fee payment in the mic NOTE: If the applicat to be a notification of	cro entity amount wil ion was previously un loss of entitlement to box will be taken to l	l not be ac ider micro micro ent	ccepted at the risk of a entity status, checkir tity status.	/SB/15A and 15B), issu upplication abandonmen ug this box will be taken ement to small or micro

Date _

Registration No.

NOTE: This form must be signed in accordance with 37 CFR 1.31 and 1.33. See 37 CFR 1.4 for signature requirements and certifications.

Authorized Signature _

Typed or printed name

United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE **United States Patent and Trademark Office**

Address: COMMISSIONER FOR PATENTS P.O. Box 1450

Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
17/012,813	09/04/2020	Xiaodong Li	NEO-PT008.8	8746
3624 75	90 10/27/2020		EXAM	INER
Volpe Koenig			OBAYANJU	, OMONIYI
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i ilicabeli ilia,	1 A 19103		2645	
			DATE MAILED: 10/27/2020)

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b) (2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes awarpost a violation or potential violation of law or regulation.

	Application 17/012,813		Applicant(s) Li et al.	
Notice of Allowability	Examiner OMONIYI		Art Unit 2645	AIA (FITF) Status No
The MAILING DATE of this communication appears on the cover sheet with the correspondence address claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included rewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS DTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.				
1. This communication is responsive to 10/01/2020. A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was/were filed on				
2. An election was made by the applicant in response to a rest restriction requirement and election have been incorporated			ne interview or	ı; the
3. The allowed claim(s) is/are 1-30. As a result of the allowed Highway program at a participating intellectual property offinhttp://www.uspto.gov/patents/init_events/pph/index.jsp	ice for the co	rresponding application.	For more info	
4. Acknowledgment is made of a claim for foreign priority unde	er 35 U.S.C.	§ 119(a)-(d) or (f).		
Certified copies: a) □All b) □ Some *c) □ None of the:				
 Certified copies of the priority documents have Certified copies of the priority documents have 				
 Copies of the certified copies of the priority do International Bureau (PCT Rule 17.2(a)). 		· · · · · · · · · · · · · · · · · · ·		application from the
* Certified copies not received:				
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.			complying with	1 the requirements
5. CORRECTED DRAWINGS (as "replacement sheets") must				
including changes required by the attached Examiner's Paper No./Mail Date	s Amendmen	t / Comment or in the Of	fice action of	
Identifying indicia such as the application number (see 37 CFR 1 sheet. Replacement sheet(s) should be labeled as such in the he			gs in the front	(not the back) of each
6. DEPOSIT OF and/or INFORMATION about the deposit of B attached Examiner's comment regarding REQUIREMENT F				he
Attachment(s)	_			
 Notice of References Cited (PTO-892) Information Disclosure Statements (PTO/SB/08), 		. ☑ Examiner's Amendr . ☑ Examiner's Stateme		
Paper No./Mail Date 3. Examiner's Comment Regarding Requirement for Deposit		. Other		
of Biological Material 4. ☑ Interview Summary (PTO-413), Paper No./Mail Date				
/OMONIYI OBAYANJU/ Primary Examiner, Art Unit 2645				

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-13)

Notice of Allowability

Part of Paper No./Mail Date 20201021

DETAILED ACTION

Notice of Pre-AIA or AIA Status

The present application is being examined under the pre-AIA first to invent provisions.

EXAMINER'S AMENDMENT

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in an interview with **Mr.**Andrew Buschmeier on 10/20/2020.

The application has been amended as follows:

CLAIMS:

1. (Currently Amended) An orthogonal frequency division multiple access (OFDMA)-compatible base station that uses subcarriers in a frequency domain and time slots in a time domain, the OFDMA-compatible base station comprising:

a plurality of antennas; and

a transmitter operably coupled to the plurality of antennas;

the transmitter configured to:

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insert <u>first pilots of a first type cell-specific pilots</u>-onto a first plurality of subcarriers, wherein the first pilots are cell-specific pilots; and

insert data and second pilots of a second type onto a second plurality of subcarriers;

wherein at least some subcarriers of the first plurality of subcarriers or the second plurality of subcarriers are beam-formed; and

the plurality of antennas configured to transmit the first plurality of subcarriers and the second plurality of subcarriers in at least one of the time slots;

wherein the second type is different than the first type and wherein the first pilots do not interfere with the second pilots.

8. (Currently Amended) An orthogonal frequency division multiple access (OFDMA)-compatible base station that uses subcarriers in a frequency domain and time slots in a time domain, the OFDMA-compatible base station comprising:

a plurality of antennas; and

a transmitter operably coupled to the plurality of antennas;

the transmitter configured to:

insert <u>first pilots of a first type cell-specific pilots</u>-onto a first plurality of subcarriers, <u>wherein the first pilots are cell-specific pilots</u>; and

insert data and second pilots of a second type onto a second plurality of subcarriers;

wherein at least some subcarriers of the first plurality of subcarriers or the second plurality of subcarriers are beam-formed; and

Art Unit: 2645

the plurality of antennas configured to transmit the first plurality of subcarriers

Page 4

and the second plurality of subcarriers in at least one of the time slots;

wherein the second type is different than the first type and wherein the first pilots

do not interfere with the second pilots.

15. (Currently Amended) An orthogonal frequency division multiple access

(OFDMA)-compatible mobile station that uses subcarriers in a frequency domain and

time slots in a time domain, the OFDMA-compatible mobile station comprising:

at least one antenna; and

a receiver; and

the at least one antenna and the receiver are configured to:

receive first pilots of a first type cell-specific pilots on a first plurality of

subcarriers, wherein the first pilots are cell-specific pilots; and

receive second pilots of a second type and data on a second plurality of

subcarriers, wherein the first plurality of subcarriers and the second plurality of

subcarriers are received in at least one of the time slots;

wherein at least some subcarriers of the first plurality of subcarriers or the

second plurality of subcarriers are beam-formed; and

the receiver is further configured to:

recover the data using channel estimates from at least the second pilots;

and

recover cell-specific information using the cell-specific pilots;

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wherein the second type is different than the first type and wherein the first pilots

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do not interfere with the second pilots.

23. (Currently Amended) A method performed by an orthogonal frequency

division multiple access (OFDMA)-compatible mobile station that uses subcarriers in a

frequency domain and time slots in a time domain, the method comprising:

receiving first pilots of a first type cell-specific pilots on a first plurality of

subcarriers, wherein the first pilots are cell-specific pilots;

receiving second pilots of a second type and data on a second plurality of

subcarriers, wherein the first plurality of subcarriers and the second plurality of

subcarriers are received in at least one of the time slots;

wherein at least some subcarriers of the first plurality of subcarriers or the

second plurality of subcarriers are beam-formed;

recovering the data using channel estimates from at least the second pilots; and

recovering cell-specific information using the cell-specific pilots;

wherein the second type is different than the first type and wherein the first pilots

do not interfere with the second pilots.

Allowable Subject Matter

Claims1-30 are allowed.

The following is an examiner's statement of reasons for allowance:

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The closest prior art of record Li et al. (US Patent No. 7650152) discussed the concept of allocating subcarriers in an orthogonal frequency division multiple access (OFDMA), which comprises allocating at least one diversity cluster of subcarriers to a first subscriber and allocating at least one coherence cluster to a second subscriber (see fig. 1 and abs). Li further discussed the concept that each base station transmits pilot symbols simultaneously, and each pilot symbol occupies the entire OFDM frequency bandwidth (see fig. 2A-C).

Another close prior art reference **Seki et al.** (**US Publication No. 20040190598**) discussed the concept of a multicarrier CDMA transmission system, wherein time multiplexer 52 time-multiplexes the pilot of the plurality of symbols to the front of the transmit data. The subcarrier signals S₁ to S_N are multicode multiplexed signals obtained by multiplexing results of spreading the two symbols by respective ones of the orthogonal codes (**see fig. 24, and pp0071**).

However, singly and/or in combination the prior art references does not teach the at least portion of the claim of an orthogonal frequency division multiple access (OFDMA)-compatible base station that uses subcarriers in a frequency domain and time slots in a time domain, the OFDMA-compatible base station comprising: a plurality of antennas; and a transmitter operably coupled to the plurality of antennas; the transmitter configured to: insert first pilots of a first type onto a first plurality of subcarriers, wherein the first pilots are cell-specific pilots; and insert data and second pilots of a second type onto a second plurality of subcarriers; wherein at least some subcarriers of the first plurality of subcarriers or the second plurality of subcarriers are beam-formed; and the plurality of antennas configured to

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transmit the first plurality of subcarriers and the second plurality of subcarriers in at least one of the time slots; wherein the second type is different than the first type and wherein the first pilots do not interfere with the second pilots.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to OMONIYI OBAYANJU whose telephone number is (571)270-5885. The examiner can normally be reached on M-Thur 10:30-7pm.

Examiner interviews are available via telephone, in-person, and video conferencing using a USPTO supplied web-based collaboration tool. To schedule an interview, applicant is encouraged to use the USPTO Automated Interview Request (AIR) at http://www.uspto.gov/interviewpractice.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, ANTHONY S ADDY can be reached on (571) 272-7795. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Page 8

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see https://ppair-my.uspto.gov/pair/PrivatePair. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/OMONIYI OBAYANJU/ Primary Examiner, Art Unit 2645

Examiner-Initiated Interview Summary

Application No.	Applicant(s)			
17/012,813	Li et al.			
Examiner OMONIYI OBAYANJU	Art Unit 2645	AIA (First Inventor to File) Status NO	Page 1 of 1	

All Participants (applicant, applicants	Title	Type
representative, PTO personnel)	Title	Type
OMONIYI OBAYANJU	Primary Examiner	Telephonic

Date of Interview: 20 October 2020

Issues Discussed:

35 U.S.C. 112

The Examiner discussed potential clarity 112 issues in the independent claim because the claims mentioned a second pilots without clearly specifying what is the first pilots.

Proposed Amendment(s)

The Examiner also discussed and/or suggest some amendments. The Applicant made alternative amendments and agreed to enter the amendments with an Examiner's amendments, after further search and/or consideration by the Examiner.

/OMONIYI OBAYANJU/ Primary Examiner, Art Unit 2645	
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Applicant is reminded that a complete written statement as to the substance of the interview must be made of record in the application file. It is the applicants responsibility to provide the written statement, unless the interview was initiated by the Examiner and the Examiner has indicated that a written summary will be provided. See MPEP 713.04 Please further see:

MPEP 713.04

Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews, paragraph (b)

37 CFR § 1.2 Business to be transacted in writing

Applicant recordation instructions:It is not necessary for applicant to provide a separate record of the substance of interview.

Examiner recordation instructions: Examiners must summarize the substance of any interview of record. A complete and proper recordation of the substance of an interview should include the items listed in MPEP 713.04 for complete and proper recordation including the identification of the general thrust of each argument or issue discussed, a general indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised.

		Notice of Reference	e Citad		Application/ 17/012,813	Control No.	Applicant(s)/Patent Under Reexamination Li et al.		
					Examiner OMONIYI C	BAYANJU	Art Unit 2645	Page 1 of 1	
	U.S. PATENT DOCUMENTS								
*		Document Number Country Code-Number-Kind Code	Date MM-YYYY		Nam	е	CPC Classification	US Classification	
*	Α	US-7650152-B2	01-2010	Li; Xiaod	dong		H04L25/0228	455/452.1	
*	В	US-20040190598-A1	09-2004	Seki, Hi	royuki		H04B7/0669	375/141	
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*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

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Notice of References Cited

Part of Paper No. 20201021

Search Notes	

Application/Control No.	Applicant(s)/Patent Under Reexamination
17/012,813	Li et al.
Examiner	Art Unit
OMONIYI OBAYANJU	2645

CPC - Searched*				
Symbol	Date	Examiner		
H04L5/0053	10/21/2020	00		
H04L5/0007	10/21/2020	00		
H04L5/0048	10/21/2020	00		

CPC Combination Sets - Searched*			
Symbol	Date	Examiner	

US Classification - Searched*				
Class Subclass Date Examiner				

^{*} See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

Search Notes			
Search Notes	Date	Examiner	
See Attached East Search History	10/21/2020	00	

Interference Search						
US Class/CPC Symbol	US Subclass/CPC Group	Date	Examiner			
Same As Above	Same As Above	10/21/2020	00			
Interference Search	East Patent Claim Search	10/21/2020	00			

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	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	17/012,813	Li et al.
	Examiner	Art Unit
	OMONIYI OBAYANJU	2645

СРС						
Symbol				Туре	Version	
H04L	/ 27		2637	F	2013-01-01	
H04L	/ 27		2613	ı	2013-01-01	
H04B	/ 1		707	ı	2013-01-01	
H04L	/ 5		0007	I	2013-01-01	
H04L	/ 5		0028	I	2013-01-01	
H04L	/ 25		03834	I	2013-01-01	
H04L	/ 27		0008	I	2013-01-01	
H04L	/ 27		0012	I	2013-01-01	
H04L	/ 27		2602	I	2013-01-01	
H04W	/ 72		0446	I	2013-01-01	
H04B	7		0413	I	2013-01-01	
H04L	/ 5		0048	I	2013-01-01	
H04L	/ 27		2626	I	2013-01-01	
H04J	/ 11		005	I	2013-01-01	
H04L	27		2646	I	2013-01-01	
H04W	/ 72		044	1	2013-01-01	
H04W	/ 16	1	02	I	2013-01-01	
H04L	27		2655	A	2013-01-01	
H04L	/ 27		2657	A	2013-01-01	
H04L	/ 5	1	0016	A	2013-01-01	
H04L	/ 25		0228	A	2013-01-01	
H04L	27		2607	А	2013-01-01	

