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(54) **SERIAL CONCATENATION OF
INTERLEAVED CONVOLUTIONAL CODES
FORMING TURBO-LIKE CODES**

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ation-in-part of application No. 09/922,852, filed on
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H04L 5/12 (2006.01)

(52) **U.S. Cl.** **375/262**; 375/265; 375/348;
714/755; 714/786; 714/792; 341/52; 341/102

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714/752, 755, 756, 786, 792, 794-796; 341/51,
341/52, 56, 102, 103

See application file for complete search history.

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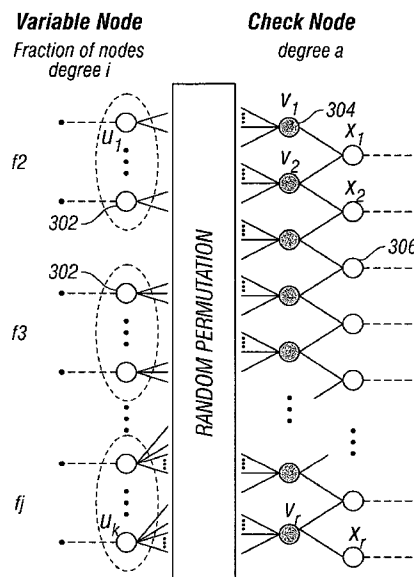
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(57) **ABSTRACT**

A serial concatenated coder includes an outer coder and an inner coder. The outer coder irregularly repeats bits in a data block according to a degree profile and scrambles the repeated bits. The scrambled and repeated bits are input to an inner coder, which has a rate substantially close to one.

23 Claims, 5 Drawing Sheets



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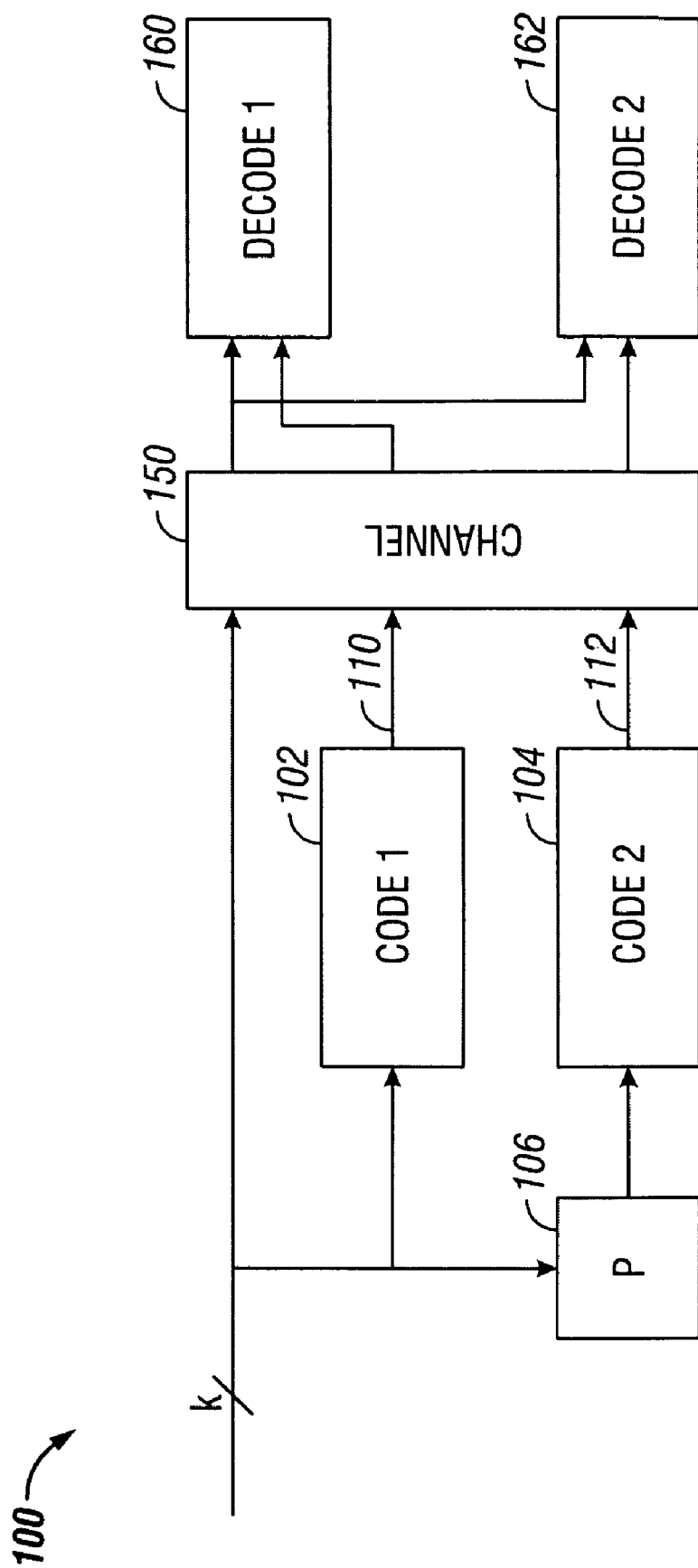
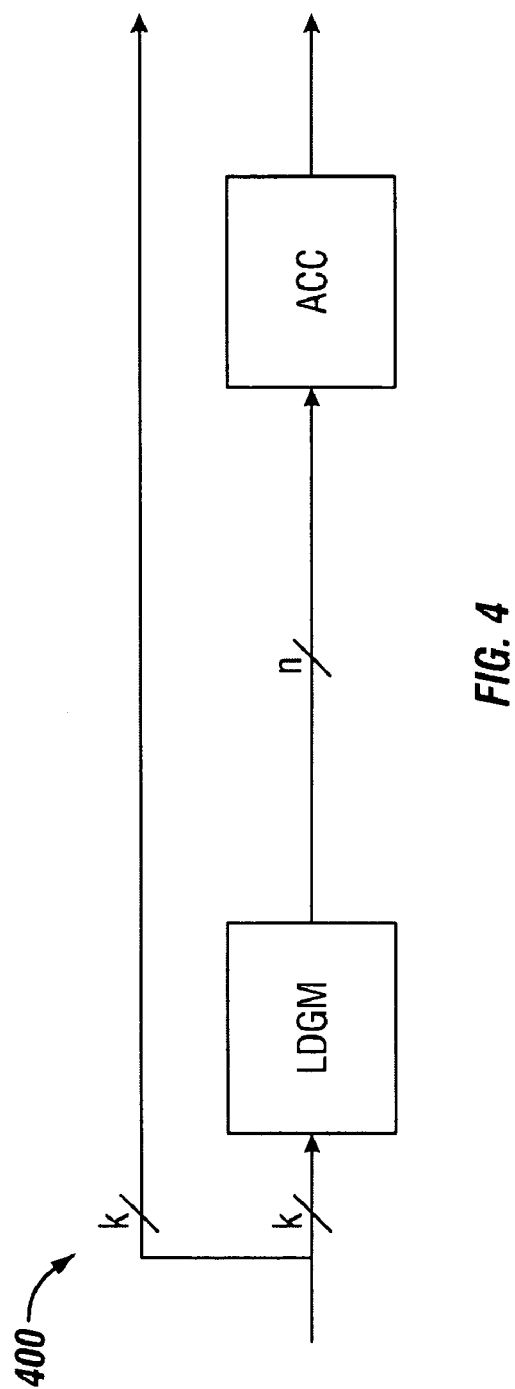
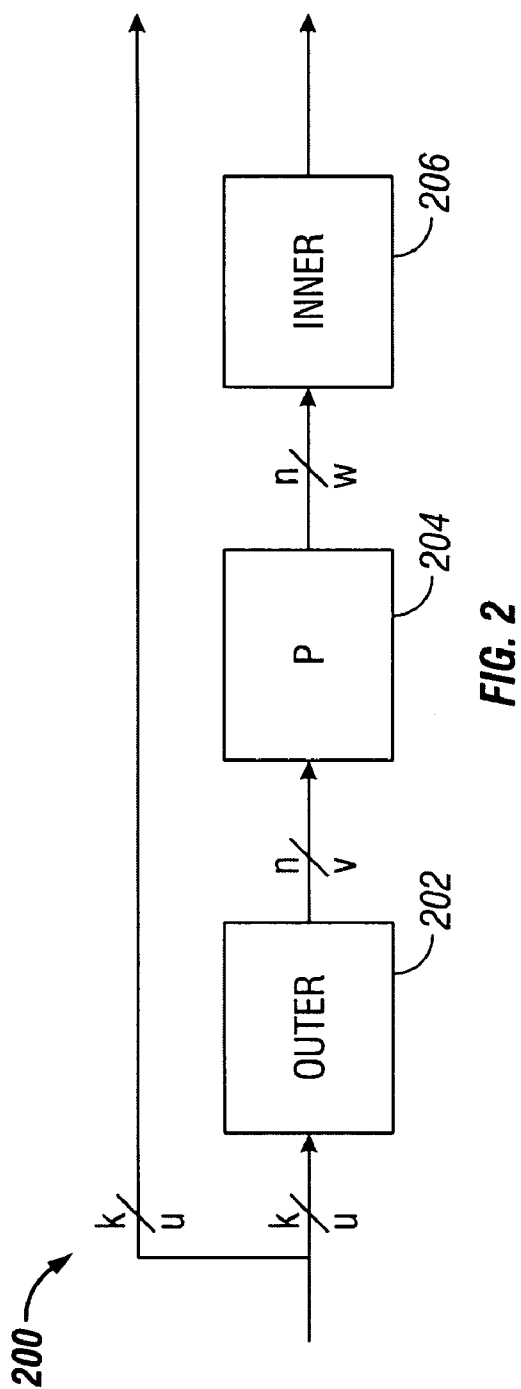


