



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Baker et al.
Application No.: 11/881,460
Filed: 26 July 2007
Art Unit: 3721
For: FEATURE MANAGEMENT OF A COMMUNICATION DEVICE
Examiner: Not Yet Assigned
Attorney Docket Number: 300005-00002

PRELIMINARY AMENDMENT

TO THE COMMISSIONER FOR PATENTS,

SIR:

Please enter the following preliminary amendments.

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims are reflected in the listings of claims which begin on page 3
of this paper.

03/31/2008 SSESHE1 00000027 11881460
725.00 OP
105.00 OP
01 FC:2202
02 FC:2201

AMENDMENT TO THE SPECIFICATION

On page 11, line 6, insert “as” after the second occurrence of “such”.

On page 12, line 10, replace “reference” with “referenced”.

On page 15, line 17, insert “be” after “IM screen names to”.

On page 18, line 22, replace “Instant message” with “Instant Message”.

On page 21, line 23, replace “jeff” with “Jeff”.

On page 22, line 4, replace “of” with “or”.

On page 26, line 7, replace “Steven’s” with “Steve’s”.

On page 26, line 12, replace “call” with “call/text”.

On page 28, line 23, replace “if” with “in”.

On page 29, line 7, insert “to” after “ability”.

On page 30, line 1, replace “in” with “if”.

AMENDMENTS TO THE CLAIMS

This listing of claims will replace all prior versions, and listings, of claims in the application:

Listing of Claims:

1. (currently amended) A system for managing in real-time a communication device used by a user on a communication network, comprising:

a policy decider for storing a list of policies that control one or more features or functions associated with the communication device and for automatically deciding to accept or deny a request sent to or from the communication device to perform the features or functions based on [one or more policies from] the list of policies, the list of policies including a policy for managing content that can be sent, received, or used by the communication device; and

a policy enforcer for communicating the request to the policy decider and enforcing a decision by the policy decider as to whether the request has been accepted or denied by either notifying the user of the denied request and taking one or more actions consistent with the denied request or taking one or more actions consistent with the accepted request.

2. (new) The system as recited in Claim 1, wherein the policy establishes a total prohibition on content that can be sent, received, or used.

3. (new) The system as recited in Claim 1, wherein the policy establishes a quantity limit on content that can be sent, received or used in a given period of time.

4. (new) The system as recited in Claim 1, wherein the policy establishes a limit on how many units of value can be spent by the user on content that can be sent, received, or used in a given period of time.
5. (new) The system as recited in Claim 4, wherein the communication device is managed by an administrator, and wherein the policy enforcer notifies the administrator, the user or both the administrator and the user when the limit on how many units of value can be spent by the user has been reached.
6. (new) The system as recited in Claim 1, wherein the policy establishes a limit on a type of content that can be sent, received, or used.
7. (new) The system as recited in Claim 6, wherein the limit on a type of content is determined by a filter that reviews content that can be sent, received, or used.
8. (new) The system as recited in Claim 7, wherein the filter is established and managed by the administrator.
9. (new) The system as recited in Claim 7, wherein the filter is established and managed by a third party.
10. (new) The system as recited in Claim 1, wherein the policy establishes a time frame during which it is acceptable to send, receive, or use the content.

11. (new) The system as recited in Claim 10, wherein the list of policies includes a user established list of policies and an administrator established list of policies, and wherein the administrator established list of policies can take precedence over the user established list of policies.

12. (new) The system as recited in Claim 11, wherein the list of policies further includes a third party established list of policies, and wherein the third party established list of policies can take precedence over the user established list of policies and the administrator established list of policies.

13. (new) The system as recited in Claim 12, wherein an institution attended by the user creates and manages the third party established list of policies.

14. (new) The system as recited in Claim 12, wherein an employer of the user creates and manages the third party established list of policies.

15. (new) The system as recited in Claim 1, wherein the communication device can be geographically located by the communication network, and wherein the policy establishes a geographic location within which the communication device can be used to send, receive, or use the content.

16. (new) The system as recited in Claim 1, wherein a first policy among the list of policies overrides at least a second policy among the list of policies.

17. (new) The system as recited in Claim 1, wherein the communication device is managed by an administrator, and wherein the administrator can establish an administrator policy, wherein the user can establish a user policy, and wherein the administrator policy can override the user policy.