CPC Combination Sets				
Symbol	Туре	Set	Ranking	Version

NONE	Total Claims	s Allowed:	
(Assistant Examiner)	(Date)	30)
/OMONIYI OBAYANJU/ Primary Examiner, Art Unit 2645	21 October 2020	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	5

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	17/012,813	Li et al.	
	Examiner	Art Unit	
	OMONIYI OBAYANJU	2645	
INTERNATIONAL CLASSIFICATION			
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CROSS REFERENCE	ES(S)						
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NONE	Total Claims	s Allowed:		
(Assistant Examiner)	(Date)	30		
/OMONIYI OBAYANJU/ Primary Examiner, Art Unit 2645	21 October 2020	O.G. Print Claim(s)	O.G. Print Figure	
(Primary Examiner)	(Date)	1	5	

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	17/012,813	Li et al.
	Examiner	Art Unit

☑ Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.47														
CLAIMS														
Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original
1		10		19		28								
2		11		20		29								
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NONE	Total Claim	s Allowed:	
(Assistant Examiner)	(Date)	30)
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(Primary Examiner)	(Date)	1	5

EAST Search History

EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	264	((orthogonal near2 frequency near2 division near2 multiple near2 access) or ofdma) and ((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or carrier or band or sub\$1channel or sub adj channel or channel or frequency) with (cell\$1specific or cell adj specific) with pilot) and (beam\$1form\$3 or beam adj form\$3)	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/21 15:27
L2	0	1 and (@ad<"20040129" or @pd<"20040129" or @rlad<"20040129")	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/21 15:28
L3	962	((orthogonal near2 frequency near2 division near2 multiple near2 access) or ofdma) and ((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or carrier or band or sub\$1channel or sub adj channel or channel or frequency) with (cell) with pilot) and (beam\$1form\$3 or beam adj form\$3)	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/21 15:28
L4	18	3 and (@ad<"20040129" or @pd<"20040129" or @rlad<"20040129")	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/21 15:29
L18	6,036	370/330.ccls.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/21 17:17
L19	70,889	((H04L5/0053 OR H04L5/0007 OR H04L5/0048).CPC.)	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/21 17:18
L20	74,982	18 or 19	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/21 17:18

L21	6,724	20 and (cell\$1specific or cell adj specific) and (beam\$1form\$3 or beam adj form\$3)	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/21 17:23
L22	4	21 and (@ad<"20040129" or @pd<"20040129" or @rlad<"20040129")	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/21 17:23
S1	0	"16440754"	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/17 01:05
S2	0	(Neo near2 Wireless).as.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/17 01:05
S3	144	NEOCIFIC.as.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/17 01:08
S4	0	(CFIP near2 NCF).as.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/17 01:08
S 5	16	(WALTICAL near2 SOLUTIONS).as.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/17 01:09
S6	684	((Li near2 Xiaodong) or (Lo near2 Titus) or (Li near2 Kemin) or (Huang near2 Haiming)).inv.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/17 01:11
S7	21	"10583530"	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/17 09:07
S8	684	((Li near2 Xiaodong) or (Lo near2 Titus) or (Li near2 Kemin) or (Huang near2 Haiming)).inv.	US-PGPUB; USPAT; EPO; JPO;	OR	ON	2020/03/18 16:52

			DERWENT; IBM_TDB			
S9	16	(WALTICAL near2 SOLUTIONS).as.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/18 16:53
S10	144	NEOCIFIC.as.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/18 16:53
S11	711	(S8 or S9 or S10)	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/18 16:53
S12	4	S11 and (pilot and common and (carrier or band or sub\$1band or sub\$1carrier) and ratio and amplitude and phase and power and (cell\$1specific or cell adj specific)).clm.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/18 16:57
S13	4	(transmi\$10 near2 power) and (((common or "same" or similar or identical or equal) near2 pilot) same (ratio near2 amplitude)) and (phase near2 differen\$3) and ((sync\$15 or align\$8 or calibrat\$3 or correct\$3) near3 (carrier or band or sub\$1band or sub\$1carrier))	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/18 17:36
S14	5	(transmi\$10 near2 power) and ((pilot) same (ratio near2 amplitude)) and (phase near2 differen\$3) and ((sync\$15 or align\$8 or calibrat\$3 or correct\$3) near3 (carrier or band or sub\$1band or sub\$1carrier))	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/18 17:38
S15	1	S14 not S13	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/18 17:39
S16	12,669	455/522.ccls.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/18 17:42
S17	18,128	((H04W52/146 OR H04W52/34 OR H04W52/04 OR H04W52/143 OR H04W52/38).CPC.)	US-PGPUB; USPAT; EPO; JPO;	OR	ON	2020/03/18 17:44

			DERWENT; IBM_TDB			
S18	27,434	(S16 or S17)	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/18 17: 44
S19	0	S18 and ((common near2 pilot) same (amplitude near2 ratio))	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/18 17:45
S20	8	((common near2 pilot) same (amplitude near2 ratio))	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/18 17:46
S21	4	S20 not S14	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/18 17:46
S22	485	((common near2 pilot) same (cell\$1specific or cell adj specific))	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/18 17:51
S23	22	S22 and S18	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/18 17:52
S24	4	((transmi\$10 near2 power) and (((common or "same" or similar or identical or equal) near2 pilot) same (ratio near2 amplitude)) and (phase near2 differen\$3) and ((sync\$15 or align\$8 or calibrat\$3 or correct\$3) near3 (carrier or band or sub\$1band or sub\$1carrier))).clm.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/18 17:56
S32	9	"8934473"	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/23 21:27
S33	1	"16440754"	US-PGPUB; USPAT; EPO; JPO;	OR	ON	2020/10/16 22:15

0.0000000000000000000000000000000000000			DERWENT; IBM_TDB			
S34	145	(Neocific).as.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 08:28
S35	73	((insert\$3 or add\$3 or combin\$8 or include or attach\$9 or embed\$8 or append\$9 or together or incorporat\$3) near4 pilot near4 (sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or carrier or band)) and ((orthogonal near2 frequency near2 division) or ofdma) and ((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or carrier or band) near2 (beam\$1form\$3 or beam adj form\$3))	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 11:56
S36	0	S35 and (@ad<"20040129" or @pd<"20040129" or @rlad<"20040129")	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 11:59
S37	86	(pilot near5 (sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or carrier or band) near5 data) and ((orthogonal near2 frequency near2 division) or ofdma) and ((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or carrier or band) near2 (beam\$1form\$3 or beam adj form\$3))	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 12:01
S38	0	S37 and (@ad<"20040129" or @pd<"20040129" or @rlad<"20040129")	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 12:02
S39	40	S37 not S35	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 12:06
S40	245	(pilot near5 (sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or carrier or band)) and ((orthogonal near2 frequency near2 division) or ofdma) and ((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or carrier or band) near2 (beam\$1form\$3 or beam adj form\$3))	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 12:09

S41	14	S40 and (@ad<"20040129" or @pd<"20040129" or @rlad<"20040129")	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 12:10
S42	14	S41 not S37	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 12:10
S43	82	((insert\$3 or add\$3 or combin\$8 or include or attach\$9 or embed\$8 or append\$9 or together or incorporat\$3) near4 (pilot or sounding) near4 (sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or carrier or band)) and ((orthogonal near2 frequency near2 division) or ofdma) and ((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or carrier or sub\$1band or sub adj band or carrier or band) near2 (beam\$1form\$3 or beam adj form\$3))	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 12:23
S44	0	S43 and (@ad<"20040129" or @pd<"20040129" or @rlad<"20040129")	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 12:25
S45	246	(pilot near5 (sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or carrier or band or sub\$1channel or sub adj channel)) and ((orthogonal near2 frequency near2 division) or ofdma) and ((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or carrier or band) near2 (beam\$1form\$3 or beam adj form\$3))	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 12:33
S46	1	S45 not S40	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 12:34
S47	128	((insert\$3 or add\$3 or combin\$8 or include or attach\$9 or embed\$8 or append\$9 or together or incorporat\$3) near4 data near4 (sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or carrier or band or sub\$1channel or sub adj channel)) and ((orthogonal near2 frequency near2 division) or ofdma) and ((sub\$1carrier or sub adj	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 12:52

		carrier or sub\$1band or sub adj band or carrier or band) near2 (beam\$1form\$3 or beam adj form\$3))				
S48	24	S47 and (@ad<"20040129" or @pd<"20040129" or @rlad<"20040129")	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 12:54
S49	25	("5828650" "6175550" "7411897" "7471667" "7873009" "8102832" "8363691").PN.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 13:00
S50	22	S49 and (@ad<"20040129" or @pd<"20040129" or @rlad<"20040129")	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 13:00
S51	0	(((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or sub\$1channel or sub adj channel) same pilot same (beam\$1form\$3 or beam adj form\$3)) and (ofdma) and (time\$1slot or time adj slot)).clm.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 13:16
S52	218	((pilot) same (data) same (sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or carrier or band or sub\$1channel or sub adj channel)) and ((orthogonal near2 frequency near2 division near2 multiple near2 access) or ofdma) and ((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or carrier or band) near4 (beam\$1form\$3 or beam adj form\$3))	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 13:36
S53	20	S52 and (@ad<"20040129" or @pd<"20040129" or @rlad<"20040129")	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 13:37
S54	20	S53 not S48	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 13:38
S55	151	(US-20030085040-\$ US-0021182-\$ US-0040929-\$ US-0060892-\$ US- 0072255-\$ US-0081538-\$ US- 0083789-\$ US-0085040-\$ US- 0085946-\$ US-0106513-\$ US-	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 14:00

S56	128	S55 and (@ad<"20040129" or @pd<"20040129" or @rlad<"20040129")	US-PGPUB; USPAT; EPO; JPO;	OR	ON	2020/10/19 14:01
556	128	0159430-\$ US-0171357-\$ US-0179776-\$ US-0211617-\$ US-0242937-\$ US-0243774-\$ US-0245409-\$ US-0264600-\$ US-0299474-\$ US-0304551-\$ US-05711777-\$ US-05712825-\$ US-1407745-\$ US-1452326-\$ US-1445949-\$ US-20010083789-\$ US-20010021182-\$ US-200100242937-\$ US-20030072255-\$ US-20030072255-\$ US-20030072255-\$ US-20030072255-\$ US-20030072255-\$ US-20030072255-\$ US-20040085946-\$ US-20040171357-\$ US-20040171357-\$ US-20040171357-\$ US-20040171357-\$ US-20040171357-\$ US-20040171357-\$ US-20040171357-\$ US-20040171357-\$ US-20040264600-\$ US-20050111397-\$ US-20060114815-\$ US-20110211617-\$ US-20110299474-\$ US-20120106513-\$ US-20110299474-\$ US-20120106513-\$ US-20130242937-\$ US-5825807-\$ US-5867478-\$ US-6434364-\$ US-6480558-\$ US-6434364-\$ US-6567383-\$ US-6643281-\$ US-6711706-\$ US-6434364-\$ US-6711706-\$ US-6434364-\$ US-6711706-\$ US-6847678-\$ US-6711706-\$ US-6847678-\$ US-712934-\$ US-7039001-\$ US-7062002-\$ US-7123934-\$ US-7161987-\$ US-724652-\$ US-7161987-\$ US-724652-\$ US-7317931-\$ US-744529-\$ US-7161987-\$ US-7443829-\$ US-7316932-\$ US-7443829-\$ US-7418042-\$ US-7443829-\$ US-7567624-\$ US-7646747-\$ US-7693032-\$ US-7443829-\$ US-7567624-\$ US-7646747-\$ US-7693032-\$ US-7443829-\$ US-7567624-\$ US-7646747-\$ US-7693032-\$ US-7443829-\$ US-7567624-\$ US-7443829-\$ US-7567624-\$ US-7555268-\$ US-77693032-\$ US-7724720-\$ US-7738437-\$ US-7864725-\$ US-7864725-\$ US-7864725-\$ US-7809050243774-\$ US-809660-\$ US-8089887-\$ US	US-PGPUB;	OR	ON	2020/10/19
	· · · · · · · · · · · · · · · · · · ·	0111397-\$ US-0114815-\$ US- 0141483-\$ US-0159422-\$ US-				

			DERWENT; IBM_TDB			
S57	0	S56 and ((orthogonal near2 frequency near2 division near2 multiple near2 access) or ofdma) and ((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or carrier or band) near4 (beam\$1form\$3 or beam adj form\$3))	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 14:05
S66	33	((orthogonal near2 frequency near2 division near2 multiple near2 access) or ofdma) and ((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or carrier or band or sub\$1channel or sub adj channel) with (cell\$1specific or cell adj specific) with pilot) and (beam\$1form\$3)	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/21 10:51
S67	0	S66 and (@ad<"20040129" or @pd<"20040129" or @rlad<"20040129")	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/21 10:52
S68	259	((orthogonal near2 frequency near2 division near2 multiple near2 access) or ofdma) and ((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or carrier or band or sub\$1channel or sub adj channel) same (cell\$1specific or cell adj specific) same pilot) and (beam\$1form\$3 or beam adj form\$3)	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/21 10:52
S69	0	S68 and (@ad<"20040129" or @pd<"20040129" or @rlad<"20040129")	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/21 10:53
S70	48	((orthogonal near2 frequency near2 division near2 multiple near2 access) or ofdma) and ((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or carrier or band or sub\$1channel or sub adj channel) with ((cell) near2 pilot)) and (beam\$1form\$3 or beam adj form\$3)	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/21 10:54
S71	16	S70 and (@ad<"20040129" or @pd<"20040129" or @rlad<"20040129")	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/21 10:55
S72	32	((orthogonal near2 frequency near2 division near2 multiple near2 access) or	US-PGPUB; USPAT; EPO;	OR	ON	2020/10/21 11:15