FIG. 1
(Prior Art)



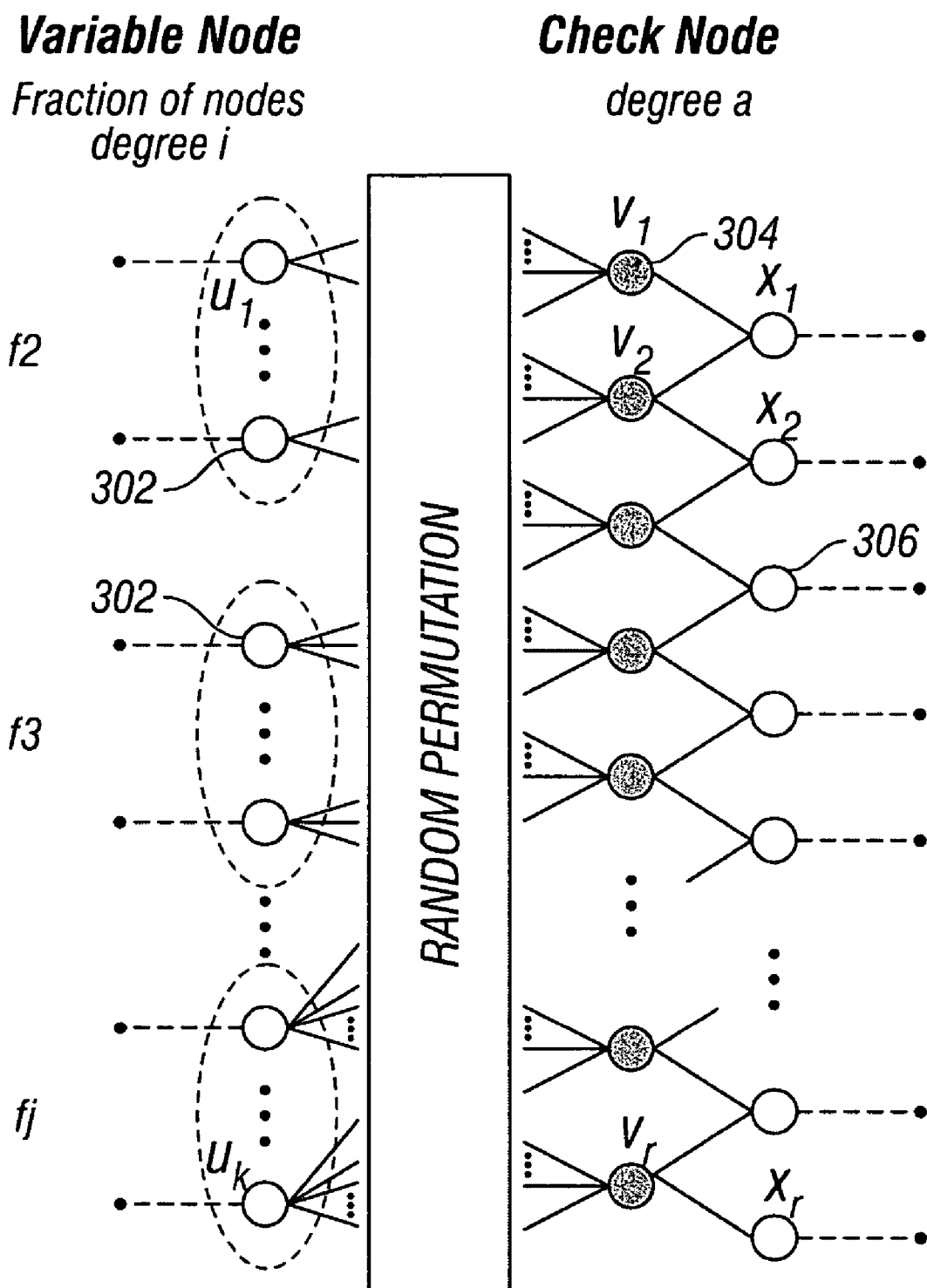


FIG. 3

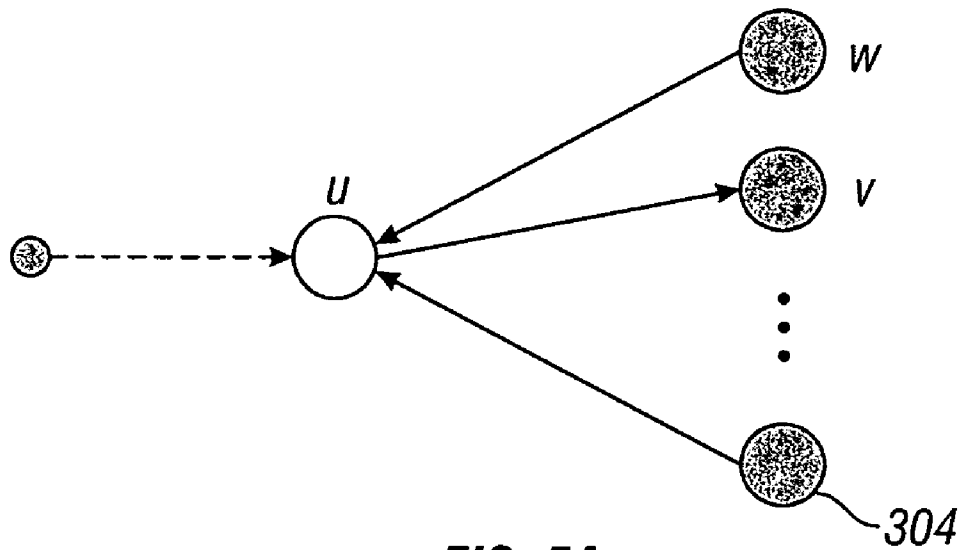


FIG. 5A

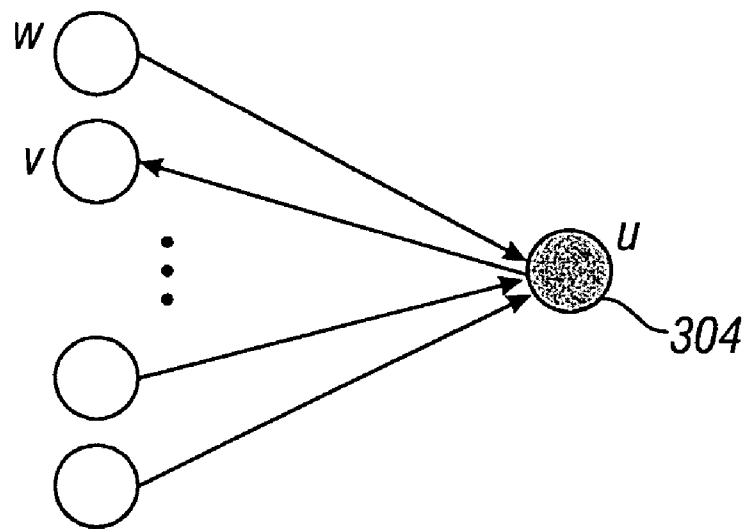


FIG. 5B

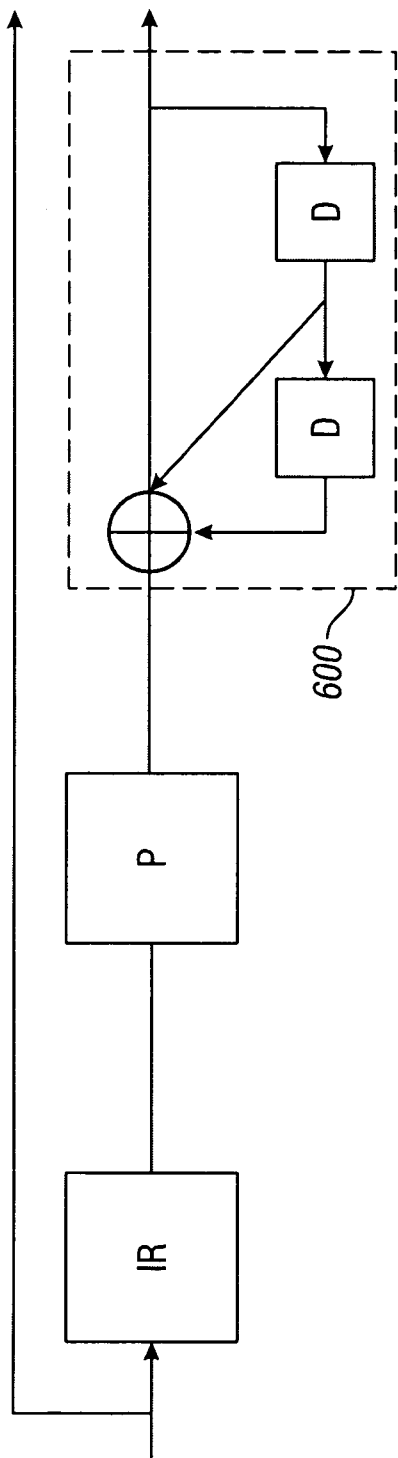


FIG. 6

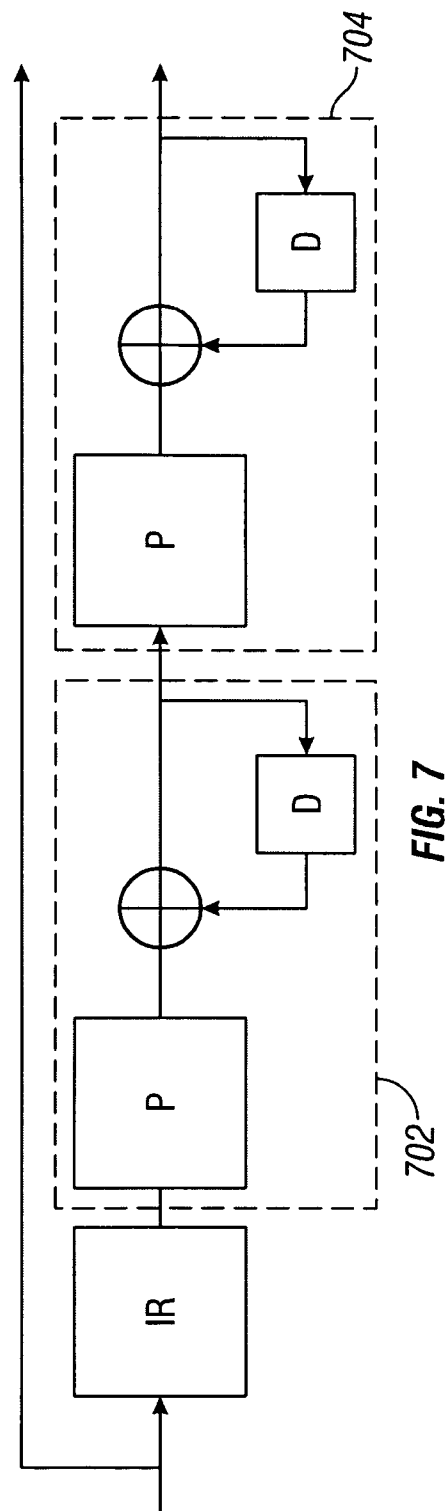


FIG. 7

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SERIAL CONCATENATION OF INTERLEAVED CONVOLUTIONAL CODES FORMING TURBO-LIKE CODES

CROSS-REFERENCE TO RELATED APPLICATIONS

This application is a continuation of U.S. application Ser. No. 09/861,102, filed May 18, 2001, now U.S. Pat. No. 7,116, 710, which claims the priority of U.S. provisional application Ser. No. 60/205,095, filed May 18, 2000, and is a continuation-in-part of U.S. application Ser. No. 09/922,852, filed Aug. 18, 2000, now U.S. Pat. No. 7,089,477.

GOVERNMENT LICENSE RIGHTS

The U.S. Government has a paid-up license in this invention and the right in limited circumstances to require the patent owner to license others on reasonable terms as provided for by the terms of Grant No. CCR-9804793 awarded by the National Science Foundation.

BACKGROUND

Properties of a channel affect the amount of data that can be handled by the channel. The so-called "Shannon limit" defines the theoretical limit of the amount of data that a channel can carry.

Different techniques have been used to increase the data rate that can be handled by a channel. "Near Shannon Limit Error-Correcting Coding and Decoding: Turbo Codes," by Berrou et al. ICC, pp 1064-1070, (1993), described a new "turbo code" technique that has revolutionized the field of error correcting codes. Turbo codes have sufficient randomness to allow reliable communication over the channel at a high data rate near capacity. However, they still retain sufficient structure to allow practical encoding and decoding algorithms. Still, the technique for encoding and decoding turbo codes can be relatively complex.

A standard turbo code **100** is shown in FIG. **1**. A block of k information bits is input directly to a first coder **102**. A k bit interleaver **106** also receives the k bits and interleaves them prior to applying them to a second coder **104**. The second coder produces an output that has more bits than its input, that is, it is a coder with rate that is less than 1. The coders **102**, **104** are typically recursive convolutional coders.

Three different items are sent over the channel **150**: the original k bits, first encoded bits **110**, and second encoded bits **112**. At the decoding end, two decoders are used: a first constituent decoder **160** and a second constituent decoder **162**. Each receives both the original k bits, and one of the encoded portions **110**, **112**. Each decoder sends likelihood estimates of the decoded bits to the other decoders. The estimates are used to decode the uncoded information bits as corrupted by the noisy channel.

SUMMARY

A coding system according to an embodiment is configured to receive a portion of a signal to be encoded, for example, a data block including a fixed number of bits. The coding system includes an outer coder, which repeats and scrambles bits in the data block. The data block is apportioned into two or more sub-blocks, and bits in different sub-blocks are repeated a different number of times according to a selected degree profile. The outer coder may include a

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repeater with a variable rate and an interleaver. Alternatively, the outer coder may be a low-density generator matrix (LDGM) coder.