18. (new) The system as recited in Claim 1, wherein the communication device is managed by an administrator, wherein a charge of a unit of value applies to the request, and wherein one or more actions consistent with the accepted request is to make the user or the administrator pay the charge.

19. (new) The system as recited in Claim 1, wherein the device is managed by an administrator, wherein a charge of a unit of value applies to the request, and wherein the actions consistent with the accepted request include applying the charge to a user wallet, an administrator wallet, or a dynamic wallet.

20. (new) The system as recited in Claim 1, wherein the actions consistent with the denied request include terminating the request, redirecting the request, or degrading the request.

21. (new) The system as recited in Claim 20, wherein the actions consistent with the denied request further include notifying an administrator, the user, or both the user and the administrator of the denied request.
22. (new) The system as recited in Claim 21, wherein the actions consistent with the denied request further include notifying a third party of the denied request.
23. (new) The system as recited in Claim 20, wherein the actions consistent with the denied request further include notifying a third party of the denied request.
24. (new) The system as recited in Claim 1, wherein the actions consistent with the accepted request include decrementing a charge of units of value from an account associated with the communication device, determining a balance of units of value in the account, determining a status of the account, or implementing the request.
25. (new) The system as recited in Claim 1, wherein the policy decider is a logical element housed within a network device on the communication network.
26. (new) The system as recited in Claim 1, wherein the policy enforcer is a logical element housed within a network device on the communication network.
27. (new) A system for managing in real-time a communication device used by a user on a communication network, comprising:

a policy decider housed within a network device on the communication network for storing a list of policies that control one or more features or functions associated with the communication device and for automatically deciding to accept or deny a request sent to or from the communication device to perform the features or functions based on one or more policies from the list of policies; and

a policy enforcer housed within a network device on the communication network for communicating the request to the policy decider and enforcing a decision by the policy decider as to whether the request has been accepted or denied by either notifying the user of the denied request and taking one or more actions consistent with the denied request or taking one or more actions consistent with the accepted request.

28. (new) The system as recited in Claim 27, wherein the features or functions include communication services over the communications network.

29. (new) The system as recited in Claim 27, wherein the feature or functions are determined by content that can be downloaded onto the communication device.

30. (new) A system for managing in real-time a communication device used by a user on a communication network, comprising:

a geographic locator device on the communication network that can locate a physical location of the communication device;

a policy decider for storing a policy that controls whether one or more features or functions associated with the communication device can be used when the physical location is

within a geographic area and for automatically deciding to accept or deny a request sent to or from the communication device to perform the features or functions based on the policy;

a policy enforcer for communicating the request to the policy decider and enforcing a decision by the policy decider as to whether the request has been accepted or denied by either notifying the user of the denied request and taking one or more actions consistent with the denied request or taking one or more actions consistent with the accepted request;

31. (new) The claim as recited in Claim 30, wherein an institution attended by the user creates and manages the policy.

32. (new) The claim as recited in Claim 30, wherein an employer of the user creates and manages the policy.

33. (new) A system for managing in real-time a communication device used by a user on a communication network, comprising:

a policy decider for storing a list of policies that control one or more features or functions associated with the communication device and for automatically deciding to accept or deny a request sent to or from the communication device to perform the features or functions based on one or more policies from the list of policies; and

a policy enforcer for communicating the request to the policy decider and enforcing a decision by the policy decider as to whether the request has been accepted or denied by either notifying the user of the denied request and taking one or more actions consistent with the denied request or taking one or more actions consistent with the accepted request.

34. (new) The system as recited in Claim 33, wherein the list of policies includes a rule for managing content that can be sent, received, or used by the communication device, and wherein the rule is a total prohibition on content that can be sent, received, or used.

35. (new) The system as recited in Claim 33, wherein the list of policies includes a rule for managing content that can be sent, received, or used by the communication device, and wherein the rule establishes a quantity limit on content that can be sent, received or used in a given period of time.

36. (new) The system as recited in Claim 33, wherein the list of policies includes a rule for managing content that can be sent, received, or used by the communication device, and wherein the rule establishes a limit on how many units of value can be spent by the user on content that can be sent, received, or used in a given period of time.

37. (new) The system as recited in Claim 36, wherein the communication device is managed by an administrator, and wherein the policy enforcer notifies the administrator, the user or both the administrator and the user when said limit on how many units of value can be spent by the user has been reached.

38. (new) The system as recited in Claim 33, wherein the list of policies includes a rule for managing content that can be sent, received or used by the communication device, and wherein the rule establishes a limit on a type of content that can be sent, received, or used.