			JPO; DERWENT; IBM_TDB			
S73	0	S72 and (@ad<"20040129" or @pd<"20040129" or @rlad<"20040129")	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/21 11:16

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Receipt date: 09/04/2020 17/012,813 - GAU: 2645

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number	Not Yet Known
Filing Date	2020-09-04
First Named Inventor	Li et al.
Art Unit	Not Yet Known
Examiner Name	Not Yet Known
Attorney Docket Number	NEO-PT008.8

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	7,260,054	08/2007	Olszewski			
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/OMONIYI OBAYANJU/ 10/21/2020

¹ Corresponds to KR 2003-0085040

² Corresponds to WO 02/073831

³ Corresponds to CN 1432245

Receipt date: 09/04/2020 17/012,813 - GAU: 2645

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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	21/022/020	
Application Number	Not Yet Known	
Filing Date	2020-09-04	
First Named Inventor	Li et al.	
Art Unit	Not Yet Known	
Examiner Name	Not Yet Known	
Attorney Docket Number	NEO-PT008.8	

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⁴ Corresponds to US 2005/0243774

⁵ Corresponds to US 2002/0159430

⁶ Corresponds to CN 1465150

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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	21/022/020
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First Named Inventor	Li et al.
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Examiner Name	Not Yet Known
Attorney Docket Number	NEO-PT008.8

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/OMONIYI OBAYANJU/ 10/21/2020

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STATEMENT BY APPLICANT

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	21/032/030
Application Number	Not Yet Known
Filing Date	2020-09-04
First Named Inventor	Li et al.
Art Unit	Not Yet Known
Examiner Name	Not Yet Known
Attorney Docket Number	NEO-PT008.8

EXAMINER INITIAL		DESCRIPTION (Including Author, Title, Date, Pertinent Pages, Etc.)
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	*	IEEE Standard for Local and metropolitan area networks; Part 16: Air Interface for Fixed Broadband Wireless Access Systems — Amendment 2: Medium Access Control Modifications and Additional Physical Layer Specifications for 2–11 GHz, IEEE Std. 802.16a-2003 (April 1, 2003).
		International Search Report and Written Opinion for International Application No. PCT/US05/01939, Mail Date 4/26/2005, 7 pages.
		International Search Report and Written Opinion; International Patent Application No. PCT/US05/03518; Filed Jan. 27, 2005; Applicant: WalticalSolutions, Inc.; Mailed: May 23, 2005; 8 pages.
		Supplementary European Search Report, European Application No. 05711777, May 7, 2012, 6 pages.
		Supplementary European Search Report, European Application No. 05712825, March 26, 2012, 4 pages
		Tufvesson, F., et al. "OFDM Time and Frequency Synchronization by Spread Spectrum Pilot Technique," Communication Theory Mini-Conference, Vancouver, B.C., Canada, June 6-10, 1999, pages 115-119.

/OMONIYI OBAYANJU/ 10/21/2020

Bibliographic Data

17/012,813 Application No: \bigcirc No O Yes Foreign Priority claimed: **∠**No \Box_{Yes} ☐ Met After Allowance 35 USC 119 (a-d) conditions met: /OMONIYI OBAYANJU/ Verified and Acknowledged: Examiner's Signature Initials METHOD AND APPARATUS USING CELL-SPECIFIC AND Title: COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS

FILING or 371(c) DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.
09/04/2020	370	2645	NEO-PT008.8
RULE			

APPLICANTS

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CONTINUING DATA

This application is a CON of 16440754 06/13/2019

16440754 is a CON of 15688441 08/28/2017 PAT 10326631

15688441 is a CON of 14746676 06/22/2015 PAT 9749168

14746676 is a CON of 14595132 01/12/2015 PAT 9065614

14595132 is a CON of 13874278 04/30/2013 PAT 8934473

13874278 is a CON of 13212116 08/17/2011 PAT 8432891

13212116 is a CON of 10583530 05/30/2007 PAT 8009660

10583530 is a 371 of PCT/US05/01939 01/20/2005

PCT/US05/01939 has PRO of 60540032 01/29/2004

FOREIGN APPLICATIONS

IF REQUIRED, FOREIGN LICENSE GRANTED**

09/15/2020

STATE OR COUNTRY

UNITED STATES

ADDRESS

Volpe Koenig 30 SOUTH 17TH STREET, 18TH FLOOR PHILADELPHIA, PA 19103 UNITED STATES

FILING FEE RECEIVED

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17/012,813 - GAU: 2645

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	Application Number		17012813	
INFORMATION DIGGLOSURE	Filing Date		2020-09-04	
INFORMATION DISCLOSURE	First Named Inventor	Li et a	I.	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2645	
(Not for Submission under or or Kinss)	Examiner Name	Omon	iyi Obayanju	
	Attorney Docket Number	er	NEO-PT008.8	

U.S.PATENTS					Remove	
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	5828650	A	1998-10-27	Malkamaki et al.	
	2	6175550	B1	2001-01-16	van Nee	
	3	7411897	B2	2008-08-12	Yoo et al.	
	4	7471667	B2	2008-12-30	Hirsch et al.	
	5	7873009	B2	2011-01-18	Larsson et al.	
	6	8102832	B2	2012-01-24	Agrawal et al.	
	7	8363691	B2	2013-01-29	Hasegawa et al.	
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Receipt date: 10/01/2020 17/012,813 - GAU: 2645 Application Number 17012813 Filing Date 2020-09-04 INFORMATION DISCLOSURE First Named Inventor Li et al. STATEMENT BY APPLICANT Art Unit 2645 (Not for submission under 37 CFR 1.99) **Examiner Name** Omoniyi Obayanju Attorney Docket Number NEO-PT008.8 Pages, Columns, Lines where Publication Publication Kind Name of Patentee or Applicant Examiner Cite No Relevant Passages or Relevant Initial* of cited Document Number Code¹ Date Figures Appear 1 Add If you wish to add additional U.S. Published Application citation information please click the Add button. Remove **FOREIGN PATENT DOCUMENTS** Pages, Columns, Lines Name of Patentee or Examiner Cite Country Publication where Relevant Foreign Document Kind Applicant of cited T5 Initial* No Number3 Code2i Code⁴ Date Passages or Relevant Document Figures Appear 1 If you wish to add additional Foreign Patent Document citation information please click the Add button Add Remove **NON-PATENT LITERATURE DOCUMENTS** Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item Examiner Cite T5 (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), Initials* No

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1

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EXAMINER SIGNATURE

Examiner Signature Date Considered 10/21/2020 /OMONIYI OBAYANJU/

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). 3 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

Receipt date: 10/01/2020 17/012,813 - GAU: 2645

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

			,,	
Application Number		17012813		
Filing Date		2020-09-04		
First Named Inventor	Li et a	al.		
Art Unit		2645		
Examiner Name	Omor	niyi Obayanju		
Attorney Docket Number NEO		NEO-PT008.8		

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

X A certification statement is not submitted herewith.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Andrew D. Buschmeier/	Date (YYYY-MM-DD)	2020-10-01
Name/Print	Andrew D. Buschmeier	Registration Number	73,179

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Receipt date: 10/01/2020 17/012,813 - GAU: 2645

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The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

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 court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement
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 request involving an individual, to whom the record pertains, when the individual has requested assistance from the
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 to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

EAST Search History

EAST Search History (Interference)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L16	1	((((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or sub\$1channel or sub adj channel)) and pilot and (beam\$1form\$3 or beam adj form\$3)) and (ofdma or (orthogonal near2 frequency near2 division near2 multiple near2 access)) and (time\$1slot or time adj slot) and (data or traffic or user adj plane) and (interfere or interference)).clm.	USPAT	OR	ON	2020/10/21 17:00
L17	0	((((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or sub\$1channel or sub adj channel)) and pilot and (beam\$1form\$3 or beam adj form\$3)) and (ofdma or (orthogonal near2 frequency near2 division near2 multiple near2 access)) and (time\$1slot or time adj slot) and (data or traffic or user adj plane) and (interfere or interference) and (cell\$1specific or cell adj specific)).clm.	USPAT	OR	ON	2020/10/21 17:02
S58	0	(((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or sub\$1channel or sub adj channel) same pilot same (beam\$1form\$3 or beam adj form\$3)) and (ofdma) and (time\$1slot or time adj slot)).clm.	USPAT	OR	ON	2020/10/19 13:17
S59	0	(((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or sub\$1channel or sub adj channel) same pilot and (beam\$1form\$3 or beam adj form\$3)) and (ofdma) and (time\$1slot or time adj slot)).clm.	USPAT	OR	ON	2020/10/19 13:26
S60	0	(((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or sub\$1channel or sub adj channel) same pilot and (beam\$1form\$3 or beam adj form\$3)) and (ofdma or (orthogonal near2 frequency near2 division near2 multiple near2 access)) and (time\$1slot or time adj slot)).clm.	USPAT	OR	ON	2020/10/19 13:28
S61	1	(((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or sub\$1channel or sub adj channel) and pilot and (beam\$1form\$3 or beam adj form\$3)) and (ofdma or (orthogonal near2 frequency near2 division near2 multiple near2 access)) and (time\$1slot or time adj slot)).clm.	USPAT	OR	ON	2020/10/19 13:28
S62	1	(((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or sub\$1channel or sub adj channel) and pilot and (beam\$1form\$3 or beam adj form\$3)) and (ofdma or (orthogonal near2	USPAT	OR	ON	2020/10/19 13:31

		frequency near2 division near2 multiple near2 access)) and (time\$1slot or time adj slot) and (data or traffic or user adj plane)).clm.				
S63	0	((((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or sub\$1channel or sub adj channel) near2 different) and pilot and (beam\$1form\$3 or beam adj form\$3)) and (ofdma or (orthogonal near2 frequency near2 division near2 multiple near2 access)) and (time\$1slot or time adj slot) and (data or traffic or user adj plane)).clm.	USPAT	OR	ON	2020/10/19 13:51
S64	0	((((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or sub\$1channel or sub adj channel) near5 different) and pilot and (beam\$1form\$3 or beam adj form\$3)) and (ofdma or (orthogonal near2 frequency near2 division near2 multiple near2 access)) and (time\$1slot or time adj slot) and (data or traffic or user adj plane)).clm.	USPAT	OR	ON	2020/10/19 13:51

 $10/21/2020\ 5:32:52\ PM \\ C:\Users\oobayanju\Documents\EAST\Workspaces\ 17012813.wsp$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the PATENT APPLICATION of:

Neo Wireless LLC

Application No.: 17/012,813

Confirmation No.: 8746

Filed: September 4, 2020

For: METHOD AND

APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS

COMMUNICATION NETWORKS

Group: 2645

Examiner: OBAYANJU, OMONIYI

Our File: NEO-PT008.8

Date: November 10, 2020

AMENDMENT AFTER ALLOWANCE PURSUANT TO 37 C.F.R. §1.312

Mail Stop Amendment (via EFS) Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

This amendment is submitted further to the Notice of Allowance dated October 27, 2020 to correct a minor non-substantive formality.

Please amend the application without prejudice or disclaimer as follows:

6545248.1

Electronic Acknowledgement Receipt					
EFS ID:	41078970				
Application Number:	17012813				
International Application Number:					
Confirmation Number:	8746				
Title of Invention:	METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS				
First Named Inventor/Applicant Name:	Xiaodong Li				
Customer Number:	3624				
Filer:	Andrew D. Buschmeier/Justin Slater				
Filer Authorized By:	Andrew D. Buschmeier				
Attorney Docket Number:	NEO-PT008.8				
Receipt Date:	10-NOV-2020				
Filing Date:	04-SEP-2020				
Time Stamp:	12:24:20				
Application Type:	Utility under 35 USC 111(a)				

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
		NEO-	130050		
1		PT008_8-1_312Amendment_E FS-20201110.pdf	f0d6133e9b4aef30c0da2529e7e4d907e8d 76128	yes	11

	Multipart Description/PDF files in .zip description					
	Document Description	Start	End			
	Applicant Arguments/Remarks Made in an Amendment	10	11			
	Claims	2	9			
	Amendment after Notice of Allowance (Rule 312)	1	1			
Warnings:						
Information:						
	Total Files Size (in bytes):	1;	30050			

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Applicant: Neo Wireless LLC

Application No.: 17/012,813

REMARKS

After the foregoing Amendment, claims 1-30 are currently pending in this

application. Claim 8 is amended with respect to claim 8 as included in the Examiner's

Amendment. Claim 8 as included in the Examiner's amendment did not accurately

reflect changes to claim 8 as filed, rather the language of claim 8 as listed in the

Examiner's Amendment was actually the language of claim 1. The amendments to

claim 8 herein are to correctly fix claim 8 to reflect the method claim as originally

filed along with the incorporation of similar changes as were made to the other

independent claims in the Examiner's Amendment.