The repeated and scrambled bits are input to an inner coder that has a rate substantially close to one. The inner coder may include one or more accumulators that perform recursive modulo two addition operations on the input bit stream.

The encoded data output from the inner coder may be transmitted on a channel and decoded in linear time at a destination using iterative decoding techniques. The decoding techniques may be based on a Tanner graph representation of the code.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. **1** is a schematic diagram of a prior "turbo code" system.

FIG. **2** is a schematic diagram of a coder according to an embodiment.

FIG. **3** is a Tanner graph for an irregular repeat and accumulate (IRA) coder.

FIG. **4** is a schematic diagram of an IRA coder according to an embodiment.

FIG. **5A** illustrates a message from a variable node to a check node on the Tanner graph of FIG. **3**.

FIG. **5B** illustrates a message from a check node to a variable node on the Tanner graph of FIG. **3**.

FIG. **6** is a schematic diagram of a coder according to an alternate embodiment.

FIG. **7** is a schematic diagram of a coder according to another alternate embodiment.

DETAILED DESCRIPTION

FIG. **2** illustrates a coder **200** according to an embodiment. The coder **200** may include an outer coder **202**, an interleaver **204**, and inner coder **206**. The coder may be used to format blocks of data for transmission, introducing redundancy into the stream of data to protect the data from loss due to transmission errors. The encoded data may then be decoded at a destination in linear time at rates that may approach the channel capacity.

The outer coder **202** receives the uncoded data. The data may be partitioned into blocks of fixed size, say k bits. The outer coder may be an (n,k) binary linear block coder, where $n > k$. The coder accepts as input a block u of k data bits and produces an output block v of n data bits. The mathematical relationship between u and v is $v = T_0 u$, where T_0 is an $n \times k$ matrix, and the rate of the coder is k/n .

The rate of the coder may be irregular, that is, the value of T_0 is not constant, and may differ for sub-blocks of bits in the data block. In an embodiment, the outer coder **202** is a repeater that repeats the k bits in a block a number of times q to produce a block with n bits, where $n = qk$. Since the repeater has an irregular output, different bits in the block may be repeated a different number of times. For example, a fraction of the bits in the block may be repeated two times, a fraction of bits may be repeated three times, and the remainder of bits may be repeated four times. These fractions define a degree sequence, or degree profile, of the code.

The inner coder **206** may be a linear rate-1 coder, which means that the n -bit output block x can be written as $x = T_1 w$, where T_1 is a nonsingular $n \times n$ matrix. The inner coder **210** can have a rate that is close to 1, e.g., within 50%, more preferably 10% and perhaps even more preferably within 1% of 1.

In an embodiment, the inner coder **206** is an accumulator, which produces outputs that are the modulo two (mod-2)

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partial sums of its inputs. The accumulator may be a truncated rate-1 recursive convolutional coder with the transfer function $1/(1+D)$. Such an accumulator may be considered a block coder whose input block $[x_1, \dots, x_n]$ and output block $[y_1, \dots, y_n]$ are related by the formula

$$y_1 = x_1$$

$$y_2 = x_1 \oplus x_2$$

$$y_3 = x_1 \oplus x_2 \oplus x_3$$

•

•

•

$$y_n = x_1 \oplus x_2 \oplus x_3 \oplus \dots \oplus x_n.$$

where “ \oplus ” denotes mod-2, or exclusive-OR (XOR), addition. An advantage of this system is that only mod-2 addition is necessary for the accumulator. The accumulator may be embodied using only XOR gates, which may simplify the design.

The bits output from the outer coder **202** are scrambled before they are input to the inner coder **206**. This scrambling may be performed by the interleaver **204**, which performs a pseudo-random permutation of an input block v , yielding an output block w having the same length as v .

The serial concatenation of the interleaved irregular repeat code and the accumulate code produces an irregular repeat and accumulate (IRA) code. An IRA code is a linear code, and as such, may be represented as a set of parity checks. The set of parity checks may be represented in a bipartite graph, called the Tanner graph, of the code. FIG. 3 shows a Tanner graph **300** of an IRA code with parameters $(f_1, \dots, f_j; a)$, where $f_i \geq 0$, $\sum_i f_i = 1$ and “ a ” is a positive integer. The Tanner graph includes two kinds of nodes: variable nodes (open circles) and check nodes (filled circles). There are k variable nodes **302** on the left, called information nodes. There are r variable nodes **306** on the right, called parity nodes. There are $r = (k \sum_i f_i)/a$ check nodes **304** connected between the information nodes and the parity nodes. Each information node **302** is connected to a number of check nodes **304**. The fraction of information nodes connected to exactly i check nodes is f_i . For example, in the Tanner graph **300**, each of the f_2 information nodes are connected to two check nodes, corresponding to a repeat of $q=2$, and each of the f_3 information nodes are connected to three check nodes, corresponding to $q=3$.

Each check node **304** is connected to exactly “ a ” information nodes **302**. In FIG. 3, $a=3$. These connections can be made in many ways, as indicated by the arbitrary permutation of the ra edges joining information nodes **302** and check nodes **304** in permutation block **310**. These connections correspond to the scrambling performed by the interleaver **204**.

In an alternate embodiment, the outer coder **202** may be a low-density generator matrix (LDGM) code that performs an irregular repeat of the k bits in the block, as shown in FIG. 4. As the name implies, an LDGM code has a sparse (low-density) generator matrix. The IRA code produced by the coder **400** is a serial concatenation of the LDGM code and the accumulator code. The interleaver **204** in FIG. 2 may be excluded due to the randomness already present in the structure of the LDGM code.

