

Paper No. _____
Filed: November 21, 2022

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

SAMSUNG ELECTRONICS CO., LTD. ET AL.,
PETITIONER

v.

CALIFORNIA INSTITUTE OF TECHNOLOGY,
PATENT OWNER

Case No. IPR2023-00133
Patent No. 7,421,032

**PATENT OWNER'S MANDATORY NOTICES
UNDER 37 C.F.R. § 42.8**

Pursuant to 37 C.F.R. § 42.8, the undersigned on behalf of and acting in a representative capacity for California Institute of Technology (“Patent Owner”), hereby submits the following mandatory notices in connection with the petition for *inter partes* review of U.S. Patent No. 7,421,032, Case IPR2023-00133.

A. Real Party-In-Interest (37 C.F.R. § 42.8(b)(1))

Patent Owner identifies California Institute of Technology as the real party-in-interest.

B. Related Matters (37 C.F.R. § 42.8(b)(2))

Patent Owner identifies the following related matters:

California Institute of Technology v. Samsung Electronics Co., Ltd., No. 2-21-cv-00446 (E.D. Tex.) (alleging infringement of the ’032 patent and also U.S. Patent Nos. 7,116,710; 7,916,781; and 8,284,833) (“E.D. Texas Litigation”).

California Institute of Technology v. Microsoft Corp., No. 6-21-cv-00276 (W.D. Tex.).

California Institute of Technology v. HP Inc. f/k/a/ Hewlett-Packard Co., No. 6-20-cv-01041 (W.D. Tex.).

California Institute of Technology v. Dell Technologies Inc., No. 6-20-cv01042 (W.D. Tex.).

California Institute of Technology v. Broadcom Ltd., No. 2-16-cv-03714
(C.D. Cal.).

California Institute of Technology v. Hughes Communications, Inc., No.
2-15-cv-01108 (C.D. Cal.).

California Institute of Technology v. Hughes Communications, Inc., No.
2-13-cv-07245 (C.D. Cal.).

Samsung Electronics Co., Ltd. v. California Institute of Technology,
IPR2023-00130 (PTAB).

Samsung Electronics Co., Ltd. v. California Institute of Technology,
IPR2023-00131 (PTAB).

Samsung Electronics Co., Ltd. v. California Institute of Technology,
IPR2023-00137 (PTAB).

Apple Inc. v. California Institute of Technology, IPR2017-00210 (PTAB).

Apple Inc. v. California Institute of Technology, IPR2017-00211 (PTAB).

Apple Inc. v. California Institute of Technology, IPR2017-00219 (PTAB).

Apple Inc v. California Institute of Technology, IPR2017-00297, (PTAB).

Apple Inc v. California Institute of Technology, IPR2017-00423, (PTAB).

Apple Inc. v. California Institute of Technology, IPR2017-00700 (PTAB).

Apple Inc. v. California Institute of Technology, IPR2017-00701 (PTAB).

Apple Inc. v. California Institute of Technology, IPR2017-00702 (PTAB).

Apple Inc. v. California Institute of Technology, IPR2017-00703 (PTAB).

Apple Inc. v. California Institute of Technology, IPR2017-00728 (PTAB).

Hughes Network Systems, LLC et al. v. California Institute of Technology,
IPR2015-00059 (PTAB).

Hughes Network Systems, LLC et al. v. California Institute of Technology,
IPR2015-00060 (PTAB).

Hughes Network Systems, LLC et al. v. California Institute of Technology,
IPR2015-00061 (PTAB).

Hughes Communications, Inc. v. California Institute of Technology,
IPR2015-00067 (PTAB).

Hughes Communications, Inc. v. California Institute of Technology,
IPR2015-00068 (PTAB).

Hughes Communications, Inc. v. California Institute of Technology,
IPR2015-00081 (PTAB).

C. Lead and Back-up Counsel (37 C.F.R. § 42.8(b)(3))

Patent Owner provides the following designation of counsel:

Lead Counsel	Back-Up Counsel
Michael T. Rosato USPTO Reg. No. 52,182 WILSON SONSINI GOODRICH & ROSATI 701 Fifth Avenue, Suite 5100 Seattle, WA 98104-7036 Tel.: 206-883-2529 Fax: 206-883-2699 Email: mrosato@wsgr.com	Matthew A. Argenti USPTO Reg. No. 61,836 WILSON SONSINI GOODRICH & ROSATI 650 Page Mill Road Palo Alto, CA 94304 Tel.: 650-354-4154 Fax: 650-493-6811 Email: margenti@wsgr.com
Patrick M. Medley USPTO Reg. No. 74,735 WILSON SONSINI GOODRICH & ROSATI 701 Fifth Avenue, Suite 5100 Seattle, WA 98104-7036 Tel.: 206-883-2593 Fax: 206-883-2699 Email: pmedley@wsgr.com	

A power of attorney accompanies this notice.

D. Electronic Service (37 C.F.R. § 42.8(b)(4))

Patent Owner consents to electronic service by email at the email addresses provided above.

Respectfully submitted,

Date: November 21, 2022

/ Michael T. Rosato /
Michael T. Rosato, Lead Counsel
Reg. No. 52,182

CERTIFICATE OF SERVICE

The undersigned certifies that the foregoing Patent Owner's Mandatory Notices under 37 C.F.R. § 42.8(a)(3) and accompanying Power of Attorney were served on November 21, 2022, on the Petitioner at the electronic correspondence address of the Petitioner as follows:

Robert A. Appleby
Greg S. Arovas, P.C.
Kirkland & Ellis, LLP
Samsung_Caltech_IPR@kirkland.com

Dated: November 21, 2022

/ Michael T. Rosato /
Michael T. Rosato, Lead Counsel
Reg. No. 52,182