Examiner Interview

Applicant thanks the Examiner for the telephonic interview with the

Applicant's representative on October 20, 2020. During the telephonic interview,

amendments to the independent claims were discussed. Claim 8 was unintentionally

overlooked as a reproduction of claim 1. The Applicant has corrected the claim via

the foregoing Amendment.

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Conclusion

Applicant submits that no new matter has been introduced into the application

by this Amendment, and respectfully requests that this Amendment be entered

pursuant to 37 C.F.R. §1.312 and that the application continues to grant.

If the Examiner believes that any additional minor formal matters need to be

addressed, the Examiner is invited to contact the undersigned by telephone at the

Examiner's convenience.

Respectfully submitted,

Neo Wireless LLC

By_/Andrew D. Buschmeier/__

Andrew D. Buschmeier

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Telephone: (215) 568-6400

Facsimile: (215) 568-6499

AB/jrrs

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Application No.: 17/012,813

Amendments to the Claims:

This listing of the claims will replace all prior versions of the claims in the application:

1. (previously presented) An orthogonal frequency division multiple access (OFDMA)-compatible base station that uses subcarriers in a frequency domain and time slots in a time domain, the OFDMA-compatible base station comprising:

a plurality of antennas; and

a transmitter operably coupled to the plurality of antennas;

the transmitter configured to:

insert first pilots of a first type onto a first plurality of subcarriers, wherein the first pilots are cell-specific pilots; and

insert data and second pilots of a second type onto a second plurality of subcarriers:

wherein at least some subcarriers of the first plurality of subcarriers or the second plurality of subcarriers are beam-formed; and

the plurality of antennas configured to transmit the first plurality of subcarriers and the second plurality of subcarriers in at least one of the time slots;

wherein the second type is different than the first type and wherein the first pilots do not interfere with the second pilots.

- 2. (original) The OFDMA-compatible base station of claim 1 wherein all subcarriers of the first plurality of subcarriers are different than all subcarriers of the second plurality of subcarriers.
- 3. (original) The OFDMA-compatible base station of claim 1 wherein the second plurality of subcarriers includes an nth subcarrier and an n+18 subcarrier 2 6545248.1

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Application No.: 17/012,813

spaced apart from the nth subcarrier by 17 subcarriers and the first plurality of

subcarriers includes an mth subcarrier and an m+20 subcarrier spaced apart from

the mth subcarrier by 19 subcarriers.

4. (original) The OFDMA-compatible base station of claim 1 wherein

each cell-specific pilot of the cell-specific pilots is unique to a respective cell.

5. (original) The OFDMA-compatible base station of claim 1 wherein the

first plurality of subcarriers are not aligned in frequency with subcarriers of at least

another cell onto which respective cell-specific pilots are inserted.

6. (original) The OFDMA-compatible base station of claim 1 wherein the

second plurality of subcarriers are beam-formed.

7. (original) The OFDMA-compatible base station of claim 1 wherein the

cell-specific pilots are used to convey cell-specific information.

8. (currently amended) An orthogonal frequency division multiple access

(OFDMA) compatible base station that uses subcarriers in a frequency domain and

time slots in a time domain, the OFDMA-compatible base station comprising:

a plurality of antennas; and

a transmitter operably coupled to the plurality of antennas;

the transmitter configured to:

insert first pilots of a first type onto a first plurality of subcarriers,

wherein the first pilots are cell specific pilots; and

insert data and second pilots of a second type onto a second plurality of

subcarriers;

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wherein at least some subcarriers of the first plurality of subcarriers or the second plurality of subcarriers are beam-formed; and

the plurality of antennas configured to transmit the first plurality of subcarriers and the second plurality of subcarriers in at least one of the time slots;

A method performed by an orthogonal frequency division multiple access (OFDMA)-compatible base station that uses subcarriers in a frequency domain and time slots in a time domain, the method comprising:

inserting, by the OFDMA-compatible base station, first pilots of a first type onto a first plurality of subcarriers, wherein the first pilots are cell-specific pilots;

inserting, by the OFDMA-compatible base station, data and second pilots of a second type onto a second plurality of subcarriers;

wherein at least some subcarriers of the first plurality of subcarriers or the second plurality of subcarriers are beam-formed; and

transmitting, by the OFDMA-compatible base station, the first plurality of subcarriers and the second plurality of subcarriers in at least one of the time slots using a plurality of antennas;

wherein the second type is different than the first type and wherein the first pilots do not interfere with the second pilots.

- 9. (original) The method of claim 8 wherein all subcarriers of the first plurality of subcarriers are different than all subcarriers of the second plurality of subcarriers.
- 10. (original) The method of claim 8 wherein the second plurality of subcarriers includes an nth subcarrier and an n+18 subcarrier spaced apart from the nth subcarrier by 17 subcarriers and the first plurality of subcarriers includes an mth subcarrier and an m+20 subcarrier spaced apart from the mth subcarrier by 19 subcarriers.

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11. (original) The method of claim 8 wherein each cell-specific pilot of the

cell-specific pilots is unique to a respective cell.

12. (original) The method of claim 8 wherein the first plurality of

subcarriers are not aligned in frequency with subcarriers of at least another cell

onto which respective cell-specific pilots are inserted.

13. (original) The method of claim 8 wherein the second plurality of

subcarriers are beam-formed.

14. (original) The method of claim 8 wherein the cell-specific pilots are

used to convey cell-specific information.

15. (previously presented) An orthogonal frequency division multiple

access (OFDMA)-compatible mobile station that uses subcarriers in a frequency

domain and time slots in a time domain, the OFDMA-compatible mobile station

comprising:

at least one antenna; and

a receiver; and

the at least one antenna and the receiver are configured to:

receive first pilots of a first type on a first plurality of subcarriers,

wherein the first pilots are cell-specific pilots; and

receive second pilots of a second type and data on a second plurality of

subcarriers, wherein the first plurality of subcarriers and the second plurality of

subcarriers are received in at least one of the time slots;

wherein at least some subcarriers of the first plurality of subcarriers or

the second plurality of subcarriers are beam-formed; and

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the receiver is further configured to:

recover the data using channel estimates from at least the second pilots; and

recover cell-specific information using the cell-specific pilots;

wherein the second type is different than the first type and wherein the first pilots do not interfere with the second pilots.

- 16. (original) The OFDMA-compatible mobile station of claim 15 wherein all subcarriers of the first plurality of subcarriers are different than all subcarriers of the second plurality of subcarriers.
- 17. (original) The OFDMA-compatible mobile station of claim 15 wherein the second plurality of subcarriers includes an nth subcarrier and an n+18 subcarrier spaced apart from the nth subcarrier by 17 subcarriers and the first plurality of subcarriers includes an mth subcarrier and an m+20 subcarrier spaced apart from the mth subcarrier by 19 subcarriers.
- 18. (original) The OFDMA-compatible mobile station of claim 15 wherein each cell-specific pilot of the cell-specific pilots is unique to a respective cell.
- 19. (original) The OFDMA-compatible mobile station of claim 15 wherein the first plurality of subcarriers are transmitted at a same time as the second plurality of subcarriers.
- 20. (original) The OFDMA-compatible mobile station of claim 15 wherein the second plurality of subcarriers are beam-formed.

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21. (original) The OFDMA-compatible mobile station of claim 15 wherein the first plurality of subcarriers are not aligned in frequency with subcarriers of at least another cell onto which respective cell-specific pilots are inserted.

- 22. (original) The OFDMA-compatible mobile station of claim 15 wherein the receiver is further configured to extract cell-specific information from the cell-specific pilots.
- 23. (previously presented) A method performed by an orthogonal frequency division multiple access (OFDMA)-compatible mobile station that uses subcarriers in a frequency domain and time slots in a time domain, the method comprising:

receiving first pilots of a first type on a first plurality of subcarriers, wherein the first pilots are cell-specific pilots;

receiving second pilots of a second type and data on a second plurality of subcarriers, wherein the first plurality of subcarriers and the second plurality of subcarriers are received in at least one of the time slots;

wherein at least some subcarriers of the first plurality of subcarriers or the second plurality of subcarriers are beam-formed;

recovering the data using channel estimates from at least the second pilots; and

recovering cell-specific information using the cell-specific pilots;

wherein the second type is different than the first type and wherein the first pilots do not interfere with the second pilots.

24. (original) The method of claim 23 wherein all subcarriers of the first plurality of subcarriers are different than all subcarriers of the second plurality of subcarriers.

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25. (original) The method of claim 23 wherein the second plurality of

subcarriers includes an nth subcarrier and an n+18 subcarrier spaced apart from the

nth subcarrier by 17 subcarriers and the first plurality of subcarriers includes an mth

subcarrier and an m+20 subcarrier spaced apart from the mth subcarrier by 19

subcarriers.

26. (original) The method of claim 23 wherein each cell-specific pilot of the

cell-specific pilots is unique to a respective cell.

27. (original) The method of claim 23 wherein the first plurality of

subcarriers are transmitted at a same time as the second plurality of subcarriers.

28. (original) The method of claim 23 wherein the second plurality of

subcarriers are beam-formed.

29. (original) The method of claim 23 wherein the first plurality of

subcarriers are not aligned in frequency with subcarriers of at least another cell onto

which respective cell-specific pilots are inserted.

- 8 -

Applicant: Neo Wireless LLC Application No.: 17/012,813

30. (original) The method of claim 23 further comprising extracting cell-specific information from the cell-specific pilots.

United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
17/012,813	09/04/2020	Xiaodong Li	NEO-PT008.8	8746
3624 Volpe Koenig	7590 12/11/202	0	EXAM	IINER
30 SOUTH 17	ΓΗ STREET, 18TH FL	OOR	OBAYANJU	J, OMONIYI
PHILADELPH	IIA, PA 19103		ART UNIT	PAPER NUMBER
			2645	
			NOTIFICATION DATE	DELIVERY MODE
			12/11/2020	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

eoffice@volpe-koenig.com

		Application No.	Applican	t(s)				
_		17/012,813	Li et al.					
Resp	oonse to Rule 312 Communication	Examiner	Art Unit	AIA (FITF) Status				
		OMONIYI OBAYANJU	2645	No				
	The MAILING DATE of this communication appear	s on the cover sheet with the c	orrespond	dence address				
1. ☑ The a a) □	mendment filed on 10 November 2020 under 37 CFR entered.	1.312 has been considered, and	has been:					
b) 🗹	b) entered as directed to matters of form not affecting the scope of the invention.							
c) 🗌	disapproved because the amendment was filed after	r the payment of the issue fee.						
	Any amendment filed after the date the issue fee and the required fee to withdraw the application f		a petition (under 37 CFR 1.313(c)(1)				
d) 🗌	disapproved. See explanation below.							
e) 🗌	entered in part. See explanation below.							
	-							
	/I OBAYANJU/							

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the PATENT APPLICATION of:

Neo Wireless LLC

Application No.: 17/012,813

Confirmation No.: 8746

Filed: September 4, 2020

For: METHOD AND

APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS

COMMUNICATION NETWORKS

Group: 2645

Examiner: OBAYANJU, OMONIYI

Our File: NEO-PT008.8

Date: November 10, 2020

AMENDMENT AFTER ALLOWANCE PURSUANT TO 37 C.F.R. §1.312

Mail Stop Amendment (via EFS) Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

This amendment is submitted further to the Notice of Allowance dated October 27, 2020 to correct a minor non-substantive formality.

Please amend the application without prejudice or disclaimer as follows:

6545248.1



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE

17/012,813 09/04/2020 Xiaodong Li

NEO-PT008.8 CONFIRMATION NO. 8746

3624 Volpe Koenig 30 SOUTH 17TH STREET, 18TH FLOOR PHILADELPHIA, PA 19103

PUBLICATION NOTICE

Title:METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS

Publication No.US-2020-0403838-A1

Publication Date: 12/24/2020

NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publically available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently http://www.uspto.gov/patft/.

The publication process established by the Office does not provide for mailing a copy of the publication to applicant. A copy of the publication may be obtained from the Office upon payment of the appropriate fee set forth in 37 CFR 1.19(a)(1). Orders for copies of patent application publications are handled by the USPTO's Public Records Division. The Public Records Division can be reached by telephone at (571) 272-3150 or (800) 972-6382, by facsimile at (571) 273-3250, by mail addressed to the United States Patent and Trademark Office, Public Records Division, Alexandria, VA 22313-1450 or via the Internet.

In addition, information on the status of the application, including the mailing date of Office actions and the dates of receipt of correspondence filed in the Office, may also be accessed via the Internet through the Patent Electronic Business Center at www.uspto.gov using the public side of the Patent Application Information and Retrieval (PAIR) system. The direct link to access this status information is currently https://portal.uspto.gov/pair/PublicPair. Prior to publication, such status information is confidential and may only be obtained by applicant using the private side of PAIR.

Further assistance in electronically accessing the publication, or about PAIR, is available by calling the Patent Electronic Business Center at 1-866-217-9197.