39. (new) The system as recited in Claim 33, wherein the list of policies includes a rule for managing content that can be sent, received, or used by the communication device, and wherein the rule establishes a time frame during which it is acceptable to send, receive, or use the content.

40. (new) The system as recited in Claim 33, wherein the list of policies includes a user established list of policies, an administrator established list of policies, and/or a third party established list of policies, wherein the administrator established list of policies can take precedence over the user established list of policies and the third party established list of policies can take precedence over the user established list of policies and the administrator established list of policies.

41. (new) The system as recited in Claim 40, wherein an institution attended by the user or an employer of the user creates and manages the third party established list of policies.

42. (new) The system as recited in Claim 33, wherein the list of policies includes a rule for managing content that either can be sent, received, or used by the communication device, wherein the communication device can be geographically located by the communication network, and wherein the rule establishes a geographic location within which the communication device can be used to send, receive, or use the content.

43. (new) The system as recited in Claim 33, wherein the list of policies includes one or more rules for managing content that can be sent, received, or used by the communication device, and wherein a first rule among the rules overrides at least a second rule among the rules.

44. (new) The system as recited in Claim 33, wherein the communication device is managed by an administrator, and wherein the list of policies includes one or more rules for managing content that can be sent, received, or used by the communication device, wherein the administrator can establish an administrator rule, wherein the user can establish a user rule, and wherein the administrator rule can override the user rule.

45. (new) The system as recited in Claim 33, wherein the communication device is managed by an administrator, wherein a charge of a unit of value applies to the request, and wherein one or more actions consistent with the accepted request is to make the user or the administrator pay the charge.

46. (new) The system as recited in Claim 33, wherein the device is managed by an administrator, wherein a charge of a unit of value applies to the request, and wherein the actions consistent with the accepted request include applying the charge to a user wallet, an administrator wallet, or a dynamic wallet.

47. (new) The system as recited in Claim 33, wherein the actions consistent with the denied request include terminating the request, redirecting the request, or degrading the request.

48. (new) The system as recited in Claim 47, wherein the actions consistent with the denied request further include notifying an administrator, the user, a third party, or the user, the administrator and the third party of the denied request.

49. (new) The system as recited in Claim 47, wherein the actions consistent with the denied request further include notifying a third party of the denied request.

REMARKS

Claim 1 is currently amended and claims 2-49 are new, but all of the claims are fully supported by the description, and are necessary to enable Applicants to fully claim their invention.

The original application contained 1 independent claim, 0 dependent claims, for a total of 1 claim. Through this Preliminary Amendment, Applicants have added 3 independent claims (for a total of 4 independent claims) and 45 dependent claims, for a total of 49 claims. As Applicants have previously paid for 3 independent claims and a total of 20 claims, additional fees are due. An additional fee of \$105 (one hundred and five dollars) for the one independent claim in excess of three, as a small business entity (see 37 C.F.R. §1.16(h) specifying that a fee of \$105 is required for each independent claim beyond three), is required for this Preliminary Amendment. And an additional fee of \$725 (seven hundred and twenty-five dollars) for the 29 claims in excess of twenty, as a small business entity (see 37 C.F.R. §1.16(i) specifying that a fee of \$25 is required for each claim beyond twenty), is required for this Preliminary Amendment.

Respectfully submitted,



Timothy D. Casey, Reg. No. 33,124
SilverSky Group LLC
5422 Longley Lane, Suite B
Reno, NV 89511

Date: 27 March 2008

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Baker et al.

Application No.: 11/881,460

Filed: 26 July 2007

Art Unit: 3721

For: FEATURE MANAGEMENT OF A COMMUNICATION DEVICE

Examiner: Not Yet Assigned

Attorney Docket Number: 300005-00002

PRELIMINARY AMENDMENT

TO THE COMMISSIONER FOR PATENTS,

SIR:

Please enter the following preliminary amendment.

Amendments to the Specification begin on page 2 of this paper.

AMENDMENT TO THE SPECIFICATION

On page 2, line 16, replace “Not Applicable” with “This application is a Continuation-In-Part (CIP) of U.S. Patent Application No. 11/824,336 filed on 28 June 2007”

REMARKS

The originally filed application inadvertently failed to specify in the "CROSS REFERENCES TO RELATED APPLICATIONS" section that it is a CIP application of U.S. Patent Application No. 11/824,336 filed on 28 June 2007. Please correct the specification as shown above.