If the permutation performed in permutation block **310** is fixed, the Tanner graph represents a binary linear block code with k information bits (u_1, \dots, u_k) and r parity bits

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(x_1, \dots, x_r) , as follows. Each of the information bits is associated with one of the information nodes **302**, and each of the parity bits is associated with one of the parity nodes **306**. The value of a parity bit is determined uniquely by the condition that the mod-2 sum of the values of the variable nodes connected to each of the check nodes **304** is zero. To see this, set $x_0=0$. Then if the values of the bits on the ra edges coming out the permutation box are (v_1, \dots, v_{ra}) , then we have the recursive formula

$$x_j = x_{j-1} + \sum_{i=1}^{\lambda} v_{(j-1)\lambda+i}$$

for $j=1, 2, \dots, r$. This is in effect the encoding algorithm.

Two types of IRA codes are represented in FIG. 3, a non-systematic version and a systematic version. The nonsystematic version is an (r, k) code, in which the codeword corresponding to the information bits (u_1, \dots, u_k) is (x_1, \dots, x_r) . The systematic version is a $(k+r, k)$ code, in which the codeword is $(u_1, \dots, u_k; x_1, \dots, x_r)$.

The rate of the nonsystematic code is

$$R_{nsys} = \frac{a}{\sum_i f_i}$$

The rate of the systematic code is

$$R_{sys} = \frac{a}{a + \sum_i f_i}$$

For example, regular repeat and accumulate (RA) codes can be considered nonsystematic IRA codes with $a=1$ and exactly one f_i equal to 1, say $f_q=1$, and the rest zero, in which case R_{nsys} simplifies to $R=1/q$.

The IRA code may be represented using an alternate notation. Let λ_i be the fraction of edges between the information nodes **302** and the check nodes **304** that are adjacent to an information node of degree i , and let ρ_i be the fraction of such edges that are adjacent to a check node of degree $i+2$ (i.e., one that is adjacent to i information nodes). These edge fractions may be used to represent the IRA code rather than the corresponding node fractions. Define $\lambda(x) = \sum_i \lambda_i x^{i-1}$ and $\rho(x) = \sum_i \rho_i x^{i-1}$ to be the generating functions of these sequences. The pair (λ, ρ) is called a degree distribution. For $L(x) = \sum_i f_i x_i$,

$$f_i = \frac{\lambda_i / i}{\sum_j \lambda_j / j}$$

$$L(x) = \int_0^x \lambda(t) dt / \int_0^1 \lambda(t) dt$$

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The rate of the systematic IRA code given by the degree distribution is given by

$$\text{Rate} = \left(1 + \frac{\sum_j \rho_j / j}{\sum_j \lambda_j / j} \right)^{-1}$$

“Belief propagation” on the Tanner Graph realization may be used to decode IRA codes. Roughly speaking, the belief propagation decoding technique allows the messages passed on an edge to represent posterior densities on the bit associated with the variable node. A probability density on a bit is a pair of non-negative real numbers $p(0)$, $p(1)$ satisfying $p(0) + p(1) = 1$, where $p(0)$ denotes the probability of the bit being 0, $p(1)$ the probability of it being 1. Such a pair can be represented by its log likelihood ratio, $m = \log(p(0)/p(1))$. The outgoing message from a variable node u to a check node v represents information about u , and a message from a check node u to a variable node v represents information about u , as shown in FIGS. 5A and 5B, respectively.

The outgoing message from a node u to a node v depends on the incoming messages from all neighbors w of u except v . If u is a variable message node, this outgoing message is

$$m(u \rightarrow v) = \sum_{w \neq v} m(w \rightarrow u) + m_0(u)$$

where $m_0(u)$ is the log-likelihood message associated with u . If u is a check node, the corresponding formula is

$$\tanh \frac{m(u \rightarrow v)}{2} = \prod_{w \neq v} \tanh \frac{m(w \rightarrow u)}{2}$$

Before decoding, the messages $m(w \rightarrow u)$ and $m(u \rightarrow v)$ are initialized to be zero, and $m_0(u)$ is initialized to be the log-likelihood ratio based on the channel received information. If the channel is memoryless, i.e., each channel output only

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relies on its input, and y is the output of the channel code bit u , then $m_0(u) = \log(p(u=0|y)/p(u=1|y))$. After this initialization, the decoding process may run in a fully parallel and local manner. In each iteration, every variable/check node receives messages from its neighbors, and sends back updated messages. Decoding is terminated after a fixed number of iterations or detecting that all the constraints are satisfied. Upon termination, the decoder outputs a decoded sequence based on the messages $m(u) = \sum w_m(w \rightarrow u)$.

Thus, on various channels, iterative decoding only differs in the initial messages $m_0(u)$. For example, consider three memoryless channel models: a binary erasure channel (BEC); a binary symmetric channel (BSC); and an additive white Gaussian noise (AGWN) channel.

In the BEC, there are two inputs and three outputs. When 0 is transmitted, the receiver can receive either 0 or an erasure E . An erasure E output means that the receiver does not know how to demodulate the output. Similarly, when 1 is transmitted, the receiver can receive either 1 or E . Thus, for the BEC, $y \in \{0, E, 1\}$, and

$$m_0(u) = \begin{cases} +\infty & \text{if } y = 0 \\ 0 & \text{if } y = E \\ -\infty & \text{if } y = 1 \end{cases}$$

In the BSC, there are two possible inputs (0,1) and two possible outputs (0, 1). The BSC is characterized by a set of conditional probabilities relating all possible outputs to possible inputs. Thus, for the BSC $y \in \{0, 1\}$,

$$m_0(u) = \begin{cases} \log \frac{1-p}{p} & \text{if } y = 0 \\ -\log \frac{1-p}{p} & \text{if } y = 1 \end{cases}$$

and

In the AWGN, the discrete-time input symbols X take their values in a finite alphabet while channel output symbols Y can take any values along the real line. There is assumed to be no distortion or other effects other than the addition of white Gaussian noise. In an AWGN with a Binary Phase Shift Keying (BPSK) signaling which maps 0 to the symbol with amplitude $\sqrt{E_s}$ and 1 to the symbol with amplitude $-\sqrt{E_s}$, output $y \in \mathbb{R}$, then

$$m_0(u) = 4y\sqrt{E_s}/N_0$$

where $N_0/2$ is the noise power spectral density.