Office of Data Managment, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

		PART 1	B - FEE(S) TRANSN	IITTAL			
Complete and send	this form, together v	with applicable fee(s), by mail or fax, or v	ia EFS-Web.			
By mail, send to:	Mail Stop ISSUE Commissioner for P.O. Box 1450 Alexandria, Virgin	Patents				By fax, send to	e: (571)-273-2885
further correspondence i	including the Patent, adva	nce orders and notificatio	E and PUBLICATION FEE n of maintenance fees will be dence address; and/or (b) ir	e mailed to the cur	rrent corre	spondence address as	indicated unless corrected
CURRENT CORRESPOND 3624 Volpe Koenig	DENCE ADDRESS (Note: Use BI 7590 10/27 TH STREET, 18TH I	ock 1 for any change of address) /2020	Note Fee(pape	e: A certificate of s) Transmittal. The ers. Each additiona	mailing c is certifica il paper, s	can only be used for ate cannot be used for	domestic mailings of the any other accompanying or formal drawing, must
			El	ectronicall	y filec	d on January	27, 2021
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR		ATTORN	NEY DOCKET NO.	CONFIRMATION NO.
17/012,813	09/04/2020	-	Xiaodong Li		NI	EO-PT008.8	8746
TITLE OF INVENTION	I: METHOD AND APPA	RATUS USING CELL-SI	PECIFIC AND COMMON	PILOT SUBCARI	RIERS IN	MULTI-CARRIER, N	MULTI-
CELL WIRELESS COM APPLN. TYPE	MUNICATION NETW ENTITY STATUS	ORKS ISSUE FEE DUE	DUDLICATION EEE DUE	PREV. PAID ISSU	IE EEE	TOTAL EEE/S) DHE	DATE DUE
			PUBLICATION FEE DUE		E PEE	TOTAL FEE(S) DUE	
nonprovisional	UNDISCOUNTED	\$1200	\$0.00	\$0.00		\$1200	01/27/2021
EXAM	MINER	ART UNIT	CLASS-SUBCLASS				
OBAYANJI	U, OMONIYI	2645	370-330000				
				-++ £+ 1:	-4		
CFR 1.363). Change of corresponding of	dication (or "Fee Address more recent) attached. Us	2. For printing on the p (1) The names of up to or agents OR, alternativ (2) The name of a sing registered attorney or a 2 registered patent atto listed, no name will be	o 3 registered pater vely, le firm (having as a tgent) and the nam rneys or agents. If printed.	nt attorney a member nes of up t	1 Volpe (a so 2	oenig	
			THE PATENT (print or typ		1		
recorded, or filed for	less an assignee is identification, as set forth i	ed below, no assignee dat n 37 CFR 3.11 and 37 CF	a will appear on the patent. FR 3.81(a). Completion of	If an assignee is it this form is NOT a	dentified t a substitute	below, the document n e for filing an assignm	aust have been previously aent.
(A) NAME OF ASSI	GNEE		(B) RESIDENCE: (CITY	and STATE OR O	COUNTR	Y)	
Neo Wirele	ess LLC		Wayne, PA				
Please check the appropri	riate assignee category or	categories (will not be pr	rinted on the patent) : $lacksquare$ Ir	idividual 🗹 Corpo	oration or	other private group en	itity 🖵 Government
4b. Method of Payment:	(Please first reapply any nt via EFS-Web	Enclosed check	Advance Order - # on above) Non-electronic payment by deficiency, or credit any ox	credit card (Attacl		· · · · · · · · · · · · · · · · · · ·	
☐ Applicant certifyi ☐ Applicant assertin ☐ Applicant changir	ntus (from status indicate ng micro entity status. See ng small entity status. See ng to regular undiscounted	e 37 CFR 1.29 37 CFR 1.27 d fee status.	NOTE: Absent a valid ce fee payment in the micro NOTE: If the application to be a notification of loss NOTE: Checking this borentity status, as applicable	entity amount will was previously un s of entitlement to a will be taken to be.	not be ac- der micro micro enti e a notific	cepted at the risk of a entity status, checkin ity status. cation of loss of entitle	pplication abandonment. g this box will be taken
			3. See 37 CFR 1.4 for signa	lon			
	/Andrew D. B					27, 2021	
Typed or printed nam	Typed or printed name Andrew D. Buschmeier Registration No. 73,179						

Electronic Patent Application Fee Transmittal							
Application Number:	17012813						
Filing Date: 0	1-Sep-2020						
Title of Invention:	METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS						
First Named Inventor/Applicant Name:	Xiaodong Li						
Filer: A	Andrew D. Buschmeier/Margaret Ferello						
Attorney Docket Number:	EO-PT008.8						
Filed as Large Entity							
Filing Fees for Utility under 35 USC 111(a)							
Description Fee Code Quantity Amount USD(
Basic Filing:							
Pages:							
Claims:							
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							
UTILITY APPL ISSUE FEE	1501	1	1200	1200			

Description	Fee Code	Quantity Amount		Sub-Total in USD(\$)	
Extension-of-Time:					
Miscellaneous:					
	Total in USD (\$)			1200	

Electronic Acknowledgement Receipt					
EFS ID:	41761229				
Application Number:	17012813				
International Application Number:					
Confirmation Number:	8746				
Title of Invention:	METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS				
First Named Inventor/Applicant Name:	Xiaodong Li				
Customer Number:	3624				
Filer:	Andrew D. Buschmeier/Margaret Ferello				
Filer Authorized By:	Andrew D. Buschmeier				
Attorney Docket Number:	NEO-PT008.8				
Receipt Date:	27-JAN-2021				
Filing Date:	04-SEP-2020				
Time Stamp:	17:29:33				
Application Type:	Utility under 35 USC 111(a)				

Payment information:

Submitted with Payment	yes
Payment Type	CARD
Payment was successfully received in RAM	\$1200
RAM confirmation Number	E20211QH30047410
Deposit Account	220493
Authorized User	Margaret Ferello

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

37 CFR 1.17 (Patent application and reexamination processing fees)

File Listing:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
			153631		1
1	Issue Fee Payment (PTO-85B)	NEO_PT008_8_Issue_Fee_Form _PTOL_85.pdf	5661da3a9b6fa42057b06d3cb26aa2d7a82 a6874	no	
Warnings:		-1	'	•	
Information:					
			30774		
2	Fee Worksheet (SB06)	fee-info.pdf	96bbaee98a14b1b37964e03a2e88b375de 8dac34	no	2
Warnings:				I	
Information:					
		Total Files Size (in bytes)	18	34405	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Doc description: Request for Continued Examination (RCE)

Approved for use through 11/30/2020. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

REQUEST FOR CONTINUED EXAMINATION(RCE)TRANSMITTAL (Submitted Only via EFS-Web)							
Application Number	17012813	Filing Date	2020-09-04	Docket Number (if applicable)	NEO-PT008.8	Art Unit	2645
First Named Inventor	Li et al.			Examiner Name	Omoniyi Obayanju		
Request for C	This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. The Instruction Sheet for this form is located at WWW.USPTO.GOV						
		S	UBMISSION REQ	UIRED UNDER 37	CFR 1.114		
in which they	were filed unless	applicant ins		applicant does not wis	nents enclosed with the RCE wi sh to have any previously filed u		
	y submitted. If a fin on even if this box			any amendments file	d after the final Office action ma	ay be con	sidered as a
☐ Co	nsider the argume	ents in the A	ppeal Brief or Reply	Brief previously filed	on		
Oth	ner 						
≭ Enclosed							
An	nendment/Reply						
🗶 Info	ormation Disclosu	re Statemen	nt (IDS)				
Affi	Affidavit(s)/ Declaration(s)						
Other Petition under 37 CFR 1.313(c)(2) to Withdraw from Issue after Payment of the Issue Fee and Petition Fee							
MISCELLANEOUS							
Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of months (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)							
Other							
FEES							
The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed. The Director is hereby authorized to charge any underpayment of fees, or credit any overpayments, to Deposit Account No 220493							
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED							
<u></u>	Practitioner Signa ant Signature	ature					

Doc description: Request for Continued Examination (RCE)

Approved for use through 11/30/2020. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Signature of Registered U.S. Patent Practitioner					
Signature	/Andrew D. Buschmeier/	Date (YYYY-MM-DD)	2021-02-08			
Name	Andrew D. Buschmeier	Registration Number	73179			

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

NEO-PT008.8

February 8, 2021

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Our File:

Date:

In the PATENT APPLICATION of:

Li et al.

Application No.: 17/012,813

Confirmation No.: 8746

Filed: September 4, 2020

For: METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS

COMMUNICATION NETWORKS

Group: 2645

Examiner: Omoniyi Obayanju

INFORMATION DISCLOSURE STATEMENT (IDS)

Mail Stop Amendment (via EFS) Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Further to Applicant's Duty of Disclosure pursuant to 37 C.F.R. §1.56, Applicant wishes to bring to the Examiner's attention the material cited on the enclosed PTO Form SB-08. Pursuant to 37 C.F.R. §1.98(a)(2)(ii), copies of the cited U.S. publications and/or patent documents have not been included.

This Application is a continuation of U.S. Patent Application No. 16/440,754, filed June 13, 2019, which is a continuation of U.S. Patent Application No. 15/688,441, filed August 28, 2017, which issued as U.S. Patent No. 10,326,631 on June 18, 2019, which is a continuation of U.S. Patent Application No. 14/746,676, filed June 22, 2015, which issued as U.S. Patent No. 9,749,168 on August 29, 2017, which is a 6666603.1

Applicant: Neo Wireless LLC

Application No.: 17/012,813

continuation of U.S. Patent Application No. 14/595,132, filed January 12, 2015, which issued as U.S. Patent No. 9,065,614 on June 23, 2015, which is a continuation of U.S. Patent Application No. 13/874,278, filed April 30, 2013, which issued as U.S. Patent No. 8,934,473 on January 13, 2015, which is a continuation of U.S. Patent Application No. 13/212,116, filed August 17, 2011, which issued as U.S. Patent No. 8,432,891 on April 30, 2013, which is a continuation of U.S. Patent Application No. 10/583,530, filed May 30, 2007, which issued as U.S. Patent No. 8,009,660 on August 30, 2011, which is a U.S. National Stage of PCT Application No. PCT/US05/01939, filed January 20, 2005, which claims the benefit of and priority to U.S. Provisional Patent Application No. 60/540,032, filed on January 29, 2004.

Applicant would like to bring the following Applications to the Examiner's attention: U.S. Patent Application No. 16/908,067, filed June 22, 2020; U.S. Patent Application No. 16/902,740, filed June 16, 2020; U.S. Patent Application No. 15/953,950, filed April 16, 2018, which issued September 8, 2020 as U.S. Patent No. 10,771,302; U.S. Patent Application No. 14/321,615, filed July 1, 2014, which issued April 17, 2018 as U.S. Patent No. 9,948,488; U.S. Patent Application No. 13/861,942, filed April 12, 2013, which issued July 1, 2014 as U.S. Patent No. 8,767,522; U.S. Patent Application No. 13/347,644, filed January 10, 2012, which issued April 23, 2013 as U.S. Patent No. 8,428,009; U.S. Patent Application No. 12/975,226, filed December 21, 2010, which issued January 10, 2012 as U.S. Patent No. 8,094,611; U.S. Patent Application No. 10/583,229, filed August 27, 2008, which issued January 4,

Applicant: Neo Wireless LLC

Application No.: 17/012,813

2011 as U.S. Patent No. 7,864,725; U.S. Provisional Patent Application No.

60/540,586, filed January 30, 2004; and PCT Application No. PCT/US2005/003518,

filed January 27, 2005.

It is respectfully requested that the Examiner consider these documents and

return an initialed copy of the PTO Form SB-08 indicating consideration of the cited

materials.

Respectfully submitted,

Neo Wireless LLC

By /Andrew D. Buschmeier/

Andrew D. Buschmeier Registration No. 73,179

(215) 568-6400

Volpe Koenig United Plaza, Suite 1800 30 South 17th Street Philadelphia, PA 19103

AB/PCK/pf Enclosure

Doc code: IDS Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (02-18)

Approved for use through 11/30/2020. OMB 0651-0031

Mation Disclosure Statement (IDS) Filed

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number		17012813	
	Filing Date		2020-09-04	
INFORMATION DISCLOSURE	First Named Inventor Li et al		al.	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2645	
(Not for Submission under or of K 1.00)	Examiner Name	Omon	niyi Obayanju	
	Attorney Docket Number	er	NEO-PT008.8	

	U.S.PATENTS Remove							
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	of cited Document Releva		Columns,Lines where t Passages or Relevant Appear	
	1	6188717	B1	2001-02-13	Kaiser et al.			
	2	6882619	B1	2005-04-19	Gerakoulis			
	3	7133352	B1	2006-11-07	Hadad			
	4	7639660	B2	2009-12-29	Kim et al.			
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		17012813	
Filing Date		2020-09-04	
First Named Inventor	Li et a	al.	
Art Unit		2645	
Examiner Name	Omoniyi Obayanju		
Attorney Docket Number		NEO-PT008.8	

Examiner Initial*	Cite No	Foreig Numb	n Document er³	Country Code ² İ	Kind Code ⁴	Publication Date	Appl	ne of Patentee or licant of cited ument	Pages,Colu where Rele Passages o Figures App	vant r Relevant	T5
	1										
If you wisl	h to ac	ld addi	tional Foreign Pa	atent Document	citation	information ple	lease	click the Add buttor	1 Add		
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Examiner Initials*	Cite No	(book	nclude name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), bublisher, city and/or country where published.								
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If you wisl	h to ac	ld addi	tional non-paten	t literature docur	ment cit	ation informati	ion ple	ease click the Add b	outton Add		
				EX	AMINE	R SIGNATURI	Ε				
Examiner	Signa	ture						Date Considered			
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.											
¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.											