Respectfully submitted,

/Timothy D. Casey/

Timothy D. Casey, Reg. No. 33,124
SilverSky Group LLC
5422 Longley Lane, Suite B
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Date: August 14, 2008

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Baker et al.

Application No.: 11/881,460

Filed: 26 July 2007

Art Unit: 3629

For: FEATURE MANAGEMENT OF A COMMUNICATION DEVICE

Examiner: Not Yet Assigned

Attorney Docket Number: 300005-00002

PRELIMINARY AMENDMENT

TO THE COMMISSIONER FOR PATENTS,

SIR:

Please enter the following preliminary amendments.

Explanation of the amendments to the drawings begins on page 2 of this paper.

Replacement Sheets for all of the drawings accompany this paper.

AMENDMENTS TO THE DRAWINGS

All amendments to the drawings for Application No: 11/881,460 are submitted only to comply with USPTO rules. No new matter has been added.

For Figure 1, a header (displaying the application title, inventors, application number and docket number) and a figure numbering (indicating that this drawing is Figure 1 of 5) have been added. Additionally, the boxes for elements 10 and 24 have been made smaller so as to allow for the required margins.

For Figure 2, a header (displaying the application title, inventors, application number and docket number) and a figure numbering (indicating that this drawing is Figure 2 of 5) have been added. Additionally, the boxes for elements 10 and 29 have been made smaller so as to allow for the required margins.

For Figure 3, a header (displaying the application title, inventors, application number and docket number) and a figure numbering (indicating that this drawing is Figure 3 of 5) have been added.

For Figure 4, a header (displaying the application title, inventors, application number and docket number) and a figure numbering (indicating that this drawing is Figure 4 of 5) have been added.

For Figure 5, a header (displaying the application title, inventors, application number and docket number) and a figure numbering (indicating that this drawing is Figure 5 of 5) have been added.

REMARKS

The drawings for application no. 11/881,460 are herein amended. All amendments are submitted in order to comply with USPTO rules. No new matter has been added, and all amendments are explained on page 2 of this paper.

Respectfully submitted,

/Timothy D. Casey/

Timothy D. Casey, Reg. No. 33,124
SilverSky Group LLC
5422 Longley Lane, Suite B
Reno, NV 89511

Date: August 25, 2008



UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/881,460	07/26/2007	Matthew Donald Baker	300005-00002	7914
71375 7590 09/02/2010 SILVERSKY GROUP LLC 5422 LONGLEY LANE, SUITE B RENO, NV 89511			EXAMINER BEAMER, TEMICA M	
			ART UNIT 2617	PAPER NUMBER
			MAIL DATE 09/02/2010	DELIVERY MODE PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No.	Applicant(s)	
	11/881,460	BAKER ET AL.	
	Examiner	Art Unit	
	TEMICA M. BEAMER	2617	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

1) ☒ Responsive to communication(s) filed on 26 July 2007.

2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.

3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

4) ☒ Claim(s) 1-49 is/are pending in the application.

4a) Of the above claim(s) _____ is/are withdrawn from consideration.

5) ☐ Claim(s) _____ is/are allowed.

6) ☒ Claim(s) 1-49 is/are rejected.

7) ☐ Claim(s) _____ is/are objected to.

8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

9) ☐ The specification is objected to by the Examiner.

10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
 Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
 Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

a) ☐ All b) ☐ Some c) ☐ None of:

1. ☐ Certified copies of the priority documents have been received.

2. ☐ Certified copies of the priority documents have been received in Application No. _____.

3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

1) <input type="checkbox"/> Notice of References Cited (PTO-892)	4) <input type="checkbox"/> Interview Summary (PTO-413)
2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)	Paper No(s)/Mail Date. _____
3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)	5) <input type="checkbox"/> Notice of Informal Patent Application
Paper No(s)/Mail Date _____	6) <input type="checkbox"/> Other: _____

DETAILED ACTION

Double Patenting

1. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the “right to exclude” granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

2. Claims 1-49 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-32 of copending Application No. 11/824,336. Although the conflicting claims are not identical, they are not patentably distinct from each other because both inventions are drawn to a system/method for managing in real-time a communication device used by a user on a network by using a user wallet and an administrative wallet and based on the type of requests from the user, the network will determine which wallet to use.