The selection of a degree profile for use in a particular transmission channel is a design parameter, which may be affected by various attributes of the channel. The criteria for selecting a particular degree profile may include, for example, the type of channel and the data rate on the channel. For example, Table 1 shows degree profiles that have been found to produce good results for an AWGN channel model.

TABLE 1

a	2	3	4
λ_2	0.139025	0.078194	0.054485
λ_3	0.2221555	0.128085	0.104315
λ_5		0.160813	
λ_6	0.638820	0.036178	0.126755
λ_{10}			0.229816
λ_{11}			0.016484
λ_{12}		0.108828	
λ_{13}		0.487902	
λ_{14}			
λ_{16}			
λ_{27}			0.450302
λ_{28}			0.017842
Rate	0.333364	0.333223	0.333218
σ_{GA}	1.1840	1.2415	1.2615
σ^*	1.1981	1.2607	1.2780
(Eb/N ₀) * (dB)	0.190	-0.250	-0.371
S.L. (dB)	-0.4953	-0.4958	-0.4958

Table 1 shows degree profiles yielding codes of rate approximately $\frac{1}{3}$ for the AWGN channel and with $a=2, 3, 4$. For each sequence, the Gaussian approximation noise threshold, the actual sum-product decoding threshold and the corresponding energy per bit (E_b)-noise power (N_0) ratio in dB are given. Also listed is the Shannon limit (S.L.).

As the parameter “a” is increased, the performance improves. For example, for $a=4$, the best code found has an iterative decoding threshold of $E_b/N_0=-0.371$ dB, which is only 0.12 dB above the Shannon limit.

The accumulator component of the coder may be replaced by a “double accumulator” 600 as shown in FIG. 6. The double accumulator can be viewed as a truncated rate 1 convolutional coder with transfer function $1/(1+D+D^2)$.

Alternatively, a pair of accumulators may be added, as shown in FIG. 7. There are three component codes: the “outer” code 700, the “middle” code 702, and the “inner” code 704. The outer code is an irregular repetition code, and the middle and inner codes are both accumulators.

IRA codes may be implemented in a variety of channels, including memoryless channels, such as the BEC, BSC, and AWGN, as well as channels having non-binary input, non-symmetric and fading channels, and/or channels with memory.

A number of embodiments have been described. Nevertheless, it will be understood that various modifications may be made without departing from the spirit and scope of the invention. Accordingly, other embodiments are within the scope of the following claims.

The invention claimed is:

1. A method comprising:

receiving a collection of message bits having a first sequence in a source data stream;

generating a sequence of parity bits, wherein each parity bit “ x_j ” in the sequence is in accordance with the formula

$$x_j = x_{j-1} + \sum_{i=1}^{\lambda} v_{(j-1)\lambda+i},$$

where

“ x_{j-1} ” is the value of a parity bit “ $j-1$,” and

$$a = \sum_{i=1}^a v_{(j-1)\lambda+i}$$

is the value of a sum of “a” randomly chosen irregular repeats of the message bits; and

making the sequence of parity bits available for transmission in a transmission data stream.

2. The method of claim 1, wherein the sequence of parity bits is generated is in accordance with “a” being constant.

3. The method of claim 1, wherein the sequence of parity bits is generated is in accordance with “a” varying for different parity bits.

4. The method of claim 1, wherein generating the sequence of parity bits comprises performing recursive modulo two addition operations on the random sequence of bits.

5. The method of claim 1, wherein generating the sequence of parity bits comprises:

generating a random sequence of bits that repeats each of the message bits one or more times with the repeats of the message bits being distributed in a random sequence, wherein different fractions of the message bits are each repeated a different number of times and the number of repeats for each message bit is irregular; and

XOR summing in linear sequential fashion a predecessor parity bit and “a” bits of the random sequence of bits.

6. The method of claim 5, wherein generating the random sequence of bits comprises coding the collection of message bits using a low-density generator matrix (LDGM) coder.

7. The method of claim 5, wherein generating the random sequence of bits comprises:

producing a block of data bits, wherein different message bits are each repeated a different number of times in a sequence that matches the first sequence; and

randomly permuting the different bits to generate the random sequence.

8. The method of claim 1, further comprising transmitting the sequence of parity bits.

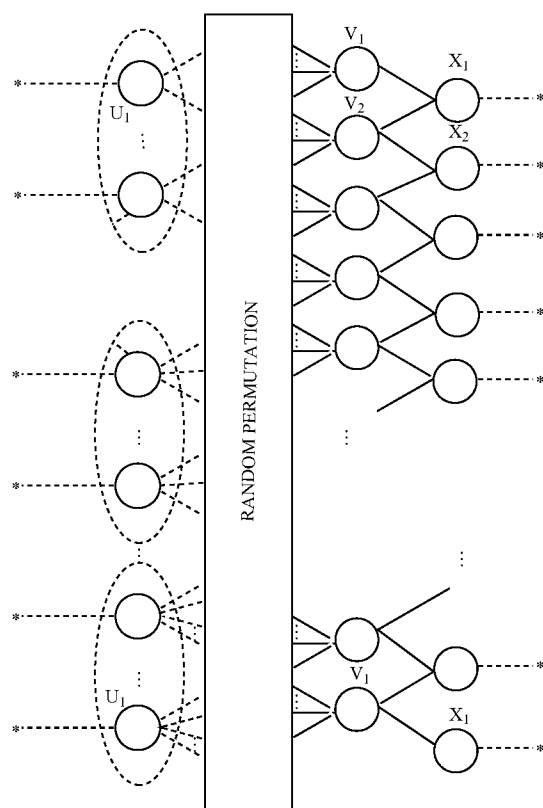
9. The method of claim 8, wherein transmitting the sequence of parity bits comprises transmitting the sequence of parity bits as part of a nonsystematic code.

10. The method of claim 8, wherein transmitting the sequence of parity bits comprises transmitting the sequence of parity bits as part of a systematic code.