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		17012813		
Filing Date		2020-09-04		
First Named Inventor	Li et a	al.		
Art Unit		2645		
Examiner Name	Omoniyi Obayanju			
Attorney Docket Number		NEO-PT008.8		

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

A certification statement is not submitted herewith.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Andrew D. Buschmeier/	Date (YYYY-MM-DD)	2021-02-08
Name/Print	Andrew D. Buschmeier	Registration Number	73,179

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Doc Code: PET.AUTO Document Description: Petition auto	matically granted by EFS-Web	PTO/SB/140 U.S. Patent and Trademark Office Department of Commerce						
Electronic Petition Request	PETITION TO WITHDRAW AN APPLIC THE ISSUE FEE UNDER 37 CFR 1.313(ATION FROM ISSUE AFTER PAYMENT OF						
Application Number	17012813	17012813						
Filing Date	04-Sep-2020	04-Sep-2020						
First Named Inventor	Xiaodong Li							
Art Unit	2645							
Examiner Name	OMONIYI OBAYANJU							
Attorney Docket Number	NEO-PT008.8							
Title	METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS							
withdraw an application from issue	rom issue for further action upon petition by , applicant must file a petition under this sect sons why withdrawal of the application from	cion including the fee set forth in § 1.17(h) and a						
APPLICANT HEREBY PETITIONS TO V	WITHDRAW THIS APPLICATION FROM ISSUE U	INDER 37 CFR 1.313(c).						
are unpatentable, an amendment to claims to be patentable; (b) Consideration of a request for co	claims, which must be accompanied by an un o such claim or claims, and an explanation as ontinued examination in compliance with § 1	nequivocal statement that one or more claims to how the amendment causes such claim or .114 (for a utility or plant application only); or e in favor of a continuing application, but not a						
Petition Fee								
Small Entity								
Micro Entity								
Regular Undiscounted								
Reason for withdrawal from issue								

One or more claims are unpate	One or more claims are unpatentable						
Consideration of a request for consideration.	ontinued examination (RCE) (List of Required Documents and Fees)						
	Applicant hereby expressly abandons the instant application (any attorney/agent signing for this reason must have power of attorney pursuant to 37 CFR 1.32(b)).						
RCE request, submission, and fee.							
I certify, in accordance with 37 CFR 1.4(d)(4) that: The RCE request ,submission, and fee have already been filed in the above-identified application on							
Are attached. ⊠							
THIS PORTION MUST BE COMPLETE	D BY THE SIGNATORY OR SIGNATORIES						
I certify, in accordance with 37 CFR	1.4(d)(4) that I am:						
 An attorney or agent registered in this application. 	to practice before the Patent and Trademark Office who has been given power of attorney						
An attorney or agent registered	to practice before the Patent and Trademark Office, acting in a representative capacity.						
A sole inventor							
A joint inventor; I certify that I am authorized to sign this submission on behalf of all of the inventors as evidenced by the power of attorney in the application							
A joint inventor; all of whom are signing this e-petition							
Signature	/Andrew D. Buschmeier/						
Name	Andrew D. Buschmeier						
Registration Number 73179							

Electronic Patent Application Fee Transmittal						
Application Number:	170	12813				
Filing Date:	04-Sep-2020					
Title of Invention:	METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS					
First Named Inventor/Applicant Name: Xiaodong Li						
Filer: Andrew D. Buschmeier/Margaret Ferello						
Attorney Docket Number:	NE	D-PT008.8				
Filed as Large Entity						
Filing Fees for Utility under 35 USC 111(a)						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:	'					
PETITION FEE- 37 CFR 1.17(H) (GROUP III)		1464	1	140	140	
RCE- 1ST REQUEST		1801	1	1360	1360	
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:	Petition:					
Patent-Appeals-and-Interference:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	1500



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Decision Date: February 8, 2021

In re Application of:

Xiaodong Li DECISION ON PETITION

UNDER CFR 1.313(c)(2)

Application No: 17012813

Filed: 04-Sep-2020

Attorney Docket No: NEO-PT008.8

This is an electronic decision on the petition under 37 CFR 1.313(c)(2), filed February 8, 2021 , to withdraw the above-identified application from issue after payment of the issue fee.

The petition is **GRANTED.**

The above-identified application is withdrawn from issue for consideration of a submission under 37 CFR 1.114 (request for continued examination). See 37 CFR 1.313(c)(2).

Petitioner is advised that the issue fee paid in this application cannot be refunded. If, however, this application is again allowed, petitioner may request that it be applied towards the issue fee required by the new Notice of Allowance.

Telephone inquiries concerning this decision should be directed to the Patent Electronic Business Center (EBC) at 866-217-9197.

This application file is being referred to Technology Center AU 2645 for processing of the request for continuing examination under 37 CFR 1.114.

Office of Petitions

Electronic Ack	Electronic Acknowledgement Receipt				
EFS ID:	41866777				
Application Number:	17012813				
International Application Number:					
Confirmation Number:	8746				
Title of Invention:	METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS				
First Named Inventor/Applicant Name:	Xiaodong Li				
Customer Number:	3624				
Filer:	Andrew D. Buschmeier/Margaret Ferello				
Filer Authorized By:	Andrew D. Buschmeier				
Attorney Docket Number:	NEO-PT008.8				
Receipt Date:	08-FEB-2021				
Filing Date:	04-SEP-2020				
Time Stamp:	17:31:47				
Application Type:	Utility under 35 USC 111(a)				

Payment information:

Submitted with Payment	yes
Payment Type	CARD
Payment was successfully received in RAM	\$1500
RAM confirmation Number	E202128H31424785
Deposit Account	220493
Authorized User	Margaret Ferello

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

37 CFR 1.17 (Patent application and reexamination processing fees)

File Listing	 g:				
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl
			46195		
1	Request for Continued Examination (RCE)	NEO_PT008_8_RCE.pdf	3d6f0518552d55db613bca1f63fcfa223b1cf 04e	no	3
Warnings:	-			1	
This is not a USI	PTO supplied RCE SB30 form.				
Information:					
		NEO PTOOS O IDS Torrowitable	87635		3
2	Transmittal Letter	NEO_PT008_8_IDS_Transmittal _Letter.pdf	c8a7be0b373d94e5752aece8d58461d0dcf add67	no	
Warnings:	•				
Information:					
			1092072		4
3	Information Disclosure Statement (IDS) Form (SB08)	NEO_PT008_8_IDS_Form_SB_0 8_efs.pdf	2c0bb2f8ab0e49d5aec8bf92dc13af475379 502f	no	
Warnings:					
Information:					
			31700		
4	Petition automatically granted by EFS	petition-request.pdf	467c1f92043de553f127fc1023de3021e379 cb16	no	2
Warnings:					
Information:					
			32368		
5 Fee Worksheet (SB06)		fee-info.pdf	e73376179353cdf8236f2438848ddaa6d41 91340	no	2
Warnings:					
Information:					
		Total Files Size (in bytes)	123	39970	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office

Address: COMMISSIONER FOR PATENTS P.O. Box 1450

Alexandria, Virginia 22313-1450 www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

3624 7590 02/19/2021 Volpe Koenig 30 SOUTH 17TH STREET, 18TH FLOOR PHILADELPHIA, PA 19103 EXAMINER

OBAYANJU, OMONIYI

ART UNIT PAPER NUMBER

2645

DATE MAILED: 02/19/2021

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
17/012.813	09/04/2020	Xiaodong Li	NEO-PT008.8	8746

TITLE OF INVENTION: METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$1200	\$0.00	\$1200.00	\$0	05/19/2021

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Maintenance fees are due in utility patents issuing on applications filed on or after Dec. 12, 1980. It is patentee's responsibility to ensure timely payment of maintenance fees when due. More information is available at www.uspto.gov/PatentMaintenanceFees.

		PART 1	B - FEE(S) TRAN	SMITTAL				
Complete and send t	his form, together v	with applicable fee(s), by mail or fax, o	r via EFS-Web.				
By mail, send to:	Mail Stop ISSUE Commissioner for P.O. Box 1450 Alexandria, Virgin	Patents				By fax, send t	o:	(571)-273-2885
INSTRUCTIONS: This for further correspondence in below or directed otherw	cluding the Patent, adva	nce orders and notificatio	n of maintenance fees w	ill be mailed to the cu	rrent con	rrespondence address a	s indi	cated unless corrected
CURRENT CORRESPONDE 3624 Volpe Koenig		ock 1 for any change of address)	F F F	Fee(s) Transmittal. The papers. Each additions take its own certificat Ce hereby certify that the control of th	nis certif al paper e of mai r tificat nis Fee(s	e of Mailing or Trans s) Transmittal is being	or any nt or f missic depo	other accompanying formal drawing, mus on sited with the United
	H STREET, 18TH I A, PA 19103	FLOOR	a	ddressed to the Mail	Stop IS	ficient postage for firs SUE FEE address abo by facsimile to (571) 27	ve, or	being transmitted to
								(Typed or printed name
			-					(Signature)
			l					(Date
APPLICATION NO.	FILING DATE		FIRST NAMED INVENT	OR	ATTO	RNEY DOCKET NO.	CO	NFIRMATION NO.
17/012,813	09/04/2020		Xiaodong Li			NEO-PT008.8		8746
TITLE OF INVENTION:	METHOD AND APPA	RATUS USING CELL-SI	PECIFIC AND COMM	ON PILOT SUBCAR	RIERS I	N MULTI-CARRIER.	MUL	TI-
CELL WIRELESS COM	MUNICATION NETW	ORKS						
APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DU			TOTAL FEE(S) DUE		DATE DUE
nonprovisional	UNDISCOUNTED	\$1200	\$0.00	\$1200.00		\$0		05/19/2021
EXAM	INER	ART UNIT	CLASS-SUBCLASS					
OBAYANJU	, OMONIYI	2645	370-330000					
Address form PTO/SE "Fee Address" indi SB/47; Rev 03-09 or n	ondence address (or Cha	nge of Correspondence " Indication form PTO/	(1) The names of up or agents OR, altern (2) The name of a sregistered attorney	ingle firm (having as or agent) and the nan attorneys or agents. If	nt attorn a memb nes of u	1 er a p to 2		
Number is required. 3. ASSIGNEE NAME A	ND RESIDENCE DATA	A TO BE PRINTED ON	 THE PATENT (print or	type)				
PLEASE NOTE: Unle	ess an assignee is identifi ecordation, as set forth i	ed below, no assignee dat n 37 CFR 3.11 and 37 CF	ta will appear on the pate	ent. If an assignee is i of this form is NOT	a substit	tute for filing an assign	must ment.	have been previously
Please check the appropri							entity	Government
4a. Fees submitted: 4b. Method of Payment:		lication Fee (if required)		- # of Copies				
Electronic Payment:			<i>on above)</i> Non-electronic payment	hy anadit aand (Attac	h fann l	DTO 2028)		
_				-				
Ine Director is her	eby authorized to charge	e the required fee(s), any	deficiency, or credit any	overpayment to Dep	osit Acc	count No		
Applicant asserting	cus (from status indicate g micro entity status. Se g small entity status. See g to regular undiscounte	e 37 CFR 1.29 37 CFR 1.27	fee payment in the mic <u>NOTE</u> : If the applicat to be a notification of	cro entity amount wil ion was previously un loss of entitlement to box will be taken to l	l not be ider mic micro e	Status (see forms PTC accepted at the risk of ro entity status, checkintity status. fication of loss of entity	applic ng thi	cation abandonment. s box will be taken

Date _

Registration No.

NOTE: This form must be signed in accordance with 37 CFR 1.31 and 1.33. See 37 CFR 1.4 for signature requirements and certifications.