This is a provisional obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

3. Claims 1-49 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claim 1-20 of copending Application No. 12/072,240. Although the conflicting claims are not identical, they are not patentably distinct from each other because both inventions are drawn to a system/method for managing in real-time a communication device used by a user on a network by using a user wallet and an administrative wallet and based on the type of requests from the user, the network will determine which wallet to use.

This is a provisional obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

Conclusion

4. Any inquiry concerning this communication or earlier communications from the examiner should be directed to TEMICA M. BEAMER whose telephone number is (571)272-7797. The examiner can normally be reached on Monday-Thursday (alternate Fridays) 9:00am-5:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Lester Kincaid can be reached on (571) 272-7922. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Temica M. Beamer/
Primary Examiner, Art Unit 2617

Invention Title: Feature management of a communication device
Inventor(s): Baker et al. Docket No.: 300005-00002 Application No.: 11/881,460
Office Action Dated: 09/02/2010 Reply Due: 12/02/2010

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	Examiner:	Beamer, Temica M
)		
Baker et al.)	Art Unit:	2617
)		
Application No:)	Confirmation No.:	7914
)		
Filing Date:)	Docket No.:	300005-00002
)		
For: Feature management of a...)		

RESPONSE TO NON FINAL REJECTION

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

This is a response to the Non-Final Office Action dated 02 September 2010, hereinafter, "the First Office Action." The First Office Action set a shortened statutory period for reply of three months from the mailing date of the First Office Action, which is 02 December 2010. No petition for an extension of time is due at this time.

In the First Office Action, the Examiner issued provisional nonstatutory double patenting rejections based on Applicants' U.S. Patent Application Nos. 11/824,336 and 12/027,240. The First Office Action actually lists the second application number as "12/072,240", but Applicants believe the examiner meant "12/027,240", as that is Applicants' other co-pending application, and hereby respond according to that assumption.

The present application and U.S. Patent Application Nos. 11/824,336 and 12/027,240 are all commonly owned by Kajeet, Inc., the assignee herein.

Invention Title: Feature management of a communication device

Inventor(s): Baker et al.

Docket No.: 300005-00002

Application No.: 11/881,460

Office Action Dated: 09/02/2010

Reply Due: 12/02/2010

Double Patenting Rejection

As noted in the First Office Action, a timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome a provisional rejection based on nonstatutory double patenting grounds, provided the conflicting application(s) can be shown to be commonly owned with this application.

Along with this response, Applicants have filed a terminal disclaimer of commonly owned U.S. Patent Application No. 11/824,336 and a terminal disclaimer of commonly owned U.S. Patent Application No 12/027,240, along with the fees set forth in 37 CFR 1.20(d).

Conclusion:

The above-discussed terminal disclaimers are believed to place all of the claims of the present application in condition for allowance. Applicants respectfully request the rejection of the present application be withdrawn so the present application can be allowed to issue.

Should it assist the Examiner in any way, the Examiner is encouraged to call the undersigned at the telephone number set forth below.

Respectfully submitted,

/Timothy D. Casey/

Date: 20 October 2010

Timothy D. Casey

Reg. No. 33,124

Direct Tel. No. 775-336-6464 x7005

Silversky Group LLC

5422 Longley Lane, Suite B

Reno, NV 89511

TERMINAL DISCLAIMER INFORMAL CHECKLIST

APPL. S.N.: 11/881,460

DATE: 11/9/2010

EXAMINER:

ART UNIT:

PARALEGAL: /JANICE M. FORD/

MAIL ROOM DATE: 10/20/2010

NUMBER OF TD(s) FILED: 2

INSTRUCTIONS: The paralegal has reviewed the submitted TD with the results as set forth below.

If you agree, please use the appropriate form paragraphs identified by this informal memo in your next Office action to notify applicant about the TD. If you disagree, please contact a QAS.

THIS CHECKLIST IS AN INFORMAL, INTERNAL CHECKLIST ONLY. IT MUST NOT BE MAILED TO APPLICANT. IT WILL BE SOFT SCANNED AND NOT VIEWABLE TO THE PUBLIC.