11. A device comprising:

an encoder configured to receive a collection of message bits and encode the message bits to generate a collection of parity bits in accordance with the following Tanner graph:

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12. The device of claim 11, wherein the encoder is configured to generate the collection of parity bits as if a number of inputs into nodes v_i was not constant.

13. The device of claim 11, wherein the encoder comprises: a low-density generator matrix (LDGM) coder configured to perform an irregular repeat on message bits having a first sequence in a source data stream to output a random sequence of repeats of the message bits; and an accumulator configured to XOR sum in linear sequential fashion a predecessor parity bit and "a" bits of the random sequence of repeats of the message bits.

14. The device of claim 12, wherein the accumulator comprises a recursive convolutional coder.

15. The device of claim 14, wherein the recursive convolutional coder comprises a truncated rate-1 recursive convolutional coder.

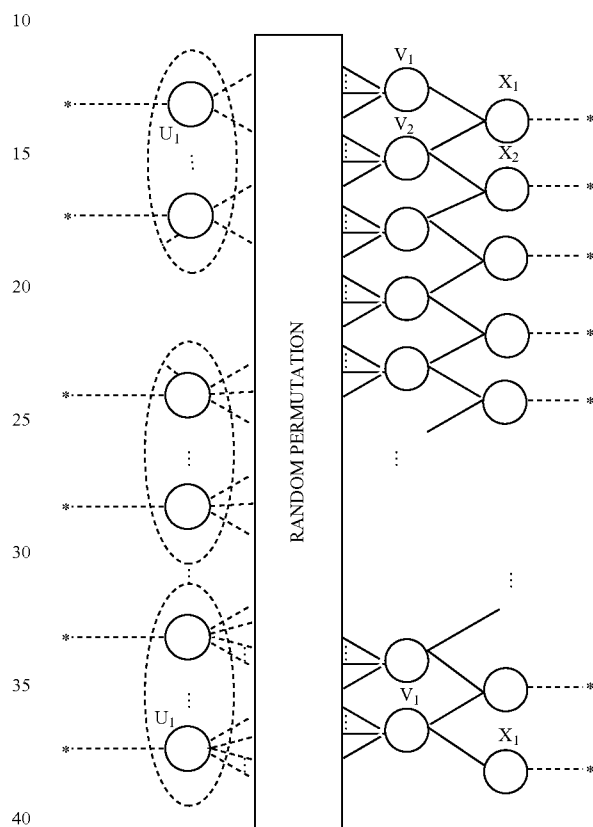
16. The device of claim 14, wherein the recursive convolutional coder has a transfer function of $1/(1+D)$.

17. The device of claim 12, further comprising a second accumulator configured to determine a second sequence of parity bits that defines a second condition that constrains the random sequence of repeats of the message bits.

18. A device comprising:
a message passing decoder configured to decode a received data stream that includes a collection of parity bits, the

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message passing decoder comprising two or more check/variable nodes operating in parallel to receive messages from neighboring check/variable nodes and send updated messages to the neighboring variable/check nodes, wherein the message passing decoder is configured to decode the received data stream that has been encoded in accordance with the following Tanner graph:



19. The device of claim 18, wherein the message passing decoder is configured to decode the received data stream that includes the message bits.

20. The device of claim 18, wherein the message passing decoder is configured to decode the received data stream as if a number of inputs into nodes v_i was not constant.

21. The device of claim 18, wherein the message passing decoder is configured to decode in linear time at rates that approach a capacity of a channel.

22. The device of claim 18, wherein the message passing decoder comprises a belief propagation decoder.

23. The device of claim 18, wherein the message passing decoder is configured to decode the received data stream without the message bits.

* * * * *

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO. : 7,421,032 B2
APPLICATION NO. : 11/542950
DATED : September 2, 2008
INVENTOR(S) : Hui Jin, Aamod Khandekar and Robert J. McEliece

Page 1 of 1

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Title Page, item [73] (Assignee), line 1, please delete "Callifornia" and insert --California--, therefor.

Claim 11, Column 9, line 28, delete "V₁" and insert --V_r--, therefor.

Claim 11, Column 9, line 29, delete "U₁" and insert --U_k--, therefor.

Claim 11, Column 9, line 29, delete "X₁" and insert --X_r--, therefor.

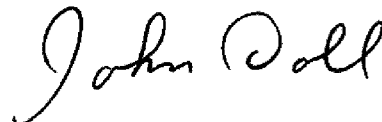
Claim 18, Column 10, line 35, delete "V₁" and insert --V_r--, therefor.

Claim 18, Column 10, line 36, delete "U₁" and insert --U_k--, therefor.

Claim 18, Column 10, line 37, delete "X₁" and insert --X_r--, therefor.

Signed and Sealed this

Seventeenth Day of February, 2009



JOHN DOLL
Acting Director of the United States Patent and Trademark Office

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO. : 7,421,032 B2
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Page 1 of 1

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

$$x_j = x_{j-1} + \sum_{i=1}^{\lambda} v_{(j-1)\lambda+i}$$

At column 4, line 14, please delete “ ” and insert

$$x_j = x_{j-1} + \sum_{i=1}^a v_{(j-1)a+i}$$

$$x_j = x_{j-1} + \sum_{i=1}^{\lambda} v_{(j-1)\lambda+i} ,$$

In claim 1, column 8, line 4, please delete “ ” and insert

$$x_j = x_{j-1} + \sum_{i=1}^a v_{(j-1)a+i} ,$$

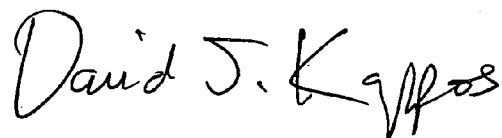
$$\sum_{i=1}^a v_{(j-1)a+1}$$

In claim 1, column 8, line 13, please delete “ ” and insert

$$\sum_{i=1}^a v_{(j-1)a+i}$$

Signed and Sealed this

Twenty-seventh Day of July, 2010



David J. Kappos
 Director of the United States Patent and Trademark Office