Authorized Signature _

Typed or printed name

UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
17/012,813	09/04/2020	Xiaodong Li	NEO-PT008.8	8746		
3624 75	90 02/19/2021		EXAM	IINER		
Volpe Koenig			OBAYANJU, OMONIYI			
30 SOUTH 17TH S	STREET, 18TH FLOO PA 19103	R	ART UNIT	PAPER NUMBER		
THE REEL THES,	111 19103		2645			
			DATE MAILED: 02/19/202	1		

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b) (2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application 17/012,813	No.	Applicant(s) Li et al.	
Notice of Allowability	Examiner OMONIYI O	BAYANJU	Art Unit 2645	AIA (FITF) Status No
The MAILING DATE of this communication appe All claims being allowable, PROSECUTION ON THE MERITS IS (herewith (or previously mailed), a Notice of Allowance (PTOL-85) of NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIG of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAIN or other appro GHTS. This a	S) CLOSED in this appl opriate communication of application is subject to v	lication. If not i will be mailed	ncluded in due course. THIS
1. ☐ This communication is responsive to 02/08/2021. ☐ A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was/	/were filed on			
2. An election was made by the applicant in response to a rest restriction requirement and election have been incorporated			ne interview or	ı; the
3. The allowed claim(s) is/are 1-30 . As a result of the allowed Highway program at a participating intellectual property offinitp://www.uspto.gov/patents/init_events/pph/index.jsp	ice for the cor	responding application.	For more info	
4. Acknowledgment is made of a claim for foreign priority unde	er 35 U.S.C. §	119(a)-(d) or (f).		
Certified copies: a) □All b) □ Some *c) □ None of the:				
 Certified copies of the priority documents have Certified copies of the priority documents have 				
 Copies of the certified copies of the priority do International Bureau (PCT Rule 17.2(a)). 				application from the
* Certified copies not received:				
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.			complying witl	n the requirements
5. CORRECTED DRAWINGS (as "replacement sheets") must				
including changes required by the attached Examiner's Paper No./Mail Date	s Amendment	/ Comment or in the Of	fice action of	
Identifying indicia such as the application number (see 37 CFR 1, sheet. Replacement sheet(s) should be labeled as such in the hea			gs in the front	(not the back) of each
6. DEPOSIT OF and/or INFORMATION about the deposit of B attached Examiner's comment regarding REQUIREMENT F				he
Attachment(s)	_			
 Notice of References Cited (PTO-892) Information Disclosure Statements (PTO/SB/08), 		Examiner's Amenda Examiner's Statema		
Paper No./Mail Date 3. Examiner's Comment Regarding Requirement for Deposit		Other		
of Biological Material 4. Interview Summary (PTO-413), Paper No./Mail Date				
/OMONIYI OBAYANJU/ Primary Examiner, Art Unit 2645				

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-13)

Part of Paper No./Mail Date 20210211

DETAILED ACTION

Notice of Pre-AIA or AIA Status

The present application is being examined under the pre-AIA first to invent provisions.

Continued Examination Under 37 CFR 1.114

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after allowance or after an Office action under *Ex Parte Quayle*, 25 USPQ 74, 453 O.G. 213 (Comm'r Pat. 1935). Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, prosecution in this application has been reopened pursuant to 37 CFR 1.114. Applicant's submission filed on 02/08/2021 has been entered.

Allowable Subject Matter

Claims1-30 are allowed.

The following is an examiner's statement of reasons for allowance:

The closest prior art of record **Li et al.** (**US Patent No. 7650152**) discussed the concept of allocating subcarriers in an orthogonal frequency division multiple access (OFDMA), which comprises allocating at least one diversity cluster of subcarriers to a first subscriber and allocating at least one coherence cluster to a second subscriber (**see fig. 1 and abs**). **Li** further discussed the concept that each base station transmits

Art Unit: 2645

pilot symbols simultaneously, and each pilot symbol occupies the entire OFDM frequency bandwidth (see fig. 2A-C).

Another close prior art reference **Seki et al.** (**US Publication No. 20040190598**) discussed the concept of a multicarrier CDMA transmission system, wherein time multiplexer 52 time-multiplexes the pilot of the plurality of symbols to the front of the transmit data. The subcarrier signals S₁ to S_N are multicode multiplexed signals obtained by multiplexing results of spreading the two symbols by respective ones of the orthogonal codes (**see fig. 24, and pp0071**).

However, singly and/or in combination the prior art references does not teach the at least portion of the claim of an orthogonal frequency division multiple access (OFDMA)-compatible base station that uses subcarriers in a frequency domain and time slots in a time domain, the OFDMA-compatible base station comprising: a plurality of antennas; and a transmitter operably coupled to the plurality of antennas; the transmitter configured to: insert first pilots of a first type onto a first plurality of subcarriers, wherein the first pilots are cell-specific pilots; and insert data and second pilots of a second type onto a second plurality of subcarriers; wherein at least some subcarriers of the first plurality of subcarriers or the second plurality of subcarriers are beam-formed; and the plurality of antennas configured to transmit the first plurality of subcarriers and the second plurality of subcarriers in at least one of the time slots; wherein the second type is different than the first type and wherein the first pilots do not interfere with the second pilots.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to OMONIYI OBAYANJU whose telephone number is (571)270-5885. The examiner can normally be reached on M-Thur 10:30-7pm.

Examiner interviews are available via telephone, in-person, and video conferencing using a USPTO supplied web-based collaboration tool. To schedule an interview, applicant is encouraged to use the USPTO Automated Interview Request (AIR) at http://www.uspto.gov/interviewpractice.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, ANTHONY S ADDY can be reached on (571) 272-7795. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see https://ppair-

Application/Control Number: 17/012,813

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my.uspto.gov/pair/PrivatePair. Should you have questions on access to the Private

PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Page 5

If you would like assistance from a USPTO Customer Service Representative or access

to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-

272-1000.

/OMONIYI OBAYANJU/ Primary Examiner, Art Unit 2645

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				U.S. P.	ATENT DOCU	MENTS	•	•
*		Document Number Country Code-Number-Kind Code	Date MM-YYYY		Nam	е	CPC Classification	US Classification
*	Α	US-7650152-B2	01-2010	Li; Xiaod	dong		H04L25/0228	455/452.1
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*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

Notice of References Cited

Part of Paper No. 20210211

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	17/012,813	Li et al.
	Examiner	Art Unit
	OMONIYI OBAYANJU	2645

СРС					
Symbol			Туре	Version	
H04L	/ 27		2637	F	2013-01-01
H04W	/ 16		02	1	2013-01-01
H04L	/ 27		2613	1	2013-01-01
H04B	/ 1	/	707	1	2013-01-01
H04L	/ 5		0007	I	2013-01-01
H04L	√ 5		0028	I	2013-01-01
H04L	/ 25		03834	1	2013-01-01
H04L	/ 27	7	8000	1	2013-01-01
H04L	/ 27		0012	1	2013-01-01
H04L	/ 27		2602	1	2013-01-01
H04W	/ 72		0446	1	2013-01-01
H04B	/ 7		0413	1	2013-01-01
H04L	√ 5		0048	1	2013-01-01
H04L	/ 27		2626	I	2013-01-01
H04J	/ 11		005	I	2013-01-01
H04L	/ 27		2646	1	2013-01-01
H04W	/ 72		044	1	2013-01-01
H04L	/ 27	/	2657	A	2013-01-01
H04L	/ 5		0016	A	2013-01-01
H04L	/ 25		0228	A	2013-01-01
H04L	/ 27		2607	A	2013-01-01
H04L	/ 27		2655	A	2013-01-01

CPC Combination Sets						
Symbol	Туре	Set	Ranking	Version		

NONE		Total Claims	s Allowed:
(Assistant Examiner)	(Date)	30)
/OMONIYI OBAYANJU/ Primary Examiner, Art Unit 2645	11 February 2021	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	5

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	17/012,813	Li et al.
	Examiner	Art Unit
	OMONIYI OBAYANJU	2645
INTERNATIONAL CLASSIFICATION		
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US ORIGINAL CLASSIFICATION		
CLASS		SUBCLASS

CLASS	SUBCLASS (ONE SUBCLASS PER BLOCK)					

CROSS REFERENCES(S)

NONE		Total Claims	s Allowed:
(Assistant Examiner)	(Date)	30)
/OMONIYI OBAYANJU/ Primary Examiner, Art Unit 2645	11 February 2021	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	5

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	17/012,813	Li et al.
	Examiner	Art Unit

V	☑ Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.47														
CLAIN	CLAIMS														
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original
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(Assistant Examiner)	(Date)	30)
/OMONIYI OBAYANJU/ Primary Examiner, Art Unit 2645	11 February 2021	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	5

Search Notes	

Application/Control No.	Applicant(s)/Patent Under Reexamination
17/012,813	Li et al.
Examiner	Art Unit
OMONIYI OBAYANJU	2645

CPC - Searched*		
Symbol	Date	Examiner
H04L5/0053	10/21/2020	00
H04L5/0007	10/21/2020	00
H04L5/0048	10/21/2020	00

CPC Combination Sets - Searched*				
Symbol	Date	Examiner		

US Classification - Searched*					
Class Subclass Date Examiner					

^{*} See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

Search Notes					
Search Notes	Date	Examiner			
See Attached East Search History	10/21/2020	00			
See Attached East Search History (Updated)	02/11/2021	00			
Google NPL Search	02/11/2021	00			

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	17/012,813	Li et al.
	Examiner	Art Unit
	OMONIYI OBAYANJU	2645

Interference Search					
US Class/CPC Symbol	US Subclass/CPC Group	Date	Examiner		
Same As Above	Same As Above	10/21/2020	00		
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EAST Search History

EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	11	("6188717" "6882619" "7133352" "7639660" "7876716").PN.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2021/02/11 11:21
L2	0	1 and (cell\$1specific or cell adj specific) and (beam\$1form\$3 or beam adj form\$3)	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2021/02/11 11:21
L3	74,340	((H04L5/0053 OR H04L5/0007 OR H04L5/0048).CPC.)	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2021/02/11 11:23
L4	106	3 and ((cell\$1specific or cell adj specific) near2 pilot) and (beam\$1form\$3 or beam adj form\$3)	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2021/02/11 11:24
L10	735	((Li near2 Xiaodong) or (Lo near2 Titus) or (Li near2 Kemin) or (Huang near2 Haiming)).inv.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2021/02/11 11:26
L11	4	10 and (((cell\$1specific or cell adj specific) near2 pilot) and (beam\$1form\$3 or beam adj form\$3)).clm.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2021/02/11 11:27
L12	145	NEOCIFIC.as.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2021/02/11 11:28
L13	16	(WALTICAL near2 SOLUTIONS).as.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2021/02/11 11:28
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L15	0	13 and (((cell\$1specific or cell adj specific) near2 pilot) and (beam\$1form\$3 or beam adj form\$3)).clm.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2021/02/11 11:30

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17/012,813 - GAU: 2645

Doc code: IDS Doc description: Information Disclosure Statement (IDS) Filed PTO/SB/08a (02-18)
Approved for use through 11/30/2020. OMB 0651-0031
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	Application Number		17012813	
	Filing Date		2020-09-04	
INFORMATION DISCLOSURE	First Named Inventor	Li et a	I.	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2645	
(Not for Submission under or of K 1.00)	Examiner Name	Omon	iyi Obayanju	
	Attorney Docket Number	er	NEO-PT008.8	

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Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Releva	Columns,Lines where nt Passages or Relevant s Appear
	1	5188717	B1	2001-02-13	Kaiser et al.		
	2	6882619	B1	2005-04-19	Gerakoulis		
	3	7133352	B1	2006-11-07	Hadad		
	4	7639660	B2	2009-12-29	Kim et al.		
	5	7876716	B2	2011-01-25	Sudo		
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INFORMATION DISCLOSURE	First Named Inventor Li et al.		al.	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2645	
	Examiner Name	Omon	niyi Obayanju	
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Examiner	Signa	ture	/OMON	IYI OBAYANJU	7/		Date Considered	02/11/2021		
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.										
See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.										

Receipt date: 02/08/2021 17/012,813 - GAU: 2645

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

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Application Number		17012813
Filing Date		2020-09-04
First Named Inventor	Li et a	al.
Art Unit		2645
Examiner Name	Omoniyi Obayanju	
Attorney Docket Number		NEO-PT008.8

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

A certification statement is not submitted herewith.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Andrew D. Buschmeier/	Date (YYYY-MM-DD)	2021-02-08
Name/Print	Andrew D. Buschmeier	Registration Number	73,179

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Receipt date: 02/08/2021

17/012,813 - GAU: 2645

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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 to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
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Patents

"cell specific pilot" subcarrier beamform

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1 of 373 < >

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Multiple-antenna system for cell-specific and user-specific transmission

WO US CN + US1014917382 + Titus Lo + Neocific, Inc.



Priority 2005-11-14 • Filed 2016-05-16 • Granted 2018-12-04 • Published 2018-12-04 selecting a plurality of user-specific GFDM subcarriers in the time slot for transmission to a specific mobile device within the cell, wherein a second weight is applied to a user-specific OFDM subcarrier; transmitting control information on the plurality of cell-specific OFDM subcarriers through a ...

Methods and apparatus for multi-carrier, multi-cell wireless communication ...

WO EP US ON KR • EP171208981 • Xiaodong Li • Neocific, Inc.



Priority 2004-01-29 • Filed 2005-01-20 • Granted 2014-11-19 • Published 2014-11-19 where is i (1 k) denotes a received common pilot subcarrier signal at subcarrier index i and at time index t k, and a tim (1 k) denote the signal amplitude and phase, respectively, associated with a common pilot subcarrier at subcarrier index i and at time index t k transmitted from a ...

Fronthaul evolution: from CPRI to Ethernet

Google Scholar - kankentiac.uk - Gomes N - Optical Fiber Technology Published 2015

.. However, these antennas are used to **form** beams partly carrying the same data towards an ... The scheduling information has to include additional information about the assigned **beam**, which is ... 7. The **beamforming** operation for massive MIMO can be shifted from the BBU/CO to ...

A method for uplink interference coordination on demand basis with cell ...



환 US ON JP KR 후 DE US · <u>KR30122379591</u> · 표립스틱인 경으로그 개통파호 · 및개별 후순표 Priority 2005-06-15 · Filed 2006-06-05 · Granted 2013-01-17 · Published 2013-01-17 In the case of receive diversity, for example **beamforming**, interference can only be seen or rarely seen by one interfering mobile terminal, and the base station can also try to avoid the interference, One may attempt to schedule to a less disturbed subset that is in principle the same as the ...