- ☐ The TD is PROPER and has been accepted and recorded. (See FP 14.23.)
- ☒ The TD is NOT PROPER and has not been accepted for the reason(s) checked below. (See FP 14.24.)
- ☐ The disclaimer fee under 37 CFR 1.20(d) in the amount of \$ has not been submitted, nor is there any pre authorization in the application to charge to a deposit account. (See FP 14.24 and 14.26.07.)
- ☐ The LIE has not processed fee for TD (the Paralegal should ask LIE to process the fee).
- ☐ The TD does not satisfy 37 CFR 1.32(b) (3) in that the person who signed the TD has not stated either: (a) the extent of his/her ownership interest, or (b) the extent of the business/organization entity's ownership interest on whose behalf the person signed. (See FPs 14.26 and 14.26.01.)
- ☐ The TD lacks the – enforceable only during the period of common ownership – clause needed to overcome a double patenting 37 CFR 1.321(c). (See FP 14.27.01).
- ☐ The TD lacks 37 CFR 1.321(d) statement for joint research agreement under 35 U.S.C. 103(c) (2) & (3). It doesn't include the waiver and enforceability provisions of 37 CFR 1.321(d). (See FP 14.27.011.)
- ☐ TD is directed to a particular claim(s); this is not acceptable, since the disclaimer must be of a terminal portion of the entire patent to be granted, MPEP 1490. (See FPs 14.26 and 14.26.02).
- ☐ The person who signed the terminal disclaimer:
- ☐ failed to state his/her capacity to sign for the business/organization entity. (See FP 14.28.)
- ☐ is not recognized as an officer of the assignee. (See FP 14.29.)
- ☐ does not have power of attorney, and thus, is not of record. (See FP 14.29.01.)

(Note: PoA can be given to a customer number, wherein all practitioners listed under the customer number have PoA. If PoA is established by a list of practitioners, the list may not comprise more than 10 practitioners. A representative of the assignee, who is not of record, cannot sign the TD unless it is established that the representative is a party authorized to act on behalf of the assignee.)

- ☐ The TD is not supported by evidence of chain of title to the assignee signing the TD due to a failure to submit either: (a) documentary evidence of a chain of title from the original inventor(s) to the assignee and a statement affirming that the documentary evidence was, or concurrently is being, submitted for recordation; or (b) the reel and frame number(s) where such documentary evidence is recorded in the Office. 37 CFR 3.73(b). (See FPs 14.30 and 14.34)

NOTE: This documentary evidence or the specifying of the reel and frame number may be found in the TD or in a separate paper submitted by applicant.)

☐ The TD is not supported by adequate evidence of chain of title to the assignee signing the TD, because the person who signed the submission under 37 CFR 3.73(b):

☐ has failed to state his/her capacity to sign for the business entity. (See FPs 14.30.02 and 14.16.02

☐ is not recognized as an officer of the assignee. (See FP 14.30.02 and 14.16.03)

(Note: On the submission under 37 CFR 3.73(b), the signature of an attorney or agent registered to practice before the Office is not sufficient, unless the attorney or agent is authorized to act on behalf of the assignee.)

☐ The TD is not signed (See FPs 14.26 and 14.26.03)

☐ The serial number of the application (or the number of the patent) which forms the basis for the double patenting is not identified (i.e., missing or incorrect) in the TD. (See FP 14.32)

☐ The serial number of the application being examined (or the number of the patent under reexam or reissue) is not identified or incorrect. (See FPs 14.26 and 14.26.04 or 14.26.05)

☐ The TD is not signed by all owners. See FPs 14.26 and 14.26.06.

☐ The period disclaimed is incorrect or not specified. (See FPs 14.24, 14.27.02 or 14.27.03)

☒ Other Statement under 3.73(b) required. No assignment attached.

**TERMINAL DISCLAIMER TO OBTAIN A PROVISIONAL DOUBLE PATENTING
REJECTION OVER A PENDING "REFERENCE" APPLICATION**

Docket Number (Optional)
300005-00002

In re Application of: Baker, et al.

Application No.: 11/881,460

Filed: 07/26/2007

For: Feature management of a communication device

The owner*, Kajeet, Inc., of 100 percent interest in the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application which would extend beyond the expiration date of the full statutory term of any patent granted on pending **reference** Application Number 12/027,240, filed on 02/06/2008, as such term is defined in 35 U.S.C. 154 and 173, and as the term of any patent granted on said **reference** application may be shortened by any terminal disclaimer filed prior to the grant of any patent on the pending **reference** application. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and any patent granted on the **reference** application are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns.