Method for transmitting data in multiple antenna system



W0 US KR • <u>KR20090071314A</u> • 고현후 • 몇지전자주석회사 Priority 2007-12-16 • Filed 2006-04-29 • Published 2009-07-01

The subcarrier allocated to the terminal and the subcarrier allocated to the terminal are adjacently mapped to at least one of the first pilot pattern region and the second pilot pattern region. A data transmission method in a multiple antenna system, characterized in that a pilot for local ...

Apparatus, method and computer program product providing common pilot channel ...



WO EP US ON KR HK · <u>KR20080034488</u>A · 마카 때, 원제 · 노키아 표포때이 된 Priority 2005-10-07 · Filed 2006-10-06 · Published 2008-06-17

And the pilot power of any one of said first and second frequency bands is different between a first symbol and a second symbol forming a pilot resource. The method of claim 1, At least one pilot resource is allocated at least every eighth sub-carrier. The method of claim 1, And the sum of the high ...

Dynamic downlink frequency and power allocation in OFDMA cellular networks

Geogle Scholar - lisexplore, lees, org + Copyz, Perez D - IEEE Transactions on Communications Published 2012

... Multiple input Multiple Output (MiMO) Orthogo- nal Frequency Division Multiplexing (DFDM) beamforming cellular network ... is not a convex problem, and does not have a closed form solution ... or coupling among cells) us-ing standardized MRs on cell-specific pilot signals, which ...

A method for uplink interference coordination in single frequency networks, a ...



6P 96 6N 9P 99 · <u>KR200501804934</u> · BBI公田2* 祖公是① 湘餐母立,安州餐 Priority 2005-06-14 · Filed 2006-06-05 · Published 2006-12-19

In the case of receive diversity, i.e., beamforming, if only interference from one or a small amount of interfering mobile terminals is seen, the base station may also attempt to escape this interference and the adaptive subcarrier You can try to schedule your mobile terminal with a subset that is ...

How to Enable Downlink Transparent Relay in Wireless Communication Networks

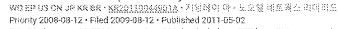


FIG. 7A shows an SC-FDMA transmitter 700 that includes a DFT logic 702, a subcarrier mapping logic 704, an OFDMA transmit circuit 706, an 8F circuit 708, and a transmit antenna 710. 78 illustrates an SC-F0MA receiver 750 that includes an IDFT logic 758, a subcarrier mapping logic 756, an OFDMA ...

A kind of filtering method and relevant apparatus of signal interference

ON · CN1029974738 · 王涛雨 · 华为技术有限公司

Priority 2014-05-13 • Filed 2014-05-13 • Granted 2017-10-17 • Published 2017-10-17 Methods described is applied to the non-pilot money in the selected region on the symbol comprising **cell-specific pilot** signal CRS Source unit, according to formula To described When being modified, Off diagonal element keep it is constant, the p be p A p B, the p A With the p B Obtained by base station by ...

Next > About 373 results



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EAST Search History

EAST Search History (Interference)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L5	0	(((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or sub\$1channel or sub adj channel) same pilot same (beam\$1form\$3 or beam adj form\$3)) and (ofdma) and (time\$1slot or time adj slot)).clm.	USPAT	OR	ON	2021/02/11 11:24
L6	0	(((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or sub\$1channel or sub adj channel) same pilot and (beam\$1form\$3 or beam adj form\$3)) and (ofdma) and (time\$1slot or time adj slot)).clm.	USPAT	OR	ON	2021/02/11 11:25
L7	0	(((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or sub\$1channel or sub adj channel) same pilot and (beam\$1form\$3 or beam adj form\$3)) and (ofdma or (orthogonal near2 frequency near2 division near2 multiple near2 access)) and (time\$1slot or time adj slot)).clm.	USPAT	OR	ON	2021/02/11 11:25
L8	0	((((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or sub\$1channel or sub adj channel) near2 different) and pilot and (beam\$1form\$3 or beam adj form\$3)) and (ofdma or (orthogonal near2 frequency near2 division near2 multiple near2 access)) and (time\$1slot or time adj slot) and (data or traffic or user adj plane)).clm.	USPAT	OR	ON	2021/02/11 11:25
L9	0	((((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or sub\$1channel or sub adj channel)) and pilot and (beam\$1form\$3 or beam adj form\$3)) and (ofdma or (orthogonal near2 frequency near2 division near2 multiple near2 access)) and (time\$1slot or time adj slot) and (data or traffic or user adj plane) and (interfere or interference) and (cell\$1specific or cell adj specific)).clm.	USPAT	OR	ON	2021/02/11 11:25

 $2/11/2021\ 11:31:24\ AM \\ C:\Users\oobayanju\Documents\EAST\Workspaces\17012813.wsp$

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APPLICATION NO.	FILING DATE	& TRADEM!	EAST NAMED INVENTOR		ATTORNEY DOCKE	T NO.	CONFIRMATION NO.
17/012,813	09/04/2020		Xiaodong Li		NEO-PT008.8	3	8746
TITLE OF INVENTION: CELL WIRELESS COM			PECIFIC AND COMMON	PILOT SUBCARRI	ERS IN MULTI-CA	ARRIER, I	MULTI-
APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE	FEE TOTAL FEE	(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$1200	\$0.00	\$1200.00	\$0		05/19/2021
EXAM	INER	ART UNIT	CLASS-SUBCLASS				
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Typed or printed name	e Andrew D Rus	chmeier		Registration No	73,17	9	

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the PATENT APPLICATION of:

Neo Wireless LLC

Application No.: 17/012,813

Confirmation No.: 8746

Filed: September 4, 2020

For: METHOD AND

APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-

CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS

Group: 2645

Examiner: OBAYANJU, OMONIYI

Our File: NEO-PT008.8

Date: February 22, 2021

TRANSMITTAL REGARDING ISSUE FEE PAYMENT

Mail Stop Amendment (via EFS) Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Applicant submits that the Issue Fee Payment in this matter was paid on January 27, 2021 (EFS ID: 41761229). On February 8, 2021 an RCE was filed for submission of additional IDS references. The submission of this Issue Fee Payment is after consideration of those references by the Examiner.

6696910.1

Applicant: Neo Wireless LLC

Application No.: 17/012,813

If the Examiner believes that any additional information is needed, the

Examiner is invited to contact the undersigned by telephone at the Examiner's

convenience.

Respectfully submitted,

Neo Wireless LLC

By_/Andrew D. Buschmeier/__

Andrew D. Buschmeier Registration No. 73,179

Volpe Koenig 30 South 17th Street Philadelphia, PA 19103-4009

Telephone: (215) 568-6400

Facsimile: (215) 568-6499

AB/jmm

- 2 -

Electronic Ack	knowledgement Receipt
EFS ID:	41981826
Application Number:	17012813
International Application Number:	
Confirmation Number:	8746
Title of Invention:	METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS
First Named Inventor/Applicant Name:	Xiaodong Li
Customer Number:	3624
Filer:	Andrew D. Buschmeier/Joann Miceli
Filer Authorized By:	Andrew D. Buschmeier
Attorney Docket Number:	NEO-PT008.8
Receipt Date:	22-FEB-2021
Filing Date:	04-SEP-2020
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Application Type:	Utility under 35 USC 111(a)

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2	Miscellaneous Incoming Letter	NEO_PT008_8_Misc_Transmitt al_EFS.pdf	db3937034352d65cc8236699d644048247 21b9ab	no	2
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National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

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APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR	₹	ATTO	RNEY DOCKET NO.	CO	NFIRMATION NO.
17/012,813 TITLE OF INVENTION	09/04/2020 : METHOD AND APPA	RATUS USING CELL-S	Xiaodong Li PECIFIC AND COMMON	I PILOT SUBCAR		NEO-PT008.8 N MULTI-CARRIER,	MUI	8746 LTI-
APPLN. TYPE	MUNICATION NETW ENTITY STATUS	ORKS ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSU	JE FEE	TOTAL FEE(S) DUE	\top	DATE DUE
nonprovisional	UNDISCOUNTED	\$1200	\$0.00	\$1200.00	<u>I</u>	\$0		05/19/2021
EXAM	ИINER	ART UNIT	CLASS-SUBCLASS]				
OBAYANJU	J, OMONIYI	2645	370-330000					
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		A TO BE PRINTED ON	THE PATENT (print or ty	pe)				
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Typed or printed name ____Andrew D. Buschmeier

73,179

Registration No. _



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APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
17/012,813	03/30/2021	10965512	NEO-PT008.8	8746

3624 7590

03/10/2021

Volpe Koenig 30 SOUTH 17TH STREET, 18TH FLOOR PHILADELPHIA, PA 19103

ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 0 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Xiaodong Li, Kirkland, WA; Neo Wireless LLC, Wayne, PA; Titus Lo, Bellevue, WA; Kemin Li, Bellevue, WA; Haiming Huang, Bellevue, WA;

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IR103 (Rev. 10/09)

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☐ Trademarks or ☑		tion involves 35 U.S.C. § 292.):
DOCKET NO. 3:22-cv-00220	DATE FILED 3/29/2022	U.S. DISTRICT COURT for the Middle District of Tennessee
PLAINTIFF		DEFENDANT
NEO Wireless, LLC		Nissan North America Inc.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
18467366		See attached complaint
2 (0833 408		
3 10075 941		
4 10 447 450		
5 10 965512		
DATE INCLUDED	INCLUDED BY	e following patent(s)/ trademark(s) have been included: endment
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
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2 3 4		decision has been rendered or judgement issued:
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In Compliand filed in the U.S. Dist	-	5 U.S.C. § 1116 you are hereby advised that a court act Northern District of Ohio	tion has been on the following
	Patents. (the patent acti		on the following
DOCKET NO. 3:22-cv-01252	DATE FILED 7/15/2022	U.S. DISTRICT COURT Northern District of Of	nio
PLAINTIFF		DEFENDANT	
Neo Wireless LLC		FCA US, LLC	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRA	ADEMARK
1 8,467,366	6/18/2013	Neo Wireless LLC	
2 10,075,941	9/11/2018	Neo Wireless LLC	
3 10,447,450	10/15/2019	Neo Wireless LLC	
4 10,771,302	9/8/2020	Neo Wireless LLC	
5 10,833,908	4/17/2018	Neo Wireless LLC	
		following patent(s)/ trademark(s) have been included:	
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DATE INCLUDED	INCLUDED BY	endment	Other Pleading
PATENT OR TRADEMARK NO.		endment	
PATENT OR	DATE OF PATENT		
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PATENT OR TRADEMARK NO. 1 2 3 4 5	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRA	-

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

Page 2 of 2

PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
6 10,965,512	3/30/2021	Neo Wireless LLC

TO:

Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

ELIZABETH M. WARREN

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliance	e with 35 U.S.C. § 290 and/or 15	U.S.C. §	§ 1116 you are hereby advised that a co	ourt action has been	
filed in the U.S. District Court		Midd	lle District of Florida	on the following	
☐ Trademarks or ☐ Patents. (☐ the patent action involves 35 U.S.C. § 292.):					
DOCKET NO.	DATE FILED	U.S. DI	STRICT COURT		
3:22-cv-780-J-MMHJBT	July 15, 2022		Middle District o	of Florida	
PLAINTIFF			DEFENDANT		
NEO WIRELESS, LLC			MERCEDES-BENZ USA, LLC		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK		R TRADEMARK	
1 8,467,366	January 23, 2020	Neo Wireless LLC		LLC	
2 10,833,908	June 16, 2020	Neo Wireless LLC		LLC	
3 10,075,941	January 23, 2020		Neo Wireless	LLC	
4 10,447,450	January 23, 2020		Neo Wireless	LLC	
5 10,965,512	September 4, 2020	Neo Wireless		ess	
6 10,771,302	January 23, 2020	Neo Wireless LLC			
CLERK	(BY) I	DEPUTY	CLERK	DATE	

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

Amy Thomas

July 20, 2022

TO:

Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Complianc filed in the U.S. Distr			1116 you are hereby advised that a court act	tion has been on the following	
☐ Trademarks or ☐ Patents. (☐ the patent action involves 35 U.S.C. § 292.):					
DOCKET NO. 3:22-cv-01252	DATE FILED 7/15/2022	U.S. DI	STRICT COURT Northern District of Of	nio	
PLAINTIFF		•	DEFENDANT		
Neo Wireless LLC			FCA US, LLC		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRA	DEMARK	
1 8,467,366	6/18/2013	Neo	Neo Wireless LLC		
2 10,075,941	9/11/2018	Neo	Wireless LLC		
3 10,447,450	10/15/2019	Neo	Neo Wireless LLC		
4 10,771,302	9/8/2020	Neo	Neo Wireless LLC		
5 10,833,908	4/17/2018	Neo	Neo Wireless LLC		
In the above—entitled case, the following patent(s)/ trademark(s) have been included:					
DATE INCLUDED	INCLUDED BY	Amendment	☐ Answer ☐ Cross Bill [Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK		
1					
2					
3					
4					
5					
	e—entitled case, the follow	wing decision ha	as been rendered or judgement issued:		
DECISION/JUDGEMENT Conditional Transfer Order (C Transferred to Eastern District			et Litigation. In re: MDL 3034 Neo Wireless, e Terrence G. Berg.	LLC, Patent Litigation. Case	
CLERK (BY		(BY) DEPUTY	CLERK	DATE	
Sandy Opacich		/s/Natalie Wlo	tzko	8/15/2022	

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REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

Page 2 of 2

PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
6 10,965,512	3/30/2021	Neo Wireless LLC