In making the above disclaimer, the owner does not disclaim the terminal part of any patent granted on the instant application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. 154 and 173 of any patent granted on said **reference** application, "as the term of any patent granted on said **reference** application may be shortened by any terminal disclaimer filed prior to the grant of any patent on the pending **reference** application," in the event that: any such patent: granted on the pending **reference** application: expires for failure to pay a maintenance fee, is held unenforceable, is found invalid by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321, has all claims canceled by a reexamination certificate, is reissued, or is in any manner terminated prior to the expiration of its full statutory term as shortened by any terminal disclaimer filed prior to its grant.

Check either box 1 or 2 below, if appropriate.

1. ☐ For submissions on behalf of a business/organization (e.g., corporation, partnership, university, government agency, etc.), the undersigned is empowered to act on behalf of the business/organization.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

2. ☒ The undersigned is an attorney or agent of record. Reg. No. 33124

/Timothy D. Casey/
Signature

10/20/2010
Date

Timothy D. Casey
Typed or printed name

775-336-6464 x7005
Telephone Number

- ☒ Terminal disclaimer fee under 37 CFR 1.20(d) is included.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

*Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner).
Form PTO/SB/96 may be used for making this statement. See MPEP § 324.

This collection of information is required by 37 CFR 1.321. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Trend Micro Ex. 1002-0030
IPR2023-00178

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

71375 7590 01/06/2011

SILVERSKY GROUP LLC
5422 LONGLEY LANE, SUITE B
RENO, NV 89511

EXAMINER

BEAMER, TEMICA M

ART UNIT

PAPER NUMBER

2617

DATE MAILED: 01/06/2011

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/881,460	07/26/2007	Matthew Donald Baker	300005-00002	7914

TITLE OF INVENTION: FEATURE MANAGEMENT OF A COMMUNICATION DEVICE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$755	\$300	\$0	\$1055	04/06/2011

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail Stop ISSUE FEE**
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or **Fax (571)-273-2885**

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

71375 7590 01/06/2011

SILVERSKY GROUP LLC
5422 LONGLEY LANE, SUITE B
RENO, NV 89511

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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11/881,460 07/26/2007 Matthew Donald Baker 300005-00002 7914

TITLE OF INVENTION: FEATURE MANAGEMENT OF A COMMUNICATION DEVICE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$755	\$300	\$0	\$1055	04/06/2011

EXAMINER	ART UNIT	CLASS-SUBCLASS
BEAMER, TEMICA M	2617	455-405000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. **Use of a Customer Number is required.**

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 _____
2 _____
3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☐ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☐ Issue Fee
- ☐ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies _____

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

- ☐ A check is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number _____ (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature _____

Date _____

Typed or printed name _____

Registration No. _____

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



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UNITED STATES DEPARTMENT OF COMMERCE
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P.O. Box 1450
Alexandria, Virginia 22313-1450
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/881,460	07/26/2007	Matthew Donald Baker	300005-00002	7914
71375	7590	01/06/2011	EXAMINER	
SILVERSKY GROUP LLC 5422 LONGLEY LANE, SUITE B RENO, NV 89511			BEAMER, TEMICA M	
			ART UNIT	PAPER NUMBER
			2617	
DATE MAILED: 01/06/2011				

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 650 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 650 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (<http://pair.uspto.gov>).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

Notice of Allowability	Application No.	Applicant(s)	
	11/881,460	BAKER ET AL.	
	Examiner	Art Unit	
	TEMICA M. BEAMER	2617	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to amendment filed 10/20/2010.

2. ☒ The allowed claim(s) is/are 1-49.

3. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
a) ☐ All b) ☐ Some* c) ☐ None of the:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).
* Certified copies not received: _____.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.
THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

4. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.

5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
(a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
1) ☐ hereto or 2) ☐ to Paper No./Mail Date _____.
(b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date _____.

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).

6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

1. <input type="checkbox"/> Notice of References Cited (PTO-892) 2. <input type="checkbox"/> Notice of Draftperson's Patent Drawing Review (PTO-948) 3. <input type="checkbox"/> Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date _____ 4. <input type="checkbox"/> Examiner's Comment Regarding Requirement for Deposit of Biological Material	5. <input type="checkbox"/> Notice of Informal Patent Application 6. <input type="checkbox"/> Interview Summary (PTO-413), Paper No./Mail Date _____. 7. <input type="checkbox"/> Examiner's Amendment/Comment 8. <input type="checkbox"/> Examiner's Statement of Reasons for Allowance 9. <input type="checkbox"/> Other _____.
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/Temica M. Beamer/ Primary Examiner, Art Unit 2617	
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